

*C. Self-Regulatory Organization's Statement on Comments on the Proposed Rule Change Received From Members, Participants, or Others*

No written comments were solicited or received with respect to the proposed rule change.

**III. Date of Effectiveness of the Proposed Rule Change and Timing for Commission Action**

The foregoing rule change has become effective pursuant to section 19(b)(3)(A)(ii) of the Act<sup>10</sup> and rule 19b-4(f)(2)<sup>11</sup> thereunder because it establishes or changes a due, fee, or charge imposed by the Exchange. At any time within 60 days of the filing of such proposed rule change, the Commission may summarily abrogate such rule change if it appears to the Commission that such action is necessary or appropriate in the public interest, for the protection of investors, or otherwise in furtherance of the purposes of the Act.

**IV. Solicitation of Comments**

Interested persons are invited to submit written data, views and arguments concerning the foregoing, including whether the proposed rule change is consistent with the Act. Persons making written submissions should file six copies thereof with the Secretary, Securities and Exchange Commission, 450 Fifth Street, NW., Washington, DC 20549-0609. Copies of the submission, all subsequent amendments, all written statements with respect to the proposed rule change that are filed with the Commission, and all written communications relating to the proposed rule change between the Commission and any person, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. 552, will be available for inspection and copying in the Commission's Public Reference Room. Copies of such filing will also be available for inspection and copying at the principal office of the Amex. All submissions should refer to File No. SR-Amex-2001-101 and should be submitted by January 17, 2002.

For the Commission, by the Division of Market Regulation, pursuant to delegated authority.<sup>12</sup>

**Margaret H. McFarland,**  
*Deputy Secretary.*

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<sup>10</sup> 15 U.S.C. 78s(b)(3)(A)(ii).

<sup>11</sup> 17 CFR 240.19-4(f)(2).

<sup>12</sup> 17 CFR 200.30-3(a)(12).

**DEPARTMENT OF STATE**

**Bureau of Political-Military Affairs**  
**[Public Notice 3859]**

**60-Day Notice of Proposed Information Collections**

In the matter of: Form DS-2032, Statement of Registration (OMB No. 1405-0002); Form DSP-5, Application/License for Permanent Export of Unclassified Defense Articles and Related Unclassified Technical Data (OMB No. 1405-0003); Form DSP-61, Application/License for Temporary Import of Unclassified Defense Articles (OMB No. 1405-0013); Form DSP-73, Application/License for Temporary Export of Unclassified Defense Articles (OMB No. 1405-0023); Form DSP-85, Application/License for Permanent/Temporary Export or Temporary Import of Classified Defense Articles and Classified Technical Data (OMB No. 1405-0022); Form DSP-83, Non-Transfer and Use Certificate (OMB No. 1405-0021); Statement of Political Contributions, Fees, or Commissions in Connection with the Sale of Defense Articles or Services (OMB No. 1405-0025); Form DSP-119, Application for Amendment to License for Export or Import of Classified or Unclassified Defense Articles and Related Technical Data (OMB No. 1405-0092); Form DSP-94, Authority To Export Defense Articles and Services Sold under the Foreign Military Sales (FMS) Program (OMB No. 1405-0051); Request for Approval of Manufacturing License Agreements, Technical Assistance Agreements, and Other Agreements (OMB No. 1405-0093); Maintenance of Records by Registrants (OMB No. 1405-0111); Prior Approval for Brokering Activity (New Collection); and Brokering Activity Reports (New Collection)

**ACTION:** 60-day notice of proposed information collections.

**SUMMARY:** The Department of State is seeking Office of Management and Budget (OMB) approval for the information collection described below. The purpose of this notice is to allow 60 days for public comment in the **Federal Register** preceding submission to OMB. This process is conducted in accordance with the Paperwork Reduction Act of 1995.

The following summarizes the information collection proposals submitted to OMB:

*Type of Request:* Extension of Currently Approved Collection Without Change.

*Originating Office:* Bureau of Political-Military Affairs, Office of Defense Trade Controls, PM/DTC.

*Title of Information Collection:* Statement of Registration.

*Frequency:* Every one to four years.

