

to be a waiver by the registered person of the right to a disciplinary proceeding or any right to review or appeal such fine. The text of the proposed rule change is below. Proposed new language is in italics.

Article XII, Rule 9

Interpretations and Policies

.01 With respect to subsection (d), a failure to pay a fine imposed pursuant to this Rule by the time it is due, without timely contesting the action upon which such fine was based pursuant to subsection (e), shall be deemed a waiver by the person against whom the fine is imposed of such person's right to a disciplinary proceeding under Article XII and any right to review or appeal.

II. Self-Regulatory Organization's Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

In its filing with the Commission, the Exchange included statements concerning the purpose of and statutory basis for the proposed rule change and discussed any comments it received on the proposed rule change. The text of these statements may be examined at the places specified in Item IV below. The Exchange has prepared summaries, set forth in sections A, B, and C below, of the most significant aspects of such statements.

A. Self-Regulatory Organization's Statement of the Purpose of, and Statutory Basis for, the Proposed Rule Change

1. Purpose

The purpose of the proposed rule change is to clarify a provision of the Exchange's Plan relating to failure to pay or contest a fine imposed under the Plan. The Exchange adopted its Plan, Article XII, Rule 9 on May 30, 1996. The Exchange may impose a fine, not to exceed \$2,500, for any violation of a rule under the Plan.² The Plan establishes procedures for imposing fines if the Exchange determines that a member, member organization, associated person, or registered or non-registered employee of a member or member organization (collectively "Member") has violated a rule under the Plan.³ A Member is provided notice of a violation under the Plan and is provided not less than 15 days to make payment or to contest the Exchange's determination of violation.⁴ A Member,

upon payment of an assessed fine, waives the right to contest the Exchange's determination.⁵ A Member, however, has the right to contest the Exchange's determination before payment is due, at which point the matter becomes a "Disciplinary Proceeding."⁶

The Exchange proposes to add an interpretation that would make it clear that failure to pay an assessed fine for a Plan violation when it becomes due and payable does not thereby extend the time allowed for a member to contest the determination. As with the failure to pay any debt, the Exchange may proceed with disciplinary action (including, but not limited to, suspension) pursuant to Article XIV, Rule 13 against any Member that fails to pay a fine, when due, imposed under the Plan.

2. Basis

The Exchange believes that the proposed rule change is consistent with Section 6(b)(5) of the Act in that it is designed to promote just and equitable principles of trade, to remove impediments and to perfect the mechanism of a free and open market and a national market system, and, in general, to protect investors and the public interest.

B. Self-Regulatory Organization's Statement on Burden on Competition

The Exchange does not believe that the proposed rule change will impose any burden on competition.

C. Self-Regulatory Organization's Statement on Comments on the Proposed Rule Change Received From Members, Participants or Others

The Exchange has neither solicited nor received written comments on the proposed rule change.

III. Date of Effectiveness of the Proposed Rule Change and Timing for Commission Action

The foregoing proposed rule change constitutes a stated policy, practice or interpretation with respect to the meaning, administration, or enforcement of an existing rule of the Exchange pursuant to Section 19(b)(3)(A) of the Act⁷ and subparagraph (e) of Rule 19b-4 thereunder. At any time within 60 days of the filing of such rule change, the Commission may summarily abrogate such rule change if it appears to the Commission that such action is

necessary or appropriate in the public interest, for the protection of investors, or otherwise in furtherance of the purposes of the Act.

IV. Solicitation of Comments

Interested persons are invited to submit written data, views and arguments concerning the foregoing. Persons making written submissions should file six copies thereof with the Secretary, Securities and Exchange Commission, 450 Fifth Street, N.W., Washington D.C. 20549. Copies of the submission, all subsequent amendments, all written statements with respect to the proposed rule change that are filed with the Commission, and all written communications relating to the proposed rule change between the Commission and any person, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. 552, will be available for inspection and copying at the Commission's Public Reference Room. Copies of the filing will also be available for inspection and copying at the principal office of the Exchange. All submissions should refer to File No. SR-CHX-97-35 and should be submitted by February 20, 1998.

