

A. Self-Regulatory Organization's Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

1. Purpose

Currently, PCX Rule 5.12 states that "The seller shall be responsible for transactions being promptly recorded by the floor reporters." This requirement is subject to two exceptions in Equity Floor Procedure Advice 2-C, the second of which holds that, "transactions in local issues in which the specialist acts as the buyer and the seller is on the opposite trading floor are to be promptly reported to the tape by the specialist. The seller is required to submit a 'goldenrod' ticket to report the transaction for clearing purposes only."

The PCX proposes to delete the second exception to Rule 5.12 in Equity Floor Procedure Advice 2-C so that the general requirement in Rule 5.12 of seller responsibility shall apply. The Exchange believes that the conditions underlying the original exception have changed and that there is no longer any reason to exempt these types of transactions from the basic requirement. The PCX believes that the deletion of this exception will make the obligation to report transactions consistent with the general requirement that sellers report the trades.

2. Statutory Basis

PCX believes the proposed rule change is consistent with Section 6(b)² of the Act, in general, and furthers the objectives of Section 6(b)(5),³ in particular, because it is designed to perfect the mechanism of a free and open market, to promote just and equitable principles of trade, and to protect investors and the public interest.

B. Self-Regulatory Organization's Statement on Burden on Competition

The Exchange does not believe that the proposed rule change will impose any burden on competition that is not necessary or appropriate in furtherance of the purposes of the Act.

C. Self-Regulatory Organization's Statement on Comments on the Proposed Rule Change Received From Members, Participants, or Others

Written comments on the proposed rule change were neither solicited nor received.

III. Date of Effectiveness of the Proposed Rule Change and Timing for Commission Action

Within 35 days of the publication of this notice in the **Federal Register** or within such longer period: (i) As the Commission may designate up to 90 days of such date if it finds such longer period to be appropriate and publishes its reasons for so finding, or (ii) as to which the self-regulatory organization consents, the Commission will:

(A) By order approve the proposed rule change, or

(B) Institute proceedings to determine whether the proposed rule change should be disapproved.

IV. Solicitation of Comments

Interested persons are invited to submit written data, views, and arguments concerning the foregoing, including whether the proposed rule change is consistent with the Act. Persons making written submissions should file six copies thereof with the Secretary, Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549. Copies of the submission, all subsequent amendments, all written statements with respect to the proposed rule change that are filed with the Commission, and all written communications relating to the proposed rule change between the Commission and any person, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. 552, will be available for inspection and copying at the Commission's Public Reference Room. Copies of such filing also will be available for inspection and copying at the principal office of PCX. All submissions should refer to File No. SR-PCX-98-57 and should be submitted by February 5, 1999.

For the Commission, by the Division of Market Regulation, pursuant to delegated authority.⁴

Margaret H. McFarland,

Deputy Secretary.

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SOCIAL SECURITY ADMINISTRATION

Information Collection Activities; Proposed Collection Requests and Comment Requests

This notice lists information collection packages that will require submission to the Office of Management

and Budget (OMB), as well as information collection packages submitted to OMB for clearance, in compliance with Pub. L. 104-13 effective October 1, 1995, The Paperwork Reduction Act of 1995.

I. The information collection(s) listed below require(s) extension(s) of the current OMB approval(s) or are proposed new collection(s):

1. Inquiry to File an SSI Child's Application—0960-0557. The information collected on Form SSA-293 is used by the Social Security Administration (SSA) to document the earliest possible filing date and to determine potential eligibility for SSI child's benefits. The respondents are individuals, such as hospital social workers, who inquire about SSI eligibility for low birth weight babies. Number of Respondents: 2,100 Frequency of Response: 1 Average Burden Per Response: 10 minutes

Estimated Average Burden: 350 hours

2. Request for Workers' Compensation/Public Disability Information—0960-0098. Form SSA-1709 is used by SSA to request and/or to verify information about worker's compensation or public disability benefits given to Social Security disability insurance benefit recipients so that their monthly benefit adjustments are properly made. The respondents are State and local governments and/or businesses that administer workers' compensation or other disability benefits. Number of Respondents: 140,000 Frequency of Response: 1 Average Burden Per Response: 15 minutes

Estimated Average Burden: 35,000 hours

3. Individuals Who Inquire About SSI Eligibility for Themselves—0960-0140. Form SSA-3462 is completed by SSA personnel, via telephone or personal interview, and it may be used to determine potential eligibility for SSI benefits. The respondents are individuals who inquire about SSI eligibility for themselves or someone else. Number of Respondents: 2,134,100 Frequency of Response: 1 Average Burden Per Response: 5 minutes

Estimated Average Burden: 177,842 hours

4. State Mental Institution Policy Review—0960-0110. The information collected on form SSA-9584 is used by the Social Security Administration to determine whether an institution's policies and practices conform with

² 15 U.S.C. 78f(b).

³ 15 U.S.C. 78f(b)(5).

⁴ 17 CFR 200.30-3(a)(12).

SSA's regulations in the use of benefits, and whether the institution is performing other duties and responsibilities required of a representative payee. The information also provides the basis for conducting the actual onsite review and is used in the preparation of the subsequent report of findings and recommendations which is provided to the institution. The respondents are state mental institutions which serve as representative payees for Social Security beneficiaries.

