Privacy Act of 1974: Revisions to System of Records

SUMMARY: Pursuant to the Privacy Act of 1974 (5 U.S.C. 552a), the National Science Foundation (NSF) is providing notice of revisions to two existing systems. Revisions to the current system NSF–64 “Project Participant File” are being made to delete references to data that will not be collected. NSF–65 “Vendor File” is being renamed to more accurately reflect the records contained therein, and one new routine use is added. The revised systems are reprinted in their entirety.

EFFECTIVE DATE: Sections 552a(e)(4) and (11) of Title 5 of the U.S. Code require that the public have thirty days to comment on the routine uses of systems of records. The new routine uses that are the subject of this notice will take effect on November 18, 1998, unless modified by a subsequent notice to incorporate comments received from the public.

COMMENTS: Written comments should be submitted to Leslie Crawford, NSF Privacy Act Officer, National Science Foundation, Office of the General Counsel, 4201 Wilson Boulevard, Room 1265, Arlington, VA 22230.


Leslie Crawford,
Privacy Act Officer.

NSF–64

SYSTEM NAME: Project Participant File.

SYSTEM LOCATION: Central electronic data system of the National Science Foundation. Excerpts may be extracted or printed and held in separate files maintained by Individual NSF offices and programs. National Science Foundation, 4201 Wilson Boulevard, Arlington, Virginia 22230.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM: Individual participants who do work under NSF-supported projects, other than principal investigators or project directors. Includes, for example, other investigators, post-doctoral associates, graduate and undergraduate assistants.

CATEGORIES OF RECORDS IN THE SYSTEM:

Information gathered primarily through reporting on projects funded by NSF. The primary purpose is to enable NSF to identify outcomes of projects funded under NSF awards for management evaluation and for reporting to the Administration and Congress, especially under the Government Performance and Results Act, 5 U.S.C. 306 and 39 U.S.C. 2801–2805. Information on participants will normally be aggregated, usually statistically, to identify outcomes of NSF programs. On occasion non-sensitive information might be used to identify persons who have achieved distinction in science, engineering, education, or the like (for example, by award of a prize) as beneficiaries of NSF support. Information in the system may also be used secondarily for compatible purposes including to (1) identify and contact scientists, engineers, or educators who may be interested in applying for support, in attending a scientific or similar meeting, in applying for a position, or in taking advantage of some similar opportunity; or (2) identify and contact possible candidates to serve as reviewers in the peer review system or for inclusion on a panel or advisory committee (information from this system may be entered in the NSF’s reviewer databases, NSF–51 and NSF–54, for this purpose); and (3) identify and contact possible candidates to serve as reviewers in the peer review system or for inclusion on a panel or advisory committee (information from this system may be entered in the NSF’s reviewer databases, NSF–51 and NSF–54, for this purpose).

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

An individual participant’s name; the identity of any project on which the participant worked; and information on the nature and extent of the participant’s involvement, level of effort, and NSF support may be publicly released.

Demographic data pertaining to any individual may be released only to:

1. Contractors who perform a service to or work on under a contract with the Federal government in pursuit of a purpose described above. Individuals will be given access only if needed for their specific job. The contractors are subject to the provisions of the Privacy Act.

2. A Federal agency so that it can identify and contact persons who might be interested in a scientific, technical, or educational program, meeting, vacancy, or similar opportunity.

3. A Federal agency, or a researcher with appropriate scholarly credentials, to use the data for scholarly studies or for Federal program management, evaluation, or reporting only after scrutiny of research protocols and with appropriate controls. Information from this system may be merged with other computer files to complete such studies or evaluations. The results of such studies or evaluations are statistical in nature and do not identify individuals.

4. The Department of Justice, to the extent disclosure is compatible with the purpose for which the record was collected and is relevant and necessary to litigation or anticipated litigation, in which one of the following is a party or has an interest: (a) NSF or any of its components; (b) an NSF employee in his/her official capacity; (c) an NSF employee in his/her individual capacity when the Department of Justice is representing or considering representing the employee; or (d) the United States, when NSF determines that litigation is likely to affect the Agency.

5. Representatives of the General Services Administration and the National Archives and Records Administration who are conducting records management inspections under the authority of 44 U.S.C. 2904 and 2906.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Primary storage is in centralized electronic data tables. Extracts or paper printouts may be maintained in computers or paper files in Individual program offices.

RETRIEVABILITY:

Information can be retrieved electronically using participant names.

SAFEGUARDS:

NSF employees, contractors, advisers, and others will have access only after entering the NSF data system using a personal identifier and password only.
as needed for their specific assignments. Principal investigators will have access only to information about their own awards, and only after identifying themselves using a personal identifier and personal identification number. Even then, they will not have access through this system to demographic data on individuals other than themselves. Persons covered by the system will have access only to information about themselves.

