

**DEPARTMENT OF HOUSING AND
URBAN DEVELOPMENT**

[Docket No. FR-4563-N-09]

**Notice of Proposed Information
Collection for HOPE VI Survey**

AGENCY: Office of the Assistant Secretary for Public and Indian Housing, HUD.

ACTION: Notice.

SUMMARY: The proposed information collection requirement described below will be submitted to the Office of Management and Budget (OMB) for review, as required by the Paperwork Reduction Act. The Department is soliciting public comments on the subject proposal.

DATES: *Comments Due Date:* September 5, 2000.

ADDRESSES: Interested persons are invited to submit comments regarding this proposal. Comments should refer to the proposal by name and/or OMB Control number and should be sent to: Mildred M. Hamman, Reports Liaison Office, Public and Indian Housing, Department of Housing and Urban Development, 451 7th Street, W.W., Room 4238, Washington, DC 20410-5000.

FOR FURTHER INFORMATION CONTACT: Mildred M. Hamman, (202) 708-3642, extension 4128 for copies of the proposed forms and other available documents. (This is not a toll-free number).

SUPPLEMENTARY INFORMATION: The Department will submit the proposed information collection to OMB for review, as required by the Paperwork Reduction Act of 1995 (44 U.S.C. Chapter 35, as amended).

This Notice is soliciting comments from members of the public and affected agencies concerning the proposed collection of information to: (1) Evaluate whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information will have practical utility; (2) evaluate the accuracy of the agency's estimate of the burden of the proposed collection of information; (3) enhance the quality, utility, and clarity of the information to be collected; and (4) minimize the burden of the collection of information on those who are to respond, including through the use of appropriate automated collection techniques or other forms of information technology; *e.g.*, permitting electronic submission of responses.

This Notice also lists the following information:

Title of Proposal: HOPE VI Survey.

OMB Number: 257-7.

Description of the need for the information and proposed use: One hundred original HOPE VI residents at eight sites will be surveyed by telephone using computer-assisted telephone interviewing (CATI). The residents will provide information on housing choices available to and made by original residents, satisfaction with current housing neighborhood locations,

current living conditions, attitudes toward services received through the HOPE VI Program, and current employment status. The information will help HUD increase knowledge of the ways in which housing choices and social and economic outcomes for original residents are affected by revitalization efforts at selected HOPE VI sites. Data gathered will be used by the Urban Institute and a contractor to prepare a project report for HUD. HUD and local housing agencies could benefit greatly from learning more about what happens to original families. An incentive payment of \$20.00 will be made to respondents participating in this survey in order to ensure a high response rate.

Agency form numbers: None.

Members of affected public: Individuals or households.

Estimation of the total number of hours needed to prepare the information collection including number of respondents, frequency of response, and hours of response: 100 residents at eight HOPE VI sites, one-time, 20 minutes (16,000 total minutes), 266 total reporting burden.

Status of the proposed information collection: New.

Authority: Section 3506 of the Paperwork Reduction Act of 1995, 44 U.S.C. Chapter 35, as amended.

Dated: June 30, 2000.

Harold Lucas,

Assistant Secretary for Public and Indian Housing.

BILLING CODE 4210-33-M

**HOPE VI SURVEY
Group 1 and Group 2 Sites****INTRODUCTION**

Hello, my name is (interviewer name) and I am calling from Abt Associates.

We are conducting a research project to find out how families are doing since they moved out of (name of development). The survey includes questions about your new house or apartment, your new neighborhood, and general questions about things that have happened since you moved. Taking this survey will have no effect on your housing subsidy, and all of your answers will be kept private.

We would like to speak with (name of head of household). Is that you?

[If not head of household]

May I please speak with him/her please?

[When head answers, repeat intro materials.]

[If head of household is not home]

When would be a good time for me to try back?

[Record date and time.]

You used to live at (street address and apartment number). Is that right?

Yes [proceed with interview]

No [ask next set of questions]

If No:

Did you ever live at that address?

Yes

No → TERMINATE INTERVIEW

If Yes:

What years did you live there? _____

(If not within a year before or after date above, TERMINATE.)

(If within a year, then ask:)

Did you move because they were renovating the development?

