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federal register

Book 1 of 2 Books
Friday, March 21, 1980

Highlights

18884 **Crosscutting Research and Demonstration** HEW/HDSO announces availability of grantor cooperative agreement funds; apply by 5–20–80 (Part VIII of this issue)

18880 **Juvenile Justice** Justice/LEAA announces program designed to increase capacity of State and local governments and public and private youth serving agencies; comments by 4–21–80 (Part VII of this issue)

18372 **Interest Rates** FDIC amends regulations covering payment of interest on certain obligations; effective 3–17–80

18370 **Nuclear Material** NRC simplifies licensing requirements for export of minor quantaties; effective 4–21–80

18410 **1980 Rice Program** USDA/ASCS announces there will be no set aside program or land diversion payments

18876 **CETA** Labor/ETA announces list of prime sponsors from whom funds will be reallocated; effective 3–21–80 (Part VI of this issue)

18482 **Food Additives** HEW/FDA announces availability of a survey of industry on their use

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Highlights

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18480 **Bureau of Foods Research Plan** HEW/FDA solicits information about food- and cosmetic-related research needs; comments by 5–20–80

18490 **Population** HEW/PHS provides state figures to be used in determining amounts of grants for State Agencies

18493 **Oil and Gas Information** Interior/GS announces the availability of Mid-Atlantic Summary Report and Outer Continental Shelf Directory; effective 3–3–80

18890 **Voting Rights** Justice proposes to revise procedures for administration of the 1965 Act; comments by 5–20–80 (Part IX of this issue)

18367 **Extra Long Staple Cotton** USDA/ASCS increases national marketing quota and national acreage allotment for 1980 crop; effective 3–20–80

18406 **National Cemeteries** VA proposes to revise regulations concerning disinterment; comments by 5–20–80

18487 **Privacy Act** HEW/Sec'y publishes a document affecting the systems of records

18485 **Privacy Act** HEW/ASPE issues a notification of a new system of records

18660 **Privacy Act** Treasury/Sec'y issues annual publication of systems of records (Part V of this issue)

18416 **Antidumping** Commerce/ITA issues a determination on portable electric typewriters from Japan; effective 3–21–80

18415 **Antidumping** Commerce/ITA issues a decision on melamine in crystal form from Italy; effective 3–21–80

18414 **Corn Starch Derivatives** Commerce/ITA imposes final countervailing duty determination on dextrines and soluble or chemically treated starches from Europe; effective 3–21–80

18551 **Sunshine Act Meetings**

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18614 **Part III, USDA/APHIS**
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Rules and Regulations

Federal Register
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This section of the FEDERAL REGISTER contains regulatory documents having general applicability and legal effect, most of which are keyed to and codified in the Code of Federal Regulations, which is published under 50 titles pursuant to 44 U.S.C. 1510.
The Code of Federal Regulations is sold by the Superintendent of Documents. Prices of new books are listed in the first FEDERAL REGISTER issue of each month.

OFFICE OF PERSONNEL MANAGEMENT

5 CFR Parts 337 and 410

Examining System; Training

AGENCY: Office of Personnel Management.

ACTION: Final rule.

SUMMARY: The Civil Service Reform Act of 1978 contained certain grade and pay retention provisions. The legislation authorized the Office of Personnel Management to prescribe regulations to require agencies to take several remedial actions, including steps to assure that affected employees can acquire qualifications for selection to other positions. This document implements these statutory provisions.

EFFECTIVE DATE: April 21, 1980.

FOR FURTHER INFORMATION CONTACT: Richard Brown, Agency Compliance and Evaluation, Agency Relations, Office of Personnel Management, 1900 E Street, NW., Room 5460, Washington, D.C. 20415 (202) 632–4467.

SUPPLEMENTARY INFORMATION: On September 7, 1979, the Office of Personnel Management published proposed regulations to implement this section of the Civil Service Reform Act (44 FR 52217) and invited comments from the public. Comments were received from one labor organization. Those comments suggested clarification of regulations pertaining to crediting experience for employees entitled to retained grade and modification of regulations on training of retained grade employees to allow preparation for positions with higher career ladders than the employees' original positions.

The change clarifying regulations pertaining to crediting experience was made as suggested. The suggested modification on training was not adopted because it would have authorized training beyond that intended by the Civil Service Reform Act (Pub. L. 95–454).

OPM has determined that this is a significant regulation for the purposes of E.O. 12044.

Office of Personnel Management.

Beverly M. Jones,

Issuance System Manager.

Accordingly, the Office of Personnel Management is amending Title 5, Code of Federal Regulations, as follows:

PART 337—EXAMINING SYSTEM

(1) Section 337.102 is added to read as follows:

§ 337.102 Evaluating qualifications for employees who are in a retained grade.

(a) Employees who are in a retained grade must have the experience they gain subsequent to the downgrading action that placed them in a retained grade considered in the following manner. For placements during the period the employee is in a retained grade, agencies must consider the experience subsequent to the downgrading action to be either:

(1) At the level of the retained grade and in the series of the position which he or she occupied at the time of the downgrading; or

(2) At the grade and in the series of the position to which the employee is downgraded.

(b) Agencies must determine which experience to consider on the basis of which will most likely result in placement. For placements or promotions after the retained grade period, the experience is considered only at the grade level and in the series of the position to which the employee was downgraded.

PART 410—TRAINING

(2) Part 410, Subpart E is amended by revising § 410.503 to read as follows:

§ 410.503 General prohibitions, training through non-Government facilities.

(a) An agency may not authorize the training of an employee through a non-Government facility for the purpose of making a promotion if another employee within the agency is:

(1) Equal in ability and suitability;

(2) Fully qualified to fill the position; and

(3) Available within a reasonable distance from the position location.

(b) To assure adherence to the constraint of paragraph (a) of this section, the agency head shall establish policies and procedures he or she considers reasonable and necessary relating to areas of consideration and to determinations concerning:

(1) Ability;

(2) Suitability;

(3) Availability;

(4) Qualifications; and

(5) Geographic location.

(c) An exception to the constraint of paragraph (a) is granted for training an employee under the authority of 5 U.S.C. 5364.

(d) An exception to 5 U.S.C. 4107(c)(1) is provided to employees trained under the authority of 5 U.S.C. 5364 if:

(1) The career ladder of the new occupation does not provide a greater potential for promotion than the occupation from which the employee was downgraded; and

(2) The new position is at or below the employee's retained grade.

(5 U.S.C. 5364)

[FR Doc. 80–8685 Filed 3–20–80; 8:45 am]
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COUNCIL ON WAGE AND PRICE STABILITY

6 CFR Part 706

Amendments to Procedural Rules, Questions and Answers

AGENCY: Council on Wage and Price Stability.

ACTION: Interim final rule, with request for comments.

SUMMARY: To implement the decision of the President to expand the number of companies that periodically report price-change information, the Council is amending several sections of its procedural rules to lower the dollar threshold for formal reporting from $250 million to $100 million in annual net sales or revenues. The Council is also amending its rules to provide that compliance units that had, or are part of companies that had net sales or revenues of $100 million or more should request approval for exceptions under Section 706.31. The Council is also issuing a Question and Answer regarding self-administration of

exceptions by companies in the $100 million–$250 million range before the effective date of these changes.

DATES: The effective date is March 14, 1980; comments may be submitted by April 21, 1980.

ADDRESS: Written comments should be sent to Office of General Counsel, Council on Wage and Price Stability, 600 17th Street, N.W., Washington, D.C. 20506.

FOR FURTHER INFORMATION CONTACT:

Office of Price Monitoring

Energy, Chemicals, and Rubber—Larry Forest: 456–7747.
Health, Insurance, Regulated Industries, and Services—Arthur Corazzini: 456–7730.
Construction & Construction Materials—Joseph Lackey: 456–7158.
Food, Agriculture, and Trade—Stephen Hiemstra: 456–7740.
Metals, Machinery and Equipment—Eugene Roberts: 456–7784.
Exceptions—Walter Leibowitz/David Wagner: 456–7733.

Office of General Counsel

Alfred M. Wurglitz: 456–6286.
George R. Johnson, Jr.: 456–6286.
Charles A. Samuels: 456–6286.

SUPPLEMENTARY INFORMATION: On March 14, 1980, the President announced several wage and price actions as part of his anti-inflation program. One of these actions was to reduce the threshold for regular, formal reporting of data for evaluating compliance information from $250 million to $100 million in annual net sales or revenues. These changes to Part 706 implement the President's decision.

Compliance units meeting the new dollar reporting thresholds are asked to submit Form CO–1 (Price) by May 1, 1980, and Form PM–1 (Second Program Year) by May 15, 1980.

In addition, the Questions and Answers III–C–13 issued today makes clear that, notwithstanding the provision lowering the threshold for advance approval of exceptions from $250 million to $100 million, the Council is not requesting companies in the $100 million–$250 million range to seek ratification of any exceptions that were self-administered before March 14, 1980. Other Q's & A's are amended to conform with these changes.

The Council is publishing these materials on an interim final basis since compliance units with net sales or revenues of $100 million to $250 million have a need to know what actions to take under the standards and the procedural rules. The Council has provided a 30-day comment period rather than the customary 60-day period, since it is important to receive any public comments as soon as possible so that any changes may be made rapidly. Companies may rely fully on these interim provisions unless and until they are modified. Since companies in the $100 million to $250 million range were already within the purview of the anti-inflation program and all that is now requested is the filing of periodic reports, these changes are not significant regulations which are expected to have major economic consequences for the general economy within the meaning of Executive Order 12044.

(Council on Wage and Price Stability Act, Pub. L. 93–387 (August 24, 1974), as amended by Pub. L. 94–78 (August 9, 1975) and Pub. L. 95–121 (October 5, 1977), 12 U.S.C. 1904 note; as last amended by Pub. L. 96–10 (May 10, 1979); E.O. 12092 (November 1, 1978); E.O. 12161 (September 28, 1979).)

Issued in Washington, D.C., March 18, 1980.

R. Robert Russell,

Director, Council on Wage and Price Stability.

Accordingly, Part 706 of Title 6 CFR is amended as set forth below:

1. Section 706.21 is amended by changing the reporting threshold in paragraph (b) from "$250 million" to "$100 million" so as to read as follows:

§ 706.21 Submission on company organization for purposes of compliance.

* * * * *

(b) Company Organization for Price Compliance. A compliance unit that had, or that is part of a company that had, net sales or revenues of $100 million or more in its last complete fiscal year before October 2, 1979, and any other company designated by the Council, should furnish the council by a date to be announced by the Council with the information specified in § 707.1(a).

* * * * *

2. Section 706.22 is amended by changing the report threshold in paragraph (a) from "$250 million" to "$100 million" so as to read as follows:

§ 706.22 Periodic data submissions.

(a) Form PM–1. A compliance unit that had, or is part of a company that had, net sales or revenues of $100 million or more in its last complete fiscal year before October 2, 1979, and any other compliance unit designated by the Council, should furnish the Council with the data specified on Form PM–1. These submissions should be made not more than 45 calendar days after the end of each of the first three quarters and 60 calendar days after the end of the second program year.

* * * * *

3. Section 706.31 is amended by changing "$250 million" to "$100 million" in paragraph (a) so as to read as follows:

§ 706.31 Who should request approval.

(a) Any compliance unit or employee unit that intends to apply one or more of the exceptions specified in § 706.32 other than the tandem exception under Section 705B–9 should request a determination from the Council that the exception is warranted, if:

(1) The request relates to the price standard and the compliance unit had, or is part of a company that had net sales or revenues of $100 million or more in its last complete fiscal year prior to October 2, 1979; or

(2) The request relates to the pay standard, and (i) the affected employee unit consists of 100 or more employees in a compliance unit with (or that is part of a company with) 1,000 or more employees, or (ii) the affected collective-bargaining agreement covers 1,000 or more employees, regardless of the number of employees in an individual company's employee units.

The Council is also issuing the following Question and Answer III–C–13 to read as follows:

Q13. Notwithstanding Q & A III–C–7, if a company self-administered a hardship exception when it was not required to obtain advance approval, and it is now requested to obtain approval under amended § 706.31(a), should it seek ratification of the exception?

A. No. However, the company should notify the Council that it has self-administered an exception and maintain supporting documentation to be made available to the Council on request.

The Council is also amending the following Q's & A's (III–B–7; III–B–8; III–B–11; III–B–15; III–B–16; and III–C–1) which were published on November 21, 1979 (44 FR 67060) changing "$250 million" to "$100 million" in each case.

Finally, the Council is amending the Second-Year Price Standards Implementation Guide published January 16, 1980, (45 FR 3217) by changing "$250 million" to "$100 million" at 45 FR 3232, column 1.

[FR Doc. 80–8843 Filed 3–19–80; 12:58 pm]

BILLING CODE 3175–01–M

DEPARTMENT OF AGRICULTURE

Animal and Plant Health Inspection Service

7 CFR Part 354

Overtime Services Relating to Imports and Exports; Commuted Traveltime Allowances

AGENCY: Animal and Plant Health Inspection Service, USDA.

ACTION: Final rule.

SUMMARY: This document amends administrative instructions prescribing commuted traveltime. These amendments establish commuted traveltime periods as nearly as may be practicable to cover the time necessarily spent in reporting to and returning from the place at which an employee of the Plant Protection and Quarantine Programs performs overtime or holiday duty when such travel is performed solely on account of such overtime or holiday duty. Such establishment depends upon facts within the knowledge of the Animal and Plant Health Inspection Service.

EFFECTIVE DATE: March 21, 1980.

FOR FURTHER INFORMATION CONTACT: E. E. Crooks, Regulatory Support Staff, Animal and Plant Health Inspection Service, Plant Protection and Quarantine Programs, U.S. Department of Agriculture, Hyattsville, MD 20782 (301–436–8249).

PART 354—OVERTIME SERVICES RELATING TO IMPORTS AND EXPORTS

Therefore, pursuant to the authority conferred upon the Deputy Administrator, Plant Protection and Quarantine Programs, by 7 CFR 354.1 of the regulations concerning overtime services relating to imports and exports, the administrative instructions appearing at 7 CFR 354.2, as amended, January 5, September 28, and December 18, 1979, (44 FR 1364, 55791, and 74791) prescribing the commuted traveltime that shall be included in each period of overtime or holiday duty are further amended by adding (in appropriate alphabetical sequence) or deleting the information as shown below:

§ 354.2 Administrative instructions prescribing commuted traveltime.

* * * * *

Commuted Traveltime Allowances

[In Hours]

Location covered	Served from	Metropolitan area: Within	Metropolitan area: Outside
Delete:			
* * * * *			
Hawaii:			
Lihue, Kauai		2	
Undesignated Ports...	Hilo, Honolulu, or Keahole.		3
* * * * *			
Montana:			
Butte International Airport.	Butte (or vicinity temporarily detailed in excess of 12 hours).	2	
* * * * *			
Add:			
* * * * *			
Florida:			
Apalachicola	Panama City		3
Eglin Air Force Base.	Panama City		3
Panama City		1	
Do	Pensacola		5
Pensacola	Panama City		5
Port St. Joe	Panama City		2
Undesignated Ports...	Panama City or Pensacola.		3
* * * *			
Hawaii:			
Kapahi	Lihue		2
Kapaa	Lihue		2
Lihue, Kauai		1	
Undesignated Ports...	Hilo, Honolulu, Keahole, or Lihue.		3
* * * * *			
Missouri:			
Kansas City		1	
Richards-Gebaur AFB.	Kansas City	2	
* * * * *			
Oklahoma:			
Port of Catoosa	Oklahoma City		6
Port of Muskogee	Oklahoma City		6
Port of Verdigres	Oklahoma City		6
Tulsa International Airport.	Oklahoma City		6
Undesignated Ports...	Oklahoma City		3
* * * * *			
West Virginia:			
Kanawha Airport	Charleston	3	
Do	Clarksburg		6
* * * * *			
Wisconsin:			
Madison	Milwaukee		4
* * * * *			

It is to the benefit of the public that these instructions be made effective at the earliest practicable date. It does not appear that public participation in this rulemaking proceeding would make additional relevant information available to the Department.

Therefore, pursuant to the administrative procedure provisions in 5 U.S.C. 553, it is found upon good cause that notice and other public procedure with respect to this final rule are impracticable and contrary to the public interest and good cause is found for making this final rule effective less than 30 days after publication of this document in the **Federal Register.**

Further, this final rule has not been designated as "significant," and is being published in accordance with the emergency procedures in Executive Order 12044 and Secretary's Memorandum 1955. It has been determined by Harvey L. Ford, Deputy Administrator, Plant Protection and Quarantine Programs, Animal and Plant Health Inspection Service, that the emergency nature of this final rule warrants publication without opportunity for public comment or preparation of an impact analysis statement at this time.

This final rule implements the regulations in Part 354. It will be scheduled for review in conjunction with the periodic review of the regulations in that Part required under the provisions of Executive Order 12044 and Secretary's Memorandum 1955.

Done at Washington, D.C., this 14th day of March 1980.

Harvey L. Ford,

Deputy Administrator, Plant Protection and Quarantine Programs Animal and Plant Health Inspection Service.

[FR Doc. 80–8377 Filed 3–20–80; 8:45 am]

BILLING CODE 3410–34–M

Agricultural Stabilization and Conservation Service

7 CFR Part 722

Cotton, 1980 Crop of Extra Long Staple Cotton; Acreage Allotments and Marketing Quotas

AGENCY: Agricultural Stabilization and Conservation Service, Department of Agriculture.

ACTION: Final rule.

SUMMARY: The purpose of this rule is to (1) determine and proclaim an increase in the national marketing quota and the national acreage allotment for the 1980-crop of extra long staple cotton (hereafter referred to as "ELS"cotton) and (2) apportion the revised national acreage allotment to the States. An investigation conducted pursuant to section 371(b) of the Agricultural Adjustment Act of 1938, as amended (hereafter referred to as the "Act") established that an increase in the national marketing quota and national acreage allotment is needed because of a material increase in export demand for ELS cotton.

EFFECTIVE DATE: March 20, 1980.

ADDRESS: Production Adjustment Division, ASCS, USDA, 3630 South Building, P.O. Box 2415, Washington, D.C. 20013.

FOR FURTHER INFORMATION CONTACT: Charles V. Cunningham (ASCS) 202–447–7873.

SUPPLEMENTARY INFORMATION: On October 15, 1979, the Department announced a national marketing quota of 137,000 bales and a national acreage allotment of 112,027 acres for the 1980-crop of ELS cotton (44 FR 60255). On February 22, 1980, the Department announced that an investigation was being conducted pursuant to section 371(b) of the Act to determine whether, because of a material increase in export demand, an increase in the announced national marketing quota and the national acreage allotment is needed and, if so, the amount of the increase (45 FR 11858).

Exports of ELS cotton have materially increased in recent years. Exports averaged only 9,000 bales per year during the 5 marketing years 1972–73 through 1976–77. Exports increased to 25,000 bales during 1977–78, to 30,000 bales in 1978–79 and are projected to increase to 40,000 bales in 1979–80.

The investigation established that supplies, even under normal growing conditions, would not meet material increases in export demand and, in fact, the level of exports would not even be maintained at the projected 1979–80 level during the 1980–81 season unless the marketing quota and acreage allotment are increased by 24,000 bales and 20,000 acres, respectively.

The carryover of ELS cotton on August 1, 1980, is projected at 41,000 bales—a level below that generally considered desirable. (Given the higher export volume of recent years, the desirable carryover level is 50,000 to 60,000 bales).

If the yield per acre is about average for 1980, and the national allotment is not increased, both exports and domestic consumption would likely decline by around 5,000 bales each and imports would increase by about 5,000 bales, leaving an ending stock on July 31, 1981, of 39,000 bales. Below normal yields would result in even further reduction in exports and domestic use and larger imports.

A marketing quota of 161,000 bales (converted to an acreage allotment of 131,652 acres based on the average yield per planted acre during the 4-years 1975 through 1978) is needed to sustain exports at 40,000 bales and still provide minimum stock levels of about 40,000 bales.

Two comments were received as a result of the investigation. Both respondents recommended that the national acreage allotment be increased 20,000 acres.

After considering the comments and the results of the investigation, it is determined that pursuant to Section 371(b) of the Act, the national marketing quota for the 1980-crop of ELS cotton should be increased to 161,000 bales and that the national acreage allotment should be increased to 131,652 acres, because of a material increase in export demand. Accordingly, as provided for in section 371(c) of the Act, each farm marketing quota or acreage allotment for ELS cotton shall be increased in the same ratio.

It is essential that these provisions be made effective as soon as possible since ELS producers are now making their production and marketing plans for the 1980 season. Accordingly, it is hereby found and determined that compliance with the 30-day effective date requirement of 5 U.S.C. 553 and Executive Order 12044 is impracticable and contrary to the public interest. Therefore, this revision to the regulations at 7 CFR 722.558 to 722.560 shall become effective upon the filing of this document with the Director, Office of the Federal Register, with respect to the 1980 crop of ELS cotton.

Final Rule

PART 722—COTTON

Accordingly, the provisions of 7 CFR 722.558 through 722.560 are revised to read as follows:

§ 722.558 National marketing quota for the 1980 crop of ELS cotton.

The marketing quota for the 1980 crop of ELS cotton is hereby proclaimed to be an amount of 161,000 standard bales determined in accordance with Section 347(b) of the Agricultural Adjustment Act of 1938, as amended (hereafter referred to as the "Act"). The quota is based on the following data:

(a) Estimated domestic consumption, 1980–81	65,000
(b) Plus estimated exports, 1980–81	+40,000
(c) Plus adjustment to assure adequate stocks	+61,000
(d) Minus estimated imports 1980–81	−5,000
Total	161,000

§ 722.559 National acreage allotment for the 1980 crop of ELS cotton.

It is hereby determined and proclaimed that a national acreage allotment shall be in effect for the 1980 crop of ELS cotton. The amount of such national allotment is 131,652 acres calculated by multiplying the national marketing quota in bales by 480 pounds (net weight of a standard bale) and dividing the result by the national average yield of 587 pounds per planted acre of ELS cotton for the four calendar years 1975, 1976, 1977 and 1978.

§ 722.560 Apportionment of national acreage allotment to the States.

The national acreage allotment of 131,652 acres is apportioned to the States in accordance with section 344(b) of the Act as follows:

State	State allotment
Arizona	57,063
California	574
Florida	159
Georgia	172
New Mexico	26,557
Texas	47,127

(Secs. 301, 343, 344, 347, 375; 52 Stat. 38, as amended; 63 Stat. 670, as amended; 63 Stat. 675, as amended; 52 Stat. 66, as amended; (7 U.S.C. 1301, 1343, 1344, 1347, 1375))

Note.—This final rule has been reviewed under the USDA criteria established to implement Executive Order 12044, "Improving Government Regulations." A determination has been made that this action should not be classified "significant" under those criteria. A Final Impact Statement has been prepared and is available from Charles V. Cunningham, ASCS, 202–447–7873.

Signed at Washington, D.C., on March 14, 1980.

Bob Bergland,

Secretary of Agriculture.

[FR Doc. 80–8341 Filed 3–20–80; 8:45 am]

BILLING CODE 3410–05–M

7 CFR Part 730

1980 Crop Rice Marketing Quotas and Acreage Allotments

AGENCY: Agricultural Stabilization and Conservation Service, Department of Agriculture.

ACTION: Final rule.

SUMMARY: This action is being taken in accordance with the provisions of Section 352 of the Agricultural Adjustment Act of 1938, as amended, which requires the Secretary of Agriculture to do the following: (1) Determine and proclaim a national rice acreage allotment; (2) apportion the national rice acreage allotment to administrative areas for farms and producers; and (3) establish the rice allotment for the farm (or in producer administrative areas, the producer allotments allocated to the farm) which will be used to determine loan eligibility and to compute deficiency payments if necessary.

DATES: This regulation shall be effective for the 1980 crop of rice on March 20, 1980.

FOR FURTHER INFORMATION CONTACT: George H. Schaefer, (ASCS) (202) 447–8480, P.O. Box 2415, Washington, D.C. 20013.

SUPPLEMENTARY INFORMATION: The need for this rule is to satisfy the statutory requirements as provided for in Section 352 of the Agricultural Adjustment Act of 1938, as amended (referred to as the "Act").

Section 352 of the Act requires that the Secretary establish for each of the 1978 through 1981 crops of rice a national acreage allotment in the amount of 1,800,000 acres for each year. Section 352 also provides that the Secretary shall apportion the 1,800,000 acres to farmers and producers on the basis of allotments established for the 1975 crop of rice. State committees may reserve up to one percent of the State allotment for new farmers and for adjustments and corrections.

Since farmers make plans for their rice crops well in advance of actual plantings, and since these determinations and proclamations are prescribed in the statute, it is of the utmost importance that farmers be notified of their 1980 producer and farm rice acreage allotments as soon as possible. Therefore, it is determined that compliance with the public rulemaking requirements of 5 U.S.C. 553 and Executive Order 12044 is impracticable and contrary to the public interest.

Final Rule

Accordingly, the regulations at 7 CFR 730.1502 through 730.1504, the table of contents, and the title of the subpart, are amended to read as follows: (1) The table of contents is revised to read as follows:

Subpart—1980–81 Marketing Year

Proclamations and Determinations with respect to Marketing Quota and National Acreage Allotment for 1980 Crop Rice, and Apportionment of 1980 National Acreage Allotment of Rice Among the Several States

Sec.

730.1502 National acreage allotment of rice for 1980.

730.1503 Apportionment of 1980 national acreage allotment of rice to farms and producers.

730.1504 State reserve acreages.

(Sec. 352, 375, 52 Stat. 60, 66 as amended; (7 U.S.C. 1352, 1375); sec. 101, 701, 91 Stat. 940).

(2) The regulations at 7 CFR 730.1502 to 730.1504 and the title of the subpart are hereby amended with respect to the 1980 crop of rice to read as follows:

Subpart—1980–81 Marketing Year

§ 730.1502 National acreage allotment for the 1980 crop of rice.

It is hereby determined and proclaimed that a national acreage allotment of 1,800,000 acres shall be in effect for the 1980 crop of rice.

§ 730.1503 Apportionment of the 1980 national acreage allotment of rice to farms and producers.

The national acreage allotment of 1,800,000 acres for the 1980 crop of rice is apportioned to farms and producers on the basis of the rice allotments established for the 1975 crop of rice, with any adjustments made pursuant to Section 352(c) of the Agricultural Act of 1938, as amended (referred to as the "Act"). The allotment so apportioned within each of the several rice producing States is as follows:

State	Acres
Arkansas	435,391.2
California	326,812.7
Florida	960.8
Louisiana:	
Farm Administrative Area	499,337.1
Producer Administrative Area	18,247.2
State Total	517,584.3
Mississippi	50,812.2
Missouri	4,967.0
North Carolina	41.0
Oklahoma	163.2
South Carolina	2,722.9
Tennessee	288.2
Texas	460,256.5
U.S. Total	1,800,000.0

§ 730.1504 State reserve acreages.

The State reserve acreages set forth in the table in this section were established by the State committees in accordance with section 352 of the Act.

State	Reserve[1]
Arkansas	0
California	0
Florida	9.5
Louisiana	0
Farm Administrative Area	0
Producer Administrative Area	0
Mississippi	0
Missouri	0
North Carolina	0
Oklahoma	0
South Carolina	0
Tennessee	0
Texas	50.0

[1] State reserve for new growers, corrections and adjustments.

Note.—This regulation has been reviewed under the USDA criteria established to implement Executive Order 12044 "Improving Government Regulations" and has been classified "significant". An Approved Final Impact Statement is available from George H. Schaefer (ASCS), (202) 447–8480.

Signed at Washington, D.C., on March 17, 1980.

Bob Bergland,

Secretary.

[FR Doc. 80–8691 Filed 3–20–80; 8:45 am]

BILLING CODE 3410–05–M

Agricultural Marketing Service

7 CFR Part 910

[Lemon Reg. 244]

Lemons Grown in California and Arizona; Limitation of Handling

AGENCY: Agricultural Marketing Service, USDA.

ACTION: Final rule.

SUMMARY: This regulation establishes the quantity of fresh California-Arizona lemons that may be shipped to market during the period March 23–29, 1980. Such action is needed to provide for orderly marketing of fresh lemons for this period due to the marketing situation confronting the lemon industry.

EFFECTIVE DATE: March 23, 1980.

FOR FURTHER INFORMATION CONTACT: Malvin E. McGaha, 202–447–5975.

SUPPLEMENTARY INFORMATION: *Findings.* This regulation is issued under the marketing agreement, as amended, and Order No. 910, as amended (7 CFR Part 910), regulating the handling of lemons grown in California and Arizona. The agreement and order are effective under the Agricultural Marketing Agreement Act of 1937, as amended (7 U.S.C. 601–674). The action is based upon the recommendations and information submitted by the Lemon Administrative Committee, and upon other information. It is hereby found that this action will tend to effectuate the declared policy of the act.

This action is consistent with the marketing policy for 1979–80 which was designated significant under the procedures of Executive Order 12044. The marketing policy was recommended by the committee following discussion at a public meeting on July 31, 1979. A final impact analysis on the marketing policy is available from Malvin E. McGaha, Chief, Fruit Branch, F&V, AMS, USDA, Washington, D.C. 20250, telephone 202–447–5975.

The committee met again publicly on March 18, 1980, at Los Angeles, California, to consider the current and prospective conditions of supply and demand and recommended a quantity of lemons deemed advisable to be handled during the specified week. The committee reports the demand for lemons is easier.

It is further found that there is insufficient time between the date when information became available upon which this regulation is based and when the action must be taken to warrant a 60

day comment period as recommended in E.O. 12044, and that it is impracticable and contrary to the public interest to give preliminary notice, engage in public rulemaking, and postpone the effective date until 30 days after publication in the **Federal Register** (5 U.S.C. 553). It is necessary to effectuate the declared purposes of the act to make these regulatory provisions effective as specified, and handlers have been apprised of such provisions and the effective time.

§ 910.544 Lemon Regulation 244.

Order. (a) The quantity of lemons grown in California and Arizona which may be handled during the period March 23, 1980, through March 29, 1980, is established at 250,000 cartons.

(b) As used in this section, "handled" and "carton(s)" mean the same as defined in the marketing order.

(Secs. 1–19, 48 Stat. 31, as amended; 7 U.S.C. 601–674)

Dated: March 20, 1980.

D. S. Kuryloski,

Deputy Director, Fruit and Vegetable Division.

[FR Doc. 80-8963 Filed 3-20-80; 11:40 am]

BILLING CODE 3410-02-M

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7 CFR Part 928

[Papaya Reg. 10, Amdt. 1]

Papayas Grown in Hawaii; Suspension of Grade and Size Requirements

AGENCY: Agricultural Marketing Service, USDA.

ACTION: Final rule.

SUMMARY: This amendment suspends grade and size requirements for papayas handled to destinations within the production area during the period March 17 through April 1, 1980. This action is necessary to allow marketing of all suitable papayas due to a shortage of supply.

EFFECTIVE DATE: March 17, 1980

FOR FURTHER INFORMATION CONTACT: Malvin E. McGaha, 202–447–5975.

SUPPLEMENTARY INFORMATION: *Findings.* This amendment is issued under the marketing agreement and Order No. 928 (7 CFR Part 928), regulating the handling of papayas grown in Hawaii. The agreement and order are effective under the Agricultural Marketing Agreement Act of 1937, as amended (7 U.S.C. 601–674). The action is based upon the recommendation and information submitted by the Papaya Administrative Committee, and upon other information. This action would tend to effectuate the declared policy of the act.

The committee reports recent heavy rains and gale force winds in the production area have reduced available supplies of papayas. Therefore, the committee has recommended suspension of grade and size requirements of papayas handled to destinations within the production area during the period March 17 through April 1, 1980. This action would increase supplies available to meet strong demand and would permit growers to market a larger proportion of the crop. Increased supplies of papayas are anticipated at the end of the period of suspension. Quality requirements currently in effect April 2 through December 31, 1980, would remain unchanged.

It is further found that it is impracticable and contrary to the public interest to give preliminary notice, engage in public rulemaking, and postpone the effective date of this amendment until 30 days after publication in the **Federal Register** (5 U.S.C. 553) in that the time intervening between the date when information upon which this amendment is based became available and the time when this amendment must become effective in order to effectuate the declared policy of the act is insufficient; and this amendment relieves restrictions on the handling of papayas grown in Hawaii.

Further, in accordance with procedures in Executive Order 12044, the emergency nature of this amendment warrants publication without opportunity for further public comment. The amendment has not been classified significant under USDA criteria for implementing the Executive Order. An Impact Analysis is available from Malvin E. McGaha, Chief, Fruit Branch, F&V, AMS, USDA, Washington, D.C. 20250, telephone (202) 447–5975.

§ 928.310 [Amended]

In § 928.310 (Papaya Regulation 10; 44 FR 77134) subparagraph (1) of paragraph (a) is amended by changing "January 1" to "April 2".

(Secs. 1–19, 48 Stat. 31, as amended; (7 U.S.C. 601–674))

Dated: March 17, 1980, to become effective March 17, 1980.

D. S. Kuryloski,

Deputy Director, Fruit and Vegetable Division, Agricultural Marketing Service.

[FR Doc. 80-8693 Filed 3-20-80; 8:45 am]

BILLING CODE 3410-02-M

NUCLEAR REGULATORY COMMISSION

10 CFR Part 110

Export and Import of Nuclear Equipment and Material; Export of Certain Minor Quantities of Nuclear Material

AGENCY: U.S. Nuclear Regulatory Commission.

ACTION: Final rule.

SUMMARY: The Nuclear Regulatory Commission is amending its regulations by simplifying its licensing requirements for the export of certain quantities of nuclear material which do not have significance from a nuclear proliferation perspective. The amendments establish or expand general licensing provisions for gram quantities of special nuclear material (SNM) and certain kinds of source and byproduct material. The amendments, which reflect public comments, are the result of an extensive review by the Commission and the Executive Branch aimed at updating and streamlining NRC regulations to permit the expedited export of nuclear material where no significant nuclear proliferation risks are involved. This final rule leaves unchanged the general license for the export of americium–241. Revisions to that general license will be proposed in a separate rulemaking proceeding.

EFFECTIVE DATE: April 21, 1980.

FOR FURTHER INFORMATION CONTACT: Joanna M. Becker, Office of the Executive Legal Director, U.S. Nuclear Regulatory Commission, Washington, D.C. 20555, telephone 301/492–7630 or Marvin R. Peterson, Office of International Programs, U.S. Nuclear Regulatory Commission, Washington, D.C. 20555, telephone 301/492–8155.

SUPPLEMENTARY INFORMATION: On May 9, 1978, NRC published in the **Federal Register** (43 FR 19861) a proposal to amend 10 CFR Part 110. The proposed amendments would simplify licensing requirements for the export of certain minor quantities of nuclear material. The proposed amendments would establish a new general license for the export of up to 3 grams of SNM when contained in sensing components installed in instruments, and for the export of plutonium–238 when contained in cardiac pacemakers.

In addition, it was proposed that authority be provided for the issuance of specific licenses to export plutonium–238, up to 3 grams of any other SNM and 100 grams or less of SNM diluted so as to be unusable for any nuclear activity relevant from the point of view of

safeguards and practicably irrecoverable, other than under the terms of an agreement for cooperation with another nation.

The existing general licenses for source material would be revised to eliminate, where possible, the more restrictive application of general licenses with respect to exports to communist countries.

Because of the national security concern over the possible use of americium–241 and polonium–210 as neutron sources, the existing general licenses for these materials would be revoked.

The existing general license for byproduct material having an atomic number between 3 and 83 would be broadened to encompass exports to most communist countries. The existing licensing exemptions for commodities containing byproduct material would be eliminated, since these exemptions would no longer be needed to permit exports to those communist countries not allowed to receive exports under the existing general license. Elimination of the byproduct material exemption would also preclude the export of any material to countries against which the United States then had a comprehensive trade embargo (Cambodia, Cuba, North Korea, Southern Rhodesia and Vietnam).

Finally, the cumulative limits on the export under general license of certain forms of tritium and polonium–210 would be eliminated.

Twenty letters of comments from the public were received in response to the proposed rule. Copies of these comments have been placed in the Commission's Public Document Room at 1717 H Street NW., Washington, D.C.

Except for the proposed deletion of the general license for americium–241, the comments were generally in support of the proposed amendments. Of the 20 commenters, 14 objected to the proposed deletion of the americium–241 general license and requested retention of at least a portion of that license. Several recommendations were also made to revise NRC's export regulations to provide general licenses for additional items.

In addition to the public comments, the Executive Branch requested that the proposed general license for SNM contained in instruments be revised to restrict the amount of plutonium and uranium–233 in each instrument to .1 gram because of the possible national security concerns over the use of these materials.

In view of these comments, the Commission has decided on a two-stage approach. First, it has adopted those portions of the proposed amendments which were not the subject of significant criticism. Second, it will address the issue of the americium–241 general license in a revised proposed amendment to be published at a later date. The requests for additional general licenses will also be considered in this separate rule making proceeding.

With respect to the amendments which follow, the resolution of the comments received is as follows:

1. Section 110.22 Export of special nuclear material. The quantity of plutonium and uranium–233 permitted to be exported has been limited to .1 gram per instrument. This general license has also been clarified to specify that (a) the 3 gram and .1 gram limitations apply to the quantity of SNM contained in each instrument, and not to individual shipments; and (b) that there is no quantity limitations for plutonium–238 contained in cardiac pacemakers.

2. Section 110.24 Export of byproduct material (formerly numbered § 110.21). As noted above, the general license for americium–241 has not been revoked (§ 110.24(e)).

3. The general license for tritium in luminous safety devices installed in aircraft has been retained. The proposed amendments inadvertently would have required a specific license for 100 curies or more of tritium in such devices on a single aircraft.

4. One commenter requested clarification as to whether an export license could be issued authorizing several shipments over a period of a year or more. Section 110.30(d) of Part 110 speaks clearly to this matter.

5. Pursuant to Executive Order 12183 of December 16, 1979, the embargo of exports to Zimbabwe-Rhodesia has been deleted.

6. In addition, editorial amendments or corrections have been made in §§ 110.22 through 110.28 and § 110.44. It should also be noted that § 110.13 is deleted. This deletion was discussed in the statement of considerations accompanying the proposed rule but was inadvertently not included in the text of the proposed amendments. Also, in § 110.24, the general license to export tritium contained in labeled organic compounds has been changed to include tritium in inorganic compounds as well.

The paragraph concerning reporting requirements (included in the proposed rule as § 110.26) has also been deleted. These requirements were necessary because of international export-control procedures subscribed to by the United States. However, due to a recent change in these procedures, reporting is no longer required.

The Commission has concluded that the amendments, including the exemption in § 110.44(a)(1), are not inimical to the common defense and security, do not constitute an unreasonable risk to the public health and safety, and will not result in any activity that adversely affects the environment. Furthermore, the amendments are consistent with the provisions of the Atomic Energy Act of 1954, as amended by the Nuclear Nonproliferation Act of 1978, and do not conflict with the safeguards criteria of the International Atomic Energy Agency.

The amendments are not inconsistent with the obligations of the United States under any treaty or international arrangement, including the Treaty on the Non-Proliferation of Nuclear Weapons.

Commissioner Bradford non-concurred in the proposed amendments exempting minor quantities from the Atomic Energy Act's Agreement for Cooperation requirement. He believes that the amendments are probably illegal under the Atomic Energy Act and would not approve them without Congressional action. Commissioner Bradford also non-concurred in the staff conclusion that general licenses could be exempted from the requirement of Section 128.

Since the amendments will not result in any increased requirements or expense to the public, the Commission has not prepared a separate value-impact analysis. Based on past licensing actions, the amendments should reduce the number of specific export licenses issued by the Commission by approximately 5 to 10 percent.

Accordingly, the following amendments to Title 10, Chapter 1, Code of Federal Regulations, Part 110, are published as a document subject to codification.

PART 110—EXPORT AND IMPORT OF NUCLEAR EQUIPMENT AND MATERIAL

§ 110.13 [Deleted and reserved]

1. Section 110.13 is deleted and reserved.

(Secs. 81, 82, Pub. L. 83–703, 68 Stat. 935 (42 U.S.C. 2111, 2112))

2. A new § 110.22 is added to read as follows:

§ 110.22 Export of special nuclear material.

General licenses are hereby issued authorizing any person to export to any country, except those listed in § 110.28, the following:

(a) Special nuclear material contained in sensing components installed in instruments, if no more than 3 grams of enriched uranium or .1 gram of

plutonium or uranium–233 are contained in each instrument.

(b) Plutonium containing 80 percent or more by weight of plutonium–238 in cardiac pacemakers.

(Sec. 5, Pub. L. 88–489, 78 Stat. 602; secs. 2, 3, Pub. L. 93–377, 88 Stat. 473, 475 (42 U.S. 2073, 2074(c), 2077(d)).

3. Section 110.23 is revised to read as follows:

§ 110.23 Export of source material.

(a) General licenses are hereby issued authorizing any person to export to any country, except those listed in § 110.28, the following:

(1) 1 kilogram or less per shipment of unirradiated thorium or uranium other than special nuclear material, except that no person may export more than 100 kilograms per year to any one country;

(2) Thorium in incandescent gas mantles; and

(3) Depleted uranium in the form of shielding contained in radiographic exposure or teletheraphy devices, or radioactive thermoelectric generators, if individual shipments do not exceed 1,000 kilograms.

(b) General licenses are hereby issued authorizing any person to export to any country, except those listed in §§ 110.27 and 110.28, the following:

(1) Depleted uranium in the form of counterweights installed in aircraft, rockets, projectiles, or missiles, if the counterweights have been manufactured in accordance with a specific license issued under Part 40 of this chapter.

(2) Thorium in finished aircraft engine parts, if the thorium is dispersed, in concentrations of 4 percent or less by weight, in nickle-thoria alloy and is in the form of finely divided thoria (thorium dioxide); and

(3) Depleted uranium in the form of shielding contained in X-ray units or in packaging for the transportation of radioactive materials.

(Secs. 63 and 64, Pub. L. 83–703, 68 Stat. 933 (42 U.S.C. 2093, 2094))

4. Section 110.24 is revised to read as follows:

§ 110.24 Export of byproduct material.

General licenses are hereby issued authorizing any person to export to any country, except those listed in § 110.28, the following:

(a) Byproduct material having an atomic number from 3 to 83.

(b) Tritium contained in luminous safety devices installed in aircraft as generally licensed items under § 31.7 of this chapter.

(c) Tritium and polonium–210 in individual shipments of 100 curies or less, contained in:

(1) Tritium activated luminous paint;
(2) Tritium labeled compounds;
(3) Tritiated accelerator targets;
(4) Polonium–210 static eliminators;
(5) Calibration standards;
(6) Luminescent light sources;
(7) Tritium sources for chromatography instruments;
(8) Electron tubes;
(9) Gas and aerosol detectors; or
(10) Tritium in helium–3 in a concentration of 2.5 millicuries or less per liter of helium–3.

(d) Americium–241.

(Secs. 81, 82, Pub. L. 83–703, 68 Stat. 935 (42 U.S.C. 2111, 2112))

5. Section 110.25 is revised to read as follows:

§ 110.25 Export of deuterium.

A general license is hereby issued authorizing any person to export 100 liters or less per shipment of deuterium at standard temperature and pressure (90 grams of heavy water) to any country except those listed in § 110.28.

6. Section 110.26 is revised to read as follows:

§ 110.26 Export of nuclear grade graphite.

A general license is hereby issued authorizing any person to export 100 kilograms or less per shipment of nuclear grade graphite to any country except those listed in § 110.28: *Provided*, that no person shall, pursuant to this general license, export more than 2,000 kilograms per year to any one country.

(Sec. 309, Pub. L. 95–242, 92 Stat. 141 (42 U.S.C. 2139))

7. Section 110.27 is revised and new § 110.28 is added to read as follows:

§ 110.27 Restricted destinations.

(a) Albania, (b) Bulgaria, (c) Czechoslavakia, (d) German Democratic Republic (including East Berlin), (e) Hungary, (f) Mongolia, (g) Poland, (h) Romania, (i) Peoples Republic of China, and (j) Union of Soviet Socialist Republics.

§ 110.28 Embargoed destinations.

(a) Cuba, (b) Kampuchea, (c) North Korea, (d) Vietnam.

(Sec. 161i, Pub. L. 83–703, 68 Stat. 948 (42 U.S.C. 2201(i)))

8. In §110.44, paragraph (a)(1)(iii) is revised to read as follows:

§110.44 Issuance or denial of licenses.

(a) * * *
(1) * * *

(iii) The proposed export of a production or utilization facility or of more than 3 grams of special nuclear material would be under the terms of an agreement for cooperation, except for proposed exports of plutonium containing 80 percent or more by weight of plutonium–238, or 100 grams or less of special nuclear material which is diluted so that it is no longer usable for any nuclear activity relevant from the point of view of safeguards and is practicably irrecoverable.

(Secs. 2, 3, Pub. L. 93–377, 88 Stat. 473, 475 (42 U.S.C. 2074(c), 2077(d)))

(Sec. 161i, Pub. L. 83–703, 68 Stat. 948 (42 U.S.C. 2201(i); Sec. 201, Pub. L. 93–438, 88 Stat. 1243 (42 U.S.C. 5481)))

Dated at Washington, D.C., this 14th day of March 1980.

For the U.S. Nuclear Regulatory Commission.

Samuel J. Chilk,

Secretary of the Commission.

[FR Doc. 80–8596 Filed 3–20–80; 8:45 am]

BILLING CODE 7590–01–M

FEDERAL DEPOSIT INSURANCE CORPORATION

12 CFR Part 329

Interest on Deposits; Rate Limitations

AGENCY: Federal Deposit Insurance Corporation.

ACTION: Final rule.

SUMMARY: The Board of Directors of the Federal Deposit Insurance Corporation ("Board", "FDIC") has amended its regulations covering payment of interest on deposits to impose interest rate limitations on certain obligations issued by a nonmember bank's parent bank holding company. The amendment does not apply to commercial paper issued by a bank's parent bank holding company. This action is being taken in order to facilitate the orderly administration of currently prescribed interest rate limitations.

EFFECTIVE DATE: March 17, 1980.

FOR FURTHER INFORMATION CONTACT: F. Douglas Birdzell, Senior Attorney, Federal Deposit Insurance Corporation, 550 17th Street NW., Washington, D.C. 20429, (202) 3.9–4324.

SUPPLEMENTARY INFORMATION: The Board has amended Part 329 (12 CFR Part 329) to apply Part 329 interest rate ceilings to certain obligations issued or guaranteed, in whole or in part, as to principal or interest by a nonmember bank's parent bank holding company. The amendment applies to any obligation, regardless of the use of the proceeds, issued in a denomination of less than $100,000 that has an original

maturity of 4 years or less or that is redeemable by the holder in periods of 4 years or less. Obligations with original maturities of 2½ years to 4 years or redeemable between 2½ years and 4 years will be subject to the ceiling rate of interest payable on the 2½ year variable ceiling time deposit. Obligations issued in denominations of $10,000 or more with original maturities of 26 weeks to 2½ years, or redeemable in periods of 26 weeks to 2½ years, will be subject to the ceiling rate of interest payable by nonmember banks on 26-week money market time deposits of less than $100,000. In addition, obligations issued in denominations of less than $10,000 with original maturities of less than 2½ years, or redeemable in periods of less than 2½ years, will be subject to the interest rate limitations applicable to comparable obligations of nonmember banks.

With respect to obligations redeemable at specified intervals at the holder's option, the rate of interest payable on such obligations must be adjusted at the beginning of each such interval. The maximum rate of interest that may be paid for the period during the specified redemption intervals will be determined by applying the Part 329 rules in effect at the time the obligation was issued. For example, on March 17, 1980, a parent bank holding company subject to this action issues an obligation with redemption intervals between 2½ to 4 years.

The maximum rate of interest that may be paid during each redemption interval will be determined by the rule in effect as of March 17 for determining the ceiling rate of interest payable on the 2½ year variable ceiling time deposit. This rule, in the case of commercial banks, provides that a nonmember bank may pay interest at a rate of 11¾ percent or 75 basis points below the yield on 2½ year Treasury securities, whichever is less. Consequently, the maximum rate that may be paid on the obligation during the first redemption interval is 11¾ percent. The maximum rate that may be paid during subsequent redemption intervals will be 11¾ percent or 75 basis points below the yield on 2½ year Treasury securities, whichever is less.

This procedure for determining the maximum rate payable during each redemption interval will apply even if the rule relating to the determination of the ceiling rate of interest payable on the 2½ year variable ceiling time deposit is modified. If, however, the rule relating to the determination of the ceiling rate of interest payable on the 2½ year variable ceiling time deposit is modified, the new rule would apply to bank holding company obligations issued on or after the effective date of the new rule.

The amendment applies only to obligations required to be registered with the Securities and Exchange Commission under the Securities Act of 1933. The amendment applies to covered obligations regardless of the use of the proceeds—i.e., even if the proceeds are not being supplied to the parent bank holding company's nonmember bank subsidiary or subsidiaries. However, if the obligation issued is subject to interest rate limitations imposed by the Federal Deposit Insurance Corporation or the Federal Home Loan Bank Board pursuant to Pub. L. 89–597, such obligations will not be subject to the interest rate limitations imposed by this action.

The Board has concluded that regulations pertaining to the rates that may be paid on obligations issued by bank holding companies in denominations of less than $100,000 with original maturities of 4 years or less are necessary at this time in view of the impact the issuance of such obligations is likely to have on deposit flows among depository institutions. Such obligations typically are issued at rates substantially in excess of the Part 329 ceiling rates of interest payable by nonmember banks on time deposits of comparable maturities and are competitive with consumer deposits issued by depository institutions. The Board believes that such obligations generally should be subject to the interest rate limitations imposed upon nonmember banks.

The Board's action was taken after consultation with the other Federal financial institution regulatory agencies and parallels similar action taken on March 14, 1980 by the Board of Governors of the Federal Reserve System. In order to facilitate the administration of currently prescribed deposit interest rate limitations, the Board finds that application of the notice and public participation provisions of 5 U.S.C. 553 to this action would be contrary to the public interest and that good cause exists for making the amendment effective immediately.

PART 329—INTEREST ON DEPOSITS

1. Section 329.1 of Part 329 is amended by adding a new paragraph (g) thereto as follows:

§ 329.1 Definitions.

* * * * *

(g) *Obligations issued by the parent bank holding company of a nonmember bank.* For the purposes of this Part, the "deposits" of a nonmember bank other than a mutual savings bank also include an obligation that is (1) issued in a denomination of less than $100,000; (2) required to be registered with the Securities and Exchange Commission under the Securities Act of 1933; (3) issued or guaranteed in whole or in part as to principal or interest by the nonmember bank's parent which is a bank holding company within the meaning of 12 U.S.C. 221a(b) regardless of the use of the proceeds; and (4) issued with an original maturity of 4 years or less, or which is redeemable at intervals of 4 years or less at the option of the holder. The term "deposits" does not include those obligations of a bank holding company that are subject to interest rate limitations imposed pursuant to Pub. L. 89–597.

2. Section 329.6 of Part 329 is amended by adding a new subparagraph (7) to paragraph (b) thereof.

§ 329.6 Maximum rates of interest payable on time and savings deposits of insured nonmember banks other than mutual savings banks [13]

* * * * *

(b) Deposits of less than $100,000.

* * * * *

(7) *Obligations of the parent bank holding company of a nonmember bank.* Notwithstanding the above, interest may be paid on a deposit as defined in § 329.1(g) at a rate not to exceed the following schedule:

Original Maturity or Redemption Period and Maximum Percent

2½ to 4 years—For an obligation that is not redeemable prior to maturity, interest may be paid at the rate established for 2½ year variable ceiling deposits pursuant to the provisions of § 329.6(b)(6) in effect at the time the obligation is issued. For an obligation that is redeemable prior to maturity, the maximum rate of interest that may be paid from the date of issuance until the first redemption date shall not exceed the rate established for 2½ year variable ceiling time deposits pursuant to the provisions of § 329.6(b)(6) in effect at the time the obligation is issued. Interest may be paid during successive redemption periods at a rate not to exceed the rate that would be in effect on the first day of each such redemption period for 2½ year variable ceiling time deposits established pursuant to the provisions of § 329.6(b)(6) in effect at the time the obligation was issued.

26 weeks or more but less than 2½ years ($10,000 minimum denomination required)—For an obligation that is not redeemable prior to maturity, interest may be paid at the rate established for 26-week money market time deposits pursuant to

[13] * * *

the provisions of § 329.6(b)(5) in effect at the time the obligation is issued. For an obligation that is redeemable prior to maturity, the maximum rate of interest that may be paid from the date of issuance until the first redemption date shall not exceed the rate established for 26-week money market time deposits pursuant to the provisions of § 329.6(b)(5) in effect at the time the obligation is issued. Interest may be paid during successive redemption periods at a rate not to exceed the rate that would be in effect on the first day of each such redemption period for 26-week money market time deposits established pursuant to the provisions of § 329.6(b)(5) in effect at the time the obligation was issued.

30 days or more but less than 2½ years—Interest may be paid at the ceilings established pursuant to the provisions of § 329.6(b)(1) in effect at the time the obligation is issued.

Less than 30 days—No interest may be paid.

(Secs. 9 and 18, Pub. L. 81–797, 64 Stat. 881, 891, as amended (12 U.S.C. 1819 and 1828))

By Order of the Board of Directors, March 17, 1980.

Hoyle L. Robinson,

Executive Secretary.

[FR Doc. 80–8786 Filed 3–20–80; 8:45 am]

BILLING CODE 6714–01–M

ENVIRONMENTAL PROTECTION AGENCY

21 CFR Parts 193 and 561

[80P–114; FRL 1440–4]

Tolerances for Pesticides, 3,5-Dimethyl-4-(Methylthio)Phenyl Methylcarbamate; Correction

AGENCY: Environmental Protection Agency (EPA).

ACTION: Final rule; correction.

SUMMARY: This document corrects a final rule that appeared on 3,5-dimethyl-4-(methylthio)phenyl methylcarbamate at page 38841 in the **Federal Register** of July 3, 1979 (FR Doc. 79–20564).

EFFECTIVE DATE: Effective on March 21, 1980.

FOR FURTHER INFORMATION CONTACT: William Miller, Product Manager (PM) 16, Registration Division (TS–767), Office of Pesticide programs, Environmental Protection Agency, 401 M Street SW., Washington, D.C. 20460 (202–426–9458).

SUPPLEMENTARY INFORMATION: In FR Doc. 79–20564 appearing at page 38841 in the issue of Tuesday, July 3, 1979, the expiration date of "June 30, 1980" at the end of § 193.145 [Amended] is corrected to read "December 31, 1980" and the expiration date of "June 30, 1980" at the end of § 561.175 [Amended] is corrected to read "December 31, 1980."

Dated: March 12, 1980.

Douglas D. Campt,

Director, Registration Division, Office of Pesticide Programs.

[FR Doc. 80–8785 Filed 3–20–80; 8:45 am]

BILLING CODE 6560–01–M

DEPARTMENT OF DEFENSE

Department of the Air Force

32 CFR Part 820

Air Force Bands; Deletion of Regulations

AGENCY: Department of the Air Force, Department of Defense.

ACTION: Final rule.

SUMMARY: The Department of the Air Force is amending Title 32, Chapter VII of the CFR by deleting Part 820, Air Force Bands. This rule is deleted because of limited applicability to the general public. The intended effect is to insure that only regulations which substantially affect the public be maintained in the Air Force portion of the Code of Federal Regulations.

EFFECTIVE DATE: March 17, 1980.

FOR FURTHER INFORMATION CONTACT: Mrs. Carol M. Rose, phone (202) 697–1861.

SUPPLEMENTARY INFORMATION: Accordingly, 32 CFR, Chapter VII, is amended by deleting Part 820.

PART 820—AIR FORCE BANDS [DELETED]

Carol M. Rose,

Air Force Federal Register Liaison Officer.

[FR Doc. 80–8688 Filed 3–20–80; 8:45 am]

BILLING CODE 3910–01–M

ENVIRONMENTAL PROTECTION AGENCY

40 CFR Part 710

[FRL 1430–6; OTS–80006]

Toxic Substances Control Act; Amendment to Inventory Reporting Requirements

AGENCY: Environmental Protection Agency.

ACTION: Final rule.

SUMMARY: This rule amends the inventory reporting requirements under the Toxic Substances Control Act to specify January 1, 1983, as the date after which records required to be kept to document information reported for the inventory need not be retained. Those manufacturers of chemical substances who reported for the initial inventory will be affected by this rule. The original regulation failed to specify a retention date. This amendment corrects this omission in the original regulation.

DATES: This rule will be effective on April 21, 1980.

FOR FURTHER INFORMATION CONTACT: Industry Assistance Office, Office of Pesticides and Toxic Substances (TS–799), Environmental Protection Agency, 401 M Street, SW, Washington, DC 20460; or call 800–424–9065 or, in Washington, call 554–1404.

SUPPLEMENTARY INFORMATION:

Authority and General Purpose

On December 23, 1977, EPA published the Inventory Reporting Requirements (40 CFR Part 710, 42 FR 64572), under section 8(a) of the Toxic Substances Control Act, (15 U.S.C. Section 2601 *et seq.).* Persons who report under these regulations must maintain records that document information reported for the inventory. However, the regulations fail to specify the length of time for which the required records must be kept. This omission has been the subject of some concern in the regulated community. This amendment clarifies this issue by specifying January 1, 1983, as the final date after which these records need not be kept.

The purpose of the recordkeeping requirement is to aid the Agency in monitoring compliance with the Inventory reporting requirements. The effect of the January 1, 1983, deadline is to provide a five-year retention period for those persons who reported for the initial inventory beginning on January 1, 1978, and a shorter retention period for those processors and importers who report additional substances for the revised inventory. The January 1, 1983, date was selected because the resulting retention periods were found by the Office of Enforcement to represent an adequate time for pursuing both affirmative and responsive enforcement of the Inventory Reporting Requirements. The date is also consistent with the intent expressed in the March 6, 1978, **Federal Register** (43 FR 9254) to provide for such a retention period.

On October 22, 1979, EPA published the proposed amendment to the Inventory Reporting Requirements. The public comment period ended on December 21, 1979, and no comments were received.

Public Record

EPA has established a public record for this rulemaking as defined in Section 19(a)(3) of TSCA (docket number OTS–080006). The public record, along with a complete index, is available for inspection in the OPTS reading room from 9:00 a.m. to 5:00 p.m. on working days (401 M Street, SW., Washington, D.C. 20460). This record contains the basic information that the Agency considered in developing this rule. This record includes the following:

1. This final rule.

2. The proposed rule, published on October 22, 1979, (40 FR 60763).

3. The "supplemental clarification" of the Inventory Reporting Regulations, published on March 6, 1978, (43 FR 9254).

4. The "Inventory Reporting Requirements" published on December 23, 1977 (42 FR 64572).

Specialized Regulation Notice

Under Executive Order 12044, EPA is required to judge whether a regulation is "significant" and therefore subject to the procedural requirements of the Order, or whether it may follow other specialized development procedures. EPA labels these other regulations "specialized". I have reviewed this regulation and have determined that it is a specialized regulation not subject to the procedural requirements of Executive Order 12044.

The above classification is based upon a determination that the regulation is a minor amendment to an existing regulation.

The amendment to 40 CFR 710.1(c) will read as set forth below.

Dated: March 13, 1980.

Douglas M. Costle,

Administrator.

Section 710.1(c) is amended to read as follows:

§ 710.1 Scope and compliance.

* * * * *

(c) Each person who reports under these regulations shall maintain records that document information reported under these regulations and, in accordance with the Act, permit access to, and the copying of, such records by EPA officials. Records required to be maintained under these regulations must be kept until January 1, 1983. (Sec. 8(a), Pub. L. 94–469, 90 Stat. 2027 (15 U.S.C. 2607(a))).

[FR Doc. 80–8684 Filed 3–20–80; 8:45 am]

BILLING CODE 6560–01–M

DEPARTMENT OF THE INTERIOR

Bureau of Land Management

43 CFR Part 3100

Oil and Gas Leasing; Suspensions of Operations and Production

AGENCY: Bureau of Land Management, U.S. Department of the Interior.

ACTION: Final rule.

SUMMARY: This amended rule authorizes the Director, Geological Survey, to grant suspensions of operations and production for oil and gas leases when there is no well capable of production on a leasehold. By eliminating the requirement for transmitting such applications to the Secretary of the Interior for action, it simplifies the procedure for granting suspensions of operations and production in such situations.

EFFECTIVE DATE: March 21, 1980.

FOR FURTHER INFORMATION CONTACT: Andrew F. DeVito, Conservation Division, U.S. Geological Survey, National Center (Mail Stop 600), Reston, Virginia 22092 (703/860–7521).

SUPPLEMENTARY INFORMATION: In accordance with the provisions of the Administrative Procedure Act, 5 U.S.C. 553(b)(A), it has been determined that it is in the public interest for these regulations to become final on publication. This determination is based on the fact that the revision simplifies the procedures for granting a suspension of operations and production on leases where there is no oil or gas well capable of production. The revision authorizes the Director, Geological Survey, to grant suspensions in such situations. Previously, these suspensions required the direction or assent of the Secretary of the Interior. In addition, the revision deletes reference to the Area Mining Supervisor since rules governing suspensions requiring action by the Area Mining Supervisors are contained in 43 CFR 3503.3–2(e).

The Department of the Interior has determined that the publication of this document is not a major Federal action significantly affecting the quality of the human environment and that no detailed statement pursuant to section 102(2)(C) of the National Environmental Policy Act of 1969 (42 U.S.C. 4332(2)(C)) is required. The Department of the Interior has also determined that this document is not a significant rule and does not require a regulatory analysis under Executive Order 12044 and 43 CFR Part 14.

The principal author of this document is Andrew DeVito, Conservation Division, U.S. Geological Survey, Reston, Virginia 22092 (703–860–7521).

Dated: March 11, 1980.

James A. Joseph,

Acting Secretary of the Interior.

43 CFR 3103.3–8 is revised to read:

§ 3103.3–8 Suspension of operations and production.

(a) Applications by lessees for relief from the producing requirements or from all operating and producing requirements of oil and gas leases shall be filed in triplicate in the office of the Area Oil and Gas Supervisors. The Area Oil and Gas Supervisors are authorized to act on applications for suspension of operations or production or both filed pursuant to this section and to terminate suspensions of this kind which have been or may be granted. However, no suspension of operations and production will be granted for any oil and gas lease in the absence of a well capable of production on the leasehold, except where the Director, Geological Survey, directs or assents to a suspension in the interest of conservation. Complete information must be furnished showing the necessity of such relief.

(b) The term of any lease will be extended by adding thereto any period of suspension of all operations and production during such term pursuant to the direction or assent of the Area Oil and Gas Supervisor or the Director, Geological Survey.

(c) A suspension shall take effect on the date specified in the direction or assent of the Area Oil and Gas Supervisor or the Director, Geological Survey. Rental and minimum royalty payments will be suspended during any period of suspension of all operations and production directed or assented to by the Area Oil and Gas Supervisor or the Director, Geological Survey, beginning with the first day of the lease month on which the suspension of operations and production becomes effective. If the suspension of operations and production becomes effective on any date other than the first day of a lease month, then rental and minimum royalty payments will be suspended beginning with the first day of the lease month next following such effective date. The suspension of rental and minimum royalty payments shall end on the first day of the lease month in which operations or production is resumed. Where rentals are creditable against royalties and have been paid in advance, proper credit will be allowed on the next rental or royalty due under the lease.

(d) No lease shall be deemed to expire by reason of a suspension of either

operations or production only, pursuant to any directions or assent of the Area Oil and Gas Supervisor or the Director, Geological Survey.

(e) If there is a well capable of producing on the leased premises and all operations and production are suspended pursuant to any direction or assent of the Area Oil and Gas Supervisor or the Director, Geological Survey, the commencement of drilling operations only will be regarded as terminating the suspension as to operations but not as to production, and as terminating the period of suspension to be added to the term of the lease as provided in paragraph (b) of this section and the period of suspension of rental and minimum royalty payments as provided in paragraph (c) of this section. However, as provided in paragraph (d) of this section, the term of the lease will not be deemed to expire so long as the suspension of operations or production remains in effect.

(f) The relief authorized under this section may also be obtained for any oil and gas leases included within an approved unit or cooperative plan of development and operation.

[FR Doc. 80-8812 Filed 3-20-80; 8:45 am]

BILLING CODE 4310-31-M

FEDERAL EMERGENCY MANAGEMENT AGENCY

44 CFR Part 65

[Docket No. FEMA 5784]

Changes in Special Flood Hazard Areas Under the National Flood Insurance Program

AGENCY: Federal Insurance Administration, FEMA.

ACTION: Interim rule.

SUMMARY: This rule lists those communities where modification of the base (100-year) flood elevations is appropriate becaue of new scientific or technical data. New flood insurance premium rates will be calculated from the modified base (100-year) elevations for new buildings and their contents and for second layer insurance on existing buildings and their contents.

DATES: These modified elevations are currently in effect and amend the Flood Insurance Rate Map (FIRM) in effect prior to this determination.

From the date of the second publication of notice of these changes in a prominent local newspaper, any person has ninety (90) days in which he can request through the community that the Federal Insurance Administrator reconsider the changes. These modified elevations may be changed during the 90-day period.

ADDRESSES: The modified base (100-year) flood elevation determinations are available for inspection at the office of the Chief Executive Officer of the community, listed in the fifth column of the table. Send comments to that address also.

FOR FURTHER INFORMATION CONTACT: Mr. Robert G. Chappell, Acting Assistant Administrator, Program Implementation and Engineering Office, 451 Seventh Street, SW., Washington, D.C. 20410, (202) 755–5581 or Toll Free Line (800) 424–8872.

SUPPLEMENTARY INFORMATION: The numerous changes made in the base (100-year) flood elevations of the Flood Insurance Rate Map(s) make it administratively infeasible to publish in this notice all of the modified base (100-year) flood elevations contained on the map. However, this rule includes the address of the Chief Executive Officer of the community where the modified base (100-year) flood elevation determinations are available for inspection. Any request for reconsideration must be based on knowledge of changed conditions, or new scientific or technical data.

These modifications are made pursuant to Section 206 of the Flood Disaster Protection Act of 1973 (Pub. L. 93–234) and are in accordance with the National Flood Insurance Act of 1968, as amended, (Title XIII of the Housing and Urban Development Act of 1968 (Pub. L 90–448), 42 U.S.C. 4001–4128, and 44 CFR 65.4 (Presently appearing at its former Section 24 CFR Part 1915)).

For rating purposes, the revised community number is listed and must be used for all new policies and renewals.

These base (100-year) flood elevations are the basis for the flood plain managmenet measures that the community is required to either adopt or show evidence of being already in effect in order to qualify or remain qualified for participation in the National Flood Insurance Program (NFIP).

These elevations, together with the flood plain management measures required by § 60.3 (presently appearing at its former § 1910.3) of the program regulations are the minimum that are required. They should not be construed to mean the community must change any existing ordinances that are more stringent in their flood plain management requirements. The community may at any time, enact stricter requirements on its own, or pursuant to policies established by other Federal, State or regional entities.

The changes in the base (100-year) flood elevations listed below are in accordance with 44 CFR 65.4. (Presently appearing at its former Section 24 CFR 1915.4):

State	County	Location	Date and name of newspaper where notice was published	Chief executive officer of community	Effective date of modified flood insurance rate map	New community No.
Illinois	Cook	Village of Franklin Park	*The Chicago Tribune*, May 31 and June 7, 1979.	Mr. Jack B. Williams, President, Village of Franklin Park, 9545 Belmont Ave., Franklin Park, Ill. 60131.	Jan. 18, 1980...	170094C
Minnesota		Goodhue County	*Redwing Republican Eagle*, May 28 and June 5, 1979.	Mr. R. L. Tanner, Goodhue County Auditor, P.O. Box 407, Redwing, Minn. 55066.	Jan. 18, 1980...	270140B
Pennsylvania	Indiana	Borough of Homer City	*Indiana Evening Gazette*, Jan. 18 and Jan. 25, 1980.	Hon. Paul Poole, Mayor, Borough of Homer City, 30 East Wiley St., Homer City, Pa. 15748.	Jan. 25, 1980...	270140B
Do	Schuylkill	Borough of Schuylkill Haven	*The Pottsville Republican*, Jan. 7 and Jan. 11, 1980.	Mr. Charles R. Feindler, Borough Manager, Schuylkill Haven, 39 Dock St., Schuylkill Haven, Pa.	Jan. 11, 1980...	420787B
Virginia	Pulaski	Town of Brookneal	*The Union Star*, May 31 and June 6, 1979.	Hon. V. J. Lusardi, Municipal Building, Brookneal, Va. 24528.	Jan. 18, 1980...	510030C

State	County	Location	Date and name of newspaper where notice was published	Chief executive officer of community	Effective date of modified flood insurance rate map	New community No.
Nebraska	Lancaster	City of Lincoln	*Lincoln Journal, The Lincoln Star,* Feb. 4 and Feb. 5, 1980.	Hon. Helen G. Boosalis, Mayor, City of Lincoln, 555 South 10th St., Lincoln, Nebr. 68508.	Jan. 17, 1980, 1916B	315273A
North Dakota	Grand Forks	City of Grand Forks	*The Grand Forks Herald,* Jan. 18 and Jan. 25, 1980.	Hon. C. P. O'Neill, Mayor, City of Grand Forks, P.O. Box 1518, Grand Forks, N. Dak. 58201.	Jan. 25, 1980	385365–0005C, 0010C, 0015C, 0020C

(National Flood Insurance Act of 1968 (Title XIII of Housing Urban Development Act 1968), effective January 28, 1969 (33 FR 17804, November 28, 1968), as amended (42 U.S.C. 4001–4128); Executive Order 12127, 44 FR 19367; and delegation of authority to Federal Insurance Administrator, 44 FR 20963)

Issued: February 12, 1980.

Gloria M. Jimenez,

Federal Insurance Administrator.

[FR Doc. 80-8530 Filed 3-20-80; 8:45 am]

BILLING CODE 6718-03-M

DEPARTMENT OF THE INTERIOR

Fish and Wildlife Service

50 CFR Part 33

Correction of Sport Fishing Regulations for Crab Orchard National Wildlife Refuge, Ill.

AGENCY: Fish and Wildlife Service.

ACTION: Correction of special regulations.

SUMMARY: This notice corrects the sport fishing regulations for Crab Orchard National Wildlife Refuge. The hours for jug fishing in Crab Orchard Lake west of the closed area buoy line were incorrectly stated in regulations published in **Federal Register** Volume 45, Number 41 (February 28, 1980). This correction modifies the incorrect statement only; all other regulations remain as published.

DATES: Effective March 21, 1980 for the duration of calendar year 1980.

FOR FURTHER INFORMATION CONTACT:

Wayne D. Adams, Project Manager, Crab Orchard National Wildlife Refuge, P.O. Box J, Carterville, IL 62918 Telephone: (618) 997–3344

George G. P. Bekeris, Area Manager, U.S. Fish and Wildlife Service, 530 Federal Building and U.S. Court House, 316 North Robert Street, St. Paul, MN 55101 Telephone: (612) 725–7641

SUPPLEMENTARY INFORMATION: On page 13093 in the third column, the last sentence of the last full paragraph is corrected to read as follows:

§ 32.32 Special regulations; sport fishing; for individual wildlife refuge areas.

Jug fishing in Crab Orchard Lake west of the closed area buoy line is permitted from January 1, 1980, through December 31, 1980; between May 25, 1980 and September 1, 1980, jug fishing in this area is permitted only between sunset and sunrise.

George G. P. Bekeris,

Area Manager.

March 14, 1980

[FR Doc. 80-8715 Filed 3-20-80; 8:45 am]

BILLING CODE 4310-55-M

Proposed Rules

Federal Register
Vol. 45, No. 57
Friday, March 21, 1980

This section of the FEDERAL REGISTER contains notices to the public of the proposed issuance of rules and regulations. The purpose of these notices is to give interested persons an opportunity to participate in the rule making prior to the adoption of the final rules.

DEPARTMENT OF AGRICULTURE

Agricultural Marketing Service

7 CFR Part 982

Handling of Filberts Grown in Oregon and Washington; Administrative Rules and Regulations; Extension of Time for Filing Comments

AGENCY: Agricultural Marketing Service, USDA.

ACTION: Extension of time for filing comments.

SUMMARY: At the request of the Filbert Control Board, the time for filing comments regarding proposed changes under the filbert marketing order is extended from March 13 to March 31.

DATES: Written comments must be received by March 31, 1980.

ADDRESS: Written comments should be submitted in duplicate to the Hearing Clerk, Room 1077, South Building, U.S. Department of Agriculture, Washington, D.C. 20250. All written submissions will be made available for public inspection at the office of the Hearing Clerk during regular business hours.

FOR FURTHER INFORMATION CONTACT: J. S. Miller, (202) 447–5053.

SUPPLEMENTARY INFORMATION: Notice was given in the February 25, 1980 **Federal Register** (45 FR 1259) to amend Subpart—Administrative Rules and Regulations (7 CFR 982.432–982.471) by adding § 982.417 and revising §§ 982.466 and 982.468. This subpart is issued under the marketing agreement, as amended, and Order No. 982, as amended (7 CFR Part 982), regulating the handling of filberts grown in Oregon and Washington. The marketing agreement and order are collectively referred to as the "order". The order is effective under the Agricultural Marketing Agreement Act of 1937, as amended (7 U.S.C. 601—674). This action is based on a request of the Filbert Control Board to give it additional time to review the February 25 proposal.

Dated: March 18, 1980.

D. S. Kuryloski,

Deputy Director, Fruit and Vegetable Division.

[FR Doc. 80-8692 Filed 3-20-80; 8:45 am]

BILLING CODE 3410-02-M

Food Safety and Quality Service

7 CFR Part 2852

United States Standards for Grades of Major Canned Fruits [1]

AGENCY: Food Safety and Quality Service, USDA.

ACTION: Proposed rule.

SUMMARY: This document proposes to revise the standards for: canned sweet cherries, canned fruit cocktail, canned pears, canned apricots, and canned grapes; all major canned fruits packed in California. Also included is a proposal for the United States Standards for Grades of Canned Fruit Mix. These proposals are submitted at the request of the Canners League of California. Its effect would be to improve the five standards and add a new standard, i.e., canned fruit mix.

DATE: Comments must be received on or before December 12, 1980.

ADDRESSES: Written comments to: Executive Secretariat, Attn: Annie Johnson, Room 3807 South Agriculture Building, Food Safety and Quality Service, U.S. Department of Agriculture, Washington, D.C. 20250. (See also comments under Supplementary Information.)

FOR FURTHER INFORMATION CONTACT: Leon R. Cary, Processed Products Branch, Fruit and Vegetable Quality Division, Food Safety and Quality Service, U.S. Department of Agriculture, Washington, D.C. 20250 (202) 447–6193.

SUPPLEMENTARY INFORMATION:

Comments

Interested persons are invited to submit comments concerning this proposal. Written comments must be sent in duplicate to the Executive Secretariat. Comments should bear a reference to the date and page number of this issue of the **Federal Register.** All comments made pursuant to this notice will be made available for pubic inspection in the office of the Executive Secretariat during regular hours of business. All interested persons are encouraged to submit suggestions, comments, or views during the field trials of these proposed standards during the 1980 processing season.

Background

Under the U.S. standards for canned fruits, other than clingstone peaches, a procedure for grading is currently used known as variables sampling. This basic procedure consists of random sampling of the canned/frozen or dehydrated product. This procedure has been used in the processed fruit and vegetable standards since 1928 and was designed for the inspection of foods stored in public warehouses as compared with the present-day focus of grading products on processing lines in factories.

The U.S. Standards for Grades of Canned Clingstone Peaches, the largest volume canned fruit in the United States, were revised June 1, 1978. These new peach standards provide for on-line grading (Grading as the peaches are canned) or lot grading (grading after the peaches are canned). Under the peach standards, a statistical procedure for grading is used called attributes sampling. This new procedure consists of random sampling of the canned/frozen or dehydrated product while it is being processed and does not usually result in destruction of the sampled product. This offers an advantage over the old system in that it is an objective step-by-step procedure of reporting product quality continuously without waiting until the end of the production shift to determine lot acceptance based on average values.

Following initial use of the new peach standards, the Canners League of California requested that standards for all major fruit products, packed in its members' plants, be converted to the same grading procedure as clingstone peaches to avoid a dual grading system.

During 1978, several study drafts of the major fruit product standards were published for public comment. Formal and informal suggestions were received to this solicitation. Based on suggestions from interested persons, field trials of the study drafts, and the procedure used for canned clingstone peaches, the standards published in this proposed

[1] Compliance with the provisions of these standards shall not excuse failure to comply with the provisions of the Federal Food, Drug, and Cosmetic Act, or with applicable State laws and regulations.

rule reflect, as nearly as practicable, the conditions found in present-day harvesting, processing, and marketing of the major California canned fruits.

Also included in this proposed rule is a new standard for canned fruit mix, a product which has recently entered consumer markets. It is similar to canned fruit cocktail, except the expensive or artificially colored fruit ingredients which contribute to eye appeal are usually ommitted.

The proposed standards for canned fruit mix would permit the following:

1. The use of natural seedless, dark-skinned grapes which are not normally used in the more expensive fruit mixtures.

2. The use of more of the surplus fruit.

3. The use of various forms (slices, dice, quarters, segments, etc.) of fruit ingredients in a mix, thereby providing a marketing outlet for these forms without additional processing.

4. A possible savings by processors to consumers, while maintaining the same nutritional value as the more expensive fruit mixtures.

5. A uniform acceptance procedure for Government agencies which have been purchasing this type of fruit mix in recent years.

6. The marketing of canned fruit mix, without the more expensive ingredients, under a generic label which has become commonplace in most of our Nation's food markets.

Accordingly, the standards for various canned fruits would be amended as follows:

1. Sections 2852.821–2852.825 and 2852.830–2852.836 of Subpart—United States Standards for Grades of Canned Sweet Cherries would be amended, and the Table of Contents would be amended accordingly, to read as follows:

Subpart—United States Standards for Grades of Canned Sweet Cherries

Sec.

2852.821 Product description.
2852.822 Types.
2852.823 Styles.
2852.824 Definitions of terms.
2852.825 Recommended sample unit size.
* * * * *
2852.830 Grades.
2852.831 Factors of quality.
2852.832 Classification of defects.
2852.833 Tolerances for defects.
2852.834 Sample size.
2852.835 Compliance with quality requirements.
2852.836 [Reserved]

Subpart—United States Standards For Grades of Canned Sweet Cherries

§ 2852.821 Product description.

Canned sweet cherries is the product represented as defined in the Standards of Identity for canned cherries (21 CFR 145.125) and canned artificially sweetened cherries (21 CFR 145.125) and canned artificially sweetened cherries (21 CFR 145.126), issued under the Federal Food, Drug, and Cosmetic Act.

§ 2852.822 Types.

(a) "Light" type are of the light sweet varietal group which includes, but is not limited to, such varieties known as Royal Anne, Corum, and Rainier.

(b) "Dark" type are of the dark sweet varietal group which includes, but is not limited to, such varieties known as Bing, Black Republican, Schmidt, and Lambert.

§ 2852.823 Styles.

(a) *"Unpitted"* sweet cherries are stemmed cherries without the pits removed.

"Pitted" sweet cherries are stemmed cherries with the pits removed.

§ 2852.824 Definitions of terms.

(a) *Acceptable Quality Level (AQL)* means the maximum percent of defective units or the maximum number of defects per hundred units of product that, for the purpose of acceptance sampling, can be considered satisfactory as a process average.

(b) *Blemished* means any unit that is affected by scab, hail injury, or discoloration to the extent that the appearance or eating quality is affected:

(1) *Slightly*—surface blemishes having an aggregate area exceeding a circle, 7 mm (0.28 in.) in diameter, and not extending into the fruit tissue.

(2) *Materially*—blemishes having an aggregate area equal to or less than a circle, 7 mm (0.28 in.) in diameter, and extending into the fruit tissue so that the flesh is materially discolored.

(3) *Seriously*—blemishes having an aggregate area exceeding a circle, 7 mm (0.28 in.) in diameter, and extending into the fruit tissue such that the appearance or edibility is seriously affected.

(c) *Brightness* means the extent that the overall appearance of the sample unit as a mass is affected by dullness:

(1) Grade A—not affected.

(2) Grade B—slightly affected.

(3) Grade C—materially affected.

(4) Substandard—fails Grade C.

(d) *Character* refers to the fleshiness and to the tenderness and texture in relation to maturity, and to the presence of serious processing cracks (see § 2852.824(l)) in unpitted style as follows:

(1) *Good Character* means the units have a texture typical of properly prepared and processed well-matured cherries that are thick-fleshed and tender, and may be slightly flabby, but not soft.

(2) *Reasonably good character* means the untis have a texture typical of properly prepared and processed reasonably well-matured cherries that are reasonably thick-fleshed and tender, and may be slightly soft, but not excesively flabby.

(3) *Fairly good character* means the units may be slightly immature or slightly over-mature, may be lacking in thickness of flesh, and may be variable in tenderness and texture ranging from very firm to very soft.

(4) *Poor character* means the units fail the requirements for fairly good character. They may be excessively thin-fleshed, excessively hard, or excessively soft.

(e) *Color of individual units* refers to the color typical of the varietal group—either light sweet or dark sweet as follows:

(1) *Good color* means the units of light sweet cherries have a basic background color, exclusive of blush, that is a pinkish-yellow to amber color, and the blush appears as a surface color ranging from tan to tannish brown; and the units of dark sweet cherries have a basic background color that is a deep red to purple-red or purple-black.

(2) *Fairly good color* means the units of light sweet cherries have a basic background color and blush that may be variable, but not off-color; and the units of dark sweet cherries have a deep red to purple-red or purple black color that may have a grayish cast and may be variable, but not off-color.

(3) *Poor color* means the units fail to meet the requirements for fairly good color.

(f) *Damaged*—(1) *Circular cracks* or "rain checks" mean discolored splits in the skin of the unit that affect the appearance of the unit slightly, materially, or seriously.

(2) *Mutilated unit,* In unpitted syle, is a unit that is torn at the stem end, and the torn area exceds that of a circle 6 mm (0.24 in.) in diameter; or, in pitted style, is a unit that is torn to the extent the entire pit cavity is exposed.

(g) *Defect* Means any nonconformance of a unit(s) of product from a specified requirement of a single quality characteristic.

(h) *Extraneous vegetable material (EVM)*—(1) *Small pieces* mean long stems, pieces of twig not more than 25 mm (1.0 in.) in length, or leaf material, or portions thereof.

(2) *Short stem* means a portion of the stem, that attaches the cherry to the tree, which is 13 mm (0.50 in.) or less in length.

(i) *Flavor and odor.* "Normal flavor and odor" means that the canned cherries are free from objectionable flavors and odors of any kind.

(j) *Misshapen cherry* means a unit which is deformed so that the appearance is materially affected and includes, but is not limited to, a "double" cherry in unpitted style and a unit that has a cleavage (or deep furrow) with the skin unbroken at the suture and extending more than one-half of the distance from the stem cavity to the apex in both styles.

(k) *Sample unit size* means the amount of product specified to be used for inspection. It may be:

(1) the entire contents of a container;

(2) a portion of the contents of a container;

(3) a combination of the contents of 2 or more containers;

(4) a portion of unpacked product.

(l) *Serious processing crack* means a cherry that has a crack without any discoloration that is so deep as to expose the pit.

(m) *Unit* means one whole cherry, whether or not pitted, or portions which in the aggregate approximate the average size of a cherry.

§ 2852.825 Recommended sample unit size.

Compliance with requirements for factors of quality is based on the recommended sample unit size of 100 units.

§ 2852.830 Grades.

(a) "U.S. Grade A" is the quality of canned sweet cherries that:

(1) Meets the following prerequisites in which the cherries:

(i) Have similar varietal characteristics;

(ii) Have a normal flavor and odor;

(iii) Have an overall brightness of the sample unit as a mass that is not affected by dullness;

(iv) Are practically free from pit material in pitted style;

(v) Are practically free from decay;

(vi) Are practically uniform in size; and

(vii) Have a character such that the number of units which have reasonably good character and fairly good character does not exceed the following:

(A) Reasonably good character:		*Fairly good Character*
10 cherries	and	0 cherries.
7 cherries	and	3 cherries.

(B) Serious processing cracks (unpitted style only)—5 units.

(viii) Have a color such that the following AQL's are not exceeded: fairly good (2.5), poor (1.0) and total (4.0).

(2) Is within the limits for defects as classified in Table V and specified in Table VI of this subpart.

(b) "U.S. Grade B" is the quality of canned sweet cherries that:

(1) Meets the following prerequisites in which the cherries:

(i) Have similar varietal characteristics;

(ii) Have a normal flavor and odor;

(iii) Have an overall brightness of the sample unit as a mass that may be slightly affected by dullness;

(iv) Are reasonably free from pit material in pitted style;

(v) Are practically free from decay;

(vi) Are practically uniform in size; and

(vii) Have a character such that the number of units that have fairly good character and poor character does not exceed the following:

(A) Fairly good character:		*Poor character*
10 cherries	and	0 cherries.
7 cherries	and	3 cherries.

(B) Serious processing cracks (unpitted style only)—10 units.

(viii) Have a color such that the following AQL's are not exceeded: fairly good (2.5), poor (1.0) and total (4.0).

(2) Is within the limits for defects as classified in Table V and specified in Table VI of this Subpart.

(c) "U.S. Grade C" is the quality of canned sweet cherries that:

(1) Meets the following prerequisites in which the cherries:

(i) Have similar varietal characteristics;

(ii) Have a normal flavor and odor;

(iii) Have an overall brightness of the sample unit as a mass that is not more than materially affected by dullness.

(iv) Are fairly free from pit material in pitted style;

(v) Are practically free from decay;

(vi) May be variable in size;

(vii) Have a character such that the number of units that have poor character does not exceed the following:

(A) Poor—10 units;

(B) Serious processing cracks (unpitted style only)—no limit.

(viii) Have a color such that the following AQL is not exceeded: poor (5.0).

(2) Is within the limits for defects as classified in Table V and specified in Table VI of this subpart.

(d) "Substandard" is the quality of canned sweet cherries that fails to meet the requirements for U.S. Grade C.

§ 2852.831 Factors of quality.

The grade of a lot of canned sweet cherries is based on compliance with requirements for the following quality factors:

(a) *Prerequisite quality factors.* (1) Varietal characteristics;

(2) Flavor and odor;

(3) Brightness;

(4) Freedom from pit material;

(5) Freedom from decay;

(6) Uniformity of size; and

(7) Character; and

(8) Color;

(b) *Classified quality factors.* (1) Blemished;

(2) Damaged;

(3) Misshapen units; and

(4) Extraneous vegetable material.

§ 2852.832 Classification of defects.

Defects are classified as minor, major, severe, or critical. Each "X" mark in Table V represents "one (1) defect."

Table V.—*Classification of Defects*

Quality factor	Defect	Classification			
		Minor	Major	Severe	Critical
Blemished	Slightly	X			
	Materially		X		
	Seriously			X	
Damaged	Circular cracks or rain checks:				
	Slightly	X			
	Materially		X		
	Seriously			X	
	Mutilated:				
	(Each unit)	X			
Misshapen	(Each unit)		X		
Extraneous vegetable material.	Short stem (each stem)		X		
	Small piece (each piece)				X

§ 2852.833 Tolerances for defects.

Table VI.—*Pitted and Unpitted*

	Total [2]	Major	Severe	Critical
	Grade A			
AQL [1]	6.5	2.5	0.65	0.25
	Grade B			
AQL [1]	12.5	6.5	2.5	0.65
	Grade C			
AQL [1]	25.0	12.5	6.5	1.5

[1] AQL expressed as defects per hundred units.
[2] Total = Minor + Major + Severe + Critical.

§ 2852.834 Sample size.

The sample size to determine compliance with requirements of these standards shall be as specified in the sampling plans and procedures in the subpart, "Regulations Governing Inspection and Certification" of this Part 2852, "Processed Fruits and Vegetables, Processed Products Thereof, and Certain Other Processed Food Products" (7 CFR 2852.1–2852.83) for lot inspection and on-line inspection, as applicable.

§ 2852.835 Compliance with quality requirements.

(a) *Lot inspection.* A lot of canned sweet cherries is considered as meeting the requirements for quality if:

(1) The prerequisite requirements specified in § 2852.830 are met; and

(2) The Acceptable Quality Levels (AQL) in Table VI of this subpart are not exceeded.

(b) *On-line inspection.* A portion of production is considered as meeting the requirements for quality if:

(1) The prerequisite requirements specified in § 2852.830 are met; and

(2) The Acceptable Quality Levels (AQL) in Table VI of this Subpart are not exceeded.

(c) *Single sample unit.* Each unofficial sample unit submitted for quality evaluation will be treated individually and is considered as meeting the requirements for quality if:

(1) The prerequisite requirements specified in § 2852.830 are met; and

(2) The Acceptable Quality Levels (AQL) in Table VI of this Subpart are not exceeded.

§ 2852.836 [Reserved]

2. Sections 2852.1051–2852.1053 and §§ 2852.1057–2852.1065 of Subpart—United States Standards for Grades of Canned Fruit Cocktail would be amended, and the Table of Contents would be amended accordingly, to read as follows:

Subpart—United States Standards for Grades of Canned Fruit Cocktail

Sec.
2852.1051 Product description.
2852.1052 Definitions of terms.
2852.1053 Recommended sample unit size.
* * * * *
2852.1057 Proportion of fruit ingredients.
2852.1058 Grades.
2852.1059 Factors of quality.
2852.1060 Classification of defects.
2852.1061 Tolerances for defects.
2852.1062 Sample size.
2852.1063 Compliance with quality requirements
2852.1064 [Reserved]
2852.1065 [Reserved]

Subpart—United States Standards For Grades of Canned Fruit Cocktail

§ 2852.1051 Product description.

Canned fruit cocktail is the product represented as defined in the Standards of Identity for canned fruit cocktail (21 CFR 145.135) and canned artificially sweetened fruit cocktail (21 CFR 145.136) issued under the Federal Food, Drug, and Cosmetic Act.

§ 2852.1052 Definitions of terms.

(a) *Acceptable Quality Level (AQL)* means the maximum percent of defective units or the maximum number of defects per hundred units of product that, for the purpose of acceptance sampling, can be considered satisfactory as a process average.

(b) *Appearance* means the extent that the overall sample unit as a mass is affected by oxidation, pigmentation, staining, or other causes.

(1) Grade A—slightly affected.

(2) Grade B—materially affected.

(3) Substandard—fails Grade B.

(c) *Blemished* means any peach, pear, or grape unit which is affected by scab, hail injury, corky or hard spots, scar tissue, or discoloration to the extent that the appearance or eating quality is affected slightly, materially, or seriously.

(d) *Broken cherry* means any portion of a cherry that is definitely less than an apparent half, or a definitely mutilated unit.

(e) *Broken grape* means a grape that is split, spread open or crushed (without discoloration) such that the appearance is seriously affected.

(f) *Calyx unit* means any pear calyx or the equivalent in pieces of one calyx.

(g) *Capstem* means the small woody stem which attaches the grape to the main cluster.

(h) *Character* refers to the degree of ripeness, the texture, and the tenderness of the peach and pear units in the fruit cocktail as follows:

(1) *Good character* consists of peach and pear units that are pliable and may be slightly soft or firm. The pear units have at least a reasonably tender texture and may have a slight graininess and the peach units may be slightly frayed.

(2) *Fairly good character* consists of peach and pear units that are fairly tender and may be substantially firm or soft but not excessively hard, excessively soft, or mushy. The pear units may have a substantial graininess and the peach units may be frayed.

(3) *Poor character* consists of peach and pear units that fail the requirements for fairly good character.

(i) *Cherries* mean approximate halves or whole pitted styles of cherries as defined in the Standards of Identity (21 CFR 145.135) for canned fruit cocktail.

(j) *Color*—(1) *General.* Color refers to the predominant and characteristic surface color of the peach, pear, and grape units.

(2) *Individual unit color classification.*—(i) *Good color* means:

(A) *The peach units* are equal to or better than light orangish yellow.

(B) *The pear units* have a typical yellow-white to beige-white translucent color and may have a slight pink to light tan tint.

(C) *The grapes* have a bright, uniform yellowish-green to greenish-yellow color typical of well-developed light seedless grapes.

(ii) *Faily good color* means:

(A) *The peach units* fail to meet minimum color requirements for "good color" but are equal to or better than greenish-yellow.

(B) *The pear units* may have a visual chalkiness and may have a slight pink or brown cast but not a definite pink or brown color.

(C) *The grapes* have a reasonably bright uniform light green to yellowish-green color typical of reasonably well-developed light seedless grapes, and may have a slight dull color or a light brownish cast.

(iii) *Poor color* means the peach, pear, or grape units fail to meet the requirements for fairly good color.

(k) *Defect* means any nonconformance of a unit(s) of product from a specified requirement of a single quality characteristic.

(l) *Extraneous vegetable material* (EVM) means, but is not limited to, detached or attached external stems, pieces of grape vine material, pieces of twigs not more than 51 mm (2.0 in) in length, or leaf material. (Grape capstems are not considered as EVM.)

(m) *Flabby grape* means the grape skin is intact and not broken, but the interior portion of the grape is missing to the extent that the grape has a deflated or sunken appearance.

(n) *Flavor and odor.* "Normal flavor and odor" means that the canned fruit cocktail is free from objectionable flavors and odors of any kind.

(o) *Grapes* mean stemmed grapes which are prepared from seedless varietal types as defined in the Standards of Identity (21 CFR 145.135) for canned fruit cocktail.

(p) *Interior stem* means an interior pear stem or portion of an interior stem of any length that is definitely fibrous, tough, or woody and affects the eating quality of the unit.

(q) *Loose seed* means any pear seed, or the equivalent in pieces of one seed, not included in core material.

(r) *Peaches* mean peaches prepared from yellow varieties which are pitted, peeled, and diced as defined in the Standards of Identity (21 CFR 145.135) for canned fruit cocktail.

(s) *Pears* mean pears which are peeled, cored, and diced as defined in the Standards of Identity (21 CFR 145.135) for canned fruit cocktail.

(t) *Peel* means all of the outer layer of a peach or pear that is normally removed during processing.

(u) *Pineapple* means sectors or dice of peeled, and cored pineapples as defined in the Standards of Identity (21 CFR 145.135) for canned fruit cocktail.

(v) *Sample unit size* means the amount of product specified to be used for inspection. It may be:

(1) The entire contents of a container;

(2) A portion of the contents of a container;

(3) A combination of the contents of 2 or more containers; or

(4) A portion of unpacked product.

(w) *Serious processing crack* means a grape that is split to or beyond its approximate center, but is not mutilated or discolored.

(x) *Unit* means one peach dice, one pear dice, or one whole grape, or the equivalent thereof.

§ 2852.1053 Recommended sample unit size.

(a) *Factors of quality.* Compliance with requirements for factors of quality is based on the recommended sample unit size of 300 g (10.56 oz).

(b) *Proportion of fruit ingredients.* Compliance with requirements for portion of fruit ingredients is based on the recommended sample unit size of 300 g (10.56 oz).

§ 2852.1057 Proportion of fruit ingredients.

Canned fruit cocktail shall contain the fruit ingredients in the proportions indicated in Table V.

Table V

Fruit ingredient	Style	Proportion	
		Not less than	Not more than
Peaches	Diced	90 g (3.17 oz) of drained fruit	150 g (5.28 oz) of drained fruit.
Pears	Diced	75 g (2.64 oz) of drained fruit	135 g (4.75 oz) of drained fruit.
Grapes	Whole	18 g (0.63 oz) of drained fruit	60 g (2.11 oz) of drained fruit.
Pineapple	Diced or sectors	18 g (0.63 oz) of drained fruit; but not less than 2 sectors or 3 dice for each 128 g (4.5 oz) of product.	48 g (1.69 oz) of drained fruit.
Cherries	Approximate halves or whole.	6 g (0.21 oz) of drained fruit; but not less than 1 approximate half for each 128 g (4.5 oz) of product.	18 g (0.63 oz) of drained fruit.

§ 2852.1058 Grades.

(a) "U.S. Grade A" is the quality of canned fruit cocktail that:

(1) Meets the following prerequisites in which the fruit cocktail:

(i) Has a normal flavor and odor;

(ii) Has an overall appearance that is reasonably bright and is not more than slightly affected by staining, variation, or dullness;

(iii) Has pears with a color such that the weight of units that have fairly good color or poor color does not exceed the following limits:

Fairly good color		Poor color
12 grams	And	0 grams.
or		
8 grams	And	4 grams.

(iv) Is practically free from loose pear seeds;

(v) Is practically free from peach pit material;

(vi) May have an aggregate area of peel not exceeding 2.5 cm^2 (0.38 in^2 or 1 × 0.38);

(vii) Has a liquid medium that is not off-color for any reason;

(viii) Has cherries that are practically free of defects singly or in combination such that the following limits are not exceeded:

Total number of cherry units in sample unit	Uneven colored units and/or blemished units	Broken units
1 to 6	1	1
7 to 12	2	1
13 to 18	3	2
19 to 24	4	2
25 to 30	5	3
31 to 36	6	3

(ix) Has pineapple sectors or dice that meet the quality requirements of U.S. Grade B or better for canned pineapple;

(x) Has peaches and pears with a character such that the following limits are not exceeded:

Fairly good character		Poor character
22 grams	And	0 grams.
or		
19 grams	And	3 grams.

(xi) Has grapes with no more than two (2) flabby units or serious processing cracks, singly or in combination; and

(xii) Has grapes that are at least reasonably uniform in size.

(2) Is within the limits for defects as classified in Table VI and specified in Tables VII and VIII of this subpart.

(b) "U.S. Grade B" is the quality of canned fruit cocktail that:

(1) Meets the following prerequisites in which the fruit cocktail:

(i) Has a normal flavor and odor;

(ii) Has an overall appearance that is reasonably bright and is not more than slightly affected by staining, variation, or dullness;

(iii) Has pears with a color such that the weight of units that have poor color does not exceed 12 grams.

(iv) Is reasonably free from loose pear seeds;

(v) Is practically free from peach pit material;

(vi) May have an aggregate area of peel not exceeding 5.0 cm^2 (0.77 in^2 or 1 × 0.77);

(vii) Has a liquid medium that is not off-color for any reason;

(viii) Has cherries that are practically free of defects singly or in combination such that the following limits are not exceeded:

Total number of cherry units in sample unit	Uneven colored units and/or blemished units	Broken units
1 to 6	2	1
7 to 12	4	2
13 to 18	5	3
19 to 24	8	4
25 to 30	10	5
31 to 36	12	6

(ix) Has pineapple sectors or dice that meet the quality requirements of U.S. Grade C or better for canned pineapple;

(x) Has peaches and pears which contain not more than a total of 22 grams of poor character;

(xi) Has grapes with no more than four (4) flabby units or serious processing cracks, singly or in combination; and

(xii) Has grapes that may be variable in size.

(2) Is within the limits for defects as classified in table VI and specified in Tables VII and VIII of this Subpart.

(c) *"Substandard"* is the quality of canned fruit cocktail that fails to meet the requirements for U.S. Grade B.

§ 2852.1059 Factors of quality.

The grade of a lot of canned fruit cocktail is based on compliance with requirements for the following quality factors:

(a) *Prerequisite quality factors.* (1) Flavor and odor;

(2) Appearance;

(3) Individual pear unit color;

(4) Loose seeds;

(5) Pit material;

(6) Peel;

(7) Liquid medium;

(8) Quality requirements for cherry ingredients;

(9) Quality requirements for pineapple ingredients;

(10) Character of peaches and pears;

(11) Flabby grapes and/or serious processing cracks; and

(12) Uniformity of size for grapes.

(b) *Classified quality factors.* (1) Individual peach and grape unit color;

(2) Blemished;

(3) Workmanship;

(4) Broken units;

(5) Capstems; and

(6) Extraneous vegetable material.

§ 2852.1060 Classification of defects.

Defects are classified as minor, major, severe, or critical. Each "X" mark in Table VI represents "one (1) defect."

Table VI.—*Classification of Defects*

Quality factor	Defect	Classification			
		Minor	Major	Severe	Critical
	PEACHES AND PEARS				
Individual unit color (peaches only).	Fairly good (in grade A—each 3 g)	X			
	Poor (in grades A and B—each 3 g)			X	
Blemished	Slightly (each 3 g)	X			
	Materially (each 3 g)		X		
	Seriously (each 3 g)			X	
Workmanship	More than 20 mm (0.79 in) on one edge plus the units that pass through the meshes of a 5/16 in (8 mm) sieve [1] (each 3 g).	X			
	Core material (each unit)				X
	Units containing interior stem (each unit)				X
	Calyx material (each unit)				X
Extraneous vegetable material.	Each piece				X
	GRAPES				
Individual unit color	Fairly good (in Grade A only—each 3 g)		X		
	Poor (in grades A and B only—each 3 g)				X
Blemished	Slightly (each 3 g)	X			
	Materially (each 3 g)		X		
	Seriously (each 3 g)			X	
Broken unit	Seriously (each 3 g)			X	
Capstems	Attached or detached (each capstem)		X		
Extraneous vegetable material.	Each piece				X

[1] Sieve to be used is a five-sixteenths inch (5/16 in) sieve as listed in Table I of "Standard Specifications for Sieves," published March 1, 1940, in L.C. 584 of the National Bureau of Standards, U.S. Department of Commerce.

§ 2852.1061 Tolerances for defects.

Table VII.—*Peaches and Pears*

	Total [2]	Major	Severe	Critical
	Grade A			
AQL [1]	10.0	4.0	0.65	0.15
	Grade B			
AQL [1]	15.0	6.5	2.5	0.25

Table VIII.—*Grapes*

	Total [2]	Major	Severe	Critical
	Grade A			
AQL [1]	2.5	1.0	0.25	0.1
	Grade B			
AQL [1]	4.0	1.5	0.65	0.15

[1] AQL expressed as defects per hundred units.
[2] Total = Minor + Major + Severe + Critical.

§ 2852.1062 Sample size.

The sample size to determine compliance with requirements of these standards shall be as specified in the sampling plans and procedures in the subpart, "Regulations Governing Inspection Certification" of Part 2852, "Processed Fruits and Vegetables, Processed Products Thereof, and Certain Other Processed Food Products" (7 CFR 2852.1–2852.83) for lot inspection and on-line inspection, as applicable.

§ 2852.1063 Compliance with quality requirements.

(a) *Lot inspection.* A lot of canned fruit cocktail is considered as meeting the requirements for quality if:

(1) The prerequisite requirements specified in § 2852.1058 are met: and

(2) The Acceptable Quality Levels (AQL) in Tables VII and VIII are not exceeded.

(b) *On-line inspection.* A portion of production is considered as meeting the requirements for quality if:

(1) The prerequisite requirements specified in § 2852.1058 are met; and

(2) The Acceptable Quality Levels (AQL) in Tables VII and VIII are not exceeded.

(c) *Single sample unit.* Each unofficial sample unit submitted for quality evaluation will be treated individually and is considered as meeting the requirements for quality if:

(1) The prerequisite requirements specified in § 2852.1058 are met; and

(2) The Acceptable Quality Levels (AQL) in Tables VII and VIII are not exceeded.

§ 2852.1064 [Reserved]

§ 2852.1065 [Reserved]

3. Sections 2852.1611–2852.1614 and §§ 2852.1619–2852.1625 of Subpart—United States Standards for Grades of Canned Pears would be amended, and the Table of Contents would be amended accordingly, to read as follows:

Subpart—United States Standards for Grades of Canned Pears

Sec.

2852.1611 Product description.
2852.1612 Styles.
2852.1613 Definitions of terms.
2852.1614 Recommended sample unit size.
* * * * *
2852.1619 Grades.
2852.1620 Factors of quality.
2852.1621 Classification of defects.
2852.1622 Tolerances for defects.
2852.1623 Sample size.
2852.1624 Compliance with quality requirements.
2852.1625 [Reserved].

Subpart—United States Standards for Grades of Canned Pears

§ 2852.1611 Product description.

Canned pears is the product represented as defined in the Standards of Identity for canned pears (21 CFR 145.175 and 145.176) issued under the Federal Food Drug and Cosmetic Act. For the purposes of the standards in this Subpart, and unless the text indicates otherwise, the term "canned pears" includes " canned pears," "canned spices pears," and "canned artifically sweetened pears" as defined in the Standards of Identity.

(a) "Whole" are peeled, cored, or uncored, whole pears with or without stems removed.

(b) "Halves" or "Halved" are peeled, cored, and stemmed pears cut longitudinally from stem to calyx into approximate halves.

(c) "Quarters" or Quartered" are peeled, cored, and stemmed pears cut longitudinally from stem to calyx into approximate quarters.

(d) "Slices" or "Sliced" are peeled, cored, and stemmed pears cut longitudinally from stem to calyx into approximate equal wedgeshaped sectors.

(e) "Dice" or "Diced" are peeled, cored, and stemmed pears cut into approximate cubes.

(f) "Pieces or irregular pieces" are peeled, cored, and stemmed pears that are irregular in shape or size or that do not conform to any of the foregoing styles.

§ 2852.1613 Definitions of terms.

(a) *Acceptable Quality Level (AQL)* means the maximum percent of defective units or the maximum number of defects per hundred units of product that, for the purpose of acceptance sampling, can be considered satisfactory as a process average.

(b) *Appearance* means the extent that the overall uniformity of the sample unit, as a mass, is affected by slight tinting of pink or tan and by variation in translucency.

(1) Grades A and B—may be materially affected.

(2) Grade C—may be seriously affected.

(3) Substandard—fails Grade C.

(c) *Blemished* means any unit which is affected by scab, hail injury, corky or hard spots on the outer surface, or discoloration to the extent that the appearance or eating quality is affected slightly, materially, or seriously.

(d) *Calyx unit* means any whole calyx or the equivalent in pieces of one calyx.

(e) *Character* refers to the degree of ripeness, the texture, and the tenderness of the pears as follows:

(1) *Good character*—(i) *Halves; Quarters; Slices; Pieces or irregular pieces.* The units possess a tender, fleshy texture typical of properly ripened and processed pears. The units are pliable but firm enough to possess well defined edges.

(ii) *Whole.* The units possess a tender, fleshy texture typical of properly ripened and processed pears. The units are pliable but firm enough to show no visible breakdown of the flesh.

(iii) *Dice.* The units possess a tender texture typical of properly ripened and processed pears and not more than 10 grams of the product may be disintegrated or mushy.

(2) *Reasonably good character*—(i) *Whole; Halves; Quarters; Slices; Pieces or irregular pieces.* The units possess a reasonably tender, fleshy texture typical of properly ripened and processed pears. The units may possess slight graininess and may be slightly firm or soft but not mushy. Tenderness may be variable within the unit.

(ii) *Dice.* The units possess a reasonably tender texture typical of properly ripened and processed pears and not more than 20 grams of the product may be disintegrated or mushy.

(3) *Fairly good character*—(1) *Whole; Halves; Quarters; Slices; Pieces or irregular pieces.* The units possess a fairly tender texture typical of properly processed pears which may be variable. The units may possess substantial graininess and may be substantially firm or soft but not mushy.

(ii) *Dice.* The units possess a fairly tender texture typical of properly processed pears and not more than 30 grams of the product may be disintegrated or mushy.

(4) *Poor character—All styles.* The units are excessively soft or mushy or are excessively hard.

(f) *Color*—(1) *General.* The color of canned pears, other than "spiced pears," refers to the color typical of the variety and its uniformity in each unit. All surfaces of the units are to be considered.

(2) *Individual unit color classification*—(i) *Good color* means that the pear unit has a typical yellow-white to beige-white translucent color and may have a very slight pink tint to light tan tint.

(ii) *Fairly good color* means that the pear unit may have a visual chalkiness and may have a slight pink cast or brown cast but not a definite pink or brown color.

(iii) *Poor color* means a pear unit that fails to meet the requirements for fairly good color.

(g) *Core material*—(1) *Small piece* means any piece of loose core material, with or without seeds, that is less than one-half of an apparent pear core.

(2) *Large piece* means any piece of loose core material, with or without seeds, that is equal to or more than one-half of an apparent pear core.

(h) *Crushed or broken* in the styles of whole, halves, and quarters means:

(1) A unit is "crushed" if it has definitely lost its normal shape and is crushed not due to ripeness.

(2) A unit is "broken" if severed into definite parts. Any unit in halves style that is split from the edge to the core cavity is not considered broken.

(i) *Defect* means any nonconformance of a unit(s) of product from a specified requirement of a single quality characteristic.

(j) *Extraneous vegetable material* means any detached or attached external stem that attaches the pear to the twig of the pear tree, pieces of twigs not more than 51 mm (2.0 in) in length, or leaf material or portions thereof.

(k) *Flavor and odor.* "Normal flavor and odor" means that the canned pears are free from objectionable flavors and odors of any kind.

(l) *Interior stem* means an interior stem or portion of an interior stem of any length that is definitely fibrous, tough, or woody and affects the eating quality of the unit.

(m) *Loose seed* means any pear seed, or the equivalent in pieces of one seed, not included in core material.

(n) *Mechanical damage.*—(1) *Partial slice* in the style of slices is a unit that has had a semblance of a slice with respect to thickness and shape but is less than three-fourths of an apparent full slice and that does not bear marks of crushing. Pieces are reassembled to equal an average full size slice and counted as one unit.

(2) *Trim* means a unit in the styles of whole, halves, or quarters that is trimmed to the extent that its shape is affected moderately or seriously.

(o) *Partially cored unit* means a whole, half, quarter, slice, or piece of pear with only part of the core material removed.

(p) *Peel* means all of the outer layer of the pear that is normally removed during processing.

(q) *Sample unit size* means the amount of product specified to be used for inspection. It may be:

(1) The entire contents of a container;

(2) A portion of the contents of a container;

(3) A combination of the contents of 2 or more containers; or

(4) A portion of unpacked product.

(r) *Slab,* in the style of slices, means an irregularly shaped unit resulting from the slicing operation that materially deviates from the normal shape of a wedge shaped sector.

(s) *Uncored unit* means a whole, half, quarter, slice or piece of pear with none of the core material removed.

(t) *Unit* means one whole, half, quarter, slice dice, or piece of pear as applicable for the style.

§ 2852.1614 Recommended sample unit size.

Compliance with requirements for factors of quality is based on the following sample unit sizes for the respective style.

(a) Whole—25 units.

(b) Halves; Quarters—25 units.

(c) Slices—50 units or 100 units.

(d) Dice—200 grams (7 ounces).

(e) Pieces or irregular pieces—1,000 grams (35.3 ounces).

§ 2852.1619 Grades.

(a) "U.S. Grade A" is the quality of canned pears that:

(1) Meets the following prerequisites in which the pears:

(i) Have similar varietal characteristics;

(ii) Have a normal flavor and odor;

(iii) Have an overall appearance of the sample unit as a mass that is not more than materially affected by slight tinting or variation;

(iv) Have a color such that the number or weight of units that have fairly good color or poor color does not exceed the following limits:

Style	Fairly good color		Poor color
Whole	3 pears	and	0 pears.
Halves	3 halves	and	0 halves.
Quarters	3 quarters	and	0 quarters.
Slices (100 units)	10 slices	and	0 slices.
Slices (50 units)	5 slices	and	0 slices.
Dice	20 grams	and	0 grams.
Pieces or irregular pieces.	100 grams	and	0 grams.

(v) Are practically free from loose seeds;

(vi) Are practically free from crushed and broken units in the styles of whole, halves, and quarters;

(vii) Do not exceed the aggregate area of peel specified for the style as follows:

(A) Whole peeled—10.5 cm^2 (1.68 in^2 or 1 x 1.68);

(B) Halves—8.0 cm^2 (1.28 in^2 or 1 x 1.28);

(C) Quarters—4.0 cm^2 (0.64 in^2 or 1 x .64);

(D) Slices—50 count—4.0 cm^2 (0.64 in^2 or 1 x .64); 100 count—8.0 cm^2 (1.28 in^2 or 1 x 1.28);

(E) Dice—1.0 cm^2 (0.16 in^2 or 1 x .16);

(F) Pieces or irregular pieces—5.0 cm^2 (0.8 in^2 or 1 x .8);

(viii) Have a character such that the number or weight of units that have reasonably good or fairly good character does not exceed the following limits:

Style	Reasonably good character		Fairly good character
Whole	3 pears	and	0 pears.
	or		
	2 pears	and	1 pear.
Halves	3 halves	and	0 halves.
	or		
	2 halves	and	1 half.
Quarters	3 quarters	and	0 quarters.
	or		
	2 quarters	and	1 quarter.
Slices (100 units)	10 slices	and	0 slices.
	or		
	7 slices	and	3 slices.
Slices (50 units)	5 slices	and	0 slices.
	or		
	3 slices	and	2 slices.
Dice	20 grams	and	0 grams.
	or		
	13 grams	and	7 grams.
Pieces or irregular pieces.	100 grams	and	0 grams.
	or		
	66 grams	and	34 grams.

(2) Is within the limits for defects as classified in Table VIII and specified in Tables IX, X, XI, XII, XIII, XIV, or XV.

(b) "U.S. Grade B" is the quality of canned pears that:

(1) Meets the following prerequisites in which the pears:

(i) Have similar varietal characteristics;

(ii) Have a normal flavor and odor;

(iii) Have an overall appearance of the sample unit as a mass that is not more than materially affected by slight tinting or variation;

(iv) Have a color such that the number or weight of units that have fairly good color or poor color does not exceed the following limits:

Style	Fairly good color		Poor color
Whole	3 pears	and	0 pears.
	or		
	2 pears	and	1 pear.
Halves	3 halves	and	0 halves.
	or		
	2 halves	and	1 half.
Quarters	3 quarters	and	0 quarters.
	or		
	2 quarters	and	1 quarter.
Slices (100 units)	10 slices	and	0 slices.
	or		
	7 slices	and	3 slices.
Slices (50 units)	5 slices	and	0 slices.
	or		
	3 slices	and	2 slices.
Dice	20 grams	and	0 grams.
	or		
	13 grams	and	7 grams.
Pieces or irregular pieces.	100 grams	and	0 grams.
	or		
	66 grams	and	34 grams.

(v) Are reasonably free from loose seeds;

(vi) Are practically free from crushed and broken units in the styles of whole, halves, and quarters;

(vii) Do not exceed the aggregate area of peel specified for the style as follows:

(A) Whole peeled—21.0 cm^2 (3.36 in^2 or x 3.36);

(B) Halves—16.0 cm^2 (2.56 in^2 or 1 x 2.56);

(C) Quarters—8.0 cm^2 (1.28 in^2 or 1 x 1.28);

(D) Slices—50 count-8.0 cm^2 (1.28 in^2 or 1 x 1.28); 100 count-16.0 cm^2 (2.56 in^2 or 1 x 2.56);

(E) Dice—2.0 cm^2 (0.32 in^2 or 1 x 0.32);

(F) Pieces or irregular pieces—10.0 cm^2 (1.6 in^2 or 1 x 1.6);

(viii) Have a character such that the number or weight of units that have fairly good or poor character does ot exceed the following limits:

Style	Fairly good character		Poor character
Whole	3 pears	and	0 pears.
	or		
	2 pears	and	1 pear.
Halves	3 halves	and	0 halves.
	or		
	2 halves	and	1 half.
Quarters	3 quarters	and	0 quarters.
	or		
	2 quarters	and	1 quarter.
Slices (100 units)	10 slices	and	0 slices.
	or		
	7 slices	and	3 slices.
Slices (50 units)	5 slices	and	0 slices.
	or		
	3 slices	and	2 slices.
Dice	20 grams	and	0 grams.
	or		
	13 grams	and	7 grams.
Pieces or irregular pieces.	100 grams	and	0 grams.
	or		
	66 grams	and	34 grams.

(2) Is within the limits for defects as classified in Table VIII and specified in Tables IX, X, XI, XII, XIII, XIV, or XV.

(c) "U.S. Grade C" is the quality of canned pears that:

(1) Meets the following prerequisites in which the pears:

(i) Have similar varietal characteristics;

(ii) Have a normal flavor and odor;

(iii) Have an overall appearance of the sample unit as a mass that is not more than seriously affected by slight tinting and variation;

(iv) Have a color such that the number or weight of units that have poor color does not exceed the following limits:

Style:	*Poor color*
Whole	3 pears.
Halves	3 halves.
Quarters	3 quarters.
Slices (100 units)	10 slices.
Slices (50 units)	5 slices.
Dice	20 grams.
Pieces or irregular pieces	100 grams.

(v) Are fairly free from loose seeds;

(vi) Are practically free from crushed and broken units in the styles of whole, halves, and quarters;

(vii) Do not exceed the aggregate area of peel specified for the style as follows:

(A) Whole peeled—42.0 cm^2 (6.72 in^2 or 1 x 6.72);

(B) Halves—32.0 cm^2 (5.12 in^2 or 1 x 5.12);

(C) Quarters—16.0 cm^2 (2.56 in^2 or 1 x 2.56);

(D) Slices—50 count—16.0 cm^2 (2.56 in^2 or 1 x 2.56); 100 count—32.0 cm^2 (5.12 in^2 or 1 x 5.12);

(E) Dice—4.0 cm^2 (0.64 in^2 or 1 x .64);

(F) Pieces or irregular pieces—20.0 cm^2 (3.2 in^2 or 1 x 3.2);

(viii) Have a character such that the number or weight of units that have poor character does not exceed the following limits:

Style:	*Poor character*
Whole	3 pears.
Halves	3 halves.
Quarters	3 quarters.
Slices (100 units)	10 slices.
Slices (50 units)	5 slices.
Dice	20 grams.
Pieces or irregular pieces	100 grams.

(2) Is within the limits for defects as classified in Table VIII and specified in Tables IX, X, XI, XII, XIII, XIV, or XV.

(d) "Substandard" is the quality of canned pears that fails to meet the requirements for U.S. Grade C.

§ 2852.1620 Factors of quality.

The grade of a lot of canned pears is based on compliance with requirements for the following quality factors:

(a) *Prerequisite quality factors.* (1) Varietal characteristics;

(2) Flavor and odor;

(3) Appearance;

(4) Individual unit color;

(5) Loose seeds;

(6) Crushed or broken units;

(7) Peel;

(8) Character.

(b) *Classified quality factors.* (1) Workmanship;

(2) Blemished;

(3) Uniformity of size;

(4) Mechanical damage;

(5) Extraneous vegetable material.

§ 2852.1621 Classification of defects.

Defects are classified as minor, major, severe, or critical. Each "X" mark in Table VIII represents "one (1) defect."

Table VIII.—*Classification of Defects*

Quality factor	Defect	Classification			
		Minor	Major	Severe	Critical
	WHOLE, UNCORED				
Blemished	Slightly	X			
	Materially		X		
	Seriously			X	
Uniformity of size	Excessive variation (each unit)	X			
Mechanical damage	Moderate trim (grades A and B only)	X			
Extraneous [1] vegetable material.	Each piece				X
	HALVES, QUARTERS, AND WHOLE CORED				
Blemished	Slightly	X			
	Materially		X		
	Seriously			X	
Uniformity of size	Excessive variation (each unit)	X			
Mechanical damage	Moderate trim (grades A and B only)	X			
Workmanship	Uncored units (with or without seeds)			X	
	Partially cored units (with or without seeds)	X			
	Loose core material (with or without seeds):				
	Each small piece	X			
	Each large piece		X		
	Interior stem		X		
	Calyx material (each unit)				X
Extraneous vegetable material.	Each piece				X
	SLICES				
Blemished	Slightly	X			
	Materially		X		
	Seriously			X	
Mechanical damage	Partial Slice	X			
Workmanship	Uncored units (with or without seeds)			X	
	Partially cored units (with or without seeds)	X			
	Loose core material (with or without seeds):				
	Each small piece		X		
	Each large piece			X	
	Interior stem		X		
	Slabs			X	
	Calyx material (each unit)				X
Extraneous vegetable material.	Each piece				X
	DICE				
Blemished	Materially (each 8 g)		X		
	Seriously (each 8 g)			X	
Workmanship	Units more than 20 mm (0.79 in) on one edge plus the units that pass through the meshes of a 5/16 in (8mm) sieve [2] (each 8 g).	X			
	Loose core material (any size—each unit)			X	
	Units containing interior stem (each unit)			X	
	Uncored units (each unit)			X	
	Calyx material (each unit)				X
Extraneous vegetable material.	Each piece				X
	PIECES OR IRREGULAR PIECES				
Blemished	Slightly (each 40 g)	X			
	Materially (each 40 g)		X		
	Seriously (each 40 g)			X	
Workmanship	Uncored units—with or without seeds (each unit)			X	
	Partially cored units—with or without seeds (each unit).	X			
	Loose core material (with or without seeds):				
	Each small piece	X			
	Each large piece		X		
	Interior stem (each unit)		X		
	Calyx material (each unit)				X
Extraneous vegetable material.	Each piece				X

[1] Stems are not counted as EVM in the style of Whole, Uncored with stems.

[2] Sieve to be used is a five-sixteenths inch (5/16 in) sieve as listed in Table I of "Standard Specifications for Sieves," published March 1, 1940, in L.C. 584 of the National Bureau of Standards, U.S. Department of Commerce.

§ 2852.1622 Tolerances for defects.

Table IX.—*Serious Trim: Whole, Halves, Quarters*

	Grade A	Grade B	Grade C
AQL [1]	0.40	0.65	6.5

Table X.—*Loose Seeds*

	Grade A	Grade B	Grade C
Whole, Halves, Quarters			
AQL [2]	8.5	20.0	33.0
Slices			
AQL [2]	2.5	5.0	8.5
Dice			
AQL [2]	1.0	1.5	2.5
Pieces or Irregular Pieces			
AQL [2]	2.5	8.5	15.0

[1] AQL expressed as percent defective.
[2] AQL expressed as defects per hundred units.

Table XI.—*Whole, Uncored*

	Total [3]	Major	Severe	Critical
Grade A				
AQL [1]	10.0	2.5	1.0	0.40
Grade B				
AQL [1]	20.0	6.5	2.5	0.65
Grade C				
AQL [1]	25.0	12.5	6.5	1.0

Table XII.—*Whole Cored; Halves; Quarters*

	Total [3]	Major	Severe	Critical
Grade A				
AQL [1]	15.0	6.5	2.5	0.65
Grade B				
AQL [1]	25.0	12.5	5.0	1.0
Grade C				
AQL [1]	40.0	20.0	8.5	2.5

Table XIII.—*Sliced*

	Total [3]	Major	Severe	Critical
Grade A				
AQL [1]	12.5	5.0	2.5	0.15
Grade B				
AQL [1]	25.0	12.5	5.0	0.25
Grade C				
AQL [1]	40.0	20.0	12.5	0.65

Table XIV.—*Dice*

	Total [3]	Major	Severe	Critical
Grade A				
AQL [1]	12.5	6.5	2.5	0.40
Grade B				
AQL [1]	20.0	12.5	6.5	0.65
Grade C				
AQL [1]	25.0	15.0	12.5	1.0

Table XV.—*Pieces or Irregular Pieces*

	Total [3]	Major	Severe	Critical
Grade A				
AQL [1]	10.0	6.5	2.5	0.65
Grade B				
AQL [1]	25.0	10.0	6.5	1.0
Grade C				
AQL [1]	40.0	25.0	10.0	2.5

[1] AQL expressed as defects per hundred units.
[3] Total = Minor + Major + Severe + Critical.

§ 2852.1623 Sample size.

General. The sample size to determine compliance with the requirements of these standards shall be as specified in the sampling plans and procedures in the subpart, "Regulations Governing Inspection and Certification" of this Part 2852, "Processed Fruits and Vegetables, Processed Products Thereof, and Certain Other Processed Food Products" (7 CFR 2852.1–2852.83) for lot inspection and on-line inspection, as applicable.

§ 2852.1624 Compliance with quality requirements.

(a) *Lot inspection.* A lot of canned pears is considered as meeting the requirements for quality if:

(1) The prerequisite requirements specified in § 2852.1619 are met;

(2) The Acceptable Quality Levels (AQL) in Tables IX through XV, as applicable for the style, are not exceeded.

(b) *On-line inspection.* A portion of production is considered as meeting the requirements for quality if:

(1) The prerequisite requirements specified in § 2852.1619 are met;

(2) The Acceptable Quality Levels (AQL) in Tables IX through XV, as applicable for the style, are not exceeded.

(c) *Single sample unit.* Each unofficial sample unit submitted for quality evaluation will be treated individually and is considered as meeting the requirements for quality if:

(1) The prerequisite requirements specified in § 2852.1619 are met;

(2) The Acceptable Quality Levels (AQL) in Tables IX through XV, as applicable for the style, are not exceeded.

§ 2852.1625 [Reserved]

4. Sections 2852.2641–2852.2644 and §§ 2852.2649–2852.2657 of Subpart—United States Standards for Grades of Canned Apricots would be amended, and the Table of Contents would be amended accordingly, to read as follows:

Subpart—United States Standards for Grades of Canned Apricots

Sec.

2852.2641 Product description.
2852.2642 Styles.
2852.2643 Definitions of terms.
2852.2644 Recommended sample unit size.
* * * * *
2852.2649 Grades.
2852.2650 Factors of quality.
2852.2651 Classification of defects.
2852.2652 Tolerances for defects.
2852.2653 Sample size.
2852.2654 Compliance with quality requirements.
2852.2655 [Reserved]
2852.2656 [Reserved]
2852.2657 [Reserved]

Subpart—United States Standards for Grades of Canned Apricots

§ 2852.2641 Product description.

Canned apricots is the product represented as defined in the Standards of Identity for canned apricots (21 CFR 145.115 and 145.116) issued under the Federal Food, Drug, and Cosmetic Act. For the purposes of the standards in this Subpart, and unless the text indicates otherwise, the term "canned apricots" includes "canned spiced apricots" and "artificially sweetened canned apricots" as defined in the Standards of Identity.

§ 2852.2642 Styles.

(a) "Whole" are unpitted whole apricots with stems removed.

(b) "Halved" or "Halves" are pitted apricots cut approximately in half along the suture from stem to apex.

(c) "Quartered" or "Quarters" are halved apricots cut into two approximately equal parts.

(d) "Sliced" or "Slices" are apricots cut into thin sectors or strips.

(e) "Pieces or irregular pieces" are cut apricot units that are predominantly irregular in size and shape which do not conform to a single style, or which are a mixture of two or more of such styles.

(f) When apricots are "peeled" or "unpeeled," the name of the style is preceded or followed by the applicable word "peeled" or "unpeeled."

§ 2852.2643 Definitions of terms.

(a) *Acceptable Quality Level (AQL)* means the maximum percent of defective units or the maximum number of defects per hundred units of product that, for the purpose of acceptance sampling, can be considered satisfactory as a process average.

(b) *Blemished* means any unit which is affected by scab, hail injury, or discoloration to the extent that the appearance or eating quality is affected slightly, materially, or seriously.

(c) *Brightness* means the extent that the overall appearance of the sample unit as a mass is dulled by oxidation or other causes.

(1) Grades A and B—not affected.

(2) Grade C—materially affected.

(3) Substandard—fails Grade C.

(d) *Character* refers to the texture and tenderness of the apricots as follows:

(1) *Good character* means the units have at least a reasonably uniform, tender, and fleshy texture typical of properly ripened and processed apricots and may be soft to slightly firm but not mushy. The units are not more than slightly ragged.

(2) *Fairly good character* means the units have a texture typical of properly processed apricots; the units may be fairly fleshy and fairly tender and may lack uniformity of tenderness. The units may be very soft and ragged with frayed edges or may be very firm.

(3) *Poor character* means the units are excessively soft or mushy, or are excessively firm and may be lacking in fleshiness and tenderness.

(e) *Color*—(1) *General.* The color of canned apricots other than canned "spiced" apricots refers to the predominant and characteristic color on the surface of whole units and the outer uncut surfaces of other units. In canned spiced apricots the color shall be normal.

(2) *Individual unit color classification*—(i) *Good color* means the units have a typical apricot yellow to orange color characteristic of well-matured apricots. The units may have insignificant pale yellow areas but are free from any off-color.

(ii) *Reasonably good color* means the units have a typical color characteristic of reasonably well-matured apricots, and are not more than materially affected by pale yellow or light greenish yellow areas.

(iii) *Fairly good color* means that the units have a typical color characteristic of fairly well-matured apricots and may be pale yellow and have a brownish cast due to oxidation or other causes. The units may be not more than seriously affected by greenish-yellow or light green areas.

(iv) *Poor color* means the units fail to meet the requirements for fairly good color.

(f) *Crushed or broken* in the style of whole, halves, and quarters means:

(1) A unit is "crushed" if it has definitely lost its normal shape and is crushed not due to ripeness;

(2) A unit is "broken" if severed into definite parts. Any unit in halves style that is split or mashed from the edge to the pit cavity is not considered broken. Any unit of whole, peeled apricots that is mashed or very soft due to ripeness to the extent that the pit cavity is exposed or the pit is missing is not considered as being crushed or broken. Two or more portions of broken units are reassembled to approximate one full size unit and counted as one unit.

(g) *Defect* means any nonconformance of a unit(s) of product from a specified requirement of a single quality characteristic.

(h) *Extraneous vegetable material.*—(1) *Small pieces* mean long stems, pieces of twigs not more than 51 mm (2.0 in) in length, or leaf material or portions thereof.

(2) *Short stem* means the woody stem which attaches the apricot to the twig of the tree and is 3 mm (0.12 in) to 10 mm (0.39 in) in length. Dark brown stems less than 3 mm (0.12 in) in length are also considered as short stems.

(i) *Flavor and odor.* "Normal flavor and odor" means that the canned apricots are free from objectionable flavors and odors of any kind.

(j) *Mechanical damage.*—(1) *Detached piece* in the styles of halves and

quarters is a piece which has the appearance of a slice resulting from an off-suture cut or other improper cutting.

(2) *Off-suture cut* in the styles of halves and quarters is a unit which has been cut at a distance from the suture greater than 7 mm (0.28 in) at the widest measurement and the appearance is affected:

(i) Not more than slightly;

(ii) Materially; or

(iii) Seriously.

(3) *Partially detached piece* in the styles of halves and quarters is a piece which has the appearance of a slice resulting from an off-suture cut or other improper cutting. The defect is attached to the half or quarter from which cut, but must be detached more than one-third of the length of the half or quarter along the suture approximately paralled with the suture.

(k) *Peel* means all of the outer layer of the apricot that may or may not be removed during processing.

(l) *Sample unit size* means the amount of product specified to be used for inspection. It may be:

(1) The entire contents of a container;

(2) A portion of the contents of a container;

(3) A combination of the contents of 2 or more containers; or

(4) A portion of unpacked product.

(m) *Unit* means one whole, half, quarter, slice, or piece of apricot as applicable for the style.

§ 2852.2644 Recommended sample unit size.

Compliance with requirements for factors of quality is based on the following sample unit sizes for the respective style.

(a) Whole—25 units.

(b) Halves—50 units.

(c) Quarters—50 units.

(d) Slices—100 units.

(e) Pieces or irregular pieces—1,000 grams (35.3 ounces).

§ 2852.2649 Grades.

(a) *"U.S. Grade A"* is the quality of canned apricots that:

(1) Meets the following prerequisites in which the apricots:

(i) Have similar varietal characteristics;

(ii) Have a normal flavor and odor;

(iii) Have an overall brightness of the sample unit as a mass that is not affected by dullness;

(iv) Are practically free from pit material except for whole style;

(v) Are practically free from loose pits for whole style;

(vi) Are practically free from crushed and broken units in the styles of whole, halves, and quarters;

(vii) Are practically free from extraneous vegetable material;

(viii) Do not exceed the aggregate area of peel specified for the peeled styles as follows:

(A) Whole; halves; and pieces or irregular pieces—3.0 cm^2 (0.5 in^2 or 1 x 0.5);

(B) Quarters—1.5 cm^2 (0.25 in^2 or 1 x 0.25);

(C) Slices—1.5 cm^2 (0.25 in^2 or 1 x 0.25);

(ix) Have a character such that the number or weight of units that have fairly good character does not exceed the following;

(A) Whole—1 unit;

(B) Halves—3 units;

(C) Quarters—3 units;

(D) Slices—5 units;

(E) Pieces or irregular pieces—50 grams.

(2) Is within the limits for defects as classified in Table IV and specified in Tables V, VI, or VII.

(b) *"U.S. Grade B"* is the quality of canned apricots that:

(1) Meets the following prerequisites in which the apricots:

(i) Have similar varietal characteristics;

(ii) Have a normal flavor and odor;

(iii) Have an overall brightness of the sample unit as a mass that is not affected by dullness;

(iv) Are practically free from pit material except for whole style;

(v) Are reasonably free from loose pits for whole style;

(vi) Are practically free from crushed and broken units in the styles of whole, halves, and quarters;

(vii) Are reasonably free from extraneous vegetable material;

(viii) Do not exceed the aggregate area of peel specified for the peeled styles as follows:

(A) Whole; halves; and pieces or irregular pieces—6.0 cm^2 (1.0 in^2 or 1 x 1.0);

(B) Quarters—3.0 cm^2 (0.5 in^2 or 1 x 0.5);

(C) Slices—3.0 cm^2 (0.5 in^2 or 1 x 0.5);

(ix) Have a character such that the number or weight of units that have fairly good character does not exceed the following:

(A) Whole—3 units;

(B) Halves—5 units;

(C) Quarters—5 units;

(D) Slices—10 units;

(E) Pieces or irregular pieces—100 grams.

(2) Is within the limits for defects as classified in Table IV and specified in Tables V, VI, or VII.

(c) *"U.S. Grade C"* is the quality of canned apricots that:

(1) Meets the following prerequisites in which the apricots:

(i) Have similar varietal characteristics;

(ii) Have a normal flavor and odor;

(iii) Have an overall brightness of the sample unit as a mass that is not more than materially affected by dullness;

(iv) Are practically free from pit material except in whole style;

(v) Are reasonably free from loose pits in whole style;

(vi) Are practically free from crushed and broken units in the styles of whole, halves, and quarters;

(vii) Are fairly free from extraneous vegetable material;

(viii) Do not exceed the aggregate area of peel specified for the peeled styles as follows:

(A) Whole; halves; and pieces or irregular pieces—9.0 cm^2 (1.5 in^2 or 1x1.5);

(B) Quarters—4.5 cm^2 (0.75 in^2 or 1x0.75);

(C) Slices—4.5 cm^2 (0.75 in^2 or 1x0.75);

(ix) Have a character such that the number or weight of units that have poor character does not exceed the following:

(A) Whole—3 units;

(B) Halves—5 units;

(C) Quarters—5 units;

(D) Slices—10 units;

(E) Pieces or irregular pieces—100 grams.

(2) Is within the limits for defects as classified in Table IV and specified in Tables V, VI, or VII.

(d) *"Substandard"* is the quality of canned apricots that fails to meet the requirements for U.S. Grade C.

§ 2852.2650 Factors of quality.

The grade of a lot of canned apricots is based on compliance with requirements for the following quality factors:

(a) *Prerequisite quality factors.*

(1) Varietal characteristics;

(2) Flavor and odor;

(3) Brightness;

(4) Pit material (all styles except whole);

(5) Loose pits (whole style only);

(6) Crushed or broken units;

(7) Extraneous vegetable material;

(8) Peel;

(9) Character.

(b) *Classified quality factors.* (1) Individual unit color;

(2) Uniformity of size;

(3) Blemished;

(4) Mechanical damage.

§ 2852.2651 Classification of defects.

Defects are classified as minor, major, severe, or critical. Each "X" mark in Table IV represents "one (1) defect."

Table IV.—*Classification of Defects*

Quality factor	Defect	Classification			
		Minor	Major	Severe	Critical
	WHOLE				
Individual unit color	Reasonably good color (grade A only)	X			
	Fairly good color (grades A and B only)			X	
	Poor (grades A, B, and C)				X
Uniformity of size	Excessive variation	X			
Blemished	Slightly	X			
	Materially		X		
	Seriously			X	
	HALVES, QUARTERS				
Individual unit color	Reasonably good color (grade A only)	X			
	Fairly good color (grades A and B only)			X	
	Poor (grades A, B, and C)				X
Uniformity of size	Excessive variation	X			
Blemished	Slightly	X			
	Materially		X		
	Seriously			X	
Mechanical damage	Off-suture:				
	Slightly	X			
	Materially		X		
	Seriously			X	
	Partially detached piece	X			
	Detached piece		X		
	SLICES				
Individual unit color	Reasonably good color (grade A only)	X			
	Fairly good color (grades A and B only)			X	
	Poor (grades A, B, and C)				X
Bleminished	Slightly	X			
	Materially		X		
	Seriously			X	
	PIECES OR IRREGULAR PIECES				
Individual unit color	Reasonably good color (grade A only—each 40 g)	X			
	Fairly good color (grades A and B—each 40 g)			X	
	Poor (grades A, B, and C—each 40 g)				X
Bleminished	Slightly (each 40 g)	X			
	Materially (each 40 g)		X		
	Seriously (each 40 g)			X	

§ 2852.2652 Tolerances for defects.

Table V.—*Whole, Pieces, or Irregular Pieces*

	Total [1]	Major	Severe	Critical
	Grade A			
AQL [2]	15.0	6.5	2.5	0.65
	Grade B			
AQL [2]	25.0	12.5	10.0	2.5
	Grade C			
AQL [2]	50.0	25.0	15.0	10.0

Table VI.—*Halves, Quarters*

	Total [1]	Major	Severe	Critical
	Grade A			
AQL [2]	12.5	6.5	2.5	0.40
	Grade B			
AQL [2]	25.0	12.5	6.5	1.5
	Grade C			
AQL [2]	50.0	25.0	12.5	6.5

Table VII.—*Slices*

	Total [1]	Major	Severe	Critical
	Grade A			
AQL [2]	8.5	4.0	2.5	0.40
	Grade B			
AQL [2]	20.0	8.5	6.5	1.5
	Grade C			
AQL [2]	25.0	12.5	8.5	6.5

[1] Total = Minor + Major + Severe + Critical.
[2] AQL expressed as defects per hundred units.

§ 2852.2653 Sample size.

The sample size to determine compliance with requirements of these standards shall be as specified in the sampling plans and procedures in the subpart, "Regulations Governing Inspection and Certification" of this Part 2852, "Processed Fruits and Vegetables, Processed Products Thereof, and Certain Other Processed Food Products" (7 CFR 2852.1–2852.83) for lot inspection and on-line inspection, as applicable.

§ 2852.2654 Compliance with quality requirements.

(a) *Lot inspection.* A lot of canned apricots is considered as meeting the requirements for quality if:

(1) The prerequisite requirements specified in § 2852.2649 are met;

(2) The Acceptable Quality Levels (AQL) in Tables V, VI, and VII, as applicable for the style, are not exceeded.

(b) *On-line inspection.* A portion of production is considered as meeting the requirements for quality if:

(1) The prerequisite requirements specified in § 2852.2649 are met;

(2) The Acceptable Quality Levels (AQL) in Tables V, VI, and VII, as applicable for the style, are not exceeded.

(c) *Single sample unit.* Each unofficial sample unit submitted for quality evaluation will be treated individually and is considered as meeting the requirements for quality if:

(1) The prerequisite requirements specified in § 2852.2649 are met;

(2) The Acceptable Quality Levels (AQL) in Tables V, VI, and VII, as applicable for the style, are not exceeded.

§ 2852.2655 [Reserved]

§ 2852.2656 [Reserved]

§ 2852.2657 [Reserved]

5. Sections 2852.4021–2852.4023 and §§ 2852.4028–2852.4034 of Subpart—United States Standards for Grades of Canned Grapes would be amended, and the Table of Contents would be amended accordingly, to read as follows:

Subpart—United States Standards for Grades of Canned Grapes

Sec.
2852.4021 Product description.
2852.022 Definitions of terms.
2852.4023 Recommended sample unit size.
2852.4028 Grades.
2852.4029 Factors of quality.
2852.4030 Classification of defects.
2852.4031 Tolerances for defects.
2852.4032 Sample size.
2852.4033 Compliance with quality requirements.
2852.4034 [Reserved]

Subpart—United States Standards for Grades of Canned Grapes

§ 2852.4021 Product description.

Canned grapes is the product represented as defined in the Standards of Identity for canned grapes (21 CFR 145.140) issued under the Federal Food, Drug, and Cosmetic Act. For the purposes of the standards in this subpart, canned grapes are prepared

from the light seedless varietal type and are stemmed.

§ 2852.4022 Definition of terms.

(a) *Acceptable Quality Level (AQL)* means the maximum percent of defective units or the maximum number of defects per hundred units of product that, for the purpose of acceptance sampling, can be considered satisfactory as a process average.

(b) *Blemished* means any unit which is affected by scab, scar tissue, or discoloration on or in the grape unit to the extent that the appearance or eating quality is affected slightly, materially, or seriously.

(c) *Brightness* means the extent that the overall appearance of the sample unit as a mass is dulled by oxidation or other causes.

(1) Grade A—may be slightly affected.

(2) Grade B—may be materially affected.

(3) Substandard—fails Grade B.

(d) *Broken* means any unit that is split, spread open, or crushed (without discoloration) such that the appearance or eating quality is seriously affected.

(e) *Capstem* means the small woody stem which attaches the grape to the main cluster.

(f) *Color.*—(1) *General.* The color of canned grapes other than spiced or artificially colored grapes refers to the predominant and characteristic color of the outer surface of the individual units. The color of canned spiced or artificially colored grapes shall be typical of the respective type of pack.

(2) *Individual unit color classification.—(i) Good color* means the grapes have a bright, uniform, yellowish-green to greenish-yellow color typical of well-developed light seedless grapes.

(ii) *Fairly good color* means the grapes have a fairly bright uniform light green to yellowish-green color typical of fairly well-developed light seedless grapes, and may have a slightly dull color or a light brownish cast.

(iii) *Poor color* means the grapes fail to meet the requirements for fairly good color.

(g) *Defect* means any nonconformance of a unit(s) of product from a specified requirement of a single quality characteristic.

(h) *Extraneous vegetable material (EVM)* means any vegetable substance (other than capstems) common to the grape vine that is harmless.

(i) Flabby means the grape skin is intact and not broken, but the interior portion of the grape is missing to the extent that the grape has a deflated or sunken appearance.

(j) *Flavor and odor.* "Normal flavor and odor" means that the canned grapes are free from objectionable flavors and odors of any kind.

(k) *Sample unit size* means the amount of product specified to be used for inspection. It may be:

(1) The entire contents of a container;

(2) A portion of the contents of a container;

(3) A combination of the contents of 2 or more containers; or

(4) A portion of unpacked product.

(l) *Serious processing crack* means a grape that is split to or beyond its approximate center but is not mutilated or discolored.

(m) *Unit* means one whole grape or portions which in the aggregate approximate the average size of a grape.

§ 2852.4023 Recommended sample unit size.

Compliance with requirements for factors of quality is based on the recommended sample unit size of 100 units.

§ 2852.4028 Grades.

(a) "U.S. Grade A" is the quality of canned grapes that:

(1) Meets the following prerequisites in which the grapes:

(i) Have similar varietal characteristics;

(ii) Have a normal flavor and odor;

(iii) Have an overall brightness of the sample unit as a mass that may be slightly affected by dullness due to improper processing;

(iv) Are at least fairly uniform in size;

(v) Have no more than six (6) flabby units or serious processing cracks, singly or in combination.

(2) Is within the limits for defects as classified in Table IV and specified in Table V.

(b) "U.S. Grade B" is the quality of canned grapes that:

(1) Meets the following prerequisites in which the grapes:

(i) Have similar varietal charactistics;

(ii) Have a normal flavor and odor;

(iii) Have an overall brightness of the sample unit as a mass that may be materially affected by dullness due to improper processing;

(iv) May be variable in size;

(v) Have no more than 14 flabby units or serious processing cracks, singly or in combination.

(2) Is within the limits for defects as classified in Table IV and specified in Table V.

(c) "Substandard" is the quality of canned grapes that fails to meet the requirements for U.S. Grade B.

§ 2852.4029 Factors of quality.

The grade of a lot of canned grapes is based on compliance with requirements for the following quality factors:

(a) *Prerequisite quality factors.* (1) Varietal characteristics;

(2) Flavor and odor;

(3) Brightness;

(4) Uniformity of size;

(5) Flabby units and serious processing cracks.

(b) Classified quality factors. (1) Individual unit color;

(2) Blemished;

(3) Broken units;

(4) Capstems;

(5) Extraneous vegetable material.

§ 2852.4030 Classification of defects.

Defects are classified as minor, major, severe, or critical. Each "X" mark in Table IV represents "one (1) defect."

Table IV.—*Classification of Defects*

Quality factor	Defect	Classification			
		Minor	Major	Severe	Critical
Individual unit color	Fairly good (grade A only)	X			
	Poor (grades A or B)				X
Blemished	Slightly	X			
	Materially		X		
	Seriously			X	
Capstems	Attached or detached (each capstem)		X		
Broken units	(Each unit)			X	
Extraneous vegetable material	Each piece				X

§ 2852.4031 Tolerances for defects.

Table V

	Total [1]	Major	Severe	Critical
	Grade A			
AQL [2]	12.5	4.0	1.5	0.15
	Grde B			
AQL [2]	20.0	10.0	5.0	1.5

[1] Total = Minor + Major + Severe + Critical.
[2] AQL expressed as defects per hundred units.

§ 2852.4032 Sample size.

The sample size to determine compliance with requirements of these standards shall be as specified in the sampling plans and procedures in the subpart "Regulations Governing Inspection and Certification" of this Part 2852, "Processed Fruits and Vegetables, Processed Products Thereof, and Certain Other Processed Food Products" (7 CFR 2852.12852.83) for lot inspection and on-line inspection, as applicable.

§ 2852.4033 Compliance with quality requirements.

(a) *Lot inspection.* A lot of canned grapes is considered as meeting the requirements for quality if:

(1) The prerequisite requirements specified in § 2852.4028 are met;

(2) The Acceptable Quality Levels (AQL) in Table V are not exceeded.

(b) *On-line inspection.* A portion of production is considered as meeting the requirements for quality if:

(1) The prerequisite requirements specified in § 2852.4028 are met;

(2) The acceptable Quality Levels (AQL) in Table II are not exceeded.

(c) *Single sample unit.* Each unofficial sample unit submitted for quality evaluation will be treated individually and is considered as meeting the requirements for quality if:

(1) The prerequisite requirements specified in § 2852.4028 are met;

(2) The Acceptable Quality Levels (AQL) in Table V are not exceeded.

§ 2852.4034 [Reserved]

6. A new Subpart—United States Standards for Grades of Canned Fruit Mix, §§ 2852.6491 through 2852.6503, would be added, and the Table of Contents would be amended accordingly, to read as follows:

Subpart—United States Standards for Grades of Canned Fruit Mix

Sec.
2852.6491 Product description.
2852.6492 Definitions of terms.
2852.6493 Recommended sample unit size.
2852.6494 [Reserved]
2852.6495 [Reserved]
2852.6496 [Reserved]
2852.6497 Proportion of fruit ingredients.
2852.6498 Grades.
2852.6499 Factors of quality.
2852.6500 Classification of defects.
2852.6501 Tolerances for defects.
2852.6502 Sample size.
2852.6503 Compliance with quality requirements.

Subpart—United States Standards for Grades of Canned Fruit Mix

§ 2852.6491 Product description.

Canned fruit mix is the product commonly prepared from, but is not limited to, two or more of the following fruit ingredients: Peaches, pears, and grapes. It does not meet the requirements of any other standardized product, such as, but not limited to, canned fruit cocktail or canned fruits for salad. The mixture may be packed with any safe and suitable ingredients permissible unde the Federal Food, Drug, and Cosmetic Act.

§ 2852.6492 Definitions of terms.

(a) *Acceptable Quality Level (AQL)* means the maximum percent of defective units or the maximum number of defects per hundred units of product that, for the purpose of acceptance sampling, can be considered satisfactory as a process average.

(b) *Appearance* means the extent that the overall samply unit as a mass is affected by oxidation, pigmentation, staining, or other causes.

(1) Grade A—slightly affected;

(2) Grade B—materially affected;

(3) Substandard—fails Grade B.

(c) *Blemished* means any fruit unit which is affected by scab, hail injury, corky or hard spots, scar tissue, or discoloration to the extent that the appearance or eating quality is affected slightly, materially, or seriously.

(d) *Broken unit* means a fruit unit that is split, spread open, crushed, or mutilated (without discoloration) such that the appearance is seriously affected.

(e) *Calyx unit* means a fruit calyx that is whole or an aggregate of calyx pieces that would collectively equal one whole calyx.

(f) *Capstem* means the small woody stem that attaches the grape or berry to the main cluster.

(g) *Character.*—(1) *General.* Character refers to the degree of ripeness, the texture, and the tenderness of the fruit units.

(2) *Individual unit character classification.*—(i) *Good character* means that the fruit units meet the definition of good character for the individual ingredients except pears, which may have reasonably good character.

(ii) *Fairly good character* means that the fruit units at least meet the definition of fairly good character for the individual ingredients.

(iii) *Poor character* means that the fruit units fail the requirements for fairly good character.

(h) *Color.*—(1) *General.* Color refers to the predominant and characteristic color of the fruit units.

(2) *Individual unit color classification.*—(i) *Good color* means that the fruit units meet the definition of good color for the individual ingredients.

(ii) *Fairly good color* means that the fruit units at least meet the definition of fairly good color for the individual ingredients.

(iii) *Poor color* means that the fruit units fail the requirements for fairly good color.

(i) *Defect* means any nonconformance for a unit(s) of product from a specified requirement of a single quality characteristic.

(j) *Extraneous vegetable material* means, but is not limited to, pieces of vine, detached or attached external stems (grape capstems are not considered as extraneous vegetable material), pieces of twig not more than 51 mm (2.0 in) in length, or leaf material.

(k) *Flabby grape* means the grape skin in intact and not broken, but the interior portion of the grape is missing to the extent that the grape has a deflated or sunken appearance.

(l) *Flavor and odor.* "Normal flavor and odor" means that the canned fruit mix is free from objectionable flavors and odors of any kind.

(m) *Grapes* means stemmed grapes that are prepared from light or dark seedless varietal types.

(n) *Interior stem* means an interior fruit stem or portion of an interior stem of any length that is definitely fibrous, tough, or woody and that affects the eating quality of the fruit unit.

(o) *Loose seed* means any fruit seed, or the equivalent in pieces of one seed, not included in core material.

(p) *Peaches* means peach units prepared from yellow varieties that have been peeled and pitted. These units may be halves, quarters, slices, dice, or irregular pieces.

(q) *Pears* mean pear units which have been peeled and cored. These units may be halves, quarters, slices, dice, or irregular pieces.

(r) *Peel* means the outer layer of a fruit that is normally removed during processing.

(s) *Sample unit size* means the amount of product specified to be used for inspection. It may be:

(1) The entire contents of a container;

(2) A portion of the contents of a container;

(3) A combination of the contents of 2 or more containers; or

(4) A portion of unpacked product.

(t) *Serious processing crack* means a whole fruit unit that is split to or beyond its approximate center, but is not mutilated or discolored.

(u) *Unit* means one whole, half, quarter, slice, dice, piece, or sector of any applicable fruit ingredient.

§ 2852.6493 Recommended sample unit size.

Compliance with the requirements for factors of quality and proportion of fruit ingredients is based on the recommended sample unit size of 300 g (10.56 oz).

§§ 2852.6494 through 2852.6496 [Reserved]

§ 2852.6497 Proportion of fruit ingredients.

Canned fruit mix ingredients may consist of a combination of two or more suitable fruits of any proportion: *Provided,* That no single fruit ingredient in a 300 g (10.56 oz) sample unit exceeds 180 g (6.34 oz).

§ 2852.6498 Grades.

(a) *"U.S. Grade A"* is the quality of canned fruit mix that:

(1) Meets the following prerequisites in which the fruit mix:

(i) Has a normal flavor and odor;

(ii) Has an overall appearance that is reasonably bright and is not more than slightly affected by staining or dullness;

(iii) Has pears, if present, with a color such that the weight of units that have fairly good color or poor color does not exceed the following limits:

Fairly good color	Poor color
15 grams or 12 grams	0 grams. 3 grams.

(iv) Is practically free from loose seeds;

(v) Is practically free from pit material;

(vi) May have an aggregate area of peel not exceeding 2.5 cm^2 (0.38 in^2 or 1 x 0.38);

(vii) Has a liquid medium that is not off-color for any reason;

(viii) Has fruit units with a character such that the following limits are not exceeded:

Fairly good character	Poor character
30 grams or 26 grams	0 grams. 4 grams.

(ix) Has grapes, if present, with no more than three flabby units or serious processing cracks, singly or in combination.

(2) Is within the limits for defects as classified in Table IV and specified in Table V.

(b) *"U.S. Grade B"* is the quality of canned fruit mix that:

(1) Meets the following prerequisites in which the fruit mix:

(i) Has a normal flavor and odor;

(ii) Has an overall appearance that is reasonably bright and is not seriously affected by staining or dullness;

(iii) Has pears, if present, with a color such that the weight of units that have poor color does not exceed 15 grams;

(iv) Is reasonably free from loose seeds;

(v) Is practically free from pit material;

(vi) May have an aggregate area of peel not exceeding 5.0 cm^2 (0.77 in^2 or 1 x 0.77);

(vii) Has a liquid medium that is not off-color for any reason;

(viii) Has a character such that the fruit does not contain more than 30 grams of poor character;

(ix) Has grapes, if present, with no more than six flabby units or serious processing cracks, singly or in combination.

(2) Is within the limits for defects as classifed in Table IV and specified in Table V.

(c) "Substandard" is the quality of canned fruit mix that fails to meet the requirements for U.S. Grade B.

§ 2852.6499 Factors of quality.

The grade of a lot of canned fruit mix is based on compliance with requirements for the following quality factors:

(a) *Prerequisite quality factors.* (1) Flavor and odor;

(2) Appearance;

(3) Individual pear unit color;

(4) Loose seeds;

(5) Pit material;

(6) Peel;

(7) Liquid medium;

(8) Character;

(9) Flabby grapes and/or serious processing cracks.

(b) *Classified quality factors.* (1) Individual fruit unit color (other than pear units);

(2) Blemished;

(3) Workmanship;

(4) Broken units;

(5) Capstems;

(6) Extraneous vegetable material.

§ 2852.6500 Classification of defects.

Defects are classified as minor, major, severe, or critical. Each "X" mark in Table IV represents "one (1) defect."

Table IV.—*Classification of Defects*

Quality factor	Defect	Classification			
		Minor	Major	Severe	Critical
Individual unit color (all fruits except pears).	Fairly good (in grade A only—each 3 g)		X		
	Poor (in grades A and B—each 3 g)			X	
Blemished	Slightly (each 3 g)	X			
	Materially (each 3 g)		X		
	Seriously (each 3 g)			X	
Broken units	(Each 3 g)	X			
Workmanship	Core material—with or without seeds (each unit)				X
	Units containing interior stem (each unit)				X
	Calyx material (each unit)				X
	Capstem—attached or detached (each stem)			X	
Extraneous vegetable material.	Each piece				X

§ 2852.6501 Tolerances for defects.

Table V

	Total [2]	Major	Severe	Critical
	Grade A			
AQL [1]	12.5	6.5	1.5	0.25
	Grade B			
AQL [1]	20.0	8.5	5.0	0.65

[1] AGL expressed as defects per hundred units.
[2] Total = Minor + Major + Severe + Critical.

§ 2852.6502 Sample size.

The sample size to determine compliance with requirements of these standards shall be as specified in the sampling plans and procedures in the subpart, "Regulations Governing Inspection and Certification" of Part 2852, "Processed Fruits and Vegetables, Processed Products Thereof, and Certain Other Processed Food Products" (7 CFR 2852.1–2852.83) for lot inspection and on-line inspection, as applicable.

§ 2852.6503 Compliance with quality requirements.

(a) *Lot inspection.* A lot of canned fruit mix is considered as meeting the requirements for quality if:

(1) The prerequisite requirements specified in § 2852.6498 are met;

(2) The Acceptable Quality Levels (AQL) in Table V are not exceeded.

(b) *On line inspection.* A portion of production is considered as meeting the requirements for quality if:

(1) The prerequisite requirements specified in § 2852.6498 are met;

(2) The Acceptable Quality Levels (AQL) in Table V are not exceeded.

(c) *Single sample unit.* Each unofficial sample unit submitted for quality evaluation will be treated individually and is considered as meeting the requirements for quality if:

(1) The prerequisite requirements specified in § 2852.6498 are met;

(2) The Acceptable Quality Levels (AQL) in Table V are not exceeded.

(Secs. 203 and 205, 60 Stat. 1087, 1090, as amended (7 U.S.C. 1622, 1624))

This proposal has been reviewed under the USDA criteria established to implement Executive Order 12044, "Improving Government Regulations," and has been classified "significant." An Approved Draft Impact Analysis is available from Mr. Leon R. Cary, Processed Products Branch, Fruit and Vegetable Quality Division, Food Safety and Quality Service, U.S. Department of Agriculture, Washington, DC 20250.

Done at Washington, D.C. on March 12, 1980.

Carol Tucker Foreman,

Assistant Secretary for Food and Consumer Services.

[FR Doc. 80-8173 Filed 3-20-80; 8:45 am]

BILLING CODE 3410-DM-M

Animal and Plant Health Inspection Service

9 CFR Part 51

Brucellosis Indemnity

AGENCY: Animal and Plant Health Inspection Service, USDA.

ACTION: Proposed rule.

SUMMARY: This document proposes amendments to the regulations governing the payment of indemnities for cattle destroyed because of brucellosis. This proposal would allow Federal indemnities for cattle destroyed because of brucellosis to move with the market. This action is necessary to encourage closer cooperation between livestock owners and the brucellosis eradication program officials.

DATE: Comments on or before April 21, 1980.

ADDRESS: Comments to Deputy Administrator, USDA, APHIS, VS, Federal Building, Room 805, Hyattsville, MD 20782.

FOR FURTHER INFORMATION CONTACT: Dr. A. D. Robb, USDA, APHIS, VS, Federal Building, Room 805, Hyattsville, MD 20782, 301-436-8713.

SUPPLEMENTARY INFORMATION: Notice is hereby given in accordance with the administrative procedure provisions in 5 U.S.C. 553, that pursuant to the provisions of Secs. 3, 4, 5, 11, and 13, 23 Stat. 32 as amended; Secs. 1 and 2, 32 Stat. 791-792, as amended; Sec. 3, 33 Stat. 1265, as amended; Sec. 3, 76 Stat. 130 (21 U.S.C. 111-113, 114, 114a, 114a-1, 120, 121, 125, 134b; 37 FR 28464; 28477; 38 FR 19141) the Animal and Plant Health Inspection Service is considering amending Part 51, Title 9, Code of Federal Regulations.

Brucellosis is a contagious, infectious, and communicable disease which affects animals and man and is caused by bacteria of the genus *Brucella.* A Cooperative State-Federal Brucellosis Eradication Program to eliminate brucellosis from cattle and swine of the United States is being carried out in each of the various states. Among the tools being used to eradicate brucellosis in the various states is the testing of cattle and swine herds for brucellosis with identification and destruction of affected animals.

The Secretary of Agriculture has authority (21 U.S.C. 114a) to indemnify owners of animals for losses caused by the destruction of brucellosis affected or exposed animals. Presently, an indemnity payment of $50 for nonregistered beef cattle, $150 for nonregistered dairy cattle, $250 for registered cattle, and $25 for exposed female calves is the maximum which may be paid under the applicable regulations (9 CFR Part 51), except that indemnity payments for all nonregistered cattle in Alaska, Hawaii, Puerto Rico, and the Virgin Islands may not exceed $150.

With inflation and other causes of market fluctuations, flat rate indemnities may fail to provide the necessary incentive to producers to cooperate in management practices proven necessary to eliminate brucellosis from a herd or from areas.

This proposal would amend the regulations to permit indemnity payments for cattle with the exception of exposed female calves, to move with fair market values and would eliminate the system presently in effect for cattle. Under present § 51.3, the Deputy Administrator may authorize the Department to pay indemnity to owners whose cattle are destroyed because of brucellosis. However, the Deputy Administrator's authorization is limited by top dollar amounts per head which may be paid for different categories of cattle. The present regulations are not as effective as they could be because inflation periodically requires that the Department amend the regulations to raise the top dollar amount. Also, there is no established procedure for factoring in regional differences in market value and other indemnity payments given to owners by States. Propose § 51.3(a) (1) and (2) would base Federal indemnity payments on average fair market replacement value rather than upon maximum dollar amounts per animal. Publications of the Agricultural Marketing Service, United States Department of Agriculture, would be the source for determining average fair market replacement value for all nonregistered cattle. The fair market replacement value for registered cattle would be the average price reported by registered breed associations.

Federal indemnity for brucellosis reactor cattle in proposed § 51.3(a)(1) would not exceed the difference between 90 percent of the average fair market replacement value of cattle destroyed because of brucellosis and the average fair market slaughter value plus any State indemnity. The Department believes that an indemnity payment calculated to return 90 percent of the average fair market replacement value of such cattle is sufficient to insure the cooperation of livestock owners with the brucellosis eradication program.

Proposed § 51.3(a)(2) would vary the percentage of the average fair market replacement value which would be returned for cattle destroyed because of brucellosis in whole herd depopulation situations. The percentage would vary depending upon the program status achieved by the state as published in title 9, Code of Federal Regulations, Part 78. For those states listed in § 78.20(a) as entirely Certified Brucellosis-Free Areas, the indemnity would be calculated to not exceed a return of 95 percent; for other areas listed in § 78.20(b) as Certified Brucellosis-Free Areas and areas listed in § 78.21 as Modified Certified Brucellosis Areas, the indemnity would be calculated to not exceed a return of 90 percent; and for areas listed in § 78.22 as noncertified areas, the indemnity would be calculated to not exceed a return of 80 percent. The varying percentages should offer greater inducement to owners of infected herds in Certified Brucellosis-Free Areas and Modified Certified Brucellosis Areas to eliminate the few sources of the disease which may exist in such areas.

Exposed female calves are not required by state authority to be destroyed. Such destruction is voluntary

but does contribute to eradication of brucellosis, since it is known that a small percentage of these animals infected at birth or an early age do remain infected for life. There is not an established market for these calves by which a fair market value can be determined precisely. Therefore, based on information received from knowledgeable persons, it appears that the maximum flat rates of $50 for nonregistered calves and $250 for registered calves under present market conditions, would serve as an incentive to owners to destroy these calves of questionable health status. Proposed § 51.3(a)(3) would, therefore, increase the indemnity for such female exposed calves from $25 per head to $50 per head for nonregistered calves and $250 per head for registered calves.

Proposed § 51.3(a) would contain proposed footnote 4 which would set forth the criteria which the Deputy Administrator uses to determine whether to authorize Federal indemnity payments at maximum rates. The Deputy Administrator would authorize payment of Federal indemnity by the Department at maximum rates: (a) As long as sufficient funds appropriated by Congress appear to be available for this purpose for the remainder of the fiscal year; (b) in states or areas not under Federal quarantine; (c) in states requesting payment of Federal indemnity; and (d) in states not requesting a lower rate.

Naturally, the Deputy Administrator cannot authorize payment of Federal indemnity or indemnity at maximum rates unless Congress has appropriated adequate funds for this purpose. Also, if such funds are not available, the Department wishes to retain the option of reducing the rate of indemnity in order to be able to continue the program for the entire year. States or areas which are under Federal quarantine are no longer considered to be in the cooperative brucellosis program under which payment of Federal indemnity is authorized pursuant to 21 U.S.C. 114a. Further, under the brucellosis eradication cooperative program, states in some instances have requested that there be no payment or a lower payment of Federal indemnity to owners of animals destroyed because of brucellosis in the state. The Deputy Administrator would honor such requests. Foremost among the reasons such requests may be made would be the need for states to pay the indemnity to maintain their part of the congressional requirement for them to contribute at least 40 percent of the funds expended on the brucellosis program.

Proposed § 51.3(a) would contain proposed footnote 5 which would set forth a list of documents which would be accepted as proof of destruction of an animal by a Veterinarian in Charge. Such proof must be furnished to the Veterinarian in Charge prior to payment of indemnity. The Department has found that the following documents constitute reasonably reliable evidence that an animal has been or will be destroyed: (a) Post-mortem reports; (b) meat inspection certifications of slaughter; (c) written statement by a state representative, Veterinary Services representative, or accredited veterinarian attesting to the destruction of the animal; (d) a written sworn statement by the owner or caretaker of the animal attesting to the destruction of the animal, and (e) a permit (VS Form 1–27) consigning the animal from a farm or livestock market directly to a recognized slaughtering establishment as defined in § 78.1(dd) of this chapter. The Veterinarian in Charge may, in unique situations where the documents listed above are not available, accept other similarly reliable forms of proof of destruction.

Proposed § 51.3(a) would contain proposed footnote 6 which would set forth the factors which the Deputy Administrator will consider when determining whether total herd depopulation under proposed § 51.3(a)(2) would be appropriate. Total herd depopulation is voluntary and will only be considered after the owner of a herd requests that his brucellosis infected or exposed herd be depopulated. Such a request will only be approved by the Deputy Administrator if he determines that such herd depopulation will contribute to the advancement of the total brucellosis eradication program. The primary factors which the Deputy Administrator would consider in determining whether herd depopulation will advance the total brucellosis eradication program are the following:

(a) The risk of exposure of disease to other herds. The Deputy Administrator will consider the risk of spread of brucellosis from the herd considered for depopulation to clean herds. The higher the risk of the spread of brucellosis to such herds, the greater the necessity for herd depopulation. In this regard, the Deputy Administrator will consider the proximity of other herds and the density of brucellosis infection in the area.

(b) Amount of infection in the herd revealed on the initial test. The higher the rate of brucellosis infection in the herd, the greater the necessity for total herd depopulation. The Department believes that if a high percentage of animals are found to be brucellosis reactors in the initial test, a removal of reactors will not necessarily eliminate the disease in the herd because these reactors probably have infected other animals. Further, if the infection rate is extremely high, the difference in cost between total herd depopulation and removal of brucellosis reactors and retesting later may be minimal.

(c) The percentage of recent abortions within the herd. A high percentage of abortions within a herd would indicate that there is a high rate of brucellosis infection. In these instances, herd depopulation is a more effective method of removing the disease from the area than is removal of brucellosis reactors.

(d) The number of tests conducted revealing brucellosis reactors. If a herd is tested several times and brucellosis reactors are revealed on each test and removed after each test, this would indicate that there is a high rate of infection in the herd and that continued testing and removal of brucellosis reactors may not eliminate the disease from the herd. In these situations, herd depopulation would be considered by the Deputy Administrator.

The above-listed factors are the primary factors which will be considered by the Deputy Administrator in determining whether herd depopulation will contribute to the advancement of the total brucellosis eradication program. Unique situations may arise in which other factors would be considered.

Other alternatives were considered. (A) Make no revision. The Department believes that this would not be responsive to the problem. (B) Annually revise the rate of indemnity. The Department believes that this alternative would result in indemnity rates which are too low or too high, in some instances, and would result in a program which is less effective than one in which indemnity rates are responsive to market trends. Further, periodically amending the regulations to revise the rates would be time consuming and may not be responsive to market trends. (C) Also considered was essentially the same proposal as presented but with one extremely important difference. In this alternative, actual indemnity would have been determined by an appraisal of each animal, by determining state indemnity or other compensation received for each animal and then calculating Federal indemnity to give the owner 90 percent of the appraisal value. This appraisal procedure was not selected for these reasons: (1) Experience has shown consistent over

appraisals which result in the affected herd owner receiving 100 percent or more of the actual value of the animal. This destroys much of the incentive for the herd owner to cooperate in eradication of brucellosis. (2) Experience has also shown that the Federal Government spent in excess of $6 for each animal just to process claims under the appraisal system. This $6 is nonproductive in eradicating brucellosis. (3) Under the appraisal system, claims are delayed a minimum of 2 weeks over flat rate claims with most being closer to 4 weeks. This also destroys incentive for the herd owner to cooperate in eradicating brucellosis. (4) Collection of salvage information places burdens on market operators and slaughtering establishments for which there is no compensation. The cooperation of these members of the livestock industry in other facets of the program is of paramount importance to the eradication of brucellosis. Continued requesting of uncompensated services destroys the essential cooperativeness of these members of the livestock industry.

Also considered as a part of the alternative selected were various percentages of return. The percentage rates selected were considered high enough to permit affected herd owners to remain in business and have an incentive to eradicate brucellosis from the herd. Lower percentages considered, which, while saving Federal indemnity money, would make owners reluctant to follow effective program standards. Higher percentages considered would be expected to create indifference on the part of the owner to eradicating brucellosis, since his losses would be small, and the expense and inconvenience of cooperation greater than the expected benefits of brucellosis eradication.

With the proposal presented here, the cost of obtaining market data from USDA's Market News Service publications and from registered breed associations will be minimal. Data on state indemnity payments is kept current by reports available. Computing data for each state with modern equipment already in use can be figured in hours if not minutes for any time period desired. Notification of each state by administrative letter of the indemnity rates also takes only a few minutes. One calculation estimates the proposed method may cost only .2 of a cent per animal indemnified in comparison to the known cost of an appraisal system in excess of $6 per animal indemnified.

It is anticipated that by reducing the financial losses incurred by livestock owners whose animals are destroyed, cooperation as well as herd management practices will be greatly improved and eradication efforts will be expedited. It is further anticipated that herd owners will be more confident that participation in the program will not cause financial ruin since the indemnity will be keyed to move with the market and with changes in state funding.

Present § 51.3(a)(3) provides that except as provided in present § 51.3(a)(4) the Deputy Administrator may authorize the payment of Federal indemnity by the Department to any owner whose cattle are destroyed because of exposure to brucellosis. This proposal would delete present § 51.3(a)(3). This section has rarely been used and has never been considered an integral part of the brucellosis indemnity program.

Proposed § 51.1(cc) would define the term "average fair market replacement value." This term would be used in the formulas for payment of Federal indemnity which may be found in proposed § 51.3(a) (1) and (2). The average fair market replacement value is broken down between nonregistered and registered cattle because of the different sources from which prices and weights are received by the Department.

Proposed § 51.1(cc)(1) would define the average fair market replacement value for nonregistered cattle in a state as the arithmetic average price and weight as determined from publications of the Agricultural Marketing Service (AMS), United States Department of Agriculture for the State for beef breeds and dairy breeds during the previous calendar year quarter. Therefore, if during the first calendar year quarter AMS publishes replacement prices of beef cattle in Florida three times as 45, 50, and 55 dollars per hundred weight then the arithmetic average price would be 50 dollars per hundred weight. If during the first calendar year quarter, AMS publishes the average weight of beef cattle sold in Florida twice as 898 and 902 pounds, then the arithmetic average weight would be 900 pounds. The average fair market replacement value would then be 450 dollars for beef cattle in Florida. This average fair market replacement value would be used for Florida beef cattle during the second calendar year quarter.

Proposed § 51.1(cc)(2) would define the average fair market replacement value for registered cattle as the arithmetic average price of such cattle during the previous calendar year as reported by the registered breed association. These values would be arrived at in the same manner as described above for nonregistered animals except that there would be no breakdown by State or by beef versus dairy breed or by weight. Further, the average fair market replacement value which would be used would be for the previous calendar year rather than the previous calendar year quarter. The Department believes these differences are necessary because registered breed associations often do not have members scattered throughout the country sufficient to provide state-by-state prices. Further, small registered breed associations would not be able to report price more than on a yearly basis.

Proposed § 51.1(dd) would define the term "average fair market slaughter value." This term would be used in the formulas for payment of Federal indemnity which may be found in proposed § 51.3(a) (1) and (2). The average fair market slaughter value would be defined as the arithmetic average price and weight of cattle slaughtered within a state as determined from publications of and for the state during the previous calender year quarter. These values would be arrived at in the same manner as described above for the average fair market replacement value of nonregistered animals. There would, however, be no breakdown between beef and dairy breeds since slaughter value for these two breeds is generally the same.

Proposed § 51.1(ee) would define the term "registered breed association." This term would be used in the definition of average fair market replacement value which may be found in proposed § 51.1(cc)(2). Registered breed associations would be defined as those associations formed and perpetuated for the maintenance of records of purebreeding of animal species for a specific breed whose characteristics are set forth in Constitutions, By-Laws, or other Rules. Presently, breeders submit applications for animals of pure breeding to such associations. The association determines eligibility of such animals for registration or recordation in the association. If an animal meets the requirements set forth in an association's Constitution, By-Laws, or other rules, such animal is registered in the Official Herd Book or other recordkeeping format and a certificate of registration or recordation which individually identifies that animal is issued. Proposed § 51.1(ee) would also list purebred breed associations in the United States as are found in the definitve three volume works of John E. Rouse entitled "World Cattle."

Accordingly, Part 51, Title 9, Code of Federal Regulations, would be amended in the following respects:

1. Section 51.1 would be amended to add new definitions and footnotes to read as follows:

§ 51.1 Definitions.

* * * * *

(cc) *Average fair market replacement value.*—(1) Nonregistered cattle—The average arithmetic price and weight as determined from publications of the Agricultural Marketing Service (AMS), United States Department of Agriculture for the state for beef breeds and dairy breeds during the previous calendar year quarter.[3]

(2) Registered cattle—The arithmetic average price of such cattle during the previous calendar year as reported by the registered breed association.

(dd) *Average fair market slaughter value.* The arithmetic average price and weight of cattle slaughtered within a state as determined from publications of the Agricultural Marketing Service (AMS), United States Department of Agriculture for the state during the previous calendar year quarter.[3]

(ee) *Registered breed association.* An association formed and perpetuated for the maintenance of records of purebreeding of animal species for a specific breed whose characteristics are set forth in Constitutions, By-Laws, and other rules of the association. The records maintained by such an association shall include an Official Herd Book or other recordkeeping format and Certificates of Registration or Recordation which identify an animal as a registered animal of that Registered Breed Association. Known registered breed associations are: American Angus Association, American Brahman Breeders Association, American Chianina Association, American Hereford Association, American International Charolais Association, American Maine-Anjou Association, American Polled Hereford Association, American Red Poll Association, American Murray Grey Association, American Scotch Highland Breeders Association, American Shorthorn Association, Beefmasters Breeders Universal, Devon Cattle Association, Inc., International Brangus Breeders Association, Inc., Red Angus Association of America, Santa Gertrudis Breeders International, Texas Longhorn Breeders Association of America, American Guernsey Cattle Club, American Jersey Cattle Club, American Milking Shorthorn Society, Ayreshire Breeders Association, Brown Swiss Cattle Breeders Association of USA, Dutch Belted Cattle Association of America, Inc., Holstein-Freisian Association of America, Galloway Cattle Society of America, American Galloway Breeders Association, Galloway Performance International, The Belted Galloway Society, The Sussex Cattle Association of American, North American Limousin Foundation, and American Simmental Association.

2. In § 51.3, paragraph (a) would be amended to read as follows:

§ 51.3 Payment to owners for animals destroyed.

(a) *Cattle*—(1) *Brucellosis reactor cattle.* The Deputy Administrator may authorize[4] the payment of Federal indemnity by the Department to owners whose cattle are destroyed as brucellosis reactors. Federal indemnity for such cattle shall not exceed the difference between 90 percent[4] of the average fair market replacement value and the average fair market slaughter value plus State indemnity. Prior to payment of indemnity, proof of destruction[5] shall be furnished to the Veterinarian in Charge.

(2) *Herd depopulations.* The Deputy Administrator may authorize[4] the payment of Federal indemnity by the Department to any owner whose herd of cattle is depopulated[6] because of infection with or exposure to brucellosis. Federal indemnity for such cattle from States which are entirely Certified Brucellosis Free (as listed in § 78.20(a)) shall not exceed the difference between 95 percent[4] of the average fair market replacement value and the average fair market slaughter value plus State indemnity. Federal indemnity for such cattle from areas other than whole States which are Certified Brucellosis Free Areas (as listed in § 78.20(b)) and Modified Certified Brucellosis Areas (as listed in § 78.21) shall not exceed the difference between 90 percent[4] of the average fair market replacement value and the average fair market slaughter value plus State indemnity. Federal indemnity for such cattle from noncertified areas (as listed in § 78.22) shall not exceed the difference between 80 percent[4] of the average fair market replacement value and the average fair market slaughter value plus State indemnity. Prior to payment of indemnity, proof of destruction[5] shall be furnished to the Veterinarian in Charge.

(3) *Exposed female calves.* The Deputy Administrator may authorize[4] the payment of Federal indemnity by the Department to any owner whose exposed female calf or calves are destroyed because of brucellosis. The indemnity for such animals shall not exceed $50 per head[4] for nonregistered calves and $250[4] for registered calves. Prior to payment of indemnity, proof of destruction[5] shall be furnished to the Veterinarian in Charge.

§ 51.6 [Amended]

3. In § 51.6, footnotes 3 and 4 would be renumbered 7 and 8 respectively.

* * * * *

All written submissions made pursuant to this notice will be made available for public inspection at the Federal Building, 6505 Belcrest Road, Hyattsville, Maryland, during regular hours of business (8 a.m. to 4:30 p.m., Monday to Friday, except holidays) in a manner convenient to the public business (7 CFR 1.27(b)).

Comments submitted should bear a reference to the date and page number of this issue in the **Federal Register.**

This proposal has been reviewed under the USDA criteria established to implement Executive Order 12044, "Improving Government Regulations," and has been classified "significant." An Approved Draft Impact Analysis is

[3] These values are available upon request from Veterinary Services, Animal and Plant Health Inspection Service, United States Department of Agriculture, Federal Building, Room 805, Hyattsville, MD 20782

[4] The Deputy Administrator shall authorize payment of Federal indemnity by the Department at the maximum rates: (a) As long as sufficient funds appropriated by Congress appear to be available for this purpose for the remainder of the fiscal year; (b) in States or areas not under Federal quarantine; (c) In States requesting payment of Federal indemnity; (d) in States not requesting a lower rate.

[5] The Veterinarian in Charge shall accept the following documents as "proof of destruction": (a) A postmortem report; (b) A meat inspection certification of slaughter; (c) A written statement by a State representative, Veterinary Services representative, or accredited veterinarian attesting to the destruction of the animal; (d) A written sworn statement by the owner or caretaker of the animal attesting to the destruction of the animal; (e) A permit (VS Form 1–27) consigning the animal from a farm or livestock market directly to a recognized slaughtering establishment as defined in § 78.1(dd) of this chapter; (f) In unique situations where the documents listed above are not available, other similar reliable forms of proof of destruction.

[6] Herd depopulation may be initiated after the owner requests that his brucellosis infected or exposed herd be depopulated. The Deputy Administrator shall approve such requests only when he has determined that such herd depopulation is necessary to prevent the spread of brucellosis or is necessary to eliminate brucellosis on a particular premise. This determination shall be made through an epidemiological analysis including, but not limited to, the following factors: (a) Risk of exposure to herds not infected with or exposed to brucellosis (density of area infection); (b) Amount of infection revealed in the herd on the initial test; (c) Percentage of recent abortions within the herd; and (d) Number of tests conducted revealing brucellosis reactors (chronicity of the disease.).

available from the Program Services Staff, Room 870, Federal Building, 6505 Belcrest Road, Hyattsville, Maryland 20782, (301) 436–8695. Executive Order 12044 also requires that all regulations be reviewed for continuing relevance every fire years.

This proposal has been classified "significant" and is being published under emergency procedures as authorized by Executive Order 12044 and the Secretary's Memorandum No. 1955, without a full 60-day comment period. It has been determined by Dr. Paul Becton, Director, National Brucellosis Eradication Program, that an emergency situation exists which warrants less than a full 60-day comment period on this proposal because the present limits on indemnity payments are not sufficient to provide producer cooperation with the brucellosis eradication program.

Done at Washington, D.C., this 17th day of March 1980.

P. R. "Bobby" Smith,

Assistant Secretary for Marketing and Transportation Services.

[FR Doc. 80–8689 Filed 3–20–80; 8:45 am]

BILLING CODE 3410–34–M

DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE

Food and Drug Administration

21 CFR Part 333

[Docket No. 75N–0183]

Topical Antimicrobial Drug Products for Over-the-Counter Human Use

AGENCY: Food and Drug Administration.

ACTION: Acceptance of data and information into the administrative record.

SUMMARY: This notice advises that the Food and Drug Administration (FDA) reopened the administrative record for over-the-counter (OTC) topical antimicrobial drug products to allow for consideration of data and information that had been filed with the Hearing Clerk, Food and Drug Administration, after the date that the administrative record officially closed.

ADDRESS: Data and information are on public file in the office of the Hearing Clerk (HFA–305), Food and Drug Administration, Rm. 4–62, 5600 Fishers Lane, Rockville, MD 20857, and may be seen between 9 a.m. and 4 p.m., Monday through Friday.

FOR FURTHER INFORMATION CONTACT: William E. Gilbertson, Bureau of Drugs (HFD–510), Food and Drug Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, 301–443–4960.

SUPPLEMENTARY INFORMATION: The Food and Drug Administration has on numerous occasions received new data and information bearing on OTC drug panel reports and proposed monographs after the closing of the administrative record. Under § 330.10(a)(10)(i) (21 CFR 330.10(a)(10)(i)) the administrative record closes with respect to the submission of new data and information at the end of the comment period following publication of the panel report in the **Federal Register**. The comment period for OTC topical antimicrobial drug products closed on November 12, 1974, and the reply comment period closed on December 12, 1974. The administrative record was reopened following publication of the tentative final monograph on January 6, 1978 for the submission of objections and requests for a hearing, and closed on February 6, 1978. On March 9, 1979, the administrative record was reopened until June 7, 1979 to grant six petitions that requested reopening of the administrative record, and to accept new or additional data, information, and comments on the data contained in the six submissions. Interested persons could submit reply comments until July 9, 1979. That notice also provided that other data, information, and comments filed in response to the September 13, 1974 and January 6, 1978 publications need not be resubmitted. Those data were also accepted into the record.

In conjunction with FDA's notice of intent to revise the procedural regulations governing the OTC drug review to conform to a recent court decision and order, the agency again reopened the administrative record for OTC topical antimicrobial drug products on October 26, 1979 (44 FR 61609). This action was taken to permit manufacturers to submit the results of testing to FDA as expeditiously as possible prior to establishment of a final monograph.

Subsequent to the June 7, 1979 closing date for the submission of new data, and prior to the October 26, 1979 reopening of the administrative record, some persons submitted data and information to the Hearing Clerk. These data and information were not accompanied by a petition to reopen the administrative record as required by § 330.10(a)(10)(ii) (21 CFR 330.10(a)(10)(ii)). In the interest of expediting the OTC drug review and because FDA wishes to consider all pertinent data and information that have been submitted to the Hearing Clerk, Food and Drug Administration, prior to the date of publication of this notice, the agency has concluded that the new data and information, whether or not properly filed, should be available to the agency in developing a second tentative final order. By this notice, FDA announces that it is treating these submissions, received after the administrative record had closed, as petitions to reopen the administrative record, and is granting the petitions by allowing new data and information contained therein to be included in the administrative record for OTC topical antimicrobial drug products. This notice serves to inform interested persons of the existence of these data and information and their availability for review at the office of the Hearing Clerk, Food and Drug Administration. Comments of these data and information will not be accepted at this time. However, interested persons will have an opportunity to submit comments and additional new data and information at times to be specified in future **Federal Register** notices.

This action does not preempt or supersede the reopening of the administrative record for an additional period as specified in the **Federal Register** of October 26, 1979 (44 FR 61609).

Dated: March 12, 1980.

William F. Randolph,

Acting Associate Commissioner for Regulatory Affairs.

[FR Doc. 80–8490 Filed 3–20–80; 8:45 am]

BILLING CODE 4110–03–M

21 CFR Part 336

[Docket No. 78N–0036]

Laxative, Antidiarrheal, Emetic, and Antiemetic Drug Products for Over-the-Counter Human Use

AGENCY: Food and Drug Administration.

ACTION: Acceptance of data and information into the administrative record.

SUMMARY: This notice advises that the Food and Drug Administration (FDA) reopened the administrative record for over-the-counter (OTC) laxative, antidiarrheal, emetic and antiemetic drug products to allow for consideration of data and information that had been filed with the Hearing Clerk, Food and Drug Administration, after the date that the administrative record officially closed.

ADDRESS: Data and information are on public file in the office of the Hearing Clerk (HFA–305), Food and Drug Administration, rm. 4–62, 5600 Fishers

Lane, Rockville, MD 20857, and may be seen between 9 a.m. and 4 p.m., Monday through Friday.

FOR FURTHER INFORMATION CONTACT: William E. Gilbertson, Bureau of Drugs (HFD–510), Food and Drug Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, 301–443–4960.

SUPPLEMENTARY INFORMATION: The Food and Drug Administration has on numerous occasions received new data and information bearing on OTC drug panel reports and proposed monographs after the closing of the administrative record. Under § 330.10(a)(10)(i) (21 CFR 330.10(a)(10)(i)) the administrative record closes with respect to the submission of new data and information at the end of the comment period following publication of the panel report in the **Federal Register.** The comment period for OTC laxative, antidiarrheal, emetic and antiemetic drug products closed on June 19, 1975. The procedural regulations for OTC drugs, § 330.10(a)(10)(ii) (21 CFR 330.10(a)(10)(ii)), provide that after the closing of the comment period following publication of the panel report, new data and information may be submitted for inclusion into the administrative record only through a petition to reopen the administrative record. In some cases, persons have not submitted such petitions; rather, they have submitted new data and information to the Hearing Clerk as comments on the panel report. In the interest of expediting the OTC drug review and because FDA wishes to consider all pertinent data and information that have been submitted to the Hearing Clerk, Food and Drug Administration, prior to the date of publication of this notice, the agency has concluded that the new data and information, whether or not properly filed, should be available to the agency in developing applicable tentative final and final orders. By this notice, FDA announces that it is treating these submissions, received after the administrative record has closed, as petitions to reopen the administrative record, and is granting the petitions by allowing new data and information contained therein to be included in the administrative record for OTC laxative, antidiarrheal, emetic and antiemetic drug products. This notice serves to inform interested persons of the existence of these data and information and their availability for review at the office of the Hearing Clerk, Food and Drug Administration. Comments on these data and information will not be accepted at this time. However, interested persons will have an opportunity to submit comments and additional new data and information at times to be specified in future **Federal Register** notices.

This action does not preempt or supersede the reopening of the administrative record for antiemetic drug products for an additional period as specified in the **Federal Register** of October 26, 1979 (44 FR 61610). That notice provided an additional period of 5 months, ending on May 27, 1980, during which new data demonstrating the safety and effectiveness of those conditions not classified in Category I may be submitted.

Dated: March 12, 1980.

William F. Randolph,

Acting Associate Commissioner for Regulatory Affairs.

[FR Doc. 80–8491 Filed 3–20–80; 8:45 am]

BILLING CODE 4110–03–M

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21 CFR Parts 338, 340

[Docket No. 75N–0244]

Nighttime Sleep-Aid and Stimulant Drug Products for Over-the-Counter Human Use

AGENCY: Food and Drug Administration.

ACTION: Acceptance of data and information into the administrative record.

SUMMARY: This notice advises that the Food and Drug Administration (FDA) reopened the administrative record for over-the-counter (OTC) nighttime sleep-aid and stimulant drug products to allow for consideration of data and information that had been filed with the Hearing Clerk, Food and Drug Administration, after the date that the administrative record officially closed.

ADDRESS: Data and information are on public file in the office of the Hearing Clerk (HFA–305), Food and Drug Administration, Rm. 4–62, 5600 Fishers Lane, Rockville, MD 20857, and may be seen between 9 a.m. and 4 p.m., Monday through Friday.

FOR FURTHER INFORMATION CONTACT: William E. Gilbertson, Bureau of Drugs (HFD–510), Food and Drug Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, 301–443–4960.

SUPPLEMENTARY INFORMATION: The Food and Drug Administration has on numerous occasions received new data and information bearing on OTC drug panel reports and proposed monographs after the closing of the administrative record. Under § 330.10(a)(10)(i) (21 CFR 330.10(a)(10)(i)) the administrative record closes with respect to the submission of new data and information at the end of the comment period following publication of the panel report in the **Federal Register.** The comment period for OTC nighttime sleep-aid and stimulant drug products closed on March 8, 1976 and the reply comment period closed on April 8, 1976. The procedural regulations for OTC drugs, § 330.10(a)(10)(ii) (21 CFR 330.10(a)(10)(ii)), provide that after the closing of the comment period following publication of the panel report, new data and information may be submitted for inclusion into the administrative record only through a petition to reopen the administrative record. In some cases, persons have not submitted such petitions; rather, they have submitted new data and information to the Hearing Clerk as comments on the panel report. In the interest of expediting the OTC drug review and because FDA wishes to consider all pertinent data and information that have been submitted to the Hearing Clerk, Food and Drug Administration, prior to the date of publication of this notice, the agency has concluded that the new data and information, whether or not properly filed, should be available to the agency in developing a final order. By this notice, FDA announces that it is treating these submissions, received after the administrative record has closed, as petitions to reopen the administrative record, and is granting the petitions by allowing new data and information contained therein to be included in the administrative record for OTC nighttime sleep-aid and stimulant drug products. This notice serves to inform interested persons of the existence of these data and information and their availability for review at the office of the Hearing Clerk, Food and Drug Administration. Comments on these data and information will not be accepted at this time. However, interested persons will have an opportunity to submit comments and additional new data and information at times to be specified in future **Federal Register** notices.

This action does not preempt or supersede the reopening of the administrative record for an additional period as specified in the **Federal Register** of October 26, 1979 (44 FR 61610). That notice provided an additional period of 5 months, ending on May 27, 1980, during which new data demonstrating the safety and effectiveness of those conditions not classified in Category I may be submitted.

Dated: March 12, 1980.

William F. Randolph,

Acting Associate Commissioner for Regulatory Affairs.

[FR Doc. 80-8504 Filed 3-20-80; 8:45 am]

BILLING CODE 4110-03-M

21 CFR Part 341

[Docket No. 76N-0052]

Cold, Cough, Allergy, Bronchodilator, and Antiasthmatic Drug Products for Over-the-Counter Human Use

AGENCY: Food and Drug Administration.

ACTION: Acceptance of data and information into the administrative record.

SUMMARY: This notice advises that the Food and Drug Administration (FDA) reopened the administrative record for over-the-counter (OTC) cold, cough, allergy, bronchodilator, and antiasthmatic drug products to allow for consideration of data and information that had been filed with the Hearing Clerk, Food and Drug Administration, after the date that the administrative record officially closed.

ADDRESS: Data and information are on public file in the office of the Hearing Clerk (HFA-305), Food and Drug Administration, Rm. 4-62, 5600 Fishers Lane, Rockville, MD 20857, and may be seen between 9 a.m. and 4 p.m., Monday through Friday.

FOR FURTHER INFORMATION CONTACT: William E. Gilbertson, Bureau of Drugs (HFD-510), Food and Drugs Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, 301-443-4960.

SUPPLEMENTARY INFORMATION: The Food and Drug Administration has on numerous occasions received new data and information bearing on OTC drug panel reports and proposed monographs after the closing of the administrative record. Under § 330.10(a)(10)(i) (21 CFR 330.10(a)(10)(i)) the administrative record closes with respect to the submission of new data and information at the end of the comment period following publication of the panel report in the **Federal Register.** The comment period for OTC cold, cough, allergy, bronchodilator, and antiasthmatic drug products closed on December 8, 1976, and the reply comment period closed January 7, 1977. The procedural regulations for OTC drugs, § 330.10(a)(10)(ii) (21 CFR 330.10(a)(10)(ii)), provide that after the closing of the comment period following publication of the panel report, new data and information may be submitted for inclusion into the administrative record only through a petition to reopen the administrative record. In some cases, persons have not submitted such petitions; rather, they have submitted new data and information to the Hearing Clerk as comments on the panel report. In the interest of expediting the OTC drug review and because FDA wishes to consider all pertinent data and information that have been submitted to the Hearing Clerk, Food and Drug Administration, prior to the date of publication of this notice, the agency has concluded that the new data and information, whether or not properly filed, should be available to the agency in developing a tentative final order. By this notice, FDA announces that it is treating these submissions, received after the administrative record has closed, as petitions to reopen the administrative record, and is granting the petitions by allowing new data and information contained therein to be included in the administrative record for OTC cold, cough, allergy, bronchodilator, and antiasthmatic drug products. This notice serves to inform interested persons of the existence of these data and information and their availability for review at the office of the Hearing Clerk, Food and Drug Administration. Comments on these data and information will not be accepted at this time. However, interested persons will have an opportunity to submit comments and additional new data and information at times to be specified in future **Federal Register** notices.

Dated: March 12, 1980.

William F. Randolph,

Acting Associate Commissioner for Regulatory Affairs.

[FR Doc. 80-8472 Filed 3-20-80; 8:45 am]

BILLING CODE 4110-03-M

21 CFR Part 342

[Docket No. 76N-0482]

Topical Antibiotic Drug Products for Over-the-Counter Human Use

AGENCY: Food and Drug Administration.

ACTION: Acceptance of data and information into the administrative record.

SUMMARY: This notice advises that the Food and Drug Administration (FDA) reopened the administrative record for over-the-counter (OTC) topical antibiotic drug products to allow for consideration of data and information that had been filed with the Hearing Clerk, Food and Drug Administration, after the date that the administrative record officially closed.

ADDRESS: Data and information are on public file in the office of the Hearing Clerk (HFA-305), Food and Drug Administration, Rm. 4-62, 5600 Fishers Lane, Rockville, MD 20857, and may be seen between 9 a.m. and 4 p.m., Monday through Friday.

FOR FURTHER INFORMATION CONTACT: William E. Gilbertson, Bureau of Drugs (HFD-510), Food and Drug Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, 301-443-4960.

SUPPLEMENTARY INFORMATION: The Food and Drug Administration has on numerous occasions received new data and information bearing on OTC drug panel reports and proposed monographs after the closing of the administrative record. Under § 330.10(a)(10)(i) (21 CFR 330.10(a)(10)(i)) the administrative record closes with respect to the submission of new data and information at the end of the comment period following publication of the panel report in the **Federal Register.** The comment period for OTC topical antibiotic drug products closed on June 30, 1977, and the reply comment period closed on August 1, 1977. The procedural regulations for OTC drugs, § 330.10(a)(10)(ii) (21 CFR 330.10(a)(10)(ii)), provide that after the closing of the comment period following publication of the panel report, new data and information may be submitted for inclusion into the administrative record only through a petition to reopen the administrative record. In some cases, persons have not submitted such petitions; rather, they have submitted new data and information to the Hearing Clerk as comments on the panel report. In the interest of expediting the OTC drug review and because FDA wishes to consider all pertinent data and information that have been submitted to the Hearing Clerk, Food and Drug Administration, prior to the date of publication of this notice, the agency has concluded that the new data and information, whether or not properly filed, should be available to the agency in developing a tentative final order. By this notice, FDA announces that it is treating these submissions, received after the administrative record has closed, as petitions to reopen the administrative record, and is granting the petitions by allowing new data and information contained therein to be included in the administrative record for OTC topical antibiotic drug products. This notice serves to inform interested persons of the existence of these data and information and their availability

for review at the office of the Hearing Clerk, Food and Drug Administration. Comments on these data and information will not be accepted at this time. However, interested persons will have an opportunity to submit comments and additional new data and information at times to be specified in future **Federal Register** notices.

Dated: March 12, 1980.

William F. Randolph,

Acting Associate Commissioner for Regulatory Affairs.

[FR Doc. 80-8471 Filed 3-20-80; 8:45 am]

BILLING CODE 4110-03-M

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21 CFR Part 343

[Docket No. 77N-0094]

Internal Analgesic, Antipyretic, and Antirheumatic Drug Products for Over-the-Counter Human Use

AGENCY: Food and Drug Administration.

ACTION: Acceptance of data and information into the administrative record.

SUMMARY: This notice advises that the Food and Drug Administration (FDA) reopened the administrative record for over-the-counter (OTC) internal analgesic, antipyretic, and antirheumatic drug products to allow for consideration of data and information that had been filed with the Hearing Clerk, Food and Drug Administration, after the date that the administrative record officially closed.

ADDRESS: Data and information are on public file in the office of the Hearing Clerk (HFA-305), Food and Drug Administation Rm. 4-62, 5600 Fishers Land, Rockville, MD 20857, and may be seen between 9 a.m. and 4 p.m., Monday through Friday.

FOR FURTHER INFORMATION CONTACT: William E. Gilbertson, Bureau of Drugs (HFD-510), Food and Drug Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, 301-443-4960.

SUPPLEMENTARY INFORMATION: The Food and Drug Administration has on numerous occasions received new data and information bearing on OTC drug panel reports and proposed monographs after the closing of the administrative record. Under § 330.10(a)(10)(i) (21 CFR 330.10(a)(10)(i)) the administrative record closes with respect to the submission of new data and information at the end of the comment period following publication of the panel report in the **Federal Register.** The comment period for OTC internal analgesic, antipyretic, and antirheumatic drug products closed on December 5, 1977, and the reply comment period closed on February 6, 1978. The procedural regulations for OTC drugs, § 330.10(a)(10)(ii) (21 CFR 330.10(a)(10)(ii)), provide that after the closing of the comment perod following publication of the panel report, new data and information may be submitted for inclusion into the administrative record only through a petition to reopen the administative record. In some cases, persons have not submitted such petitions; rather, they have submitted new data and information to the Hearing Clerk as comments on the panel report. In the interest of expediting the OTC drug review and because FDA wishes to consider all pertinent data and information that have been submitted to the Hearing Clerk, Food and Drug Administation, prior to the date of publication of this notice, the agency has concluded that the new data and information, whether or not properly filed, should be available to the agency in developing a tentative final order. By this notice, FDA announces that it is treating these submissions, received after the administrative record has closed, as petitions to reopen the administrative record, and is granting the petitions by allowing new data and information contained therein to be included in the administative record for OTC internal analgesic, antipyretic, and antirheumatic drug products. This notice serves to inform interested persons of the existence of these data and information and their availability for review at the office of the Hearing Clerk, Food and Drug Administration. Comments on these data and information will not be accepted at this time. However, interested persons will have an opportunity to submit comments and additional new data and information at times to be specified in future **Federal Register** notices.

Dated: March 12, 1980.

William F. Randolph,

Acting Associate Commissioner for Regulatory Affairs.

[FR Doc. 80-8425 Filed 3-20-80; 8:45 am]

BILLING CODE 4110-03-M

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21 CFR Part 344

[Docket No. 77N-0334]

Topical Otic Drug Products for Over-the-Counter Human Use

AGENCY: Food and Drug Administration.

ACTION: Acceptance of data and information into the administrative record.

SUMMARY: This notice advises that the Food and Drug Administration (FDA) reopened the administrative record for over-the-counter (OTC) topical otic drug products to allow for consideration of data and information that had been filed with the Hearing Clerk, Food and Drug Administration, after the date that the administrative record officially closed.

ADDRESS: Data and information are on public file in the office of the Hearing Clerk (HFA-305), Food and Drug Administration, Rm. 4-62, 5600 Fishers Lane, Rockville, MD 20857, and may be seen between 9 a.m. and 4 p.m., Monday through Friday.

FOR FURTHER INFORMATION CONTACT: William E. Gilbertson, Bureau of Drugs (HFD-510), Food and Drug Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, 301-443-4960.

SUPPLEMENTARY INFORMATION: The Food and Drug Administration has on numerous occasions received new data and information bearing on OTC drug panel reports and proposed monographs after the closing of the administrative record. Under § 330.10(a)(10)(i) (21 CFR 330.10(a)(10)(i)) the administrative record closes with respect to the submission of new data and information at the end of the comment period following publication of the panel report in the **Federal Register.** The comment period for OTC topical otic drug products closed on March 16, 1978, and the reply comment period closed on April 14, 1978. The procedural regulations for OTC drugs, § 330.10(a)(10)(ii) (21 CFR 330.10(a)(10) (ii)), provide that after the closing of the comment period following publication of the panel report, new data and information may be submitted for inclusion into the administrative record only through a petition to reopen the administrative record. In some cases, persons have not submitted such petitions; rather, they have submitted new data and information to the Hearing Clerk as comments on the panel report. In the interest of expediting the OTC drug review and because FDA wishes to consider all pertinent data and information that have been submitted to the Hearing Clerk, Food and Drug Administration, prior to the date of publication of this notice, the agency has concluded that the new data and information, whether or not properly filed, should be available to the agency in developing a tentative final order. By this notice, FDA announces that it is treating these submissions, received after the administrative record has closed, as petitions to reopen the administrative record and is granting the

petitions by allowing new data and information contained therein to be included in the administrative record for OTC topical otic drug products. This notice serves to inform interested persons of the existence of these data and information and their availability for review at the office of the Hearing Clerk, Food and Drug Administration. Comments on these data and information will not be accepted at this time. However, interested persons will have an opportunity to submit comments and additional new data and information, at times to be specified in future **Federal Register** notices.

Dated: March 12, 1980.

William F. Randolph,

Acting Associate Commissioner for Regulatory Affairs.

[FR Doc. 80-8503 filed 3-20-80; 8:45 am]

BILLING CODE 4110-03-M

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21 CFR Part 345

[Docket No. 78N-0024]

Vitamin and Mineral Drug Products for Over-The-Counter Human Use

AGENCY: Food and Drug Administration.

ACTION: Acceptance of data and information into the Administrative Record.

SUMMARY: This notice advises that the Food and Drug Administration (FDA) reopened the administrative record for over-the-counter (OTC) vitamin and mineral drug products to allow for consideration of data and information that had been filed with the Hearing Clerk, Food and Drug Administration, after the date that the administrative record officially closed.

ADDRESS: Data and information are on public file in the office of the Hearing Clerk (HFA-305), Food and Drug Administration, Rm. 4-62, 5600 Fishers Lane, Rockville, MD 20857, and may be seen between 9 a.m. and 4 p.m., Monday through Friday.

FOR FURTHER INFORMATION CONTACT: William E. Gilbertson, Bureau of Drugs (HFD-510), Food and Drug Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, 301-443-4960.

SUPPLEMENTARY INFORMATION: The Food and Drug Administration has on numerous occasions received new data and information bearing on OTC drug panel reports and proposed monographs after the closing of the administrative record. Under § 330.10(a)(10)(i) (21 CFR 330.10(a)(10)(i)) the administrative record closes with respect to the submission of new data and information at the end of the comment period following publication of the panel report in the **Federal Register.** The comment period for OTC vitamin and mineral drug products closed on July 16, 1979, and the reply comment period closed on September 14, 1979. The procedural regulations for OTC drugs, § 330.10(a)(10)(ii) (21 CFR 330.10(a)(10)(ii)), provide that after the closing of the comment period following publication of the panel report, new data and information may be submitted for inclusion into the administrative record only through a petition to reopen the administrative record. In some cases persons have not submitted such petitions; rather, they have submitted new data and information to the Hearing Clerk as comments on the panel report. In the interest of expediting the OTC drug review and because FDA wishes to consider all pertinent data and information that have been submitted to the Hearing Clerk, Food and Drug Administration, prior to the date of publication of this notice, the agency has concluded that the new data and information, whether or not properly filed, should be available to the agency in developing a tentative final order. By this notice, FDA announces that it is treating these submissions, received after the administrative record has closed, as petitions to reopen the administrative record, and is granting the petitions by allowing new data and information contained therein to be included in the administrative record for OTC vitamin and mineral drug products. This notice serves to inform interested persons of the existence of these data and information and their availability for review at the office of the Hearing Clerk, Food and Drug Administration. Comments on these data and information will not be accepted at this time. However, interested persons will have an opportunity to submit comments and additional new data and information at times to be specified in future **Federal Register** notices.

Dated: March 12, 1980.

William F. Randolph,

Acting Associate Commissioner for Regulatory Affairs.

[FR Doc. 80-8492 Filed 3-20-80; 8:45 am]

BILLING CODE 4110-03-M

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21 CFR Part 347

[Docket No. 78N-0021]

Skin Protectant Drug Products for Over-the-Counter Human Use

AGENCY: Food and Drug Administration.

ACTION: Acceptance of data and information into the administrative record.

SUMMARY: This notice advises that the Food and Drug Administration (FDA) reopened the administrative record for over-the-counter (OTC) skin protectant drug products to allow for consideration of data and information that had been filed with the Hearing Clerk, Food and Drug Administration, after the date that the administrative record officially closed.

ADDRESS: Data and information are on public file in the office of the Hearing Clerk (HFA-305), Food and Drug Administration, Rm. 4-62, 5600 Fishers Lane, Rockville, MD 20857, and may be seen between 9 a.m. and 4 p.m., Monday through Friday.

FOR FURTHER INFORMATION CONTACT: William E. Gilbertson, Bureau of Drugs (HFD-510), Food and Drug Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, 301-443-4960.

SUPPLEMENTARY INFORMATION: The Food and Drug Administration has on numerous occasions received new data and information bearing on OTC drug panel reports and proposed monographs after the closing of the administrative record. Under § 330.10(a)(10)(i) (21 CFR 330.10(a)(10)(i)) the administrative record closes with respect to the submission of new data and information at the end of the comment period following publication of the panel report in the **Federal Register.** The comment period for OTC skin protectant drug products closed on November 2, 1978, and the reply comment period closed on December 4, 1978. The procedural regulations for OTC drugs, § 330.10(a)(10)(ii) (21 CFR 330.10(a)(10)(ii)), provide that after the closing of the comment period following publication of the panel report, new data and information may be submitted for inclusion into the administrative record only through a petition to reopen the administrative record. In some cases persons have not submitted such petitions: rather, they have submitted new data and information to the Hearing Clerk as comments on the panel report. In the interest of expediting the OTC drug review and because FDA wishes to consider all pertinent data and information that have been submitted to the Hearing Clerk, Food and Drug Administration, prior to the date of publication of this notice, the agency has concluded that the new data and information, whether or not properly filed, should be available to the

agency in developing a tentative final order. By this notice, FDA announces that it is treating these submissions, received after the administrative record has closed, as petitions to reopen the administrative record, and is granting the petitions by allowing new data and information contained therein to be included in the administrative record for OTC skin protectant drug products. This notice serves to inform interested persons of the existence of these data and information and their availability for review at the office of the Hearing Clerk, Food and Drug Administration. Comments on these data and information will not be accepted at this time. However, interested persons will have an opportunity to submit comments and additional new data and information at times to be specified in future **Federal Register** notices.

Dated: March 12, 1980.

William F. Randolph,

Acting Associate Commissioner for Regulatory Affairs.

[FR Doc. 80-8488 Filed 3-20-80; 8:45 am]

BILLING CODE 4110-03-M

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21 CFR Part 350

[Docket No. 78N-0064]

Antiperspirant Drug Products for Over-the-Counter Human Use

AGENCY: Food and Drug Administration.

ACTION: Acceptance of data and information into the administrative record.

SUMMARY: This notice advises that the Food and Drug Administration (FDA) reopened the administrative record for over-the counter (OTC) antiperspirant drug products to allow for consideration of data and information that had been filed with the Hearing Clerk, Food and Drug Administration, after the date that the administrative record officially closed.

ADDRESS: Data and information are on public file in the office of the Hearing Clerk (HFA-305), Food and Drug Administration, Rm. 4-62, 5600 Fishers Lane, Rockville, MD 20857, and may be seen between 9 a.m. and 4 p.m. Monday through Friday.

FOR FURTHER INFORMATION CONTACT: William E. Gilbertson, Bureau of Drugs (HFD-510), Food and Drug Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, 301-443-4960.

SUPPLEMENTARY INFORMATION: The Food and Drug Administration has on numerous occasions received new data and information bearing on OTC drug panel reports and proposed monographs after the closing of the administrative record. Under § 330.10(a)(10)(i) (21 CFR 330.10(a)(10)(i)) the administrative record closes with respect to the submission of new data and information at the end of the comment period following publication of the panel report in the **Federal Register.** The comment period for OTC antiperspirant drug products closed on January 8, 1979, and the reply comment period closed on February 7, 1979. The procedural regulations for OTC drugs, § 330.10(a)(10)(ii) (21 CFR 330.10(a)(10)(ii)), provide that after the closing of the comment period following publication of the panel report, new data and information may be submitted for inclusion into the administrative record only through a petition to reopen the administrative record. In some cases, persons have not submitted such petitions; rather, they have submitted new data and information to the Hearing Clerk as comments on the panel report. In the interest of expediting the OTC drug review and because FDA wishes to consider all pertinent data and information that have been submitted to the Hearing Clerk, Food and Drug Administration, prior to the date of publication of this notice, the agency has concluded that the new data and information, whether or not properly filed, should be available to the agency in developing a tentative final order. By this notice, FDA announces that it is treating these submissions, received after the administrative record has closed, as petitions to reopen the administrative record, and is granting the petitions by allowing new data and information contained therein to be included in the Administrative record for OTC antiperspirant drug products. This notice serves to inform interested persons of the existence of these data and information and their availability for review at the office of the Hearing Clerk, Food and Drug Administration. Comments on these data and information will not be accepted at this time. However, interested persons will have an opportunity to submit comments and additional new data and information at times to be specified in future **Federal Register** notices.

Dated: March 12, 1980.

William F. Randolph,

Acting Associate Commissioner for Regulatory Affairs.

[FR Doc. 80-8470 Filed 3-20-80; 8:45 am]

BILLING CODE 4110-03-M

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21 CFR Part 352

[Docket No. 78N-0038]

Sunscreen Drug Products for Over-the-Counter Human Use

AGENCY: Food and Drug Administration.

ACTION: Acceptance of data and information into the administrative record.

SUMMARY: This notice advises that the Food and Drug Administration (FDA) reopened the administrative record for over-the-counter (OTC) sunscreen drug products to allow for consideration of data and information that had been filed with the Hearing Clerk, Food and Drug Administration, after the date that the administrative record officially closed.

ADDRESS: Data and information are on public file in the office of the Hearing Clerk (HFA-305), Food and Drug Administration, Rm. 4-62, 5600 Fishers Lane, Rockville, MD 20857, and may be seen between 9 a.m. and 4 p.m., Monday through Friday.

FOR FURTHER INFORMATION CONTACT: William E. Gilbertson, Bureau of Drugs (HFD-510), Food and Drug Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, 301-443-4960.

SUPPLEMENTARY INFORMATION: The Food and Drug Administration has on numerous occasions received new data and information bearing on OTC drug panel reports and proposed monographs after the closing of the administrative record. Under § 330.10(a)(10)(i) (21 CFR 330.10(a)(10)(i)) the administrative record closes with respect to the submission of new data and information at the end of the comment period following publication of the panel report in the **Federal Register.** The comment period for OTC sunscreen drug products closed on November 24, 1978, and the reply comment period closed on December 26, 1978. The procedural regulations for OTC drugs, § 330.10(a)(10)(ii) (21 CFR 330.10(a)(10)(ii)), provide that after the closing of the comment period following publication of the panel report, new data and information may be submitted for inclusion into the administrative record only through a petition to reopen the administrative record. In some cases, persons have not submitted such petitions; rather, they have submitted new data and information to the Hearing Clerk as comments on the panel report. In the interest of expediting the OTC drug review and because FDA wishes to consider all pertinent data and information that have been

submitted to the Hearing Clerk, Food and Durg Administration, prior to the date of publication of this notice, the agency has concluded that the new data and information, whether or not properly filed, should be available to the agency in developing a tentative final order. By this notice, FDA announces that it is treating these submissions, received after the administrative record has closed, as petitions to reopen the administrative record, and is granting the petitions by allowing new data and information contained therein to be included in the administrative record for OTC sunscreen drug products. This notice serves to inform interested persons of the existence of these data and information and their availability for review at the office of the Hearing Clerk, Food and Drug Administration. Comments on these data and information will not be accepted at this time. However, interested persons will have an opportunity to submit comments and additional new data and information at times to be specified in future **Federal Register** notices.

Dated: March 12, 1980.

William F. Randolph,

Acting Associate Commissioner for Regulatory Affairs.

[FR Doc. 80-8489 Filed 3-20-80; 8:45 am]

BILLING CODE 4110-03-M

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21 CFR Part 358

[Docket No. 78N-0065]

Skin Bleaching Drug Products for Over-the-Counter Human Use

AGENCY: Food and Drug Administration.

ACTION: Acceptance of data and information into the administrative record.

SUMMARY: This notice advises that the Food and Drug Administration (FDA) reopened the administrative record for over-the-counter (OTC) skin bleaching drug products to allow for consideration of data and information that had been filed with the Hearing Clerk, Food and Drug Administration, after the date that the administrative record officially closed.

ADDRESS: Data and information are on public file in the office of the Hearing Clerk (HFA-305), Food and Drug Administration, Rm. 4-62, 5600 Fishers Lane, Rockville, MD 20857, and may be seen between 9 a.m. and 4 p.m., Monday through Friday.

FOR FURTHER INFORMATION CONTACT: William E. Gilbertson, Bureau of Drugs (HFD-510), Food and Drug Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, 301-443-4960.

SUPPLEMENTARY INFORMATION: The Food and Drug Administration has on numerous occasions received new data and information bearing on OTC drug panel reports and proposed monographs after the closing of the administrative record. Under § 330.10(a)(10)(i) (21 CFR 330.10(a)(10)(i)) the administrative record closes with respect to the submission of new data and information at the end of the comment period following publication of the panel report in the **Federal Register.** The comment period for OTC skin bleaching drug products closed on February 1, 1979, and the reply comment period closed on March 5, 1979. The procedural regulations for OTC drugs, § 330.10(a)(10)(ii) (21 CFR 330.10(a)(10)(ii)), provide that after the closing of the comment period following publication of the panel report, new data and information may be submitted for inclusion into the administrative record only through a petition to reopen the administrative record. In some cases, persons have not submitted such petitions; rather they have submitted new data and information to the Hearing Clerk as comments on the panel report. In the interest of expediting the OTC drug review and because FDA wishes to consider all pertinent data and information that have been submitted to the Hearing Clerk, Food and Drug Administration, prior to the date of publication of this notice, the agency has concluded that the new data and information, whether or not properly filed, should be available to the agency in developing a tentative final order. By this notice, FDA announces that it is treating these submissions, received after the administrative record has closed, as petitions to reopen the administrative record, and is granting the petitions by allowing new data and information contained therein to be included in the administrative record for OTC skin bleaching drug products. This notice serves to inform interested persons of the existence of these data and information and their availability for review at the office of the Hearing Clerk, Food and Drug Administration. Comments on these data and information will not be accepted at this time. However, interested persons will have an opportunity to submit comments and additional new data and information at times to be specified in future **Federal Register** notices.

Dated: March 12, 1980.

William F. Randolph,

Acting Associate Commissioner for Regulatory Affairs.

[FR Doc. 80-8505 Filed 3-20-80; 8:45 am]

BILLING CODE 4110-03-M

DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

Office of the Secretary

24 CFR Part 570

[Docket No. R-80-782]

Community Development Block Grants; Small Cities Program; Transmittal of Proposed Rule to Congress

AGENCY: Department of Housing and Urban Development.

ACTION: Notice of transmittal of proposed rule to Congress under Section 7(o) of the Department of HUD Act.

SUMMARY: Recently enacted legislation authorizes Congress to review certain HUD rules for fifteen (15) calendar days of continuous session of Congress prior to each such rule's publication in the **Federal Register.** This Notice lists and summarizes for public information a proposed rule which the Secretary is submitting to Congress for such review.

FOR FURTHER INFORMATION CONTACT: Burton Bloomberg, Director, Office of Regulations, Office of General Counsel, 451 7th Street SW., Washington, D.C. 20410, (202) 755-6207.

SUPPLEMENTARY INFORMATION: Concurrently with issuance of this Notice, the Secretary is forwarding to the Chairmen and Ranking Minority Members of both the Senate Banking, Housing and Urban Affairs Committee and the House Banking, Finance and Urban Affairs Committee the following proposed rulemaking document:

24 CFR Part 570, Subpart F—Community Development Block Grants—Small Cities Program (FY 1981)

This proposed rule would revise the regulations for the Small Cities Program for Community Development Block Grants. Most of the changes would clarify existing policies and procedures, but some substantive changes with respect to procedures and program standard are proposed. The important changes are:

1. Elimination of the housing needs factors as part of the selection system;
2. A new method of computing the poverty needs factors;
3. Replacing criteria dealing with State policies and a community's

position as a regional center with points awarded at a State's recommendation;

4. Adding impact criteria dealing with economic development and energy conservation; and

5. A new standard of awarding points for participation in an Areawide Housing Opportunity Plan.

(Section 7(o) of the Department of HUD Act, 42 U.S.C. 3535(o). Section 324 of the Housing and Community Development Amendments of 1978)

Issued at Washington, D.C., March 14, 1980.

Moon Landrieu,

Secretary, Department of Housing and Urban Development.

[FR Doc. 80-8717 Filed 3-20-80; 8:45 am]

BILLING CODE 4210-01-M

DEPARTMENT OF DEFENSE

Department of the Air Force

32 CFR Part 892

Part-Time Career Employment Program

AGENCY: Department of the Air Force, Department of Defense.

ACTION: Proposed rule.

SUMMARY: The Department of the Air Force proposes to add a new Part 892 to Subchapter J of 32 CFR, consisting of § 892.0 through 892.8. This new part would establish a continuing program to provide permanent part-time employment opportunities within the Department of the Air Force. It implements the Federal Employees Part-Time Career Employment Act of 1978, Pub. L. 95–437, which requires agencies to publish their regulations in proposed format to give the public an opportunity to comment. Interested persons are invited to participate in the rulemaking by submitting comments to the contact person listed below.

DATE: Comments must be received by May 20, 1980.

ADDRESS: Mr. Edwin V. Wells, HQ USAF/MPKS, Room 5C–260, The Pentagon, Washington, DC 20330.

FOR FURTHER INFORMATION CONTACT: Mr. Edwin V. Wells or Mr. A. Joseph Giarrusso, telephone (202) 694–2630.

The legal authority for this part is 5 U.S.C. 3401. The new part is proposed to read as follows:

SUBCHAPTER J—CIVILIAN PERSONNEL

PART 892—PART-TIME CAREER EMPLOYMENT PROGRAM

Sec.

892.0 Purpose.
892.1 Policy and objectives.
892.2 Definitions.
892.3 Coverage.
892.4 Exceptions.
892.5 Restrictions.
892.6 Responsibilities.
892.7 Employment ceiling.
892.8 Fringe benefits.

Authority: 5 U.S.C. 3401.

§ 892.0 Purpose.

This part states the basic policy and key principles of the Air Force Part-Time Career Employment Program, and assigns responsibilities to management officials, supervisors, and civilian personnel officers. It implements the Federal Employees Part-Time Career Employment Act of 1978, Pub. L. 95–437, by establishing a continuing program in the Department of the Air Force to provide permanent part-time employment opportunities.

§ 892.1 Policy and objectives

It is the policy of the Air Force to provide part-time career employment opportunities.

(a) To the maximum extent, consistent with agency resources and mission requirements for positions GS–1 through GS–15 (or equivalent).

(b) To expand the number and scope of permanent part-time employment opportunities to include professional, administrative, technical, clerical, crafts, trades, and other occupations.

(c) To provide an additional management device to support the achievement of equal employment objectives.

(d) To encourage managers at all levels to eliminate any artificial constraints which inhibit expansion of part-time employment.

§ 892.2 Definitions.

(a) "Part-time career employment" means regularly scheduled work of 16 to 32 hours per week performed by an employee who has an appointment in tenure groups I or II and who becomes employed on such part-time basis on or after April 8, 1979.

(b) "Tenure group I" applies to employees in the competitive service under career appointments who are not serving probation and permanent employees in the excepted service whose appointments carry no restrictions or conditions.

(c) "Tenure group II" applies to career employees in the competitive service serving probation and to career-conditional employees. It also includes employees in the excepted service serving trial periods, or whose tenure is equivalent to career-conditional in the competitive service.

(d) Temporary employment. For the purpose of this program, temporary employment means employment that is time-limited.

(e) Intermittent Employment. Employment on an irregular basis without a prescheduled tour of duty.

§ 892.3 Coverage.

(a) The provisions of this part apply to all civilian occupations and authorized positions in grades GS–15 or below and equivalent grades in other pay systems, and to part-time career employees in tenure groups I and II, unless excluded under one of the following:

(1) Positions requiring a mixed tour of duty. A mixed tour of duty consists of annually recurring periods of full-time, part-time, or intermittent service.

(2) Temporary or intermittent employees. Employees who are in a temporary or intermittent appointment status as defined in § 892.2 are specifically excluded from coverage under this part. The exclusion from this program should not be interpreted as a prohibition on the employment of intermittent or temporary part-time employees.

(3) Permanent part-time employees who have continuous service on such a schedule beginning prior to April 8, 1979 are not affected by these provisions.

(b) A negotiated agreement which establishes the number of hours of employment a week takes precedence over this part until such agreement is renogotiated.

§ 892.4 Exceptions to schedule hours of work.

(a) Part-time positions may be established with a scheduled tour of duty of less than 16 hours a week, if necessary to carry out the mission. No exception may be made to employ part-time workers regularly for more than 32 hours per week.

(b) Temporary increase of hours above 32 hours is permitted for a limited time to meet workload or training needs; however, the employees schedule must remain at 16–32 hours per week.

§ 892.5 Restrictions.

No positions occupied by a full-time employee will be abolished in order to make the duties of such positions available to be performed on a part-time basis, nor will any full-time employee be required to accept part-time employment as a condition of continued employment. This does not preclude offering part-time employment in lieu of separation during reduction-in-force (RIF) when no better offer exists.

§ 892.6 Responsibilities.

(a) *Headquarters USAF/MPK* has overall responsibility for administration of the part-time career employment

program through the Office of Civilian Personnel Operations (OCPO). OCPO's responsibilities are to:

(1) Establish and publish annual goals for expansion of part-time opportunities in coordination with manpower and EEO program officials, and in consideration of MAJCOM projections.

(2) Review goals and time tables for part-time employment developed by the MAJCOM within the Air Force.

(3) Prepare semi-annual Air Force-wide reports on the part-time employment program for transmittal to the Office of Personnel management.

(4) Review activity programs during regular civilian personnel management surveys.

(5) Provide advice and assistance, as necessary or requested, on program development.

(6) Designate a member of the OCPO staff to serve as the Air Force part-time program coordinator.

(b) *Major Command Responsibilities.* (1) Establish and publish goals consistent with mission needs and occupational mix.

(2) Provide consolidated semi-annual reports to the OCPO on program accomplishments.

(3) Identify and eliminate command imposed procedures or policies which impede expansion of part-time career opportunities.

(4) Designate a part-time coordinator who will serve as a focal point within the command headquarters on this program.

(c) *Installations.* (1) Commanders with assigned Central Civilian Personnel Offices, through their Directors of Personnel and Civilian Personnel Officers, develop local part-time programs which implement MAJCOM goals.

(2) Review position vacancies as they arise to determine feasibility of filling on a career part-time basis. Criteria to be used when making determinations may include: Mission requirements; work load fluctuations; employment ceilings and budgetary considerations; applicant and current employee interests; and other factors based on current needs and circumstances.

§ 892.7 Employment ceiling.

Effective October 1, 1980, for all employment ceilings, *permanent* part-time employees (regardless of the length of scheduled work week) will be counted as a fraction. This is determined by dividing 40 hours into the number of hours of the part-time employees regularly scheduled work week during the last pay period of the reporting period.

§ 892.8 Fringe benefits.

Permanent part-time employees are eligible for retirement, health, and life insurance.

(a) *Retirement.* Retirement benefits are computed in the same way for all employees. Annuities are based on an employee's length of service and the highest average annual pay received for any three consecutive years.

(b) *Life Insurance.* Permanent part-time employees are eligible for the federal employees group life insurance program. The actual amount of insurance for which an employee is eligible is based on annual salary, but in any case not less than $10,000. A part-timer's annual salary is the amount of hours scheduled to work during a leave year times hourly pay rate.

(c) *Health Benefits.* Permanent part-time employees are eligible to participate in the federal employees health benefit program. The coverage is the same as that provided for full-time employees, but the employee cost for the premiums is greater for people who became part-time employees on or after April 8, 1979. For these employees the government contribution is prorated according to the number of hours the part-timer is scheduled to work. For example, a part-time employee scheduled for 20 hours a week will pay the employee share of the premiums *plus* one-half the government's share. Part-time employees on-board before April 8, 1979 can continue to receive the same government contributions as full-time employees for as long as they remain part-time without a break in service.

(d) Annual leave is earned on a prorated basis depending upon the leave category the employee is in:

(1) Leave Category 4–1 hour for each 20 hours of work.

(2) Leave Category 6–1 hour for each 13 hours of work.

(3) Leave Category 8–1 hour for each 10 hours of work.

Note.—Part-time employment status does not affect the 240 hour maximum amount of annual leave which may be carried over into the next leave year.

(e) Sick leave is earned at the rate of 1 hour for every 20 hours in pay status.

(f) Leave-without-pay (LWOP) is charged in the same way for full-time employees.

(g) Court leave is charged in the same way as for full-time employees.

(h) A part-time employee is not eligible for military leave.

(i) Part-time employees observe holidays, if the holidays fall on regularly scheduled work days.

Carol M. Rose,

Air Force Federal Register Liaison Officer.

[FR Doc. 80-8690 Filed 3-20-80; 8:45 am]

BILLING CODE 3910-01-M

VETERANS' ADMINISTRATION

38 CFR Part 1

National Cemetery System; Disinterments From National Cemeteries

AGENCY: Veterans' Administration.

ACTION: Proposed regulation.

SUMMARY: The Veterans' Administration proposes to revise the regulation concerning disinterment of remains from national cemeteries. The signed affidavit of all living close relatives consenting to the proposed disinterment will no longer be required. In lieu thereof a notarized statement of one person certifying that all living close relatives of the decedent consent to the proposed disinterment will be accepted. Simplifying the process of requesting authority to disinter remains will reduce paperwork and inconvenience to living close relatives of the deceased.

DATES: Comments must be received on or before May 20, 1980. It is recommended that this amendment be effective the date of final approval.

ADDRESSES: Send written comments to: Administrator of Veterans' Affairs (271A), Veterans' Administration, 810 Vermont Avenue NW., Washington, D.C. 20420.

Comments will be available for inspection at the address shown above during normal business hours until May 30, 1980.

FOR FURTHER INFORMATION CONTACT: Mr. Earl W. Zieg (202–389–5235).

Additional Comment Information

Interested persons are invited to submit written comments, suggestions, or objections regarding the proposal to the Administrator of Veterans' Affairs (271A), Veterans' Administration, 810 Vermont Avenue, NW., Washington, DC 20420. All written comments received will be available for public inspection at the above address only between the hours of 8 am and 4:30 pm Monday through Friday (except holidays) until May 30, 1980. Any person visiting Central Office for the purpose of inspecting any such comments will be received by the Central Office Veterans Services Unit in room 132. Such visitors to any VA field station will be informed

that the records are available for inspection only in Central Office and will be furnished the address and the above room number.

Approved: March 12, 1980.
By direction of the Administrator.

Rufus H. Wilson,

Deputy Administrator.

Section 1.621 is revised to read as follows:

§ 1.621 Disinterments from national cemeteries.

(a) Interments of eligible decedents in national cemetery activities are considered permanent and final. Disinterments will be permitted only for cogent reasons and then only with the prior written authorization of the Chief Memorial Affairs Director. Disinterments and removal of remains from a national cemetery activity will be approved only when all living close relatives of the decedent give their consent or in recognition of a court order directing the disinterment.

(b) All request for authority to disinter remains will be submitted on VA Form 40–4970, Request for Disinterment, and will include the following information:

(1) A full statement of reasons for the proposed disinterment.

(2) A notarized statement certifying that all close living relatives of the decedent consent to the proposed disinterment. "Close relatives" are defined as surviving spouse, parents, adult brothers and sisters, and adult children of the decedent. Copies of VA Form 40–4970 will be furnished by the Department of Memorial Affairs.

(c) In lieu of the document required in paragraph (b) of this section, an order of a court of competent jurisdiction will be considered. The Veterans Administration or officials of the cemetery should not be made a party to the court action since this is a matter among the family members involved.

(d) Disinterment of the remains of the dependent of a veteran which were interred in a national cemetery, based on completion of VA Form 40–4969, Agreement for Burial (Dependent), an agreement by the veteran to be buried in the same or adjoining grave, may be authorized by the Chief Memorial Affairs Director upon receipt of a written request on VA Form 40–4983, Request for Disinterment (Dependent), from the veteran.

(e) Any disinterment that may be authorized under this section must be accomplished without expense to the Government.

(38 U.S.C. 1004(a))

[FR Doc. 80–8687 Filed 3–20–80; 8:45 am]

BILLING CODE 8320–01–M

ENVIRONMENTAL PROTECTION AGENCY

40 CFR Part 52

[FRL 1442–4]

Missouri Proposed Revision to Air Quality Implementation Plan

AGENCY: Environmental Protection Agency (EPA).

ACTION: Proposed Rulemaking.

SUMMARY: The Clean Air Act, as amended in 1977, requires states to revise their State Implementation Plans (SIPs) for all areas that have not attained the National Ambient Air Quality Standards (NAAQS). On October 25, 1979, the EPA published a proposed rulemaking in the **Federal Register** (44 FR 61384) proposing action on various portions of the Missouri State Implementation Plan (SIP) revision submitted to the EPA on July 2, 1979. The SIP revision did not contain regulations to satisfy requirements for the review and permitting of sources of air pollutant emissions in nonattainment areas. EPA proposed to defer action on this requirement.

The purpose of the notice is to advise the public of regulations to correct these deficiencies which were adopted on March 7, 1980, by the MACC, and to solicit comments on them. The EPA has reviewed these regulations and is proposing to conditionally approve them as meeting the requirements of Section 172(b)(6), Section 173, and Section 172(b)(11)(A) of the Act.

DATES: Comments must be received no later than April 21, 1980.

ADDRESSES: Comments should be sent to William A. Spratlin, Jr., Chief, Air Support Branch, Environmental Protection Agency, Region VII, 324 East 11th Street, Kansas City, Missouri 64106. Copies of the state submittal and the EPA prepared evaluation document are available during normal business hours at the above address. Copies are also available at the following locations:

Public Information Reference Unit, Environmental Protection Agency, 401 M Street, SW., Washington, D.C. 20460.
Missouri Department of Natural Resources, 2010 Missouri Boulevard, Jefferson City, Missouri 65102.

FOR FURTHER INFORMATION: Contact Wayne G. Leidwanger at 816–374–3791 (FTS 758–3791).

SUPPLEMENTARY INFORMATION: Part D of the Clean Air Act Amendments of 1977 requires states to revise their SIPs for all areas that have not attained the NAAQS. The requirements for an approvable SIP are described in a General Preamble published in the **Federal Register** on April 4, 1979 (44 FR 20372), supplemented on July 2, 1979 (44 FR 38583), August 28, 1979 (44 FR 20372), September 17, 1979 (44 FR 43761), and November 23, 1979 (44 FR 67182). The discussion of requirements will not be repeated in this notice.

On October 25, 1979, the EPA published a proposed rulemaking in the **Federal Register** (44 FR 61384) proposing action on various portions of the SIP revision submitted to the EPA on July 2, 1979. In the APPROVABILITY portion of that notice, the EPA noted that the Missouri SIP revision demonstrated that the MACC has statutory authority to issue permits to construct and operate and that the plan contains a commitment to requiring the lowest achievable emission rate where necessary. EPA further noted that legislation had been adopted granting the MACC the necessary enabling authority to comply with the requirements of the Clean Air Act. However, at the time of the proposed rulemaking, no regulations had been adopted which would meet the requirements of Section 173 of the Act.

The EPA discussed four options that were being considered concerning action on the Missouri plan with respect to the requirements of Section 172(b)(6) and Section 173. The options discussed were disapproval, conditional approval, delayed approval, and EPA promulgation. The EPA solicited comments on the issue and suggestions for other possible alternatives. No specific action was proposed on the permit requirements of Sections 172(b)(6) and 173.

During the comment period, the EPA received three comments on the suggested options, but no suggested alternatives. One commentor supported EPA promulgation at the national level, the State of Missouri recommended conditional approval, and another commentor favored any of the options *except* conditional approval.

National promulgation of regulations satisfying Section 173 was dropped as a consideration, because the state indicated it would adopt regulations to satisfy this requirement.

The EPA considered conditional approval as an alternative, but as stated in the general preamble, conditional approvals are to be granted for minor deficiencies. The absence of new source permit regulations required by the Act is not a minor deficiency. Therefore, the EPA has not been able previously to

conditionally approve the plan with regard to the requirements of Sections 172(b)(6) and 173.

The EPA does not believe that a disapproval would have been appropriate. The enabling legislation needed by the MACC was adopted just prior to the state's SIP submittal, thus, there was inadequate time to adopt permit regulations required by the Act prior to the plan submittal. In addition, the State of Missouri drafted new regulations for the purpose of complying with the requirements of Sections 172(b)(6), 173, and 172(b)(11)(A). The draft regulations were published in the *Missouri Register* and a public hearing was held on February 27, 1980. The MACC adopted the regulations on March 7 and the state submitted them to the EPA. These new regulations are contained in Title 10, Division 10, Chapter 6 of the Code of State Regulations. The restrictions on new construction in the Missouri nonattainment areas imposed by Section 110(a)(2)(I) of the Act will remain in effect until an EPA approval of the state's new source review procedures required by Part D of the Act.

In reviewing the regulations, the EPA has found two deficiencies relating to the definition of potential emissions and the review of modification of "Class C" sources as discussed in detail below. The EPA proposes to conditionally approve the regulations as meeting the requirements of Part D of the Clean Air Act because the state has provided assurances that it will submit corrections by a specified deadline. This notice solicits comment on whether the deficiency is a minor one which can be conditionally approved, whether other items should be conditionally approved, and on what deadlines should apply for meeting the conditions. A conditional approval will mean that the restrictions on new major source construction will not apply unless the state fails to submit the necessary SIP revisions by the scheduled dates, or unless the revisions are not approved by the EPA.

The adopted regulations require the alternate site analysis prescribed in Section 172(b)(11)(A) of the Act for stationary VOC and/or carbon monoxide (CO) sources seeking to locate in CO or ozone nonattainment areas for which an attainment date extension to not later than December 31, 1987, has been proposed. The permit program contained in the new regulations generally track the requirements found in Section 173 of the Act, including requirements for offset or accommodation of new emissions, application of the lowest achievable emission rate, and a demonstration that all other souces owned, operated, or controlled by the applicant comply with the SIP. The state has also agreed to submit as necessary, certain offsets as SIP revisions. However, the EPA believes the regulations are deficient in defining the term "potential emissions."

On September 5, 1979, the EPA published proposed changes to its regulations affecting new source review in nonattainment areas (44 FR 51924) including a proposed definition of "potential to emit" (44 FR 51958) (proposed amendment to 40 CFR 51.18). The proposal included a definition of annual potential emissions of a source in response to a court decision interpreting relevant portions of the Clean Air Act (Alabama Power vs. Costle). A full discussion of the proposed regulations is given in the September 5 **Federal Register** notice and will not be repeated here.

In the adopted Missouri regulations (Section 10–6.020(2)(P)(10), the term potential emissions is defined generally in accordance with the definitions included in the EPA's proposed rulemaking of September 5, except that the state definition allows sources to calculate enforceable permit conditions on operating rates and hours of operation in determining annual emissions. Existing EPA regulations allow the inclusion of such permit conditions but do not allow the consideration of air pollution controls in determining potential annual emissions. The state regulation allows the inclusion of air pollution controls in making such calculations. Therefore, the state definition is less stringent than either existing federal requirements or proposed new requirements.

The EPA has received numerous comments on its proposed regulations and has not yet issued final rules. Until such action, it is EPA's policy that state regulations must be consistent with either existing EPA regulations or the proposal of September 5. The state definition of potential emissions does not comply with this policy and therefore, the EPA considers the Missouri regulations to be deficient. The EPA believes the deficiency is a minor one because the air quality impact of those sources which would escape the Missouri new source review requirements would be minimal because the actual emissions from such sources would be below the thresholds established in the September 5 proposal. The state has agreed to change its regulations in this regard so that they are consistent with either proposed or final EPA regulations. If the state adopts EPA's proposed definition of September 5 and the EPA changes the regulations in final promulgation, the state will have nine months in which to make the necessary SIP revisions to make state regulations consistent with EPA's final regulations.

To correct the second deficiency, related to the review of modifications to major stationary sources in nonattainment areas (Class C sources), the regulation must apply to both a modification to a single source operation and to two or more source operations which results in an increase in potential emissions greater than the de minimus levels specified in the regulation. Missouri's new regulation does not require a permit for the modification of two or more source operations within a Class C source if each modification results in an increase in potential emissions less than the de minimus levels but the cumulative increase is greater than such levels. The EPA believes this deficiency is minor because the resulting adverse air quality impact will be small. It would be unusual for a source to modify more than one source operation without at least one of these modifications exceeding the de minimus levels. Therefore, few modifications would escape the permitting requirements; and the increase in emissions will be small. The state has agreed to change its regulations to correct this deficiency.

The draft regulations also contain provisions not directly related to Part D of the Act, but rather generally relating to prevention of significant deterioration of air quality in areas where the air quality standards are not being violated. These latter regulations were not required as part of the Part D plan revision and EPA is proposing no action on them at this time.

Proposed Action: The EPA proposes to conditionally approve the Missouri regulations contained in Title 10, Division 10, Chapter 6 of the Code of State Regulations that establish new permit requirements for new or modified sources to be located in nonattainment areas as meeting the requirements of Sections 172(b)(6), 172(b)(11)(A), and 173 of the Act with the following two conditions:

1. By March 1, 1981, the state changes the definition of potential emissions to be consistent with the EPA's proposed regulations of September 5, 1979. If in the interim prior to March 1, 1981, the EPA adopts a final definition, the state may fulfill this condition by adopting a definition consistent with EPA's final regulation; and

2. By March 1, 1981, the state changes the requirements for permitting

modifications to Class C sources to be applicable to both a modification to a single source operation and to two or more source operations which results in an increase in potential emissions greater than the de minimus levels specified in the regulation.

Conclusion: The public is invited to submit comments on whether these regulations should be approved as part of the Missouri SIP. The EPA also solicits comments on whether a final action based upon this proposal is appropriate.

The EPA is allowing 30 days for public comment on this proposed rulemaking. The availability of draft regulations was announced in the **Federal Register** on February 15, 1980. At that time, EPA stated the comment period would close 30 days after the publication of the proposed rulemaking. The EPA believes the State of Missouri has adopted regulations which are substantially similar to the draft regulations. With the publication of the notice of availability and the 30-day comment period allowed on this proposed rulemaking, 60 days will have been allowed for public inspection and comment on this Missouri SIP revision. By acting expeditiously upon these regulations when submitted as a SIP revision, the EPA can reduce the length of time that the Section 110(a)(2)(I) growth restrictions will be applicable in an affected area.

Under Executive Order 12044, EPA is required to judge whether a regulation is "significant" and therefore subject to the procedural requirements of the Order, or whether it may follow other specialized development procedures. EPA labels these other regulations "specialized."

I have reviewed this regulation and determined that it is a specialized regulation not subject to the procedural requirements of Executive Order 12044.

This notice of proposed rulemaking is issued under the authority of Section 110 of the Clean Air Act, as amended.

Dated: March 7, 1980.

Kathleen Q. Camin,

Regional Administrator.

[FR Doc. 80-8723 Filed 3-20-80; 8:45 am]

BILLING CODE 6560-01-M

DEPARTMENT OF COMMERCE

National Oceanic and Atmospheric Administration

50 CFR Part 661

Pacific Fishery Management Council and Scientific and Statistical Committee, Public Meetings

AGENCY: National Marine Fisheries Service, NOAA.

ACTION: Notice of meetings.

SUMMARY: The Pacific Fishery Management Council and its Scientific and Statistical Committee (SSC) will conduct special meetings on the fishery management measures adopted by the Council for the 1980 salmon fisheries. When a Council adopts fishery management measures, they are sent to the Department of Commerce for approval or disapproval. If approved, these measures become the basis for a proposed rule.

DATES: March 26–28, 1980.

ADDRESS: The Council meeting will take place at the Auditorium, Bonneville Power Administration Building, 1002 N.E. Holladay, Portland, Oregon 97208. The SSC meeting will take place at the Sheraton Portland Hotel, 1000 N.E. Multnomah, Portland, Oregon 97208.

FOR FURTHER INFORMATION CONTACT: Lorry Nakatsu, Pacific Fishery Management Council, 526 S.W. Mill Street, Second Floor, Portland, Oregon 97201, Telephone: (503) 332–6352.

SUPPLEMENTARY INFORMATION: The Pacific Fishery Management Council was established by Section 302 of the Fishery Conservation and Management Act of 1976 (Public Law 94–265), and the Council has established a Scientific and Statistical Committee (SSC) to assist in carrying out its responsibilities. Pursuant to Department of Commerce Administrative Order DAO 201–2, Appendix II–C, Section 8.b(3), the normal 20-day advance notice of a meeting has been reduced because these special meetings are being held in addition to the regularly scheduled meetings for developing the Fishery Management Plan and because further delay in completing the plan and submitting it to the Secretary of Commerce would result in a failure to conserve and manage the resource, contrary to the purposes of the Fishery Conservation and Management Act of 1976, as amended. The Council has advertised these special meetings in local newspapers and mailed notices to interested persons.

Meeting Agendas follow

Council

Agenda: March 27–28, 1980 (convening at 1 p.m. on March 27, 1980, reconvening at 8 a.m. on March 28, 1980; adjourning at 5 p.m. on both days)—major action will be the consideration of the Salmon Plan Development Team's latest analysis of the fishery management measures the Council adopted at its meeting on March 11–12, 1980, for the 1980 commercial and recreational salmon fisheries off the coasts of Washington, Oregon, and California. The analysis examines the impact of the adopted regulations on the resulting catches and spawning escapements.

Scientific and Statistical Committee

Agenda: March 26–27, 1980 (convening at 1 p.m. on March 26, 1980, reconvening at 8 a.m. on March 27, 1980; adjourning at 5 p.m. on both days)—discuss the Salmon Plan Development Team's recent analysis of the regulations the Council adopted on March 11–12, 1980, for the 1980 salmon fisheries, and advise the Council. The meetings are open to the public.

Dated: March 19, 1980.

Winfred H. Meibohn,

Executive Director, National Marine Fisheries Service.

[FR Doc. 80-8908 Filed 3-20-80; 9:48 am]

BILLING CODE 3510-22-M

Notices

Federal Register
Vol. 45, No. 57
Friday, March 21, 1980

This section of the FEDERAL REGISTER contains documents other than rules or proposed rules that are applicable to the public. Notices of hearings and investigations, committee meetings, agency decisions and rulings, delegations of authority, filing of petitions and applications and agency statements of organization and functions are examples of documents appearing in this section.

DEPARTMENT OF AGRICULTURE

Agricultural Stabilization and Conservation Service

1980 Rice Program; Determinations Regarding 1980-Crop Rice Set-Aside Program and Land Diversion Payments

AGENCY: Agricultural Stabilization and Conservation Service, USDA.

ACTION: Notice of final determination.

SUMMARY: The purpose of this notice is to announce with respect to the 1980-crop of rice that there will be no set-aside program and no land diversion payments. These determinations are required to be made by the Secretary in accordance with provisions of Section 101(h) of the Agricultural Act of 1949, as amended.

DATE: March 20, 1980.

ADDRESSES: Production Adjustment Division, ASCS, USDA, 3630 South Building, P.O. Box 2415, Washington, D.C. 20013.

FOR FURTHER INFORMATION CONTACT: George H. Schaefer, (ASCS) (202) 447–8480.

SUPPLEMENTARY INFORMATION: A notice that the Secretary was preparing to make determinations with respect to a set-aside program and land diversion payments for 1980 crop rice was published in the **Federal Register** on October 23, 1979, (44 FR 61047) in accordance with 5 U.S.C. 553 and Executive Order 12044. The notice also provided that the Secretary was preparing to make determinations with respect to the loan and purchase level, the established (target) price level, and program operation provisions for the 1980 rice crop. In response to the notice, 41 responses were received. Of these 36 commented on the set-aside option, with 34 opposed to the program. Two respondents favored a set-aside program with one suggesting a 10 to 20 percent set-aside. Fourteen of the fifteen respondents commenting on land diversion were opposed to the program.

Comments were also received regarding the other determinations proposed to be made by the Secretary. Final determinations on these items are still being considered and will be issued in the near future.

Accordingly, the Secretary has made the following determinations:

Determinations

1. *Set-aside requirement.* It is hereby determined that there will be no set-aside requirement for the 1980-crop rice program. Without a set-aside program, it is expected that rice acreage in 1980 will be about 2.96 million acres. Production would likely be about 134 million hundredweights. Total supply is estimated at about 169 million hundredweights. Domestic consumption and exports are estimated at 139 million hundredweights, which would result in carryover stocks of about 30 million hundredweights. The 1980 estimated total supply reflects only a slight increase compared to the 1979 total supply of 168 million hundredweights. The estimated carryover of 30 million hundredweights reflects a decline compared to the 1979 carryover of 35 million hundredweights. Neither the 1980 estimated total supply nor the carryover stocks are considered excessive.

Should adverse weather conditions prevail, the 1980 estimated total supply and carryover stocks could be substantially reduced. For these reasons, it has been determined that a rice set-aside program is not needed in 1980.

2. *Land diversion payments:* It is hereby determined that there will be no land diversion payments under the 1980-crop rice program in view of the fact that such payments are not necessary to assist in adjusting the total national acreage of rice to desirable goals.

These determinations have been reviewed under the USDA criteria established to implement Executive Order 12044. "Improving Government Regulations" and have been classified "significant". An Approved Final Impact is available from George H. Schaefer (ASCS), (202) 447–8480.

Signed at Washington, D.C., on March 17, 1980.

Bob Bergland,

Secretary.

[FR Doc. 80–8552 Filed 3–20–80; 8:45 am]
BILLING CODE 3410–05–M

Animal and Plant Health Inspection Service

Distribution of Rabies Vaccine, Brucella Abortus Vaccine, Burcella Abortus Antigen, and Tuberculin-PPD Bovis—New Restrictions

AGENCY: Animal and Plant Health Inspection Service, USDA.

ACTION: Notice regarding addition of restrictions.

SUMMARY: This document will serve to give notice that under the provisions of Title 9, § 102.5(e) of the Code of Federal Regulations, the Department has added the following conditions to all U.S. Veterinary Biological Product Licenses for Rabies Vaccine, Brucella Abortus Vaccine, Brucella Abortus Antigen, and Tuberculin-PPD Bovis.

"Distribution in each State shall be limited to authorized recipients designated by proper State officials—under such additional conditions as these authorities may require."

These conditions will provide additional controls on the distribution of those products that are considered essential to strengthen the present programs for the control and eradication of certain animal diseases, i.e., rabies, bovine brucellosis, and bovine tuberculosis.

FOR FURTHER INFORMATION CONTACT: Dr. R. J. Price, Biologics Licensing and Standards Staff, USDA, APHIS, VS, Room 827, Federal Building, 6505 Belcrest Road, Hyattsville, MD 20782, 301–436–8245.

SUPPLEMENTARY INFORMATION: On September 21, 1979, a notice was published in the **Federal Register** at 44 FR 54737 requesting comments on the Department's proposal to add conditions to all U.S. Veterinary Biological Product Licenses for Rabies Vaccine, Brucella Abortus Vaccine, Brucella Abortus Antigen, and Tuberculin-PPD Bovis. This notice stated that such vaccines and antigens would be required to have restrictive statements on their labels limiting them to use by or under the direction of a veterinarian and that distribution of these products by

licensees would be made subject ot State restrictions.

One hundred and fifty comments were received regarding the notice. Sixty-six responses supported the proposed action without change. Four responses supported the need for further controls on the distribution of these products, but objected to licensees being held responsible for distribution by second and third parties. Seventy-two responses objected to limiting Rabies Vaccines to distribution by or under the direction of a veterinarian only. Eight responses objected to any further restriction on the distribution of veterinary biological products.

From review of the responses received, it was evident that there was a lack of agreement concerning the need and justification for the proposed restrictions on the distribution of Rabies Vaccine. Objections coming from some of the rural areas of the United States indicated a need for flexibility to meet local needs. The limiting of Rabies Vaccine to use by or under the direction of a veterinarian was, therefore, not imposed on a nationwide basis as done with Brucella Abortus Vaccine, Brucella Abortus Antigen, and Tuberculin-PPD Bovis, but was left to the discretion of each State.

United States Veterinary Biological Product Licenses for Rabies Vaccine, Brucella Abortus Vaccine, Brucella Abortus Antigen, and Tuberculin-PPD Bovis have, therefore, been amended by the addition of the following condition:

Distribution in each State shall be limited to authorized recipients designated by proper State officials—under such additional conditions as these authorities may require.

This action will require licensees for these products to contact the proper officials of each State to determine how the products may be distributed. Each State has the authority to restrict the distribution of these products in accordance with local needs, including the authority to restrict Rabies Vaccines to use by a veterinarian only or to use by or under the direction of a veterinarian only.

The above condition has been added to United States Veterinary Biological Product Licenses for the following products:

Code	Product and form	License numbers of producers
1251.00	Brucella abortus vaccine, strain 19, live culture	52, 107, 188
1251.01	Brucella abortus vaccine, strain 19, live culture	188
1575.80	Feline panleukopenia-rabies vaccine, killed virus, cell line and murine origin	266
1901.03	Rabies vaccine, modified live virus, tissue culture origin, high cell passage, SAD strain	107, 124, 264
1901.05	Rabies vaccine, modified live virus, tissue culture origin, high cell passage, SAD strain	124
1901.22	Rabies vaccine, modified live virus, cell line origin, high egg passage, flury strain.	189
1901.23	Rabies vaccine, modified live virus, cell line origin, high cell passage, SAD strain.	107
1905.00	Rabies vaccine, killed virus, tissue culture origin	107
1905.20	Rabies vaccine, killed virus, cell line origin	225, 227
1905.51	Rabies vaccine, killed virus, murine origin	266
1905.55	Rabies vaccine, killed virus, murine origin	266
5011.00	Brucella abortus antigen, stained antigen	107
5302.00	Tuberculin, PPD bovis, intradermic	107

Done at Washington, D.C., this 17th day of March 1980.

J. K. Atwell,

Acting Deputy Administrator, Veterinary Services.

[FR Doc. 80-8629 Filed 3-20-80; 8:45 am]

BILLING CODE 3410-34-M

Federal Grain Inspection Service

Termination of Official Services; Replacement Agency Will Not Be Designated for the State of West Virginia

AGENCY: Federal Grain Inspection Service.

ACTION: Notice.

SUMMARY: This notice announces that at this time, a replacement agency will not be designated to provide official services in the State of West Virginia. The West Virginia Department of Agriculture, the agency formerly designated to provide official inspection services in West Virginia, chose not to pursue meeting requirements for designation under the United States Grain Standards Act, as amended, and accordingly the State's designation to provide official grain inspection services within the State, terminated November 19, 1978.

EFFECTIVE DATE: March 21, 1980.

FOR FURTHER INFORMATION CONTACT: J. T. Abshier, Director, Compliance Division, Federal Grain Inspection Service, United States Department of Agriculture, Washington, D.C. 20250, (202) 447-8262.

SUPPLEMENTARY INFORMATION: In accordance with provisions of the United States Grain Standards Act, and as announced in the August 29, 1979, issue of the **Federal Register** (44 FR 50621), the West Virginia Department of Agriculture's (State of West Virginia) designation of authority to provide official inspection services was terminated, effective midnight, November 19, 1978.

Interested persons were given until October 27, 1979, to submit comments regarding the need for continuing to provide official inspection services in West Virginia through designation of a replacement agency. No comments were received regarding this matter, however, one comment was received regarding inspection services in general in West Virginia.

After due consideration of all relevant matters and information available to the U.S. Department of Agriculture, the Administrator of the Federal Grain Inspection Service (FGIS), has determined that there is insufficient interest concerning designation of a replacement agency and at this time a replacement agency will not be designated to provide official services in West Virginia.

This action does not preclude any interested party from making future application for designation as an official agency in West Virginia, should need for such action be demonstrated.

In accordance with Section 7(h) and 7A(c)(2) of the Act, the FGIS, where requested, has been and will be providing official services on an interim basis, until such time as the service can be provided on a regular basis by an official agency 7 U.S.C. 79(h), 79a(c)(2). Persons desiring official inspection services should contact Mr. Harry F. Schadlich, Field Office Supervisor, United States Department of Agriculture, Federal Grain Inspection Service, 200 Granby Mall, Room 425, Norfolk, Virginia 23510, (804) 441-3120.

(Secs. 8, 9, Pub. L. 94-582, 90 Stat. 2873, 2875 (7 U.S.C. 79, and 79a))

Done in Washington, D.C., on March 14, 1980.

L. E. Bartelt,

Administrator.

[FR Doc. 80-8673 Filed 3-20-80; 8:45 am]

BILLING CODE 3410-02-M

Food and Nutrition Service

Advisory Council on Maternal, Infant, and Fetal Nutrition; Meeting

Pursuant to the Federal Advisory Committee Act (Pub. L. 92-463), announcement is made of the following Council meeting:

Name: National Advisory Council on Maternal, Infant and Fetal Nutrition.
Date and time: 9:00 a.m., April 21-23, 1980.

Place: Holiday Inn, 250 South First Avenue East Duluth, Minnesota 55802.
Purpose of meeting: The Council will continue its study of the Special Supplemental Food Program for Women, Infants and Children (WIC) and the Commodity Supplemental Food Program (CSFP), and will discuss a wide range of matters concerning the operations of these two programs.
Proposed agenda: The agenda will include discussion time for the following issues: WIC Program proposed income eligibility requirements; the WIC Program food packages; and CSFP regulations. The Council will also discuss its 1980 report to the President and the Congress.

This meeting will be open to the public. As time permits, members of the public may participate in the meeting.

Persons wishing additional information about this meeting should contact Lindy Dahnk, Supplemental Food Programs Division, Food and Nutrition Service, U.S. Department of Agriculture, Washington, D.C. 20250, telephone (202) 447–8421.

Carol Tucker Foreman,

Assistant Secretary for Food and Consumer Services.

March 13, 1980.

[FR Doc. 80–8327 Filed 3–20–80; 8:45 am]

BILLING CODE 3410–30–M

Soil Conservation Service

Elm Creek (1250) Watershed, Texas; Intent To Prepare Environmental Impact Statement

AGENCY: Soil Conservation Service; U.S. Department of Agriculture.

ACTION: Notice of Intent to Prepare an Environmental Impact Statement

FOR FURTHER INFORMATION CONTACT: Mr. George C. Marks, State Conservationist, Soil Conservation Service, W. R. Poage Federal Building, 101 South Main Street, Temple, Texas 76501, 817–773–1711 ext. 331.

NOTICE: Pursuant to Section 102(2)(C) of the National Environmental Policy Act of 1969; the Council on Environmental Quality Guidelines (40 CFR Part 1500); and the Soil Conservation Service Guidelines (7 CFR Part 650); The Soil Conservation Service, U.S. Department of Agriculture, gives notice that an environmental impact statement is being prepared for the Elm Creek (1250) Watershed, Runnels and Taylor Counties, Texas.

The environmental evaluation of this federally-assisted action indicates that the project may cause local, regional or national impacts on the environment. As a result of these findings, Mr. George C. Marks, State Conservationist, has determined that the preparation and review of an environmental impact statement is needed for this project.

The project concerns a plan for watershed protection and flood prevention. The planned works of improvement consist of acceleration of technical assistance to land users in the application of land treatment practices which will protect the land resource base, sustain production, and improve the fish and wildlife habitat, and a system of seven floodwater retarding structures designed to reduce floodwater damage.

A draft environmental impact statement will be prepared and circulated for review by agencies and the public. The Soil Conservation Service invites participation of agencies and individuals with expertise or interest in the preparation of the draft environmental impact statement. The draft environmental impact statement will be developed by Mr. George C. Marks, State Conservationist, Soil Conservation Service, W. R. Poage Federal Building, 101 South Main Street, Temple, Texas 76501; 817–773–1711 ext. 331.

(Catalog of Federal Domestic Assistance Progrem No. 10.904, Watershed Protection and Flood Prevention Program—Pub. L. 83–566, (16 U.S.C. 1001–1008))

Joseph W. Haas,

Assistant Administrator for Water Resources, Soil Conservation Service.

[FR Doc. 80–8639 Filed 3–20–80; 8:45 am]

BILLING CODE 3410–16–M

Upper Crooked Creek Watershed, Arkansas; Deauthorization of Federal Funding

AGENCY: Soil Conservation Service, U.S. Department of Agriculture.

ACTION: Notice of Deauthorization of Federal Funding.

FOR FURTHER INFORMATION CONTACT: James W. Mitchell, Director, Watersheds Division, Soil Conservation Service, U.S. Department of Agriculture, P.O. Box 2890, Washington, D.C. 20013 (202–447–3527).

NOTICE: Pursuant to the Watershed Protection and Flood Prevention Act, Pub. L. 83–566, and the Soil Conservation Service Guidelines (7 CFR Part 622), the Soil Conservation Service gives notice of the deauthorization of Federal funding for the Upper Crooked Creek Watershed project, Boone and Newton Counties, Arkansas, effective on February 13, 1980.

(Catalog of Federal Domestic Assistance Program No. 10.904, Watershed Protection and Flood Prevention Program, Pub. L. 83–566, (16 U.S.C. 1001–1008))

Dated: March 11, 1980.

Joseph W. Haas,

Assistant Administrator for Water Resources, Soil Conservation Service.

[FR Doc. 80–8637 Filed 3–20–80; 8:45 am]

BILLING CODE 3410–16–M

Upper Culotches Bay Watershed, Arkansas; Deauthorization of Federal Funding

AGENCY: Soil Conservation Service, U.S. Department of Agriculture.

ACTION: Notice of Deauthorization of Federal Funding.

FOR FURTHER INFORMATION CONTACT: James W. Mitchell, Director, Watersheds Division, Soil Conservation Service, U.S. Department of Agriculture, P.O. Box 2890, Washington, D.C. 20013 (202–447–3527).

NOTICE: Pursuant to the Watershed Protection and Flood Prevention Act, Pub. L. 83–566, and the Soil Conservation Service Guidelines (7 CFR Part 622), the Soil Conservation Service gives notice of the deauthorization of Federal funding for the Upper Culotches Bay Watershed project, Woodruff and Prairie Counties, Arkansas, effective on February 13, 1980.

(Catalog of Federal Domestic Assistance Program No. 10.904, Watershed Protection and Flood Prevention Program, Pub. L. 83–566 (16 U.S.C. 1001–1008))

Dated: March 11, 1980.

Joseph W. Haas,

Assistant Administrator for Water Resources, Soil Conservation Service.

[FR Doc. 80–8638 Filed 3–20–80; 8:45 am]

BILLING CODE 3410–16–M

CIVIL AERONAUTICS BOARD

[Docket 37278]

American Airlines, Inc., New York-San Juan Cargo Service, Enforcement Proceeding; Further Prehearing Conference and Notice of Hearing

By notice dated January 21, 1980 (45 FR 5785, dated January 24, 1980) a prehearing conference in the above-entitled proceeding was held before me on February 13, 1980. Notice is given that the prehearing conference will be resumed on April 8, 1980 at 9:30 a.m. (local time), in Hearing Room 1003 D, North Universal Building, 1875 Connecticut Avenue, N.W., Washington, D.C.

Notice is also given, pursuant to the provisions of the Federal Aviation Act of 1958, as amended, that a hearing in the above-entitled proceeding will be held on April 23, 1980, at 9:30 a.m. (local

time), in Hearing Room 1003 B, Universal Building North, 1875 Connecticut Avenue, N.W., Washington, D.C. 20428, before me.

For information concerning the issues involved and other details in this proceeding, interested persons are referred in the Docket Section of the Civil Aeronautics Board.

Dated at Washington, D.C., March 18, 1980.

Marvin H. Morse,

Administrative Law Judge.

[Doc. 80-8755 Filed 3-20-80; 8:45 am]

BILLING CODE 6320-01-M

[Docket 37867]

Boston-London Service Case; Assignment of Proceeding

This proceeding is hereby assigned to Administrative Law Judge William A. Pope II. Future communications should be addressed to Judge Pope.

Dated at Washington, D.C., March 17, 1980.

Joseph J. Saunders,

Chief Administrative Law Judge.

[FR Doc. 80-8754 Filed 3-20-80; 8:45 am]

BILLING CODE 6320-01-M

Applications for Certificates of Public Convenience and Necessity and Foreign Air Carrier Permits

Notice is hereby given that, during the week ended March 14, 1980 CAB has received the applications listed below, which request the issuance, amendment, or renewal of certificates of public convenience and necessity or foreign air carrier permits under Subpart Q of 14 CFR 302.

Answers to foreign permit applications are due 28 days after the application is filed. Answers to certificate applications requesting restriction removal are due within 14 days of the filing of the application. Answers to conforming applications in a restriction removal proceeding are due 28 days after the filing of the original application. Answers to certificate applications (other than restriction removals) are due 28 days after the filing of the application. Answers to conforming applications or those filed in conjunction with a motion to modify scope are due within 42 days after the original application was filed. If you are in doubt as to the type of application which has been filed, contact the applicant, the Bureau of Pricing and Domestic Aviation (in interstate and overseas cases) or the Bureau of International Aviation (in foreign air transportation cases).

Subpart Q Applications

Date filed	Docket No	Description
Mar. 11, 1980	37834	Montana Austria Flugbetrieb Gesellschaft, m.b.H., c/o Gary B. Garofalo, Boros & Garofalo, P.C., 1120 Connecticut Avenue, N.W., Suite 460, Washington, D.C. 20036. Application of Montana Austria Flugbetrieb Gesellschaft, m.b.H., pursuant to Section 402 of the Act and Subpart Q of the Board's Regulations requests a foreign air carrier permit to engage in schedule service between Vienna and New York. Answers may be filed by April 8, 1980.
Mar. 12, 1980	37835	Lone Star Airways, Inc., c/o Harry A. Bowen, 234 Georgetown Building, 2233 Wisconsin Avenue, N.W., Washington, D.C. 20007. Application of Lone Star Airways, Inc. pursuant to Part 201 and Subpart Q of the Board's Regulations and Section 401 of the Act, requests a certificate to engage in scheduled air transportation of passengers, property and mail as follows: Between the terminal point Houston, Texas and the terminal point Anchorage, Alaska. Conforming Applications and Answers are due April 9, 1980.
Mar. 19, 1980	37839	Air Florida, Inc., c/o Robert P. Silverberg, Suite 435, 2020 K Street, N.W., Washington, D.C. 20006. Application of Air Florida, Inc. pursuant to Section 401(b) of the Act, Parts 201 and 302 of the Board's Regulations requests a certificate of public convenience and necessity authorizing it to engage in foreign air transportation with respect to passengers, property and mail, as follows: Between the terminal point Miami, Florida and coterminal points in Belgium, The Netherlands and Switzerland. Conforming Applications and Answers are due April 8, 1980.
Mar. 13, 1980	37854	Travel-GO-Round Airlines, Inc., c/o James M. Burger, Shaw, Pittman, Potts & Trowbridge, 1800 M Street, N.W., Washington, D.C. 20036. Application of Travel-GO-Round Airlines, Inc. pursuant to Section 401 of the Act and Subpart Q of the Board's Procedural Regulations requests a certificate of public convenience and necessity authorizing TGRA to engage in transatlantic charter air transportation of cargo. Conforming Applications and Answers are due April 10, 1980.
Mar. 14, 1980	37855	British Caledonian Airways Limited, c/o Martin, Whitfield, Smith & Bebchick, 1701 Pennsylvania Avenue, N.W., Suite 1102, Washington, D.C. 20006. Application of British Caledonian Airways Limited pursuant to Section 402 of the Act and Subpart Q of the Board's Procedural Regulations requests amendment of its foreign air carrier permit so as to add St. Louis, Missouri as an additional U.S. coterminal point to its existing segment 1 authorization, B.cal intends to operate both scheduled and charter services over the St. Louis route. It plans to inaugurate St. Louis/London scheduled service on April 30, 1980. Answers may be filed by April 11, 1980.
Mar. 14, 1980	37862	Continental Air Lines, Inc., Los Angeles International Airport, Los Angeles, California 90009. Application of Continental Air Lines, Inc. pursuant to Section 401 of the Act, and Subpart Q of the Board Regulations requests the Board to amend its certificate of public convenience and necessity for Route 29 so as to authorize it to perform round trip nonstop air transportation between Palm Springs, CA on the one hand, and Los Angeles and San Francisco, CA, Portland, OR and Seattle, WA, on the other. Conforming Applications and Answers may be filed by April 11, 1980.

Phyllis T. Kaylor,

Secretary.

[FR Doc. 80-8756 Filed 3-20-80; 8:45 am]

BILLING CODE 6320-01-M

[Order 80-3-66; Docket 37496]

Dallas (Love Field)-Oklahoma City/ Albuquerque Nonstop Authority Proceeding

AGENCY: Civil Aeronautics Board.

ACTION: Notice of Order 80-3-66, Dallas (Love Field)-Oklahoma City/ Albuquerque Subpart Q Proceeding (Docket 37496).

SUMMARY: The Board is proposing to grant Dallas (Love Field)-Oklahoma City/Albuquerque nonstop authority to Southwest Airlines. The complete text of this order is available as noted below.

DATES: All interested persons having objections to the Board issuing an order making final the tentative findings and conclusions shall file, by April 21, 1980, a statement of objections together with a summary of testimony, statistical data, and other material expected to be relied upon to support the stated objections. Such filings shall be served upon parties listed below.

ADDRESSES: Objections to issuance of a final order should be filed in the Dockets Section, Civil Aeronautics Board, Washington, D.C. 20428, in Docket 37496, which we have entitled the *Dallas (Love Field)-Oklahoma City/ Albuquerque Subpart Q Proceeding.*

FOR FURTHER INFORMATION CONTACT: Donna M. Stright, Bureau of Domestic Aviation, Civil Aeronautics Board, 1825 Connecticut Avenue, N.W., Washington, D.C. 20428, (202) 673-5380.

SUPPLEMENTARY INFORMATION: Objections should be served upon: the Dallas-Fort Worth Parties, the Tulsa Parties, the Oklahoma Aeronautics

Commission, the Texas Aeronautics Commission, the New Mexico Department of Transportation, the mayors of Oklahoma City, Tulsa, Dallas, and Albuquerque, and the airport managers of Will Rogers World Airport, Tulsa International Airport, Love Field, and Albuquerque International Airport.

The complete text of Order 80–3–66 is available from our Distribution Section, Room 516, 1825 Connecticut Avenue, N.W. Washington, D.C. Persons outside the metropolitan area may send a postcard request for Order 80–3–66 to the Distribution Section, Civil Aeronautics Board, Washington, D.C., 20428.

By the Bureau of Domestic Aviation: March 13, 1980.

Phyllis T. Kaylor,

Secretary.

[FR Doc. 80–8757 Filed 3–20–80; 8:45 am]

BILLING CODE 6320–01–M

[Order 80–3–101; Dockets 37281 and 37880]

Order To Show Cause and Fitness Investigation of Green Mountain Airlines, Ltd.

AGENCY: Civil Aeronautics Board.

ACTION: Notice of Order 80–3–101. To Show Cause and Fitness Investigation of Green Mountain Airlines, Ltd., Dockets 37281 and 37880.

SUMMARY: The Board is issuing an order in which it tentatively finds and concludes that it is consistent with the public convenience and necessity to grant the application of Green Mountain Airlines, Ltd., for a certificate authorizing the air transportation of persons, property and mail between the terminal point Montpelier, Vermont and the terminal point Boston, Massachusetts. Certification is subject to a favorable determination of the applicant's fitness in the *Green Mountain Airlines, Ltd., Fitness Investigation* (Docket 37880), instituted concurrently. In addition, the Board tentatively concludes to deny Green Mountain Airlines' request for removal of Air New England's subsidy for the Montpelier-Boston market.

DATES: All interested persons having objections to the Board issuing an order making final the tentative findings and conclusions shall file by April 21, 1980, a statement of objections together with a summary of testimony, statistical data, and other material expected to be relied upon to support the stated objections. Such filings shall be served upon all parties listed below.

ADDRESSES: Objections to issuance of a final order should be filed in the Dockets Section, Civil Aeronautics Board, Washington, D.C. 20428, in Docket 37281, application of Green Mountain Airlines for a certificate of public convenience and necessity.

In addition, copies of such filings should be served on Green Mountain Airlines, Air New England, the Agency of Transportation of the State of Vermont, the Mayors of Montpelier and Barre, Vermont and Boston, Massachusetts, the Managers of Logan International Airport and Barre-Montpelier Airport and the Governors of Massachusetts and Vermont.

FOR FURTHER INFORMATION CONTACT: Janet A. Davis, Bureau of Domestic Aviation, Civil Aeronautics Board, 1825 Connecticut Avenue, NW., Washington, D.C. 20428; (202) 673–5369.

SUPPLEMENTARY INFORMATION: The complete text of order 80–3–101 is available from our Distribution Section, Room 516, 1825 Connecticut Avenue, NW., Washington, D.C. Persons outside the metropolitan area may send a postcard request for Order 80–3–101 to the Distribution Section, Civil Aeronautics Board, Washington, D.C. 20428.

By the Civil Aeronautics Board: March 14, 1980.

Phyllis T. Kaylor,

Secretary.

[FR Doc. 80–8758 Filed 3–20–80; 8:45 am]

BILLING CODE 6320–01–M

DEPARTMENT OF COMMERCE

International Trade Administration

Dextrines and Soluble or Chemically Treated Starches Derived From Corn Starch From the European Community; Final Countervailing Duty Determination

AGENCY: International Trade Administration, U.S. Department of Commerce.

ACTION: Final Countervailing Duty Determination.

SUMMARY: This notice is to inform the public that a countervailing duty investigation has resulted in a final determination that the European Community has given benefits which constitute subsidies within the meaning of the countervailing duty law on the manufacture, production, or exportation of dextrines and soluble or chemically treated starches derived from corn starch. A cash deposit, bond or other security in the amount of these benefits is being required at the time of entry in addition to duties normally collected on dutiable shipments of this merchandise.

EFFECTIVE DATE: March 21.

FOR FURTHER INFORMATION CONTACT: John J. Kenkel, International Trade Administration, Office of Investigation, U.S. Department of Commerce, 14th and Constitution Avenue, NW., Washington, D.C. 20230, telephone (202) 566–5492.

SUPPLEMENTARY INFORMATION: On January 2, 1980, a notice of "Preliminary Countervailing Duty Determination" was published in the **Federal Register** (45 FR 121). The notice stated that it had been preliminarily determined that benefits bestowed by the European Community (EC) and the Government of the Netherlands upon the manufacture, production, or exportation of dextrines and soluble or chemically treated starches derived from corn starch constitute the payment of bounties or grants within the meaning of section 303, Tariff Act of 1930, as amended (19 U.S.C. 1303) (referred to as "the Act"). In a notice published in the **Federal Register** on February 27, 1980 (45 FR 12860), the Department informed the public that under the terms of section 102(a) of the Trade Agreements Act of 1979 (93 Stat. 189, 19 U.S.C. 1671 note) (the Act) investigations under section 303 of the Act (19 U.S.C. 1303) which were pending when Title I of the Trade Agreements Act became effective on January 1, 1980 terminated. Furthermore, in investigations, such as corn starch, where a preliminary, but not a final, determination had been made by January 1, the matter was to be treated as if a preliminary determination under section 703 of the Act (93 Stat. 152, 19 U.S.C. 1671(b)) had been made on January 1, 1980. In accordance with section 703(d) of the Act (93 Stat. 153, 19 U.S.C. 1671(d)), the net amount of the subsidies provided, directly or undirectly, upon the manufacture, production or exportation of this merchandise was estimated to be 34.4% *ad valorem*, liquidation of entries was suspended effective January 1, 1980, and from January 1, until further notice a cash deposit, bond or other security in an amount equal to the net subsidy was required on all entries, or withdrawals from warehouse, for consumption. The EC comprises Belgium, Denmark, The Federal Republic of Germany, France, Ireland, Italy, Luxembourg, the Netherlands, and the United Kingdom. Imports covered by this investigation are classified under item 493.30, Tariff Schedules of the United States Annotated (TSUSA) and include only those dextrines and soluble or chemically treated starches derived from corn starch. The EC benefits in question are in the form of two types of payments made under the EC's Common

Agricultural Policy (CAP): "Production refund payments" and "export restitution payments". A minimum price for corn has been established under the CAP in order to support the price level of corn. Production refund payments (equal to $45.82 per ton) are made to processors of the subject merchandise who purchase corn at the support price. The full value of these payments is considered a subsidy to those processors.

Exporters of the subject merchandise receive export restitution payments based on an established formula for each unit of eligible merchandise exported from the EC. These payments also constitute a subsidy because they confer a direct benefit on the exported merchandise.

Accordingly, it is hereby determined, pursuant to section 705(a)(1) of the Act (93 Stat. 159, 19 U.S.C. 1671d(a)(1)), that a subsidy is being provided by the EC with respect to dextrines and soluble or chemically treated starches derived form corn starch.

The preliminary determination stated a subsidy of 34.4 percent *ad valorem*. Since the average price of the product differs in all the countries concerned, it would be inequitable to assess an *ad valorem* duty in this case. Instead, it has been determined to assess the duty on a volume basis.

Therefore, in accordance with § 355.33(e) of the Commerce Regulations (19 CFR 355.33(e), 45 FR 4946) and until further notice, the net amount of the subsidies bestowed on the merchandise subject to this investigation has been estimated to be $183.17 per metric ton for imports of the subject merchandise from all countries of the EC.

The preliminary determination also stated that the Government of the Netherlands provides financial assistance to some of the corn starch producers in that country. This assistance is given under the authority of the Wet Investeringsrekening (WIR) law. This law operates via an Investment Account whose purpose is to stimulate and guide investments in the Netherlands particularly in the interest of employment. It aims at promoting small-scale enterprise, regional economic development, town and country planning, and major projects.

It has been determined that the sole Dutch exporter of corn starch and/or derivatives, Cooperatieve Verkoop-en Produktievereniging van Aardappelmeel en Derivaten AVEBE G.A., (AVEBE), is a recipient of financial assistance under the WIR. This assistance is given under a general government program for regional economic development. Because it confers a direct grant upon the company it is deemed a subsidy under the Act. AVEBE received approximately $4.12 million in 1979, the latest year for which data is available. When this figure is divided by the volume of all of AVEBE's production for the same year, the average benefit per ton can be established. Using this methodology, it is determined that average benefit of the Government's subsidy for all of AVEBE's production in 1979 was $7.49 per metric ton. This subsidy is determined to be countervailable since it is a direct grant to AVEBE from the Government.

In addition to investment support, the Government of the Netherlands provides guarantees for AVEBE and loans extended by private banks. No measurable countervailable subsidy exists, because the loans were made at commercially available rates.

Therefore, since AVEBE is the sole Dutch exporter of corn starch and/or derivatives, the countervailable rate of duty to be paid for imports from the Netherlands due to subsidies given by its Government is determined to be $7.49 per metric ton. This is in addition to the rate of $183.17 per metric ton to be paid for imports of corn starch and its derivatives from all EC countries.

Pursuant to section 776 of the Tariff Act of 1930, as amended (93 Stat. 186, 19 U.S.C. 1677e), the accuracy of the information was verified. The information concerning the EC subsidy was verified in a published statement of the subsidy in the "Official Journal of the European Communities," and certified by its U.S. office. The verification of the Dutch subsidy was performed by a Department of Commerce official who examined the books and records of the sole exporter of this product. This exporter received the subsidy under the authority of the Wet Investeringsrekening (WIR) law. Verification was made of the amount of the subsidy received and the total export sales of the company. The verification confirmed that the amount of the grant was countervailable under U.S. law.

In order to allow Customs officials to distinguish the merchandise not subject to it, those officials may take whatever administrative actions are necessary, such as, but not limited to, requiring manufacturer's certification of the material origin if shipments and/or a detailed invoice description of the merchandise.

Effective on or after March 21, 1980 and until further notice, upon the entry, or withdrawal from warehouse, for consumption of such dextrines and soluble or chemically treated starches derived from corn strarch which benefits from these subsides and which are imported directly or indirectly from the EC, there shall be collected, in addition to any other duties estimated or determined to be due, estimated countervailing duties in the form of a cash deposit, bond or other security in the amount ascertained in accordance with the above declaration.

The suspension of liquidation ordered in the notice published in the **Federal Register** of February 27, 1980 (45 FR 12860), remains in effect until further notice. However, the cash deposit, bond or other secuirty required for entries made subsequent to the date of publication of this final determination shall be in an amount equal to the estimated net subsidy declared herein. To the extent that it can be established to the satisfaction of the Assistant Secretary for Trade Administration that imports of the subject merchandise from the EC are benefiting from a subsidy smaller than the amount which otherwise would be applicable under the above declaration, the smaller amount so established shall be assessed and collected.

Any merchandise subject to the terms of this order shall be deemed to have benefited from a subsidy if such subsidy has been or will be credited or bestowed, directly or indirectly, upon the manufacture, production or exportation of dextrines and slouble or chemically treated starches derived from corn starch from the EC. This final determination is published pursuant to section 705(d) of the Act (93 Stat. 160, 19 U.S.C. 1671d(d)).

Stanley J. Marcuss,

Acting Assistant Secretary for International Trade.

March 18, 1980

[FR Doc. 80-8738 Filed 3-20-80; 8:45 am]

BILLING CODE 3510-22-M

Melamine in Crystal Form From Italy; Antidumping: Determination of Sales at Less than Fair Value

AGENCY: U.S. Department of Commerce.

ACTION: Final Determination of Sales at Less Than Fair Value.

SUMMARY: This notice is to advise the public that it has been determined that melamine in crystal form from Italy has been sold to the United States at less than fair value within the meaning of section 735 of the Tariff Act of 1930, as amended. Sales at less than fair value generally occur when merchandise exported to the United States is sold in the United States at a price which is less than (a) the price of such or similar merchandise sold in the home market,

(b) in the absence of a viable home market, the price at which it is sold in a third country, or (c) a constructed value.

EFFECTIVE DATE: March 21, 1980.

FOR FURTHER INFORMATION CONTACT: Stuart Keitz, Office of Investigations, International Trade Administration, U.S. Department of Commerce, NW., Washington, D.C. 20230 (202–566–5492).

SUPPLEMENTARY INFORMATION: On March 23, 1979, information was received in proper form pursuant to §§ 153.26 and 153.27, Customs Regulations (19 CFR 153.26, 153.27), from counsel acting on behalf of Melamine Chemicals, Inc. (MCI), Donaldsonville, Louisiana, alleging that imports of melamine in crystal form from Italy are being, or are likely to be, sold at less than fair value within the meaning of the Antidumping Act, 1921, as amended (19 U.S.C. 160 *et seq.*). An "Antidumping Proceeding Notice" indicating that there was evidence on record concerning injury to, or likelihood of injury to, an industry in the United States was published in the **Federal Register** of May 1, 1979 (44 FR 25555). A "Witholding of Appraisement Notice" was published in the **Federal Register** on November 13, 1979 (44 FR 65515).

The merchandise under consideration is described as "melamine in crystal form" provided for in item 425.1020 of the Tariff Schedules of the United States Annotated (TSUSA). In accordance with section 102(b)(2) of the Trade Agreements Act of 1979 (19 U.S.C. 1671 note), this matter is being treated as if a preliminary determination under section 733 of the Tariff Act of 1930, as amended (93 Stat. 163, 19 U.S.C. 1673b) had been made on January 1, 1980. Accordingly, liquidation has been suspended on all entries, or withdrawals from warehouse, for consumption of the subject merchandise from Italy on or after the date of publication of the "Withholding of Appraisement Notice" in the **Federal Register.**

Final Determination of Sales at Less Than Fair Value

On the basis of the information developed in the investigation and for the reasons stated below, pursuant to section 735 of the Tariff Act of 1930, as amended (93 Stat. 169, 19 U.S.C. 1673d), I hereby determine that melamine in crystal form from Italy is being, or is likely to be, sold in the United States at less than its fair value.

Statement of Reasons for this Determination

a. *Scope of the Investigation.* Virtually all the subject merchandise from Italy sold for export to the United States during the investigatory period (November 1, 1978, through April 30, 1979) was sold by Montedison, S.p.A. The investigation, therefore, was limited to this company.

b. *Basis of Comparison.* For the purpose of considering whether the merchandise in question is being, or is likely to be, sold at less than fair value within the meaning of the Act, the proper basis of comparison is between the purchase price and the home market price of such merchandise. Purchase price, as defined in section 772 of the Tariff Act of 1930, as amended (93 Stat. 181, 19 U.S.C. 1677a), was used since all United States sales were made to unrelated customers prior to the importation of the merchandise. Home market price, as defined in § 353.3, Commerce Regulations (19 CFR 353.3, 45 FR 8191), was used since such merchandise was sold in sufficient quantities in the home market to provide an adequate basis of comparison for fair value purposes.

In accordance with § 353.38(a), Commerce Regulations (19 CFR 353.38(a), 45 FR 8200), pricing information was gathered concerning sales to the United States and home market sales during the period November 1, 1978, through April 30, 1979.

c. *Purchase Price.* For purposes of this determination, purchase price has been calculated on the basis of the CIF, duty-paid price to the unrelated United States customer. Deductions have been made for ocean freight, brokerage fees, duty, and foreign inland freight, where applicable.

d. *Home Market Prices.* For the purposes of this determination, fair value has been calculated on the basis of the weighted-average price in the home market to unrelated purchasers. A deduction was made for inland freight and an adjustment was made for a packing differential. Adjustments claimed for year-end discounts and discounts for cash payment or payment in advance were not allowed because they could not be adequately quantified nor could they be directly related to the sales under consideration, as required by §353.15, Commerce Regulations (39 CFR 353.15, 45 FR 8194). A claimed adjustment for technical services was not allowed since this was not directly attributable to sales under consideration as required by section 353.15 but rather was more in the nature of an expense for general research and development. Claimed adjustments for salesmen's salaries, administrative expenses, and inventory warehousing costs were not allowed since these were not directly related to the sales under consideration as required by § 353.15.

e. *Result of Fair Value Comparisons.* Using the above criteria, purchase price is lower than the home market price of such merchandise. Comparisons were made on 100 percent of the sales to the United States during the period of investigation. Margins were found on 100 percent of the sales examined. The margins range from 15.2 to 34.4 percent, and the weighted average margin is 31.05 percent.

Verification of submitted data was accomplished by random selection of response information and subsequent examination of related financial ledgers, records and commercial documents at the respondent's premises.

Interested persons have been provided an opportunity to present views in accordance with § 353.44(e), Commerce Regulations (19 CFR 353.44(e), 45 FR 8203).

This notice is published pursuant to § 353.44(f), Commerce Regulations (19 CFR 353.44(f) 45 FR 8203).

Stanley J. Marcuss,

Acting Assistant Secretary for Trade Administration.

[FR Doc. 80–8735 Filed 3–20–80; 8:45 am]

BILLING CODE 3510–22–M

Portable Electric Typewriters From Japan; Antidumping: Determination of Sales at Less Than Fair Value

AGENCY: U.S. Department of Commerce.

ACTION: Final Determination of Sales at Less than Fair Value.

SUMMARY: This notice is to advise the public that an antidumping investigation has resulted in a determination that portable electric typewriters from Japan have been sold in the United States at less than fair value within the meaning of section 731 of the Tariff Act of 1930, as amended by the Trade Agreements Act of 1979 (Pub. L. 96–39, July 26, 1979; 93 Stat. 162). Sales at less than fair value generally occur when merchandise exported to the United States is sold in the U.S. at a price which is less than (a) the price of such or similar merchandise sold in the home market (b) in the absence of a viable home market, the price at which it is sold to a third country, or (c) a constructed value. This case is being referred to the United States International Trade Commission for a determination concerning possible injury to an industry in the United States.

EFFECTIVE DATE: March 21, 1980.

FOR FURTHER INFORMATION CONTACT: Steven S. Lim, Office of Investigations, Import Administration, U.S. Department

of Commerce, Room 3850, NW., Washington, D.C. 20230, telephone (202) 566–8235.

SUPPLEMENTARY INFORMATION: On April 9, 1979, information was received in proper form pursuant to §§ 153.26 and 153.27, Customs Regulations (19 CFR 153.26, 153.27), from counsel acting on behalf of the Smith-Corona Group, Consumer Products Division, SCM Corporation, New Canaan, Connecticut, alleging that portable electric typewriters from Japan are being, or are likely to be, sold at less than fair value within the meaning of the Antidumping Act of 1921, as amended (19 U.S.C. 160 *et seq.*). An "Antidumping Proceeding Notice" indicating that there was evidence on record concerning injury, or likelihood of injury, to an industry in the United States was published in the **Federal Register** of May 18, 1979 (44 FR 29191). A notice of "Extension of Antidumping Investigatory Period" was published in the **Federal Register** on November 15, 1979 (44 FR 68853). A tentative determination of sales at less than fair value and a notice of "Withholding of Appraisement" was published in the **Federal Register** on January 2, 1980 (45 FR 1220). For purposes of this notice, portable electric typewriters are provided for in item 676.0510, Tariff Schedules of the United States Annotated.

Determination of Sales at Less than Fair Value

On the basis of information developed during investigations conducted by Customs and the Department of Commerce and for the reasons noted below, pursuant to section 735 of the Tariff Act of 1930, as amended (93 Stat. 169, 19 U.S.C. 1673d), I hereby determine that the United States price of portable electric typewriters from Japan is less than the fair value, and thereby the foreign market value, of such or similar merchandise.

Statement of Reasons on Which This Determination is Based

a. *Scope of the Investigation.* Virtually all the imports of the subject merchandise from Japan were manufactured by Nakajima All Co., Ltd., Silver Seiko Co. Ltd., and Brother Industries, Ltd. Therefore, the investigation was limited to these three manufacturers.

b. *Basis of Comparison.* For purposes of this determination, the basis of comparison was between purchase price and the adjusted home market price of such or similar merchandise on all shipments by Nakajima All Company. On shipments by Silver Seiko Co. and Brother Industries, Ltd., the basis of comparison was purchase price or exporter's sales price, as appropriate, and the adjusted home market price of such or similar merchandise.

Purchase price, as defined in section 772(b) of the Tariff Act of 1930, as amended (93 Stat. 181, 19 U.S.C. 1677a(G)), was used for those shipments to the U.S. where the sales were made to unrelated U.S. customers. Exporter's sales price, as defined in section 772(c) of the Act (93 Stat. 181, 19 U.S.C. 1677a(C)), was used for those sales by Silver Seiko and Brother Industries which were made to U.S. firms related to those manufacturers within the meaning of section 771(13) of the Act (93 Stat. 180, 19 U.S.C. 1677(13)).

Silver Seiko and Brother contended that home market price should not be used as a basis for comparison on the ground that the quantity of sales of the subject merchandise in the home market was insufficient to provide an adequate basis for comparison. However, since sales in the home market by both manufacturers were greater than 5 percent of the total sales to countries other than the United States, in accordance with § 353.4(a), Commerce Regulations (19 CFR 353.4(a), 45 FR 8191), it has been determined that there were sales in sufficient quantities in the home market to provide a basis of comparison for fair value purposes.

