

**DEPARTMENT OF HOUSING AND  
URBAN DEVELOPMENT**

**Office of the Assistant Secretary for  
Community Planning and  
Development**

[Docket No. FR-4042-N-01]

**Notice of Funding Availability for  
Continuum of Care Homeless  
Assistance; Supportive Housing  
Program (SHP); Shelter Plus Care  
(S+C); Sec. 8 Moderate Rehabilitation  
Single Room Occupancy Program for  
Homeless Individuals (SRO)**

**AGENCY:** Office of the Assistant  
Secretary for Community Planning and  
Development, HUD.

**ACTION:** Notice of funding availability  
(NOFA).

**SUMMARY:** This Notice announces the  
1996 homeless assistance competition  
designed to help communities develop  
Continuum of Care systems to assist  
homeless persons. These funds are  
available under three programs to create  
community systems for combating  
homelessness. The three programs are:  
(1) Supportive Housing; (2) Shelter Plus  
Care; and (3) Section 8 Moderate  
Rehabilitation for Single Room  
Occupancy Dwellings for Homeless  
Individuals. This notice of funding  
availability (NOFA) contains  
information concerning the Continuum  
of Care approach, eligible applicants,  
eligible activities, application  
requirements, and application  
processing.

**DEADLINE DATES:** All applications are  
due in HUD Headquarters before  
midnight Eastern Time on June 12,  
1996. HUD will treat as ineligible for  
consideration applications that are  
received after that deadline.  
Applications may not be sent by  
facsimile (FAX).

**ADDRESSES:** For a copy of the  
application package and supplemental  
information please call the Community  
Connections information center at 1-  
800-998-9999 (voice) or 1-800-483-  
2209 (TDD), or contact by internet at  
gopher://amcom.aspensys.com:75/11/  
funding. Also, you can purchase, for a  
nominal fee, a video that walks you  
through the application package and  
provides general background that can be  
useful in preparing your application.  
The fee for the video may be waived in  
cases of financial hardship. For copies  
of the relevant portions of your  
community's Consolidated Plan, please  
contact the local or State official  
responsible for that Plan. If you need  
assistance in identifying this person,  
please call your local HUD Field Office.

Before close of business on the  
deadline date completed applications  
will be accepted at the following  
address: Special Needs Assistance  
Programs, Room 7270, Office of  
Community Planning and Development,  
Department of Housing and Urban  
Development, 451 Seventh Street, S.W.,  
Washington, DC 20410, Attention:  
Continuum of Care Funding. On the  
deadline date, hand-carried applications  
will be received at the South lobby of  
the Department of Housing and Urban  
Development at the above address. Two  
copies of the application must also be  
sent to the HUD Field Office serving the  
State in which the applicant's projects  
are located. A list of Field Offices  
appears in an appendix of this NOFA.  
Field Office copies must be received by  
the application deadline as well, but a  
determination that an application was  
received on time will be made solely on  
receipt of the application at HUD  
Headquarters in Washington.

**ELECTRONIC SUBMISSION:** In addition to  
submitting the application narratives  
and forms in the traditional manner,  
you may also include an electronic  
version of your materials on a 3 1/4"  
computer diskette. The inclusion of the  
computer version this year is strictly an  
optional supplement to the standard  
application.

If you use HUD's Consolidated  
Planning software to generate  
supplemental maps, charts, or project  
lists, please include these files on the  
diskette as well.

**FOR FURTHER INFORMATION CONTACT:** The  
Community Connections information  
center at 1-800-998-9999 (voice) or 1-  
800-483-2209 (TDD), or by internet at  
gopher://amcom.aspensys.com:75/11/  
funding.

**SUPPLEMENTARY INFORMATION:**

Paperwork Reduction Act Statement

The information collection  
requirements contained in this notice  
have been approved by the Office of  
Management and Budget (OMB) under  
the Paperwork Reduction Act of 1980,  
and assigned OMB approval numbers  
2506-0131, 2506-0112, and 2506-0118.

I. Substantive Description

(a) Authority

The Supportive Housing Program is  
authorized by title IV, subtitle C, of the  
Stewart B. McKinney Homeless  
Assistance Act (McKinney Act), as  
amended, 42 USC 11381. Funds made  
available under this NOFA for the  
Supportive Housing program are subject  
to the program regulations at 24 CFR  
part 583.

The Shelter Plus Care program is  
authorized by title IV, subtitle F, of the  
McKinney Act, as amended, 42 USC  
11403. Funds made available under this  
NOFA for the Shelter Plus Care program  
are subject to the program regulations at  
24 CFR part 582.

The Section 8 Moderate  
Rehabilitation Program for Single Room  
Occupancy Dwellings for Homeless  
Individuals (SRO) is authorized by  
section 441 of the McKinney Act, as  
amended, 42 USC 11401. Funds made  
available under this NOFA for the SRO  
program are subject to the program  
regulations at 24 CFR Part 882, subpart  
H, as amended by the Interim Rule  
published in the Federal Register on  
February 14, 1996 (61 FR 5850).

(b) Funding Availability

The Congress has not yet enacted a FY  
1996 appropriation for HUD. When  
HUD has received its final Fiscal Year  
1996 figure, the amount available under  
this NOFA will be published in the  
Federal Register. However, HUD is  
publishing this notice now in order to  
give potential applicants adequate time  
to prepare applications.

For planning purposes, applicants  
should be guided by two budget  
estimates. Based on Congressional  
action authorizing interim spending,  
commonly referred to as a Continuing  
Resolution, approximately \$675 million  
would be available for this competition.  
Based on the Administration's Fiscal  
Year 1996 Budget request (published  
February 1995), approximately \$925  
million would be available for this  
competition. The amount that is  
ultimately awarded to applicants  
responding to this NOFA will depend  
upon the amount that is enacted for  
Fiscal Year 1996. Any unobligated  
funds from previous competitions or  
additional funds that may become  
available as a result of deobligations or  
recaptures from previous awards may  
also be used to fund applications  
submitted in response to this NOFA.

Separate amounts for each of the three  
programs will not be specified this year.  
Instead, the distribution of funds among  
the three programs will depend on  
locally determined priorities and overall  
demand. HUD reserves the right,  
however, to fund less than the full  
amount requested in any application to  
ensure the fair distribution of the funds  
available and to ensure the purposes of  
these homeless programs are met.

(c) Purpose

HUD has made addressing  
homelessness its number one priority.  
To that end, the Department founded  
the Continuum of Care approach and

requested and obtained a doubling of the homeless assistance budget from \$572 million in 1993 to \$1.1 billion in 1995. The Department has distributed the increased homeless assistance funds to support locally developed Continuum of Care systems designed to meet the multi-faceted needs of homeless persons in the nation's communities. These systems provide a much needed comprehensive approach to develop and implement housing and service delivery programs and help build partnerships and coordination with states, localities, not-for-profit organizations and the federal government to help homeless individuals and families move to permanent living and self-sufficiency to the extent possible. This is consistent with the Department's other major initiatives to encourage locally designed and coordinated approaches to solving community problems—the Consolidated Plan and Empowerment Zones/Enterprise Communities.

(1) *Continuum of Care.* The purpose of this NOFA is to fund projects and activities that will create locally developed Continuum of Care systems to assist homeless persons. A Continuum of Care system consists of four basic components:

- (i) A system of outreach and assessment for determining the needs and conditions of an individual or family who is homeless;
- (ii) Emergency shelters with appropriate supportive services to help ensure that homeless individuals and families receive adequate emergency shelter and referral to necessary service providers or housing finders;
- (iii) Transitional housing with appropriate supportive services to help those homeless individuals and families who are not prepared to make the transition to permanent housing and independent living; and
- (iv) Permanent housing, or permanent supportive housing, to help meet the long-term needs of homeless individuals and families.

While not all homeless individuals and families in a community will need to access all four, unless all four components are coordinated within a community, none will be successful. A strong homeless prevention strategy is also key to the success of the Continuum of Care.

Developing a Continuum of Care system requires a community process for coordinating all available resources. The community process should include nonprofit organizations (including veteran service organizations, other organizations representing persons with disabilities, and other groups serving homeless persons), State and local

government agencies, other homeless providers, housing developers and service providers, private foundations, neighborhood groups, and homeless or formerly homeless persons. Together, these groups should address the specific needs of each homeless subpopulation: the jobless, veterans, homeless persons with serious mental illnesses, persons suffering from substance abuse, persons with HIV/AIDS, persons with multiple diagnoses, victims of domestic violence, runaway youth, and any others.

This NOFA is only one source of funding for the identified homeless needs. Applicants should also seek other sources of funds to meet the needs of homeless persons, including funds from the private sector (foundations and the business community), state and local agencies, and other federal agencies.

