DEPARTMENT OF HEALTH AND HUMAN SERVICES

Centers for Medicare and Medicaid Services

[Document Identifier: CMS–855]

Emergency Clearance: Public Information Collection Requirements Submitted to the Office of Management and Budget (OMB)

AGENCY: Centers for Medicare and Medicaid Services, HHS.

In compliance with the requirement of section 3506(c)(2)(A) of the Paperwork Reduction Act of 1995, the Centers for Medicare and Medicaid Services (CMS) (formerly known as the Health Care Financing Administration (HCFA)), Department of Health and Human Services, is publishing the following summary of proposed collections for public comment. Interested persons are invited to send comments regarding this burden estimate or any other aspect of this collection of information, including any of the following subjects: (1) The necessity and utility of the proposed information collection for the proper performance of the agency’s functions; (2) the accuracy of the estimated burden; (3) ways to enhance the quality, utility, and clarity of the information to be collected; and (4) the use of automated collection techniques or other forms of information technology to minimize the information collection burden.

We are, however, requesting an emergency review of the information collection referenced below. In compliance with the requirement of section 3506(c)(2)(A) of the Paperwork Reduction Act of 1995, we have submitted to the Office of Management and Budget (OMB) the following requirements for emergency review. We are requesting an emergency review because the collection of this information is needed before the expiration of the normal time limits under OMB’s regulations at 5 CFR part 1320. This is necessary to ensure compliance with 5 U.S.C. 1395g and 42 CFR 413.20 and 413.24. We cannot reasonably comply with the normal clearance procedures because the approval for this collection lapsed; having approval for the forms is vital to the Medicare program. If we are unable to require specific information from providers and suppliers that want to enroll in Medicare in order to participate in the program, we will have little control over what information they give us without going through a potentially long and drawn out process by going back repeatedly to gather necessary information from the potential providers and suppliers. The alternative would be to accept any supplier or provider for participation in the program, which might result in having otherwise unacceptable providers and suppliers furnishing services to our beneficiaries.

CMS is requesting OMB review and approval of this collection by April 26, 2002, with a 180-day approval period. Written comments and recommendations will be accepted from the public if received by the individuals designated below by April 22, 2002. We published a separate Federal Register notice announcing the initiation of an extensive 60-day agency review and public comment period on these requirements on February 8, 2002. We will submit the requirements for OMB review and an extension of this emergency approval during the 180-day approval period.

Type of Information Collection Request: Reinstatement of a previously approved collection.

Title of Information Collection: Medicare Federal Health Care Programs Provider/Supplier Enrollment Application.

Form No.: HCFA–855 (OMB# 0938–0685).

Use: This information is needed to enroll providers and suppliers into the Medicare program by identifying them, pricing and paying their claims, and verifying their qualifications and eligibility to participate in Medicare.

Frequency: Initial enrollment/recertification and every three years.

Affected Public: Business or other for-profit, Individuals or Households, and Not-for-profit institutions.

Number of Respondents: 1,300,000.

Total Annual Responses: 604,000.

Total Annual Hours: 435,000.

We have submitted a copy of this notice to OMB for its review of these information collections. A notice will be published in the Federal Register when approval is obtained.

To obtain copies of the supporting statement and any related forms for the proposed paperwork collections referenced above, access CMS’s Web Site address at http://www.hcfa.gov/regs/prdact95.htm, or e-mail your request, including your address, phone number, OMB number, and CMS document identifier, to: Paperwork@hcfa.gov, or call the Reports Clearance Office on (410) 786–1326.

Interested persons are invited to send comments regarding the burden or any other aspect of these collections of information requirements. However, as noted above, comments on these information collection and recordkeeping requirements must be mailed and/or faxed to the designees referenced below, by April 22, 2002.


John P. Burke, III,
CMS Reports Clearance Officer, CMS, Office of Information Services, Security and Standards Group, Division of CMS Enterprise Standards.

[Program Announcement No. ACYF/CB–2002–01]

Announcement of the Availability of Financial Assistance and Request for Applications To Support Adoption Opportunities Demonstration Projects, the Abandoned Infants Assistance Resource Center, Migrant and Tribal Community-Based Family Resource and Support Programs, and a Community-Based Family Resource and Support Resource Center

AGENCY: Administration on Children, Youth and Families (ACYF), ACF, DHHS.

