

of Change in Director or Senior Executive Officer, 3064-0097; Interagency Biographical and Financial Report, 3064-0006.

Form Number:

OCC: None.

OTS: Interagency Notice of Change in Control, Form 1622; Interagency Notice of Change in Director or Senior Executive Officer, Form 1624; Interagency Biographical and Financial Report, Form 1623; Applicant Certification, Form 1606.

Board: Interagency Notice of Change in Control, Form FR 2081a; Interagency Notice of Change in Director or Senior Executive Officer, Form FR 2081b; Interagency Biographical and Financial Report, Form FR 2081c.

FDIC: Interagency Notice of Change in Control, Form 6822/01; Interagency Notice of Change in Director or Senior Executive Officer, Form 6810/01; Interagency Biographical and Financial Report, Form 6200/06.

Abstract: The collections of information are necessary in order to eliminate duplicative filings and to satisfy Federal law and regulatory authority for each agency. The Agencies use the biographical portion of the collections to evaluate the competence, experience, character, and integrity of the persons proposed as organizers, senior executive officers, directors, or principal shareholders. The financial portion is used to evaluate the financial ability of persons proposed as organizers, senior executive officers, directors, or principal shareholders. These reports are also used to allow or disapprove proposed acquisitions.

Current Actions: A task force of the Federal Financial Institutions Examination Council (FFIEC) has adapted, reformatted, and retitled the three reports, pursuant to the Riegle Community Development and Regulatory Improvement Act of 1994 (CDRI). The reports are retitled: Interagency Notice of Change in Control, Interagency Notice of Change in Director or Senior Executive Officer, and Interagency Biographical and Financial Report. Comments were solicited in the Federal Register on August 13, 1996 (61 FR 42085). The agencies received no comments on any of the forms.

Type of Review: Revision of previously approved collection.

Frequency of Response: On occasion.

Affected Public: Businesses or other for-profit; individuals or households.

OCC:

Estimated Number of Respondents: Interagency Notice of Change in Control—20; Interagency Notice of Change in Director or Senior Executive Officer—150; Interagency Biographical

and Financial Report—520. (For the Comptroller's Corporate Manual—2,800.)

Estimated Total Annual Responses:

Interagency Notice of Change in Control—20; Interagency Notice of Change in Director or Senior Executive Officer—150; Interagency Biographical and Financial Report—520. (For the Comptroller's Corporate Manual—9,700.)

Estimated Total Annual Burden

Hours: Interagency Notice of Change in Control—600 hours; Interagency Notice of Change in Director or Senior Executive Officer—300 hours; Interagency Biographical and Financial Report—2,080 hours; Estimated Total—2,980 burden hours. (For the Comptroller's Corporate Manual—23,103 hours.)

OTS:

Estimated Number of Respondents:

Change in Control—56; Notice of Hiring or Indemnifying Senior Executive, Officer, or Director—2,942.

Estimated Total Annual Responses:

Change in Control—56; Notice of Hiring or Indemnifying Senior Executive, Officer, or Director—2,942.

Estimated Total Annual Burden

Hours: Change in Control—1,890 hours; Notice of Hiring or Indemnifying Senior Executive, Officer, or Director—19,133 burden hours.

Board:

Estimated Number of Respondents:

Interagency Notice of Change in Control—300; Interagency Notice of Change in Director or Senior Executive Officer—280; Interagency Biographical and Financial Report—1,000.

Estimated Total Annual Responses:

Interagency Notice of Change in Control—300; Interagency Notice of Change in Director or Senior Executive Officer—280; Interagency Biographical and Financial Report—1,000.

Estimated Total Annual Burden

Hours: Interagency Notice of Change in Control—9,000 hours; Interagency Notice of Change in Director or Senior Executive Officer—560 hours; Interagency Biographical and Financial Report—4,000 hours; Estimated Total—13,560 burden hours.