High scores under the Continuum of Care category will be assigned to applications that demonstrate the achievement of two basic goals:

- Have maximum participation by non-profit providers of housing and services; homeless and formerly homeless persons; state and local governments and agencies; the private sector; housing developers; foundations and other community organizations.
- Create, maintain and build upon a community-wide inventory of housing and services for homeless families and individuals; identify the full spectrum of needs of homeless families and individuals; and coordinate efforts to obtain resources, particularly resources sought through this NOFA, to fill gaps between the current inventory and existing needs.

(2) *Prioritizing.* In order to best respond to feedback from the 1995 competition and to ensure that appropriate decision-making is done at the community level, this year's application will instruct that all projects that are proposed for funding under this NOFA be listed in priority order from the highest priority to the lowest. This priority order will mean, for example, that if funds are only available to finance 8 of 10 proposed projects, then funding will be awarded to the first eight projects listed. HUD believes priority decisions are best made through a locally-driven process and are key to the ultimate goal of reducing homelessness in America. And, HUD expects nonprofit organizations to be given a fair role in establishing these priorities.

This priority list will be used in awarding up to 40 points per project under the "Need" scoring criteria. Higher priority projects will receive more points under Need than lower

priority projects. If projects are not prioritized in the application, each project will receive the lowest score for Need.

*(d) Use of NOFA Funds and Matching Funds To Fill Gaps*

Funds available under this NOFA and matching funds may be used in the following ways to fill gaps within the context of developing a Continuum of Care system to help homeless persons achieve self-sufficiency:

(1) *Outreach/Assessment.* The Supportive Housing program may provide funding for outreach to homeless persons and assessment of their needs. The Shelter Plus Care program requires a supportive services match; outreach and assessment activities count toward that match.

(2) *Transitional housing and necessary social services.* The Supportive Housing program may be used to provide transitional housing with services, including both facility-based transitional housing and scattered-site transitional services. The Supportive Housing program may also be used to provide a safe haven, which is a form of supportive housing designed specifically to provide homeless persons with serious mental illness who have been living on the streets with a secure, non-threatening, non-institutional, supportive environment. These 24-hour residences in which overnight occupancy is limited to no more than 25 persons provide private or semi-private accommodations. They do not require participation in services and referrals as a condition of occupancy. Instead, it is expected that after a period of stabilization, residents will be more willing to participate in services and referrals, and will be ready to move to a more traditional form of permanent housing.

(3) *Permanent housing or permanent supportive housing.* The Supportive Housing program may be used to provide permanent supportive housing only for persons with disabilities, including both facility-based and scattered-site permanent supportive housing. The Shelter Plus Care program may be used to provide permanent supportive housing only for persons with disabilities (primarily persons who are seriously mentally ill, have chronic substance abuse problems, or have HIV/AIDS) in a variety of housing rental situations. This program requires a supportive services match; all supportive service activities count toward that match. The SRO program provides permanent housing for homeless individuals with incomes that

do not exceed the low-income standard of the Section 8 housing program. Appropriate supportive services are also an essential part of an SRO project. Providing permanent housing for homeless families is not available under the SRO program or the SRO component of the Shelter Plus Care (S+C) program because an SRO unit is designed for a single individual. Permanent housing for homeless families is only eligible under the other components of the Shelter Plus Care program and under

the Supportive Housing program if an adult member has a disability.

*(e) Homeless Persons With Multiple Diagnoses*

Applicants are strongly urged to focus special efforts on homeless persons with multiple diagnoses, particularly mental illness, HIV/AIDS and addictions. Many providers and communities have found that this population is the most difficult part of the homeless population to address and, as a result, in some communities not all of these persons receive necessary housing and services.

*(f) Program Summaries*

Statutory authority for these programs is quite specific. HUD may not waive or alter statutory requirements. The chart below summarizes key aspects of the Supportive Housing Program, the Shelter Plus Care Program, and the Section 8 Moderate Rehabilitation Program for Single Room Occupancy Dwellings for Homeless Individuals. Program descriptions are contained in the applicable regulations cited in the chart.

Element	Supportive housing	Shelter plus care	Section 8 SRO
Authorizing legislation .....	Subtitle C of Title IV of the Stewart B. McKinney Homeless Assistance Act, as amended.	Subtitle F of Title IV of the Stewart B. McKinney Homeless Assistance Act, as amended.	Section 441 of the Stewart B. McKinney Homeless Assistance Act, as amended.
Implementing regulations .....	24 CFR part 583 .....	24 CFR part 582 .....	24 CFR part 882, subpart H, as amended February 14, 1996.
Eligible applicant(s) .....	<ul style="list-style-type: none"> <li>• States .....</li> <li>• Units of general local government.</li> <li>• Public housing agencies (PHAs).</li> <li>• Tribes</li> <li>• Private nonprofit organizations .</li> <li>• CMHCs that are public nonprofit organizations.</li> </ul>	<ul style="list-style-type: none"> <li>• States .....</li> <li>• Units of general local government.</li> <li>• Tribes</li> <li>• PHAs</li> </ul>	<ul style="list-style-type: none"> <li>• PHAs.</li> <li>• Private nonprofit organizations.</li> </ul>
Eligible components .....	<ul style="list-style-type: none"> <li>• Transitional housing .....</li> <li>• Permanent housing for disabled persons only.</li> <li>• Supportive services not in conjunction with supportive housing.</li> <li>• Safe havens</li> <li>• Innovative supportive housing ..</li> </ul>	<ul style="list-style-type: none"> <li>• Tenant-based .....</li> <li>• Sponsor-based</li> <li>• Project-based</li> <li>• SRO-based</li> </ul>	<ul style="list-style-type: none"> <li>• SRO housing.</li> </ul>
Eligible activities .....	<ul style="list-style-type: none"> <li>• Acquisition .....</li> <li>• Rehabilitation</li> <li>• New construction</li> <li>• Leasing</li> <li>• Operating costs</li> <li>• Supportive services</li> <li>• Homeless persons .....</li> </ul>	<ul style="list-style-type: none"> <li>• Rental assistance .....</li> </ul>	<ul style="list-style-type: none"> <li>• Rental assistance.</li> </ul>
Eligible populations .....	<ul style="list-style-type: none"> <li>• Homeless persons with disabilities.</li> <li>• Homeless families with children</li> </ul>	<ul style="list-style-type: none"> <li>• Homeless disabled individuals .</li> <li>• Homeless disabled individuals and their families.</li> <li>Homeless persons who: .....</li> <li>• are seriously mentally ill</li> <li>• have chronic problems with alcohol and/or drugs.</li> <li>• have AIDS and related diseases.</li> </ul>	<ul style="list-style-type: none"> <li>• Homeless individuals.</li> <li>• Section 8 eligible current occupants.</li> </ul>
Populations given special consideration.	<ul style="list-style-type: none"> <li>• Homeless persons with disabilities.</li> <li>• Homeless families with children</li> </ul>	<ul style="list-style-type: none"> <li>• Homeless persons who: .....</li> <li>• are seriously mentally ill</li> <li>• have chronic problems with alcohol and/or drugs.</li> <li>• have AIDS and related diseases.</li> </ul>	N/A.
Initial term of assistance .....	3 years .....	5 years: TRA, SRA, and PRA if no rehab 10 years: SRO and PRA with rehab.	10 years.

II. Application Requirements

The application requires a description of the Continuum of Care system and proposed project(s). It also contains certifications that the applicant will comply with fair housing and civil rights requirements, program regulations, and other Federal requirements, and (where applicable) that the proposed activities are consistent with the HUD-approved Consolidated Plan of the applicable State or unit of general local

government, including the Analysis of Impediments to Fair Housing and the Action Plan to address these impediments.

Care should be taken in the selection of projects and in the preparation of applications to ensure that environmental and historic preservation impediments do not cause an application to be denied or approval severely delayed. Questions about which environmental and historic

preservation laws may apply should be addressed to the HUD Field Office.

III. Application Selection Process

*(a) Review, Rating and Conditional Selection*

The Department will use the same review, rating, and conditional selection process for all three programs (S+C, SRO, and SHP). To review and rate applications, the Department may establish panels including persons not currently employed by HUD to obtain

certain expertise and outside points of view, including views from other Federal agencies. Two types of reviews will be conducted. Paragraphs (1) and (2) below describe threshold reviews and paragraphs (3) and (4) describe criteria—Continuum of Care and Need—that will be used to assign points. Up to 100 points will be assigned using these criteria.

There are three options for submitting an application under this NOFA. *One:* A “Consolidated Application” is submitted when a jurisdiction (or a consortium of jurisdictions) submits a single application encompassing a Continuum of Care strategy and containing all the projects within that strategy for which funding is being requested. Individual projects, and operators, are contained within the one consolidated application. Grant funding may go to one entity which then administers all funded projects submitted in the application, or under this option, grant funding may go to all or any of the projects individually. Your application will specify the grantee for each project. *Two:* “Associated Applications” are submitted when applicants plan and organize a single Continuum of Care strategy which is adopted by project sponsors or operators who choose to submit separate applications for projects while including the identical Continuum of Care strategy. In this case, project funding would go to each successful applicant individually and each would be responsible to HUD for administering its separate grant. *Three:* A “Solo Application” is submitted when an applicant applies for a project exclusive of any Continuum of Care strategy.