Yes → PROCEED WITH INTERVIEW

No → TERMINATE INTERVIEW

***All questions will include a DK, REFUSED, and NA code ***

HOUSING STATUS

The first few questions ask about you apartment or house where you live now.

1. Is the housing unit you now live in:

1	public housing,	→SKIP TO Q1a
2	a private market apartment,	→SKIP TO Q1b
3	a home or condominium you own	→SKIP TO Q2
4	homeless shelter or shelter for domestic violence, or	→SKIP TO Q2
5	a mobile home?	→SKIP TO Q2

- 1a. Is your current apartment...:

1	...in the same HOPE VI public housing development you lived in before, <u>(name of development)</u> ?
2	...in a different public housing development?, or
3	...a scattered-site public housing unit?

- 1b. Do you receive a Section 8 voucher to help you pay for your apartment?:

1	Yes
2	No

2. Do you share this apartment/house with other friends and family, besides your own children (and your spouse or partner)?

1	Yes	
2	No	→SKIP TO Q3

- 2a. Do you share the rent with your family or friends, or are you not responsible for any of the rent?

1	We share the rent
2	I am not responsible for any of the rent.

3. Which of the following best describes your apartment or house? Is it:

1	a one-family house, or	→SKIP TO Q3a
2	a building with two or more units?	→SKIP TO Q3b

- 3a. Is this one-family house:

1	detached from any other house, or
2	attached to one or more houses?

- 3b. Does this apartment building have:

3	2 apartments
4	3 or 4 apartments
5	5 to 9 apartments
6	10 to 19 apartments
7	20 to 49 apartments

8 50 or more apartments

4. How many years (months) have you lived at your current address?

_____ years

IF LESS THAN ONE YEAR: _____ months

5. How many years (months) have you lived in your neighborhood?

_____ years

IF LESS THAN ONE YEAR: _____ months

6. How many times have you moved since (year development renovated) when you moved out of (name of development), including your move to your current apartment/house?

- 1 Once
- 2 2-3
- 3 4-5
- 4 More than 5 times

7. Is the apartment/house you live in now the same place you *first moved to* when you moved from (name of development) in about (year dev. renovated) ?

- 1 Yes → **SKIP TO Q11**
- 2 No

8. Thinking about that first move out of (name of development), was the housing unit you moved to:

- 1 public housing, → **SKIP TO Q8a**
- 2 regular private market apartment or house, → **SKIP TO Q8b**
- 3 homeless shelter or shelter for domestic violence, or → **SKIP TO Q9**
- 4 a mobile home? → **SKIP TO Q9**

8a. Was that first apartment...:

- 1 ...in the same HOPE VI public housing development you lived in before? → **SKIP TO Q9**
- 2 ...in a different public housing development?, or → **SKIP TO Q9**
- 3 ...is it a scattered-site public housing unit? → **SKIP TO Q9**

8b. Did you receive a Section 8 voucher to help you pay for your apartment:?

- 1 Yes
- 2 No

9. Did you share this apartment/house with other friends and family, besides your own children?

- 1 Yes
- 2 No →SKIP TO Q10

9a. Did you share the rent with your family or friends, or were you not responsible for any of the rent?

- 1 We shared the rent
- 2 I was not responsible for any of the rent.

10. Which of the following best describes that first apartment or house? Was it:

- 1 a one-family house, or →SKIP TO Q10a
- 2 a building with two or more units? →SKIP TO Q10b

10a. Was this one-family house:

- 1 detached from any other house, or
- 2 attached to one or more houses?

10b. Did this apartment building have:

- 1 2 apartments
- 2 3 to 4 apartments
- 3 5 to 9 apartments
- 4 10 to 19 apartments
- 5 20 to 49 apartments
- 6 50 or more apartments

I would like to ask about the various types of housing counseling and other assistance you may have received from (name of housing agency or other organization) when you first moved from (name of development). Did you receive help... :

	Yes	No	DON'T KNOW	NA
11. ... identifying neighborhoods to search in?	1	2	3	4
12. ... listings of available apartments?	1	2	3	4
13. ... transportation to possible rental units?	1	2	3	4
14. ... paying the security deposit?	1	2	3	4
15. ... financial assistance to help pay for moving costs?	1	2	3	4
16. ... job training or help finding a job?	1	2	3	4

