

**ACTION:** Notice of proposed plan with request for comments.

**SUMMARY:** The Postal Service recently completed the first phase of a plan to remove insecure postage meters from the marketplace with the decertification of mechanical postage meters. The proposed plan for the second phase, the retirement of manually reset electronic meters, was published for comment May 1, 2000. While these comments are under review, the Postal Service is publishing notice of additional phases of the proposed plan for secure postage meter technology. Upon completion of the phases of this plan all meters in service will offer enhanced levels of security, thereby greatly reducing the Postal Service's exposure to meter fraud, misuse, and loss of revenue.

**DATES:** Comments must be received October 5, 2000.

**ADDRESSES:** Written comments should be sent to the Manager, Postage Technology Management, U.S. Postal Service, Room 8430, 475 L'Enfant Plaza SW, Washington DC 20260-2444.

Copies of all written comments will be available at the above address for inspection and photocopying between 9 a.m. and 4 p.m. Monday through Friday at the above address.

**FOR FURTHER INFORMATION CONTACT:** Nicholas S. Stankosky, (202) 268-5311.

**SUPPLEMENTARY INFORMATION:** In 1996 the Postal Service, in cooperation with all authorized postage meter manufacturers, began a phaseout, or decertification, of all mechanical postage meters because of identified cases of indiscernible tampering and misuse. Postal revenues were proven to be at serious risk. The completion of this effort, which resulted in the withdrawal of 776,000 mechanical meters from service, completed Phase I of the Proposed Plan for Secure Technology. Phase II of the Proposed Plan, the retirement of electronic meters that are manually set by postal employees, was described in the **Federal Register** on May 1, 2000. Additional phases of the Proposed Plan are described in the current notice.

It is the Postal Service's intent to make this an orderly process that minimizes impacts on meter users. Notification of additional phases of the proposed plan is published at this time to give both postage meter manufacturers and postage meter users ample time to make timely and intelligent decisions on the selection of postage meters and associated mailing equipment. Given the rapid pace of new technological developments for secure postage meter technology, meter

manufacturers should not offer, and customers should not accept, leases for postage meter equipment of more than five (5) years' duration.

Phases III and IV of the Postal Service proposed plan for secure postage meter technology affect non-digital, or letterpress, meters that are remote set under the Computerized Meter Resetting System (CMRS). If such a meter has a timeout feature, which automatically disables the meter if it is not reset within a specified time period, it is called an enhanced meter. Phase III of the proposed plan is to end the placement of non-enhanced CMRS letterpress meters by June 2001. Phase IV of the proposed plan is to end the placement of enhanced CMRS letterpress meters by December 2003.

A final plan will be published after all comments have been received and reviewed by the Postal Service.

**Stanley F. Mires,**

*Chief Counsel, Legislative.*

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**BILLING CODE 7710-12-U**

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## RAILROAD RETIREMENT BOARD

### Computer Matching and Privacy Protection Act of 1988; Notice of RRB and SSA Records Used in Computer Matching

**AGENCY:** Railroad Retirement Board (RRB).

**ACTION:** Notice of Records Used in Computer Matching Programs; Notification to individuals who are railroad employees, or applicants and beneficiaries under the Railroad Retirement Act or who are applicants or beneficiaries under the Social Security Act.

**SUMMARY:** As required by the Computer Matching and Privacy Protection Act of 1988, RRB is issuing public notice of its use and intent to use, in ongoing computer matching programs, information obtained from the Social Security Administration (SSA) of the amount of wages reported to SSA and the amount of benefits paid by that agency. The RRB is also issuing public notice, on behalf of the Social Security Administration, of SSA's use and intent to use, in ongoing computer matching programs, information obtained from the RRB of the amount of railroad earnings reported to the RRB.

The purposes of this notice are (1) to advise individuals applying for or receiving benefits under the Railroad Retirement Act of the use made by RRB of this information obtained from SSA

by means of a computer match and (2) to advise individuals applying for or receiving benefits under the Social Security Act of the use made by SSA of this information obtained from RRB by means of a computer match.

