

any comments it received regarding the proposed rule change. The text of these statements may be examined at the places specified in Item IV below. The Exchange has prepared summaries, set forth in Sections A, B, and C below, of the most significant aspects of such statements.

*A. Self-Regulatory Organization's Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change*

1. Purpose

The Exchange, in cooperation with the Commission, other self-regulatory organizations, and the Securities Industry Association, has been working toward a successful transition to decimal pricing. Advance testing by and among the various securities industry constituencies its necessary to avoid widespread problems during the transition. The Exchange has been conducting point-to-point testing of computers and computer-related systems of "upstairs" member firms that have computer interfaces with the Exchange<sup>5</sup> to ascertain the compatibility of such systems with the planned conversion to decimal pricing.

The purpose of the proposed rule change is to bring the efforts of the Phlx regarding decimal pricing testing into conformity with industry-wide decimalization testing efforts and to make mandatory the point-to-point testing of computers and computer-related systems which interface with the Exchange's systems. The rule, as amended, would require members and member firms that undergo decimalization testing to provide reports of such tests to the Exchange. According to the rule, the Exchange may indicate the manner and frequency of the testing and reporting requirements.

A member or member organization that violates the rule may be subject to disciplinary action pursuant to the Exchange's rules.<sup>6</sup>

The rule will expire automatically once decimal pricing has been fully implemented industry-wide.

<sup>5</sup> Member firms that have computer equipment on the trading floors of the Exchange generally are exempted from point-to-point testing because the Exchange's internal testing encompasses all on-floor equipment and interfaces.

<sup>6</sup> Rule 650 provides that a member or member firm can be exempted from the requirements of the rule if the member or member firm (1) cannot be accommodated in the testing schedule, (2) does not employ computers in its business, (3) has an electronic interface through a service provider that conducts successful testing with the Exchange; or for other reasons determined by the Exchange.

2. Statutory Basis

The Phlx believes that rule change, whose purpose is to ensure the participation of Exchange members in important testing prior to the securities industry's conversion to decimal pricing, is consistent with Section 6(b) of the Act<sup>7</sup> in general and furthers the objectives of Section 6(b)(5)<sup>8</sup> in particular in that it is designed to protect investors and the public interest.

*B. Self-Regulatory Organization's Statement on Burden on Competition*

The Exchange does not believe that the proposed rule change will impose any inappropriate burden on competition.

*C. Self-Regulatory Organization's Statement on Comments on the Proposed Rule Change Received From Members, Participants, or Others*

No written comments were solicited or received with respect to the proposed rule change.

**III. Date of Effectiveness of the Proposed Rule Change and Timing for Commission Action**

Because the foregoing rule change is concerned solely with the administration of the Exchange, it has become effective pursuant to Section 19(b)(3)(A)(iii) of the Act<sup>9</sup> and subparagraph (f)(3) of Rule 19b-4 thereunder.<sup>10</sup> At any time within 60 days of the filing of such proposed rule change, the Commission may summarily abrogate such rule change if it appears to the Commission that such action is necessary or appropriate in the public interest, for the protection of investors, or otherwise in furtherance of the purposes of the Act.

**IV. Solicitation of Comments**

Interested persons are invited to submit written data, views and arguments concerning the foregoing, including whether the proposed rule change is consistent with the Act. Persons making written submissions should file six copies thereof with the Secretary, Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549-0609. Copies of the submission, all subsequent amendments, all written statements with respect to the proposed rule change that are filed with the Commission, and all written communications relating to the proposed rule change between the

<sup>7</sup> 15 U.S.C. 78f(b).

<sup>8</sup> 15 U.S.C. 78f(b)(5).

<sup>9</sup> 15 U.S.C. 78s(b)(3)(A)(iii).

<sup>10</sup> 17 CFR 240.19b-4(f)(3).

Commission and any person, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. 552, will be available for inspection and copying in the Commission's Public Reference Room. Copies of such filing will also be available for inspection and copying at the principal office of the Exchange. All submissions should refer to File No. SR-Phlx-00-55 and should be submitted by August 21, 2000.

For the Commission, by the Division of Market Regulation, pursuant to delegated authority.<sup>11</sup>

**Jonathan G. Katz,**  
*Secretary.*

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**DEPARTMENT OF STATE**

**[Public Notice #3347]**

**Bureau of Intelligence and Research;  
Advisory Committee for the Study of  
Eastern Europe and the Independent  
States of the Former Soviet Union  
Notice of Committee Renewal**

*I. Renewal of Advisory Committee.*  
The Department of State has renewed the Charter of the Advisory Committee for the Study of Eastern Europe and the Independent States of the Former Soviet Union. This advisory committee makes recommendations to the Secretary of State on funding for applications submitted for the Research and Training Program on Eastern Europe and the Independent States of the Former Soviet Union (Title VIII). These applications are submitted in response to an annual, open competition among U.S. national organizations with interest and expertise administering research and training programs in the Russian, Eurasian, and East European fields. The program seeks to build and sustain U.S. expertise on these regions through support for advanced graduate training, language training, and postdoctoral research.

The committee includes representatives of the Secretaries of Defense and Education, the Librarian of Congress, and the Presidents of the American Association for the Advancement of Slavic Studies and the Association of American Universities. The Assistant Secretary for Intelligence and Research chairs the advisory committee for the Secretary of State. The committee meets at least annually to recommend grant policies and recipients.

