

**DEPARTMENT OF HOUSING AND
URBAN DEVELOPMENT**

**Office of the Assistant Secretary for
Public and Indian Housing**

[Docket No. N-95-3906; FR-3889-N-01]

**Notice of Funding Availability for
Training and Technical Assistance for
the Prevention of Youth Violence in
Public Housing**

AGENCY: Office of the Assistant Secretary for Public and Indian Housing, HUD.

ACTION: Notice of Funding Availability (NOFA) for Training and Technical Assistance for the Prevention of Youth Violence in Public Housing.

SUMMARY: This NOFA solicits applications for a single two-year grant of up to \$500,000. The grant is being awarded for the purposes of developing and implementing training and technical assistance (TA) for the prevention of youth violence in Public Housing. The TA and training are intended to assist public housing communities in conducting youth violence prevention activities and in using the most relevant scientific information when doing so. HUD is joining with the Centers for Disease Control and Prevention in this effort.

DATES: Applications must be received at HUD Headquarters at the address below on or before 3 p.m., Eastern Time, July 17, 1995. This application deadline is firm as to date and hour. In the interest of fairness of all competing applicants, the Department will treat as ineligible for consideration any application that is received after the deadline. Applicants should take this practice into account and make early submission of their materials to avoid any risk of loss of eligibility brought about by any unanticipated or delivery-related problems. Applications received after the deadline will not be considered. A FAX is not acceptable.

APPLICATION SUBMISSION: An original and two copies of the application must be received by the deadline date at HUD Headquarters. Applications (originals and two copies) should be sent to the Crime Prevention and Security Division of the Office of Community Relations and Involvement (OCRI), Public and Indian Housing, Department of Housing and Urban Development, Room 4116, 451 Seventh Street, SW., Washington, DC 20410-0500.

FOR FURTHER INFORMATION, CONTACT: Elizabeth A. Cocke, Crime Prevention and Security Division (CPSD), Office of Community Relations and Involvement

(OCRI), Public and Indian Housing, Department of Housing and Urban Development, Room 4116, 451 Seventh Street, SW., Washington, DC 20410, telephone (202) 708-1197. A telecommunications device for hearing or speech impaired persons (TDD) is available at (202) 708-0850. (These are not toll-free telephone numbers.)

SUPPLEMENTARY INFORMATION:

Paperwork Reduction Act Statement

The information collection requirements contained in this notice have been submitted to the Office of Management and Budget for review under the provisions of the Paperwork Reduction Act of 1980 (44 U.S.C. 3501-3520) and have been assigned OMB control number 2577-0197, expiration date May 31, 1997.

I. Purpose and Substantive Description

(a) Authority

This grant is authorized under Chapter 2, Subtitle C, Title V of the Anti-Drug Abuse Act of 1988 (42 U.S.C. 11901 *et seq.*), as amended by Section 581 of the National Affordable Housing Act of 1990 (approved November 28, 1990, Pub. L. 101-625) (NAHA), and Section 161 of the Housing and Community Development Act of 1992 (Pub. L. 102-550, approved October 28, 1992) (1992 HCD Act).

(b) Allocation Amounts

The Department of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act 1995 (approved September 28, 1994, Pub. Law 103-327) (95 App. Act) appropriated \$290 million for the Drug Elimination Program. Of the total \$290 million appropriated, \$10 million will fund drug elimination TA, contracts and other assistance training, program assessments, and associated costs (such as the cost of necessary travel for training participants). This NOFA makes up to \$550,000 of the \$10 million available for a cost-reimbursable grant of two years in duration.

(c) Eligibility

Organizations or combinations of organizations that can demonstrate experience and capability in youth violence prevention activities, scientific reviews, needs assessments, development and delivery of instructional materials, project monitoring and successful health promotion/disease prevention interaction with Public Housing Agencies (PHAs) or Community Based Organizations (CBOs) in low-income communities are eligible to apply.

(d) Background

Youth violence has become one of the greatest health problems in the United States. During the period from 1979 to 1991, homicide was the leading cause of death among African Americans 15 to 34 years of age and the second leading cause of death among African American youth 10 to 14 years of age. Risk factors such as poverty, hopelessness, low self esteem and discrimination are recognized as major factors contributing to youth violence.

