

intervention messages into regular office visits are currently under development by the joint efforts of CDC, HRSA, the National Institutes of Health, and the Infectious Disease Society of America.

**Availability of Funds:** The SPNS program is authorized by Section 2691 of the PHS Act. Grants may be awarded directly to public and non-profit private entities, including community-based organizations. The program has \$1.5 million dollars available for this Center initiative, at \$300,000 per year for 5 years. The budget and project periods for approved and funded projects will begin on or about September 1, 2002. Funds must be requested for all 5 years of the initiative.

All applicants funded should recognize that this initiative is not designed to provide continuous support once the initiative is complete and evaluated. Applicants are strongly encouraged to secure non-SPNS funding support during their initiative if the evaluation suggests that the Center is effective and merits continuation.

**Eligible Applicants:** The statute, Section 2691(a) specifies that grants may be awarded to public and non-profit private entities to fund special programs for the care and treatment of people with HIV disease. Eligible applicants may include, but are not limited to, State, local, or tribal public health, mental health, housing, or substance abuse departments; public or non-profit hospitals and medical facilities; faith-based and community-based service organizations (e.g., AIDS service organizations, federally-qualified health centers, family planning centers, AIDS anti-discrimination and advocacy organizations, homeless assistance providers, hemophilia centers, community mental health centers, substance abuse treatment centers, urban and tribal Indian health centers or facilities, migrant health centers, etc.), institutions of higher education (e.g., Historically Black Colleges and Universities, Hispanic Serving Institutions, and Tribal Colleges and Universities), and national service provider and/or policy development associations and organizations.

**Allowable Costs:** The basis for determining allocable and allowable costs to be charged to PHS grants is set forth in 45 CFR Part 74 and 45 CFR Part 92 for State, local, or tribal governments. The four separate sets of cost principles prescribed for public and private non-profit recipients are: Office of Management and Budget (OMB) Circular A-87 for State, local or tribal governments; OMB Circular A-21 for

institutions of higher education; 45 CFR Part 74, Appendix E for hospitals; and OMB Circular A-122 for non-profit organizations. Further information on allowable costs is contained in the Guidance.

**Reporting and Other Requirements:** In addition to deliverables described in guidance materials, the successful applicant under this notice must submit two semi-annual activity summary reports, in accordance with provisions of the general regulations which apply under 45 CFR Part 74.51 "Monitoring and Reporting of Program Performance" (with the exception of State and local governments to which 45 CFR Part 40 reporting requirements apply), and comply with audit requirements of OMB Circular A-133. Further, the PHS also strongly encourages all award recipients to provide a smoke-free workplace and to promote the non-use of all tobacco products. In particular, Public Law 103-227, the Pro-Children Act of 1994, prohibits smoking in certain facilities (or in some cases, any portion of a facility) in which regular or routine education, library, day care, health care or early childhood development services are provided to children.

**Public Health System Reporting Requirements:** This program is also subject to the PHS Reporting Requirements which have been approved by the OMB under No. 0937-0195. Under these requirements, any community-based, non-governmental applicant must prepare and submit a Public Health System Impact Statement (PHSIS). The PHSIS is intended to keep State and local health officials apprised of proposed health services grant applications submitted from within their jurisdictions. Instructions on this matter are contained in the Guidance for this initiative, available from the GAC, previously cited.

**Executive Order 12372:** The SPNS Grant Program is subject to the provisions of Executive Order 12372, concerning intergovernmental review of Federal Programs, as implemented by 45 CFR part 100. Executive Order 12372 allows States the option of setting up a system for reviewing applications from within their States for assistance under certain Federal programs. The application packages to be made available under this notice will contain a listing of States which have chosen to set up a review system and will provide a State Single Point of Contact (SPOC) for the review. Applicants (other than federally recognized Indian tribes) should contact their SPOCs as early as possible to alert them to the prospective applications and receive any necessary

instructions on the State process. For proposed projects serving more than one State, the applicant is advised to contact the SPOC of each affected State. The due date for State process recommendations under E.O. 12372 is 60 days after the application due date. HRSA does not guarantee that it will accommodate or explain its responses to State process recommendations received after that date. (See "Intergovernmental Review of Federal Programs," Executive Order 12372, and CFR part 100, for a description of the review process and requirements.)