Options one and two will be considered equally competitive. Applicants are advised that projects that are not a part of a Continuum of Care strategy will receive few, if any, points under the Continuum of Care rating criteria.

(1) *Applicant and sponsor eligibility and capacity.* Applicant and project sponsor capacity will be reviewed to ensure the following eligibility and capacity standards are met. If HUD determines these standards are not met, the project will be rejected from the competition.

- The applicant must be eligible to apply for the specific program. For the Sponsor-based component of the Shelter Plus Care program, the project sponsor must be a nonprofit organization;
- The applicant must demonstrate that there is sufficient knowledge and experience to carry out the project(s). With respect to each proposed project, this means that in addition to

knowledge of and experience with homelessness in general, the organization carrying out the project, its employees, or its partners, must have the necessary experience and knowledge to carry out the specific activities proposed, such as housing development, housing management, and service delivery;

- If the applicant or project sponsor is a current or past recipient of assistance under a HUD McKinney Act program or the HUD Single Family Property Disposition Homeless Program, there must be no project or construction delay, HUD finding, or outstanding audit that HUD deems serious regarding the administration of HUD McKinney Act programs or the HUD Single Family Property Disposition Homeless Program; and

- The applicant and project sponsors must be in compliance with applicable civil rights laws and Executive Orders.

(2) *Project eligibility and quality.* Each project will be reviewed to determine if it meets the following eligibility and threshold quality standards. If HUD determines the following standards are not met by a specific project or activity, the project or activity will be rejected from the competition.

- The population to be served must meet the eligibility requirements of the specific program, as described in the program regulations;

- The activity(ies) for which assistance is requested must be eligible under the specific program, as described in the program regulations;

- The housing and services proposed must be appropriate to the needs of the persons to be served. HUD may find a project to be inappropriate if: the type and scale of the housing or services clearly does not fit the needs of the proposed participants (e.g., housing homeless families with children in the same space as homeless individuals, or separating members of the same family, without an acceptable rationale provided); participant safety is not addressed; participants will have little or no involvement in decision-making and project operations; the housing or services are clearly designed to principally meet emergency needs rather than helping participants achieve self-sufficiency; or transportation and community amenities are not available and accessible;

- The project must be cost-effective in HUD’s opinion, including costs associated with construction, operations, and administration.

- Any services proposed for funding must be designed to help participants achieve permanent housing and self-sufficiency.

- For the Section 8 SRO program, at least 25 percent of the units to be assisted at any one site must be vacant at the time of application;

- For those projects proposed under the SHP innovative category: Whether or not a project is considered innovative will be determined on the basis that the particular approach proposed is new to the area, is a sensible model for others, and can be duplicated; and

- HUD will also find one or more of these standards not to have been met if there is insufficient information provided in the application on which to make a determination.

(3) *Continuum of Care.* Up to 60 points will be awarded as follows:

(i) *Process and Strategy.* Up to 30 point will be awarded based on the extent to which the application demonstrates:

- The existence of a quality and inclusive community process, including organizational structure(s), for developing and implementing a Continuum of Care strategy which includes nonprofit organizations (such as veterans service organizations, other organizations representing persons with disabilities, and other groups serving homeless persons), State and local governmental agencies, other homeless providers, housing developers and service providers, private foundations, local businesses and the banking community, neighborhood groups, and homeless or formerly homeless persons; and

- That a quality and comprehensive strategy has been developed which addresses the components of a Continuum of Care system (i.e., outreach, intake, and easement; emergency shelter; transitional housing; permanent and permanent supportive housing) and that strategy has been designed to serve all homeless subpopulations in the community (e.g., seriously mentally ill, persons with multiple diagnoses, veterans), including those persons living in emergency shelters, supportive housing for homeless persons, or in places not designed for, or ordinarily used as, a regular sleeping accommodation for human beings. For S+C, the strategy receives more points based on the extent to which S+C activities will serve homeless persons who are seriously mentally ill, have chronic alcohol and/or substance abuse problems, or have AIDS and related diseases.

(ii) *Gaps and Priorities.* Up to 20 point will be awarded based on the extent to which the application:

- Establishes the relative priority of homeless needs identified in the Continuum of Care strategy; and

- Proposes projects that are consistent with the priority analysis described in the Continuum of Care strategy.

(iii) Supplemental Resources. Up to 10 points will be awarded based on the extent to which the application demonstrates leveraging of funds requested under this NOFA with other resources, including private, other public, and mainstream services and housing programs.

(4) *Need*. Up to 40 points will be awarded for need. There is a three-step approach to determining the need scores to be awarded to projects:

(i) Determining relative need: To determine the homeless assistance need of a particular jurisdiction, HUD will use nationally available data on poverty, housing overcrowding, population, age of housing, and growth lag. Applying those criteria to a particular jurisdiction provides an estimate of the relative need index for that jurisdiction compared to other jurisdictions applying for assistance under this NOFA.

(ii) Applying relative need: That relative need index is then applied to the total amount of funding available under this NOFA to determine a jurisdiction's pro rata need. As HUD is still operating under a Continuing Resolution and, therefore, does not have a set budget for Fiscal Year 1996, there is uncertainty as to the total amount available for funding under this NOFA. As explained earlier in this NOFA, there are two likely scenarios: funding of either \$675 million or \$925 million. For the applicants' ease, HUD has estimated the amounts of the pro rata need for 300 communities across the country based on both scenarios and listed them in Appendix B. The estimated pro rata need of communities not listed is included within the State balances shown in Appendix B.

(iii) Awarding need points to projects: Once the pro rata need is established, it is applied against the priority project list in the application. Starting from the highest priority project, HUD proceeds down the list to include those projects whose total funding equals that jurisdiction's pro rata need. Those priority projects which fall within that pro rata need each receive the full 40 points for need. Thereafter, HUD proceeds further down the priority project list until two times the pro rata need is reached and each of those projects receive 20 points. Remaining projects each receive 10 points.

For example, the City of Birmingham might have a relative need index of .27 percent. That .27 percent relative need index applied to \$625 million and \$925 million renders a pro rata need of \$1.8 million and \$2.5 million, respectively.

HUD will then apply the City's priority project list against the \$1.8 million or \$2.5 million amount, depending upon what amount is finally established in the HUD budget as funding under this NOFA. Assuming for this illustration that Congress adopts the Administration's requested budget of \$925 million, the \$2.5 million amount would be applied. Those projects whose total dollar amount in aggregate falls within \$2.5 million are determined to have the highest pro rata need and are each awarded 40 points. HUD then continues down the project list until two times \$2.5 million is reached (i.e., \$5.0 million) and those projects each receive 20 points. Projects prioritized below \$5.0 million each receive 10 points.

If an application does not prioritize projects, each project will receive 10 points.

In the case of competing applications from a single jurisdiction or service area, projects in the application that receives the highest score out of the possible 60 points for Continuum of Care are eligible for up to 40 points under Need. Projects in the competing applications with less effective Continuum of Care strategies are eligible for only 10 points under Need.

(5) *Ranking*. The score for Continuum of Care will be added to the Need score in order to obtain a total score for each project. The projects will then be ranked from highest to lowest according to the total combined score. A bonus of 2 points will be added in determining the final score of any project that will be located within a federal Empowerment Zone or Enterprise Community if priority placement will be given by the project to homeless persons living on the streets or in shelters within the EZ or EC, or whose last known address was within the EZ or EC.

(6) *Conditional selection*. Whether a project is conditionally selected, as described in section IV below, will depend on its overall ranking compared to others, except that HUD reserves the right to select lower rated projects if necessary to achieve geographic diversity; ensure that the overall amount of assistance received by a jurisdiction is not disproportionate to the jurisdiction's overall need for homeless assistance, as calculated from generally available data; or to achieve diversity of assistance provided in a community as determined through a comparison of projects from a given jurisdiction.

HUD also reserves the right to break ties among projects by determining which project will best achieve the purposes described in the preceding sentence, or to fund a project at less

than the full amount requested if necessary to achieve one or more of those purposes.

In the event of a procedural error that, when corrected, would result in selection of an otherwise eligible project during the funding round under this NOFA, HUD may select that project when sufficient funds become available.

(7) *Additional selection considerations*. HUD will also apply the statutorily required limitations on funding described below in making conditional selections.