A large proportion of teenagers in public housing are engaged in a constellation of high risk behaviors. A 1993 study of public housing drug, violent, and property crime rates in Los Angeles, Phoenix and Washington, DC showed that rates for these activities were considerably higher in public housing developments than citywide, and much higher than in large urban communities (Dunworth and Saiger, Rand Study, 1993).

HUD and the National Centers for Disease Control and Prevention (CDC) are soliciting applications for a single two-year grant of up to \$550,000. The purpose of the grant is to assist public housing staff and residents in applying the results of current scientific research to the prevention of youth violence in public housing communities.

The HUD-CDC collaboration will increase the use of scientifically supported youth violence prevention activities in public housing developments. This is to be accomplished by the development and implementation of a system to provide scientifically based information, training, and other forms of technical assistance (TA) to PHAs throughout the United States. The effort will: (a) Determine the amount and type of youth violence prevention activities currently undertaken by PHAs; (b) determine the tools required by PHAs to augment both the quality and quantity of youth violence prevention activities; (c) develop a system to deliver TA to PHAs; and (d) implement and monitor the TA delivery system. In addition, the project will assist the research community in identifying and addressing youth violence prevention issues related to public housing.

(e) Grant Objectives

(1) First Year Objectives

(i) Develop, administer, and maintain an advisory group: This group at minimum should include representatives from PHA staff and residents (including young PHA residents), academia, CBOs, public

health practitioners, and youth violence prevention program directors.

(ii) Identify and summarize existing PHA youth violence prevention efforts.

(iii) Identify and summarize the existing capability and need of PHAs to implement youth violence prevention activities (i.e. conduct a needs assessment).

(iv) Identify and summarize research on youth violence prevention pertinent to PHAs.

(v) Develop a realistic plan of action to deliver the TA to PHAs, thereby enabling the PHAs to implement scientifically based youth violence prevention activities.

(vi) Develop a plan to monitor and assess the effectiveness of the TA delivery system.

(vii) Review the plan of action and the findings of the first year's activities with the advisory group. Revise the plan of action.

(2) Second Year Objectives

(i) Implement the delivery of the TA to the PHAs.

(ii) Monitor the implementation of the TA delivery system.

(iii) Monitor the use of information and materials by the PHAs.

(iv) Review the progress and status of the project with the advisory group each semi-annual period.

(v) Share these findings with PHAs and the research community.

(f) Scope of Work

(1) General Requirements

(i) The grantee shall furnish all necessary personnel, materials, services, and equipment. The grantee shall also perform all work necessary for, or incidental to, the completion of the tasks set forth in paragraph I.(f)(2) of this NOFA.

(ii) The work to be performed under this grant includes, but is not limited to: Work with advisory groups; review of the administration and effectiveness of current PHA youth violence prevention efforts; provision of TA and training; evaluation of the TA and training; and submission of regular reports. In addition, the grantee shall attend one or more advisory group meetings at either HUD or CDC Headquarters each semi-annual period to provide a summary of the progress on the grant work.

(2) Specific Requirements

The grantee shall perform the following tasks in accordance with the grant objectives set forth in paragraph I.(e) of this NOFA.

(i) *Task 1—Orientation.* Within five working days after the effective date of

the grant, the Project Director and other key personnel shall attend a meeting at HUD Headquarters in Washington, DC, for the purpose of establishing an approved grant strategy for achieving the grant objectives, the scope of the work necessary to achieve the objectives, and the time frame and methodology for implementing the grant strategy.

(ii) *Task 2—Management and Work Plan.* The grantee shall develop a draft management and work plan that addresses all of the requirements contained in the approved grant strategy and provide an updated and detailed work plan for the entire project. This draft work plan and budget, setting forth the timing of all stages of the project, shall be submitted to the HUD Government Technical Representative (GTR) for review and comment within 14 working days after the effective date of the grant. The plan shall include a detailed allocation of grant resources, a projected list of PHAs that will receive the TA and training (the PHAs must be diverse in size and geographic location), and a schedule for the accomplishment of the grant work. HUD and CDC shall submit their comments and suggestions to the grantee within ten working days from receipt of the draft plan. The grantee will submit a final management and work plan incorporating HUD and CDC's comments and suggestions within ten working days of having received the comments from HUD and CDC.

(iii) *Task 3: Advisory Group.* The grantee shall develop, administer, and maintain an advisory group. This group should include, but not be limited to, representatives of PHA staff and residents (including young PHA residents), academia, community based organizations, public health practitioners, and youth violence prevention program directors. The grantee shall submit a final list of advisory board members, approved by HUD and the CDC, within five weeks of the award's effective date. The grantee shall assemble and receive advice from the group within eight weeks of the award, and at least semi-annually thereafter.