22. How much of a problem are walls with peeling paint or broken plaster?

- 1 Big problem
- 2 Some problem
- 3 No problem at all

23. How much of a problem is plumbing that does not work?

- 1 Big problem
- 2 Some problem
- 3 No problem at all

24. How much of a problem are rats or mice?

- 1 Big problem
- 2 Some problem
- 3 No problem at all

25. How much of a problem are cockroaches or other bugs?

- 1 Big problem
- 2 Some problem
- 3 No problem at all

26. How much of a problem are broken locks or no locks on the door to your unit?

- 1 Big problem
- 2 Some problem
- 3 No problem at all

27. How much of a problem is having too little space?

- 1 Big problem
- 2 Some problem
- 3 No problem at all

How likely is it that your neighbors would do something if they saw . . .

	Very likely,	Likely,	Neither likely nor unlikely,	Unlikely,	or Very unlikely?
28. Children skipping school and hanging out on a street corner? Would you say	1	2	3	4	5
29. Children spray-painting graffiti on a local building?	1	2	3	4	5
30. Children showing disrespect to an adult?	1	2	3	4	5

31. A fight break out in front of
their home? 1 2 3 4 5

Please tell me how much you agree or disagree with the following statements about your neighborhood.

	<u>Strongly agree</u>	<u>Somewhat agree</u>	<u>Neither agree nor disagree</u>	<u>Somewhat disagree</u>	<u>Strongly disagree?</u>
32. People around here are willing to help their neighbors..	1	2	3	4	5
33. People in this neighborhood share the same values.	1	2	3	4	5
34. This is a close-knit neighborhood.	1	2	3	4	5
35. People in this neighborhood can be trusted.	1	2	3	4	5
36. People in this neighborhood generally get along with each other.	1	2	3	4	5

The next questions ask about services in your neighborhood. We would like to know how long it takes you to get to certain places in your neighborhood.

37. How long does it take you to get to the nearest bus or train stop?

- 1 Less than 15 minutes
- 2 15 to 30 minutes
- 3 30 to 45 minutes
- 4 45 minutes to one hour
- 5 More than one hour
- 6 Not applicable
- 7 Don't know

38. How long does it take you to get to the grocery store you use most of the time?

- 1 Less than 15 minutes
- 2 15 to 30 minutes
- 3 30 to 45 minutes
- 4 45 minutes to one hour
- 5 More than one hour
- 6 Not applicable
- 7 Don't know

39. How long does it take you to get the nearest park or playground?

- 1 Less than 15 minutes
- 2 15 to 30 minutes
- 3 30 to 45 minutes
- 4 45 minutes to one hour
- 5 More than one hour
- 6 Not applicable
- 7 Don't know

40. How long does it take you to get to your church or place of worship?

- 1 Less than 15 minutes
- 2 15 to 30 minutes
- 3 30 to 45 minutes
- 4 45 minutes to one hour
- 5 More than one hour
- 6 Not applicable
- 7 Don't know

41. How long does it take you to get to the doctor, health clinic, or hospital you use most of the time?

- 1 Less than 15 minutes
- 2 15 to 30 minutes
- 3 30 to 45 minutes
- 4 45 minutes to one hour
- 5 More than one hour
- 6 Not applicable
- 7 Don't know

ADJUSTMENT/TRANSITION

The next questions ask about interactions with your neighbors.

42. During the last 12 months, how often have you loaned things to a neighbor?

- 1 Almost every day
- 2 Once a week
- 3 Once a month
- 4 A few times
- 5 Almost never

43. During the last 12 months, how often have you borrowed things from a neighbor?

- 1 Almost every day
- 2 Once a week
- 3 Once a month
- 4 A few times
- 5 Almost never

44. During the last 12 months, how often have you babysat a neighbor's child?
- 1 Almost every day
 - 2 Once a week
 - 3 Once a month
 - 4 A few times
 - 5 Almost never
45. During the last 12 months, how often have you had coffee or a meal with a neighbor?
- 1 Almost every day
 - 2 Once a week
 - 3 Once a month
 - 4 A few times
 - 5 Almost never
46. During the last 12 months, how often have you stopped to chat with a neighbor in the street or hallway?
- 1 Almost every day
 - 2 Once a week
 - 3 Once a month
 - 4 A few times
 - 5 Almost never
47. How many of your friends live in the same neighborhood as you?
- 1 None
 - 2 A few
 - 3 Many
48. How many of your family members live in the same neighborhood as you?
- 1 None
 - 2 A few
 - 3 Many

NEIGHBORS AND NEIGHBORHOOD

The next few questions ask about your neighborhood.