ACTION: Notice.
Statutory Authority and Catalog of Federal Domestic Assistance (CFDA) Numbers

Community-Based Family Resource and Support: Title II, Sec. 201 of the Child Abuse Prevention and Treatment Act, as amended [42 USC 5116 et seq.] CFDA: 93.590.

SUMMARY: The Children’s Bureau (CB) within the Administration on Children, Youth and Families (ACYF), Administration for Children and Families (ACF) announces the availability of fiscal year (FY) 2002 funds for competing new Adoption Opportunities Program and Abandoned Infants Assistance. Funds from the Adoption Opportunities Program are designed to provide support for demonstration projects that facilitate the elimination of barriers to adoption and provide permanent loving homes for children who would benefit from adoption, particularly children with special needs. Funds from the Adoption Opportunities Program and the Children’s Health Act of 2002 support the National Adoption Internet Photolisting Service Information Exchange and Special Needs Adoption Recruitment and Adoptive Family Support Project. Funds from section 101 of the Abandoned Infants Assistance Act, as amended [42 USC 670 note] support the National Resource Center for Programs Serving Abandoned Infants and Infants at Risk of Abandonment and Their Families. The Center provides State and local, private, non-profit agencies and organizations with access to information, methods, techniques and strategies for establishing an effective, coordinated range of comprehensive social and health care services to infants and young children and their families impacted by substance abuse and/or HIV infection. Funds from Title II, Sec. 201 of the Child Abuse Prevention and Treatment Act (CAPTA) support the Community-Based Family Resource and Support resource center and programs.

DATES: The closing time and date for receipt of applications is 4:30 p.m. (Eastern time Zone) on May 30, 2002. Mailed or hand-carried applications received after 4:30 p.m. on the closing date will be classified as late.

Note: The complete program announcement, including all necessary forms, can be downloaded and printed from the Children’s Bureau web site at www.acf.dhhs.gov/programs/ch. Hard copies of the complete program announcement may be requested by calling the National Adoption Information Clearinghouse at 1–888–251–0075. The complete program announcement is necessary for any potential applicant.

FOR FURTHER INFORMATION CONTACT: Sally Flanzer, Children’s Bureau, 202–821–9014.

SUPPLEMENTARY INFORMATION:

Priority Areas
2001A. Adoption Opportunities
2002A.1 Developing Models for Increasing Adoptive Placement of Minority Children

Eligible Applicants: States, local government entities, federally recognized Indian Tribes and tribal organizations, college and universities, public or private non-profit licensed child welfare or adoption agencies, licensed child care or respite care providers, and incorporated adoptive parent groups with experience working with adoptive populations may apply. Faith-based organizations are eligible to apply for these grants. Groups of faith-based and smaller community-based organizations should consider collaborating with existing coalitions or to form new coalitions to work together in conducting projects; however, each coalition must identify a primary applicant responsible for administering the grant. Faith-based, community-based and primary applicants in consortia must be otherwise eligible to apply for these grants. Colleges, universities and for-profit agencies may be included in an application, as a subcontractor or affiliate, but must waive their profit in order to receive Federal funds even under subgrant or subcontract arrangements, with eligible non-profit agencies and organizations.

Project Duration: This announcement is inviting applications for project periods up to three years. Awards, on a competitive basis, will be for a one-year budget period, although project periods may be for three years. Applications for continuation grants funded under these awards beyond the one-year budget period but within the three year project period will be entertained in subsequent years on a noncompetitive basis, subject to availability of funds, satisfactory progress of the grantee and a determination that continued funding would be in the best interest of the Government.

Federal Share of Project Cost: Grant amounts will vary and may range from $50,000 to $300,000 per budget period for each of three years. The dollar amount requested must be fully justified and documented.

Matching Requirements: Grantees must provide at least 10 percent of the total approved cost of the project. The total approved cost of the project is the sum of the ACF share and the non-Federal share. The non-Federal share may be met by cash or in-kind contributions, although applicants are encouraged to meet their match requirements through cash contributions. Therefore, a project requesting $900,000 of Federal funds (based on an award of $300,000 per budget period) must provide a match of at least $99,999 (10 percent of the total project cost). Grantees will be held accountable for commitments of non-Federal resources even if over the amount of the required match. Failure to provide the amount will result in disallowance of Federal match.