(iv) *Task 4: Review Existing Efforts.* The grantee shall identify and summarize PHA youth violence prevention efforts. Furthermore, the grantee shall work with PHAs to identify existing youth violence prevention activities, the means by which the PHAs learned of and selected the activities, and any empirical evidence supporting the value of those activities. The work shall also determine the level of PHA knowledge about the value and types of other youth violence

prevention activities that might be implemented, and the interest and capacity of PHAs to implement such programs.

(v) *Task 5: Identify Prevention Research and Programs.* The grantee shall identify and summarize research on youth violence prevention. The grantee's research will include, but not be limited to, published scientific articles, work in progress, and government and other agency publications. The grantee shall highlight findings of its research that bear directly upon its anticipated work with PHAs, including, but not limited to, research and programs related to low-income communities, single-parent families, geographic concentrations of housing in some communities and scattered site housing in others.

(vi) *Task 6: Develop Plan.* The grantee shall develop a realistic plan of action to provide TA and training to PHAs. This will include working with public housing communities as well as national and regional organizations to determine the need for and perceived value of particular youth violence prevention activities. The work will also include developing effective material and methods for delivering the necessary TA and training, delivering the TA and training, and assessing the effectiveness of the delivery system. The plan will address the following issues, although it will not be limited to them: working with people from diverse ethnic and cultural backgrounds, working in low-income communities with limited public space for meetings and training, overall limited resources in sometimes unsafe communities, the time and logistical constraints of single-parent families, and the logistical issues of scattered-site housing in some instances.

(vii) *Task 7: Review Findings and Plan.* The grantee shall review the conclusions and results of the first year's activities with the advisory group. The purpose of the review will be to develop priorities for the second year's activities.

(viii) *Task 8: Implement Technical Assistance and Training.* The grantee shall, based on the first year's activities, implement the TA and training strategy for and with public housing staff and residents.

(ix) *Task 9: Process Evaluation.* After the TA has been delivered, the grantee shall document the delivery of materials, training, and other forms of TA to the PHAs. Furthermore, the grantee shall document the PHAs' acquisition of information and their use of materials and methods transmitted via TA.

(x) *Task 10: Review the Progress.* The grantee shall conduct a semiannual review of the project's progress with the advisory group, CDC, and HUD. The purpose of the review will be to identify and propose plans to address any barriers to the implementation of the project.

(xi) *Task 11: Distribute findings.* The grantee shall develop an implementation plan to share results with PHAs (staff and residents), CBOs, academia, youth violence prevention program directors, and other organizations that may be interested in the results.

(3) CDC Activities

(i) Attend all semiannual progress reviews. Review and offer assistance in revising the plan of action.

(ii) Provide consultation and technical assistance in the design of the data collection methods and instruments for the summary of activities and the needs assessment.

(iii) Assist in data analysis and interpretation.

(iv) Provide scientific information about youth violence prevention.

(v) Assist in the development and implementation of a reporting system to monitor program activities.

(vi) Assist in the transfer of information and methods developed in this project to other PHAs.

(4) HUD Activities

(i) Provide a Government Technical Representative (GTR) and have full administrative responsibility for the grant.

(ii) Provide technical and programmatic assistance to the advisory group.

(iii) Provide consultation and TA in the collection of information, especially in identifying and working with PHAs. These PHAs will include, but not be limited to, PHAs which are interested in developing youth violence prevention programs, have youth violence prevention plans, have implemented youth violence prevention activities, or have evaluated their youth violence prevention activities.

(iv) Assist in identifying the current methods which PHA staff and residents are using to identify, choose, and evaluate youth violence prevention programs.

(v) Provide up-to-date information on any changes in public housing administration, or general HUD grant administration, which might have an impact on the implementation of youth violence prevention programs in public housing.

(vi) Assist in the transfer of information and methods developed in this grant to PHAs.

(vii) Assist in reviewing the findings of the first year's activities and the plan of action. Offer assistance in revising the plan of action.