**Audit Requirements:** Applicants are required to comply with requirements of OMB Circular A-133. For additional information on this topic, contact the Grants Management Specialist at 301-443-1262.

The OMB Catalog of Federal Domestic Assistance number for the Special Projects of National Significance is 93.928.

Dated: April 3, 2002.

**Elizabeth M. Duke,**  
Administrator.

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## DEPARTMENT OF HEALTH AND HUMAN SERVICES

### Substance Abuse and Mental Health Services Administration

#### Fiscal Year (FY) 2002 Funding Opportunities

**AGENCY:** Substance Abuse and Mental Health Services Administration, DHHS.

**ACTION:** Notice of funding availability.

**SUMMARY:** The Substance Abuse and Mental Health Services Administration (SAMHSA) Center for Mental Health Services (CMHS) announces the availability of FY 2002 funds for grants for the following activity. This notice is not a complete description of the activity; potential applicants must obtain a copy of the Guidance for Applicants (GFA), including Part I, Technical Assistance Resource Center for the Prevention of Violence and Behavioral Health Problems (SM 02-011), and Part II, General Policies and Procedures Applicable to all SAMHSA Applications for Discretionary Grants and Cooperative Agreements, before preparing and submitting an application.

Activity	Application deadline	Est. funds FY 2002	Est. No. of awards	Project period years
Technical Assistance Resource Center for the Prevention of Violence and Behavioral Health Problems.	June 19, 2002 .....	\$3,900,000	1	3

The actual amount available for the award may vary, depending on unanticipated program requirements and the number and quality of applications received. FY 2002 funds for the activity discussed in this announcement were appropriated by the Congress under Public Law 106-310. SAMHSA's policies and procedures for peer review and Advisory Council review of grant and cooperative agreement applications were published in the **Federal Register** (Vol. 58, No. 126) on July 2, 1993.

**General Instructions:** Applicants must use application form PHS 5161-1 (Rev. 7/00). The application kit contains the two-part application materials (complete programmatic guidance and instructions for preparing and submitting applications), the PHS 5161-1 which includes Standard Form 424 (Face Page), and other documentation and forms. Application kits may be obtained from: Knowledge Exchange Network, P.O. Box 42490, Washington, DC 20015, 800-789-2647.

The PHS 5161-1 application form and the full text of the activity are also available electronically via SAMHSA's World Wide Web Home Page: <http://www.samhsa.gov>.

When requesting an application kit, the applicant must specify the particular activity for which detailed information is desired. All information necessary to apply, including where to submit applications and application deadline instructions, are included in the application kit.

**Purpose:** The Substance Abuse and Mental Health Administration (SAMHSA), Center for Mental Health Services (CMHS)—in collaboration with the Administration for Children and Families Office for Refugee Resettlement (AFC/ORR), the Department of Education, and the Department of Justice—announces the availability of Fiscal Year (FY) 2002 funds for a cooperative agreement to develop and operate a technical assistance (TA) resource center for the prevention of violence and behavioral health problems.

The TA Center will provide technical assistance to existing grantees and to prospective grantees. These two broad based functions are:

1. The TA Center will provide technical assistance to approximately 160 grantees from three CMHS

Prevention Programs Safe Schools/Healthy Students, Youth Violence Prevention Cooperative Agreements, and Targeted Capacity Expansion in order to assist those grant sites in meeting their project objectives.

2. The TA Center will provide comprehensive outreach, consultation, and technical assistance to entities seeking Federal grant funding to support mental health promotion and violence prevention activities for underserved populations, including: racial and ethnic minorities, refugees, and individuals in low-income rural communities.

