

DEPARTMENT OF COMMERCE**Economic Development Administration**

[Docket No. 980129024-8024-01]

RIN 0610-ZA05

Economic Development Assistance Programs—Availability of Funds

AGENCY: Economic Development Administration (EDA), Department of Commerce (DoC).

ACTION: Notice.

SUMMARY: The Economic Development Administration (EDA) announces its policies and application procedures during fiscal year 1998 to support projects designed to alleviate conditions of substantial and persistent unemployment and underemployment in economically-distressed areas and regions of the Nation, to address economic dislocations resulting from sudden and severe job losses, and to administer the Agency's programs.

DATES: This announcement is effective for applications considered for fiscal year 1998. Unless otherwise noted below, applications are accepted on a continuous basis and will be processed as funds are available. Normally, two months are required for a final decision after the receipt of a completed application that meets all EDA requirements.

ADDRESSES: Interested parties should contact the EDA office in their area, or in Washington, D.C., as appropriate (see Section XII).

FOR FURTHER INFORMATION CONTACT: See information in Section XII for the EDA regional office and Economic Development Representative (EDR), or for programs handled out of Washington, D.C., as appropriate.

SUPPLEMENTARY INFORMATION:**I. General Policies**

In light of its limited resources and the demonstrated widespread need for economic development, EDA encourages only project proposals having the greatest potential to benefit areas experiencing or threatened with substantial economic distress. EDA will focus its scarce financial resources on communities most in distress. Distress may exist in a variety of forms, including high levels of unemployment, low income levels, large concentrations of low income families, significant decline in per capita income, substantial loss of population because of the lack of employment opportunities, large numbers (or high rates) of business

failures, sudden major layoffs or plant closures, and/or reduced tax bases.

Potential applicants are responsible for demonstrating to EDA, through the provision of statistics and other appropriate information, the nature and level of the distress their project efforts are intended to alleviate. In the absence of evidence of high levels of distress, EDA funding is unlikely.

In FY 1998, EDA's strategic funding priorities are a continuation of the general goals in place over the past five fiscal years, refined to reflect the priorities of the U.S. Department of Commerce. Unless otherwise noted below, the funding priorities, as listed below, will be applied by the Selecting Official (depending upon the program, either the Regional Director or Assistant Secretary) after completion of a review based upon evaluation criteria described in EDA's regulations at 13 CFR Chapter III. During FY 1998, EDA is interested in receiving projects which support the priorities of the U.S. Department of Commerce, including:

- The construction and rehabilitation of essential public works infrastructure and economic development facilities that are necessary to achieve long-term growth and provide stable and diversified local economies in the Nation's distressed communities.

- Export promotion;
- The commercialization and deployment of technology; particularly information technology and telecommunications, and efforts that support technology transfer, application and deployment for community economic development;

- Sustainable development which will provide long-term economic development benefits, including responses to economic dislocation caused by national environmental policies (hazardous waste clean-up, etc.); also considered a priority are projects involving reuse of "brownfields," especially pilot projects selected under the Environmental Protection Agency's "Brownfields Initiative" program; also considered priority are projects involving eco-industrial parks, which have been broadly defined by the President's Council on Sustainable Development, as a community of businesses that cooperate with each other and with the local community to efficiently share resources (information, materials, water, energy, infrastructure and natural habitat), leading to economic gains, gains in environmental quality, and equitable enhancement of human resources for the business and local community;

- Entrepreneurial development, especially local capacity building, and including small business incubators and community financial intermediaries (e.g., revolving loan funds);

- Economic adjustment, especially in response to base and Federal laboratory closures and downsizing, defense industry downsizing, and post-disaster, long-term economic recovery;

- Infrastructure and development facilities located in federally-authorized and designated rural and urban Enterprise Communities and Empowerment Zones and state enterprise zones;

- Projects that demonstrate innovative approaches to economic development; and/or

- Projects that support locally-created partnerships that focus on regional solutions for economic development will be given priority over proposals that are more limited in scope. For example, projects that evidence collaboration in fostering an increase in regional (multi-county and/or multi-state) productivity and growth will be considered to the extent that such projects demonstrate a substantial benefit to economically-distressed areas of the region.

II. Other Information and Requirements

- See EDA's regulations at 13 CFR chapter III.