(g) Selection Criteria

Applications submitted in response to this competitive announcement will be reviewed by a panel chosen by HUD and CDC representatives, which will make recommendations to the HUD Assistant Secretary for Public and Indian Housing. The initial panel will assign numerical values based on the weighted selection criteria. In the case of a numerical tie, preference will be given to the applicant with the highest numerical score for the Fourth Criterion, Quality of the Plan (see paragraph I. (g)(4) below). The top three to five scoring applications will then be reviewed and rescored by a secondary panel chosen by CDC and HUD representatives. The final award will be made by the HUD Assistant Secretary for Public and Indian Housing. Letters will be sent to all applicants notifying them that their proposal has been selected or the reason(s) it was not selected. HUD will then negotiate the specific terms of the award with the selected applicant.

(1) First Criterion: Corporate and Organizational Capacity (Maximum Points: 20)

(i) *Corporate Capacity.* (Maximum Points: 10)

The applicant must provide evidence of corporate and organizational structures and prior corporate and organizational experience that will contribute to the successful implementation of the tasks described in this NOFA. Furthermore, the applicant must demonstrate a commitment to equal employment opportunity and the ability to work successfully with culturally diverse groups.

(ii) *Administrative Capacity.* (Maximum Points: 10)

Applicants must demonstrate the financial capability, organization, staff size and prior experience that will maximize the effective implementation of a project of this size and scope. To permit HUD to make an evaluation on this criterion, the applicant must submit a detailed budget for the grant program, including the basis for computation of the costs for each of the outlined tasks. Additionally, the program budget must be complete, reasonable, and cost-effective in relation to the proposed program. The applicant must also

demonstrate experience in designing and delivering TA and training on-time and within budget for other nationwide projects. Applicants should also submit references from individuals for whom previous work was completed.

(2) Second Criterion: Staff Qualifications (Maximum Points: 20)

(i) *Project Director.* (Maximum Points: 10)

The applicant must provide evidence of the Project Director's prior experience in effectively managing budgets and staffs of a similar size to those involved with this grant project. This evidence may include past success in completing youth violence related work of a similar size and nature on-time and within budget. This evidence may also include successful past experience in managing staff from culturally diverse communities. Applicants must also demonstrate their ability to obtain the cooperation and/or resources of PHA staff and residents, appropriate researchers and research organizations in order to manage advisory group meetings and implement programs.

(ii) *Project Staff.* (Maximum Points: 10)

The applicant must demonstrate the capacity of project staff to quickly and efficiently organize advisory groups similar to the one involved in this grant project, undertake scientific literature reviews, review ongoing public housing activities, conduct needs assessments, develop TA and training, and monitor the progress of the project in a professional manner. Staff must demonstrate successful experience in working with and providing TA to public housing staff and residents while resolving any substantial issues specific to public housing programs. Additionally, the applicant must submit evidence of its capability to work with program and research staff in a manner that maximizes their interest and participation. Staff must also demonstrate its ability to work with and maximize cooperation between a diverse range of clients such as public housing staff, the research community, law enforcement, and the youth violence prevention community.

(3) Third Criterion: Project Experience (Maximum Points: 30)

(i) The applicant must demonstrate appropriate project experience in successful interdisciplinary work with the target populations and in translating technical information into materials of interest to the targeted groups. Such experience may be demonstrated by positive evaluations from previous clients and objective reviewers or other

demonstrable positive outcomes of previous youth violence prevention work. (Maximum Points: 15)

(ii) Applicants must provide evidence of their success in developing and using a wide variety of methods of providing youth violence prevention TA and training on a nationwide basis. This evidence should include both low-cost and state-of-the-art elements and strategies. In addition, applicants must demonstrate their capacity to recommend and utilize the most cost-effective and productive combination of elements and strategies for youth violence prevention work. (Maximum Points: 15)

(4) Fourth Criterion: Quality of the Plan (Maximum Points: 30)

(i) *Goals and Activities*. (Maximum Points: 9)

The applicant must demonstrate that the activities in the proposed plan will result in the completion of the outlined tasks necessary for achieving the goals of this NOFA.

(ii) *Effective Means*. (Maximum Points: 9)

The applicant must submit a plan which utilizes effective means in completing each of the outlined tasks necessary for achieving the goals of this NOFA. The plan must provide sufficient flexibility to meet goals developed during the implementation of the project.

(iii) *TA and Training*. (Maximum Points: 9)

The applicant must submit a plan detailing successful and appropriate means for TA and training. The methods must be sensitive to cultural diversity, and must provide for the translation of technical information into materials of use and interest to both the public housing and research communities. Furthermore, the activities must be shown to be readily understood, reasonable, and allow for modifications as the project is implemented. They must also be proven to be practical, stimulating and results-oriented.