**Eligibility:** Eligibility is open to domestic, public, and private not-for-profit entities. For example, the following are eligible to apply:

- Community based organizations.
- Private and public colleges and universities, including minority institutions of higher learning (historically black colleges and universities, Hispanic-serving institutions, and tribal colleges and universities).
- Social policy research centers.
- Consumer-run organizations.
- Faith-based organizations.

**Availability of Funds:** One award will be made up for \$3.9 million annually to cover total costs (direct and indirect) for this initiative. The actual funding level will depend on the availability of funds.

**Period of Support:** Support may be requested for up to 3 years. Annual continuation awards depend on the availability of funds and progress achieved.

#### Criteria for Review and Funding

**General Review Criteria:** Competing applications requesting funding under this activity will be reviewed for technical merit in accordance with established PHS/SAMHSA peer review procedures. Review criteria that will be used by the peer review groups are specified in the application guidance material.

**Award Criteria for Scored Applications:** Applications will be considered for funding on the basis of their overall technical merit as determined through the peer review group and the appropriate National Advisory Council review process. Availability of funds will also be an award criteria. Additional award criteria

may be included in the application guidance materials.

**Catalog of Federal Domestic Assistance Number:** 93.243.

**Program Contact:** For questions concerning program issues, contact: John Tuskan, Acting Branch Chief, Special Programs Development Branch, Center for Mental Health Services, 5600 Fishers Lane, Room 17-C-05, Rockville MD, 20857, (301) 443-1761, Email: [jtuskan@samhsa.gov](mailto:jtuskan@samhsa.gov).

For questions regarding grants management issues, contact: Steve Hudak, Division of Grants Management, Substance Abuse and Mental Health Services Administration, 5600 Fishers Lane 13-103, Rockville, MD 20857, (301) 443-9666, E-Mail: [shudak@samhsa.gov](mailto:shudak@samhsa.gov).

**Public Health System Reporting Requirements:** The Public Health System Impact Statement (PHSIS) is intended to keep state and local health officials apprized of proposed health services grant and cooperative agreement applications submitted by community-based nongovernmental organizations within their jurisdictions.

Community-based nongovernmental service providers who are not transmitting their applications through the State must submit a PHSIS to the head(s) of the appropriate State and local health agencies in the area(s) to be affected not later than the pertinent receipt date for applications. This PHSIS consists of the following information:

- a. A copy of the face page of the application (Standard form 424).
- b. A summary of the project (PHSIS), not to exceed one page, which provides:
  - (1) A description of the population to be served.
  - (2) A summary of the services to be provided.
  - (3) A description of the coordination planned with the appropriate State or local health agencies.

State and local governments and Indian Tribal Authority applicants are not subject to the Public Health System Reporting Requirements. Application guidance materials will specify if a particular activity is subject to the Public Health System Reporting Requirements.

**PHS Non-use of Tobacco Policy Statement:** The PHS strongly encourages all grant and contract recipients to provide a smoke-free workplace and

promote the non-use of all tobacco products. In addition, Public Law 103-227, the Pro-Children Act of 1994, prohibits smoking in certain facilities (or in some cases, any portion of a facility) in which regular or routine education, library, day care, health care, or early childhood development services are provided to children. This is consistent with the PHS mission to protect and advance the physical and mental health of the American people.

*Executive Order 12372:* Applications submitted in response to the FY 2002 activity listed above are subject to the intergovernmental review requirements of Executive Order 12372, as implemented through DHHS regulations at 45 CFR Part 100. E.O. 12372 sets up a system for State and local government review of applications for Federal financial assistance. Applicants (other than Federally recognized Indian tribal governments) should contact the State's Single Point of Contact (SPOC) as early as possible to alert them to the prospective application(s) and to receive any necessary instructions on the State's review process. For proposed projects serving more than one State, the applicant is advised to contact the SPOC

of each affected State. A current listing of SPOCs is included in the application guidance materials. The SPOC should send any State review process recommendations directly to: Division of Extramural Activities, Policy, and Review, Substance Abuse and Mental Health Services Administration, Parklawn Building, Room 17-89, 5600 Fishers Lane, Rockville, Maryland 20857.