*Form Number:* DS-2032.

*Respondents:* Business and non-profit organizations.

*Estimated Number of Respondents:* 5,000.

*Average Hours Per Response:* 2 hours.  
*Total Estimated Burden:* 10,000 hours.

(Total Estimated Burden based on number of forms received per year.)

*Type of Request:* Extension of Currently Approved Collection Without Change.

*Originating Office:* Bureau of Political-Military Affairs, Office of Defense Trade Controls, PM/DTC.

*Title of Information Collection:* Application/License for Permanent Export of Unclassified Defense Articles and Related Unclassified Technical Data.

*Frequency:* On occasion.

*Form Number:* DSP-5.

*Respondents:* Business and non-profit organizations.

*Estimated Number of Respondents:* 5,000.

*Average Hours Per Response:* 1 hour.  
*Total Estimated Burden:* 30,000 hours.

(Total Estimated Burden based on number of forms received per year.)

*Type of Request:* Extension of Currently Approved Collection Without Change.

*Originating Office:* Bureau of Political-Military Affairs, Office of Defense Trade Controls, PM/DTC.

*Title of Information Collection:* Application/License for Temporary Import of Unclassified Defense Articles.

*Frequency:* On occasion.

*Form Number:* DSP-61.

*Respondents:* Business and non-profit organizations.

*Estimated Number of Respondents:* 4,500.

*Average Hours Per Response:* 30 minutes.

*Total Estimated Burden:* 4,500 hours.

(Total Estimated Burden based on number of forms received per year.)

*Type of Request:* Extension of Currently Approved Collection Without Change.

*Originating Office:* Bureau of Political-Military Affairs, Office of Defense Trade Controls, PM/DTC.

*Title of Information Collection:* Application/License for Temporary Export of Unclassified Defense Articles.

*Frequency:* On occasion.

*Form Number:* DSP-73.

*Respondents:* Business and non-profit organizations.

*Estimated Number of Respondents:* 5,000.

*Average Hours Per Response:* 1 hour.  
*Total Estimated Burden:* 2,500 hours.

(Total Estimated Burden based on number of forms received per year.)

*Type of Request:* Extension of Currently Approved Collection Without Change.

*Originating Office:* Bureau of Political-Military Affairs, Office of Defense Trade Controls, PM/DTC.

*Title of Information Collection:* Application/License for Permanent/Temporary Export or Temporary Import of Classified Defense Articles and Classified Technical Data.

*Frequency:* On occasion.

*Form Number:* DSP-85.

*Respondents:* Business and non-profit organizations.

*Estimated Number of Respondents:* 1,000.

*Average Hours Per Response:* 1 hour.

*Total Estimated Burden:* 250 hours.

(Total Estimated Burden based on number of forms received per year.)

*Type of Request:* Extension of Currently Approved Collection Without Change.

*Originating Office:* Bureau of Political-Military Affairs, Office of Defense Trade Controls, PM/DTC.

*Title of Information Collection:* Non-Transfer and Use Certificate.

*Frequency:* On occasion.

*Form Number:* DSP-83.

*Respondents:* Business and non-profit organizations.

*Estimated Number of Respondents:* 4,500.

*Average Hours Per Response:* 1 hour.

*Total Estimated Burden:* 17,000

hours.

(Total Estimated Burden based on number of forms received per year.)

*Type of Request:* Extension of Currently Approved Collection Without Change.

*Originating Office:* Bureau of Political-Military Affairs, Office of Defense Trade Controls, PM/DTC.

*Title of Information Collection:* Statement of Political Contributions, Fees, or Commissions in Connection with the Sale of Defense Articles or Services.

*Frequency:* On occasion.

*Form Number:* none.

*Respondents:* Business organizations.

*Estimated Number of Respondents:* 4,500.

*Average Hours Per Response:* 1 hour.

*Total Estimated Burden:* 12,000

hours.

(Total Estimated Burden based on number of forms received per year.)

*Type of Request:* Extension of Currently Approved Collection Without Change.

*Originating Office:* Bureau of Political-Military Affairs, Office of Defense Trade Controls, PM/DTC.