- Additional information and requirements are as follows:

All primary applicants must submit a completed Form CD-511, "Certifications Regarding Debarment, Suspension and Other Responsibility Matters; Drug-Free Workplace Requirements and Lobbying," and the following explanations are hereby provided:

Prospective participants (as defined at 15 CFR part 26, section 105)

"Nonprocurement Debarment and Suspension" and the related section of the certification form prescribed above applies;

Grantees (as defined at 15 CFR Part 26, Section 605) are subject to 15 CFR part 26, Subpart F, "Governmentwide Requirements for Drug-Free Workplace (Grants)" and the related section of the certification form prescribed above applies;

- Persons (as defined at 15 CFR part 28, section 105) are subject to the lobbying provisions of 31 U.S.C. 1352, "Limitation on use of appropriated funds to influence certain Federal contracting and financial transactions," and the lobbying section of the certification form prescribed above applies to applications/bids for grants, cooperative agreements, and contracts

for more than \$100,000, and loans and loan guarantees for more than \$150,000, or the single family maximum mortgage limit for affected programs, whichever is greater; and

Any applicant that has paid or will pay for lobbying using any funds must submit an SF-LLL, "Disclosure of Lobbying Activities," as required under 15 CFR part 28, Appendix B.

Recipients shall require applicants/bidders for subgrants, contracts, subcontracts, or other lower tier covered transactions at any tier under the award to submit, if applicable, a completed Form CD-512, "Certifications Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transactions and Lobbying" and disclosure form, SF-LLL "Disclosure of Lobbying Activities." Form CD-512 is intended for the use of recipients and should not be transmitted to DoC. SF-LLL submitted by any tier recipient or subrecipient should be submitted to DoC in accordance with the instructions contained in the award document.

No award of Federal funds will be made to an applicant who has an outstanding delinquent Federal debt until either:

1. The delinquent account is paid in full;
2. A negotiated repayment schedule is established and at least one payment is received; or
3. Other arrangements satisfactory to DoC are made.

Unsatisfactory performance under prior Federal awards may result in an application not being considered for funding.

Applicants should be aware that a false statement on the application is grounds for denial of the application or termination of the grant award and grounds for possible punishment by a fine or imprisonment as provided in 18 U.S.C. 1001.

Applicants are hereby notified that any equipment or products authorized to be purchased with funding provided under this program must be American-made to the maximum extent feasible.

Notwithstanding any other provision of law, no person is required to respond to, nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act (PRA) unless that collection of information displays a currently valid Office of Management and Budget (OMB) control number. This notice involves a collection of information requirement subject to the provisions of the PRA and has been

approved by OMB under Control Number 0610-0094.

Applicants seeking an early start, i.e. to begin a project before EDA approval, must obtain a letter from EDA allowing such early start. The letter allowing the early start will be null and void if the project is not subsequently approved for funding by the grants officer. Approval of an early start does not constitute project approval. Applicants should be aware that if they incur any costs prior to an award being made they do so solely at their own risk of not being reimbursed by the Government.

Notwithstanding any verbal or written assurance that may have been received, there is no obligation on the part of DoC to cover preaward costs.

EDA also requires that compliance with environmental regulations, in accordance with the National Environmental Policy Act (NEPA), be completed before construction begins.

The total dollar amount of the indirect costs proposed in an application under any EDA program must not exceed the indirect cost rate negotiated and approved by a cognizant Federal agency prior to the proposed effective date of the award or 100 percent of the total proposed direct costs dollar amount in the application, whichever is less.

If an application is selected for funding, EDA has no obligation to provide any additional future funding in connection with an award. Renewal of an award to increase funding or extend the period of performance is at the sole discretion of EDA.

Unless otherwise noted below, eligibility, program objectives and descriptions, application procedures, selection procedures, evaluation criteria and other requirements for all programs are set forth in EDA's regulations at 13 CFR Chapter III.

III. Funding Availability

Under EDA's fiscal year 1998 appropriation, Public Law 105-119, November 13, 1997, EDA's program funds total \$340,000,000. EDA has already received and begun processing requests for funding its programs during fiscal year 1998. New requests submitted that require approval during this fiscal year will face substantial competition. Potential applicants are encouraged to contact first the appropriate EDR for their area and then, if necessary, the appropriate Regional Office listed in Section XII of this Notice.

IV. Authority

The authority for programs listed in Parts V through X is the Public Works and Economic Development Act of

1965, (Pub. L. 89-136, 42 U.S.C. 3121-3246h), as amended (PWEDA). The authority for the program listed in Part XI is Title II Chapters 3 and 5 of the Trade Act of 1974, as amended, (19 U.S.C. 2341-2355; 2391) (Trade Act).

V. Program: Public Works and Development Facilities Assistance

(Catalog of Federal Domestic Assistance: 11.300 Economic Development Grants and Loans for Public Works and Development Facilities. 11.304 Economic Development Public Works Impact Program)

Funding Availability

Funds in the amount of \$178,000,000 have been appropriated for this program. The average funding level for a grant is \$886,000.