In accordance with § 353.38(a), Commerce Regulations (19 CFR 353.38(a), 45 FR 8200), pricing information was gathered concerning sales to the United States and home market sales during the period November 1, 1978, through April 30, 1979.

c. *Purchase Price.* For purposes of this determination, purchase price was calculated on the basis of the f.o.b. packed price to the United States, with deductions, where applicable, for inland freight, handling, commissions, shipping, and loading charges. Silver Seiko's selling price to one of its U.S. purchasers was subject to an exchange rate agreement. Generally, this agreement provides for an adjustment to the selling price of the merchandise to account for fluctuations in the exchange rate. The net selling price, after adjustment for exchange rate fluctuations in accordance with the agreement, was used for sales involving this U.S. purchaser. Subsequent to the tentative determination, Brother provided information which indicated that certain U.S. customers paid higher prices to the U.S. subsidiary for the subject merchandise. This higher price was used as the starting price instead of the transfer price (between the parent and subsidiary company) when arriving at the net selling price, resulting in a small decrease in the dumping margin.

d. *Exporter's Sales Price.* For the purpose of this determination, exporter's sales price was calculated on the basis of the selling price to unrelated U.S. dealers, with deductions, where applicable, for Japanese inland freight, shipping, ocean freight, brokerage, U.S. inland freight, insurance, commissions, freight-out (transportation), co-op advertising, overbilling, warranty costs, financing expenses, accrual advertising, bad debt allowances, handling, selling expenses, and direct and indirect advertising, as appropriate. With respect to the determination of exporter's sales price for Brother, supplemental information revealed that the commission paid to independent sales representative selling each model in the U.S. was less than originally calculated in the tentative determination. Further adjustment has been made for this factor.

e. *Home Market Price.* For the purposes of this determination, home market price was calculated on the basis of the weighted-average price to unrelated purchasers. Adjustments were made, where applicable, for inland freight, rebates, promotional discounts, give-away, warranty costs, service costs, selling expenses, commissions, direct advertising, interest costs, and differences in merchandise sold in the two markets, as appropriate. Claims were made for certain adjustments by one or more of the manufacturers. These claims, which were allowed, involved selling commissions and selling expenses and certain advertising costs. The claim for adjustment to fair value for salesmen's commissions incurred in the United States and not in the home market was allowed in the case of Silver Seiko. Pursuant to § 353.15(c) of the Commerce Regulations (19 CFR 353.15(c), 45 FR 8194), additional adjustment has been made to the home market price for general administrative and selling expense up to the amount deducted from the exporter's sales price for salesmen's commissions paid in the United States. Brother's claim that adjustment to fair value should be made for advertising expenses was allowed where it was shown that the advertising expenses were directly related to the sales under consideration or were attributable to a later sale of the merchandise by a purchaser.

Certain claims made for adjustments by one or more of the manufacturers were disallowed. These claims involved such or similar merchandise, differences in quantities, level of trade, salesmen's salaries, differences in merchandise

selling expenses, certain advertising expenses, certain transportation expenses and unanticipated fluctuations in the exchange rates.

Nakajima contended that the physical differences between U.S. model 7500 and home market model 8800 R were so extensive as to preclude a finding of the two models as "such or similar."

However, since both models under consideration are of the same class or kind produced by the same person in the same country of exportation, all the criteria laid down in Section 771(16)(C) of the Tariff Act of 1930, as amended (93 Stat. 180, 19 U.S.C. 1677(16)(C)) are satisfied. Therefore, Nakajima's claim that the home market model 8800 R is not "such or similar" merchandise has been denied.

A claim by Nakajima for adjustment to the price of similar merchandise for differences in overhead and profit has been denied. The overhead expenses have not been shown to be directly related factory overhead expenses, and claims for differences in profit are not permitted under § 353.16 of the Commerce Regulations (19 CFR 353.16, 45 FR 8194).

Nakajima also claimed that Customs failed to take into account the differences between the quarterly and daily rate of exchange during the period of investigation. Nakajima further contends that the dumping margin was solely the result of exchange rate fluctuations.

Pursuant to § 353.56 of the Commerce Regulations (19 CFR 353.36, 44 FR 8206), any necessary conversion of foreign currency into its equivalent U.S. currency shall be made in accordance with provisions of Section 522 of the Tariff Act of 1930, as amended. Section 522 of the Tariff Act of 1930 as amended, provides that the Secretary of the Treasury shall proclaim official exchange rates on a quarterly basis. The quarterly conversion figures for the last quarter of 1978 were given in T.D. 78–382, and the conversion figures for the first half of 1979 were given in T.D.'s 79–16 and 79–113.

Section 522(c)(1) of the Tariff Act of 1930, as amended (31 U.S.C. 372(c)(1)), provides that if the daily rate of exchange and the value proclaimed by the Secretary of the Treasury vary by 5 percent or more, the daily rate of exchange should be used for conversion purposes for transactions occuring on the day of the 5 percent or more variation.

For purposes of both the tentative determination and the final determination, foreign currency conversions were calculated in accordance with section 522(c)(1) of the Tariff act of 1930, as amended. Calculations for both determinations revealed dumping margins. Therefore, Nakajima's contention that dumping margins result solely from exchange rate fluctuations is denied.

Silver Seiko contended that in determining fair value, the sales price of the merchandise in the home market must be based upon the discounted sales price to its highest volume domestic customer. Information furnished by Silver Seiko indicated that sales in the U.S. and Japan were not made in comparable quantities. Therefore, the weighted-average prices of the merchandise sold to customers in the home market must be determined in accordance with § 353.20 of the Commerce Regulations (19 CFR 353.20). Consequently, Silver Seiko's claim that fair value be determined by the discounted price to one purchaser has been denied.

Silver Seiko also contended that an adjustment for differences in the level of trade must be made to determine fair value. It is Seiko's contention that sales to the United States are made at a different point in the distribution process than the sales under consideration in the home market. Specifically, Seiko alleges that sales to the U.S. are made to original equipment manufacturers while sales in the home market are made to large retailers. Fair value was determined by comparing the selling price of the subject merchandise to large wholesale quantity customers in U.S. and home market sales to large wholesale quantity customers. Since the price comparison was made in both markets using sales to customers who bought in large wholesale quantities, no adjustment for differences in level of trade is warranted.

Silver Seiko claimed that adjustment to fair value should be made for certain costs of transporting merchandise from the factory to the distribution warehouse. This claim was disallowed, because it was not shown to be an expense directly related to the sale under consideration.

Silver Seiko made additional claims for adjustments to the home market price for differences in circumstances of sale, salesman's salaries and certain advertising expenses. Each of the disallowed claims are discussed below.

Silver Seiko claimed that an adjustment should be made for salesmen's salaries in Japan. Salesmen's salaries appear to be of a general overhead nature and would be incurred whether or not specific sales were made. Treasury had maintained a long-standing practice of disallowing claims for adjustment to home market prices for salesmen's salaries since such costs could not be shown to be directly related to the sales under consideration as required by Customs Regulations and now required by § 353.15(a) of the Commerce Regulations. On this basis, the claim has been rejected.

A claim has been made for certain advertising expenses (e.g. photo film for typewriters) which include art work, type setting, photo filming, plating, and other preparatory work for the printing of the brochures. In addition, a claim has been made for other promotional items. No evidence has been presented to indicate that the advertising materials were directed to the later sales of the merchandise by the purchasers as required by § 353.15(a) of the Commerce Regulations (19 CFR 353.15(a), 45 FR 8194). Based on the foregoing, it has been determined that no adjustment is appropriate at this time.

Subsequent to the tentative determination, Brother furnished a breakdown of advertising expenses (e.g. newspaper, radio commercial, calendar, magazine, catalogues, trade circle newspaper I and II). It is claimed that adjustment should be made for these expenses in the calculated fair value.

Based on the breakdown provided by Brother, appropriate adjustments were made to the home market price for differences in circumstances of sales for expenses incurred for advertising (e.g. newspaper, radio commercial, calendar) regarded as general media advertising directed to later sales by the purchasers. With respect to claims for other advertising expenses, no allowances were made due to the lack of evidence that they were directly related to purchasers in later sales, as required by Commerce Regulations section 353.15(a).

f. *Results of Fair Value Comparisons.* Using the above criteria, purchase price or the exporter's sales price, as the case may be, were found to be lower than the home market price of such or similar merchandise. Comparisons were made on virtually all the portable electric typewriters which were shipped or sold to the United States by Nakajima All, Silver Seiko, and Brother Industries during the period of investigation.

Margins were found on 93 percent of sales compared. The weighted-average margin on the basis of all sales compared is 37.12 percent. Weighted-average margins found with respect to the companies under investigation, computed over all sales compared, were 4.36 percent for Nakajima All; 36.53 percent for Silver Seiko; and 48.70 percent for Brother Industries.

Verification of submitted data was accomplished by random selection of response information and subsequent

examination of related financial ledgers, records and commercial documents at the respondent's premises.

A public hearing notice was published in the **Federal Register** of February 1, 1980 (45 FR 7272). Interested persons have been provided an opportunity to present written and oral views in accordance with § 353.44(e), Commerce Regulations (19 CFR 353.44(e), 45 FR 8202).

This determination is published pursuant to § 353.44(f), Commerce Regulations (19 CFR 353.44(f), 45 FR 8202).

Stanley J. Marcuss,

Acting Assistant Secretary for Trade Administration.

March 18, 1980.

[FR Doc. 80-8736 Filed 3-20-80; 8:45 am]

BILLING CODE 3510-22-M

Wool Top From Australia; Final Countervailing Duty Determination

AGENCY: International Trade Administration, U.S. Department of Commerce.

ACTION: Final Countervailing Duty Determination.

SUMMARY: This notice is to inform the public that a countervailing duty investigation has resulted in a final determination that the Government of Australia has not given benefits that constitute bounties or grants on the manufacture, production or exportation of wool top.

EFFECTIVE DATE: March 21, 1980.

FOR FURTHER INFORMATION CONTACT: Stephen Nyschot, Office of Investigations, International Trade Administration, U.S. Department of Commerce, Washington, D.C. 20230, (202) 566-5492.

SUPPLEMENTARY INFORMATION: On January 4, 1980, a notice of "Preliminary Countervailing Duty Determination" was published in the **Federal Register** (45 FR 1219). The notice stated that it had been preliminarily determined that no bounties or grants are being paid or bestowed within the meaning of section 303 of the Tariff Act of 1930, as amended (19 U.S.C. 1303), upon the manufacture, production, or exportation of wool top from Australia. The notice invited interested persons to comment on the action. No comments were received.

For purposes of this notice, the product concerned is wool top, classifiable under item 307.50, Tariff Schedules of the United States. This product consists of wool fibers processed beyond the washed, scoured, or carbonized conditions but not spun. Wool top constitutes the first stage in the manufacture of worsted type wools.

Two programs were investigated to determined whether they bestowed significant benefits upon manufacturers, producers, or exporters of wool top. These programs are:

(1) The Export Expansion Grants Act of 1978.

Under this program, qualified exporters of certain types of products receive a cash grant based upon the increase in value of their exports in the current year as compared to their export performance in the previous 3 years. No exporters of wool top to the U.S. have received grants under this program.

(2) The Export Market Development Grants Act of 1978. This program gives exporters cash rebates for certain expenditures incurred in the research and development of new markets for Australian products. One company which exports wool top to the U.S. received a grant. The benefit from this payment (0.1 percent *ad valorem*) is within the range of *de minimis* and, therefore, not countervailable.

Pursuant to section 776 of the Tariff Act of 1930, as amended (93 Stat. 186, 19 U.S.C. 1677e), the accuracy of the information was verified. The verification was performed by a U.S. Government official who examined the books and records of the only company which received a grant under the Export Market Development Grants Act of 1978. Verification was made of the amount of grant recieved and the total export sales of the company. The verification confirmed that the amount of the grant was less than 0.1 percent of total export sales for the company.

The Department of Commerce will obtain the annual reports of the boards which administer these programs. The reports, which list the companies receiving grants under these programs, will be included in the library of publicly available information relating to foreign subsidy practices and countervailing measures, as provided for in section 777(a)(1) of the Tariff Act of 1930, as amended (93 Stat. 187, 19 U.S.C. 1677f(a)(1)).

Accordingly, it is determined that no bounties or grants within the meaning of section 303 of the Tariff Act of 1930, as amended (93 Stat. 190, 19 U.S.C. 1303), are being paid or bestowed, directly or indirectly, upon the manufacture, production, or exportation of wool top from Australia.

This final determination is published pursuant to section 705(d) of the Tariff Act of 1930, as amended (93 Stat. 160, 19 U.S.C. 1671d(d)).

Stanley J. Marcuss,

Acting Assistant Secretary for Trade Administration.

March 18, 1980.

[FR Doc. 80-8737 Filed 3-20-80; 8:45 am]

BILLING CODE 3510-22-M

Maritime Administration

[S-665]

American Heavy Lift Shipping Co.; Application for ODS by Operator With Interest in Vessels Engaged in Domestic Service

Notice is hereby given that American Heavy Lift Shipping Company (AHL) has filed application under the Merchant Marine Act, 1936, as amended (the Act), for operating-differential subsidy to aid in the operation of its two heavy-lift vessels, the MV's JOHN HENRY and PAUL BUNYAN. Inasmuch as AHL, and/or related persons or firms, employ or may employ ships in the domestic intercoastal or coastwise service, written permission of the Maritime Administration under section 805(a) of the Act will be required if the application for operating-differential subsidy is to be approved.

AHL is 75 percent owned by Gulf Oil Corporation (Gulf). Gulf has owned and operated a fleet of U.S.-flag oil tankers in the coastal and intercoastal trades since 1902 and has continuously owned such vessels to the present time. Ordinarily these vessels are operated for Gulf's own account as industrial carriers. Other than portfolio-type holdings of the stock of Gulf by certain individuals with business connections with Gulf, namely, by Messrs. Goodman, Wyman, Hoskins, Ash, Sugarman, Patterson, Winget, Boyce, Weyranch, and Pfautz, no director or officer of AHL has an interest in any entity operating vessels in the domestic intercoastal or coastwise service within the meaning of section 805(a) of the Act.

Any person, firm, or corporation having any interest in such application (within the meaning of section 805(a)) and desiring to submit comments concerning the application must file written comments in triplicate with the Secretary, Maritime Administration, by close of business on April 4, 1980 together with petition for leave to intervene. The petition shall state clearly and concisely the grounds of interest and the alleged facts relied on for relief.

If no petitions for leave to intervene are received within the specified time, or if it is determined that petitions filed

do not demonstrate sufficient interest to warrant a hearing, the Maritime Administration will take such action as may be deemed appropriate.

In the event petitions regarding the relevant section 805(a) issues are received from parties with standing to be heard, a hearing will be held. The purpose of the hearing will be to receive evidence under section 805(a) relative to whether the proposed operations (a) could result in unfair competition to any person, firm, or corporation operating exclusively in the coastwise or intercoastal service, or (b) would be prejudicial to the objects and policy of the Act relative to domestic trade operations.

(Catalog of Federal Domestic Assistance Program No. 11.504, Operating-Differential Subsidies (ODS))

By order of the Assistant Secretary for Maritime Affairs.

Dated: March 18, 1980.

Robert J. Patton, Jr.,

Secretary.

[FR Doc. 80-8775 Filed 3-20-80; 8:45 am]

BILLING CODE 3510-15-M

Approval of Request for Removal, Without Disapproval, From Roster of Approved Trustees

On February 5, 1980, there was published in the **Federal Register** (FR Vol. 45, No. 25) pursuant to 46 CFR 221.28, a Notice of Request for Removal, Without Disapproval, from Roster of Approved Trustees pursuant to the request of the Alaska National Bank of the North, with offices at College Office and Administrative Center, P.O. Box 60730, Fairbanks, Alaska.

Therefore, pursuant to Pub. L. 89–346 and 46 CFR 221.21–221.30, the Alaska National Bank of the North is removed from the Roster of Approved Trustees.

This notice shall become effective March 21, 1980.

Dated: March 18, 1980.

By Order of the Assistant Secretary of Commerce for Maritime Affairs.

Robert J. Patton, Jr.,

Secretary.

[FR Doc. 80-8773 Filed 3-20-80; 8:45 am]

BILLING CODE 3510-15-M

Approval of Request for Removal, Without Disapproval, From Roster of Approved Trustees

On February 5, 1980, there was published in the **Federal Register** (FR Vol. 45, No. 25) pursuant to 46 CFR 221.28, a Notice of Request for Removal, Without Disapproval, from Roster of Approved Trustees pursuant to the request of the Bank of Oklahoma, with offices at P.O. Box 2300, Tulsa, Oklahoma.

Therefore, pursuant to Pub. L. 89–346 and 46 CFR 221.21–221.30, the Bank of Oklahoma is removed from the Roster of Approved Trustees.

This notice shall become effective March 21, 1980.

Dated: March 18, 1980.

By Order of the Assistant Secretary of Commerce for Maritime Affairs.

Robert J. Patton, Jr.,

Secretary.

[FR Doc. 80-8774 Filed 3-20-80; 8:45 am]

BILLING CODE 3510-15-M

National Oceanic and Atmospheric Administration

Mid-Atlantic Fishery Management Council; Public Meeting

AGENCY: National Marine Fisheries Service, NOAA.

SUMMARY: The Mid-Atlantic Fishery Management Council, established by Section 302 of the Fishery Conservation and Management Act of 1976 (Pub. L. 94–265), will meet to discuss a work plan for Amendments to the Squid, Mackerel, Butterfish, and Shark Fishery Management Plans (FMPs) and status of FMPs; foreign fishing applications; and other fishery management and administrative matters.

DATES: The meeting will convene on Wednesday, April 9, 1980, at 1 p.m. and will adjourn on Friday, April 11, 1980, at approximately 1 p.m. The meeting is open to the public.

ADDRESS: The meeting will take place at the Sheraton-Fontainebleau Inn, 10100 Coastal Highway, Ocean City, Maryland 21842.

FOR FURTHER INFORMATION CONTACT: Mid-Atlantic Fishery Management Council, North and New Streets, Room 2115, Federal Building, Dover, Delaware 19901, Telephone: (302) 674–2331.

Dated: March 18, 1980.

Winfred H. Meibohm,

Executive Director, National Marine Fisheries Service.

[FR Doc. 80-8781 Filed 3-20-80; 8:45 am]

BILLING CODE 3510-22-M

National Marine Fisheries Service; Modification of Permit

Notice is hereby given that, pursuant to the provisions of Sections 216.33 (d) and (e) of the Regulations Governing the Taking and Importing of Marine Mammals (50 CFR Part 216), Permit No. 194 issued to Alaska Department of Fish and Game, Subport Building, Juneau, Alaska 99801 on July 26, 1977 (42 FR 40961) is modified in the following manner: Section B–7 has been changed to read, "This Permit is valid with respect to the taking authorized herein, until December 31, 1980."

This modification will become effective March 21, 1980.

The Permit as modified, and documentation pertaining to the modification are available for review in the following offices:

Assistant Administrator for Fisheries, National Marine Fisheries Service, 3300 Whitehaven Street, Northwest, Washington, D.C.; and

Regional Director, National Marine Fisheries Service, P.O. Box 1668, Juneau, Alaska 99802.

Dated: March 13, 1980.

Robert K. Crowell,

Deputy Executive Director, National Marine Fisheries Service.

[FR Doc. 80-8783 Filed 3-20-80; 8:45 am]

BILLING CODE 3510-22-M

Pacific Fishery Management Council's Groundfish Advisory Subpanel; Public Meeting

AGENCY: National Marine Fisheries Service, NOAA.

SUMMARY: The Pacific Fishery Management Council, established by Section 302 of the Fishery Conservation and Management Act of 1976 (Pub. L. 94–265), has established a Groundfish Advisory Subpanel (AP) which will meet to discuss proposed changes in the Draft Groundfish Fishery Management Plan.

DATES: The meeting will convene on Tuesday, April 15, 1980, at 9 a.m.; reconvene on April 16, 1980, at 8 a.m.; adjourning both days at 5 p.m. The meeting is open to the public.

ADDRESS: The meeting will take place at the Oregon Department of Fish and Wildlife Building, S.W. 6th and Mill Streets, Portland, Oregon.

FOR FURTHER INFORMATION CONTACT: Pacific Fishery Management Council, 526 S.W. Mill Street, Second Floor, Portland, Oregon 97201, Telephone: (503) 221–6352.

Dated: March 18, 1980.

Winfred H. Meibohm,

Executive Director, National Marine Fisheries Service.

[FR Doc. 80-8780 Filed 3-20-80; 8:45 am]

BILLING CODE 3510-22-M

Western Pacific Fishery Management Council's Scientific and Statistical Committee; Public Meeting

AGENCY: National Marine Fisheries Service, NOAA.

SUMMARY: The Western Pacific Fishery Management Council, established by Section 302 of the Fishery Conservation and Management Act of 1976 (Pub. L. 94–265), has established a Scientific and Statistical Committee (SSC), which will meet to review fishery management plans (FMPs) for Billfish and Spiny-Lobster, and conduct other Committee business.

DATES: The meeting will convene on Wednesday, April 2, 1980, and reconvene on Thursday, April 3, 1980, at 9 a.m. adjourning at 4:30 p.m. on both days. The meeting is open to the public.

ADDRESS: The meeting will take place at the Honolulu Laboratory, South West Fisheries Center, 2570 Dole Street, Honolulu, Hawaii.

FOR FURTHER INFORMATION CONTACT: Western Pacific Fishery Management Council, Room 1608, 1164 Bishop Street, Honolulu, Hawaii 96813, Telephone: (808) 523–1368.

Dated: March 18, 1980.

Winfred H. Meibohm,

Executive Director, National Marine Fisheries Service.

[FR Doc. 80–8782 Filed 3–20–80; 8:45 am]

BILLING CODE 3510–22–M

Office of the Secretary

Presentation Before Interagency Committee on Standards Policy on Consumer Contributions to Voluntary Standards-Setting Activities; and on Differing National Requirements and Testing Procedures Which Motor Vehicles Must Meet and Current Activities To Achieve International Harmonization; Open Meeting

The Department of Commerce functions as the Federal Government focal point in the development, coordination, and strengthening of U.S. national and international standards policy in the public interest. In this regard, the Department has become cognizant of (1) efforts to improve the quality of consumer participation in voluntary standards activities, and (2) non-tariff trade barriers created by conflicting motor vehicle safety and emission standards and test methods in the international marketplace.

The Interagency Committee on Standards Policy (ICSP) is chartered and chaired by the U.S. Department of Commerce. The purpose of the ICSP is to facilitate the effective participation by the Federal Government in domestic and international standards activities, and to promote the development of uniform policies among agencies participating in these activities. In this regard, the ICSP has accepted the requests of: Mr. William Cavanaugh of the American Society for Testing and Materials (ASTM) and Mr. David Swankin of National Consumers League (NCL) to make a joint presentation before the ICSP regarding the ASTM/NCL joint effort to improve the quality of consumer participation in voluntary standards activities; and Mr. Paul F. Allmendinger of the Motor Vehicle Manufacturers Association to make a presentation before the ICSP regarding trade barrier effects of differing national motor vehicle safety and emission standards.

Both presentations will take place on April 9, 1980, in room 6802 of the U.S. Department of Commerce, 14th Street, N.W. (between E Street and Constitution Avenue), Washington, D.C. The presentation of Messrs. Cavanaugh and Swankin will be from 9:30 a.m., to 11 a.m. Mr. Allmendinger's presentation will be from 11 a.m. to 12:30 p.m. The public is invited to attend both of these presentations.

It is anticipated that each of the two formal presentations will consume about 40 (forty) minutes. The first twenty-five minutes following each formal presentation will be devoted entirely to comments and questions which members of the ICSP or other Federal Government personnel in attendance as observers may wish to present. It is recognized that other interested persons may wish to express their views on the subjects of the presentations. Accordingly, the ICSP chairman has determined in the public interest that approximately 25 (twenty-five) minutes will be set aside for such views to be expressed. Each of these two public participation periods will begin immediately after the comments and questions from the ICSP representatives.

Any interested person wishing to be called upon to express his or her views on the subject of either (or both) presentation(s) at the April 9 meeting should contact Dr. Howard I. Forman, ICSP Chairman and Deputy Assistant Secretary for Product Standards Policy no later than April 2, 1980, either by telephone or by letter. Dr. Forman is located at the main Commerce Department Building, room 3876, on 14th Street, N.W. (between E Street and Constitution Avenue), Washington, D.C., telephone 202/377–3221. Time for the expressions of such views will be allocated as equitably as possible, depending upon the number of such requests and consistent with the plan to conclude the meeting at 12:30 p.m.

Dated: March 13, 1980.

Jordan J. Baruch,

Assistant Secretary, Office of Productivity, Technology and Innovation.

[FR Doc. 80–8767 Filed 3–20–80; 8:45 am]

BILLING CODE 3510–13–M

COMMITTEE FOR PURCHASE FROM THE BLIND AND OTHER SEVERELY HANDICAPPED

Procurement List 1980; Additions

AGENCY: Committee for Purchase from the Blind and Other Severly Handicapped.

ACTION: Additions to Procurement List.

SUMMARY: This action adds to Procurement List 1980 a commodity to be produced by and services to be provided by workshops for the blind and other severly handicapped.

EFFECTIVE DATE: March 21, 1980.

ADDRESS: Committee for Purchase from the Blind and Other Severely Handicapped, 2009 14th Street North, Suite 610, Arlington, Virginia 22201.

FOR FURTHER INFORMATION CONTACT: C. W. Fletcher, (703) 557–1145.

SUPPLEMENTARY INFORMATION: On January 18, 1980 and January 25, 1980, the Committee for Purchase from the Blind and Other Severely Handicapped published notices (45 FR 3630 and 45 FR 6152) of proposed additions to Procurement List 1980, November 27, 1979 (44 FR 67925).

After consideration of the relevant matter presented, the Committee has determined that the commodity and services listed below are suitable for procurement by the Federal Government under 41 U.S.C. 46–48c, 85 Stat. 77.

Accordingly, the following commodity and services are hereby added to Procurement List 1980:

Class 8115

Box, Wood, 8115–00–935–6531

SIC 0782

Grounds Maintenance, Area 10 (Parkway Gate 18 and surrounding area), Naval Weapons Station, Yorktown, Virginia

SIC 7349

Janitorial Service, Federal Center, 25th and Dover Streets, Moses Lake, Washington

SIC 7399

Assembly, Food Packet, In-Flight, Individual, 8970-01-060-2899.

C. W. Fletcher,

Executive Director.

[FR Doc. 80-8709 Filed 3-20-80; 8:45 am]

BILLING CODE 6820-33-M

Procurement List 1980, Deletions

AGENCY: Committee for Purchase from the Blind and Other Severely Handicapped.

ACTION: Deletions from Procurement List.

SUMMARY: This action deletes from Procurement List 1980 commodities produced by workshops for the blind or other severely handicapped.

EFFECTIVE DATE: March 21, 1980.

ADDRESS: Committee for Purchase from the Blind and Other Severely Handicapped, 2009 14th Street North, Suite 610, Arlington, Virginia 22201.

FOR FURTHER INFORMATION CONTACT: C. W. Fletcher, (703) 557-1145.

SUPPLEMENTARY INFORMATION: On January 25, 1980, the Committee for Purchase from the Blind and Other Severely Handicapped published notice (45 FR 6125) of proposed deletions to Procurement List 1980, November 27, 1979 (44 FR 67925).

After consideration of the relevant matter presented, the Committee has determined that the commodities listed below are no longer suitable for procurement by the Federal Government under 41 U.S.C. 46-48c, 85 Stat. 77.

Accordingly, the following commodities are hereby deleted from Procurement List 1980:

Class 3990

Pallet, Wood, 3990-00-366-6821
Skid, Wood
3990-00-366-6810
3990-00-366-6814
3990-00-366-6815
3990-00-366-6816
3990-00-366-6817
3990-00-366-6819
3990-00-366-6820

C. W. Fletcher,

Executive Director.

[FR Doc. 80-8710 Filed 3-20-80; 8:45 am]

BILLING CODE 6820-33-M

Procurement List 1980; Proposed Additions

AGENCY: Committee for Purchase from the Blind and Other Severely Handicapped.

ACTION: Proposed Additions to Procurement List.

SUMMARY: The Committee has received proposals to add to Procurement List 1980 commodities to be produced by workshops for the blind and other severely handicapped.

COMMENTS MUST BE RECEIVED ON OR BEFORE: April 23, 1980.

ADDRESS: Committee for Purchase from the Blind and Other Severely Handicapped, 2009 14th Street North, Suite 610, Arlington, Virginia 22201.

FOR FURTHER INFORMATION CONTACT: C. W. Fletcher, (703) 557-1145.

SUPPLEMENTARY INFORMATION: This notice is published pursuant to 41 U.S.C. 47 (a)(2), 85 Stat. 77. Its purpose is to provide interested parties an opportunity to submit comments on the possible impact of the proposed action.

If the Committee approves the proposed additions, all entities of the Federal Government will be required to procure the commodities listed below from workshops for the blind or other severely handicapped.

It is proposed to add the following commodities to Procurement List 1980, November 27, 1979 (44 FR 67925):

Class 6230

Light, Desk, Incandescent, 6230-00-643-2076

Class 7910

Pad, Floor Polishing Machine
7910-00-820-7991
7910-00-820-7989
7910-00-820-7990
7910-00-820-9926
7910-00-820-9925
7910-00-820-9924
7910-00-820-9898
7910-00-820-7997
7910-00-820-7996
(GSA Regions 6, 7, 8, 9, 10)

C. W. Fletcher,

Executive Director.

[FR Doc. 80-8711 Filed 3-20-80; 8:45 am]

BILLING CODE 6820-33-M

DEPARTMENT OF DEFENSE

Corps of Engineers, Department of the Army

Revision to the Notice of Intent To Prepare a Draft Supplement to the Final Environmental Impact Statement for the Proposed Roseau River Flood Control Project in Roseau and Kittson Counties, Minn.

AGENCY: St. Paul District, Corps of Engineers.

ACTION: Revision of availability date for the Draft Supplement to the Final Environmental Impact Statement (EIS).

SUMMARY: The following revision applies to the Notice of Intent published in the Friday, July 6, 1979 issue of the **Federal Register** (Vol. 44, No. 131, pp. 39575-39576):

"We estimate that the Draft Supplement to the Final EIS will be available to the public during the third quarter (April-June 1980) of fiscal year 1980."

Dated: March 13, 1980.

William W. Badger,

Colonel, Corps of Engineers, District Engineer.

[FR Doc. 80-8707 Filed 3-20-80; 8:45 am]

BILLING CODE 3710-CY-M

Office of the Secretary

DOD Advisory Group on Electron Devices; Meeting

Working Group B (Mainly Low Power Devices) of the DoD Advisory Group on Electron Devices (AGED) will meet in closed session April 15-16, 1980, at 201 Varick Street, 9th Floor, New York, New York 10014.

The mission of the Advisory Group is to provide the Under Secretary of Defense for Research and Engineering, the Director, Defense Advanced Research Projects Agency and the Military Departments with technical advice on the conduct of economical and effective research and development programs in the area of electron devices.

The Working Group B meeting will be limited to review of research and development programs which the Military Departments propose to initiate with industry, universities or in their laboratories. The agenda for this meeting will include programs on Radiation Hardened Devices, Microwave Tubes, Displays and Lasers. The review will include details of classified defense programs throughout.

In accordance with 5 U.S.C. App. 1 10(d) (1976), it has been determined that this Advisory Group meeting concerns matters listed in 5 U.S.C. 52b(c)(1) (1976), and that accordingly, this meeting will be closed to the public.

O. J. Williford,

Director, Correspondence and Directives, Washington Headquarters Service, Department of Defense.

March 17, 1980.

[FR Doc. 80-8667 Filed 3-20-80; 8:45 am]

BILLING CODE 3810-70-M

DOD Advisory Group on Electron Devices; Meeting

The DOD Advisory Group on Electron Devices (AGED) will meet in closed session on April 10, 1980 at the Naval Air Systems Command, Room 9W67, Jefferson Plaza #2, 1421-1423 Jefferson Davis Highway, Arlington, VA 22209.

The mission of the Advisory Group is to provide the Under Secretary of Defense for Research and Engineering, the Director, Defense Advanced Research Projects Agency and the Military Departments with technical advice on the conduct of economical and effective research and development programs in the area of Electron Devices.

The AGED meeting will be limited to review of research and development programs which the Military Departments propose to initiate with industry, universities or in their laboratories. The agenda for this meeting will include programs on Radiation Hardened Devices, Microwave Tubes, Displays and Lasers. The review will include details of classified defense programs throughout.

In accordance with 5 U.S.C. App. 1 10(d)(1976), it has been determined that this Advisory Group meeting concerns matters listed in 5 U.S.C. 552b(c)(1)(1976), and that accordingly, this meeting will be closed to the public.

O. J. Williford,

Director, Correspondence and Directives, Washington, Headquarters Services, Department of Defense.

March 17, 1980.

[FR Doc. 80-8668 Filed 3-20-80; 8:45 am]

BILLING CODE 3810-70-M

DEPARTMENT OF ENERGY

Economic Regulatory Administration

Receipt of Petitions for Temporary Public Interest Exemptions for Use of Natural Gas by Existing Powerplants Under the Powerplant and Industrial Fuel Use Act of 1978 and Proposed Order Granting the Temporary Exemptions

AGENCY: Economic Regulatory Administration, Department of Energy

ACTION: Notice of Petitions and Proposed Order.

SUMMARY: A number of petitions have been received and filed with the Economic Regulatory Administration (ERA) of the Department of Energy (DOE) for temporary public interest exemptions for the use of natural gas as a primary energy source. Such exemptions are authorized by Section 311(e) of the Powerplant and Industrial Fuel Use Act of 1978, 42 U.S.C. 8301 *et seq.*, (FUA or the Act). The owners/operators of the powerplants have provided the following information:

Petitioner (generating station)	Unit identification	Maximum quantity of oil displaced (barrels per day)	Type of oil displaced	Coal displaced
City of Chanute, Kansas (Municipal)	No. 3	110.2	Residual	No.
	No. 4	110.2	Residual	No.
City of Colorado Springs (Birdsall)	No. 1	7.4	Residual	No.
	No. 2	4.5	Residual	No.
	No. 3	11.4	Residual	No.
(Drake)	No. 1	4.4	Residual	No.
	No. 2	0.7	Residual	No.
	No. 4	0.2	Residual	No.
City of Austin (Decker)	No. 1	98.6	Distillate	No.
(Holly)	No. 1	8.2	Residual	No.
	No. 2	8.2	Residual	No.
	No. 3	46.6	Residual	No.
	No. 4	21.9	Distillate	No.
(Seaholm)	No. 5	2.0	Residual	No.
	No. 6	2.1	Residual	No.
	No. 7	1.8	Residual	No.
	No. 8	1.5	Residual	No.
	No. 9	1.0	Residual	No.
Arkansas Electric Cooperative(Fitzhugh)	No. 1	1,041.1	Residual	No.
City of Dover (McKee Run)	No. 1	38.2	Residual	No.
	No. 2	38.2	Residual	No.
	No. 3	882.6	Residual	No.
Consumers Power Company (B. E. Morrow)	No. 1	137.0	Residual	No.
	No. 2	137.0	Residual	No.
	No. 3	575.3	Residual	No.
	No. 4	575.3	Residual	No.
El Paso Electric Company (Copper)	No. CT 1	50.1	Distillate	No.
Florida Power and Light Company (Putnam)	No. CC 1	3,287.7	Residual	No.
	No. CC 2	3,287.7	Residual	No.
City of Holyoke (Electric Station)	No. 6	95.7	Residual	No.
	No. 8	95.7	Residual	No.
	No. 9	46.1	Residual	No.
Gulf Power Company (Crist)	No. 1	419.1	Residual	No.
	No. 2	419.1	Residual	No.
	No. 3	556.2	Residual	No.
City of Independence (Blue Valley)	No. GT 1	63.2	Distillate	No.
(Station H)	No. GT 1	10.8	Distillate	No.
Imperial Irrigation District (El Centro)	No. 1	71.2	Residual	No.
	No. 2	323.3	Residual	No.
	No. 3	1,013.7	Residual	No.
	No. 4	2,811.0	Residual	No.
(Coachella)	No. GT 1	75.0	Distillate	No.
	No. GT 2	75.0	Distillate	No.
	No. GT 3	75.0	Distillate	No.
	No. GT 4	76.3	Distillate	No.
Kansas Gas and Electric Company (Neosho)	No. 3	275.7	Residual	No.
(Gordan Evans)	No. 1	479.1	Residual	No.
	No. 2	325.9	Residual	No.
(Wichita)	No. 3	0.2	Distillate	No.
	No. 4	0.2	Distillate	No.
(Ripley)	No. 1	67.7	Residual	No.
	No. 2	67.7	Residual	No.
	No. 3	56.9	Residual	No.
Kansas Gas and Electric Company (Murray Gill)	No. 1	878.1	Residual	No.
	No. 2		Residual	No.
	No. 3		Residual	No.
	No. 4		Residual	No.
City of Kansas City, Kansas (Quindaro)	No. GT 2	186.3	Distillate	No.
	No. GT 3	186.3	Distillate	No.
City of Larned, Kansas (Municipal)	No. 3	211.0	Residual	No.
Lincoln Electric System (8th and J Street)	No. CT 1	58.2	Distillate	No.
Louisiana Power and Light (Waterford)	No. 2	4,187.9	Residual	No.
(Ninemile Point)	No. 2	174.0	Residual	No.
	No. 3	1,311.8	Residual	No.
City of Los Angeles Department of Water and Power (Harbor)	No. 1	144.1	Residual	No.
	No. 2	134.1	Residual	No.
	No. 3	172.2	Residual	No.
	No. 4	172.2	Residual	No.
	No. 5	174.2	Residual	No.
	CT 6	5.8	Distillate	No.
	CT 7	5.8	Distillate	No.
	CT 8	5.8	Distillate	No.
	CT 9	5.8	Distillate	No.
(Valley)	No. 1	338.3	Residual	No.
	No. 2	363.5	Residual	No.
	No. 3	615.5	Residual	No.
	No. 4	575.9	Residual	No.
Mississippi Power Company (Eaton)	No. 1	313.4	Residual	No.
	No. 2	313.4	Residual	No.
	No. 3	313.4	Residual	No.
(Sweatt)	No. 1	468.5	Residual	No.
	No. 2	471.6	Residual	No.

Petitioner (generating station)	Unit identification	Maximum quantity of oil displaced (barrels per day)	Type of oil displaced	Coal displaced
Public Service Company of Oklahoma (Weleetka)	CT 4	172.1	Distillate	No.
	CT 5	172.1	Distillate	No.
	CT 6	172.1	Distillate	No.
Nebraska Public Power District (Bluffs)	No. 3	3.6	Residual	No.
	No. 4	172.6	Residual	No.
Pacific Gas and Electric Company (Morro Bay)	No. 2	1,506.3	Residual	No.
(Hunter's Point)	No. 4	585.2	Residual	No.
(Humboldt Bay)	No. 1	452.1	Residual	No.
	No. 2	454.8	Residual	No.
(Potrero)	No. 1	246.7	Residual	No.
	No. 2	246.7	Residual	No.
	No. 3.	722.7		
			Residual	No.
(Moss Landing)	No. 1	939.2	Residual	No.
	No. 2	939.2	Residual	No.
	No. 3	939.2	Residual	No.
	No. 4	1,427.4	Residual	No.
	No. 6	7,537.0	Residual	No.
(Contra Costa)	No. 1	835.6	Residual	No.
	No. 2	835.6	Residual	No.
	No. 3	835.6	Residual	No.
	No. 4	780.8	Residual	No.
	No. 5	780.8	Residual	No.
	No. 6	1,857.5	Residual	No.
	No. 7	1,857.5	Residual	No.
St. Joseph Power and Light Company (Lake Road)	No. 4	98.9	Residual	No.
(Edmond Street)	No. 20	2.2	Residual	No.
San Diego Gas and Electric Company (North Island)	GT 1	52.3	Distillate	No.
(South Bay)	GT 1	12.1	Distillate	No.
(Division Street)	GT 1	11.2	Distillate	No.
Southern Indiana Gas and Electric Company (Broadway)	GT 1	19.2	Distillate	No.
Virginia Electric and Power Company (Yorktown)	No. 3	1,518.0	Residual	No.
(Portsmouth)	CT 1	183.2	Distillate	No.
Wisconsin Power and Light Company (Sheepskin)	CT 1	24.0	Distillate	No.
(Rock River)	CT 5	14.0	Distillate	No.
	CT 6	29.3	Distillate	No.
Jacksonville Electric Authority (Northside)	No. 1	3,889.5	Residual	No.
	No. 2	7,457.6	Residual	No.
	No. 3	1,692.6	Residual	No.
	CT 3	51.1	Distillate	No.
	CT 4	83.6	Distillate	No.
	CT 5	146.7	Distillate	No.
	CT 6	38.6	Distillate	No.
Atlantic City Electric Company (Mickleton)	CT 1	356.2	Distillate	No.
Commonwealth Edison (Ridgeland)	No. 5	1,372.8	Residual	No.
	No. 6	1,372.8	Residual	No.
Niagara Mohawk Power Corporation (Albany)	No. 1	822.1	Residual	No.
	No. 2	822.1	Residual	No.
	No. 3	822.1	Residual	No.
	No. 4	822.1	Residual	No.
City of Hastings, Nebraska, Utilities (North Denver)	No. 4	238.2	Residual	No.
	No. 5			No.
Gulf States Utilities Company (Sabine)	No. 2	77.0	Distillate	No.
	No. 5	14,327.1	Residual	No.
(Neches)	No. 7	142.2	Distillate	No.
	No. 8	136.4	Distillate	No.
(Lewis Creek)	No. 1	56.8	Distillate	No.
	No. 2	3.2	Distillate	No.
(Willow Glen)	No. 2	289.1	Distillate	No.
	No. 3	1,856.3	Residual	No.
	No. 4	4,932.6	Residual	No.
	No. 5	11,497.5	Residual	No.
(Louisiana)	No. 7	28.1	Residual	No.
	No. 8	74.1	Residual	No.
	No. 9	40.4	Residual	No.
Gulf States Utilitities Company (Nelson)	No. 1	606.9	Distillate	No.
	No. 2	622.1	Distillate	No.
	No. 3	845.4	Distillate	No.
	No. 4	466.0	Residual	No.
Lower Colorado River Authority (Ferguson)	No. 1	9.0	Distillate	No.
(Gideon)	No. 1	0.9	Distillate	No.
	No. 2	7.5	Distillate	No.
	No. 3	46.7	Distillate	No.
University of Illinois (Abbott)	No. 5	215.4	Distillate	No.
	No. 6	215.4	Distillate	No.
	No. 7	287.2	Distillate	No.
City of Ruston, Louisiana (Ruston)	No. 1	292.3	Distillate	No.
City of Coffeyville, Kansas (Coffeyville)	No. 1	45.2	Residual	No.
Montana Power Company (Frank Bird)	No. 1	1,384.0	Distillate	No.
Hutchinson Utilities Commission (Plant No. 1)	No. 8	67.7	Distillate	No.
(Plant No. 2)	No. 9	319.9	Distillate	No.
City of Lakeland, Florida (Larsen)	No. 4	11.8	Residual	No.
	No. 5	95.9	Residual	No.
	No. 6	127.8	Residual	No.
	No. 7	831.4	Residual	No.
	GT 2	7.5	Distillate	No.
	GT 3	7.5	Distillate	No.

FUA became effective on May 8, 1979. The Act prohibits the use of natural gas as a primary energy source in certain existing powerplants and also authorizes an exemptions procedure in regard to that and other prohibitions.

ERA is proposing to issue orders which would grant temporary public interest exemptions to all of the petitioners enumerated above, pursuant to the authority of Section 311(e) of FUA and 10 CFR Part 508, published by ERA on April 9, 1979 (44 FR 21230). These proposed orders, when finalized, would grant a temporary exemption to the subject powerplants from the prohibition against natural gas use, contained in Section 301(a(2) and (3) of FUA.

ERA is publishing this notice of petitions filed and its proposed order to grant these exemptions, to invite interested persons to submit written comments pursuant to the requirements of FUA. In addition, any interested person may request that a public hearing be convened in regard to these petitions under the provisions of Section 701(d) of FUA.

DATES: Written comments relating to these petitions and the proposed order are due on or before May 5, 1980. Requests for a public hearing are also due on or before May 5, 1980.

ADDRESSES: Requests for a public hearing and/or 10 copies of written comments shall be submitted to: Department of Energy, Case Control Unit, Box 4629, Room 3214, 2000 M Street, NW, Washington, D.C. 20461.

FOR FURTHER INFORMATION CONTACT:

William L. Webb (Office of Public Information) Economic Regulatory Administration, Department of Energy, Room B–110, 2000 M Street, NW, Washington, D.C. 20461, (202) 634–2170.

Robert L. Davies (Office of Fuels Conversion), Economic Regulatory Administration, Department of Energy, Room 3128, 2000 M Street, NW, Washington D.C. 20461, (202) 254–7442.

G. Randolph Comstock (Office of General Counsel), Department of Energy, Room 6G–087, 1000 Independence Avenue, SW, Washington, D.C. 20585, (202) 252–2967.

SUPPLEMENTARY INFORMATION: On April 9, 1979, ERA issued a final rule implementing the authority granted to DOE by Section 311(e) of FUA. This final rule, set forth in 10 CFR Part 508, establishes the policy ERA has adopted in implementing its authority under Section 311(e) of FUA and the eligibility criteria, which petitioners for the temporary exemption must demonstrate. This temporary exemption will allow certain existing electric powerplants to use natural gas as a primary energy source in excess of the amounts which are mandated by Section 301(a) (2) and (3) of FUA.

The use of natural gas, permitted under these temporary exemptions, will allow existing electric powerplants to displace distillate and residual fuel oils as their primary energy source.

This expanded use of natural gas in these powerplants will be a significant step toward reducing our short term oil consumption and will help the United States in meeting its goals to reduce its demand for imported oil, protect the Nation from the effects of any oil shortages, and will serve to cushion the impact of increasing world oil prices.

The above listed owners/operators have filed petitions with ERA to request a temporary public interest exemption for their existing electric powerplants. ERA has reviewed their petitions and has found that the powerplants meet the eligibility criteria established in § 508.2 of the final rule (44 FR 21230).

ERA intends, in its proposed order, to grant temporary public interest exemptions for the above listed powerplants.

This is not the final notice of petitions and proposed orders under the final rule. ERA will continue to comply with the requirements of Section 701(c) of FUA and will publish further notices as petitions are received.

Issued in Washington, D.C., on March 14, 1980.

Robert L. Davies,

Assistant Administrator, Office of Fuels Conversion, Economic Regulatory Administration.

[FR Doc. 80–8641 Filed 3–20–80; 8:45 am]

BILLING CODE 6450–01–M

Proposed Order Granting Special Temporary Public Interest Exemptions

The Economic Regulatory Administration (ERA) of the Department of Energy (DOE) hereby sets forth its Order proposing to grant a special temporary public interest exemption from the prohibitions of Section 301(a)(2) and (3) of the Powerplant and Industrial Fuel Use Act of 1978 (FUA or the Act), 42 U.S.C. 8301 *et seq.*, pursuant to Section 311(e) of FUA, 10 CFR 501.68, and 10 CFR Part 508, to the following powerplants:

Case control No.	Petitioner	Generating station	Unit identification	Location
50514-1267-03-41	City of Chanute	Municipal	No. 3	Chanute, Kansas
50514-1267-04-41			No. 4 and 6.	
50622-0493-01-41	City of Colorado Springs	Birdsall	No. 1	Colorado Springs, Colorado
50622-0493-02-41			No. 2	
50622-0493-03-41			No. 3	
50622-0492-01-41		Drake	No. 1	Colorado Springs, Colorado
50622-0492-02-41			No. 2	
50622-0492-04-41			No. 4	
50135-3548-01-41	City of Austin	Decker	No. 1	Austin, Texas
50135-3549-01-41		Holly	No. 1	Austin, Texas
50135-3549-02-41			No. 2	
50135-3549-03-41			No. 3	
50135-3549-04-41			No. 4	
50135-3550-05-41		Seaholm	No. 5	Austin, Texas
50135-3550-06-41			No. 6	
50135-3550-07-41			No. 7	
50135-3550-08-41			No. 8	
50135-3550-09-41			No. 9	
50103-0201-01-41	Arkansas Electric Cooperative	Fitzhugh	No. 1	Ozark, Arkansas
50807-0599-01-41	City of Dover	McKee Run	No. 1	Dover, Delaware
50807-0599-02-41			No. 2	
50807-0599-03-41			No. 3	
50658-1696-01-41	Consumers Power Company	B. E. Morrow	No. 1	Comstock, Michigan
50658-1696-02-41			No. 2	
50658-1696-03-41			No. 3	
50658-1696-04-41			No. 4	
50868-9005-21-41	El Paso Electric Company	Copper	CT 1	El Paso, Texas
51006-6246-51-41	Florida Power and Light Company	Putnam	CC 1	East Palatka, Florida
51006-6246-52-41			CC 2	
56514-9059-06-41	City of Holyoke	Electric Station	No. 6	Holyoke, Massachusetts
56514-9059-08-41			No. 8	
56514-9059-09-41			No. 9	
51208-0641-01-41	Gulf Power Company	Crist	No. 1	Pensacola, Florida
51208-0641-02-41			No. 2	
51208-0641-03-41			No. 3	
51392-2132-21-41	City of Independence	Blue Valley	CT 1	Independence, Missouri
51392-2135-22-41		Station H	CT 2	Independence, Missouri
51388-9054-01-41	Imperial Irrigation District	El Centro	No. 1	El Centro, California
51388-9054-02-41			No. 2	
51388-9054-03-41			No. 3	
51388-9054-04-41			No. 4	
51388-6060-21-41		Coachella	CT 1	Coachella, California
51388-6060-22-41			CT 2	
51388-6060-23-41			CT 3	
51388-6060-24-41			CT 4	
51478-1243-03-41	Kansas Gas and Electric Company	Neosho	No. 3	Parsons, Kansas
51478-1240-01-41		Gordon Evans	No. 1	Colwich, Kansas
51478-1240-02-41			No. 2	
51478-1245-03-41		Wichita	No. 3	Wichita, Kansas
51478-1245-04-41			No. 4	
51478-1244-01-41		Ripley	No. 1	Wichita, Kansas

Case control No.	Petitioner	Generating station	Unit identification	Location
51478-1244-02-41			No. 2	
51478-1244-03-41			No. 3	
51478-1242-01-41		Murray Gill	No. 1	Wichita, Kansas
51478-1242-02-41			No. 2	
51478-1242-03-41			No. 3	
51478-1242-04-41			No. 4	
51476-1295-22-41	City of Kansas City	Quindaro	GT 2	Kansas City, Kansas
51476-1295-23-41			GT 3	
51596-1299-03-41	City of Larned	Municipal	No. 3	Larned, Kansas
51649-9151-21-41	Lincoln Electric System	8th and J Street	CT 1	Lincoln, Nebraska
51694-9038-02-41	Louisiana Power and Light	Waterford	No. 2	Taft, Louisiana
51694-1403-02-41		Ninemile Point	No. 2	Westwego, Louisiana
51694-1403-03-41			No. 3	
51691-0399-01-41	City of Los Angeles Department of Water and Power.	Harbor	No. 1	Wilmington, California
51691-0399-02-41			No. 2	
51691-0399-03-41			No. 3	
51691-0399-04-41			No. 4	
51691-0399-05-41			No. 5	
51691-0399-26-41			CT 6	
51691-0399-27-41			CT 7	
51691-0399-28-41			CT 8	
51691-0399-29-41			CT 9	
51691-0408-01-41		Valley	No. 1	Sun Valley, California
51691-0408-02-41			No. 2	
51691-0408-03-41			No. 3	
51691-0408-04-41			No. 4	
51888-2046-01-41	Mississippi Power Company	Eaton	No. 1	Petal, Mississippi
51888-2046-02-41			No. 2	
51888-2046-03-41			No. 3	
51888-2048-01-41		Sweatt	No. 1	Meridian, Mississippi
51888-2048-02-41			No. 2	
52413-2966-24-41	Public Service Company of Oklahoma	Weleetka	CT 4	Weleetka, Oklahoma
52413-2966-25-41			CT 5	
52413-2966-26-41			CT 6	
51988-2276-03-41	Nebraska Public Power District	Bluffs	No. 3	Scotts<bluff, Nebraska
51988-2276-04-41			No. 4	
52224-0259-02-41	Pacific Gas and Electric Company	Morro Bay	No. 2	Morro Bay, California
52224-0247-04-41		Hunter's Point	No. 4	San Francisco, California
52224-0246-01-41		Humboldt Bay	No. 1	Eureka, California
52224-0246-02-41			No. 2	
52224-0273-01-41	Pacific Gas and Electric Company	Potrero	No. 1	San Francisco, California
52224-0273-02-41			No. 2	
52224-0273-03-41			No. 3	
52224-0260-01-41		Moss Landing	No. 1	Moss Landing, California
52224-0260-02-41			No. 2	
52224-0260-03-41			No. 3	
52224-0260-04-41			No. 4	
52224-0260-06-41			No. 6	
52224-0228-01-41		Contra Costa	No. 1	Antioch, California
52224-0228-02-41			No. 2	
52224-0228-03-41			No. 3	
52224-0228-04-41			No. 4	

Case control No.	Petitioner	Generating station	Unit identification	Location
52224-0228-05-41			No. 5	
52224-0228-06-41			No. 6	
52224-0228-07-41			No. 7	
52786-2098-04-41	St. Joseph Light and Power Company	Lake Road	No. 4	St. Joseph, Missouri
52786-2097-20-41		Edmond Street	No. 20	St. Joseph, Missouri
52570-0307-21-41	San Diego Gas and Electric Company	North Island	GT 1	Coronado, California
52570-0310-21-41		South Bay	GT 1	Chula Vista, California
52570-0300-21-41		Division Street	GT 1	San Diego, California
52727-1011-21-41	Southern Indiana Gas and Electric Company.	Broadway	GT 1	Evansville, Indiana
53146-3809-03-41	Virginia Electric and Power Company	Yorktown	No. 3	Yorktown, Virginia
53146-3803-21-41	Virginia Electric and Power Company	Portsmouth	CT 1	Norfolk, Virginia
53332-4059-21-41	Wisconsin Power and Light Company	Sheepskin	CT 1	Edgerton, Wisconsin
53332-4057-25-41		Rock River	CT 5	Beloit, Wisconsin
53332-4057-26-41			CT 6	
51434-0667-01-41	Jacksonville Electric Authority	Northside	No. 1	Jacksonville, Florida
51434-0667-02-41			No. 2	
51434-0667-03-41			No. 3	
51434-0667-23-41			CT 3	
51434-0667-24-41			CT 4	
51434-0667-25-41			CT 5	
51434-0667-26-41			CT 6	
50126-8008-21-41	Atlantic City Electric Company	Mickleton	CT 1	East Greenwich Township, New Jersey
50643-0881-05-41	Commonwealth	Ridgeland	No. 5	Stickney, Illinois
50643-0881-06-41	Edison		No. 6	
52053-2539-01-41	Niagara	Albany	No. 1	Glenmont, New York
52053-2539-02-41	Mohawk		No. 2	
52053-2539-03-41	Power		No. 3	
52053-2539-04-41	Corporation		No. 4	
51259-2244-04-41	City of Hastings	North Denver	No. 4	Hastings, Nebraska
51259-2244-05-41			No. 5	
51209-3459-02-41	Gulf States Utilities Company	Sabine	No. 2	Bridge City, Texas
51209-3459-05-41			No. 5	
51209-3458-07-41		Neches	No. 7	Beaumont, Texas
51209-3458-08-41			No. 8	
51209-3457-01-41	Gulf States Utilities Company	Lewis Creek	No. 1	Willis, Texas
51209-3457-02-41			No. 2	
51209-1391-07-41		Louisiana	No. 7	Baton Rouge, Louisiana
51209-1391-08-41			No. 8	
51209-1391-09-41			No. 9	
51209-1394-02-41		Willow Glen	No. 2	St. Gabriel, Louisiana
51209-1394-03-41			No. 3	
51209-1394-04-41			No. 4	
51209-1394-05-41			No. 5	
51209-1393-01-41		Nelson	No. 1	Lake Charles (Westlake), Louisiana

Case control No.	Petitioner	Generating station	Unit identification	Location
51209-1393-02-41			No. 2	
51209-1393-03-41			No. 3	
51209-1393-04-41			No. 4	
51702-4937-01-41	Lower Colorado River Authority	Ferguson	No. 1	Marble Falls, Texas
51702-3601-01-41		Gideon	No. 1	Bastrop, Texas
51702-3601-02-41			No. 2	
51702-3601-03-41			No. 3	
53010-0970-05-41	University of Illinois	Abbott	No. 5	Champaign, Illinois
53010-0970-06-41			No. 6	
53010-0970-07-41			No. 7	
52542-1458-01-41	City of Ruston	Ruston	No. 1	Ruston, Louisiana
50610-1271-01-41	City of Coffeyville	Coffeyville	No. 1	Coffeyville, Kansas
51915-2184-01-41	Montana Power Company	Frank Bird	No. 1	Billings, Montana
63001-9067-58-41	Hutchinson Utilities Commission	Plant No. 1	CC 8	Hutchinson, Minnesota
63001-9103-29-41		Plant No. 2	CT 9	
51575-0676-04-41	City of Lakeland	Larsen	No. 4	Lakeland, Florida
51575-0676-05-41			No. 5	
51575-0676-06-41			No. 6	
51575-0676-07-41			No. 7	
51575-0676-22-41			GT 2	
51575-0676-23-41			GT 3	

I. Statutory Prohibitions

The above listed powerplants are prohibited by Section 301(a)(2) of FUA from using natural gas as a primary energy source, or are prohibited from using natural gas as a primary energy source in excess of the average base year proportions allowed in Section 301(a)(3) of the Act.

II. Eligibility for Exemption

The existing powerplants listed above have submitted petitions to ERA for a Special Temporary Public Interest Exemption and have asserted that:

a. Each existing powerplant is:

1. Prohibited on May 8, 1979 from using natural gas as a primary energy source by Section 301(a)(2) of FUA, or

2. Prohibited from using natural gas in excess of the average base year proportions allowed in Section 301(a)(3) of FUA.

b. The proposed use of natural gas as a primary energy source, to the extent that such use would be prohibited by Section 301(a) (2) or (3) of FUA:

1. Will displace consumption of middle distillate or residual fuel oil; and

2. Will not displace the use of coal or any other alternate fuel in any facility of the owner/operator utility system, including the powerplant for which the exemption was submitted.

III. Rationale

To the extent that the near-term choice of fuels for certain existing powerplants is limited to petroleum or natural gas, the use of natural gas is preferred over petroleum. The expanded use of natural gas in these powerplants will be a significant step toward reducing our short-term oil consumption. This increased use of natural gas will help the United States meet its commitment to reduce its demand for imported petroleum products, protect the Nation from the effects of any oil shortages, and will cushion the impact of increasing world oil prices, which have had a detrimental effect on the Nation's balance of payments and domestic inflation rate.

To the extent that this increased use of natural gas will accomplish these goals, it will reduce the importation of petroleum and further the goal of national energy self-sufficiency. This is in keeping with purposes of FUA and is in the public interest.

Since the increased use of natural gas is in keeping with the purposes of FUA and is in the public interest, and since the petitioners have demonstrated that they have met the eligibility criteria established in Section 508.2 of the Special Rule (April 9, 1979, 44 FR 21230), ERA proposes to grant the exemptions.

IV. Duration

ERA proposes to grant these temporary public interest exemptions generally as follows:

1. For a period of five years to those facilities that will displace middle distillate fuel oil;

2. For an initial period of two years, with an automatic extension of three

years upon the written acceptance by ERA of a conservation plan, to those facilities that will displace residual oil with a sulfur content of 0.5 percent or less;

3. For an initial period of 18 months, with the provision for extension, at the option of ERA, up to an additional 42 months, upon the request of the petitioner, to those facilities that will displace oil with a sulfur content of greater than 0.5 percent.

4. For petitioners that will displace residual oil with a sulfur content of greater than 0.5 percent, in Petroleum Administration for Defense District 2, no final orders will be granted pending further study by ERA.

These proposed temporary exemptions are subject to termination by ERA, upon six months written notice, if ERA determines such termination to be in the public interest.

V. Terms and Conditions

Pursuant to the authority of Section 314 of FUA and 10 CFR 508.6, ERA will require the order recipient upon issuance of a final order to: (1) Report the actual monthly volumes of natural gas used in each exempted powerplant and the estimated number of barrels of each type of fuel oil displaced during the exemption period, (2) submit a system-wide fuel conservation plan to include the period covered by the temporary exemption, and (3) submit annually to ERA a report on progress achieved in implementing the system-wide fuel conservation plan.

Issued in Washington, D.C., on March 14, 1980.

Robert L. Davies,

Assistant Administrator, Office of Fuels Conversion, Economic Regulatory Administration.

[FR Doc. 80-8642 Filed 3-20-80; 8:45 am]

BILLING CODE 6450-01-M

Economic Regulatory Adminstration

Excel Corp.; Proposed Remedial Order

Pursuant to 10 CFR Section 205.192(c), The Economic Regulatory Administration (ERA) of the Department of Energy hereby gives notice of a Proposed Remedial Order which was issued to Excel Corporation, a Division of Allied Materials Corporation, P.O. Box 12340, Oklahoma City, Oklahoma 73112.

This Proposed Remedial Order charges Excel with pricing violations in the amount of $1,336,730.83 relative to Excel's sale of certain domestic petroleum products at prices exceeding their maximum legal selling prices during the period November 1, 1973 through December 31, 1975.

A copy of the Proposed Remedial Order, with confidential information deleted, may be obtained from Wayne I. Tucker, District Manager, Southwest District Enforcement, Department of Energy, Economic Regulatory Administration, P.O. Box 35228, Dallas, Texas 75235, or by calling (214) 767-7745. On or before April 7, 1980, any aggrieved person may file a Notice of Objection with the Office of Hearings and Appeals, 2000 M Street, N.W., Washington, D.C. 20461, in accordance with 10 CFR Section 205.193.

Issued in Dallas, Texas, on the 11th day of March, 1980.

Wayne I. Tucker

District Manager, Southwest District Enforecement, Economic Regulatory Administration.

I, Thomas A. Fry, III, Chief Enforcement Attorney, Southwest Enforcement District, concur in the issuance of the Proposed Remedial Order (PRO) to Excel Corporation, and in the Notice prepared for publication in the **Federal Register.**

Dated: March 11, 1980.

Thomas A. Fry III,

Chief Enforcement Counsel.

[FR Doc. 80-8759 Filed 3-20-80; 8:45 am]

BILLING CODE 6450-01-M

Worldwide Energy Corp.; Action Taken on Consent Order

AGENCY: Economic Regulatory Administration, Department of Energy.

ACTION: Notice of action taken and opportunity for comment on Consent Order.

SUMMARY: The Economic Regulatory Administration (ERA) of the Department of Energy (DOE) announces action taken to execute a Consent Order and provides an opportunity for public comment on the Consent Order and on potential claims against the refunds deposited in an escrow account established pursuant to the Consent Order.

DATES: Effective date: February 26, 1980. Comments by April 21, 1980.

ADDRESS: Sent comments to: Wayne I. Tucker, District Manager of Enforcement, Southwest District Office, Department of Energy, P.O. Box 35228, Dallas, Texas 75235.

FOR FURTHER INFORMATION CONTACT: Wayne I. Tucker, District Manager of Enforcement, Southwest District Office, Department of Energy, P.O. Box 35228, Dallas, Texas 85235 (phone) 214/767-7745.

SUPPLEMENTARY INFORMATION: On February 26, 1980, the Office of Enforcement of the ERA executed a Consent Order with Worldwide Energy Corporation of Denver, Colorado. Under 10 CFR 205.199J(b), a Consent Order which involves a sum of less than $500,000 in the aggregate, excluding penalties and interest, becomes effective upon its execution.

Because the DOE and Worlwide Energy Corporation wish to expeditiously resolve this matter as agreed and to avoid delay in the payment of refunds, the DOE has determined that it is in the public interest to make the Consent Order with Worldwide Energy Corporation effective as of the date of its execution by the DOE and Worldwide Energy Corporation.

I. The Consent Order

Worldwide Energy Corporation, with its home office located in Denver, Colorado, is a firm which engaged in the process of natural gas liquids (NGL's) and was subject to the Mandatory Petroleum Price and Allocation Regulations at 10 CFR Parts 210, 211, 212. To resolve certain civil actions which could be brought by the Office of Enforcement of the Economic Regulatory Administration as a result of its audit of Worldwide, the Office of Enforcement, ERA, and Worldwide entered into a Consent Order, the significant terms of which are as follows:

1. The period covered by the Consent Order was April 1, 1975 through April 30, 1977, and it included all sales of NGL's made by Central States Gas Company (Central), as predecessor to Worlwide.

2. These sales were due to Worldwide's predecessor Central not applying the provisions of 10 CFR Part 212 subpart K, in a manner acceptable to the DOE.

3. The Consent Order does not constitute an admission by Worldwide that ERA regulations have been violated.

4. The Consent Order is a settlement of the alleged overcharges which were the subject of the Notice of Probable Violation issued to Worldwide on November 26, 1979.

5. Worldwide agrees to refund to the DOE $49,400 on or before March 26, 1980.

6. The provisions of 10 CFR 205.199J, including the publication of this Notice, are applicable to the Consent Order.

II. Disposition of Refunded Overcharges

In this Consent Order, Worldwide agrees to refund, in full settlement of any civil liability with respect to actions

which might be brought by the Office of Enforcement, ERA, arising out of the transactions specified in I.1. above, the sum of $49,400 on or before March 26, 1980. Refunded overcharges will be in the form of a certified check made payable to the United States Department of Energy and will be delivered to the Assistant Administrator for Enforcement, ERA. These funds will remain in a suitable account pending the determination of their proper disposition.

The DOE intends to distribute the refund amounts in a just and equitable manner in accordance with applicable laws and regulations. Accordingly, distribution of such refuned overcharges requires that only those "persons" (as defined at 10 CFR 205.2) who actually suffered a loss as a result of the transactions described in the Consent Order receive appropriate refunds. Because of the petroleum industry's complex marketing system, it is likely that overcharges have either been passed through as a higher price to subsequent purchasers or offset through devices such as the Old Oil Allocation (Entitlements) Program, 10 CFR 211.67. In fact, the adverse effects of the overcharges may have become so diffused that it is a practical impossibility to identify specific, adversely affected persons, in which case disposition of the refunds will be made in the general public interest by an appropriate means such as payment to the Treasury of the United States pursuant to 10 CFR 205.199I(a).

III. Submission of Written Comments

A. *Potential Claimants:* Interested persons who believe that they have a claim to all or a portion of the refund amount should provide written notification of the claim to the ERA at this time. Proof of claims is not now being required. Written notification to the ERA at this time is requested primarily for the purpose of identifying valid potential claims to the refund amount. After potential claims are identified, procedures for the making of proof of claims may be established. Failure by a person to provide written notification of a potential claim within the comment period for this Notice may result in the DOE irrevocably disbursing the funds to other claimants or to the general public interests.

B. *Other comments:* The ERA invites interested persons to comment on the terms, conditions, or procedural aspects of this Consent Order.

You should send your comments or written notification of a claim to Wayne I. Tucker, District Manager of Enforcement, P.O. Box 35228, Dallas, Texas 75235. You may obtain a free copy of this Consent Order by writing to the same address or by calling (214) 767–7745.

You should identify your comments or written notification of a claim on the outside of your envelope and on the documents you submit with the designation, "Comments on Worldwide Consent Order." We will consider all comments we receive by 4:30 p.m., local time, on April 21, 1980. You should identify any information or data which, in your opinion, is confidential and submit it in accordance with the procedures in 10 CFR 205.9(f).

Issued in Dallas, Texas on the 12th day of March, 1980.

Herbert F. Buchanan,

Deputy Dist. Mgr. Southwest District Enforcement Economic Regulatory Administration.

I, Thomas A. Fry III, Chief Enforcement Attorney, Southwest District, concur in the issuance of the Consent Order to Worldwide Energy Corporation and in the Notice prepared for the **Federal Register.**

Dated: March 11, 1980.

Thomas A. Fry III,

Chief, Enforcement Attorney Southwest District Department of Energy.

[FR Doc. 80-8760 Filed 3-20-80; 8:45 am]

BILLING CODE 6450-01-M

Economic Regulatory Administration

Action Taken on Consent Order

AGENCY: Economic Regulatory Administration.

ACTION: Notice of Action Taken on Consent Orders.

SUMMARY: The Economic Regulatory Administration (ERA) of the Department of Energy (DOE) hereby gives Notice that Consent Orders were entered into between the Office of Enforcement, ERA, and the firms listed below during the month of January 1980. These Consent Orders concern prices charged by retail motor gasoline dealers in excess of the maximum lawful selling price for motor gasoline since August 1, 1979, failure to properly post the maximum lawful selling price or certification, and engaging in business practices which are either discriminatory with respect to purchasers of motor gasoline, resulting in a higher price than permitted, or tied the sale of gasoline to the purchaser of another service. The purpose and effect of these Consent Orders is to bring the consenting firms into compliance with the Mandatory Petroleum Allocation and Pricing Regulations from August 1, 1979, and they do not address or limit any liability with respect to consenting firms' prior compliance or possible violation of the aforementioned regulations. Pursuant to the Consent Orders, the consenting firms agree to the following actions:

A. With respect to selling prices:

1. Reduce prices for each grade of gasoline to no more than the maximum lawful selling price;

2. Roll back prices to achieve refund of overcharges;

3. Properly maintain records required under the aforementioned regulations.

B. With respect to business practices:

1. Cease and desist from employing any form of discriminatory practices;

2. Cease and desist from employing any practice designed to obtain a price higher than is permitted by the regulations;

3. Cease and desist from employing any practice making the sale of gasoline contingent upon the purchase of another service, charging for services by means of a fee computed on a cents per gallon basis, or charging a fee to dispense gasoline.

C. With respect to posting requirements:

1. Properly post the maximum lawful selling price or certification;

2. Roll back the maximum lawful selling price for failure to post.

For further information regarding these Consent Orders, please contact Wayne I. Tucker, District Manager of Enforcement, Southwest District Office, Department of Energy, P.O. Box 35228, Dallas, Texas 75235, telephone number 214/767–7745.

Firm's Name, Address, and Date of Consent Order

Edwardo Salazar, Jr., d.b.a. Salazar Exxon, P.O. Box 2, La Pryor, TX 78872—Jan. 3, 1980

The Sigmor Corporation, 3643 East Commerce, San Antonio, TX 78220—Dec. 26, 1979

Weston Fisher, d.b.a. Fisher Gulf, I–10 & Highway 83, Junction, TX 76849—Jan. 14, 1980

Eagle Park Gulf, 620 N. Dal Paso, Hobbs, NM 88240—Jan. 23, 1980

Emil A. Radosevich, d.b.a. Emil's Texaco, 901 Eubank Blvd., NE., Albuquerque, NM 87112—Dec. 26, 1979

Charles Catgenova, d.b.a. Rio Grande Texaco, 924 Rio Grande Blvd., NW., Albuquerque, NM 87103—Jan. 8, 1980

Standard Truck Plaza, 1915 Menaul, NE., Albuquerque, NM 87107—Dec. 20, 1979

Reynold's Cattle Co., Inc., d.b.a. Kent Cattle Co., Inc., Box 7, Kent, TX 79855—Jan. 8, 1980

Jones Exxon, Highway 87, Eden, TX 76837—Dec. 27, 1979

Jones Texaco, Highway 87, Eden, TX 76837—Dec. 26, 1979

Don Eudy, d.b.a. Don's Gulf, 1400 South Main, Perryton, TX 79070—Jan. 15, 1980

Bill Dorman, d.b.a. Dorman's Texaco, 2156 50th, Lubbock, TX—Jan. 16, 1980
Richard Holder, d.b.a. Rick's Texaco, 5323 S. 2nd Drive, Lubbock, TX 79412—Jan. 17, 1980
Holbert Texaco, 1415 University, Lubbock, TX 79401—Jan. 17, 1980
College Mobil, 401 University, Lubbock, TX 79401—Jan. 15, 1980
Family Park Exxon, 3402 Avenue H, Lubbock, TX 79404—Jan. 16, 1980
Broadway & Q Exxon, 1656 Broadway, Lubbock, TX 79401—Jan. 15, 1980
Statler Bros. Texaco, 6602 Avenue H, Lubbock, TX 79484—Jan. 21, 1980
Douglas Browning d.b.a. Browning Standard, 3664 50th, Lubbock, TX 79413—Jan. 16, 1980
LeRoy Butler, d.b.a. Car Clean Gulf, 2024 Clovis Road, Lubbock, TX 79415—Jan. 23, 1980
Northgate Chevron, 9428 Dyer St., El Paso, TX 79924—Jan. 11, 1980
Jones Hondo Service, 9100 Dyer St., El Paso, TX 79924—Jan. 11, 1980
Sunland Park Exxon, 950 Sunland Park Road, El Paso, TX 79920—Jan. 10, 1980
Country Club Gulf, 5124 Mace, El Paso, TX 79932—Jan. 9, 1980
Johnny's Chevron, 10101 Montana, El Paso, TX 79925—Jan. 9, 1980
Velasco Exxon, 6200 Gateway East, El Paso, TX 79905—Jan. 8, 1980
Fred R. Binka Texaco, 6669 Gateway West, El Paso, TX 79925—Jan. 7, 1980
Freeway Chevron, 7500 Gateway East, El Paso, TX 79915—Jan. 9, 1980
Nelson's Eastwood Chevron, 1001 McRae, El Paso, TX 79925—Jan. 9, 1980
Dale Blue Chevron, 10555 Gateway West, El Paso, TX 79925—Jan. 9, 1980
Adam's Chevron, 1272 Lomaland St., El Paso, TX 79907—Jan. 9, 1980
H & H Car Wash, 8630 Dyer, El Paso, TX 79904—Jan. 10, 1980
Dub Johnson Mobil, 6451 N. Freeway, Houston, TX 77076—Jan. 7, 1980
Van Automotive, Inc., 5301 Bellaire Blvd., Bellaire, TX 77401—Jan. 29, 1980
Vasilios G. Koulianos Shell, 402 Uvalde, Houston, TX 77015—Jan. 30, 1980
Nottington Texaco, 14403 Memorial Drive, Houston, TX—Jan. 30, 1980
Charles & Marion Myers, d.b.a. Myers Northwest Shell, 10404 N.W. Freeway, Houston, TX—Jan. 30, 1980
John Henry Roberts Gulf, 5230 Bennington, Houston, TX—Jan. 31, 1980
Caldwell's Shell, 5435 Bissonnett St., Houston, TX 77081—Jan. 31, 1980
Joubert's Service, 5203 Griggs Road, Houston, TX 77021—Jan. 7, 1980
Gus Varcados Shell Station, 12226 Hillcroft, Houston, TX 77035—Jan. 25, 1980
Cook's Texaco, 4703 Telephone Road, Houston, TX 77017—Jan. 14, 1980
John Sneed, d.b.a. Freeway Texaco, P.O. Box 252L, Baytown, TX 77520—Jan. 10, 1980
Arvill Bankston, d.b.a. Eastway Texaco, 9201 N. Loop East, Houston, TX—Jan. 10, 1980
H. F. Vannatter, Inc., d.b.a. Van's Gulf, 3801 Little York, Houston, TX—Jan. 8, 1980
S. Jarman Texaco, 3730 Eastex Freeway, Houston, TX 77026—Jan. 14, 1980
David Copenland Exxon, 1902 N. Richmond, Wharton, TX—Sept. 25, 1979
O. C. Rich, d.b.a. Pete's Gulf, 8430 Fulton, Houston, TX 77002—Jan. 15, 1980
Adventure Investment Corp., d.b.a. Anchorage Marina, Rt. 1, Box 699M, Willis, TX 77378—Dec. 12, 1979
Price Texaco, 6410 South Park, Houston, TX 77021—Dec. 17, 1979
Grant Texaco, 4902 South Park, Houston, TX—Dec. 17, 1979
Don's Gulf, 2218 N. Loop West, Houston, TX 77018—Dec. 27, 1979
C. A. Popp Service Station, 8017 Homestead, Houston, TX 77028—Dec. 20, 1979
Villarrell Texaco, 3401 Canal, Houston, TX—Nov. 30, 1979
Snider's Texaco, 6400 N.W. 39th Expressway, Bethany, OK 73008—Jan. 16, 1980
Vandermeer's Texaco, Rt. 2, Box 14B, Blackwell, OK 74631—Jan. 16, 1980
Conway Mobil Service, 921 Walnut Street, Duncan, OK—Jan. 16, 1980
Ray P. Smith DX Station, 908 North 16th, Duncan, OK 73533—Jan. 16, 1980
George's Mobil, Route 2, Purcell, OK 73080—Jan. 21, 1980
Jamison Texaco, 7501 S. Shields, Oklahoma City, OK 73149—Jan. 29, 1980
Holiday Inn Gulf, 2616 W. Broadway, Ardmore, OK 73401—Jan. 29, 1980
Al's Truck Stop, Highway 65 & 82, Lake Village, AR—Jan. 29, 1980
Jason M. Bergeron, d.b.a. Lakeside Exxon, Highway 90 E & Roderick Ave., Morgan City, LA 70380—Jan. 14, 1980
Guy Aloisio, d.b.a. Guy's Exxon, P.O. Box 276, Patterson, LA 70392—Jan. 24, 1980
Andre Dragna, d.b.a. Dragna's Shell Service, P.O. Box 2056, Morgan City, LA 70380—Jan. 29, 1980
Charles & Jules Hebert, d.b.a. Avoca Road Gulf Service 1708 U.S. Highway 90, Morgan City, LA 70380—Jan. 30, 1980
Fournet's Winnwood Gulf, Lafayette, LA 70501
Sterling Calligan, d.b.a. Sterling's Exxon, 1500 W. Park Ave., Houma, LA 70360—Jan. 31, 1980
Adolph A. LaCoste, d.b.a. H & L 66 Service, 247 W. Harrison Ave., New Orleans, LA 70124—Jan. 23, 1980
Robert D'Hemecourt & Frank Udolo, d.b.a. West Esplanade Texaco, 6305 Elysian Fields Ave., New Orleans, LA 70124—Jan. 23, 1980
Harold Rambin, d.b.a. Ram Bros. Market, P.O. Box 35, Blanchard, LA 71109—Dec. 13, 1979
Allen's Texaco, 411 W. Wise, Bowie, TX 76230—Jan. 3, 1980
New & Garner Exxon, 6202 Belt Line, Garland, TX 75040—Jan. 10, 1980
Ted Pearce Exxon, 312 W. Coriscana, Athens, TX 75761—Jan. 14, 1980
R. A. Herriage Texaco, 226 S. Palestine, Athens, TX 75751—Jan. 14, 1980
Bob Burrough's Gulf, East Spring & Granberry, Palestine, TX 75801—Jan. 15, 1980
Holton Shell, 2000 S. First St., Lufkin, TX 75901—Jan. 16, 1980
Scrub-A-Dub Car Wash, 412 W. Randal Mill Road, Arlington, TX 76012—Jan. 31, 1980
Lucky's Texaco, 3330 Oak Lawn, Dallas, TX 79219—Jan. 14, 1980
Sid's Exxon, 1424 W. Davis St., Dallas, TX 75208—Jan. 24, 1980
Enloe's Texaco, P.O. Box 300, Ross, TX 76684—Jan. 22, 1980

Issued in Dallas, Texas, this 11th day of March 1980.

Herbert F. Buchanan,

Deputy Dist. Mgr., Southwest District Enforcement, Economic Regulatory Administration.

I, Thomas A. Fry, III, Chief Enforcement Attorney, Southwest District Enforcement, concur in the attached FR Notice regarding Consent Orders issued in the Retail Gasoline Survey.

Dated: March 11, 1980.

Thomas A. Fry III,

Chief, Enforcement Attorney, Southwest District Enforcement.

[FR Doc. 80-8761 Filed 3-20-80; 8:45 am]

BILLING CODE 6450-01-M

[ERA Docket No. 80-CERT-012]

Mississippi Power and Light Co.; Application for Certification of the Use of Natural Gas To Displace Fuel Oil

Take notice that on February 19, 1980, Mississippi Power and Light Company (MP&L), P.O. Box 1640, Jackson, Mississippi 39205, filed an application for certification of an eligible use of natural gas to displace fuel oil at its Baxter Wilson Steam Electric Station Unit #1 (BWSES #1) and Baxter Wilson Steam Electric Station Unit #2 (BWSES #2) located in Vicksburg, Mississippi, pursuant to 10 CFR Part 595 (44 FR 47920, August 16, 1979). More detailed information is contained in the application on file with the Economic Regulatory Administration (ERA) and available to public inspection at the ERA, Docket Room 4126-A, 2000 M Street, N.W., Washington, D.C., 20461, from 8:30 a.m.-4:30 p.m. Monday through Friday, except Federal holidays.

In its application, MP&L states that the volume of natural gas for which it requests certification is up to 200,000 Mcf per day which is estiamted to displace the combined use of approximately 32,000 barrels of No. 6 residual fuel oil (2.5 per cent sulfur) per day at BWSES #1 and BWSES #2.

The eligible seller and transporter is the Michigan Consolidated Gas Company, One Woodward Avenue, Detroit, Michigan 48226.

In order to provide the public with as much opportunity to participate in this proceeding as is practicable under the circumstances, we are inviting any person wishing to comment concerning this application to submit comments in writing to the Economic Regulatory Administration, Room 4126-A, 2000 M Street, N.W., Washington, D.C. 20461, Attention: Mr. Finn K. Neilsen, on or before March 31, 1980.

An opportunity to make an oral presentation of data, views, and arguments either against or in support of this application may be requested by any interested person in writing by March 31, 1980. The request should state the person's interest, and, if appropriate, why the person is a proper representative of a group or class of persons that has such an interest. The request should include a summary of the proposed oral presentation and a statement as to why an oral presentation is necessary. If ERA determines an oral presentation is necessary, further notice will be given to MP&L and any persons filing comments, and will be published in the **Federal Register.**

Issued in Washington, D.C., on March 14, 1980.

Paul T. Burke,

Deputy Assistant Administrator, Office of Petroleum Operations, Economic Regulatory Administration.

[FR Doc. 80-8762 Filed 3-20-80; 8:45 am]

BILLING CODE 6450-01-M

[ERA Docket No. 79-CERT-119]

Department of Water and Power of the City of Los Angeles; Certification of Eligible Use of Natural Gas To Displace Fuel Oil

The Department of Water and Power of the City of Los Angeles (City of Los Angeles), P.O. Box 111, Los Angeles, California 90051, filed an application for certification of an eligible use of natural gas to displace fuel oil at four of its steam-electric generating stations in California with the Administrator of the Economic Regulatory Administration (ERA) pursuant to 10 CFR Part 595 on December 18, 1979. Notice of that application was published in the **Federal Register** (45 FR 9056, February 11, 1980) and an opportunity for public comment was provided for a period of ten (10) calendar days from the date of publication. No comments were received.

The ERA has carefully reviewed the City of Los Angeles' application in accordance with 10 CFR Part 595 and the policy considerations expressed in the Final Rulemaking Regarding Procedures for Certification of the Use of Natural Gas to Displace Fuel Oil (44 FR 47920, August 16, 1979). The ERA has determined that the City of Los Angeles' application satisfies the criteria enumerated in 10 CFR Part 595, and, therefore, has granted the certification and transmitted that certification to the Federal Energy Regulatory Commission. A copy of the transmittal letter and the actual certification are appended to this notice.

Issued in Washington, D.C., on March 14, 1980.

Paul T. Burke,

Deputy Assistant Administrator, Office of Petroleum Operations, Economic Regulatory Administration.

Mr. Kenneth F. Plumb, Secretary, Federal Energy Regulatory Commission, 825 North Capitol Street, N.E., Washington, D.C. 20426.

Re: ERA Certification of Eligible Use, ERA Docket NO. 79-CERT-119, Department of Water and Power of the City of Los Angeles.

Dear Mr. Plumb:

Pursuant to the provisions of 10 CFR Part 595, I am hereby transmitting to the Commission the enclosed certification of an eligible use of natural gas to displace fuel oil. This certification is required by the Commission as a precondition to interstate transportation of fuel oil displacement gas in accordance with the authorizing procedures in 18 CFR Part 284, Subpart F. As noted in the certificate, it is effective for one year from the date of issuance, unless a shorter period of time is required by 18 CFR Part 284, Subpart F. A copy of the enclosed certification is also being published in the **Federal Register** and provided to the applicant.

Should the Commission have any further questions, please contact Mr. Finn K. Neilsen, Director, Import/Export Division, Economic Regulatory Administration, 2000 M Street N.W., Room 4126, Washington, D.C. 20461, telephone (202) 653-3859. All correspondence and inquiries regarding this certification should reference ERA Docket No. 79-CERT-119.

Sincerely,

Paul T. Burke,

Deputy Assistant Administrator, Office of Petroleum Operations, Economic Regulatory Administration.

Certification by the Economic Regulatory Administration to the Federal Energy Regulatory Commission of the Use of Natural Gas for Fuel Oil Displacement by the Department of Water and Power of the City of Los Angeles

Application for Certification

Pursuant to 10 CFR Part 595, the Department of Water and Power of the City of Los Angeles (City of Los Angeles) filed an application for certification of an eligible use of up to 100 MMcf of natural gas per day at its Haynes Generating Station in Long Beach, California; Scattergood Generating Station in Playa Del Rey, California; Valley Generating Station in Sun Valley, California; and Harbor Generating Station in Wilmington, California, with the Administrator of the Economic Regulatory Administration (ERA) on December 18, 1979. The application states that the eligible seller of the gas is Consumers Power Company (Consumers) and that the gas will be transported by the El Paso Natural Gas Company and the Southern California Gas Company. The application and supplemental information indicate that the use of this natural gas is estimated to displace up to 6,000,000 gallons of fuel oil (0.25 percent sulfur) per year. The application also indicates that neither the gas nor the displaced fuel oil will be used to displace coal in the applicant's facilities.

Certification

Based upon a review of the information contained in the application, as well as other information available to ERA, the ERA hereby certifies, pursuant to 10 CFR Part 595, that the use of up to 100 MMcf of natural gas per day at the City of Los Angeles' Haynes Generating Station Scattergood Generating Station, Valley Generating Station, and Harbor Generating Station purchased from Consumers is an eligible use of gas within the meaning of 10 CFR Part 595.

Effective Date

This certification is effective upon the date of issuance, and expires one year from that date, unless a shorter period of time is required by 18 CFR Part 284, Subpart F. It is effective during this period of time for the use of up to the same certified volume of natural gas at the same facilities purchased from the same eligible seller.

Issued in Washington, D.C., on March 14, 1980.

Paul T. Burke,

Deputy Assistant Administrator, Office of Petroleum Operations, Economic Regulatory Administration.

[FR Doc. 80-8763 Filed 3-20-80; 8:45 am]

BILLING CODE 6450-01-M

Federal Energy Regulatory Commission

[Project No. 2336]

Georgia Power Co.; Extension of time

March 17, 1980.

On March 11, 1980, Georgia Power Company filed a request for an extension of time to file an appeal or a petition for rehearing of an Order Approving Revised Exhibit R. issued February 26, 1980, by the Director, Office of Electric Power Regulation, in the above-docketed proceeding. The order may be appealed to the Commission under 18 CFR 1.7(d). The motion states that additional time is needed for the company to assess its legal position in this proceeding and to discuss this matter with all parties concerned.

Upon consideration, notice is hereby given that an extension is granted to and including April 24, 1980, for the filing of an appeal in this proceeding.

Kenneth F. Plumb,

Secretary.

[FR Doc. 80-8728 Filed 3-20-80; 8:45 am]

BILLING CODE 6450-85-M

[Docket No. ER76-739]

Public Service Co., of Indiana, Inc.; Order Accepting Staff Investigative Report and Terminating Proceeding

March 17, 1980.

Before the Commission for review is a Staff report which investigates the reasons for termination of the planning functions of the Kentucky-Indiana Power Pool (KIP) and assesses the possible cost consequences of this termination for the ratepayers of pool members. KIP members are Public Service Company of Indiana, Inc. (PSI), Kentucky Utilities Company (KU), Indianapolis Power and Light Company (IP&L) and East Kentucky Rural Power Cooperative (EK). PSI filed a notice of termination on June 7, 1976.[1]

As explained by the participants in this proceeding, the primary objective of the pool was to plan generation and transmission capacity additions on a one-system basis. Planning commitments were made each year for construction and installation to occur in the sixth year into the future. Although the members are disparately sized (with PSI the largest), each system had one planning vote and the dissent of one participant vetoed any proposal. As part of the planning function, reserve requirements were allocated among the participants. The member with the lowest installed reserve level was designated to build the next pool-planned unit, which was sized to exploit scale economies. To equalize reserves, capacity in excess of the needs of the member constructing a given unit was sold to the other pool participants by means of unit contracts which extended three years past the unit in-service date. The KIP agreement also commits the participants to provide back-up power in the event of a unit outage on a member's system.

The pool members do engage in day-to-day coordination to a limited extent; there are schedules on file with the Commission for the emergency, economy, diversity and short-term power. However, the pool is not centrally dispatched and the participants claim that this was never their intention.

KIP planning functions were terminated for several reasons. First, PSI came to view itself as a disproportionate contributor of scale economy benefits to other pool members. By the mid-1970's PSI had grown to a size where, to its corporate way of thinking, it no longer needed to stagger generation additons with other utilities to exploit scale economies. PSI claims that it tried for two years to reach an agreement on some reallocation of pool benefits without success. However, it is established that the equitable distribution of burdens (costs) is the Federal Power Act standard for pools,[2] and we do not accept PSI's relative size as a sufficient reason for terminating any pool provision. Second, PSI's decision to meet its base-load capacity expansion objectives with two 1130 MW nuclear units (the Marble Hill Station) was not endorsed by the other members which chose coal-fired units instead. Officials of the coal producing State of Kentucky actively opposed issuance of a construction license for these units by the Nuclear Regulatory Commission. There were disputes among the members concerning (1) the relative reliabilities of coal-fired and nuclear units, (2) the derivative question of PSI's future reserve requirement, and (3) the design of pool rate schedules which recognized the disparity in fixed and variable costs between fossil-fired and nuclear units.[3]

As noted earlier, KIP had a planning horizon of six years. The members made planning decisions in 1976 which committed them until March 31, 1982. In 1976 PSI anticipated that the first Marble Hill unit would come on line late in 1982, with the second unit scheduled for 1984. Since the pool-planned status of these units could not be negotiated, PSI exercised its right under the agreement to terminate pool planning functions as of July 1, 1976, by notice filed with the FPC on June 7, 1976.

Although PSI's fellow pool members were not enthusiastic about the notice of termination, they appear to have concluded that they could not induce PSI to plan jointly. They will revert to earlier bilateral interconnection agreements that had been in abeyance while the KIP agreement was in effect.

On August 1, 1977, the FPC issued an order which accepted the notice of termination for filing, made it effective as of July 1, 1976 (as requested by PSI), and ordered an investigation under sections 202, 206, 307 and 311 of the Federal Power Act to determine "if such termination is consistent with the public interest."

The investigation which followed did not include a formal hearing. Instead, Staff undertook an informal study to identify the savings foregone as the result of pool termination. Staff made the following determination of the effects of terminating KIP as a joint-planning pool:

> While the FERC possible can void termination of the KIP Pool Agreement, it is highly unlikely that it could effectively force the member systems to either conduct or implement the results of joint planning studies. And, as stated, since the Pool agreement did not provide for central dispatch it is questionable that the Pool ratepayers will suffer and actual loss through savings foregone due to pool termination. Staff thus, recommends that the Pool agreement be allowed to terminate.

The Staff investigative report criticizes PSI for terminating the KIP agreement without first having studied the reliability and production cost consequences of this decision. However, the Staff does not suggest that PSI would have found reasons to maintain the pool if these studies had been performed.

Staff also describes the possible benefits of a more sophisticated pool in which all member systems would be centrally dispatched. Two computerized production cost studies were performed by the Staff which compared the operating costs of the four pool members as their systems were coordinated under the KIP agreement against the costs of a centrally-dispatched pool operating as a single system. Planning data, compiled by pool members in 1975 and 1976, covering 1976–1985 were used by the Staff in studies which found aggregate 10-year production cost savings ranging from $124.2 million to $224.5 million (2.2% to 3.4% of total production expenses). The low estimate assumes the existing transmission configuration of members which imposes capacity transfer limits on pool transactions; the high estimate assumes that additional

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[1] Article 6.01 of the agreement provides for termination by written notice from any member, which immediately ends all joint planning. All other pool schedules, except for back-up power, are unaffected until terminated separately. Back-up power is phased out over an eight-year period after installation of the last pool-planned unit, KU's 500 MW coal-fired Ghent No. 3 which will go on line in 1981.

[2] *Gainesville Utilities Dept.*, 40 FPC 1248 (1968), *aff'd., Gainesville Utilities Dept.* v. *Florida Power Corp.*, 402 U.S. 515 (1971).

[3] This appears to be the most significant reason for pool termination. This dissension over base-load capacity planning should be contrasted to the consensus among members of the New England Power Pool (NEPOOL) to reply on nuclear units for regional base-load capacity. NEPOOL, a centrally-dispatched operating and planning pool, is an outgrowth of several agreements among New England utilities to jointly construct and own nuclear units.

transmission capacity is constructed to permit optimal transfers.

Staff chides PSI for having terminated the agreement without first having studied the possible benefits of more sophisticated pooling arrangements. The report's concluding paragraph notes that this raises a management prudence question which could be considered in future PSI wholesale rate cases.

After comments were received from the parties, the Staff report was certified to the Commission by the presiding judge who summarized Staff's findings and reiterated the Staff recommendation that the docket be terminated. We conclude from the foregoing discussion that termination of KIP planning functions has not been shown to seriously affect the ratepayers of pool members and that this docket should be closed.

Electric utilities have the obligation to act prudently on behalf of their customers. This duty of prudence carries with it the obligation to minimize and avoid unnecessary costs. Coordination is one opportunity for cost control. KIP members appear to recognize this and assert they will exploit such Coordination benefits through alternative bilateral agreements. The Commission encourages the KIP members to pursue these endeavors. In this respect, we are bound to caution that the adequacy of these efforts may be tested in future rate cases.

The Commission orders:

This investigation docket is hereby terminated.

By the Commission.

Kenneth F. Plumb,

Secretary.

[FR Doc. 80-8730 Filed 3-20-80; 8:45 am]

BILLING CODE 6450-85-M

[Docket No. ER80-238]

Public Service Co. of Oklahoma; Extension of Time

March 14, 1980.

On March 11, 1980, the Grand River Dam Authority of Oklahoma (GRDA) filed a request for extension of time to file a petition to intervene in the above-docketed proceeding. The motion states that additional time is needed for GRDA to evaluate the underlying data in this case and to allow the governing Board of GRDA to meet and consider these issues.

Upon consideration, notice is hereby given that an extension is granted to and including April 4, 1980, for the filing of a petition to intervene in this proceeding.

Kenneth F. Plumb,

Secretary.

[FR Doc. 80-8731 Filed 3-20-80; 8:45 am]

BILLING CODE 6450-85-M

[Docket No. IN80-14]

Virginia Electric & Power Co.; Investigation

March 14, 1980.

On March 14, 1980, the Commission ordered a formal private investigation of allegations made against the Virginia Electric & Power Company [VEPCO]. The investigation will be conducted under Section 307 of the Federal Power Act, and the Commission's Rules Relating to Investigation, 18 CFR Part 1b, by the Office of Enforcement, which upon conclusion of the investigation will report its findings and recommendations to the Commission.

Kenneth F. Plumb,

Secretary.

[FR Doc. 80-8732 Filed 3-20-80; 8:45 am]

BILLING CODE 6450-85-M

[No. 163]

Determinations by Jurisdictional Agencies Under the Natural Gas Policy Act of 1978

March 18, 1980.

The Federal Energy Regulatory Commission received notices from the jurisdictional agencies listed below of determinations pursuant to 18 CFR 274.104 and applicable to the indicated wells pursuant to the Natural Gas Policy Act of 1978.

Alabama Oil and Gas Board

1. Control number (FERC/State)
2. API well number
3. Section of NGPA
4. Operator
5. Well name
6. Field or OCS area name
7. County, State or Block No.
8. Estimated annual volume
9. Date received at FERC
10. Purchaser(s)

1. 80-16788/2-8-801 PDA
2. 01-057-20174-0000
3. 102 000 000
4. Grace Petroleum Corp
5. Jones 8-15
6. Bluff
7. Fayette AL
8. 180.0 million cubic feet
9. February 22, 1980
10. Southern Natural Gas Co

1. 80-16789/2-8-802 PDA
2. 01-057-20179-0000
3. 103 000 000
4. Grace Petroleum Corp
5. Grinsley Et Al 34-15
6. Davis Chapel
7. Fayette AL
8. 180.0 million cubic feet
9. February 22, 1980
10.

1. 80-16790/2-8-803 PDA
2. 01-057-20182-0000
3. 102 000 000
4. Grace Petroleum Corp
5. Smith 32-14
6. Musgrove Creek
7. Fayette AL
8. 180.0 million cubic feet
9. February 22, 1980
10.

1. 80-16791/2-8-804 PD-A
2. 01-097-20160-0000
3. 107 000 000
4. Getty Oil Co
5. Creola Minerals 34-10 #1
6. Hatters Pond
7. Mobile AL
8. 195.0 million cubic feet
9. February 22, 1980
10. Phillips Petroleum Co, United Gas Pipe Line Co

1. 80-16792/2-8-805 PDA
2. 01-097-20136-0000
3. 107 000 000
4. Getty Oil Co
5. Mcbsc 16-7 No 1
6. Hatters Pond
7. Mobile AL
8. 219.0 million cubic feet
9. February 22, 1980
10. Phillips Petroleum Co, United Gas Pipe Line Co

1. 80-16793/2-8-806 PDA
2. 01-097-20150-0000
3. 107 000 000
4. Getty Oil Co
5. E L Hatter 4-10 No 2
6. Hatters Pond
7. Mobile AL
8. 220.0 million cubic feet
9. February 22, 1980
10. Phillips Petroleum Co, United Gas Pipeline Co

1. 80-16794/2-8-807 PDA
2. 01-057-20177-0000
3. 102 000 000
4. Grace Petroleum Corp
5. Fowler 22-14
6. Musgrove Creek
7. Fayette AL
8. 180.0 million cubic feet
9. February 22, 1980
10.

1. 80-16795/2-8-808 PDA
2. 01-075-20212-0000
3. 102 000 000
4. Grace Petroleum Corp
5. Wheeler-Boyette 25-7
6. Hells Creek
7. Lamar AL
8. 180.0 million cubic feet
9. February 22, 1980
10.

1. 80-16796/2-8-809 PDA
2. 01-057-20186-0000
3. 103 000 000
4. Grace Petroleum Corp
5. Fowler 11-4
6. Davis Chapel
7. Fayette AL

8. 144.0 million cubic feet
9. February 22, 1980
10.

1. 80–16797/2–8–8010 PDA
2. 01–057–20163–0000
3. 102 000 000
4. Grace Petroleum Corp
5. Perry 13–5
6. Hubbertville
7. Fayette AL
8. 180.0 million cubic feet
9. February 22, 1980
10.

1. 80–16798/2–8–8011 PDA
2. 01–075–20223–0000
3. 102 000 000
4. Grace Petroleum Corp
5. Pennington-Hollis 32–11
6. Watson Creek
7. Lamar AL
8. 180.0 million cubic feet
9. February 22, 1980
10.

1. 80–16799/2–8–8012 PDA
2. 01–075–20219–0000
3. 102 000 000
4. Grace Petroleum Corp
5. Ogden 19–1
6. Military Grove
7. Lamar AL
8. 180.0 million cubic feet
9. February 22, 1980
10.

1. 80–16800/2–8–8013 PDA
2. 01–075–20208–0000
3. 102 000 000
4. Grace Petroleum Corp
5. Norton 6–2
6. Watson Creek
7. Lamar AL
8. 205.0 million cubic feet
9. February 22, 1980
10.

1. 80–16801/2–8–8014 PDA
2. 01–075–20194–0000
3. 102 000 000
4. Pruet Production Co
5. Jones 26–3 No 1
6. Blowhorn Creek
7. Lamar AL
8. 49.0 million cubic feet
9. February 22, 1980
10. Southern Natural Gas Co

1. 80–16802/2–8–8015 PDA
2. 01–075–20177–0000
3. 102 000 000
4. Pruet Production Co
5. Frances W Thomas 7–15 #1
6. Star
7. Lamar AL
8. 256.0 million cubic feet
9. February 22, 1980
10. Tennessee Gas Pipeline Co

1. 80–16803/2–8–8016 PDA
2. 01–075–20204–0000
3. 102 000 000
4. Pruet Production Co
5. Arline Manuf Co 23–3 #1
6. Blowhorn Creek
7. Lamar AL
8. 274.0 million cubic feet
9. February 22, 1980
10. Southern Natural Gas Co

1. 80–16804/2–8–8017 PDA
2. 01–075–20234–0000
3. 102 000 000
4. Pruet Production Co
5. Thomas 15–15 No 1
6. Blowhorn Creek
7. Lamar AL
8. 433.0 million cubic feet
9. February 22, 1980
10. Southern Natural Gas Co

1. 80–16805/2–8–8018 PDA
2. 01–075–20237–0000
3. 102 000 000
4. Pruet Production Co
5. Weyerhaeuser 26–6 No 1
6. Blowhorn Creek
7. Lamar AL
8. 27.0 million cubic feet
9. February 22, 1980
10. Southern Natural Gas Co

1. 80–16806/2–8–8019 PDA
2. 01–075–20125–0000
3. 102 000 000
4. Pruet Production Co
5. C C Day Et Al No 3
6. Star
7. Lamar AL
8. 310.0 million cubic feet
9. February 22, 1980
10. Tennessee Gas Pipeline Co

1. 80–16807/2–8–8020 PDA
2. 01–075–20175–0000
3. 102 000 000
4. Pruet Production Co
5. Thomas Gas Unit No 1
6. Star
7. Lamar AL
8. 365.0 million cubic feet
9. February 22, 1980
10. Tennessee Gas Pipeline Co

Louisiana Office of Conservation

1. Control Number (F.E.R.C./State)
2. API well number
3. Section of NGPA
4. Operator
5. Well name
6. Field or OCS area name
7. County, State or Block No.
8. Estimated annual volume
9. Date received at FERC
10. Purchaser(s)

1. 80–16808/79–2302
2. 17–101–21021–0000
3. 102 000 000
4. WWF Oil Corp
5. SL 4801 #1
6. Atchafalaya Bay Field
7. St Mary LA
8. 510.0 million cubic feet
9. February 22, 1980
10. Tennessee Gas Pipeline Co

1. 80–16809/79–2303
2. 17–101–21098–0000
3. 102 103 000
4. WWF Oil Corp
5. SL 1595 #4
6. Atchafalaya Bay
7. St Mary LA
8. 365.0 million cubic feet
9. February 22, 1980
10. Tennessee Gas Pipeline Co

1. 80–16810/79–2304
2. 17–101–21040–0000
3. 102 000 000
4. WWF Oil Corp
5. SL 1595 #3D
6. Atchafalaya Bay Field
7. St Mary LA
8. 475.0 million cubic feet
9. February 22, 1980
10. Tennessee Gas Pipeline Co

1. 80–16811/80–27
2. 17–075–22482–0000
3. 102 103 000
4. Texaco Inc
5. SL 4901 7
6. Balize Bayou
7. Plaquemines LA
8. 416.0 million cubic feet
9. February 22, 1980
10. Tennessee Gas Pipeline Co

Michigan Department of Natural Resources

1. Control number (F.E.R.C./State)
2. API well number
3. Section of NGPA
4. Operator
5. Well name
6. Field or OCS area name
7. County, State or Block No
8. Estimated annual volume
9. Date received at FERC
10. Purchaser(s)

1. 80–16812
2. 21–079–32960–0000
3. 102 000 000
4. Amoco Production Co
5. St Blue Lake F 2–13
6. Blue Lake 13A–28N–5W
7. Kalkaska MI
8. 110.0 million cubic feet
9. February 22, 1980
10. Consumers Power Co

1. 80–16813
2. 21–045–50000–0000
3. 102 000 000
4. Kulka & Schmidt Inc
5. Roger Sederlund Unit #1–2
6. Brookfield 2
7. Eaton MI
8. 73.0 million cubic feet
9. February 22, 1980
10. Consumers Power Co

1. 80–16814
2. 21–035–00000–0000
3. 102 000 000
4. Hunt Energy Corp
5. Benchley A #4–31 33011
6. Winterfield
7. Clare MI
8. .0 million cubic feet
9. February 22, 1980
10. Consumers Power Co

1. 80–16815
2. 21–035–00000–0000
3. 102 000 000
4. Hunt Energy Corp
5. Benchley No 3–31 32992
6. Winterfield Field
7. Clare MI
8. 14.0 million cubic feet
9. February 22, 1980
10. Consumers Power Co

Oklahoma Corporation Commission

1. Control Number (F.E.R.C./State)
2. API well number
3. Section of NGPA
4. Operator
5. Well name
6. Field or OCS area name
7. County, State or Block No.
8. Estimated annual volume
9. Date received at FERC

10. Purchaser(s)
1. 80–16581/01901
2. 35–003–20655–0000
3. 103 000 000
4. Maguire Oil Co
5. Nightengale #2
6. Chaney Dell E Miss
7. Alfalfa OK
8. 40.0 million cubic feet
9. February 25, 1980
10. Panhandle Eastern Pipe Line Co
1. 80–16582/01859
2. 35–093–20481–0000
3. 108 000 000
4. Kaiser Francis Oil Co
5. Gard A #1–16
6. Cheyenne Valley
7. Major OK
8. 9.5 million cubic feet
9. February 25, 1980
10. Michigan Wisconsin Pipeline Co
1. 80–16583/01652
2. 35–073–00000–0000
3. 108 000 000
4. J M Huber Corp
5. Gammon No 1
6. Columbia
7. Kingfisher OK
8. 2.6 million cubic feet
9. February 25, 1980
10. Exxon Company USA
1. 80–16584/00802
2. 35–107–00000–0000
3. 108 000 000
4. VAB Inc
5. Dwiggins No 1B
6. Section 7–10–12E
7. Okfuskee OK
8. 6.0 million cubic feet
9. February 25, 1980
10. Phillips Petroleum Co
1. 80–16585/02132
2. 35–087–00288–0000
3. 108 000 000
4. Tenneco Oil Co
5. Theodore F Dukes #1
6. Payne NW
7. McClain OK
8. .8 million cubic feet
9. February 25, 1980
10. Warren Petroleum Corp
1. 80–16586/02125
2. 35–105–00000–0000
3. 103 000 000
4. James B Urban Petroleum
5. Hendricks #1
6. Nowata-Claggett
7. Nowata OK
8. 70.0 million cubic feet
9. February 25, 1980
10. Band M Pipeline
1. 80–16587/02032
2. 35–007–00000–0000
3. 103 000 000
4. Swala Oil & Gas Corp
5. #1 Dixon
6. Mocane Council Grove
7. Beaver County OK
8. 91.3 million cubic feet
9. February 25, 1980
10. Panhandle Eastern Pipe Line Company
1. 80–16588/01569
2. 35–011–20729–0000
3. 108 000 000
4. Texas Oil & Gas Corp
5. Bichenen #1
6. Watonga
7. Blaine OK
8. 18.0 million cubic feet
9. February 25, 1980
10. Delhi Gas Pipeline Corp
1. 80–16589/01421
2. 35–131–20618–0000
3. 103 000 000
4. Ketal Oil Producing Co
5. Mendell #1
6. W Oologah
7. Rogers OK
8. 293.0 million cubic feet
9. February 25, 1980
10. Diamond S Gas Systems Inc
1. 80–16590/01937
2. 35–151–20913–0000
3. 103–000–000
4. Wm J O'Connor
5. Murray #1
6. Oakdale
7. Woods OK
8. 180.0 million cubic feet
9. February 25, 1980
10.
1. 80–16591/01933
2. 35–151–20803–0000
3. 103–000–000
4. Wm J O'Connor
5. Copenhaver #1
6. Oakdale
7. Woods OK
8. 185.0 million cubic feet
9. February 25, 1980
10.
1. 80–16592/01758
2. 35–093–21295–0000
3. 103–000–000
4. Seneca Oil Co
5. Cain #1
6. Dane
7. Major OK
8. 181.0 million cubic feet
9. February 25, 1980
10.
1. 80–16593/01930
2. 35–003–20660–0000
3. 103–000–000
4. Wm J O'Connor
5. Goodno #1
6. Oakdale
7. Alfalfa OK
8. 180.0 million cubic feet
9. February 25, 1980
10.
1. 80–16594/01360
2. 35–061–20195–0000
3. 102–000–000
4. Service Drilling Co
5. Whitefield #1–13
6. West Stigler
7. Haskell OK
8. 547.0 million cubic feet
9. February 25, 1980
10. Tennessee Gas Pipeline Co Delhi Gas P/L Co Columbia Gas Transmission Corp
1. 80–16595/01906
2. 35–003–20661–0000
3. 103–000–000
4. Wm J O'Connor
5. Bernard #1
6. Oakdale
7. Alfalfa OK
8. 180.0 million cubic feet
9. February 25, 1980
10.
1. 80–16596/01942
2. 35–151–20903–0000
3. 103–000–000
4. WM J O'Connor
5. Spencer Unit #1
6. Oakdale
7. Woods OK
8. 180.0 million cubic feet
9. February 25, 1980
10.
1. 80–16597/02015
2. 35–007–00000–0000
3. 108–000–000
4. John P Castleman Jr
5. Castleman-Bell No 1
6. Camrick
7. Beaver OK
8. 21.0 million cubic feet
9. February 25, 1980
10. Natural Gas Pipeline Company of AME
1. 80–16598/01909
2. 35–003–20648–0000
3. 103–000–000
4. Wm J O'Connor
5. Maddox #1
6. Oakdale
7. Alfalfa OK
8. 180.0 million cubic feet
9. February 25, 1980
10.
1. 80–16599/02059
2. 35–007–00000–0000
3. 108–000–000
4. Pioneer Production Corp
5. Jackson #1 OTC 007 09662
6. Mocane
7. Beaver OK
8. 13.1 million cubic feet
9. February 25, 1980
10. Panhandle Eastern Pipeline Co
1. 80–16600/01911
2. 35–003–20652–0000
3. 103–000–000
4. Wm J O'Connor
5. Elliott #1
6. Oakdale
7. Alfalfa OK
8. 180.0 million cubic feet
9. February 25, 1980
10.
1. 80–16601/01913
2. 35–093–21342–0000
3. 103–000–000
4. Wm J O'Connor
5. G Sacket #1
6. Oakdale
7. Major OK
8. .0 million cubic feet
9. February 25, 1980
10.
1. 80–16602/01916
2. 35–093–21406–0000
3. 103–000–000
4. Wm J O'Connor
5. Bennett #1
6. Oakdale
7. Major OK
8. 180.0 million cubic feet
9. February 25, 1980
10.
1. 80–16603/04102

2. 35–007–21682–0000
3. 102–000–000
4. P F Beeler
5. P F Beeler—Barby #116
6. Unnamed Sec 16–4N–26ECM
7. Beaver OK
8. 120.0 million cubic feet
9. February 25, 1980
10. Panhandle Eastern Pipe Line Co

1. 80–16604/01739
2. 35–047–21729–0000
3. 103–000–000
4. Geodyne Resources Inc
5. Goodpasture No 6–1
6. Sooner Trend
7. Garfield OK
8. 51.4 million cubic feet
9. February 25, 1980
10. Phillips Petroleum Co

1. 80–16605/01626
2. 35–073–00000–0000
3. 103–000–000
4. Geodyne Resources Inc
5. Vieth 25–1
6. Sooner Trend
7. Kingfisher OK
8. 69.3 million cubic feet
9. February 25, 1980
10. Phillips Petroleum Company

1. 80–16606/01622
2. 35–073–21940–0000
3. 103–000–000
4. Brown & Borelli Inc
5. Jech #1
6. Sooner Trend
7. Kingfisher OK
8. 22.8 million cubic feet
9. February 25, 1980
10. Phillips Petroleum Co

1. 80–16607/01949
2. 35–045–35294–0000
3. 108–000–000
4. Kaiser Francis Oil Co
5. McClung #1
6. Mocane Laverne
7. Ellis OK
8. 10.0 million cubic feet
9. February 25, 1980
10. Michigan Wisconsin Pipeline Co

1. 80–16608/02004
2. 35–043–00000–0000
3. 108–000–000
4. Kaiser Francis Oil Co
5. Ottie B Fuqua Unit #1
6. N E Seiling
7. Dewey OK
8. 13.0 million cubic feet
9. February 25, 1980
10. Panhandle Eastern Pipeline Co

1. 80–16609/01950
2. 35–003–20503–0000
3. 108–000–000
4. Texas Oil & Gas Corp
5. Cross #1
6. N Jet
7. Alfalfa OK
8. 10.3 million cubic feet
9. February 25, 1980
10. Pioneer Gas Products Co

1. 80–16610/01908
2. 35–003–20653–0000
3. 103–000–000
4. Wm J O'Connor
5. Lee #1
6. Oakdale
7. Alfalfa OK
8. 180.0 million cubic feet
9. February 25, 1980
10.

1. 80–16611/01905
2. 35–003–20658–0000
3. 103–000–000
4. Wm J O'Connor
5. Louise #1
6. Oakdale
7. Alfalfa OK
8. 180.0 million cubic feet
9. February 25, 1980
10.

1. 80–16612/01572
2. 35–011–20783–0000
3. 108–000–000
4. Harper Oil Co
5. Christensen #1
6. S E Fay
7. Blaine OK
8. 1.0 million cubic feet
9. February 25, 1980
10. Oklahoma Gas & Electric Co

1. 80–16613/01445
2. 35–035–20097–0000
3. 108–000–000
4. Maylon Energy
5. Metzen #1 OTC No 03553781
6. NW Welch
7. Craig OK
8. .0 million cubic feet
9. February 25, 1980
10. Cities Service Gas Company

1. 80–16614/02135
2. 35–009–00000–0000
3. 108–000–000
4. Miller & Stanley Operating Co
5. Chick #1
6. South Erick
7. Beckham OK
8. 16.4 million cubic feet
9. February 25, 1980
10. El Paso Natural Gas Co

1. 80–16615/01371
2. 35–133–00017–0000
3. 108–000–000
4. Continental Oil Co
5. R Arhalokoche No 1
6. S Cromwell
7. Seminole OK
8. 10.0 million cubic feet
9. February 25, 1980
10. Arapaho Petroleum Inc

1. 80–16616/01727
2. 35–047–21341–0000
3. 103–000–000
4. Ketal Oil Producing Co
5. Hallie #1
6. NE Elkhorn
7. Garfield OK
8. 114.0 million cubic feet
9. February 25, 1980
10. Aminoil USA Inc

1. 80–16617/01903
2. 35–093–00000–0000
3. 108–000–000
4. Harper Oil Co
5. May #1
6. Ringwood
7. Major OK
8. 9.0 million cubic feet
9. February 25, 1980
10. Oklahoma Gas & Electric Co

1. 80–16618/02187
2. 35–073–21319–0000
3. 108–000–000
4. Ricks Exploration Co
5. State #16–A
6. Sooner Trend
7. Kingfisher OK
8. 45.0 million cubic feet
9. February 25, 1980
10. Oklahoma Gas & Electric Co

1. 80–16619/02181
2. 35–073–00000–0000
3. 108–000–000
4. Buck Exploration
5. Schroeder No 1
6.
7. Kingfisher OK
8. 1.8 million cubic feet
9. February 25, 1980
10. Exxon Company USA

1. 80–16620/02179
2. 35–083–00000–0000
3. 108 000 000
4. J T Hoke Jr
5. Emily #2
6. Lovell
7. Logan OK
8. 19.0 million cubic feet
9. February 25, 1980
10. Eason Oil Company

1. 80–16621/01587
2. 35–045–20670–0000
3. 103 000 000
4. Natomas North American Inc
5. Wittkopp No 1
6. NW Crawford
7. Ellis OK
8. 115.0 million cubic feet
9. February 25, 1980
10. Panhandle Eastern Pipe Line Co

1. 80–16622/01591
2. 35–083–20644–0000
3. 103 000 000
4. Jet Oil Co
5. Orndorff #1
6. SE Russell
7. Logan OK
8. 90.0 million cubic feet
9. February 25, 1980
10. Service Drilling Co

1. 80–16623/01592
2. 35–083–20677–0000
3. 103 000 000
4. Jet Oil Co
5. Orndorff #2
6. SE Russell
7. Logan OK
8. 90.0 million cubic feet
9. February 25, 1980
10. Service Drilling Co

1. 80–16624/10593
2. 35–083–20800–0000
3. 103 000 000
4. Jet Oil Co
5. Howland 2–A
6. SE Russell
7. Logan OK
8. 20.0 million cubic feet
9. February 25, 1980
10. Service Drilling Co

1. 80–16625/01607
2. 35–083–20636–0000
3. 103 000 000
4. Jet Oil Co
5. Howland 1–A
6. SE Russell

7. Logan OK
8. 20.0 million cubic feet
9. February 25, 1980
10. Service Drilling Co

1. 80–16626/02017
2. 35–007–20144–0000
3. 108 000 000
4. Sovereign Exploration Co
5. Sovereign No 1 Alexander
6. Laverne
7. Beaver OK
8. 19.0 million cubic feet
9. February 25, 1980
10. Michigan-Wisconsin

1. 80–16627/01551
2. 35–109–00000–0000
3. 108 000 000
4. Batco Oil Co
5. Griflin #2
6. West Edmond
7. Okla OK
8. 2.4 million cubic feet
9. February 25, 1980
10. Continental Oil Co

1. 80–16628/02010
2. 35–025–20344–0000
3. 102 000 000
4. John P Castleman Jr
5. Castleman-Cox No 36–1
6. Sampsel
7. Cimarron OK
8. 140.0 million cubic feet
9. February 25, 1980
10. Panhandle Eastern Pipeline Co

1. 80–16629/01768
2. 35–045–20729–0000
3. 103 000 000
4. Jordan Oil & Gas Co
5. Mason No 1
6. Gage S W
7. Ellis OK
8. 182.0 million cubic feet
9. February 25, 1980
10. Northern Natural Gas Co

1. 80–16630/01419
2. 35–117–20521–0000
3. 103 000 000
4. Ketal Oil Producing Co
5. Trinkle #2
6.
7. Pawnee OK
8. 117.0 million cubic feet
9. February 25, 1980
10. Phillips Petroleum Co

1. 80–16631/01566
2. 35–073–21950–0000
3. 103 000 000
4. LPCX Corp
5. Cronkite No 1
6. Sooner Trend
7. Kingfisher OK
8. .0 million cubic feet
9. February 25, 1980
10. Oklahoma Gas & Electric

1. 80–16632/01157
2. 35–153–20835–0000
3. 103 000 000
4. Barnell Ltd
5. Roberts #1–06
6. Unnamed
7. Woodward OK
8. .0 million cubic feet
9. February 25, 1980
10.

1. 80–16633/00506
2. 35–071–20841–0000
3. 103 000 000
4. Chase Exploration Corp
5. Miller #2–24 (CS #1)
6.
7. Kay OK
8. 11.0 million cubic feet
9. February 25, 1980
10. Cities Service Gas Co

1. 80–16634/02065
2. 35–063–00000–0000
3. 103 000 000
4. Global Gas Corp
5. Monroe #1–9
6. Dustin
7. Hughes OK
8. 40.6 million cubic feet
9. February 25, 1980
10. Public Service Company of Oklahoma

1. 80–16635/01678
2. 35–043–20513–0000
3. 108 000 000
4. Texas Oil & Gas Corp
5. Zieber B #1
6. S E Taloga
7. Dewey OK
8. 15.0 million cubic feet
9. February 25, 1980
10. Delhi Gas Pipeline Corp

1. 80–16636/04880
2. 35–121–20636–0000
3. 102 000 000
4. Hamilton Brothers Oil Co
5. Sherrill-Daniels No 1–25
6. Kiowa
7. Pittsburg OK
8. 365.0 million cubic feet
9. February 25, 1980
10. Arkansas-Louisiana Gas Co

1. 80–16637/01726
2. 35–047–21320–0000
3. 103 000 000
4. Ketal Oil Producing Co
5. Alice #2
6. N E Elkhorn
7. Garfield OK
8. 143.0 million cubic feet
9. February 25, 1980
10. Aminoil USA Inc

1. 80–16638/01927
2. 35–047–21714–0000
3. 103 000 000
4. Geodyne Resources Inc
5. Sawyer 20–1
6. Hunter South
7. Garfield OK
8. 58.4 million cubic feet
9. February 25, 1980
10. Atlantic Richfield Co

1. 80–16639/01964
2. 35–003–20487–0000
3. 108 000 000
4. Texas Oil & Gas Corp
5. Ford A #1
6. S Jet
7. Alfalfa OK
8. 18.0 million cubic feet
9. February 25, 1980
10. Pioneer Gas

1. 80–16640/01969
2. 35–151–20794–0000
3. 103 000 000
4. Shenandoah Oil Corp
5. London B No 1
6. Freedom S E (Red Fork)
7. Woods OK
8. 269.0 million cubic feet
9. February 25, 1980
10. Panhandle Eastern P L Co

1. 80–16641/01920
2. 35–153–20809–0000
3. 103 000 000
4. Champlin Exploration Inc
5. Edna Henderson No 1
6. N W Cedardale
7. Woodward OK
8. 81.0 million cubic feet
9. February 25, 1980
10. Michigan Wisconsin Pipe Line Co

1. 80–16642/01625
2. 35–073–22025–0000
3. 103 000 000
4. Brown & Borelli Inc
5. King-Vieth #1
6. Sooner Trend
7. Kingfisher OK
8. 40.4 million cubic feet
9. February 25, 1980
10. Phillips Petroleum Company

1. 80–16643/10668
2. 35–073–00000–0000
3. 108 000 000
4. Harper Oil Co
5. Newton 1
6. Sooner Trend
7. Kingfisher OK
8. 5.0 million cubic feet
9. February 25, 1980
10. Phillips Petroleum Co

1. 80–16644/01669
2. 35–073–20965–0000
3. 108 000 000
4. Harper Oil Co
5. Donald 1
6. Sooner Trend
7. Kingfisher OK
8. 15.0 million cubic feet
9. February 25, 1980
10. Phillips Petroleum Co

1. 80–16645/02079
2. 35–091–20212–0000
3. 102 000 000
4. Global Gas Corp
5. Van Tuyl #1–20
6. Hitchita
7. McIntosh OK
8. .0 million cubic feet
9. February 25, 1980
10.

1. 80–16646/02078
2. 35–091–20206–0000
3. 102 000 000
4. Global Gas Corp
5. Welch #1–22
6. Hitchita
7. McIntosh OK
8. .0 million cubic feet
9. February 25, 1980
10.

1. 80–16647/01420
2. 35–117–20549–0000
3. 103 000 000
4. Ketal Oil Producing Co
5. Trinkle #3
6. E Crescent Star
7. Pawnee OK
8. 44.0 million cubic feet
9. February 25, 1980
10. Phillips Petroleum Company

1. 80–16648/01603

2. 35–083–20738–0000
3. 103 000 000
4. Service Drilling Co
5. Barker #1–5
6. N E Russell
7. Logan OK
8. 24.0 million cubic feet
9. February 25, 1980
10. Cities Service Gas Co

1. 80–16649/01606
2. 35–083–20981–0000
3. 103 000 000
4. Service Drilling Co
5. Barker #2–5
6. N E Russell
7. Logan OK
8. 24.0 million cubic feet
9. February 25, 1980
10. Cities Service Gas Co

1. 80–16650/01918
2. 35–093–21441–0000
3. 103 000 000
4. Clifford Resources Inc
5. Newton #1A
6. North Campbell
7. Major OK
8. 200.0 million cubic feet
9. February 25, 1980
10. Panhandle Eastern Pipe Line Co

1. 80–16651/01924
2. 35–045–20648–0000
3. 103 000 000
4. Jones & Pellow Oil Co
5. Drake 10–1
6.
7. Ellis OK
8. 33.6 million cubic feet
9. February 25, 1980
10. Northern Natural Gas Co

1. 80–16652/02084
2. 35–091–20190–0000
3. 102 000 000
4. Global Gas Corp
5. Ralph Barnett #1–21
6. Hitchita
7. McIntosh OK
8. 174.0 million cubic feet
9. February 25, 1980
10.

1. 80–16653/02081
2. 35–091–20192–0000
3. 102 000 000
4. Global Gas Corp
5. Berryhill #1–22
6. Hitchita
7. McIntosh OK
8. 453.0 million cubic feet
9. February 25, 1980
10.

1. 80–16654/02080
2. 35–091–20205–0000
3. 102 000 000
4. Global Gas Corp
5. Johnston #1–15
6. Hitchita
7. McIntosh OK
8. 488.0 million cubic feet
9. February 25, 1980
10.

1. 80–16655/01654
2. 35–073–20153–0000
3. 108 000 000
4. Kirkpatrick Oil Co
5. McNulty B #1
6. Sooner Trend
7. Kingfisher OK
8. 6.5 million cubic feet
9. February 25, 1980
10. Exxon Corp

1. 80–16656/01665
2. 35–073–50332–0000
3. 108 000 000
4. Phoenix Resources Co
5. Hobbs Unit #25–1
6. Hennessey West
7. Kingfisher OK
8. 2.0 million cubic feet
9. February 25, 1980
10. Oklahoma Natural Gas Co

1. 80–16657/01263
2. 35–139–20850–0000
3. 108 000 000
4. Ferguson Oil & Gas Co Inc
5. State No 1–36
6. N Hitchland
7. Texas OK
8. 22.0 million cubic feet
9. February 25, 1980
10. Panhandle Eastern Pipeline Co

1. 80–16658/02071
2. 35–091–20216–0000
3. 102 000 000
4. Global Gas Corp
5. Johnson #1–25
6. Stidham
7. McIntosh OK
8. 183.0 million cubic feet
9. February 25, 1980
10.

1. 80–16659/01897
2. 35–093–00000–0000
3. 108 000 000
4. Kaiser Francis Oil Co
5. J W Jeffries #1–6
6. Ringwood
7. Major OK
8. 7.0 million cubic feet
9. February 25, 1980
10. Union Texas Petroleum

1. 80–16660/01282
2. 35–007–00000–0000
3. 108 000 000
4. Harper Oil Co
5. Marvin Jones 1
6. Mocane Laverne
7. Beaver OK
8. 15.0 million cubic feet
9. February 25, 1980
10. El Paso Natural Gas Co

1. 80–16661/01559
2. 35–129–20303–0000
3. 103 000 000
4. Apache Corp
5. Clay #1–30
6. West Reydon
7. Roger Mills OK
8. 2372.5 million cubic feet
9. February 25, 1980
10. El Paso Natural Gas Co

1. 80–16662/01521
2. 35–051–20645–0000
3. 103 000 000
4. Trigg Drilling Co
5. Turkey #1
6. Cogar
7. Grady OK
8. 471.0 million cubic feet
9. February 25, 1980
10. Oklahoma Gas & Electric Co

1. 80–16663/02082
2. 35–091–20220–0000
3. 102 000 000
4. Global Gas Corp
5. Lackey #1–17
6. Hitchita
7. McIntosh, OK
8. .0 million cubic feet
9. February 25, 1980
10.

Pennsylvania Department of Environmental Resources, Division of Oil and Gas

1. Control Number (FERC/State)
2. API Well Number
3. Section of NGPA
4. Operator
5. Well Name
6. Field or OCS Area name
7. County, State or Block No.
8. Estimated Annual Volume
9. Date Received at FERC
10. Purchaser(s)

1. 80–16664/104
2. 37–059–00000–0000
3. 108 000 000
4. Tri-County Oil & Gas Inc
5. J F McCracken #1
6. Richhill Township
7. Greene County PA
8. 5.5 million cubic feet
9. February 26, 1980
10. Carnegie Natural Gas Co

1. 80–16665/105A
2. 37–059–00000–0000
3. 108 000 000
4. Tri-County Oil & Gas Inc
5. J M Phillips #1
6.
7. Greene (Marshall WVAPA)
8. 7.6 million cubic feet
9. February 26, 1980
10. Peoples Natural Gas Co

1. 80–16666/105
2. 37–059–00000–0000
3. 108 000 000
4. Tri-County Oil & Gas Inc
5. King & T H Houston #1
6. Aleppo
7. Greene PA
8. 7.7 million cubic feet
9. February 26, 1980
10. Peoples Natural Gas Co

1. 80–16667/106
2. 37–059–00000–0000
3. 108 000 000
4. Tri-County Oil & Gas Inc
5. Ellen J Behanna #1
6. Somerset
7. Washington PA
8. 10.0 million cubic feet
9. February 26, 1980
10. Equitable Gas Co

1. 80–16668/108
2. 37–059–00000–0000
3. 108 000 000
4. Tri-County Oil & Gas Inc
5. McCracken & Briggs #1
6. Aleppo
7. Greene PA
8. 2.2 million cubic feet
9. February 26, 1980
10. Columbia Gas Transmission Corp

1. 80–16669/110
2. 37–059–00000–0000
3. 108 000 000

4. Tri-County Oil & Gas Inc
5. Levi Tharp #1
6. Aleppo
7. Greene PA
8. 2.0 million cubic feet
9. February 26, 1980
10. Columbia Gas Transmission Corp
1. 80–16670/111
2. 37–059–00000–0000
3. 108 000 000
4. Tri-County Oil & Gas Inc
5. Jasper Jackson #1
6. Aleppo
7. Greene PA
8. 2.0 million cubic feet
9. February 26, 1980
10. Columbia Gas Transmission Corp
1. 80–16671/112
2. 37–059–00000–0000
3. 108 000 000
4. Tri-County Oil & Gas Inc
5. C J Behm #1
6. Aleppo
7. Greene PA
8. 2.0 million cubic feet
9. February 26, 1980
10. Columbia Gas Transmission Corp
1. 80–16672/472
2. 37–065–00000–0000
3. 108 000 000
4. Glenbrook Oil & Gas Co
5. Lewis No 12
6. Brookville
7. Jefferson PA
8. .9 million cubic feet
9. February 26, 1980
10. National Fuel Gas Supply Corp
1. 80–16673/473
2. 37–065–00000–0000
3. 108 000 000
4. Glenbrook Oil & Gas Co
5. Lewis No 20
6. Brookville
7. Jefferson PA
8. .9 million cubic feet
9. February 26, 1980
10. National Fuel Gas Supply Corp
1. 80–16674/474
2. 37–065–00000–0000
3. 108 000 000
4. Glenbrook Oil & Gas Co
5. Lewis No 22
6. Brookville
7. Jefferson PA
8. .9 million cubic feet
9. February 26, 1980
10. National Fuel Gas Supply Corp
1. 80–16675/475
2. 37–065–00000–0000
3. 108 000 000
4. Glenbrook Oil & Gas Co
5. Lewis No 25
6. Brookville
7. Jefferson PA
8. .9 million cubic feet
9. February 26, 1980
10. National Fuel Gas Supply Corp
1. 80–16676/476
2. 37–065–00000–0000
3. 108 000 000
4. Glenbrook Oil & Gas Co
5. Lewis No 26
6. Brookville
7. Jefferson PA
8. .9 million cubic feet
9. February 26, 1980
10. National Fuel Gas Supply Corp
1. 80–16677/477
2. 37–065–00000–0000
3. 108 000 000
4. Glenbrook Oil & Gas Co
5. Lewis No 27
6. Brookville
7. Jefferson PA
8. .9 million cubic feet
9. February 26, 1980
10. National Fuel Gas Supply Corp
1. 80–16678/478
2. 37–065–00000–0000
3. 108 000 000
4. Glenbrook Oil & Gas Co
5. Lewis No 28
6. Brookville
7. Jefferson PA
8. .9 million cubic feet
9. February 26, 1980
10. National Fuel Gas Supply Corp
1. 80–16679/479
2. 37–065–00000–0000
3. 108 000 000
4. Glenbrook Oil & Gas Co
5. Lewis No 29
6. Brookville
7. Jefferson PA
8. .9 million cubic feet
9. February 26, 1980
10. National Fuel Gas Supply Corp
1. 80–16680/480
2. 37–065–00000–0000
3. 108 000 000
4. Glenbrook Oil & Gas Co
5. Lewis No 30
6. Brookville
7. Jefferson PA
8. .9 million cubic feet
9. February 26, 1980
10. National Fuel Gas Supply Corp
1. 80–16681/481
2. 37–065–00000–0000
3. 108 000 000
4. Glenbrook Oil & Gas Co
5. Lewis No 31
6. Brookville
7. Jefferson PA
8. .9 million cubic feet
9. February 26, 1980
10. National Fuel Gas Supply Corp
1. 80–16682/482
2. 37–065–00000–0000
3. 108 000 000
4. Glenbrook Oil & Gas Co
5. Lewis No 32
6. Brookville
7. Jefferson PA
8. .9 million cubic feet
9. February 26, 1980
10. National Fuel Gas Supply Corp
1. 80–16683/483
2. 37–065–00000–0000
3. 108 000 000
4. Glenbrook Oil & Gas Co
5. Lewis No 33
6. Brookville
7. Jefferson PA
8. .9 million cubic feet
9. February 26, 1980
10. National Fuel Gas Supply Corp
1. 80–16684/484
2. 37–065–00000–0000
3. 108 000 000
4. Glenbrook Oil & Gas Co
5. Lewis No 34
6. Brookville
7. Jefferson PA
8. .9 million cubic feet
9. February 26, 1980
10. National Fuel Gas Supply Corp
1. 80–16685/485
2. 37–065–00000–0000
3. 108 000 000
4. Glenbrook Oil & Gas Co
5. Lewis No 35
6. Brookville
7. Jefferson PA
8. .9 million cubic feet
9. February 26, 1980
10. National Fuel Gas Supply Corp
1. 80–16686/1039
2. 37–065–21520–0003
3. 103 000 000
4. Consolidated Gas Supply Corp
5. Charles H Miller WN–1652
6. Trade City 715052
7. Jefferson PA
8. 84.0 million cubic feet
9. February 26, 1980
10. General System Purchasers
1. 80–16687/1044
2. 37–063–23886–0003
3. 103 000 000
4. Consolidated Gas Supply Corp
5. Layaro Gaston WN–1651
6. Big Run-Rochester Mills 063423
7. Indiana PA
8. 135.0 million cubic feet
9. February 26, 1980
10. General System Purchasers
1. 80–16688/1045
2. 37–063–23761–0003
3. 103 000 000
4. Consolidated Gas Supply Corp
5. Geno J Crivelli WN–1607
6. Big Run-Rochester Mills 063423
7. Indiana PA
8. 56.0 million cubic feet
9. February 26, 1980
10. General System Purchasers
1. 80–16689/1046
2. 37–033–20664–0003
3. 103 000 000
4. Consolidated Gas Supply Corp
5. L E Smith WN–1656
6. Big Run-Rochester Mills 063423
7. Clearfield PA
8. 23.0 million cubic feet
9. February 26, 1980
10. General System Purchasers
1. 80–16690/1047
2. 37–065–21560–0003
3. 103 000 000
4. Consolidated Gas Supply Corp
5. Kurt Robbins WN–1674
6. Big Run-Rochester Mills 063423
7. Jefferson PA
8. 79.0 million cubic feet
9. February 26, 1980
10. General System Purchasers
1. 80–16691/1048
2. 37–063–23805–0003
3. 103 000 000
4. Consolidated Gas Supply Corp
5. W C McFarland WN–1636
6. Big Run-Rochester Mills 063423
7. Indiana PA

8. 47.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16692/1049
2. 37–063–23955–0003
3. 103 000 000
4. Consolidated Gas Supply Corp
5. C E Carr WN–1658
6. Big Run-Rochester Mills 063423
7. Indiana PA
8. 75.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16693/1051
2. 37–065–21543–0003
3. 103 000 000
4. Consolidated Gas Supply Corp
5. Eugene Catalano WN–1648
6. Oliveburg 523959
7. Jefferson PA
8. 30.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16694/1073
2. 37–065–21170–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. R E Fye B–1419
6. Big Run-Rochester Mills 063423
7. Jefferson PA
8. 18.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16695/1075
2. 37–063–22642–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Opal Federoff N–1351
6. Big Run-Rochester Mills 063423
7. Indiana PA
8. 19.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16696/1077
2. 37–063–22668–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Lindsey Coal Co N–1358
6. Big Run-Rochester Mills 063423
7. Indiana PA
8. 20.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16697/1079
2. 37–033–20571–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. J Mitchell EST N–1413
6. Anderson Creek 017412
7. Clearfield PA
8. 7.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16698/1081
2. 37–063–22703–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. C H Miller N–1385
6. Trade City 715052
7. Indiana PA
8. 13.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16699/1082
2. 37–033–20607–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. M M Harris N–1517
6. Irish Run 348275
7. Clearfield PA
8. 3.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16700/1084
2. 37–033–20521–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Geo Mitchell N–1294
6. Irish Run 348275
7. Clearfield PA
8. 22.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16701/1085
2. 37–033–20581–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. F Gilmore N–1415
6. La Borde 390759
7. Clearfield PA
8. 4.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16702/1086
2. 37–033–20600–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. W A Nelson N–1520
6. La Borde 390759
7. Clearfield PA
8. 6.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16703/1088
2. 37–033–20520–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. J F Wingert N–1290
6. La Borde 390759
7. Clearfield PA
8. 2.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16704/1092
2. 37–033–20511–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. A L Haag N–1257
6. Salem 625030
7. Clearfield PA
8. 8.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16705/1094
2. 37–065–21290–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Carl McGee N–1519
6. Oliveburg 523959
7. Jefferson PA
8. 6.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16706/1095
2. 37–065–21138–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. W G Doughenbaugh N–1401
6. Oliveburg 523959
7. Jefferson PA
8. 3.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16707/1097
2. 37–065–21026–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. C N Dickey N–1317
6. Soldiers Run 664915
7. Jefferson PA
8. 1.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16708/1098
2. 37–065–21291–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. R & P Coal N–1514
6. Soldiers Run 664915
7. Jefferson PA
8. 14.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16709/1099
2. 37–065–21285–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. R & P Coal N–1518
6. Soldiers Run 664915
7. Jefferson PA
8. 7.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16710/1101
2. 37–065–21289–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. R & P Coal N–1509
6. Soldiers Run 664915
7. Jefferson PA
8. 20.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16711/1102
2. 37–065–21286–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. R & P Coal N–1512
6. Soldiers Run 664915
7. Jefferson PA
8. .5 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16712/1103
2. 37–065–21287–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. R & P Coal Co N–1513
6. Soldiers Run 664915
7. Jefferson PA
8. 3.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16713/1105
2. 37–065–21028–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. R & P Coal Co N–1318
6. Soldiers Run 664915
7. Jefferson PA
8. 11.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16714/1108

2. 37–033–20544–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. D E Knarr N–1343
6. Salem 625030
7. Clearfield PA
8. 8.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16715/1109
2. 37–033–20561–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Wm Barr N–1360
6. Salem 625030
7. Clearfield PA
8. 9.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16716/1110
2. 37–033–20560–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. R J Smith N–1364
6. Salem 625030
7. Clearfield PA
8. 1.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16717/1112
2. 37–033–20577–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Effie Grape N–1430
6. Salem 625030
7. Clearfield PA
8. 4.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16718/1120
2. 37–033–20598–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. D E Knarr N–1515
6. Salem 625030
7. Clearfield PA
8. 12.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16719/1121
2. 37–033–20579–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. H L Delp N–1438
6. Salem 625030
7. Clearfield PA
8. 19.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16720/1122
2. 37–033–20553–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Du Bois Dep Nat BK N–1323
6. Salem 625030
7. Clearfield PA
8. 12.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16721/1123
2. 37–033–20539–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. N C Utzinger N–1312
6. Salem 625030
7. Clearfield PA
8. 16.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16722/1124
2. 37–033–20527–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. J R Potter N–1306
6. Salem 625030
7. Clearfield PA
8. 13.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16723/1125
2. 37–033–20523–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. E B Shettler N–1303
6. Salem 625030
7. Clearfield PA
8. 5.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16724/1126
2. 37–033–20522–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. J I Zartman N–1296
6. Salem 625030
7. Clearfield PA
8. 19.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16725/1132
2. 37–063–23456–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. B S Wineburg N–1550
6. Big Run-Rochester Mills 063423
7. Indiana PA
8. 20.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16726/1133
2. 37–063–23095–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. V M Wachob N–1434
6. Big Run-Rochester Mills 063423
7. Indiana PA
8. 13.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16727/1139
2. 37–063–22908–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. J L Stuchell N–1404
6. Big Run-Rochester Mills 063423
7. Indiana PA
8. 15.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16728/1140
2. 37–065–21198–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. J M Tyson N–1405
6. Big Run-Rochester Mills 063423
7. Jefferson PA
8. 15.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–1629/1141
2. 37–065–21149–003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. J C Neal N–1408
6. Big Run-Rochester Mills 063423
7. Jefferson PA
8. 18.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16730/1142
2. 37–065–21169–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. J L. Kuntz N–1417
6. Big Run-Rochester Mills 063423
7. Jefferson PA
8. 7.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16731/1143
2. 37–063–23052–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. P & N Coal Co N–1431
6. Big Run-Rochester Mills 063423
7. Indiana PA
8. 19.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16732/1144
2. 37–063–23226–003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Adam Wasicki N–1433
6. Big Run-Rochester Mills 063423
7. Indiana PA
8. 15.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16733/1146
2. 37–063–23145–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. E M Smith N–1442
6. Big Run-Rochester Mills 063423
7. Indiana PA
8. 16.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16734/1147
2. 37–065–21192–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. A T Adamczyk N–1435
6. Big Run-Rochester Mills 063423
7. Jefferson PA
8. 6.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16735/1149
2. 37–065–21075–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. J Malone N–1367
6. Soldiers Run 664915
7. Jefferson PA
8. 5.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16736/1151
2. 37–065–21174–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. R J Norris N–1409
6. Soldiers Run 664915

7. Jefferson PA
8. 13.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16737/1152
2. 37–065–23119–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Dawn Hunt & Fish-Club N–1445
6. Big Run-Rochester Mills 063423
7. Indiana PA
8. 10.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16738/1154
2. 37–033–20556–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. J C Kann N–1352
6. Big Run-Rochester Mills 063423
7. Clearfield PA
8. 14.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16739/1155
2. 37–063–22641–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. J L Taraskiewicz N–1359
6. Big Run-Rochester Mills 063423
7. Indiana PA
8. 8.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16740/1156
2. 37–065–21137–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. R S McGee N–1398
6. Big Run-Rochester Mills 063423
7. Jefferson PA
8. 9.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16741/1158
2. 37–063–22891–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. R D Himes N–1377
6. Big Run-Rochester Mills 063423
7. Jefferson PA
8. 19.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16742/1159
2. 37–065–21072–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. M B Sawyer N–1361
6. Big Run-Rochester Mills 063423
7. Jefferson PA
8. 4.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16743/1163
2. 37–063–22265–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Clearfield Bit Coal N–1301
6. Big Run-Rochester Mills 063423
7. Indiana PA
8. 10.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16744/1165
2. 37–063–21989–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. B S Wineberg N–1251
6. Big Run-Rochester Mills 063423
7. Indiana PA
8. 11.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16745/1167
2. 37–063–22966–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. T Dymond N–1399
6. Big Run-Rochester Mills 063423
7. Indiana PA
8. 12.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16746/1168
2. 37–065–20986–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Max Williams N–1250
6. Big Run-Rochester Mills 063423
7. Jefferson PA
8. 1.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16747/1169
2. 37–063–22708–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. E E Elbel N–1346
6. Big Run-Rochester Mills 063423
7. Indiana PA
8. .5 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16748/1170
2. 37–065–21065–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. P E Greenwalt N–1344
6. Big Run-Rochester Mills 063423
7. Clearfield PA
8. 9.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16749/1176
2. 37–033–20510–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. J C Kann N–1255
6. Big Run-Rochester Mills 063423
7. Clearfield PA
8. 19.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16750/1177
2. 37–033–20509–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Paul Duff N–1254
6. Big Run-Rochester Mills 063423
7. Clearfield PA
8. 17.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16751/1179
2. 37–063–21983–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Farmers & Miners Trust N–1256
6. Big Run-Rochester Mills 063423
7. Indiana PA
8. 19.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16752/1672
2. 37–063–20171–0003
3. 103 000 000
4. Doran & Associates Inc
5. David M Neeley #2 K–A–7
6. Upper Devonian Sand
7. Indiana PA
8. 30.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16753/1694
2. 37–033–20783–0003
3. 103 000 000
4. Doran & Associates Inc
5. Philip Chura #1 K–A–13
6. Upper Devonian Sand
7. Clearfield PA
8. 30.0 million cubic feet
9. February 26, 1980
10. T W Phillips Gas & Oil Co

1. 80–16754/1696
2. 37–063–24230–0003
3. 103 000 000
4. Doran & Associates Inc
5. Loy Gromley #1 K–A–11
6. Upper Devonian Sands
7. Indiana PA
8. 30.0 million cubic feet
9. February 26, 1980
10. Consolidated Gas Supply Corp

1. 80–16755/2033
2. 37–059–00000–0000
3. 108 000 000
4. Charles R Baily
5. Charles R Taily Agree #22301
6. Majorsville Field
7. Greene PA
8. 9.0 million cubic feet
9. February 26, 1980
10. Columbia Gas Transmission Corp

1. 80–16756/2287
2. 37–063–23638–0003
3. 103 000 000
4. Consolidated Gas Supply Corporation
5. Fenella Hatch EST WN–1604
6. Pineton 557380
7. Indiana PA
8. 500.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16757/3240
2. 37–005–00000–0000
3. 108 000 000
4. Norman A Gillingham
5. Young Well No 1
6. Distant
7. Armstrong PA
8. 3.0 million cubic feet
9. February 26, 1980
10. Columbia Gas Transmission Corp

1. 80–16758/3241
2. 37–005–00000–0000
3. 108 000 000
4. Norman A Gillingham
5. Stewart Well No 1
6. New Bethlehem
7. Clarion PA
8. 3.0 million cubic feet
9. February 26, 1980
10. Columbia Gas Transmission Corp

1. 80–16759/3615

2. 37–063–23985–0003
3. 103 000 000
4. Consolidated Gas Supply Corporation
5. Ralph N Bloom WN–1624
6. Big Run-Rochester Mills 063423
7. Indiana PA
8. 130.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16760
2. 37–063–24032–0003
3. 103 000 000
4. Consolidated Gas Supply Corp
5. Loy R Vite WN–1675
6. Big Run-Rochester Mills 063423
7. Indiana PA
8. 100.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16761/3617
2. 37–065–21581–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Clair G London WN–1634
6. Oliveburg 523959
7. Jefferson PA
8. 7.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16762/3618
2. 37–065–21547–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Eugene Catalano WN–1563
6. Oliveburg 523959
7. Jefferson PA
8. 12.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

Pennsylvania Department of Environmental Resources, Division of Oil and Gas

1. 80–16763/3643
2. 37–065–21265–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. S L Hanzely WN–1508
6. Soldier Run 664915
7. Jefferson PA
8. 15.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16764/3645
2. 37–065–21401–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. R & P Coal Co WN–1565
6. Soldier Run 664915
7. Jefferson PA
8. 12.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16765/3646
2. 37–033–20593–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. W E Burghart WN–1341
6. Salem 625030
7. Clearfield PA
8. 17.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16766/3647
2. 37–065–21402–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Joyce L Redding WN–1605
6. Big Run-Rochester Mills-063423
7. Jefferson PA
8. 1.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16767/3655
2. 37–065–21444–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. R & P Coal WN–1567
6. Soldiers Run 664915
7. Jefferson PA
8. 17.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16768/3703
2. 37–065–21439–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Brooks Gould WN–1637
6. Big Run-Rochester Mills-063423
7. Jefferson PA
8. 100.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16770/3705
2. 37–033–20580–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Chester A Beam WN–1436
6. Big Run-Rochester Mills-063423
7. Clearfield PA
8. 14.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16771/3706
2. 37–033–20597–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Dubois Dep Nat Bk WN–1504
6. Salem 625030
7. Clearfield PA
8. 14.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16772/3707
2. 37–065–21293–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Ollie Morris WN–1521
6. Oliveburg 523959
7. Jefferson PA
8. 18.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16773/3708
2. 37–065–21268–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Burl S Schuckers WN–1510
6. Soldier Run 664915
7. Jefferson PA
8. 18.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16774/3709
2. 37–065–21555–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Francis J Koeller WN–1642
6. Soldier Run 664915
7. Jefferson PA
8. 1.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16775/3710
2. 37–033–21663–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. R & P Coal Co WN–1693
6. Soldier Run 664915
7. Jefferson PA
8. 8.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16776/3713
2. 37–049–20583–0003
3. 108 000 000
4. James A Bernet/Jab Enterprises Inc
5. James A Bernet #1–1
6. Lakeshore—Medina
7. Erie PA
8. 6.4 million cubic feet
9. February 26, 1980
10. National Fuel Gas Dist Co

1. 80–16777/3714
2. 37–049–20585–0003
3. 108 000 000
4. James A Bernet/Jab Enterprises Inc
5. James A Bernet #1–2
6. Lakeshore—Medina
7. Erie PA
8. 5.3 million cubic feet
9. February 26, 1980
10. National Fuel Gas Dist Co

1. 80–16778/3815
2. 37–065–21266–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Leroy Mowrey N–1528
6. Big Run-Rochester Mills 063423
7. Jefferson PA
8. 15.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16779/3816
2. 37–065–21507–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. J F Lorelli N–1588
6. Soldiers Run 664915
7. Jefferson PA
8. 2.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16780/3817
2. 37–065–21554–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. G G Sprague N–1660
6. Soldiers Run 664915
7. Jefferson PA
8. 16.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16781/4002
2. 37–033–20655–0003
3. 103 000 000
4. Consolidated Gas Supply Corp
5. James A Bonante WN–1638
6. Salem 625030
7. Clearfield PA
8. 22.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16782/4037
2. 37–063–24710–0003

3. 103 000 000
4. Consolidated Gas Supply Corp
5. M L Laney WN–1745
6. Pineton 557380
7. Indiana PA
8. 75.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

1. 80–16783/4131
2. 37–125–21212–0003
3. 103 000 000
4. Petroleum Development Corp
5. K Heirendt #2
6. Canonsburg
7. Washington PA
8. 15.0 million cubic feet
9. February 26, 1980
10. Columbia Gas Transmission Corp

1. 80–16784/4132
2. 37–125–21188–0000
3. 103 000 000
4. Petroleum Development Corp
5. A Heirendt #1
6. Canonsburg
7. Washington PA
8. 20.0 million cubic feet
9. February 26, 1980
10. Columbia Gas Transmission Corp

1. 80–16785/4133
2. 37–125–21185–0000
3. 103 000 000
4. Petroleum Development Corp
5. C Murray #1
6. Canonsburg
7. Washington PA
8. 25.0 million cubic feet
9. February 26, 1980
10. Columbia Gas Transmission Corp

1. 80–16786/4134
2. 37–125–21241–0003
3. 103 000 000
4. Petroleum Development Corp
5. A Zupancic #1
6. Canonsburg
7. Washington PA
8. 40.0 million cubic feet
9. February 26, 1980
10. Columbia Gas Transmission Corp

1. 80–16787/4135
2. 37–125–21137–0003
3. 103 000 000
4. Petroleum Development Corp
5. K Heirendt #1
6. Canonsburg
7. Washington PA
8. 14.0 million cubic feet
9. February 26, 1980
10. Columbia Gas Transmission Corp

1. 80–16769/3704
2. 37–063–23934–0003
3. 103 000 000
4. Consolidated Gas Supply Corp
5. Carlton Dummire WN–1659
6. Big Run-Rochester Mills 063423
7. Indiana PA
8. 30.0 million cubic feet
9. February 26, 1980
10. General System Purchasers

Osage Agency, Bureau of Indian Affairs, Osage County, Okla.

1. Control number (FERC/State)
2. API well number
3. Section of NGPA
4. Operator
5. Well name
6. Field or OCS area name
7. County, State or block No.
8. Estimated annual volume
9. Date received at FERC
10. Purchaser(s)

1. 80–16816
2. 35–113–00000–0000–0
3. 103 000 000
4. International Exploration Co
5. Culver well No 1
6. Culver
7. Osage OK
8. 3.1 million cubic feet
9. February 25, 1980
10. Union Gas System Inc

1. 80–16817
2. 35–113–00000–0000–0
3. 103 000 000
4. International Exploration Co
5. Culver well No 2
6. Culver
7. Osage OK
8. 3.1 million cubic feet
9. February 25, 1980
10. Union Gas System Inc

1. 80–16818
2. 35–113–00000–0000–0
3. 103 000 000
4. International Exploration Co
5. Culver well No 3
6. Culver
7. Osage OK
8. 3.1 million cubic feet
9. February 25, 1980
10. Union Gas System Inc.

1. 80–16819
2. 35–113–00000–0000–0
3. 108 000 000
4. Harold M Root
5. Mary Helen well #1–A
6. Pond Creek Dome
7. Osage OK
8. .0 million cubic feet
9. February 25, 1980
10. Ajax Oil & Gas Corp Inc

1. 80–16820
2. 35–113–00000–0000–0
3. 103 000 000
4. Reserve Energy Corp
5. R Cox No 2
6. Woolaroc
7. Osage OK
8. 30.0 million cubic feet
9. February 25, 1980
10. Cities Service Gas Co

1. 80–16821
2. 35–113–00000–0000–0
3. 103 000 000
4. Reserve Energy Corp
5. Woolaroc No 4
6. Woolaroc
7. Osage OK
8. 33.0 million cubic feet
9. February 25, 1980
10. Cities Service Gas Co

1. 80–16822
2. 35–113–00000–0000–0
3. 103 000 000
4. Reserve Energy Corp
5. Yount No 9
6. Almeda
7. Osage OK
8. 30.0 million cubic feet
9. February 25, 1980
10. Cities Service Gas Co

1. 80–16823
2. 35–113–00000–0000–0
3. 103 000 000
4. Reserve Energy Corp
5. Kuykendall No 1
6. Almeda
7. Osage OK
8. 51.0 million cubic feet
9. February 25, 1980
10. Cities Service Gas Co

1. 80–16824
2. 35–113–00000–0000–0
3. 103 000 000
4. Reserve Energy Corp
5. McClintock No 1
6. Almeda
7. Osage OK
8. 20.0 million cubic feet
9. February 25, 1980
10. Cities Service Gas Co

1. 80–16825
2. 35–113–00000–0000–0
3. 103 000 000
4. Reserve Energy Corp
5. Ballew No 2
6. Almeda
7. Osage OK
8. 47.0 million cubic feet
9. February 25, 1980
10. Cities Service Gas Co

1. 80–16826
2. 35–113–00000–0000–0
3. 103 000 000
4. Reserve Energy Corp
5. Ballew No 2A
6. Almeda
7. Osage OK
8. 20.0 million cubic feet
9. February 25, 1980
10. Cities Service Gas Co

1. 80–16827
2. 35–113–00000–0000–0
3. 103 000 000
4. Reserve Energy Corp
5. Moore No 2
6. Almeda
7. Osage OK
8. 28.0 million cubic feet
9. February 25, 1980
10. Cities Service Gas Co

1. 80–16828
2. 35–113–00000–0000–0
3. 103 000 000
4. Reserve Energy Corp
5. Sweedon No 3
6. Okesa
7. Osage OK
8. 25.0 million cubic feet
9. February 25, 1980
10. Cities Service Gas Co

1. 80–16829
2. 35–113–00000–0000–0
3. 103 000 000
4. Reserve Energy Corp
5. Green No 3
6. Woolaroc
7. Osage OK
8. 29.0 million cubic feet
9. February 25, 1980
10. Cities Service Gas Co

1. 80–16830
2. 35–113–00000–0000–0
3. 103 000 000

4. Reserve Energy Corp
5. Hughes No 9
6. Almeda
7. Osage OK
8. 30.0 million cubic feet
9. February 25, 1980
10. Cities Service Gas Co

1. 80–16831
2. 35–113–00000–0000–0
3. 103 000 000
4. Reserve Energy Corp
5. Ness No 1–30
6. NE Bighorse
7. Osage OK
8. 30.0 million cubic feet
9. February 25, 1980
10. Cities Service Gas Co

1. 80–16832
2. 35–113–00000–0000–0
3. 103 000 000
4. Reserve Energy Corp
5. Ness No 2
6. NE Bighorse
7. Osage OK
8. 18.0 million cubic feet
9. February 25, 1980
10. Cities Service Gas Co

1. 80–16833
2. 35–113–00000–0000–0
3. 103 000 000
4. Reserve Energy Corp
5. Porter No 1
6. NE Bighorse
7. Osage OK
8. 35.0 million cubic feet
9. February 25, 1980
10. Cities Service Gas Co

The applications for determination in these proceedings together with a copy or description of other materials in the record on which such determinations were made are available for inspection. Except to the extent such material is treated as confidential under 18 CFR 275.206, at the Commission's Office of Public Information, room 1000, 825 North Capitol Street, N.E, Washington. D.C. 20426.

Persons objecting to any of these final determinations may, in accordance with 18 CFR 275.203 and 18 CFR 275.204, file a protest with the Commission within fifteen (15) days of the date of publication of this notice in the **Federal Register.**

Please reference the FERC control number in all correspondence related to these determinations.

Kenneth F. Plumb,

Secretary.

[FR Doc. 80–8727 Filed 3–20–80; 8:45 am]

BILLING CODE 6450–85–M

[No. 161]

Determinations by Jurisdictional Agencies Under the Natural Gas Policy Act of 1978

March 18, 1980.

The Federal Energy Regulatory Commission received notices from the jurisdictional agencies listed below of determinations pursuant to 18 CFR 274.104 and applicable to the indicated wells pursuant to the Natural Gas Policy Act of 1978.

Indiana Department of Natural Resources, Oil and Gas Division

1. Control number (FERC/State)
2. API well number
3. Section of NGPA
4. Operator
5. Well name
6. Field or OCS area name
7. County, State or block No.
8. Estimated annual volume
9. Date received at FERC
10. Purchaser(s)

1. 80–16074
2. 13–119–20013–0000
3. 102 000 000
4. Collins Brothers Oil Company
5. Floyd Stahl #1
6. Arney North
7. Owen IN
8. 21.0 million cubic feet
9. February 20, 1980
10. Citizens Gas and Coke Utility

1. 80–16075
2. 13–119–20015–0000
3. 102 000 000
4. Collins Brothers Oil Company
5. Stahl Community #1
6. Arney North
7. Owen IN
8. 14.0 million cubic feet
9. February 20, 1980
10. Citizens Gas and Coke Utility

1. 80–16076
2. 13–051–21561–0000
3. 102 000 000
4. Ram Oil Co
5. Maruel-Braselton 1R (unit #2)
6. North Owensville
7. Gibson IN
8. 11.0 million cubic feet
9. February 20, 1980
10. Crystal Oil Co

1. 80–16077
2. 13–051–21622–0000
3. 102 000 000
4. Ram Oil Co
5. Mabel-Marvel 4R (unit #2)
6. North Owensville
7. Gibson IN
8. 1.8 million cubic feet
9. February 20, 1980
10. Crystal Oil Co

1. 80–16078
2. 13–051–21621–0000
3. 102 000 000
4. Ram Oil Co
5. Mabel-Maruel 5R (unit #2)
6. North Owensville
7. Gibson IN
8. 11.0 million cubic feet
9. February 20, 1980
10. Crystal Oil Co

Montana Board of Oil and Gas Conservation

1. Control number (FERC/State)
2. API well number
3. Section of NGPA
4. Operator
5. Well name
6. Field or OCS area name
7. County, State or block No.
8. Estimated annual volume
9. Date received at FERC
10. Purchaser(s)

1. 80–16359/1–80–12
2. 25–073–21362–0000
3. 102 000 000
4. Century Oil & Gas Corp
5. Habets 11–1
6. Williams
7. Pondera MT
8. 73.0 million cubic feet
9. February 20, 1980
10. Montana Power Co

North Dakota Geological Survey

1. Control number (FERC/State)
2. API well number
3. Section of NGPA
4. Operator
5. Well name
6. Field or OCS area name
7. County, State or block No.
8. Estimated annual volume
9. Date received at FERC
10. Purchaser(s)

1. 80–16360/202
2. 33–053–00900–0000
3. 102 000 000
4. Texaco Inc
5. Silurian Unit 5 No 1
6. Charlson
7. McKenzie ND
8. 1095.0 million cubic feet
9. February 20, 1980
10. Montana Dakota Utilities Co

1. 80–16361/204
2. 33–007–00394–0000
3. 102 000 000
4. William Herbert Hunt Trust Est
5. Anheluk-State No 1 7130
6. Tree Top
7. Billings ND
8. 100.0 million cubic feet
9. February 20, 1980
10. Koch Hydrocarbon Co

1. 80–16362/206
2. 33–105–00798–0000
3. 103 000 000
4. Amerada Hess Corp
5. Udland 41–24
6. Capa Deep
7. Williams ND
8. 2.0 million cubic feet
9. February 20, 1980
10. Montana Dakota Utilities Co

1. 80–16363/208
2. 33–007–00351–0000
3. 102 000 000
4. William Herbert Hunt Trust Est
5. Osadchuk No 1 (Duperow) 7000
6. Tree Top
7. Billings ND
8. 387.0 million cubic feet
9. February 20, 1980
10. Koch Hydrocarbon Co Western Gas Processors Ltd

1. 80–16364/209
2. 33–007–00351–0000
3. 102 000 000
4. William Herbert Hunt Trust Est
5. Osadchuk No 1 (Madison) 7000
6. Tree Top
7. Billings ND
8. 126.0 million cubic feet
9. February 20, 1980
10. Koch Hydrocarbon Co Western Gas Processors Ltd

Oklahoma Corporation Commission

1. Control Number (FERC/State)

2. API Well Number
3. Section of NGPA
4. Operator
5. Well Name
6. Field or OCS Area Name
7. County, State or Block No.
8. Estimated Annual Volume
9. Date Received at FERC
10. Purchaser(s)

1. 80–16079/01627
2. 35–073–00000–0000–
3. 108 000 000
4. Harper Oil Company
5. Lonigan 1
6. Sooner Trend
7. Kingfisher OK
8. 11.0 million cubic feet
9. February 20, 1980
10. Phillips Petroleum Company

1. 80–16080/01373
2. 35–111–21011–0000–
3. 108 000 000
4. W P Lerblance Jr
5. Homer Chancey 2#A
6. Lions/Quinn
7. Okmulgee OK
8. 6.0 million cubic feet
9. February 20, 1980
10. Phillips Petroleum Co

1. 80–16081/01552
2. 35–109–00000–0000–
3. 108 000 000
4. Batco Oil
5. Harrison
6. West Edmond
7. Oklahoma OK
8. 40.3 million cubic feet
9. February 20, 1980
10. Phillips & Petroleum Continental Oil Co

1. 80–16082/01658
2. 35–073–20456–0000–
3. 108 000 000
4. Harper Oil Company
5. Firestone (M) 1 & (H)
6. Sooner Trend
7. Kingfisher OK
8. 1.0 million cubic feet
9. February 20, 1980
10. Phillips Petroleum Company

1. 80–16083/01837
2. 35–153–20185–0000–
3. 108 000 000
4. Leben Oil Corp
5. Phillips #1–7
6. N W Sharon
7. Woodward OK
8. 19.0 million cubic feet
9. February 20, 1980
10. Northern Natural Gas Co

1. 80–16084/01580
2. 35–073–22064–0000–
3. 103 000 000
4. Brown & Borelli Inc
5. Helen Copeland #2
6. Sooner Trend
7. Kingfisher OK
8. 152.1 million cubic feet
9. February 20, 1980
10. Phillips Petroleum Company

1. 80–16085/01708
2. 35–073–22011–0000–
3. 103 000 000
4. Tower Oil and Gas Company Inc
5. Henley #1
6. Sooner Trend
7. Kingfisher OK
8. 7.7 million cubic feet
9. February 20, 1980
10. Cities Service Gas Company Partnership Properties Co

1. 80–16086/01729
2. 35–047–21674–0000–
3. 103 000 000
4. Viersen & Cochran
5. Wehling 1–19
6. Sooner Trend
7. Garfield OK
8. 85.0 million cubic feet
9. February 20, 1980
10. Union Texas Petroluem

1. 80–16087/01731
2. 35–047–21700–0000–
3. 103 000 000
4. Viersen & Cochran
5. Houston 1–30
6. Sooner Trend
7. Garfield OK
8. 85.0 million cubic feet
9. February 20, 1980
10. Union Texas Petroleum

1. 80–16088/1631
2. 35–043–00000–0000–
3. 103 000 000
4. Montgomery Exploration Company
5. Collier #2
6. Section 22–17N–18W
7. Dewey OK
8. 82.0 million cubic feet
9. February 20, 1980
10. Panhandle Eastern Pipe Line Company

1. 80–16089/01724
2. 35–047–20864–0000–
3. 103 000 000
4. Jet Oil Company
5. Knopfel #1
6. N Elkhorn
7. Garfield OK
8. 12.0 million cubic feet
9. February 20, 1980
10. Aminoil USA Inc

1. 80–16090/01555
2. 35–011–20860–0000–
3. 103 000 000
4. Trigg Drilling Company Inc
5. Bornemann No 1
6. Squaw Creek
7. Blaine OK
8. 3076.0 million cubic feet
9. February 20, 1980
10. Michigan Wisconsin Pipe Line Co

1. 80–160091
2. 35–147–21046–0000–
3. 102 000 000
4. Panoak Exploration Company
5. Musgrave #1
6. Hogshooter
7. Washington OK
8. 365.0 million cubic feet
9. February 20, 1980
10. Panak Pipeline Company.

1. 80–16092/01582
2. 35–073–21905–0000–
3. 103 000 000
4. Brown & Borelli Inc
5. Helen Copeland #1
6. Sooner Trend
7. Kingfisher OK
8. 332.0 million cubic feet
9. February 20, 1980
10. Phillips Petroleum Company

1. 80–16093/03440
2. 35–139–00000–0000–
3. 108 000 000
4. Phillips Petroleum Company
5. Wacker No 1
6. Guymon Hugoton
7. Texas OK
8. 13.0 million cubic feet
9. February 20, 1980
10. Panhandle Eastern Pipeline Co

1. 80–16094/01624
2. 35–073–20293–0000–
3. 108 000 000
4. Brown & Borelli Inc
5. Gilbert #2
6. Sooner Trend
7. Kingfisher OK
8. 2.0 million cubic feet
9. February 20, 1980
10. Exxon Company USA

Pennsylvania Department of Environmental Resources, Division of Oil and Gas

1. Control Number (FERC/State)
2. API Well Number
3. Section of NGPA
4. Operator
5. Well Name
6. Field or OCS Area Name
7. County, State or Block No.
8. Estimated Annual Volume
9. Date Received at FERC
10. Purchaser(s)

1. 80–16095/425
2. 37–065–21482–0000–
3. 108 000 000
4. Reel energy drilling program
5. K E Uplinger Farm No 1
6. Pinecreek Township
7. Jefferson PA
8. 3.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16096/426
2. 37–065–21483–0000–
3. 108 000 000
4. reel energy program
5. Everett N Chamberlin Farm #1
6. Pinecreek Township
7. Jefferson PA
8. 6.0 million cubic feet
9. February 20, 1980
10. National Fuel Gas Supply Corp

1. 80–16097/429
2. 37–033–20588–0000–
3. 108 000 000
4. Pennergy 1975 Drilling Program
5. Daniel E Miller Farm #1
6. Brady Township
7. Clearfield PA
8. 4.0 million cubic feet
9. February 20, 1980
10. Consolidated Gas Supply Corp

1. 80–16098/430
2. 37–033–20569–0000–
3. 108 000 000
4. Pennergy 1975 Drilling Program
5. Andrew Morris Farm #1
6. Brady Township
7. Clearfield PA
8. 12.0 million cubic feet
9. February 20, 1980
10. Consolidated Gas Supply Corp

1. 80–16099/431
2. 37–033–20572–0000–

3. 108 000 000
4. Pennergy 1975 Drilling Program
5. William P Mills Farm #1
6. Brady Township
7. Clearfield PA
8. 6.0 million cubic feet
9. February 20, 1980
10. Consolidated Gas Supply Corp

1. 80–16100/432
2. 37–065–21191–0000–
3. 108 000 000
4. Pennergy 1975 Drilling Program
5. William P Mills Farm #2
6. Henderson Township
7. Jefferson PA
8. 6.0 million cubic feet
9. February 20, 1980
10. Consolidated Gas Supply Corp

1. 80–161101/434
2. 37–065–21187–0000–
3. 108 000 000
4. Pennergy 1975 Drilling Program
5. Orville Pifer Farm No 1
6. Winslow Township
7. Jefferson PA
8. 5.0 million cubic feet
9. February 20, 1980
10. Consolidated Gas Supply Corp

1. 80–16102/466
2. 37–065–21333–0000–
3. 108 000 000
4. Reeves Lewenthal
5. Leonard D Shobert Farm #1
6. Pine Creek Township
7. Jefferson PA
8. .0 million cubic feet
9. February 20, 1980
10. National Fuel Gas Supply Corp

1. 80–16103/467
2. 37–065–21361–0000–
3. 108 000 000
4. Reeves Lewenthal
5. Francis L Clark Farm #2
6. Warsaw Township
7. Jefferson PA
8. .0 million cubic feet
9. February 20, 1980
10. National Fuel Gas Supply Corp

1. 80–16104/468
2. 37–065–21300–0000
3. 108 000 000
4. Reeves Lewenthal
5. Francis L Clark Farm #1
6. Warsaw Township
7. Fefferson, Pa
8. .0 million cubic feet
9. February 20, 1980
10. National Fuel Gas Supply Corp

1. 80–16105/537
2. 37–065–20475–0000
3. 108 000 000
4. J & J Enterprises Inc
5. Donald Croasman #5 Jef–475R
6. Perry
7. Jefferson, Pa
8. 3.0 million cubic feet
9. February 20, 1980
10. Consolidated Gas Supply Corp

1. 80–16106/3987
2. 37–063–24889–0000
3. 103 000 000
4. P & G Exploration Inc
5. Fred Rapach Ind–24889
6. Cherryhill
7. Indiana, Pa
8. 30.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16107/3988
2. 37–063–24698–0000
3. 103 000 000
4. P & G Exploration Inc
5. Fred Rapach Inc–24698
6. Cherryhill
7. Indiana, Pa
8. 30.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16108/4079
2. 37–065–21856–0000
3. 103 000 000
4. Watson & Swanson Inc/Doran & Assoc
5. Richard Shaffner #1 K–B–43
6. Polk
7. Jefferson, Pa
8. 30.0 million cubic feet
9. February 20, 1980
10. National Fuel Gas Co

1. 80–16109/4077
2. 37–065–21823–0000
3. 103 000 000
4. Doran & Associates Inc
5. J A Organ #1 K–L–197
6. Bell
7. Jefferson, Pa
8. 30.0 million cubic feet
9. February 20, 1980
10. T W Phillips Gas & Oil Co.

1. 80–16110/3621
2. 37–049–20758–0000
3. 103 000 000
4. Envirogas Inc
5. Harry Bowser #1
6. North East
7. Erie, Pa
8. 18.0 million cubic feet
9. February 20, 1980
10. National Fuel Gas Supply Corp

1. 80–16111/3623
2. 37–049–20734–0000
3. 103 000 000
4. Envirogas Inc
5. A Elmer Allen #1
6. North East
7. Erie, Pa
8. 18.0 million cubic feet
9. February 20, 1980
10. National Fuel Gas Supply Corp

1. 80–16112/3653
2. 37–049–20715–0000
3. 103 000 000
4. Envirogas Inc
5. Robert Anderson #1–B
6. North East Field
7. Erie, Pa
8. 18.0 million cubic feet
9. February 20, 1980
10. National Fuel Gas Distribution Corp

1. 80–16113/3665
2. 37–063–24398–0000
3. 103 000 000
4. P & G Exploration Inc
5. Thomas Budner Ind–24398
6. Brush Valley
7. Indiana Pa
8. 30.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16114/4054
2. 37–049–20766–0000
3. 103 000 000
4. Envirogas Inc
5. Lloyd Bashaw #1
6. North East
7. Erie, Pa
8. 18.0 million cubic feet
9. February 20, 1980
10. National Fuel Gas Supply Corp

1. 80–16115/4055
2. 37–049–20876–0000
3. 103 000 000
4. Envirogas Inc
5. Lloyd Bashaw #2
6. North East
7. Erie, Pa
8. 18.0 million cubic feet
9. February 20, 1980
10. National Fuel Gas Supply Corp

1. 80–16116/4056
2. 37–049–20757–0000
3. 103 000 000
4. Envirogas Inc
5. X Meehl #2
6. North East
7. Erie, Pa
8. 18.0 million cubic feet
9. February 20, 1980
10. National Fuel Gas Supply Corp

1. 80–16117/4057
2. 37–049–20756–0000
3. 103 000 000
4. Envirogas Inc
5. X Meehl #3
6. North East
7. Erie Pa
8. 18.0 million cubic feet
9. February 20, 1980
10. National Fuel Gas Supply Corp

1. 80–16118/4058
2. 37–063–25075–0000
3. 103 000 000
4. Phillips Production Co
5. Lisle H Walker #3
6.
7. Indiana, Pa
8. 35.0 million cubic feet
9. February 20, 1980
10.

1. 80–16119/4059
2. 37–063–25068–0000
3. 103 000 000
4. Phillips Production Co
5. William E Anderson #2
6.
7. Indiana, Pa
8. 25.0 million cubic feet
9. February 20, 1980
10.

1. 80–16120/4060
2. 37–063–25069–0000
3. 103 000 000
4. Phillips Production Co
5. William E. Anderson #3
6.
7. Indiana, Pa
8. 70.0 million cubic feet
9. February 20, 1980
10.

1. 80–16121/4061
2. 37–063–25085–0000
3. 103 000 000

4. Phillips Production Co
5. Bernard B Buterbaugh Et Ux #1
6.
7. Indiana, Pa
8. 40.0 million cubic feet
9. February 20, 1980
10.

1. 80–16122/4062
2. 37–063–24388–0000
3. 103 000 000
4. Doran & Associates Inc
5. R E Schlabach #2 K–L–141
6. West Mahoning
7. Indiana, Pa
8. 30.0 million cubic feet
9. February 20, 1980
10. T W Phillips Gas & Oil Co

1. 80–16123/4063
2. 37–129–21484–0000
3. 103 000 000
4. Doran & Associates Inc
5. M Stahlman #2 (86A) K–R–2
6. Loyalhanna
7. Westmoreland, Pa
8. 30.0 on cubic feet
9. February 20, 1980
10. T W Phillips Gas & Oil Co

1. 80–16124/4064
2. 37–129–21395–0000
3. 103 000 000
4. Doran & Associates Inc
5. C Seanor #3 K–L–213
6. Loyalhanna
7. Westmoreland, Pa
8. 30.0 million cubic feet
9. February 20, 1980
10. T W Phillips Gas & Oil Co

1. 80–16125/4065
2. 37–129–21482–0000
3. 103 000 000
4. Doran & Associates Inc
5. M Stahlman #1 (19.9A) K–R–3
6. Loyalhanna
7. Westmoreland, Pa
8. 30.0 million cubic feet
9. February 20, 1980
10. T W Phillips Gas & Oil Co

1. 80–16126/4066
2. 37–065–21780–0000
3. 103 000 000
4. Doran & Associates Inc
5. E R Kuntz #2 K–L–164
6. Henderson
7. Jefferson, Pa
8. 30.0 million cubic feet
9. February 20, 1980
10. Consolidated Gas Supply Corp

1. 80–16127/4067
2. 37–129–21478–0000
3. 103 000 000
4. Doran & Associates Inc
5. M Levine #1 K–L–248
6. Loyalhanna
7. Westmoreland, Pa
8. 30.0 million cubic feet
9. February 20, 1980
10. Peoples Natural Gas Co

1. 80–16128/4068
2. 37–065–21822–0000
3. 103 000 000
4. Doran & Associates Inc
5. J Raczka #2 K–L–203
6. Bell
7. Jefferson, Pa
8. 30.0 million cubic feet
9. February 20, 1980
10. Consolidated Gas Supply Corp

1. 80–16129/4069
2. 37–129–21529–0000
3. 103 000 000
4. Doran & Associates Inc
5. Lenora H Beatty #1 K–R–6
6. Loyalhanna
7. Westmoreland, Pa
8. 30.0 million cubic feet
9. February 20, 1980
10. T W Phillips Gas & Oil Co

1. 80–16130/4070
2. 37–129–21522–0000
3. 103 000 000
4. Doran & Associates Inc
5. J T Compton #1 K–R–5
6. Loyalhanna
7. Westmoreland, Pa
8. 30.0 million cubic feet
9. February 20, 1980
10. T W Phillips Gas & Oil Company

1. 80–16131/4071
2. 37–129–21534–0000
3. 103 000 000
4. Doran & Associates Inc
5. R N Obradovich #1 K–L–189
6. Bell
7. Westmoreland, Pa
8. 30.0 million cubic feet
9. February 20, 1980
10. T W Phillips Gas & Oil Co

1. 80–16132/4072
2. 37–005–22197–0000
3. 103 000 000
4. Doran & Associates Inc
5. L Baker #2 K–L–177
6. Kiskiminetas
7. Armstrong, Pa
8. 30.0 million cubic feet
9. February 20, 1980
10. Peoples Natural Gas Co

1. 80–16133/4073
2. 37–005–22239–0000
3. 103 000 000
4. Doran & Associates Inc
5. E Samosky #2 K–L–174
6. Cowanshannock
7. Armstrong, Pa
8. 30.0 million cubic feet
9. February 20, 1980
10. Peoples Natural Gas Corp

1. 80–16134/4074
2. 37–065–21783–0000
3. 103 000 000
4. Doran & Associates Inc
5. D Grube #1 K–L–204
6. Bell
7. Jefferson Pa
8. 30.0 million cubic feet
9. February 20, 1980
10. T W Phillips Gas & Oil Co

1. 80–16135/4075
2. 37–063–24477–0000
3. 103 000 000
4. Doran & Associates Inc
5. R P Ginter #2 K–L–177
6. Center
7. Indiana Pa
8. 30.0 million cubic feet
9. February 20, 1980
10. Peoples Natural Gas Co

1. 80–16136/4076
2. 37–063–24505–0000
3. 103 000 000
4. Doran & Associates Inc
5. G Mack #1 K–L–199
6. Center
7. Indiana Pa
8. 30.0 million cubic feet
9. February 20, 1980
10. Peoples Natural Gas Company

1. 80–16137/4078
2. 37–065–21834–0000
3. 103 000 000
4. Doran & Associates Inc
5. Dale Whelpley #1 K–B–20
6. Snyder
7. Jefferson Pa
8. .0 million cubic feet
9. February 20, 1980
10. National Fuel Gas Co

1. 80–16138/4080
2. 37–065–21869–0000
3. 103 000 000
4. Doran & Associates Inc
5. George J Weisner #1 K–B–37
6. Snyder
7. Jefferson Pa
8. 30.0 million cubic feet
9. February 20, 1980
10. National Fuel Gas Co

1. 80–16139/4081
2. 37–065–21890–0000
3. 103 000 000
4. Doran & Associates Inc
5. Louis Notto #1 K–C–38
6. Winslow
7. Jefferson Pa
8. 30.0 million cubic feet
9. February 20, 1980
10. National Fuel Gas Co

1. 80–16140/4082
2. 37–063–24824–0000
3. 103 000 000
4. J & J Enterprises Inc
5. Fred A Musser #3–61
6. Montgomery
7. Indiana Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. Consolidated Gas Supply Corp

1. 80–16141/4083
2. 37–063–24792–0000
3. 103 000 000
4. J & J Enterprises Inc
5. Fred A Musser #1–120A
6. Montgomery
7. Indiana Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. Consolidated Gas Supply Corp

1. 80–16142/4084
2. 37–063–24823–0000
3. 103 000 000
4. J & J Enterprises Inc
5. Fred A Musser #2–61
6. Montgomery
7. Indiana Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. Consolidated Gas Supply Corp

1. 80–16143/4085
2. 37–063–25014–0000
3. 103 000 000
4. Phillips Production Co
5. Jack E. Mock et ux #1
6.
7. Indiana Pa

8. 55.0 million cubic feet
9. February 20, 1980
10.

1. 80–16144/4092
2. 37–063–25015–0000
3. 103 000 000
4. Phillips Production Co
5. Jack E Mock Et Ux #2
6.
7. Indiana Pa
8. 30.0 million cubic feet
9. February 20, 1980
10.

1. 80–16145/4117
2. 37–063–24707–0000
3. 103 000 000
4. P & G Exploration Inc
5. Fred Rapach Ind–24707
6. Rayne Twp
7. Indiana Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16146/4118
2. 37–063–24697–0000
3. 103 000 000
4. P & G Exploration Inc
5. Fred Rapach Ind–24697
6. Cherryhill
7. Indiana Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16147/177
2. 37–065–21778–0000
3. 108 000 000
4. Bur Man Oil and Gas
5.
6. Knoxdale
7. Jefferson Pa
8. 1.5 million cubic feet
9. February 20, 1980
10. National Fuel Gas Supply Corp

1. 80–16148/435
2. 37–033–20295–0000
3. 108 000 000
4. J & J Enterprises Inc
5. Country Club Pool No 2 CLE–20295
6. Boone Mountain (Clear Run)
7. Clearfield Pa
8. 9.2 million cubic feet
9. February 20, 1980
10. Consolidated Gas Supply Corp

1. 80–16149/436
2. 37–033–20294–0000
3. 108 000 000
4. J & J Enterprises Inc
5. Country Club Pool No 1 CLE–20294
6. Boone Mountain (Clear Run)
7. Clearfield Pa
8. 2.8 million cubic feet
9. February 20, 1980
10. Consolidated Gas Supply Corp

1. 80–16150/437
2. 37–033–20312–0000
3. 108 000 000
4. J & J Enterprises Inc
5. Country Club Pool No 3 CLE–20312
6. Boone Mountain (Clear Run)
7. Clearfield Pa
8. 4.1 million cubic feet
9. February 20, 1980
10. Consolidated Gas Supply Corp

1. 80–16151/438
2. 37–033–20272–0000
3. 108 000 000
4. J & J Enterprises Inc
5. Green Glen Sandy #1 CLE–20272
6. Boone Mountain (Clear Run)
7. Clearfield Pa
8. .4 million cubic feet
9. February 20, 1980
10. Consolidated Gas Supply Corp

1. 80–16152/439
2. 37–033–20302–0000
3. 108 000 000
4. J & J Enterprises
5. Green Glen Sandy #4 CLE–20302
6. Boone Mountain (Clear Run)
7. Clearfield Pa
8. 4.1 million cubic feet
9. February 20, 1980
10. Consolidated Gas Supply Corp

1. 80–16153/440
2. 37–033–20275–0000
3. 108 000 000
4. J & J Enterprises Inc
5. Green Glen Sandy #2 CLE–20275
6. Boone Mountain (Clear Run)
7. Clearfield Pa
8. 7.8 million cubic feet
9. February 20, 1980
10. Consolidated Gas Supply Corp

1. 80–16154/441
2. 37–033–20281–0000
3. 108 000 000
4. J & J Enterprises Inc
5. Green Glen Sandy #3 CLE–20281
6. Boone Mountain (Clear Run)
7. Clearfield Pa
8. 6.6 million cubic feet
9. February 20, 1980
10. Consolidated Gas Supply Corp

1. 80–16155/700
2. 37–065–21368–0000
3. 108 000 000
4. J & J Enterprises Inc
5. R & P Coal Co #20 JEF–21368
6. Henderson
7. Jefferson Pa
8. 3.0 million cubic feet
9. February 20, 1980
10. Consolidated Gas Supply Corp

1. 80–16156/701
2. 37–065–21376–0000
3. 108 000 000
4. J & J Enterprises Inc
5. R&P #13 JEF–21376
6. McCalmont
7. Jefferson Pa
8. 18.0 million cubic feet
9. February 20, 1980
10. Consolidated Gas Supply Corp

1. 80–16157/702
2. 37–065–21366–0000
3. 108 000 000
4. J & J Enterprises Inc
5. R&P #25 JEF–21366
6. McCalmont
7. Jefferson Pa
8. 18.0 million cubic feet
9. February 20, 1980
10. Consolidated Gas Supply Corp

1. 80–16158/1037
2. 37–033–20625–0000
3. 103 000 000
4. Consolidated Gas Supply Corp
5. James Mitchell EST WN–1561
6. Irish Run 348275
7. Clearfield Pa
8. 96.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16159/1038
2. 37–065–21532–0000
3. 103 000 000
4. Consolidated Gas Supply Corp
5. Gourley S Bair WN–1570
6. Big Run-Rochester Mills 063423
7. Jefferson Pa
8. 34.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16160/1040
2. 37–065–21414–0000
3. 103 000 000
4. Consolidated Gas Supply Corp
5. M B Sawyer WN–1571
6. Big Run-Rochester Mills 063423
7. Jefferson Pa
8. 79.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16161/1041
2. 37–063–23963–0000
3. 103 000 000
4. Consolidated Gas Supply Corp
5. J L Winebark WN–1443
6. Big Run-Rochester Mills 063423
7. Indiana Pa
8. 71.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16162/1042
2. 37–063–23746–0000
3. 103 000 000
4. Consolidated Gas Supply Corp
5. 1st Natl Bank of Indiana WN–1575
6. Big Run-Rochester Mills 063423
7. Indiana Pa
8. 85.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16163/1043
2. 37–063–23673–0000
3. 103 000 000
4. Consolidated Gas Supply Corp
5. V M Wachob WN–1568
6. Big Run-Rochester Mills 063423
7. Indiana Pa
8. 42.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16164/1050
2. 37–033–20648–0000
3. 103 000 000
4. Consolidated Gas Supply Corp
5. H Hartzfeld WN–1574
6. Salem 625030
7. Clearfield Pa
8. 25.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16165/1052
2. 37–065–21533–0000
3. 103 000 000
4. Consolidated Gas Supply Corp
5. Bruce W Poole WN–1621
6. Oliveburg 523959
7. Jefferson Pa
8. 40.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16166/1053

2. 37–065–21535–0000
3. 103 000 000
4. Consolidated Gas Supply Corp
5. Harry E McGee WN–1612
6. Oliveburg 523959
7. Jefferson Pa
8. 60.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16167/1054
2. 37–065–21444–0000
3. 103 000 000
4. Consolidated Gas Supply Corp
5. R & P Coal Co WN–1567
6. Soldiers Run 664915
7. Jefferson Pa
8. 26.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16168/1055
2. 37–065–21401–0000
3. 103 000 000
4. Consolidated Gas Supply Corp
5. Roch & Pgh Coal Co WN–1565
6. Soldiers Run 664915
7. Jefferson Pa
8. 33.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16169/1056
2. 37–065–21432–0000
3. 103 000 000
4. Consolidated Gas Supply Corp
5. John C Miller WN–1619
6. Soldiers Run 664915
7. Jefferson Pa
8. 53.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16170/1057
2. 37–065–21436–0000
3. 103 000 000
4. Consolidated Gas Supply Corp
5. Joseph Malone WN–1599
6. Soldiers Run 664915
7. Jefferson Pa
8. 59.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16171/1059
2. 37–033–20631–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Lois G Squires WN–1585
6. Grampian 284115
7. Clearfield Pa
8. 1.3 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16172/1060
2. 37–065–21292–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Ward B Kougher WN–1523
6. Soldiers Run 664915
7. Jefferson Pa
8. 8.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16173/1061
2. 37–033–20630–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Pirl Dush WN–1609
6. Laborde 390759
7. Clearfield Pa
8. 15.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16174/1062
2. 37–033–20662–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. A J Palumbo WN–1647
6. Tyler 724454
7. Clearfield Pa
8. 3.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16175/1063
2. 37–033–20626–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Dubois Dep Nat Bank WN–1573
6. Salem 625030
7. Clearfield Pa
8. 7.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16176/1065
2. 37–065–21443–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. G W Phillips WN–1611
6. Soldiers Run 664915
7. Jefferson Pa
8. 6.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16177/1066
2. 37–065–21445–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Roch & Pitts Coal Co WN–1566
6. Soldiers Run 664915
7. Jefferson Pa
8. 19.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16178/1068
2. 37–065–21525–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. John Maslowski WN–1670
6. Soldiers Run 664915
7. Jefferson Pa
8. 3.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16179/1069
2. 37–065–21392–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Walter G Daughenbaugh WN–1562
6. Oliveburg 523959
7. Jefferson Pa
8. .6 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16180/1071
2. 37–065–21514–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Joe B London WN–1654
6. Oliveburg 523959
7. Jefferson Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16181/1072
2. 37–063–24058–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Floyd E Schrock WN–1685
6. Big Run-Rochester Mills 063423
7. Indiana Pa
8. 3.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16182/1074
2. 37–063–23194–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. W R Grayson N–1457
6. Big Run-Rochester Mills 063423
7. Indiana Pa
8. 13.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16183/1076
2. 37–063–22026–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Lindsey Coal Co N–1258
6. Big Run-Rochester Mills 063423
7. Indiana Pa
8. 16.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16184/1080
2. 37–065–21299–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. C H Miller N–1543
6. Trade City 715052
7. Clearfield Pa
8. 18.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16185/1083
2. 37–033–20587–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Kewanee Oil Co N–1477
6. Irish Run 346275
7. Clearfield Pa
8. 12.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16186/1089
2. 37–033–20545–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. A L Haag N–1336
6. Salem 625030
7. Clearfield Pa
8. 10.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16187/1090
2. 37–033–20543–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. W E Burghart N–1340
6. Salem 625030
7. Clearfield Pa
8. 1.5 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16188/1091
2. 37–033–20514–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. A C Bonsall N–1274
6. Salem 625030

7. Clearfield Pa
8. 3.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16189/1093
2. 37–065–20990–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Carl McGee N–1287
6. Oliveburg 523959
7. Jefferson Pa
8. 9.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16190/1096
2. 37–065–21279–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. H G McMillen N–1534
6. Oliveburg 523959
7. Jefferson Pa
8. 15.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16191/1100
2. 37–065–21294–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. R & P Coal N–1522
6. Soldiers Run 664915
7. Jefferson Pa
8. 2.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16192/1104
2. 37–065–21301–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. G A Potras N–1544
6. Soldiers Run 664915
7. Jefferson Pa
8. 10.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16193/1106
2. 37–033–20609–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Guy Ross N–1548
6. Moshannon 487192
7. Clearfield Pa
8. 13.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16194/1107
2. 37–033–20002–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. W F Moore N–485
6. Salem 625030
7. Clearfield, Pa
8. 5.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16195/113
2. 37–033–20602–0000
3. 108 000 000
4. Consolidated Gas Supply Corporation
5. L C Knarr N–1525
6. Salem 625030
7. Clearfield, Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16196/1114
2. 37–065–21186–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. W B Drummond N–1432
6. Elk Run 219601
7. Jefferson, Pa
8. 1.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16197/1115
2. 37–063–21998–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. R Krede N–1247
6. Big Run-Rochester Mills 063423
7. Indiana, Pa
8. 8.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16198/1116
2. 37–063–21994–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. L B Bender N–1248
6. Big Run-Rochester Mills 063423
7. Indiana, Pa
8. 9.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16199/1117
2. 37–033–20582–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Green Glen Corp N–1455
6. Sabula 623294
7. Clearfield, Pa
8. 18.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16200/1118
2. 37–033–20603–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. R Nelson N–1541
6. Salem 625030
7. Clearfield, Pa
8. 14.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16201/1119
2. 37–033–20608–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. C E London N–1524
6. Salem 625030
7. Clearfield, Pa
8. 4.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16202/1127
2. 37–033–20503–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. H Chernesky N–1277
6. Salem 625030
7. Clearfield, Pa
8. 3.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16203/1128
2. 37–033–20515–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. E B Shettler N–1276
6. Salem 625030
7. Clearfield, Pa
8. 5.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16204/1129
2. 37–033–20003–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. N G Smolukas N–486
6. Salem 625030
7. Clearfield, Pa
8. 17.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16205/1131
2. 37–065–21256–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. W J McQuown N–1502
6. Big Run-Rochester Mills 063423
7. Jefferson, Pa
8. 10.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16206/1134
2. 37–065–21251–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. J C Neal N–1472
6. Big Run-Rochester Mills 063423
7. Jefferson, Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16207/1135
2. 37–065–21288–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. R C Reiter N–1526
6. Big Run-Rochester Mills 063423
7. Jefferson, Pa
8. 11.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16208/1136
2. 37–063–23237–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. R J Calhoun N–1461
6. Big Run-Rochester Mills 063423
7. Indiana, Pa
8. 9.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16209/1137
2. 37–063–23188–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. W H Jones N–1460
6. Big Run-Rochester Mills 063423
7. Indiana, Pa
8. 12.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16210/1138
2. 37–063–23251–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. A Pollock Hrs N–1475
6. Big Run-Rochester Mills 063423
7. Indiana, Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16211/1145

2. 37–033–20583–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. F W Antes N–1453
6. Big Run-Rochester Mills 063423
7. Clearfield, Pa
8. 21.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16212/1153
2. 37–065–21201–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Enoch Yohe N–1449
6. Soldiers Run 664915
7. Jefferson, Pa
8. 4.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16213/1160
2. 37–063–22028–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. W H Fleming N–1266
6. Big Run-Rochester Mills 063423
7. Indiana, Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16214/1161
2. 37–063–22031–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. W H Jones N–1270
6. Big Run-Rochester Mills 063423
7. Indiana, Pa
8. 11.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16215/1162
2. 37–065–20992–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. C H Walls N–1286
6. Big Run-Rochester Mills 063423
7. Jefferson, Pa
8. 2.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16216/1164
2. 37–063–22472–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Farmers & Miners Tr Co N–1330
6. Big Run-Rochester Mills 063423
7. Indiana, Pa
8. 17.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16217/1166
2. 37–065–21206–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. J H Shepler N–1456
6. Big Run-Rochester Mills 063423
7. Jefferson, Pa
8. 3.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16218/1171
2. 37–065–01031–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. R Reiter N–1337
6. Big Run-Rochester Mills 063423
7. Jefferson, Pa
8. 10.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16219/1172
2. 37–063–22017–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. T Dymond N–1264
6. Big Run-Rochester Mills 063423
7. Indiana, Pa
8. 7.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16220/1173
2. 37–063–22011–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. Clearfield Bit Coal N–1259
6. Big Run-Rochester Mills 063423
7. Indiana, Pa
8. 9.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16221/1174
2. 37–063–22013–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. G M Neal N–1262
6. Big Run-Rochester Mills 063423
7. Indiana, Pa
8. 6.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16222/1175
2. 37–063–22021–0003
3. 108 000 000
4. Consolidated Gas Supply Corp
5. E A Dunmire N–1260
6. Big Run-Rochester Mills 063423
7. Indiana, Pa
8. 14.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16223/1178
2. 37–065–21043–0000
3. 108 000 000
4. Consolidated Gas Supply Corp
5. A L Keaton N–1334
6. Big Run-Rochester Mills 063423
7. Jefferson, Pa
8. 12.0 million cubic feet
9. February 20, 1980
10. General System Purchasers

1. 80–16224/1799
2. 37–125–21151–0000
3. 103 000 000
4. Scott and Hussing
5. Jones & Laughlin Steel Corp #105
6. California
7. Washington, Pa
8. 45.0 million cubic feet
9. February 20, 1980
10. Columbia Gas of Pennsylvania Inc.

1. 80–16225/3894
2. 37–063–24076–0000
3. 103 000 000
4. C & N Co
5. Russell A Spanard #2–Ind–24076
6. Young
7. Indiana, Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16226/3895
2. 37–063–24075–0000
3. 103 000 000
4. C & N Co
5. Russell A Spanard #3–Ind–24075
6. Young
7. Indiana, Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16227/3896
2. 37–063–24863–0000
3. 103 000 000
4. C & N Co
5. Indiana Country Club #1–Ind–24863
6. White
7. Indiana, Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16228/3897
2. 37–063–24864–0000
3. 103 000 000
4. C & N Co
5. Indiana Country Club #2–Ind–24864
6. White
7. Indiana, Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16229/3898
2. 37–063–24865–0000
3. 103 000 000
4. C & N Co
5. Indiana Country Club #3–Ind–24865
6. White
7. Indiana, Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16230/3899
2. 37–063–24866–0000
3. 103 000 000
4. C & N Co
5. Indiana Country Club #4–Ind–24866
6. White
7. Indiana, Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16231/3900
2. 37–063–24812–0000
3. 103 000 000
4. C & N Co
5. Colonial Land Co #1–Ind–24812
6. White
7. Indiana, Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16232/3901
2. 37–063–24813–0000
3. 103 000 000
4. C & N Co
5. Colonial Land Co #2–Ind–24813
6. White
7. Indiana, Pa
8. 2.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16233/3902
2. 37–063–24814–0000
3. 103 000 000
4. C & N Company
5. Colonial Land Co #3–Ind–24814
6. White

7. Indiana, Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16234/3903
2. 37–063–24815–0000
3. 103 000 000
4. C & N Co
5. Colonial Land Co #4–Ind–24815
6. White
7. Indiana, Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16235/3904
2. 37–063–24726–0000
3. 103 000 000
4. C & N Company
5. R & P Coal Co #4–Ind–24726
6. Washington
7. Indiana, Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16236/3905
2. 37–063–24727–0000
3. 103 000 000
4. C & N Company
5. R & P Coal Co #5–Ind–24727
6. Washington
7. Indiana, Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16237/3906
2. 37–063–24728–0000
3. 103 000 000
4. C & N Co
5. R & P Coal Co #6–Ind–24728
6. Washington
7. Indiana, Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16238/3907
2. 37–063–24729–0000
3. 103 000 000
4. C & N Co
5. R & P Coal Co #7–Ind–24729
6. Washington
7. Indiana, Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16239/3908
2. 37–063–24730–0000
3. 103 000 000
4. C & N Co
5. R & P Coal Co #8–Ind–24730
6. Washington
7. Indiana, Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16240/3909
2. 37–063–24731–0000
3. 103 000 000
4. C & N Co
5. R & P Coal Co #9–Ind–24731
6. White
7. Indiana, Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16241/3910
2. 37–063–24732–0000
3. 103 000 000
4. C & N Co
5. R & P Coal Co #10–Ind–24732
6. Washington
7. Indiana, Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16242/3911
2. 37–063–24733–0000
3. 103 000 000
4. C & N Co
5. R & P Coal Co #11–Ind–24733
6. Washington
7. Indiana, Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16243/3912
2. 37–063–24734–0000
3. 103 000 000
4. C & N Co
5. R & P Coal Co #12–Ind–24734
6. Washington
7. Indiana, Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16244/3913
2. 37–063–24735–0000
3. 103 000 000
4. C & N Co
5. R & P Coal Co #13–Ind–24735
6. Washington
7. Indiana, Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16245/3914
2. 37–063–24736–0000
3. 103 000 000
4. C & N Co
5. R & P Coal Co #14–Ind–24736
6. Washington
7. Indiana, Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16246/3931
2. 37–063–24324–0000
3. 103 000 000
4. J & J Enterprises Inc
5. Student Coop Assoc Inc #3–Ind–24324
6. White
7. Indiana, Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16247/3967
2. 37–063–24892–0000
3. 103 000 000
4. C & N Co
5. Richard F Jubie–Ind–24892
6. White
7. Indiana, Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16248/3968
2. 37–063–24891–0000
3. 103 000 000
4. C & N Co
5. William C Moretti #1–Ind–24891
6. White
7. Indiana, Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16249/3969
2. 37–063–24893–0000
3. 103 000 000
4. C & N Co
5. Dennis K Foster–Ind–24893
6. White
7. Indiana, Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16250/4001
2. 37–019–00000–0000
3. 108 000 000
4. Herman J & Jeanne M Emanuele
5. G Troutman #1
6. Butler Quarrangle I–10 N79 E36
7. Butler, Pa
8. 7.0 million cubic feet
9. February 20, 1980
10. Phillips Gas Co

1. 80–16251
2. 37–005–22366–0000
3. 103 000 000
4. J & J Enterprises Inc
5. L E George Arm–22366
6. Plum Creek
7. Armstrong, Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. Consolidated Gas Supply Corp

1. 80–16252/4033
2. 37–063–24540–0000
3. 103 000 000
4. C & N Co
5. Ind–24540–Russell Hoover
6. White
7. Indiana, Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16253/4034
2. 37–063–25031–0000
3. 103 000 000
4. C & N Co
5. Frank Scerbo–Ind–25031
6. White
7. Indiana, Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16254/4116
2. 37–063–24938–0000
3. 103 000 000
4. P & G Exploration Inc
5. Fred Rapach Ind–24938
6. Cherryhill
7. Indiana Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16255/4120
2. 37–063–24900–0000
3. 103 000 000
4. Union Drilling Inc
5. Kenneth G Jobe Et Ux #1 0656
6. Rayne Township
7. Indiana Pa
8. .0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16256/4121

2. 37–063–24997–0000
3. 103 000 000
4. Union Drilling Inc
5. Mabel Wissinger #1 0657
6. Rayne Township
7. Indiana Pa
8. .0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16257/4122
2. 37–063–25006–0000
3. 103 000 000
4. Union Drilling Inc
5. PP & V Corp # 0658
6. Cherryhill Township
7. Indiana Pa
8. .0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16258/4123
2. 37–063–24899–0000
3. 103 000 000
4. Union Drilling Inc
5. Ord Houck #1 0655
6. Rayne Township
7. Indiana Pa
8. .0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16259/4124
2. 37–125–41273–0000
3. 103 000 000
4. Scott and Hussing
5. Jones & Laughlin Steel Co #129
6. Belle Vernon
7. Washington Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. Columbia of Pa

1. 80–16260/4125
2. 37–125–41285–0000
3. 103 000 000
4. Scott and Hussing
5. Ray Smallwood #132
6. Belle Vernon
7. Washington Pa
8. 30.0 million cubic feet
9. February 20, 1980
10. Columbia Gas Transmission Corp

1. 80–16261/4126
2. 37–063–44830–0000
3. 103 000 000
4. Scott and Hussing
5. Roy A Orr #2 #126
6. Plum Creek
7. Indiana Pa
8. 20.0 million cubic feet
9. February 20, 1980
10. Bethlehem Steel Corp

1. 80–16262/4127
2. 37–063–25074–0000
3. 103 000 000
4. Phillips Production Co
5. William V. Spurlin et ux #2
6.
7. Indiana Pa
8. 45.0 million cubic feet
9. February 20, 1980
10.

1. 80–16263/4128
2. 37–063–25100–0000
3. 103 000 000
4. Phillips Production Co
5. William A George et al #2
6.
7. Indiana Pa
8. 30.0 million cubic feet
9. February 20, 1980
10.

1. 80–16264/4129
2. 37–063–25130–0000
3. 103 000 000
4. Phillips Production Co
5. Robert L Parrish et ox #2
6.
7. Indiana Pa
8. 75.0 million cubic feet
9. February 20, 1980
10.

1. 80–16265/4130
2. 37–063–25129–0000
3. 103 000 000
4. Phillips Production Co
5. Robert L Parrish et ux #1
6.
7. Indiana Pa
8. 60.0 million cubic feet
9. February 20, 1980
10.

West Virginia Department of Mines; Oil and Gas Division

1. Control number (FERC/State)
2. API well number
3. Section of NGPA
4. Operator
5. Well name
6. Field or OCS area name
7. County, State or block No.
8. Estimated annual volume
9. Date received at FERC
10. Purchaser(s)

1. 80–16025
2. 47–059–20934–0000
3. 108 000 000
4. Boyd O & G Inc
5. Boyd O & G Inc #10
6. Harvey
7. Mingo WV
8. .8 million cubic feet
9. February 19, 1980
10. Columbia Gas Transmission Corp

1. 80–16026
2. 47–079–20313–0000
3. 108 000 000
4. Boyd O & G Inc
5. Boyd O & G Inc #X–49–7270
6. Poca
7. Putnam WV
8. 4.0 million cubic feet
9. February 19, 1980
10. Columbia Gas Transmission Corp

1. 80–16027
2. 47–007–00305–D000
3. 108 000 000
4. Chrisman Drilling Co
5. J M Hosey #1
6. Otter District
7. Braxton WV
8. 6.5 million cubic feet
9. February 19, 1980
10. George Jackson

1. 80–16028
2. 47–007–00307–0000
3. 108 000 000
4. Chrisman Drilling Co
5. D J Moyers #1 235 A
6. Otter District
7. Braxton WV
8. 4.0 million cubic feet
9. February 19, 1980
10. George Jackson

1. 80–16029
2. 47–007–00309–0000
3. 108 000 000
4. Chrisman Drilling Co
5. D J Moyers #2—235 A
6. Otter District
7. Braxton WV
8. 5.0 million cubic feet
9. February 19, 1980
10. George Jackson

1. 80–16030
2. 47–007–01101–0000
3. 108 000 000
4. Chrisman Drilling Co
5. D J Moyers #4
6. Otter District
7. Braxton WV
8. 5.0 million cubic feet
9. February 19, 1980
10. George Jackson

1. 80–16031
2. 47–007–00269–0000
3. 108 000 000
4. George Jackson
5. Arlan W Berry et al #1
6. Otter District
7. Braxton WV
8. 6.0 million cubic feet
9. February 19, 1980
10. Equitable Gas Co

1. 80–16032
2. 47–007–00284–0000
3. 108 000 000
4. George Jackson
5. Howell #2
6. Otter District
7. Braxton WV
8. 5.0 million cubic feet
9. February 19, 1980
10. Equitable Gas Co

1. 80–16033
2. 47–007–00285–0000
3. 108 000 000
4. George Jackson
5. Howell #3
6. Otter District
7. Braxton WV
8. 1.5 million cubic feet
9. February 19, 1980
10. Equitable Gas Co

1. 80–16034
2. 47–007–00320–0000
3. 108 000 000
4. George Jackson
5. Bosley #1
6. Otter District
7. Braxton WV
8. 2.0 million cubic feet
9. February 19, 1980
10. Equitable Gas Co.

1. 80–16035
2. 47–007–00688–0000
3. 108 000 000
4. George Jackson
5. W B Moyers #3
6. Otter District
7. Braxton WV
8. 2.5 million cubic feet
9. February 19, 1980
10. Equitable Gas Co.

1. 80–16036
2. 47–007–00692–0000

3. 108 000 000
4. George Jackson
5. W B Moyers #4
6. Otter District
7. Braxton WV
8. 3.5 million cubic feet
9. February 19, 1980
10. Equitable Gas Co.

1. 80–16037
2. 47–007–00991–0000
3. 108 000 000
4. George Jackson
5. W C Gerwig #1
6. Otter District
7. Braxton WV
8. 9.0 million cubic feet
9. February 19, 1980
10. Equitable Gas Co.

1. 80–16038
2. 47–015–00500–0000
3. 108 000 000
4. George Jackson
5. Elk River Coal & Lumber Co #1
6. Buffalo District
7. Clay WV
8. 1.5 million cubic feet
9. February 19, 1980
10. Equitable Gas Co.

1. 80–16039
2. 47–017–01147–0000
3. 108 000 000
4. George Jackson
5. Harman Smith ET AL #2–1
6. Central District
7. Doddridge WV
8. 1.5 million cubic feet
9. February 19, 1980
10. Equitable Gas Co.

1. 80–16040
2. 47–017–01287–0000
3. 108 000 000
4. George Jackson
5. Harman Smith ET AL #4–3
6. Central District
7. Doddridge WV
8. 1.5 million cubic feet
9. February 19, 1980
10. Equitable Gas Co.

1. 80–16041
2. 47–017–01422–0000
3. 108 000 000
4. George Jackson
5. Harman Smith ET AL #3–2
6. Central District
7. Doddridge WV
8. 1.5 million cubic feet
9. February 19, 1980
10. Equitable Gas Co.

1. 80–16042
2. 47–021–00809–0000
3. 108 000 000
4. George Jackson
5. M E Gainer #1
6. Dekalb District
7. Gilmer WV
8. 3.0 million cubic feet
9. February 19, 1980
10. Equitable Gas Co.

1. 80–16043
2. 47–041–00301–0000
3. 108 000 000
4. George Jackson
5. E C Francis #1
6. Skin Creek District
7. Lewis WV
8. 5.0 million cubic feet
9. February 19, 1980
10. Equitable Gas Co.

1. 80–16044
2. 47–041–00310–0000
3. 108 000 000
4. George Jackson
5. Wm E Bott #1
6. Skin Creek District
7. Lewis WV
8. 2.0 million cubic feet
9. February 19, 1980
10. Equitable Gas Co.

1. 80–16045
2. 47–041–00307–0000
3. 108 000 000
4. George Jackson
5. J Ralph Jones Hrs #1
6. Skin Creek District
7. Lewis WV
8. 4.0 million cubic feet
9. February 19, 1980
10. Equitable Gas Co.

1. 80–16046
2. 47–085–01614–0000
3. 108 000 000
4. George Jackson
5. J M Boyce #1
6. Union District
7. Ritchie WV
8. 2.5 million cubic feet
9. February 19, 1980
10. Equitable Gas Co.

1. 80–16047
2. 47–097–00418–0000
3. 108 000 000
4. George Jackson
5. Edward Hinzman #1
6. Buckhannon District
7. Upshur WV
8. 1.2 million cubic feet
9. February 19, 1980
10. Equitable Gas Co.

1. 80–16048
2. 47–097–00422–0000
3. 108 000 000
4. George Jackson
5. Lillian L Taylor #1
6. Buckhannon District
7. Upshur WV
8. 5.5 million cubic feet
9. February 19, 1980
10. Equitable Gas Co.

1. 80–16049
2. 47–097–00440–0000
3. 108 000 000
4. George Jackson
5. Madge Smith #1
6. Buckhannon District
7. Upshur WV
8. 5.5 million cubic feet
9. February 19, 1980
10. Equitable Gas Co.

1. 80–16050
2. 47–097–00455–0000
3. 108 000 000
4. George Jackson
5. J L Krise #1
6. Buckhannon District
7. Upshur WV
8. 3.4 million cubic feet
9. February 19, 1980
10. Equitable Gas Company

1. 80–16051
2. 47–017–01490–0000
3. 108 000 000
4. Robert Weeks
5. Cox Well #5
6. Auburn WV
7. Doddridge WV
8. 1.9 million cubic feet
9. February 19, 1980
10. Consolidated Gas Supply Corp

1. 80–16052
2. 47–017–01494–0000
3. 108 000 000
4. Robert Weeks
5. Czigans Well #1
6. Auburn
7. Doddridge WV
8. .0 million cubic feet
9. February 19, 1980
10. Consolidated Gas Supply Corp

1. 80–16053
2. 47–017–01503–0000
3. 108 000 000
4. Robert Weeks
5. Czigans Well #2
6. Auburn
7. Dodderidge WV
8. .0 million cubic feet
9. February 19, 1980
10. Consolidated Gas Supply Corp

1. 80–16054
2. 47–017–01510–0000
3. 108 000 000
4. Robert Weeks
5. Czigans Well #3
6. Auburn
7. Doddridge WV
8. 1.3 million cubic feet
9. February 19, 1980
10. Consolidated Gas Supply Corp

1. 80–16055
2. 47–017–01511–0000
3. 108 000 000
4. Robert Weeks
5. Leeson Well
6. Auburn
7. Doddridge WV
8. .0 million cubic feet
9. February 19, 1980
10. Consolidated Gas Supply Corp

1. 80–16056
2. 47–021–01314–0000
3. 108 000 000
4. Robert Weeks
5. H M Furr Well #1
6. Taney Field
7. Eilmon WV
8. 2.6 million cubic feet
9. February 19, 1980
10. Consolidated Gas Supply Corp

1. 80–16057
2. 47–085–03152–0000
3. 108 000 000
4. Robert Weeks
5. Taylor Well #3
6. Auburn
7. Ritchie WV
8. 8.0 million cubic feet
9. February 19, 1980
10. Consolidated Gas Supply Corp

1. 80–16058
2. 47–085–03162–0000
3. 108 000 000
4. Robert Weeks
5. Taylor Well #2
6. Auburn

7. Ritchie WV
8. 8.0 million cubic feet
9. February 19, 1980
10. Consolidated Gas Supply Corp

1. 80–16059
2. 47–085–03168–0000
3. 108 000 000
4. Robert Weeks
5. Taylor Well #1
6. Auburn
7. Ritchie WV
8. 8.0 million cubic feet
9. February 19, 1980
10. Consolidated Gas Supply Corp

1. 80–16060
2. 47–085–03203–0000
3. 108 000 000
4. Robert Weeks
5. W H Lipscomb Heirs #1
6. Auburn
7. Ritchie WV
8. 3.3 million cubic feet
9. February 19, 1980
10. Carnegie Natural Gas Co

1. 80–16061
2. 47–017–20277–0000
3. 108 000 000
4. Hugh Spencer
5. George E Blanc #1
6. New Milton District
7. Doddridge WV
8. 2.3 million cubic feet
9. February 19, 1980
10. Cities Service Oil Co

1. 80–16062
2. 47–017–20611–0000
3. 108 000 000
4. Hugh Spencer
5. Frank Ash #3 Well
6. West Union District
7. Doddridge WV
8. .5 million cubic feet
9. February 19, 1980
10. Equitable Gas Co

1. 80–16063
2. 47–017–20287–0000
3. 108 000 000
4. Hugh Spencer
5. George Bland #2
6. New Milton District
7. Doddridge WV
8. 1.0 million cubic feet
9. February 19, 1980
10. Cities Service Oil Co

1. 80–16064
2. 47–033–00243–0000
3. 108 000 000
4. Hugh Spencer
5. A J Reynolds
6. Eagle District
7. Harrison WV
8. 1.0 million cubic feet
9. February 19, 1980
10. Consolidated Gas Supply Corp

1. 80–16065
2. 47–041–01950–0000
3. 108 000 000
4. Hugh Spencer
5. Ralph B Smith #1
6. Court House District
7. Lewis WV
8. 10.0 million cubic feet
9. February 19, 1980
10. Consolidated Gas Supply Corp

1. 80–16066
2. 47–017–00397–0000
3. 108 000 000
4. Hugh Spencer
5. J M McKinney #1
6.
7. Doddridge WV
8. .6 million cubic feet
9. February 19, 1980
10. Equitable Gas Co

1. 80–16067
2. 47–017–00886–0000
3. 108 000 000
4. Hugh Spencer
5. Frank Ash #4 Well
6. West Union District
7. Doddridge WV
8. 2.5 million cubic feet
9. February 19, 1980
10. Equitable Gas Co

1. 80–16068
2. 47–017–01051–0000
3. 108 000 000
4. Hugh Spencer
5. W R Smith #3
6. Central District
7. Doddridge WV
8. .7 million cubic feet
9. February 19, 1980
10. Equitable Gas Co

1. 80–16069
2. 47–017–01100–0000
3. 108 000 000
4. Hugh Spencer
5. W R Smith #2
6. Central District
7. Doddridge WV
8. .7 million cubic feet
9. February 19, 1980
10. Equitable Gas Co

1. 80–16070
2. 47–017–01191–0000
3. 108 000 000
4. Hugh Spencer
5. W R Smith #4
6. Central District
7. Doddridge WV
8. .7 million cubic feet
9. February 19, 1980
10. Equitable Gas Co

1. 80–16071
2. 47–041–00329–0000
3. 108 000 000
4. Hugh Spencer
5. Thomas G Kraus #1
6. Freemans Creek District
7. Lewis WV
8. 14.0 million cubic feet
9. February 19, 1980
10. Carnegie Natural Gas Co

1. 80–16072
2. 47–041–00371–0000
3. 108 000 000
4. Hugh Spencer
5. N G Lamb #1
6. Freemans Creek District
7. Lewis WV
8. 3.0 million cubic feet
9. February 19, 1980
10. Carnegie Natural Gas Co

1. 80–16073
2. 47–041–0407–0000
3. 108 000 000
4. Hugh Spencer
5. O C Mick #1
6.
7. Lewis WV
8. 1.8 million cubic feet
9. February 19, 1980
10. Carnegie Natural Gas Co

1. 80–16266
2. 47–005–00442–0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Coal Co Farm #15–52
6.
7. Boone WV
8. 5.0 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16267
2. 47–005–00704–0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. R P Chem Farm #3–70
6.
7. Boone WV
8. .5 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16268
2. 47–005–00730–0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Coal Co Farm #27–73
6.
7. Boone WV
8. 3.3 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16269
2. 47–005–00761–0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Coal Co Farm #29–78
6.
7. Boone WV
8. 6.7 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16270
2. 47–005–00773–0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Kelly Kerns Farm #1–80
6.
7. Boone WV
8. 3.5 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16271
2. 47–005–00797–0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Coal Co Farm #30–83
6.
7. Boone WV
8. 5.0 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16272
2. 47–005–00804–0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. George Byrnsides Farm #2–84
6.
7. Boone WV
8. 1.0 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16273

2. 47–005–00445–0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Oil & Gas Farm #5–53
6.
7. Boone WV
8. 1.9 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16274
2. 47–005–00456–0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Coal Co Farm #16–54
6.
7. Boone WV
8. 8.0 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16275
2. 47–005–00465–0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Oil & Gas Farm #6–55
6.
7. Boone WV
8. 6.2 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16276
2. 47–005–00466–0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Coal Co Farm #17–56
6.
7. Boone WV
8. 8.0 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16277
2. 47–005–00480–0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Oil & Gas Farm #7–57
6.
7. Boone WV
8. 1.6 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16278
2. 47–005–00488–0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Coal Co Farm #18–58
6.
7. Boone WV
8. 4.0 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16279
2. 47–005–00502–0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Oil & Gas Farm #8–59
6.
7. Boone WV
8. 3.2 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16280
2. 47–005–00525–0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Coal Co Farm #19–60
6.
7. Boone WV
8. 3.5 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16281
2. 47–005–00533–0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Oil & Gas Farm #9–61
6.
7. Boone WV
8. 1.6 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16282
2. 47–005–00667–0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. R P Chem Farm #1–68
6.
7. Boone WV
8. 6.2 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16283
2. 47–005–00435–0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Oil & Gas Farm #4–51
6.
7. Boone WV
8. 4.2 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16284
2. 47–005–00430–0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Coal Co Farm #14–50
6.
7. Boone WV
8. 6.5 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16285
2. 47–005–00411–0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Oil & Gas Farm #2–33
6.
7. Boone WV
8. 3.0 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16286
2. 47–005–00424–0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Oil & Gas Farm #3–34
6.
7. Boone WV
8. 7.0 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16287
2. 47–085–23002–0000
3. 108 000 000
4. L E Mossor
5. Ford Snodgrass #1
6. Ford Snodgrass Gas Co
7. Ritchie WV
8. .2 million cubic feet
9. February 21, 1980
10. Southern Development Co

1. 80–16288
2. 47–005–00581–0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Coal Co Farm #22–64
6.
7. Boone WV
8. 2.7 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16289
2. 47–005–00600–0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Coal Co Farm #23–65
6.
7. Boone WV
8. 4.0 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16290
2. 47–005–00898–0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Skinner & Zerkles Earl Ward No 1
6.
7. Boone WV
8. 4.0 million cubic feet
9. February 21, 1980
10. Columbia Gas Transmission Corp

1. 80–16291
2. 47–005–00159–0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Cole & Crane Real Est Trust #10
6.
7. Boone WV
8. 2.4 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16292
2. 47–005–00177–0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Cole & Crane Real Est Trust #11
6.
7. Boone WV
8. 4.0 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16293
2. 47–005–00198–0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Cole & Crane Farm #17
6.
7. Boone WV
8. 1.5 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16294
2. 47–005–00208–0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Cole & Crane Real Est Trust #23
6.
7. Boone WV
8. 5.0 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16295
2. 47–005–00223–0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Cole & Crane Farm #15
6.

7. Boone WV
8. 1.5 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16296
2. 47–005–00245–0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Cole & Crane Real Est Trust #18
6.
7. Boone WV
8. 3.0 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16297
2. 47–005–00258–0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Cole & Crane Real Est Trust #19
6.
7. Boone WV
8. 2.5 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16298
2. 47–005–00354–0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Cole & Crane Real Est Trust #26
6.
7. Boone WV
8. 3.5 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16299
2. 47–005–00365–0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Cole & Crane Farm #27
6.
7. Boone WV
8. 3.0 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16300
2. 47–005–00404–0000
3. 108 000 000
4. Pond Fork Oil & Gas Co
5. Pond Fork Oil & Gas Co Farm #1–32
6.
7. Boone WV
8. 2.0 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16301
2. 47–085–21828–0000
3. 108 000 000
4. L E Mossor
5. Mullenax #1
6. A F Mullenax
7. Ritchie WV
8. 2.0 million cubic feet
9. February 21, 1980
10. Carnegia Gas Company

1. 80–16302
2. 47–085–21627–0000
3. 108 000 000
4. L E Mossor
5. Alva Davis #1
6. Alva Davis
7. Ritchie WV
8. 1.5 million cubic feet
9. February 21, 1980
10. Carnegia Gas Company

1. 80–16303
2. 47–085–22817–0000
3. 108 000 000
4. L E Mossor
5. King #4
6. Hazel Hardbarger
7. Ritchie WV
8. 1.9 million cubic feet
9. February 21, 1980
10. Carnegia Gas Company

1. 80–16304
2. 47–085–23019–0000
3. 108 000 000
4. L E Mossor
5. Mitchell #3
6. Mitchell #3
7. Ritchie WV
8. 4.5 million cubic feet
9. February 21, 1980
10. Carnegia Gas Company

1. 80–16305
2. 47–085–23020–0000
3. 108 000 000
4. L E Mossor
5. Mitchell #4
6. Mitchell #4
7. Ritchie WV
8. 4.5 million cubic feet
9. February 21, 1980
10. Carnegia Gas Company

1. 80–16306
2. 47–085–22489–0000
3. 108 000 000
4. L E Mossor
5. Cisco Gas Company
6. Cisco Gas Company
7. Ritchie WV
8. 2.5 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16307
2. 47–085–22635–0000
3. 108 000 000
4. L E Mossor
5. Cisco Gas Company
6. Cisco Gas Company
7. Ritchie WV
8. 1.3 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16308
2. 47–085–23220–0000
3. 108 000 000
4. L E Mossor
5. Gregg #3
6. Della Gregg #3
7. Ritchie WV
8. 2.2 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16309
2. 47–007–20245–0000
3. 108 000 000
4. L E Mossor
5. Bourne #1
6. Bourne Gas Company
7. Braxton WV
8. 2.2 million cubic feet
9. February 21, 1980
10. Equitable Gas Company

1. 80–16310
2. 47–007–20255–0000
3. 108 000 000
4. L E Mossor
5. McElwee #1
6. McElwee Gas Company
7. Braxton WV
8. .1 million cubic feet
9. February 21, 1980
10. Equitable Gas Company

1. 80–16311
2. 47–007–20278–0000
3. 108 000 000
4. L E Mossor
5. Wade #1
6. Wade Gas Co
7. Braxton WV
8. 1.5 million cubic feet
9. February 21, 1980
10. Equitable Gas Company

1. 80–16312
2. 47–007–20279–0000
3. 108 000 000
4. L E Mossor
5. Moyers #1
6. Moyers Gas Co
7. Braxton WV
8. 1.0 million cubic feet
9. February 21, 1980
10. Equitable Gas Company

1. 80–16313
2. 47–085–22683–0000
3. 108 000 000
4. L E Mossor
5. Primm #3
6. C A Primm Gas Company
7. Ritchie WV
8. 2.1 million cubic feet
9. February 21, 1980
10. Equitable Gas Company

1. 80–16314
2. 47–085–22694–0000
3. 108 000 000
4. L E Mossor
5. Primm #4
6. C A Primm Gas Company
7. Ritchie WV
8. 2.1 million cubic feet
9. February 21, 1980
10. Equitable Gas Company

1. 80–16315
2. 47–085–22801–0000
3. 108 000 000
4. L E Mossor
5. Wilson #1
6. Wilson Gas Company
7. Ritchie WV
8. 2.5 million cubic feet
9. February 21, 1980
10. Equitable Gas Company

1. 80–16316
2. 47–085–22831–0000–
3. 108 000 000
4. L E Mossor
5. Williams #1
6. Williams Gas Company
7. Ritchie WV
8. 2.0 million cubic feet
9. February 21, 1980
10. Equitable Gas Company

1. 80–16317
2. 47–085–22946–0000–
3. 108 000 000
4. L E Mossor
5. Pierce #3
6. Earl Pierce
7. Ritchie WV
8. 2.2 million cubic feet
9. February 21, 1980
10. Equitable Gas Company

1. 80–16318

2. 47–085–03404–0000–
3. 108 000 000
4. Troy A Brady Jr
5. Cora Dent #2
6. Bone Creek
7. Ritchie WV
8. 1.2 million cubic feet
9. February 21, 1980
10. Carnegie Natl Gas Co

1. 80–16319
2. 47–085–01657–0000–
3. 108 000 000
4. Troy A Brady Jr
5. T H Hall #1
6. Bone Creek
7. Ritchie WV
8. 3.0 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply

1. 80–16320
2. 47–085–01709–0000–
3. 108 000 000
4. Troy A Brady Jr
5. W B Sheets #1
6. Bone Creek
7. Ritchie WV
8. .5 million cubic feet
9. February 21, 1980
10. Consolidated Gas Co

1. 80–16321
2. 47–085–01733–0000–
3. 108 000 000
4. Troy A Brady Jr
5. E B Sheets #1
6. Bone Creek
7. Ritchie WV
8. 1.0 million cubic feet
9. February 21, 1980
10. Consolidated Gas Co

1. 80–16322
2. 47–085–01746–0000–
3. 108 000 000
4. Troy A Brady Jr
5. E B Sheets #2
6. Fork Bone Creek
7. Ritchie WV
8. 1.0 million cubic feet
9. February 21, 1980
10. Consolidated Gas Co

1. 80–16323
2. 47–085–01938–0000–
3. 108 000 000
4. Troy A Brady Jr
5. O R Sommerville #1
6. Bone Creek
7. Ritchie WV
8. 2.0 million cubic feet
9. February 21, 1980
10. Consolidated Gas Co

1. 80–16324
2. 47–085–01973–0000–
3. 108 000 000
4. Troy A Brady Jr
5. W B Sheets #2
6. Bone Creek
7. Ritchie WV
8. 5.0 million cubic feet
9. February 21, 1980
10. Consolidated Gas Co

1. 80–16325
2. 47–085–02187–0000–
3. 108 000 000
4. Troy A Brady Jr
5. James L Sheets #2
6. Bone Creek
7. Ritchie WV
8. .1 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply

1. 80–16326
2. 47–007–01034–0000–
3. 108 000 000
4. Braxton Oil and Gas Corp
5. Earl Barker No 1
6. Chapel-German
7. Braxton WV
8. .0 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16327
2. 47–007–01078–0000–
3. 108 000 000
4. Braxton Oil and Gas Corp
5. Rachel Barker No 1
6. Chapel-German
7. Braxton WV
8. 21.0 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16328
2. 47–007–01115–0000–
3. 108 000 000
4. Braxton Oil and Gas Corp
5. Baptist Home No 1
6. Aspinall-Finster
7. Braxton WV
8. .0 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16329
2. 47–007–01129–0000–
3. 108 000 000
4. Braxton Oil and Gas Corp
5. Amos No 1
6. Heaters
7. Braxton WV
8. 13.0 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply Corp

1. 80–16630
2. 47–085–03400–0000–
3. 108 000 000
4. Troy A Brady Jr
5. Cora Dent #1
6. Bone Creek
7. Ritchie WV
8. 1.2 million cubic feet
9. February 21, 1980
10. Carnegie Natural Gas Co

1. 80–16331
2. 47–085–03697–0000–
3. 108 000 000
4. Troy A Brady Jr
5. Shirley & Forrest Pribble #5
6. Patton Run
7. Ritchie WV
8. 3.0 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply

1. 80–16332
2. 47–085–03623–0000–
3. 108 000 000
4. Troy A Brady Jr
5. Shirley & Forrest Pribble #4
6. Patton Run
7. Ritchie WV
8. 1.0 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply

1. 80–16333
2. 47–085–03472–0000–
3. 108 000 000
4. Troy A Brady Jr
5. E B Sheets #6
6. Bone Creek
7. Ritchie WV
8. 4.0 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply

1. 80–16334
2. 47–085–03600–0000–
3. 108 000 000
4. Troy A Brady Jr
5. Shirley & Forrest Pribble #2
6. Patton Run
7. Ritchie WV
8. 1.0 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply

1. 80–16335
2. 47–085–03607–0000–
3. 108 000 000
4. Troy A Brady Jr
5. Shirley & Forrest Pribble #3
6. Patton Run
7. Ritchie WV
8. 1.0 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply

1. 80–16336
2. 47–085–03409–0000–
3. 108 000 000
4. Troy A Brady Jr
5. O R Sommerville #2
6. Bone Creek
7. Ritchie WV
8. 2.0 million cubic feet
9. February 21, 1980
10. Consolidated Gas Co

1. 80–16337
2. 47–085–03414–0000–
3. 108 000 000
4. Troy A Brady Jr
5. W B Sheets #3
6. Bone Creek
7. Ritchie WV
8. 5.1 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply

1. 80–16338
2. 47–085–00319–0000–
3. 108 000 000
4. Troy A Brady Jr
5. E B Sheets #3
6. Bone Creek
7. Ritchie WV
8. 2.5 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply

1. 80–16339
2. 47–085–03439–0000–
3. 108 000 000
4. Troy A Brady Jr
5. E B Sheets #4
6. Bone Creek
7. Ritchie WV
8. 2.5 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply

1. 80–16340
2. 47–085–03464–0000–
3. 108 000 000
4. Troy A Brady Jr
5. E B Sheets #5
6. Bone Creek

7. Ritchie WV
8. 67.8 million cubic feet
9. February 21, 1980
10. Consolidated Gas Supply

1. 80–16358
2. 47–021–02605–0000–
3. 108 000 000
4. Cooperative Oil Investments Inc
5. Samuel Kelley (M–1)
6. Spruce Run (Center District)
7. Gilmer WV
8. 16.4 million cubic feet
9. February 21, 1980
10. Carnegie Natural Gas Co

Wyoming Oil and Gas Conservation Commission

1. Control Number (FERC/State)
2. API well number
3. Section of NGPA
4. Operator
5. Well name
6. Field or OCS area name
7. County, State or block no.
8. Estimated annual volume
9. Date received at FERC
10. Purchaser(s)

1. 80–16341/NG 25–79
2. 49–005–25110–0000
3. 102 000 000
4. Cities Service Company
5. Scott Jordan B #1
6. Hartzog Draw
7. Campbell WY
8. 12.0 million cubic feet
9. February 20, 1980
10. Phillips Petroleum Company

1. 80–16342/NG 26–79
2. 49–005–24568–000
3. 102 000 0000
4. Cities Service Company
5. Scott Jordan A #1
6. Hartzog Draw
7. Campbell WY
8. 24.0 million cubic feet
9. February 20, 1980
10. Phillips Petroleum Company

1. 80–16343/NG 27–79
2. 49–005–24845–0000
3. 102 000 000
4. Cities Service Company
5. Christensen E #1
6. Hartzog Draw
7. Campbell WY
8. 12.0 million cubic feet
9. February 20, 1980
10. Phillips Petroleum Company

1. 80–16344/NG 28–79
2. 49–005–24767–0000
3. 103 000 000
4. Cities Service Co
5. Christensen C #1
6. Hartzog Draw
7. Campbell WY
8. .0 million cubic feet
9. February 20, 1980
10. Panhandle Eastern Pipeline Co

1. 80–16345/NG 29–79
2. 49–005–24696–0000
3. 103 000 000
4. Cities Service Company
5. Christensen D #1
6. Hartzog Draw
7. Campbell WY
8. 30.0 million cubic feet
9. February 20, 1980
10. Panhandle Eastern Pipe Line Co

1. 80–16346/NG 30–79
2. 49–005–24382–0000
3. 103 000 000
4. Cities Service Company
5. Christensen B #1
6. Hartzog Draw
7. Campbell WY
8. 12.0 million cubic feet
9. February 20, 1980
10. Panhandle Eastern Pipe Line Co

1. 80–16347/NG 31–79
2. 49–009–23273–0000
3. 103 000 000
4. Inexco Oil Company
5. J P Williams 1–34X
6. Well Draw-Teapot
7. Converse WY
8. 246.0 million cubic feet
9. February 20, 1980
10. Inexco Gasoline Plant Panhandle Eastern Pipeline Co Phillips Petroleum Co

1. 80–16348/NG 32–79
2. 49–009–21244–0000
3. 103 000 000
4. Inexco Oil Company
5. Steffen 1–33
6. Well Draw-Teapot
7. Converse WY
8. 11.0 million cubic feet
9. February 20, 1980
10. Inexco Gasoline Plant Panhandle Eastern Pipeline Co Phillips Petroleum Co

1. 80–16349/NG 33–79
2. 49–009–21245–0000
3. 103 000 000
4. Inexco Oil Company
5. Edmund 1–28
6. Well Draw-Teapot
7. Converse WY
8. 8.0 million cubic feet
9. February 20, 1980
10. Inexco Gasoline Plant Panhandle Eastern Pipeline Co Phillips Petroleum Co

1. 80–16350/NG 34–79
2. 49–009–14907–0000
3. 103 000 000
4. Inexco Oil Company
5. Williiams-Theis No 1–27
6. Well Draw-Teapot
7. Converse WY
8. 82.0 million cubic feet
9. February 20, 1980
10. Inexco Gasoline Plant, Panhandle Eastern Pipeline Co., Phillips Petroleum Co

1. 80–16351/NG 35–79
2. 49–005–24551–0000
3. 103 000 000
4. Inexco Oil Company
5. State #1–16
6. Hartzog Draw-Shannon
7. Campbell WY
8. 6.3 million cubic feet
9. February 20, 1980
10. Phillips Petroleum Co

1. 80–16352/NG 36–79
2. 49–005–24689–0000
3. 102 000 000
4. Inexco Oil Company
5. Farman No 3
6. Hartzog Draw-Shannon
7. Campbell WY
8. 8.8 million cubic feet
9. February 20, 1980
10. Phillips Petroleum Co

1. 80–16353/NG 37–79
2. 49–005–24571–0000
3. 102 000 000
4. Inexco Oil Company
5. Markland 2–25
6. Hartzog Draw-Shannon
7. Campbell WY
8. 18.7 million cubic feet
9. February 20, 1980
10. Phillips Petroleum Co

1. 80–16354/NG 38–79
2. 49–005–24610–0000
3. 102 000 000
4. Inexco Oil Company
5. Fields 1–6
6. Hartzog Draw-Shannon
7. Campbell WY
8. 11.8 million cubic feet
9. February 20, 1980
10. Phillips Petroleum Co

1. 80–16355/NG 39–79
2. 49–005–24562–0000
3. 102 000 000
4. Inexco Oil Company
5. Markland 1–30X
6. Hartzog Draw-Shannon
7. Campbell WY
8. 8.4 million cubic feet
9. February 20, 1980
10. Phillips Petroleum Co

1. 80–16356/NG 40–79
2. 49–005–24638–0000
3. 102 000 000
4. Inexco Oil Company
5. Markland 3–25
6. Hartzog Draw-Shannon
7. Campbell WY
8. 18.9 million cubic feet
9. February 20, 1980
10. Phillips Petroleum Co

1. 80–16357/NG 41–79
2. 49–005–24679–0000
3. 102 000 000
4. Inexco Oil Company
5. Farman 1–5
6. Hartzog Draw-Shannon
7. Campbell WY
8. 9.3 million cubic feet
9. February 20, 1980
10. Phillips Petroleum Co

The applications for determination in these proceedings together with a copy or description of other materials in the record on which such determinations were made are available for inspection, except to the extent such material is treated as confidential under 18 CFR 275.206, at the Commission's Office of Public Information, Room 1000, 825 North Capitol Street, N.E., Washington, D.C. 20426.

Persons objecting to any of these final determinations may, in accordance with 18 CFR 275.203 and 18 CFR 275.204, file a protest with the Commission within fifteen (15) days of the date of publication of this notice in the **Federal Register.**

Please reference the FERC Control Number in all correspondence related to these determinations.

Kenneth F. Plumb,

Secretary.

[FR Doc. 80-8729 Filed 3-20-80; 8:45 am]

BILLING CODE 6450-85-M

Office of Hearings and Appeals

Cases Filed; Week of November 23, 1979 through November 30, 1979

Notice is hereby given that during the week of November 23, 1979 through November 30, 1979, the appeals and applications for exception or other relief listed in the Appendix to this Notice were filed with the Office of Hearings and Appeals of the Department of Energy.

Under the DOE's procedural regulations, 10 CFR Part 205, any person who will be aggrieved by the DOE action sought in this case may file with the DOE written comments on the application within ten days of service of notice, as prescribed in the procedural regulations. For purposes of those regulations, the date of service of notice shall be deemed to be the date of publication of this Notice (March 21, 1980) or the date of receipt by an aggrieved person of actual notice, whichever occurs first. All such comments shall be filed with the Office of Hearings and Appeals, Department of Energy, Washington, D.C. 20461.

Melvin Goldstein,

Director, Office of Hearings and Appeals.

March 12, 1980.

List of Cases Received by the Office of Hearings and Appeals

[Week of Nov. 23 through Nov. 30, 1979]

Date	Name and location of applicant	Case No.	Type of submission
Nov. 23, 1979	New Jersey Highway Authority/New Jersey Department of Energy, Woodbridge, New Jersey; Newark, New Jersey.	BEX-0010	Supplemental Order. If granted: A hearing would be convened in connection with the DOE's October 18, 1979 Proposed Decision and Order (Case No. DXE-8279) issued to the New Jersey Highway Authority.
Nov. 23, 1979	Roberts Oil Company, New Roads, Louisiana	BEE-0428	Allocation Exception. If granted: Roberts Oil Company would receive an exception from the provisions of 10 CFR Part 211 that would permit the firm to receive an increased allocation of unleaded motor gasoline for the purpose of blending gasohol.
Nov. 26, 1979	Ayers Oil Company, Canton, Missouri	BEE-0402	Allocation Exception. If granted: Ayers Oil Company would receive an exception from the provisions of 10 CFR Part 211 that would permit the firm to receive an increased allocation of unleaded motor gasoline for the purpose of blending gasohol.
Nov. 26, 1979	C & C Oil Company, Inc., Huntington, Indiana	BEE-0401	Allocation Exception. If granted: C & C Oil Company, Inc., would receive an exception from the provisions of 10 CFR Part 211 that would permit the firm to receive an increased allocation of unleaded motor gasoline for the purpose of blending gasohol.
Nov. 26, 1979	Champlin Petroleum Company, Washington, D.C.	BFA-0074	Appeal of Information Request Denial. If granted: Champlin Petroleum Company would receive access to certain DOE documents.
Nov. 26, 1979	Coggin Oil Company, Caraway, Arkansas	BEE-0400	Allocation Exception. If granted: Coggin Oil Company would receive an exception from the provisions of 10 CFR Part 211 that would permit the firm to receive an allocation of unleaded motor gasoline for the purpose of blending gasohol.
Nov. 26, 1979	Geib Oil Company, Martin, Michigan	BEE-0397	Allocation Exception. If granted: Geib Oil Company would receive an exception from the provisions of 10 CFR Part 211 that would permit the firm to receive an increased allocation of unleaded motor gasoline for the purpose of blending gasohol.
Nov. 26, 1979	Huntway Refining Company, Wilmington, California	BEE-0392	Allocation Exception. If granted: Huntway Refining Company would receive an exception from the provisions of 10 CFR 211.67 modifying its entitlements purchase obligations.
Nov. 27, 1979	Ashland Oil, Inc., Washington, D.C.	BEJ-0004 through BEJ-0008.	Protective Order. If granted: A Protective Order would be issued by the DOE enabling the firms involved to exchange with Ashland Oil, Inc., under certain conditions, confidential data submitted with respect to Case Nos. BEE-0373, BEL-0373, BSG-0007.
Nov. 27, 1979	Hendel's, Inc., Old Greenwich, Connecticut	BEA-0076	Appeal of Assignment Order. If granted: The September 28, 1979 Assignment Order (Case No. 01-007215) issued by the Economic Regulatory Administration, Region I, to Hendel's Inc. regarding the assignment of motor gasoline to the firm would be rescinded.
Nov. 27, 1979	Okmar Oil Company, Kansas City, Missouri	BRD-0119	Motion for Discovery. If granted: Discovery would be granted to Okmar Oil Company in connection with its Statement of Objections to the Semptember 28, 1979 Proposed Remedial Order that was issued to it.
Nov. 27, 1979	Raymer Oil Company, Statesville, North Carolina	BEE-0404	Allocation Exception. If granted: Raymer Oil Company would receive an exception from the provisions of 10 CFR Part 211 that would permit the firm to receive an increased allocation of unleaded motor gasoline for the purpose of blending gasohol.
Nov. 27, 1979	Region Oil Company, Bryn Mawr, Pennsylvania	BEE-0405	Allocation Exception. If granted: Region Oil Company would receive an exception from the provisions of 10 CFR Part 211 that would permit the firm to receive an increased allocation of unleaded motor gasoline for the purpose of blending gasohol.
Nov. 27, 1979	White Water Petroleum Terminals, Inc., Chicago, Illinois.	BEE-0403	Allocation Exception. If granted: White Water Petroleum Terminals, Inc. would receive an exception from the provisions of 10 CFR Part 211 that would permit the firm to receive an allocation of unleaded motor gasoline for the purpose of blending gasohol.
Nov. 28, 1979	Ashland Oil, Inc., Ashland, Kentucky	BEX-0013	Supplemental Order. If granted: The formulae and factors used to calculate the supply obligations of specific firms designated to provide Ashland Oil, Inc. with crude oil pursuant to temporary exception relief granted to Ashland on November 27, 1979 would be explicitly set forth.
Nov. 26, 1979	Award Petroleum, Garden City, New York	BEA-0077	Appeal of a Mutual Termination Order. If granted: The October 24, 1979, Mutual Termination Order issued to Alfred T. Pellicane by the Economic Regulatory Administration, Region II would be modified with respect to the assignment of Cooper Oil Company as the base period supplier of Alfred T. Pellicane to replace Award Petroleum.
Nov. 28, 1979	D. Gilliam Production Company, Union County, Arkansas.	BEE-0412	Price Exception (Section 212.73). If granted: D. Gilliam Production Company would be permitted to sell the crude oil produced from the Cook-Gilliam Lease, located in Union County, Arkansas, at upper tier ceiling prices.
Nov. 28, 1979	Fanning Oil Company, Jackson, Illinois	BEE-0416	Allocation Exception. If granted: Fanning Oil Company would receive an exception from the provisions of 10 CFR Part 211 that would permit the firm to receive an increased allocation of unleaded motor gasoline for the purpose of blending gasohol.
Nov. 28, 1979	Hartley Company, Cambridge, Ohio	BEE-0417	Allocation Exception. If granted: Hartley Company would receive an exception from the provisions of 10 CFR Part 211 that would permit the firm to receive an increased allocation of unleaded motor gasoline for the purpose of blending gasohol.
Nov. 28, 1979	Huntway Refining Company, Los Angeles, California.	BES-0022	Request for Stay. If Granted: Huntway Refining Company would receive a stay of the provisions of 10 CFR 211.67 pending a final determination on its Application for Exception (Case No. BEE-0392).
Nov. 28, 1979	Raritan Oil Company, Inc., Edison, New Jersey	BEE-0411	Allocation Exception. If Granted: Raritan Oil Company, Inc. would receive an exception from the provisions of 10 CFR Part 211 that would permit the firm to receive an increased allocation of unleaded motor gasoline for the purpose of blending gasohol.
Nov. 29, 1979	California Energy Commission, Sacramento, California.	BFA-0079	Appeal of Information Request Denial. If Granted: The October 17, 1979, Information Request Denial issued by the Director of Petroleum Price Regulations would be rescinded, and the California Energy Commission would receive access to certain documents pertaining to amendments of the retailer price rules for motor gasoline.

List of Cases Received by the Office of Hearings and Appeals—Continued

[Week of Nov. 23 through Nov. 30, 1979]

Date	Name and location of applicant	Case No.	Type of submission
Nov. 29, 1979	General Machine Corporation, Emmaus, Pennsylvania.	BEE-0422	Energy Conservation Program Exception. If Granted: General Machine Corporation would not be required to perform energy efficiency tests of its Coal Fired Residential Hot Water Heating Boiler as required under 10 CFR Part 430.
Nov. 29, 1979	Mechanical Technology, Inc., Washington, D.C.	Bee-0421	Price Exception. If Granted: Any refiner that participates with Mechanical Technology, Inc. in a demonstration waste heat recovery project would be excepted from the provisions of 10 CFR 212.83 (refiner's price rule) to the extent that that regulation requires that utility cost reductions experienced during the refining process be passed on to the refiner's customers.
Nov. 29, 1979	Missouri Terminal Oil Co., Inc., St. Louis, Missouri	BEE-0420	Allocation Exception. If Granted: Missouri Terminal Oil Co., Inc. would receive an exception from the provisions of 10 CFR Part 211 that would permit the firm to receive an increased allocation of unleaded motor gasoline for the purpose of blending gasohol.
Nov. 29, 1979	Office of Enforcement (Alkek), Washington, D.C.	BEZ-0013	Interlocutory Order. If Granted: The Office of Hearings and Appeals will accept jurisdiction over the Petition to Implement Special Refund Procedures filed by the Office of Enforcement in connection with the Consent Order it entered into with Albert B. Alkek.
Nov. 29, 1979	Office of Enforcement (Vickers), Washington, D.C.	BEZ-0014	Interlocutory Order. If Granted: The Office of Hearings and Appeals will accept jurisdiction over the Petition to Implement Special Refund Procedures filed by the Office of Enforcement in connection with the Consent Order entered into with Vickers Energy Corporation.
Nov. 30, 1979	Lawrence H. Bakken, Livermore, California	BFA-0082	Appeal of Information Request Denial. If granted: The October 24, 1979, Information Request Denial issued by the Acting Director of Personnel would be rescinded and Lawrence H. Bakken would receive access to memorandums, letters, and reports concerning the receipt of his application for Vacancy Announcement No. 79-1176.
Nov. 30, 1979	Ball Brothers Oil Co., Lebanon, Kentucky	BEE-0430	Allocation Exception. If granted: Ball Brothers Oil Company would receive an exception from the provisions of 10 CFR Part 211 that would permit the firm to receive an increased allocation of motor gasoline for the purpose of blending gasohol.
Nov. 30, 1979	Cities Service Company, Tulsa, Oklahoma	BEA-0081	Appeal of Entitlements Notice. If granted: The August 1979 Entitlements Notice would be modified with respect to Cities Service Company's entitlements purchase obligations.
Nov. 30, 1979	J & B Car Wash, York, Pennsylvania	BEH-0002	Motion for an Evidentiary Hearing. If granted: An evidentiary hearing would be convened in connection with the Notice of Objection submitted by J & B Car Wash in response to the September 10, 1979, Proposed Decision & Order (Case No. DEE-03-1054) it was issued.
Nov. 30, 1979	Kean Brothers, Inc., Evergreen Park, Illinois	BEE-0431	Allocation Exception. If granted: Kean Brothers, Inc. would receive an exception from the provisions of 10 CFR Part 211 that would permit the firm to receive an increased allocation of unleaded motor gasoline for the purpose of blending gasohol.
Nov. 30, 1979	Leonard E. Belcher, Inc., Washington, D.C.	BES-0023	Request for Stay. If granted: Leonard E. Belcher, Inc. would recieve a stay of the requirements of an August 17, 1977 Decision and Order (Case No. FRS-1414) pending a final determination on its Application for Modification (Case No. BMR-0003).
Nov. 30, 1979	Lohr Petroleum Company, Inc., Columbus, Nebraska.	BEE-0432	Allocation Exception. If granted: Lohr Petroleum Company, Inc. would receive an exception from the provisions of 10 CFR Part 211 that would permit the firm to receive an increased allocation of unleaded motor gasoline for the purpose of blending gasohol.
Nov. 30, 1979	Office of Enforcement, Washington, D.C.	BFF-0002	Implementation of Special Refund Procedures. If granted: The Office of Hearings and Appeals would implement Special Refund Procedures pursuant to 10 CFR Part 205 in connection with the June 29, 1979, Consent Decree issued to PVM Oil Associates, Inc., *et. al.*
Nov. 30, 1979	Raymond International Builders, Inc., Houston, Texas.	BFA-0080	Appeal of Information Request Denial. If granted: The November 1979 Information Request Denial issued by the DOE's Strategic Petroleum Reserve, Region IV, would be rescinded, and Raymond International Builders, Inc. would receive access to the correspondence between the DOE and Parsons-Gilbane regarding a negotiated additional fee between Parsons-Gilbane and Raymond International Builders, Inc.
Nov. 30, 1979	Tropicana Petroleum Limited, Paramount, California	BEE-0426	Allocation Exception. If granted: Tropicana Petroleum Limited would receive an exception from the provisions of 10 CFR Part 211 that would permit the firm to receive an increased allocation of motor gasoline for the purpose of blending gashol.
Nov. 30, 1979	Tucson Fuel Company, Inc., San Francisco, California.	BEN-0005	Request for Interim Order. If granted: An Interim Decision and Order would be issued permitting Tucson Fuel Company, Inc. to receive an increased allocation of gasoline pending final determination of the firm's Application for Exception (Case No. BEE-0378).

Notices of Objection Received

[Week of Nov. 23, to Nov. 30, 1979]

Date	Name and Location of Applicant	Case No.
11/26/79	Jet Oil Company, Pinellas, Park, Fla	BEO-0196
11/26/79	Moore, Howard, Wertzville, Mo	BEO-0197
11/26/79	Washington University, St. Louis, Mo.	BEO-0198
11/26/79	County of Henrico, Henrico County, Va.	BEO-0199
11/26/79	Edon Service Corporation, San Francisco, Calif.	BEO-0201
11/26/79	Northeast Amoco, Kansas City, Mo	BEO-0202
11/26/79	Whitehead Oil Company, Lincoln, Nebr.	DEE-7965
11/26/79	Fulton Industrial Shell, Atlanta, Ga	DEE-6876
11/26/79	Frank Pure Food Company, Franksville, Wis.	BEO-0210
11/26/79	Smitty's Arco Mini-Mart, Lemon Grove, Calif.	BEO-0205
11/26/79	Bakers's, Blytheville, Ark	BEO-0206
11/26/79	Gary Thompson Oil Company, Ames, Iowa.	BEO-0207
11/26/79	X-Size Ladies Fashions, Inc. Clear Lake, Iowa.	BEO-0209
11/26/79	Bennett's Ashland, Burgin, Ky	BEO-0208

Notices of Objection Received—Continued

[Week of Nov. 23, to Nov. 30, 1979]

Date	Name and Location of Applicant	Case No.
11/26/79	MJ's Queen Size Fashions, Janesville, Wis.	BEO-0238
11/26/79	GAMMA Furnace Division, Arlington, Va.	DEE-8028
11/26/79	Gold Oil Co., Belleville, Ill	DEE-4344
11/26/79	Elk Store, Axtell, Tex	BEO-0203
11/26/79	Gulf Oil Corp., Shreveport, La	BEO-0204
11/26/79	Waterbury Petroleum Products, Inc., Waterbury, Conn.	BEE-3037
11/26/79	American Auto Salvage, Riverview, Fla.	BEO-0160
11/26/79	Larry's Standard, Waterman, Ill	BEO-0211
11/27/79	Coca-Cola Bottling Company, New London, Conn.	DEE-5405
11/27/79	Dwyer's Motors, Inc., New Orleans, La.	DEE-7617
11/27/79	F & P Market, Jackson, Tenn	DEE-8314
11/27/79	Earl McCarley Service Station, Bradenton, Fla.	BEO-0212
11/26/79	Studstill Sheel Service, Americus, Ga.	BEO-0213
11/27/79	Leigh's Rockville Sunoco, Inc., Rockville, Md.	BEO-0214

Notices of Objection Received—Continued

[Week of Nov. 23, to Nov. 30, 1979]

Date	Name and Location of Applicant	Case No.
11/27/79	Illinois Central College, East Peoria, Ill.	BEO-0215
11/27/79	Lou Emma Exxon, Van Buren, Ark	BEO-0216
11/27/79	Holiday Gulf, Garyville, Tenn	BEO-0217
11/28/79	Weatherite, Los Angeles, Calif	BEO-0228
11/28/79	Universal Atlas Cement, Hannibal, Mo.	BEO-0227
11/28/79	Universal Atlas Cement, Gary, Ind	BEO-0226
11/28/79	Westwood Car Wash, San Antonio, Tex.	BEO-0225
11/28/79	University Gulf, Huntsville, Ala	BEO-0224
11/28/79	Economy Oil Company, Springdale, Ark.	DEE-3745
11/28/79	Spencer's Quick Six, Chicago, Ill	BEO-0223
11/28/79	Happy Day Shoppe, Ypsilanti, Mich	BEO-0222
11/28/79	Route Messengers of Pennsylvania, Los Angeles, Calif.	BOE-0229
11/28/79	Farm Fuel Products, Inc., Philadelphia, Pa.	DEE-7059
11/28/79	Borg, James M., Rohnert Park, Calif	DEE-4240

Notices of Objection Received—Continued

[Week of Nov. 23, to Nov. 30, 1979]

Date	Name and Location of Applicant	Case No.
11/28/79	V & Y Garage, Inc., Watertown, Maine.	DEE-7262
11/28/79	Valley Oil Company, Scottsbluff, Nebr.	DEE-4038
11/28/79	Chromalloy City of Industry, Calif	DEE-4245
11/28/79	Finch Oil Co., Houston, Tex	DEE-7195
11/28/79	610 South Fulton Corporation, Mount Vernon, N.Y.	DEE-4662
11/28/79	South Bradenton Amoco, Bradenton, Fla.	BEO-0221
11/28/79	Akis Saridakia Shell Service Station, Hollywood, Fla.	BEO-0220
11/28/79	Utotem, Houston, Tex	BEO-0218
11/28/79	Highland Heights Mobil, Highland Heights, Ohio.	BEO-0219
11/28/79	Burns, James P., Virginia Beach, Va	DEE-3641
11/28/79	Leesburg Road Exxon, Columbia, S.C.	BEO-0046
11/29/79	Pinehurst Citgo, Baltimore, Md	DEE-3524
11/29/79	Lone Star Gas Co., Dallas, Tex	DEE-8285
11/29/79	Cornell, Woodsboro, Md	DEE-6373
11/29/79	Tibbetts, Burleigh, and Indianapolis, Ind.	BEO-0095
11/29/79	Smith Shell Mart, Redington Beach, Fla.	BEO-0096
11/29/79	Long Creek Exxon, Monroe, NC	DEE-7182
11/30/79	Combes Action Marathon, Indianapolis, Ind.	BEO-0237
11/30/79	Universal Atlas Cement, Independence, Kans.	BEO-0240
11/30/79	Mat Hurwitz & Sons, Newton Lower Falls, Mass.	DEE-7482
11/30/79	Coastal Center "66", Ladson South, S.C.	BEO-0041
11/30/79	Assembly of God, Ecorge, Mich	BEO-0193
11/30/79	Gulf Oil Company, Chattanooga, Tenn.	BEO-0086
11/30/79	Lily Flagg Exxon, Huntsville, Ala	BEO-0040
11/30/79	Kay's Korner, Chipley, Fla	BEO-0230
11/30/79	Beene's Exxon, Melbourne, Ark	BEO-0231
11/30/79	Ashmore Distributors, Madisonville, Ky.	BEO-0232
11/30/79	Quigley Bus Service, Bally, Pa	BEO-0233
11/30/79	Spruce Creek Golf and Country Club, Daytona Beach, Fla.	DEE-3606

Notices of Objection Received—Continued

[Week of Nov. 23, to Nov. 30, 1979]

Date	Name and Location of Applicant	Case No.
11/30/79	Ted's Rent-A-Car, Inc., Burbank, Calif.	DEE-6105
11/30/79	Katz-Davis Oil Company, Battle Creek, Mich.	BEO-0234 through BEO-0236
11/30/79	Bond, Gordon, Waukesha, Wis	BEO-BEO-0237

List of Cases Involving the Standby Petroleum Product Allocation Regulations for Motor Gasoline

Week of November 23 through November 30, 1979

If granted: the following firms would receive an exception which would increase their base period allocation of motor gasoline.

Nov. 23, 1979

Curcio's Mini-Market, Pennsylvania; BEE-0391

Nov. 26, 1979

Cleves Marathon, Ohio; BEE-0398
Huntington Car Wash, California; BEE-0419
Marine Oil Company, Kentucky; BEE-0396
Parkside Plaza, Indiana; BEE-0399
Pennsylvania Turnpike Commiss., Pennsylvania; BEE-0394

Nov. 27, 1979

Get It and Go Shell, Alabama; BEE-0395
Hughes Grocery Station, Texas; BEE-0406
J & W Oil Company, Kentucky; BEE-0407

Nov. 28, 1979

Brandywine Exxon Service, Delaware; BEE-0418
Occidental Chemical Co., Delaware; BEE-0415
Revere Racing Assoc., Inc., Massachusetts; BEE-0414
Texas Instruments, Inc., Massachusetts; BEE-0413
Weaver Oil Co., Florida; BEE-0410

Nov. 29, 1979

Brace's Service Station, Missouri; BEE-0393
Howard & Darells Shell Service, Michigan; BEE-0425
Town of Ramseur, North Carolina; BEE-0423

Nov. 30, 1979

Boudoin & Richard, Louisiana; BEE-0433
Economy TV Appliance Centers, Florida; BEE-0427
McCulloch Chainsaw Company, Arizona; BEE-0429
Twin State Oil Co. of Tabor City, North Carolina; BEE-0435
Items Retrieved 21

[FR Doc. 80-8644 Filed 3-2-80; 8:45 am]

BILLING CODE 6450-01-M

Cases Filed; Week of December 28, 1979 Through January 4, 1980

Notice is hereby given that during the week of December 28, 1979 through January 4, 1980, the appeals and applications for exception or other relief listed in the Appendix to this Notice were filed with the Office of Hearings and Appeals of the Department of Energy.

Under the DOE's procedural regulations, 10 CFR, Part 205, any person who will be aggrieved by the DOE action sought in such cases may file with the DOE written comments on the application within ten days of service of notice, as prescribed in the procedural regulations. For purposes of those regulations, the date of publication of this Notice (March 21, 1980) or the date of receipt by an aggrieved person of actual notice, whichever occurs first. All such comments shall be filed with the Office of Hearings and Appeals, Department of Energy, Washington, D.C. 20461.

Melvin Goldstein,

Director, Office of Hearings and Appeals.

March 12, 1980.

List of Cases Received by the Office of Hearings and Appeals

[Week of Dec. 28 1979, through Jan. 4, 1980]

Date	Name and location of applicant	Case No.	Type of submission
Dec. 28, 1979	Apollo Oil Company, Peoria, Illinois	BEN-0011	Request for Interim Order. If granted: Apollo Oil Company would receive an exception from the mandatory petroleum allocation regulations, 10 CFR 211, on an interim basis pending a final determination on its application for exception (Case No. DEE-2427).
Dec. 28, 1979	Bragg Service Center, Inc., New York, New York	BEE-0575	Price Exception. If granted: Bragg Service Center, Inc. would receive an exception which would permit the firm to sell motor gasoline at a price above the applicable ceiling price.
Dec. 28, 1979	Chevron USA, Inc., San Francisco, California	BEE-0576	Price Exception (Section 212.83) If granted: Chevron USA, Inc. would be granted an exception from the provisions of 10 CFR 212.83 regarding adjustment to base period marketing costs.
Dec. 28, 1979	Demetriou, Del Guercio, & Lovejoy, Los Angeles, California.	BFA-0112	Appeal of an Information Request Denial. If granted: The December 13, 1979 Information Request Denial issued by the Department of Energy would be rescinded and Demetriou, Del Guercio & Lovejoy would receive access to certain DOE information.
Dec. 28, 1979	Husky Oil Company, Denver, Colorado	BEA-0120	Appeal of an Assignment Order. If granted: The October 19, 1979 Assignment Order issued to Husky Oil Company by the Economic Regulatory Administration, Region VIII, regarding Husky Oil Company's supply obligations to American Petrofina would be rescinded.
Dec. 28, 1979	Koch Industries, Inc., Washington, D.C.	BEA, BES, BST-0115 and BEA, BES, BST-0116.	Appeal of Assignment Orders; Request for stay and temporary stay. If granted: The December 17, 1979 and December 18, 1979 Assignment Orders issued to Koch Industries, Inc. by the Economic Regulatory Administration, Region V, regarding the firm's supply obligations to Monarch Oil Company and Prairie State Petroleum would be rescinded. Koch Oil Company would also receive a stay and temporary stay of the Assignment Orders pending a final determination on its Appeals.
Dec. 28, 1979	Lake Charles Refining Company, Washington, D.C.	BEA-0113	Appeal of the Entitlements Notice. If granted: The September 1979 Entitlements Notice would be modified with respect to Lake Charles Refining Company's entitlements purchase obligations.

List of Cases Received by the Office of Hearings and Appeals—Continued

[Week of Dec. 28 1979, through Jan. 4, 1980]

Date	Name and location of applicant	Case No.	Type of submission
Dec. 28, 1979	Shell Oil Company, Houston, Texas	BEH-0008	Request for Evidentiary Hearing. If granted: An evidentiary hearing would be convened in connection with the Statement of Objections submitted by Shell Oil Company in response to the Proposed Decision and Order issued to Commonwealth Oil Refining Co., Inc. on November 30, 1979.
Dec. 28, 1979	Stiers Chevron Station, San Jose, California	BRW-0003	Proposed Remedial Order finalization. If granted: A Proposed Remedial Order issued to Stiers Chevron Station would be issued as a final Remedial Order.
Dec. 28, 1979	Total Petroleum, Inc., Detroit, Michigan	BEL-0024	Request for Temporary Exception (Gasohol Prices). If granted: Total Petroleum, Inc. would receive a temporary exception from the provisions of 10 CFR 212.83 which would allow the firm to pass through incremental expenses relating to the blending, storage, distribution and marketing of gasohol.
Dec. 28, 1979	Union Oil Company of California, Los Angeles, California.	BEX-0022	Supplemental Order. If granted: The December 21, 1979 Proposed Decision and Order (Case No. DEE-5748) issued to Union Oil Company of California would be modified.
Dec. 28, 1979	Atlantic Richfield Company, Los Angeles, California	BEJ-0013	Protective Order. If granted: A Protective Order signed by Atlantic Richfield Company and Merit Oil Company would be issued as an Order by the DOE.
Dec. 31, 1979	Exxon Company, USA, Houston, Texas	BRH, BRD-0177.	Request for Evidentiary Hearing, Motion for Discovery. If granted: Discovery would be granted to Exxon Company, USA and an Evidentiary Hearing would be convened in connection with the Statement of Objections submitted in response to the November 5, 1979 Proposed Remedial Order (Case No. BRO-0177) issued to Exxon Company, USA.
Dec. 31, 1979	Laketon Asphalt Refining, Inc., Evansville, Indiana	BEE-0583	Exception from the Entitlements Program. If granted: Laketon Asphalt Refining, Inc. would receive an exception from the provisions of 10 CFR 211.67 which would modify the firm's entitlements purchase obligations.
Dec. 31, 1979	Marathon Oil Company, Findlay, Ohio	BEA-0121, BEA-0122, BEA-0123.	Appeal of Assignment Orders. If granted: The November 27 and 28, 1979 Assignment Orders issued to Marathon Oil Company by the Economic Regulatory Administration, Office of Fuels Regulation, Region IV, regarding Marathon Oil Company's supply obligations to B. W. Simpkins Oil, Inc., Palm Beach Oil, Inc. and Treasure Coast Oil, Inc. would be rescinded.
Dec. 31, 1979	Mobil Oil Corporaiton, New York, New York	BEJ-0012	Protective Order. If granted: The November 20, 1979 Protective Order (Case No. BEJ-0001) would be supplemented regarding the confidentiality of certain information provided to Mobil Oil Corporation, Koch Refining Co. and Ashland Oil, Inc. by the Williams Pipeline Co., the ERA, and the State of Minnesota.
Jan. 2, 1980	Air Conditioning and Refrigeration Institute, Arlington, Virginia.	BEE-0618, BES-0618, BST-0618.	Exception from the Energy Conservation Program, for Consumer Products. If granted: Air Conditioning and Refrigeration Institute would not be required to perform energy efficiency tests of its terminal air-conditioners as required under 10 CFR Part 430. The firm would receive a stay and temporary stay pending a final determination on its application for exception.
Jan. 2, 1980	Amoco Oil Company, Chicago, Illinois	BEA-0118	Appeal of an Assignment Order. If granted: The November 21, 1979 Assignment Order issued to Amoco Oil Company by the Economic Regulatory Administration, Region V, regarding Amoco's supply obligations to U.S. Oil would be rescinded.
Jan. 2, 1980	Cities Service Company, Tulsa, Oklahoma	BEL-0012	Request for Temporary Exception (Gasohol Prices). If granted: Cities Service Company would receive a temporary exception which would permit the firm to pass through incremental expenses relating to the blending, storage, distribution and marketing of gasohol. *See* 10 CFR 212.83.
Jan. 2, 1980	Cities Service Company, Tulsa, Oklahoma	BEA-0117	Appeal of an Assignment Order. If granted: The November 21, 1979 Assignment Order issued to Cities Service Company by the Economic Regulatory Administration, Region V, regarding Cities Service Company's supply obligations to U.S. Oil would be <rescinded.
Jan. 2, 1980	Clark Oil Refining Corporation Milwaukee, Wisconsin.	BEA-0119	Appeal of an Assignment Order. If granted: The November 21, 1979 Assignment Order issued to Clark Oil Refining Corp. by the Economic Regulatory Administration, Region V, regarding Clark Oil Refining Corporation's supply obligations to U.S. Oil would be rescinded.
Jan. 2, 1980	Clark, Garner C., Dallas, Texas	BRH-0050	Request for Evidentiary Hearing. If granted: An evidentiary hearing would be convened in connection with Case No. BRO-0050, which is a proceeding concerning a Proposed Remedial Order.
Jan. 2, 1980	Lloyd J. Engeran, Hammond, Louisiana	BEE-0676	Temperature Exception. If granted: Lloyd J. Engeran would receive an exception from the provisions of 10 CFR Part 490, the Emergency Building Temperature Restrictions.
Jan. 2, 1980	Natural Gas Utility District of Hawkins County Tennessee, Rogersville, Tennessee.	BEE-0602	Exception to the Reporting Requirements. If granted: Natural Gas Utility District of Hawkins County Tennessee would not be required to file Form EIA-143, "Natural Gas Supply Requirements and Usage".
Jan. 2, 1980	Sage Creek Refining Co., Cowley, Wyoming	BEE-0604	Exception from the Entitlements Program. If granted: Sage Creek Refining Company would receive an exception which would modify its entitlements purchase obligations. See 10 CFR 211.67.
Jan. 2, 1980	Standard Oil Company (Ohio), Cleveland, Ohio	BRD-0022	Motion for Discovery. If granted: Discovery would be granted to Standard Oil Company (Ohio) in Case No. DRO-0197, which is a proceeding concerning a Proposed Remedial Order.
Jan. 2, 1980	Sun Oil Company of Pennsylvania, Philadelphia, Pennsylvania.	BES, BST-0041; BES, BST-0042.	Request for Stay, Temporary Stay. If granted: Sun Oil Company (Sun) of Pennsylvania would receive a stay and a temporary stay of an order issued to it by the Office of Hearings and Appeals on August 28, 1979, pending a final determination on its Application for Modification or Rescission (Case No. BMR-0017). Sun also would receive a stay and a temporary stay of the October 11, 1979 Assignment Order issued to it by the Economic Regulatory Administration, Region VII, pending a final determination on its Appeal of an Assignment Order (Case No. BEA-0071).
Jan. 3, 1980	BYS, Inc., Duval County, Texas	BXE-617	Extension of crude oil price relief. If granted: BYS, Inc. would be permitted to continue to sell the crude oil produced from the Numble-Dowdy Fee Lease, located in Duval County, Texas, at upper tier ceiling prices. *See Universal Mineral Corporation,* 4 DOE Par. —— (September 28, 1979).
Jan. 3, 1980	Chevron U.S.A., Inc., San Francisco, California	BEE-0614; BEL-0614.	Temporary Exception (Gasohol Prices). If granted: Chevron U.S.A., Inc. would receive an exception which would permit the firm to pass through incremental expenses relating to the blending, storage, distribution and marketing of gasohol. *See* 10 CFR 211.83. The firm would receive a temporary exception pending a final determination on its application for exception.
Jan. 3, 1980	Mobil Oil Corporation, AMF O'Hare, Illinois	BES-0110	Request for Stay. If granted: Mobil Oil Corporation would receive a stay of the November 21, 1979, Assignment Order issued to the firm by the Economic Regulatory Administration, Office of Petroleum Operations, Region V, with respect to Mobil Oil Corporation's supply obligations to U.S. Oil Company.
Jan. 3, 1980	Publix Oil Company, Washington, D.C.	BEJ-0014	Protective Order. If granted: A Protective Order would be issued to ensure the confidentiality of information supplied by Publix Oil Company to Gulf Oil Corporation in connection with an October 5, 1979 Proposed Decision and Order (Case No. DEL-8115) and a November 30, 1979 Proposed Decision and Order (Case No. DEE-8115).
Jan. 3, 1980	Silver Eagle Refining Company, Inc., Salt Lake City, Utah.	BES-0043	Request for Stay. If granted: Silver Eagle Refining Company, Inc. would receive a stay from the provisions of 10 CFR 211.67 with respect to the firm's entitlements purchase obligations for December 1979.
Jan. 4, 1980	Apex Oil Company, Washington, D.C.	BFA-0125	Appeal of Information Request Denial. If granted: The December 5, 1979 Information Request Denial issued by the Central Enforcement District of the Department of Energy would be rescinded, and Apex Oil Company would receive access to certain DOE information.

Uncontested Remedial Orders—Week of December 28, 1979 to January 4, 1980

The Economic Regulatory Administration has petitioned pursuant to 10 CFR, Subpart O, for the following Proposed Remedial Orders to be issued in final form on the ground that there has been no Statement of Objection filed:

Date of Proposed Remedial Order, Recipient of Order, Location, and Case No.

October 16, 1979; William Pinkoske; Blasdell, New York—BRW–0010
October 30, 1979; Rich's Shell Service; Manteca, California—BRW–0007
October 9, 1979; Price Wagon Auto Service, Inc.; Aliquippa, Pennsylvania—BRW–0008
September 13, 1979; Monts Texaco; Columbia, South Carolina—BRW–0012
September 27, 1979; Long Point Marina; Bemus Point, New York—BRW–0013
July 17, 1979; JW Oil Company; Casper, Wyoming—BRW–0006
September 27, 1979; Gugino's Exxon; Niagara Falls, New York—BRW–0009
October 12, 1979; Edward Pace; Buffalo, New York—BRW–0011
September 24, 1979; Airport Texaco; Miami, Florida—BRW–0005
September 27, 1979; Al Sellers Union 76; Milpitas, California—BRW–0004

Gasohol Exception Applications—Week of December 28, 1979 thru January 4, 1980

The following firms have requested exception relief from the provisions of 10 CFR Part 211 so that they can obtain allocations of gasoline for the purpose of blending and marketing gasohol:

Firm, Case No. and Location

Gasohol of Wisconsin, Inc.; BEE–0574—Elm Grove, Wisconsin
Holland Oil Company; BEE–0567—Hayward, California
J. T. Collier & Sons Oil Company; BEE–0566—Jesup, Georgia
Putnam Fuels, Inc.; BEE–0569—North Bergen, New Jersey
Russell Steward Oil Company; BEE–0570—Urbana, Illinois
Uselton Oil Co., Inc.; BEE–0580—Pekin, Illinois
Werner Oil Company; BEE–0572—Higginsville, Missouri
Como Oil Company; BEE–0584—Duluth, Minnesota
Dickerson Petroleum, Inc.; BEE–0588—Belleville, Illinois
Knodle Oil Company; BEE–0586—Leaf River, Illinois
Valpo Oil Company; BEE–0591—Valparaiso, Indiana
Hoffman Corner Oil Company, Inc.; BEE–0610—White Bear Lake, Minnesota
Cray Energy; BEE–0616—Bellows Falls, Vermont

Motor Gasoline Allocation Cases Received During the Week of December 28, 1979 thru January 4, 1980

The following firms have applied for an exception from 10 CFR Part 211 so that they may be assigned an increased allocation of motor gasoline.

Date Received; Name; Case No. and State

12/28/79; Baker Oil Company; BEE–0600—Tennessee
12/28/79; County Beverage Corp.; BEE–0577—Maryland
12/28/79; Friendly Oil Company; BEE–0571—California
12/28/79; Hugh T. Wilder; BEE–0578—Virginia
12/28/79; Lakeview Exxon; BEE–0568—California
12/28/79; Petrol Enterprises; BEE–0573—Florida
12/28/79; Urbano Service Station; BEL, BEE–0613—California
12/28/79; Whiddon Road Exxon; BEE–0601—Georgia
12/28/79; White Water General Store; BEE–0579—Colorado
12/30/79; Doc's Country Deli; BEE–0563—Pennsylvania
12/31/79; Billy's Pinentel; BEE–0587—California
12/31/79; Coastland Chevron; BEE–0590—Florida
12/31/79; F. L. Roberts & Company; BEE–0585—Washington, D.C.
12/31/79; Torrance Unified School District; BEE–0586—California
1/2/80; Air France; BEE–0608—Texas
1/2/80; Aldo Gas; BEE–0609—Iowa
1/2/80; Colonial Shell; BXE–0603—Connecticut
1/2/80; Ed's Garage; BEE–0632—Connecticut
1/2/80; Kenilworth Car Wash; BXE–0605—Maryland
1/2/80; Phifer's Union 76; BEE–0606—North Carolina
1/2/80; Republican Supply Co. of California; BEE–0611—California
1/3/80; M. B. & G. American Accessory; BEE–0615—West Virginia
1/3/80; Miller Brothers, Inc.; BEE–0644—Oklahoma

Notices of Objection Received—Week of December 28, 1979 to January 4, 1980

Date, Name and Location of Applicant, and Case No.

12/28/79; D & J Poultry, Dover, Florida—BEO–0628
12/28/79; Jim's Amoco, Ashtabula, Ohio—BEO–0610
12/28/79; Johnson Service Station, Jacksonville, Florida—BEO–0611
12/28/79; Knickerbocker Car Wash, Inc., Marion, Texas—BEO–0612
12/28/79; Krantz Shell Service, New Philadelphia, Ohio—BEO–0613
12/28/79; Lincoln Land Oil Company, Springfield, Illinois—BEO–0614
12/28/79; Mayo D–4 Service Station, Dresden, Tennessee—BEO–0615
12/28/79; Mitchell's Exxon, Reading, Pennsylvania—BEO–0616
12/28/79; Pellum's Amoco, Waterboro, South Carolina—BEO–0617
12/28/79; Stateline Texaco, South Lake Tahoe, California—BEO–0618
12/28/79; Stockbridge Motors, Stockbridge, Massachusetts—BEO–0619
12/28/79; Amoco Oil Company, Madison, Wisconsin—BEO–0625
12/28/79; Four Corners Standard, Villa Park, Illinois—BEO–0626
12/28/79; D & D Gulf Service, Fitchburg, Massachusetts—DEE–7179
12/28/79; Craft's Superette, Chandler, Texas—BEO–0600
12/28/79; Bolling & Macklins's Shell, Chicago, Illinois—BEO–0601
12/28/79; Charles Vass ARCO Station, Antioch, California—BEO–0602
12/28/79; College Service Chevron, Anaheim, California—BEO–0603
12/28/79; Onyx Corporation, New York, New York—BEE–0013
12/28/79; Lawrence & Sons Oil Company Inc., Washington, D.C.—BEE–0237
12/28/79; Cleland's Oil Company, Wagoner, Oklahoma—DEE–4124
12/28/79; Union Oil Company of California, Washington, D.C.—DEE–5748
12/31/79; Northwest Land Company, Denver, Colorado—BEO–0641
12/31/79; Oakwood Mid State Truck, Oakwood, Illinois—BEO–0531
12/31/79; Occidental Chemical Co., White Spring, Florida—BEO–0642
12/31/79; Wallace, R. D., Bumpus Mills, Tennessee—BEO–0643
12/31/79; Silver Spray Car Wash, Mequon, Wisconsin—BEO–0644
12/31/79; Steve's Car Wash, Topeka, Kansas—BEO–0546
12/31/79; United Sanitation Co., El Monte, California—BEO–0646
12/31/79; Indian Rocks "66", Largo, Florida—DEE–5935
12/31/79; Marshall Oil Co., Inc., Wake Forest, North Carolina—DEE–7869
12/31/79; B & B Oil Company, Washington, D.C.—DEE–5854
12/31/79; Budget Rent A Car of Louisville, Louisville, Kentucky—DEE–6992
12/28/79; Dana Fritz Ashland Oil Station, Greenup, Kentucky—BEO–0559
12/28/79; Freedom Car Wash, Watsonville, California—BEO–0585
12/28/79; D & J Marathon, Bremen, Indiana—BEO–0604

12/28/79; Foley's Chevron & Drive In Dairy, Orange, California—BEO–0605
12/28/79; Gordon's Marathon, Stevensville, Michigan—BEO–0606
12/28/79; H.M.H. Supply Inc., Bear Creek, Alabama—BEO–0607
12/28/79; Gary Hammond, Maryland Heights, Missouri—BEO–0608
12/28/79; Higgins, Virginia, Bellaire, Ohio—BEO–0609
12/28/79; The Tanner Companies, Etiwanda, California—BEO–0620
12/28/79; Tony's Lighthouse Chevron, San Francisco, California—BEO–0621
12/28/79; Wharfside Marina, Del Ray Beach, Florida—BEO–0622
12/28/79; Wooldridge Organization, Walnut Creek, California—BEO–0623
12/28/79; Jack Hardi Exxon Service, Anaheim, California—BEO–0624
12/28/79; Milner Super Gas, Inc., Washington, D.C.—BEE–0252
1/2/80; Wilson's Friendly Service, Davenport, Iowa—BEO–0148
1/2/80; Greenhaven Auto Center, Sacramento, California—BEO–0528
1/2/80; Peterson Mobil Service, Two River, Wisconsin—BEO–0595
1/2/80; O'Halloran Oil Corporation, Perry, Oklahoma—BEO–0647
1/2/80; Wetherington & Houser Service Co., Arkadelphia, Arkansas—BEO–0648
1/2/80; Don's Texaco & Tire Service, Puyallup, Washington—BEO–0649
1/2/80; Driftwood Dairy, El Monte, California—BEO–0650
1/2/80; Ed Mareusz ARCO, Vallejo, California—BEO–0651
1/2/80; Hullings, Seattle, Washington—BEO–0652
1/2/80; I. D. Johnson & Company, Hood River, Oregon—BEO–0653
1/2/80; Juanita Shell, Kirkland, Washington—BEO–0654
1/2/80; Wimmers Grocery & Texaco Service, Shawsville, Virginia—BEO–0666
1/2/80; Village Standard, Brooklyn, Michigan—BEO–0665
1/2/80; Bailey, Lonnie, St. Louis, Mississippi—BEO–0664
1/2/80; Al's Super Shell, Bruce, Wisconsin—BEO–0663
12/31/79; Don's Arco, Portland, Oregon—BEO–0634
12/31/79; Ben-Al Oil Co., Springfield, Illinois—BEO–0631
12/31/79; Baggs Million Item Store, Lakeview, Ohio—BEO–0630
12/31/79; Al's Texaco, Hollywood, Florida—BEO–0629
12/31/79; Swartz, J. L., High Spring, Florida—BEO–0639
12/31/79; Mounts Exxon, Belfry, Kentucky—BEO–0640
1/2/80; Advanced Sale Corp., Washington, D.C.—DEE–8251
1/3/80; Saffari Mobil Service, Supulveda, California—DEE–7478
12/31/79; Horeshoe Exxon, Jarreau, Louisiana—BEO–0638
12/31/79; Hermosa Car Wash, Hermosas Beach, California—BEO–0637
12/31/79; J.R.'s Servicenter, Arona, Pennsylvania—BEO–0636
12/31/79; Gas Unlimited, Cornelius, Oregon—BEO–0635
12/31/79; Eighth & Beech Sunoco, Detroit, Michigan—BEO–0633
12/31/79; Bob Lee's, St. Petersburg, Florida—BEO–0632
1/2/80; Nichelini Plastering, Inc., San Rafael, California—BEO–0655
1/2/80; Olsen, Robert L., Lynnwood, Washington—BEO–0656
1/2/80; Sammy's Mini Mart, Mackay, Idaho—BEO–0658
1/2/80; Sandy Oil Company, Sandy, Oregon—BEO–0659
1/2/80; Sorenson's Graham Garage, Graham, Washington—BEO–0660
1/2/80; Wilhelm Garage & Auto, Peoria, Arizona—BEO–0661
1/2/80; Valley Center Supply, Shelton, Washington—BEO–0662
1/2/80; Flower Exxon Service Center, Takoma Park, Maryland—DEE–5536
1/3/80; Bev's Beauty Salon, Concord, New Hampshire—BEO–0667
1/3/80; Middlebrook Exxon, Bound Brook, New Jersey—BEO–0668
1/3/80; Mayo's Service Station, Dresden, Tennessee—BEO–0670
1/3/80; Valley West Amoco, West Des Moines, Iowa—BEO–0671
1/3/80; Greenfield-Six Mile Shell, Detroit, Michigan—BEO–0672
1/3/80; Les Frances Auto Rental Leasing & Investment Corp., Bridgeton, Missouri—BEO–0673
12/31/79; McMillen, Dennis, Omaha, Nebraska—BEO–0674
1/3/80; Morton Servicenter, Morton, Illinois—BEO–0675
1/3/80; Rick's Green Trails Texaco, Chester, Missouri—BEO–0676
1/3/80; West Center, Inc., Omaha, Nebraska—BEO–0677
1/3/80; Continental Car Wash, Los Angeles, California—BEO–0678
1/3/80; Midway Oil Company, Milwaukee, Wisconsin—DEE–4152
1/3/80; Prospect Auto Repair, Cambridge, Massachusetts—DEE–6383
1/4/80; City of Long Beach, Long Beach, California—BXE–0341
1/4/80; Lake Oil Company, Washington, D.C.—BEE–0150
1/3/80; Copeland Grocery, Woodland, Washington—BEO–0679
1/3/80; D. U. Exxon, Denver, Colorado—BEO–0680
1/2/80; Food & Gas Company, Renton, Washington—BEO–0681
1/3/80; Glazier Garth, Kanab, Utah—BEO–0683
1/3/80; Harris Farms, Watsonville, California—BEO–0684
1/2/80; Oakland Tribune, Oakland, California—BEO–0685
1/2/80; Parkway Grocery & Gasoline, Port Angeles, Washington—BEO–0686
12/28/79; Stockbridge Motors, Stockbridge, Massachusetts—DEE–6765
12/28/79; Union Oil Co. of Pennsylvania, Washington, D.C.—DEE–5748
1/2/80; Maetex Supply Co., Provo, Texas—BEO–0736

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Issuance of Decisions and Orders; Week of January 28 through February 1, 1980

Notice is hereby given that during the week of January 28 through February 1, 1980 the Decisions and Orders summarized below were issued with respect to Appeals and Applications for Exception or other relief filed with the Office of Hearings and Appeals of the Department of Energy. The following summary also contains a list of submissions which were dismissed by the Office of Hearings and Appeals and the basis for the dismissal.

Appeals

Apex Oil Co., Clayton, Mo.; BFA–0125, freedom of information

Apex Oil filed an Appeal from a partial denial by the DOE District Manager of the Central Enforcement District of a Request for Information which the firm had submitted under the Freedom of Information Act (FOIA). In denying the Appeal, the DOE found that the District Manager properly withheld portions of the requested intra-agency memoranda pursuant to Exemption 5. The DOE also rejected the appellant's position that the FOIA required disclosure of any document that could conceivably be disclosed in litigation.

Graber, Stetler & Townsend, Alexandria, Va.; BFA–0078 freedom of information

Graber, Stetler & Townsend filed an Appeal from a denial by the Director of the DOE Office of Classification of a Request for Information which the firm has submitted under the Freedom of Information Act (the FOIA). In considering the Appeal, the DOE found that portions of the two documents which were initially withheld under Exemption 3 were inadvertently withheld and should be released to the public.

Hartford Electric Light Co.; Connecticut Light & Power Co., Hartford, Conn.; DEA–0446, DEA–0447, DED–0446, coal

The Hartford Electric Light Company and the Connecticut Light and Power Company filed an Appeal from the rescission by the Economic Regulatory Administration of two Prohibition Orders issued to the firms on June 30, 1977. The Prohibition Orders, if made effective, would have prohibited the firms from using oil on natural gas at the firms' five power generating plants. In considering the firms' Appeal from the Rescission Orders, the DOE found that the ERA acted properly under the provisions of the Energy Supply and Environmental Coordination Act of 1974 and the applicable DOE regulations, and that the possibility for further coal conversion proceedings under the superseding Fuel Use Act legislation does not render the Rescission Orders invalid. Accordingly, the Appeal was denied. With respect to a Motion for Discovery filed by the firms, the DOE found that the firms had failed to establish a basis for deposing certain DOE officials who participated in the issuance of the Rescission Orders that were the subject of the Appeal.

Koch Industries, Inc., Wichita, Kans., DEA–0633 through DEA–0637, motor gasoline

Koch Industries, Inc. filed five Appeals from order issued to the firm in July 1979 by the Region II Office of Petroleum Operations of the Economic Regulatory Administration. Those orders directed the firm to supply motor gasoline to five firms located in the State of New Jersey. In considering the Appeals, the DOE found that the orders had not been issued in accordance with DOE procedural regulations. Accordingly, the Appeals were granted, and the matter remanded for selection of new suppliers in accordance with the provisions of the Decision and Order.

Mobil Oil Corp.; Total Petroleum, Inc., New York, N.Y.; Detroit, Mich.; BEA–0083, BEA–0101, crude oil

Mobil Oil Corporation and Total Petroleum, Inc. filed Appeals from Supplemental Allocation Notices that the Economic Regulatory Administration issued under the Canadian Crude Oil Allocation Program for the October 1 through December 31, 1979 allocation period. In support of their positions, the firms maintained that the ERA could not accept amended nominations from other companies after the time period set forth in 10 CFR 214.31(h). In considering the Appeals the DOE held that the provisions contained in the Preamble to the Final Rule which amended the Canadian Crude Oil Allocation Program (42 Fed. Reg. 29295 (June 8, 1977)) indicated that the ERA was required to permit Murphy Oil Corporation and Ashland Oil, Inc. to submit amended nominations after the deadline established in 10 CFR 214.31(h) because of the cutoff of Canadian light crude oil exports. The DOE therefore determined that Mobil's and Total's Appeals should be denied.

Williams and Connolly, Washington, D.C.; BFA–0128, freedom of information

Williams & Connolly filed an Appeal from a denial by the DOE Deputy General Counsel for Enforcement and Litigation of a Request for Information which the firm had submitted under the Freedom of Information Act (the FOIA). Williams & Connolly sought information pertaining to the application of federal criminal sanctions to violations of DOE regulations. In considering the Appeal, the DOE found that, although portions of all the documents in question were properly withheld under either Exemption 5 or Exemption 7(A) of the FOIA, many of the documents contained segregable non-exempt factual material which should be released to the public. The Appeal was accordingly granted.

Remedial Orders

George Alexiades, Elizabeth, N.J.; DRO–0290, motor gasoline

George Alexiades objected to an Interim Remedial Order for Immediate Compliance (IROIC) issued to him d/b/a George's Shell, Inc. by the State of New Jersey Department of Energy (New Jersey) on July 5, 1979. In the IROIC, New Jersey found that George's (i) failed to post and maintain the maximum lawful selling price for each grade of motor gasoline on the face of each of its pumps, (ii) failed to keep and maintain required records necessary to support the lawfulness of the selling price being charged and (iii) charged prices for motor gasoline which exceeded the maximum allowable price the firm was permitted to charge under the provisions of 10 CFR Part 212. The firm failed to file a Statement of Objections in a timely manner. The DOE therefore concluded that George's Shell, Inc. no longer wished to object to the IROIC and accordingly issued the IROIC as a final order of the Department of Energy.

Harrison Gas & Oil, Inc., Los Angeles, Calif., BRW–0015, motor gasoline

The Economic Regulatory Administration (ERA) filed a Motion to Issue a Remedial Order on January 7, 1979. In its Motion, the ERA stated that Harrison Gas & Oil, Inc. had failed to file a timely Notice of Objection and therefore was deemed to have admitted the findings of fact and conclusions of law as stated in the Proposed Remedial Order. The DOE found that Harrison had received an extension of time within which Harrison could file its Statement of Objections to the Proposed Remedial Order. The DOE therefore concluded that the Motion should be dismissed.

