

of each year certain system-wide factors used in calculating experience-based employer contribution rates for the following year. The Railroad Retirement Board is further required by section 8(c)(2) of the Act to publish the amounts so determined and proclaimed. Pursuant to section 8(c)(2), the Railroad Retirement Board gives notice of the following system-wide factors used in the computation of individual employer contribution rates for 1997:

(1) The balance to the credit of the Railroad Unemployment Insurance (RUI) Account, as of June 30, 1996, is \$136,017,033.90;

(2) The balance of any new loans to the Account, including accrued interest, is zero;

(3) The system compensation base is \$2,724,133,182.21;

(4) The system unallocated charge balance is -\$185,148,121.98;

(5) The pooled credit ratio is zero;

(6) The pooled charge ratio is zero;

(7) The surcharge rate is zero.

**DATES:** The balance in notice (1) and the determinations made in notices (3) through (7) are based on data as of June 30, 1996. The balance in notice (2) is based on data as of September 30, 1996. The determinations made in notices (5) through (7) apply to the calculation, under section 8(a)(1)(C) of the Act, of employer contribution rates for 1997.

**ADDRESSES:** Secretary to the Board, Railroad Retirement Board, 844 Rush Street, Chicago, Illinois 60611.

**FOR FURTHER INFORMATION CONTACT:** Gerald E. Helmling, Chief of Experience Rating, Office of Programs—Policy and Systems, Railroad Retirement Board, 844 North Rush Street, Chicago, Illinois 60611-2092, telephone (312) 751-4567.

Dated: November 26, 1996.

By authority of the Board.

Beatrice Ezerski,

*Secretary to the Board.*

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## SECURITIES AND EXCHANGE COMMISSION

### Proposed Collection; Comment Request

Upon Written Request, Copies Available From: Securities and Exchange Commission, Office of Filings and Information Services, Washington, DC 20549.

Extensions:

Form T-1, SEC File No. 270-121, OMB

Control No. 3235-0110

Form T-2, SEC File No. 270-122, OMB

Control No. 3235-0111

Form T-3, SEC File No. 270-123, OMB

Control No. 3235-0105

Form T-4, SEC File No. 270-124, OMB

Control No. 3235-0107

Form T-6, SEC File No. 270-344, OMB

Control No. 3235-0391

Form 11-K, SEC File No. 270-101, OMB

Control No. 3235-0082

Rule 14f-1, SEC File No. 270-127, OMB

Control No. 3235-0108

Rule 12d1-3, SEC File No. 270-116, OMB

Control No. 3235-0109

Form SR, SEC File No. 270-120, OMB

Control No. 3235-0124

Rules 7a-15 through 7a-37, SEC File No. 270-115, OMB Control No. 3235-0132

Notice is hereby given that pursuant to the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 et seq.), the Securities and Exchange Commission ("Commission") is publishing the following summaries of collections for public comment.

Form T-1 is a statement of eligibility under the Trust Indenture Act of 1939 ("TIA") of a corporation designated to act as a trustee. It is filed by an estimated 500 respondents for a total estimated annual burden of 7,500 hours.

Form T-2 is a statement of eligibility under the TIA of an individual designated to act as a trustee. It is filed by an estimated 36 respondents for a total estimated annual burden of 324 hours.

Form T-3 is used for applications for the qualification of trust indentures. It is filed by an estimated 55 respondents for a total estimated annual burden of 2,365 hours.

Form T-4 is used to apply for exemption pursuant to Section 304(c) of the TIA. It is filed by an estimated 3 respondents for a total estimated annual burden of 15 hours.

Form T-6 is used to apply under Section 310(a)(1) of the TIA for determination of eligibility of a foreign person to act as institutional trustee. It is filed by an estimated 15 respondents for a total estimated annual burden of 255 hours.

TIA Rules 7a-15 through 7a-37 set forth general requirements as to the form and content of applications, statements and reports required to be made under the TIA. The burden hours resulting from these requirements are reflected in the TIA forms and Rules 7a-15 through 7a-37 therefore are collectively assigned only one burden hour for administrative convenience.

Form SR is used to report sales of securities and use of proceeds

therefrom. The Commission has proposed that this form be eliminated. Form SR is filed by an estimated 2,566 respondents for a total estimated annual burden of 14,113 hours.

Form 11-K is an annual report of certain types of employee benefit plans. It is filed by an estimated 774 respondents for a total estimated annual burden of 23,220 hours.

Rule 14f-1 requires issuers to file information in connection with a change in the majority of their directors. Rule 14f-1 submissions are filed by an estimated 44 respondents for a total estimated annual burden of 792 hours.

Rule 12d1-3 sets forth requirements concerning certification that a security has been approved by an exchange for listing and registration pursuant to Section 12(d) of the Securities Exchange Act of 1934. Rule 12d1-3 submissions are filed by an estimated 688 respondents for a total estimated annual burden of 344 hours.

The information provided by the above forms and submissions is needed to ensure compliance with the requirements of the TIA, Securities Act of 1933 and Securities Exchange Act of 1934. Trustees and corporate issuers are the likely respondents.

Written comments are invited on: (a) whether the proposed collection of information is necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden of the proposed collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology. Consideration will be given to comments and suggestions submitted in writing within 60 days of this publication.

Direct your written comments to Michael E. Bartell, Associate Executive Director, Office of Information Technology, Securities and Exchange Commission, 450 5th Street, N.W., Washington, DC 20549.

Dated: November 22, 1996.

Margaret H. McFarland,

*Deputy Secretary.*

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