VI. Program: Technical Assistance-Local Technical Assistance; National Technical Assistance; University Centers

(Catalog of Federal Domestic Assistance: 11.303 Economic Development-Technical Assistance)

Funding Availability

Funds in the amount of \$9,100,000 have been appropriated for this program. The average funding level for a local TA grant is \$27,000; university centers is \$100,000; and national TA is \$176,000.

A separate FR Notice will set forth the specific funding priorities, application process, and time frames for National Technical Assistance projects.

VII. Program: Planning—Planning Assistance for Economic Development Districts, Indian Tribes, and Redevelopment Areas; Planning Assistance for States and Urban Areas

(Catalog of Federal Domestic Assistance: 11.302 Economic Development—Support for Planning Organizations); 11.305 Economic Development—State and Urban Area Economic Development Planning) 6

Funding Availability

Funds in the amount of \$24,000,000 have been appropriated for this program. The funding levels for planning grants range from \$10,000 to \$200,000.

VIII. Program: Research and Evaluation

(Catalog of Federal Domestic Assistance: 11.312 Economic Development—Research and Evaluation Program)

Funding Availability

Funds in the amount of \$500,000 have been appropriated for this program. The average funding level for a grant is \$171,000.

A separate FR Notice will set forth the specific funding priorities, application

process, and time frames for research and evaluation projects.

IX. Program: Economic Adjustment Assistance

(Catalog of Federal Domestic Assistance: 11.307 Special Economic Development and Adjustment Assistance Program—Long Term Economic Deterioration and Sudden and Severe Economic Dislocation)

Funding Availability

Funds in the amount of \$29,900,000 have been appropriated for this program. The average funding level for a grant is \$236,000.

X. Program: Defense Economic Conversion

(Catalog of Federal Domestic Assistance: 11.307 Special Economic Development and Adjustment Assistance Program—Long Term Economic Deterioration and Sudden and Severe Economic Dislocation; 11.300 Economic Development Grants and Loans for Public Works and Development Facilities; 11.304 Economic Development Public Works Impact Program; 11.303 Economic Development-Technical Assistance; 11.302 Economic Development—Support for

Planning Organizations); 11.305 Economic Development—State and Urban Area Economic Development Planning; 11.312 Economic Development—Research and Evaluation Program and 11.313 Economic Development—Trade Adjustment Assistance)

Funding Availability

Funds in the amount of \$89,000,000 have been appropriated for this program. The average funding level for a grant is \$1,260,000.

XI. Program: Trade Adjustment Assistance

(Catalog of Federal Domestic Assistance: 11.313 Economic Development—Trade Adjustment Assistance)

Funding Availability

Funds in the amount of \$9,500,000 have been appropriated for this program. The average funding level for a grant is \$791,000.

XII. EDA Washington D.C., Regional Offices and Economic Development Representatives

The EDA Washington, D.C. offices, regional and field offices, states covered

and the economic development representatives (EDRs) are listed below.

Washington, D.C. Offices

For Research and National Technical Assistance contact: John J. McNamee, Director, Research and National Technical Assistance Division, Economic Development Administration, Room 7019, U.S. Department of Commerce, Washington, DC 20230; Telephone: (202) 482-4085, Internet Address: jmcnamee@doc.gov

For Trade Adjustment Assistance contact: Anthony J. Meyer, Coordinator, Trade Adjustment and Technical Assistance, Economic Development Administration, Room 7317, U.S. Department of Commerce, Washington, DC 20230; Telephone: (202) 482-2127, Internet Address: tmeyer2@doc.gov

EDA Regional Offices

William J. Day, Jr., Regional Director, Atlanta Regional Office, 401 West Peachtree Street, NW., Suite 1820, Atlanta, Georgia 30308; Telephone: (404) 730-3002; Fax: (404) 730-3025; Internet Address: wday@doc.gov

Economic development representatives	States covered
PATTERSON, Gilbert 401 West Peachtree Street, N.W. Suite 1820 Atlanta, GA 30308 Telephone: (404)730-3018 Internet Address: gpatters@doc.gov	Georgia. Mississippi.
HUNTER, Bobby D 771 Corporate Drive, Suite 200 Lexington, KY 40503-5477 Telephone: (606)224-7426 Internet Address: bhunter@doc.gov	Kentucky. Tennessee.
DIXON, Patricia M Strom Thurmond Federal Building 1835 Assembly Street, Room 307 Columbia, SC 29201 Telephone: (803)765-5676 Internet Address: pdixon@doc.gov	North Carolina. South Carolina.
DENNIS, Bobby 401 West Peachtree Street, N.W. Suite 1820 Atlanta, GA 30308 Telephone: (404)730-3020 Internet Address: bdennis@doc.gov	Alabama.
TAYLOR, Willie 401 West Peachtree Street, N.W. Suite 1820 Atlanta, GA 30308 Telephone: (404)730-3032 Internet Address: wtaylor5@doc.gov	Florida.