FDIC:

Estimated Number of Respondents:

Interagency Notice of Change in Control—50; Interagency Notice of Change in Director or Senior Executive Officer—300; Interagency Biographical and Financial Report—2,200.

Estimated Total Annual Responses:

Interagency Notice of Change in Control—50; Interagency Notice of Change in Director or Senior Executive Officer—300; Interagency Biographical and Financial Report—2,200.

Estimated Total Annual Burden

Hours: Interagency Notice of Change in Control—1,500 hours; Interagency Notice of Change in Director or Senior Executive Officer—600 hours; Interagency Biographical and Financial Report—8,800 hours; Estimated Total—10,900 burden hours.

Dated: November 20, 1996.

Karen Solomon,

Director, Legislative and Regulatory Activities Division, Office of the Comptroller of the Currency.

Dated: November 22, 1996.

By the Office of Thrift Supervision.

Catherine C.M. Teti,

Director, Records Management and Information Policy, Office of Thrift Supervision.

Board of Governors of the Federal Reserve System, November 13, 1996.

William W. Wiles,

Secretary of the Board.

Dated at Washington, D.C., this 14th day of November, 1996.

By the Federal Deposit Insurance Corporation.

Steven F. Hanft,

Assistant Executive Secretary (Regulatory Analysis).

[FR Doc. 96-30396 Filed 11-27-96; 8:45 am]

BILLING CODE 4810-33-P; 6720-01-P; 6210-01-P; 6714-01-P

Customs Service

Announcement of National Customs Automation Program Test Regarding Remote Location Filing

AGENCY: U.S. Customs Service, Department of the Treasury.

ACTION: General notice.

SUMMARY: This notice announces Customs plan to conduct a second prototype test of remote location filing. This notice invites public comments concerning any aspect of the planned test, informs interested members of the public of the eligibility requirements for voluntary participation, describes the basis for selecting participants, and establishes the process for developing evaluation criteria. To participate in the prototype test, the necessary information, as outlined in this notice, must be filed with Customs and approval granted. It is important to note that resources expended by the trade and Customs on these prototypes may not carry forward to the final program.

EFFECTIVE DATE: The test of the second prototype will commence no earlier than January 1, 1997, and will run for approximately one year, and may be extended. Comments concerning any

aspect of the remote filing prototype test must be received on or before December 30, 1996.

ADDRESSES: Written comments regarding this notice, and information submitted to be considered for voluntary participation in the prototype should be addressed to the Remote Filing Team, U.S. Customs Service, 1301 Constitution Avenue, NW., Room 1322, Washington, D.C. 20229-0001.

FOR FURTHER INFORMATION CONTACT:

For systems or automation issues:

Joseph Palmer (202) 927-0173, or Patricia Welter (202) 927-0775

For operational or policy issues: Troy

Riley (202) 927-0256, or Bonnie Brigman (202) 927-0294

SUPPLEMENTARY INFORMATION:

Background

Title VI of the North American Free Trade Agreement Implementation Act (the Act), Public Law 103-182, 107 Stat. 2057 (December 8, 1993), contains provisions pertaining to Customs Modernization (107 Stat. 2170). Subtitle B of title VI establishes the National Customs Automation Program (NCAP), an automated and electronic system for the processing of commercial importations. Section 631 in Subtitle B of the Act creates sections 411 through 414 of the Tariff Act of 1930 (19 U.S.C. 1411-1414). These define and list the existing and planned components of the NCAP (section 411), promulgate program goals (section 412), provide for the implementation and evaluation of the program (section 413), and provide for remote location filing (section 414). Remote Location Filing (RLF) will allow a participant to file electronically a formal or informal consumption entry with Customs from a location within the United States other than the port of arrival (POA) or the designated exam site (DES). Section 101.9(b) of the Customs Regulations (19 CFR 101.9(b)), implements the testing of NCAP components. See, T.D. 95-21 (60 FR 14211, March 16, 1995).