(iv) *Employing, Training and Contracting with Public Housing Residents and Public Housing Business Concerns*. (Maximum Points: 3)

The applicant may submit a plan for training and employing public housing residents and for contracting with business concerns which provide economic opportunities to public housing residents. Submission of such a plan is not mandated by this NOFA. However, those applicants electing to submit a plan will receive up to 3 points towards their NOFA application score.

(h) *Administrative Requirements*

(1) Award Period

The Grant will be cost-reimbursable and awarded for two years.

(2) Cooperative Agreement

After the application has been approved and the grant awarded, HUD and the applicant shall enter into a Cooperative Agreement (Form HUD-1044) setting forth the amount of the Cooperative Agreement and its applicable terms, conditions, financial controls, payment mechanism/schedule, and special conditions.

II. Checklist of Application Submission Requirements

(a) Each application must include the items listed in the following format and order:

(1) Cover letter;

(2) Tab 1—Standard Form 424, Application for Federal Assistance. The SF-424 is the face sheet for the application.

(3) Tab 2—Standard Form 424A, Budget Information for the attached program plan and narrative. The applicant must provide a detailed budget for each quarter, with detailed justification for all costs including the basis for computation of the costs for each of the outlined tasks. The applicant must also provide a budget for each major task. The budget should include a narrative explaining the applicant's financial capability (i.e., the fiscal controls and accounting procedures which assure that Federal funds will be properly utilized).

(4) Tab 3—Corporate Qualifications: Applicants must fully describe their corporate structure, their corporate experience in managing a project of this size and scope, and how their corporate structure and experience will contribute to the quality and completion of the proposed work. Applicants must provide evidence of a corporate commitment to equal employment opportunity, and an ability to adapt to the unique characteristics of the clients.

(5) Tab 4—Organizational Qualification: Applicants must fully describe their organizational structure and experience, as well as their staff size and structure, to demonstrate that they are sufficient to effectively implement a project of this size and scope. Applicants should outline a list of housing authorities and research organizations with which the applicant has worked, the dates and numbers of persons involved, any current points of contact, and summaries of any work evaluations.

(6) Tab 5—Staff Qualifications:

Applicants must fully describe the capabilities and work experience of the Project Director, and all key staff. Applicants must include a staffing plan to fulfill the requirements of the statement of work, including staff titles and the staff's related educational and professional background, experience, and skills; and the time each staff member will be required to contribute to the project. Applicants must identify the specific personnel responsible for or working on each task. Applicants should describe staff experience with youth violence prevention programs, preferably in public housing. Applicants should describe staff experience with projects requiring the translation of technical information into materials of interest and use to the targeted groups, and the ability to work successfully with culturally diverse populations.

(7) Tab 6—Project Experience.

Applicants must fully describe prior experience in converting scientific information into usable material, and in training programs for PHAs or similar groups. Applicants must demonstrate how the combination of their organizational, staff and project experience is sufficient to effectively implement a program of this size and scope. Applicants should outline a list of public housing communities, low-income communities, or other related organizations where similar TA and training was provided, the dates of the TA and/or training, the nature of the TA and/or training, the results of the evaluations, and any current points of contact regarding the work.

(8) Tab 7—Program Implementation Plan. Applicants must submit a plan outlining each task and describe how available staff and financial resources will be allocated to each task. The plan must include an annotated organizational chart depicting the roles and responsibilities of key organizational and functional components and a list of key personnel responsible for managing and implementing the major elements of the program. There must be a time-task plan which clearly identifies the major milestones and products, staff assignments to each task, and schedule for the completion of activities and products. Applicants should discuss the goals, activities and products of each task including the efforts to address issues of cultural diversity and sensitivity, the translation of technical information into useable materials, and efforts to reach the broadest possible range of communities.

(9) Tab 8—Representations, Certifications, and Other Statements of Officers or Quoters.

(i) Certification Regarding Federal Employment.

(ii) Certification of Procurement Integrity.

(iii) Certification and Disclosure Regarding Payments to Influence Certain Federal Transactions.

(iv) SF-LLL Disclosure of Lobbying Activities.

(v) Certification Regarding Debarment, Suspension, Proposed Debarment, and Other Responsibility Matters.

