

employees can respond to RRB notices of applications and claims manually by mailing a completed ID-4K back to the RRB or electronically via EDI. No changes are being proposed to Form Letter ID-4K.

#### ESTIMATE OF ANNUAL RESPONDENT BURDEN

RRB messages	Annual responses	Time (min)	Burden (hrs)
ID-4K (EDI version) .....	16,100	1	377
ID-4K (manual) ....	2,500	2	83
Total	18,600	.....	460

<sup>1</sup> The burden for the 9 participating employers who transmit EDI responses is calculated at 10 minutes each per day, 251 workdays a year or 377 total hours of burden.

**FOR FURTHER INFORMATION CONTACT:** To request more information or to obtain a copy of the information collection justification, forms, and/or supporting material, please call the RRB Clearance Officer at (312) 751-3363. Comments regarding the information collection should be addressed to Ronald J. Hodapp, Railroad Retirement Board, 844 N. Rush Street, Chicago, Illinois 60611-2092. Written comments should be received within 60 days of this notice.

**Chuck Mierzwa,**  
Clearance Officer.

[FR Doc. 02-3179 Filed 2-8-02; 8:45 am]

**BILLING CODE 7905-01-M**

#### RAILROAD RETIREMENT BOARD

##### Agency Forms Submitted for OMB Review

**SUMMARY:** In accordance with the Paperwork Reduction Act of 1995 (44 U.S.C. chapter 35), the Railroad Retirement Board (RRB) has submitted the following proposal(s) for the collection of information to the Office of Management and Budget for review and approval.

##### Summary of Proposal(s)

- (1) *Collection title:* Supplemental Information on Accident and Insurance.
- (2) *Form(s) submitted:* SI-1c, SI-5, ID-3s, ID-3s-1, ID3u, ID-30k, ID-30k-1.
- (3) *OMB Number:* 3220-0036.
- (4) *Expiration date of current OMB clearance:* 4/30/2002.
- (5) *Type of request:* Extension of a currently approved collection.

(6) *Respondents:* Individuals or households, Business or other for-profit.

(7) *Estimated annual number of respondents:* 28,500.

(8) *Total annual responses:* 28,500.

(9) *Total annual reporting hours:* 1,691.

(10) *Collection description:* The Railroad Unemployment Insurance Act provides for the recovery of sickness benefits paid if an employee receives a settlement for the same injury for which benefits were paid. The collection obtains information about the person or company responsible for such payments that is needed to determine the amount of the RRB's entitlement.

##### FOR FURTHER INFORMATION CONTACT:

Copies of the forms and supporting documents can be obtained from Chuck Mierzwa, the agency clearance officer (312-751-3363). Comments regarding the information collection should be addressed to Ronald J. Hodapp, Railroad Retirement Board, 844 North Rush Street, Chicago, Illinois, 60611-2092 and to the OMB Desk Officer for the RRB, at the Office of Management and Budget, Room 10230, New Executive Office Building, Washington, DC 20503.

**Chuck Mierzwa,**

Clearance Officer.

[FR Doc. 02-3175 Filed 2-8-02; 8:45 am]

**BILLING CODE 7905-01-M**

#### RAILROAD RETIREMENT BOARD

##### Agency Forms Submitted for OMB Review

**SUMMARY:** In accordance with the Paperwork Reduction Act of 1995 (44 U.S.C. chapter 35), the Railroad Retirement Board (RRB) has submitted the following proposal(s) for the collection of information to the Office of Management and Budget for review and approval.

##### Summary of Proposal(s)

- (1) *Collection title:* Railroad Service and Compensation Reports.
- (2) *Form(s) submitted:* BA-3a, BA-4.
- (3) *OMB Number:* 3220-0008.
- (4) *Expiration date of current OMB clearance:* 3/31/2002.
- (5) *Type of request:* Revision of a currently approved collection.
- (6) *Respondents:* Business or other for-profit.
- (7) *Estimated annual number of respondents:* 579.
- (8) *Total annual responses:* 1,028.
- (9) *Total annual reporting hours:* 37,980.
- (10) *Collection description:* Under the Railroad Retirement Act and the Railroad Unemployment Insurance Act,

employers are required to report service and compensation for each employee to update Railroad Retirement Board records for payments of benefits.