Since June 1994, the Customs Remote Team has shared the Customs RLF concept through many public meetings and concept papers, and posted information on the Customs Electronic Bulletin Board and the Customs Administrative Message System. Pursuant to § 101.9, Customs Regulations, Customs has been testing the RLF concept. On April 6, 1995, Customs announced in the Federal Register (60 FR 17605) its plan to conduct the first of at least two prototype tests regarding RLF. The first test (Prototype One) began on June 19, 1995. On February 27, 1996, Customs

announced in the Federal Register (61 FR 7300) that it was permitting an extension and expansion of the RLF Prototype One until the implementation of Remote Prototype Two. In today's document, Customs is announcing its plan to conclude the first prototype test on December 31, 1996, and conduct a second prototype test of RLF commencing no earlier than January 1, 1997. The first remote location prototype test was offered in the Automated Commercial System (ACS). Although the second remote prototype test was originally scheduled to be tested in the Automated Commercial Environment (ACE), the success of Prototype One precipitated this second test under the Automated Commercial System (ACS) with a larger participant pool.

The first RLF prototype (Prototype One) will conclude December 31, 1996. Prototype One was conducted with a very limited number of participants at limited locations. It was conducted with minimal system changes thereby requiring Customs to intervene manually in tracking and processing. All procedures and processes were closely coordinated with all selected and affected parties. The intent of Prototype One was to test such operational issues as communication, cargo movement and release, and service to and from remote locations. Prototype One tested features such as filing from a remote location, alternate exam location, and entry summary workload distribution.

Additional prototypes of RLF are being developed by Customs to determine the systemic and operational design of the final RLF program which will allow all filers to participate in this type of entry process at a national level. Prototype participants must recognize that these prototypes test the benefits and potential problems of RLF for Customs, the trade community, and other parties impacted by this program.

Description of RLF Program

The RLF program will be determined by the experiences of the planned remote prototypes and with other Customs initiatives such as the Reorganization, Automated Commercial Environment (ACE), and Trade Compliance Redesign. The Customs RLF team's objectives are:

- (1) To work with the trade community, other agencies, and other parties impacted by this program in the design, conduct and evaluation of a second prototype test of RLF;
- (2) To obtain experience through prototype tests of RLF for use in the

design of operational procedures, automated systems, and regulations and (3) To implement RLF on a national level in conjunction with the Trade Compliance Redesign, and the Automated Commercial Environment.

Description of Proposed Test

The second remote prototype test (Prototype Two) is scheduled to commence no sooner than January 1, 1997, and will run until December 31, 1997, unless Customs exercises its option to extend the test an additional year. Prototype Two will evaluate the operational impact and procedures for a larger participant base, testing filing from a remote location, and alternate location examinations. Prototype Two will not offer the entry summary workload distribution part of RLF as tested in Prototype One.

Regulatory Provisions Suspended

Certain provisions in Part 111 and Part 141 of the Customs Regulations will be suspended during this prototype test to allow remote filing by brokers in ports (broker districts per 60 FR 187, pages 49971-49974), where they currently do not hold permits, and to allow for the movement of cargo from its port of arrival to a designated examination site.

Eligibility Criteria

Note that participation in RLF Prototype Two is not confidential, and that lists of participants will be made available to the public.

To qualify, a participant must have proven capability to provide electronically, on an entry-by-entry basis, the following: entry; entry summary; invoice information using the Electronic Invoice Program (EIP); and payment of duties, fees, and taxes through the Automated Clearing House (ACH).

The following additional requirements and conditions apply:

- 1. The requested Customs locations must have operational experience with the Customs Electronic Invoice Program (EIP), and have received RLF training.