(b) The application should be limited to 25 pages, excluding attachments (e.g., letters of support, data collection forms, résumés, etc.). All material must be typewritten, single-spaced, with type no smaller than 10cpi, on 8.5" × 11" paper, with at least 1" margins, headings, and footers, and printed on one side only.

III. Corrections to Deficient Applications

(a) HUD will notify an applicant, in writing, of any curable technical deficiencies in the application. The applicant must submit corrections in accordance with the information specified in HUD's letter within 14 calendar days from the date of HUD's letter notifying the applicant of any such deficiency.

(b) Curable technical deficiencies relate to items that:

(i) Are not necessary for HUD review under selection criteria/ranking factors; and

(ii) Would not improve the quality of the applicant's program proposal.

IV. Other Matters

(a) Nondiscrimination and Equal Opportunity

The following nondiscrimination and equal opportunity requirements apply:

(1) The requirement of title VIII of the Civil Rights Act of 1968 (42 U.S.C. 3600-20) (Fair Housing Act) and implementing regulations issued at subchapter A of title 24 of the Code of Federal Regulations, as amended by 54 FR 3232 (published January 23, 1989); Executive Order 11063 (Equal Opportunity in Housing) and implementing regulations at 24 CFR part 107; and title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d-2000d-4) (Nondiscrimination in Federally Assisted Programs) and implementing regulations issued at 24 CFR part 1;

(2) The Indian Civil Rights Act (title II of the Civil Rights Act of 1968) (25 U.S.C. 1301-1303) (ICRA) provides that no Indian tribe in exercising powers of self-government shall deny to any

person within its jurisdiction the equal protection of its laws or deprive any person of liberty or property without due process of law. The Indian Civil Rights Act applies to any tribe, band, or other group of Indians subject to the jurisdiction of the United States in the exercise of recognized powers of self-government. The ICRA is applicable in all cases where an IHA has been established by exercise of tribal powers of self-government.

(3) The prohibitions against discrimination on the basis of age under the Age Discrimination Act of 1975 (42 U.S.C. 6101-07) and implementing regulations at 24 CFR part 146, and the prohibitions against discrimination against individuals with disabilities under section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 794) and implementing regulations at 24 CFR part 8;

(4) The requirements of Executive Order 11246 (Equal Employment Opportunity) and the regulations issued under the Order at 41 CFR Chapter 60;

(5) The requirements of the Americans with Disabilities Act of 1990 (42 U.S.C. 12131) and implementing regulations at 29 CFR part 1640, 28 CFR part 35, and 28 CFR part 36.

(6) The requirements of Executive Orders 11625, 12432, and 12138. Consistent with HUD's responsibilities under these Orders, recipients must make efforts to encourage the use of minority and women's business enterprises in connection with funded activities.

(b) Use of Debarred, Suspended, or Ineligible Contractors

Applicants for short-term technical assistance under this NOFA are subject to the provisions of 24 CFR part 24 relating to the employment, engagement of services, awarding of contracts, or funding of any contractors or subcontractors during any period of debarment, suspension, or placement in ineligibility status.

(c) Drug-free Workplace Act of 1988

The requirements of the Drug-Free Workplace Act of 1988 and implementing regulations at 24 CFR part 24, subpart F apply under this notice.

(d) Environmental Impact

In accordance with 40 CFR 1508.4 of the regulations of the Council on Environmental Quality and 24 CFR 50.20(b) of the HUD regulations, the policies and procedures proposed in this document are determined not to have the potential of having a significant impact on the quality of the human environment, and therefore are

categorically excluded from the requirements of the National Environmental Policy Act of 1969. Accordingly, a Finding of No Significant Impact is not required.

(e) Federalism Impact

The General Counsel, as the Designated Official under section 6(a) of Executive Order 12612, Federalism, has determined that the provisions of this NOFA do not have federalism implications within the meaning of the Order. The NOFA provides short-term technical assistance to housing authorities and resident organizations to assist them in their youth violence prevention efforts in public housing communities. The involvement of resident organizations should greatly increase the success of the anti-violence efforts under this technical assistance program and therefore should have positive effects on the target population. As such, the program helps housing authorities to combat the problem of youth violence in their communities, but it does not have federalism implications.