The due date for State review process recommendations is no later than 60 days after the specified deadline date for the receipt of applications. SAMHSA does not guarantee to accommodate or explain SPOC comments that are received after the 60-day cut-off.

Dated: April 24, 2002.

**Richard Kopanda,**

*Executive Officer, SAMHSA.*

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**DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**Substance Abuse and Mental Health Services Administration**

**Fiscal Year (FY) 2002 Funding Opportunities**

**AGENCY:** Substance Abuse and Mental Health Services Administration, DHHS.

**ACTION:** Notice of funding availability.

**SUMMARY:** The Substance Abuse and Mental Health Services Administration (SAMHSA) Center for Mental Health Services (CMHS) announces the availability of FY 2002 funds for grants for the following activity. This notice is not a complete description of the activity; potential applicants must obtain a copy of the Guidance for Applicants (GFA), including Part I, Workforce Training Grants to Reduce Racial and Ethnic Disparities in Mental Health Services (SM 02-005), and Part II, General Policies and Procedures Applicable to all SAMHSA Applications for Discretionary Grants and Cooperative Agreements, before preparing and submitting an application.

Activity	Application deadline	Est. funds FY 2002	Est. No. of awards	Project period (years)
Workforce Training Grants to Reduce Racial and Ethnic Disparities in Mental Health Services.	June 19, 2002 .....	\$1,600,000	4	3

The actual amount available for the award may vary, depending on unanticipated program requirements and the number and quality of applications received. FY 2002 funds for the activity discussed in this announcement were appropriated by the Congress under Public Law 106-310. SAMHSA's policies and procedures for peer review and Advisory Council review of grant and cooperative agreement applications were published in the **Federal Register** (Vol. 58, No. 126) on July 2, 1993.

*General Instructions:* Applicants must use application form PHS 5161-1 (Rev. 7/00). The application kit contains the two-part application materials (complete programmatic guidance and instructions for preparing and submitting applications), the PHS 5161-1 which includes Standard Form 424 (Face Page), and other documentation and forms. Application kits may be obtained from: Knowledge Exchange Network, P.O. Box 42490, Washington, DC 20015, 800-789-2647.

The PHS 5161-1 application form and the full text of the activity are also

available electronically via SAMHSA's World Wide Web Home Page: <http://www.samhsa.gov>.

When requesting an application kit, the applicant must specify the particular activity for which detailed information is desired. All information necessary to apply, including where to submit applications and application deadline instructions, are included in the application kit.

*Purpose:* The Substance Abuse and Mental Health Services Administration (SAMHSA), Center for Mental Health Services (CMHS) announces the availability of fiscal year 2002 funds for up to four grants to develop, implement, document, and evaluate training programs designed to enhance the ability of mental health providers to provide culturally and linguistically appropriate services to racial and ethnic minorities. By implementing this program, CMHS plans to identify effective "workforce training" models that improve the capacity of the mental health workforce and the mental health system to engage, treat, and support racial and ethnic minority persons with

mental illnesses or serious emotional disturbance.

*Eligibility:* Applications may be submitted by units of State and local or Indian tribal governments, universities and colleges, and domestic private organizations, health care delivery systems, consumer and family organizations, tribal organizations and others.

Minority-based non-profit organizations are encouraged to apply. These include:

- Organizations and agencies serving bilingual/bicultural racial and ethnic minority populations.
- Historically Black Colleges and Universities.
- Tribal Colleges and Universities.
- Hispanic Association of Colleges and University members.

*Availability of Funds:* Approximately \$1.6 million will be available for four Workforce training grant awards. The average annual award will range from \$300,000 to \$400,000 in total costs (direct and indirect). Actual funding levels will depend on the availability of funds.