**ADDRESSES:** Interested parties may comment on this publication by writing to Ms. Beatrice Ezerski, Secretary to the Board, Railroad Retirement Board, 844 North Rush Street, Chicago, Illinois 60611-2092.

**FOR FURTHER INFORMATION CONTACT:** Mr. LeRoy Blommaert, Privacy Act Officer, Railroad Retirement Board, 844 North Rush Street, Chicago, Illinois 60611-2092, telephone number (312) 751-4548.

**SUPPLEMENTARY INFORMATION:** The Computer Matching and Privacy Protection Act of 1988, Pub. L. 100-503, requires a Federal agency participating in an computer matching program to publish a notice regarding the establishment of a matching program. This notice relates to a prior consolidation of two matching programs. Under one of these programs (referenced by RRB for convenience as RRB/SSA 1-2-3), SSA furnishes to RRB information needed by RRB to administer the Railroad Retirement Act. Under the other program (referenced by RRB for convenience as RRB/SSA-4), RRB furnishes to SSA information needed by SSA to administer the Social Security Act. For RRB/SSA 1-2-3, the required notice covering the fourth cycle of the program which began July 6, 1997, was published at 62 FR 25679 (May 9, 1997); for RRB/SSA-4, the required notice covering the fourth cycle of the program which began August 28, 1997, was published at 62 FR 40563 (July 29, 1997). The last notice for the consolidated matching program which began April 29, 1998 was published at 63 FR 14983 (March 27, 1998).

*Name of Participating Agencies:* Social Security Administration and Railroad Retirement Board.

*Purpose of the Match:* The RRB will, on a daily basis, obtain from SSA a record of the wages reported to SSA for persons who have applied for benefits under the Railroad Retirement Act and a record of the amount of benefits paid by that agency to persons who are receiving or have applied for benefits under the Railroad Retirement Act. The wage information is needed to compute the amount of the tier I annuity component provided by sections 3(a), 4(a) and 4(f) of the Railroad Retirement Act (45 U.S.C. 231b(a), 45 U.S.C. 231c(a) and 45 U.S.C. 231c(f)). The benefit information is needed to adjust the tier

I annuity component for the receipt of the Social Security benefit. This information is available from no other source.

In addition, the RRB will receive from SSA the amount of certain social security benefits which the RRB pays on behalf of SSA. Section 7(b)(2) of the Railroad Retirement Act (45 U.S.C. 231f(b)(2)) provides that the RRB shall make the payment of certain social security benefits. The RRB also requires this information in order to adjust the amount of any annuity due to the receipt of a social security benefit. Section 10(a) of the Railroad Retirement Act (45 U.S.C. 231i(a)) permits the RRB to recover any overpayment from the accrual of social security benefits. This information is not available from any other source.

Thirdly, the RRB will receive from SSA once a year a copy of SSA's Master Benefit Record for earmarked RRB annuitants. Section 7(b)(7) of the Railroad Retirement Act (45 U.S.C. 231f(b)(7)) requires that SSA provide the requested information. The RRB needs this information to make the necessary cost-of-living computation quickly and accurately for those RRB annuitants who are also SSA beneficiaries.

SSA will receive from RRB weekly RRB earnings information for all railroad employees. SSA will match the identifying information of the records furnished by the RRB against the identifying information contained in its Master Benefit Record and its Master Earnings File. If there is a match, SSA will use the RRB earnings to adjust the amount of Social Security benefits in its Annual Earnings Reappraisal Operation (AERO). This information is available from no other source.

SSA will also receive from RRB on a daily basis RRB earnings information on selected individuals. The transfer of information may be initiated either by RRB or by SSA. SSA needs this information to determine eligibility to Social Security benefits and, if eligibility is met, to determine the benefit amount payable. Section 18 of the Railroad Retirement Act (45 U.S.C. 231q(2)) requires that earnings considered as compensation under the Railroad Retirement Act be considered as wages under the Social Security Act for the purposes of determining entitlement under the Social Security Act if the person has less than 10 years of railroad service or has 10 or more years of service but does not have a current connection with the railroad industry at the time of his/her death.