49. Think about the people who live in your neighborhood. Would you say that...
- 1 ...most of the people earn more money than you do,
 - 2 ...most of the people earn about the same money that you do, or
 - 3 ...most of the people earn less money than you do?
50. How would you describe the racial/ethnic composition of your neighborhood?
- 1 a mix of African-American and White
 - 2 a mix of African-American and Hispanic
 - 3 a mix of Hispanic and White

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- 4 a mix of African-American, Hispanic, and White
5 mostly African-American
6 mostly Hispanic
7 mostly white
8 [other]

Now, please think about the area you consider your neighborhood and tell me if the following items are a big problem, some problem, or no problem at all in your neighborhood.

	<u>Big problem</u>	<u>Some problem</u>	<u>No problem at all</u>	<u>DK</u>	<u>Refused</u>
51. Unemployment. Is that a	1	2	3	8	9
52. Lack of job training or job placement programs.	1	2	3	8	9
53. Lack of child care.	1	2	3	8	9
54. Lack of medical care such as health clinics, dental offices, or eye doctors	1	2	3	8	9
55. Lack of restaurants or grocery stores.....	1	2	3	8	9
56. Lack of programs for children such as recreational and tutorial programs.....	1	2	3	8	9
57. Lack of services for seniors.....	1	2	3	8	9
58. Lack of public transportation	1	2	3	8	9
59. Quality of schools.....	1	2	3	8	9
60. Police not coming when called	1	2	3	8	9
61. People being attacked or robbed	1	2	3	8	9
62. People <u>selling</u> drugs.....	1	2	3	8	9
63. People <u>using</u> drugs	1	2	3	8	9
64. Groups of people just hanging out	1	2	3	8	9
65. The number of teenage mothers.....	1	2	3	8	9
66. Gangs	1	2	3	8	9
67. Graffiti, that is, writing or painting on the walls of the buildings	1	2	3	8	9
68. Shootings and violence	1	2	3	8	9

69. Rape or other sexual attacks	1	2	3	8	9
70. Racial or cultural groups that do not get along with each other	1	2	3	8	9
71. Trash and junk in the parking lots, streets, lawns, and sidewalks	1	2	3	8	9

Employment and Job Training

Next, we have a few questions about work.

725a. Do you currently work for pay?	
Yes	1
No	2 → (SKIP TO Q73a)
DON'T KNOW	8 → (SKIP TO Q72d)
REFUSED	9 → (SKIP TO Q72d)

72b. On average, do you work ...	
20 hours a week or more, or	1
Less than 20 hours a week?	2 → (SKIP TO Q72d)
DON'T KNOW	8 → (SKIP TO Q72d)
REFUSED	9 → (SKIP TO Q72d)

72c. About how many hours a week do you work at your <u>main</u> job?	
Less than 35 hours a week	1
35 or more hours a week	2
DON'T KNOW	8
REFUSED	9

72d. Is your (main) job located in your neighborhood, some other part of the city, in the suburbs, or somewhere else?	
Your neighborhood	1
Some other part of the city	2
Suburbs	3
Somewhere else	4
DON'T KNOW	8
REFUSED	9

SKIP TO 74a

73a. Have you <u>ever</u> worked for pay?	
Yes	1

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No	2→(SKIP TO Q.74a)
DON'T KNOW	8→(SKIP TO Q.74a)
REFUSED	9→(SKIP TO Q.74a)

73b. How many months or years has it been since you were last employed full or part time?

_____ years
OR _____ months
DON'T KNOW.....98
REFUSED.....99

74a. Does anyone else in your household currently work for pay?