Pedro R. Garza, Regional Director, Austin Regional Office, Thornberry Building, Suite 121, 903 San Jacinto Boulevard, Austin, Texas 78701; Telephone: (512) 916-5461; Fax: (512) 916-5613; Internet Address: pgarza1@doc.gov

Regional office contacts	States covered
FRERKING, Sharon T	Oklahoma.

Regional office contacts	States covered
Austin Regional Office Thornberry Building, Suite 121 903 San Jacinto Boulevard Austin, Texas 78701 Telephone: (512) 916-5217 Internet Address: sfrerking@doc.gov	New Mexico. Texas (north).
LEE, Ava J Austin Regional Thornberry Building, Suite 121 903 San Jacinto Boulevard Austin, TX 78701 Telephone: (512) 916-5824 Internet Address: alee6@doc.gov	Louisiana. Arkansas. Texas (south).

C. Robert Sawyer, Regional Director, Chicago Regional Office, 111 North Canal Street, Suite 855, Chicago, IL 60606;
 Telephone: (312) 353-7706; Fax: (312) 353-8575; Internet Address: rsawyer@doc.gov

Economic development representatives	States covered
ARNOLD, John B. III 104 Federal Building 515 West First Street Duluth, MN 55802 Telephone: 888-865-5719 Internet Address: jarnold@doc.gov	Illinois. Minnesota.
HICKEY, Robert F Federal Building, Room 607 200 North High Street Columbus, Ohio 43214 Telephone: (800-686-2603) Internet Address: rhickey@doc.gov	Ohio. Indiana.
PECK, John E Chicago Regional Office 111 North Canal Street, Suite 855 Chicago, IL 60606 Telephone: 888-249-7597 Internet Address: jpeck@doc.gov	Michigan. Wisconsin.

John Woodward, Regional Director, Denver Regional Office, 1244 Speer Boulevard, Room 670, Denver, Colorado 80204;
 Telephone: (303) 844-4715; Fax: (303) 844-3968; Internet Address: jwoodwa3@doc.gov

Economic development representatives	States covered
ZENDER, John 1244 Speer Boulevard, Room 632 Denver, CO 80204 Telephone: (303) 844-4902 Internet Address: jzender@doc.gov	Colorado. Kansas.
CECIL, Robert Federal Building, Room 593A 210 Walnut Street Des Moines, IA 50309 Telephone: (515) 284-4746 Internet Address: bcecil@doc.gov	Iowa. Nebraska.
HILDEBRANDT, Paul Federal Building, Room B-2 608 East Cherry Street Columbia, MO 65201 Telephone: (573) 442-8084 Internet Address: phildeb1@doc.gov	Missouri.
ROGERS, John C. Federal Building, Room 196 301 South Park Ave. Drawer 10074 Helena, MT 59626 Telephone: (406) 441-1175 Internet Address: jrogers6@doc.gov	Montana.
JUNGBERG, Cip	South Dakota.