Perez's Amoco, Elizabeth, N.J.; DRO–0289, motor gasoline

Perez's Amoco, Inc. objected to an Interim Remedial Order for Immediate Compliance (IROIC) issued to the firm by the State of New Jersey Department of Energy (New Jersey) on July 5, 1979. In the IROIC, New Jersey found that Perez's (i) failed to post and maintain the maximum lawful selling price for each grade of motor gasoline on the face of each of its pumps, (ii) failed to keep and maintain required records necessary to support the lawfulness of the selling price being charged, and the (iii) charged prices for motor gasoline which exceeded the maximum allowable price the firm was permitted to charge under the provisions of 10 CFR Part 212. The firm failed to file a Statement of Objections within the time limits prescribed by DOE regulations. The DOE therefore concluded that Perez's Amoco, Inc. no longer wished to object to the IROIC and accordingly issued the IROIC as a final order of the Department of Energy.

Triangle J Oil Co., Denver, Colo.; DRO–0206, Crude Oil

Triangle J Oil Company (Triangle J) filed a Statement of Objections to a Proposed Remedial Order (PRO) that the Rocky Mountain District Office of the DOE's Economic Regulatory Administration (District Office) issued to the firm on March 13, 1979. In that PRO, the District Office determined that during the audit period September 1, 1973 through February 29, 1976, Triangle J had sold certain amounts of crude oil produced on the State Lease in Washington County, Colorado at prices exceeding the maximum lawful selling prices. Specifically, the PRO charged that Triangle J had sold the crude oil produced from a second well drilled on the lease at upper tier ceiling prices without first eliminating the current cumulative deficiency for the State Lease. In response, Triangle J argued that the State Lease had not incurred a current cumulative deficiency and that the second well constituted a new property with a Base Production Control Level of zero barrels.

In considering the firm's Statment, the DOE rejected Triangle J's contention that its technically deficient certification of production of "new" crude oil from the State Lease in November 1973 could not trigger the operation of the current cumulative deficiency provisions. The DOE also rejected Triangle J's argument that Colorado's well-spacing regulations required treatment of the second well as a separate property, since those requirements were not a part of the lease agreement or fee interest. In addition, the DOE held that it was proper to serve the PRO on the operator of a crude oil producing property. However, the DOE modified the Proposed Remedial Order to require restitution by a specified price reduction until the repayment is completed and to require a lump sum repayment of any balance outstanding at the end of twelve months. Accordingly, Triangle J's objections were denied and the PRO as modified was issued as a final Remedial Order.

Kirkwood Oil & Gas Co., Washington, D.C.; DRA–0276, crude oil

The Kirkwood Oil and Gas Company filed an Appeal of a Revised Remedial Order that was issued to the firm on December 8, 1978. In the Appeal the firm sought the rescission of the Revised Remedial Order and a finding that the firm's Ruduik lease qualified as a stripper well property during 1973. In considering the Appeal, the DOE found that the firm had not demonstrated that the Revised Remedial Order was erroneous in fact or law or was arbitrary and capricious. Accordingly, Kirkwood's Appeal was denied.

Requests for Exception

Ball Shell Service, Asheville, NC.; BEE–6156, motor gasoline

Ball Shell Service filed an Application for Exception from the provisions of 10 CFR 211.102, in which the firm sought an increase in its base period allocation of motor gasoline. In considering the request, the DOE found that the firm would not experience any serious financial difficulty as a result of denial of exception relief. The DOE further determined that the residents of the market area served by Ball were not subject to a gross inequity or unfair distribution of burdens as a result of an unusual shortage of motor gasoline in the community served by the firm. Accordingly, exception relief was denied.

Chevron U.S.A., Inc., San Francisco, Calif.; DEE–5917, refined petroleum products

Chevron U.S.A., Inc. filed an Application for Exception from the provisions of 10 CFR 212.83(c)(2) in which the firm sought permission to exclude the carbon dioxide which it produces in the apportionment of costs between uncontrolled and price-controlled refined petroleum products. In considering the request, the DOE found that exception relief was necessary to prevent the firm from suffering a gross inequity. Accordingly, exception relief was granted.

City of Pleasant Grove, Pleasant Grove, Ala.; BEE–0211, reporting requirements

The City of Pleasant Grove, Alabama filed an Application for Exception from the reporting requirements of Form EIA–149

("Natural Gas, Supply, Requirements, and Usage"). Pleasant Grove requested that it be relieved of the requirement to file the form with the Energy Information Administration. In considering the request, the DOE found that the illness of the City's only employee qualified to complete the form prevented Pleasant Grove from complying with the reporting deadlines. Accordingly, exception relief was granted which permitted Pleasant Grove to file its data in simplified form, ninety days after the return to work of the employee or the appointment of a replacement for the employee.

City of Reno, Reno, Nev.; BEO–0465, motor gasoline

The City of Reno, Nevada filed an Application for Exception in which it requested an increase in its base period allocation of motor gasoline. The DOE found that the City had failed to show that the burdens its employees were encountering in purchasing gasoline directly at retail outlets were any different from the burdens which millions of other individuals are currently experiencing. As a result, the City's exception request was denied.

Gas Marketing, Inc., Stafford Kans., DEE–7646, Crude Oil

Gas Marketing, Inc. filed an Application for Exception from the provisions of 10 CFR, Part 212, Subpart D. Exception relief was granted to permit Gas Marketing to sell at market prices 100 percent of the crude oil produced from the Bayou Boullion 3–D Lease located in St. Martin Parish, Louisiana.

James R. Drummond, Inc., Alexandria, La., DEE–7679, Motor Gasoline

James R. Drummond, Inc. filed an Application for Exception from the provisions of 10 CFR 211.102 in which the firm sought an increase in its base period allocation of motor gasoline. In considering the request, the DOE noted that the firm sought to divert to two outlets in which it had made investments in 1979 the unused portion of the 1972 base period allocations of other stations it owned wholesales had declined in 1979 and 1978. The DOE found that no financial hardship existed with respect to the two stations in which the firm made investments and the firm was not experiencing a gross inequity as a result of the implementation of the new base period. Accordingly, exception relief was denied.

Kingsport Publishing Corp. d.b.a. Kingsport Times-News, Kingsport, Tn., DEE–4955, Motor Gasoline

Kingsport Publishing Corp. d.b.a. Kingsport Times-News filed an Application for Exception from the provisions of 10 CFR, Part 211 in which the firm sought an increase in its base period allocation of motor gasoline. In considering the request, the DOE found that the firm had failed to demonstrate that additional volumes of motor gasoline were required by the firm in order to continue its business operations. Accordingly, exception relief was denied.

Mason Valley Petroleum Dealers, Yerington, Nev., BXE–0673, Motor Gasoline

The Mason Valley Petroleum Dealers filed an Application for Exception from the provisions of 10 CFR, Part 211, which, if granted, would result in the extension of exception relief previously granted increasing the base period allocations of five retail service stations represented by Mason Valley. In its Application, Mason Valley stated that the increased allocations were necessary to meet increases in demand which resulted from the closings of other outlets during and after the base period. In considering the request the DOE determined that Mason Valley had met the criteria for exception relief specified in *James Tidwell Chevron*, 4 DOE Par. ——— (June 8, 1979). Accordingly, Mason Valley's Application for Exception was approved. The DOE determination was issued in final form pursuant to 10 CFR 205.69C(a).

Earl B. Plank, Pierce, Idaho, BEO–0083, Motor Gasoline

Earl B. Plank filed an Application for Exception from the provisions of 10 CFR 211.102 in which the firm sought an increase in its base period allocation of motor gasoline. In considering the request, the DOE found that exception relief was necessary because the base period constituted an anomolous period for purposes of measuring the present owner's full time operation. Accordingly, exception relief was granted.

Husky Oil Company, Denver, Colo., DXE–6327, Crude Oil

Husky Oil Company filed an Application for Exception from the provisions of 10 CFR, Part 212, Subpart D. Exception relief was granted to permit Husky Oil Company to sell at upper tier prices 100 percent of the crude oil produced from the Victory lease located in Santa Barbara, California.

Priest Explorations, Inc., Oklahoma City, Okla., DEE–8068, Crude Oil

Priest Explorations, Inc. filed an Application for Exception from the provisions of 10 CFR, Part 212, Subpart D. Exception relief was granted to permit Priest to sell 74.75 and 39.37 percent of crude oil produced for the benefit of the working interest owners from the Choate Well 3A and the Choate Well 4A, respectively, at market price levels not to exceed $30.94 per barrel.

Priest Explorations, Inc., Oklahoma City, Okla., DEE–8067, Crude Oil

Priest Explorations, Inc. filed an Application for Exception from the provisions of 10 CFR, Part 212, Subpart D. In considering the exception request for the Barnes Well 3A, the DOE found that the applicant would be able to operate that well on a profitable basis if the crude oil is sold at the applicable ceiling price. Accordingly, the DOE determined that exception relief should be denied for the Barnes Well 3A. With respect to the remaining well, exception relief was granted to permit Priest to sell 9.11 percent of the crude oil produced for the benefit of the working interest owners from the Barnes Well 2A at market price levels not to exceed $30.94 per barrel.

R. W. Tyson Producing Co., Inc., Jackson, Miss., DEE–4500 through DEE–4505, Crude Oil

R. W. Tyson Producing Company, Inc. filed six Applications for Exception from the provisions of 10 CFR, Part 212, Subpart D. Exception relief was granted to permit Tyson to sell at market prices a portion of the crude oil produced from each of the properties.

Saveway Super Service Stations, Inc., Las Vegas, Nev., DEE–3522, Motor Gasoline

Saveway Super Service Stations, Inc. (Saveway) filed an Application for Exception seeking an increase in its base period allocation of motor gasoline. In considering the request, the DOE found that the allocation fractions of the firm's suppliers were not significantly lower than the average national allocation fraction for the period in question. In addition, the DOE found that Saveway had not established that either residents or vacationers in Las Vegas, Nevada would bear a disproportionate share of the burdens of the nation's energy problems unless the firm received an increased allocation of motor gasoline. Accordingly, exception relief was denied.

Stan's Service, Murrieta, Calif., BEO–0384, Motor Gasoline

Stan's Service filed an Application for Exception from the provisions of 10 CFR 211.102 in which the firm sought an increase in its base period allocation of motor gasoline. In considering the request, the DOE found that the firm had made no significant alteration in its business practices since the end of the new base period. The DOE further found that the firm would not experience any serious financial difficulty as a result of denial of exception relief. Finally, the DOE determined that the residents of the market area served by Lavery were not subject to a gross inequity of unfair distribution of burdens as a result of an unusual shortage of motor gasoline in the community served by the firm. Accordingly, exception relief was denied.

Union Service Industries, Inc., Memphis, Tenn., BEO–0332, Motor Gasoline

Union Service Industries, Inc. filed an Application for Exception from the provisions of 10 CFR 211.102 in which the firm sought an increase in its base period allocation of motor gasoline. In considering the request, the DOE found that the firm had made a capital investment in 1976 to renovate its operations. However, the DOE determined that the intended benefits of that investment could have been realized prior to the updating of the base period. In view of the fact that Union's operations were unprofitable since 1973, the DOE concluded that any financial difficulties which the firm may be experiencing are not directly attributable to the new base period. Accordingly, exception relief was denied.

Requests for Stay

Kerr-McGee Corporation, Oklahoma City, Okla., BES–0045, Motor Gasoline

Kerr-McGee Corporation filed an Application for Stay from the requirement that it offer motor gasoline for sale to the Marathon Oil Company and Chevron U.S.A., Inc. pursuant to the terms of a Decision and Order issued to San-Ann Service, Inc. on December 5, 1979. *San-Ann Service, Inc.*, 5 DOE Par. ——— (December 5, 1979). In considering the Application, the DOE

determined that Kerr-McGee would not be adversely affected if it were required to furnish the specified volumes of motor gasoline to Marathon and Chevron. The DOE also found that the firm had failed to satisfy any of the other stay requirements set forth in 10 CFR 205.125(b). The Kerr-McGee stay request was accordingly denied. However, Kerr-McGee's obligation to supply Marathon and Chevron with certain volumes of gasoline was stayed for a short period of time in order to allow the Federal Energy Regulatory Commission time to consider a similar stay request submitted by the firm.

Sun Oil Company of Pennsylvania; Atlantic Richfield Company; Texaco, Inc., Washington, D.C., BES–0100, BES–0104, BES–0105, Motor Gasoline

Sun Oil Company of Pennsylvania, Atlantic Richfield Company, and Texaco, Inc. filed Applications for Stay which, if granted, would stay the provisions of Assignment Orders issued to the firm by the Economic Regulatory Administration's Region V Office of Petroleum Operations on November 21, 1979. The November 21 Orders assigned the firms as base period suppliers of motor gasoline to U.S. Oil Company. Each firm was directed to provide U.S. Oil with approximately 3.8 million gallons of gasoline annually. In considering the firm's stay requests, the DOE concluded that the applicants had failed to demonstrate that the criteria for approval of a stay were met. The DOE also found that the public interest would best be served if the firms continue to provide U.S. Oil with motor gasoline pending a determination of their Appeals. However, the DOE also concluded that the Assignment Orders failed to address comments submitted by the applicants opposing their selection as new base period suppliers to U.S. Oil. Accordingly, the DOE directed the Office of Petroleum Operations, Region V to consider these comments and to issue Revised Assignment Orders to the firms involved.

Slant/Fin Corporation, Greenvale, N.Y., BST–0704, BES–0704, Test Procedures

Slant/Fin Corporation filed Applications for Stay and Temporary Stay of the provisions of 10 CFR, Part 430. In those Applications the firm requested that it not be required to perform energy efficiency test procedures on packaged terminal air conditioners that it manufactures. In considering the requests, the DOE determined that Slant/Fin might suffer significant financial injury in the absence of stay relief and that Slant/Fin had demonstrated a likelihood of success on the merits of its exception request. (Case No. BEE–0704). The Slant/Fin stay request was therefore granted.

Request for Temporary Stay

Economic Regulatory Administration, Washington, D.C., BST–0049, Crude Oil

The Economic Regulatory Administration of the Department of Energy (ERA) filed an Application for Temporary Stay of certain provisions of a Decision and Order issued to Industrial Fuel and Asphalt of Indiana, Inc. (IFAI) by the Office of Hearings and Appeals (OHA) on December 14, 1979. *Industrial Fuel and Asphalt of Indiana, Inc.*, 5 DOE Par. ——— (December 14, 1979). In that determination, the OHA remanded an ERA Decision and Order issued to IFAI on May 16, 1979, which had in substantial part denied IFAI's request for an emergency allocation of crude oil. The OHA determination was based in part on the finding that the regulations did not permit the ERA to calculate the amount of crude oil to be provided to IFAI on the basis of 95 percent of the national utilization rate times IFAI's base period runs to stills. In its Application for Temporary Stay, the ERA contended that the regulations did permit such a calculation. The ERA indicated that it intended to file a Petition for Reconsideration of the December 14, 1979 OHA determination. In considering the ERA temporary stay request, the OHA observed that a strong policy foundation appeared to exist for the ERA position and there might be considerable confusion on the part of other refiners should the present request be denied. The Temporary Stay was therefore granted.

Special Refund Procedures

Office of Enforcement, Washington, D.C., BFF–0004, Crude Oil

The Office of Enforcement of the ERA filed a Petition for the Implementation of Special Refund Procedures in connection with a Consent Order executed by the ERA and Delta Refining Company. In its Petition, the Office of Enforcement requested that the Office of Hearings and Appeals adopt special procedures for administering an escrow fund established pursuant to the Consent Order. In considering the request, the DOE found that in view of the small size of the refund amount, the proceeding was not appropriate for the implementation of Subpart V procedures. Accordingly, the Office of Enforcement's Petition was denied.

Supplemental Order

Union Oil Company of California, Los Angeles, Calif., BEX–0029, Crude Oil

The DOE issued a Supplemental Order to the Union Oil Company of California in which it found that the exception relief previously approved for the firm should be extended through February 29, 1980. The determination was based on the DOE's finding that additional exception relief was necessary in order to alleviate the unfair distribution of burdens that Union had been found to be experiencing under the DOE Regulations.

Interim Orders

City of Long Beach, Calif., Long Beach, Calif., BEN–0341, crude oil

On January 11, 1980, the City of Long Beach, California requested that it be permitted to implement immediately the relief specified in an exception Decision that was issued to the City in proposed form on December 21, 1979. In considering the request, the DOE found that the level of exception relief granted the firm in the Proposed Decision will only be increased if its objections are sustained. The City's request was therefore granted.

The following firms were granted Interim Exception relief which implements the relief which the DOE proposed to grant in an order issued on the same date as the Interim Order:

Company Name, Case No., and Location

Groveland Sales & Service, DEN–5620, Abington, MA.
Payne's Oil Co., Inc., DEN–2431, Clearwater, FL.
Servando Enriquez, DEN–7475, Dos Palos, CA.
Twin State Oil Co., BEN–0016, Tabor City, NC.
FS Services, Inc., BEN–0274, Bloomington, IL.

Protective Orders

The following firms filed Applications for Protective Orders. The applications, if granted, would result in the issuance by the DOE of the proposed Protective Order submitted by the firm. The DOE granted the following applications and issued the requested Protective Order as an Order of the Department of Energy:

Name, Case No., and Location

Energy Coop., Inc., BEJ–0017, Wash., DC.
Texaco, Inc., BED–0025, Stamford, CT.

Petitions Involving the Motor Gasoline Allocation Regulations

The following firms filed Applications for Exception, Temporary Exception, Stay, and/or Temporary Stay from the provisions of the Motor Gasoline Allocation Regulations. The requests, if granted, would result in an increase in the firms' base period allocation of motor gasoline. The DOE issued Decisions and Orders which determined that the requests be denied.

Company Name, Case No., and Location

Bar Harbour Service, DEO–0340, Massapequa, NY.
Bob's Autotorium, DEE–4668, Whitaker, PA.
Rudolph Sutton t/a Sutton's Gulf, DEO–0317, Lowellville, OH.

Dismissals

The following submissions were dismissed without prejudice to refiling at a later date:

Name and Case No.

A. C. Gas Co., DEE–6887.
Allan Automatic Sprinkler Corp., DEE–5578; DES–5578.
Campbell Oil Co., DEE–5127; DES–5127; DST–5127.
Crenshaw Oil Co., DEE–6635.
FS Services, Inc., BEL–0274.
McCart Gulf Tire Store, DEE–6712; DES–6712.
Yerby Oil Co., Inc., DEE–4575; DES–4575; DST–4575.
Bi-Rite Pet., Ltd., DEE–6675.
Globe Oil Co., DEE–4537.
Maurice L. Brown Co., DRO–0240.
Red Triangle Oil Co., DRO–0213.
Saddleback Self Service, DEE–4556.
Suffolk Oil Co., Inc., BEE–0542.
Tire World of Old Bridge, Inc., DEO–0327.
Yates Stores, Inc., DES–3091; DST–3091.
Arkansas Big A Truck Stop, DEE–7643.
Bridge St. Gulf, DEE–7022.
Central City Shell, DXE–8126.
Eugene Johnson, DEE–7677.
Texaco, Inc., DEA–0609.
U.S. Oil Co., BEL–0002.

Ally's Texaco, DEE–6716; DEE–6717.
Bill & Mike's Amoco, DEE–7798.
Frank Bower Chevron, DES–5906.
Haines Gulf, BRO–0123.
Glass Oil Co., Inc., DEE–5128; DES–5128; DST–5128.
Joe Rayl, Inc., BEE–0327.
Exxon Pet. Products, DEE–6489.
Las Vegas Baggage Service, DEE–5039.
Norman E. Whitney, Inc., DEE–7428.
T. J. DuBose, DEE–2406.
Union Oil of Cal., DEH–0557.
Universal Atlas Cement, BEO–0277.
Womack Construction Co., Inc., DEE–6049.
Mobil Oil Corp., BES–0083; BST–0083.
Total Pet., Inc., BES–0101; BST–0101.

Copies of the full text of these Decisions and Orders are available in the Public Docket Room of the Office of Hearings and Appeals, Room B–120, 2000 M Street, N.W., Washington, D.C. 20461, Monday through Friday, between the hours of 1:00 p.m. and 5:00 p.m., e.s.t., except Federal holidays. They are also available in *Energy Management: Federal Energy Guidelines*, a commercially published loose leaf reporter system.

Melvin Goldstein,

Director, Office of Hearings and Appeals.

March 10, 1980.

[FR Doc. 80–8646 Filed 3–20–80; 8:45 am]

BILLING CODE 6450–01–M

Issuance of Proposed Decisions and Orders, February 11 through February 15, 1980

Notice is hereby given that during the period February 11 through February 15, 1980, the Proposed Decisions and Orders which are summarized below were issued by the Office of Hearings and Appeals of the Department of Energy with regard to Applications for Exception which had been filed with that Office.

Under the procedures which govern the filing and consideration of exception applications (10 CFR, Part 205, Subpart D), any person who will be aggrieved by the issuance of the Proposed Decision and Order in final form may file a written Notice of Objection within ten days of service. For purposes of those regulations, the date of service of notice shall be deemed to be the date of publication of this Notice (March 21, 1980) or the date of receipt by an aggrieved person of actual notice, whichever occurs first. The applicable procedures also specify that if a Notice of Objection is not received from any aggrieved party within the time period specified in the regulations, the party will be deemed to consent to the issuance of the Proposed Decision and Order in final form. Any aggrieved party that wishes to contest any finding or conclusion contained in a Proposed Decision and Order must also file a detailed Statement of Objections within 30 days of the date of service of the Proposed Decision and Order. In that Statement of Objections an aggrieved party must specify each issue of fact or law contained in the Proposed Decision and Order which it intends to contest in any further proceeding involving the exception matter.

Copies of the full text of these Proposed Decisions and Orders are available in the Public Docket Room of the Office of Hearings and Appeals, Room B–120, 2000 M Street, NW., Washington, D.C. 20461, Monday through Friday, between the hours of 1:00 p.m. and 5:00 p.m. e.s.t., except federal holidays.

Melvin Goldstein,

Director, Office of Hearings and Appeals.

March 12, 1980.

Proposed Decision and Order

Asamera Oil (U.S.) Inc., Denver, Colo. DEE–0018–23, Crude Oil

Asamera Oil (U.S.) Inc. filed an Application for Exception from the provisions of 10 CFR, Part 212, Subpart D. The exception request, if granted, would permit the firm to sell at market prices a certain portion of the crude oil produced for the benefit of the working interest owners from six properties located in Duchesne County, Utah. On February 19, 1979, the Department of Energy issued a Proposed Decision and Order in which it tentatively determined that exception relief should be granted in part.

Ashland Oil, Inc., Ashland, Kentucky, BEE–0373, BSG–0007, Crude Oil

Ashland Oil, Inc. filed an Application for Exception and a Petition for Special Redress from the provisions of the Mandatory Petroleum Allocation Regulations. The requests, if granted, would assign other suppliers to sell crude oil to Ashland to replace crude oil supplies previously obtained from Iran. On February 11, 1980, the DOE issued a Proposed Decision and Order in which it tentatively determined that the Ashland requests should be granted in part.

Biofuel, Inc., Washington, D.C., BEE–0077, Gasohol

Biofuel, Inc. filed an Application for Exception from the provisions of 10 CFR, Part 211, Subpart F. The exception requests, if granted, would permit Biofuel to receive an increased allocation of unleaded motor gasoline for the purpose of blending gasohol. On February 12, 1980, the Department of Energy issued a Proposed Decision and Order in which it tentatively determined that the exception requests should be denied.

Coggin Oil Co., Caraway, Ark., BEE–0400, Gasohol

Coggin Oil Company filed an Application for Exception from the provisions of 10 CFR, Part 211, Subpart F. The exception request, if granted, would permit Coggin to receive an increased allocation of unleaded motor gasoline for the purpose of blending gasohol. On February 12, 1980, the Department of Energy issued a Proposed Decision and Order in which it tentatively determined that the exception request should be denied.

Geib Oil Co., Martin, Mich., BEE–0397, Gasohol

Geib Oil Company filed an Application for Exception from the provisions of 10 CFR, Part 211, Subpart F. The exception request, if granted, would permit the firm to receive an increased allocation of unleaded motor gasoline for the purpose of blending gasohol. On February 15, 1980, the Department of Energy issued a Proposed Decision and Order in which it tentatively determined that the exception request should be granted in part.

Miles Farm Supply, Inc., Owensboro, Ky., BEE–0089, Gasohol

Miles Farm Supply, Inc. filed an Application for Exception from the provisions of 10 CFR, Part 211, Subpart F. The exception request, if granted, would permit the firm to receive an increased allocation of unleaded motor gasoline for the purpose of blending gasohol. On February 13, 1980, the Department of Energy issued a Proposed Decision and Order in which it tentatively determined that the exception request should be granted.

Tropicana Petroleum, Ltd., Paramount, Calif., BEE–0426, Gasohol

Tropicana Petroleum, Ltd. filed an Application for Exception from the provisions of 10 CFR, Part 211, Subpart F. The exception request, if granted, would permit the firm to receive an increased allocation of unleaded gasoline for the purpose of blending gasohol. On February 12, 1980, the Department of Energy issued a Proposed Decision and Order in which it tentatively determined that the exception request should be denied.

Petitions Involving the Motor Gasoline Allocation Regulations

The following firms filed Applications for Exception from the provisions of the Motor Gasoline Allocation Regulations. The exception requests, if granted, would result in an increase in the firms' base period allocation of motor gasoline. The DOE issued Proposed Decisions and Orders which determined that the exception requests be granted.

Company Name, Case No., and Location

Petroleum Products, DEE–2888, Wash., DC.
Stockman Oil Two, DEE–6101, DEE–7909, Greenwood, SC.

Petitions Involving the Motor Gasoline Allocation Regulations

The following firms filed Applications for Exception from the provisions of the Motor Gasoline Allocation Regulations. The exception requests, if granted, would result in an increase in the firms' base period allocation of motor gasoline. The DOE issued Proposed Decisions and Orders which determined that the exception requests be denied.

Company Name, Case No., and Location

Aire Sheet Metal, Inc., DEE–5794, Redwood City, CA.
Anthony Alfano Serv., DEE–5228, Garden City Pk., NY.

CAF Industries, DEE–5235, Phoenix, AZ.
Crossroads Gulf, DEE–7619, Amherst, VA.
Cunningham Oil Co., DEE–3490, Columbus, GA.
Dick's Hilltio Arco, DEE–7069, Moses Lake, WA.
Ernie's Gen. Store, DEE–8330, Stockton, CA.
Hawthorne Lane Shell, DEE–7403, Charlotte, NC.
Haywood Oil Co., Inc., DEE–6842, Waynesville, NC.
Henderson Oil Co., DEE–4967, Henderson, KY.
Henkel's Arco, DEE–4203, Benicia, CA.
Highland Pk. Exxon, DEE–7583, Baton Rouge, LA.
Mim's Oil Co., DEE–6259, Paonia, CO.
Norwalk Currie Tire Co., Inc., DEE–7714, Norwalk, CT.
Palos Verdes Standard, DEE–6653, Denver, CO.
Plaza Car Wash, DEE–6095, Campbell, CA.
Slattery's Standard, DEE–3322, Elm Grove, WI.
Stevens Oil Co., DEE–5785, Las Vegas, NM.
Tripp Street Chevron, DEE–7199, Americus, GA.
Tuner Up of Boston, BEE–0055, Belmont, MA.
Wo Wo Wash, DEE–4573, San Fran., CA.

[FR Doc. 80–8647 Filed 3–20–80; 8:45 am]

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Issuance of Proposed Decisions and Orders; February 18 through February 22, 1980

Notice is hereby given that during the period February 18, through February 22, 1980, the Proposed Decisions and Orders which are summarized below were issued by the Office of Hearings and Appeals of the Department of Energy with regard to Applications for Exception which had been filed with that Office.

Under the procedures which govern the filing and consideration of exception applications (10 CFR Part 205, Subpart D), any person who will be aggrieved by the issuance of the Proposed Decision and Order in final form may file a written Notice of Objection within ten days of service. For purposes of those regulations, the date of service of notice shall be deemed to be the date of publication of this Notice (March 21, 1980) or the date of receipt by an aggrieved person of actual notice, whichever occurs first. The applicable procedures also specify that if a Notice of Objection is not received from any aggrieved party within the time period specified in the regulations, the party will be deemed to consent to the issuance of the Proposed Decision and Order in final form. Any aggrieved party that wishes to contest any finding or conclusion contained in a Proposed Decision and Order must also file a detailed Statement of Objections within 30 days of the date of service of the Proposed Decision and Order. In that Statement of Objections an aggrieved party must specify each issue or fact or law contained in the Proposed Decision and Order which it intends to contest in any further proceeding involving the exception matter.

Copies of the full text of these Proposed Decisions and Orders are available in the Public Docket Room of the Office of Hearings and Appeals, Room B–120, 2000 M Street, NW., Washington, D.C. 20461, Monday through Friday, between the hours of 1 p.m. and 5 p.m. e.s.t., except Federal holidays.

Melvin Goldstein,

Director, Office of Hearings and Appeals.

March 12, 1980.

Proposed Decision and Orders

Big D & W Refining & Solvents Co., Inc., Van Buren, Ark., DEE–7895, gasohol

Big D & W Refining and Solvents Company, Inc. filed an Application for Exception from the provisions of 10 CFR, Part 211, Subpart F. The exception request, if granted, would result in an Order assigning to the firm a supplier and base period volume of unleaded motor gasoline for the purpose of blending gasohol. On February 19, 1980, the Department of Energy issued a Proposed Decision and Order in which it tentatively determined that the exception request should be granted.

Gulf Oil Corp., Liberty County, Tex., BEE–0695 crude oil

Gulf Oil Corporation filed an Application for Exception from the provisions of 10 CFR, part 212, Subpart D. The exception request, if granted, would permit the firm to sell at upper tier ceiling prices a certain portion of the crude oil produced for the benefit of the working interest owners from the Kirby Lease located in Liberty County, Texas. On February 20, 1980, the DOE issued a Proposed Decision and Order in which it tentatively determined that exception relief should be granted in part.

Laketon Asphalt Refining Co., Evansville, Ind., BEE–0583 crude oil

Laketon Asphalt Refining Company filed an Application for Exception from the provisions of the Entitlements Program, 10 CFR 211.67. The exception request, if granted, would relieve the firm of its entitlements purchase obligations during the period March 1980 through August 1980. On February 22, 1980, the Department of Energy issued a Proposed Decision and Order in which it tentatively determined that the exception request should be granted in part.

Linn Co-Operative Oil Co., Marion, Iowa, DEE–6369, gasohol

Linn Co-operative Oil Company filed an Application for Exception from the provisions of 10 CFR 211.9. The exception request, if granted, would permit the firm to receive an increased allocation of both leaded and unleaded motor gasoline for the purpose of blending gasohol. On February 20, 1980, the Department of Energy issued a Proposed Decision and Order in which it tentatively determined that the exception request should be granted in part.

Lohr Petroleum Co., Columbus, Nebr., BEE–0432, gasohol

Lohr Petroleum Company, Inc. filed an Application for Exception from the provisions of 10 CFR 211, Subpart F. The exception request, if granted, would permit the firm to receive an increased allocation of unleaded motor gasoline for the purpose of blending gasohol. On February 20, 1980, the Department of Energy issued a Proposed Decision and Order in which it tentatively determined that the exception request should be granted in part.

Thriftway Co., Farmington, New Mex., DEE–5535, crude oil

Thriftway Company filed an Application for Exception from the provisions of the Crude Oil Buy/Sell Program, 10 CFR 211.65. The exception request, if granted, would permit the firm to participate in the Crude Oil Buy/Sell Program as a refiner-buyer. On February 20, 1980, the Department of Energy issued a Proposed Decison and Order in which it tentatively determined that the exception request should be denied.

Western Refining Co., Salt Lake City, Utah, BEE–0263, crude oil

Western Refining Company filed an Application for Exception from the provisions of the Entitlements Program, 10 CFR 211.67. The exception request, if granted, would prospectively relieve the firm of a portion of its entitlements purchase obligations. On February 21, 1980, the Department of Energy issued a Proposed Decison and Order in which it tentatively determined that the exception request should be denied.

Petitions Involving the Motor Gasoline Allocations Regulations

The following firms filed Applications for Exception from the provisions of the Motor Gasoline Allocation Regulations. The exception requests, if granted, would result in an increase in the firms' based period allocation of motor gasoline. The DOE issued Proposed Decisions and Orders which determined that the exception requests be denied.

Company Name, Case No., and Location

Bill Young's Park Avenue Service, DEE–5134, Redlands, CA.
Fischer's Service, DEE–7418, DES–7418, Cranston, RI.
Lally's Chevron, DEE–3756, Tuckerton, NJ.
Munford, Inc., DEE–4516, Atlanta, GA.
Ravenworth Texaco, DEE–6274, Annadale, VA.

[FR Doc. 80–8648 Filed 3–20–80; 8:45 am]

BILLING CODE 6450–01–M

Office of the Special Counsel for Compliance

Standard Oil Co. (Ohio); Action Taken on Consent Order

AGENCY: Department of Energy.

ACTION: Action taken on Consent Order with Standard Oil Company (Ohio).

Pursuant to 10 CFR 205.199J(c) the Office of the Special Counsel (OSC) of the Department of Energy hereby gives notice of final action taken on a Consent Order.

On January 10, 1980 OSC published Notice of a Consent Order which was executed between Standard Oil Company (Ohio) [Sohio] and OSC. (45 FR 2081 (January 10, 1980)).

With that Notice, and in accordance with 10 CFR 205.199J(c), OSC invited interested persons to comment on the Consent Order by submission of written responses on or before 4:30 p.m. CST, February, 9, 1980.

At the expiration of the comment period, no comments had been received with respect to the Consent Order. Therefore, OSC has concluded that the Consent Order as executed between OSC and Sohio is an appropriate resolution of the compliance proceedings described in the Notice published on January 10, 1980, and hereby gives notice that the Consent Order shall become effective as proposed, without modification, March 21, 1980.

Issued in Washington, D.C., on the 10th day of March, 1980.

Paul L. Bloom,

Special Counsel for Compliance.

[FR Doc. 80-8643 Filed 3-20-80; 8:45 am]

BILLING CODE 6450-01-M

ENVIRONMENTAL PROTECTION AGENCY

[FRL 1441-8]

Ambient Air Monitoring Reference and Equivalent Methods; Amendment to Reference Method for Ozone

Notice is hereby given that EPA, in accordance with 40 CFR Part 53 (40 FR 7044, February 18, 1975), has approved an additional option (Ethylene/CO_2 blend reactant gas) to O_3 reference method number RFOA-0176-007 (41 FR 5145, February 4, 1976). While the designation number of the method remains the same, the method identification is amended to read as follows:

RFOA-0176-007 "Bendix Model 8002 Ozone Analyzer," operated on the 0-0.5 ppm range, with a 40 second time constant setting, and with or without any on the following options:

A—Rack mounting with chassis slides.

B—Rack mounting without chassis slides.

C—Zero and span timer.

D—Ethylene/CO_2 blend reactant gas.

This method is available from The Bendix Corporation, Process Instruments Division, Post Office Drawer 831, Lewisburg, West Virginia 24901.

This change is made in accordance with 40 CFR 53.14 and is based on additional information and test data submitted by the applicant subsequent to the original designation. The new information will be kept on file at the address shown below and will be available for inspection to the extent consistent with 40 CFR Part 2 (EPA's regulations implementing the Freedom of Information Act).

Additional information concerning the use of this designated method may be obtained from the original Notice of Designation (41 FR 5145, February 4, 1976) or by writing to: Director, Environmental Monitoring Systems Laboratory, Department E (MD-77), U.S. Environmental Protection Agency, Research Triangle Park, North Carolina 27711. Technical questions concerning the method should be directed to the manufacturer.

Stephen J. Gage,

Assistant Administrator for Research and Development.

March 14, 1980.

[FR Doc. 80-8680 Filed 3-20-80; 8:45 am]

BILLING CODE 6560-01-M

[FRL 1442-3]

National Air Pollution Control Techniques Advisory Committee; Open Meeting

Under Pub. L. 92-463, notice is hereby given that a meeting of the National Air Pollution Control Techniques Advisory Committee will be held at 9:00 a.m. on April 16 and 17, 1980, at the Hotel Hilton, 1707 Hillsborough Street, Raleigh, North Carolina 27605. The commercial telephone number is (919) 828-0811.

The tentative agenda for the meeting is as follows:

April 16 (Wednesday)—9:00 a.m.

Volatile Organic Chemical Fugitive Emissions in the Synthetic Organic Chemical Manufacturing Industry, New Source Performance Standard; Benzene-Fugitive Emissions, National Emission Standard for Hazardous Air Pollutants.

April 17 (Thursday)—8:30 a.m.

Benzene-Fugitive Emissions (continued if necessary); Benzene Emissions from Benzene Storage Tanks, National Emission Standard for Hazardous Air Pollutants; Adjourn.

All meetings are open to the public. Anyone wishing to make a presentation should contact Mrs. Mary Jane Clark at the Emission Standards and Engineering Division (MD-13), U.S. Environmental Protection Agency, Research Triangle Park, North Carolina 27711, by April 11, 1980. The commercial telephone number is (919) 541-5271, and the FTS number is 629-5271.

Dockets containing material relevant to the volatile organic chemical fugitive emissions in the synthetic organic chemical manufacturing industry (Docket Number A-79-32), benzene fugitive emissions (Docket Number A-79-27), and benzene emissions from benzene storage tanks (Docket Number A-80-14) rulemaking are located in the U.S. Environmental Protection Agency, Central Docket Section, Room 2903B, 401 M Street SW., Washington, D.C. 20460. The dockets may be inspected between 8:00 a.m. and 4:00 p.m. on weekdays, and a reasonable fee may be charged for copying.

Dated: March 14, 1980.

David G. Hawkins,

Acting Assistant Administrator for Air, Noise, and Radiation.

[FR Doc. 80-8679 Filed 3-20-80; 8:45 am]

BILLING CODE 6560-01-M

[FRL 1441-3; 80T-90]

Polymer Formed From Phenol Formaldehyde Resin and Diazo Oxonaphthalene Sulfonyl Chloride; Premanufacture Exemption Application

AGENCY: Environmental Protection Agency (EPA).

ACTION: Notice.

SUMMARY: Section 5(a)(1)(A) of the Toxic Substances Control Act (TSCA) requires any person intending to manufacture or import a new chemical substance for a commercial purpose in the United States to submit a premanufacture notice (PMN) to EPA at least 90 days before he commences such manufacture or import. Under section 5(h) the Agency may, upon application, exempt any person from any requirement of section 5 to permit such person to manufacture or process a chemical for test marketing purposes. Section 5(h)(6) requires EPA to issue a notice of receipt of any such application for publication in the **Federal Register**. This notice announces receipt of an application for an exemption from the premanufacture reporting requirements for test marketing purposes on the chemical substance polymer formed from phenol formaldehyde resin and

resin oxonaphthalene sulfonyl chloride and requests comments on the appropriateness of granting the exemption.

DATES: The Agency must either approve or deny this application by April 5, 1980. Persons should submit written comments on the application no later than April 7, 1980.

ADDRESS: Written comments to: Document Control Officer (TS–793), Office of Pesticides and Toxic Substances, Environmental Protection Agency, 401 M St. SW., Washington, D.C. 20460, 202–755–8050.

FOR FURTHER INFORMATION CONTACT: Kirk Maconaughey, Premanufacturing Review Division (TS–794), Office of Pesticides and Toxic Substances, Environmental Protection Agency, 401 M St. SW., Washington, D.C. 20460, 202–426–2601.

SUPPLEMENTARY INFORMATION: Under Section 5 of TSCA, any person who intends to manufacture or import a new chemical substance for commercial purposes in the United States must submit a notice to EPA before manufacture or import begins. A "new" chemical substance is any chemical substance that is not on the Inventory of existing chemical substances compiled by EPA under Section 8(b) of TSCA. EPA first published the Initial Inventory on June 1, 1979. Notice of availability of the Initial Inventory was published in the **Federal Register** on May 15, 1979 (44 FR 28558). The requirement to submit a PMN for new chemical substances manufactured or imported for commercial purposes became effective on July 1, 1979.

Section 5(a)(1) requires each PMN to be submitted in accordance with section 5(d) and any applicable requirement of section 5(b). Section 5(d)(1) defines the contents of a PMN. Section 5(b)(1) contains additional reporting requirements for chemical substances that are subject to testing rules under section 4. Section 5(b)(2) requires additional information in PMN's for substances which EPA, by rules under section 5(b)(4), has determined may present unreasonable risks of injury to health or the environment.

Section 5(h), "Exemptions," contains several provisions for exemptions from some or all of the requirements of section 5. In particular, section 5(h)(1) authorizes EPA, upon application, to exempt persons from any requirement of section 5(a) or section 5(b) to permit the persons to manufacture or process a chemical substance for test marketing purposes. To grant such an exemption, the Agency must find that the test marketing activities will not present any unreasonable risk of injury to health or the environment. EPA must either approve or deny the application within 45 days of its receipt, and the Agency must publish a notice of its disposition in the **Federal Register.** If EPA grants a test marketing exemption, it may impose restrictions on the test marketing activities.

Under section 5(h)(6), EPA must publish in the **Federal Register** a notice of receipt of an application under section 5(h)(1) immediately after the Agency receives the application. The notice identifies, and briefly describes, the application (subject to section 14 confidentiality restrictions) and gives interested persons an opportunity to comment on it and whether EPA should grant the exemption. Because the Agency must act on the application within 45 days, interested persons should provide comments within 15 days after the notice appears in the **Federal Register.**

EPA has proposed Premanufacture Notification Requirements and Review Procedures published in the **Federal Registers** of January 10, 1979 (44 FR 2242) and October 16, 1979 (44 FR 59764) containing proposed premanufacture rules and notice forms. Proposed 40 CFR 720.15 (44 FR 2268) would implement section 5(h)(1) concerning exemptions for test marketing and includes proposed 40 CFR 720.15(c) concerning the section 5(h)(6) **Federal Register** notice. However, these requirements are not yet in effect. In the meantime, EPA has published a statement of Interim Policy published in the **Federal Register** of May 15, 1979 (44 FR 28564) which applies to PMN's submitted prior to promulgation of the rules and notice forms.

Therefore, under the Toxic Substances Control Act, a summary of the data taken from the Test Marketing Exemption is issued below.

TM 80–14.

Close of Review Period. April 5, 1980.

Manufacturer's Identity. Claimed confidential.

Specific Chemical Identity. Claimed confidential. Generic name provided: Polymer formed from phenol formaldehyde resin and diazo oxonaphthalene sulfonyl chloride.

Data. The following summary is taken from data submitted by the manufacturer in support of claims made in the test marketing exemption application.

Use. Claimed confidential. Five kilograms will be manufactured for test marketing purposes. The test market will be conducted beginning in mid April through June, 1980.

Health Environmental Effects. The health hazards associated with the manufacture, processing, and end-use of the substance are expected to be minimal. The results of toxicological and environmental testing will be submitted with a premanufacture notice at a later date

Exposure.

During manufacturing. One employee will be exposed to the raw materials for up to ½ hour when introducing these materials into the reaction vessel. This same employee will be exposed to the dry solid for up to ½ hour when removing and handling the chemical prior to packaging.

During processing. One person will be exposed to the solid when it is dissolved in an appropriate solvent prior to addition to coating solutions. One employee will be exposed for up to one hour to the coating solution during the clean-up of the coating operation.

Customer/employee exposure will be minimal and is limited to handling a dry film containing about 25 milligrams of the chemical substance per square foot. The substance will be located between coatings of other material and will not result in direct exposure. Disposition of the residual portions of the product containing this chemical substance will be in accordance with local environmental standards for the industry. It is estimated that about 12 parts per million (ppm) of the chemical will be contained in the effluent.

Interested persons may, on or before April 7, 1980, submit to the Document Control Officer (TS–793), Rm. E–447, Office of Pesticides and Toxic Substances, 401 M St., SW, Washington, DC 20460, written comments regarding this notice. Three copies of all comments shall be submitted, except that individuals may submit single copies of comments. The comments are to be identified with the document control number "[80T–90]". Comments received may be seen in the above office between 9:00 a.m. and 4:00 p.m., Monday through Friday, excluding holidays.

(Sec. 5, 90 Stat. 2012 (15 U.S.C. 2604))

Dated: March 17, 1980.

John P. DeKany,

Deputy Assistant Administrator for Chemical Control.

[FR Doc. 80–8682 Filed 3–20–80; 8:45 am]

BILLING CODE 6560–01–M

[FRL 1441-2; 8OT-91]

Salt of: Polymer of 4-Substituted Diphenylamine Formaldehyde and Polymer of 5-Substituted-1,3-Benzenedicarboxylate, 1,4-Cyclohexanedimethanol, Ethylene Glycol, ϵ-Caprolactone, Tolylene-2,4,Diioscyanate, and 2-Butenedioic Acid; Premanufacture Exemption Application

AGENCY: Environmental Protection Agency (EPA).

ACTION: Notice.

SUMMARY: Section 5(a)(1)(A) of the Toxic Substances Control Act (TSCA) requires any person intending to manufacture or import a new chemical substance for a commercial purpose in the United States to submit a premanufacture notice (PMN) to EPA at least 90 days before he commences such manufacture or import. Under section 5(h) the Agency may, upon application, exempt any person from any requirement of section 5 to permit such person to manufacture or process a chemical for test marketing purposes. Section 5(h)(6) requires EPA to issue a notice of receipt of any such application for publication in the **Federal Register.** This notice announces receipt of an application for an exemption from the premanufacture reporting requirements for test marketing purposes on the chemical substance salt of: Polymer of 4-substituted diphenylamine formaldehyde and polymer of 5-substituted-1,3-benzenedicarboxylate, 1,4-cyclohexanedimethanol, ethylene glycol, ϵ-caprolactone, tolylene-2,4-diioscyanate, and 2-butenedioic acid and requests comments on the appropriateness of granting the exemption.

DATES: The Agency must either approve or deny this application by April 5, 1980. Persons should submit written comments on the application no later than April 17, 1980.

ADDRESS: Written comments to: Document Control Officer (TS–793), Office of Pesticides and Toxic Substances, Environmental Protection Agency, 401 M St., SW, Washington, D.C. 20460, 202–755–8050.

FOR FURTHER INFORMATION CONTACT: Kirk Maconaughey, Premanufacturing Review Division (TS–794), Office of Pesticides and Toxic Substances, Environmental Protection Agency, 401 M St., SW, Washington, D.C. 20460, 202–426–2601.

SUPPLEMENTARY INFORMATION: Under Section 5 of TSCA, any person who intends to manufacture or import a new chemical substance for commercial purposes in the United States must submit a notice to EPA before manufacture or import begins. A "new" chemical substance is any chemical substance that is not on the Inventory of existing chemical substances compiled by EPA under section 8(b) of TSCA. EPA first published the Initial Inventory on June 1, 1979. Notice of availability of the Initial Inventory was published in the **Federal Register** on May 15, 1979 (44 FR 28558). The requirement to submit a PMN for new chemical substances manufactured or imported for commercial purposes became effective on July 1, 1979.

Section 5(a)(1) requires each PMN to be submitted in accordance with section 5(d) and any applicable requirement of section 5(b). Section 5(d)(1) defines the contents of a PMN. Section 5(b)(1) contains additional reporting requirements for chemical substances that are subject to testing rules under section 4. Section 5(b)(2) requires additional information in PMN's for substances which EPA, by rules under section 5(b)(4), has determined may present unreasonable risks of injury to health or the environment.

Section 5(h), "Exemptions," contains several provisions for exemptions from some or all of the requirements of section 5. In particular, section 5(h)(1) authorizes EPA, upon application, to exempt persons from any requirement of section 5(a) or section 5(b) to permit the persons to manufacture or process a chemical substance for test marketing purposes. To grant such an exemption, the Agency must find that the test marketing activities will not present any unreasonable risk of injury to health or the environment. EPA must either approve or deny the application within 45 days of its receipt, and the Agency must publish a notice of its disposition in the **Federal Register.** If EPA grants a test marketing exemption, it may impose restrictions on the test marketing activities.

Under section 5(h)(6), EPA must publish in the **Federal Register** a notice of receipt of an application under section 5(h)(1) immediately after the Agency receives the application. The notice identifies, and briefly describes, the application (subject to section 14 confidentiality restrictions) and gives interested persons an opportunity to comment on it and whether EPA should grant the exemption. Because the Agency must act on the application within 45 days, interested persons should provide comments within 15 days after the notice appears in the **Federal Register.**

EPA has proposed Premanufacture Notification Requirements and Review Procedures published in the Federal **Registers** of January 10, 1979 (44 FR 2242) and October 16, 1979 (44 FR 59764) containing proposed premanufacture rules and notice forms. Proposed 40 CFR 720.15 (44 FR 2268) would implement section 5(h)(1) concerning exemptions for test marketing and includes proposed 40 CFR 720.15(c) concerning the section 5(h)(6) **Federal Register** notice. However, these requirements are not yet in effect. In the meantime, EPA has published a statement of Interim Policy published in the **Federal Register** of May 15, 1979 (44 FR 28564) which applies to PMN's submitted prior to promulgation of the rules and notice forms.

Therefore, under the Toxic Substances Control Act, a summary of the data taken from the Test Marketing Exemption is issued below.

TM 80–16.

Close of Review Period. April 5, 1980.

Manufacturer's Identity. Claimed confidential.

Specific Chemical Identity. Claimed confidential. Generic name provided: Salt of: Polymer of 4-substituted diphenylene formaldehyde and polymer of 5-substituted-1,3-benzene-dicarboxylate, 1,4-cyclohexanedimethanol, ethylene glycol, ϵ-caprolactone, tolylene-2,4-diioscyanate and 2-butenedioic acid.

Data. The following summary is taken from data submitted by the manufacturer in support of claims made in the test marketing exemption application.

Use. Claimed confidential. Use provided by manufacturer: resin.

Test Data.

Test and Result

Acute oral toxicity-rat: $1.25 < LD_{50} < 2.50$ g/Kg
Primary eye irritation-rabbit: Severe irritant.
Primary eye irritation-rabbit (washout): Mild to moderate irritant.
Primary skin irritation-rabbit: Non-irritating.
Contact dermal-guinea pig irritation/sensitization: Non-irritating/non-sensitizing.

The cationic portion of the chemical substance which is the subject of this application is only 8% by weight. It is expected that the adverse reactions due to this portion of the molecule would be less at a given dosage than in the substance tested, the zinc chloride salt.

Studies performed on the related chemical polymer of: Sodium 5-substituted-1,3-benezenedicarboxylic acid, 1,4-cyclohexanedimethanol, ethylene glycol, ϵ-caprolactone, tolylene-2,4-diisocyanate, and 2-butendioic acid.

Test and Result

Acute oral toxicity-rat: $LD_{50} > 5$ g/kg

Acute occular irritation-rabbit: Moderate irritant.
Primary skin irritation-rabbit: Slight irritant.
Mutagenicity-Ames-salmonella typhimurium: Negative.
Yeast recombination-saccharomyces cerevisiae: Negative.
Chemical oxygen demand: 1,700,000 mg/Kg
Biological oxygen demand:
5-day 34,000 mg/Kg
20-day 110,000 mg/Kg

The anionic portion of this chemical substance, which comprises 92% of it by weight, has shown low toxicity.

The distribution of this chemical substance in the test marketing activity does not constitute an unreasonable risk of injury to health or the environment because of: The low toxic effects of its parts, the limited human exposure, the small amount to be manufactured, the low release to the environment, and the small amount used in each finished article.

Exposure. Maximum number of persons to be exposed during test marketing: 250.

During manufacture. Employees will be exposed for up to 8 hours. Standard industrial hygiene practices, including the use of dust masks and gloves, will be followed.

During processing. One employee will be exposed for 1 hour. Two employees will be involved in manufacturing the article which contains the chemical substance for up to 12 hours. Standard industrial hygiene practices including the use of dust masks and gloves, will be followed. Two employees will be involved in the packaging operation for 12 hours.

In the test market. Worker exposure will be limited by the normal procedures used in handling the article which contains this chemical substance. The mode of exposure will be skin contact, which is kept to a minimum by the user in order to prevent damage to the article. Persons using the article containing this chemical substance will have been trained in the use of products of this type.

Interested persons may, on or before April 7, 1980, submit to the document Control Officer (TS–793), Rm. E–447, Office of Pesticides and Toxic Substances, 401 M St., SW, Washington, DC 20460, written comments regarding this notice. Three copies of all comments shall be submitted, except that individuals may submit single copies of comments. The comments are to be identified with the document control number "[80T–91]". Comments received may be seen in the above office between 9:00 a.m. and 4:00 p.m., Monday through Friday, excluding holidays.

(Sec. 5, 90 Stat. 2012 (15 U.S.C. 2604))

Dated: March 17, 1980.

John P. DeKany,

Deputy Assistant Administrator for Chemical Control.

[FR Doc. 80–8683 Filed 3–20–80; 8:45 am]
BILLING CODE 6560–01–M

[FRL 1441–7; 80T–60]

Zinc Salt of Dialkyl Dithiophosphate, Premanufacture Notice

AGENCY: Environmental Protection Agency (EPA).

ACTION: Notice.

SUMMARY: Section 5(a)(1) of the Toxic Substances Control Act (TSCA) requires any person who intends to manufacture or import a new chemical substance to submit a premanufacture notice (PMN) to EPA at least 90 days before manufacture or import. Section 5(d)(2) requires EPA to publish in the **Federal Register** within 5 working days, after receipt, certain information about each PMN the Agency receives. This Notice announces receipt of a PMN on the chemical substance zinc salt of dialkyl dithiophosphate and provides a summary of certain information provided in the PMN.

DATE: Written comments by April 12, 1980.

ADDRESS: Written comments to: Documents Control Officer (TS–793), Office of Pesticides and Toxic Substances, Environmental Protection Agency, 401 M St., SW., Washington, DC 20460, 202–755–8050.

FOR FURTHER INFORMATION CONTACT: Mr. Kirk Maconaughey, Premanufacturing Review Division (TS–794), Office of Pesticides and Toxic Substances, Environmental Protection Agency, 401 M St., SW., Washington, DC 20460, 202–426–2601.

SUPPLEMENTARY INFORMATION: Section 5(a)(1) of TSCA requires any person who intends to manufacture or import a new chemical substance to submit a PMN to EPA at least 90 days before manufacture or import. A "new" chemical substance is any substance that is not on the Inventory of existing substances compiled by EPA under Section 8(b) of TSCA. EPA first published the Initial Inventory on June 1, 1979. Notice of availability of the Initial Inventory was published in the **Federal Register** of May 15, 1979 (44 FR 28558). The requirement to submit a PMN for new chemical substances manufactured or imported for a commercial purpose became effective on July 1, 1979.

EPA has proposed premanufacture notification rules and forms in the **Federal Register** of January 10, 1979 (44 FR 2242) and October 16, 1979 (44 FR 59764). These regulations, however, are not yet in effect. Interested persons should consult the Agency's Interim Policy published in the **Federal Register** of May 15, 1979 (44 FR 28564) for guidance concerning premanufacture notification requirements prior to the effective date of these rules and forms. In particular, see page 28567 of the Interim Policy.

A PMN must include the information listed in Section 5(d)(1) of TSCA. Under section 5 (d)(2) EPA must publish in the **Federal Register** nonconfidential information on the identity and uses of the substance, as well as a description of any test data submitted under section 5(b). In addition, EPA has decided to publish a description of any test data submitted with the PMN and EPA will publish the identity of the submitter unless this information is claimed confidential.

Publication of the section 5(d)(2) notice is subject to section 14 concerning disclosure of confidential information. A company can claim confidentiality for any information submitted as part of a PMN. If the company claims confidentiality for the specific chemical identify or use(s) of the chemical, EPA encourages the submitter to provide a generic use description, a nonconfidential description of the potential exposures from use, and a generic name for the chemical. EPA will publish the generic name, the generic use, and the potential exposure descriptions in the **Federal Register.**

If no generic use description or generic name is provided, EPA will develop one and after providing due notice to the submitter, will publish an amended **Federal Register** notice. EPA immediately will review confidentiality claims for chemical identity, chemical use, the identity of the submitter, and for health and safety studies. If EPA determines that portions of this information are not entitled to confidential treatment, the Agency will publish an amended notice and will place the information in the public file, after notifying the submitter and complying with other applicable procedures.

Once received, EPA has 90 days to review a PMN under section 5(a)(1). The section 5(d)(2) **Federal Register** notice indicates the date when the review period ends for each PMN. Under section 5(c), EPA may, for good cause, extend the review period for up to an additional 90 days. If EPA determines that an extension is necessary, it will publish a notice in the **Federal Register.**

Once the review period ends, the submitter may manufacture the substance unless EPA has imposed restrictions. When the submitter begins to manufacture the substance, he must report to EPA, and the Agency will add the substance to the Inventory. After the substance is added to the Inventory, any company may manufacture it without providing EPA notice under section 5(a)(1)(A).

Therefore, under the Toxic Substances Control Act, EPA is issuing the PMN set forth below.

PMN 80–32.

Close of Review Period. May 13, 1980.

Manufacturer's Identity. Edwin Cooper Division, Ethyl Corporation, Ethyl Tower, 451 Florida, Baton Rouge, LA 70801.

Chemical Identity. Claimed confidential. Generic name provided: Zinc salt of dialkyl dithiophosphate.

Product Volume. Claimed confidential.

Data. The following summary is taken from data submitted by the manufacturer in support of claims made in the application.

Use. Lubricating oil additive.

Physical/Chemical Data.

CAS No.	26566–950	68909–93–3	(M–9211)
Ester groups	iso-butyl 2-ethyl hexyl.	iso-propyl 2-ethyl hexyl.	
Flash point, F. PM [1].	375	350	350
Specific gravity [1] 15.6/15.6 C.	1.12	1.13	1.13
Viscosity at 40 C., cSt.	229	227	210
pH nonaqueous [1].	6.2	6.2	6.2

[1] Product as commercially sold containing small amount of neutral petroleum oil (15–20%) to improve handleability.

Toxicology Data.

E–653

Oral LD_{50} (rat): 2.1 g/kg
Dermal LD_{50} (rabbit): 5.6–7.0 g/kg
Eye irritant (rabbit): Severe, Persistent 29/100
Skin irritant (rabbit): Moderate, persistent 3.66/8
Acute inhalation toxicity (rat): No deaths at 2 mg/l for 1 hour

E–655

Oral LD_{50} (rat): 3.6 g/kg
Dermal LD_{50} (rabbit): 20.0 g/kg
Eye irritant (rabbit): Corrosive 35/100
Skin irritant (rabbit): Moderate, persistent 2.83/8

E–656

Oral LD_{50} (rats): 3.6 g/kg
Dermal LD_{50} (rabbit): 13.8 g/kg
Eye irritant (rabbit): Corrosive 42/100
Skin irritant (rabbit): Moderate, persistent 3.00/8
Inhalation toxicity (rat): No deaths at 2 mg/l for 1 hour

E–661

Oral LD_{50} (rat): 2.8 ml/kg
Dermal LD_{50} (rabbit): >2.0 ml/kg
Eye irritant (rabbit): Severe
Skin irritant (rabbit): Moderate
Inhalation toxicity (rat): No deaths at 2 mg/l for 1 hour
Ames test: Negative

E–682

Oral LD_{50} (rat): 3.6 g/kg
Dermal LD_{50} (rabbit): 2.5–4.0 g/kg
Eye irritant (rabbit): Corrosive 110/110
Skin irritant (rabbit): Severe, persistent 5.0/8
Inhalation toxicity (rat): No deaths at saturation cond. for 6 hours
Ames test: Negative

Health Hazard Data. This material is expected to be slightly toxic by ingestion and partially nontoxic by skin contact.

Disposal. Chemical will be disposed of by incineration or in sanitary landfills in accordance with federal, state, and or local ordinances.

Interested persons may, on or before April 12, 1980, submit to the Document Control Officer (TS–793), Rm. E–447, Office of Pesticides and Toxic Substances, 401 M St., SW., Washington, DC 20460, written comments reguarding this notice. Three copies of all comments shall be submitted, except that individuals may submit single copies of comments. The comments are to be identified with the document control number "[80T–60]". Comments received may be seen in the above office between 9:00 a.m. and 4:00 p.m., Monday through Friday, excluding holidays.

(Sec. 5, 90 Stat. 2012 (15 U.S.C. 2604))

Dated: March 17, 1980.

John P. DeKany,

Deputy Assistant Administrator for Chemical Control.

[FR Doc. 80–8681 Filed 3–20–80; 8:45 am]

BILLING CODE 6560–01–M

FEDERAL HOME LOAN BANK BOARD

[No. AC–78]

Builders Federal Savings & Loan Association; Final Action Approval of Conversion Application

March 17, 1980.

Notice is hereby given that on March 13, 1980, the Federal Home Loan Bank Board, as operating head of the Federal Savings and Loan Insurance Corporation ("Corporation"), by Resolution No. 80–177, approved the application of Builders Federal Savings and Loan Association of Rocky Mount, Rocky Mount, North Carolina, for permission to convert to the stock form of organization. Copies of the application are available for inspection at the Secretariat of said Corporation, 1700 G Street, N.W., Washington, D.C. 20552 and at the Office of the Supervisory Agent of said Corporation at the Federal Home Loan Bank of Atlanta, Coastal Building, 250 Peachtree Center, N.W., Atlanta, Georgia 30343.

By the Federal Home Loan Bank Board,

J. J. Finn,

Secretary.

[FR Doc. 80–8719 Filed 3–20–80; 8:45 am]

BILLING CODE 6720–01–M

[No. AC–77]

Cecil Federal Savings and Loan Association; Final Action, Approval of Conversion Application

March 17, 1980.

Notice is hereby given that on March 13, 1980, the Federal Home Loan Bank Board, as operating head of the Federal Savings and Loan Insurance Corporation ("Corporation"), by Resolution No. 80–176, approved the application of Cecil Federal Savings and Loan Association, Elkton, Maryland, for permission to convert to the stock form of organization. Copies of the application are available for inspection at the Secretariat of said Corporation, 1700 G Street NW., Washington, D.C. 20552 and at the Office of the Supervisory Agent of said Corporation at the Federal Home Loan Bank of Atlanta, Coastal Building, 250 Peachtree Center NW., Atlanta, Georgia 30343.

By the Federal Home Loan Bank Board.

J. J. Finn,

Secretary.

[FR Doc. 80–8720 Filed 3–20–80; 8:45 am]

BILLING CODE 6720–01–M

FEDERAL MARITIME COMMISSION

Certificate of Financial Responsibility for Indemnification of Passengers for Nonperformance of Transportation No. P–37 and Certificate of Financial Responsibility To Meet Liability Incurred for Death or Injury to Passengers or Other Persons on Voyages No. C–1,034; Order of Revocation

In the matter of the Peninsular and Oriental Steam Navigation Company (P & O Lines), C/O P & O INC. 2029 Century Park East Los Angeles, California 90067.

Whereas, The Peninsular and Oriental Steam Navigation Company (P & O Lines) has ceased to operate the passenger vessel ARCADIA; and

Whereas, Certificate (Performance) No. P–37 and Certificate (Casualty) No. C–1,034 issued to The Peninsular and Oriental Steam Navigation Company (P

& O Lines) applying to the ARCADIA have been returned for revocation.

It is ordered, that Certificate (Performance) No. P–37 and Certificate (Casualty) No. C–1,034 applying to the ARCADIA be and are hereby revoked effective March 14, 1980.

It is further ordered that a copy of this Order be published in the **Federal Register** and served on certificant.

By the Commission March 14, 1980.

Francis C. Hurney,

Secretary.

[FR Doc. 80–8746 Filed 3–20–80; 8:45 am]

BILLING CODE 6730–01–M

FEDERAL RESERVE SYSTEM

Commerce Southwest, Inc.; Acquisition of Bank

Commerce Southwest, Inc., Dallas, Texas, has applied for the Board's approval under section 3(a)(3) of the Bank Holding Company Act (12 U.S.C. 1842(a)(3)) to acquire 100 percent of the voting shares (less directors' qualifying shares) of First Bank & Trust of Richardson, Richardson, Texas. The factors that are considered in acting on the application are set forth in section 3(c) of the Act (12 U.S.C. 1842(c)).

The application may be inspected at the officers of the Board of Governors or at the Federal Reserve Bank of Dallas. Any person wishing to comment on the application should submit views in writing to the Secretary, Board of Governors of the Federal Reserve System, Washington, D.C. 20551, to be received not later than April 14, 1980. Any comment on an application that requests a hearing must include a statement of why a written presentation would not suffice in lieu of a hearing, identifying specifically any questions of fact that are in dispute and summarizing the evidence that would be presented at a hearing.

Board of Governors of the Federal Reserve System, March 14, 1980.

William N. McDonough,

Assistant Secretary of the Board.

[FR Doc. 80–8678 Filed 3–20–80; 8:45 am]

BILLING CODE 6210–01–M

GENERAL SERVICES ADMINISTRATION

[E–80–6]

Delegation of Authority to the Secretary of Defense

1. *Purpose.* This delegation authorizes the Secretary of Defense to represent the consumer interests of the executive agencies of the Federal Government in proceedings before the Public Service Commission of Maryland involving electric utility rates.

2. *Effective date.* This delegation is effective immediately.

3. *Delegation.* a. Pursuant to the authority vested in me by the Federal Property and Administrative Services Act of 1949, 63 Stat. 377, as amended, particularly sections 201(a)(4) and 205(d) (40 U.S.C. 481(a)(4) and 486(d)), authority is delegated to the Secretary of Defense to represent the consumer interests of the Federal executive agencies before the Public Service Commission of Maryland involving the application of the Conowingo Power Company for an increase in its electric rates.

b. The Secretary of Defense may redelegate this authority to any officer, official, or employee of the Department of Defense.

c. This authority shall be exercised in accordance with the policies, procedures, and controls prescribed by the General Services Administration, and shall be exercised in cooperation with the responsible officers, officials, and employees thereof.

Dated: March 5, 1980.

R. G. Freeman III,

Administrator of General Services.

[FR Doc. 80–8636 Filed 3–20–80; 8:45 am]

BILLING CODE 6820–AM

DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE

Food and Drug Administration

Armour Pharmaceutical Co.; Kymar Aqueous; Withdrawal of Approval of NADA

AGENCY: Food and Drug Administration.

ACTION: Notice.

SUMMARY: The agency withdraws approval of a new animal drug application (NADA) providing for instramuscular use of Kymar Aqueous, containing chymotrypsin, in dogs, cats, and horses as an anti-inflammatory agent. The sponsor, Armour Pharmaceutical Co., requested this action.

EFFECTIVE DATE: March 31, 1980.

FOR FURTHER INFORMATION CONTACT: Andrew J. Beaulieu, Bureau of Veterinary Medicine (HFV–216), Food and Drug Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, Rockville, MD 20857, 301–443–4093.

SUPPLEMENTARY INFORMATION: Armour Pharmaceutical Co., Box 511, Kankakee, IL 60901, is the sponsor of NADA 12–330 which provides for use of Kymar Aqueous in dogs, cats, and horses to minimize inflammation and edema. The application was originally approved October 25, 1960. By letter of November 2, 1979, the firm requested that approval of the NADA be withdrawn because the product is no longer being marketed.

Therefore, under the Federal Food, Drug, and Cosmetic Act (sec. 512(e), 82 Stat. 345–347 (21 U.S.C. 360b(e))), under authority delegated to the Commissioner of Food and Drugs (21 CFR 5.1) and redelegated to the Director of the Bureau of Veterinary Medicine (21 CFR 5.84), and in accordance with § 514.115 *Withdrawal of approval of applications* (21 CFR 514.115), notice is given that approval of NADA 12–330 and all supplements for Kymar Aqueous is hereby withdrawn.

Effective date. March 31, 1980.

Dated: March 13, 1980.

Lester M. Crawford,

Director, Bureau of Veterinary Medicine.

[FR Doc. 80–8424 Filed 3–20–80; 8:45 am]

BILLING CODE 4110–03–M

[Docket No. 76G–0466]

Abbott Laboratories; Withdrawal of Petition for Affirmation of GRAS Status

AGENCY: Food and Drug Administration.

ACTION: Notice.

SUMMARY: This document announces the withdrawal without prejudice of the petition (GRASP 5G0050) proposing that *p*-(*N, N*-dichlorosulfamoyl) benzoic acid (Halazone) is generally recognized as safe (GRAS) for use in emergency situations only, as a microcidal chemical for the treatment of potable water of unknown biological quality and where other treatments are not feasible.

FOR FURTHER INFORMATION CONTACT: Corbin I. Miles, Bureau of Foods (HFF–335), Food and Drug Administration, Department of Health, Education, and Welfare, 200 C St. SW., Washington, DC 20204, 202–472–4750.

SUPPLEMENTARY INFORMATION: Under the Federal Food, Drug, and Cosmetic Act (sec. 409(b), 72 Stat. 1786 (21 U.S.C. 348(b))), the following notice is issued:

In accordance with § 171.7 *Withdrawal of petition without prejudice* of the procedural food additive regulations (21 CFR 171.7), Abbott Laboratories, North Chicago, IL 60064, has withdrawn its petition (GRASP 5G0050), notice of which was published in the **Federal Register** of December 17, 1976 (41 FR 55240) proposing that Halazone (*p*-(*N, N*-dichlorosulfamoyl) benzoic acid) is

GRAS for use in emergency situations only, as a microcidal chemical for the treatment of potable water of unknown biological quality and where other treatments are not feasible.

Dated: March 12, 1980.

Sanford A. Miller,

Director, Bureau of Foods.

[FR Doc. 80-8676 Filed 3-20-80; 8:45 am]

BILLING CODE 4110-03-M

[Docket No. 80F-0070]

Dow Chemical Co.; Filing of Food Additive Petition

AGENCY: Food and Drug Administration.

ACTION: Notice.

SUMMARY: The Dow Chemical Co. has filed a petition proposing that the food additive regulations be amended to provide for the safe use of 2-[(2-aminoethyl) amino] ethanol as a component of adhesives intended for food-contact use.

FOR FURTHER INFORMATION CONTACT: Gerard L. McCowin, Bureau of Foods (HFF-334), Food and Drug Administration, Department of Health, Education, and Welfare, 200 C St. SW., Washington, DC 20204, 202-472-5690.

SUPPLEMENTARY INFORMATION: Under the Federal Food, Drug, and Cosmetic Act (sec. 409(b)(5), 72 Stat. 1786 (21 U.S.C. 348(b)(5))), notice is given that a petition (FAP 9B3450) has been filed by the Dow Chemical Co., Box 1847, 2040 Dow Center, Midland, MI 48640, proposing that § 175.105 *Adhesives* (21 CFR 175.105) of the food additive regulations be amended to provide for the use of 2-[(2-aminoethyl) amino] ethanol as a component of adhesives intended for food-contact use.

The agency has determined that the proposed action falls under § 25.1(f)(1)(v) (21 CFR 25.1(f)(1)(v)) and is exempt from the need of an environmental impact analysis report, and that no environmental impact statement is necessary. A copy of all relevant environmental impact materials may be seen in the office of the Hearing Clerk (HFA-305), Food and Drug Administration, Rm. 4-65, 5600 Fishers Lane, Rockville, MD 20857, between 9 a.m. and 4 p.m., Monday through Friday.

Dated: March 13, 1980.

Sanford A. Miller,

Director, Bureau of Foods.

[FR Doc. 80-8670 Filed 3-20-80; 8:45 am]

BILLING CODE 4110-03-M

[Docket No. 77G-0199]

ICI Americas, Inc.; Withdrawal of Petition for Affirmation of GRAS Status

AGENCY: Food and Drug Administration.

ACTION: Notice.

SUMMARY: This document announces the withdrawal without prejudice of the petition (GRASP 7G0087) proposing affirmation that the glucose isomerase enzyme derived from immobilized *Arthrobacter globiformis* used in the isomerization of dextrose-containing nutritive sweeteners and the resulting high fructose corn syrup is generally recognized as safe (GRAS).

FOR FURTHER INFORMATION CONTACT: Corbin I. Miles, Bureau of Foods (HFF-335), Food and Drug Administration, Department of Health, Education, and Welfare, 200 C St., SW., Washington, DC 20204, 202-472-4750

SUPPLEMENTARY INFORMATION: Under the Federal Food, Drug, and Cosmetic Act (sec. 409(b), 72 Stat. 1786 (21 U.S.C. 348(b))), the following notice is issued:

In accordance with § 171.7 *Withdrawal of petition without prejudice* (21 CFR 171.7) of the procedural food additive regulations, ICI Americas, Inc., Wilmington, DE 19897, has withdrawn its petition (GRASP 7G0087), notice of which was published in the **Federal Register** of August 30, 1977 (42 FR 43668) proposing that the glucose isomerase enzyme derived from immobilized *Arthrobacter globiformis* used in the isomerization of dextrose-containing nutritive sweeteners and the resulting high fructose corn syrup are GRAS.

Dated: March 13, 1980.

Sanford A. Miller,

Director, Bureau of Foods.

[FR Doc. 80-8674 Filed 3-20-80; 8:45 am]

BILLING CODE 4110-03-M

[Docket No. 80F-0073]

Takeda Chemical Industries, Ltd.; Filing of Food Additive Petition

AGENCY: Food and Drug Administration.

ACTION: Notice.

SUMMARY: Takeda Chemical Industries, Ltd. has filed a petition proposing that the food additive regulations be amended to provide for the safe use of bis(isocyanatomethyl) benzene and bis(isocyanatomethyl) cyclohexane in the production of polyurethane resins intended for use in contact with dry food.

FOR FURTHER INFORMATION CONTACT: Gerad L. McCowin, Bureau of Foods (HFF-334), Food and Drug Administration, Department of Health, Education, and Welfare, 200 C St. SW., Washington, D.C. 20204, 202-472-5690.

SUPPLEMENTARY INFORMATION: Under the Federal Food, Drug, and Cosmetic Act (sec. 409(b)(5), 72 Stat. 1786 (21 U.S.C. 348(b)(5))), notice is given that a petition (FAP 9B3473) has been filed by Takeda Chemical Industries, Ltd., Osaka, Japan, proposing that § 177.1680 *Polyurethane resins* (21 CFR 177.1680) of the food additive regulations be amended to provide for the safe use of bis(isocyanatomethyl) benzene and bis(isocyanatomethyl) cyclohexane in the production of polyurethane resins for dry food contact.

The potential environmental impact of this action is being reviewed. If this petition results in a regulation, and the agency concludes that an environmental impact statement is not required, the notice of availability of the environmental impact analysis report, statement of exemption, and environmental assessment report, as applicable, will be published in the **Federal Register** as permitted by 21 CFR 25.25(b).

Dated: March 12, 1980.

Sanford A. Miller,

Director, Bureau of Foods.

[FR Doc. 80-8675 Filed 3-20-80; 8:45 am]

BILLING CODE 4110-03-M

[Docket No. 80N-0028]

Draft Bureau of Foods Research Plan; Availability

AGENCY: Food and Drug Administration.

ACTION: Notice.

SUMMARY: The Food and Drug Administration (FDA) announces the availability of the draft Bureau of Foods Research Plan. This notice solicits certain information from research institutions, universities, industry, and government agencies about food- and cosmetic-related research needs. Information received will enable FDA to prepare a final research plan incorporating research proposals that are not duplicative of work being done elsewhere and will provide FDA with valuable information about food- and cosmetic-related research that is ongoing outside of FDA.

DATE: Comments on or before May 20, 1980.

ADDRESS: Written comments, data, or information to the Hearing Clerk (HFA-305), Food and Drug Administration, Rm. 4-62, 5600 Fishers Lane, Rockville, MD 20857.

FOR FURTHER INFORMATION CONTACT: Patricia Thompson, Bureau of Foods

(HFF–25), Food and Drug Administration, Department of Health, Education, and Welfare, 200 C St. SW., Washington, D.C. 20204, 202–245–1564.

SUPPLEMENTARY INFORMATION: The largest share of Federal responsibility for protection of the public from health hazards associated with foods and cosmetics rests with FDA's Bureau of Foods. Most of FDA's regulatory actions pertaining to foods and cosmetics require information generated by laboratory and nonlaboratory types of research, and by evaluations that can be made only by informed and well-trained Bureau of Foods scientists. Regulatory actions issuing from FDA reflect some of the most important public health decisions made in the Federal government. Many of these actions have a substantial impact on society, including economic impact on industry.

Scientific strength within the Bureau of Foods is, therefore, important both for the general improvement of FDA regulatory decisionmaking and as a key national resource when serious health problems require special attention. The conduct of programs for gathering new knowledge within the Bureau can do much to maintain this strength and to develop it further. The degree to which regulatory decisions gain credibility and merit the suport of the public and the scientific community depends on the extent to which they reflect the best available current scientific knowledge.

In recognition of the critical need for the development of new scientific knowledge to address the changing technology in the food and cosmetic industries and the changing expectations of the American people, FDA has initiated a major effort to improve its protection of the public from health hazards that may be associated with foods and cosmetics through the development of a Bureau of Foods research program that will be fully responsive to the food- and cosmetic-related public health problems the agency faces now and is likely to face in the future.

In his Foreword to the draft Bureau of Foods Research Plan, Jere Goyan, Commissioner of Food and Drugs, stated that:

> The mission of the Food and Drug Administration is to assure that food is safe and wholesome; that drugs, biological products, and medical devices are safe and effective; that cosmetics are safe, and that the use of radiological products does not result in unnecessary exposure to radiation.
>
> To meet our statutory mandate of protecting the public, we must employ the resources of science and technology. Indeed, the degree to which regulatory decisions gain credibility and merit the support of the public and scientific community depends on the extent to which they reflect the best available scientific knowledge.
>
> We cannot hope to regulate responsibly unless our scientists are intimately familiar with, and indeed contribute to, the latest advances in the biomedical physical sciences. For example, FDA has for many years provided leadership in the development of methods to detect and measure substances that may adulterate foods and drugs. We also conduct research on the underlying mechanisms of diseases resulting from human exposure to toxic substances or radiation, as well as on the carcinogenic, mutagenic, or teratogenic risks of chemicals.
>
> My experience, both as a scientist and as a Dean, has persuaded me that the pursuit of scientific excellence requires the strong support of management. As Commissioner of FDA, I intend to do more than just sustain the scientific climate that now exists. One of my priorities will be to foster mission-oriented research.
>
> At my direction FDA is embraking on an evaluation of current research activities to examine quality, relevance, and efficiency. This evaluation is a first step in any effort to improve the science base upon which regulation rests.
>
> We have begun this process in the Bureau of Foods, where a comprehensive research program was put together by Bureau staff. The Bureau's research plan sets out its objectives, describes the research currently conducted or supported by the Bureau, and outlines future needs in research.
>
> The Bureau's research plan, as developed by its director, Dr. Sanford Miller, and his staff will, when successfully implemented, provide FDA with an outstanding source of information so vitally necessary for a sound food supply. It should command the attention and support of the Congress, the scientific community, industry, and above all, the American people.

The draft Bureau of Foods Research Plan states why FDA requires a research program for foods and cosmetics, and identifies by scientific goal the broad research needs that must be met through the efforts of FDA, other government agencies, and other institutions to gain the scientific knowledge and information necessary for FDA to fulfill its regulatory and public health responsibilities regarding foods and cosmetics.

FDA cannot, with current resources, conduct all of the research identified in the draft plan. The goal has been to:

1. Identify what is needed;

2. Determine which of these needs are being worked on or supported by other groups within and outside of the Federal Government; and,

3. Initiate those studies not covered by other groups.

Certainly some of the knowledge that FDA needs with respect to food and cosmetics is being, and will continue to be, developed by certain institutes of the National Institutes of Health (NIH) and other government agencies and institutions. There is much to be gained by true collaboration with these agencies and institutions in planning and conducting research on food and cosmetics. To the extent that such research can be planned and carried out as a cooperative enterprise, there is better prospect of more efficient use of resources, and more effective and rapid transfer of information.

Therefore, FDA is making the draft Bureau of Foods Research Plan available to the public so that the agency can determine which of the proposed research needs are being investigated or will be investigated by other government agencies and research institutions and which needs it can most appropriately carry out itself. Because the identified research needs are necessarily broad, FDA requests that interested persons (including representatives from food and cosmetic industries, consumers, research institutions, the academic community, and other government agencies) commenting on the document identify the research needs by research need number and research need title, as identified in the draft plan. Also, comments should indicate with a reasonable level of detail what research they are doing within the research need.

Additionally, commentors should indicate any other research they are aware of that is being done by others whether or not they know details. Commentors also should provide their name, address, and telephone number (including area code) so that the agency may contact them for additional information.

The agency is not at this time soliciting proposals for specific research projects under contract or by grant.

Public comments will aid FDA in determining which of its research needs are being handled outside of the agency and which require direct FDA funding, and in determining the final priorities for research that requires Bureau of Foods support either through in-house research or through the contract or grants mechanism.

Although a copy of the Research Plan is on display in the office of the Hearing Clerk, Food and Drug Administration, persons wanting individual copies can obtain them from John Tisler, Industry Program Branch (HFF–326), Bureau of Foods, Food and Drug Administration, 200 C St. SW., Washington, DC 20204.

Written comments on the draft Bureau of Foods Research Plan should be sent on before May 20, 1980 to the office of the Hearing Clerk (HFA–305), Food and

Drug Administration, Rm. 4–62, 5600 Fishers Lane, Rockville, MD 20857.

Dated: March 12, 1980.

Sanford A. Miller,

Director, Bureau of Foods.

[FR Doc. 80–8273 Filed 3–20–80; 8:45 am]

BILLING CODE 4110–03–M

[Docket No. 79P–0444/CP]

Tomato Juice Deviating From Identity Standard; Temporary Permit for Market Testing

AGENCY: Food and Drug Administration.

ACTION: Notice.

SUMMARY: This notice announces that a temporary permit has been issued to the Campbell Soup Co. to market test tomato juice from concentrate to which ascorbic acid is added to attain a vitamin C level of 3 milligrams per fluid ounce. The purpose of the temporary permit is to permit the applicant to measure consumer acceptance of the food.

EFFECTIVE DATE: This permit is effective for 15 months, beginning on the date the new food is introduced into or caused to be introduced into interstate commerce, but no later than June 19, 1980. However, the permit may terminate sooner, depending upon the final action on the Food and Drug Administration's proposal to amend the standard of identity for tomato juice published in the **Federal Register** of May 9, 1978 (43 FR 19864). If the proposal is affirmed, the permit will terminate on the effective date of the final regulation. If the proposal is rejected, the permit will expire 30 days after such negative ruling on the proposal.

FOR FURTHER INFORMATION CONTACT: F. Leo Kauffman, Bureau of Foods (HFF–214), Food and Drug Administration, Department of Health, Education, and Welfare, 200 C St. SW., Washington, DC 20204, 202–245–1164.

SUPPLEMENTARY INFORMATION: In accordance with § 130.17 (21 CFR 130.17) concerning temporary permits to facilitate market testing of foods varying from the requirements of the standards of identity promulgated under section 401 of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 341), notice is given that a temporary permit has been issued to the Campbell Soup Co., Camden, NJ 08101. This permit covers interstate marketing tests of tomato juice that deviates from the standard of identity prescribed in § 156.145 (21 CFR 156.145). The permit provides for the temporary marketing of 112,500 cases of twelve 46-ounce cans and 175,000 cases of forty-eight 6-ounce cans of the product to be distributed in the eastern half of the State of Washington; ten counties in western Montana; four counties in northeastern Oregon; three counties in northwestern Pennsylvania; the State of New York, excluding metropolitan New York City; and in the State of Arizona. The product is prepared from tomato paste that complies with the requirements of § 155.191(a)(1) (21 CFR 155.191 (a)(1)). The test product will be equivalent to a single-strength tomato juice normally found in the market place. The finished product will contain not less than 5.5-percent tomato soluble solids. In addition, ascorbic acid will be added to attain a level of 3 milligrams per fluid ounce of vitamin C in the finished product.

The principal display panel of the label will state the product name as "tomato juice from concentrate". Each of the ingredients used will be stated on the label as required by the applicable sections of 21 CFR Part 101, except that the tomato ingredient complying with the requirements of § 155.191(a)(1) will be declared as "tomato concentrate." This permit is effective for 15 months, beginning on the date the new food is introduced or caused to be introduced into interstate commerce, but no later than June 19, 1980. However, the permit may terminate sooner, depending upon the final action on FDA's proposal to amend the standard of identity for tomato juice published in the **Federal Register** of May 9, 1978 (43 FR 19864). If the proposal is affirmed, the permit will terminate on the effective date of the final regulation. If the proposal is rejected, the permit will expire 30 days after the negative ruling on the proposal.

Dated: March 12, 1980.

William F. Randolph,

Acting Associate Commissioner for Regulatory Affairs.

[FR Doc. 80–8274 Filed 3–20–80; 8:45 am]

BILLING CODE 4110–03–M

[Docket No. 77N–0130]

1977 Survey of Industry on the Use of Food Additives; Availability

AGENCY: Food and Drug Administration.

ACTION: Notice.

SUMMARY: The agency announces the availability, through the National Technical Information Service (NTIS), of the final report of the 1977 survey of industry on the use of food additives. The survey was conducted by the National Academy of Sciences (NAS) under contract to the Food and Drug Administration (FDA).

FOR FURTHER INFORMATION CONTACT: F. Edward Scarbrough, Bureau of Foods (HFF–334), Food and Drug Administration, Department of Health, Education, and Welfare, 200 C St. SW., Washington, DC 20204, 202–472–5690.

SUPPLEMENTARY INFORMATION: In the **Federal Register** of June 17, 1977 (42 FR 30894), FDA announced the availability of a questionnaire and invited the participation of all interested parties in a comprehensive industry survey of the use of ingredients in food. The survey was administered by NAS under contract to FDA (Contract No. 223–77–2025). Items covered in the survey included the following: direct food additives, color additives used in food, certain flavors and spices not previously surveyed in the NAS 1971–1972 Phase II GRAS Survey, and miscellaneous ingredients comprised principally of GRAS and/or prior sanctioned substances for which more specific information was needed.

Information received in response to the questionnaire was analyzed by the Committee on the GRAS List Survey—Phase III, Food and Nutrition Board, National Research Council/National Academy of Sciences; and a summary report of aggregate data has been submitted to FDA. FDA now announces the availability of this final report. The report consists of three volumes, each presenting a discussion of, and data from, the 1977 survey. Volume 1 provides on overview of the entire survey: Background information, nature of the questionnaire and the rationale behind its development, and procedures for processing the data. The survey questionnaire document is reproduced as Appendix A to volume 1. Volume 2 contains aggregated food additive data from the survey including annual poundage, reported technical effects, and use levels in more than 200 food subcategories. Volume 3 contains estimates of consumer daily intake of substances reported in the survey. Both volumes 2 and 3 contain sections on methodology and discussions concerning limitations on the interpretation of the data.

Copies of the report may be purchased from the National Technical Information Service, 5285 Port Royal Rd., Springfield, VA 22151. Ordering information is as follows:

Title	Order No.	Code	Price[1]
"The 1977 Survey of Industry on the Use of Food Additives" (paper).	PB 80–113418.	E19	$50.50
"The 1977 Survey of Industry on the Use of Food Additives" (microfiche).	PB 80–113418.	E05	12.50

[1] Price subject to change.

Dated: March 12, 1980.

William F. Randolph,

Acting Associate Commissioner for Regulatory Affairs.

[FR Doc. 80-8272 Filed 3-20-80; 8:45 am]

BILLING CODE 4110-03-M

Office of Human Development Services

White House Conference on Aging National Advisory Committee; Meeting; Correction

AGENCY: Office of Human Development Services, DHEW.

ACTION: Correction.

SUMMARY: This document corrects the room number for the White House Conference on Aging National Advisory Committee meeting, published at 45 FR 15266, March 7, 1980.

FOR FURTHER INFORMATION: Jerome R. Waldie, White House Conference on Aging, 330 Independence Avenue, S.W., Washington, D.C. 20201, 202-245-1914.

SUPPLEMENTARY INFORMATION: In FR Doc. 80-7292, appearing in the issue of Friday, March 10, 1980, on page 15266, make the following correction:

The room number for the White House Conference on Aging National Advisory Committee meetings on Monday, March 24, 1980, and Tuesday, March 25, 1980, should read 800F Hubert Humphrey Building, 330 Independence Avenue, S.W., Washington, D.C. and 131 Switzer Building, 330 C Street, S.W., Washington, D.C. respectively from 9:30 a.m. to 5:30 p.m.

Approved: March 18, 1980.

Arnold Sampson,

Human Development Services, Committee Management Officer.

[FR Doc. 80-8742 Filed 3-20-80; 8:45 am]

BILLING CODE 4110-92-M

Office of the Assistant Secretary for Planning and Evaluation

Revised Notice of Intent To Initiate National Long-Term Care Channeling Demonstration Program

Introduction

On December 21, 1979, the Department of Health, Education and Welfare published a Notice in the Federal Register setting forth the intent of the Department to initiate the National Long-Term Care Channeling Demonstration Program. The principal purposes of the Program are:

1. To stimulate system level changes in the organization of the delivery system, the relationship among service providers, and in the way existing long-term care dollars are allocated;

2. To create at the community level the structures that are necessary to coordinate, manage and arrange for the provision of appropriate and efficient long-term care services on behalf of the clients who need such services; and

3. To collect comparable information across the demonstration projects that will assist HEW in the development of a comprehensive long-term care policy including the legislative and administrative specifications required to implement policy objectives.

In response to comments to the December 21st Notice of Intent as well as additional staff planning, the Department has further refined several of the specifications included in the original Notice. This Revised Notice of Intent does not supercede the originally published Notice. Rather, it outlines specific refinements in the areas of the procurement strategy, program design and solicitation schedule. It should be noted that The Following Information Relates Only to Demonstration Project Activities and Not to the Evaluation or Technical Assistance Aspects of the National Channeling Demonstration Program. No further specifications will be made available prior to the issuance of the official solicitation, now scheduled to be announced in April, 1980.

Procurement Strategy

The Department has developed a procurement strategy which acknowledges the significant role of state government in the financial, administrative and programmatic decisions about current and future long-term care services and mechanisms of service delivery. To reinforce this critical role and insure the active participation of state governments in the demonstration effort, the Department has determined that Proposals for Channeling Demonstration Awards Will Be Accepted Only From State Level Units or Agencies of State Government.

For purposes of the National Channeling Demonstration Program, all references to state government include the governing structures of the District of Columbia, the Virgin Islands, Puerto Rico, Guam, American Samoa and the Trust Territory of the Pacific Islands.

In Fiscal Year 1980, HEW expects to make two types of awards to states:

1. Contracts will be awarded for channeling demonstration projects in response to a Request for Proposals (RFP), and

2. Grants will be awarded for state level long-term care system development projects in response to a Grants Announcement to be issued concurrently with the RFP.

A state may submit one proposal for each type of award. However, since channeling demonstration projects will be expected to incorporate tasks at the state level which are comparable to those to be performed under the system development grants, those states that will be awarded channeling demonstration project contracts will not receive a system development grant.

If a state chooses to respond to either or both solicitations with a proposal, the Governor of that state will be expected to designate a program agency or administrative unit of state government to assume lead responsibility for proposal preparation and, if successful, for administering the award. The Department expects that the agency/unit designated as the lead is knowledgeable about long-term care services in the state, including community-based as well as institutional long-term care concerns.

The designated lead agency/unit will be expected to establish a working group to provide active support to proposal efforts and if successful, to the planning, development and implementation of the award. The Department will require that members of the working group will include, at a minimum, the principal official or a senior staff person from the state units having responsibility for preparing and administering the State Plans for Medical Assistance (Title XIX/SSA), Social Services (Title XX/SSA) and Aging (Title III/OAA). If one of these agencies is designated as the lead, the remaining two will constitute the core of the working group. The Department also will require that the principal officials of at least these three units of state government will sign off on the proposal(s) being submitted.

A. Channeling Demonstration Project Awards

States receiving channeling demonstration project contracts will be expected to support the *development and implementation of projects at the community level* which place particular emphasis on case management as a method for organizing care to meet indivudual client needs. The term "channeling" is used to refer to organizational structures and operating systems to coordinate available long-term care resources and manage them effectively on behalf of clients.

Each state applicant will be expected to propose, in their proposal submission, no more than two community sites for locating the channeling project. In

general, the catchment area(s) for the proposed site(s) should be of sufficent size to generate an active caseload of no fewer than 300 clients for case management services. In addition, each proposed site should have the capacity to provide a range of services that can be characterized as appropriate to respond to long-term care needs. Finally, the proposed site(s) should have the support of community political leadership, service providers and the community at large.

For each proposed channeling demonstration project site, offerors will be expected to identify an agency or organization to operate the project at the community level. The agency or organization proposed by the state shall be either a public entity (e.g., sub-state unit of state government, multi-county or county organization, general purpose local government, special purpose public authority), or a non-profit voluntary organization. It should be noted that at least one of the sites proposed for the channeling demonstration project must be operated by a public agency other than a state or sub-state unit of government or by a non-profit voluntary agency or organization.

Although two sites may be proposed, in FY 1980, contracts will be awarded to support only one site per state. The Department reserves the right to approve final selection of each state's channeling demonstration project site, including the agency/organization to operate the demonstration project at the site.

The Department is very interested in supporting channeling demonstration projects located in rural areas as well as more heavily populated and "service-rich" communities. Offerors proposing rural projects may suggest innovative means for providing long-term care services where the range of relevant formally organized services currently is limited or non-existent.

B. System Development Project Awards

In addition to the channeling demonstration projects described above, the Department is expecting to solicit proposals from state governments for long-term care system development grants. A Separate Grants Announcement Will Be Issued for This Purpose.

The intent of the Department in awarding long-term care system development grants is to *stimulate state level planning and development* of more effective systems for coordinating and delivering long-term care services. The activities to be performed under these grants include the preparation of a State-of-the-Art Assessment of the current characteristics and status of long-term care services in the state and the development of a State Plan for Long-Term Care, which can be used to guide long-range system changes at the state and sub-state levels.

It should be noted that the Department expects that state level activities supported by the system development grants will have significant impact on the extent to which and manner by which states plan for and develop their long-term services and systems of service delivery. Activities supported by system development grants also are expected to stimulate the development of channeling demonstration project proposals in FY 1981.

Program Design for Channeling Demonstration Projects

The Department has developed an overall design for the National Channeling Demonstration Program that will permit the evaluation of several approaches to organizing and managing long-term care resources within a community. The FY 1980 solicitation will focus on basic channeling functions, which include intake and client screening, comprehensive assessment, referral and case management (services planning, acquisition, coordination and monitoring). Demonstration funds will be used primarily to support these basic channeling functions, and associated administrative, review and data collection activities.

A sub-set of channeling demonstration projects funded in FY 1980 also will receive some additional resources to support the innovative provision of gap-filling services. Except for these discretionary service funds, however, each channeling demonstration project is expected to rely on resources which exist or can be generated within the state to support the provision of direct services to their clients. It should be noted that the basic channeling model does not include any alteration of existing federally-regulated benefit, eligibility or reimbursement requirements. Requests for waivers of such federal requirements to expand the basic channeling model will not be considered by the Department until a project has been fully operational and serving its maximum client caseload for at least one year.

In FY 1981, the Department expects to seek additional state contractors to implement other channeling design variations, some of which are expected to necessitate Social Security Act 1115 and/or 222 waivers. Waivers are expected to cover alterations in federal benefit and/or eligibility requirements and various reimbursement strategies. These channeling demonstration projects will be solicted by a separate Request for Proposals, expected to be issued by the end of the second quarter of FY 1981. States receiving either channeling demonstration project contracts or system development grants in FY 1980 will be eligible to compete for the FY 1981 channeling demonstration project solicitation.

Solicitation Schedule

The Department anticipates that the channeling demonstration project RFP and the system development Grant Announcement will be issued in April, 1980. Contract and grant awards in response to these two solicitations will be made no later than September 30, 1980.

FY 1980 contract awards for channeling demonstration projects will be made for a period of two years, with a three year option to renew. Consideration of renewal options will be based on performance, continuing evaluation requirements and the continued availability of federal funds. Grant awards for state level system development projects will be made for a period of one year. No continuation grants for system development projects beyond the one year period are contemplated.

The Department anticipates awarding up to ten Channeling Demonstration Project contract awards in FY 1980 and up to an additional ten Channeling Demonstration contracts for implementing other channeling approches in FY 1981, if sufficient funds are appropriated. Except for those states awarded Channeling Demonstration Project contracts in FY 1980, all states are eligible to receive system development project grants.

It should be noted that Fiscal Year 1980 contract awards are intended to support *new* projects. The Department expects that continuation requests for existing federally-funded (or waiver only) demonstration projects which have a channeling focus will be submitted to the agency currently supporting the project, and that continuation support will be negotiated directly with that agency. In Fiscal Year 1981, the Department may consider including such federally supported projects in the National Channeling Demonstration Program.

Requests for Fiscal Year 1980 Soliciations

As stated previously, both the channeling demonstration project FRP and the long-term care system development Grant Announcement are

expected to be issued in April, 1980. It currently is anticipated that the RFP(s) relating to the national evaluation and technical assistance aspects of the Program also will be issued in April. Notices of the issuance of the RFPs and Grant Announcement will be placed in the *Commerce Business Daily* or the Federal Register, as appropriate.

Copies of this revised notice and of the Channeling Demonstration Project RFP are being sent automatically to all individuals and orgainzations that requested to be placed on the Department's mailing list as a result of the December 21st Notice. Copies of the Long-Term Care System Development Grant Announcement also will be sent automatically to Governor's Offices and other Relevant State Agencies. Other organizations or individuals wishing to receive the Grant Announcement and/or the Channeling Demonstration Project RFP may request a copy from the appropriate issuing agency *after notices of their issuance are published in the* Federal Register *or the Commerce Business Daily.*

John L. Palmer,

Assistant Secretary for Planning and Evaluation, Designate.

March 18, 1980.

[FR Doc. 80-8740 Filed 3-20-80; 8:45 am]

BILLING CODE 4110-12-M

Privacy Act of 1974; New System of Records.

AGENCY: Office of the Assistant Secretary for Planning and Evaluation (ASPE), DHEW.

ACTION: Notification of New System of Records.

SUMMARY: In accordance with 5 U.S.C. 552a(e)(4), we are issuing public notice of our intent to establish a new system of records: Michigan Longitudinal Study (also called the Panel Study of Income Dynamics), HEW/OS/ASPE, 09-90-0081. We are proposing also to include routine uses with the system in accordance with 5 U.S.C. 552a(e)(11). The proposed new system will permit the contination of the conduct of a longitudinal study of a nationally representative sample of households, the validation of data collected from respondents, the following from year-to-year of respondents. Non-individually identifiable data are used for analysis by the contractor for this study, any agency of government, and any member of the public who wishes to conduct statistical analysis of such data.

We will collect data on about 6300 families containing about 18000 individuals. Because we follow persons who leave home to establish households of their own, the number of families interviewed each year grows. This is the minimum number of families that are necessary in a study of this type to yield statistically reliable national estimates for cross-sectional and longitudinal analyses.

This system of records has existed since 1968 when the study it supports began. A legal opinion of the General Counsel of the Department of Health, Education, and Welfare determined that this system did not fall under the Privacy Act of 1974. Accordingly, we deleted the system of records for this study from the Department's inventory of systems of records by a notice in the Federal Register (41 FR 40707, September 20, 1976). A recent, legal opinion by the General Counsel of the Office of Management and Budget determined that this study did fall under the Privacy Act of 1974. We propose to issue a public notice of our intent to establish a new system of records in accordance with this legal opinion.

We invite public comments on these proposals, including the routine use disclosures described in the routine use section of the notice.

DATES: We filed a report of new system of records with the President of the Senate, the Speaker of the House of Representatives, and the Director, Office of Management and Budget (OMB) on March 4, 1980. This system will become effective, except for the routine use disclosures, on March 21, 1980. The proposed routine uses unless we receive will become effective April 21, 1980, comments which would result in a contrary determination.

ADDRESS: Address comments to the ASPE Privacy Officer, Department of Health, Education, and Welfare, 200 Independence Ave., S.W., Washington, D.C. 20201. We will make comments received available for public inspection in Room 432E, Hubert Humphrey Building, at the above address.

FOR FURTHER INFORMATION CONTACT: Mr. Gordon P. Goodfellow, Project Monitor, Office of the Assistant Secretary for Planning and Evaluation, 200 Independence Ave., S.W., Washington, D.C. 20201, telephone (202) 245-7181.

SUPPLEMENTARY INFORMATION: We are proposing to establish this system to permit the continuation of a longitudinal data collection from a nationally representative sample of households. In order to conduct a study which returns year-after-year to the same individuals it is necessary to maintain a list of the names, current addresses and telephone numbers of respondents in the sample. Furthermore, because the study design calls for following persons who leave households to establish households of their own, it is necessary to add new names, addresses and telephone numbers to the list of names, addresses and telephone numbers, as well as updating the list from information provided by respondents. Also, it is necessary to link current data collected from respondents through interviews with data previously collected from the same respondent. This is made possible by unique identification numbers common to the list of names, addresses, and telephone numbers and to non-individually identifiable data that are collected from respondents through interviews. Thus, the file that contains the names of respondents also contains each of the yearly identification numbers assigned to individuals in the study. The link between this year's and previous years' non-individually identifiable data is through these identification numbers. Because we pay respondents for their participation, we maintain certain accounting information on the file that contains the names and addresses of respondents. Heretofore, access to this file by the name of a respondent is only to check a report by a respondent that he has not received payment. This system is neither designed nor intended to be used to measure the behavior of any specific individual with respect to any data that are collected as part of this study through the interviews.

The Privacy Act of 1974 allows us to disclose information without the consent of the individual for "routine uses", that is, disclosure for purposes which are compatible with the purposes for which the data are collected. Accordingly, we are establishing two routine uses of information in this system. These routine uses provide for disclosure in response to congressional inquires made at the request of any individual included in the system of records and to the Department of Justice to defend any employee or component of DHEW who may be involved in litigation that is likely to directly affect the operations of DHEW, provided the disclosures are compatible with the purposes for which we collected the information.

Individually identifiable data are maintained at the University of Michigan, the constractor for this study. The contractor safeguards the identifiable data according the Department's ADP *Systems Manual*, "Part 6, ADP System Security." Identifiable data on other than magnetic tape of disk are maintained by the contractor in locked cabinets in a

limited access area. Access to identifiable data is obtained only with the specific permission of the contractor's project monitor and only for purposes consistant with the conduct of a longitudinal study. We further protect magnetic data with special account numbers and passwords.

Since we propose to establish this system in accordance with the requirements of the Privacy Act, we anticipate no untoward effect on the privacy or other personal rights of individuals.

Dated: March 4, 1980.

John L. Palmer,

Assistant Secretary for Planning and Evaluation, Designate.

09-90-0081

SYSTEM NAME:

Michigan Longitudinal Study (also called the Panel Study of Income Dynamics) HEW/OS/ASPE.

SECURITY CLASSIFICATION:

None.

SYSTEM LOCATION:

Institute for Social Research, Survey Research Center, University of Michigan, Ann Arbor, Michigan 48106.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

A national sample of approximately 6300 households containing about 18,000 individuals with information relating to all household members.

CATEGORIES OF RECORDS IN THE SYSTEM:

The contractor maintains two separate sets of files. One contains identifiable information necessary to conduct the study. The second contains the data the study was designed to collect.

The identifiable information is a list of names, addresses, and current telephone numbers of heads of household and the names of other family members. This file also contains unique identification numbers used to link this year's non-individually identified data with similar data from past years. In addition, because we pay respondents for their participation in the study, we keep certain accounting information on the file—a record of the issuance and cancellation of a check. Heretofore, access by the contractor to the identifiable data by name and address has been only to check a report by a respondent that he has not been paid.

Non-individually identifiable information includes demographic characteristics, sources and amounts of income, amount and kind of work, education of household head and other family members, changes in family composition through the years, residential mobility, attitudes toward self and work, and life style.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

Section 232 of the Community Services Act of 1974.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

Disclosure may be made:

1. To a congressional office from the record of an individual in response to an inquiry from the congressional office made at the request of that individual.

2. In the event of litigation where one of the parties is (a) the Department, any component of the Department, or any employee of the Department in his or her official capacity; (b) the United States where the Department determines that the claim, if successful, is likely to directly affect the operations of the Department or any of its components; or (c) any Department employee in his or her official capacity where the Justice Department has agreed to represent such employee, the Department may disclose such records as it deems desirable or necessary to the Department of Justice to enable that Department to effectively represent such party, provided such disclosure is compatible with the purpose for which such records were collected.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Magnetic tape and disk, paper and card records.

RETRIEVABILITY:

The contractor performs linkage between the data sets through a number common to both the identified data and the non-individually identified statistical data for purposes of validating and matching the year-to-year data. Heretofore, except for checking on the payment to a respondent, linkage between data sets is always from the non-individually identified data to the identified data for purposes of validation of data and management of data collection.

SAFEGUARDS:

The contractor stores cover sheets containing individual identifiers separately from the questionnaires that contain other non-individually identifiable information. Magnetic tapes that contain individually identifiable information are stored in a locked safe, and the cover sheets are stored in locked file cabinets in a limited access area. Magnetic data are further protected by special account numbers and passwords.

The contractor conforms to the Department's ADP *Systems Manual*, "Part 6, ADP System Security." Access to the numbers associated with the identifiable data and the non-individually identified statistical data (which could be used to link the identifiable data—a list of names, addresses, and telephone numbers—with the data collected on the questionnaires) is subject to the specific approval of the Project Director at the Institute for Social Research.

Institute for Social Research: Non-individually identifiable data are used for statistical analysis of changes in economic status through time. Additional research topics include, but are not limited to, residential mobility, family breakup, labor supply, and the effects of government programs.

Information with individual identifiers is used to validate data and to locate respondents from year-to-year. These uses are performed only by persons employed by the Institute for Social Research and then only on a "need to know" basis. The data without personal identifiers is available to any member of the public or to any agency of government.

Assistant Secretary for Planning and Evaluation: The Office of the Assistant Secretary for Planning and Evaluation conducts analysis of non-individually identifiable data similar to those conducted by the Institute for Social Research.

The government project monitor may have access to the identifiable records only to monitor the contractor's performance of the study.

RETENTION AND DISPOSAL:

It is anticipated that the cover sheets and magnetic files that contain individually identifiable information will be destroyed by shredding or degaussing three years after field work on this study ceases or at such time as it is determined that no further validation of the data will be undertaken.

There are no plans for the destruction of the non-individually identifiable statistical data that have been collected on respondents over the life of this study.

SYSTEM MANAGER(S) AND ADDRESS:

Assistant Secretary for Planning and Evaluation, U.S. Department of Health, Education, and Welfare, 200 Independence Avenue, S.W., Washington, D.C. 20201.

NOTIFICATION PROCEDURE:

Dr. James N. Morgan, Institute for Social Research, University of Michigan, Ann Arbor, Michigan 48106.

An individual requesting notice as to whether the system of records contains information pertaining to him or her should write to the Institute for Social Research project director at the above address, indicating his or her full name, current address and other addresses used since December 31, 1967.

RECORD ACCESS PROCEDURES:

Individuals who, through the notification procedure set out above, have established that the system of records contains information pertaining to them may inspect and copy those portions of the records pertaining to them at the Institute for Social Research project officer's place of business, or the project officer will establish a date after which the record will be available at a place requested by the individual.

CONTESTING RECORD PROCEDURES:

Contact the project officer at the Institute for Social Research, reasonably identify the record, specify the information being contested, and supply the correct information.

RECORD SOURCE CATEGORIES:

Information is obtained either from telephone or face-to-face interviews each year since 1968.

[FR Doc. 80-7049 Filed 3-20-80; 8:45 am]

BILLING CODE 4110-12-M

Office of Education

National Demonstration Projects, Upward Bound and Special Services for Disadvantaged Students

AGENCY: Office of Education, HEW.

ACTION: Notice of Dates and Location for Application Preparation Workshops.

SUMMARY: Two Application Preparation Workshops will be conducted to aid prospective applicants in developing applications for the National Demonstrations for the New Concept Special Services and the Special Emphasis Upward Bound projects.

DATES: Application Preparation Workshops will be conducted on April 14, 1980 and again on April 18, 1980.

ADDRESS: Auditorium, First Floor, Hubert H. Humphrey Building, 200 Independence Ave. SW., Washington, D.C.

FOR FURTHER INFORMATION CONTACT: Program Development Branch, Division of Student Services and Veterans Programs, Room 3514, ROB-3, U.S. Office of Education, 400 Maryland Avenue SW., Washington, D.C. 20202. Telephone: (202) 245-2511.

SUPPLEMENTARY INFORMATION: Each Application Preparation Workshop will be one day in length. Registration for each workshop will begin at 8:30 a.m. Presentations are scheduled from 9:00 a.m. to 5:00 p.m.

The presentations will include a review of the requirements for filing applications for grants under the Special Programs for Students from Disadvantaged Backgrounds and a review of the regulations and the concept papers for the New Concept Special Services and the Special Emphasis Upward Bound programs. Time will be provided throughout the day for questions and answers.

(Catalog of Federal Domestic Assistance Numbers; 13.482 Special Services for Disadvantaged Students; and 13.492 Upward Bound)

Dated: March 12, 1980.

William L. Smith,

U.S. Commissioner of Education.

[FR Doc. 80-8722 Filed 3-20-80; 8:45 am]

BILLING CODE 4110-02-M

Office of the Secretary

New System of Records

AGENCY: Department of Health, Education, and Welfare, Immediate Office of the Secretary.

ACTION: Notification of a New System of Records.

SUMMARY: The Secretary's Advisory Committee Candidate File (#09-90-0080) supports the staffing and personnel requirements of the Department for encumbent, and vacant positions on Advisory Committees. We invite interested parties to submit comments on routine uses of the system on or before April 21, 1980.

DATES: The new system report was sent to OMB and the Congress on March 17, 1980. The new system will be operational sixty days after this date (May 16, 1980) unless we receive comments on the routine uses which would result in a contrary determination.

ADDRESS: Public comments should be sent to the Department of Health, Education, and Welfare, Special Assistant to the Secretary for Advisory Committees (HEW-OS-IOS), Room 633F, Hubert Humphrey Building, 200 Independence Avenue, S.W., Washington, D.C. 20201.

FOR FURTHER INFORMATION CONTACT: Contact Dr. Elizabeth Johns, Special Assistant to the Secretary, Room 633F, 200 Independence Avenue, S.W., Washington, D.C., (202) 245-6891.

SUPPLEMENTARY INFORMATION: The Secretary's Advisory Committee Candidate File system is used within the Department to catalogue those individuals who wish to be considered for vacancies on Advisory Committees.

Access to the computer files is controlled through a unique identification and password system, and these controls are only available to those individuals assigned to the Advisory Committee staff.

DHEW will modify the current system concept to add the capability to interactively create and maintain individual records on the computer file for each candidate wishing to be considered for a position on an Advisory Committee, plus the interactive capability to retrieve records from the file based on specific requirements of a position on an Advisory Committee.

For this new system report all entries reflect the addition of state-of-the-art computer technology under the management of the Immediate Office of the Secretary, i.e. the use of an interactive, on-line computer system for individual record creations and updates plus a flexible query capability.

Each candidate record will also contain an indicator of physical disability. All information in the system will be safeguarded with unique identification numbers and passwords. These procedures will deny access to unauthorized use of the system.

Dated: March 17, 1980.

Randolph S. Kinder,

Executive Secretary to the Department.

09-90-0080

SYSTEM NAME:

The Secretary's Advisory Committee Candidate Files HEW-IOS.

SECURITY CLASSIFICATION:

None.

SYSTEM LOCATION:

Department of Health, Education, and Welfare, Immediate Office of the Secretary, Special Assistant to the Secretary for Advisory Committees, Room 633F Humphrey Building, 200 Independence Avenue SW., Washington, D.C. 20201.

Computer site and location: ADP Network Services, Inc., 40 2nd Avenue, Waltham, Mass. 02154.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Individuals who are being considered for membership on advisory committees

within the jurisdiction of the Department of Health, Education, and Welfare.

CATEGORIES OF RECORDS IN THE SYSTEM:

Information maintained on individuals recommended as members of Advisory Committees subject to this notice consists of one or more of the following: name, title, sex, physically disabled indicator, place and date of birth, home address, business address, organizational affiliation, ethnic background, resume, curriculum vitae, dates of term(s) on advisory committee, current status on advisory committee, reason for leaving advisory committee, previous or current membership on other advisory committees, special qualifications of the individual for the advisory committee membership, source who recommended the individual for membership on advisory committee and miscellaneous correspondence. Additionally, letters of recommendation are included in the file.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

5 U.S.C. 3301.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

In accordance with Appendix B, Departmental Regulations (45 CFR Part 5b) Items 01, 3.

In the event of litigation where one of the parties is (a) the Department, any component of the Department, or any employee of the Department in his or her official capacity; (b) the United States where the Department determines that the claim, if successful, is likely to directly affect the operations of the Department or any of its components; or (c) any Department employee in his or her individual capacity where the Justice Department has agreed to represent such employee, the Department may disclose such records as it deems desirable or necessary to the Department of Justice to enable that Department to effectively represent such party, provided such disclosure is compatible with the purpose for which the records were collected.

Disclosure may be made to a congressional office from the record of an individual in response to an inquiry from the congressional office made at the request of that individual.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Records are maintained in hard-copy stored in file cabinets, on index cards, on magnetic tape, or in computer storage.

RETRIEVABILITY:

For the most part records are maintained in an alphabetical index by name of the individual. Certain files are based on other factors, e.g., Advisory Committee name, with a cross index based on an alphabetical listing of individuals. Certain other records are retrievable by individually identifiable computer identification codes, last name, first name, sex, handicapped indicator, professional expertise and ethnic background. All fields within the individual computer record can be accessed by the computer system language, and are available for administrative purposes via on-line, interactive terminals either in hard-copy or electronic visual display devices for reports. Records of candidates for Advisory Committees will be created and maintained on the data base which is accessible by on-line, interactive computer technology. Uses include special administrative reports, quarterly alphabetical listings of past, present, and recommended members of Advisory Committees, computer lists of vacancies, acceptances, separations, active members, statistical reports by sex, youth, geographical location, etc.; documentation of nominations; and other administrative needs.

SAFEGUARDS:

Direct access to records is restricted to authorized personnel through locked files, rooms, and buildings as well as building pass and security guard sign-in systems. Certain facilities are also protected by closed circuit television systems. Computer systems are secured through locked magnetic tape libraries as well as lockword-password computer access systems.

RETENTION AND DISPOSAL:

Retention is variable from one year to permanent retention depending upon the type of record, e.g., names of former members of advisory committees are retained permanently. Records are disposed of as trash by the system manager or Office of Security depending on the confidentiality of the information contained on the record.

SYSTEM MANAGER(S) AND ADDRESS:

Dr. Elizabeth Johns, Room 633 F Humphrey Building, 200 Independence Avenue, S.W., Washington, D.C. 20201

NOTIFICATION PROCEDURE:

Write to the Systems Manager at the above address.

Verification of identification of individuals inquiring as to information contained in this system of records will be required by the submission of full last name, first name, and address of residence.

RECORD ACCESS PROCEDURES:

Same as notification procedures. Requesters should also reasonably specify the record contents being sought. (These access procedures are in accordance with the Department Regulations (45 CFR, Section 5b.5(a)(2) Federal Register, October 8, 1975, page 47410).

CONTESTING RECORD PROCEDURES:

Contact the official at the address specified under notification procedures above, and reasonably identify the record and specify the information to be contested. (These procedures are in accordance with Department Regulations (45 CFR Section 5b.7) Federal Register, October 8, 1975, page 47411).

RECORD SOURCE CATEGORIES:

The vast majority of information contained in records on individuals is obtained directly from the individual. Other information in the form of references and recommendations is obtained from other private individuals, program personnel, biographical reference books, private organizations, former employers, regional offices of HEW, Members of Congress, and other government sources.

SYSTEMS EXEMPTED FROM CERTAIN PROVISIONS OF THE ACT: None.

[FR Doc. 80-8784 Filed 3-20-80; 8:45 am]
BILLING CODE 4110-12-M

Office of Public Affairs; Statement of Organization, Functions, and Delegations of Authority

Part A, Office of the Secretary, Chapter AP, Office of Public Affairs (43 FR 52062, November 8, 1978 as amended 44 FR 49310, August 22, 1979) is replaced. The following is inserted in its place reflecting a new statement of organization and functions.

Replace Chapter AP with the following:

AP.00 Mission
AP.10 Organization
AP.20 Functions

Section AP.00. Mission. The mission of the Office of Public Affairs is to serve as the Secretary's principal public affairs policy advisor; to provide centralized professional leadership, communications services, and continuous monitoring and evaluation of Departmentwide policies, procedures and operating practices regarding public affairs activities; and to administer the

Freedom of Information and Privacy Acts.

Section AP.10. Organization. The Office of Public Affairs—headed by the Assistant Secretary for Public Affairs, who reports to the Secretary—consists of the following organizations:

The Office of the Assistant Secretary for Public Affairs
The Office of the Deputy Assistant Secretary for Operations Management, News Division, Regional Liaison Division, Freedom of Information/Privacy Division
The Office of the Deputy Assistant Secretary for Communication Planning, Communication Services Division, Management, Planning and Evaluation Division
The Office of the Deputy Assistant Secretary for Issue and Policy Analysis, Policy Analysis Division

Section AP.20. Functions.

A. *The Office of the Assistant Secretary for Public Affairs:*

Provides executive leadership, policy direction, and management strategy for the Department's public affairs programs and activities. Counsels and acts for the Secretary and the Department in carrying out responsibilities under statutes, Presidential directives, and Secretarial orders for informing the general public, specialized audiences, HEW employees, and other Federal employees about the programs, policies and services of the Department. Establishes and enforces policies and practices which produce an accurate, clear, efficient and consistent flow of information to the general public and other audiences about Departmental programs and activities.

Maintains channels and procedures for transmitting to the Departmental policy officials information on how the Department's programs and proposals are viewed by both the general and specialized publics.

Consults on the selection of key public affairs personnel in the Principal Operating Components (POCs) and the Regions. Coordinates and advises on various public affairs personnel matters throughout the Department. Concurs in all public affairs plans and budgets for the POCs and regional offices and otherwise exercises functional supervision over their public affairs activities.

Insures coordination among public affairs components. Manages public affairs issues and special activities that cut across POC lines.

Reviews and approves all news releases, publications and audiovisual materials produced with Departmental funds. Reviews and approves contracts for public affairs services.

B. *Office of the Deputy Assistant Secretary for Operations Management:*

Is responsible for policies and activities related to providing the public information about the Department's policies and programs through the news media, editorial services, field liaison, citizen participation and information access and privacy protection.

Is responsible for management oversight of OASPA administration.

Provides administrative and budgetary services for the Office of Public Affairs.

Provides advice and assistance on all public affairs matters to the Offices of Planning and Evaluation, Inspector General and Refugee Affairs in the Office of the Secretary.

B.1. News Division:

Plans, directs and coordinates the issuance of public information from HEW to the news media.

Prepares news releases and other news material for the Secretary and other top Department officials. Reviews all news releases and other news materials prepared by HEW components.

Coordinates and arranges news conferences, briefings, interviews and appearances by the Secretary and other key HEW officials with the press and broadcast media.

Directs the preparation of the *Green Sheet*, a daily compilation of news concerning HEW programs and activities.

B.2. Freedom of Information/Privacy Division:

Administers information access and privacy protection laws and HEW regulations implementing these laws to insure Department-wide consistency in information disclosure/confidentiality policies, practices and procedures. Such laws include the Freedom of Information Act and the Privacy Act, as well as the open meetings provisions of the Federal Advisory Committee Act, the Government in the Sunshine Act and the disclosure provisions of the Ethics in Government Act.

In concert with Office of General Counsel staff, assists in development of regulations implementing these statutes and develops policy interpretations and guidelines as well as procedural materials and training programs for all Department components.

Provides responses to requests made under the Freedom of Information Act and determines the availability of records and information under the law and HEW regulations.

Directs operation of the HEW Public Reading Room, and the visitors information and telephone locator services. Provides policy guidance on and maintains the index of materials required by FOIA.

B.3. Regional Liaison Division:

Serves as liaison between regional staff and headquarters agencies.

Coordinates public affairs activities among regions.

Provides technical assistance and general information to public affairs staff in the regions.

Advises the ASPA on all regional public affairs issues and procedures.

Provides briefing materials for Presidential and Secretarial travel to the regions.

Coordinates, assists and maintains liaison with all elements of the Department, including the regions in outreach activities.

Reviews, and approves or disapproves, proposals for citizen participation by all elements of the Department. Acts as the central resource within HEW for information on citizen participation techniques and procedures.

Insures that Spanish-speaking communities have access to information about the Department's programs and activities.

Conducts the HEW Speakers Bureau and coordinates the scheduling of speaking engagements of policy-level officials of the Department.

C. *Office of the Deputy Assistant Secretary for Communication Planning:*

Is responsible for policies and activities related to managing the process by which the Department communicates directly with the public through multi-media information campaigns. This includes communication planning, evaluation, review, approval and program support services.

C.1. Communication Services Division:

Exercises management oversight of all audiovisual activities in and for the Department.

Establishes Departmental policy and procedures for the procurement, design, production, distribution and quality control of audiovisual products and exhibits.

Reviews and approves all audiovisual products and exhibits.

Reviews audiovisual aspects of HEW components' public affairs plans to insure they are supportive of HEW policy.

Monitors, coordinates and provides technical assistance on the development of audiovisual products and exhibits.

Reviews and approves all HEW publications.

Establishes Departmental policy and procedures for the design, production,

distribution and quality control of publications.

Reviews publication aspects of HEW components' public affairs plans to insure they are supportive of Departmental priorities and standards.

Monitors, coordinates and provides technical assistance on development of publications products.

Establishes and monitors graphic standards throughout the Department.

Develops policies and procedures for the review, evaluation and clearance of the public affairs aspects of all contracts and grant applications.

C.2. Management, Planning and Evaluation Division:

Develops policies and procedures and provides technical guidance, central review, coordination, evaluation and clearance for all communication, information and public affairs plans, projects and programs of the Department's operating components.

Establishes standards of performance; develops testing and evaluation systems standards; provides management overview and mechanisms for reporting evaluation findings; and coordinates centralized testing and evaluation activities for all communication activities within the Department.

Initiates, designs and conducts intermediary programs involving national and community organizations, minority and women's groups, and other organizations concerned with areas addressed by the Department policies, programs and issues.

Manages and coordinates a variety of communication services throughout the Department such as message testing, placement and distribution and surveys of message usage.

D. *Office of the Deputy Assistant Secretary for Issue and Policy Analysis:*

Analyzes significant policy issues including proposed legislation and regulations for their impact on the Department, the general public, special publics and constituent groups and advises the Assistant Secretary and the Deputy Assistant Secretaries.

Coordinates the public affairs review by the Principal Operating Components' public affairs offices of issues, proposed policies, legislation and regulations originating from or impacting on those components.

Reviews testimony and major policy speeches; prepares, reviews and edits various materials reflecting Departmental policy.

D.1. Policy Analysis Division:

Reviews program decision papers, proposed regulations and other issues for Secretarial decision and provides program policy recommendations.

Assures coordination and review by appropriate elements within OASPA on issues that have public participation and disclosure aspects.

Attends regulation panel meetings and coordinates public affairs involvement in regulations with public affairs directors throughout the Department.

Prepare publications with Departmentwide implications. Serves as a resource for preparing, reviewing, and editing written materials. Prepares statements, articles, and related material for the Secretary and other top Departmental officials.

Drafts other materials for non-Departmental publications.

Edits Congressional testimony of Departmental officials.

Dated: March 14, 1980.

Patricia Roberts Harris,

Secretary.

[FR Doc. 80-8741 Filed 3-20-80; 8:45 am]

BILLING CODE 4110-12-M

Public Health Service

Office of Health Research, Statistics, and Technology, National Center for Health Care Technology; Notification of New Policy Notice

The National Center for Health Care Technology (Center) is responsible for providing, for the Public Health Service (PHS), medical and scientific advice to the Health Care Financing Administration (HCFA) regarding the appropriateness of coverage (reimbursement) of particular health care technologies under the Health Insurance for the Aged program (Medicare). The Center announces that it will be publishing in the **Federal Register**, on a trial basis, a notice regarding the evaluation of each technology for which the HCFA has requested PHS assistance in establishing Medicare coverage regulations. The notice will provide an opportunity for any person or group wishing to provide data or comment on the subject technology, 30 days to do so. These data and comments will be considered by the Center in its development of recommendations to the HCFA on the safety and efficacy of the subject technology, and the appropriateness of the technology's coverage under Medicare.

The Center requests that any information relevant to an evaluation be presented in writing, and that a written summary no longer than 10 pages accompany any literature references or analyses of clinical data that are submitted.

FOR FURTHER INFORMATION CONTACT:

O. B. Towery, M.D., Associate Director for Medical and Scientific Assessment, National Center for Health Care Technology, Room 17A-29 Parklawn Building, Rockville, Maryland 20857, (301) 443-4990.

Dated: March 12, 1980.

Seymour Perry,

Director, National Center for Health Care Technology.

[FR Doc. 80-8609 Filed 3-20-80; 8:45 am]

BILLING CODE 4110-85-M

Health Resources Administration

Grants to State Health Planning and Development Agencies, Determination of Population of the States

This notice provides the population figures the Department will use when it determines the amount of grants to State Health Planning and Development Agencies (State Agencies).

Section 1525 of the Public Health Service Act authorizes the Secretary of Health, Education, and Welfare to make grants to State Agencies to assist them to meet their operating costs. The amount of each grant is determined by a formula which is based in part on a determination by the Secretary of the population of the States. This formula is provided in the regulations governing grants to State Agencies (42 CFR Part 123, Subpart C). Section 123.204(b) of these regulations provides that the Secretary will determine the population of each State based upon the latest available estimate from the Department of Commerce, and will publish annually in the **Federal Register** a list of all States and their populations.

Today's list is based primarily on the July 1, 1979 provisional estimates in the report of the Bureau of the Census, Series P-25, No. 868. However, the population figures for Puerto Rico, the Virgin Islands, Guam, the Trust Territory of the Pacific Islands and the Northern Mariana Islands are based on the July 1, 1976 estimates, the latest available for these jurisdictions.

The population figures for Hawaii, Rhode Island, and the District of Columbia in this listing vary slightly from those in our January 2 publication, "Determination of Population of Health Service Areas," (45 FR 93). Section 1536 of the Act precludes certain States and Territories from establishing health service areas and designating health systems agencies (HSAs) and authorizes these States to receive a grant to perform HSA functions. For purposes of computing this grant to these 1536 States their population must be determined on

the same basis it is determined for a HSA (123.501(c)). Consequently, the population figures for Hawaii, Rhode Island and the District of Columbia that appear in this notice come from the July 1, 1979 provisional estimates while the figures for those States in the earlier notice came from the July 1, 1977 revenue-sharing estimates.

Accordingly, the Secretary has made the following determination of populations of the States.

Dated: March 17, 1980.

Henry A. Foley

Population of the States for Purposes of Determination of Planning and Development Grants, Fiscal Year 1980*

Alabama	3,769,000
Alaska	406,000
American Samoa (Sec. 1536)	30,000
Arizona	2,450,000
Arkansas	2,180,000
California	22,696,000
Colorado	2,772,000
Connecticut	3,115,000
Delaware	582,000
District of Columbia (Sec. 1536)	656,000
Florida	8,860,000
Georgia	5,118,000
Guam (Sec. 1536)	96,000
Hawaii (Sec. 1536)	915,000
Idaho	905,000
Illinois	11,230,000
Indiana	5,400,000
Iowa	2,903,000
Kansas	2,369,000
Kentucky	3,527,000
Louisiana	4,026,000
Maine	1,097,000
Maryland	4,149,000
Massachusetts	5,769,000
Michigan	9,208,000
Minnesota	4,060,000
Mississippi	2,406,000
Missouri	4,868,000
Montana	786,000
Nebraska	1,574,000
Nevada	702,000
New Hampshire	887,000
New Jersey	7,332,000
New Mexico	1,241,000
New York	17,649,000
North Carolina	5,606,000
North Dakota	657,000
Northern Mariana Islands (Sec. 1536)	16,000
Ohio	10,731,000
Oklahoma	2,892,000
Oregon	2,527,000
Pennsylvania	11,731,000
Puerto Rico (Sec. 1536)	3,205,000
Rhode Island (Sec. 1536)	929,000
South Carolina	2,932,000
South Dakota	689,000
Tennessee	4,380,000
Texas	13,385,000
Trust Territory (Sec. 1536)	110,000
Utah	1,367,000
Vermont	493,000
Virginia	5,197,000
Virgin Islands (Sec. 1536)	95,000
Washington	3,926,000
West Virginia	1,878,000
Wisconsin	4,720,000
Wyoming	450,000
Total	223,662,000

*Population based on Bureau of the Census provisional estimates for July 1, 1979, except for Puerto Rico, the Virgin Islands, Guam, the Trust Territory of the Pacific Islands and the Northern Maiana Islands. The latest available figures for these territories are the July 1, 1976 estimates.

[FR Doc. 80-8635 Filed 3-20-80; 8:45 am]

BILLING CODE 4110-83-M

DEPARTMENT OF THE INTERIOR

Bureau of Land Management

Eastern Gulf of Alaska Outer Continental Shelf; Availability of Final Environmental Statement Regarding Proposed Eastern Gulf of Alaska OCS Oil and Gas Lease Sale No. 55

Pursuant to Section 102(2)(C) of the National Environmental Policy Act of 1969, the Bureau of Land Management has prepared a final environmental statement relating to a proposed Outer Continental Shelf (OCS) oil and gas lease sale of 350 tracts consisting of 792,085 hectares (1,952,242 acres) of submerged Federal lands off the coast of the eastern Gulf of Alaska (OCS Sale No. 55)

Single copies of the final environmental statement can be obtained from the Office of Manager, Alaska Outer Continental Shelf Office, Bureau of Land Management, 620 E. 10th Avenue, P.O. Box 1159, Anchorage, Alaska 99510, and from the Office of Public Affairs, Bureau of Land Management (130), Washington, D.C. 20240.

Copies of the final environmental statement will also be made available for inspection in the following public libraries: Alaska Federation of Natives, 670 W. Fireweed Lane, Anchorage, Alaska 99501; Department of Interior, Alaska Resources Library, 733 W. 4th Avenue, Anchorage, Alaska 99501; Kenai Community Library, Box 157, Kenai, Alaska 99611; North Star Borough Library, Fairbanks, Alaska 99701; University of Alaska, Institute of Economics and Government Research Library, Fairbanks, Alaska 99081; Z. J. Loussac Public Library, 427 F Street, Anchorage, Alaska 99801; Alaska State Library, Juneau, Alaska 99811; Bureau of Indian Affairs School Library, Elim, Alaska 99739; Department of Defense, Army Corps of Engineers Library, Anchorage, Alaska 99510; Department of Interior Bureau of Mines Library, AF-F.O. Center, P.O. Box 550, Juneau, Alaska 99802; Ketchikan Community College, 7th and Madison, Ketchikan, Alaska 99901; Seldovia Public Library, Alaska 99663; University of Alaska—Juneau Library, P.O. Box 1447, Juneau, Alaska 99802; Anchor Point Public Library, Anchor Point, Alaska 99556; Cordova Public Library, Cordova, Alaska 99574; Elim Learning Center, Elim, Alaska 99739; Haines Public Library, Haines, Alaska 99827; Homer Public Library, Homer, Alaska 99603; Juneau Memorial Library, Douglas Public Library, 114 W. 4th Street, Juneau, Alaska 99824; Ketchikan Public Library, 629 Dock Street, Ketchikan, Alaska 99901; Kodiak Public Library Association, Inc., Kodiak, Alaska 99615; Metlakatla Extension Center, Metlakatla, Alaska 99926; Petersburg Extension Center, Petersburg, Alaska 99833; Seward Community Library, Seward, Alaska 99664; Sitka Community Library, Sitka, Alaska 99835; University of Alaska—Anchorage Library, 3211 Providence Drive, Anchorage, Alaska 99504; University of Alaska, Elmer E. Rasmusson Library, Fairbanks, Alaska 99701; Wrangell Extension Center, Wrangell, Alaska 99929.

Ed Hastey,

Associate Director, Bureau of Land Management.

Approved: March 18, 1980

James H. Rathlesberger,

Special Assistant to Assistant Secretary of the Interior.

[FR Doc. 80-8659 Filed 3-20-80; 8:45 am]

BILLING CODE 4310-84

[Wyoming 01185]

Wyoming; Application

March 12, 1980.

Notice is hereby given that pursuant to Section 28 of the Mineral Leasing Act of 1920, as amended (30 U.S.C. 185), the Montana-Dakota Utilities Company of Bismarck, North Dakota filed an application to amend their right-of-way grant to construct a 12 inch loop line within the existing right-of-way for the purpose of transporting natural gas across the following described public lands in Big Horn County:

Sixth Principal Meridian, Wyoming

T. 49 N., R. 92 W.,
Sec. 30, lots 7, 8, 9, 13, 16, and 17;
T. 49 N., R. 93 W.,
Sec. 3, lot 4, S½NW¼, NE¼SW¼, W½E¼, and SE¼SE¼;
Sec. 4, lot 1;
Sec. 10, NE¼NE¼;
Sec. 11, W½NW¼, N½SW¼, SE¼SW¼, and SW¼SE¼;
Sec. 13, SW¼SW¼;
Sec. 14, W½NE¼, SE¼NE¼, and E½SE¼;
Sec. 24, NW¼, NE¼SW¼, W½SE¼, and SE¼SE¼;
Sec. 25, NE¼NE¼.
T. 50 N., R. 93 W.,
Sec. 5, lot 4, S½NW¼, and E½SW¼,
Sec. 8, W½NE¼, NE¼NW¼, W½SE¼, and SE¼SE¼;
Sec. 17, NE¼NE¼;
Sec. 21, W½NW¼, and SE¼SW¼;
Sec. 28, E½W½, and SW¼SE¼;
Sec. 33, W½NE¼, NE¼NW¼, N½SE¼, and SE¼SE¼.
T. 51 N., R. 93 W.,
Sec. 18, lots 1 thru 4;
Sec. 19, lots 1, 2, SE¼NW¼, and E½SW¼;
Sec. 30, SW¼NE¼, E½NW¼, and W¼SE¼;
Sec. 31, N½NE¼, SE¼NE¼, and NE¼SE¼;
Sec. 32, W½SW¼.

T. 51 N., R. 94 W.,
Sec. 1, lot 5, E½SW¼, and SW¼SE¼;
Sec. 12, W½NE¼, N½SE¼, and SE¼SE¼;
Sec. 13, E½NE¼.
T. 52 N., R. 94 W.,
Sec. 26, lot 3, NE¼SE¼.

The purpose of this notice is to inform the public that the Bureau will be proceeding with consideration of whether the application should be approved and, if so, under what terms and conditions.

Interested persons desiring to express their views should do so promptly. Persons submitting coments should include their name and address and send them to the District Manager, Bureau of Land Management, P.O. Box 119, 1700 Robertson Avenue, Worland, Wyoming 82401.

Harold G. Stinchcomb,

Chief, Branch of Lands and Minerals Operations.

[FR Doc. 80-8640 Filed 3-20-80; 8:45 am]

BILLING CODE 4310-84-M

Minnesota; Final Initial Wilderness Inventory Decision and Commencement of Intensive Inventory

This notice announces the final initial wilderness inventory decision and the commencement of intensive inventory of public lands and islands (excluding Koochiching Bog Units 42 and 45) administered by the Bureau of Land Management (BLM) in Minnesota. The decision is announced under the authority of Section 603 of the Federal Land Policy and Management Act of 1976, the BLM *Wilderness Inventory Handbook*, and Organic Act Directive 78–61, Change 2.

The initial wilderness inventory proposals for Minnesota and a 90-day public review and comment period were announced in the **Federal Register** September 28, 1979. The comment period ended December 27, 1979. (As the result of a separate accelerated intensive inventory of Koochiching Bog Units 42 and 45, the final decision was announced in the February 22, 1980 **Federal Register** to drop those areas from further wilderness review. The decision becomes effective March 24, 1980 unless timely protests are received.) This notice relates to the initial wilderness inventory for all remaining public lands and islands by BLM in Minnesota.

Final Initial Inventory Decision

Intensive inventory will be conducted on 172 islands originally recommended for study in the report "Initial Inventory Proposals for Public Lands and Islands in Minnesota" (September 1979), plus two additional islands (Carlton #003 and #004) located in the Kettle River in Carlton County, Minnesota. The remaining pubic lands and islands administered by BLM are dropped from any further wilderness review because they do not meet the wilderness criteria as specified in the *Wilderness Inventory Handbook*, September 27, 1978. The restrictions imposed by Section 603 of the Federal Land Policy and Management Act no longer apply on those lands dropped from review.

Report of Public Response

During the public review and comment period, four public meetings were held; media coverage encouraged response; and reports, maps and inventory records were made available. All public comments, whether presented verbally or in writing, were equally considered.

For the units NOT RECOMMENDED for further study in the initial inventory proposals, one public comment identified possible outstanding opportunities for solitude or primitive recreation on two islands located in the Kettle River in Carlton County, T. 46N., R. 20W., Sec. 21 (#003) and Sec. 32 (#004).

Of the units RECOMMENDED for intensive inventory in the above report, no public comments identified outstanding opportunities for solitude or primitive and unconfined recreation for any of the units. Supplemental values were identified for some units, but no comments were received on other wilderness characteristics.

Commencement of Intensive Inventory

The intensive wilderness inventory is now underway in Minnesota on 174 islands, totalling 701.21 acres. Upon completion, inventory recommendations will be published and a 90-day public review and comment period will follow.

Additional Information

For additional information on the wilderness review process, or for copies of inventory reports, maps or public comments, contact:

Director, Eastern States, Bureau of Land Management, 350 South Pickett Street, Alexandria, Virginia 22304, telephone (703) 235–2840; or

Manager, Lake States Office, Bureau of Land Management, 125 Federal Building, Duluth, Minnesota 55802, telephone (218) 727–6692, Ext. 378.

Pieter J. Van Zanden,

Acting Director, Eastern States.

[FR Doc. 80-8713 Filed 3-20-80; 8:45 am]

BILLING CODE 4310-84-M

Fish and Wildlife Service

Endangered and Threatened Species Permit; Receipt of Application

Applicant: Cape Cod Museum of Natural History, R.R. 1, Route 6–A, Brewster, Massachusetts 02631.

The applicant requests a permit to salvage dead specimens of leatherback (*Dermochelys coriacea*), Atlantic ridley (*Lepidochelys kempi*), green (*Chelonia mydas*) and loggerhead (*Caretta caretta*) sea turtles for scientific purposes. No animals will be killed for this work.

Documents and other information submitted with this application are available to the public during normal business hours in Room 601, 1000 N. Glebe Road, Arlington, Virginia, or by writing to the Director, U.S. Fish and Widlife Service (WPO), Washington, D.C. 20240.

This application has been assigned file number PRT 2–6390. Interested persons may comment on this application within 30 days of the date of this publication by submitting written data, views, or arguments to the Director at the above address. Please refer to the file number when submitting comments.

Dated: March 12, 1980.

Donald G. Donahoo,

Chief, Permit Branch, Federal Wildlife Permit Office, U.S. Fish and Wildlife Service.

[FR Doc. 80-8748 Filed 3-20-80; 8:45 am]

BILLING CODE 4310-55-M

Receipt of Application for Permit

Notice is hereby given that an Applicant has applied in due form for a Permit to take walrus as authorized by the Marine Mammal Protection Act of 1972 (16 U.S.C. 1361–1407), and the Regulations Governing the Taking and importing of Marine Mammals (50 CFR Part 18).

1. Applicant:

a. Name: Director, National Fish & Wildlife Laboratory.

b. Address: Smithsonian Institution, Washington, D.C. 20560.

2. Type of permit: Scientific Research.

3. Name and Number of Animals: Walrus (*Odobenus romarus*)—330.

4. Type of Activity: Taking, tagging and observation.

5. Location of Activity: Western Coast of Alaska and on pack ice in Bering and Chukchi Seas.

6. Period of Activity: April 1, 1980 through December 31, 1980.

The purpose of this application is to determine best marking techniques, study haul-out behavior, obtain behavioral and population characteristics, develop techniques to determine the condition of walrus.

Concurrent with the publication of this notice in the **Federal Register** the Federal Wildlife Permit Office is forwarding copies of this application to the Marine Mammal Commission and the Committee of Scientific Advisors.

The application has been assigned file number PRT 2–6330. Written data or views, or requests for copies of the complete application or for a public hearing on this application should be submitted to the Director, U.S. Fish and Wildlife Service (WPO), Washington, D.C. 20240, within 30 days of the publication of this notice. Those individuals requesting a hearing should set forth the specific reasons why a hearing on this particular application would be appropriate. The holding of such hearing is at the discretion of the Director.

All statements and opinions contained in this application are summaries of those of the Applicant and do not necessarily reflect the views of the United States Fish and Wildlife Service.

Documents submitted in connection with the above application are available for review during normal business hours in Room 534, 1717 H Street NW., Washington, D.C.

Dated: March 18, 1980.

Fred L. Bolwahnn,

Acting Chief, Permit Branch, Federal Wildlife Permit Office.

[FR Doc. 80-8747 Filed 3-20-80; 8:45 am]

BILLING CODE 4310-55-M

Geological Survey

Outer Continental Shelf (OCS); Oil and Gas Information Program; Availability of Mid-Atlantic Summary Report and OCS Directory

SUMMARY: The first in a series of reports that provide affected States with current planning information on OCS oil and gas activities has been published in accordance with Section 26 of the OCS Lands Act Amendments of 1978 and 30 CFR 252.4. The report, focusing on the Mid-Atlantic, provides summary information to States regarding: current oil and gas resource estimates, reserves, and production levels; the magnitude and timing of OCS exploration, development, and production; strategies for transporting oil and gas; and the location and nature of onshore facilities. Other Summary Reports are planned or currently underway for the South Atlantic, North Atlantic, Pacific (California), Gulf of Mexico, Gulf of Alaska (including Lower Cook Inlet), and Beaufort Sea.

Based on the needs and requests of numerous State and local officials, the OCS Information Program has also published a "Directory to Federal, State, and Local OCS-Related Activities and Contacts." The Directory reviews the Federal OCS process, lists agencies involved, explains agency responsibilities, and provides a listing (with addresses and telephone numbers) of major Federal agencies. The Directory also reviews major State OCS programs, provides information on State agency involvement in responding to Federal initiatives, describes the functions where States generally act autonomously, and provides a listing (with addresses and telephone numbers) of major State agencies. The Directory covers a total of 23 coastal States that are, or could be, involved in OCS-related activities.

EFFECTIVE DATE: March 3, 1980.

ADDRESSES: Copies of both documents may be obtained upon request from the Chief, RALI Program, U.S. Geological Survey, 750 National Center, Reston, Virginia 22092.

FOR FURTHER INFORMATION CONTACT: David A. Nystrom, Manager, OCS Oil and Gas Information Program, U.S. Geological Survey, 750 National Center, Reston, Virginia 22092, (703) 860–7166.

Gene A. Thorley,

Acting Chief, Land Information and Analysis Office.

[FR Doc. 80-8702 Filed 3-20-80; 8:45 am]

BILLING CODE 4310-31-M

National Park Service

Boston National Historical Park; Public Meetings

Notice is hereby given that two Public Meetings concerning the Navy Yard portion of the Boston National Historical Park will be held April 1, 1980 in the Bunker Hill Museum, Monument Square, at 7:30 p.m. and the second on April 2, 1980 in the Hull Room of Building 5, Charlestown Navy Yard at 3:00 p.m.

Boston National Historical Park includes eight historic sites within the City of Boston: Faneuil Hall, Paul Revere House, Old North Church, Old South Meeting House, Old State House, Dorchester Heights Monument, Bunker Hill Monument, and a 30 acre portion of the Charlestown Navy Yard. At the public meetings the Draft General Management Plan, Part II (Charlestown Navy Yard), which addresses the general development, management zoning and the interpretation of the resource, will be discussed. The meetings will concern themselves primarily with the Navy Yard. Future meetings will deal with the other historic sites in the park.

All interested persons are invited to attend and participate in these discussions. They may also address their comments in writing to the Superintendent, Boston National Historical Park, 15 State Street, Boston, Massachusetts 02109.

Dated: March 5, 1980.

Richard L. Stanton,

Regional Director, North Atlantic Region.

[FR Doc. 80-8704 Filed 3-20-80; 8:45 am]

BILLING CODE 4310-70-M

Boston National Historical Park; Advisory Commission Meeting

Notice is hereby given in accordance with the Federal Advisory Committee Act, Pub. L. 92–463, that a meeting of the Boston National Historical Park Advisory Commission will be held April 10, 1980 at Building 5, the former Officer's Club, Charlestown Navy Yard, Boston, Massachusetts, beginning at 11 a.m.

The Commission was established by Pub. L. 93–431 to advise the Secretary of the Interior on matters relating to the development of the Boston National Historical Park.

The members of the Advisory Commission are as follows:

Mr. Richard A. Berenson, Chairman, Brookline
Ms. Gail E. Seybold, Boston
Mr. Guy A. Beninati, Boston
Mr. Byron D. Rushing, Boston
Mr. Maurice F. O'Shea, Charlestown
Mrs. Katharine D. Kane, Boston
Mr. William B. Osgood, Boston
Mr. Richard A. Kendall, Boston

The matters to be discussed at this meeting include:

1. Review of General Management Plan for Charlestown Navy Yard
2. Report on General Management Plan for downtown historic sites and Bunker Hill
3. Transportation Plans and Concerns
4. Freedom Trail Maintenance and Improvement
5. Jubilee 350 plans
6. Reports on Water-Chelsea Connector and Gate 4 roadway projects at the Navy Yard
7. Dorchester Heights plans
8. Boston NHP Cooperating Association
9. Report on rehabilitation projects underway at Old South Meeting House, Bunker Hill Monument, and Navy Yard Piers and Bulkheads
10. Cooperative Agreements
11. Park Administration and Operations

The meeting will be open to the public. However, space and facilities are limited, and it is expected that not more than 50 persons will be able to attend the session. Any member of the public may file with the committee a written statement concerning the matters to be discussed.

Persons wishing further information about this meeting, or who wish to

submit written statements, may contact the Superintendent, Boston National Historical Park, at 617–242–5644.

Dated: March 12, 1980.

Duane Pearson,

Acting Regional Director, North Atlantic Region.

[FR Doc. 80–8706 Filed 3–20–80; 8:45 am]

BILLING CODE 4310–70–M

UPPER DELAWARE CITIZENS ADVISORY COUNCIL

Meeting

Notice is hereby given in accordance with the Federal Advisory Committee Act that a meeting of the Upper Delaware Citizens Advisory Council will be held at 7:00 P.M., Friday, April 11, 1980, at the Arlington Hotel, Narrowsburg, New York. The Advisory Council was established by Pub. L. 95–625, Section 704(f) to encourage maximum public involvement in the development and implementation of plans and programs authorized by the Act and section noted above. The Council is to meet and report to the Delaware River Basin Commission, to the Secretary of the Interior and to the Governors of New York and Pennsylvania on the preparation of a management plan and on programs which relate to land and water use in the Upper Delaware region.

The matter to be discussed at this meeting is:

Adoption of the Upper Delaware Citizens Advisory Council's comments and recommendations on the Draft General Guidelines for Land and Water Use Control Measures.

The meeting will be open to the public. However, facilities and space to accommodate members of the public are limited, and persons will be accommodated on a first-come, first served basis. Any member of the public may file with the Council a written statement concerning the matters to be discussed.

Persons wishing further information concerning this meeting, or who wish to submit written statements, may contact David A. Kimball, Chief Planner, Mid-Atlantic Region, National Park Service, 143 South Third Street, Philadelphia, Pennsylvania 19106, area code 215–597–9655.

Minutes of the meeting will be available for inspection four weeks after the meeting at the Mid-Atlantic Regional Office.

Dated: March 10, 1980.

James W. Coleman, Jr.,

Acting Regional Director, Mid-Atlantic Region.

[FR Doc. 80–8705 Filed 3–20–80; 8:45 am]

BILLING CODE 4310–70–M

LeConte Lodge, Inc.; Intention To Extend Concession Contract

Pursuant to the provisions of Section 5 of the Act of October 9, 1965 (79 Stat. 969; 16 U.S.C. 20), public notice is hereby given that on or before April 21, 1980, the Department of the Interior, through the Regional Director, Southeast Region, National Park Service, proposes to extend the concession contract with LeConte Lodge, Inc., authorizing it to continue to provide concession facilities and services for the public at Great Smoky Mountains National Park for a period of two (2) years from January 1, 1980, through December 31, 1981.

It has been determined that the proposed extension of this contract does not have potential for causing significant environmental impact and therefore preparation of an environmental assessment is not required.

The foregoing concessioner has performed its obligations to the satisfaction of the Secretary under an existing contract which expired by limitation of time on December 31, 1979, and therefore, pursuant to the Act of October 9, 1965, as cited above, is entitled to be given preference in the renewal of the contract and in the negotiation of a new contract. This provision, in effect, grants LeConte Lodge, Inc., as the present satisfactory concessioner, the right to meet the terms of responsive offers for the proposed new contract and a preference in the award of the contract, if, thereafter, the offer of LeConte Lodge, Inc., is substantially equal to others received. The Secretary is also required to consider and evaluate all proposals received as a result of this notice. Any proposal to be considered and evaluated must be submitted on or before April 21, 1980.

Interested parties should contact the Regional Director, Southeast Region, National Park Service, 75 Spring Street, SW., Atlanta, Georgia 30303, for information as to the requirements of the proposed contract.

Dated: February 21, 1980.

Joe Brown,

Regional Director, Southeast Region, National Park Service.

[FR Doc. 80–8703 Filed 3–20–80; 8:45 am]

BILLING CODE 4310–70–M

Gateway National Recreation Area; Gateway Advisory Commission Meeting

Notice is hereby given in accordance with the Federal Advisory Committee Act that a meeting of the Gateway National Recreation Area Advisory Commission will be held commencing at 4 p.m., Wednesday, April 16, 1980 at Building No. 204, at Fort Wadsworth, Staten Island, New York.

The Commission was established by Pub. L. 92–592 to meet and consult with the Secretary of the Interior on general policies and specific matters relating to the development of Gateway National Recreation Area.

The members of the Commission are:

1. Marian Heiskell, Chairman, New York, New York
2. Alexander J. D. Greely, New York, New York
3. Paul Larson, Lakewood, New Jersey
4. Robert C. Holmes, Newark, New Jersey
5. Orin Lehman, Albany, New York
6. Gordon Litwin, Newark, New Jersey
7. Sheldon Pollack, New York, New York
8. Jose A. Sanchez, Jersey City, New Jersey
9. Peter M. Rivera, Bronx, New York

The matters to be discussed at this meeting include:

1. Sandy Hook Decontamination
2. Floyd Bennett Field Development Concept Plan
3. Gateway Transportation Access
4. Fort Mason Foundation
5. Land Acquisition Plan
6. Superintendent's Report

The meeting will be open to the public. However, facilities and space to accommodate members of the public are limited, and persons will be accommodated on a first-come, first served basis. Any member of the public may file with the Commission a written statement concerning the matters to be discussed.

Persons wishing further information concerning this meeting, or who wish to submit written statements, may contact Herbert S. Cables, Jr., Superintendent, Gateway National Recreation Area, Headquarters, Building 69, Floyd Bennett Field, Brooklyn, New York 11234, Area Code (212) 630–0353.

Minutes of the meeting will be available for inspection four weeks after the meeting at the Gateway National Recreation Area Headquarters Building.

Dated: March 11, 1980.

Duane Pearson,

Acting Regional Director, North Atlantic Region.

[FR Doc. 80–8632 Filed 3–20–80; 8:45 am]

BILLING CODE 4310–70–M

INTERSTATE COMMERCE COMMISSION

[Notice No. 174]

Assignment of Hearings

March 13, 1980.

Cases assigned for hearing, postponement, cancellation or oral argument appear below and will be published only once. This list contains prospective assignments only and does not include cases previously assigned hearings dates. The hearings will be on the issues as presently reflected in the Official Docket of the Commission. An attempt will be made to publish notices of cancellation of hearings as promptly as possible, but interested parties should take appropriate steps to insure that they are notified of cancellation or postponements of hearings in which they are interested.

MC 58549 (Sub-29F), General Motor Lines, Inc., now being assigned for hearing on April 21, 1980 (1 week) at Roanoke, VA location of hearing room will be designated later.

MC 145588 (Sub-9F), Gulf Midwestern, Inc., and MC 145588 (Sub-10F), Gulf Midwestern, Inc., now assigned for hearing on February 26, 1980 (2 days) at Denver, CO, application dismissed.

MC-F-12730, Pacific Transportation Lines, Inc—Purchase—Jackson Distribution Corp, and MC 142048 (Sub-7F), now assigned for hearing on March 6, 1980 at Buffalo, NY is postponed indefinitely.

MC 1083 (Sub-3F), Bower Transportation Services, Inc., now assigned for hearing on April 10, 1980 will be held in Room No. 300, National Labor Relations Board, 610 South West Broadway, Portland, OR.

MC 107912 (Sub-22F), Rebel Motor Freight, Inc., now assigned for hearing on April 2, 1980 will be held in the Petit Jury Room No. 536, U.S. Post Office-Corner of Capitol & South West, Jackson, MS.

MC 138174 (Sub-3F), JJ & JL Company, Inc., d.b.a. Jet Air Freight Service, now assigned for hearing March 25, 1980 will be held in Room No. 300, National Labor Relations Board, 610 South West Broadway, Portland, OR.

MC 140829 (Sub-217F), Cargo, Inc., now assigned for hearing June 18, 1980 at Boston, MA. is canceled and transferred to Modified Procedure.

MC 76677 (Sub-13F), Hallamore Motor Transportation, Inc., now being assigned for hearing on June 18, 1980 (1 Day), at Boston, MA. in a hearing room to be designated later.

MC 119777 (Sub-373F), Ligon Specialized Hauler, Inc., now heing assigned for hearing on May 9, 1980 (1 Day), at Chicago, IL, in a hearing room to be designated later.

MC 14252 (Sub-61F), Commercial Lovelace Motor Freight, Inc., now being assigned for prehearing conference on April 23, 1980 at the Offices of the Interstate Commerce Commission in Washington, DC.

MC 124692 (Sub-269F), Sammons Trucking, now heing assigned on March 18, 1980 (4 days) at Little Rock, AR, will be held at Camelot Hotel, Markham & Broadway.

MC 138108 (Sub-1F), Gulf States Trucking Service, Inc., now being assigned for hearing on March 24, 1980 (5 days) at Jackson, MS, in a hearing room to be later designated.

MC 146583 (Sub-2F), Terrain Tamers, Inc., now being assigned for hearing on April 7, 1980 at Portland, OR will be held in Room No. 103, Pionier Court House, 555 S.W. Yamhill Street.

MC 8457 (Sub-10F), Milwaukee Transfer & Fuel Co., & MC 123061 (Sub-124F), Leatham Brothers Inc., now being assigned for hearing on April 9, 1980 (3 days) at Portland, OR, will be held in Room No. 103, Pionier Court House, 555 S.W. Yamhill Street.

MC 141532 (Sub-37F), Pacific States Transport, Inc., now being assigned for hearing on April 14, 1980 (1 week) at Portland, OR will be held in Room No. 103, Pionier Court House, 555 S.W. Yamhill Street.

MC 136155 (Sub-7F), Gay Trucking Company, now assigned for hearing on March 18, 1980 (2 days) at Atlanta, GA, in Room No. 401—4th Floor, 1776 Peachtree Street, N.W.

MC 124692 (Sub-269F), Sammons Trucking, now assigned for hearing March 18, 1980 at the Camelot Hotel, Markham & Broadway, Little Rock, AR., is transferred to March 18, 1980, at the Convention Center, Room 202, Markham & Broadway, Little Rock, AR.

MC 41432 (Sub-160F), East Texas Motor Freight Lines, Inc. now assigned for hearing on April 8, 1980 will be held at the Dupont Plaza Hotel, 899 Stemmons Freeway, Dallas, TX., and continued to April 14, 1980 at the Holiday Inn West, Meridian Street and I–40, Oklahoma City, OK., and continued to April 29, 1980 (4 Days), at Atlanta, GA., continued to May 5, 1980 (5 Days), at Kansas City, MO., continued to June 3, 1980 (4 Days), at Minneapolis, MN., and continued to June 9, 1980 (5 Days), at San Francisco, CA. in a hearing room to be designated later.

MC 141804 (Sub-181F), Western Express, Division of Interstate Rental, Inc., now assigned for hearing on March 12, 1980 at San Francisco, CA. is canceled and transferred to Modified Procedure.

Ex Parte 365, In The Matter of James N. Clay, III., now assigned for prehearing conference in Washington, DC., is postponed to April 17, 1980 prehearing conference at the Offices of the Interstate Commerce Commission in Washington, DC.

MC 143713 (Sub-7F), Agricultural Transportation Association of Illinois, A Corporation, transferred to Modified Procedure.

MC 139395 (Sub-3F), Bulk Transit Corporation, transferred to Modified Procedure.

MC 11207 (Sub-489F), Deaton, Inc., now assigned for hearing on March 19, 1980 at Birmingham, AL in the Social Security Administration, Southeastern Programs Service Center 2001—12th Avenue, North.

MC 121664 (Sub-69F), Hornady Truck Line, Inc., now assigned for hearing on March 20, 1980 at Birmingham, AL in the Social Security Administration, Southeastern Programs Service Center, 2001—12th Avenue, North.

MC 136828 (Sub-28F), Cook Transports, Inc., now assigned for hearing on March 21, 1980 at Birmingham, AL in the Social Security Administration, Southeastern Program Service Center, 2001—12th Avenue, North.

Agatha L. Mergenovich,

Secretary.

[FR Doc. 80–8696 Filed 3–20–80 8:45 am]

BILLING CODE 7035–01–M

Decision-Notice

As indicated by the findings below, the Commission has approved the following applications filed under 49 U.S.C. 10924, 10926, 10931 and 10932.

We find: Each transaction is exempt from section 11343 (formerly section 5) of the Interstate Commerce Act, and complies with the appropriate transfer rules.

This decision is neither a major Federal action significantly affecting the quality of the human environment nor a major regulatory action under the Energy Policy and Conservation Act of 1975.

Petitions seeking reconsideration must be filed on or before April 10, 1980. Replies must be filed within 20 days after the final date for filing petitions for reconsiderations; any interested person may file and serve a reply upon the parties to the proceeding. Petitions which do not comply with the relevant transfer rules at 49 CFR 1132.4 may be rejected.

If petitions for reconsideration are not timely filed, and applicants satisfy the conditions, if any, which have been imposed, the application is granted and they will receive an effective notice. The notice will indicate that consummation of the transfer will be presumed to occur on the 20th day following service of the notice, unless either applicant has advised the Commission that the transfer will not be consummated or that an extension of time for consummation is needed. The notice will also recite the compliance requirements which must be met before the transferee may commence operations.

Applications must comply with any conditions set forth in the following decision-notices within 30 days after publication, or within any approved extension period. Otherwise, the decision-notice shall have no further effect.

By the Commission, Review Board Number 5, The Motor Carrier Board, Members Krock, Pohost, and Taylor.

Agatha L. Mergenovich,

Secretary.

Federal Register Summary for Certificate or Permit

MC–FC–78356 By decision of February 13, 1980 issued under 49 U.S.C. 10926 and the transfer rules at 49 CFR Part 1132. The Motor Carrier Board approved the transfer to Star Motor Freight, Inc. of Certificate No. MC–93518 issued July 2, 1973 to Abrams Hardware, Inc., acquired by Bruce M. Smith & Karen E. Smith, pursuant to MC–FC–77524, consummated May 30, 1978, authorizing the transportation of general commodities, except commodities of excessive value, those requiring refrigeration, petroleum products, in bulk, and articles which exceed the capacity of equipment, between Clarkston, Wash., and Kendrick, Idaho, serving all intermediate points; and those off-route points within 15 miles of Kendrick. From Clarkston over U.S. Highway 12 (formerly U.S. Highway 410) to junction U.S. Highway 95, thence over U.S. Highway 95 to junction Idaho Highway 3 (formerly Idaho Highway 42), and thence over Idaho Highway 3 to Kendrick, and return over the same route. Applicant's representative is: James A. Pingree, 1004 Idaho Street, Lewiston, ID 83501.

MC–FC–78361, MC–FC–78362, and MC–147784. By decision of February 21, 1980 issued under 49 U.S.C. 10926 and the transfer rules at 49 CFR Part 1132, The Motor Carrier Board approved the transfer to TRANS–WAY, INC., Avenel, NJ, in MC–FC–78361, of Certificates MC–58324 (Sub-Nos. 1, 2, and 3), issued May 19, 1954, April 8, 1957, and March 10, 1970, to New York-Mid Hudson Trans. Corp., New York, NY, and in MC–FC–78362, of Certificate No. MC–82091, issued January 30, 1970, to Agee Motor Freight, Inc., Aurora, IL, authorizing the transportation of General commodities (except those of unusual value, Classes A and B explosives, lumber and lumber products, household goods as defined by the Commission, commodities in bulk, and those requiring special equipment), over specified regular routes between (1) Albany and Peekskill, NY, serving all intermediate points between Hudson and Peekskill, including Hudson and the off-route points of Millerton and Rhinecliff, NY, (2) between Beacon and Poughquag, NY, serving all intermediate points, (3) between East Fishkill and Poughkeepsie, NY, serving all intermediate points, (4) between Hopewell and Beacon, NY, serving all intermediate points, (5) between Albany, and Bro Corners, NY, serving all intermediate points, and (6) and between junction NY Hwys 23 and 9G and Poughkeepsie, NY, serving all intermediate points of Saugerties, Kingston and Highland, NY; *General commodities* (except those of unusual value, Class A and B explosives, household goods as defined by the Commission, commodities in bulk, and those requiring special equipment), over specified regular routes, (1) between New York, NY and Albany, NY, serving all intermediate points, and the off-route points of Englewood, North Bergen, West New York, Hoboken, Paterson, Passaic, Clifton, Bloomfield, East Orange, Newark, and Elizabeth, NJ, (2) between Peekskill and Poughkeepsie, NY, serving all intermediate points and the off-route points of Englewood, North Bergen, West New York, Hoboken, Paterson, Passaic, Clifton, Bloomfield, East Orange, Newark, and Elizabeth, NJ, and (3) between Rhindebook, NY and Kingston, NY, serving all intermediate points, and the off-route points of Englewood, North Bergen, West New York, Hoboken, Paterson, Passaic, Clifton, Bloomfield, East Orange, Newark, and Elizabeth, NJ. *General commodities,* (except those of unusual value, classes A and B explosives, commodities in bulk, commodities requiring special equipment and those injurious or contaiminating to other lading), between points within the territory (inclusive of the points specified) bounded by a line beginning at New York, NY and extending in a westerly direction along NJ Hwy 4 to Paterson, NJ, then along U.S Hwy 46 to junction U.S. Hwy 202, then along U.S. Hwy 202 to junction U.S. Hwy 206 to Princeton, NJ, then in a southeasterly direction along an unnumbered highway to Hightstown, NJ, then along NJ Hwy 33 to junction U.S. Hwy 9, then along U.S. Hwy 9 to Perth Amboy, NJ and then in an easterly direction to New York, NY. *Floor covering,* and *materials supplies and equipment,* used or useful in the installation of floor covering, from Philadelphia, PA, to Camden, Harrison, and Kearny, NJ, New York, NY, Wilmington, DE, and Baltimore, MD; *general commodities* (except those of unusual value, Classes A and B explosives, household goods as defined by the Commission, commodities in bulk, commodities requiring special equipment and those injurious or contaminating to other lading), between points in Philadelphia, PA. In MC–147784, as a matter directly related to MC–FC–78361 and MC–FC–78362, the Board denied the application for elimination of the gateway at Trenton NJ. Applicant's representative is Robert B. Pepper, 168 Woodbridge Avenue, Highland Park, NJ 08904.

By decision of February 13, 1980 issued under 49 U.S.C. 10926 and the transfer rules at 49 CFR Part 1132, The Motor Carrier Board approved the transfer to Ag Trucking, Inc., Goshen, IN, of Certificates MC 13367, and MC 13367 (Sub-Nos. 2, 4, 5, 6, 7, 8, 9, and 13), issued October 25, 1957, August 10, 1961, May 11, 1962, October 24, 1962, May 10, 1963, May 1, 1969, March 11, 1964, October 2, 1964, and October 7, 1969, respectively to Rober Merley, and transferred to Hoosier Haulers, Inc., Goshen, IN, pursuant to MC–FC–75147, effective September 17, 1974, authorizing the transportation of *meat scraps, tankage, and dried blood,* from points in IN and the lower peninsula of MI, to Milwaukee, WI; *Meat scraps, tankage, and dried blood,* not fit for human consumption, from Milwaukee, WI, to points in IL, IN, OH, and the lower peninsula of MI; *grain,* over a specified route, between Wakarusa, IN and Chicago, IL, serving intermediate and off-route points within 25 miles of Wakarusa, restricted to pick-up only; *fertilizer,* from Calumet City, IL, to points in IN on and north of U.S. Hwy 40; *dry manufactured fertilizer,* in bulk, from the plant site of Michiana Chemical Co., near Niles, MI, to points in Adams, Allen, Benton, Blackford, Boone, Carroll, Call, Clinton, DeKalb, Delaware, Elkhart, Fountain, Fulton, Grant, Hamilton, Hancock, Hendricks, Henry, Howard, Huntington, Jasper, Jay, Kosciusko, Lagrange, Lake, LaPorte, Madison, Marion, Marshall, Miami, Montgomery, Newton, Noble, Parke, Porter, Pulaski, Putnam, Randolph, Saint Joseph, Starke, Steuben, Tippecanoe, Tipton, Vermillion, Wabash, Warren, Wayne, Wells, Whilte, and Whitley Counties, IN; *Feed,* including *meat scraps and tankage,* From Riverdale and Chicago, IL to points in IN on and north of U.S. Hwy 40; *oats,* from points in IL on and east of a line beginning at Chicago and extending along U.S. Hwy 66 to junction IL Hwy 126, then along IL Highway 126 to Plainfield, then along IL Hwy 59, to junction U.S. Hwy 66, then along U.S. Hwy 66 to junction IL Hwy 129, then along IL Hwy 129 via Braidwood, to junction U.S. Hwy 66, then along U.S. Hwy 66 to Bloomington, then along U.S. Hwy 51 to Decatur, and on and north of U.S. Hwy 36 from Decatur to the IL–IN State line, to points in Elkhart, Lagrange, LaPorte, and St. Joseph Counties, IN; *meat scraps, tankage, and dried blood,* from points in IL and those parts of MO and IA on and

east of a line beginning at Birds Point, MO and extending along U.S. Hwy 60 to junction MO Hwy 77, approximately two miles south of Diehlstadt, MO, then along MO Hwy 77 to junction U.S. Hwy 61, at Benton, MO, then along U.S. Hwy 61 to junction U.S. Hwy 67 at Davenport, IA, then along U.S. Hwy 67 to junction U.S. Hwy 52, at Dubuque, IA, and then along U.S. Hwy 52 to the IA–MN State line, to Milwaukee, WI; *fertilizer,* from points in the Chicago, IL Commercial zone as defined by the Commission, to Charlotte, MI and points in Kalamazoo, Branch, Van Buren, Berrien, Calhoun, Cass, and St. Joseph Counties, MI; *fruits, and vegetables,* from points in Berrien, Van Buren, and Cass Counties, MI, to Chicago, IL; *livestock,* from points in Berrien County, MI, to Chicago, IL; *frozen fruits, frozen berries, and frozen vegetables,* from parts of Berrien and Van Buren Counties, MI within 20 miles of Coloma, MI, to Clevaland, OH, Chicago, IL, and Milwaukee, WI, and from Cleveland, OH, to Milwaukee, WI; *dry fertilizer,* in bulk, in vehicles equipped with pneumatic unloading equipment, from the plant site of Swift and Company, At Calumet City, IL, to points in Allegan, Ottawa, Muskegon, Oceana, Newaygo, and Eaton (Except Charlotte, MI) Counties, MI; *fertilizer,* dry, in bulk, from Plymouth, IN, to points in Allegan, Berrien, Branch, Cass, Kalamazoo, St. Joseph, Van Buren, Kalkaska, Mecosta, Missaukee, Muskegon, Newaygo, Osceola, and Ottawa Counties, MI, resricted against the tracking of this authority with any other authority now held by carrier for the purpose of performing a through service; and *fertilizer and fertilizer materials* (except anhydrous ammonia, and fertilizer or fertilizer materials derived from petroleum products and except in dump vehicles), from Joliet, IL (except from the plant site of Blockson Chemical Division of Olin Mathieson Corporation), to points in IN, MI, and OH, restricted to the transportation of shipments having an immediately prior movement by water or rail. Applicant's representative: Gregory A. Hartzler, 130 N. Main St., Goshen, IN.

Note.—Transferee has leased transferors rights under authority in MC–FC–35478, effective December 7, 1978.

Federal Register Summary for Certificate of Registration

MC–FC–78398 By decision of January 28, 1980 issued under 49 U.S.C. 10926 and the transfer rules at 49 CFR Part 1132, The Motor Carrier Board approved the transfer to Harran Transportation Co., Inc., North Merrick, NY, of Certificate MC–141508, issued June 13, 1978, to Varied Transit, Inc., Pomona, NY, authorizing the transportation of Passengers and their baggage, restricted to traffic originating at the points indicated, in charter operations, from New York, NY, and points in Rockland County, NY, to points in PA, NY, NJ, CT, VT, NH, and DC, and return, subject to the condition that consummation of the transaction may not occur until consummation of the directly related transaction in MC–FC–78399 has occurred. Applicant's representative is: Samuel B. Zinder, 98 Cutter Mill Rd., Great Neck, NY 11021, for transferee, and Piken & Piken, 95–25 Queens Blvd., Rego Park, NY 11374, for transferor.

Federal Register Summary for Certificate or Permit

MC–FC–78399 By decision of January 28, 1980, issued under 49 U.S.C. 10926 and the transfer rules at 49 CFR Part 1132. The Motor Carrier Board approved the transfer to Blue Jay Bus Co., Inc., Mt. Vernon, NY, of Certificate No. MC–40815, issued November 5, 1976 to Harran Transportation Co., Inc., North Merrick, NY, authorizing the transportation of *Passengers and their baggage,* restricted to traffic originating at the point indicated, in charter operations, from New York, NY, to points in NY, NJ, CT, and PA, and return, subject to the condition that the transaction be consummated prior to consummation of the transaction in MC–FC–78398. Applicant's representative is: Samuel B. Zinder, 98 Cutter Mill Road, Great Neck, NY 11021.

Federal Register summary for certificate of registration

MC–FC–78440. By decision of February 4, 1980 issued under 49 U.S.C. 10931 or 10932 and the transfer rules at 49 CFR Part 1132. The Motor Carrier Board approved the transfer to Bobby W. Ginn d/b/a Stub Line of Scottsbluff, Nebraska of Certificate of Registration No. MC–98711 (Sub-No. 1) issued November 7, 1963, to Chester Honey d/b/a Stub Line of Scottsbluff, Nebraska evidencing a right to engage in transportation in interstate commerce corresponding in scope to M–10241 dated May 6, 1953 issued by Nebraska State Railway Commission, authorizing commodities generally, except those requiring special equipment other than refrigeration. Route or territory authorized between Scottsbluff and Henry, via US–26, serving the intermediate points of Mitchell and Morrill, and the off-route points of Lyman, Steegal and Haig, over a regular route. Applicant's representative is: Bobby W. Guinn, 334½ West 19th Street, Scottsbluff, NB 69361.

[FR Doc. 80–8697 Filed 3–20–80; 8:45 am]
BILLING CODE 7035–01–M

Decision-Notice

Dated: March 13, 1980.

The following applications seek approval to consolidate, purchase, merge, lease operating rights and properties, or acquire control of motor carriers pursuant to 49 U.S.C. 11343 or 11344. Also, applications directly related to these motor finance applications (such as conversions, gateway eliminations, and securities issuances) may be involved.

The applications are governed by Special Rule 240 of the Commission's rules of practice (49 CFR 1100.240). These rules provide, among other things, that opposition to the granting of an application must be filed with the Commission within 30 days after the date of notice of filing of the application is published in the **Federal Register.** Failure seasonably to oppose will be construed as a waiver of opposition and participation in the proceeding. Opposition under these rules should comply with Rule 240(c) of the rules of practice which requires that it set forth specifically the grounds upon which it is made, and specify with particularly the facts, matters and things relied upon, but shall not include issues or allegations phrased generally. Oppositon not in reasonable compliance with the requirements of the rules may be rejected. The original and one copy of any protest shall be filed with the Commission, and a copy shall also be served upon applicant's representative or applicant if no representative is named. If the protest includes a request for oral hearing, the request shall meet the requirements of Rule 240(c)(4) of the special rules and shall include the certification required.

Section 240(c) further provides, in part, that an applicant who does not intend timely to prosecute its application shall promptly request its dismissal.

Further processing steps will be by Commission notice or order which will be served on each party of record. *Broadening amendments will not be accepted after March 21, 1980 except for good cause shown.*

Any authority granted may reflect administratively acceptable restrictive amendments to the transaction proposed. Some of the applications may have been modified to conform with Commission policy.

We find with the exception of those applications involving impediments (e.g., jurisdictional problems, unresolved fitness questions, questions involving possible unlawful control, or improper divisions of operating rights) that each applicant has demonstrated, in accordance with the applicable provisions of 49 U.S.C. 11301, 11302, 11343, 11344, and 11349, and with the Commission's rules and regulations, that the proposed transaction should be authorized as stated below. Except where specifically noted this decision is neither a major Federal action significantly affecting the quality of the human environment nor does it appear to qualify as a major regulatory action under the Energy Policy and Conservation Act of 1975.

In those proceedings containing a statement or note that dual operations are or may be involved we find, preliminarily and in the absence of the issue being raised by a protestant, that the proposed dual operations are consistent with the public interest and the national transportation policy subject to the right of the Commission, which is expressly reserved, to impose such conditions as it finds necessary to insure that applicant's operations shall conform to the provisions of 49 U.S.C. 10930.

In the absence of legally sufficient protests as to the finance application *or* any application directly related thereto filed on or before April 21, 1980 (or, if the application later becomes unopposed), appropriate authority will be issued to each applicant (except those with impediments) upon compliance with certain requirements which will be set forth in a notification of effectiveness of this decision-notice. To the extent that the authority sought below may duplicate an applicant's existing authority, the duplication shall not be construed as conferring more than a single operating right.

Applicant(s) must comply with all conditions set forth in the grant or grants of authority within the time period specified in the notice of effectiveness of this decision-notice, or the application of a non-complying applicant shall stand denied.

Decided: March 7, 1980.

By the Commission, Review Board Number 5, Members Krock, Taylor, and Williams.

Agatha Mergenovich

Secretary.

MC–F–14267F, filed December 14, 1979. (Correction) (Previously published in the **Federal Register** on February 28, 1980.) TROMBLY MOTOR COACH SERVICE, INC., (Trombly) (Route 125 By-Pass, North Andover, MA 08145)—PURCHASE (PORTION)—THE GRAY LINE, INC., (Gray) (620 Statler Office Bld., 20 Providence St., Boston, MA 02116). Representative: Daniel C. Sullivan, 10 S. LaSalle, Suite 1600, Chicago, IL 60603. Trombly seeks authority to purchase a portion of the interstate operating rights of Gray. Francis J. Trombly, Sr., James F. Trombly, Francis J. Trombly, Jr., and Michael J. Trombly, persons in control of Trombly, seek to acquire control of said rights through the transaction. Trombly is purchasing those portions of the interstate operating rights of Gray that are contained in MC–69394 and MC–69394 (Sub-No. 8) which authorize the transportation, over irregular routes, of (1) *passengers and their baggage,* in round-trip or one-way charter operations, with no seasonal restriction, beginning and ending at those points in Essex, Suffolk, and Middlesex Counties, MA, which are north of U.S. Hwy 20 and within 20 miles of the State House, Boston, MA (except Boston, Cambridge, Chelsea, and Winthrop), and extending to points in ME, NH, RI, CT, NY, and DC, and the ports of entry on the International Boundary line between the United States and Canada in VT; and (2) *passengers and their baggage,* restricted to traffic originating at the points indicated, in round trip or one-way charter operations, beginning and ending at those points in Essex, Suffolk, and Middlesex Counties, MA, which are north of U.S. Hwy 20 and within 20 miles of the city limits of Boston, MA (except Boston, Cambridge, Chelsea, and Winthrop), and extending to points in CT, NH, NY, PA, RI, and VT. Gray is retaining those portions of MC–69394 and MC–69394 (Sub-No. 8) which authorize the transportation, over irregular routes, of (1) *passengers and their baggage,* in round-trip or one-way charter operations, with no seasonal restriction, beginning and ending at Boston, Cambridge, Chelsea, and Winthrop, MA and extending to points in ME, NH, RI, CT, NY, and DC, and the ports of entry on the International Boundary line between the United States and Canada in VT; and (2) *passengers and their baggage,* restricted to traffic originating at the points indicated, in round trip or one-way charter operations, beginning and ending at Boston, Cambridge, Chelsea and Winthrop, MA, and extending to points in CT, NH, NY, PA, RI, and VT. Trombly is a motor common carrier of passengers and their baggage, over regular and irregular routes, pursuant to certificates in MC–116313 and sub-numbers thereunder. (Hearing site: Boston, MA, or Chicago, IL.)

Notes.—(1) Application for temporary authority has been filed. (2) The purpose of this republication is to delete Note (2) as it was published on February 26, 1980. This proceeding does not involve an illegal split of authority.

[FR Doc. 80-8700 Filed 3-20-80; 8:45 am]

BILLING CODE 7035-01-M

[Case No. 69825*]

General Increase Middlewest Motor Freight Bureau, April 1980

March 14, 1980.

To all parties of the record: The purpose of this letter is to alert all parties to these general rate increase proceedings that the Commission will consider, among other things, the profitability of the traffic at issue in evaluating the need for additional revenue. At present, return on equity (ROE) is used as one measure of issue traffic profitablity in the Commission's deliberations. In determining this ROE, equity is allocated to issue traffic on the basis of the percentage relationship of issue traffic expenses to system expenses on a composite basis for the study carriers.

The rate bureaus which are parties in these proceedings may elect to supplement their original filing with information they may deem relevant to the Commission's decision. The Commission, however, is not seeking any specific additional information. We believe that the record is sufficient to evaluate the proposed rate increases including our consideration of issue traffic profitability.

If the rate bureaus elect to submit additional information, it should be filed with the Commission on or before March 24, 1980 and should be served on all known parties of record. Any party may file a reply within the following three days.

This letter is being published in the **Federal Register** and served on all parties of record.

Sincerely,

Darius W. Gaskins, Jr.,

Chairman.

[FR Doc. 80-6701 Filed 3-20-80; 8:45 am]

BILLING CODE 7035-01-M

Transfer Proceedings

The following publications include motor carrier, water carrier, broker, and freight forwarder transfer applications

* Also involves Case No. 69816—PITB, Case No. 69818—New England, Case No. 69820—Eastern Central, Case No. 69822—Rocky Mountain, Case No. 69824—Middle Atlantic, Case No. 69819—Southern Motor, Case No. 69823—Central & Southern, Case No. 69831—Central States.

filed under Section 212(b), 206(a), 211, 312(b), and 410(g) of the Interstate Commerce Act.

Each application (except as otherwise specifically noted) contains a statement by applicants that there will be no significant effect on the quality of the human environment resulting from approval of the application.

Protests against approval of the application, which may include request for oral hearing, must be filed with the Commission on or before April 21, 1980. Failure seasonably to file a protest will be construed as a waiver of opposition and participation in the proceeding. A protest must be served upon applicants' representative(s), or applicants (if no such representative is named), and the protestant must certify that such service has been made.

Unless otherwise specified, the signed original and six copies of the protest shall be filed with the Commission. All protests must specify with particularity the factual basis, and the section of the Act, or the applicable rule governing the proposed transfer which protestant believes would preclude approval of the application. If the protest contains a request for oral hearing, the request shall be supported by an explanation as to why the evidence sought to be presented cannot reasonably be submitted through the use of affidavits.

The operating rights set forth below are in synopses form, but are deemed sufficient to place interested persons on notice of the proposed transfer.

By the Commission.

Agatha L. Mergenovich,

Secretary.

MC-FC-78115 filed April 16, 1979. Transferee: ROBERT G. SEXSMITH AND ROBERT G. SEXSMITH, JR. doing business as SEN-JUR SERVICE CO., 13459 Rose, Gibralter, MI 48173. Transferor: JACK L. SOWARDS doing business as GLOBE GUARD SERVICE, P.O. Box 1095, Southgate, MI 48195. Authority sought for purchase by Transferee of the operating rights of Transferor, as set forth in Permit No. MC 142243, Sub No. 1, issued March 28, 1977, as follows: *Passengers and their baggage,* in the same vehicle with passengers, between the terminals and railroad rights-of-way of the Detroit, Toledo & Ironton Railroad Company located in OH and MI, restricted to a transportation service to be performed under a continuing contract or contracts with the Detroit, Toledo & Ironton Railroad Company of Dearborn, MI. Transferee presently holds no authority from this Commission. Application has not been filed for Temporary Authority under Section 210a(b). Representative: William B. Elmer, 21635 East Nine Mile Road, St. Clair Shores, MI 48080.

[FR Doc. 80-8698 Filed 3-20-80; 8:45 am]

BILLING CODE 7035-01-M

[Vol. No. 4]

Petitions for Modification, Interpretation or Reinstatement of Motor Carrier Operating Rights Authority; Notice

Dated: March 7, 1980.

The following petitions seek modification or interpretation of existing motor carrier operating rights authority, or reinstatement of terminated motor carrier operating rights authority.

All pleadings and documents must clearly specify the suffix numbers (e.g., M1 F, M2 F) where the docket is so identified in this notice.

The following petitions, filed on or after March 1, 1979, are governed by Special Rule 247 of the Commission's general rules of practice (49 CFR 1100.247). These rules provide, among other things, that a *petition to intervene either with or without leave* must be filed with the Commission within 30 days after the date of publication in the **Federal Register** with a copy being furnished the applicant. Protests to these applications will be *rejected.*

A petition for intervention without leave must comply with Rule 247(k) which requires petitioner to demonstrate that if (1) holds operating authority permitting performance of any of the service which the applicant seeks authority to perform, (2) has the necessary equipment and facilities for performing that service, and (3) has performed service within the scope of the application either (a) for those supporting the application, or, (b) where the service is not limited to the facilities of particular shippers, from and to, or between, any of the involved points.

Persons unable to intervene under Rule 247(k) may file a petition for leave to intervene under Rule 247(l). In deciding whether to grant leave to intervene, the Commission considers, among other things, whether petitioner has (a) solicited the traffic or business of these persons supporting the application, or, (b) where the identity of those supporting the application is not included in the published application notice, has solicited traffic or business identical to any part of that sought by applicant within the affected marketplace. Another factor considered is the effects of any decision on petitioner's interests.

Samples of petitions and the text and explanation of the intervention rules can be found at 43 FR 50908, as modified at 43 FR 60277.

Petitions not in reasonable compliance with these rules may be rejected. Note that Rule 247(e), were not inconsistent with the intervention rules, still applies. Especially refer to Rule 247(e) for requirements as to supplying a copy of conflicting authority, serving the petition on applicant's representative, and oral hearing requests.

MC 730 (Sub-272) (M2F) (notice of filing of petition to modify certicate), filed July 6, 1979. Petitioner: PACIFIC INTERMOUNTAIN EXPRESS CO., a corporation, P.O. Box 8004, Walnut Creek, CA 94596. Representative: A. G. Krebs (same address as petitioner). Petitioner holds a motor *common carrier* certificate in MC 730 (Sub-272), issued October 27, 1966, authorizing transportation over irregular routes, as pertinent, of *general commodities* (except those of unusual value, classes A and B explosives, household goods as defined by the Commission, commodities in bulk, and those requiring special equipment), between all authorized points in OH located on the first five regular routes described in Part (A) under the second commodity description in Part (A), including off-route points, and on the one hand, and, on the other, all other points in OH located on or north of a line extending from Cincinnati, OH, along US Hwy 42 to junction US Hwy 40, then along US Hwy 40 to junction OH Hwy 440 at or near Kirkersville, OH, then along OH Hwy 440 to junction US Hwy 40 near Gratiot, OH, then along US Hwy 40 to junction US Hwy 22, then along US Hwy 22 via Norwich, OH to junction US Hwy 40, then along US Hwy 40 to the OH-WV State line, with service limited to the pickup of eastbound shipments and the delivery of westbound shipments moving over the five regular routes referred to above described in Part (A). By the instant petition, petitioner seeks to modify the above authority by deleting the language of "the pickup of eastbound shipments and the delivery of westbound".

MC 15401 (Sub-1) (MIF) (Notice of filing of petition to modify certificate), filed July 20, 1979. Petitioner: STORER TRANSPORTATION SERVICE, INC., 3519 McDonald ave., Modesto, CA 95351. Representative: Raymond A. Greene, Jr., 100 Pine St., Suite 2550, San Francisco, CA 94111. Petitioner holds a motor *common carrier* certificate in MC 15401 (Sub-1), issued June 30, 1977, authorizing transportation, over irregular routes, of (1) *passengers and their baggage,* in the same vehicle with passengers, in special and charter

operations, in round-trip pleasure and sightseeing tours, beginning and ending at points in Mariposa and Tuolumne Counties, CA, and extending to points in the United States (except AK and HI), and (2) *passengers and their baggage*, in the same vehicle with passengers, in special operations, in round-trip pleasure and sightseeing tours, beginning and ending at points in Stanislaus County, CA, and extending to points in the United States (except AK and HI). By the instant petition, petitioner seeks to modify the above authority by deleting the language "in round-trip pleasure and sightseeing tours" from the commodity description in (1) and (2) above.

MC 42011 (MIF) (Notice of filing of petition to modify certificate), filed June 22, 1979. Petitioner: D. Q. WISE & CO., INC., P.O. Drawer L, Tulsa, OK 74112. Representative: J. G. Dail, Jr., P.O. Box LL, McLean, VA 22101. Petitioner holds a motor *common carrier* certificate in MC 42011, issued April 1, 1965, authorizing transportation, over irregular routes, as pertinent, of *heavy machinery* and *parts thereof*, in truckload lots, (1) from Chicago, IL, Milwaukee, WI, and Indianapolis and Evansville, IN, to points in OK, and (2) between points in OK, on the one hand, and, on the other, points in AR and KS, those in that part of MO on and south of US Hwy 54, and those in that part of TX on and north of US Hwy 80. By the instant petition, petitioner seeks to modify the commodity description to authorize, within the same territory, the transportation of a *commodities*, the transportation of which because of size or weight requires the use of special equipment; (b) *related articles* and *supplies* when their transportation is incidental to the transportation of commodities which because of size or weight require special equipment; (c) *parts* of commodities which because of size or weight require special equipment; and (d) *self-prepelled articles* each weighing 15,000 lbs. or more restricted to commodities which are transported on trailers.

MC 66101 (M1F) (Notice of filing of petition to modify certificate), filed July 25, 1979. Petitioner: AFT SERVICES, INC., 303 South St., Newark, NJ 07114. Representative: George A. Olsen, 69 Tonnele Ave., Jersey City, NJ 07306. Petitioner holds a motor *common carrier* certificate in MC 66101, issued November 19, 1974, authorizing transportation, over irregular routes, of *general commodities*, (except those of unusual value, classes A and B explosives, livestock, household goods, as defined by the Commission, and commodities requiring dump or tank trucks, between points in that part of the New York, NY Commercial Zone, as defined in Commercial Zones and Terminal Areas, 53 M.C.C. 451, within which local operations may be conducted pursuant to the partial exemption of section 203(b)(8) of the Act (the "exempt" zone), and those points in NJ within 5 miles of New York, NY, and all of any municipality in NJ any part of which is within 5 miles of New York, NY, on the one hand, and, on the other, points in NY, and NJ, within 35 miles of Columbus Circle, New York, NY. By the instant petition, petitioner seeks to broaden the territorial description to read "between New York, NY, on the one hand, and, on the other, points in NJ".

MC 85970 (Sub-8)(M1F) (notice of filing of petition to modify certificate), filed August 15, 1979. Petitioner: SARTAIN TRUCK LINE, INC., 1354 N. Second St., Memphis, TN 38107. Representative: Warren A. Goff, 2008 Clark Tower, 5100 Poplar Ave., Memphis, TN 38137. Petitioner holds motor *common carrier* certificate in MC 85970 (Sub-8), issued September 26, 1977, authorizing transportation, over regular routes, as pertinent, in summary, of *general commodities*, with the usual exceptions, between Memphis, TN and St. Louis, MO, serving numerouis intermediate points subject to the following restrictions: (A) Service at Memphis, TN is restricted to that portion of Memphis and its commercial zone as defined by the Commission lying wholly within TN. (B) Service at Memphis, TN and its commercial zone is restricted against the handling of traffic originating at, destined to, or interchanged at Dyersburg, TN and its commercial zone as defined by the Commission. (C) Service at the St. Louis, MO and East St. Louis, IL commercial zone is restricted against the handling of traffic originating at or destined to, but not against traffic interchanged at Dyersburg and/or Newbern, TN and their respective commercial zones as defined by the Commission. (D) Said operations are restricted against the transportation of traffic moving between points in the St. Louis, MO-East St. Louis, IL commercial zone, on the one hand, and, on the other, Memphis and Jackson, TN, and points in their commerical zones as defined by the Commission. By the instant petition, petitioner seeks to modify the above authority be deleting the above restrictions.

MC 95540 (Sub-1004F)(M1F) (notice of filing of petition to modify certificate), filed August 9, 1979. Petitioner: WATKINS MOTOR LINES, INC., 1144 West Griffin Rd., Lakeland, FL 33801. Representative: Clyde W. Carver, P.O. Box 720434, Atlanta, GA 30328. Petitioner holds a motor *common carrier* certificate in MC 95540 (Sub-1004F), issued July 25, 1979, authorizing transportation, over irregular routes, of *frozen foods* (except in bulk), from the facilities utilized by Termicold Corporation, and the facilities utilized by Ida Foods, Inc., at or near Plover, WI, to points in AL, AR, FL, GA, LA, MS, NC, SC, TN, and TX, restricted to the transportation of traffic originating at the named origin facilities and destined to the named destinations. By the instant petition, petitioner seeks to modify the above authority by deleting the language "from the facilities utilized by Termicold Corporation," and "the facilities utilized by Ida Foods, Inc." from the territorial description.

MC 95540 (Sub-1025F)(M1F) (notice of filing of petition to modify certificate), filed August 14, 1979. Petitioner: WATKINS MOTOR LINES, INC., 1144 West Griffin Rd., Lakeland, FL 33801. Representative: Clyde W. Carver, P.O. Box 720434, Atlanta, GA 30328. Petitioner holds a motor *common carrier* certificate in MC 95540 (Sub-1025F), issued July 2, 1979, authorizing transportation, over irregular routes, of *drugs, toilet preparations, health care products, magnesium hydroxide, and alumina calcined* (except commodities in bulk), in vehicles equipped with mechanical refrigeration, (1)(a) from Philadelphia, PA, and (b) from Lakewood, NJ, and Lewes, DE, to points in the United States (except AK, CT, DE, HI, ME, MD, MA, NH, NJ, PA, RI, VA, VT, WV, and DC), (2) from Friendship, NC, to points in the United States (except AK, HI, NC, SC, and VA), (3) from Reno, NV, to points in PA, and (4) from San Leandro, CA, to points in GA, IN, and PA, restricted in (1) through (4) above to the transportation of traffic originating at the named origins and destined to the indicated destinations, and further restricted in (1)(a) above to traffic originating at the facilities of SmithKline Corp.; Merck, Sharpe & Dohme, Division of Merck & Co., Inc.; Wyeth Laboratories, Division of American Home Products Corporation; Vicks Health Care Division of Richardson-Merrell, Inc.; Wm. H. Rorer, Inc.; or McNeil Labs., Inc. By the instant petition, petitioner seeks to modify the authority by deleting the language "in vehicles equipped with mechanical refrigeration".

MC 108340 (Sub-28) (M1F) (Notice of filing of petition to modify certificate), filed August 6, 1979. Petitioner: HANEY TRUCK LINE, #1 Haney Lane, P.O. Box

485, Cornelius, OR 97113. Representative: Lawrence V. Smart, Jr., 419 N.W. 23rd Ave., Portland, OR 97210. Petitioner holds a motor *common carrier* certificate in MC-108340 Sub 28, issued January 8, 1976, authorizing transportation, over irregular routes, of *dry urea and dry fertilizer*, in bags, from Portland, OR, to points in WA. By the instant petition, petitioner seeks to modify the above authority by deleting the language "in bags" from the commodity description.

MC 109821 (Sub-5) (M4F) (Notice of filing of petition to modify certificate), filed August 13, 1979. Petitioner: TAYNTON FREIGHT SYSTEM, INC., 40 Main St., Wellsboro, PA 16901. Representative: Dewey T. Whitford (same address as petitioner). Petitioner holds a motor *common carrier* certificate in MC-109821 Sub 5, issued March 3, 1978, authorizing transportation, over irregular routes, as pertinent, of *manufactured glass products* and *commodities* and *equipment* used in the manufacture, sale, and shipment of manufactured glass products, between Buffalo, Corning, Elmira, and Horseheads, NY, Boyertown, Bradford, Charleroi, Port Allegany, Wellsboro, and Dale Summit, PA, and Fairmont and Parkersburg, WV, on the one hand, and, on the other, points in CT, IN, KY, MA, NJ, NY, OH, PA, RI, and WV (except that no traffic shall be transported between Bradford and Port Allegany, PA, on the one hand, and, on the other, points in that part of NY on and west of a line beginning at Oswego, NY, and extending along NY Hwy 57 to Syracuse, NY, and then along US Hwy 11 to the NY-PA State line). By the instant petition, petitioner seeks to add Harrodsburg, KY, Greenville, OH, Greencastle, PA, and Martinsburg, WV, as base points to the territorial description.

MC 113313 (Sub-2)(M1F), (Notice of filing of petition to modify certificate), filed August 17, 1979. Petitioner: UNION TRUCKING CO., INC., P.O. Box 284, Kenilworth, NJ 07033. Representative: George A. Olsen, P.O. Box 357, Gladstone, NJ 07934. Petitioner holds a motor *common carrier* certificate in MC-113313 Sub 2 issued November 21, 1975, authorizing transportation in interstate or foreign commerce, over irregular routes, *General commodities* except those of unusual value, classes A and B explosives, household goods as defined by the Commission, commodities in bulk, and those requiring special equipment), between points in Hudson, Bergen, Essex, Passaic, Middlesex, and Union Counties, NJ, on the one hand, and, on the other points in that portion of the NY, NY Commercial Zone as defined in *Commercial Zones and Terminal Areas*, 53 M.C.C. 451 (1951), within which local operations may be conducted pursuant to the partial exemption of Section 203(b)(8) of the Act (the "exempt" zone), points in NJ within the New York, NY Commercial Zone as defined in *Commercial Zones and Terminal Areas*, 53 M.C.C. 451 and 496 (1951) and points in Nassau, Suffolk, Westchester, Rockland, and Orange Counties, NY. Restriction: The operations authorized herein are subject to the following conditions: Said operations are restricted against the transportation of traffic originating at or destined to the facilities of MacMillan Bloedel Containers, Inc., at Union, NJ. The authority granted herein shall be subject to the right of the Commission, which is hereby expressly reserved, to impose such terms, conditions, or limitations in the future as it may find necessary in order to insure that carrier's operations shall conform to the provisions of Section 210 of the Act. By the instant petition, petitioners seek to modify the territorial description to read as follows: Between points in NJ on the one hand, and, on the other, points in that portion of the NY, NY Commercial Zone, as defined in *Commercial Zones and Terminal Areas*, 53 M.C.C. 451 (1951) within which local operations may be conducted pursuant to the partial exemption of Section 203(b)(8) of the Act (the "exempt" zone), points in NJ within the NY, NY Commercial Zone as defined in *Commercial Zones and Terminal Areas*, 53 M.C.C. 351 at 496 (1951) and points in Nassau, Suffolk, Westchester, Rockland, and Orange Counties, N.Y. Restriction: The operations authorized herein are subject to the following conditions: Said operations are restricted against the transportation of traffic originating at or destined to the facilities of MacMillan Bloedel Containers, Inc., at Union, NJ. The authority granted herein shall be subject to the right of the Commission, which is hereby expressly reserved, to impose such terms, conditions, or limitations in the future as it may find necessary in order to insure that carrier's operations shall conform to the provisions of Section 210 of the Act.

MC 135335, M1 F, Notice of filing of petition to modify certificate, filed August 17, 1979. Petitioner: THE KINMAN WRECKER SERVICE, INC., 840 East Sumner Ave., Indianapolis, IN 46227. Representative: Roger L. Kinman (same address as petitioner). Petitioner holds *common carrier* authority, over irregular routes, in MC 135335, served August 8, 1973, transporting *wrecked, disabled, and repossessed motor vehicles, replacement vehicles* for wrecked or disabled motor vehciles, and *motor vehicle parts, materials and supplies* used in connection with wrecked or disabled vehicles, by the use of wrecker equipment only, between points in IN, on the one hand, and, on the other, points in AL, AR, DE, GA, IL, IA, KS, KY, MD, MI, MN, MS, MO, NJ, NY, NC, OH, PA, SC, TN, VA, WV, WI, and DC. By the instant petition, petitioner seeks to delete the restrictive phrase "by the use of wrecker equipment only".

MC 138425, M1 F, filed August 24, 1979, Notice of filing of petition to modify a certificate. Petitioner: HELMRICH TOWING SERVICE, 4450 Marlton Pike, Pennsauken, NJ 08110. Representative: H. Alfred King, Sr. (same address as petitioner). Petitioner holds *common carrier* authority in MC 138425, served January 11, 1974, over irregular routes, transporting *wrecked, disabled, and inoperative motor vehicles* and *trailers and replacement motor vehicles* and trailers in towaway service by wrecker equipment, between points in NJ, on the one hand, and, on the other, points in CT, DE, MD, NY, OH, PA, VA, and DC. By the instant petition, petitioner seeks to delete "in towaway service by wrecker equipment".

MC 143384 M1 F, filed August 13, 1979, Notice of filing of petition to add a contracting shipper. Petitioner: SENTER TRANSPORTATION CO., INC., 65 Hale St., Haverhill, MA 01830. Representative: David M. Marshall, 101 State St—Suite 304, Springfield, MA 01103. Petitioner holds a motor *contract carrier* Permit in MC 143384 issued May 17, 1978, authorizing transportation, over irregular routes, of *refined petroleum products*, in bulk, in tank vehicles, from Chelsea, Revere, and Boston, MA, to points in Rockingham, Hillsborough, Cheshire, Sullivan, Merrimack, Strafford and Belknap Counties, NH. RESTRICTION: The operations authorized herein are limited to a transportation service to be performed, under a continuing contract(s) with Northeast Petroleum Corp.; P.C. Petroleum, Inc., and Old Colony Petroleum Company, Inc., all located at Chelsea, MA. By the instant Petition, Petitioner seeks to add Mobil Oil Corporation, Fellows Oil Co. Inc. and Chevron U.S.A. Inc. as contracting shippers.

Republications of Grants of Operating Rights Authority Prior to Certification Notice

The following grants of operating rights authorities are republished by order of the Commission to indicate a broadened grant of authority over that previously noticed in the **Federal Register.**

An original and one copy of a petition for leave to intervene in the proceeding must be filed with the Commission on or before April 21, 1980. Such pleading shall comply with Special Rule 247(e) of the Commission's *General Rules of Practice* (49 CFR 1100.247) addressing specifically the issue(s) indicated as the purpose for republication, and including copies of intervenor's conflicting authorities and a concise statement of intervenor's interest in the proceeding setting forth in detail the precise manner in which it has been prejudiced by lack of notice of the authority granted. A copy of the pleading shall be served concurrently upon the carrier's representative, or carrier if no representative is named.

MC 126118 (Sub-128F) (Republication), filed February 5, 1979, published in the **Federal Register** issue of March 27, 1979, and republished this issue. Applicant: CRETE CARRIER CORPORATION, P.O. Box 81228, Lincoln, NE 68501. Representative: Duane W. Acklie (same address as applicant). A Decision of the Commission, Review Board Number 3, decided January 18, 1980, and served February 13, 1980, finds that the present and future public convenience and necessity require operations by applicant in interstate or foreign commerce as a *common carrier,* by motor vehicle, over irregular routes, transporting *such commodities as are used by and dealt in by metal fabricators and manufacturers and distributors of containers and hoists* (except liquid commodities in bulk) (1) between Beatrice, NE, and Dyersburg, TN, on the one hand, and, on the other, points in the United States (except AK and HI), (2) from Lewisport, KY, Davenport, IA, Alcoa, TN, Louisville, KY, Sandow, TX, and Spokane, WA, to Kansas City, MO, and (3) from Massillon, OH, Detroit, MI, Midland, PA, and Chicago, IL, to Sedalia, MO, that applicant is fit, willing, and able properly to perform such service and to conform to the requirements of the Interstate Commerce Act and the Commission's rules and regulations. The purpose of this republication is to indicate applicant's actual grant of authority.

Permanent Authority Decisions, Decision-Notice, Substitution Applications: Single-Line Service for Existing Joint-Life Service

Decided: March 3, 1980.

The following applications, filed on or after April 1, 1979, are governed by the special procedures set forth in § 1062.2 of Title 49 of the Code of Federal Regulations (49 CFR 1062.2).

The rules provide, in part, that carriers may file petitions with this Commission for the purpose of seeking intervention in these proceedings. Such petitions may seek intervention either with or without leave as discussed below. However, all such petitions must be filed in the form of verified statements, and contain all of the information offered by the submitting party in opposition. Petitions must be filed with the Commission on or before April 21, 1980.

Petitions for intervention without leave (i.e. automatic intervention), may be filed only by carriers which are, or have been, participating in the joint-line service sought to be replaced by applicant's single-line proposal, and then only if such participation has occurred within the one-year period immediately proceeding the application's filing. Only carriers which fall within this filing category can base their opposition upon the issue of the public need for the proposed service.

Petitions for intervention with leave may be filed by any carrier. The nature of the opposition; however, must be limited to issues other than the public need for the proposed service. The appropriate basis for opposition, i.e. applicant's fitness, may include challenges concerning the veracity of the applicant's supporting information, and the bona-fides of the joint-line service sought to be replaced (including the issue of its substantiality). Petitions containing only unsupported and undocumented allegations will be rejected.

Petitions not in reasonable compliance with the requirements of the rules may be rejected. An original and one copy of the petition to intervene shall be filed with the Commission, and a copy shall be served concurrently upon applicant's representative, or upon applicant if no representative is named.

Further processing steps will be by Commission notice, decision, or letter which will be served on each party of record. *Broadening amendments will not be accepted after March 21, 1980.*

Any authority granted may reflect administratively acceptable restrictive amendments to the service proposed below. Some of the applications may have been modified to conform to the Commission's policy of simplifying grants of operating authority.

Findings: With the exception of those applications involving duly noted problems (e.g., unresolved common control, unresolved fitness questions, and jurisdictional problems) we find, preliminarily, that each applicant has demonstrated that its proposed service is required by the present and future public convenience and necessity. Each applicant is fit, willing, and able properly to perform the service proposed and to conform to the requirements of Title 49, Subtitle IV, United States Code, and the Commission's regulations. Except where specifically noted, this decision is neither a major Federal action significantly affecting the quality of the human environment nor a major regulatory action under the Energy Policy and Conservation Act of 1975.

In those proceedings containing a statement or note that dual operations are or may be involved we find, preliminarily and in the absence of the issue being raised by a petitioner, that the proposed dual operations are consistent with the public interest and the transportation policy of 49 U.S.C. 10101 subject to the right of the Commission, which is expressly reserved, to impose such terms, conditions or limitations as it finds necessary to insure that applicant's operations shall conform to the provisions of 49 U.S.C. 10930(a) (formerly section 210 of the Interstate Commerce Act).

In the absence of legally sufficient petitions for intervention, filed on or before April 21, 1980 (or, if the application later becomes unopposed), appropriate authority will be issued to each applicant (except those with duly noted problems) upon compliance with certain requirements which will be set forth in a notification of effectiveness of the decision-notice. To the extent that the authority sought below may duplicate an applicant's other authority, such duplication shall be construed as conferring only a single operating right.

Applicants must comply with all specific conditions set forth in the grant or grants of authority within 90 days after the service of the notification of the effectiveness of this decision-notice, or the application of a non-complying applicant shall stand denied.

By the Commission, Review Board Number 4, Members Fitzpatrick, Fisher, and Felder. Member Felder not participating.

MC 103373 (Sub-7F), filed July 19, 1979. Applicant: HOWARD MARTIN, INC., 4315 Meyer Rd., Fort Wayne, IN 46801. Representative: Leonard R.

Kofkin, 39 South La Salle St., Chicago, IL 60603. To operate as a *common carrier*, by motor vehicle, in interstate or foreign commerce, over irregular routes, transporting *such commodities*, which, because of size or weight, require the use of special equipment or special handling, between points in OH, IN, MI, and those in Lake, Cook, and DuPage Counties, IL, on the one hand, and, on the other, points in AL, AR, CO, CT, DE, FL, GA, ID, IL, IA, KY, LA, ME, MD, MA, MT, MN, MS, MO, NE, NH, NJ, NY, NC, ND, OK, OR, PA, RI, SC, SD, TN, TX, UT, VT, VA, WA, WV, WI, WY, and DC. (Hearing site: Chicago, IL.)

Note.—The sole purpose of this application is to substitute single-line for joint-line operations.

MC 119656 (Sub-61F), filed June 27, 1979. Applicant: NORTH EXPRESS, INC., 219 Main St., Winamac, IN 46996. Representative: Donald W. Smith, P.O. Box 40248, Indianapolis, IN 46240. To operate as a *common carrier*, by motor vehicle, in interstate or foreign commerce, over irregular routes, transporting *iron and steel articles*, from the facilities of Bethlehem Steel Corporation, at Lackawanna, NY, to points in CO, IA, KS, MN, MO, MT, NE, ND, and SD, restricted to the transportation of traffic originating at the named origin. (Hearing site: Pittsburgh, PA.)

Note.—The sole purpose of this application is to substitute single-line for joint-line operations.

MC 127042 (Sub-277F), filed September 10, 1979. Applicant: HAGEN, INC., P.O. Box 98—Leeds Station, Sioux City, IA 51108. Representative: Joseph B. Davis (same address as applicant). To operate as a *common carrier*, by motor vehicle, in interstate or foreign commerce, over irregular routes, transporting (1) *cleaning, scouring, washing, and buffing compounds* (2) *toilet preparations* and (3) *drugs, and sodium hypochlorite solutions*, (except commodities in bulk), from Chicago, IL to Denver, CO, Kansas City, MO, Minneapolis, MN, and points in CA. (Hearing site: not specified.)

Note.—The sole purpose of this application is to substitute single-line for joint-line operations.

MC 138322 (Sub-12F), filed August 22, 1979. Applicant: BHY TRUCKING, INC., 9231 Whitmore St., El Monte, CA 91733. Representative: Robert Fuller, 13215 E. Penn St., Suite 310, Whittier, CA 90602. To operate as a *common carrier* by motor vehicle, in interstate or foreign commerce, over irregular routes, transporting (a) *oilfield machinery, materials, equipment, supplies* and (b) *mining and road building machinery and equipment, including structural steel, pipe and well casing* (excluding classes A and B explosives), and (c) *used construction camp equipment*, which because of size or weight require the use of special equipment, (1) between Gallup, NM and points in CO, on the one hand, and, on the other, Holbrook and Ehrenberg, AZ, and (2) between Holbrook, AZ, on the one hand, and, on the other, points in NM, and (3) between Pueblo, CO, on the one hand, and, on the other, Boise City, OK, and points in KS. (Hearing site: Los Angeles or San Francisco, CA.)

Note.—The sole purpose of this application is to substitute single-line for joint-line operations.

Motor Carrier Operating Rights Applications; Notice

The following applications, filed on or after March 1, 1979, are governed by Special Rule 247 of the Commission's general rules of practice (49 CFR 1100.247). These rules provide, among other things, that a *petition to intervene either with or without leave* must be filed with the Commission within 30 days after the date of publication in the **Federal Register** with a copy being furnished the applicant. Protests to these applications *will be rejected.*

A petition for intervention without leave must comply with Rule 247(k) which requires petitioner to demonstrate that it (1) holds operating authority permitting performance of any of the service which the applicant seeks authority to perform, (2) has the necessary equipment and facilities for performing that service, and (3) has performed service within the scope of the application either (a) for those supporting the application, or, (b) where the service is not limited to the facilities of particular shippers, from and to, or between, any of the involved points.

Persons unable to intervene under Rule 247(k) may file a petition for leave to intervene under Rule 247(l). In deciding whether to grant leave to intervene, the Commission considers, among other things, whether petitioner has (a) solicited the traffic or business of those persons supporting the application, or, (b) where the identity of those supporting the application is not included in the published application notice, has solicited traffic or business identical to any part of that sought by applicant within the affected marketplace. Another factor considered is the effects of any decision on petitioner's interests.

Samples of petitions and the text and explanation of the intervention rules can be found at 43 FR 50908, as modified at 43 FR 60277. Petitions not in reasonable compliance with these rules may be rejected. Note that Rule 247(e), where not inconsistent with the intervention rules, still applies. Especially refer to Rule 247(e) for requirements as to supplying a copy of conflicting authority, serving the petition on applicant's representative, and oral hearing requests.

MC 13300 (Sub-92F), filed February 26, 1979, under the provisions of the *Superhighway Rules—Motor Common Carriers of Passengers*, 49 CFR 1042.1. Applicant: CAROLINA COACH COMPANY, d.b.a. CAROLINA TRAILWAYS, a corporation, 1201 S. Blount St., P.O. Box 28086, Raleigh, NC 27611. Representative: Lawrence E. Lindeman, 1032 Pennsylvania Bldg., Pennsylvania Ave. & 13th St., N.W., Washington, DC 20004. Authority sought to operate as a *common carrier*, by motor vehicle, in interstate or foreign commerce, over regular routes, transporting *passengers and their baggage*, and *express and newspapers* in the same vehicle with passengers, between junction Interstate Hwy 95 and Interstate Hwy 95 access route near Gold Rock, NC and junction U. S. Hwy 301 and Interstate Hwy 95 near Kenly, NC, over Interstate Hwy 95, serving all intermediate points. Conditions: (1) this grant of authority shall not be severable, except upon this Commission's authorization, by sale or otherwise, from the appurtenant underlying authorized service routes between termini set forth in the grant of authority herein, and (2) applicant is required to maintain reasonable and adequate service over the said appurtenant service routes.

MC 148091F, filed June 4, 1979, including Motion to Dismiss Application. Applicant: TRI-COUNTY ENTERPRISES, INC., 21262 Telegraph Road, Southfield, MI 48075. Representative: Martin J. Leavitt, 22375 Haggerty Road, P.O. Box 400, Northville, MI 48167. Authority sought to operate as a *common carrier*, by motor vehicle, in interstate or foreign commerce, over irregular route, transporting *salt*, in bulk, in dump vehicles, from dock facilities located in MI to points in MI, restricted to the transportation of traffic having a prior movement by water. (Hearing site: Detroit, MI, or Washington, DC.)

Permanent Authority Decisions; Decision-Notice

Decided: March 5, 1980.

The following broker, freight forwarder or water carrier applications are governed by Special Rule 247 of the Commission's *Rules of Practice* (49 CFR 1100.247). These rules provide, among other things, that a protest to the

granting of an application must be filed with the Commission within 30 days after the date notice of the application is published in the **Federal Register.** Failure to file a protest within 30 days will be considered as a waiver of opposition to the application. A protest under these rules shall comply with Rule 247(e)(3) of the rules of practice which requires that it set forth specifically the grounds upon which it is made, contain a detailed statement of protestant's interest in the proceeding, as specifically noted below, and specify with particularity the facts, matters, and things relied upon. The protest shall not include issues or allegations phrased generally. A protestant shall include a copy of the specific portion of its authority which it believes to be in conflict with that sought in the application, and describe in detail the method—whether by joinder interline, or other means—by which protestant would use this authority to provide all or part of the service proposed. Protests not in reasonable compliance with the requirements of the rules may be rejected. The original and one copy of the protest shall be filed with the Commission. A copy shall be served concurrently upon applicant's representative, or upon applicant if no representative is named. If the protest includes a request for oral hearing, the request shall meet the requirements of section 247(e)(4) of the special rules and shall include the certification required in that section.

Section 247(f) provides, in part, that an applicant which does not intend timely to prosecute its application shall promptly request that it be dismissed, and that failure to prosecute an application under the procedures of the Commission will result in its dismissal.

Further processing steps will be by Commission notice, decision, or letter which will be served on each party of record. *Broadening amendments will not be accepted after the date of this publication.*

Any authority granted may reflect administratively acceptable restrictive amendments to the service proposed below. Some of the applications may have been modified to conform to the Commission's policy of simplifying grants of operating authority.

Findings: With the exceptions of those applications involving duly noted problems (e.g., unresolved common control, unresolved fitness questions, and jurisdictional problems) we find, preliminarily, that each applicant has demonstrated that its proposed service is either (a) required by the public convenience and necessity, or, (b) will be consistent with the public interest and the transportation policy of 49 U.S.C. 10101. Each applicant is fit, willing, and able properly to perform the service proposed and to conform to the requirements of Title 49, Subtitle IV, United States Code, and the Commission's regulations. Except where specifically noted, this decision is neither a major Federal action significantly affecting the quality of the human environment nor a major regulatory action under the Energy Policy and Conservation Act of 1975.

In the absence of legally sufficient protests, filed within 30 days of publication of this decision-notice (or, if the application later becomes unopposed), appropriate authority will be issued to each applicant (except those with duly noted problems) upon compliance with certain requirements which will be set forth in a notification of effectiveness of this decision-notice. To the extent that the authority sought below may duplicate an applicant's existing authority, such duplication shall not be construed as conferring more than a single operating right.

Applicants must comply with all specific conditions set forth in the grant or grants of authority within 90 days after the service of the notification of the effectiveness of this decision-notice, or the application of a non-complying applicant shall stand denied.

By the Commission, Review Board Number 1, Members Carleton, Joyce, and Jones.

Brokers

MC 130610F, filed September 27, 1979. Applicant: ELIZABETH B. MOOREFIELD, d.b.a. ASHEVILLE TOURS, 164 Hillside St., Asheville, NC. Representative: William P. Farthing, Jr., 1100 Cameron-Brown Bldg., Charlotte, NC 28204. To engage in operations, in interstate or foreign commerce, as a *broker,* at Asheville, NC, in arranging for the transportation, by motor vehicle, of *passengers and their baggage,* in special or charter operations, beginning and ending at points in NC on and west of U.S. Hwy 301 and extending to points in the United States, (except AK and HI). (Hearing site: Asheville or Charlotte, NC.)

MC 130760F, filed January 8, 1980. Applicant: RICHARD JETT, d.b.a. RICHARD JETT TOURS, P.O. Box 67, Campton, KY 41301. Representative: William L. Willis, 708 McClure Bldg., Frankfort, KY 40601. To engage in operations, in interstate or foreign commerce, as a *broker,* at Campton, KY, in arranging for the transportation, by motor vehicle, of *passengers and their baggage,* in special and charter operations, beginning and ending at points in Breathitt, Lee, Magoffin, Menifee, Morgan, Powell and Wolfe Counties, KY, and extending to points in the United States (except AK and HI). (Hearing site: Lexington or Campton, KY.)

MC 130768F, filed January 17, 1980. Applicant: MARTZ TRAVEL, INC., 239 Old River Rd., Wilkes-Barre, PA 18702. Representative: S. Berne Smith, P.O. Box 1166, (100 Pine St.), Harrisburg, PA 17108. To engage in operations, in interstate or foreign commerce, as a *broker,* at Wilkes-Barre, PA, to arrange for the transportation by motor vehicle, of *passengers and their baggage,* in special or charter operations, between points in the United States, including AK and HI. (Hearing site: Wilkes-Barre or Philadelphia, PA.)

MC 130788F, filed December 27, 1979. Applicant: WILLIAM NEWLAND AND RICHARD WASHINGTON, d.b.a. NEW HORIZON TOURS, 16 Moeller St., Binghamton, NY 13904. Representative: Jan L. Shephard, 29 Riverside Dr., P.O. Box 1116, Binghamton, NY 13902. To engage in operations in interstate or foreign commerce, as a *broker,* at Binghamton, NY, to arrange for the transportation by motor vehicle, of *passengers and their baggage,* in special or charter operations, beginning and ending at points in NY, and extending to points in NJ. (Hearing site: Washington, DC.)

MC 130790F, filed January 3, 1980. Applicant: COLLEGE EVALUATION TOURS, INC., 9 Koewing Place, West Orange, NJ 07052. Representative: Linda Warner (same address as applicant). To engage in operations, in interstate or foreign commerce, as a *broker,* at West Orange, NJ, in arranging for the transportation, by motor vehicle, of *passengers and their baggage,* in special or charter operations, between points in ME, VT, NH, MA, RI, CT, NY, PA, NJ, DE, MD, VA, NC, and DC. (Hearing site: Newark, NJ.)

Permanent Property Broker Authority Decisions

Decision-Notice

Decided: March 5, 1980.

The following applications are governed by 49 CFR Part 1045A. Applicants seek to obtain authority to operate as brokers of motor carrier transportation of general commodities (except household goods) between all points in the United States. The full text and explanation of the rules are contained at 44 FR 53513.

The sole issue upon which these applications can be protested is the

fitness of applicant (or business associates) to perform the service. Protests (an original and one copy) must be filed with the Commission within 30 days of the **Federal Register** publication. The protest must contain the *specific facts* being relied upon to challenge fitness, and must contain a certification that it has been served concurrently upon applicant's representative, or, if none is listed, upon the applicant. Applicant may file a reply statement to any protests. The filing of these statements will complete the record, unless it is later determined that more evidence must be supplied.

Further processing steps will be by Commission notice, decision, or letter which will be served on each party of record.

Findings: With the exception of those applications involving duly noted problems (e.g., unresolved fitness questions), we find, preliminarily, that each applicant has demonstrated that its proposed service is consistent with the public interest and the transportation policy of 49 U.S.C. 10101, and that each applicant is fit, willing, and able properly to perform the service proposed and to conform to the requirements of Title 49, Subtitle IV, United States Code, and the Commission's regulations. Except where specifically noted, this action will not significantly affect the quality of the human environment or conservation of energy resources.

In the absence of legally sufficient protests, filed on or before April 21, 1980. (or, if the application later becomes unopposed) appropriate authority will be issued to each applicant (except those with duly noted problems) upon compliance with certain requirements which will be set forth in a notification of effectiveness of the decision-notice. To the extent that the authority sought below may duplicate an applicant's other authority, such duplication shall be construed as conferring only a single operating right.

Applicants must comply with all specific conditions set forth in the grant or grants of authority within 90 days after the service of the notification of the effectiveness of this decision-notice, or the application of a non-complying applicant shall stand denied.

By the Commission, Review Board Number 1, Members Carleton, Joyce, and Jones.

MC 130571F, filed December 14, 1979. Applicant's name and address are ROBERT J. McCABE—PAUL W. CURTINDALE, 2121 46th Ave., S.W., Seattle, WA 98116. The name under which operations will be performed is THE FREIGHT HOUSE. Applicant is represented by Paul W. Curtindale in this proceeding whose address is 2121 46th Ave., S.W., Seattle, WA 98116. Following are the names and business addresses for all persons who are officers and directors, partners (including limited or "silent" partners), and first five principal shareholders, with their appropriate titles: Robert J. McCabe, partner, 12515 S.E. 171st St., Renton, WA 98055, Paul W. Curtindale, partner, (same address as applicant). The daily operations will be managed by Paul W. Curtindale whose business address is 2121 46th S.W., Seattle, WA 98116. Applicant is affiliated with the following shipper or warehouse: None.

MC 130618F, filed October 22, 1979. Applicant's name and address are TRANSMART, 3700 W. 47th St., Chicago, IL 60632. The name under which operations will be performed is TRANSMART. Applicant is represented by Walter L. Weart in this proceeding whose address is 548 Anita St., Des Plaines, IL 60016. Following are the names and business addresses for all persons who are officer and directors, partners (including limited or "silent" partners), and first five principal shareholders, with their appropriate titles: Buddy A. Logan, Partner (50%); Calvin Eisenberg, Trustee; Partner (50%); the above consisting of officers, directors. The daily operations will be managed by Buddy A. Logan whose business address is same as applicant. Applicant is affiliated with the following shipper or wharehouse: None.

MC 130650F, filed October 31, 1979. Applicant's name and address are COMMERCIAL FREIGHT BUREAU, P.O. Box 3518, El Paso, TX 79923. The name under which operations will be performed is COMMERCIAL FREIGHT BUREAU. Applicant is represented by themselves in this proceeding. Following are the names and business addresses for all persons who are officer and directors, partners (including limited or "silent" partners), and first five principal shareholders, with their appropriate titles: Don Retynski, partner, Delmar A. Kirby, partner (same address as applicant). The daily operations will be managed by Don Retynski and Delmar A. Kirby whose business address is P.O. Box 3518, El Paso, TX 79923. Applicant is affiliated with the following shipper or warehouse: None.

MC 130711F, filed December 6, 1979. Applicant's name and address are TRANS–SAVE, INC., 6114 Bent Tree Court, Charlotte, NC 28212. The name under which operations will be performed in TRANS–SAVE, INC. Applicant is represented by Garland V. Moore in this proceeding whose address is 6114 Bent Tree Court, Charlotte, NC 28212. Following are the names and business addresses for all persons who are officers and directors, partners (including limited or "silent" partners), and first five principal shareholders, with their appropriate titles: Preston S. Anderson, President and shareholder, and Garland V. Moore, secretary, treasurer, and shareholder, (same address as applicant). The daily operations will be managed by Preston S. Anderson and Garland V. Moore, whose business address is 6114 Bent Tree Court, Charlotte, NC 28212. Applicant is affiliated with the following shipper or warehouse: None.

MC 130720F, filed December 16, 1979. Applicant's name and address are BOLLIGER, INC., One Bolliger Place, Stamford, CT 06907. The name under which operations will be performed is BOLLIGER, INC. Applicant is represented by Sidney L. Goldstein, 190 Church Street, New Haven, CT 06510. Following are the names and business addresses of all persons who are officer and directors, partners (including limited or "silent" partners), and first five principal shareholders, with their appropriate titles: Stephen Gerard, President, Director and shareholder, Antonia Gerard, Secretary and Treasurer, William E. McCrain, Vice President, Director, and shareholder (same address as applicant), Arturo Zumstein, Director and shareholder, P.O. Box 500, Rome, Italy, Arturo Bolliger, Director and shareholder, P.O. Box 500, Rome, Italy, Ward F. Cleary, Jr., Director, 666 Summer Street, Stamford, CT 06907, Rudolph Bollinger, shareholder, P.O. Box 500, Rome, Italy. The daily operations will be managed by Stephen Gerard whose address is the same as applicant. Applicant is affiliated with the following shipper or warehouse: None.

MC 130721F, filed December 5, 1979. Applicant's name and address are NORTH AMERICAN DISTRIBUTION SYSTEMS, INC., P.O. Box 411, New Haven, IN 46774. The name under which operations will be performed is NORTH AMERICAN DISTRIBUTION SYSTEMS, INC., or NADS. Applicant is represented by Bruce W. Boyarko in this proceeding whose address is P.O. Box 988, Fort Wayne, IN 46801. Following are the names and business addresses for all persons who are officers and directors, partners (including limited or "silent" partners), and first five principal shareholders, with their appropriate titles: Kenneth W. Maxfield, Director, Martin A. Weissert, Director, B. Wade Monroe, Director and Treasurer, Kenneth W. Maxfield, President, Dennis Pressler, Vice President, Margaret S.

Vegeler, Secretary. All business addresses are P.O. Box 988, Fort Wayne, IN 46801. The daily operations will be managed by Dennis Pressler whose business address is P.O. Box 411, New Haven, IN 46774. Applicant is affiliated with the following shipper or warehouse: None.

MC 130740F, filed December 17, 1979. Applicant's name and address are SHOLEN, INC., 10869 Drury Lane, Lynwood, CA 90262. The name under which operations will be performed is PACIFIC TRANSPORTATION. Applicant is represented by Milton W. Flack, 4311 Wilshire Blvd., Suite 300, Los Angeles, CA 90010. Following are the names and business address for all persons who are officers and directors, partners (including limited or "silent" partners), and first five principal shareholders, with their appropriate titles: Donald R. Shores, Jr., President, Director, and shareholder, Margaret K. Shores, Secretary, Treasurer and Director, and shareholder (both same address as applicant). The daily operations will be managed by Donald R. Shores, Jr., whose business address is same as applicant. Applicant is affiliated with the following shipper or warehouse: None.

MC 130750F, filed December 21, 1979. Applicant's name and address are AMODIO MOVING, INC., 600 East St., New Britain, CT 06051. The name under which operations will be performed is AMODIO MOVING, INC. Applicant is represented by Robert J. Gallagher, in this proceeding whose address is Suite 1200, 1000 Connecticut Ave., N.W., Washington, DC 20036. Following are the names and business addresses for all persons who are officers and directors, partners (including limited or "silent" partners), and first five principal shareholders, with their appropriate titles: Frank E. Amodio, president, treasurer, and shareholder, 600 East St., New Britain, CT, Debra L. Norman, Vice President and shareholder, 111 Oakwood Drive, New Britain, CT, Jayne Amodio, Vice President and shareholder, 111 Oakwood Drive, New Britain, CT, Louis G. Amodio, Jr., Vice President and shareholder, 170 Barbour Road, New Britain, CT, and Lenore T. Amodio, secretary, Vice President, and shareholder, 170 Barbour Road, New Britain, CT. The daily operations will be managed by Frank E. Amodio whose business address is 600 East St., New Britain, CT 06051. Applicant is affiliated with the following shipper or warehouse: North American Warehouses.

MC 130770F, filed January 22, 1980. Applicant's name and address are THOMAS D. HYNES, 78 Caledonia Road, Pueblo, CO 81001. Applicant is represented by Charles J. Kimball, in this proceeding whose address is 350 Capitol Life Center, 1600 Sherman St., Denver, CO. Following are the names and business addresses for all persons who are officers and directors, partners (including limited or "silent" partners), and first five principal shareholders, with their appropriate titles: Thomas D. Hynes, sole owner. The daily operations will be managed by Thomas D. Hynes whose business address is 78 Caledonia Road, Pueblo, CO 81001. Applicant is affiliated with the following shippers and carriers: C F & I Steel, Johns-Manville Sales Corp., A. P. Green Refractories, Summit Brick, Pueblo Army Depot, Timberline Pipe, Western Pipe & Tube, Front Range Supply, Colorado Steel & Iron, and Speken Iron & Metal, all of Pueblo, CO, H & H Steel & Wire, Rocky Ford, CO, Mulock Welding, Colorado Springs, CO, Roadrunner Trucking, Albuquerque, NM, Newman Bros. Trucking, Ft. Worth, TX, Sammons Trucking, Missoula, MT, Glass Trucking, Newkirk, OK, Getter Trucking, Billings, MT, Belger Cartage, Kansas City, MO, Red Ball Motor Freight, Dallas, TX, Ringsby Truck Lines, Denver, CO, Edson Express, Longmont, MO, Mercer Transportation, Louisville, KY, T.I.M.E. DC, Jackson, MI, and E. L. Murphy Trucking, St. Paul, MN.

MC 130778F, filed January 22, 1980. Applicant's name and address are ACTION CENTER BROKERAGE, INC., Suite 811, Metcalf Bldg., 100 South Orange Ave., Orlando, FL 32801. The name under which operations will be performed is ACTION CENTER BROKERAGE, INC. Applicant is represented by James E. Wharton in this proceeding whose address is Suite 811, Metcalf Bldg., 100 S. Orange Ave., Orlando, FL 32801. Following are the names and business addresses of all persons who are officers and directors, partners (including limited or "silent" partners), and first five principal shareholders, with their appropriate titles: James E. Wharton, President, director, and sole shareholder, Suite 811, Metcalf Bldg., 100 S. Orange Ave., Orlando, FL 32801. The daily operations will be managed by James E. Wharton whose business address is Suite 811, Metcalf Bldg., 100 S. Orange Ave., Orlando, FL 32801. Applicant is affiliated with the following shipper or warehouse: None.

MC 130779F, filed January 22, 1980. Applicant's name and address are CALIFORNIA TERMINAL CO., INC., 3240 E. Bandini Blvd., Los Angeles, CA 90023. The name under which operations will be performed is CALIFORNIA TERMINAL CO., INC. Applicant is represented by Robert J. Gallagher, in this proceeding whose address is Suite 1200, 1000 Connecticut Ave., NW, Washington, DC 20036. Following are the names and business addresses for all persons who are officers and directors, partners (including limited or "silent" partners), and first five principal shareholders, with their appropriate titles: Lynda L. Wall, President and Director (shareholder), 3240 E. Bandini Blvd., Los Angeles, CA 90023; Sherri L. Wall, Vice President and Director (shareholder), 3240 E. Bandini Blvd., Los Angeles, CA 90023; and Judith A. Mylan, Secretary/Treasurer and Director (shareholder), 3240 E. Bandini Blvd., Los Angeles, CA 90023. The daily operations will be managed by Lynda L. Wall, whose business address is 3240 E. Bandini Blvd., Los Angeles, CA 90023. Applicant is affiliated with various shippers or warehouses in the area.

MC 130782F, filed February 11, 1980. Applicant's name and address are D. R. CURTIS COMPANY, P.O. Box 1208, Burley, ID 83318. The name under which operations will be performed is D. R. CURTIS COMPANY. Applicant is represented by William Parsons in this preceeding whose address is 137 West 13th St., Burley, ID 83318. Following are the names and business addresses of all persons who are officers and directors, partners (including limited or "silent" partners), and first five principal shareholders, with their appropriate titles: D. R. Curtis is a wholly-owned subsidiary of The Amalgamated Sugar Company, who is the sole principal shareholder, whose business address if First Security Bank Bldg., Box 1520, Ogden, UT 84402. D. R. Curtis, President, David Martin, V. Pres., Robert S. Lehman, Asst. Secretary-Treasurer, Dennis R. Curtis, Director, whose business address is P.O. Box 1208, Burley, ID 83318, and Alan Lipman, Director, William Ludwig, Director, and Larry Corry, Director, whose business address is First Security Bank Bldg., Box 1520, Ogden, UT 84402. The daily operations will be managed by Randy Curtis whose business address is P.O. Box 1208, Burley, ID 83318. Applicant is affiliated with the following shipper or warehouse: None.

MC 130783F, filed December 11, 1979. Applicant's name and address are: LEANDER E. TUTTLE, Houlton Rd., P.O. Box 791, Presque Isle, ME 04769. The name under which operations will be performed is MAINE TRUCKER'S EXCHANGE, INC. Applicant is represented by himself in this proceeding whose address is Houlton

Rd., P.O. Box 791, Presque Isle, ME 04769. Following are the names and business addresses of all persons who are officers and directors, partners (including limited or "silent" partners), and first five principal shareholders, with their appropriate titles: Leander E. Tuttle, President, principal stockholder, Brett P. Tuttle, V. Pres. & Director, Patricia C. Tuttle, Director, whose business address is P.O. Box 791, Presque Isle, ME 04769, and Walter S. Sage, Secretary, P.O. Box 207, Fort Fairfield, ME 04742. The daily operations will be managed by Leander E. Tuttle, whose business address is Houlton Rd., P.O. Box 791, Presque Isle, ME 04769. Applicant is affiliated with the following shipper or warehouse: None.

Motor Carrier Alternate Route Deviations Notice

The following letter-notices to operate over deviation routes for operating convenience only have been filed with the Commission under the Deviation Rules—Motor Carrier of Property (49 CFR 1042.4(c)(11)).

Protests against the use of any proposed deviation route herein described may be filed with the Commission in the manner and form provided in such rules at any time, but will not operate to stay commencement of the proposed operations unless filed on or before April 21, 1980.

Each applicant states that there will be no significant effect on either the quality of the human environment or energy policy and conservation.

Motor Carriers of Property

MC 35320 (Deviation No. 27), T.I.M.E.-DC, INC., P.O. Box 2550 Lubbock, TX 79408, filed February 25, 1980. Carrier proposes to operate as a *common carrier*, by motor vehicle, of *general commodities*, with certain exceptions, over deviation routes as follows: (1) From South Bend, IN, over US Hwy 33/31 to Benton Harbor, MI, and (2) From South Bend, IN, over US Hwy 31 to junction US Hwy 12 near Niles, MI, then over US Hwy 12 to junction Interstate Hwy 94 near New Buffalo, MI and return over the same routes for operating convenience only. The notice indicates that the carrier is presently authorized to transport the same commodities over pertinent service route as follows: (1) From Chicago, IL over Interstate Hwy 94 (Formerly US Hwy 12) to Detroit, MI, and (2) From Chicago, IL over US Hwy 20 to junction US Hwy 31 at South Bend, IN.

MC 43421 (Deviation No. 39), DOHRN TRANSFER COMPANY, 4016 9th St., Rock Island, IL 61201, filed March 4, 1980. Carrier's representative Edward G. Bazelon, 39 S. LaSalle St., Chicago, IL 60603. Carrier proposes to operate as a *common carrier*, by motor vehicle, of *general commodities*, with certain exceptions, over a deviation route as follows: From Peoria, IL over US Hwy 24 to junction Interstate Hwy 475 (near Toledo, OH), then over Interstate Hwy 475 to junction US Hwy 20, then over US Hwy 20 to Toledo, OH and return over the same route for operating convenience only. The notice indicates that the carrier is presently authorized to transport the same commodities over a pertinent service routes as follows: (1) From Chicago, IL over US Hwy 66 to junction US Hwy 66A, then over US Hwy 66A to junction US Hwy 66, then over US Hwy 66 to junction IL Hwy 116, then over IL Hwy 116 to Peoria, IL, and (2) From Toledo, OH over OH Hwy 51 to junction US Hwy 20, then over US Hwy 20 to Chicago, IL and return over the same routes.

MC 79085 (Deviation Route No. 2), filed February 27, 1980. Applicant: FAIRBANKS EXPRESS, INC., North Hoosick Road, Hoosick Falls, NY 12090. Applicant's Representative: David M. Marshall, Esq., Marshall and Marshall, 101 State Street—Suite 304, Springfield, MA 01103. Carrier proposes to operate as a *common carrier* by motor vehicle, of *general commodities*, with certain exceptions, over a deviation route as follows: From Albany, NY over US Hwy 9 to junction U.S. Highway 20, then over U.S. Highway 20 to Pittsfield, MA and return over the same route for operating convenience only. The notice indicates that the carrier is presently authorized to transport the same commodities over a pertinent service route as follows: From Albany, NY, over NY Highway 32 to Watervliet, NY, then over NY Highway 7 to junction NY Highway 22, then over NY Highway 22 to North Petersburg, NY, then over NY Highway 346 to the New York-Vermont State line, then over Vermont Highway 346 to Pownal, VT then over US Hwy 7 to Pittsfield, MA and return over the same route.

MC 110325 (Deviation Route No. 40), filed February 25, 1980. Applicant: TRANSCON LINES, P.O Box 92220, Los Angeles, CA 90009. Carrier proposes to operate as a *common carrier* by motor vehicle in interstate or foreign commerce, over regular routes, transporting *general commodities* (except those of unusual value, Classes A and B explosives, household goods as defined by the Commission, commodities in bulk, and those requiring special equipment), over a deviation route as follows: from Toledo, OH over OH Hwy 51 to junction Interstate Hwy 280, then over Interstate Hwy 280 to junction OH Hwy 420, then over OH Hwy 420 to junction U.S. Hwy 20, then over U.S. Hwy 20 to junction OH Hwy 18, then over OH Hwy 18 to junction Interstate Hwy 77, then over Interstate Hwy 77 to junction Interstate Hwy 76, then over Interstate Hwy 76 to junction Interstate Hwy 80, then over Interstate Hwy 80 to junction PA Hwy 970, then over PA Hwy 970 to junction U.S. Hwy 322, then over U.S. Hwy 322 to junction U.S. Hwy 22, then over U.S. Hwy 22 to Harrisburg, PA and return over the same route, for operating convenience only. The notice indicates the carrier is presently authorized to transport the same commodities over a pertinent service route as follows: from Toledo, OH over U.S. Hwy 23 to junction OH Hwy 18, then over OH Hwy 18 to junction U.S. Hwy 224, then over U.S. Hwy 224 to junction U.S. Hwy 21, then over U.S. Hwy 21 to junction U.S. Hwy 30, then over U.S. Hwy 30 to junction U.S. Hwy 22, then over U.S. Hwy 22 to Harrisburg, PA, and return over the same route.

Motor Carrier Intrastate Application(s) Notice

The following application(s) for motor common carrier authority to operate in intrastate commerce seek concurrent motor carrier authorization in interstate or foreign commerce within the limits of the Intrastate authority sought, pursuant to Section 10931 (formerly Section 206(a)(6)) of the Interstate Commerce Act. These applications are governed by Special Rule 245 of the Commission's *general rules of practice* (49 CFR 1100.245), which provides, among other things, that protests and requests for information concerning the time and place of State Commission hearings or other proceedings, any subsequent changes therein, and any other related matters shall be directed to the State Commission with which the application is filed and shall *not* be addressed to or filed with the Interstate Commerce Commission.

New York Docket No. T-3844, filed September 4, 1979. Applicant: FRANK ANICETO, d.b.a., COLONIAL HIGHWAY EXPRESS, 100 Elmhurst Place, Buffalo, NY 14216. Representative: William J. Hirsch, 43 Court Street, Buffalo, NY 14202. Certificate of Public Convenience and Necessity sought to operate a freight service, as follows: Transportation of: Iron and steel articles, between all points in the State, except the Counties of Columbia, Dutchess, Nassau, Orange,

Putnam, Suffolk, Sullivan, Ulster and Westchester. Intrastate, interstate and foreign commerce authority sought. HEARING: Date, time and place not yet fixed. Requests for procedural information should be addressed to New York State Department of Transportation, 1220 Washington Ave., State Campus Bldg. #4, Room G–21, Albany, NY, 12232, and should not be directed to the Interstate Commerce Commission.

Permanent Ex-Water Authority Decision-Notices

Decided: March 5, 1980.

The following applications are governed by 49 CFR 1062.3. Applicants seek to obtain motor common carrier authority to perform service within the commercial zone of port cities where the shipment has a prior or subsequent movement by maritime carrier. The full text and explanation of the rules are contained at 44 FR 7965, as corrected at 44 FR 37230.

The sole issue upon which these applications can be protested is the applicant's fitness to perform the service. Protests (an original and one copy) must be filed with the Commission on or before April 21, 1980. The protest must contain the *specific facts* being relied upon to challenge fitness, and must contain a certification that it has been served concurrently upon applicant's representative, or, if none is listed, upon the applicant. Applicant may file a reply statement to any protest. The filing of these statements will complete the record, unless it is later determined that more evidence must be supplied.

Further processing steps will be by Commission notice, decision, or letter which will be served on each party of record. *Broadening amendments will not be accepted after the date of this publication.*

Any authority granted may reflect administratively acceptable restrictive amendments to the service proposed below. Some of the applications may have been modified to conform to the Commisssion's policy of simplifying grants of operating authority.

Findings: With the exception of those applications involving duly noted problems (e.gs., unresolved common control, unresolved fitness questions, and jurisdictional problems) we find, preliminarily, that each common carrier applicant has demonstrated that its proposed service is required by the present and future public convenience and necessity.

Each applicant is fit, willing, and able to properly perform the service proposed and to conform to the requirements of Title 49, Subtitle IV, United States Code, and the Commission's regulations. Except where specifically noted, this decision is neither a major Federal action significantly affecting the quality of the human environment nor a major regulatory action under the Energy Policy and Conservation Act of 1975.

In those proceedings containing a statement or note that dual operations are or may be involved we find, preliminarily and in the absence of the issue being raised by a protestant, that the proposed dual operations are consistent with the public interest and the transportation policy of 49 U.S.C. 10101 subject to the right of the Commission, which is expressly reserved, to impose such terms, conditions or limitations as it finds necessary to insure that applicant's operations shall conform to the provisions of 49 U.S.C. 10930 (A) (formerly section 210 of the Interstate Commerce Act).

In the absence of legally sufficient protests, filed within 30 days of publication of this decision-notice (or, if the application later becomes unopposed), appropriate authority will be issued to each applicant (except those with duly noted problems) upon compliance with certain requirements which will be set forth in a notification of effectiveness of the decision-notice. To the extent that the authority sought below may duplicate an applicant's other authority, such duplication shall be construed as conferring only a single operating right.

Applicants must comply with all specific conditions set forth in the grant or grants of authority within 90 days after the service of the notification of the effectiveness of this decision-notice, or the application of a non-complying applicant shall stand denied.

By the Commission, Review Board Number 1, Members Carleton, Joyce, and Jones.

MC 129420 (Sub-4F), filed November 9, 1979. Applicant: LILE INTERNATIONAL COMPANIES, a corporation, 15605 SW 72nd, Tigard, OR 97223. Representative: Jack R. Davis, 1100 IBM Bldg., Seattle, WA 98101. Transporting *general commodities* (except classes A and B explosives), between points in the commercial zones of (a) Seattle, WA, (b) Tacoma, WA, (c) Longview, WA, (d) Vancouver, WA, and (e) Portland, OR, restricted to traffic having a prior or subsequent movement by water. (Hearing site: Portland, OR, or Seattle, WA).

MC 133350 (Sub-5F), filed September 20, 1979. Applicant: AQUA GULF CORPORATION, 1032 Julia St., Elizabeth, NJ 07201. Representative: Roy A. Jacobs, 550 Mamaroneck Ave., Harrison, NY 10528. Transporting *general commodities* (except classes A and B explosives), between points in the commercial zone of New York, NY, restricted to traffic having a prior or subsequent movement by water. (Hearing site: New York, NY.)

MC 138322 (Sub-20F), filed December 17, 1979. Applicant: BHY TRUCKING, INC., 9231 Whitmore, El Monte, CA 91733. Representative: John W. Carlisle, P.O. Box 967, Missouri City, TX 77459. Transporting *general commodities* (except classes A and B explosives), between points in the commercial zones of (a) Houston, TX, (b) Galveston, TX, (c) Texas City, TX, (d) Baytown, TX, (e) Beaumont, TX, (f) Port Arthur, TX, (g) Orange, TX, (h) La Porte, TX, (i) Port Lavaca, TX, (j) Corpus Christi, TX, (k) Bay City, TX, (1) Port O'Connor, TX, (m) Brownsville, TX, (n) Port of Brownsville, TX, (o) New Orleans, LA, (p) Baton Rouge, LA, (q) Lake Charles, LA, (r) Los Angeles, CA, (s) San Diego, CA, (t) San Francisco, CA, (u) Oakland, CA, and (v) Port of Stockton, CA, restricted to traffic having a prior or subsequent movement by water. (Hearing site: Houston, TX.)

MC 141140 (Sub-3F), filed November 16, 1979. Applicant: DIPIETRO TRUCKING CO., a corporation, 2201 Sixth Ave. South, Seattle, WA 98134. Representative: George H. Hart, 1100 IBM Building, Seattle, WA 98101. Transporting *general commodities* (except classes A and B explosives) between points in the commercial zones of (a) Seattle, WA and (b) Tacoma, WA, restricted to traffic having a prior or subsequent movement by water. (Hearing site: Seattle, WA.)

Note.—Dual operations may be involved.

MC 142359 (Sub-9F), filed November 14, 1979. Applicant: PORT EAST TRANSFER, INC., 1404 S. Clinton St., Baltimore, MD 21224. Representative: Mel P. Booker, Jr., 110 S. Columbus, St., Alexandria, VA 22314. Transporting *general commodities* (except classes A and B explosives), between points in the commercial zone of Norfolk, VA, restricted to traffic having a prior or subsequent movement by water. (Hearing site: Norfolk, VA, or Washington, DC.)

MC 148329 (Sub-2F), filed January 14, 1980. Applicant: AOL EXPRESS, INC., 6441 C St., Anchorage, AK 99502. Representative: Michael B. Crutcher, 2000 IBM Bldg., Seattle, WA 98101. Transporting *general commodities* (except classes A and B explosives), between points in the commercial zone of Anchorage, AK, restricted to traffic

having a prior or subsequent movement by water. (Hearing site: Anchorage, AK, or Seattle, WA.)

MC 148971F, filed November 1, 1979. Applicant: YOUNG'S EXPRESS, INC., 1501 North Warwick Ave., Baltimore, MD 21216. Representative: Brian S. Stern, 2425 Wilson Blvd., Suite 367, Arlington, VA 22201. Transporting *general commodities* (except classes A and B explosives) between points in the commercial zone of Baltimore, MD, restricted to traffic having a prior or subsequent movement by water. (Hearing site: Baltimore, MD, or Washington, DC.)

Note.—Dual operations may be involved.

Irregular-Route Motor Common Carriers of Property—Elimination of Gateway Letter Notices

The following letter-notices of proposals to eliminate gateways for the purpose of reducing highway congestion, alleviating air and noise pollution, minimizing safety hazards, and conserving fuel have been filed with the Interstate Commerce Commission under the Commission's *Gateway Elimination Rules* (49 CFR Part 1065), and notice thereof to all interested persons is hereby given as provided in such rules.

An original and two copies of protests against the proposed elimination of any gateway herein described may be filed with the Interstate Commerce Commission on or before March 31, 1980. A copy must also be served upon applicant or its representative. Protests against the elimination of a gateway will *not* operate to stay commencement of the proposed operation.

Successfully filed letter-notices of the same carrier under these rules will be number consecutively for convenience in identification. Protests, if any, must refer to such letter-notices by number.

The following applicants seek to operate as a *common carrier*, by motor vehicles, over irregular routes.

MC 83539 (Sub-No. E390), filed May 31, 1977. Applicant C & H TRANSPORTATION, P.O. Box 5976, Dallas, TX 75222. Representative: H. N. Cunningham, III (same as above). *Commodities*, the transportation of which, because of size or weight, require the use of special equipment, and *parts* thereof, when moving in connection with such commodities, between (A) points in FL in and west of Holmes, Washington, and Bay Counties, on the one hand, and, on the other, points in NY; and (B) points in FL, on the one hand, and, on the other, points in NY in, north and west of Chautauqua, Erie, Wyoming, Livingston, and Monroe Counties. (Gateway eliminated: points within a 50-mile radius of Nashville, TN, and points in KY and Philadelphia, PA.)

MC 83539 (Sub-No. E403), filed May 31, 1977. Applicant: C & H TRANSPORTATION, P.O. Box 5976, Dallas, TX 75222. Representative: H. N. Cunningham, III (same as above). *Commodities*, the transportation of which, because of size or weight, require the use of special equipment, and *related machinery, parts, materials, and supplies* when moving in connection with such commodities, between (A) points in IL in, west and south of McHenry, Kane, Kendall, Grundy, Livingston, Ford and Vermillion Counties, on the one hand, and, on the other, points in TN in and east of Montgomery, Houston, Humphreys, Perry, and Wayne Counties; and (B) points in IL, on the one hand, and, on the other, points in TN in, east and south of Sumner, Davidson, Williamson, Hickman, Louis, and Lawrence Counties, and in, west and south of Macon, Jackson, Putnam, Cumberland, Morgan, Anderson, Knox, Jefferson, Hamblen, Greene, Washington and Cartier Counties. Restriction: The service authorized here is subject to the following restriction: no service shall be performed in the stringing or picking up of any of the above commodities in connection with main or trunk pipelines. (Gateway eliminated: points within a 50-mile radius of Nashville, TN, and points in KY.)

MC 83539 (Sub-No. E555), filed May 31, 1977. Applicant: C & H TRANSPORTATION, PO Box 5976, Dallas, TX 75222. Representative: H. N. Cunningham, III (same as above). (A) *Such self-propelled articles* (except in driveaway service) each weighing 15,000 pounds or more which may be included in heavy machinery, and *related machinery, tools, parts, and supplies* moving in connection therewith, between points in MO in and west of St. Charles, Warren, Gasconade, Phelps, Dent, Shannon, Carter, Butler, Dunklin, and Pemiscot Counties, on the one hand, and, on the other, points in PA in and east of Warren, Forest, Clarion, Armstrong, Indiana, Cambria and Somerset Counties, restricted to commodities which are transported on trailers, and (B) *self-propelled articles*, weighing 15,000 pounds or more (except in driveaway service) and *related machinery, tools, parts, and supplies* moving in connection therewith, between points in MO in and west of St. Charles, Warren, Gasconade, Maries, Pulaskie, Texas, Shannon, Carter, Butler, Dunklin, and Pemiscot Counties, on the one hand, and, on the the other, points in PA. (Gateway eliminated: (A) points in AR and KY, and (B) points in IA, IL, IN.)

MC 104896 (Sub-E5), filed June 4, 1974. Applicant: WOMELDORF, INC., P.O. Box G, Knox, PA 16232. Representative: Robert L. Womeldorf (same as above). *Prepared food products*, (A) between points in NJ, on the one hand, and, on the other, Altoona, Clearfield, St. Marys, and Smethport, PA; and (B) from Baltimore, MD, to Altoona, Clearfield, St. Marys, and Smethport, PA; and (C) from Alleghany County, PA, to Baltimore, MD. (Gateways eliminated: (A) points in PA on US Hwy 219, and (B) and (C) Pittsburgh, PA.)

MC 113666 (Sub-E20), filed December 31, 1979. Applicant: FREEPORT TRANSPORT, INC., 1200 Butler Road, Freeport, PA 16229. Representative: William H. Shawn, Suite 501, 1730 M St., NW., Washington, DC 20036. *Refractory products* (except in bulk), (a) from points in KY to points in NY (except points on or south of a line beginning at Hancock extending along NY Hwy 17 to junction NY Hwy 30, then along NY Hwy 30 to junction NY Hwy 23, then along NY Hwy 23 to junction NY Hwy 23A, then along NY Hwy 23A to junction NY Hwy 23, then along NY Hwy 23 to the NY–MA State line), and (b) from points in KY on and west of a line beginning at Covington extending along I Hwy 75 to junction KY Hwy 27, then along KY Hwy 27 to the KY–TN State line, to points in NY excluded under part (a). (Gateway eliminated: Buffalo, NY.)

MC 113666 (Sub-E21), filed December 31, 1979. Applicant: FREEPORT TRANSPORT, INC., 1200 Butler Road, Freeport, PA 16229. Representative: William H. Shawn, Suite 501, 1730 M St., NW., Washington, DC 20036. *Refractory products* (except in bulk), from points in KY to points in MI on and north of a line beginning at Lake Michigan extending along US Hwy 33 to junction I Hwy 196, then along I Hwy 196 to junction I Hwy 94, then along I Hwy 94 to and including Wayne County. (Gateway eliminated: Detroit, MI.)

MC 113666 (Sub-E22), filed December 31, 1979. Applicant: FREEPORT TRANSPORT, INC., 1200 Butler Road, Freeport, PA 16229. Representative: William H. Shawn, Suite 501, 1730 M St., NW., Washington, DC 20036. *Refractory products* (except in bulk), from points in KY, to points in Wayne, Susquehanna, Bradford, Tioga, Pike, Lackawanna, Wyoming and Sullivan Counties, PA, and points in Luzerne County, PA, on and north of I Hwy 80. (Gateway eliminated: Buffalo, NY.)

MC 113666 (Sub-E23), filed December 31, 1979. Applicant: FREEPORT TRANSPORT, INC., 1200 Butler Road,

Freeport, PA 16229. Representative: William H. Shawn, Suite 501, 1730 M St., NW., Washington, DC 20036. *Refractory products* (except in bulk), from points in MO (except points in Butler, Stoddard, Mississippi, New Madrid, Dunklin, and Pemiscot Counties), to points in MD. (Gateway eliminated: Detroit, MI.)

MC 113666 (Sub-E24), filed December 31, 1979. Applicant: FREEPORT TRANSPORT, INC., 1200 Butler Road, Freeport, PA 16229. Representative: William H. Shawn, Suite 501, 1730 M St. NW., Washington, DC 20036. *Refractory products* (except in bulk), from points to MO to points in PA. (Gateway eliminated: Detroit, MI, and Buffalo, NY.)

MC 113666 (Sub-E25), filed December 31, 1979. Applicant: FREEPORT TRANSPORT, INC., 1200 Butler Road, Freeport, PA 16229. Representative: William H. Shawn, Suite 501, 1730 M St. NW., Washington, DC 20036. *Refractory products* (except in bulk), from points to MO to points in NJ. (Gateway eliminated: Buffalo, NY.)

MC 113666 (Sub-E26), filed December 31, 1979. Applicant: FREEPORT TRANSPORT, INC., 1200 Butler Road, Freeport, PA 16229. Representative: William H. Shawn, Suite 501, 1730 M St. NW., Washington, DC 20036. *Refractory products* (except in bulk), from points to MO to points in NY. (Gateway eliminated: Buffalo, NY.)

MC 113666 (Sub-E27), filed December 31, 1979. Applicant: FREEPORT TRANSPORT, INC., 1200 Butler Road, Freeport, PA 16229. Representative: William H. Shawn, Suite 501, 1730 M St. NW., Washington, DC 20036. *Refractory products* (except in bulk), from points to MO, to points in MI on and east of a line beginning at the MO–OH State line extending along US Hwy 127 to junction US Hwy 27, then along US Hwy 27 (also known as I Hwy 75), ending at the Straits of Mackinac and points in Chippewa, Mackinac, and Luce Counties, MI, (Upper Peninsula). Restricted against the transportation of commodities from points in Marion, Shelby, Macon, Lewis, Knox, Adair, Sullivan, Putnam, Schuyler, Scotland, and Clark Counties, MO, to points in Ingham, Jackson, and Lenawee Counties, MI. (Gateway eliminated: Detroit, MI.)

MC 113666 (Sub-E28), filed December 31, 1979. Applicant: FREEPORT TRANSPORT, INC., 1200 Butler Road, Freeport, PA 16229. Representative: William H. Shawn, Suite 501, 1730 M St. NW., Washington, DC 20036. *Refractory products* (except in bulk), from points to MO on and north of a line beginning at the MO–OK State line extending along US Hwy 60 to junction US Hwy 65, then along US Hwy 65 to junction MO Hwy 5, then along MO Hwy 5 to junction US Hwy 54, then along US Hwy 54 to junction US Hwy 40, then along US Hwy 40 to junction MO Hwy 161, then along MO Hwy 161 to junction US Hwy 61, then along US Hwy 61 to the MO–IA State line (including Springfield, Lebanon, Jefferson City), to points in OH on and north of a line beginning at the OH–MI State line extending along OH Hwy 109 to junction OH Hwy 2, then along OH Hwy 2 to junction I Hwy 475, then along I Hwy 475 to junction US Hwy 20, then along US Hwy 20 to junction OH Hwy 13, then along OH Hwy 13 to junction US Hwy 224, then along US Hwy 224 to junction OH Hwy 421, then along OH Hwy 421 to junction I Hwy 76, then along I Hwy 76 to junction US Hwy 244, then along US Hwy 244 to the OH–PA State line (including Fremont and Norwalk, and Akron). (Gateway eliminated: Detroit, MI.)

MC 113666 (Sub-E29), filed December 31, 1979. Applicant: FREEPORT TRANSPORT, INC., 1200 Butler Road, Freeport, PA 16229. Representative: William H. Shawn, Suite 501, 1730 M St., NW., Washington, DC 20036. *Refractory products* (except in bulk), from points in Mineral, Hampshire, Morgan, Hardy, Berkeley, Jefferson, Tucker, Grant, Wetzel, Marion, Monogalia, and Preston Counties, WV, to points in Lake, Porter, Laporte, and St. Joseph Counties, IN. (Gateway eliminated: Detroit, MI.)

MC 113666 (Sub-E30), filed December 31, 1979. Applicant: FREEPORT TRANSPORT, INC., 1200 Butler Road, Freeport, PA 16229. Representative: William H. Shawn, Suite 501, 1730 M St., NW., Washington, DC 20036. *Refractory products* (except in bulk), from points in PA on and east of a line beginning at Tuna extending along US Hwy 219 to junction US Hwy 119, then along US Hwy 119 to junction PA Hwy 56, then along PA Hwy 56 to junction US Hwy 220, then along US Hwy 220 to the PA–MD State line, to points in Lake, Porter, La Porte, St. Joseph, Elkhart, Marshall, and Starke Counties, IN. Restricted against the transportation of commodities from Clearfield, PA and points within 25 miles thereof, and from Clymer, Mt. Union, and Womelsdorf, PA.; and brick and structural title from Clearfield, PA, and points within 25 miles thereof. (Gateway eliminated: Detroit, MI.)

MC 113666 (Sub-E31), filed December 31, 1979. Applicant: FREEPORT TRANSPORT, INC., 1200 Butler Road, Freeport, PA 16229. Representative: William H. Shawn, Suite 501, 1730 M St., NW., Washington, DC 20036. *Refractory products* (except in bulk), from points in WV to points in MI on and north of a line beginning at Grand Haven extending along US Hwy 31 to junction MI Hwy 40, then along MI Hwy 40 to junction MI Hwy 89, then along MI Hwy 89 to junction US Hwy 131, then along US Hwy 131 to junction I Hwy 94, then along I Hwy 94 to and including Wayne County. (Gateway eliminated: Detroit, MI.)

MC 113666 (Sub-E32), filed December 31, 1979. Applicant: FREEPORT TRANSPORT, INC., 1200 Butler Road, Freeport, PA 16229. Representative: William H. Shawn, Suite 501, 1730 M St., NW., Washington, DC 20036. *Refractory products* (except in bulk), from points in PA to points in MI. Restricted against the transportation of commodities from Clearfield, PA and points within 25 miles thereof, and from Clymer, Mt. Union, and Womelsdorf, PA, and brick and structural title from Clearfield, PA, and points within 25 miles thereof. (Gateway eliminated: Detroit, MI.)

MC 113666 (Sub-E33), filed December 31, 1979. Applicant: FREEPORT TRANSPORT, INC., 1200 Butler Road, Freeport, PA 16229. Representative: William H. Shawn, Suite 501, 1730 M St., NW., Washington, DC 20036. *Refractory products* (except in bulk), from points in Hancock, Brooke, Ohio, Marshall, Wetzel, Doddridge, Gilmer, Clahoun, Roane, Kanawha, Boone, Logan, and Mingo Counties, WV, to points in NY in or north of Erie, Wyoming, Livingston, Yates, Schuyler, Tompkins, Cortland, Broome, Delaware, Greene, and Columbia Counties and points in that part of Steuben County on and north of NY Hwy 17. (Gateway eliminated: Buffalo, NY.)

MC 113666 (Sub-E34), filed December 31, 1979. Applicant: FREEPORT TRANSPORT, INC., 1200 Butler Road, Freeport, PA 16229. Representative: William H. Shawn, Suite 501, 1730 M St., NW., Washington, DC 20036. *Refractory products* (except in bulk), (A) from points in PA on and west of a line beginning at the PA–WV State line extending along PA Hwy 381 to junction US Hwy 30, then along US Hwy 30 to junction PA Hwy 711, then along PA Hwy 711 to junction PA Hwy 56, then along PA Hwy 56 to junction US Hwy 22, then along US hwy 22 to junction US Hwy 219 then along US Hwy 219 to the PA–NY State line (including Du Bois and Bradford), to points in NY on and north of US Hwy 20 (including Buffalo, Geneva, and Albany); (B) from points in PA on and south of a line beginning at the PA–MD State line extending along US Hwy 11 to junction US Hwy 22, then

along US Hwy 22 to the PA–NJ State line (including Chambersburg, Harrisburg, and Allentown, Bethlehem and Easton), to points in NY on and west of a line beginning at Silver Creek extending along NY Hwy 428 to junction NY Hwy 39, then along NY Hwy 39 to junction NY Hwy 98, then along NY Hwy 98 to Point Breeze (including Silver Creek and Batavia). Restricted against the transportation of refractory products from Clearfield, PA, and points within 25 miles thereof, and from Clymer, Mt. Union, and Womelsdorf, PA, and brick and structural tile from Clearfield, PA and points within 25 miles thereof. (Gateway eliminated: Buffalo, NY.)

MC 113666 (Sub-E35), filed December 31, 1979. Applicant: FREEPORT TRANSPORT, INC., 1200 Butler Road, Freeport, PA 16229. Representative: William H. Shawn, Suite 501, 1730 M St., NW., Washington, DC 20036. *Refractory products* (except in bulk), from points in WV on and north of US Hwy 33, to points in IL on and north of a line beginning at the IL–IA State line extending along IL Hwy 17 to junction US Hwy 34, then along US Hwy 34 to junction IL Hwy 78, then along IL Hwy 78 to junction IL Hwy 91, then along IL Hwy 91 to junction IL Hwy 93, then along IL Hwy 93 to junction IL Hwy 29, then along IL Hwy 29 to junction IL Hwy 71, then along IL Hwy 71 to junction US Hwy 52, then along US Hwy 52 to junction US Hwy 66, then along US Hwy 66 to junction I Hwy 294, then along I Hwy 294 to the IL–IN State line (including Chicago). (Gateway eliminated: Detroit, MI.)

MC 113666 (Sub-E36), filed December 31, 1979. Applicant: FREEPORT TRANSPORT, INC., 1200 Butler Road, Freeport, PA 16229. Representative: William H. Shawn, Suite 501, 1730 M St., NW., Washington, DC 20036. *Refractory products* (except in bulk), from points in PA on and east of a line beginning at the PA–DE State line extending along PA Hwy 100 to junction US Hwy 22, then along US Hwy 22 to the PA–NJ State line (including Pottstown and Allentown, Bethlehem and Easton), to Toledo, OH: (B) from points in PA in and north of Pike, Lackawanna, Wayne, Wyoming, Bradford, and Tioga Counties, to Cleveland, OH. (Gateway eliminated: Detroit, MI in part (A) and Buffalo, NY in part (B))

MC 113666 (Sub-E37), filed December 31, 1979. Applicant: FREEPORT TRANSPORT, INC., 1200 Butler Road, Freeport, PA 16229. Representative: William H. Shawn, Suite 501, 1730 M St., NW., Washington, DC 20036. *Refractory products* (except in bulk), from points in and north of Darke, Shelby, Logan, Hardin, Hancock, Seneca, Huron, Lorain, Cuyahoga, Geauga, and Ashtabula Counties, OH, to points in NJ on and north of a line beginning at the PA–NJ Stateline extending along I Hwy 78 to junction I Hwy 287, then along I Hwy 287 to the NJ–NY State line. (Gateway eliminated: Buffalo, NY.)

MC 113666 (Sub-E38), filed December 31, 1979. Applicant: FREEPORT TRANSPORT, INC., 1200 Butler Road, Freeport, PA 16229. Representative: William H. Shawn, Suite 501, 1730 M St., NW., Washington, DC 20036. *Refractory products* (except in bulk), (A) from points in OH, to points in and north of Cattaraugus, Allegany, Steuben, Chemung, Tioga, Broome, Delaware, Greene, and Columbia Counties, NY; (B) from points in Hamilton County, OH, and points in OH on and north a line beginning at the OH–IN State line extending along US Hwy 42 to junction US Hwy 68, then along US Hwy 68 to junction OH Hwy 235, then along OH Hwy 235 to junction US Hwy 36, then along US Hwy 36 to junction US Hwy 4, then along US Hwy 4 to junction US Hwy 36, then along US Hwy 36 to junction I Hwy 71, then along I Hwy 71 to junction I Hwy 271, then along I Hwy 271 to junction I Hwy 80, then along I Hwy 80 to junction OH Hwy 44, then along OH Hwy 44 to junction US Hwy 322, then along US Hwy 322 to the OH–PA State line, to points in NY. (Gateway eliminated: Buffalo, NY.)

MC 113666 (Sub-E39), filed December 31, 1979. Applicant: FREEPORT TRANSPORT, INC., 1200 Butler Road, Freeport, PA 16229. Representative: William H. Shawn, Suite 501, 1730 M St., NW, Wasinington, DC 20036. *Refractory products* (except in bulk), from points in PA, to points in and north of Henderson, Warren, Knox, Stark, Bureau, Lee, De Kalb, Kendall, and Will Counties, IL, and points in LaSalle County, IL, north of the Illinois River (including LaSalle). Restricted against the transportation of refractory products from Clearfield, PA, and points within 25 miles thereof, and from Clymer, Mt. Union, and Womelsdorf, PA, and brick and structural tile from Clearfield, PA, and points within 25 miles thereof. (Gateway eliminated: Detroit, MI.)

MC 113666 (Sub-E40), filed December 31, 1979. Applicant: FREEPORT TRANSPORT, INC., 1200 Butler Road, Freeport, PA 16229. Representative: William H. Shawn, Suite 501, 1730 M St., NW, Washington, DC 20036. *Refractory products* (except in bulk), (A) from points in OH, to points in Monroe and Wayne Counties, MI, and points in and north of St. Clair, Macomb, Oakland, Genesee, Saginaw, Midland, Isabella, Mecosta, Lake and Manistee Counties, MI; (B) from points in OH on and east of a line beginning at the OH–KY State line extending along US Hwy 62 to junction US Hwy 68, then along US Hwy 68 to junction US Hwy 25, then along US Hwy 25 to junction I Hwy 475, then along I Hwy 475 to the OH–MI State line (including Springfield, Bellefontaine, and Findlay and Toledo), to points in MI on and north of I Hwy 96 (including Lansing and Grand Rapids). (Gateway eliminated: Detroit, MI.)

MC 113666 (Sub-E41), filed December 31, 1979. Applicant: FREEPORT TRANSPORT, INC., 1200 Butler Road, Freeport, PA 16229. Representative: William H. Shawn, Suite 501, 1730 M St., NW, Washington, DC 20036. *Refractory products* (except in bulk), (A) from Toledo, OH, to points in PA on and east of a line beginning at the PA–DE State line extending along PA Hwy 100 to junction US Hwy 22, then along US Hwy 22 to the PA–NJ State line (including Pottstown, Allentown, Bethlehem, and Easton); (B) from Cleveland, OH, to points in PA in and north of Wayne, Pike, Lackawanna, Wyoming, Bradford, and Tioga Counties. (Gateway eliminated: (A) Detroit, MI, (B) Buffalo, NY.)

MC 119531 (Sub-E127), filed June 4, 1974. Applicant: SUN EXPRESS, INC., 5319 Woster Road, Cincinnati, OH 45226. Representative: Paul F. Beery, 8 East Broad St., Columbus, OH 43215. *Machinery and supplies* used in the manufacture of pulpboard, from points in MI on, west and north of a line beginning at the IN–MI State line extending along US Hwy 131 to junction MI Hwy 55, then along MI Hwy 55 to Tawas City, to Cincinnati, OH. (Gateway eliminated: Noblesville, IN.)

MC 118831 (Sub-E89), filed April 19, 1976. Applicant: CENTRAL TRANSPORT, INC., P.O. Box 5388, Uwharrie Road, High Point, NC 27263. Representative: E. Stephen Heisley, Suite 805, 666 Eleventh St., NW, Washington, DC 20001. *Liquid petrochemicals* (except fertilizer and vegetable oils), in bulk from points in Richmond County, GA, to points in MS. (Gateways eliminated: Charlotte, NC and Lanett, AL commercial zone located in GA.)

MC 124174 (Sub-E44), filed June 4, 1974. Applicant: MOMSEN TRUCKING CO., P.O. Box 37490, Omaha, NE 68137. Representative: Karl E. Momsen (same address as above). *Hides, skins, and pieces thereof, tannery products, tannery by-products,* and *supplies* (except commodities in bulk, in tank vehicles), from points in SC, to (a) points in TN on and west of a line beginning at

the GA-TN State line extending along TN Hwy 3 to junction I Hwy 24, then along I Hwy 24 to junction US Hwy 127, then along US Hwy 127 to the TN-KY State line; (b) points in VA on and north of a line beginning at the TN-VA State line extending along US Hwy 23 to junction US Hwy 421/58, then along US Hwy 421/58 to junction I Hwy 81, then along I Hwy 81 to Staunton, then along I Hwy 64 to junction US Hwy 60, then along US Hwy 60 to the Atlantic Ocean; and (c) points in MO, NE, SD, MN, IA, WI, IL, IN, KY, MI, OH, WV, MD, PA, NJ, NY, MA, VT, NH, and ME. (Gateways eliminated: Chattanooga or Kingsport, TN, or Richmond or Norfolk, VA.)

Transportation of "Waste" Products for Reuse or Recycling

Special Certificate Letter Notice(s)

The following letter notices request participation in a Special Certificate of Public Convenience and Necessity for the transportation of "waste" products for reuse or recycling in furtherance of a recognized pollution control program under the Commission's regulations (49 CFR Part 1062) promulgated in *"Waste" Products,*

Ex Parte No. MC–85, 124 M.C.C. 583 (1976). Requests are processed as seeking authority between all points in the United States.

An original and one copy of protests (including protestant's complete argument and evidence) against applicant's participation may be filed with the Interstate Commerce Commission on or before April 10, 1980. A copy must also be served upon applicant or its representative. Protests against the applicant's participation will not operate to stay commencement of the proposed operation.

If the applicant is not otherwise informed by the Commission, operations may commence *within 30 days*

of the date of its notice in the **Federal Register**, subject to its tariff publication effective date.

P–2–80 (SPECIAL CERTIFICATE—WASTE PRODUCTS), filed January 28, 1980. Applicant: COMMERCIAL CARTAGE CO., 343 Axminster Dr., Fanton, MO 63026. Representative: John D. Erwin, Traffic Mgr. (address same as applicant). Sponsor: International Metal Recycling Corporation, Casa Grande, AZ. Commodities: Waste Products (toxic chemical wastes).

P–3–80 (SPECIAL CERTIFICATE—WASTE PRODUCTS), filed February 11, 1980. Applicant: CHEM-FREIGHT, INC., 33 Industry Dr., Bedfor, OH 44146. Representative: E. H. Deusen, P.O. Box 97, 220 West Bridge St., Dublin, OH 43017. Sponsor: Erieway Pollution Control, Inc., of Bedford and Cleveland, OH. Commodities: Waste Products.

P–4–80 (SPECIAL CERTIFICATE—WASTE PRODUCTS), filed January 14, 1980. Applicant: N. L. & B. TRANSPORTATION CORP., 4 May Ave., Quaker Hill, CT 06375. Representative: Geral A. Joseloff, 80 State St., Hardford, CT 06103. Sponsor: Levine Distributing Company, Inc., Norwich, CT. Commodities: Waste Products.

By the Commission.

Agatha L. Mergenovich,

Secretary.

[FR Doc. 80–8699 Filed 3–20–80; 8:45 am]

BILLING CODE 7035–01–M

DEPARTMENT OF LABOR

Employment and Training Administration

Federal-State Unemployment Compensation Program; New Extended Benefit Period in the State of Oregon

This notice announces the beginning of a new Extended Benefit Period in the State of Oregon, effective on March 16, 1980.

Background

The Federal-State Extended Unemployment Compensation Act of 1970 (26 U.S.C. 3304 note) established the Extended Benefit Program as a part of the Federal-State Unemployment Compensation Program. The Extended Benefit Program takes effect during periods of high unemployment in a State or the nation, to furnish up to 13 weeks of additional benefits to eligible individuals who have exhausted their rights to regular benefits under permanent State and Federal unemployment compensation laws. Part 615 of Title 20, Code of Federal Regulations, implements the statute (20 CFR Part 615).

In accordance with section 203(e) of the Act, the Oregon unemployment compensation law provides that there is a State "on" indicator in the State for a week if the head of the State employment security agency determines, in accordance with 20 CFR 615.12(e), that, for the period consisting of that week and the immediately preceding 12 weeks, the rate of insured unemployment under the State umemployment compensation law equalled or exceeded the State trigger rate. 20 CFR 615.12(b) or (c). The Extended Benefit Period actually begins with the third week following the week for which there is an "on" indicator. A benefit period will be in effect for a minimum of 13 consecutive weeks, and will end the third week after there is an "off" indicator.

Determination of "on" Indicator

The head of the employment security agency of the State of Oregon has determined that, for the period consisting of the week ending on March 1, 1980, and the immediately preceding 12 weeks, the rate of insured unemployment in the State equalled or exceeded the State trigger rate.

Therefore, an Extended Benefit Period commenced in that State with the week beginning on March 16, 1980.

Information for Claimants

The duration of Extended Benefits payable in the Extended Benefit Period, and the terms and conditions on which they are payable, are governed by the Act and the State unemployment compensation law. The State employment security agency will furnish a written notice of potential entitlement to Extended Benefits to each individual who has established a benefit year in the State that will expire after the new Extended Benefit Period begins, and who has exhausted all rights under the State unemployment compensation law to regular benefits before the beginning of the new Extended Benefit Period. 20 CFR 615.13(d)(1). The State employment security agency also will provide such notice promptly to each individual who exhausts all rights under the State umemployment compensation law during the Extended Benefit Period, including exhaustion by reason of the expiration of the individual's benefit year. 20 CFR 615.13(d)(2).

Persons who believe they may be entitled to Extended Benefits in the State of Oregon, or who wish to inquire about their rights under the Extended Benefit Program, should contact the nearest State Employment Office of the Oregon Employment Division in their locality.

Signed at Washington, D.C., on March 14, 1980.

Ernest G. Green,

Assistant Secretary for Employment and Training.

[FR Doc. 80–8599 Filed 3–20–80; 8:45 am]

BILLING CODE 4510–30–M

Mine Safety and Health Administration

[Docket No. M-80-31-M]

Ideal Basic Industries, Inc.; Petition for Modification of Application of Mandatory Safety Standard

Potash Company of America Division of Ideal Basic Industries, Inc., P.O. Box 31, Carlsbad, New Mexico 88220, has filed a petition to modify the application of 30 CFR 57.11-52(d) (Escapeways) to its Potash Company of America Mine located in Eddy County, New Mexico, in accordance with section 101(c) of the Federal Mine Safety and Health Act of 1977.

The substance of the petition follows:

1. The mineral mined is sylvinite, a non-flammable mechanical mixture consisting principally of potassium and sodium chloride crystals. It is neither toxic nor corrosive, the petitioner states, and of itself is an excellent fire extinguisher. The ore does not emit any noxious gas into the mine.

2. The mine covers a seven by eight mile area. It is ventilated by 360,000 cubic feet per minute of air with separated air intake and return using five shafts. Four of the shafts are equipped with hoists.

3. The petitioner states that "all areas of the mine can be evacuated from the working place to the surface using the normal exit method to the shaft bottoms and either of the separate escapeways (shafts) to the surface within one hour."

4. A refuge chamber has been constructed but there are no compressed air lines and waterlines. Petitioner alleges that the presence of such lines would constitute a diminution of safety for the following reasons:

a. Fresh water will dissolve potash and salt, causing structural failures.

b. Compressed air may contain a high level of contaminants.

c. Fresh water and compressed air lines could create a variety of electrical hazards.

5. As an alternative to 30 CFR 57.22-52(d), the petitioner proposes to provide the following:

a. A refuge chamber with not less than 2,000 cubic feet volume per miner per day of maximum stay. If less than 2,000 cubic feet of air should be available in the refuge chamber, oxygen cylinders and carbon dioxide absorbent would be added.

b. Two quarts of water per man per day of maximum stay in sealed containers in the refuge area.

c. Suitable hand tools and stopping (sealing) materials in the refuge area.

d. A telephone circuit to the surface that is independent of the main power supply.

6. The petitioner alleges that the proposed alternative to application of 30 CFR 57.11-52(d) will result in no less than the same measure of safety as that provided by the standard.

Request for Comments

Persons interested in this petition may furnish written comments on or before April 21, 1980. Comments must be filed with the Office of Standards, Regulations and Variances, Mine Safety and Health Administration, Room 627, 4015 Wilson Boulevard, Arlington, Virginia 22203. Copies of the petition are available for inspection at that address.

Dated: March 13, 1980.

Frank A. White,

Director, Office of Standards, Regulations and Variances.

[FR Doc. 80-8600 Filed 3-20-80; 8:45 am]

BILLING CODE 4510-43-M

[Docket No. M-80-20-C]

Renee Energy, Ltd.; Petition for Modification of Application of Mandatory Safety Standard

Renee Energy, Limited, P.O. Box 1516, Bristol, Virginia 24201 has filed a petition to modify the application of 30 CFR 75.1719 (illumination) to its Mine No. 1 located in Buchanan County, Virginia. The petition is filed in accordance with section 101(c) of the Federal Mine Safety and Health Act of 1977.

A summary of the petitioner's statements follows:

1. Petitioner is mining coal seams ranging from 26 to 34 inches in height.

2. Use of lighting fixtures on the sides of petitioner's self propelled mining equipment would cause temporary blindness to the operators and other miners affected by requiring them to constantly adjust to changes in illumination.

3. Installation of stationary lights would also create additional, debilitating heat in the confiningly small areas in which the miners must work.

4. Due to low seam conditions, lighting fixtures installed on the tops or sides of the equipment would be sheared off or the lamps frequently broken, diminishing the safety to the operator by increasing the prospect of more serious equipment failure, wedging, jamming or upset.

5. As lighting fixtures are sheared off, roof bolts, cross beams and straps will also be sheared off, thereby damaging or destroying roof support.

6. As an alternative method, petitioner proposes to use lighting fixtures on the front and rear of all equipment reasonably calculated to insure safety of the operator and others.

7. For these reasons, petitioner requests a modification of application of the standard for its mine.

Request for Comments

Persons interested in this petition may furnish written comments on or before April 21, 1980. Comments must be filed with the Office of Standards, Regulations and Variances, Mine Safety and Health Administration, Room 627, 4015 Wilson Boulevard, Arlington, Virginia 22203. Copies of the petition are available for inspection at that address.

Dated: March 13, 1980.

Frank A. White,

Director, Office of Standards, Regulations and Variances.

[FR Doc. 80-8601 Filed 3-20-80; 8:45 am]

BILLING CODE 4510-43-M

Office of the Secretary

Investigations Regarding Certifications of Eligibility To Apply for Worker Adjustment Assistance

Petitions have been filed with the Secretary of Labor under section 221(a) of the Trade Act of 1974 ("the Act") and are identified in the Appendix to this notice. Upon receipt of these petitions, the Director of the Office of Trade Adjustment Assistance, Bureau of International Labor Affairs, has instituted investigations pursuant to section 221(a) of the Act and 29 CFR 90.12.

The purpose of each of the investigations is to determine whether absolute or relative increases of imports of articles like or directly competitive with articles produced by the workers' firm or an appropriate subdivision thereof have contributed importantly to an absolute decline in sales or production, or both, of such firm or subdivision and to the actual or threatened total or partial separation of a significant number or proportion of the workers of such firm or subdivision.

Petitioners meeting these eligibility requirements will be certified as eligible to apply for adjustment assistance under Title II, Chapter 2, of the Act in accordance with the provisions of Subpart B of 29 CFR Part 90. The investigations will further relate, as appropriate, to the determination of the date on which total or partial separations began or threatened to begin and the subdivision of the firm involved.

Pursuant to 29 CFR 90.13, the petitioners or any other persons showing a substantial interest in the subject

matter of the investigations may request a public hearing, provided such request is filed in writing with the Director, Office of Trade Adjustment Assistance, at the address shown below, not later than March 31, 1980.

Interested persons are invited to submit written comments regarding the subject matter of the investigations to the Director, Office of Trade Adjustment Assistance, at the address shown below, not later than March 31, 1980.

The petitions filed in this case are available for inspection at the Office of the Director, Office of Trade Adjustment Assistance, Bureau of International Labor Affairs, U.S. Department of Labor, 200 Constitution Avenue NW., Washington, D.C. 20210.

Signed at Washington, D.C., this 17th day of March 1980.

Marvin M. Fooks,

Director, Office of Trade Adjustment Assistance.

Appendix

Petitioner: Union/workers or former workers of—	Location	Date received	Date of petition	Petition No.	Articles produced
Garment Makers, Inc. (workers)	Brooklyn, N.Y.	2/29/80	2/20/80	TA-W-7,341	Ladies' coats.
Cannelton Industries, Inc., Pocahontas Div. (UMWA).	Cannelton, W. Va	2/29/80	2/25/80	TA-W-7,342	Metallurgical coal.
Heidi Fashions (ILGWU)	New York, N.Y.	2/26/80	2/25/80	TA-W-7,343	Women's cloth coats and suits.
Frolic Footwear (Retail Clerks International Union).	Walnut Ridge, Ark.	2/20/80	2/13/80	TA-W-7,344	Ladies' cement constructed shoe.
Keuffel & Esser (workers)	Cape May Court House, N.J.	2/26/80	2/15/80	TA-W-7,345	Engineering tape measures.
Richard Sportswear Company, Inc. (ACTWU)	Allentown, Pa	2/25/80	2/20/80	TA-W-7,346	Men's rainwear.
Metal Forge Company (UAW)	Deshler, Ohio	2/25/80	2/18/80	TA-W-7,347	Cold formed U-bolts and struts.
Michigan Screw Procucts (workers)	Centerline, Mich	2/20/80	2/14/80	TA-W-7,348	Fasteners (screws and bolts).
Fedder Corporation (workers)	Edison, N.J	2/26/80	2/19/80	TA-W-7,349	Air conditioners.
International Silver De P.R., Inc. (company)	San German, P.R	2/22/80	2/21/80	TA-W-7,350	Sterling silver flatware.
General Electric Company, Engineering Section of Miniature Lamp Dept. (workers).	Cleveland, Ohio	2/28/80	2/25/80	TA-W-7,351	Llights for automobiles.
Harmony Mining Company (UMWA)	Johnstown, Pa	2/29/80	2/25/80	TA-W-7,352	Metallurgical coal.
Fairhaven Corp. (International Leather Goods, Plastic & Novelty Workers Union).	New Bedford, Mass	2/20/80	2/14/80	TA-W-7,353	Ladies' handbags.
E & R Creations, Inc. (workers)	New York, N.Y	2/14/80	2/11/80	TA-W-7,354	Cutting and sewing women's fake fur jackets and coats.
City Auto Stamping Company (UAW)	Toledo, Ohio	2/28/80	2/25/80	TA-W-7,355	Metal stamping of parts, heavy duty trucks, also sheet metal components for trucks and original equipment.
Microdot, Manufacturing, Inc., Detroit Diamond Div. (workers).	Wynadotte, Mich	2/22/80	2/18/80	TA-W-7,356	Specialty nuts.
LaSale Steel Co. (Progressive Steelworkers of Hammond, Inc.).	Hammond, Ind	2/20/80	2/14/80	TA-W-7,357	Cold drawn and specialty drawn steel bars.
Wallace Murray Corp., Schwitze Engineered Components (UAW).	Rolla, Mo	2/25/80	2/19/80	TA-W-7,358	Fan blades
Alma Foundations, Inc. (ULGWU)	Osewgo, N.Y	2/19/80	2/14/80	TA-W-7,359	Corsets and brassieres.
New Haven Foundry (UAW)	New Haven, Mich	2/26/80	2/21/80	TA-W-7,360	Cylinder heads, exhaust manifold, brake drums—castings for automobile producers.
Automotive Anodizing Company (workers)	Detroit, Mich	2/22/80	2/19/80	TA-W-7,361	Process—anodized aluminum "bright decorative trim" for automobile industry.
Singer Company (IUR)	Elizabeth, N.J	2/25/80	2/19/80	TA-W-7,362	Sewing machines, industrial and domestic.
Belmont Garment Company (company)	Cambridge, Mass.	2/19/80	2/15/80	TA-W-7,363	Men's raincoats and topcoats.
Clinton Pattern (The Pattern, Mold & Model Makers).	Toledo, Ohio	2/25/80	2/18/80	TA-W-7,364	Patterns and models.
Maumee Pattern (The Pattern, Mold & Model Makers).	Toledo, Ohio	2/25/80	2/18/80	TA-W-7,365	Production and prototype pattern equipment (wood and metal).
Alaron Pattern (The Pattern, Mold & Model Makers).	Toledo, Ohio	2/25/80	2/18/80	TA-W-7,366	Patterns and molds.
Jeep Corporation (The Pattern, Mold & Model Makers).	Toledo, Ohio	2/25/80	2/18/80	TA-W-7,367	Patterns and molds.
Seaway Pattern Works (The Pattern, Mold & Model Makers).	Toledo, Ohio	2/25/80	2/18/80	TA-W-7,368	Wood and metal patterns and molds.
The Erler Corp. (The Pattern, Mold & Model Makers).	Toledo, Ohio	2/25/80	2/18/80	TA-W-7,369	Patterns (used in auto industry).
Chrysler Corp., Wyoming Export Plant (UAW)	Detroit, Mich	3/4/80	2/29/80	TA-W-7,370	Automotive parts, exports.
Ferro Manufacturing Corp., Mt. Pleasant Div. (UAW).	Mt. Pleasant, Mich	2/26/80	2/21/80	TA-W-7,371	Power seat mechanisms for Ford Motor cars.
Stevens Paper Mills, Inc. (UPIU)	Westfield, Mass	2/26/80	2/20/80	TA-W-7,372	Kraft capacitor tissues.
Riverside Manufacturing Industries, Inc., Coldwater Products Div..	Coldwater, Mich	2/26/80	2/21/80	TA-W-7,373	Automotive wire harnesses, also switches.
Firestone Tire & Rubber Co. (URW)	Pottstown, Pa	2/19/80	2/13/80	TA-W-7,374	Passenger car tires, some truck tires.
Eastland Woolen Mill, Inc. (workers)	Corinna, Maine	2/14/80	2/11/80	TA-W-7,375	Bolted woolen goods.
Irvama-Carmel Originals, Inc. (workers)	New York, N.Y	2/13/80	2/13/80	TA-W-7,376	Ladies' better coats and suits.
Royal Park, Inc. (workers)	Coleman, Tex	2/18/80	2/9/80	TA-W-7,377	Ladies' jackets and blouses.
Pat Barrie, Ltd. (ILGWU)	New York, N.Y	2/18/80	2/13/80	TA-W-7,378	Ladies' coats.
Northland Chrysler Plymouth (workers)	Oak Park, Mich	3/4/80	2/19/80	TA-W-7,379	Sale and servicing of Chrysler and Plymouth automobiles.
Questor Corp., (IBT)	Dayton, N.J	2/29/80	2/21/80	TA-W-7,380	Distribute auto exhaust systems, also shocks suspension parts, etc.
Allegro Shoe Corporation (Boot & Shoe Workers Independent Union).	Little Falls, N.Y	2/15/80	2/12/80	TA-W-7,381	Ladies' cement shoes and boots.
U.S. Steel Corp., Steel Supply Div. (Teamsters).	Indianapolis, Ind	2/29/80	2/22/80	TA-W-7,382	Distributes steel products produced by company's steel mills.
Capri Coat Corp. (workers)	New York, N.Y	2/15/80	3/13/80	TA-W-7,383	Raincoats, carcoats, etc.
Gateway Industries, Inc. (Teamsters)	Michigan City, Ind	2/19/80	2/14/80	TA-W-7,384	Seat belts.
Camel Manufacturing Co., Inc. (United Textile Workers of America).	Knoxville, Tenn	2/19/80	2/4/80	TA-W-7,385	Tents.
U.S. Pool Car, Inc. (workers)	Melvindale, Mich	2/21/80	2/18/80	TA-W-7,386	Supply Ford Motor auto parts (load and unload railroad cars).
U & I, Incorporated (American Federation of Grain Mill).	Garland, Utah	2/19/80	2/14/80	TA-W-7,387	Processed sugar beets.
Masontown Manufacturing Company (ILGWU)	Masontown, Pa	2/19/80	2/8/80	TA-W-7,388	Contractor of ladies' blouses.
Brown Shoe Company (ACTWU)	Pocahontas, Ark	2/19/80	2/12/80	TA-W-7,389	Ladies' dress shoes.
Bethlehem Mines Corp., Cambria Division, Mine No. 73 (UMWA).	Ebensburg Pa	2/29/80	2/19/80	TA-W-7,390	Metallurgical coals.