RETAIION AND DISPOSAL:
The file is cumulative and is maintained indefinitely.

SYSTEM MANAGER(S) AND ADDRESS:
Chief Information Officer, National Science Foundation, 4201 Wilson Boulevard, Arlington, Virginia 22230.

NOTIFICATION PROCEDURE:
The NSF Privacy Act Officer should be contacted in accordance with procedures set forth at 45 CFR part 613.

RECORD ACCESS PROCEDURES:
See “Notification Procedure” above.

CONTESTING RECORD PROCEDURES:
See “Notification Procedure” above.

RECORD SOURCE CATEGORIES:
Information other than demographic data is entered by the principal investigator on the relevant award. Demographic data is obtained either by having the individual participant enter it directly (preferred) or by having the principal investigator enter it on the participant’s behalf.

EXEMPTIONS CLAIMED FOR THE SYSTEM:
None.

NSF-65

SYSTEM NAME:
NSF Electronic Payment File.

SYSTEM LOCATION:
National Science Foundation, Division of Financial Management, 4201 Wilson Boulevard, Arlington, VA 22230.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:
Employees, former employees, other individuals and vendors who will or do receive electronic payment from the National Science Foundation for goods or services.

CATEGORIES OF RECORDS IN THE SYSTEM:
Name, address, Social Security Number, and payee banking information.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:
The Debt Collection Improvement Act of 1996 provides authority for the National Science Foundation to implement mandatory electronic payments for all obligations.

PURPOSE(S):
This system enables NSF to comply with the electronic payment provisions of the Debt Collection Act of 1996.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:
Information from this system of records may be disclosed to:
1. The Department of the Treasury for the purpose of issuing the payment directly to the financial account of the payee, and reporting income paid in accordance with reporting requirements.
2. Financial institutions for the purpose of direct deposit.
3. The Department of Justice, to the extent disclosure is compatible with the purpose for which the record was collected, and is relevant and necessary to litigation or anticipated litigation, in which one of the following is a party or has an interest: (a) NSF or any of its components; (b) an NSF employee in his/her official capacity; (c) an NSF employee in his/her individual capacity when the Department of Justice is representing or considering representing the employee; or (d) the United States, when NSF determines that litigation is likely to affect the Agency.
4. Contractors, experts, and other individuals who perform a service to or work on or under a contract, or other arrangement with or for the Federal government, as necessary to carry out their duties.
5. Another Federal agency, a court, or a party in litigation before a court or in an administrative proceeding being conducted by a Federal agency when the Government is a party to the judicial or administrative proceeding.
6. Representatives of the General Services Administration and the National Archives and Records Administration who are conducting records management inspections under the authority of 44 U.S.C. 2904 and 2906.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:
STORAGE:
These records are maintained electronically.

RETRIEVABILITY:
These records are retrieved by Social Security Number or vendor institution number.

SAFE GUARDS:
These records are available only to those persons whose official duties require access. A password is required for access to the computer system. Printed reports of the data have restricted access and are treated as confidential information.

RETAIEN T AND DISPOSAL:
Updated information automatically replaces the old information. File is cumulative and maintained permanently.

SYSTEM MANAGER(S) AND ADDRESS:
Director, Division of Financial Management, National Science Foundation, 4201 Wilson Boulevard, Arlington, VA 22230.

NOTIFICATION PROCEDURE:
The NSF Privacy Act Officer should be contacted in accordance with procedures found at 45 CFR part 613.

RECORD ACCESS PROCEDURES:
See “Notification Procedure” above.

CONTESTING RECORD PROCEDURES:
See “Notification Procedure” above.

RECORD SOURCE CATEGORIES:
Information in this system of records obtained from the individual or payees.

EXEMPTIONS CLAIMED FOR THE SYSTEM:
None.

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NUCLEAR REGULATORY COMMISSION

[Docket Nos. 50–369 and 50–370]

Duke Energy Corporation; Notice of Issuance of Amendments to Facility Operating Licenses

The U.S. Nuclear Regulatory Commission (Commission) has issued Amendment No. 184 to Facility Operating License No. NPF–9 and Amendment No. 166 to Facility Operating License No. NPF–17 issued to Duke Energy Corporation (the licensee), which revised the Technical Specifications for operation of the McGuire Nuclear Station, Units 1 and 2, located in Mecklenburg County, North Carolina. The amendments are effective as of the date of issuance and shall be implemented within 90 days of issuance.

The amendments implement a full conversion of the McGuire Nuclear Station Technical Specifications (TS) to a set of TS based upon NUREG–1431, “Standard Technical Specifications—Westinghouse Plants,” Revision 1, April 1995, and on guidance provided in the

55902 Federal Register / Vol. 63, No. 201 / Monday, October 19, 1998 / Notices