

Assistant to the Administrator, Energy Information Administration. Effective May 29, 2001.

Deputy Director to the Director, Office of Public Affairs. Effective May 29, 2001.

Special Assistant to the Director, Office of Scheduling and Advance. Effective May 30, 2001.

#### *Department of Health and Human Services*

Special Assistant to the Secretary of Health and Human Services. Effective May 22, 2001.

Special Outreach Coordinator to the Deputy Assistant Secretary for Public Affairs (Policy and Strategy). Effective May 30, 2001.

#### *Department of Housing and Urban Development*

Staff Assistant to the Assistant Secretary for Public Affairs. Effective May 22, 2001.

Deputy Chief of Staff for Operations and Intergovernmental Relations to the Chief of Staff. Effective May 22, 2001.

Deputy Chief of Staff for Policy and Programs to the Chief of Staff. Effective May 22, 2001.

Staff Assistant to the Assistant Secretary for Administration. Effective May 22, 2001.

Special Assistant to the Deputy Assistant Secretary for Congressional and Intergovernmental Relations. Effective May 24, 2001.

#### *Department of the Interior*

White House Liaison to the Deputy Chief of Staff. Effective April 11, 2001.

Special Executive Assistant to the Secretary of the Interior. Effective May 17, 2001.

Director of Scheduling and Advance to the Deputy Chief of Staff. Effective May 17, 2001.

#### *Department of Justice*

Counsel to the Assistant Attorney General, Office of Policy Development. Effective May 18, 2001.

Special Assistant to the Deputy Attorney General. Effective May 29, 2001.

Attorney Advisor to the Assistant Attorney General, Civil Division. Effective May 29, 2001.

Confidential Assistant to the Attorney General. Effective May 30, 2001.

#### *Department of Labor*

Director of Communications to the Assistant Secretary for Public Affairs. Effective April 18, 2001.

White House Liaison to the Secretary of Labor. Effective May 8, 2001.

Staff Assistant to the Chief of Staff. Effective May 9, 2001.

Staff Assistant to the Assistant Secretary, Office of Congressional and Intergovernmental Affairs. Effective May 18, 2001.

Staff Assistant to the Executive Secretary. Effective May 30, 2001.

#### *Department of the Navy (DOD)*

Special Assistant to the Secretary of the Navy. Effective April 23, 2001.

#### *National Credit Union Administration*

Special Assistant for Public Affairs to the Chairman, National Credit Union Administration. Effective April 23, 2001.

#### *Department of Transportation*

Congressional Liaison Officer to the Director, Office of Congressional Affairs. Effective May 30, 2001.

Special Assistant for Scheduling and Advance to the Secretary of Transportation. Effective May 30, 2001.

Special Assistant to the Secretary of Transportation. Effective May 30, 2001.

Congressional Liaison Officer to the Director, Office of Congressional Affairs. Effective May 30, 2001.

#### *Department of the Treasury*

Deputy Assistant Secretary (Public Liaison) to the Assistant Secretary (Public Affairs). Effective April 18, 2001.

Deputy Director to the Director of Scheduling. Effective April 27, 2001.

Special Assistant to the Assistant Secretary for Public Affairs. Effective May 8, 2001.

Deputy to the Assistant Secretary, Legislative Affairs. Effective May 9, 2001.

Special Assistant to the Director of Scheduling. Effective May 18, 2001.

#### *Environmental Protection Agency*

Special Assistant to the Associate Administrator for Congressional and Intergovernmental Relations. Effective May 21, 2001.

Special Assistant to the Administrator. Effective May 22, 2001.

Special Assistant to the Administrator. Effective May 22, 2001.

Special Assistant to the Associate Administrator for Communications, Education and Media Relations. Effective May 22, 2001.

Director, Office of Communications to the Associate Administrator for Communications, Education and Media Relations. Effective May 24, 2001.

#### *Federal Communications Commission*

Chief, Consumer Information Bureau to the Chairman, Federal Communications Commission. Effective May 9, 2001.

#### *Office of the United States Trade Representative*

Special Assistant to the United States Trade Representative. Effective April 18, 2001.

Confidential Assistant to the United States Trade Representative. Effective May 30, 2001.

Deputy Assistant United States Trade Representative for Congressional Affairs to the Assistant United States Trade Representative. Effective May 30, 2001.

#### *United States Tax Court*

Trial Clerk to a Judge. Effective April 3, 2001.

Secretary (Confidential Assistant) to a Judge. Effective April 4, 2001.

Trial Clerk to a Judge. Effective March 12, 2001.

#### *Official Residence of the Vice President*

Residence Manager and Social Secretary to the Assistant to the Vice President and Chief of Staff to Mrs. Cheney. Effective May 17, 2001.

**Authority:** 5 U.S.C. 3301 and 3302; E.O. 10577, 3 CFR 1954-1958 Comp., P.218.

Office of Personnel Management.

**Steven R. Cohen,**

*Acting Director.*

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**BILLING CODE 6325-01-P**

## **SECURITIES AND EXCHANGE COMMISSION**

### **Proposed Collection; Comment Request**

Upon Written Request; Copies Available From: Securities and Exchange Commission, Office of Filings and Information Services, Washington, DC 20549.

[Extension:

Rules 17Ad-6 and 17Ad-7; SEC File No. 270-151; OMB Control No. 3235-0291]

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission ("Commission") is soliciting comments on the collection of information summarized below. The Commission plans to submit this existing collection of information to the Office of Management and Budget for extension and approval.