Appendix—Continued

Petitioner: Union/workers or former workers of—	Location	Date received	Date of petition	Petition No.	Articles produced
Bethlehem Mines Corp., Cambria Division, Mine No. 77 (UMWA).	Ebensburg, Pa	2/29/80	2/19/80	TA-W-7,391	Metallurgical coal.
Meadowdale Coal Crop., Meadow Strip Mine (UMWA).	Greenbrier County, W. Va	2/29/80	2/26/80	TA-W-7,392	Metallurgical coal.
United Auto Workers Local 110 (workers)	Fenton, Mo	3/6/80	3/3/80	TA-W-7,393	Secretarial service.
United Auto Workers Local 136 (workers)	Fenton, Mo	3/6/80	3/3/80	TA-W-7,394	Secretarial service.
Eden National Steel Corp. (IBEW)	Oakland, Calif	2/19/80	2/13/80	TA-W-7,395	Slit and level steel.
Imperial Cartage Company (workers)	St. Louis, Mo	2/1/80	1/29/80	TA-W-7,396	Transport trailers into and away from the Chrysler assembly plant docks.
Armstrong Tire & Rubber Company, Jackson Distribution Center (workers).	Jackson, Miss	2/26/80	2/12/80	TA-W-7,397	Distributing tires.
Marc Slade Chrysler Plymouth (workers)	Sanford, Fla	3/10/80	3/4/80	TA-W-7,398	Sales and service of Chrysler and Plymouth automobiles.

[FR Doc. 80-8744 Filed 3-20-80; 8:45 am]

BILLING CODE 4510-26-M

Affirmative Determinations Regarding Eligibility To Apply for Worker Adjustment Assistance

In accordance with Section 223 of the Trade Act of 1974 (19 U.S.C. 2273) the Department of Labor herein presents summaries of certifications of eligibility to apply for worker adjustment assistance issued during the period March 10–14, 1980.

In order for an affirmative determination to be made and a certification of eligibility to apply for adjustment assistance to be issued, each of the group eligibility requirements of Section 222 of the Act must be met.

In the following cases it has been concluded that all of the criteria have been met.

TA-W-6742 Aretta Hall Manufacturing Co., New York, N.Y.

The investigation was initiated on January 10, 1980 in response to a petition which was filed by the Amalgamated Clothing and Textile Workers Union on behalf of workers at Aretta Hall Manufacturing Company, Incorporated. New York, New York. The workers produce men's tailored coats.

U.S. imports of men's and boys tailored dress coats and sportcoats increased absolutely and relative to domestic production in 1978 compared to 1977. U.S. imports of men's and boys tailored suits are estimated to have increased relative to domestic production in 1979 compared to 1978.

A Department survey revealed that some manufacturers decreased purchases of men's tailored coats from Aretta Hall and increased their reliance on imported men's tailored coats in 1979 compared to 1978.

In this case, therefore, the certifying officer has determined that "All workers of Aretta Hall Manufacturing who became totally or partially separated from employment on or after December 11, 1978 are eligible to apply for adjustment assistance under Section 223 of the Trade Act of 1974."

TA-W-6767; Bennett Importing, Rumford, Maine; TA-W-6767A; Livermore Shoe Co., Livermore Falls, Maine

The investigation was initiated on January 14, 1980 in response to a worker petition which was filed on behalf of workers at the Rumford, Maine facility of Bennett Importing (TA-W-6767). The investigation was expanded to include Livermore Shoe Company (TA-W-6767A). The workers produce women's boots and shoes.

U.S. imports of women's nonrubber footwear, except athletic increased absolutely and relative to domestic production from 1977 to 1978, and in the first nine months of 1979 compared to the same period in 1978.

Company imports by Bennett Importing of women's boots and sandals increased from 1977 to 1978. Import purchases in 1979 were a significant proportion of the total company sales.

A Department survey revealed that surveyed customers increased purchases of imported women's boots and shoes, while decreasing purchases from Bennett Importing from 1978 to 1979.

In this case, therefore, the certifying officer has determined that:

"All workers at Bennett Importing, Rumford, Maine and Livermore Shoe Company, Livermore Falls, Maine who became totally or partially separated from employment on or after December 27, 1978 are eligible to apply for adjustment assistance under Section 223 of the Trade Act of 1974."

TA-W-6226; Brown Shoe Co., Leachville, Ark.

The investigation was initiated on October 18, 1979 in response to a petition which was filed by the Shoe Division of the Amalgamated Clothing Textile Workers on behalf of workers at the Leachville, Arkansas plant of Brown Shoe Company. The workers primarily produce children's shoes.

U.S. imports of children's nonrubber footwear, except athletic, increased in quantity both absolutely and relative to domestic production in January–September 1979 compared to January–September 1978.

The Leachville, Arkansas plant of Brown Shoe Company increased its purchases of imported children's shoes in 1978 from 1977 and in 1979 from 1978.

In this case, therefore, the certifying officer has determined that:

"All workers of the Leachville, Arkansas plant of Brown Shoe Company who became totally or partially separated from employment on or after March 1, 1979 are eligible to apply for adjustment assistance under Section 223 of the Trade Act of 1974."

TA-W-6855; CAR-FI International, Inc., Burbank, Calif.

The investigation was initiated on January 28, 1980 in response to a petition which was filed on behalf of workers at Car-Fi International, Incorporated, Burbank, California. The workers produce amplifiers, preamplifiers, graphic equalizers and miscellaneous accessories for car stereo systems.

Total U.S. imports of auto tape players, AM radios, AM/FM radios and tape player-radio combinations like or directly competive with products manufactured by Car-Fi International, Incorporated increased absolutely and relative to domestic production from 1977 to 1978. U.S. imports of these products remained stable in the first three quarters of 1979 compared to the same period of 1978.

In a survey conducted by the Department of Commerce, customers accounting for a significant proportion of Car-Fi International's sales declines indicated that they had decreased purchases from Car-Fi International

while increasing purchases of like or directly competitive car stereo products from foreign sources. The Department of Commerce on December 28, 1979 certified Car-Fi International, Incorporated as eligible to apply for firm adjustment assistance.

In this case, therefore, the certifying officer has determined that:

"All workers of Car-Fi International, Incorporated, Burbank, California who became totally or partially separated from employment on or after July 28, 1979 are eligible to apply for adjustment assistance under Section 223 of the Trade Act of 1974."

TA-W-6850; Ford Motor Co., Pico Rivera, Calif.

The investigation was initiated on January 25, 1980 in response to a petition which was filed by the United Auto Workers of America on behalf of workers at the Pico Rivera, California plant of Ford Motor Company. The workers produced Ford LTD's and Thunderbirds.

The Ford LTD was classified as a standard automobile in model year (MY) 1978, MY 1979, and MY 1980. The Thunderbird was classified as an intermediate in MY 1978 and a standard in MY 1979. Because traditional distinctions between standard and intermediate automobiles were blurred by changes in the design of both classes in MY 1979, and because the effect of the changes was not reflected in the market until early 1979, imports of both standards and intermediates can be considered competitive with Thunderbirds during the entire 1979 model year.

U.S. imports of standard automobiles increased both absolutely and relative to domestic production in MY 1979, compared to MY 1978, and increased relative to domestic production in the first two months of MY 1980, compared with the first two months of MY 1979. U.S. imports of intermediate automobiles increased absolutely from MY 1978 to MY 1979. Imports of intermediates increased both absolutely and relative to domestic production in the last quarter of MY 1979, compared with the last quarter of MY 1978.

Company imports of Ford LTD automobiles increased as a percentage of total company sales of Ford LTD's in the first three months of MY 1980, compared with the first three months of MY 1979.

In this case, therefore the certifying officer has determined that:

"All workers at the Pico Rivera, California plant of Ford Motor Company, who became totally or partially separated from employment on or after January 11, 1979 are eligible to apply for adjustment assistance under Section 223 of the Trade Act of 1974."

TA-W-6836; Morris Levitz and Sons; New York, N.Y.

The investigation was initiated on January 22, 1980 in response to a petition which was filed by the International Ladies' Garment Workers Union on behalf of workers at Morris Levitz and Sons, New York, New York. The workers produce ladies' raincoats.

U.S. imports of women's, girls', and infants' raincoats increased absolutely and relative to domestic production in each year 1975 through 1978 compared to the preceding year.

The Office of Trade Adjustment Assistance conducted a survey of retail customers of Morris Levitz and Sons. The survey revealed that customers, representing a significant portion of Morris Levitz and Sons' sales, decreased purchases of ladies' raincoats from Morris Levitz and Sons in 1979 compared to 1978. These customers increased purchases of imported ladies' raincoats during the same time period.

In this case, therefore, the certifying officer has determined that:

"All workers of Morris Levitz and Sons, New York, New York who became totally or partially separated from employment on or after January 14, 1979 and before February 29, 1980 are eligible to apply for adjustment assistance under Section 223 of the Trade Act of 1974."

TA-W-7102; T & J Cedar, Inc., Raymond, Wash.

The investigation was initiated on February 12, 1980 in response to a worker petition which was filed on behalf of workers and former workers at T & J Cedar, Incorporated, Raymond, Washington. The workers produce red cedar shakes and shingles.

U.S. imports of red cedar shingles and shakes increased both absolutely and relative to domestic production in 1978 compared to 1977 and increased absolutely in the first nine months of 1979 compared to the first nine months of 1978.

A survey of customers who decreased purchases of cedar shingles and shakes from T & J Cedar, Incorporated in 1979 compared to 1978 indicated that several large customers increased purchases of imported cedar shakes and shingles over the same period. The survey further revealed that customers intend to increase their reliance on imported shakes and shingles in 1980 due to price considerations.

In this case, therefore, the certifying officer has determined that:

"All workers of T & J Cedar, Incorporated, Raymond, Washington who became totally or partially separated from employment on of after January 22, 1979 are eligible to apply for adjustment assistance under Section 223 of the Trade Act of 1974."

I hereby certify that determinations were issued with respect to all of the aforementioned cases during the week of March 10–14, 1980.

Harold A. Bratt,

Acting Director, Office of Trade Adjustment Assistance.

[FR Doc. 80-8625 Filed 3-20-80; 8:45 am]

BILLING CODE 4510-28-M

[TA-W-7237]

Allied Products Corp., South Bend Stamping Division, South Bend, Ind.; Termination of Investigation

Pursuant to Section 221 of the Trade Act of 1974, an investigation was initated on March 3, 1980 in response to a worker petition received on February 12, 1980 which was filed by the International Union, United Automobile, Aerospace and Agricultural Workers of America (U.A.W.) on behalf of workers and former workers producing automobile stampings at Allied Products Corporation, South Bend Stamping Division, South Bend, Indiana.

On January 28, 1980, a petition was filed on behalf of the same group of workers (TA-W-6911).

Since the identical group of workers is the subject of the ongoing investigation TA-W-6911, a new investigation would serve no purpose. Consequently, the investigation has been terminated.

Signed at Washington, D.C., this 13th day of March 1980.

Marvin M. Fooks,

Director, Office of Trade Adjustment Assistance.

[FR Doc. 80-8627 Filed 3-20-80; 8:45 am]

BILLING CODE 4510-28-M

[TA-W-4184]

Avtex Fibers, Inc., Front Royal, Va.; Amended Certification of Eligibility To Apply for Worker Adjustment Assistance

In accordance with Section 223 of the Trade Act of 1974, the Department of Labor issued a Certification of Eligibility to Apply for Worker Adjustment Assistance on January 12, 1979, applicable to all workers at the Front Royal, Virginia, plant of Avtex Fibers, Inc. The Notice of Certifications was published in the **Federal Register** on January 19, 1979, (44 FR 4036).

On the basis of additional information, the Office of Trade Adjustment Assistance, on its own

motion, reviewed the certification. Following this review, the Department removed the Research and Development and Technical Service personnel from the certification on the understanding they would be covered by an earlier certification, TA–W–2645. The Notice of Amended Certification was published in the **Federal Register** on March 7, 1980, (45 FR 14965).

It has now come to the Department's attention that these workers were already receiving trade adjustment assistance benefits under TA–W–4184. Under the circumstances and to avoid duplicate certification coverage of the Research and Development and Technical Service workers, certification TA–W–4184 is amended further to restore coverage to those workers. Consequently, Research and Development and Technical Service workers are only covered by TA–W–4184. They are not covered by TA–W–2645.

The certification applicable to TA–W–4184 as further amended is hereby issued as follows:

"All workers at the Front Royal, Virginia, plant of Avtex Fibers, Inc., who became totally or partially separated from employment on or after March 5, 1978, are eligible to apply for adjustment assistance under Title II, Chapter 2 of the Trade Act of 1974."

Signed at Washington, D.C., this 12th day of March 1980.

James F. Taylor,

Director, Office of Management, Administration and Planning.

[FR Doc. 80-8626 Filed 3-20-80; 8:45 am]

BILLING CODE 4510-28-M

Negative Determinations Regarding Eligibility To Apply for Worker Adjustment Assistance

In accordance with Section 223 of the Trade Act of 1974 (19 U.S.C. 2273) the Department of Labor herein presents summaries of negative determinations regarding eligibility to apply for worker adjustment assistance issued during the period March 10–14, 1980.

In order for an affirmative determination to be made and a certification of eligibility to apply for ajustment assistance to be issued, each of the group eligibility requirements of Section 222 of the Act must be met.

(1) That a significant number or proportion of workers in the worker's firm, or an appropriate subdivision thereof, have become totally or partially separated, or are threatened to become totally or partially separated.

(2) That sales or production, or both, of the firm or subdivision have decreased absolutely,

(3) That increases of imports of articles like or directly competitive with articles produced by the firm or appropriate subdivision have contributed importantly to the separations, or threat thereof, and to the absolute decline in sales or production.

In each of the following cases it has been concluded that at least one of the above criteria has not been met.

TA–W–6769; Eaton Corp., Climate Control Division, Harrodsburg, Ky.

The investigatioin was initiated on January 14, 1980 in response to a petition which was filed on behalf of workers at the Climate Control Division of Eaton Corporation, Harrodsburg, Kentucky. the workers produce vehicle air conditioning systems and electric shift mechanisms for two-speed axles.

The investigation revealed that criterion (3) has not been met.

U.S. imports of mobile vehicle air conditioning systems are negligible.

Air conditioning systems for cars, trucks and off-highway vehicles account for the largest percentage of sales for the Climate Control Division, and for the total sales decline in 1979 compared with 1978.

Petitioners allege that increased imports of automobiles and trucks have caused decreases in production and employment at the Climate Control Division of Eaton Corporation. Although imported automobiles and trucks may incorporate air conditioning systems, imports of the whole product are not "like or directly competitive" with their component parts.

In this case, therefore, the certifying officer has determined that all workers of the Climate Control Division of Eaton Corporation, Harrodsburg, Kentucky are denied eligibility to apply for adjustment assistance under Section 223 of the Trade Act of 1974.

TA–W–6616; Inmont Corp., St. Louis, Mo.

The investigation was initiated on December 13, 1979 in response to a petition which was filed by the Teamsters on behalf of workers at the St. Louis, Missouri plant of the Inmont Corporation. Workers produce automotive and industrial sponge rubber and sealant products.

the investigation revealed that criterion (3) has not been met.

The St. Louis plant primarily produces automotive sealant products and industrial sponge rubber and sealant products.

A Department survey of the industrial customers of Inmont Corporation revealed that customers surveyed did not purchase imported industrial sponge rubber and sealant products in 1978 and 1979.

Industry sources indicate that U.S. imports of automotive seals were negligible in 1978 and in January–September 1979.

Peititoners allege that increased imports of automobiles have caused decreases in production and employment at Inmont Corporation. Although imported automobiles incorporate automotive seals, imports of the whole product are not "like or directly competitive" with their component parts. Imports of automotive seals must be considered in determining import injury to workers producing automotive seals at the St. Louis, Missouri Plant.

In this case, therefore, the certifying officer has determined that all workers of the St. Louis, Missouri plant of the Inmont Corporation are denied eligibility to apply for adjustment assistance under Section 223 of the Trade Act of 1974.

TA–W–6770; James R. Jarret, Inc., Kokomo, Ind.

The investigation was initiated on January 14, 1980, in response to a petition which was filed on behalf of workers at James R. Jarrett, Inc., Kokomo, Indiana. The workers at James R. Jarrett, Inc. are engaged in providing the service of transportation by truck.

The investigation revealed that workers of James R. Jarrett, Inc., do not produce an article within the meaning of Section 222(3) of the Act. Therefore, they may be certified only if their separation was caused importantly by a reduced demand for their services from a parent firm, a firm otherwise related to James R. Jarrett, Inc. by ownership, or a firm related by control. In any case, the reduction in demand for services must originate at a production facility whose workers independently meet the statutory criteria for certification and that reduction must directly relate to the product impacted by imports.

James R. Jarrett, Inc. and its customers have no controlling interest in one another. The subject firm is not corporately affiliated with any other company.

All workers engaged in providing transportation services at James R. Jarrett, Inc. are employed by that firm. All personnel actions and payroll transactions are controlled by James R. Jarrett, Inc. All employee benefits are provided and maintained by James R. Jarrett, Inc. Workers are not, at any time, under employment or supervision by customers of James R. Jarrett, Inc. Thus, James R. Jarrett, Inc., and not any

of its customers, must be considered to be the "workers' firm".

In this case, therefore, the certifying officer has determined that all workers of James R. Jarrett, Inc., Kokomo, Indiana are denied eligibility to apply for adjustment assistance under Section 223 of the Trade Act of 1974.

(TA-W-6792) J & R Manufacturing Co., Inc., Newark, N.J.

The investigation was initiated on January 16, 1980 in response to a petition which was filed on behalf of workers at J and R Manufacturing Company, Incorporated, Newark, New Jersey. The workers at J and R Manufacturing Company, Incorporated produce women's coats and raincoats.

The investigation revealed that criterion (3) has not been met.

U.S. imports of women's and children's coats and raincoats decreased absolutely in 1979 compared to 1978.

A department survey of the subject firm's customers revealed that most customers either increased purchases or did not import.

In this case, therefore, the certifying officer has determined that all workers of J and R Manufacturing Company, Incorporated, Newark, New Jersey are denied eligibility to apply for adjustment assistance under Section 223 of the Trade Act of 1974.

TA-W-6903; J.C. Sportswear, West New York, N.J.

The investigation was initiated on February 1, 1980 in response to a petition which was filed by the International Ladies' Garment Workers' Union on behalf of workers at J.C. Sportswear, West New York, New Jersey. The workers produce primarily ladies dresses.

The investigation revealed that criterion (3) has not been met.

A Departmental survey was conducted with the manufacturers from whom J.C. Sportswear received contract work for ladies' dresses during the 1977 through 1979 period. The survey revealed that the manufacturers do not import ladies' dresses nor do they use overseas contractors. A survey of the major customers of the manufacturers revealed that imports declined as a percentage of the customers' total purchases of dresses from 1977 to 1978 and in the first six months of 1979 compared to the same period of 1978.

A random sample of the manufacturers' customers was also conducted. This survey revealed that imported dresses represented a constant and small proportion of the customers' total dress demand during the first eight months of 1979 compared to the same period of 1978.

In this case, therefore, the certifying officer has determined that all workers of J.C. Sportswear, West New York, New Jersey are denied eligibility to apply for adjustment assistance under Section 223 of the Trade Act of 1974.

TA-W-7140, 7141, 7142, and 7143; Kanawha Coal Co., Madison Mine No. 1, Ashford, W. Va., Madison Mine No. 1A, Ashford, W. Va., Madison Mine No. 2, Ashford, W. Va., Preparation Plant, Ashford, W. Va.

The investigation was initiated on February 19, 1980 in response to a petition which was filed on behalf of workers at Kanawha Coal Company's Madison Mine #1 (TA-W-7140), Madison Mine #1A (TA-W-7141), Madison Mine #2 (TA-W-7142) and Preparation Plant (TA-W-7143). All four facilities are located in Ashford, West Virginia. The workers at Madison Mine #1, #1A and #2 and at the Preparation Plant mine and clean coal.

The investigation revealed that criterion (3) has not been met. The Kanawha Coal Company mines its coal solely for export.

In this case, therefore, the certifying officer has determined that all workers of the Kanawha Coal Company's Madison Mine #1, Madison Mine #1A, Madison Mine #2 and Preparation Plant, Ashford, West Virginia are denied eligibility to apply for adjustment assistance under Section 223 of the Trade Act of 1974.

TA-W-6894; P.D.A. Coat Corp.; Jersey City, N.J.

The investigation was initiated on January 30, 1980 in response to a petition which was filed by the Internatioanl Ladies' Garment Workers' Union on behalf of workers at P.D.A. Coat Corporation, Jersey City, New Jersey. The workers produce ladies' coats.

The investigation revealed that criterion (3) has not been met.

U.S. imports of women's, misses' and children's coats and jackets decreased on an absolute basis in January through September 1979 compared to the same 1978 period.

A Departmental survey of the coat manufacturers from whom P.D.A. Coat Corporation received contract work in 1978 and 1979 revealed that the manufacturers decreased their utilization of foreign sources in 1979 compared to 1978. During the same period, work with other domestic sources increased, as did the manufacturers' sales.

In this case, therefore, the certifying officer has determined that all workers of P.D.A. Coat Corporation, Jersey City, New Jersey are denied eligibility to apply for adjustment assistance under Section 223 of the Trade Act of 1974.

(TA-W-6797) R.J.F. Coal Co., Inc., Gilbert, W. Va.

The investigation was initiated on January 16, 1980 in response to a petition which was filed on behalf of workers producing metallurgical coal at R.J.F. Coal Company, Incorporated, Gilbert, West Virginia. R.J.F. Coal Company mined primarily metallurgical coal and a small quantity of steam coal.

The investigation revealed that criterion (3) has not been met.

The petition was filed on behalf of workers mining metallurgical-grade coal. In accordance with Section 222 of the Trade Act of 1974 and 29 CFR 90.2 a domestic article may be "directly competitive" with an imported article at a later stage of processing. Coke is metallurgical coal at a later stage of processing. Imports of both coke and imports of metallurgical coal should be considered in the determination of import injury to workers mining metallurgical coal.

U.S. imports of steam and metallurgical coal are negligible. U.S. imports of coke decreased absolutely and relative to domestic production in the first nine months of 1979 compared to the same period of 1978.

R.J.F. Coal Company, Incorporated mined steam and metallurgical coal on a contract basis at mines of two firms during 1978 and 1979. A survey of these firms revealed that one firm took over the operations of its mines from R.J.F. Coal Company in August 1979 and the sales and production of metallurgical coal at these mines increased after the takeover. The firm does not purchase imports of metallurgical coal or coke.

The second firm discontinued the contract mining of R.J.F. Coal Company in December 1978 due to the condition of the mine and the quality of coal. Coal from this mine, both steam and metallurgical grades, was sold to a power company to fire generators. The power company does not purchase imported coal.

In this case, therefore, the certifying officer has determined that all workers of R.J.F. Coal Company, Incorporated, Gilbert, West Virginia are denied eligibility to apply for adjustment assistance under Section 223 of the Trade Act of 1974.

(TA-W-6881) Specialty Cartage, Inc., Detroit, Mich.

The investigation was initiated on January 30, 1980 in response to a worker petition which was filed on behalf of

workers of Specialty Cartage, Incorporated, Detroit, Michigan. The workers at Specialty Cartage, Incorporated are engaged in providing the service of transporting automotive parts.

The investigation revealed that workers of Specialty Cartage, Incorporated do not produce an article within the meaning of Section 222(3) of the Act. Therefore, they may be certified only if their separation was caused importantly by a reduced demand for their services from a parent firm, a firm otherwise related to Specialty Cartage, Incorporated by ownership, or a firm related by control. In any case, the reduction in demand for service must originate at a production facility whose workers independently meet the statutory criteria for certification and that reduction must directly relate to the product impacted by imports.

Specialty Cartage, Incorporated and its customers have no controlling interest in one another. The subject firm is not corporately affiliated with any other company.

All workers of Specialty Cartage, Incorporated are employed by that firm. All personnel actions and payroll transactions are controlled by Specialty Cartage, Incorporated. All employee benefits are provided and maintained by Specialty Cartage, Incorporated. Workers are not, at any time, under employment or supervision by customers of Specialty Cartage, Incorporated. Thus, Specialty Cartage, Incorporated and not any of its customers, must be considered to be the "workers' firm".

In this case, therefore, the certifying officer has determined that all workers of the Specialty Cartage, Incorprated Detroit Michigan are denied eligibility to apply for adjustment assistance under Section 223 of the Trade Act of 1974.

TA-W-6827; The Standard Products Co., Inc., Lexington, Ky.

The investigation was initiated on January 21, 1980 in response to a petition which was filed on behalf of workers at the Lexington, Kentucky facility of The Standard Products Company, Incorporated. Workers at the Lexington facility produce extruded rubber weather strip, air deflectors, and rubber parts for window channels, all of which are rubber automotive products.

The investigation revealed that criterion (3) has not been met.

Surveyed major customers of the Lexington, Kentucky facility of The Standard Products Company, Incorporated indicated that they did not purchase any imported rubber weather stripping, air deflectors, or rubber parts for window channels during the period under investigation.

In this case, therefore, the certifying officer has determined that all workers of the Lexington, Kentucky facility of The Standard Products Company, Incorporated are denied eligibility to apply for adjustment assistance under Section 223 of the Trade Act of 1974.

TA-W-7164; United Trucking Service, Inc., Kokomo, Ind.

The investigation was initiated on February 19, 1980, in response to a petition which was filed by the Teamsters on behalf of workers at United Trucking Service, Incorporated, Kokomo, Indiana. The workers at United Trucking Service, Incorporated are engaged in providing the service of transporting auto parts and finished products.

The investigation revealed that workers of United Trucking Service, Incorporated do not produce an article within the meaning of Section 222(3) of the Act. Therefore, they may be certified only if their separation was caused importantly by a reduced demand for their services from a parent firm, a firm otherwise related to United Trucking Service, Incorporated by ownership, or a firm related by control. In any case, the reduction in demand for services must originate at a production facility whose workers independently meet the statutory criteria for certification and that reduction must directly relate to the product impacted by imports.

United Trucking Service, Incorporated and its customers have no controlling interest in one another. The subject firm is not corporately affiliated with any other company.

All workers engaged in transporting auto parts and finished products at United Trucking Service, Incorporated are employed by that firm. All personnel actions and payroll transactions are controlled by United Trucking Service, Incorporated. All employee benefits are provided and maintained by United Trucking Service, Incorporated. Workers are not, at any time, under employment or supervision by customers of United Trucking Service, Incorporated. Thus, United Trucking Service, Incorporated, and not any of its customers, must be considered to be the "workers' firm".

In this case, therefore, the certifying officer has determined that all workers of United Trucking Service, Incorporated, Kokomo, Indiana are denied eligibility to apply for adjustment assistance under Section 223 of the Trade Act of 1974.

TA-W-6603; Youngstown Sheet & Tube Co., Brier Hill Works, Youngstown, Ohio

The investigation was initiated on December 11, 1979 in response to a petition which was filed by the United Steelworkers of America on behalf of workers at the Youngstown Sheet and Tube Company, Brier Hill Works, Youngstown, Ohio. Workers at the Brier Hill Works produce steel rounds and cold finished steel bars.

For workers producing steel rounds, the investigation revealed that criterion (3) has not been met.

Production of tube rounds at the Brier Hill Works was discontinued in December 1979. As a result of a merger which occurred in December 1978, Youngstown Sheet and Tube Company began operating under the management of the Jones and Laughlin Steel Corporation. Production of tube rounds previously done at the Brier Hill Works will be transferred to other Jones and Laughlin steel making facilities in the United States. Operating costs are expected to be lower as the facilities to which production is being transferred will use either basic oxygen or electric furnaces for raw steel production. The Brier Hill Works produces raw steel by the open hearth process, which is the least cost effective method of making steel.

All tube rounds produced at the Brier Hill Works were used in the production of tubular products at the Campbell Works of the Youngstown Sheet and Tube Company. Sales and production of tubular products at the Campbell Works increased in 1979 compared with 1978.

Imports of seamless steel pipe and tubing declined both absolutely and relative to domestic shipments in 1979 compared to 1978.

For workers producing cold finished steel bars, the investigation revealed that criterion (2) has not been met.

Sales and production of cold finished steel bars at the Brier Hill Works of the Youngstown Sheet and Tube Company increased in 1979 compared with 1978 and in each quarter of 1979 compared with the same quarter of 1978. Jones and Laughlin has announced that it does not plan to close the cold finishing department at the Brier Hill Works in the foreseeable future.

In this case, therefore, the certifying officer has determined that all workers of the Youngstown Sheet and Tube Company, Brier Hill Works, Youngstown, Ohio are denied eligibility to apply for adjustment assistance under Section 223 of the Trade Act of 1974.

I hereby certify that determinations were issued with respect to all of the

aforementioned cases during the week of March 10–14, 1980.

Harold A. Bratt,

Acting Director, Office of Trade Adjustment Assistance.

[FR Doc. 80-8624 Filed 3-20-80; 8:45 am]

BILLING CODE 4510-28-M

[TA-W-6720 and TA-W-6730]

Success Leather, Inc., New York, N.Y.; and Shandora Fashions, New York, N.Y.; Certification Regarding Eligibility To Apply for Worker Adjustment Assistance

In accordance with Section 223 of the Trade Act of 1974 (19 U.S.C. 2273) the Department of Labor herein presents the results of an investigation regarding certification of eligibility to apply for worker adjustment assistance.

In order to make an affirmative determination and issue a certification of eligibility to apply for adjustment assistance, each of the group eligibility requirements of Section 222 of the Act must be met.

The investigation was initiated on January 8, 1980 in response to a worker petition received on December 20, 1979 which was filed by the Amalgamated Clothing and Textile Workers Union on behalf of workers and former workers producing women's leather coats at Success Leather, Incorporated, New York, New York (TA–W–6720). Another investigation was initiated on January 8, 1980 in response to a worker petition received on December 27, 1979 which was filed on behalf of workers and former workers producing women's leather coats at Shandora Fashions, New York, New York (TA–W–6730). Sucess Leather and Shandora Fashions are jointly owned and operated. It is concluded that all of the requirements have been met.

U.S. imports of leather coats and jackets—men's, boys', women's, misses', juniors', and children's—increased absolutely and relative to domestic production in 1978 compared to 1977.

A Department survey revealed that customers decreased purchases from Shandora Fashions and increased purchases of imported women's leather coats during 1979 compared to 1978.

Conclusion

After careful review of the facts obtained in the investigation, I conclude that increases of imports of articles like or directly competitive with women's leather coats produced at Success Leather, Incorporated, New York, New York, and Shandora Fashions, New York, New York, contributed importantly to the decline in sales or production and to the total or partial separation of workers of those firms. In accordance with the provisions of the Act, I make the following certification:

All workers of Success Leather, Incorporated, New York, New York (TA–W–6730) who became totally or partially separated from employment on or after December 11, 1978 and all workers of Shandora Fashions, New York, New York (TA–W–6730) who became totally or partially separated from employment on or after December 20, 1978 are eligible to apply for adjustment assistance under Title II, Chapter 2 of the Trade Act of 1974.

Signed at Washington, D.C., this 13th day or March 1980.

James F. Taylor,

Director, Office of Management Administration and Planning.

[FR Doc. 80-8628 Filed 3-20-80; 8:45 am]

BILLING CODE 4510-28-M

Pension and Welfare Benefit Programs

[Application No. D–1510]

Proposed Exemption for Certain Transactions Involving the Security National Corp. Retirement Plan Located in Sioux City, Iowa

AGENCY: Department of Labor.

ACTION: Notice of Proposed Exemption.

SUMMARY: This document contains a notice of pendency before the Department of Labor (the Department) of a proposed exemption from certain of the prohibited transaction restrictions of the Employee Retirement Income Security Act of 1974 (the Act) and from certain taxes imposed by the Internal Revenue Code of 1954 (the Code). The proposed exemption would exempt the cash sale of the Sioux Car Park by the Security National Corporation Retirement Plan (the Plan) to the Security National Bank of Sioux City, Iowa (the Employer), a party in interest. The proposed exemption, if granted, would affect participants and beneficiaries of the Plan, the Employer and other persons participating in the proposed transaction.

DATES: Written comments and requests for a public hearing must be received by the Department of Labor on or before April 30, 1980.

EFFECTIVE DATE: If the proposed exemption is granted, the exemption will be effective September 1, 1979.

ADDRESS: All written comments and requests for a hearing (at least three copies) should be sent to the Office of Fiduciary Standards, Pension and Welfare Benefit Programs, Room C–4526, U.S. Department of Labor, 200 Constitution Avenue NW., Washington, D.C. 20216, Attention: Application No. D–1510. The application for exemption and the comments received will be available for public inspection in the Public Documents Room of Pension and Welfare Benefit Programs, U.S. Department of Labor, Room N–4677, 200 Constitution Avenue NW., Washington, D.C. 20216.

FOR FURTHER INFORMATION CONTACT: Alan H. Levitas, of the Department of Labor, telephone (202) 523–8884. (This is not a toll-free number.)

SUPPLEMENTARY INFORMATION: Notice is hereby given of the pendency before the Department of an application for exemption from the restrictions of section 406(a) and 406 (b)(1) and (b)(2) of the Act and from the taxes imposed by section 4975 (a) and (b) of the Code, by reason of section 4975(c)(1) (A) through (E) of the Code. The proposed exemption was requested in an application filed by the Employer in its capacity as Plan trustee, pursuant to section 408(a) of the Act and section 4975(c)(2) of the Code, and in accordance with procedures set forth in ERISA Procedure 75–1 (40 FR 18471, April 28, 1975).

Effective December 31, 1978, section 102 of Reorganization Plan No. 4 of 1978 (43 FR 47713, October 17, 1978) transferred the authority of the Secretary of the Treasury to issue exemptions of the type requested to the Secretary of Labor. Therefore, this notice of pendency is issued solely by the Department.

Summary of Facts and Representations

The application contains representations with regard to the proposed exemption which are summarized below. Interested persons are referred to the application on file with the Department for the complete representations of the applicant.

1. On July 20, 1956, the Plan purchased property for the erection of a parking facility, located at 619 Pierce Street, Sioux City, Iowa, for $100,000. Improvements were made by the Plan and it became commonly known as the Sioux Car Park (the Property), a four-story reinforced concrete and heavy steel frame ramp parking garage. Until August 31, 1979, the Plan leased the Property to Ronald W. Smith, an unrelated party. The Plan received 55% of the gross receipts derived from monthly storage rentals and 50% of all other gross receipts.

2. An appraisal by an independent appraiser, dated January 1, 1979, valued the Property at $430,000. As of December 31, 1978, the Property had a book value of $235,408.02. For the five

year period ending December 31, 1978, the Property produced net income of $112,565 for the Plan and an average annual return on market value of approximately 7.4%

3. In the spring of 1979, it became apparent that a large area on several floors of the Property had loose concrete. These spots had been patched, as they occurred, for a number of years. At this time, the deterioration had gone so far that the steel reinforcement rods were exposed and rusting. In addition, a concrete slab on about the front ⅓ of the Property was in serious need of repair. A firm of architects examined the Property, stated that it was imperative that it be repaired or there would be serious damage to the building and recommended a very extensive repair job.

4. An independent contractor prepared a maximum cost estimate, dated August 10, 1979, of $143,000 for the repairs necessary to complete the renovation of the Property. At this time, the trust policy committee (the committee) for the Plan considered the long term potential of the Property as a Plan asset. The committee questioned the wisdom of financing the necessary repairs through the Plan because investment considerations might dictate the sale of the Property in the near future and the cost of the additional repairs might not enhance the selling price. A letter dated August 16, 1979 from the contractor states that in order to guarantee completion of the required repairs (due to weather), work on the Property must begin no later than September 15, 1979 and it is extremely urgent that the work be completed before the end of the year.

5. The Employer represents that the competitive position of the Property was eroding. When constructed, the Property was the only parking ramp in this section of Sioux City. Since 1970, the City of Sioux City has erected three parking ramps with a total capacity of 2,098 cars within a five block radius of the Property. As such, protected off-street parking has become a price-sensitive commodity, lessening the ability of the Property to recoup increasing expenses through rate increases.

6. On August 16, 1979, the Employer offered to purchase the Property from the Plan for its current appraised value of $430,000. The committee considered the offer and the possibility of selling the property to an unrelated party; however, due to the time constraints for completing the repairs on the Property and the nonexistent market for such a facility, the committee accepted the offer. On September 1, 1979, the Employer purchased the Property from the Plan for $430,000 in cash. This transaction date was set in order to allow the Employer adequate time to contract and coordinate the repair work.

7. The applicant believes that the sale of the Property by the Plan to the Employer was beneficial because it removed the bonus to the Plan of making the necessary repairs. The cost of the repairs exceeded the aggregate net income generated by the Property over the last five years and since the repairs would not increase the storage capacity of the Property, it could not be expected that the expenditure would have had a positive impact on either the future income of the facility or its market value.

8. As of January 1, 1979, the Plan had 230 participants and assets valued at $2,981,469.86 and the transaction represents approximately 14.42% of the Plan's assets.

9. In summary, the applicant represents that the sale of the Property meets the statutory criteria for an exemption under section 408(a) of the Act because (1) it is a one time transaction for cash; (2) the selling price for the Property was determined by an independent appraiser and will allow the Plan to receive a substantial profit over the Property's present book value; (3) the necessity of having to make substantial repairs on the Property will be removed from the Plan; and (4) the trustee has determined that the transaction was appropriate for the Plan and was in the best interests of the Plan's participants and beneficiaries.

Notice to Interested Persons

Notice of this request for an exemption shall be hand delivered to all interested persons at their place of employment within ten days after notice of the proposed exemption is published in the **Federal Register.** Non-employed interested persons will receive notice by first-class mail within ten days after publication in the **Federal Register.** The notice shall contain a copy of the proposed exemption as published in the **Federal Register** and shall inform the interested persons of their right to comment and request a hearing within the period set forth in the notice of proposed exemption.

General Information

The attention of interested persons is directed to the following:

(1) The fact that a transaction is the subject of an exemption under section 408(a) of the Act and section 4975(c)(2) of the Code does not relieve a fiduciary or other party in interest or disqualified person from certain other provisions of the Act and the Code, including any prohibited transaction provisions to which the exemption does not apply and the general fiduciary responsibility provisions of section 404 of the Act, which among other things require a fiduciary to discharge his duties respecting the plan solely in the interest of the participants and beneficiaries of the plan and in a purdent fashion in accordance with section 404(a)(1)(B) of the Act; nor does it affect the requirement of section 401(a) of the Code that the plan must operate for the exclusive benefit of the employees of the employer maintaining the plan and their beneficiaries;

(2) The proposed exemption, if granted, will not extend to transactions prohibited under section 406(b)(3) of the Act and section 4975(c)(1)(F) of the Code;

(3) Before an exemption may be granted under section 408(a) of the Act and section 4975(c)(2) of the Code, the Department must find that the exemption is administratively feasible, in the interests of the plan and of its participants and beneficiaries and protective of the rights of participants and beneficiaries of the plan; and

(4) The proposed exemption, if granted, will be supplemental to, and not in derogation of, any other provisions of the Act and the Code, including statutory or administrative exemptions and transitional rules. Furthermore, the fact that a transaction is subject to an administrative or statutory exemption is not dispositive of whether the transaction is in fact a prohibited transaction.

Written Comments and Hearing Requests

All interested persons are invited to submit written comments or requests for a hearing on the pending exemption to the address above, within the time period set forth above. All comments will be made a part of the record. Comments and requests for a hearing should state the reasons for the writer's interest in the pending exemption. Comments received will be available for public inspection with the application for exemption at the address set forth above.

Proposed Exemption

Based on the facts and representations set forth in the application, the Department is considering granting the requested exemption under the authority of section 408(a) of the Act and section 4975(c)(2) of the Code and in accordance with the procedures set forth in ERISA Procedure 75–1 (40 FR 18471, April 28, 1975). If the

exemption is granted, the restrictions of section 406(a) and 406 (b)(1) and (b)(2) of the Act and the taxes imposed by section 4975(a) and (b) of the Code, by reason of section 4975(c)(1)(A) through (E) of the Code shall not apply to the cash sale by the Plan of the Property to the Employer, provided that the price was not less than the fair market value of the Property on the date of sale. The proposed exemption, if granted, will be subject to the express conditions that the material facts and representations contained in the application are true and complete, and that the application accurately describes all material terms of the transaction which is the subject of the proposed exemption.

Signed at Washington, D.C., this 14th day of March, 1980.

Ian D. Lanoff,

Administrator for Pension and Welfare Benefit Programs, Labor-Management Services Administration, U.S. Department of Labor.

[FR Doc. 80-8734 Filed 3-20-80; 8:45 am]

BILLING CODE 4510-29-M

[Application No. D-856]

Proposed Exemption for a Certain Transaction Involving the S & C Profit-Sharing Retirement Plan Located in San Francisco, Calif.

AGENCY: Department of Labor.

ACTION: Notice of Proposed Exemption.

SUMMARY: This document contains a notice of pendency before the Department of Labor (the Department) of a proposed exemption from certain of the prohibited transaction restrictions of the Employee Retirement Income Security Act of 1974 (the Act) and from certain taxes imposed by the Internal Revenue Code of 1954 (the Code). The proposed exemption would exempt the leasing of real property by the S&C Profit-Sharing Retirement Plan (the Plan) to S&C Motors, Inc. (the Employer). The lease was entered into before the effective date of the Act, but after July 1, 1974, the date specified in the transition rules contained in sections 414 and 2003 of the Act. The proposed exemption, if granted, would affect the Employer and participants and beneficiaries of Plan.

DATES: Written comments and requests for a public hearing must be received by the Department of Labor on or before May 2, 1980.

EFFECTIVE DATE: If the proposed exemption is granted, the exemption will be effective from January 1, 1975 until January 25, 1980.

ADDRESS: All written comments and requests for a hearing (at least three copies) should be sent to the Office of Fiduciary Standards, Pension and Welfare Benefit Programs, Room C-4526, U.S. Department of Labor, 200 Constitution Avenue, NW., Washington, D.C. 20216, Attention: Application No. D-856. The application for exemption and the comments received will be available for public inspection in the Public Documents Room of Pension and Welfare Benefit Programs, U.S. Department of Labor, Room N-4677, 200 Constitution Avenue, NW., Washington, D.C. 20216.

FOR FURTHER INFORMATION CONTACT: Gary H. Lefkowitz, of the Department of Labor, telephone (202) 357-0040. (This is not a toll-free number.)

SUPPLEMENTARY INFORMATION: Notice is hereby given of the pendency before the Department of an application for exemption from the restrictions of section 406(a) 406(b)(1) and 406(b)(2) of the Act and from the taxes imposed by section 4975(a) and (b) of the code, by reason of section 4975(c)(1)(A) through (E) of the Code. The proposed exemption was requested in an application filed by the trustee for the Plan, pursuant to section 408(a) of the Act and section 4975(c)(2) of the Code, and in accordance with procedures set forth in ERISA Procedure 75-1 (40 FR 18471, April 28, 1975). The application was filed with both the Department and the Internal Revenue Service. However, effective December 31, 1978, section 102 of Reorganization Plan No. 4 of 1978 (43 FR 47713, October 17, 1978) transferred the authority of the Secretary of the Treasury to issue exemptions of the type requested to the Secretary of Labor. Therefore, this notice of pendency is issued solely by the Department.

Summary of Facts and Representations

The application contains representations with regard to the proposed exemption which are summarized below. Interested persons are referred to the application on file with the Department for the complete representations of the applicant.

1. The Employer is an automobile dealership maintaining extensive sales and repair facilities in San Francisco. The subject parcel of real property (the Property) is unimproved real property located at 156-162 Guerrero Street in San Francisco.

2. The Property was originally purchased by a third party, Mary's Help Hospital (the Hospital), for use as a parking lot. In 1968, the Employer began leasing the Property from the Hospital for the storage of cars left with the Employer's service department for repairs. On July 24, 1974, the Hospital sold the Property to the Plan for $90,000.

3. On July 22, 1974, the Employer and the Plan entered into a five-year lease of the Property beginning August 1, 1974, and ending July 31, 1979. The rent for the Property was $900 per month, payable in advance. The lease was a triple net lease, calling for all expenses, including annual property taxes, to be paid by the Employer.

4. The rental rate specified in the lease was designed to produce an annual return to the Plan equal to 12 percent of its cost for the Property. This twelve percent figure proved to be the highest return on any of the Plan's assets for the first three years of the lease. An independent appraisal prepared in 1978 by Gimmy and Hamilton, Associates, concluded that an 11 percent return on the current fair market value would be a fair rental value for the Property.

5. The original lease expired on July 31, 1979, and it was extended on a month-to-month basis at the same rental rate while the Plan attempted to find a third party purchaser for the Property. The Property was sold in a cash sale to a third party purchaser on January 25, 1980, and the lease between the Plan and the Employer terminated on that date. All lease payments were made in a timely manner.

6. In summary, the applicant represents that the statutory criteria contained in section 408(a) of the Act have been satisfied as follows: (1) the lease provided the Plan with a better rate of return than an independent appraiser would have estabilised for the Property (2) all payments were made in full and on schedule in accordance with the terms of the lease; and (3) the lease was terminated on January 25, 1980.

Finally, the applicant represents that the lease was entered into prior to the effective date of the Act without knowledge that the transaction would become prohibited on January 1, 1975. As soon as the applicant realized that the lease had become a prohibited transaction, the applicant submitted a good faith request for an exemption instead of terminating the lease transaction.

Notice to Interested Persons

Notification of all interested persons shall be made on or before March 31, 1980, and shall include a copy of this proposed exemption. All interested persons will also be notified of their right to comment and request a hearing. The notification will be posted at the Employer's office, and any interested persons who are no longer employed by

the Employer will be notified by first class mail.

General Information

The attention of interested persons is directed to the following:

(1) The fact that a transaction is the subject of an exemption under section 408(a) of the Act and section 4975(c)(2) of the Code does not relieve a fiduciary or other party in interest or disqualifed person from certain other provisions of the Act and the Code, including any prohibited transaction provisions to which the exemption does not apply and the general fiduciary responsibility provisions of section 404 of the Act, which among other things require a fiduciary to discharge his duties respecting the plan solely in the interest of the participants and beneficiaries of the plan and in a prudent fashion in accordance with section 404(a)(1)(B) of the Act; nor does it affect the requirement of section 401(a) of the Code that the plan must operate for the exclusive benefit of the employees of the employer maintaining the plan and their beneficiaries;

(2) The proposed exemption, if granted, will not extend to transactions prohibited under section 406(b)(3) of the Act and section 4975(c)(1)(F) of the Code;

(3) Before an exemption may be granted under section 408(a) of the Act and section 4975(c)(2) of the Code, the Department must find that the exemption is administratively feasible, in the interests of the plan and of its participants and beneficiaries and protective of the rights of participants and beneficiaries of the plan; and

(4) The proposed exemption, if granted, will be supplemental to, and not in derogation of, any other provisions of the Act and the Code, including statutory or administrative exemptions and transitional rules. Furthermore, the fact that a transaction is subject to an administrative or statutory exemption is not dispositive of whether the transaction is in fact a prohibited transaction.

Written Comments and Hearing Requests

All interested persons are invited to submit written comments or requests for a hearing on the pending exemption to the address above, within the time period set forth above. All comments will be made a part of the record. Comments and requests for a hearing should state the reasons for the writer's interest in the pending exemption. Comments received will be available for public inspection with the application for exemption at the address set forth above.

Proposed Exemption

Based on the facts and representations set forth in the application, the Department is considering granting the requested exemption under the authority of section 408(a) of the Act and section 4975(c)(2) of the Code and in accordance with the procedures set forth in ERISA Procedure 75-1 (40 FR 18471, April 28, 1975). If the exemption is granted, the restrictions of section 406(a), 406(b)(1) and 406(b)(2) of the Act and the taxes imposed by section 4975 (a) and (b) of the Code, by reason of section 4975(c)(1) (A) through (E) of the Code shall not apply to the leasing of the Property from the Plan to the Employer for the rental amount stated in the lease, for the period from January 1, 1975, until January 25, 1980.

The proposed exemption, if granted, will be subject to the express conditions that the material facts and representations contained in the application are true and complete, and that the application accurately describes all material terms of the transaction which is the subject of this proposed exemption.

Signed at Washington, D.C., this 14th day of March, 1980.

Ian D. Lanoff,

Administrator, Pension and Welfare Benefit Programs, Labor-Management Services Administration, U.S. Department of Labor.

[FR Doc. 80-8738 Filed 3-20-80; 8:45 am]

BILLING CODE 4510-29-M

NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

[Notice (80-19)]

Final Environmental Impact Statement; Public Notice of Availability

Notice is hereby given of the public availability of the final Environmental Impact Statement for the National Aeronautics and Space Administration (NASA) Kennedy Space Center. This document supersedes two previous final statements on the Kennedy Space Center published in 1971 and 1973.

Comments on the draft Environmental Impact Statement were previously solicited from Federal, state, and local agencies and members of the public through distribution of the draft and a notice in the **Federal Register** of April 18, 1979.

Copies of this final statement have been furnished to the Environmental Protection Agency; the Departments of Agriculture, Air Force, Army, Commerce, Defense, Energy, Health, Education, and Welfare, Housing and Urban Development, Interior, Navy, State, Transportation, and Treasury; the Advisory Council on Historic Preservation; the Advisory Council on Intergovernmental Relations; Agency for International Development; Council on Environmental Quality, Federal Aviation Administration; Federal Communications Commission; Federal Maritime Commission; Federal Power Commission; General Services Administration; National Academy of Sciences; National Science Foundation; Office of Management and Budget; Smithsonian Institution; to appropriate state and local agencies; and to numerous private organizations.

Copies of the final statement may be obtained or examined at any of the following locations:

(a) National Aeronautics and Space Administration, Public Documents Room (Room 126), 600 Independence Avenue, SW., Washington, D.C. 20546.
(b) Ames Research Center, NASA (Building 201, Room 17), Moffett Field, Calif. 94035.
(c) Hugh L. Dryden Flight Research Center, NASA (Building 4800, Room 1017), P.O. Box 273, Edwards, Calif. 93523
(d) Goddard Space Flight Center, NASA (Building 8, Room 150), Greenbelt, Md. 20771.
(e) Johnson Space Center, NASA (Building 1, Room 136), Houston, Tex. 77058.
(f) John F. Kennedy Space Center, NASA (Headquarters Building, Room 1207), Kennedy Space Center, Fla. 32899.
(g) Langley Research Center, NASA (Building 1219, Room 304), Hampton, Va. 23365.
(h) Lewis Research Center, NASA (Administration Building, Room 120), 21000 Brookpark Road, Cleveland, Ohio 44135.
(i) George C. Marshall Space Flight Center, NASA (Building 4200, Room G-11), Huntsville, Ala. 35812.
(j) National Space Technology Laboratories, NASA (Building, 1100, Room A-213), Bay Saint Louis, Miss. 39520.
(k) Jet Propulsion Laboratory, (Building 180, Room 600) 4800 Oak Grove Drive, Pasadena, Calif. 91103.
(l) Wallops Flight Center, NASA (Library Building, Room E-105), Wallops Island, Va. 23337.

Done at Washington, D.C., this 14th day of March 1980.

By the direction of Administrator.

Russell Ritchie,

Deputy Associate Administrator for External Relations.

[FR Doc. 80-8630 Filed 3-20-80; 8:45 am]

BILLING CODE 7510-01-M

[Notice (80-20)]

NASA Advisory Council (NAC), Space and Terrestrial Applications Advisory Committee (STAAC); Meeting

The Ad Hoc Informal Advisory Subcommittee on Agriculture, Land

Cover and Hydrology of the NAC-STAAC will meet on April 7, 1980, from 8:30 a.m. to 4:30 p.m. and from 8:30 a.m. to 3:00 p.m. on April 8, 1980, at NASA Headquarters, Room 226A, Federal Building 10B, 600 Independence Avenue, SW., Washington, D.C. 20546. The meeting is open to the public. Members of the public will be admitted to the meeting at 8:30 a.m. on both days on a first-come, first-served basis and will be required to sign a visitor's register. The seating capacity of the meeting room is for 35 persons.

This Subcommittee, chaired by Dr. Robert M. Ragan, is comprised of eleven members of the NAC-STAAC and will review and discuss the progress and status of research activities in the Renewable Resources Program. These activities will include the Fundamental Research Program, AgRISTARS Program, Radar Research Program Planning, and Soil Moisture Integrated Research Program Planning. In addition, the Subcommittee will discuss the use of remote sensing by agribusiness.

The approved agenda for the meeting is as follows:

April 7, 1980

Time and Topic

9:00 a.m.—Status of Subcommittee Recommendations
9:30 a.m.—Fundamental Research Program Planning Status
10:30 a.m.—Agriculture and Resources Inventory Surveys Through Aerospace Remote Sensing (AgRISTARS) Status
11:00 a.m.—AgRISTARS from the Department of Agriculture Perspective
1:30 p.m.—Radar Research Program

April 8, 1980

8:30 a.m.—Review of Integrated Soil Moisture Plan
10:00 a.m.—Use of Remote Sensing by Agribusiness
1:00 p.m.—Discussion
2:00 p.m.—Conclusions and Recommendations
3:00 p.m.—Adjourn.

For further information regarding this meeting, please contact Mr. Michael A. Calabrese, Resource Observation Division, Office of Space and Terrestrial Applications, National Aeronautics and Space Administration, Washington, D.C. at (202) 755-1201.

Russell Ritchie,

Deputy Associate Administrator for External Relations.

March 14, 1980.

[FR Doc. 80-8631 Filed 3-20-80; 8:45 am]

BILLING CODE 7510-01-M

NUCLEAR REGULATORY COMMISSION

[Docket Nos. STN 50-483A and STN 50-486A]

Union Electric Co.; Receipt of Information for Antitrust Review of Operating License Application

The Union Electric Company filed information for Antitrust Review of Operating License Application on January 21, 1980. This information was filed pursuant to § 2.101 of the Commission's Rules and Regulations and is in connection with Union Electric Company's plans to operate two pressurized water reactors on a site located in Callaway County, Missouri. The two reactors have been designated as Callaway Plant, Units 1 and 2.

The portion of the application filed contains antitrust information for review pursuant to NRC Regulatory Guide 9.3 to determine whether there have been any significant changes since the completion of the antitrust review at the construction permit stage.

On completion of staff antitrust review of the above-named application, the director of Nuclear Reactor Regulation will issue an initial finding as to whether there have been "significant changes" under section 105c(2) of the Act. A copy of this finding will be published in the **Federal Register** and will be sent to the Washington and local public document rooms and to those persons providing comments or information in response to this notice. If the initial finding concludes that there have not been any significant changes, request for reevaluation may be submitted for a period of 60 days after the date of the **Federal Register**. The results of any reevaluations that are requested will also be published in the **Federal Register** and copies sent to the Washington and local public document rooms.

A copy of the Information for Antitrust Review of Operating License Application is available for public examination and copying for a fee at the Commission's Public Document Room, 1717 H Street, NW, Washington, DC and at the local public document rooms in the Fulton City Library, 709 Market Street, Fulton, Missouri 65251 and in the Olin Library of Washington University, Skinker and Lindell Boulevard, St. Louis, Missouri 63130.

Any person who desires additional information regarding the matter covered by this notice or who wishes to have his views considered with respect to significant changes related to antitrust matters which have occurred in the licensee's activities since the construction permit antitrust reviews for the above-named plant should submit such requests for information or views to the U.S. Nuclear Regulatory Commission, Washington, DC 20555, Attention: Chief, Antitrust and Indemnity Group, Office of Nuclear Reactor Regulation, on or before May 6, 1980.

Dated at Bethesda, Md., this 26th day of February 1980.

For the Nuclear Regulatory Commission.

Olan D. Parr,

Chief, Light Water Reactors Branch No. 3, Division of Project Management.

[FR Doc. 80-8953 Filed 3-20-80; 8:45 am]

BILLING CODE 7590-01-M

OFFICE OF PERSONNEL MANAGEMENT

Federal Employees Part-Time Career Employment Act of 1978; Proposed OPM Internal Implementation Rules

AGENCY: Office of Personnel Management.

ACTION: Notice.

SUMMARY: The Office of Personnel Management (OPM) is proposing internal rules to implement the Federal Employees Part-time Career Employment Act of 1978.

COMMENT DATE: Comments will be considered if received on or before May 20, 1980.

ADDRESS: Written comments should be addressed to the Director of Personnel, Office of Personnel Management, Room 1R54, 1900 E Street, NW., Washington, DC 20415.

FOR FURTHER INFORMATION CONTACT: Cynthia Simpson, 202-632-7484.

SUPPLEMENTARY INFORMATION: Pub. L. 95-437, the Federal Employees Part-time Career Employment Act of 1978, requires Federal agencies to publish proposed internal rules in the Federal Register for public comment. After comments are received, considered, and necessary changes are made, OPM will adopt these rules as Chapter 340 of the OPM Personnel Manual.

Office of Personnel Management.

Beverly M. Jones,

Issuance System Manager.

Accordingly, OPM proposes to add OPM Personnel Manual Chapter 340 to read as follows:

Chapter 340—Part-Time Employment

Subchapter 1. General Provisions.

1-1. Introduction
1-2. Policy
1-3. Definition
1-4. Statutory Exceptions
1-5. Ceilings

Subchapter 2. Program Implementation.
2–1. Goals and Timetables
2–2. Establishment and Conversion of Part-time Positions
2–3. Review of Vacancies
2–4. Notifying the Public of Part-time Vacancies
2–5. Program Coordination
2–6. Expanding Existing Programs
2–7. Evaluation
2–8. Reporting
Subchapter 3. Changing From Full-time to Part-time Employment.
3–1. Purpose and Coverage
3–2. Procedures
3–3. Criteria
3–4. Increasing Hours of Part-time Tour of Duty/Converting Back to Full-time Work
3–5. Recordkeeping
Subchapter 4. Personnel Policies and Procedures Relating to Part-time Employment.
4–1. Introduction
4–2. Tenure
4–3. Earnings
4–4. Crediting Experience for Promotion
4–5. Overtime/Compensatory Time
4–6. Leave
4–7. Holidays
4–8. Training
4–9. Life Insurance Coverage
4–10. Health Insurance Coverage
4–11. Retirement Date Eligibility
4–12. Retirement Annuity
4–13. Returning to Full-time Duty
4–14. Work Schedule Change
4–15. Flexitime
Appendix A—Form: Report on Part-time Employment Goals and Timetables
Appendix B—Form: Employee Request for Change to Part-time Employment

Subchapter 1—General Provisions

1–1 Introduction

This subchapter contains regulations to implement Pub. L. 95–437, the "Federal Employees Part-time Career Employment Act of 1978", and establishes a continuing program to provide career part-time employment opportunities

1–2 Policy

The policy of OPM is to provide part-time employment opportunities to the maximum extent possible consistent with agency resources and mission requirements in positions through GS–15 or equivalent.

1–3 Definition

Part-time career employment is regularly scheduled work of from 16 to 32 hours per week performed by employees serving under competitive or excepted appointments in tenure groups I and II effected on or after April 8, 1979.

Excluded from this definition are Schedule C, intermittent, and temporary employees. Also excluded are career employees who were working part-time on April 7, 1979 for as long as they continue to work part-time.

1–4 Statutory Exceptions

These regulations do not apply to positions: (a) In the Senior Executive Service or others at GS–16 or above.

(b) Where a collective bargaining agreement establishes the number of hours of employment per week.

(c) For which a work schedule of from 1 to 15 hours per week is necessary for OPM mission accomplishment.

1–5 Ceilings

Effective October 1, 1980, an employee holding a part-time career position will be counted as a fraction of a person year. This is determined by dividing 40 hours into the average number of hours of the employee's regularly scheduled workweek.

Subchapter 2—Program Implementation

2–1 Goals and Timetables

Each major organization is required to set annual goals for establishing and converting positions for part-time employment based on considerations such as: (a) Agency mission (e.g., to administer various examinations) Part-time examiners used to administer examinations during peak periods.

(b) Workload fluctuations (e.g., cost-of-living increase) Part-time employees to process the large volume of retirement claims generated during a compressed period.

(c) Turnover rate (e.g., promotions, resignations, reassignments) Two part-time employees to fill a position vacated by one full-time employee.

(d) Affirmative action. Employing housewives, handicapped, and/or elderly people who may wish part-time work.

(e) Current employees interested in part-time employment. Provide a means which permits employees to request and receive consideration.

Regional Directors and Office Heads should submit part-time employment goals to the Director, Office of Personnel by the last workday of October using OPM Form 1424, Report on Part-Time Employment Goals and Timetables (Appendix A).

2–2 Establishment and Conversion of Part-Time Positions

(a) Office Heads and Regional Directors shall establish or convert a sufficient number of part-time career positions to meet their established goals. Positions occupied by full-time employees may not be abolished in order to create part-time positions; but, full-time positions may be converted to part-time when requested by employees or when positions are vacated.

(b) Office Heads and Regional Directors shall make sure that their employees are informed of the procedures for converting to part-time employment (Subchapter 3) as well as the rights and benefits of this kind of work schedule (Subchapter 4).

2–3 Review of Vacancies

Prior to filling any vacancy (subject to exceptions noted in 1–4), the immediate supervisor shall make a determination as to the suitability of filling the position on a part-time basis, keepting in mind the factors in 2–1.

2–4 Notifying the Public of Part-Time Vacancies

The public will be notified of part-time vacancies through normal distribution of vacancy announcements.

2–5 Program Coordination

OPM's Part-time Employment Coordinator is located in the Office of Personnel and will be responsible for: (a) Overseeing development and implementation of part-time employment goals and timetables;

(b) Consulting with interested parties on part-time employment;

(c) Insuring that managers, supervisors, and employees are kept informed of the basic rules of part-time employment and position management and work assignment techniques which can lead to the most productive use of part-time employees;

(d) Monitoring organizational progress in expanding part-time employment opportunities; and

(e) Maintaining records and preparing reports on part-time employment.

Each organization and regional office is responsible for designating a part-time employment coordinator to be responsible for items (a) through (e) within the organization.

2–6 Expanding Existing Programs

Programs, such as those under FPM Chapters 306, 307 and part of 308 (Selective Placement, VRA, Student Employment) should be explored for possible use in expanding part-time employment opportunities.

2–7 Evaluation

The part-time employment program will be reviewed and evaluated through the internal personnel management evaluation process. Special attention will be focused on timely accomplishment of goals and the procedures for review of vacant positions.

2–8 Reporting

Office Heads and Regional Directors will report twice annually to the Director, Office of Personnel using OPM Form Part-Time Employment Goals and Time Tables (Appendix A). Reports covering the period October through March should be submitted by the last work day of April. Reports covering April through September are due by the last work day of October. Reports should include: (a) Specific information on the organization's progress in meeting the part-time permanent employment goals established.

(b) An explanation of any impediments encountered in meeting such goals or in carrying out the provisions of Pub. L. 95–437 and a description of measures taken to overcome such impediments.

(c) Evidence that opportunities for part-time employment have been extended to older individuals, the handicapped, students and parents with family responsibilities.

(d) A copy of any internal issuance on part-time permanent employment.

(e) The number of new part-time permanent jobs established during the reporting period.

Subchapter 3—Changing from Full-time to Part-time Employment

3–1 Purpose and Coverage

This Guide provides OPM policy and procedures for processing employee requests to change from full-time to part-time employment.

3–2 Procedures

An employee's request for change from full-time to part-time employment should be processed in accordance with the procedures set forth below: (a) An employee desiring a change in employment from full-time to part-time should consult with the immediate supervisor to determine the effects such a change will have on his/her rights and benefits (Subchapter 4). If the employee desires to proceed, he/she should make a formal request by giving the immediate supervisor OPM form 1412, Employee Request for Change to Part-Time Employment (Appendix B).

(b) Upon receipt of a formal request, the supervisor should evaluate the request in terms of the criteria specified in 3–3. The supervisor should attach his/her recommendation to the form and forward it to the second line supervisor or selecting official for a decision.

(c) The second-line supervisor or selecting official should review the request and the recommendation and approve or disapprove the employee's request after evaluating it in terms of the criteria stated in 3–3 and after consulting with the administrative officer on how ceiling would be affected. A decision should be made within *5 workdays* from the time the employee files a formal request with the immediate supervisor.

3–3 Criteria

The employee's request and management's needs should be weighed against the following criteria: (a) Employment ceilings—this may be to the advantage of the organization when it is over its full-time permanent ceiling but has slots in the "all other" category;

(b) Workloads—regular and peak workloads which might lend themselves to part-time schedules;

(c) Special space and equipment requirements, if any; and

(d) Benefits to employee, e.g., would alleviate child care concerns for parents; would lessen pressure of full day's work on those with health problems; would allow those near retirement to discontinue work gradually.

(e) Retention of valuable employee—this employee working part-time would be more valuable to your office than having to train and develop a new employee.

3–4 Increasing Hours of Part-time Tour of Duty/Converting Back to Full-time Work

If it is necessary for the accomplishment of OPM's mission approving official can:

(1) Increase the hours of work of part-time employees to the maximum prescribed by law (32 hours), or

(2) Reassign part-time employees to their former full-time position.

When such a decision is made, an affected employee must be given written notice no less than 2 calendar weeks in advance.

3–5 Recordkeeping

(a) Statistical Record

The selecting official approving a request should forward copies of all decisions to the Part-time Employment Coordinator, OP, who will maintain a statistical record of the decisions.

(b) Retention of Records

(1) Approved Requests.—The employee's request, a SF–52, Request for Personnel Action, and any other documents (arising because of the request) used in reaching the decision are to be forwarded to the Part-time Employment Coordinator in the Office of Personnel. SF–50, Notification of Personnel Action, will be prepared from these forms.

(2) Denied Requests.—The employee's request and any other documents (arising because of the request) used in reaching the decision are to be forwarded to the Part-Time Employment Coordinator in the Office of Personnel. These records will be retained for 1 year.

Subchapter 4—Personnel Policies and Procedures Relating to Part-time Employment

4–1 Introduction

This subchapter contains information on the pay, schedules, benefits and rights associated with part-time permanent employment.

4–2 Tenure

Completion of probationary period and the period for conversion from career-conditional to career status are the same as for full-time employment. Service Computation Date (SCD) is undisturbed by part-time work. Since part-time employment constitutes a separate competitive level from full-time employment, competition is with other part-time employees during a reduction-in-force (RIF).

4–3 Earnings

Pay received is proportionate to the time scheduled to work. The hourly pay rate is the same for full-time and part-time employees. Waiting periods for within-grade increases are not affected; they are based on *calendar* weeks of creditable service.

4–4 Crediting Experience for Promotion

Part-time experience is credited on a pro-rated basis according to the relation it bears to a full workweek.

4–5 Overtime/Compensatory Time

Under Title 5 U.S.C., hours of work must be in excess of 40 hours in an administrative workweek or 8 hours in a day to be considered overtime. Under the Fair Labor Standards Act, overtime begins after the completion of 40 hours of work in a week, excluding holidays and paid leave.

Compensatory time may be earned at the discretion of the approving official.

4–6 Leave

(a) Annual leave is earned on a pro-rata basis at the rate determined by years of service. For example, a part-time employee with:

Less than 3 years—1 hour for each 20 hours worked

3 to 14 years—1 hour for each 13 hours worked

15 years or more—1 hour for each 10 hours worked.

Maximum carryover at the end of the leave year remains the same.

(b) Sick leave is earned at the rate of one hour for every 20 hours in pay status regardless of years of service.

(c) No leave (annual or sick) is earned for hours worked in excess of 80 in a pay period.

(d) Other leave categories (e.g. absence without leave, leave without pay, court leave, funeral leave, excused absences) are not affected.

4–7 Holidays

Holiday pay is received only for regularly scheduled work on the holiday, and *only* for those hours regularly scheduled.

4–8 Training

Part-time permanent employees should receive the same consideration as full-time employees for training, and developmental assignments. Procedures for nomination, selection, etc. apply to both full-time and part-time employees. At the discretion of the supervisor, part-time employees may temporarily increase their work schedules to attend training courses or adjust their work schedules as described in section 3–4.

4–9 Life Insurance Coverage

The amount of insurance carried will automatically decrease whenever annual salary is decreased by an amount sufficient to lower pay to a different $1000 bracket (except that it cannot be lower than a $10,000 minimum insurance amount).

4–10 Health Insurance Coverage

The employee's cost for premiums is greater because the Government's contribution is pro-rated according to the number of hours the part-time employee is scheduled to work.

4–11 Retirement Date Eligibility

No effect. Service is credited by calendar weeks.

4–12 Retirement Annuity

The computation is based on the highest average annual basic pay for any three consecutive years. Therefore, if years of part-time service are among the high three, the employee's annuity will be affected to the extent earnings were limited in those years.

4–13 Returning to Full-time Duty

If the position held immediately before converting to part-time or a position of equal or lower grade elsewhere is vacant, and the employee meets the basic requirements for the job, he/she can be placed in the position *non-competitively.*

If the position being sought requires a promotion or has promotion potential, there must be *competition* with other employees who meet the basic requirements for the position.

4–14 Work Schedule Change

To meet workload demands, supervisors may increase the number of hours worked by part-time permanent employees. Increases above 32 hours per week must be for a limited period unless the affected employee was part-time prior to the effective date of the law.

Temporary variations in the arrangement of days and/or hours of part-time permanent employees must be approved by the employee and his/her supervisor through a written request and response. An amended SF–50 should be issued when the variation will continue longer than *2 pay periods.*

4–15 Flexitime

Part-time employees may work flexitime depending on the need of the organization.

[FR Doc. 80–8686 Filed 3–20–80; 8:45 am]
BILLING CODE 6325–01–M

SECURITIES AND EXCHANGE COMMISSION

[Release No. 34–16656; File No. SR–BSE–79–4]

Boston Stock Exchange, Inc.; Self-Regulatory Organizations; Proposed Rule Change

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934, 15 U.S.C. 78s(b)(1), ("Act") as amended by Pub. L. No. 94–29, 16 (June 4, 1975), notice is hereby given that on February 13, 1980, the Boston Stock Exchange Inc. ("BSE" or "Exchange") filed with the Securities and Exchange Commission a proposed rule change. On February 25, 1980, the BSE filed Amendment No. 1 to the proposed rule change which, as amended, would add two paragraphs and supplementary material to Chapter XI, Section 1 of the BSE Rules as follows:

Text of the Proposed Rule Change

"No member who is not a regular dealer maintaining a primary specialist operation on the floor shall be eligible for appointment as an alternate specialist. There shall be two categories of alternates, Class A and Class B. Any dealer who is appointed to either category shall maintain, in addition to the minimum equity required in his primary specialist account, a minimum equity of $25,000 for activity as an alternate.

The Committee on Business Conduct may appoint a Class A Alternate in a number of issues not greater than are assigned to his primary account. Dealers appointed by the Committee as Class B Alternates must establish any position either long or short only on the floor of the Exchange, in order to promote greater depth and liquidity. The Committee may appoint more than one Class A Alternate in a particular issue.

Supplementary Material

Alternate specialists are required generally to engage in a course of dealings which is consistent with the maintenance, so far as reasonably practicable, of a fair and orderly market on the Exchange.

Purchases and sales by alternate specialists must constitute a course of dealings reasonably calculated to contribute toward the maintenance of price continuity with reasonable depth and to the minimization of the effects of any temporary disparity between supply and demand.

Alternate specialists have an obligation to make a bid or an offer at the request of a Floor broker holding an unexecuted public customer's order. Such bid or offer must be reasonably calculated to contribute to the maintenance of a fair and orderly market in the stock to which it relates.

Bids and offers by alternate specialists must either (a) improve the price or (b) the depth of an existing quote.

BSE's Statement of the Purpose of the Proposed Rule Change

The Exchange believes that an alternate specialist who initiates the purchase or sale of securities while on the Exchange Floor will be "acting in the capacity of a market-maker" within the meaning of Section 11(a)(1)(A) of the Act. The proposal justifies the Alternate Specialist's claim to the exemption from Section 11(a)(1) provided by Subparagraph (A) thereof because, overall, the course of dealings required of the Alternate Specialist by the rule will be beneficial to the market by increasing depth and liquidity and by enhancing competition within the market-making function. Thus, the proposed rule change is consistent with the purposes of Section 11(a) of the Act and the protection of investors.

BSE's Statement of the Basis Under the Act for the Proposed Rule Change

The proposed new rule relates to Sections 11(a) and 6(b)(1) of the Act in that it would assist the Exchange to carry out the purposes of the Act, and to comply and to enforce compliance by its members with the provisions of the Act and the rules thereunder. The proposed new rule also relates to Section 6(b)(c) of the Act in that it will aid in the protection of investors and the public interest by providing a course of dealings reasonably calculated to contribute toward the maintenance of price continuity with reasonable depth and liquidity.

BSE's Statement on Comments Received From Members, Participants or Others on the Proposed Rule Change

No comments were received with respect to the proposed rule change.

BSE's Statement of Burden on Competition

No burden on competition is perceived by adoption of the proposed amendment. The Exchange believes the proposed rule would enhance competition in market making on the Floor of the Exchange.

On or before April 25, 1980, or within such longer period (i) as the Commission may designate up to 90 days of such date if it finds such longer period to be appropriate and publishes its reasons for so finding or (ii) as to which the above mentioned self-regulatory organization consents, the Commission will

(A) By order approve such proposed rule change, or

(B) Institute proceedings to determine whether the proposed rule change should be disapproved.

Interested persons are invited to submit written data, views and arguments concerning the foregoing. Persons desiring to make written submission should file six copies thereof with the Secretary of the Commission, Securities and Exchange Commission, Washington, D.C. 20549. Copies of the filing with respect to the foregoing and of all written submissions, other than those which may be withheld from the public in accordance with the provisions of 5 U.S.C. 552, will be available for inspection and copying in the Public Reference Room, 1100 L Street, NW., Washington, D.C. Copies of such filing will also be available for inspection and copying at the principal office of the above-mentioned self-regulatory organization. All submissions should refer to the file number referenced in the caption above and should be submitted on or before April 11, 1980.

For the Commission by the Division of Market Regulation, pursuant to delegated authority.

George A. Fitzsimmons,

Secretary.

March 14, 1980.

[FR Doc. 80-8658 Filed 3-20-80; 8:45 am]

BILLING CODE 8010-01-M

[Release No. 34-16654; File No. SR-BSPS-80-3]

Bradford Securities Processing Services, Inc.; Self-Regulatory Organizations; Proposed Rule Change

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934, 15 U.S.C. 78s(b)(1), as amended by Pub. L. No. 94-29, 16 (June 4, 1975), notice is hereby given that on February 25, 1980, the above-mentioned self-regulatory organization filed with the Securities and Exchange Commission a proposed rule change, as follows:

Statement of the Terms of Substance of the Proposed Rule

Bradford Securities Processing Services, Inc. (the "Corporation") proposes to amend its rules to add the following:

Balance Order Service

1. As used in this Rule the following terms shall have the following meanings:

(a) "BOS" shall mean the Corporations Balance Order Service;

(b) "Corporation", "Participant", "Designated Security", "BOS Eligible Transaction", "Comparison Interface", "Clearing Interface" and "Local Time" shall have the meanings provided in paragraph 1 and "Transaction Information" shall have the meaning provided in paragraph 4 of the Corporation's Trade Comparison and Reporting Service Rule;

(c) "TCRS" shall mean the Corporation's Trade Comparison and Reporting Service;

(d) "Electing Participant" shall mean a Participant which has submitted a BOS Eligible Transaction to the Corporation for clearance through BOS;

(e) "BOS Transaction" shall mean any BOS Eligible Transaction, submitted to the Corporation for Clearance through BOS, appearing as compared on a Participant's Initial or Final Report in TCRS or reported as compared by a registered clearing agency with a Comparison Interface or compared by a registered clearing agency;

(f) "Settlement Price" shall mean, with respect to any BOS Transaction, the price determined by the Corporation for the settlement of all transactions due to be settled on the same Business Day in the Designated Security which is the subject of the BOS Transaction;

(g) "Contract Price" shall mean, with respect to any BOS Transaction, the price at which the transaction was compared; and

(h) "Business Day" shall mean any day on which banks in the State of New York are open for business.

2. (a) The Corporation will offer BOS for the clearance of BOS Transactions. BOS will net by Designated Security all BOS Transactions submitted to BOS by or for Electing Participants and will allot the resulting netted deliver and receive obligations.

(b) On each Business Day preceding a Business Day on which a transaction submitted to BOS by an Electing Participant is to settle ("Settlement Day"), BOS will prepare and issue to the Electing Participant, as applicable: (i) A deliver security balance order ("DBO") for each delivery of each Designated Security to be delivered on the Settlement Day, showing the Settlement Price in respect thereof; (ii) a receive security balance order ("RBO") for each Designated Security to be received on the Settlement Day, showing the Settlement Price in respect thereof; and (iii) a clearing cash adjustment statement ("CCAS") of the total amount of money due to or from the Electing Participant on the Settlement Day, consisting of: (A) The difference between the aggregate of all Contract Prices and the aggregate of all Settlement Prices of the Electing Participant's BOS Transactions settling on the Settlement Day; and (B), if Settlement Day is also the day on which the Corporation bills Participants for services utilized, any other payments due to the Corporation from the Electing Participant ancillary to its BOS Transactions.

(c) On the morning of any Business Day on which BOS is holding for an Electing Participant a BOS Transaction not settling that day, the Corporation may, at its election, prepare and issue to the Electing Participant, a settlement cash statement ("SCS") of any mark-to-the-market payments due to or from the Participant with respect to any unsettled transactions in BOS and, if the Business Day is also a Settlement Day, the amount shown on any CCAS issued on the preceding Business Day.

(d) The obligations of Electing Participants to receive and pay for securities and to deliver securities pursuant to RBOs and DBOs and to pay the amounts shown on the CCASs and SCSs shall be fixed and determined at the time such DBOs, RBOs, CCASs and SCSs are made available to the Electing Participants, although such orders and statements may not in fact have been received by or delivered to such Electing Participants; provided the amount payable or receivable by an Electing Participant according to any CCAS shall be superseded by the amount payable or receivable according to any SCS issued to the Electing Participant on the morning of a Settlement Day to which the CCAS pertains.

3. (a) Any Participant, and any non-Participant which is otherwise qualified to be a Participant and executes a BOS Agreement, thereby becoming a Participant in BOS, may, pursuant to this Rule, submit BOS Transactions for clearance through BOS. Each Participant

submitting BOS Transactions to BOS for clearance by so doing agrees to be bound by this Rule for each transaction submitted to BOS and to pay the fees therefor as set forth in the Fee Schedule for BOS (Section IX of the Corporation's Fee Schedule).

(b) If a Participant fails to comply with or fulfill its obligations under this or any other Rule of the Corporation, or if the Corporation believes the Participant is experiencing financial difficulties, the Corporation, in its sole discretion, may refuse the use of BOS to the Participant. Should transactions involving a Participant to whom the Corporation refuses use of BOS have already been submitted to BOS, the Corporation may delete from BOS information pertaining to any transaction to which that Participant is a party and for which no RBOs, DBOs and CCASs have been generated by BOS.

(c) The Corporation may require any Participant to make or receive mark-to-the-market or similar payments with respect to any potential losses or gains arising from changes between the Contract Price and the then current market value, as determined by the Corporation, of any unsettled BOS Transactions.

4. A BOS Transaction may be submitted to BOS through TCRS or pursuant to a Comparison Interface under TCRS, and shall be submitted to the Corporation by noon, Local Time, on the second Business Day preceding the Business Day on which the BOS Transaction is to be settled.

5. (a) The Corporation will make DBOs, RBOs and CCASs available to Electing Participants at the office of the Corporation which the Electing Participant has designated as its location for making settlements ("Settlement Office") by 12:00 noon Local Time on the Business Day preceding Settlement Day. The Corporation will make SCSs available to Electing Participants at the Participants' Settlement Office by 10:00 A.M. Local Time on the Business Day on which an SCS is issued.

(b) On each Settlement Day or on each Business Day on which an SCS is issued, each Electing Participant shall pay to or receive from the Corporation at the Electing Participant's Settlement Office, by 11:30 A.M. Local Time, the amounts, in cash, certified check or cashier's check, called for by the Participant's CCAS or SCS.

(c) The obligations of Electing Participants to receive, deliver and pay for Designated Securities in accordance with the RBOs and DBOs issued by the Corporation shall be discharged on Settlement Day in accordance with the procedures set forth in the rules of the Municipal Securities Rulemaking Board with respect to transactions in municipal securities and of the National Association of Securities Dealers, Inc. with respect to transactions in other Designated Securities.

6. A BOS Eligible Transaction as to which the contra party is a participant in a registered clearing agency which has a Clearing Interface with the Corporation may be submitted to BOS by an Electing Participant provided that, in addition to agreeing to be bound by this Rule, by submitting the transaction, the electing Participant: (A) Agrees to indemnify and hold the Corporation harmless for all costs, losses, liabilities or claims or threats thereof, except any of the foregoing directly caused by the negligence of the Corporation, that the Corporation may suffer or be exposed to by reason of or relating to the transaction, any assignment, transfer and set over thereof and any DBOs, RBOs, CCASs and SCSs resulting therefrom; (B) agrees, in connection with any transaction effected through the Clearing Interface, to pay to the Corporation promptly upon demand, any mark-to-the-market or other payments and to deliver promptly such securities as the Corporation may be required to make or deliver to the other registered clearing agency; and (C), to the extent necessary to implement the Clearing Interface, authorizes the Corporation to stand in the place of the contra side as regards the Electing Participant and assigns, transfers and sets over to the Corporation all of the Electing Participant's right, title and interest to and in the transaction.

Fee Schedule

IX. Balance Order Service. The maximum fees for this Service shall be.

(a) 12 cents per balance order generated.

(b) 25 cents per transaction entered.

(c) 50 cents per transaction entered on a when-issued basis.

Procedures of Self-Regulatory Organization

Adoption of this Rule has been approved by the Corporation's Chief Executive Officer.

Purpose of Proposed Rule Change

The proposed Rule, in conjunction with the Corporation's proposed Trade Comparison and Reporting Service ("TCRS"), will enable Participants to use the Corporation's facilities to compare, clear and settle transactions in municipal and government securities. Although transactions compared through TCRS need not be submitted to the proposed Balance Order Service ("BOS") for clearance and BOS can be used to clear transactions compared other than through TCRS, TCRS and BOS have been designed to operate as an integrated transaction completion system.

Historically, transactions in municipal and government securities have been compared by the parties to the transaction and cleared and settled on a trade-by-trade basis. The steady growth in the number and trading volume in these securities experienced in the last two decades, however, has impaired the effectiveness of traditional methods of transaction completion and suggested the need for an improved comparison capability and a mechanism for netting settlement obligations.

TCRS is designed to provide an accurate and efficient comparison mechanism both as a necessary service in its own right and as the front end of a transaction completion system permitting the netting of settlement obligations. TCRS has been amended from the form in which it was submitted originally in order to be operationally compatible with the BOS netting system.

The development of BOS reflects the Corporation's growing recognition that, while the availability of a netting mechanism is not critical in the case of all transactions in municipal bonds and government securities, there are a number of instances in which the availability of a netting system can provide significant benefits. In particular, it has become increasingly clear that a netting system is needed for "when-issued" trading and situations in which heavy trading in a particular issue has led to a proliferation of fails.

In the case of "when-issued" trading, compared transactions would be submitted to BOS and would be held in BOS until two days before the transactions in the when-issued security were to be settled. At that time, the when-issued trades would be netted and the DBOs, RBOs, and CCASs would be issued by the Corporation. In a failed-trade clearance, the Corporation, another self-regulatory organization or an industry association would identify a security in which there was an undue concentration of outstanding fails and would specify a date by which all transactions in the security had to be submitted to BOS. Immediately following that date, unless the date was extended by the Corporation, the Corporation would net all transactions submitted to BOS and, on the next day, issue DBOs, RBOs and CCASs. In both instances, settlement would occur in accordance with the provisions of the proposed rule on the day following the

day on which the DBOs, RBOs and CCASs were made available to the participants.

Together, TCRS and BOS will relieve participants of much of the time and paperwork burden of comparing trades and preparing receive and deliver tickets. Additionally, by netting participants' delivery and receipt obligations, BOS will significantly decrease the number of deliveries and receipts of securities that participants are required to make and will both reduce fails and minimize the adverse net capital and other regulatory consequences of fails.

It is self-evident that the efficiencies provided by comparison and netting systems will be only partially realized if multiple comparison and clearing systems are permitted to come into existence without being required to interface with each other. For this reason the Corporation undertakes with the Commission to establish Comparison Interfaces and Clearing Interfaces on mutually acceptable terms with any registered clearing agency offering comparison and clearing services for transactions in municipal and government securities. Despite the Corporation's desire to implement this rule at the earliest possible date, the Corporation believes that the Commission should not approve this rule proposal or similar rule proposals unless the Commission has satisfactory assurances that Clearing Interfaces and Comparison Interfaces between all registered clearing agencies offering comparison or clearing services for transactions in those securities will become operational concurrently with the initiation of clearing operations under the rule proposals.

Basis Under the Act for Proposed Rule Change

The proposed Rule would simplify the clearance and settlement process for the Corporation's participants and would provide an efficient and inexpensive means for settling transactions in municipal and government securities, particularly when they are traded on a when-issued basis or when a Designated Security is subject to a high volume of trading and fails. Consequently, the proposed Rule contributes to the removal of impediments to, and the perfection of, a national system for the prompt and accurate clearance and settlement of transactions.

By contemplating the establishment of clearing level interfaces with other registered clearing agencies providing clearing services for municipal and government securities, the proposed Rule would promote the linking of all clearance and settlement facilities and secure the efficiencies and safety afforded by new data processing and communication techniques and would thereby reduce unnecessary costs and increase the protection of investors and persons facilitating transactions by and acting on behalf of investors.

Comments Received From Members, Participants, or Others on Proposed Rule Change

No formal comments were solicited or received from participants or others with respect to the proposed Rule. The Corporation has, however, received a number of written and oral requests to establish a netting system for transactions in municipal securities and to interface the system with any other registered clearing agencies which propose to offer a similar service. Copies of letters to this effect have been placed in the Commission's public file relating to this Rule.

Burden on Competition

The Corporation does not believe that the proposed Rule would impose any burden on competition among broker-dealers or registered clearing agencies. Rather, the Corporation believes that the implementation of TCRS and BOS, together with the establishment of appropriate interfaces between the Corporation and other registered clearing agencies offering comparable services for municipal and government securities, would provide broker-dealers with a meaningful choice of clearing facilities and, at the same time, would foster competition among registered clearing agencies in the clearance and settlement of transactions in these securities.

On or before April 25, 1980, or within such longer period (i) as the Commission may designate up to 90 days of such date if it finds such longer period to be appropriate and publishes its reasons for so finding or (ii) as to which the above-mentioned self-regulatory organization consents, the Commission will:

(a) By order approve such proposed rule change, or

(b) Institute proceedings to determine whether the proposed rule change should be disapproved.

Interested persons are invited to submit written data, views and arguments concerning the foregoing. Persons desiring to make written submissions should file six copies thereof with the Secretary of the Commission, Securities and Exchange Commission, Washington, D.C. 20549. Copies of such filings will also be available for inspection and copying at the principal office of the above-mentioned self-regulatory organization. All submissions should refer to the file number referenced in the caption and should be submitted on or before April 11, 1980.

For the Commission, by the Division of Market Regulation, pursuant to delegated authority.

George A. Fitzsimmons,

Secretary.

March 14, 1980.

[FR Doc. 80-8656 Filed 3-20-80; 8:45 am]

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[Release No. 34-16655; Amdt. File No. SR-BSPS-77-5; No. 1]

Bradford Securities Processing Services, Inc.; Self-Regulatory Organizations; Proposed Rule Change

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934, 15 U.S.C. 78s(b)(1), as amended by Pub. L. No. 94-29, 16 (June 4, 1975), notice is hereby given that on February 25, 1980, the above-mentioned self-regulatory organization filed with the Securities and Exchange Commission an amendment to a proposed rule change as follows:

Statement of the Terms of Substance of the Proposed Rule

Bradford Securities Processing Services, Inc. (the "Corporation") proposes to amend proposed Rule SR-BSPS-77-5, relating to its Trade Comparison and Reporting Service, by restating the text as follows:

Trade Comparison and Reporting Service Rule

(1) As used in this Rule and in the Balance Order Service Rule the following terms shall have the following meanings:

(a) The "Corporation" shall mean Bradford Securities Processing Services, Inc.;

(b) "Participant" shall have the meaning provided in the Corporation's rule defining such term (SR-BSPS-79-2);

(c) A "Designated Security" shall mean securities which are direct obligations of, or obligations guaranteed as to principal or interest by, the United States; such securities issued or guaranteed by corporations in which the United Stated has a direct or indirect interest as shall be designated for exemption by the Secretary of the Treasury as necessary or appropriate in the public interest or for the protection of investors and municipal securities, as defined in § 3(a)(29) of the Securities Exchange Act of 1934, and which appear

in the Corporation's Book of Designated Securities.

(d) "BOS" shall mean the Corporation's Balance Order Service;

(e) "BOS Eligible Transaction" shall mean a transaction in a Designated Security between two Participants or between a Participant and a participant in a registered clearing agency which has established a Clearing Interface with the Corporation;

(f) "Comparison Interface" shall mean an interface with another registered clearing agency which shall be the means whereby the Corporation and the other clearing agency will exchange the information necessary to enable them to compare a transaction in a Designated Security between a Participant and a participant in the other clearing agency;

(g) "Clearing Interface" shall mean an interface with another registered clearing agency which shall be the means whereby the Corporation and the other clearing agency will exchange the information necessary to enable each of them to clear a compared transaction in a Designated Security between a Participant and a participant in the other registered clearing agency; and

(h) "Local Time" shall mean the time in effect at the office of the Corporation to which a Participant submits information or at which a Participant receives information or makes settlements.

(2) As used in this Rule, "Business Day" shall mean any day on which the New York Stock Exchange is open for trading.

(3) The Corporation shall offer its Participants a Trade Comparison and Reporting Service ("TCRS"), in accordance with this Rule, for transactions in all Designated Securities effected by or for that Participant. Each Participant may, to the extent it so desires, use TCRS by submitting data, as provided for herein, for transactions in any Designated Security effected by or for the participant, including transactions effected on an "as of" or delayed delivery basis, and by so doing agrees to be bound by this Rule for the transaction as to which information is submitted to TCRS and to pay the fees therefor as set forth in Section VI to the Corporation's Fee Schedule.

(4) For each transaction utilizing TCRS or utilizing both TCRS and BOS, a Participant shall submit, individually by trade, the information specified by the Corporation in the form or format specified therefor, which form or format shall include the indentification of the participant, the subject Designated Security, the contra side, the quantity, the unit price, the aggregate price, the trade date, the settlement date, and, if the transaction is a BOS Eligible Transaction and the Participant wishes to utilize BOS, an instruction for the transaction to be submitted to BOS (the "Transaction Information"). When the contra side to a transaction is a Participant which has submitted the transaction to TCRS or is a participant in another registered clearing agency with a Comparison Interface and has submitted the transaction to that other registered clearing agency the Corporation shall offer the TCRS to its Participant as set forth herein.

(5) A Participant shall submit the Transaction Information for any transaction for which the Participant utilizes TCRS or BOS, or both TCRS and BOS, to the Corporation by 12:00 noon Local Time of the first Business Day after a transaction at any office of the Corporation which the Participant wishes to use.

(6) By 12:00 noon Local Time on the Business Day following the Business Day on which a Participant has submitted Transaction Information to the Corporation, the Corporation shall make available to the Participant a report (the "Initial Report") setting forth: (a) The terms of each transaction submitted by the Participant which compares, including interest accumulated through the settlement date for the transaction; (b) the terms of each transaction (i) reported by the Participant and not reported by the contra side ("Uncompared Transaction"); or (ii) reported by the contra side and not reported by the Participant ("Advisory Transaction"). By 12:00 noon Local Time on the Business Day following the Business Day on which the Initial Report is made available to a Participant, the Participant shall submit to the Corporation, in such form or format as the Corporation shall specify, the Uncompared and Advisory Transactions which the Participant has resolved with the contra side (the "Corrected Transaction Information"). By 12:00 noon Local Time on the Second Business Day following the Business Day on which the Initial Report is made available to a Participant, the Corporation shall make available to the Participant a report setting forth Uncompared and Advisory Transactions contained in the Initial Report which have been compared by reason of the Corrected Transaction Information and Uncompared Transactions and Advisory Transactions which remain uncompared (the "Final Report"), together with receive or deliver tickets for all transactions compared on either the Initial or Final Report and not submitted to BOS. The Initial and Final Reports shall be made available to the Participant at the office of the Corporation to which the Participant submitted its Transactions Information.

(7)(a) Participants may delete transactions submitted to TCRS if both parties to the transaction submit deletion instructions, in the form or format specified by the Corporation, by 12:00 noon Local Time on the Business Day by which Corrected Transaction Information must be submitted.

(b) Transaction Information not submitted to the TCRS by the time specified in paragraph (5) of this Rule may be submitted, for a comparison cycle already in progress, by 12:00 noon Local Time on the Business Day on which Corrected Transaction Information for that cycle must be submitted, and will be included in the Final Report for that cycle.

(c) Transactions which remain Uncompared Transactions and Advisory Transactions in the Final Report will not be submitted to BOS, even though they may otherwise be BOS Eligible Transactions, and will not appear in the Initial or Final Reports of subsequent comparison cycles unless resubmitted pursuant to this Rule.

Fee Schedule

VI. Trade Comparison and Reporting Service

The maximum fees for this service shall be:

(a) *Basic TCRS Fee*—Monthly charge for any month in which a Participant utilizes TCRS—$40.00 per month.

(b) *Box Rental*—Monthly charge for rental of a box, where the participant may pick up his output—$5.00.

(c) *Comparison With Participants Or Through A Comparison Interface*—Any fees charged by the other registered clearing agency for the Comparison Interface with respect to the item, plus the following: (i) Fee for all transactions (regular, as/of, withholds, stamped advisories—buy or sell)—$0.25 per transaction; and (ii) Fee for receive or deliver tickets—$0.12 per ticket.

(d) *Non Machine Readable Input*—Charge for Key Entry (regular, as/of, withholds—buy or sell)—$0.10 per item.

(e) *Machine Readable Input*—No charge.

(f) *Machine Readable Output (Tape)*—Tape supplied by Participant. $0.0050 per item, with a maximum charge of $200.00 per month.

(g) *Machine Readable Output (Cards)*—$0.0150 per item, with a maximum charge of $600.00 per month.

(h) *Stationery*—Forms usage charged back to the participant at 100 percent (100%) of cost.

(i) *Additional Expense*—Any additional expenses such as printing, forms, communications, etc., will be charged to the Participant at 100 percent (100%) of cost.

(j) *Master Book of Designated Securities*—First copy is *free*; additional copies are billed at 100 per cent (100%) of cost.

(k) *Research Charge*—$5.00 per request.

Procedures of Self-Regulatory Organization

The Chief Executive of the Corporation authorized the Corporation to establish and offer a Trade Comparison and Reporting Service and authorized the filing of SR-BSPS-77-5 and of this amendment to SR-BSPS-77-5.

Purposes of Proposed Rule Change and Amendment

The purpose of SR-BSPS-77-5 is to enable the Corporation to offer to its participants a Trade Comparison and Reporting Service ("TCRS"); the purposes of this amendment are to limit the transactions which may be submitted to TCRS to transactions in municipal and government securities, to provide for the establishment of a Comparison Interface with any registered clearing agency offering a comparison service in those securities, to permit transactions compared through TCRS to be submitted to the Corporation's Balance Order Service ("BOS") for clearance and to respond further to comments made by the Commission's staff.

At present no clearing agency offers its participants a full trade comparison and reporting service for municipal and government securities. TCRS will provide a comprehensive comparison and reporting service for the full range of municipal and government securities any time outstanding or trading on a "when-issued" basis and, at the same time, will enable Participants to compare transactions in those securities with other Participants and, to the extent comparison interfaces are established with other registered clearing agencies, with participants in those clearing agencies.

Basis under the Act for Proposed Rule Change

By providing participants a non-exclusive means through which they can compare transactions in municipal and government securities and thereby promptly reach agreement as to the exact terms of such transactions and expeditiously effect the consummation thereof, the proposed rule change relates to prompt and accurate clearance and settlement of securities transactions, fostering cooperation and coordination with persons engaged in the clearance and settlement of securities transactions and removal of impediments to and perfection of the mechanisms of a national system for the prompt and accurate clearance and settlement of securities. The Corporation believes that the approval of TCRS concurrently with the establishment of comparison interfaces with all other registered clearing agencies offering comparison services for transactions in municipal and government securities, will facilitate the establishment of a national system for the clearance and settlement of those securities.

Comments Received From Members, Participants or Others on Proposed Rule Change

In the course of conversations with its Participants, the Corporation was advised of the need for a trade comparison and reporting service for transactions in municipal and government securities. Besides correspondence with the Commission's staff, the publication of SR-BSPS-77-5 by the Commission in the Federal Register, and communications from the Corporation in which Participants were invited to submit written comments to the Commission, no written comments were solicited or received from Participants or others on the proposed rule change.

Burden on Competition

TCRS is non-exclusive. A Participant is not compelled to use TCRS for any of its transactions, but may use it if the cost of doing so compares favorably with the cost of other comparison services or alternative methods of comparison. In addition, TCRS is available for transactions, both between Participants and between Participants and participants in other registered clearing agencies which have established a Comparison Interface with the Corporation. The Corporation is prepared to establish Comparison Interfaces with any registered clearing agency providing a trade comparison service for municipal and government securities.

The Corporation believes that the availability of TCRS will increase competition among entities trading in municipal and government securities by making an efficient, low-cost comparison capability available to large numbers of brokers, dealers and others located both within and outside major financial centers. The Corporation believes that if Comparison Interfaces with other registered clearing agencies are established concurrently with the Commission's approval of TCRS and, like the Corporation, the other registered clearing agencies offer their services on a non-exclusive basis, implementation of TCRS will enhance competition among registered clearing agencies in the provision of comparison services for transactions in municipal and government securities.

On or before April 25, 1980, or within such longer period (i) as the Commission may designate up to 90 days of such date if it finds such longer period to be appropriate and publishes its reasons for so finding or (ii) as to which the above-mentioned self-regulatory organization consents, the Commission will:

(a) By order approve such proposed rule change, or

(b) Institute proceedings to determine whether the proposed rule change should be disapproved.

Interested persons are invited to submit written data, views and arguments concerning the foregoing. Persons desiring to make written submissions should file six copies thereof with the Secretary of the Commission, Securities and Exchange Commission, Washington, D.C. 20549. Copies of such filings will also be available for inspection and copying at the principal office of the above-mentioned self-regulatory organization. All submissions should refer to the file number referenced in the caption and should be submitted on or before April 11, 1980.

For the Commission, by the Division of Market Regulation, pursuant to delegated authority.

George A. Fitzsimmons,

Secretary.

March 14, 1980.

[FR Doc. 80-8657 Filed 3-20-80; 8:45 am]

BILLING CODE 8010-01-M

[Release No. 34-16665; File No. SR-MSE-80-2]

Midwest Stock Exchange, Inc.; Self-Regulatory Organization; Proposed Rule Change

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934, 15 U.S.C. 78s(b)(1), as amended by Pub. L. No. 94-29, 16 (June 4, 1975), notice is hereby given that on March 5, 1980, the above-mentioned self-regulatory organization filed with the Securities and Exchange Commission the following proposed rule change:

Exchange's Statement of the Terms of Substance of the Proposed Rule Change

Article I, Rules 11, 14, 15, and 16; Article II, Rule 11; Article III, Rule 11; Article IV, Rules 1 and 9; Article V, Rule 2; Article VI, Rules 1, 2, and 9; Article VII, Rules 1, 2, and 4; Article XI, Rules 3 and 9; Article XII, Rules 1, 2, 3, 4, 5, and 7; Article XIV, Rules 10, 11, and 13; Article XVII, Rule 2; Article XXVIII, Rules 2, 3, and 4; Article XXX, Rules 1, 4, 7, and 8; and, Article XXXI, Rules 12 and 15 are hereby amended as follows:

All of the amendments merely substitute the word 'Chairman' for 'President' except two. Article IV, Rule 1 provides that the Vice Chairman, rather than the Chairman shall appoint committees and designate their Chairmen. Article XI, Rule 9 provides that, in the event that a member organization is unable to maintain operational capacity forcing the MSE Chairman to take action to correct the situation, the action must be reported to the Vice Chairman of the Board.

Exchange's Statement of Basis and Purpose

The basis and purpose of the foregoing proposed rule change is as follows:

These amendments recognize the need for continuity of executive leadership to guide the Exchange as it moves into the national market system environment. It has become increasingly apparent in the last few years that the demands of administrating the Exchange, negotiating with regulatory bodies and providing testimony to the SEC and Congressional Committees, as well as leading the Exchange's transition to national market system trading require the continuity of a full-time Chairman. In addition, the operation of a clearing corporation, a depository and increasing complexity in data processing in all areas of the Exchange's operations require full-time efforts in leadership and communication with the membership. Similar conclusions have been reached by the other national exchanges which have responded by adopting structures similar to that proposed for the Exchange.

These rule amendments are consistent with Section 6(b)(1) of the Act since they relate to the organization of the Exchange and the capacity of the Exchange to be able to carry out the purposes of the Securities Exchange Act of 1934 as amended.

Comments have neither been solicited nor received from members or others on the proposed rule changes. The Midwest Stock Exchange, Incorporated does not believe that the proposed amendments impose any burden on competition.

On or before April 25, 1980, or within such longer period (i) as the Commission may designate up to 90 days of such date if it finds such longer period to be appropriate and publishes its reasons for so finding, or (ii) as to which the above-mentioned self-regulatory organization consents the Commission will:

(a) By order approve such proposed rule change, or

(b) Institute proceedings to determine whether the proposed rule change should be disapproved.

Interested persons are invited to submit written data, views and arguments concerning the foregoing. Persons desiring to make written submissions should file 6 copies thereof with the Secretary of the Commission, Securities and Exchange Commission, Washington, D.C. 20549. Copies of the filing with respect to the foregoing and of all written submissions will be available for inspection and copying in the Public Reference Room, 1100 L Street NW., Washington, D.C. Copies of such filing will also be available for inspection and copying at the principal office of the above-mentioned self-regulatory organization. All submissions should refer to the file number referenced in the caption above and should be submitted on or before April 11, 1980.

For the Commission by the Division of Market Regulation, pursuant to delegated authority.

George A. Fitzsimmons,

Secretary.

March 17, 1980.

[FR Doc. 80-8650 Filed 3-20-80; 8:45 am]

BILLING CODE 8010-01-M

[Released No. 34-16657; File No. SR-MSE-80-1]

Midwest Stock Exchange, Inc.; Self-Regulatory Organizations; Proposed Rule Change

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934, 15 U.S.C. 78s(b)(1) as amended by Pub. L. No. 94-29, 16 (June 4, 1975), notice is hereby given that on February 25, 1980, the above-mentioned self-regulatory organization filed with the Securities and Exchange Commission a proposed rule change as follows:

Exchange's Statement of Terms of Substance

The interpretation which is the subject matter of this filing informs the membership and other interested parties about how the Committee on Specialist Assignment and Evaluation will make assignments and re-assignments of issues to specialists, co-specialists, relief specialists, and odd-lot dealers. The interpretation describes the events which may lead to assignment proceedings, assignment procedures, and guidelines for assignment of issues to co-specialists.

Exchange's Statement of Basis and Purpose

The basis under the act for the proposed rule change is Section 6(b)(5) since it is believed such rule change will work toward a more competitive national market system in the public interest enabling the Exchange to process assignments and re-assignments of issues to specialists, co-specialists, relief specialists, and odd-lot dealers in an efficient manner.

The Midwest Stock Exchange, Incorporated does not believe that the proposed rule change will impose any burden on competition.

The Midwest Stock Exchange, Incorporated does not consent to any extension of the time periods applicable under the Act.

The Midwest Stock Exchange has neither solicited nor received any comments.

At any time within sixty days of the date of filing of these proposed rule changes, the Commission summarily may abrogate the change if it appears to the Commission that such action is necessary or appropriate in the public interest, for the protection of investors, or otherwise in furtherance of the purposes of the Securities Exchange Act of 1934.

Interested persons are invited to submit written data, views and arguments covering the foregoing. Persons desiring to make written submissions should file six copies thereof with the Secretary of the Commission, Securities and Exchange Commission, Washington, D.C. 20549. Copies of the filing with respect to the foregoing and of all written submissions will be available for inspection and copying in the Public Reference Room, 1100 L Street, NW., Washington, D.C. Copies of such filing will also be available for inspection and copying at the principal offices of the above-mentioned self-regulatory organization. All submissions should refer to the file number referenced in the caption above and should be submitted on or before April 11, 1980.

For the Commission by the Division of Market Regulation pursuant to delegated authority.

George A. Fitzsimmons,

Secretary.

March 14, 1980.

[FR Doc. 80-8652 Filed 3-20-80; 8:45 am]

BILLING CODE 8010-01-M

[Release 34-16659; File No. SR-NASD-77-8]

National Association of Securities Dealers, Inc.; Self-Regulatory Organizations; Proposed Rule Change

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934, 15 U.S.C. 78s(b)(1), as amended by Pub. L. No. 94-29, 16 (June 4, 1975), notice is hereby given that on July 7, 1977[1], the above mentioned self-regulatory organization filed with the Securities and Exchange Commission (the "Commission") a proposed rule change relating to the matters set forth below and on March 14, 1980, filed an amended notice of proposed rule change as follows:

NASD's Statement of the Terms of Substance of the Proposed Rule Change

Text of Proposed Rule Change

The following is the full text of the proposed rule change, Article III, Section 35 of the Rules of Fair Practice of the National Association of Securities Dealers, Inc. (the "NASD" or "Association"). The proposed rule change is sometimes referred to hereinafter as "Section 35". Section 35 authorizes the Board of Governors of the Association to adopt certain rules as described below; each of those rules will be filed with the Commission and be subject to public notice. The text of Section 35 is as follows:

Article III, Section 35

(a) A member or a person associated with a member shall not underwrite or participate in any way in the distribution to the public of units of a direct participation program, or sponsor a direct participation program, the provisions of which are inconsistent with rules, regulations and procedures prescribing standards of fairness and reasonableness in respect thereto adopted by the Board of Governors pursuant to the authorization granted in subsection (b) hereof.

(b) The Board of Governors is authorized, for the purpose of preventing fraudulent and manipulative acts and practices, promoting just and equitable principles of trade, providing safeguards against unreasonable profits or unreasonable rates of commissions or other charges, and for the protection of investors and the public interest, to adopt rules, regulations and procedures prescribing standards of fairness and reasonableness for direct participation programs relating to:

(1) The underwriting or other terms and conditions concerning, directly or indirectly, the distribution of units of such programs to the public, including, but not limited to, all elements of compensation in connection therewith, among other factors;

(2) The terms and conditions concerning the operations, structure and management of such programs in which a member or an affiliate of a member is a sponsor including, but not limited to:

a. The rights of participants in such programs;

b. Conflicts or potential conflicts of interest of sponsors thereof, or others;

c. The financial condition of sponsors of such programs;

d. All elements of sponsor's compensation including but not limited to, working interests, net profit interests, promotional interests, program management fees, overriding royalty interests, sharing arrangements, interests in program revenues, and overriding interests of all other kinds, general and administrative expenses and organization and offering expenses;

e. The minimum unit value which may be offered and the minimum subscription amount per investor;

f. The retention and/or exchange of units of the program held by participants;

g. The assessments, mandatory, optional or otherwise, to be made on participants in a program in addition to the unit price;

h. The reinvestment of revenues derived from the operation of the program;

i. The duty of the program to render operational and financial reports to participants;

j. The liquidation of units in a program; and

k. Any other terms, conditions or arrangements relating to the operation of the program which the Board of Governors determines are required for the protection of investors and the public interest;

(3) The standards of suitability for investment in such programs by investors;

(4) The content and filing with the Association of advertising and sales literature to be used in connection with the distribution of direct participation programs; and

(5) The definitions of words commonly used in connection with such programs including words used in this section unless they are otherwise defined herein.

(c) The rules, regulations and procedures authorized by subsection (b) hereof shall be incorporated into Appendix F to be attached to and made a part of these Rules of Fair Practice. The Board of Governors shall have the power to adopt, alter, amend, supplement or modify the provisions of Appendix F from time to time without recourse to the membership for approval, as would otherwise be required by Article VII of the By-Laws, and Appendix F shall become effective as the Board of Governors may prescribe unless disapproved by the Securities and Exchange Commission.

(d) For the purposes of this section, the following terms shall have the stated meanings:

(1) AFFILIATE—when used with respect to a member or sponsor, shall mean any person which controls, is controlled by, or is under common control with, such member or sponsor, and includes:

a. Any partner, officer or director (or person performing similar functions) of (a) such member or sponsor or (b) a person which beneficially owns 50 percent or more of the equity interest in, or has the power to vote 50 percent or more of the voting interest in, such member or sponsor.

b. Any person which beneficially owns or has the right to acquire 10 percent or more of the equity interest in or has the power to vote 10 percent or more of the voting interest in (a) such member or sponsor, or (b) a person which beneficially owns 50 percent or more of the equity interest in, or has the power to vote 50 percent or more of the voting interest in, such member or sponsor.

c. Any person with respect to which such member or sponsor, the persons specified in subparagraph a. or b., and the immediate families of partners, officers or directors (or persons performing similar functions) specified in subparagraph a., or other persons specified in subparagraph b., in the aggregate beneficially own or have the right to acquire 10 percent or more of the equity interest or have the power to vote 10 percent or more of the voting interest.

d. Any person an officer of which is also a person specified in subparagraph a. or b. and any person a majority of the board of directors of which is comprised of persons specified in subparagraph a. or b.; or

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[1] Notice of filing of the proposed rule change was orginally published on July 21, 1977. (42 FR 37461.)

e. Any person controlled by a person or persons specified in subparagraphs a., b., c. or d.

(2) DIRECT PARTICIPATION PROGRAM (PROGRAM)—a program which provides for flow-through tax consequences regardless of the structure of the legal entity or vehicle for distribution including, but not limited to, oil and gas programs, real estate programs, agricultural programs, cattle programs, condominium securities, Subchapter S corporate offerings and all other programs of a similar nature, regardless of the industry represented by the program, or any combination thereof. A program may be composed of one or more legal entities or programs but when used herein and in any rules or regulations adopted pursuant hereto the term shall mean each of the separate entities or programs making up the overall program and/or the overall program itself. Excluded from this definition are real estate investment trusts, tax qualified pension and profit sharing plans pursuant to Sections 401 and 403(a) of the Internal Revenue Code and individual retirement plans under Section 408 of that Code, tax sheltered annuities pursuant to the provisions of Section 403(b) of the Internal Revenue Code, and any company, including separate accounts, registered pursuant to the Investment Company Act of 1940.

(3) EQUITY INTEREST—when used with respect to a corporation, means common stock and any security convertible into, exchangeable or exercisable for common stock, and, when used with respect to a partnership, means an interest in the capital or profits or losses of the partnership.

(4) SPONSOR—a person who directly or indirectly provides management services for a direct participation program whether as general partner, pursuant to contract or otherise.

NASD's Statement of Basis and Purpose

The basis and purpose of the foregoing proposed rule change are as follows:

Purpose of Proposed Rule Change

The purpose of the proposed rule change is to establish a system of regulation in connection with the distribution of direct participation programs by members of the Association and the sponsorship of such programs by members of their affiliates. Subsection (a) of proposed Section 35 would prohibit a member or person associated with a member from underwriting, participating in the distribution of, or sponsoring a direct participation program which does not comply with rules, regulations and procedures prescribing standards of fairness and reasonableness adopted by the Board of Governors of the Association (the "Board").

Subsection (b) would give the Board authority to adopt such rules, regulations and procedures. More specifically, subsection (b)(2) would give the Board authority to adopt rules, regulations and procedures regarding such matters as the management, structure and operation of direct participation programs in which a member or an affiliate of a member is a sponsor. Subsections (b)(1), (3) and (4) would give the Board authority in such areas as underwriting compensation, investment suitability and form and content of sales literature of programs distributed by a member of a person associated with a member. Subsection (b)(5) would give the Board authority to define words commonly used in connection with direct participation programs.

Subsection (c) would give the Board authority to adopt, alter, amend, supplement or modify the substantive rules, regulations and procedures authorized by Subsection (b) and contained in Appendix F thereto, without recourse to the membership for approval. While a vote of the membership would not be necessary to effect a change in Appendix F, any substantive changes would be made only after being sent to the membership for comment.

Subsection (d) would define the following terms that are used in Section 35: "Affiliate," "direct participation program" ("program"), "equity interest," and "sponsor."

More particularly, the purpose of the proposed rule change is to prevent abuses by members, persons associated with members, and affiliates of members in the distribution or sponsorship of direct participation programs. Subsections (b)(1), (3), (4) and (5) of Section 35 would apply to a member or person associated with a member participating in the public distribution of any direct participation program. Subsection (b)(2) of Section 35 would apply to a member or person associated with a member participating in the public distribution of a direct participation program sponsored by a member or an affiliate of a member.

Subsection (b)(2) would also apply to a member who sponsors a program and, in most instances, to an affiliate of a member who sponsors a program. Subsection (b)(2) would apply in all instances in which a program's securities are distributed by the member who is sponsoring the program or who is an affiliate of the sponsor. Subsection (b)(2) also would apply even though the member with whom the sponsor is affiliated may not be directly participating in the distribution, should that member have reason, *e.g.* a "selling purpose", to influence the creation or distribution of a program's securities in a manner contrary to just and equitable principles of trade, the protection of investors and the public interest generally.

The Association has concluded that the application of the proposed rule change described above is mandated by the statutory requirements imposed upon the Association under Section 15A(b)(6) of the Securities and Exchange Act of 1934 (the "Act"). This conclusion rests on the Association's experience in reviewing direct participation programs and its understanding of practices surrounding direct participation programs.

The Association concluded that it was necessary to apply subsection (b)(2) to members or affiliates sponsoring but not distributing programs because in most such cases there is a close identity of interest between the member's securities-related activity and the activity of the member or its affiliate in sponsoring a program. Often, the same personnel are engaged in both activities. It is particularly important to note the existence of affiliations between sponsors and members. A review of a significant sample of the public direct participation programs filed with the Association during the 1978 indicates that there was such an affiliation in 65.2 percent of the programs. It is common for a sponsor to employ one or more persons whose primary, if not exclusive, function is to "wholesale" interests in a series of programs. Given this pattern, sponsors soon become subject to the broker/dealer registration requirements of the Act. Membership in the Association often follows registration as a broker/dealer. Conversely, an existing member may create an affiliate to sponsor programs for distribution to existing and future customers.

In those situations in which an affiliation exists between a sponsor and a member, the affiliation may take a variety of forms. At one extreme, the member and the sponsor may be the same legal entity. In more common situations, however, the member is controlled by, in control of, or under common control with the sponsor. This was the form of affiliation in virtually all of the public programs where any affiliation was found in the study referred to above. In that study, the Association found that 65.2 percent of all public programs filed with the

Association were affiliated. Of those, the member and sponsor had common personnel in 44.9 percent of the cases. This reflects the Association's experience which has been that the affiliated member is an integral part of an overall structure which includes numerours corporate or partnership entities.

Section 15A(b)(6) of the Act provides that Association rules shall not be designed to regulate "matters not related to the purposes of (the Act)." The Association will be mindful of this provision in interpreting and applying the proposed rule change. The Association believes, however, that in virtually all instances those activities subject to the proposed rule change, including the sponsorship of programs, are within the purview of the Act. The sponsoring of a direct participation program is itself a securities related activity in that the structuring and management of the program enterprise must affect its value. Sponsors are often in the continuing business of creating and selling partnership interests. This sales activity, whether accomplished by the sponsor registered as a broker/dealer, by a wholly-owned broker/dealer subsidiary, or by a broker/dealer controlling the sponsor, is a related activity subject to Association jurisdiction where a member is involved. The Association is of the view that subsection (b)(2) of Section 35 should be applied wherever a member or an affiliate of a member is in a position to affect the activities related to a program, particularly where such member or affiliate may have an incentive to encourage sales of program interests.

Thus, if a wholly-owned subsidiary of a member acts as sponsor of a program and is in a position to structure the program to make its interests readily salable but to conceal conflicts disadvantageous to investors, subsection (b)(2) should apply even though the member is not participating in the distribution. At the other extreme, if a member which never sells direct participation programs is controlled by a holding company which also controls a real estate appraisal firm, the work of that appraisal firm in appraising properties for a real estate program would not normally be seen as a related matter subject to Association jurisdiction.

The effect of the above-referenced statutory provision on the applicability of the terms "affiliate" and "sponsor" should be noted. The Association expects to interpret the term "affiliate" in such a manner that the proposed rule change will be applied only to situations in which the involvement of members or persons associated with members in related activities is such that the purposes of the Act dictate such application. The term "sponsor" will be interpreted to apply only where a member or its affiliate is performing some of the functions of a general partner or otherwise acting in a managerial capacity.

Basis Under the Act for Proposed Rule Change

Section 15A(b)(2) of the Act provides that an association of brokers and dealers such as the Association shall not be registered as a national securities association unless the Commission determines that the association's rules provide it with the capacity to carry out the purposes of the Act, to enforce compliance with the Act and the rules and regulations thereunder by its members and persons associated with its members, and to protect investors and the public interest. Further, Section 15A(b)(6) of the Act requires that the rules of the association be designed to prevent fraudulent and manipulative acts and practices, to promote just and equitable principles of trade, and in general to protect investors and the public interest. In addition, Section 15A(b)(7) of the Act requires that the rules of the association provide that its members and persons associated with its members shall be appropriately disciplined for violation of any provisions of the Act or the rules of the association, by imposition of appropriate penalties.

Section 35 has been adopted by the Association to further the purposes of the Act by prohibiting identified abuses in the distribution and sponsorship of direct participation programs. The factual basis for the Association's action is set forth above in the discussion of the purpose of the proposed rule change. The Association believes it is important to apply Section 35 so as to affect not only the distribution of direct participation programs by members or their associated persons but also the sponsorship of such programs by members or their affiliates.

The basis under the Act for the Association's exercise of jurisdiction in the case of members' affiliates rests largely on the finding that there is frequently an identity of interest between a member and its affiliate which is sponsoring a direct participation program.

In some instances, the proposed rule change could impose requirements on Association members, unaffiliated with a program's sponsor, who distribute interests in a program which has another member or affiliate as its sponsor. The Association has concluded that it is necessary to extend certain responsibilities to such a member to facilitate enforcement by assuring that there is a further check on potential conflicts of interest and excessive compensation. The responsibility on the unaffiliated member also assures an objective check on the degree of affiliation between any other member or an affiliate and the program sponsor.

NASD's Statement Concerning Comments Received From Members, Participants or Others on the Proposed Rule Change

Antecedents to the proposed rule change were published by the Association for comment by members and other interested parties in 1972 and 1973 and the proposed rule change was approved by a vote of the Association's members. A total of 177 comments were received and filed with the Commission. A detailed analysis of comments directed to the Association was also filed with the Commission. (*See* File No. SR–NASD–77–8, Exhibit 2.) A summary of that analysis is presented here.

The Association first published an earlier version of the proposed rule change for comments in a Notice to Members on May 9, 1972. The comments received may be categorized into those addressing two general policy questions and those suggesting changes to specific sections.

Several of the comments in the first category were addressed to the question of the delegation to the Association's Board of Governors of authority to amend, without a vote of Association members, the provisions of Appendix F of the Association's Rules of Fair Practice. The question was raised as to whether this practice was permissible under Article VII, Section 1 of the By-Laws of the Association, which requires membership approval for amendments to the Rules of Fair Practice. Section 3 of Article VII of the By-Laws delegates to the Board the power to make and issue interpretations in administration and enforcement of the Rules of Fair Practice. The Association was of the view that since the substantive provisions of the Appendix implement authority in proposed Section 35 which was to be approved by the membership, the Board held the power under Article VII, Section 3 to function in the manner indicated in proposed Section 35. Subsequent to this first publication, similar delegations of authority have been approved by the Commission with respect to other Association rules. (*See, e.g.* NASD Rules of Fair Practice, Article

III, Sec. 31, 32(b), 33(c) (NASD Manual, (CCH) ¶2181, 2182, 2183).)

The other general policy issue addressed by the comments related to the Association's asserted attempt to regulate the issuers of offerings distributed by members. The proposal published in 1972 specified standards to be met by the issuers of any direct participation program distributed by an Association member including those sponsored by persons other than members or their affiliates. Several commentators questioned the Association's jurisdiction in seeking to regulate activities of program sponsors which were not affiliated with Association members.

In 1973, the Commission invited public comment on enumerated policy questions relating to the Association's authority to adopt rules affecting sponsors not affiliated with Association members. (*See* Sec. Exch. Act. Rel. No. 10260 (July 2, 1973).) Contemporaneously, the Association issued a revised version of the proposed rule change which left unchanged the earlier approach with respect to sponsors unaffiliated with Association members. *See* Notice to Members 73–50 (July 12, 1973.) Comments on that proposal were directed to the Commission.

As a result of correspondence received from the Commission in 1974, the Association revised the scope of those sections of the rule proposal which would directly affect the activities of program sponsors. As revised and subsequently filed with the Commission, those sections would apply only to programs "in which a member or an affiliate of a member is a sponsor." By limiting the scope of those sections, the Association was seeking to respond to those earlier comments which questioned the Association's jurisdiction over sponsors who are not affiliated with members. The Association was also seeking to protect investors from potential abuses that could be obscured in the structure of direct participation programs, and conflicts of interest that may be present, especially where a member distributes securities of a program sponsored by its affiliate.

The remaining comments received on the 1972 proposal were addressed to the scope of the definition of "tax shelter program," the applicability of other proposed definitions, and many of the specific details of the rule proposal. As noted above, a detailed analysis of these comments and actions taken by the Association in response thereto may be found in Exhibit 2 filed with the Commission.

The proposed rule change as submitted to the Association's membership for approval on January 21, 1977 (*see* Notice to Members 77–3) contained changes, as alluded to above, adopted in response to earlier comments received. No comments were solicited or received in connection with the 1977 submission to the membership.

The proposed rule change was filed with the Commission on July 7, 1977. The Commission published the proposal for comment on July 21, 1977 (*see* 42 F.R. 37461 (1977)). The Commission received one letter of comment, from Robert D. Strahota, an attorney in Washington, D.C. Mr. Strahota raised a procedural question, suggesting that the Association should republish Appendix F for comment. As explained below, that has now been accomplished.

Mr. Strahota also questioned the Association's authority to enact subsection (b)(2) of Section 35. He assumed that the subsection would be applied to any sponsor affiliated with an Association member. As explained above, the association intends that subsection (b)(2) not be applied solely on the basis of a technical affiliation but rather on other bases as dictated by the Act.

Subsequent to the filing of the proposed rule change with the Commission, the Association solicited comments from members on the substantive regulations, contained in proposed Appendix F to Section 35, which the Association would be authorized to adopt pursuant to subsection (b) of the proposed rule change. (*See* Notice to Members 78–12 (March 10, 1978).) The comments received in response to that notice have been analyzed and various changes to Appendix F are pending. The Association is awaiting Commission approval of the proposed rule change prior to adopting Appendix F. Appendix F must be filed with the Commission for approval before it becomes effective. To the extent that Appendix F may reflect the intended application of authority provided in the proposed rule change, interested persons may wish to study the Appendix in formulating comments on the proposed rule change. Copies of Appendix F may be obtained from the National Association of Securities Dealers, Inc., 1735 K Street, N.W., Washington, D.C. 20006.

On or before April 25, 1980 or within such longer period (i) as the Commission may designate up to 90 days of such date if it finds such longer period to be appropriate and publishes its reasons for so finding or (ii) as to which the above-mentioned self-regulatory organization consents, the Commission will:

(a) By order approve such proposed rule change, or

(b) Institute proceedings to determine whether the proposed rule change should be disapproved.

Interested persons are invited to submit written data, views and arguments concerning the foregoing. Persons desiring to make written submissions should file six copies thereof with the Secretary of the Commission, Securities and Exchange Commission, Washington, D.C. 20549. Copies of the filing with respect to the foregoing and all written submissions will be available for inspection and copying in the Public Reference Room 1100 L Street, NW., Washington, D.C.

Copies of such filing will also be available for inspection and copying at the principal office of the above-mentioned self-regulatory organaization. All submissions should refer to the file number referenced in the caption above and should be submitted on or before April 11, 1980.

For the Commission by the Division of Market Regulation, pursuant to delegated authority.

George A. Fitzsimmons,

Secretary.

March 17, 1980.

[FR Doc. 80–8655 Filed 3–20–80; 8:45 am]

BILLING CODE 8010–01–M

[Release No. 34–16660; File No. SR–NASD–79–16, Amdts. No. 1 and 2]

National Association of Securities Dealers, Inc.; Self-Regulatory Organizations; Proposed Rule Changes

Proposed Rule Changes by: National Association of Securities Dealers, Inc., relating to: Responses to the Recommendations of the Special Study of the Options Markets as Promulgated by the Securities and Exchange Commission in Release No. 34–15575.

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934, 15 U.S.C. 78s(b)(1), notice is hereby given that on March 6, 1980, the National Association of Securities Dealers, Inc. (NASD), filed with the Securities and Exchange Commission amendments to proposed rule change SR–NASD–79–16[1] as described in Items I, II and III below. The Commission is publishing this notice to solicit comments on the

[1] Notice of filing of the proposed rule change was given by publication of a Commission release (Securities Exchange Act Release No. 16460, December 31, 1979) and by publication in the **Federal Register** (45 FR 1954, January 9, 1980).

proposed rule changes from interested persons.

I. Self-Regulatory Organization's Statement of Terms of Substance of the Proposed Rule Changes

The following is a summary of the rule changes proposed by the NASD. The text of the proposed rule changes is attached as Exhibit A to this notice, with brackets used to indicate words to be deleted and italics used for words to be added.

Article III, Section 33, Appendix E

Section 16. This section is proposed to be amended to require customer account statements to disclose any special account charges that are not itemized and disclosed on confirmations. The proposed revision would also require disclosure on margin account statements sent to options customers of the mark-to-market and market value of each security position in the account, the outstanding balance in the account and account equity. Under the proposed rule, these statements must also contain a legend indicating that further information concerning options commissions and similar execution charges will be provided on request. A description of how to calculate margin account equity is also provided.

Section 17. This Section is proposed to be amended to require customer account records to reflect whether the account has been approved for discretionary orders.

Section 18. This Section is proposed to be amended to require that copies of options-related complaints be maintained at the branch office which is the subject of such complaints.

Section 19. This Section is proposed to be amended to require that all discretionary options accounts be approved in writing by a Registered Options Principal.

Section 21. This Section is proposed to be amended to require members to develop and implement a written headquarters account review program under the direct supervision of the Senior Registered Options Principal.

This Section also is proposed to be amended to require each member firm to maintain, at the principal supervisory office having jurisdiction over the office servicing the customer's account, sufficient information to permit a timely review of each customer's options account to determine that options transactions are compatible with customer objectives and the terms of the account's approval, the size and frequency of options transactions, commission activity in the account, profit or loss in the account, undue concentration in any options class or classes, and compliance with the provisions of Regulation T of the Federal Reserve Board.

Article I, Section 2(d), Schedule C

VIII. *Disciplinary Actions*

This Section is proposed to be amended to require members to report any disciplinary actions taken against them or their associated persons to the Association.

II. Self-Regulatory Organization's Statement of Purpose and Statutory Basis of Proposed Rule Change

In its filing with the Commission, the NASD included the following statements concerning the purpose and basis of the proposed rule changes and discussed comments it received on the proposed rule changes. Such statements are reproduced in Sections (A), (B) and (C) below.

(A) *Self-Regulatory Organization's Statement of Purpose of and Statutory Basis for Proposed Rule Changes.* The purpose of this amendment is to propose additional rule changes in response to certain recommendations of the Special Study of the Options Markets which were not addressed in the original filing (SR–NASD–79–16).

The statutory basis for each of these proposed rule changes remains as stated in the original filing. The purpose of each of the substantive proposed rule changes included in this filing is as follows:

Appendix E, Section 16

Section 16 is proposed to be amended to expand the information which members must disclose on statements sent to customers having a general (margin) account. By limiting this requirement to margin accounts, the information will be furnished to most options customers without imposing undue burdens on member firms. The proposal will require that statements sent to such customers reflect the mark-to-market price and market value for all security positions in the account, the total market value of all positions in the account, the debit (or credit) balance, and account equity. We have defined general (margin) account equity as the difference between the total of long security values, including any credit balance, and the total of short security values, including any debit balance. We have also proposed that interest and any special charges assessed against an account be specifically delineated on the account statement only to the extent, however, that such charges have not already been itemized on transaction confirmations.

In addition, we propose to require a legend on account statements to the effect that information concerning commissions and other charges has been included in confirmations, and will be furnished to customers upon request.

Appendix E, Section 17

The minimum information that must be reflected in customers' account records has been expanded to include whether the account is approved for discretionary orders.

Appendix E, Section 18

This Section would require that in addition to maintaining a firm-wide file of all options-related complaints, copies of options-related complaints must also be maintained at the branch office which is the subject of such complaints.

Appendix E, Section 19

Each discretionary options account opened by a member would have to be approved, in writing, by a Registered Options Principal.

Appendix E, Section 21

Options Study recommendation I.A.2.e. calls for the adoption of rules requiring that the headquarters office of every options broker-dealer be in a position to timely review each customer's account to determine the extent of commissions and realized and unrealized losses relative to account equity, the existence of unusual credit extensions and unusual account risks or trading patterns.

Members also would be required to develop and implement a written headquarters account review program and place such a program under the direct supervisory control of the Senior Registered Options Principal.

In response to this recommendation, we propose to add a new subsection (d) to Section 21 of Appendix E which would require members to maintain, at the principal supervisory office having jurisdiction over customers' accounts, sufficient information to enable them to make a number of compliance-related determinations with respect to the options activity in each such account.

Schedule C (Disciplinary Actions)

Members would be required to inform the Association of any disciplinary actions taken by any national securities exchange or association, clearing corporation, commodity futures market or government regulatory body against them or their associated persons.

(B) *Self-Regulatory Organization's Statement on Burden on Competition.*

The Association recognizes that certain of the proposed rule changes will increase the costs to members in handling customers' options transactions, which, in turn, may place smaller members at a competitive disadvantage. The Commission will have to determine whether the possible competitive burden of these rule changes is necessary or appropriate in furtherance of the Act in deciding whether to approve these rule changes.

(C) *Self-Regulatory Organization's Statement on Comments Received from Members, Participants, or Others on Proposed Rule Changes.* Comments on proposed rule changes presented herein for the first time were solicited in response to preliminary drafts of the proposals which were circulated to member firms in a joint notice dated August 17, 1979. The following is a summary of those comments that are relevant to the proposed rule changes in their present form.

Recommendation I.A.2.a. (Revisions to Customer Account Statements)

The proposals involving changes in customer account statements generated by far the greatest volume of member comment. However, most of the comments were directed toward the items which are not included in the proposal as filed (*e.g.*, disclosure regarding total commissions and aggregate account profit or loss). Most commentators opposed including information on the account statement that is already stated on confirmations. Members also criticized the mark-to-the-market requirement on the grounds that current prices may be unavailable in certain instances and, in any event, will be out of date by the time the statement is received. Small- and medium-sized firms criticized the cost involved with putting the proposed account statement requirements into effect.

Recommendation I.A.2.e. (Headquarters Review of Accounts)

The requirement that each principal supervisory office be in a position to make certain determinations with respect to options customer's accounts was generally supported in members' comments. Several commentators indicated that the proposal is consistent with existing review programs. However, certain commentators criticized its cost and sought more detailed standards for the inquiries required by the provision.

III. Date of Effectiveness of Proposed Rule Change and Timing for Commission Action

On or before April 25, 1980, or within such longer period (i) as the Commission may designate up to 90 days of such date if it finds such longer period to be appropriate and publishes its reasons for so finding or (ii) as to which the Association consents, the Commission will:

(A) By order approve such proposed rule changes, or

(B) Institute proceedings to determine whether the proposed rule changes should be disapproved.

IV. Solicitation of Comments

Interested persons are invited to submit written data, views and arguments concerning the foregoing. Persons desiring to make written submissions should file six copies thereof with the Secretary, Securities and Exchange Commission, 500 North Capitol Street, Washington, DC 20549. Copies of the submission, all subsequent amendments all written statements with respect to the proposed rule changes that are filed with the Commission, and of all written communications relating to the proposed rule changes between the Commission and any person, other than those that may be withheld from the public in accordance with the provisions of 5 U.S.C. Section 522, will be available for inspection and copying in the Commission's Public Reference Section, 1100 L Street, N.W., Washington, DC. Copies of such filings will also be available for inspection and copying at the principal office of the above-mentioned self-regulatory organization. All submissions should refer to the file number referenced in the caption above and should be submitted on or before April 11, 1980.

For the Commission by the Division of Market Regulation, pursuant to delegated authority.

George A. Fitzsimmons,

Secretary.

March 17, 1980.

Exhibit A

Article III, Section 33, Appendix E

Sections 1 through 15—No change.

Section 16—Statements of Account (Recommendation I.A.2.a.)

Statements of account showing security and money positions [and], entries, *interest charges and any special charges that have been assessed against such account during the period covered by the statement* shall be sent no less frequently than once every month to each customer in whose account there has been an entry during the preceeding month with respect to an option contract and quarterly to all customers having an open option position or money balance. *Interest charges and any special charges assessed during the period covered by the statement need not be specifically delineated if they are otherwise accounted for on the statement and have been itemized on transaction confirmations. With respect to options customers having a general (margin) account, such statements shall also provide the mark-to-market price and market value of each option position and other security position in the general (margin) account, the total market value of all positions in the account, the outstanding debit or credit balance in the account, and the general (margin) account equity. The statements shall bear a legend stating that further information with respect to commissions and other charges related to the execution of option transactions has been included in confirmations of such transactions previously furnished to the customer, and that such information will be made available to the customer promptly upon request.* The statements shall *also* bear a legend requesting the customer promptly to advise the member of any material change in the customer's investment objectives or financial situation.

For purposes of this Section, general (margin) account equity shall be computed by subtracting the total of the "short" security values and any debit balance from the total of the "long" security values and any credit balance.

Section 17—Opening of Accounts

Subsections (a) through (d)—No change.

Interpretation of the Board of Governors

.01 In fulfilling their obligations pursuant to subsection (b) of this Section, with respect to options customers who are natural persons, members shall seek to obtain the following information at a minimum (information shall be obtained for all participants in a joint account):

1. Investment objectives (e.g., safety of principal, income, growth, trading profits, speculation);
2. Employment status (name of employer, self-employed or retired);
3. Estimated annual income from all sources;
4. Estimated net worth (exclusive of family residence);
5. Estimated liquid net worth (cash, securities, other);
6. Marital status; number of dependents;
7. Age; and,

8. Investment experience and knowledge (e.g., number of years, size, frequency and type of transactions) for options, stocks and bonds, commodities, others.

In addition, a customer's account records shall contain the following information, if applicable:

1. Source or sources of background and financial information (including estimates) concerning the customer;

2. Discretionary authorization agreement on file, name, relationship to customer and experience of person holding trading authority;

3. Date prospectus furnished to customer;

4. *Nature and* [T]*types* of transactions for which account is approved (e.g., buying, covered writing, uncovered writing, spreading, *discretionary transactions*);

5. Name of registered representative;

6. Name of ROP approving account; date of approval; and,

7. Dates of verification of currency of account information.

Members should consider utilizing a standard account approval form so as to ensure the receipt of all the required information.

.02 No change.

.03 No change.

Section 18—Maintenance of Records (Recommendations I.A.1.d. and I.A.1.f.)

(a) In addition to the requirements of Section 21 of the Rules of Fair Practice, every member shall maintain and keep current a separate central log, index or other file for all options-related complaints, through which these complaints can easily be identified and retrieved. The central file shall be located at the principal place of business of the member or such other principal office as shall be designated by the member. At a minimum, the central file shall include (i) identification of complainant; (ii) date complaint was received; (iii) identification of Registered Representative servicing the account; (iv) a general description of the matter complained of; and, (v) a record of what action, if any, has been taken by the member with respect to the complaint. For purposes of this Section, the term "options-related complaint" shall mean any written statement by a customer or person acting on behalf of a customer alleging a grievance arising out of or in connection with options. Each options-related complaint received by a branch office of a member shall be forwarded to the office in which the separate, central file is located not later than 30 days after receipt by the branch office that is the subject of the complaint. *A copy of every options-related complaint shall also be maintained at the branch office that is the subject of the complaint.*

(b) No change.

Section 19—Discretionary Accounts (Recommendations I.A.2.c. and d.)

(a) Authorization and Approval—No member and no person associated with a member shall exercise any discretionary power with respect to trading in option contracts in a customer's account, or accept orders for option contracts for an account from a person other than the customer, except in compliance with the provisions of Section 15 of the Rules of Fair Practice and unless:

1. The written authorization of the customer required by Section 15 shall specifically authorize options trading in the account; and,

2. The account shall have been accepted *in writing* by a Registered Options Principal.

The remainder of this Section is unchanged.

Section 20—No change.

Section 21—Supervision of Accounts (Recommendation I.A.2.e.)

(a) *Duty to Supervise;* Senior Registered Options Principal—Every member shall *develop and implement a written program* provid[e]*ing* for the diligent supervision of all its customer accounts, and all orders in such accounts, to the extent such accounts and orders relate to options contracts, by a general partner (in the case of a partnership) or officer (in the case of a corporation) of the member who is a Registered Options Principal and who has been specifically identified to the Corporation as the member's Senior Registered Options Principal. A Senior Registered Options Principal, in meeting his responsibilities for supervision of customer accounts and orders, may delegate to qualified employees (including other Registered Options Principals) responsibility and authority for supervision and control of each branch office handing transactions in option contracts, provided that the Senior Registered Options Principal shall have overall authority and responsibility for establishing appropriate procedures of supervision and control over such employees.

Subsections (b) through 9c)—No change.

(d) Headquarters Review of Accounts.—Each member shall maintain at the principal supervisory office having jurisdiction over the office servicing customer accounts, information to permit review of each customer's options account on a timely basis to determine (i) the compatibility of options transactions with investment objectives and with the types of transactions for which the account was approved; (ii) the size and frequency of options transactions; (iii) commission activity in the account; (iv) profit or loss in the account; (v) undue concentration in any options class or classes, and (vi) compliance with the provisions of Regulation T of the Federal Reserve Board.

Sections 22 through 24—No change.

Article I, Section 2(d), Schedule C

VIII.—Disciplinary Actions (Recommendation I.A.1.h.)

Every member shall promptly notify the Corporation in writing of any disciplinary action, including the basis therefor, taken by any national securities exchange or association, clearing corporation, commodity futures market or goverment regulatory body against *the member or* its associated persons, and shall similarly notify the Corporation of any disciplinary action taken by the member itself against any of its associated persons involving suspension, termination, the withholding of commissions or imposition of fines in excess of $2,500, or any other significant limitation on activities.

Uniform Practice Code

Section 63—No change.

Effectiveness Timetable[1]

NASD Rule and Number of Days Following SEC Approval

I. Appendix E

Section 16—180 days.

Section 17—30 days (60 days in the case of subsequent verification of suitability information required under Section 17(c))[2]

Section 18(a)—60 days.

Section 18(b)—90 days.

Section 19—60 days.

Section 20—30 days.

Section 21—90 days.

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[1] On a case-by-case basis, where circumstances warrant, members may be granted an additional period of time to comply with these rule changes. Under no circumstances, however, will the additional time granted for compliance with any rule exceed a period of six months beyond its proposed effective date.

[2] *Within 60 days of the effectiveness of this Section, members shall be required to provide to existing options accounts a notice informing such accounts that they may request and receive a copy of all background and financial information currently on file with the member in order to review and update such information as deemed appropriate. (For purposes of this Section, an existing account is one which is qualified to purchase or write options without additional ROP approval).*

II. Schedule C

VIII (Disciplinary Action)—30 days.

III. Uniform Practice Code

Section 63(c)—60 days.

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[Release No. 34-16649; File No. SR-NYSE-80-9]

New York Stock Exchange, Inc.; Self-Regulatory Organizations; Proposed Rule Change

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934 (the "Act"), 15 U.S.C. 78s(b)(1), as amended by Pub. L. No. 94-29 (June 4, 1975), notice is hereby given that on March 11, 1980 the above-mentioned self-regulatory organization filed with the Securities and Exchange Commission a proposed rule change as follows:

NYSE's Statement of the Terms of Substance of the Proposed Rule Change

The proposed rule change would implement operational procedures which the Exchange proposes to employ in connection with a new automated system to be known as the Opening Automated Report Service (OARS). The text of the propsoed rule change will be initially embodied within a circular to Exchange members during the pilot program for OARS. It is expected that, as the pilot progresses, amendments to existing rules of the Exchange will be developed and filed with the Commission for its approval. The operational characteristics of OARS are described under "Purpose of Proposed Rule Change", below.

NYSE's Statement of Basis and Purpose

The basis and purpose of the proposed amendment are as follows:

Purpose of Proposed Rule Change

The purpose of the proposed rule change is to facilitate the implementation and operation of OARS.

On Friday, March 14, 1980, the Exchange will begin a pilot phase introduction of the Opening Automated Report Service (OARS) in ten (10) stocks.

OARS is a new automated system specifically designed to facilitate more efficient and more accurate reporting of certain orders received by the Exchange prior to the opening of trading in an individual stock.

The Exchange believes OARS will provide three distinct benefits to the member firm community and the NYSE Floor:

1. Effective automation of several clerical tasks at the trading post for the critical period just prior to the opening of trading in a stock.
2. Immediate dissemination of execution reports on eligible orders upon the opening of trading.
3. Substantial reduction of potential "Questioned Trade (QT) problems on eligible pre-opening orders.

When a stock is assigned to OARS, the new system will apply to normal openings, delayed openings and re-openings in that stock.

Any member firm already using the Exchange's Designated Order Turnaround (DOT) system for the stocks assigned to OARS will participate in this pilot, since 100 to 299 share pre-opening market orders transmitted to the Exchange through the Common Message Switch will automatically be directed to OARS.

The pilot is designed to provide for the testing of OARS' five basic functions under actual trading conditions. These five basic functions are:

1. OARS will store—but not deliver to the trading post—individual preopening market orders of 100 to 299 shares.
2. OARS will continuously tabulate these orders; matching buy and sell interest and calculating any remaining imbalance.
3. OARS will inform the specialist of the tabulated buy/sell interest and imbalance; both at predetermined intervals and upon request.
4. OARS will electronically receive the opening price in a stock via a single mark-sense card from the specialist; at which point 100-299 share market orders transmitted to the Exchange through CMS will no longer be directed to OARS, but will be directed to the DOT printers on the Floor as usual.
5. OARS will automatically—upon receipt of the opening price—disburse machine-generated reports to member firms for each stored order in that stock.

Machine-generated execution reports are expected to reduce the incidence of erroneous and omitted reports. Furthermore, new universal contra party clearing names—OPN and OPG—will be used.

These universal contra party clearing names will be used as follows:

1. Execution reports sent to Exchange member firms by OARS will provide "OPN" as the contra-side of the trade.
2. The OARS log will indicate each order transmitted by, and each "OPN" report issued to, a member firm. Thus, if a firm that is on the log as a contra party to an OPN trade fails to submit the trade for comparison, or submits it incorrectly, the information on the log will be relied on to prepare a final contract sheet on T+2 (i.e. the second business day following the trade date).
3. If the specialist pairs off orders he is holding against a buy/sell imbalance in OARS, the members who left the orders with the specialist will receive "OPG" from the specialist as the contra-side of the trade.
4. During the day, the specialist will provide SIAC (the Securities Industry Automation Corporation) with the names of the clearing members that traded with "OPG".
5. If a clearing name that the specialist supplied to SIAC as the contra-side of an "OPG" trade does not submit the trade for comparison by T+2, the name of the specialist will be substituted automatically on T+2 as the contra-side of the trade. In such a case, the specialist should pursue the matter with the clearing firm that he knows as the contra-side of the trade.

NYSE's Statement of Basis Under the Act for Proposed Rule Change

Arranging the opening transaction in an Exchange listed stock is often the most difficult and sensitive process of the entire trading day, involving as it often does the matching of many pre-opening buy and sell orders and the need to absorb or supply any imbalance in either they buy or sell side that may exist. The Opening Automated Report Service is expected to introduce important new systems efficiencies in this process which will not only materially assist the specialist in arranging the opening transaction itself but will result in fewer "questioned trades" at the opening and will cause the comparison and settlement process to be conducted in a more efficient and accurate manner. OARS should better enable the Exchange to carry out the purposes of the Securities Exchange Act of 1934 (the "Act") which include the maintenance of fair and orderly markets, the fostering of cooperation and coordination with persons engaged in regulating, clearing, settling and processing information with respect to transactions in securities and facilitating transactions in securities (see Sections 6(b) and 11A(a) of the Act). OARS will also introduce new data processing and communications techniques which should result in a more efficient and effective market operation (see Section 11A(a)(1)(B) of the Act). Finally, OARS is consistent with and should advance the purpose of the Act set forth in Section 17A(a)(1), including the prompt and accurate clearance and settlement of securities transactions and the introduction of more efficient procedures for the clearance and settlement operation.

NYSE's Statement of Comments Received From Members, Participants or Others on Proposed Rule Change

The Exchange has not solicited comment on this proposed change and it has not received any.

NYSE's Statement of Burden on Competition

The Exchange does not perceive any burden on competition that will be imposed by OARS.

The foregoing rule change has become effective, pursuant to Section 19(b)(3) of the Securities Exchange Act of 1934. At any time within sixty days of the filing of such proposed rule change, the Commission may summarily abrogate such rule change if it appears to the Commission that such action is necessary or appropriate in the public interest, for the protection of investors, or otherwise in furtherance of the purposes of the Securities Exchange Act of 1934.

Interested persons are invited to submit written data, views and arguments concerning the foregoing. Persons desiring to make written submissions should file six copies thereof with the Secretary of the Commission, Securities and Exchange Commission, Washington, D.C. 20549. Copies of the filing with respect to the foregoing and of all written submissions will be available for inspection and copying in the Public Reference Room, 1100 L Street NW., Washington, D.C. Copies of such filing will also be available for inspection and copying at the principal office of the above-mentioned self-regulatory organization. All submissions should refer to the file number referenced in the caption above and should be submitted on or before April 21, 1980.

By the Commission.

George A. Fitzsimmons,

Secretary.

March 13, 1980.

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[Release No. 34-16658; File No. SR-PSE-79-14]

Pacific Stock Exchange Inc., Self-Regulatory Organizations; Proposed Rule Change

Pursuant to Section 19(b)(1) of the Securities Exchange Act of 1934, 15 U.S.C. 78s(b)(1), as amended by Pub. L. No. 94-24, 16 (June 4, 1975), notice is hereby given that on February 29, 1980, the above-mentioned self-regulatory organization filed with the Securities and Exchange Commission a Proposed Rule Change as follows:

Exchange's Statement of the Terms of Substance of the Proposed Rule Change

Rule XX; DISCIPLINARY PROCEEDINGS, Disciplinary Jurisdiction

Sec. 1(a). A member, member organization or a person associated with such member or member organization who is alleged to have violated or aided and abetted a violation of any provision of the Securities Exchange Act of 1934, as amended, the rules and regulations promulgated thereunder, or any provision of the Exchange's Constitution or Rules or any commentary thereof or resolution of the Board of Governors of the Exchange regulating the conduct of business on the Exchange, or policy or procedure of the Exchange shall be subject to the disciplinary jurisdiction of the Exchange under this Rule, and after notice and opportunity for a hearing may be appropriately disciplined by expulsion, suspension, limitation of activities, functions, and operations, suspension or bar from association with a member, or member organization, fine, censure or any other fitting sanction, in accordance with the provisions of this Rule. A member organization, may be charged with any violation committed by its employees or a member or other person who is associated with such member organization, as though such violation were its own.

Sec. 1(b). Any member, member organization, or person associated with a member shall continue to be subject to the disciplinary jurisdiction of the Exchange following such person's termination of membership or association with a member with respect to matters that occurred prior to such termination; provided that written notice of the commencement of an inquiry into such matters is given by the Exchange to such former member, member organization or associated person within one year of receipt by the Exchange of written notice of the termination of such person's status as a member, member organization, or person associated with a member.

Investigations

Sec. 2. The Exchange shall investigate possible violations within the disciplinary jurisdiction of the Exchange upon order of the Board of Governors, the Executive Committee, or the Floor Trading Committee or upon receipt of a complaint alleging such violations filed by a member or by any other person. All such complaints should specify in reasonable detail the facts constituting the violation, including the specific statutes, Exchange Constitutional provisions, Rules, commentaries, resolutions, policies or procedures allegedly violated. No member or person associated with a member shall impede or delay an Exchange investigation with respect to possible violations within the disciplinary jurisdiction of the Exchange nor refuse to furnish testimony, documentary materials or other information requested by the Exchange during the course of its investigation.

A member or person associated with a member is entitled to be represented by counsel during any Exchange investigation.

Complaints and Answers

Sec. 3. *Whenever it shall appear to the Board of Governors, the Executive Committee or any standing committee designated by the Board of Governors to review disciplinary proceedings that there is probable cause for finding a violation within the disciplinary jurisdiction of the Exchange and that further proceedings are warranted, the Exchange ("the Complainant") shall initiate a disciplinary action by preparing a statement of charges ("the Complaint") against the person or organization alleged to have committed a violation ("the Respondent") specifying the acts in which the Respondent is charged to have engaged in or which the Respondent is alleged to have omitted and setting forth the specific provisions of the Securities Exchange Act of 1934, as amended, rules and regulations promulgated thereunder, Exchange Constitutional provisions, Rules, commentaries, resolutions, policies or procedures, of which such acts or omissions are in violation. A copy of the charges shall be served upon the Respondent.*

The Respondent shall have 15 business days after service of the charges to file a written answer thereto. The answer shall specifically admit or deny each allegation contained in the charges, and the Respondent shall be deemed to have admitted any allegation not specifically denied. The answer may also contain any defense which the Respondent wishes to submit and may be accompanied by documents in support of his answer or defense. In the event the Respondent fails to file an answer, the charges shall be considered to be admitted.

The time period to file any answer may be extended for such further periods as may be granted by the Exchange, if such request for extension of the filing period is received by the Exchange within five business days

prior to the date on which the answer is due.

Hearing

Sec. 4. Upon Respondent's filing an answer, the Respondent may request a hearing. An appropriate Committee of the Exchange ("the Hearing Committee") shall appoint one or more members to hear the matter ("the Panel"). Parties shall be given at least 15 days notice of the time and place of the hearing and a statement of the matters to be considered therein.

At the hearing, both the Complainant and the Respondent shall be entitled to be heard in person and to present any relevant matter. Any witnesses, testimony or evidence offered by the Complainant or the Respondent shall be subject to cross-examination by the other party. The Panel shall determine all questions concerning the admissibility of evidence and shall otherwise regulate the conduct of the hearing. Formal rules of evidence shall not apply. The charges shall be presented by one or more representatives of the Exchange, who along with Respondent and any other party, may present evidence and produce witnesses who shall testify under oath and are subject to being questioned by the Panel and other parties. The Respondent and intervening parties are entitled to be represented by counsel who may participate fully in the hearing. A transcript of the hearing shall be made and shall become part of the record.

Any person not otherwise a party may intervene as a party to the hearing upon demonstrating to the satisfaction of the Panel that he has an interest in the subject of the hearing and that the disposition of the matter, may, as a practical matter, impair or impede his ability to protect that interest. Also, the Panel may in its discretion permit a person to intervene as a party to the hearing when the person's claim or defense and the main action have questions of law or fact in common. Any person wishing to intervene as a party to a hearing shall file with the Panel a notice requesting the right to intervene, stating the grounds therefor, and setting forth the claim or defense for which intervention is sought. The Panel, in exercising its discretion concerning intervention shall take into consideration whether the intervention will unduly delay or prejudice the adjudication of the rights of the original parties.

Except in writing, with copies to the other parties, neither the Complainant, the Respondent, nor any interested party may discuss with the Panel any matter concerning the facts or allegations in the complaint unless the other parties to the action are given sufficient notice and an opportunity to be heard.

Summary Proceedings

Sec. 5. Notwithstanding the provision of Section 4 of this Rule, the Hearing Committee may make a determination without a hearing and may impose a penalty as to such charges which the Respondent has admitted or has failed to answer or which otherwise do not appear to be in dispute. Notice of such summary determination, specifying the violations and penalty, shall be served upon the Respondent, who shall have 10 days from the date of service of such notice to notify the Hearing Committee that he desires a hearing upon all or a portion of any such charges or upon the penalty. Failure to so notify the Hearing Committee shall constitute admission of the violations and acceptance of the penalty as determined by such Committee and a waiver of all rights of review. If the Respondent requests a hearing, the matters which are the subject of the hearing shall be handled as if the summary determination had not been made.

Offers of Settlement

Sec. 6(a). At any time prior to decision by the Panel the Respondent may submit to the Hearing Committee a written offer of settlement which shall contain a proposed stipulation of facts and shall consent to a specified penalty. Where the Hearing Committee accepts an offer of settlement, it shall issue a decision, including findings and conclusions and impose a penalty, consistent with the terms of such offer. Where the Hearing Committee rejects an offer of settlement, it shall notify the Respondent and the matter shall proceed as if such offer had not been made, and the offer and all documents relating thereto shall not become part of the record. A decision of the Hearing Committee issued upon acceptance of an offer of settlement as well as the determination of the Committee whether to accept or reject such an offer shall be final, and the Respondent may not seek review thereof.

Sec. 6(b). If there is more than one Respondent in a proceeding and one or more of said Respondents submits an offer of settlement, the Hearing Committee may accept or reject such offers from any one or all of the Respondents submitting such offers. The proceedings shall be dismissed as to those Respondents whose offers have been accepted, and the proceedings shall continue as scheduled for the remaining Respondents.

Decision

Sec. 7. Within thirty days after the date of a hearing conducted pursuant to Section 4 of this Rule, the Panel shall prepare a decision in writing determining whether the Respondent has committed a violation and imposing the penalty, if any, therefor. The decision shall include a statement of findings and conclusions, with the reasons therefor upon all material issues presented on the record. Where a penalty is imposed, the decision shall include a statement specifying the acts or practices in which the Respondent has been found to have engaged, or which the Respondent has been found to have omitted, and setting forth the specific provisions of the Securities Exchange Act of 1934, as amended, rules and regulations promulgated thereunder, the Exchange Constitution, the Rules of the Board of Governors, commentaries, resolutions, policies or procedures which the act or omission to act are deemed to violate. The Respondent shall be promptly sent a copy of the decision. The determination of the Panel and any penalty imposed shall become final fifteen days after notifying the Respondent; provided, however, that if a request for review of such determination and penalty, or both, is filed as hereinafter provided, the penalty shall be stayed pending outcome of that review.

Review

Sec. 8(a). Either the Complainant or the Respondent may request a review of the decision by petitioning the Board of Governors for such review within 15 days after service of notice of a decision made pursuant to Section 7 of this Rule. Such petition shall be in writing and shall specify the findings and conclusions to which exceptions are taken together with reasons for such exceptions. Any objections to a decision not specified by written exception shall be considered to have been abandoned.

Sec. 8(b). The Board of Governors may appoint one or more Appeals Committees to conduct reviews of disciplinary proceedings. The Board of Governors may delegate authority to the Executive Committee to make such appointments or may decide to conduct review proceedings on its own. An Appeals Committee may be composed of persons who are members of the Board of Governors, or of persons who are not members of the Board of Governors, or partly of persons who are members of the Board of Governors and partly of persons who are not members

of the Board of Governors. The Executive Committee shall determine the size of any Appeals Committee appointed by it, and an Appeals Committee may be composed of only one member. The body conducting the review, whether the Board of Governors itself or an Appeals Committee, is referred to herein as "the Review Board". Unless the Review Board shall decide to open the record for the introduction of new evidence or to hear argument, such review shall be based solely upon the record and the written exceptions filed by the parties. Based upon such review, the Review Board may affirm, reverse or modify in whole or in part, the decision of the Panel. Such modification may include an increase or decrease of the sanction. The decision of the Review Board shall be in writing and, except as set forth in Section 8(d) below, shall be final.

Each Review Board member shall be required to disclose to the Board of Governors any circumstances which might preclude such Review Board member from rendering an objective and impartial determination. Prior to the commencement of the first hearing session, the Board of Governors may remove a Review Board member who discloses such information. The Board of Governors shall also inform the parties of any information disclosed pursuant to this section, if the Review Board member who disclosed the information is not removed.

In the event that any Review Board member, after the commencement of the Review, but prior to the rendition of the decision should become disqualified, resign, die, refuse or be unable to perform or discharge his duties, the Board of Governors, upon such proof as they deem satisfactory, shall either (a) appoint a new member to the Review Board to replace such member; or (b) direct that the Review proceed without the substitution of a new member.

Sec. 8(c). Notwithstanding anything else contained in this Rule, the Board of Governors may, on its own initiative, order review of a decision made pursuant to Section 5 or 7 of this Rule within 30 days after notice of the decision has been served on the Respondent. If the Board of Governors does not order review of a decision made pursuant to Section 5 or 7 of this Rule within the period specified in this paragraph, the decision shall become final. Such review shall be conducted in accordance with the procedure set forth in Section 8(b) of this Rule.

Sec. 8(d). The Board of Governors may, on its own initiative order review of a decision made by an Appeals Committee pursuant to Section 8(b) of this Rule within 30 days after notice of the decision has been served on the Respondent. If such review is held, it shall be conducted in accordance with the procedure set forth in Section 8(b) of this Rule as if the Board of Governors had conducted the initial review, except that the record shall include the decision of the Appeals Committee and any exceptions filed by the parties to such decision. If the Board of Governors does not order review of a decision of an Appeals Committee within the period specified in this paragraph, the decision of the Appeals Committee shall become final. Nothing contained in this Rule affects any right which a Respondent may have to seek review of an Exchange decision by the Securities and Exchange Commission.

Judgment and Penalty

Sec. 9(a). A member, member organization or a person associated with such member or member organization shall be subject to appropriate discipline by the Exchange for violations under this Rule including expulsion, suspension, limitation of activities, functions and operations, suspension or bar from association with a member, or member organization, fine, censure, or any other fitting sanction.

Sec. 9(b). Penalties imposed under this Rule shall not become effective until the Exchange review process is completed or the decision otherwise becomes final.

Sec. 9(c). Notwithstanding anything contained in this Rule to the contrary, the Exchange may impose such conditions and/or restrictions on the activities of the Respondent as the Exchange considers reasonably necessary for the protection of investors and of the Exchange.

Miscellaneous Provisions

Sec. 10(a). Any charges, notices or other documents may be served upon the Respondent either personally or by leaving the same at his place of business or by deposit in the United States Post Office, postage prepaid via registered or certified mail addressed to the Respondent at his address as it appears on the books and records of the Exchange.

Sec. 10(b). Any time limits imposed under this Rule for the submission of answers, petitions or other materials may be extended only by prior written approval of the Exchange.

Commentaries:

.01 The Board of Governors may designate any Standing or Special Committee of the Exchange as the Hearing Committee in any given proceeding or type of proceeding.

.02 The procedures set forth in Sections 4 and 8(a)–8(d) of this Rule shall not apply in cases where Floor Citations are issued for violations of Rules, policies or procedures adopted by the Exchange and the fine or fines imposed are five hundred dollars ($500.00) or less. (Reference is made to Rule VI, Section 39 and 84 and to Equity Floor Procedure Advice 1–A for review procedures which apply to Floor Citations.)

The basis and purpose of the foregoing proposed rule change is as follows:

The purpose of the adoption of Rule XX is to provide for a uniform and cohesive rule which applies to all disciplinary proceedings at the PSE applicable to members, member organizations, allied members and other associated persons.

The proposed rule will enable the PSE to carry out its responsibilities as a national securities exchange more effectively by providing a written procedure whereby the PSE can enforce compliance by its members and persons associated with its members, with the Securities Exchange Act of 1934, the rules and regulations thereunder, and the rules, policies, and procedures of the PSE. This rule has been adopted to further promote just and equitable principles of trade. The proposed rule relates, specifically, to Sections 6(b)(1) and 6(b)(5) of the Securities Exchange Act of 1934.

Comments have neither been solicited nor received from members, participants or others on the proposed rule change.

The proposed rule change imposes no burden on competition.

On or before April 25, 1980, or within such longer period (i) as the Commission may designate up to 90 days of such date if it finds such longer period to be appropriate and publishes its reasons for so finding or (ii) as to which the above-mentioned self-regulatory organization consents, the Commission will:

(A) By order approve such proposed rule change, or

(B) Institute proceedings to determine whether the proposed rule change should be disapproved.

Interested persons are invited to submit written data, views and arguments concerning the foregoing. Persons desiring to make written submissions should file six copies thereof with the Secretary of the Commission, Securities & Exchange Commission, Washington, D.C. 20549. Copies of the filing with respect to the foregoing and of all written submissions will be available for inspection and copying in the Public Reference Room,

1100 L Street, N.W., Washington, D.C. Copies of such filing will also be available for inspection and copying at the principal office of the above-mentioned self-regulatory organization. All submissions should refer to the file number referenced in the caption above and should be submitted on or before April 11, 1980.

For the Commission by the Division of Market Regulation, pursuant to delegated authority.

George A. Fitzsimmons,

Secretary.

March 14, 1980.

[FR Doc. 80-8654 Filed 3-20-80; 8:45 am]

BILLING CODE 8010-01-M

SMALL BUSINESS ADMINISTRATION

[Proposed License No. 07/07-0083]

A. G. Capital Corp.; Application for a License to Operate as a Small Business Investment Company

Notice is hereby given that an application has been filed with the Small Business Administration pursuant to Section 107.102 of the Regulations governing small business investment companies (13 C.F.R. Section 107.102 (1979)), under the name of A. G. Capital Corporation, 701 S.E. Shurfine Drive, Ankeny, Iowa 50021, for a license to operate as a small business investment company (SBIC) under the provisions of the Small Business Investment Act of 1958, as amended (the Act), (15 U.S.C. 661 et seq.), and the Rules and Regulations promulgated thereunder.

The proposed officers, directors and sole shareholder of the Applicant are as follows:

Robert W. Metge, president, director, 4005 Pommel Place, West Des Moines, Iowa 50265

Herbert J. Schares, vice president, director, 1155 8th Street, Jesup, Iowa 50648

Keith E. Jarman, secretary, treasurer, director, 718 N.W. Greenwood, Ankeny, Iowa 50021

Associated Grocers of Iowa Cooperative, Inc., sole shareholder.

There will be one class stock authorized: one hundred thousand shares of common stock. Initially only 50,500 shares will be issued with a resultant private capital of $505,000. Applicant proposes to conduct its operations principally in the State of Iowa.

Applicant intends to provide financing mostly to independent retail grocery stores in Iowa, southern Minnesota, and northern Missouri.

Matters involved in SBA's consideration of the application include the general business reputation and character of shareholders and management, and the probability of successful operation of the new company in accordance with the Act and Regulations.

Notice is further given that any person may, not later than (fifteen days from the date of publication of this notice), submit to SBA, in writing, comments on the proposed licensing of this company. Any such communications should be addressed to: Associate Administrator for Finance and Investment, Small Business Administration, 1441 "L" Street, NW., Washington, D.C. 20416.

A copy of this notice shall be published by the Applicant in a newspaper of general circulation in Ankeny, Iowa.

(Catalog of Federal Domestic Assistance Program No. 59.011, Small Business Investment Companies)

Dated: March 14, 1980.

Peter F. McNeish,

Deputy Associate Administrator for Finance and Investment.

[FR Doc. 80-8768 Filed 3-20-80; 8:45 am]

BILLING CODE 8025-01-M

[Declaration of Disaster Loan Area No. 1801; Amendment No. 1]

Arizona; Declaration of Disaster Loan Area

The above-numbered Declaration (See 45 F.R. 13243) is amended in accordance with the President's declaration of February 19, 1980, to include Mohave County and Fort Apache Indian Reservation and San Carlos Apache Indian Reservation in the State of Arizona. The Small Business Administration will accept applications for disaster relief loans from disaster victims in the above named county and the above named Indian Reservations within the State of Arizona. All other information remains the same, i.e., the termination dates for filing applications for physical damage is close of business on April 21, 1980, and for economic injury until the close of business on November 19, 1980.

(Catalog of Federal Domestic Assistance Program Nos. 59002 and 59008.)

Dated: March 17, 1980.

A. Vernon Weaver,

Administrator.

[FR Doc. 80-8770 Filed 3-20-80; 8:45 am]

BILLING CODE 8025-01-M

[License No. 02/02-5363]

Capital Investors & Management Corp.; Issuance of a License To Operate as a Small Business Investment Company

On October 12, 1979, a notice was published in the Federal Register (44 FR 59032), stating that Capital Investors & Management Corporation, located at 3 Pell Street, Suite 2, New York, New York 10013, has filed an application with the Small Business Administration pursuant to 13 CFR 107.102 (1979), for a license to operate as a small business investment company under the provisions of Section 301(d) of the Small Business Investment Act of 1958, as amended.

The period for comment expired on October 27, 1979, and no significant comments were received.

Notice is hereby given that having considered the application and other pertinent information, SBA has issued License No. 02/02-5363 to Capital Investors & Management Corporation.

(Catalog of Federal Domestic Assistance Program No. 59.011, Small Business Investment Companies).

Dated: March 14, 1980

Peter F. McNeish,

Deputy Associate Administrator for Finance and Investment.

[FR Doc. 80-8726 Filed 3-20-80; 8:45 am]

BILLING CODE 8025-01-M

[Proposed License No. 06/06-5232]

Diversified Investments, Inc.; Application for a License To Operate as a Section 301(d) Licensee

Notice is hereby given that an application has been filed with the Small Business Administration pursuant to § 107.102 of the Regulations governing Section 301(d) Licensees (13 CFR 107.102(1979)), under the name of Diversified Investments, Inc., Suite 104, 1804 Staring Lane, Baton Rouge, Louisiana 70810, for a license to operate as a Section 301(d) Licensee under the provisions of the Small Business Investment Act of 1958, as amended (the Act), (15 U.S.C. 661 et seq.), and the Rules and Regulations promulgated thereunder.

The proposed officers, directors and shareholders of the Applicant are as follows:

Willie Charles Edwards, D.C., president, director (10 percent), 1801 Staring Lane, Baton Rouge, Louisiana 70810.

Carl Ronald Stewart, vice president, director (3 percent), 12571 Sherbrook Avenue, Baton Rouge, Louisiana 70815.

Ralph Delano Beal, secretary, director (1.5 percent), 3367 Charlotte Drive, Baton Rouge, Louisiana 70814.

Zach Henry Rougon, Jr., treasurer, director (20 percent), Box 205, Lakeland, Louisiana 70752.
Charles R. Bernhard, asst. secretary (20 percent shareholder), 890 Colonial Drive, Baton Rouge, Louisiana 70806.
Frances Myrle Arnold, asst. treasurer (1 percent shareholder), 2215 Hoyt Drive, Baton Rouge, Louisiana 70816.
Jacqueline Patterson Grogan, director (9.5 percent shareholder), 2080 N. Lobdell Avenue, Baton Rouge, Louisiana 70806.
Diversifed International, Inc. (30 percent shareholder), 1801 Staring Lane, Baton Rouge, Louisiana 70810.
David Charles Nicklas, manager, 14236 Woodland Ridge Avenue, Baton Rouge, Louisiana 70816.

Diversified International, Inc. is owned 50 percent each by Dr. W. C. Edwards and Mr. George Grogan, Stock Trustee, P.O. Box 2165, Houma, Louisiana 70360.

There will be two classes of stock authorized: one hundred thousand shares of no par common and a similar number of no par preferred. The preferred will be non-voting stock. Initially 80,000 shares of the common stock will be issued with a resultant private capital of $800,000. Applicant proposes to conduct its operations principally in the State of Louisiana.

As a Section 301(d) Licensee the investment policy of the Applicant will be limited to making investments solely in small concerns which will contribute to a well balanced national economy by facilitating ownership in such concerns by persons whose participation in the free enterprise system is hampered because of social or economic disadvantage.

Matters involved in SBA's consideration of the application include the general business reputation and character of shareholders and management, and the probability of successful operation of the new company in accordance with the Act and Regulations.

Notice is further given that any person may, not later than (fifteen days from the date of publication of this notice), submit to SBA; in writing, comments on the proposed licensing of this company. Any such communications should be addressed to: Associate Administrator for Finance and Investment, Small Business Administration, 1441 "L" Street, N.W., Washington, D.C. 20416.

A copy of this notice shall be published by the Applicant in a newspaper of general circulation in Baton Rouge, Louisiana.

(Catalog of Federal Domestic Assistance Program No. 59.011, Small Business Investment Companies)

Dated: March 14, 1980.

Peter F. McNeish,

Deputy Associate Administrator for Finance and Investment.

[FR Doc. 80-8769 Filed 3-20-80; 8:45 am]

BILLING CODE 8025-01-M

[Declaration of Disaster Loan Area No. 1806]

Pennsylvania; Declaration of Disaster Loan Area

The area of 4th and Pine Street in the City of Williamsport, Lycoming County, Pennsylvania, constitutes a disaster area because of damage resulting from a fire which occurred on January 12, 1980. Eligible persons firms and organizations may file applications for loans for physical damage until the close of business on May 16, 1980, and for economic injury until the close of business on December 17, 1980, at: Small Business Administration, District Office, East Lobby—Suite 400, One Bala Cynwyd, Plaza, 231 St. Asaphs Road, Bala Cynwyd, Pennsylvania 19004 or other locally announced locations.

(Catalog of Federal Domestic Assistance Program Nos. 59008.)

Dated: March 17, 1980.

A. Vernon Weaver,

Administration.

[FR Doc. 80-8771 Filed 3-20-80; 8:45 am]

BILLING CODE 8025-01-M

[Declaration of Disaster Loan Area No. 1808]

Washington; Declaration of Disaster Loan Area

Clark County and adjacent counties within the State of Washington constitute a disaster area as a result of damage caused by heavy snowfall, blizzard conditions and followed by heavy rainfall which occurred on January 11–12, 1980. Eligible persons, firms and organizations may file applications for loans for physical damage until the close of business on May 16, 1980, and for economic injury until the close of business on December 17, 1980, at: Small Business Administration, District Office, Federal Building—Room 676, 1220 S.W. Third Avenue, Portland, Oregon 97204 or other locally announced locations.

(Catalog of Federal Domestic Assistance Program Nos. 59002 and 59008.)

Dated: March 17, 1980.

A. Vernon Weaver,

Administrator.

[FR Doc. 80-8772 Filed 3-20-80; 8:45 am]

BILLING CODE 8025-01-M

DEPARTMENT OF THE TREASURY

Bureau of Alcohol, Tobacco and Firearms

[Notice No. 80-2; Reference: ATF O 1100.122]

Delegation Order—Delegation by the Director of Certain Authorities Relating to the Right to Financial Privacy Act of 1978

Delegation Order

1. *Purpose.* This order delegates certain authorities now vested in the Director by Treasury Department Directive TD 90–22.A to Regulatory Enforcement and administrative personnel.

2. *Scope.* This directive applies to the Office of Regulatory Enforcement and the Office of Administration.

3. *Background.* The Right to Financial Privacy Act of 1978, 12 U.S.C. 3401–3422, Title XI of the Financial Institutions Regulatory and Interest Rate Control Act of 1978, Public Law No. 95–630, 92 Stat. 3641, imposes new requirements on Government access to financial information from financial institutions and other Government agencies. At present, all functions under the Act are being performed by the Director. This order allows certain functions to be performed by those officials responsible for related action.

4. *Delegations.* Under the authority vested in the Director by Treasury Department Directive TD 90–22.A, dated March 28, 1979, the authority to take final action on the following matters is delegated to:

a. *Regional Regulatory Administrators.* The following acts should be carried out with the advice of regional counsel.

(1) To apply to the appropriate U.S. attorney who may petition in the United States district court for delay in notice to the customer and to issue post-notice to the customer that there has been a court-ordered delay under 12 U.S.C. 3409.

(2) To certify that delay in obtaining access to customer financial records as required by the special procedures provision in 12 U.S.C. 3414(b) would create imminent danger of (a) physical injury to any person, (b) serious property damage, or (c) flight to avoid prosecution, so that governmental access to customer account records may lawfully be provided by the financial institution.

(3) To issue administrative summonses, otherwise within the regional regulatory administrator's authority, and proper notice to the customer under 12 U.S.C. 3405.

(4) To issue formal written requests and proper notice to the customer under 12 U.S.C. 3408 and 31 CFR Part 14.

(5) To issue notice to the customer regarding judicial subpoenas as required by 12 U.S.C. 3407.

(6) To transfer customer record information to another agency or department and to issue proper notice to the customer concerning such matters under 12 U.S.C. 3412.

(7) To request account information under 12 U.S.C. 3413(g).

(8) To issue notice to the customer that no legal proceeding is contemplated as required in 12 U.S.C. 3410(d).

(9) To certify in writing to a financial institution that all applicable provisions of the Right to Financial Privacy Act of 1978 have been complied with under 12 U.S.C. 3403(b).

b. *Inspectors (Regulatory Enforcement).* To obtain customer authorizations given under 12 U.S.C. 3404(a) and to certify in writing under 12 U.S.C. 3403(b) that all applicable provisions of the Right to Financial Privacy Act of 1978 have been complied with regarding customer-authorized disclosures.

c. *Chief, Paperwork Management Branch.* To gather and submit to the Office of the Secretary, for inclusion in the annual report to Congress required by 12 U.S.C. 3421(b), information concerning the number of requests for records made during the preceding calendar year according to type, and other related information pertinent to the implementation of the Right to Financial Privacy Act of 1978.

5. *Redelegation.*

a. The authorities in paragraphs 4a(1), 4a(2), 4a(3), 4b, and 4c may not be redelegated.

b. The authorities in paragraphs 4a(4), 4a(5), 4a(6), 4a(7), 4a(8) and 4a(9) may be redelegated but not lower than the position of chief, technical services, or chief, field operations.

6. *Information.* Contact the Procedures Branch, 1200 Pennsylvania Avenue, NW., Washington, DC 20226, 202–566–7602.

7. *Effective date.* This order becomes effective on March 21, 1980.

8. *Approval.* March 4, 1980.

G. R. Dickerson,

Director.

[FR Doc. 80-8745 Filed 3-20-80; 8:45 am]

BILLING CODE 4810-31-M

OFFICE OF THE U.S. TRADE REPRESENTATIVE

Trade Policy Staff Committee

International Copper Market Stabilization Issues; Hearing

Date: April 11, 1980.
Place: Room 730, 1800 G Street N.W., Washington, D.C. 20506.
Time: 9:30 am–12:00 noon; 1:30 pm–4:30 pm.
Contact: Hiram Lawrence—(202) 395–7203.
Subject: The effect of Copper Price Stabilization Measures on U.S. Consumers and Industry.

Since 1976, the United States has participated in a series of international discussions on a price stabilization arrangement for copper conducted at Geneva, Switzerland, under the auspices of the United Nations. Proposals have been made by some national delegations that a conference be convened to negotiate an international agreement which would seek to stabilize the price of copper between agreed floor and ceiling prices. Some delegations present at the discussions have advocated price stabilization by means of a buffer stock alone, while others favor a buffer stock in conjunction with controls on production or exports. Other delegations have indicated that they do not believe a copper price stabilization agreement is desirable.

In order to assist in formulating the position of the United States Government on these issues, the Trade Policy Staff Committee will hold a hearing to obtain views and comments from the U.S. public, particularly consumers and industry, on the desirability and feasibility of international price stabilization measures for copper.

Any member of the public may file a written statement in 20 copies with the Office of the United States Trade Representative in accordance with Chapter 20, section 2003.2 of Title 15 of the Code of Federal Regulations. To the extent that time permits, the Hearing Chairman will allow public presentation of oral statements at the hearing, summarizing the points made in the written submission mentioned above. Those wishing to present an oral summary of their statement should submit a written request along with their statement no later than April 4, 1980, to Carolyn Frank, Secretary, Trade Policy Staff Committee, Room 735, 1800 G Street, N.W., Washington, D.C. 20506.

Ann Hughes,

Acting Chairman, Trade Policy Staff Committee.

[FR Doc. 80-8749 Filed 3-20-80; 9:45 am]

BILLING CODE 3190-01-M

Determination Regarding the Application of Certain International Agreements

This notice modifies the determination published in the Federal Register of January 4, 1980 (45 FR 1181)* to reflect additional signatories to the international agreements negotiated in the Tokyo Round of Multilateral Trade Negotiations.

Paragraph 3 of Presidential Determination Regarding the Acceptance and Application of Certain International Trade Agreements, signed December 14, 1979 (44 FR 74784), delegates the functions of the President under section 2(b) of the Trade Agreements Act of 1979 ("the Act") and 701(b) of the Tariff Act of 1930, as amended by section 101 of the Act, to the United States Trade Representative (so designated by section 1–105 of Executive Order 12188) who shall exercise such authority with the advice of the Trade Policy Committee.

Now, therefore, I, Reubin O'D. Askew, United States Trade Representative, in conformity with the provisions of section 2 of the Act (93 Stat. 147), section 701 of the Tariff Act of 1930, as amended effecive January 1, 1980 (93 Stat. 151), and paragraph 3 of Presidential Determination Regarding the Acceptance and Application of Certain International Trade Agreements (44 FR 74781), do hereby determine, effective on March 17, 1980, that:

1. a. With respect to the Agreement on Interpretation and Application of Article VI, XVI and XXIII of the General Agreement on Tariffs and Trade (the "Subsidies Code"), the following countries have also accepted the obligations of the Agreement with respect to the United States and should not otherwise be denied the benefits of the Agreement:

Uruguay
Norway

In accordance with section 701(b)(1) of the Tariff Act of 1930, as amended effective January 1, 1970 (93 Stat. 151), each of these countries will be considered a "country under the Agreement".

With regard to Norway, this determination supercedes the determination made with respect to Norway in paragraph 1(b) of the determination published in the Federal Register of January 4, 1980 (45 FR 1182).

b. In accordance with section 701(b)(2) of the tariff Act of 1930, as amended

*A complete list of signatories to the agreements negotiated in the Multilateral Trade Negotiations may be obtained from the Public Affairs Office, United States Trade Representative, 1800 G Street, NW., Washington, D.C. 20506.

effective January 1, 1980 (93 Stat. 151), Pakistan has assumed obligations with respect to the United States which are substantially equivalent to obligations under the Agreement and will be considered a "country under the Agreement" in accordance with the provisions of that section.

2. With respect to the Agreement on Implementation of Article VI of the General Agreement on Tariffs and Trade, Brazil was previously determined to have accepted the Agreement with respect to the United States (45 FR 1181). However, Brazil's acceptance provided that it would be effective only after certain conditions were fulfilled. Because those conditions have not yet been fulfilled, the determination that Brazil has accepted the Agreement is hereby revoked.

3. With respect to the Agreement on technical Barriers to Trade, the following countries have also accepted the Agreement with respect to the United States and should not otherwise be denied the benefits of the Agreement:

Denmark, except with respect to the Faroe Islands
Norway

4. With respect to the Agreement on import Licensing Procedures, the following countries have also accepted the Agreement with respect to the United States and should not otherwise be denied the benefits of the Agreement:

Hungary
Norway
South Africa

5. With respect to the International Dairy Arrangement, the following countries have also accepted the Agreement and should not otherwise be denied the benefits of the Agreement:

Australia
Norway

6. With respect to the Arrangement Regarding Bovine Meat, the following countries also have accepted the Agreement and should not otherwise be denied the benefits of the Agreement:

Australia
Brazil
Norway

Reubin O'D. Askew,

United States Trade Representative.

March 17, 1980.

[FR Doc. 80-8886 Filed 3-20-80; 8:45 am]

BILLING CODE 3190-01-M

Public Hearings on Synthetic Fiber Quotas

On February 19, 1980 the European Communities gave notice that it was imposing quotas on imports of polyester filament yarn and polyamid (nylon) carpet yarns into the United Kingdom. Quotas of 9,053 tons on polyester filament yarn and 7,500 tons on polyamid carpet yarn were set to apply to imports from certain third countries during 1980. Exports from the United States into the United Kingdom that have traditionally accounted for a significant portion of such imports, will be subject to those quotas.

The United States has begun consultations with the European Communities as provided for under the General Agreement on Tariffs and Trade (GATT). These consultations are aimed at resolving differences and restoring the balance of concessions at the highest possible level. The United States requested that the European Communities reduce import duties on certain products of interest to the United States in order to maintain the balance of trade concessions. These consultations continue. If an appropriate resolution of the issues involved in the consultations is not forthcoming, the United States is authorized under the GATT to suspend, with respect to United Kingdom or European Community trade, substantially equivalent concessions or other obligatons under the GATT the suspension of which the Contracting Parties to the GATT do not disapprove.

Under Section 125 of the Trade Act of 1974 (19 U.S.C. 2135) the President is authorized whenever any foreign country or instrumentality withdraws, suspends, or modifies the application of trade agreement obligations of benefit to the United States without granting adequate compensation, to withdraw, suspend or modify the application of substantially equivalent trade agreement obligations of benefit to such foreign country or instrumentality and proclaim such increased duties or import restrictions as are appropriate to effect adequate compensation from such foreign country or instrumentality.

Before taking any such action to restore the balance of obligations the President is required to provide for public hearings at which time interested persons will be given a reasonable opportunity to be present, to produce evidence and to be heard, unless he determines that prior hearings will be contrary to the national interest because of the need for expeditious action.

The United States Trade Representative will conduct public hearings in satisfaction of Section 125 of the Trade Act of 1974 beginning at 10:00 a.m. on Thursday, April 3, 1980 in room 730, 1800 G Street, N.W., Washington, D.C. Actions which are under consideration to restore the balance of concessions and obligations should adequate compensation not be forthcoming and should such withdrawal be determined to be appropriate and necessary will be available from Mr. Peter Murphy, Office of the USTR, Room 712, 1800 G Street, N.W., Washington, D.C. 20506.

Interested persons are invited to submit views on the actions under consideration and other possible actions, bearing in mind that to the maximum extent feasible it is desired to consider actions that would restore the balance of concessions between the United Kingdom/European Communities and the United States within the sector affected by the subject quotas.

The heaings will be conducted in accordance with regulations codified at 15 CFR 2003.2–2003.6. Written briefs in 20 copies must be submitted no later than 12:00 noon, Tuesday, April 1, 1980 to: Carolyn Frank, Office of the United States Trade Representative, Room 735, 1800 G Street, N.W., Washington, D.C. 20506. Written requests to present optional oral testimony at the hearings must be submitted by or before the close of business Friday, March 28, 1980. Oral testimony will be limited to a summary of the written submission.

John E. Ray,

Assistant United States Trade Representative.

[FR Doc. 80-8885 Filed 3-20-80; 8:45 am]

BILLING CODE 3190-01-M

VETERANS ADMINISTRATION

National Memorial Cemetery of the Pacific; Availability of Revised Draft Environmental Impact Statement

Notice is hereby given that a document entitled "Revised Draft Environmental Impact Statement for the National Memorial Cemetery of the Pacific, Honolulu, Hawaii," dated March 1980, has been prepared as required by the National Environmental Policy Act of 1969.

The preferred course of action is to develop the existing National Cemetery as conceptually delineated in a revised master plan study. Elements of this revised conceptual master plan include: an administrative/visitor center; garden crypt/columbarium facilities; expanded service facility; and site improvements at the Punchbowl Overlook. There are several additional minor projects, but the above listing is the most significant.

The Veterans Administration is issuing this revised draft Environmental Impact Statement in response to comments received on the original draft EIS dated July 1979. As a result of

serious public concern about the parking facility, this element of the master plan was deleted. The revised master plan also eliminates the mini-bus/train element.

The revised Draft Statement discussed the potential environmental impact of the revised master plan for the existing National Cemetery as identified above and the impact of the alternatives to this action. The document is being placed for public examination in the Veterans Administration office in Washington, D.C. Persons wishing to examine this report or to request a copy can do so at the following office: Mr. Willard Sitler, P.E., Director, Office of Environmental Affairs (004A), Room 1018, Veterans Administration, 810 Vermont Avenue, NW., Washington, D.C. 20420.

Dated: March 14, 1980.

By direction of the Administrator.

Maury S. Cralle, Jr.,

Assistant Deputy Administrator for Financial Management and Construction.

[FR Doc. 80-8633 Filed 3-20-80; 8:45 am]

BILLING CODE 8320-01-M

Veterans Administration Medical Center, Bath, N.Y., 208-Bed Domiciliary; Proposed Action

The Veterans Administration proposed to construct a 208-bed domiciliary at the Veterans Administration Medical Center (VAMC), Bath, New York. The domiciliary will consist of two, two-story bedroom units and a one-story support unit with a total net square footage of 93,362.

The VA has determined that this project is a critical action as defined by E.O. 11988. As such, it should be outside the 500 year floodplain. However, the 500 year floodplain has not been established at the project location. The VA has consulted with the Corps of Engineers, Baltimore District and the Federal Emergency Management Agency, Regional Director for Federal Insurance and Hazard Mitigation in defining the known limits of the 500 year floodplain and determining the probable flood hazard and impact of the proposed project on the floodplain. Available information indicates that there is a possibility that the project site is near the limit of the 500 year floodplain. However, if the project does fall within the floodplain, it is estimated that it will not adversely affect the floodplain. As part of the floodplain management program of the facility, consideration has been given to protection of life and property during major floods. The potential for flooding will be considered in the design of the building. The proposed project is construction of the new domiciliary between the existing domiciliary (building 26) which is to be demolished, and Gettysburg Avenue. It is planned to have a first floor elevation of 1127 feet above mean sea level. Functionally, the building must be connected to the adjacent Recreation and Theater building no. 92 and the other existing domiciliary building no. 34. Since other locations are functionally impractical, it was decided to site the building in the proposed location and consider flood hazard mitigation in the design.

In view of the above mentioned planning actions and the remote location of the project from the river channel (400 + feet), it is the conclusion of the VA that there will be no significant increase in the elevation of flood waters due to this project.

The VA is soliciting comments from state and local levels. The comment period will be open until April 21, 1980. This Notice of Proposed Action is in compliance with the announcement requirements of Executive Order 11988, Floodplain Management Guidelines (February 1978).

Comments on this action should be addressed to:

Mr. William A. Salmond, Acting Assistant Administrator for Construction (08), Veterans Administration, 810 Vermont Avenue, N.W., Washington, D.C. 20420.

Dated: March 11, 1980.

By direction of the Administrator.

Maury S. Cralle, Jr.,

Assistant Deputy Administrator for Financial Management and Construction.

[FR Doc. 80-8634 Filed 3-20-80; 8:45 am]

BILLING CODE 8320-01-M

Medical Research Service Merit Review Boards; Meetings

The Veterans Administration gives notice pursuant to Public Law 92-463 of meetings of the following Merit Review Boards:

Merit reveiw board	Date	Time	Location
Neurobiology	Apr. 3, 1980	7 p.m. to 11 p.m	Presidential Room, Holiday Inn. [1]
Do	Apr. 4, 1980	8 a.m. to 5 p.m	Do.
Alcoholism and drug dependence	Apr. 8, 1980	do	Room A-53, VA Central Office. [2]
Behavioral sciences	Apr. 9, 1980	do	Do.
Do	Apr. 10, 1980	do	Do.
Nephrology	Apr. 20, 1980	8:30 a.m. to 5 p.m	International Hotel, New Orleans. [3]
Surgery	Apr. 22, 1980	8 a.m. to 5 p.m	Room A-53, VA Central Office.
Basic sciences	Apr. 24, 1980	7 p.m. to 11 p.m	Caucus Room, Holiday Inn.
Do	Apr. 25, 1980	8 a.m. to 5 p.m	Do.
Do	Apr. 26, 1980	do	Do.
Immunology	Apr. 30, 1980	7 p.m. to 11 p.m	Presidential Room, Holiday Inn.
Do	May 1, 1980	8 a.m. to 5 p.m	Do.
Oncology	May 2, 1980	do	Room A-53, VA Central Office.
Gastroenterology	May 5, 1980	do	Do.
Endocrinology	May 8, 1980	do	Do.
Hermatology	May 9, 1980	do	Do.
Infectious diseases	May 10, 1980	12 noon to 7 p.m	Room E-130, Shoreham Hotel. [4]
Cardiovascular studies	May 13, 1980	8 a.m. to 5 p.m	Room A-35, VA Central Office.
Respiration	do	do	Room A-53, VA Central Office.

[1] Holiday Inn, Rhode Island Avenue NW, Washington, DC 20005.
[2] VA Central Office, 810 Vermont Avenue NW, Washington, DC 20420.
[3] International Hotel, 300 Canal Street, New Orleans, LA 70140.
[4] Shoreham Hotel, 2500 Calvert Street NW, Washington, DC 20008.

These meetings will be for the purpose of evaluating scientific merit of research conducted in each specialty by Veterans Administration investigators working in Veterans Administration hospitals and clinics.

The meetings will be open to the public up to the seating capacity of the rooms at the start of each meeting to discuss the general status of the program. All of the Merit Review Board meetings will be closed to the public after approximately one-half hour from the start, for the review, discussion and evaluation of intitial, and renewal research projects.

The closed portion of the meetings involve: discussion, examination, reference to, and oral review of site visits, staff and consultant critiques of research protocols, and similar documents. During this portion of the meeting, discussion and recommendations will deal with qualifications of personnel conducting the studies, the disclosure of which would constitute a clearly unwarranted invasion of personal privacy, as well as research information, the premature disclosure of which would be likely to significantly frustrate implementation of proposed agency action regarding such research projects. Closure of these meetings is in accordance with subsection 10(d) of Public Law 92-463, as amended by Public Law 94-409, and

subsections 552b(c)(6) and (9)(B) of title 5, United States Code.

Because of the limited seating capacity of the rooms, those who plan to attend should contact Mr. Howard M. Berman, Acting Chief, Program Development and Review Division, Medical Research Service, Veterans Administration, Washington, DC, (202–389–5065) at least five days prior to each meeting. Minutes of the meeting and rosters of the members of the Boards may be obtained from this source.

Dated: March 12, 1980.

By direction of the Administrator.

Rufus H. Wilson,

Deputy Administrator.

[FR Doc. 80–8725 Filed 3–20–80; 8:45 am]

BILLING CODE 8320–01–M

Sunshine Act Meetings

Federal Register
Vol. 45, No. 57
Friday, March 21, 1980

This section of the FEDERAL REGISTER contains notices of meetings published under the "Government in the Sunshine Act" (Pub. L. 94-409) 5 U.S.C. 552b(e)(3).

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1

[M-274, Amdt. 1, Mar. 18, 1980]

CIVIL AERONAUTICS BOARD.

Deletion of items from the March 20, 1980, Meeting agenda.

TIME AND DATE: 1 p.m., March 20, 1980.

PLACE: Room 1027, 1825 Connecticut Avenue NW., Washington, D.C. 20428.

SUBJECT:

13. Docket 33789, Petition of Air Florida for reconsideration of Order 79-11-103. (BDA)

14. Docket 36357, Altair Airlines, Inc.; Docket 37424, Air New England, Inc.; Docket 37380, Big Sky Airlines; Docket 37401, New Haven Airways, Inc.; Docket 37618, Imperial Airlines; Docket 37667, Mid-South Aviation, Inc.; Docket 37287, Sky West Aviation, Inc.; Altair requests reconsideration of its exemption from Part 250 granted in Order 79-12-161. The other carriers request similar exemption from Part 250. (BDA)

STATUS: Open.

PERSON TO CONTACT: Phyllis T. Kaylor, the Secretary, (202) 673-5068.

SUPPLEMENTARY INFORMATION: Items 13 and 14 are being deleted because additional time is needed for staff preparation and for coordination with other Bureaus. Accordingly, the following Members have voted that Items 13 and 14 be deleted from the March 20, 1980 agenda and that no earlier announcement of these deletions was possible:

Chairman, Marvin S. Cohen
Member, Elizabeth E. Bailey
Member, Gloria Schaffer

[S-581-80 Filed 3-19-80; 2:52 pm]

BILLING CODE 6320-01-M

2

[M-274; Amdt. 2, Apr. 18, 1980]

CIVIL AERONAUTICS BOARD.

Closure and addition of item to the March 20, 1980, meeting agenda.

TIME AND DATE: March 20, 1980.

PLACE: Room 1027 Open), Room 1012 (Closed), 1825 Connecticut Avenue, NW., Washington, D.C. 20428.

SUBJECT: 25. Consultations with Ireland scheduled to begin March 25, 1980 in Washington.

STATUS: Open (items 1-24), Closed (item 25).

PERSON TO CONTACT: Phyllis T. Kaylor, the Secretary, (202) 673-5068.

SUPPLEMENTARY INFORMATION: There are upcoming consultations with Ireland and in order to formulate a coordinated U.S. Government position, the Board Members have voted that agency business requires that the Board meet on this item on less than seven days' notice because staff work was not completed at this time and that no earlier announcement of the meeting ws possible:

Chairman, Marvin S. Cohen
Member, Elizabeth E. Bailey
Member, Gloria Schaffer

This memo concerns strategy and positions that have been or may be taken by the United States in negotiations with Ireland. Public disclosures, particularly to foreign governments, of opinions, evaluations, and strategies relating to the issues could seriously compromise the ability of the United States Delegation to achieve agreements which would be in the best interest of the United States. Accordingly, the following Members have voted that the meeting on this subject would involve matters the premature disclosure of which would be likely to significantly frustrate implementation of proposed agency action within the meaning of the exemption provided under 5 U.S.C. 552b(c)(9)(b) and 14 CFR Section 3106.5(i)(2) and that any meeting on this item should be closed

Chairman, Marvin S. Cohen
Member, Elizabeth E. Bailey
Member, Gloria Schaffer

Persons Expected To Attend

Board Members.—Chairman, Marvin S. Cohen; Member, Elizabeth E. Bailey; and Member, George A. Dalley.
Assistants to Board Members.—Mr. David Kirstein, Mr. Vance Fort, and Mr. Stephen H. Lachter.
Managing Director.—Mr. Cressworth Lander.
Executive Assistant to the Managing Director.—Mr. John R. Hancock.
Bureau of International Aviation.—Mr. Daniel M. Kasper, Mr. Douglas V. Leister, Mr. Joseph R. Chesen, Mr. Francis Murphy, Mr. Daniel Hedges, Mr. Herbert P. Aswall, and Mr. Keith Shangraw.
Office of the General Counsel.—Ms. Mary McInnis Schuman and Mr. Michael Schopf.
Bureau of Domestic Aviation.—Mr. Mark S. Kahan.
Office of Economic Analysis.—Mr. Robert H. Frank and Mr. David Sibley.
Bureau of Consumer Protection.—Mr. John T. Golden and Ms. Patricia J. Kennedy.

General Counsel Certification

I certify that this meeting may be closed to the public under 5 U.S.C. 552b(c)(9)(b) and 14 CFR Section 3106.5(i)(2) and that the meeting may be closed to public observation:

Mary McInnis Schuman,
General Counsel.

[S-582-80 Filed 3-19-80; 2:52 pm]

BILLING CODE 6320-01-M

3

[M-274; Amdt. 3, Mar. 18, 1980]

CIVIL AERONAUTICS BOARD.

Deletion of item from the March 20, 1980, meeting agenda.

TIME AND DATE: 1 p.m., March 20, 1980.

PLACE: Room 1027 (Open), Room 1012 (Closed), 1825 Connecticut Avenue NW., Washington, D.C. 20428.

SUBJECT: 18. Docket 23080-2 and 37294, Priority and Nonpriority Domestic Service Mail Rates Investigation. (BDA)

STATUS: Open (items 1-24). Closed (item 25).

PERSON TO CONTACT: Phyllis T. Kaylor, the Secretary, (202) 673-5068.

SUPPLEMENTARY INFORMATION: Item 18 is being deleted in order to provide the Board members adequate time to consider the issues involved in this matter. Accordingly, the following Members have voted that Item 18 be deleted from the March 20, 1980 agenda and that no earlier announcement of this deletion was possible:

Chairman, Marvin S. Cohen

Member, Elizabeth E. Bailey
Member, Gloria Schaffer

[S-583-80 Filed 3-19-80; 2:52 pm]

BILLING CODE 6320-01-M

4

[M-274; Amdt. 4, Mar. 18, 1980]

CIVIL AERONAUTICS BOARD.

Deletion of item from the March 20, 1980, meeting agenda.

TIME AND DATE: 1 p.m., March 20, 1980.

PLACE: Room 1027 (Open), Room 1012 (Closed), 1825 Connecticut Avenue NW., Washington, D.C. 20428.

SUBJECT: 22. Docket 36916, Application of Redcoat Air Cargo Limited for Foreign charter air carrier permit to carry cargo between the United States and the United Kingdom. (BIA, OGC, BALJ)

STATUS: Open (items 1-24). Closed (item 25).

PERSON TO CONTACT: Phyllis T. Kaylor, the Secretary, (202) 673-5068.

SUPPLEMENTARY INFORMATION: Item 22 is being deleted because of the necessity for additional review by the staff. Changes are required due to recent diplomatic negotiations with the United Kingdom. Accordingly, the following Members have voted that Item 22 be deleted from the March 20, 1980, agenda and that no earlier announcement of this deletion was possible:

Chairman Marvin S. Cohen,
Member, Elizabeth E. Bailey
Member, Gloria Schaffer

[S-584-80 Filed 3-19-80; 2:52 pm]

BILLING CODE 6320-01-M

5

[M-274; Amdt. 5, Mar. 19, 1980]

CIVIL AERONAUTICS BOARD.

Change of time* for the March 20, 1980, meeting.

TIME AND DATE: 12:30 p.m., March 20, 1980.

PLACE: Room 1027 (Open), Room 1012 (Closed), 1825 Connecticut Avenue, NW., Washington, D.C. 20428.

SUBJECT: See M-274 dated March 13, 1980.

STATUS: Open (items 1-24). Closed (item 25).

PERSON TO CONTACT: Phyllis T. Kaylor, the Secretary, (202) 673-5068.

SUPPLEMENTARY INFORMATION: *Closed Item (item 25) will be taken up first instead of last.

[S-585-80 Filed 3-19-80; 2:52 pm]

BILLING CODE 6320-01-M

6

[M-275; Mar. 18, 1980]

CIVIL AERONAUTICS BOARD.

TIME AND DATE: 9:30 a.m., March 25, 1980.

PLACE: Room 1027, 1825 Connecticut Avenue, N.W., Washington, D.C. 20428.

SUBJECT:

1. Ratification of Items adopted by notation.
2. Dockets 37336 and 37337, Applications of Texas International Airlines and Dockets 37455 and 37456, Continental Air Lines for certificate and exemption authority on certain San Antionio-Mexico routes. BIA, OGC, BALJ)
3. Dockets 36473 and 36175, Excess baggage charges filed by Qantas Airways, Ltd., for passenger baggage moving between San Francisco and Honolulu on international flights (BIA, BDA, OGC)
4. Docket 32660, IATA agreements readopting a piece-related baggage system for use between the U.S./Canada and South America and a weight related system for use in other world areas except to/from U.S. territories. (Memo #9546, BIA)
5. Docket 37288, Atitalia's petition for reconsideration of Order 79-12-208, December 18, 1979, suspending its Boston-Milan normal economy fare increases. (Memo #9360-B, BIA)
6. Transatlantic fare increases proposed by Aerlinte and British Airways. (BIA, BDA)
7. Docket 36632, Petition of Air Florida for Reconsideration of Order 79-12-117. (BIA, OGC)
8. Merger applications. (OGC)
9. Docket 31400, Colorado Ski Points Investigation. Order on review retaining Frontier Airlines' subsidy eligibility in the Denver-Gunnison and Denver-Hayden/Steamboat Springs markets. (OGC)
10. Docket 32747, *Application of Air North, Inc.* (OGC)
11. Docket EAS-631, Appeal of Essential Air Service Determination for Sheridan, Wyoming. (OGC, OCCR, BDA)
12. Dockets 35908 and 36204, Essential Air Service at Clearfield/Philipsburg, PA. (Memo #9105-A, BDA, OCCR, OGC, BCAA)
13. Docket EAS-436, Essential Air Service Determination at Presque Isle, Maine. (BDA)
14. Dockets 36930 and EAS 650—United Air Lines' notice of intent to suspend service in the Youngstown, Ohio—Pittsburgh, Pennsylvania market under section 401(j)(2) of the Act. (Memo #9310-A, BDA, OCCR)
15. Docket 37716, Scheduled Skyways' notice of intent to suspend service at Harrison, Arkansas. (BDA)
16. Dockets 36508 and EAS-341, Air Illinois' notice of intent to terminate service at El Dorado/Camden, Arkansas. (BDA)
17. Dockets 23080-2 and 37294, *Priority and Nonpriority Domestic Service Mail Rates Investigation.* (Memo No. 7673-I, BDA)
18. Dockets 37732 and 37734, Notice of Intent of Air New Mexico, Inc., to suspend air service and joint fares at Alamogordo and Silver City, New Mexico. (BDA)

STATUS: Open.

PERSON TO CONTACT: Phyllis T. Kaylor, the Secretary, (202) 673-5068.

[S-586-80 Filed 3-19-80; 2:52 pm]

BILLING CODE 6320-01-M

7

COMMODITY CREDIT CORPORATION.

TIME AND DATE: 10 a.m., March 28, 1980.

PLACE: Room 218-A, Administration Building, U.S. Department of Agriculture.

STATUS: Open.

MATTERS TO BE CONSIDERED:

1. Minutes of CCC board meeting on December 21, 1979.
2. Minutes of CCC board meeting on January 30, 1980.
3. Docket VCP 110a re: 1980-crop flaxseed purchase program.
4. Docket UCP 98a, Amendment 1 re: Milk price support program, 1979-80.
5. Docket CZ 161a, Revision 4, Amendment 2, re: Policies for collection, settlement, and adjustment of certain claims by or against Commodity Credit Corporation.
6. Resolution 17, Amendment 1, CZ 266 re: Commodities available for Public Law 480 during fiscal year 1980.

[S-573-80 Filed 3-19-80; 10:53 am]

BILLING CODE 3410-05-M

8

FEDERAL ELECTION COMMISSION.

"FEDERAL REGISTER" NO. 505.

PREVIOUSLY ANNOUNCED DATE AND TIME: Tuesday, March 18, 1980, at 10 a.m.

CHANGE IN MEETING: The Executive Session scheduled for the above date was cancelled due to a power failure throughout the building in which the meeting was to be held.

The meeting is rescheduled for Thursday, March 20, 1980 following the open meeting of that date.

PERSON TO CONTACT FOR INFORMATION: Mr. Fred Eiland, Public Information Officer, Telephone: 202-523-4065.

Marjorie W. Emmons,
Secretary to the Commission.

[S-576-80 Filed 3-19-80; 2:05 pm]

BILLING CODE 6715-01-M

9

FEDERAL ENERGY REGULATORY COMMISSION.

"FEDERAL REGISTER" CITATION OF PREVIOUS ANNOUNCEMENT: 45 FR 17111, March 17, 1980.

PREVIOUSLY ANNOUNCED TIME AND DATE OF MEETING: 10 a.m., March 19, 1980.

CHANGE IN THE MEETING: The following item has been added:

Item No., Docket No., and Company

CAM–4 GP80–58, State of Ohio, Jerry Moore, Inc., Huber A. Brenley #1 Well, State File No. 06147, JD79–018408.

Kenneth F. Plumb,

Secretary.

[S–571–80 Filed 3–19–80; 10:23 am]

BILLING CODE 6450–85–M

10

FEDERAL HOME LOAN BANK BOARD.

TIME AND DATE: 9:30 a.m., March 26, 1980.

PLACE: 1700 G Street NW., Sixth Floor, Washington, D.C.

STATUS: Open meeting.

CONTACT PERSON FOR MORE INFORMATION: Mr. Marshall (202–377–6677).

MATTERS TO BE CONSIDERED:

Application for Branch Office.—Auburn Federal Savings and Loan Association, Auburn, Ind.

Application for Branch Office.—First Federal Savings and Loan Association of Broward County, Fort Lauderdale, Fla.

Application for Branch Office.—First Savings and Loan Association of Anderson, Anderson, S.C.

Concurrent Consideration of Branch Office Applications.—Home Federal Savings and Loan Association of San Diego, San Diego, California AND Glendale Federal Savings and Loan Association, Glendale Federal Savings and Loan Association, Glendale, Calif.

Concurrent Consideration Branch Office Applications.—Glendale Federal Savings and Loan Association, Glendale, California AND First Federal Savings and Loan Association of Santa Monica, Santa Monica, Calif.

Extension of Time to Open a Branch Office.—Ohio County Federal Savings and Loan Association, Hartford, Ky.

Merger.—The Akron Savings and Loan Company, Akron, Ohio and The United Savings Association, Cleveland, Ohio WITH The Union Savings Association, Cleveland, Ohio.

Merger.—First Farmington Savings and Loan Association, Farmington, Michigan INTO Detroit and Northern Savings and Loan Association, Hancock, Mich.

Office Building Investment Application.—Southern Federal Savings and Loan Association of Thomas County, Thomasville, Ga.

Bank Membership and Insurance of Accounts Applications.—Escondido Saving and Loan Association, Escondido, Calif.

Request for Commitment to Insure Accounts.—First Fidelity Savings and Loan Association, Winter Park, Fla.

Request for Commitment to Insure Accounts.—South Florida Savings and Loan Association, Unincorporated Dade County, Fla.

Request for Waiver of Regulation.—Investment Savings and Loan Association, Northridge, Calif.

Proposed Delegations of Authority to the Principal Supervisory Agents and to the Supervisory Agents for the Approval of Certain Applications.

[S–580–80 Filed 3–19–80; 2:37 pm]

BILLING CODE 6720–01–M

11

FEDERAL MARITIME COMMISSION

"FEDERAL REGISTER" CITATION OF PREVIOUS ANNOUNCEMENT: March 14, 1980, 45 FR 16664.

PREVIOUSLY ANNOUNCED TIME AND DATE OF THE MEETING: 10 a.m., March 20, 1980.

CHANGE IN THE MEETING:

Addition of the following item to open session:

12. Docket No. 79–92: Matson Navigation Company—Proposed 6.66 Percent Bunker Surcharge Increase in Tariffs FMC–F Nos. 164, 165, 166 and 167—Consideration of the record.

[S–579–80 Filed 3–19–80; 2:28 pm]

BILLING CODE 6730–01–M

12

FEDERAL RESERVE SYSTEM.

TIME AND DATE: 10:30 a.m., Wednesday, March 26, 1980.

PLACE: 20th Street and Constitution Avenue NW., Washington, D.C. 20551.

STATUS: Open.

MATTERS TO BE CONSIDERED:

1. Proposed policy statement concerning financial factors in the formation of one-bank holding companies. (Proposed earlier for public comment; Docket No. R–0265)

2. Any agenda items carried forward from a previously announced meeting.

Note.—This meeting will be recorded for the benefit of those unable to attend. Cassettes will be available for listening in the Board's Freedom of Information Office, and copies may be ordered for $5 per cassette by calling (202) 452–3684 or by writing to: Freedom of Information Office, Board of Governors of the Federal Reserve System, Washington, D.C. 20551.

CONTACT PERSON FOR MORE INFORMATION: Mr. Joseph R. Coyne, Assistant to the Board; (202) 452–3204.

Dated: March 18, 1980.

Griffith L. Garwood,

Deputy Secretary of the Board.

[S–572–80 Filed 3–19–80; 10:25 am]

BILLING CODE 6210–01–M

13

FEDERAL TRADE COMMISSION.

TIME AND DATE: 2 p.m., Thursday, March 27, 1980.

PLACE: Room 532, (open); Room 540 (closed) Federal Trade Commission Building, 6th Street and Pennsylvania Avenue NW., Washington, D.C. 20580.

STATUS: Parts of this meeting will be open to the public. The rest of the meeting will be closed to the Public.

MATTERS TO BE CONSIDERED: Portions Open to Public:

(1) Oral Argument in Sears, Roebuck, & Co., Docket 9104. Portions closed to the Public:

(2) Executive Session to discuss Oral Argument in Sears, Roebuck & Co., Docket 9104.

CONTACT PERSON FOR MORE INFORMATION: Ira J. Furman, Office of Public Information, (202) 523–3830; Record Message, (202) 523–3806.

[S–575–80 Filed 3–19–80; 12:48 pm]

BILLING CODE 6760–01–M

14

NATIONAL CREDIT UNION ADMINISTRATION.

TIME AND DATE: 9:30 a.m., Wednesday, March 26, 1980.

PLACE: 1776 G Street NW., Washington, D.C., 7th Floor, Board Room.

STATUS: Open.

MATTERS TO BE CONSIDERED:

1. Review of Central Liquidity Facility Lending Rates.

2. Request for extension of time to divest bank stock.

3. Report of actions taken under delegations of authority.

4. Applications for charters, amendments to charters, bylaw amendments, mergers as may be pending at that time.

RECESS: 10:15 a.m.

TIME AND DATE: 10:30 a.m., Wednesday, March 26, 1980.

PLACE: 1776 G Street NW., Washington, D.C., 7th Floor, Board Room.

STATUS: Closed.

MATTERS TO BE CONSIDERED:

1. State Credit Union Applications. Closed pursuant to exemptions (8) and (9)(A)(ii).

2. Requests from federally insured credit unions for special assistance under Section 208 of the Federal Credit Union Act. Closed pursuant to exemption (8) and (9)(A)(ii).

3. NCUA participation in civil litigation. Closed pursuant to exemption 10.

CONTACT PERSON FOR MORE INFORMATION: Beatrix Fields, Acting Secretary of the Board, telephone (202) 357–1030.

[S–574–80 Filed 3–19–80; 11:31 am]

BILLING CODE 7535–01–M

15

NUCLEAR REGULATORY COMMISSION.

TIME AND DATE: Week of March 17, 1980 (revised).

PLACE: Commissioners' Conference Room, 1717 H Street NW., Washington, D.C.

STATUS: Open.

MATTERS TO BE CONSIDERED:

Wednesday, March 19, 10 a.m.

1. Discussion of OIA Audit Program (approximately 1 hour—public meeting, as announced).

Wednesday, March 19, 2 p.m.

1. Discussion of NRC Enforcement Program (approximately 1 hour—public meeting, as announced).
2. Discussion of Proposed Interim Hydrogen Control Requirements for Small Containments (approximately ½ hours—public meeting). (Note: GE and others have been granted time in this Commission Meeting) (rescheduled from March 20).
3. Affirmation Session (approximately 10 minute—public meeting).
   a. NRC Rulemaking re EPA Rad. Protection Stds.
   b. Appointment of J. Gleason to ASLB Panel
   c. Appoinitment of L. Carter to ASLB Panel
   d. Class 9 Issue in ALAB 573
   e. Reporting of Misadministration of Byproduct Material (tentative).
   f. Fire Protection Actions (tentative).—rescheduled from March 20).

Thursday, March 20, 1:30 p.m.

1. Briefing on Work Plans of the Office of Analysis and Evaluation of Operating Data (approximately 1 hour—public meeting, as announced).

Friday, March 21, 11 a.m.

1. Meeting with Citizen's Group on TMI Cleanup (approximately 1 hour—public meeting, as announced).

ADDDITIONAL INFORMATION: The Briefing on Two Approaches to the Treatment of Inventory Differences and the Discussion of Management-Organization were postponed.

CONTRACT PERSON FOR MORE INFORMATION: Walter Magee, (202) 634–1410.

Dated: March 18, 1980.

Walter Magee,

Office of the Secretary.

[S–577–80 Filed 3–19–80; 2:05 pm]

BILLING CODE 7590–01–M

16

NUCLEAR REGULATORY COMMISSION.

TIME AND DATE: Monday, March 24, 1980.

PLACE: Commissioners' Conference Room, 1717 H Street NW., Washington, D.C.

STATUS: Open.

MATTERS TO BE CONSIDERED:

Monday, March 24, 3 p.m.

1. Briefing on Assessment of Workshops on Emergency Planning (Approximately 1 hour—public meeting—rescheduled from March 5.)

2. Briefing on Interim Actions on Performance Testing for Personnel Dosimetry (approximately 1 hour—public meeting—rescheduled from March 5.)

CONTACT PERSON FOR MORE INFORMATION: Walter Magee, (202) 634–1410.

Dated: March 18, 1980.

Roger M. Tweed,

Office of the Secretary.

[S–578–80 Filed 3–19–80; 2:05 pm]

BILLING CODE 7590–01–M

17

SECURITIES AND EXCHANGE COMMISSION.

Notice is hereby given, pursuant to the provisions of the Government in the Sunshine Act, Pub. L. 94–409, that the Securities and Exchange Commission will hold the following meetings during the week of March 24, 1980, in Room 825, 500 North Capitol Street, Washington, D.C.

Closed meetings will be held on Tuesday, March 25, 1980, at 10 a.m. and on Wednesday, March 26, 1980, immediately following the 10 a.m. open meeting. An open meeting will be held on Wednesday, March 26, 1980, at 10 a.m.

The Commissioners, their legal assistants, the Secretary of the Commission, and recording secretaries will attend the closed meetings. Certain staff members who are responsible for the calendared matters may be present.

The General Counsel of the Commission, or his designee, has certified that, in his opinion, the items to be considered at the closed meetings may be considered pursuant to one or more of the exemptions set forth in 5 U.S.C. 552b(c)(4)(8)(9)(A) and (10) and 17 CFR 200.402 (a)(4)(8)(9)(i) and (10).

Chairman Williams and Commissioners Loomis, Evans, and Pollack determined to hold the aforesaid meetings in closed session.

The subject matter of the closed meeting scheduled for Tuesday, March 25, 1980, at 10 a.m., will be:

Formal orders of investigation.
Order compelling testimony.
Settlement of injunctive actions.
Settlement of administrative proceedings of an enforcement nature.
Litigation matter.
Institution of administrative proceedings of an enforcement nature.
Institution of injunctive actions.
Subpoena enforcement action.
Administrative proceeding of an enforcement nature.

The subject matter of the closed meeting scheduled for Wednesday, March 26, 1980, immediately following the 10 a.m. open meeting, will be:

Administrative proceedings of an enforcement nature.
Opinions.

The subject matter of the open meeting scheduled for Wednesday, March 26, 1980, at 10 a.m., will be:

1. Consideration of whether to affirm action, taken by the Duty Officer, authorizing issuance of a release inviting additional comments on a proposed rule concerning requests for confidential treatment of records and extending the comment period to and including April 4, 1980. For further information, please contact Harlan W. Penn at (202) 272–2454.
2. Consideration of whether to affirm action, taken by the Duty Officer, approving the sending of a letter, regarding H.R. 3263, the "Regulation Reform Act of 1979," dated March 10, 1980, to the Subcommittee on Administrative Law and Governmental Relations of the House Committee on the Judiciary, expressing the Commission's concerns about a proposed amendment of the Administrative Procedure Act. For further information, please contact Alan Rosenblat at (202) 272–2428.
3. Consideration of whether to grant the request of Weil, Gotshal & Manges for a waiver of imputed disqualification pursuant to 17 CFR 200.735–8(e). For further information, please contact Myrna Siegel at (202) 272–2430.
4. Consideration of whether to grant the request of Bracewell & Patterson for a waiver of imputed disqualification pursuant to 17 CFR 200.735–8(e). For further information, please contact Myrna Siegel at (202) 272–2430.
5. Consideration of whether to issue a release proposing amendments to various registration forms and Regulation S–K that would require registrants subject to the reporting requirements of FASB Statement No. 33, "Financial Reporting and Changing Prices," to include the specified supplementary information in filings with the Commission, and which would extend a safe harbor provision to disclosures of the effects of changing prices. For further information, please contact James L. Russell at (202) 272–2133 or Linda L. Griggs at (202) 272–2130.
6. Consideration of whether to terminate the options expansion moratorium in view of the responses to the Options Study recommendations by the self-regulatory organizations participating in the moratorium, and to announce the manner in which to permit expansion of the options markets if the moratorium is terminated. For further information, please contact Gene Carasick at (202) 272–2409.
7. Consideration of whether to support a legislative initiative to increase the dollar limitation of advances SIPC may make for cash claims of customers in proceedings under the Securities Investor Protection Act, as amended. For further information, please contact C. Eston Singletary at (202) 272–2902.

At times changes in Commission priorities require alterations in the scheduling of meeting items. For further information and to ascertain what, if any, matters have been added, deleted or postponed, please contact: John Granda at (202) 272–2091.

March 19, 1980.

[S–587–80 Filed 3–19–80; 3:42 pm]

BILLING CODE 8010–01–M

federal register

Friday
March 21, 1980

Part II

Department of Agriculture

Animal and Plant Health Inspection Service

Animal Welfare; List of Registered Exhibitors

DEPARTMENT OF AGRICULTURE

Animal and Plant Health Inspection Service

Animal Welfare; List of Registered Exhibitors

Pursuant to the provisions of the Animal Welfare Act (7 U.S.C. 2131 *et seq.*), and the regulations thereunder (9 CFR Part 2), notice is hereby given that the exhibitors listed below are registered under said Act:

(Sec. 3, 80 Stat. 351, as amended (7 U.S.C. 2133).)

Done at Washington, D.C., this 10th day of March 1980.

J. K. Atwell,

Acting Deputy Administrator, Veterinary Services.

BILLING CODE 3410-34-M

ALASKA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 961E	ALASKA CHILDREN'S ZOO		BOX 17308 STAR ROUTE	ANCHORAGE 99507
* 962E	BROWN, JR., LT		3001 MOUNTAINVIEW DR.	ANCHORAGE 99503
* 963E	CITY OF FAIRBANKS		POB 790	FAIRBANKS 99707

ARKANSAS

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 711002	HALLIF'S		PO BOX 303	CLINTON 72031

CALIFORNIA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 93C1018	COUNTY OF LOS ANGELES		DEPT. OF PARKS & RECREATIONS 155 W WASHINGTON BLVD.	LOS ANGELES 90015

COLORADO

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 841016	DRAKE, D	ANIMAL WORLD	333 6TH ST.	PENROSE 81240
* 841014	JUDISH, AL & LE	SANTA'S SUMMER HOME	3RD AVE & HOVER RD	LONGMONT 80501
* 841017	KROHN, JC	THE FORT	SR BOX 20AA	MORRISON 80465
* 841018	LA CHAUMIERE FRENCH RESTAURANT		PINEWOOD SPRINGS	LYONS 80540
* 841019	LA FRANCE RESTAURANT, INC.		BOX 2217	DILLON 80435
* 841012	NATIONAL PARK VILLAGE		4600 FALL RIVER RD.	ESTES PARK 80517

FLORIDA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 58ER90	CAMP KULAQUA		RT 2 BOX 244K	HIGH SPRINGS 32643
* 58ER70	MILLER, PROFESSOR		2360 FRUITVILLE ROAD	SARASOTA 33577
* 58ER5A	SARASOTA JUNGLE GARDENS		3701 BAYSHORE RD	SARASOTA 33580
* 58ER62	SEMINOLE IND VIL & CRFTS ENT		6073 STERLING RD	HOLLYWOOD 33024
* 58ER33	SWAMPARIUM		RT 5 BOX 30	CANTONMENT 32533

GEORGIA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 57FX0005	CITY OF ATHENS	MEMORIAL PARK	RECREATION & PARKS DEPT	ATHENS 30601
* 57FX0003	KETCHAM, LF		BOX 60A RT 3	WOODSTOCK 30188
* 57FX0002	OKEFENOKEE ASSOCIATION, INC.	OKEFENOKEE SWAMP PACK		OKEFENOKEE 31501

ILLINOIS

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 331035	FOREST PRES. DIST/DUPAGE CTY	WILLOWBROOK WILDLIFE HAVEN	881 W ST CHARLES RD	LOMBARD 60148
* 331012	ILLINOIS DEPARTMENT OF CONSERVATION		602 STATE OFFICE BUILDING	SPRINGFIELD 62706
* 331034	SOCIAL DYNAMICS, INC.		2 S. 661 RT 53	GLEN ELLYN 60137
* 331010	STONE, LR		BOX 349 ROUTE 1	EAST MOLINE 61244

INDIANA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 32F9	TRANSCONTINENTAL CIRCUS		2228 N. EDMONDSON	INDIANAPOLIS 46219

IOWA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 4258	BOARD OF PARK COMMISSIONERS	FEJERVORY PARK CHLDNS. ZOO	236 W CENTRAL PARK AVE	DAVENPORT 52803
* 4251	BUFFALO RANCH MUSEUM		310 LOVERS LANE	FAYETTE 52142
* 4259	CITY OF CEDAR RAPIDS	BEVER PARK MUNICIPAL ZOO	PARK DEPARTMENT CITY HALL	CEDAR RAPIDS 52401
* 4253	CITY OF FORT DODGE		PARK DEPT. 1450 OLESON PK AVE	FORT DODGE 50501
* 4252	CITY OF MASON CITY		PARK DEPT. 19 S. DELAWARE AVE	MASON CITY 50401
* 4250	IOWA STATE CONSERVATION COMM		WILDLIFE EXHIBIT STATON	BOONE 50036
* 4261	OSBORNE CONSERVATION CENTER			ELKADER 52043
* 4255	POLK COUNTY CONSERVATION BOARD	JESTER PARK		GRANGER 50109

KANSAS

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 481006	BEAR HOUSE TRUCK STOP, INC.			BUNKER HILL 67626
* 481007	BOARD OF PARK COMMISSIONERS	CENTRAL RIVERSIDE PARK	503 CITY BLDG ANNEX,104 S MAIN	WICHITA 67202
* 481009	CITY OF ST. MARYS			ST. MARYS 66536
* 481001	DODGE CITY WRIGHT PARK ZOO			DODGE CITY 67801
* 481005	MARKLEY, JF			SENECA 66538
* 481010	OLD ABILENE TOWN, INC.		BOX 438	ABILENE 67410
* 481004	RUPP'S MINI ZOO		LEAVENWORTH 66048	LEAVENWORTH 66048
* 481011	STIMAX, M R W		PO BOX 442	ARKANSAS CITY 67005

KENTUCKY

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 6172	GARVIN, C.	BEECH BAND PARK		BOWLING GREEN 42101
* 6174	ISSAC W. BERNHEIM FOUNDATION		BERNHEIM FORREST	CLERMONT 40110
* 6175	MAMMOTH ONYX CAVE		BOX 527	HORSE CAVE 42749
* 614	MOREHEAD STATE UNIVERSITY			MOREHEAD 40351
* 6173	OTTER CREEK PARK			VINEGROVE 40175

LOUISIANA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 721004	ALFRED BONNAREL HIGH SCHOOL		BRUIN DR.	METAIRIE 70003
* 721003	SNAKE FARM		PO BOX 96	LA PLACE 70068

MAINE

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 11E1	MAINE DEPT INLAND FISH/WILDLIFE		284 STATE ST	ST AUGUSTA 04330

MARYLAND

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 51RE2	DYKES BROS. ATTRACTION		MERRITT MILL RD	SALISBURY 21801
* 51RE13	JACKSON, HL	TRADE LEE'S VILLAGE	RT.50	W OCEAN CITY 21842

MASSACHUSETTS

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 1QF1	EASTOVER FARM		EAST STREET	LENOX 01240

MICHIGAN

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 3QRE47	BELLE ISLE NATURE CTR.		BELLE ISLE PARK	DETROIT 48207
* 3QRE72	BINDER PARK ZOOLOGICAL SOCIETY, INC.		7500 DIVISION DR	BATTLE CREEK 49017
* 3QRE59	BITELY, G	BIRCH SHORES RESORT	R 1	MCMILLAN 49853
* 3QRE28	CARL G. FENNER ARBORETUM		2020 E. MT. HOPE	LANSING 48910
* 3QRE4	CEDERBERG, EH	DEER ACRES, INC.	2093 COGGINS RD	PINCONNING 48650
* 3QRE19	CITY OF MIDLAND	CURRIE-WILSON ENTERPRISES, INC.	PO BOX 227	MIDLAND 48640
* 3QRE7	CITY OF MT. PLEASANT	NELSON PARK ZOO	120 S. UNIVERSITY	MT. PLEASANT 48858
* 3QRE32	CLAERHOUT, E. & SCHUSTER, M.	EMIL THE BUFFALO	8887 GRATIOT RD	RICHMOND 48062
* 3QRE16	CLINCH PARK ZOO		GRAND VIEW PKWY.	TRAVERSE CITY 49684
* 3QRE8	CLOUGH, LRJ	EVERGREEN RESORT	R 2,M-65	HALE 48736
* 3QRE13	DICKEY, DL	PINE RIDGE AMUSEMENT	7784 MAIN ST.	BIRCH RUN 48415
* 3QRE66	DRAYTON PLAINS NATURE CENTER, INC.		2125 DENBY DR	DRAYTON 48020
* 3QRE38	ELM REST SERVICE		1507 S LAKE MITCHELL DR.	CADILLAC 49601
* 3QRE49	ERICKSON, S		R 2, BOX 2334	GRAYLING 49738
* 3QRE42	FINLEY, M	LONGHORN RANCH	7684-25 MILE ROAD	WASHINGTON 48094
* 3QRE52	FLATH, J	DELLS SUPPER CLUB		ESCANABA 49837
* 3QRE2	FOX, F & RESTIQUE, R		5810 NO M-30	GLADWIN 48624
* 3QRE71	GREENWOOD ACRES		2401 HILTON RD RT 2	JACKSON 49201
* 3QRE54	HAFEMAN, R		R 2 BOX 75	WALLACE 49893
* 3QRE77	HARRINGTON, TN	DEER RANCH	238 N. STATE	ST. IGNACE 49781
* 3QRE75	HERSON, K		RT 1 BOX 139	WALLACE 49893
* 3QRE81	HILLIER,DW		11986 E. ARNOLD LAKE RD	GLADWIN 48624
* 3QRE68	HINES, I		RT 2,1781 COLDWATER RD	MT. PLEASANT 48858
* 3QRE45	HUMAN SOTETY OF MACOMB CO		11350-22 MILE RD	UTICA 48087

MICHIGAN

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 34RE33	INDUSTRIAL MUTUAL ASSN	I.M.A. CHILDRENS FARM	901 E. SECOND ST	FLINT 48503
* 34RE51	IRON MOUNTAIN CITY PARK		A&B STS.	IRON MOUNTAIN 49801
* 34RE46	JOHN HENES PARK		202 HENES PARK DR	MENOMINEE 49858
* 34RE9	JOHNSON, RM	JOHNNY'S FISH & GAME PARK	5511 E. 46 1/2 RD	CADILLAC 49601
* 34RE84	JONKER, J		100 E 35TH ST	HOLLAND 49423
* 34RE3	KALAMAZOO NATURE CENTER		7000 N. WESTNEDGE	KALAMAZOO 49007
* 34RE30	KING ANIMALAND PARK, INC.		62000 GRATIOT AVE.	RICHMOND 48062
* 34RE31	MAY, H		394 CAMBRIA RD	HILLSDALE 49242
* 34RE18	MAYER, WG		7606 HIX RD	WESTLAND 48185
* 34RE64	MERCIER, FR	SANTA'S GIFT SHOP	BOX 75	MUNISING 49862
* 34RE24	MOTT FARM PROGRAM		6610 BRAY RD	FLINT 48505
* 34RE20	N.E. ISAACSON OF MICHIGAN, INC.		5477 SUGAR RIVER RD	GLADWIN 48624
* 34RE48	NANKIN MILLS NATURE CENTER		33175 ANN ARBOR TRAIL	WESTLAND 48185
* 34RE40	NEWLIN, G		PO BOX 1	WALLACE 49893
* 34RE6	OGEMAW GAME REFUGE	TEN LAKES SPORTSMEN CLUB	5626 W. ROSE CITY RD.	WEST BRANCH 48661
* 34RE62	PALMER, R	PRESQUE ISLE PARK ZOO	PRESQUE ISLE PARK	MARQUETTE 49855
* 34RE12	PEEBLES, G		18810 CARDONI	DETROIT 48203
* 34RE57	PICLNET, N	NORM'S BALD MOUNTAIN RIDING STABLE	3085 S. LAPEER RD.	PONTIAC 48057
* 34RE56	QUAS, G	BAMBI PARK	PO BOX 215	IRON MOUNTIAN 49801
* 34RE43	RICE, E		539 GOLF RD	LAPEER 48446
* 34RE15	SAGINAW CHILDREN'S ZOO		1694 S WASHINGTON	SAGINAW 48601
* 34RE1	SCIDMORE PARK			THREE RIVERS 49093
* 34RE40	SMITH, C		PO BOX 204	BALDWIN 49304
* 34RE67	SPICKERMAN, H		R 1	ROSE BUSH 48878
* 34RE34	STEPHENS, YR	YVONNE AND HER FRIENDS	BOX 37	WILLIAMSBURG 49690
* 34RE44	TESCH, H	LENAWEE INSTITUTE	3046 SUTTON RD	ADRION 49221
* 34RE65	WAFFLE, FJ		2416 ALDRICH RD	TEKONSHA 49092
* 34RE10	WALTZ, DR		625 LINWOOD BCH. RD.	LINWOOD 48634
* 34RE83	WEINKE, A & J	PAUL BUNYON LOOKOUT	6795 NO HURON RD	SPRUCE 48762
* 34RE26	WILDER, D	WHOOPEE BOWL	9580 DIXIE HWY.	CLARKTON 48016

MINNESOTA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 411001	ALEXANDRIA DEER PARK		RT 4	ALEXANDRIA 56308
* 411019	ANDERSON, AE	HIWAY TRADING POST		HACKENSACK 56452
* 411039	BRAINERD BAXTER CORP.	PAUL BUNYAN AMUSEMENT CENTER	BOX 563	BRAINERD 56401
* 411005	CITY OF MANKATO		202 E JACKSON	MANKATO 56001
* 411036	CITY PARK BOARD	RAMSEY PARK ZOO	207 E FOURTH	REDWOOD FALLS 56283
* 411009	DULUTH ZOO		7210 FREMONT ST.	DULUTH 55807
* 411043	FORT DETROIT		PO BOX 66	DETROIT LAKES 56501
* 411040	GOPHER CAMPFIRE CLUB		122 N MAIN ST.	HUTCHINSON 55350
* 411002	HANSEN WT	ANIMAL ACRES ZOO	RR 3 BOX 203	WADENA 56482
* 411024	JAEGERS, SW		RR #1	BUFFALO 55313
* 411034	NELSON, T		R #2	ST. PETER 56082
* 411032	OLMSTED COUNTY PARK & REC. DIVN.	OXBOW PARK WILDLIFE EXHIBIT	1421-3RD AVE. S.E.	ROCHESTER 55901
* 411022	ST. PAUL'S COMO ZOO			ST. PAUL 55103
* 411044	THE FARM SUPPER CLUB, INC.		RR 4	PRINCETON 55371
* 411023	VIRGINIA PARK COMMISSION		OLCOTT PARK	VIRGINIA 55792
* 41102A	WALL BROS.			COMFREY 56019

MISSISSIPPI

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 653	MCWILLIAMS, FC		ROUTE 1, BOX 108	PICAYUNE 39466

MISSOURI

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 0372	GOLD NUGGET JUNCTION			OSAGE BEACH 65065
* 0350	JONES, JW	BUENA VISTA'S EXOTIC ANIMAL PARADISE	R 1	STRAFFORD 65757
* 0352	MAX ALLEN'S ZOOLOGICAL GARDENS		US 54 S	ELDON 65026
* 0353	OZARK DEER FARM		ROUTE 3	ELDON 65026
* 0378	SILVER DOLLAR CITY			SILVER DOLLAR CITY 65616
* 0370	ST. LOUIS CO. PARKS DEPT.	LONE ELK PARK	7900 FORSYTH	ST. LOUIS 63105
* 0365	SZASZ, AR		RT 1	GERALD 63037

MONTANA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 811004	SVELSTAD, NELS			WISE RIVER 59762

NEBRASKA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 471001	MUNICIPAL ZOO		DEPT. OF PARKS & RECREATION, 1300 S. 27TH ST.	LINCOLN 68502
* 471003	RYAN, KP			BLAIR 68008

NEW MEXICO

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 8617	CITY OF CLOVIS	HILLCREST & ZOO	PO BOX 760	CLOVIS 88101

NORTH CAROLINA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 551012	CHARLOTTE NATURE MUSEUM, INC.		1658 STERLING RD.	CHARLOTTE 28209
* 551016	ENVIRONMENTAL ED., REC., & RES. CTR.		RT. 1, BOX 401	HIGH POINT 27260
* 551004	GRANDFATHER MOUNTAIN, INC.			LINVILLE 28646
* 551018	NATIONAL RECREATION SERVICE, DIV. OF NATL. SERV. FND., INC.	FRONTIERLAND	PO BOX 307	SILVER SPRINGS 32688
* 551003	NORTH CAROLINA MUSEUM OF LIFE & SCIENCE		PO BOX 8177	DURHAM 27708

OHIO

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 31E174	CLARENCE FAFLIK SHOE STOR E		267245 GREAT NORTHERN	N OLMSTEAD 44070
* 31F22	CLELAND, L	CLELAND'S DEER ACRES	ROUTE #1	BAINBRIDGE 45612
* 31E197	CLEVELAND MUSEUM OF NATUR AL HISTORY		WADE OVAL, UNIVERSITY CI RCLE	CLEVELAND 44106
* 31E47	DAYTON MUSEUM OF NATURAL HIST		2629 RIDGE AVE	DAYTON 45414
* 31E110	ELYRIA PARKS & RECREATION DEPT.		1101 PROSPECT ST.	ELYRIA 44035
* 31F106	FANTASY FARM		RT. 1	MIDDLETOWN 45052
* 31F293	HINEY, KM		1034 HOME AVE.	AKRON 44310
* 31F117	KHOL, R	KHOL'S GAME FARM	30770 CANNON RD	SOLON 44139
* 31F33	LAKE ERIE JUNIOR NATURE & SCIENCE CENTER		28728 WOLF RD.	CLEVELAND 44140
* 31F241	MASINO, GA		13305 JAYNEVIEW AVE.	UNIONTOWN 44685
* 31F249	NAFZIGER, DL		ROUTE 1, BOX 18	ARCHBOLD 43502
* 31F107	SIEDEL'S FUN FARM, INC.		21897 WESTWOOD DR.	STRONGSVILLE 44136
* 31FA	SINK, R.		3R JASPER ST.	DAYTON 45409
* 31F58	THE CLEVELAND AQUARIUM		601 E. 72ND ST.	CLEVELAND 44103
* 31E173	TWIN SHOE STORE		RICHMOND HGTS. SHOPPING CTR	RICHMOND HEIGHTS 44103
* 31F156	UNIVERSAL PRINTING, INC.		1192 E. 40 ST.	CLEVELAND 44114
* 31F172	WOHL SHOE CO.		8350 MARYLAND AVE.	LOUIS 63105
* 31F122	WORK, RM & IS	NELSON LEDGE PARK 44231	R 2, BOX 292	GARRETTSVILLE 44231

OKLAHOMA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 73F3	SHEARER, WV		ROUTE 1	WOODLAND 73852
* 73F7	THE FRANK PHILLIPS FOUNDA TION, INC.	WOOLAROC WILDLIFE REFUGE	402 PROFESSIONAL BLDG.	BARTLESVILLE 74003

SOUTH CAROLINA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 561004	ANIMAL FOREST		CHARLES TOWNE LANDING 15 00 OLD TOWNE LANDING	CHARLESTOWN 29407
* 561011	GREENVILLE ZOO		CLEVELAND PARK DR.	GREENVILLE 29601
* 561001	SMITH, WC	WILSON'S TRUCK STOP		WINNSBORO 29180
* 561015	WALL, JA		116 CHESTER ST.	SPARTANBURG 29301

TEXAS

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 74RE10	BAYLOR CHAMBER OF COMMERC E		BOX 225 UNION BLDG. BAYL OR UNIV.	WACO 76706
* 74RE2	CITY OF ANDREWS		MUNICIPAL ADM. BLDG	ANDREWS 79714
* 74RE5	CITY OF CHILDRESS		CITY HALL	CHILDRESS 79201
* 74RE7	CITY OF SINTON		PO BOX 1395	SINTON 78387
* 74RE20	DODD, CE		5116 ROB DR.	FT. WORTH 76118
* 74CA22	ENGESSER, GG		RT. 3, BOX 179	SEAGOVILLE 75159
* 74RE9	FT. WORTH MUSEUM OF SCIEN CE & HISTORY		1501 MONTGOMERY ST	FORT WORTH 76107
* 74CA15	GIBBS, B		RT. 4, BOX 550	MISSION 78572
* 74CA1	HALL, CA		RT. 1, BOX 762	MESQUITE 75149
* 74CA2	HALL, JK		RT. 1, BOX 762	MESQUITE 75149
* 74RE18	INNER SPACE		HWY IH35-SOUTH	GEORGETOWN 78626
* 74RE19	JONES, MR		232 N. LBJ DR.	SAN MARCUS 78666
* 74CA18	LEMKE, J		4005 EAST RYAN RD	OAK CREEK 53154
* 74CA13	LUCIA, T.		RT. 3 BOX 154	WEATHERFORD 76086
* 74RE14	RICE, B		PO BOX 264 COMBINE RD.	SEAGOVILLE 75159
* 74RE16	SAPP, MI		27150 O'KENT	SAN ANTONIO 78218
* 74CA9	SMITH, J.A.H.		1960 PEAVEY RD.	DALLAS 75228
* 74CA6	STEELE, RR		BOX 179 HAYMARKET RD	SEAGOVILLE 75159
* 74RE17	UNIVERSITY OF HOUSTON		BOX 240 UNIV. CTR.	HOUSTON 77004
* 74RE3	WONDER WORLD.		PO BOX 1369	SAN MARCOS 78666

WASHINGTON

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 917E	INLAND EMPIRE ZOOLOGICAL SOCIETY		BOX 18258	OPPORTUNITY 99216

WEST VIRGINIA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 541001	MEADOWS, ER		RT. 4, BOX 107	GRAFTON 26354

WISCONSIN

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 351076	CIRCUS WORLD MUSEUM			BARABOO 53913
* 351089	CONNOR FOREST INDUSTRIES		CAMP 5 FARM	LAONA 54541
* 351006	CORNFORD, MJ & AH		RR 1	RANDOLPH 53956
* 351010	GANNON'S BIRCHWOOD RESORT		R.R. 1	LODI 53555
* 351036	GE-CA-WA LODGE DEER FARM		BOX 117A	IRON RIVER 54847
* 351018	J.R. RANCH, INC.		RT. 1	HUDSON 54016
* 351069	JOHNSON, AR		C/O TONY SMOHA	BARABOO 53913
* 351080	JUEDS, A		RT. 1	MARION 54950
* 351038	LINK BROS. INC.			MINONG 54859
* 351106	MILLER, LW	THE OUTPOST	RT. 3	TOMAHAWK 54487
* 351122	NATTER, R.		3401 MILTON AVE.	JANESVILLE 53545
* 35101	NYGAARD, LA	KETTLES & ANIMAL FRIENDS	RT. 1, BOX 175A	WISCONSIN DELLS 53965
* 351088	P.-M. INC.			EAGLE RIVER 54521
* 351097	RADIES, RA		RT. 1	BIG FALLS 54950
* 35117	RANDALL, JV		C/O JOHN BATDORF, RT 1, BOX 73	RHINELANDER 54501
* 351065	SETCHELL, V			CHETEK 54728
* 351118	STORYBOOK GARDENS		RT 1 BOX 135A	WISCONSIN DELLS 53965
* 351093	VAN DYKE, RH		RT. 3	NEW LONDON 54961
* 351102	WALTERS, I			WHITELAKE 54491
* 351096	WEST, F		RT 3	WAUPACA 54981
* 351085	WHITLEY, EK		STAR ROUTE	MANITOWISH WATERS 54545
* 351043	WILDERNESS WALK			HAYWARD 54843
* 351061	WILLE, G		RR 2, BOX 293	WAUPON 53963
* 351068	WISCONSIN DEPT. OF NATURAL RESOURCES		BOX 450	MADISON 53701
* 351053	WOODSIDE RANCH RESORT, INC.		RT. 3	WAUSTON 53948

WYOMING

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 831001	CITY OF CHEYENNE	LIONS PARK	CITY-COUNTY BLDG.	CHEYENNE 82001
* 831004	WIND RIVER RANCH			DUBOIS 82513

[FR Doc. 80-7773 Filed 3-20-80; 8:45 am]

BILLING CODE 3410-34-C

federal register

Friday
March 21, 1980

Part III

Department of Agriculture

Animal and Plant Health Inspection Service

Animal Welfare; List of Licensed Exhibitors

DEPARTMENT OF AGRICULTURE

Animal and Plant Health Inspection Service

Animal Welfare; List of Licensed Exhibitors

Pursuant to the provisions of the Animal Welfare Act (7 U.S.C. 2131 *et seq.*), and the regulations thereunder (9 CFR Part 2), notice is hereby given that the exhibitors listed below are licensed under said Act:

(Sec. 3, 80 Stat. 351, as amended (7 U.S.C. 2133))

Done at Washington, D.C., this 10th day of March 1980.

J. K. Atwell,

Acting Deputy Administrator, Veterinary Services.

BILLING CODE 3410-34-M

ALABAMA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 64C1	CITY OF BIRMINGHAM	BIRMINGHAM ZOO	400 GRAYMONT AVE. WEST	BIRMINGHAM 35204
* 64C3	MONTGOMERY ZOO		PO BOX 3313	MONTGOMERY 36109
* 64C6	UNIV OF NORTH ALABAMA			FLORENCE 35630

ALASKA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 96C3	CRAIG, C		2905 TANGLEWOOD DR.	ANCHORAGE 99503
* 96C2	EDEN, P	ALASKA WILD BERRY PRODUCTS	PO BOX 374	HOMER 99603
* 96C1	FULBRUNCH INC.	THE MONKEY WHARF	529 C ST	ANCHORAGE 99501

ARIZONA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* A6C1	ARIZONA ZOOLOGICAL SOCIETY	PHOENIX ZOO	PO BOX 5155	PHOENIX 85010
* A6C3	ARIZONA-SONORA DESERT MUSEUM		RT 9 BOX 900	TUCSON 85704
* A6C2	CITY OF TUCSON	GENE REID ZOOLOGICAL PK	900 SO RANDOLPH WAY	TUCSON 85716
* A6C10	COST, D		BOX 11	CAVE CREEK 85331
* A6C8	EL ZARTHAH-AL JONDI		RT 1 BOX 110-W	BUCKEYE 85326
* A6C6	WHITMAN, P & D	GRAND CANYON DEER FARM	100 DEER FARM RD.	WILLIAMS 86046
* A6C9	WYCKOFF, C & P	TROPIC GARDEN ZOO	6232 N 7TH ST	PHOENIX 85013

ARKANSAS

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 71C6	BRIDGES, J & E	ARKANSAS ALLIGATOR FARM	847 WHITTINGTON AVE	HOT SPRINGS 71901
* 71C1	CITY OF LITTLE ROCK	ZOO OF ARKANSAS	#1 JONESBORO ST	LITTLE ROCK 72205
* 71C5	DOGPATCH ANIMAL WORLD	DOGPATCH USA		DOGPATCH 72648
* 71C7	I.Q. ZOO, INC		4800 ALBERT PIKE	HOT SPRINGS 71901
* 71C10	MAGIC ANIMALS INC	MAGIC SPRINGS THEME PARK	4800 ALBERT PIKE	HOT SPRINGS 71901
* 71C8	SPA ATTRACTIONS, INC	ANIMAL WONDERLAND	4800 ALBERT PIKE	HOT SPRINGS 71901
* 71C2	TATUM, E	HOLIDAY ISLAND EXOTIC ANIMAL PARK		HOLIDAY ISLAND 72632
* 71C4	WILMOTH, R	WILD WILDERNESS	RT 3 BOX 309	GENTRY 72734

CALIFORNIA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 93C9	ALLEN'S PET ZOO INC		PO BOX 91	PIXLEY 93256
* 93C172	AMERICAN WILDLIFE RESCUE SERVICE INC.		1296 CONFERENCE DRIVE	SCOTTS VALLEY 95066
* 93CA1	ARLEN, D		20395 RIDER	PERRIS 92370
* 93C150	ATKINSON, JM	OAK TREE VILLAGE	38480 OAK GLEN RD.	YUCAIPA 92399
* 93C10	BABY ZOO, INC	SAN JOSE BABY ZOO	1300 SENTER RD	SAN JOSE 95112
* 93C113	BALDWIN, JR	BALDWINS CHARMING CHIMPS	2388 INGELOW CT.	THOUSAND OAKS 91360
* 93C149	BARTON, WM		14651 CAMINITO ESTE	SAN DIEGO 92129
* 93C116	BAUMAN, T		660 SAN FERNANDO RD	LOS ANGELES 90065
* 93C167	BENSLEY, JW	COUGAR MT GAME RESERVE	PO BOX 37	YUCAIPA 92399
* 93C41	BERWICK, R		UNIVERSAL STUDIO TOURS	UNIVERSAL CITY 91603
* 93CA9	BIG BEAR VALLEY PARK DIST	MOONRIDGE ZOO	PO BOX 2832	BIG BEAR LAKE 92315
* 93C34	BIG JA STRONG & SON CIRCUS, INC.		275 OAKWOOD DR.	THOUSAND OAKS 91360
* 93C71	BOZURICH, F		PO BOX 4374	TORRANCE 90503
* 93C168	BRINKLEY, G & A	ANGEL'S ACRES	7530L MESA DR BOX 458	TWENTYNINE PALMS 92277
* 93C171	BURNHAM, V & SEARS, L		1900 ASHBY RD. SO#61	MERCED 95340
* 93C127	CAMPOLONGO, J	ENCHANTED VILLAGE	2285 W BROADWAY L-206	ANAHEIM 92804
* 93C13	CHILD'S ESTATE FOUNDATION		PO BOX 4758	SANTA BARBARA 93103
* 93C165	CHILDRESS, J & ECHART, DJ		17880 STRICKLAND	LAKE ELSINORE 92330
* 93C57	CIRCUS VARGAS CORP		1308 OLD BAYSHORE HWY 200	BURLINGAME 94010
* 93C7	CITY OF EUREKA	SEQUOIA PARK ZOO	PO BOX 1018	EUREKA 95501
* 93C16	CITY OF FOLSOM	FOLSOM CITY ZOO	50 NATOMA STREET	FOLSOM 95630
* 93C60	CITY OF MERCED	APPLEGATE PARK ZOO	2525 O STREET	MERCED 95340

CALIFORNIA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 93C124	CITY OF NATIONAL CITY		1243 NATIONAL CITY BLVD	NATIONAL CITY 92050
* 93C5	CITY OF OAKLAND	OAKLAND ZOO/KNOWLAND PARK	1520 LAKESIDE DR	OAKLAND 94612
* 93C27	CITY OF ROSEVILLE	ROSEVILLE CITY ZOO	316 VERNON ST	ROSEVILLE 95678
* 93C164	CITY OF WALNUT CREEK	ALEXANDER LINDSAY JR MUSEUM	1901 FIRST AVE.	WALNUT CREEK 94596
* 93C125	CITY OF WHITTIER	STORYBOOK ZOO	13230 E PENN ST	WHITTIER 90602
* 93C142	CULETTE, M	WILDLIFE WAYSTATION	14831 LITTLE TUJUNGA CANYON RD	SAN FERNANDO 93342
* 93C102	COUNTY OF ORANGE EMA/DEVEL		PO BOX 1078	SANTA ANA 92702
* 93C107	COX, L	THE LION WI AN RENTALS	PO BOX 259	VENTURA 93001
* 93C58	DI SESSO, M		24233 OLD RD	NEWHALL 91321
* 93C173	DOURIS, G		17220 SCHRIER	ELSINORE 92330
* 93C82	DOWNEY, M		P.O. BOX 221 31812 2ND ST.	ACTON 93510
* 93C160	DRAKE, D		PO BOX 485	TEHACHAPI 93561
* 93C118	DRAKE, E		P O BOX 485	TEHACHAPI 93561
* 93C48	EARNEST, FV	CALIFORNIA ALLIGATOR FARM	7671 LA PALMA AVE BOX 236	BUENA PARK 90620
* 93C156	EAST BAY ZOOLOGICAL SOCIETY	OAKLAND BABY ZOO	PO BOX 5238	OAKLAND 94605
* 93C161	EGAN, LW & BL	SCHOOL JUNGLE SAFARI	PO BOX 502	LAMONDA 94020
* 93C134	EGEDT, KW		1526 GRAND AVE	FILLMORE 93015
* 93C104	FAWCETT, R		21719 BARTON RD	COLTON 92324
* 93C100	FISHER, F		BOX 1170 STAR RT	TEHACHAPI 93561
* 93C145	FOERDER, LJ		9000 BEACHY AVE	ARLETA 91331
* 93C47	FRANK INN, INC.		12265 BRANFORD	SUN VALLEY 91352
* 93C92	FRONTIER VILLAGE		4885 MONTEREY RD	SAN JOSE 95111
* 93C142	GALLO ZOOLOGICAL GARDENS		P.O. BOX 1230	MODESTO 95353
* 93C91	GATTI PRODUCTIONS, INC.		PO BOX 611	ORANGE 92666
* 93C76	GENTLE JUNGLE, INC		15840 CEDARFORT DR	SAUGAS 91350
* 93C119	GROS, P	MARINE WORLD/AFRICA USA	MARINE WORLD PARKWAY	REDWOOD CITY 94065
* 93C157	HANFORD ELEM SCHOOL DIST	FORT ROOSEVELT	PO BOX G1067	HANFORD 93230
* 93C146	HANNA-BARBERA MARINELAND		P.O. BOX 937	RANCHO PALOS VERDES 90274
* 93C95	HENRY, IRC	HENRY'S CHIMPS	19102 BECHARD AV	CERRITOS 90701
* 93C25	HOLTER, M&R	HOLTER'S MOVIELAND ANIMALS	10940 RIVERSIDE AV	BLOOMINGTON 92316
* 93C79	HORNOK, JR	AMERICAN ANIMAL ENTER.	BOX 337	LITTLEROCK 93543
* 93C98	HORTON, RR	HORTON'S WONDER BEARS	302 17TH STREET	SAN DIEGO 92101
* 93C109	HORTON, RR&DK		302-17TH ST	SAN DIEGO 92101
* 93C1	JETT, A	JETT'S PETTING ZOO	11025 CYPRESS	FONTANA 92335
* 93C66	JOHNSON, G	JOHNSON BROS ELEPHANTS	14331 CHESTNUT #3	WESTMINSTER 92683
* 93C78	JOHNSON, H		20725 NANDINA	PERRIS 92370
* 93C84	JONES, RW	HOLTER'S RANCH	PO BOX 588	BLOOMINGTON 92316
* 93C169	JOSEPHINE D. RANDALL JR. MUS		199 MUSEUM WAY	SAN FRANCISCO 94114
* 93C83	KAYE, PV	ENTERTAINMENT ENTERPRISES & KAYE CONT. CIR	1680 N VINE ST. SUITE 519	HOLLYWOOD 90028
* 93C20	KNOTT'S BERRY FARM		8039 BEACH BLVD	BUENA PARK 90620
* 93C80	KUTCHELL, J		20725 NANDINA	PERRIS 92370
* 93C154	LAUREN, M		P.O. BOX 1946	CANYON COUNTRY 91351
* 93C128	LILLE, G & C		2608 READ AVENUE	BELMONT 94002
* 93C14	LION COUNTRY SAFARI, INC.		8800 IRVINE CENTER DR	LAGUNA HILLS 92653
* 93C148	LIVING DESERT ASSOCIATION	LIVING DESERT RESERVE	PO BOX 1775	PALM DESERT 92260
* 93C88	LOS ANGELES COUNTY FAIR ASSOCIATION		BOX 2250	POMONA 91766
* 93C43	LOS ANGELES ZOO		5333 ZOO DR	LOS ANGELES 90027
* 93C58	MADONNA, A	MADONNA INN	MADONNA RD & HIGHWAY 101	SAN LUIS OBISPO 93401
* 93C151	MADRIGA, M		13416 UTOPIA RD.	POWAY 92064
* 93C21	MARINE WORLD AFRICA USA	RESORTS INTL. INC	MARINE WORLD PARKWAY	REDWOOD CITY 94065
* 93C183	MARRIOTT'S GREAT AMERICA		PO BOX 1776	SANTA CLARA 95150
* 93C97	MARSHALL, N	LION'S ETC	6867 SOLEDAD CANYON RD	ACTON 93510
* 93C51	MARTIN, S	STEVE MARTIN'S WORKING WILD LIFE	PO BOX 65	ACTON 93510
* 93C87	MCDERMOTT, CD	THE COLD NOSE FIVE DOG ACT	4515 HARRISON AVE	REDDING 96001
* 93C121	MCMILLAN, R		6122 KNOTT AVE	BUENA PARK 90621
* 93C170	MENDES, T & D		31404 RD 132	VISALIA 93277
* 93C38	MEREDITH, G	JUNGLE EXOTICS	STAR RT. BOX 98	ORO GRANDE 92368
* 93C6	MICKE GROVE PARK & ZOO		11793 N MICKE GROVE RD	LODI 95240
* 93C93	MILHOUS BOYS RANCH		24077 STATE HWY 49	NEVADA CITY 95959
* 93C181	MILLER, K	ANIMAL ACTION	9125 CRANFORD AVE	ARLETA 91331
* 93C42	MOSLEY, C	DEWAYNE BROS CIRCUS	PO BOX 9621	N. HOLLYWOOD 91606
* 93C175	NETTLEMAN, B & R		15495 RELNAP DR	SARATOGA 95070
* 93C178	OLDER, M		STAR RT BOX 98	ORO GRANDE 92368
* 93C53	OXLEY, RL		7433 SOLEDAD CANYON RD	ACTON 93510
* 93C74	PAT DERBY ENTERPRISES, INC.		PO BOX 269	LEGGETT 95444
* 93C179	PETTER, D & F		3601 ROSECRANS APT 1	HAWTHORNE 92050
* 93C176	RENZO, P		AL TAHOE STATION	SO. LAKE TAHOE 95702
* 93C31	REYNOLDS, A		5423 E LAUREL	FRESNO 93727
* 93C155	RIPLEY, DF	KARLYLE	4305 ROSE LN	CONCORD 94521
* 93C115	RIVERS, R	ANIMAL SPECIALTY ACTS	10940 RIVERSIDE AVE	BLOOMINGTON 92316
* 93C4	ROEDING PARK ZOO		894 W. BELMONT	FRESNO 93728
* 93C8	SACRAMENTO CITY ZOO		3930 W LAND PARK DR	SACRAMENTO 95822
* 93C136	SACRAMENTO SCIENCE CENTER & JR. MUSEUM		3615 AUBURN BLVD.	SACRAMENTO 95821
* 93C3	SAN FRANCISCO ZOOLOGICAL GARDENS		ZOO ROAD & SKYLINE BLVD	SAN FRANCISCO 94132
* 93C24	SAN LUIS OBISPO COUNTY	CHARLES PADDOCK ZOO	1009 MONTEREY ST	SAN LUIS OBISPO 93408
* 93C101	SANTA ANA ZOO		1700 E FIRST ST	SANTA ANA 92705

CALIFORNIA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 93C52	SCHUMACHER, LM	ANIMAL RENTALS	PO BOX 642	BALDWIN PARK 91706
* 93C141	SCOBLE, DR AG		2367 MORRILL RD	MODESTO 95355
* 93C69	SEA WORLD INC		1720 S SHORES DR	SAN DIEGO 92109
* 93C166	SHAMBAUGH, V		1280 EDGAR AVE	BEAUMONT 92223
* 93C55	SHAWVER, C		1221 GRANT ST	SANTA MONICA 90405
* 93C11	SIX FLAGS MAGIC MOUNTAIN		26101 MAGIC MOUNTAIN PWY	VALENCIA 91355
* 93C61	SKYFOREST PACF	SANTA'S VILLAGE	PO BOX 638	SKYFOREST 92385
* 93C45	SMIFYA-BLASZAK, A		1820 OLD TELEGRAPH RD.	FILLMORE 93015
* 93C33	SNYDER, F	YVETTES MINI CIRCUS REVUE	PO BOX 2058	CYN COUNTRY 91351
* 93C180	SONOMA WORLD OF BIRDS		23570 ARNOLD DRIVE	SONOMA 95476
* 93C133	STEEPLES, F		864 CARLISLE RD	THOUSAND OAKS 91359
* 93C143	SWANSON, JF		8707 PROSPECT AVE	SANTEE 92071
* 93C108	THE BLAIR RUNCH, INC.		7561 WOODMAN PL	VAN NUYS 91405
* 93C22	TOTH, GN	COUGAR HILL RANCH	P.O. BOX 132	LITTLEROCK 93543
* 93C153	TRANTHAM, C	FOOTHILL GAME FARM	PO BOX 577	OREGON HOUSE 95962
* 93C138	TREMBLEY, F	MR CHRISTMAS TREE	349 WILSON APT 9	COSTA MESA 92627
* 93C19	TWOMEY, D&J	HAPPYTIME CIRCUS	PO BOX 269	WINDSOR 95492
* 93C24	UNIVERSAL STUDIOS TOUR		3900 LANKERSHIM BLVD	UNIVERSAL CITY 91608
* 93C96	WALLER PARK	ANIMAL CLINIC	600 GOODWIN RD	SANTA MARIA 93454
* 93C159	WARDEN, LE & D		32772 MOCCASIN ST	UNION CITY 94587
* 93C158	WERER, N	ROYAL LICHTENSTEIN CIRCUS	PO BOX 26308	SAN JOSE 95159
* 93C58	WELLS, HG	ANIMAL ACTORS OF HOLLYWOOD	864 CARLISLE RD	THOUSAND OAKS 91360
* 93C177	WELLS, R & S		17211 ROBERTO ST	CASTRO VALLEY 94546
* 93C105	WENDELL, C	RON OXLEY'S COMPOUND	PO BOX 350	ACTON 93510
* 93C111	WHITE, D&J		8722 WINTER GDNS BLVD	LAKESIDE 92040
* 93C90	WHITFIELD, RW R RR		MARINE WORLD PARKWAY	REDWOOD CITY 94065
* 93C163	WIENER, M		PO BOX 395	ACTON 93510
* 93C147	WILSON, JA		10437 ESCADERA DR.	LAKESIDE 92040
* 93C140	WOLF, R&C		13555 E. LAKE VIEW RD	LAKESIDE 92040
* 93C174	YANKEE-FLOYD CIRCUS CORP		37093 OAK GLEN RD	YUCAIPA 92399
* 93C173	ZANGER JR., HG, JA & EA	CASA DE FRUTA	6680 PACHECO PASS HWY	HOLLISTER 95123
* 93C142	ZOELLER, J		PO BOX 277	ALPINE 92001
* 93C40	ZOOLOGICAL SOCIETY OF SAN DIEGO		PO BOX 551	SAN DIEGO 92112

COLORADO

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 84C1	CHEYENNE MOUNTAIN ZOOLOGI CAL PARK		BOX 158	COLORADO SPRINGS 80906
* 84C6	CITY OF ENGLEWOOD	ENGLEWOOD CHILDRENS ZOO	3400 S. ELATI	ENGLEWOOD 80110
* 84C7	CITY OF PUEBLO ZOO		CITY PARK OFFICE	PUEBLO 81005
* 84C2	DENVER ZOOL FDN	DENVER ZOOL. GARDENS	DENVER CITY PARK	DENVER 80205
* 84C3	FOSSCECO, A	GRANEROS CANYON GAS&CURIO S HOP	PO BOX 29	COLORADO CITY 81019
* 84C5	SANTA'S WORKSHOP COLO. CO RP			NORTH POLE 80809
* 84C8	WOLF COUNTRY FOUNDATION		1200 PEARL ST	BOULDER 80302

CONNECTICUT

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 16C7	CHILDREN'S MUSEUM OF HART FORD		950 TROUT BROOK RD	W HARTFORD 06119
* 16C2	CITY OF BRIDGEPORT	BEARDSLEY ZOOLOGICAL GARDEN S	NOBLE AVE	BRIDGEPORT 06610
* 16C15	CITY OF NEW HAVEN	WEST ROCK NATURE REC. CT	PO BOX 2969	NEW HAVEN 06515
* 16C17	CITY OF NEW LONDON	H. F. MORAN NATURE CTR & ZO O	MUNICIPAL BLDG	NEW LONDON 06320
* 16C16	CITY OF NORWICH	MOHEGAN PARK ZOO	CITY HALL ANNEX	NORWICH 06360
* 16C11	COPACO		335 COTTAGE GROVE RD	BLOOMFIELD 06002
* 16C21	DENISON PEQUOTSEPOS NAT C TR		PO BOX 122 PEQUOTSEPOS R D.	MYSTIC 06355
* 16C4	EMERSON, JR., RL	EMERSONS CIRCUS & MENAGRIE EXHS	2545 BERLIN TNPK	NEWINGTON 06111
* 16C12	GOODWIN, R		BOX 524	ENFIELD 06082
* 16C19	NATURE CTR FOR ENVIR ACT INC.		10 WOODSIDE LN PO BOX 16 5	WESTPORT 06880
* 16C22	NEW BRITAIN YOUTH MUSEUM		30 HIGH ST. PO BOX 111	NEW BRITAIN 06051
* 16C6	R.W. COMMERFORD & SONS IN C		RT 4	GOSHEN 06756
* 16C25	SEA RESEARCH FOUNDATION, INC			MYSTIC 06355

CONNECTICUT

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 16C13	SHELDEN, DD & FM		33 PERRY DR.	MONROE 06468
* 16C18	STAMFORD MUSEUM & NATURE CTR		39 SCOFIELDTOWN RD	STAMFORD 06903
* 16C9	STEMPIEN, AC	WILLINGTON WILD ANIMAL FARM	OLD FARMS RD	WILLINGTON 06279
* 16C20	THAMES SCIENCE CENTER		GALLOWS LANE	NEW LONDON 06320
* 16C10	THE BRUCE MUSEUM		MUSEUM DRIVE	GREENWICH 06830
* 16C5	WATERMAN, JR., HE	GRAND GAME FARM	193 NORTH GRAND ST	W SUFFIELD 06093

DELAWARE

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 50C9	NEW CASTLE CO. DEPT. OF PARKS & REC.	BRANDYWINE ZOO	801 MCKENNANS CHURCH RD	WILMINGTON 19808

FLORIDA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 5AFL70	ABC LEISURE ATTRACTIONS, INC.		PO BOX 370	SILVER SPRINGS 32688
* 5AFL132	ADAMS, JR., F		P.O. BOX 595	GIBSONTON 33534
* 5AFL4	ADAMS, SP, E		PO BOX 595	GIBSONTON 33534
* 5AFL111	ALEXANDER, R		P.O. BOX 1264	OKLAWAHA 32679
* 5AFL122	ALLIGATOR LAND SAFARI		P.O. BOX 819	KISSIMMEE 32741
* 5AFL34	ANTALEK, M		10906 CHURCH ST. PO BOX1	GIBSONTON 33534
* 5AFL162	ANTALEK, P		PO BOX 3185	DE LAND 32720
* 5AFL117	ANTHONY, P		BOX 19A RT 4	RIVERVIEW 33569
* 5AFL105	AQUARAMA WALTZING WATERS		339 RIVERSIDE DR	FORT MYERS 33905
* 5AFL145	ARIA, MRJ		PO BOX 716	HAINES CITY 33844
* 5AFL160	BAHIA SHRINE TEMPLE	BAHIA SHRINE CAMEL HERDERS	60 WEST GORE AVE	ORLANDO 32806
* 5AFL181	BAIN, S	SUNSHINE RESEARCH LABS	6600 SW 188T	MIRAMAR 33023
* 5AFL164	BALDWIN, HR	CAPT. HARRY BALDWIN	PO BOX 27098	JACKSONVILLE 32205
* 5AFL119	BAUDY, RE	RARE FELINE BREEDING COMPOUND	PO BOX 132	CENTER HILL 33514
* 5AFL92	BENGTSSON, K & LINARES, K		BOX 125 RT 3	SARASOTA 33580
* 5AFL16A	BERMAN E	HELEN BERMAN UNLIMITED	6603 S.W. 112 PL	MIAMI 33173
* 5AFL124	BEROUSEK, O		2075 BAHIA VISTA	SARASOTA 33579
* 5AFL42	BERTEI, M		RR 2 BOX 297P	SARASOTA 33577
* 5AFL24	BLANCHARD, C & P		85 ARAPAHO AVE	ST AUGUSTINE 32084
* 5AFL154	BLOOM, MA	TROPIQUARIUM, INC	2000 NE 135 ST	NORTH MIAMI 33181
* 5AFL17	BOWEN, JC	EVERGLADES GATORLAND	BOX 279	SOUTH BAY 33493
* 5AFL75	BROOKS, HW	GATOR JUNGLE	P.O. BOX 209	CHRISTMAS 32709
* 5AFL157	BUFFALO TRAD & WILD W EN INC	FERNWOOD GARDENS	7800 SW 117 AVE	MIAMI 33183
* 5AFL36	CENTRAL FLORIDA ZOOL. SOCIETY	CENTRAL FLORIDA ZOOL. PARK	PO BOX 2078	SANFORD 32771
* 5AFL2	CITY OF JACKSONVILLE	JACKSONVILLE ZOOL. PARK	CITY HALL BAY ST.	JACKSONVILLE 32202
* 5AFL89	CITY OF TAMPA	LOWRY PARK ZOO	NORTH BLVD & SLIGH AVE	TAMPA 33604
* 5AFL178	CLEMONS, C	GATORAMA	BOX 126	PALMDALE 33944
* 5AFL80	CLYDE BEATTY-COLE BROS. CIRCUS		PO BOX 1570	WINTER PARK 32790
* 5AFL44	CRISTIANI ELEPHANTS, INC.		RT. 1 BOX 29-A	MYAKKA CITY 33551
* 5AFL11	CRISTIANI, DR	CONSOLIDATED AMUSEMENT CO	PO BOX 877	RIVERVIEW 33569
* 5AFL95	CRISTIANI, L		1024 STOFFER LANE	SARASOTA 33580
* 5AFL83	CRISTIANI, O		1747 RIVIERA CIRCLE	SARASOTA 33580
* 5AFL131	DAM, C		P.O. BOX 547	GIBSONTON 33534
* 5AFL129	DISKEN, RM	KING KONG ZOO	RT 1 BOX 567220	MASARYKTOWN 33512
* 5AFL40	DUBSKY, M	GINA'S ROYAL PALACE LEOPARDS	3110 47TH ST	SARASOTA 33580
* 5AFL39	DUBSKY, J	JANOS & COMPANY	4103 BRAZILNUT AV	SARASOTA 33580
* 5AFL102	EDWARDS, TR		3256 C-ROAD	LOXAHATCHEE 33470
* 5AFL11A	ENGESSER, D&R	ENGESSER'S EXOTIC FELINES	RT #2 BOX 500	TRENTON 32693
* 5AFL140	ESTEP, J	MONKEY JUNGLE	P.O. BOX 1552	GIBSONTON 33534
* 5AFL20	FEE, HW		BOX 493	GIBSONTON 33543
* 5AFL37	FOSSETT, A & I		637 CONRAD AVE	SARASOTA 33577
* 5AFL63	FRANCIS, FA		510 2ND AVE	MELBOURNE 32951
* 5AFL169	GAREY, V	GABEGON MONKEY SANCTUARY	C/O SANDY POINT RT 2 BOX 310	BRANFORD 32008
* 5AFL25	GARVIN, C	FLORIDA REPTILE LAND	RT 16	BOWLING GREEN 32101

FLORIDA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 58FL33	GATOR WORLD/FLAMINGO GAR INC		3782 FLAMINGO RD	DAVIE 33330
* 58FL26	GATORLAND ALLIGATOR FARM		US 1 NORTH	ST. AUGUSTINE 32084
* 58FL14	GODWIN'S GATORLAND, INC		HWY 441 NORTH	KISSIMMEE 32741
* 58FL180	GOOD, L	SUGARLOAF LODGE	PO BOX 148	SUGAR LOAF SHORES 33044
* 58FL35	HANNEFORD CIRCUS, INC.		BOX 52J	OSPREY 33559
* 58FL126	HANNEFORD, G	HANNEFORD FAMILY CIRCUS	BOX 909	NOKOMIS 33555
* 58FL85	HARDEE COUNTY	HARDEE COUNTY PIONEER PARK	PO DRAWER 1749	WAUCHULA 33873
* 58FL134	HARRIS, S & INGGAUERD, S	PET VILLAGE	409 VANDER KLOOT DR	OSPREY 33559
* 58FL146	HARVELL, D & J	OCELOTS PLUS	6719-43RD AVE S	LAKE WORTH 33463
* 58FL100	HERRIOTT, M	HERRIOTT'S PERFORMING ANIMALS	RT 3 BOX 94	SARASOTA 33580
* 58FL170	HOLLOWAY, D		411 EAST RUSH BLVD	TAMPA 33687
* 58FL72	HOMOSASSA SPRINGS INC	NORIN CORP	P.O. BOX 8	HOMOSASSA SPRINGS 32647
* 58FL81	HOOVER, DC	D. HOOVER'S WILD ANIMALS	665 W 38TH ST	HIALEAH 33012
* 58FL143	HORWATH, J		P.O. BOX 1551	GIBSONTON 33534
* 58FL151	HOUGH, CA		RT 5 BOX 68A	ST AUGUSTINE 32084
* 58FL10	HOUSER, F	HOUSER'S GROVES & ZOO	9230 NEW HAVEN AVE	MELBOURNE 32901
* 58FL8	HOXIE BROS CIRCUS		PO BOX 561085	SO MIAMI 33156
* 58FL103	INSTITUTE OF DELPHINID RES.	FLIPPERS SEA SCHOOL	PO BOX DOLPHIN	MARATHON SHORES 33052
* 58FL51	JOHNSON, RA		BOX 936 9R12 MASS AVE	GIBSONTON 33534
* 58FL74	JOYCE, F	WONDERFUL WORLD OF DOGS	BOX 302	GIBSONTON 33534
* 58FL79	JUNGLE LARRY'S SAFARI LAND, INC	JUNGLE LARRY'S AFRICAN SAFARI	P.O. BOX 7129	NAPLES 33940
* 58FL113	JUNGLE QUEENS INC.	JUNGLE QUEEN VILLAGE	800 E BROWARD BLVD #301	FT. LAUDERDALE 33301
* 58FL107	KRAUSE, J		PO BOX 2512	SARASOTA 33578
* 58FL46	KRISTENSEN, S & L		PO BOX 725	BRANDON 33511
* 58FL142	KROESEN, MJ		1991 SW 136 AVE.	DAVIE 33325
* 58FL153	LA TORRES, JM		P.O. BOX 1721	GIBSONTON 33534
* 58FL125	LEE, R & R	THE R-LEES	RT 1 BOX 334 C	MYAKKA CITY 33551
* 58FL159	LENZ, R & S	RINGLING BROS CIRCUS	PO BOX 1528	VENICE 33595
* 58FL155	LOPEZ, J		1224 SPOTTS WOOD RD	RIVERVIEW 33569
* 58FL150	MAGANA, AR		4004 TOLA DR.	SARASOTA 33581
* 58FL147	MARINE EXHIBITION CORPORATION	MIAMI SEAQUARIUM	4400 RICKENBACKER CAUSEWAY	MIAMI 33149
* 58FL73	MARINELAND, INC		RFD 1, BOX 122	ST. AUGUSTINE 32084
* 58FL62	MASTERPIECE GARDENS, INC		PO BOX 1230	LAKE WALES 33853
* 58FL175	MATHEWS, K		10516 NW 34 AVE	MIAMI 33147
* 58FL54	MCCLOSKEY, RC & MJ	ZOOLAND	RT 1 BOX 305 US HWY 98	GULF BREEZE 32561
* 58FL78	METRO-GOLDWYN-MAYER, INC	MGM'S BOUNTY EXHIBIT	10202 W. WASHINGTON BLVD.	CULVER CITY 90230
* 58FL6	METROPOLITAN DADE COUNTY	CRANDON PARK ZOO	4000 CRANDON BLVD	KEY BISCAYNE 33149
* 58FL149	MICHAEL, DA		2436 CHANTILLY AVE	WINTER PARK 32789
* 58FL165	MOGYOROSI, F		2805 RAY ST.	SARASOTA 33580
* 58FL13	MONKEY JUNGLE, INC.		PO BOX 246	MIAMI 33170
* 58FL161	MORGAN, MA		3209 HENRIETTA PL	SARASOTA 33580
* 58FL123	MORRIS WG	NATIONAL AMUSEMENT	PO DRAWER 10	EDGEWATER 32032
* 58FL163	NELSON, J & F		BOX 38E STAR ROUTE	OCHOPPEE 33943
* 58FL112	NIED, SR		620 NE 2ND ST	POMPANO BEACH 33060
* 58FL45	NOCK, K	KATINKA'S POODLES	PO BOX 968	SARASOTA 33578
* 58FL176	OCEAN REEF CLUB		DRAWER AA	HOMESTEAD 33030
* 58FL32	OCEAN WORLD INC		1701 SE 17TH ST	FT. LAUDERDALE 33316
* 58FL43	PARNELL, G		PO BOX 994	GIBSONTON 33534
* 58EL55	PARROT JUNGLE, INC.		11000 SW 57TH AVE	MIAMI 33156
* 58EL121	PEDLEY, R	HOLIDAY ON ICE	2219 SW 60 CT	MIAMI 33155
* 58FL69	PIPER, L & R	EVERGLADES WONDER GARDENS	PO BOX 292 US 41	BONITA SPRINGS 33923
* 58FL58	POTTER, D		PO BOX 1092	GIBSONTON 33534
* 58FL136	RICE, L		PO BOX 10171	SARASOTA 33582
* 58FL135	RIEGER, P		2750 NW 80 RIVER DR.	MIAMI 33125
* 58FL179	RIGGS, C & L	AQUATIC MAMMAL ENTERPRISES	1025 GIBRALTAR RD	KEY LARGO 33037
* 58EL106	RINGLING BROS BM & BLY CO MAINED SHOWS		1015-18TH ST NW	WASHINGTON 20036
* 58FL53	ROBERTS, D	ROBERT'S GULF SERVICE	R22 N CHARLESTON	FT MEADE 33841
* 58FL22	ROBINSON, PARS		BOX 1176	GIBSONTON 33534
* 58FL114	ROSS ALLEN SOUTH INC.	ROSS ALLEN WILD LIFE PARK	2805 N TAMIAMI TRAIL	N. FT. MYERS 33903
* 58FL3	SALISBURY, DL	ROYAL PANTHERS	1519 CAMBRIDGE DR	COCOA 32922
* 58FL10A	SANTA FE COMM COLL TEACH ZOO		3000 NW 83RD ST	GAINESVILLE 32601
* 58FL1	SCHACHT, F & P		PO BOX 1333	BRANDON 33511
* 58FL23	SCHILE, FROJ	MUSEUM OF THE SEA & INDIAN	STAR ROUTE BOX 611	DESTIN 32541
* 58FL41	SCHMITT, R		318 HOWELL PL	SARASOTA 33580
* 58FL141	SCHMITT, R		318 HOWELL PLACE	SARASOTA 33580
* 58FL4A	SCHREIBER, R	CAPT SCHREIBER'S CHIMPANZEES	RT. 3 BOX 48-X	ST AUGUSTINE 32084
* 58FL14A	SCOTT, J & F		RT 2 BOX 14	OLD TOWN 32680
* 58FL77	SEA WORLD OF FLORIDA		7007 SEA WORLD DR	ORLANDO 32809
* 58FL12	SEBOLT, D	SNAKE-A-TORIUM	PO BOX 9253	PANAMA CITY BCH 32407
* 58FL127	SEIDEN, HM	VCH ENTERPRISES	8425 SW 108TH ST	MIAMI 33156
* 58FL12A	SFIGLE, A		732 NW 29TH TERRACE	MIAMI 33127
* 58FL137	SINK, R		PO BOX 10171	SARASOTA 33578
* 58FL98	SIPEK, S		3384 C RD	LOXAHATCHEE 33470
* 58FL15A	SKARBECKI, A		PO BOX 1680	VENICE 33595
* 58FL17A	SOUTH FLORIDA MUSEUM/BISH OP PLANETARIUM		201 10TH ST W	BRADENTON 33505

FLORIDA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 58EL167	SPRINGMYER, L	GREEN THUMB NURSERY	8975 SO. DIXIE HWY.	MIAMI 33156
* 58EL96	SPYKE, HH	SPYKE'S GROVE	7250 GRIFFIN RD	DAVIE 33314
* 58EL87	ST AUGUSTINE ALLIG. FARM INC		PO DRAWER E HWY. A1A S	ST AUGUSTINE 32084
* 58EL97	STEBBING ROYAL EUR. CIRCUS INC		PO BOX 249	SARASOTA 33578
* 58EL84	STEELE, B	BOB STEELE ANIMAL PROMOTIONS	3256 C RD. BOX 336	LOXAHATCHEE 33470
* 58EL38	STRAZAN, H & E	ERNA'S CHIMPANZEES	725 N CONRAD AVE	SARASOTA 33577
* 58EL65	STUDIO ANIMAL RENTALS, INC.		70 WEST 64 STREET	HIALEAH 33012
* 58EL86	SUNKEN GARDENS, INC.		1825 4TH ST NO	ST PETERSBURG 33704
* 58EL91	SZYMONSKI, K		2022 DODGE AVE	SARASOTA 33580
* 58EL172	TARRAUF, MS		37350 SW 218 AVE	FLORIDA CITY 33033
* 58EL109	TALLAHASSEE JR MUSEUM		3945 MUSEUM DR	TALLAHASSEE 32304
* 58EL182	THEATER OF THE SEA, INC		PO BOX 407	ISLAMORADA 33036
* 58EL50	THOMAS, HD		PO BOX 281	RIVERVIEW 33569
* 58EL104	TIKI GARDENS INC		19601 GULF BLVD BOX 8	INDIAN SHORES 33535
* 58EL115	TOOLE, FH	KAROLY FAMILY	PO BOX 188	FORT WHITE 32038
* 58EL130	UPTON, SD		5626 BLOUNT AVE	SARASOTA 33581
* 58EL140	VAZQUEZ, R		PO BOX 1602 6148 ISABEL AVE	GIBSONTON 33534
* 58EL59	VIELE, CE	VIELE GROVES	6990 GRIFFIN RD	FORT LAUDERDALE 33314
* 58EL152	VON UHL, J		FT BENGALI HWY 16	ST AUGUSTINE 32084
* 58EL76	WALT DISNEY WORLD CO		P.O. BOX 40, ATTN: LEGAL DEPT	LAKE BUENA VISTA 32830
* 58EL82	WATSON, W		RT 14 BOX 232A	TAMPA 33624
* 58EL173	WATT, B		626 NW 179 ST.	N MIAMI 33169
* 58EL15	WEED, F		BOX 790	IMMOKALEE 33934
* 58EL67	WEEKI WACHEE SPRINGS INC	A B C LIFSURE ATTRACTIONS	BOX 97 HWY. 19 SO.	BROOKSVILLE 33512
* 58EL56	WELDE, J		PO BOX 547	GIBSONTON 33534
* 58EL177	WHITE, E		6350 62ND ST N	PINELLAS 33565
* 58EL116	WILLIAMS, TW		PO BOX 2039	DELAND 32720
* 58EL19	WILLIAMS, R&E		RT 1 BOX 556C	MYAKKA CITY 33551
* 58EL93	WILNOW, F & A		861 OAK STREET	FT MYERS BEACH 33931
* 58EL5	WILSHIRE CORPORATION	LION COUNTRY SAFARI INC	PO BOX 16066	W PALM BEACH 33406
* 58EL21	WYNN, F		400 E ORANGE AVE	LONGWOOD 32750
* 58EL171	ZEEMAN, M		10158 HERITAGE RD	LAKE WORTH 33463
* 58EL28	ZERBINI, T		RT 7 BOX 8	SARASOTA 33582
* 58EL138	ZOO. SOC. OF BROWARD CO., INC.	MARKHAM PARK ZOO	16001 W. STATE RD. 84	FORT LAUDERDALE 33326
* 58EL7	ZOOL SOC/PALM BEACHES, INC	DREHER PARK ZOOL. GARDENS	1301 SUMMIT BLVD	W PALM BEACH 33405
* 58EL156	ZOPPE, AG		3125 PEARL AVE	TAMPA 33611

GEORGIA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 57C6	ALVES, JF	HILLSIDE CHIMP FARM	PO BOX 66	CLERMONT 30527
* 57C7	BAUMANN, P&L	LIN-RICH ANIMAL CIR & PET'E M ZOO	RT 1 BOX 74	ELLERSLIE 31807
* 57C20	BUCKNER, G		RT 3 BOX 310A	JACKSON 30233
* 57C1	CHEHAW WILD ANIMAL PARK	CHEHAW WILD ANIMAL PK	RT 18 BOX 97	ALBANY 31701
* 57C4	CITY OF ATLANTA	ATLANTA ZOO. PARK	800 CHEROKEE AV. SE	ATLANTA 30315
* 57C11	EVANS, R	MONKEY PALACE	2222 PALMYRA RD	ALBANY 31702
* 57C15	FRIED, G		R 1	JULIETTE 31046
* 57C10	KETCHAM, JL		PO BOX 847	WOODSTOCK 30188
* 57C17	KETCHAM, L		PO BOX 847	WOODSTOCK 30188
* 57C18	OATLAND ISLAND EDUCATION CT		208 BULL STREET	SAVANNAH 31401
* 57C13	RUMLEY, R	GEORGIA GAME PARK	BOX 85	RISING FAWN 30738
* 57CA	SEARS,R		131 SHUMAN RD.	ELLARELL 31308
* 57C9	SHIPLEY, CJ		P.O. BOX 847	WOODSTOCK 30188
* 57C12	SIX FLAGS OVER GEORGIA		PO BOX 43187	ATLANTA 30336
* 57C5	STONE MOUNTAIN GAME RANCH INC		1 ROAT. E. LEE BLVD	STONE MOUNTAIN 30086
* 57FL50	THOMAS, HORRM		PO BOX 281	RIVERVIEW 33569
* 57C14	THOMAS, T		PO BOX 326	CARNESVILLE 30521
* 57C16	WALKER, W		310 CREST DRIVE	CALHOUN 30701
* 57C19	WHITTEN, G		6539 BENT CREEK DR	REX 30273

HAWAII

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 95C1	CITY OF HONOLULU	HONOLULU ZOO	151 KAPAHULU AVE	HONOLULU 96815
* 95C2	COUNTY OF HAWAII	PANAEWA RAINFOREST ZOO	25 AUPUNI ST	HILO 96720
* 95C7	COUNTY OF MAUI	MAUI ZOOL & BOT GARDENS		WAILUKU 96793
* 95C3	ISLAND HOLIDAYS, LTD	COCO PALMS RESORT HOTEL	PO BOX 631	LIHUE 96766
* 95C9	KOOLAU PETS, INC		45-1045 KAM HIGHWAY	KANEOHE 96744
* 95C5	MEADOW GOLD DAIRIES-HAWAI T	MEADOW GOLD DAIRIES	RR 1 BOX 224	HALEIWA 96712
* 95C8	MOLOKAI RANCH WILDLIFE PA RK		PO BOX 8	KAUNAKAKAI 96748
* 95C6	PEARL CITY TAVERN		PO BOX 246	PEARL CITY 96782
* 95C10	PIPER PRODUCTIONS		335 ELELUPE RD	HONOLULU 96821

IDAHO

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 82C6	BOISE CITY ZOO		1104 ROYAL BLVD	BOISE 83706
* 82C1	GOBLE, EL	COWBOY JOE & HIS ANIMAL SHO W	PO BOX 303	NAMPA 83651
* 82C10	KRAMER, E		STAR ROUTE	NAPLES 83847
* 82C3	ROSS PARK ZOO	ROSS PARK ZOO	BOX 4169	POCATELLO 83201
* 82C5	TAUTPHAUS PARK ZOO		PO BOX 220	IDAHO FALLS 83401
* 82C9	Y-J FOODS INC		BOX 357	COEUR D'ALENE 83814

ILLINOIS

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 33C13	ANIMAL KINGDOM, INC.	ANIMAL KINGDOM EXHIBITS	2980 N MILWAUKEE AVE	CHICAGO 60618
* 33C20	BUTKEMA, C & C	BUTKEMA ACE HARDWARE	1030 N. WASHINGTON ST.	NAPERVILLE 60540
* 33C37	CHAMPAIGN PK DISTRICT	CHILDREN'S PRAIRIE FARM	706 HOLIDAY PARK DR.	CHAMPAIGN 61820
* 33C4	CHICAGO PARK DISTRICT	LINCOLN ZOOL. PARK	2200 N CANNON DR.	CHICAGO 60614
* 33C3	CHICAGO ZOOLOGICAL PARK		3300 S GOLF RD	BROOKFIELD 60513
* 33C27	CITY OF AURORA	PHILLIPS PARK ZOO	44 EAST DOWNER PLACE	AURORA 60506
* 33C6	CITY OF BLOOMINGTON	MILLER PARK ZOO	PO BOX 3157	BLOOMINGTON 61701
* 33C33	CITY OF ELGIN	LORDS PARK	150 DEXTER CT	ELGIN 60120
* 33C40	DECATUR PARK DISTRICT		PO BOX 1136	DECATUR 62525
* 33C43	DEPT OF VETERANS AFFAIRS	ILLINOIS VETS HOME	126 W JEFFERSON ST	SPRINGFIELD 62705
* 33C51	DIETZ, WH		NORTH MAIN ST	MASON CITY 62664
* 33C32	DUNDEE TOWNSHIP PARK DIST RICT	RANDALL OAKS PARK & ZOO	21 N WASHINGTON ST	CARPENTERSVILLE 60110
* 33C24	EDLUND, L & R	WHITE PINES DEER PARK	R 3 BOX 259	OREGON 61061
* 33C28	FOREST PARK FOUNDATION	WILDLIFE PRAIRIE PARK	4801 N. PROSPECT RD.	PEORIA HEIGHTS 61614
* 33C26	FOREST PRESERVE DIST/COOK CO.		536 NO HARLEM AV	RIVER FOREST 60305
* 33C52	FOX VALLEY PARK DIST	PIONEER PARK	712 SOUTH RIVER ST	AURORA 60505
* 33C53	HAWTHORN CIRCUS CORP		RT 1 BOX 32H	GRAYSLAKE 60030
* 33C34	LAMAS, INC.		BOX 520	LIBERTYVILLE 60048
* 33C44	LASALLE COUNTY PARKS	ENVIRONMENTAL CENTER	RT 4	OTTAWA 61350
* 33C39	MARTINEZ, CA		1648 CENTRAL	NORTHBROOK 60062
* 33C14	MATHENA M		26 W. 300-ARMY TRAIL RD.	BARTLETT 60130
* 33C50	NAGHTIM, W		1648 CENTRAL AVE.	NORTHBROOK 60062
* 33C29	NORTH POLE CORP	SANTA'S VILLAGE	RT 72 R 25	E DUNDEE 60118
* 33C1	PEORIA PARK DISTRICT	GLEN OAK ZOO	2218 NO PROSPECT RD	PEORIA 61603
* 33C8	ROZEN, G		439 BUCKEY DR	WHEELING 60090
* 33C45	REDING, M	FISHERMAN'S DUNE RANCH	9600 GOLF RD	DES PLAINES 60016
* 33C42	ROCK ISLAND CO FOREST PRE S.	NIABI ZOOL. PRESERVE	1504 3RD AVE	ROCK ISLAND 61201
* 33C30	ROCKPORT PARK DISTRICT	CHILDREN'S FARM	1401 N SECOND ST	ROCKFORD 61107
* 33C9	SCIENTIF SM AN LAB & FM I NC	HUME'S EXOTIC WILDLIFE RANC H	401 W GOLF RD	ARLINGTON HEIGHTS 60005
* 33C31	SHAFTON, S	THE AMAZING MR. SHAFTON	616 WICKER AV	STREAMWOOD 60103
* 33C38	SHEPPARD, JA		RR 4	SPRINGFIELD 62707
* 33C35	SPRINGFIELD PARK DISTRICT	HENSON C. ROBINSON ZOO	PO BOX 5052	SPRINGFIELD 62705
* 33C54	STEFFEN, R		8214 TYRON GROVE RD	RICHMOND 60071
* 33C41	TALBOTT, G		RR2	MANITO 61546
* 33C10	VOKOUN, WF	PINE HILL BIRD FARM & ZOO	2605 63RD ST	DOWNERS GROVE 60515
* 33C5	WATSON, L	PLAINSMEN ZOO	RT 4 BOX 151	ELGIN 60120
* 33C15	WHEATON PARK DIST.	COSLEY CHILDREN'S ANIMAL FA RM	666 SO MAIN ST	WHEATON 60187
* 33C46	WILHELM'S LANDING, INC		PO BOX 934	JOLIET 60434
* 33C2	WONDERFUL WORLD OF ANIMAL S		RR 2 BOX 329	PEORIA 61614