In accordance with section 429 of the McKinney Act, as amended, HUD will award Supportive Housing funds as follows: not less than 25 percent for projects that primarily serve homeless families with children; not less than 25 percent for projects that primarily serve homeless persons with disabilities; and not less than 10 percent for supportive services not provided in conjunction with supportive housing. After projects are rated and ranked, based on the criteria described above, HUD will determine if the conditionally selected projects achieve these minimum percentages. If not, HUD will skip higher-ranked projects in a category for which the minimum percent has been achieved in order to achieve the minimum percent for another category. If there are an insufficient number of conditionally selected projects in a category to achieve its minimum percent, the unused balance will be used for the next highest-ranked approvable Supportive Housing project.

In accordance with section 463(a) of the McKinney Act, as amended by the 1992 Act, at least 10 percent of Shelter Plus Care funds will be awarded for each of the four components of the program: Tenant-based Rental Assistance; Sponsor-based Rental Assistance; Project-based Rental Assistance; and Section 8 Moderate Rehabilitation of Single Room Occupancy Dwellings for Homeless Individuals (provided there are sufficient numbers of approvable projects to achieve these percentages). After projects are rated and ranked, based on the criteria described below, HUD will determine if the conditionally selected projects achieve these minimum percentages. If necessary, HUD will skip higher-ranked projects for a component for which the minimum percent has been achieved in order to achieve the minimum percent for another component. If there are an insufficient number of approvable projects in a component to achieve its minimum percent, the unused balance will be used for the next highest-ranked approvable Shelter Plus Care project.

In accordance with section 455(b) of the McKinney Act, no more than 10 percent of the assistance awarded for Shelter Plus Care in any fiscal year may be used for programs located within any one unit of general local government.

In accordance with section 441(c) of the McKinney Act, no city or urban county may have projects receiving a total of more than 10 percent of the assistance made available under this program.

*(b) Clarification of Application Information*

In accordance with the provisions of 24 CFR part 4, subpart B, HUD may contact an applicant to seek clarification of an item in the application, or to request additional or missing information, but the clarification or the request for additional or missing information shall not relate to items that would improve the substantive quality of the application pertinent to the funding decision.

*(c) Technical Assistance*

A video presentation about this competition is available for a nominal fee and can be obtained from Community Connections at 1-800-998-9999. This fee may be waived in the event of financial hardship. You may also reach HUD staff for answers to your questions by calling that toll-free telephone number. Prior to the application deadline, HUD staff will be available to provide general guidance and help identify organizations in your community that are involved in developing the Continuum of Care system. Following conditional selection, HUD staff will be available to assist in clarifying or confirming information that is a prerequisite to the offer of a grant agreement by HUD. However, between the application deadline and the announcement of conditional selections, HUD will accept no information that would improve the substantive quality of the application pertinent to the funding decision.

IV. Fund Award Process

HUD will notify conditionally selected applicants in writing. As necessary, HUD will subsequently request them to submit additional project information, which may include documentation to show the project is feasible; documentation of firm commitments for cash match; documentation showing site control; information necessary for HUD to perform an environmental review, where applicable; and such other documentation as specified by HUD in writing to the applicant, that confirms

or clarifies information provided in the application. SRO and S+C/SRO applicants will be notified of the date of the two month deadline for submission of such information; other S+C applicants and all SHP applicants will be notified of the date of the one month deadline for submission of such information. If an applicant is unable to meet any conditions for fund award within the specified timeframe, HUD reserves the right not to award funds to the applicant, but instead to either: use them to select the next highest ranked application(s) from the original competition for which there are sufficient funds available; or add them to funds available for the next competition for the applicable program.

V. Employment Opportunities for Homeless Persons

A key goal of the Continuum of Care approach is to assist homeless persons achieve independent living whenever possible. Each of the three programs under this NOFA has as a goal increasing the skill level and/or income of program participants. Employment opportunities not only help achieve these goals but are also important in rebuilding self-esteem.

The McKinney Act recognizes the importance of employment opportunities in requiring that, to the maximum extent practicable, recipients involve homeless persons through employment, volunteer services, or otherwise, in constructing, rehabilitating, maintaining, and operating the project and in providing supportive services. Under the Supportive Housing Program, employment assistance activities are eligible, and grant recipients can use these funds for such activities as job training, wages, and educational awards for homeless persons. While Shelter Plus Care Program and SRO Program funds may only be used for rental assistance, employment assistance activities paid from other sources count towards the match requirement of the Shelter Plus Care Program.

VI. Linking Homeless Assistance Programs and AmeriCorps

The Corporation for National Service, established in 1993 to engage Americans of all ages and backgrounds in community-based service, supports a range of national and community service programs. AmeriCorps, one of the national service programs supported by the Corporation, engages thousands of Americans on a full or part-time basis to help communities address their toughest challenges, while earning

support for college, graduate school, or job training.

The partnership may include either (1) the AmeriCorps\*State program, which is supported by the Corporation for National Service funds and operated through independent State Commissions, or (2) the AmeriCorps\*VISTA program, which is both supported and operated by the Corporation for National Service through its State Offices.

Applicants for the Supportive Housing Program are encouraged to link their proposed projects with AmeriCorps. AmeriCorps Members can be an excellent source of committed, caring staff. For information about AmeriCorps SHP partnerships, call the Corporation for National Service at (202) 606-5000, extension 486.

For Supportive Housing, applicants may request funds for paying operating and supportive services costs. These costs may include payment for AmeriCorps Members, such as living allowances, health care costs, and reasonable overhead costs of the AmeriCorps program sponsor, but may not exceed the cost which would be paid by the applicant for the same services when procured from a contractor. An applicant does not fill out a special exhibit for AmeriCorps Members. Instead, the costs for the AmeriCorps Members are included in the operating and supportive services budgets, as appropriate, just as other staff costs are.

If Members are used in operating the Supportive Housing project, the costs are subject to the requirement that operating costs be shared. Examples of how Members may be used in operating a project include maintenance, security, and facility management. Supportive services are not subject to cost-sharing, so if Members are engaged in delivering supportive services, such as substance abuse counseling, case management, or recreational programs, no local share is required.

The Corporation's financial support for the partnership is subject to availability of funds.

VII. Program Limitations

(a) *SRO program.* Applicants need to be aware of the following limitations that apply to the Section 8 SRO program:

- Under section 8(e)(2) of the United States Housing Act of 1937, no single project may contain more than 100 units;
- Under 24 CFR 882.802, applicants that are private nonprofit organizations must subcontract with a Public Housing

Authority to administer the SRO assistance;

- Under section 8(e)(2) of the United States Housing Act of 1937 and 24 CFR 882.802, rehabilitation must involve a minimum expenditure of \$3,000 for a unit, including its prorated share of work to be accomplished on common areas or systems, to upgrade conditions to comply with the Housing Quality Standards.

- Under section 441(e) of the McKinney Act and 24 CFR 882.805(g)(1), HUD publishes the SRO per unit rehabilitation cost limit each year to take into account changes in construction costs. This cost limitation applies to rehabilitation that is compensated for in a Housing Assistance Payments Contract. For purposes of Fiscal Year 1996 funding, the cost limitation is raised from \$16,100 to \$16,500 per unit to take into account increases in construction costs during the past 12-month period.

(b) *Shelter Plus Care/Section 8 SRO Component.* With regard to the Shelter Plus Care/Section 8 SRO component, applicant States, units of general local government and Indian tribes must subcontract with a Public Housing Authority to administer the Shelter Plus Care assistance. Also with regard to this component, no single project may contain more than 100 units.

#### VIII. Other Matters

##### *Prohibition Against Lobbying Activities*

The use of funds awarded under this NOFA is subject to the disclosure requirements and prohibitions of Section 319 of the Department of Interior and Related Agencies Appropriations Act for Fiscal Year 1990 (31 U.S.C. 1352) (the "Byrd Amendment") and the implementing regulations at 24 CFR part 87. These authorities prohibit recipients of Federal contracts, grants, or loans from using appropriated funds for lobbying the Executive or Legislative branches of the Federal government in connection with a specific contract, grant, or loan. The prohibition also covers the awarding of contracts, grants, cooperative agreements, or loans unless the recipient has made an acceptable certification regarding lobbying. Under 24 CFR part 87, applicants, recipients and sub-recipients of assistance exceeding \$100,000 must certify that no Federal funds have been or will be spent on lobbying activities in connection with the assistance.

##### *Environmental Impact*

In accordance with 40 CFR 1508.4 of the regulations of the Council on

Environmental Quality and 24 CFR 50.20(k) and (l) of the HUD regulations, the policies and procedures set forth in this document are determined not to have the potential for having a significant impact on the quality of the human environment, and therefore are exempt from further environmental reviews under the National Environmental Policy Act of 1969. (This same determination was made at the time of development of the interim rule on the Supportive Housing Program, Shelter Plus Care, and Section 8 Moderate Rehabilitation Single Room Occupancy Program for Homeless Individuals, that was published in the Federal Register on May 10, 1994 (59 FR 24252).