##### FOR FURTHER INFORMATION CONTACT:

Copies of the forms and supporting documents can be obtained from Chuck Mierzwa, the agency clearance officer (312-751-3363). Comments regarding the information collection should be addressed to Ronald J. Hodapp, Railroad Retirement Board, 844 North Rush Street, Chicago, Illinois, 60611-2092 and to the OMB Desk Officer for the RRB, at the Office of Management and Budget, Room 10230, New Executive Office Building, Washington, DC 20503.

**Chuck Mierzwa,**

Clearance Officer.

[FR Doc. 02-3176 Filed 2-8-02; 8:45 am]

**BILLING CODE 7905-01-M**

#### RAILROAD RETIREMENT BOARD

##### Agency Forms Submitted for OMB Review

**SUMMARY:** In accordance with the Paperwork Reduction Act of 1995 (44 U.S.C. chapter 35), the Railroad Retirement Board (RRB) has submitted the following proposal(s) for the collection of information to the Office of Management and Budget for review and approval.

##### Summary of Proposal(s)

- (1) *Collection title:* Sick Pay and Miscellaneous Payments Report.
  - (2) *Form(s) submitted:* BA-10.
  - (3) *OMB Number:* 3220-0175.
  - (4) *Expiration date of current OMB clearance:* 3/31/2002.
  - (5) *Type of request:* Extension of a currently approved collection.
  - (6) *Respondents:* Business or other for-profit.
  - (7) *Estimated annual number of respondents:* 239.
  - (8) *Total annual responses:* 239.
  - (9) *Total annual reporting hours:* 219.
  - (10) *Collection description:* The Railroad Retirement Solvency Act of 1983 added section 1(h)(8) to the Railroad Retirement Act expanding the definition of compensation for purposes of computing the Tier 1 portion of an annuity to include sickness payments and certain payments other than sick pay which are considered compensation within the meaning of section 1(h)(8). The collection obtains the sick pay and other types of payments considered compensation within the meaning of Section 1(h)(8).
- FOR FURTHER INFORMATION CONTACT:** Copies of the forms and supporting

documents can be obtained from Chuck Mierzwa, the agency clearance officer (312-751-3363). Comments regarding the information collection should be addressed to Ronald J. Hodapp, Railroad Retirement Board, 844 North Rush Street, Chicago, Illinois, 60611-2092 and to the OMB Desk Officer for the RRB, at the Office of Management and Budget, Room 10230, New Executive Office Building, Washington, DC 20503.

**Chuck Mierzwa,**  
Clearance Officer,

[FR Doc. 02-3177 Filed 2-8-02; 8:45 am]

BILLING CODE 7905-01-M

## SECURITIES AND EXCHANGE COMMISSION

[Release No. IC-25409; 812-12296]

### Nuveen Exchange-Traded Index Trust, et al.; Notice of Application

February 5, 2002.

**AGENCY:** Securities and Exchange Commission ("Commission")

**ACTION:** Notice of an application for an order under section 6(c) of the Investment Company Act of 1940 (the "Act") for an exemption from sections 2(a)(32), 5(a)(1), 22(d) and 22(e) of the Act and rule 22c-1 under the Act, and under sections 6(c) and 17(b) of the Act for an exemption from sections 17(a)(1) and (a)(2) of the Act.

**SUMMARY OF APPLICATION:** Applicants request an order that would permit (a) an open-end management investment company, whose portfolios will consist of the component securities of certain domestic or foreign equity securities indices, to issue shares of limited redeemability; (b) secondary market transactions in the shares of the portfolios at negotiated prices on the American Stock Exchange LLC ("AMEX") or other national securities exchange; (c) affiliated persons of the portfolios to deposit securities into, and receive securities from, the portfolios in connection with the purchase and redemption of aggregations of the portfolios' shares; and (d) under certain circumstances, certain portfolios that consist of the component securities of foreign equity securities indices to pay redemption proceeds more than seven days after the tender of shares of the portfolios for redemption.

**APPLICANTS:** Nuveen Exchange-Traded Index Trust ("Trust"), Nuveen Advisory Corp. ("Advisor"), and Nuveen Investments ("Distributor").

**FILING DATES:** The application was filed on October 16, 2000, and amended on

April 24, 2001. Applicants have agreed to file an amendment during the notice period, the substance of which is reflected in this notice.