INDIANA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 32C47	AMISHVILLE U.S.A.		RR 2	GENEVA 46740
* 32C8	BIGGS, M		BOX 18	RUSSELLVILLE 46175
* 32C42	BOOKER, C	STORYBOOK VILLAGE		DUGGER 47848
* 32C43	BOYD, RD	SILVERMOON ROLLER RINK	RR 2, BOX 98	WATERLOO 46793
* 32C49	BRITTON,II,JD		RR 15 BOX 31	BRAZIL 47834
* 32C40	CHILDREN'S MUSUEM, INC.		3000 N. MERIDIAN	INDIANAPOLIS 46208
* 32C11	CITY OF EVANSVILLE	MESKER PARK ZOO	CIVIC CENTER COMPLEX	EVANSVILLE 47737
* 32C10	CITY OF MICHIGAN CITY	WASHINGTON PARK ZOO GDNS	LAKE FRONT	MICHIGAN CITY 46360
* 32C3	CITY OF RICHMOND	GLEN MILLER PARK	PARK & REC DEPT	RICHMOND 47374
* 32C36	DEPT. OF NATURAL RESOURCES	POKAGON STATE PARK	616 STATE OFFICE BLDG	INDIANAPOLIS 46204
* 32C16	DRAKE, RW&J	HILL HAVEN GAME FARM & ZOO	RR 51 BOX 699	TERRE HAUTE 47805
* 32C5	FT WAYNE CHILDRENS ZOOL. GDNS		3411 SHERMAN ST	FORT WAYNE 46808
* 32C50	GILBERT,P		RR 1 BOX 1308	GREENWOOD 46142
* 32C2	HARDING, WR & ME		382 W MAIN ST	PERU 46970
* 32C26	IND DEPT OF NATURAL RESOURCES	JASPER-PULASKI FISH & WILD AREA	607 STATE OFFICE BLG	INDIANAPOLIS 46204
* 32C1	INDIANAPOLIS ZOOL. SOC., INC.		3120 E 30TH ST	INDIANAPOLIS 46218
* 32C29	KELLY, PR	KELLY WINTER CIRCUS	RR 5	PERU 46970
* 32C24	LAFAYETTE BOARD OF PARKS & REC	COLUMBIAN PARK ZOO	1915 SCOTT ST	LAFAYETTE 47904
* 32C19	LAKE COUNTY FAIRGROUNDS		889 S COURT ST	CROWN POINT 46307
* 32C6	N AM. WILDLIFE PARK FNDN. INC	WOLF PARK		BATTLE GROUND 47920
* 32C38	PARISH, R		RT 1	KINGMAN 47952
* 32C45	PICKETT, N		238 NORTH DEPOT ST	BRAZIL 47834
* 32C27	POTAWATOMI PARK ZOO		301 S ST. LOUIS BLVD	SOUTH BEND 46617
* 32C28	SANTA CLAUS LAND INC		BOX 36	SANTA CLAUS 47579
* 32C39	SCHAFFER, M		4512 W 131ST ST.	WESTFIELD 46074
* 32C12	SMITH, GT		RT. 1 BOX 138A	GALVESTON 46932
* 32C33	SMITH, K		RT 3 BOX 43	MUNCIE 47302
* 32C41	STRONG, DC	BEARCREEK FARMS	R 1	BRYANT 47326
* 32C48	TAYLOR, KJ	TAYLOR ENTERPRISES	RT 1 BOX 151	CARBON, 47837
* 32C34	TURNER, RK		RR 1 BOX 223	WALTON 46994
* 32C21	VOLZ, MC		RR 2 BOX 430	BATESVILLE 47006
* 32C14	WARNER, J	JEANI'S CARAVAN	2915 MORRIS AVE	TERRE HAUTE 47805
* 32C46	WEATHERWAX, G		54311 CR 33	MIDDLEBURY 46540
* 32C20	WEBERDING, W		RT 3 BOX 117	BATESVILLE 47006
* 32C4A	WILDLIFE EDUCATIONAL SERV		5559 W MARKE ST	INDIANAPOLIS 46224
* 32C31	WISE, D		R 1 BOX 416	MUNCIE 47302
* 32C32	WOODARD, F & R	SPRUCE HILL FARMS	RR 7 BOX 286	MARTINSVILLE 46151
* 32C23	ZOPPF, SR., J & DE		RR 2 BOX 484C	ROCHESTER 46975

IOWA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 42631	BLAIR, JT	DEERLAND ZOO		LAKE PARK 51347
* 42561	CITY OF MUSCATINE	WEED PARK ZOO		MUSCATINE 52761
* 42541	DES MOINES CHILDREN'S ZOO		7401 SW 9TH ST	DES MOINES 50315
* 42651	ELDORA CITY PARK		1702 15TH ST	ELDORA 50627
* 42641	LYON COUNTRY CONSERVATION LEAGUE			ROCK RAPIDS 51246
* 42621	SEABOLD, J	SEABOLD ANIMAL FARM	VALLEY RD RT 1	KEOKUK 52632

KANSAS

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 48CA08	BRIT SPAUGH ZOO		BOX 215	GREAT BEND 67530
* 48CA13	CITY OF HUTCHINSON PK DPT	CAREY PARK	PO BOX 1567	HUTCHINSON 67501
* 48CA09	CITY OF INDEPENDENCE	RALPH MITCHELL ZOO	RT 4	INDEPENDENCE 67301
* 48CA12	CITY OF MANHATTAN	SUNSET ZOOLOGICAL PARK	11TH & POYNTZ PO BOX 748	MANHATTAN 66502
* 48CA10	DAVIS, BRK	HAPPY HOLLOW ZOO	101 HAPPY HOLLOW LANE	FORT SCOTT 66701
* 48CA14	EMPORIA PARK DEPT	EMPORIA ZOO	BOX 928	EMPORIA 66801
* 48CA07	FARMER, LR	PRAIRIE DOG TOWN	I-70 & HWY 83	OAKLEY 67748
* 48CA06	LEE RICHARDSON ZOO		P.O. BOX 499	GARDEN CITY 67846
* 48CA15	NOEL, F	NOEL'S SPECIALTY ACTS	RT 2 BOX 567	MULVANE 67110
* 48CA05	SEDGWICK COUNTY ZOOLOGICA L SOCIETY		5555 ZOO BLVD	WICHITA 67212
* 48CA11	SHARON, J	MID-AMERICAN ZOO,GONS	8000 REEDS RD,	PRAIRIE VILLAGE 66210
* 48CA03	TOPEKA ZOOLOGICAL PARK	GAGE PARK ZOO	635 GAGE BLVD	TOPEKA 66606

KENTUCKY

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 61CA	LOUISVILLE ZOO, GARDEN		1100 TREVILIAN WAY	LOUISVILLE 40213

LOUISIANA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 72C1	ALEXANDRIA ZOOLOGICAL PAR K		PO BOX 71	ALEXANDRIA 71301
* 72C4	AUDUBON PARK COMMISSION	AUDUBON PARK & ZOOL, GARDEN S	PO BOX 4327	NEW ORLEANS 70118
* 72C2	CITY OF MONROE	LOUISIANA PURCHASE GARDENS & ZOO	PO BOX 123	MONROE 71201
* 72C8	FUN FAIR PARK		8475 FLORIDA BLVD.	BATON ROUGE 70806
* 72C3	GREATER BATON ROUGE ZOO		PO BOX 60	BAKER
* 72C5	LEBLANC, RJ	CRITTER CORNER PET SHOP	PO BOX 458	CUT OFF 70345
* 72C7	WHITE, G	GULF COAST SERPENTARIUM	RT 1 BOX 455	SLIDELL 70458

MAINE

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 11C4	ANIMAL FOREST PARK		BOX 404	YORK BEACH 03910
* 11C1	FRASER, CH	LUDLOW BEAR FARM	RFD #1 BOX 168	HOULTON 04730
* 11C8	GALLOP, V	GALLOP'S ANIMAL RANCH	RFD 2	HOULTON 04730
* 11C12	GATES, L	SEA WORLD	JFK MALL	WATERVILLE 04901
* 11C13	GOLDBERG, AD	SIMPSONS ANIMAL PARK	OLD BATH RD	BRUNSWICK 04011
* 11C10	LAUPPE, A	SIMPSON'S WILD ANIMAL PARK	OLD BATH ROAD	BRUNSWICK 04011
* 11C9	MCKENNA, E	RUMFORD WILD ANIMAL PARK	RT 2	RUMFORD POINT 04279
* 11C7	MERRILL, C&E	MERRILL WILDLIFE CT	RFD 2 RT 136	LISBON FALLS 04252
* 11C11	PRIME, E	ROSELEDGE FARM	RFD #5	AUGUSTA 04330
* 11C6	SEALAND OF CAPE COD INC.	AQUALAND WILDLIFE PARK	RT 6A	BREWSTER 02636
* 11C5	SKIRICKI, J		5 SAYWARD ST	KENNEBUNK 04043

MARYLAND

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 51FAA	AMBROSE, EL		RT 2 BOX 1138	HAGERSTOWN 21740
* 51C6	BALTIMORE ZOO		DRUID HILL PARK	BALTIMORE 21217
* 51FAF	DAVIS, G & J	TRIO-D-FARM SUPPLY	PO BOX 325 RB STATION	PASADENA 21122
* 51RE12	ENCHANTED FOREST		10040 BALTO NATL PIKE	ELLICOTT CITY 21043
* 51FAE	HINKLE, J		1023 MD AVE	HAGERSTOWN 21740
* 51FAD	LEWIS, JL	BIG VALLEY RANCH	BOX 1156 LAKE SHORE	PASADENA 21122
* 51RE5	SALISBURY ZOO		750 S. PARK DRIVE	SALISBURY 21801
* 51RE3	ZOOLOGICAL CONSORT OF MD. INC.	CATOCTIN MOUNTAIN ZOO	RT. 3 BOX 126	THURMONT 21788

MASSACHUSETTS

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 14C9	AQUARIUM OF CAPE COD, INC	AQUA CIRCUS	RT 28	WEST YARMOUTH 02673
* 14C7	BOSTON MUSEUM OF SCIENCE	LIVE ANIMAL CENTER	SCIENCE PARK	BOSTON 02114
* 14C5	CAPRON PARK ZOO		COUNTY ST	ATTLEBORO 02703
* 14C11	CHILDREN'S MUSEUM	THE JAMAICAWAY	300 CONGRESS ST.	BOSTON 02210
* 14C17	CITY OF NEW BEDFORD	BUTTONWOOD PARK ZOO	133 WILLIAM ST.	NEW BEDFORD 02740
* 14C21	CITY OF PITTSFIELD	DEPT OF PARKS & RECREATION	874 NORTH ST	PITTSFIELD 01201
* 14C28	CITY OF SPRINGFIELD	FOREST PARK ZOO		SPRINGFIELD 01108
* 14C16	CITY OF WORCESTER	GREEN HILL PARK	455 MAIN STREET	WORCESTER 01608
* 14C33	CLERMONT, M		BOX 1206	OAK BLUFFS 02557
* 14C10	EDAVILLE CORP		BOX 7 RTE 58	SOUTH CARVER 02366
* 14C30	ESTES, KL	MOHAWK TEPEE GIFT SHOP	RT 2 MOHAWK TRAIL	SHELBURNE FALLS 01370
* 14C4	FOREST PARK ZOOL. SOCIETY	J.P. HEADY KIDDIELAND ZOO	SOUTH GREETING RD	SPRINGFIELD 01108
* 14C15	FRANK NEWHALL LOOK MEM. PARK		300 N MAIN ST	FLORENCE 01060
* 14C22	H. K. WEBSTER CO., INC.		32 WEST ST	LAWRENCE 01842
* 14C35	LEGRANCOTS, P & D	IT'S AN ANIMAL'S WORLD	10 WHITCOMB ST	WEBSTER 07150
* 14C27	MASS AUDUBON SOC		SD. GREAT RD	LINCOLN 01773
* 14C1	METROPOLITAN DIST COMM	FRANKLIN PARK ZOO		DORCHESTER 02121
* 14C18	MT. WACHUSETT ANIMAL FORE ST INC.		OLD PRINCETON RD BOX 51	HUBBARDSTON 01452
* 14C12	NEW ENGLAND AQUARIUM CORP		CENTRAL WHARF	BOSTON 02110
* 14C36	SMITHSON, GM	BASSETT WILD ANIMAL FARM	620 TOBMAN RD	BREWSTER 02631
* 14C34	SOUTHWICK BIRDS & ANIMALS INC		RFD	BLACKSTONE 01504
* 14C20	SPRINGFIELD SCI. MUSUEM		236 STATE ST	SPRINGFIELD 01103
* 14C31	TOWER, RF	TUDOR AQUARIUM	399 WEST BROADWAY	SOUTH BOSTON 02127
* 14C24	TOWN OF NORTH ATTLEBORO	WORLD WAR 1 MEMORIAL PARK	58 NO. WASHINGTON ST	NORTH ATTLEBORO 02760
* 14C14	WALTHAM PK & REC DEPT		314 TOTTEN POND RD	WALTHAM 02154
* 14C32	WATSON, R	EAST COAST CAMEL CO	POND STREET	ESSEX 01929
* 14C37	WILLIAMS, D	AUSTRALIAN PRODUCTIONS INC	C/O SOUTHWICK BIRDS & ANIMALS	MENDON 01756
* 14C3	WORCESTER SCIENCE CTR	WORCESTER NAT HIS SOC	222 HARRINGTON WAY	WORCESTER 01604

MICHIGAN

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 34CER	CITY OF DETROIT		BOX 39	ROYAL OAK 48068
* 34CE10	CITY OF GRAND RAPIDS	JOHN BALL ZOOL. GARDENS	301 MARKET ST SW	GRAND RAPIDS 49502
* 34CE15	CITY OF LANSING	POTTER PARK ZOO	1301 S PENN AVE	LANSING 48933
* 34CE9	DEER FOREST PARK INC		BOX 817	COLOMA 49038
* 34CE3	DEER PARK FUNLAND INC		4750 WHITEHALL RD	MUSKEGON 49445
* 34CE1	HEIDE, K	GAME HAVEN	13750 SHIRE RD	WOLVERINE 49799
* 34CE12	SCHUMACHER, S	SCHUMACHER'S CHIMPANZEES	7456 NORTHWAY	UNION LAKE 48085
* 34CE13	SOULFS, G	POODLES OF PARIS	7456 NORTHWAY	UNION LAKE 48085

MINNESOTA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 41C32	BLUE MOUND INN		NORTH HWY 75	LUVERNE 56156
* 41C17	CAMPBELL, D	MILLIKEN CREEK	RR 1 BOX 104	WEST CONCORD 55985
* 41C27	CITY OF LITTLE FALLS		100 NE SEVENTH AVE	LITTLE FALLS 56345
* 41C38	CITY OF WADENA		BOX 30	WADENA 56482
* 41C10	COLD SPRINGS, INC.	COLD SPRINGS 66		ORR 55771
* 41C14	EGGLESTON, ORD		DEER VALLEY	WALKER 56484
* 41C31	GIYSTRAD, G	NEEDLEWOODS WILDLIFE RANCH	STAR ROUTE	DETROIT LAKES 56501
* 41C36	HIMES, D	TRAPPER HIMES WILD		RAY 56669
* 41C16	LABARGE, LRR	SMOKEY HOLLOW ANIMAL HAVEN	RT 1 BOX 59	CHISHOLM 55719
* 41C25	LENZ, EC	DEER FOREST	PO BOX 138	NISSWA 56468
* 41C26	LENZ, W	WILLY LENZ CHIMPANZEE ACT	BOX 138	NISSWA 56468
* 41C35	LIESTMAN, D & D	THE FARM SUPPER CLUB INC	RT 4	PRINCETON 55371
* 41C9	MARKKANEN, R	10,000 SEA SHELLS	RT 1 BOX 282	EVELETH 55734
* 41C22	MCGREGOR DAIRY QUEEN, INC		BOX 66	MCGREGOR 55760
* 41C28	NEAL, MF	THE ARK PETSHOP INC	12487 CENTRAL AVE NE	BLAINE 55434
* 41C23	PARSONS, A	HIGH FALLS TRAD.POST		GRAND PORTAGE 55605
* 41C5	ROLLIE WESTMAN ENT INC	WILDWOOD	ROUTE 1	CENTER CITY 55012
* 41C33	SHEPPARD, DE	SPLIT ROCK TRADING POST	510 WILSON AVE NE	ST CLOUD 56301
* 41C24	SIMPSON, D & D	DEER TOWN	RT 2	PARK RAPIDS 56470
* 41C20	SMUDA, F & L		RT 3 BOX 244	LITTLE FALLS 56345
* 41C19	STATE OF MINNESOTA	MINN ZOOLOGICAL GARDEN	12101 JOHNNY CAKE RIDGE RD	APPLE VALLEY 55124
* 41C3	TANK, GA	DEERLAND ENT	RT 7 BOX 11	BRAINERD 56401
* 41C6	TRYON, P	HIGH TOWN DEER PARK	RT 3 BOX 160	BEMIDJI 56601
* 41C11	VALLEYFAIR INC.		1 VALLEYFAIR DRIVE	SHAKOPEE 55379
* 41C18	WALTERS, C	RIVERSIDE INN	PO BOX 96	SIDE LAKE 55781
* 41C30	WOLFE, P	RAINBOW VALLEY	RT #1 BOX 185	CROSSLAKE 56442

MISSISSIPPI

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 65C6	BRELAND, MA	THE CABIN STORE	HWY 29-NORTH	WIGGINS 39577
* 65C2	CITY OF HATTIESBURG	KAMPER PARK & ZOO	107-17TH AVE	HATTIESBURG 39401
* 65JZ	CITY OF JACKSON	JACKSON ZOOLOGICAL PARK	2918 W CAPITOL ST	JACKSON 39209
* 65C1	CITY OF MERIDIAN	MERIDIAN ZOO	PO BOX 4153 W. STATION	MERIDIAN 39301
* 65C7	GRIZZARD, H R J	FANTASY LAND	RT 5 BOX 86	FLORENCE 39073
* 65C5	MARINE ANIMAL PRODUCTIONS INC		150 DEBUYS RD	BILOXI 39531
* 65C8	NESHOBA COUNTY PARK & REC	PHILADELPHIA PARK & ZOO	RT 6 BOX 5	PHILADELPHIA 39350

MISSOURI

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 43A2L				
* 43A0L	ACTION ADVERTISING CO.		MURRAY HILL ENTERPRISES	FORDLAND 65652
* 4359L	ANHEUSER-BUSCH, INC.		2819 WILCOX RD.	POPLAR BLUFF 63901
* 4353L	CAMPBELL, D & S	ANIMAL WORLD	721 PESTALOZZI ST	ST. LOUIS 63118
* 43A1L	CARDEN-JOHNSON CIRCUS CORP.		RT. 2 BOX 80	ELDON 65026 WILLARD 65781
* 4376L	HALE, D	5-H RANCH ZOO	RT 2	CAPE GIRARDEAU 63701
* 4368L	JACKSON CO. PARK DEPT.		BOX 124 RT 1	BLUE SPRINGS 64015
* 4377L	JONES, D & G	ROARING ACRES ZOO RANCH	PO BOX 127	CAPE GIRARDEAU 63701
* 4354L	JONES, J		R 1	STRAFFORD 65757
* 4362L	KANSAS CITY ZOO, GARDENS		SHOPE PARK	KANSAS CITY 64132
* 4379L	KRUSE, M	HOMESTEAD FARM	RT. 2 BOX 306	BLUE SPRINGS 64015 ELDON 65026
* 4352L	MAY ALLEN'S ZOOLOG. GARDENS			
* 4350L	SIX FLAGS OVER MID-AMERIC & INC		PO BOX 666	EUREKA 63025
* 4351L	SPRINGFIELD PARK BOARD	DICKERSON PARK ZOO	1923 NORTH WELLER	SPRINGFIELD 65803
* 43A1L	ST. LOUIS ZOOLOGICAL PARK		FOREST PARK	ST. LOUIS 63110

MONTANA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 41C4	GREBE, LR		BOX 187	MELSTONE 59054
* 41C2	RED LODGE ZOO		523 N. HAUSER	RED LODGE 59068
* 41C1	THE TRAP INC		5850 HWY 2 EAST	COLUMBIA FALLS 59912

NEBRASKA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 47C02	AGER MEMORIAL ZOO	PIONEER PARK ZOO	1300 S 27TH ST	LINCOLN 68502
* 47C1	ARNOTT R, FOLSOM ZOOL, SO C.	FOLSOM CHILDREN'S ZOO	2800 A ST	LINCOLN 68502
* 47C06	BROZOVSKY, L & A	A & B MONKEY HAVEN	309 BURLINGTON	YORK 68467
* 47C05	CITY OF NORTH PLATTE	CODY PARK ZOO	211 WEST 3RD ST	NORTH PLATTE 69101
* 47C03	HENRY DOORLY ZOO	RIVERVIEW PARK		OMAHA 68107

NEVADA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 88E7	AUBAIN, CL		115 E WIGWAM AVE,	LAS VEGAS 89119
* 88E5	AUGSPURG, C		6772 EASTERN AVE	LAS VEGAS 89119
* 88E24	BECK, C		6206 MEADOWBROOK LANE	LAS VEGAS 89103
* 88E25	BEROSINI, R	BOBBY BEROSINI LTD	2716 E RENO AVE	LAS VEGAS 89120
* 88E12	DETROY, G	MARQUIS CHIMPANZEES	2971 SANTA MARGARITA	LAS VEGAS 89102
* 88E11	EVANS, K	CATS	PO 19657	LAS VEGAS 89119
* 88E20	MANTIGOVA, D & D		4659 MONTEREY CIRCLE	LAS VEGAS 89109
* 88E23	HINMAN, A & J		STAR RT BOX 7562	PAHRUMP 89041
* 88E18	LEE, LR & PRATT, SR	STRAW HAT ANIMALS	1313 CHARMAST AVE,	LAS VEGAS 89102
* 88E13	LEVINSON, A&R	BONNIE SPRINGS RANCH		OLD NEVADA 89004
* 88E15	MEYER, L	PARADISE PET CLINIC	2115 MONEGAN WAY	LAS VEGAS 89109
* 88E19	POST, M		10890 CHESTNUT RD	RENO 89506
* 88E10	RUBY, J & M	RANCHO LOS LOBOS	PO BOX 95	MOAPA 89025
* 88E22	SCHERNK, W	THE ANDEN'S POODLES	4026 SYRACUSE DR	LAS VEGAS 89121
* 88E6	SIEGFRIED & ROY, ENT, INC		1639 NO VALLEY DR	LAS VEGAS 89108
* 88E4	SPARKS NUGGET, INC.		PO BOX 797	SPARKS 89431
* 88E17	VARGAS, J & P		192 ERIE AVE	LAS VEGAS 89119
* 88E3	VINICKY, J		3131 S JONES BLVD	LAS VEGAS 89102
* 88E21	WYNN, FF		PO BOX 1270	WINNEMUCCA 89445

NEW HAMPSHIRE

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 12C10	BALDT, L	ENCHANTED FOREST	87 SHORE DR,	LACONIA 03246
* 12C1	BENSON WILD ANIMAL FARM, INC.		RT 111	HUDSON 03051
* 12C6	BRADY, J	SIX GUN CITY	RT, 2 BOX 114	JEFFERSON 03583
* 12C3	CLARK'S TRADING POST		US ROUTE3	LINCOLN 03251
* 12C5	FOX, H	FRIENDLY FARM	BOX 76	DUBLIN 03444
* 12C2	LIN-WOOD INVESTORS, INC	FANTASY FARM-NATURELAND	RT 3	LINCOLN 03251
* 12C11	MORRELL CORP,	STORYLAND	BOX 1776 RTE 16	GLEN 03860
* 12C7	SANTA'S VILLAGE INC,		PO BOX A	JEFFERSON 03583
* 12C4	SQUAM LAKES SCIENCE CENTER		PO BOX 146	HOLDERNESS 03245
* 12C9	WEIRS SPORTS CENTER, INC	STORYBOOK FOREST	RT 3	WEIRS BEACH 03246

NEW JERSEY

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 22C9	ANIMAL ACTORS INT INC		RD 3 BOX 221	WASHINGTON 07882
* 22C22	BERGEN CO. PARK COMM.		575 MAIN STREET	HACKENSACK 07601
* 22C15	BRIDGETON CITY PARK	COHANZICK ZOO	CITY PARK	BRIDGETON 08302
* 22C37	BROWN, D & SOUTHREY, WR	ANIMAL ATTRACTIONS UNLIMITED	BOX 365 A-RD 4	PLEASANTVILLE 08232
* 22C35	BURGER, J & F		RD 1 BOX 431-A	BLACKWOOD 08012
* 22C36	CAPEMAY COUNTY PARK ZOO		RT 9	CAPE MAY COURT HOUSE 08210
* 22C25	CITY OF TRENTON	CADWALADER PARK	CITY HALL E STATE ST	TRENTON 08608
* 22C20	COLLINS, R		BOX 550 RT 23	OAK RIDGE 07438
* 22C16	DAWN ANIMAL AGENCY, INC	MEADOW GATE FARM	CROSS RD	COLTS NECK 07722
* 22C40	DIETCH, R		41-23 GIFGER PLACE	FAIR LAWN 07410
* 22C11	ESSEX COUNTY PARK COMM	TURTLE BACK ZOO	115 CLIFTON AVENUE	NEWARK 07104
* 22C29	GIORDANO, SRR		RD 1 BOX 109-F	FRANKLIN 07416
* 22C12	GREAT ADVENTURE INC.		P.O. BOX 120	JACKSON 08527
* 22C21	HUNT'S CIRCUS, INC.		PO BOX 66	FLORENCE 08518
* 22C14	JONES, R		151 N ANNAPOLIS AVE	ATLANTIC CITY 08401
* 22C27	MARASEK, JR		PO BOX 12	CLARKSBURG 08510
* 22C28	MCMILLAN, D	GREAT ADVENTURE	RT 1 ORR RD	ALLENTOWN 08501
* 22C23	MIDDLESEX CO. PKS./REC. DEPT.		PO BOX 661	NEW BRUNSWICK 08903
* 22C38	POPCORN PARK ZOO		PO BOX 43	FORKED RIVER 08731
* 22C31	ROBINSON, R	BOUINK THE CLOWN	627 CAYUGA	PLEASANTVILLE 08232
* 22C4	ROSE, C & M	ROSE'S ANIMAL FARM	HOBSON AVENUE	TRENTON 08610
* 22C30	SILS, JR		PO BOX 123	BORDENTOWN 08505
* 22C2	SPACE WILD ANIMAL FARM INC		RFD #6 BEEMERVILLE RD	SUSSEX 07461
* 22C17	TERRY, FT&EL	TERRY LOU ZOO	1451 RARITAN RD	SCOTCH PLAINS 07076
* 22C32	TOWN OF KEARNY		BERGEN AVENUE	KEARNY 07032
* 22C7	WHITCRAFT, JR	MONKEY BUSINESS	MAIN ST BOX 3	JULIUSTOWN 08042
* 22C13	WINTERS, R		44 COLUMBINE RD	PARAMUS 07652
* 22C39	YOUNG, PM	MISTY HOLLOW FARM	RD 1 BOX 275	FLEMINGTON 08822

NEW MEXICO

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 85C3	CITY OF ALAMOGORDO	ALAMEDA PARK ZOO	511 TENTH ST.	ALMOGORDO 88310
* 85CNM	CITY OF ALBUQUERQUE	RIO GRANDE ZOOL PK	PO BOX 1293	ALBUQUERQUE 87102
* 85C4	LIVING DESERT STATE PARK		PO BOX 100	CARLSBAD 88220
* 85C5	SPRING RIVER PARK & ZOO			ROSWELL 88201
* 85C2	STARKEY, ER	FLYING W'S EXOTIC GAME FARM	3406 ENGLISH RD	FARMINGTON 87401

NEW YORK

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 21JT	ALL-TAME ANIMALS, INC.		53 OLD WELL RD.	PURCHASE 10577
* 21CP	ANIMAL LAND INC		RT. 9 RD 2	GLENS FALLS 12801
* 21LW	BARRA, JF		1721 ST. PAUL ST	ROCHESTER 14621
* 21ME	BOARD OF TRUSTEES	WILDCLIFF MUSEUM	WILDCLIFF RD	NEW ROCHELLE 10805
* 21DM	BURNET PARK ZOO		P.O. BOX 146	LIVERPOOL 13088
* 21NS	CARPENTER, G	T.K. PETTING ZOO	5996 ERIE BLVD	ROME 13440
* 21CL	CATSKILL GAME FARM INC		RD 1 BOX 92	CATSKILL 12414
* 21KA	CHATEAU STABLES, INC.		608 W 48TH ST	NEW YORK 10036
* 21JA	CITY OF KINGSTON	FORSYTH PARK ZOO	467 BROADWAY	KINGSTON 12401
* 21FW	CITY OF NEW YORK	CENTRAL PARK ZOO	830 FIFTH AV	NEW YORK 10021
* 21CW	CITY OF UTICA & UTICA ZOO SOC.		STEELE HILL RD	UTICA 13501
* 21FA	CITY OF WATERTOWN	THOMPSON PARK ZOO	215 WASHINGTON ST.	WATERTOWN 13601
* 21AZ	COUNTY OF MONROE	SENECA PARK ZOO	39 MAIN ST. WEST	ROCHESTER 14614
* 21NL	CROSS, L & R	FORT RICKEY GAME FARM	RD #5	ROME 13440
* 21ND	FOWLER, L	ZIPPY ENTERPRISES	439 GUY LOMBARDO AVE	FREEPORT 11520
* 21JC	FANTASY ISLAND, INC		2400 GRAND ISLAND BLVD	GRAND ISLAND 14072
* 21DX	FRONTIER TOWN PROD., INC			NO HUDSON 12855
* 21HT	GILLETTE, AE	MAGIC FOREST	RT. 9	LAKE GEORGE 12845
* 21NB	HERITAGE DEVELOPMENT GR., INC.		HERITAGE HILLS DR.	SOMERS 10589
* 21LA	HERMAN'S NURSERY, INC.		660 DUTCHESS TNPK	POUGHKEEPSIE 12603
* 21NA	LITTLE LION LAND, INC.		1134 UNION AVE.	NEWBURGH 12550
* 21NZ	LOLLYPOP FARM OF ROCHESTER INC.		99 VICTOR RD.	FAIRPORT 14450
* 21GZ	LONG ISLAND GAME FARM INC.		CHAMPMAN BLVD	MANORVILLE 11949
* 21KX	MARMOSET BREEDING FARM, INC.	MARMOSET BREEDING FARM	362 MOSLEY RD	ROCHESTER 14616
* 21JA	MILLBROOK SCHOOL		MILLBROOK SCH RD	MILLBROOK 12545
* 21KS	MOREAU, PJ	JOY & THE MOREAU BOYS	1807 18TH ST	NIAGARA FALLS 14305
* 21DY	MUS. OF THE HUDSON HIGHLANDS		THE BOULEVARD	CORNWALL-ON-HUDSON 12520
* 21AW	NEW YORK ZOOLOGICAL SOC		185TH ST&SOUTHERN BLVD	BRONX 10460
* 21NX	NEWMAN, R	BETTE & HER HOLLYWOOD PALS	32-76 36TH ST.	ASTORIA 11106
* 21KZ	OPPENHEIM ZOOLOGICAL SOC.		2697 NIAGARA FALLS BLVD	NIAGARA FALLS 14304
* 21DW	PALISADES INTERSTATE PARK COMM	BEAR MOUNTAIN TRAILSIDE MUS		BEAR MOUNTAIN 10911
* 21KR	POPOLIZIO, GR		RT 1	HOLMES 12531
* 21KY	RIX, A	RIX PERFORMING BEARS	R.D. 1 BOX 12	MIDDLETOWN 10940
* 21MU	ROSH, R	SPANISH HILL MORIL	BOX 3	WAVERLY 14892
* 21LE	SARO, D		RT 44 BOX 181	AMENIA 12501
* 21FM	SANTA'S WORKSHOP, INC			NORTH POLE 12946
* 21NU	SEA RESEARCH FOUND., INC	AQUARIUM OF NIAGARA FALLS N Y	701 WHIRLPOOL ST	NIAGRAA FALLS 14301
* 21LF	SERGENT, D		RT 44 BOX 181	AMENIA 12501
* 21FL	SNYDER DARIEN CORP		9993 ALLEGHENY RD	CORFU 14036
* 21FA	SOUTHERN TIER ZOOLOGICAL SOC.	ROSS PARK ZOO	185 PARK AVE.	BINGHAMTON 13903
* 21HY	STATEN ISLAND ZOOLOGICAL SOC.		614 BROADWAY	LONG ISLAND 10310
* 21KW	STEINHERR, S		RR 1 BOX 152	WINDHAM 12496

NEW YORK

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 21DR	STERLING AK FUR/GAME FARM INC		17 SARANAC AV	LAKE PLACID 12946
* 21EP	STORYTOWN FUN PARK		LAKE GEORGE RD.	GLENS FALLS 12801
* 21NI	TOWN OF HARRISON	WEST HARRISON MEMORIAL PARK	1 HILLSIDE AVE	HARRISON 10528
* 21ML	VEIT, JM	CANDY CANE VILLAGE	1945 EDEN EVANS RD	EDEN 14057
* 21KT	VIDREL, ARJ		RR 1 BOX 251	WINDHAM 12496
* 21FJ	ZOOL. SOC. OF BUFFALO, INC.	BUFFALO ZOOL. GARDENS		BUFFALO 14214

NORTH CAROLINA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 55C25	BOWIE, F		862 SHAW MILL RD	FAYETTEVILLE 28301
* 55C18	CARRADO, JR, N	CARRADO ENTERPRISES	PO BOX 894	CARTHAGE 28327
* 55C9	CITY OF ASHEVILLE	NATURE CENTER	GASHES CREEK ROAD	ASHEVILLE 28805
* 55C23	CITY OF GREENSBORO	NATURAL SCIENCE CTR OF GREENSBORO	4301 LAWNDALE	GREENSBORO 2708
* 55C22	FEICK, GT		RT #2 BOX 152 A	SYLVA 28779
* 55C19	GORE, J & BRITT, R		552 HILLIARD DR	FAYETTEVILLE 28301
* 55C24	HAMPTON, H & R & J	HAMPTON ENTERPRISES	RT 1	MT ULLA 28125
* 55C17	JOHNSON, RF & HH	FT CHEROKEE TRADING POST	5625 CAROLINA BEACH RD	WILMINGTON 28403
* 55C10	JOHNSON, SR, CH	JOHNSON'S ZOO & ANIMAL FARM	BUFFALO RD RT 3 BOX 464	SMITHFIELD 27577
* 55C15	LEE, M	RAINBOW TRAVELING SHOW	RT 2 SLEEPY CREEK	DUDLY 28333
* 55C16	LYONS, D	SANTA'S LAND ZOO	BOX 1348	CHEROKEE 28719
* 55C3	MILLER, JP	SOCO GARDENS ZOO	RT 1 BOX 355	MAGGIE VALLEY 28751
* 55C13	MOORE, V	VIC MOORE INTER, KARATE	120 DONALDSON ST	FAYETTEVILLE 28301
* 55C14	MORGAN, TM & LT	EXOTIC BIRDLAND	RT 1, BOX 156	LAURINBURG 28352
* 55C21	MURCHINSON, RW & SONS	STATE LINE ZOO	RT 1 BOX 72	STAR 27356
* 55C7	N. CAROLINA ZOOLOGICAL PARK		RT 4 BOX 73	ASHEBORO 27203
* 55C27	QUINLAN, R	QUINLAN MARINE ATTRACTIONS	RT 3 BOX 540	LINCOLNTON 28092
* 55C26	ROWAN COUNTY PARKS & REC	DAN NICHOLAS PARK NATURE CT	RT 10 BOX 832	SALISBURY 28144
* 55C20	THE MCADENVILLE FOUNDATION			MCADENVILLE 28101
* 55C5	TOMS, L	RUTHERFORD CO ZOO	RT 3 BOX 306	FOREST CITY 28043
* 55A6	TREGEMAN, GRAJ	TOTE-EM-IN-ZOO	5845 CAROLINA BCH RD	WILMINGTON 28401
* 55C6	TRUESDELL, T	SAUNOOKE BEAR LAND	P.O. BOX 795	CHEROKEE 28719
* 55C8	TWEETSIE RAILROAD INC.	THE DEER FARM	P.O. BOX 388	BLOWING ROCK 28605
* 55C11	UNDERWOOD, W & R		RT 2	VASS 28394
* 55C12	WILSON, F & H	PLAYTIME ANIMAL CIRCUS	P O BOX 1167	CONCORD 28025

NORTH DAKOTA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 45C1	CHAHINKAPA ZOO ASSN.	CHAHINKAPA ZOO	BOX 101	WAHPETON 58075
* 45C3	DAKOTA ZOOLOGICAL SOC.,INC.	DAKOTA ZOO	PO BOX 711	BISMARCK 58501
* 45C2	GOLD SEAL CO.	MEDORA ZOO		MEDORA 58645
* 45C4	MINOT PARK DISTRICT	ROOSEVELT PARK ZOO	BOX 538	MINOT 58701
* 45C5	SPRING LAKE ZOO	SPRING LAKE ZOO	BOX 1306	WILLISTON 58801

OHIO

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 31C386	BARR, L		1025 WALL STREET	MAUMEE 43537
* 31C6	CITY OF AKRON PARKS & REC BUREAU	AKRON CHILDRENS ZOO	1436 TRIPLETT BLVD BLG 1 A	AKRON 44306
* 31C350	CLARE-MAR-LAKES		RD #1 NEW LONDON EASTERN RD	NEW LONDON 44851
* 31C5	COLUMBUS ZOOLOG. GARDEN	COLUMBUS ZOO	9990 RIVERSIDE DR	POWELL 43065
* 31C360	CONLEY, CE	UNITED ZOOLOGICAL EXHIBIT	2635 NORTON RD	KENT 44240
* 31C239	CULLISON, K		1949 KIM DR	AKRON 44312
* 31C205	DIAMOND K DONKEY BALL CO		R 1	WAPAKONETA 45895
* 31C27	DIAMOND O RANCH, INC.	BUCKEYE CIRUS CORP.	1000 WARNER RD. SE	CANTON 44707
* 31C292	DODGE, G & H	GORDANS AMUSEMENTS	PO BOX 104	BURTON 44021
* 31C338	DORMAN, R	REX HILL KENNELS	4401 MANCHESTER RD.	AKRON 44319
* 31C361	DOWNIE, M	DOWNIE & ASSOCIATES	151 BELL STREET	CHAGRIN FALLS 44022
* 31C332	EBENHACK, TE		28901 EBENHACK RD	CIRCLEVILLE 43113
* 31C79	EDGERTON CONSERVATION CLUB		BOX 3	EDGERTON 53534
* 31C311	EGGERS, DG	MOTHER NATURES MENAGERIE	695 WERER AVENUE	AKRON 44303
* 31C340	FIKES, D		468 W STEELES CORNERS RD	CUYAHOGA FALLS 44223
* 31C321	FOLLIN, WJ	A-1 DONKEY BALL CO	BOX 159	UNIOPOLIS 45888
* 31C367	GOSHEN, T		3822 CANTERBURY RD	WESTLAKE 44145
* 31C357	HEIDELBERG, RF	BRUKNER NATURE CT	5995 HORSESHOE BEND RD	TROY 45373
* 31C184	HOSKINSON, SP	ANIMALS OF DISTINCTION	4 HOMESTEAD	SEVILLE 44273
* 31C349	HOWD, R	PETE AND POP	282 HIGHGROVE BLVD	AKRON 44312
* 31C363	HUMBARGER, L	GORILLA'S BARN SALE	133 MC KIM ST	BELLEVUE 44811
* 31C194	LAGOON DEER PARK INC		1502 MARTIN'S PT RD	SANDUSKY 44870
* 31C331	LEDUC, P		PO BOX 40	ATLANTA 43104
* 31C362	LOWE, JT		1192 EAST 40TH ST	CLEVELAND 44114
* 31C17	METROPARKS ZOOLOGICAL PARK	BROOKSIDE PARK	BOX 09040	CLEVELAND 44109
* 31C314	RICARD, J & B	PARKMAN ZOOLOGICAL GARDENS	16639 MAIN MARKET RD.	WEST FARMINGTON 44491
* 31C318	SAUDER MUSEUM		R 2	ARCHBOLD 43502
* 31C349	SEA WORLD OF OHIO		1100 SEA WORLD DRIVE	AURORA 44202
* 31C306	SEDIVY, R		252 SPRINGDALE LANE	MORELAND HILLS 44022
* 31C296	SEELEY, DV	LION WRECKING YARD	10139 SR 164	LISBON 44432
* 31C168	TAFT BROADCASTING CO.	KINGS ISLAND	1906 HIGHLAND AVE.	CINCINNATI 45219
* 31C158	THE HIRAM HOUSE		33775 HIRAM TRAIL	CHAGRIN FALLS 44022
* 31C7	TOLEDO ZOOLOGICAL SOCIETY		2700 BROADWAY	TOLEDO 43609
* 31C214	WILLIAMS, JE		955 N STATELINE RD	SHARON 16146
* 31C336	YOXALL, I	Y-BAR ENTERPRISES	11117 CLARIDON-TROY RD.	HUNTSBURG 44046
* 31C20	ZOO SOC OF CINCINNATI		3400 VINE ST	CINCINNATI 45220

OKLAHOMA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 73EZ11	ALBRO, A	BUFFALO RANCH		AFTON 74331
* 73EZ10	BARREDA, J		PO BOX 381	EDMOND 73034
* 73EZ4	CARSON & BARNES CIRCUS, INC.		PO BOX J	HUGO 74743
* 73EZ14	CITY OF ADA	WINTERSMITH PARK	13TH & TOWNSEND	ADA 74820
* 73EZ6	CITY OF ALVA ZOO		415 4TH ST	ALVA 73717
* 73EZ2	CITY OF OKLAHOMA CITY	OKLAHOMA CITY ZOO TRUST	RT 1 BOX 1	OKLAHOMA CITY 73111
* 73EZ3	CITY OF TULSA	TULSA ZOOLOGICAL PARK	5701 E 36TH ST	TULSA 74115
* 73EZ12	HAGEE, J	ARBUCKLE WILDERNESS	RT 2 BOX 88	EDMOND 73034
* 73EZ13	THE FRANK PHILLIPS FOUND, INC.	WOOLAROC WILDLIFE REFUGE	BOX 1647	BARTLESVILLE 74003
* 73EZ7	WARD, R		RT 1 BOX 110	LOCUST GROVE 74352

OREGON

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 92C23	CHILDERS, J & F	ROGUE VALLEY WILDLIFE PARK	1765 BROWNS BORO-MERIDIA N RD	EAGLE POINT 97524
* 92C5	CITY OF KLAMATH FALLS	MOORE PARK	226 55TH ST.	KLAMATH FALLS 97601
* 92C26	CRAIG, HC		PO BOX 493	WINSTON 97496
* 92C12	FIERER, R	OREGON COAST SAFARI		SILETZ 97380
* 92C21	GILBERT, L & W	WOODLAND DEER PARK	27893 REDWOOD HWY	CAVE JUNCTION 97523
* 92C27	GROVER, F & P	STONE AGE PARK	PO BOX 107	PORT ORFORD 97465
* 92C6	HOLLAND, L & L	DEERLAND PARK	BOX 77	CHILOQUIN 97624
* 92C24	HURDY GURDY CIRCUS, INC.		1303 SW 16TH	PORTLAND 97201
* 92C9	INDIAN FOREST INC		88493 HWY 101	FLORENCE 97439
* 92C14	JACOBS, JR., T	CANDYLAND CIRCUS	RT 4, BOX 652	GRESHAM 97030
* 92C22	JOYNER, L		4401 ROCKYDALE RD.	CAVE JUNCTION 97523
* 92C2	KARAT, RG & MA	ANIMAL ACTORS RANCH	13260 HWY 238	JACKSONVILLE 97530
* 92C1	METROPOLITAN SERVICE DISTRICT	WASHINGTON PARK ZOO	1220 SW MORRISON	PORTLAND 97205
* 92C17	SCHROEDER DJ & HURD R	BISON-TEN-YEL ZOO	175 MT VIEW DRIVE	EUGENE 97401
* 92C28	TROUTMAN INVESTMENT CO	OPERATION SANTA CLAUS	BOX 5467	EUGENE 97405
* 92C25	W. DEER PK. & ARBORETUM LTD		RT 1 BOX 44	SHERIDAN 97378
* 92C13	WEST COAST DEER PARK INC		RT 1, BOX 1330	RANDON 97411
* 92C10	WILDLIFE SAFARI		PO BOX 600	WINSTON 97496
* 92C29	WILDS, J & R	WILDS ANIMALS	PO BOX 328	APPLEGATE 97530

PENNSYLVANIA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 23F66	ACDMY OF NAT SCIENCES		19TH & THE PARKWAY	PHILADELPHIA 19103
* 23E71	ALLEGHENY CY PK RE CN		345 COUNTY OFF BLDG	PITTSBURGH 15219
* 23F24	ANIMAL KINGDOM TALENT SERVICE		RD 2 BOX 61	TIOGA 16946
* 23F86	BASS, JC & AC	THE LION'S DEN	PO BOX 182	RENOVO 17764
* 23F48	BLUBAUGH, CR	TWIN KISS DRIVE-IN	7321 ANTHONY HWY.	WAYNESBORO 17268
* 23E36	BODINE, SR., GR	ANIMALAND	RD 6 BOX 225	WELLSBORO 16901
* 23E12	BOROUGH OF NORRISTOWN	ELMWOOD PK ZOO	235 EAST AIRY ST	NORRISTOWN 19401
* 23F79	BREEDMORE INTERNATIONAL LTD		RT 434	SHOHOLA 18458
* 23E19	BRUBAKER, RM		RT #5	MANHEIM 17545
* 23F83	BRUMBAUGH, S		RD 1 BOX 514	ROARING SPRING 16673
* 23F81	BUTTON, DE	BUTTONS PRE XMAS S CLAUSE SER	RD 1	MILLERTON 16936
* 23E42	CANOUSE, R & V	MOON VALLEY PARK	RR 1 BOX 50	MILFORD 18337
* 23F32	CITY OF SCRANTON	NAY AUG PARK ZOO	CITY HALL	SCRANTON 18510
* 23F2	CLYDE PEELING'S REPTILAND LTD		PO BOX 66	ALLENWOOD 17810
* 23F58	CORNWALL JR., RA		20 RIVERSIDE DR	WARREN 16365
* 23E92	DUTCH WONDERLAND		2249 LINCOLN HWY EAST	LANCASTER 17602
* 23F3	ERIE ZOOLOGICAL SOCIETY		653 SHUNPIKE RD	ERIE 16508
* 23F50	EXHIBITS INC	DORNEY PARK ZOORAMA	4120-12TH AVE. *	ALLENTOWN 18104
* 23F46	FAIRYLAND FOREST		C/O ASSOCIATED GAMES	CONNEAUT LAKE PK 16316
* 23F72	FANTASYLAND, INC		PO BOX 339	GETTYSBURG 17325
* 23F25	FOREST ZOO INC		BOX 79 RD #1	ASHVILLE 16613
* 23F57	FORKER, T		BOX 125 STAR RT #3	TIONESTA 16353
* 23F5A	FUNCK, RS		RT. 2 BOX 309	ANNVILLE 17003
* 23E60	GREGG, PF R J	KLONDIKE GIFT SHOP	KINZUA HTS	BRADFORD 16701
* 23F26	HALL, V	CLAWS & PAWS WILD ANIMAL FARM	R D 1	LAKE ARIEL 18436
* 23E51	HAVERSACK, MC			HYDE 16843
* 23F73	HEASLEY, JE & FM	HEASLEY'S TRADING POST	RD 1	LEWIS RUN 16738
* 23F82	HECK, T		400 KIDDER ST.	WILKES-BARRE 18702
* 23F59	HERCO, INC.	ANIMAL GDNS. & ZOOAMERICA	ONE CHOCOLATE AVE.	HERSHEY 17033
* 23F77	HILTS, LR		RD 2 MISTLETOE FARMS	MIDDLEBURY CENTER 16935
* 23F3A	HOCK, R	PEACOCK CNR GAME FARM	RD #8	BLOOMSBURG 17815
* 23F47	HOLLER, J	DEER STOP	RD 1	EASTON 18042
* 23F1	HOLMBERG, MC	FRONTIER ZOO	BOX C	LIGONIER 15658
* 23F9A	INSULATION FOAM INC.	NOAH'S ARK CHILDREN'S ZOO	RD #1	CENTRAL CITY 15926
* 23F18	KIRACOFE, J & V	BOILING SPS ZOO PK & NAT CT	300 FRONT ST.	BOILING SPRINGS 17007
* 23F34	KISER, LL	KISER WILDLIFE RANCH	RD 1 BOX 117	CAIRNBROOK 15924
* 23F69	KISTLER R	75 DAIRY DINE	RD 1	E WATERFORD 17021
* 23F43	LEHMAN, HW &FM	BLACK FOREST TRADING POST	RD 1 BOX 130	ULYSSES 16948
* 23F89	LIPKO, COL. J	LIPKO'S CHIMPS	BOX 78	BARNESVILLE 18214
* 23E85	MARTIN'S AQUARIUM, INC.		101 OLD YORK RD	JENKINTOWN 19046
* 23E61	MCDANIEL, JH	JIM MACK'S ICE CREAM	RD #24 BOX 84	YORK 17406
* 23F45	MCINTOSH, RT	GEORGE T MAYS DEER PARK	RD 1 BOX 326	COVINGTON 16917
* 23F70	MEALY, R & L	LAKE LUCY PARK	RD 1 BOX 121	TIONESTA 16353
* 23F13	MERCERSBURG SPORTSMENS ASSN.,INC.		BOX 33	MERCERSBURG 17236
* 23F8A	MOMYER, R		206 S. WHITEHOUSE RD.	PHOENIXVILLE 19460
* 23F6A	MONTGOMERY CO COMM	UPPER SCHUYLKILL VALLEY FAM PK	ONE MONTGOMERY PLAZA	NORRISTOWN 19404
* 23F93	MORRONE, J		1016 EARLINGTON RD	HAVERTOWN 19083
* 23F67	MOUNT AIRY LODGE			MT POCONO 18344
* 23F17	PITTSBURG ZOO		CITY-COUNTY BLDG	PITTSBURGH 15219
* 23F23	POCONO SNAKE COUNTRY INC		PO BOX 238	MARSHALLS CREEK 18335
* 23E9	POCONO WLD ANIMAL FARM, INC.		RD 1	STROUDSBURG 18360
* 23F87	POCONOS MAGIC VALLEY INC.		WINONA FALLS RD RT 209	RUSHKILL 18324
* 23F55	PYMATUNING DEER PK		R.D.2	JAMESTOWN 16134
* 23E35	ROSAIRE, SR., D R FAMILY		P.O. BOX 3612	SARASOTA 33578
* 23F91	SHURTZ, R		934 WYOMING ST	ALLENTOWN 18103
* 23F11	SIMPSON, RR		R 5 BOX 548	GETTYSBURG 17325
* 23F31	STORY BOOK FOREST, INC		BOX F	LIGONIER 15658
* 23F8A	THE AMISH FARM & HOUSE		2395 LINCOLN HY.E	LANCASTER 17602
* 23F14	TOBIAS, DR & JR	LAKE TOBIAS & ANIMAL HAVEN	RD #4	HALIFAX 17032
* 23F22	TREXLER LEHIGH CO GAME PRFS		RT 1	SCHNECKSVILLE 18078
* 23F30	UNION CO. SPORTSMENS CLUB INC.		RD 1	MILLMONT 17845
* 23E21	WAMPLER, DD	WAMPLERS WILD ANIMALS	RD 2 BOX 354	ANNVILLE 17003
* 23F6	WAYNESBORO FISH & GAME PRDT ASSOC INC		PO BOX 368 RT 5	WAYNESBORO 17268
* 23F20	WEAVER, TS			
* 23F40	YOUNG, L	CHUKAR HILL WILD AN. RANCH STORYLAND	RD 1 EAST BOX 443	EAST EARL 17519 SCHELLSBURG 15559
* 23F2A	YOUNG, MC		RD 1 BOX 81	NICHOLSON 18446
* 23F8	ZIMMERMAN, RJ	GETTYSBURG GAME PK	RD 1	FAIRFIELD 17320
* 23F7	ZOOLOGICAL SOC OF PHILADELPHIA	PHILADELPHIA ZOOL. GARDENS	34TH ST & GIRARD AV	PHILADELPHIA 19104
* 23E90	ZUENDEL, RR & AL	ZUENDEL'S GROCERY	STAR RT 1	TIONESTA 16353

RHODE ISLAND

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 15C1	CITY OF PAWTUCKET	SLATER MEMORIAL PARK ZOO	R. 1 NEWPORT AVE.	PAWTUCKET 02860
* 15C4	CITY OF PROVIDENCE	ROGER WILLIAMS PARK ZOO	ELMWOOD AVE	PROVIDENCE 02905
* 15C5	ENCHANTED FOREST OF RI		RT. 3	HOPE VALLEY 02832
* 15C6	GRAY, A R GREENE, J	GRAY R GREENE ANIMAL SHOWS	RT. 3 NORTH BROAD	ASHAWAY 02804
* 15C2	RECORDS, LH		173 BOSTON NECK RD.	NO. KINGSTOWN 02852
* 15C3	ROCKY POINT AMUSEMENTS, I NC			WARWICK 02886

SOUTH CAROLINA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 56C7	RENDER, P R F	PET-A-PET FARM	134 PEACEFUL LANE	W COLUMBIA 29169
* 56C6	BROOKGREEN GARDENS			MURRELLS INLET 29576
* 56C2	COLLINS, BM	CIRCLE C ANIMAL FARM & ZOO	RT 3	CAMPOBELLO 29322
* 56C5	CROMER'S PEANUTS, INC		PO BOX 163	COLUMBIA 29201
* 56C3	MARVIN, F		PO BOX 82	HOLLYWOOD 29449
* 56C8	OAKNOLL	OAKNOLL INC	RT 2, BOX 60-A HWY 21	RIDGEWAY 29130
* 56C1	RIVERBANKS ZOOL. PARK		500 WILDLIFE PARKWAY	COLUMBIA 29210
* 56C8	WALL, J		116 CHESTER ST	SPARTANBURG 29301

SOUTH DAKOTA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 46C6	ABERDEEN PARKS & REC. DEP T.	WYLIE PARK ZOO	MUNICIPAL BLDG.	ABERDEEN 57401
* 46C5	BEAR COUNTRY USA		R 2 BOX 628	RAPID CITY 57701
* 46C1	CITY OF WATERTOWN	BRAMBLE PARK ZOO		WATERTOWN 57201
* 46C4	GREAT PLAINS ZOO		600 EAST 7TH	SIOUX FALLS 57102
* 46C2	HOLM, JC		615 E DAKOTA AVE	PIERRE 57501

TENNESSEE

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 63FL15	CHRISTIE, D & E		525 ONEAL ST	CHATTANOOGA 37403
* 63FL1	CITY OF KNOXVILLE	KNOXVILLE ZOOLOGICAL PARK	CITY HALL PARK	KNOXVILLE 37901
* 63FLA	CUMBERLAND MUS. & SCI. CE NTER		800 RIDLEY AVE	NASHVILLE 37203
* 63FL5	LIBERTYLAND	ANIMAL PALS PETTING ZOO	PO BOX 10808	MEMPHIS 38114
* 63FL4	MEMPHIS ZOO, & AQUARIUM		2000 GALLOWAY AVE,	MEMPHIS 38112
* 63FL7	MILLS, H		RT 1	ROCKWOOD 37854
* 63FL13	OGLE, R.A.	RARY ANIMAL KINGDOM	P.O. BOX 7 HWY 441	PIGEON FORGE 37863
* 63FL3	OPRYLAND USA		PO BOX 2138	NASHVILLE 37214
* 63FL10	RUCKER, H	RUCKERS UNCAGED LEOPARD		WATERTOWN 37184
* 63FL11	SORELL, R	RUCK SORELL ENTERPR, FT AL	P.O. BOX 77	WHITE HOUSE 37188
* 63FL2	TYTLANDSVIK, L & PETERSON , L	TENNESSEE GAME FARM	RT. 2 BOX 192	JOELTON 37080

TEXAS

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 74A11	ABILENE ZOOLOGICAL SOCIETY		PO BOX 60	ABILENE 79604
* 74A12	AMARILLO PKS REC DIV	AMARILLO STORY ZOO	PO BOX 1971	AMARILLO 79186
* 74A86	AMUSMENTS INC.	MAGIC ISLES AMUSEMENT PARK	BOX 13130	CORPUS CHRISTI 78413
* 74LE13	BANK OF A&M	EXOTIC WILDLIFE UNLIMITED	BOX 2860	COLLEGE STATION 77840
* 74LE21	BARRETT, J & P	YESTERYEAR GHOST TOWN	RT 2 BOX 41A	MADISONVILLE 77864
* 74A18	CALDWELL SCHOOLS INC	CALDWELL ZOO	PO BOX 428	TYLER 75701
* 74A46	CENTRAL TX ZOO & BOT SOC		RT 10 Bx 173 E	WACO 76708
* 74A42	CITY OF DALLAS	DALLAS ZOO	621 E CLARENDON DR	DALLAS 75203
* 74A10	CITY OF EL PASO	EL PASO ZOOL. PARK	CITY-COUNTY BLDG.	EL PASO 79905
* 74A47	CITY OF GAINESVILLE	FRANK BUCK MEMORIAL ZOO	PO BOX 338	GAINESVILLE 76240
* 74A45	CITY OF HOUSTON	HOUSTON ZOOL. GARDENS	PO BOX 1562	HOUSTON 77001
* 74A15	CITY OF LUFKIN	ELLEN TROUT ZOO	PO BOX 190	LUFKIN 75901
* 74LE18	CLARK, M		RT 5	MCKINNEY 75069
* 74A30	CRAWFORD, RC	SLATON ZOO	107 N 9TH	SLATON 79364
* 74LE14	CRUZ-AYALA, JL	ALDINE ANIMAL CLINIC	4202 ANIGE ST	HOUSTON 77039
* 74LE9	FINLAY, GM		BOX 237	SAN MARCOS 78666
* 74A40	FT WORTH ZOOL PK		2727 ZOOL PK DR	FORT WORTH 76110
* 74A43	GLADYS PORTER ZOO		500 RINGGOLD ST	BROWNSVILLE 78520
* 74LE24	HALL, C		R 3 BOX 762	MESQUITE 75149
* 74LE2	HALL, J		RT. 3 BOX 762	MESQUITE 75149
* 74LE22	HARMON, R		405 C PINE CREST	MARSHALL 75670
* 74A35	HIX, PW & MORROW, EM	THE BELL COUNTY ZOO	RT 4 BOX 43B4T	BELTON 76513
* 74LE7	JOHANN, PH	DIXIANA CIRCUS	5380 WILSHIRE	BEAUMONT 77703
* 74LE11	JUNGLE WONDERS CIRCUS		PO BOX 988	ALAMO 78516
* 74LE23	KEITH, RD		22719 ALDINE WESTFIELD	HUSTON 77373
* 74LE6	MACDONALD, M & P		13605 IH 35 NORTH	SAN ANTONIO 78233
* 74A34	MANTZEL, T	WATERFALL RANCH	2500 FT WORTH NATIONAL BANK BLDG	FT WORTH 76102
* 74LE5	MONKEY WORLD INC		RT 1 BOX 26-AB	QUINLAN 75474
* 74ZR4	MORROW, JE		5405 EAST BELKNAP	HALTOM CITY 76117
* 74LF19	NEW WORLD PRIMATE		14703 HERMIT THRUSH	TOMBALL 77375
* 74LE15	PINSON, D & J		RT 1	GAINSVILLE 76240
* 74LE1	RAGEN, WR		17611 RAMWOOD	HOUSTON 77090
* 74LE4	RAY, G & BAKER, S	CHECKERS & PATCHES CIRCUS CLOWNS	PO BOX 6166	ARLINGTON 76010
* 74A41	SAN ANTONIO ZOOLOG SOC	SAN ANTONIO ZOO GARAG	3903 N ST MARY'S ST	SAN ANTONIO 78212
* 74A20	SEA ARAMA MARINEWORLD		PO BOX 3068	GALVESTON 77551
* 74A21	SOUTH TEXAS ZOOLOGICAL SOCIETY	TEXAS ZOO	110 MEMORIAL DR	VICTORIA 77901
* 74A9	SPRING LAKE PARK ZOO		PO BOX 1967	TEXARKANA 75501
* 74LE3	SPRINGRAY SIMIAN/AVIAN SNT INC		PO BOX 2830	TEXARKANA 75501
* 74LE16	STEELE, R		BOX 26	SEAGOVILLE 75159
* 74LE20	STOUGHILLS, T & F	STOUGHILLS RANCH	RT 2 HWY 34	QUINLAN 75474
* 74LER	T.R.LEASE INTERNATIONAL, INC.	COUGARS UNLIMITED	PO BOX 13611	ARLINGTON 76013
* 74LE17	TATA, R		BOX 260	SEAGOVILLE 75157
* 74ZR1	TESKA, J & R	SNAKE FARM	RT 6 BOX 487	NEW BRAUNFELS 78130
* 74A19	TOWN OF PECOS CITY		PO BOX 929	PECOS 79772
* 74A33	WALKER, WF	ZOOLAND PETTING ZOO	PO BOX 3704	ODESSA 79760

UTAH

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 87C6	ADANAC FILM PROD LTD		BOX 240	OAKLEY 84055
* 87C7	CITY OF LOGAN	WILLOW PARK ZOO	61 WEST FIRST NORTH	LOGAN 84321
* 87C4	GLENHILL, J	BIG ROCK CANDY MT RESORT		MARYSVALE 84750
* 87C1	HOGLE ZOOLOGICAL GARDEN		PO BOX 8475	SALT LAKE CITY 84108
* 87C2	LAGOON CORP	WILD KINGDOM TRAIN	PO BOX N	FARMINGTON 84025
* 87C5	SEUS, D & L	WASATCH WILDLIFE	RFD DANIELS	HEBER 84032
* 87C9	UTAH ZOOLOGICAL SOCIETY	TRACY AVIARY	PO BOX 8475	SALT LAKE CITY 84108
* 87C10	WEILENMANN, M	HARE HOLLOW RESTAURANT INC	6121 HIGHLAND DR	SALT LAKE CITY 84121

VERMONT

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 13C3	SANTA'S LAND INC.		RT 5	PUTNEY 05346
* 13C2	TRUSTEES, VT VETS HOME		325 NORTH ST	BENNINGTON 05201

VIRGINIA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 52E5	CHILDRESS, FR	SNAKE & MONKEY FARM	ROUTE 1, BOX 214	NEW MARKET 22844
* 52E3	CITY OF HAMPTON	BLUE BIRD GAP FARM	DEPT. OF PARKS	HAMPTON 23669
* 52CE	CITY OF NORFOLK	LAFAYETTE ZOOLOGICAL PARK	3500 GRANBY ST	NORFOLK 23501
* 52F1	CITY OF STAUNTON		P.O. BOX 58	STAUNTON 24401
* 52C0	KINGS DOMINION		RFD 166	DOSWELL 23047
* 52C15	MARJEC INC, SHAWNEE LAND		MTN FALLS PT BOX 56	WINCHESTER 22601
* 52F12	MARTIN, JR		8725 COMMODORE DR.	NORFOLK 23503
* 52CF	MAYMONT FOUNDATION		1700 HAMPTON ST	RICHMOND 23220
* 52C8	MILL MOUNTAIN ZOO, INC.	MILL MOUNTAIN PARK	PO BOX 13488	ROANOKE 24038
* 52CC	MITCHELL, SH	CIRCLE M ZOO	RT 2	MEADOWS OF DAN 24120
* 52C8	NATURAL BRIDGE ZOOL. PARK		BOX 560	NATURAL BRIDGE 24578
* 52E0	PENINSULA NATURE & SCI. C TR.		524 J CLYDE MORRIS BLVD.	NEWPORT NEWS 23601
* 52D0	PET-A-PET FARM PARK INC		1228 HUNTER MILL RD.	VIENNA 22180
* 52CH	WALTERMYER, RP	ALL STAR ANIMAL ATTRACTIONS	PO BOX 182	TROUTVILLE 24175
* 52CG	WILSON, R	WILSON'S PET FARM	RT 1 BOX 272-A	WINCHESTER 22601

WASHINGTON

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 91C11	B & T REALTY CO.		8012 SO TACOMA WAY	TACOMA 98409
* 91C9	BETTS, R	ENCHANTED VILLAGE	36201 KIT CORNER RD. S.	FEDERAL WAY 98003
* 91C10	DAILY, MM		N 7120 SMITH	SPOKANE 99207
* 91C15	HATCH, J		N4328 HAVANA	SPOKANE 99207
* 91C13	HITE, D & D			KETTLE FALLS 99141
* 91C16	HUBBARD, R		16238 42ND AVE S	SEATTLE 98188
* 91C14	INLAND EMPIRE ZOOL. SOC		PO BOX 14258	SPOKANE 99214
* 91C2	LOBO WOLF FOUNDATION	LOBO LAND USA	RT 2 BOX 378	SEQUIM 98382
* 91C3	METRO PARK DIST TACOMA	POINT DEFIANCE ZOO	POINT DEFIANCE PK	TACOMA 98407
* 91C12	MORGAN, DR		PO BOX 88261	SEATTLE 98188
* 91C7	NIEMELA, A		RT. 3 BOX 903-A	SEQUIM 98382
* 91C4	NORTHWEST TREK		C/O ROGER THACKER	EATONVILLE 98328
* 91C5	PEDERSEN, O		PO BOX 40	GRAHAM 98338
* 91C1	WOODLAND PARK ZOOL. GARDE NS		5500 PHINNEY AVE N	SEATTLE 98103

WEST VIRGINIA

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 5QEAA	CROSTER, JM	HAWKS NEST ZOO	RT 1	ANSTEAD 25812
* 5Q1002	RINER, JR, JW	PONDEROSA ZOO	RT 2 BOX 108	RAINELLE 25962
* 5QEAR	WHEELING PARK COMMISSION	OGLEBAY'S GOOD ZOO	OGLEBAY PARK	WHEELING 26003

WISCONSIN

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 35C76	ACQUALAND OF DOOR CO, INC			EPHRAIM 54211
* 35C51	ARCADIA SPORTSMAN CLUB			ARCADIA 54612
* 35C33	ASHBECK, AR	HILLTOP SUPPER CLUB	RT 1	WABENO 54566
* 35C35	BAMBI-LAND INC,		RR 1 BOX 15	TREGO 54888
* 35C73	BAY BEACH WILDLIFE SANCTUARY			GREEN BAY 54302
* 35C68	BEHN, W	BEHN GAME FARM	RT 1	ANIWA 54408
* 35C9	BLOM, ME & YM	FINN'S INN DEER FARM	RT 2 BOX 273F	LADYSMITH 54848
* 35C53	BROWN CO, REFORESTRATION CAMP		RT 4	GREEN BAY 54301
* 35C60	BRUNETTE, LG		RT 1	RICE LAKE 54868
* 35C28	CARLINO, JR, CJ	CARLINO'S 82	RT 2	OXFORD 53952
* 35C29	CARSON INTERNATIONAL INC	HONEY BEAR FARM		POWERS LAKE 53159
* 35C8	CHEVRIER, JR., WJ	WALLY'S BAIT SHOP	RT BOX 78	CHIPPEWA FALLS 54729
* 35C46	CITY OF ASHLAND	PRENTICE PARK		ASHLAND 54806
* 35C13	CITY OF BARABOO	OCHSNER PARK	903 PARK ST	BARABOO 53913
* 35C48	CITY OF BLACK RIVER FALLS		PO BOX 229	BLACK RIVER 54615
* 35C50	CITY OF BLAIR		122 S URBERG AVE	BLAIR WI 54616
* 35C15	CITY OF CHIPPEWA FALLS	IRVINE PARK ZOO	JEFFERSON AVE	CHIPPEWA FALLS 54729
* 35C27	CITY OF FOND DU LAC	LAKESIDE PARK ZOO	76 EAST 2ND ST	FOND DU LAC 54935
* 35C43	CITY OF LACROSSE	MYRICK PARK		LACROSSE 54601
* 35C16	CITY OF MARSHFIELD	WILDWOOD PARK & ZOO	112 E 2ND ST	MARSHFIELD 54449
* 35C17	CITY OF OSHKOSH	MENOMINEE PARK ZOO	215 CHURCH AVE	OSHKOSH 54901
* 35C31	CITY OF RACINE	RACINE ZOOLOGICAL PARK	2131 N. MAIN STREET	RACINE 53401
* 35C39	CITY OF STANLEY		116 EAST THIRD AVE	STANLEY 54768
* 35C1A	CITY OF WI RAPIDS MUN, ZOO		211-12TH AVE SOUTH	WISCONSIN RAPIDS 54494
* 35C37	CLARK COUNTY HEALTH CARE CENTER		ROUTE #2, BOX X	OWEN 54460
* 35C81	CONKLIN, C & L	BLACKHAWK CAMP GROUNDS	CLEARLAKE RD	MILTON 53563
* 35C54	COTTON PATCH SUPPER CLUB		2003 LAKE DRIVE	SHAWANO 54166
* 35C72	DEER PARK ATHLETIC CLUB			DEER PARK 54007
* 35C2	FAWN-DOE-ROSA		BOX 328	ST, CROIX FALLS 54024
* 35C61	FOREST COUNTY DEER FARM		COUNT HOULE	GRANDON 54520
* 35C38	FOWLER, D & A			TOMAH 54660
* 35C7	FRANZEN N		RT 1, BOX 195	RIPON 54971
* 35C42	FRANZEN, M & M			AMHERST 54407
* 35C32	HAZEN, V	TUNDRA SO, WILDLIFE PARK	RT, 3 BOX 520	POYNETTE 53955
* 35C21	HENRY VILAS PARK ZOO		702 S, RANDALL AVE,	MADISON 53715
* 35C23	ISENRING, L&D	BLACKHAWK RIDGE	BOX 92	SAUK CITY 53583
* 35C80	JANESVILLE CONSERVATION CLUB		BOX 129	JANESVILLE 53545
* 35C10	JO-DON FARMS INC		5907 NICHOLSON RD	FRANKSVILLE 53126
* 35C26	JOHNSON, LO	A & W RESTAURANT	423 MERTON AVE	HARTLAND 53029
* 35C62	KAMPS, M	BUCK & DOE FOREST	HWY 455	EAGLE RIVER 54521
* 35C45	KLING, D			MERRILLAN 54754
* 35C52	KRAMER, W		190 ORCHARD DRIVE	SISTER BAY 54234
* 35C36	KREMSREITER, N	WARRONNET ZOO & GIFT SHOP INC	STAR RT BOX 69	HAZELHURST 54531
* 35C63	LANGE, E		1623 SAEMAN AVE	SHEBOYGAN 53081
* 35C6	LAYMAN, S	BAMBI LAND	BOX 475	MERCER 54547
* 35C41	LINCOLN PARK ZOO			MANITOWAC 54220
* 35C82	LUDWIG BROS., INC		S107 W 16311 LOOMIS RD	HALES CORNUS 53130
* 35C40	MENOMONIE LIONS CLUB		BOX 44	MENOMONIE 54751
* 35C20	MILWAUKEE COUNTY ZOO		10001 WEST BLUEMOUND RD	MILWAUKEE 53226
* 35C83	NATTER, P		3401 MILTON AVE	JANESVILLE 53545
* 35C75	NELSON, J	RED ROSE BAR	RT 1	WABENO 54566
* 35C47	NICHOLS, L	WOODSIDE RANCH	RT 3	MAUSTON 53948
* 35C22	P.A.W.S.		PO BOX 121	NEW BERLIN 53151
* 35C55	PLISKA, L		RT 1	CUSTER 54423
* 35C58	PORTAGE COUNTY PARKS		1516 CHURCH ST,	STEVENS POINT 54481
* 35C4A	SETCHELL, V		RT 1	CHETEK 54728
* 35C49	SHAY, WL	LAUREL SUPPER CLUB	BOX 56	STAR PRAIRIE 54026
* 35C69	SIMPSON, M & W		RT 4	WAUPACA 54981
* 35C70	STUBLOW, R	CIRCLE R CAMPGROUND	5703 KNAPP ST RD	OSHKOSA 54901
* 35C11	THE RANCH, INC,	RANCH PETTING ZOO	PO BOX 502	MENOMONEE FALLS 53051
* 35C34	TIMBERWOLF FARMS FOUND, INC		6669 SOUTH 76TH ST	GREENDALE 53129
* 35C7A	TOLLAKSEN, P		R 1 BOX 19	WISCONSIN DELLS 53965
* 35C65	VILLAGE OF SURING PARK			SURING 54174
* 35C71	WERER, E	ELLEN WERER WILDLIFE FARM	BOX 37	SAYNER 54560
* 35C2A	WEISER, A	WEISER'S BILL'S LANDING	RT 3	HAYWARD 54843
* 35C7A	WELCH, F & A			PHILLIPS 54555
* 35C30	WEST SALEM ROD & GUN CLUB	LA CROSSE CO, FARM		WEST SALEM 54669
* 35C66	WHITE BIRCH FISHERIES INC		BOX 287	BOULDER JUNCTION 54512
* 35C12	WILKINSON GIFT SHOP INC		BOX 71	WASCOTT 54890
* 35C77	WILLE, G	WILLE'S GAME FARM	RT 1	BRANDON 53919

WYOMING

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 83C1	CITY OF CHEYENNE PARKS/RF C DEPT	LIONS PARK NATIVE ANIMAL DI SPLAY	610 W 7TH ST	CHEYENNE 82001

PUERTO RICO

* LICENSE NUMBER	NAME	DOING BUSINESS AS	ADDRESS	CITY AND ZIP CODE
***				
* 94FLA	AMADOR, FJ	EL ARCA DE NOE	BOX 94	QUEBRADILLAS 00742
* 94FL9	CONGREGACION MITA, INC		235 DUARTE ST	HATO REY 00917
* 94FL12	DIEZ, R	PARADISE CIRCUS	CALLE 4 #117	CAROLINA 00630
* 94FL11	ESTADO LIBRE ASOCIADO DE P. R.	JARDIN ZOOLOGICO DE P. R.	C/O COMPANIA DE FOMENTO REC.	MAYAGUEZ 00708
* 94C2	HERNANDEZ, JV	MINI-ZOO	C/O M. GOMEZ PO BOX 171	MOCA 00716
* 94FL5	LEONELLI, RD	KIMRA SHOW	905 ALONDRA ST	RIO PIEDRAS 00924
* 94FL3	MARCAN, CR		#18 13TH AVE	GREEN BAY 54304
* 94FL7	SAFARI PARK INC		BOX 777	BAYAMON 00619
* 94FL6	TOMMY MUNIZ PROD.		FERNANDEZ JUNCOS 808	SANTURCE 00907

[FR Doc. 80-7774 Filed 3-20-80; 8:45 am]

BILLING CODE 3410-34-C

federal register

Friday
March 21, 1980

Part IV

Department of Labor

Employment Standards Administration, Wage and Hour Division

Minimum Wages for Federal and Federally Assisted Construction; General Wage Determination Decisions

DEPARTMENT OF LABOR

Employment Standards Administration, Wage and Hour Division

Minimum Wages for Federal and Federally Assisted Construction; General Wage Determination Decisions

General wage determination decisions of the Secretary of Labor specify, in accordance with applicable law and on the basis of information available to the Department of Labor from its study of local wage conditions and from other sources, the basic hourly wage rates and fringe benefit payments which are determined to be prevailing for the described classes of laborers and mechanics employed on construction projects of the character and in the localities specified therein.

The determinations in these decisions of such prevailing rates and fringe benefits have been made by authority of the Secretary of Labor pursuant to the provisions of the Davis-Bacon Act of March 3, 1931, as amended (46 Stat. 1494, as amended, 40 U.S.C. 276a) and of other Federal statutes referred to in 29 CFR 1.1 (including the statutes listed at 36 FR 306 following Secretary of Labor's Order No. 24–70) containing provisions for the payment of wages which are dependent upon determination by the Secretary of Labor under the Davis-Bacon Act; and pursuant to the provisions of part 1 of subtitle A of title 29 of Code of Federal Regulations, Procedure for Predetermination of Wage Rates (37 FR 21138) and of Secretary of Labor's Orders 12–71 and 15–71 (36 FR 8755, 8756). The prevailing rates and fringe benefits determined in these decisions shall, in accordance with the provisions of the foregoing statutes, constitute the minimum wages payable on Federal and federally assisted construction projects to laborers and mechanics of the specified classes engaged on contract work of the character and in the localities described therein.

Good cause is hereby found for not utilizing notice and public procedure thereon prior to the issuance of these determinations as prescribed in 5 U.S.C. 553 and not providing for delay in effective date as prescribed in that section, because the necessity to issue construction industry wage determination frequently and in large volume causes procedures to be impractical and contrary to the public interest.

General wage determination decisions are effective from their date of publication in the **Federal Register** without limitation as to time and are to be used in accordance with the provisions of 29 CFR Parts 1 and 5. Accordingly, the applicable decision together with any modifications issued subsequent to its publication date shall be made a part of every contract for performance of the described work within the geographic area indicated as required by an applicable Federal prevailing wage law and 29 CFR, Part 5. The wage rates contained therein shall be the minimum paid under such contract by contractors and subcontractors on the work.

Modifications and Supersedeas Decisions to General Wage Determination Decisions

Modifications and supersedeas decisions to general wage determination decisions are based upon information obtained concerning changes in prevailing hourly wage rates and fringe benefit payments since the decisions were issued.

The determinations of prevailing rates and fringe benefits made in the modifications and supersedeas decisions have been made by authority of the Secretary of Labor pursuant to the provisions of the Davis-Bacon Act of March 3, 1931, as amended (46 Stat. 1494, as amended, 40 U.S.C. 276a) and of other Federal statutes referred to in 29 CFR 1.1 (including the statutes listed at 36 FR 306 following Secretary of Labor's Order No. 24–70) containing provisions for the payment of wages which are dependent upon determination by the Secretary of Labor under the Davis-Bacon Act; and pursuant to the provisions of part 1 of Subtitle A of title 29 of Code of Federal Regulations, Procedure for Predetermination of Wage Rates (37 FR 21138) and of Secretary of Labor's Orders 13–71 and 15–71 (36 FR 8755, 8756). The prevailing rates and fringe benefits determined in foregoing general wage determination decisions, as hereby modified, and/or superseded shall, in accordance with the provisions of the foregoing statutes, constitute the minimum wages payable on Federal and federally assisted construction projects to laborers and mechanics of the specified classes engaged in contract work of the character and in the localities described therein.

Modifications and supersedeas decisions are effective from their date of publication in the **Federal Register** without limitation as to time and are to be used in accordance with the provisions of 29 CFR Parts 1 and 5.

Any person, organization, or governmental agency having an interest in the wages determined as prevailing is encouraged to submit wage rate information for consideration by the Department. Further information and self-explanatory forms for the purpose of submitting this data may be obtained by writing to the U.S. Department of Labor, Employment Standards Administration, Wage & Hour Division, Office of Government Contract Wage Standards, Divisions of Construction Wage Determinations, Washington, D.C. 20210. The cause for not utilizing the rulemaking procedures prescribed in 5 U.S.C. 553 has been set forth in the original General Determination Decision.

New General Wage Determination Decisions

Maryland.—MD80–3014.

Modifications to General Wage Determination Decisions

The numbers of the decisions being modified and their dates of publication in the **Federal Register** are listed with each State.

Arkansas:	
AR79–4054	Mar. 30, 1979.
AR79–4055	Mar. 30, 1979.
AR79–4056	Mar. 30, 1979.
AR79–4057	Aug. 3, 1979.
Connecticut:	
CT79–2011	Apr. 6, 1979.
Florida:	
GA80–1055	Feb. 8, 1980.
Georgia:	
GA80–1055	Feb. 8, 1980.
Indiana:	
IN79–2058	June 22, 1979.
IN79–2059	June 22, 1979.
Louisiana:	
LA80–4014	Jan. 25, 1980.
Maryland:	
MD79–3035	Oct. 12, 1979.
Massachusetts:	
MA79–2089	Dec. 21, 1979.
MA80–2001	Mar. 7, 1980.
North Carolina:	
GA80–1055	Feb. 8, 1980.
Pennsylvania:	
PA79–3020	July 20, 1979.
PA80–3011	Feb. 22, 1980.
South Carolina:	
GA80–1055	Feb. 8, 1980.
Texas:	
TX80–4003	Jan. 4, 1980.
TX80–4005	Jan. 4, 1980.
Virginia:	
GA80–1055	Feb. 8, 1980.
Washington, D.C.:	
GA80–1055	Feb. 8, 1980.

Supersedeas Decisions to General Wage Determination Decisions

The numbers of the decisions being superseded and their dates of publication in the **Federal Register** are listed with each State. Supersedeas decision numbers are in parentheses following the numbers of the decisions being superseded.

Georgia:	
GA76–1122 (GA80–1062)	Oct. 22, 1976.
Indiana:	
IN77–2013 (IN80–2012)	Feb. 11, 1977.
Michigan:	
MI79–2081 (MI80–2017)	Sept. 14, 1979.
Ohio:	
OH77–2128 (OH80–2010)	Aug. 12, 1977.
OH76–2029 (OH80–2011)	Feb. 27, 1976.
Oklahoma:	
OK79–4020 (OK80–4021)	Jan. 5, 1979.
Tennessee:	
TN79–1011 (TN80–1063)	Jan. 5, 1979.

Cancellation of General Wage Determination Decisions

None.

Signed at Washington, D.C. this 14th day of March 1980.

Dorothy P. Come,

Assistant Administrator, Wage and Hour Division.

BILLING CODE 4510-27-M

NEW DECISION

STATE: Maryland COUNTY: Cecil
DECISION NO.: MD80-3014 DATE: Date of Publication
DESCRIPTION OF WORK: Building Construction Projects (does not include single family homes and apartments up to and including 4 stories)

	Basic Hourly Rates	Fringe Benefits Payments			
		H & W	Pensions	Vacation	Education and or Appr Tr
Bricklayers	$10.63	.70	.50		.0[illegible]
Carpenters	9.80	.[illegible]5	.69		.0[illegible]
Cement Masons	10.00	.60	.55		.04
Elevator Constructors	10.71	.[illegible]45	.35	4%+a+b	.02
Electricians	10.99				
Ironworkers	11.95	.84	1.36		.05
Laborers:					
Common Laborers	7.05	.30	.50		.0[illegible]5
Mason Tenders	[illegible].35	.30	.50		.0[illegible]5
Plasterers Tenders	7.20	.30	.50		.0[illegible]5
Painters	9.20	.85	.50		.06
Plasterers	9.95	.60	.40	c	
Plumbers	10.30	.[illegible]9	.83		.16
Pipefitters	10.98	.[illegible]	.80		.14
Roofers:					
Roofers, damp, and waterproof workers	8.40	.35	.40		
Asphalt and asbestos shingles	8.60	.35	.40		
Sheet Metal Workers	10.12	.[illegible]5	.[illegible]0		.0[illegible]
Tile Setters	8.31	.[illegible]0	.50		.04
Tile Finishers	6.6[illegible]5	.40	.20		
Power Equipment Operators:					
Front End Loader	9.42	.95	1.00		.12

Footnotes:
a. Holidays: New Year's Day, Memorial Day, Independence Day, Labor Day, Thanksgiving Day, and Christmas Day
b. Employer contributes 4% of basic hourly rate for 3 years or more of service or 2% of the basic hourly rate for 6 months to 3 years of service as vacation pay credit
c. Employee working Christmas Eve shall work 4 hours and receive 8 hours of pay

MODIFICATION PAGE 1

	Basic Hourly Rates	Fringe Benefits Payments			
		H & W	Pensions	Vacation	Education and or Appr. Tr
DECISION #AR79-4054-Mod. #3 44 FR 19104-March 30, 1979 Sebastian, Crawford and Washington Counties, Arkansas					
CHANGE: CEMENT MASONS	$ 9.99	.33			.03
DECISION #AR79-4055-Mod. #4 44 FR 19106-March 30, 1979 Jefferson County, Arkansas					
CHANGE: CEMENT MASONS	9.99	.33			.03
DECISION #AR79-4056-Mod. #4 44 FR 19108-March 30, 1979 Garland, Hot Springs and Clark Counties, Arkansas					
CHANGE: CEMENT MASONS	9.99	.33			.03
PAINTERS:					
Painters & rollers	7.50				
Paperhanging	8.00				
Sheet rock (tape and float only)	8.00				
Stage and steel	9.00				
Spray & Sandblasting	9.25				
Painters operating any kind of taping or floating machine	10.75				
DECISION #AR79-4057-Mod. #2 44 FR 45855-August 1, 1979 Union & Ouachita Counties, Arkansas					
CHANGE: CEMENT MASONS	9.99	.33			.03

MODIFICATION PAGE 2

DECISION NO. CT79-2011 - MOD. #10 (44 FR 20921 - April 6, 1979)	Basic Hourly Rates	Fringe Benefits Payments			
		H & W	Pensions	Vacation	Education and/or Appr. Tr.
Hartford, Middlesex, New Haven, New London and Tolland Cos., Connecticut					
Change:					
Electricians:					
Hartford, Co.: Remainder of Co.; Middlesex Co.: Cromwell, Middlefield, Middletown & Portland; New London Co.: Bozrah, Colchester, Franklin, Lisbon, Montville, N. Stonington, Norwich, Griswold, Lebanon, Ledyard, (except Submarine Base), Preston, Salem, Sprague, Stonington & Voluntown & Tolland Cos.	$ 12.05	1.50	3%+1.05		½ of 1%
Plumbers; Steamfitters: Middlesex Co.: Essex, Ivoryton, Old Saybrook, Saybrook, New London, Co.: Bozrah, Colchester, E. Lyme, Montville, New London, N. Stonington, Norwich, Old Lyme, Preston, Salem, Sprague, Stonington, Voluntown & Waterford	13.72	.80	.80	u	.10

MODIFICATION PAGE 3

DECISION NO. GA80-1055 - MOD. #1 (44 FR 8855 - February 8, 1980)	Basic Hourly Rates	Fringe Benefits Payments			
		H & W	Pensions	Vacation	Education and/or Appr. Tr.
Georgia, North Carolina, South Carolina, Virginia, Washington, D. C., and in Florida, all counties on the Atlantic coast and the Gulf Coast West to the Aucilla River and all tributary Waterways					
Change:					
The pensions on all crafts on this Dredging Decision should now be changed to $.70 (seventy cents) per hour.					

DECISION NO. IN79-2058 - MOD. #3 (44 FR 36673 - June 22, 1979) Elkhart, Jasper, Kosciusko, LaGrange, Marshall, Newton, Pulaski, & Starke Counties, Indiana	Basic Hourly Rates	Fringe Benefits Payments			
		H & W	Pensions	Vacation	Education and/or Appr. Tr.
Change:					
Asbestos Workers:					
LaGrange Co.	13.80	.55	1.25		
Newton County	14.10	1.01	1.19		.05
Carpenters; Millwrights; Piledrivermen; & Soft Floor Layers:					
Jasper, Newton, & Starke Cos.:					
Carpenters; Soft Floor Layers	13.53	.75	.82		.15
Millwrights	13.63	.75	.82		.15
LaGrange Co.:					
Carpenters; Soft Floor Layers	11.29	.70	6%		.02
Millwrights; Piledrivermen	11.69	.70	6%		.02
Cement Masons:					
Jasper (Northern 1/3), Pulaski (Northern ½), & Starke Cos.	12.75	1.00	1.00		.06
Electricians:					
LaGrange Co.	13.05	.55	3%+.50		.06
Elevator Constructors:					
Elkhart, Jasper, Kosciusko (NW Portion), LaGrange (NW of City of LaGrange), Marshall, Pulaski, & Starke Cos.	12.37	.745	.56	b+c	.035
Kosciusko (SE part), & LaGrange (Remaining Portion) Cos.	12.33	.745	.56	b+c	.035
Newton County	14.01	.745	.56	b+c	.035
Glaziers:					
Kosciusko (Southern portion), & LaGrange Cos.	11.39		.25	.40+d	.04

DECISION NO. IN79-2058 Cont'd	Basic Hourly Rates	Fringe Benefits Payments			
		H & W	Pensions	Vacation	Education and/or Appr. Tr.
Ironworkers:					
Jasper (S½) Co.	12.24	1.00	1.65		.02
Pipefitters; Plumbers; & Steamfitters:					
Elkhart, Kosciusko, & LaGrange Cos.	13.75	.55	.90		.07
Jasper (N. Side of City of Renselaer, S.), Marshall, Pulaski, & Starke, Cos.	13.58	.75	.95		.10
Sheet Metal Workers:					
Elkhart, Kosciusko, & Marshall Cos.	12.88	.75	.615		.07
LaGrange County	13.06	.50+g	.60		.12
Sprinkler Fitters	13.33	.75	1.05		.08
Omit:					
Laborers' Schedule					
Add:					
Laborers' Schedule					

DECISION NO. IN79-2058
Cont'd

LABORERS	Basic Hourly Rates	Fringe Benefits Payments			
		H & W	Pensions	Vacation	Education and/or Appr. Tr.
Elkhart, Kosciusko, LaGrange, & Marshall Counties					
Group I	$ 8.95	.70	.70		.09
Group II	9.15	.70	.70		.09
Group III	9.25	.70	.70		.09
Group IV	9.95	.70	.70		.09
Jasper & Newton Counties					
Group I	9.65	.70	.75		.09
Group II	9.85	.70	.75		.09
Group III	9.95	.70	.75		.09
Group IV	10.65	.70	.75		.09
Group V:					
A.	9.85	.70	.75		.09
B.	9.725	.70	.75		.09
C.	9.95	.70	.75		.09
D.	9.925	.70	.75		.09
Pulaski County					
Group I	8.40	.70	.70		.09
Group II	8.60	.70	.70		.09
Group III	8.70	.70	.70		.09
Group IV	9.40	.70	.70		.09
Starke County					
Group I	9.45	.70	.70		.09
Group II	9.65	.70	.70		.09
Group III	9.75	.70	.70		.09
Group IV	10.45	.70	.70		.09
Group V:					
A.	9.85	.70	.70		.09
B.	9.725	.70	.70		.09
C.	9.95	.70	.70		.09
D.	9.925	.70	.70		.09

DECISION NO. IN79-2058
Cont'd

LABORERS

GROUP I: Building & Construction Laborers; Scaffold Builders (other than for Masons or Plasterers); Ironworker Tenders; Mechanic Tenders; Civil Engineer Tenders & Surveyor Tenders; Rodmen & Chainmen; Window Washers & Cleaners; Roofer's Tenders; Railroad Workers; Masonry Wall Washers (interior & exterior); Cement Finisher Tenders; Carpenter Tenders; Tenders of All other Crafts not listed; Mason Tenders for Areas I, IA, IB, and Counties of Adams, Allen, DeKalb, Steuben, Huntington, Noble, Wabash, Wells, & Whitley; All Portable Water Pumps With Discharge Up to 3 inches

GROUP II: Waterproofing; Handling of Creosote Lumber or Like Treated Material (excluding railroad material); Asphalt Rakers & Luteman; Kettlemen; Air Tool Operators, Vibrators, Chipping Hammer Operators and All Pneumatic Tool Operators; Earth Compactors; Jackmen & Sheetmen Working Ditches Deeper than 6 ft. in Depth; Laborers Working Ditches 6 ft. in Depth or Deeper; Assembly of Unicrete Pump; Chain Saw Operators; Tile Layers (sewer or field) & Sewer Pipe Layers (metallic or non-metallic); Motor Driven Wheelbarrows & Concrete Buggies; Hyster Operators; Pump Crete Assemblers; Conveyor Assemblers; Core Drill Operators; Cement, Lime or Silica Clay Handlers (bulk or bag); Handling of Toxic Materials Damaging to Clothing; Pneumatic Spikers; Deck Engine & Winch Operators; Water Main & Cable Ducking (metallic & non-metallic)

GROUP III: Plasterers' Tenders; Mason Tenders, except for Areas I, IA, IB, and Counties of Adams, Allen, DeKalb, Steuben, Huntington, Noble, Wabash, Wells, & Whitley; Mortar Mixers; Welders (acetylene or electric); Cutting Torch or Burner; Cement Nozzle Laborers; Cement Gun Operators; Scaffold Builders when Working for Plasterers; Scaffold Builders when Working for Masons (except in Areas I, IA, IB, and Counties of Adams, Allen, DeKalb, Steuben, Huntington, Noble, Wabash, Wells, & Whitley)

GROUP IV: Dynamite Men; Drillers - Air Track or Wagon Drilling for Explosives

GROUP V:

A. Boiler Setter Laborers (Fire Brick Tear Out with Air Tools)
B. Boiler Setter Laborers (Fire Brick)
C. Boiler Setter Laborers (Mortar Mixers)
D. Expeditor, Bottom Man & Bell Man

DECISION NO. IN79-2059 - MOD. #3 (44 FR 36677 - June 22, 1979) Lake, LaPorte, Porter & St. Joseph Cos., Indiana	Basic Hourly Rates	Fringe Benefits Payments			
		H & W	Pensions	Vacation	Education and/or Appr. Tr.
Change:					
Asbestos Workers:					
Lake & Porter Counties	$14.10	1.01	1.19		.05
Carpenters; Millwrights; Piledrivermen & Soft Floor Layers:					
Lake, LaPorte, & Porter Counties:					
Carpenters; Soft Floor Layers	13.53	.75	.82		.15
Millwrights	13.63	.75	.82		.15
St. Joseph County:					
Heavy & Highway Construction:					
Carpenters	11.97	.90	.90		.05
Cement Masons:					
Building Construction:					
Lake Co. (Gary Area)	12.81	1.00	1.00		.03
Heavy & Highway Construction:					
St. Joseph County	11.81	.85	.80		.04
Elevator Constructors:					
Lake & Porter Cos.	13.20	.745	.56	b+c	.035
LaPorte & St. Joseph Cos.	11.44	.745	.56	b+c	.035
Lathers:					
Lake & Porter Counties	13.34		.50		.01
Painters:					
Lake County (Gary Area) & Porter County (North of Hwy #6):					
Commercial:					
Brush	11.35	1.01	.65		.06
Taper	11.55	1.01	.65		.06
Sandblasting; Spray; Steam cleaning; Machine Spray					
Striping	12.30	1.01	.65		.06
Paperhanging	11.60	1.01	.65		.06

DECISION NO. IN79-2059 Cont'd	Basic Hourly Rates	Fringe Benefits Payments			
		H & W	Pensions	Vacation	Education and/or Appr. Tr.
Industrial:					
Brush & taping	12.45	1.01	.65		.06
Sandblasting; Spray; Steam-cleaning; Machine Spray Striping	13.40	1.01	.65		.06
Plumbers & Steamfitters:					
LaPorte County (La-Porte City); St. Joseph County	13.58	.75	.95		.10
Sheet Metal Workers:					
St. Joseph County	12.88	.75	.615		.07
Sprinkler Fitters:					
Lake County (30-mile radius from Chicago, Illinois City Hall)	13.75	.95	1.05		.15
Lake County (Remainder of Co.), LaPorte, Porter & St. Joseph Cos.	13.33	.75	1.05		.08
Power Equipment Ops.:					
Heavy & Highway Construction:					
Class I	13.05	1.20	1.20		.05
Class II	12.60	1.20	1.20		.05
Class III	12.15	1.20	1.20		.05
Class IV	11.15	1.20	1.20		.05
Class V	10.10	1.20	1.20		.05
Line Construction:					
Exclusive of Calumet Area:					
Exclusive of Lake Co.:					
Linemen; Technicians	12.27	.45	3%		.5%
Equipment Operators	9.58	.45	3%		.5%
Powder and Equipment Mechanic	9.37	.45	3%		.5%
Groundman Truck Driver w/Winch	8.00	.45	3%		.5%
Groundman Truck Driver w/o Winch	6.75	.45	3%		.5%
Groundman	7.68	.45	3%		.5%

DECISION NO. IN79-2059
Cont'd

	Basic Hourly Rates	Fringe Benefits Payments			
		H & W	Pensions	Vacation	Education and/or Appr. Tr.
Calumet Area:					
Linemen; Technicians	$12.88	.45	3%		.5%
Equipment Operators	10.06	.45	3%		.5%
Powderman and Equipment Mechanic	9.84	.45	3%		.5%
Groundman Truck Driver w/Winch	8.40	.45	3%		.5%
Groundman Truck Driver w/o Winch	7.09	.45	3%		.5%
Groundman	8.06	.45	3%		.5%
Lake County:					
Linemen	14.98	.45	3%		.5%

Omit:
Laborers' Schedules

Add:
Laborers' Schedules

DECISION NO. IN79-2059
Cont'd

LABORERS

BUILDING CONSTRUCTION

	AREA I	AREA IA	AREA IB
	Basic Hourly Rates	Basic Hourly Rates	Basic Hourly Rates
GROUP I	$ 9.65	$ 9.45	$ 8.95
GROUP II	9.85	9.65	9.15
GROUP III	9.95	9.75	9.25
GROUP IV	10.65	10.45	9.95
GROUP V:			
A.	9.85	9.85	----
B.	9.725	9.725	----
C.	9.95	9.95	----
D.	9.925	9.925	----

	Fringe Benefits Payments			
	H & W	Pensions	Vacation	Education and/or Appr. Tr.
AREA I	.70	.75		.09
ALL OTHER AREAS	.70	.70		.09

AREA I: Lake County; Porter County (North of U. S. Highway No. 20)
AREA IA: Porter County (South of U. S. Highway No. 20); LaPorte County
AREA IB: St. Joseph County

DECISION NO. IN79-2059
Cont'd

LABORERS

GROUP I: Building & Construction Laborers; Scaffold Builders (other than for Masons or Plasterers); Ironworker Tenders; Mechanic Tenders; Civil Engineer Tenders & Surveyor Tenders; Rodmen & Chainmen; Window Washers & Cleaners; Roofer's Tenders; Railroad Workers; Masonry Wall Washers (interior & exterior); Cement Finisher Tenders; Carpenter Tenders; Tenders of All other Crafts not listed; Mason Tenders for Areas I, IA, IB, and Counties of Adams, Allen, DeKalb, Steuben, Huntington, Noble, Wabash, Wells, & Whitley; All Portable Water Pumps With Discharge Up to 3 inches

GROUP II: Waterproofing; Handling of Creosote Lumber or Like Treated Material (excluding railroad material); Asphalt Rakers & Luteman; Kettlemen; Air Tool Operators, Vibrators, Chipping Hammer Operators and All Pneumatic Tool Operators; Earth Compactors; Jackmen & Sheetmen Working Ditches Deeper than 6 ft. in Depth; Laborers Working Ditches 6 ft. in Depth or Deeper; Assembly of Unicrete Pump; Chain Saw Operators; Tile Layers (sewer or field) & Sewer Pipe Layers (metallic or non-metallic); Motor Driven Wheelbarrows & Concrete Buggies; Hyster Operators; Pump Crete Assemblers; Conveyor Assemblers; Core Drill Operators; Cement, Lime or Silica Clay Handlers (bulk or bag); Handling of Toxic Materials Damaging to Clothing; Pneumatic Spikers; Deck Engine & Winch Operators; Water Main & Cable Ducking (metallic & non-metallic)

GROUP III: Plasterers' Tenders; Mason Tenders, except for Areas I, IA, IB, and Counties of Adams, Allen, DeKalb, Steuben, Huntington, Noble, Wabash, Wells, & Whitley; Mortar Mixers; Welders (acetylene or electric); Cutting Torch or Burner; Cement Nozzle Laborers; Cement Gun Operators; Scaffold Builders when Working for Plasterers; Scaffold Builders when Working for Masons (except in Areas I, IA, IB, and Counties of Adams, Allen, DeKalb, Steuben, Huntington, Noble, Wabash, Wells, & Whitley)

GROUP IV: Dynamite Men; Drillers - Air Track or Wagon Drilling for Explosives

GROUP V:

A. Boiler Setter Laborers (Fire Brick Tear Out with Air Tools)
B. Boiler Setter Laborers (Fire Brick)
C. Boiler Setter Laborers (Mortar Mixers)
D. Expeditor, Bottom Man & Bell Man

DECISION NO. IN79-2059
Cont'd

LABORERS: HEAVY & HIGHWAY CONSTRUCTION

	Lake County	Remaining Counties				
	Basic Hourly Rates	Basic Hourly Rates	Fringe Benefits Payments			
			H & W	Pensions	Vacation	Education and/or Appr. Tr.
GROUP 1	$9.70	$9.00	.85	.75		.09
GROUP 2	9.85	9.15	.85	.75		.09
GROUP 3	9.90	9.20	.85	.75		.09
GROUP 4	10.00	9.30	.85	.75		.09
GROUP 5	10.55	9.85	.85	.75		.09

LABORERS

GROUP 1 - Carpenter Tender; Chainman; Construction Laborers; Continuous Steel Rod or Mat Installer; Fence Erector; Grade Checker; Guard Rail Erector; Joint Man (Mortar, Mastic & all other Types); Lighting Installer; Lineman for Automatic Grade Maker or Paving Machine; Mortar Man; Multi-plate Erector; Rip-Rap Installer; Road Marking & Delineation Laborer; Rodman; Setting & Placing of All Precast Concrete Products; Spraying of Epoxy, Curing compound or Like Material; Survey Crew Man; Wire Mesh Layer; Sign Installation, Including Supporting Structures

GROUP 2 - Air Tool, Power Tool, & Power Equipment Operator; Asphalt Lute Man; Asphalt Raker Man; Batch Truck Dumper; Cement Handler (Bulk or Bag Cement); Chain Saw Man; Concrete Conveyor Assembly Man; Concrete Puddler; Concrete Rubber; Concrete Saw Operator; Core Drill Operator; Hand Blade Operator; Hydro Seeder Man; Motor Driven Georgia Buggy Operator; Power Driven Compactor or Tamper Operator; Eye Level; Power Saw Operator; Pumpcrete Assembly Man; Sealer Applicator for Asphalt, Toxic; Side Rail Setter - For Sidewalks, Side Ditches, Radii & Pavements; Spreader Box Tender; Straw Blower Man; Subsurface Drain & Culvert Pipe Layer; Transverse & Longitudinal Hand Bull Float Man; Bridge Hand Rail Erector; Laborers Instrument Man; Screed Man or Screw Man on Asphalt Paver; Rebar Installer; Sandblaster Man; Setting & Placing Prestressed or Precast Concrete Structural Members

GROUP 3 - Horizontal Boring & Jacking Man; Jackman & Sheetman; Pipe Grade Man; Winch & Windlass Operator

GROUP 4 - Conduit Installer; Cutting Torch Burner; Laser Beam Aligner; Welders (Electric or Oxy-Acetylene); Sewer Pipe Layer; Water Line Installer; Manhole Erector

GROUP 5 - Air Track & Wagon Drillman; Concrete Finisher; Dynamite & Powder Man

DECISION NO. IN79-2059
Cont'd

LABORERS (Sewer, Tunnel, & Water Construction) St. Joseph County	Basic Hourly Rates	Fringe Benefits Payments			
		H & W	Pensions	Vacation	Education and/or Appr. Tr.
GROUP 1	$9.20	.85	.75		.09
GROUP 2	9.35	.85	.75		.09
GROUP 3	9.40	.85	.75		.09
GROUP 4	9.50	.85	.75		.09
GROUP 5	10.05	.85	.75		.09
GROUP 6:					
A.	9.40	.85	.75		.09
B.	9.55	.85	.75		.09
C.	10.00	.85	.75		.09
D.	9.50	.85	.75		.09

GROUP 1 - Carpenter Tender; Chainman; Construction Laborers; Continuous Steel Rod or Mat Installer; Fence Erector; Grade Checker; Guard Rail Erector; Joint Man (Mortar, Mastic & all other Types); Lighting Installer; Lineman for Automatic Grade Maker or Paving Machine; Mortar Man; Multi-plate Erector; Rip-Rap Installer; Road Marking & Delineation Laborer; Rodman; Setting & Placing of All Precast Concrete Products; Spraying of Epoxy, Curing compound or Like Material; Survey Crew Man; Wire Mesh Layer; Sign Installation, Including Supporting Structures

GROUP 2 - Air Tool, Power Tool, & Power Equipment Operator; Asphalt Lute Man; Asphalt Raker Man; Batch Truck Dumper; Cement Handler (Bulk or Bag Cement); Chain Saw Man; Concrete Conveyor Assembly Man; Concrete Puddler; Concrete Rubber; Concrete Saw Operator; Core Drill Operator; Hand Blade Operator; Hydro Seeder Man; Motor Driven Georgia Buggy Operator; Power Driven Compactor or Tamper Operator; Eye Level; Power Saw Operator; Pumpcrete Assembly Man; Sealer Applicator for Asphalt, Toxic; Side Rail Setter - For Sidewalks, Side Ditches, Radii & Pavements; Spreader Box Tender; Straw Blower Man; Subsurface Drain & Culvert Pipe Layer; Transverse & Longitudinal Hand Bull Float Man; Bridge Hand Rail Erector; Laborers Instrument Man; Screed Man or Screw Man on Asphalt Paver; Rebar Installer; Sandblaster Man; Setting & Placing Prestressed or Precast Concrete Structural Members

GROUP 3 - Horizontal Boring & Jacking Man; Jackman & Sheetman; Pipe Grade Man; Winch & Windlass Operator

GROUP 4 - Conduit Installer; Cutting Torch Burner; Laser Beam Aligner; Welders (Electric or Oxy-Acetylene); Sewer Pipe Layer; Water Line Installer; Manhole Erector

GROUP 5 - Air Track & Wagon Drillman; Concrete Finisher; Dynamite & Powder Man

GROUP 6 - A. Bottom Man; Concrete Man
B. Concrete Headman
C. Miner or Header Man
D. Mucker & Tunnel Laborer

	Basic Hourly Rates	Fringe Benefits Payments			
		H & W	Pensions	Vacation	Education and/or Appr. Tr.
DECISION NO. LA80-4014 - MOD. #4 (45 FR 6294 - January 25, 1980) Statewide Louisiana					
CHANGE: Glaziers listed in Mod. #2 in the FR of 2/29/80 on page 13595 should read: Glaziers - Zone 1	$11.65				.02
DECISION #MD79-3035 - Mod. #1 (44 FR-59060 - October 12, 1979) Caroline, Dorchester, Kent, Queen Anne's, and Talbot Counties, Maryland					
Change: Electricians	$7.87				
Ironworkers	8.17				
DECISION NO. MA79-2089 - MOD. #1 (44 FR 75883 - December 21, 1979) Suffolk Co., Massachusetts					
Change: Electricians	$12.68	1.08	3%+.80		.07

DECISION NO. MA80-2001 - MOD. #1 (45 FR 15020 - March 7, 1980) Middlesex County, Massachusetts	Basic Hourly Rates	Fringe Benefits Payments			
		H & W	Pensions	Vacation	Education and/or Appr. Tr.
Change:					
Millwrights	$12.15	.95	1.00		.10
Piledirvermen	11.90	.85	1.00		
On Federal Register Page 15023 change Decision IL80-2001 (page 6 of Decision) to read MA80-2001					
Omit:					
Bricklayers; Stonemasons Veneer Plasterers All work, including demolition, repair and alteration of any existing structure which is intended for predominantly residential use: Acton, Ashby, Ayer, Bedford, Billerica, Boxboro, Carlisle, Chelmsford, Dracut, Dunstable, Ft. Devens, Groton, Littleton, Lowell, North Acton, Pepperell, Shirley, South Acton, Tewksbury, Townsend, Tyngsboro, West Acton, Westford and Willmington	9.30	.90	.70		.11
	9.36	.90	.70		.09

DECISION NO. MA80-2001 Cont'd	Basic Hourly Rates	Fringe Benefits Payments			
		H & W	Pensions	Vacation	Education and/or Appr. Tr.
All work, including demolition, repair, and alteration of any existing structure which is intended for predominantly residential use: Bricklayers: Belmont, Burlington, Concord, Lexington, Lincoln, Stoneham, Sudbury, Waltham, Wayland, Weston, Winchester and Woburn	9.24	.75	1.00		.09
Carpenters & Soft Floor Layers: All work, including demolition, repair and alteration of any existing structure which is intended from predominantly residential use: Bedford, Concord, Dover, Lincoln, Natick, Newton, Sudbury, Waltham, Watertown, Wayland, Weston, Acton, Billerica, Boxboro, Carlisle, Chelmsford, Dracut, Dunstable, Littleton, Lowell, Tewksbury, Tyngsboro, and Westford	9.32	.95	1.00		.07

DECISION NO. MA80-2001 Cont'd	Basic Hourly Rates	Fringe Benefits Payments			
		H & W	Pensions	Vacation	Education and/or Appr. Tr.
Electricians:					
All work, including demolition, repair and alteration of any existing structure which is intended for predominantly residential use:					
Electricians:					
Bedford, Billerica, Boxboro, Burlington, Carlisle, Chelmsford, Dracut, Dunstable, Littleton, Lowell, Tewksbury, Tyngsboro, Westford, and Willmington	9.31	.85	3%+.30		.02
Painters:					
All work, including demolition, repair and alteration of any existing structure which is intended for predominantly residentail use	8.50	.65	.55		.06
Plasterers:					
All work, including demolition, repair and alteration of any existing structure which is intended for predominantly residential use:					
Bedford, Burlington, Lowell, Tyngsboro and Westford	9.36	.90	.70		.09

DECISION NO. PA79-3020 MOD. NO. 1 (44 FR 42880 - July 20, 1979) Carbon, Monroe County, including Tobyhanna Army Depot and Pike County, Pennsylvania	Basic Hourly Rates	Fringe Benefits Payments			
		H & W	Pensions	Vacation	Education and/or Appr. Tr.
CHANGE:					
Asbestos Workers	$11.875	.80	.60		.01
Boilermakers	14.64	1.175	1.00		.02
Line Construction:					
Lineman, dynamite man, heavy equipment operator	12.37	.55	3%		3/8%
Winch truck operator	8.68	.55	3%		3/8%
Groundman	8.30	.55	3%		3/8%
Painters:					
Zone 3					
Brush	10.65	1.23	.75		.05
Spray	11.65	1.23	.75		.05
Steel	11.65	1.23	.75		.05
Sprinkler Fitters	13.55	.75	1.05		.08
OMIT:					
ELECTRICIANS					
Zone 1	11.05	.70	3%+.80		.02
Zone 2	11.87	.65	3%+.30	a	b
Zone 3	12.50	7%	3%+1.00		.02
Zone 4	11.85	.75	3%+.50	1.00	.10
Zone 5	11.85	.75	3%+.50	1.00	.10

AREA COVERED BY ELECTRICIANS ZONES

Zone 1 - Carbon, Towamensing, Lower Towamensing; E. Penn. Twps., the Borough of Perryville in their entirety
Zone 2 - Remainder of Carbon County
Zone 3 - Remainder of Monroe County
Zone 4 - Tobyhanna Army Depot in Monroe County
Zone 5 - Pike County

FOOTNOTES:

a. Paid Holidays: Independence Day and Labor Day.
b. .12 per day per employee.

DECISION NO. PA79-3020
MOD. NO. 1 (CONT'D)

	Basic Hourly Rates	Fringe Benefits Payments			
		H & W	Pensions	Vacation	Education and/or Appr. Tr.
ADD:					
ELECTRICIANS:					
Carbon County: Towamensing Lower Towamensing, East Penn Township and the Borough of Parryville in their entirety	11.75	.70	3%+.80		.02
That portion of Kidder Twp., excluding Eastside Borough, north of State Highway 940 from that Borough to					
Monroe County:					
Zone A- From 20 - 1/10 miles to 30 miles from Public Square	12.44	.65	3%+.95		.03
Zone B- All distance from 30- 1/10 miles & over	12.74	.65	3%+.95		.03
Penn Forest, Lehigh, Lausane, Banks, Packer, Mauch Chunk, Franklin, Mahoning Townships and East Side Borough in their entirety and that portion of Kidder Township south of State Highway 940, but excluding Perryville Borough	11.87	.65	3%+.30	a	b
FOOTNOTES:					
a. Paid Holidays: Independence Day and Labor Day.					
b. .12 per day per employee.					
Monroe County: That portion of Tobyhanna and Tunkhannock Townships west of State Highway 115.					
Zone A- From 20- 1/10 miles to 30 miles from Public Square	12.44	.65	3%+.95		.03
Zone B- All distance from 30- 1/10 miles & over	12.74	.65	3%+.95		.03
Polk, Eldred, Chestnut Hill, Ross, Hamilton, Jackson, Pocono, Stroud, Smithfield and Middle Smithfield Townships	12.50	7%	3%+1.00		.02

DECISION NO. PA79-3020
MOD. NO. 1 (CONT'D)

	Basic Hourly Rates	Fringe Benefits Payments			
		H & W	Pensions	Vacation	Education and/or Appr. Tr.
ELECTRICIANS:					
Monroe County: Barrett, Coolbaugh, Pardise and Price Townships, and that portion of Tobyhanna and Tunkhannock Townships east of State Highway 115.					
Zone A- From 20 to 30 miles from Zero milestone marker	11.35	.75	3%+.50	1.00	.10
Zone B- All distance beyond 30 miles	11.85	.75	3%+.50	1.00	.10
Pike County: Porter, Delaware and Lehman Townships in their entirety;	12.50	7%	3%+1.00		.02
Remainder of County:					
Zone A- From 20 to 30 miles from Zero milestone marker	11.35	.75	3%+.50	1.00	.10
Zone B- All distances beyond 30 miles from Zero marker	11.85	.75	3%+.50	1.00	.10

	Basic Hourly Rates	Fringe Benefits Payments			
		H & W	Pensions	Vacation	Education and/or Appr. Tr.
DECISION NO. PA80-3011					
MOD. NO. 1					
(45 FR 12118 - February 22, 1980)					
Greene, Somerset & Potter Counties, Pennsylvania					
CHANGE:					
LINE CONSTRUCTION:					
Zone 1					
Linemen, dynamite man, heavy equipment operator	$12.37	.55	3%		3/8%
Winch truck operator	8.68	.55	3%		3/8%
Groundman	8.30	.55	3%		3/8%
Laborers:					
Zone II					
Class 1	10.00	9%	7%		
Class 2	10.25	9%	7%		
Class 3	10.80	9%	7%		
DECISION NO. TX80-4003 -					
MOD. #1					
(45 FR 1380 - January 4, 1980)					
Jefferson & Orange Cos., Texas					
CHANGE:					
Cement masons:					
North half of Jefferson Co. bounded on the south side by Nederland Ave. in Nederland, Texas	$11.88				
South half of Jefferson Co. & all of Orange Co.	11.82				

DECISION NO. TX80-4005 - Mod. #4
(45 FR 1385 - January 4, 1980)
Bell, Bosque, Coryell, Falls, Hill & McLennan Cos., Texas

	Basic Hourly Rates	Fringe Benefits Payments			
		H & W	Pensions	Vacation	Education and/or Appr. Tr.
Change:					
Incidental Paving and Utilities:					
Air Tool Man	$3.55				
Asphalt Heaterman	4.25				
Asphalt Raker	5.00				
Asphalt Shoveler	4.25				
Batching Plant Scaleman	5.50				
Carpenter	5.60				
Carpenter Helper	4.10				
Concrete Finisher (Paving)	5.50				
Concrete Finisher Helper (Paving)	4.75				
Concrete Finisher (Structures)	6.00				
Concrete Finisher Helper (Structures)	4.00				
Concrete Rubber	4.00				
Electrician	8.90				
Electrician Helper	4.00				
Form Builder (Structures)	4.50				
Form Builder Helper (Structures)	4.00				
Form Setter (Paving & Curb)	4.65				
Form Setter Helper (Paving & Curb)	3.75				
Form Setter (Structures)	5.75				
Form Setter Helper (Structures)	4.00				
Laborer, Common	3.25				
Laborer, Utility Man	3.50				
Mechanic	5.25				
Mechanic Helper	4.10				
Oiler	4.50				
Serviceman	4.75				
Piledriverman	4.00				
Pipelayer:					
Bosque, Falls, Hill & McLennan Cos.	5.50				
Pipelayer (Concrete & Clay):					
Bell & Coryell Cos.	5.50				

DECISION NO. TX80-4005 - MOD. #4 (CONT'D)

	Basic Hourly Rates	Fringe Benefits Payments			
		H & W	Pensions	Vacation	Education and/or Appr. Tr.
Pipelayer Helper:					
Bosque, Falls, Hill & McLennan Counties	$3.30				
Pipelayer Helper (Concrete & Clay):					
Bell & Coryell Cos.	3.30				
Plumbers (Bell & Coryell Cos.):					
Zone 1-35 miles from Waco including towns of Temple & Belton	10.17	.30	.40		.04
Zone 2-all area not included in Zone 1	10.88	.30	.40		.04
Powderman	5.50				
Reinforcing Steel Setter (Structures)	5.25				
Reinforcing Steel Setter Helper	3.75				
Sign Erector	4.65				
Sign Erector Helper	3.30				
Spreader Box Man	4.60				
Swamper	4.55				
Power Equipment Operators:					
Asphalt Distributor	4.65				
Asphalt Paving Machine	5.10				
Broom or Sweeper Operator	4.50				
Bulldozer, 150 HP & Less	4.75				
Bulldozer, over 150 HP	5.00				
Concrete Paving Curing Machine	5.00				
Concrete Paving Saw	4.75				
Crane, Clamshell, Backhoe, Derrick, Dragline, Shovel (less than 1½ CY)	4.50				
Crane, Clamshell, Backhoe, Derrick, Dragline, Shovel (1½ CY & over)	6.50				
Crusher or Screening Plant Operator	4.60				

DECISION NO. TX80-4005 - MOD. #4 (CONT'D)

Power Equipment Operator (cont'd)	Basic Hourly Rates	Fringe Benefits Payments			
		H & W	Pensions	Vacation	Education and/or Appr. Tr.
Foundation Drill Op. (Truck Mounted)	$4.50				
Foundation Drill Op. Helper	4.25				
Front End Loader (2½ CY & Less)	4.65				
Front End Loader (Over 2½ CY)	5.00				
Motor Grader Op., Fine Grade	6.55				
Motor Grader Operator	5.30				
Roller, Steel Wheel(Plant-Mix Pavements)	4.50				
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Roller, Pneumatic (Self-Propelled)	3.85				
Scrapers (17CY & Less)	4.50				
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Tractor (Crawler Type) 150 HP & Less	3.30				
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Tractor (Pneumatic) 80 HP & Less	3.70				
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Trenching Machine, Light	4.00				
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Single Axle, Light	4.00				
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Lowboy-Float	4.80				
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SUPERSEDEAS DECISION

STATE: GEORGIA
COUNTY: BIBB
DECISION NUMBER: GA80-1062
DATE: DATE OF PUBLICATION
Supersedes Decision Number GA76-1122, dated October 22, 1976, in 41 FR 46803.

DESCRIPTION OF WORK: BUILDING CONSTRUCTION PROJECTS - (does not include single family homes and apartments up to and including four stories).

	Basic Hourly Rates	Fringe Benefits Payments			
		H & W	Pensions	Vacation	Education and/or Appr. Tr.
AIR CONDITIONING & HEATING MECHANICS	$ 8.03				
BOILERMAKERS	10.70	1.05	1.10		.02
BRICKLAYERS	8.07				
CARPENTERS	7.25				
CARPET LAYERS	5.00				
CEMENT MASONS	8.70				
DRYWALL FINISHERS	6.00				
ELECTRICIANS	10.28	.60	3%+.30		½ of 1%
GLAZIERS	5.80				
IRONWORKERS	9.50				
LABORERS	3.70				
LATHERS	8.25				
PAINTERS	6.00				
PLASTERERS	8.00				
PLUMBERS & PIPEFITTERS	9.50				
ROOFERS:					
ROOFERS	6.10				
WATERPROOFERS	7.35				
SHEET METAL WORKERS	6.92				
SOFT FLOOR LAYERS	6.00				
TILE SETTERS	6.00				
TRUCK DRIVERS	3.70				
WELDERS - Rate for craft.					
POWER EQUIPMENT OPERATORS:					
Asphalt spreader	4.00				
Backhoe	8.15				
Crane	9.50				
Front end loader	5.49				
Motor grader	4.45				

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29 CFR, 5.5 (a) (1) (ii)).

SUPERSEDEAS DECISION

STATE: INDIANA
COUNTIES: *See Below
DECISION NO.: IN80-2012
DATE: Date of Publication
Supersedes Decision Nos. IN77-2013 dated February 11, 1977 in 42 FR 8913 and IN77-2015 dated February 11, 1977 in 42 FR 8914
DESCRIPTION OF WORK: Residential Construction Consisting of single family homes and apartments up to and including 4 stories.

*Jefferson, Scott, & Washington Counties	Basic Hourly Rates	Fringe Benefits Payments			
		H & W	Pensions	Vacation	Education and/or Appr. Tr.
AIR CONDITIONING & HEATING MECHANICS	$ 5.50				
BRICKLAYERS	6.00				
CARPENTERS	6.00				
CEMENT MASONS	5.08				
DRYWALL HANGERS	4.60				
ELECTRICIANS	6.00				
INSULATION INSTALLERS	4.00				
LABORERS	4.00				
PAINTERS	4.67				
PLUMBERS	6.30				
ROOFERS	4.69				
SHEET METAL WORKERS	6.39				
TRUCK DRIVERS	4.00				
POWER EQUIPMENT OPERATORS:					
Backhoe Operators	6.00				
Front End Loaders	6.00				

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the Labor standards contract clauses (29 CFR, 5.5 (a)(1)(ii)).

SUPERSEDEAS DECISION

STATE: Michigan COUNTY: Kent
DECISION NUMBER: MI80-2017 DATE: Date of Publication
Supersedes Decision No. MI79-2081 dated September 14, 1979 in 44 FR 53657
DESCRIPTION OF WORK: Building Construction Projects (excluding single family homes and apartments up to and including 4 stories).

	Basic Hourly Rates	Fringe Benefits Payments			
		H & W	Pensions	Vacation	Education and/or Appr. Tr.
ASBESTOS WORKERS	$ 7.50				
BOILERMAKERS	11.07	1.10	1.20	1.50	.03
BRICKLAYERS & STONEMASONS	7.45				
CARPENTERS	5.96				
CEMENT MASONS	6.12				
ELECTRICIANS	11.85	.60	3%+.35		.02
ELEVATOR CONSTRUCTORS	9.90	.545	.35	4%+a&b	.02
IRONWORKERS:					
Structural & Ornamental	5.86				
Reinforcing	5.92				
LABORERS - UNSKILLED	4.89				
LABORERS - MASON TENDERS	5.15				
PAINTERS - BRUSH	9.55	.50	.25		.02
PAINTERS - TAPERS	9.55	.50	.25		.02
PLASTERERS	7.80				
PLUMBERS & STEAMFITTERS	13.44	.60+.15	1.05		
ROOFERS	5.55				
SHEET METAL WORKERS	11.40	.77+c	.95	.67	.02
TRUCK DRIVERS	5.62				
POWER EQUIPMENT OPERATORS:					
Backhoe Operator	7.15				
Bulldozer Operator	8.35				
Crane Operator	5.27				
Finishing Machine Operator	5.50				
Front End Loader	7.02				
Scraper	7.00				

FOOTNOTES:
a. 6 Paid Holidays: New Years Day, Memorial Day, Independence Day, Labor Day, Thanksgiving Day, Christmas Day.
b. Employer contributes 4% or regular hourly rate to vacation pay credit for employee who has worked in business more than 5 years & 2% for employee in business less than 5 years.
c. $5.00 per month - Life Insurance

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29 CFR, 5.5 (a) (1) (ii)).

SUPERSEDEAS DECISION

STATE: OHIO COUNTIES: *SEE BELOW
DECISION NO.: OH80-2010 DATE: Date of Publication
Supersedes Decision No. OH77-2128, dated August 12, 1977 in 42 FR 41055
DESCRIPTION OF WORK: Residential Construction Consisting of single family homes and apartments up to and including 4 stories.

*ALLEN, AUGLAIZE, HARDIN, MERCER, PAULDING, PUTNAM & VAN WERT

	Basic Hourly Rates	Fringe Benefits Payments			
		H & W	Pensions	Vacation	Education and/or Appr. Tr.
AIR CONDITIONING MECHANICS	$ 6.46				
BRICKLAYERS	7.82				
CARPENTERS	6.13				
CEMENT MASONS	6.72				
DRYWALL FINISHERS	5.75				
DRYWALL HANGERS	5.56				
ELECTRICIANS	6.18				
INSULATORS	5.50				
IRONWORKERS, Ornamental	4.75				
LABORERS	4.32				
PAINTERS	5.50				
PLUMBERS	7.00				
ROOFERS	5.00				
SHEET METAL WORKERS	5.72				
SOFT FLOOR LAYERS	5.50				
TILE SETTERS	5.50				
TRUCK DRIVERS	5.00				
POWER EQUIPMENT OPERATORS:					
Backhoes	6.77				
Bulldozers	7.65				
Front End Loaders	6.77				

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29 CFR, 5.5 (a) (1) (ii)).

SUPERSEDEAS DECISION

STATE: OHIO COUNTIES: CLERMONT & HAMILTON
DECISION NO. OH80-2011 DATE: Date of Publication
Supersedes Decision No. OH76-2029 dated February 27, 1976 in 41 FR 8739
DESCRIPTION OF WORK: Residential construction consisting of single family homes and apartments up to and including 4 stories

	Basic Hourly Rates	Fringe Benefits Payments			
		H & W	Pensions	Vacation	Education and/or Appr. Tr.
AIR CONDITIONING MECHANICS	$ 6.75				
BRICKLAYERS	8.61				
CARPENTERS	6.47				
CEMENT MASONS	8.06				
DRYWALL FINISHERS	7.19				
DRYWALL HANGERS	6.45				
ELECTRICIANS	6.98				
LABORERS:					
Common	5.18				
Mason Tenders	5.50				
PLUMBERS	7.92				
ROOFERS	7.03				
SHEET METAL WORKERS	6.59				
TRUCK DRIVERS	7.30				
POWER EQUIPMENT OPERATORS:					
Backhoe	8.17				
Bulldozer	10.07				
Front End Loader	10.00				

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29 CFR, 5.5 (a) (1) (ii)).

SUPERSEDEAS DECISION

STATE: Oklahoma COUNTY: Statewide (except heavy construction within the city of Muskogee)
DECISION NO. OK80-4021 DATE: Date of Publication
SUPERSEDEAS DECISION #OK79-4020 dated January 5, 1979 in 44 FR 1665
DESCRIPTION OF WORK: Construction, alteration, and/or repair of streets, highways, runways, erosion control structures, well drilling, and water and sewer utilities (but does not include building structures on highway rest areas).

	Basic Hourly Rates	Fringe Benefits Payments			
		H & W	Pensions	Vacation	Education and/or Appr. Tr.
Carpenters	$7.00				
Carpenters helper	5.90				
Concrete finishers	7.15				
Concrete finishers helper	5.85				
Concrete rubber	6.05				
Electricians	11.85				
Electricians helper	8.25				
Form builder	6.60				
Form builder helper	5.70				
IRONWORKERS:					
Reinforcing steel setter paving and structures	5.90				
Reinforcing steel setter paving & structures helper	5.00				
Structural steel workers	6.70				
LABORERS:					
Air tool man	5.25				
Asphalt heaterman	5.90				
Asphalt raker	6.05				
Asphalt shoveler	5.10				
Form setter	6.60				
Form setter helper	5.70				
Laborer, common	4.60				
Pipelayer	6.60				
Powderman	6.20				
Powderman helper	5.35				
Vibrator (hand type)	5.00				
Manhole builder	6.45				
Mechanic	7.25				
Mechanic helper	5.90				
Painter	6.30				
Painter helper	5.25				
Piledriverman	5.90				
Traffic signal installer	6.70				
Weighman (truckscales)	4.75				
POWER EQUIPMENT OPERATORS:					
Asphalt distributor	6.30				
Asphalt paving machine	7.25				
Bulldozer	7.25				
Cement unloading and machine operator	5.25				
Concrete paving machine	7.00				
Concrete finishing machine	6.60				

	Basic Hourly Rates	Fringe Benefits Payments			
		H & W	Pensions	Vacation	Education and/or Appr. Tr.
POWER EQUIPMENT OPERATORS (CONTD.)					
Concrete saw operator	$6.30				
Concrete batching plant	7.00				
Crane, clamshell, backhoe, derrick, dragline and shovel	7.25				
Crusher & screening plant operator	6.60				
Fireman	5.90				
FRONT END LOADERS:					
1 CY and less	6.30				
Over 1 CY	7.00				
Hoist	6.30				
Mixer (over 16 C.F.)	6.45				
Mixer (16 C.F. and less)	6.00				
Mixer (concrete paving)	6.60				
Motor grader operator	7.25				
Oiler	6.30				
Pavement profiler	7.25				
Power broom	5.85				
Pug mill operator	6.55				
Pumpcrete	5.90				
Roller (steel wheel)	6.60				
Roller (pneumatic)	6.10				
Scrapers	7.00				
Spreader boxman	5.25				
TRACTORS:					
Crawler, 80 HP & less	6.20				
Crawler, over 80 HP	6.60				
Pneumatic, 80 HP & less	5.25				
Pneumatic, over 80 HP	5.65				
Traveling plant	6.35				
Trenching machine	6.50				
Wagon drill	6.10				
TRUCK DRIVERS:					
Over 2 tons	5.65				
2 tons and under	5.10				
Tandem axel & semi-trailer	5.90				
Lowboy	6.05				
Transit mix	5.90				
Winch	5.90				

WELDERS--receive rate prescribed for craft performing operation to which welding is incidental.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29 CFR, 5.5 (a)(1)(ii)).

[FR Doc. 80-8344 Filed 3-20-80; 8:45 am]

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SUPERSEDEAS DECISION

STATE: TENNESSEE
COUNTIES: CARTER, GREENE, HAWKINS, JOHNSON, SULLIVAN, UNICOI, & WASHINGTON.
DECISION NUMBER: TN80-1063 DATE: DATE OF PUBLICATION
Supersedes Decision Number TN79-1011, dated January 5, 1979, in 44 FR 1670.
DESCRIPTION OF WORK: BUILDING CONSTRUCTION PROJECTS - (does not include single family homes and apartments up to and including four stories).

	Basic Hourly Rates	Fringe Benefits Payments			
		H & W	Pensions	Vacation	Education and/or Appr. Tr.
BRICKLAYERS	$ 9.35				
CARPENTERS	7.10				.01
CEMENT MASONS	5.71				
ELECTRICIANS	6.94				
ELEVATOR CONSTRUCTOR MECHANICS	9.26	.895	.69	a + b	.03
GLAZIERS	5.74				
IRONWORKERS	7.96				
LABORERS:					
Unskilled	3.72				
Asphalt rakers	4.44				
LATHERS	6.17				
PAINTERS	5.00				
PLASTERERS	6.00				
PLUMBERS & PIPEFITTERS	5.99				
ROOFERS	5.46				
SHEET METAL WORKERS	10.93	.68	.84		.08
SOFT FLOOR LAYERS	7.20				
TILE SETTERS	6.00				
TRUCK DRIVERS	4.32				
WELDERS - Rate for craft.					
POWER EQUIPMENT OPERATORS:					
Backhoe	5.00				
Bulldozer	4.50				
Crane	9.50				
Fork lift	6.00				
Front end loader	6.55				
Motor grader	5.85				
Roller	5.30				
Scraper - Pan	4.50				

FOOTNOTES:

a. Seven Paid Holidays: New Year's Day; Memorial Day; Independence Day; Labor Day; Thanksgiving Day; Friday after Thanksgiving Day; Christmas Day.
b. Employer contributes 6% of the basic hourly rate of employees with with six months to five years of service, or 8% of the basic hourly rate of employees with five years or more of service as Vacation Pay Credit.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29 CFR, 5.5 (a) (1) (ii)).

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AGENCY PUBLICATION ON ASSIGNED DAYS OF THE WEEK

The following agencies have agreed to publish all documents on two assigned days of the week (Monday/Thursday or Tuesday/Friday).

This is a voluntary program. (See OFR NOTICE FR 32914, August 6, 1976.)

Monday	Tuesday	Wednesday	Thursday	Friday
DOT/SECRETARY	USDA/ASCS		DOT/SECRETARY	USDA/ASCS
DOT/COAST GUARD	USDA/APHIS		DOT/COAST GUARD	USDA/APHIS
DOT/FAA	USDA/FNS		DOT/FAA	USDA/FNS
DOT/FHWA	USDA/FSQS		DOT/FHWA	USDA/FSQS
DOT/FRA	USDA/REA		DOT/FRA	USDA/REA
DOT/NHTSA	MSPB/OPM		DOT/NHTSA	MSPB/OPM
DOT/RSPA	LABOR		DOT/RSPA	LABOR
DOT/SLSDC	HEW/FDA		DOT/SLSDC	HEW/FDA
DOT/UMTA			DOT/UMTA	
CSA			CSA	

Documents normally scheduled for publication on a day that will be a Federal holiday will be published the next work day following the holiday.

Comments on this program are still invited. Comments should be submitted to the Day-of-the-Week Program Coordinator, Office of /L107227360528008360the Federal Register, National Archives and Records Service, General Services Administration, Washington, D.C. 20408

REMINDERS

The "reminders" below identify documents that appeared in issues of the **Federal Register** 15 days or more ago. Inclusion or exclusion from this list has no legal significance.

Rules Going Into Effect Today

COMMERCE DEPARTMENT

National Telecommunications and Information Administration—

7780 2–5–80 / Public Telecommunications Facilities Program; Filing amendments to FY 1980 grant applications; effective date extended

ENERGY DEPARTMENT

Federal Energy Regulatory Commission—

13414 2–28–80 / High-cost natural gas produced from tight formations

HOUSING AND URBAN DEVELOPMENT DEPARTMENT

Office of Assistant Secretary for Housing—Federal Housing Commission—

4332 1–21–80 / Condominium ownership mortgage insurance, insurance involving existing single family units and miscellaneous provision changes

JUSTICE DEPARTMENT

Immigration and Naturalization Service—

11113 2–20–80 / Voidance of nonresident alien border crossing cards

TREASURY DEPARTMENT

Comptroller of the Currency—

11115 2–20–80 / Securities offering disclosure rules

List of Public Laws

Last Listing March 20, 1980

This is a continuing listing of public bills from the current session of Congress which have become Federal laws. The text of laws is not published in the **Federal Register** but may be ordered in individual pamphlet form (referred to as "slip laws") from the Superintendent of Documents, U.S. Government Printing Office, Washington, D.C. 20402 (telephone 202–275–3030).

S. 643 / Pub. L. 96–212 "Refugee Act of 1980". (Mar. 17, 1980; 94 Stat. 102) Price $1.50.

H.R. 3398 / Pub. L. 96–213 "Agricultural Adjustment Act of 1980". (Mar. 18, 1980; 94 Stat. 119) Price $1.00.

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Book 2 of 2 Books
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18660 **Part V—Treasury:**
Privacy Act

18876 **Part VI—Labor/ETA:**
CETA: Intent To Reallocate Funds

18880 **Part VII—Justice/LEAA:**
Capacity Building; Request for Comments on Proposed Guideline

18884 **Part VIII—HEW/HDSO:**
Availability of Grant or Cooperative Agreement Funds for Crosscutting Research and Demonstration Program

18890 **Part IX—Justice/Attorney General:**
Voting Rights; Proposed Revisions to Guidelines

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Part V

Department of the Treasury

Office of the Secretary

Privacy Act of 1974; Annual Publication of Systems of Records

DEPARTMENT OF THE TREASURY

Office of the Secretary

Privacy Act of 1974; Systems of Records; Annual Publication

Pursuant to 5 U.S.C. 552(e)(4), the Department of the Treasury republishes notices of the systems of records maintained by the Department. All of the systems have been previously published and adopted as of December 31, 1979. There are no changes requiring public comment. Numerous minor changes have been made, consisting principally of address changes, revision of organizational titles, and corrections of grammatical or typographical errors. Some items have been modified to clarify the descriptions.

The Department has deleted 25 previously published systems of records:

Dated: March 14, 1980.

Walter J. McDonald,
Assistant Secretary (Administration).

DELETIONS

OFFICE OF THE SECRETARY (OS)
OS 001—Administrative Personnel System (Replaced by Reinstated Systems, see listing below)
OS 003—General Personnel System (Same as OS 001 above)
OS 033—OASIA Invitation Lists (Obsolete)
UNITED STATES CUSTOMS SERVICE (CS)
CS 003—Accident Reports (Duplicative)
CS 018—Aircraft Sanctioned List (Duplicative)
CS 034—Boarding Report (Obsolete)
CS 036—Boat Owners' Mailing List (Obsolete)
CS 068—Customhouse Brokers' Examination Records (Not Privacy Act System)
CS 074—Customs Licensing and Identification Program (Proposed) (Obsolete)
CS 082—Duty Assessment by Account (DABA) (Obsolete)
CS 107—Full Field Investigation—Active/Completed Log (Not Privacy System)
CS 126—Internal Security File (Obsolete)
CS 176—Patrol Information Data System (Duplicative)
CS 177—Patrol Officer Activity Listing (Duplicative)
CS 178—Payroll Administration/Problems (Duplicative)
CS 205—Reclassification Requests (Obsolete)
CS 256—Vessel Identification Report (Obsolete)
CS 265—Importer Name and Address Record System (Obsolete)
INTERNAL REVENUE SERVICE (IRS)
IRS 42.006—Expropriation of Property by Cuban Government (Obsolete)
IRS 42.009—Strike Force (Obsolete)
IRS 42.015—Open and Closed Narcotics Traffickers File (Obsolete)
IRS 42.024—Coordinated Examinations of Large Cases Program (Not Privacy Act System)
IRS 42.028—Identity of Taxpayers Using ADP Accounting Records and the Evaluation Thereof (Entrepreneurial)
COMPTROLLER OF THE CURRENCY (CC)
CC 090—Bank Organizers and Proposed Officer Files (Not Privacy Act System)
CC 139—Financial and Biographical Reports of Organizers of New National Banks (Not Privacy Act System)

CONSOLIDATIONS

OFFICE OF THE SECRETARY (OS)
OS 190—Automated Directory System (See OS 193)
BUREAU OF ENGRAVING AND PRINTING (BEP)
BEP 028—Personnel Progress and Achievement Record (See BEP 014)
BEP 039—Work Performance Records (See BEP 014)
INTERNAL REVENUE SERVICE (IRS)
IRS 34.015—Personnel ID Files System for Service Centers (See IRS 34.013)
IRS 34.017—Audit Trail File (See IRS 34.018)
COMPTROLLER OF THE CURRENCY (CC)
CC 044—Consumer Complaint Index (See CC 004)
CC 104—Consumer Complaint index (See CC 004)
CC 115—Consumer Complaint Index (See CC 004)
CC 142—Public Files (See CC 320)
CC 149—Consumer Complaint Index (See CC 004)
CC 166—Consumer Complaint Index (See CC 004)
CC 208—Consumer Complaint Index (See CC 004)

ADDITIONS

OFFICE OF THE SECRETARY (OS)
OS 190—General Allegiations and Investigative Records System (Note: This system identification number is a reassignment.)
UNITED STATES CUSTOMS SERVICE (OS)
CS 284—Personnel Verification System (PVS)
CS 285—Automated Index to Central Enforcement Files

REINSTATEMENTS

OFFICE OF THE SECRETARY (OS)
OS 036—Office of Debt, Home Addresses and Telephone Number File.
OS 037—OIDB Employee and Employee Candidate File.
OS 040—Confidential Statements of Employment and Financial Interests, Personnel Division, Office of the Secretary.
OS 041—Management Analysis Division (MAD) Personnel Working Files.
OS 042—OMD Management Consultants File.
OS 044—Personnel and Payroll Data: Transaction List; Employee Service Records Report; Leave Without Pay Report; Employee Information List; Comprehensive Payroll Listing and Alphabetical Locator List.
OS 048—Scheduled Annual Leave Records.
OS 052—Travel Records.
OS 053—Treasury Emergency Preparedness Information Program.
OS 055—EEO Counselor Complaint Files.
OS 060—Correspondence Files and Records on Employee Complaints and/or Dissatisfaction.
OS 061—Employee Grade Complaint File.
OS 062—Executive Inventory File.
OS 065—Appointment at Above the Minimum Rate of the Grade File.
OS 066—Combined Applicant/Applicant Correspondence File.
OS 067—Detailed Employee Files.
OS 068—Whitten Amendment Exception Files.
OS 070—Office of Computer Science, Work Assignment and Control Form.
OS 081—Centralized Automated System for Reporting Employment Statistics in the Department of the Treasury (REST).
OS 082—EEO Complaint Processing System.
OS 083—Spanish Speaking Informal Application File (S.F. 171).
OS 110—Foreign Assets Control Administrative Records.
OS 123—ORS Contractors File.
OS 128—ORS Personnel Records.
OS 129—ORS Public Affairs Personnel File.
OS 140—Annual Performance Rating and Annual Performance Analysis.
OS 141—Attorney Books.
OS 142—Attorneys Past and Present.
OS 143—Chief Counsel for Revenue Sharing Personnel.
OS 146—Employee Inventions.
OS 147—Employee Promotion Information.
OS 148—Employee Applications.
OS 152—General Counsel Personnel Files.
OS 153—Personnel: General Counsels, Deputy General Counsels, and Assistant Generals Counsels.
OS 157—Employee Records Cards.
OS 182—Special Personnel Working Files, Office of the Assistant Secretary for Tax Policy.
OS 183—Private Relief Tax Bill Files—Office of the Assistant Secretary for Tax Policy.
OS 190—Automated Directory System.
OS 191—Buildings Management Employee Folder.
OS 193—Employee Locator Record.
OS 195—Parking Permit Application
OS 240—Contracts and Research Proposal.

OS 243—Personnel: Personnel; Recruitment, Personnel Evaluations.
OS 244—Personnel Files.
OS 300—Personnel Files.
OS 400—Merit System Complaints.
OS 502—Reference Letters—Special Assignments Branch, Office of the Secretary of the Treasury.
OS 503—Roster of Office of the Secretary Employees.
OS 504—Summer Employees Listing.
OS 505—Upward Mobility Program; Counseling Application.

REVISIONS

OFFICE OF THE SECRETARY (OS)
OS 053—Treasury Emergency Preparedness Information Program
OS 194—Document Delivery Control System
BUREAU OF EMGRAVING AND PRINTING (BEP)
BEP 014—Employees' Production Record
BEP 019—Identification Files
BEP 027—Security Access Control System (SACS)
COMPTROLLER OF THE CURRENCY (CC)
CC 013—Enforcement and Compliance Information System

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OS 011—Office of Domestic Finance, General Correspondence
OS 020—Energy Policy Office Administrative Files
OS 032—OASIA Correspondence Files
OS 036—Office of Debt, Home Addresses and Telephone Number File.
OS 037—OIDB Employee and Employee Candidate File.
OS 040—Confidential Statements of Employment and Financial Interests, Personnel Division, Office of the Secretary.
OS 041—Management Analysis Division (MAD) Personnel Working Files.
OS 042—OMO Management Consultants File.
OS 044—Personnel and Payroll Data: Transaction List; Employee Service Records Report; Leave Without Pay Report; Employee Information List; Comprehensive Payroll Listing and Alphabetical Locator List.
OS 048—Scheduled Annual Leave Records.
OS 052—Travel Records.
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OS 055—EEO Counselor Complaint Files.
OS 060—Correspondence Files and Records on Employee Complaints and/or Dissatisfaction.
OS 061—Employee Grade Complaint File.
OS 062—Executive Inventory File.
OS 065—Appointment at Above the Minimum Rate of the Grade File.
OS 066—Combined Applicant/Applicant Correspondence File.
OS 067—Detailed Employee Files.
OS 068—Whitten Amendment Exception Files.
OS 070—Office of Computer Science, Work Assignment and Control Form.
OS 081—Centralized Automated System for Reporting Employment Statistics in the Department of the Treasury (REST).
OS 082—EEO Complaint Processing System.
OS 083—Spanish Speaking Informal Application File (S.F. 171).
OS 090—EOTA FOI Request/Appeals Files
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OS 110—Foreign Assets Control Administrative Records.
OS 111—FAC 1950 Census of Blocked Chinese Assets
OS 112—FAC 1970 Census of Blocked Chinese Assets
OS 113—FAC 1964 Census of Blocked Cuban Assets
OS 114—Foreign Assets Control Enforcement Records
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OS 116—Foreign Assets Control Litigation Files
OS 117—Foreign Assets Control Mailing List
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OS 244—Personnel Files.
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OS 400—Merit System Complaints.
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OS 503—Roster of Office of the Secretary Employees.
OS 504—Summer Employees Listing.
OS 505—Upward Mobility Program; Counseling Application.

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CS 204—Receipt For Property Assignment
CS 206—Regulatory Audits of Customhouse Brokers
CS 207—Reimbursable Assignment System
CS 208—Restoration of Forfeited Annual Leave Cases
CS 209—Resumes of Professional Artists
CS 210—Revocation of I. D. Privileges and Cash Basis Only for Reimbursable Services List.
CS 211—Sanction List
CS 212—Search/Arrest/Seizure Report
CS 214—Seizure File
CS 215—Seizure Report File
CS 217—Set Off Files
CS 219—Skills Inventory Records
CS 220—Supervisory Notes and SF-7B Files
CS 221—Suspect File
CS 223—Suspect File
CS 224—Suspect Persons Index
CS 226—Television System
CS 227—Temporary Importation Under Bond (TIB) Defaulter Control System
CS 228—Temporary Importation Violation Record
CS 231—Tort Claims Act File
CS 232—Tort Claims Act File
CS 233—Tort Claims Act File
CS 234—Tort Claims Act File
CS 236—Tort Claim Record System
CS 238—Training and Career Individual Development Plans
CS 239—Training Records
CS 240—Travel Advances
CS 241—Travel Advances
CS 242—Travel Advance File
CS 243—Travel Payment System
CS 244—Treasury Enforcement Communications System
CS 245—Treasury Enforcement Communications System-Card File
CS 247—Uniform Allowance
CS 248—Uniform Allowance
CS 249—Uniform Allowance—Unit Record
CS 251—Unscheduled Overtime Report
CS 252—Valuables Shipped Under Gov't Losses in Shipment Act
CS 253—Vehicle Microfiche Files
CS 257—Violators Card File
CS 258—Violator's Case Files
CS 260—Warehouse Proprietor Files
CS 262—Warnings to Importers in Lieu of Penalty
CS 266—Collection File
CS 267—Federal Tort Claims Act File
CS 268—Military Personnel and Civilian Employees' Claims Act File
CS 269—Accounts Payable Voucher File
CS 270—Background-Record File on Non-Customs Employees
CS 273—Employee Debts
CS 274—Importers, Brokers, Carriers, Individuals and Sureties Master File
CS 271—Cargo Security Record System
CS 272—Currency Declaration File (IRS Form 4790)
CS 276—Equal Opportunity Informal Discrimination Complaint Files
CS 277—Drivers License File
CS 278—Early Implementation System (EIS)
CS 284—Personnel Verification System
CS 285—Automated Index to Central Enforcement Files

Bureau of Engraving and Printing

BEP 002—Civilian Employee Claim File
BEP 003—Continuation of Pay Record
BEP 004—Counseling Records
BEP 005—Compensation Claims
BEP 006—Debt Files (Employees)
BEP 008—Emergency Contact Records
BEP 009—Employee Arrest Record
BEP 012—Employee Index File
BEP 013—Employee Locator Record
BEP 014—Employees Production Record
BEP 016—Employee Suggestions
BEP 018—Guard Uniform Control Account
BEP 019—Identification Files
BEP 020—Industrial Truck Licensing Records
BEP 021—Investigative Files
BEP 022—Monthly Equal Employment Opportunity Activity Report
BEP 023—Motor Vehicle Licensing Records
BEP 024—Payroll Records
BEP 025—Payroll Statistical Data
BEP 027—Security Access Control System (SACS)
BEP 029—Petition of Elections
BEP 030—Project REST, Minority Statistics
BEP 031—Reemployment Information Record
BEP 032—Retention Register
BEP 033—Roster of BEP Employees
BEP 034—Time & Attendance Record
BEP 035—Tort Claims Against the US
BEP 036—Union Dues Allotments
BEP 037—Union Index
BEP 038—Unscheduled Absence Record
BEP 040—Freedom of Information Requests
BEP 041—Record of Discrimination Complaints
BEP 042—Informal EEO Complaint Processing Records
BEP 043—Parking Program Records
BEP 044—Personnel Security Files and Indices

Bureau of the Mint

Mint 001—Application & Info Great Plaza Parking
Mint 002—Applicants for Assay Commission
Mint 003—Cash Receivable Accounting Information System
Mint 004—Confidential Statements of Financial Interest
Mint 005—Current Employee Security Identification
Mint 006—Employee & Former Employee Travel & Training Accounting Information System
Mint 007—Employee Former & Visitors Compensation Record
Mint 009—Employee Supervisor Performance, Evaluation, Counseling, and T & A
Mint 010—Examination Reports of Coins Forwarded to Mint from U.S. Secret Service
Mint 011—General Correspondence
Mint 012—Investigatory Files on Theft of Mint Property
Mint 013—Numismatic Coin Operations System
Mint 014—Purchases, Sales, Exchange and Assays of Precious Metal
Mint 015—Redemption of Uncurrent or Mutilated Coins
Mint 016—Grievances Union/Agency Negotiated
Mint 017—EEO Complaint Counseling Records

Bureau of the Public Debt

BPD 001—Personnel and Administrative Records
BPD 002—United States Savings Type Securities
BPD 003—US Securities (Other than Savings Type)

Federal Law Enforcement Training Center

FLETC 001—FLETC Payroll System
FLETC 002—Trainee & Instructor Records
FLETC 003—FLETC Confidential Financial Records
FLETC 004—FLETC Employee Records

Internal Revenue Service

IRS 10.001—Biographical Files, Public Affairs
IRS 10.004—Subject Files, Public Affairs
IRS 21.001—Tax Administration Advisors Resources File
IRS 22.003—Annual Listing of Undelivered Refund Checks
IRS 22.005—Audit Underreporter Case File
IRS 22.011—File Erroneous Refunds
IRS 22.012—Collection Case File
IRS 22.026—Form 1042S Index by Name of Recipient
IRS 22.027—Forms Filed by U.S. Citizens/Residents Relating to Foreign Companies
IRS 22.032—Individual Microfilm Retention Register
IRS 22.034—Individual Returns Files, Adjustments and Miscellaneous Documents File
IRS 22.036—Interest Equalization Tax Forms File
IRS 22.043—Potential Refund Litigation Case Files
IRS 22.044—P.O.W.-M.I.A. Reference File
IRS 22.054—Subsidiary Accounting Files
IRS 22.058—Tentative Carryback Allowance File
IRS 22.059—Unidentified Remittance File
IRS 22.060—Unit Ledger Cards
IRS 22.061—Wage and Information Returns Processing (IRP) File
IRS 24.013—Combined Account Number File
IRS 24.014—Discriminant Function File (DIF)
IRS 24.017—Employee Inquiry Look-Up for TDA Inquiries
IRS 24.029—Individual Account Number File (IANF)
IRS 24.030—Individual Master File (IMF)
IRS 24.046—Residual Master File (RMF)

IRS 24.057—Taxpayer Delinquent Investigation Notice File
IRS 26.001—Acquired Property Record
IRS 26.005—File of Persons Making Threats of Force/Assaults
IRS 26.006—Form 2209 Courtesy Investigations
IRS 26.007—Form 2990—Miscellaneous Investigations
IRS 26.008—IRS and Treasury Employee Delinquency
IRS 26.009—Lien Files (Open and Closed)
IRS 26.010—Prospective Bidders at Sales of Seized Property
IRS 26.011—Litigation Case Files
IRS 26.012—Offer in Compromise (OIC) File
IRS 26.013—One Hundred Percent Penalty Cases
IRS 26.014—Record 21, Seizure and Sale of Real Property
IRS 26.016—Returns Compliance Program (RCP)
IRS 26.019—TDA (Taxpayer Delinquent Accounts)
IRS 26.020—TDI (Taxpayer Delinquency Investigations)
IRS 26.021—Transferee Files
IRS 26.022—Delinquency Prevention Programs.
IRS 30.003—Requests for Printed Tax Materials Including Lists
IRS 30.004—Security Violations
IRS 30.005—Accident Investigation Files System
IRS 32.001—Travel Expense Record
IRS 32.002—Payroll/Personnel System
IRS 32.003—Schedules of Collections and Canceled Checks
IRS 32.004—Scholarship Program Cost Record
IRS 34.003—Employee Accountability for Personal Property and Miscellaneous Items
IRS 34.005—Parking Space Application and Assignment
IRS 34.006—Property Pass for Government Property
IRS 34.007—Record of Gov. Books of Transportation Requests
IRS 34.009—Accident Reporting Files System
IRS 34.010—Damage and Injury Claims Files System
IRS 34.011—Driver Licensing Files System
IRS 34.012—Emergency Preparedness Cadre Assign/Alert Rosters
IRS 34.013—Identification Media Files System for Employees and others issued IRS ID
IRS 34.014—Motor Vehicle Regis. and Entry Pass File
IRS 34.016—Security Clearance Files System
IRS 34.018—Integrated Data Retrieval System (IDRS) Security Files
IRS 36.001—Appeals, Grievances & Complaints Records
IRS 36.002—Employee Activity Records
IRS 36.003—General Personnel Records
IRS 36.005—Medical Records
IRS 36.008—Recruiting, Examining and Placement Records
IRS 36.009—Retirement Life Ins. and Health Benefits Records System
IRS 38.001—General Training Records
IRS 42.001—Examination Administrative File
IRS 42.008—Audit Infor. Management System (AIMS)
IRS 42.012—Tax Shelter Program Files
IRS 42.013—Project Files for the Uniform Application of Laws re: tech. determinations/court decisions
IRS 42.014—IRS Employees Returns Control Files
IRS 42.016—Classification & Examination Selection Files
IRS 42.017—International Enforcement Program Files
IRS 42.018—Married Taxpayers Filing Separately and Multiple Files
IRS 42.020—Highway Use Tax Examination Program
IRS 42.021—Compliance Programs and Projects Files
IRS 42.023—Request & Submittal File for Tech. Advice, Assistance, Determination or Coordination
IRS 42.025—Appraisal and Valuation Files
IRS 42.027—Data on Foreign Corporations
IRS 44.001—Appeals Case Files
IRS 44.002—Appeals Officer Inventory & Unit Time Report
IRS 44.003—Appeals Case Data
IRS 46.002—Case Management and Time Reporting System, Criminal Investigation
IRS 46.003—Confidential Informants
IRS 46.004—Controlled Accts.—Open & Closed
IRS 46.005—Electronic Surveillance File
IRS 46.007—Information Indexing System
IRS 46.008—Treas./IRS Criminal Investigation Div. Infor. & Corresp. Files
IRS 46.009—Information Items
IRS 46.011—Land Trust Files
IRS 46.013—Project Files
IRS 46.015—Relocated Witnesses
IRS 46.016—Secret Service Details
IRS 46.022—Treasury Enforcement Communications Sys: (TECS)
IRS 48.001—Disclosure Records
IRS 48.007—Economic Stabilization Program Files
IRS 48.008—Defunct Special Service Staff File
IRS 49.001—Collateral and Information Requests Sys.
IRS 49.002—Collateral Files, Competent Authority and Index Card Retrieval System
IRS 49.003—Financial Statements File
IRS 49.007—Overseas Compliance Projects System
IRS 49.008—Taxpayer Service Correspondence System
IRS—50.001—Employee Plans/Exempt Organizations Correspondence Control Record
IRS 50.002—Employee Plan/Exempt Organizations, Determination Letter Records
IRS 50.003—Employee Plan/Exempt Organizations Reports of Significant Matters in Technical (M-5945)
IRS 60.001—Assault and Threat Investigation Files.
IRS 60.002—Bribery Investigation Files
IRS 60.003—Conduct Investigation Files
IRS 60.004—Disclosure Investigation Files
IRS 60.005—Enrollee Applicant Investigation Files
IRS 60.006—Enrollee Charge Investigation Files
IRS 60.007—Miscellaneous Infor. File
IRS 60.008—Security, Background, and Character Investigation File
IRS 60.009—Special Inquiry (Complaint) Investigation Files
IRS 60.010—Tort Investigation Files
IRS 70.001—Individual Income Tax Returns, Statistics of Income
IRS 80.001—Artist File, Assistant Commissioner
IRS 80.002—Expert Witness and Fee Appraiser Files
IRS 80.003—Correspondence Control and Records
IRS 80.004—Reference Index Digest Cards
IRS 80.005—Reports of Significant Matters (Form M-5945)
IRS 90.001—Chief Counsel Criminal Tax Case Files
IRS 90.002—Chief Counsel Disclosure Litigation Div. Case Files
IRS 90.003—Chief Counsel General Admin. Systems
IRS 90.004—Chief Counsel General Legal Services Case Files
IRS 90.005—Chief Counsel General Litigation Case Files
IRS 90.006—Chief Counsel Interpretative Division Case Files
IRS 90.007—Chief Counsel Leg. & Regs. Div. Corresp. & Private Bill Files
IRS 90.009—Chief Counsel Tax Litigation Case Files
IRS 90.010—Digest Room Files Containing Briefs & Digests of Docs.
IRS 90.011—Employee Recruiting Files, Admin. Ser. Div.
IRS 90.012—Internal Control Records for Chief Counsel Legal Files
IRS 90.013—Legal Case Files of the Chief Counsel, Deputy Chief Counsel/Staffs
IRS 90.014—Management Files other than OPM, OPF
IRS 90.015—Reference Records of the Library, Chief Counsel
IRS 90.016—Reports and Infor. Retrieval Activity, Chief Counsel
IRS 00.002—Corresp. Files and Corresp. Control Files (including inquiries about Enforcement Activities)

Secret Service

USSS 001—Administrative Operations Information System
USSS 002—Treasury/USSS Criminal Investigation Information System
USSS 003—Treas/USSS Financial Mgt Information System
USSS 004—Freedom of Information Request System
USSS 005—Treasury USSS Legal Counsel Record System
USSS 006—Non-Criminal Investigation Information System
USSS 007—Protection Information System
USSS 008—Public Affairs Record System
USSS 009—Training Information System

Comptroller of the Currency

CC 004—Consumer Complaint Letter File
CC 010—FBI Report Card Index
CC 012—Freedom of Information Log
CC 013—Enforcement and Compliance Information System
CC 014—Investigation Files/Employee Reference File
CC 221—Professional Qualification Records for Municipal Securities Principles and Municipal Securities Representatives
CC 300—Administrative Personnel System
CC 310—Fiscal Personnel System
CC 320—General Personnel System

U.S. Savings Bond Division

USSBD 001—Savings Bonds Employee Records System
USSBD 002—SB Sales Promotion/Volunteer Record System
USSBD 003—Savings Bond Sales Record System

Systems Covered By This Notice

This notice covers all systems of records adopted up to the date of this publication

Treasury/OS 002

System name: Treasury Payroll/Personnel Information System—Treasury/OS.

System location: Main Treasury Building, Washington, DC 20220; Internal Revenue Service Data Center, Room 1100, Detroit, Michigan 48232; and U.S. Old Mint Data Center Division, 88 5th Street, San Francisco, California 94103.

Categories of individuals covered by the system: Personnel and payroll data on all Treasury Department employees.

Categories of records in the system: Year-to-date and historical data on all Treasury Department employees.

Authority for maintenance of the system: The Civil Service Personnel Manual, 50 U.S.C. App 1705-1707, 31 U.S.C. and departmental circulars 145 and 830. The Department of the Treasury, Fiscal Requirements Manual, 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The Treasury Payroll/Personnel Information System is used to furnish payroll and personnel data, information and statistics to the Civil Service Commission and other requesting agencies. It is also used to generate the necessary payroll information, deductions and allotments to pay the employees on a biweekly basis and to furnish the necessary payroll information to concerned agencies required by law. The basic payroll and personnel master file provides input to management information systems, as well as related accounting and budget systems.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders, magnetic tape and reports. Disbursement records are stored at the Federal Records Center.

Retrievability: Records are retrieved primarily by name (filed alphabetically), secondary identifier are used to assure accuracy (date of birth, social security number, or employee identification number).

Retention and disposal: The Treasury Payroll/Personnel Information System master file is kept on disc and mag tape. Information concerning the employee is retrievable through the use of the individual's name and/or social security number. Information is reduced to hard copy in the form of reports and payroll information and documentation. Information is retained in automated form as long as the employee is active on the System. Reports are distributed to users and the master file is purged of inactive records on a yearly basis.

System manager(s) and address: Assistant Secretary (Administration), Room 3424, Main Treasury Building, Washington, DC 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system or gain access to records maintained in this system must submit a request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought, and (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: Departmental Disclosure Officer, Room 1314, 1500 Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: The information contained in these records were provided by or verified by the subject of the record, employers and co-workers.

Treasury/OS 004

System name: Personnel Security System.

System location: Main Treasury Building, Washington, DC 20220.

Categories of individuals covered by the system: Government employees (current or former) or applicants occupying or applying for sensitive positions in the Department of the Treasury.

Categories of records in the system: Full field or limited background investigations and FBI name checks; investigative information related to personnel investigations conducted by the Department of the Treasury and other Federal agencies and departments for the purpose of granting security clearances and making suitability determinations; TD Form 4027 (Notice of Security Clearance) reflecting identification data on incumbent and record type of E.O. 10450 investigation and degree and date of clearance.

Authority for maintenance of the system: Executive Order 10450, sections 2 and 3.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Such information is made available to the appropriate employing officials who have a need to know, in order to make final determinations on hiring, continued employment or access to classified material. Files are also made available to official investigative or security personnel from other U.S. Government agencies, upon request, when the employee or former employee is being considered for employment by another agency for a sensitive position.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders and index cards.

Retrievability: Records are retrieved by name.

Safeguards: Records are stored in locked metal containers, and in locked rooms. Records are limited to officials who have a need to know in the performance of their duties and who have been cleared by a full-field background investigation and have been granted appropriate security clearances.

Retention and disposal: Active files are retained during encumbent employee tenure and subject to update investigation. Files of employees who affect intra-agency transfers (to Treasury Bureaus) are automatically transferred to those Bureaus. If an employee transfers to another government agency or leaves the government, the files are retained, separate from the active files, for five years, except for background investigations completed by the CSC which are returned to that agency or returned to the Federal Records Center one year after the employee leaves Treasury. Cards are maintained indefinitely after being retired.

System manager(s) and address: Assistant Secretary (Administration), Room 3424, Main Treasury Building, Washington, DC 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system or gain access to records maintained in this system must submit a written, signed request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; and (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: Departmental Disclosure Officer, Room 1314, 1500 Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: The information contained in these records were provided by or verified by the subject of the record, employers and co-workers, neighbors, acquaintances, educational records and police and credit checks.

Systems exempted from certain provisions of the act: Exempt under 5 U.S.C. 552a Subsection (K) and 31 CFR 1.36.

Treasury/OS 00.010

System name: Office of Domestic Finance, Actuarial Valuation System—Treasury/OS.

System location: Office of the Secretary of the Treasury, Office of Government Financing, Office of the Government Actuary, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Participants and beneficiaries of the following pension plans: District of Columbia: Teachers, Policemen, Firemen, Judges. U.S. Tax Court Judges, U.S. Foreign Service officers and staff personnel, U.S.I.A. officers and staff personnel, and Agency for International Development officers and staff personnel.

Categories of records in the system: Information in the system is as follows: Active Records: name; social security number; salary; category-grade; year of entry into system; service computation date; year of birth; year of resignation or year of death, & refund if any; indication of LWOP status (if any); Retired Records: same as actives; annuity; year of separation; cause of separation (optional, disability, deferred, etc.); years and months of service by type of service; single or married; spouse's year of birth; principal's year of death; number and ages of children on annuity roll; year of termination of survivors.

Authority for maintenance of the system: Statutes require the Secretary of the Treasury to certify the valuation of pension funds as follows: D.C. Teachers, 60 Stat. 881; D.C. Judges, 78 Stat. 1061; U.S. Tax Court Judges 26 U.S.C. 7448; U.S. Foreign Service officers and staff, USIA officers and staff, AID officers and staff 22 USC 1101. In addition, the House District Committee formally requested certification of the pension funds for D.C. police and firemen.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The Government Actuary performs mathematical computations to assess expected income and outlays of pension funds listed in the "Category of Individual" section. For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Data are on punched cards and

computer print-outs. Individuals are listed alphabetically. Records are stored in the Actuary's office in the locked and guarded Treasury Building. The records are retained on a year-to-year basis. When agencies whose pension funds are valued forward new records for valuation, older records are discarded.

System manager(s) and address: The Government Actuary, Office of the Secretary of the Treasury, 15th and Pennsylvania Ave., N.W., Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: Data for actuarial valuation are provided by organizations responsible for pension funds listed under "Category of Individual," namely the District of Columbia Government, the U.S. Tax court, the U.S. Foreign Service and the U.S. Information Agency.

Treasury/OS 00.011

System name: Office of Domestic Finance, General Correspondence—Treasury/OS.

System location: Records are stored in the Administrative Office, Domestic Finance Main Treasury, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220. A card file with each writer's name, address and subject of inquiry is maintained as a quick reference in the Administrative Office, Office of Domestic Finance.

Categories of individuals covered by the system: General public, other executive agencies and the Congress.

Categories of records in the system: Control logs on letters from the general public, other executive agencies and the Congress regarding the national debt, the economy and monetary and fiscal policy, debt, management, market analysis and agency finance, capital markets policy, State and local finance, Government financing and revenue sharing.

Authority for maintenance of the system: 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Previous correspondence with the same writer is reviewed to enable us to provide complete, nonrepetitive replies to current inquiries. For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: A log of incoming letters is kept alphabetically by the individual's name and by profile numbers. The alphabetical control logs are stored for a short period of time, depending on whether they are policy letters or from private individuals, and are classified as general correspondence in which case they are discarded.

System manager(s) and address: Assistant Secretary for Domestic Finance, Main Treasury, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: Letters are from the general public, other executive agencies and the Congress. They come directly to the Treasury or are referred to the Treasury by the White House, Congressional offices, or other executive agencies.

Treasury/OS 00.020

System name: Energy Policy Office Administrative File—Treasury/OS

System location: Room 4464, Treasury Building, 15th & Pennsylvania Avenue, Washington, D.C. 20220.

Categories of individuals covered by the system: Employee (presently in a position in this office). Applicant (one who will be hired).

Categories of records in the system: Employee: regular personnel papers, which are duplicates and this file is not complete. Applicant: S.F. 171.

Authority for maintenance of the system: Federal Personnel Manual 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Administrative Personnel File: for general reference. Applicant: used in interviews. For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File Cabinet.

Retrievability: Located in Room 4464.

Safeguards: Filed by name. Usual Security.

Retention and disposal: Disposed when no longer needed for reference.

System manager(s) and address: Deputy Assistant Secretary for Investment and Energy Policy, Department of the Treasury, Room 3025, MT, Washington, D.C. 20220.

Notification procedure: Same as above.

Record access procedures: Written request to: Director, Regulatory and Legislative Policy, Room 4460, MT, Washington, D.C. 20220.

Contesting record procedures: See Access above.

Record source categories: Administrative Personnel Folder: routine personnel actions. Applicant: SF 171.

Treasury/OS 00.032

System name: OASIA Correspondence Files—Treasury/OS.

System location: (1) Department of Treasury, 15th & Penn. Avenue, N.W., Washington, D.C. 20220. (2) Washington National Records Center, 4205 Suitland Road, Washington, D.C. 20409.

Categories of individuals covered by the system: (a) U.S. Foreign Service Officials (b) Officials of Municipalities and State Governments (c) Members of Congress and (d) the General Public.

Categories of records in the system: Incoming request for information concerning international financial, economic, and monetary matters, and reply to same by individuals in the Office of the Assistant Secretary for International Affairs, Department of the Treasury.

Authority for maintenance of the system: 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Routine Use. Routine correspondence file is maintained to provide record of response to initial inquiry in the event of future correspondence. (b) Category of Users. Officials of the Department to respond to queries. (c) Purpose. Respond to inquiries. (d) For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Carbon (file) copy attached to incoming letter maintained in File Folders. Identification of individuals maintained on alphabetical 3 x 5 card.

Retrievability: Retrieval controlled by card systems. Card, filed by name, shows folder identification in which correspondence is held.

Safeguards: Maintained in electronically secured area and vaults. Disclosure limited to official personnel of the Department.

Retention and disposal: Correspondence containing no substantive information of permanent value is culled for destruction 3 years after the close of the calendar year in which the records were created. Destruction accomplished by burning. Substantive correspondence retained indefinitely.

System manager(s) and address: Chief, Records Management Branch, Office of Administrative Programs, Department of the Treasury, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: See categories of individuals and related responses from Treasury officials.

Treasury/OS 00.036

System name: Office of Debt, Home addresses and telephone number File—Treasury/OS.

System location: Department of Treasury, 15th & Penn. Avenue, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Employees in Office of Debt, OASIA, U.S. Treasury.

Categories of records in the system: Telephone numbers and home addresses.

Authority for maintenance of the system: 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Routine Use. To provide record of home telephone numbers and addresses; (b) Category of Users. Professionals of OASIA; (c) Purpose. To contact after normal duty hours for urgent situations. (d) For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: 3x5 card.

Retrievability: Indexed by name.

Safeguards: None required.

Retention and disposal: Disposed when employee terminates employment in office.

System manager(s) and address: Secretary to the Director, Office of Debt, OASIA, U.S. Treasury.

Notification procedure: Director, Office of Debt, OASIA, Department of the Treasury, Washington, D.C. 20220.

Record access procedures: Same address as above.

Contesting record procedures: See above.

Record source categories: Employees in Office of Debt.

Treasury/OS 00.037

System name: OIDB Employee and Employee Candidate Files—Treasury/OS.

System location: OIDB, 5th Floor, Department of Treasury, 15th & Penna. Avenue, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: OIDB staff members and the candidates for OIDB, including prospective candidates for ED or Alt. ED positions at the IFIs.

Categories of records in the system: Bio data and curriculum vitae.

Authority for maintenance of the system: 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: For use by OIDB Director in making recommendations for promotions and other job classification changes and in interviewing job candidates. For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Manila folders in file cabinet.

Retrievability: By name.

Safeguards: Maintained in locked file cabinet. Disclosure limited to official personnel on need to know basis.

Retention and disposal: Records on OIDB employees kept while employee is in office. Job candidates for one year.

System manager(s) and address: Director, OIDB, OASIA, Department of the Treasury, Washington, D.C. 20220.

Notification procedure: (a) Address, OASIA Personnel Office, Room 2050 Main Treasury, 15th & Penna. Ave., N.W., Washington, D.C. 20220. (b) Identifying Information. Full name and address, under which you had contact with OASIA. Specify dates as nearly as possible.

Record access procedures: See Notification above.

Contesting record procedures: See Notification above.

Record source categories: Supplied by the persons themselves.

Treasury/OS 00.040

System name: Confidential Statements of Employment and Financial Interests, Personnel Division, Office of the Secretary—Treasury/OS.

System location: Room 1306, Main Treasury Building, Personnel Division, Security Branch, Office of the Secretary, Department of the Treasury.

Categories of individuals covered by the system: Statements are required from all Treasury employees under the categories listed under Section 0.735-70, Department of the Treasury Minimum Standards of Conduct as reprinted from the Federal Registers, December 16, 1970, and amendments dated April 9, 1971, and January 8, 1973.

Categories of records in the system: Forms TD 3086 and 3087 (Confidential Statement of Employment (1)) and Form TD 3086 (Statement of Employment and Financial Interests)—For Special Government Employees) and Form TD 3087 (Confidential Statement of Employment and Financial Interests) prepared by the incumbent or potential incumbent as prescribed.

Authority for maintenance of the system: In conformance with Sections 201 through 209 of Title 18 of the United States Code, Executive Order No. 11222 of May 8, 1965, OS:PD and Title 5, Chapter I, Part 735, of the Code of Federal Regulations as published in the Department of the Treasury Minimum Standards of Conduct reprinted from the Federal Registers dated December 16, 1970, and amendments dated April 9, 1971, and January 8, 1973.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Statements are prepared by employees or applicants and are submitted through the Personnel Office to the agency reviewing officer. If there are no indications that a conflict of interest condition exists, the reviewing official signs and dates the form and returns it to the Personnel Office for retention. For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: To meet the requirements of confidentiality set forth in Subchapter 4-10, Part 735, Federal Personnel Manual, each Statement of Employment and Financial Interests is filed alphabetically in three-ring binders which in turn are filed in metal containers designed for the storage of classified information and secured by government-approved three-position, combination locks.

Retrievability: Since these statements are filed alphabetically in three-ring binders, they are retrieved accordingly.

Safeguards: In addition to being stored in a secure, metal container with government-approved, combination locks, the metal container is situated in a room which, during normal work hours, is under the supervision of authorized employees, and during nonwork hours is locked. The files are in the custody of security specialists for secure storage and access is made only to personnel and administrative officials in the performance of their official functions or to the incumbents who executed the statements.

Retention and disposal: Statements of active employees are retained during incumbent employment tenure and subject to annual update as required by law. Records of personnel who depart are retired and maintained for two years, then destroyed as required by Item 26, Appendix B, General Records Schedule, Title 3.

System manager(s) and address: Personnel Officer, Personnel Division, Office of the Deputy Assistant Secretary (Administration), Office of the Secretary, Department of the Treasury, Room 1330, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Notification procedure: Chief, Security Branch, Personnel Division, Office of the Deputy Assistant Secretary (Administration), Office of the Secretary, Department of the Treasury, Room 1306, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Record access procedures: Chief, Security Branch, Personnel Division, Office of the Deputy Assistant Secretary (Administration), Office of the Secretary, Department of the Treasury, Room 1306, 15th and Pennsylvania Avenue. N.W., Washington, D.C. 20220. Access on request of contributor.

Record access procedures: See Access above.

Record source categories: The information contained in these records is provided by the employee or applicant pursuant to the authority reflected above.

Treasury/OS 0.041

System name: Management Analysis Division (MAD) Personnel Working Files—TreasuryOMO.

System location: Office of Management and Organization, Management Analysis Division, Room 4418 MT, Washington, D.C. 20220.

Categories of individuals covered by the system: All prospective and current employees in MAD.

Categories of records in the system: Standard Forms: 52 (Personnel Action), 171 (Employment Application), 1012 (Travel Voucher), 1038 (Travel Advance). Office of the Secretary Forms: 3015 (Travel Authorization), 3016 (Training Authorization). Personnal Data Summary Sheet, Summary of Employee Training, Letters of Appreciation, Position Descriptions.

Authority for maintenance of the system: 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Records used by the Chief, MAD, for planning and managing staff development; by his secretary; and by individual employee, as reference files to obtain information stated under CATEGORY OF RECORD. For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders.

Retrievability: Alphabetical order by employee's last name.

Safeguards: All material is unclassified, kept in a secured file cabinet.

Retention and disposal: Records are maintained as long as person is employed in MAD. Upon resignation, records are given to employee or discarded. Prospective employee files are held for twelve months then discarded.

System manager(s) and address: Chief, Management Analysis Division, Department of the Treasury, Room 4416 MT, Washington, D.C. 20220.

Notification procedure: Same as System Manager above.

Record access procedures: Employees have free access to their individual files. Inquiries should be written addressed to the System Manager.

Contesting record procedures: See System Manager above.

Record source categories: Copies of official forms; data prepared by each individual employee; and material from any official who deems it appropriate to submit a letter of appreciation for services rendered by employee.

Treasury/OS 00.042

System name: OMO Management Consultants File—TreasuryOS.

System location: Management Analysis Division, Room 4418 MT, Washington, D.C. 20220.

Categories of individuals covered by the system: Individual Consultant's or Management Consultant firms' brochures identifying individual firm officials.

Categories of records in the system: Published brochures of Management Consultant firms and resumes prepared by individual Consultants.

Authority for maintenance of the system: 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: When the Department is considering letting a contract for a Management Consultant, the file is used as a reference for submission of Request for Proposal. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folder.

Retrievability: Alphabetical order by Management Consultant firm or individual Consultant name.

Safeguards: All material is unclassified. Kept in a file cabinet.

Retention and disposal: Retained indefinitely.

System manager(s) and address: Chief, Management Analysis Division, Department of the Treasury, Room 4418 MT, Washington, D.C. 20220.

Notification procedure: Same as System Manager above.

Record access procedures: By request to System Manager.

Contesting record procedures: Same as Access above.

Record source categories: Information is submitted unsolicited by the individual Consultants or Management Consultant firms.

Treasury/OS 00.044

System name: Personnel and Payroll Data: Transaction Lists; Employee Service Record Report; Leave Without Pay Report; Employee Information List; Comprehensive Payroll Listing; and Alphabetical Locator List.—TreasuryOS.

System location: Room 1330, Main Treasury Building, Washington, D.C. 20220.

Categories of individuals covered by the system: Office of the Secretary employees.

Categories of records in the system: The types of information in the system are names, position titles, grades and salary.

Authority for maintenance of the system: IRS Computer system generates reports. 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Reports are used to answer inquires made by employees and to verify whether information submitted by this office has been updated by the IRS Data Center. For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Locked room. File cabinets.

Retrievability: By name and social security number.

Safeguards: Locked cabinets.

Retention and disposal: Most reports are retained for at least 1 year after which they are destroyed.

System manager(s) and address: Personnel Officer, Room 1330, Main Treasury Building, Washington, D.C. 20220.

Notification procedure: Room 1330, Main Treasury Building. Employee should give name, office title and social security number.

Record access procedures: See Notification above.

Contesting record procedures: See Notification above.

Record source categories: SF-50 and Time and Attendance cards.

Treasury/OS 00.048

System name: Schedule Annual Leave Records—TreasuryOS.

System location: Treasury Department, Office of the Secretary, Financial Management Division, Room 634, 1900 Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: All employees whose salary is paid from funds appropriated for the Office of the Secretary, the Exchange Stabilization Fund, the Working Capital Fund, and the Office of Revenue Sharing.

Categories of records in the system: Dates of scheduled annual leave for the calendar year TR-Form 4067 and Request for Restoration of Annual Leave TR-Form 4068.

Authority for maintenance of the system: Public Law 93-181.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The records are used as a basis for determinations on granting requests for restoration or forfeited annual leave. For additional routine use, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Original documents in a file cabinet.

Retrievability: By name of individual.

Safeguards: Non-sensitive material; handled routinely in file cabinet.

Retention and disposal: Maintained until file updated.

System manager(s) and address: Chief Financial Management Division, Room 634, 1900 Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Notification procedure: Chief, Financial Management Division, Room 634, 1900 Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Record access procedures: Chief, Financial Management Division, Room 634, 1900 Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Contesting record procedures: See Access above.

Record source categories: The individual scheduled for annual leave.

Treasury/OS 00.052

System name: Travel Records—Treasury/OS.

System location: Tres. Dept. Office of the Secretary, Financial Management Division, Room 634, 1900 Pennsylvania Ave., NW, Washington, D.C. 20220.

Categories of individuals covered by the system: All individuals authorized to perform travel for the Office of the Secretary.

Categories of records in the system: Form OS-3015, Authorization to Perform Travel, with the SF 1012, Travel Voucher, which details travel performed and expenses incurred with necessary receipts to

support the claim for reimbursement to the traveler. SF 1038. Request for Advance of Funds.

Authority for maintenance of the system: 5 USC 5701-5709.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The supporting documentation for entering a charge against, and disbursing funds from, the appropriation for salaries and expenses, Office of the Secretary of the Treasury and for the Exchange Stabilization Fund. For additional uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Original document forms in file cabinet.

Retrievability: Filed by name on a fiscal year basis.

Safeguards: Non-sensitive material handled routinely in regular file cabinet.

Retention and disposal: Presently retained for ten years then burned or shredded.

System manager(s) and address: Chief, Financial Management Division, Room 634, 1900 Pennsylvania Ave., Washington, D.C. 20220.

Notification procedure: Chief, Financial Management Division, Room 634, 1900 Pennsylvania Ave., Washington, D.C. 20220.

Record access procedures: Chief, Financial Management Division, Room 634, 1900 Pennsylvania Ave., Washington, D.C. 20220.

Contesting record procedures: See access above.

Record source categories: Facts and figures are presented by the individual related to authorized travel.

Treasury/OS 00.053

System name: Treasury Emergency Preparedness Information Program—Treasury/OS.

System location: Preparedness and Physical Security Division (PPSD), Room 732 Washington Building, 1435 G Street, NW., c/o Main Treasury, Washington, D.C. 20220.

Categories of individuals covered by the system: (1) Treasury Emergency Executive Team Members (Teams Alpha, Bravo and Charlie), (2) Key Treasury Personnel.

Categories of records in the system: (1) Emergency Executive Team Member Lists (by Office of the Secretary and by Treasury Bureau listing); Name, Position Title, Office/Agency. (2) Key Treasury Personnel Alert Notification Lists; Name, Telephone Numbers (Office and Home), Office/Agency. (3) Information by individual application for Permanent Badge for FPA Special Facility; Name, Date of Birth, Physical Identification.

Authority for maintenance of the system: Treasury Emergency Planning Circular (EPC) No. 2 (Rev.) March 14, 1973; Office of Emergency Preparedness (OEP) Circular 9100.2, April 12, 1972; Executive Order 11490; Request for Permanent Badge for FPA Special Facility, General Services Administration (GSA) Form 9033 (Rev.) August 1975. Federal Preparedness Agency (WVOO) Order 604.1 dated August 15, 1975.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) Emergency Executive Team Lists: Assigns key Treasury personnel (who are essential to the performance of essential emergency functions) to Teams Alpha, Bravo and Charlie. See EPC No. 2. Forms the basis for issuance of FPA Special Facility Badges. Forms the basis for the personnel on the Alert Notification Lists who are to be notified during an emergency situation and when Civil Readiness Levels are established or changed. See Department of the Treasury Directives Manual, Chapter 10-05. (2) Key Treasury Personnel Alert Notification Lists: Used by Treasury Telecommunications Center personnel and Emergency Planning officials in alerting key Treasury personnel and Emergency Executive Team Members during an emergency and the setting of Civil Readiness Levels. (3) FPA Special Facility Badge: Permits admission to Federal Preparedness Agency (FPA/GSA Special Facility, which is the emergency operating facility to which Team Bravo members are assigned. (4) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: (1) Magnetic tapes, punch cards and reports. Emergency Executive Team Lists and Alert Notification Lists: Master copies maintained in P&PSD office. Copies are prepositioned within the Office of the Secretary, Telecommunications Center, Treasury Emergency Operating Facilities (TARS and FPA/GSA/SF). (2) Request for permanent badge for FPA Special Facility: original filed within Federal Preparedness Agency (FPA/GSA). Copy filed in P&PSD office.

Retrievability: All mentioned records are indexed by name.

Safeguards: Treasury Master Records are stored in lockable cabinets or secure rooms; access is limited to officials who have a need for the information; employees are trained to make only authorized disclosures.

Retention and disposal: (1) Emergency Executive Team Member List, and (2) Key Treasury Personnel Alert Notification Lists are updated upon resignation, retirement or transfer of assigned employee. Obsolete, lists are destroyed by shredding or erasure. (3) Badges are retrieved and returned to FPA for accountability and destruction when employee no longer has official need for pass.

System manager(s) and address: Director, Preparedness and Physical Security Division, Room 732 Washington Building, 1435 G Street, NW., c/o Main Treasury, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system or gain access to records maintained in this system must submit a request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought, and (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1322, 1500 Pennsylvania Avenue, NW., Washington, D.C. 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: The information in these records was provided by or verified by the subject of the record, employers, coworkers.

Treasury/OS 00.055

System name: EEO Counselor Complaint Files—Treasury/OS.

System location: U.S. Treasury Department, Office of the Secretary, 1500 Pennsylvania Avenue, N.W., Room 4313, 2438.

Categories of individuals covered by the system: Individuals alleging discrimination related to employment with the Office of the Secretary and who have been assigned to a counselor for informal counseling.

Categories of records in the system: The files contain the names of the complainants and information relative to their complaints. This may include: efforts made to settle the complaint; the settlement agreement; notification of extension of the counseling period; notification of final counseling session; and the counselor's report, if required.

Authority for maintenance of the system: Treasury Personnel Manual Chapter 713.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by the counselors to maintain a record of cases and to prepare the counselor's report.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File cabinet.

Retrievability: Indexed by name.

Safeguards: Files are under supervision of each counselor and not released to unauthorized personnel.

Retention and disposal: Indefinite.

System manager(s) and address: Equal Employment Opportunity Officer, Office of the Secretary, U.S. Treasury, Main Treasury, Room 4406, Washington, D.C. 20220.

Notification procedure: Same as above. Include name and approximate date of counseling.

Record access procedures: Same as above.

Contesting record procedures: Same as above.

Record source categories: Information is developed from counseling sessions with persons alleging discrimination.

Treasury/OS 00.060

System name: Correspondence files and records on employee complaints and/or dissatisfaction—Treasury/OS.

System location: Office of Personnel, Room 2425, Main Treasury Bldg., Washington, D.C. 20220.

Categories of individuals covered by the system: Former or current Department employees.

Categories of records in the system: Correspondence dealing with employee complaints.

Authority for maintenance of the system: Departmental discretion on maintenance of correspondence files. 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Reference purposes: evaluation of personnel management; responding to inquiries from Congressmen, the White House, and other government officials. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders, file cabinets.

Retrievability: By employee name.

Safeguards: Filed in filing cabinet and released only to individuals with a legitimate right to review.

Retention and disposal: Records are maintained and disposed of in accordance with Treasury Department's Records Retention and Disposal Schedule for Administrative correspondence.

System manager(s) and address: The Director of Personnel, Department of the Treasury, Room 2426, Main Treasury Building, 15th & Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Notification procedure: Assistant Director of Personnel, Employee Relations and Services, Department of the Treasury, Room 2425, Main Treasury Building, Washington, D.C. 20220.

Record access procedures: The Director of Personnel, Department of the Treasury, Room 2426, Main Treasury Building, 15th & Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Contesting record procedures: See access above.

Record source categories: Current and former employees, and/or representatives, employees' relatives, Congressmen, the White House, management officials.

Treasury/OS 00.061

System name: Employee Grade Complaint file—Treasury/OS.

System location: Office of Personnel, 15th & Pennsylvania Avenue, N.W., Washington, D.C., Room 2426.

Categories of individuals covered by the system: Employees.

Categories of records in the system: Category IV (memorandums and letters about individuals involved in any kind of case action).

Authority for maintenance of the system: Title V grants agencies authority to adjudicate classification and pay appeals. 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used in comparing similar case appeals in connection with the job; not specifically in reference to the employee. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File cabinets.

Retrievability: By bureau and alphabetically by name of individuals.

Safeguards: File in file cabinets and released only to individuals with a legitimate right to review.

Retention and disposal: Policy is to destroy after 3 years of completion of appeal cases.

System manager(s) and address: Deputy Director of Personnel, Department of the Treasury, 15th & Pennsylvania Avenue, Washington, D.C. 20220.

Notification procedure: Deputy Director of Personnel, Department of the Treasury, 15th & Pennsylvania Avenue, Washington, D.C. 20220.

Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Memos to and from appellant, including bureau, Treasury and Civil Service Commission memorandums and letters on the subject.

Treasury/OS 00.062

System name: Executive Inventory Files—Treasury/OS.

System location: Room 2412, Main Treasury Building, Washington, D.C. 20220.

Categories of individuals covered by the system: All GS and GG-15, 16, 17, 18 employees of the Department of the Treasury.

Categories of records in the system: A brief description of identifying biographical data, work experience, education, professional activities, honors, special qualifications and references.

Authority for maintenance of the system: Executive Order 11315 which authorized the Executive Assignment System and subsequent Civil Service Commission procedures and regulations which established the Executive Inventory Files.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The information is used in making personnel decisions and in processing personnel actions on executives in the Department of the Treasury. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are stored in file cabinets in secured building.

Retrievability: The records are kept by organizational units and position grade level in alphabetical order.

Safeguards: Physical security is provided by storage in file cabinets with personnel screening accomplished by limiting access to authorized Treasury personnel and management officials on a "need to know" basis.

Retention and disposal: The records are kept as long as the employee is assigned to a GS or GG-15, 16, 17, or 18 position, except for employees who retire. The records on retirees are kept for approximately one year from their date of retirement. The records are burned when we dispose of them.

System manager(s) and address: Assistant Director of Personnel (Executive Manpower and Employment), Room 2412, Main Treasury Building, Washington, D.C. 20220.

Notification procedure: Assistant Director of Personnel (Executive Manpower and Employment), Room 2412, Main Treasury Building, Washington, D.C. 20220. The individual needs to provide his name, present organizational assignment, position title and grade.

Record access procedures: Assistant Director of Personnel (Executive Manpower and Employment), Room 2412, Main Treasury Building, Washington, D.C. 20220.

Contesting record procedures: See access above.

Record source categories: The SF-161 and SF-161-A are provided by the employees or their servicing personnel offices.

Treasury/OS 00.065

System name: Appointment at Above the Minimum Rate of the Grade Files—Treasury/OS.

System location: Room 2412, Main Treasury Building, Washington, D.C. 20220.

Categories of individuals covered by the system: Persons proposed for employment by the Department of the Treasury at a salary rate above the minimum rate of the grade and for which approval is sought by Treasury from the U.S. Civil Service Commission.

Categories of records in the system: A collection of documents required for requesting approval by the U.S. Civil Service Commission of appointments at a salary rate above the minimum rate of the grade, including: Request for Approval of Non-Competitive Action (SF-59); Personal Qualifications Statement (SF-171); Position Description (OF-8); and in some instances, Request for Personnel Action (SF-52); Certification Form (SF-39); letters of justification; and general correspondence requesting approval of the action.

Authority for maintenance of the system: The authority which authorizes the solicitation of the information by the agency is Title 5 U.S. Code and the various rules and regulations of the U.S. Civil Service Commission pursuant thereto, as well as the implementing personnel policies derived therefrom by the Department of the Treasury.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The information is used to satisfy the minimum data requirements needed for consideration and approval of appointments at above the minimum rate of the grade by the U.S. Civil Service Commission. For additional routine uses see Treasury Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are stored in file cabinets in secured building.

Retrievability: System is indexed by name in a log and filed in chronological order as requests for approval are acted upon by the U.S. Civil Service Commission.

Safeguards: Physical security is provided by storage in file cabinets with personnel screening accomplished by limiting access to authorized Treasury personnel and management officials on a "need to know" basis.

Retention and disposal: Records are retained for an indefinite period of time and are destroyed by standard destruction methods including burning of Personal Qualifications Statements and related confidential information.

System manager(s) and address: Assistant Director of Personnel (Executive Manpower and Employment), Room 2412, Main Treasury Building, Washington, D.C. 20220.

Notification procedure: Assistant Director of Personnel (Executive Manpower and Employment), Room 2412, Main Treasury Building, Washington, D.C. 20220. The individual is required to provide his name and the Treasury organizational assignment, position title, grade/salary, and date of most recent appointment above the minimum rate of the grade requested by the Department of the Treasury.

Record access procedures: Assistant Director of Personnel (Executive Manpower and Employment), Room 2412, Main Treasury Building, Washington, D.C. 20220.

Systems exempted from certain provisions of the act: See Access above.

Purpose(s): The documents which constitute this system, and which are listed under Category of Record, came from the individual applicant, his servicing personnel office, the U.S. Civil Service Commission, and other concerned management officials within the Department of the Treasury.

Treasury/OS 00.066

System name: Combined Applicant/Applicant Correspondence File—Treasury/OS.

System location: Room 2412, Main Treasury Building, Washington, D.C. 20220.

Categories of individuals covered by the system: Persons who have sent applications, or have asked questions about employment in the Department of the Treasury, or whose applications or questions have been referred to the Department of the Treasury by others for reply.

Categories of records in the system: Standard Applications for Federal Employment (SF-171).

Resumes providing a reflection of such personal or job related experience as the writer elected to furnish.

Correspondence from applicants and responses thereto, ranging from general inquiries to specific complaints about alleged practices, treatment, or requirements.

Authority for maintenance of the system: Most material is not solicited, though on occasion applications are sought for specific positions.

The material, generally, is related to Treasury's personnel operations carried out under various provisions of Title 5, U.S. Code.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Employment inquiries received from applicants are routed to specific bureau personnel offices (if requested) or to all bureau personnel offices (if not specified). Should bureaus have vacancies for which outside applications are being accepted, they will be included for referral. Applicants are advised that we have referred their applications. Occasionally, applications are solicited from the general public for hard-to-fill jobs. When solicited, applicants are advised to apply directly to the bureau having the vacancy if they desire consideration. For additional routine uses see Treasury Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are stored in file cabinets in secured building.

Retrievability: Records are maintained in a manual filing system, indexed alphabetically and retrievable by name of applicant. In addition, a written log is maintained on all applications circulated to bureau personnel offices. The log gives name of applicant, date received, and date circulated.

Safeguards: Physical security is provided by storage in file cabinets. Only authorized personnel officials have access to the files maintained in Room 2412. These files are not available to the general public or to persons outside the personnel office who have no "need to know."

Retention and disposal: Files are maintained for a period of two years at the end of which time they are burned.

System manager(s) and address: Assistant Director of Personnel (Executive Manpower and Employment), Room 2412, Main Treasury Building, Washington, D.C. 20220.

Notification procedure: Assistant Director of Personnel (Executive Manpower and Employment), Room 2412, Main Treasury Building, Washington, D.C. 20220. Since the system is filed alphabetically, only the applicant's name is required.

Record access procedures: Assistant Director of Personnel (Executive Manpower and Employment), Room 2412, Main Treasury Building, Washington, D.C. 20220.

Contesting record procedures: See Access above.

Record source categories: Applications (SF-171, resumes) from the general public, including correspondence initially addressed to the President, Congressmen, or Senators are transmitted to this office because they deal with Treasury matters, issues, or employment.

Treasury/OS 00.067

System name: Detailed Employee Files—Treasury/OS

System location: Room 2412, Main Treasury Building, Washington, D.C. 20220.

Categories of individuals covered by the system: Department of the Treasury employees whose detail to other organizations and/or positions requires approval at the Departmental level.

Categories of records in the system: Correspondence requesting approval of details and Request for Personnel Action (SF-52) which documents the detail and reflects approval of it. In some instances there may also be a Personal Qualifications Statement, (SF-171), and attachments, Position Description (OF-8), and Request for Approval of Non-Competitive Action (SF-59).

Authority for maintenance of the system: The authority which authorizes the solicitations of the information by the agency is Title 5 U.S. Code and the various rules and regulations of the U.S. Civil Service Commission pursuant thereto, as well as the implementing personnel policies derived therefrom by the Department of the Treasury.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The information is used to satisfy the minimum data requirements needed for consideration and approval of details of employees requiring approval at the Departmental level. For additional routine uses see Treasury Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are stored in file cabinets in secured building.

Retrievability: System is indexed by name in a log and filed in chronological order as details are approved.

Safeguards: Physical security is provided by storage in file cabinets with personnel screening accomplished by limiting access to authorized Treasury personnel and management officials on a "need to know" basis.

Retention and disposal: Records are retained for an indefinite period of time and are destroyed by standard destruction methods including burning of Personal Qualifications Statements and related confidential information.

System manager(s) and address: Assistant Director of Personnel (Executive Manpower and Employment), Room 2412, Main Treasury Building, Washington, D.C. 20220.

Notification procedure: Assistant Director of Personnel (Executive Manpower and Employment), Room 2412, Main Treasury Building, Washington, D.C. 20220. The individual is required to provide his name and the Treasury organizational assignment, position title, grade/salary, and approximate date of the detail requested requiring approval at the Departmental level.

Record access procedures: Assistant Director of Personnel (Executive Manpower and Employment), Room 2412, Main Treasury Building, Washington, D.C. 20220.

Contesting record procedures: See Access above.

Record source categories: The documents which constitute this system, and which are listed under Category of Record, came from the employee's servicing personnel office, and other concerned management officials within the Department of the Treasury.

Treasury/OS 00.068

System name: Whitten Amendment Exception Files—Treasury/OS.

System location: Room 2412, Main Treasury Building, Washington, D.C. 20220.

Categories of individuals covered by the system: Persons employed by, or proposed for employment by, the Department of the Treasury and in whose behalf an exception to the Whitten Amendment is sought by Treasury.

Categories of records in the system: A collection of documents required for requesting approval by the U.S. Civil Service Commission of exceptions to the Whitten Amendment, including: Request for Approval of Non-Competitive Action (SF-59); Personal Qualifications Statement (SF-171); Position Description (OF-8); and, in some instances, Request for Personnel Action (SF-52); letters of justification; and general correspondence requesting approval of the exception.

Authority for maintenance of the system: The authority which authorizes the solicitation of the information by the agency is Title 5

U.S. Code and the various rules and regulations of the U.S. Civil Service Commission pursuant thereto, as well as the implementing personnel policies derived therefrom by the Department of the Treasury.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The information is used to satisfy the minimum data requirements needed for consideration and approval of exceptions to the Whitten Amendment by the U.S. Civil Service Commission. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are stored in file cabinet in secured building.

Retrievability: System is indexed by name in a log and filed in chronological order as requests for exceptions are acted upon by the U.S. Civil Service Commission.

Safeguards: Physical security is provided by storage in file cabinets with personnel screening accomplished by limiting access to authorized Treasury personnel and management officials on a "need to know" basis.

Retention and disposal: Records are retained for an indefinite period of time and are destroyed by standard destruction methods including burning of Personal Qualifications Statements and related confidential information.

System manager(s) and address: Assistant Director of Personnel (Executive Manpower and Employment), Room 2412, Main Treasury Building, Washington, D.C. 20220.

Notification procedure: Assistant Director of Personnel (Executive Manpower and Employment), Room 2412, Main Treasury Building, Washington, D.C. 20220. The individual is required to provide his name and the Treasury organizational assignment, position title, grade/salary, and approximate date of the Whitten Amendment exception requested by the Department of the Treasury.

Record access procedures: Assistant Director of Personnel (Executive Manpower and Employment), Room 2412, Main Treasury Building, Washington, D.C. 20220.

Contesting record procedures: See Access above.

Record source categories: The documents which constitute this system, and which are listed under Category of Record, came from the individual employee or applicant, his servicing personnel office, and other concerned management officials within the Department of the Treasury.

Treasury/OS 00.070

System name: Office of Computer Science, Work Assignment and Control Form—Treasury/OS.

System location: 1625 I Street, N.W., Room 224, Washington, D.C. 20220.

Categories of individuals covered by the system: All personnel in Office of Computer Science.

Categories of records in the system: List of projects and time spent on each project. List of projected project milestone dates and actual dates completed.

Authority for maintenance of the system: Implied authoritiy of manager to maintain records of staff assignments and workload. 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) Assignment of work to individuals. (2) Record of manpower resources spent for each customer organization. (3) Performance evaluation. (4) Historical records used as examples for budget preparation. (5) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper, mag. tape files.

Retrievability: Indexed by name.

Safeguards: Under supervision of responsible manager during working hours. Room locked at other times.

Retention and disposal: Retained one year, then erased or discarded.

System manager(s) and address: Director, Office of Computer Science, 1625 I Street, N.W., Room 224, Washington, D.C. 20220.

Notification procedure: Office of Computer Science, 1625 I Street, N.W., Room 224, Washington, D.C. 20220. Identifying information—name.

Record access procedures: Written request, to: Director, Office of Computer Science, 1625 I Street, N.W., Room 224, Washington, D.C. 20220.

Contesting record procedures: See Access above.

Record source categories: Forms filled out by staff members.

Treasury/OS 00.081

System name: Centralized Automated System for Reporting Employment Statistics in the Department of the Treasury (REST)—Treasury/OS.

System location: Office of the Chief, Fiscal Division, Internal Revenue Service Data Center, Box 1100, Detroit, Michigan 48232. This is the location of data processing support for the REST System.

Categories of individuals covered by the system: Employment statistics on Treasury minority groups and female employees.

Categories of records in the system: The following information is contained in the system: (1) Submitting Office Number, (2) Bureau, (3) Region, (4) Office, (5) Social Security Number, (6) Minority Designation Code, (7) Geographical Location Code, (8) Series (Occupational), (9) Pay Plan Code, (10) Grade, (11) Sex, (12) Code for Full-time, Part-time, and Intermittent, (13) Code for Seasonal (WAE), (14) Citizenship Code, (15) Supervisory Code, (16) Birth Month, (17) Birth Year, (18) Nature of Action Code, (19) Effective Date of Action, (20) On-Off Roll Code, (21) Salary (for Employees Who Do Not Have a Grade, i.e. Wage Systems, Special Emphasis, etc.)

Authority for maintenance of the system: The Civil Service Commission in the Federal Personnel Manual (Chapter 713, Subchapter 3A), establishes the full control of an automated minority group identification system under the agency Director, Equal Employment Opportunity (EEO), or his designated EEO Official.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The centralized system for Reporting Employment Statistics in the Treasury is used to furnish statistics on employment distribution to Personnel Officers, EEO Officers and Departmental staff as needed. Also, a periodic report of employment statistics on distribution of minority groups and female employees is provided to all Personnel and EEO Offices. Data will be provided OMB, the White House and Congress upon request. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: The Centralized Automated System for Reporting Employment Statistics is kept on a mag-tape which is the master file for minority and female statistics. Information concerning the employee is retrievable through the use of the individual's social security number. The mag-tape is not kept more than a year and is reused normally for the same or similar data. Tape data is reduced to hard copy in the form of reports. The Director, Equal Opportunity Program (EOP), is the designated Treasury official responsible to insure that minority group identification of Department employees is maintained and used in such a manner that will guard against the invasion of privacy of employees as set forth in all regulations.

System manager(s) and address: Chief, Treasury Employee Data and Payroll Division, Department of the Treasury, 15th and Pennsylvania Avenue, Washington, D.C. 20220.

Notification procedure: Office, Equal Opportunity Program, Department of the Treasury, 15th and Pennsylvania Avenue, Washington, D.C. 20220. An individual requesting information should state his or her name, social security number, bureau employed by, and geographical location of facility or duty station to which assigned.

Record access procedures: Written requests for information on the procedures for gaining access to and contesting information is to be made to: Director, Office of Equal Opportunity Program, Department of the Treasury, 15th and Pennsylvania Avenue, Washington, D.C. 20220.

Contesting record procedures: See Access above.

Record source categories: The Processing Clerk enters each new employee's minority group designation code, cost code or organization designation code, sex code, citizenship code and supervisory position code on one copy of the SF-50 and sends it to the IRS Data Center.

Treasury/OS 00.082

System name: EEO Complaint Processing System—Treasury-OS.

System location: Office, Equal Opportunity Program, Department of the Treasury, Room 1000, 1612 K Street, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Applicants for employment and aggrieved employees who have filed formal Equal Employment Opportunity complaints against the Department and its Bureaus.

Categories of records in the system: Each EEO investigative file contains: (a) a copy of each affidavit of the complainant, the alleged discriminatory official, and other witnesses; (b) a copy of each agency record obtained; and (c) a copy of the other information acquired in the investigation. When information concerning the agency's policies or procedures is essential to an understanding of matters covered by the investigation, a copy of, or an excerpt from this material will be included in the file. Files may include, depending on complainants exercising appeal rights, Hearing Transcripts and Decisions by Civil Service Commission Complaints Examiners, and Decisions by the Appeals Review Board.

Authority for maintenance of the system: Part 713.203(k) of the Civil Service Commission's Rules and Regulations, require agencies to "provide for the prompt, fair and impartial consideration and disposition of complaints involving issues of discrimination on grounds of race, color, religion, sex or national origin." Federal Personnel Manual Letter No. 713-25, provides "an agency will process complaints of discrimination on account of age."

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The EEO investigative record is used to resolve complaints of discrimination between aggrieved employees or applicants for employment, and agency management. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: The records are maintained in EEO complaint case file folders. The files are maintained by the name of the complaint in an alphabetical order. The Director, Equal Opportunity Program and his EEO staff, and the Civil Service Commission have access to these files. The Federal District Courts have access to the files in the event the complainant appeals to that level. Normally, the files are maintained in the Department of Treasury for a period of from two (2) to three (3) years before being forwarded to the Federal Records Center, Alexandria, Virginia.

System manager(s) and address: Director Office of Equal Opportunity Program, Department of the Treasury, 15th and Pennsylvania Avenue, Washington, D.C. 20220. The individual requesting the information must identify the date he or she filed a formal complaint, the Bureau against whom the complaint was filed, and if known, the date the complaint was closed.

Notification procedure: See System Manager above.

Record access procedures: To obtain information on the procedure for gaining access to and contesting records write to: Director, Office of Equal Opportunity Program, Department of the Treasury, 15th and Pennsylvania Avenue, Washington, D.C. 20220.

Contesting record procedures: See Access above.

Record source categories: The information came from Affidavits of the parties involved and witnesses. Information also comes from personnel records, as well as from other documents relating to personnel management.

Treasury/OS 00.083

System name: Spanish Speaking Informal Application File (S.F. 171)—Treasury/OS.

System location: Office of Equal Opportunity Program, Spanish Speaking Program, Department of the Treasury, 1612 K Street NW., Room 1000, Washington, D.C. 20220.

Categories of individuals covered by the system: Spanish Speaking citizens who are seeking employment and/or promotions with the Federal Government.

Categories of records in the system: CSC Standard Form 171 (Personal Qualifications Statement).

Authority for maintenance of the system: Civil Service Commission EPM Letter 713-18.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The Standard Forms 171 are referred to the Bureaus by the Spanish Speaking Program Coordinator to the Bureau Spanish Speaking Program Coordinators to assure that Spanish Speaking are fairly considered for job openings and/or promotions. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: The applications are kept in a file by name. Safeguards are taken to prevent unauthorized disclosures of records. The office is locked and physical security is maintained at the entrance of the building when closed. The Standard Forms 171 are kept at least one year and/or disposed of after individual has been employed or promoted. If no action is taken within one year, the application is returned to the individual concerned.

System manager(s) and address: Spanish Speaking Program Coordinator, U.S. Treasury Department, 1612 K Street, N.W., Room 1000, Washington, D.C. 20220.

Notification procedure: Same as above. No identifying information of an individual is required except his/her name.

Record access procedures: An individual can gain access to his/her records by requesting them by letter, or visit to the Office of Equal Opportunity Program's Director, Equal Employment Oppurtunity's Deputy Director, or the Spanish Speaking Program Coordinator at: Mailing Address: Office of the Secretary, Office of Equal Opportunity Program, U.S. Treasury Department, Washington, D.C. 20220. Office Location: Same as Systems Manager.

Contesting record procedures: See Access above.

Record source categories: All Standard Forms 171 (Federal Government applications) were submitted by the individuals and/or other Spanish Speaking Program Coordinators.

Treasury/OS 00.090

System name: EOTA FOI Request/Appeals Files—Treasury/OS.

System location: Office of the Assistant Secretary (Enforcement and Operations), Main Treasury Building, 1500 Pennsylvania Ave., N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Individuals submitting original requests for information or appeals of initial denials to this office under the Freedom of Information Act.

Categories of records in the system: Copies of the requests or appeals under FOIA submitted by individual; other correspondence on the FOI matter; copies of disclosed material.

Authority for maintenance of the system: 5 USC 301, 5 USC 552.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Consult and advise other offices and bureaus of Treasury and of other Federal Departments and agencies; advise Members and committees of Congress. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Hard copy paper files.

Retrievability: Manual, by name and/or number assigned.

Safeguards: Locked file cabinet or safe-cabinet storage; access to personnel with need to know.

Retention and disposal: Retained indefinitely.

System manager(s) and address: Official prescribing policies and practices—Assistant Secretary (Enforcement and Operations) Officials maintaining the system—Assistant to the Director, Office of Enforcement and Director of Operations, Director of Tariff Affairs.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: Individual making FOI request or appeal; offices, bureaus, agencies, departments and personnel of Federal Government who have relevant information or must be consulted for appropriate processing.

Treasury/OS 00.101

System name: International Criminal Police Organization (INTERPOL) Criminal Investigative Records—Treasury/OS

System location: Department of Justice, Room 6649, 9th and Pennsylvania Avenue, N.W., Washington, D.C. 20530.

Categories of individuals covered by the system: Individuals who have been convicted or are subjects of a criminal investigation with international aspects; specific missing persons; specific deceased persons in connection with death notices; certain weapons, motor vehicles, artifacts, etc., stolen and/or involved in crime which may or may not be associated with an individual. Certain victims of criminal violations in the U.S. or abroad.

Categories of records in the system: Information concerning an individual may be maintained in this system of records which includes but is not limited to records pertaining to fugitives, wanted persons, suspected persons, lookouts (temporary and permanent), spe-

cific missing persons, deceased persons in connection with death notices. Information about individuals includes but is not limited to name, alias, date of birth, address, physical description, various identification numbers, reason for the record or lookout, details and circumstances surrounding the actual or suspected violator.

Authority for maintenance of the system: 22 USC 263a.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Use—Criminal investigations being conducted by law enforcement agencies in the U.S. or abroad. Users—Local, State and Federal Agents and employees of the U.S. National Central Bureau of INTERPOL who have a need for the records in the performance of their duties; law enforcement agencies and criminal justice agencies in the United States and abroad; INTERPOL General Secretariat and INTERPOL National Central Bureaus in member countries; disclosure required in administration of Freedom of Information Act (5 U.S.C. 552); referral to employees and officials of financial and commercial business firms and to private individuals of identifying information pertaining to actual or suspected criminal offenders where such referral is considered reasonably necessary for the purpose of furthering efforts to investigate the activities of and apprehend criminal offenders; translators of foreign languages as necessary; and for additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Stored in file folders at the U.S. National Central Bureau and certain limited data in Treasury Enforcement Communications System (TECS). Upon inactivity for five years the file is destroyed except for judicial cases involving personnel in this NCB, which is retained for 20 years from the date of the judicial action. Files on deceased persons are destroyed within one year of the person's death. Certain records, such as death notifications and missing persons records, are normally destroyed within the year after the matter is received and/or resolved. Records not of continuing interest may be destroyed at any time. Retrievability by name or file number and by weapons number and motor vehicle number. Access is limited to personnel of the U.S. NCB—INTERPOL except for certain fugitive/wanted records in TECS which are accessible to all TECS terminals. Disposal of records is by shredding or burning. Safeguards include need to know procedural and physical safeguards, such as accountability, restricted areas and alarm protection systems.

System manager(s) and address: Chief, U.S. National Central Bureau, INTERPOL, Department of Justice, Room 6649, 9th & Pennsylvania Ave., N.W., Washington, D.C. 20530.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Systems exempted from certain provisions of the act: Exempt under Subsections (J)(2) and (K), 31 C.F.R. 1.36.

Treasury/OS 00.102

System name: Treasury Enforcement Communications System (TECS)—Treasury/OS.

System location: International Criminal Police Organization (INTERPOL) Criminal Investigative Records, Department of Justice, Room 6649, 9th & Pennsylvania Avenue, N.W., Washington, D.C. 20530

Categories of individuals covered by the system: Individuals who have been convicted or are subjects of a criminal investigation with international aspects; specific missing persons; specific deceased persons in connection with death notices; certain weapons, motor vehicles, artifacts, etc., stolen and/or involved in crime which may or may not be associated with an individual. Certain victims of criminal violation in the U.S. or abroad.

Categories of records in the system: Information concerning an individual may be maintained in this system of records which includes but is not limited to records pertaining to fugitives, wanted persons, suspected persons, lookouts (temporary and permanent), specific missing persons, deceased persons in connection with death notices. Information about individuals includes but is not limited to name, alias, date of birth, address, physical description, various identification numbers, reason for the record or lookout, details and circumstances surrounding the actual or suspected violator.

Authority for maintenance of the system: 5 U.S.C. 301, Reorganization Plan No. 1 of 1950; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Use—Criminal investigations being conducted by law enforcement agencies in the U.S. or abroad. Users—Local, State and Federal Agents and employees of the U.S. National Central Bureau of INTERPOL who have a need for the records in the performance of their duties; law enforcement agencies and criminal justice agencies in the United States and abroad; INTERPOL General Secretariat and INTERPOL National Central Bureaus in member countries; disclosure required in administration of Freedom of Information Act (5 U.S.C. 552); referral to employees and officials of financial and commercial business firms and to private individuals of identifying information pertaining to actual or suspected criminal offenders where such referral is considered reasonably necessary for the purpose of furthering efforts to investigate the activities of and apprehend criminal offenders; translators of foreign languages as necessary; and for additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Magnetic tape and disc storage and hard copy. Files on deceased persons are destroyed within one year of the person's death. Certain records, such as death notifications and missing persons records, are normally destroyed within the year after the matter is received and/or resolved. Records not of continuing interest may be destroyed at any time. Retrievability by name, file number, personal identification number, system identification number, weapons or motor vehicle number. Access safeguards include need to know, procedural and physical safeguards, such as accountability, restricted areas and alarm protection systems backed up by armed security force. Upon inactivity for five years the file is destroyed except for judicial cases involving personnel in this NCB, which are retained for 20 years from the date of judicial action. Disposal of records is by shredding or burning.

System manager(s) and address: Chief, U.S. National Central Bureau, INTERPOL, Department of Justice, Room 6649, 9th & Pennsylvania Ave., N.W., Washington, D.C. 20530

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: Exempt System.

Systems exempted from certain provisions of the act: Exempt under Subsections (J)(2) and (K), 31 C.F.R. 1.36.

Treasury/OS 00.110

System name: Foreign Assets Control Administrative Records—Treasury/OS

System location: Office of Foreign Assets Control, 1331 G Street, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Office of Foreign Assets Control employees. Treasury Department General Counsel employees assigned to FAC work. Former Office of Foreign Assets Control employees. Applicants for employment with Treasury Department, Office of Foreign Assets Control.

Categories of records in the system: Applications for employment with the Office of Foreign Assets Control, or with the Office of Chief Counsel, Foreign Assets Control; records of grade promotions of Foreign Assets Control employees; records of within grade salary step increases of Foreign Assets Controls employees; analysis of performance of legal professionals assigned to Foreign Assets Control Work; supervisory evaluation of Foreign Assets Control Employees; confidential financial statements.

Authority for maintenance of the system: 5 U.S.C. Sections 301 and 302, Treasury Department Order No. 128, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: As source of information in consideration of hiring of new Foreign Assets Control employees.

To determine whether a grade promotion of an employee is indicated. To determine whether annual within grade salary step increase is warranted. To compile mailing list of employees for Foreign Assets Control uses and purposes. To determine what training of Foreign Assets Control employees is needed. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Foreign Assets Control administrative records are placed in folders which are kept in file cabinets, secured by approved detachable combination locks. FAC administrative records are retrieved from the administrative file by the name of the individual. Each FAC file cabinet containing administrative records is locked when not in use. Such files are located in an area which is manned during duty hours by FAC employees. No person other than the Director (Acting) is allowed access to such records, except as specifically designated by the Acting Director. During off-duty hours the file area is safe-guarded by appropriate electronic protective devices. FAC administrative records (other than application for employment) are kept indefinitely for so long as they are useful to the Office of Foreign Assets Control.

System manager(s) and address: Director, Office of Foreign Assets Control, U.S. Treasury Department, Washington, D.C. 20220.

Notification procedure: Requests by an individual for notification as to whether Foreign Assets Control Administrative Records contain information relating to him should be (a) mailed: Office of Foreign Assets Control, U.S. Treasury Department, Washington, D.C. 20220, (b) presented in person: Office of Foreign Assets Control, 1331 G Street, N.W., Room 504, Washington, D.C.

Record access procedures: Requests for information on gaining access to or contesting of information contained in FAC Administrative Records should be mailed to: Director, Office of Foreign Assets Control, U.S. Treasury Department, Washington, D.C. 20220.

Contesting record procedures: See Access above.

Record source categories: All information contained in the FAC administrative records is usually obtained from the individual, or from Treasury Department Personnel Office.

Treasury/OS 00.111

System name: FAC 1950 Census of Blocked Chinese Assets—Treasury/OS.

System location: 1331 G Street, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Most of these records contain reports and correspondence regarding blocked accounts of persons or firms who are nationals of the Peoples Republic of China. However, there is a small class of cases where reports were received regarding the assets of an individual subject to U.S. jurisdiction when such individual is the trustee of a Chinese national, Administrator or Executor of the estate of a Chinese national, heir of the estate of a Chinese national, etc. Most of the persons filing these reports were American corporations. However, a small number of reporters were American individuals.

The principal category is individuals residing in China who had an interest in property in the U.S. on December 18, 1950. This category would include (a) U.S. citizens who left China after December 17, 1950; and (b) non-U.S. citizens who left China after December 17, 1950, and became U.S. citizens or permanent alien residents of the U.S.

In addition, this system includes individuals in the U.S. who had acted for or on behalf of the government of the Peoples Republic of China, or individuals who had an interest in property in which there was also an interest of a Chinese national on or since December 17, 1950, for example, trusts and estates, joint accounts and partnerships.

Categories of records in the system: (6) Reports containing a brief description, including location and value, of assets in the U.S. on December 18, 1950, in which, China or a Chinese "national" (as defined in the Regulations) had any interest. (2) Correspondence regarding such reports. (3) A computer print-out summarizing the information contained in the reports.

Authority for maintenance of the system: Section 5(b) of the Trading with the Enemy Act (50 U.S.C. App., Section 5(b)). Section 500.603 of the Foreign Assets Control Regulations (31 CFR 500.603).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The information in this system of records: (1) is used by staff to verify the accuracy of reports submitted under the 1970 Census of Blocked Chinese Assets; (2) is made available to the Chief of Enforcement, in connection with determining the present whereabouts of holders or custodians who cannot be located; (3) is made available to the Chief of Licensing for assistance in determining the propriety of the release of blocked property; (4) is made available to the Legal Section in connection with questions regarding the disposition of blocked property requiring a legal opinion; (5) is made available to the Acting Director in connection with questions regarding the property reported under the 1950 census; (6) is made available to the original holder or custodian of blocked Chinese property or his successor in interest to aid in preparation of the 1970 census report; (7) is made available to the legal representatives of persons listed in (1) to aid in preparation of the 1970 census report; (8) for additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Reports and correspondence files.

Retrievability: Reports are indexed by name of holder or custodian of blocked property, by name of individual for whom blocked accounts are maintained, and by report number. Files are indexed by name of holder or custodian of blocked property or by name of individual.

Safeguards: The FAC 1950 Census of Blocked Chinese Assets records consist of documents in folders and a computer print out. Some of these files are stored in file cabinets secured by approved detachable combination locks. The room where these records are stored is manned by FAC personnel who are instructed not to admit unauthorized persons, nor to release any material from these records to persons other than FAC personnel. During off duty hours this room is locked and safeguarded by appropriate security measures. The remainder of these files are stored in a supply room which is always locked except when in use by FAC personnel.

Retention and disposal: None have been destroyed.

System manager(s) and address: Office of Foreign Assets Control, U.S. Treasury Department, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: Custodians or other holders of blocked Chinese assets.

Treasury/OS 00.112

System name: FAC 1970 Census of Blocked Chinese Assets—Treasury/OS.

System location: 1331 G. Street N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Most of these records contain reports and correspondence regarding blocked accounts of persons or firms who are nationals of the Peoples Republic of China. However, there is a small class of cases where reports were received regarding the assets of an individual subject to U.S. jurisdiction when such individual is the trustee of a Chinese national, Administrator or Executor of the estate of a Chinese national, heir of the estate of a Chinese national, etc. Most of the persons filing these reports were American corporations. However, a small number of reporters were American individuals.

The principal category are Foreign nationals, namely Chinese who had interests in property in the U.S. on December 18, 1950, and on July 1, 1970. Also included are individuals in the U.S. who had been in China on or since December 17, 1950, or who had acted for or on behalf of the government of the Peoples Republic of China, or who had on either date an interest in property in which there was also an interest of a Chinese national on or since December 17, 1950, for example, trusts and estates, joint accounts and partnerships.

Categories of records in the system: (1) Reports containing a brief description including location and value, of assets in the U.S. on December 18, 1950, or on July 1, 1970, in which, on either date, China or a Chinese "national" (as defined in the Regulations) had any interest. (2) Correspondence regarding such reports. (3) A computer print-out summarizing the information contained in the reports.

Authority for maintenance of the system: Section 5(b) of the Trading with the Enemy Act (50 U.S.C. App., Section 5(b)). Section 500.610 of the Foreign Assets Control Regulations (31 CFR 500.610).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Information in this system of records: (1) is made available to the Director, Chief of Licensing,

Chief of Enforcement, and the Legal Section for assistance with problems requiring their advice and expertise. (2) is made available to the Chief of Licensing in connection with applications to unblock property of Chinese nationals. (3) is used for statistical purposes. (4) for additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: files and index cards.

Retrievability: Files and index cards indexed by name of holder or custodian of property with I.D. number and by name of individual for whom blocked accounts are held with I.D. number.

Safeguards: The Foreign Assets Control 1970 Census of Blocked Chinese Assets records consist of documents in folders and a bound computer print out which are stored in file cabinets secured by approved detachable combination locks. The room where these records are stored is manned by FAC personnel who are instructed not to admit unauthorized persons, nor to release any material from these records to persons other than FAC personnel. During off duty hours this room is locked and safeguarded by appropriate security measures.

Retention and disposal: None have been destroyed.

System manager(s) and address: Director, Office of Foreign Assets Control, U.S. Treasury Department, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: Custodians or other holders of blocked Chinese assets.

Treasury/OS 00.113

System name: FAC 1964 Census of Blocked Cuban Assets—Treasury/OS

System location: Federal Record Center, Suitland, Maryland

Categories of individuals covered by the system: Most of these records contain reports and correspondence regarding blocked accounts of persons or firms who are nationals of Cuba. However, there is a small class of cases where reports were received regarding the assets of an individual subject to U.S. jurisdiction when such individual is the trustee of a Cuban national, Administrator or Executor of the estate of a Cuban national, heir of the estate of a Cuban national, etc. Most of the persons filing these reports were American corporations. However, a small number of reporters were American individuals.

The principal category is residents of Cuba, who had an interest in property in the U.S. on July 8, 1963. This category would also include (a) U.S. citizens who left Cuba after July 8, 1963, and (b) non-U.S. citizens who left Cuba after 1963 and became U.S. citizens or permanent alien residents of the U.S. In addition, this system includes individuals in the U.S. who had acted for or on behalf of the government of Cuba, or individuals who had an interest in property in which there was also an interest of a Cuban national on or since July 8, 1963, for example, trusts and estates, joint accounts and partnerships.

Categories of records in the system: (1) Reports containing a brief description, including location and value of assets in the U.S. on July 8, 1963, in which Cuba or a Cuban "national" (as defined in the Regulations) had any interest. (2) Correspondence regarding such reports. (3) A computer print out summarizing the information contained in the reports.

Authority for maintenance of the system: Section 5(b) of the Trading with the Enemy Act (50 USC, App., Section 5(b). Section 620(a) of the Foreign Assistance Act of 1961 (22 USC Section 2370(a)). Cuban Assets Control Regulations 31 CFR Part 515.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The information in this system of records may be used in connection with a future census of blocked Cuban assets. The uses may be as follows: (1) by staff to determine persons to whom specific correspondence should be addressed advising them of the census requirements; (2) by staff to verify the accuracy of reports submitted under a new census; (3) by the Chief of Enforcement, in connection with determining the present where-abouts of holders or custodians who cannot be located; (4) by the Chief of Licensing for assistance in determining the propriety of the release of blocked property; (5) by the Legal Section in connection with questions regarding the disposition of blocked property requiring a legal opinion; (6) by the Director in connection with questions regarding the property reported under the 1964 census; (7) may be made available to the original holder or custodian of blocked Cuban property or his successor in interest to aid in preparation of a new census report; (8) may be available to the legal representatives of persons listed in (7) to aid in preparation of a new census report.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Reports and correspondence.

Retrievability: All of these records are located at the Federal Record Center, Suitland, Maryland. They are retrievable by name of individual.

Safeguards: GSA.

Retention and disposal: None have been destroyed.

System manager(s) and address: Office of Foreign Assets Control, U.S. Treasury Department, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: Custodians or other holders of blocked Cuban property.

Treasury/OS 00.114

System name: Foreign Assets Control Enforcement Records—Treasury/OS

System location: 1331 G Street, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Individuals who have engaged in unlicensed financial, commercial or other transactions which are prohibited under regulations administered by the Office of Foreign Assets Control. Individuals who have made any unlicensed importation of prohibited merchandise from a foreign country which is a "designated country" under regulations administered by the Office of Foreign Assets Control. Individuals who have made an unlicensed remittance of funds or exportation of goods to a "designated country" or national thereof, or to a "specially designated national." Individuals who have otherwise violated any Treasury ruling, license or regulation issued under or pursuant to the Trading with the Enemy Act or the United Nations Participation Act. Individuals suspected of having engaged in activities or transactions which violated statutes or regulations administered by the controls. Individuals who have applied for Foreign Assets Control Licensing when there is reason to suspect the truth of statements contained in an individual's application. Individuals who have or are suspected of misuse or misappropriation of blocked property.

Categories of records in the system: Reports of investigations of suspected or actual violations by an individual of relevant statute and regulations administered by the Office of Foreign Assets Control; intelligence reports requested from other official sources regarding suspected or actual criminal or civil violations by an individual of the relevant statutes, or of the regulations administered by the Office of Foreign Assets Control; evidence obtained during investigation of suspected or actual violations by an individual of the relevant statutes and regulations administered by the Office of Foreign Assets Control.

Authority for maintenance of the system: Statutory: Section 5(b) of the Trading with the Enemy Act (50 USC, App. Section 5(b)); Section 5 of the United Nations Participation Act (22 USC Section 287(c)); Foreign Assistance Act of 1961, (22 USC Section 2370 (a)). Executive Orders: E.O; 8389 E.O. 9193; E.O. 11322; E.O. 11419; Proc. 3447. Regulations: Foreign Assets Control Regulations (31 CFR, Sections 500.601 and 500.602), Cuban Assets Control Regulations (31 CFR, Sections 515.601 and 515.602), Rhodesian Sanctions Control Regulations (31 CFR Sections 530.601 and 530.602), Foreign Funds Control Regulations (31 CFR, Sections 520.601 and 520.602).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) Used as a source of reference in other FAC enforcement investigations. (2) As a source of information in consideration of applications for Foreign Assets Control specific licenses. (3) Referral of information to the Department of Justice in civil or criminal cases involving the individual. (4) Disclosure to U.S. Customs Service, Internal Revenue Service or Department of Commerce (Office of Export Administration), of information concerning possible violations of laws and/or regulations of such agencies. (5) Notice to the United States Customs Service (a) of importers who have made illegal commercial importations of prohibited publications, and, (b) of persons who are authorized to make commercial importations of prohibited publications (under regulations administered by the Office of Foreign Assets Control). (6) for additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: The Foreign Assets Control Enforcement Records consist of documents, etc., in folders which are stored in locked file cabinets in secure file rooms. Enforcement records are retrieved by means of card-index file system containing the names of persons (individuals, corporations, etc.). The file rooms are manned by Foreign Assets Control personnel who are instructed not to admit unauthorized persons into the file areas, nor to release any material from the enforcement records to persons other than Foreign Assets Control personnel. During off duty hours the file rooms are secured by appropriate electronic protective devices. These Enforcement Records are maintained indefinitely, for so long as the assets and transactions involved are subject to regulation. Records are also stored at the Federal Record Center, Suitland, Maryland.

System manager(s) and address: Director, Office of Foreign Assets Control, U.S. Treasury Department, Washington, D.C.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Systems exempted from certain provisions of the act: Exempt under Subsection (K)(2), 31 C.F.R. 1.36.

Treasury/OS 00.115

System name: Foreign Assets Control General Correspondence Files-Treasury/OS.

System location: 1331 G Street, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Records are maintained of all correspondence from individuals seeking information from the Office of Foreign Assets Control regarding embargoed or blocked foreign countries (and assets and nationals thereof), which are affected by implementing Treasury Department Regulations. Current regulations, and corresponding blocked or embargoed countries, are: Foreign Assets Control Regulations (China, Vietnam, and North Korea); Cuban Assets Control Regulations (Cuba); and the Rhodesian Sanctions Regulations (Rhodesia) and the Foreign Funds Control Regulations (World War II). Records are also maintained of letters to individuals initiated by the Foreign Assets Control.

Categories of records in the system: General correspondence of every kind, e.g.: individuals seeking information about FAC regulations re some particular country; requests for advice on proposed or possible transaction involving a designated country or a national thereof; letters reporting possible violation of some FAC administered regulation; requests from law students and other researchers; requests for copies of regulations administered by FAC; requests for information regarding possible employment opportunities with the Office of Foreign Assets Control.

Authority for maintenance of the system: Statutory: Section 5(b) of the Trading with the Enemy Act (50 USC, App. Section 5(b)). Section 5 of the United Nations Participation Act (22 USC Section 287 c), Section 620 (a) of the Foreign Assistance Act of 1961 (22 USC Section 2370 (a)). Executive Orders: E.O. 9193; E.O. 9989; E.O. 11322; E.O. 11419; Proc. 3447. Regulations: Foreign Assets Control Regulations 31 CFR Part 500, Cuban Assets Control Regulations 31 CFR Part 515, Rhodesian Sanctions Regulations 31 CFR Part 530; Transaction Control Regulations 31 CFR Part 505; Foreign Funds Control Regulations 31 CFR Part 520.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (A) Intra-Agency—Used by the staff to effectuate the purposes of the Regulations which it is charged with administering: (1) Used by the Enforcement Section as background information in connection with investigations. (2) Used by the Licensing Section as background information in connection with the processing of license applications submitted by the individual. (3) Used by the Legal Section as background information in connection with preparation of briefs, etc. (4) Used by all staff as background information in connection with subsequent inquiries from an individual. (5) Used in connection with the formulation of or as examples of policy followed by the office. (6) Used by all staff to initiate correspondence with third parties mentioned in an individual letter regarding potential or apparent violations of the regulations. (7) Used by all staff to prepare statistics useful in implementing the Regulations. (8) Used by all staff to compile mailing lists for use by Foreign Assets Control to advise individuals interested in a particular aspect of the Regulations of changes relating to these aspects. (9) Used to detect an interest in a particular aspect of the Regulations which necessitate the publication of amendments to the Regulations regarding interpretations of the Regulations. (B) Inter-Agency: (1) Referred to the Department of State, Department of Commerce, Internal Revenue Service, Foreign Claims Settlement Commission, (and other agencies) where the individuals inquiry concerns matters under the jurisdiction of such agencies as well as under the jurisdiction of the Office of Foreign Assets Control. (2) Referred to the Department of Justice, where it appears likely that a crime or civil offense was committed. (3) Referred to other agencies where it appears that an individual has violated the laws, statutes or regulations of such agency. (C) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: The Foreign Assets Control General Correspondence Records consist of documents in folders which are stored in file cabinets secured by approved detachable combination locks. General Correspondence records are retrieved by means of card-index file system containing the names of persons (individuals, corporations, etc.). The file rooms are manned by FAC personnel who are instructed not to admit unauthorized persons into the file-areas, nor to release any material from the enforcement record to persons other than FAC personnel. During off-duty hours, the file rooms are safeguarded by appropriate electronic protective devices. The General Correspondence records are maintained indefinitely for so long as the assets or transactions involved are subject to regulation. Records are also stored at the Federal Record Center, Suitland, Maryland.

System manager(s) and address: Director, Office of Foreign Assets Control, U.S. Treasury Department, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: Individuals who initiated correspondence with Treasury Department's Office of Foreign Assets Control or who responded to correspondence from the Office of Foreign Assets Control; other governmental agencies (Federal, State and Local); foreign individuals and official sources.

Treasury/OS 00.116

System name: Foreign Assets Control Litigation files—Treasury/OS

System location: 1331 G Street, N.W., Room 504, Washington, D.C. 20220.

Categories of individuals covered by the system: Individuals who have been criminally prosecuted, or involved in civil litigation (as plaintiff or defendant) with the United States, in matters which involve statutes and/or regulations which are administered by the Office of Foreign Assets Control (e.g.: criminal prosecution for violation of statutes and regulations; suits to enjoin the Office of Foreign Assets Control from holding bank accounts or other property in a blocked status; suits to compel the Office of Foreign Assets Control to issue licenses for import of merchandise from a designated country; suits seeking to have statutes and regulations administered by

Foreign Assets Control held unconstitutional, in fact or as applied; etc.).

Categories of records in the system: Copies of the pleading and all other legal documents connected with civil litigation and criminal proceedings involving statutes and regulations administered by the Office of Foreign Assets Control, including memoranda of law, drafts of legal briefs, and other material prepared by the FAC legal staff for use in such litigation; material obtained pursuant to discovery under applicable court rules (depositions, written interrogatories, etc.); copies of final orders, decrees, and opinions of courts in such litigation, and copies of correspondence relating to activities of any party to such litigation (containing information relevant to the issues involved in the litigation).

Authority for maintenance of the system: Statutes: Section 5(b) of the Trading with the Enemy Act, (50 USC, App. Section 5(b)); Section 5 of the United Nations Participation Act, (22 USC, Section 287c); Section 620 (a) of the Foreign Assistance Act of 1961, (22 USC 2370 (a)). Executive Orders: E.O. 9193; E.O. 9989; E.O. 11322; E.O. 11419; Proc. 3447. Regulations: Foreign Funds Control Regulations (31 CFR, Part 520), Foreign Assets Control Regulations, (31 CFR, Part 500), Cuban Assets Control Regulations, (31 CFR, Part 515), Rhodesian Sanctions Regulations (31 CFR, Part 530), Transactions Control Regulations (31 CFR, Part 505).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) Preparation and conduct of litigation in process by the Justice Department. (2) Research source for the FAC Enforcement section. (3) Source of information for the FAC Licensing section in consideration of applications for FAC license. (4) Source of legal precedents and for other legal research by the FAC Legal section. (5) Referral to Department of Justice in cases involving Statutes and Regulations administered by the Office of Foreign Assets Control. (6) Disclosure to U.S. Customs Service in cases which jointly involve similar matters, or which involve, jointly, both the FAC and the U.S. Customs Service. (7) Disclosure to the State Department in connection with foreign policy aspects of legal problems involved. (8) Disclosure to U.S. Department of Commerce where cases involve matters of interest to, or which are in the jurisdiction of that Department. (9) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: The Foreign Assets Control Litigation records consist of documents in folders which are stored in file cabinets secured by approved detachable combination locks. The files are retrieved by name of the individual. During normal working hours, the room where these records are kept is manned by persons who are instructed not to allow unauthorized persons into the file area, nor to disclose or release any material to persons other than FAC personnel. During off-duty hours, the room in which the records are kept is safe-guarded by appropriate electronic protective devices. The Litigation records are maintained indefinitely as research source and legal precedent.

System manager(s) and address: Director, Office of Foreign Assets Control, 1331 G Street, N.W., U.S. Department of the Treasury, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: PLEADINGS AND OTHER MATERIALS FILED DURING COURSE OF A LEGAL PROCEEDING; DISCOVERY OBTAINED PURSUANT TO APPLICABLE COURT RULES; Materials obtained from FAC Enforcement Section; material obtained pursuant to request made on other Federal agencies; Orders, opinions, decisions of courts.

Treasury/OS 00.117

System name: Foreign Assets Control Mailing List—Treasury/OS

System location: 1331 G Street, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Individuals who have asked to be advised of any amendments, announcements, etc., pertaining to the Regulations administered by this Office.

Categories of records in the system: Index cards and addressograph plates containing names and addresses of all persons to whom information regarding the Regulations is sent.

Authority for maintenance of the system: Statutory: Section 5(b) of the Trading with the Enemy Act (50 USC, App., Section 5(b). Section 5 of the United Nations Participation Act (22 USC Section 287c). Section 620(a) of the Foreign Assistance Act of 1961 (22 USC Section 2370(a). Executive Orders: Executive Order 9193; Executive Order 9989; Executive Order 11322; Executive Order 11419; Proc. 3447. Regulations: Foreign Assets Control Regulations, 31 CFR Part 500; Cuban Assets Control Regulations, 31 CFR Part 515; Rhodesian Sanctions Regulations, 31 CFR Part 530; Transaction Control Regulations, 31 CFR Part 505; Foreign Funds Control Regulations, 31 CFR Part 520.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) Information in this system of records is used by FAC to enable the Government Printing Office to send out information regarding our Regulations to all interested parties. (2) For additional routine uses see appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Index cards and addressograph plates.

Retrievability: Indexed by name of individual or corporation.

Safeguards: The FAC mailing list records consist of index cards filed in index card boxes. The room where these records are stored is manned by FAC personnel who are instructed not to admit unauthorized persons, nor to release any material from these records to persons other than FAC personnel. During off duty hours this room is locked and safe-guarded by appropriate security measures. The addressograph plates are stored at the Government Printing Office.

System manager(s) and address: Director, Office of Foreign Assets Control, U.S. Treasury Department, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: See Category of Individual.

Treasury/OS 00.118

System name: Foreign Assets Control Licensing Records—Treasury/OS

System location: 1331 G Street, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Applicants for license to import prohibited goods from countries designated in Regulations administered by Office of Foreign Assets Control. Applicants for license to remit funds to countries designated in Regulations of the Office of Foreign Assets Control. Applicants for license authorizing engagement in other transactions and activities which are prohibited by Regulations of the Office of Foreign Assets Control.

Categories of records in the system: Applications for Treasury license; information in support of applications submitted by applicant; information from other sources regarding such applications; copies of agency action granting or denying applications; requests for amendment of license; requests for rehearing or appeal of denial actions; other information related to any of the foregoing.

Authority for maintenance of the system: Statutes: Section 5(b) of the Trading with the Enemy Act (50 USC, App. Section 5(b)). Section 5 of the United Nations Participation Act (22 USC 287 (1)(c)(1)). Section 620 (a) of the Foreign Assistance Act of 1961 (22 USC 2370 (a)). Executive Orders: E.O. 9193; E.O. 9989; E.O. 11322; E.O. 11419; Proc. 3447. Regulations: Foreign Assets Control Regulations 31 CFR, Part 500; Transaction Control Regulations 31 CFR, Part 505; Cuban Assets Control Regulations 31 CFR, Part 515; Foreign Funds Control Regulations 31 CFR, Part 520; Rhodesian Sanctions Regulations 31 CFR, Part 530.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) Furnishing of information to the FAC Enforcement Section where it appears that some willful violation of Treasury regulation or requirement may have occurred. (2) Comparison with subsequent application from same applicant. (3) Consultation with State, Commerce and Defense, as

appropriate concerning applicable policy and relevant facts. (4) Furnishing information to the U.S. Customs Service where it appears that a Customs requirement or regulation has not been complied with. (5) Furnishing information to Internal Revenue Service where it appears that a matter within the jurisdiction of that agency is involved. (6) Furnishing of information to the Department of Commerce where it appears that a requirement or regulation of that agency is involved. (7) Notice to the U.S. Customs Service (a) of importers who have made illegal importations or prohibited publications, and (b) of persons who are authorized to make commercial importations of prohibited publications (under regulations administered by the Office of Foreign Assets Control). (8) Furnishing of report to Justice Department where it appears that some civil or criminal violation by applicant of a Treasury regulation or other requirement has occurred. (9) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: The Foreign Assets Control Licensing records consist of documents in folders which are stored in file cabinets. Each such file cabinet is outfitted with a detachable combination lock.

The records are retrieved by license numbers, and may also be obtained by means of a card-index file. During normal working hours, the file room is manned by persons who are instructed not to allow unauthorized persons into the file area, nor to disclose or release any material to persons other than FAC personnel. During off-duty hours, the file rooms are safe-guarded by appropriate electronic protective devices. The FAC licensing records are maintained indefinitely for so long as the assets or transactions involved are subject to regulations. Records are also stored at the Federal Record Center, Suitland, Maryland.

System manager(s) and address: Director, Office of Foreign Assets Control, U.S. Treasury Department, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: The majority of information concerning an individual applicant in a FAC License Record will typically have come from the applicant. On infrequent occasions some such information will have been obtained from other governmental agencies; or, during the course of an investigation by the FAC Enforcement Section.

Treasury/OS 00.121

System name: ORS Auditors' Address File—Treasury/OS

System location: Office of Computer Science, Department of the Treasury, 1435 G Street, N.W., Washington, D.C.

Categories of individuals covered by the system: All State Auditors

Categories of records in the system: Mailing Addresses & Phone Numbers.

Authority for maintenance of the system: PL 92-512 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Address File & Telephone Index. For additional routine uses see appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic Tape.

Retrievability: Indexed by state code & unique numeric suffix.

Safeguards: Secured computer room.

Retention and disposal: Maintained until file is updated by the Audit Division, Office of Revenue Sharing.

System manager(s) and address: Administrative Officer, Office of Revenue Sharing, 2401 E Street, N.W., Washington, D.C. 20226.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: State audit address lists.

Treasury/OS 00.123

System name: ORS Contractors File—Treasury/OS.

System location: 2401 'E' Street, N.W., Washington, D.C. 20226.

Categories of individuals covered by the system: Contractors offering services.

Categories of records in the system: File containing individual contracts maintained in alphabetical sequence. Contents include: contract request, justification, source recommended, sole source when applicable, experience, references, bid for services.

Authority for maintenance of the system: For Administrative Officer in providing necessary services and Budget Analysis for payment of accounts. 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: For Manager in checking services required. For Administrative Officer in securing contract. For Budget Analysis in payment for services. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: (1) Records maintained in locked file cabinet. (2) Records filed in alphabetical sequence. (3) Director's office, Managers and persons assigned to project may review file along with Contract Officers from Administrative Services, Main Treasury.

System manager(s) and address: Administrative Officer: ORS, 2401 "E" Street, N.W., Washington, D.C. 20226.

Notification procedure: Office of Revenue Sharing, 2401 'E' Street, N.W., Washington, D.C. 20226.

Record access procedures: Written request mailed to: Administrative Officer, 2401 'E' Street, N.W., Room 1564, Washington, D.C. 20226.

Contesting record procedures: See Access above.

Record source categories: Information came from consultants giving services, contract officer for agreement, budget analysis for approval of funds and payment upon approved completion of the contract.

Treasury/OS 00.124

System name: ORS Governors' Address File—Treasury/OS

System location: Systems & Operations Division, Office of Revenue Sharing, 2401 E Street, NW, Washington, DC 20226.

Categories of individuals covered by the system: All current governors.

Categories of records in the system: Mailing Addresses.

Authority for maintenance of the system: PL 92-512. 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Address File. For additional routine uses see appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: MCST Magnetic Cards.

Retrievability: Indexed by state code.

Safeguards: Secured computer room.

Retention and disposal: Maintained until file is updated.

System manager(s) and address: Administrative Officer, Office of Revenue Sharing, 2401 E Street N.W., Washington, D.C. 20226.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: Congressional Directory, State submissions.

Treasury/OS 00.125

System name: ORS Governors & Governors' Authorized Representatives List—Treasury/OS.

System location: Systems & Operations Division, Office of Revenue Sharing, 2401 E Street, NW, Washington, DC 20226.

Categories of individuals covered by the system: All current governors & their authorized representatives.

Categories of records in the system: Individual Names & Addresses.

Authority for maintenance of the system: PL 92-512. 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Address File, for additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Notebook (Hardcopy).

Retrievability: Indexed by State name.

Safeguards: Secured computer room.

Retention and disposal: Maintained until file is updated.

System manager(s) and address: Administrative Officer, Office of Revenue Sharing, 2401 E Street NW, Washington, DC 20226.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: State official and address files.

Treasury/OS 00.126

System name: Office of Revenue Sharing, Intergovernmental Relations Division mailing list—Treasury/OS.

System location: Office of Revenue Sharing, 2401 E Street, N.W., Rm. 1407, Washington, D.C. 20226.

Categories of individuals covered by the system: Not all entries on the mailing list are by name of individual; many entries are identified only by agency or organization name. Names of individuals or organizations on the reveNews mailing list were placed there by personal request, designation of the State Governor or by the screening of mailing lists of local officials of national public interest groups.

Categories of records in the system: The system identifies name and address only and is arranged in such a way as to be sorted and retrieved by State. The system is further broken down into a sub-list of frequent liaison contacts which can be retrieved separately.

Authority for maintenance of the system: 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The reveNews mailing list is used to distribute copies of reveNews, the Office of Revenue Sharing newsletter. It also is used to mail program and technical assistance materials to service agencies of State and local government and to distribute ORS publications. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The system is stored on mag. tape.

Retrievability: The system is indexed by nine digit I.D. number; the first two digits represent the State of the address and are the only parts of the I.D. which are used for retrieving address information.

Safeguards: System listings can be authorized only by written request to the Systems and Operations Division, ORS.

Retention and disposal: The records are retained indefinitely; individual records can be amended or deleted at any time. Coding sheets for address changes and deletions are forwarded periodically to the Systems and Operations Division, ORS.

System manager(s) and address: Administrative Officer: ORS, Office of Revenue Sharing, 2401 E Street, N.W., Washington, D.C. 20226.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: See Category of Individual.

Treasury/OS 00.128

System name: ORS Personnel Records—Treasury/OS.

System location: 2401 'E' Street, N.W., Washington, D.C. 20226.

Categories of individuals covered by the system: Employees.

Categories of records in the system: A current employees file, in alphabetical sequence, is maintained which includes: application, certification and appointment, promotional appraisals, performance appraisals, corrective action, absence and leave, training and development programs, recognition for outstanding performance.

A former employee's file, in alphabetical sequence, is maintained for six months at which time all material is sent to official file in Office of the Secretary, Main Treasury.

A recruitment file, in alphabetical sequence, is maintained for one year. Applicants submit forms and resumes for consideration.

Authority for maintenance of the system: Personnel records kept to furnish Office of the Secretary Personnel, Main Treasury, status and development of employees.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: For Managers and individual employees use in checking personnel records. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: (1) Records maintained in locked file cabinet. (2) Records filed in alphabetical sequence. (3) Records maintained in locked file cabinet. Managers may request the files of their employees for review and approval. Individual employees may request files for update or review. Any other requests for personnel information is handled by Office of the Secretary Personnel Division at Main Treasury.

System manager(s) and address: Administrative Officer: ORS, 2401 'E' Street, N.W.—Room 1564, Washington, D.C. 20226.

Notification procedure: Same as above.

Record access procedures: Written request mailed to: Administrative Officer: ORS, 2401 'E' Street, N.W.—Room 1564, Washington, D.C. 20226.

Contesting record procedures: See Access above.

Record source categories: Information came from individual employees, supervisors, Personnel, Main Treasury.

Treasury/OS 00.129

System name: ORS Public Affairs Personnel file—Treasury/ORS.

System location: Office of Revenue Sharing, 2401 E Street, N.W., Washington, D.C. 20226.

Categories of individuals covered by the system: Personnel, Public Affairs Division.

Categories of records in the system: Miscellaneous information relating to history of employee's activity at ORS.

Authority for maintenance of the system: 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Public Affairs staff maintain these files for their convenience. No access is provided to others. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders in drawers.

Retrievability: Indexed by name and file number.

Safeguards: No confidential information is kept in these files.

Retention and disposal: Records are maintained until employees discard them.

System manager(s) and address: Administrative Officer, Office of Revenue Sharing, 2401 E Street, N.W., Washington, D.C. 20226.

Notification procedure: Administrative Officer, 2401 E St., N.W., Washington, D.C. 20226.

Record access procedures: See Notification above.

Contesting record procedures: See Notification above.

Record source categories: Memoranda from Treasury Personnel Office. Copies of form 171s and resumes prepared by Public Affairs personnel themselves. In-house memoranda, Miscellaneous correspondence.

Treasury/OS 00.130

System name: ORS Senate, House & Governors' Address File—Treasury/OS

System location: Office of Computer Science, Department of the Treasury, 1435 G Street, NW, Washington, DC.

Categories of individuals covered by the system: All current U.S. Senators, Congressmen, & Governors.

Categories of records in the system: Mailing Addresses.

Authority for maintenance of the system: PL 92-512, 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Address File. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic Tape.

Retrievability: Indexed by state code & unique numeric suffix.

Safeguards: Secured computer room.

Retention and disposal: Maintained until file is updated by the Public Affairs Division, Office of Revenue Sharing.

System manager(s) and address: Administrative Officer, Office of Revenue Sharing, 2401 E Street N.W., Washington, D.C. 20226.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: Congressional Directory, State submissions.

Treasury/OS 00.131

System name: ORS State Attorneys General Records—Treasury/OS

System location: Office of Revenue Sharing, 2401 "E" Street, N.W., Washington, D.C. 20226.

Categories of individuals covered by the system: State Attorneys General or Assistant Attorneys General.

Categories of records in the system: Correspondence with State offices of Attorney Generals concerning State laws as they affect the expenditure of funds under the Revenue Sharing Act.

Authority for maintenance of the system: State and Local Fiscal Assistance Act of 1972 (P.L. 92-512). 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The records are used by the Chief Counsel for Revenue Sharing. They are used for determining the applicability and effect of State laws on the expenditure of funds under the Revenue Sharing Act. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Filing cabinet.

Retrievability: The system is alphabetically indexed by name of State.

Safeguards: Locked file room.

Retention and disposal: Records are updated periodically.

System manager(s) and address: Chief Counsel for Revenue Sharing, 2401 "E" St., N.W., Room 1545, Washington, D.C. 20226.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: Correspondence with State offices of Attorney Generals.

Treasury/OS 00.140

System name: Annual Performance Rating and Annual Performance Analysis—Treasury/OS.

System location: Room 3006, Main Treasury Building, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Annual Performance Rating—Present and former employees of the Office of the General Counsel. Annual Performance Analysis—Present and former attorneys of the Legal Division.

Categories of records in the system: The information in this system takes the form of ratings and performance analyses prepared by the employee's supervisors.

Authority for maintenance of the system: In accordance with the Department of the Treasury Office of the General Counsel rules for promotion and Treasury Personnel Manual, Chapter 430, 8, D(1).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by supervisory personnel in connection with promotions, wage increases, and review of employee's general work performance level. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File cabinets.

Retrievability: By year, name of employee, and bureau.

Safeguards: The files are kept in cabinets with an extremely limited number of employees permitted access (such as the General Counsel, Deputy General Counsel, Assistant General Counsels, or Chief Counsels).

Retention and disposal: The records are maintained for five years.

System manager(s) and address: Administrative Officer, Office of the General Counsel, Room 3006, Main Treasury, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Notification procedure: See System Manager above.

Record access procedures: See System Manager above.

Contesting record procedures: See System Manager above.

Record source categories: Head of the office to which the employee is assigned.

Treasury/OS 00.141

System name: Attorney Books—Treasury/OS.

System location: Room 3006, Main Treasury Building, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Present attorneys of the Legal Division of the Treasury Department.

Categories of records in the system: The information in this system takes the form of brief personal and professional information on attorneys in the Legal Division. Includes date of birth, educational and professional data, and pay grade.

Authority for maintenance of the system: Title 5, U.S. Code. In accordance with the Department of the Treasury Office of the General Counsel rules for employment and promotion.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by General Counsel, Deputy General Counsel, and Administrative Officer for reference. For additional routine use see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Desk copies for three officials.

Retrievability: By name of individual and bureau or organization.

Safeguards: Copies kept in the office of the official to whom assigned.

Retention and disposal: The records are maintained only while the attorney is employed by the Office of the General Counsel.

System manager(s) and address: Administrative Officer, Office of the General Counsel, Room 3006, Main Treasury, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Notification procedure: See System Manager above.

Record access procedures: See System Manager above.

Contesting record procedures: See System Manager above.

Record source categories: Personnel records.

Treasury/OS 00.142

System name: Attorneys Past and Present—Treasury/OS.

System location: Room 3006, Main Treasury Building, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Present and former attorneys of the Office of the General Counsel.

Categories of records in the system: The information in this system consists of materials relating to the employee's application and employment with the Office of the General Counsel. It includes letters of recommendation, hiring recommendations, and other similar information concerning the employee.

Authority for maintenance of the system: Title 5, U.S. Code. In accordance with the Department of the Treasury Office of the General Counsel rules for promotion.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by supervisory personnel in connection with promotions, wage increases, and reviewing employee's general work performance level. With respect to former employees, information used for reference purposes.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File cabinets.

Retrievability: By name of individual.

Safeguards: The files are kept in cabinets with a limited number of employees permitted access.

Retention and disposal: The records contain information on all present attorney-employees of the General Counsel's Office and on former attorney-employees for the past two years.

System manager(s) and address: Administrative Officer, Office of the General Counsel, Room 3006, Main Treasury, 15th and Pennsylvania Avenue, N.W., Washington, D.c. 20220.

Notification procedure: See System Manager above.

Record access procedures: See System Manager above.

Contesting record procedures: See System Manager above.

Record source categories: Individual on whom record is maintained, employee's supervisors, and applicant's interviewer.

Treasury/OS 00.143

System name: Chief Counsel for Revenue Sharing personnel—Treasury/OS.

System location: Chief Counsel for Revenue Sharing, 2401 'E' St., N.W., Room 1545, Washington, D.C. 20226.

Categories of individuals covered by the system: Personnel employed by the Chief Counsel for Revenue Sharing.

Categories of records in the system: The records are copies of the employee records kept for their convenience.

Authority for maintenance of the system: Employee copies of personnel records.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The records are employee copies of official Treasury Department personnel actons. They are referred to by the employee for convenience concerning personnel matters affecting him or her. Personnel may take their own records with them when they terminate their employment with the Chief Counsel's Office. The file is maintained for the convenience of the employee who wishes to maintain official Treasury Department personnel actions in a convenient location. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File cabinet.

Retrievability: By name of individual.

Safeguards: The records may only be reviewed by the employees of the Chief Counsel's Office.

Retention and disposal: The records are maintained only while the individual is employed with the Chief Counsel's Office.

System manager(s) and address: Administrative Officer, 1500 Pennsylvania Ave., N.W., Main Treasury, Room 3006, Washington, D.C. 20220.

Notification procedure: See System Manager above.

Record access procedures: Call or write the Chief Council for Revenue Sharing at the following address: Chief Counsel for Revenue Sharing, 2401 'E' St., N.W., Room 1545, Washington, D.C. 20226, Telephone—(202) 634-5182, 634-5183.

Contesting record procedures: See Access above.

Record source categories: Official Treasury Department Personnel Records—employee copies only.

Treasury/OS 00.144

System name: Civil Litigation Records—Treasury/OS.

System location: U.S. Treasury Department, Office of the General Counsel, 1500 Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Persons who are parties, plaintiff or defendant, in civil litigation (as described below) with the Treasury Department.

Categories of records in the system: This system of records contains information or documents related to litigation involving or concerning the Department or its officials. The records consist of pleadings, investigative reports, legal memoranda and related correspondence.

Authority for maintenance of the system: Title 31, U.S.C., Section 1009.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: These records are used to prosecute or defend court cases related to certain general civil litigation. For additional routine uses see Appendix AA except for tax matters or matters litigated in the U.S. Customs Courts, and to provide cooperation to the U.S. Department of Justice in marshalling facts, correlating evidence, and preparing pleadings and briefs.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: These records are maintained in file folders with index cards.

Retrievability: These records are indexed by the title of the case.

Safeguards: Access to and use of these records are limited to those persons whose official duties require such access.

Retention and disposal: The records are maintained during the pendency of the litigation and approximately one year thereafter are transferred to the Federal Records Center, Suitland, Maryland. They are destroyed by the Federal Records Center when the records are 7 years old.

System manager(s) and address: Administrative Officer, Room 3006, U.S. Treasury Department, 1500 Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Systems exempted from certain provisions of the act: Exempt under Subsection (k)(2), 31 C.F.R. 1.36.

Treasury/OS 00.145

System name: Claimants, Disbursing—Treasury/OS

System location: U.S. Treasury Department, Office of the General Counsel, 1500 Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Claimants for Disbursements by the United States.

Categories of records in the system: Correspondence, memoranda, and related material.

Authority for maintenance of the system: 50 U.S.C. App. 1705-1707, Title 31, United States Code, Department Circulars No. 145 and 830.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To process disbursements in accordance with Departmental regulations. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Boxes in Federal Records Center.

Retrievability: Name of claimant.

Safeguards: Files are in Records Center; access is limited to persons on official business.

Retention and disposal: Files kept for an indefinite period.

System manager(s) and address: Administrative Officer, Office of the General Counsel, U.S. Treasury Department, 1500 Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: Individuals and their representatives.

Treasury/OS 00.146

System name: Employee Inventions—Treasury/OS.

System location: U.S. Treasury Department, Office of the General Counsel, Opinion Section, 1500 Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Employee-Inventors.

Categories of records in the system: Information relating to inventions of employees.

Authority for maintenance of the system: 5 U.S.C., Section 301, 31 CFR Part 7.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used in connection with Government and employee rights in employee inventions, disclosure to U.S. Patent Office. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Legal file in file cabinet.

Retrievability: By name of inventor-employee.

Safeguards: File cabinet is in office of attorney, but unlocked.

Retention and disposal: Indefinite as precedent files.

System manager(s) and address: Administrative Officer, Office of the General Counsel, Treasury Department, 1500 Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Notification procedure: See System Manager.

Record access procedures: See System Manager.

Contesting record procedures: See System Manager.

Record source categories: Employees involved.

Treasury/OS 00.147

System name: Employee Promotion Information—Treasury/OS.

System location: Room 2314, Main Treasury Building.

Categories of individuals covered by the system: Past and present employees of the Office of the Assistant General Counsel for International Affairs.

Categories of records in the system: The information in this system of records takes the form of recommendations for promotion of various past and present employees in the office.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Internal memoranda and accompanying forms circulated to Treasury Department officials authorized to grant promotions. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Typed memoranda and required personnel forms.

Retrievability: The file is labeled "Personnel" and contains separate memoranda designating the employee's name after the heading "subject".

Safeguards: The file is kept in a locked file cabinet. A limited number of employees retain the combination to the file.

Retention and disposal: The records date back to 1969, the time of the first promotion arising under the last Assistant General Counsel for International Affairs.

System manager(s) and address: Administrative Officer, Office of the General Counsel, Room 3006, Department of the Treasury, 1500 Pennsylvania Avenue, N.W., Washington, D.C. 20020.

Notification procedure: See System Manager above.

Record access procedures: See System Manager above.

Contesting record procedures: See System Manager above.

Record source categories: These records take the form of memoranda drafted by the Assistant General Counsel for International Affairs, recommending various members of his staff for grade and/or step increases.

Treasury/OS 00.148

Employment Applications—Treasury/OS.

System location: Room 3006, Main Treasury Building, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Applicants for employment as attorneys within the Office of the General Counsel.

Categories of records in the system: Contains original or copy of Standard Form 171, resume, and any correspondence between the applicant and the General Counsel, Deputy General Counsel and Administrative Officer.

Authority for maintenance of the system: In accordance with the Department of the Treasury Office of the General Counsel rules relating to employment.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used in making decisions on employment of attorneys by the Office of the General Counsel, responding to inquiries from members of Congress regarding constituents, and for reference purposes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File cabinets.

Retrievability: By name of individual.

Safeguards: The files are kept in cabinets with a limited number of employees permitted access.

Retention and disposal: The records are maintained for a period of two years unless the applicant is subsequently employed by the Department. These latter records are transfered to the Treasury Department/OS; Attorneys Past and Present system.

System manager(s) and address: Administrative Officer, Office of the General Counsel, Room 3006, Main Treasury, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Notification procedure: See System Manager above.

Record access procedures: See System Manager above.

Contesting record procedures: See System Manager above.

Record source categories: Individual on whom the record is maintained and other interested parties at the initiation of the individual concerned.

Treasury/OS 00.149

System name: Foreign Assets Control Legal Files—Treasury/OS

System location: 1331 G Street N.W., Room 504, Washington, D.C. 20220.

Categories of individuals covered by the system: Individuals who have corresponded with (or been the subject of correspondence) regarding legal matters and regulations administered by the Office of Foreign Assets Control; and individuals who have been criminally prosecuted, or involved in civil litigation (as plaintiff or defendant) with the United States, in matters involving statutes and/or regulations administered by the Office of Foreign Assets Control (e.g.: criminal prosecutions for violation of statutes and regulations; suits to enjoin the Office of Foreign Assets Control from holding bank accounts or other property in a blocked status; suits to compel the Office of Foreign Assets Control to issue licenses for import of merchandise from a designated country; suits seeking to have statutes and regulations administered by Foreign Assets Control held unconstitutional, in fact or as applied; etc.).

Categories of records in the system: Copies of the pleading and all other legal documents connected with civil litigation and criminal proceedings involving statutes and regulations administered by the Office of Foreign Assets Control, including memoranda of law, drafts of legal briefs, and other material prepared by the FAC legal staff for use in such litigation; material obtained pursuant to discovery under applicable court rules (depositions, written interrogatories, etc.); copies of final orders, decrees, and opinions of courts in such litigation, and copies of correspondence relating to activities of any party to such litigation (containing information relevant to the issues involved in the litigation); or other general correspondence regarding the Office of Foreign Assets Control legal matters.

Authority for maintenance of the system: Statutes: Section 5(b) of the Trading with the Enemy Act (50 USC, App. Section 5(b)).

Section 5 of the United Nations Participation Act (22 USC, Section 287c). Section 620 (a) of the Foreign Assistance Act of 1961 (22 USC 2370 (a)). Executive Orders: E.O. 9193; E.O. 9989; E.O. 11322; E.O. 11419: Proc. 3447. Regulations: Foreign Funds Control Regulations, (31 CFR, Part 520); Foreign Assets Control Regulations, (31 CFR, Part 500); Cuban Assets Control Regulations, (31 CFR, Part 515); Rhodesian Sanctions Regulations, (31 CFR, Part 530); Transactions Control Regulations, (31 CFR, Part 505).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) Preparation and conduct of litigation in process by the Justice Department. (2) Research source for the FAC Enforcement section. (3) Source of information for the FAC Licensing section in consideration of applications for FAC license. (4) Source of legal precedents and for other legal research by the FAC Legal section. (5) Referral to Department of Justice in cases involving Statutes and Regulations administered by the Office of Foreign Assets Control. (6) Disclosure to U.S. Customs Service in cases which jointly involve similar matters, or which involve, jointly, both the FAC and the U.S. Customs Service. (7) Disclosure to the State Department in connection with foreign policy aspects of legal problems involved. (8) Disclosure to U.S. Department of Commerce where cases involve matters of interest to, or which are in the jurisdiction of that Department. (9) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: The Foreign Assets Control Litigation records consist of documents in folders which are stored in file cabinets secured by approved detachable combination locks. The files are retrieved by name of the individual. During normal working hours, the room where these records are kept is manned by persons who are instructed not to allow unauthorized persons into the file area, nor to disclose or release any material to persons other than FAC personnel. During off-duty hours, the room in which the records are kept is safe-guarded by appropriate electronic protective devices.

The Litigation records are maintained indefinitely as research source and legal precedent.

System manager(s) and address: Administrative Officer, Room 3006, U.S. Treasury Department, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: Pleadings and other materials filed during course of a legal proceeding; discovery obtained pursuant to applicable court rules; materials obtained from FAC Enforcement Section; material obtained pursuant to requests made on other Federal agencies; orders, opinions, decisions of courts.

Treasury/OS 00.151

System name: Gifts to the United States—Treasury/OS.

System location: U.S. Treasury Department, Office of the General Counsel, 1500 Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Donors of inter vivos and testamentary gifts to the United States.

Categories of records in the system: Correspondence, copies of wills and court proceedings, and other material related to gifts to the United States.

Authority for maintenance of the system: 50 U.S.C. 1151-1156. Department Circular No. 865 (revised).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To process gifts to the United States and claims against such gifts in accordance with Departmental regulations. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Boxes in Federal Records Centers.

Retrievability: Name of donor.

Safeguards: Files are in Records Center; access is limited to persons on official business.

Retention and disposal: Files kept for an indefinite period.

System manager(s) and address: Administrative Officer, Office of the General Counsel, U.S. Treasury Department, 1500 Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: Individuals, executors, administrators and other involved persons.

Treasury/OS 00.152

System name: General Counsel Personnel Files—Treasury/OS.

System location: Room 3006, Main Treasury Building, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Present and former attorneys and applicants for positions within the Office of the General Counsel.

Categories of records in the system: The information in this system consists of applications, letters of recommendation and other information concerning the qualifications of the individuals within the category.

Authority for maintenance of the system: Title 5, U.S. Code. In accordance with statutory and Department of the Treasury Office of the General Counsel rules for Presidential appointments, hiring and promotions.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by the General Counsel and other senior Department officials in connection with promotions and hiring of senior attorneys within the General Counsel's office. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File cabinets.

Retrievability: Filed alphabetically.

Safeguards: The files are kept in locked cabinets with a limited number of employees permitted access.

Retention and disposal: The records include individuals who are or have been employed or sought employment with the General Counsel's Office since 1956.

System manager(s) and address: Administrative Officer, Office of the General Counsel, Room 3006, Main Treasury, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Notification procedure: See System Manager above.

Record access procedures: See System Manager above.

Contesting record procedures: See System Manager above.

Record source categories: Individual concerned, letters of recommendation, and other data submitted pursuant to the General Counsel's and the individual's request.

Treasury/OS 00.153

System name: Personnel: General Counsel, Deputy General Counsels and Assistant General Counsels—Treasury/OS.

System location: Room 3006, Main Treasury Building, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Present and past General Counsels, Deputy General Counsels, and Assistant General Counsels.

Categories of records in the system: Contains information on the individual's tenure in office: date of appointment and resignation.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used for reference purposes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Files in Office of the Administrative Officer, Office of the General Counsel.

Retrievability: By title of position and name of individual.

Safeguards: Administrative Officer controls access to the system.

Retention and disposal: Information retained on officials for indefinite periods.

System manager(s) and address: Administrative Officer, Office of the General Counsel, Room 3006, Main Treasury, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Notification procedure: See System Manager above.

Record access procedures: See System Manager above.

Contesting record procedures: See System Manager above.

Record source categories: Personnel records.

Treasury/OS 00.154

System name: Private relief bill system—Treasury/OS.

System location: Office of the General Counsel, Room 1417, Treasury Department, 1500 Pennsylvania Ave., N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Individuals who have had private relief bills introduced in Congress on their behalf.

Categories of records in the system: Congressional committee or OMB request for Treasury views on proposed legislation plus comments on proposal from offices or bureaus of Treasury.

Authority for maintenance of the system: 5 U.S.C. 301. A function of the Office of the General Counsel is to handle and coordinate legislative work that concerns Treasury.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: System is used to furnish reports on proposed legislation to Congress or OMB. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Indexed on 5 by 8 card by bill number but cross-indexed to individual's name. Complete file is kept by bill number and Congress. Permanent file.

System manager(s) and address: Administrative Officer, Room 3006, Department of the Treasury, 1500 Pennsylvania Ave., N.W., Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: Sources of the request are usually Congressional committees or OMB. Other information comes from Treasury bureau or office concerned in matter and from the individual concerned.

Treasury/OS 00.156

System name: Tax Court Judge Applicants—Treasury/OS.

System location: Room 3000, Main Treasury Building, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Individuals requesting consideration for appointment to Tax Court judgeships.

Categories of records in the system: Information concerning the qualification of individuals for appointment to the Tax Court.

Authority for maintenance of the system: In accordance with the requirements for Presidential appointments, 26 U.S.C. 7443.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by senior Treasury Department officials in making recommendations to the President on appointments to the Tax Court. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File cabinets.

Retrievability: By name of individual.

Safeguards: The files are kept in locked cabinets with a limited number of employees permitted access.

Retention and disposal: The records relating to individuals date from 1966.

System manager(s) and address: Administrative Officer, Office of the General Counsel, Room 3006, Main Treasury, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: Individual concerned and letters of recommendation from other individuals submitted at the request of the individual and/or the Department.

Treasury/OS 00.157

System name: Employee Records Cards—Treasury/OS.

System location: Room 3006, Main Treasury Building, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Present and former employees of the Office of the General Counsel.

Categories of records in the system: The information in this system consists of dates of personnel actions, pay increases, promotions, reassignments, change of rolls, retirement, transfer and resignation.

Authority for maintenance of the system: Federal Personnel Manual, Chapter 295 (Standard Form 7-B).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by supervisory personnel in connection with promotions, wage increases, and for verification of employment. For additional routine uses, see Treasury Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File cabinets.

Retrievability: By name of individual.

Safeguards: The files are kept in cabinets with a limited number of employees permitted access.

Retention and disposal: The records are retained on all present and former employees of the Office of the General Counsel.

System manager(s) and address: Administrative Officer, Office of the General Counsel, Room 3006, Main Treasury, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Notification procedure: Same as above.

Record access procedures: Same as above.

Contesting record procedures: See Access above.

Record source categories: Standard Form 171 and Standard Form 50.

Treasury/OS 00.160

System name: Abandoned Enrollment Applications—Treasury/OS.

System location: Washington National Record Center, National Archives and Record Service, General Services Administration, Washington, D.C. 20409.

Categories of individuals covered by the system: Individuals who applied for enrollment to practice before the Internal Revenue Service but subsequently abandoned their applications.

Categories of records in the system: Application for enrollment to practice before the Internal Revenue Service and, in some cases, information regarding individuals' fitness for enrollment.

Authority for maintenance of the system: 31 U.S.C. 1026.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Transfer of information to Federal law enforcement authorities for investigation and possible criminal prosecution, civil court action, administrative proceeding or regulatory order with respect to apparent violation of Federal law or regulations. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Original application form and information relating to it fastened to each other.

Retrievability: This system is indexed by name of applicant.

Safeguards: Those safeguards in effect at Federal Record Center.

Retention and disposal: Permanent retention.

System manager(s) and address: Director of Practice, U.S. Department of the Treasury, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: Information contained in this system may have been provided by: (1) Applicant, (2) Individuals, (3) Internal Revenue Service, (4) Other government agencies, (5) Professional organizations.

Treasury/OS 00.161

System name: Applicant Appeal Files—Treasury/OS.

System location: (1) U.S. Department of the Treasury, Office of Director of Practice, 1325 G Street, N.W., Suite 720, Washington, D.C. 20220. (2) Washington National Record Center, National Archives and Record Service, General Services Administration, Washington, D.C. 20409.

Categories of individuals covered by the system: Individuals whose applications for enrollment to practice before the Internal Revenue Service have been denied and who have appealed such denial to the Director of Practice.

Categories of records in the system: Information relating to individuals' applications and eligibility for enrollment to practice before the Internal Revenue Service.

Authority for maintenance of the system: 31 U.S.C. 1026.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) Decision on appeal by Director of Practice from denial of application by the Internal Revenue Service. (2) Decision by Secretary of Treasury on appeal from Director of Practice's sustaining of denial of application for enrollment. (3) For individuals, denial of whose applications for enrollment is reversed by the Director of Practice, for use in connection with subsequent determinations by the Director of Practice as to whether violation of the regulations governing practice before the Internal Revenue Service has occurred. (4) Transfer of information to Federal law enforcement authorities for investigation and possible criminal prosecution, civil court action, administrative proceeding or regulatory order with respect to apparent violation of Federal law or regulations. (5) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: In file folders.

Retrievability: This system is indexed by name of individual.

Safeguards: This Office keeps all access doors locked when Office personnel are not present. Office personnel are cautioned against unnecessary exposure of information contained in Office files. Security investigations are conducted with respect to all Office of Director of Practice personnel.

Retention and disposal: Dispose 25 years after case closed; transfer to Federal Record Center 5 years after case closed except for a random selection of a small number of disciplinary cases to be made at 5-year intervals for retention.

System manager(s) and address: Director of Practice, U.S. Department of the Treasury, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Systems exempted from certain provisions of the act: Exempt under Subsection (k)(2), 31 C.F.R. 1.36.

Treasury/OS 00.162

System name: Closed Files containing Derogatory Information about individuals' practice before the Internal Revenue Service and files of attorneys and certified public accountants formerly enrolled to practice—Treasury/OS.

System location: (1) U.S. Department of the Treasury, Office of Director of Practice, 1325 G Street, N.W., Suite 720, Washington, D.C. 20220. (2) Washington National Records Center, National Archives and Record Service, General Services Administration, Washington, D.C. 20409.

Categories of individuals covered by the system: Individuals eligible to practice before the Internal Revenue Service (attorneys, certified public accountants and enrolled agents).

Categories of records in the system: Information relating to individuals' enrollments to practice before the Internal Revenue Service and, derogatory and other information regarding such practice.

Authority for maintenance of the system: 31 U.S.C. 1026.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) Determinations by the Director of Practice as to whether violations of the regulations governing practice before the Internal Revenue Service have occurred. (2) Transfer of information to Office of Chief Counsel, Internal Revenue Service so that that Office can represent the Director of Practice in hearings before administrative law judges held to determine whether violations of the regulations governing practice before the Internal Revenue Service have occurred and, if so, the appropriate disciplinary action. (3) Transfer of information to Federal law enforcement authorities for investigation and possible criminal prosecution, civil court action, administrative proceeding, or regulatory order with respect to apparent violations of Federal law or regulations. (4) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: In file folders.

Retrievability: This system is indexed by name of individual.

Safeguards: The Office keeps all access doors locked when Office personnel are not present. Office personnel are cautioned against unnecessary exposure of information contained in Office files. Security investigations are conducted with respect to all Office of Director of Practice personnel.

Retention and disposal: Dispose 25 years after case closed; transfer to Federal Record Center 5 years after case closed except for a random selection of a small number of disciplinary cases to be made at 5-year intervals for retention.

System manager(s) and address: Director of Practice, U.S. Department of the Treasury, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Systems exempted from certain provisions of the act: Exempt under Subsection (k)(2), 31 C.F.R. 1.36.

Treasury/OS 00.163

System name: Derogatory Information (No Action)—Treasury/OS.

System location: U.S. Department of the Treasury, Office of Director of Practice, 1325 G Street, N.W., Suite 720, Washington, D.C. 20220.

Categories of individuals covered by the system: Attorneys, certified public accountants, enrolled agents and others.

Categories of records in the system: Such files contain derogatory information concerning attorneys, certified public accountants, and enrolled agents and others over whom there is no current jurisdiction, where such information is subject to future development, or where such information is not sufficiently serious to be currently considered a case file.

Authority for maintenance of the system: 31 U.S.C. 1026.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) Determinations by the Director of Practice as to whether violations of the regulations

governing practice before the Internal Revenue Service have occurred. (2) Transfer of information to Federal law enforcement authorities for investigation and possible criminal prosecution, civil court action, administrative proceeding or regulatory order with respect to apparent violation of Federal law or regulations. (3) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: In file folders.

Retrievability: This system is indexed by name of individual.

Safeguards: This Office keeps all access doors locked when office personnel are not present. Office personnel are cautioned against unnecessary exposure of office files. Security investigations are conducted with respect to all Office of Director of Practice personnel.

Retention and disposal: Dispose after 5 years by burning.

System manager(s) and address: Director of Practice, U.S. Department of the Treasury, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Systems exempted from certain provisions of the act: Exempt under Subsection (k)(2), 31 C.F.R. 1.36

Treasury/OS 00.164

System name: Present Suspensions and Disbarments Resulting From Administrative Proceeding-Treasury/OS.

System location: (1) U.S. Department of the Treasury, Office of Director of Practice, 1325 G Street, N.W., Suite 720, Washington, D.C. 20220. (2) Washington National Record Center, National Archives and Record Service, General Services Administration, Washington, D.C. 20409.

Categories of individuals covered by the system: Individuals formerly eligible to practice before the Internal Revenue Service but now either suspended or disbarred from such practice after being accorded due notice and opportunity for hearing.

Categories of records in the system: Information relating to individuals' enrollment to practice before the Internal Revenue Service, derogatory and other information regarding such practice, and record of proceedings.

Authority for maintenance of the system: 31 U.S.C. 1026.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) Maintain record of those who have been suspended or disbarred from practice before the Internal Revenue Service and reasons for such action. (2) Determinations by the Director of Practice as to whether suspended or disbarred individuals' petition for reinstatement to practice before the Internal Revenue Service shall be granted. (3) Transfer of information to Federal law enforcement authorities for investigation and possible criminal prosecution, civil court action, administrative proceeding or regulatory order with respect to apparent violation of Federal law. (4) Transfer of information regarding suspension or disbarment of attorneys, certified public accountants and enrolled agents to professional organizations. (5) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: In file folders.

Retrievability: This system is indexed by name of individual.

Safeguards: This Office keeps all access doors locked when Office personnel are not present. Office personnel are cautioned against unnecessary exposure of information contained in Office files. Security investigations are conducted with respect to all Office of Director of Practice personnel.

Retention and disposal: Dispose 25 years after case closed; transfer to Federal Record Center 5 years after case closed except for a random selection of a small number of disciplinary cases to be made at 5-year intervals for retention.

System manager(s) and address: Director of Practice, U.S. Department of the Treasury, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Systems exempted from certain provisions of the act: Exempt under Subsection (k)(2), 31, C.F.R. 1.36.

Treasury/OS 00.165

System name: General Correspondence File—Treasury/OS.

System location: U.S. Department of the Treasury, Office of Director of Practice, 1325 G Street, N.W., Suite 720, Washington, D.C. 20220.

Categories of individuals covered by the system: General public.

Categories of records in the system: General inquiries and comments from the general public.

Authority for maintenance of the system: 31 U.S.C. 1026.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Provide answers to inquiries or other information regarding the operation of this Office. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: In file folder.

Retrievability: Correspondence is indexed alphabetically by writer.

Safeguards: This Office keeps all access doors locked when Office personnel are not present. File cabinets in which correspondence is stored are locked during nonbusiness hours. Security investigations are conducted with respect to all Office of Director of Practice personnel.

Retention and disposal: Destroyed after 3 years.

System manager(s) and address: Director of Practice, U.S. Department of the Treasury, Washington, D.C. 20220.

Notification procedure: Inquiries should be addressed to: Office of Director of Practice, U.S. Department of the Treasury Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: Information contained in records of this system has been provided by the writers of correspondence contained in the system.

Treasury/OS 00.166

System name: Inventory—Treasury/OS.

System location: U.S. Department of the Treasury, Office of Director of Practice, 1325 G Street, N.W., Suite 720, Washington, D.C. 20220.

Categories of individuals covered by the system: Attorneys, certified public accountants and enrolled agents about which alleged misconduct in their practice before the Internal Revenue Service is being reviewed and evaluated.

Categories of records in the system: Information relating to individuals' enrollment or eligibility to practice before the Internal Revenue Service, and information relating to their conduct in such practice.

Authority for maintenance of the system: 31 U.S.C. 1026.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) Determinations by the Director of Practice as to whether violations of the regulations governing practice before the Internal Revenue Service have occurred. (2) Use of information (ordinarily by Office of Chief Counsel,

Internal Revenue Service, and the Department of Justice) at administrative hearings and court proceedings relating to individuals' eligibility to represent taxpayers before the Internal Revenue Service. (3) Transfer of information to Federal law enforcement authorities for investigation and possible criminal prosecution, civil court action, administrative proceeding or regulatory order with respect to apparent violations of Federal law or regulations. (4) Transfer of information to Internal Revenue Service for investigation with respect to apparent violation of the regulations governing practice before the Internal Revenue Service. (5) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: In file folders.

Retrievability: This system is indexed by name of individual.

Safeguards: This Office keeps all access doors locked when Office personnel are not present. File cabinets in which this system is stored are locked during nonbusiness hours. Security investigations are conducted with respect to all Office of Director of Practice personnel.

Retention and disposal: Dispose 25 years after case closed; transfer to Federal Record Center 5 years after case is closed except for a random selection of a small number of disciplinary cases to be made at 5-year intervals for retention.

System manager(s) and address: Director of Practice, U.S. Department of the Treasury, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Systems exempted from certain provisions of the act: Exempt under Subsection (k)(2), 31 C.F.R. 1.36.

Treasury/OS 00.167

System name: Register of Docketed Cases and Applicant Appeals—Treasury/OS.

System location: U.S. Department of the Treasury, Office of Director of Practice, 1325 G Street, N.W., Suite 720, Washington, D.C. 20220.

Categories of individuals covered by the system: Individuals against whom complaints have been filed with the Office of Director of Practice pursuant to Title 31, CFR, Subpart C, Section 10.54, for alleged violation of the regulations governing practice before the Internal Revenue Service and individuals who have appealed to the Director of Practice the denials of their application for enrollment to practice before the Internal Revenue Service.

Categories of records in the system: Record of actions taken in cases docketed for hearings and record of actions taken on appeals from denials of applications for enrollment.

Authority for maintenance of the system: 31 U.S.C. 1026.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Office of Director of Practice administrative recordkeeping. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: In loose-leaf binder.

Retrievability: This system is indexed by complaint number and by name of the individual.

Safeguards: This Office keeps all access doors locked when Office personnel are not present. Security investigations are conducted with respect to all Office of Director of Practice personnel.

Retention and disposal: Permanent retention.

System manager(s) and address: Director of Practice, U.S. Department of the Treasury, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: Information contained in records in this system has been provided by: (1) this office, (2) administrative law judges, (3) Internal Revenue Service.

Treasury/OS 00.168

System name: Resigned Enrolled Agents (action pursuant to 31 CFR, Section 10.55(b))—Treasury/OS.

System location: U.S. Department of the Treasury, Office of Director of Practice, 1325 G Street, N.W., Suite 720, Washington, D.C. 20220.

Categories of individuals covered by the system: Those individuals who were formerly enrolled to practice before the Internal Revenue Service and who resigned from such enrollment.

Categories of records in the system: Information relating to individuals' applications for enrollment to practice before the Internal Revenue Service and derogatory and other information regarding such practice.

Authority for maintenance of the system: 31 U.S.C. 1026.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) Use in connection with resigned enrollees' future applications for enrollment to practice before the Internal Revenue Service. (2) In cases where resigned enrollees are again enrolled to practice before the Internal Revenue Service, for use in connection with determinations as to whether violations of the regulations governing such practice have occurred. (3) Transfer of information to Federal law enforcement authorities for investigation and possible criminal prosecution, civil court action, administrative proceeding, or regulatory order with respect to apparent violation of Federal law or regulations. (4) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: In file folders.

Retrievability: This system is indexed by name of individual.

Safeguards: This Office keeps all access doors locked when Office personnel are not present. Office personnel are cautioned against unnecessary exposure of information contained in Office files. Security investigations are conducted with respect to all Office of Director of Practice personnel.

Retention and disposal: Dispose 25 years after case closed; transfer to Federal Records Center 5 years after case closed except for a random selection of a small number of disciplinary cases to be made at 5-year intervals for retention.

System manager(s) and address: Director of Practice, U.S. Department of the Treasury, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Systems exempted from certain provisions of the act: Exempt under Subsection (k)(2), 31 C.F.R. 1.36.

Treasury/OS 00.169

System name: Roster of Former Enrollees—Treasury/OS.

System location: U.S. Department of the Treasury, Office of Director of Practice, 1325 G Street, N.W., Suite 720, Washington, D.C. 20220.

Categories of individuals covered by the system: Attorneys and certified public accountants who were enrolled to practice before the Internal Revenue Service prior to enactment of the Agency Practice Act, Title 5 U.S. Code, Section 500.

Categories of records in the system: This system contains individuals' names, addresses, professions, the dates of their enrollments and the expiration dates of such enrollments.

Authority for maintenance of the system: 31 U.S. Code 1026.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) Determinations as to whether the Office of Director of Practice has jurisdiction over an individual's violation of the regulations governing practice before the Internal Revenue Service. (2) Use of information (ordinarily by Office of Chief Counsel, Internal Revenue Service, and the Department of Justice) at administrative and court proceedings relating to individuals' eligibility to represent taxpayers before the Internal Revenue Service. (3) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: In loose-leaf binders.

Retrievability: This system is indexed by profession (i.e., attorney or certified public accountant) and by the individual's name.

Safeguards: This Office keeps all access doors locked when Office personnel are not present. Office personnel are cautioned against unnecessary exposure of office files. Security investigations are conducted with respect to all Office of Director of Practice personnel.

Retention and disposal: Permanent retention.

System manager(s) and address: Director of Practice, U.S. Department of the Treasury, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: Information contained in records in this system has been provided by: (1) Individuals, (2) Internal Revenue Service.

Treasury/OS 00.170

System name: Present suspensions from practice before the Internal Revenue Service.

System location: (1) U.S. Department of the Treasury, Office of Director of Practice, 1325 G Street, N.W., Suite 720, Washington, D.C. 20220. (2) Washington National Records Center, National Archives and Record Service, General Services Administration, Washington, D.C. 20409.

Categories of individuals covered by the system: Attorneys, certified public accountants and enrolled agents who have offered their consent to voluntary suspension from practice before the Internal Revenue Service.

Categories of records in the system: Information relating to individuals' enrollment or eligibility to practice before the Internal Revenue Service, derogatory and other information relating to their conduct in such practice.

Authority for maintenance of the system: 31 U.S.C. 1026.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) Determinations by the Director of Practice as to whether violations of the regulations governing practice before the Internal Revenue Service have occurred. (2) Determinations by the Director of Practice as to whether suspended practitioners' petitions for reinstatement to practice should be granted. (3) Transfer of information to Federal law enforcement authorities for investigation and possible criminal prosecution, civil court action, administrative proceeding or regulatory order with respect to apparent violation of Federal law or regulations. (4) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: In file folders.

Retrievability: This system is indexed by name of individual.

Safeguards: This Office keeps all access doors locked when Office personnel are not present. Office personnel are cautioned against unnecessary exposure of Office files and are instructed to lock file cabinets containing these records during nonbusiness hours. Security investigations are conducted with respect to all Office of Director of Practice personnel.

Retention and disposal: Dispose 25 years after case closed; transfer to Federal Records Center 5 years after case closed except for a random selection of a small number of disciplinary cases to be made at 5-year intervals for retention.

System manager(s) and address: Director of Practice, U.S. Department of the Treasury, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Systems exempted from certain provisions of the act: Exempt under Subsection (k)(2), 31 C.F.R. 1.36

Treasury/OS 00.182

System name: Special Personnel Working Files, Office of the Assistant Secretary for Tax Policy—Treasury/OS.

System location: Rooms 3112, 3122, 3108, 4217, 4045, 3064, 4222, 4028, 4004, 4040, Main Treasury Building, 15th Street and Pennsylvania Avenue, N.W. Washington, D.C. 20220, and Room 1036, 1425 K Street, N.W., Washington, D.C. 20225.

Categories of individuals covered by the system: Past, present, and prospective employees and consultants of the Office of the Assistant Secretary and of certain other tax-related governmental institutions.

Categories of records in the system: Correspondence with and about past and prospective employees; memoranda of conversations; time, leave, pay, promotion records, evaluation records; et cetera.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by supervisory and administrative personnel of the Office of the Assistant Secretary as supplementary and complementary to official Departmental personnel files to record personnel actions regarding employees of the Office and as a reference tool in preparation for or review of anticipated or past personnel actions and for advising others, both within and without the Government, as to the qualifications and performance of past, present, and prospective employees of the Office of the Assistant Secretary. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The records are maintained on paper in writing.

Retrievability: The records are indexed by the name of the person with respect to whom the record is maintained.

Safeguards: Various.

Retention and disposal: Indefinite.

System manager(s) and address: Assistant Secretary for Tax Policy, Deputy Assistant Secretary for Tax Policy (Tax Legislation), Deputy Assistant Secretary for Tax Policy (Tax Analysis), Tax Legislative Counsel, Deputy Tax Legislative Counsel, Associate Tax Legislative Counsel, International Tax Counsel, Deputy International Tax Counsel, Associate Director Office of Tax Analysis, Director Office of Industrial Economics.

Notification procedure: Freedom of Information Officer, Office of the Assistant Secretary for Tax Policy, Room 3064, Main Treasury Building, 15th Street and Pennsylvania Avenue, N.W., Washington, D.C. 20220, with sufficient identification as to individual's identity and information sufficient to determine within which office the records would most likely be located and the probable age of the record.