Anticipated Number of Projects to be Funded: It is anticipated that four projects will be funded.

2002A.2 Developing Models for Post-Legislation Adoption Services

Eligible Applicants: States, local government entities, federally recognized Indian Tribes and tribal organizations, college and universities, public or private non-profit licensed child welfare or adoption agencies, licensed child care or respite care providers, and incorporated adoptive parent groups with experience working with adoptive populations may apply. Faith-based organizations are eligible to apply for these grants. Groups of faith-based and smaller community-based organizations should consider collaborating with existing coalitions or to form new coalitions to work together in conducting projects; however, each coalition must identify a primary applicant responsible for administering the grant. Faith-based, community-based and primary applicants in consortia must be otherwise eligible to apply for these grants. Colleges, universities and for-profit agencies may be included in an application, as a subcontractor or affiliate, but must waive their profit in order to receive Federal funds even under subgrant or subcontract arrangements, with eligible non-profit agencies and organizations.

Project Duration: This announcement is inviting applications for project periods up to three years. Awards, on a competitive basis, will be for a one-year
budget period, although project periods may be for three years. Applications for continuation grants funded under these awards beyond the one-year budget period but within the three year project period will be entertained in subsequent years on a noncompetitive basis, subject to availability of funds, satisfactory progress of the grantee and a determination that continued funding would be in the best interest of the Government.

**Federal Share of Project Cost:** Grant amounts will vary and may range from $50,000 to $300,000 per budget period for each of the three years. The dollar amount requested must be justified as appropriate to the activities proposed.

**Matching Requirements:** Grantees must provide at least 10 percent of the total approved cost of the project. The total approved cost of the project is the sum of the ACF share and the non-Federal share. The non-Federal share may be met by cash or in-kind contributions, although applicants are encouraged to meet their match requirements through cash contributions. Therefore, a project requesting $900,000 of Federal funds (based on an award of $300,000 per budget period) must provide a match of at least $99,999 (10 percent of the total project cost). Grantees will be held accountable for commitments of non-Federal resources even if over the amount of the required match. Failure to provide the amount will result in disallowance of Federal match.

**Anticipated Number of Projects to be Funded:** It is anticipated that four projects will be funded.

2002A.3 Developing Models of Respite Care as a Service for Families Who Adopt Children With Special Needs

**Eligible Applicants:** States, local government entities, federally recognized Indian Tribes and tribal organizations, college and universities, public or private non-profit licensed child welfare or adoption agencies, licensed child care or respite care providers, and incorporated adoptive parent groups with experience working with adoptive populations may apply. Faith-based organizations are eligible to apply for these grants. Groups of faith-based and smaller community-based organizations should consider collaborating with existing coalitions or to form new coalitions to work together in conducting projects; however, each coalition must identify a primary applicant responsible for administering the grant. Faith-based, community-based, and primary applicants in consortia must be otherwise eligible to apply for these grants. Colleges, universities and for-profit agencies may be included in an application as subcontractors or affiliates, but must waive their profit in order to receive Federal funds even under subgrant or subcontract arrangements, with eligible non-profit agencies and organizations.

**Project Duration:** This announcement is inviting applications for project periods up to three years. Awards, on a competitive basis, will be for a one-year budget period, although project periods may be for three years. Applications for continuation grants funded under these awards beyond the one-year budget period but within the three year project period will be entertained in subsequent years on a noncompetitive basis, subject to availability of funds, satisfactory progress of the grantee and a determination that continued funding would be in the best interest of the Government.

**Federal Share of Project Costs:** Grant amounts will vary and may range from $50,000 to $300,000 per budget-year for each of the three years.

**Matching or Cost Sharing Requirement:** Grantee must provide at least 10 percent of the total approved cost of the project. The total approved cost of the project is the sum of the ACF share and the non-Federal share. The non-Federal share may be met by cash or in-kind contributions, although applicants are encouraged to meet their match requirements through cash contributions. Therefore, a project requesting $900,000 of Federal funds (based on an award of $300,000 per budget period) must provide a match of at least $99,999 (10 percent of the total project cost). Grantees will be held accountable for commitments of non-Federal resources even if over the amount of the required match. Failure to provide the amount will result in disallowance of Federal match.