RLF Trained Locations

The following are locations currently operational under the RLF Prototype One test: (POA indicates port of arrival, and DES indicates designated examination site).

| DDPP location | RLF status |
|-----------------------------|------------|
| 0712 Champlain-Rouses Point | POA, DES |
| 0901 Buffalo | POA, DES |
| 0903 Rochester | DES |
| 090# Utica/Syracuse | POA, DES |
| 1001 NY Seaport | POA, DES |

| DDPP location | RLF status |
|------------------------------|------------|
| 1303 Baltimore | POA, DES |
| 230# Laredo/Eagle Pass | POA, DES |
| 2704 Los Angeles | POA, DES |
| 3801 Detroit | POA, DES |
| 3802 Port Huron | POA, DES |
| 4601 Newark | POA, DES |
| 4701 JFK | POA, DES |
| 5501 Dallas | DES |

After the prototype begins, additional ports that are operational with EIP release and summary processing will be trained in RLF processing if there is significant interest.

EIP Locations

The following locations are currently operational with EIP, and would require appropriate RLF training to become eligible for participation as a POA, DES, or both.

S/P indicates an entry summary processing location which could be eligible as a POA. R/P indicates a release processing location, which could be eligible as a DES.

| DDPP location | EIP status |
|-------------------------------|------------|
| 0101 Portland ME | S/P |
| 0106 Houlton ME | R/P |
| 0115 Calais ME | R/P |
| 0401 Boston | R/P, S/P |
| 0901 Buffalo | R/P, S/P |
| 0903 Rochester | R/P |
| 1001 New York Seaport | R/P, S/P |
| 1101 Philadelphia | R/P, S/P |
| 1102 Chester PA | R/P |
| 1103 Wilmington DE | R/P |
| 1108 Philadelphia Arprt | R/P |
| 1303 Baltimore Seaport | R/P, S/P |
| 1401 Norfolk | R/P, S/P |
| 1601 Charleston | R/P |
| 1703 Savannah | R/P |
| 1803 Jacksonville | R/P |
| 2002 New Orleans | R/P |
| 2304 Laredo | R/P, S/P |
| 2704 Los Angeles | R/P, S/P |
| 2720 Los Angeles Arprt | R/P, S/P |
| 2809 San Francisco | R/P |
| 3001 Seattle | R/P |
| 3701 Milwaukee | R/P |
| 3801 Detroit | R/P, S/P |
| 3901 Chicago | R/P |
| 4101 Cleveland | S/P |
| 4102 Cincinnati | R/P |
| 4115 Louisville | R/P |
| 4601 Newark | R/P, S/P |
| 4701 JFK | R/P, S/P |
| 5201 Miami | R/P, S/P |
| 5203 Port Everglades | R/P |
| 5206 Miami Airport | R/P |
| 5301 Houston | R/P |

2. Participants must be operational on the Automated Clearing House (ACH) 30 days before applying for Prototype Two.

3. Only entry types 01 (consumption) and 11 (informal) will be accepted.

4. Cargo release must be certified from the entry summary (EI) transaction with

the exception of immediate delivery explained in #5.

5. Participants will be allowed to file Immediate Delivery releases for direct arrival road and rail freight at the land border using paper invoices under Line Release, Border Cargo Selectivity (BCS), or Cargo Selectivity (CS). This must be done in accordance with 19 CFR 142.21(a). Submission of all line items at the time of release will be required of Northern Border filers if the release is effected using BCS or CS. If an examination is required for a line release transaction, the filer must submit all relevant line item information through BCS or CS. Under BCS and CS, the examination will be performed at the port of arrival using paper invoices. If the filer wishes the examination to be performed at an alternate site, full entry summary information (EI transaction) with electronic invoice must be transmitted.

6. Participants will not be allowed to file an RLF involving cargo that has already been moved using in-bond procedures.

7. Participants will be required to use other government agency (OGA) interfaces where available.

8. When necessary, cargo will be examined at the Customs port of arrival, or, at Customs discretion, a filer's requested designated examination site (DES), which must be the Customs port nearest the final destination. The scheduling (approval) of merchandise for examination at a DES that is not at the port of arrival will be considered a conditional release under permit that automatically obligates the importer's bond pursuant to 19 CFR 113.62 for an immediate redelivery to the DES. This Federal Register Notice advises the importer of record for such merchandise that this movement is a redelivery and he/she will not receive an individual notice of redelivery, Customs Form 4647, and that the redelivery clause of the importers bond is automatically triggered whenever Customs examines the merchandise at a DES that is not at the port of arrival.