Number of Respondents: 183

Frequency of Response: 1

Average Burden Per Response: 1 hour

Estimated Average Burden: 183 hours

Written comments and recommendations regarding the information collection(s) should be sent within 60 days from the date of this publication, directly to the SSA Reports Clearance Officer at the following address: Social Security Administration, DCFAM, Attn: Frederick W. Brickenkamp, 6401 Security Blvd., 1-A-21 Operations Bldg., Baltimore, MD 21235.

In addition to your comments on the accuracy of the agency's burden estimate, we are soliciting comments on the need for the information; its practical utility; ways to enhance its quality, utility and clarity; and on ways to minimize burden on respondents, including the use of automated collection techniques or other forms of information technology.

II. The information collection(s) listed below have been submitted to OMB:

1. Application for U.S. Benefits Under the Canada-U.S. International Agreement—0960-0371. The information collected on Form SSA-1294 is used to determine entitlement to benefits. The respondents are individuals who live in Canada and file for U.S. Social Security Benefits.

Number of Respondents: 1,000

Frequency of Response: 1

Average Burden Per Response: 15 minutes

Estimated Annual Burden: 250 hours

2. Quarterly Statistical Report on Recipients and Payments Under State-Administered Assistance Programs for Aged, Blind and Disabled (Individuals and Couples) Recipients—0960-0130. The information collected on Form SSA-9741 is used to provide statistical data on recipients and assistance payments under the SSI State-administered State supplementation program. These data collections are needed to complement the information available for the Federally administered programs under SSA, and to more fully explain the impact of the public income

support programs on the needy, aged, blind and disabled. The respondents are state agencies who administer supplementary payment programs under SSI.

Number of Respondents: 30

Frequency of Response: 4 times annually

Average Burden Per Response: 1 hour

Estimated Annual Burden: 120 hours

3. Statement of Death by Funeral Director—0960-0142. SSA uses the information collected on Form SSA-721 to verify the death of an individual insured under the Social Security Act and to determine if there is a survivor eligible for a lump-sum death payment. The respondents are funeral directors with knowledge of the death of a person insured for Social Security benefits.

Number of Respondents: 900,000

Frequency of Response: 1

Average Burden Per Response: 3.5 minutes

Estimated Average Burden: 52,500 hours

4. Statement Regarding Marriage—0960-0017. SSA uses the information collected on Form SSA-753 to make determinations regarding entitlement to spouse's benefits when a common-law marriage is alleged. The respondents are third parties who can supply evidence concerning the existence of a common-law marriage.

Number of Respondents: 40,000

Frequency of Response: 1

Average Burden Per Response: 9 minutes

Estimated Average Burden: 6,000 hours

Written comments and recommendations regarding the information collection(s) should be directed within 30 days to the OMB Desk Officer and SSA Reports Clearance Officer at the following addresses:

(OMB), Office of Management and Budget, OIRA Attn: Lori Schack, New Executive Office Building, Room 10230, 725 17th St., NW, Washington, D.C. 20503

(SSA), Social Security Administration, DCFAM, Attn: Frederick W. Brickenkamp, 1-A-21 Operations Bldg., 6401 Security Blvd., Baltimore, MD 21235.

To receive a copy of any of the forms or clearance packages, call the SSA Reports Clearance Officer on (410) 965-4145 or write to him at the address listed above.

Date: January 6, 1999.

Frederick W. Brickenkamp,

Reports Clearance Officer, Social Security Administration.

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OFFICE OF THE UNITED STATES TRADE REPRESENTATIVE

Identification of Countries Under Section 182 of the Trade Act of 1974: Request for Public Comment

AGENCY: Office of the United States Trade Representative.

ACTION: Request for written submissions from the public.

SUMMARY: Section 182 of the Trade Act of 1974 (Trade Act) (19 U.S.C. 2242), requires the United States Trade Representative (USTR) to identify countries that deny adequate and effective protection of intellectual property rights or deny fair and equitable market access to U.S. persons who rely on intellectual property protection. (Section 182 is commonly referred to as the "Special 301" provisions in the Trade Act.) In addition, the USTR is required to determine which of these countries should be identified as priority foreign countries. Acts, policies or practices which are the basis of a country's identification as a priority foreign country are normally the subject of an investigation under the Section 301 provisions of the Trade Act. Section 182 of the Trade Act contains a special rule for the identification of actions by Canada affecting United States cultural industries.

USTR requests written submissions from the public concerning foreign countries' acts, policies, and practices that are relevant to the decision whether particular trading partners should be identified under Section 182 of the Trade Act.

DATES: Submissions must be received on or before 12:00 noon on Tuesday, February 16, 1999.

ADDRESSES: U.S. Trade Representative, 600 17th Street, NW., Room 100, Washington, DC 20508.

FOR FURTHER INFORMATION CONTACT: Claude Burcky, Director for Intellectual Property (202) 395-6864; Andrew Bowen, Deputy Director for Intellectual Property (202) 395-6864, or GERALYN S. RITTER, Assistant General Counsel (202) 395-6800, Office of the United States Trade Representative.

SUPPLEMENTARY INFORMATION: Pursuant to Section 182 of the Trade Act, the