For the Commission, by the Division of Market Regulation, pursuant to delegated authority,⁸

Margaret H. McFarland,
Deputy Secretary.

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SOCIAL SECURITY ADMINISTRATION

Information Collection Activities: Proposed Collection Requests and Comment Requests

This notice lists information collection packages that will require submission to the Office of Management and Budget (OMB), as well as information collection packages submitted to OMB for clearance, in compliance with PL. 104-13 effective October 1, 1995, The Paperwork Reduction Act of 1995.

I. The information collection(s) listed below require(s) extension(s) of the current OMB approval(s) or are proposed new collection(s):

1. Government Pension Questionnaire—0960-0160.—The Social Security Act and Regulations provide that an individual receiving spouse's benefits and concurrently receiving a Government pension, based on the

² See CHX Article XII, Rule 9(h) for a list of the Exchange rules and policies that are subject to the Plan.

³ CHX Article XII Rule 9(b).

⁴ CHX Article XII Rule 9(c).

⁵ CHX Article XII Rule 9(d).

⁶ CHX Article XII Rule 9(e).

⁷ 15 U.S.C. 78s(b)(3)(A).

⁸ 17 CFR 200.30-3(a)(12).

individual's own earnings, may have the Social Security benefit amount reduced by two-thirds of the pension amount. The data collected on Form SSA-3885 is used by the Social Security Administration (SSA) to determine if the individual's Social Security benefit will be reduced, the amount of reduction, the effective date of the reduction and if one of the exceptions in 20 CFR 404.408a applies. The respondents are individuals who are receiving (or will receive) Social Security spouse's benefits and also receive their own Government pension.

Number of Respondents: 76,000.

Frequency of Response: 1.

Average Burden Per Response: 12.5 minutes.

Estimated Annual Burden: 15,833 hours.

2. Telephone Replacement Card Interview Script—0960-0570. SSA will conduct a pilot study by telephone to obtain information from individuals who need a duplicate Social Security Number (SSN) card. The information collected will be used to properly identify an individual prior to releasing a replacement SSN card, thus eliminating the need for the respondent to take or mail his/her identity documents to a Social Security office. The information provided, which should be known by the true Social Security number holder, will be compared to information available in our current electronic systems. The respondents are U.S. Citizens applying for a replacement SSN card.

Number of Respondents: 100,000.

Frequency of Response: 1.

Average Burden Per Response: 4 minutes.

Estimated Average Burden: 6,667 hours.

3. Reconsideration Report for Disability Cessation—0960-0350. Form SSA-782-BK will be used by claimants and SSA field offices to document new developments on the claimant's condition (as perceived by the claimant), since the prior continuing disability interview was conducted. The form will also be used by the SSA interviewer to provide his/her observations of the claimant. The respondents are claimants for Old-Age, Survivors and Disability Insurance and Supplemental Security Income, who file a Request for Reconsideration—Disability Cessation.

Number of Respondents: 100,000.

Frequency of Response: 1.

Average Burden Per Response: 30 minutes.

Estimated Average Burden: 50,000 hours.

Written comments and recommendations regarding the information collection(s) should be sent within 60 days from the date of this publication, directly to the SSA Reports Clearance Officer at the following address: Social Security Administration, DCFAM, Attn: Nicholas E. Tagliareni, 6401 Security Blvd., 1-A-21 Operations Bldg., Baltimore, MD 21235.

In addition to your comments on the accuracy of the Agency's burden estimate, we are soliciting comments on the need for the information; its practical utility; ways to enhance its quality, utility and clarity; and on ways to minimize burden on respondents, including the use of automated collection techniques or other forms of information technology.

II. The information collection(s) listed below have been submitted to OMB:

1. Request to be Selected as Payee—0960-0014. The information collected on Form SSA-11-BK is used by SSA to determine the proper payee for a Social Security beneficiary, and it is designed to aid in the investigation of a payee applicant. The form will establish the applicant's relationship to the beneficiary, the justification, the concern for the beneficiary and the manner in which the benefits will be used. The respondents are applicants for selection as representative payee for Old-Age, Survivors and Disability Insurance (OASDI), Supplemental Security Income (SSI) and Black Lung benefits.