<sup>11</sup> 17 CFR 200.30-3(a)(12).

For further information, please call:  
Susan Nelson, INR/RES. U.S.  
Department of State, (202) 736-4155.

Dated: July 20, 2000.

**W. Kendall Myers,**

*Executive Director, Advisory Committee for  
the Study of Eastern, Europe and the  
Independent States of the Former Soviet  
Union, U.S. Department of State.*

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**DEPARTMENT OF STATE**

**Bureau of Consular Affairs**

[Public Notice 3375]

**Registration for the Diversity  
Immigrant (DV-2002) Visa Program**

**ACTION:** Notice of registration for the eighth year of the Diversity Immigrant Visa Program.

This public notice provides information on the procedures for obtaining an opportunity to apply for one of the 55,000 (maximum) immigrant visas to be made available in the Diversity Immigrant Visa (DV) category during Fiscal Year 2002. This notice is issued pursuant to 22 CFR 42.33(b)(2) which implements Sections 201(a)(3), 201(e), 203(c) and 204(a)(1)(G) of the Immigration and Nationality Act, as amended, (8 U.S.C. 1151, 1153, and 1154(a)(1)(G)).

**What Are the Entry Procedures for  
Immigrant Visas To Be Made Available  
in the DV Category During Fiscal Year  
2002?**

Entries for the DV-2002 mail-in period must be *received* at the Kentucky Consular Center mailing address between noon (Eastern Time) on Monday, October 2, 2000 and noon (Eastern Time) on Wednesday, November 1, 2000. Entries received before or after these dates will be disqualified regardless of when they are postmarked. Entries sent to any address other than the Kentucky Consular Center address will also be disqualified.

**How Are Visas Apportioned?**

Visas are apportioned among six geographic regions with a greater number of visas going to regions with lower rates of immigration, and no visas going to countries sending more than 50,000 immigrants to the U.S. in the past five years. No one country can receive more than 7 percent of the diversity visas issued in any one year. For DV-2002, natives of the following are not eligible to apply:  
Canada

China (mainland-born and Macau)  
Colombia  
Dominican Republic  
El Salvador  
Haiti  
India  
Jamaica  
Mexico  
Pakistan  
Philippines  
South Korea  
United Kingdom (except Northern Ireland) and its dependent territories  
Vietnam

**What Are the Requirements for  
Applying for a Diversity Immigrant  
Visa for FY 2002?**

*Nativity*

To enter, an applicant must be able to claim nativity in an eligible country, and must meet either the education or training requirement of the DV program. Nativity in most cases is determined by the applicant's place of birth. However, if a person was born in an ineligible country but his or her spouse was born in an eligible country, such person can claim the spouse's country of birth rather than his or her own provided both the applicant and spouse are issued visas and enter the U.S. simultaneously. Also, if a person was born in an ineligible country, but neither of his or her parents was born there or resided there at the time of the birth, such person may be able to claim nativity in one of the parents' country of birth.

*Education or Training*

To enter, an applicant must have either a high school education or its equivalent, defined in the U.S. as successful completion of a 12-year course of elementary and secondary education; or two years of work experience within the past five years in an occupation requiring at least two years of training or experience to perform. U.S. Department of Labor definitions will apply. If an applicant does not meet these requirements, he or she should not submit an entry to the DV program.

**What Are the Procedures for  
Submitting an Entry?**

Only one entry may be submitted by or for each applicant during the registration period. The applicant must personally sign the entry with his or her usual and customary signature, preferably in his or her native alphabet. The entry will be disqualified if the applicant:

- Submits more than one entry;

- Does not personally sign the entry with his or her usual and customary signature;
- Does not attach a recent photograph with his or her name printed on the back.

**Completing the Entry**

There is no specific format for the entry. Simply use a plain sheet of paper and type or clearly print in the English alphabet (preferably in the following order): (Failure to provide all of this information will disqualify the applicant.)

1. Full Name, with the last (surname/family) name underlined

**Examples:** Public, Sara Jane (or) Lopez, Juan Antonio

2. Date and Place of Birth

*Date:* Day, Month, Year **EXAMPLE:** 15 November 1961

*Place:* City/Town, District/County/Province, Country **EXAMPLE:** Munich, Bavaria, Germany

The name of the country should be that which is currently in use for the place where the applicant was born (Slovenia, rather than Yugoslavia; Kazakhstan rather than Soviet Union, for example).

3. The Applicant's Native Country, if Different from Country of Birth

If the applicant is claiming nativity in a country other than his or her place of birth, this must be clearly indicated on the entry. This information must match with what is put on the upper left corner of the entry envelope. (*See "MAILING THE ENTRY"* below.) If an applicant is claiming nativity through spouse or parent, this must be indicated on the entry. (*See "Requirements"* section for more information on this item.)

4. Name, Date and Place of Birth of the Applicant's Spouse and Children (If Any) (Failure to provide all of this information will disqualify the applicant.)

5. Full Mailing Address

This must be clear and complete, as any communications will be sent there. A telephone number is optional, but useful.

6. Photograph. Attach a recent, preferably less than 6 months old, photograph of the applicant, 1.5 inches (37 mm) square in size, with the applicant's name *printed* on the back. The photograph (not a photocopy) should be attached to the entry with clear tape—do not use staples or paperclips, which can jam the mail processing equipment.

7. Signature. The applicant must personally sign the entry, using his or her usual and customary signature.