Yes 1
No 2 → (SKIP TO Q72)
DON'T KNOW..... 8 → (SKIP TO Q72)
REFUSED..... 9 → (SKIP TO Q72)

67b. On average, does that person work ...

20 hours a week or more, or 1
Less than 20 hours a week? 2
DON'T KNOW..... 8
REFUSED..... 9

72. Have you looked for a job in the last 12 months?

Yes
No → (SKIP TO Q82)

I am now going to read you a list of factors that might cause some people problems when looking for a job. Thinking about your own experience during the last 12 months, please tell me whether any of the following factors has made it difficult for you personally to find a job.

	<u>Yes</u>	<u>No</u>	<u>DK</u>	<u>Refused</u>
73. Work experience.....	1	2	8	9
74. Child Care.....	1	2	8	9
75. Transportation.....	1	2	8	9
76. English-speaking ability.....	1	2	8	9
77. Having a disability.....	1	2	8	9
78. Discrimination.....	1	2	8	9
79. Jobs located in the neighborhood.....	1	2	8	9
80. Skills employers want.....	1	2	8	9

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81. Information about jobs available..... 1 2 8 9
82. In the last 12 months, did you complete any classes to earn a regular high school diploma or GED?
- 1 yes
- 2 no
83. In the last 12 months, did you complete any college courses or programs for credit toward a college degree, such as an AA, BA, or advanced degree?
- 1 yes
- 2 no
84. In the last 12 months, did you complete any welfare-to-work training programs or classes?
- 1 yes
- 2 no
85. Are you currently taking any classes or enrolled in any training programs?
- 1 Yes
- [specify] _____
- 2 No

HARDSHIP QUESTIONS

Now I'm going to read you some statements that people have made about their food situation and their housing situation. For these statements, please tell me whether the statement was often, sometimes, or never true for (you/your family) in the last 12 months, that is, since (name of current month) of last year.

86. The first statement is "(I/we) worried whether (my/our) food would run out before (I/we) got money to buy more." Was that often, sometimes, or never true for (you/your family) in the last 12 months?
- 1 often true
- 2 sometimes true
- 3 never true
87. "The food that (I/we) bought just didn't last, and (I/we) didn't have money to get any more." Was that often, sometimes, or never true for (you/your family) in the last 12 months?
- 1 often true
- 2 sometimes true
- 3 never true
88. In the last 12 months, since (name of current month) last year, did you or other adults in your family ever cut the size of your meals or skip meals because there was not enough money for food?
- 1 yes
- 2 no →SKIP TO Q89
- 88A. How often did this happen? Was it ...
- 1 almost every month

- 2 some months, but not every month, or
3 only 1 or 2 months?

89. During the last 12 months, was there a time when (you/you and your family) were not able to pay mortgage, rent or utility bills?

- 1 yes
2 no →SKIP TO Q90

89A. Did you get any help when you were not able to pay the mortgage, rent or utility bills?

- 1 yes
2 no →SKIP TO Q90

90B. Who did you get help from? (code all that apply)

- 1 family or friends
2 clergy (minister, priest, rabbi)
3 bank, loan company, other commercial source
4 community program
5 housing authority
6 government program
7 other (specify) _____

90. During the last 12 months, did you or your children move in with other people even for a little while because you could not afford to pay your mortgage, rent or utility bills?

- 1 yes
2 no

91. During the last 12 months, has your household ever been without telephone service for more than 24 hours because you could not afford to pay the telephone bill?

- 1 yes
2 no

92. How likely or unlikely do you think it is that you will become homeless in the next 12 months? Do you think it is . . .

- 1 very likely,
2 somewhat likely,
3 somewhat unlikely, or
4 very unlikely?

Welfare Use

These last questions will help us understand some basic information about your household and apartment. In the past 6 months, have you or anyone in your household received . . .