*Title of Information Collection:* Application for Amendment to License for Export or Import of Classified or Unclassified Defense Articles and Related Technical Data.

*Frequency:* On occasion.

*Form Number:* DSP-119.

*Respondents:* Business and non-profit organizations.

*Estimated Number of Respondents:* 5,000.

*Average Hours Per Response:* 30 minutes.

*Total Estimated Burden:* 4,500 hours.

(Total Estimated Burden based on number of forms received per year.)

*Type of Request:* Extension of Currently Approved Collection Without Change.

*Originating Office:* Bureau of Political-Military Affairs, Office of Defense Trade Controls, PM/DTC.

*Title of Information Collection:* Authority to Export Defense Articles and Services Sold under the Foreign Military Sales (FMS) Program.

*Frequency:* On occasion.

*Form Number:* DSP-94.

*Respondents:* Business and foreign government representatives.

*Estimated Number of Respondents:* 4,500.

*Average Hours Per Response:* 30 minutes.

*Total Estimated Burden:* 1,250 hours.

(Total Estimated Burden based on number of forms received per year.)

*Type of Request:* Extension of Currently Approved Collection Without Change.

*Originating Office:* Bureau of Political-Military Affairs, Office of Defense Trade Controls, PM/DTC.

*Title of Information Collection:* Request for Approval of Manufacturing License Agreements, Technical Assistance Agreements, and Other Agreements.

*Frequency:* On occasion.

*Form Number:* none.

*Respondents:* Business organizations.

*Estimated Number of Respondents:* 5,000.

*Average Hours Per Response:* 2 hours.

*Total Estimated Burden:* 10,000

hours.

(Total Estimated Burden based on number of forms received per year.)

*Type of Request:* Extension of Currently Approved Collection Without Change.

*Originating Office:* Bureau of Political-Military Affairs, Office of Defense Trade Controls, PM/DTC.

*Title of Information Collection:* Maintenance of Records by Registrants.

*Frequency:* On occasion.

*Form Number:* none.

*Respondents:* Business organizations.

*Estimated Number of Respondents:* 5,000.

*Average Hours Per Response:* 20 hours per year.

*Total Estimated Burden:* 100,000 hours.

*Type of Request:* New Collection.

*Originating Office:* Bureau of Political-Military Affairs, Office of Defense Trade Controls, PM/DTC.

*Title of Information Collection:* Prior Approval for Brokering Activity.

*Frequency:* On occasion.

*Form Number:* none.

*Respondents:* Business organizations.

*Estimated Number of Respondents:* 200.

*Average Hours Per Response:* 2 hours.

*Total Estimated Burden:* 60 hours.

(Total Estimated Burden based on number of requests received per year.)

*Type of Request:* New Collection.

*Originating Office:* Bureau of Political-Military Affairs, Office of Defense Trade Controls, PM/DTC.

*Title of Information Collection:* Brokering Activity Reports.

*Frequency:* Annual.

*Form Number:* none.

*Respondents:* Business organizations.

*Estimated Number of Respondents:* 200.

*Average Hours Per Response:* 2 hours.

*Total Estimated Burden:* 4,000 hours.

(Total Estimated Burden based on number of forms received per year.)

Public comments are being solicited to permit the agency to:

- Evaluate whether the proposed information collection is necessary for the proper performance of the functions of the agency.

- Evaluate the accuracy of the agency's estimate of the burden of the proposed collection, including the validity of the methodology and assumptions used.

- Enhance the quality, utility, and clarity of the information to be collected.

- Minimize the reporting burden on those who are to respond, including through the use of automated collection techniques or other forms of technology.

*For Additional Information:* Public comments, or requests for additional information, regarding the collection listed in this notice should be directed to William J. Lowell, Director, Office of Defense Trade Controls, Bureau of Political-Military Affairs, SA-1, Room 13th Floor, H1304, 2401 E Street, NW., Washington, DC 20037 (202) 663-7000.

Dated: November 19, 2001.

**Robert W. Maggi,**

*Acting Deputy Assistant Secretary for Security Operations, Bureau of Political-Military Affairs, U.S. Department of State.*

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