Record access procedures: By application to Freedom of Information Officer.

Contesting record procedures: By application to Freedom of Information Officer.

Record source categories: The records are developed from correspondence, memoranda, and official Treasury Department personnel forms.

Treasury/OS 00.183

System name: Private Relief Tax Bill Files—Office of the Assistant Secretary for Tax Policy—Treasury/OS.

System location: Room 4028, Main Treasury Building, 15th Street and Pennsylvania Avenue, Washington, D.C. 20220.

Categories of individuals covered by the system: Individuals who have had private relief tax bills introduced in Congress on their behalf.

Categories of records in the system: Congressional Committee or OMB request for Treasury views on proposed legislation, plus comments on proposal from offices or bureaus of Treasury, plus the Internal Revenue Service data concerning the issues involved and that unit's recommendation, and the report of the Treasury on the Bill.

Authority for maintenance of the system: 5 U.S.C. 301. A function of the Office of the Assistant Secretary for Tax Policy and the Office of the Tax Legislative Counsel is to coordinate legislative work in the tax field that concerns Treasury and to advise the Secretary with respect thereto.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: System is used to furnish reports and testimony to Congress or OMB. For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: System manager(s) and address: Tax Legislative Counsel, Room 4028, Main Treasury Building, 15th Street and Pennsylvania Avenue, Washington, D.C. 20220.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: The Departmental Disclosure Office, Room 1314 Main Treasury Building, 15th and Pennsylvania Avenue, NW, Washington, DC 20220.

Contesting record procedures: Submit requests to the Departmental Disclosure Officer at the above address.

Record source categories: Principally the Congress and the Internal Revenue Service.

Billing Code 4810-25

TREASURY/OS 00.1901

System name: General Allegations and Investigative Records System—Treasury/OS.

System location: Office of the Inspector General, Main Treasury Building, Washington, D.C. 20220

Categories of individuals covered by the system: A) Current and former employees of the Department of Treasury and such other persons whose association with current and former employees relate to the alleged violations of the Department's rules of conduct, the Civil Service merit system, or any other criminal or civil misconduct, which affects the integrity or facilities of the Department of Treasury. The names of individuals and the files in their names may be:

1) received by referral; or

2) initiated at the discretion of the Office of the Inspector General in the conduct of assigned duties.

B) Individuals who are: witnesses; complainants; confidential or non-confidential informants; suspects; defendants; parties who have been identified by the Office of the Inspector General or by other agencies; constituent units of the Department of Treasury and members of the general public in connection with the authorized functions of the Inspector General.

C) Current and former senior Treasury and bureau officials who are the subject of investigations initiated and conducted by the Office of the Inspector General.

Categories of records in the system: Letters, memoranda, and other documents citing complaints of alleged criminal or administrative misconduct. Investigative files which include: reports of investigations to resolve allegations of misconduct or violations of law with related exhibits, statements, affidavits or records obtained during investigations; transcripts and documentation concerning requests and approval for consensual (telephone and consensual non-telephone) monitoring; reports from or to other law enforcement bodies; prior criminal or noncriminal records of individuals as it relates to the investigations; reports of actions taken by management personnel regarding misconduct and reports of legal actions resulting from violations of statutes referred to the Department of Justice for prosecution.

Authority for maintenance of the system: Reorganization Plan No. 26 of 1950 and Treasury Department Order No. 256, dated July 18, 1978.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system may be made to the Secretary and Deputy Secretary to the Treasury Department, to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation and to the Office of the General Counsel in connection with requests for legal advice; and to authorized investigative offices of the Treasury Department, constituent units or other Federal Agencies. Disclosure may be made during judicial processes. Routine disclosure may be made to the extent provided by law or regulation and as necessary to report apparent violations of law to appropriate law enforcement agencies. Routine disclosure may be made to authorized investigative offices of other Federal Agencies in connection with security procedures. Disclosures to those officers and employees of the Department of Treasury who have a need for the records such as those disclosures required for the administration of the Freedom of Information Act (5 U.S.C. 552) as amended. For additional routine uses see the Appendix entitled "Department of the Treasury Appendix Additional Routine Uses".

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records in file jackets maintained in locked safes.

Retrievability: By name and case number. Access to the physical files containing records is by case number obtained from alphabetized card indices.

Safeguards: Records are maintained in locked safes and all access doors are locked when office is vacant. The records are available to personnel in the Office of the Inspector General on a need-to-know basis, each of whom have appropriate security clearances.

Retention and disposal: Investigative files are stored on-site for 3 years and indices to those files are stored on-site for 5 years. Upon expiration of their respective retention periods the investigative files and their indices are transferred to the Federal Records Center for retention and in most instances destroyed by burning, maceration, or pulping when 20 years old.

System manager(s) and address: Inspector General, Main Treasury Building, Washington, D.C. 20220.

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed under the Privacy Act for purposes of inspection or for the contest of content of records.

Contesting record procedures: See access above.

Record source categories: This system contains investigatory material whose sources need not be reported.

Treasury/OS 00.191

System name: Buildings Management Employee Folder—Treasury/OS.

System location: Office of Buildings Manager.

Categories of individuals covered by the system: All employees of Buildings Management—includes all shops as follows: Cabinet shop, Elevator shop, Electric shop, Engineer shop, Day and Night Maintenance, Paint shop, Locksmith.

Categories of records in the system: Each employee has individual folder containing items such as Letters of Counseling, suspensions, awards, step increases, evaluations as well as copies of official personnel actions such as SF-52's.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Normally a storage file for information purposes. Can be examined during periods of potential promotion, training needs, proposed advise actions. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Normal 8 x 10 letter size paper.

Retrievability: By name.

Safeguards: Maintained in locked file cabinet utilizing Treasury "Open" "Closed" security program.

Retention and disposal: Maintained until employee leaves Treasury service—purged annually to remove adverse comments not followed through with formal actions.

System manager(s) and address: Buildings Manager, The Department of the Treasury, 15th & Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Notification procedure: The Department of the Treasury, 15th & Pennsylvania Avenue, N.W., Washington, D.C. 20220, Attn: Buildings Manager. Only personal identification required to review his own personal record.

Record access procedures: Simple presentation to the individual at the Buildings Management Office during normal work hours is sufficient to review personal record. Office is located in Main Treasury, Washington, D.C. 20220.

Contesting record procedures: See System Manager above.

Record source categories: Information received from official records such as time cards and SF-52's. Information also received through interviews and private counselings with the individual employee.

Treasury/OS 00.193

System name: Employee Locator and Automated Directory System.

System location: (1) Employee Locator: Main Treasury Building, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220. (2) Automated Directory System: Main Computer—Bowne Timesharing, Church Street Station, New York, New York 10249; Terminal and Readout—Main Treasury Building, Washington, D.C. 20220.

Categories of individuals covered by the system: All Department of the Treasury employees located in the Washington, D.C., Metropolitan Area.

Categories of records in the system: (1) Employee Locator—name of individual, office address, office telephone extension, home address, home telephone, emergency notification data. (2) Automated Directory System—name of individual, office address, office telephone extension.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) Used at the Treasury Switchboard Information Positions to assist callers in locating Treasury employees and to notify a designated emergency contact in the event of an emergency involving the employee. (2) Used to compile Departmental and Bureau Telephone Directories. For additional routine uses see Appendix AA. USERS: Subscribers to Treasury Telephone System and Telephone Information Operators.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: (1) Employee Locator—Card form TD F 73-50.1. (2) Automated Directory System—Magnetic tape.

Retrievability: Indexed by name and/or block code numbers.

Safeguards: (1) Employee Locator—24-hour switchboard operation with controlled access. (2)Automated Directory System—computer access codes.

Retention and disposal: Maintained until notice of change or employment is terminated. The card form is destroyed by burning and the information on the magnetic tape is erased.

System manager(s) and address: Assistant Director (Telecommunications Managment), Office of Administrative Programs, Office of the Secretary, Washington, D.C. 20220.

Notification procedure: Assistant Director (Telecommunications Management), Office of the Administrative Programs, see above.

Record access procedures: Assistant Director (Telecommunications Management), Office of Administrative Programs, see above.

Contesting record procedures: Assistant Director, (Telecommunications Management), Office of Administrative Programs, see above.

Record source categories: Information is provided by individual employees, who are given the option of non-release of the home address, telephone and emergency information.

Treasury/OS 00.194

System name: Document Delivery Control System

System location: Department of the Treasury, Office of Administrative Programs, Library Division, Room 5030, Main Treasury Building, 1500 Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Department employees who are library users.

Categories of records in the system: Employees who borrow library materials or receive current news publications or library material on distribution.

Authority for maintenance of the system: 5 U.S.C. 301

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The information is used by the Library staff to locate materials withdrawn from the Library collection, to distribute library periodicals and current news publications, to conduct surveys of continuing user needs, and to complete pre-exit clearance procedures for employees leaving the Department. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records of publications borrowed from the Library are maintained in a computer disc file or in a card file. The computer files are maintained by name of the subscribers and by office locator information, room number and office billing location. The card file is maintained in the name of the individual borrower and title of publications.

Retrievability: Computer files are maintained by both individual name and office locator information, such as room number and billing location, and title of publication. The card file is maintained by individual name only.

Retention and disposal: Only current data is maintained in the computer and card files. Hard copy of the computer data is kept for one year. One year is defined as the current fiscal year and one fiscal year back.

Treasury/OS 00.195

System name: Parking Permit Application—Treasury/OS.

System location: The system of records is maintained at: (1) The Great Plaza Parking Lot, 14th & D Streets, N.W., Washington, D.C., in the custody of the Manager, and (2) Office of the Assistant Director (Environmental Programs), OAP, OS, Room 701, Washington Building.

Categories of individuals covered by the system: Treasury employees who meet specified requirements for application, and are working in locations within the zone of consideration for parking at the Great Plaza Parking Lot.

Categories of records in the system: The card (GSA Form R3-1615) includes the following information: (1) Name of applicant; (2) Permit No.; (3) Organization; (4) Office Phone No.; (5) Applicant's signature; (6) Agency Parking Representative's signature. In addition, the applicant's car pool members are listed on the card, along with their organization and office phone numbers. The system is set up by Permit Number.

Authority for maintenance of the system: See 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used only on an individual basis, by the holder of the Form R3-1615, on a monthly basis, in addition to a fee for parking. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Records are maintained in a file cabinet and retained for six months, at which time GSA revises departmental quotas, eligibility requirements and card color, resulting in a new Treasury Department-wide survey and issuance of new parking permit applications.

System manager(s) and address: Assistant Director (Environmental Programs), Office of Administrative Programs, Room 701, Washington Building, c/o Main Treasury, Mailroom, Washington, D.C. 20220.

Notification procedure: See System Manager above.

Record access procedures: See System Manager above.

Contesting record procedures: See System Manager above.

Record source categories: The information in this system came from individuals who are included in the system.

Treasury/OS 00.240

System name: Contracts and Research Proposals—Treasury/OS.

System location: OASIA Research, Office of the Secretary, U.S. Department of the Treasury, 15th & Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: (1) Contractors; and (2) Solicited and unsolicited research proposals by author.

Categories of records in the system: Contains information about technical and administrative aspects of external research contracts or proposals.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) Routine administration

of contract matters; (2) Evaluation of performance of contracts and utility of research proposals; and (3) If joint contract sponsored with another Federal agency, share information necessary to carry out contract. (4) For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Files.

Retrievability: By name.

Safeguards: Bar lock filing cabinet.

Retention and disposal: Held a maximum of three (3) years.

System manager(s) and address: Privacy Act Coordinator, OASIA Research, Office of the Secretary, U.S. Department of the Treasury, 15th & Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Notification procedure: Privacy Act Representative, U.S. Department of the Treasury, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220. Individual should, if possible, submit the following information in order to assist us in determining whether we maintain information on him/her: (1) Full name; (2) Explanation: in what regard OASIA Research may have collected information on the individual; and (3) Specify dates as nearly as possible of any contact with OASIA Research.

Record access procedures: Privacy Act Representative, Office of the Assistant of General Counsel, U.S. Department of the Treasury, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Contesting record procedures: See Access above.

Record source categories: (1) Information submitted by researcher voluntarily or by request; (2) Office notations on the status of contracts; and (3) Evaluations by Treasury personnel of contract work.

Treasury/OS 00.243

System name: Personnel. Personnel; Recruitment. Personnel; Evaluations—Treasury/OS.

System location: Office of Statistical Reports, OASIA Research, Office of the Secretary, Department of the Treasury, 15th & Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Employees, past employees, employee prospects.

Categories of records in the system: Files on personnel.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Personnel recruitment and management. For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Notes in file folders.

Retrievability: Name.

Safeguards: Safe.

Retention and disposal: Until no longer useful.

System manager(s) and address: Director, Office of Statistical Reports, Deputy Director, Office of Statistical Reports. OASIA.

Notification procedure: Privacy Act Representative, U.S. Department of the Treasury, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220. Individual should, if possible, submit the following information in order to assist us in determining whether we maintain information on him/her: (1) Full name; (2) Explanation: in what regard OASIA Research may have collected information on the individual; and (3) Specify dates as nearly as possible of any contact with OASIA Research.

Record access procedures: Privacy Act Representative, Office of the Assistant General Counsel, U.S. Department of the Treasury, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Contesting record procedures: See Access above.

Record source categories: Individuals, personnel actions, etc. Evaluations.

Treasury/OS 00.244

System name: Personnel Files—Treasury/OS.

System location: OASIA Research Office of the Secretary, Department of the Treasury, 15th & Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: OASIA Research personnel and job applicants.

Categories of records in the system: Records on personnel and job applicants.

Authority for maintenance of the system: 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) Evaluate job applicants; (2) Routine administrative use of records on OASIA Research personnel; and (3) At the request of the individual, to provide potential employees with information on past work experience. (4) For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Files.

Retrievability: By name.

Safeguards: Bar lock filing cabinet.

Retention and disposal: Held a maximum of three (3) years.

System manager(s) and address: Deputy Assistant Secretary for Research, OASIA Research, U.S. Department of the Treasury, 15th & Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Notification procedure: Privacy Act Representative, U.S. Department of the Treasury, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220. Individual should, if possible, submit the following information in order to assist us in determining whether we maintain information on him/her: (1) Full name; (2) Explanation: in what regard OASIA Research may have collected information on the individual; and (3) Specify dates as nearly as possible of any contact with OASIA Research.

Record access procedures: Privacy Act Representative, Office of the Assistant General Counsel, U.S. Department of the Treasury, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Contesting record procedures: See Access above.

Record source categories: (1) Employment applications; (2) Description of current work in office; (3) Personnel Office of OASIA; (4) Supervisor's observations; and (5) Information submitted by individuals for their own file.

Treasury/OS 00.300

System name: Personnel Files—Treasury/OS.

System location: Department of the Treasury, Office of the Secretary, Office of the Special Assistant to the Secretary for Public Affairs, Room 2324, Washington, D.C. 20220.

Categories of individuals covered by the system: Employees in the Office of Public Affairs.

Categories of records in the system: SF-171s, Notification of within grade raises, Personnel action information, Letters of appreciation.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Records used by timekeeper for leave purposes; by supervisor, when information is needed; by employees, when requesting information from their files. For additional routine uses see Treasury Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Duplicates of original documents in file folders in safe.

Retrievability: Filed alphabetically by employee's last name.

Safeguards: Only the two persons authorized to use the safe have access to personnel files; Deputy Special Assistant to the Secretary for Public Affairs and his secretary.

Retention and disposal: Records are kept until employee is separated and then destroyed.

System manager(s) and address: Deputy Special Assistant to the Secretary for Public Affairs, Office of the Secretary, Room 2324, Main Treasury, Washington, D.C. 20220.

Notification procedure: Inquiries should be addressed to the Deputy Special Assistant to the Secretary for Public Affairs: Department of the Treasury, Room 2324, Washington, D.C. 20220 or if he is not available, his secretary, same address. The individual must be inquiring about his own records and clearly identify himself, if not known to the persons named above.

Record access procedures: To obtain information on procedures for gaining access to and contesting records, mail the request to the Systems Manager.

Contesting record procedures: See Access above.

Record source categories: Informatoin for the personnel records are obtained from: 1. Office of the Secretary Personnel Division; 2. Information provided by employees themselves; and 3. Copies of letters from officials congratulating employees for special achievements.

Treasury/OS 00.400

System name: Merit System Complaints—Treasury-OS.

System location: Office of the Director, Office of Audit, Room 902, 1025 Vermont Ave., N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Employees who have a basis for believing that Civil Service personnel laws or rules are being violated, and the matter is not appropriate for the grievance or appeals procedure.

Categories of records in the system: Letters, personnel documents, memorandums, investigation reports, and extracts from investigation files.

Authority for maintenance of the system: Memorandum from the Secretary of the Treasury, dated November 21, 1974.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To furnish direction and suggestions to Treasury Personnel Office for follow-up action.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Locked combination safe.

Retrievability: By name.

Safeguards: Locked safe, access by Director of office only.

Retention and disposal: One year after matter resolved, material is then shredded.

System manager(s) and address: Office of Audit, Director, Room 902, 1025 Vermont Avenue, N.W., Washington, D.C. 20220.

Notification procedure: See System Manager above.

Record access procedures: See System Manager above.

Contesting record procedures: See System Manager above.

Record source categories: Complainant, official personnel files, investigation files and grievance files.

Treasury/OS 00.502

System name: Reference Letters—Special Assignments Branch, Office of the Secretary of the Treasury.

System location: Room 1312, Main Treasury Building, Personnel Division, Special Assignments Branch, Office of the Secretary, Department of the Treasury. Room 1330, Diebold File, after candidate is on board.

Categories of individuals covered by the system: Secretarial candidates applying for positions within the Office of the Secretary.

Categories of records in the system: Evaluation for placement of applicants.

Authority for maintenance of the system: Federal Personnel Manual Chapter 335.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used in conjunction with other records to determine the relative qualifications of applicants for employment. For additional routine uses, see Treasury Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Completed letters are retained with SF-171 while an applicant.

Retrievability: After employment, letters are retrieved from SF-171 or OPF.

Safeguards: Letters are filed in an envelope marked "Confidential" in OPF.

Retention and disposal: Letters are retained in the OPF during tenure of employee and destroyed when incumbent terminates employment with the Department of the Treasury.

System manager(s) and address: Personnel Staffing Specialist, Personnel Division, Room 1312, Assistant Secretary of the Treasury (Administration), Office of the Secretary, Department of the Treasury, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Notification procedure: Same as above.

Record access procedures: Same as above.

Contesting record procedures: Same as above.

Record source categories: The information reflected on the reference form was obtained from previous supervisors and personal references candidate fills in on employment application forms.

Treasury/OS 00.503

System name: Roster of Office of the Secretary Employees—Treasury/OS.

System location: Room 1330, Main Treasury Building.

Categories of individuals covered by the system: All Office of the Secretary employees.

Categories of records in the system: Position titles, grades and salary for Office of Secretary employees.

Authority for maintenance of the system: Assistant Secretary (Administration) request.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Position control, ceiling control and general information for a very limited number of high level officials. For additional routine uses, see Treasury Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Locked file cabinet.

Retrievability: By name.

Safeguards: Strict control of distribution.

Retention and disposal: Rosters are maintained for at least 3 years and after that time are destroyed.

System manager(s) and address: Personnel Officer.

Notification procedure: Room 1330, Main Treasury Building. Employee must give his name and office.

Record access procedures: Personnel Officer.

Contesting record procedures: Personnel Officer.

Record source categories: Standard Form 50.

Treasury/OS 00.504

System name: Summer Employees Listing Treasury/OS.

System location: Office of the Secretary Personnel Division, Room 1330, Main Treasury Building.

Categories of individuals covered by the system: All Summer Employees within the Office of the Secretary, excluding OASIA.

Categories of records in the system: Summer Employees' names, college majors, academic level, grade, position title and office.

Authority for maintenance of the system: Chapter 410 of the Federal Personnel Manual, Subchapter 3, Section 3-1, paragraph (b), Section (5).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used to determine who is eligible for seminars offered by the Office of the Secretary and the Department. Used also in the preparation of the 113 D Statistical Report for the Office of the Secretary. For additional routine uses see Treasury Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Names listed on bond paper with respective information and stored in a file cabinet.

Retrievability: Alphabetical listing by name.

Safeguards: This list is used only by the Youth Employment Coordinator or others authorized by Personnel Officer.

Retention and disposal: Records are kept for one year then are destroyed by burning.

System manager(s) and address: Office of the Secretary, Personnel Division, Room 1330, Main Treasury Building, 15th and Pennsylvania Avenue, N.W., Washington, D.C. 20220.

Notification procedure: See System Manager above.

Record access procedures: See System Manager above.

Contesting record procedures: See System Manager above.

Record source categories: Information taken from Official Personnel Folder and SF-171.

Treasury/OS 00.505

System name: Upward Mobility Program; Counseling Application—Treasury/OS.

System location: Room 1330, Main Treasury Building, Personnel Division, Office of the Secretary, Department of the Treasury.

Categories of individuals covered by the system: Government employees occupying positions within the Office of the Secretary, occupying GS-7 and below or equivalent, and Wage Board employees below WP-9 and WG-7 positions.

Categories of records in the system: Application form reflecting identification of employees, type of position, degree of education and skill, etc.

Authority for maintenance of the system: Affirmative Action Plan.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Application will be used in conjunction with interviews on Upward Mobility Counseling, to give an idea of what type of training and/or experience the applicant has,

and what career goals the applicant may have. For additional routine uses see Treasury Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The alphabetized applications are presently kept with other Upward Mobility materials, but will be filed into the Official Personnel Files shortly.

Retrievability: The system is indexed by name.

Safeguards: The applications are kept in a locked file, and will ultimately be inserted into Official Personnel Files which are also kept in locked files.

Retention and disposal: Maintained on left hand side of personnel folder and are retained until employee leaves Treasury.

System manager(s) and address: Upward Mobility Counselor, Room 1325, Main Treasury Building, Office of the Secretary, Department of the Treasury, Washington, D.C.

Notification procedure: Office of the Secretary, Personnel Division, Room 1330, Main Treasury Building, 15th and Pennsylvania Avenue, N. W., Washington, D.C. 20220. The employee should submit name and social security number.

Record access procedures: Request for gaining access can be made orally. See access and notification above.

Record source categories: The information reflected on these applications was obtained from personal data provided by each applicant.

Treasury/GFO 00.001

System name: Administrative Records—Treasury/GFO.

System location: Bureau of Government Financial Operations, U.S. Department of the Treasury, Washington, D.C. 20226.

Categories of individuals covered by the system: Bureau personnel.

Categories of records in the system: (1) Civil Defense Cards, (2) Motor Vehicle Drivers Permits, (3) Distribution List of individuals requesting various Treasury publications, (4) Motor Vehicle Accident Reports, (5) Parking Permits, (6) Treasury Credentials.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used to provide information on holders of Civil Defense Cards, drivers permits, parking permits, accident reports, credentials and for servicing public on Treasury publications. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Hardcopy.

Retrievability: By name.

Safeguards: Locked containers.

Retention and disposal: (1) Destroy upon resignation, retirement or transfer; Hold—Individual no longer assigned relocation duties. (2) Destroy 3 years from date of separation or 3 years after rescission of authorization. (3) Destroy when superseded. (4) Indefinitely. (5) Destroy when superseded. (6) Destroy upon retirement, resignation or transfer.

System manager(s) and address: The Systems Manager is: Special Assistant (P.A.), Bureau of Government Financial Operations, U.S. Department of the Treasury, Room 600, Treasury Annex No. 1, Pennsylvania Avenue and Madison Place, N.W., Washington, D.C. 20226.

Notification procedure: Inquiries under the Privacy Act of 1974 shall be addressed to the Systems Manager as shown above. All individuals making inquiries should provide with their request as much descriptive matter as is possible to identify the particular record desired. The Systems Manager will advise as to whether this bureau maintains the record requested by the individual.

Record access procedures: Individuals requesting information under the Privacy Act of 1974 concerning procedures for gaining access or contesting records should write to the Systems Manager at the address shown above. All individuals are urged to examine the rules of the U.S. Department of the Treasury published in 31 CFR Part 1, Subpart C concerning requirements of this Department with respect to the Privacy Act of 1974.

Contesting record procedures: See Access above.

Record source categories: Bureau personnel.

Treasury/GFO 00.002

System name: Payment issue records for regular recurring benefit payments—Treasury/GFO.

System location: Bureau of Government Financial Operations, U.S. Department of the Treasury, Washington, D.C. 20226.

Categories of individuals covered by the system: (1) Beneficiaries of Title II of the Social Security Act, (2) Beneficiaries of Title XVI of the Social Security Act, (3) Beneficiaries of the Civil Service Retirement System, (4) Beneficiaries of the Railroad Retirement System, (5) Beneficiaries of the Foreign Service Retirement System, (6) Holders of Series H Bonds (interest payment).

Categories of records in the system: Payment issue records for regular recurring benefit payments showing name, check number and symbol, or other identification, address, account number, payment amount, and date of issuance for each of the categories of individuals listed above.

Authority for maintenance of the system: 5 U.S.C. 301; E.O. 6166, June 10, 1933.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Payment issue verification for banking industry, Federal investigative agencies, Division of Check Claims of this bureau, and Departments and agencies for whom payments are made, and payees. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Microfilm-magnetic tape for categories of individuals 1 through 6.

Retrievability: By account number.

Safeguards: Held in secure buildings.

Retention and disposal: Indefinitely.

System manager(s) and address: The Systems Manager is: Special Assistant (P.A.), Bureau of Government Financial Operations, U.S. Department of the Treasury, Room 600, Treasury Annex No. 1, Pennsylvania Avenue and Madison Place, N.W., Washington, D.C. 20226.

Notification procedure: Inquiries under the Privacy Act of 1974 shall be addressed to the Systems Manager as shown above. All individuals making inquiries should provide with their request as much descriptive matter as is possible to identify the particular record desired. The Systems Manager will advise as to whether this bureau maintains the record requested by the individual.

Record access procedures: Individuals requesting information under the Privacy Act of 1974 concerning procedures for gaining access or contesting records should write to the Systems Manager at the address shown above. All individuals are urged to examine the rules of the U.S. Department of the Treasury published in 31 CFR, Part 1, Subpart C concerning requirements of this Department with respect to the Privacy Act of 1974. Telephone 202-566-8707.

Contesting record procedures: See Access above.

Record source categories: Vouchers certifications by departments and agencies for whom payments are made.

Treasury/GFO 00.003

System name: Claims and Inquiry Records on Treasury checks, U.S. currencies, and International claimants—Treasury/GFO.

System location: Bureau of Government Financial Operations, U.S. Department of the Treasury, Washington, D.C. 20226.

Categories of individuals covered by the system: (1) Payees and holders of Treasury checks, (2) Holders of mutilated currency, (3) Claimants awarded benefits under War Claims Act and International Claims Settlement Act of 1949.

Categories of records in the system: (1) Treasury check claim file: Treasury check, claim of payee with name and address, settlement action taken. (2) Mutilated currency claim file: Mutilated currency, name of bank assisting claim of individual, settlement action taken. (3) Awards for claims for losses sustained by individuals.

Authority for maintenance of the system: 5 U.S.C. 301; (1) For Treasury check claims—31 U.S.C. 71 with delegation of authority from Comptroller General of the U.S.; (2) Mutilated currency claims—31 CFR 100; (3) International claims—50 U.S.C. 2012; 22 U.S.C. 1627, 1641, 1642.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) Check Claims—Information is used routinely by the Division of Check Claims and by indorsers on checks having liability, Federal agencies, State and Local Law enforcement agencies, General Accounting Office, Congressional offices and media assistance offices on behalf of payee claimants. (2) Mutilated Currency Claims—Information is furnished to the Internal Revenue Service for claims of 5000 dollars or more claimants, banks, state and local enforcement agencies. (3) International Claims—Information in files is used by employees of the Division of Financial Management, claimants (awardees) and their repre-

sentatives; Foreign Claims Settlement Commission, and congressmen (4) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: (1) Claim file folders, Card checks, microfilm. (2) Correspondence files. (3) Claim file folders.

Retrievability: (1) Name of payee and check number and symbol. (2) Alpha cross-reference to case number. (3) Name of claimant or alpha reference to claim number.

Safeguards: (1) Secured building, (2) Secured building, (3) Secured files in secured building.

Retention and disposal: (1) Claim files and checks; six years seven months; Microfilm—indefinitely. (2) Seven years. (3) Indefinitely.

System manager(s) and address: The Systems Manager is: Special Assistant (P.A.), Bureau of Government Financial Operations, U.S. Department of the Treasury, Room 600, Treasury Annex No. 1, Pennsylvania Avenue and Madison Place, N.W., Washington, D.C. 20226.

Notification procedure: Inquiries under the Privacy Act of 1974 shall be addressed to the Systems Manager as shown above. All individuals making inquiries should provide with their request as much descriptive matter as is possible to identify the particular record desired. The Systems Manager will advise as to whether this bureau maintains the record requested by the individual.

Record access procedures: Individuals requesting information under the Privacy Act of 1974 concerning procedures for gaining access or contesting records should write to the Systems Manager at the address shown above. All individuals are urged to examine the rules of the U.S. Department of the Treasury published in 31 CFR Part 1, Subpart C concerning requirements of this Department with respect to the Privacy Act of 1974. Telephone 202-566-8707.

Contesting record procedures: See Access above.

Record source categories: (1) Individual payees of Treasury checks, indorsers of Treasury checks, investigative agencies, disbursing officers issuing checks, contesting claimants. (2) Individual owners of mutilated currencies, commercial banks. (3) Awards certified to Treasury for payment by Foreign Claims Settlement Commission.

Treasury/GFO 00.004

System name: Freedom of Information Requests—Treasury/GFO.

System location: Bureau of Government Financial Operations, U.S. Department of the Treasury, Washington, D.C. 20226.

Categories of individuals covered by the system: Individuals requesting information.

Categories of records in the system: Correspondence pursuant to the Freedom of Information Act, internal processing documents and memoranda, referrals and copies of requested records.

Authority for maintenance of the system: Freedom of Information Act, 5 U.S.C. 552. 31 CFR Part 1.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: For reporting requirements as required by the Act; to respond to other Department officials who request information regarding persons making a FOI request; evaluation purposes and determination of volume of requests received and the impact on the current workload; preparation of budget; disclosures in accordance with Freedom of Information Act, to other agencies when required for coordination of response or referral; to Department of Justice for defending law suits; to Congressional Committees; for additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders.

Retrievability: By name of requester(s) and date of request.

Safeguards: Filed in filing cabinet and released only to individuals with legitimate right to review.

Retention and disposal: In accordance with the provisions of the Act and Departmental records management procedures.

System manager(s) and address: Special Assistant (F.O.I.), Bureau of Government Financial Operations, U.S. Department of the Treasury, Room 600, Treasury Annex No. 1, Pennsylvania Avenue and Madison Place, N.W., Washington, D.C. 20226.

Notification procedure: See system manager.

Record access procedures: See system manager.

Contesting record procedures: See system manager.

Record source categories: Individuals who make Freedom of Information Act requests. Federal officials responding to Freedom of Information Act requests and documents from official records.

Treasury/GFO 00.005

System name: GFO Personnel Records—Treasury/GFO.

System location: Bureau of Government Financial Operations, Penn. Ave. and Madison Place, N.W., Washington, D.C. 20226.

Categories of individuals covered by the system: All Employees of Bureau (Separated employees—in certain cases) and applicants.

Categories of records in the system: (A) Official Personnel Folder, (B) Personnel Roster, (C) Logs of SF-52's, (D) Locator Cards, (E) Chronological Journal File, (F) Service Record Card (SF-7), (G) Correspondence File, (H) Written Verifications of Employment, (I) Roster of Retirement Eligibles, (J) Summary of Training (Card File), (K) Training Course Nominations, (L) Evaluation of Training Program, (M) Tuition Assistance Files, (N) GED Test Scores, (O) Executive Development File, (P) Position Listings, (Q) Position Descriptions with Evaluation Statements, (R) Personnel Management Evaluation Survey Reports, (S) Position Maintenance Reviews, (T) Applicant Supply File, (U) Suitability File, (V) Incentive Awards Record, (W) Request for Certification File, (X) Merit Promotion File, (Y) Exit Interview File, (Z) Skills and Appraisal File, (AA) Statistical Reports—(Which Show Names) (1) Personnel Status Report, (2) Monthly Retiree Report, (3) Monthly EEO report, (4) Direct Hire Authority Report, (BB) Registers Worked File, (CC) Statements of Employment and Financial Interest. (DD) Other similar files or registers.

Authority for maintenance of the system: Executive Order 10561 dated 9-13-54, Federal Personnel Manual, and Title 5 of US Code.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: A—(1) Process Personnel Actions, (2) Verifying Service Computation Date, (3) Evaluating Qualifications for Promotion. B—(1) Compile Statistical Reports, (2) For Budget and Manpower Planning, (3) For Promotion Information, (4) Verification of Employment. C—(1) Control of Processing SF-52's, (2) Verify Receipt and Non Receipt of SF-52's. D—(1) Emergency Notifications, (2) Listing for Bureau Phone Directory, (3) Verification of Employment. E—(1) Regulatory Audits, (2) Personnel Management Evaluations, (3) Compilation of Data for Statistical Reports on Personnel Actions. F—(1) Tickler System, (2) A Comprehensive and Continuing Record of each Employee's Status, Skills, etc., (3) Control over budgeted positions both filled and vacant, (4) Cards on Separated Employees are used to verify prior employment. G—(1) Data for Statistical Reports, (2) Reference of prior actions. H—(1) Verify current or past employment. I—(1) Retirement Planning Program, (2) Projected Staffing Plans. J-K-L-M-N—(1) Record of all training—used for reference by Employment Development Specialist for planning future training as needed. O—(1) Reference by Appraisal Committee and Employee Development. P-Q—(1) Documented duties of employees for original allocated positions. R—(1) Audit and Evaluation of programs and specific positions. S—(1) Official Record of annual review of positions required by FPM. T—(1) Current Source of Recruitment. U—(1) Reconstruction of case if appealed or grieved, (2) Assure continuity in handling troubled employees, (3) Document positive efforts made to rehabilitate employees, (4) Serve as source documents for suspensions or reprimands as cases warrant. V—(1) Quarterly and Annual Reports, (2) Used as reference to assure approval of an award or amount of award consistent with regulations. W—(1) Used as statistical base for planning future recruitment activities and reports for Fiscal Year. X—(1) Reconstruction of actions if appeal is filed, (2) Assure compliance with FPM regulations. Y—(1) Annual statistical report of turnover rate and reasons for leaving, (2) Obtain feedback from supervisors on advisability of rehiring. Z—(1) To determine skills and employee performance. AA—(1) Maintenance of records for reporting and informational purposes. BB—(1) Maintain records of recruitment activities for staffing reports, (2) Used to assure compliance with CSC regulations. CC—(1) Used to determine conflict of interest or possible conflict of interest. DD—For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Hard copy and Magnetic Storage.

Retrievability: Alphabetically by name—also in some instances by organization, then social security number.

Safeguards: Personnel Records are locked when unattended—Access is limited to Personnel Employees, Supervisors, Promotion Panels, Investigators from CSC, etc., Top Management, and members of Fair Employment Staff.

Retention and disposal: Records are maintained and disposed of in accordance with General Services Administration Schedule.

System manager(s) and address: The Systems Manager is: Special Assistant (P.A.), Bureau of Government Financial Operations, U.S.

Department of the Treasury, Room 600, Treasury Annex No. 1, Pennsylvania Avenue and Madison Place, N.W., Washington, D.C. 20226.

Notification procedure: Inquiries under the Privacy Act of 1974 shall be addressed to the Systems Manager as shown above. All individuals making inquiries should provide with their request as much descriptive matter as is possible to identify the particular record desired. The Systems Manager will advise as to whether this bureau maintains the record requested by the individual. Telephone: 202-566-8707.

Record access procedures: Individuals requesting information under the Privacy Act of 1974 concerning procedures for gaining access or contesting records should write to the Systems Manager at the address shown above. All individuals are urged to examine the rules of the U.S. Department of the Treasury published in 31 CFR Part 1, Subpart C concerning requirements of this Department with respect to the Privacy Act of 1974.

Contesting record procedures: See Access above.

Record source categories: Applicant Personnel Action Forms (SF-50), SF-171 (Completed by applicant), Payroll Actions References, Educational Institutions, etc.

Treasury/GFO 00.006

System name: Identification files on individuals cashing Treasury checks—Treasury/GFO.

System location: Bureau of Government Financial Operations, U.S. Department of the Treasury, Washington, D.C. 20226.

Categories of individuals covered by the system: All individuals not employed by the Treasury Department cashing Treasury checks.

Categories of records in the system: (1) Identifying information by name, address, date of birth, social security number, and agency responsible for issuance of check. (2) Film records with picture and other identification.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) Identification for check cashing purposes. (2) Identification for check cashing purposes and for apprehension of forgers. (3) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper file in individual's handwriting; Film.

Retrievability: By name; By identification number and date.

Safeguards: Locked files; By contractor in secured building.

Retention and disposal: Indefinite.

System manager(s) and address: The Systems Manager is: Special Assistant (P.A.), Bureau of Government Financial Operations, U.S. Department of the Treasury, Room 600, Treasury Annex No. 1, Pennsylvania Avenue and Madison Place, N.W., Washington, D.C. 20226.

Notification procedure: Inquiries under the Privacy Act of 1974 shall be addressed to the Systems Manager as shown above. All individuals making inquiries should provide with their request as much descriptive matter as is possible to identify the particular record desired. The Systems Manager will advise as to whether this bureau maintains the record requested by the individual.

Record access procedures: Individuals requesting information under the Privacy Act of 1974 concerning procedures for gaining access or contesting records should write to the Systems Manager at the address shown above. All individuals are urged to examine the rules of the U.S. Department of the Treasury published in 31 CFR Part 1, Subpart C concerning requirements of this Department with respect to the Privacy Act of 1974.

Contesting record procedures: See Access above.

Record source categories: Government Departments and Agencies requiring services of Treasury Department for issuance and payment of Treasury checks.

Treasury/GFO 00.007

System name: Payroll & Pay Administration—Treasury/GFO.

System location: Bureau of Government Financial Operations, U.S. Department of the Treasury, Washington, D.C. 20226.

Categories of individuals covered by the system: All Employees of Bureau & Separated Employees.

Categories of records in the system: (A) Official Payroll Folder—(1) Levy & Garnishment Records; (2) SF-1192—Savings Bond Authorization; (3) SF-1198—Allotment of Pay to Saving Account; (4) SF-1189—Payment of Wages to Financial Organization; (5) Copies of SF-50—Notification of Personnel Action; (6) Withholding Tax Exemptions; (7) Copy of Health Benefit Designation; (8) Copy of Life Insurance Forms; (9) Payroll Change Slips; (10) Combined Federal Campaign Designations; (11) Copy of SF-1150. (B) Time & Attendance Reports (TUS-430)—(1) SF-71 Request for Leave; (2) Court Leave Documents; (3) Request for Advancement of Leave. (C) Payroll Comprehensive Listing—(1) Current Payment Information; (2) Record of Leave Earned and Used; (3) All Deductions from Pay; (4) Personnel Information such as Grade, Step, Salary, Title, Date of Birth, Social Security Number, Veterans Preference, Tenure, etc. (D) Payroll Control Registers.

Authority for maintenance of the system: Title 5—Pay, Leave & Allowances.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (A) Payroll Preparation; (B) Issuance of W-2 Forms for Tax Purposes; (C) For additional routine uses see Appendix AA. Disclosure permitted to Federal Agencies and to State and Local Agencies for tax purposes.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Records are stored, maintained and disposed of in accordance with General Services Administration Schedules.

System manager(s) and address: The Systems Manager is: Special Assistant (P.A.), Bureau of Government Financial Operations, U.S. Department of the Treasury, Room 600, Treasury Annex No. 1, Pennsylvania Avenue and Madison Place, N.W., Washington, D.C. 20226.

Notification procedure: Inquiries under the Privacy Act of 1974 shall be addressed to the Systems Manager as shown above. All individuals making inquiries should provide with their request as much descriptive matter as is possible to identify the particular record desired. The Systems Manager will advise as to whether this bureau maintains the record requested by the individual.

Record access procedures: Individuals requesting information under the Privacy Act of 1974 concerning procedures for gaining access or contesting records should write to the Systems Manager at the address shown above. All individuals are urged to examine the rules of the U.S. Department of the Treasury published in 31 CFR Part 1, Subpart C concerning requirements of this Department with respect to the Privacy Act of 1974. Telephone 202-964-8707.

Contesting record procedures: See Access above.

Record source categories: From individual Bureau employees.

Treasury/GFO 00.008

System name: Personnel Security Records—Treasury/GFO.

System location: Bureau of Government Financial Operations, Pennsylvania Avenue and Madison Place, N.W., Washington, D.C. 20226.

Categories of individuals covered by the system: Employees and applicants for position in the bureau.

Categories of records in the system: Results of full field investigations, national agency checks and written inquiries, and other limited investigations.

Authority for maintenance of the system: Title 5, U.S.C., Chap 73, Executive Order 10450 as amended, and Treasury Order 82.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The records are reviewed for purpose of granting clearances for employees to occupy nonsensitive and sensitive positions. Users—Federal Agencies, Federal, State and Local Law Enforcement Agencies. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: The records are hard copy, filed alphabetically by name and stored in a safe cabinet secured with a combination lock. Access to the records is restricted to key personnel who have been granted clearances to occupy critical-sensitive positions. The records on employees are retained by the bureau during their employment. The records on applicants not selected and separated employees are returned to the investigating agency.

System manager(s) and address: The Systems Manager is: Special Assistant (P.A.), Bureau of Government Financial Operations, U.S. Department of the Treasury, Room 600, Treasury Annex No. 1, Pennsylvania Avenue and Madison Place, N.W., Washington, D.C. 20226.

Notification procedure: Inquiries under the Privacy Act of 1974 shall be addressed to the Systems Manager as shown above. All individuals making inquiries should provide with their request as much descriptive matter as is possible to identify the particular record desired. The Systems Manager will advise as to whether this

bureau maintains the record requested by the individual. Telephone 202-566-8707.

Record access procedures: Individuals requesting information under the Privacy Act of 1974 concerning procedures for gaining access or contesting records should write to the Systems Manager at the address shown above. All individuals are urged to examine the rules of the U.S. Department of the Treasury published in 31 CFR Part 1, Subpart C concerning requirements of this Department with respect to the Privacy Act of 1974.

Contesting record procedures: See Access above.

Record source categories: Sources are applicants, employers, former employers, references, fellow workers, neighbors, educational authorities, military records, police and criminal records, credit records and others as required.

Treasury/GFO 00.009

System name: Postal Savings Deposits—Treasury/GFO.

System location: Bureau of Government Financial Operations, U.S. Department of the Treasury, Washington, D.C. 20226.

Categories of individuals covered by the system: Postal Savings depositors.

Categories of records in the system: (1) Account cards of Open Deposits. (2) Paid cases from 1967. (c) Record of reissued certificates.

Authority for maintenance of the system: 39 U.S.C. 5225-5229; 31 CFR 257.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: For payment by depositors, legal representatives, heirs; Internal Revenue Service Inspectors for tax purposes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Filing system.

Retrievability: Name, account number, within Post Office, City, State, by Postal Data Center.

Safeguards: In secured filing system. Accessible only to office personnel.

Retention and disposal: Closed cases; disposed after payment (10 yrs). Open accounts; indefinitely.

System manager(s) and address: The Systems Manager is: Special Assistant (P.A.), Bureau of Government Financial Operations, U.S. Department of the Treasury, Room 600, Treasury Annex No. 1, Pennsylvania Avenue and Madison Place, N.W., Washington, D.C. 20226.

Notification procedure: Inquiries under the Privacy Act of 1974 shall be addressed to the Systems Manager as shown above. All individuals making inquiries should provide with their request as much descriptive matter as is possible to identify the particular record desired. The Systems Manager will advise as to whether this bureau maintains the record requested by the individual.

Record access procedures: Individuals requesting information under the Privacy Act of 1974 concerning procedures for gaining access or contesting records should write to the Systems Manager at the address shown above. All individuals are urged to examine the rules of the U.S. Department of the Treasury published in 31 CFR Part 1, Subpart C concerning requirements of this Department with respect to the Privacy Act of 1974. Telephone 202-566-8707.

Contesting record procedures: See Access above.

Record source categories: Records received from the Post Office Department.

Treasury/GFO 00.010

System name: Records of Accountable Officers' authority with Treasury—Treasury/GFO.

System location: Bureau of Government Financial Operations, U.S. Department of the Treasury, Washington, D.C. 20226.

Categories of individuals covered by the system: (1) Disbursing Officers; (2) Certifying Officers; (3) Agent Cashiers; (4) Designated Agents.

Categories of records in the system: Records are maintained on the above listed accountable officers showing the designation or removal of the officer to act in the specified capacity pursuant to a proper authorization.

Authority for maintenance of the system: 5 U.S.C. 301; E.O. 6166, June 10, 1933.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Banking institutions, Federal Reserve Banks, Government agencies and other bureaus and offices of the Treasury Department for verification of information on authority of accountable officers to determine propriety of actions taken by such individuals. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Card files.

Retrievability: By name.

Safeguards: Held in secured buildings. Disbursing Officers file is locked.

Retention and disposal: Indefinitely.

System manager(s) and address: The Systems Manager is: Special Assistant (P.A.), Bureau of Government Financial Operations, U.S. Department of the Treasury, Room 600, Treasury Annex No. 1, Pennsylvania Avenue and Madison Place, N.W., Washington, D.C. 20226.

Notification procedure: Inquiries under the Privacy Act of 1974 shall be addressed to the Systems Manager as shown above. All individuals making inquiries should provide with their request as much descriptive matter as is possible to identify the particular record desired. The Systems Manager will advise as to whether this bureau maintains the record requested by the individual.

Record access procedures: Individuals requesting information under the Privacy Act of 1974 concerning procedures for gaining access or contesting records should write to the Systems Manager at the address shown above. All individuals are urged to examine the rules of the U.S. Department of the Treasury published in 31 CFR Part 1, Subpart C concerning requirements of this Department with respect to the Privacy Act of 1974.

Contesting record procedures: See Access above.

Record source categories: Government Departments and Agencies requiring services of Treasury Department for issuance and payment of Treasury checks.

Treasury/GFO 00.011

System name: Individual Retirement Cards, SF2806—Treasury/GFO.

System location: Bureau of Government Financial Operations, U.S. Department of the Treasury, Washington, D.C. 20226.

Categories of individuals covered by the system: Employees of the Bureau.

Categories of records in the system: Individual retirement cards maintained to record annual contribution to the Retirement Fund of each employee.

Authority for maintenance of the system: 5 U.S.C. 8707; 5 CFR 831.102

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by the Bureau to record annual contribution to the Retirement Fund. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Card.

Retrievability: By name.

Safeguards: All persons requesting information are screened.

Retention and disposal: Retained for tenure of employment then transferred to CSC or other Government agency.

System manager(s) and address: Special Assistant (P.A.), Bureau of Government Financial Operations, U.S. Department of the Treasury, Room 600, Treasury Annex No. 1, Pennsylvania Avenue and Madison Place, N.W., Washington, D.C. 20226

Notification procedure: Inquiries under the Privacy Act of 1974 shall be addressed to the Systems Manager as shown above. All individuals making inquiries should provide with their request as much descriptive matter as is possible to identify the particular record desired. The Systems Manager will advise as to whether this bureau maintains the record requested by the individual.

Record access procedures: Individuals requesting information under the Privacy Act of 1974 concerning procedures for gaining access or contesting records should write to the Systems Manager at the address shown above or telephone him at the following number 202-566-8707. All individuals are urged to examine the rules of the U.S. Department of the Treasury concerning requirements of this Department with respect to the Privacy Act of 1974.

Contesting record procedures: See Access above.

Record source categories: Bureau personnel office.

Treasury/GFO 00.012

System name: Precomplaint Counseling and Complaint Activities—GFO.

System location: B/GFO—U.S. Treasury Department, Treasury Annex No. 1 Madison Place & Penna. Ave., N.W. Washington, D.C. 20226.

Categories of individuals covered by the system: Employees seeking services of EEO Counselors.

Categories of records in the system: Monthly precomplaint activity reports from twelve Disbursing Centers and Headquarters.

Authority for maintenance of the system: 5 U.S.C. 7154; 42 U.S.C. 200e-16; E.O. 11478; and 5 CFR 713.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used to keep records on EEO Counseling activities for monthly submission to Treasury.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File cabinet.

Retrievability: Filed by station and date of receipt.

Safeguards: Staff supervision during day. Records under lock and key.

Retention and disposal: Reports destroyed at the end of four years.

System manager(s) and address: Special Assistant (P.A.): Bureau of Government Financial Operations, Treasury Annex No. 1, Madison Place & Penna. Ave., N.W., Washington, D.C. 20226.

Notification procedure: Inquiries under the PRIVACY ACT of 1974 shall be addressed to the Systems Manager as shown above. All individuals making inquiries should provide with their request as much descriptive matter as is possible to identify the particular record desired. The Systems Manager will advise as to whether this bureau maintains the record requested by the individual.

Record access procedures: Individuals requesting information under the Privacy Act of 1974 concerning procedures for gaining access or contesting records should write to the Systems Manager at the address shown above. All individuals are urged to examine the rules of the U.S. Department of the Treasury published in 31 CFR Part 1, Subpart C concerning requirements of this Department with respect to the Privacy Act of 1974.

Contesting record procedures: See Access above.

Record source categories: Monthly submissions by Disbursing Centers and Headquarters.

Billing Code 4810-35

Treasury/ATF 00.001

System name: Administrative Record System-Treasury/ATF.

System location: Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226.

Components of this record system are geographically dispersed throughout the Bureau's field offices. For nearest office location, see addresses listed Appendix E-1.

Categories of individuals covered by the system: (1) Present employees of the Bureau of ATF. (2) Former employees of the Bureau of ATF. (3) Claimants against the Bureau of ATF.

Categories of records in the system: (1) Accident Report—nonvehicle (2) Accident Report—Vehicle (3) Accountability records for Government property (4) Credit card purchases (5) Disability reports (6) Fatality reports (7) Motor pool records (8) Injury reports (9) Motor vehicle operator's records. (10) Chief Counsel and Regional Counsel memoranda and opinions.

Authority for maintenance of the system: (1) Federal Claims Collection Act (2) Federal Employees Compensation Act (3) Federal Property and Administration Services Act of 1949 as amended. (4) Federal Tort Claims Act (5) Military Personnel and Federal Employees Claim Act (6) Occupational Safety and Health Act of 1970 (7) Small Claims Act. (8) 5 U.S.C. 1302, 3301, 3302.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The purpose of this system is to provide administrative services for the Bureau; to maintain administrative records as required by law or regulation; and to be used in the adjudication of any claims for or against the Bureau. When required or authorized by statute, regulation, or executive order, information in the record system is made available to members of the public or employees of other government agencies.

A record in this system of records may be disclosed as a "routine use" to a federal, state or local agency maintaining civil, criminal or other relevant enforcement information or other pertinent information if necessary to obtain information relevant to an agency decision concerning the letting of a contract, grant or other benefit.

A record in this system of records may be disclosed to a federal agency, in response to its request, in connection with the letting of a contract, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

A record in this system may be disclosed as a routine use to Officers and employees of the Department of the Treasury who have a need for the record in the performance of their duties; Local, state and Federal law enforcement agencies; Local, state and Federal regulatory agencies; Members of the public, employees of other Governmental agencies, or members of news media (on a case by case basis where determination that such disclosure would be in accordance with guidelines set forth under 28 C.F.R. Part 50.2; 5 U.S.C. 552 and 552a, and would not compromise enforcement of laws administered by Bureau of Alcohol, Tobacco and Firearms). For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Active records stored in file folders in security filing cabinets. Inactive records stored in file folders at Federal Records Centers.

Retrievability: Records are retrievable by name of individual.

Safeguards: Direct access restricted to personnel in Department of the Treasury in the performance of their duty. Transmitted to routine users on a need to know basis or where a right to access is established, and to others upon verification of the substance and propriety of the request. Stored in lockable metal file cabinets in rooms locked during non-duty hours.

Retention and disposal: Records are retained in accordance with General Services Administration General Records Schedules Numbers 1 through 20 and Bureau of Alcohol, Tobacco and Firearms records control schedules numbers 101 and 201 and disposed of by shredding or burning.

System manager(s) and address: Chief, Administrative Services Division Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W. Washington, D.C. 20226.

Notification procedure: Inquiries should be addressed to: Privacy Act Request, Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226.

Requests may be delivered personally to Room 2232, Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226.

Record access procedures: Requests for access to records made by mail should be addressed to: Privacy Act Request, Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C.

Requests may be delivered personally to Room 2232, Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226

Appeals of determinations refusing amendment of records should be addressed to: Privacy Act Appeal, Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226

Appeals may be delivered personally to Room 2232, Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C.

Contesting record procedures: See Access above.

Record source categories: (1) Administrative records.(2) Claimants.(3) Doctors.(4) Employee records.(5) Fiscal records.(6) Former employees of the Bureau of ATF.(7) Former employers. (8) General Services Administration.(9) Individuals who have information relevant to claims.(10) Inspections records.(11) Internal Investigation reports.(12) Police reports.(13) Present employees of the Bureau of ATF.(14) Supervisors.(15) Witnesses.

Treasury/ATF 00.002

System name: Correspondence Record System—Treasury/ATF.

System location: Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226.Components of this record system are geographically dispersed throughout the Bureau's field offices. For nearest office location, see address listed Appendix E-1.

Categories of individuals covered by the system: (1) Persons who correspond with the Bureau requesting information relating to Bureau personnel. (2) Persons who correspond with the Bureau requesting information relating to Bureau activities. (3) Persons who correspond with the Bureau requesting rulings, interpretations, or

technical and scientific matters of a general nature. (4) Persons who correspond with others and whose correspondence is referred to ATF for response. (5) Persons referred to in correspondence with the Bureau. (6) Authors and publishers of technical and scientific matters relating to Bureau activities.

Categories of records in the system: Correspondence with individuals who contact the Bureau requesting information relating to Bureau personnel and/or activities, Chief Counsel and Regional Counsel memoranda and opinions.

Authority for maintenance of the system: 5 U.S.C. 301, E.O. 11222.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The purpose of the system is to record the nature of reponses made to general public; to maintain a record of responses to individuals who correspond with the Bureau. When required or authorized by statute, regulation, or Executive Order, information in the record system is made available to members of the public or employees of other Government agencies. A record in this system may be disclosed as a routine use to: officers and employees of the Department of the Treasury who have a need for the record in the performance of their duties, members of the public, employees of other governmental agencies, or members of the news media (on a case by case basis where determination that such disclosure would be in accordance with guidelines set out under 28 C.F.R. Part 50.2; 5 U.S.C. 552 and 552a, and would not compromise enforcement of laws administered by Bureau of Alcohol, Tobacco and Firearms). For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Active records stored in file folders in security filing cabinets. Inactive records stored in file folders at Federal Records Centers.

Retrievability: Records are retrieved by name, subject matter and date of correspondence.

Safeguards: Transmitted to routine users on a need to know basis. Stored in lockable file cabinets in rooms locked during non-duty hours.

Retention and disposal: Records are retained in accordance with General Services Administration General Records Schedules numbers 1 through 20 and Bureau of Alcohol, Tobacco and Firearms Records Control Schedules numbers 101 and 201 and disposed of by shredding or burning.

System manager(s) and address: Assistant to the Director (Public Affairs) Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226.

Notification procedure: Inquiries should be addressed to: Privacy Act Request, Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226. Requests may be delivered personally to Room 2232, Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226.

Record access procedures: Requests for access to records made by mail should be addressed to: Privacy Act Request, Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226. Requests may be delivered personally to Room 2232, Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C.

Appeals of determinations refusing amendment of records should be addressed to: Privacy Act Appeal, Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226.

Appeals may be delivered personally to Room 2232, Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C.

Contesting record procedures: See Access above.

Record source categories: Persons who correspond with the Bureau on general, technical or scientific matters.

Treasury/ATF 00.003

System name: Criminal Investigation Report System—Treasury/ATF.

System location: Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226. Components of this record system are geographically dispersed throughout Bureau of Alcohol, Tobacco and Firearms' field offices. For nearest location, see addresses listed Appendix E-1.

Categories of individuals covered by the system: (1) Criminal offenders or alleged criminal offenders acting alone or in concert with other individuals and suspects who have been or are under investigation for a violation or suspected violation of laws enforced by the Bureau. (2) Criminal offenders or alleged criminal offenders acting alone or in concert with individuals who have been referred to the Bureau of Alcohol, Tobacco and Firearms by other law enforcement agencies, governmental units and the general public. (3) Informants. (4) Persons who come to the attention of the Bureau in the conduct of criminal investigations. (5) Persons who have been convicted of a crime punishable by imprisonment for a term exceeding one year and who have applied for relief from disabilities under Federal law with respect to the acquisition, receipt, transfer, shipment, or possession of firearms and explosives and whose disability was incurred by reason of such conviction. (6) Victims of crimes. (7) Witnesses.

Categories of records in the system: (a) Records containing information compiled for the purpose of identifying individual criminal offenders and alleged offenders and consisting only of identifying data and notations of arrest, the nature and disposition of criminal charges, sentencing, confinement, release, and parole and probation status; (b) Records containing information compiled for the purpose of a criminal investigation, including reports of informants and investigators, and associated with an identifiable individual; (c) Records containing reports identifiable to an individual compiled at various stages of the process of enforcement of criminal laws from arrest or indictment through release from supervision; (d) Records compiled and maintained by the Bureau as generally described in (a), (b), and (c) above including the following: (1) Abandoned property reports. (2) ATF Criminal Investigation Reports (not resulting in formal prosecution reports). (3) ATF referrals to foreign, Federal, State, and local law enforcement agencies. (4) Chief and Regional Counsel opinions. (5) Contemporaneous investigative notes. (6) Criminal investigatory correspondence from and to foreign, Federal, State and local law enforcement agencies. (7) Criminal intelligence information on individuals suspected to be violating ATF laws and regulations. (8) Documentary proof of defendant's criminal record; identity; or lack or registration of N.F.A. (as amended) firearm(s). (9) FBI Criminal Record Reports. (10) Fingerprints and palmprints. (11) Fugitive arrest warrants. (12) Handwriting exemplars. (13) Index cards, violation and reputation. (14) Illicit liquor and raw material surveys. (15) Laboratory reports of evidence analysis. (16) Memoranda of expected testimony of witnesses. (17) Organized crime members violating or suspected of violating ATF laws. (18) Parole and pardon reports. (19) Personal histories (address, employment, social security number, financial background, physical descriptions, etc.). (20) Photographs. (21) Purchase of evidence records. (22) Records of electronic surveillance by ATF. (23) Records received in response to summons and subpoenas. (24) Reliefs from disability. (25) Reports of interview with witnesses. (26) Search warrants and affidavits for search warrants. (27) Seized property reports. (28) Significant criminals, armed and dangerous, firearms, explosives, liquor, and wagering. (29) Special agent's daily activity diary (accessible by date only). (30) State and local law enforcement criminal investigative reports. (31) Statements of defendants. (32) Statements of witnesses. (33) Summons and subpoenas issued pursuant to criminal investigations. (34) Voice prints. (35) Wagering tax suspected violators. (36) Warning and demand letters. (37) Criminal violation reports (a formal report compiling all or portions of the foregoing for prosecutive purposes).

Authority for maintenance of the system: (1) 26 U.S.C. Chapters 35 and 40. (2) 26 U.S.C. Chapters 51 and 52. (3) 26 U.S.C. Chapters 53, as amended. (4) 26 U.S.C. Chapters 61 through 80, as amended. (5) 27 U.S.C. Chapter 8, as amended, (Federal Alcohol Administration Act). (6) 18 U.S.C. Chapter 40. (7) 18 U.S.C. Chapter 44. (8) 18 U.S.C. Chapter 59 (9) 18 U.S.C. App. 1201-1203 (Title VII of the Omnibus Crime Control and Safe Streets Act of 1968, as amended by Title III of the Gun Control Act of 1968). (10) 22 U.S.C. section 414, (Mutual Security Act of 1954, as amended). (11) 5 U.S.C. sections 901 and 903, 5 U.S.C. App. (Reorganization Plan of 1950), Treasury Order 221, 5 U.S.C. section 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The purpose of this system is to suppress traffic in illicit untaxpaid distilled spirits; to enforce the Federal laws relating to the illegal possession and use of firearms, destructive devices, explosives, explosive materials, and wagering activities; and to assist Federal, State, local and foreign law enforcement agencies in reducing crime and violence. When required or authorized by statute, regulation, or Executive Order, information in the record system is made available to members of the public or employees of other Government agencies.

A record in this system may be disclosed as a routine use to: officers and employees of Department of the Treasury who have a need for the record in the performance of their duties; personnel of Department of Justice and other agencies, Federal, State, and local, foreign and domestic, having prosecutive and civil law enforcement functions; attorneys, magistrates, and judges; and parole and probation authorities for the purpose of prosecuting, sentencing and deter-

mining the parole and probation status of criminal offenders or suspected criminal offenders; Personnel of other Federal, State and local law enforcement agencies, foreign and domestic, for the purpose of developing information on subjects involved in Bureau criminal investigations and for assisting law enforcement agencies in the investigation and prosecution of violations of the criminal laws those agencies are responsible for enforcing; Personnel of Federal, State, and local governmental agencies, where such referral is considered reasonably necessary for the purpose of furthering Bureau efforts to investigate the activities of and apprehend criminal offenders and suspected criminal offenders; Personnel of Federal, State and local governmental agencies, foreign and domestic, where there is a showing of reasonable necessity to obtain such information to accomplish a valid law enforcement purpose; Employees and officials of financial and commercial business firms and private individuals for identification purposes pertaining to actual or suspected criminal offenders where it is considered reasonably necessary for the purpose of furthering Bureau efforts to investigate the activities of, and when appropriate, to apprehend, criminal offenders and suspected criminal offenders; Defense attorneys under Discovery Procedures; Members of the public, employees of other governmental agencies, or members of the news media (on a case by case basis where determination that such disclosure would be in accordance with guidelines set out under 28 C.F.R. Part 50.2; 5 U.S.C. 552, and 552a, and would not compromise enforcement of laws administered by Bureau of Alcohol, Tobacco and Firearms). For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Active records stored in file folders in security filing cabinets. Inactive records stored in file folders at Federal Records Centers. Records also stored on magnetic tape at ADP Division, Technical and Scientific Services Branch of Bureau Headquarters and at the IRS Data Center, Detroit, Michigan. Records are stored in on-line mass computer storage at San Diego, California. (Treasury Enforcement Communications System.)

Retrievability: Records are retrievable by name, date of birth, social security number, unique identifier, investigation number, serial number of firearm, or a combination of any of these; plus date and geographical location of incident giving rise to investigation.

Safeguards: Direct access restricted to personnel in Department of Treasury in the performance of their duty. Transmitted to routine users on a need to know basis and to others upon verification of the substance and propriety of the request. Stored in lockable file cabinets in rooms locked during non-duty hours.

Retention and disposal: Records are retained in accordance with General Services Administration General Records Schedules numbers 1 through 20 and Bureau of Alcohol, Tobacco and Firearms Records Control Schedules numbers 101 and 201 and disposed of by shredding or burning. Records on tape or on-line mass storage are disposed of by degaussing.

System manager(s) and address: Assistant Director (Criminal Enforcement), Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226.

Notification procedure: The Director of the Bureau of Alcohol, Tobacco and Firearms has exempted this system of records from compliance with the provisions of 5 U.S.C. 552a (e) (4) (G).

Record access procedures: The Director of the Bureau of Alcohol, Tobacco and Firearms has determined this system of records to be exempted from compliance with the provisions of 5 U.S.C. 552a (e) (4) (H).

Contesting record procedures: The Director of the Bureau of Alcohol, Tobacco and Firearms has determined this system of records to be exempted from compliance with the provisions of 5 U.S.C. 552a (e)(4)(H).

Record source categories: The Director of the Bureau of Alcohol, Tobacco and Firearms has determined this system of records to be exempt from compliance with the provisions of 5 U.S.C. 552a (e)(4)(I).

Systems exempted from certain provisions of the act: Exempt under 5 USC 552a(j) and 31 CFR 1.36.

Treasury/ATF 00.004

System name: Fiscal Record System—Treasury/ATF.

System location: Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvanina Avenue, N.W., Washington, D.C. 20226. Components of this record system are geographically dispersed throughout the Bureau's field offices. For nearest office location, see addresses listed Appendix E-1.

Categories of individuals covered by the system: (1) Present employees. (2) Former employees. (3) Recipients of rewards. (4) Vendors furnishing goods and services to the Bureau.

Categories of records in the system: (1) Advance of Funds. (2) Agreements. (3) Contracts. (4) Designated Agents or recommended Designated Agents. (5) Designated timekeepers and alternates. (6) Employee pay records. (7) Purchase Orders. (8) Payment vouchers. (9) Time and attendance records. (10) Travel and moving vouchers. (11) Travel request and authorization. (12) Chief Counsel and Regional Counsel memoranda and opinions.

Authority for maintenance of the system: (1) 5 U.S.C. sections 901 and 903, 5 U.S.C. App. (Reorganization Plan No. 26 of 1950), Treasury Order 221; 5 U.S.C. section 301. (2) E.O. 6166 (amended).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The purpose of this system is to provide fiscal services to employees of the Bureau and former employees of the Bureau; to record fiscal information as required by law and regulations; to prevent unauthorized disclosures; to provide accountability for government funds. When required or authorized by statute, regulation, or Executive Order, information in the record system is made available to members of the public or employees of other government agencies.

A record in this system of records may be disclosed as a "routine use" to a federal, state or local agency maintaining civil, criminal or other relevant enforcement information or other pertinent information, such as the letting of a contract, grant or other benefit.

A record in this system of records may be disclosed to a federal agency, in response to its request, in connection with the letting of a contract, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

A record in this system may be disclosed as a routine use to: officers and employees of the Department of the Treasury who have a need for the record in the performance of their duties, Employee of the General Accounting Office for review, Vendors of contractual services to the Bureau, members of the public, employees of other governmental agencies, or members of news media (on a case by case basis where determination that such disclosure would be in accordance with guidelines set forth under 28 C.F.R. Part 50.2; 5 U.S.C. 552 and 552a, and would not compromise enforcement of laws administered by Bureau of Alcohol, Tobacco and Firearms); local, state and Federal law enforcement agencies; local, state and Federal regulatory agencies; for additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Active records stored in file folders in security filing cabinets. Inactive records stored in file folders at Federal Records Centers. Records also stored on magnetic tape at the IRS Data Center, Detroit, Michigan.

Retrievability: Records are retrievable by name, date of birth, social security number, employee identification number, or a combination of any of these four.

Safeguards: Direct access restricted to personnel in Department of Treasury in the performance of their duty. Transmitted to routine users on a need to know basis or where a right to access is established, and to others upon verification of the substance and propriety of the request. Stored in lockable file cabinets in rooms locked during non-duty hours.

Retention and disposal: Records are retained in accordance with General Services Administration General Records Schedules numbers 1 through 20 and Bureau of Alcohol, Tobacco and Firearms records Controls Schedules numbers 101 and 201 and disposed of by shredding or burning. Records stored on tapes, discs or in on-line mass storage, are disposed of by degaussing.

System manager(s) and address: Chief, Fiscal Division, Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226.

Notification procedure: Inquiries should be addressed to: Privacy Act Request, Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226.Requests may be delivered personally to Room 2232, Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C.

Record access procedures: Requests for access to records made by mail should be addressed to: Privacy Act Request, Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226.Requests may be delivered personally to Room 2232, Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C.

Appeals of determinations refusing amendment of records should be addressed to: Privacy Act Appeal, Bureau of Alcohol, Tobacco

and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226. Appeals may be delivered personally to Room 2232, Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C.

Contesting record procedures: See Access above.

Record source categories: (1) Former employees of the Bureau of ATF. (2) IRS Data Center. (3) Present employees of the Bureau of ATF. (4) Surviving spouse of deceased personnel. (5) Vendors of necessary goods and services for operations of Bureau of ATF.

Treasury/ATF 00.005

System name: Freedom of Information Requests—Treasury/ATF.

System location: Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226.

Categories of individuals covered by the system: Individuals requesting information.

Categories of records in the system: Correspondence pursuant to the Freedom of Information Act, internal processing documents and memoranda, referrals and copies of requested records, and Chief Counsel and Regional Counsel memoranda and opinions.

Authority for maintenance of the system: Freedom of Information Act, 5 U.S.C. 552.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: For reporting requirements as required by the Act; to respond to other Department officials who request information regarding persons making a FOI request; evaluation purposes and determination of volume of requests received and the impact on the current workload; preparation of budget; disclosures in accordance with Freedom of Information Act, to other agencies when required for coordination of response or referral; to Department of Justice for defending law suits; to Congressional Committees; for additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders.

Retrievability: By name of requester(s) and date of request.

Safeguards: Filed in filing cabinet and released only to individuals with legitimate right to review.

Retention and disposal: In accordance with the provisions of the Act and Departmental records management procedures.

System manager(s) and address: Assistant to the Director (Disclosure), Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226.

Notification procedure: See system manager.

Record access procedures: See system manager.

Contesting record procedures: See system manager.

Record source categories: Individuals who make Freedom of Information Act requests. Federal officials responding to Freedom of Information Act requests and documents from official records.

Treasury/ATF 00.006

System name: Internal Security Record System—Treasury/ATF.

System location: Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226.

Categories of individuals covered by the system: (1) Present employees of the Bureau of ATF. (2) Former employees of the Bureau of ATF. (3) Applicants for employment.

Categories of records in the system: (1) Records containing investigative material compiled for law enforcement purposes including reports relating to: (a) conduct of employees. (b) integrity of employees. (2) Records containing investigative material compiled solely for the purpose of determining suitability, eligibility, or qualifications for Federal civilian employment or access to classified information including reports relating to security clearances of employees.

Authority for maintenance of the system: (1) 18 U.S.C. 201 (2) E.O. 10450 (3) E.O. 11222

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: This system is used to assure the Bureau Director, the Department of the Treasury, and the public that the Bureau is taking strong and vigorous steps to maintain the highest standards of integrity, loyalty, conduct, and security among Bureau personnel. When a criminal investigation results in a compilation of information contained in this system, the information so compiled shall be transferred to the AT&F Criminal Investigation Report System and shall become a part of that system for all purposes of the Privacy Act of 1974.

A record in this system of records may be disclosed as a "routine use" to a federal, state or local agency maintaining civil, criminal or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contact, or the issuance of a license, grant or other benefit.

A record in this system of records may be disclosed to a federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation to an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

A record in this system of records may be disclosed as a routine use to: officers and employees of the Department of the Treasury who have a need for the record in the performance of their duties; personnel of Department of Justice and other agencies, Federal, state, and local, foreign and domestic, having prosecutive and civil law enforcement functions; attorneys, magistrates, and judges; and parole and probation authorities for the purpose of prosecuting, sentencing, and determining the parole and probation status of criminal offenders or suspected criminal offenders; personnel of other Federal, state and local law enforcement agencies, foreign and domestic, for the purpose of developing information on subjects involved in Bureau criminal investigations and for assisting law enforcement agencies in the investigation and prosecution of violations of the criminal laws those agencies are responsible for enforcing; personnel of Federal, state and local governmental agencies, where such referral is considered reasonably necessary for the purpose of furthering Bureau efforts to investigate the activities of and apprehend criminal offenders and suspected criminal offenders; personnel of Federal, state and local governmental agencies, foreign and domestic, where there is a showing of reasonable necessity to obtain such information to accomplish a valid law enforcement purpose; employees and officials of financial and commercial business firms and private individuals for identification purposes pertaining to actual or suspected criminal offenders where it is considered reasonably necessary for the purpose of furthering Bureau efforts to investigate the activities of and apprehend criminal offenders and suspected criminal offenders; defense attorneys under Discovery Procedures; members of the public, employees of other governmental agencies, or members of the news media (on a case by case basis where determination that such disclosure would be in accordance with guidelines set out under 28 C.F.R. Part 50.2; 5 U.S.C. 552, and 552a, and would not compromise enforcement of laws administered by Bureau of Alcohol, Tobacco and Firearms). For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Active records stored in file folders in security filing cabinets. Inactive records stored in file folders at Federal Records Center.

Retrievability: Records are retrievable by name.

Safeguards: Direct access restricted to personnel in Department of the Treasury in the performance of their duty. Transmitted to routine users on a need to know basis and to others upon verification of the substance and propriety of the request. Stored in lockable metal file cabinets in rooms locked during non-duty hours.

Retention and disposal: Records are retained in accordance with General Services Administration General Records Schedules Numbers 1 through 20 and Bureau of Alcohol, Tobacco and Firearms records control schedules numbers 101 and 201 and disposed of by shredding or burning.

System manager(s) and address: Assistant Director, Inspection, Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue N.W., Washington, D.C. 20226.

Notification procedure: The Director of the Bureau of Alcohol, Tobacco and Firearms has determined this system of records to be exempt from compliance with the provisions of 5 U.S.C. 552a (e) (4) (G).

Record access procedures: The Director of the Bureau of Alcohol, Tobacco and Firearms has determined this system of records to be exempt from compliance with the provisions of 5 U.S.C. 552a (e) (4) (H).

Contesting record procedures: See Access above.

Record source categories: (1) Employees of this Bureau. (2) Internal Investigative report forms. (3) witnesses. (4) informants. (5) Federal, State and local enforcement agencies. (6) Employers. (7) Educational institutions. (8) Credit agencies. (9) Neighbors. (10) References. (11) Professional Organizations. (12) other government agencies.

Systems exempted from certain provisions of the act: Portions exempt under 5 USC 552a(k) (relating to security clearances) and 5 USC 552a(k)(2) (relating to conduct and integrity) and 31 CFR 1.36.

Treasury/ATF 00.007

System name: Personnel Record System—Treasury/ATF.

System location: Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226. Components of this record system are geographically dispersed throughout the Bureau's field offices. For nearest office location, see addresses listed Appendix E—1.

Categories of individuals covered by the system: (1) Present Employees of the Bureau of ATF. (2) Former Employees of the Bureau of ATF. (3) Applicants for employment with ATF.

Categories of records in the system: (1) Allotment and Dues. (2) Annual Tax Reports. (3) Applicants for employment. (4) Applications for reassignment. (5) Awards, honors, and fellowship records. (6) Classification appeal records. (7) Death claim records. (8) Educational history. (9) Employee indebtedness records. (10) Employees qualified as Grievance Examiners. (11) Employee Suggestions. (12) Employee history. (13) Employee relations case file. (14) Equal employment opportunity case file. (15) Health maintenance records. (16) Insurance records. (17) Military history. (18) Occupational injuries, disabilities, and Worker's Compensation Records. (19) Official personnel folder. (20) Outside employment and identification numbers, business or professional records. (21) Outside employment. (22) Outside financial interests. (23) Overtime and/or Premium Pay records. (24) Performance evaluation records. (25) Personal history. (26) Pocket commission, badge number. (27) Position description records. (28) Promotion/Selection Certificates Records. (29) Property custody records. (30) Retirement records. (31) Security clearance records. (32) Statement of career goals. (33) Supervisory or managerial potential records. (34) Temporary assignments and details. (35) Time application reports and records. (36) Training record. (37) U.S. Savings Bond participation records. (38) Upward mobility applications. (39) Vehicle accidents. (40) Withholding tax records. (41) Work schedule records. (42) Chief Counsel and Regional Counsel memoranda and opinions.

Authority for maintenance of the system: (1) 5 U.S.C. Chapter 29, Subchapter II. (2) 5 U.S.C. Chapters 31 and 33. (3) 5 U.S.C. Chapter 43. (4) 5 U.S.C. Chapter 45. (5) 5 U.S.C. Chapter 51. (6) 5 U.S.C. Chapter 55, subchapter III. (7) 5 U.S.C. Chapter 61. (8) 5 U.S.C. Chapter 75. (9) 5 U.S.C. Chapter 83. (10) 5 U.S.C. Section 301. (11) 5 U.S.C. 4503. (12) 5 U.S.C. Section 5101—5115. (13) 5 U.S.C. Section 7151—7154. (14) 5 U.S.C. Section 7901. (15) P.L. 92—261 (Equal Employment Act of 1972). (16) P.L. 93—579. (17) Federal Employees Compensation Act. (18) Occupational Safety and Health Act of 1970. (19) Executive Order 10561. (20) E.O. 11222. (21) E.O. 11478. (22) E.O. 11491.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The purpose of this system is to provide personnel services to employees of the Bureau, former employees of the Bureau, and applicants for employment with the Bureau; to record personnel information as required by law and regulations; to prevent unauthorized disclosures; provide identification information in accident or medical emergency situations. When required or authorized by statute, regulations, or executive order, information in the record system is made available to members of the public, news media or other governmental agencies.

A record in this system of records may be disclosed as a "routine use" to a federal, state or local agency maintaining civil, criminal or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant or other benefit.

A record in this system of records may be disclosed to a federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

A record in this system maybe disclosed to the following as a routine use: (1) The Department of Labor; (2) Congressmen; (3) Equal Employment Opportunity Commission; (4) Members of the public, employees of other governmental agencies, or members of the news media (on a case by case basis where determination that such disclosure would be in accordance with guidelines set forth under 28 C.F.R. Part 50.2; 5 U.S.C. 552 and 552a, and would not compromise enforcement of laws administered by Bureau of Alcohol, Tobacco and Firearms); (5) Officers and employees of Department of the Treasury who have a need for the record in the performance of their duties; (6) Federal, state and local law enforcement agencies; (7) Federal, state and local regulatory agencies. For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Active records stored in file folders in security filing cabinets. Inactive records stored in file folders at Federal Records Centers. Records also stored on magnetic tape at the IRS Data Center, Detroit, Michigan. Records are stored in on—line mass computer storage at San Diego, California (Treasury Enforcement Communications System).

Retrievability: Records are retrievable by name, date of birth, social security number, employee identification number, or a combination of any of these four.

Safeguards: Direct access restricted to personnel in Department of Treasury in the performance of their duty. Transmitted to routine users on a need to know basis or where a right to access is established, and to others upon verification of the substance and propriety of the request. Stored in lockable file cabinets in rooms locked during non—duty hours.

Retention and disposal: Records are retained in accordance with General Services Administration General Records Schedules numbers 1 through 20 and Bureau of Alcohol, Tobacco and Firearms Records Control Schedules numbers 101 and 201 and disposed of by shredding, burning or by degaussing.

System manager(s) and address: Assistant Director (Administration), Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226.

Notification procedure: The Director of the Bureau of Alcohol, Tobacco and Firearms has exempted this system of records from compliance with the provisions of 5 U.S.C. 552a (e) (4) (G).

Record access procedures: The Director of the Bureau of Alcohol, Tobacco and Firearms has exempted this system of records from compliance with the provisions of 5 U.S.C. 522a (e) (4) (H).

Contesting record procedures: Same as access above.

Record source categories: (1) Administrative Records. (2) Applicants for employment with Bureau. (3) Acquaintances. (4) Business and professional associates. (5) Creditors. (6) Criminal records. (7) Educational institutions attended. (8) Employee records. (9) Equal Employment Opportunity Commission. (10) Financial institutions. (11) Fiscal records. (12) Former employees. (13) Former employers. (14) Inspection records. (15) Internal investigation reports. (16) Internal Revenue Service. (17) Military records. (18) Outside employers. (19) Physicians. (20) Police reports. (21) Position classification specialists. (22) Psychiatrists. (23) References. (24) Supervisors. (25) Training officers. (26) Unions, accredited. (27) U.S. Civil Service Commission. (28) Witnesses.

Systems exempted from certain provisions of the act: Exempt under 5 USC 552a(k) and 31 CFR 1.36.

Treasury/ATF 00.008

System name: Regulatory Enforcement Record System—Treasury/ATF.

System location: Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226. Components of this system of records are also geographically dispersed throughout ATF's regional and field offices. For locations, see addresses of regional and field offices listed in Appendix E—1.

Categories of individuals covered by the system: (1) Individuals who have been issued permits or licenses, have filed applications with ATF, or have registered with ATF as: (a) Brewers; (b) Claimants for refund, abatement, credit, allowance or drawback of excise or special occupational taxes; (c) Collectors of firearms or ammunition; (d) Distillers, bonded warehousemen, rectifiers, or bottlers of distilled spirits; (e) Importers of firearms, ammunition or implements of war; (f) Importers or wholesalers of beer, wine or distilled spirits; distillers, rectifiers, bottlers, or warehousemen of distilled spirits; or wine producers; (g) Individuals engaged in wagering activities; (h) Individuals who produce, receive, blend, store or treat wine or distilled spirits for experimental or research purposes; (i) Individuals who establish experimental distilled spirits plants; (j) Limited retail dealers in beer or wine; (k) Liquor bottle manufacturers; (l) Manufacturers of stills; (m) Manufacturers, importers, or dealers of firearms or ammunition; (n) Manufacturers, importers, dealers, or users of explosive materials; (o) Manufacturers, proprietors of export warehouses or importers of tobacco products; (p) Nonbeverage drawback claimants; (q) Operators of pilot brewing plants; (r) Possessors of stills and related equipment; (s) Producers of wine for family use; (t) Propri-

etors of vinegar plants, fruit—flavor concentrate plants or class 6 bonded warehouses; (u) Proprietors of bonded wine cellars, bonded wineries, taxpaid wine bottling houses, or bonded wine warehouses; (v) Reprocessors or rebottlers of specially denatured spirits; (w) Retailers of beer, wine or distilled spirits; (x) Users of tax—free alcohol, dealers or users of specially denatured spirits, or recoverers of specially denatured or completely denatured spirits.

(2) Individuals who are employed by businesses engaged in the activities specified in paragraph 1 and who are officers, directors, holders of 10 percent or more of the outstanding stock, designated agents, or responsible persons. (3) Individuals who are not qualified to engage in any of the activities listed in paragraph 1, but who are assessed excise or special occupational taxes. (4) Individuals who submit Offers in Compromise.

Categories of records in the system: Records containing investigative material compiled for law enforcement purposes which may consist of the following: (1) Abstracts of offers in compromise. (2) Administrative law judge decisions. (3) Assessment records: (a) notices of proposed assessments; (b) notices of shortages or losses; (c) notices to IRS to assess taxes; (d) recommendation for assessments. (4) Claim records: (a) claims; (b) letters of claim rejection; (c) sample reports; (d) supporting data; (e) vouchers and schedules of payment. (5) Comments on proposed rulemakings. (6) Complaints from third parties. (7) Correspondence concerning records in this system and related matters. (8) Financial statements. (9) Inspection and investigation reports. (10) Joint demands on principals and sureties for payment of excise tax liabilities. (11) Letters of reprimand. (12) Lists of permittees and licensees. (13) Lists of officers, directors and principal stockholders. (14) Mailing lists and addressograph plates. (15) Notices of delinquent reports. (16) Offers in compromise. (17) Operation records: (a) operating reports; (b) reports of required inventories; (c) reports of thefts or losses of firearms; (d) reports of thefts of explosive materials; (e) transaction records; (f) transaction reports. (18) Orders of revocation, suspension or annulment of permits or licenses. (19) Regional and Chief Counsel opinions and memoranda. (20) Reports of violations. (21) Permit status records. (22) Qualifying records: (a) access authorizations; (b) advertisement records; (c) applications; (d) bonds; (e) business histories; (f) criminal records; (g) diagrams of premises; (h) educational histories; (i) employment histories; (j) environmental records; (k) financial data; (l) formula approvals; (m) label approvals; (n) licenses; (o) notices; (p) permits; (q) personal references; (r) plant profiles; (s) plant capacities; (t) plats and plans; (u) registrations; (v) sample reports; (w) signature authorities; (x) special permissions and authorizations; (y) statements of process. (23) Show cause orders. (24) Tax records: (a) control cards relating to periodic payment and prepayment of taxes; (b) excise and special tax returns; (c) notices of tax discrepancy or adjustment.

Authority for maintenance of the system: (1) 26 U.S.C. 5172. (2) 26 U.S.C. 5271(b)(1). (3) 26 U.S.C. 5356. (4) 26 U.S.C. 5401. (5) 26 U.S.C. 5417. (6) 26 U.S.C. 5502(b). (7) 26 U.S.C. 5511(3). (8) 26 U.S.C. 5521(a). (9) 26 U.S.C. 5179(a). (10) 22 U.S.C. 204(c). (11) 26 U.S.C. 5105. (12) 26 U.S.C. 5275. (13) 26 U.S.C. 5301(b). (14) 26 U.S.C. 5132. (15) 26 U.S.C. 5042(a)(2). (16) 26 U.S.C. 7011. (17) 26 U.S.C. 5712. (18) 18 U.S.C. 923(a). (19) 18 U.S.C. 923(b). (20) 18 U.S.C. 843(a). (21) 22 U.S.C. 414. (22) 26 U.S.C. 4401(a). (23) 26 U.S.C. 6001. (24) 26 U.S.C. 6011(a). (25) 26 U.S.C. 5001. (26) 26 U.S.C. 5021—5023. (27) 26 U.S.C. 5041. (28) 26 U.S.C. 5051. (29) 26 U.S.C. 6201. (30) 26 U.S.C. 5008. (31) 26 U.S.C. 5044. (32) 26 U.S.C. 5056. (33) 26 U.S.C. 5705. (34) 26 U.S.C. 6423(b). (35) 26 U.S.C. 5009(a). (36) 26 U.S.C. 5006(a). (37) 26 U.S.C. 5055. (38) 26 U.S.C. 5062(c). (39) 26 U.S.C. 5106. (40) 26 U.S.C. 5131(c). (41) 26 U.S.C. 5064. (42) 26 U.S.C. 7122. (43) 27 U.S.C. 207. (44) 18 U.S.C. 843(d). (45) 18 U.S.C. 923(f). (46) 27 U.S.C. 204(e). (47) 26 U.S.C. 5312(a). (48) 26 U.S.C. 5042(a)(3). (49) Reorganization Act of 1949, 5 U.S.C. Sections 901 et seq.; Revenue Act of 1951, Section 616; Treasury Department Order 221 (37 F.R. 11696, June 19, 1972). (50) 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The purpose of this system is to determine suitability, eligibility or qualifications of individuals who are engaged or propose to engage in activities regulated by ATF; achieve compliance with laws under ATF's jurisdiction; assure full collection of revenue due from legal industries; eliminate commercial bribery, consumer deception and other improper trade practices in the distilled spirits, beer and wine industries; interact with Federal, state and local governmental agencies in the resolution of problems relating to industrial development, revenue protection, public health, ecology, and other areas of joint jurisdictional concern. When required or authorized by statute, regulation, or Executive Order, information in the record system is made available to members of the public or employees of other government agencies.

In the event that a record in this system indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, these records may be referred, as a routine use, to the appropriate agency, whether federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto.

A record in this system of records may be disclosed as a "routine use" to a federal, state or local agency maintaining civil, criminal or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the issuance of a license, grant or other benefit.

A record in this system of records may be disclosed to a federal agency, in responses to its request, in connection with the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter. When an ATF criminal investigation results in compilation of information contained in this system of records, the information shall be transferred to the Treasury ATF—Criminal Investigation Report System and shall become part of that system for all purposes of the Privacy Act of 1974. A record in this system may be disclosed as a routine use to: Officers and employees of the Department of the Treasury who have a need for the record in the performance of their duties; Federal, State or local regulatory or law enforcement agencies in the performance of their duties on a need to know basis; Members of the public, employees of other Governmental agencies, or members of the news media (on a case by case basis where determination that such disclosure would be in accordance with guidelines set forth under 28 C.F.R. Part 50.2; 5 U.S.C. 552 and 552a, and would not compromise enforcement of laws administered by Bureau of Alcohol, Tobacco and Firearms). For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Active records stored in file folders in filing cabinets; card forms in card filing cabinets; and on magnetic tapes or microfiche at IRS Service Centers, ATF Headquarters, or Department of the Treasury.

Retrievability: Records are retrievable by name, permit or license number, or by document locator number.

Safeguards: Direct access restricted to personnel in Department of Treasury in the performance of their duty. Transmitted to routine users on a need to know basis and others upon verification of the substance and propriety of the request. Stored in file cabinets in rooms locked during non—duty hours. Wagering records are maintained in safes or file cabinets which are locked at all times.

Retention and disposal: Records are retained in accordance with General Services Administration General Records Schedules numbers 1 through 20 and Bureau of Alcohol, Tobacco and Firearms Records Control Schedules numbers 101 and 201 and disposed of by shredding, burning or by degaussing.

System manager(s) and address: Assistant Director, Regulatory Enforcement, Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226.

Notification procedure: The Director of the Bureau of Alcohol, Tobacco and Firearms has determined this system of records to be exempt from compliance with the provisions of 5 U.S.C. 522a (e) (4) (G).

Record access procedures: The Director of the Bureau of Alcohol, Tobacco and Firearms has determined this system of records to be exempt from compliance with the provisions of 5 U.S.C. 522a (e) (4) (H).

Contesting record procedures: See Access above.

Record source categories: (1) Acquaintances. (2) Bureau Personnel. (3) Business and Professional Associates. (4) Creditors. (5) Criminal Records. (6) Financial Institutions. (7) Former Employers. (8) Internal Revenue Service. (9) Military Records. (10) Physicians. (11) Psychiatrists. (12) References. (13) Police Reports. (14) Witnesses. (15) Federal Law Enforcement Agencies. (16) State Law Enforcement Agencies. (17) Local Law Enforcement Agencies. (18) State Regulatory Agencies. (19) Federal Regulatory Agencies. (20) Local Regulatory Agencies. (21) Chief Counsel's Opinions. (22) Regional Counsel's Opinions. (23) Chief Counsel's Memoranda. (24) Regional Counsel's Memoranda. (25) Field Investigation Reports. (26) Third Parties.

Systems exempted from certain provisions of the act: Exempt under 5 USC 552a(k)(2) and 31 CFR 1.36.

Treasury/ATF 00.009

System name: Technical and Scientific Services Record System—Treasury/ATF.

System location: Bureau of Alcohol, Tobacco, and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226. Components of this record system are geographically dispersed throughout Bureau of Alcohol, Tobacco and Firearms' field offices. For nearest location, see addresses listed Appendix E—1.

Categories of individuals covered by the system: (1) Applicants to register firearms under the National Firearms Act. (2) Applicants for Surplus Military Firearms under the Director of Civilian Marksmanship Program. (3) Importers of implements of war as defined under the Mutual Security Act of 1954. (4) Licensed importers registered under the Mutual Security Act of 1954. (5) Manufacturers of National Firearms Act who are exempt from payment of Special (Occupational) tax provisions. (6) Non—Bureau chemists certified to make analysis of alcoholic beverages. (7) Persons involved in explosives tagging and detection program. (8) Registered owners of National Firearms Act firearms. (9) Special (Occupational) taxpayers as defined under title II of the Gun Control Act of 1968. (10) Victims of explosives. (11) Individuals involved in Government funded research projects.

Categories of records in the system: (1) Alterations of registered National Firearms Act firearms. (2) Applications for surplus military firearms. (3) Applications to register firearms and destructive devices under the National Firearms Act. (4) Applications to import articles on the U.S. Munitions list. (5) Blueprints. (6) Certifications of payment of Special (occupational) tax payments. (7) Changes of address for owner of firearms registered under the National Firearms Act. (8) Claims for erroneous Special (Occupational) taxes payments. (9) Descriptions of Inventions. (10) Delinquency notices regarding proof of importation of National Firearms Act Firearms. (11) Explosive reports. (12) Non—Bureau chemists' statements of qualification. (13) Patent information. (14) Registrations of firearms and destructive devices under the National Firearms Act. (15) Registration of war trophy firearms. (16) Requests and authorizations for temporary movement and/or temporary storage of National Firearms Act firearms. (17) Technical and scientific data. (18) Transaction records concerning National Firearms Act firearms. (19) Trade secrets. (20) U.S. Government contracts to manufacturers of National Firearms Act firearms. (21) Chief Counsel and Regional Counsel memoranda and opinions.

Authority for maintenance of the system: (1) 18 U.S.C. Chapter 40. (2) 18 U.S.C. Chapter 44. (3) 18 U.S.C. Section 3056. (4) 26 U.S.C., Sections 6001(a), 5001, 5008, 5009(a), 5006(a), 5021—5023, 5041, 5042(a)(2), 5051, 5053, 5056, 5062(c), 5705, 6201, 6423(b), 5105, 5106, 5131(c), 5132, 5172, 5172(b)(1), 5275, 5301(b), 5356, 5401, 5417, 5502(b), 5511(3), 5521(a), 5179(a), 5712, 7011. (5) 27 U.S.C. Sections 204(c). (6) 26 U.S.C. Chapter 35. (7) Executive Order 10973 as amended by Executive Order 11432.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The purpose of this system is to provide technical and scientific support and expertise to Criminal and Regulatory Enforcement activities of the Bureau; to other Federal, State, local and foreign law enforcement agencies; and to industries involved in activities regulated by the Bureau. When required or authorized by statute, regulation, or Executive Order, information in the record system is made available to members of the public or employees of other government agencies. When a criminal investigation results in a compilation of information contained in this system, the information so compiled shall be transferred to the ATF Criminal Investigation Report System and shall become a part of that system for all purposes of the Privacy Act of 1974.

In the event that a record in this system indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, these records may be referred, as a routine use, to the appropriate agency, whether federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto. Records in this system may be disclosed as a routine use to: (1) Officers and employees of the Department of the Treasury who have a need for the record in the performance of their duties. (2) Federal law enforcement agencies. (3) State law enforcement agencies. (4) Local law enforcement agencies. (5) Foreign law enforcement agencies. (6) Industries involved in activities regulated by the Bureau. (7) Department of Defense. (8) Federal regulatory agencies. (9) State regulatory agencies. (10) Local regulatory agencies. (11) Members of the public, employees of other government agencies, or members of the news media (on a case by case basis where determination that such disclosure would be in accordance with guidelines set forth under 28 C.F.R. Part 50.2; 5 U.S.C. 552 and 552a, and would not compromise enforcement of laws administered by Bureau of Alcohol, Tobacco and Firearms). (12) Smithsonian Institution. (13) For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Active records stored in file folders in filing cabinets; on 3 by 5 and 5 by 8 cards stored in card file cabinets; on microfilm reels; magnetic tape reels and discs; and on keypunch cards.

Retrievability: Records are retrievable by name, by unique identifier, control number, serial number of National Firearms Act firearms.

Safeguards: Stored in file cabinets locked during non—duty hours.

Retention and disposal: Records are retained in accordance with General Services Administration Records Schedules numbers 1 through 20 and Bureau of Alcohol, Tobacco and Firearms Records Control Schedules numbers 101 and 201 and disposed of by shredding or burning. Records stored on tape discs or on—line mass storage are disposed of by degaussing.

System manager(s) and address: Assistant Director, Technical and Scientific Services, Bureau of Alcohol, Tobacco and Firearms, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20226.

Notification procedure: The Director of the Bureau of Alcohol, Tobacco and Firearms has determined this system of records to be exempt from compliance with the provisions of 5 U.S.C. 552 (e) (4) (G).

Record access procedures: The Director of the Bureau of Alcohol, Tobacco and Firearms has determined this system of records to be exempt from compliance with the provisions of 5 U.S.C. 552a (e) (4) (H).

Contesting record procedures: See Access above.

Record source categories: (1) Individuals. (2) Companies. (3) Corporations. (4) Firearms Licensees. (5) Firearms Permittees. (6) Explosive Licensees. (7) Explosive Permittees. (8) Bureau personnel. (9) Federal law enforcement agencies. (10) State law enforcement agencies. (11) Local law enforcement agencies. (12) Foreign law enforcement agencies. (13) Federal Regulatory agencies. (14) State Regulatory agencies. (15) Local Regulatory agencies. (16) Non—Bureau Chemists.

Systems exempted from certain provisions of the act: Exempt under 5 USC 552a(k)(2) and 31 CFR 1.36.

APPENDIX E

(List of geographically dispersed offices referred to in the "Location" sections of each system of records)

Regional Offices

Regional Director, Bureau of Alcohol, Tobacco and Firearms, 6 World Trade Center, Room 620 H, New York, New York 10048.

Regional Director, Bureau of Alcohol, Tobacco and Firearms, Room 360, 2 Penn Center, Philadelphia, Pennsylvania 19102.

Regional Director, Bureau of Alcohol, Tobacco and Firearms, Federal Office Building, Room 6519, 550 Main Street, Cincinnati, Ohio 45202.

Regional Director, Bureau of Alcohol, Tobacco and Firearms, Room 1502, 230 S. Dearborn Street, Chicago, Illinois 60604.

Regional Director, Bureau of Alcohol, Tobacco and Firearms, Room 204, 3835 Northeast Expressway, Atlanta, Georgia 30340.

Regional Director, Bureau of Alcohol, Tobacco and Firearms, Room 345, Main Tower, 1200 Main Street Dallas, Texas 75202.

Regional Director, Bureau of Alcohol, Tobacco and Firearms, 525 Market Street, 34th Floor, San Francisco, California 94105.

CRIMINAL ENFORCEMENT OFFICES

Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, J F Kennedy Federal Office Building, P.O. Box 9135, JFK PO, Boston, Massachusetts 02203.

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, Room 612, Federal Building, 11 Elmwood Avenue, Burlington, Vermont 05401/Essex Junction

Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, Federal Building, Room 232, CONCORD, NEW HAMPSHIRE 03301.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Building, 450 Main Street, HARTFORD, CONNECTICUT 06101.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Building, Room 211, 150 Court Street, NEW HAVEN, CONNECTICUT 06510.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, U.S. Courthouse, Rooms 34, 36 and 36A, 156 Federal Street, PORTLAND, MAINE 04112.
Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, Federal Building, Room 346, 436 Dwight Street, SPRINGFIELD, MASSACHUSETTS 01103.
Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 1529 WORCESTER, MASSACHUSETTS 01601.
Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 120 Church Street, P.O. Box 3482, Church Street Station, NEW YORK, NEW YORK 10008.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Building—Room 217, 111 W Huron Street, BUFFALO, NEW YORK 14202.
Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 487, ALBANY, NEW YORK 12201.
Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 1036, PLATTSBURGH, NEW YORK 12901.
Criminal Enforcement, Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 300 Hamilton Ave., Room 200, WHITE PLAINS, NEW YORK 10601.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Room 1343, U.S. Courthouse and Federal Bldg., 100 S. Clinton St., SYRACUSE, NEW YORK 13202.
Resident Agent in Charge, Bureau of Alcohol, Tobacco, and Firearms, U.S. Courthouse and Federal Building, Room 354, Calle Chardon, Hato Rey, Puerto Rico 00919.
Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Room 206, 701 W Broad Street, FALLS CHURCH, VIRGINIA 22046.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Room 938, 31 Hopkins Plaza, BALTIMORE, MARYLAND 21201.
Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, Federal Building, 9th & King Streets, WILMINGTON, DELAWARE 19802.
Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 108, WINCHESTER, VIRGINIA 22601.
Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 2401 Morris Ave., UNION, NEW JERSEY 07083.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Reutter Building, 3rd Floor, 840 Cooper Street, CAMDEN, NEW JERSEY 08102.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, U.S. Post Office Building, Room 210, TRENTON, NEW JERSEY 08608.
Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, U.S. Customs House, Room 504, Second & Chestnut Streets, PHILADELPHIA, PENNSYLVANIA 19106.
Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 949, Federal Square Station, HARRISBURG, PENNSYLVANIA 17108.
Regulatory Enforcement, Bureau of Alcohol, Tobacco, and Firearms, Suite 401, 100 W. Main St. Landsdale, Pennsylvania 19446.
Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 1797, ERIE, PENNSYLVANIA 16507.
Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, Berks Title Building, Room 136, 6th and Washington Streets, READING, PENNSYLVANIA 19602.
Regulatory Enforcement—Area Office, Bureau of Alcohol, Tobacco and Firearms, 2104, Federal Building, PITTSBURGH, PENNSYLVANIA 15222.
Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Room 6008, 400 N 8th Street, RICHMOND, VIRGINIA 23240.
Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 897, DANVILLE, VIRGINIA 24541.
Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 683, BRISTOL, VIRGINIA 24201.
Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 12121, Thomas Corner Station, NORFOLK, VIRGINIA 23502.
Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 4718, Grandin Road Station, ROANOKE, VIRGINIA 24015.
Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 304 U.S.P.O. & Courthouse Bldg. 5th and Walnut Streets CINCINNATI, OHIO 45202.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Building, Room 3414, 500 Quarrier Street, CHARLESTON, WEST VIRGINIA 25301.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 4455, BLUEFIELD, WEST VIRGINIA 24701.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 440, PORTSMOUTH, OHIO 45662.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Building & U.S. Courthouse, Room 427, Chaplin & 12th Streets, WHEELING, WEST VIRGINIA 26003.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Building, Room 329, 1240 E 9th Street, CLEVELAND, OHIO 44199.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Court & Customs Building, Room 302, 1716 Spielbusch, TOLEDO, OHIO 43624.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 477, YOUNGSTOWN, OHIO 44501.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Building, Room 245, 85 Marconi Boulevard, COLUMBUS, OHIO 43215.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 804, DAYTON, OHIO 45401.
Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Building, Room 371, 231 West LaFayette Street, DETROIT, MICHIGAN 48226.
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Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 7, BOWLING GREEN, KENTUCKY 42101.
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Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 907, HAMMOND, INDIANA 46325.
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Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 941 U.S. Courthouse, 811 Grand Avenue, KANSAS CITY, MISSOURI 64102.
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Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 114 Market Street, Room 615, ST. LOUIS, MISSOURI 63101.

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Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, Federal Office Building, Room 109, 210 3rd Avenue South, MINNEAPOLIS, MINNESOTA 55401.
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Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 310 Federal Bldg., 400 Phillips Ave. South SIOUX FALLS, SOUTH DAKOTA 57102.
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Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 669, COLUMBUS, GEORGIA 31902.
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Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Executive Court, Room 211, 5105 Paulsen Street, SAVANNAH, GEORGIA 31406.
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Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 1468, ROME, GEORGIA 30161.
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Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 1483, HUNTSVILLE, ALABAMA 35807.
Residents Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Office Building, Room 1009, 109 St. Joseph Street, MOBILE, ALABAMA 36602.
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Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 1370, ASHEVILLE, NORTH CAROLINA 28802.
Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 231, WILKESBORO, NORTH CAROLINA 28697.
Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 15065, Ardmore Station, WINSTON—SALEM, NORTH CAROLINA 27103.
Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 3761, Azalea Station, WILMINGTON, NORTH CAROLINA 28401.
Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 190, NEW BERN, NORTH CAROLINA 28560.
Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 274, FAYETTEVILLE, NORTH CAROLINA 28302.
Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 25699, RALEIGH, NORTH CAROLINA 27611.
Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 1671, ELIZABETH CITY, NORTH CAROLINA 27909.
Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Office Building, Room 501, 901 Sumter Street, COLUMBIA, SOUTH CAROLINA 29201.
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Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, 231 South Warley Street, FLORENCE, SOUTH CAROLINA 29501.
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Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 301 Building, Room 506, 301 N Lamar Street, JACKSON, MISSISSIPPI 39202.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 801 Security S&L Building, 2301 14th Street, GULFPORT, MISSISSIPPI 39501.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 1414 23rd Avenue, MERIDIAN, MISSISSIPPI 39301.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Building, Room 137, 911 Jackson Avenue, OXFORD, MISSISSIPPI 38655.
Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 8410 N.W. 53rd Terrace, Suite 219, MIAMI, FLORIDA 33166.
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Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 400 W. Bay St., JACKSONVILLE, FLORIDA 32202.
Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, Bradshaw Building, 14 East Washington Street, ORLANDO, FLORIDA 32593.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Barnett Bank Building, Room 810, 1000 N Ashley Street, TAMPA, FLORIDA 33601.
Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 4004 Hillsboro Road, Room 210, NASHVILLE, TENNESSEE 37215.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Franklin Building, Suite 305, E. Gate Center, CHATTANOOGA, TENNESSEE 37411.
Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 336, GREENEVILLE, TENNESSEE 37743.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Parkway Building, Room 100, 1212 Pierce Parkway, KNOXVILLE, TENNESSEE 37921.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 167 N. Main Street, Room 22, MEMPHIS, TENNESSEE 38103.
Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Corporate Square Building—Suite 250, 3910 Kirby Drive, HOUSTON, TEXAS 77027.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Room 204, 2101 S. Interregional Highway, AUSTIN, TEXAS
Resident Agent in Charge Bureau of Alcohol, Tobacco, and Firearms, Room 1818, 600 Leopard Street, Corpus Christi, Texas 78403.
Resident Agent in Charge, Bureau of Alcohol, Tobacco, and Firearms, Koger Building, Suite 233, 444 Executive Center Boulevard, EL PASO, TEXAS 79902.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 2047/10 East Elizabeth Street, BROWNSVILLE, TEXAS 78520.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, New Federal Building—Room A—405, 727 E Durango Street, SAN ANTONIO, TEXAS 78206.
Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Main Tower Building, Room 349, 1200 Main St., DALLAS, TEXAS 75202.
Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 816/110 East Houston, TYLER, TEXAS 75701.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Old Federal Building—Room 308, 517 Gold Ave. SW, ALBUQUERQUE, NEW MEXICO 87103 or P.O. Box 501, Albuquerque, New Mexico 97101.

Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Office Building, Room 625, 1205 Texas Avenue, LUBBOCK, TEXAS 79401.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Office Building—Room 11C01, 819 Taylor Street, or P.O. Box 17088, FORT WORTH, TEXAS 76102.
Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, 546 Carondelet, Room 301, NEW ORLEANS, LOUISIANA 70130.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Building—Room 278, FORT SMITH, ARKANSAS 72902.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, U.S. Post Office, Room 213, TEXARKANA, ARKANSAS 75502
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 2461, LITTLE ROCK, ARKANSAS 72203.
Regulatory Enforcement, Bureau of Alcohol, Tobacco, and Firearms, P.O. Box 1944, BATON ROUGE, LOUISIANA 70821.
Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 225/Room 8A15, 500 Fannin Street, SHREVEPORT, LOUISIANA 71162.
Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 50 Penn Place, Suite 810, OKLAHOMA CITY, OKLAHOMA 73118.
Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, Federal Office Building, Room 107, CHEYENNE, WYOMING 82001.
Regulatory Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 3523/19th and Stout Streets, DENVER, COLORADO 80201.
Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 934/Room 131, Federal Building, MUSKOGEE, OKLAHOMA 74401.
Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 466/420 South Boulder, TULSA, OKLAHOMA 74101.
Special Agent in Charge, Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 1991 Main Office, Room 4354, 300 N Los Angeles Street, LOS ANGELES, CALIFORNIA 90012.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Financial Plaza, 500 Esplanade Drive, OXNARD, CALIFORNIA 93031.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 2721 North Central, Suite 910, PHOENIX, ARIZONA 85004.
Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, 610 A Street, Room 202, SAN DIEGO, CALIFORNIA 92101.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Building, Room 912, 34 Civic Center Plaza, SANTA ANA, CALIFORNIA 92712.
Resident Agent in Charge, Bureau of Alcohol, Tobacco, and Firearms, 3610 Central Ave., Suite 511, Riverside, California 92506.
Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 2510, TUCSON, ARIZONA 85702.
Resident Agent in Charge, Bureau of Alcohol, Tobacco, and Firearms, 400 Oceangate, Suite 1130, LONG BEACH, CALIFORNIA 90802.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Rm 2s11, 6230 Van Nuys Blvd., VAN NUYS, CALIFORNIA 91408.
Special Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 525 Market Street, Room 2540, SAN FRANCISCO, CALIFORNIA 94105.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Building, Room 5019, 1130 O Street, FRESNO, CALIFORNIA 93721.
Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 16022, LAS VEGAS, NEVADA 89101.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 1515 Clay Street, Room 906, OAKLAND, CALIFORNIA 94612
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, 300 Booth Street, Room 4117, RENO, NEVADA 89502.
Resident Agent in Charge, Bureau of Alochol, Tobacco and Firearms, Room 125, 131 East Gish Road, SAN JOSE, CALIFORNIA 95112.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Building, Room 3514, 650 Capitol Mall, SACRAMENTO, CALIFORNIA 95814.
Resident Agent in Charge, Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 1855, P.O. Building, SALT LAKE CITY, UTAH 84110.
Special Agent in Charge, Bureau of Alochol, Tobacco and Firearms, Federal Building, Room 806, 915 Second Avenue, SEATTLE, WASHINGTON 98174.
Resident Agent in Charge, Bureau of Alcohol, Tobacco and Firearms, Federal Building, G-79, 4th and F Streets, ANCHORAGE, ALASKA 99501.
Resident Agent in Charge, Bureau of Alochol, Tobacco and Firearms, American Reserve Building, Room 3280, 2410 Banks Drive, BOISE, IDAHO 83705.
Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 1682, HELENA, MONTANA 59601.
Resident Agent in Charge, Bureau of Alochol, Tobacco and Firearms, Crown Plaza Building, Room 125, 319 S.W. Pine Avenue, PORTLAND, OREGON 97204.
Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 2202, SPOKANE, WASHINGTON 99210.
Criminal Enforcement, Bureau of Alcohol, Tobacco and Firearms, P.O. Box 3313, HONOLULU, HAWAII 96801.

REGULATORY ENFORCEMENT OFFICES

AREA OFFICES

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Area Supervisor, Bureau of ATF, Room 200, 300 Hamilton Ave., White Plains, New York 10601.
Area Supervisor, Bureau of ATF, Room 219, Federal Building, 111 West Huron St., Buffalo, NY 14202.
Area Supervisor Bureau of ATF, Room 310, 701 West Broad St. Falls Church, VA 22046.
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Area Supervisor, Bureau of ATF, Room 500, U.S. Customs House, 2nd & Chestnut Streets, Philadelphia, PA 19106.
Area Supervisor, Bureau of ATF, Room 2104, Federal Building, 1000 Liberty Avenue, Pittsburgh, PA 19106.
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Area Supervisor, Bureau of ATF, Room 511, Courthouse & P.O. Building, 46 Ohio Street, Indianapolis, IN 46204.
Area Supervisor, Bureau of ATF, Room 6519 Federal Office Building, 550 Main Street, Cincinnati, OH 45202.
Area Supervisor, Bureau of ATF, Room 329 Federal Office Building, 1240 East 9th Street, Cleveland, OH 44199.
Area Supervisor, Bureau of ATF, Room 376 Federal Building, 231 Lafayette Blvd., Detroit, MI 48226.
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Area Supervisor, Bureau of ATF, Room 650 Fed. Bldg. and U.S. Courthouse, 316 North Robert Street, St. Paul, MN 55101.
Area Supervisor, Bureau of ATF, Room 636 Fed. Bldg. and U.S. Courthouse, 517 E. Wisconsin Avenue, Milwaukee, WI 53202.
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ATF LABORATORIES

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Chief, ATF Field Laboratory Room 29, U.S. Post Office and Court House, Cincinnati, Ohio 45202.
Chief, ATF Field Laboratory, 3835 N.E. Expressway, Room 214, Atlanta, Georgia 30340.
Chief, ATF Field Laboratory, 1104 U.S. Custom House, 2nd and Chestnut Streets, Philadelphia, Penna. 19106.
Chief, Scientific Services Division, IRS Building, Room 7575, 1111 Constitution Avenue, N.W., Washington, D.C. 20226.

ATF DISTRIBUTION CENTER

Chief, Distribution Center, Bureau of Alcohol, Tobacco and Firearms, 3800 South Four Mile Run Drive, Arlington, Virginia 22206.

Billing Code 4810-31

Treasury/Customs 00.001

System name: Acceptable Level of Competence, Negative Determination—Treasury/Customs.

System location: Personnel Management Division, U.S. Customs Service, Region I, 100 Summer Street, Boston, Massachusetts 02210.

Categories of individuals covered by the system: Any employee of U.S. Customs Service Region I, who receives a negative determination regarding acceptable level of competence.

Categories of records in the system: Employee's name, social security number, position description, grade, and correspondence containing specific reasons for negative determination.

Authority for maintenance of the system: Five U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; such uses include general reference to the records by supervisors when considering appropriate actions to take with regard to the individuals; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in file folders and stored in locked file cabinets.

Retrievability: The records are filed by the individual's name.

Safeguards: Direct access is limited to the Director and five employees of the Personnel Division. In addition to being stored in locked cabinets, the area in which these records are stored is locked during non-duty hours and the building is guarded by uniformed security police.

Retention and disposal: Records are retained until the employee leaves the Customs Service.

System manager(s) and address: Director, Personnel Management Division, U.S. Customs Service, Region I, 100 Summer Street, Boston, Massachusetts 02210.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information is furnished by the employee, employee's supervisor and the Federal Employee Appeals Authority.

Treasury/Customs 00.002

System name: Accident Reports—Treasury/Customs.

System location: Logistics Management Division, Region IV, 99 S.E. 5th Street, Miami, Florida 33131.

Categories of individuals covered by the system: Any employee of Region IV who has had an accident on Government property or in an official vehicle since 1973.

Categories of records in the system: Standard Government forms dealing with accidents and personal injuries.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Executive Order 11807 and Section 19 of Occupational Health & Safety Act of 1970.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Files are made available to Headquarters for accumulation of statistics; (d) Each

record of automobile accidents is turned over to Regional Counsel for determination of tort claims, liability by Federal Government or other party, etc.; (e) Available for personnel actions, if needed; (f) Disclosure to employee's beneficiary in event of death following the accident or injury or to employee's agent in case of disability.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case folder is maintained in an unlocked drawer in chronological order by date.

Retrievability: Each case is identified by employee name and date of accident.

Safeguards: The metal container described above is maintained within the area assigned to the Logistics Management Division within the Region IV Headquarters Building. During non-working hours the room in which the metal container is located is locked.

Retention and disposal: Accident Record files are retained in accordance with the Records Disposal Manual.

System manager(s) and address: Director, Logistics Management Division, Region IV, Headquarters, 99 S.E. 5th Street, Miami, Florida 33131.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information originates with employees who have been injured and/or have been involved in accidents during the exercise of their official duties. Also included are witness reports and statements, the employees' supervisors' statements and doctors' reports.

Treasury/Customs 00.004

System name: Accident and Injury Report File—Treasury/Customs.

System location: Logistics Management Division, U.S. Customs Service, 211 Main Street, San Francisco, California 94105.

Categories of individuals covered by the system: Present and past employees.

Categories of records in the system: Information furnished by employee and his/her supervisor regarding facts relating to accidents or injuries involving the employee. Sometimes includes doctor's statements and witnesses' statements. Includes log sheet kept in order of arrival during calendar year.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended; 5 U.S.C. 8101-8150, 8191-8193.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the U.S. Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Files are made available to Headquarters for accumulation of statistics; (d) Each record of automobile accidents is turned over to Regional Counsel for determination of tort claims, liability by Federal Government or other party, etc.; (e) Available for personnel actions, if needed; (f) Disclosure to employee's beneficiary in event of death following the accident or injury or to employee's agent in case of disability.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case folder is maintained in a lockable filing cabinet.

Retrievability: The file for each employee is placed according to when it is received during the calendar year. The name of the employee is shown on an index sheet on top of the file.

Safeguards: The files are contained within a lockable file cabinet within an office which is locked during non-working hours.

Retention and disposal: Active files are retained indefinitely. Files of deceased, retired, etc., employees are forwarded to U.S. Customs Region VIII Personnel Division for inclusion within the person's official personnel folder.

System manager(s) and address: Logistics Management Specialist, Logistics Management Division, U.S. Customs Service, 211 Main Street, San Francisco, California 94105.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in this system originates with employees who have been injured and/or have been involved in accidents during the exercise of their official duties. Also included are witness reports and statements, the employees' supervisors' statements and doctor's reports.

Treasury/Customs 00.005

System name: Accounts Receivable Correspondence and Follow-Up—Treasury/Customs.

System location: Financial Management Division, U.S. Customs Service, Region I, 100 Summer Street, Boston, Massachusetts 02110.

Categories of individuals covered by the system: Persons owing money for Customs duties and services and money owed to persons for overpayment of excessive duties and services.

Categories of records in the system: Correspondence and documentation of telephone calls with debtors and creditors or their representatives.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (f) This system is used by the personnel of the Financial Management Division to effect collections or refund overpayments for duties and services.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Data is stored in file folders which are contained in an unlocked metal file cabinet.

Retrievability: The file is retrieved by the name of the individual which is kept in alphabetical order within the work area of the Collection Section.

Safeguards: The files are located within an office that is locked during non-working hours. The building is guarded by uniformed security police and only authorized persons are permitted entry to the building.

Retention and disposal: The file is retained until collection or refund is effected and 2 years thereafter, then destroyed.

System manager(s) and address: Director, Financial Management Division, U.S. Customs Service, 100 Summer Street, Boston, Massachusetts 02110.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in the system is obtained from data gathered from the automated billing system and ports of entry.

Treasury/Customs 00.006

System name: Accounts Receivable—Treasury/Customs.

System location: Financial Management Division—District and ports, 99 S.E. 5th Street, Miami, Florida 33131.

Categories of individuals covered by the system: Importers and Brokers, Corporate and Individuals.

Categories of records in the system: Names, Entry Number, Amounts Due (Reimb) Names, Importer Number, Amounts Due.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Used by Revenue Accounting Personnel to ascertain receivables and to determine appropriate follow up action to effect collection.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Files are maintained in an unlocked drawer within a metal file cabinet.

Retrievability: Monthly hard copy printout, by bill category, alphabetized within.

Safeguards: The metal container described above is maintained within the area assigned to the Financial Management Division within the Customs Service Building. During non-working hours the room in which the metal container is located is locked.

Retention and disposal: These files are retained as prescribed by Regulations or until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Records Center.

System manager(s) and address: Director of Financial Management, 99 S.E. 5th Street, Miami, Florida 33131.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Headquarters Data Center.

Treasury/Customs 00.007

System name: Accounts Receivable—Treasury/Customs.

System location: U.S. Customs Service, Financial Management Division, Increase and Refund Section, 6 WTC, New York, N.Y. 10048.

Categories of individuals covered by the system: File on individuals and corporations that owe the Customs Service money.

Categories of records in the system: Each file lists name of debtor, address, its liquidated and unliquidated entries, and notices sent out regarding debtors to all branches of Customs Service.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) To expedite collection of money and to hold refunds.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Stored in cabinets.

Retrievability: Rolex file maintained alphabetically refers to all cases.

Safeguards: Office locked.

Retention and disposal: Retained until case is closed and then destroyed.

System manager(s) and address: Regional Commissioner of Customs, U.S. Customs Service, 6 World Trade Center, New York, N.Y. 10048.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Newspapers, Regional Counsel, Customshouse Brokers.

Treasury/Customs 00.008

System name: Accounts Receivable Files—Treasury/Customs.

System location: Financial Management Division, U.S. Customs Service, Region V, 1440 Canal Street, New Orleans, Louisiana 70112.

Categories of individuals covered by the system: All individuals incurring Customs obligations (duties, taxes, fines, and penalties).

Categories of records in the system: Customs bills and related supporting documentation and correspondence.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Used to determine collection status of individual obligations for processing of collection actions; (b) Disclosures to those officers and employees of Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (c) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Unlocked file cabinets.

Retrievability: By bill number. Can be identified to individual by referring to alphabetical listing on monthly aged accounts receivable report.

Safeguards: Usage limited to Regional personnel. Files located in office which is locked during non-working hours.

Retention and disposal: Records are retained indefinitely.

System manager(s) and address: Financial Management Division, U.S. Customs Service, Region V, 1440 Canal Street, New Orleans, Louisiana 70112

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: All individuals incurring Customs obligations.

Treasury/Customs 00.009

System name: Acting Customs Inspector (Excepted)—Treasury/Customs.

System location: Personnel Management Division, Regional Commissioner of Customs, 55 East Monroe Street, Suite 1501, Chicago, Illinois 60603, and Offices of the District Directors, Region IX, Chicago, Illinois.

Categories of individuals covered by the system: Employees or members of other Federal agencies who are designated by the District Directors as Customs Inspectors (Excepted).

Categories of records in the system: System has name, social security number, rank or grade and duty station of the individual.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Used by Personnel Management Specialists and managers as a control and for statistical purposes.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: CF 55 forms kept in manila folders in file cabinet.

Retrievability: Filed alphabetically.

Safeguards: During non-working hours the offices and/or buildings in which records are located are locked.

Retention and disposal: Until individual transfers or designation is cancelled. Form is then destroyed.

System manager(s) and address: Director, Personnel Management Division.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information is supplied by the individual and his or her agency.

Treasury/Customs 00.010

System name: Acting Customs Inspectors (Excepted)—Treasury/Customs.

System location: Inspection and Control Division, U.S. Customs Service, Region VI, 500 Dallas St., Suite 1240, Houston, Texas 77002.

Categories of individuals covered by the system: Those employees of other agencies (including military) within Region VI designated as Customs Inspectors.

Categories of records in the system: The name of individual, location, social security number, rank or grade, position and agency and date of designation.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duites; such uses would include general reference to the files by supervisors for personnel and statistical purposes; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each sheet is inserted in a folder which is filed in an unlocked metal file cabinet.

Retrievability: Each sheet is identified by the name of the designee.

Safeguards: The metal cabinet is located within an office that is locked during non-working hours. The building is guarded by uniformed security police, and only authorized persons are permitted in the building.

Retention and disposal: Active sheets are maintained in the file indefinitely. However, the system is reviewed every six months and outdated sheets are discarded.

System manager(s) and address: Director, Inspection and Control Division, U.S. Customs Service, Region VI, 500 Dallas, Suite 1240, Houston, Texas 77002.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Copy of action designating the individual from the District Directors.

Treasury/Customs 00.011

System name: Acting Customs Inspectors (Excepted)—Treasury/Customs.

System location: Located in the Office of the District Director, San Diego, Calif.; Offices of the Port Directors, San Ysidro, Calif.; Tecate, Calif.; Calexico, Calif.; Andrade, Calif.; San Diego Barge Office; and the Offices of the Customs Patrol Division, San Diego, Calif.; San Ysidro, Calif.; Calexico, Calif.; Tecate, Calif.; see Customs Appendix A.

Categories of individuals covered by the system: All individuals of other Federal agencies who have been cross-designated as Acting Customs Inspectors (Excepted).

Categories of records in the system: Name, date of birth, social security number, duty location, GS-level and training statement from Customs Officer.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended and the Customs Regulations.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure of those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; such uses would include general reference to the files by supervisors for personnel and statistical purposes; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention or an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (f) Maintain a list of those employees of other agencies who have met the minimum requirements of Acting Customs Inspectors and record of training for such designation. Maintain minimum requirements for primary and secondary inspection programs, policies, procedures, etc.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper in manila folder in a file cabinet.

Retrievability: By name of individual and duty station.

Safeguards: Locked file cabinets, buildings locked during non-working hours.

Retention and disposal: Indefinitely or until rescinded.

System manager(s) and address: District Director, Port Directors, and Division Directors within the San Diego Customs District, according to the list that is attached as Customs Appendix A.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Customs Officers, employees, and officers of other Governmental agencies.

Treasury/Customs 00.012

System name: Acting Customs Officer (Excepted)—Treasury/Customs.

System location: In the office of all District Directors in Region IX, Chicago, Illinois. (See Customs Appendix A).

Categories of individuals covered by the system: Employees of other Government agencies who have been designated to act as Customs officers excepted.

Categories of records in the system: Names, social security numbers, rank or grade, Customs designation, position and agency or service, duty stations, and effective date. Customs Form 55.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; such uses would include general reference to files by supervisors for personnel and statistical purposes; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are kept in file folders in open file cabinets.

Retrievability: These forms are maintained in alphabetical order by name of employee.

Safeguards: The file cabinet is maintained in the offices or areas assigned to the District Directors. During non-working hours the room and/or building in which the cabinet is located is locked.

Retention and disposal: Retention period is indefinite or until canceled by reason of transfer or retirement.

System manager(s) and address: All District Directors, as appropriate, in Region IX, Chicago, Illinois. (See Customs Appendix A.)

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appridix A.

Contesting record procedures: See Access, Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Requests for designation by the agencies involved and memoranda certifying completion of training.

Treasury/Customs 00.013

System name: Acting Customs Officer (Excepted)—Treasury/Customs.

System location: U.S. Customhouse, P.O. Box 111, District Director's Office, St. Albans, Vermont 05478.

Categories of individuals covered by the system: Present employees of other agencies designated as Customs Officers (Excepted).

Categories of records in the system: Name, social security number, rank or grade, Customs designation, position and agency or service, duty station.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; such uses would include general reference to the files by supervisors for personnel and statistical purposes; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be diclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: In file folders stored in filing cabinet.

Retrievability: Alphabetically; by name.

Safeguards: These records are filed at the District Director's office under the supervision of District Director's secretary during working hours. The office is locked during non-working hours.

Retention and disposal: Retention period is indefinite or until canceled by reason of transfer or retirement.

System manager(s) and address: District Director, U.S. Customs Service, St. Albans, Vermont 05478.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information is furnished by the individual employee and his agency.

Treasury/Customs 00.014

System name: Advice Requests (Legal) (Region VIII)—Treasury/Customs.

System location: Office of the Regional Counsel, 211 Main Street, San Francisco, CA 94105.

Categories of individuals covered by the system: Individuals who are the subject of any request for legal advice by another office within Customs, another Government agency, or the private individual himself. An example would be a request by a District Director for advice as to whether or not a violation of a Customs law for which a penalty may be assessed has occurred.

Categories of records in the system: Intra-agency or inter-agency memoranda and reports of investigation and other documents submitted by the requesting office for use in handling the request. Correspondence from the private individual submitting the request.

Authority for maintenance of the system: 5 U.S.C. 301; Reorganization Plan No. 1 of 1950; Treasury Department Order No. 165, Revised, as amended; Reorganization Plan No. 1 of 1965.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Information contained in the files is used in handling the request for advice and in preparing a response to the request; (d) Information contained in the files may be used internally by the Regional Counsel and members of his staff insofar as it relates to precedent or guidelines for their handling of future cases.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in an alphabetical file folder which is filed in an unlocked drawer within a metal file cabinet.

Retrievability: Each case file is identified in a manual alphabetical card file by the name of the individual who is the subject of the request and in the alphabetical file folder within the metal file cabinet by the name of the individual who is the subject of the request.

Safeguards: The metal file cabinet described above is maintained within the area assigned to the Office of the Regional Counsel within the Federal Building. During non-working hours the room in which the metal file cabinet is located is locked, and access to the building is controlled at all times by uniformed guards.

Retention and disposal: Request for legal advice files are retained indefinitely or until there is no longer any space available for them within the metal file cabinet, at which time some files may be transferred to the Federal Record Center.

System manager(s) and address: Regional Counsel, 211 Main Street, San Francisco, CA 94105.

Notification procedure: See Customs Appendix A.

Record source categories: Information which may concern the subject individual is sourced in the memoranda or correspondence from the office or individual requesting the advice and in any supporting documents that office or individual may transmit.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.016

System name: Aircraft Ownership File—Treasury/Customs

System location: U.S. Customs Air Support Branch, PM-TUM, Homestead Air Force Base, Homestead, Florida 33030.

Categories of individuals covered by the system: Persons, partnerships, or corporations who are the registered owners of record of U.S. aircraft.

Categories of records in the system: This file contains the name and address of the registered owner of the aircraft; the registration number, category and type of aircraft; and an indication of whether or not the aircraft is on record with the TECS system.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; such uses would include general reference to the records by Customs Officers to determine whether certain aircraft are in the TECS system and in enforcing laws and regulations relating to aircraft entering and leaving the United States; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of

records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charges with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information files are numerically filed in a looseleaf container within a security area.

Retrievability: The information is in the form of Micro-film and is retrieved by means of an Alpha-numerical code identifier.

Safeguards: The information files are located within an office which is locked during non-working hours. The building is guarded by U.S. Air Force Military Police and only authorized persons are permitted in the building.

Retention and disposal: These files are maintained for a period of three months at which time they are replaced with current information. The old files are then destroyed under Customs supervision.

System manager(s) and address: Chief, Air Support Branch, U.S. Customs, PM-TUM, Homestead Air Force Base, Homestead, Florida 33030.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.017

System name: Aircraft Registers—Treasury/Customs.

System location: U.S. Customs Service, Office of Investigations, SAC, 600 South Street, Room 444, New Orleans, La. 70130; RA, 1719 West End Building, Rm. 303, Nashville, Tennessee 37203.

Categories of individuals covered by the system: Owners and co-owners of U.S. registered aircraft.

Categories of records in the system: Name indices of owners of U.S. registered aircraft and indices of co-owners of U.S. registered aircraft indexed by aircraft registration number.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; such uses would include general reference to the records by Customs Officers to determine whether certain aircraft are in the TECS system and in enforcing laws and regulations relating to aircraft entering and leaving the United States; (b) Disclosure required in the administration of the Freedom of Information Act (5 U.S.C. 552); (c) Information contained in these microfiche files is provided for the routine use of the Office of Investigations of the Customs Service for the purpose of verifying information received from other sources in conjunction with investigations within the Office of Investigations.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: This microfiche is maintained in a file folder in a metal cabinet. The cabinet is located in a room which is manned during normal office hours, and locked after hours.

Retrievability: This microfiche file is identified by alphabetical name of the categories of individials maintained in that file and information is retrievable manually from these files.

Safeguards: These microfiche files are kept in a metal cabinet and are maintained within the room which is manned by Office of Investigations personnel during normal office hours. During non-working hours, the room in which the metal cabinet is located is locked and entrances to the office which contains that room are locked. Access to the building containing the office is controlled during non-working hours by a uniformed guard.

Retention and disposal: These files are retained indefinitely or until updated by more current records at which time the old records are disposed of by shredding.

System manager(s) and address: Special Agent in Charge, U.S. Customs Service, Office of Investigations, 600 South Street, Room 444, New Orleans, La. 70130; Resident Agent, U.S. Customs Service, Office of Investigations, 1719 West End Building, Rm. 303, Nashville, Tenn. 37203.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C.552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.021

System name: Arrest/Seizure/Search Report and Notice of Penalty File—Treasury/Customs.

System location: Office of the District Director of Customs, Room 228, United States Customs Service, 335 Merchant Street, Honolulu, Hawaii 96813.

Categories of individuals covered by the system: Persons who are suspected of attempting to smuggle, or have smuggled, merchandise or contraband into the United States; individuals who have undervalued merchandise upon entry into the United States; vessels and aircraft which have been found to be in violation of Customs laws.

Categories of records in the system: Names of individuals, vessels, aircraft; identifying factors; nature of violation or suspected violation; circumstances surrounding violation or suspected violation; date and place of violation or suspected violation; and on-site disposition actions.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosure required in the administration of the Freedom of Information Act (5 U.S.C. 552); (c) Enforcement use by Customs Patrol Officers.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each report is assigned a case number and filed accordingly in a locked, metal file located in the office of the Director of Patrol.

Retrievability: Each report is identified in a manual alphabetical card file by the name of the individual, vessel or aircraft.

Safeguards: In addition to being stored in a locked metal cabinet, these records are located in a locked room, the keys of which are controlled and issued only to authorized personnel.

Retention and disposal: These records are retained for one year or until action has been completed.

System manager(s) and address: District Director of Customs, U.S. Customs Service, Post Office Box 1641, Honolulu, Hawaii 96806.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.022

System name: Attorney Case File—Treasury/Customs

System location: Office of Regional Commissioner of Customs, 100 Summer Street, Boston, Massachusetts 02110.

Categories of individuals covered by the system: Persons who are parties in litigation with the United States Government or sub-units, or employees or officers thereof, in matters which affect or involve the U.S. Customs Service.

Categories of records in the system: Seizure reports, investigative reports, Customs forms and documents relative to the case, petitions for relief, decisions on petitions, other background information relating to the litigation, motions, orders, etc., filed in connection with the litigation; investigative reports relative to an individual's economic ability to pay a claim.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records maintained in file folders.

Retrievability: Records indexed by name of private individual involved in the litigation.

Safeguards: Open case files maintained in file cabinets with access by Regional Counsel and his staff only; closed case files maintained in locked cabinet with keys retained by Regional Counsel and his staff only.

Retention and disposal: Retained indefinitely or until there is no longer any space available within metal cabinets, at which time the oldest files are transferred to the Federal Records Center.

System manager(s) and address: Regional Commissioner of Customs, 100 Summer Street, Boston, Massachusetts 02110.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in these files is received from U.S. Customs employees, reports of investigation, Customs penalty case files, other Government agencies, parties involved in litigation, banks and credit bureaus, administrative proceedings regarding disciplinary action taken against Customs Service employees, Equal Opportunity complaints, denials of tort claims, denials of information under the Freedom of Information Act, and from other parties' information pertinent to the litigation.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.026

System name: Badge and Gun Report—Treasury/Customs

System location: Logistics Management Division, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Categories of individuals covered by the system: Customs employees assigned to the Office of Investigations and the Office of Internal Security to whom badges and guns have been issued.

Categories of records in the system: Name, Social Security number, badge number, serial number of gun.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) Provide Customs with a record of those employees to whom badges and guns have been issued, and to provide personal accountability for badges and guns.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic tape and computer printout.

Retrievability: By name or Social Security number of the person to whom the badge or gun has been issued.

Safeguards: The magnetic tape and computer are maintained under procedural and physical safeguards such as accountability and receipt access, guards patrolling the area, restricted access, and alarm systems. Print-outs are stored in locked metal file cabinets. The room where the cabinets are kept is locked during non-working hours. The building is patrolled by security police on a round-the-clock basis, and only authorized persons are permitted in the building.

Retention and disposal: The records in this system are kept for as long as the individual remains in possession of a badge or gun. Thereafter the record is erased from the tape. The print-outs are retained for two years and then disposed of as paper trash.

System manager(s) and address: Director, Logistics Management Division, U.S. Customs Service, Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information is recorded by the issuing officer at the time the badge or gun is issued.

Treasury/Customs 00.027

System name: Badge Number File—Treasury/Customs.

System location: Located in the Office of the District Director, San Diego, Calif.; Offices of the Port Directors, San Ysidro, Calif.; Tecate, Calif.; Calexico, Calif.; Andrade, Calif.; San Diego Barge Office; and the Offices of the Customs Patrol Division, San Diego, Calif.; San Ysidro, Calif.; Calexico, Calif.; Tecate, Calif.; see Customs Appendix A.

Categories of individuals covered by the system: Customs officers who have been assigned badges.

Categories of records in the system: Names, Badge Numbers.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a

violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (f) Available to Supervisors and other Customs personnel who have need for badge identification.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained on single 8 x 10 sheet by property clerk and Port Director.

Retrievability: Alphabetical.

Safeguards: Building is locked during non-working hours.

Retention and disposal: Indefinite while employee in duty status; otherwise destroyed.

System manager(s) and address: District Director, Port Directors, and Division Directors within the San Diego Customs District, according to the list that is attached as Customs Appendix A.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information supplied by individual and the Customs Service.

Treasury/Customs 00.028

System name: Baggage Declaration—Treasury/Customs

System location: Located at the District and Port Directors' offices in the Ogdensburg, New York district. (Boston region)

Categories of individuals covered by the system: Persons required to make a written baggage declaration.

Categories of records in the system: Name, address, items declared and value.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) To substantiate cases of failure to declare or false declarations or investigation by Office of Investigations; (b) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (c) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (d) In the event that this system of records includes information which indicates a violation or potential violation of law whether civil, criminal, or regulatory in nature and whether arising by general statute or particular program statute or by regulation, rule, or order issued pursuant thereto the relevant records in the system of records may be referred, as a routine use, to the appropriate agency whether Federal, state, local, or foreign charged with the responsibility of investigating or prosecuting the statute or rule, regulation, or order issued pursuant thereto; (e) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee; the issuance of a security clearance; the letting of a contract; or the issuance of a license, grant, or other benefit; (f) A record from this system of records may be disclosed to a Federal agency in response to its request in connection with the hiring or retention of an employee; the issuance of a security clearance; the reporting of an investigation of an employee; the letting of a contract; or the issuance of a license, grant, or other benefit by the requesting agency to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: In file folders in file cabinet.

Retrievability: By name.

Safeguards: Available to authorized Customs personnel only.

Retention and disposal: Free entry declarations are retained for three years then destroyed. Dutiable declarations are retained for three years at the Port, seven years at the Federal Record Center, and then destroyed.

System manager(s) and address: District and Port Directors.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The individual who files the baggage declaration.

Treasury/Customs 00.030

System name: Bankrupt Parties-in-Interest—Treasury/Customs.

System location: Regional Headquarters in Region VI, U.S. Customs Service, 500 Dallas St., Suite 1280, Houston, Texas 77002.

Categories of individuals covered by the system: Individuals indebted to U.S. Customs.

Categories of records in the system: Listed by name, address, port of service, bill number, and dollar amount of delinquent receivables.

Authority for maintenance of the system: Public Law 89-508, the "Federal Claims Collection Act of 1966;" 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Provide ready reference to officers and employees of the U.S. Customs Service in Region VI of parties-in-interest with past due accounts who have filed in bankruptcy court; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Cases are maintained in file folders at work site.

Retrievability: Alphabetical order by name.

Safeguards: These cases are placed in locked cabinets during non-working hours. The building is guarded by uniformed security police.

Retention and disposal: As satisfaction received, cases are closed. Records are maintained per Records Control Manual FIS-4 No. 124.

System manager(s) and address: Director, Financial Management Division, 500 Dallas St., Suite 1265, Houston, Texas 77002.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The source of information is obtained from individuals, bankruptcy courts, Customhouse brokers, and sureties.

Treasury/Customs 00.031

System name: Bills Issued Files—Treasury/Customs.

System location: Regional Commissioner of Customs, Suite 1501, 55 East Monroe Street, Chicago, Illinois 60603.

Categories of individuals covered by the system: Individuals to whom bills have been issued.

Categories of records in the system: Correspondence received from or sent to individuals in relation to bills issued by the United States Customs Service.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Individual alphabetical file folders in file cabinet.

Retrievability: Access by name of individual.

Safeguards: The file cabinet is maintained in the offices of the Regional Commissioner, Region IX, Chicago, Illinois. During non-working hours the room/building in which the file is located is locked. Access is limited to authorized personnel.

Retention and disposal: Correspondence file is maintained for a period of three years then destroyed.

System manager(s) and address: Regional Commissioner of Customs, Room 1501, 55 East Monroe Street, Chicago, Illinois 60603.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information consists of copies of letters or memoranda issued to or received from individuals. Records of phone calls and copies of documents related to the individual's transaction.

Treasury/Customs 00.033

System name: Biographical Information File—Treasury/Customs.

System location: Public Information Office, U.S. Customs Service, Region VI, Suite 1240, One Allen Center, 500 Dallas Street, Houston, Texas 77002.

Categories of individuals covered by the system: Employees who by virtue of position and/or achievement are the subject of official news releases and news inquiries.

Categories of records in the system: Name; title; office; location; employment date; professional status; schools and colleges attended; dates of graduation; degrees received; major subjects studied; special courses completed; work experience; memberships in professional societies; achievements; books and articles written; committee service; military service and honors; date and place of birth; marital status; spouse's name; number of children; home address; hobbies; civic activities; list of publications to be sent press releases; names and addresses of parents and spouse's parents; personal photograph; copies of press releases; copies of news clippings.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Disclosures to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosure required in the administration of the Freedom of Information Act (5 U.S.C. 552); (c) To provide biographical and personal data used in the preparation of news releases; (d) To provide biographical and personal data used in responding to inquiries from the news media; (e) To provide biographical and personal data used in preparing articles for internal publications.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Information is maintained in file folders and stored in metal file cabinets in an office with security lock.

Retrievability: The files are arranged alphabetically by name.

Safeguards: The file cabinet is located within an office that is locked during non-working hours. The building is guarded by uniformed security personnel.

Retention and disposal: Retained indefinitely as long as subjects are employed by Customs within the region. Files are destroyed when they are no longer useful.

System manager(s) and address: Regional Commissioner of Customs, U.S. Customs Service, Region VI, Suite 1240, One Allen Center, 500 Dallas Street, Houston, Texas 77002.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information in press biographical information files is supplied by the individual to whom it pertains. The information is submitted in the form in which persons submitting the data prefer to see it in print.

Treasury/Customs 00.032

System name: Biographical Files (Headquarters)—Treasury/Customs.

System location: Public Information Division, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229, and the Regional Public Information offices located at the addresses listed in Customs Appendix A.

Categories of individuals covered by the system: General biographical records are maintained on all Customs employees for news release and public information purposes.

Categories of records in the system: General biographical information including home address, date and place of birth, educational background, work experience, honors and awards, hobbies, and other information.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; such uses include release of information to the news media and for use in internal Customs publications; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses See Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Information is maintained in file cabinets in the Public Information Division at Customs Headquarters.

Retrievability: File folders are identified by the name of the person and are filed in alphabetical order.

Safeguards: The office in which the records are located is locked during non-working hours and the building is guarded by uniformed guards.

Retention and disposal: Files are retained during the individual's tenure as an employee of the Customs Service, after which the files are destroyed.

System manager(s) and address: Director, Public Information Division, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The individual involved, Customs personnel officers and co-workers.

Treasury/Customs 00.037

System name: Cargo Security File—Treasury/Customs.

System location: Special Agent in Charge, Room 501, 243 West Congress Street, Detroit, Michigan 48226; Special Agent in Charge, Room 210, 55 Erieview Plaza, Cleveland, Ohio 44114.

Categories of individuals covered by the system: Longshoremen, Customs Bonded Warehouse employees, licensed Cartmen employees and other persons having access to cargo.

Categories of records in the system: Names, place of employment, residence, criminal records, date of birth, Social Security numbers, photographs, descriptions and other identifying data.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties and/or to cooperating Law Enforcement Agencies; (b) Disclosures required in administration of the Freedom of Information Act. (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in loose-leaf binders and cross indexed index cards showing license numbers are kept in a metal box. They are kept in the communications room for rapid access.

Retrievability: Names of companies and individuals can be retrieved by checking the loose-leaf binders kept in an alphabetical listing. License plate numbers are listed in numerical sequence on index cards.

Safeguards: The records are kept in a room within the office which is locked when not in use. Keys are available only to employees, and are not accessible to persons other than employees. Employees having access to the room have received a security background and clearance. The offices are located in buildings with guards and controlled by sign-in, sign-out sheets after regular hours.

Retention and disposal: Information remains in the system indefinitely or until no longer needed. The records are disposed of by shredding or burning.

System manager(s) and address: Special Agent in Charge, Room 501, 243 West Congress Street, Detroit, Michigan 48226; Special Agent in Charge, Room 210, 55 Erieview Plaza, Cleveland, Ohio 44114.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption are contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.271

System name: Cargo Security Record System—Treasury/Customs.

System location: District Director, 600 South Street, New Orleans, Louisiana 70130; District Director, P.O. Box 2748, Mobile, Alabama 36601.

Categories of individuals covered by the system: Drivers of motor vehicles of licensed cartmen and lightermen; properties and operators of each class of Customs bonded warehouse and their employees.

Categories of records in the system: Records on drivers of motor vehicles contain information relating to personal statistical data, physical characteristics, history of past employments, previous five years residences, alias (if any), citizenship, military records, criminal record other than traffic violations, use of narcotic drugs, and photograph. Name of operator of bonded warehouse and employees.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties which include protection of the revenue of the United States and protection of merchandise in Customs custody; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folder with Customs Form 3078, Customs Form 73, Photographs, and correspondence; For bonded warehouses, file folder contains Customs Form 3581 and names, addresses, and social security number of all employees; all stored in metal file cabinet. Alphabetical list of current I.D. cards issued on drivers retained in file folder and stored in desk drawer.

Retrievability: By individual name or corporate name.

Safeguards: Unlocked metal file cabinet and desk drawer of customs employee; building secured after hours.

Retention and disposal: Information on drivers is retained in an active file until revoked or cancelled. After revocation or cancellation, the information folder is placed in an inactive file for a period of five years, after which time the records are disposed of in accordance with the General Services Administration Records Disposal Manual. Information on proprietor bonded warehouse operators and employees is retained on file until Customs bonded operations cease and are discontinued, then are maintained in an inactive file for a period of three years. Final disposition is in accordance with the GSA Records Disposal Manual.

System manager(s) and address: District Director, 600 South Street, New Orleans, Louisiana 70130; District Director, P.O. Box 2748, Mobile, Alabama 36601.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information is obtained from applicant (individual or corporation) and from reports of investigation on drivers obtained from Regional Director, Investigations, U.S. Customs Service.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (K)(2) proposes to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.039

System name: Carrier File—Treasury/Customs.

System location: Room 130, U.S. Customhouse, Terrace & International Streets, Nogales, Arizona 85621.

Categories of individuals covered by the system: Applicants for Bonded Carrier's License.

Categories of records in the system: Application with pertinent documents and bonds covering approval as bonded carrier.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Granting of Bonded Carrier status and collection of penalties for Customs violations; (b) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (c) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Carrier files retained in alphabetical order at District Office.

Retrievability: By name.

Safeguards: Records are retained in lockable file cabinet and building is locked during other than office hours.

Retention and disposal: Records retained until obsolete and destroyed by burning.

System manager(s) and address: District Director, U.S. Customshouse, Nogales, Arizona 85621.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information contained herein originates with the applicant and includes required licenses and information relative to equipment utilized.

Treasury/Customs 00.040

System name: Carrier File—Treasury/Customs.

System location: Located in the Office of the District Director, San Diego, Calif.; Offices of the Port Directors, San Ysidro, Calif.; Tecate, Calif.; Calexico, Calif.; Andrade, Calif.; San Diego Barge Office; and the Offices of the Customs Patrol Division, San Diego, Calif.; San Ysidro, Calif.; Calexico, Calif.; Tecate, Calif.; see Customs Appendix A.

Categories of individuals covered by the system: Officers or owners, employees, associates of Customs Bonded Carriers.

Categories of records in the system: Name, date of birth, Social Security No., place of birth and other information relating to Officers, Associates, employees, etc., of Bonded Carriers.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended, and the Customs Regulations.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure of those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (f) Maintain a current file relating to operations, bond status, possible violations, and employment history of individuals working for the Bonded Carrier.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Manila folder in file cabinet.

Retrievability: Filed by name of company or individual.

Safeguards: Building locked during non-working hours.

Retention and disposal: Indefinitely.

System manager(s) and address: District Director, Port Directors, and Division Directors within the San Diego Customs District. See Customs Appendix A.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Customs Bonded Carriers' employees and correspondence.

Treasury/Customs 00.041

System name: Cartmen or Lightermen—Treasury/Customs.

System location: Customs ports, districts, and regional offices. See Customs Appendix A.

Categories of individuals covered by the system: Individuals and firms who have applied for or hold a license as a bonded cartman or lighterman and individuals employed by cartman or lightermen.

Categories of records in the system: Internal Customs Service memoranda and related materials regarding applications for licenses and identification cards, reports of investigations for approving these licenses and identification cards and card files showing outstanding identification cards and their location. Files also include fingerprint cards.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Used by Customs personnel in determining eligibility of applicants to be approved as licensed cartmen or lightermen; (b) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (c) Disclosures required in the administration of the Freedom of Information Act (5 U.S.C. 552); (d) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (e) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevent to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (f) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information in this system is contained in a metal file cabinet in the office maintaining the system.

Retrievability: Each case file is identified in a manual alphabetical card file by the name of the licensed cartman or lighterman and in the alphabetical file folder by the name of the licensed cartman or lighterman. Each employee's record is filed in a manual alphabetical card file cross-referenced with company names.

Safeguards: The file is placed in a metal file cabinet at the work site. At locations where work is not performed on a 24-hour basis the work area is locked and only authorized persons are permitted in the building.

Retention and disposal: Files are reviewed at least once a year at which time cancelled I.D. cards may be removed. Closed CF 3078's may also be removed, but normally are held for approximately three years in case a new application is received from the same company or transferred to another company after a new investigation.

System manager(s) and address: Port Directors, District Directors, and Regional Commissioners of the U.S. Customs Service. See Customs Appendix A.

Notification procedure: See Customs Appendix A.

Systems exempted from certain provisions of the act: The commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.042

System name: Case and Complaint File—Treasury/Customs.

System location: Office of the Regional Counsel, U.S. Customs Service, Region IX, 55 E. Monroe Street, Room 1417, Chicago, Illinois 60603.

Categories of individuals covered by the system: Any individual initiating a court case or against whom a court case is brought; any individual involved in a personnel action, either initiating a grievance, discrimination complaint, or unfair labor practice complaint against the U.S. Customs Service or against whom a disciplinary or other adverse action is initiated; claimants or potential claimants under the Federal Tort Claim Act; individuals involved in accidents with U.S. Customs Service employees; U.S. Customs Service employees involved in accidents; persons seeking relief from fines, penalties and forfeitures and restoration of proceeds from the sale of seized and forfeited property; requesters under the Freedom of Information Act.

Categories of records in the system: The System contains the individual's name, the type of case, the uniform filing guide number, the Regional Counsel's office file number, by whom the matter was referred, the district where the action originated, if applicable.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Used to retrieve files by attorneys and staff by use of the individual's name.

For additonal routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each alphabetical card is inserted in a metal file drawer.

Retrievability: Each card is identified alphabetically by the individual's name described in Category of Individual and the filing is alphabetical by last name.

Safeguards: The metal filing drawer containing the alphabetical cards described above is maintained within the area assigned to the Office of the Regional Counsel, Region IX at 55 E. Monroe Street, Chicago, Illinois 60603. During non-working hours, the room in which the metal filing drawer is located is locked and access to the building is controlled at all times by uniformed guards with a check-in system for employees. Only employees of the Regional Counsel's office and authorized building personnel have keys to the building.

Retention and disposal: These files are retained indefinitely or until there is no longer space available for them within the metal filing drawer at which time the oldest cards for closed files will be transferred to the storage area within the confines of the office. The storage area is a large area containing cardboard boxes and metal storage cabinets, unable to be locked.

System manager(s) and address: Regional Counsel of Customs, Room 1417, United States Customs Service, 55 E. Monroe Street, Chicago, Illinois 60603.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained on these cards originates with the initiation of any action by an individual which is channeled through the Regional Counsel's office. Additional information is identifying information for locating the particular case file relating to the court case, personnel action, tort claim, relief petition, or request under the Freedom of Information Act.

Treasury/Customs 00.043

System name: Case Files (Regional Counsel-Region V)—Treasury/Customs.

System location: The system is located at 1440 Canal Street, New Orleans, Louisiana 70112, Office of the Regional Counsel, Region V, United States Customs Service.

Categories of individuals covered by the system: (1) The first category of individuals on whom records are maintained in the system includes employees who have filed adverse actions, equal employment opportunity complaints, and grievances within Region V; employees who have filed tort claims under the Military Personnel and Civilian Employees Act; employees of the Regional Counsel's staff with regard to travel, training, evaluations, and other related personnel records; and applications for employment submitted to the Office of the Regional Counsel by prospective employees; (2) The second category of individuals on whom records are maintained in the system includes those individuals not employed by the agency who have filed equal employment opportunity complaints; tort claims under the Federal Tort Claims Act; tort claims filed under the Small Claims Act; individuals who have outstanding Customs bills submitted for collection; individuals, corporations, partnerships, and proprietorships who have filed supplemental petitions on fines, penalties, and forfeitures within Region V; files relating to individuals, corporations, partnerships, and proprietorships upon whom criminal case reports are prepared pending litigation and prosecution for violation of 19 U.S.C. 1305, 18 U.S.C. 542, 18 U.S.C. 545, 18 U.S.C. 549, 18 U.S.C. 1001, 18 U.S.C. 496, and 18 U.S.C. 371; on individuals, corporations, partnerships, and proprietorships who have filed supplemental petitions submitted in civil and technical violations for 19 U.S.C. 1592, 19 U.S.C. 1453, 19 U.S.C. 1448, 19 U.S.C. 1584, irregular deliveries, shortages and overages; and miscellaneous civil and technical violations.

Categories of records in the system: The categories of records maintained in the system are personnel actions; tort claims; collection efforts; supplemental petitions for fines, penalties, and forfeitures cases in Region V; criminal case reports for pending litigation and prosecution of cases in Region V; supplemental petitions for civil and technical violations committed within Region V; and employment applications for positions in the Office of the Regional Counsel, Region V.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as if necessary to obtain information relevant to an agency decision concerning the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (f) Routine uses of the records contained in the system are for the processing and evaluation of complaints and claims submitted in adverse actions, equal employment opportunity complaints, grievances, tort claims, criminal investigations, civil investigations, collection efforts, employment actions, and personnel actions; (g) Routine uses include reference for the processing of claims; continuous updating of the records necessary for the processing of claims; references to the files for the satisfaction of claims and closing cases by or against the United States Customs Service within Region V; submission of copies of records to the General Services Administration and the Justice Department for prosecution of criminal and civil violations and collections; submissions of copies of records to various branches of the United States Customs Service within Region V for the purposes of investigating and/or processing outstanding civil and criminal cases, collection cases, tort claims, and various personnel actions; (h) The purposes of such uses include the processing of all of the above-cited claims by the Office of the Regional Counsel and the preparation of legal memoranda to various department heads and other agencies to expedite the ordinary course of business of the United States Customs Service within and without Region V.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The records are currently maintained in alphabetical file folders which are filed in two steel filing cabinets in the Office of the Regional Counsel, Region V, and they are maintained under lock and key outside the ordinary business hours.

Retrievability: Records maintained by the Office of the Regional Counsel, Region V, are retrievable by identifying the character of the record (i.e., adverse action, grievance, tort claim, criminal case), then by comparable statute or regulation, and then alphabetically by name and identifier. In addition, each case file is similarly identified on the alphabetical file folder within the steel filing cabinet.

Safeguards: (a) The steel filing cabinets described above are maintained within the area assigned to the Office of the Regional Counsel, 1440 Canal Street, New Orleans, Louisiana 70112. During non-working hours the room in which the locked steel cabinets are located is locked, and access to the building is controlled at all times by uniformed guards; (b) The policies and practices of the Office of the Regional Counsel regarding access controls are that only members of

the staff of the Office of the Regional Counsel have access to the records maintained by the office.

Retention and disposal: (a) Records collected by this office are currently retained on a permanent basis as the office has only been in operation since August 1973; (b) Individual records are not disposed of by the Office of the Regional Counsel, Region V, and are simply placed into a further file of closed cases by category as stated above, and within each category by name. However, when applicable, this office will comply with appropriate disposal regulations whereby the oldest closed cases will be forwarded to the Federal Records Center in accordance with the Treasury Records Control Manual.

System manager(s) and address: The agency official responsible for the system of records maintained by the Office of the Regional Counsel is the Regional Counsel, Region V, United States Customs Service, 1440 Canal Street, New Orleans, Louisiana 70112.

Notification procedure: See Appendix A.

Record source categories: The categories of sources of records in this system are the individual himself and files compiled by the United States Customs Services by using employers, other government agency resources, financial institutions, educational institutions attended, and previous employers.

Additional information in these files is also derived from reports of investigation regarding the enforcement of civil or criminal statutes, administrative proceedings regarding disciplinary action taken against Customs Service employees, equal opportunity complaints, investigations of tort claims, the processing of inter-office memoranda information requested under the Freedom of Information Act, and the investigation regarding the collection of debts due the Government.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.044

System name: Certificates of Clearance—Treasury/Customs.

System location: Financial Management Division, U.S. Customs Service, 100 Summer Street, Boston, Massachusetts 02110.

Categories of individuals covered by the system: All employees of Region I, Boston, Massachusetts who have transferred, retired or resigned.

Categories of records in the system: Documented detailed information on an in-house prepared form indicating that the employee has returned all Government property in his personal possession and that the employee has cleared all debts owing to Customs such as unearned uniform allowances and travel advances.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (f) The system is used as a check-off list to insure that the employee has complied with his obligation for the return of Government property and money. The system is used by all employees of the Payment Section.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Data is stored in file folders by District and name of employee in a metal file cabinet in the work area of the Payment Section.

Retrievability: The file is retrievable by District and name of employee.

Safeguards: The file is located within an office that is locked during non-working hours. The building is guarded by uniformed security police and only authorized persons are permitted entry to the building.

Retention and disposal: The files are kept for 10 years and then destroyed.

System manager(s) and address: Director, Financial Management Division, U.S. Customs Service, 100 Summer Street, Boston, Massachusetts 02110.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained in the system originates at the District where the individual is employed.

Treasury/Customs 00.045

System name: Claims Act File—Treasury/Customs.

System location: Office of the Regional Counsel, Room 7422, New Federal Building, 300 N. Los Angeles St., Los Angeles, CA 90053.

Categories of individuals covered by the system: Current or former Customs employees who have filed, or may file claims under the Military Personnel and Civilian Employees' Claim Act of 1964 for damage to or loss of personal property incident to their service.

Categories of records in the system: Documents relating to the administrative handling of the claim and documents submitted by the claimant in support of the claim.

Authority for maintenance of the system: 31 U.S.C. 240-243; 31 CFR Part 4; Treasury Department Administrative Circular No. 131, August 19, 1965.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The information contained in this file is utilized to formulate recommendations, and is made available to the officials within the Customs Service who make final decisions on claims submitted pursuant to the Military Personnel and Civilian Employees' Claim Act of 1964.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted alphabetically in a file folder which is filed in an unlocked drawer within a metal container.

Retrievability: Each case file is identified alphabetically in the file folder within the metal container by the name of the person who has filed or may file a claim.

Safeguards: The metal container described above is maintained within the area assigned to the Office of the Regional Counsel within the New Federal Building. During non-working hours the room in which the metal container is located is locked, and access to the building is controlled at all times by uniformed guards.

Retention and disposal: These files are retained indefinitely or until there is no longer any space available for them within the metal container, at which time the oldest closed files are transferred to the Federal Records Center.

System manager(s) and address: Regional Counsel, Room 7422, New Federal Building, 300 N. Los Angeles St., Los Angeles, California 90053.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained in these files originates with a Treasury Department Form No. 3079, Civilian Employee Claim For Loss or Damage to Personal Property, which is completed and filed with the Customs Service by the claimant. Additional information contained in these files may be separately provided by the claimant or by the claimant's supervisor. Where a claim is not

filed, the information is limited to the investigative reports of damage to or loss of personal property of a Customs employee.

Treasury/Customs 00.046

System name: Claims Case File—Treasury/Customs.

System location: Office of Regional Commissioner of Customs, 100 Summer Street, Boston, Massachusetts 02110.

Categories of individuals covered by the system: Parties who have filed claims for damage or injury against the Government, or against whom the Government has a claim for damage or injury in matters which affect or involve the U.S. Customs Service; private individuals or Government employees who are involved in the incident which gave rise to the claim.

Categories of records in the system: Reports relative to the circumstances of the claim (including accident reports provided by Customs personnel, agents' investigative reports, correspondence between Customs and the claimant or his representative); reports relative to an individual's ability to pay a claim for damages.

Authority for maintenance of the system: 5 U.S.C. 301; Reorganization Plan No. 1 of 1950; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records maintained in file folders.

Retrievability: Records indexed by name of private individual making a claim or against whom a claim is made; cross-referenced file with name of Government employee, if any, involved.

Safeguards: Open case files maintained in file cabinets with access by Regional Counsel and his staff only; closed case files maintained in locked cabinet with keys retained by Regional Counsel and staff only.

Retention and disposal: Retained indefinitely or until there is no longer any space available within metal cabinets, at which time the oldest files are transferred to the Federal Records Center.

System manager(s) and address: Regional Commissioner of Customs, 100 Summer Street, Boston, Massachusetts 02110.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in these files is received from U.S. Customs employees, reports of investigation, credit checks, private individuals involved in the claims, other Government agencies and other individuals with pertinent information.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.047

System name: Claims Files (Region VIII)—Treasury/Customs.

System location: Office of the Regional Counsel, 211 Main Street, San Francisco, CA 94105

Categories of individuals covered by the system: The categories included are: (1) Private persons filing claims under the Federal Tort Claims Act for property damage or personal injury allegedly caused by a wrongful or negligent act or omission on the part of a Customs Service employee while acting within the scope of his employment; (2) Current or former Customs employees filing claims under the Military Personnel and Civilan Employees' Claims Act of 1964 for damage to or loss of personal property incident to their service; (3) Individuals against whom the Government may have a claim for property damage caused by a wrongful or negligent act or omission on the part of the individual.

Categories of records in the system: Reports of invstigation regarding claims filed, documents relating to the administrative handling of the claim and documents submitted by the claimant in support of the claim.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended; Reorganization Plan No. 1 of 1965; 28 U.S.C. 2672, et seq; 31 U.S.C. 240-243, 28 CFR 14.1 et seq; 31 CFR 3.1, et seq; 31 CFR, Part 4; Treasury Department Administrative Circular No. 131, August 19, 1965.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosure required in administration of the Freedom of Information Act (5 U.S.C. 552);(c) The information contained in these files with respect to Category 1 individuals above is used to administratively process claims filed under the Federal Tort Claims Act and is made available to the officials within the Customs Service who review recommendations and who make final decisions on such claims. In addition, information contained in the Category 1 files is made available to the Department of Justice to assist that Department in preparing the Government's case when suit is filed in court based on an administrative denial of the claim or based on a failure to administratively process the claim within the prescribed time period; (d) The information contained in these files with respect to Category 2 individuals above is utilized to formulate recommendations, and is made available to the officials within the Customs Service who make final decisions on claims submitted pursuant to the Military Personnel and Civilian Employees' Claims Act of 1964; (e) Information contained in these files with respect to Category 3 individuals above is used to make recommendations and is made available to officials within the Customs Service who make final determinations with respect to whether or not Customs should proceed to collect a claim against an individual for damage to Government property and how this should be done.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in an alphabetical file folder which is filed in an unlocked drawer within a metal file cabinet.

Retrievability: Each case file is indentified in a manual alphabetical card file by the name of the person who filed the claim and in the alphabetical file folder within the metal file cabinet by the name of the person who filed the claim in the case of Categories 1 and 2 above and by the name of the individual against whom the Government may have a claim in the case of Category 3 above.

Safeguards: The metal file cabinet described above is maintained within the area assigned to the Office of the Regional Counsel within the Federal Building. During non-working hours the room in which the metal file cabinet is located is locked, and access to the building is controlled at all times by uniformed guards.

Retention and disposal: Claim files are retained indefinitely or until there is no longer any space available for them within the metal file cabinet, at which time the oldest files may be transferred to the Federal Records Center.

System manager(s) and address: Regional Counsel, 211 Main Street, San Francisco, CA 94105.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in the Federal Tort Claim files originates with a Standard Form 95, Claim for Damage or Injury, which is completed and filed with the Customs Service by the claimant. Investigations are conducted by authorized Customs Service investigative personnel in order to determine the

facts surrounding the claims. During these investigations information may be elicited from Customs Service employees, private persons, or any other parties who may have information regarding the facts surrounding the claims.

The information contained in the Military Personnel and Civilian Employees Claims Act files originates with a Treasury Department Form No. 3079, Civilian Employee Claim For Loss or Damage to Personal Property, which is completed and filed with the Customs Service by the claimant. Additional information contained in these files may be separately provided by the claimant or by the claimant's supervisor.

Information contained in the files concerning property damage claims by the Government originates with a report by a Government employee of an incident or of facts from which it appears that the Government may have a claim. Based upon the reports, investigations are conducted to determine the facts surrounding the claims. During the investigations information may be elicited from Customs Service employees, private persons, or any other parties who may have information regarding the facts surrounding the claims.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.048

System name: Claims for Automobile Accidents—Treasury/Customs.

System location: Financial Management Division, U.S. Customs Service, Region I, 100 Summer Street, Boston, Massachusetts 02110.

Categories of individuals covered by the system: Persons involved in automobile accidents for which Customs is pursuing collection.

Categories of records in the system: Accident reports, estimate of damages, insurance company correspondence, statements of witnesses, scope of employment of motor vehicle operation, and copies of bills sent to debtors.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law whether civil, criminal, or regulatory in nature and whether arising by general statute or particular program statute or by regulation, rule, or order issued pursuant thereto the relevant records in the system of records may be referred, as a routine use, to the appropriate agency whether Federal, state, local, or foreign charged with the responsibility of investigating or prosecuting the statute or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee; the issuance of a security clearance; the letting of a contract or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency in response to its request in connection with the hiring or retention of an employee; the issuance of a security clearance; the reporting of an investigation of an employee; the letting of a contract; or the issuance of a license, grant, or other benefit by the requesting agency to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (f) This system is used by the Operating Accountant and Collection Section employees to collect claims resulting from damage to Customs vehicles.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Data is stored in file folders located in the office of the Revenue Accountant in a 4-drawer metal file under his physical security.

Retrievability: The file is retrieved by the name of the individual which is kept in alphabetical order.

Safeguards: The file is located within an office that is locked during non-working hours. The building is guarded by uniformed Security Police, and only authorized persons are permitted entry to the building.

Retention and disposal: The file is retained until collection is effected or referred to the Regional Counsel. The file is kept for three years and then destroyed.

System manager(s) and address: Director, Financial Management Division, U.S. Customs Service, 100 Summer Street, Boston, Massachusetts 02110.

Notification procedure: See Customs Appendix A.

Record source categories: The information in the system originates with the operator of the motor vehicle in conjunction with the investigation report obtained from the Office of Internal Affairs.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.049

System name: Claims (Receivable and Payable)—Treasury/Customs.

System location: Financial Management Division, U.S. Customs Service, Region I, 100 Summer Street, Boston, Massachusetts 02110.

Categories of individuals covered by the system: Persons who have presented claims for payments by Customs or one involved in debts due Customs.

Categories of records in the system: Documented detailed information concerning the claims or debts involved in each case and related financial data on individuals involved in the debt or claim.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program stature, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (f) The system is used by the Chief, Accounting Branch as a guide in information-gathering to effect payment or collection.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Data is stored in file folders maintained in a metal file cabinet under the physical security of the Chief, Accounting Branch of the Financial Management Division.

Retrievability: The file is retrieved by the name of the individual on subject matter.

Safeguards: The files are located within an office that is locked during non-working hours. The building is guarded by uniformed security police and only authorized persons are permitted entry to the building.

Retention and disposal: The files on unusual cases are kept indefinitely for reference; routine cases are destroyed.

System manager(s) and address: Director, Financial Management Division, U.S. Customs Service, 100 Summer Street, Boston, Massachusetts 02110.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained in the system is obtained from the Personnel Department and the Payroll Data Center.

Treasury/Customs 00.266

System name: Collection File—Treasury/Customs.

System location: Office of the Regional Counsel, Suite 1220, 500 Dallas Street, Houston, Texas 77002.

Categories of individuals covered by the system: Individuals against whom the Customs Service has asserted monetary claims and maintains collection efforts, principally for damages done to Government property.

Categories of records in the system: Reports of investigation regarding damage done to Government property by private individuals and documents relating to the administrative determination and handling of the claim.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the U.S. Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) The information contained in this file is used to administratively process claims asserted by the Customs Service for damage done to government property by private individuals. The information is made available to those officials within the Customs Service who review and process such claims. In addition, information contained in this file is made available to the Department of Justice to assist that Department in preparing the government's case when suit is filed in court because administrative collection efforts are unsuccessful.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in an alphabetical file folder which is filed in an unlocked drawer within a metal container.

Retrievability: Each case file is identified in the alphabetical file folder within the metal container by the name of the person who filed the claim.

Safeguards: The metal container described above is maintained with the area assigned to the Office of the Regional Counsel on the 12th Floor of 500 Dallas Street, Houston, Texas. During non-working hours the room in which the metal container is located is locked, and access to the building is controlled at all times by uniformed security guards provided by the lessor.

Retention and disposal: These files are retained until closed at which time the closed files are transferred to the Division of Facilities Management, Office of the Regional Commissioner, Houston, Texas for ultimate transportation to the Federal Record Center.

System manager(s) and address: Regional Counsel, Suite 1220, United States Customs Service, 500 Dallas Street, Houston, Texas 77002.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in these files originates with a memorandum filed by the Customs employee accountable for the damaged property. An investigation is then conducted by authorized Customs Service investigative personnel in order to determine the appropriate facts. During the investigation, information may be elicited from Customs Service employees, private individuals, or any other party who may have relevant information.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.050

System name: Community Leader Survey—Treasury/Customs.

System location: Equal Employment Opportunity Officer, U.S. Customs Service, Region VI, 500 Dallas St., Suite 1240, Houston, Texas 77002.

Categories of individuals covered by the system: The names, titles, and organization of persons who may be construed to be occupying a community leadership role and who may be in a position to furnish information or have some influence in regard to the equal employment opportunity program area.

Categories of records in the system: These records will consist of a card index of the names, titles, and organization of community leaders.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) To provide an evaluation of the Regional equal employment opportunity posture; (b) To provide planning for an affirmative action program and to assist in evaluating and monitoring progress in the equal employment opportunity program area; (c) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (d) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: To be prepared in an alphabetical card listing and filed in a metal file cabinet.

Retrievability: To be listed and filed alphabetically.

Safeguards: The metal file cabinet described above will be maintained within the area assigned to the Equal Employment Opportunity Officer. During non-working hours this office area is locked and access to the building is controlled at all times by uniformed guards.

Retention and disposal: To be useful, this information file must be kept current. Non-current files will be destroyed locally.

System manager(s) and address: Equal Employment Opportunity Officer, U.S. Customs Service, Region VI, 500 Dallas St., Suite 1240, Houston, Texas 77002.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information to be included in these files will be developed from local agencies (city, county, state, and Federal) and from local civic organizations.

Treasury/Customs 00.051

System name: Complaints Against Customs Personnel—Treasury/Customs.

System location: Director, District Patrol Division, 600 South Street, New Orleans, LA 70130; Director, District Patrol Division, Room 213, International Trade Center, 250 N. Water Street, Mobile, AL 36602.

Categories of individuals covered by the system: Present and past employees of the Patrol Division and U.S. Customs Service.

Categories of records in the system: Letters and official government memoranda.

Authority for maintenance of the system: 5 U.S.C. 301; Reorganization Plan No. 1 of 1950; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosure required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or imple-

menting the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state or local agency maintaining civil, criminal or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additonal routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information in this system is contained in file folders and stored in locked metal cabinets.

Retrievability: File folders for this system are filed according to Customs filing code system.

Safeguards: File is maintained in locked, metal file cabinet, the keys of which are controlled by the custodian of the files. Those departmental officials who may occasionally be granted access consistent with their positions have been cleared by a full field background investigation and granted appropriate security clearance for critical sensitive positions. During non-working hours, the room housing the metal cabinets is locked.

Retention and disposal: Complaints against Customs Personnel files are normally destroyed after 1 year. If there are any pending complaints, the file may be kept until the case is closed. Method of disposal is shredding.

System manager(s) and address: Director, District Patrol Division, 600 South Street, New Orleans, La 70130.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in this system originates from any Federal, state or local law enforcement agencies or individuals, from any private business or individuals who may have any complaints against Customs personnel.

Treasury/Customs 00.053

System name: Confidential Source Identification File—Treasury/Customs.

System location: Components of this system are located in the Office of Investigations, U.S. Customs Service Headquarters, and the Office of Management Integrity, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229, and the offices of the Area Directors (Management Integrity) located in New York, Chicago, Los Angeles and Miami. Their addresses are as follows: 6 World Trade Center, Room 502, New York, New York 10048; 55 E. Monroe Street, Suite 1539, Chicago, Illinois 60603; 300 N. Los Angeles Street, Los Angeles, California 90053; and 99 S.E. 5th Street, Miami, Florida 33131.

Categories of individuals covered by the system: Individuals (sources) supplying confidential information to the U.S. Customs Service, Office of Investigations and Office of Management Integrity.

Categories of records in the system: This system contains some or all of the following information: name (actual or assumed), source (identifying) number, date number assigned, address, citizenship, occupational information, date and place of birth, physical description, photograph, miscellaneous identifying number such as Social Security number, driver's license number, FBI number, passport number, Customs Form 4621 documenting information received from confidential source, amount and date of monetary payment made to source for information supplied, criminal record, copy of driver's license, and copy of alien registration card.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended; 19 U.S.C. 1619; and 18 U.S.C. Chapter 27.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: This information is primarily for the exclusive internal use of authorized employees of the Office of Investigations and the Office of Management Integrity. The records maintained by the Office of Investigations are separate and distinct from records maintained by the Office of Management Integrity and in each case access is restricted to authorized personnel of the Office of Investigations or Office of Management Integrity in connection with civil or criminal investigations and in connection with payments made for information received. Stringent controls are placed upon access to files, even among Office of Investigation or Office of Management Integrity personnel. In extremely rare and unusual situations, information with full source concurrence may be supplied to such organizations as Federal, state, and/or other law enforcement or prosecutorial agencies as the demands of law or justice might require.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are kept in locked cabinets. Access during working hours is limited to authorized personnel.

Retrievability: Office of Investigations and Office of Management Integrity: The name of each source is filed in both alphabetical order and by location of the submitting office.

Safeguards: In addition to being stored in secure metal cabinets with government approved locks, the files are located in closely watched rooms of the Office of Investigations and the Office of Management Integrity. Headquarters, and in the four Area Director (Management Integrity) offices. Personnel maintaining the files are selected for their reliability, among other qualities, and afforded access only after having been cleared by a full field investigation. During non-working hours the rooms in which the records are located are locked and access to the building is controlled by uniformed security guards.

Retention and disposal: Indefinite retention periods have been established for all records contained in the file. The Office of Investigations destroys a file when it no longer has any utility by either shredding or burning; the Office of Management Integrity reviews files annually for relevance and necessity, and when a file no longer has any utility, it is destroyed by either shredding or burning.

System manager(s) and address: Assistant Commissioner, Office of Investigations, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229, for those components of the system maintained by the Office of Investigations; Director, Internal Security Division, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229, and Area Directors (Management Integrity) located in the four U.S. Customs areas for those components of the system maintained by the Office of Management Integrity.

Systems exempted from certain provisions of the act: the Commissioner of Customs pursuant to 5 U.S.C. 552a(j) and/or (k) has exempted this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is exempted and the justification for the exemption are contained in 31 CFR 1.36.

Treasury/Customs 00.054

System name: Confidential Statements of Employment and Financial Interests—Treasury/Customs.

System location: Located in the Regional office or Personnel Management Division, U.S. Customs Service, of each region and headquarters, according to Customs Appendix A.

Categories of individuals covered by the system: Those employees as listed in Treasury Personnel Manual Chapter 735 Subpart C, Section 0.735-320, Customs Appendix A, and the currently effective edition of Customs Circular PER-2-PER (Subject: Personnel; Departmental Rules of Conduct and Requirements Concerning Financial Statement).

Categories of records in the system: Form TD 3087, Confidential Statement of Employment and Financial Interests.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant

thereto; (d) All financial statements are to be reviewed for an indication of any conflict of interest or apparent conflict of interst as defined in the Federal, Treasury, and Customs Personnel Manuals.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in file folders.

Retrievability: Records are indexed by name.

Safeguards: Records are maintained in locked safe.

Retention and disposal: Records are destroyed two (2) years after employee leaves a position in which a statement is required, or two (2) years after the employee leaves the agency, whichever is earlier.

System manager(s) and address: Director, Personnel Management Division, U.S. Customs Service, Washington, D.C. 20229, or appropriate Regional Principal Field Officer.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Individuals required to submit Form TD 3087.

Treasury/Customs 00.055

System name: Congressional and Employment Correspondence—Treasury/Customs.

System location: Personnel Management Division, U.S. Customs Service, Washington, D.C. 20229.

Categories of individuals covered by the system: Records are maintained in this system on individuals who have written to their Congressman or directly to Customs requesting assistance in resolving problems and/or securing employment.

Categories of records in the system: Incoming letters and the agency's reply and related materials.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Records are used as a point of reference should the individual submit other inquiries either from him personally or his Congressman.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in file folders.

Retrievability: Records are indexed by name.

Safeguards: Records are maintained in a locked file.

Retention and disposal: Indefinite.

System manager(s) and address: Director, Personnel Management Division, U.S. Customs Service, Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The source of records in the system are the inquiries originated by the individual.

Treasury/Customs 00.056

System name: Congressional and Public Correspondence File—Treasury/Customs.

System location: Support Branch, Inspection and Control Division, U.S. Customs Service, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Categories of individuals covered by the system: Those persons sending letters of complaint or making written inquiries concerning Customs inspectional activities and procedures. Those persons include Congressmen acting on behalf of their constituents, industrial and commercial representatives, and private individuals.

Categories of records in the system: The name of the person or persons concerned, file number, date of inquiry, date inquiry received, closing date, member of staff closing case, and the nature of the complaint or inquiry.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine use of records contained in this system are as follows: (a) Disclosure to those officers and employees of the Customs Service and Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in the administration of the Freedom of Information Act (5 U.S.C. 552); (c) To maintain a record of public inquiries and complaints received by the Division, to determine priority for responding to inquiries and complaints; to provide quick retrieval of such correspondence; to ensure timely response to inquiries and complaints; and to prepare responses to inquiries and complaints.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Correspondence records are maintained on 3 x 5 index cards. The cards are placed within a 6 x 9 metal file box, which is kept in an unlocked desk drawer. The correspondence files themselves are placed in legal and letter size metal file cabinets which are kept in a locked file room.

Retrievability: Correspondence records are identified by the name of the person making inquiry or complaint. The cards are then filed alphabetically.

Safeguards: Access to the file is granted only to authorized Customs personnel. During non-working hours the room in which the records are located is locked and access to the building is controlled by uniformed security police.

Retention and disposal: The records are maintained indefinitely.

System manager(s) and address: Office Manager, Support Branch, Inspection and Control Division, U.S. Customs Service, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information contained in this record system is obtained from the correspondence of the individual making the inquiry or complaint and from investigations and/or inquiries into complaints conducted by Customs Service personnel which include interviews of involved Customs employees and private individuals or other witnesses to the incident in question.

Treasury/Customs 00.057

System name: Container Station Operator Files—Treasury/Customs.

System location: Offices of District Directors, Region IX, Chicago, Illinois. See Customs Appendix A.

Categories of individuals covered by the system: Present and past container station operators and employees that require an investigation and related information.

Categories of records in the system: Report of investigations, application and approval or denial of bond to act as container station operator and other Customs Service memoranda. Names, addresses, social security numbers, and dates and places of birth of persons employed.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended; Customs Regulations, Part 19.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in file folders and stored in file cabinets in each District Director's office within Region IX, Chicago, Illinois.

Retrievability: Each file is identified by the name of the container station operator.

Safeguards: The file cabinets are maintained within the area assigned to the District Director. During non-working hours, the room and/or building in which the file cabinet is located is locked.

Retention and disposal: These files are retained indefinitely. Employee name data retained for period of employment with container station operator.

System manager(s) and address: District Director, each district within Region IX, Chicago, Illinois. See Customs Appendix A

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in this file originates from the individual applicant for container station operator bond, from reports of investigation and other Customs memoranda.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.058

System name: Cooperating Individual Files—Treasury/Customs.

System location: These files are located in regional and local Customs Patrol Offices within the United States. See Customs Appendix A.

Categories of individuals covered by the system: Persons providing confidential information to the U.S. Customs Service Patrol Division.

Categories of records in the system: These records include: assumed names; actual names; code numbers; addresses; telephone numbers; physical descriptions; miscellaneous identifying numbers such as Social Security numbers, driver's license number, etc.; date individual's record was established; amount and date of reward paid for information supplied.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The information in this system is used primarily by the Customs Patrol Officer who receives confidential information for the purpose of detecting and apprehending suspected violators of Customs and related laws, and for the purpose of processing the payment of rewards for information received. Disclosure may be made only in extremely rare and unusual situations when demands of law or justice require that information be supplied to such organizations as Federal, state and/or other agencies.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: All files and indices relating to cooperating individuals are stored in metal file cabinets secured with combination locks in a government secured building.

Retrievability: The name of each cooperating individual is filed in alphabetical order by assumed name and by actual name. The indices are maintained in the same alphabetical order and are also cross-referenced by the Patrol Division alpha numeric code number. All other indentifying data is used for verification of identity rather than method of retrieval.

Safeguards: In addition to being stored in secure metal cabinets with government approved locks, the metal files are kept locked when not in use and located in a closely watched room of the Patrol Division. Personnel maintaining the files are selected for their reliability among other qualities, and they are afforded access only after having been cleared by a full field investigation. The files are given the same treatment as material classified as Secret. During duty hours, Patrol Division personnel maintain visual control and during off-duty hours the area containing the files is locked.

Retention and disposal: Indefinite retention periods have been established for almost all records contained in the file. When a file no longer has any utility, it is destroyed by either shredding or burning.

System manager(s) and address: The Regional Patrol Director in Regional Customs Patrol Offices; the District Patrol Director in District Patrol Offices; and the Supervisory Customs Patrol Officer in suboffices of District Customs Patrol. See Customs Appendix A.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption are contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.060

System name: Counseling Reports—Treasury/Customs.

System location: Equal Employment Opportunity Officer, U.S. Customs Service, Region VI, 500 Dallas Street, Suite 1240, Houston, Texas 77002.

Categories of individuals covered by the system: Present and past employees who have received counseling service offered by the Equal Employment Opportunity Officer or a designated counselor.

Categories of records in the system: Internal Customs Service memoranda, notes, excerpts from the official personnel files and other information pertinent to the counseling session(s).

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) To gather information to prove or disprove complainant's allegation and to resolve complaint informally, if possible; (b) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (c) Disclosure required in administration of the Freedom of Information Act (5 U.S.C. 552); (d) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in an alphabetical file folder which is filed in a locked metal file cabinet drawer.

Retrievability: Each case file is identified on the alphabetical file folder within the metal file drawer.

Safeguards: The metal file cabinet described above is maintained within the area assigned to the Equal Employment Opportunity Officer. During non-working hours the office area is locked and access to the building is controlled at all times by uniformed guards.

Retention and disposal: These files are retained indefinitely or until there is no longer adequate local storage space at which time they are transferred to the Federal Records Center at Ft. Worth, Texas.

System manager(s) and address: Equal Employment Opportunity Officer, U.S. Customs Service, Region VI, 500 Dallas Street, Suite 1240, Houston, Texas 77002.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained in these files originates with the receipt of a complaint by an employee. Additional information may be obtained from the employee's official personnel file and inquiries concerning the specific complaint.

Treasury/Customs 00.061

System name: Court Case File—Treasury/Customs.

System location: Office of the Regional Counsel, Room 7422, New Federal Building, 300 N. Los Angeles St., Los Angeles, California 90053.

Categories of individuals covered by the system: Persons who are parties in litigation with the United States Government or subunits or employees or officers thereof, in matters which affect or involve the United States Customs Service.

Categories of records in the system: Court documents with exhibits, reports of investigations, internal Customs Service memoranda summarizing or relating to the matter in controversy and other background information relating to the subject matter or origin of the litigation.

Authority for maintenance of the system: 28 U.S.C. 2676, 19 U.S.C. 1603, E.O. 6166. 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Information contained in this file is maintained for the use of the Regional Counsel and may be made available to the appropriate United States Attorney upon request to assist him in representing the interests of the Government, the agency or officer or employee involved in the litigation, or to other agencies involved in the same or similar litigation. Disclosure

to Treasury and Customs employees who have need for the records in the performance of their duties and disclosures under the Freedom of Information Act.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in an alphabetical file folder which is filed in an unlocked drawer within a metal container.

Retrievability: Each case file is identified by the name of the person against whom the Government has initiated the litigation, or by the name of the person who initiated the litigation against the Government.

Safeguards: The metal container described above is maintained within the area assigned to the Office of the Regional Counsel within the New Federal Building. During nonworking hours the room in which the metal container is located is locked, and access to the building is controlled at all times by uniformed guards.

Retention and disposal: These files are retained indefinitely or until there is no longer any space available for them within the metal container, at which time the oldest closed files are transferred to the Federal Records Center.

System manager(s) and address: Regional Counsel, Room 7422, United States Customs Service, 300 N. Los Angeles St., Los Angeles, California 90053.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in these files originates with a request by a District Director to the appropriate United States Attorney that he institute suitable judicial action to enforce the forfeiture of merchandise and vehicles, or the value thereof, which had been imported or used in violation of the Customs laws, and upon which final administration action has taken place. Information in this file also originates with the filing of a complaint by a private person against the Government, and by the filing of a complaint by the Government against private persons or former employees to enforce the collection of debts due the Government. Information in the files is also derived from reports of investigation regarding the enforcement of civil or criminal statutes and denial of tort claims.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.062

System name: Court Case Files (Region VIII)—Treasury/Customs.

System location: Office of the Regional Counsel, 211 Main Street, S.F. CA 94105.

Categories of individuals covered by the system: Persons who are parties in litigation with the United States Government or subunits or employees or officers thereof, in matters which affect or involve the United States Customs Service.

Categories of records in the system: Litigation report requests and responses thereto, reports of investigations, internal Customs Service memoranda summarizing or relating to the matter in controversy and other background information relating to the subject matter or origin of the litigation.

Authority for maintenance of the system: 5 U.S.C. 301, Reorganization Plan No. 1 of 1950; Treasury Department Order No. 165, Revised, as amended; Reorganization Plan No. 1 of 1965. 28 U.S.C. 507, 19 U.S.C. 1603, E.O. 6166.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosure required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Information contained in these files is routinely provided to the Department of Justice or individual U.S. Attorneys upon request to assist that Department or individual U.S. Attorney in representing the interests of the Government, the agency or officer or employee involved in the litigation, or to other agencies involved in the same or similar litigation. Files are often channeled through the office of the Chief Counsel of Customs and the Treasury Department; (d) Information contained in these files may also be used internally by the Regional Counsel or members of his staff insofar as it relates to precedent or guidelines for handling subsequent cases.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in an alphabetical file folder which is filed in an unlocked drawer within a metal file cabinet.

Retrievability: Each case file is identified in a manual alphabetical card file by the name of the person who initiated the litigation against the Government, agency, officer or employee or by the name of the person against whom the Government initiated the litigation. In addition each case file is similarly identified on the alphabetical file folder within the metal file cabinet.

Safeguards: The metal file cabinet described above is maintained within the area assigned to the Regional Counsel at 211 Main Street, San Francisco. During non-working hours the room in which the metal file cabinet is located is locked, and access to the building is controlled at all times by uniformed guards.

Retention and disposal: These files are retained indefinitely or until there is no longer any space available for them within the metal file cabinet, at which time some files may be transferred to the Federal Records Center.

System manager(s) and address: Regional Counsel, United States Customs Service, 211 Main Street, San Francisco, Calif. 94105.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in these files originates with the receipt of a litigation report request from the Department of Justice or an individual United States Attorney which results in a written report to that Department or U.S. Attorney regarding the facts of the particular case. Information in this file is also derived from reports of investigation regarding the enforcement of civil or criminal statutes, administrative proceedings regarding disciplinary action taken against Customs Service employees, equal employment opportunity complaints, denials of tort claims, denials of information requested under the Freedom of Information Act, and the collection of debts due the Government.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.c. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.063

System name: Court Docket Records System—Treasury/Customs.

System location: Office of the Regional Counsel, U.S. Customs Service, Region IX, 55 E. Monroe Street, Room 1417, Chicago, Illinois 60603.

Categories of individuals covered by the system: Persons either suing or being sued by the U.S. Customs Service in civil actions for damages; recovery or enforcement of obligations to U.S. Customs, including duties, tort claims, fines, penalties, forfeitures, seizures; reversal of adverse personnel actions, and any other proceeding in U.S. District Courts and U.S. Circuit Courts of Appeal, involving the U.S. Customs Service and/or officers and employees of the U.S. Customs Service in their official capacity, or persons accused of violation of laws enforced by the United States Customs Service.

Categories of records in the system: Court pleadings, litigation report requests and responses thereto, reports of investigations, internal Customs Service memoranda summarizing or relating to the matter in controversy and other background information relating to the subject matter or origin of litigation.

Authority for maintenance of the system: 28 U.S.C. 2676, 19 U.S.C. 1603, 28 U.S.C. 2671 et. seq.; 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosure required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal,

state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (f) Information contained in this file is provided as a routine use to the Department of Justice to assist that department in representing the interest of the Government, the agency or officer involved in the litigation, or to other agencies involved in the same or similar litigations. Files are often channeled through the Department of the Treasury.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in a file folder which is filed in a lockable drawer within a metal filing cabinet container.

Retrievability: Each case file is identified in a manual alphabetical card file by the name of the plaintiff in a proceeding against the U.S. Government, Customs Service, and/or employee, or by the name of the defendant in proceedings brought by the government. In addition, each case file is similarly identified on the file folder with the alphabetical name on the file which is placed and located within the metal container.

Safeguards: The metal file drawer containing the files described above is maintained within the area assigned to the office of the Regional Counsel, Region IX, at 55 E. Monroe Street, Chicago, Illinois. During non-working hours, the room in which the metal filing drawer is located is locked, the file container itself is locked, and access to the building is controlled at all times by uniformed guards with a check-in system for employees. Only employees of the Regional Counsel's office and authorized building personnel have keys to the building.

Retention and disposal: These files are retained indefinitely or until there is no longer space available for them within the metal filing cabinet at which time the oldest files are transferred to the storage area within the confines of the office. The storage area is a large area containing cardboard boxes and metal storage cabinets which are not able to be locked. Files which are closed and not retained for precedent purposes are retired to the Federal Records Center, General Services Administration, 7358 S. Pulaski, Chicago, Illinois 60602, in accordance with the U.S. Customs Service Records Disposal Manual.

System manager(s) and address: Regional Counsel of Customs, Room 1417, United States Customs Service, 55 E. Monroe Street, Chicago, Illinois 60603.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in these files originates with the receipt of a litigation report request from the Department of Justice which is channeled through the Treasury Department, or with the receipt of a court pleading from one of the members of the U.S. Customs Service. Information in this file is derived from reports of investigations regarding the enforcement of civil or criminal statutes, administrative proceedings regarding disciplinary action taken against Customs Service employees, equal opportunity complaints, denials of tort claims plus tort claim information, denials of information under the Freedom of Information Act request, and the collection of debts due the Government.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.064

System name: Credit Card File—Treasury/Customs.

System location: Logistics Management Division, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Categories of individuals covered by the system: Headquarters Customs Service Employees to whom national gasoline credit cards and Hertz and Avis automobile rental cards have been issued.

Categories of records in the system: Credit card numbers, names, and signatures of employees to whom credit cards have been issued, and the date of issuance.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. Such duties may include: maintaining an inventory of gasoline credit cards and automobile rental cards which are assigned to high level Customs Service officials or which are issued on a one transaction basis to other Customs Service officials or employees for their use in connection with the performance of their official duties; ensuring accountability for the cards issued; and providing a means for collecting issued cards when they are no longer needed by the officers or employees to whom they were issued; (b) Disclosure required in the administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information in this system is contained on index cards which are placed in a locked metal file cabinet within one office.

Retrievability: A portion of the index cards are identified by the name of the person to whom the credit card was issued in the case of credit cards which have been permanently assigned to higher level Customs Service officers and such index cards are filed alphabetically. The remainder of the index cards relate to credit cards which are issued to Customs Service officers or employees on a one transaction basis, and these index cards are filed by the applicable credit card number.

Safeguards: The metal cabinet in which the index cards are placed is locked, and the room in which the metal cabinet is located is locked during non-working hours. The building is guarded by uniformed security police, and only authorized persons are permitted in the building.

Retention and disposal: Index cards filed alphabetically by name are retained during the period that the officials named thereon are in possession of the credit cards, and these index cards are disposed of when the officials are no longer in possession of the credit cards. Index cards filed by credit card number are retained until all signature lines have been used, at which time these index cards are discarded.

System manager(s) and address: Chief, Headquarters Support Branch, Logistics Management Division, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in this system is obtained from Customs Service records and is also furnished by the officers or employees to whom the credit cards have been issued.

Treasury/Customs 00.272

System name: Currency Declaration File (IRS Form 4790)—Treasury/Customs.

System location: One or more offices of District Directors of Customs or suboffices under the District Director's jurisdiction (for addresses of District Directors, see Customs Appendix A).

Categories of individuals covered by the system: Individuals departing from or entering the country who filed IRS Form 4790.

Categories of records in the system: Name, identifying number, birthdate, address, citizenship, visa date and place, immigration alien number, kinds and amounts of monetary instruments, address in the United States or abroad, passport number and country, and arrival or departure information.

Authority for maintenance of the system: 31 U.S.C. 1101; 5 U.S.C. 301; Treasury Department Order No. 165, revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; such duties include referencing and verifying currency declarations filed; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552);

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The Form 4790 is maintained in a file folder or binder in an open storage rack.

Retrievability: They are indexed and filed by name in the folder or binder.

Safeguards: The office and building are locked during nonworking hours.

Retention and disposal: The records are retained from one to five years and then destroyed.

System manager(s) and address: District Directors of Customs.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information on the IRS Form 4790 originates from the individual or Customs agent reporting the bringing in or taking out of currency or monetary instruments exceeding 5,000 dollars.

Treasury/Customs 00.067

System name: Currency and Monetary Instrument Reporting System (CMIR)—Treasury/Customs.

System location: U.S. Customs Service, Office of Investigations, 1301 Constitution Avenue, N.W.; Washington, D.C. 20229.

Categories of individuals covered by the system: Listing of Individuals who filed Internal Revenue Service Form 4790 (Currency and Monetary Instrument Report).

Categories of records in the system: Name of individuals filing form, report of owner of monetary instrument, amount of currency reported, address, personal identifiers, date of birth, etc.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigation or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto. (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit. (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter. (f) Records the fact that the individual of record conveyed the monetary instruments into or out of the United States.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic tapes.

Retrievability: By name and other unique identifiers.

Safeguards: Procedural and physical safeguards are utilized such as accountability and receipt access, guards patrolling the area, restricted access and alarm protection systems, special communication security, etc.

Retention and disposal: Indefinite.

System manager(s) and address: Assistant Commissioner, Office of Investigations, U.S. Customs Service, 1301 Constitution Avenue, N.W.; Washington, D.C. 20229.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.069

System name: Customhouse Brokers File, Chief Counsel—Treasury/Customs.

System location: Office of the Chief Counsel, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Categories of individuals covered by the system: Licensed customhouse brokers.

Categories of records in the system: Internal Customs Service memoranda and related material regarding proposed administrative disciplinary action against customhouse brokers for violation of the regulations governing the conduct of their business.

Authority for maintenance of the system: 19 U.S.C. 1641; 19 CFR Part 111; 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in the administration of the Freedom of Information Act (5 U.S.C. 552). (c) In order to carry out the authority to discipline customhouse brokers for violation of regulations promulgated pursuant to 19 U.S.C. 1641, information in this file is used to evaluate reported derelictions and to recommend to the Commissioner of Customs that disciplinary action be initiated or to recommend that such action not be taken. In addition, information in these files is used to prepare written reprimands issued to brokers and to prepare proposed charges in connection with recommendations that proceedings be held looking toward the suspension or revocation of brokers' licenses. Information in these files is also used in connection with administrative proceedings looking toward the suspension or revocation of licenses. (d) In addition, information contained in these files is provided to the Department of Justice for its use in connection with appeals from orders resulting in the suspension or revocation of licenses. (e) The information may be furnished to other Government agencies which have an interest in the situations that led to the disciplinary action, or in the broker.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in an alphabetical file folder which is filed in an unlocked drawer within a metal container.

Retrievability: Each case file is identified in a manual alphabetical card file by the name of the customhouse broker and in the alphabetical file folder within the metal container by the name of the customhouse broker.

Safeguards: The metal container described above is maintained within the area assigned to the Office of the Chief Counsel within the Customs Service Building. During non-working hours the room in which the metal container is located is locked, and access to the building is controlled at all times by uniformed guards.

Retention and disposal: Customhouse broker files are retained indefinitely or until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Record Center.

System manager(s) and address: Chief Counsel, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in these files originates from audits of or investigations into the conduct of customhouse brokers' businesses which include review of customhouse brokers' business records and interviews of Customs Service personnel, private parties, customhouse brokers and employees of customhouse brokers. The audits or investigations result in recommendations from appropriate Customs Service field personnel regarding possible disciplinary action against customhouse brokers which in turn result in the information contained in these files which consists of evaluations of the recommendations and the proposed disposition of the cases.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.070

System name: Customhouse Brokers, Headquarters Records—Treasury/Customs.

System location: Entry and Licensing Branch, Entry Procedures and Penalties Division, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Categories of individuals covered by the system: Citizens of the United States, 21 years of age or older, who have been granted individual customhouse brokers' license for specific U.S. Customs Districts and such individuals who have applied for an individual broker's license which has been denied for cause other than failure to pass the qualifying individual customhouse brokers' license examination.

Categories of records in the system: The records system contains executed individual customhouse brokers' license application forms, report of investigation concerning individual broker applicants, transmittal letters, and general correspondence corrobative of information disclosed in an individual's license application or report of investigation as to the applicant's character and business integrity. The records system also contains information relating to actual or proposed disciplinary action against licensed customhouse brokers.

Authority for maintenance of the system: 19 U.S.C. 1641, 19 CFR Part 111; 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto. (d) Processing of individual applications for individual customhouse brokers' licenses; processing of corporation, partnership, and association brokers' licenses; approval of use of trade or fictitious brokers' names; maintenance of centralized files on licensed customhouse brokers for verification and updating of names, addresses, place of employment, district where licensed, and compliance with regulatory requirements of duties and obligations of customhouse brokers; determinations that each corporate, association, or partnership licensed as a broker have not less than two officers or members licensed as individual brokers; and the availability of file information to verify licensing of customhouse brokers and to provide data or information upon request to the Federal Maritime Commission, the Civil Aeronautics Board, an appropriate U.S. Attorney's office, or other Federal agency.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each file is kept in an alphabetical file folder in metal file cabinets.

Retrievability: Each file is indexed in a file card system by alphabetical name and Customs district where located. Records are retrieved alphabetically by reference to the card file index, or by license certificate number assigned to a broker upon the granting and issuing of a customhouse broker's license. Cancelled brokers' records are maintained in cancelled files arranged alphabetically.

Safeguards: Direct access to the files is limited to Customs employees assigned to processing and reviewing Customhouse brokers' license applications. At least one employee of the Entry and Licensing Branch is present in the office at all times during working hours. At all other times the room is locked. The building is guarded by security police on a round-the-clock basis, and only authorized persons are permitted in the building.

Retention and disposal: Cancelled Customhouse brokers' records are retained in cancelled files and used for precedent purposes. The records are maintained indefinitely.

System manager(s) and address: Director, Entry Procedures and Penalties Division, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

Record source categories: Information in the records is obtained from the license applications of the individual Customhouse brokers; references as to good character and integrity of the applicant; reports of investigations by Customs regarding applicants for licenses and disciplinary action; responses to posted notices of individual applicants applying for Customhouse brokers' licenses; local credit reporting services; Customs audit reports; court records.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.071

System name: Customhouse Brokers Records—Treasury/Customs.

System location: Customs regional, district and port offices. See Customs Appendix A.

Categories of individuals covered by the system: Individuals and firms who have applied for or hold status as a licensed customhouse broker and individuals employed by licensed customhouse brokers.

Categories of records in the system: License applications, internal Customs memoranda and related materials regarding background investigations, complaints, names, addresses, social security numbers, dates and places of birth, investigative reports, telephone numbers, credit information and other related business data. Information on disciplinary action and legal actions regarding the customhouse broker.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended. 19 U.S.C. 1641; 19 CFR Part 111.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in the administration of the Freedom of Information Act (5 U.S.C. 552). (c) In order to carry out the authority to discipline customhouse brokers for violation of regulations promulgated pursuant to 19 U.S.C. 1641, information in this file is used to recommend to the Commissioner of Customs that disciplinary action be initiated or to recommend that it used to prepare written reprimands issued to brokers and to prepare proposed charges in connection with recommendations that proceedings be held looking toward the suspension or revocation of brokers' licenses. Information in these files is also used in connection with administrative proceedings looking toward the suspension or revocation of licenses. (d) In addition, information contained in these files is provided to the Department of Justice for its use in connection with appeals from orders resulting in the suspension or revocation of licenses. (e) The information may be furnished to other Government agencies which have an interest in the situations that led to the disciplinary action, or in the broker.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The records are maintained in file folders in drawers and metal file cabinets.

Retrievability: The records are filed either alphabetically or chronologically with a cross index by name.

Safeguards: Offices in which the records are maintained are locked during non-working hours. Office buildings are locked and/or patrolled by uniform guards during non-working hours.

Retention and disposal: Records are maintained either indefinitely or as long as the customhouse broker retains an active license.

System manager(s) and address: Customs Regional commissioners, district directors, and port directors.

Notification procedure: See Customs Appendix A.

Record source categories: Information contained in the license applications, references as to good character and integrity of the applicant, reports of investigations on applications and disciplinary action, local credit reporting services, Customs audit reports and court records.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.075

System name: Customs Officer Badge Inventory—Treasury/Customs.

System location: Logistics Management Division, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Categories of individuals covered by the system: Customs Service officers to whom badges are issued.

Categories of records in the system: Signed recipts and officer's name, social security number, and badge number.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. Such duties may include maintaining administrative control over badges, providing for accountability for badges, and providing a means for collecting badges from officers who are leaving the Customs Service or who no longer have a need for the badge. (b) Disclosures required in the administration of the Freedom of Information Act (5 U.S.C. 552). (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information in this system is contained on index cards within a file box which is placed in a locked metal cabinet within the supply room office. In addition, the information is contained in a Customs Service computer record system.

Retrievability: Each index card is identified by the name of the person to whom the badge is issued and these cards are filed in alphabetical order. The computer records are accessed by the badge number.

Safeguards: The metal cabinet in which the index cards are placed is locked, and the room in which the metal cabinet is located is locked when the supply clerk is absent from the room and during non-working hours. The building is guarded by uniformed security police, and only authorized persons are permitted in the building. With regard to the computer records, procedural and physical safeguards are utilized such as accountability and receipt records, guards patrolling the area, restricted access, and alarm protection systems.

Retention and disposal: Each index card and each computer record is maintained during the period in which the officer is in possession of the badge. The index cards are discarded, and the information in the computer is erased when the officer no longer has possession of the badge.

System manager(s) and address: Chief, Headquarters Support Branch, Logistics Management Division, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in this system is furnished to the Customs Service by the officers to whom the badges are issued.

Treasury/Customs 00.077

System name: Disciplinary Action and Resulting Grievance or Appeal Case Files—Treasury/Customs.

System location: Located in the Personnel Management Division of each Region and Headquarters, and in District, Port, and appropriate post of duty offices. (See Customs Appendix A).

Categories of individuals covered by the system: Customs employees on whom disciplinary action is pending or has occurred.

Categories of records in the system: Records on such action as leave restriction letters, reprimands, suspension, adverse actions, etc., and resulting grievance or appeal by employee. Copies of correspondence, management requests for assistance, evidentiary materials on which action is contemplated, proposed or taken, regulatory material, examiners' reports, etc.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto. (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit. (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter. (f) Used by certain members of the Personnel Management Division or supervisors in justifying action, for maintenance of records in the event of appeals or grievances, for statistical use, for audit and review of actions, for recommendations on decision, for uniform disciplinary actions, for proper handling of potential grievance or appeal, to establish grievance or appeal file.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are stored in manila folders.

Retrievability: Records are indexed by name.

Safeguards: Records are maintained in a locked file and stamped "For Official Use Only".

Retention and disposal: Records are maintained for five years and then transferred to Federal Records Center where they are maintained for fifteen years and then destroyed.

System manager(s) and address: Director, Personnel Management Division, in each region and Headquarters, or appropriate managerial official in employee's district, port, or post of duty. (See Custom Appendix A).

Record source categories: Supervisors and supervisory records and notes; evidentiary materials supporting planned, proposed, or accomplished actions; grievance letters submitted by employee, grievance examiner, etc.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.078

System name: Disclosure of Information File—Treasury/Customs.

System location: Office of Regional Counsel, Room 125, U.S. Customhouse, 40 South Gay Street, Baltimore, Maryland 21202.

Categories of individuals covered by the system: Persons requesting access to information pursuant to the Freedom of Information Act or any other statute, regulation, directive or policy to disclose such information.

Categories of records in the system: Requests, information, records, documents, internal Customs Service memoranda, or memoranda from other agencies and related materials regarding disclosure of the information.

Authority for maintenance of the system: 5 U.S.C 552, 31 CFR Part 1, 19 CFR Part 103, 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Disclosure or denial to the requester of the information sought. (d) Disclosure to the Department of Justice and/or United States Attorney in any litigation arising from any decision regarding the disclosure of information.

For additional routine uses see Apendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in file folder which is filed in an unlocked drawer within a metal container.

Retrievability: Each case file is identified in the file folder within the metal container by the name of the person requesting disclosure.

Safeguards: The metal container described above is maintained within the area assigned to the Office of the Regional Counsel within the Customhouse. During non-working hours the room in which the metal container is located is locked, and access to the building is controlled at all times by uniformed guards.

Retention and disposal: Customhouse broker files are retained indefinitely or until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Records Center.

System manager(s) and address: Regional Counsel, Room 125, U.S. Customhouse, 40 South Gay Street, Baltimore, Maryland 21202.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in these files originates from the requests for information filed with the Customs Service which may pertain to any information contained in the files of the U.S. Customs Service.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.079

System name: Disclosure of Information File—Treasury/Customs.

System location: Office of the Regional Counsel, U.S. Customs Service, Region IX, 55 E. Monroe Street, Room 1417, Chicago, Illinois 60603.

Categories of individuals covered by the system: Requesters under the Freedom of Information Act.

Categories of records in the system: Correspondence containing the individual's name, address, and whatever information deemed necessary to locate record being sought if that record is of a personal nature. Information will also include whatever records relating to that individual are sought and ultimately are gathered for use in denying or granting the Freedom of Information Act request.

Authority for maintenance of the system: 5 U.S.C. 552; U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service, the Department of the Treasury, and the Department of Justice who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation of charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto. (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit. (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter. (f) Information contained in this file is used by the attorneys in the Regional Counsel's office in determining whether to recommend granting or denial of the request made pursuant to the Freedom of Information Act. Litigation regarding the Freedom of information request will require disclosure of the information to the Department of Justice and the appellate level before this step would require information to be disclosed to the Department of the Treasury. Information disclosed to the Department of Justice is often routed through the Department of the Treasury.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in a file folder which is filed in a lockable drawer within a metal filing cabinet.

Retrievability: Each case file is identified in a manual alphabetical card file by the name of the person who initiated the Freedom of Information Act request. In addition, each case file is similarly identified on the file folder with the alphabetical name on the file which is placed and located within the metal container.

Safeguards: The metal filing drawer containing the files described above is maintained within the area assigned to the Office of the Regional Counsel, Region IX at 55 E. Monroe Street, Chicago, Illinois. During non-working hours, the room in which the metal filing drawer is located is locked, the file container itself is locked, and access to the building is controlled at all times by uniformed guards with a check-in system for employees. Only employees of the Regional Counsel's office and authorized building personnel have keys to the building.

Retention and disposal: These files are retained indefinitely or until there is no longer space available for them within the metal filing cabinet at which time the oldest files are transferred to the storage area within the confines of the office. The storage area is a large area containing cardboard boxes and metal storage cabinets which are not able to be locked. Files which are closed and not retained for precedent purposes are retired to the Federal Records Center, General Service Administration, 7358 S. Pulaski, Chicago, Illinois 60602, in accordance with the U.S. Customs Service Records Disposal Manual.

System manager(s) and address: Regional Counsel of Customs, Room 1417, United States Customs Service, 55 E. Monroe Street, Chicago, Illinois 60603.

Notification procedure: See Customs Appendix A.

Record source categories: The information in these files can originate from the U.S. Customs Service, any of the agencies of the

Treasury Department, or any agency of the Government if the record sought and ultimately placed within the file is within the possession and/or control of the U.S. Customs Service, Region IX. However, the only information placed within these files is that information being sought by the individual making the request and the information supplied by the requester. The information being sought, and therefore within the file, could be from any of the following, given as examples: present or former Customs employees, private parties, corporations, other Government agencies as outlined above, credit bureaus, past employers, official Government or other forms filed by any entity or person, confidential informers, foreign countries or officials thereof, and foreign citizens.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.080

System name: Disclosure of Information Request Files (Region VIII)—Treasury/Customs.

System location: Office of the Regional Counsel, 211 Main Street, San Francisco, CA 94105.

Categories of individuals covered by the system: Individuals who have submitted requests for disclosure of information contained within files or records of the Customs Service.

Categories of records in the system: Letters and other documents submitted by the individual requesting the disclosure and documents relating to the internal review and consideration of the request for disclosure and the decision thereon.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended; Reorganization Plan No. 1 of 1965; 31 CFR 1.5 and Appendix C; 5 U.S.C. 552(a)(3); 19 CFR Part 103.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Information contained in the files is used to process requests for disclosure and is made available to the officials within the Customs Service who review recommendations and who make final decisions with respect to them: (d) Information contained in an individual file may be made available to officials within the Customs Service responsible for considering and making a final decision with respect to any appeal from a decision denying disclosure in that case; (e) Information contained in the files may also be used internally by the Regional Counsel and members of his staff insofar as it related to precedent or guide lines for their handling of subsequent cases.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in an alphabetical file folder which is filed in an unlocked drawer within a metal file cabinet.

Retrievability: Each case file is identified in a manual alphabetical card file by the name of the requester and in the alphabetical file folder within the metal file cabinet by the name of the requester.

Safeguards: The metal file cabinet described above is maintained within the area assigned to the Office of the Regional Counsel within the Federal Building. During non-working hours the room in which the metal file cabinet is located is locked, and access to the building is controled at all times by uniformed guards.

Retention and disposal: Disclosure of information files are retained indefinitely or until there is no longer any space available for them within the metal file cabinet, at which time files may be transferred to the Federal Records Center.

System manager(s) and address: Regional Counsel, 211 Main Street, San Francisco, CA. 94105.

Notification procedure: See Customs Appendix A.

Record source categories: Information contained in these files originates with the individual's request for disclosure of information. Records are searched for the information which is the subject of the request, and advice and recommendations with respect to the information and its disclosure may be elicited and received from offices within Customs, other agencies, or private individuals concerned with the information.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5.U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.081

System name: Dock Passes—Treasury/Customs.

System location: District Director's office, U.S. Customs Service, 228 Federal Bldg, 335 Merchant Street, Honolulu, Hawaii.

Categories of individuals covered by the system: Consulate staff members, brokers, private individuals, etc.

Categories of records in the system: Form lists following information: pass no.; port; date of issue; name of individual; organizational affiliation; expiration date of pass; and vessel name.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Copy of each pass issued is retained for record purpose and a log maintained showing name of holders of annual dock passes. Disclosure to Customs and Treasury officers and employees who have a need for the records in the performance of their duties.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: 3 x 5 card box loose leaf binder.

Retrievability: By name.

Safeguards: Building locked during non-working hours.

Retention and disposal: Retained until expiration.

System manager(s) and address: District Director, U.S. Customs Service, 228 Federal Bldg., 335 Merchant Street, Honolulu, Hawaii.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Individual applicants.

Treasury/Customs 00.277

System name: Drivers License File—Treasury/Customs.

System location: Logistics Management Division, U.S. Customs Service 211 Main Street, San Francisco, Ca. 94105.

Categories of individuals covered by the system: Present and past employees.

Categories of records in the system: Personal information furnished by employee before being issued a government drivers license. It may also include information from National Driver Register Service if they have any information on the individual.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the U.S. Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Provide the proper authority within U.S. Customs Service with sufficient information to make a decision as to whether an employee may be issued a government drivers license. (d) Information from these records furnished to National Driver Register Service to determine whether they have any information on the individual which would concern the employee's suitability for receiving a government drivers license.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information in this system is contained on Standard Form 47 (physical fitness inquiry for motor vehicle operators) and Treasury Form 2770 (application for motor vehicle operator's ID card and operator's record) These forms are kept in a desk file.

Retrievability: Each set of forms for a particular employee is numbered and those numbers are shown on an index sheet as pertaining to the particular employee.

Safeguards: The desk in which the records are kept is within an office which is locked during non-working hours.

Retention and disposal: Active forms are retained in this system indefinitely. Forms of past employees who were issued drivers licenses are periodically destroyed.

System manager(s) and address: Logistics Management Specialist, Logistics Management Division, U.S. Customs Service, 211 Main Street, San Francisco, California 94105.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in this system originates with, and consists solely of, information supplied by the applicants on forms SF-47 and form 2770. There is a possibility that in the future there will be a response from the National Driver Register Service on a particular applicant. At the present time there are none in this system of records.

Treasury/Customs 00.273

System name: Employee Debts—Treasury/Customs.

System location: Financial Management Division, U.S. Customs Service, 100 Summer Street, Boston, Massachusetts 02110.

Categories of individuals covered by the system: Employees involved in transfer within the Government for which the Government is pursuing collection for overpayment of travel expenses or overpayment for maintaining uniforms.

Categories of records in the system: Records reflecting the documents involved in the overpayment and correspondence with the employee involved and his representative.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (f) The system is used by the employees of the Payment Section to gather all the data necessary to collect debts due from present or past employees of Customs.

For additional routine uses see Treasury Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Data is stored in a file folder located in a file cabinet under the physical security of the Operating Accountant.

Retrievability: Records are indexed by name.

Safeguards: The file is located within an office that is locked during non-working hours. The building is guarded by uniformed security police and only authorized persons are permitted entry to the building.

Retention and disposal: The file is retained until the debt is collected and then destroyed. Unusual cases are retained indefinitely as a reference for similar type cases.

System manager(s) and address: Director, Financial Management Division, U.S. Customs Service, 100 Summer Street, Boston, Massachusetts 02110.

Notification procedure: See Customs Appendix A.

Record access procedures: See Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained in the system originates from the employee, other Regions of Customs, and the Payment Section of the Financial Management Division.

Treasury Customs 00.083

System name: Employee Relations Case Files—Treasury/Customs.

System location: Director, Personnel Management Division, U.S. Customs Service, Washington, D.C. 20229.

Categories of individuals covered by the system: Records maintained on all individuals who have requested assistance in a problem, such as: OFWC, leave, health and life insurance, etc.

Categories of records in the system: Copies of correspondence. Copies of initiating correspondence.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Use is in assessing the problem and having the capability to provide timely followup. Used by the employee relations staff only (professional and clerical).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in file folders.

Retrievability: Records are indexed by name.

Safeguards: Records are maintained in a locked file.

Retention and disposal: Maintained for period of time employee remains with Customs. Records destroyed upon separation of employee.

System manager(s) and address: Director, Personnel Management Division, U.S. Customs Service, Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Individuals and offices depending on problem.

Treasury/Customs 00.085

System name: E.E.O. Complaint File—Treasury/ Customs.

System location: Regional Commissioner of Customs, 55 East Monroe Street, Suite 1501, Chicago, Illinois 60603, and District Director's Offices in Chicago, Cleveland and Detroit.

Categories of individuals covered by the system: Customs employees and applicants for Customs positions who make allegations of discriminatory job treatment based on sex, religion, race or national origin, color and age.

Categories of records in the system: An individual file is opened when an oral or written complaint is received. Eventually, a Civil Service Form 894 and all other pertinent correspondence such as responses from various offices, decisions and appeals are added to the file.

Authority for maintenance of the system: Title VII of the 1964 Civil Rights Act, as last amended by Public Law 92-261 effective March 24, 1972.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) The file is used to organize relevant documents within the E.E.O. office. As appropriate, it may also be transferred to such offices as Regional Counsel and Customs Service Headquarters.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Stored in file at Regional Commissioner of Customs, 55 East Monroe Street, Suite 1501, Chicago, Illinois. 60603.

Retrievability: Case file by last name of complainant.

Safeguards: The file cabinet is locked and only the E.E.O. Specialist has a key. Other keys will be available to additional staff as office expands. The building has limited access and a uniformed patrol in non-working hours.

Retention and disposal: Retained indefinitely by E.E.O Specialist. When cases are closed, they are removed from the alphabetical file but still maintained in the same locked cabinet.

System manager(s) and address: E.E.O. Specialist. (See location above).

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information is supplied by complaining parties originally and supplemented by other interested parties as the case progresses.

Treasury/Customs 00.088

System name: Equal Opportunity Complaint Processing Records System-Treasury/Customs

System location: Office of Regional Commissioner, Customs Region V, 1440 Canal Street, New Orleans, Louisiana 70112, District Director, 423 Canal Street, New Orleans, La. 70130, District Director, P.O. Box 2748, Mobile, Ala. 36601.

Categories of individuals covered by the system: Employees of Customs Region V (New Orleans) who file Equal Opportunity complaints.

Categories of records in the system: Notices of efforts by counselors to resolve charges of discriminatory actions or conditions. These records are in the form of lists, memorandums, interview notices, transcripts, and reports.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used to provide the needed information for reports which become part of the formal investigative file if the complaint of discrimination reaches the formal stage.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders in a cabinet.

Retrievability: By name.

Safeguards: Locked file cabinet.

Retention and disposal: In accordance with Records Control Manual.

System manager(s) and address: Equal Opportunity Officer, Customs Region V, 1440 Canal Street, New Orleans, La. 70112, Equal Opportunity Counselors, New Orleans District, 600 South Street, New Orleans, La. 70130, Equal Opportunity Counselors, Mobile District, P.O. Box 2748, Mobile Ala. 36601.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Individual to whom the record pertains.

Treasury/Customs 00.276.

System name: Equal Opportunity Informal Discrimination Complaint Files—Treasury/Customs.

System location: Equal Opportunity Offices at Customs Headquarters and at each Customs Regional Headquarters and all EO Counselors at those locations (for addresses see Customs Appendix A) and Customs District offices.

Categories of individuals covered by the system: Government and private industry employees, including present and former Customs Service employees and applicants for jobs, who have filed informal complaints alleging discrimination on the part of the Customs Service or its officers or employees in violation of Equal Employment Opportunity Act of 1972.

Categories of records in the system: Names, addresses, employment, medical histories, reports of investigation, complaints filed, correspondence from members of Congress, attorneys representing the complainants, labor unions, hearing records and transcripts, Government documents regarding the administrative processing of complaints, and merit promotion information.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended; Title 5, United States Code; Title 5, Code of Federal Regulations.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system are as follows: (a) Records in this system of records are disclosed to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. Such duties may include reviewing charges or procedures in complaints, making informal attempts to adjust complaints, preparing documents relating to proposed disposition of cases, preparing documents relating to final decisions in cases, and determining formal hearing status. (b) Records in this system of records are also made available to Civil Service Commission Hearing Examiners and to the Civil Service Commission Appeals and Review Board for use in making decisions on administrative hearings held in connection with the complaints. Records are also provided to the Department of Justice in connection with civil court actions arising in respect of the complaints. (c) Records in this system of records are, on occasion, disclosed in response to requests from members of Congress and are disclosed as required in the administration of the Freedom of Information Act (5 U.S.C. 552). (d) Records in this system of records are disclosed to the complainants and to attorneys, labor unions or other parties representing the interests of the complainants. (e) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The case files are inserted alphabetically in file drawers within locked metal or wooden containers.

Retrievability: Each case file is identified on each alphabetical file folder within the metal or wooden container by the complainant's name.

Safeguards: The metal or wooden containers in which the file folders are placed are locked during non-working hours, and the room in which the containers are located is locked during non-working hours. The building is guarded by uniformed security police, and only authorized persons are permitted within the building.

Retention and disposal: Informal case files are retained during the time period in which the cases remain open informally and thereafter, until there is no longer a specific need for them, or until they are forwarded to the Regional EO Officer or Director, Equal Opportunity Division, at which time they are periodically destroyed.

System manager(s) and address: Equal Opportunity Officers and EO Counselors at the above locations.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Record source categories: The information in this system originated with an informal written or oral complaint to the appropriate equal opportunity counselor. Information in this system is obtained from the claimant through interviews during the informal complaint procedures and through other interviews during the informal complaint procedures. This system also contains information derived from a complaining Customs employee's official personnel file, from Evaluation and Promotion Board work papers relating to the complainant, and from inquires into the complaints which include interviews of supervisors, interested third parties including non-Government persons, and former Customs Service employees. Information in this system is also derived from labor unions or attorneys representing the interests of the complainants and from documentary evidence.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (k)(2) proposes to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provision of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.089

System name: Equal Opportunity Discrimination Complaint Case File—Treasury/Customs.

System location: Equal Opportunity Division, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Categories of individuals covered by the system: Government and private industry employees, including present and former Customs Service employees, who have filed formal and informal complaints alleging discrimination on the part of the Customs Service or its officers or employees in violation of Equal Employment Opportunity Act of 1972.

Categories of records in the system: Names, addresses, employment and medical histories, reports of investigation, complaints filed, correspondence from members of Congress and attorneys representing the complainants and labor unions, hearing records and transcripts, Government documents regarding the administrative processing of complaints, and affidavits and other sworn statements.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended; Title 5, United States Code; Title 5, Code of Federal Regulations.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system are as follows: (a) Records in this system of records are disclosed to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. Such duties may include reviewing charges or procedures in complaints, making informal attempts to adjust complaints, preparing documents relating to proposed disposition of cases, preparing documents relating to final decisions in cases, and determining formal hearing status. (b) Records in this system of records are also made available to Civil Service Commission Hearing Examiners and to the Civil Service Commission Appeals and Review Board for use in making decisions on administrative hearing held in connection with the complaints. Records are also provided to the Department of Justice in connection with civil court actions arising in respect of the complaints. (c) Records in this system of records are, on occasion, disclosed in response to requests from members of Congress and are disclosed as required in the administration of the Freedom of Information Act (5 U.S.C. 552). (d) Records in this system of records are disclosed to the complaints and to attorneys, labor unions or other parties representing the interests of the complaints. (e) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The cases files are inserted alphabetically in file drawers within locked metal containers.

Retrievability: Each case file is identified in an alphabetical index card file by the name of the complainant and on each alphabetical file folder within the metal container by the complainant's name.

Safeguards: The metal containers in which the file folders are placed are locked during non-working hours, and the room in which the metal containers are located is locked during non-working hours. The building is guarded by uniformed security police, and only authorized persons are permitted within the building.

Retention and disposal: Case files are retained during the time period in which the cases remain open and, thereafter, until there is no longer any space available for them within the metal containers, at which time the case files are transferred periodically to the Federal Record Center for storage. Records having no pertinent information are periodically destroyed.

System manager(s) and address: Director, Equal Opportunity Division, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in this system originates with an informal written or oral complaint to the appropriate equal opportunity counselor and with the filing of a Civil Service Commission Form 894 (Formal Complaint of complaint procedures and through the filing of Civil Service Commission Discrimination in the Federal Government). Information in this system is also obtained from the claimant through interviews during the informal and formal complaint procedures and through the filing of Civil Service Commission Form 171 (Personal Qualification Statement). This system also contains information derived from a complaining Customs Service employee's official personnel file, from Evaluation and Promotion Board work papers relating to the complainant, and from investigations into the complaints which include interviews of supervisors, interested third parties including non-Government persons, and former Customs Service employees. Information in this system is also derived from labor unions or attorneys representing the interests of the complainants and from documentary evidence or testimony adduced at administrative hearings held in connection with the complaints.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.090

System name: Equipment Record File—Treasury/Customs.

System location: Office of the District Director, United States Customs Service, 103 South Gay Street, Baltimore, Maryland 21202.

Categories of individuals covered by the system: Customs employees in the Baltimore District to whom equipment is assigned.

Categories of records in the system: Names of individuals and type and identifying numbers of equipment signed out (including non-expendable property, weapons etc.).

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto. (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevent enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevent to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit. (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File cards and folders located in metal containers.

Retrievability: Cards are filed alphabetically by names of those to whom equipment is assigned.

Safeguards: File cards and folders are located in unlocked metal containers. The building is guarded by uniformed security police who control access to the building.

Retention and disposal: Records are retained indefinitely.

System manager(s) and address: Office of the District Director of Customs, 103 South Gay Street, Baltimore, Maryland 21202.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Property Officer and personnel to whom equipment is assigned.

Treasury/Customs 00.091

System name: Equipment Record File—Treasury/Customs.

System location: Patrol Office, Room 807, 103 South Gay Street, Baltimore, Maryland 21202. (After August, Room 15, 40 South Gay Street, Baltimore, Maryland 21202).

Categories of individuals covered by the system: Customs employees in the Baltimore District to whom equipment is assigned.

Categories of records in the system: Names of individuals and type and identifying numbers of equipment signed out (including non-expendable property, weapons etc.).

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclousures required in administration of the Freedom of Information Act (5 U.S.C 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant therto. (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforecemnt information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit. (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File cards and folders located in metal containers.

Retrievability: Cards are filed alphabetically by names of those to whom equipment is assigned.

Safeguards: File cards and folders are located in unlocked metal containers. The building is guarded by uniformed security police who control access to the building.

Retention and disposal: Records are retained indefinitely.

System manager(s) and address: Office of the District Director of Customs, 103 South Gay Street, Baltimore, Maryland 21202.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Property Officer and personnel to whom equipment is assigned.

Treasury/Customs 00.092

System name: Exit Interview—Treasury/Customs.

System location: Personnel Management Division, in each Region and Headquarters. See Customs Appendix A.

Categories of individuals covered by the system: Employees terminating from the Customs Service.

Categories of records in the system: Individual's name, position, time in grade and position, time with Customs, organization designation, supervisor's name and answers to various questions about employment with Customs.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Used by Personnel Management Specialists and managers to monitor employee morale and for statistical purposes.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Forms are kept in manila folders.

Retrievability: By organization or by name.

Safeguards: Locked office.

Retention and disposal: Retained indefinitely.

System manager(s) and address: Director, Personnel Management Division. See location above.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information obtained from departing employee and Employee's supervisor.

Treasury/Customs 00.093

System name: Federal & New York State licenses for commercial importation of alcoholic beverages—Treasury/Customs

System location: Area Director, N.Y. Seaport Area, 6 World Trade Center, New York, N.Y. 10048.

Categories of individuals covered by the system: All companies and individuals who have filed import licenses for alcoholic beverages with this office.

Categories of records in the system: Licenses.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) to verify that importers of alcoholic beverages have the required permit.

For additional routine use see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File cabinets.

Retrievability: Alphabetical listing.

Safeguards: Office locked at end of day.

Retention and disposal: Permanent.

System manager(s) and address: Regional Commissioner (Area Director, New York Seaport Area).

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Individuals who have applied for an alcoholic beverage license.

Treasury/Customs 00.267

System name: Federal Tort Claims Act File—Treasury/Customs.

System location: Office of Regional Counsel, Suite 1220, 500 Dallas Street, Houston, Texas 77002.

Categories of individuals covered by the system: Private persons filing claims under the Federal Tort Claims Act.

Categories of records in the system: Reports of Investigation regarding claims filed, documents relating to the administrative handling of the claim and documents submitted by the claimant in support of the claim.

Authority for maintenance of the system: 28 U.S.C. 2672, et seq; 28 CFR 14.1 et seq; 31 CFR 3.1 et seq; Treasury Department Administrative Circular No. 131, August 19, 1965; 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Disclosure to those officers and employees of the U.S. Customs Service and the Depart-

ment of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) The information contained in this file is used to administratively process claims filed under the Federal Tort Claims Act and is made available to those officials within the Customs Service who review recommendations and who make final decisions on such claims. In addition, information contained in this file is made available to the Department of Justice to assist that Department in preparing the Government's case when suit is filed in court based on an administrative denial of the claim or based on a failure to administratively process the claim within the prescribed time period.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in an alphabetical file folder which is filed in an unlocked drawer within a metal container.

Retrievability: Each case file is identified in the alphabetical file folder within the metal container by the name of the person who filed the claim.

Safeguards: The metal container described above is maintained within the area assigned to the office of the Regional Counsel on the 12th Floor of 500 Dallas Street. During non-working hours the room in which the metal container is located is locked, and access to the building is controlled by uniformed security guards maintained by the lessor.

Retention and disposal: Tort claim files are retained until closed at which time the closed files are transferred to the Regional Director, Internal Affairs, Houston, Texas. Ultimately, the files are sent to the Federal Record Center.

System manager(s) and address: Regional Counsel, Suite 1220, United States Customs Service, 500 Dallas Street, Houston, Texas 77002.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in these files originates with a Standard Form 95, Claim for Damage or Injury, which is completed and filed with the Customs Service by the claimant. Using these forms as a basis, investigations are conducted by authorized Customs Service investigation personnel in order to determine the facts surrounding the claims. During these investigations information may be elicited from Customs Service employees, private persons, or any other parties who may have information regarding the facts surrounding the claims.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.094

System name: Federal Tort Claims Act File—Treasury/Customs.

System location: Office of the Chief Counsel, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Categories of individuals covered by the system: Private persons filing claims under the Federal Tort Claims Act.

Categories of records in the system: Reports of investigations regarding claims filed, documents relating to the administrative handling of the claims and documents submitted by the claimants in support of the claims.

Authority for maintenance of the system: 28 U.S.C. 2672, et seq; 28 CFR 14.1 et seq; 31 CFR 3.1 et seq; Treasury Department Administrative Circular No. 131, August 19, 1965; 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. Such duties may include preparing and reviewing recommendations for decisions on claims, and making final decisions on claims. (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552). (c) Disclosure to the Department of Justice to assist that Department in preparing the Government's case when suit is filed in court based on an administrative denial of the claim or based on a failure to administratively process the claim within the prescribed time period.

The information contained in this system of records will be disclosed to the Office of Management and Budget in connection with the review of private relief legislation as set forth in OMB Circular No. A-19 at any stage of the legislative coordination and clearance process as set forth in that Circular.

For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in an alphabetical file folder which is filed in an unlocked drawer within a metal container.

Retrievability: Each case file is identified in a manual alphabetical card file by the name of the person who filed the claim and in the alphabetical file folder within the metal container by the name of the person who filed the claim.

Safeguards: The metal container described above is maintained within the area assigned to the Office of the Chief Counsel within the Customs Service Building. During non-working hours the room in which the metal container is located is locked, and access to the building is controlled at all times by uniformed guards.

Retention and disposal: Tort claim files are retained indefinitely or until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Record Center.

System manager(s) and address: Chief Counsel, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in these files originates with a Standard Form 95, Claim for Damage or Injury, which is completed and filed with the Customs Service by the claimant. Using these forms as a basis, investigations are conducted by authorized Customs Service investigative personnel in order to determine the facts surrounding the claims. During these investigations, information may be elicited from Customs Service employees, private persons, or any other parties who may have information regarding the facts surrounding the claims.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.096

System name: Fines, Penalties and Forfeiture Control and Information Retrieval System—Treasury/Customs.

System location: U.S. Customs Service, Office of Enforcement Support, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Categories of individuals covered by the system: Individuals and/or businesses who have been fined, penalized or have forfeited merchandise because of violations of Customs and/or related laws or breaches of bond conditions.

Categories of records in the system: Individual and business names, address, personal identifying numbers, date and type of violation, parties entitled to legal notice or who are legally liable, case information, bond and petition information, and actions (administrative) taken by U.S. Customs. Also included are actions taken by violator prior to the disposition of the penalty or liquidated damage case.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Disclosure to these officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Control Customs district caseload and report each action taken by Customs and violator. (d) Provides management information regarding most frequent types of violations, penalty/liquidated damages case timeliness, budget allocation, violation history of individual and firms for investigative purposes, etc.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: (1) Magnetic disc and tape, (2) Hard copy Customs Form 5955a (Notice of Liquidated Damages Incurred and Demand for Payment) and Customs Form 151 Search/Arrest/Seizure Report.

Retrievability: Individual's name or case number.

Safeguards: All inquiries are made by officers with a full field background investigation on a "need to know" basis only. Procedural and physical safeguards are utilized such as accountability and receipt records, guards patrolling the area, restricted access and alarm protection systems, special communications security, etc.

Retention and disposal: A maximum of 10 years. Erasure of disc/tapes and shredding and/or burning of hard copy Customs Form 5955a.

System manager(s) and address: Assistant Commissioner, Office of Enforcement Support, U.S. Customs Service, 1301 Constitution Avenue, N.W.; Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Customs Form 5955a (Notice of Penalty or Liquidated Damages Incurred and Demand for Payment) and CF 151 (Search/Arrest/Seizure Report) prepared by Customs Employees at the time and place where the violation has occurred.

Treasury/Customs 00.097

System name: Fines, Penalties, and Forfeitures (Supplemental Petitions)—Treasury/Customs.

System location: Office of the Regional Counsel, Room 7422, New Federal Building, 300 N. Los Angeles St., Los Angeles, California 90053.

Categories of individuals covered by the system: Any person requesting further relief from the Regional Commissioner after having his Petition acted on by the District Director from any fine, penalty, forfeiture or claim for liquidated damages incurred under the provisions of any law administered by the Customs Service.

Categories of records in the system: Case file including copies of the notice of penalty, seizure or claim for liquidated damages, search, arrest and seizure forms, investigative reports, documents relating to any importation having to do with the penalty, forfeiture or claim, documents relating to the administrative handling of the case and documents submitted by the Petitioner in support of his Petition and Supplemental Petition.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The information contained in this file is used to administratively process Supplemental Petitions for relief from any fine, penalty, forfeiture or claim for liquidated damages incurred under the provisions of any law administered by Customs. The information is made available to the officials within the Customs Service who review recommendations and who make final decisions on such petitions. In addition, information contained in this file is made available to the Department of Justice to assist that Department in preparing the government case if the case is referred to them for filing of a suit based on an administrative denial of the Petition or removal to the District Court by action on the part of the Petitioner under the provisions of section 1608 of title 19. Information in these files may also be made available to persons working for the governmental agencies who are empowered to review and make recommendations concerning the granting of relief for violations of law administered by such agencies but enforced by Customs.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in an alphabetical file folder which is filed in an unlocked drawer within a metal container.

Retrievability: Each case file is labeled with the name of the person who filed the claim and filed in alphabetical order in the metal file cabinet marked Supplemental Petitions. In addition a chronological record is kept in a separate book, kept by the office secretary, of each case received, with district case number, decision, name of the Petitioner, law violated and ultimate decision of the Regional Commissioner.

Safeguards: The metal container described above is maintained within the area assigned to the Office of the Regional Counsel within the New Federal Building. During non-working hours the room in which the metal container is located is locked, and access to the building is controlled at all times by uniformed guards.

Retention and disposal: Indefinite.

System manager(s) and address: Regional Counsel, Room 7422, United States Customs Service, 300 N. Los Angeles St., Los Angeles, California 90053.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in these files originates with the filing of a Supplemental Petition as prescribed by section 171.33 and 172.33 of the Customs Regulations with the District Director of the District in which the fine, penalty, forfeiture or claim for liquidated damages issued. The Petition along with all supporting documents or documents relating to the case are forwarded to the office of the Regional Commissioner who refers the case to the office of the Regional Counsel for review and recommendation. During this period of review further information may be elicited from Customs Service employees, private persons, or any other parties who may have information regarding the facts surrounding the case.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.098

System name: Fines, Penalties and Forfeitures Records—Treasury/Customs

System location: Customs district offices. (See Customs Appendix A).

Categories of individuals covered by the system: Individuals and firms who have been administratively charged with violations of Customs laws and regulations and other laws and regulations enforced by the Customs Service.

Categories of records in the system: Entry documentation, notices, investigative reports, memoranda, petitions, recommendations, referrals and dispositions of fines, penalties and forfeiture cases.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended. 19 U.S.C. 66, 1618, 1624; 19 CFR Parts 171 and 172.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. Such uses would include reference to the files for information as to prior violations and ongoing investigations and cases. (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto. Such uses would include referring records to the Environmental Protection Agency, the Department of Transportation, the appropriate U.S. Attorney and other Federal agencies for recommendations and enforcement of fines, penalties and forfeiture cases.

For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The records are maintained in folders in file cabinets and safes.

Retrievability: The records are filed either by the name of the individual or chronologically with a cross reference index by the name of the individual.

Safeguards: During non-working hours, the records are maintained in locked rooms, locked buildings and buildings guarded by uniform guards.

Retention and disposal: The records are retained for three to five years after which they are either destroyed or forwarded to the Federal Records Center.

System manager(s) and address: District Directors of Customs (See Customs Appendix A).

Notification procedure: See Customs Appendix A.

Record source categories: Information and representations supplied by importers, brokers and other agents pursuant to the entry and processing of merchandise or in the clearing of individuals or baggage through Customs. Information also includes information gathered pursuant to Customs investigations of suspected of actual viola-

tions of Customs and related laws and regulations and recommendations and information supplied by other agencies.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.099

System name: Fines, Penalties, and Forfeiture Files (Supplemental Petitions)—Treasury/Customs

System location: Office of the Regional Counsel, 211 Main Street, San Francisco, CA 94105.

Categories of individuals covered by the system: Individuals who have filed supplemental petitions for relief from fines, penalties and forfeitures assessed for violations of the laws and regulations administered by Customs.

Categories of records in the system: Petitions and supplemental petitions and other documents filed by the individual; reports of investigation concerning the fine, penalty or forfeiture; and documents relating to the internal review and consideration of the request for relief and decision thereon.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended; Reorganization Plan No. 1 of 1965. 19 U.S.C. 1618; 19 CFR Parts 171 and 172.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Information contained in the files is used to process requests for relief from fines, penalties and forfeitures and is made available to the officials within the Customs Service who review recommendations and who make final decisions with respect to them; (d) Information contained in the files may be made available to the Department of Justice or an individual United States Attorney to assist that Department or United States Attorney when suit is filed by the Government in civil prosecution of the fine, penalty or forfeiture; (e) Information contained in the files may also be used internally by the Regional Counsel or members of his staff insofar as it relates to precedent or guidelines for their handling of subsequent cases.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in an alphabetical file folder which is filed in an unlocked drawer within a metal file cabinet.

Retrievability: Each case file is identified in a manual alphabetical card file by the name of the petitioner and in the alphabetical file folder within the metal file cabinet by the name of the petitioner.

Safeguards: The metal file cabinet described above is maintained within the area assigned to the Office of the Regional Counsel within the Federal Building. During non-working hours the room in which the metal file cabinet is located is locked, and access to the building is controlled at all times by uniformed guards.

Retention and disposal: Supplemental petition files are retained indefinitely or until there is no longer any space available for them within the metal file cabinet, at which time the oldest files may be transferred to the Federal Records Center.

System manager(s) and address: Regional Counsel, 211 Main Street, San Francisco, CA 94105.

Notification procedure: See Customs Appendix A.

Record source categories: Information contained in these files is obtained from the individual petitioning for relief and from the District Director of Customs within whose jurisdiction the fine, penalty or forfeiture action lies.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.100

System name: Fines, Penalties and Forfeiture Records (Headquarters)—Treasury/Customs.

System location: Penalties Branch, Entry Procedures and Penalties Division, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Categories of individuals covered by the system: Records are maintained on persons who have been administratively charged with violating Customs and related laws and regulations and on persons who have applied for awards of compensation for providing information regarding such violations.

Categories of records in the system: Entry documentation, notices, investigative and other reports, memoranda of information received, petitions, recommendations, referrals and dispositions of fines, penalties and forfeiture cases and applications for awards of compensation.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended. 19 U.S.C. 66, 1618, 1624; 19 CFR Parts 171 and 172.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. Such uses include the processing and disposition of fines, penalties and forfeiture cases pursuant to 19 CFR Parts 171 and 172 and reference to the records for information as to prior violations and ongoing investigations. (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto. Such uses would include referring records to the Environmental Protection Agency, the Department of Transportation and other Federal agencies for recommendations on the administration of fines, penalties and forfeiture cases. (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit. (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The records are kept in file cabinets in the central file room of the Entry Procedures and Penalties Division at U.S. Customs Service Headquarters.

Retrievability: The records are filed chronologically with a case number given to each file. The records are retrievable by means of an alphabetical card index system by names of individuals charged with a violation.

Safeguards: The records are maintained in the U.S. Customs Service Headquarters building which is guarded by security police. During non-working hours, the central file room is locked and the building is guarded by security police.

Retention and disposal: The records are generally retained for five years after the close of the case. The records are then forwarded to the Federal Records Center.

System manager(s) and address: Chief, Penalties Branch, Entry Procedures and Penalties Division, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

Record source categories: Information and representations supplied by importers, brokers and other agents pursuant to the entry and processing of merchandise or in the clearing of individuals or baggage through Customs. Information also includes information gath-

ered pursuant to Customs investigations of suspected or actual violations of Customs and related laws and regulations and recommendations and information supplied by other agencies.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.101

System name: Fines, Penalty, and Forfeiture (FP&F) Records System—Treasury/Customs.

System location: Office of the Regional Counsel, U.S. Customs Service, Region IX, 55 E. Monroe Street, Room 1417, Chicago, Illinois 60603.

Categories of individuals covered by the system: Persons seeking relief from fines, penalties, and forfeitures incurred for alleged violations of the laws enforced by the United States Customs Service, and/or restoration of proceeds from the sale of seized and forfeited property.

Categories of records in the system: Statement from individual explaining circumstances justifying relief sought and history of the fine, penalty, forfeiture or seizure involved.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended; 19 CFR part 171 and 172.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service, the Department of the Treasury and the Department of Justice, who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto. (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit. (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter. (f) Information contained in these files is routinely used by the attorneys in the Regional Counsel's office in determining and resolving legal issues, in denying or granting the petition and possibly in litigation. Litigation will require disclosure of the information to the Department of Justice, and the information is often channeled through the Department of Treasury.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in a file folder which is filed in a lockable drawer within a metal filing cabinet container.

Retrievability: Each case file is identified in a manual alphabetical card file by the name of the person who initiated the petition for relief. In addition, each case file is similarly identified on the file folder with the alphabetical name on the file which is placed and located within the metal container.

Safeguards: The metal filing drawer containing the files described above is maintained within the area assigned to the office of the Regional Counsel, Region IX, at 55 E. Monroe Street, Chicago, Illinois. During non-working hours, the room in which the metal filing drawer is located is locked, the file container itself is locked, and access to the building is controlled at all times by uniformed guards with a check-in system for employees. Only employees of the Regional Counsel's office and authorized building personnel have keys to the building.

Retention and disposal: These files are retained indefinitely or until there is no longer space available for them within the metal filing cabinet at which time the oldest files are transferred to the storage area within the confines of the office. The storage area is a large area containing cardboard boxes with metal storage cabinets which are not able to be locked. Files which are closed and not retained for precedent purposes are retired to the Federal Records Center, General Services Administration, 7358 S. Pulaski, Chicago, Illinois 60602 in accordance with the U.S. Customs Service Records Disposal Manual.

System manager(s) and address: Regional Counsel of Customs, Room 1417, United States Customs Service, 55 E. Monroe Street, Chicago, Illinois 60603.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in these files originates either with the receipt of any correspondence indicating that relief is desired or any notice from within the Customs Service that a fine or penalty is to be assessed. Further information in this file is derived from investigatory files and other information submitted by the petitioner and will include information from: informers, Customs employees, private parties, corporations, other Governmental agencies, state, local and Federal, credit bureaus, Seizure Reports (Customs Form 5955A, Customs Form 151) foreign countries, officials of foreign countries, citizens of foreign countries.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.102

System name: Firearms Qualification Certificate Record—Treasury/Customs

System location: Office of the Chief Inspector, U.S. Customhouse, Rm. 102, 2nd & Chestnut Streets, Philadelphia, Pa. 19106.

Categories of individuals covered by the system: All Customs Inspectors under the jurisdiction of the Philadelphia District who have qualified on the firing range within a previous 6-month period.

Categories of records in the system: The date of actual qualification, firing scores, and the category of marksmanship into which the individual's score places him or her.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each qualification certificate, on 8 1/2 x 11 inch bond paper, is inserted in a file folder which is then placed in a file drawer.

Retrievability: Certificates are identified by the name of the individual and in alphabetical order within the folder.

Safeguards: The records folder is stored in a file cabinet drawer which is locked during non-working hours. Access to the building is controlled at all times by uniformed guards.

Retention and disposal: Firearms qualification certificates are retained for 1 year and then destroyed.

System manager(s) and address: District Director of Customs, U.S. Customhouse, 2nd & Chestnut Streets, Philadelphia, Pa. 19106.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained in these files originates from reports submitted by the District Range Officer upon completion of the individual's qualification with a firearm.

Treasury/Customs 00.103

System name: Firearms Qualification Records—Treasury/Customs.

System location: Office of the Assistant Regional Commissioner (Operations), Region IX, Chicago, Illinois and Offices of District Directors, Region IX, Chicago, Illinois. (See Customs Appendix A).

Categories of individuals covered by the system: Customs Inspectors receiving firearms training.

Categories of records in the system: Records include date of firing, qualification status, date of qualification, card issuance.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Locked file cabinet in the office of the Assistant Regional Commissioner (Operations) of Region IX, Chicago, Illinois.

Retrievability: Folders identified by individual's name.

Safeguards: The file described is maintained in the office of the Assistant Regional Commissioner (Operations) in Region IX, Chicago, Illinois. During non-working hours the room/building in which the file is located is locked. Access is limited to authorized Customs personnel.

Retention and disposal: Until employee separates/transfers. Transfer to National Personnel Records Center (NPRC), (CPR), St. Louis, Missouri, 30 days after employee is separated.

System manager(s) and address: Assistant Regional Commissioner (Operations).

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained in these files results from firing scores recorded on targets used during the course of firearm qualification firings.

Treasury/Customs 00.104

System name: Florida Boat Registration File—Treasury/Customs.

System location: U.S. Customs Air Support Branch, PM-TUM, Homestead Air Force Base, Homestead, Florida 33030.

Categories of individuals covered by the system: Persons, partnerships, or corporations who are registered owners of record of boats or vessels bearing Florida registration numbers.

Categories of records in the system: This file contains the name and address of the registered owner of the vessel; a description of the vessel; and an indication of whether or not the vessel is of record with the Treasury Enforcement Communications Systems (TECS).

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosure required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charges with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto. (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit. (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information files are numerically filed in a wooden box within a security area.

Retrievability: The information is in the form of Micro-film and is retrieved by means of Alpha-numerical code identifier.

Safeguards: The information files are located within an office which is locked during non-working hours. The building is guarded by U.S. Air Force Military Police and only authorized persons are permitted in the building.

Retention and disposal: These files are maintained for a period of three months at which time they are replaced with current information. The old files are then destroyed under Customs supervision.

System manager(s) and address: Chief, Air Support Branch, U.S. Customs Service, PM-TUM, Homestead Air Force Base, Homestead, Florida 33030.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.105

System name: Former Employees—Treasury/Customs.

System location: Laboratory Division, Room 1508, 630 Sansome Street, San Francisco, California, 94111.

Categories of individuals covered by the system: All past employees of the Customs Laboratory.

Categories of records in the system: Contains copies of personnel action notices.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in the administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in file folders and stored in a metal file cabinet.

Retrievability: The record is filed alphabetically by name.

Safeguards: The file is stored in a metal file cabinet in a private inner office of a government building protected by 24 hour guard service with limited access. The file is only used on a need to know basis and only by the laboratory employees.

Retention and disposal: Files are maintained for reference purposes only. The file is permanent to office.

System manager(s) and address: Director, Laboratory Division, U.S. Customs Service, 630 Sansome Street, Room 1508, San Francisco, California, 94111.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information contained in personnel action files.

Treasury/Customs 00.109

System name: Handicapped Employee File—Treasury/Customs.

System location: Personnel Management Division, Regional Commissioner of Customs, 55 East Monroe Street, Suite 1501, Chicago, Illinois 60603.

Categories of individuals covered by the system: All employees identified as handicapped.

Categories of records in the system: Indicates employee's home and organizational location and various physical and mental handicaps, infirmities and conditions. Also shows veteran's preference.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Used to assure that employees are not placed in positions that they cannot physically perform. Also, for reporting and statistical purposes.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: List.

Retrievability: Lists employees alphabetically by district.

Safeguards: None at present and none required.

Retention and disposal: Employee's name removed from list at time of termination.

System manager(s) and address: Director, Personnel Management Division. (See Customs Appendix A).

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Data furnished by employee and employee's physician.

Treasury/Customs 00.111

System name: I.D. Cards—Treasury/Customs.

System location: District Director's office, U.S. Customs Service, 228 Federal Bldg., 335 Merchant Street, Honolulu, Hawaii.

Categories of individuals covered by the system: All employees to whom I.D. card, CF 3135, OF 55, Red-bordered cards, have been issued.

Categories of records in the system: The register lists the following information: name; I.D. card no.; and date issued.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Provides information of name, date and number of I.D. card issued to district employees. Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Kardex file card.

Retrievability: By name.

Safeguards: Locked Kardex file.

Retention and disposal: Information is kept current until employee is terminated and I.D. cards are cancelled.

System manager(s) and address: District Director, 228 Federal Bldg., Merchant Street, Honolulu, Hawaii.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Data obtained from employees.

Treasury/Customs 00.112

System name: Immediate Delivery Violation Record—Treasury/Customs.

System location: Fines, Penalties and Forfeiture Section, Room 102, United States Customhouse, 2nd & Chestnut Street, Philadelphia, Pa. 19106.

Categories of individuals covered by the system: Persons qualified to obtain release of imported merchandise under the immediate delivery privilege.

Categories of records in the system: The record reveals the individuals' or Customhouse broker's name, case number assigned and date, amount of penalty incurred, mitigated sum or remission and date closed. The record results in a summary of repetitive violations by any one individual, firm, or Customhouse broker and disciplinary action toward reduction or elimination of violations.

Authority for maintenance of the system: 5 U.S.C. 301: Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosure required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Immediate Delivery Record Cards are filed alphabetically in an unlocked metal file cabinet.

Retrievability: Each record card is filed alphabetically within the metal cabinet.

Safeguards: The metal cabinet described above is maintained within the area assigned to the Fine, Penalties and Forfeiture section in Room 102 of the Customhouse, Philadelphia; during non-working hours, access to the building and area of storage is controlled by uniformed guards.

Retention and disposal: Immediate Delivery Record cards are retained at location 3 years; then destroyed.

System manager(s) and address: District Director of Customs, Room 102, U.S. Customhouse, 2nd & Chestnut Street, Philadelphia, Pa. 19106.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained on these cards originates from liquidated damage cases instituted for failure to timely file entries and pay duty.

Treasury/Customs 00.274.

System name: Importer, Brokers, Carriers, Individuals and Sureties Master Files-Treasury/Customs.

System location: Financial Management Division, 99 S.E. 5th Street, Miami, Florida 33131.

Categories of individuals covered by the system: Brokers, Importers, Individuals, Carriers, and Sureties.

Categories of records in the system: Copies of correspondence—incoming and outgoing, copies of bonds, entries, bills, data center listings.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosure required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Used by Revenue technicians to retain in one place all correspondence with a debtor. Bonds, entries and bills when available are included in the file.

For additional routine uses see Treasury Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Files are maintained in an unlocked drawer within a metal file cabinet.

Retrievability: Alphabetical by name appearing on correspondence.

Safeguards: The metal container described above is maintained within the area assigned to the Financial Management Division within the Customs Service Building. During non-working hours the room in which the metal container is located is locked.

Retention and disposal: These files are retained until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Records Center.

System manager(s) and address: Director of Financial Management, 99 S.E. 5th Street, Miami, Florida 33131.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Correspondence, Customs Service Data Center, Districts and Ports.

Treasury/Customs 00.113

System name: Import specialist activities—investigation referrals—Treasury/Customs.

System location: Office of the Regional Commissioner, U.S. Customs Service, 55 East Monroe, Suite 1501, Chicago, Illinois 60603.

Categories of individuals covered by the system: Import specialist.

Categories of records in the system: A monthly report of the number of referrals made to the Office of Investigations in connection with 220 visits.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties.(b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552). (c) To keep track of the referrals made by each import specialist to the Office of Investigation.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information is listed by name and located on a wall chart in the training room.

Retrievability: Indexed by name and location of the import specialist.

Safeguards: Area is provided for Customs employees only and other persons using the area must be accompanied by a Customs employee.

Retention and disposal: The information is erased after two years.

System manager(s) and address: Director, Classification and Value Division, 55 East Monroe, Chicago, Illinois 60603.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Obtained from Regional Form IX-RC-220 prepared by the import specialist.

Treasury/Customs 00.114

System name: Import specialist activities—laboratory samples—Treasury/Customs.

System location: Office of the Regional Commissioner, U.S. Customs Service, 55 East Monroe, Suite 1501, Chicago, Illinois 60603.

Categories of individuals covered by the system: Import specialist team.

Categories of records in the system: Monthly report of the number of laboratory samples processed by the import specialist teams.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552). (c) Used as an administrative tool to keep track of laboratory samples processed by import specialists.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information is listed by team number and located on a wall chart in the training room.

Retrievability: Indexed by name and team number of the import specialist.

Safeguards: Area is provided for Customs employees only and other persons using the area must be accompanied by a Customs employee.

Retention and disposal: Information is erased after two years.

System manager(s) and address: Assistant Regional Commissioner (Operations), 55 East Monroe, Chicago, Illinois 60603.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: A monthly report submitted by the Director of the Laboratory Division.

Treasury/Customs 00.115

System name: Import Specialist Activities—Seminars—Treasury/Customs.

System location: Classification & Value Division, U.S. Customs Service, 55 East Monroe, Suite 1501, Chicago, Illinois 60603.

Categories of individuals covered by the system: Import specialist.

Categories of records in the system: A monthly report of the number of seminars the import specialist has attended.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552). (c) Used by the Classification and Value Division to keep track of special training given to import specialists.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information is listed by name and located on a wall chart in the training room.

Retrievability: Indexed by name and location of the import specialist.

Safeguards: Area is provided for Customs employees only and other persons using the area must be accompanied by a Customs employee.

Retention and disposal: Information is erased after two years.

System manager(s) and address: Director, Classification & Value Division, U.S. Customs Service, 55 East Monroe, Suite 1501, Chicago, Illinois 60603.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The records of the seminar.

Treasury/Customs 00.116

System name: Import specialist activities—220 visits, Region IX—Treasury/Customs.

System location: Office of the Regional Commissioner, U.S. Customs Service, 55 East Monroe, Suite 1501, Chicago, Illinois 60603.

Categories of individuals covered by the system: Import specialist.

Categories of records in the system: A record of importer premises visits performed by the import specialist including the time, cost, and number of visits listed monthly.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552). (c) To keep an account of the visits made by import specialists to importers' premises.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information is listed by name and located on a wall chart in the training room.

Retrievability: Indexed by name and location of the import specialist.

Safeguards: Area is provided for Customs employees only and other persons using the area must be accompanied by a Customs employee.

Retention and disposal: Information is erased after two years.

System manager(s) and address: Director, Classification and Value Division, 55 East Monroe, Chicago, Illinois 60603.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Reports submitted by import specialists on Regional Form IX-RC-220.

Treasury/Customs 00.117

System name: Information and Investigative Reports from other Federal Agencies—Treasury/Customs.

System location: Office of the Director, District Patrol, 600 South Street, New Orleans, Louisiana 70130. Dir., District Patrol Division, Rm. 213, International Trade Center 250 N. Water Street, Mobile, AL 36602.

Categories of individuals covered by the system: Individuals on whom information has been received indicating unlawful or suspicious activity.

Categories of records in the system: Name, address, phone number, place of business, associates, vessels or aircraft identified with; make, year, license number and registration of vehicles, area of activity, method of operation and other relevant and necessary information on individuals suspected of activity contrary to law. All information received from other federal agencies' investigative reports.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto. (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license grant, or other benefit. (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records maintained in standard Customs file folders in locked metal cabinets.

Retrievability: System indexed by identifying file number and manually retrieved.

Safeguards: File maintained in locked, metal file cabinet, the keys of which are controlled by the custodian of the files. Those departmental officials who may occasionally be granted access, consistent with their positions, have been cleared by a full field background investigation and granted appropriate security clearance for critical sensitive positions. During nonworking hours, the room housing the metal cabinets is locked.

Retention and disposal: Memoranda of Information Received are normally destroyed after 3 years. On active cases or individuals, files could presumably be retained for duration of interest in individual. Disposal is by means of shredding. The same procedure is followed for Investigative Reports from other Federal agencies.

System manager(s) and address: Director, District Patrol Division, 600 South Street, New Orleans, La. 70130.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption are contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.118

System name: Information Received File—Treasury/Customs.

System location: U.S. Customs Air Support Branch, Patrol Division PM-TUM, Homestead Air Force Base, Homestead, Florida 33030.

Categories of individuals covered by the system: Persons who are believed to be involved in activities which constitute, or may develop into, possible violation of Customs and related laws.

Categories of records in the system: Internal Customs Service memoranda and related materials regarding the activities of individuals, vessels, or aircraft believed to be involved in acts which are contrary to Customs and related laws.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosure required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto. (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit. (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information files are inserted in alphabetical and chronological file folders which are filed within a metal container.

Retrievability: A manual, master card index is maintained for the entire system. This index includes name and/or numerical identifier.

Safeguards: The information files and master card index are located within an office which is locked during non-working hours. The building is guarded by U.S. Air Force Military Police and only authorized persons are permitted in the building.

Retention and disposal: These files are retained indefinitely or until such time that it has been determined that there is no longer a need for their existence, at which time the oldest files are destroyed under Customs supervision.

System manager(s) and address: Chief, Air Support Branch, U.S. Customs, Patrol Division, PM-TUM, Homestead Air Force Base, Homestead, Florida 33030.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption are contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.119

System name: Information Received File (Patrol Division—New Orleans, LA)—Treasury/Customs.

System location: Office of the Director, Regional Patrol, 600 South Street, New Orleans, LA 70130.

Categories of individuals covered by the system: Individuals on whom information has been received indicating unlawful or suspicious activity.

Categories of records in the system: Name, file number, location, and date information received on individuals suspected of unlawful activity.

Authority for maintenance of the system: 5 USC 301; Treasury Department Order No. 165, Revised as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto. (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit. (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: System maintained on filed 4 x 6 index cards.

Retrievability: System indexed alphabetically by name and manually retrieved.

Safeguards: Cards maintained in index file holder stored in secure combination safe, with the combination thereto restricted to four employees. Those departmental officials who may occasionally be granted access consistent with their position have been cleared by a full field background investigation and granted appropriate security clearance for critical sensitive positions. During non-working hours the room housing the safe is locked.

Retention and disposal: Index cards are retained for duration of interest in individual named there on. Disposal is by means of shredding.

System manager(s) and address: Director, Regional Patrol, 600 South Street, New Orleans, LA 70130.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption are contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.120

System name: Information Received File (Patrol Division—New Orleans, LA)—Treasury/Customs.

System location: Office of the Director, Regional Patrol, 600 South Street, New Orleans, LA 70130.

Categories of individuals covered by the system: Individuals on whom information has been received indicating unlawful or suspicious activity.

Categories of records in the system: Name, address, phone number, place of business, associates, vessels or aircraft identified with; make, year, license number and registration of vehicles, area of activity, method of operation, and other relevant and necessary information on individuals suspected of activity contrary to law.

Authority for maintenance of the system: 5 USC 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto. (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit. (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records maintained in standard Custom file folders in locked, metal cabinets.

Retrievability: System indexed by identifying file number and manually retrieved.

Safeguards: File maintained in locked, metal file cabinet the keys of which are controlled by the custodian of the files. Those departmental officials who may occasionally be granted access consistent with their positions have been cleared by a full field background investigation and granted appropriate security clearance for critical sensitive positions. During non-working hours the room housing the metal cabinets is locked.

Retention and disposal: Memoranda of Information Received are normally destroyed after three years. On active cases or individuals, files could presumably be retained for duration of interest in individual. Disposal is by means of shredding.

System manager(s) and address: Director, Regional Patrol, 600 South Street, New Orleans, LA 70130.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption are contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.121

System name: Information Received (Moir) File—Treasury/Customs.

System location: Located in the Office of the District Director, San Diego, Calif.; Offices of the Port Directors, San Ysidro, Calif; Tecate, Calif; Calexico, Calif; Andrade, Calif; San Diego Barge Office; and the Offices of the Customs Patrol Division, San Diego, Calif; San Ysidro, Calif; Calexico, Calif; Tecate, Calif. Los Angeles region. See Customs Appendix A.

Categories of individuals covered by the system: All Persons who have been found or suspected of being in violation of Customs or other Governmental Agency regulations or laws.

Categories of records in the system: Name, physical description, DOB, driver's license information and informing individual if suspect of being involved.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or

regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter. To alert all Customs personnel or interested Agencies of possible violations or whereabouts of individuals.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Stored on CF 4621 in file cabinet.

Retrievability: Cross-indexed by name and file number.

Safeguards: Locked office after duty hours.

Retention and disposal: Indefinitely.

System manager(s) and address: District Director, Port Directors, and Division Directors within the San Diego Customs District. See Customs Appendix A.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption are contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.122

System name: Information Received File—Treasury/Customs.

System location: District Patrol Division, Room 200, United States Customhouse, La Marina, Old San Juan, P.R. 00901.

Categories of individuals covered by the system: Persons in whom Customs and/or other government agencies are interested from a law-enforcement and/or security point of view.

Categories of records in the system: Name, alias, date of birth or age, personal data, addresses, home and business telephone number, occupation, background information, associations, license number and registration number of vehicle, vessel and/or aircraft, etc.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their official duties; (b) Disclosure required in the administration of the Freedom of Information Act (5 U.S.C. 552); (c) When routine background investigation develops evidence of violations of law, case is referred to Investigative Branch; (d) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each memorandum, or group of memoranda, is placed in a folder which is filed in a numerical order according to the assigned case file number. These files are kept in a locked metal cabinet.

Retrievability: The above-mentioned case file numbers are cross-indexed by name to such numbers, and cards are filed alphabetically within a metal file box.

Safeguards: The metal file cabinet and the metal file box are located within an office that is locked during non-working hours. The building is guarded by uniformed Customs guards, and only authorized persons are permitted in the building.

Retention and disposal: These memoranda are retained for a period of three years after which they are destroyed together with related index cards.

System manager(s) and address: Director, District Patrol Division, United States Custom Service, Room 200, La Marina, Old San Juan, P.R. 00901.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption are contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.123

System name: Injury Notice—Treasury/Customs.

System location: Each Logistics Management Division in each regional HQ Office (see Customs Appendix A for addresses) and all offices of the District Director, Region IX, Chicago, Ill.

Categories of individuals covered by the system: Individuals who sustain an injury in performance of duty as an employee of U.S. Customs.

Categories of records in the system: Name, date of birth, home address, organization, place of injury, date and hour of injury, dependants, occupation, cause of injury, nature of injury, statement of witness, supervisor's report of injury.

Authority for maintenance of the system: Sec. 19 and 24 of the Occupational Safety and Health Act of 1970, 84 Stat. 1609, 1614, 29 U.S.C. 668, 673 and the provisions of Executive Order 11807.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Disclosure to the Department of Labor for that agency's official use.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information in this system is contained on CA forms. The CA forms are filed in a loose leaf, alphabetical binder and placed in a metal file container.

Retrievability: Each CA form is identified by the name of the injured employee and filed alphabetically in a binder.

Safeguards: The binder is placed within a metal file container located within an office that is locked during non-working hours. The building is guarded by uniformed security personnel and only authorized persons are permitted in the building.

Retention and disposal: Notice of injury reports are maintained in the employee's OPF indefinitely. Copies maintained by the systems manager are maintained at location for two years and then destroyed.

System manager(s) and address: Each Director, Logistics Management Division, in each Customs Regional Headquarters (see Appendix A for address), each District Safety Officer, Region IX, Chicago, Ill. (see Customs Appendix A for addresses of District Directors).

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in this system originates with and consists solely of information supplied by the injured employee, his supervisor, appropriate witness and attending physician on CA forms.

Treasury/Customs 00.124

System name: Inspector Training Quarterly Checklist Forms and Inspector Correspondence Course Enrollment Forms (CF-66)—Treasury/Customs.

System location: Regional Office, Personnel Management, Career and Staff Development, U.S. Customs, 300 N. Los Angeles Street, Los Angeles, California 90012.

Categories of individuals covered by the system: All Inspectors in training status in Region VII.

Categories of records in the system: Progress records of on-the-job Inspector training and enrollment forms for the correspondence course.

Authority for maintenance of the system: U.S. Customs Headquarters Training Manual, 5 USC 301; Treasury Dept. Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (f) To determine if trainee Inspectors have satisfactorily completed their standard training requirements so that they are eligible for promotion at the end of their first year.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Loose leaf binder.

Retrievability: By name.

Safeguards: Locked office.

Retention and disposal: Until employee completes his training.

System manager(s) and address: Regional Training Officer, Personnel Management, Career Development Branch, U.S. Customs Service, 300 N. Los Angeles Street, Los Angeles, California 90012.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The inspectors' supervisors originate both the training progress checklists and the Correspondence Course Enrollment forms.

Treasury/Customs 00.125

System name: Intelligence Log—Treasury/Customs.

System location: U.S. Customs Air Support Branch, Patrol Division, PM-TUM, Homestead Air Force Base, Homestead, Florida 33030.

Categories of individuals covered by the system: Persons who are believed to be involved in activities which constitute, or may develop into, possible violation of Customs and related laws.

Categories of records in the system: Internal Customs Service memoranda and related materials regarding the activities of individuals, vessels, or aircraft believed to be involved in acts which are contrary to Customs and related laws.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosure required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit. (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The intelligence log is a chronological file which is maintained within a security area.

Retrievability: A manual, master card index is maintained for the entire system. This index includes name and/or numerical identifier.

Safeguards: The information files and master card index are located within an office which is locked during non-working hours. The building is guarded by U.S. Air Force Military Police and only authorized persons are permitted in the building.

Retention and disposal: These files are retained indefinitely or until such time that it has been determined that there is no longer a need for their existence, at which time the oldest files are destroyed under Customs supervision.

System manager(s) and address: Chief, Air Support Branch, Patrol Division, U.S. Customs, PM-TUM, Homestead Air Force Base, Homestead, Florida 33030.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption are contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.127

System name: Internal Security Records System—Treasury/Customs.

System location: Internal Security Division, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229. Tort Claim Investigation Files and index card files at each Regional Director (Internal Affairs) Office. See Customs Appendix A.

Categories of individuals covered by the system: Present and past employees, applicants for positions that require an investigation, and others that are the subject of an investigation.

Categories of records in the system: Security clearance records; background, tort claim, special inquiry, conduct, and equal employment opportunity investigations and other Customs related investigations including individuals involved in accidents under investigation.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosure required in the administration of the Freedom of Information Act (5 U.S.C. 552); (c) Provide the proper authority within the Department of the Treasury with sufficient information to make a decision as to whether or not an applicant or employee is suitable for employment in a sensitive position, and to make a determination regarding a subject's loyalty; (d) When routine background investigation develops evidence of violations of law, case is referred to Investigative Branch; (e) Serves as a basis for granting access to classified information and material. When

necessary, advise Government agencies and private industry of employees having security clearances; (f) To provide basis for disciplinary action, criminal prosecution establishing guilt or innocence, identifying procedural weaknesses, establishing liability in tort claim investigations, give information to Equal Employment Opportunity Division in EEO cases, provide information to appropriate officials, management and Congressmen; (g) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto; (h) A record from this system of records may be disclosed as a "routine use" to a Federal, state or local agency maintaining civil, criminal or other relevant information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant or other benefit; (i) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

A record contained in this system of records may be disclosed as a routine use to possible sources of information in connection with civil or criminal investigations or inquiries under circumstances where it is necessary to disclose certain information in order to pose a question to those sources.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Investigative records are maintained on microfiche and in file folders and stored in metal security cabinets secured by government approved three-position, combination locks. Security clearance records are stored on magnetic tape with a manual back-up system.

Retrievability: A manual, master card index is maintained for the entire system. This index includes name and/or numerical identifier.

Safeguards: In addition to being stored in secure metal containers with government approved, combination locks, the metal containers are located in locked rooms, the keys of which are controlled and issued to the custodians of the files. The security specialists and administrative personnel who maintain the files are selected for their experience and afforded access only after having been cleared by a full-field background investigation and granted appropriate security clearances for critical sensitive positions. Those departmental officials who may occasionally be granted access consistent with their positions to employ and concur in the granting of security clearances have also been investigated prior to filling critical-sensitive positions.

Retention and disposal: With exception of tort claim investigations, the file records are maintained as long as the subject of the investigation is employed by the U.S. Customs Service and for 1 year after the subject terminates employment. The files are then transferred to the Federal Records Center.

Tort Claim investigations are retained for 5 years after the close of the investigation and then destroyed. Once files are transferred, they are retained for the following periods of time by the Federal Records Center and then destroyed: Background investigations—25 years, Conduct and special inquiry investigations—15 years, Equal Employment Opportunity investigations—10 years.

System manager(s) and address: Director, Internal Security Division, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption are contained in a general notice which appears elsewhere in the Federal Register.

Record source categories: Sources of information for background investigations are: employers; educational institutions; police; government agencies; credit bureaus; references; neighborhood checks; medical sources; personal interviews; military, financial, citizenship, birth and tax records; and the applicants or employee's personal history and application forms.

Treasury/Customs 00.128

System name: Investigations Program Analysis—Treasury/Customs.

System location: U.S. Customs Service, Office of Investigations, 1301 Constitution Avenue, N.W., Washington D.C. 20229, 1719 West End Building, Rm. 303, Nashville, Tenn. 37203.

Categories of individuals covered by the system: Special Agents assigned to office.

Categories of records in the system: Record of cases assigned to individual agent showing date assigned, status, date closed, time spent on case, arrests, dispositions of arrests, seizures, penalties, and recoveries, if any. An inventory of types of cases handled by an agent.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosure required in the administration of the Freedom of Information Act (5 U.S.C. 552); (c) Used administratively as an inventory of investigative cases; measurement of the results of investigative efforts; and an account of man hours devoted to investigative categories.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Information is contained in a printout.

Retrievability: Information is retrieved from the printout by case number, name of agent, and date by month and year.

Safeguards: During non-working hours the room in which the above described printouts are located is locked.

Retention and disposal: Indefinitely.

System manager(s) and address: Assistant Commissioner, Office of Investigations, U.S. Customs Service, 1301 Constitution Avenue, NW, Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information furnished by Customs Special Agents.

Treasury/Customs 00.129

System name: Investigations Record System—Treasury/Customs.

System location: All Office of Investigations offices located within each Customs Service Region in the United States and within each Office of Investigations office located in a foreign country. (See Customs Appendix A).

Categories of individuals covered by the system: Records are maintained on individuals who may bear some necessary relevance to investigations conducted within the scope of authority of the Office of Investigations, United States Customs Service. The categories include but are not limited to: (1) Known violators of U.S. Customs laws. (2) Convicted violators of U.S. Customs and/or drug laws in the U.S. and foreign countries. (3) Fugitives with outstanding warrants, Federal or State. (4) Suspect violators of U.S. Customs or other related laws. (5) Victims of violations of the U.S. Customs or related laws.

Categories of records in the system: The subject records may contain any identifying or other relevant information on subject individuals which might relate to the following categories of investigations:

Smuggling Investigations Branch: Smuggling, Diamonds & Jewelry; Smuggling, Liquor; Smuggling, Narcotics; Smuggling, All Other; Prohibited Importations; Navigation, Airplane and Vehicle Violations; Neutrality Violations; Illegal Exports.

Regulatory Investigations Branch: Baggage Declaration Violations; Customhouse Brokers and Customs Attorneys; Applications for Licenses; Theft, Loss, Damage and Shortage; Irregular Deliveries; All Other Criminal Cases; Currency Violations.

Special Investigations Branch: Organized Crime; Personnel Derelictions; Other Departments, Bureaus and Agencies; Federal Tort Claims; Personnel Background Investigations.

Technical Investigations Branch: Undervaluation and False Invoicing; Petitions for Relief.

Verification Investigations Branch: Drawback; Marking of Merchandise; Customs Bonds; Customs Procedures; Collections of Duties

and Penalties; Trademarks and Copyrights; Foreign Repairs to Vessels and Aircraft.

Foreign Investigations Branch: Classification; Market Value; Dumping; Countervailing Duties.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended; 19 U.S.C. 2072; Title 19, United States Code; Title 18, United States Code.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of Treasury who have a need for the records in the performance of their duties which may include the administration, investigation, enforcement and prosecution of civil and criminal Customs and related laws; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Disclosure may be made to any civil or criminal law enforcement authorities, whether Federal, state, local or foreign; (d) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigation or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (e) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant or other benefit; (f) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

A record contained in this system of records may be disclosed as a routine use to possible sources of information in connection with civil or criminal investigations or inquiries under circumstances where it is necessary to disclose certain information in order to pose a question to those sources.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in both hard copy files and on microfiche which are placed in locked metal containers.

Retrievability: The record system is indexed on 3 x 5 file cards by the individual's name and/or identification number and by the investigative case numbers to which the information relates. The hard copy and microfiche records are retrieved by means of the investigative case numbers.

Safeguards: All records are held in steel cabinets and are maintained according to the requirements of the United States Customs Records Manual and the United States Customs Security Manual. Access is limited by visual controls and/or a lock system. During normal working hours, files are either attended by responsible Office of Investigations employees or the file area is restricted. The office in which the records are located is locked during non-working hours and the building is patrolled by uniformed security guards.

Retention and disposal: The index cards are retained indefinitely. The hard copy and microfiche records are retained for one year or more depending on the subject matter to which they relate and are then either destroyed or transferred to the appropriate Federal Record Center, all in accordance with standard Customs Service record retention and disposal procedures.

System manager(s) and address: Assistant Commissioner, Office of Investigations, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C., 20229.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption are contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.130

System name: Investigative Program Analysis—Treasury/Customs.

System location: U.S. Customs Service, Office of Investigations, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Categories of individuals covered by the system: United States Customs Special Agents and Operations Officers.

Categories of records in the system: Case assignment information such as case number, case hours, court hours, case description and disposition, etc.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigation or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (f) Provide management information such as caseload, budget, manpower, performance and experience, etc.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: (1) Magnetic tape. (2) Manual files maintained by the Office of Investigations field officer.

Retrievability: By name and Social Security Number of subject Customs Officer.

Safeguards: On a need-to-know basis to the Office of Investigations personnel only. Procedural and physical safeguards are utilized such as accountability and receipt records, guards patrolling the area, restricted access and alarm protection systems, special communication security, etc.

Retention and disposal: Indefinite; None.

System manager(s) and address: Assistant Commissioner, Office of Investigations, U.S. Customs Service, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Supervisory Customs Special Agents when making case assignments to Special Agents or Operations Officers on foreign assignments.

Treasury/Customs 00.132

System name: Justice Court Case File—Treasury/Customs.

System location: Office of the Chief Counsel, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Categories of individuals covered by the system: Persons who are parties in litigation with the United States Government or agencies or employees or officers thereof, in matters which affect or involve the Customs Service.

Categories of records in the system: Litigation report requests and responses thereto, reports of investigations, internal Customs Service memoranda summarizing or relating to the matter in controversy and other background information relating to the subject matter or origin

of the litigation, including any information on individuals which has relevance to the matter in litigation.

Authority for maintenance of the system: 28 U.S.C. 507; 19 U.S.C. 1603; E.O. 6166; 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in the administration of the Freedom of Information Act (5 U.S.C. 552); (c) Information contained in this file is provided as a routine use to the Department of Justice to assist that Department in representing the interests of the Government, the agency or officer or employee involved in the litigation, and may be provided to other agencies involved in same or similar litigation.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in an alphabetical file folder which is filed in an unlocked drawer within a metal container.

Retrievability: Each case file is identified in a manual alphabetical card file by the name of the person who initiated the litigation against the Government, agency, officer or employee or by the name of the person against whom the Government initiated the litigation. In addition, each case file is similarly identified on the alphabetical file folder within the metal container.

Safeguards: The metal container described above is maintained within the area assigned to the Office of the Chief Counsel within the Customs Service building. During non-working hours the room in which the metal container is located is locked, and access to the building is controlled at all times by uniformed guards.

Retention and disposal: These files are retained indefinitely or until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Record Center.

System manager(s) and address: Chief Counsel, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in these files originates with the receipt of a litigation report request from the Department of Justice which results in a written report to that Department regarding the facts of the particular case. Information in this file may also be derived from reports of investigation regarding the enforcement of civil or criminal statutes, administrative proceedings regarding disciplinary action taken against Customs Service employees, equal opportunity complaints, denials of tort claims, denials of information requested under the Freedom of Information Act, and the collection of debts due the Government.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.133

System name: Justice Department Case File—Treasury/Customs.

System location: Office of the Regional Counsel, Room 125, U.S. Customhouse, 40 South Gay Street, Baltimore, Maryland 21202.

Categories of individuals covered by the system: Persons who are parties in litigation with the United States Government or subunits or employees or officers thereof, in matters which affect or involve the United States Customs Service.

Categories of records in the system: Litigation report requests and responses thereto, reports of investigations, internal Customs Service memoranda summarizing or relating to the matter in controversy and other background information relating to the subject matter or origin of the litigation.

Authority for maintenance of the system: 28 U.S.C. 2676; 19 U.S.C. 1603; E.O. 6166; 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Information contained in this file is routinely provided to the Department of Justice or directly to United States Attorneys upon request to assist in representing the interests of the Government, the agency or officer or employee involved in the litigation, or to other agencies involved in the same or similar litigation; (d) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in file folder which is filed in an unlocked drawer within a metal container.

Retrievability: Each case file is identified in the file folder within the metal container by the name of the customhouse broker.

Safeguards: The metal container described above is maintained within the area assigned to the Office of the Regional Counsel within the Customhouse. During non-working hours the room in which the metal container is located is locked, and access to the building is controlled at all times by uniformed guards.

Retention and disposal: Customhouse broker files are retained indefinitely or until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Records Center.

System manager(s) and address: Regional Counsel, Room 125, U.S. Customhouse, 40 South Gay Street, Baltimore, Maryland 21202.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in these files originates with the request from the Department of Justice or directly from a United States Attorney or other Government agency or officer which results in a communication regarding the particular case. Information in this file is also derived from reports of investigation regarding the enforcement of civil or criminal statutes or regulations, administrative proceedings or any matter affecting or involving the United States Customs Service or its officers or employees.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.135

System name: Legal Case Inventory System—Treasury/Customs.

System location: Classification and Value Division, Office of Regulations and Rulings, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Categories of individuals covered by the system: Customs employees to whom legal cases are assigned for initial review at Customs Headquarters.

Categories of records in the system: Name of Customs employees to whom legal cases are assigned for initial review, name of inquirer, date of correspondence, description of request, control number, and status.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) To maintain a log system of all requests received in the Office; be able to locate each request, obtain present status, and to whom assigned. Obtain statistical information indicating the number of requests received per month for budget purposes.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic tape and hard copy print-out.

Retrievability: A weekly print-out for each division in the Office of Regulations and Rulings by the name of the employee assigned to the case is prepared. Retrieval of information by employee is accomplished by a manual search of the print-out.

Safeguards: The weekly printout is used only by division personnel to locate a specific case. Each branch in the division receives a copy of the printout for their use. During non-working hours the room is locked and the building is guarded by security police. Only authorized persons are permitted in the building.

Retention and disposal: An inquirer's name will remain in the computer until 90 days after a reply has been made to afford the inquirer ample time for resubmission of a request for consideration. Thereafter, his name is expunged by erasure of the tape. The print-out is disposed of as paper trash when a new print-out is received.

System manager(s) and address: Director, Classification and Value Division, U.S. Customs Service, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Branch Chief or delegate at the time the protest case is assigned to an individual for initial review.

Treasury/Customs 00.136

System name: Liquidated Damage Cases; Prior Violators—Treasury/Customs.

System location: Office of the District Director, Fines and Penalties Office, U.S. Customs Service, 2 India Street, Boston, Massachusetts 02109, and Office of the District Director, Fines and Penalties Office, U.S. Customs Service, P.O. Box 111, St. Albans, Vermont, 05478.

Categories of individuals covered by the system: Prior violators of Customs Laws: e.g. Customhouse brokers, individual T/B violators, liquidated damage cases only.

Categories of records in the system: Name, address, Social Security number, type of violation and frequency of past violations.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Used to determine those persons who are repeatedly assessed liquidated damages so appropriate administrative action may be taken.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Stored on 3 x 5 index cards and in file folders.

Retrievability: Alphabetically; by name.

Safeguards: In locked file cabinet when not in use.

Retention and disposal: Boston District files are kept for two years and then destroyed on site. St. Albans District files are kept for five years and then destroyed.

System manager(s) and address: Fines and Penalties Officer, U.S. Customhouse, Bonton, Massachusetts 02109. Fines and Penalties Officer, Post Office and Customhouse Building, St. Albans, Vermont 05478.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information is received from the individual at the time the violation occurs and from penalty notices which are issued in the Penalties section. Also, the office of Investigations provides any information developed during its investigation.

Treasury/Customs 00.137

System name: List of Vessel Agents Employees—Treasury/U.S. Customs.

System location: Offices of District Directors, Region IX, Chicago, Illinois. See Customs Appendix A.

Categories of individuals covered by the system: Persons employed by Vessel agents.

Categories of records in the system: Names, addresses, Social Security numbers, and dates and places of birth of persons employed.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Open file.

Retrievability: Alphabetical listing of employees by vessel agent name.

Safeguards: The file described is maintained in the Offices of the District Directors in Region IX, Chicago, Illinois. During non-working hours the room/building in which the file is located is locked. Access limited to authorized Customs personnel.

Retention and disposal: Employee name retained for period of employment with vessel agent agency.

System manager(s) and address: District Director, as appropriate, in Region IX, Chicago, Illinois. See Location above.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Submission of data by importing carrier or his agent.

Treasury/Customs 00.138

System name: Litigation Issue Files—Treasury/Customs.

System location: Office of Assistant Chief Counsel, Customs Court Litigation, Second Floor, 26 Federal Plaza, New York, New York, 10007.

Categories of individuals covered by the system: Parties in litigation before the United States Customs Court (or subunits or employees or officers thereof), and other individuals with knowledge of the issues in controversy, e.g., trade witnesses, foreign or domestic manufacturers, etc.

Categories of records in the system: Litigation report requests and responses thereto, reports of investigations, internal Customs Service memoranda summarizing or relating to the matter in controversy and other background information relating to the subject matter or origin of the litigation.

Authority for maintenance of the system: 19 U.S.C. 1514—1516; 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Information contained in these files is routinely provided to the Department of Justice upon request to assist that Department in representing the interests of the Government, or agency involved in the litigation.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each issue file is inserted in a numerical file folder (according to issue) which is filed in an unlocked drawer within a metal container.

Retrievability: Each issue filed is cross-indexed in the following card files: (a) by name of party-plaintiff; (b) by issue; and, (c) by titles of decided cases.

Safeguards: The metal container described above is maintained within the area assigned to the Office of the Assistant Chief Counsel within the Federal Building. At all times the room in which the metal container is located is locked, and access to the building is controlled at all times by uniformed guards.

Retention and disposal: These files are retained indefinitely or until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Record Center.

System manager(s) and address: Assistant Chief Counsel, Customs Court Litigation, Second Floor, 26 Federal Plaza, New York, New York, 10007.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in these files originates with the receipt of protest reports (based on information supplied by the subject individuals or by their authorized agents or attorneys) from the various Districts and/or litigation report requests from the Department of Justice which result in a written report to that Department regarding the facts of the particular case.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.140

System name: Lookout Notice—Treasury/Customs.

System location: Lookout files are maintained in Offices of the District and Port Directors and the District and Local offices of the Patrol Division. See Customs Appendix A.

Categories of individuals covered by the system: Suspected violators of laws enforced by Customs.

Categories of records in the system: Name, address, height, weight, and any other information available on the individual at the time the notice is instituted.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) The lookout notices are used by Customs to identify persons suspected of violating laws and to keep them under active review.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The notice is printed on regular paper and then copied and stored within a file box.

Retrievability: Alphabetically, by name.

Safeguards: Stored in locked filing cabinet and access is limited to administrative personnel.

Retention and disposal: Retained for two years and then destroyed on site.

System manager(s) and address: Regional Commissioner of Customs, Regional Director of Investigations, Regional Patrol Director at appropriate Region. See Customs Appendix A.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption are contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.142

System name: Mail Entry Protest—Treasury/Customs.

System location: District Director of Customs, 3180 Bladensburg Road, N.E., Washington, D.C. 20018.

Categories of individuals covered by the system: Individual member of armed forces, commercial importers, commercial exporters and private importers.

Categories of records in the system: Name, home address, and action by customers for which relief is sought.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information is placed in alphabetical file folder and placed within a metal file cabinet.

Retrievability: Each letter of protest is filed in alphabetical order by last name first.

Safeguards: The metal cabinet is located within an office that is locked during non-working hours.

Retention and disposal: The records are maintained in the files for a complete fiscal year. Records are removed to inactive files and held for three years prior to destruction.

System manager(s) and address: District Director of Customs, 3180 Bladensburg Road, N.E., Washington, D.C. 20018.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information of this office originated with and consists solely of information supplied by the protestor or requester.

Treasury/Customs 00.143

System name: Mail Protest—Treasury/Customs.

System location: District: Anchorage, 204 E. 5th Ave., Anchorage, Alaska; Great Falls, 215 1st Ave, No., Great Falls, Montana; Honolulu, 335 Merchant, Honolulu; Portland, 511 N.W. Broadway, Portland, Oregon; San Francisco, 555 Battery St., San Francisco, California; Seattle, First & Marion Sts., Seattle, Washington.

Categories of individuals covered by the system: Individual protesting duty assessed.

Categories of records in the system: Correspondence relating to individual.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto. (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in folders and stored in metal file cabinet.

Retrievability: The office and building are locked during non-working hours.

Safeguards: Protest number.

Retention and disposal: Records are maintained and disposed of in accordance with Records Disposal Manual.

System manager(s) and address: District Director, See Location above for addresses.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Individual and Customs employees involved with case.

Treasury/Customs 00.144

System name: Mail Protest File—Treasury/Customs.

System location: Foreign Mail Section, District Office, 444 Brickell Ave., Miami, Fla.

Categories of individuals covered by the system: Individuals who have filed formal protest of the amount of duty assessed against mail parcels.

Categories of records in the system: Letters, invoices, and other pertinent documents pertaining to protests.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Customs Personnel processing mail protests; (d) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (e) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licences, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (f) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are stored in the Foreign Mail Section of the District Office, 444 Brickell Ave., Miami, Fla.

Retrievability: Records are retrievable by name or protest number.

Safeguards: Access is limited to appropriate personnel and office is locked during non-working hours.

Retention and disposal: Records are kept until 3 years after the protest is decided.

System manager(s) and address: District Director of Customs, 444 Brickell Ave., Miami, Fla.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Source information is from the sender, the addressee, the Customs value records, and the manufacturer of the item.

Treasury/Customs 00.148

System name: Military Personnel and Civilian Employees' Claims Act File—Treasury/Customs.

System location: Office of the Chief Counsel, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Categories of individuals covered by the system: Persons filing claims under the Military Personnel and Civilian Employees' Claims Act of 1964.

Categories of records in the system: Documents relating to the administrative handling of the claim and documents submitted by the claimant in support of the claim.

Authority for maintenance of the system: 31 U.S.C. 240-243; 31 CFR Part 4; Treasury Department Administrative Circular No. 131, August 19, 1965; 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. Such duties may include making recommendations for decisions on claims and making final decisions on claims; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted alphabetically in a file folder which is filed in an unlocked drawer within a metal container.

Retrievability: Each case file is identified in a manual alphabetical card file by the name of the person who filed the claim and alphabetically in the file folder within the metal container by the name of the person who filed the claim.

Safeguards: The metal container described above is maintained within the area assigned to the Office of the Chief Counsel within the Customs Service Building. During nonworking hours the room in which the metal container is located is locked, and access to the building is controlled at all times by uniformed guards.

Retention and disposal: These files are retained indefinitely or until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Records Center.

System manager(s) and address: Chief Counsel, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained in these files originates with a Treasury Department Form No. 3079, Civilian Employee Claim For Loss or Damage to Personal Property, which is completed and filed with the Customs Service by the claimant. Additional information contained in these files may be separately provided by the claimant or by the claimant's supervisor.

Treasury/Customs 00.149

System name: Military Personnel and Civilian Employees' Claims Act File—Treasury/Customs.

System location: Office of the Regional Counsel, Room 125, U.S. Customhouse, 40 South Gay Street, Baltimore, Maryland 21202.

Categories of individuals covered by the system: Current or former Customs employees filing claims under the Military Personnel and Civilian Employees' Claim Act of 1964 for damage to or loss of personal property incident to their service.

Categories of records in the system: Documents relating to the administrative handling of the claim and documents submitted by the claimant in support of the claim.

Authority for maintenance of the system: 31 U.S.C. 240-243; 31 CRF Part 4; Treasury Department Administrative Circular No. 131, August 19, 1965; 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in file folder which is filed in an unlocked drawer within a metal container.