	<u>Yes</u>	<u>No</u>	<u>REFUSED</u>
93. Supplemental security income or SSI?	1	2	9
94. Other disability pay such as SSDI (Social Security Disability Income), a veteran's disability benefit or workers compensation for a work-related injury?.....	1	2	9
95. Unemployment compensation because you were laid off from a job?	1	2	9
96. Income from casual work or under-the-table jobs? These might include babysitting, housecleaning, or working in exchange for food.	1	2	9
97. Food stamps or money for food on the link card?	1	2	9
98. Cash from public aid including AFDC or TANF (Aid to Families with Dependent Children or Temporary Assistance to Needy Families)?	1	2	9

[If respondent currently receives TANF, Q91=1, then **SKIP to Q100**]

99. Have you ever received cash from [NAME OF STATE WELFARE PROGRAM] including AFDC or TANF as an adult?

- 1 Yes
2 No **SKIP TO Q101**

100. How many years or months in total have you received public aid (AFDC or TANF)?

_____ years
_____ months

DON'T KNOW 98
REFUSED 99

101. Do you have a valid driver's license?

Yes 1
No 2
DON'T KNOW 8
REFUSED 9

102. Do you own or have access to a car that runs?

Yes 1
No 2

DON'T KNOW 8
 REFUSED 9

HEALTH QUESTIONS

The next few questions ask about your health.

103. In general, would you say your health is:

- 1 Excellent
- 2 Very good
- 3 Good
- 4 Fair
- 5 Poor

104. Compared to one year ago, how would you rate your health in general now?

- 1 Much better now than one year ago
- 2 Somewhat better now than one year ago
- 3 About the same as one year ago
- 4 Somewhat worse now than one year ago
- 5 Much worse now than one year ago

105. Has a doctor or other health professional ever told you that you or anyone in your household has asthma?

- 1 Yes
- 2 No
- 3 Don't Know
- 4 No Answer

106. During the past 6 months, have you or has anyone in your household had an episode of asthma or an asthma attack?

- 1 Yes
- 2 No
- 3 Don't Know
- 4 No Answer

107. During the past 6 months, have you or has anyone in your household visited the emergency room or urgent care center because of asthma?

- 1 Yes
- 2 No
- 3 Don't know
- 4 No Answer

Now, I 'd like to ask you some questions about yourself. For each of these statements do you think, please tell me if you think it is true or false.

	Strongly Agree	Somewhat Agree	Somewhat Disagree	Strongly Disagree
108. Good luck is more important than hard work for success	1	2	3	4
109. Every time I try to get ahead, something stops me	1	2	3	4
110. When I make plans, I can usually carry them out	1	2	3	4
111. Planning only makes people unhappy because plans hardly ever work out anyway	1	2	3	4

Background Information

I'd like to end with a few background questions about you and your household.

112. What is your gender?

- 1 male
- 2 female

113. In what year were you born?

REFUSED 99 19 ____

114. I'd like you to tell me which category best estimates your total household income for 1999. Please include all income including money earned from jobs, public assistance, or social security. In 1999, what was your income, before taxes?

- 1 Less than \$5,000
- 2 \$5,000 to \$9,999
- 3 \$10,000 to \$14,999
- 4 \$15,000 to \$19,999
- 5 \$20,000 to \$29,999
- 6 \$30,000 to \$39,999 \$40,000 or more
- 7 DON'T KNOW
- 8 REFUSED

115. Would you describe your ethnicity as
- 1 Hispanic or Latino/a
 - 2 Non-Hispanic or Latino/a
 - 3 REFUSED

116. How would you describe your race?
- 1 White
 - 2 Black or African American
 - 3 American Indian or Alaska Native
 - 4 Asian
 - 5 Native Hawaiian or Pacific Islander
 - 6 Other (SPECIFY) _____
 - 7 REFUSED

117. How many children, age 6 or under, live with you? _____ children

118. How many children, between ages 7 and 17, live with you? _____ children

119. How many adults, age 18 or older, live with you? _____ adults

120. What is the highest grade or year of school you have completed?

- None00
- Grade School..... 01 02 03 04 05 06 07 08
- High School.....09 10 11 12
- College..... 13 14 15 16
- Some graduate school17
- Graduate or professional degree.....18
- DON'T KNOW98
- REFUSED99

121. And last, are you currently . . .
- 1 married
 - 2 not married, but living with partner
 - 3 widowed
 - 4 divorced
 - 5 separated
 - 6 never married, living alone

Thank you for taking the time to participate in our survey.