##### *Executive Order 12606, The Family*

The General Counsel, as the Designated Official under Executive Order 12606, The Family, has determined that the policies announced in this Notice would have a significant impact on the formation, maintenance, and general well-being of families, but since this impact would be beneficial, no further analysis under the Order is necessary.

##### *Executive Order 12612, Federalism*

The General Counsel has determined, as the Designated Official for HUD under section 6(a) of Executive Order 12612, Federalism, that the policies contained in this Notice will not have federalism implications and, thus, are not subject to review under the Order. The promotion of activities and policies to end homelessness is a recognized goal of general benefit without direct implications on the relationship between the national government and the states or on the distribution of power and responsibilities among various levels of government.

##### *Drug-Free Workplace Certification*

The Drug-Free Workplace Act of 1988 requires grantees of Federal agencies to certify that they will provide drug-free workplaces. Thus, each applicant must certify that it will comply with drug-free workplace requirements in accordance with 24 CFR part 24, subpart F.

##### *Accountability in the Provision of HUD Assistance*

HUD has promulgated a final rule to implement section 102 of the Department of Housing and Urban Development Reform Act of 1989 (HUD Reform Act). The final rule is codified at 24 CFR part 12. Section 102 contains a number of provisions that are designed to ensure greater accountability and integrity in the

provision of certain types of assistance administered by HUD. On January 14, 1992, HUD published at 57 FR 1942 additional information that gave the public (including applicants for, and recipients of, HUD assistance) further information on the implementation of section 102. The documentation, public access, and disclosure requirements of section 102 are applicable to assistance awarded under this NOFA as follows:

##### *Documentation and Public Access Requirements*

HUD will ensure that documentation and other information regarding each application submitted pursuant to this NOFA are sufficient to indicate the basis upon which assistance was provided or denied. This material, including any letters of support, will be made available for public inspection for a five-year period beginning not less than 30 days after the award of the assistance. Material will be made available in accordance with the Freedom of Information Act (5 U.S.C. 552) and HUD's implementing regulations at 24 CFR part 15. In addition, HUD will include the recipients of assistance pursuant to this NOFA in its Federal Register notice of all recipients of HUD assistance awarded on a competitive basis. (See 24 CFR 12.14(a) and 12.16(b), and the notice published in the Federal Register on January 16, 1992 (57 FR 1942), for further information on these documentation and public access requirements.)

##### *Disclosures*

HUD will make available to the public for five years all applicant disclosure reports (HUD Form 2880) submitted in connection with this NOFA. Update reports (also Form 2880) will be made available along with the applicant disclosure reports, but in no case for a period less than three years. All reports—both applicant disclosures and updates—will be made available in accordance with the Freedom of Information Act (5 U.S.C. 552) and HUD's implementing regulations at 24 CFR part 15. (See 24 CFR subpart C, and the notice published in the Federal Register on January 16, 1992 (57 FR 1942), for further information on these disclosure requirements.)

##### *Section 103 HUD Reform Act*

HUD's regulation implementing section 103 of the Department of Housing and Urban Development Reform Act of 1989, codified as 24 CFR part 4, applies to the funding competition announced today. The requirements of the rule continue to apply until the announcement of the

selection of successful applicants. HUD employees involved in the review of applications and in the making of funding decisions are limited by part 4 from providing advance information to any person (other than an authorized employee of HUD) concerning funding decisions, or from otherwise giving any applicant an unfair competitive advantage. Persons who apply for assistance in this competition should confine their inquiries to the subject areas permitted under 24 CFR part 4.

Applicants or employees who have ethics related questions should contact the HUD Office of Ethics (202) 708-3815. (This is not a toll-free number.) For HUD employees who have specific program questions, such as whether particular subject matter can be discussed with persons outside HUD, the employee should contact his or her Field Office Counsel, or Headquarters counsel for the program to which the question pertains.

#### *Submissions*

Applications that are mailed before June 12, 1996, but received within ten (10) days after that date will be deemed to have been received by that date if postmarked by the United States Postal Service by no later than June 8, 1996. Overnight delivery items received after June 12, 1996, will be deemed to have been received by that date upon submission of documentary evidence that they were placed in transit with the overnight delivery service by no later than June 11, 1996.

Authority: 42 U.S.C. 11403 note; 42 U.S.C. 11389; 42 U.S.C. 1437a, 1437c, and 1437f; 42 U.S.C. 3535(d); 24 CFR parts 582, 583, and 882.

Dated: March 12, 1996.

Andrew Cuomo,

*Assistant Secretary for Community Planning and Development.*

#### Appendix A—List of HUD Field Offices

Telephone numbers for Telecommunications Devices for the Deaf (TDD machines) are listed for CPD Directors in HUD Field Offices; all HUD numbers, including those noted \*, may be reached via TDD by dialing the Federal Information Relay Service on 1-800-877-TDDY or (1-800-877-8339).

#### *Alabama*

William H. Dirl, Beacon Ridge Tower, 600 Beacon Pkwy. West, Suite 300, Birmingham, AL 35209-3144; (205) 290-7645; TDD (205) 290-7624.

#### *Alaska*

Colleen Bickford, 949 E. 36th Avenue, Suite 401, Anchorage, AK 99508-4399; (907) 271-3669; TDD (907) 271-4328.

#### *Arizona*

Martin H. Mitchell, 400 N. 5th St., Suite 1600, Arizona Center, Phoenix, AZ 85004; (602) 379-4754; TDD (602) 379-4461.

#### *Arkansas*

Billy M. Parsley, TCBY Tower, 425 West Capitol Ave., Suite 900, Little Rock, AR 72201-3488; (501) 324-6375; TDD (501) 324-5931.

#### *California*

Steve Sachs, 450 Golden Gate Ave., P.O. Box 36003, San Francisco, CA 94102-3448; (415) 436-6544; TDD (415) 556-8357.

#### *Colorado*

Guadalupe M. Herrera, First Interstate Tower North, 633 17th St., Denver, CO 80202-3607; (303) 672-5414; TDD (303) 672-5248.

#### *Connecticut*

Mary Ellen Morgan, 330 Main St., Hartford, CT 06106-1860; (860) 240-4665; TDD (860) 240-4522.

#### *Delaware*

Joyce Gaskins, Wanamaker Bldg., 100 Penn Square East, Philadelphia, PA 19107; (215) 656-0624; TDD (215) 597-5564.

#### *District of Columbia (and MD and VA Suburbs)*

James H. McDaniel, 820 First St., NE, Washington, DC 20002; (202) 275-0994; TDD (202) 275-0772.

#### *Florida*

James N. Nichol, 301 West Bay St., Suite 2200, Jacksonville, FL 32202-5121; (904) 232-3587; TDD (904) 232-1241.

#### *Georgia*

John Perry, Russell Fed. Bldg., Room 688, 75 Spring St., SW, Atlanta, GA 30303-3388; (404) 331-5139; TDD (404) 730-2654.

#### *Hawaii (and Pacific)*

Patty A. Nicholas, 7 Waterfront Plaza, Suite 500, 500 Ala Moana Blvd., Honolulu, HI 96813-4918; (808) 522-8180x264; TDD (808) 522-8193.

#### *Idaho*

John G. Bonham, 400 S.W. Sixth Ave., Suite 700, Portland, OR 97204-1632 (503) 326-7012; TDD \* via 1-800-877-8339.

#### *Illinois*

James Barnes, 77 W. Jackson Blvd., Chicago, IL 60604-3507; (312) 353-1696; TDD (312) 353-7143.

#### *Indiana*

Robert F. Poffenberger, 151 N. Delaware St., Indianapolis, IN 46204-2526; (317) 226-5169; TDD \* via 1-800-877-8339.

#### *Iowa*

Gregory A. Bevirt, Executive Tower Centre, 10909 Mill Valley Road, Omaha, NE 68154-3955; (402) 492-3144; TDD (402) 492-3183.

#### *Kansas*

William Rotert, Gateway Towers 2, 400 State Ave., Kansas City, KS 66101-2406; (913) 551-5484; TDD (913) 551-6972.

#### *Kentucky*

Ben Cook, P.O. Box 1044, 601 W. Broadway, Louisville, KY 40201-1044; (502) 582-6141; TDD (502) 582-5139.

#### *Louisiana*

Gregory J. Hamilton, 501 Magazine St., New Orleans, LA 70130; (504) 589-7212; TDD (504) 589-7237.

#### *Maine*

David Lafond, Norris Cotton Fed. Bldg., 275 Chestnut St., Manchester, NH 03101-2487; (603) 666-7640; TDD (603) 666-7518.

#### *Maryland*

Harold Young, 10 South Howard Street, 5th Floor, Baltimore, MD 21202-0000; (410) 962-2520x3116; TDD (410) 962-0106.

#### *Massachusetts*

Robert Paquin, Acting Director, Thomas P. O'Neill, Jr., Fed. Bldg., 10 Causeway St., Boston, MA 02222-1092; (617) 565-5342; TDD (617) 565-5453.