**Anticipated Number of Projects to be Funded:** It is anticipated that four projects will be funded.

2002A.4 National Adoption Internet Photolisting Service-AdoptUSKids, the National Adoption Information Exchange System, and Special Needs Adoption Recruitment and Adoptive Family Support Project

**Eligible Applicants:** Any national, State, or local government entity, public or private non-profit agency, organization or university with demonstrated experience in adoption and the ability to maintain a National Adoption Internet Photolisting Service-AdoptUSKids, the National Adoption Information Exchange System, and mount a special needs adoption recruitment and adoptive family support activity. Faith-based organizations are eligible to apply for this grant but also must be otherwise eligible. The Children’s Bureau will accept applications that represent partnerships with private non-profit agencies, organizations, universities, and foundations with experience in adoption and child welfare issues and subcontracts with firms specializing in these tasks. Applications representing multiple entities must identify a primary applicant responsible for administering the grant.

**Project Duration:** This announcement is inviting applications for project periods up to five years. Awards, on a competitive basis, will be for a one-year budget period, although project periods may be for five years. Applications for continuation grants funded under these awards beyond the one-year budget period but within the five year project period will be entertained in subsequent years on a noncompetitive basis, subject to availability of funds, satisfactory progress of the grantee and a determination that continued funding would be in the best interest of the Government.

**Federal Share of Project Costs:** The maximum Federal share of the project is $4,439,000 per budget year.

**Matching or Cost Sharing Requirement:** This project is being funded under both the Adoptions Opportunity program, which has no match requirement, and the Children’s Health Act, which has a 25 percent match requirement. Therefore, grantees must provide at least 25 percent of only $2,839,000 of the total approved cost of the project. The non-Federal share may be met by cash or in-kind contributions, although applicants are encouraged to meet their match requirements through cash contributions. Therefore, a project requesting any amount more than $14,195,000 of Federal funds (based on an award of more than $2,839,000 per budget period) must provide a match of at least $4,731,667 (25 percent of $14,195,000 of the total project cost). Grantees will be held accountable for commitments of non-Federal resources even if over the amount of the required match. Failure to provide the amount will result in disallowance of Federal match.

**Anticipated Number of Projects to be Funded:** It is anticipated that one project will be funded.

2002A.5 Addressing Barriers to Cross-Jurisdictional Placement

**Eligible Applicants:** State child welfare agencies, State courts, licensed child welfare or adoption agencies, advocacy groups, associations that...
support cross-jurisdictional adoption activities, public or private faith and community-based non-profit licensed child welfare or adoption agencies, and coalitions or collaborations of those groups are eligible to apply but must identify a principal applicant. Faith-based organizations are eligible to apply for these grants. Faith-based, community-based and primary applicants in collaborations or coalitions must be otherwise eligible to apply for these grants.

**Project Duration:** This announcement is inviting applications for project periods up to five years. Awards, on a competitive basis, will be for a one-year budget period, although project periods may be for four years. Applications for continuation grants funded under these awards beyond the one-year budget period but within the four year project period will be entertained in subsequent years on a noncompetitive basis, subject to availability of funds, satisfactory progress of the grantee and a determination that continued funding would be in the best interest of the Government.

**Federal Share of Project Costs:** The Federal share of the project is $700,000 per 12-month budget period.

**Matching Requirement:** Grantees must provide at least 10 percent of the total approved cost of the project. The total approved cost of the project is the sum of the ACF share and the non-Federal share. The non-Federal share may be met by cash or in-kind contributions, although applicants are encouraged to meet their match requirements through cash contributions. Therefore, a project requesting $2,800,000 of Federal funds (based on an award of $700,000 per budget period) must provide a match of at least $311,111 (10 percent of the total project cost). Grantees will be held accountable for commitments of non-Federal resources even if over the amount of the required match. Failure to provide the amount will result in disallowance of Federal match.