9. If a notice of redelivery is not complied with, or delivery to unauthorized locations, or delivery to the consignee without Customs permission occurs, the obligors agree to pay liquidated damages in the amount specified pursuant to the bond in 19 CFR 113.62 (f).

Customs will work with all participants to ensure that:

- (1) Customs contacts and problem solving teams are established, and
- (2) Procedures for remote entry and entry summary processing are prepared.

Prototype Two Applications

This notice solicits applications for participation in Remote Location Filing Prototype Two. There are two distinct application procedures, which depend upon the status of the applicant. One process applies to importers and to brokers acting on behalf of their clients. The other process is for brokers applying on their own behalf.

All applications must initially be submitted to the U.S. Customs Service, 1301 Constitution Avenue, N.W. Room 1322, Washington, D.C. 20229-0001. Applications will be accepted up to 30 days before the close of Prototype Two.

Importers/Brokers on Behalf of Clients

These applications must be submitted to the U.S. Customs Headquarters (address cited above) with the following information:

1. Importer name and, if applicable, broker name, address, and filer code;
2. Supplier name, address, and manufacturer's number;
3. Types of commodities to be imported;
4. Other agency requirements;
5. Port(s) of arrival;
6. Designated examination site(s) (location nearest the final destination);
7. Monthly volume anticipated;
8. Electronic Invoicing Program status and starting date;
9. Electronic Payment (ACH) status and starting date;
10. Main contact person and telephone number.

Brokers as Applicants

This application process will be done in two steps. During the first step the broker must submit the following information to the U.S. Customs Headquarters (address cited above):

1. Broker name, address, filer code and IRS#;
2. Experience with EIP;
3. Sites from which the broker will be transmitting the electronic information;
4. Type of protocol: AII, EDIFACT or both;
5. Point of contact.

Once a broker has received written approval from U.S. Customs Headquarters to proceed with the second step of the application process, the broker will submit the following information to the Port Director(s) overseeing each requested POA and DES location for each client (importer):

1. Participating client name and Importer Number;
2. Supplier name, address, and manufacturer's number;
3. Types of commodities to be imported;

4. Other agency requirements;
5. Port(s) of arrival;
6. Designated examination site(s) located nearest the final destination(s);
7. Monthly entry volume anticipated;
8. Electronic Invoicing Program status and starting date;
9. Electronic Payment (ACH) status and starting date;
10. Main contact person and telephone number of filer.

Basis for Participant Selection

The basis for applications approved by Customs Headquarters will be EIP operational experience, electronic abilities, available electronic interfaces with other agency's import requirements, and operational limitations. The basis for applications being approved or denied by the Port Director(s) will involve issues such as impact on available resources, commodity requirements and if the port has been trained in EIP/RLF.

For brokers applying on their own behalf, the Port Director has 10 working days after the receipt of the second step in the application process to approve or deny the application. Written approval or denial of the second step of the broker application process will be sent to the applicant from the Port Director. If the Port Director denies the second step of the broker application, that denial is effective for 10 working days. After that, a new request may be submitted to the Port Director at the Port of Arrival and the Designated Examination Site. If the applicant does not receive a reply from the Port Director within 10 working days from the date of submission, the application should be considered denied. Those applicants not selected for participation by U.S. Customs Headquarters will be sent a letter of denial. They will, however, be invited to comment on the design, conduct, and evaluation of this prototype.

Current Remote Prototype One participants who wish to apply are required to submit a letter requesting the continuation of their participation under Prototype Two. Participants selected will be notified by means of the Customs Electronic Bulletin Board, the Customs Administrative Message System and in writing.