#### *Michigan*

Richard Paul, Patrick McNamara Bldg., 477 Michigan Ave., Detroit, MI 48226-2592; (313) 226-4343; TDD \* via 1-800-877-8339.

#### *Minnesota*

Shawn Huckleby, 220 2nd St. South, Minneapolis, MN 55401-2195; (612) 370-3019; TDD (612) 370-3186.

#### *Mississippi*

Jeanie E. Smith, Dr. A. H. McCoy Fed. Bldg., 100 W. Capitol St., Room 910, Jackson, MS 39269-1096; (601) 965-4765; TDD (601) 965-4171.

#### *Missouri*

William Rotert, Gateway Towers 2, 400 State Ave., Kansas City, KS 66101-2406; (913) 551-5484; TDD (913) 551-6972.

**Montana**

Guadalupe Herrera, First Interstate Tower North, 633 17th St., Denver, CO 80202-3607; (303) 672-5414; TDD (303) 672-5248.

**Nebraska**

Gregory A. Bevirt, Executive Tower Centre, 10909 Mill Valley Road, Omaha, NE 68154-3955; (402) 492-3144; TDD (402) 492-3183.

**Nevada**

Steve Sachs, 450 Golden Gate Ave., P.O. Box 36003, San Francisco, CA 94102-3448; (415) 436-6544; TDD (415) 556-8357.

**New Hampshire**

David Lafond, Norris Cotton Fed. Bldg., 275 Chestnut St., Manchester, NH 03101-2487; (603) 666-7640; TDD (603) 666-7518.

**New Jersey**

Frank Sagarese, 1 Newark Center, Newark, NJ 07102; (201) 622-7900x3300; TDD (201) 645-3298.

**New Mexico**

Katie Worsham, 1600 Throckmorton, P.O. Box 2905, Fort Worth, TX 76113-2905; (817) 885-5483; TDD (817) 885-5447.

**New York**

Joseph D'Agosta, 26 Federal Plaza, New York, NY 10278-0068; (212) 264-0771; TDD (212) 264-0927.

**North Carolina**

Charles T. Ferebee, Koger Building, 2306 West Meadowview Road, Greensboro, NC 27407; (910) 547-4005; TDD (910) 547-4055.

**North Dakota**

Guadalupe Herrera, First Interstate Tower North, 633 17th St., Denver, CO 80202-3607; (303) 672-5414; TDD (303) 672-5248.

**Ohio**

John E. Riordan, 200 North High St., Columbus, OH 43215-2499; (614) 469-6743; TDD (614) 469-6694.

**Oklahoma**

David Long, 500 West Main Place, Suite 400, Oklahoma City, OK 73102; (405) 553-7571; TDD \* via 1-800-877-8339.

**Oregon**

John G. Bonham, 400 S.W. Sixth Ave., Suite 700, Portland, OR 97204-1632 (503) 326-7012; TDD \* via 1-800-877-8339.

**Pennsylvania**

Joyce Gaskins, Wanamaker Bldg., 100 Penn Square East, Philadelphia, PA 19107; (215) 656-0624; TDD (215) 597-5564.

**Puerto Rico (and Caribbean)**

Carmen R. Cabrera, 159 Carlos Chardon Ave., San Juan, PR 00918-1804; (809) 766-5576; TDD (809) 766-5909.

**Rhode Island**

Robert Paquin, Acting Director, Thomas P. O'Neill, Jr., Fed. Bldg., 10 Causeway St., Boston, MA 02222-1092; (617) 565-5342; TDD (617) 565-5453.

**South Carolina**

Louis E. Bradley, Fed. Bldg., 1835 Assembly St., Columbia, SC 29201; (803) 765-5564; TDD (803) 253-3071.

**South Dakota**

Guadalupe Herrera, First Interstate Tower North, 633 17th St., Denver, CO 80202-3607; (303) 672-5414; TDD (303) 672-5248.

**Tennessee**

Virginia Peck, 710 Locust St., Knoxville, TN 37902-2526; (423) 545-4391; TDD (423) 545-4559.

**Texas**

Katie Worsham, 1600 Throckmorton, P.O. Box 2905, Fort Worth, TX 76113-2905; (817) 885-5483; TDD (817) 885-5447.

**Utah**

Guadalupe Herrera, First Interstate Tower North, 633 17th St., Denver, CO 80202-3607; (303) 672-5414; TDD (303) 672-5248.

**Vermont**

David Lafond, Norris Cotton Fed. Bldg., 275 Chestnut St., Manchester, NH 03101-2487; (603) 666-7640; TDD (603) 666-7518.

**Virginia**

Joseph Aversano, 3600 W. Broad St., P.O. Box 90331, Richmond, VA 23230-0331; (804) 278-4503; TDD (804) 278-4501.

**Washington**

John Peters, Federal Office Bldg., 909 First Ave., Suite 200, Seattle, WA 98104-1000; (206) 220-5150; TDD (206) 220-5185.

**West Virginia**

Bruce Crawford, 339 Sixth Ave., Pittsburgh, PA 15222-2515; (412) 644-5493; TDD (412) 644-5747.

**Wisconsin**

Lana J. Vacha, Henry Reuss Fed. Plaza, 310 W. Wisconsin Ave., Ste. 1380, Milwaukee, WI 53203-2289; (414) 297-3113; TDD \* via 1-800-877-8339.

**Wyoming**

Guadalupe Herrera, First Interstate Tower North, 633 17th St., Denver, CO 80202-3607; (303) 672-5414; TDD (303) 672-5248.

**Appendix B—Pro Rata Need Estimates**

Note: As described in the NOFA, each jurisdiction will be assigned a relative need index that will be applied to the total amount of funds available to determine its pro rata need. The pro rata need estimates below assume that all places listed will apply for funding. These estimates in no way guarantee a minimum or maximum funding level. Estimate A is based on Continuing Resolution funding level, with \$675 million for this competition. Estimate B is based on the Administration's 1996 budget request, with \$925 million for this competition.

[In thousands of dollars]

Jurisdiction	Est. A	Est. B
<b>ALABAMA</b>		
BIRMINGHAM .....	1,806	2,475
MOBILE .....	742	1,017
MONTGOMERY .....	629	862
JEFFERSON COUNTY .....	661	906
ALABAMA BALANCE	3,651	5,003
Subtotal .....	7,489	10,263
<b>ALASKA</b>		
ANCHORAGE .....	500	685
ALASKA BALANCE ..	275	377
Subtotal .....	775	1,062
<b>ARIZONA</b>		
MESA .....	726	995
PHOENIX .....	3,282	4,498
TUCSON .....	1,645	2,254
MARICOPA COUNTY .....	814	1,115
PIMA COUNTY .....	637	873
ARIZONA BALANCE	1,626	2,228
Subtotal .....	8,730	11,963
<b>ARKANSAS</b>		
LITTLE ROCK .....	500	685
ARKANSAS BALANCE .....	2,629	3,603
Subtotal .....	3,129	4,288
<b>CALIFORNIA</b>		
ANAHEIM .....	1,016	1,392
BAKERSFIELD .....	605	829
BERKELEY .....	871	1,194
COMPTON .....	637	873
ELMONTE .....	750	1,028
FRESNO .....	1,806	2,475
GARDEN GROVE ....	573	785
GLENDALE .....	879	1,205
HUNTINGTON PARK	476	652

