

For the Commission, by the Division of Market Regulation, pursuant to delegated authority.<sup>14</sup>

**Margaret H. McFarland,**

*Deputy Secretary.*

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## SMALL BUSINESS ADMINISTRATION

### Data Collection Available for Public Comments and Recommendations

**ACTION:** Notice and request for comments.

**SUMMARY:** In accordance with the Paperwork Reduction Act of 1995, this notice announces the Small Business Administration's intentions to request approval on a new, and/or currently approved information collection.

**DATES:** Submit comments on or before June 12, 2001.

**ADDRESSES:** Send all comments regarding whether these information collections are necessary for the proper performance of the function of the agency, whether the burden estimate is accurate, and if there are ways to minimize the estimated burden and enhance the quality of the collections, to Carol Fendler, System Accountant, Office of Small Business Investment, Small Business Administration, 409 3rd Street, S.W., Suite 6300.

**FOR FURTHER INFORMATION CONTACT:** Carol Fendler, System Accountant, (202) 205-7559 or Curtis B. Rich, Management Analyst, (202) 205-7030.

#### SUPPLEMENTARY INFORMATION:

*Title:* Amendments to License Application.

*Form No:* SBA Form 415C.

*Description of Respondents:* Small Business Investment.

*Annual Responses:* 1,200.

*Annual Burden:* 300.

**Jacqueline White,**

*Chief, Administrative Information Branch.*

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## SOCIAL SECURITY ADMINISTRATION

### Agency Information Collection Activities: Comment Request

The Social Security Administration (SSA) publishes a list of information collection packages that will require clearance by the Office of Management and Budget (OMB) in compliance with P.L. 104-13 effective October 1, 1995,

The Paperwork Reduction Act of 1995. SSA is soliciting comments on the accuracy of the agency's burden estimate; the need for the information; its practical utility; ways to enhance its quality, utility and clarity; and on ways to minimize burden on respondents, including the use of automated collection techniques or other forms of information technology.

Written comments and recommendations regarding the information collection(s) should be submitted to the OMB Desk Officer and the SSA Reports Clearance Officer and at the following addresses: (OMB), Office of Management and Budget, Attn: Desk Officer for SSA, New Executive Office Building, Room 10230, 725 17th St., NW, Washington, D.C. 20503; (SSA), Social Security Administration, DCFAM, Attn: Frederick W. Brickenkamp 1-A-21 Operations Bldg., 6401 Security Blvd., Baltimore, MD 21235.

The information collections listed below have been submitted to OMB for clearance. Your comments on the information collections would be most useful if received by OMB and SSA within 30 days from the date of this publication. You can obtain a copy of the OMB clearance packages by calling the SSA Reports Clearance Officer on (410) 965-4145, or by writing to him at the address listed above.

1. Beneficiary Interview and Auditor's Observations Form-0960-0630. The information collected through the Beneficiary Interview and Auditor's Observations form, SSA-322, will be used by SSA's Office of the Inspector General to interview beneficiaries and/or their caregivers to determine whether representative payees are complying with their duties and responsibilities. Respondents to this collection will be randomly selected Supplemental Security Income (SSI) recipients and Social Security beneficiaries that have representative payees.

*Number of Respondents:* 200.

*Frequency of Response:* 1.

*Average Burden Per Response:* 15 minutes.

*Estimated Annual Burden:* 50 hours.

2. Pain Report—Child—0960-0540. The information collected on form SSA-3371-BK will be used to obtain the types of information specified in the regulations and to provide disability interviewers (and applicants/claimants in self-help situations) with a convenient means of recording the information obtained. This information is used by the State disability determination services (DDS) adjudicators and administrative law judges to assess the effects of symptoms

on functionality for determining disability under the Social Security Act. The respondents are applicants for SSI benefits.

*Number of Respondents:* 250,000.

*Frequency of Response:* 1.