Dismissal from Prototype Two

If a filer attempts to submit data relating to restricted merchandise or merchandise subject to quota, anti-dumping duties, countervailing duties, or other non-eligible data through the Electronic Invoice Program, the filer may be expelled from the program, prevented from participation in future

RLF prototypes, and may be subject to penalties under section 592, Tariff Act of 1930, as amended (19 U.S.C. 1592).

III. Test evaluation criteria

Once participants are selected, Customs and the participants will meet publicly or in an electronic forum to review comments received concerning the methodology of the test program or procedures, complete procedures in light of those comments, and establish baseline measures and evaluation methods and criteria. Evaluations of the prototype will be conducted and the final results will be published in the Federal Register as required by § 101.9(b), Customs Regulations.

The following evaluation methods and criteria have been identified.

1. Baseline measurements will be established through data queries and questionnaires.
2. Reports will be run through use of data query throughout the prototype.
3. Questionnaires will be distributed during and after the prototype period. Participants are required to complete the questionnaires in full and return them within 30 days of receipt.

Customs may evaluate any or all of the following items:

- Workload impact (workload shifts, volume, etc.);
- Policy and procedural accommodation;
- Trade compliance impact;
- Alternate exam site issues (workload shift, coordination/communication, etc.);
- Problem solving;
- System efficiency;
- The collection of statistics.

The trade will be responsible for evaluating the following items:

- Service in cargo clearance;
- Problem resolution;
- Cost benefits;
- System efficiency;
- Operational efficiency;
- Other items identified by the participant group.

In conclusion, it is emphasized that if a company is interested in filing remotely, it must first be operational with the Electronic Invoicing Program (EIP). For information on the Electronic Invoicing Program (EIP), please contact your ABI Client Representative.

Dated: November 25, 1996.

Robert S. Trotter,
Acting Assistant Commissioner Office of Field Operations.

[FR Doc. 96-30501 Filed 11-27-96; 8:45 am]

BILLING CODE 4820-02-P

Internal Revenue Service

Proposed Collection; Comment Request for Form 2290

AGENCY: Internal Revenue Service (IRS).

ACTION: Notice and request for comments.

SUMMARY: The Department of the Treasury, as part of its continuing effort to reduce paperwork and respondent burden, invites the general public and other Federal agencies to take this opportunity to comment on proposed and/or continuing information collections, as required by the Paperwork Reduction Act of 1995, Public Law 104-13 (44 U.S.C. 3506(c)(2)(A)). Currently, the IRS is soliciting comments concerning Form 2290, Heavy Vehicle Use Tax Return.

DATES: Written comments should be received on or before January 28, 1997 to be assured of consideration.

ADDRESSES: Direct all written comments to Garrick R. Shear, Internal Revenue Service, room 5571, 1111 Constitution Avenue NW., Washington, DC 20224.

FOR FURTHER INFORMATION CONTACT: Requests for additional information or copies of the form(s) and instructions should be directed to Martha R. Brinson, (202) 622-3869, Internal Revenue Service, T:FP, room 5571, 1111 Constitution Avenue NW., Washington, DC 20224.

SUPPLEMENTARY INFORMATION:

Title: Heavy Vehicle Use Tax Return.
OMB Number: 1545-0143.
Form Number: Form 2290.

Abstract: Form 2290 is used to compute and report the tax imposed by Internal Revenue Code section 4481 on the highway use of certain motor vehicles. The information is used to determine whether the taxpayer has paid the correct amount of tax.

Current Actions: Form 2290 is revised annually to reflect the taxable period which begins July 1 and ends June 30 of the following year. Question B on page 1 of Form 2290 is deleted because the information is no longer needed by the IRS. The over-the-counter Form 2290-V, Payment Voucher, has a new entry for the tax period, box 4. Instructions are given on page 8 to complete this entry. Also, instructions for box 2 (the name control) have been expanded to assist taxpayers in making the correct entry.

Type of Review: Revision of a currently approved collection.

Affected Public: Business or other for-profit organizations.

Estimated Number of Respondents: 500,625.