[In thousands of dollars]			[In thousands of dollars]			[In thousands of dollars]		
Jurisdiction	Est. A	Est. B	Jurisdiction	Est. A	Est. B	Jurisdiction	Est. A	Est. B
INGLEWOOD .....	605	829	NEWCASTLE COUN-			OAK PARK .....	484	663
LONG BEACH .....	2,072	2,839	TY .....	573	785	PEORIA .....	508	696
LOS ANGELES .....	19,773	27,096	DELAWARE BAL-			ROCKFORD .....	564	773
MODESTO .....	540	740	ANCE .....	193	264	COOK COUNTY .....	2,798	3,834
OAKLAND .....	2,193	3,005				DUPAGE COUNTY ..	871	1,194
ONTARIO .....	548	751	Subtotal .....	1,435	1,966	LAKE COUNTY .....	597	818
OXNARD .....	661	906				MADISON COUNTY .	806	1,105
PASADENA .....	556	762	DISTRICT OF			ST CLAIR COUNTY .	484	663
POMONA .....	710	973	COLUMBIA			ILLINOIS BALANCE .	5,842	8,006
RIVERSIDE .....	766	1,050	WASHINGTON BAL-			Subtotal .....	38,290	52,472
SACRAMENTO .....	1,435	1,966	ANCE .....	4,983	6,829			
SALINAS .....	540	740				INDIANA		
SAN BERNARDINO .	831	1,139	Subtotal .....	4,983	6,829	EVANSVILLE .....	782	1,072
SAN DIEGO .....	4,000	5,481				FORT WAYNE .....	758	1,039
SAN FRANCISCO ....	5,516	7,559	FLORIDA			GARY .....	1,032	1,414
SAN JOSE .....	2,750	3,769	FT LAUDERDALE ....	589	807	HAMMOND .....	621	851
SANTA ANA .....	1,798	2,464	HIALEAH .....	1,177	1,613	INDIANAPOLIS .....	2,427	3,326
SOUTHGATE .....	581	796	JACKSONVILLE-			SOUTH BEND .....	774	1,061
STOCKTON .....	1,081	1,481	DUVAL .....	1,871	2,564	TERRE HAUTE .....	508	696
ALAMEDA COUNTY	468	641	MIAMI .....	2,798	3,834	INDIANA BALANCE .	4,332	5,936
CONTRA COSTA			MIAMI BEACH .....	605	829	Subtotal .....	11,234	15,395
COUNTY .....	839	1,150	ORLANDO .....	532	729			
FRESNO COUNTY ...	1,266	1,735	ST PETERSBURG ...	661	906	IOWA		
KERN COUNTY .....	1,581	2,167	TALLAHASSEE .....	468	641	DES MOINES .....	1,105	1,514
LOS ANGELES			TAMPA .....	1,064	1,458	SIOUX CITY .....	524	718
COUNTY .....	8,701	11,924	BROWARD COUNTY	1,548	2,121	IOWA BALANCE .....	3,489	4,781
ORANGE COUNTY ..	1,169	1,602	DADE COUNTY .....	4,943	6,774	Subtotal .....	5,118	7,013
RIVERSIDE COUN-			ESCAMBIA COUNTY	605	829			
TY .....	2,290	3,138	HILLSBOROUGH			KANSAS		
SACRAMENTO			COUNTY .....	1,371	1,879	KANSAS CITY .....	677	928
COUNTY .....	1,693	2,320	ORANGE COUNTY ..	1,258	1,724	TOPEKA .....	532	729
SAN BERNARDINO			PALM BEACH			WICHITA .....	823	1,128
COUNTY .....	2,064	2,828	COUNTY .....	1,597	2,188	KANSAS BALANCE .	2,124	2,911
SAN DIEGO COUN-			PASCO COUNTY .....	669	917	Subtotal .....	4,156	5,696
TY .....	1,419	1,945	PINELLAS COUNTY	782	1,072			
SAN JOAQUIN			POLK COUNTY .....	871	1,194	KENTUCKY		
COUNTY .....	831	1,139	SEMINOLE COUNTY	564	773	COVINGTON .....	476	652
SAN LUIS OBISPO			VOLUSIA COUNTY ..	669	917	LEXINGTON-FAY-		
COUNTY .....	581	796	FLORIDA BALANCE	5,414	7,419	ETTE .....	589	807
SAN MATEO COUN-			Subtotal .....	30,056	41,188	LOUISVILLE .....	2,621	3,592
TY .....	798	1,094				JEFFERSON COUN-		
SANTA CLARA			GEORGIA			TY .....	702	962
COUNTY .....	718	984	ATLANTA .....	2,766	3,790	KENTUCKY BAL-		
SONOMA COUNTY ..	540	740	AUGUSTA .....	484	663	ANCE .....	3,177	4,354
VENTURA COUNTY	629	862	COLUMBUS-			Subtotal .....	7,565	10,367
CALIFORNIA BAL-			MUSCOGEE .....	613	840			
ANCE .....	13,739	18,827	SAVANNAH .....	710	973	LOUISIANA		
Subtotal .....	93,895	128,671	COBB COUNTY .....	613	840	BATON ROUGE .....	1,282	1,757
			DEKALB COUNTY ...	1,137	1,558	NEW ORLEANS .....	4,322	5,923
COLORADO			FULTON COUNTY ...	613	840	SHREVEPORT .....	847	1,161
COLORADO			GWINNETT COUNTY	540	740	JEFFERSON PAR-		
SPRINGS .....	669	917	GEORGIA BALANCE	4,571	6,264	ISH .....	1,113	1,525
DENVER .....	2,597	3,559	Subtotal .....	12,047	16,508	LOUISIANA BAL-		
COLORADO BAL-						ANCE .....	4,152	5,690
ANCE .....	2,333	3,197	HAWAII			Subtotal .....	11,716	16,056
Subtotal .....	5,599	7,673	HONOLULU .....	2,887	3,956			
			HAWAII BALANCE ...	425	582	MAINE		
CONNECTICUT			Subtotal .....	3,312	4,538	PORTLAND .....	556	762
BRIDGEPORT .....	935	1,281				MAINE BALANCE ...	1,736	2,379
HARTFORD .....	1,097	1,503	IDAHO			Subtotal .....	2,292	3,141
NEW BRITAIN .....	484	663	IDAHO BALANCE ....	1,006	1,379			
NEW HAVEN .....	1,081	1,481	Subtotal .....	1,006	1,379	MARYLAND		
WATERBURY .....	589	807				BALTIMORE .....	6,274	8,598
CONNECTICUT BAL-			ILLINOIS					
ANCE .....	2,549	3,493	CHICAGO .....	24,272	33,262			
Subtotal .....	6,735	9,228	EAST ST LOUIS .....	556	762			
			EVANSTON .....	508	696			
DELAWARE								
WILMINGTON .....	669	917						

[In thousands of dollars]			[In thousands of dollars]			[In thousands of dollars]		
Jurisdiction	Est. A	Est. B	Jurisdiction	Est. A	Est. B	Jurisdiction	Est. A	Est. B
ANNE ARUNDEL COUNTY .....	532	729	MISSOURI BAL-ANCE .....	3,067	4,203	NIAGARA FALLS .....	734	1,006
BALTIMORE COUNTY .....	1,072	1,469	Subtotal .....	13,678	18,745	ROCHESTER .....	2,540	3,481
MONTGOMERY COUNTY .....	1,266	1,735	MONTANA			SCHENECTADY .....	693	950
PRINCE GEORGES COUNTY .....	1,484	2,034	MONTANA BAL-ANCE .....	906	1,242	SYRACUSE .....	1,653	2,265
MARYLAND BAL-ANCE .....	1,231	1,687	Subtotal .....	906	1,242	TONAWANDA TOWN TROY .....	476	652
Subtotal .....	11,859	16,252	NEBRASKA			UTICA .....	540	740
MASSACHUSETTS			LINCOLN .....	476	652	YONKERS .....	847	1,161
BOSTON .....	5,556	7,614	OMAHA .....	1,500	2,056	ERIE COUNTY .....	984	1,348
CAMBRIDGE .....	855	1,172	NEBRASKA BAL-ANCE .....	1,314	1,801	MONROE COUNTY .....	685	939
FALL RIVER .....	774	1,061	Subtotal .....	3,290	4,509	NASSAU COUNTY .....	484	663
LAWRENCE .....	524	718	NEVADA			ONONDAGA COUNTY .....	3,645	4,995
LOWELL .....	613	840	LAS VEGAS .....	831	1,139	ROCKLAND COUNTY .....	484	663
LYNN .....	734	1,006	CLARK COUNTY .....	968	1,327	SUFFOLK COUNTY .....	492	674
NEW BEDFORD .....	790	1,083	NEVADA BALANCE .....	627	859	WESTCHESTER COUNTY .....	927	1,270
NEWTON .....	573	785	Subtotal .....	2,426	3,325	NEW YORK BAL-ANCE .....	1,411	1,934
QUINCY .....	540	740	NEW HAMPSHIRE			Subtotal .....	7,262	9,952
SOMERVILLE .....	814	1,115	MANCHESTER .....	484	663		80,184	109,880
SPRINGFIELD .....	1,097	1,503	NEW HAMPSHIRE BALANCE .....	1,099	1,506	NORTH CAROLINA		
WORCESTER .....	1,282	1,757	Subtotal .....	1,583	2,169	CHARLOTTE .....	976	1,337
MASSACHUSETTS BALANCE .....	5,643	7,733	NEW JERSEY			RALEIGH .....	500	685
Subtotal .....	19,795	27,127	BAYONNE .....	492	674	CUMBERLAND COUNTY .....	500	685
MICHIGAN			CAMDEN .....	823	1,128	NORTH CAROLINA BALANCE .....	5,540	7,592
DEARBORN .....	573	785	ELIZABETH .....	629	862	Subtotal .....	7,516	10,299
DETROIT .....	11,556	15,836	JERSEY CITY .....	1,927	2,641	NORTH DAKOTA		
FLINT .....	1,226	1,680	NEWARK .....	2,621	3,592	NORTH DAKOTA BALANCE .....	717	983
GRAND RAPIDS .....	1,008	1,381	PATERSON .....	774	1,061	Subtotal .....	717	983
KALAMAZOO .....	476	652	TRENTON .....	839	1,150	OHIO		
LANSING .....	516	707	BERGEN COUNTY .....	2,742	3,758	AKRON .....	1,855	2,542
SAGINAW .....	710	973	BURLINGTON COUNTY .....	476	652	CANTON .....	798	1,094
GENESEE COUNTY .....	605	829	CAMDEN COUNTY .....	621	851	CINCINNATI .....	3,629	4,973
OAKLAND COUNTY .....	871	1,194	ESSEX COUNTY .....	1,548	2,121	CLEVELAND .....	6,862	9,403
WAYNE COUNTY .....	798	1,094	HUDSON COUNTY .....	1,298	1,779	COLUMBUS .....	1,895	2,597
MICHIGAN BAL-ANCE .....	6,844	9,379	MONMOUTH COUNTY .....	806	1,105	DAYTON .....	1,806	2,475
Subtotal .....	25,183	34,510	MORRIS COUNTY .....	564	773	LAKEWOOD .....	548	751
MINNESOTA			OCEAN COUNTY .....	508	696	SPRINGFIELD .....	540	740
MINNEAPOLIS .....	3,750	5,139	UNION COUNTY .....	1,371	1,879	TOLEDO .....	2,024	2,774
ST PAUL .....	2,161	2,961	NEW JERSEY BAL-ANCE .....	3,435	4,707	YOUNGSTOWN .....	1,226	1,680
HENNEPIN COUNTY .....	766	1,050	Subtotal .....	21,474	29,429	CUYAHOGA COUNTY .....	718	984
ST LOUIS COUNTY .....	1,435	1,966	NEW MEXICO ALBU-QUERQUE .....	1,169	1,602	FRANKLIN COUNTY .....	484	663
MINNESOTA BAL-ANCE .....	2,898	3,971	NEW MEXICO BAL-ANCE .....	1,514	2,075	HAMILTON COUNTY .....	758	1,039
Subtotal .....	11,010	15,087	Subtotal .....	2,683	3,677	MONTGOMERY COUNTY .....	556	762
MISSISSIPPI			NEW YORK			OHIO BALANCE .....	7,306	10,011
JACKSON .....	798	1,094	ALBANY .....	1,016	1,392	Subtotal .....	31,005	42,488
MISSISSIPPI BAL-ANCE .....	3,578	4,903	BINGHAMTON .....	637	873	OKLAHOMA		
Subtotal .....	4,376	5,997	BUFFALO .....	4,693	6,431	OKLAHOMA CITY .....	1,387	1,901
MISSOURI			ISLIP TOWN .....	516	707	TULSA .....	1,048	1,436
KANSAS CITY .....	2,556	3,503	MOUNT VERNON .....	492	674	OKLAHOMA BAL-ANCE .....	2,254	3,089
ST JOSEPH .....	508	696	NEW YORK .....	48,973	67,110	Subtotal .....	4,689	6,426
ST LOUIS .....	6,120	8,387				OREGON		
ST LOUIS COUNTY .....	1,427	1,956				PORTLAND .....	2,548	3,492