*Average Burden Per Response:* 15 minutes.

*Estimated Annual Burden:* 62,500 hours.

3. Modified Benefit Formula Questionnaire—Foreign Pension—0960-0561. The information collected on form SSA-308 is used by SSA to determine exactly how much (if any) of the foreign pension may be used to reduce the amount of the Social Security retirement or disability benefits under the modified benefit formula. The respondents are applicants for Social Security retirement/disability benefits.

*Number of Responses:* 50,000.

*Frequency of Response:* 1.

*Average Burden Per Response:* 10 minutes.

*Estimated Annual Burden:* 8,333 hours.

4. Physical Residual Functional Capacity Assessment; Mental Residual Functional Capacity Assessment—0960-0431. The information collected on form SSA-4734 is needed by SSA to assist in the adjudication of disability claims involving physical and/or mental impairments. The form assists the State DDS to evaluate impairment(s) by providing a standardized data collection format to present findings in a clear, concise and consistent manner. The respondents are State DDSs administering Social Security and SSI disability programs.

*Number of Responses:* 1,130,772.

*Frequency of Response:* 1.

*Average Burden Per Response:* 20 minutes.

*Estimated Annual Burden:* 376,924 hours.

5. Earnings Record Information—0960-0505. The information on Form SSA-L3231-C1 is used by SSA to ensure that the proper person is credited with earnings reported for a minor under age 7. The respondents are businesses reporting earnings for children under age 7.

*Number of Respondents:* 20,000.

*Frequency of Response:* 1.

*Average Burden Per Response:* 10 minutes.

*Estimated Annual Burden:* 3,333 hours.

6. Employer Verification of Earnings After Death—0960-0472. The information collected on Form SSA-L4112 is used by SSA to determine whether wages reported by an employer

<sup>14</sup> 17 CFR 200.30-3(a)(12).

are correct, when SSA records indicate that the wage earner is deceased. The respondents are employers who report wages for a deceased employee.

*Number of Respondents:* 50,000.

*Frequency of Response:* 1.

*Average Burden Per Response:* 10 minutes.

*Estimated Annual Burden:* 8,333 hours.

7. Site Review Questionnaire for Volume Payees, SSA-637; Site Review Questionnaire for Fee-for Service Payees, SSA-638; Site Review—Beneficiary Interview Form, SSA-639—0960-NEW. Titles II and XVI of the Social Security Act provide for the payment of Social Security and SSI benefits to a relative, another person, or an organization when the best interests of the beneficiary will be served. Social Security regulations outline the duties and responsibilities of representative payees and require a written report accounting for these benefits.

To ensure that benefits are being used properly for beneficiaries, SSA will conduct triennial site reviews for fee-for-service payees and volume payees (organizations serving 100 or more beneficiaries). The reviews include a face-to-face meeting with the payee and appropriate staff and examination/verification of a sample of beneficiary records and supporting documentation, and may include beneficiary or custodian interviews. The information gathered using forms SSA-637, SSA-638 and SSA-639 will be used to ensure compliance with representative payment policies and procedures. It will enable SSA to identify poor payee performance and initiate corrective action as appropriate. The respondents are individuals who receive a fee for service, organizations serving as representative payees for 100 or more Social Security and SSI beneficiaries, and beneficiaries or custodians. Following is an estimate of the annual public reporting burden:

|                                             | Volume payees | Fee-for-service payees | Beneficiaries/custodians |
|---------------------------------------------|---------------|------------------------|--------------------------|
| Number of respondents .....                 | 347           | 333                    | 2,040                    |
| Frequency of response .....                 | 1             | 1                      | 1                        |
| Average burden per response (minutes) ..... | 60            | 60                     | 10                       |
| Estimated annual burden (hours) .....       | 347           | 333                    | 340                      |

Dated: April 6, 2001.