Retrievability: Each case file is identified in the file folder within the metal container by the name of the person who filed the claim.

Safeguards: The metal container described above is maintained within the area assigned to the Office of the Regional Counsel within the Customhouse. During non-working hours the room in which the metal container is located is locked, and access to the building is controlled at all times by uniformed guards.

Retention and disposal: These files are retained indefinitely or until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Records Center.

System manager(s) and address: Regional Counsel, Room 125, U.S. Customhouse, 40 South Gay Street, Baltimore, Maryland 21202.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained in these files originates with a Treasury Department Form NO. 3079, Civilian Employee Claim For Loss or Damage to Personal Property, which is completed and filed with the Customs Service by the claimant. Additional information contained in these files may be separately provided by the claimant, the claimant's supervisor, or other reviewing Customs officers.

Treasury/Customs 00.150

System name: Military Personnel and Civilian Employees' Claims Act File—Treasury/Customs.

System location: Regional Counsel, U.S. Customs Service, 6 World Trade Center, New York, N.Y. 10048.

Categories of individuals covered by the system: Current or former Customs employees filing claims under the Military Personnel and Civilian Employees' Claims Act of 1964 for damage to or loss of personnal property incident to their service.

Categories of records in the system: Documents relating to the administrative handling of the claim and documents submitted by the claimant in support of the claim.

Authority for maintenance of the system: 31 U.S.C. 240-243; 31 CFR Part 4; Treasury Department Administrative Circular No. 1331, August 19, 1965.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) The information contained in this file is utilized to formulate recommendations, and is made available, to the officials within the Customs Service who make final decisions on claims submitted pursuant to the Military Personnel and Civilian Employees' Claims Act of 1964; (b) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (c) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted alphabetically in a file folder which is filed in an unlocked drawer within a metal container.

Retrievability: Each case file is identified in a manual alphabetical card file by the name of the person who filed the claim and alphabetically in the file folder within the metal container by the name of the person who filed the claim.

Safeguards: The metal container described above is maintained within the area assigned to the Office of the Chief Counsel within the Customs Service Building. During non-working hours the room in which the metal container is located is locked, and access to the building is controlled at all times by uniformed guards.

Retention and disposal: These files are retained indefinitely or until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Records Center.

System manager(s) and address: Regional Counsel, U.S. Customs Service, 6 World Trade Center, New York, N.Y. 10048.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained in these files originates with a Treasury Department Form No. 3079, Civilian Employee Claim For Loss or Damage to Personnel Property, which is completed and filed with the Customs Service by the claimant. Additional information contained in these files may be separately provided by the claimant or by the claimant's supervisor.

Treasury/Customs 00.268

System name: Military Personnel and Civilian Employees' Claims Act File—Treasury/Customs.

System location: Office of the Regional Counsel, Suite 1220, 500 Dallas Street, Houston, Texas 77002.

Categories of individuals covered by the system: Current or former Customs employees filing claims under the Military Personnel and Civilian Employees' Claims Act of 1964.

Categories of records in the system: Documents relating to the administrative handling of the claim and documents submitted by the claimant in support of the claim.

Authority for maintenance of the system: 31 U.S.C. 240-243; 31 CFR Part 4; Treasury Department Administrative Circular No. 131, August 19, 1965; 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the U.S. Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) The information contained in this file is utilized to formulate recommendations, and is made available, to the officials within the Customs Service to make final decisions on claims submitted pursuant to the Military Personnel and Civilian Employees' Claims Act of 1964.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted alphabetically in a file folder which is filed in an unlocked drawer within a metal container.

Retrievability: Each case file is identified alphabetically in the file folder within the metal container by the name of the person who filed the claim.

Safeguards: The metal container described above is maintained within the area assigned to the Office of the Regional Counsel on the 12th Floor of 500 Dallas Street, Houston, Texas. During non-working hours the room in which the metal container is located is locked, and access to the building is controlled at all times by uniformed security guards provided by the lessor.

Retention and disposal: These files are retained until closed at which time the closed files are transferred to the Director, Logistics Management Division, Office of the Regional Commissioner, Houston, Texas for ultimate transportation to the Federal Record Center.

System manager(s) and address: Regional Counsel, Suite 1220, United States Customs Service, 500 Dallas Street, Houston, Texas 77002.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained in these files originates with a Treasury Department Form No. 3079, Civilian Employee Claim For Loss or Damage to Personal Property, which is completed and filed with the Customs Service by the claimant. Additional information contained in these files may be separately provided by the claimant or by the claimant's supervisor.

Treasury/Customs 00.151

System name: Motor Vehicle Accident Reports—Treasury/Customs.

System location: Each Logistics Management Division, in each Customs Regional Headquarters (see Customs Appendix A).

Categories of individuals covered by the system: U.S. Customs Employee involved in an automobile accident while on official duty.

Categories of records in the system: Name, Social Security Number, home address, telephone number, age, title, date of accident, place of accident, make, year, license number of vehicles, description of accident, information on driver of other vehicle.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Administrative Circular No. 131, dated August 19, 1965 as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in an alphabetical file folder which is filed in an unlocked drawer in a metal file cabinet.

Retrievability: Each case file is identified in a file folder identified by the name of the Customs employee involved in the automobile accident.

Safeguards: The metal file cabinet described above is maintained within the area assigned to the Regional Safety Coordinator within the office of the Regional Commissioner of Customs. Access to the building during nonworking hours is controlled.

Retention and disposal: Files are maintained at location for 2 years and then transferred to the Federal Records Center where they are retained for four years.

System manager(s) and address: Each Director, Logistics Management Division in each Regional Headquarters (see Customs Appendix A for addresses).

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in these files originates from the employee involved in the automobile accidents, police report and report of investigation conducted by the Office of Internal Affairs.

Treasury/Customs 00.152

System name: Motor Vehicle Operator's Identification Card—Treasury/Customs.

System location: District Director, 600 South Street, New Orleans, Louisiana 70130; District Director, P.O. Box 2748, Mobile, Alabama 36601; Regional Commissioner, 1440 Canal Street, New Orleans, Louisiana 70112.

Categories of individuals covered by the system: All Customs employees in Region V whose official duties require the use and operation of an agency-owned vehicle or a government-owned vehicle leased from General Service Motor Vehicle Pool.

Categories of records in the system: Records on file contain the follwoing information: Name, address, place and date of birth, statement of physical fitness, results of examination on driving capability, summary of past driving record, record of summonses, arrests, and accidents during past five years, record of safe driving awards.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contact, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: On SF-47 and Treasury Department Form 2770 in appropriate file.

Retrievability: By name.

Safeguards: Unlocked file cabinet in locked office.

Retention and disposal: Forms are maintained for the period in which the individual is employed in the Customs Service, or until revoked. Upon separation or revocation, forms are removed from active file and placed in an inactive file for a period of three years, after which time the cards are disposed of as provided in GSA's General Records Schedule.

System manager(s) and address: District Director, 600 South Street, New Orleans, Louisiana 70130; District Director, P.O. Box 2748, Mobile, Alabama 36601; District, Logistics Management Division, 1440 Canal Street, New Orleans, LA 70112.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: All information contained on SF-47 and TD Form 2770 is obtained directly from employee.

Treasury/Customs 00.153

System name: Motor Vehicle Operators I.D. Card and Record—Treasury/Customs.

System location: Room 102, Federal Building, 511 N.W. Broadway, Portland, Oregon 97209.

Categories of individuals covered by the system: Employees of Portland District authorized to drive government vehicles.

Categories of records in the system: Name, position, driving record of employee.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To account for those employees to whom driver's licences have been issued and to maintain information concerning their driving record.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in index card file. Stored in unlocked metal cabinet.

Retrievability: Information is on cards, indexed alphabetically by name of individual.

Safeguards: The office and building are locked during non-working hours.

Retention and disposal: Retained 2 years, then destroyed.

System manager(s) and address: District Director, Federal Building Room 198, 511 N.W. Broadway, Portland, Oregon 97209.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information furnished by employee and his supervisors. Accident reports.

Treasury/Customs 00.155

System name: Narcotics Suspect File—Treasury/Customs.

System location: Customs Patrol Office, U.S. Customhouse, 40 South Gay Street, Baltimore, Maryland 21202.

Categories of individuals covered by the system: Known violators of Federal narcotics laws. Suspected violators of Federal narcotics laws. Associates of known and suspected violators. Informants and other persons of interest to patrol officers in the performance of their duties.

Categories of records in the system: Criminal and civil case files; information of possible violators, informant files, known suspect files, and files of information of interest to patrol officers.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosure required in the administration of the Freedom of Information Act (5 U.S.C. 552); (c) Used by patrol officers to determine an individual's previous record when determining the proper recommendations for criminal and civil penalties; (d) Used by patrol officers to determine patterns of violations and assist in the more efficient performance of their duties; (e) Used by officers and employees of the Customs Service and the Department of the Treasury to compile statistics and determine patterns of violators; (f) In the event that this system of records includes information which indicates a violation or possible violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto; (g) A record from this system of records may be disclosed as a "routine use" to a Federal, state or

local agency maintaining civil, criminal or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (h) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in file folders and stored in metal file cabinets which are located in locked or occupied offices which are located in locked and guarded Federal Buildings.

Retrievability: A manual master card index is maintained for the entire system. This index includes name and/or numerical identifier.

Safeguards: In addition to being stored in secure metal containers, the containers are maintained in offices which are either occupied or locked at all times. These offices are located in locked Federal buildings.

Retention and disposal: The file records are maintained indefinitely.

System manager(s) and address: Director, Baltimore Patrol Division, U.S. Customhouse, 40 South Gay Street, Baltimore, Maryland 21202.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system or records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption are contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.156

System name: Narcotic Violator File—Treasury/Customs.

System location: Fines, Penalties and Forfeitures Office, District Director of Customs, Room 603, U.S. Federal Building, 111 West Huron Street, Buffalo, New York 14202.

Categories of individuals covered by the system: Persons who have been found in possession of any controlled substance within the Buffalo District.

Categories of records in the system: Alphabetized cross reference of violators names and the associated case numbers assigned to these individuals.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of the law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Open card file (3 x 5) is kept in metal flip file.

Retrievability: Narcotic violator case files are cross-referenced by a 3 x 5 alphabetized card which contains both name and case number.

Safeguards: Open card file is kept in the Fines, Penalties and Forfeitures Office which is locked after working hours. During working hours, a staff person is always in the office. The building is under 24-hour guard.

Retention and disposal: File system has a purge date of two years after which cross reference cards are destroyed and case numbered file is no longer accessible by name of the individual.

System manager(s) and address: District Director, United States Customs Service, Room 603, 111 West Huron Street, Buffalo, New York 14202.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in these files is obtained from Search/Arrest and Seizure Reports transmitted to the Fines, Penalties and Forfeitures Office by ports and stations within the district.

Treasury/Customs 00.159

System name: Notification of Personnel Management Division when an employee is placed under investigation by the Office of Internal Affairs—Treasury/Customs.

System location: Personnel Management Division, 500 Dallas Street, Houston, Texas 77002.

Categories of individuals covered by the system: Customs employees who are suspected of misconduct.

Categories of records in the system: A written or telephonic notification made by the Office of Internal Affairs that an investigation has been opened on an individual employee.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Notification serves to notify certain Personnel Management Division employees of the investigation to assure compliance with Chapter 751 of the Customs Personnel Manual which precludes the processing of certain personnel actions while employees are under investigation. Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute or rule, regulation, or order issued pursuant thereto.

A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant or other benefit.

A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Notifications provided by the Office of Internal Affairs are maintained in a file folder and stored in a metal security cabinet equipped with a lock.

Retrievability: The file contains the name of the employee; therefore retrievability is by the individual's name.

Safeguards: A metal container, described above, is maintained within the area assigned to Personnel Management in the One Allen Center Building. During non-working hours, the room in which the metal container is kept is locked, and access to the building is controlled at all times by uniformed guards.

Retention and disposal: The name file is retained until notification has been received that the investigation has been cancelled or on receipt of a report of investigation from the Office of Internal Affairs.

System manager(s) and address: Director, Personnel Management Division, 500 Dallas Street, Houston, Texas 77002.

Record source categories: The only source of notification that an employee has been placed under investigation is the Regional Director, Internal Affairs.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.160

System name: Operations Officer's (Classification and Value) Work Accomplishments—Treasury/U.S. Customs.

System location: Office of the Regional Commissioner, U.S. Customs Service, Classification and Value Division, 55 East Monroe Street, Suite 1501, Chicago, Illinois 60603.

Categories of individuals covered by the system: Operations Officers in the Classification and Value Division.

Categories of records in the system: Travel File—Names, dates, purpose and locations travelled. Assignments Folder—Correspondence of specific assignments filed by name. Reading File—Copies of all originally written documents filed by name.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in the administration of the Freedom of Information Act (5 U.S.C. 552). (c) Historic record of work accomplishments in one file by name. (d) It is used as a file index to locate the master file record.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folder under secretarial control.

Retrievability: Manual file system.

Safeguards: Stored in the division area away from unescorted public.

Retention and disposal: Filed for five years then destroyed.

System manager(s) and address: Regional Commissioner, U.S. Customs Service, 55 East Monroe, Suite 1501, Chicago, Illinois 60603.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The sources are from the Operations Officers and a copy of typewritten work.

Treasury/Customs 00.161

System name: Optional Retirement List—Treasury/Customs.

System location: Personnel Management Division, U.S. Customs Service, Region I, 100 Summer Street, Boston, Massachusetts 02110.

Categories of individuals covered by the system: All employees who are eligible for optional retirement.

Categories of records in the system: Name, date of mandatory retirement, and service computation date.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552). (c) In the event that this system of records includes information which indicates a violation or potential violation of law whether civil, criminal, or regulatory in nature and whether arising by general statute or particular program statute or by regulation, rule, or order issued pursuant thereto the relevant records in the system of records may be referred, as a routine use, to the appropriate agency whether Federal, state, local, or foreign charged with the responsibility of investigating or prosecuting the statute or rule, regulation, or order issued pursuant thereto. (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee; the issuance of a security clearance; the letting of a contract; or the issuance of a license, grant, or other benefit. (e) A record from this system of records may be disclosed to a Federal agency in response to its request in connection with the hiring or retention of an employee; the issuance of a security clearance; the reporting of an investigation of an employee; the letting of a contract; or the issuance of a license, grant, or other benefit by the requesting agency to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in a locked file cabinet.

Retrievability: By name.

Safeguards: Accessible only to the Personnel Officer. The area in which these records are stored is locked during non-working hours, and the building is guarded by uniformed security police.

Retention and disposal: Records are retained for two years then destroyed.

System manager(s) and address: Director, Personnel Management Division, U.S. Customs Service, Region I, 100 Summer Street, Boston, Massachusetts 02110.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information is obtained from the Employees Service Record Report.

Treasury/Customs 00.162

System name: Organization (Customs) and Automated Position Management System (COAPMS)—Treasury/Customs.

System location: Personnel Management Division, U.S. Customs Service, Washington, D.C. 20229.

Categories of individuals covered by the system: All Customs employees by organizational entity.

Categories of records in the system: Position control number and other personnel data such as social security number, date of birth, name, etc.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 522); (c) Used by managers and planners at all levels for position control purposes.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are stored on Mag-tape.

Retrievability: Records are indexed by organizational segment, code, position control number, and name.

Safeguards: Limited access.

Retention and disposal: Records are maintained on mag-tapes indefinitely or until employee separation.

System manager(s) and address: Director, Personnel Management Division, U.S. Customs Service, Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: COAPMS is composed of four basic inputs: CF-105-Position Change Form—presently prepared by the Headquarters Personnel Branch and operating offices, Post of Duty Codes—established by the Accounting Division, Ceilings—established by the Budget Division, CF-112—a Request for PPBS Code and Standard Abbreviation of Position.

In addition to these four sources, the IRS payroll tape has many inputs—1150, 1125, 50, 52, union dues, etc., and Accounting tape K from IRS.

Treasury/Customs 00.163

System name: Outside Employment Requests—Treasury/Customs.

System location: Located in the Personnel Management Division of each Region and Headquarters and/or appropriate District, Port, or post of duty office of employee making request.

Categories of individuals covered by the system: All employees engaged in outside employment.

Categories of records in the system: Outside employment request.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in the administration of the Freedom of Information Act (5 U.S.C. 552). (c) Used to ensure the Customs employees are not engaged in other employment that would create a conflict of interest with Customs Service or Treasury Department.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: CF-3031 kept in manila folder.

Retrievability: By employee name.

Safeguards: Locked file cabinet or limited access offices.

Retention and disposal: Until disengagement from outside employment or employee separation.

System manager(s) and address: Director, Personnel Management Division in each regional office and Headquarters and/or appropriate managerial official in each port or district of employee.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Employee submission of form CF-3031.

Treasury/Customs 00.164

System name: Overtime Earnings—Treasury/Customs.

System location: Office of the Regional Commissioner, Region IX, Inspection and Control Division, 55 East Monroe Street, Suite 1501, Chicago, Illinois 60603 and each District Director's office in Region IX.

Categories of individuals covered by the system: U.S. Customs inspectional and warehouse personnel engaged in performance of overtime and reimbursable work assignments in Region IX.

Categories of records in the system: Summary of total overtime earnings for individual employees participating in overtime assignments in Region IX.

Authority for maintenance of the system: Sec. 19 and 24 of the Occupational Safety and Health Act of 1970, 84 Stat. 1609, 1614, 29 U.S.C. 668, 673 and the provisions of Executive Order 11807.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552). (c) Review of overtime earnings to insure required equalization of overtime is maintained in each port in Region IX.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Kept in loose leaf binder in alphabetical listing by individual name and kept in the office of the Director, Inspection and Control Division, Region IX.

Retrievability: By individual name in alphabetical order.

Safeguards: Locked in the desk of Director, Inspection and Control Division and made available only to authorized U.S. Customs personnel.

Retention and disposal: Retained for two years then destroyed.

System manager(s) and address: Director, Inspection and Control Division, Region IX, 55 East Monroe, Suite 1501, Chicago, Illinois 60603 and respective District Directors.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Record is compiled from information supplied by employee on Customs Form 6082 (Work Ticket) that is completed for each overtime assignment worked.

Treasury/Customs 00.165

System name: Overtime Earnings—Treasury/Customs.

System location: Inspection and Control Division, San Francisco District, 555 Battery Street, Room 111, San Francisco, CA 94126.

Categories of individuals covered by the system: Inspection and Control employees participating in overtime assignments within the district of San Francisco.

Categories of records in the system: Name and the total current monetary earnings computed to the nearest dollar.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) To maintain records of extra compensation earnings on a yearly basis for each employee in each participating group, i.e., Customs Inspectors, Supervisory Customs Inspectors, Inspectional Aids, etc. (b) A report of the monetary earnings from each overtime participant is given to each station supervisor every two weeks. (c) The report is available to all employees.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information is kept on a visible chart board and the information on earnings is constantly changing.

Retrievability: The individual's name appears alphabetically according to their geographical location within the Port.

Safeguards: The room wherein the visible chart is kept, is locked at other than regular working hours.

Retention and disposal: The information on each employee constantly changes and is maintained as long as the employee is working in the inspection overtime system.

System manager(s) and address: Supervisory Customs Inspector, Station 1, U.S. Customs Service, 555 Battery Street, Room 111, San Francisco, California 94126.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information is obtained from actual overtime earnings made by each employee in the system.

Treasury/Customs 00.166

System name: Overtime Earnings Daily Log Book—Treasury/Customs.

System location: Chief Inspector, 1001 N. American Way, Miami, Fla., Port Director of Customs, Port Everglades, Fla., Port Director of Customs, West Palm Beach, Fla., Director Airport Operations, Miami International Airport.

Categories of individuals covered by the system: All participants in overtime.

Categories of records in the system: Monetary amount of overtime earned.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the

performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Supervisors and participants in overtime earnings; (d) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto. (e) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (f) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Record is kept in file folders at assignment offices listed under location.

Retrievability: Records are retrievable by name of participant.

Safeguards: Record is kept in non-public portions of listed offices.

Retention and disposal: Records are kept on a calendar year basis and are disposed of after computer printouts are received.

System manager(s) and address: District Director of Customs, 444 Brickell Ave., Miami, Fla. 33131.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information for this record is obtained from the overtime work tickets.

Treasury/Customs 00.167

System name: Overtime Earnings Record—Customs Warehouse Officers—Treasury/Customs.

System location: Office of the Supervisory Warehouse Officer, U.S. Customhouse, Room 103, 2nd & Chestnut Streets, Philadelphia, Pa. 19106.

Categories of individuals covered by the system: Customs Warehouse Officers under the supervision of the Philadelphia District who participate in 1911 Overtime earnings.

Categories of records in the system: Bi-monthly postings of participating employees' actual 1911 Overtime earnings.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each posting is made manually on a 5 inch by 8 inch index card which is filed in the Supervisory Warehouse Officer's desk.

Retrievability: Each card is identified by the name of the Warehouse Officer and his grade.

Safeguards: The records are kept in a closed desk drawer; during working hours, only the Supervisory Warehouse Officer has access to the desk. During non-working hours, the building is either locked or controlled by uniformed guards.

Retention and disposal: Overtime earnings records of Customs Warehouse Officers are kept for one year and then destroyed.

System manager(s) and address: District Director of Customs, U.S. Customhouse, 2nd & Chestnut Streets, Philadelphia, Pa. 19106.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained in these files originates from a bi-monthly data center print-out, number RCS-AA66-10, for the Port of Philadelphia.

Treasury/Customs 00.169

System name: Overtime Log—Treasury/Customs.

System location: U.S. Customs Service, Honolulu International Airport, Honolulu, Hawaii 96819.

Categories of individuals covered by the system: Employees of U.S. Customs Service participating in overtime under the Act of Feb. 13, 1911.

Categories of records in the system: Name, overtime rate, overtime amount earned by date, overtime earned on holiday or Sunday or during any other night, and running total of earnings.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) To keep a record of overtime earnings worked by each individual participant. (b) To keep a record of overtime earnings by different participating groups; i.e., Inspectors, Supervisory Customs Inspectors, Warehouse officers, etc. (c) Used to make a daily list of overtime participants by earnings.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information in this system is contained on loose leaf folder paper in a black binder. This binder is located adjacent to the documents examiner's desk.

Retrievability: Each loose leaf folder paper is identified by name and is filed according to current overtime earnings and participating groups.

Safeguards: The documents examiner's desk is located next to the manifest desk in the U.S. Customs Service office. The manifest desk is manned 24 hours a day, seven days a week by Customs personnel.

Retention and disposal: The files are kept in the binder for one calendar year then placed in a folder, boxed, sealed, labeled and placed in our locked storeroom. The files are destroyed after five years.

System manager(s) and address: District Director of Customs, U.S. Customs Service, Honolulu, Hawaii 96819.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Overtime records are compiled by Customs personnel responsible for accounting for overtime.

Treasury/Customs 00.170

System name: Overtime Reports—Treasury/Customs.

System location: U.S. Customs Service, Office of Investigations, Region V. RDI, 1440 Canal Street, New Orleans, La. 70112; SAC, 600 South Street, Room 444, New Orleans, La. 70130; SAC, P.O. Box 1704, Mobile, Ala. 36601; RA, 1719 West End Building, Rm. 303, Nashville, Tenn., 37203.

Categories of individuals covered by the system: All Special Agents in region certified to receive premium compensation.

Categories of records in the system: Numbers of hours worked by Special Agents over and above the normal 40 hour week.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the

Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosure required in the administration of the Freedom of Information Act (5 U.S.C. 552); (c) Record of number of unscheduled overtime hours worked by each Special Agent.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: These forms are maintained in file folders in a locked filing cabinet.

Retrievability: Files are kept in alphabetical order.

Safeguards: During non-working hours the rooms in which the above described containers are located are locked.

Retention and disposal: These files are maintained on Special Agents and are destroyed three years after agent leaves agency or office.

System manager(s) and address: Regional Director, Investigations, U.S. Customs Service, Office of Investigations, 1440 Canal Street, New Orleans, La. 70112; Special Agent in Charge, Office of Investigations, 600 South Street, Room 444, New Orleans, La. 70130; Special Agent in Charge, U.S. Customs Service, Office of Investigations, P.O. Box 1704, Mobile, Ala., 36601; Resident Agent, U.S. Customs Service, Office of Investigations, 1719 West End Building, Rm. 303, Nashville, Tenn., 37203.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained in these files originates with, and consists solely of information supplied by employees.

Treasury/Customs 00.171

System name: Parking Permit File—Treasury/Customs.

System location: Logistics Management Division, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, C.C. 20229.

Categories of individuals covered by the system: Individuals and members of car pools to whom vehicle parking permits have been issued.

Categories of records in the system: Name, home phone number, home address, organization, office telephone number, years of service, and make, year, license number and registration number of vehicle.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in the administration of the Freedom of Information Act (5 U.S.C. 552); (c) Used administratively by Customs Service Headquarters to evaluate applications and to assign and monitor available parking spaces. (d) Disclosure to the General Services Administration for that agency's official use.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information in this system is contained on GSA Forms 7415 (Parking Permit Applications) which consist of index card applications. The index card applications are placed within a file box which is located in a metal file container.

Retrievability: Each index card is identified by the name of the applicant, and the cards are filed alphabetically within the file box.

Safeguards: The card file box is placed within a metal cabinet which is located within an office that is locked during non-working hours. The building is guarded by uniformed security police, and only authorized persons are permitted in the building.

Retention and disposal: Active index cards are retained in this system indefinitely. However, the information in this sytem is reviewed every six months, and outdated index cards are discarded.

System manager(s) and address: Chief, Headquarters Support Branch, Logistics Management Division, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in this system originates with, and consists solely of, information supplied by the applicant(s) on GSA Form 7415 (Parking Permit Application).

Treasury/Customs 00.172.

System name: Parking Permits File (N.Y. Region)—Treasury/Customs.

System location: U.S. Customs Service, Assistant Regional Commissioner (Administration), 6 World Trade Center, New York, N.Y. 10048.

Categories of individuals covered by the system: Organizational units and persons holding parking permits.

Categories of records in the system: Name of person issued parking permit, and number of parking spot assigned.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosure required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) To keep track of permits issued and to identify the holder of the permit if violations occur or problems develop (i.e. car lights left on).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper files.

Retrievability: By name.

Safeguards: Office locked at night.

Retention and disposal: Retained until changed.

System manager(s) and address: Regional Commissioner of Customs, U.S. Customs Service, 6 World Trade Center, New York, N.Y. 10048.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information is compiled when parking permit is assigned.

Treasury/Customs 00.175

System name: Patrol Division Daily Activity Report—Treasury/Customs.

System location: Offices of the Patrol Division, Chicago District, Detroit District and Pembina District, Region IX, Chicago, Illinois. See Customs Appendix A.

Categories of individuals covered by the system: Individuals employed as Customs Patrol Officers.

Categories of records in the system: Internal Patrol Division folder maintained by Director, Patrol, by name to record work performance on a daily basis of each individual Patrol Officer. Also, individual record of scheduled overtime, sick or annual leave hours.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Used to maintain evaluation rating on employees of Patrol Division;

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Individual activity records are maintained in file folders stored in a locked metal file cabinet.

Retrievability: Each folder is filed alphabetically by an individual's name.

Safeguards: File folders are kept in a locked metal file cabinet which is located in an office that is locked during non-working hours.

Retention and disposal: Folders are maintained for the duration of an employee's service with the Patrol Division and then destroyed.

System manager(s) and address: Supervisory Customs Patrol Officers, Chicago District, Detroit District and Pembina District, Region IX, Chicago, Illinois. See Customs Appendix A.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained in this record system originates from reports of Customs Patrol Officers involving records of their daily patrol activities.

Treasury/Customs 00.180

System name: Payroll Record of Employees Not Covered By The Automated System—Treasury/Customs.

System location: Financial Management Division, U.S. Customs Service, Region I, 100 Summer Street, Boston, Massachusetts 02110.

Categories of individuals covered by the system: Records of various types of earnings and taxes withheld from employees who are not covered by the automated payroll system.

Categories of records in the system: Recorded detail of earnings and taxes.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (f) The system is used as a record for reporting and paying payroll taxes;

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Data is placed in a file folder maintained in an unlocked file cabinet located within the Payment Section work area.

Retrievability: Each file is identified by the name of the individual.

Safeguards: The file is located within an office that is locked during non-working hours. The building is guarded by uniformed security police and only authorized persons are permitted entry to the building.

Retention and disposal: The files are retained for two years on the premises and then sent to the Federal Records Center where they are retained for three years and then destroyed.

System manager(s) and address: Director, Financial Management Division, U.S. Customs Service, 100 Summer Street, Boston, Massachusetts 02110.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in the system originates from the individual's preparation of his travel voucher and compensation forms.

Treasury/Customs 00.182

System name: Penalty Case File—Treasury/Customs.

System location: Office of Regional Commissioner of Customs, 100 Summer Street, Boston, Massachusetts 02110.

Categories of individuals covered by the system: Individuals who have submitted supplemental petitions in relation to penalties, claims for liquidated damages or forfeitures of property which are being processed by the U.S. Customs Service, Region I and which have been referred to the Regional Commissioner of Customs, Region I.

Categories of records in the system: (a) Reports relative to the circumstances of an alleged violation (including any Customs forms or documents relating to the incident, agents' investigative reports, seizure reports); (b) Reports relative to an individual's economic interest in a penalty case; (c) Investigative reports relative to claims made in petitions for relief; (d) Recommendations made by other Government agencies that have an interest in the case.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter;

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in file folders.

Retrievability: Records are indexed by name of alleged violator.

Safeguards: Open case files maintained in file cabinets with access by Regional Counsel and his staff only; closed case files maintained in locked file cabinet with keys retained by Regional Counsel and his staff only.

Retention and disposal: Retained indefinitely or until there is no longer any space available within metal cabinets, at which time the oldest files are transferred to the Federal Records Center.

System manager(s) and address: Regional Commissioner of Customs, 100 Summer Street, Boston, Massachusetts 02110.

Notification procedure: See Customs Appendix A.

Contesting record procedures: See Exemption below.

Record source categories: The information contained in these files is received from U.S. Customs employees, reports of investigation, petitions for relief, other Government agencies with an interest in the case and members of the general public who have pertinent information.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a(j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.183

System name: Personal Search (Negative)—Treasury/ Customs.

System location: All ports, Region IX, Chicago, Illinois.

Categories of individuals covered by the system: All individuals subject to U.S. Customs inspection.

Categories of records in the system: Records contain date, time and place of search in addition to reason for and result of search, names of Customs officers conducting and authorizing search, name and address and date of birth of parties searched.

Authority for maintenance of the system: 19 U.S.C. 482; 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552);

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Files are located in Port Office.

Retrievability: Records kept in alphabetical order.

Safeguards: The cabinet described above is maintained within the area assigned in the Customs office. During non-working hours the room and/or building in which the cabinet is located is locked.

Retention and disposal: Files are retained in Port Office for five years after which they are destroyed.

System manager(s) and address: District Directors/Port Directors as applicable, in Region IX, Chicago, Illinois.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Form is prepared by Customs offices performing the personal search and is the sole source of information.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.184

System name: Personal Search N.Y. District (Negative results)—Treasury/Customs.

System location: District Director, U.S. Customhouse, 127 North Water Street, Ogdensburg, NY 13699.

Categories of individuals covered by the system: Any person who an inspector at any port or station in the district has deemed should be searched because of his appearance, associations, or other valid reason.

Categories of records in the system: Name, address, date of birth, social security number, place of birth, occupation, driver's license number, and other identification number.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Means of determining number of personal searches being performed (to see authority is not abused). Establishes a record in case of complaint; (b) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (c) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (d) In the event that this system of records includes information which indicates a violation or potential violation of law whether civil, criminal, or regulatory in nature and whether arising by general statute or particular program statute or by regulation, rule, or order issued pursuant thereto the relevant records in the system of records may be referred, as a routine use, to the appropriate agency whether Federal, state, local, or foreign charged with the responsibility of investigating or prosecuting the statute or rule, regulation, or order issued pursuant thereto; (e) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee; the issuance of a security clearance; the letting of a contract; or the issuance of a license, grant, or other benefit; (f) A record from this system of records may be disclosed to a Federal agency in response to its request in connection with the hiring or retention of an employee; the issuance of a security clearance; the reporting of an investigation of an employee; the letting of a contract; or the issuance of a license, grant, or other benefit by the requesting agency to the extent that the information is relevant and necessary to the requesting agency's decision on the matter;

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: In file jackets in steel file cabinet.

Retrievability: By name.

Safeguards: Available to the District Director and authorized personnel of his staff only.

Retention and disposal: Retained for five years then destroyed by burning or shredding.

System manager(s) and address: District Director, U.S. Customhouse, 127 North Water Street, Ogdensburg, N.Y. 13699.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: From inspectors throughout the district.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justifiication for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.185

System name: Personal Search—Negative (District Director—Mobile, Alabama)—Treasury/Customs.

System location: District Director of Customs, Room 218, International Trade Center, 250 North Water Street, Mobile, Alabama 36602.

Categories of individuals covered by the system: Individuals who have been subjected to search by Customs Officers in which no arrest or seizure is made.

Categories of records in the system: CF 151-Search/Arrest/Seizure Report: Used to record any occasion when a Customs Officer is involved or participates in a personal search, an arrest, or a seizure of merchandise or contraband articles. CF 5211-Record Log of Fines, Penalties and Forfeitures-Record, by case number, of all CF 151's issued; reflects name and address of person searched, name of searching officer, reason for search, and brief summary of the situation which resulted in the search.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552);

For additonal routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders.

Retrievability: Violator name and/or penalty case number.

Safeguards: In file cabinets in secure office area which is locked when not occupied, in building with 24-hour guard service.

Retention and disposal: Maintained with penalty case files for five years and after clearance by Security and Audit, shredded on site. CF 5211-(Record Group 217) Retained for 10 yrs. and 3 months and destroyed by shredding after clearance by Security and Audit.

System manager(s) and address: District Director of Customs, P.O. Box 2748, Mobile, Alabama 36601.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Individual involved. Arrest and violation records from Federal, state and local police. Customs Officers. TECS

Query and NCIC Query—These systems provide data by name, license numbers and other numerical identifiers on prior records of violations.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.186

System name: Personal Search—Negative (District Patrol—New Orleans, La. and Mobile, Al.)—Treasury/Customs.

System location: Office of the Director, District Patrol, 600 South Street, New Orleans, Louisiana 70130; Director, District Patrol Division, Room 213, International Trade Center, 250 International Trade Center, 250 N. Water Street, Mobile, Al. 36602.

Categories of individuals covered by the system: Individuals indicating unlawful or suspicious activity that might result in a Customs violation.

Categories of records in the system: Name, address, phone number, place of business, physical description, associates, vessel, automobile, or aircraft identified with, make, year, license number and registration of vehicles, area of activity, method of operation and other relevant and necessary information on individuals suspected of activity contrary to law.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statue or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employeee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter;

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records maintained in standard Customs file folders in locked metal cabinets.

Retrievability: System indexed by identifying file number and manually retrieved.

Safeguards: File maintained in locked metal file cabinet, the keys of which are controlled by the custodian of the files. Those departmental officials who may occasionally be granted access, consistant with their positions, have been cleared by a full field background investigation and granted appropriate security clearance for critical sensitive positions. During non-working hours, the room housing the metal cabinets is locked.

Retention and disposal: Negative Search Reports are destroyed after a five year period. Method of disposal is shredding.

System manager(s) and address: Director, District Patrol Division, 600 South Street, New Orleans, Louisiana 70130.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in this sytem originates with, and consists soely of, information supplied by the individual being searched and the patrol officer doing the search.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.187

System name: Personal Search File—Treasury/Customs.

System location: U.S. Customs Service, Honolulu International Airport, Honolulu, Hawaii 96819.

Categories of individuals covered by the system: Passengers, arriving from foreign countries, the Customs Service found necessary to search.

Categories of records in the system: Name, address, date, time, date of bith, region, port, race, sex, carrier, (airline and flight number), description, passport number, birth place, social security number, marital status, citizenship, reasons for search, searching officer, witness, result of search, search authorized by, and extent or type of search.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system are as follows: (a) All three forms used in this system are kept so as to have a clear and concise record of all searches conducted by the U.S. Customs Service; (b) The files are used to answer all inquiries into any aspect of any personal searches conducted at this station; (c) The current CF 151 file is used as the primary input document for the Customs Law Enforcement Activity Reporting (CLEAR) system which provides, in turn, accurate and timely statistical reporting; (d) Disclosure to officers and employees of the Customs Service and the Department of the Treasury who have a need for records in the performance of their duties; (e) Disclosure required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information in this system is contained on Region Form VIII-RC-51-BNF, CF 43, CF 151. These forms are found in binders on the reference shelves in the Customs office.

Retrievability: Each form is identified by name and is filed alphabetically. The files are further broken down by form number and calendar year.

Safeguards: The files are located in the Customs office. This office is manned 24 hours a day, 7 days a week by Customs personnel.

Retention and disposal: Active file forms are kept for five years. After five years the files are destroyed.

System manager(s) and address: District Director of Customs, U.S. Customs Service, Honolulu, Hawaii 96819.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained in these files originates from personal searches conducted on passengers arriving from foreign destinations.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in Federal Register.

Treasury/Customs 00.188

System name: Personal Search Report—Treasury/Customs.

System location: Ports of enry, —Nogales, Arizona District.

Categories of individuals covered by the system: Persons on whom personal searches are performed at the various ports of entry.

Categories of records in the system: Customs Form 151 containing personal information on individual searched and reasons for search.

Authority for maintenance of the system: 19 U.S.C. 1467, 5 U.S.C. 301; Treasury Department, Order No. 165 Revised as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Retained as record to answer inquires into any aspects of personal searches conducted by the Customs Service. Disclosed to Treasury Department Personnel as necessary for performance of duties. Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each CF 151 covering personal searches is maintained in alphabetical order at the various ports of entry.

Retrievability: By name of person searched.

Safeguards: Records are retained in file cabinets (lockable) and buildings are locked during other than office hours.

Retention and disposal: Records are retained for 5 years and destroyed by burning.

System manager(s) and address: Port Directors at the various ports of entry in the Nogales, Arizona District.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information contained herein originates with the Inspector and contains personal information obtained from the individual searched and reasons for performing the search.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.189

System name: Personnel Action Records System—Treasury/Customs.

System location: Office of the Regional Counsel, U.S. Customs Service, Region IX, 55 E. Monroe Street, Room 1417, Chicago, Illinois 60603.

Categories of individuals covered by the system: Persons employed by the Treasury Department, Customs Service who either initiate a grievance, discrimination complaint, an unfair labor practice complaint against the U.S. Customs Service and officer or employee therof, or have a disciplinary or other adverse action initiated against him or her by the U.S. Customs Serivce in Region IX.

Categories of records in the system: The Personnel Action Records System contains information regarding employment history, i.e. basic personnel data, age, birth, marital status, address, phone number, general health, details of the incident leading to the grievance, discrimination complaint, disciplinary action, adverse action, or unfair labor practice complaint.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in the Personnel Action Records System of the United States Customs Service are as follows: (a) Disclosure to those officers and employees of the Customs Service, the Department of the Treasury, the Civil Service Commission, and the Department of Justice who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (f) Information contained in these files is routinely used by the attorneys in the Regional Counsel's office in determining and resolving legal issues related to the personnel action involved, in preparing for, and representing management in, administrative hearings and administrative appeals, and providing information and assistance to U.S. Attorneys and the Department of Justice in litigation. Potential litigation or litigation will require disclosure of the information to the Department of Justice, and this information is often channeled through the Department of the Treasury.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in a file folder which is filed in a lockable drawer within a metal filing cabinet container.

Retrievability: Each case file is identified in a manual alphabetical card file by the name of the person who initiated the action against the Customs Service, or against whom it was initiated. In addition, each case file is similarly identified on the file folder with the alphabetical name on the file which is placed and located within the metal container.

Safeguards: The metal filing drawer containing the files described above is maintained within the area assigned to the office of the Regional Counsel, Region IX at 55 E. Monroe Street, Chicago, Illinois. During non-working hours, the room in which the metal filing drawer is located is locked, the file container itself is locked, and access to the building is controlled at all times by uniformed guards with a check-in system for employees. Only employees of the Regional Counsel's office and authorized building personnel have keys to the building.

Retention and disposal: These files are retained indefinitely or until there is no longer space available for them within the metal filing cabinet at which time the oldest files are transferred to the storage area within the confines of the office. The storage area is a large area containing cardboard boxes and metal storage cabinets which are not able to be locked. Files which are closed and not retained for precedent purposes are retired to the Federal Records Center, General Service Administration, 7358 S. Pulaski, Chicago, Illinois 60602 in accordance with the U.S. Customs Service Record Disposal Manual.

System manager(s) and address: Regional Counsel of Customs, Room 1417, United States Customs Service, 55 E. Monroe Street, Chicago, Illinois 60603.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in these files originates with the initiation of any personnel action either by or against an employee. The information submitted by the employee is in the file as will be the information submitted by the employer if the action is initiated by the employer. Further information in this file is derived from both employee and employer information of an investigation made by the Office of Internal Affairs, investigation reports by the Office of Investigations, memoranda from the Civil Service Commission and possibly other agencies having employed the individual. Information could also be obtained form Customs employees, former Customs employees, private parties, corporations, confidential informers, credit bureaus, foreign countries or officials thereof, foreign citizens and any other source with information pertinent to the Personnel Action.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.190

System name: Personnel Case File—Treasury/Customs.

System location: Office of the Chief Counsel, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Categories of individuals covered by the system: Current or former Customs Service employees against whom disciplinary action has been proposed or taken, who have filed grievances, and who have

filed complaints under the Equal Opportunity (EO) Program, in most cases where administrative proceedings have been instituted.

Categories of records in the system: Reports of investigation into alleged employee misconduct, internal Customs Service memoranda recommending displinary action, documents relating to the institution or conduct of disciplinary proceedings, documents relating to the filing and administrative disposition of formal and informal grievances and documents relating to the filing and administrative disposition of EO complaints.

Authority for maintenance of the system: Title 5, United States Code; Title 5, Code of Federal Regulations; 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. Such duties may include evaluating proposed disciplinary actions, grievances and EO complaints, recommending action to be taken in such cases, preparing necessary decisional documents in such cases, preparing proposed charges where appropriate, preparing for administrative hearings held in regard to these matters and making the records a part of the record at such hearings; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Disclosure to the Civil Service Commission in connection with administrative hearings and to the Department of Justice in connection with court proceedings resulting from appeals from decisions rendered at the administrative level. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted alphabetically in an unlocked drawer within a metal container.

Retrievability: Each case file is identified in a manual alphabetical card file by the name of the person, and each case file is similarly identified in alphabetical order within the metal container.

Safeguards: The metal container described above is maintained within the area assigned to the Office of the Chief Counsel within the Customs Service Building. During nonworking hours, the room in which the metal container is located is locked, and access to the building is controlled at all times by uniformed guards.

Retention and disposal: These files are retained indefinitely or until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Record Center.

System manager(s) and address: Chief Counsel, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in these files results from investigations into alleged misconduct on the part of Customs Service employees, recommendations from appropriate Customs Service field personnel that disciplinary proceedings be instituted against Customs Service employees, the filing of grievances by Customs Service employees, the filing of EO complaints by Customs Service employees, the statements of Customs Service employees including the employees who are directly affected by the administrative proceedings, and statements or other information provided by private nongovernmental individuals.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.191

System name: Personnel Case File—Treasury/Customs.

System location: Regional Counsel of Customs, 6 World Trade Center, New York, N.Y 10048.

Categories of individuals covered by the system: Current or former Customs Service employees against whom disciplinary action has been proposed or taken, who have filed grievances, and who have filed complaints under the Equal Opportunity (EO) Program, in most cases where administrative proceedings have been instituted.

Categories of records in the system: Reports of investigation into alleged employee misconduct, internal Customs Service memoranda recommending disciplinary action, documents relating to the institution or conduct of disciplinary proceedings, documents relating to the filing and administrative disposition of formal and informal grievances and documents relating to the filing and administrative disposition of EO complaints.

Authority for mainfenance of the system: Title 5, United States Code; Title 5, Code of Federal Regulations; 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) The information contained in this file is used administratively to evaluate proposed disciplinary actions, grievances and EO complaints, to recommend action to be taken in such cases, to prepare necessary decisional documents in such cases, and to prepare proposed charges where appropriate. The information contained in this file is used to prepare for administrative hearings held in regard to these matters and is routinely made a part of the record at such hearings. Information contained in this file is also made available to the Civil Service Commission in connection with such hearings and may be made available to the Department of Justice in connection with court proceedings resulting from appeals from decisions rendered at the administrative level; (b) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (c) Disclosures required in administration of the Freedom of Inforamtion Act (5 U.S.C. 552);

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted alphabetically in an unlocked drawer within a metal container.

Retrievability: Each case file is identified in a manual alphabetical card file by the name of the person, and each case file is similarly identified in alphabetical order within the metal container.

Safeguards: The metal container described above is maintained within the area assigned to the Office of the Chief Counsel within the Customs Service Building. During non-working hours, the room in which the metal container is located is locked, and access to the building is controlled at all times by uniformed guards.

Retention and disposal: These files are retained indefinitely or until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Records Center.

System manager(s) and address: Regional Counsel of Customs, New York, N.Y. 10048.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in these files results from investigations into alleged misconduct on the part of Customs Service employees, recommendations from appropriate Customs Service field personnel that disciplinary proceedings be instituted against Customs Service employees, the filing of grievances by Customs Service employees, the filing of EO complaints by Customs Service employees, the statements of Customs Service employees including the employees who are directly affected by the administrative proceedings, and statements or other information provided by private non-governmental individuals.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.192

System name: Personnel Case Files (Region VIII)—Treasury/Customs.

System location: Office of the Regional Counsel, 211 Main Street, San Francisco, Calif. 94105.

Categories of individuals covered by the system: Current or former Customs Service employees against whom disciplinary action has been proposed or taken, who have filed grievances, and who have filed complaints under the Equal Opportunity (EO) Program, in most cases where administrative proceedings have been instituted.

Categories of records in the system: Reports of investigation into alleged employee misconduct, internal Customs Service memoranda recommending disciplinary action, documents relating to the institution or conduct of disciplinary proceedings, documents relating to the filing and administrative disposition of formal and informal grievances and documents relating to the filing and administrative disposition of EO complaints.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended; Reorganization Plan No. 1 of 1965. Title 5, United States Code; Title 5, Code of Federal Regulations.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) The information contained in this file is used administratively to evaluate proposed disciplinary actions, grievances and EO complaints, to recommend action to be taken in such cases, and to prepare necessary decisional documents in such cases, and to prepare proposed charges where appropriate. The information contained in this file is used to prepare for administrative hearings held in regard to these matters and is routinely made a part of the record at such hearings. Information contained in this file is also made available to the Civil Service Commission in connection with such hearings and may be made available to the Department of Justice in connection with court proceedings resulting from appeals from decisions rendered at the administrative level.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted alphabetically in an unlocked drawer within a metal file cabinet.

Retrievability: Each case file is identified in a manual alphabetical card file by the name of the person, and each case file is similarly identified in alphabetical order within the metal file cabinet.

Safeguards: The metal file cabinet described above is maintained within the area assigned to the Office of the Regional Counsel within the Federal Building. During non-working hours, the metal file cabinet and the room in which the metal file cabinet is located are locked, and access to the building is controlled at all times by uniformed guards.

Retention and disposal: These files are retained indefinitely or until there is no longer any space available for them within the metal file cabinet, at which time the oldest files may be transferred to the Federal Records Center.

System manager(s) and address: Regional Counsel, 211 Main Street, San Francisco, Calif. 94105.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in these files results from investigations into alleged misconduct on the part of Customs Service employees, recommendations from appropriate Customs Service field personnel that disciplinary proceedings be instituted against Customs Service employees, the filing of grievances by Customs Service employees, the filing of EO complaints by Customs Service employees, the statements of Customs Service employees including the employees who are directly affected by the administrative proceedings, and statements or other information provided by private non-governmental individuals.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.193

System name: Operating Personnel Folder Files—Treasury/Customs.

System location: Files are located in Headquarters, Regional, District, Port and other post of duty offices throughout the Customs Service depending upon post of duty of employee. See Customs Appendix A.

Categories of individuals covered by the system: Customs employees, present.

Categories of records in the system: In addition to the appropriate Personnel offices, records are also maintained by district, port, or other post of duty management on personnel matters such as, but not limited to name, Social Security number, awards, letters of appreciation, promotions, step increases, memoranda, forms and materials related to hiring, address, pay, transfer and separation, service time, salary, phone, education, society memberships, publications, skills, chronological work history, position descriptions, reports of discussions held with employee regarding performance, copies of letters written to employee concerning performance, overtime hours, senority status, leave, overtime earnings, productivity, locator card information, and related employment records.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552);(c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regultion, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (f) For supervisors and appropriate managers in making job-related determinations about employees(g) For employment and salary verification upon request from public.

For additonal routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in file folders, and/or on index cards, and/or ledgers.

Retrievability: Records are indexed by name, or social security number.

Safeguards: Records are located in lockable metal file cabinets or in secured rooms with limited access.

Retention and disposal: When the employee leaves the Customs Service through transfer or other separation, the file will immediately be forwarded to the office maintaining the Official Personnel Folder. There it will be screened to insure that it contains no documents that should be permanently filed in the Official Personnel Folder other than exact duplicates of papers already so filed. The file and its contents will then be destroyed.

System manager(s) and address: Director, Personnel Management Division in each Region or Headquarters, or managerial official in appropriate posts of duty of employee. See Customs Appendix A.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information in this system of records comes from employee, from personnel actions as noted in official personnel folders, and from supervisor.

Treasury/Customs 00.194

System name: Personnel/Payroll System—Treasury/Customs.

System location: Located in Personnel and Financial Management Divisions of each region and headquarters. Computerized through a Servicing Data Processing Center. See Customs Appendix A.

Categories of individuals covered by the system: All Customs employees, present and former.

Categories of records in the system: These records contain Personnel data maintained primarily in the Official Personnel Folder and Payroll information such as name, social security number, grade, series, step, organizational codes, tax withholding information, bond purchase and issuance, emergency salaries, overtime and holiday pay, optional payroll deductions, other deductions, and all payroll information. Also in this system are records of time and attendance and leave.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision conerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (f) Records are used for payroll processing, reports on payroll information such as Employee Service Record report (IRS Form 3695), Comprehensive Payroll listing (IRS Form 2979), Payroll Journal Detail Listing (IRS Form 3124) and others.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in file folders and on mag-tape and computer printout.

Retrievability: Records are indexed by name or social security number.

Safeguards: Records are maintained in locked files, secured rooms, or limited access.

Retention and disposal: Individual records are not in system after separation; Official Personnel Records of separated employees either are sent to new agency or to Records Center. Time and attendance records are maintained three years or until after audit; then destroyed.

System manager(s) and address: Directors, Personnel and Financial Management Division in each region and headquarters.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information is obtained from Official Personnel Folders employee management, Time and attendance and leave records.

Treasury/Customs 00.196

System name: Preclearance Costs—Treasury/Customs.

System location: Financial Management Division, U.S. Customs Service, Region 1, 100 Summer Street, Boston, Massachusetts 02110.

Categories of individuals covered by the system: All Customs Inspectors and Foreign Service employees of Region I, Boston who are stationed at Toronto and Montreal Canada.

Categories of records in the system: Salaries, living allowances and benefits paid to employees who are stationed at Toronto and Montreal, Canada.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (f) The system is used by the Budget Section of the Financial Management Office to accumulate costs which are used for the preparation of quarterly reporting to Headquarters. These costs are used by Headquarters as the basis of assessment of charges to airline carriers for the use of Customs facilities outside of the United States.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Data is stored in a file folder within two metal file cabinets in the work area of the Budget Section.

Retrievability: The file is identified as the "Pre-clearance Record" which contains the names of all employees listed in alphabetical order with corresponding costs associated with each employee.

Safeguards: The file is located within an office that is locked during non-working hours. The building is guarded by uniformed security police and only authorized persons are permitted entry to the building.

Retention and disposal: The files are kept for 3 years and then destroyed.

System manager(s) and address: Director, Financial Management Division, U.S. Customs Service, 100 Summer Street, Boston, Massachusetts 02110.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained in the system is obtained from the Bi-weekly Comprehensive Payroll Listing, Treasury Form 2979, supplied by the Payroll Data Center.

Treasury/Customs 00.197

System name: Private Aircraft/Vessel Inspection Reporting System—Treasury/Customs.

System location: Office of Enforcement Support, U.S. Customs Service, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Categories of individuals covered by the system: Names of pilots and vessel masters arriving in the United States.

Categories of records in the system: Names and personal identifiers of pilots, vessel masters, and owners of vessels with appropriate registration and/or documentation numbers and characteristics, and arrival dates at port of entry.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Used by Customs Officers for documenting the arrival of aircraft or vessels from foreign countries and in the enforcing of Customs and related laws; (b) Used for Customs management functions such as measuring workload of Customs officers performing aircraft or vessel inspections; (c) Used as an intelligence gathering source; (d) Used by Department of Justice in prosecuting court cases; (e) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (f) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic disc and tape storage; microfiche.

Retrievability: By individual name, private aircraft/vessel registration number; vessel name.

Safeguards: All inquiries are made by officers with full field background investigation on a "need to know" basis only. Procedural and physical safeguards are utilized such as accountability and receipt records, guards patrolling the area, restricted access and alarm protection systems, special communications security, etc.

Retention and disposal: Indefinite, then destroyed. (Minimum of five years for aircraft arrival reports.)

System manager(s) and address: Assistant Commissioner, Office of Enforcement Support, U.S. Customs Service, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Proposed Customs Form 178 (Private Aircraft Inspection Report) which will be prepared by Customs officers, unnumbered forms prepared by vessel masters or owners who report their arrival to a United States port of entry, and other Federal agencies.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a(k)(z) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.199

System name: Property Assigned to Employee—Treasury/Customs.

System location: District Director's Office, Entry Control Section, U.S. Customs Service, 228 Federal Bldg., 335 Merchant Street, Honolulu, Hawaii 96813.

Categories of individuals covered by the system: Customs employees.

Categories of records in the system: Individual assignment of Customs badges, listing name, Social Security number and duty phone. Signed by Custodian and Property Officer.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Local Property Officer to determine individual badge assignments.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Kept in alphabetical order in an unlocked filing cabinet in the Entry Control Section.

Retrievability: By name.

Safeguards: The office where the records are located is locked during non-working hours and access to the building is controlled at all times by uniformed guards.

Retention and disposal: The records are maintained for the duration of the property assignment. Outdated records are destroyed after 3 years.

System manager(s) and address: Local Property Officer, Room 228, federal Building, Entry Control Section. See location above.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information is provided by the property officer and the person to whom the property is assigned.

Treasury/Customs 00.200

System name: Property File—Treasury/Customs.

System location: Logistics Management Division, Region IV, U.S. Customs Service, 99 S.E. 5th Street, Miami, Florida 33131.

Categories of individuals covered by the system: Any Customs employee who is designated as the official custodian of a serially numbered badge, weapon, or transportation request forms.

Categories of records in the system: Name, geographical location and number of item assigned.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Used administratively by Customs Service employees to record names of individuals designated as custodians of accountable property.

For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information in this system is stored, in printout form, in files organized by District. The file folders are stored in an unlocked drawer in a metal file cabinet.

Retrievability: Each folder is filed by District.

Safeguards: The files are stored in a metal cabinet located within an office that is locked during non-working hours.

Retention and disposal: Records have been retained since the inception of the system, three years. No policy exists for disposal.

System manager(s) and address: Director, Logistics Management Division, U.S. Customs Service, Region IV, 99 S.E. 5th Street, Miami, Florida 33131.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The source document for this record is the Customs form 35.

Treasury/Customs 00.201

System name: Property File—Non-Expendable-Treasury/Customs.

System location: Offices of District Directors, Region IX, Chicago, Illinois (see Customs Appendix A).

Categories of individuals covered by the system: Employees issued non-expendable property.

Categories of records in the system: Receipts for badges, cap insignias, bonded warehouse keys, identification cards, Government driver's licenses, firearms and other non-expendable property.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Locked file cabinet in the offices of the District Directors in Region IX, Chicago, Illinois.

Retrievability: Folders identified by individual's name.

Safeguards: The file described is maintained in the offices of the District Directors in Region IX, Chicago, Illinois. During non-working hours the room/building in which the file is located is locked. Access limited to authorized Customs personnel.

Retention and disposal: Until employee separates/transfers. Transfer to National Personnel Records Center (NPRC), (CPR), St. Louis, Missouri, 30 days after employee is separated.

System manager(s) and address: District Directors in Region IX, Chicago, Illinois.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in this system consists of receipts of employees receiving non-expendable property.

Treasury/Customs 00.203

System name: Receipt for Property Assigned—Treasury/Customs.

System location: Federal Building, Room 198, 511 N.W. Broadway, Portland, Oregon 97209.

Categories of individuals covered by the system: Employees of Portland District to whom property has been assigned.

Categories of records in the system: Name, address, Social Security number, and listing of articles assigned.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To make accountable those employees to whom property has been assigned.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in wooden index card file on desk of property clerk.

Retrievability: Cards are filed alphabetically by name of individual.

Safeguards: The office and building are locked during non-working hours.

Retention and disposal: Retained 2 years after transfer or separation, then destroyed.

System manager(s) and address: District Director, Federal Building, Room 198, 511 N.W. Broadway, Portland, Oregon 97209.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Cards filled out by property clerk when property is issued.

Treasury/Customs 00.204

System name: Receipt for Property Assignment—Treasury/Customs.

System location: Office of District Director, Administrative Officer, U.S. Customs Service, 555 Battery Street, Room 318, San Francisco, California 94126.

Categories of individuals covered by the system: Employees of Customs to whom Government property is issued—such as badges, cap insignia, guns, etc.

Categories of records in the system: Name, home address, District number, Port, social security number, signature of person receiving property, phone number, date.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. (b) Used administratively to account and control Government property issued to Customs employees.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information in this system is contained on Customs Form 35. These forms are kept in a metal Index File Box.

Retrievability: Each receipt is filed by the name of the employee to which property has been assigned.

Safeguards: The metal Index File Box is stored in an office under constant supervision during regular working hours, and the office is locked during other than regular working hours.

Retention and disposal: These records forms are retained until the property is surrendered and/or the employee leaves Customs.

System manager(s) and address: Administrative Officer, U.S. Customs Service, 555 Battery Street, Room 318, San Francisco, California 94126.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in this system originates with and consists of information developed when property is given to employees.

Treasury/Customs 00.206

System name: Regulatory Audits of Customhouse Brokers—Treasury/Customs.

System location: Division of Regulatory Audit, United States Customs Service Headquarters, 1301 Constitution Avenue, NW., Washington, D.C. 20229, and at each of the nine regional offices of Regulatory Audit (see Customs Appendix A for addresses).

Categories of individuals covered by the system: Persons licensed to conduct customhouse brokerage business pursuant to 19 U.S.C. 1641.

Categories of records in the system: Audit reports of customhouse broker accounts and records; correspondence regarding such reports; Congressional inquiries concerning customhouse broker and disposition made of such inquiries; names of officers of customhouse brokerage firms, license numbers and dates issued and district covered.

Authority for maintenance of the system: 19 U.S.C. 1641; 19 CFR Part III. 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in the administration of the Freedom of Information Act (5 U.S.C. 552). (c) The information may be furnished to other government agencies which may have an interest in the broker. (d) Copies of broker audit reports will be retained in the office that performed the audit and at the headquarter's office. These reports will serve as a permanent file providing such essential information as the names and broker license number of company officers and other licensed employees. The reports will create a historical record of broker performance. The headquarter's file will also provide a means of evaluating the effectiveness of audits conducted by the regional audit staffs.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each customhouse broker file is inserted in alphabetical order by name of the firm in one of nine regional file folders which are filed in an unlocked drawer within a metal file cabinet. Duplicate copies are maintained at Customs Service Headquarters.

Retrievability: Each broker file is identified on alphabetical file locator cards which contain the name and address of the broker, the region and district in which he operates, and the number of the file drawer. In addition each regional office maintains their own alphabetical file, containing the above information, for broker activities within their region.

Safeguards: The files described above are maintained within the area assigned to the Regulatory Audit Division within the Customs Service Building. During non-working hours, the room in which the files are located is locked, and access to the building is controlled at all times by uniformed guards.

Retention and disposal: Customhouse broker files are retained indefinitely, or until there is no longer any space available for them at which time the oldest files are destroyed by burning or shredding.

System manager(s) and address: Director, Regulatory Audit Division, United States Customs Service Headquarters, 1301 Constitution Avenue, NW., Washington, D.C. 20229. Regional Director, Regulatory Audit Division at each of the nine regional offices (see Customs Appendix A for addresses).

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in these files originates from audits conducted by the regional Regulatory Audit staff, of customhouse brokers' businesses. The audits may be supplemented with information furnished by the Office of the Chief Counsel, Office of Investigations, and the Office of Regulations and Rulings. These audits include examinations of brokers business records, including all financial books of accounts, check books, and financial statements. In the event that the broker is engaged in various business enterprises such as freight forwarding and insurance, and these financial records are commingled with those financial records relating to the brokerage business, it would be necessary to examine the other business records to complete the audit.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.207

System name: Reimbursable Assignment System—Treasury/Customs.

System location: U.S. Customs Service Data Center, William Building, 7923 Eastern Avenue, Silver Spring, Maryland 20910.

Categories of individuals covered by the system: Customs Service employees who perform reimbursable services and parties in interest for whom reimbursable services are performed.

Categories of records in the system: Name, address, social security number or importer of record number assigned by the Customs Service, listings of reimbursable overtime assignments of Customs

employees, bills and refund checks issued to parties in interest, travel expenses incurred by Customs employees in connection with the reimbursable services.

Authority for maintenance of the system: 19 U.S.C. 261, 267, and 1451; 19 CFR 24.16 and 24.17; 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties which may include monitoring reimbursable assignments, editing items of information that have been rejected because of erroneous coding, and equalizing Customs overtime assignments at ports of entry. (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552). (c) Disclosure to the Bureau of the Mint at San Francisco, California, for the purpose of including overtime earnings in salary checks issued to Customs Service employees who performed reimbursable services.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information in this system is contained in a computerized system utilizing magnetic tape storage techniques.

Retrievability: The information in this system is retrieved by the individual's social security number or by the individual's importer of record number.

Safeguards: Procedural and physical safeguards are utilized such as accountability and receipt access, guards patrolling the area, restricted access and alarm systems.

Retention and disposal: The records in this system are retained for an indefinite period of time. There are no established procedures for disposal of the subject records.

System manager(s) and address: Director, Accounting Division, U.S. Customs Service Headquarters, 1301 Constitution Ave, N.W., Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in this system originates with the receipt of a request, for reimbursable services from the party in interest. In addition, information in this system is derived from Customs Form 5106 (Notification of Importer's Number/Application for Importer's Number) which is filed with the Customs Service by the importer, and from Customs Form 6082 (Work Ticket) which is filed by the Customs Inspector who performed the reimbursable services.

Treasury/Customs 00.208

System name: Restoration of Forfeited Annual Leave Cases—Treasury/Customs.

System location: Located in the Personnel Management Division of each region and Headquarters according to list in CustomsAppendix A.

Categories of individuals covered by the system: Employees of the U.S. Customs Service who have applied for restoration of forfeited annual leave.

Categories of records in the system: Employee applications for restoration of leave. Management decisions on employee applications for restoration of leave. Applicable regulations.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto. (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit. (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter. (f) Certain employees of the Personnel Management Division and supervisors. Justification of action, respond to inquiries, post-audit of action, statistics, reports to Treasury and Civil Service Commission.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are stored in manila folders.

Retrievability: Records are indexed by name.

Safeguards: Records are maintained in locked files.

Retention and disposal: Records are retained idefinitely or until Treasury issues instructions.

System manager(s) and address: Director, Personnel Management Division, in each region and Headquarters.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Evidential materials supporting employee applications for restoration of forfeited annual leave. Evidential materials supporting management decisions.

Treasury/Customs 00.209

System name: Resumes of Professional Artists—Treasury/Customs.

System location: Area Director, N.Y. Seaport Area, 6 World Trade Center, New York, N.Y. 10048.

Categories of individuals covered by the system: Professional Artists.

Categories of records in the system: Artist's name and professional art background.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) To classify imported works of art.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper.

Retrievability: Alphabetical listing.

Safeguards: Filing cabinet, office locked at end of day.

Retention and disposal: Permanent.

System manager(s) and address: Area Director N.Y. Seaport Area, 6 World Trade Center, New York, N.Y. 10048.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Resume information provided by artist.

Treasury/Customs 00.210

System name: Revocation of I.D. Privileges and "Cash Basis Only" for Reimbursable Services List—Treasury/Customs.

System location: Financial Management Division—Districts and Ports, 99 S.E. 5th Street, Miami, Florida 33131.

Categories of individuals covered by the system: Corporate and Individual Brokers, Importers, Carriers, and Private Individuals.

Categories of records in the system: Corporations and individuals in financial difficulty.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552). (c) This is a monthly list originated in the Region informing all Districts and Ports of debtors for which no credit may be extended.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Files are maintained in an unlocked drawer within a metal file cabinet.

Retrievability: Alpha File in Manila Folder by Month.

Safeguards: The metal container described above is maintained within the area assigned to the Financial Management Division within the Customs Service Building. During non-working hours the room in which the metal container is located is locked.

Retention and disposal: These files are retained as prescribed by GAD Regulations or until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Records Center.

System manager(s) and address: Director of Financial Management, 99 S.E. 5th Street, Miami, Florida 33131.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Generated in house by Accounting Personnel from delinquent bill listings and open file of outstanding bills. Additionally, feedback from Districts and Ports on bankrupt firms and from Regulatory Audit Division on firms in Financial difficulty or under investigation.

Treasury/Customs 00.211

System name: Sanction List Treasury/Customs.

System location: Regional Commissioner of Customs, Suite 1501, 55 East Monroe Street, Chicago, Illinois 60603.

Categories of individuals covered by the system: Persons who are indebted to the United States Government for bills that are unpaid and past due.

Categories of records in the system: Listing is issued monthly showing individual's name and address plus number and amount of unpaid and past due bills.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each sanction list is stored in a file drawer in an unlocked file cabinet.

Retrievability: Each sanction list is identified by month and year of issuance.

Safeguards: The file cabinet described above is maintained within the area assigned in the Customs Office. During non-working hours the room and/or building in which the file cabinet is located is locked.

Retention and disposal: Retained in Customs Office for minimum of one year. Disposal in accordance with Records Control Manual.

System manager(s) and address: Regional Commissioner of Customs, Suite 1501, 55 East Monroe Street, Chicago, Illinois 60603.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in this listing is secured from CF 6084 and 6084A, Miscellaneous Bill Form issued to each individual and correspondence files maintained for individuals.

Treasury/Customs 00.212

System name: Search/Arrest/Seizure Report—Treasury/Customs.

System location: Patrol Division Offices of the U.S. Customs Service. See Customs Appendix A.

Categories of individuals covered by the system: Persons who have or may have violated a law of the United States.

Categories of records in the system: Name, alias, date of birth, age, personal data, addresses, home and business telephone numbers, occupation, background information, associations, license number and registration number of vehicle, vessel and/or aircraft, mode of entry of individual or contraband, fingerprints, pictures, declaration forms, cash receipts, receipt for seized goods, all other forms pertinent to the case, such as Notice to Master, etc.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their official duties; (b) Disclosure required in the administration of the Freedom of Information Act (5 U.S.C. 552); (c) Used as an aid to law enforcement and to identify persons suspected of violating the law; (d) Cases are referred to Investigative Branch since same are evident of violations of law; (e) Since this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case is placed in a folder which is filed in a numerical order according to the assigned case file number. These files are kept in a locked metal cabinet.

Retrievability: The above-mentioned case file numbers are cross-indexed by name to such numbers, and cards are filed alphabetically within a metal file box.

Safeguards: The metal file cabinet and the metal file box are located within an office that is locked during non-working hours. The building is guarded by uniformed customs guards, and only authorized persons are permitted in the building.

Retention and disposal: These cases are retained for a period of three years after which they are destroyed together with related index cards.

System manager(s) and address: Director, District Patrol Division. See Customs Appendix A.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.214

System name: Seizure File—Treasury/Customs.

System location: Patrol Division, U.S. Customs Service, 6 World Trade Center, New York, N.Y. 10048.

Categories of individuals covered by the system: Ships Masters, Ships Crew Members, Longshoremen, Vessels, Private Aircraft, Private Vessels, Individuals from whom seizures have been made, or upon whom Memoranda of information have been received.

Categories of records in the system: Memoranda of Information Received, which are reports from law enforcement agencies of suspects or arrests. Reports of Seizures by Customs, other information indicating violators or suspected violators.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Reference file for identifying violators or suspected violators.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Manila files within metal file cabinets.

Retrievability: Alphabetical; for aircraft or car by number; by seizure number; by name of individual.

Safeguards: Room has a 24-hour guard and is locked.

Retention and disposal: Seizure files are maintained for three years after final disposition. Memoranda of information received: not determined how long they will be retained at present.

System manager(s) and address: Director, Patrol Division, U.S. Customs Service, 6 World Trade Center, New York, N.Y. 10048.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.215

System name: Seizure Report File—Treasury/Customs.

System location: U.S. Customs Mail Facility, 1675—7th Street, Oakland, California 94615.

Categories of individuals covered by the system: Individuals to whom prohibited merchandise is addressed.

Categories of records in the system: Name, address, property description, estimated foreign value, duty, domestic value, circumstances of seizure, sender, section of law violated, delivery to San Francisco seizure clerk.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in the administration of the Freedom of Information Act (5 U.S.C. 552); (c) Used administratively by the Mail Facility to record seizures and their disposal.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information in this system is contained on Customs Form 5955 Seizure Reports, Customs Form 6043—Delivery Ticket, and Customs Form 151—Search/Arrest/Seizure Report. These forms are placed within file folders which are located in a metal file cabinet.

Retrievability: Each Seizure Report and Delivery Ticket (stapled together) are identified by the name of the person to which the seized items are addressed and the names are filed alphabetically by fiscal year.

Safeguards: The file folders are placed within a metal cabinet which is located within an office that is locked during non-working hours. The building is guarded by uniformed security police and only authorized persons are permitted in the building.

Retention and disposal: Last three fiscal years records are kept in a file cabinet in the office. Three fiscal years prior are kept in boxes in the detention area.

System manager(s) and address: Head, Surface Mail Section. See location above.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in this system originates with and consists of information obtained from mail shipments.

Treasury/Customs 00.217

System name: Set Off Files—Treasury/Customs.

System location: Financial Management Division, 99 S.E. 5th Street, Miami, Florida 33131.

Categories of individuals covered by the system: Importer of Record, Customhouse Broker, Parties In Interest.

Categories of records in the system: Name, address, identification number, copies of refund checks and copies of set off bills.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Document files identifying debtors for whom refunds are withheld and amounts applied against delinquent bills.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Files are maintained in an unlocked drawer within a metal file cabinet.

Retrievability: File folders with individual's name annotated with set off.

Safeguards: The metal container described above is maintained within the area assigned to the Financial Management Division within the Customs Service Building. During non-working hours the room in which the metal container is located is locked.

Retention and disposal: These files are retained as prescribed by GAD Regulations or until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Records Center.

System manager(s) and address: Director of Financial Management, 55 SE 55th Street, Miami, Florida 33131.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: District Directors, Port Directors, Import Control Officers, External Auditors and Other Customs Employees.

Treasury/Customs 00.219

System name: Skills Inventory Records—Treasury/Customs.

System location: Personnel Management Division, U.S. Customs Service, Washington, D.C. 20229.

Categories of individuals covered by the system: Records are maintained in this system on Customs personnel in grades GS-13 and above.

Categories of records in the system: Data elements to provide a complete skills profile of the employee.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) To identify potential candidates for managerial positions. Used by members of the Evaluation Boards.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained on a Customs Form 121 and Magtape.

Retrievability: Records are indexed by name.

Safeguards: Records are maintained in locked file and limited access to computer system.

Retention and disposal: Records are maintained until separation of an employee from the Customs Service.

System manager(s) and address: Director, Personnel Management Division, U.S. Customs Service, Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: CF-121 which is prepared by the employee.

Treasury/Customs 00.220

System name: Supervisory Notes and Files SF-7B—Treasury/Customs.

System location: Records are located with the immediate supervisor or at the immediate supervisor's operating office. See Customs Appendix A.

Categories of individuals covered by the system: Customs employees.

Categories of records in the system: SF-7B card contains identical information to that contained on SF-7 card; in addition it can have attached or separately-maintained supervisory notes concerning an employee's performance on-the-job, conduct, counseling sessions, training recommended or taken, awards granted, emergency contact information, job assignments, to be used for administration resource purposes in recommending or authorizing discipline, recognition, assignment of performance rating, training, job assignments, leave requests, and/or similar matters.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or other issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (f) Used by supervisors as documentation or by certain Personnel Management Division employees helping supervisors to initiate appropriate commendatory, corrective, or disciplinary action.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are stored in manila folders or special file cabinets.

Retrievability: Records are indexed by organizational code and name.

Safeguards: Records are stored in lockable metal filing cabinets or in a secured room. Alternative storage facilities may be employed provided they furnish an equivalent or greater degree of physical security.

Retention and disposal: Records are retained while employee is employed, and are destroyed upon separation.

System manager(s) and address: Director, Personnel Management Division, in each Regional Office and in Headquarters. See Customs Appendix A.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Form SF-50, Employee and employee's supervisors.

Treasury/Customs 00.221

System name: Suspect File—Treasury/Customs.

System location: Patrol Headquarters, 444 Brickell Avenue, Miami, Fla.

Categories of individuals covered by the system: Individuals on whom suspect information is received by Patrol Headquarters.

Categories of records in the system: Memoranda of Information, Lookout Notices, Letters re: suspects, other notices of suspected violations.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Used by the employees in the Patrol Division for enforcement purposes; (d) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (e) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (f) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are stored in file cabinets in restricted area of offices.

Retrievability: Records are retrievable by name.

Safeguards: Records are kept in locked buildings when unattended.

Retention and disposal: Records are retained as long as information is pertinent but destroyed immediately after information becomes obsolete.

System manager(s) and address: District Director of Customs, 444 Brickell Avenue, Miami, Fla.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption are contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.223

System name: Suspect File—Treasury/Customs.

System location: Office of the Customs Patrol Division, Room 202, U.S. Customhouse, Nogales, Arizona, and at each port: Douglas, San Luis and Lukeville, Arizona.

Categories of individuals covered by the system: Persons in whom Customs is interested from a law enforcement point of view.

Categories of records in the system: Name, address, file reference number, memoranda of information, lookout notices.

Authority for maintenance of the system: Memorandum dated 6/16/74, File ADM-4-01:O:P, from Director of Patrol Division, Headquarters; 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To provide rapid and accurate retrieval of information previously recorded to officers of the U.S. Customs Service who have need of such information in the performance of their official duties.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Unlocked metal card file.

Retrievability: Alphabetical.

Safeguards: Room is locked during non-working hours.

Retention and disposal: Indefinite.

System manager(s) and address: District Director, Nogales, Arizona. (See above.)

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.224

System name: Suspect Persons Index—Treasury/Customs.

System location: U.S. Customs District Officers located at the following addresses: 204 E. 5th Ave., Anchorage, Alaska; 215 1st Ave. No., Great Falls, Montana; 335 Merchant, Honolulu; 511 N.W. Broadway, Portland, Oregon; 555 Battery St., San Francisco, California; First & Marion Sts., Seattle, Washington.

Categories of individuals covered by the system: Persons suspected of violation of Customs Laws.

Categories of records in the system: Name and related file number.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Used to aid in law enforcement and to identify persons suspected of violating the law.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in folders and stored in metal file cabinet.

Retrievability: The office and building are locked during non-working hours.

Safeguards: Alphabetical by use of cross index.

Retention and disposal: Records are maintained and disposed of in accordance with Records Disposal Manual.

System manager(s) and address: District Director of Customs. See location above.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.226

System name: Television System—Treasury/Customs.

System location: Office of Port Director, San Ysidro, California.

Categories of individuals covered by the system: Persons involved in incidents related to search and subsequent disturbance while entering the United States from Mexico.

Categories of records in the system: An audio-video cassette recording of persons being escorted into, as well as inside, the business offices of the Customs area of the Port of Entry.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Dept. Order No. 165, Revised, as amended, and the Customs Regulations.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Evidence for use in court, record of disturbances and record of actions involving complaints.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Audio-video cassette.

Retrievability: By subject's name, date, and time.

Safeguards: Cassettes are under control of Port Director and released only to the courts when subpoenaed or when requested to be reviewed by subject and his attorney.

Retention and disposal: All cassettes with incident are retained for six months. Those on which some action may be taken are retained for one year or close of the case. Cassettes are reusable. Therefore, wipeout occurs when new recording takes place.

System manager(s) and address: Port Director, San Ysidro, California.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Audio-vidio recording of persons being escorted into the Customs area.

Treasury/Customs 00.227

System name: Temporary Importation Under Bond (TIB) Defaulter Control System—Treasury/Customs.

System location: U.S. Customs Service, Office of Enforcement Support, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Categories of individuals covered by the system: Individuals who have been denied T.I.B. privileges because of failure to pay outstanding liquidated damages.

Categories of records in the system: Individual's name, personal identifying numbers and characteristics, address, company and case description, etc.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigation or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (f) Used by Customs officers to determine whether an individual is eligible for T.I.B. privileges and to collect appropriate liquidated damages owed by an individual. Provides Customs management information such as total outstanding liquidated damages, etc.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: (1) Magnetic disc and tape storage; (2) Hard Copy; (3) Microfiche files.

Retrievability: Indexing is by violator name.

Safeguards: All inquiries are made by officers with full field background investigations on a "need to know" basis only. Procedural and physical safeguards are utilized such as accountability and receipt records, guards patrolling the area, restricted access and alarm protection systems, special communications security, etc.

Retention and disposal: Indefinite, None.

System manager(s) and address: Assistant Commissioner, Office of Enforcement Support, U.S. Customs Service, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Customs officers completing Customs Form 164 (TECS—TIB Defaulter Control).

Treasury/Customs 00.228

System name: Temporary Importation Violation Record—Treasury/Customs.

System location: Fines, Penalties and Forfeitures Section, Room 102, U.S. Customhouse, Second and Chestnut Streets, Philadelphia, Pennsylvania 19106.

Categories of individuals covered by the system: Persons making importations against Temporary Importation Bonds.

Categories of records in the system: The record reveals the individual's or Customhouse Broker's name, case number assigned and date, amount of penalty incurred, mitigated sum or remission and date closed. The record results in a summary of repetitive violations by any one individual, firm or Customhouse Broker and disciplinary action toward reduction or elimination of violations.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosure required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Temporary Importation Record Cards are filed alphabetically in an unlocked metal file cabinet.

Retrievability: Each record card is filed alphabetically within the metal cabinet.

Safeguards: The metal cabinet described above is maintained within the area assigned to the Fines, Penalties and Forfeitures Section in Room 102, of the Customhouse, Philadelphia; during non-working hours, access to the building and area of storage is controlled by uniformed guards.

Retention and disposal: Temporary Importation Record Cards are retained at location three years, then destroyed.

System manager(s) and address: District Director of Customs, Room 102, U.S. Customhouse, Second and Chestnut Streets, Philadelphia, PA 19106.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained on the cards originated from liquidated damage cases instituted for failure to comply with T.I.B. provision.

Treasury/Customs 00.231

System name: Tort Claims Act File-Treasury/Customs.

System location: Office of the Regional Counsel, Room 125, U.S. Customhouse, 40 South Gay Street, Baltimore, Maryland 21202.

Categories of individuals covered by the system: Private persons filing claims under the Federal Tort Claims Act for property damage or personal injury allegedly caused by a wrongful or negligent act or omission on the part of a Customs Service employee while acting within the scope of his employment.

Categories of records in the system: Reports of Investigation regarding claim filed, documents relating to the administrative handling of the claim and documents submitted by the claimant in support of the claim.

Authority for maintenance of the system: 28 U.S.C. 2672, et seq; 28 CFR 14.1 et seq; 31 CFR 3.1 et seq; Treasury Department Administrative Circular No. 131, August 19, 1965; 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) In addition, information contained in this file is made available to the Department of Justice to assist that Department in preparing the Government's case when suit is filed in court based on an administrative denial of the claim or based on a failure to administratively process the claim within the prescribed time period; (c) Disclosure required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in file folder which is filed in an unlocked drawer within a metal container.

Retrievability: Each case file is identified in the file folder within the metal container by the name of the person who filed the claim.

Safeguards: The metal container described above is maintained within the area assigned to the Office of the Regional Counsel within the Customhouse. During non-working hours the room in which the metal container is located is locked, and access to the building is controlled at all times by uniformed guards.

Retention and disposal: These files are retained indefinitely or until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Records Centers.

System manager(s) and address: Regional Counsel, Room 125, U.S. Customhouse, 40 South Gay Street, Baltimore, Maryland 21202.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in these files originated with a Standard Form 95, Claim for Damage or Injury, which is completed and filed with the Customs Service by the claimant. Using these forms as a basis, investigations are conducted by authorized Customs Service investigative personnel in order to determine the facts surrounding the claims. During these investigations information may be elicited from Customs Service employees, private persons, or any other parties who may have information regarding the facts surrounding the claims.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.232

System name: Tort Claims Act File—Treasury/Customs.

System location: Office of the Regional Counsel, Room 7422, New Federal Building, 300 N. Los Angeles St., Los Angeles, CA 90053.

Categories of individuals covered by the system: Private persons who have filed or may file claims under the Federal Tort Claims Act for property damage or personal injury allegedly caused by a wrongful or negligent act or omission on the part of a Customs Service employee while acting within the scope of his employment.

Categories of records in the system: Reports of Investigation regarding accidents involving Customs employees, documents relating to the administrative handling of the claims filed thereon, and documents submitted by the claimant in support of the claim.

Authority for maintenance of the system: 28 U.S.C. 2672, et seq; 28 CFR 14.1 et seq; 31 CFR 3.1 et seq; Treasury Department Administrative Circular No. 131, August 19, 1965.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The information contained in this file is used to administratively process claims filed under the Federal Tort Claims Act and is made available to the officials within the Customs Service who review recommendations and who make final decisions on such claims. In addition, information contained in this file is made available to the Department of Justice to assist that Department in preparing the Government's case when suit is filed in court based on an administrative denial of the claim or based on a failure to administratively process the claim within the prescribed time period.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in an alphabetical file folder which is filed in an unlocked drawer within a metal container.

Retrievability: Each case file is identified in the alphabetical file folder within the metal container by the name of the person who has filed or may file a claim.

Safeguards: The metal container described above is maintained within the area assigned to the Office of the Regional Counsel within the New Federal Building. During non-working hours the room in which the metal container is located is locked, and access to the building is controlled at all times by uniformed guards.

Retention and disposal: Tort claim files are retained indefinitely or until there is no longer any space available for them within the metal

container, at which time the oldest closed files are transferred to the Federal Records Center.

System manager(s) and address: Regional Counsel, Room 7422, United States Customs Service, 300 N. Los Angeles St., L.A., Calif. 90053.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in these files originates with a Standard Form 95, Claim for Damage or Injury, which is completed and filed with the Customs Service by the claimant. Using these forms as a basis, investigations are conducted by authorized Customs Service investigative personnel in order to determine the facts surrounding the claims. During these investigations information may be elicited from Customs Service employees, private persons, or any other parties who may have information regarding the facts surrounding the claims. When a claim is not filed, the information is limited to the investigative reports of the property damage or personal injury.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.233

System name: Tort Claims Act File—Treasury/Customs.

System location: Regional Counsel of Customs, 6 World Trade Center, New York, N.Y. 10048.

Categories of individuals covered by the system: Private persons filing claims under the Federal Tort Claims Act for property damage or personal injury allegedly caused by a wrongful or negligent act or omission on the part of a Customs Service employee while acting within the scope of his employment.

Categories of records in the system: Reports of Investigation regarding accidents involving Customs employees claims filed, documents relating to the administrative handling of the claim and documents submitted by the claimant in support of the claim.

Authority for maintenance of the system: 28 U.S.C. 2672, et seq; 28 CFR 14.1 et seq; 31 CFR 3.1 et seq; Treasury Department Administrative Circular No. 131, August 19, 1965.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Services and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); The information contained in this file is used to administratively process claims filed under the Federal Tort Claims Act and is made available to the officials within the Customs Service who review recommendations and who make final decisions on such claims. In addition, information contained in this file is made available to the Department of Justice to assist that Department in preparing the Government's case when suit is filed in court based on an administrative denial of the claim or based on a failure to administratively process the claim within the prescribed time period.

For additional routine use see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in an alphabetical file folder which is filed in an unlocked drawer within a metal container.

Retrievability: Each case file is identified in a manual alphabetical card file by the name of the person who filed the claim and in the alphabetical file folder within the metal container by the name of the person who filed the claim.

Safeguards: The metal container described above is maintained within the area assigned to the Office of the Chief Counsel within the Customs Service Building. During non-working hours the room in which the metal container is located is locked, and access to the building is controlled at all times by uniformed guards.

Retention and disposal: Tort claim files are retained indefinitely or until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Records Center.

System manager(s) and address: Regional Counsel, U.S. Customs Service, 6 World Trade Center, New York, N.Y. 10048.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in these files originates with a Standard Form 95, Claim for Damage or Injury, which is completed and filed with the Customs Service by the claimant. Using these forms as a basis, investigations are conducted by authorized Customs Service investigative personnel in order to determine the facts surrounding the claims. During these investigations information may be elicited from Customs Service employees, private persons, or any other parties who may have information regarding the facts surrounding the claims.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.234

System name: Tort Claims Act File—Treasury/Customs

System location: Offices of the District Directors, Region IX, Chicago, Illinois. (See Customs Appendix A.)

Categories of individuals covered by the system: All individuals presenting claims of damage to personal property resulting from Customs activities.

Categories of records in the system: Written damage claims supported by estimates, bills, claim forms and internal Customs Service memoranda.

Authority for maintenance of the system: 31 C.F.R. Part 3; 5 U.S.C. 301; Treasury Department order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Filed in cabinets in the District Directors offices.

Retrievability: Folders filed in alphabetical sequence.

Safeguards: File cabinets are located within the area assigned in the Customs office. During non-working hours the room in which the cabinets are located is locked.

Retention and disposal: Retained in District Directors offices for 3 years then transferred to the Federal Record Center for 7 years and 3 months prior to destruction.

System manager(s) and address: District Director as appropriate in Region IX, Chicago, Illinois. (See Customs Appendix A.)

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in this system originates with a written claim submitted by the claimant, as well as information supplied on Standard Form 95 and internal Customs memoranda.

Treasury/Customs 00.236

System name: Tort Claim Records System—Treasury/Customs.

System location: Office of the Regional Counsel, U.S. Customs Service, Region IX, 55 E. Monroe Street, Room 1417, Chicago, Illinois 60603.

Categories of individuals covered by the system: Claimants under the Federal Tort Claim Act.

Categories of records in the system: Tort Claim, Standard Form 95 (claim for damage or injury) containing name, address, marital status, personal injury and property damage information, and insurance information; also correspondence, accident reports and investigatory records regarding the alleged damage or injury and possible medical information.

Authority for maintenance of the system: 28 U.S.C. 2671 et seq.; 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in the Tort Claim Records System of the United States Customs Service are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of

their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (f) Information contained in these files is routinely used by the attorneys in the Regional Counsel's office in determining and resolving legal issues, in recommending approval, denial, compromise or settlement of the claim, and possibly in litigation. Litigation will require disclosure of the information to the Department of Justice, and the information is often channeled through the Department of the Treasury.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each case file is inserted in a file folder which is filed in a lockable drawer within a metal filing cabinet container.

Retrievability: Each case file is identified in a manual alphabetical card file by the name of the person who initiated the tort claim against the Customs Service, and officer or employee thereof. In addition, each case file is similarly identified on the file folder with the alphabetical name on the file which is placed and located within the metal container.

Safeguards: The metal filing drawer containing the files described above is maintained within the area assigned to the Office of the Regional Counsel of Customs, Region IX at 55 E. Monroe Street, Chicago, Illinois. During non-working hours, the room in which the metal filing drawer is located is locked, the file container itself is locked, and access to the building is controlled at all times by uniformed guards with a check-in system for employees. Only employees of the Regional Counsel's office and authorized building personnel have keys to the building.

Retention and disposal: These files are retained indefinitely or until there is no longer space available for them within the metal filing cabinet at which time the oldest files are transferred to the storage area within the confines of the office. The storage area is a large area containing cardboard boxes and metal storage cabinets which are not able to be locked. Files which are closed and not retained for precedent purposes are retired to the Federal Records Center, General Service Administration, 7358 S. Pulaski, Chicago, Illinois 60602 in accordance with the U.S. Customs Service Records Disposal Manual.

System manager(s) and address: Regional Counsel of Customs, Room 1417, United States Customs Service, 55 E. Monroe Street, Chicago, Illinois 60603.

Notification procedure: See Customs Appendix A.

Record source categories: The information contained in these files originates with the receipt of any correspondence indicating an alleged claim for damage or injury resulting from the conduct of the Government, U.S. Customs Service or an employee or officer of the U.S. Customs Service in Region IX. Further information in this file is derived from later correspondence from the individual, Standard Form 95 (Claim for Damage or Injury) filed by the claimant, other information submitted by the claimant, information submitted by Customs employee and/or his supervisors, and an investigation made by the Office of Internal Affairs or the Office of Investigations regarding the alleged claim or injury, as applicable. Information in these reports can be made from private parties, (witnesses), businesses, medical professionals, hospitals and other medical institutions.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.238

System name: Training and Career Individual Development Plans—Treasury/Customs.

System location: Located in the Personnel Management Division of each region and headquarters and/or district, ports, and post of duty of employees.

Categories of individuals covered by the system: All U.S. Customs employees.

Categories of records in the system: Records are maintained on training or other development activities completed and/or planned for individual employees, whether for programs such as Executive Development or Upward Mobility, or other special emphasis development programs. Records also include such things as, but not limited to skills, abilities, education, experience, career plans and goals, and other related information.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (f) To determine individual training needs, to schedule training and development activities and to budget funds.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are located in file folders, and/or official personnel folder.

Retrievability: Records are indexed by name.

Safeguards: Records are maintained in locked file or office.

Retention and disposal: Records are retained until separation or until employee is no longer part of a special emphasis program.

System manager(s) and address: Director, Personnel Management Division, in each region and headquarters.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information is obtained from the employee and supervisors.

Treasury/Customs 00.239

System name: Training Records—Treasury/Customs.

System location: Personnel Management Division of each region and headquarters. Also located in districts, ports, and posts of duty.

Categories of individuals covered by the system: Customs employees who have completed training.

Categories of records in the system: Certificates of completion of training courses, memoranda documenting successful completion of

training assignments, grades assigned by educational institutions when appropriate, etc.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (f) The information compiled is used primarily to prepare the annual and quarterly reports for training which are required by higher Headquarters. The information also serves as a ready reference to ascertain the state of training with the Region and as an instrument to equate, insofar as possible, training opportunities for all employees, and also to verify information submitted by employees on application forms.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in file folders, on file cards, on Training forms, or on mag-tape.

Retrievability: Records are indexed by name.

Safeguards: Records are maintained in a locked file or room, or with limited access.

Retention and disposal: Records are maintained for up to three years after employee separates from the Service.

System manager(s) and address: Director, Personnel Management Division in each Region or Headquarters, or managerial official in appropriate posts of duty of employee.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Information for this file is obtained from supervisors, managers, instructors, educational institutions, and/or training facilities such as the Civil Service Commission, Department of the Treasury, etc.

Treasury/Customs 00.240.

System name: Travel Advances—Treasury/Customs

System location: Financial Management Division, U.S. Customs Service, Region 1, 100 Summer Street, Boston, Massachusetts 02110.

Categories of individuals covered by the system: Employees who receive travel advances to cover travel expenses in the course of traveling on official government business.

Categories of records in the system: Files are maintained to record the amount of the advance payment and repayment of the advance, if necessary.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (f) This system is used by the Payment Section employees to determine the amount owed to or by Customs for travel expenses.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Data is stored in an unlocked metal, 5 x 8 file.

Retrievability: The name of each individual is listed on a record card in alphabetical order.

Safeguards: The file is located within an office that is locked during non-working hours. The building is guarded by uniformed security police and only authorized persons are permitted entry to the building.

Retention and disposal: The file is retained until the employee pays or accounts for the travel advance, and kept one year. After one year the record is sent to the Federal Record Center; kept for 3 years and then destroyed.

System manager(s) and address: Director, Financial Management Division, U.S. Customs Service, 100 Summer Street, Boston, Massachusetts 02110.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Record source categories: The information in the system originates from the employee and his supervisor.

Treasury/Customs 00.241

System name: Travel Advances—Treasury/Customs

System location: Financial Management Division, 99 S.E. 5th Street, Miami, Florida 33131.

Categories of individuals covered by the system: Advances to Customs Employees only.

Categories of records in the system: Name, address and Social Security Number of employees.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552). (c) Used administratively to record travel advances and clearance of advance, as travel is completed. Outstanding balances reflect indebtedness of individuals until travel is completed or refunds of average in advance.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Files are maintained in an unlocked drawer within a metal file cabinet.

Retrievability: Alpha Employee Name File of SF 1038, "Application for Advance of Funds".

Safeguards: The metal container described above is maintained within the area assigned to the Financial Management Division within the Customs Service Building. During non-working hours the room in which the metal container is located is locked.

Retention and disposal: These files are retained as prescribed by GAD Regulations or until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Records Center.

System manager(s) and address: Director of Financial Management, 99 S.E. 5th Street, Miami, Florida 33131.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: SF 1038—"Application and Account for Advance of Funds".

Treasury/Customs 00.242

System name: Travel Advance File—Treasury/Customs

System location: Regional Commissioner of Customs, Room 1501, 55 East Monroe Street, Chicago, Illinois 60603.

Categories of individuals covered by the system: Customs employees to whom advances have been issued.

Categories of records in the system: SF 1038, "Application and Account for Advance of Funds."

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552);

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Individual alphabetical card file in file cabinet.

Retrievability: Access by name of individual.

Safeguards: The file cabinet is maintained in the office of the Regional Commissioner, Region IX, Chicago, Illinois. During non-working hours the room/building in which the file is located is locked.

Retention and disposal: Retained and disposed of in accordance with requirements of Records Control Manual.

System manager(s) and address: Regional Commissioner of Customs, 55 East Monroe Street, Chicago, Illinois 60603.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Completed Form 1038 received from any Customs employee within Region IX, Chicago, Illinois.

Treasury/Customs 00.243

System name: Travel Payment System—Treasury/Customs

System location: Accounting Division, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Categories of individuals covered by the system: Headquarters Customs Service officials and employees who travel on official business.

Categories of records in the system: Travel authorizations, travel vouchers, and travel advance records, which contain the officer's or employee's name, residence, place and mode of travel, travel dates, month of travel advance, expenses incurred, amount of travel advance, amount of advance outstanding and division code.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. Such duties may include preparing disbursement schedules so that the officer or employee will be paid for travel expenses, recording the cost of travel, advising the employee's supervisor when a travel advance is outstanding for an extended period, and compiling cost and budget information. (b) Disclosure required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information in this system is contained on index cards placed within a file box, in file folders or on separate sheets of paper within a metal container and in a computer system utilizing magnetic disc storage techniques.

Retrievability: The information on the index cards relates only to travel advance payments, and the index cards are filed alphabetically by the name of the traveling individual; each file folder is placed within the metal container alphabetically by the name of the traveling individual to whom it pertains; the separate sheets of paper are grouped in disbursement schedule number sequence by consecutive numbers and dates showing a listing of payments to travelers; the computer records are retrieved by the accounting number assigned by the Customs Service for each separate travel transaction.

Safeguards: The room in which this system of records is located is locked during non-working hours, the building is guarded by uniformed security police, and only authorized persons are permitted within the building.

Retention and disposal: The records in this system are retained for an indefinite period of time. There are no established procedures for disposal of the subject records.

System manager(s) and address: Director, Accounting Division, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in this system is derived from information supplied to the Accounting Division by the traveling individual on Standard Form 1012 (Travel Voucher) and from organizational and accounting information maintained by the Customs Service.

Treasury/Customs 00.244

System name: Treasury Enforcement Communications System (TECS)—Treasury/Customs

System location: Office of Enforcement Support, U.S. Customs Service, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Categories of individuals covered by the system: (1) Violators or suspected violators of U.S. Customs or related laws (some of whom have been apprehended by Customs officers); (2) Individuals who are suspected of, or who have been arrested for, thefts from international commerce; (3) Convicted violators of U.S. Customs and/or drug laws in the United States and foreign countries; (4) Fugitives with outstanding warrants-Federal or state; (5) Victims of U.S. Customs law violations; (6) Masters associated, in the capacity of ship agent or representative, with vessels in actual or suspected violation of U.S. Customs and related laws.

Categories of records in the system: Every possible type of information which contributes to effective law enforcement may be maintained in this system of records. Records include but are not limited to records pertaining to known violators, wanted persons, lookouts (temporary and permanent), and document reference records, vessel names and search results. Information about individuals includes but is not limited to name, alias, date of birth, address, physical description, various identification numbers (i.e., seizure number), details and circumstances of a search, arrest, or seizure, case information such as merchandise and values, methods of theft, etc.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) As a law enforcement tool, for example, to aid in the detection and prevention of violations, to provide and disseminate information on suspected or known violators within system to Federal, state and local enforcement officers within the United States and to other cooperating foreign countries' enforcement officers and officials. Also used by the Department of Justice in prosecuting court cases. (d) To determine violation trends and develop enforcement techniques and lookout information for use in the enforcement of Customs and related laws. (e) To provide management information such as the number of types of seizures, arrests, and searches associated with arrests or seizures. (f) Used by Customs to identify high theft areas, types of cargo most

likely to be pilfered or stolen, to connect seemingly unrelated Customs theft cases and to provide management information such as the value and volume of theft from international shipping. (g) Documentation of vessel violations committed while in United States ports. (h) Determination of search operations by consideration of past violations and selective intelligence information. (i) In support of other regulatory and/or enforcement agencies, particularly the Department of Agriculture, by assisting in the quarantine control of infested vessels, and of the Immigration and Naturalization Service, by assisting in collection of payments attendant to the handling of aliens.

For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic disc and tape, microfiche, and hard copy (i.e. Customs Form 153).

Retrievability: By name (individual or master, owner, or agent name when concerning vessel violation profile); unique identifiers, identification numbers (i.e., personal, seizure number, system identification number); cargo location code or vessel name.

Safeguards: (1) All officers making inquiries have had a full field background investigation and are given information on a "need to know" basis only. (3) Procedural and physical safeguards are utilized such as accountability and receipt records, guards patrolling the area, restricted access and alarm protection systems, special communications security, etc.

Retention and disposal: Review is accomplished by Customs officers each time a record is retrieved and on periodic basis to see if it should be retained or modified. Since both temporary and permanent records are maintained, period of retention will vary with type record entered. A complete review of each record in system is made annually at Headquarters. (4) The records are disposed of by erasure of magnetic tape or disc, and by shredding and/or burning of hard copy documents.

System manager(s) and address: Assistant Commissioner, Office of Enforcement Support, U.S. Customs Service, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption are contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.245

System name: Treasury enforcement communications system card file—Treasury/Customs.

System location: Ports and Stations in the District of Ogdensburg, New York.

Categories of individuals covered by the system: Suspected violators of Customs or other laws.

Categories of records in the system: Name and system ID number and category of suspicion (i.e. narcotics, currency, counterfeit, etc.).

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Quick or ready reference at ports or stations not equipped with TECS secondary machines. (b) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. (c) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552). (d) In the event that this system of records includes information which indicates a violation or potential violation of law whether civil, criminal, or regulatory in nature and whether arising by general statute or particular program statute or by regulation, rule, or order issued pursuant thereto the relevant records in the system of records may be referred, as a routine use, to the appropriate agency whether Federal, state, local, or foreign charged with the responsibility of investigating or prosecuting the statute or rule, regulation, or order issued pursuant thereto. (e) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee; the issuance of a security clearance; the letting of a contract; or the issuance of a license, grant, or other benefit. (f) A record from this system of records may be disclosed to a Federal agency in response to its request in connection with the hiring or retention of an employee; the issuance of a security clearance; the reporting of an investigation of an employee; the letting of a contract; or the issuance of a license, grant, or other benefit by the requesting agency to the extent that the information is relevant and necessary to the requesting agency's decision on the matter. (g) Also disclosed to the Drug Enforcement Administration.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Roldex card file.

Retrievability: Alphabetically by name.

Safeguards: Available to inspectors only. Kept in rollex file in custody of inspector on duty.

Retention and disposal: Card is dated for disposal per original TECS message.

System manager(s) and address: Port Director, RFD Constable, Trout River, New York 12926.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the jurisdiction for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.247

System name: Uniform Allowance—Treasury/Customs.

System location: Management Services Branch, Administration Division, District Director, San Juan, Puerto Rico.

Categories of individuals covered by the system: Employees entitled to uniform allowance and date received.

Categories of records in the system: Name, position held, amount received for uniform allowance and date received.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Verify payments are received as requested and authorized.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in individual cards stored in an index card box which is within a locked drawer of a desk.

Retrievability: Each card is identified by the name of the employee and filed alphabetically within the box.

Safeguards: The drawer in which the cards are kept is locked and is in the area assigned to the Management Services Branch within the Customs Service Building. During non-working hours the room in which the desk is located is locked and access to the building is controlled at all times by uniformed guards.

Retention and disposal: Records are maintained until the employee leaves the district or is no longer entitlted to uniform allowance at which time his card is moved to the back of the box. When there is no more space available within the box, the oldest cards are destroyed.

System manager(s) and address: District Director, U.S. Customs Service, P.O. Box 2112, Old San Juan, Puerto Rico 00903.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information is posted on the cards when checks for uniform allowance are received.

Treasury/Customs 00.248

System name: Uniform Allowance—Treasury/Customs.

System location: Financial Management Division, U.S. Customs Service, Region I, 100 Summer Street, Boston, Massachusetts 02110.

Categories of individuals covered by the system: Employees of the Customs Service who are eligible to receive payments for maintaining uniforms.

Categories of records in the system: Name of employee, date of eligibility, period of eligibility, amount paid and District assigned.

Authority for maintenance of the system: 5 U.S.C 301: Treasury Department Order No 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statutue or particular program, statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto; (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit; (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (f) The system is used to determine the status of eligibility of uniformed employees so that payment can be processed by the employees of the Payment Section.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Data is stored in a 5 x 8 two drawer file, accessable to employees of the Payment Section of the Financial Management Division.

Retrievability: The name of each individual is listed on a card which is filed in alphabetical order.

Safeguards: The file is located within an office that is locked during non-working hours. The building is guarded by uniformed security police and only authorized persons are permitted entry to the building.

Retention and disposal: Files are maintained until employee leaves position, retained for two years and then destroyed.

System manager(s) and address: Director, Financial Management Dvision, U.S. Customs Service, 100 Summer Street, Boston, Massachutetts 02110.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in the system originates from the District office where the employee is stationed.

Treasury/Customs 00.249

System name: Uniform Allowances—Unit Record—Treasury/Customs.

System location: Financial Management Division, 99 S.E. 5th Street, Miami, Florida 33131.

Categories of individuals covered by the system: U.S. Customs Employees.

Categories of records in the system: Name—Record of Uniform Allowance Payments.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Used by Accounting technicians to record amounts paid to uniformed personnel annually.

For additonal routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Files are maintained in an unlocked drawer within a metal file cabinet.

Retrievability: Alpha by Name. Appropriation Accounting Document Number.

Safeguards: The metal container described above is maintained within the area assigned to the Financial Management Division within the Customs Service Building. During non-working hours the room in which the metal container is located is locked and access to the building is controlled by uniformed guards.

Retention and disposal: These files are retained as prescribed by GAD Regulations or until there is no longer any space available for them within the metal container, at which time the oldest files are transferred to the Federal Records Center.

System manager(s) and address: Direction of Financial Management, 99 S.E. 5th Street, Miami, Florida 33131.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: From Memo's received from Districts. Data Transcribed from Payment Vouchers.

Treasury/Customs 00.251.

System name: Unscheduled Overtime Report (Customs Form 31)—Treasury/Customs.

System location: Director, Office of Operations, 6 World Trade Center, Rm. 508, New York, New York.

Categories of individuals covered by the system: Special Agents assigned to the office of Regional Director of Investigations authorized to receive unscheduled overtime renumeration.

Categories of records in the system: Customs Form 31 enumerates the nature of overtime performed, the number of hours and the date on which the overtime was performed and the case number of investigation.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) Used to account for and control unscheduled overtime.

additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The information in this system is contained on CF 31, the forms are contained within a file folder and are placed in a metal file cabinet.

Retrievability: By name.

Safeguards: The file cabinet is maintained within the area assigned to the Regional Director of Investigations, New York, within the Customhouse. During non-working hours the complex in which the file is located is locked and access to the building is controlled at all times by uniform guards.

Retention and disposal: The forms are destroyed after three years.

System manager(s) and address: Regional Director of Investigations. (See Customs Appendix A.)

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in this system originates from the Special Agent who performs the unscheduled overtime.

Treasury/Customs 00.252

System name: Valuables Shipped Under the Government Losses in Shipment Act—Treasury/Customs.

System location: District Director of Customs, Chicago, Illinois.

Categories of individuals covered by the system: Customs employees collecting and transmitting funds to cashier for deposit.

Categories of records in the system: Name of employee, collection document serial numbers, amount of collection.

Authority for maintenance of the system: Section 300.30, Customs Accounting Manual; 5 U.S.C. 134f; 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Filed in folders with the individual's name appearing at the top thereof in a file cabinet.

Retrievability: Each record folder is filed by name of individual.

Safeguards: The cabinet described above is maintained within the area assigned in the Customs office. During non-working hours the area in which the cabinet is located is secured.

Retention and disposal: Retained for three years and then forwarded to FRC for seven years retention.

System manager(s) and address: District Director, as appropriate, in Region IX, Chicago, Illinois.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Data submitted by individual Customs employee involved.

Treasury/Customs 00.253

System name: Vehicle Microfiche Files—Treasury/Customs.

System location: U.S. Customs Service, Office of Investigations, SAC, 600 South Street, Room 444, New Orleans, La. 70130.

Categories of individuals covered by the system: Owners of movable vehicles registered in the State of Louisiana.

Categories of records in the system: Name indices of vehicles.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosure required in the administration of the Freedom of Information Act (5 U.S.C. 552); (c) Information contained in these microfiche files is provided for the routine use of the Office of Investigations of the Customs Service for the purpose of verifying information received from other sources in conjunction with investigations within the Office of Investigations.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: These microfiche files are maintained in a file folder in a metal cabinet. The cabinet is located in a room which is manned during normal office hours, and locked after hours.

Retrievability: These microfiche files are identified by alphabetical name of the catagories of individuals maintained in that file and information is retrievable manually from these files.

Safeguards: These microfiche files are kept in a metal cabinet and are maintained within the room which is manned by Office of Investigations personnel during normal office hours. During non-working hours, the room in which the metal cabinet is located is locked and entrances to the office which contains that room are locked. Access to the building containing the office is controlled during non-working hours by a uniformed guard.

Retention and disposal: These files are retained indefinitely or until updated by more current records at which time the old records are disposed of by shredding.

System manager(s) and address: Special Agent in Charge, U.S. Customs Service, Office of Investigations, 600 South Street, Room 444, New Orleans, La. 70130.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained in these microfiche files originates and consists of information generated from vehicle registration forms submitted to the State of Louisiana.

Treasury/Customs 00.257

System name: Violators Card File—Treasury/Customs.

System location: Office of District Director, U.S. Customs Service, U.S. Customhouse, 101 E. Main Street, Norfolk, Va. 23510.

Categories of individuals covered by the system: Persons or firms who have violated any law administered by the U.S. Customs Service within the Norfolk District.

Categories of records in the system: Name of individual or firm, case number and date and section of law violated. This information is obtained from Customs Forms, 151 and 5955-A.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as Amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552). (c) Provide the proper authority within the U.S. Customs Service with a ready reference to make a decision for the remission or mitigation of penalties, forfeitures and claims for forfeiture value.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Each card is filed alphabetically in an unlocked drawer within a metal container.

Retrievability: Each manual alphabetical card file is identified by the name of the person or firm who has violated any law administered by the U.S. Customs Service within the Norfolk District.

Safeguards: The metal container described above is maintained within the area assigned to the Fines, Penalties & Forfeitures Officer within the U.S. Customhouse. During non-working hours the room in which the metal container is located is locked. Security for the building is maintained by GSA.

Retention and disposal: Active cards are retained in this system indefinitely. However, upon periodic review, information on firms no longer in business are destroyed.

System manager(s) and address: District Director of Customs, U.S. Customshouse, 101 E. Main St., Norfolk, Va. 23510.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information contained in these files is derived from Customs Form 151 (Search/Arrest/Seizure Report) and Customs Form 5955-A (Notice of Penalty or Liquidated Damages Incurred and Demand for Payment) which are prepared by the Customs Service.

Treasury/Customs 00.258

System name: Violator's Case Files—Treasury/Customs.

System location: District Director of Customs, U.S. Customs Service, St. Albans, Vermont, 05478.

Categories of individuals covered by the system: Individuals involved in smuggling, filing false invoices, documents or statements, violators of Customs bonds.

Categories of records in the system: Individual's name and address, social security number and physical description; alias, occupation, type of violation, previous record, driver's license, passport number.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute, or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto. (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other perti-

nent information, such as current licenses, if necessary to obtain information relevant to any agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit. (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders and 3 x 5 index cards.

Retrievability: Alphabetized by name of individual and local control number.

Safeguards: Files are under the supervision of the Fines, Penalties and Forfeitures Officer 8 a.m. to 5 p.m., Monday through Friday. All other hours, office remains locked.

Retention and disposal: Files are maintained in the office of the Fines, Penalties, and Forfeitures Officer for a period of five years. At the conclusion of this period, they are destroyed by shredding.

System manager(s) and address: District Director, U.S. Customs Service, St. Albans, Vermont 05478.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.260

System name: Warehouse Proprietor Files—Treasury/U.S. Customs.

System location: Offices of District Directors, Region IX, Chicago, Illinois, See Customs Appendix A.

Categories of individuals covered by the system: Present and past warehouse proprietors and employees that require an investigation and related information.

Categories of records in the system: Report of investigations, application and approval or denial of bond to act as warehouse proprietor and other Customs Service Memoranda. Names, addresses, social security numbers, and dates and places of birth of persons employed.

Authority for maintenance of the system: Customs Regulations, Part 19, 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in file folders and stored in file cabinets in each District Director's office within Region IX, Chicago, Illinois.

Retrievability: Each file is identified by the name of the warehouse proprietor.

Safeguards: The file cabinets are maintained within the area assigned to the District Director. During nonworking hours the room and/or building in which the file cabinet is located is locked.

Retention and disposal: These files are retained indefinitely. Employee name data retained for period of employment with warehouse proprietor.

System manager(s) and address: District Director, as appropriate in Region IX, Chicago, Illinois. See Customs Appendix A.

Notification procedure: See Customs Appendix A.

Record source categories: The information in this file originates from the individual applicant for warehouse proprietor's bond, from reports of investigation, and other Customs Memoranda.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records is proposed to be exempted and the justification for the exemption in contained in a general notice which appears elsewhere in the Federal Register.

Treasury/Customs 00.262

System name: Warnings to Importers in lieu of penalty.—Treasury/Customs.

System location: Located in the Office of the District Director, San Diego, Calif; Offices of the Port Directors, San Ysidro, Calif; Tecate, Calif; Calexico, Calif; Andrade, Calif; San Diego Barge Office; and the Offices of the Customs Patrol Division, San Diego, Calif; San Ysidro, Calif; Calexico, Calif; Tecate, Calif. See Customs Appendix A.

Categories of individuals covered by the system: Individuals and firms in violation of Customs's laws.

Categories of records in the system: Brief record of violation and warning.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure of those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552); (c) In the event that this system of records includes information which indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule, or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulations, or order issued pursuant thereto. (d) A record from this system of records may be disclosed as a "routine use" to a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit. (e) A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter. Available to Inspectors, Import Specialists and Agents, and other Customs employees who have need for this information.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained on 5 x 7 cards.

Retrievability: Alphabetically indexed.

Safeguards: Not accessible to other than Customs officers.

Retention and disposal: Indefinite.

System manager(s) and address: District Director, Port Directors, and Division Directors within the San Diego Customs District. See Customs Appendix A.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Customs Officials.

Treasury/Customs 00.269

System name: Accounts Payable Voucher File—Treasury/Customs.

System location: Financial Management Division, U.S. Customs Service, Region V, 1440 Canal Street, New Orleans, Louisiana 70112.

Categories of individuals covered by the system: All Region V personnel to whom travel and other disbursements are made. All individuals who provide goods and services to Region V.

Categories of records in the system: Invoices and travel/other vouchers and supporting disbursements schedules.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Used to substantiate disbursements made; (b) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (c) Disclosure required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Treasury Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders in unlocked file cabinets.

Retrievability: By name.

Safeguards: Usage limited to Regional personnel; cabinets are located in rooms which are locked during non-working hours.

Retention and disposal: In accordance with Records Control Manual; records are disposed of when no longer needed.

System manager(s) and address: Director, Financial Management Division, U.S. Customs Service, Region V, 1440 Canal Street, New Orleans, Louisiana 70112.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: Invoices and travel/other vouchers submitted by the individual.

Treasury/Customs 00.270

System name: Background—Record File of Non-Customs Employees—Treasury/Customs.

System location: Offices of District Directors, Region IX, Chicago, Illinois—for addresses see Customs Appendix A.

Categories of individuals covered by the system: Present and past non-Customs personnel requiring a background investigation to gain admittance to restricted U.S. Customs premises.

Categories of records in the system: Report of background investigations, names, addresses, social security numbers and date and place of birth, etc. of non-Customs employees.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties which include ensuring the security of merchandise, contraband and information in Customs custody; (b) Disclosures required in administration of the Freedom of Information Act (5 U.S.C. 552).

For additional routine uses see Treasury Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in file folders and stored in file cabinets in each District Director's office within Region IX, Chicago, Illinois.

Retrievability: Each file is identified by the name of the non-Customs employee.

Safeguards: The file cabinets are maintained within the area assigned to the District Director. During nonworking hours the room and/or building in which the file cabinet is located is locked.

Retention and disposal: These files are retained indefinitely. Employee name data is retained during the period the non-Customs employee requires admittance to restricted areas.

System manager(s) and address: District Director, as appropriate in Region IX, Chicago, Illinois.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The information in this file originates from the individual non-Customs employee working for a private contractor who requires admittance to restricted U.S. Customs premises, from reports of background investigation which include interviews of Customs personnel and private parties and from other Customs internal documents.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a (j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a. The provisions of 5 U.S.C. 552a from which this system of records are proposed to be exempted and the justification for the exemption is contained in a general notice which appears elsewhere in the Federal Register.

Treasury Customs 00.278

System name: Early Implementation System (EIS)—Treasury/Customs.

System location: The Computer is located at 1900 West Park Drive, McLean, Virginia 23701. Computer terminals are located at Customhouses and ports throughout the United States and at U.S. Customs Headquarters, Washington D.C. (For addresses of Customhouses see Customs Appendix A.)

Categories of individuals covered by the system: U.S. Customs Service employees and individuals involved in the import trade.

Categories of records in the system: The system data base is comprised of commodity and merchandise processing information relating to Customs administration of trade laws. Certain portions contain no information about individuals. These are the Tariff Schedules of the United States Annotated (TSUSA) file, country file, criteria file for the selection of routine items, Team TSUSA file, district port file, and the entry master file. The following system files may contain information about U.S. Customs Services employees and/or individuals/companies involved in the import trade.

(1) Authorized Terminals Users File: Contains Randomly established five-digit identification codes and passwords assigned to Customs Service employees authorized to use the system. The file consists of the names and identification of all Customs Service employees using the remote terminals to input information into the system. It does not contain Social Security numbers. The degree of access to the system varies according to the users responsibilities in the system.

(2) Importer/Broker/Consignee Bond File: Records consist of importer of record number, importer name, type of importation bond, expiration date, and surety code. The importer of record number is used as the method of accessing the file. The number is assigned by any one of three code formats according to availability and the following hierarchy. The first choice is the IRS employer Identification number (EIN). The vast majority of importers have the EIN because of the business necessity of it.

The second alternative is the Social Security number (SSN). The third alternative is a Customs-assigned number. This file is referenced during entry processing to verify that the individual or company making entry is authorized to import and is properly bonded.

(3) Broker File: A record consists of a three-digit Customs-assigned Customhouse broker number (non-SSN) and the broker name. The file is referenced during entry processing to validate the broker nunber and to prepare and distribute the Broker ID Performance Report to the individual or company concerned. The subject of the report is compliance with the entry filing requirements under the immediate delivery program. Information is disclosed only to the party-of-record.

(4) Surety File: A record consists of a three-digit surety code (non-SSN) assigned by the Customs Accounting Division and the surety name. The file is referenced during entry processing to validate these surety codes. No information on surety company affairs is collected or disseminated in the system.

(5) Liquidator File: A record consists of a Customs-assigned three-digit liquidator identification (non-SSN) and a Customs employee's name. The employee's liquidator code is input into the system as a means of maintaining quality control and an audit trail on entries liquidated.

(6) Manufacturer File: The file contains only a three-digit manufacturer code number (non-SSN) and manufacturer name.

Authority for maintenance of the system: 19 U.S.C. 66, 1448, 1481, 1483, 1484, 1505, and 1624.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in this system of records are as follows: (a) Disclosure of those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in adminstration of the Freedom of Information Act (5 U.S.C. 552); (c) To provide the Bureau of the Census with a magnetic tape containing foreign trade data.

For additional routine use see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic Disc.

Retrievability: By identification codes and/or name.

Safeguards: Access to computer area is controlled by a security pass arrangement and personnel not connected with the operation of the computer are prohibited from entering. The building security is protected by a uniformed guard. At the ports of processing, terminal rooms are under close supervision during working hours and locked after close of business. The system security officer issues a unique private five digit identification code to each authorized user. The codes are changed periodically to enhance security. All computer terminals are key-locked when not in use. Access to the Customers computer from other than system terminals in prohibited by hardware controls. Users must input a unique identification code during the terminal log-in procedure to gain access to the system. The identification code is not printed or displayed at the port of processing. The system validates the user ID by transaction type, thereby limiting a system user's access to information on a need to know basis. A listing of identification codes of authorized users can be printed only at the computer room site by request of the security officer.

Retention and disposal: Files are retained indefinitely but are periodically updated to reflect changes, etc.

System manager(s) and address: Director, AMPS Program Division Customs Service Headquaters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229, is responsible for all data maintained in the files.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The system data base is limited to data about individuals and/or companies incidental to the conduct of foreign trade and required by the Customs Service in adminstering the tariff laws and regulations of the United States. No information concerning any individual's or company's importation activity, bonds status, and compliance or noncompliance with Customs Regulations will be disclosed for non-authorized purposes to any person other than the party-of-record upon receipt of a written request.

Treasury/Customs 00.284

System name: Personnel Verification System (PVS).

System location: Office of Enforcement Support, U.S. Customs Service, 1301 Constitution Avenue, N.W., Washington, D.C. 20229, and Regional Offices of the U.S. Customs Service. See Customs Appendix A.

Categories of individuals covered by the system: Authorized Customs personnel and non-Customs personnel who have received authorization to use the Regional Communications Centers.

Categories of records in the system: Individual identifiers including but not limited to name, office address, home address, office telephone number, home telephone number, badge number, social security number, radio call sign, page number, organization, and unit.

Authority for maintenance of the system: 5 U.S.C. 301; Treasury Department Order No. 165, Revised, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Used by U.S. Customs to verify the identity of individuals authorized to use the sector communications network; (b) Used by U.S. Customs management to evaluate system usage and effectiveness by individual, organization and unit; (c) Used to contact personnel for messages, assignments, etc.

For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: (1) Alphabetic or numerical listings or card files; (2) microfiche; (3) magnetic disc and tapes; (4) other electronic storage media.

Retrievability: By name, call sign, paging number, social security number, badge number, organizational code.

Safeguards: Records are located in controlled access areas with alarm protection systems. Offices are staffed twenty-four hours a day, seven days a week.

Retention and disposal: Records are maintained in the system until such time as the individual is no longer authorized usage of the Regional Communications Center. Disposal is by erasure of disc/tapes, shredding and/or burning of listings or card files, and burning of microfiche.

System manager(s) and address: Assistant Commissioner, Office of Enforcement Support, U.S. Customs Service, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Notification procedure: See Customs Appendix A.

Record access procedures: See Customs Appendix A.

Contesting record procedures: See Access, Customs Appendix A.

Record source categories: The sources include but are not limited to (1) the individual to whom the record relates; (2) internal Customs Service records; (3) Personnel Verification Sheet.

Treasury/Customs 00.285

System name: Automated Index to Central Enforcement Files.

System location: Office of Enforcement Support, U.S. Customs Service, 1301 Constitution Avenue NW., Washington, D.C. 20229.

Categories of individuals covered by the system: (1) Known violators of U.S. Customs laws. (2) Convicted violators of U.S. Customs and/or drug laws in the United States and foreign countries. (3) Suspected violators of U.S. Customs or other related laws. (4) Private yacht masters and pilots arriving in the U.S. (5) Individuals filing official U.S. Government forms 4790 (Currency and Monetary Instruments Reporting), 4789 (Currency Transaction Report), 90.22-1 (Foreign Banking Act Report).

Categories of records in the system: A listing of Memoranda of Information Received, Reports of Investigations; Search/Arrest/Seizure Reports, Currency and Monetary Instrument Reports, Currency Transaction Reports, reports on Foreign Banking transactions, reports on Fines, Penalties, and Forfeitures, reports required by Private Aircraft Reporting System, reports required by the Private Yacht Reporting System, reports on vessel violations, investigation Program Analyst (IPA) reports relating to an individual, various other correspondence (letter, memoranda, etc.), which related to an individual in the Treasury Enforcement Communications System.

Authority for maintenance of the system: 5 U.S.C. 301 and Treasury Department Order No. 165, revised, as amended. Authority for the collection and maintenance of the report included in the system is: 19 U.S.C. 1603; 19 U.S.C. 1431; 19 U.S.C. 1624; 19 U.S.C. 66; 31 CFR 103.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Disclosure to those officers and employees of the Customs Service and the Department of the Treasury who have a need for the records in the performance of their duties. (b) Disclosure required in administration of the Freedom of Information act (5 U.S.C. 552). (c) To provide management statistics associated with arrests or seizures. (d) Used by Customs to identify high theft areas, types of cargo most likely to be pilfered or stolen, to connect seemingly unrelated Customs thefts cases and to provide management information such as the value and volume of goods stolen from international shipping. (e) To determine effective allocation of resources for surveillance and search operations by consideration of past violations and selective intelligence information. For additional information see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic disc and tape, microfiche.

Retrievability: Name, personal identification numbers, Customs case number, document's central file number.

Safeguards: (1) All Central Files users must have a full field background investigation. (2) The "need to know" principle applies. (3) Procedural and physical safeguards are utilized such as accountability and receipt records, guard patrolling restricted areas, alarm protection systems, special communication security. (4) Access is limited to all office of Investigations terminals and all Office of Enforcement Support Headquarters and San Diego terminals.

Retention and disposal: Records will be maintained in the Automated Index to Central Enforcement files for as long as the associated document or microfiche is retained. Records will be destroyed by erasure of the magnetic disc and by burning or shredding the microfiche.

System manager(s) and address: Assistant Commissioner, Office of Enforcement Support, U.S. Customs Service, 1301 Constitution Avenue NW., Washington, D.C. 20229.

Systems exempted from certain provisions of the act: The Commissioner of Customs pursuant to 5 U.S.C. 552a(j) and/or (k) has proposed to exempt this system of records from certain requirements of 5 U.S.C. 552a.

UNITED STATES CUSTOMS SERVICE

APPENDIX A

Notification procedure: Requests by an individual to be notified if the system of records contains records pertaining to him shall be in writing and directed to the Director, Entry Procedures and Penalties Division, Office of Regulations and Rulings, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W. Washington, D.C.

20229 or to the Regional Commissioner of the region in which the records are located (See Appendix A). The requester will be notified in writing of the procedures to be followed. Where the request is presented in person, the requester shall present adequate identification to establish his identity, and a comparison of his signature and those in the records may be made where the records contain the necessary signature.

If an individual can provide no suitable documents for identification, he may be required to make a signed statement asserting identity and stipulating that he understands that knowingly or willfully seeking or obtaining access to records about another person under false pretenses is punishable by a fine up to 5,000 dollars.

Where the request is not presented in person, it shall be accompanied by a notarized statement executed by the requester asserting identity and stipulating that he understands that knowingly or willfully seeking or obtaining access to records about another person under false pretenses is punishable by a fine up to 5,000 dollars. A comparison of his signature and those in the records may be made where the records contain the necessary signature.

Record access procedures: Requests by an individual to be notified how he can gain access to a record pertaining to him contained in a system of records, and how he can contest its content shall be in writing and directed to the Director, Entry Procedures and Penalties Division, U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229 or to the Regional Commissioner of the region in which the records are located (See Appendix A). The requester will be notified in writing of the procedures to be followed. Where the request is presented in person, the requester shall present adequate identification to establish his identity, and a comparison of his signature and those in the records may be made where the records contain the necessary signature.

If an individual can provide no suitable documents for identification, he may be required to make a signed statement asserting identity and stipulating that he understands that knowingly or willfully seeking or obtaining access to records about another person under false pretenses is punishable by a fine up to 5,000 dollars.

Where the request is not presented in person, it shall be accompanied by a notarized statement executed by the requester asserting identity and stipulating that he understands that knowingly or willfully seeking or obtaining access to records about another person under false pretenses is punishable by a fine up to 5,000 dollars. A comparison of his signature and those in the records may be made where the records contain the necessary signature.

Addresses of Headquarters, U.S. Customs Service, Regional Commissioners of Customs, Regional Directors (Internal Affairs), District Directors of Customs, and Customs Office of Investigations field offices:

U.S. Customs Service Headquarters, 1301 Constitution Avenue, N.W., Washington, D.C. 20229.

Regional Commissioner of Customs, 40 S. Gay Street, Baltimore, Maryland 21202, (301)962-3288.

Regional Commissioner of Customs, 100 Summer Street, Boston, Massachusetts 02110. (617)223-7506.

Regional Commissioner of Customs, 55 E. Monroe Street, Chicago, Illinois 60603, (312)353-4731.

Regional Commissioner of Customs, 500 Dallas Street, Houston, Texas 77002, (713)226-4893.

Regional Commissioner of Customs, 300 N. Los Angeles Street, Los Angeles, California 90053, (213)688-5900.

Regional Commissioner of Customs, 99 S.E. 5th Street, Miami, Florida, (305)350-5952.

Regional Commissioner of Customs, 1440 Canal Street, New Orleans, Louisiana 70112, (504)589-6324.

Regional Commissioner of Customs, 6 World Trade Center, New York, New York 10048, (212)466-4444.

Regional Commissioner of Customs, 211 Main Street, San Francisco, California 94102, (415)556-3500.

Office of Internal Affairs field offices

Regional Director (Internal Affairs), 100 Summer Street, Boston, Massachusetts 02110.

Regional Director (Internal Affairs), 6 World Trade Center, New York, New York 10048.

Regional Director (Internal Affairs), Gay and Water Streets, Baltimore, Maryland 21202.

Regional Director (Internal Affairs), P.O. Box 3201, Miami, Florida 33101.

Regional Director (Internal Affairs), 1440 Canal Street, New Orleans, Louisiana 70112.

Regional Director (Internal Affairs), 500 Dallas Street, Houston, Texas 77002.

Regional Director (Internal Affairs), 55 East Munroe Street, Chicago, Illinois 60603.

Regional Director (Internal Affairs), 211 Main Street, San Francisco, California 94105.

Regional Director (Internal Affairs), P.O. Box 951, Main Post Office, Los Angeles, California 90053.

Customs District Offices.

(Note: New York has Area Directors instead of District Directors. Roman numeral designates Customs region).

VIII Anchorage, Alaska 99501/204 E. Fifth Ave. (907)279-2543.

III Baltimore, Maryland 21202/103 S. Gay St. (301)962-2666.

I Boston, Massachusetts 02109/2 India St. (617)223-6598.

I Bridgeport, Connecticut 06609/120 Middle St. (203)366-7851.

I Buffalo, New York 14202/111 W. Huron St. (716)842-5901.

IV Charleston, South Carolina 29401/200 E. Bay St. (803)577-4171.

IX Chicago, Illinois 60607/610 S. Canal St. (312)353-6100.

IX Cleveland, Ohio 44199/1240 E. 9th St. (216)522-4284.

IX Detroit, Michigan 48226/243 W. Congress St. (313)226-3177.

IX Duluth, Minnesota 55802/515 W. First St. (218)727-6692.

VI El Paso, Texas 79985/Bldg. B, Room 134, Bridge of the Americas (P.O. Box 9516) (915)543-7435.

VI Galveston, Texas 77550/17th & Strand Sts. (713)763-1211.

VIII Great Falls, Montana 59401/215 1st Ave. N. (406)453-7840.

VIII Honolulu, Hawaii 96806/335 Merchant St. (808)546-3115.

VI Houston, Texas 77052/701 San Jacinto St. (713)226-4316.

VI Laredo, Texas 78040/Mann Road and Santa Maria (512)723-2956.

VII Los Angeles/Long Beach/300 S. Ferry St., San Pedro, California 90731 (213)548-2441.

IV Miami, Florida 33131/444 Brickell Avenue (305)350-5791.

IX Milwaukee, Wisconsin 53202/628 E. Michigan St. (414)224-3924.

IX Minneapolis, Minnesota 55401/110 S. Fourth St. (612)725-2317.

V Mobile, Alabama 36602/250 N. Water St. (205)690-2106.

V New Orleans, Louisiana 70130/600 South St. (504)589-6353.

II New York, New York: New York Seaport Area, New York, New York 10048, Customhouse, 6 World Trade Center, (212)466-5817. Kennedy Airport Area, Jamaica, New York 11430, Seaboard World Building, Room 178, (212)995-7083. Newark Area, Newark, New Jersey 07105, 400 Delaney St. (201)645-3760.

VII Nogales, Arizona 85621/International & Terrace Sts. (602)287-4955.

III Norfolk, Virginia 23510/101 E. Main St. (804)441-6546.

I Ogdensburg, New York 13669/127 N. Water St. (315)393-0660.

IX Pembina, North Dakota 58271/Post Office Bldg. (701)825-6201.

III Philadelphia, Pennsylvania 19106/2nd & Chestnut Sts. (215)597-4605.

VI Port Arthur, Texas 77640/5th & Austin Ave. (713)982-2831.

I Portland, Maine 04111/312 Fore St. (207)775-3131.

VIII Portland, Oregon 97209/N.W. Broadway & Glisan Sts. (503)221-2865.

I Providence, Rhode Island 02903/24 Weybosset St. (401)528-4383.

I St. Albans, Vermont 05478/Main & Stebbins Sts. (802)524-6527.

IX St. Louis, Missouri 63105/120 S. Central Ave. (314)622-4491.

IV St. Thomas, Virgin Islands 00801/(P.O. Box 510) (809)774-2510.

VII San Diego, California 92101/2262 Columbia St. (714)293-5360.

VIII San Francisco, California 94111/555 Battery St. (415)556-4340.

IV San Juan, Puerto Rico 00903/(P.O. Box 2112) (809)723-2091.

IV Savannah, Georgia 31401/1 East Bay St. (912)232-4321.

VIII Seattle, Washington 98104/First & Marion Sts. (206)442-5491.

IV Tampa, Florida 33602/301 S. Ashley Dr. (813)228-2381.

III Washington, D.C. 20018/3180 Bladensburg Rd., N.E. (202)964-8511.

IV Wilmington, North Carolina 28401/2094 Polk St. (919)763-9971.

Office of Investigations field offices

Region I-Boston

Office of Regional Director of Investigations, Room 1700, U.S. Customhouse, Boston, Massachusetts 02109.

Office of Resident Agent, P.O. Box 368, Derby Line, Vermont 05830.

Office of Resident Agent, P.O. Box 432, Houlton, Maine 04111.

Office of Special Agent In Charge, Room 17, U.S. Customhouse, Portland, Maine 04111.

Office of Special Agent In Charge, 127 N. Water St., Ogdensburg, New York 13669.
Office of Resident Agent, 770 Chapel Street, Suite 2B, New Haven, Connecticut.
Office of Special Agent In Charge, U.S. Federal Building, Rm. 1206, 111 West Huron, Buffalo, New York 14202.
Office of Special Agent In Charge, P.O. Box 68, Rouses Point, New York 12979.

Region II-New York
Office of Regional Director of Inv., U.S. Customs Service, Room 508, 6 World Trade Center, New York, New York 10048.
Office of Special Agent In Charge, Room 203 C, 400 Delancey Street, Newark, New Jersey 07105.
Office of Special Agent In Charge, J.F.K. International Airport, Inter. Arrival Bldg., 160-19 Rockaway Blvd., New York, New York 11430.

Region III-Baltimore
Office of Regional Director of Inv., Room 810, U.S. Appraisers Stores Bldg., 103 South Gay Street, Baltimore, Maryland 21202.
Office of Resident Agent, 701 West Broad Street, Rm. 301, Falls Church, Virginia 22046.
Office of Special Agent In Charge, 201 U.S. Customhouse, 2nd & Chestnut Streets, Philadelphia, Pennsylvania 19106.
Office of Resident Agent, Room 2236, Federal Building, 1000 Liberty Avenue, Pittsburgh, Pennsylvania 15222.
Office of Special Agent In Charge, 201 U.S. Customhouse, Norfolk, Virginia 23510.

Region IV-Miami
Office of Regional Director of Inv., 99 S.E. 5th Street, Miami, Florida 33131.
Office of Resident Agent, 700 Clematis Street, Rm. 253, West Palm Beach, Florida 33401.
Office of Special Agent In Charge, P.O. Box 1516, Tampa, Florida 33601.
Office of Resident Agent, 2701 Talleyrand Avenue, Jacksonville, Florida 32206.
Office of Special Agent In Charge, Drawer A, Savannah, Georgia 31408.
Office of Resident Agent, 1585 Phoenix Boulevard, Suite 5, Atlanta, Georgia 30349.
Office of Special Agent In Charge, P.O. Box 898, Wilmington, North Carolina 28401.
Office of Special Agent In Charge, U.S. Customs Service, P.O. Box S-1272, Old San Juan, Puerto Rico 00902.
Office Of Resident Agent, P.O. Box 127, Ponce Playa, Puerto Rico.
Office of Resident Agent, U.S. Customhouse, P.O. Box 3226, Marina Station, Mayaguez, Puerto Rico.
Office of Special Agent In Charge, P.O. Box 698, Charlotte-Amalie, St. Thomas, Virgin Islands.
Office of Resident Agent, P.O. Box 1801—Christiansted, St. Croix, Virgin Islands.

Region V-New Orleans
Office of Regional Director of Inv., 1440 Canal Street, New Orleans, Louisiana 70112.
Office of Special Agent in Charge, 600 South Street, Room 444, New Orleans, La. 70130.
Office of Special Agent In Charge, International Trade Center, P.O. Box 1704, Mobile, Alabama 36601.
Office of Resident Agent, 1719 West End Building, Room 303, Nashville, Tennessee 37203.

Region VI-Houston
Office of Regional Director of Inv., Suite 1380, 500 Dallas Street, Houston, Texas 77002.
Office of Resident Agent, Suite 210, 700 Paredes Ave., Brownsville, Texas 78520.
Office of Resident Agent, P.O. Drawer 1169, Del Rio, Texas 78440.
Office of Resident Agent, 1114 Commerce Street, 17th Floor, Dallas, Texas 75202.
Office of Special Agent In Charge, P.O. Box 498, Laredo, Texas 78040.
Office of Resident Agent, P.O. Box 828, Eagle Pass, Texas 78852.
Office of Resident Agent, 2600 North 10th Street, McAllen, Texas 78501.
Office of Resident Agent, 1802 N.E. Loop 410, Suite 302, San Antonio, Texas 78217.
Office of Special Agent in Charge, P.O. Box 10719, El Paso, Texas 79997.
Office of Resident Agent, 5301 Central Avenue, N.E., Suite 919, 1st National Bank Building, East, Albuquerque, New Mexico 87108.
Office of Resident Agent, 721 19th Street, Room 404, P.O. Box 2771, Denver, Colorado 80201.

Region VII-Los Angeles
Office of Regional Director of Inv., 300 South Ferry Street, Room 2037, Terminal Island, San Pedro, California 90731.
Office of Special Agent In Charge, P.O. Box 1385, Nogales, Arizona 85621.
Office of Resident Agent, P.O. Box 5752, Suite 200, First Federal Savings Building, 350 West 16th Street, Yuma, Arizona 85364.
Office of Resident Agent, P.O. Box 1076, 1065 F Avenue, Glenn Bldg., Douglas, Arizona 85607.
Office of Resident Agent, Room 328, Amerco Towers, 2721 No. Central Avenue, P.O. Box 2259, Phoenix, Arizona 85002.
Office of Resident Agent, P.O. Box 2911, Tucson, Arizona 85702.
Office of Special Agent In Charge, P.O. Box 187, San Ysidro, California 92073.
Office of Resident Agent, P.O. Box 1510, Calexico, California 92231.

Region VIII-San Francisco
Office of Regional Director of Inv., 211 Main Street, San Francisco, California 94105.
Office of Special Agent In Charge, 4100 Federal Office Building, 909 First Avenue, Seattle, Washington 98174.
Office of Resident Agent, P.O. Box 1360, Blaine, Washington 98230.
Office of Resident Agent, P.O. Box 1483, Spokane, Washington 99210.
Office of Special Agent In Charge, P.O. Box 199, Anchorage, Alaska 99510.
Office of Special Agent In Charge, P.O. Box 71, Great Falls, Montana 59403.
Office of Special Agent In Charge, 1000 Bishop Street, Suite 1210, Honolulu, Hawaii 96813.
Office of Special Agent In Charge, P.O. Box 2841, Portland, Oregon 97208.

Region IX-Chicago
Office of Regional Director of Inv., 55 E. Monroe Street, Suite 1423, Chicago, Illinois 60603.
Office of Special Agent In Charge, 628 East Michigan Street, Room 208, Milwaukee, Wisconsin 53202.
Office of Special Agent In Charge, 120 South Central Ave., Suite 440, St. Louis, Missouri 63105.
Office of Resident Agent, Room 259, Federal Building, 301 West Lexington Street, Independence, Missouri 64050.
Office of Special Agent In Charge, Plaza Nine, 55 Erieview Plaza, Room 210, Cleveland, Ohio 44114.
Office of Resident Agent, P.O. Box 1035, Fountain Square Station, Cincinnati, Ohio 45201.
Office of Resident Agent, P.O. Box 44835. Federal Building, Room 511 Indianapolis, Indiana 46204.
Office of Special Agent In Charge, Room 507, Meierhoff Building, 325 Lake Avenue, South, Duluth, Minnesota 55802.
Office of Special Agent In Charge, Room 501, 243 West Congress St., Detroit, Michigan 48226.
Office of Special Agent In Charge, P.O. Box 192, Pembina, North Dakota 58271.
Office of Special Agent In Charge, 574 Federal Building, Ft. Snelling, Twin Cities, Minneapolis, Minnesota 55111.

Billing Code 4810-22

Treasury/BEP 00.002

System name: Civilian Employee Claim File—Treasury/BEP.

System location: Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Categories of individuals covered by the system: Civilian officers and employees of the Bureau of Engraving & Printing, former employees and their survivors having claim for damage to or loss of personal property incident to their service.

Categories of records in the system: Contains investigative and adjudication documents relative to personal property damage claim.

Authority for maintenance of the system: Military Personnel and Civilian Employees' Claims Act of 1964, Public Law 88-558. Treasury Department Administrative Circular No. 136, Rev.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Director, Bureau of Engraving and Printing and/or his designee for adjudication and settlement of claims. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File Folder.

Retrievability: By Name.

Safeguards: Locked file cabinet—access limited to Safety Division Staff.

Retention and disposal: Retained two years after case is closed; destroyed by shredding.

System manager(s) and address: Chief, Office of Industrial Services, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Notification procedure: Superintendent, Management Services Division Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228. Attn: Privacy Law Information.

Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Individuals having claim for damage to or loss of personal property.

Treasury/BEP 00.003

System name: Continuation of Pay Record—Treasury/BEP.

System location: Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Categories of individuals covered by the system: Bureau of Engraving & Printing employees, incurring work-connected injuries or illnesses and making claim for continuation of pay.

Categories of records in the system: Memoranda from Safety Manager authorizing Office of Financial Management to continue employee's pay up to 45 days.

Authority for maintenance of the system: Federal Employee Compensation Act as amended; Treasury Department Personnel Bulletin 74—73

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Safety Division & Office of Financial Management and Treasury Department to initiate statistical records for continuation of pay cases and costs. Used to document and authorize continuation of pay. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File Folder.

Retrievability: Name

Safeguards: Locked file cabinet, access limited to Safety Manager and Compensation Claims Staff.

Retention and disposal: Retained three years after case is closed; destroyed by shredding.

System manager(s) and address: Chief, Office of Industrial Services, Bureau of Engraving and Printing; 14 & C Sts., SW, Washington, D.C. 20228.

Notification procedure: Superintendent, Management Services Division Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228. Attn: Privacy Law Information.

Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Individual employee and Safety Division reports and recommendations.

Treasury/BEP 00.004

System name: Counseling Records—Treasury/BEP.

System location: Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Categories of individuals covered by the system: Employees whose actions or conduct warrants counseling.

Categories of records in the system: Contains correspondence relative to counseling information and follow-up reports.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Employee Relations Branch personnel for counseling of individual employees. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in file folders.

Retrievability: By name.

Safeguards: Locked in file cabinets; access limited to Employee Relations Branch personnel.

Retention and disposal: Retained for one year after close of file and then destroyed by shredding.

System manager(s) and address: Chief, Office of Industrial Relations, Bureau of Engraving and Printing; 14th & C Sts. SW, Washington, D.C. 20228.

Notification procedure: Superintendent, Management Services Divison, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228. Attn: Privacy Law Information.

Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Individual employee.

Treasury/BEP 00.005

System name: Compensation Claims—Treasury/BEP.

System location: Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Categories of individuals covered by the system: Bureau of Engraving & Printing employees incurring work-connected injuries or illnesses, necessitating absence, making claim under Compensation Act.

Categories of records in the system: All pertinent documentation, including investigative reports, forms, etc. relative to work—connected injuries of employees.

Authority for maintenance of the system: Federal Employees Compensation Act, as amended—Public Law 93—416.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Compensation Claims staff (Safety Division) and Department of Labor to initiate, adjudicate and maintain status of employees who may or have initiated claims for continuation of pay and compensation. For additional uses see Apendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File Folder and card file.

Retrievability: Name and claim no.

Safeguards: Locked file cabinets; access limited to Safety Manager and Compensation Claims staff.

Retention and disposal: Retained three years after case is closed; destroyed by shredding.

System manager(s) and address: Chief, Office of Industrial Services, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Notification procedure: Superintendent, Management Services Division Bureau of Engraving and Printing; 14th & C St., SW, Washington, D.C. 20228. Attn: Privacy Law Information

Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Employee's Health Unit Daily Report, Notification of Public Health Service Recommendation and information provided by employee.

Treasury/BEP 00.006

System name: Debt Files (Employees)—Treasury/BEP

System location: Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Categories of individuals covered by the system: All Bureau of Engraving & Printing employees on whom debt complaints are received.

Categories of records in the system: Contains employee's name, complaint information, court judgements, counseling efforts, receipts, and final disposition of complaint.

Authority for maintenance of the system: Federal Personnel Manual.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Employee Relations Branch personnel for employee counseling, credit reference, and disposition of complaint. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in file folders.

Retrievability: By name.

Safeguards: Maintained in locked cabinets; access limited to Employee Relations Branch personnel.

Retention and disposal: Retained for two years and then destroyed by shredding.

System manager(s) and address: Chief, Office of Industrial Relations, Bureau of Engraving and Printing; 14th & C Sts,. SW, Washington, D.C. 20228.

Notification procedure: Superintendent, Management Services Division, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228. Attn: Privacy Law Information.

Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Employees, Complainants, and Court Judgements.

Treasury/BEP 00.008

System name: Emergency Contact Records—Treasury/BEP.

System location: Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Categories of individuals covered by the system: All Employees of Product Control Division

Categories of records in the system: Record contains Name, Address and Designation of person to be contacted in case of emergency.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Product Control Division personnel for notification of designated person in case of emergency. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in file folders.

Retrievability: By Name.

Safeguards: Access limited to Quality Control Branch personnel; Kept in metal file cabinets.

Retention and disposal: Record maintained during employee's tenure with the Quality Control Branch. Destroyed after employee's separation by shredding.

System manager(s) and address: Chief, Office of Industrial Services, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Notification procedure: Superintendent, Management Services Division, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228. Attn: Privacy Law Information.

Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Individual Product Control Division employees.

Treasury/BEP 00.009

System name: Employee Arrest Record—Treasury/BEP.

System location: Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Categories of individuals covered by the system: All Bureau of Engraving and Printing employees who have been arrested.

Categories of records in the system: Telephone conversation memo for file regarding arrest information supplied by Office of Security.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Employee Relations Branch personnel as background material for possible discipline or adverse action. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in file folders.

Retrievability: By name.

Safeguards: Maintained in locked cabinets; access limited to Employee Relations Branch personnel.

Retention and disposal: Retained until conviction, acquittal or other disposition and then destroyed by shredding.

System manager(s) and address: Chief, Office of Industrial Relations, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Notification procedure: Superintendent, Management Services Division, Bureau of Engraving and Printing; 14th & C Sts. SW, Washington, D.C. 20228. Attn: Privacy Law Information.

Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Office of Security and police records.

Treasury/BEP 00.012

System name: Employee Index File—Treasury/BEP.

System location: Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Categories of individuals covered by the system: All Supply Branch Employees.

Categories of records in the system: Record contains employee name, address, phone number, social security number, cost center number, position, grade, and date of employment.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Supply Branch personnel to notify designated person in case of emergency or when employee is absent. Also used as Office reference file to provide information for other Bureau components upon request. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: 3 x 5 Cards.

Retrievability: Indexed by name.

Safeguards: Kept on clerical supervisors desk, access limited to Supply Branch personnel.

Retention and disposal: Destroyed upon separation of employee from Branch by shredding.

System manager(s) and address: Chief, Office of Administrative Services, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Notification procedure: Superintendent, Management Services Division Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228. Attn: Privacy Law Information.

Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Supply Branch Employees.

Treasury/BEP 00.013

System name: Employee Locator Record—Treasury/BEP.

System location: Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Categories of individuals covered by the system: All employees of the Bureau of Engraving & Printing.

Categories of records in the system: The system provides current information for the Treasury telephone directory. It also provides employee information data which is needed to locate employees in case of emergency.

Authority for maintenance of the system: The Department of the Treasury, Office of Administrative Programs, Telecommunications Circular No. 21—June 13, 1974.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Telephone Services Unit to locate employees within the Bureau of Engraving & Printing. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in file folders.

Retrievability: By name of employee.

Safeguards: Access limited to Telephone Services Unit personnel; maintained in locked cabinet.

Retention and disposal: Record maintained during employees tenure with the Bureau and then destroyed by shredding.

System manager(s) and address: Chief, Office of Industrial Services, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Notification procedure: Superintendent, Management Services Division Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228. Attn: Privacy Law Information.

Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Bureau of Engraving & Printing Employees.

Treasury/BEP 00.014

System name: Employee's Production Record—Treasury/BEP.

System location: Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Categories of individuals covered by the system: All incumbent Bureau of Engraving and Printing employees.

Categories of records in the system: Contains employee's name, dates, work hours, record of production, history of work assignments, training, work performance, and progress reports.

Authority for maintenance of the system: 5 U.S.C. 301, 4103, 4302.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Bureau management personnel for evaluation of employee's level of productivity, promotion evaluation, reference material for future assignments, and collection of production cost data. For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in data entry diskettes, file folders and production books.

Retrievability: Indexed by name, work code number and cross-referenced by project number.

Safeguards: Maintained in locked cabinets or desks; access is limited to personnel having a need-to-know.

Retention and disposal: Retained six years; destroyed by shredding, defacing or degaussing.

System manager(s) and address: Chief, Office of Audit; Chief, Office of Administrative Services; Chief, Office of Financial Management; Chief, Office of Industrial Relations; Chief, Office of Industrial Services; Chief, Office of Currency and Stamp Printing; Chief, Office of Engraving; Chief, Office of Security; Chief, Office of Securities Processing; Chief, Office of Engineering; Chief, Office of Research and Technical Services; Head, Production Scheduling Staff. Address: Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Notification procedure: Superintendent, Management Services Division, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D,C. 20228. Attn: Privacy Law Information.

Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Information furnished by employee, developed by supervisor or by referral document.

Treasury/BEP 00.016

System name: Employee Suggestions—Treasury/BEP

System location: Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Categories of individuals covered by the system: All Bureau of Engraving & Printing employees submitting suggestions under the incentive award program.

Categories of records in the system: Contains employee's suggestion, reviewer evaluation and final disposition information.

Authority for maintenance of the system: Title 5, U.S.C., 4502 (c).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Employee Relations Branch personnel and other management personnel for system improvements, cost saving ideas, determination of employee awards and other reference purposes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in File Folders.

Retrievability: Indexed by Name.

Safeguards: Maintained in locked file cabinets; access limited to Employee Relations Branch personnel and employee's Supervisor.

Retention and disposal: Retained for three years following date of submission and then destroyed by shredding.

System manager(s) and address: Chief, Office of Industrial Relations, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Notification procedure: Superintendent, Management Services Division, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228. Attn: Privacy Law Information

Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Individual Employee, Employee Supervisor and review committee.

Treasury/BEP 00.018

System name: Guard Uniform Control Account—Treasury/BEP

System location: Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Categories of individuals covered by the system: All uniformed guard force personnel of the Bureau of Engraving and Printing.

Categories of records in the system: Record contains the guard's name, badge number, rank, employment anniversary date, current inventory of guard's uniform, history of uniform issuance, activity and current dollar balance of guard's uniform allowance.

Authority for maintenance of the system: Federal Uniform Allowance Act, 1954.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Chief, Office of Security and Head, Protective Service Branch to monitor and control guard annual uniform allowance, maintain a current inventory of guard uniforms, plan required stock levels and changes in authorized uniform issues. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: 8 x 10 1/2 Ledger sheets in a notebook.

Retrievability: Alphabetical by guard's name.

Safeguards: Access limited to Chief, Office of Security, Head, Protective Services Branch, and the Uniform Control Clerk. Maintained in a locked file cabinet.

Retention and disposal: This is a new system and no retention period has yet been established.

System manager(s) and address: Chief, Office of Security, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Notification procedure: Superintendent, Management Services Division, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228. Attn: Privacy Law Information

Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Guard Force Personnel of Bureau of Engraving and Printing.

Treasury/BEP 00.019

System name: Identification Files—Treasury/BEP.

System location: Bureau of Engraving and Printing; 14th & C Sts., SW Washington, D.C. 20228.

Categories of individuals covered by the system: Incumbent Employees, Separated Bureau Employees, Visitors to the Bureau, Contractor & Service Company Employees (Incumbent & Separated).

Categories of records in the system: Category: Request for Issuance of Badge or Pass, Badge and Pass Photograph, Employee Identification Badge or Pass, Employee Identification Badge and Pass Record Card, Control Record for Issuance of Badges & Passes, Record of Lost Badges, Record of Funds Collected for Issuance of Badges or Passes and receipts for funds released to appropriate authority, Record of Destruction of Badges and Passes, Type of Information: The following information is retained as it applies to each individual issued a Pass and/or Badge in the present system: Name, Date of Birth, Place of Birth, Physical Description, Work area assignment, Name of Firm or Government Agency, Requesting Data for Pass/Badge, Signatures of persons receipting for Passes and Badges, Badge and Pass Numbers, Date of Issue, to whom issued and area or firm, Dates and method of destruction, Date, place and circumstance of loss of Pass/Badge.

Authority for maintenance of the system: 31 USC 427, Executive Order 11652 and implementing Treasury and Bureau regulations.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Category of Users: Bureau of Engraving and Printing management officials, Bureau of Engraving and Printing security personnel, Federal, State, and local law enforcement or investigative agencies. For additional routine uses, see Appendix AA. Purpose of Each Use: Identification of personnel, Determine status of personnel, Limit access of personnel to specified Bureau security areas, and Control access to the Bureau.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Ledgers, paper tapes, tabulating cards and listings, cross-referencing badge numbers, file folders, loose leaf binders, and envelopes.

Retrievability: Numerical by Pass/Badge Number, Alphabetically by last name, Appropriate index by subject.

Safeguards: Maintained in locked cabinets in locked rooms; access limited to Office of Security staff.

Retention and disposal: Retention period—Seven years after separation.

System manager(s) and address: Chief, Office of Security, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Notification procedure: Superintendent, Management Services Division, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228, Attn: Privacy Law Information.

Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: The individual concerned, his supervisor, or an official of the individual's firm or agency.

Treasury/BEP 00.020

System name: Industrial Truck Licensing Records—Treasury/BEP.

System location: Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Categories of individuals covered by the system: Bureau of Engraving and Printing employees designated to operate self—propelled material and/or machinery handling equipment.

Categories of records in the system: Record of employee physical examination, testing, license number and issue date for purpose of operating one or more types of material handling equipment used within the Bureau of Engraving and Printing.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Safety Division staff personnel to validate employee operational authority and determine renewal dates. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File Folder and Card file.

Retrievability: By Name.

Safeguards: Locked file cabinet, access limited to Safety Division staff.

Retention and disposal: Destroyed upon separation by shredding.

System manager(s) and address: Chief, Office of Industrial Services, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Notification procedure: Superintendent, Management Services Division, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228. Attn: Privacy Law Information.

Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Supervisor request, results of physical examination, and data obtained during training or practical tests.

Treasury/BEP 00.021

System name: Investigative Files—Treasury/BEP.

System location: Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Categories of individuals covered by the system: Incumbent Employees, Separated Bureau Employees, Employee Applicants, Visitors to the Bureau, Non—Bureau Correspondents, Contractor & Service Company Employees (Incumbent and Separated).

Categories of records in the system: Category: Security Files, Personnel Clearance Requests, Case Files, Bank Shortage Letters, Contractor Files, Food Coupon Discrepancy Reports, Intelligence Files, Stamp Discrepancy Reports, Case Record, Crank Letters, Security Files Reference Record, Employee Indebtedness Record, Type of Information: Character references, Guard force reports, Previous employment verifications, Newspaper articles, Social Security Numbers, Laboratory reports to include handwriting results and latent fingerprint examinations, Law enforcement criminal and subversive record checks, Court records, Security registers, Residency information, Reports of shortages or thefts of Bureau products including subsequent investigations, Personnel records of various types, Fingerprint card, Photograph, Names of individuals including those at contractor plants who worked on a shortage involving Bureau products, Credit checks, Background investigation reports conducted by Civil Service Commission, the Internal Revenue Service and other Federal Investigative Agencies, Disciplinary action recommended and/or received, Military record forms and extracted information, List of Bureau employees granted security clearances, Processes served, i.e. summons, subpoenas, warrants, etc., Personnel security case numbers, dates case opened and closed, and recommendations, Certificate of Security clearance, Reports of violations of Bureau regulations and procedures, Bureau visitor control documents, Correspondence relating to individuals, Claims of indebtedness from firms and collection agencies and other sources, and assorted documents, Tape recorded testimony, Type of Information: Bureau investigation reports, Information supplied by law enforcement agencies, Applicant interview record, Anonymous tips concerning Bureau employees, Official investigative statements, Names of those requesting security assistance and report of the assistance rendered, other pertinent Governmental records, education records and information, Date of Birth and physical description of individual in the files.

Authority for maintenance of the system: Executive Order 10450 and implementing Treasury and Bureau regulation and 31 U.S.C. 427.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Category of Users: Office of Security and staff, Bureau of Engraving & Printing management officials, Federal, state, county, and city law enforcement agencies, Investigatory Government agencies, other Governmental agencies requesting information, Contractor plant management officials. Purpose of Each Use: Determine employment suitability from a security point of view, Data for bi—weekly & quarterly reports, Means of identifying employees and employee misconduct and criminal activities and their actions affecting security suitability, Social Security numbers as a means of identification, Recommendations for disciplinary action to include dismissal from the Bureau, Determine employees' acceptability for assignment to security areas/duties from a security standpoint, Grant access to the Bureau, Develop Bureau policies and procedures, Determine patterns of employee behavior regarding misconduct, debts, illegal activities, etc., Develop investigative leads, Compile lists of suspects, Maintain numerical record of cases received during year, Maintain record of visitors to Bureau, Determine prospective firms suitability to conduct business with the Bureau, Evidence in legal cases and other judicial and non—judicial actions, Grant security clearances, Determine non—Bureau employee suitability to work on Bureau premises and/or products. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File Folders, 3 x 5 Index Cards, 5 x 8 Index Cards, Loose Leaf Binders, Ledger, Recording Tape.

Retrievability: Numerically by case number and year, Alphabetically by name and social security number, Alphabetically by company name.

Safeguards: Access is limited to Office of Security staff and maintained in locked file cabinets.

Retention and disposal: Retention period and disposal instructions have not yet been officially established.

System manager(s) and address: Chief, Office of Security, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Notification procedure: Superintendant, Management Services Division, Bureau of Engraving and Printing, 14th & C Streets S.W., Washington, D.C. 20228, Attn: Privacy Law Enforcement.

Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: The sources of the information are the individual concerned and information supplied by Federal, State and local investigative agencies, credit bureaus, financial institutions, court records and educational institutions.

Systems exempted from certain provisions of the act: Exempt under 5 U.S.C. 552a (j) and/or (k) and 31 CFR 1.36.

Treasury/BEP 00.022

System name: Monthly Equal Employment Opportunity Activity Report—Treasury/BEP.

System location: Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Categories of individuals covered by the system: Minority and female employees receiving significant appointments and promotions.

Categories of records in the system: Name and Position of Promotion or Appointment.

Authority for maintenance of the system: Affirmative Action Plan for Fiscal Year 1975.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Bureau Equal Employment Opportunity Staff to inform the Director, Office of Equal Employment Opportunity Program, Department of the Treasury, of the monthly progress of minority and female employees. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in file folders.

Retrievability: Cross referenced by month and employee's name.

Safeguards: Access is limited to Bureau Equal Employment Opportunity Staff and is maintained in locked combination safe.

Retention and disposal: Retained five years and then destroyed by shredding.

System manager(s) and address: Chief, Office of Industrial Relations, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Notification procedure: Superintendent, Management Services Division, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228. Attn: Privacy Law Information.

Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Personnel Action Records maintained by Supervisor.

Treasury/BEP 00.023

System name: Motor Vehicle Licensing Records—Treasury/BEP.

System location: Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Categories of individuals covered by the system: Bureau of Engraving and Printing employees designated to operate passenger vehicles, trucks, and/or vans for Bureau business.

Categories of records in the system: Record of employee physical examination, testing, license number and issue date for purpose of operating passenger and/or truck vehicles.

Authority for maintenance of the system: United States Civil Service Commission Regulations 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Safety Division and/or Security Staff, District of Columbia or State Enforcement Authorities to validate employee operational authority. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folder and card file.

Retrievability: By name.

Safeguards: Kept in locked file cabinets; access limited to Safety Division staff.

Retention and disposal: Retained three years; destroyed by shredding.

System manager(s) and address: Chief, Office of Industrial Services, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Notification procedure: Superintendent, Management Services Division, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228. Attn: Privacy Law Information.

Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Supervisor request, results of physical examination, and data obtained during training or practical tests.

Treasury/BEP 00.024

System name: Payroll Records—Treasury/BEP.

System location: Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Categories of individuals covered by the system: All Bureau of Engraving and Printing Employees (Active).

Categories of records in the system: Current Pay and Cumulative Pay—Record consists of all payroll transactions affecting the employee's pay checks, e.g., withholdings, allotments, net check, cumulative year—to—date total.

Authority for maintenance of the system: Federal Personnel Manual and various Federal, State and Local regulatory agencies 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by payroll personnel to create the pay tape for the disbursing officer for routine payroll. Also used to produce the bi-weekly, quarterly and annual employee earnings statement which enables the employee to verify his or her record. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Punch card, magnetic tape, disc, microforms, and printouts.

Retrievability: By Social Security Number.

Safeguards: Records are maintained in locked files in locked area. Access limited to Payroll personnel.

Retention and disposal: Retained in accordance with General Records Schedule No. 2; destroyed by shredding.

System manager(s) and address: Chief, Office of Financial Management, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Notification procedure: Superintendent, Management Services Division, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228. Attn: Privacy Law Information.

Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Employee time and attendance records, allotment authorizations, withholding statements or related financial information.

Treasury/BEP 00.025

System name: Payroll Statistical Data—Treasury/BEP.

System location: Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Categories of individuals covered by the system: All Bureau of Engraving and Printing Employees.

Categories of records in the system: Payroll History—Record consists of all required payroll information including leave data, time and attendance history, allotment information, retirement information, and required pay status information.

Authority for maintenance of the system: Federal Personnel Manual and various regulatory bodies. 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by payroll personnel for routine payroll reporting to Bureau financial and personnel record—keeping personnel; tax reporting at Federal, state and local levels, and required reports to Department of Treasury, Civil Service Commission and other Government agencies (as required by Federal directives). For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Punch Card.

Retrievability: Social Security Number.

Safeguards: Maintained in locked files in locked area. Access limited to Payroll Personnel.

Retention and disposal: Retained in accordance with General Records Schedule No. 2; destroyed by shredding.

System manager(s) and address: Chief, Office of Financial Management, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Notification procedure: Superintendent, Management Services Division, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228. Attn: Privacy Law Information.

Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Employee time and attendance records, payroll records, and related financial information.

Treasury/BEP 00.027

System name: Security Access Control System (SACS)—Treasury/BEP.

System location: Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Categories of individuals covered by the system: Bureau of Engraving & Printing Employees, Official Visitors to the Bureau, Contractor & Service Company Employees.

Categories of records in the system: Record contains Name, Office, Division, Branch, Unit, Badge Number, Picture, Work Shift Code, Work Area Code, Personnel Category, Time & Location of each passage through a Security Control point.

Authority for maintenance of the system: 31 U.S.C. 427, Executive Order 11652 and implementing Treasury and Bureau regulations.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by management and security employees for identification of personnel and for limiting access to and movement within controlled Bureau security areas. Also used by management and security employees as an investigative aid to determine ingress/egress times at security control points. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Mini-computer, ledgers, paper tapes, tabulating cards, listings, cross reference badge numbers, file folders, loose leaf binders, and envelopes.

Retrievability: Numerical by Pass/Badge Number, Alphabetically by last name, Appropriate index by subject.

Safeguards: Maintained in locked cabinets in a locked room; access limited to Office of Security staff.

Retention and disposal: Retention period—Seven years; destroyed by shredding.

System manager(s) and address: Chief, Office of Security, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Notification procedure: Superintendent, Management Services Division, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228. Attn: Privacy Law Information.

Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: The individual concerned, his supervisor, or an official of the individual's firm or agency.

Treasury/BEP 00.029

System name: Petition of Election—Treasury/BEP.

System location: Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Categories of individuals covered by the system: Listing of employees eligible for inclusion within the union bargaining for recognition.

Categories of records in the system: Alphabetical listing of eligible employees to include position title & occupational codes.

Authority for maintenance of the system: Executive Order 11491, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Information compiled & furnished to United States Dept. of Labor, Labor—Mgmt Services Admin. in order to supervise the election; to the Dept. of Treasury to fulfill reporting requirement in Treasury Personnel Manual 711; and to the petitioning Union to verify eligibility of voters at the poll. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in file folders.

Retrievability: By Union Title.

Safeguards: Maintained in a locked cabinet; access limited to Labor—Mgmt. Relations staff & designated Union Representatives as required.

Retention and disposal: Retention and disposal procedures have not been established.

System manager(s) and address: Chief, Office of Industrial Relations, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Notification procedure: Superintendent, Management Services Division, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228. Attn: Privacy Law Information.

Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Position Description file & Personnel Manpower file.

Treasury/BEP 00.030

System name: Project REST (Reporting Employment Statistics in Treasury), Minority Statistics—Treasury/BEP.

System location: Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Categories of individuals covered by the system: All Bureau of Engraving & Printing Employees.

Categories of records in the system: Record contains employee name, Social Security Number, Minority Group Identification, Sex, Occupational Series, Grade, Pay Plan, and Date of Birth.

Authority for maintenance of the system: Assistant Secretary (Administration) Department of the Treasury, Administrative Circular No. 232 (October 5, 1972).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Equal Employment Opportunity staff to provide Minority Group Statistical Data for evaluating the Bureau Equal Employment Opportunity responsibilities. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: On computer print—out.

Retrievability: By Name, Sex and Minority Group Identifier.

Safeguards: Access limited to Bureau Equal Employment Opportunity staff; maintained in locked combination safe.

Retention and disposal: Retained five years; destroyed by shredding.

System manager(s) and address: Chief, Office of Industrial Relations, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Notification procedure: Superintendent, Management Services Division, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228. Attn: Privacy Law Information.

Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Individual Employee, Equal Employment Opportunity Counselors, Equal Employment Opportunity Investigators.

Treasury/BEP 00.031

System name: Reemployment Information Record—Treasury/BEP.

System location: Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Categories of individuals covered by the system: Former Bureau of Engraving & Printing employees.

Categories of records in the system: Information relative to reemployment rights and reemployment recommendations.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by personnel staff for re—hiring determinations and statistical studies and reports. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in file folders.

Retrievability: Indexed by name and year.

Safeguards: Maintained in locked file cabinet, access limited to Personnel staff.

Retention and disposal: Retained three years after separation; destroyed by shredding.

System manager(s) and address: Chief, Office of Industrial Relations, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Notification procedure: Superintendent, Management Services Division, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228. Attn: Privacy Law Information.

Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Information obtained from supervisor as employees separate.

Treasury/BEP 00.032

System name: Retention Register—Treasury/BEP.

System location: Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Categories of individuals covered by the system: All Bureau of Engraving and Printing Employees (Active).

Categories of records in the system: Information on retention rights of employees.

Authority for maintenance of the system: Federal Personnel Manual Chapter 351.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: In cases of reduction in force, used primarily by personnel staff to determine retention rights and also by the Civil Service Commission on inspections and for resolving appeals. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained on punch cards.

Retrievability: Indexed by name, retention subgroup, and competitive level.

Safeguards: Access limited to Manpower Information and Records Section, Staffing Classification and Supervisory Personnel. Maintained in locked file cabinets.

Retention and disposal: Registers are maintained until a new register replaces it. The old register is destroyed by shredding.

System manager(s) and address: Chief, Office of Industiral Relations, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Notification procedure: Superintendent, Management Services Division, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228. Attn: Privacy Law Information.

Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Data is obtained from the Official Personnel Folder.

Treasury/BEP 00.033

System name: Roster of Bureau of Engraving & Printing Employees—Treasury/BEP.

System location: Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Categories of individuals covered by the system: All Bureau of Engraving & Printing Employees.

Categories of records in the system: Roster of employees employed at the Bureau of Engraving & Printing (Active).

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Office of Industrial Relations personnel, auditors and Bureau managers for statistical reports, locator system and manpower forecasting. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Stored on flexoline cards.

Retrievability: By name of employee.

Safeguards: Access limited to Office of Industrial Relations personnel, locked in standard card file.

Retention and disposal: Maintained indefinitely and changed as personnel are hired or separated.

System manager(s) and address: Chief, Office of Industrial Relations, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Notification procedure: Superintendent, Management Services Division, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228. Attn: Privacy Law Information.

Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Information obtained from appointment papers and separation papers.

Treasury/BEP 00.034

System name: Time & Attendance Record—Treasury/BEP.

System location: Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Categories of individuals covered by the system: All Bureau of Engraving & Printing Employees.

Categories of records in the system: Record contains time & attendance information.

Authority for maintenance of the system: Federal Personnel Manual and 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Payroll Section to compute employee payroll records and used by Supervisor & time-keeping personnel to record time & attendance information. For additional uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in tabulating card files.

Retrievability: By name.

Safeguards: Locked in Supervisor's Office; access limited to employees, Supervisor & clerical personnel in Payroll Section.

Retention and disposal: Retained six years; destroyed by shredding.

System manager(s) and address: Chief, Office of Financial Management, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Notification procedure: Superintendent, Management Services Division, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228. Attn: Privacy Law Information.

Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Individual Employee and Supervisor.

Treasury/BEP 00.035

System name: Tort Claims (Against the United States)—Treasury/BEP.

System location: Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Categories of individuals covered by the system: Individuals and/or organizations making claim for money damage against the United States for injury to or loss of property or personal injury or death caused by the neglect or wrongful act or omission of a Bureau of Engraving & Printing employee while acting within the scope of his office or employment.

Categories of records in the system: Contains investigative and adjudication documents relative to personal injury and/or property damage claims.

Authority for maintenance of the system: Federal Tort Claims Act, Title 28 U.S.C. 2672, Public Law 89—506, Treasury Department Circular 131.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Director, Bureau of Engraving and Printing and/or his designee in conjunction with the General Counsel, Department of the Treasury for adjudication and settlement of claims. For additional uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folder.

Retrievability: By name.

Safeguards: Locked file cabinet; access limited to Safety Division staff.

Retention and disposal: Retained three years; destroyed by shredding.

System manager(s) and address: Chief, Office of Industrial Services, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Notification procedure: Superintendent, Management Services Division, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228. Attn: Privacy Law Information.

Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Individual or organization's claim and/or investigative reports.

Treasury/BEP 00.036

System name: Union Dues Allotments—Treasury/BEP.

System location: Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Categories of individuals covered by the system: Employees who authorize or revoke Union dues allotments.

Categories of records in the system: An authorization for or revocation of voluntary union dues allotments.

Authority for maintenance of the system: Executive Order 11491, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Payroll Office employees to effect allotments of Union dues in accordance with voluntary determination of employee member. For additional uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in file folders.

Retrievability: Indexed by name of employee.

Safeguards: Maintained in locked file cabinet; access limited to personnel in the Records & Payroll Sections.

Retention and disposal: Record maintained for length of time necessary to perform payroll activities, disposed when superseded by new card or on transfer or separation of employee whichever is earlier. Destroyed by shredding.

System manager(s) and address: Chief, Office of Financial Management, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Notification procedure: Superintendent, Management Services Division, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228. Attn: Privacy Law Information.

Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Authorization or revocation statement originated by employee.

Treasury/BEP 00.037

System name: Union Index—Treasury/BEP.

System location: Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Categories of individuals covered by the system: Name & Title of designated Union officials to include the President, Vice President, Secretary, Treasurer, & Stewards.

Categories of records in the system: Listing of recognized Union officials by title, bargaining unit, and jurisdiction.

Authority for maintenance of the system: Executive Order 11491, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by management personnel for the purpose of normal conduct of established Labor-Mgmt. Relations business. Copies provided to the Treasury Dept., U.S. Dept. of Labor and the Civil Service Commission as required by Treasury Personnel Manual. For additional uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in file folders.

Retrievability: By Union Title.

Safeguards: Maintained in locked file; access limited to Labor—Mgmt Relations staff & Mgmt. officials as required.

Retention and disposal: Retained for duration of recognition of the Union and then destroyed by shredding.

System manager(s) and address: Chief, Office of Industrial Relations, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Notification procedure: Superintendent, Management Services Division, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228. Attn: Privacy Law Information.

Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Provided by recognized unions.

Treasury/BEP 00.038

System name: Unscheduled Absence Record—Treasury/BEP.

System location: Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Categories of individuals covered by the system: All Bureau of Engraving & Printing employees who have had unscheduled absences.

Categories of records in the system: Record contains chronological documentation of unscheduled absences.

Authority for maintenance of the system: Federal Personnel Manual.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by an employee's supervisor to record the number of times an employee has an unscheduled absence. This information is used by Employee Relations Branch for statistical reports depicting frequency rate for all Bureau employees. It is also used as a basis for counseling an employee concerning overall job performance. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in file folders.

Retrievability: By name.

Safeguards: Kept in locked file cabinets; access to these records is restricted to Supervisor and authorized timekeeping personnel.

Retention and disposal: Retained until separation; destroyed by shredding.

System manager(s) and address: Chief, Office of Industrial Relation, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Notification procedure: Superintendent, Management Services Division, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228. Attn: Privacy Law Information.

Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Individual employee time & attendance record and employee's supervisor.

Treasury/BEP 00.040

System name: Freedom of Information Requests—Treasury/BEP

System location: Bureau of Engraving and Printing; 14th & C Sts., SW Washington, D.C. 20228.

Categories of individuals covered by the system: Individuals requesting information.

Categories of records in the system: Correspondence pursuant to the Freedom of Information Act, internal processing documents and memoranda, referrals and copies of requested records.

Authority for maintenance of the system: Freedom of Information Act, 5 U.S.C. 552. 31 C.F.R. Part 1.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: For reporting requirements as required by the Act; to respond to other Department officials who request information regarding persons making a FOI request; evaluation purposes and determination of volume of requests received and the impact on the current workload; preparation of budget; disclosures in accordance with Freedom of Information Act, to other agencies when required for coordination of response or referral; to Department of Justice for defending law suits; to Congressional Committees; for additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders.

Retrievability: By name of requester(s) and date of request.

Safeguards: Filed in filing cabinet and released only to individuals with legitimate right to review.

Retention and disposal: Retained in accordance with General Records Schedule No. 14; then destroyed by shredding.

System manager(s) and address: Chief, Office of Administrative Services, Bureau of Engraving and Printing; 14th & C Sts. , SW., Washington, D.C. 20228.

Notification procedure: Superintendent, Management Services Division, Bureau of Engraving and Printing; 14th & C Sts., S.W., Washington, D.C. 20228, Attn: Privacy Law Information.

Record access procedures: See Notification above.

Contesting record procedures: See Notification above.

Record source categories: Individuals who make Freedom of Information Act requests. Federal officials responding to Freedom of Information Act requests and documents from official records.

Treasury/BEP 00.041

System name: Record of Discrimination Complaints—Treasury/BEP.

System location: Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Categories of individuals covered by the system: Employees who have initiated discrimination complaints.

Categories of records in the system: Data developed as a result of inquiry by the person making the allegation of discrimination.

Authority for maintenance of the system: U.S. Civil Service Commission (Federal Personnel Manual Letter, No. 713—21).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Equal Employment Opportunity Complaint Investigators and Hearing Officers to provide reference data for making rulings on discrimination cases. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in file folders.

Retrievability: By Name.

Safeguards: Access limited to Complainants, Equal Employment Opportunity Staff, Equal Employment Opportunity Investigator; maintained in locked combination safe.

Retention and disposal: Retained four years after resolution; destroyed by shredding.

System manager(s) and address: Chief, Office of Industrial Relations, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Notification procedure: Superintendent, Management Services Division, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228. Attn: Privacy Law Information.

Record access procedures: See notification above.

Contesting record procedures: See notification above.

Record source categories: Individual employees who have discrimination complaints.

Treasury/BEP 00.042

System name: Informal EEO Complaint Processing Records—Treasury/BEP.

System location: Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Categories of individuals covered by the system: Employees who register informal EEO complaints.

Categories of records in the system: Contains information and data developed through counseling sessions and appropriate inquiries.

Authority for maintenance of the system: U.S. Civil Service Commission Regulations; Section 713 Treasury Regulations.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used to inform complainants of the results of the counselor's inquiries. Also used to provide EEO Complaint Investigator with historical background data on cases which could not be resolved on an informal basis.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in file folders.

Retrievability: By name.

Safeguards: Locked in combination lock safe. Access limited to EEO Specialists and Counselors.

Retention and disposal: Retained four years after resolution of case; then destroyed by shredding.

System manager(s) and address: Chief, Office of Industrial Relations Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Notification procedure: Superintendent, Management Services Division Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228. Attn: Privacy Law Information.

Record access procedures: Same as above.

Contesting record procedures: Same as above.

Record source categories: Individual complainants and other employees and supervisors necessary to make an appropriate inquiry.

Treasury/BEP 00.043

System name: Parking Program Records—Treasury/BEP.

System location: Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Categories of individuals covered by the system: Bureau, Non-Bureau personnel.

Categories of records in the system: Parking space applicant information—shows applicant's name and address, vehicle model and year, vehicle license number, work hours, work component, home and work telephone number.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used to provide information on all parking permit applicants.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: 5 x 8 card file and lettersize file folders.

Retrievability: Alphabetically by applicant and parking space number.

Safeguards: Access is limited to Office of Security staff and maintained in locked office.

Retention and disposal: Retention period and disposal instructions have not been officially established.

System manager(s) and address: Chief, Office of Security, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Notification procedure: Superintendent, Management Services Division Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228. Attn: Privacy Law Information.

Record access procedures: Same as above.

Contesting record procedures: Same as above.

Record source categories: Parking permit applicants.

Treasury/BEP 00.044

System name: Personnel Security Files and Indices—Treasury/BEP.

System location: Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Categories of individuals covered by the system: Employee applicants, current and former Bureau employees, contractor and service company employees.

Categories of records in the system: Investigative information related to personnel investigations conducted by the Bureau of Engraving and Printing Security office and other Federal Agencies.

Authority for maintenance of the system: Executive Order 10450.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: These records are used for the purpose of granting security clearances and making employment suitability determinations. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File Folders/3 x 5" Index cards.

Retrievability: Alphabetically by name.

Safeguards: Access is limited to Office of Security staff and records are maintained in locked file cabinets.

Retention and disposal: Retention periods and disposal instructions have not yet been officially established.

System manager(s) and address: Chief, Office of Security, Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228.

Notification procedure: Superintendent, Management Services Division Bureau of Engraving and Printing; 14th & C Sts., SW, Washington, D.C. 20228. Attn: Privacy Law Information.

Record access procedures: Same as above.

Contesting record procedures: Same as above.

Record source categories: Individual employee and information supplied by other Federal Investigative Agencies.

Systems exempted from certain provisions of the act: Exempt under 5 U.S.C. 552a(j) and/or (k) and 31 CFR 1.36

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Treasury/Mint 00.002

System name: Applicants for selection for the current Annual Assay Commission, and members of former Commissions.—Treasury/Mint.

System location: Bureau of the Mints, Office of the Director, Warner Building, 501 13th St., N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Members of public seeking appointment by President to Assay Commission and their sponsors or endorsers, and members of former Commissions.

Categories of records in the system: Biographies of candidates and correspondence with applicants, sponsors and endorsers.

Authority for maintenance of the system: 31 U.S.C. 363.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Used to screen applicants for Presidential selection and to determine whether applicants had previously served on Assay Commission. (b) Used by appropriate agency officials and other Federal officials responsible for processing selection. (c) Used to select a committee to test the nation's coinage. (d) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper documents.

Retrievability: By name.

Safeguards: Accessible only to appropriate agency and other Federal officials. Secured Files.

Retention and disposal: Retained permanently.

System manager(s) and address: Assistant to the Director (Public Affairs), Warner Building, Room 1037, 501 13th Street, N.W., Washington, D.C. 20220.

Notification procedure: Same as listed under System Manager. Individuals are required to furnish name.

Record access procedures: For information on procedures for gaining access to and contesting records, individuals may contact the following official: Chief, Information Systems and Documentation Branch, Bureau of the Mint, Room 912, Warner Building, Washington, D.C. 20220.

Contesting record procedures: See access above.

Record source categories: Information submitted voluntarily by general public and Congressional or other endorsers and sponsors.

Treasury/Mint 00.003

System name: Cash Receivable Accounting Information System—Treasury/Mint.

System location: Washington, DC, Bureau of the Mint, Warner Building, 501 13th Street, N.W. Philadelphia, PA, United States Mint, Independence Mall. Denver, CO, United States Mint, 320 West Colfax Avenue. San Francisco, CA, United States Assay Office, 155 Hermann Street. New York, NY, United States Assay Office, 32 Old Slip Street. Fort Knox, KY, United States Bullion Depository. San Francisco, CA, United States Old Mint, 88 Fifth Street.

Categories of individuals covered by the system: Employees and former employees of the Bureau of the Mint and the general public who have: (a) Served on jury duty when employed by the Bureau of the Mint. (b) Paid for lost government property belonging to the Mint. (c) Purchased numismatic items from Mint sales outlets.

Categories of records in the system: (1) Cash received from Mint employees who have served on jury duty or received witness fees. (2) Cash received from Mint employees and general public for lost government property, assay sample work and cash sales of over-the-counter numismatic items.

Authority for maintenance of the system: 5 USC 5537 (Fees for jury service); 31 USC 369 (Sale of numismatic items).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (A) Use: to record cash payment made to the Mint by employees, former employees and general public for services, lost government property and jury and witness fees. (B) Categories of Users: accounting office, managers and supervisors and government officials. (C) Purpose of Use—to account for cash receivables. (D) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper Documents.

Retrievability: Name or number substitute.

Safeguards: Storage in filing cabinets with access by authorized accounting personnel.

Retention and disposal: General records control schedule, GAO rules and regulations, Bureau of the Mint Records Control Schedule, Destroyed in accordance with General Services Administration regulations.

System manager(s) and address: Bureau of the Mint, Warner Building, 501 13th Street, N.W., Washington, D.C. 20220, Financial Manager; Philadelphia, PA 19106, United States Mint, Independence Mall, Budget and Accounting Officer; Denver, CO 80204, United States Mint, 320 West Colfax Avenue, Budget and Accounting Officer; San Francisco, CA 94102, United States Assay Office, 155 Hermann Street, Budget and Accounting Officer; New York, NY 10005, United States Assay Office, 32 Old Slip Street, Budget and Accounting Officer; Fort Knox, KY 40121, United States Bullion Depository, Officer in Charge; San Francisco, CA 94103, United States Old Mint, 88 Fifth Street, Budget and Accounting Officer.

Notification procedure: Same as included in System Manager. An employee or former employee is required to show an identification such as: (A) Employee identification, (B) Driver's license, (C) Other means of identification including social security number and date of birth.

Record access procedures: For information on procedures for gaining access to and contesting records, individuals may contact the following official: Chief, Information Systems and Documentation Branch, Bureau of the Mint, Room 912, Warner Building, Washington, D.C. 20220.

Contesting record procedures: See access above.

Record source categories: Bureau employees and appropriate agency officials.

Treasury/Mint 00.004

System name: Confidential Statements of Financial Interest—Treasury/Mint.

System location: Bureau of the Mint, Warner Building, 501 13th Street, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Employees, special employees, consultants, and advisers of the Bureau of the Mint who are required to file statements of financial interest.

Categories of records in the system: Statements of Employment and Financial Interests.

Authority for maintenance of the system: 18 U.S.C. 207, 208; 5 CFR 735.406 and 31 CFR 0.735-70 through 0.735-235.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Used to record, document, and maintain required financial interest statements submitted by Bureau employees under Treasury Department regulations.To refer, where there is an indication of a violation or potential violation of law, whether civil, criminal, or regulatory in nature, to the appropriate Federal agency charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto. (b) Categories of users agency officials who have a need for the record in the performance of their duties and other Federal officials charged with law enforcement responsibilities. (c) Purpose to maintain current financial interest statements to avoid conflicts of interest. (d) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper documents.

Retrievability: By name.

Safeguards: Files are kept in a locked metal file cabinet in an area accessible to authorized agency officials.

Retention and disposal: Records are maintained as long as the employee is with the Bureau.

System manager(s) and address: Personnel Officer, Bureau of the Mint, Warner Building, 501 13th Street, N.W., Washington, D.C. 20220.

Notification procedure: Same address and individual listed under System Manager. The employee may be required to show an identification such as: employee identification, driver's license; or other means of identification which will clearly identify an individual.

Record access procedures: For information on procedures for gaining access to and contesting records, individuals may contact the following official: Chief, Information Systems and Documentation Branch, Bureau of the Mint, Room 912, Warner Building, Washington, D.C. 20220.

Contesting record procedures: See access above.

Record source categories: Submitted by individual.

Treasury/Mint 00.005

System name: Current Employee Security Identification Record—Treasury/Mint.

System location: Philadelphia, Pennsylvania, United States Mint, Independence Mall. Denver, Colorado, United States Mint, 320 West Colfax Avenue. San Francisco, California, United States Assay Office, 155 Hermann Street. New York, New York, United States Assay Office, 32 Old Slip Street. West Point, New York, United States Bullion, Depository. Fort Knox, Kentucky, United States Bullion, Depository. San Francisco, California, United States Old Mint, 88 Fifth Street.

Categories of individuals covered by the system: Current Bureau of the Mint employees.

Categories of records in the system: Security information system used to verify proper admittance to restricted areas within the Mint facilities detailed under "LOCATION". The card record (Mint Form 8925) names the employee; provides date and place of birth; descriptive data on height, weight, hair and eyes; office and division in which employed; along with photograph and signature of the employee. The record also indicates approval by facility management for the issuance of personal identification to the employee, which is subsequently carried by the employee.

Authority for maintenance of the system: 40 U.S.C. 318—318c. Treasury Department Order No. 177-25, dated August 8, 1973.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Use: security access control. (b) Categories of Users: security Force Officers. (c) Purpose of Use: protection of persons and property. (d) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Record is 5 inches x 8 inches card filed in Kardex Binder or circular-type index system.

Retrievability: Alphabetical by name.

Safeguards: Maintained in secure area by Security Force Officers.

Retention and disposal: Records are retained until separation of the employee and are destroyed upon termination in accordance with General Services Administration rules and regulations.

System manager(s) and address: Treasury Department, Bureau of the Mint, Warner Building, 501 13th St., N.W., Washington, D.C. 20220, Chief of Security (Coordinator); United States Mint, Independence Mall, Philadelphia, Pa. 19106, Security Officer (Facility Manager); United States Mint, 320 West Colfax Avenue, Denver, Colorado 80204, Security Officer (Facility Manager); United States Assay Office, 155 Hermann Street, San Francisco, Ca. 94102, Security Offi-

cer (Facility Manager); United States Assay Office, 32 Old Slip Street, New York, N.Y. 10005, Security Officer (Facility Manager); United States Bullion, Depository, West Point, New York 10996, Security Officer, NYAO (Facility Manager); United States Bullion Depository, Fort Knox, Kentucky 40121, Security Force Captain (Facility Manager); United States Old Mint, 88 Fifth Street, San Francisco, Ca. 94103, Security Force Captain (Facility Manager).

Notification procedure: Same as shown under System Manager. An employee is free to examine his card record upon request, after properly identifying himself as the employee of record. The following would be used to prove identity: (a) Employee identification; (b) Driver's license; (c) Other acceptable personal documents.

Record access procedures: For information on procedures for gaining access to and contesting records, individuals may contact the following official: Chief of Security, Bureau of the Mint, Room 1037, Warner Building, 501 13th Street, N.W., Washington, D.C. 20220.

Contesting record procedures: See access above.

Record source categories: Personal information provided by employee himself and identification approval by management staff.

Treasury/Mint 00.006

System name: Employee and Former Employee Travel and Training Accounting Information System—Treasury/Mint.

System location: Washington, DC, Bureau of the Mint, Warner Building, 501 13th Street, N.W. Philadelphia, PA, United States Mint, Independence Mall. Denver, CO, United States Mint, 320 West Colfax Avenue. San Francisco, CA, United States Assay Office, 155 Hermann Street. New York, NY, United States Assay Office, 32 Old Slip Street. Fort Knox, KY, United States Bullion Depository. San Francisco, CA, United States Old Mint, 88 Fifth Street.

Categories of individuals covered by the system: Employees and former employees of the Bureau of the Mint who have engaged in travel and training.

Categories of records in the system: (1) SF 1166 Voucher and Schedule of Payments with supporting documents such as: (A) SF 1012 Travel Voucher, (B) SF 1038 Application and Account for Advance of Funds, (2) Travel Authorities, (3) Government Travel Request SF 1169, (4) Request, Authorization, Agreement and Certification of Training.

Authority for maintenance of the system: 5 U.S.C. Chapter 41 (Training) and Chapter 57 (Travel).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (A) Use: To record travel and training information on all Mint employees and former employees for the purpose of making disbursements. (B) Categories of Users: Accounting office, managers and supervisors and government officials. (C) Purpose of Use: To administer the travel program of the Bureau in accordance with the regulations. Also, to assist the Personnel Division in administering the training program. (D) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper Documents.

Retrievability: Name or number substitute.

Safeguards: Storage in filing cabinets with access by authorized accounting personnel.

Retention and disposal: General Records Control Schedule, GAO rules and regulations, Bureau of the Mint Records Control Schedule, Destroyed in accordance with General Services, Administration regulations.

System manager(s) and address: Bureau of the Mint, Warner Buildings, 501 13th Street, N.W., Washington, DC 20220, Financial Manager; Philadelphia, PA 19106, United States Mint, Independence Mall, Budget and Accounting Officer; Denver, CO 80204, United States Mint, 320 West Colfax Avenue, Budget and Accounting Officer; San Francisco, CA 94102, United States Assay Office, 155 Hermann Street, Budget and Accounting Officer; New York, NY 10005, United States Assay Office, 32 Old Slip Street, Budget and Accounting Officer; Fort Knox, KY 40121, United States Bullion Depository, Officer in Charge; San Francisco, CA 94103, United States Old Mint, 88 Fifth Street, Budget and Accounting Officer.

Notification procedure: Same as included in System Manager. An employee or former employee is required to show an identification such as: (A) Employee identification, (B) Drivers' license, (C) Other names of identification including social security number and date of birth.

Record access procedures: For information on procedures for gaining access to and contesting records, individuals may contact the following official: Chief, Information Systems, and Documentation Branch, Bureau of the Mint, Room 912, Warner Building, Washington, D.C. 20220.

Contesting record procedures: See access above.

Record source categories: Bureau employees and appropriate agency officials.

Treasury/Mint 00.007

System name: Employee, Former Employee and Visitors, Occupational Safety and Health, Accident and Injuries Records; and Claims for Injuries or Damage Compensation Records—Treasury/Mint.

System location: Location and Category of Records Maintained: Washington, D.C., Bureau of the Mint, Warner Building, 501 13th St. N.W., Accident/Injury/Illness Records, Vehicle Accident, and Claims Against the Government; Philadelphia, Pennsylvania, United States Mint, Independence Mall, Accident/Injury/Illness Records, Health Records, Motor Vehicle Accident Data, Claims Against the Government, Safety Equipment Logs, and Operators Training/Licensing; Denver, Colorado, United States Mint, 320 West Colfax Avenue, Accident/Injury/Illness Records, Health Records, Motor Vehicle Accident Data, Claims Against the Government, Safety Equipment Logs, and Operators Licensing; San Francisco, California, United States Assay Office, 155 Hermann Steet, Accident/Injury/Illness Records, Health Records, Motor Vehicle Accident Data, Claims Against the Government, Safety Equipment Logs, and Operators Training/Licensing; New York, New York, United States Assay Office, 32 Old Slip Street, Accident/Injury/Illness Records, Health Records, Motor Vehicle Accident Data, Claims Against the Government, and Safety Equipment Logs; West Point, New York, United States Bullion Depository, Accident/Injury/Illness Records, and Motor Vehicle Accident Data; Fort Knox, Kentucky, United States Bullion Depository, Accident/Injury/Illness Records, Motor Vehicle Accident Data, and Claims Against the Government; San Francisco, California, United States Old Mint, 88 Fifth Street, Accident/Injury/Illness Records, Motor Vehicle Accident Data, Claims Against the Government, Safety Equipment Issuance, and Operators Licensing.

Categories of individuals covered by the system: Bureau of the Mint employees, former employees and visitors to Mint offices and facilities, reporting accidents and injuries occurring on Federal Government property or in areas immediately adjacent to Government property, for which potential liability exists due to local laws.

Categories of records in the system: (See Location).

Authority for maintenance of the system: 29 U.S.C. 668; E.O. 11807, 28 U.S.C. 2680 et seq.; 31 CFR Part 3, 31 U.S.C. 240-243; 31 CFR Part 4.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) Information in these records is used for the following purposes: (a) Accident prevention, reduction and compilation of statistics. (b) Accident investigations. (c) Determination of Government liability under Federal Tort Claims Act. (d) Determination of Government liability under Military Personnel and Civilian Employees' Claims Act. (e) Determination of employee compensation benefits under Federal Employees' Compensation Act. (f) Health and medical treatment and care to employees and assuring industrial hygiene improvements. (g) Determining accountability for safety equipment. (2) Information in these records may be provided to appropriate agency officials and officials of the following other Federal agencies responsible for the administration of relevant programs or the evaluation, adjudication, litigation and settlement of claims by or against the Government: (a) Department of Labor, (b) Department of Justice, (c) General Accounting Office, (d) Civil Service Commission, (e) Social Security Administration, (3) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper documents.

Retrievability: by name.

Safeguards: Locked containers in Safety Health Office available to authorized personnel only.

Retention and disposal: Records are retained in accordance with General Records Control Schedules, and Bureau of the Mint Records Control Schedules; and are destroyed in accordance with General Services Administration rules and regulations.

System manager(s) and address: Treasury Department, Bureau of the Mint, Warner Building, 501 13th Street, N.W., Washington, D.C. 20220, Safety Officer; United States Mint, Independence Mall, Philadelphia, PA 19106, Safety Manager; United States Mint, 320 West Colfax Avenue, Denver, Colorado 80204, Safety Manager; United States Assay Office, 155 Hermann Street, San Francisco, California 94102, Safety Manager; United States Assay Office, 32 Old Slip

Street, New York, New York 10005, Safety Manager; United States Bullion Depository, West Point, New York 10996, Safety Manager; United States Bullion Depository, Fort Knox, Kentucky 40121, Safety Manager; United States Old Mint, 88 Fifth Street, San Francisco, California 94103, Safety Manager.

Notification procedure: Same as included in System Manager. An employee or former employee is required to show an identification such as: (A) Employee identification, (B) Driver's license, (C) Other means of identification including social security number and date of birth.

Record access procedures: For information on procedures for gaining access to and contesting records, individuals may contact the following official: Chief, Information Systems and Documentation Branch, Bureau of the Mint, Room 912, Warner Building, Washington, D.C. 20220.

Contesting record procedures: See access above.

Record source categories: Employees, supervisors, medical staff, general public, and visitors to the facilities of the Bureau of the Mint.

Treasury/Mint 00.009

System name: Employee- Supervisor Performance, Evaluation, Counseling and Time and Attendance Records—Treasury/Mint.

System location: San Francisco Old Mint, 88 Fifth Street, San Francisco, California. San Francisco Assay Office, 155 Hermann Street, San Francisco, California. Denver Mint, 320 West Colfax Avenue, Denver, Colorado. Washington, D.C., Bureau of the Mint, Warner Building, 501—13th Street, N.W. Philadelphia Mint, Independence Mall, Philadelphia, Pa. New York, N.Y., United States Assay Office, 32 Old Slip Street. Fort Knox, Kentucky, United States Bullion Depository. West Point, N.Y., United States Bullion Depository.

Categories of individuals covered by the system: Bureau of the Mint employees and former employees.

Categories of records in the system: Information necessary for managers and supervisors to effectively carry out supervisory responsibilities. Included are such records as: copies of personnel actions, performance appraisal including production and control, disciplinary actions, overtime reports, tardiness reports, work assignments, training reports, applications for employment, home addresses, leave reports, employee awards. (Supervisors maintain varying combinations of the above records. Some supervisors may maintain all or none of the above records depending upon the nature and size of the operation or organization and the number of individuals supervised.)

Authority for maintenance of the system: 5 U.S.C. 301 and FPM Supplement 990—1, Section 3.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Use—Employee performance, evaluation, counseling and operational control. Categories of Users—Managers and supervisors throughout the Mint. Purpose of Use—To effectively carry out their personnel management responsibilities. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper documents maintained in folders.

Retrievability: By name.

Safeguards: Stored in file cabinets and desks of supervisors.

Retention and disposal: Retained as long as employee is under their supervision.

System manager(s) and address: Bureau of the Mint, Warner Building, 501 13th Street, N.W. 20220, Assistant Directors; United States Mint, Independence Mall, Philadelphia, Pa. 19106, Superintendent; United States Mint, 320 West Colfax Avenue, Denver, CO. 80204, Superintendent; United States Assay Office, 155 Hermann Street, San Francisco, CA. 94102, Officer-in-Charge; United States Assay Office, 32 Old Slip Street, New York, N.Y. 10005, Officer-in-Charge; United States Bullion Depository, Fort Knox, Kentucky 40121, Officer-in-Charge; United States Old Mint, 88 Fifth Street, San Francisco, CA. 94103, Officer-in-Charge; United States Bullion Depository, West Point, N.Y., Officer-in-Charge.

Notification procedure: Same as listed under system manager, Employee or former employee is required to show identification such as: I.D. card, driver's license.

Record access procedures: For information on procedures for gaining access to and contesting records, individuals may contact the following official: Chief, Information Systems and Documentation Branch, Bureau of the Mint, Room 912, Warner Building, Washington, D.C. 20220.

Contesting record procedures: Contest: See access above.

Record source categories: Employees; previous employers; and appropriate agency officials.

Treasury/Mint 00.017

System name: Equal Employment Opportunity (EEO) Complaint Counseling Records - Treasury/Mint.

System location: Washington, D.C., Bureau of the Mint, Warner Building, 501 - 13th Street, N.W.; Philadelphia, Pennsylvania, United States Mint, Independence Mall; Denver, Colorado, United States Mint, 320 West Colfax Avenue; San Francisco, California, United States Assay Office, 155 Hermann Street; New York, New York, United States Assay Office, 32 Old Slip Street; San Francisco, California, United States Old Mint, 88 Fifth Street; and Fort Knox, Kentucky, United States Bullion Depository.

Categories of individuals covered by the system: Employees and applicants for employment at the Bureau of the Mint.

Categories of records in the system: Allegations of Discrimination and all evidence collected during the counseling process.

Authority for maintenance of the system: Public Law 92-261; Executive Order 11478; 5 CFR Part 713.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Use - Informal processing of employee complaints of discrimination. Categories of users - Agency officials who have a need for the records in the performance of their duties. Purpose of use - Informal resolution of complaints of discrimination.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Folders of paper documents.

Retrievability: By name.

Safeguards: Stored in file cabinets and desks of Counselors and EEO Officer.

Retention and disposal: Indefinite.

System manager(s) and address: EEO Officer, Bureau of the Mint, Warner Building, 501 - 13th Street, N.W., Washington, D.C. 20220; EEO Officer, United States Mint, Independence Mall, Philadelphia, Pennsylvania 19106; EEO Officer, United States Mint, 320 West Colfax Avenue, Denver, Colorado 80204; EEO Officer, United States Assay Office, 32 Old Slip Street, New York, New York 10005; EEO Officer, United States Assay Office, 155 Hermann Street, San Francisco, California 94102; EEO Officer, United States Old Mint, 88 Fifth Street, San Francisco, California 94103; EEO Officer, United States Bullion Depository, Fort Knox, Kentucky 40121.

Notification procedure: See System Manager for address to which inquiries may be addressed. The individual must supply name.

Record access procedures: For information on procedures for gaining access to and contesting records, individuals may contact the Systems Manager.

Contesting record procedures: See access above.

Record source categories: Information is voluntarily obtained from employee(s), management, applicants and pertinent documents.

Treasury/Mint 00.010

System name: Examination reports of coins forwarded to Mint from U.S. Secret Service—Treasury/Mint.

System location: Warner Building, 501 13th Street, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Individuals from whom U.S. Secret Service has seized coins suspected to be counterfeit or fraudulently altered.

Categories of records in the system: Reports prepared for U.S. Secret Service regarding the examination of the questioned coin or coins by Mint.

Authority for maintenance of the system: 18 U.S.C. 331, 485, 486, 489, 490 and 491.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Uses, to determine whether a coin is counterfeit or altered and submit report to U.S. Secret Service or other Federal agency charged with law enforcement responsibilities. To give expert testimony as to the coins examined in a criminal or civil proceeding before a Federal or state court. (b) Categories of users, agency officials who have a need for the records in the performance of their duties. (c) Purpose of use, to preserve the integrity of the coinage system by detecting counterfeit or altered coins and to assist in the enforcement of Federal counterfeiting statutes.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper documents.

Retrievability: By name.

Safeguards: Files are kept in locked metal file cabinets in area accessible only to authorized agency officials.

Retention and disposal: Retained in accordance with Bureau of the Mint Records Control Schedule.

System manager(s) and address: Assistant Director for Technology, Bureau of the Mint, Warner Building, 501 13th Street, N.W., Washington, D.C. 20220.

Notification procedure: This system is proposed for exemption elsewhere in the Federal Register.

Record access procedures: This system is proposed for exemption elsewhere in the Federal Register.

Contesting record procedures: Contest: This system is proposed for exemption elsewhere in the Federal Register.

Record source categories: This system is proposed for exemption elsewhere in the Federal Register.

Systems exempted from certain provisions of the act: This system is proposed for exemption elsewhere in the Federal Register.

Treasury/Mint 00.011

System name: General Correspondence—Treasury/Mint.

System location: Bureau of the Mint, Warner Building, 501 13th Street, N.W., Washington, D.C. United States Assay Office, 32 Old Slip, New York, NY. United States Old Mint, 88 Fifth Street, San Francisco, CA.

Categories of individuals covered by the system: Members of the public, Members of Congress, agency officials and other Federal agencies.

Categories of records in the system: Incoming correspondence and replies pertaining to the mission, function and operation of the Bureau of the Mint.

Authority for maintenance of the system: 31 U.S.C. 251, 253.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Use, to maintain documentation of information supplied by the agency to the correspondent. (b) Categories of users, appropriate agency officials. (c) Purpose of use, to provide documentation and reference for future correspondence. (d) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper documents.

Retrievability: By name of correspondent.

Safeguards: Maintained in limited access area available only to appropriate agency officials.

Retention and disposal: In accordance with the General Services Administration's General Records Control Schedule and the Bureau of the Mint Records Control Schedule. Destroyed in accordance with General Services Administration regulations.

System manager(s) and address: Chief, Information Systems and Documentation Branch, Bureau of the Mint, Warner Building, 501 13th Street, N.W., Washington, D.C. 20220. Superintendent, United States Assay Office, 155 Hermann Street, San Francisco, California 94102. Officer-in-Charge, United States Old Mint, 88 Fifth Street, San Francisco, California 94103.

Notification procedure: See System Manager for addresses to which inquiries may be addressed and addresses at which the individual may present a request as to whether a system contains records pertaining to himself. The individual must supply name.

Record access procedures: For information on procedures for gaining access to and contesting records, individuals may contact the following official: Chief, Information Systems and Documentation Branch, Bureau of the Mint, Room 912, Warner Building, Washington, D.C. 20220.

Contesting record procedures: See access above.

Record source categories: The general public, Members of Congress, agency and other Federal officials.

Treasury/Mint 00.016

System name: Grievances. Union/Agency Negotiated—Treasury/Mint.

System location: Washington, DC, Bureau of the Mint, Warner Building, 501-13th Street, N.W.

Philadelphia, PA, United States Mint, Independence Mall.
Denver, CO, United States Mint, 320 West Colfax Avenue.
San Francisco, CA, United States Assay Office, 155 Hermann Street.
New York, NY, U.S. Assay Office, 32 Old Slip Street.
San Francisco, CA, U.S. Old Mint, 88 Fifth Street.
Ft. Knox, KY, United States Bullion Depository.
West Point, NY, West Point Buillion Depository.

Categories of individuals covered by the system: Employees and former employees of the Bureau of the Mint.

Categories of records in the system: This system of records contains information or documents relating to employee grievances filed under provisions of negotiated grievance procedures, including decision of appropriate third parties where applicable.

Authority for maintenance of the system: Executive Orders 11491, 11616, 11636 and 11838; negotiated agreements between Mint and exclusively recognized labor unions.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) To process an employee grievance, including submission to an arbitrator and appeal to the Federal Labor Relations Council. (b) To refer a question of grievability or arbitrability to appropriate officials of the Department of Labor. (c) To provide information or disclose to the appropriate Federal agency in connection with civil litigation involving the Bureau of the Mint. (d) For additional routine uses see Treasury Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: These records are maintained in file folders or binders.

Retrievability: These records are filed by the names of the individuals on whom they are maintained or by the subject of the grievance.

Safeguards: Access to and use of these records are limited to those agency officials whose official duties require such access.

Retention and disposal: Retained in accordance with the Bureau of the Mint Records Control Schedules and destroyed in accordance with General Service Administration rules and regulations.

System manager(s) and address: For current and former employees:

Personnel Officer, Bureau of the Mint, Department of the Treasury, 15th & Pennsylvania Ave., NW., Washington, DC 20220.
Personnel Officer, U.S. Mint, Independence Mall, Philadelphia, PA 19106.
Personnel Officer, U.S. Mint, 320 Colfax Ave., Denver, CO 80204.
Personnel Officer, U.S. Assay Office, 155 Hermann Street, San Francisco, CA 94102.
Personnel Officer, U.S. Assay Office, 32 Old Slip Street, New York, NY 10005.
Personnel Officer, U.S. Old Mint, 88 Fifth Street, San Francisco, CA 94103.
Officer-in-Charge, U.S. Bullion Depository, Fort Knox, KY 40121.
Administrative Officer, West Point Bullion Depository, West Point, NY 10996.

Notification procedure: Individuals who have fled a grievance are aware of that fact and have been provided a copy of the record. They may, however, contact the Personnel Officer indicated above regarding the existence of such records pertaining to them. It is necessary to furnish information sufficient to verify the identity of the requester such as full name, date of birth, a brief description of the grievance and the approximate date of submission.

Record access procedures: Individuals who have filed a grievance about a decision or determination made by an agency or about conditions existing in an agency already have been provided a copy of the record. The contest, amendment, or correction of a grievance record is permitted during the prosecution of the grievance to whom the record pertains. However, after a grievance case has been closed, an individual may gain access to, or contest the official copy of the grievance record by writing the appropriate Personnel Officer indicated above. Individuals should provide their name; date of birth; a brief description of grievance and the approximate date of submission.

Contesting record procedures: See access above.

Record source categories: The sources of these records are indicated below. (a) Individual to whom the record pertains. (b) Agency officials. (c) Affidavits or statements from employee(s). (d) Testimonies of witnesses. (e) Official documents and correspondence relating to the grievance.

Treasury/Mint 00.012

System name: Investigatory Files on Theft of Mint Property—Treasury/Mint.

System location: Warner Building, 501 13th Street, N.W., Washington, D.C. 20220.

Categories of individuals covered by the system: Mint employees and members of public suspected of the theft of Government property.

Categories of records in the system: Name of individual; location of Mint facility; and theft item.

Authority for maintenance of the system: 18 U.S.C. 332, 641.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Uses, to investigate suspected theft of Government property on Mint premises. To refer, where there is an indication of a violation or potential violation of law, whether civil, criminal, or regulatory in nature, to the appropriate Federal agency charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute or rule, regulation, or order issued pursuant thereto. (b) Categories of users, agency officials who have a need for the record in the performance of their duties and other Federal officials charged with law enforcement responsibilities. (c) Purpose, to safeguard and account for Government property; assist in enforcement of applicable Federal criminal statutes; and attempt to recoup value of property stolen. (d) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper documents.

Retrievability: By name.

Safeguards: Files are kept in a locked metal file cabinet in an area accessible to authorized agency officials.

Retention and disposal: Retained in accordance with Bureau of the Mint Records Control Schedule and destroyed in accordance with General Services Administration rules and regulations.

System manager(s) and address: Chief, Office of Security, Bureau of the Mint, Warner Building, 501 13th Street, N.W., Washington, D.C. 20220.

Notification procedure: This system is proposed for exemption elsewhere in the Federal Register.

Record access procedures: This system is proposed for exemption elsewhere in the Federal Register.

Contesting record procedures: See access above.

Record source categories: This system is proposed for exemption elsewhere in the Federal Register.

Systems exempted from certain provisions of the act: This system is proposed for exemption elsewhere in the Federal Register.

Treasury/Mint 00.013

System name: Numismatic Coin Operations System (NUCOS) Records; Customer Mailing List and Order Processing Records for Coin Sets, Medals and Numismatic Items—Treasury/Mint.

System location: San Francisco, California, Old Mint, 88 Fifth Street, San Francisco, CA 94175. (Records concerning undelivered orders are maintained at the San Francisco Assay Office, 155 Hermann Street.)

Categories of individuals covered by the system: Customers for numismatic items.

Categories of records in the system: Names, addresses, order history of customers purchasing numismatic items and of individuals who wish to receive notification of numismatic offerings by the Mint.

Authority for maintenance of the system: 31 U.S.C. 324g, 324h, 369, 391(d); P.L. 89—81, P.L. 92—228; 31 CFR Part 92.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Use, generating order cards, acknowledgement cards, labels, and correspondence. (b) Categories of Users, appropriate agency officials. (c) Purposes of Use, to announce the availability of numismatic items produced by the Mint; process and acknowledge receipt of orders; process payments received from customers; mail and trace numismatic items ordered. (d) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic tape, discs, paper and cards.

Retrievability: Name, customer number or order number.

Safeguards: CRT, password protection; only designated persons may request computer generated reports. Access to any information pertaining to any individuals' name is limited to only those individuals requiring the information to accommodate handling of transactions with the customers. Separation of functions; source documents maintained in one division and programming systems in another.

Retention and disposal: In accordance with the General Services Administration's General Records Control Schedule and the Bureau of the Mint Records Control Schedule. Destroyed in accordance with General Services Administration regulations. Order cards are retained two weeks, reports three months; they are then shredded. Customer names and addresses are maintained as long as they are active.

System manager(s) and address: Officer in Charge, San Francisco Old Mint, 88 Fifth Street, San Francisco CA 94175.

Notification procedure: Bureau of the Mint, 55 Mint Street, San Francisco, CA 94175. Individuals should supply order number as provided on order card or copy of both sides of cancelled check; customer number which appears on pre-punched order cards or on face of check.

Record access procedures: For information on procedures for gaining access to and contesting records, individuals may contact the following official: Chief, Information Systems and Documentation Branch, Bureau of the Mint, Room 912, Warner Building, Washington, D.C. 20220.

Contesting record procedures: See access above.

Record source categories: Members of the public; appropriate agency officials; and General Services Administration.

Treasury/Mint 00.014

System name: Purchases, Sales, Exchange and Assays of Precious Metals—Treasury/Mint.

System location: Washington, D.C., Bureau of the Mint, Warner Building, 501—13th Street, N.W. Philadelphia, PA, United States Mint, Independence Mall. Denver, CO, United States Mint, 320 West Colfax Avenue. San Francisco, CA, United States Assay Office, 155 Hermann Street. New York, NY, United States Assay Office, 32 Old Slip. San Francisco, CA, United States Old Mint, 88 Fifth Street.

Categories of individuals covered by the system: General public and Government agencies who had precious metal transactions with the Bureau of the Mint.

Categories of records in the system: Bar schedules, deposit tickets, reports on special assays, records of sales and purchases of precious metals.

Authority for maintenance of the system: 31 U.S.C. 327, 328, 335, 358, 360, 361, 362, 405a—1, 733 and 734. 31 CFR Parts 90 and 92.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Use, to record and accomplish sale, purchase, exchange or assay of precious metals. (b) Categories of users, appropriate agency officials and appropriate officials of the General Services Administration. (c) Purpose of use, to determine and verify that proper payments are made or correct charges are assessed for services rendered and to accomplish sale and purchase of precious metals. (d) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper documents and computer magnetic tapes.

Retrievability: Name or assay number.

Safeguards: Metal file cabinet with locks and secured area available to authorized personnel only.

Retention and disposal: Bureau of the Mint Records Control Schedule.

System manager(s) and address: Bureau of the Mint, Warner Building, 501 13th Street, N.W., Washington, D.C. 20220, Financial Manager; Philadelphia, PA 19106, United States Mint, Independence Mall, Budget and Accounting Officer; Denver, CO 80204, United States Mint, 320 West Colfax Avenue, Budget and Accounting Officer; San Francisco, CA 94102, United States Assay Office, 155 Hermann Street, Budget and Accounting Officer; New York, NY 10005, United States Assay Office, 32 Old Slip Street, Budget and Accounting Officer; San Francisco, CA 94103, United States Old Mint, 88 Fifth Street, Budget and Accounting Officer.

Notification procedure: Same as included in System Manager. Member of the general public or Government agency is required to produce proper identification to satisfy the System Manager.

Record access procedures: For information on procedures for gaining access to and contesting records, individuals may contact the following official: Chief, Information Systems and Documentation Branch, Bureau of the Mint, Room 912, Warner Building, Washington, D.C. 20220.

Contesting record procedures: Same as access above.

Record source categories: General public; agency officials; and other Federal agencies.

Treasury/Mint 00.015

System name: Redemption of Uncurrent or Mutilated Coins—Treasury/Mint.

System location: United States Assay Office, 32 Old Slip, New York, N.Y. 10005.

Categories of individuals covered by the system: General public; financial institutions; and other Government agencies.

Categories of records in the system: Records of names, addresses and reference numbers pertaining to those submitting mutilated or uncurrent coins for exchange.

Authority for maintenance of the system: 31 U.S.C. 317c; 31 CFR Part 100.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Uses, issuance of payments in exchange of coins. (b) Categories of users, appropriate agency officials. (c) Purpose of use, to insure that payments are made to proper individuals, banks or Government agencies. (d) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper documents.

Retrievability: By name or reference number.

Safeguards: Metal file cabinet with lock.

Retention and disposal: Retained in accordance with Bureau of the Mint Records Control Schedule and destroyed in accordance with General Services Administration rules and regulations.

System manager(s) and address: Budget and Accounting Officer, United States Assay Office, 32 Old Slip Street, New York, New York 10005.

Notification procedure: See System Manager. Individual is required to supply name and if available reference and/or settlement number.

Record access procedures: For information on procedure for gaining access to and contesting records, individuals may contact the following official: Chief, Information Systems and Documentation Branch, Bureau of the Mint, Room 912, Warner Building, Washington, D.C. 20220.

Contesting record procedures: See access above.

Record source categories: General public, financial institutions, and other Government agencies.

Billing Code 4810-37

Treasury/BPD 00.001

System name: Personnel and Administrative Records—Treasury/BPD.

System location: Bureau of the Public Debt: Washington, D.C.; Parkersburg, West Virginia; Ravenswood, West Virginia; Williamstown, West Virginia. Federal Records Center: St. Louis, Missouri.

Categories of individuals covered by the system: Past, present and prospective employees.

Categories of records in the system: All personnel records not mentioned in this system, but maintained at the Bureau, are considered to belong to the Office of Personnel Management and will be reported by that agency. (1) Personnel Folders (Temporary side): Contain the following types of records or information apart from official records, but which may duplicate or supplement official records: Pre-employment records and vouchering; orientation records; requests for personnel action; requests for training; performance evaluation records; letters of reference; debt correspondence; correspondence between employee and personnel office; disciplinary action papers; duplicate copies of personnel actions; payroll, leave and retirement benefits data; compensation forms and reports relating thereto; and tax check records, reduction-in-force data; requests for outside employment; merit promotion applications; and credit and employment verification. (2) Locator File: Contains the employee's office and home address and telephone numbers; emergency notification information and indication of permission or denial to disclose this information upon inquiry. (3) Applications for Employment File: Contains inquiries concerning employment, applicants' resumes and correspondence. (4) Upward Mobility Program Files: Contain but are not limited to, all pertinent information, such as applications, vouchering, correspondence, developmental plans and appraisal forms of candidates for said program. (5) Investigation Security Files: Contain background information and the results of investigations conducted by Internal Revenue Service, Secret Service, or other Federal agencies, on Bureau attorneys and certain other employees. (6) Awards Case Files: Contain reports and background data concerning awards for employees. (7) Informal Equal Employment Opportunity Complaint Files: contain records of informal EEO complaints and discussions thereon which have not reached the level of formal complaints. (8) Administrative Grievance Files: Contain informal or formal grievance complaints and background documentation. (9) General Correspondence Files: Contain inquiry and reply letters and documentation concerning general administrative, policy, transactional and personnel matters. (10) Union-related Files: Contain formal and informal, contractual and administrative grievance complaints and background documentation thereon; reports of union dues participation. (11) Merit Promotion Files: Contain records of competitive promotion actions, including but not limited to vacancy announcements, candidates considered, assessments of candidates, and disposition of applications. (12) Exit Interview Files: Contain records of employee's critique of Bureau, final interview, and supervisors' recommendations. (13) Suitability Files: Contain information documenting the suitability for employment of prospective and current employees, such as arrest records, National Agency checks and background information. (14) Budget Files: (a) Employee Travel Records File: Contains travel vouchers, authorization and receipts for travel which an employee performed in connection with official business and which is reimbursable by government funds. (b) Comprehensive Listing of Employee Master File: Contains salary, grade, leave, retirement, health-life insurance, tax, payroll deduction and other information. (c) Time and Attendance Records: Contain the daily posting of employee's leave and/or attendance. (15) Medical Records File: contains pre-appointment and fitness-for-duty medical reports and records. (16) Employee Relations files; containing, but not limited to, pertinent information on such matters as discipline, adverse actions, retirement, and compensation. (17) Confidential Financial Statements File: contains personal financial statements required by certain employees as defined in the Department's Minimum Standards of Conduct. (18) Classification Appeals File: contains statements and pertinent information relating to the adjudication of an appeal. (19) History of Employment File: contains service record cards.

Authority for maintenance of the system: Title 5 USC; Federal Personnel Manual; Executive Order 11478.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Within the Department of the Treasury, the aforementioned records are routinely used to determine the suitability of an applicant for employment; to verify an employee's orientation processing; to supplement official personnel records for clarification of personnel actions; to authorize deductions of state and/or local or District of Columbia taxes from an employee's salary for payroll functions; to provide information for determining the eligibility and suitability of an employee for promotion or award; to appraise an employee's performance following hiring or promotion; to judge the necessity for disciplinary action and to make record of the same; to inform an employee of his present leave and retirement benefits status; to make record of all correspondence exchanged between an employee and the Division of Personnel for reference purposes; to locate an employee in an emergency situation if the employee's permission was granted; to issue building passes and make record thereof; to record work-related injuries and illnesses and to process compensation therefor; to perform security investigations and to provide security clearance for attorneys and certain other employees in order to protect security information; to furnish Internal Revenue Service, Secret Service, and other Federal agencies with information in the course of their investigations; to document grievances or complaints of discrimination for purposes of hearing and passing decision on a case; to furnish management with information necessary for the hearing and resolution of administrative grievances and grievances arising within the framework of the labor-management framework; to record, analyze and approve travel expenditures; to furnish data needed by the Division of Management and Support Services in the performance of its duties relating to the estimating and accounting for budget expenditures, maintaining records, providing payroll data to the Treasury Payroll/Personnel Information System, Old Mint Data Center Division, Bureau of the Mint, to the individual employee, and to supervisors who have need of such information in the performance of their duties; to maintain records of correspondence for reference and precedence purposes; to provide Federal income tax filing information on employees, GS grade 12 and above, for the purpose of tax checks; to process and document merit promotion actions; to conduct turnover studies; and to maintain records of those employees who have requested voluntary withholding of union dues.

Routine users of records within the Department are the Personnel Management Branch, Financial Management Branch, and other components within the Division of Management and Support Services; the EEO Officers; Internal Revenue Service; Secret Service; and all other employees who have need of such information in the performance of their authorized duties.

Outside the Department of the Treasury, qualified information is routinely used to furnish other Federal Agencies with pre-employment information for hiring purposes; to verify debt complaints and employment data upon request by creditors; to respond to requests for information by Federal investigative agencies for the purpose of security or criminal investigation, and civil or criminal judicial proceedings; to provide data to the Office of Personnel Management, Merit System Protection Board and other Federal agencies upon authorized requests; to supply data necessary for employee compensation; to provide union representatives, arbitrators, and other third parties responsible for the administration of the federal labor-management relations program with information needed, in the performance of their authorized duties under a Bureau-union contract or E.O. 11491, as amended; to disclose to Members of Congress who inquire on behalf of constituents; to disclose to next-of-kin, voluntary guardian and other representative or successor in interest of a deceased or incapacitated employee or former employee.

Routine users outside the Department are other Federal Agency Personnel Offices; the Office of Personnel Management; U.S. Department of Labor, Office of Employees Compensation; State unemployment offices; union representatives, arbitrators, and other third-parties who have responsibilities and a Bureau-union contract or E.O. 11491, as amended, for the administration of the federal labor-management relations program as qualified in the routine use; creditors; Federal agencies; Members of Congress and next-of-kin; voluntary guardian and other representative or successor in interest.

For Additional Routine Uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Electronic media, paper and microfilm.

Retrievability: By name, and in some cases social security number.

Safeguards: Information is contained in secure buildings, Federal Records Centers, or in areas which are occupied by officers and responsible employees of the Bureau who are subject to the Bureau's personnel screening procedures and the Treasury Department Code of Conduct. Information stored in electronic media is safeguarded by automatic data processing security procedures in addition to physical security measures.

Retention and disposal: Records are maintained in accordance with GSA and OPM retention schedules. All records are destroyed by incineration, maceration or shredding. Records in electronic media are electronically erased using accepted techniques.

System manager(s) and address: For Administrative records: Commissioner of the Public Debt, 15th and New York Avenue, N.W., Washington, D.C. 20226. For Personnel records: Manager, Personnel Management Branch, Bureau of the Public Debt, 13th and C Streets, S.W., Washington, D.C. 20226.

Notification procedure: Address Administrative inquiries and initial requests for correction of records to: Privacy Act Request, Commissioner of the Public Debt, 15th and New York Avenue, N.W., Washington, D.C. 20226, (202) 376-0249. Address Personnel inquiries and initial requests for correction of records to: Privacy Act Request, Manager, Personnel Management Branch, Bureau of the Public Debt, 13th and C Streets, S.W., Washington, D.C. 20226, (202) 447-1297; or Privacy Act Request, Personnel Officer, Savings Bond Operations Office, Bureau of the Public Debt, 200 Third Street, Parkersburg, West Virginia 26101, (304) 422-8551.

Record access procedures: Individuals who wish to request access to records relating to them or who wish to request correction of records they believe to be in error should submit such requests pursuant to the procedures set out below in compliance with the applicable regulations (31 CFR Part 1, Subpart C Sections 1.7 & 1.8; 31 CFR Part 323). Requests which do not comply fully with these procedures may result in noncompliance with the request but will be answered to the extent possible.

Requests for access to records: (1) All requests for access to records should be in writing, signed by the individual concerned, and, in order for the Privacy Act procedures to apply, clearly indicate that the request is made pursuant to the Privacy Act of 1974. The Bureau reserves the right to require additional verification of an individual's identity in certain cases. (2) The request must specify the Bureau system of records within which the desired records are located as well as the categories of records within the system. These categories are set out in the applicable system of records description. (3) Requests for records concerning a deceased or incapacitated individual should be accompanied by either evidence of the requester's appointment as legal representative of the individual or his estate or by a statement attesting that no such representative has been appointed and giving the nature of the relationship between the requester and the individual.

Requests for correction of records: (1) All requests by an individual for correction of records relating to him should be in writing, be signed by him, and, in order for the Privacy Act procedures to apply, state that the request is made pursuant to the Privacy Act of 1974. (2) The request for correction should specify: (a) the dates of the prior correspondence had with the Bureau concerning the records in question, (b) the specific records alleged to be incorrect, (c) the correction requested, and (d) the reasons therefor as well as any available evidence in support of the request.

Appeals from an initial denial of a request for correction of records: (1) All appeals from an initial denial of a request for correction of records must be in writing, be signed by the individual involved, and, in order for the Privacy Act procedures to apply, state that it is made pursuant to the Privacy Act of 1974. (2) All appeals must, to be handled under the Privacy Act procedures, be delivered to the address set forth for submission of appeals within 35 days of the individual's receipt of the initial denial of the requested correction. (3) All appeals must specify: (a) the records to which the appeal relates, (b) the date of the initial request made for correction of the records, and (c) the date that initial denial of the request for correction was received. (4) All appeals must also specify the reasons for the requester's disagreement with the initial denial of correction and should furnish any applicable evidence available in support of his appeal.

Contesting record procedures: See notification and access.

Record source categories: Information on records in the system is furnished by the individuals, by creditors, by supervisors, medical personnel, other employees, or as the result of security investigations.

Treasury/BPD 00.002

System name: United States Savings-Type Securities—Treasury/BPD.

System location: Bureau of the Public Debt, Washington, D.C. 20226 and Parkersburg, West Virginia 26101, Ravenswood, West Virginia 26164, Williamstown, West Virginia 26187, Federal Reserve Banks and Branches located at: Atlanta, Georgia; Baltimore, Maryland; Birmingham, Alabama; Boston, Massachusetts; Buffalo, New York; Charlotte, North Carolina; Chicago, Illinois; Cincinnati, Ohio; Cleveland, Ohio; Dallas, Texas; Denver, Colorado; Detroit, Michigan; El Paso, Texas; Jacksonville, Florida; Helena, Montana; Houston, Texas; Kansas City, Missouri; Little Rock, Arkansas; Louisville, Kentucky; Los Angeles, California; Memphis, Tennessee; Miami, Florida; Minneapolis, Minnesota; Nashville, Tennessee; New Orleans, Louisiana; New York, New York; Oklahoma City, Oklahoma; Omaha, Nebraska; Philadelphia, Pennsylvania; Pittsburgh, Pennsylvania; Portland, Oregon; Richmond, Virginia; Salt Lake City, Utah; San Antonio, Texas; San Francisco, California; Seattle, Washington and St. Louis, Missouri; and at Federal Records Centers located at: Waltham, Massachusetts; New York, New York; Philadelphia, Pennsylvania; Mechanicsburg, Pennsylvania; Washington, D.C.; East Point, Georgia; Chicago, Illinois; Kansas City, Missouri; Fort Worth, Texas; Denver, Colorado; San Francisco, California; Bell, California and Seattle, Washington.

Categories of individuals covered by the system: Present and former owners or first-named coowners of, claimants to, persons entitled to, and inquirers concerning United States savings-type securities and interest thereon—including but not limited to United States Savings Bonds, Savings Notes, Retirement Plan Bonds, and Individual Retirement Bonds. In addition, second-named coowners of Series G savings bonds.

Categories of records in the system: (1) Issuance: Records relating to registration, registers of issuance of securities and records of correspondence in connection with issuance of savings-type securities. (2) Holdings: Records documenting ownership, status, payments by date and account numbers, including inscription information; interest activity; correspondence in connection with notice of change of name and address, non-receipt of interest and over- and under-payments of interest; numerical registers of ownership. Such records include information relating to savings-type securities held in safekeeping in conjunction with the Department's program to deliver such securities to the owners or persons entitled. (3) Transactions (redemptions, payments and reissues): Records which include securities transaction requests; interest activity; legal papers supporting transactions, applications for disposition or payment of securities and/or interest thereon of deceased or incapacitated owners; records of retired securities; check payment records. (4) Claims: Records including correspondence concerning lost, stolen, destroyed or mutilated savings-type securities, bonds of indemnity, legal documents supporting claims for relief and records of caveats entered. (5) Inquiries: Records of correspondence with individuals who have requested information concerning savings-type securities and/or interest thereon.

Authority for maintenance of the system: Second Liberty Bond Act, as amended (40 Stat. 288) and 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Within the Department of the Treasury, the information contained in this system of records is routinely used in connection with the issuance of securities, the payment of interest thereon, processing of claims therefor, responding to inquiries thereon, processing of transactions therein and the redemption and retirement thereof. Users for these purposes are officials, authorized employees and agents of the Department for the purpose of administering the Public Debt of the United States. Information in this system is also routinely furnished to the Internal Revenue Service for use by officials and authorized employees for the purpose of facilitating collection of tax revenues by the United States. In addition to these uses within the Department, records concerning holdings are routinely disclosed outside the Department as follows: (1) To the next-of-kin, voluntary guardian, legal representative or successor in interest of a deceased or incapacitated owner of securities, and others entitled in connection with reissue, distribution or payment thereof; users for this purpose are as described for the purpose of assuring equitable and lawful disposition of securities and/or interest. (2) Information is routinely furnished, when appropriate, pertaining to securities to the United States Secret Service for inclusion in the National Crime Information Center of the Federal Bureau of Investigation, to the Department of Justice and to other Federal agencies; users for this purpose are law enforcement officials, for the purpose of reducing monetary losses of the United States and to aid in law enforcement. (3) Information is routinely disclosed pertaining to holdings of securities, interest thereon and transactions therein to the Department of Justice in connection with lawsuits to which the Department is a party; and to Trustees in Bankruptcy; users are Federal officials for the purpose of carrying out their duties. (4) Information is routinely disclosed to the Veterans Administration and selected veterans publications in connection with attempts to locate owners, or their next of kin or persons otherwise entitled to bonds undeliverable and being held in safekeeping by the Department. (5) Information regarding records of holdings of securities registered in coownership form is routinely disclosed to either coowner upon request. Information regarding records of holdings for securities registered in beneficiary form ("P.O.D.") is routinely disclosed to the beneficiary named thereon provided acceptable proof of death of the registered owner is submitted. (Note: If the request for disclosure is made by the second-named coowner, or by a beneficiary the name or social security number of the first-named person must be furnished, except in the case of Series G savings bonds.) (6) Information regarding holdings is routinely disclosed to Members of Congress who inquire on behalf of constituents. (7) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records in this system are stored in their original form in file cabinets, as information in electronic media or on microfilm.

Retrievability: Information can be retrieved alphabetically by name, bond serial numbers, or, in some cases, numerically by social security number. In the case of securities, except Series G savings bonds, registered in more than one name, information relating thereto can only be retrieved by the name or in some cases social security number of the first-named coowner.

Safeguards: Information is contained in secure buildings, Federal Records Centers, or in areas which are occupied by officers and responsible employees of either the Department who are subject to personnel screening procedures and the Treasury Department Code of Conduct, or by agents of the Department who are required by the Department to maintain proper control over records while in their custody. Additionally, since in most cases, numerous steps are involved in the retrieval process, unauthorized persons would be unable to retrieve information in a meaningful form. Information stored in electronic media is safeguarded by automatic data processing security procedures in addition to physical security measures.

Retention and disposal: Records of holdings are maintained permanently. Forms, documents and other legal papers which constitute the basis for transactions subsequent to original issue are maintained for such time as is necessary to protect the legal rights and interest of the U.S. Government and the persons affected, or otherwise until they are no longer historically significant. Other records are disposed of at varying intervals in accordance with records retention schedules reviewed and approved by the National Archives and Records Service, General Services Administration. All records are destroyed by shredding, incineration or maceration. Records in electronic media are electronically erased using accepted techniques.

System manager(s) and address: Commissioner of the Public Debt, 15th St. and New York Ave., N.W. Washington, D.C. 20226.

Notification procedure: Address inquiries to: Privacy Act Request, Assistant Commissioner of the Public Debt, Savings Bond Operations Office, 200 Third Street, Parkersburg, West Virginia 26101, (304) 422-8551. Inquiries should include the full name, social security number, address and security serial number(s), if known.

Record access procedures: Individuals who wish to request access to records relating to them or who wish to request correction of records they believe to be in error should submit such requests pursuant to the procedures set out below in compliance with the applicable regulations (31 CFR Part 1, Subpart C Sections 1.7 & 1.8; 31 CFR Part 323). Requests which do not comply fully with these procedures may result in noncompliance with the request but will be answered to the extent possible.

Requests for access to records: (1) All requests for access to records must be in writing, signed by the individual concerned, and, in order for the Privacy Act procedures to apply, clearly indicate that the request is made pursuant to the Privacy Act of 1974. The Bureau reserves the right to require additional verification of an individual's identity. (2) The request must specify the Bureau system of records within which the desired records are located as well as the categories of records within the system. These categories are set out in the applicable system of records description. (3) In the case of requests for information concerning holdings of securities, the type of security involved (e.g., Series E savings bond, Series H savings bond, savings note, retirement plan bond, etc.) must be specified. Additionally, the request should, to the extent possible, specify the approximate date of issue, serial number(s) and exact form of registration, including social security number and address. Requests which do not furnish all or some of the above information will be complied with to the extent possible but may be subject to the requirement that additional identificatory evidence be furnished. (4) Requests for information concerning records of holdings of securities except Series G savings bonds registered in coownership form must be accompanied by the name and, wherever possible, social security number of the first-named coowner. Requests for information concerning securities registered in beneficiary form must also be accompanied by the name and social security number of the owner thereof and, if made by the beneficiary, must be accompanied by proof of death of the registered owner. (5) Requests for records of holdings or other information concerning a deceased or incapacitated individual should be accompanied by either evidence of the requester's appointment as legal representative of the individual or his estate or by a statement attesting that no such representative has been appointed and giving the nature of the relationship between the requester and the individual.

Requests for correction of records: (1) All requests by an individual for correction of records relating to him should be in writing, be signed by him, and, in order for the Privacy Act procedures to apply, state that the request is made pursuant to the Privacy Act of 1974. (2) The request for correction should specify: (a) the dates of the prior correspondence had with the Bureau concerning the records in question, (b) the specific records alleged to be incorrect, (c) the correction requested, and (d) the reasons therefor, as well as any available evidence in support of the request.

Appeals from an initial denial of a request for correction of records: (1) All appeals from an initial denial of a request for correction of records must be in writing, be signed by the individual involved and, in order for the Privacy Act procedures to apply, state that it is made pursuant to the Privacy Act of 1974. (2) All appeals must, to be handled under the Privacy Act procedures, be delivered to the address set forth for submission of appeals within 35 days of the individual's receipt of the initial denial of the requested correction. (3) All appeals must specify: (a) the records to which the appeal relates, (b) the date of the initial request made for correction of the records, and (c) the date that initial denial of the request for correction was received. (4) All appeals must also specify the reasons for the requestor's disagreement with the initial denial of correction and should furnish any applicable evidence available in support of his appeal.

Contesting record procedures: See notification and access.

Record source categories: Information on records in this system is furnished by the individuals or their authorized representatives listed in "Categories of Individuals," by issuing agents for securities, or is generated within the system itself.

Treasury/BPD 00.003

System name: United States Securities (Other than Savings-Type Securities)—Treasury/BPD.

System location: Bureau of the Public Debt, Washington, D.C. 20226, Ravenswood, West Virginia 26164, Williamstown, West Vir-

ginia 26187, Federal Reserve Banks and Branches located at: Atlanta, Georgia; Baltimore, Maryland; Birmingham, Alabama; Boston, Massachusetts; Buffalo, New York; Charlotte, North Carolina; Chicago, Illinois; Cincinnati, Ohio; Cleveland, Ohio; Dallas, Texas; Denver, Colorado; Detroit, Michigan; El Paso, Texas; Jacksonville, Florida; Helena, Montana; Houston, Texas; Kansas City, Missouri; Little Rock, Arkansas; Louisville, Kentucky; Los Angeles, California; Memphis, Tennessee; Miami, Florida; Minneapolis, Minnesota; Nashville, Tennessee; New Orleans, Louisiana; New York, New York; Oklahoma City, Oklahoma; Omaha, Nebraska; Philadelphia, Pennsylvania; Pittsburgh, Pennsylvania; Portland, Oregon; Richmond, Virginia; Salt Lake City, Utah; San Antonio, Texas; San Francisco, California; Seattle, Washington and St. Louis, Missouri; and at Federal Records Centers located at: Waltham, Massachusetts; New York, New York; Philadelphia, Pennsylvania; Mechanicsburg, Pennsylvania; Washington, D.C.; East Point, Georgia; Chicago, Illinois; Kansas City, Missouri; Fort Worth, Texas; Denver, Colorado; San Francisco, California; Bell, California and Seattle, Washington.

Categories of individuals covered by the system: Present owners of, former owners of, subscribers to, claimants to, persons entitled to and inquirers concerning United States Treasury Securities (except savings-type securities) and interest thereon and such securities for which the Treasury acts as agent and interest thereon, including but not limited to Treasury Bonds, Notes and Bills, Adjusted Service Bonds, Armed Forces Leave Bonds, securities of the Federal Financing Bank, the Federal Housing Administration and the Farm Credit Administration.

Categories of records in the system: (1) Issuance: Records relating to tenders, bids, subscriptions, advices of shipment, requests (applications) for original issue and correspondence concerning erroneous issue and non-receipt of securities. (2) Holdings: Records of ownership and interest activity on registered United States securities (other than savings-type securities); change of name and address notices; correspondence concerning errors in registration, non-receipt of interest, over- and under-payments of interest; records of interest activity; records of unclaimed accounts; and letters concerning the New York State tax exemption for veterans of World War I. (3) Transactions (redemptions, payments, reissues, transfers and exchanges): Records which include securities transaction requests; legal papers supporting transactions; applications for transfer, disposition or payment of securities of deceased or incompetent owners; records of Federal estate tax transactions; file of certificates of ownership covering paid overdue bearer securities; records of erroneous redemption transactions; records of retired securities; and check payment records. (4) Claims: Records including correspondence concerning lost, stolen, destroyed or mutilated United States securities (other than savings-type securities) or securities for which the Treasury acts as agent and interest coupons thereon, bonds of indemnity, legal documents supporting claims for relief and records of caveats entered. (5) Inquiries: Records of correspondence with individuals who have requested information concerning United States Treasury securities (other than savings-type securities) or securities for which the Treasury acts as agent.

Authority for maintenance of the system: Second Liberty Bond Act, as amended (40 Stat. 288).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Within the Department of the Treasury, the information contained in this system of records is routinely used in connection with the issuance of securities, the payment of interest thereon, processing of claims therefor, responding to inquiries thereon, processing of transactions therein and the redemption and retirement thereof. Users for these purposes are officials, authorized employees and agents of the Department for the purpose of administering the Public Debt of the United States. Information in this system is also routinely furnished to the Internal Revenue Service for use by officials and authorized employees for the purpose of facilitating collection of tax revenues by the United States. In addition to these uses within the Department, records concerning holdings are routinely disclosed outside the Department as follows: (1) To the next-of-kin, voluntary guardian, legal representative or successor in interest of a deceased or incapacitated owner of securities and others entitled in connection with transfer, exchange, distribution or payment thereof; users for this purpose are as described for the purpose of assuring equitable and lawful disposition of securities and/or interest. (2) Information is routinely furnished pertaining to securities reported as lost or stolen to the United States Secret Service for inclusion in the National Crime Information Center of the Federal Bureau of Investigation, Department of Justice and to other Federal agencies; users for this purpose are law enforcement officials, for the purpose of reducing monetary losses of the United States and to aid in law enforcement. (3) Information is routinely disclosed pertaining to holdings of securities and transactions therein to the Department of Justice in connection with lawsuits to which the Bureau is a party; users are law enforcement officials for the purpose of administration of justice. (4) Information concerning holdings of Armed Forces Leave Bonds is routinely furnished to the Veterans Administration in connection with redemption or disposition of these securities. Users of the resultant information furnished by the Veterans Administration are officers and authorized employees of the Bureau, for the purpose of making proper disposition of these bonds. (5) Information regarding records of holdings of securities registered in the names of two or more owners is routinely disclosed to either owner upon his request. (Note: requests for disclosure by owners other than the first-named owner should include the name or social security number of the first-named owner.) (6) Information regarding holdings is routinely disclosed to Members of Congress who inquire on behalf of constituents. (7) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records in this system are stored in their original paper form and as information in electronic media and on microfilm.

Retrievability: Information can be retrieved alphabetically by name or, in some cases, numerically by social security number. In the case of securities registered in more than one name, which matured prior to January 1, 1973, information relating thereto can only be retrieved by the name or social security number of the first-named owner.

Safeguards: Information is contained in secure buildings, Federal Records Centers, or in areas which are occupied by officers and responsible employees of either the Department who are subject to personnel screening procedures and the Treasury Department Code of Conduct, or by Federal Reserve Banks and Branches as Fiscal Agents. Additionally, since in most cases, numerous steps are involved in the retrieval process, unauthorized persons would be unable to retrieve information in a meaningful form. Information stored in electronic media is safeguarded by automatic data processing security procedures in addition to physical security measures.

Retention and disposal: Records of holdings are maintained permanently. Forms, documents and other legal papers which constitute the basis for transactions subsequent to original issue are maintained for such time as is necessary to protect the legal rights and interests of the U.S. Government and the persons affected or otherwise until they are no longer historically significant. Other records are disposed of at varying intervals in accordance with records retention schedules reviewed and approved by the National Archives and Records Service, General Services Administration. All records are destroyed by shredding, incineration or maceration. Records in electronic media are electronically erased using accepted techniques.

System manager(s) and address: Commissioner of the Public Debt, 15th St. and New York Avenue, N.W., Washington, D.C. 20226.

Notification procedure: Address inquiries and initial requests for correction of records to: Privacy Act Request, Assistant Commissioner of the Public Debt, 13th and C Streets, S.W., Washington, D.C. 20226, (202) 964-7994. Inquiries should include the full name and social security number of the inquirer.

Record access procedures: Individuals who wish to request access to records relating to them or who wish to request correction of records they believe to be in error should submit such requests pursuant to the procedures set out below in compliance with the applicable regulations (31 CFR Part 1, Subpart C Sections 1.7 and 1.8; 31 CFR Part 323). Requests which do not comply fully with these procedures may result in noncompliance with the request but will be answered to the extent possible.

Requests and access to records: (1) All requests for access to records must be in writing, signed by the individual concerned, and, in order for the Privacy Act procedures to apply, clearly indicate that the request is made pursuant to the Privacy Act of 1974. The Bureau reserves the right to require additional verification of an individual's identity. (2) The request must specify the Bureau system of records within which the desired records are located as well as the categories of records within the system. These categories are set out in the applicable system of records description. (3) In the case of requests for information concerning records of holdings of securities, the type of security involved (e.g., Treasury Note, Armed Forces Leave Bond, Adjusted Service Bond, etc.) must be specified. Additionally, the request should, to the extent possible, specify: the loan and issue thereof, approximate date of issue, denomination, serial number and form of registration, including address. Requests which do not furnish some or all of the latter information will be complied with to the extent possible but may be subject to the requirement that additional identificatory evidence be furnished. (4) Requests for records of holdings of securities which matured prior to January 1, 1973

registered in the name of more than one person must include the name and, wherever possible, social security number of the first-named owner. (5) Requests for records of holdings or other information concerning a deceased or incapacitated individual must be accompanied by either evidence of the requester's appointment as legal representative of the individual or his estate or by a statement attesting that no such representative has been appointed and giving the nature of the relationship between the requester and the individual.

Requests for correction of Records: (1) All requests by an individual for correction of records relating to him should be in writing, be signed by him, and, in order for the Privacy Act procedures to apply, state that the request is made pursuant to the Privacy Act of 1974. (2) The request for correction should specify: (a) the dates of the prior correspondence had with the Bureau concerning the records in question, (b) the specific records alleged to be incorrect, (c) the correction requested, and (d) the reasons therefor as well as any available evidence in support of the request.

Appeals from an initial denial of a request for correction of records: (1) All appeals from an initial denial of a request for correction of records must be in writing, be signed by the individual involved and, in order for the Privacy Act procedures to apply, state that it is made pursuant to the Privacy Act of 1974. (2) All appeals must, to be handled under the Privacy Act procedures, be delivered to the address set forth for submission of appeals within 35 days of the individual's receipt of the initial denial of the requested correction. (3) All appeals must specify: (a) the record to which the appeal relates, (b) the date of the initial request made for correction of the records, and (c) the date that initial denial of the request for correction was received. (4) All appeals must also specify the reasons for the requestor's disagreement with the initial denial of correction and should furnish any applicable evidence available in support of his appeal.

Contesting record procedures: See Notification and Access above.

Record source categories: Information contained in records in the system is furnished by individuals listed in "Categories of Individuals" or those specifically authorized by the individuals to act on their behalf; or is generated within the system itself.

Billing Code 4810-40

Treasury/FLETC 00.001

System name: FLETC Payroll System—Treasury/FLETC.

System location: FLETC, Office of Administration, Administration Building, Glynco, Georgia, 31520.

Categories of individuals covered by the system: Any person paid directly from FLETC Funds.

Categories of records in the system: All payroll records including Standard Forms 50 and 52, leave status, and training authorizations.

Authority for maintenance of the system: Treasury Order No. 217, dated July 1, 1970.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Compilation of all required accounting, payroll functions and reports. GAO and Treasury audits. Related FLETC accounting and financial procedures. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper files and microfiche.

Retrievability: Social Security Number.

Safeguards: Physical Security, personnel screening and security check list are all utilized to prevent unauthorized disclosure of records.

Retention and disposal: Retained and disposed in accordance with the GSA General Records Schedule. For more information contact: Records Retention Officer, FLETC, Building 94, Glynco, Georgia, 31520.

System manager(s) and address: FLETC, Office of Administration, Administration Building, Glynco, Georgia 31520.

Notification procedure: The individual must provide full name, date of birth, dates of employment with, or date of application of employment to the Center to: FLETC, Office of Administration, Administration Building, Glynco, Georgia 31520.

Record access procedures: By formal letter to the System Manager.

Contesting record procedures: See Access above.

Record source categories: Personnel documents and payroll documents computed by the Treasury Payroll Personnel Information System.

Treasury/FLETC 00.002

System name: FLETC Trainee Records and FLETC Instructor Records—Treasury/FLETC.

System location: Records stored in the computer and all health records are stored in FLETC Building 94, Glynco, Georgia, 31520. All other records are stored in FLETC Building 262, Glynco, Georgia, 31520.

Categories of individuals covered by the system: Any person who officially attends a formal FLETC training program and all instructors engaged in teaching the FLETC training programs.

Categories of records in the system: Trainee records: personal background information supplied by the trainee; grades and performance evaluation, student advisory form and relevant public health records. Instructor records: personal background information supplied by the instructor, and teaching qualifications. All records described in this system are other than records described and reported by the Civil Service Commission on behalf of all agencies.

Authority for maintenance of the system: 5 U.S.C. 301, 5 U.S.C. 4101-4118, Executive Order 11348, Treasury Order No. 217 (Revision 1) dated July 1, 1970 and Memorandum of Understanding for the Sponsorship and Operation of the Consolidated Federal Law Enforcement Training Center, dated September 30, 1970.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Disclosure upon request to the individual himself, the individual's parent agency, and to any other agency or organization at the request of the individual to the student locator, mailroom, registration office, training and research officials and other government employees on a need to know basis.

To the Civil Service Commission concerning pay, leave, benefits, retirement deductions, and other information necessary for the Commission to carry out its government-wide personnel management functions. For additional routine uses, see Appendix AA, 40 FR 56419—56420, December 2, 1975.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic disc storage except for health records which are maintained on paper files, and student records which ae maintained on magnetic discs, paper files, and microfilm.

Retrievability: Name, class number, and social security number.

Safeguards: Access to these systems of records will be controlled by software and hardware procedures. Software will be used to ensure, in all technically feasible ways, that data cannot be made available to unauthorized persons. User—identifiers and passwords will be used where feasible to protect the data. Physical security will protect all terminals and magnetic disc files from access by unauthorized persons. Offices will be locked except when authorized persons are present.

Retention and disposal: Computer print-out records are destroyed within six months of production. All other records are retained and disposed in accordance with the GSA General Records Schedule. For more information contact: Records Retention Officer, FLETC Building 94, Glynco, Georgia 31520.

System manager(s) and address: Assistant Director for Administration, Office of Administration, Administration Building, FLETC, Glynco, Georgia 31520.

Notification procedure: The individual must provide full name, social security number, date of birth, parent agency, type of course and approximate dates of attendance to the system manager.

Record access procedures: By written request to the system manager.

Contesting record procedures: By written request of the System Manager.

Record source categories: The trainee himself and members of the staff responsible for grading, rating or evaluating the trainee.

Instructors. The instructor himself and staff members responsible for upgrading the instructor's teaching qualifications.

Treasury/FLETC 00.003

System name: FLETC Confidential Financial Records—Treasury/FLETC.

System location: FLETC, Building 94, Glynco, Georgia 31520.

Categories of individuals covered by the system: Employees classified at GS-12 or above who are in positions identified as positions the basic duties and responsibilities of which require the incumbent to exercise judgment in making a Government decision or in taking Government action on contracting or procurement. Specifically, All officials GS-15 and above

Assistant Director, Special Training Division

Deputy Associate Director for Administration
Administrative Services Officer
Chief, Instructional Services Division
Procurement Officer
Supervisory General Engineer
Facility Management Specialist
Public Information Specialist
Financial Management Specialist
Executive Assistant
Staff Assistant, Office of Training

Categories of records in the system: All information required by or related to employment and financial interest of GS-12 and above employees.

Authority for maintenance of the system: Federal Personnel Manual Chapter 735 and Executive Order 11222.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To provide certification to the Civil Service Commission that Statements of Employment and Financial Interests are on file for each employee and special Government employees required to submit such statement under the regulations of the employing agency. Also, information for the agency head only to be disclosed upon his determination or that of the Chairman of the U.S. Civil Service Commission for good cause shown. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper files.

Retrievability: Name.

Safeguards: Physical Security, personnel screening and security check list are all utilized to prevent unauthorized disclosure of records.

Retention and disposal: Retained and updated annually and destroyed at the departure of employee from FLETC rolls.

System manager(s) and address: Director, FLETC, Administration Building, Glynco, Georgia 31520.

Notification procedure: The individual must provide full name, date of birth, dates of employment with the Center to: Director, FLETC, Administration Building, Glynco, Georgia 31520.

Record access procedures: By formal letter to the System Manager.

Contesting record procedures: See Access above.

Record source categories: The employee on whom the record is maintained.

Treasury/FLETC 00.004

System name: FLETC Employee Records—Treasury/FLETC.

Personnel records Schedule 1 Item 9 1 yr.
Health records GRS 1 21 6 yr.
Training records Schedule 1 25c 1 yr.
Vehicle records 11 4a 3 mo.
Equal Employment Opportunity records 1 20b 1 yr.
Locator records 11 4a 3 mo.
Identification records 11 4a 3 mo.
Equipment control records:
  Property pass files 18 10 3 mo.
  Lost, stolen, and found files 18 13b 1 yr.
  Key files 18 14b 2 mo.

System location: For personnel records: FLETC Buildings numbered 18, 28, 29, 31, 67, 68, 94, 200, 205, 210, 221, 252, 262 and 1203. For health, training, vehicle, locator and identification records: FLETC Building 94. For Equal Employment Opportunity Records: FLETC Buildings numbered 94, 67, and 200. For Equipment Control records: Property pass files—FLETC Building 28; lost, stolen and found files—FLETC Building 94; Key files—FLETC Building 200. All buildings are located at Glynco, Georgia, 31520.

Categories of individuals covered by the system: Employees, Past Employees, Employee Prospects, Contract Personnel.

Categories of records in the system: Personnel, Health, Training, Vehicle Registration, Equal Employment Opportunity, locator Cards, Identification Records, and Equipment Control Rosters, consisting of records other than those described and reported by the Civil Service Commission on behalf of all agencies.

Authority for maintenance of the system: 5 U.S.C. 301, 5 U.S.C. 4101 et seq., Executive Order No. 11348, April 20, 1967, Treasury Order 217 (Revision 1), dated July 1, 1970.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Personnel recruitment and management, management of health, unit, vehicle control, equipment control, equal employment opportunity program. Disclosure to the individual himself, Treasury Department employees on a need to know basis, and the individual's parent agency. Health records to the U.S. Department of Labor and the U.S. Department of Health, Education, and Welfare as required by regulation. To the Civil Service Commission concerning pay, leave, benefits, retirement deductions, and other information necessary for the Commission to carry out its government-wide personnel management functions. For additional routines uses, see Appendix AA, 40 FR 56419-56420, December 2, 1975.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper files.

Retrievability: Name.

Safeguards: Physical security, personnel screening and security check lists are all used to prevent unauthorized disclosure of records.

Retention and disposal: Disposition as prescribed by the schedules attached to Treasury Directives Manual chapter TD 80, Section 05.B, 5-12-76, except for health records covered by the General Service Administration's General Records Schedule 1 (GRS 1):

System manager(s) and address: Associate Director for Administration, Building 94, FLETC, Glynco, Georgia 31520.

Notification procedure: The individual must provide full name, date of birth, and dates of employment with the Center to the system manager.

Record access procedures: By written request to the system manager.

Contesting record procedures: By written request to the system manager.

Record source categories: The employee on whom the record is maintained, prior employers, and FLETC.

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Treasury/IRS 10.001

System name: Biographical Files, Public Affairs—Treasury/IRS.

System location: National, Regional and District Offices and Service Centers (see IRS Appendix A).

Categories of individuals covered by the system: IRS Employees.

Categories of records in the system: Records are biographical data on key IRS employees.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The contents of these records are available to the public pursuant to the Freedom of Information Act, 5 U.S.C. 552.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Stored in file cabinets without locks.

Retrievability: By name.

Safeguards: Office doors locked at night.

Retention and disposal: Generally governed by the Records Management Handbook IRM 1(15)59.

System manager(s) and address: Assistant to the Commissioner (Public Affairs), Regional, District and Service Centers (see IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to Assistant to the Commissioner (Public Affairs), IRS, Washington, D.C. 20224, for national office file. See Appendix A for appropriate address for regional and district office or service center files.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed as in Notification Procedures.

Contesting record procedures: See Access.

Record source categories: Information is supplied by the IRS official on an IRS biographical data form.

Treasury/IRS 10.004

System name: Subject Files, Public Affairs—Treasury/IRS.

System location: National, Regional and District Offices and Service Centers (see IRS Appendix A.).

Categories of individuals covered by the system: In general, records are maintained on individuals whose names have been mentioned in the press in connection with their relationship with IRS.

Categories of records in the system: The records include correspondence, newspaper clippings, inter-office memoranda and similar documents.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The contents of these records are available to the public pursuant to the Freedom of Information Act, 5 U.S.C. 552.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are stored in file cabinets without locks.

Retrievability: Alphabetical by subject.

Safeguards: Office doors locked at night.

Retention and disposal: Generally governed by the Records Management Handbook IRM 1(15)59.

System manager(s) and address: Assistant to the Commissioner (Public Affairs), Regional, District and Service Centers (see IRS Appendix A.).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to Assistant to the Commissioner (Public Affairs), IRS, Washington, D.C. 20224, for national office file. See Appendix A for appropriate address for regional and district office or service center files.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed as in Notification Procedures.

Contesting record procedures: See Access.

Record source categories: Records generally are clippings from newspapers, magazines, and similar sources, internal documents and memoranda.

Treasury/IRS 21.001

System name: Tax Administration Advisors Resources File, Tax Administration Advisory Services Division—Treasury/IRS.

System location: National Office.

Categories of individuals covered by the system: Past and potential tax administration advisors who have served or indicated an interest in serving on advisory assignments, and selected officials engaged in tax administration and related fields.

Categories of records in the system: Locator cards and lists with names, addresses, telephone numbers, and organizational affiliations of officials engaged in tax administration and IRS employees and others who have been or have indicated an interest in tax advisory assignments; for employees serving or having served with TAAS, work assignment folders including passports; application folders of potential advisors, including information on experience of applicants; bio-data sketches on IRS and others engaged in tax administration and related fields.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7801 and 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Maintained for reference in obtaining and presenting information related to tax administration, and for administrative selection and processing of overseas and domestic assignments. Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper

Retrievability: By individual name.

Safeguards: Access limited to authorized persons. File kept in locked file or locked room.

Retention and disposal: Disposed when no longer useful for official use.

System manager(s) and address: Director, Tax Administration Advisory Services Division, National Office (See IRS Appendix A).

Notification procedure: See System Manager above.

Record access procedures: See System Manager above.

Contesting record procedures: See System Manager above.

Record source categories: From the individual, from the organization with which he is associated, or from other knowledgeable experts in the field of Tax Administration.

Treasury/IRS 22.003

System name: Annual Listing of Undelivered Refund Checks, TX:R —Treasury/IRS.

System location: Internal Revenue Service Centers and District Offices (see IRS Appendix A).

Categories of individuals covered by the system: Taxpayers whose refund checks have been returned as undeliverable since the last Annual Listing of Undelivered Refund Checks was produced.

Categories of records in the system: Taxpayer entity information (Name, Street Address, City, State, Zip Code and Taxpayer Identification Number) and records containing tax module information (Tax Period, Amount of Credit Balance and Control Document Locator Number).

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7801, 26 U.S.C. 7802, 26 U.S.C. 7602.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Individuals entitled to undeliverable refund checks will be notified of such checks by publication of their names in the news media.

Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper document stored in files.

Retrievability: Information is retrievable by taxpayer's SSN or EIN.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: The policies and practices regarding retention and disposal are as specified in the Records Retention Handbook published by the Service.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (TX). Officials maintaining the system—Directors, Internal Revenue Service Centers. (See IRS Appendix A.)

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A.)

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the areas in which the individual resides. (See IRS Appendix A.)

Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: Tax returns and other filings made by the individual and agency entries made in the administration of the individual's tax account.

Treasury/IRS 22.005

System name: Audit Underreporter Case File, TX:R Treasury/IRS.

System location: National Computer Center (NCC) Martinsburg, W. Va. (See IRS Appendix A.)

Categories of individuals covered by the system: Recipients of income who appear not to have declared on their income tax returns (Forms 1040 and 1040A) all income paid to them in the tax year under study.

Categories of records in the system: Records maintained are taxpayer (i.e., payee) entity records containing payee name, address, taxpayer identification number, and other indicators relating to entity maintenance; and income records containing the types and amounts of income received, and information identifying the income payer.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7801, 26 U.S.C. 7802, 26 U.S.C. 7602.

Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: On magnetic tape.

Retrievability: By matching on SSN and name control.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Retained for one year; then data are magnetically erased.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (TX), National Office. Officials maintaining the system—Directors, Internal Revenue Service Centers. See IRS Appendix A for locations.

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 22.011

System name: File of Erroneous Refunds, TX:R Treasury/IRS.

System location: Internal Revenue Service Center. (See IRS Appendix A.)

Categories of individuals covered by the system: Taxpayers issued erroneous refunds.

Categories of records in the system: Case reference name, number, control number, date of erroneous refund, statute expiration date, status of case and location.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7801, 26 U.S.C. 7802, 26 U.S.C. 7602.

Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper document file.

Retrievability: Retrieved by SSN or EIN.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Retained four years then destroyed.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (TX). Officials maintaining the system—Directors, Internal Revenue Service Centers. (See IRS Appendix A.)

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A.)

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the areas in which the individual resides. (See IRS Appendix A.)

Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: Tax returns and other filings made by the individual and agency entries made in the administration of the individual's tax account.

Treasury/IRS 22.012

System name: Collection Case File, TX:R Treasury/IRS.

System location: National Computer Center (NCC), Martinsburg, W. Va. (See IRS Appendix A.)

Categories of individuals covered by the system: Recipients of income who appear not to have filed income tax returns (Forms 1040 or 1040A) for the tax year in which that income was paid to them.

Categories of records in the system: Records maintained are taxpayer (i.e., payee) entity records containing payee name, address, taxpayer identification number, and other indicators relating to entity maintenance; and income records containing the types and amounts of income received, and information identifying the income payer.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7801, 26 U.S.C. 7802, 26 U.S.C. 7602.

Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: On magnetic tape.

Retrievability: By matching on SSN and name control.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Retained for up to one and a half years, then data are magnetically erased.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (TX). Officials maintaining the system—Directors, Internal Revenue Service Centers. (See IRS Appendix A.)

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 22.026

System name: FORM 1042S Index By Name of Recipient, TX:R/ Treasury-IRS.

System location: PHILADELPHIA SERVICE CENTER (See IRS Appendix A).

Categories of individuals covered by the system: Non-resident aliens and U.S. citizens living abroad whose taxes are covered by IR Chapter 3 Withholding.

Categories of records in the system: Records include taxpayer's name, address, tax data, country of residence and Employer Identification Number and name of withholding agent.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7801, 26 U.S.C. 7802, 26 U.S.C. 7602.

Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Microfilm.

Retrievability: By taxpayer name.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Retained at PSC 5 years, then at Federal Records Center 25 years.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (TX). Officials maintaining the system—Director, Philadelphia Internal Revenue Service Center (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A).

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the

Director of the Internal Revenue Service Center servicing the area in which the individual resides.

Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act. (See IRS Appendix A).

Contesting record procedures: See Access above.

Record source categories: Tax returns and other filings made by the individual and agency entries made in the administration of the individual's tax account.

Treasury/IRS 22.027

System name: Forms filed by U.S. Citizens or Residents relating to Foreign Companies, TX:R-Treasury/IRS.

System location: Philadelphia Internal Revenue Service Center and its servicing Federal Records Centers (See IRS Appendix A).

Categories of individuals covered by the system: Taxpayers who file Forms: 957—Foreign Personal Holding Company; 958—Foreign Personal Holding Company; 959—Return by an Officer, Director, or Shareholder—With Respect to the Organization or Reorganization of a Foreign Corporation and Acquisition of its Stock.

Categories of records in the system: Forms filed by the taxpayer and case documents determined by the Service to be related.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7801, 26 U.S.C. 7802, 26 U.S.C. 7602.

Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: These are paper document files.

Retrievability: Documents are stored and retrieved by Document Locator Numbers.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Retention and Disposal Instructions for paper documents in these files is as specified in the Records Disposition Handbook, Service Centers, IRM 1(15)59-206.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (TX). Officials maintaining the system—Directors, Internal Revenue Service Centers (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A).

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides.

Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act. (See IRS Appendix A).

Contesting record procedures: See Access above.

Record source categories: Tax returns and other filings made by the individual and agency entries made in the administration of the individual's tax account.

Treasury/IRS 22.032

System name: Individual Microfilm Retention Register, TX:R—Treasury/IRS.

System location: All IRS Service Centers and District Offices. (See IRS Appendix A).

Categories of individuals covered by the system: Categories of individuals on whom records are maintained are: (a) For Individual Master File (IMF) registers—Individuals who file, or may be required to file, individual tax returns such as Form 1040 or 1040A, and (b) For Residual Master File (RMF) registers—Individuals who file, or may be required to file, or are otherwise responsible for returns relating to airways, motor vehicles, wagering, beer, etc., coin operated amusement devices, gift taxes or estate taxes, including return forms such as, 4638, 709, 706, 2290, 11, 11B, 11C and 730.

Categories of records in the system: The categories of records maintained are abstracts of tax and/or entity modules that have been removed from the IMF or RMF. These abstract records indicate the taxpayer name, identification number, specific tax returns, document locater number, tax years, debit and credit amounts, balances, and other transactions which have been recorded relative to the module(s).

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7801, 26 U.S.C. 7802, 26 U.S.C. 7602.

Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Microfilm Tape.

Retrievability: IMF Reference Register (for IMF Register only), identification number, Tax Period, name, and type of tax (for RMF Register). Methods of retrievability are explained in the Research Operations Handbook.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: The policies and practices regarding storage, retention, and disposal are as specified in the Records Retention Handbook.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (TX). Officials maintaining the system—Directors, Internal Revenue Service Centers. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C. Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A).

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A).

Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See access above.

Record source categories: Tax returns and other filings made by the individual and agency entries made in the administration of the individual's tax account.

Treasury/IRS 22.034

System name: Individual Returns Files, Adjustments and Miscellaneous Documents Files. TX:R—Treasury/IRS.

System location: Internal Revenue Service Centers and Federal Records Centers. (See IRS Appendix A).

Categories of individuals covered by the system: Taxpayers or return preparers who have had changes made to their accounts, or have had information posted to the Individual Master file or the Residual Master File, as a result of filing returns, applications, or other documents, or as a result of actions initiated by the Service.

Categories of records in the system: A variety of records reflecting tax matters which have served as input documents or supporting documentation for entries on the Individual Master File or the Residual Master File, including tax return forms, declarations, applications, case records, processing documents, vouchers, computer notices, posting and correction forms, and similar records.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7801, 26 U.S.C. 7802, 26 U.S.C. 7602.

Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper document files.

Retrievability: Documents are stored and retrieved by Document Locator Numbers. The Document Locator Numbers can be determined by reference to the Individual Master File or Residual Master File entries for the individual to whom they relate.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Retention and Disposal Instructions for paper documents in these files is as specified in the Records Disposition Handbook, Service Centers, IRM 1(15)59-206.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (TX). Officials maintaining the system—Directors, Internal Revenue Service Centers. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A).

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A).

Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See access above.

Record source categories: Tax returns and other filings made by the individual and agency entries made in the administration of the individual's tax account.

Treasury/IRS 22.036

System name: Interest Equalization Tax Forms File, TX:R—Treasury/IRS.

System location: Federal Records Centers. (See IRS Appendix A).

Categories of individuals covered by the system: Taxpayers who filed Forms: 3969—Notice of Acquisition of Original or New Japanese Debt Obligation, 4410—Interest Equalization Tax—Quarterly Return of Tax Withheld by Participating Firm, 4363—Notice of Acquisition of Stock Pursuant to Conversion of an Original or New Japanese Debt Obligation, 4322—Validation Certificate of Prior American Ownership and Interest Equalization Tax Compliance, 3845—Interest Equalization Tax—Broker's Quarterly Information Return, 3779—Notice of Acquisition of Original or New Canadian Stock or Debt Obligation.

Categories of records in the system: Forms filed by the taxpayer and case documents determined by the Service to be related.

Authority for maintenance of the system: 5. U.S.C. 301, 26 U.S.C. 7801, 26 U.S.C. 7802, 26 U.S.C. 7602.

Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: These are paper document files.

Retrievability: Documents are stored and retrieved by taxpayer name.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Retention and Disposal Instructions for paper documents in these files is as specified in the Records Disposition Handbook, Service Centers, IRM 1(15)59-206.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (TX). Officials maintaining the system—Directors, Internal Revenue Service Centers. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A).

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A).

Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See access above.

Record source categories: Tax returns and other filings made by the individual and agency entries made in the administration of the individual's tax account.

Treasury/IRS 22.043

System name: Potential Refund Litigation Case Files, TX:R—Treasury/IRS.

System location: Internal Revenue Service Centers and Federal Records Centers. (See IRS Appendix A).

Categories of individuals covered by the system: Taxpayer who have indicated to the Service that they may file suit against the service for a refund.

Categories of records in the system: Forms filed by the taxpayer and case documents determined by the Service to be related.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7801, 26 U.S.C. 7802, 26 U.S.C. 7602.

Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: This is a paper document file.

Retrievability: Documents are stored and retrieved by taxpayer name.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1 (16) 41.

Retention and disposal: Retention and Disposal Instruction for paper document in these files is as specified in the Records Disposition Handbook, Service Centers, IRM 1 (15) 59-206.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (TX). Officials maintaining the system—Directors, Internal Revenue Service Centers. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A).

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A).

Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See access above.

Record source categories: Tax returns and other filings made by the individual and agency entries made in the administration of the individual's tax account.

Treasury/IRS 22.044

System name: P.O.W.—M.I.A. Reference File, TX:R—Treasury/IRS.

System location: Austin Service Center and each district office. (See IRS Appendix A).

Categories of individuals covered by the system: P.O.W./M.I.A.—originated from Department of Defense lists.

Categories of records in the system: Lists of P.O.W./M.I.A., Regulations, Rulings, and Instructions as to how to handle specific tax questions relating to their special tax status, copies of individual tax returns, claims, and other documents germane to specific clases.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7801, 26 U.S.C. 7802, 26 U.S.C. 7602.

Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper documents in file folders.

Retrievability: Cases filed alphabetically by last name.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: All cases have been retained and no current provisions have been established for disposal.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (TX). Officials maintaining the system—Director, Internal Revenue Austin Service Center and District Directors of Internal Revenue. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A).

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A).

Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due should utilize existing procedure for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See access above.

Record source categories: Tax returns and other filings made by the individual and agency entries made in the administration of the individual's tax account.

Treasury/IRS 22.054

System name: Subsidiary Accounting Files, TX:R—Treasury/IRS.

System location: Internal Revenue Service Centers and Dsitrict Offices. (See IRS Appendix A.)

Categories of individuals covered by the system: The categories of individuals on whom records are maintained are taxpayers affected by one or more of the transactions reflected in the categories of records listed below.

Categories of records in the system: The types of records maintained in the system are documents containing entity information (name, address, taxpayer identification number or employer identification number and other information necessary to identify the taxpayer) and accounting information relevant to one of the following categories: funds held pending, disposition and not applied to the taxpayer's liability at present, properties, tangible or intangible, now in the custody of the Interal Revenue Service, counterfeit money, misappropriations or robbery of Internal Revenue Service funds, credits which cannot be applied to a specific taxpayer account at this time, accounts transferred to other Service Centers or agencies, substantiation for the receipt of funds collected by the Service for other agencies, abatements or assessments of tax on individuals, deposits received from taxpayers, refunds to taxpayers, taxpayer accounts written off by the Service as uncollectible, credits allowed taxpayers requiring special consideration due to processing constraints, litigation, seized and acquired property and Offers-in-Compromise.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7801, 26 U.S.C. 7802, 26 U.S.C. 7602.

Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Subsidiary Accounting Files consist of paper documents stored in files.

Retrievability: Records are retrievable by Social Security No. and by name.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1 (16) 41.

Retention and disposal: Records are retired to the Records Center and are retrieved by request from authorized individuals where account substantiation is necessary. Policies regarding disposal are as specified in the Records Retention Handbook published by the Service.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (TX). Officials maintaining the system—Directors, Internal Revenue Service Centers. (See IRS Appendix A)

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A.)

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the areas in which the individual resides. (See IRS Appendix A.)

Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due should utilize existing procedures for doing so, as substantive tax matters are no subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: Tax returns and other filings made by the individual and agency entries made in the administration of the individual's tax account. Some Justice Department investigations are an additional source for the information contained in litigation case files.

Treasury/IRS 22.058

System name: Tentative Carryback Allowance File; TX:R—Treasury/IRS.

System location: Internal Revenue Service Centers. (See IRS Appendix A.)

Categories of individuals covered by the system: Taxpayers who have filed for a tentative carryback adjustment.

Categories of records in the system: records of tentative carryback adjustment Form 6902, including taxpayer name, address, and identifying number, tax years involved, amounts of tax decrease, and date Notice of Disallowance (if any) mailed.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7801, 26 U.S.C. 7802, 26 U.S.C. 7602.

Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: These are paper document files

Retrievability: Taxpayer name and/or identification number.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1 (16) 41.

Retention and disposal: Retention and Disposal Instruction for paper documents in these files is as specified in the Records Disposition Handbook, Service Centers, IRM 1 (15) 59-206.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (TX). Officials maintaining the system—Directors, Internal Revenue Service Centers. (See IRS Appendix A.)

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A.)

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the areas in which the individual resides. (See IRS Appendix A.)

Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due should utilize existing procedure for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: Tax returns and other filings made by the individual and agency entries made in the administration of the individual's tax account.

Treasury/IRS 22.059

System name: Unidentified Remittance File, TX:R—Treasury/IRS.

System location: Internal Revenue Service Centers. See IRS Appendix A for locations.

Categories of individuals covered by the system: Remittances which have been received but cannot be positively identified, either as to the taxpayer who sent it or the tax period to which it is to be applied.

Categories of records in the system: Each record contains a fourteen digit unidentified remittance (UR) control number, the amount, received date, and all known identifying data. In addition, the URF contains a record of all doubtfully applied Estimated Tax (ES) credits. The ES record contains the taxpayer's name, address, type of payment, taxpayer identification number, tax period, received date,

money amount, and the transaction document locator number (DLN).

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7801, 26 U.S.C. 7802, 26 U.S.C. 7602.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: This file is used only to report Revenue Receipts Breakout and total amount shown on General Ledger to U.S. Treasury. The file is an internal file and contains a record of each remittance which is received but cannot be positively identified, either as to the taxpayer who sent it or the tax period to which it is to be applied.

Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Disk Storage

Retrievability: Remittance amount, Unidentified Remittance Control Number, taxpayer name.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1 (16) 41.

Retention and disposal: 180 days after the amount is reduced to zero balance.

System manager(s) and address: Offical prescribing policies and practices—Assistant Commissioner (TX). Officials maintaining the system—Directors, Internal Revenue Service Centers. (See IRS Appendix A.)

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the areas in which the individual resides. (See IRS Appendix A.)

Record access procedures: Indivduals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries, should be addressed to the Director of the Internal Revenue Service Center servicing the areas in which the individual resides. (See IRS Appendix A.)

Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: Remittances received from taxpayers that cannot be positively identified either as to the taxpayer who sent it or the type of tax to which it is to be applied.

Treasury/IRS 22.060

System name: Unit Ledger Cards, TX:R—Treasury/IRS.

System location: Internal Revenue Service Centers and Records Center.

Categories of individuals covered by the system: Records are maintained on taxpayers having accounts with the Internal Revenue Service which are not compatible with normal master file processes, e.g.: penalties, transferee assessments, termination assessments, excise protest accounts, RMF overflow accounts, culpable and non-petitioning spouses, Forms 1042, 1040-NR, 926, 5330, 4720, 990-AR (Penalty) and any pre-ADP returns.

Categories of records in the system: The categories of records maintained are taxpayer entity records (name, address, taxpayer identification number or employer identification number and other indicators relevant to entity maintenance) and records containing tax module information (the tax return, the tax period, the balance due or credit balance, and transactions which have been recorded relative to the module).

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7801, 26 U.S.C. 7802, 26 U.S.C. 7602.

Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 301.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The unit ledger cards are a card file.

Retrievability: Information is retrievable by Taxpayer Identification Number.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1 (16) 41.

Retention and disposal: Disposition is prescribed by the appropriate Records Control Schedule.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (TX). Officials maintaining the system—Directors, Internal Revenue Service Centers. (See IRS Appendix A.)

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A.)

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the areas in which the individual resides. (See IRS Appendix A.)

Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: Tax returns and other filings made by the individual and agency entries made in the administration of the individual's tax account.

Treasury/IRS 22.061

System name: Wage and Information Returns Processing (IRP) File; TX:R—Treasury/IRS.

System location: National Computer Center, Martinsburg, W. Va.

Categories of individuals covered by the system: recipients of various types on income: wages; dividends; interest; rents and royalties; medical payments; capital gains distributions; non-taxable distributions; pensions and annuities; patronage dividends, distributions, and allocations; fishing crew distributions and prizes, gambling winnings and miscellaneous income.

Categories of records in the system: Records maintained are records representing certain wage and information returns: Forms W-2, W-2P, the 1087 and 1099 series; and records of agricultural subsidy payments. Information included on each record identifies the recipient of the income (name, address, taxpayer identifying number, and other indicators relating to payee identification), identifies the income payer (very similar information), and identifies the type(s) and amount(s) of income.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7801, 26 U.S.C. 7802, 26 U.S.C. 7602.

Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic tape in social security number sequence.

Retrievability: By taxpayer social security number and name control.

Safeguards: Safeguards will not be less than provided by the Physical Document Security Handbook, IRM 1 (16) 41.

Retention and disposal: Until tax year 1974, only until processed; after tax year 1974, four years; then magnetically erased.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (TX), national office. Officials maintaining the system—Directors, Internal Revenue Service Centers. See IRS Appendix A for locations.

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 24.013

System name: Combined Account Number File, Data Services: Treasury/IRS.

System location: Internal Revenue Service Centers. (See IRS Appendix A.)

Categories of individuals covered by the system: Individuals and businesses having specific, current business with IRS.

Categories of records in the system: Taxpayer entity records (name, address, taxpayer identification number, and filing requirements related to entity liability); and tax modules (specific tax returns, tax years, and transactions which have been recorded relative to the module) when specifically requested by a service center, or if a notice for balance of tax due has been issued; a specific tax period is in taxpayer delinquent account status (TDA); a specific tax period is either credit or debit balance; no return has posted and the return due date (RDD) has passed; or when a specific tax period is in taxpayer delinquent return (TDI) status.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7801, 26 U.S.C. 7802, 26 U.S.C. 7602.

Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Disk storage.

Retrievability: Social security number or employer identification number.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: The policies and practices regarding storage, retention and disposal are governed by the principle that a specific tax period will be retained on the file dependent upon specified criteria prescribed in the Internal Revenue Manual and in various Accounts and Data Processing Handbooks (ADP Handbooks). The disposal of documents output from this file is specified in the Records Retention Handbook published by the Service and in the Internal Revenue Manual and in various Accounts and Data Processing Handbooks.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner Data Services. Officials maintaining the system—Directors, Internal Revenue Service Centers. (See IRS Appendix A.)

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A.)

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the areas in which the individual resides. (See IRS Appendix A.)

Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due should utilize existing procedures for doing so as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: Tax returns and other filings made by the individual and agency entries made in the administration of the individual's tax account.

Treasury/IRS 24.014

System name: Discriminant Function File (DIF), Data Services: Treasury/IRS.

System location: Internal Revenue Service Centers. (See IRS Appendix A.)

Categories of individuals covered by the system: Individuals whose Forms 1040 and 1040A have a DIF Score higher than a cutoff score determined by Audit Division, indicating high audit potential. The DIF Score is the computation of Audit potential, based on formulae prescribed by Audit.

Categories of records in the system: Document Locator Number of the return, the Regional and District Offices of the taxpayer, the taxpayer's DIF Score and data from the individual's Income Tax Return needed to conduct an audit of his return.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7801, 26 U.S.C. 7802, 26 U.S.C. 7602.

Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: By magnetic tape and computer printout.

Retrievability: By individual's Social Security Number.

Safeguards: Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Retained for three years. Disposed of thereafter.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner Data Services. Officials maintaining the system—Directors, Internal Revenue Service Centers. (See IRS Appendix A.)

Notification procedure: This system of records may not be accessed for purpose of determining, if the system contains a record pertaining to a particular individual.

Record access procedures: This System of records may not be accessed for the purpose of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 24.017

System name: Employee Inquiry Look-Up for TDA Inquiries, Data Services:—Treasury/IRS.

System location: Internal Revenue Service Centers. (See IRS Appendix A.)

Categories of individuals covered by the system: Individual taxpayers who have paid Federal Insurance Contributions Act (FICA), wages to the Social Security Administration (SSA) through the 3rd quarter of the year and those taxpayers who file Federal Individual Income Tax returns Form 1040 and 1040A through the Individual Master File (IMF) Delinquency and Underreporting Program (WAID).

Categories of records in the system: Taxpayer's name, taxpayer's address, taxpayer's identification number, taxpayer's wages, taxpayer's marital status, taxpayer's employer/s name/s, employer's address, employer's identification number, tax period and other indicators relating to the program operation.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7801, 26 U.S.C. 7802, 26 U.S.C. 7602.

Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic tape.

Retrievability: Tape search by taxpayer name or identity number.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: The file is maintained approximately for six months and then destroyed and recreated as updated information is received from SSA or WAID.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (TX). Officials maintaining the system—Directors, Internal Revenue Service Centers. (See IRS Appendix A.)

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the areas in which the individual resides.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the areas in which the individual resides.

Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act

Contesting record procedures: See Access above.

Record source categories: Tax returns and other filings made by the individual and agency entries made in the administration of the individual's tax account.

Treasury/IRS 24.029

System name: Individual Account Number File (IANF), Data Services: Treasury/IRS.

System location: Internal Revenue Service Centers (See IRS Appendix A).

Categories of individuals covered by the system: Individuals having specific, current business with IRS.

Categories of records in the system: Taxpayer entity records (name, address, taxpayer identification number, and filing requirements related to entity liability); and tax modules (specific tax returns, tax years, and transactions which have been recorded relative to the module) when specifically requested by a service center, or if a notice for balance of tax due has been issued; a specific tax period is in taxpayer delinquent account status (TDA); a specific tax period is either credit or debit balance, no return has posted and the return due date (RDD) has passed; or when a specific tax period is in taxpayer delinquent return (TDI) status.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7801, 26 U.S.C. 7802, 26 U.S.C. 7602.

Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Disk storage.

Retrievability: Social security number.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: The policies and practices regarding storage, retention and disposal are governed by the principle that a specific tax period will be retained on the file dependent upon specified criteria prescribed in the Internal Revenue Manual and in various Accounts and Data Processing Handbooks (ADP Handbooks). The disposal of documents output from this file is specified in the Records Retention Handbook published by the Service and in the Internal Revenue Manual and in various Accounts and Data Processing Handbooks.

System manager(s) and address: Officials prescribing policies and practices—Assistant Commissioner Data Services. Officials maintaining the system—Directors, Internal Revenue Service Centers. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A).

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides.

Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act. (See IRS Appendix A).

Contesting record procedures: See Access above.

Record source categories: Tax returns and other filings made by the individual and agency entries made in the administration of the individual's tax account.

Treasury/IRS 24.030

System name: Individual Master File (IMF); Data Services—Treasury/IRS.

System location: National Computer Center (NCC), Martinsburg, West Virginia.

Categories of individuals covered by the system: Taxpayers who file Federal Individual Income Tax Returns (i.e. Forms 1040, 1040A).

Categories of records in the system: Taxpayer entity records (name, address, identification number (SSN) and other indicators pertaining to entity maintenance, including Zip Code), and tax modular records which contain all records relative to specific tax returns for each applicable tax period or year. Recorded here are tax transactions such as tax amount, additions, abatements of tax payments, interest and like type transactions recorded relative to each tax module.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7801, 26 U.S.C. 7802, 26 U.S.C. 7602.

Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: By identification number and alphabet.

Storage: Magnetic Tape.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: As specified in the Records Retention Handbook published by the Service.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner Data Services. Officials maintaining the system—Directors, Internal Revenue Service Centers. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A).

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides.

Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.(See IRS Appendix A).

Contesting record procedures: See Access above.

Record source categories: Tax returns and other filings made by the individual and agency entries made in the administration of the individual's tax account.

Treasury/IRS 24.046

System name: Residual Master File (RMF) Data Services—Treasury/IRS.

System location: Internal Revenue Service Centers: See IRS Appendix A for locations.

Categories of individuals covered by the system: Persons in a sole proprietary role who file Excise Tax Returns (Form 11), Wagering Returns (Form 11C), Highway Use Returns (Form 2290), and Airways Use Returns (Form 4638) and Estate and Gift Taxes (Form 706 and 709), the latter can be individuals not in a sole proprietorship role.

Categories of records in the system: Taxpayer entity records (name, address, identification name (TIN) which may be either EIN or SSN, and other indicators pertaining to entity maintenance, including Zip Code), and tax modules which are all the records relative to specific tax returns for each applicable tax period. Recorded are tax transactions such as tax amount, statements/additions to tax, payments, interest and like type transactions relative to each tax module.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7801, 26 U.S.C. 7802, 26 U.S.C. 7602.

Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic Tape.

Retrievability: By name, type of tax, and identifying number.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: As specified in the Records Retention Handbook published by the Service.

System manager(s) and address: Official prescribing policies and practices, Assistant Commissioner Data Services. Officials maintaining the system, Directors, Internal Revenue Service Centers. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with Instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with Instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the Individual resides.

Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: Tax returns and other filings made by the individual and agency entries made in the administration of the individual's tax account.

Treasury/IRS 24.057

System name: Taxpayer Delinquent Investigation Notice File; Data Services: Treasury/IRS.

System location: Internal Revenue Service Centers. (See IRS Appendix A.)

Categories of individuals covered by the system: Individual and business taxpayers relating to taxpayer delinquency investigations and the generation of delinquency notices.

Categories of records in the system: Delinquency investigation information on business and individual taxpayers.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7801, 26 U.S.C. 7802, 26 U.S.C. 7602.

Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic tape.

Retrievability: Tape search by identity number or name.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1 (16) 41.

Retention and disposal: Dependent upon the activity to each taxpayer record.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner Data Services. Officials maintaining the system—Directors, Internal Revenue Service Centers. (See IRS Appendix A.)

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual. (See IRS Appendix A.)

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 26.001

System name: Acquired Property Records, CP:C—Treasury/IRS.

System location: District Offices. (See IRS Appendix A.)

Categories of individuals covered by the system: Delinquent taxpayers whose property has been acquired by purchase by government or right of redemption.

Categories of records in the system: Taxpayer name, address, taxpayer identification number, revenue officer reports.

Authority for maintenance of the system: 5 U.S.C. 301 and 26 U.S.C. 7801 and 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records are stored in locked facility when not in use.

Retrievability: Retrievable by taxpayer name.

Safeguards: Access Controls will be not less than provided for by the Physical and Document Security Handbook, IRM 1 (16) 41.

Retention and disposal: As specified in records disposition Handbook IRM 1(15)59.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (Compliance). Officials maintaining the system—District Directors of Internal Revenue. (See IRS Appendix A.)

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains data for government accounting purposes from Service sources.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 26.005

System name: File of Persons Making Threats of Force or Forcible Assaults, CP:C—Treasury/IRS.

System location: District Offices (See IRS Appendix A).

Categories of individuals covered by the system: Individuals who have or may threaten or assault Collection activity personnel.

Categories of records in the system: Names, addresses, taxpayer identification number (if known), physical description (if known) of individuals.

Authority for maintenance of the system: 5 U.S.C. 301 and 26 U.S.C. 7801 and 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable) and as necessary to report apparent violations of law to appropriate law enforcement agencies. Routine disclosure may be made during judicial processes.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper Records.

Retrievability: By name of individual.

Safeguards: Access Controls will be not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Individual records will be removed from the file two years after the end of the calendar year during which the records were filed, unless management determines that the individual still presents a hazard to collection personnel.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (Compliance). Officials maintaining the system—District Directors of Internal Revenue. (See IRS Appendix A).

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 26.006

System name: Form 2209, Courtesy Investigations, CP:C—Treasury/IRS.

System location: District Offices (See IRS Appendix A).

Categories of individuals covered by the system: Taxpayers on whom a delinquent account or delinquency or other investigation is or was located in one IRS district office, but the individual is now living or has assets located in the jurisdiction of another IRS district office.

Categories of records in the system: Contains taxpayer name, current and former addresses, taxpayer identifying number, if known.

Contains the information, including class of tax, if applicable, or information concerning the action desired to be taken, and chronological investigative history.

Authority for maintenance of the system: 5 U.S.C. 301 and 26 U.S.C. 7801 and 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper Records, Magnetic Tape.

Retrievability: By taxpayer name.

Safeguards: Access Controls will be not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: As specified in records disposition Handbook, IRM 1(15)59.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (Compliance). Officials maintaining the system—District Directors, Service Center Directors and Data Center Directors. (See IRS Appendix A).

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 26.007

System name: Form 2990—Miscellaneous Investigations, CP:C—Treasury/IRS.

System location: District Offices (See IRS Appendix A).

Categories of individuals covered by the system: Taxpayers on whom an investigation has been initiated for purposes of securing information necessary for Federal Tax Administration purposes.

Categories of records in the system: Name and address of party that was contacted, taxpayer identifiction number, if known, chronological investigative history and/or information secured. The following types of investigations are included in this system. Form SSA-7010 cases—Notice of Determination of FICA Wages—Issued when SSA obtains evidence that wages paid to claimant have not been recorded on his earnings record. Requests for data needed in proof of claim cases. Applications for discharge of property from tax lien. Service of tax court subpoenas. Complaints of employers failing to provide withholding statements. Recovery of unassessable erroneous refunds. Form SSA-1273 cases—Request for Preferential Investigation. This is a request for preferential treatment (over other SSA cases) on an earnings discrepancy case. Secure application for exemption from self-employment tax. Offers in Compromise and related activities; i.e., follow-up on defaulted installments, secure payment on collateral agreements, etc. Maximize compliance with withholding requirements on aliens' earnings. Other miscellaneous uses.

Authority for maintenance of the system: 5 U.S.C. 301 and 26 U.S.C. 7801 and 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper Records, Magnetic Tape.

Retrievability: By taxpayer name.

Safeguards: Access Controls will be not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: As specified in records disposition Handbook. IRM 1(15)59.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (Compliance). Officials maintaining the system—District Directors, Service Center Directors and Data Center Directors. (See IRS Appendix A).

Notification procedure: This system of records may not be accessed for purposes of determing if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 26.008

System name: IRS and Treasury Employee Delinquency, CP:C—Treasury/IRS.

System location: National Office, Regional Offices, District Offices and Service Centers, National Computer Center and Data Center. (See IRS Appendix A).

Categories of individuals covered by the system: IRS and Treasury employees who are shown on the Master file as delinquent in meeting Federal tax requirements.

Categories of records in the system: Taxpayer name, social security number, address, fact of IRS or Treasury employment code, District location code.

Authority for maintenance of the system: 5 U.S.C. 301 and 26 U.S.C. 7801 and 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper Records.