Number of Respondents: 1,709,657.

Frequency of Response: 1.

Average Burden Per Response: 10.5 minutes.

Estimated Annual Burden: 299,190 hours.

2. Application for Benefits Under the Federal Mine Safety and Health Act of 1977, as Amended; (Widow's Claim, Child's Claim and Dependent's Claim)—0960-0118.

Sections 402(g) and 412(a) of the Federal Mine Safety and Health Act provide that those widows, surviving children, and dependent parents, brothers or sisters who are not currently receiving benefits on the deceased miner's account must file the appropriate application within 6 months of the deceased miner's death, using Forms SSA-47, 48 and 49. This information is used by SSA to determine eligibility for benefits. The respondents are Black Lung widows, surviving children and dependents (parents, brothers or sisters) who were not currently receiving Black Lung benefits on the Deceased Miner's Account.

Number of Respondents: 1,800.

Frequency of Response: 1.

Average Burden Per Response: 11 minutes.

Estimated Annual Burden: 330 hours.

3. Work History Report—0960-0552. Form SSA-3369-BK is used by the State Disability Determination Services (DDS) to determine disability and to record information about the claimant's work history during the past 15 years. The respondents are claimants who live in Virginia and are applying for OASDI and SSI benefits.

Number of Respondents: 32,000.

Frequency of Response: 1.

Average Burden Per Response: 30 minutes.

Estimated Annual Burden: 16,000 hours.

4. Disability Report-Child—0960-0504. Form SSA-3820-BK is used by the State DDSs to record claimants' allegations and sources of evidence in determining eligibility for children filing for SSI disability benefits. The respondents are SSI claimants who live in Virginia and are applying for disabled child's benefits.

Number of Respondents: 10,900.

Frequency of Response: 1.

Average Burden Per Response: 40 minutes.

Estimated Annual Burden: 7,267 hours.

5. Work Incapacity and Reintegration Study—0960-0543. The purpose of this study is to identify those incentives and interventions that are most successful in assisting persons who are disabled due to a back condition to return to work. The information collected will be used primarily to complete a cross-national analysis of this issue. Data will also be gathered on subjects of particular importance in the U.S. The findings will provide policy makers with information that will be highly useful in establishing disability policy. The respondents are persons entitled to Social Security Disability Insurance, Supplemental Security Income or State Temporary Disability Insurance benefits due to a back condition.

Number of Respondents: 700.

Frequency of Response: 1.

Average Burden Per Response: 1 hour.

Estimated Annual Burden: 700 hours.

Written comments and recommendations regarding the information collection(s) should be directed within 30 days to the OMB Desk Officer and SSA Reports Clearance Officer at the following addresses:

(OMB)

Office of Management and Budget,
OIRA, Attn: Laura Oliven, New
Executive Office Building, Room
10230, 725 17th St., NW, Washington,
D.C. 20503,

(SSA)

Social Security Administration,
DCFAM, Attn: Nicholas E. Tagliareni,
1-A-21 Operations Bldg., 6401
Security Blvd., Baltimore, MD 21235.

To receive a copy of any of the forms or clearance packages, call the SSA Reports Clearance Officer on (410) 965-4125 or write to him at the address listed above.

Date: January 22, 1998.

Nicholas E. Tagliareni,

Reports Clearance Officer, Social Security Administration.

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DEPARTMENT OF STATE

[Public Notice 2657

Bureau for International Narcotics and Law Enforcement Affairs; Anti-Crime Training and Technical Assistance Program (ACTTA)

AGENCY: Office of Europe, NIS, and Training; Bureau for International Narcotics and Law Enforcement Affairs, State.

ACTION: Notice.

SUMMARY: State Department's Bureau for International Narcotics and Law Enforcement Affairs (INL) developed the Anti-Crime Training and Technical Assistance Program (ACTTA) in 1994 to bring U.S. Federal law enforcement agencies together to provide training and technical assistance in consultation with their counterparts in Russia, the NIS, and Eastern/Central Europe. Training continues to focus on combating international organized crime, financial crimes, and narcotics trafficking. The goal of the program is to increase professionalism and develop the technical capabilities of law enforcement institutions to combat organized crime and to assure that through international law enforcement cooperation, U.S. agencies and their foreign counterparts succeed in intercepting the movement of transnational organized criminal elements into the U.S.