**Frederick W. Brickenkamp,**

*Reports Clearance Officer.*

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## DEPARTMENT OF STATE

### [Public Notice 3643]

#### **Bureau of Oceans, International Environmental and Scientific Affairs; Notice of the Availability of Draft Synthesis Report of the IPCC Third Assessment Report and Request for Comments**

#### **ACTION:** Notice.

**SUMMARY:** The Intergovernmental Panel on Climate Change (IPCC) has prepared a draft Synthesis Report for its Third Assessment Report (TAR) on Climate Change. The IPCC is an international scientific body that prepares assessments on the state of knowledge on climate change, its potential impacts, and options for response. The topics covered by the Panel are diverse and range from detection and attribution of climate change, evaluation of climate models, and prediction of climate change, to impacts of climate change on ecosystems and human activities, evaluation of options for adaptation, and technical assessments of options for reducing emissions of greenhouse gases.

During April and May, 2001, IPCC is coordinating a simultaneous expert and government review of the draft Synthesis Report. This report synthesizes the information contained in the Third Assessment Report, including on the rate and magnitude of climate change, the extent of impacts, and the costs and benefits of different response strategies. In addition to its own expert review, the IPCC Secretariat accepts both expert and government comments on this report through national governments.

The U.S. Subcommittee on Global Change Research (SGCR) is coordinating the preparation of the comments of the U.S.G. Through this notice, the SGCR is announcing the availability of the draft Synthesis Report of the IPCC's Third Assessment Report and is requesting comments on the draft report by April 27, 2001 from scientists, experts and other interested organizations and individuals. The comments received will be reviewed, combined, and incorporated, as appropriate, in the process of preparing the set of official USG comments to the IPCC. It should be noted that this is a draft report and should not be cited or quoted as it is

still undergoing review and is likely to be changed.

Comments on the review draft should indicate: (1) Name, affiliation, postal address, email address, and other contact information; and (2) the general area of expertise of the reviewer. Reviewer comments on the draft Synthesis Report of the IPCC's Third Assessment Report (preferably submitted as an attachment to an email message) must be received at the email addresses indicated below on or before April 27, 2001. The SGCR cannot extend this deadline because the member countries of the IPCC have established a strict timetable for the review process and require prompt submission of USG comments.

**ADDRESSES:** Interested individuals or organizations may register as a reviewer and access the draft Synthesis Report at the Global Change Research Information Office (GCRI) website at <http://www.gcric.org/ipccform/>. Alternatively, requests with the required information (see above) may be sent electronically to GCRI at "help@gcrio.org"; or to GCRI at P. O. Box 1000, 61 Route 9W, Palisades, New York 10964. Review comments should be submitted via email to [USIPCCARSYN@usgcrp.gov](mailto:USIPCCARSYN@usgcrp.gov). Review comments should be in Microsoft Word or WordPerfect and formatted according to guidance provided on the GCRI website. If email submission is not possible, review comments may be submitted via mail to: US Comments, IPCC TAR Synthesis Report, Office of the U.S. Global Change Research Program, 400 Virginia Avenue, SW, Suite 750, Washington, DC 20024.

**FOR FURTHER INFORMATION CONTACT:** IPCC TAR Review Coordinator, Office of the U.S. Global Change Research Program, Suite 750, 400 Virginia Avenue, SW, Washington, DC 20024; or telephone 202-488-8630, fax to 202-488-8681, or send an email to [office@usgcrp.gov](mailto:office@usgcrp.gov).

#### **SUPPLEMENTARY INFORMATION:**

##### **I. Background**

The Intergovernmental Panel on Climate Change (IPCC) was jointly established in 1988 by the United Nations Environment Programme and the World Meteorological Organization to conduct periodic assessments of the state of knowledge concerning global climate change. The first Scientific Assessment Report was prepared in 1990 and the Second Assessment Report was prepared in 1995. The IPCC has formed three working groups to conduct its assessments. Working Group I addresses the state of the science on what is happening and projected to