Economic development representatives	States covered
Post Office/Courthouse 102 4th Ave., Room 216 P.O. Box 190 Aberdeen, South Dakota 57401 Telephone: (605) 226-7315 Internet Address: cjungberg@doc.gov	North Dakota.
OCKEY, Jack Federal Building, Room 2105 125 South State Street Salt Lake City, UT 84138 Telephone: (801) 524-5119 Internet Address: jockey@doc.gov	Utah. Wyoming.
John E. Corrigan, Regional Director, Philadelphia Regional Office, Curtis Center, Independence Square West, Suite 140 South, Philadelphia, PA 19106; Telephone: (215) 597-4603; Fax: (215) 597-6669; Internet Address: jcorriga@doc.gov	
Economic development representatives	States covered
BEACH, Tyrone Philadelphia Regional Office The Curtis Center—Suite 104 South Independence Square West Philadelphia, PA 19106 Telephone: (215) 597-7883 Internet Address: tbeach@doc.gov.	Delaware District of Columbia.
WILKINSON, Cassandra Philadelphia Regional Office Curtis Center Independence Square West Suite 140 South Philadelphia, PA 19106 Telephone: (215) 597-4360 Internet Address: cwilkins@doc.gov	Rhode Island.
GRADY, Stephen Philadelphia Regional Office Curtis Center Independence Square West Suite 140 South Philadelphia, PA 19106 Telephone: (215) 597-0642 Internet Address: sgrady@doc.gov	Connecticut.
KUZMA, John Philadelphia Regional Office Curtis Center Independence Square West Suite 140 South Philadelphia, PA 19106 Telephone: (215) 597-8797 Internet Address: jkuzma@doc.gov	Massachusetts.
POTTER, Rita V 143 North Main Street, Suite 209 Concord, NH 03301 Telephone: (603) 225-1624 Internet Address: rpotter@doc.gov	New Hampshire. Vermont. Maine.
HUMMEL, Ed Philadelphia Regional Office The Curtis Center-Suite 140 South Independence Square West, Philadelphia, PA 19106 Telephone: (215) 597-6767 Internet Address: ehummel@doc.gov	New Jersey.
MARSHALL, Harold J. II 620 Erie Boulevard West, Suite 104 Syracuse, NY 13204 Telephone: (315) 448-0938 Internet Address: hmarshal@doc.gov	New York.
PECONE, Anthony M. 1933A New Berwick Highway Bloomsburg, PA 17815 Telephone: (717) 389-7560 Internet Address: apecone@doc.gov	Pennsylvania.
CRUZ, Ernesto L	Puerto Rico.

Economic development representatives	States covered
IBM Building, Room 620 654 Munoz Rivera Avenue Hato Rey, PR 00918 Telephone: (809) 766-5187 Internet Address: ecruz@doc.gov	Virgin Islands.
NOYES, Neal E Room 474 400 North 8th Street P.O. Box 10229 Richmond, VA 23240 Telephone: (804) 771-2061 Internet Address: nnoyes@doc.gov	Virginia. Maryland.
DAVIS, R. Byron 405 Capital Street Room 411 Charleston, WV 25301 Telephone: (304) 347-5252 Internet Address: bdavis3@doc.gov	West Virginia.

A. Leonard Smith, Regional Director, Seattle Regional Office, Jackson Federal Building, Room 1856, 915 Second Avenue, Seattle, Washington 98174; Telephone: (206) 220-7660; Fax: (206) 220-7659; Internet Address: LSmith7@doc.gov.

Economic development representatives	States covered
RICHERT, Bernhard E. Jr 605 West 4th Avenue, Room G-80 Anchorage, AK 99501 Telephone: (907) 271-2272 Internet Address: brichert@doc.gov	Alaska.
SOSSON, Deena R 1345 J Street, Suite B Sacramento, CA 95814 Telephone: (916) 498-5285 Internet Address: dsosson@doc.gov	California. (central).
CHURCH, Dianne V Seattle Regional Office Jackson Federal Building 915 Second Avenue, Room 1856 Seattle, WA 98174 Telephone: (206) 220-7690 Internet Address: dchurch@doc.gov	California. Bay and coastal).
MCCHESENEY, Frank P.O. Box 50264 Federal Building, Room 4106 Honolulu, HI 96850 Telephone: (808) 541-3391 Internet Address: fmcchesn@doc.gov	Hawaii, Guam. American Samoa. Marshall Islands. Micronesia. Northern Marianas.
AMES, Aldred F Borah Federal Building, Room 441 304 North 8th Street Boise, ID 83702 Telephone: (208) 334-1521 Internet Address: aames@doc.gov	Idaho. Nevada.
BERBLINGER, Anne S One World Trade Center 121 S.W. Salmon Street, Suite 244 Portland, OR 97204 Telephone: (503) 326-3078 Internet Address: aberblin@doc.gov	Oregon. California. (northern).
SVENDSEN, David E Seattle Regional Office Jackson Federal Building 915 Second Avenue, Room 1856 Seattle, WA 98174 Telephone: (206) 220-7703 Internet Address: dsvendse@doc.gov	California. (southern).
KIRRY, Lloyd P Seattle Regional Office Jackson Federal Building 915 Second Avenue, Room 1856 Seattle, WA 98174 Telephone: (206) 220-7682 Internet Address: lkirry@doc.gov	Washington.
MACIAS, Jacob	Arizona.

Economic development representatives	States covered
Seattle Regional Office Jackson Federal Building 915 Second Avenue, Room 1856 Seattle, WA 98174 Telephone: (206)220-7666 Internet Address: jmacias@doc.gov	

Dated: February 24, 1998.

Phillip A. Singerman,
*Assistant Secretary for Economic
Development.*

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