**HEARING OR NOTIFICATION OF HEARING:** An order granting the application will be issued unless the Commission orders a hearing. Interested persons may request a hearing by writing to the Commission's Secretary and serving applicants with a copy of the request, personally or by mail. Hearing requests should be received by the Commission by 5:30 p.m. on March 1, 2002, and should be accompanied by proof of service on applicants, in the form of an affidavit, or for lawyers, a certificate of service. Hearing requests should state the nature of the writer's interest, the reason for the request, and the issues contested. Persons who wish to be notified of a hearing may request notification by writing to the Commission's Secretary.

**ADDRESSES:** Secretary, Commission, 450 Fifth Street, NW., Washington, DC 20549-0609. Applicants, 333 West Wacker Drive, Chicago, IL 60606.

**FOR FURTHER INFORMATION CONTACT:** Stacy L. Fuller, Senior Counsel, at 202-942-0553, or Mary Kay Frech, Branch Chief, at 202-942-0564 (Division of Investment Management, Office of Investment Company Regulation).

**SUPPLEMENTARY INFORMATION:** The following is a summary of the application. The complete application may be obtained for a fee at the Commission's Public Reference Branch, 450 Fifth Street, NW., Washington, DC 20549-0102 (telephone 202-942-8090).

#### Applicants' Representations

1. The Trust is an open-end management investment company registered under the Act and organized as a Massachusetts business trust with multiple series ("Index Funds," which term includes Future Index Funds, as defined below). The Advisor, a wholly owned subsidiary of the Distributor, is registered as an investment adviser under the Investment Advisers Act of 1940 and will serve as the investment adviser for the initial Index Funds (the "Initial Index Funds"). The Advisor may in the future enter into subadvisory agreements with one or more subadvisors ("Sub-Advisors") with respect to particular Index Funds. The Distributor, a broker-dealer registered under the Securities Exchange Act of 1934 (the "Exchange Act"), will serve as the principal underwriter for each Index Fund and will distribute Creation Units (defined below) of Index Fund shares ("Shares") on an agency basis.

2. Each Index Fund will invest in a portfolio of equity securities ("Portfolio Securities") generally consisting of the component securities of a specified domestic or foreign equity securities index (each, an "Underlying Index" and together, the "Underlying Indices").<sup>1</sup> There are three Initial Index Funds, one based on a domestic equity securities index (the "Initial Domestic Fund")<sup>2</sup> and two based on foreign equity securities indices (the "Initial Foreign Funds").<sup>3</sup> In the future, the applicants may offer additional Index Funds based on other domestic or foreign equity securities indices ("Future Domestic Funds" and "Future Foreign Funds," respectively, and collectively "Future Index Funds"). Any Future Index Fund will (a) be advised by the Advisor or an entity controlled by or under common control with the Advisor and (b) comply with the terms and conditions of the order. Future Domestic Funds together with the Initial Domestic Fund are referred to as "Domestic Index Funds," and Future Foreign Funds together with the Initial Foreign Funds are referred to as "Foreign Index Funds." No entity that creates, compiles, sponsors or maintains an Underlying Index will be an affiliated person, as defined in section 2(a)(3) of the Act, or an affiliated person of an affiliated person, of the Trust, the Advisor, any Sub-Advisor, the Distributor, or a promoter of an Index Fund.

3. The investment objective of each Index Fund will be to provide investment results that generally correspond, before fees and expenses, to the price and yield performance of the relevant Underlying Index. Intra-day values of each Underlying Index will be disseminated every 15 seconds throughout the trading day. Each Index Fund will utilize as an investment approach either a replication strategy or a representative sampling strategy. An Index Fund using a replication strategy generally will hold most of the component securities of the Underlying Index in the same approximate proportions as the Underlying Index, but may not hold all of the securities that comprise the Underlying Index in

<sup>1</sup> At least 90% of each Index Fund's assets will be invested in the component securities of its Underlying Index. An Index Fund may invest up to 10% of its assets in certain futures, options and swap contracts, cash and cash equivalents, as well as certain securities not included in the Underlying Index but which the Advisor believes will help the Index Fund track the Underlying Index.

<sup>2</sup> America's Fast Growing Companies™ Index (the "AFGC Index") is the Underlying Index for the Initial Domestic Fund.

<sup>3</sup> The Salomon Smith Barney ("SSB") Panda Index and the SSB Nippon Index are the Underlying Indices for the Initial Foreign Funds.