[In thousands of dollars]			[In thousands of dollars]			[In thousands of dollars]		
Jurisdiction	Est. A	Est. B	Jurisdiction	Est. A	Est. B	Jurisdiction	Est. A	Est. B
CLACKAMAS COUNTY .....	524	718	TENNESSEE			SPOKANE .....	976	1,337
WASHINGTON COUNTY .....	500	685	CHATTANOOGA .....	508	696	TACOMA .....	677	928
OREGON BALANCE .....	1,932	2,648	KNOXVILLE .....	548	751	KING COUNTY .....	1,427	1,956
Subtotal .....	5,504	7,543	MEMPHIS .....	2,468	3,382	PIERCE COUNTY .....	935	1,281
PENNSYLVANIA			NASHVILLE-DAVIDSON .....	1,290	1,768	SNOHOMISH COUNTY .....	726	995
ALLENTOWN .....	677	928	TENNESSEE BALANCE .....	3,196	4,380	WASHINGTON BALANCE .....	2,483	3,403
ALTOONA .....	556	762	Subtotal .....	8,010	10,977	Subtotal .....	10,546	14,452
ERIE .....	968	1,327	TEXAS			WEST VIRGINIA		
HARRISBURG .....	621	851	AMARILLO .....	524	718	CHARLESTON .....	540	740
JOHNSTOWN .....	468	641	ARLINGTON .....	621	851	HUNTINGTON .....	605	829
LANCASTER .....	476	652	AUSTIN .....	1,750	2,398	WEST VIRGINIA BALANCE .....	2,187	2,997
PHILADELPHIA .....	14,894	20,410	BEAUMONT .....	484	663	Subtotal .....	3,332	4,566
PITTSBURGH .....	4,717	6,464	BROWNSVILLE .....	839	1,150	WISCONSIN		
READING .....	855	1,172	CORPUS CHRISTI .....	1,081	1,481	MADISON .....	556	762
SCRANTON .....	911	1,248	DALLAS .....	4,209	5,768	MILWAUKEE .....	4,758	6,520
UPPER DARBY .....	524	718	EL PASO .....	2,693	3,690	RACINE .....	540	740
WILKES-BARRE .....	516	707	FORT WORTH .....	1,677	2,298	WISCONSIN BALANCE .....	4,554	6,241
ALLEGHENY COUNTY .....	4,016	5,503	HOUSTON .....	7,677	10,520	Subtotal .....	10,408	14,263
BEAVER COUNTY .....	1,024	1,403	IRVING .....	484	663	WYOMING		
BERKS COUNTY .....	685	939	LAREDO .....	927	1,270	WYOMING BALANCE .....	398	545
BUCKS COUNTY .....	581	796	LUBBOCK .....	702	962	Subtotal .....	398	545
CHESTER COUNTY .....	702	962	MCALLEN .....	556	762	PUERTO RICO		
DELAWARE COUNTY .....	960	1,316	SAN ANTONIO .....	4,322	5,923	AGUADILLA MUNICIPIO .....	548	751
LANCASTER COUNTY .....	831	1,139	WACO .....	484	663	ARECIBO MUNICIPIO .....	855	1,172
LUZERNE COUNTY .....	1,202	1,647	BEXAR COUNTY .....	516	707	BAYAMON MUNICIPIO .....	1,443	1,977
MONTGOMERY COUNTY .....	895	1,226	FORT BEND COUNTY .....	476	652	CAGUAS MUNICIPIO .....	1,048	1,436
WASHINGTON COUNTY .....	1,169	1,602	HARRIS COUNTY .....	2,459	3,370	CAROLINA MUNICIPIO .....	1,161	1,591
WESTMORELAND COUNTY .....	1,048	1,436	HIDALGO COUNTY .....	1,895	2,597	GUAYNABO MUNICIPIO .....	589	807
YORK COUNTY .....	629	862	TARRANT COUNTY .....	839	1,150	HUMACAO MUNICIPIO .....	476	652
PENNSYLVANIA BALANCE .....	7,040	9,647	TEXAS BALANCE .....	11,475	15,724	MAYAGUEZ MUNICIPIO .....	863	1,183
Subtotal .....	46,965	64,358	Subtotal .....	46,690	63,980	PONCE MUNICIPIO .....	1,742	2,387
RHODE ISLAND			UTAH			SAN JUAN MUNICIPIO .....	3,169	4,343
PAWTUCKET .....	540	740	SALT LAKE CITY .....	1,105	1,514	TOABAJA MUNICIPIO .....	677	928
PROVIDENCE .....	1,629	2,232	SALT LAKE COUNTY .....	879	1,205	VEGA BAJA MUNICIPIO .....	516	707
WOONSOCKET .....	339	465	UTAH BALANCE .....	1,241	1,701	PUERTO RICO BALANCE .....	6,154	8,433
RHODE ISLAND BALANCE .....	750	1,028	Subtotal .....	3,225	4,420	Subtotal .....	19,241	26,367
Subtotal .....	3,258	4,465	VERMONT			Total .....	675,000	925,000
SOUTH CAROLINA			VERMONT BALANCE .....	846	1,159			
GREENVILLE COUNTY .....	556	762	Subtotal .....	846	1,159			
SOUTH CAROLINA BALANCE .....	3,681	5,044	VIRGINIA					
Subtotal .....	4,237	5,806	NEWPORT NEWS .....	476	652			
SOUTH DAKOTA			NORFOLK .....	1,347	1,846			
SOUTH DAKOTA BALANCE .....	863	1,183	PORTSMOUTH .....	468	641			
Subtotal .....	863	1,183	RICHMOND .....	1,282	1,757			
WASHINGTON			VIRGINIA BEACH .....	669	917			
SEATTLE .....	3,322	4,552	ARLINGTON COUNTY .....	516	707			
			FAIRFAX COUNTY .....	1,331	1,824			
			VIRGINIA BALANCE .....	3,452	4,731			
			Subtotal .....	9,541	13,075			

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