The ACTTA program is being expanded to include the participation of non-Federal agencies (e.g., universities, state/local government agencies, private non-profit organizations, etc.) in the delivery of law enforcement training and technical assistance to Russia, and the Newly Independent States (NIS). This non-Federal component of the ACTTA program has a timeframe of 1998-1999.

DATES: Strict deadlines for submission to the FY 1998 process are: Letters of Intent must be received no later than Friday, February 27, 1998. Letters of Intent (LOI) are mandatory; full proposals will not be reviewed unless they are requested following the LOI review process. Projects deemed unsuitable during LOI review will not be encouraged to submit full proposals. Full proposals must be received at INL no later than Friday, April 10, 1998. Applicants who have not received a response to their letter of intent by Friday, March 13, 1998 should contact Linda Gower at 202-776-8774. We anticipate that review of full proposals will occur during late April 1998 and funding should begin during the spring of 1998 for most approved projects. July 1, 1998 should be used as the proposed start date on proposals, unless otherwise directed by a program manager. Applicants should be notified of their status within 6 months. All proposals must be submitted in accordance with the guidelines below. Failure to heed these guidelines may result in proposals being returned without review.

ADDRESSES: Proposals may be submitted to: U.S. Department of State, Bureau of International Narcotics and Law Enforcement Affairs, SA-44 South, Room 105, Washington, DC 20520, Attn: Linda Gower.

FOR FURTHER INFORMATION CONTACT: Linda Gower at above address, TEL: 202-776-8774, FAX: 202-776-8775.

SUPPLEMENTARY INFORMATION:

Funding Availability

This Program Announcement is for projects to be conducted by agencies/programs outside the Federal government, over a period of up to two years. Actual funding levels will depend upon the final FY 1998 budget appropriations, current plans are for up to a total of \$5.0 million to be available for new (or renewing) ACTTA awards. The funding instrument for extramural awards will be a grant. Funding for non-U.S. institutions and contractual arrangements for services and products for delivery to INL are not available under this announcement. Matching share, though encouraged, is not required by this program.

Program Authority

Authority: Section 635(b) of the Foreign Assistance Act, as amended.

Program Objectives

The goal of the ACTTA program is to increase the technical capabilities of foreign country law enforcement institutions to control organized crime,

combat corruption, institute democratic practices, and to assure that through international law enforcement cooperation, U.S. agencies succeed in intercepting the movement of transnational organized criminal elements into the U.S. and Eastern/Central Europe.

The ACTTA program has been designed to generate assistance to foreign governments which will complement that training and assistance provided by Federal agencies. All training and assistance of the ACTTA program should be focused on city or local police forces.

The program objectives of the ACTTA program are: (1) Combat the growing threat to U.S. national security posed by the broad range of organized crime activities, (2) help emerging democracies strengthen their national and law enforcement institutions to counter illegal criminal activities, (3) help emerging democracies develop laws and prosecutorial frameworks to counter organized crime activities, and (4) provide foreign law enforcement institutions with the skills to detect, arrest, and prosecute major transnational criminal offenders.

Program Priorities

The primary focus of this program is concentrated in Russia and the Newly Independent States.

All training conducted under this program must utilize a "training-of-trainers" format.

The FY 1998 ACTTA Program Announcement invites training and technical assistance program proposals in the following areas:

- (1) Community policing methods,
- (2) Curriculum development for national police training academies,
- (3) Domestic violence/violence against women and children,
- (4) Program evaluations (process and impact) of USG-funded international law enforcement training academies,
- (5) Combating organized crime, and
- (6) Anti-corruption measures.

Eligibility

Extramural eligibility is limited to non-Federal agencies and organizations, and is encouraged with the objective of developing a strong partnership with the state/local law enforcement community. Non-law enforcement proposers are urged to seek collaboration with state/local law enforcement institutions. State and local governments, universities, and non-profit organizations are included among entities for funding under this announcement. Funding for non-U.S.