- Rules 17Ad-6 and 17Ad-7: Recordkeeping requirements for transfer agents Rule 17Ad-6 under the Securities Exchange Act of 1934 (15 U.S.C. 78b *et seq.*) requires every registered transfer agent to make and keep current records about a variety of

information, such as: (1) Specific operational data regarding the time taken to perform transfer agent activities (to ensure compliance with the minimum performance standards in Rule 17Ad-2 (17 CFR 240.17Ad-2)); (2) written inquiries and requests by shareholders and broker-dealers and response time thereto; (3) resolutions, contracts or other supporting documents concerning the appointment or termination of the transfer agent; (4) stop orders or notices of adverse claims to the securities; and (5) all canceled registered securities certificates.

Rule 17Ad-7 under the Securities Exchange Act of 1934 (15 U.S.C. 78b *et seq.*) requires each registered transfer agent to retain the records specified in Rule 17Ad-6 in an easily accessible place for a period of six months to six years, depending on the type of record or document. Rule 17Ad-7 also specifies the manner in which records may be maintained using electronic, microfilm, and microfiche storage methods.

These recordkeeping requirements ensure that all registered transfer agents are maintaining the records necessary to monitor and keep control over their own performance and for the Commission to adequately examine registered transfer agents on an historical basis for compliance with applicable rules.

We estimate that approximately 1,000 registered transfer agents will spend a total of 500,000 hours per year complying with Rules 17Ad-6 and 17Ad-7. Based on average cost per hour of \$50, the total cost of compliance with Rule 17Ad-6 is \$25,000,000.

Written comments are invited on: (a) Whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden of the proposed collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology. Consideration will be given to comments and suggestions submitted in writing within 60 days of this publication.

Please direct your written comments to Michael E. Bartell, Associate Executive Director, Office of Information Technology, Securities and Exchange Commission, 450 5th Street, NW., Washington, DC 20549.

Dated: June 22, 2001.

**Margaret H. McFarland,**

*Deputy Secretary.*

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**BILLING CODE 8010-01-M**

## SECURITIES AND EXCHANGE COMMISSION

[Release No. IC-25050; 813-238]

### Community Investment Partners IV, L.P., LLLP and The Jones Financial Companies, L.L.L.P.; Notice of Application

June 26, 2001.

**AGENCY:** Securities and Exchange Commission ("Commission").

**ACTION:** Notice of application for an order under sections 6(b) and 6(e) of the Investment Company Act of 1940 (the "Act") exempting the applicants from all provisions of the Act, except section 9, section 17 (other than certain provisions of paragraphs (a), (d), (e), (f), (g) and (j)), section 30 (other than certain provisions of paragraphs (a), (b), (e), and (h)), sections 36 through 53, and the rules and regulations under those sections.

**SUMMARY OF APPLICATION:** Applicants request an order to exempt certain limited liability companies or other entities ("Partnerships") formed for the benefit of key employees of The Jones Financial Companies L.L.L.P. and its affiliates from certain provisions of the Act. Each Partnership will be an "employees' securities company" as defined in section 2(a)(13) of the Act.

**Applicants:** Community Investment Partners IV, L.P., LLLP (the "Initial Partnership") and The Jones Financial Companies, L.L.L.P ("Jones Financial").

**Filing Date:** The application was filed on March 1, 2000 and amended on May 21, 2001. Applicants have agreed to file an amendment during the notice period, the substance of which is reflected in this notice.

**HEARING OR NOTIFICATION OF HEARING:** An order granting the application will be issued unless the Commission orders a hearing. Interested persons may request a hearing by writing to the Commission's Secretary and serving applicants with a copy of the request, personally or by mail. Hearing requests should be received by the Commission by 5:30 p.m. on July 23, 2001, and should be accompanied by proof of service on applicants, in the form of an affidavit, or for lawyers, a certificate of service. Hearing requests should state the nature of the writer's interest, the reason for the request, and the issues

contested. Persons who wish to be notified of a hearing may request notification by writing to the Commission's Secretary.

**ADDRESSES:** Secretary, Commission, 450 Fifth Street, NW., Washington, DC 20549-0609. Applicants, 12555 Manchester Road, St. Louis, MO 63131.

**FOR FURTHER INFORMATION CONTACT:** Sara Crovitz, Senior Counsel, at (202) 942-0667 (Division of Investment Management, Office of Investment Company Regulation).

**SUPPLEMENTARY INFORMATION:** The following is a summary of the application. The complete application may be obtained for a fee at the Commission's Public Reference Branch, 450 Fifth Street, NW., Washington, DC 20549-0101, (202) 942-8090.

### Applicants' Representations

1. Jones Financial is a full-service provider of securities brokerage, insurance brokerage, planning and other financial services and also has a specialized investment banking practice. Jones Financial is a member of the New York, American, Chicago, Toronto, Montreal and London stock exchanges and is a broker-dealer registered under the Securities Exchange Act of 1934 (the "1934 Act"). Jones Financial and its affiliates, as defined in rule 12b-2 under the 1934 Act, are referred to collectively as the "Jones Financial Companies." Community Investment Partners IV, L.P., LLLP is a limited partnership registered under the laws of the state of Missouri.

2. Applicants intend to establish investment programs for the benefit of certain individual current or former partners of the Jones Financial Companies. The Initial Partnership and other partnerships that may in the future be offered to the same class of investors will be limited liability companies or other entities formed under the laws of the state of Missouri, Delaware or another jurisdiction (such other partnerships or other investment vehicles being referred to as "Other Partnerships" and together with the Initial Partnerships, the "Partnership(s)"). Each Partnership is or will be an "employees' securities company" within the meaning of section 2(a)(13) of the Act and will operate as a closed-end management investment company. The goal of the Partnerships is to create investment opportunities that are competitive with those at other brokerage, insurance, investment banking and financial services firms and to facilitate recruitment and retention of high