(f) Family Impact

The General Counsel, as the Designated Official for Executive Order 12606, the Family, has determined that the provisions of this NOFA have the potential for a positive, although indirect, impact on family formation, maintenance, and general well-being within the meaning of the Order. The NOFA is designed to assist housing authorities and resident organizations in their youth violence prevention efforts by providing short-term technical assistance. HUD expects that the provision of such assistance will better prepare and educate housing authority and resident organization officials to confront the widespread abuse of controlled substances in public housing communities. This, in turn, would indirectly affect the quality of life for housing residents.

(g) Documentation and Public Access Requirements; Applicant/Recipient Disclosures: HUD Reform Act

Disclosures. HUD will make available to the public for five years all applicant disclosure reports (HUD Form 2880) submitted in connection with this NOFA. Update reports (also Form 2880) will be made available along with the applicant disclosure reports, but in no case for a period less than three years. All reports—both applicant disclosures and updates—will be made available in accordance with the Freedom of Information Act (5 U.S.C. 552) and HUD's implementing regulations at 24

CFR part 15. (See 24 CFR subpart C, and the notice published in the **Federal Register** on January 16, 1992 (57 FR 1942) for further information on these disclosure requirements.)

Public Notice. HUD will include the recipients of assistance pursuant to this NOFA in its quarterly **Federal Register** notice of all recipients of HUD assistance awarded on a competitive basis. (See 24 CFR 12.16(b), and the notice published in the **Federal Register** on January 16, 1992 (57 FR 1942), for further information on these requirements.)

(h) Section 103 HUD Reform Act

HUD's regulation implementing section 103 of the Department of Housing and Urban Development Reform Act of 1989 was published May 13, 1991 (56 FR 22088) and became effective on June 12, 1991. That regulation, codified as 24 CFR part 4, applies to the funding competition announced today. The requirements of the rule continue to apply until the announcement of the selection of successful applicants.

HUD employees involved in the review of applications and in the making of funding decisions are limited by part 4 from providing advance information to any person (other than an authorized employee of HUD) concerning funding decisions, or from otherwise giving an applicant an unfair competitive advantage. Persons who apply for assistance in this competition should confine their inquiries to the subject areas permitted under 24 CFR part 4.

Applicants who have questions should contact the HUD Office of Ethics, (202) 708-3815. (This is not a toll-free

number.) The Office of Ethics can provide information of a general nature to HUD employees, as well. *However*, a HUD employee who has specific program questions, such as whether particular subject matter can be discussed with persons outside the Department, should contact his or her Regional or Field Counsel, or Headquarters counsel for the program to which the question pertains.

(i) Section 112 HUD Reform Act

Section 13 of the Department of Housing and Urban Development Act contains two provisions dealing with efforts to influence HUD's decisions with respect to financial assistance. The first imposes disclosure requirements on those who are typically involved in these efforts—those who pay others to influence the award of assistance or the taking of a management action by HUD, and those who are paid to provide the influence. The second restricts the payment of fees to those who are paid to influence the award of HUD assistance, if the fees are tied to the number of housing units received, based on the amount of assistance received, or if they are contingent upon the receipt of assistance.

Section 13 was implemented by final rule published in the **Federal Register** on May 17, 1991 (56 FR 22912). If readers are involved in any efforts to influence HUD in these ways, they are urged to read the final rule, particularly the examples contained in Appendix A of the rule.

(j) Prohibition Against Lobbying Activities

The use of funds awarded under this NOFA is subject to the disclosure

requirements and prohibitions of section 319 of the Department of Interior and Related Agencies Appropriations Act for Fiscal Year 1990 (31 U.S.C. 1352) (The "Byrd Amendment") and the implementing regulations at 24 CFR part 87. These authorities prohibit recipients of federal contracts, grants, or loans from using appropriated funds for lobbying the Executive or Legislative branches of the federal government in connection with a specific contract, grant, or loan. The prohibition also covers the awarding of contracts, grants, cooperative agreements, or loans unless the recipient has made an acceptable certification regarding lobbying.

Under 24 CFR part 87, applicants, recipients, and subrecipients of assistance exceeding \$100,000 must certify that no federal funds have been or will be spent on lobbying activities in connection with the assistance. Indian Housing Authorities (IHAs) established by an Indian tribe as a result of the exercise of their sovereign power are excluded from coverage, but IHAs established under state law are not excluded from coverage.

Authority: Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1993 (Pub. L. 102-389, approved October 6, 1992); Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1995 (Pub. L. 103-327, approved September 28, 1994).

Dated: May 24, 1995.

Joseph Shuldiner,

Assistant Secretary for Public and Indian Housing.

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