**Anticipated Number of Projects to be Funded:** It is anticipated that one project will be funded.

**2002C.1 National Resource Center for Community-Based Family Resource and Support Programs**

_Eligible Applicants:_ Public or private non-profit agencies, including faith-based agencies, organizations, and institutions of higher education may apply. Collaborative efforts and interdisciplinary approaches are encouraged. Faith-based organizations are eligible to apply. Applications from collaborations must identify a primary applicant responsible for administering the grants.

**Project Duration:** This announcement is inviting applications for a project period up to two years. The award, on a competitive basis, will be for a one-year budget period, although the project period may be for two years. Applications for continuation grants funded under these awards beyond the one-year budget period will be entertained in subsequent years on a noncompetitive basis, subject to availability of funds, satisfactory progress of the grantee and a determination that continued funding would be in the best interest of the Government.

**Federal Share of Project Costs:** The maximum Federal share of the project is $1,075,000 per budget year.

**Matching or Cost Sharing Requirement:** Grantees must provide at least 10 percent of the total approved cost of the project. The total approved cost of the project is the sum of the ACF share and the non-Federal share. The non-Federal share may be met by cash or in-kind contributions, although applicants are encouraged to meet their match requirements through cash contributions. Therefore, a project requesting $2,150,000 of Federal funds (based on an award of $1,075,000 per budget period) must provide a match of at least $238,889 (10 percent of the total project cost). Grantees will be held accountable for commitments of non-Federal resources even if over the amount of the required match. Failure to provide the amount will result in disallowance of Federal match.

**Anticipated Number of Projects to be Funded:** It is anticipated that one project will be funded.

**2002C.2 Grants to Tribes, Tribal Organizations, and Migrant Programs for Community-Based Family Resource and Support Programs**

It is anticipated that three grants (one each to a tribe, a tribal organization, and a migrant program) will be funded under this announcement for $109,450 per grantee for FY 2002. This amount reflects the maximum Federal share of this project not exceeding one-third (1/3) of one percent (1%) of the Federal appropriation for Title II for each 12-month budget period.

_Eligible Applicants:_ Indian tribes, tribal organizations, and migrant programs with the capacity to establish and maintain family resource services for the prevention of child abuse and neglect and linkages with the State Network of Community-Based Family Resource and Support Programs may apply. Collaborative efforts and interdisciplinary approaches are encouraged. Applicants must specify if they are applying as a “Tribe” or “Tribal Organization” or “Migrant Program.”

**Project Duration:** This announcement is inviting applications for project periods up to three years. Awards, on a competitive basis, will be for a one-year budget period, although project periods may be for three years. Applications for continuation grants funded under these awards beyond the one-year budget period but within the three-year project period will be entertained in subsequent years on a noncompetitive basis, subject to availability of funds, satisfactory
progress of the grantee and a determination that continued funding would be in the best interest of the Government.

**Federal Share of Project Costs:** The maximum Federal share of the project is projected to be $109,450 per budget year.

**Matching or Cost Sharing Requirement:** There is no match required.

**Anticipated Number of Projects To Be Funded:** It is anticipated that three projects will be funded, one in each area.

**Evaluation Criteria**

Reviewers will consider the following factors when scoring applications. Applicants, in order to adequately prepare their applications, must refer to the full program announcement for the specific evaluation criteria for each priority area. The points awarded for each criterion vary, depending on the specific area.

**Criterion 1: Objectives and Need for Assistance.** Applications will be judged on the extent to which they clearly specify the purposes and/or strategies of the proposed project and their relationship to legislative authority and child welfare outcomes, as appropriate; the quality of their statement regarding the need for the project; and evidence that the applicant understands current issues and recent developments in the field that may have relevance to the implementation of the project.

Applicants must refer to the specific evaluation criteria for each priority area contained in the full Program Announcement in order to adequately prepare their applications. The points awarded for this criterion vary, depending on the specific priority area.

**Criterion 2: Approach.** Applicants will be judged on the clarity, feasibility, and thoroughness of their description of the approach that they intend to use in implementing proposed projects. The approach sections will be expected to include, as appropriate, information on barriers to implementation and proposed solutions to those barriers; necessary collaborations with other organizations and agencies and their respective roles; evaluation plans; reporting requirements; and staffing plans. Applicants must refer to the specific evaluation criteria for each priority area contained in the full Program Announcement in order to adequately prepare their applications. The points awarded for this criterion vary, depending on the specific priority area.

