

NASD is providing investors with a resource to aid them in choosing a broker-dealer for their investment needs. Moreover, increasing disclosure of members' and their associated persons' relevant disciplinary history could help investors determine whether to conduct or continue to conduct business with a particular broker-dealer or associated person. The Commission notes that disclosure of this additional information may serve as a deterrent to fraudulent activity as well.

According to the NASD, the Forms U-4 and U-5 had to be redesigned to facilitate compliance with this disclosure requirement at this time. Thus, the forms were redesigned to be compatible with the current CRD protocol (*i.e.*, the answers on the interim forms now match the location of questions in the CRD system). Upon completion of the CRD redesign, the forms as originally designed will be implemented. The Commission, therefore, approves the use of these interim forms, recognizing their necessity in disseminating this additional disciplinary history to the public.

For the above reasons, the Commission believes that the proposed rule change is consistent with the provisions of the Act, and in particular, with Section 15A(b)(6).

It is therefore ordered, pursuant to Section 19(b)(2) of the Act,¹³ that the remaining portion of proposed rule change, SR-NASD-97-78, concerning the release of additional disciplinary information be, and hereby is, approved.

For the Commission, by the Division of Market Regulation, pursuant to delegated authority.¹⁴

Margaret H. McFarland,
Deputy Secretary.

[FR Doc. 98-1853 Filed 1-26-98; 8:45 am]

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DEPARTMENT OF TRANSPORTATION

Federal Aviation Administration

RTCA Technical Management Committee

Pursuant to section 10(a)(2) of the Federal Advisory Committee Act Pub. L. 92-463, 5 U.S.C., Appendix 2), notice is hereby given for the RTCA Technical Management Committee meeting to be held February 19, 1998, starting at 9:00 a.m. The meeting will be held at RTCA, 1140 Connecticut Avenue, NW., Suite 1020, Washington, DC 20036.

The agenda will include: (1) Chairman's Remarks; (2) Review and Approval of Summary of the Previous Meeting; (3) Consider and Approve: a. Proposed Final Draft, Minimum Aviation System Performance Standards for Automatic Dependent Surveillance Broadcast (ADS-B), RTCA Paper No. 007-98/TMC-308, Prepared by Special Committee (SC)-186; b. Proposed Final Draft, Guidance for Initial Implementation of Cockpit Display of Traffic Information, RTCA Paper No. 384-97/TMC-305, Prepared by SC-186; c. Proposed Change 2 to DO-229, Minimum Operational Performance Standards for Global Positioning System/Wide Area Augmentation System Airborne Equipment, RTCA Paper No. 381-97/SC159-773, Prepared by SC-159; (4) Discuss/Take Position on: a. Proposed Revision to the Terms of Reference for SC-190, RTCA Paper No. 279-97/SC190-021; b. Discussion on the Work Plan for SC-191, Collaborative Decisionmaking; c. Committee Chairman's Progress Report for SC-182, Avionics Computer Resource; d. Committee Milestones, RTCA Paper No. 006-98/TMC-307; e. Status of SC-169, Data Link; f. Proposal for Terrain Data Base Special Committee; g. Proposal for SC-181, Navigation Standards, to Develop a MOPS for Navigation Data Information on a Moving Map; h. Proposed Revision to the Terms of Reference for SC-147; (5) Other Business; (6) Date and Place of Next Meeting.

Attendance is open to the interested public but limited to space availability. With the approval of the chairman, members of the public may present oral statements at the meeting. Persons wishing to present statements or obtain information should contact the RTCA Secretariat, 1140 Connecticut Avenue, NW., Suite 1020, Washington, DC 20036; (202) 833-9339 (phone); (202) 833-9434 (fax); or <http://www/rtdca.org> (web site). Members of the public may present a written statement to the committee at any time.

Issued in Washington, DC, on January 16, 1998.

Janice L. Peters,

Designated Official.

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DEPARTMENT OF TRANSPORTATION

Surface Transportation Board

[STB Finance Docket No. 33539]

The Burlington Northern and Santa Fe Railway Company—Trackage Rights Exemption—Southern Pacific Transportation Company

Southern Pacific Transportation Company (SPT) has agreed to grant overhead trackage rights to The Burlington Northern and Santa Fe Railway Company (BNSF) over SPT's line between Caldwell, TX, in the vicinity of SPT's Ennis Subdivision milepost 30.8, and Placedo, TX, in the vicinity of SPT's Victoria Subdivision milepost 14.2, a distance of approximately 152.7 miles.¹

The transaction was scheduled to be consummated on January 19, 1998. The purpose of the trackage rights is improve the operating efficiencies of SPT and BNSF.

As a condition to this exemption, any employees affected by the trackage rights will be protected by the conditions imposed in *Norfolk and Western Ry. Co.—Trackage Rights—BN*, 354 I.C.C. 605 (1978), as modified in *Mendocino Coast Ry., Inc.—Lease and Operate*, 360 I.C.C. 653 (1980).

This notice is filed under 49 CFR 1180.2(d)(7). If it contains false or misleading information, the exemption is void *ab initio*. Petitions to revoke the exemption under 49 U.S.C. 10502(d) may be filed at any time. The filing of a petition to revoke will not automatically stay the transaction.

An original and 10 copies of all pleadings, referring to STB Finance Docket No. 33539, must be filed with the Surface Transportation Board, Office of the Secretary, Case Control Unit, 1925 K Street, N.W., Washington, DC 20423-0001. In addition, a copy of each pleading must be served on Michael E. Roper, Esq., The Burlington Northern and Santa Fe Railway Company, 3017 Lou Menk Drive, P.O. Box 961039, Fort Worth, TX 76161-0039.

Decided: January 20, 1998.

By the Board, David M. Konschnik,
Director, Office of Proceedings.

Vernon A. Williams,

Secretary.

[FR Doc. 98-1910 Filed 1-26-98; 8:45 am]

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¹ The trackage rights are limited to southbound movements and are provided solely to facilitate directional operations between Houston, TX, and Placedo. In addition, the trackage rights will continue only so long as SPT continues to operate directionally between Houston and Placedo.

¹³ 15 U.S.C. 78s(b)(2).

¹⁴ 17 CFR 200.30-3(a)(12).