*Authority for Conducting the Match:* Section 7(b)(7) of the Railroad Retirement Act (45 U.S.C. 231f(b)(7))

provides that the Social Security Administration shall supply information necessary to administer the Railroad Retirement Act.

Sections 202, 205(o) and 215(f) of the Social Security Act (42 U.S.C. 402, 405(o) and 415(f)) relate to benefit provisions, inclusion of railroad compensation together with wages for payment of benefits under certain circumstances, and the recomputation of benefits.

*Categories of Records and Individuals Covered:* All applicants for benefits under the Railroad Retirement Act and current beneficiaries will have a record of any social security wages and the amount of any social security benefits furnished to the RRB by SSA. In addition, all persons who ever worked in the railroad industry after 1936 will have a record of their service and compensation furnished to SSA by RRB. The applicable Privacy Act Systems of Records used in the matching program are as follows: RRB-5, Master File of Railroad Employees' Creditable Compensation; RRB-22, Railroad Retirement, Survivor, Pensioner Benefit System; SSA/OSR, 09-60-0090, Master Beneficiary Record (MBR); and SSA/OSR, 09-60-0059, Master Earnings File (MEF).

*Inclusive Dates of the Matching Program:* the consolidated matching program shall become effective no sooner than 40 days after notice of the matching program is sent to Congress and the Office of Management and Budget (OMB), or 30 days after publication of this notice in the **Federal Register**, whichever date is later. The matching program will continue for 18 months from the effective date and may be extended for an additional 12 months thereafter, if certain conditions are met.

The notice we are giving here is in addition to any individual notice.

A copy of this notice will be or has been furnished to the Office of Management and Budget and the designated committees of both houses of Congress.

Dated: August 10, 2000.

By Authority of the Board,

**Beatrice Ezerski,**

*Secretary to the Board.*

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**BILLING CODE 7905-01-M**

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## SECURITIES AND EXCHANGE COMMISSION

### Proposed Collection; Comment Request

Upon Written Request, Copies Available From: Securities and Exchange Commission,

Office of Filings and Information Services, Washington, DC 20549.

[SEC Investor Complaint Forms; SEC File No. 270-485; OMB Control No. 3235-new]

Notice is hereby given that, pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*), the Securities and Exchange Commission (SEC) is soliciting comments on the collection of information summarized below. The SEC plans to submit three proposed forms to the Office of Management and Budget for approval. The titles of the forms are SEC Investor Complaint Form (two versions) and SEC Investor Question Form.

The SEC receives annually over 150,000 letters, e-mails, faxes, and phone calls from investors who have complaints and questions on a wide range of investment related issues. The SEC proposes to place on its website two online forms, and to make available a hard-copy complaint form, to be used by investors to submit complaints and questions to the SEC through the Internet, by mail, or by fax. The SEC will use the information supplied on the forms to respond to general investor queries, process investor complaints, or initiate enforcement investigations in appropriate matters. The information that is captured automatically in the online forms and through manual data entry of the hard-copy form will allow the SEC to employ automation to direct a complaint or question to the appropriate division or office at the SEC (primarily the Division of Enforcement of the Office of Investor Education and Assistance) for review and processing, to maintain a record of the complaint or question, and to track the volume of complaints and questions received. Investors are not required to use the online or hard-copy Investor Complaint Form or the Investor Question Form and may continue to submit written complaints and questions in letters (sent by mail or fax), e-mail messages, and telephone calls. However, investors who complete the forms enable to SEC to process their complaints and questions more quickly and efficiently.

The respondents to the Investors Complaint Forms and the Investor Question Form will be investors who want the SEC's assistance with their complaints against entities that the SEC regulates, who want to report companies or individuals who may be violating the federal securities, laws, or who want to ask questions or request information about the statutes and rules the SEC administers or about specific companies the SEC regulates.

Investors will use the Investor Complaint Forms to send complaints to the SEC about entities that are regulated