

\* For the purposes of this section, the market in a security is opened (or reopened) with either a trade or quotation, if trades are being reported to the Consolidated Tape and quotes are being disseminated on the Consolidated Quotation System.

To cause Section (c)(ii) of Exhibit A to read in full as follows:

(ii) *Responses When the Exchange is Open*—Notwithstanding paragraph (c)(i), an Exchange specialist who has received a pre-opening notification in any Eligible Listed Security in which he is registered as a specialist should not send a pre-opening response to the originator of such notification if (A) the market for trading in the security is open on the Exchange or (B) the Participant market from which the notification emanated had declared a halt or suspension in trading in such security, and the Exchange either had not halted trading in the security contemporaneously with the Participant Market or had resumed trading during the halt or suspension in trading. [\*]

\* **Note:** The NASD shall implement a comparable provision in its rules to conform the restrictions on responses by ITS/CAES Market Makers to the provisions of paragraph (b)(ii)(B) above.

To cause Section (c)(v) of Exhibit A to read in full as follows:

(v) *Use of System before Opening or Reopening*—No Exchange member, whether acting as principal or agent, shall send an obligation to trade, commitment to trade or order in any security from the Exchange through the System to any other Participant market prior to the opening of trading in the security in the Participant market (or prior to the resumption of trading in the security in the Participant market following the initiation of a halt or suspension in trading in the security) until a pre-opening notification in the security has been issued from the other Participant market or, if no pre-opening notification is required, until the market in the security has opened in such other Participant market.

To cause Section (c)(vii) of Exhibit A to read in full as follows:

(vii) *Request for Participation Reports*—The ITS Plan anticipates that an Exchange member who has sent one or more obligations to trade in response to a pre-opening notification will request a report through the System as to his participation if he does not receive a report as required promptly following the opening. If, on or following trade date, he does request a report through the System as to his participation before [4:00 p.m. eastern time \*], and he does not receive a response by [9:30 a.m. eastern time \*\*] on the next trading day, he need not

accept a later report. If he fails to so request a report, he must accept a report until [4:00 p.m. eastern time \*] on the third trading day following the trade (i.e., on T+3). The Exchange does not intend this paragraph (c)(vii) to relieve him of the obligation, when he does not receive a report as soon as he reasonably should expect to have received it.

## II. Solicitation of Comments

Interested persons are invited to submit written data, views, and arguments concerning the foregoing. Persons making written submissions should file six copies thereof with the Secretary, Securities and Exchange Commission, 450 Fifth Street, NW., Washington, D.C. 20549. Copies of the submission, all subsequent amendments, all written statements with respect to the proposed rule change that are filed with the Commission, and all written communications relating to the proposed rule change between the Commission and any person, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. 552, will be available for inspection and copying at the Commission's Public Reference Room. Copies of such filing will also be available for inspection and copying at the principal office of the ITS. All submissions should refer to File No. 4-208 and should be submitted by May 14, 1997.

For the Commission, by the Division of Market Regulation, pursuant to delegated authority.

**Margaret H. McFarland,**  
Deputy Secretary.

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## SOCIAL SECURITY ADMINISTRATION

### Agency Information Collection Activities: Proposed Collection Requests

This notice lists information collection packages that will require submission to the Office of Management and Budget (OMB), in compliance with PL. 104-13 effective October 1, 1995, The Paperwork Reduction Act of 1995.

Questionnaire for Children Claiming SSI Benefits—0960-0499. The information collected on form SSA-3881 is used by the Social Security Administration to evaluate disability in children who apply for supplement security income payments. The respondents are individuals who apply for supplement security income benefits for a disabled child.

*Number of Respondents:* 151,667.  
*Frequency of Response:* 1.  
*Average Burden Per Response:* 20 minutes.

*Estimated Annual Burden:* 151,667 hours.

Written comments and recommendations regarding the information collection(s) should be sent within 60 days from the date of this publication, directly to the SSA Reports Clearance Officer at the following address: Social Security Administration, DCFAM, Attn: Judith T. Hasche, 6401 Security Blvd., 1-A-21 Operations Bldg., Baltimore, MD 21235.

In addition to your comments on the accuracy of the agency's burden estimate, we are soliciting comments on the need for the information; its practical utility; ways to enhance its quality, utility and clarity; and on ways to minimize burden on respondents, including the use of automated collection techniques or other forms of information technology.

To receive a copy of any of the forms or clearance packages, call the SSA Reports Clearance Officer on (410) 965-4123 or write to her at the address listed above.

Dated: April 6, 1997.

**Judith T. Hasche,**

Reports Clearance Officer, Social Security Administration.

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## SOCIAL SECURITY ADMINISTRATION

### Privacy Act of 1974, As Amended; Computer Matching Program (SSA) Railroad Retirement Board (RRB)—SSA Match Number 1006)

**AGENCY:** Social Security Administration.  
**ACTION:** Notice of Computer Matching Program.

**SUMMARY:** In accordance with the provisions of the Privacy Act, this notice announces a computer matching program that SSA plans to conduct with RRB.

**DATES:** SSA will file a report of the subject matching program with the Committee on Governmental Affairs of the Senate, the Committee on Government Reform and Oversight of the House of Representatives and the Office of Information and Regulatory Affairs, Office of Management and Budget (OMB). The matching program will be effective as indicated below.

**ADDRESSES:** Interested parties may comment on this notice by either telefax to (410) 966-2935, or writing to the Associate Commissioner for Program