**Criterion 3: Organizational Profiles.** Applicants will be judged on the experience and demonstrated competence of staff who are proposed to implement the project and, as appropriate, the experience of the organization in implementing related projects. Applicants must refer to the specific evaluation criteria for each priority area contained in the full Program Announcement in order to adequately prepare their applications. The points awarded for this criteria vary, depending on the specific priority area.

**Criterion 4: Budget and Budget Justification.** Applicants will be judged on the adequacy, reasonableness, and completeness of their budget requests to support their proposed projects, including their management plans to control and account for expenditure of project funds. Applicants must refer to the specific evaluation criteria for each priority area contained in the full Program Announcement in order to adequately prepare their applicants. The points awarded for their criterion vary, depending on the specific priority area.

**Required Notification of the Single Point of Contact**

Most portions of this program are covered under Executive Order 12372, Intergovernmental Review of Federal Programs, and 45 CFR part 100, Intergovernmental Review of Department of Health and Human Services Program and Activities. Under the Order, States may design their own processes for reviewing and commenting on proposed Federal assistance under covered programs.

All States and Territories except Alabama, Alaska, Arizona, Colorado, Connecticut, Hawaii, Idaho, Indiana, Kansas, Louisiana, Massachusetts, Minnesota, Montana, Nebraska, New Jersey, New York, Ohio, Oklahoma, Oregon, Palau, Pennsylvania, South Dakota, Tennessee, Vermont, Virginia, Washington, and Wyoming have elected to participate in the Executive Order process and have established Single Points of Contact (SPOCs). Applicants from these jurisdictions need take no action regarding E.O. 12372. Applicants for projects to be administered by Federally recognized Indian Tribes are also exempt from the requirements of E.O. 12372. Applicants to the Adoption Opportunities program are also exempt from the requirements of E.O. 12372. Otherwise, applicants should contact their SPOCs as soon as possible to alert them of the prospective applications and receive any necessary instructions. Applicants must submit any required material to the SPOCs as soon as possible so that the program office can obtain and review SPOC comments as part of the award process. It is imperative that the applicant submit all required materials, if any, to the SPOC and indicate the date of this submittal (or the date of contact if no submittal is required) on the Standard Form 424, item 16a.

Under 45 CFR 100.8(a)(2), a SPOC has 60 days from the application deadline to comment on proposed new or competing continuation awards. SPOCs are encouraged to eliminate the submission of routine endorsements as official recommendations. Additionally, SPOCs are requested to clearly differentiate between mere advisory comments and those official State process recommendations which may trigger the accommodate or explain rule. A list of the Single Points of Contact for each State and Territory can be found on line at http://www.whitehouse.gov/omb/grants/spoc.html.


Joan E. Ohl,
Commissioner, Administration on Children, Youth and Families.
[FR Doc. 02–8754 Filed 4–10–02; 8:45 am]

**DEPARTMENT OF HEALTH AND HUMAN SERVICES**

**Food and Drug Administration**

[Docket No. 02F–0142]

**Cyanotech Corp.; Filing of Food Additive Petition**

**AGENCY:** Food and Drug Administration, HHS.

**ACTION:** Notice.

**SUMMARY:** The Food and Drug Administration (FDA) is announcing that Cyanotech Corp. has filed a petition proposing that the food additive regulations be amended to provide for the safe use of Haematococcus algae astaxanthin as a nutrient supplement.

**FOR FURTHER INFORMATION CONTACT:** James C. Wallwork, Center for Food Safety and Applied Nutrition (HFS–215), Food and Drug Administration, 5100 Paint Branch Pkwy, College Park, MD 20740, 202–418–3078.

**SUPPLEMENTARY INFORMATION:** Under the Federal Food, Drug, and Cosmetic Act (sec. 409(b)(5) [21 U.S.C. 348(b)(5)]), notice is given that a food additive petition (FAP 2A4732) has been filed by Cyanotech Corp., c/o T. Todd Lorenz, 11034 West Ocean Air Dr., # 232, San Diego, CA 92130. The petition proposes to amend the food additive regulations in part 172 Food Additives Permitted for Direct Addition to Food for Human