Retrievability: by taxpayer name.

Safeguards: Access Controls will be not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: As specified in records disposition Handbook, IRM 1(15)59.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (Compliance). Officials maintaining the system—Assistant Commissioner (Compliance), Regional Commissioners, District Directors of Internal Revenue and Service Center Directors. (See IRS Appendix A).

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 26.009

System name: Lien Files (Open and Closed), CP:C—Treasury/IRS.

System location: District Offices (See IRS Appendix A).

Categories of individuals covered by the system: Taxpayers on whom Federal Tax Liens have been filed.

Categories of records in the system: Taxpayer name, address, taxpayer identification number, information about basis of assessment including class of tax, period, dollar amount. Open and closed Federal tax liens including: Certificates of Discharge of Property from Federal Tax Lien; Certificates of Subordination; Certificates of Non-Attachment; Exercise of Government's Right of Redemption of Seized Property; Releases of Government's Right of Redemption. Federal tax lien information entered in Treasury Enforcement Communications System.

Authority for maintenance of the system: 5 U.S.C. 301 and 26 U.S.C. 7801 and 7802, 26 U.S.C. 6323.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26

U.S.C. 6103 and 26 CFR 404.6103 where applicable), notices of lien and the index thereto are available to the public.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper Records, Magnetic Tape and Microfiche.

Retrievability: By taxpayer name and lien sequence number.

Safeguards: Access Controls will be not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: As specified in records disposition Handbook IRM 1(15)59.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (Compliance). Official maintaining the system—District Directors, Service Center and Data Center Directors and Director of International Operations. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may address inquiries to District Director for each District whose records are to be searched.

Record access procedures: This system contains copies of public records, the originals of which are filed in accordance with the laws of each State, the District of Columbia, the Commonwealth of Puerto Rico, or possessions of the United States. See Notification above.

Contesting record procedures: See notification above.

Record source categories: Taxpayers' Federal Tax Returns.

Treasury/IRS 26.010

System name: Lists of Prospective Bidders at Internal Revenue Sales of Seized Property—CP:C—Treasury/IRS.

System location: District Offices. (See IRS Appendix A).

Categories of individuals covered by the system: Individuals who have requested that they be notified of Internal Revenue sales of seized property.

Categories of records in the system: Name and address and phone number (if provided).

Authority for maintenance of the system: 5 U.S.C. 301 and 26 U.S.C. 7801 and 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable) and as necessary to report apparent violations of law to appropriate law enforcement agencies. Routine disclosure may be made to other parties as necessary in the administration and enforcement of law as authorized by 26 U.S.C. 7801 and 7802. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper Records. File cabinets.

Retrievability: By name of Prospective Bidder.

Safeguards: Access Controls will be not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: As specified in records disposition Handbook IRM 1(15)59.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (Compliance). Officials maintaining the system—District Directors of Internal Revenue determine if need for this system exists. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the District Director of Internal Revenue Service servicing the area in which the individual resides.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire inaccordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of Internal Revenue Service servicing the areas in which the individual resides.

Contesting record procedures: See Access above.

Record source categories: Supplied voluntarily by the subject prospective bidder.

Treasury/IRS 26.011

System name: Litigation Case Files, CP:C—Treasury/IRS.

System location: District Offices (See IRS Appendix A).

Categories of individuals covered by the system: Taxpayers on whom Federal tax assessments have been made but against whom litigation has been initiated or is being considered by the government or who have instituted suits against the government.

Categories of records in the system: Taxpayer name, address, taxpayer identification number, information about basis of assessment, including class of tax, period, and dollar amount. This file includes: Suit to Reduce Tax Claim to Judgement; Suit for Failure to Honor a Levy; Suit to Enforce Federal Tax Lien; Suit Against Transferee; Suit to Set Aside Fraudulent Transfer; Suit to Recover Erroneous Refund; other suits include those which the United States may intervene to assert a Federal Tax Lien; a proceeding to require opening of a safe deposit box, etc. The following suits against the United States are also included in this file: Quiet Title Suit; Foreclosure of Mortgage or other Lien; Partition; Condemnation; Interpleader; Refund Suits Involving 100-Percent Penalty Assessments; Injunction Suits Under Freedom of Information Act. Also included in Litigation Files are: Individuals against whom bankruptcy proceedings are pending; decedent estates in probate with outstanding Federal tax liabilities; individuals executing Assignments for the Benefit of Creditors; individuals in Receivership Proceedings; individuals conducting a bulk sale; summons referrals; subpoena files; advisory opinions; revenue officer reports; and various other legal instruments and correspondence. Disclosure may be made during judicial processes.

Authority for maintenance of the system: 5 U.S.C. 301 and 26 U.S.C. 7801 and 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper Records.

Retrievability: By taxpayer name.

Safeguards: Access Controls will be not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: As specified in records disposition Handbook IRM 1(15)59.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (Compliance). Officials maintaining the system—District Directors of Internal Revenue. (See IRS Appendix A).

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 26.012

System name: Offer in Compromise (OIC) File, CP:C—Treasury/IRS.

System location: District Offices, Service Center, Regional Offices, and National Office. (See IRS Appendix A).

Categories of individuals covered by the system: Taxpayers who have submitted an offer to compromise a liability imposed by the Internal Revenue Code.

Categories of records in the system: Form 2515, used to control offer cases, contains space for entering taxpayer name, address, taxpayer identification number, and all other pertinent information for identifying and assigning the OIC investigation. The file contains all records, documents, reports and work papers relating to the assignment, investigation, review and adjudication of the offer. This includes such items as the original offer, results of property records checks, interviews with third parties and the taxpayer, collateral income agreements, financial statements, tax return copies, and other information submitted by the taxpayer.

Authority for maintenance of the system: 5 U.S.C. 301 and 26 U.S.C. 7801 and 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable) and to the extent necessary to permit public inspection of any accepted offer-in-compromise as required by 26 U.S.C. 6103(K)(1).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper Records, Magnetic Tape.

Retrievability: By taxpayer name.

Safeguards: Access Controls will be not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: As specified in records disposition Handbook, IRM 1(15)59.

System manager(s) and address: Official prescribing policies and practices Assistant Commissioner (Compliance), Officials maintaining the system Regional Commissioners, Service Center Directors, District Directors and Director, Data Center. (See IRS Appendix A).

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported. If an offer is accepted, an abstract and statement which identifies the taxpayer, the liability, the amount compromised and the basic circumstances of the case is made available for public inspection in accordance with EO 10386 and EO 10906.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 26.013

System name: One Hundred Percent Penalty Cases, CP:C—Treasury/IRS.

System location: District Offices. (See IRS Appendix A).

Categories of individuals covered by the system: Individuals against whom Federal tax assessments have been made or are being considered as a result of their being deemed responsible for payment of unpaid corporation withholding taxes and social security contributions.

Categories of records in the system: Taxpayer name, address, taxpayer identification number, information about basis of assessment, including class of tax, period, dollar figures, waiver extending the period for asserting the 100-percent penalty (if any) and correspondence.

Authority for maintenance of the system: 5 U.S.C. 301 and 26 U.S.C. 7801 and 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper Records, Magnetic Tape.

Retrievability: By individual or corporation name.

Safeguards: Access Controls will be not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: As specified in records disposition Handbook, IRM 1(15)59.

System manager(s) and address: Official prescribing policies and practices Assistant Commissioner (Compliance), Officials maintaining the system District Directors, Service and Data Center Directors. (See IRS Appendix A).

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 26.014

System name: Record 21, Record of Seizure and Sale of Real Property. CP:C—Treasury/IRS.

System location: District Offices (See IRS Appendix A).

Categories of individuals covered by the system: Individuals against whom tax assessments have been made and whose real property was seized and sold to satisfy their tax liability. Also name and address of purchaser.

Categories of records in the system: Taxpayer name, address, taxpayer identification number, information about basis of assessment, including class of tax, period, dollar amounts, property description.

Authority for maintenance of the system: 5 U.S.C. 301 and 26 U.S.C. 7801 and 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The contents of this System of Records evidences chain of title to real property and is a matter of public record.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records, file cabinets.

Retrievability: By taxpayer name.

Safeguards: Access Controls will be not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: As specified in records disposition Handbook, IRM 1(15)59.

System manager(s) and address: Official prescribing policies and practices Assistant Commissioner (Compliance), Officials maintaining the system—District Directors. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may address inquiries to District Director for each District whose records are to be searched.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may address inquiries to or appear in person at the office of District Director for each District whose records are to be searched.

Contesting record procedures: See Access above.

Record source categories: This System of Records evidences chain of title to real property and is a matter of public record. (See Category of Record above).

Treasury/IRS 26.016

System name: Returns Compliance Programs (RCP) CP:C—Treasury/IRS.

System location: District Offices and Service Centers (See IRS Appendix A).

Categories of individuals covered by the system: Taxpayers who may be delinquent in filing or paying Federal taxes.

Categories of records in the system: Records consist of name, address, taxpayer identification number (if known) and information concerning the potential tax liability. Returns Compliance Programs involve any type of Federal tax administered by the Collection Division and are conducted in accordance with Section 7601 of the Internal Revenue Code. RCP programs can be initiated by the National Office, Regional Offices, or by individual districts. Disclosure may be made during judicial processes.

Authority for maintenance of the system: 5 U.S.C. 301 and 26 U.S.C. 7801 and 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper Records, Magnetic Tape.

Retrievability: By taxpayer name.

Safeguards: Access Controls will be not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: As specified in records disposition Handbook, IRM 1(15)59.

System manager(s) and address: Official prescribing policies and practices Assistant Commissioner (Compliance), Officials maintaining the system District Directors, Service and Data Center Directors. (See IRS Appendix A).

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 26.019

System name: TDA (Taxpayer Delinquent Accounts) including subsystems: (a) Adjustment and Payment Tracers Files, (b) Collateral Files, (c) Seized Property Records, and (d) Tax Collection Waiver Forms 900 Files, CP:C—Treasury/IRS.

System location: District Offices. (See IRS Appendix A).

Categories of individuals covered by the system: Taxpayers on whom Federal tax assessments have been made.

Categories of records in the system: Taxpayer name, address, taxpayer identification number, information about basis of assessment, including class of tax, period, dollar amounts, chronological investigative history, cancelled checks, amended returns, claims, collateral submitted to stay collection, copies of notices of federal tax liens, revenue officer reports, waivers to extend statutory period for collection, etc. This system includes Installment Agreement Files; Delinquent Account Inventory Profile (DAIP); Currently Not Collectible Register; Currently Not Collectible Register (over 25,000); Advance Dated Remittance Check Files; Currently Not Collectable Accounts Files; File of taxpayer names entered in the Treasury Enforcement Communications System.

Authority for maintenance of the system: 5 U.S.C. 301 and 26 U.S.C. 7801 and 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Disc and paper records, and magnetic tape.

Retrievability: By taxpayer name.

Safeguards: Access Controls will be not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: As specified in records disposition Handbook, IRM 1(15)59.

System manager(s) and address: Official prescribing policies and practices, Assistant Commissioner (Compliance), Officials maintaining the system, District Directors, Director International Operations, Service and Data Center Directors. (See IRS Appendix A).

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 26.020

System name: TDI (Taxpayer Delinquency Investigation) Files, CP:C—Treasury/IRS.

System location: District Offices and Service Centers. (See IRS Appendix A).

Categories of individuals covered by the system: Taxpayers believed to be delinquent in filing Federal tax returns.

Categories of records in the system: Taxpayer name, address, taxpayer identification number, information from previously filed returns, information about the potential delinquent return(s), including class of tax, chronological investigative history; Delinquency Investigation Inventory Profile (DIIP).

Authority for maintenance of the system: 5 U.S.C. 301 and 26 U.S.C. 7801 and 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records and magnetic tape.

Retrievability: by taxpayer name.

Safeguards: Access Controls will be not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: As specified in records disposition Handbook, IRM 1(15)59.

System manager(s) and address: Official prescribing policies and practices, Assistant Commissioner (Compliance), Officials maintaining the system, District Directors, Service and Data Center Directors. (See IRS Appendix A).

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system of records has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 26.021

System name: Transferee Files, CP:C—Treasury/IRS.

System location: District Offices. (See IRS Appendix A).

Categories of individuals covered by the system: Includes taxpayers on whom tax assessments have been made but who have allegedly transferred their assets in order to place them beyond the reach of the government.

Categories of records in the system: Taxpayer name, address, taxpayer identification number, information about basis of assessment, including class of tax, period, dollar amounts. Also the name, address, taxpayer identification number and related information about transferee.

Authority for maintenance of the system: 5 U.S.C. 301 and 26 U.S.C. 7801 and 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper Record, Magnetic Tape.

Retrievability: By taxpayer name.

Safeguards: Access Controls will be not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: As specified in records disposition Handbook, IRM 1(15)59.

System manager(s) and address: Official prescribing policies and practices, Assistant Commissioner (Compliance). Officials maintaining the system, District Directors, Service and Data Center Directors. (See IRS Appendix A).

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 26.022

System name: Delinquency Prevention Programs, CP:C—Treasury/IRS.

System location: District Offices. (See IRS Appendix A.)

Categories of individuals covered by the system: Taxpayers having a history of Federal tax delinquency.

Categories of records in the system: Taxpayer name, taxpayer identification number, address, filing requirements, chronological investigative history.

Authority for maintenance of the system: 5 U.S.C. 301 and 26 U.S.C. 7801 and 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper Records and Magnetic Tape.

Retrievability: By taxpayer name.

Safeguards: Access Controls will be not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: As specified in records disposition Handbook, IRM 1(15)59.

System manager(s) and address: Official prescribing policies and practices—Assistant Commissioner (Compliance). Officials maintaining the system—District Directors, Service and Data Center Directors. (See IRS Appendix A.)

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 30.005

System name: Accident Investigation Files System —Treasury/IRS.

System location: National Office, Regional Offices. (See IRS Appendix A).

Categories of individuals covered by the system: Individuals involved in accidents involving either property damage of over

500.00 or any personal injury.

Categories of records in the system: Information consisting of injuries, damages, dollar estimate, causes and conditions of accidents, interviews and statements pertaining to IRS employees and private individuals involved in accidents which result in over

500.00 in property damage or personal injury to either party.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage—National Office—file folders, Regional Offices—card file. Retrievability—indexed by name. Safeguards—records are kept in offices which are locked or guarded during non-work hours. Retention and disposal—National Office—records are stored in the Space and Property Branch for a period of three years. They are then transferred to the Federal Records Center and destroyed seven years after case file year.

System manager(s) and address: National Office—Safety Management Officer, Space and Property Branch, Regional Offices (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the System Manager for each office whose records are to be searched.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the System Manager for each office whose records are to be accessed or contested.

Record source categories: The information originates from the IRS Inspection Branch.

Treasury/IRS 30.003

System name: Requests for Printed Tax Materials Including Lists, — Treasury/IRS.

System location: National Office, Regional Offices, District Offices, Service Centers (See IRS Appendix A).

Categories of individuals covered by the system: Files include those individuals and/or businesses that request various IRS printed materials such as Package X, TIRs, reproduction proofs, etc.

Categories of records in the system: Letter or order blank submitted by the individual and/or business and any related correspondence. A cross reference index may also be developed to identify and control requests.

Authority for maintenance of the system: 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in the system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage—magnetic tapes, paper records or mailing plates. Retrievability—alphabetically or numerically. Safeguards—not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41. Retention and Disposal—names dropped as system is updated.

System manager(s) and address: Chief, Publishing Services Branch National Office, and Chief, Resource Management in Regional Offices, District Offices and Service Centers (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the System Manager for each office whose records are to be searched.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the System Manager for each office whose records are to be accessed or contested.

Record source categories: The information is supplied by the individual and/or company making the request. The Service adds data pertaining to the fulfillment of the request.

Treasury/IRS 30.004

System name: Security Violations,—Treasury/IRS.

System location: Service Centers and District Offices. (See IRS Appendix A).

Categories of individuals covered by the system: Violators of IRS Security Regulations.

Categories of records in the system: Name of violator, circumstances of violation (date, time, actions of violator, etc), supervisory action taken.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage—file folder (notices of violation); 3 x 5 inch card file. Retrievability—3 x 5 inch card file—name; lateral file cabinets, access by security officer and staff only. Retention and disposal—as specified in the Records Disposition Handbook, IRM 1(15)59.

System manager(s) and address: Security offices, district offices and Service Centers. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Service Center Director for each Service Center whose records are to be searched.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Service Center Director for each Service Center whose records are to be accessed or contested.

Record source categories: Contract Guard Force and Security Inspections.

Treasury/IRS 32.001

System name: Travel Expense Record, Treasury/IRS.

System location: National Office, Regional Offices and District Offices. See IRS Appendix A for Locations.

Categories of individuals covered by the system: All IRS employees who have incurred travel or moving expenses in conjunction with official business.

Categories of records in the system: Records consist of travel advances, personal travel vouchers, household move vouchers, Forms W-2, schedule of disbursements, GAO decisions/rulings, Transportation Requests, Overdue Notices, Certification of Deposits, Government Bills of Lading & Form 2221, (Schedule of Collections). Records are for the purpose of maintaining accurate financial accounting of travel and moving expenses of employees.

Authority for maintenance of the system: GAO Policy and Procedures Manual for Guidance of Federal Agencies, Title 2, Chapter 2, Sections 5 & 6.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage—locked files. Retrievability—indexed in alphabetical order or assigned numerical sequence. Safeguards—access is restricted to designated Fiscal Management employees, to individuals concerned on a need-to-know basis, and to administrative offices through the authority of the Travel Management Supervisor. As required, IRS Internal Audit and Security has access to the files. Retention and Disposal—retired after 3 years to Federal Records Center; disposed in accordance with Records Retention Handbook.

System manager(s) and address: Chief, Accounting Section, IRS National Office or Chief, Fiscal Section, IRS Regional Office. See IRS Appendix A for Locations.

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the System Manager.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR, Part 1, Subpart C, Appendix B. Inquiries should be addressed to the System Manager.

Contesting record procedures: See Access above.

Record source categories: Information supplied by employees and by GAO as required.

Treasury/IRS 32.002.

System name: Payroll/Personnel System,—Treasury/IRS. (See Related System Treasury/OS 00.054)

System location: IRS Data Center, National Office, Regional Offices. (See IRS Appendix A).

Categories of individuals covered by the system: Current and Former IRS Employees. IRS also processes payroll data relating to current or former employees of the Bureau of Alcohol, Tobacco and Firearms, Secret Service, Customs, and the Office of the Secretary of the Treasury (exchange stabilization fund). See information contained in notices published by Department of the Treasury constituent units whose payroll is serviced by this system.

Categories of records in the system: Data storage and file records system for processing payroll and personnel actions, consisting of records of time and attendance, leave, tax withholding, bond purchase and issuance, emergency salaries, overtime and holiday pay, optional payroll deductions, and minority group designator codes. Aside from payroll processing, recorded personnel data is available on a need to know basis to personnel offices in accordance with Civil Service Commission, Treasury and IRS regulations.

Authority for maintenance of the system: 5 U.S.C. 301 and GAO Policy and Procedures manual for Guidance of Federal Agencies.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. Aside from payroll processing, recorded personnel data is available on a need to know basis to personnel offices in accordance with Civil Service Commission, Treasury and IRS regulations. Separations may be reported to State Unemployment Agencies. This System is used to furnish payroll and personnel data, information and statistics to the Civil Service Commission and other requesting agencies. It is also used to generate the necessary payroll information, deductions and allotments to pay the employees on a biweekly basis and to furnish the necessary payroll information to concerned agencies required by law. The basic payroll and personnel master file provides input to management information systems, as well as related accounting and budget systems. For additional routine uses see Appendix AA and routine uses published for system Treasury/OS 00.054.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage—data files maintained on magnetic tape; folder records maintained in file cabinets. Retrievability—indexed by social security number or by name. Safeguards—access controls are not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41. Retention and disposal—retired after 3 years to the Federal Records Center; disposed of after 56 years.

System manager(s) and address: Personnel Data: Director, Personnel Division, IRS National Office. Payroll Data: Chief, Centralized Services Staff, IRS National Office. (See IRS Appendix A).

Notification procedure: Individuals seeking information pertaining to these records may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to: Assistant Commissioner (Resources Management), IRS National Office.

Record access procedures: Same as "notification" above.

Record source categories: Information is compiled from the existing master records, i.e. employees' official personnel folders. Information also provided by payroll coordinators and administrative officers.

Treasury/IRS 32.003

System name: Schedules of Collections and Schedules of Canceled Checks,—Treasury/IRS.

System location: National Office and Regional Offices. See IRS Appendix A for Locations.

Categories of individuals covered by the system: Employees of Internal Revenue Service.

Categories of records in the system: Checks received from employees in connection with jury duty and overpayments. System maintained to place all collections under security control promptly upon receipt and to record amount of deposit to general ledger control accounts.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage—records maintained in locked file cabinet. Retrievability—indexed by name. Safeguards—access controls are not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41. Retention and disposal—records are maintained until transferred to the Federal Records Center to be retained in accordance with the Records Retention Manual.

System manager(s) and address: Chief, Accounting Section, National Office or Chief, Accounting Section, Regional Offices. See IRS Appendix A for Locations.

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR, Part 1, Subpart C, Appendix B. Inquiries should be addressed to the System Manager for each office whose records are to be searched.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR, Part 1, Subpart C, Appendix B. Inquiries should be addressed to the System Manager for each Office whose records are to be accessed or contested.

Contesting record procedures: See Access above.

Record source categories: Employees, District Directors, Regional Commissioners.

Treasury/IRS 32.004

System name: Scholarship Program Cost Record,—Treasury/IRS.

System location: Regional Offices (See IRS Appendix A).

Categories of individuals covered by the system: Employees in the IRS Scholarship Program.

Categories of records in the system: Actual and estimated expenditure per student for purposes of monitoring and controling costs.

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage—in file cabinet. Retrievability—indexed by District (within District, individual recipients indexed by name). Safeguards—employees in the Budget and Reports Section have access to records. Retention and disposal—records maintained for 2 years and then disposed.

System manager(s) and address: Chief, Budget and Reports Section, Fiscal Management Branch, IRS Regional Offices. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the System Manager for each office whose records are to be searched.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR, Part 1, Subpart C, Appendix B. Inquiries should be addressed to the System Manager for each office whose records are to be accessed or contested.

Contesting record procedures: See Access above.

Record source categories: Information provided by employees concerned.

Treasury/IRS 34.009

System name: Accident Reporting Files System—Treasury/IRS.

System location: National Office, Regional Offices, District Offices, Service Centers, IRS Data Center, National Computer Center. (See IRS Appendix A).

Categories of individuals covered by the system: Employees of the Internal Revenue Service and non-Federal employees.

Categories of records in the system: Information consists of conditions surrounding accident, injuries, and damages pertaining to Internal Revenue Service employees' accidents while on duty and non-Federal employees who suffered an accident on IRS space or who were employed through a temporary manpower agency.

Authority for maintenance of the system: 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage—file folders; card file. Retrievability—indexed by name. Safeguards—records are kept in offices which are locked or guarded during non-working hours. Retention and disposal—records are stored in the Space and Property Branch for three years and are then transferred to the Federal Records Center. Records are destroyed seven years after case file year.

System manager(s) and address: For National Office—Safety Management Officer, Space and Property Branch, Facilities Management Division, National Office. For Regional and District Offices—Chief, Facilities Management Branch, appropriate Regional or District office. (See IRS Appendix A).

Notification procedure: Same as System Manager above. Name must be provided to ascertain whether or not the system has a record pertaining to the individual.

Record access procedures: Individuals may go to Internal Revenue Service Regional Office to gain information on procedures for gaining access to and contesting records of this system. The Regional Security Officer is the official to be contacted.

Contesting record procedures: See access above.

Record source categories: Information originates from the employee involved in the accident and his/her supervisor or the non-federal employee involved and, sometimes, a witness or an accident investigation.

Treasury/IRS 34.010

System name: Damage and Injury Claims Files System—Treasury/IRS.

System location: National Office and Regional Offices. (See IRS Appendix A).

Categories of individuals covered by the system: Internal Revenue Service employees and private individuals acting as claimants against the Service. Private parties against which the Service has a claim.

Categories of records in the system: Claims consisting of information on dollar amounts of claims of property damage and personal injuries and estimated property damage related to the Federal Claims Collection Act, Federal Tort Claims Act, the Military Personnel and Civilian Employees Claims Act of 1964, and the Small Claims Act.

Authority for maintenance of the system: 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage—file folders and card file. Retrievability—indexed by name. Safeguards—access to the records is restricted to official use of Internal Revenue Service employees. Records are kept in offices which are locked or guarded during non-work hours. Retention and disposal—records are kept for three years and then are transferred to the Federal Records Center where they are destroyed seven years after the case file date.

System manager(s) and address: For National Office—Safety Management Officer, Space and Property Branch, Facilities Management Division. For Regional Offices—Chief, Facilities Management Branch, appropriate Regional Office. (See IRS Appendix A).

Notification procedure: Same as for National Office above. Individual must submit the name of the party or individual involved in the claim to determine whether or not the system contains a record pertaining to the party or individual.

Record access procedures: Individuals may go to IRS Regional Office to gain information for procedures for gaining access and contesting records of this system. The Regional Security Officer is the official to be contacted.

Contesting record procedures: See Access above.

Record source categories: Information originates from private individuals, private parties, Internal Revenue Service employees, and Internal Revenue Service officials.

Treasury/IRS 34.011

System name: Driver Licensing Files System—Treasury/IRS.

System location: National Office, Regional Offices, District Offices, Service Centers, IRS Data Center, National Computer Center. (See IRS Appendix A).

Categories of individuals covered by the system: Employees of the Internal Revenue Service.

Categories of records in the system: Information consists of personal information on driving records relating to motor vehicle records of IRS drivers.

Authority for maintenance of the system: 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage—file folders. Retrievability—indexed by name. Safeguards—records are kept in offices which are locked or guarded during non-work hours. Retention and disposal—records are actively maintained as long as license is in effect.

System manager(s) and address: Chief, Facilities Management in the appropriate office. (See IRS Appendix A).

Notification procedure: Same as System Manager above. Name of person must be submitted.

Record access procedures: Same as System Manager above.

Contesting record procedures: Same as System Manager above.

Record source categories: Information originates from IRS motor vehicle drivers.

Treasury/IRS 34.012

System name: Emergency Preparedness Cadre Assignments and Alerting Rosters Files System—Treasury/IRS.

System location: National Office, Regional Offices, and Service Centers. (See IRS Appendix A).

Categories of individuals covered by the system: Key IRS, Treasury and FPA personnel.

Categories of records in the system: Cadre assignments—personal information on employees, i.e., name, address, phone number, family data, security clearance, relocation assignment, etc. Alerting rosters—current listing of individuals by name and title stating their work and home address and phone numbers.

Authority for maintenance of the system: 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage—individual forms and correspondence kept in file folders. Retrievability—cadre assignments are filed by relocation site and allerting rosters by title of list. Safeguards—records are kept in a secured office. Retention and disposal—as specified in the Records Disposition Handbook, IRM 1(15)59.

System manager(s) and address: For National Office—Chief, Physical and Personnel Security Branch, Security Standards and Evaluation Division. For Regional Offices and Service Centers, Security officers. (See IRS Appendix A).

Notification procedure: Same as System Manager above.

Record access procedures: Same as System Manager above.

Contesting record procedures: Same as System Manager above.

Record source categories: Provided by individuals themselves.

Treasury/IRS 34.003

System name: Employee Accountability for Personal Property and Miscellaneous Items—Treasury/IRS.

System location: National Office, Regional Offices, District Offices, Service Centers, IRS Data Center and National Computer Center. (See IRS Appendix A).

Categories of individuals covered by the system: All Employees.

Categories of records in the system: Receipts for Government property and miscellaneous items issued to employees.

Authority for maintenance of the system: 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution of civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage—maintained in locked filing cabinets. Retrievability—indexed alphabetically by name. Safeguards—access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41. Retention and Disposal—receipts are destroyed upon return of property.

System manager(s) and address: Chief, National Office Facilities Branch, National Office; Regional Offices, District Offices, Service Centers, IRS Data Center and National Computer Center. (See IRS Appendix A).

Notification procedure: Same as System Manager above.

Record access procedures: Same as System Manager above.

Contesting record procedures: Same as System Manager above.

Record source categories: Information is provided by individuals who receive property, such as credit cards, identification cards, pocket commissions, Government driver's licenses, keys, etc.

Treasury/IRS 34.013

System name: Identification Media Files System for Employees and Others Issued IRS ID—Treasury/IRS.

System location: National Office, Regional Offices, District Offices, Service Centers, IRS Data Center, National Computer Center. (See IRS Appendix A).

Categories of individuals covered by the system: IRS employees having one or more items of identification and federal and non-federal personnel working in or visiting IRS facilities.

Categories of records in the system: Records contain individual's name, home address, and other personal information and reports on loss, theft, or destruction of pocket commissions, enforcement badges and other forms of identification.

Authority for maintenance of the system: 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage—correspondence file folders; 3 x 5 inch card files computer memory. Retrievability—Indexed separately by name and Identification Media serial number. Access controls—access to the records is restricted to official use of Internal Revenue. The files are stored in locked security containers in offices which are locked or guarded during non-work hours. Access controls will be not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41. Retention and Disposal—as specified in the Records Disposition Handbook, IRM 1(15)59.

System manager(s) and address: Chief, Personnel Security Section, Physical and Personnel Security Branch, Security Standards and Evaluation Division, National Office; Chief, Security Standards and Evaluation Branch Each Region, Service Center and District; and Chief, National Office Protective Programs Staff.

Notification procedure: Individual's name, SSN, address and type of ID media, plus the approximate date that he/she was issued and/or turned in the particular item of identification, would have to be furnished the Service office that issued the item for them to be able to ascertain whether or not their system contains a record about the individual. (See IRS Appendix A).

Record access procedures: By writing or visiting applicable Service office.

Contesting record procedures: See Access above.

Record source categories: Information was furnished by each individual at the time they received the particular item of identification.

Treasury/IRS 34.014

System name: Motor Vehicle Registration and Entry Pass Files System—Treasury/IRS.

System location: Service Centers. (See IRS Appendix A).

Categories of individuals covered by the system: Individuals requiring continued access to the facility and parking area violators.

Categories of records in the system: Name of employee, registered owner of vehicle, branch, telephone number, description of car, license number, employee's signature, name and expiration date of insurance, parking violations, decal number.

Authority for maintenance of the system: 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of

information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage—file folders and card file. Retrievability—indexed by name. Safeguards—records are kept in a secured container in a secured office. Retention and disposal—records are kept until obsolete.

System manager(s) and address: Security Officer, appropriate Service Center. (See IRS Appendix A).

Notification procedure: Same as System Manager above.

Record access procedures: Same as System Manager above.

Contesting record procedures: Same as System Manager above.

Record source categories: Information is supplied by individual except for parking violations information which is supplied by the security guard personnel.

Treasury/IRS 34.005

System name: Parking Space Application and Assignment—Treasury/IRS.

System location: National Office, Regional Offices, District Offices, Service Centers, IRS Data Center and National Computer Center. (See IRS Appendix A).

Categories of individuals covered by the system: Internal Revenue Service employees who apply for assignment of carpool or reserved parking spaces.

Categories of records in the system: Contains the name, grade, position title, organization, vehicle identification, arrival and departure time, and service computation date of individual or principal carpool applicant. Contains name, place of employment, duty telephone, vehicle license number and service computation date of applicants, individuals or carpool members, for parking spaces.

Authority for maintenance of the system: 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage—maintained on an 8-1/2 x 10 inch or other local form. Retrievability—indexed by name of individual or principal carpool applicant. Safeguards—records are maintained in a file cabinet in a locked room. Retention and Disposal—Records are maintained for a period of not more than one year. Previous years' records are destroyed after each parking review.

System manager(s) and address: Chief, National Office Facilities Branch, National Office; Regional Offices, District Offices, Service Centers, IRS Data Center and National Computer Center. (See IRS Appendix A).

Notification procedure: Same as System Manager above.

Record access procedures: Same as System Manager above.

Contesting record procedures: Same as System Manager above.

Record source categories: Individuals applying for parking spaces.

Treasury/IRS 34.006

System name: Property Pass for Government Property—Treasury/IRS.

System location: National Office, Regional Offices, District Offices, Service Centers, IRS Data Center and National Computer Center. (See IRS Appendix A).

Categories of individuals covered by the system: All individuals removing property from IRS space.

Categories of records in the system: Description of property and reason for removal.

Authority for maintenance of the system: FPMR (41 CFR) 101-19.108.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage—maintained on various sized forms. Retrievability—indexed by name. Safeguards—access controls will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41. Retention and Disposal—Retained and disposed in accordance with Records Disposition Handbook, IRM 1(15)59-301.

System manager(s) and address: Chief, National Office Facilities Branch, National Office; Regional Office, District Offices, Service Centers, IRS Data Center and National Computer Center. (See IRS Appendix A).

Notification procedure: Same as System Manager above.

Record access procedures: Same as System Manager above.

Contesting record procedures: Same as System Manager above.

Record source categories: Individual requesting a property pass.

Treasury/IRS 34.007

System name: Record of Government Books of Transportation Requests—Treasury/IRS.

System location: National Office, Regional Offices, District Offices, Service Centers, IRS Data Center, and National Computer Center. (See IRS Appendix A).

Categories of individuals covered by the system: IRS employees issued Transportation Requests.

Categories of records in the system: Form 496, alphabetical card record by name of the serial numbers of Transportation Requests issued to the employee; and Form 4678, numerical list by serial number listing the name of the employee to whom issued.

Authority for maintenance of the system: 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage—maintained in card file box or file cabinets. Retrievability—by name or serial number. Safeguards—access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41. Retention and Disposal—retained and disposed in accordance with Records Disposition Handbook, IRM 1(15)59-301 (GRS-9).

System manager(s) and address: Administrative Officers, National Office; Regional Offices, District Offices, Service Centers, IRS Data Center and National Computer Center. (See IRS Appendix A).

Notification procedure: Same as System Manager above.

Record access procedures: Same as System Manager above.

Contesting record procedures: Same as System Manager above.

Record source categories: Government Books of Transportation Requests and employees to whom Books were issued.

Treasury/IRS 34.016

System name: Security clearance files system—Treasury/IRS.

System location: National Office, Regional Offices, District Offices, Service Centers. (See IRS Appendix A).

Categories of individuals covered by the system: Employees of the Internal Revenue Service requiring a security clearance, having their security clearance cancelled or transferred and individuals who have violated IRS security regulations regarding classified national Security information.

Categories of records in the system: Records contain individual's name, employing office, date of security clearance, level of clearance, reason for the need for the national security clearance, and any changes in such clearance. Security violations records contain name of violator, circumstance of violation and supervisory action taken.

Authority for maintenance of the system: 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To provide. information to agencies and individuals on a need-to-know basis to determine the current status of an individual's security clearance. Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage—correspondence file fold-

ers. Retrievability—indexed by name and cross filed by functional area. Access controls—stored in locked security container in offices which are locked or guarded during non-work hours. Access controls will be not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41. Retention and disposal—as specified in the Records Disposition Handbook, IRM 1(15)59.

System manager(s) and address: Chief, Personnel Security Section, Physical and Personnel Security Branch, Security Standards and Evaluation Division, National Office.

Notification procedure: Chief, Physical and Personnel Security Branch, Security Standards and Evaluation Division, National Office. Full name, to include previous names used, date of birth and current status should be provided with inquiry.

Record access procedures: Same as System Manager above.

Contesting record procedures: Same as System Manager above.

Record source categories: Information is provided by the employee, his/her supervisor or employee's personnel record. Security violation information is obtained from a variety of sources, such as guard reports, security inspections, supervisor's reports, Internal Audit Reports, etc.

Treasury/IRS 34.018

System name: Integrated Data Retrieval System (IDRS) Security Files, Treasury/IRS.

System location: Internal Revenue Service Centers (See IRS Appendix A).

Categories of individuals covered by the system: Individual employees who input or are authorized to input IDRS transactions or who are subjects of IDRS inputs.

Categories of records in the system: Record logs of the employees who are authorized access to IDRS and of employee inputs and inquiries processed through IDRS terminals.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7801, 26 U.S.C. 7802, 26 U.S.C. 7602.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The files are used to verify the employee's authorization to access records at the moment of attempted access to the computer files retained on IDRS.

The files are used to generate the identifying employee number of the using employee to be recorded on the computer retained disk files or on printed matter produced by the computer for purposes of case control or document routing.

The file are used in connection with computerized security routines to produce printed reports that are used by IRS security personnel to monitor use of IDRS. These security routines may include the processing of files of this system in conjunction with subsystem files of another reported system of records.

Use is restricted to IRS personnel and there are no routine uses in connection with other Federal agencies.

For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained on magnetic tape, disk, flatpaper, lists, and card files.

Retrievability: These records are indexed by employee's SSN or other employee identifying number.

Safeguards: Safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: All records are disposed of in conformance with established Retention Schedules contained in IRM 1(15)59.

System manager(s) and address: Chief, Data Security Branch, Security Standards and Evaluation Division, National Office.

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides. (See IRS Appendix A).

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Internal Revenue Service Center servicing the area in which the individual resides.

Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act. (See IRS Appendix A).

Contesting record procedures: See Access above.

Record source categories: Information supplied by the IRS employee on standard personnel forms and computer generated records of all inputs to IDRS. Data may also be retrieved from other published systems of records used in processing of this system.

Treasury/IRS 36.001

System name: Appeals, Grievances and Complaints Records,—Treasury/IRS.

System location: National, Regional and District Offices, POD's, Service Centers, The National Computer Center and The IRS Data Center, (See Appendix A.).

Categories of individuals covered by the system: Applicants for Federal employment, current and former Federal employees (including annuitants) who submit appeals, grievances, or complaints for resolution.

Categories of records in the system: This system of records contains information or documents relating to a decision or determination made by an agency or other appropriate action organization (e.g., Office of Personnel Management, Equal Employment Opportunity Commission, Merit Systems Protection Board) affecting an individual. The records consist of the initial appeal or complaint, letters or notices to the individual, record of hearings when conducted, materials placed into the record to support the decision or determination, affidavits or statements, testimonies of witnesses, investigative reports, instructions to an agency about action to be taken to comply with decisions, and related correspondence, opinions and recommendations.

Authority for maintenance of the system: Title 5, U.S.C., Sections 1302, 3301, 3302, 4308, 5115, 5338, 5351, 5388, 7105, 7151, 7154, 7301, 7512, 7701, 8347, Executive Order 9830, 10577, 10987, 11222, 11478, 11491, and P.L. 93-259.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: These records and information in the records may be used: (a) To respond to a request from a Member of Congress regarding the status of an appeal, complaint or grievance. (b) To request information from a federal, state or local agency maintaining civil, criminal, or other relevant enforcement or other pertinent information, such as licenses, if necessary to obtain relevant information to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit. (c) To provide information or disclose to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the letting of a contract, or issuance of a license, grant, or other benefit by the requesting agency to the extent that the information is relevant and necessary to the requesting agency's decision on that matter. (d) Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation and in connection with requests for legal advice. Disclosure may be made during judicial processes. (e) Routine disclosure may be made to other agencies to the extent provided by law or regulation and as necessary to report apparent violations of law to appropriate law enforcement agencies. (f) These records may also be used to refer, where there is an indication of a violation or potential violation of law, whether civil, criminal or regulatory in nature, to any other appropriate agency, whether federal, state, or local, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, rule, regulation or order issued pursuant thereto. (g) These records may also be disclosed to the Office of Personnel Management or Merit Systems Protection Board for the purpose of properly administering Federal Personnel Systems in accordance with applicable laws, Executive Orders and regulations. (h) For additional uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: These records are maintained on card files, flat paper, lists, forms, folders, binder, microfilm and microfiche, punch card, and tape.

Retrievability: These records are indexed by the names of the individuals on whom they are maintained.

Safeguards: Access Controls will be not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41. This is also in conformance with existing CSC regulations.

Retention and disposal: All records are disposed of in conformance with established retention schedules, IRM 1(15)59-301, General Records Schedule.

System manager(s) and address: (a) EEO Discrimination Complaint Records—IRS EEO Officer, National Office; (b) all other records.

Director, Personnel Division, or Chief, Personnel Branch, appropriate office. (See IRS Appendix A.)

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to: District Director for each District whose records are to be searched; Service Center Director for each Service Center whose records are to be searched; Director, National Computer Center (for computer center employees only); Director, Data Center (for data center employees only); Regional Commissioner for each Regional Office whose records are to be searched; Director, Personnel Division, National Office; Assistant Commissioner (Resources Management), National Office; Regional Counsel for each region whose records are to be searched; Director, Disclosure Litigation Division for records in the National Office of Chief Counsel; or other appropriate official. (See IRS Appendix A for Locations). Individuals should provide their name, date of birth, agency in which employed, and the approximate date, and the kind of action taken by the agency when making inquiries about records.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the appropriate official listed above. Individuals should provide their name, date of birth, POD, approximate date, and the kind of action taken by the agency when requesting access to, or contest of, records.

Contesting record procedures: See Access.

Record source categories: (a) Individual to whom the record pertains. (b) Agency and/or other authorized Federal officials. (c) Affidavits or statements from employee. (d) Testimonies of witnesses. (e) Official document relating to the appeal, grievance, or complaints. (f) Correspondence from specific organization or persons.

Treasury/IRS 36.002

System name: Employee Activity Records,—Treasury/IRS.

System location: National, Regional, and District Offices, POD's, Service Centers, The National Computer Center, and The IRS Data Center (See Appendix A.).

Categories of individuals covered by the system: Current and former employees of the Internal Revenue Service.

Categories of records in the system: This system contains records and information relating to voluntary employee activities and functions which are not directly related to the mission of the IRS or any of its functional components. These records will contain the names of participants and such other information only to the extent that it is necessary for the operation of the activity.

Authority for maintenance of the system: Title 5, U.S.C., Section 302.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: These records are maintained on flat paper, card files, lists, and in folders, and binders.

Retrievability: These records are indexed by the name of the individuals on whom they are maintained.

Safeguards: Access Controls will be not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41. This is in conformance with existing CSC regulations.

Retention and disposal: indefinite.

System manager(s) and address: Supervisor of the organizational segment participating in the activity.

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the system manager or the individual designated to maintain the record. Inquiring individuals need only provide their name.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the system manager or the individual designated to maintain the record. Inquiring individuals need only provide their name.

Contesting record procedures: See Access.

Record source categories: Information is derived only from the individual to whom the record pertains.

Treasury/IRS 36.003

System name: General Personnel Records (Official Personnel Folder and records related thereto),—Treasury/IRS.

System location: National, Regional and District Offices, POD's, Service Centers, the National Computer Center and the Data Center, (See Appendix A.).

Categories of individuals covered by the system: Prospective, current and former employees of the IRS.

Categories of records in the system: This system consists of a variety of records relating to personnel actions and determinations made about an individual while employed in the Federal service. These records contain data on individuals required by the Office of Personnel Management (OPM) and maintained in the Official Personnel Folder (OPF). The OPF may also contain letters of commendation; awards from non-federal organizations; recommendations for Federal awards; awards; reprimands; adverse or disciplinary charges; records relating to life insurance, health benefits, designation of beneficiary; training; performance ratings; and other records which CSC and IRS require or permit to be maintained in the OPF.

This system also includes records which are maintained in support of a personnel action such as a position management or position classification action. Other records maintained about an individual in this system are evaluation records; suggestion files; award files; financial and tax matters; back pay files; jury duty records; special emphasis programs records, such as Upward Mobility; outside employment statements; clearance upon separation; Unemployment Compensation records; adverse and disciplinary action files; supervisory files; records relating to personnel actions correcting a pay problem; employment of relatives; furlough/recall records; emergency notification, employee locator and current address records; other information relating to the status of an individual; executive resources records and management career individual files; and correspondence files pertaining to any personnel information contained in this notice.

The records within this system may also be contained in TR/IRS 32,002, payroll/personnel system.

Authority for maintenance of the system: Title 5, U.S.C. Section 1302, 2951, 4118, 4308, 4506, and Executive Order 10561, September 13, 1954.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Information in these records is used or a record may be used: (a) To provide information to a prospective employer of an IRS employee or former IRS employee. (b) To provide data for the automated Central Personnel Data File (CPDF). (c) To provide data to update Federal Automated Career Systems (FACS), Executive Inventory File, and security investigations index on new hires, adverse actions, and terminations. (d) Disclosure of information to a Federal, state, or local agency, other organization, or individual in order to obtain relevant and pertinent information about an individual which is necessary for the hiring or retention of an individual; letting of a contract; or the issuance of a license, grant or other benefit. (e) To request information from a Federal, state, or local agency maintaining civil, criminal, or other relevant enforcement or other pertinent information, such as licenses. If necessary, obtain relevant information or other pertinent information to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit. (f) Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. (g) Routine disclosure may be made to other agencies to the extent provided by law or regulation and as necessary to report apparent violations of law to appropriate law enforcement agencies. (h) Information in these records is used or a record may be used to refer, where there is an indication of a violation or potential violation of law, whether civil, criminal, or regulatory in nature, to any other appropriate agency, whether Federal, state, or local, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto. (i) These records may also be disclosed to the Office of Personnel Management for the purpose of properly administering Federal Personnel Systems in accordance with applicable laws, Executive Orders, and regulations. (j) Routine disclosure may be made to hospitals and similar institutions

or organizations involved in voluntary blood donation activites. (k) Routine disclosure may be made to educational institutions for recruitment and cooperative education purposes. (l) Disclosure to a Federal, state, or local agency so that the agency may adjudicate an individual's eligibility for a benefit, such as a state unemployment compensation board, housing administration agency and Social Security Administration. (m) Additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained on magnetic tape, discs, punched cards, flat paper, lists, card files, forms, folders, binders, microfilm and microfiche.

Retrievability: Records are indexed by any combination of name, birth date, Social Security Number, or identification number.

Safeguards: Access Controls will be not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41. This is also in conformance with existing CSC regulations.

Retention and disposal: All records are disposed of in conformance with established retention schedules, IRM 1(15)-59-301, General Records Schedule.

System manager(s) and address: Director, Personnel Division, and Chief, Personnel Branch, appropriate office (see IRS Appendix A); Executive Secretary, Executive Resources Board (for executive resource records).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to: District Director for each District whose records are to be searched; Service Center Director for each Service Center whose records are to be searched; Director, National Computer Center (for computer center employees only); Director, Data Center (for data center employees only); Regional Commissioner for each Regional Office whose records are to be searched; Director, Personnel Division, National Office; Assistant Commissioner (Resource Management), National Office; Regional Counsel for each region whose records are to be searched; Director, Disclosure Litigation Division for records in the National Office of Chief Counsel; or other appropriate official; (See Appendix A.). Inquiries should include name, date of birth, social security number and POD.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the appropriate official listed above. Former IRS employees who wish to gain access to their records should direct such a request in writing, including their name, date of birth, and Social Security Number, to: National Personnel Records Center, General Services Administration, 111 Winnebago St., St. Louis, Missouri 63118.

Contesting record procedures: See Access.

Record source categories: Information in this system of records either comes from the individual to whom it applies or is derived from information he supplied, except information provided by agency officials.

Treasury/IRS 36.005

System name: Medical Records,—Treasury/IRS.

System location: (1) Applicants and current IRS employees: National, Regional and District Offices, POD's, the National Computer Center and the Data Center (See Appendix A.). (2) Former IRS employees: National Personnel Records Center, 111 Winnebago Street, St. Louis, Missouri 63118. Records may also be maintained in the National Regional and District Offices, POD's, Service Centers, the National Computer Center and the Data Center (See Appendix A.).

Categories of individuals covered by the system: (1) Applicants for IRS employment. (2) Applicants rejected on medical grounds. (3) Applicants for disability retirement under the Civil Service Retirement Law. (4) IRS employees. (5) Former IRS employees.

Categories of records in the system: (1) Applications for IRS employment containing information relating to an individual's medical qualifications to hold a position in the IRS. (2) Applications rejected on medical grounds. Information relating to an applicant's rejection for a position because of medical reasons. (3) Disability retirement records. Information relating to an individual's capability (physical and mental) to satisfactorily perform the duties of the position he or she holds or held. (4) Health unit medical records (Federal civilian employees). Information relating to an employee's participation in an occupational health services program. (5) Qualification examinations (Federal employees). Information relates to pre-employment, or periodic re-qualification medical examinations to assure that the incumbent are qualified (physically and mentally) to satisfactorily perform the duties of the position. (6) Fitness-for-duty examinations. Information relating to a medical examination to determine an individual's physical or mental condition with respect to ability to satisfactorily perform the duties of the position held. (7) Alcohol/drug employee assistance records. Information relating to employee participation in the Federal Civilian Alcoholism and Drug Abuse Program. (8) Injury Compensation Records. Information relating to on-the-job injuries of employees and former employees. (9) Records relating to the Blood Donor Program.

Authority for maintenance of the system: Medical Information relating to the Retirement, Life Insurance and Health Benefits Programs—5 USC, Chapters 81, 87 and 89. Information for Federal employment—5 USC 3301. Information relating to the Alcoholism, Drug Abuse and Employee Assistance Programs—Public Laws 91-616 and 92-255 as amended by Public Law 93-282 in regard to confidentiality of patient records. Information relating to the Occupational Health Program (5 USC 7901).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Information may be provided to other Federal agencies responsible for other Federal benefits programs administered by the Office of Workmen's Compensation Programs; Retired Military Pay Centers; Veterans Administration; Social Security Administration; Office of Personnel Management; Private contractors engaged in providing benefits under Federal contracts. (b) Disclosure of information may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in requests for legal advice. (c) Disclosure may be made during judicial processes. (d) Disclosure may be made to other agencies to the extent provided by law or regulation. (e) Disclosure may be made to the appropriate Federal, state or local agency where there is an indication of a violation or potential violation of law, whether civil, criminal or regulatory in nature. (f) Disclosure may be made to Federal, state, or local agencies in order to obtain or release relevant and pertinent information to an agency decision concerning the hiring or retention of an individual, the issuance of a security clearance, the letting of a contract or the issuance of a license, grant or other benefit. (g) Disclosure may be made to the Public Health Service. (h) Disclosure may be made to an individual's private physician where medical considerations or the content of medical records indicate that such release is appropriate. (i) Disclosure may be made to an agency designated employee representative where such representative is required by the Office of Personnel Management. (j) Disclosure may be made to hospitals and similar institutions or organizations participating in blood donor activities. (k) Additional routine uses are listed in Appendix AA. (l) Disclosure of Alcoholism, Drug Abuse, and Employee Assistance records are limited under Public Laws 91-616, 92-255, and 93-282.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained on magnetic tape, discs, flat paper, lists, forms, folders, card files, microfilm and microfiche.

Retrievability: Records are indexed by name, social security number, date of birth, and/or claim number.

Safeguards: Access controls will be not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: All records are disposed of in conformance with established retention schedules, IRM 1(15)59-301, General Records Schedule.

System manager(s) and address: Director, Personnel Division, or Chief, Personnel Branch, appropriate office; Director, Administrative Services Division (Office of Chief Counsel), National and Regional Counsels. (See IRS Appendix A for Locations).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to: District Director for each District whose records are to be searched; Service Center Director for each Service Center whose records are to be searched; Director, National Computer Center (for computer center employees only); Director, Data Center (for data center employees only); Regional Commissioner for each Regional Office whose records are to be searched; Director, Personnel Division, National Office; Assistant Commissioner (Resource Management), National Office; Regional Counsel for each region whose records are to be searched; Director, Disclosure Litigation Division for records in the National Office of Chief Counsel; or other appropriate official. (See IRS Appendix A for Location). Former employees should direct

inquiries to: The National Personnel Records Center, 111 Winnebago Street, St. Louis, Missouri 63118. Individuals requesting information about this system of records should provide their full name, date of birth, social security number, name and address of office in which currently or formerly employed in the Federal service, and annuity account number, if any has been assigned.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the appropriate official listed above.

Contesting record procedures: See Access above.

Record source categories: (1) The individual to whom the record pertains. (2) Private physicians. (3) Medical institutions. (4) Office of Workers' Compensation Programs. (5) Military Retired Pay Systems Records. (6) Federal civilian retirement systems other than Civil Service Retirement System. (7) General Accounting Office pay, leave allowance cards. (8) CSC Retirement, Life Insurance and Health Benefits Records System. (9) CSC Personnel Management Records System.

Treasury/IRS 36.008

System name: Recruiting, Examining and Placement Records,—Treasury/IRS.

System location: National, Regional and District Offices, POD's, The National Computer Center and The Data Center, (See Appendix A.).

Categories of individuals covered by the system: Applicants for IRS employment, current and former employees.

Categories of records in the system: These records contain information relating to education, training, employment history and earnings, tests, results of written tests, test scores, evaluations, appraisals of potential, interview records, responses to test items and questionnaires, honors, and awards or fellowships. Other information maintained in the records includes military service, date of birth, birthplace, SSN, home address. Records may also be maintained on suitability determinations, employee participation in special emphasis placement and recruiting programs, and employee turnover records. This system also includes correspondence files relating to the above mentioned records. Personnel research and test validation records are included in this system.

Authority for maintenance of the system: Title 5 U.S.C., Sections 1302, 3109, 3301, 3302, 3304, 3306, 3307, 3309, 3313, 3317, 3318, 3319, 3326, 3349, 4103, 5532, 5533, 5723, and Executive Orders 10577 and 11103.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. (2) Routine disclosure may be made to other agencies to the extent provided by law or regulation and as necessary to report apparent violations of law to appropriate law enforcement agencies. (3) These records may also be disclosed to the Office of Personnel Management for the purpose of properly administering Federal Personnel Systems in accordance with applicable laws, Executive Orders and regulations. (4) Information in these records is used or a record may be used: (a) to refer applicants to officials of Federal government agencies for purposes of consideration for placement in positions for which an applicant has applied and is qualified. (b) to refer applicants to state and local governments with permission of an applicant for the purpose of employment consideration. (c) to refer current IRS employees to Federal agencies for consideration for transfer, reassignment, and promotion. (5) Disclosure may be made to educational institutions in connection with recruiting efforts. (6) Routine uses are limited for those records which are compiled in connection with IRS personnel research and test validation. No personnel decisions are made on the basis of these records, and whenever possible, personal identity is not disclosed. (7) to refer, where there is an indication of a violation or potential violation of law, whether civil, criminal or regulatory in nature, to any other appropriate agency, whether federal, state, or local charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule. (8) to request information from a federal, state or local agency maintaining civil, criminal, or other relevant enforcement or other pertinent information, such as licenses, if necessary to obtain relevant information to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant or other benefit. (9) To provide information or disclose to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the letting of a contract, or issuance of a license, grant, or other benefit by the requesting agency to the extent that the information is relevant and necessary to the requesting agency's decision on that matter. (10) For additional routine uses, see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained on magnetic tapes, punched cards, discs, card files, lists, flat paper, microfilm, microfiche, forms and folders.

Retrievability: Records are indexed by name, combination of birth date, special security account number, and an identification number that is applicable.

Safeguards: "Access Controls will be not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41." This is in conformance with existing CSC regulations.

Retention and disposal: All records are disposed of in conformance with established retention schedules, IRM 1(15) 59-301, General Records Schedule.

System manager(s) and address: Director, Personnel Division, or Chief, Personnel Branch, appropriate office (see IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to: District Director for each District whose records are to be searched; Service Center Director for each Service Center whose records are to be searched; Director, National Computer Center (for computer center employees only); Director, Data Center (for data center employees only); Regional Commissioner for each Regional Office whose records are to be searched; Director, Personnel Division, National Office; Assistant Commissioner (Resource Management), National Office; or other appropriate official. (See Appendix A.). Individuals should provide name, date of birth, social security number, identification number (if known), approximate date of record, and title of examination or announcement with which concerned.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the appropriate official as listed above. This system of records may not be accessed for purposes of inspection or for contest of content of Treasury Forms 4825 (Evaluation of Candidates for Initial Executive Placement) and Treasury Form 4245 (Report of Managerial Potential) prepared prior to September 27, 1975.

Contesting record procedures: See Access.

Record source categories: Information in this system of records either comes from the individual to whom it applies or is derived from information he or she supplied, except reports from medical personnel on physical qualification; results of examination which are made known to applicants and vouchers supplied by references the applicant lists.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 36.009

System name: Retirement, Life Insurance and Health Benefits Records System,—Treasury/IRS.

System location: National, Regional and District Offices, POD's, Service Centers, the National Computer Center, and the IRS Data Center, (See Appendix A.). For former employees: Records Division, Bureau of Retirement, Insurance and Occupational Health, U.S. Civil Service Commission, Boyers, Pennsylvania.

Categories of individuals covered by the system: (1) IRS employees who are covered by the Civil Service Retirement System. (2) IRS employees who have either declined or are covered by the Federal Employees' Group Life Insurance Program or the Federal Employees Health Benefits Program.

Categories of records in the system: (1) Documentation of Federal service creditable under the Civil Service Retirement System. (2) Documentation of coverage or declination of coverage under the Federal Employees' Group Life Insurance Program, and the Federal Employees Health Benefits Program. (3) Documentation of claim for refund or for annuity benefits under Civil Service Retirement System. (4) Documentation of claim for survivor annuity or death benefits under Civil Service Retirement System. (5) Medical records supporting claims for disability retirement under the Civil Service

Retirement System. (6) Designations of beneficiary for benefits payable under the Civil Service Retirement System or the Federal Employees' Group Life Insurance Program. (7) All other information necessary to enable offices to recruit annuitants for short term assignments and to send them requested publications.

Authority for maintenance of the system: Chapters 83, 87, and 89 of Title 5, United States Code.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) Information in this system is used to determine eligibility for coverage and payment of benefits under the Civil Service Retirement System, the Federal Employees' Group Life Insurance Program and the Federal Employees Health Benefits Program. (2) Information in this systems is used to determine the amount of benefit due under the Civil Service Retirement System, the Federal Employees' Group Life Insurance Program and the Federal Employees Health Benefits Program. (3) Information in this system is used to transfer to Office of Workers' Compensation Programs, Veterans Administration Pension Benefits Program, Social Security Old Age, Survivor and Disability Insurance and Medicare Programs, and Federal civilian employee retirement systems other than the Civil Service Retirement System, when requested by that program or system or by the individual covered by this system of records, for use in determining an individual's claim for benefits under such system. (4) Information in this system is used to transfer information necessary to support a claim for life insurance benefits under the Federal Employees' Group Life Insurance Program to the Office of Federal Employees' Group Life Insurance, 4 East 24th Street, New York, N.Y. 10010. (5) Information in this system is used to transfer information necessary to support a claim for health insurance benefits under the Federal Employees Health Benefits Program to a health insurance carrier or plan participating in the program. (6) Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation and in connection with requests for legal advice. Disclosure may be made during judicial processes. (7) Routine disclosure may be made to other agencies to the extent provided by law or regulation and as necessary to report apparent violations of law to appropriate law enforcement agencies. (8) Information in this system is used to refer, where there is an indication of a violation or potential violation of law, whether civil, criminal or regulatory in nature, to any other appropriate agency, whether Federal, State or local charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto. (9) Information in this system is used to request information from a Federal, state or local agency maintaining civil, criminal, or other relevant enforcement or other pertinent information, such as licenses, if necessary to obtain relevant information to an agency decision concerning the hiring or retention of an employee, the issuance of a license, grant or other benefit. (10) Information in this system is used to provide information or disclose to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the letting of a contract, or issuance of a license, grant, or other benefit by the requesting agency to the extent that the information is relevant and necessary to the requesting agency's decision on that matter. (11) These records may also be disclosed to the Office of Personnel Management for the purpose of properly administering Federal Personnel Systems in accordance with applicable laws, Executive Orders and regulations. (12) Disclosure may be made to an agency designated employee representative when such representative is required under Office of Personnel Management regulations. (13) Disclosure may be made to hospitals and similar institutions to verify an employee's coverage in the Federal Employees Health Benefits Program. (14) Additional routine uses in Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained on magnetic tapes, punched cards, discs, forms, flat paper, card files, lists, folders, microfilm and microfiche.

Retrievability: Records are indexed by name, social security number, birth date, and by annuity or death claim number.

Safeguards: Access Controls will be not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41. This is also in conformance with existing CSC regulations.

Retention and disposal: All records are disposed of in conformance with established retention schedules, IRM 1(15)59-301, General Records Schedule.

System manager(s) and address: Director, Personnel Division, and Chief, Personnel Branch, appropriate office. Director, Administrative Services Division (Office of Chief Counsel), National and Regional Counsels (See IRS Appendix A for Locations.).

Notification procedure: (1) Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR, Part 1, Subpart C, Appendix B. Inquiries should be addressed to: District Director for each District whose records are to be searched; Service Center Director for each Service Center whose records are to be searched; Director, National Computer Center (for computer center employees only); Director, Data Center (for data center employees only); Regional Commissioner for each Regional Office whose records are to be searched; Director, Personnel Division, National Office; Assistant Commissioner (Resource Management), National Office; Regional Counsel for each region whose records are to be searched; Director, Disclosure Litigation Division for records in the National Office of Chief Counsel; or other appropriate official. (See IRS Appendix A for Locations.) (2) If the individual is retired from Federal service he should direct inquiries to: Director, Bureau of Retirement, Insurance, and Occupational Health, Office of Personnel Management, 1900 H. Street, N.W., Washington, D.C. 20415. (3) If the individual is not retired, but has been separated from Federal service, he should direct inquiries to: National Personnel Records Center, 111 Winnebago Street, St. Louis, Missouri 63118.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the appropriate official listed above.

Contesting record procedures: See Access. Individuals requesting information in this system of records should provide their full name, date of birth, social security number, claim number, if assigned, and the POD in which currently or formerly employed.

Record source categories: The information in this system is obtained from the following sources: (1) The individual whom the information is about. (2) GAO Pay, Leave and Allowance Records System. (3) OPM Personnel Management Records System. (4) GSA National Personnel Records Center. (5) OPM Medical Records System. (6) Federal civilian retirement systems other than Civil Service Retirement System. (7) Military retired pay system records. (8) Office of Workers' Compensation Programs. (9) Veterans Administration Pension Benefits Programs. (10) Social Security Old Age, Survivor and Disability Insurance and Medicare Programs.

Treasury/IRS 38.001

System name: General Training Records,—Treasury/IRS.

System location: National, Regional and District Offices, Service Centers National Computer Center, Data Center and posts of duty (See Appendix A.).

Categories of individuals covered by the system: IRS employees who have participated in or who may be scheduled for training activities (students, instructors, program managers, etc.). Other Federal or non-Government individuals who have participated in or assisted with training programs (students, instructors, course developers, interpreters, etc.).

Categories of records in the system: Variety of records containing information about an individual related to training; such as: course rosters, student registrations, nomination forms, course evaluations, instructor lists, individual development plans, counseling records, examination materials, payment records, and other recordations of training necessary for reporting and evaluative purposes. Some records within this system may also be contained in TR/IRS 36.003, General Personnel Records.

Authority for maintenance of the system: Chapter 41, Title 5 USC; EO 11348; and FPM 410.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Information in these records is used or a record may be used to: (a) provide input data for the automated Training CPDF at the Office of Personnel Management and for the Automated Training Center personnel file at IRS; (b) Prepare statistical and narrative reports as required by the Department of Treasury, IRS officials or such other government agencies as may have need of general training information; (c) evaluate and determine effectiveness of training programs; (d) monitor student progress and determine individual training needs; (e) assist managerial personnel by providing easily accessible training histories of their employees; (f) provide data for determining efficient staffpower utilization; (g) assist employees, should an emergency arise while they are attending a training session, by disclosing to qualified medical personnel "emergency information" voluntarily supplied by students on course registration forms. Routine disclosure of information contained in this system may be made available to the Department of

Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records may be maintained on computer tapes, index cards, forms, or flat paper.

Retrievability: Computer records are indexed by social security account numbers, course titles, dates of training, location of training and by specific employee information (i.e., name, title, grade, etc.); other records are indexed by name and course title.

Safeguards: Individual computer records are available to those persons at CSC, Treasury or IRS who are directly involved with the training function.

Retention and disposal: All records are disposed of in accordance with established retention schedules, IRM 1(15)59-301, General Records Schedule. Emergency information on registration forms is immediately destroyed when training is completed.

System manager(s) and address: Director, Training and Development Division, and Chief, Training and Development Branch, Training Manager, OIO, at the National Office; Chiefs, Training and Development Branches at Regional and District Offices, and Service Centers; training managers at computer center and data center (See Appendix A.).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR, Part 1, Subpart C, Appendix B. Inquiries should be addressed to the System Manager for each office whose records are to be searched, see System Manager.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR, Part 1, Subpart C, Appendix B. Inquiries should be addressed to the System Manager for each Office whose records are to be accessed or contested, see System Manager.

Contesting record procedures: See Access.

Record source categories: Information is extracted from participant registration forms, individual development plans, skill surveys, personnel records, and such other forms as may be developed and prescribed by CSC, Treasury, and IRS Training Personnel.

Treasury/IRS 42.001

System name: Examination Administrative File—Treasury/IRS

System location: Jurisdictional District Office where the individual resides or Service Center where return was examined. (See IRS Appendix A.)

Categories of individuals covered by the system: Any taxpayer who is being considered for examination or is being examined for tax determination purposes, i.e., income, estate and gift, excise or employment tax liability.

Categories of records in the system: Records containing investigatory materials required in making a tax determination or other verification in the administration of tax laws and all other related sub-files directly related to the processing of the tax case. This system also includes other management material related to a case and used for tax administrative purposes including the appeals process.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7602, 7801 and 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosures may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: paper documents, machine-sensible data media, microfilm.

Retrievability: by taxpayer's name and social security number and employer identification number.

Safeguards: Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1 (16)41.

Retention and disposal: Indefinite period of retention.

System manager(s) and address: Official prescribing policies and practices—Director, Examination Division, Officials maintaining the system—Director of jurisdictional District Office or service center (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director for each District office or service center whose records are to be searched. This system may contain some records which are exempt from the notification provisions of the Privacy Act. Requesters will not be advised of the existence of records exempt from the notification provisions.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District office or service center whose records are to be accessed or contested. This system may contain some records which are exempt from the Access and Contest provisions of the Privacy Act. Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: (1) taxpayer's return. (2) taxpayer's books and records. (3) Informants and third party information. (4) city, state governments. (5) other federal agencies. (6) examinations of related taxpayers. (7) examinations of other taxpayers. (8) taxpayer's representative.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 42.025

System name: Appraisal and Valuation Files—Treasury/IRS.

System location: Jurisdictional District Office where individual resides. (See IRS Appendix A.)

Categories of individuals covered by the system: Individuals whose examinations warrant an appraisal or valuation of real estate, stock, fees, or any other commodity or service which does not have a readily ascertainable value.

Categories of records in the system: Listing of individuals, items valued or appraised, date of valuation or appraisal, and other descriptive matter such as the name of the corporation with regards to stock and a description of the location in terms of real estate.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7602, 7801 and 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper documents, file cabinets, machine-sensible data media and microfilm.

Retrievability: By taxpayer's name and social security number.

Safeguards: Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Permanent.

System manager(s) and address: Official prescribing policies and practices—Director, Examination Division. Officials maintaining the system—Director of jurisdictional District Office. (See IRS Appendix A.)

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be searched.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be accessed or contested.

Contesting record procedures: See Access above.

Record source categories: (1) outside engineer valuation; (2) outside fee appraisers; (3) taxpayer's returns; (4) taxpayer's books and records; (5) taxpayer's representative; (6) trade journals and publications; (7) public records.

Treasury/IRS 42.008

System name: Audit Information Management System (AIMS)—Treasury/IRS.

System location: This system is composed of: (1) Computer files located at each jurisdictional IRS Service Center (where tax return is under examination control). Each jurisdictional district (served by an IRS Service Center) has access to the computer file via video terminals; (2) "A" Register Microfilm located at each jurisdictional district office (taxpayer's place of residence) and at each IRS Service Center (where taxpayer's tax return was filed);(3) Group control card forms 5345 and 5354 (including temporary and interim processing files for management and control purposes), located at each jurisdictional district office. Items described under (2) and (3), above, are subfiles of the Aims System.

Categories of individuals covered by the system: Taxpayers whose tax returns are under the jurisdiction of the Examination Division; Examining Officer.

Categories of records in the system: Tax return information from the Master File, Tax return status and location changes, Examination Closing information on examined and non-examined tax returns, examining officer's name including related internal management information.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7602, 7801 and 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Computer; Microfilm; Paper.

Retrievability: Social Security number, taxpayer's name, examining officer's name.

Safeguards: Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Computer: Agreed cases are eliminated from data base 90 days after case is closed from Examination; unagreed cases are eliminated from data base after tax liability is agreed to. "A" Register Microfilm: six years or earlier. Group control card Forms 5345 or 5354 (for processing control purposes) three years or earlier.

System manager(s) and address: Official prescribing policies and practices—Director, Examination Division, National Office. Officials maintaining the system—Each jurisdictional (taxpayer's place of residence) District Director; Each jurisdictional (the Service Center where taxpayer's tax return was filed) IRS Service Center. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each district whose records are to be searched. This system may contain some records which are exempt from the notification provisions of the Privacy Act. Requesters will not be advised of the existence of records exempt from the notification provisions.

Record access procedures: Individuals seeking access to any record in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be accessed or contested. This system may contain some records which are exempt from the Access and Contest provisions of the Privacy Act. Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory materials compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 42.016

System name: Classification and Examination Selection Files—Treasury/IRS

System location: Jurisdictional District Office or related service center where individual resides. (See IRS Appendix A).

Categories of individuals covered by the system: Individuals whose returns are classified for examination.

Categories of records in the system: Listing of individuals or returns and sub-files consisting of financial information and other information considered in classification and selection of an individual's return for examination—individual's request for examination, missing return information, change in accounting method or period, etc.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7602, 7801 and 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: paper documents, computer printouts.

Retrievability: by taxpayer's name and social security number.

Safeguards: Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: until information is associated with return or two years, whichever occurs first.

System manager(s) and address: Official prescribing policies and practices—Director, Examination Division. Officials maintaining the system—Director of jurisdictional District or service center. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be searched. This system may contain some records which are exempt from the notification provisions of the Privacy Act. Requesters will not be advised of the existence of records exempt from the notification provisions.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be accessed or contested. This system may contain some records which are exempt from the Access and Contest provisions of the Privacy Act. Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: (1) Tax returns, (2) Requests from taxpayer, (3) Requests from Collection Division for prompt examination.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 42.021

System name: Compliance Programs and Projects Files—Treasury/IRS.

System location: Initiated and maintained in National Office, Regional Offices, or jurisdictional District or service center where taxpayer resides. (See IRS Appendix A).

Categories of individuals covered by the system: Taxpayers that may be involved in tax evasion schemes or areas of non-compliance grouped by industry, occupation or financial transactions, i.e., return preparers, political contributions, corporate kickbacks.

Categories of records in the system: Records pertaining to individuals in projects.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7602, 7801 and 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: paper records, machine-sensible data media and microfilm.

Retrievability: by taxpayer's name and social security number.

Safeguards: Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Records associated with the examination of returns are disposed of in accordance with the established Retention Schedule 1(15)59-202, Records Control Schedule. Records not associ-

ated with the examination of returns will be destroyed at the completion of the program or project.

System manager(s) and address: Official prescribing policies and practices—Director, Examination Division. Officials maintaining the system—Director of jurisdictional District or service center. (See IRS Appendix A).

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 42.027

System name: Data on Foreign Corporations—Treasury/IRS.

System location: IRS Data Center, Detroit, Michigan; National Office Examination Division, Washington, D.C.; District Offices; Regional Offices. (See IRS Appendix A.)

Categories of individuals covered by the system: Individuals required to file Form 959, United States Information Return with Respect to the Organization or Reorganization of a Foreign Corporation and Acquisition of its stock.

Categories of records in the system: Names of individuals filing on foreign holdings.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7602, 7801 and 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic tape in Data Center; Paper at other listed locations, machine-sensible data media and microfilm.

Retrievability: By individual name.

Safeguards: Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16(41.

Retention and disposal: Three years or earlier.

System manager(s) and address: Official prescribing policies and practices—Director, Examination Division, National Office. Officials maintaining the system—District Directors; Regional Commissioners; Director, Examination Division, National Office; Director, Data Center, Detroit, Michigan. (See IRS Appendix A.)

Notification procedure: Individuals seeking to determine if the System of Records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be searched.

Record access procedures: Individuals seeking access to any record contained in the System of Records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be accessed or contested. Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: Form 959 only.

Treasury/IRS 42.020

System name: Highway Use Tax Examination Program—Treasury/IRS

System location: Internal Revenue Service Centers and district offices. (See IRS Appendix A).

Categories of individuals covered by the system: Taxpayers owning trucks that are liable for tax.

Categories of records in the system: List of registered trucks and truck owners.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7602, 7801 and 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: magnetic tape and computer printout.

Retrievability: By taxpayer's name, social security number, and employer's identification number.

Safeguards: Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: retained until tax year examined is completed or period permissible under statute of limitations then shredded.

System manager(s) and address: Official prescribing policies and practices—Director, Examination Division. Officials maintaining the system—Service Center Directors and District Directors (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director for each Service Center or district office whose records are to be searched.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each district whose records are to be accessed. Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: R.L. Polk Company, Detroit Mich.

Treasury/IRS 42.014

System name: Internal Revenue Service employees' returns Control Files—Treasury/IRS.

System location: District Office where individual is employed. (See IRS Appendix A).

Categories of individuals covered by the system: Any individual who is employed by the Internal Revenue Service.

Categories of records in the system: Alphabetic listing of employee, income tax return information including prior examination results and other tax related information.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7602, 7801 and 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: paper documents, machine-sensible data media, microfilm.

Retrievability: employee's name and social security number.

Safeguards: Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: updated periodically and retained as long as individual is employed.

System manager(s) and address: Official prescribing policies and practices—Director, Examination Division. Officials maintaining the system—Director of District or office where individual is employed. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be searched.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be accessed or contested.

Contesting record procedures: See Access above.

Record source categories: Employee's tax return.

Treasury/IRS 42.017

System name: International Enforcement Program Files—Treasury/IRS.

System location: Jurisdictional District Office where individual resides. (See IRS Appendix A).

Categories of individuals covered by the system: Any individual having substantial foreign business activities.

Categories of records in the system: Listing of individual, summary of income expenses, financial information as to foreign operations, acquisition of foreign stock, controlling interest of a foreign corporation, organization or reorganization of foreign corporation, etc.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7602, 7801 and 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: paper documents, micro-film, magnetic tape.

Retrievability: Individual's name and social security number.

Safeguards: Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Updated periodically and retained as long as individual has substantial foreign business activities.

System manager(s) and address: Official prescribing policies and practices—Director, Examination Division. Officials maintaining the system—Director of jurisdictional District (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be searched. This system may contain some records which are exempt from the notification provisions of the Privacy Act. Requesters will not be advised of the existence of records exempt from the notification provisions.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be accessed or contested. This system may contain some records which are exempt from the Access and Contest provisions of the Privacy Act. Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: (1) European treaty countries, (2) Individual's tax returns, (3) Examination of related taxpayers, (4) Public sources of information.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 42.018

System name: Married Taxpayers Filing Separately and Multiple Filer File—Treasury/IRS.

System location: Jurisdictional Service Center.

Categories of individuals covered by the system: Married individuals who file separate income tax returns.

Categories of records in the system: Listing identifying each married individual filing separately, and taxpayers who have filed more than one return.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7602, 7801 and 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Computer printout and magnetic tape.

Retrievability: By taxpayer's name and social security number.

Safeguards: Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Disposal after returns are matched or untl next file year is produced.

System manager(s) and address: Official prescribing policies and practices—Director, Examination Division; Officials maintaining the system—Service Center Directors.

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Service Center where the individual filed tax returns.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Service Center Director for each Service Center whose records are to be accessed or contested.

Record source categories: Information obtained from taxpayer's return.

Treasury/IRS 42.013

System name: Project Files for the Uniform Application of laws as a result of technical determinations and court decisions. Treasury/IRS.

System location: Jurisdictional district office where taxpayer resides. (See IRS Appendix A).

Categories of individuals covered by the system: Individuals grouped as to project, i.e., individual shareholders of a corporation where a determination having a tax effect has been made.

Categories of records in the system: Listing of individuals and their income tax information.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7602, 7801 and 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: paper documents.

Retrievability: by taxpayer's name and social security number.

Safeguards: Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: retained until project is completed.

System manager(s) and address: Official prescribing policies and practices—Director, Examination Division. Officials maintaining the system—Director of jurisdictional District. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be searched.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be accessed or contested.

Contesting record procedures: See Access above.

Record source categories: (1) Shareholder records. (2) Individual's tax return. (3) Examination of related taxpayer.

Treasury/IRS 42.023

System name: Request and Submittal File for Technical Advice, Assistance, Determination or Coordination—Treasury/IRS.

System location: Jurisdictional District Office where individual resides. (See IRS Appendix A).

Categories of individuals covered by the system: Individual whose return contains questioned or unprecedented technical areas.

Categories of records in the system: Narrative fully explaining or interpreting the technical area in question.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7602, 7801 and 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: paper documents.

Retrievability: taxpayer's name and social security number.

Safeguards: Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Six years, nine months from date of last DLN or as specified in the Records Control Schedule IRM 1(15)59.

System manager(s) and address: Official prescribing policies and practices—Director, Examination Division. Officials maintaining the system—Director of jurisdictional District. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be searched.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be accessed or contested.

Contesting record procedures: See Access above.

Record source categories: (1) Revenue agent who examined taxpayer's return. (2) Taxpayer who is not being examined or is initiating a request while under examination. (3) Taxpayer's representative.

Treasury/IRS 42.012

System name: Tax Shelter Program Files—Treasury/IRS.

System location: Jurisdictional District offices (not necessarily carried out in every district). (See IRS Appendix A).

Categories of individuals covered by the system: Taxpayers that may be abusing the tax laws through the use of tax shelters.

Categories of records in the system: Tax information relating to the tax shelter for use in determining abuses of tax laws.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7602, 7801 and 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: paper documents, machine-sensible data media and microfilm.

Retrievability: taxpayer's name and social security number.

Safeguards: Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: retained until individual projects are completed.

System manager(s) and address: Official prescribing policies and practices—Director, Examination Division. Officials maintaining the system—Director of jurisdictional District. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be searched.

This system may contain some records which are exempt from the notification provisions of the Privacy Act. Requesters will not be advised of the existence of records exempt from the notification provisions.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be accessed or contested. This system may contain some records which are exempt from the Access and Contest provisions of the Privacy Act. Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: (1) Individual's tax return. (2) Related taxpayer's.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 44.003

System name: Appeals Case Data—Treasury/IRS.

System location: Director, Appeals Division, National Office; Appeals Office. (See IRS Appendix A.)

Categories of individuals covered by the system: Taxpayers.

Categories of records in the system: Provides case control data and management information, utilizing data from system 42.008 and data developed in the appeal process.

Authority for maintenance of the system: 26 U.S.C. 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper, magnetic tape.

Retrievability: By taxpayer's name and social security number.

Safeguards: IRS personnel on need to know basis.

Retention and disposal: Generally, six years after case is closed but earlier if no longer needed.

System manager(s) and address: Director, Appeals Division, National Office; Regional Director of Appeals. (See IRS Appendix A.)

Notification procedure: See System Manager above.

Record access procedures: See System Manager above.

Contesting record procedures: See System Manager above.

Record source categories: Record clerks and Appeals Officers.

Treasury/IRS 44.001

System name: Appeals Case Files—Treasury/IRS.

System location: Director, Appeals Division, National Office; Regional Director of Appeals. (See IRS Appendix A.)

Categories of individuals covered by the system: Taxpayers.

Categories of records in the system: Tax returns, Revenue Agents' Reports, case correspondence, legal opinions and Appeals closing actions.

Authority for maintenance of the system: 26 U.S.C. 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper, file cabinets.

Retrievability: Name of taxpayer.

Safeguards: Locked file cabinets, locked doors, need to know.

Retention and disposal: Retention as prescribed in Records Control. Schedule 208. Disposal by shredding.

System manager(s) and address: Director, Appeals Division, National Office; Regional Director of Appeals. (See IRS Appendix A.)

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to the individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 44.002

System name: Appeals Officer Inventory and Unit Time Report, Form 2568—Treasury/IRS.

System location: Appeals Office. (See IRS Appendix A.)

Categories of individuals covered by the system: Appeals Officer.

Categories of records in the system: Records time spent on assignments and determines cumulative time on open and closed work units.

Authority for maintenance of the system: 26 U.S.C. 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable). For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper.

Retrievability: By name of Appeals officer as to paper storage; by office city as to automated product.

Safeguards: IRS personnel on need to know basis.

Retention and disposal: Dispose after 2 years.

System manager(s) and address: Chief, Appeals Office (see IRS Appendix A.)

Notification procedure: See System Manager above.

Record access procedures: See System Manager above.

Contesting record procedures: See System Manager above.

Record source categories: Appeals Officer.

Treasury/IRS 46.002

System name: Case Management and Time Reporting System, Criminal Investigation Division—Treasury/IRS.

System location: National, Regional, and District Offices, Data Center, Service centers (See IRS Appendix A.)

Categories of individuals covered by the system: Subjects of Criminal Investigation Division Investigations, Special Agents, and U.S. District Court Judges.

Categories of records in the system: Personal and financial information developed in open and closed criminal tax investigations, including schedules, listings, memorandums, reports, news articles, inventory controls, income tax returns, assessment records, summaries of case activities, time reports, collateral investigation reports and workpapers, court actions, analyses of sentencing practices, and related materials.

Authority for maintenance of the system: 5 USC 301; 26 USC 7602; 26 USC 7801, 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Information contained in this system of records may be disclosed to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records in binders; Magnetic tape; Computer printouts.

Retrievability: Name and Case Number.

Safeguards: Controls will be not less than provided for by the "Access Physical and Document Security Handbook," IRM 1(16)41.

Retention and disposal: As specified in Records Disposition Handbook, IRM 1(15)59.

System manager(s) and address: Official prescribing policies and practices—Director, Criminal Investigation Division—National Office. Officials maintaining the system—Regional Commissioners; District Directors; Director, Criminal Investigation Division; Director, Data Center, Directors, Service centers. (See IRS Appendix A.)

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 46.003

System name: Confidential Informants, Criminal Investigation Division—Treasury/IRS.

System location: District Offices, National Office. (See IRS Appendix A.)

Categories of individuals covered by the system: Confidential Informants; Subjects of Confidential Informant's Reports.

Categories of records in the system: Memorandums, Index Cards, Related Data.

Authority for maintenance of the system: 5 USC 301; 26 USC 7602; 26 USC 7801, 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Information contained in this system of records may be disclosed to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper.

Retrievability: Name or number.

Safeguards: Controls will be not less than provided for by the "Access Physical and Document Security Handbook," IRM 1(16)41.

Retention and disposal: As specified in Records Disposition Handbook, IRM 1(15)59.

System manager(s) and address: Official prescribing policies and practices—Director, Criminal Investigation Division—National Office. Officials maintaining the system—District Directors. (See IRS Appendix A.)

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 46.004

System name: Controlled Accounts—Open and Closed, Criminal Investigation Division TC 910/TC 914—Treasury/IRS.

System location: Service Centers, National Computer Center, District Offices. (See IRS Appendix A.)

Categories of individuals covered by the system: Subjects and potential subjects of criminal tax investigation.

Categories of records in the system: Criminal Investigation Information and Control Notices; Lists of names and related data; Lists of action taken; Transcripts of account.

Authority for maintenance of the system: 5 U.S.C. 301; 26 U.S.C. 7602; 26 U.S.C. 7801, 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic tape and paper.

Retrievability: By name or Social Security Number.

Safeguards: Controls will be not less than provided for by the "Access Physical and Document Security Handbook," IRM 1(16)41.

Retention and disposal: Paper destroyed after 1 year. Magnetic tape erased after 3 months.

System manager(s) and address: Official prescribing policies and practices—Director, Criminal Investigation Division—National Office. Officials maintaining the system—District Directors, Service Center Directors, National Computer Center Director. (See IRS Appendix A.)

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the District

Director for each District whose records are to be searched. (See IRS Appendix A.)

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be searched. (See IRS Appendix A.)

Contesting record procedures: See Access above.

Record source categories: District Office Personnel; Service Center Personnel.

Treasury/IRS 46.005

System name: Electronic Surveillance File, Criminal Investigation Division—Treasury/IRS.

System location: National Office (see IRS Appendix A).

Categories of individuals covered by the system: Subjects of electronic surveillance. Individuals who have been subjects of queries by other agencies.

Categories of records in the system: Information relating to conduct of electronic surveillance.

Authority for maintenance of the system: 5 U.S.C. 301; 26 U.S.C. 7602; 26 U.S.C. 7801, 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper.

Retrievability: Names, addresses, and telephone numbers.

Safeguards: Controls will be not less than provided for by the "Access Physical and Document Security Handbook," IRM 1(16)41.

Retention and disposal: Permanent; None authorized.

System manager(s) and address: Official prescribing policies and practices—Director, Criminal Investigation Division—National Office. Officials maintaining the system—Director, Criminal Investigation Division. (See IRS Appendix A.)

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 46.008

System name: Treasury/IRS Criminal Investigation Division, Information and Correspondence Files, Criminal Investigation Division—Treasury/IRS.

System location: National Office, District Offices, Regional Offices, Service Centers. (See IRS Appendix A.)

Categories of individuals covered by the system: Subjects of correspondence which has been referred to or processed by the Criminal Investigation Division. Other individuals of interest to, or who have had contact with, the Criminal Investigation Division. Individuals potentially dangerous to Service personnel. Service personnel who have been threatened or who have provided armed escort.

Categories of records in the system: Correspondence to and from other agencies and individuals. Reports; Identifying data; Fiduciary reports.

Authority for maintenance of the system: 5 U.S.C. 301; 26 U.S.C. 7602; 26 U.S.C. 7801, 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper, magnetic tape, and microfilm.

Retrievability: By name.

Safeguards: Controls will be not less than provided for by the "Access Physical and Document Security Handbook," IRM 1(16)41.

Retention and disposal: As specified in Records Disposition Handbook, IRM 1(15)59.

System manager(s) and address: Official prescribing policies and practices—District Directors, Service Center Directors, Regional Commissioners. Officials maintaining the system—Same. (See IRS Appendix A.)

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 46.007

System name: Information Indexing System, Criminal Investigation Division—Treasury/IRS.

System location: District Offices, Data Center, and Service Centers. (See IRS Appendix A.)

Categories of individuals covered by the system: Potential subjects of criminal tax investigations.

Categories of records in the system: Financial records, newspaper articles, reports from other agencies, and similar leads.

Authority for maintenance of the system: 5 U.S.C. 301; 26 U.S.C. 7602; 26 U.S.C. 7801, 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper, magnetic tape, and microfilm.

Retrievability: Name.

Safeguards: Controls will be not less than provided for by the "Access Physical and Document Security Handbook," IRM 1(16)41.

Retention and disposal: Paper shredded after use. Magnetic Tape—erased after 3 years.

System manager(s) and address: Official prescribing policies and practices—Director, Criminal Investigation Division—National Office. Officials maintaining the system—District Directors, Service Center Directors, Data Center Director. (See IRS Appendix A.)

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 46.009

System name: Information Items, Criminal Investigation Division—Treasury/IRS.

System location: District Offices and Service Centers. (See IRS Appendix A).

Categories of individuals covered by the system: Taxpayers about whom the Internal Revenue Service has received information alleging a violation of laws within IRS jurisdiction.

Categories of records in the system: Copies of income tax returns, special agent's reports, revenue agent's reports, reports from police and other investigative agencies, memorandum of interview, question-and-answer statements, affidavits, collateral request and replies, information items, newspaper and magazine articles and other published data, financial information from public records, case initiating documents and other similar and related documents.

Authority for maintenance of the system: 5 U.S.C. 301; 26 U.S.C. 7602; 26 U.S.C. 7801, 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Tape, paper, and microfilm.

Retrievability: Name.

Safeguards: Controls will be not less than provided for by the "Access Physical and Document Security Handbook," IRM 1(16)41.

Retention and disposal: Paper—shredded after use. Microfilm—destroyed after 5 years. Magnetic tape—erased after 3 years.

System manager(s) and address: Official prescribing policies and practices—Director, Criminal Investigation Division—National Office. Officials maintaining the system—Service Center Directors, District Directors. (See IRS Appendix A).

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 46.011

System name: Land Trust Files, Criminal Investigation Division—Treasury/IRS.

System location: District Offices (Chicago and Springfield), Service Center (Kansas City). (See IRS Appendix A).

Categories of individuals covered by the system: Beneficiaries of Land Trusts, Related individuals.

Categories of records in the system: Notices of Fiduciary Relationships. Related correspondence.

Authority for maintenance of the system: 5 U.S.C. 301; 26 U.S.C. 7602, 26 U.S.C. 7801, 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper, microfilm, magnetic tape.

Retrievability: Name.

Safeguards: Controls will be not less than provided for by the "Access Physical and Document Security Handbook", IRM 1(16)41.

Retention and disposal: As specified in Records Disposition Handbook, IRM 1(15)59.

System manager(s) and address: Official prescribing policies and practices—Director, Criminal Investigation Division—National Office. Officials maintaining the system—District Directors, Service Center Directors. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to District Director for each District whose records are to be searched and Director, Criminal Investigation Division, National Office. (See IRS Appendix A).

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to District Director for each District whose records are to be searched and Director, Criminal Investigation Division, National Office. (See IRS Appendix A).

Contesting record procedures: See Access above.

Record source categories: Financial institutions, Fiduciary reports, Notices of Fiduciary Relationships.

Treasury/IRS 46.013

System name: Project Files, Criminal Investigation Division—Treasury/IRS.

System location: National, Regional and District Offices. (See IRS Appendix A).

Categories of individuals covered by the system: Potential subjects of criminal tax investigations.

Categories of records in the system: Tax returns, master file data, Court records, Congressional reports, reports from other agencies, reports from Special Prosecutor, project reports, statistical data, other personal and financial information.

Authority for maintenance of the system: 5 U.S.C. 301; 26 U.S.C. 7602; 26 U.S.C. 7801, 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper, magnetic tape, microfilm.

Retrievability: Name and Social Security Number; However, retrievability exists only for those projects for which indexing procedures have been provided. Most project files do not have a capacity for retrieval by individual identity.

Safeguards: Controls will be not less than provided for by the "Access Physical and Document Security Handbook", IRM 1(16)41.

Retention and disposal: As specified in Records Disposition Handbook, IRM 1(15)59.

System manager(s) and address: Official prescribing policies and practices—Director, Criminal Investigation Division—National Office. Officials maintaining the system—Regional Commissioners; District Directors; and Director, Criminal Investigation Division. (See IRS Appendix A).

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 46.015

System name: Relocated Witnesses, Criminal Investigation Division—Treasury/IRS.

System location: National Office. (See IRS Appendix A).

Categories of individuals covered by the system: Relocated Witnesses.

Categories of records in the system: Documentation & Relocation Information.

Authority for maintenance of the system: 5 U.S.C. 301; 26 U.S.C. 7602; 26 U.S.C. 7801, 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper, file cabinet.

Retrievability: By Name.

Safeguards: Controls will be not less than provided for by the "Access Physical and Document Security Handbook", IRM 1(16)41.

Retention and disposal: Indefinite retention.

System manager(s) and address: Official prescribing policies and practices, Director, Criminal Investigation Division, National Office. Officials maintaining the system, Director, Criminal Investigation Division, National Office. (See IRS Appendix A).

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 46.016

System name: Secret Service Details, Criminal Investigation Division—Treasury/IRS.

System location: District Offices, Service Centers, Regional Offices, National Office. (See IRS Appendix A).

Categories of individuals covered by the system: Special Agents.

Categories of records in the system: Lists of names, and Correspondence.

Authority for maintenance of the system: 5 U.S.C. 301; 26 U.S.C. 7602; 26 U.S.C. 7801, 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable). Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper, file cabinet.

Retrievability: Name.

Safeguards: Controls will be not less than provided for by the "Access Physical and Document Security Handbook", IRM 1(16)41.

Retention and disposal: Not established.

System manager(s) and address: Official prescribing policies and practices, Director, Criminal Investigation Division, National Office, Officials maintaining the system, District Directors, Service Center Directors, Regional Commissioners, Director, Criminal Investigation Division. (See IRS Appendix A).

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certainprovisions of the Privacy Act.

Treasury/IRS 46.022

System name: Treasury Enforcement Communications System (TECS), Criminal Investigation Division—Treasury/IRS.

System location: National Office.

Categories of individuals covered by the system: Fugitives; Subjects of open Special Enforcement Program investigations; Subjects of open Narcotics Traffickers Program investigations; Subject of interest to Special Enforcement Program; individuals on whom both Taxpayer Delinquent Accounts have been issued and notice of Federal Tax Lien filed.

Categories of records in the system: Name, Date of Birth, Social Security Number, Address, Identifying details.

Authority for maintenance of the system: 5 U.S.C. 301; 26 U.S.C. 7602; 26 U.S.C. 7801, 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Disc.

Retrievability: By name or social security number.

Safeguards: Controls will be not less than provided for by the "Access Physical and Document Security Handbook", IRM 1(16)41.

Retention and disposal: Cancellation after 2 years.

System manager(s) and address: Official prescribing policies and practices, Director, Criminal Investigation Division, National Office. Officials maintaining the system, Director, Criminal Investigation Division, National Office.

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 48.001

System name: Disclosure Records. Disclosure—Treasury/IRS.

System location: National Office, National Computer Center, Data Center, Service centers and District Offices. (See IRS Appendix A).

Categories of individuals covered by the system: (1) Subjects of requests for disclosure initiated by the Department of Justice, including suspects or persons related to the violation of Federal laws; persons who have initiated legal actions against the Federal Government, persons identified as strike force targets or related to organized crime activities, persons under investigation for national security reasons, persons believed by the Internal Revenue Service to be related to violations of Federal laws other than the Internal Revenue Code. (2) Persons under investigation by other executive departments or congressional committees for whom tax information has been requested pursuant to 26 U.S.C. 6103. (3) Persons who are parties to criminal or civil non-tax litigation in which the testimony of IRS employees has been requested. (4) Persons who have applied for Federal employment or presidential appointments for which preemployment tax checks have been requested and applicants for Department of Commerce "E" Awards. (5) Requesters for access to records pursuant to 26 U.S.C. 6103, the Freedom of Information Act, 5 U.S.C. 552, and initiators of requests for access, amendment or other action pursuant to the Privacy Act of 1974, 5 U.S.C. 552a. (6) Individuals identified by or initiating correspondence or inquiries processed or controlled by the Disclosure function or relating to the foregoing subjects.

Categories of records in the system: Requests for records, information or testimony, responses to such requests, supporting documentation, processing records, copies of items provided or withheld, control records and related files. Department of Justice, and IRS replies to such requests. (2) Copies of related authorizations to IRS officials permitting such disclosures and, in some instances, their responses. (3) Copies of individuals' tax information, memoranda for file, notations of telephone calls, file search requests, etc.

Authority for maintenance of the system: 26 U.S.C. 7801 and 7802, 26 U.S.C. 6103, 5 U.S.C. 301, 552 and 552a.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes, and as necessary to report apparent violations of law to appropriate law enforcement agencies.

Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 C.F.R. 404.6103 where applicable). For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records in alphabetical or chronological order within subject categories. No centralized index exists. Accountings of disclosure of tax related records pursuant to 5 U.S.C. 552a(c) or 26 U.S.C. 6103(p)(3) are posted to the Individual Master File and retrievable by Social Security Number, or to the Business Master File and retrievable by Employer Identification Number.

Retrievability: Paper records are generally retrievable by name of individual, although category of record and chronological period may be necessary to retrieve some records. The Social Security number is necessary to access accountings of disclosure posted to the Individual Master File. The Employer Identification Number is necessary for access to the Business Master File.

Safeguards: Safeguards will be not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: In accordance with IRM 1(15)59, Records Disposition Handbook.

System manager(s) and address: Official prescribing policies and practices—Director, Disclosure Operations Division. Officials maintaining the system—Director, Disclosure Operations Division, National Office; Regional Commissioners, District Directors, Service Center Directors, or other official receiving or servicing requests for records. (See IRS Appendix A).

Notification procedure: Requesters seeking to determine if accountable disclosures about them have been made should request an accounting of disclosure pursuant to 5 U.S.C. 552a(c) and 26 U.S.C. 6103(p)(3) of the District Director for the area in which they reside. Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the District Director for the area of residence or to the official believed to be maintaining the record of interest (See Appendix A). This system contains some records of law enforcement activities which may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: See notification procedure above, portion of this system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See notification procedure and record access procedure above. Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act (See 26 U.S.C. 7852(e)).

Record source categories: Requests for disclosure, records being evaluated and processed for disclosure and related information from other systems of records. Portions of this system may contain investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: Portions of this system have been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 48.007

System name: Economic Stabilization Program Files—Treasury/IRS.

System location: National Office, Regional Offices, District Offices. (See IRS Appendix A).

Categories of individuals covered by the system: Individuals acting as sole proprietorships or partners involved in any pursuit subject to the Economic Stabilization Program, and Congressmen, Government officials, and private individuals.

Categories of records in the system: Case files for the period 1971 through 1974 originating from the investigation of complaints alleging violation of the Stabilization program or in granting or denying of exception/exemption requests. Congressional, public officials', and private individuals' correspondence pertaining to stabilization activities for the period 1971 through 1974 which is not part of a case file.

Authority for maintenance of the system: 5 USC 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The Economic Stabilization Program terminated on June 30, 1974. Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage—stored in cartons in servicing Federal Records Centers. Retrievability—indexed or filed by name. Safeguards—Federal Record Center security with access restricted to Internal Revenue Service employees. Retention and Disposal—Retained and disposed in accordance with Records Disposition Handbook, IRM 1(15)59-113 and IRM 1(15)59-213.

System manager(s) and address: Director, Disclosure Operations Division, National Office; Regional Offices and District Offices. (See IRS Appendix A).

Notification procedure: Same as System Manager above.

Record access procedures: Same as System Manager above.

Contesting record procedures: Same as System Manager above.

Record source categories: Letters from the public, applications from individuals, investigative reports, disclosure statements, determination letters, legal appeals and notifications of decisions, and queries for information, interpretations and rulings originating either from Congressmen, public officials, or private individuals.

Treasury/IRS 48.008

System name: Defunct Special Service Staff File being retained because of Congressional directive, Disclosure-Treasury/IRS.

System location: National Office. (See IRS Appendix A.)

Categories of individuals covered by the system: Individuals suspected of violating the Internal Revenue laws.

Categories of records in the system: Internal Revenue Service Master File printouts; returns and field reports; information from other law enforcement government investigative agencies; Congressional Reports, and news media articles.

Authority for maintenance of the system: U.S.C. 301, 26 U.S.C. 7801 and 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: This file is no longer being used by the Internal Revenue Service. The Special Service Staff was abolished August 13, 1973. Disclosure of information contained in this System of Records may be made to the Department of Justice in connection with actual or potential litigation. Access to the System is limited to Congressional Committees and individuals making Freedom of Information requests pertaining to themselves.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records maintained alphabetically by individual and organization contained in vault at IRS National Office.

Retrievability: By alphabet

Safeguards: Access controls are in conformance with provisions of the physical and Document Security Handbook, IRM 1 (16) 41. No IRS official has access to these records except for retrieval purposes in connection with Congressional or Freedom of Information inquiries and litigation cases.

Retention and disposal: Records are being maintained solely for Congressional Committees at their request. When the Congressional Committees have completed their inquiries, the records will be destroyed except for those documents pertaining to pending litigation. Those records will be destroyed upon direction by the Court having jurisdiction over the documents.

System manager(s) and address: Director, Disclosure Operations Division, National Office. (See IRS Appendix A.)

Notification procedure: Individuals seeking to determine if the System of Records contains a record pertaining to themselves may address inquiries to the Director, Disclosure Operations Division, National Office. (See IRS Appendix A for location.)

Record access procedures: Individuals seeking access to any System of Records may inquire in accordance with the instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director, Disclosure Operations Division, National Office. (See IRS Appendix A for location.)

In addition, this System may contain some records provided by other agencies which are exempt from the Access and Contest provisions of the Privacy Act as published in the Notices of the Systems of Records for those agencies.

Contesting record procedures: See Access above.

Record source categories: News media articles, taxpayers' returns and records, informant and third party information, other Federal agencies and examinations of related or other taxpayers.

Systems exempted from certain provisions of the act: Information from an exempt system of records duplicated in this system of records retains the exempt status.

Treasury/IRS 49.001

System name: Collateral and Information Requests System—Treasury/IRS.

System location: The central files for this system are maintained at the Office of International Operations, 1325 K Street, N.W., Washington, D.C. 20225. A corresponding system of records is separately maintained by the foreign posts located in: (1) Bonn, Germany; (2) Sydney, Australia; (3) Caracas, Venezuela; (4) Johannesburg, Republic of South Africa; (5) Nassau, Bahamas; (6) London, England; (7) Manila, Philippines: (8) Mexico City, Mexico; (9) Ottawa, Canada; (10) Paris, France; (11) Rome, Italy; (12) Sao Paulo, Brazil; (13) Singapore and (14) Tokyo, Japan. Inquiries concerning this system of records maintained by the foreign posts should be addressed to the Director of International Operations.

Categories of individuals covered by the system: United States Citizens, Resident Aliens, Nonresident Aliens.

Categories of records in the system: Record of interviewing witnesses regarding financial transactions of taxpayers; employment data; bank and brokerage house records; probate records; property valuations; public documents; payments of foreign taxes; inventories of assets; business books and records. These records relate to tax investigations conducted by the U.S. Internal Revenue Service where some aspects on an investigation must be pursued in foreign countries pursuant to the various tax treaties between the United States and foreign governments. The records also include individual case files of taxpayers on whom information (as is pertinent to carrying out the provisions of the convention or preventing fraud or fiscal evasion in relation to the taxes which are the subject of this convention) is exchanged with foreign tax officials of treaty countries.

Authority for maintenance of the system: 5 USC 301, 26 USC 7602, 26 USC 7801, 26 USC 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper Records.

Retrievability: By Taxpayer Name.

Safeguards: Access Controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Dispose after 3 years.

System manager(s) and address: Director of International Operations.

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 49.002

System name: Competent Authority and Index Card Retrieval System—Treasury/IRS.

System location: Office of International Operations, 1325 K Street, N.W., Washington, D.C. 20225.

Categories of individuals covered by the system: U.S. citizens, resident aliens, nonresident aliens whose tax matters come under the jurisdiction of the U.S. competent authority in accordance with pertinent provisions of tax treaties with foreign countries.

Categories of records in the system: Individual case files of taxpayers who request relief from double taxation or any other assistance that is pertinent to carrying out the provisions of income tax treaties.

Authority for maintenance of the system: 5 USC 301, 26 USC 7602, 26 USC 7801, 26 USC 7802, and applicable treaties.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records.

Retrievability: By taxpayer name.

Safeguards: Access controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: May be disposed of after 10 years.

System manager(s) and address: Director, Office of International Operations, 1325 K Street, N.W., Washington, D.C. 20225.

Notification procedure: Portions of this system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: Portions of this system of records may not be accessed for purposes of inspection or for contest of content of the records.

Contesting record procedures: See Access above. Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Record source categories: Portions of this system of records contain investigatory material compiled for law-enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 49.003

System name: Financial Statements File—Treasury/IRS.

System location: Office of International Operations, 1325 K Street, N.W., Washington, D.C. 20225.

Categories of individuals covered by the system: Taxpayers who submitted financial statements when interviewed overseas by examination division employees.

Categories of records in the system: Financial Statements.

Authority for maintenance of the system: 5 USC 301, 26 USC 7602, 26 USC 7801, 26 USC 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper Records.

Retrievability: By Taxpayer's name.

Safeguards: Access Controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Dispose after 2 years.

System manager(s) and address: Director of International Operations.

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries may be addressed to the Director of International Operations, 1325 K St., N.W., Washington, D.C. 20225.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director of International Operations.

Contesting record procedures: See Access above.

Record source categories: Overseas examination division employees.

Treasury/IRS 49.007

System name: Overseas Compliance Projects System.

System location: The central files for this system are maintained at the Office of International Operations, 1325 K Street, N.W., Washington, D.C., 20225. A corresponding system of records is separately maintained by the foreign posts located in: (1) Bonn, Germany; (2) Sydney, Australia; (3) Caracas, Venezuela; (4) Johannesburg, Republic of South Africa; (5) Nassau, Bahamas; (6) London, England; (7) Manila, Philippines; (8) Mexico City, Mexico; (9) Ottawa, Canada; (10) Paris, France; (11) Rome, Italy; (12) Sao Paulo, Brazil; (13) Singapore and (14) Tokyo, Japan. Inquiries concerning this system of records maintained by the foreign posts should be addressed to the Director of International Operations.

Categories of individuals covered by the system: United States Citizens, Resident Aliens, Nonresident Aliens.

Categories of records in the system: Documents and factual data relating to: (1) personal expenditures or investments not commensurate with known income and assets; (2) receipt of significant unreported income; (3) improper deduction of significant capital or personal living expenses; (4) failure to file required returns or pay tax due; (5) omission of assets or improper deduction or exclusion of items from estate and gift tax returns.

Authority for maintenance of the system: 5 USC 301, 26 USC 7602, 26 USC 7801, 26 USC 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper Records.

Retrievability: By Taxpayer Name.

Safeguards: Access Controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Dispose after 3 years.

System manager(s) and address: Director of International Operations.

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See Access above.

Record source categories: This system of records contains investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 49.008

System name: Taxpayer Service Correspondence System—Treasury/IRS.

System location: This system is separately maintained by each one of the 14 overseas posts of the Office of International Operations, located in: (1) Bonn, Germany, (2) Sydney, Australia; (3) Caracas, Venezuela; (4) Johannesburg, Republic of South Africa; (5) Kuala Nassau, Bahamas; (6) London, England; (7) Manila, Philippines; (8) Mexico City, Mexico; (9) Ottawa, Canada; (10) Paris, France; (11) Rome, Italy; (12) Sao Paulo, Brazil; (13) Singapore and (14) Tokyo, Japan. Inquiries concerning this system of records maintained by the foreign posts should be addressed to the Director of International Operations, 1325 K Street, N.W., Washington, D.C. 20225.

Categories of individuals covered by the system: United States Citizens, Resident Aliens, Nonresident Aliens.

Categories of records in the system: Correspondence from taxpayers, foreign post personnel and Office of International Operations headquarters offices in Washington, D.C.

Authority for maintenance of the system: 5 USC 301, 26 USC 7602, 26 USC 7801, 26 USC 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper Records.

Retrievability: By Taxpayer Name.

Safeguards: Access Controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Dispose after 2 years.

System manager(s) and address: Director of International Operations.

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CRF Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director of International Operations, 1325 K Street, N.W., Washington, D.C. 20225.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director of International Operations. Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: Taxpayers and Office of International Operation's foreign posts and headquarter's offices.

Treasury/IRS 50.002

System name: Employee Plans/Exempt Organizations, Determination Letter Records—Treasury/IRS.

System location: District Offices. See IRS Appendix A.

Categories of individuals covered by the system: Applicants for approval of individually designed plans and bond purchase plans, covering self-employed individuals.

Categories of records in the system: Contains plan name, type, treatment accorded, etc. Sub-systems include plan files containing applications, attachments, determination letters, related documents, and audit history file.

Authority for maintenance of the system: 26 USC 7801, 7802 and 7805.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103, 26 CFR 404.6103 and 26 U.S.C. 6104 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records.

Retrievability: Indexed by name.

Safeguards: Security will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41; and

Retention and disposal: As specified in the Records Disposition Handbook, IRM 1(15)59-104 and IRM 1(15)59-203.

System manager(s) and address: District Director who has jurisdiction over the plan. See IRS Appendix A.

Notification procedure: Same as above.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the District Director having jurisdiction. Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See access above.

Record source categories: Applicants requesting a determination letter.

Treasury/IRS 50.001

System name: Employee Plans/Exempt Organization, Correspondence Control Record (Form 5961)—Treasury/IRS.

System location: National Office See IRS Appendix A.

Categories of individuals covered by the system: Requestors of letter rulings, and subject of field office requests for technical advice and assistance and other correspondence.

Categories of records in the system: Contains the name, date, nature and subject of an assignment, and work history. Sub-systems include case files that contain the correspondence, internal memoranda, and related material. They also include digests of issues involved in proposed revenue rulings.

Authority for maintenance of the system: 26 USC 7801, 7802, and 7805.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103, 26 CFR 404.6103 and 26 U.S.C. 6104 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: paper records.

Retrievability: Indexed by name.

Safeguards: security will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41; and

Retention and disposal: As specified in the Records Disposition Handbook, IRM 1(15)59-104.

System manager(s) and address: Assistant Commissioner (Employee Plans/Exempt Organizations) 1111 Constitution Avenue, N.W., Washington, D.C. 20224.

Notification procedure: Same as above.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Assistant Commissioner (EP/EO), National Office. Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See above.

Record source categories: Individuals requesting rulings or information and field offices requesting technical advice or assistance.

Treasury/IRS 50.003

System name: Employee Plans/Exempt Organizations, Report of Significant Matters in Technical (M-5945)—Treasury/IRS.

System location: National Office. See IRS Appendix A.

Categories of individuals covered by the system: Individual subjects of letter ruling requests, technical advice requests etc., where a "Report of Significant Matter in Technical," has been prepared because of the presence of a matter significant to tax administration.

Categories of records in the system: Copies of "Reports of Significant Matter in Technical."

Authority for maintenance of the system: 26 U.S.C. 7801, 7802, and 7805.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103, 26 CFR 404.6103 and 26 U.S.C. 6104 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: paper records.

Retrievability: indexed by name.

Safeguards: safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: As specified in Record Disposition Handbook, IRM 1(15)55-104.

System manager(s) and address: Assistant Commissioner, (Employee Plans/Exempt Organizations) 1111 Constitution Avenue, Washington, D.C. 20224.

Notification procedure: Same as above.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Assistant Commissioner, (Employee Plans/Exempt Organizations), National Office.

Contesting record procedures: See access above.

Record source categories: Individual subjects of letter ruling requests, technical advice requests, etc., where a "Report of Significant Matter in EP/EO." has been prepared.

Treasury/IRS 60.001

System name: Assault and Threat Investigation Files Inspection-Treasury/IRS.

System location: National Office and Regional Inspector's Offices, (See IRS Appendix A).

Categories of individuals covered by the system: Persons who attempt to interfere with the administration of the Internal Revenue laws through threats, assaults or forcible interference of any officer or employee while discharging the official duties of his position.

Categories of records in the system: Document citing the complaint, Documentary evidence (i.e., threatening letter), Report of investigation, with possible statements, or affidavits as exhibits. Report of legal action, if case is prosecuted. Local police record of individual named in case. FBI record of individual named in the case.

Authority for maintenance of the system: 26 U.S.C. 7608, 7801, 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable) and as necessary to report apparent violations of law to appropriate law enforcement agencies. Routine disclosure may be made to authorized investigative offices of other Federal agencies in connection with background and security clearance procedures or when the subject is under investigation for employment or statute violations. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records, in folders, in file cabinets.

Retrievability: By name of individual to whom it applies.

Safeguards: Not less than provided for by the Physical and Documents Security Handbook, IRM 1(16)41. Also, accessible to Inspection personnel on a need-to-know basis, all of whom have been cleared by background investigations.

Retention and disposal: In accordance with Records Control Schedule 105, IRM 1(15)59.

System manager(s) and address: Assistant Commissioner (Inspection). (See IRS Appendix A).

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See access above.

Record source categories: This system of records contains investigatory material compiled for criminal law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 60.002

System name: Bribery Investigation Files, Inspection-Treasury/IRS.

System location: National Office and Regional Inspector's Offices. (See IRS Appendix A).

Categories of individuals covered by the system: Employees or former employees of the Treasury Department, and Taxpayers and non-IRS persons whose alleged criminal actions may affect the integrity of the Service.

Categories of records in the system: A report of investigation including exhibits, affidavits, transcripts, and documentation concerning requests and approval for consensual telephone and consensual non-telephone monitoring. A report of legal action concerning the results of prosecution. Prior criminal record of subject.

Authority for maintenance of the system: 5 U.S.C. 301; 26 U.S.C. 7602, 7608, 7801, 7802; EO 11222.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable) and as necessary to report apparent violations of law to appropriate law enforcement agencies. Routine disclosure may be made to authorized investigative offices of other Federal agencies in connection with background and security clearance procedures or when the subject is under investigation for employment or statute violations. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records, in folders, in file cabinets.

Retrievability: By name of individual to whom it applies.

Safeguards: Not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41. Also, accessible to Inspection personnel on a need-to-know basis, all of whom have been cleared by background investigations.

Retention and disposal: In accordance with Records Control Schedule 105, IRM 1(15)59.

System manager(s) and address: Assistant Commissioner (Inspection). (See IRS Appendix A).

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See access above.

Record source categories: This system of records contains investigatory material compiled for criminal law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 60.003

System name: Conduct Investigation Files, Inspection—Treasury/IRS.

System location: National Office and Regional Inspector's Offices. (See IRS Appendix A).

Categories of individuals covered by the system: Employees and former employees of: Internal Revenue Service, Office of the Secretary of the Treasury, Office of the General Counsel, Office of the Treasurer of the United States, Office of the Comptroller of the Currency, Office of International Finance, Bureau of Government Financial Operations, Bureau of Accounts, Bureau of Engraving and Printing, Bureau of the Mint, Bureau of the Public Debt, U.S. Savings Bond Division, and the Consolidated Federal Law Enforcement Training Center.

Categories of records in the system: Document citing complaint of alleged misconduct or violation of statute; Report of investigation to resolve allegation of misconduct or violation of statute, with related exhibits of statements, affidavits or records obtained during investigation; Report of action taken by management personnel adjudicating any misconduct substantiated by the investigation; andReport of legal action resulting from violations of statutes referred for prosecution.

Authority for maintenance of the system: 5 U.S.C. 301; 26 USC 7602, 7608, 7801, 7802; EO 11222.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable) and as necessary to report apparent violations of law to appropriate law enforcement agencies. Routine disclosure may be made to authorized investigative offices of other Federal agencies in connection with background and security clearance procedures or when the subject is under investigation for employment or statute violations. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records, in folders, in file cabinets.

Retrievability: By name of individual to whom it applies.

Safeguards: Not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41. Also, accessible to Inspection personnel on a need-to-know basis, all of whom have been cleared by background investigations.

Retention and disposal: In accordance with Records Control Schedule 105, IRM 1(15)59.

System manager(s) and address: Assistant Commissioner (Inspection) (See IRS Appendix A).

Notification procedure: Many of the records in this system may be exempt under subsections J and/or K Privacy Act of 1974 and may not be accessed for purposes of inspection or for contest of content of records. Individuals seeking to determine if their record is accessible may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to Assistant Commissioner (Inspection) National Office.

Record access procedures: Same as Notification section.

Contesting record procedures: Same as notification section.

Record source categories: Department of Treasury personnel and records, Taxpayers (Complainants, Witnesses, Confidential Informants), Other Federal Agencies, State and local agencies, Tax Returns and related documents, Personal observations of the investigator, and subjects of the investigation.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 60.004

System name: Disclosure Investigation Files, Inspection—Treasury/IRS

System location: National Office and Regional Inspector's Offices. (See IRS Appendix A).

Categories of individuals covered by the system: (a) Internal Revenue Service employees who have allegedly disclosed confidential tax information. (b) State and Local Government employees who have allegedly disclosed confidential Federal tax information. (c) Tax return preparers who have allegedly disclosed confidential Federal tax information.

Categories of records in the system: (a) Document citing the complaint of the alleged criminal or administrative violation. (b) Investigative report, including statements, affidavits and any other pertinent documents supporting the investigative report, which will be attached as an exhibit. (c) Report of legal action if case is accepted by U.S. Attorney for prosecution. (d) Report of action by management personnel if case is referred for administrative adjudication.

Authority for maintenance of the system: 5 U.S.C. 301; 26 U.S.C. 7602, 7608, 7801, 7802; EO 11222.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. (b) Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable) and as necessary to report apparent violations of law to appropriate law enforcement agencies. (c) Routine disclosure may be made to authorized investigative offices of other Federal agencies in connection with background and security clearance procedures or when the subject is under investigation for employment or statute violations. (d) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records, in folders, in file cabinets.

Retrievability: By name of individual to whom it applies.

Safeguards: Not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41. Also, accessible to Inspection personnel on a need-to-know basis, all of whom have been cleared by background investigations.

Retention and disposal: In accordance with Records Control Schedule 105, IRM 1(15)59.

System manager(s) and address: Assistant Commissioner (Inspection).

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See access above.

Record source categories: This system of records contains investigatory material compiled for criminal law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 60.005

System name: Enrollee Applicant Investigation Files; Inspection—Treasury/IRS.

System location: National Office and Regional Inspector's Offices. (See IRS Appendix A).

Categories of individuals covered by the system: Former employees and non-IRS persons who apply for enrollment to practice before IRS under the provisions of Circular 230.

Categories of records in the system: Investigative report covering results of checks of: FBI files; Inspection files; local police; Examination and Criminal Investigation Division files; and verification of Federal tax filing status. Also, appropriate documents attached as exhibits showing results of above file checks.

Authority for maintenance of the system: 26 U.S.C. 7801, 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. (b) Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable) and as necessary to report apparent violations of law to appropriate law enforcement agencies. (c) Routine disclosure may be made to authorized investigative offices of other Federal agencies in connection with background and security clearance procedures or when the subject is under investigation for employment or statute violations. (d) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records, in folders, in file cabinets.

Retrievability: By name of individual to whom it applies.

Safeguards: Not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41. Also, accessible to Inspection personnel on a need-to-know basis, all of whom have been cleared by background investigations.

Retention and disposal: In accordance with Records Control Schedule 105, IRM 1(15)59.

System manager(s) and address: Assistant Commissioner (Inspection). (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to Assistant Commissioner (Inspection) National Office.

Record access procedures: Same as Notification section.

Record source categories: Internal Revenue Service, Federal Bureau of Investigation, Local police departments.

Treasury/IRS 60.006

System name: Enrollee Charge Investigation Files, Inspection—Treasury/IRS

System location: National Office and Regional Inspector's Offices.

Categories of individuals covered by the system: IRS employees or former employees, tax practitioners, attorneys, certified public accountants or enrolled persons.

Categories of records in the system: A documented complaint or request for investigation alleging criminal or administrative misconduct affecting IRS integrity. A report of investigation, including exhibits such as affidavits, statements or documents which have been reviewed. A report of legal action resulting from violations of statutes referred for prosecution. A report of action taken by management personnel adjudicating any misconduct on the part of an IRS employee substantiated by the investigation.

Authority for maintenance of the system: 26 U.S.C. 7801, 7802, 7608.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable) and as necessary to report apparent violations of law to appropriate law enforcement agencies. Routine disclosure may be made to authorized investigative offices of other Federal agencies in connection with background and security clearance procedures or when the subject is under investigation for employment or statute violations. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records, in folders, in file cabinets.

Retrievability: By name of individual to whom it applies.

Safeguards: Not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41. Also, accessible to Inspection personnel on a need-to-know basis, all of whom have been cleared by background investigations.

Retention and disposal: In accordance with Records Control Schedule 105, IRM 1(15)59.

System manager(s) and address: Assistant Commissioner (Inspection). (See IRS Appendix A).

Notification procedure: Many of the records in this system may be exempt under subsections J and/or K of the Privacy Act of 1974 and may not be accessed for purposes of inspection or for contest of content of records. Individuals seeking to determine if their record is accessible may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to Assistant Commissioner (Inspection) National Office.

Record access procedures: Same as Notification section.

Contesting record procedures: Same as notification above.

Record source categories: Department of Treasury, Personnel and Records, Other Federal agencies, Taxpayers/Complainants, State and local agencies, Tax returns, Newspapers, Person acquainted with the individual under investigation, Subjects of the investigation, and Personal observations of the investigator.

Systems exempted from certain provisions of the act: Portions of this system have been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 60.007

System name: Miscellaneous Information File, Inspection—Treasury/IRS

System location: National Office and Regional Inspector's Office. (See IRS Appendix A).

Categories of individuals covered by the system: Employees and former employees of the Internal Revenue Service, Tax practitioners (Attorneys, Certified Public Accountants, Enrolled Persons, Return Preparers), Alleged tax violators, Persons whose actions or alleged actions indicate a threat to IRS employees, facilities, or the integrity of the tax system, Confidential Informants, and Reputed members of the organized criminal element.

Categories of records in the system: Complaint type information regarding IRS employees which is not, in itself, specific or significant enough to initiate an investigation when received. Allegations of bribery and gratuities by taxpayers and/or their representatives which are not by themselves specific or significant enough to initiate an investigation when received. Allegations of misconduct by tax practitioners, enrolled persons, or tax preparers which are not by themselves specific or significant enough to initiate an investigation when received. Any information received or developed by Inspection that has a bearing on IRS, but is not of itself specific or significant enough to initiate an investigation when received. Information received by Inspection pertaining to alleged violations enforced by other agencies or Divisions of IRS, copies of which are referred to those respective agencies or divisions. Photographs and descriptive data of some IRS employees and of persons arrested by Inspection. Information concerning lost or stolen Government documents, property, credentials or IRS employee personal property lost or stolen on Government premises. List of persons in State or Local Government agencies working under State agreements and having access to Federal Tax information. Newspaper or periodical items about IRS. Newspaper items regarding attorneys, CPA's, Tax Practitioners or Return Preparers arrested, indicted, convicted or under investigation by other agencies. Allegations of threats, assaults, forcible interference or other violence type activity aimed at employees or facilities of IRS which are not by themselves specific or significant enough to initiate an investigation when received. Information regarding Inspection's mission which does not fall into any of the above categories.

Authority for maintenance of the system: 5 U.S.C. 301; 26 USC 7602, 7608, 7801, 7802; EO 11222.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and CFR 404.6103 where applicable) and as necessary to report apparent violations of law to appropriate law enforcement agencies. Routine disclosure may be made to authorized investigative offices of other Federal agencies in connection with background and security clearance procedures or when the subject is under investigation for employment or statute violations. Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records, in folders, in file cabinets.

Retrievability: By name of individual, subject, or incident involved.

Safeguards: Not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41. Also, accessible to Inspection personnel on a need-to-know basis, all of whom have been cleared by background investigations.

Retention and disposal: In accordance with Records Control Schedule 105, IRM 1(15)59. Informant files are retained as long as informant remains active.

System manager(s) and address: Assistant Commissioner (Inspection).

Notification procedure: Some of the records in this system may be exempt under subsections J and/or K and may not be accessed for purposes of inspection or for contest of content of records. Individuals seeking to determine if their record is accessible may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to Assistant Commissioner (Inspection) National Office.

Record access procedures: Same as notification section.

Contesting record procedures: See notification above.

Record source categories: Department of Treasury Personnel and Records, Newspapers and Periodicals, Taxpayers (Complainants, Witnesses, and Informants), State and Local Government Agency Personnel and Records, and Anonymous Complainants.

Systems exempted from certain provisions of the act: Portions of this system have been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 60.008

System name: Security, Background and Character Investigations Files, Inspection—Treasury/IRS

System location: National Office and Regional Inspector's Office. (See IRS Appendix A).

Categories of individuals covered by the system: Current, former and prospective employees of: Internal Revenue Service, Office of the Secretary of the Treasury, Office of the General Counsel, Office of the Treasurer of the United States, Office of the Comptroller of the Currency, Office of International Finance, Bureau of Government Financial Operations, Bureau of Accounts, Bureau of Engraving and Printing, Bureau of the Mint, Bureau of the Public Debt, U.S. Savings Bond Division, Consolidated Federal Law Enforcement Training Center, and Private Contractors at IRS Facilities.

Categories of records in the system: (a) Federal Employment Application Forms (b) Results of National Agency Checks (prior reports and records from) FBI Investigative Files, Fingerprint Files, Civil Service Commission, Defense Central Index of Investigations, House Committee on Internal Security, and Coast Guard Intelligence. (c) Employee's Tax Account History (d) Results of Employees Examination (e) Employee's Financial Statement (f) A summary report, a narrative report, notes and/or written replies resulting from the investigator's inquiries into the subject's scholastic record, prior employments, military service, references, neighbors, police records and past credit record. (g) Report of action taken by management personnel adjudicating any derogatory information developed by the investigation. (h) Report of legal action resulting from violations of statutes referred for prosecution.

Authority for maintenance of the system: 5 U.S.C. 301; 26 USC 7602, 7608, 7801, 7802; EO 10450; EO 11222.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and with requests for legal advice. Disclosure may be made during judicial processes. Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable) and as necessary to report apparent violations of law to appropriate law enforcement agencies. Routine disclosure may be made to authorized investigative offices of other Federal agencies in connection with background and security clearance procedures or when the subject is under investigation for employment or statute violations. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records, in folders, in file cabinets.

Retrievability: By name of individual to whom it applies.

Safeguards: Not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41. Also, accessible to Inspection Personnel on a need-to-know basis, all of whom have been cleared by background investigations.

Retention and disposal: In accordance with Records Control Schedule 105, IRM 1(15)59.

System manager(s) and address: Assistant Commissioner (Inspection). (See IRS Appendix A).

Notification procedure: Some of the records in this system may be exempt and may not be accessed for purposes of inspection or for contest of content of records. Individuals seeking to determine if their record is accessible may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to Assistant Commissioner (Inspection) National Office.

Record access procedures: Same as Notification section.

Contesting record procedures: Same as notification above.

Record source categories: Employment Application Forms submitted by subject of investigation. Financial information from subject. Federal, State and Local Government Agencies (Police, Court and Vital Statistics records). Credit Reporting Agencies. Neighbors (former and present). References. Former and present employers and co-workers. Other third party sources. Schools. Tax Returns and Examination Results. Information provided by the individual under investigation.

Systems exempted from certain provisions of the act: Portions of this system have been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 60.009

System name: Special Inquiry (Complaint) Investigation Files, Inspection—Treasury/IRS.

System location: National Office and Regional Inspector's Offices. (See IRS Appendix A).

Categories of individuals covered by the system: Employees or former employees of the Treasury Department and the Internal Revenue Service. Non-employees whose alleged criminal actions may affect the integrity of the Internal Revenue Service.

Categories of records in the system: A documented complaint from some source alleging criminal or administrative misconduct affecting Internal Revenue Service integrity. A report of investigation to resolve the complaint, including exhibits of IRS or public documents reviewed during the investigation.

Authority for maintenance of the system: 5 U.S.C. 301; 26 U.S.C. 7602, 7608, 7801, 7802; EO 11222.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and CFR 404.6103 where applicable) and as necessary to report apparent violations of law to appropriate law enforcement agencies. Routine disclosure may be made to authorized investigative offices of other Federal agencies in connection with background and security clearance procedures or when the subject is under investigation for employment or statute violations. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records, in folders, in file cabinets.

Retrievability: By name of individual to whom it applies.

Safeguards: Not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41. Also, accessible to Inspection personnel of a need-to-know basis, all of whom have been cleared by background investigations.

Retention and disposal: In accordance with Record Control Schedule 105, IRM 1(15)59.

System manager(s) and address: Assistant Commissioner (Inspection). (See IRS Appendix A).

Notification procedure: Many of the records in this system may be exempt under subsections J and/or K and may not be accessed for purposes of inspection or for contest of content of records. Individuals seeking to determine if their record is accessible may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to Assistant Commissioner (Inspection) National Office.

Record access procedures: Same as Notification above.

Contesting record procedures: Same as notification above.

Record source categories: Taxpayers (Complainants, Witnesses, Confidential Informants), Federal, State and Local Government Agencies' personnel and records (Police, court, property, etc.), Newspapers or periodicals, Department of the Treasury personnel and records, Financial institutions, and Other Private Business records.

Systems exempted from certain provisions of the act: Portions of this system have been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 60.010

System name: Tort Investigation Files, Inspection—Treasury/IRS.

System location: National Office and Regional Inspector's Office. (See IRS Appendix A).

Categories of individuals covered by the system: Employees of the Department of the Treasury and non-Federal persons involved in accidents on property under Department of the Treasury jurisdiction or with Department of the Treasury employees.

Categories of records in the system: A report of investigation including exhibits such as SF-91, Operator's Report of Motor Vehicle Accident; SF-91A, Investigation Report of Motor Vehicle Accident; SF-92A, Report of Accident Other Than Motor Vehicle; SF-94, Statement of Witness; Optional Form 26, Data Bearing Upon Scope of Employment of Motor Vehicle Operator. In addition, attached as exhibits might be a Police Report of the accident; copies of insurance policies of the involved drivers; photographs of the scene or vehicles after the accident; Treasury Department vehicle maintenance record, medical records.

Authority for maintenance of the system: 5 U.S.C. 301; 26 U.S.C. 7602, 7608, 7801, 7802; EO 11222.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosures may be made during judicial processes. Routine disclosure may be made to other agencies to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable) and as necessary to report apparent violations of law to appropriate law enforcement agencies. Routine disclosure may be made to authorized investigative offices of other Federal agencies in connection with background and security clearance procedures or when the subject is under investigation for employment or statute violations. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records, in folders, in file cabinets.

Retrievability: By name of individual to whom it applies.

Safeguards: Not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41. Also, accessible to Inspection personnel on a need-to-know basis, all of whom have been cleared by background investigations.

Retention and disposal: In accordance with Record Control Schedule 105, IRM 1(15)59.

System manager(s) and address: Assistant Commissioner (Inspection). (See IRS Appendix A).

Notification procedure: Some of the records in this system may not be accessed for purposes of inspection or for contest of content of records. Individuals seeking to determine if their record is accessible may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to Assistant Commissioner (Inspection) National Office.

Record access procedures: Same as Notification above.

Contesting record procedures: Same as notification above.

Record source categories: Department of Treasury personnel and records, Federal, State and local police agencies, Witnesses, Subjects involved in the investigation, Hospitals (medical records), Doctors (medical records and personal observations), Personal observations of the investigator, Automobile repair facilities, Insurance Companies, Attorneys, Federal, state and local driver license records, and Federal and State vehicle registration records.

Treasury/IRS 70.001

System name: Individual Income Tax Returns, Statistics of Income—Treasury/IRS

System location: Primary-Internal Revenue Service Data Center, Secondary-(a)Internal Revenue Service, Statistics Division, (b) Federal Records Centers. (See IRS Appendix A).

Categories of individuals covered by the system: Taxpayers selected for an annual statistical sample.

Categories of records in the system: Sources of income, exemptions deductions, income tax, and tax credits, as reported on Forms 1040 and 1040A. The records are used to prepare and publish each year statistics, aggregated so as to prevent disclosure of taxpayer identity.

Authority for maintenance of the system: 26 U.S.C. 6108.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103 where applicable).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The statistical output records (i.e., those from which the statistics are directly derived) are maintained on magnetic tape. The input records are maintained as paper documents or copies thereof. The input records are of two types: (1) Copies of U.S. Individual Income Tax Returns (primary input records) and (2) Edit sheets (intermediate input records) which contain information manually abstracted from the primary records.

Retrievability: Each magnetic tape record is identified by social security number and a unique Document Locator Number assigned by the Internal Revenue Service. Those with sole proprietorship income, in addition, contain employer identification number, if reported by the taxpayer. Each primary input record and, for years before 1972, each intermediate input record are identified by name and address.

Safeguards: Access Controls will not be less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Input records disposed after 40 years. Output records retained permanently.

System manager(s) and address: Assistant Commissioner (Planning and Research), National Office. (See IRS Appendix A).

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual.

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records.

Contesting record procedures: See access above.

Record source categories: Forms 1040 and 1040A, Individual Income Tax Returns.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 80.001

System name: Artist File, Assistant Commissioner (TECHNICAL)—Treasury/IRS.

System location: National Office (See IRS Appendix A).

Categories of individuals covered by the system: Famous or noted artists whose works have been evaluated.

Categories of records in the system: Commissioner's Art Panel decisions on values of works of art by named artists and appraisal documentation.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7801, 26 U.S.C. 7802, 26 U.S.C. 7602, 26 U.S.C. 7805 (a).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage, paper records. Retrievability, indexed by name. Safeguards, safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41. Retention and Disposal, System has been retained since 1968.

System manager(s) and address: Assistant Commissioner (Technical), National Office.

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Assistant Commissioner (Technical), National Office.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Assistant Commissioner (Technical), National Office.

Contesting record procedures: See Access above.

Record source categories: Appraisal documentation and Art Panel decisions.

Treasury/IRS 80.003

System name: Correspondence Control and Records, Assistant Commissioner (Technical)—Treasury/IRS.

System location: National Office (See IRS Appendix A).

Categories of individuals covered by the system: Individual subjects of letter rulings, technical advice, and other correspondence from the Office of the Assistant Commissioner (Technical).

Categories of records in the system: Cards containing taxpayer names, date of correspondence, issue, and related information, and related letter ruling, technical advice, and other correspondence files.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7801, 26 U.S.C. 7802, 26 U.S.C. 7602, 26 U.S.C. 7805 (a).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and 26 CFR 404.6103) and as necessary to report apparent violations of law to appropriate law enforcement agencies. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage, paper records. Retrievability, indexed by name. Safeguards, safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41. Retention and Disposal, Cards retained indefinitely; related files destroyed or retired over varying numbers of years as specified in the Records Control Schedule, IRM 1(15)59-110.

System manager(s) and address: Assistant Commissioner (Technical), National Office. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instruction appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Assistant Commissioner (Technical), National Office.

Record access procedures: Individual seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Assistant Commissioner (Technical), National Office. Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: Individual subjects of letter rulings, technical advice, and other correspondence; field office personnel.

Treasury/IRS 80.002

System name: Expert witness and fee appraiser files, Assistant Commissioner (Technical)—Treasury/IRS.

System location: National Office (See IRS Appendix A).

Categories of individuals covered by the system: Potential expert witnesses for litigation and fee appraisers for art valuation.

Categories of records in the system: Biographical sketches, application letters or list of names by specialty.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7801, 26 U.S.C. 7802, 26 U.S.C. 7602, 26 U.S.C. 7805 (a).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage, paper records. Retrievability, indexed by name. Safeguards, safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41. Retention and Disposal, Retained for many years, will be purged periodically to reflect current data.

System manager(s) and address: Assistant Commissioner (Technical), National Office. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Assistant Commissioner (Technical), National Office.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Assistant Commissioner (Technical), National Office.

Contesting record procedures: See Access above.

Record source categories: Varied, generally from the named individual, often unsolicited.

Treasury/IRS 80.004

System name: Reference Index Digest Cards, Assistant Commissioner (Technical)—Treasury/IRS.

System location: National Office (See IRS Appendix A).

Categories of individuals covered by the system: Individual subjects of letter rulings, technical advice, and other correspondence, where case was published as a Revenue Ruling or classified as "Reference":

Categories of records in the system: Cards containing taxpayer names, issue, disposition of case, and related information.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7801, 26 U.S.C. 7802, 26 U.S.C. 7602, 26 U.S.C. 7805 (a).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage, paper records. Retrievability, indexed by name. Safeguards, safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41. Retention and Disposal, Retained indefinitely.

System manager(s) and address: Assistant Commissioner (Technical), National Office (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Assistant Commissioner (Technical), National Office.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Assistant Commissioner (Technical), National Office. Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: Individual subjects of letter rulings, technical advice, and other correspondence, and field office personnel where case was published as a Revenue Ruling or classified as "Reference".

Treasury/IRS 80.005

System name: Reports of Significant Matters (Form M-5945), Assistant Commissioner (Technical)—Treasury/IRS.

System location: National Office (Certain Division and Branch Offices) (See IRS Appendix A).

Categories of individuals covered by the system: Individual subjects of letter ruling requests, technical advice requests etc., where a "Report of Significant Matter in Technical" has been prepared because of the presence of a matter significant to Tax Administration.

Categories of records in the system: Copies of "Reports of Significant Matter in Technical".

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7801, 26 U.S.C. 7802, 26 U.S.C. 7602, 26 U.S.C. 7805 (a).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine disclosure of information contained in this system of records may be made to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Storage, paper records. Retrievability, indexed by name. Safeguards, safeguards will not be less than provided by the Physical and Document Security Handbook, IRM 1(16)41. Retention and Disposal, varied.

System manager(s) and address: Assistant Commissioner (Technical), National Office. (See IRS Appendix A).

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Assistant Commissioner (Technical), National Office.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part I, Subpart C, Appendix B. Inquiries should be addressed to the Assistant Commissioner (Technical), National Office.

Contesting record procedures: See Access above.

Record source categories: Individual subjects of letter ruling requests, technical advice requests, and other correspondence; field office personnel, where a "Report of Significant Matter in Technical" has been prepared.

Treasury/IRS 90.001

System name: Chief Counsel Criminal Tax Case Files. Each Regional Counsel Office, each District Counsel Office, and the National Office maintain one of these systems. The information in this notice applies to all 53 systems—Treasury/IRS.

System location: The addresses of the National Office, each Regional Counsel Office and each District Counsel Office are listed in the Appendix, IRS Appendix A.

Categories of individuals covered by the system: (1) Taxpayers and related parties against whom tax-related criminal recommendations have been made. (2) Taxpayers and related parties under investigation for criminal tax-related violations who have corresponded with the Regional Counsel's Office. (3) Taxpayers and related parties on whom advice has been requested concerning investigation for tax-related offenses. (4) Potential witnesses in criminal tax investigations. (5) Investigative subjects in connection with Organized Crime Drive, Strike Force, Narcotics Project, or HUD project.

Categories of records in the system: (1) Internal Control Records. (2) Legal and administrative files including investigative reports. (3) Correspondence files. (4) Information on potential witnesses including expert witnesses. (5) Special project files such as Organized Crime Drive, Narcotics Project and HUD project.

Authority for maintenance of the system: 5 U.S.C. 301 and 26 U.S.C. 7801.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) To the Department of Justice for the purpose of litigating an action or seeking legal advice. (2) In the event that a system of records maintained by this agency to carry out its functions indicates a violation or potential violation of law, whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto. (3) To appropriate persons when the Service is engaged in litigation or in preparation of possible litigation such as potential witnesses for the purpose of securing their testimony, courts for the purpose of proceeding with litigation, non-adversary or adversary persons and their attorneys for the purpose of proceeding with litigation or settlement of disputes, individuals seeking information by using established discovery procedures, to federal, foreign and state government agencies to the extent necessary to obtain records in their possession. (4) To members of Congress for the purpose of answering Congressional inquiries in cases in which confidentiality has been waived by the individual. (5) Routine disclosure may be made to other agencies to the extent provided by law or regulation (including 26 U.S.C. 6103 and any regulation promulgated thereunder). (6) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records.

Retrievability: Records are retrievable by the name of the person to whom they apply.

Safeguards: Records are locked up during nonworking hours and during periods when the work area is vacant. Access is strictly controlled and limited to employees who have a need for such records in the course of their work. Background checks are made on employees. All facilities where records are stored have access limited to authorized personnel or individuals in the company of authorized personnel.

Retention and disposal: Except for files retained for their research value, Regional and District Counsel legal files are generally retired to the Federal Records Center 2 years after they are closed and disposed of 20 years after closing. National Office legal files are retired to the Federal Records Center 5 years after the case is closed. National Office non-precedent cases are transferred to the National Archives 20 years after the case is closed. Other records are retained indefinitely and disposed of when no longer useful. Files transferred from other divisions are returned to the source when no longer needed.

System manager(s) and address: Each Regional Counsel is the system manager of the systems in his or her Region. The Director of the Criminal Tax Division is the system manager of the National Office system. The addresses are in the Appendix. See IRS Appendix A.

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual as it is exempt under 5 U.S.C. 552a(j) (2).

Record access procedures: This system of records may not be accessed for the purposes of inspection or for contest of content of records as it is exempt under 5 U.S.C. 552a(j) (2).

Contesting record procedures: See Access above.

Record source categories: Taxpayers and their representatives, Department of Treasury personnel, Other Federal agencies, State, local, and foreign governments, Witnesses, Informants, Parties to disputed matters of fact or law, Other persons who communicate with the Internal Revenue Service.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 90.002

System name: Chief Counsel Disclosure Litigation Division Case Files—Treasury/IRS.

System location: Disclosure Litigation Division, Internal Revenue Service, Office of Chief Counsel, 1111 Constitution Avenue, N.W., Washington, D.C. 20224.

Categories of individuals covered by the system: Persons who communicate with the Service regarding disclosure matters or who are involved with a disclosure issue involving the Service.

Categories of records in the system: (1) Legal Case and Administrative Case Files, (2) Internal Control Records.

Authority for maintenance of the system: 5 U.S.C. 301 and 26 U.S.C. 7801.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) To the Department of Justice for the purpose of litigating an action or seeking legal advice. (2) In the event that a system of records maintained by this agency to carry out its functions indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto. (3) To appropriate persons when the Service is engaged in litigation or in preparation of possible litigation such as potential witnesses for the purpose of securing their testimony, courts for the purpose of proceeding with litigation, non-adversary or adversary persons and their attorneys for the purpose of proceeding with litigation or settlement of disputes, to individuals seeking information by using established discovery procedures, to federal, foreign, and state government agencies to the extent necessary to obtain records in their possession. (4) To members of Congress for the purpose of answering Congressional inquiries in cases in which confidentiality has been waived by the individual. (5) Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and any regulation promulgated thereunder). (6) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records.

Retrievability: Records are retrievable by the name of the person to whom they apply.

Safeguards: A background investigation is made on personnel. Division offices are located in a security area to which only personnel of the Disclosure Litigation Division and the Criminal Investigation Division have keys. All facilities where records are stored have access limited to authorized personnel or individuals in the company of authorized personnel.

Retention and disposal: All records are retained indefinitely and disposed of when no longer useful.

System manager(s) and address: Director, Disclosure Litigation Division, Internal Revenue Service, Office of Chief Counsel, 1111 Constitution Avenue, Washington, D.C. 20224.

Notification procedure: Most of the records in this system may not be accessed for purposes of determining if the records pertain to a particular individual as the records are exempt under 5 U.S.C. 552a(d) (5) and/or (k)(2). An individual who wishes to determine whether the system contains any records pertaining to himself which are not exempt may address inquiries to the Director of the Disclosure Litigation Division. The address is listed in the Appendix. Inquiries must be made in accordance with the instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B.

Record access procedures: Most of the records in this system may not be accessed for purpose of inspection or for contest of content of records as the records are exempt under 5 U.S.C. 552a(d) (5) and/or (k) (2). Individuals seeking access to any record which is not exempt or seeking to contest its content, may inquire in accordance with instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Disclosure Litigation Division. The address is listed in the Appendix. Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: Persons who communicate with the agency regarding disclosure matters, Department of Treasury Employees, State, local, and foreign governments, Other Federal agencies, Witnesses, Informants, Parties to disputed matters of fact or law.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 90.003

System name: Chief Counsel General Administrative Systems. Each of the 7 Regional Counsel Offices and 45 District Counsel Offices, each of the 9 Divisions in the National Office, the Office of the Chief Counsel, and the Offices of the Deputy Chief Counsels (General, Litigation and Technical) and maintain a General Administrative System. This notice applies to all 58 of these systems.—Treasury/IRS.

System location: The location of these systems are listed in the Appendix, see IRS Appendix A.

Categories of individuals covered by the system: (1) Past, present and prospective employees of the Chief Counsel's Office. (2) Tax Court Witnesses.

Categories of records in the system: (1) Employee records other than Official Personnel Files of the Office of Personnel Management and the Merit System Protection Board. (2) Time and Attendance Cards. (3) Financial Records such as Travel Expenses, Notary Public Expenses, Moving Expenses, Expenses of Tax Court Witnesses and Miscellaneous Expenses. (4) Employee Recruiting Records.

Authority for maintenance of the system: 5 U.S.C. 301 and 26 U.S.C. 7801.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) To the Department of Justice for the purpose of litigating an action or seeking legal advice. (2) An apparent violation of the law will be referred to the appropriate law enforcement authorities for investigation and possible criminal prosecution, civil court action, or regulatory order. (3) To members of Congress for the purpose of answering Congressional inquiries in cases in which confidentiality has been waived by the individual. (4) To the Office of Personnel Management and the Merit System Protection Board for appropriate personnel actions. (5) A record from this system of records may be disclosed to a federal, state or local agency maintaining civil, criminal or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant or other benefit. (6) A record from this system of records may be disclosed to a federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter. (7) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records.

Retrievability: Records are generally retrievable by the name of the person to whom they apply.

Safeguards: Access is limited to employees who have a need for such records in the course of their work. Background checks are made on employees. All facilities where records are stored have access limited to authorized personnel or individuals in the company of authorized personnel.

Retention and disposal: Records are retained indefinitely and disposed of when no longer useful.

System manager(s) and address: Each Regional Counsel is the system manager of the systems in his or her Region. Each Division Director is the system manager of the system in his or her Division. The Chief Counsel and the Deputy Chief Counsels (Litigation, General and Technical) are the system managers of the system in each of their Offices. See IRS Appendix A.

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Regional Counsel of the Region in which the records are located or the Director of the Disclosure Litigation Division in the case of records in the National Office. The addresses are listed in the Appendix.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Regional Counsel of the Region in which the records are located or the Director of the Disclosure Litigation Division in the case of records in the National Office. The addresses are listed in the Appendix. Information leading to the identity of a confidential source is exempt pursuant to 5 U.S.C. 552a (k) (5).

Contesting record procedures: See Access above.

Record source categories: Employees, Department of Treasury Personnel, Tax Court Witnesses, Office of Personnel Management and Merit System Protection Board. Other Federal agencies, State, local, and foreign governments, References, Former employers.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 90.004

System name: Chief Counsel General Legal Services Case Files. Each of the seven Regional Counsel Offices and the National Office do or will maintain a General Legal Services Case File System. The information in this notice applies to all eight systems.—Treasury/IRS.

System location: The addresses of the National Office and each Regional Counsel Office are listed in the Appendix, see IRS Appendix A.

Categories of individuals covered by the system: Persons involved in litigation, actions, investigations or cases falling within the jurisdiction of the General Legal Services function including persons—(1) who have caused service of subpoenas, summonses, or other judicial process directed to an officer or employee of the Treasury Department in his official capacity. (2) who are parties in personnel matters, as well as discrimination and labor management relations matters, of the Internal Revenue Service, Chief Counsel's Office or, in some instances, other agencies in the Treasury Department. (3) who are parties in practitioner actions under the jurisdiction of the Director of Practice or the Joint Board of Actuaries. (4) who are parties in procurement matters and under the Federal Claims Collection Act. (5) who are parties in litigation or administrative claims involving alleged violations of The United States Constitution, the Federal Tort Claims Act, the Military Personnel and Civilian Employee Compensation Act, relief of accountable officers for loss of Government funds, rewards, acts of officers or employees acting within the scope of their employment, or official acts of officers or employees not directly relating to Federal tax issues but relating to the Internal Revenue Service. (6) who are parties in miscellaneous matters referred to the General Legal Service Division. (7) who are the subjects of investigations made by the Internal Security Division if the case is referred to the General Legal Services Division. (8) who have filed petitions for the remission or mitigation of forfeitures or who are otherwise directly involved as parties in forfeiture matters, judicial or administrative. (9) who are officials of the Internal Revenue Service and Chief Counsel's Office required to file a Financial Disclosure Statement under the Ethics in Government Act of 1978. (10 who have corresponded regarding a matter under consideration within the General Legal Services Division.

Categories of records in the system: (1) Legal Case and Administrative Case Files. (2) Internal Control Cards. (3) Correspondence Files.

Authority for maintenance of the system: 5 U.S.C. 301 and 26 U.S.C. 7801.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) To the Department of Justice for the purposes of litigating an action or seeking legal advice. (2) In the event that a system of records maintained by this agency to carry out its functions indicates a violation or potential violation of law, whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto. (3) To the Director of Practice and Joint Board of Actuaries in practitioner disciplinary matters. (4) To the Office of Personnel Management in personnel, discrimination and labor management matters. (5) To arbitrators, the Federal Labor Relations Authority, including the Office of the General Counsel of that authority, the Federal Mediation and Conciliation Service in labor management matters. (6) To the Merit Systems Protection Board in Personnel, Discrimination, and Labor Management matters. (7) To the Equal Employment Opportunity Commission in Personnel, Discrimination, and Labor Management matters. (8) To the Special Counsel of the Merit Systems Protection Board in Personnel, Discrimination, and Labor Management matters. (9) To the General Services Administration in property management matters. (10) To appropriate persons when the Service is engaged in litigation or in preparation of possible litigation such as potential witnesses for the purpose of securing their testimony, courts for the purpose of proceeding with litigation, non-adversary or adversary parties and their attorneys for the purpose of proceeding with litigation or settlement of disputes, to individuals seeking information by using established discovery procedures, to federal, foreign, and state government agencies to the extent necessary to obtain records in their possession. (11) To members of Congress for the purposes of answering Congressional inquiries in cases in which confidentiality has been waived by the individual. (12) To the Administrative Assistant of the Executive Resources Board as to Financial Disclosure Statements, who makes the statements available to the public as required by law. (13) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records.

Retrievability: Records are retrievable by the name of the person to whom they apply. If more than one person is involved in a given case then it is generally retrievable only by the first named person.

Safeguards: Access is limited to employees who have a need for such records in the course of their work. Background checks are made on employees. All facilities where records are stored have access limited to authorized personnel or individuals in the company of authorized personnel.

Retention and disposal: Legal files are retained indefinitely and disposed of when no longer useful. Files transferred from other divisions are returned to the source when no longer needed. Other records are retained indefinitely and disposed of when no longer useful.

System manager(s) and address: Each Regional Counsel is the system manager of the system in his or her Region. The Director of the General Legal Services Division is the system manager of the National Office system. The addresses are in the Appendix.

Notification procedure: This system of records may not be accessed for purposes of determining if the system contains a record pertaining to a particular individual as the records are exempt under 5 U.S.C. 552a(d) (5) and/or (k) (2).

Record access procedures: This system of records may not be accessed for purposes of inspection or for contest of content of records as the records are exempt under 5 U.S.C. 552a(d) (5) and/or (k) (2).

Contesting record procedures: See Access above.

Record source categories: Taxpayers and their representatives, Department of Treasury personnel, Other Federal agencies, State, local, and foreign governments, Witnesses, Informants, Parties to disputed matters of fact or law, Other persons who communicate with the Internal Revenue Service.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 90.005

System name: Chief Counsel General Litigation Case Files. Each Regional Counsel Office, each District Counsel Office, and the National Office maintain one of these systems. The information in this notice applies to all 46 systems—Treasury/IRS.

The addresses of the National Office, each Regional Counsel Office and each District Counsel Office are listed in the Appendix; see IRS Appendix A.

Categories of individuals covered by the system: (1) Taxpayers or other individuals involved in matters referred to the General Litigation function including: (A) Taxpayers with outstanding tax liabilities or with potential outstanding tax liabilities. (B) Persons from whom information is being sought (summons). (C) Persons requesting information (disclosure). (D) Present or former Internal Revenue Service employees who are being or may be sued in connection with their duties or who have been called upon to testify in private litigation. (E) Persons who are or may be liable to the United States on non-tax claims. (F) Persons who have submitted offers in compromise of federal taxes. (2) Persons who have corresponded regarding a matter under consideration within the General Litigation function.

Categories of records in the system: (1) Legal and Administrative Files. (2) Internal Control Records. (3) Offer in Compromise Files. (4) Correspondence Files.

Authority for maintenance of the system: 5 U.S.C. 301 and 26 U.S.C. 7801.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) To other Federal agencies holding funds of taxpayer for the purpose of collecting a liability owed by the taxpayer. (2) To the Department of Justice for the purpose of litigating an action or seeking legal advice. (3) State and local taxing authorities for purpose of enforcing federal tax laws. (4) Federal, state and local regulatory authorities for purposes of collection of federal taxes. (5) Appropriate persons when the Service is engaged in litigation or in preparation of possible litigation such as potential witnesses for the purpose of securing their testimony, courts for the purpose of proceeding with litigation, non-adversary or adversary persons and their attorneys for the purpose of proceeding with litigation or settlement of disputes, individuals seeking information by using established discovery procedures, to federal, foreign and state government agencies to the extent necessary to obtain records in their possession. (6) To members of Congress for the purpose of answering Congressional inquiries in cases in which confidentiality has been waived by the individual. (7) Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and any regulation promulgated thereunder). (8) In the event that a system of records maintained by this agency to carry out its functions indicates a violation or potential violation of law, whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto. (9) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records.

Retrievability: Records are retrievable by the name of the person to whom they apply.

Safeguards: Access is limited to employees who have a need for such records in the course of their work. Background checks are made on employees. All facilities where records are stored have access limited to authorized personnel or individuals in the company of authorized personnel.

Retention and disposal: Except for files retained for their research value, Regional and District Counsel legal files are generally retired to the Federal Records Center three years after they are closed and disposed of 10 years after being closed. National Office legal files are retired to the Federal Records Center 5 years after the case is closed. National Office non-precedent cases are transferred to the National Archives 20 years after the case is closed. Records other than legal files are retained indefinitely and disposed of when no longer useful. Files transferred from other divisions are returned to the source when no longer needed.

System manager(s) and address: Each Regional Counsel is the system manager of the systems in his or her Region. The Director of the General Litigation Division is the system manager of the National Office system. The addresses are in the Appendix.

Notification procedure: Most of the records in this system may not be accessed for purposes of determining if the records pertain to a particular individual as the records are exempt under 5 U.S.C. 552a(d) (5) and/or (k) (2). An individual who wishes to determine whether the system contains any records pertaining to himself which are not exempt may address inquiries to the Regional Counsel of the Region in which the records are located or the Director of the Disclosure Litigation Division in the case of National Office Records. The addresses are listed in the Appendix. Inquiries must be made in accordance with the instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B.

Record access procedures: Most of the records in this system may not be accessed for purposes of inspection or for contest of content of records as the records are exempt under 5 U.S.C. 552a (d) (5) and/or (k) (2). Individuals seeking access to any record which is not exempt or seeking to contest its content, may inquire in accordance with instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Regional Counsel of the Region in which the records are located or the Director of the Disclosure Litigation Division in the case of National Office Records. The addresses are listed in the Appendix. Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: Taxpayers and their representatives, Department of Treasury personnel, Other Federal agencies, State, local, and foreign governments, Witnesses, Informants, Parties to disputed matters of fact or law, Other persons who communicate with the Internal Revenue Service.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of this Privacy Act.

Treasury/IRS 90.006

System name: Chief Counsel Interpretative Division Case Files—Treasury/IRS.

System location: Interpretative Division, Internal Revenue Service, Office of Chief Counsel, 1111 Constitution Avenue, N.W., Washington, D.C. 20224; Employee Plans and Exempt Organizations Division, same address.

Categories of individuals covered by the system: Taxpayers concerning whom legal issues have been referred to the Interpretative Division or the Employee Plans and Exempt Organizations Division for opinion.

Categories of records in the system: (1) Legal Case and Administrative Files and Advisory Legal Opinions, (2) General Counsel Memorandums, (3) Office Memorandums, (4) Internal Control Records.

Authority for maintenance of the system: 5 U.S.C. 301 and 26 U.S.C. 7801.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) To the Department of Justice for the purpose of litigating an action or seeking legal advice. (2) In the event that a system of records maintained by this agency to carry out its functions indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto. (3) To the Social Security Administration to consult about a matter involving legal issues concerning the imposition of Social Security taxes. (4) To the Department of Labor or the Pension Benefit Guaranty Corporation concerning the Employee Retirement Income Security Act. (5) To members of Congress for the purpose of answering Congressional inquiries in cases in which confidentiality has been waived by the individual. (6) Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and any regulation promulgated thereunder). (7) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records.

Retrievability: Records are retrievable by the name of the person to whom they apply.

Safeguards: Access is limited to employees who have a need for such records in the course of their work. Background checks are made on employees. All facilities where records are stored have access limited to authorized personnel or individuals in the company of authorized personnel.

Retention and disposal: General Counsel Memorandums, Office Memorandums, and Internal Control Records are retained indefinitely and disposed of when no longer useful. Legal files are transferred to the National Archives 25 years after the case is closed.

System manager(s) and address: Director, Interpretative Division, Internal Revenue Service, Office of the Chief Counsel, 1111 Constitution Avenue, N.W., Washington, D.C. 20224; Director, Employee Plans and Exempt Organizations Division, same address.

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Disclosure Litigation Division. The address is listed in the Appendix.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Disclosure Litigation Division. The address is listed in the Appendix. Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: Taxpayers and their representatives, Department of Treasury personnel, Other Federal agencies, State, local, and foreign governments, Other persons who communicate with the Internal Revenue Service.

Treasury/IRS 90.007

System name: Chief Counsel Legislation and Regulations Division Correspondence and Private Bill Files—Treasury/IRS.

System location: Legislation and Regulations Division, Internal Revenue Service, Office of Chief Counsel, 1111 Constitution Avenue, Washington, D.C. 20224; Employee Plans and Exempt Organizations Division, same address.

Categories of individuals covered by the system: (1) Persons who have corresponded to the Service, the Department of the Treasury, the White House or Members of Congress regarding a matter in which the Legislation and Regulations Division or the Employee Plans and Exempt Organizations Division was asked to draft a reply. (2) Persons on whose behalf private relief bills were introduced in Congress involving tax related matters.

Categories of records in the system: (1) Correspondence Files, (2) Private Relief Bill Legal Files.

Authority for maintenance of the system: 5 U.S.C. 301 and 26 U.S.C. 7801.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) To the Department of Justice for the purpose of litigating an action or seeking legal advice. (2) In the event that a system of records maintained by this agency to carry out its functions indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto. (3) To the agency or individual who directed correspondence to the Legislation and Regulations Division for the Division to draft a response. (4) To members of Congress for the purpose of answering Congressional inquiries in cases in which confidentiality has been waived by the individual. (5) Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and any regulation promulgated thereunder). (6) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records.

Retrievability: Correspondence files are retrievable by the name of the individual who initiated the correspondence. Private Relief Bill files are retrievable by the H.R. or S. number for each Congress.

Safeguards: Access is limited to employees who have a need for such records in the course of their work. Background checks are made on employees. All facilities where records are stored have

access limited to authorized personnel or individuals in the compnay of authorized personnel.

Retention and disposal: Correspondence files are generally disposed of after 3 years. Private Relief Bill files are retained indefinitely and disposed of when no longer useful.

System manager(s) and address: Director, Legislation and Regulations Division, Internal Revenue Service, Office of Chief Counsel, 1111 Constitution Avenue, Washington, D.C. 20224; Director, Employee Plans and Exempt Organization Division, same address.

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Disclosure Litigation Division. The address is listed in the Appendix; IRS Appendix A.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Disclosure Litigation Division. The address is listed in the Appendix.

Contesting record procedures: See Access above.

Record source categories: Persons who initiate correspondence referred to the Legislation and Regulations Division, Congressional documents, taxpayers and their representatives, Department of Treasury personnel, other Federal agencies, state, local, and foreign governments, witnesses, informants.

Treasury/IRS 90.009.

System name: Chief Counsel Tax Litigation Case Files. Each Regional Counsel Office, each District Counsel Office, and the National Office maintain one of these systems. The information in this notice applies to all 53 systems—Treasury/IRS.

System location: The addresses of the National Office, each Regional Counsel Office, and each District Counsel Office are listed in the Appendix, see IRS Appendix A.

Categories of individuals covered by the system: (1) Taxpayers who have filed petitions with the Tax Court or suits for refunds of Federal taxes. (2) Taxpayers upon whom the issuance of a statutory notice is or was contemplated whose case has been referred to the Tax Litigation function. (3) Taxpayers who are the subject of formal or informal advisory opinions during the investigative stage of the case or while under administrative processing. (4) Persons who have corresponded regarding a matter under consideration within the Tax Litigation function.

Categories of records in the system: (1) Legal Case and Administrative Case Files. (2) Internal Control Records. (3) Correspondence Files.

Authority for maintenance of the system: 5 U.S.C. 301 and 26 U.S.C. 7801.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) To the Department of Justice for the purpose of litigating an action or seeking legal advice. (2) In the event that a system of records maintained by this agency to carry out its functions indicates a violation or potential violation of law, whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto. (3) To appropriate persons when the Service is engaged in litigation or in preparation of possible litigation such as potential witnesses for the purpose of securing their testimony, courts for the purpose of proceeding with litigation, non-adversary or adversary persons and their attorneys for the purpose of proceeding with litigation or settlement of disputes, individuals seeking information by using established discovery procedures, to federal, foreign and state government agencies to the extent necessary to obtain records in their possession. (4) To members of Congress for the purpose of answering Congressional inquiries in cases in which confidentiality has been waived by the individual. (5) Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and any regulation promulgated thereunder). (6) For additional Routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records.

Retrievability: Records are retrievable by the name of the person to whom they apply.

Safeguards: Access is limited to employees who have a need for such records in the course of their work. Background checks are made on employees. All facilities where records are stored have access limited to authorized personnel or individuals in the company of authorized personnel.

Retention and disposal: Except for files retained for their research value, Regional and District Counsel legal files are generally retired to the Federal Records Center two years after they are closed and disposed of 10 years after being closed. National Office legal files are retired to the Federal Records Center 5 years after the case is closed. National Office non-precedent cases are disposed of 20 years after the case is closed. Other records are retained indefinitely and disposed of when no longer useful. Files transferred from other divisions are returned to the source when no longer needed.

System manager(s) and address: Each Regional Counsel is the system manager of the systems in his or her Region. The Director of the Tax Litigation Division is the system manager of the National Office system. The addresses are in the Appendix, See IRS Appendix A.

Notification procedure: Most of the records in this system may not be accessed for purposes of determining if the records pertain to a particular individual as the records are exempt under 5 U.S.C. 552a(d) (5) and/or (k) (2). An individual who wishes to determine whether the system contains any records pertaining to himself which are not exempt may address inquiries to the Regional Counsel of the Region in which the records are located or the Director of the Disclosure Litigation Division in the case of National Office Records. The addresses are listed in the Appendix. Inquiries must be made in accordance with the instructions appearing at 31 C.F.R Part 1, Subpart C, Appendix B.

Record access procedures: Most of the records in this system may not be accessed for purposes of inspection or for contest of content of records as the records are exempt under 5 U.S.C. 552a(d) (5) and/or (k) (2). Individuals seeking access to any record which is not exempt or seeking to contest its content, may inquire in accordance with instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Regional Counsel of the Region in which the records are located or the Director of the Disclosure Litigation Division in the case of National Office Records. The addresses are listed in the Appendix. Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: Taxpayers and their representatives, Department of Treasury personnel, Other Federal Agencies, State, local, and foreign governments, Witnesses, Informants, Parties to disputed matters of fact or law, Other persons who communicate with the Internal Revenue Service.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 90.010

System name: Digest Room Files Containing Briefs and Digests of Documents Generated Internally or by the Department of Justice Relating to the Administration of the Revenue Laws-Treasury/IRS.

System location: Administrative Services Division, Internal Revenue Service, Office of Chief Counsel, 1111 Constitution Avenue, N.W., Washington, D.C. 20224.

Categories of individuals covered by the system: Taxpayers who have sought Internal Revenue Service rulings and those whose cases are being or have been adjudicated.

Categories of records in the system: (1) Internal Control Records; (2) Briefs; (3) Legal Opinions.

Authority for maintenance of the system: 5 U.S.C. 301 and 26 U.S.C. 7801.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To Justice Department personnel for research purposes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records.

Retrievability: Records are retrievable by the name of the person to whom they apply.

Safeguards: Records are kept in a secured area. Access is limited to authorized personnel. Users of the system must show identification and sign a register each time the room is used. Background checks are made on employees.

Retention and disposal: Records are retained indefinitely and disposed of when no longer useful.

System manager(s) and address: Director, Administrative Services Division, Internal Revenue Service, Office of Chief Counsel, 1111 Constitution Avenue, Washington, D.C. 20224.

Notification procedure: Most of the records in this system may not be accessed for purposes of determining if the records pertain to a particular individual as the records are exempt under 5 U.S.C. 552a (d) (5) and/or (k) (2).

An individual who wishes to determine whether the system contains any records pertaining to himself which are not exempt may address inquiries to the Director of the Disclosure Litigation Division. The address is listed in the Appendix. Inquiries must be made in accordance with the instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B. (See IRS Appendix A.)

Record access procedures: Most of the records in this system may not be accessed for purposes of inspection or for contest of content of records as the records are exempt under 5 U.S.C. 552a (d) (5) and/or (k) (2).

Individuals seeking access to any record which is not exempt or seeking to contest its content, may inquire in accordance with instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Disclosure Litigation Division. The address is listed in the Appendix.

Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: Department of Treasury personnel; Department of Justice personnel; taxpayers and their representatives; other Federal agencies; witnesses; informants; state, local, and foreign governments; parties to disputed matters of fact and law; other persons who communicate with the Internal Revenue Service.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 90.011

System name: Employee Recruiting Files Maintained by the Administrative Services Division—Treasury/IRS.

System location: Administrative Services Division, Internal Revenue Service, Office of Chief Counsel, 1111 Constitution Avenue, N.W., Washington, D.C. 20224.

Categories of individuals covered by the system: Persons who have applied for attorney positions with the Chief Counsel's Office, both National Office and field and persons who have applied for non-attorney positions in the National Office only.

Categories of records in the system: Applicant Files and lists of eligible applicants, Internal Control Records.

Authority for maintenance of the system: 5 U.S.C. 301 and 26 U.S.C. 7801.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) To the Department of Justice for the purpose of litigating an action or seeking legal advice. (2) In the event that a system of records maintained by this agency to carry out its functions indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto. (3) To members of Congress for the purpose of answering Congressional inquiries in cases in which confidentiality has been waived by the individual. (4) To the Office of Personnel Management and Merit System Protection Board for appropriate personnel actions. (5) A record from this system of records may be disclosed to a federal, state or local agency maintaining civil, criminal or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant or other benefit. (6) A record from this system of records may be disclosed to a federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter. (7) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records.

Retrievability: Records are retrievable by the name of the person to whom they apply.

Safeguards: Access is limited to employees who have a need for such records in the course of their work. Background checks are made on employees. All facilities where records are stored have access limited to authorized personnel or individuals in the company of authorized personnel.

Retention and disposal: The applicant files and other records are destroyed when no longer useful.

System manager(s) and address: Director, Administrative Services Division, Internal Revenue Service, Office of Chief Counsel, 1111 Constitution Avenue, N.W., Washington, D.C. 20224.

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Disclosure Litigation Division. The address is listed in the Appendix, see IRS Appendix A.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Disclosure Litigation Division. The address is listed in the appendix. Information leading to the identity of a confidential sourse is exempt pursuant to 5 U.S.C. 552a(k)(5).

Contesting record procedures: See Access above.

Record source categories: Applicants, Department of Treasury Personnel, Civil Service Commission, Other Federal Agencies, State, local, and foreign governments, References, Former employers.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 90.012

System name: Internal Control Records for Chief Counsel Legal Files—Treasury/IRS.

System location: Administration Services Division, Internal Revenue Service, Office of Chief Counsel, 1111 Constitution Avenue, N.W., Washington, D.C. 20224.

Categories of individuals covered by the system: Taxpayers who file petitions, suits for refund, request for revenue rulings and those who have had other matters pending before the Office of Chief Counsel.

Categories of records in the system: (1) Docket Cards. (2) Closed Card Records. (3) Drop Cards and Charge-Out Records. (4) Federal Record Center File Binder Index.

Authority for maintenance of the system: 5 U.S.C. 301 and 26 U.S.C. 7801.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To the Department of Justice to inform them of the location of a legal case file. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records.

Retrievability: Records are retrievable by the name of the person to whom they apply.

Safeguards: Access is limited to employees who have a need for such records in the course of their work. Background checks are made on employees. All facilities where records are stored have access limited to authorized personnel or individuals in the company of authorized personnel.

Retention and disposal: Records are retained indefinitely and disposed of when no longer useful.

System manager(s) and address: Director, Administrative Services Division, Internal Revenue Service, Office of Chief Counsel, 1111 Constitution Avenue, N.W., Washington, D.C. 20224.

Notification procedure: An individual who wishes to determine whether the system contains any records pertaining to himself may address inquiries to the Director of the Disclosure Litigation Division. The address is listed in the Appendix. Inquiries must be made in accordance with the instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B.

Record access procedures: Individuals seeking access to any record or seeking to contest its content, may inquire in accordance with instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Disclosure Litigation Division. The address is listed in the Appendix.

Contesting record procedures: See Access above.

Record source categories: Department of Treasury Personnel, Taxpayers and other individuals who initiate documents submitted to the Service.

Treasury/IRS 90.013

System name: Legal case files of the Chief Counsel, Deputy Chief Counsels (General, Litigation and Technical) and their Staffs. Treasury/IRS.

System location: Office of Chief Counsel, Internal Revenue Service, 1111 Constitution Avenue, N.W., Washington, D.C. 20224.

Categories of individuals covered by the system: Persons whose cases at one time involved important issues or unusual circumstances which were brought to the attention of the above persons.

Categories of records in the system: Legal case files which include internal control records of such case files of both the persons currently holding the above positions and those who previously held such positions.

Authority for maintenance of the system: 5 U.S.C. 301 and 26 U.S.C. 7801.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) To the Department of Justice for the purpose of litigating an action or seeking legal advice. (2) In the event that a system of records maintained by this agency to carry out its functions indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto. (3) To appropriate persons when the Service is engaged in litigation or in preparation of possible litigation such as potential witnesses for the purpose of securing their testimony, courts for the purpose of proceeding with litigation, nonadversary or adversary persons and their attorneys for the purpose of proceeding with litigation or settlement of disputes, to individuals seeking information by using established discovery procedures, to federal, foreign, and state government agencies to the extent necessary to obtain records in their possession. (4) To members of Congress for the purpose of answering Congressional inquiries in cases in which confidentiality has been waived by the individual. (5) Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and any regulation promulgated thereunder). (6) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records.

Retrievability: Records pertaining to individuals are retrievable by the name of the person to whom they apply.

Safeguards: Access is limited to employees who have a need for such records in the course of their work. Background checks are made on employees. All facilities where records are stored have access limited to authorized personnel or individuals in the company of authorized personnel.

Retention and disposal: Records are kept indefinitely and disposed of when no longer useful.

System manager(s) and address: Chief Counsel, Internal Revenue Service, 1111 Constitution Avenue, N.W., Washington, D.C. 20224.

Notification procedure: Most of the records in this system may not be accessed for purposes of determining if the records pertain to a particular individual as the records are exempt under 5 U.S.C. 552a(d) (5) and/or (k) (2).

An individual who wishes to determine whether the system contains any records pertaining to himself which are not exempt may address inquiries to the Director of the Disclosure Litigation Division. The address is listed in the Appendix. Inquiries must be made in accordance with the instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B.

Record access procedures: Most of the records in this system may not be accessed for purposes of inspection or for contest of content of records as the records are exempt under 5 U.S.C. 552a(d) (5) and/or (k) (2).

Individuals seeking access to any record which is not exempt or seeking to contest its content, may inquire in accordance with instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Disclosure Litigation Division. The address is listed in the Appendix.

Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: Taxpayers and their representatives, Department of Treasury personnel, Other Federal agencies, State, local, and foreign governments, Other persons who communicate with the Internal Revenue Service.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 90.014

System name: Management Files Maintained by Administrative Services Division and the Deputy Chief Counsel (General)other than the Office of Personnel Management's Official Personnel Files—Treasury/IRS.

System location: Administrative Services Division, Internal Revenue Service, Office of Chief Counsel, 1111 Constitution Avenue, N.W., Washington, D.C. 20224. The location of the Chief Counsel Selection Board Files is: Deputy Chief Counsel (General), Internal Revenue Service, Office of Chief Counsel, 1111 Constitution Avenue, N.W., Washington, D.C. 20224.

Categories of individuals covered by the system: Current and former employees of Chief Counsel's Office, both National Office and field.

Categories of records in the system: Contains some materials which are duplicated materials contained in the Official Personnel File, or is payroll data duplicated in the master payroll records and include material such as: transfer and reassignment requests, correspondence, evaluations, personnel listings, retirement eligibility, home and office address and telephone numbers, information regarding employees' participation in training programs, resumes, information on participation in Health Maintenance Program, employees' suggestions, awards, lists of material typed by the Transcription Center according to the name of the attorney for whom it was typed, loans from Chief Counsel's Assistance Fund, records of keys and identification cards issued to employees, lists of individuals given special duties such as being a floor marshal, information on loss suffered by employees who are theft victims, list of long distance calls not made on FTS, list of bus tokens issued, list of parking permits, records of requests for use of GSA vehicles, case assignments, and financial information on employees.

Authority for maintenance of the system: 5 U.S.C. 301, 26 U.S.C. 7801, Executive Order 11222, and Executive Order 11590.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) To the Department of Justice for the purpose of litigating an action or seeking legal advice. (2) In the event that a system of records maintained by this agency to carry out its functions indicates a violation or potential violation of law, whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto. (3) To members of Congress for the purpose of answering Congressional inquiries in cases in which confidentiality has been waived by the individual. (4) To the Office of Personnel Management and Merit System Protection Board for appropriate personnel actions. (5) A record from this system of records may be disclosed to a federal, state or local agency maintaining civil, criminal or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant or other benefit. (6) A record from this system of records may be disclosed to a federal agency, in response to its request, in connection

with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter. (7) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records.

Retrievability: Records are retrievable by the name of the person to whom they apply.

Safeguards: Access is limited to employees who have a need for such records in the course of their work. Background checks are made on employees. All facilities where records are stored have access limited to authorized personnel or individuals in the company of authorized personnel.

Retention and disposal: Records are retained indefinitely and disposed of when no longer useful.

System manager(s) and address: Director, Administrative Services Division, Internal Revenue Service, Office of Chief Counsel, 1111 Constitution Avenue, N.W., Washington, D.C. 20224. The system manager of the Chief Counsel Selection Board Files is: Deputy Chief Counsel (General), Internal Revenue Service, Office of Chief Counsel, 1111 Constitution Avenue, N.W., Washington, D.C. 20224.

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Disclosure Litigation Division. The address is listed in the Appendix.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Disclosure Litigation Division. The address is listed in the Appendix. Information leading to the identity of a confidential source is exempt pursuant to 5 U.S.C. 552a (k) (5).

Contesting record procedures: See Access above.

Record source categories: Employees, Department of Treasury Personnel, Office of Personnel Management and Merit System Protection Board, Other Federal agencies, State, local, and foreign governments, References, Former employers.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 90.015

System name: Reference Records of the Library in the Office of Chief Counsel—Treasury/IRS.

System location: Administrative Services Division, Internal Revenue Service, Office of Chief Counsel, 1111 Constitution Avenue, N.W., Washington, D.C. 20224.

Categories of individuals covered by the system: (1) Taxpayers who have sought Congressional tax relief by means of a Private Bill. (2) Taxpayers involved in tax litigation. (3) IRS employees who charge out books.

Categories of records in the system: (1) Numerical and alphabetical listing of Private Relief Bill and files related to the Bill. (2) Reference cards for tax cases. (3) Charge cards and inter-library loan forms.

Authority for maintenance of the system: 5 U.S.C. 301 and 26 U.S.C. 7801.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: All information about individuals is available to the public pursuant to the Freedom of Information Act, 5 U.S.C. 552. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records.

Retrievability: Records are retrievable by the name of the individual to whom they pertain and Private Bill material can also be retrieved by H.R. or S. number.

Safeguards: Although access is limited to authorized individuals, this material would be available to any person through a Freedom of Information Act request.

Retention and disposal: Records are regularly updated. If the library materials to which the references pertain are no longer in the library collection, the reference cards are destroyed.

System manager(s) and address: Director, Administrative Services Division, Internal Revenue Service, Office of Chief Counsel, 1111 Constitution Avenue, Washington, D.C. 20224.

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Disclosure Litigation Division. The address is listed in the Appendix.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 C.F.R. Part 1, Subpart C. Appendix B. Inquiries should be addressed to the Director of the Disclosure Litigation Division. The address is listed in the Appendix.

Contesting record procedures: See Access above.

Record source categories: Material to which reference information pertains.

Treasury/IRS 90.016

System name: Reports and Information Retrieval Activity Computer and Microfilm Records—Treasury/IRS.

System location: Computer Records: Internal Revenue Service Data Center, 1300 John Lodge Freeway, Detroit, Michigan 48226. Microfilm Records: Administrative Services Division, Internal Revenue Service, Office of Chief Counsel, 1111 Constitution Avenue, N.W., Washington, D.C., 20224.

Categories of individuals covered by the system: (1) Taxpayers who initiated suits for refund in district courts or the Court of Claims. (2) Taxpayers who have filed petitions with the United States Tax Court. (3) Taxpayers who have requested rulings from the Service in those cases in which the request has been referred to the Office of Chief Counsel for a legal opinion. (4) Taxpayers who have been involved in litigation concerning the collection of taxes. (5) Taxpayers whose cases were the subject of technical advice, memoranda to the solicitor general and similar advisory memoranda.

Categories of records in the system: (1) Skeletal legal files. (2) Indexes. (3) Actions on Decisions. (4) Case Abstracts. (5) Technical Advice Replies by the Tax Court Litigation Division. (6) Miscellaneous Advisory Memoranda regarding a case usually going to the Solicitor General. (7) Data necessary for monitoring procedures to settle docketed U.S. Court Cases (Rev. Proc. 78-9).

Authority for maintenance of the system: 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (1) To the Department of Justice for the purpose of litigating an action, seeking legal advice, or for research purposes. (2) In the event that a system of records maintained by this agency to carry out its functions indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto. (3) Routine disclosure may be made to the extent provided by law or regulation (including 26 U.S.C. 6103 and any regulation promulgated thereunder). (4) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Skeletal legal files and indexes to the narrative material or microfilm excluding the General Litigation Division's Actions on Decisions are stored on magnetic tape. Actions on Decisions, Case Abstracts, Technical Advice and Advisory Memoranda are stored on microfilm. Input documents are on paper.

Retrievability: Records are retrievable by the name of the person to whom they apply.

Safeguards: Access is limited to employees who have a need for such records in the course of their work. Background checks are made on employees. All facilities where records are stored have access limited to authorized personnel or individuals in the company of authorized personnel.

Retention and disposal: Magnetic tape and microfilm retained indefinitely and disposed of when no longer useful. Input documents are retained for one year.

System manager(s) and address: Director, Administrative Services Division, Internal Revenue Service, Office of Chief Counsel, 1111 Constitution Avenue, N.W., Washington, D.C. 20224.

Notification procedure: Most of the records in this system may not be accessed for purposes of determining if the records pertain to a particular individual as the records are exempt under 5 U.S.C. 552a(d) (5) and/or (k) (2).

An individual who wishes to determine whether the system contains any records pertaining to himself which are not exempt may address inquiries to the Director of the Disclosure Litigation Division whose address is listed in the Appendix, see Appendix A.

Record access procedures: Most of the records in this system may not be accessed for purposes of inspection or for contest of content of records as the records are exempt under 5 U.S.C. 552a(d) (5) and/or (k) (2).

Individuals seeking access to any record which is not exempt or seeking to contest its content may inquire in accordance with instructions appearing at 31 C.F.R. Part 1, Subpart C, Appendix B. Inquiries should be addressed to the Director of the Disclosure Litigation Division whose address is listed in the Appendix.

Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Contesting record procedures: See Access above.

Record source categories: Taxpayers and their representatives, Department of Treasury personnel, Other Federal agencies, State, local, and foreign governments, Witnesses, Informants, Parties to disputed matters of fact or law, Other persons who communicate with the Internal Revenue Service.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/IRS 00.002

System name: Correspondence Files and Correspondence Control Files (Including inquiries about enforcement activities).

System location: National Office, National Computer Center, Data Center, Regional Offices, District Offices and Service Centers. (See Appendix A).

Categories of individuals covered by the system: (1) Initiators of correspondence. (2) Persons upon whose behalf the correspondence was initiated. (3) Persons who are subject of the correspondence. Includes individuals for whom tax liabilities exist, individuals who have made a complaint or inquiry relative to an Internal Revenue tax matter, or individuals for whom a third party is interceding relative to an Internal Revenue tax matter.

Categories of records in the system: (1) Correspondence received. (2) Responses to correspondence. (3) Associated records. This system may include tax return information and investigation records as necessary to process correspondence received. Correspondence may include letters, telegrams, memoranda of telephone calls and other forms of communication.

Authority for maintenance of the system: 5 USC 301; 26 USC 7602; 26 USC 7801, 7802.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Information contained in this system of records may be disclosed to the Department of Justice in connection with actual or potential criminal prosecution or civil litigation, and in connection with requests for legal advice. Disclosure may be made to the extent provided by law or regulation (including 26 USC 6103 and 26 CFR 404.6103 where applicable) and as necessary to report apparent violations of law to appropriate law enforcement agencies. Disclosure may be made during judicial processes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records.

Retrievability: Controlled items are generally retrievable by name; some items are not retrievable, depending upon the controls established locally. No centralized index exists.

Safeguards: Access controls will be not less than provided for by the Physical and Document Security Handbook, IRM 1(16)41.

Retention and disposal: Disposition varies in accordance with the nature of the correspondence file, but is made in accordance with the Records Disposition Handbook, IRM 1(15)59.

System manager(s) and address: Assistant Commissioners, Regional Commissioners, District Directors and Service Center Directors of Internal Revenue.

Notification procedure: Individuals seeking to determine if the system of records contains a record pertaining to themselves may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be searched, or such other official to whom the initial correspondence may have been referred. This system may contain some records which are exempt from the notification provisions of the Privacy Act, Requesters will not be advised of the existence of records exempt from the notification provisions.

Record access procedures: Individuals seeking access to any record contained in the system of records or seeking to contest its content, may inquire in accordance with instructions appearing at 31 CFR Part 1, Subpart C, Appendix B. Inquiries should be addressed to the District Director for each District whose records are to be accessed or contested, or such other official to whom the initial correspondence may have been referred.

This system may contain some records which are exempt from the Access and Contest provisions of the Privacy Act.

Taxpayers seeking to adjust records which affect the determination of a tax assessment or the balance due, should utilize existing procedures for doing so, as substantive tax matters are not subject to the amendment provisions of the Privacy Act.

Record source categories: Correspondence received. This system of records may contain investigatory material compiled for law enforcement purposes whose sources need not be reported.

Systems exempted from certain provisions of the act: Portions of this system have been designated as exempt from certain provisions of the Privacy Act.

TREASURY/IRS

APPENDIX A

Inquiries under Treasury/IRS systems should be addressed to the office whose records are to be searched. The titles and addresses of the Systems Managers are as follows:

NATIONAL OFFICE INTERNAL REVENUE SERVICE
- Assistant to the Commissioner (Equal Opportunity)
- Assistant to the Commissioner (Public Affairs)
- Assistant Commissioner (Compliance)
- Assistant Commissioner (Data Services)
- Assistant Commissioner (Employee Plans and Exempt Organizations)
- Assistant Commissioner (Inspection)
- Assistant Commissioner (Planning and Research)
- Assistant Commissioner (Resources Management)
- Assistant Commissioner (Taxpayer Services and Returns Processing)
- Assistant Commissioner (Technical)
- Director, Disclosure Operations Division
- Chief Counsel

The address for all of the above Systems Managers is as follows:
1111 Constitution Avenue, N.W.
Washington, D.C. 20224
Director, Office of International Operations
1325 K Street, N.W.
Washington, D.C. 20225

REGIONAL OFFICE INTERNAL REVENUE SERVICE

Central Region:
- Regional Commissioner, Internal Revenue Service, 550 Main Street, Cincinnati, Ohio 45202.
- Regional Inspector, Internal Revenue Service, 550 Main Street, Room 8508, Cincinnati, Ohio 45202.
- District Director, Internal Revenue Service, 550 Main Street, Cincinnati, Ohio 45202.
- District Director, Internal Revenue Service, 1240 East 9th Street, Cleveland, Ohio 44199.
- District Director, Internal Revenue Service, Rm. 2483 Patrick V. McNamara Building, 477 Michigan Avenue, Detroit, Michigan 48226.
- District Director, Internal Revenue Service, Federal Office Building, 575 N. Pennsylvania Street, Indianapolis, Indiana 46204.
- District Director, Internal Revenue Service, U.S. Post Office Building, 601 West Broadway, Louisville, Kentucky 40202.
- District Director, Internal Revenue Service, 425 Juliana Street, Parkersburg, West Virginia 26101.
- Director, Internal Revenue Service Center, Central Region, 201 W. Second St., Covington, Ky 41019.

Mid-Atlantic Region:
- Regional Commissioner, Internal Revenue Service, 2 Penn Center Plaza, Rm. 500 Philadelphia, Pennsylvania 19102.

District Director, Internal Revenue Service, Federal Office Building, 575 N. Pennsylvania Street, Indianapolis, Indiana 46204.
District Director, Internal Revenue Service, U.S. Post Office Building, 601 West Broadway, Louisville, Kentucky 40202.
District Director, Internal Revenue Service, 425 Juliana Street, Parkersburg, West Virginia 26101.
Director, Internal Revenue Service Center, Central Region, 201 W. Second St., Covington, Ky 41019.

Mid-Atlantic Region:
Regional Commissioner, Internal Revenue Service, 2 Penn Center Plaza, Rm. 500 Philadelphia, Pennsylvania 19102.
Regional Inspector, Internal Revenue Service, W. J. Green Federal Bldg. 600 Arch Street, Rm. 42284 Philadelphia, Pennsylvania 19106.
District Director, Internal Revenue Service, Federal Building, 31 Hopkins Plaza, Baltimore, Maryland 21201.
District Director, Internal Revenue Service, 970 Broad Street, Newark, New Jersey 07102.
District Director, Internal Revenue Service, 600 Arch Street, Philadelphia, Pennsylvania 19106.
District Director, Internal Revenue Service, 1000 Liberty Avenue, Pittsburgh, Pennsylvania 15222.
District Director, Internal Revenue Service, 400 North 8th Street, Richmond, Virginia 23240.
District Director, Internal Revenue Service, 844 King Street, Wilmington, Delaware 19801.
Director, Internal Revenue Service Center, Mid-Atlantic Region, 11601 Roosevelt Boulevard, Philadelphia, Pennsylvania 19155.

Midwest Region:
Regional Commissioner, Internal Revenue Service, One North Wacker Drive, Chicago, Illinois 60606.
Regional Inspector, Internal Revenue Service, Rm. 1646, 35 East Wacker Drive, Chicago, Illinois 60601.
District Director, Internal Revenue Service, 115 Fourth Avenue, S.E., Aberdeen, South Dakota 57401.
District Director, Internal Revenue Service, 230 South Dearborn Street, Chicago, Illinois 60604.
District Director, Internal Revenue Service, Federal Building, 210 Walnut Street, Des Moines, Iowa 50309.
District Director, Internal Revenue Service, Federal Building & Post Office, 653 Second Avenue, North, Fargo, North Dakota 58102.
District Director, Internal Revenue Service, Federal Building & Court House 517 East Wisconsin Avenue, Milwaukee, Wisconsin 53202.
District Director, Internal Revenue Service Federal Office Building, 106 South 15th Street, Omaha, Nebraska 68102.
District Director, Internal Revenue Service, U.S. Court and Custom House, 1114 Market Street, St. Louis, Missouri 63101.
District Director, Internal Revenue Service, Federal Building and Court House, 316 North Robert Street, St. Paul, Minnesota 55101.
District Director, Internal Revenue Service, 325 West Adams, St. Springfield, Illinois 62704.
Director, Internal Revenue Service Center, Midwest Region, 2306 East Bannister Road, Kansas City, Missouri 64170.

North-Atlantic Region:
Regional Commissioner, Internal Revenue Service, 90 Church Street, New York, New York 10007.
Regional Inspector, Internal Revenue Service, 26 Federal Plaza (14th Floor), New York, New York 10007.
District Director, Internal Revenue Service, 68 Sewell Street, Augusta, Maine 04330.
District Director, Internal Revenue Service, John F. Kennedy Federal Building, Government Center, Boston, Massachusetts 02203.
District Director, Internal Revenue Service, Leo W. O'Brien Federal Building, Clinton Avenue and North Pearl Street, Albany, New York 12207.
District Director, Internal Revenue Service, 120 Church Street Station, New York, New York 10007.
District Director, Internal Revenue Service, 35 Tillary Street, Brooklyn, New York 11201.
District Director, Internal Revenue Service, 111 W. Huron Street, Buffalo, New York 14202.
District Director, Internal Revenue Service, 11 Elmwood Avenue, Burlington, Vermont 05401.
District Director, Internal Revenue Service, 450 Main Street, Hartford, Connecticut 06115.
District Director, Internal Revenue Service, Federal Building, 80 Daniels Street, Portsmouth, New Hampshire 03801.
District Director, Internal Revenue Service, 130 Broadway, Providence, Rhode Island 02903.
Director, Internal Revenue Service Center, North-Atlantic Region, 310 Lowell Street, Andover, Massachusetts 01812.
Director, Internal Revenue Service Center, North-Atlantic Region, 1040 Waverly Avenue, Holtsville, New York 11799.

Southeast Region:
Regional Commissioner, Internal Revenue Service, 275 Peachtree Street, N.E., Atlanta, Georgia 30303.
Regional Inspector, Internal Revenue Service, 4th Floor, 221 Courtland Street, N.E., Atlanta, Georgia 30303.
District Director, Internal Revenue Service, 275 Peachtree Street, N.E., Atlanta, Georgia 30303.
District Director, Internal Revenue Service, 2121—8th Avenue, North, Rm. 1232, Birmingham, Alabama 35203.
District Director, Internal Revenue Service, 901 Sumter Street, Columbia, South Carolina 29201.
District Director, Internal Revenue Service, 320 Federal Place, Greensboro, North Carolina 27401.
District Director, Internal Revenue Service, 301 North Lamar Street, Jackson, Mississippi 39202.
District Director, Internal Revenue Service, Federal Office Building, 400 West Bay Street, Jacksonville, Florida 32202.
District Director, Internal Revenue Service, 801 Broadway, Nashville, Tennessee 37202.
Director, Internal Revenue Service Center, Southeast Region, 4800 Buford Highway, Chamblee, Georgia 30006.
Director, Internal Revenue Service Center, Southeast Region, 3131 Democrat Road, Memphis, Tennessee 38110.

Southwest Region:
Regional Commissioner, Internal Revenue Service, 7839 Churchill Way, LB-70, Dallas, Texas 75251.
Regional Inspector, Internal Revenue Service, 7839 Churchill Way, LB-81, Dallas, Texas 75251.
District Director, Internal Revenue Service, 517 Gold Avenue, S.W., Albuquerque, New Mexico 87101.
District Director, Internal Revenue Service, 300 E. 8th Street, Austin, Texas 78701.
District Director, Internal Revenue Service, 308 W. 21st Street, Cheyenne, Wyoming 82001.
District Director, Internal Revenue Service, 1100 Commerce Street, Dallas, Texas 75242.
District Director, Internal Revenue Service, 610 Arapahoe Street, Denver, Colorado 80265.
District Director, Internal Revenue Service, 700 W. Capitol, Little Rock, Arkansas 72201.
District Director, Internal Revenue Service, 500 Camp Street, New Orleans, Louisiana 70130.
District Director, Internal Revenue Service, 200 N.W. 4th Street, Oklahoma City, Oklahoma 73102.
District Director, Internal Revenue Service, 412 S. Main Street, Wichita, Kansas 67202.
Director, Internal Revenue Service Center, Southwest Region, 3651 S. Interregional Highway, Austin, Texas 78740.

Western Region:
Regional Commissioner, Internal Revenue Service, 525 Market Street, San Francisco, California 94105.
Regional Inspector, Internal Revenue Service, 160 Pine Street, Suite 500, San Francisco, California 94111.
District Director, Internal Revenue Service, 310 K Street, Anchorage, Alaska 99501.
District Director, Internal Revenue Service, 550 West Fort Street, Box 041, Boise, Idaho 83724.
District Director, Internal Revenue Service, Federal Building, 316 North Park, Helena, Montana 59601.
District Director, Internal Revenue Service, P.J.K.K. Federal Bldg., 300 Ala Moana, Honolulu, Hawaii 96850.
District Director, Internal Revenue Service, 300 N. Los Angeles Street, Los Angeles, California 90012.
District Director, Internal Revenue Service, 2120 N. Central Avenue, Phoenix, Arizona 85004.
District Director, Internal Revenue Service, 1220 S.W. 3rd Ave., Portland, Oregon 97204.
District Director, Internal Revenue Service, 300 Booth Street, Reno, Nevada 89509.
District Director, Internal Revenue Service, 465 S. 4th East, Salt Lake City, Utah 84111.
District Director, Internal Revenue Service, 450 Golden Gate Avenue, San Francisco, California 94102.
District Director, Internal Revenue Service, 915 S. Second Street, Seattle, Washington 98174.
Director, Internal Revenue Service Center, Western Region, 1160 W. 1200 South Street, Ogden, Utah 84201.

Director, Internal Revenue Service Center, Western Region, 5045 East Butler Avenue, Fresno, California 93888.

ADDRESSES OF CHIEF COUNSEL, REGIONAL COUNSEL AND DISTRICT COUNSEL OFFICES

National Office

Administrative Services Division, Office of Chief Counsel, Internal Revenue Service, 1111 Constitution Avenue, N.W., Washington, D.C. 20224.

Criminal Tax Division, Office of Chief Counsel, Internal Revenue Service, 1111 Constitution Avenue, N.W., Washington, D.C. 20224.

Disclosure Litigation Division, Office of Chief Counsel, Internal Revenue Service, 1111 Constitution Avenue, N.W., Washington, D.C. 20224.

General Legal Services Division, Office of Chief Counsel, Internal Revenue Service, 1111 Constitution Avenue, N.W., Washington, D.C. 20224.

General Litigation Division, Office of Chief Counsel, Internal Revenue Service, 1111 Constitution Avenue, N.W., Washington, D.C. 20224.

Interpretative Division, Office of Chief Counsel, Internal Revenue Service, 1111 Constitution Avenue, N.W., Washington, D.C. 20224.

Legislation and Regulations Division, Office of Chief Counsel, Internal Revenue Service, 1111 Constitution Avenue, N.W., Washington, D.C. 20224.

Employee Plans and Exempt Organizations Division, Office of Chief Counsel, Internal Revenue Service, 1111 Constitution Avenue, N.W., Washington, D.C. 20224.

Tax Litigation Division, Office of Chief Counsel, Internal Revenue Service, 1111 Constitution Avenue, N.W., Washington, D.C. 20224.

Chief Counsel, and Deputy Chief Counsels, Litigation, Technical, and General, Office of Chief Counsel, Internal Revenue Service, 1111 Constitution Avenue, N.W., Washington, D.C. 20224.

Regional Counsel Offices

North-Atlantic Region

Regional Counsel Office

Regional Counsel's Office, IRS, North-Atlantic Region, 26 Federal Plaza, 12th Floor, New York, New York 10007.

District Counsel Offices

District Counsel's Office, IRS, 26 Federal Plaza, 12th Floor, New York, New York 10007

District Counsel's Office, IRS, Manhattan Office, Leo W. O'Brien, Federal Building, Clinton Avenue & N. Pearl Streets, Albany, New York 12207

District Counsel's Office, IRS, 100 Summer Street, Room 1728, Boston, Massachusetts 02110

District Counsel's Office, IRS, Brooklyn Office, Uniondale Avenue at Hempstead Turnpike, Uniondale, New York 11553

District Counsel's Office, IRS, 304 U.S. Court House, Niagara Square, Buffalo, New York 14202

District Counsel's Office, IRS, Federal Office Building, 450 Main Street, Room 427, Hartford, Connecticut 06115

Mid-Atlantic Region

Regional Counsel Office

Regional Counsel's Office, IRS, Mid-Atlantic Region, Room 464, 2 Penn Center Plaza, Philadelphia, Pennsylvania 19102.

District Counsel Offices

District Counsel's Office, IRS, Room 10424, 600 Arch Street, Philadelphia, Pennsylvania 19102

District Counsel's Office, IRS, Room 4100, 101 W. Lombard Street, Baltimore, Maryland 21201

District Counsel's Office, IRS, P.O. Box 480, Newark, New Jersey 07101

District Counsel's Office, IRS, Office of International Operations, Room 600, 1325 K Street, NW, Washington, D.C. 20225

District Counsel's Office, IRS, P.O. Box 1407, Pittsburgh, Pennsylvania 15230

District Counsel's Office, IRS, P.O. Box 10065, Richmond, Virginia 23240

District Counsel's Office, IRS, 422 Universal Building, North, 1875 Connecticut Avenue, N.W., Washington, D.C. 20009

Southeast Region

Regional Counsel Office

Regional Counsel's Office, IRS, Southeast Region, P.O. Box 1074, Atlanta, Georgia 30301

District Counsel Offices

District Counsel's Office, IRS, P.O. Box 901, Atlanta, Georgia 30301

District Counsel's Office, IRS, Room 1624, 2121-8th Avenue North, Birmingham, Alabama 35203

District Counsel's Office, IRS, P.O. Box 21002, Greensboro, North Carolina 27420

District Counsel's Office, IRS, Box 35027, Federal Office Building, 400 West Bay Street, Jacksonville, Florida 32202District Counsel's Office, IRS, Room 1502, Federal Office Building, 51 SW First Avenue, Miami, Florida 33130

District Counsel's Office, IRS, P.O. Box 23264, Nashville, Tennessee 37202

Central Region

Regional Counsel Office

Regional Counsel's Office, IRS, P.O. Box 2059, Cincinnati, Ohio 45201

District Counsel Offices

District Counsel's Office, IRS, P.O. Box 2427, Cincinnati, Ohio 45201

District Counsel's Office, IRS, 1620 Williamson Building, 215 Euclid Avenue, Cleveland, Ohio 44114

District Counsel's Office, IRS, P.O. Box 32516, Detroit, Michigan 48232

District Counsel's Office, IRS, P.O. Box 44010, Indianapolis, Indiana 46244

District Counsel's Office, IRS, P.O. Box 898, Louisville, Kentucky 40201

Midwest Region

Regional Counsel Office

Regional Counsel's Office, IRS, 22d Floor South, Everett McKinley Dirksen Building, 219 South Dearborn St., Chicago, Illinois 60604.

District Counsel Offices

District Counsel's Office, IRS, 22d Floor South, Everett McKinley Dirksen Building, 219 South Dearborn Street, Chicago, Illinois 60604

District Counsel's Office, IRS, Room 441, Federal Building, 210 Walnut Street, Des Moines, Iowa 50309

District Counsel's Office, IRS, 2700 Federal Office Building, 911 Walnut Street, Kansas City, Missouri 64106

District Counsel's Office, IRS, Sixth Floor, Continental Plaza, 735 West Wisconsin Avenue, Milwaukee, Wisconsin 53233

District Counsel's Office, IRS, P.O. Box 1500, Downtown Station, Omaha, Nebraska 68101

District Counsel's Office, IRS, Room 720, 320 West Washington Street, Springfield, Illinois 62701

District Counsel's Office, IRS, 935 U.S. Court & Custom House, 1114 Market Street, St. Louis, Missouri 63101

District Counsel's Office, IRS, Room 572, Federal Building & U.S. Court House, 316 N. Robert St., St. Paul, Minnesota 55101

Southwest Region

Regional Counsel Office

Regional Counsel's Office, IRS, Southwest Region, 7839 Churchill Way, LB-81, Dallas, Texas 75251.

District Counsel Offices

District Counsel's Office, IRS, Room 12D27, Earle Cabell, Federal Building, U.S. Court House 1100 Commerce Street, Dallas, Texas 75242

District Counsel's Office, IRS, 20th Floor, 1050-17th Street, Denver, Colorado 80202

District Counsel's Office, IRS, Room 8102, 515 Rusk Avenue, Houston, Texas 77002

District Counsel's Office IRS, P.O. Box 30509, New Orleans, Louisiana 70190

District Counsel's Office, IRS, P.O. Box 1903, Oklahoma City, Oklahoma 73101

Western Region

Regional Counsel Office

Regional Counsel's Office, IRS, Western Region, Two Embarcadero Center, Suite 900, San Francisco, California 94111.

District Counsel Offices

District Counsel's Office, IRS, P.O. Box 2031, Main Post Office, Los Angeles, CA 90053

District Counsel's Office, IRS, 101 N. First Avenue, Suite 1474, Phoenix, AZ 85003

District Counsel's Office, IRS, 810 Crown Plaza, 1500 S.W. First Avenue, Portland, Oregon 97201

District Counsel's Office; IRS, 300 Booth Street, Room 1008, Reno, Nevada 89504

District Counsel's Office, IRS, 940 Front Street, Room 5S18, San Diego, California 92189

District Counsel's Office, IRS, Room 2710, Federal Building, 915 Second Avenue, Seattle, Washington 98174

District Counsel's Office, IRS, P.O. Box 2520, Salt Lake City, Utah 84110
Billing Code 4830-01

Treasury/USSS 00.001

System name: Administrative Operations Information System—Treasury/USSS

System location: U.S. Secret Service (Headquarters), 1800 G Street N.W., Washington, D.C. 20223. Components of the Administrative Operations Information System are geographically dispersed throughout Secret Service field offices. (See below, United States Secret Service, Appendix A, listing the addresses of Secret Service offices). U.S. Secret Service Uniformed Division, 1310 LStreet, N.W., Washington, D.C. 20500. Treasury Security Force, Main Treasury Building, Washington, D.C. 20220. Presidential Protective Division, U.S. Secret Service, Room 1 EOB 17th & Pennsylvania Avenue, N.W., Washington, D.C. 20500. Vice Presidential Protective Division, U.S. Secret Service, Executive Office Building Room 295, Washington, D.C. 20500. Dignitary Protective Division U.S. Secret Service, 1310 L Street, N.W., Washington, D.C. 20005. Protective Vehicle Division, U.S. Secret Service, 1310 L Street, N.W., Washington, D.C. 20005. Eisenhower Protective Division, U.S. Secret Service, Gettysburg, Pennsylvania 17325. Johnson Protective Division, U.S. Secret Service, Stonewall, Texas 78671. Ford Protective Division, U.S. Sec. Ser., P.O. Box 955, Rancho Mirage, Calif. 92270. Truman Protective Division, U.S. Secret Service, 224 North Delaware, Independence, Missouri 64051. Nixon Protective Division, U.S. Secret Service, U.S. Coast Guard Station, San Clemente, California 92672. Technical Security Division, Executive Office Building, Washington, D.C. 20500. Plains Security Detail, U.S. Secret Service, P.O. Box 308, Plains, GA. 31780.

Categories of individuals covered by the system: (a) Individuals who are now or were Secret Service employees; (b) Individuals, contractors, and vendors, etc., who are presently doing or previously did business with the Secret Service; (c) Claimants against the Service under the Federal Tort Claims Act and the Military Personnel and Federal Employees Claims Act.

Categories of records in the system: (a) Records containing information on issuance of Secret Service equipment and accountable government property; (b) Records containing procurement negotiations, contracts, agreements, etc., with the Secret Service; (c) Records containing information on past, present and future administrative inquiries, with individuals, contractors, vendors, etc., who have or plan to enter into contractual agreements with the Secret Service; (d) Records on vehicle accidents, injuries, fatalities; (e) Records on motor vehicle operators in the Secret Service; (f) Statement of employment and financial interests of certain Secret Service employees required under Treasury Department standards of conduct.

Authority for maintenance of the system: The authority of the Administrative Operations Information System is contained in various Executive Orders, Treasury Orders and delegations; Title 40 and 41 of the U.S. Code and other rules and regulations where applicable; 5 U.S.C. 301; 44 U.S.C. 3101.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in the Administrative Operations Information System of the U.S. Secret Service are as follows: (a) Disclosure to those officers and employees of the Secret Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in the administration of the Freedom of Information Act (5 U.S.C. 552); (c) To maintain administrative control of equipment assigned to Secret Service employees; (d) Referral of information to U.S. Treasury, GAO, OMB, GSA, Department of Justice and other Federal, state, and local governments regarding purchases, contracts, and anticipated purchases and contracts of the Secret Service; (e) To provide administrative services for the Secret Service and maintain administrative records as required by law; (f) To use in the adjudication of any claim for or against the Secret Service; (g) Referral to individuals, contractors, vendors, etc., for the purpose of inquiries relating to or confirmation of orders and purchases; (h) Records maintained in the Administrative Operations Information System indicating a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, may be referred to the appropriate agency, whether Federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto; (i) Disclosures in the course of presenting evidence to a court, magistrate or administrative tribunal and disclosures to opposing counsel in the course of discovery proceedings for the purpose of enforcing, or prosecuting, a violation or potential violation of law, whether civil, criminal or regulatory in nature and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto; (j) Disclosures to Federal, state or local agencies maintaining civil, criminal or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant or other benefit; (k) Disclosures to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter. (l) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records comprising the Administrative Operations Information System are contained in file folders located in Headquarters, 1800 G Street, N.W., Washington, D.C. 20223, and in Secret Service field offices (see United States Secret Service Appendix A).

Retrievability: The Administrative Operations Information System is indexed alphabetically by name either in a master index file system or on microfilm. Access to the physical files containing records is by class code number obtained from the alphabetized name indices.

Safeguards: (1) The file jackets and indices containing the records comprising the Administrative Operations Information System located at the U.S. Secret Service Headquarters are secured by alarms and other internal security devices in locked rooms with guards on duty on an around the clock basis. Access is available only to employees responsible for records management and operational employees who have a need for such information, each of whom holds a top secret security clearance; (2) The file jackets and the master file indices comprising the Administrative Operations Information System in Secret Service field offices are located in locked filing cabinets and in locked rooms when Secret Service employees are not on duty. Access to the system is limited to employees of the Secret Service holding top secret security clearances.

Retention and disposal: The file jackets and master indices comprising the Administrative Operations Information System are retained indefinitely. Disposal is by burning or shredding.

System manager(s) and address: Assistant Director, Office of Administration, U. S. Secret Service, 1800 G Street, N.W., Washington, D.C. 20223.

Notification procedure: Any individual who wishes to present a request as to whether this system contains records pertaining to him should address his inquiry to: Freedom of Information & Privacy Acts Officer, U.S. Secret Service, 1800 G Street, N.W., Room 908, Washington, D.C. 20223.

Record access procedures: Any individual wishing to obtain information on the procedures for gaining access to and contesting records should contact: Freedom of Information & Privacy Acts Officer, U.S. Secret Service, 1800 G Street, N.W., Room 908, Washington, D.C. 20223.

Contesting record procedures: See access above.

Record source categories: (a) Individuals who are presently or were Secret Service employees; (b) Individuals, corporations, companies, contractors, etc., previously engaged or presently engaged in business with the Secret Service; (c) Claimants.

Treasury/USSS 00.002

System name: Treasury/USSS Criminal Investigation Information System.

System location: (a) United States Secret Service, 1800 G Street, N.W., Washington, D.C. 20223. (b) Components of the Criminal Investigation Information System are geographically dispersed throughout Secret Service field offices. (See below United States Secret Service Appendix A listing the addresses of Secret Service field offices). (c) Treasury Security Force, Room 1044—Main Treasury Building, Washington, D.C.

Categories of individuals covered by the system: (a) Individuals who have been or are currently the subject of a criminal investigation by the U.S. Secret Service in connection with the performance by that agency of its authorized criminal investigative functions; (b) Individuals who are payees, registered owners or endorsers of stolen or lost obligations and other securities of the United States; (c) Individuals who are witnesses, complainants, informants, suspects, defendants, fugitives, released prisoners, correspondents, organized crime figures,

and victims of crimes who have been identified by the Secret Service in the conduct of criminal investigations or by information supplied by other law enforcement agencies, government units, and the general public.

Categories of records in the system: (a) Records containing information complied for the purpose of identifying individual criminal offenders and alleged offenders and consisting only of identifying data and notations of arrest, the nature and disposition of criminal charges, sentencing, confinement, release, and parole and probation status; (b) Records containing information compiled for the purpose of a criminal investigation, including reports of informants and investigators, and associated with an identifiable individual; (c) Records containing reports identifiable with an individual compiled at various stages of the process of enforcement of criminal laws from arrest or indictment through release from supervision; (d) Records containing investigatory material compiled for law enforcement purposes, including but not limited to, handwriting exemplars; laboratory analyses of inks and papers; handwriting analyses; petitions for the remission of forfeitures; notice of non-receipt of treasury drafts; affidavits of forged endorsements; opinions of the examiner of questioned documents; certificates by owners of U.S. registered securities concerning forged requests for payments or assignments; applications for relief on account of loss, theft, or destruction of U.S. Savings Bonds or checks; photographic reproductions of obligations and other securities of the United States; contraband items; claims against the United States for the proceeds of government checks and bonds; and reports necessary for the settlement of check and bond claims.

Authority for maintenance of the system: The criminal investigative authority of the U.S. Secret Service is contained in 18 U.S.C. 3056 and Treasury Department Orders transferring investigative and security functions to the U.S. Secret Service by virtue of the authority vested in the Secretary of the Treasury pursuant to Reorganization Plan Number 26 of 1950.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in the Criminal Investigation Information System of the U.S. Secret Service are as follows: (a) Disclosure to those officers and employees of the Secret Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in the administration of the Freedom of Information Act (5 U.S.C. 552); (c) Referral to Federal, state, and local governments, foreign and domestic, having prosecutive and civil law enforcement functions for use by attorneys, magistrates, and judges; and parole and probation authorities for the purpose of prosecuting, sentencing, and determining the parole and probation status of criminal offenders or suspected criminal offenders; (d) Referral to personnel of other Federal, state and local law enforcement agencies, foreign and domestic, for the purpose of developing information on subjects involved in Secret Service criminal investigations and assisting other law enforcement agencies in the investigation and prosecution of violations of the criminal laws which those agencies are responsible for enforcing; (e) Referral to personnel of Federal, state, and local governmental agencies, where such referral is considered reasonably necessary for the purpose of furthering Secret Service efforts to investigate the activities of and apprehend criminal offenders and suspected criminal offenders; (f) Referral to personnel of Federal, state, and local governmental agencies, foreign and domestic, where there is a showing of reasonable necessity to obtain such information to accomplish a valid law enforcement purpose; (g) Referral to employees and officials of financial and commercial business firms and to private individuals of identifying information pertaining to actual or suspected criminal offenders where such referral is considered reasonably necessary for the purpose of furthering Secret Service efforts to investigate the activities of and apprehend criminal offenders and suspected criminal offenders; (h) Records maintained in the Criminal Investigation Information System indicating a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, may be referred to the appropriate agency, whether Federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto; (i) Disclosures in the course of presenting evidence to a court, magistrate or administrative tribunal and disclosures to opposing counsel in the course of discovery proceedings for the purpose of enforcing, or prosecuting, a violation or potential violation of law, whether civil, criminal or regulatory in nature and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto; (j) Disclosures to Federal, state or local agencies maintaining civil, criminal or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant or other benefit; (k) Disclosures to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (l) Disclosures of information relating to criminal and civil proceedings to the news media in accordance with the guidelines contained in 28 C.F.R. 50.2; (m) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: All records comprising the Criminal Investigation Information System of the U.S. Secret Service are contained in file jackets located at Headquarters, U.S. Secret Service, 1800 G Street, N.W., Washington, D.C. and/or in file jackets located in Secret Service field offices geographically dispersed throughout the United States, Puerto Rico and one foreign country. (See below, United States Secret Service Appendix A.)

Components of the Criminal Investigation Information System may be located in the Office of the Treasury Security Force, Main Treasury Building, Washington, D.C. Portions of the indices and information contained in the records are programmed into a computer maintained at Headquarters, U.S. Secret Service, 1800 G Street, N.W., Washington, D.C.

Retrievability: The Criminal Investigation Information System of the U.S. Secret Service is indexed alphabetically by name at Headquarters, in the field master and computerized indices. Access to the physical files containing records is by case number obtained from the alphabetized name indices.

Safeguards: (1) The field jackets and indices containing the records comprising the Criminal Investigation Information System located at the U.S. Secret Service Headquarters are secured by alarms, and other internal security devices, in locked rooms with guards on duty on an around-the-clock basis. Access to the records is available only to employees responsible for records management and operational employees with a need to know, each of whom have top secret security clearances; (2) The file jackets and the master field indices comprising the Criminal Investigation Information System in Secret Service Field Offices and the Treasury Security Force are located in locked filing cabinets and in locked rooms when Secret Service employees are not on duty. Access to the system is limited to employees of the Secret Service and the Treasury Security Force holding top secret security clearances.

Retention and disposal: The retention schedule for records comprising the Criminal Investigation Information System is as follows: (1) All Judicial cases, 20 years; (2) Non-judicial criminal investigative cases (except non-judicial check and bond cases), 10 years; (3) Non-judicial check claim and bond forgery cases, 5 years; (4) Administrative files of an investigative nature, 5 years; (5) All other files and records the disposition of which is not otherwise specified, 5 years; (6) Investigations for other districts, 2 years; (7) Receipts for counterfeits and contraband, 2 years; (8) Investigation Control Forms, Indefinite; (9) Arrest History Forms, Indefinite; (10) Disposal of records contained in the Criminal Investigation Information System is by burning.

System manager(s) and address: Assistant Director, Office of Investigations, U.S. Secret Service, 1800 G Street, N.W., Washington, D.C. 20223.

Notification procedure: In accordance with the provisions of 5 U.S.C. 552a(j) and (k), the Director of the U.S. Secret Service proposes to exempt the Criminal Investigation Information System from compliance with the provisions of 5 U.S.C. 552a(e)(4)(G).

Record access procedures: In accordance with the provisions of 5 U.S.C. 552a(j) and (k), the Director of the U.S. Secret Service proposes to exempt the Criminal Investigation Information System from compliance with the provisions of 5 U.S.C. 552a(e)(4)(H).

Contesting record procedures: See access above.

Record source categories: In accordance with the provisions of 5 U.S.C. 552a(j) and (k) the Director of the U.S. Secret Service proposes to exempt the Criminal Investigation Information System from compliance with the provisions of 5 U.S.C. 552a(e)(4)(I).

Systems exempted from certain provisions of the act: In accordance with the provisions of 5 U.S.C. 552a(j) and (k) the Director of the U.S. Secret Service proposes to exempt the Criminal Investigation Information System from compliance with certain provisions of 5 U.S.C. 552a elsewhere in the Federal Register.

Treasury/USSS 00.003

System name: Treasury/USSS—Financial Management Information System.

System location: (a) U.S. Secret Service, 1800 G Street, N.W., Washington, D.C. 20223; (b) Components of the Financial Management Information System are geographically dispersed throughout Secret Service field offices. (See below United States Secret Service, Appendix A listing the addresses of Secret Service field offices); (c) U.S. Secret Service Uniformed Division, 1310 L Street, N.W., Room 210, Washington, D.C. 20005; (d) Treasury Security Force, Room 1044, Main Treasury Building, Washington, D.C. 20220; (e) Protection Vehicle Division, U.S. Secret Service, 1310 L Street N.W., Suite 400, Washington, D.C. 20005; (f) Presidential Protective Division, U.S. Secret Service, Room 1, E.O.B., 17th & Pennsylvania Avenue, N.W., Washington, D.C. 20500; (g) Vice Presidential Protective Division, U.S. Secret Service, Room 295, Executive Office Building, Washington, D.C. 20500; (h) Dignitary Protective Division, U.S. Secret Service, 1310 L Street, N.W., Washington, D.C. 20005; (i) Eisenhower Protective Division, U.S. Secret Service, Room 206, Post Office Building, Gettysburg, Pennsylvania 17325; (j) Johnson Protective Division, U.S. Secret Service, P.O. Box 927, Stonewall, Texas 78671; (k) Ford Protective Division, U.S. Secret Service, P.O. Box 955, Rancho Mirage, Calif. 92270; (l) Truman Protective Division, U.S. Secret Service, 224 North Delaware, Independence, Missouri 64051; (m) Nixon Protective Division, U.S. Secret Service, U.S. Coast Guard Station, San Clemente, California 92672; (n) Technical Security Division, Room 482, Executive Office Building, Washington, D.C. 20500. Plains Security Detail, U.S. Secret Service, P.O. Box 308, Plains, GA. 31780.

Categories of individuals covered by the system: (a) Individuals who are now, or were previously, Secret Service employees; (b) Individuals, contractors, vendors, etc., who are presently doing business with or previously did business with the Secret Service; (c) Individuals who are involved in or were previously involved in tort claims with the Secret Service; (d) Individuals who are or previously were involved in payments (accounts receivable) with the Secret Service; (e) Individuals who have been recipients of awards.

Categories of records in the system: (a) Records containing information compiled for the purpose of pay, travel, property damage, expenses incurred other than travel, and retirement annuities and taxes; (b) Records containing information of accounts receivable and payable, involving Secret Service employees and other persons; (c) Records containing information of tort claims dealing with Secret Service property, concerning payment and accounts receivable; (d) Records containing information on the expenditures, anticipated expenditures, and budget studies of the Secret Service; (e) Records of time and attendance of work.

Authority for maintenance of the system: The Financial Management Authority of the U.S. Secret Service is contained in various Executive Orders, Treasury Orders and delegations, Title 7 of the General Accounting Office Policy and Procedure Manual for Guidance of Federal Agencies and other rules and regulations and fiscal procedures where applicable; 5 U.S.C. 301; 44 U.S.C. 3101.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in the Financial Management Information System are as follows: (a) Referral to the Internal Revenue Service, U.S. Treasury, GAO, Civil Service and other Federal agencies dealing with the payment and collection of monies concerning Secret Service employees; (b) Referral to the Internal Revenue Service, U.S. Treasury Department, Civil Service, GAO and other Federal agencies dealing with the payment, collection and audit of monies concerning persons who have financial dealings with the Secret Service; (c) To establish a means of budget projections and studies for anticipated expenses to be incurred; to record the actual expenses claimed; to analyze any unliquidated obligations at the end of the fiscal year; (d) To establish a means of responding to questions by Secret Service employees regarding their financial dealings; (e) To establish and maintain a means of gaining statistical information needed to answer inquiries from other Federal, state and local governments and Congress; (f) To establish a reporting system to Treasury, Office of Management & Budget and the General Accounting Office of Secret Service expenditures; (g) To establish a means of payments to contractors and vendors for purchases made by Secret Service; (h) Disclosure to those officers and employees of the Secret Service and the Department of the Treasury who have a need for the records in the performance of their duties; (i) Disclosure required in the administration of the Freedom of Information Act (5 U.S.C. 552); (j) Records maintained in the Financial Management Information System indicating a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, may be referred to the appropriate agency, whether Federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto; (k) Disclosures in the course of presenting evidence to a court, magistrate or administrative tribunal and disclosures to opposing counsel in the course of discovery proceedings for the purpose of enforcing, or prosecuting, a violation or potential violation of law, whether civil, criminal or regulatory in nature and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto; (l) Disclosures to Federal, state or local agencies maintaining civil, criminal or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant or other benefit; (m) Disclosures to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (n) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: All records comprising the Financial Management Information System of the U.S. Secret Service are contained in files, microfilm and/or microfiche located at Headquarters, Washington, D.C., Secret Service field offices geographically dispersed throughout the United States, Puerto Rico, and one foreign country, (see location section of this notice and United States Secret Service Appendix A), and Secret Service Protective Divisions. Portions of the indices and information contained in the Financial Management Information System are programmed into a computer maintained at Headquarters, U.S. Secret Service, 1800 G Street, N.W., Washington, D.C.

Retrievability: The Financial Management Information System is indexed by name and/or numbers in the Headquarters office and by name only in the U.S. Secret Service field offices and protective divisions. Access to the physical files containing records is by number and/or name. Access to the information stored in the computer is also by name and/or number.

Safeguards: (1) The file jackets, indices and computer containing the record systems located at U.S. Secret Service Headquarters are secured by alarms and other internal security devices in locked rooms with guards on duty on a 24 hour basis; (2) Access to the records is available only to employees responsible for records management and operational employees who have a need for such information, each of whom hold a top secret security clearance; (3) The file jackets and/or indices comprising the Financial Management Information System in U.S. Secret Service field offices and protective divisions are located in locked filing cabinets and in locked rooms when Secret Service employees are not on duty. Access is limited to employees of the Secret Service holding top secret security clearances.

Retention and disposal: The retention schedule for records comprising the Financial Management Information System are as follows: (1) Financial Management Division automated accounting systems, Paris Field Office file and paid files are retained for six years; (2) Accounts receivable systems maintained for four years unless they are not liquidated; (3) Systems for holiday, overtime hour and other pay adjustments, enter on duty information, resignations, retirements, reassignments, etc., are held indefinitely; (4) Records on personnel actions, leave, change of station, bonds, health benefits and insurance policies, bank deposits, allotments, etc., are held for six months. Disposal of records contained in the Financial Management Information System is by burning.

System manager(s) and address: Assistant Director, Office of Administration, 1800 G Street, N.W., Washington, D.C. 20223.

Notification procedure: Any individual who wishes to present a request as to whether this system contains a record pertaining to him should address his inquiry to: Staff Assistant, Freedom of Information and Privacy Acts Officer, U.S. Secret Service, 1800 G Street, N.W., Room 908, Washington, D.C. 20223.

Record access procedures: Any individual wishing to obtain information on the procedures for gaining access to and contesting records should contact: Freedom of Information and Privacy Acts Officer, U.S. Secret Service, 1800 G Street, N.W., Room 908, Washington, D.C. 20223.

Contesting record procedures: See access above.

Record source categories: (a) Individuals who are presently or were previously Secret Service employees; (b) Individuals who are presently or were service contractors or suppliers with the Secret Service; (c) Individuals who are presently or were previously involved in tort claims with the Secret Service; (d) Individuals who are presently or were previously involved in collections and dispersement with the Secret Service; (e) Internal Revenue Service; (f) Surviving spouse of deceased personnel.

Treasury/USSS 00.004

System name: Freedom of Information Request System—Treasury/USSS.

System location: United States Secret Service, 1800 G Street, N.W., Washington, D.C. 20223.

Categories of individuals covered by the system: Individuals or organizations who have requested information under the Freedom of Information Act.

Categories of records in the system: (a) Correspondence relating to requests for information; (b) Documents relevant to appeals and law suits under the Freedom of Information Act.

Authority for maintenance of the system: The authority of the U.S. Secret Service is contained in 5 U.S.C. 552, Freedom of Information Act.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: (a) Disclosure to those officers and employees of the Secret Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in the administration of the Freedom of Information Act (5 U.S.C. 552); (c) The system is maintained to insure the efficient processing of requests made pursuant to the Freedom of Information Act; (d) Records maintained in the Freedom of Information System indicating a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, may be referred to the appropriate agency, whether Federal, state local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto; (e) Disclosures in the course of presenting evidence to a court, magistrate or administrative tribunal and disclosures to opposing counsel in the course of discovery proceedings for the purpose of enforcing, or prosecuting, a violation or potential violation of law, whether civil, criminal or regulatory in nature and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto; (f) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records comprising the Freedom of Information Request System are maintained in file jackets located at Headquarters, U.S. Secret Service, 1800 G Street, N.W., Washington, D.C. 20223.

Retrievability: The file jackets and indices containing the records comprising the Freedom of Information Request System are indexed alphabetically.

Safeguards: The file jackets and indices containing the records comprising the Freedom of Information Request System are located at U.S. Secret Service Headquarters, and are secured in locked filing cabinets in locked rooms, with guards on duty on an around-the-clock basis. Access to the records is available only to employees responsible for the management of the system and operational employees who have a need for such information, each of whom have a top secret security clearance.

Retention and disposal: All files and indices are held indefinitely. Disposal is by burning.

System manager(s) and address: Freedom of Information and Privacy Acts Officer, Office of Public Affairs, U.S. Secret Service, 1800 G Street, N.W., Room 908, Washington, D.C. 20223.

Notification procedure: Any individual who wishes to present a request as to whether this system contains records pertaining to him should address his inquiry to: Freedom of Information and Privacy Acts Officer, U.S. Secret Service, 1800 G Street, N.W., Room 908, Washington, D.C. 20223.

Record access procedures: Any individual wishing to obtain information on the procedures for gaining access to and contesting records should contact: Freedom of Information & Privacy Acts Officer, U.S. Secret Service, 1800 G Street, N.W., Room 908, Washington, D.C. 20223.

Contesting record procedures: See access above.

Record source categories: Individuals or organizations requesting information pursuant to the Freedom of Information Act.

Treasury/USSS 00.005

System name: Treasury/USSS—Legal Counsel Record System.

System location: Office of Legal Counsel, United States Secret Service, 1800 G Street, N.W.,Washington, D.C. 20223.

Categories of individuals covered by the system: (a) Individuals who have filed administrative claims involving an employee of the U.S. Secret Service; (b) Any individual who is involved in litigation against the U.S. Secret Service; (c) Individuals who have filed a petition for remission of forfeiture of equipment that was seized from them during an investigation and/or arrest by the U.S. Secret Service.

Categories of records in the system: (a) Copies of administrative claims involving employees of the U.S. Secret Service, responses and legal proceedings in those cases where litigation is involved in administrative claims; (b) Any type of legal document, including complaints, summaries, and litigation reports that are prepared for the Department of Justice at their request; (c) Petitions for remission of forfeiture of seized equipment; (d) Records concerning requests for information regarding the use of reproductions of obligations of the United States including bonds, checks, coins, coupons, currencies (U.S. and foreign), fractional notes, postage stamps (U.S. and foreign), postal money orders, and postmarks.

Authority for maintenance of the system: 18 U.S.C. 3056; 28 U.S.C. 2672 (Federal Tort Claims Act); 18 U.S.C. 471-509.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in the Legal Counsel Record System are as follows: (a) Disclosure to those officers and employees of the Secret Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in the administration of the Freedom of Information Act (5 U.S.C. 552); (c) Administrative claims may be routinely sent to the Department of Justice to the Attorneys who will represent the Secret Service in any possible litigation. The purpose of sending administrative claims to these attorneys is to assist them in preparation of litigation involving the Secret Service; (d) Legal records are routinely used in preparation for litigation proceedings against the U.S. Secret Service. Litigation reports are routinely sent to the Department of Justice at their request for assistance in preparation of the litigation proceedings. The purpose of such referals is to assist the Dept. of Justice in the preparation of litigation concerning the U.S. Secret Service; (e) All routine uses for petitions for remission of forfeiture of seized equipment are within the agency; (f) Files concerning requests for information regarding the use of reproductions of Government obligations are used in responding to general requests from the public or for requests for interpretation of legality with the Department of Justice and Postal authorities; (g) Records maintained in the Legal Counsel Record System indicating a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, may be referred to the appropriate agency, whether Federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto; (h)Disclosures in the course of presenting evidence to a court, magistrate or administrative tribunal and disclosures to opposing counsel in the course of discovery proceedings for the purpose of enforcing, or prosecuting, a violation or potential violation of law, whether civil, criminal or regulatory in nature and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto; (i) Disclosures to Federal, state or local agencies maintaining civil, criminal or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant or other benefit; (j) Disclosures to a Federal agency in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (k)For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records comprising the Legal Counsel Record System of the U.S. Secret Service are contained in file jackets located in the

Office of Legal Counsel, United States Secret Service, 1800 G Street, N.W., Washington, D.C. 20223.

Retrievability: The Legal Counsel Record System is indexed chronologically for administrative claims, petitions for remission and requests for information regarding reproductions. Access to the physical files containing litigation records is by an alphabetized listing of the case names.

Safeguards: The file jackets containing records comprising the Legal Counsel Record System located at U.S. Secret Service Headquarters, Office of Legal Counsel, are secured in a locked room with guards on duty on an around the clock basis. Access to the records is available only to employees responsible for record management and operational employees who have a need for such information, each of whom holds a top secret security clearance.

Retention and disposal: The retention schedule of records comprising the Legal Counsel Record System is as follows: (1) All judicial case records are retained for a period of 20 years; (2) Administrative claims, petitions for remission, and requests for information are retained indefinitely. Any disposal is by shredding and/or burning.

System manager(s) and address: Legal Counsel, U.S. Secret Service, 1800 G Street, N.W., Room 842, Washington, D.C. 20223.

Notification procedure: Any individual who wishes to present a request as to whether the system contains records pertaining to him should address his inquiry to: Freedom of Information and Privacy Acts Officer, U.S. Secret Service, 1800 G Street, N.W., Room 908, Washington, D.C. 20223.

Record access procedures: Any individual wishing to obtain information on the procedures for gaining access to and contesting records should contact: Freedom of Information and Privacy Acts Officer, U.S. Secret Service, 1800 G Street, N.W., Room 908, Washington, D.C. 20223.

Contesting record procedures: See access above.

Record source categories: (a) Administrative claims are filed by those individuals who believe that they have a claim against the U.S. Secret Service; (b) Individuals who are involved in legal proceedings against the U.S. Secret Service. All litigation reports are initiated by Office of Legal Counsel, U.S. Secret Service; (c) Individuals and organizations who have petitioned for remission of forfeiture of seized equipments; (d) Requests for information regarding the use of reproductions from Secret Service field offices, the general public, and from professional organizations.

Treasury/USSS 00.006

System name: Non Criminal Investigation Information System—Treasury/USSS.

System location: (a) United States Secret Service, 1800 G Street, N.W., Washington, D.C. 20223.(b) Office of Inspection, U.S. Secret Service, Edison Building, Suite 654, 1900 Pennsylvania Avenue, N.W., Washington, D.C. 20226.(c) Components of the Non-Criminal Investigation Information System are geographically dispersed throughout Secret Service field offices in the United States, Puerto Rico, and one foreign country. (See below, United States Secret Service Appendix A, listing the addresses of Secret Service field offices).

Categories of individuals covered by the system: (a) Individuals who are applicants for employment with the U.S. Secret Service and other bureaus of the Department of the Treasury;(b) Individuals who are employees of the U.S. Secret Service and other bureaus of the Department of the Treasury holding security clearances granting access to classified documents and records;(c) Individuals who have filed administrative claims with the Secret Service and other bureaus of the Department of the Treasury under the Federal Tort Claims Act or who have been involved in automobile accidents or other incidents involving employees of the Secret Service and other bureaus of the Department of the Treasury resulting in tort claims against such individuals;(d) Individuals involved in investigations required in the administration of the Government Losses in Shipment Act, the Gold Reserve Act, and the Silver Purchase Act;(e) Individuals who are employees of the Secret Service and other bureaus of the Department of the Treasury who have been accused of misconduct in the performance of their duties, or who have been the subject of a complaint involving the performance of their official functions;(f) Individuals who are the subject of investigations or supply information to investigative agents conducting special investigations relating to the performance by the Secret Service of its statutory and regulatory functions.

Categories of records in the system: (a) Record containing investigatory material compiled solely for the purpose of determining suitability, eligibility, or qualifications for Federal civilian employment or access to classified information;(b) Records containing investigatory material compiled for law enforcement purposes, including but not limited to, reports of investigators relating to claims under the Federal Tort Claims Act; Government Losses in Shipment Act; the Gold Reserve Act and the Silver Purchase Act; and employee misconduct or malfeasance;(c) Records containing reports or statement of investigators, witnesses, complainants, claimants and correspondents associated with identifiable individuals.

Authority for maintenance of the system: The non-criminal investigative authority of the U.S. Secret Service is contained in 18 U.S.C. 3056; Executive Order 10450 and Treasury Order 82 (revised January 17, 1973); and Treasury Order 173-1.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in the Non-Criminal Investigation Information System are as follows: (a) Disclosure to those officers and employees of the Secret Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in the administration of the Freedom of Information Act (5 U.S.C. 552); (c) Referral to the Department of Justice and other Federal agencies for administrative, civil, or other legal proceedings to be used by personnel officials, attorneys, administrative law officers, and judges; (d) Referral to personnel of other Federal, state and local governmental agencies, foreign and domestic, for the purpose of developing or confirming information on individuals involved in non-criminal investigations conducted by the Secret Service; (e) Referral to personnel of private institutions and to private individuals for the purpose of confirming and/or determining suitability, eligibility, or qualifications for Federal civilian employment or access to classified information; and for the purposes of furthering the efforts of the Secret Service to investigate the activities of individuals related to or involved in non-criminal civil and administrative investigations; (f) Referral to another agency or to an instrumentality of any governmental jurisdiction within or under the control of the United States for the purpose of determining suitability, eligibility, or qualifications for employment with or access to classified information in such other agency or instrumentality; (g) Records maintained in the Non-Criminal Investigation Information System indicating a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, may be referred to the appropriate agency, whether Federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto; (h) Disclosures in the course of presenting evidence to a court, magistrate or administrative tribunal and disclosures to opposing counsel in the course of discovery proceedings for the purpose of enforcing, or prosecuting, a violation or potential violation of law, whether civil, criminal or regulatory in nature and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto; (i) Disclosures to Federal, state or local agencies maintaining civil, criminal or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant or other benefit; (j) Disclosures to a Federal agency in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (k) Disclosures of information relating to civil proceedings to the news media in accordance with the guidelines contained in 28 C.F.R. 50.2; (l) for additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records comprising the Non-Criminal Investigation Information System of the U.S. Secret Service are contained in file jackets located at Headquarters, U.S. Secret Service, 1800 G Street, N.W., Washington, D.C. and/or in file jackets located in the Office of Inspection, Edison Building, 1310 L Street, N.W., Washington, D.C. and in Secret Service field offices geographically dispersed throughout the United States, Puerto Rico, and one foreign country. (See Location Section of this notice and United States Secret Service Appendix A.) Portions of these indices and information contained in the Non-Criminal Information System are programmed into a computer maintained at Headquarters, U.S. Secret Service, 1800 G Street, N.W., Washington, D.C.

Retrievability: The Non-Criminal Investigation Information System is indexed alphabetically by name in the Headquarters, Inspection, field master, and computerized indices. Access to the physical files containing records is by case number obtained from the alphabetized name indices.

Safeguards: (1) The file jackets and indices containing the records comprising the Non-Criminal Investigation Information System located at U.S. Secret Service Headquarters and the Office of Inspection are secured by alarms and other internal security devices in locked rooms with guards on duty on an around-the-clock basis. Access to the records is available only to employees responsible for record management and operational employees who have a need for such information, each of whom holds a top secret security clearance; (2) The file jackets and the master field indices comprising the Non-Criminal Investigation Information System in Secret Service field offices are located in locked filing cabinets and in locked rooms when Secret Service employees are not on duty. Access to the system is limited to employees of the Secret Service holding top secret security clearances.

Retention and disposal: The retention schedule for records comprising the Non-Criminal Investigation Information System is as follows: (1) All judicial case records are retained for a period of twenty years; (2) Applicant security and background investigation records of Secret Service employees are retained for thirty years after retirement or separation of the employee from Secret Service employment; (3) Applicant investigation records relating to employees of Bureaus of the Treasury Department other than the Secret Service, are retained for three years; (4) All other records, the disposition of which are not otherwise specified, are retained for five (5) years. Disposal: Disposal of records contained in the Non-Criminal Investigation Information System is by burning.

System manager(s) and address: Assistant Director, Office of Investigations, 1800 G Street, N.W., Washington, D.C. 20223.

Notification procedure: In accordance with the provisions of 5 U.S.C. 552 a (k), the Director of the U.S. Secret Service proposes to exempt the Non-Criminal Investigation Information System from compliance with the provisions of 5 U.S.C. 552 a (e) (4) (G).

Record access procedures: In accordance with the provisions of 5 U.S.C. 552 a (k), the Director of the U.S. Secret Service proposes to exempt the Non-Criminal Investigation Information System from compliance with the provision of 5 U.S.C. 552 a (e) (4) (H).

Contesting record procedures: See access above.

Record source categories: In accordance with the provisions of 5 U.S.C. 552 a (k), the Director of the U.S. Secret Service proposes to exempt the Non-Criminal Investigation Information System from compliance with the provisions of 5 U.S.C. 552 a (e) (4) (I).

Systems exempted from certain provisions of the act: In accordance with the provisions of 5 U.S.C. 552a(j) and (k), the Director of the U.S. Secret Service proposes to exempt the Non-Criminal Investigation Information System from compliance with certain provisions of 5 U.S.C. 552a—elsewhere in the Federal Register.

Treasury/USSS 00.007

System name: Protection Information System—Treasury/USSS.

System location: (a) United States Secret Service, 1800 G Street, N.W., Washington, D.C. 20223. (b) Presidential Protective Division, U.S. Secret Service, Room 1, E.O.B., 17th & Pennsylvania Avenue, N.W., Washington, D.C. 20500. (c) Vice Presidential Protection Division, U.S. Secret Service, Room 295, Executive Office Building, Washington, D.C. 20500. (d) Dignitary Protective Division, U.S. Secret Service, 1310 L Street, N.W., Washington, D.C. 20005. (e) Protective Vehicle Division, U.S. Secret Service, 1310 L Street, N.W., Suite 400, Washington, D.C. 20005. (f) Eisenhower Protective Division, U.S. Secret Service, Room 206, Post Office Building, Gettysburg, Pennsylvania 17325. (g) Johnson Protective Division, U.S. Secret Service, P.O. Box 927, Stonewall, Texas 78671. (h) Ford Protective Division, U.S. Secret Service, P.O. Box 955, Rancho Mirage, Calif. 92270. (i) Truman Protective Division, U.S. Secret Service, 224 North Delaware, Independence, Missouri 64051. (j) Nixon Protective Division, U.S. Secret Service, U.S. Coast Guard Station, San Clemente, California 92672. (k) U.S. Secret Service Uniformed Division, Room 210, 1310 L Street, N.W., Washington, D.C. 20005. (l) Treasury Security Force, Room 1040, Main Treasury Building, Washington, D.C. 20220. (m) Technical Security Division, Room 482, Executive Office Building, Washington, D.C. 20500. Plains Security Detail, U.S. Secret Service, P.O. Box 308, Plains, GA. 31780. (n) Components of the Protection Information System are geographically dispersed throughout Secret Service field offices. (See below, United States Secret Service Appendix A, listing the addresses of Secret Service field offices).

Categories of individuals covered by the system: (a) Individuals who have been or are currently the subject of a criminal investigation by the U.S. Secret Service or another law enforcement agency for the violation of certain criminal statutes relating to the protection of persons or the security of properties; (b) Individuals who are the subjects of investigative records and reports supplied to the Secret Service by Federal, state, and local law enforcement agencies, foreign and domestic; other governmental agencies; private institutions and individuals for evaluation by the Secret Service in connection with the performance by that agency of its authorized protective functions; (c) Individuals who are the subjects of non-criminal protective and background investigations by the Secret Service and other law enforcement agencies where the evaluation of such individuals, in accordance with criteria established by the Secret Service, indicates a need for such investigations; (d) Individuals who are granted ingress and egress to areas secured by the Secret Service, the Executive Protective Service, or to areas in close proximity to persons protected by the Secret Service, including but not limited to invitees, passholders, tradesmen, law enforcement, maintenance and service personnel; (e) Individuals who have attempted or solicited unauthorized entry into areas secured by the Secret Service; individuals who have sought an audience or contact with persons protected by the Secret Service or who have been involved in incidents or events which relate to the protective functions of the Secret Service; (f) Individuals who are witnesses, protectees, complainants, informants, suspects, defendants, fugitives, released prisoners, and correspondents who have been identified by the Secret Service or from information supplied by other law enforcement agencies, governmental units, private institutions, and members of the general public in connection with the performance by the Secret Service of its authorized protective functions.

Categories of records in the system: (a) Records containing information compiled for the purpose of identifying individual criminal offenders and alleged offenders and consisting only of identifying data and notations of arrest, the nature and disposition of criminal charges, sentencing, confinement, release, and parole and probation status; (b) Records containing information compiled for the purpose of a criminal investigation, including reports of informants and investigators, and associated with an identifiable individual; (c) Records containing reports relative to an individual compiled at various stages of the process of enforcement of certain criminal laws from arrest or indictment through release from supervision; (d) Records containing information supplied by other Federal, state, and local law enforcement agencies, foreign and domestic; other governmental agencies; private institutions and persons concerning individuals who, because of their activities, personality traits, criminal or mental history, or history of social deviancy, may be of interest to the Secret Service in connection with the performance by that agency of its protective functions; (e) Records containing information compiled for the purpose of identifying and evaluating individuals who may constitute a threat to the safety of persons or security of areas protected by the Secret Service, and/or the Executive Protective Service; (f) Records containing information relating to incidents and events, such as attempted intrusions into secured areas, or activities of mentally disturbed persons demonstrating an unusual or abnormal interest in persons protected by the Secret Service; (g) Records containing information compiled for the purpose of background investigations of individuals, including but not limited to, passholders, tradesmen, maintenance and service personnel who have access to areas secured by or who may be in close proximity to persons protected by the Secret Service and/or the Executive Protective Service; (h) Records containing correspondence, records of telephone calls, visits to and efforts to contact persons protected by the Secret Service by certain individuals who, according to criteria established by the Secret Service, are considered to be of protective interest.

Authority for maintenance of the system: The protective authority of the U.S. Secret Service is contained in 18 U.S.C. 3056 and Section 1 of Public Law 90-331. Criminal Investigations relating to protection, such as threats or unauthorized entry (18 U.S.C. 871; 18 U.S.C. 1751), are authorized by 18 U.S.C. 3056. The protective and security authority of the U.S. Secret Service Uniformed Division is contained in 3 U.S.C. 202 and of the Treasury Security Force in Treasury Orders issued by the Secretary of the Treasury pursuant to the provisions of Reorganization Plan Number 26 of 1950.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in the Protective Information System of the U.S. Secret Service are as follows: (a) Disclosures to those officers and employees of the Secret Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosures required in the administration of the Freedom of Information Act (5 U.S.C. 552); (c) Referral to the Department of

Justice and other Federal, state and local governmental agencies having a prosecutive function for the use of attorneys, magistrates, and judges; and parole and probation authorities for the purpose of prosecuting, sentencing, and determining the parole and probation status of criminal offenders or suspected criminal offenders; and for civil and other proceedings involving Secret Service and Executive Protective Service protective functions; (d) Referral to personnel of other Federal state and local law enforcement agencies, foreign and domestic, for the purpose of developing information on subjects involved in Secret Service protective investigations and evaluations and for the purpose of protective intelligence briefings of personnel of other law enforcement and governmental agencies assisting the U.S. Secret Service in the performance of its protective functions; (e) Referral to personnel of Federal, state, and local governmental agencies, foreign and domestic, where such referrals are considered reasonably necessary for the purpose of furthering Secret Service efforts to investigate the activities of those persons considered to be of protective interest; (f) Referral to personnel of Federal, state, and local law enforcement agencies and other governmental agencies, foreign and domestic, where there is a showing of a reasonable need to accomplish a valid enforcement purpose; (g) Referral to personnel of private institutions and to private individuals of identifying information pertaining to actual or suspected criminal offenders or other individuals considered to be of protective interest for the purpose of furthering Secret Service efforts to evaluate the danger such individuals pose to persons protected by that agency; (h) Records maintained in the Protection Information System indicating a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, may be referred to the appropriate agency, whether Federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto; (i) Disclosures in the course of presenting evidence to a court, magistrate or administrative tribunal and disclosures to opposing counsel in the course of discovery proceedings for the purpose of enforcing, or prosecuting, a violation or potential violation of law, whether civil, criminal or regulatory in nature and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto; (j) Disclosures to Federal, state or local agencies maintaining civil, criminal or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant or other benefit; (k) Disclosures to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter; (l) Disclosures of information relating to criminal and civil proceedings to the news media in accordance with the guidelines contained in 28 C.F.R. 50.2; (m) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records comprising the Protection Information System of the U.S. Secret Service are contained in file jackets located at Headquarters, U.S. Secret Service, 1800 G Street, N.W., Washington, D.C. or in file jackets located in the offices of various protective units of Secret Service; the Technical Security Division; and in Secret Service field offices geographically dispersed throughout the United States, Puerto Rico, and one foreign country. (see Location Section of this notice and United States Secret Service Appendix A.) Components of the Protection Information System are located in the office of the U.S. Secret Service Uniformed Division, 1310 L Street, N.W., Washington, D.C. and in the office of the Treasury Security Force, Main Treasury Building, Washington, D.C. Portions of the indices and information contained in the Protective Information System are programmed into a computer maintained at Headquarters, U.S. Secret Service, 1800 G Street, N.W., Washington, D.C.

Retrievability: The Protective Information System is indexed alphabetically by name in the Headquarters, field master, protective units and computerized indices. Access to the physical files containing records is by case number obtained from the alphabetized name indices.

Safeguards: (1) The file jackets and indices containing the records comprising the Protection Information System located at the U.S. Secret Service Headquarters, in the protective units, the U.S. Secret Service Uniformed Division, the Treasury Security Force, and the Technical Security Division of the Secret Service are secured by alarms and other internal security devices in locked rooms with guards on duty on an around-the-clock basis. Access to the records is available only to employees responsible for record management and operational employees who have a need for such information, each of whom holds a top secret security clearance. 2. The file jackets and the master field indices comprising the Protective Information System in Secret Service field offices are located in locked filing cabinets and in locked rooms when Secret Service employees are not on duty. Access to the system is limited to employees of the Secret Service holding top secret security clearances.

Retention and disposal: The retention schedule for records comprising the Protection Information System is as follows: (1) All judicial case records are retained for a period of twenty years. In cases where checkups are being made or quarterly investigations are conducted in protective intelligence cases, the retention period is computed from the date of the final checkup or quarterly investigation. In the event of a protective intelligence subject's death, the file is destroyed immediately. Otherwise the checkup and quarterly investigative files are maintained for a period of twenty years; (2) All other protective intelligence case records including protective surveys and nonjudicial protective intelligence cases are retained for a period of five years; (3) Administrative records and files on all types of physical protective activities are retained for a period of ten years; (4) Disposal of records contained in the Protective Information System is by burning.

System manager(s) and address: Assistant Director, Office of Protective Research, U.S. Secret Service, 1800 G Street, N.W., Washington, D.C. 20223.

Notification procedure: In accordance with the provisions of 5 U.S.C. 552a(j) and (k) the Director of the U.S. Secret Service proposes to exempt the Protection Information System from compliance with the provisions of 5 U.S.C. 552a(e) (4) (G).

Record access procedures: In accordance with the provisions of 5 U.S.C. 552a(j) and (k) the Director of the U.S. Secret Service proposes to exempt the Protection Information System from compliance with the provisions of 5 U.S.C. 552a(e) (4) (H).

Contesting record procedures: See access above.

Record source categories: In accordance with the provisions of 5 U.S.C. 552a(j) and (k) the Director of the U.S. Secret Service proposes to exempt the Protection Information System from compliance with the provisions of 5 U.S.C. 552a(e) (4) (I).

Systems exempted from certain provisions of the act: In accordance with the provisions of 5 U.S.C. 552a(j) and (k), the Director of the U.S. Secret Service proposes to exempt the Protection Information System from compliance with certain provisions of 5 U.S.C. 552a elsewhere in the Federal Register.

Treasury/USSS 00.008

System name: Public Affairs Record System—Treasury/USSS.

System location: U.S. Secret Service, 1800 G Street, N.W., Washington, D.C. 20223.

Categories of individuals covered by the system: (a) Persons who are private citizens who correspond requesting information relating to the Secret Service; (b) Persons who are members of the news media, authors and publishers requesting information about the Secret Service; (c) Members of Congress who make inquiries with the Secret Service; (d) Persons who are recipients of the Director's Honor Award, who have received recognition from or assisted the Secret Service.

Categories of records in the system: (a) Records of inquiries received from the general public, the communication media and the press relative to Secret Service activities; (b) Records of awards presented by the Secret Service; (c) Records of Congressional inquiries and correspondence.

Authority for maintenance of the system: 18 U.S.C. 3056 and Treasury Department Order Number 173-3, dated October 29, 1965.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in the Public Affairs Record System are as follows: (a) To maintain a record of responses made to the general public, the news media, members of Congress, and other individuals who correspond with the Service; (b) To maintain a record of awards and honors given by the Secret Service and to establish statistical information on these awards and honors; (c) Disclosure to those officers and employees of the Secret Service and the Department of the Treasury who have a need for the records in the performance of their duties; (d) Disclosure required in the administration of the Freedom of Information Act (5 U.S.C. 552). (e) Records maintained in the Public Affairs Records System indicating a viola-

tion or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, may be referred to the appropriate agency, whether Federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto; (f) Disclosures in the course of presenting evidence to a court, magistrate or administrative tribunal and disclosures to opposing counsel in the course of discovery proceedings for the purpose of enforcing, or prosecuting, a violation or potential violation of law, whether civil, criminal or regulatory in nature and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto; (g) Disclosures to Federal, state or local agencies maintaining civil, criminal or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant or other benefit; (h) Disclosures to Federal agencies, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter. (i) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records comprising the Public Affairs Record System are maintained in file folders located at U.S. Secret Service, Headquarters, 1800 G Street, N.W., Washington, D.C. 20223.

Retrievability: Records of the Public Affairs Record System are filed alphabetically and chronologically. Access is by name and/or date.

Safeguards: The files containing the Public Affairs Record System are located at U.S. Secret Service Headquarters, and are secured in locked filing cabinets in locked rooms, with guards on duty on an around-the-clock basis. Access to the records is available only to employees responsible for management of the system and operational employees who have a need for such information, each of whom holds a top secret security clearance.

Retention and disposal: Records in this system are currently maintained indefinitely.

System manager(s) and address: Assistant to the Director, Office of Public Affairs, U.S. Secret Service, 1800 G Street, N.W., Washington, D.C. 20223.

Notification procedure: Any individual who wishes to present a request as to whether this system contains records pertaining to him should address his inquiry to: Freedom of Information and Privacy Acts Officer, U.S. Secret Service, 1800 G Street, N.W., Room 908, Washington, D.C. 20223.

Record access procedures: Any individual wishing to obtain information on the procedure for gaining access to and contesting records should contact: Freedom of Information & Privacy Acts Officer, U.S. Secret Service, 1800 G Street, N.W., Room 908, Washington, D.C. 20223.

Contesting record procedures: See access above.

Record source categories: (a) The general public, the news media and members of Congress who correspond with the Service; (b) Persons who have received awards or honors from the Secret Service.

Treasury/USSS 00.009

System name: Training Information System—Treasury/USSS.

System location: (a) U.S. Secret Service, Office of Training, 1310 L Street, N.W., Washington, D.C. 20005. (b) Treasury Security Force, Main Treasury Building, 1500 Pennsylvania Avenue, N.W., Washington, D.C. 20220. (c) Beltsville Training Center, Beltsville, Maryland 20705.

Categories of individuals covered by the system: (a) Individuals who are now or were Secret Service employees, officers of the U.S. Secret Service Uniformed Division or the Treasury Security Force.

Categories of records in the system: Records containing the type and dates of training programs of the U.S. Secret Service employees, officers of the U.S. Secret Service Uniformed Division or the Treasury Security Force.

Authority for maintenance of the system: 18 U.S.C. 3056 is the statute that establishes Secret Service criminal and protective jurisdiction and training is conducted pursuant to that statute and in accordance with Treasury and Civil Service Regulations relating to training.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The routine uses of the records contained in the Training Information System are as follows: (a) Disclosure to those officers and employees of the Secret Service and the Department of the Treasury who have a need for the records in the performance of their duties; (b) Disclosure required in the administration of the Freedom of Information Act (5 U.S.C. 552); (c) The system is maintained to assist in performing administrative functions of the Office of Training and is used to prepare class directories, class rosters, program evaluation reports and statistical reports, and maintain records of performance; (d) For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records comprising the Training Information System are maintained on cards at the Secret Service Office of Training, 1310 L Street, N.W., Washington, D.C. 20005, Main Treasury Building, 1500 Pennsylvania Avenue, N.W., and Beltsville Training Center, Beltsville, Maryland.

Retrievability: Records of the Training Information System are filed alphabetically and access to the record card is by name.

Safeguards: The Training Information System record cards located at the U.S. Secret Service Office of Training are secured in locked rooms with alarms when Secret Service employees are not on duty. Access to the records is available only to employees responsible for management of the records and operational employees who have a need for such information, each of whom hold a top secret security clearance.

Retention and disposal: Records in this System are currently maintained indefinitely.

System manager(s) and address: Assistant to the Director, Office of Training, U.S. Secret Service, 1310 L Street, N.W., Washington, D.C. 20005.

Notification procedure: Any individual who wishes to present a request as to whether this system contains records pertaining to him should address his inquiry to: Freedom of Information & Privacy Acts Officer, U.S. Secret Service, 1800 G Street, N.W., Room 908, Washington, D.C. 20223.

Record access procedures: Any individual wishing to obtain information on the procedures for gaining access to and contesting records should contact: Freedom of Information & Privacy Acts Officer, U.S. Secret Service, 1800 G Street, N.W., Room 908, Washington, D.C. 20223.

Contesting record procedures: See access above.

Record source categories: (a) Individuals who are now or were Secret Service employees, officers of the U.S. Secret Service Uniformed Division and the Treasury Security Force officers; (b) Individuals from other Federal, state and local law enforcement agencies.

Appendix A—
U.S. Secret Service field offices
Federal Office Building, 517 Gold Avenue, S.W., Albuquerque, New Mexico 87103, 505—766—3336.
601 Rockwell Avenue, Cleveland, Ohio 44114, 216—522—4365.
Equitable Building, 100 Peachtree Street, N.E., Atlanta, Georgia 30301, 404—526—6111.
950 South Stadium Road, Columbia, South Carolina 29201, 803—765—5446.
Federal Office Building, 300 East 8th Street, Austin, Texas 78701, 512—397—5103.
85 Marconi Boulevard, Columbus, Ohio 43215, 614—469—7370.
Federal Office Building, 31 Hopkins Plaza, Baltimore, Maryland 20201, 301—962—2200.
1100 Commerce Street, Dallas, Texas 75202, 214—749—3461.
66 Bagby Drive, Birmingham, Alabama 35209, 205—254—1144.
1660 Lincoln Street, Denver, Colorado 80203, 303—837—3027.
575 Technology Square, Cambridge, Massachusetts 02139, 617—223—2728.
Federal Building & U.S. Courthouse, Detroit, Michigan 48226, 313—226—6400.
Federal Building, 111 West Huron Street, Buffalo, New York 14202, 716—842—3542.
El Paso National Bank Building, East Maine Drive at Mesa, El Paso, Texas 79901, 915—543—7546.

New Federal Building, 500 Quarrier Street, Charleston, West Virginia 25301, 304—343—6181.
110 Michigan Avenue, N.W., room 326, Grand Rapids, Michigan 49502, 616—456—2276.
226 Skeens Building, 4530 Park Road, Charlotte, North Carolina 28209, 704—523—9583.
300 Ala Moana Boulevard, Honolulu, Hawaii 96813, 808—546—5637.
219 S. Dearborn Street, Chicago, Illinois, 60604, 312—353—5431.
515 Rusk Street, Houston, Texas 77208, 713—226—5791.
Federal Office Building, 550 Main Street, Cincinnati, Ohio 45202, 513—684—3585.
575 N. Pennsylvania Street, Suite 211, Indianapolis, Indiana 46204, 317—269—6444.
656 North State Street, Suite 201, Jackson, Mississippi 39201, 601—969—4436.
Post Office Building, Jacksonville, Florida 32201, 904—791—2777.
546 Carondelet Street, New Orleans, Louisiana 70190, 504—589—2219.
811 Grand Avenue, Kansas City, Missouri 64142, 816—374—5022.
6 World Trade Center, New York, New York 10048, 212—466—4400.
611 Gaines Street, Little Rock, Arkansas 72201, 501—378—6241.
Federal Building, Room 400, 200 Granby Mall, Norfolk, Virginia 23510, 804—441—6736.
300 N. Los Angeles Street, Los Angeles, California 90012, 213—688—4830.
200 Northwest Fifth Street, Oklahoma City, Oklahoma 73102, 405—231—4476.
Post Office Building, Louisville, Kentucky 40201, 502—582—5171.
110 North 14th Street, Omaha, Nebraska 68102, 402—221—4671.
167 North Main Street, Memphis, Tennessee 38103, 901—521—3568.
58 bis, Rue la Boetie, American Embassy, Paris 8, France, 265—7460.
5255 N.W. 87th Avenue, Miami, Florida 33161, 305—350—5961.
600 Arch Street, Philadelphia, Pennsylvania 19106, 215—627—6800.
517 East Wisconsin Avenue, Milwaukee, Wisconsin 53202, 414—224—3587.
Room 2041, Federal Building, 230 North 1st Avenue, Phoenix, Arizona 85025, 602—261—3556.
110 South 4th Street, Minneapolis, Minnesota 55401, 612—725—2801.
1000 Liberty Avenue, Pittsburgh, Pennsylvania 15222, 412—644—3384.
107 Saint Francis Street, Mobile, Alabama 36602, 205—690—2851.
121 S.W., Salmon Street, Suite 1330, Portland, Oregon 97204, 503—221—2162.
801 Broadway, Nashville, Tennessee 37203, 615—251—5841.
Federal Building & U.S. Courthouse, Providence, Rhode Island 02901, 401—331—6456.
60 Evergreen Place, East Orange, New Jersey 07018, 201—645—2334.
400 North Eighth Street, Richmond, Virginia 23240, 804—782—2274.
150 Court Street, New Haven, Connecticut 06510, 203—865—2449.
650 Capitol Mall, Sacramento, California 95814, 916—440—2413.
1114 Market Street, St. Louis, Missouri 63101, 314—425—4238.
234 Summit Street, Toledo, Ohio 43604, 419—259—6434.
350 South Main Street, Salt Lake City, Utah 84101, 801—524—5910.
1900 Pennsylvania Avenue, N.W., Washington, D.C. 20226, 202—634—5100.
727 E. Durango, San Antonio, Texas 78206, 512—229—6175.
880 Front Street, San Diego, California 92101, 714—293—5640.
450 Golden Gate Avenue, San Francisco, California 94102, 415—556—6800.
Federal Office Building, Chalos E. Chardon Avenue, San Juan, Puerto Rico 00918, 809—753—4539.
Post Office Building, Washington & Linden Streets, Scranton, Pennsylvania 18501, 717—346—2631.
915 Second Avenue, Seattle, Washington 98174, 206—442—5495.
920 W. Riverside Avenue, Spokane, Washington 99201, 509—456—2532.
600 East Monroe Street, Springfield, Illinois 62701, 217—525—4033.
100 S. Clinton Street, U.S. Federal Building, Syracuse, New York 13201, 315—423—5338.
700 Twiggs Street, Suite 740, Tampa, Florida 33602, 813—228—2636.

Billing Code 4810-42

Treasury/Comptroller 00.004

System name: Consumer Complaint Letter File—Treasury/Comptroller.

System location: Office of the Comptroller of the Currency, 490 L'Enfant Plaza, SW, Washington, D.C. 20219. Components of this system are geographically dispersed throughout 14 regional offices. (See addresses listed in 31 CFR Sec. 1.6 Appendix J).

Categories of individuals covered by the system: Persons who file complaints against banks.

Categories of records in the system: This system contains a series of computer printouts setting forth the name, address, bank complained of, date of incoming complaint letter, attorney assigned to examine said complaint and the nature of the resolution.

Authority for maintenance of the system: 12 U.S.C. 1, 12 U.S.C. 9, 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Files are utilized for processing and following up on complaints to determine whether individual has previously filed a complaint with this Office, the date of complaint and the disposition. For additonal routine uses, see Appendix.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File cabinet.

Retrievability: Indexed alphabetically by name of complaining consumer.

Safeguards: Records are in file drawers.

Retention and disposal: The records are retained in perpetuity.

System manager(s) and address: Comptroller of the Currency, Assistant Chief Counsel, 409 L'Enfant Plaza, Washington, D.C. 20219.

Notification procedure: Individuals wishing to be notified if they are named in this system or gain access to records maintained in this system must submit a request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Indicate the location of the Comptroller of the Currency office where the record might be stored; and (4) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: Director, Public Affairs, 490 L'Enfant Plaza, SW, Washington, DC 20219.

Contesting record procedures: Submit requests to the Director, Public Affairs at the above address.

Record source categories: Persons filing complaints against national banks.

Treasury/Comptroller 00.010

System name: Federal Bureau of Investigation Report Card Index—Treasury/Comptroller.

System location: Comptroller of the Currency, Sixth Floor, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.

Categories of individuals covered by the system: Persons who have been involved in or associated with unlawful activity respecting national banking associations.

Categories of records in the system: This system consists of alphabetized indices of the names of individuals involved in or associated with unlawful activity respecting national banks and a cross reference to pertinent Federal Bureau of Investigation Reports relating thereto.

Authority for maintenance of the system: This system is established, maintained and utilized pursuant to 12 U.S.C. sections 481, 21, 1818, and 1829, 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: These records are utilized as a cross reference for the purpose of making determinations with respect to potential or existing violations of law. For additional routine uses, see Appendix.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File cabinet.

Retrievability: The records are retrieved by the name of the individual.

Safeguards: Records are maintained by the Office in files with security procedures consistent with the sensitivity of the data.

Retention and disposal: Currently there are no provisions for disposal of records contained in this system.

System manager(s) and address: Director of Enforcement Division, Law Department, Comptroller of the Currency, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.

Notification procedure: Individuals wishing to be notified if they are named in this system or gain access to records maintained in this system must submit a request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Indicate the location of the Comptroller of the Currency office where the record might be stored; and (4) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: Director, Public Affairs, 490 L'Enfant Plaza, SW, Washington, DC 20219.

Contesting record procedures: Submit requests to the Director, Public Affairs at the above address.

Systems exempted from certain provisions of the act: Criminal investigative records exempted elsewhere in the Federal Register by the Department of Justice.

Treasury/Comptroller 00.012

System name: Freedom of Information log—Treasury/Comptroller.

System location: Comptroller of the Currency, 490 L'Enfant Plaza, Washington, D.C. 20219.

Categories of individuals covered by the system: Persons making request under the Freedom of Information Act.

Categories of records in the system: List of names of persons making requests under the Freedom of Information Act.

Authority for maintenance of the system: 12 U.S.C. 1 and 12 U.S.C. 9, 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Internal control and reference regarding Freedom of Information Act, For additional routine uses, see Appendix.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File cabinet.

Retrievability: Indexed alphabetically by name.

Safeguards: Unlocked file cabinet.

Retention and disposal: Perpetuity.

System manager(s) and address: Director, Public Affairs, 490 L'Enfant Plaza, SW, Washington, DC 20219.

Notification procedure: Individuals wishing to be notified if they are named in this system or gain access to records maintained in this system must submit a request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Indicate the location of the Comptroller of the Currency office where the record might be stored; and (4) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: Director, Public Affairs, 490 L'Enfant Plaza, SW, Washington, DC 20219.

Contesting record procedures: Submit requests to the Director, Public Affairs at the above address.

Record source categories: Persons making requests under Freedom of Information Act.

Treasury/Comptroller 00.013

System name: Enforcement and Compliance Information System.

System location: Comptroller of the Currency, Sixth Floor, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.

Categories of individuals covered by the system: Individuals and entities involved in actual or suspected fraudulent activities.

Categories of records in the system: These records contain information identifying the individual, describing the (alleged) crime, giving the bank name and location, identifying the National Bank Examiner (if any), reporting the known or suspected crime(s) and giving the dollar amount involved in the known or suspected crime(s). The name of the individual is the only identifier used.

Authority for maintenance of the system: 12 U.S.C. 481, 1818, 1820.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Information in these records may be used:

a. To provide the Department of Justice with periodic reports which indicate the number, place and individual identity of outstanding potential criminal violations of the law which have been referred to that Department.

b. To provide the Enforcement and Compliance Division of the Law Department of the Comptroller of the Currency and its Regional Offices with periodic reports indicating the current status of potential criminal violations of the law which have been referred to the Department of Justice, other law enforcement agencies and state authorities for possible prosecution.

c. To provide the Comptroller of the Currency's Office with statistical information and thereby enable it to respond to general information requests from the Congress.

d. To enable the Comptroller of the Currency to determine whether proposed shareholders, bank directors, officers or other employees of existing banks, new banks and banks, the controlling ownership of which is changing, have been convicted of a criminal offense involving dishonesty or breach of trust which might endanger the bank whose stock they wish to purchase or for which they wish to work.

e. Other routine uses are set forth in Department of the Treasury Appendix: Additional Routine Uses, 43 FR 42724.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records will be maintained in general correspondence files, in card files and on computer discs.

Retrievability: All records will be indexed by bank location and bank name. In addition, records on computer discs will be indexed by name of individual, known or suspected criminal code provision violated and amount of money involved.

Safeguards: Correspondence files are stored in the Comptroller's Central File room and may only be retrieved by authorized personnel. Card files will be stored in lockable file cabinets. Computer discs will be accessible only to authorized personnel.

Retention and disposal: Records will be retained no longer than fifty years.

System manager(s) and address: Director, Enforcement and Compliance Division Law Department, Comptroller of the Currency, Administrator of National Banks, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.

Notification procedure: This system is exempt from notification requirements under 5 U.S.C. 552a(k)(2) of the Act as a criminal enforcement system.

Record access procedures: Same as Notification above.

Contesting record procedures: Same as Notification above.

Record source categories: Examinations of national banks by national bank examiners; investigations performed by attorneys in the Enforcement and Compliance Division, and notifications from the Department of Justice, other Federal law enforcement agencies, and State law enforcement authorities.

Systems exempted from certain provisions of the act: This system has been designated as exempt from certain provisions of the Privacy Act.

Treasury/Comptroller 00.014

System name: Investigation Files/Employee Reference File - Treasury/Comptroller.

System location: Comptroller of the Currency, Personnel Management Division, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.

Categories of individuals covered by the system: Employee of Comptroller of the Currency.

Categories of records in the system: Compilation of material for a determination regarding security clearance.

Authority for maintenance of the system: 12 U.S.C. 1 and 12 U.S.C. 9 / 5 U.S.C. 301.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: In the Personnel Management Division, the routine uses are associated with the employee's position. Used only by authorized security-cleared employees within the division. Investigations are used to properly grant clearances. For additional routine uses, see Appendix.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Locked steel file cabinets and a safe with combination lock.

Retrievability: Filed in alphabetical order by geographical location.

Safeguards: Combination locks are changed once a year and only security-cleared employees within the Personnel Management Division have access.

Retention and disposal: Materials are retained for one month after employee leaves and the records are disposed by shredding.

System manager(s) and address: Director of Personnel, Comptroller of the Currency, 490 L'Enfant Plaza, S.W., Washington, DC 20219.

Notification procedure: Individuals wishing to be notified if they are named in this system or gain access to records maintained in this system must submit a request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Indicate the location of the Comptroller of the Currency office where the record might be stored; and (4) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: Director, Public Affairs, 490 L'Enfant Plaza, SW, Washington, DC 20219.

Contesting record procedures: Submit requests to the Director, Public Affairs at the above address.

Systems exempted from certain provisions of the act: Portions of this system are exempted elsewhere in the Federal Register due to the investigative nature of the record.

Treasury/Comptroller 00.221

System name: Treasury/Comptroller—Professional Qualifications Records for Municipal Securities Principals and Municipal Securities Representatives.

System location: Office of the Comptroller of the Currency, 490 L'Enfant Plaza East SW., Washington, D.C. 20219. Records stored in computerized files are maintained off-premises at the National Association of Securities Dealers, 1735 "K" Street NW., Washington, D.C. 20006.

Categories of individuals covered by the system: Persons who are or seek to be associated with a municipal securities dealer which is a national or District of Columbia bank, or a department, division, or subsidiary of any such bank, in the capacity of municipal securities principals or municipal securities representatives.

Categories of records in the system: These records contain identifying information, detailed educational and occupational histories, certain professional qualifications examination information, disciplinary histories, and information concerning the termination of employment of individuals covered by the system. Identifying information includes names, address history, date and place of birth, and may include social security number.

Authority for maintenance of the system: Section 15B(c) (5), 17 and 23 of the Securities Exchange Act of 1934 (15 U.S.C. 78o-4(c) (5), 78q and 78w) and the general authority of the National Banking Laws, 12 U.S.C. 1 et seq.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The information contained in these records may be subject to the following uses:

(a) Referral to the appropriate governmental authority, whether Federal, State, local, or foreign, or to the appropriate self-regulatory organization, of such information as may indicate a violation or potential violation of law, regulation or rule.

(b) Referral to the appropriate court, magistrate, or administrative law judge of such information as may be relevant to proceedings before any such court or judicial officer.

(c) Disclosure of such information as may aid in the resolution of any action or proceeding:

(1) In which the Federal securities or banking laws are at issue;

(2) In which the propriety of any disclosure of information contained in the system is at issue; or

(3) To which the Comptroller of the Currency or a past or present member of its staff is a party or otherwise involved in an official capacity.

(d) Disclosure to a Federal, State, local, or foreign governmental authority, or to a self-regulatory organization, of such information as may be necessary to obtain from such authority or organization additional information concerning the qualifications of an individual covered by the system.

(e) Disclosure of such information as may be necessary to respond to a request from a Federal, State, local, or foreign governmental authority, or from a self-regulatory organization, for information needed in connection with the issuance of a license, granting of a benefit, or similar action by such authority or organization affecting an individual covered by the system.

(f) Disclosure of such information as may be necessary to respond to any Congressional inquiry undertaken at the request of an individual covered by the system.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in file folders and on computer memory discs.

Retrievability: Records are indexed by name of individual.

Safeguards: File folders are stored in lockable metal cabinets and computer memory discs are accessed only by authorized personnel.

Retention and disposal: Records may be maintained indefinitely. Disposal is by normal methods.

System manager(s) and address: Deputy Comptroller for Banking Operations, Comptroller of the Currency, 490 L'Enfant Plaza East SW., Washington, D.C. 20219.

Notification procedure: Inquiries, including name and date and place of birth, should be addressed to the System Manager. Inquirers may be required to include a notarized statement attesting to identity.

Record access procedures: Same as notification procedure.

Contesting record procedures: Same as notification procedure.

Record source categories: Those individuals and municipal securities dealers described in the section entitled Categories of Individuals Covered by the System provide the bulk of the information in the system. Additional input is provided by Federal, State, local, and foreign governmental authorities, and by self-regulatory organizations, which regulate the securities industry.

Treasury/CC 300

System name: Administrative Personnel System

System location: Office of the Comptroller of the Currency, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219. Components of this system are geographically dispersed throughout 14 regional offices. (See addresses listed in 31 CFR Sec. 1.6 Appendix j.)

Categories of records in the system: Employment related administrative records. This system contains in house control type information about employees. The type of records found within this system are: list of individuals who are issued drivers' licenses, building passes, credit or identification cards, parking permits, accountable property and travel documents; lists of individuals who perform specialized duties (building wardens, relocation teams, safety officers, and civil defense officers); reports about individuals who are involved in accidents while employed.

Authority for maintenance of the system: General authority—12 U.S.C. 1 and 9, 5 U.S.C. 301. Specific authority is derived from a number of laws, regulations and Executive Orders. Individuals wishing to obtain more detailed information should write to the Director, Public Affairs, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: A record in this system may be disclosed as a routine use to: (1) the Civil Service Commission (CSC) for the purpose of complying with regulations issued by the CSC; (2) the General Services Administration (GSA) for the purpose of complying with regulations issued by the GSA; and (3) the Department of Labor for the purpose of investigating claims for work related injuries. (See Appendix for additional routine uses.)

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders, magnetic tape, punch cards, micro-film lists and forms.

Retrievability: Records are retrieved primarily by name (filed alphabetically by category of records); secondary identifiers are used to assure accuracy (date of birth, social security number or employee identification number).

Safeguards: Records are stored in lockable cabinets or secure rooms; access is limited to officials who have a need for the information; employees are trained to make only authorized disclosures.

Retention and disposal: Personnel type records are retained for 30 days after termination. All other records are retained and destroyed in accordance with published disposition and retention schedules. Individuals wishing more detailed information should write to the Director, Public Affairs, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.

System manager(s) and address: Deputy Comptroller for Administration, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Indicate the location of the Comptroller of the Currency office where last employed; (4) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information.

Record access procedures: Director, Public Affairs, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.

Contesting record procedures: Submit requests to the Director, Public Affairs at the above address.

Record source categories: The information in these records was provided by or verified by the subject of the record, employers and co-workers.

Treasury/CC 310

System name: Fiscal Personnel System

System location: Office of the Comptroller of the Currency, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219. Components of this system are geographically dispersed throughout 14 regional offices. (See addresses listed in 31 CFR Sec. 1.6 Appendix j.)

Categories of individuals covered by the system: Present and past employees.

Categories of records in the system: This system is typically identified as payroll or disbursement type records and contains information about employees. The types of records found within this system are: award, allowance, salary, and fund advancement justification and disbursement records; payroll deductions for taxes, life and health insurance, financial institutions, retirement funds and charitable groups.

Authority for maintenance of the system: General authority—12 U.S.C. 1 and 9, 5 U.S.C. 301. Specific authority is derived from a number of laws, regulations and Executive Orders. Individuals wishing to obtain more detailed information should write to the Director, Public Affairs, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: A record in this system may be disclosed as a routine use to: (1) the Civil Service Commission (CSC) for the purpose of complying with regulations issued by the CSC; (2) the General Services Administration (GSA) for the purpose of complying with regulations issued by GSA; (3) the General Accounting Office for the purpose of conducting audits; and (4) to entities designated to receive payroll deductions. (See Appendix for additional routine uses.)

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders, magnetic tape and reports. Disbursement records are stored at the Federal Records Center.

Retrievability: Records are retrieved primarily by name (filed alphabetically), secondary identifiers are used to assure accuracy (date of birth, social security number, or employee identification number).

Safeguards: Records are stored in lockable cabinets or secure rooms; access is limited to officials who have a need for the information; employees are trained to make only authorized disclosures.

Retention and disposal: Personnel type records are retained for 30 days after termination. Disbursement records are retained indefinitely. Individuals wishing more detailed information should write to the Director, Public Affairs, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.

System manager(s) and address: Deputy Comptroller for Administration, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.

Notification procedure: Individuals wishing to be notified if they are named in this system or gain access to records maintained in this system must submit a request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Indicate the location of the Comptroller of the Currency office where last employed; and (4) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: Director, Public Affairs, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.

Contesting record procedures: Submit request to the Director, Public Affairs at the above address.

Record source categories: The information contained in these records was provided by or verified by the subject of the record, employers and co-workers.

Treasury/CC 320

System name: General Personnel System

System location: Office of the Comptroller of the Currency, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219. Components of this system are geographically dispersed throughout 14 regional offices. (See addresses listed in 31 CFR. Sec. 1.6 Appendix j.)

Categories of individuals covered by the system: Present and past employees and applicants for employment.

Categories of records in the system: Employment related biographical and performance material. This category of records is typically identified as personnel type records and contains data about employees including applicants. The type records found within this category include: work evaluations; educational, employment, medical and military histories; locator and emergency contact information; dependent and beneficiary information; physical and qualification descriptions; financial interest statements; personal and family assets, liabilities and other interests in business enterprises.

Authority for maintenance of the system: General authority—12 U.S.C. 1 and 9, 5 U.S.C. 301. Specific authority is derived from a number of laws, regulations and Executive Orders. Individuals wishing to obtain more detailed information should write to the Director, Public Affairs, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: A record in this system may be disclosed as a routine use to (1) the Civil Service Commission (CSC) for the purpose of complying with regulations issued by the CSC; (2) the Veterans Administration for the purposes of determining veterans preference and pension benefits; (3) the Equal Employment Opportunity Commission for the purpose of providing minority information and case reports; (4) respond to requests from labor organizations for names of employees and identifying information; (5) the Department of Labor for the purpose of reporting health and safety matters; (6) the General Services Administration (GSA) for the purpose of complying with regulations issued by GSA. (See Appendix for additional routine uses.)

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders, magnetic tape, punch cards, micro-film lists and forms.

Retrievability: Records are retrieved primarily by name (filed alphabetically by category of records). Secondary identifiers are used to assure accuracy (date of birth, social security number or employee identification number).

Safeguards: Records are stored in lockable cabinets or secure rooms; access is limited to officials who have a need for the information; employees are trained to make only authorized disclosures.

Retention and disposal: Most personnel-type records are retained for 30 days after termination, at which time they are transferred to the Civil Service Commission or destroyed. Some records are retained for varying periods of time. Individuals wishing more detailed information should write to the Director, Public Affairs, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.

System manager(s) and address: Deputy Comptroller for Administration, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.

Notification procedure: Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Indicate the location of the Comptroller of the Currency office where last employed or where application for employment was made; (4) Provide at least two secondary identifications (date of birth, employee identification number, dates of employment or similar information).

Record access procedures: Director, Public Affairs, 490 L'Enfant Plaza, S.W., Washington, D.C. 20219.

Contesting record procedures: Submit requests to the Director, Public Affairs at the above address.

Record source categories: The information contained in these records was provided or verified by the subject of the record, employers, co-workers, friends, neighbors and associates listed as references, financial and educational institutions.

Billing Code 4810-41

Treasury/USSBD 00.001

System name: Savings Bonds Employee Records System—Treasury/USSBD.

System location: Savings Bonds Division, Department of the Treasury, 1111 20th Street, N.W., Washington, D.C. 20226.

Categories of individuals covered by the system: Employees of USSBD.

Categories of records in the system: Appeal and Grievance Records, Applicant Supply Files, Case Actions, Employee Earning Statements, Employee Financial Statements, Equal Employment Opportunity Files, Incentive Awards and Reports, Itineraries, Locator Cards, Medical Records, Merit Promotion, Motor Vehicle Records, Payroll, Performance Evaluation, Personal Investigation, Position Control, Reduction in Force File, Register of Separation and Transfer, Retire-

ment Cards, Time and Attendance Records, Tort Claims, Training Records and Reports, Travel Vouchers.

Authority for maintenance of the system: Treasury Department Order No. 13 established the Division of Savings Bonds under the office of the Fiscal Assistant Secretary, April 1941.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The Personnel Office of the Savings Bonds Division, Department of the Treasury, maintains in its system of records detailed information pertaining to each of the specific categories listed in Categories of Individuals. Routine use of the various files enables Personnel to have ready access to applicants for hiring purposes, placement and to aid in assessing the need for employee training and promotion. Necessary information about an individual employee is also provided to other Federal Personnel Offices when a Division employee is under consideration for a position in another Federal Agency. Also, other records carry accrual information that permits annual reviews on an employee's performance. All information is used solely for the purpose for which it was collected which serves as a general internal source bank. The Budget and Fiscal section of the Savings Bonds Division maintains in its record system data pertaining to each of the identifiable categories listed in Categories of Individuals. This information permits the Section to make employee payroll reconciliations, budget forecasts, check and bond issuance verifications, internal audits, prepare earning statements and document payments of employee travel claims. Disclosures may be made to a Congressional Office from the record of an individual in response to an inquiry from the Congressional Office made at the request of that individual. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: All records in Personnel, with the exception of card files, are stored in metal correspondence files, indexed by individual names and numbers. Files are maintained in separate offices according to the nature or content of data. Files are accessible to Personnel employees and are locked at the close of each day. Records pertaining to personal historical data are maintained for two years and are then sent to the Federal Records Center. The records of a less important nature are destroyed after the two-year period. Storage of financial information is maintained on computer printouts supplied by Bureau of the Mint. Time and attendance reports and travel vouchers of employees are stored in separate files and are accessible by name and social security number. All payroll and time and attendance records are retained between audit periods (normally two years) and then destroyed by shredding. Travel vouchers are maintained on file for three years after audit and then sent to the Federal Records Center.

System manager(s) and address: Director of Administration, Department of the Treasury, Savings Bonds Division, 1111 20th Street, N.W., Washington, D.C. 20226.

Notification procedure: Records that are housed by the Savings Bonds Division are not of a nature that would warrant strict guidelines for accessibility. An individual may request access to his record or any information pertaining to him by merely notifying the office or officer in charge. The individual, however, must be under the supervision of a Savings Bonds officer during the entire time he has this information in his possession.

Record access procedures: See System Manager and Notification above.

Contesting record procedures: See System manager and Notification above.

Record source categories: Data accumulated in the record system at Savings Bonds are gathered from the individual and/or from sources directly connected with the employee's appointment.

Systems exempted from certain provisions of the act: Portion of this system are exempted elsewhere in the Federal Register.

Treasury/USSBD 00.002

System name: Savings Bonds Sales Promotion/Volunteer Record System—Treasury/USSBD.

System location: Savings Bonds Division, Department of the Treasury, 1111 20th Street, N.W., Washington, D.C. 20226; and/or Regional and Branch Offices.

Categories of individuals covered by the system: Bond Tellers, Campaign Managers, Campaign Nominees, Committee Volunteer lists and Contacts, General Correspondence, Geographical Chairmen, Governmental Biographical Sketches, Honorary Chairmen, Individual Volunteer Lists, Mailing Lists—General and Labor, Major Corporation Executives, National Panel of Public Relations.

Categories of records in the system: Administrative lists and related detail.

Authority for maintenance of the system: Treasury Department Order No. 13 established the Division of Savings Bonds under the office of the Fiscal Assistant Secretary, April 1941.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The Sales Office of the Savings Bonds Division, Department of the Treasury, maintains a nation-wide volunteer sales force that promotes the sale of Savings Bonds. The listing in Category of Individuals describes the type of data that supports this effort. This information provides a means by which the Sales Division may review plans and follow progress of individuals in their sale and promotion of Savings Bonds, supplies, volunteer lists and bios for recruiting, appointing and retiring volunteer leaders. Lists also provide a means of supplying volunteers with Savings Bonds program materials and information, invitations to meetings, special bulletins, letters of progress, sales reports and newsletters. Lists are available only for Savings Bonds purposes. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Mailing lists are accessible to employees and stored on addressograph plates. Other correspondence and materials are filed in the regular correspondence file cabinets and permanently retained.

System manager(s) and address: Deputy National Director, Department of the Treasury, Savings Bonds Division, 1111 20th Street, N.W., Washington, D.C. 20226.

Notification procedure: Records that are housed by the Savings Bonds Division are not of a nature that would warrant strict guidelines for accessibility. An individual may request access to his record or any information pertaining to him by merely notifying the office or officer in charge. The individual, however, must be under the supervision of a Savings Bonds officer during the entire time he has this information in his possession.

Record access procedures: See System Manager and Notification above.

Contesting record procedures: See System Manager and Notification above.

Record source categories: Data accumulated in the record system at Savings Bonds are gathered from the individual and/or from sources directly connected with the volunteer's appointment.

Treasury/USSBD 00.003

System name: Savings Bonds Sales Record System—Treasury/USSBD.

System location: Savings Bonds Division, Department of the Treasury, 1111 20th Street, N.W., Washington, D.C. 20226; and/or Regional Offices.

Categories of individuals covered by the system: Blue Ribbon Target Cards, Blue Ribbon Target Status Control Sheets, Field Call Reports, Itineraries, Net Saver Reports, Payroll Savings Reporting Procedures Forms, Progress Summaries, Time and Attendance Records, Training Reports, Work Plans, State—County Chairmen, State and Volunteer Fund Lists, "Take stock in America" Records, Telephone calls, Volunteer Bio's.

Categories of records in the system: Routine lists and administrative details associated with sales.

Authority for maintenance of the system: Treasury Department Order No. 13 established the Division of Savings Bonds under the office of the Fiscal Assistant Secretary, April 1941.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The Sales unit of the Savings Bonds Division houses records of individual sales plans, program plans and regional reports for the purpose of coordinating plans for smoother operation of field work. Final plans are disseminated to field and/or volunteer offices for implementation. For additional routine uses see Appendix AA.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Records of this nature are filed in large notebooks and are indexed according to individual names, regions, areas and states. Notebooks are placed on shelves and do not warrant tight security. High priority records are kept for long periods as references, others are destroyed after a two year period.

System manager(s) and address: Director of Sales, Department of the Treasury, Savings Bonds Division, 1111 20th Street, N.W., Washington, D.C. 20226.

Notification procedure: Records that are housed by the Savings Bonds Division are not of a nature that would warrant strict guidelines for accessibility. An individual may request access to his record or any information pertaining to him by merely notifying the office or officer in charge. The individual, however, must be under the

supervision of a Savings Bonds officer during the entire time he has this information in his possession.

Record access procedures: See Notification and System Manager.

Contesting record procedures: See Notification and System Manager.

Record source categories: Data accumulated in the sales record system at Savings Bonds are gathered from the individual and/or from sources directly connected with the employee's appointment.

DEPARTMENT OF THE TREASURY

Appendix AA

Additional Routine Uses

In the event that a system of records maintained by this agency to carry out its functions indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether federal, state, local or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto.

A record maintained by this agency to carry out its functions may be disclosed as a "routine use" to a federal, state or local agency maintaining civil, criminal or other relevant enforcement information or other pertinent information, such as current licenses, if necessary to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of license, grant or other benefit, provided that disclosure is compatible with the purpose for which such record was collected.

A record maintained by this agency to carry out its functions may be disclosed as a routine use to a federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter, provided that disclosure is compatible with the purpose for which such record was collected.

A record maintained by this agency to carry out its functions may be disclosed in response to a court subpoena, to appropriate parties engaged in litigation or in preparation of possible litigation such as potential witnesses for the purpose of securing their testimony when necessary to courts, magistrates or administrative tribunals, to parties and their attorneys for the purpose of proceeding with litigation or settlement of disputes, to individuals seeking information by using established discovery procedures, whether in connection with civil, criminal, or regulatory proceedings.

A record maintained by this agency to carry out its functions which relates to civil and criminal proceedings may be disclosed to the news media in accordance with guidelines contained in 28 C.F.R. 50.2.

A record maintained by this agency to carry out its functions may be disclosed to foreign governments in accordance with formal or informal international agreements.

A record maintained by this agency to carry out its functions may be disclosed to unions recognized as exclusive bargaining representatives under the Civil Service Reform Act of 1978, 5 U.S.C. 7111 and 7114.

A record maintained by this agency to carry out its functions may be disclosed to third parties during the course of an investigation to the extent necessary to obtain information pertinent to the investigation.

A record maintained by this agency to carry out its functions may be disclosed to a congressional office in response to an inquiry from the congressional office made at the request of the individual to whom the record pertains.

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federal register

Friday
March 21, 1980

Part VI

Department of Labor

Employment and Training Administration

CETA Prime Sponsors; Intent To Reallocate Funds

DEPARTMENT OF LABOR

Employment and Training Administration

CETA Prime Sponsors; Intent To Reallocate Funds

AGENCY: Employment and Training Administration, Labor.

ACTION: Thirty-Day Notice of Intent to Reallocate Funds Under Titles II–D and VI of the Comprehensive Employment and Training Act (CETA).

SUMMARY: Pursuant to 20 CFR 676.47, the Department of Labor announces its intent to reallocate funds in the following amounts from the CETA prime sponsors indicated below. The purpose of this notice is to provide 30 days notice to all interested parties of the Department's intent to reallocate these funds.

EFFECTIVE DATE: March 21, 1980.

FOR FURTHER INFORMATION CONTACT: Robert Anderson, Administrator, Office of Comprehensive Employment Development, 601 D Street, NW., Room 5010, Washington, D.C. 20213, Telephone: 202–376–6254.

SUPPLEMENTARY INFORMATION: A review of the following prime sponsors' actual Titles II–D and VI operations, compared to their Fiscal Year 1980 planned operations as of February 29, 1980, indicates that these prime sponsors are substantially below plan and are not effectively utilizing the funds available to them. Therefore, the Department is notifying these prime sponsors that it intends to reallocate excess funds which have accumulated due to the underperformance.

The estimated amount to be reallocated from each prime sponsor is indicated below. The prime sponsor will be given 30 days to reply to the notice of intent to reallocate and to correct identified deficiencies. The Governor of the appropriate States will also be given 30 days in which to comment on the proposed reallocations.

Signed at Washington, D.C., this 13th day of March 1980.

Charles B. Knapp,

Deputy Assistant Secretary for Employment and Training.

Prime sponsors	Title II–D	Title VI
Region I		
Bridgeport Consortium, Conn	0	$27,000
Cumberland County, Maine	$34,000	40,000
York County, Maine	0	31,000
Boston City, Mass	0	119,000
Hillsborough County, N.H	0	71,000
Region II		
Niagara County, N.Y	0	51,991
Buffalo City, N.Y	68,595	0
Erie County, N.Y	36,511	122,436
Onondaga County, N.Y	43,962	44,471
Rochester City, N.Y	146,733	0
Westchester County, N.Y	95,004	137,004
Balance-of-State, N.Y	0	289,045
Middlesex County, N.J	48,117	113,098
Ocean County, N.J	32,308	34,971
Balance-of-State, N.J	39,368	51,960
Essex County, N.J	87,894	126,368
Hudson County, N.J	0	26,062
Monmouth County, N.J	0	57,276
Passaic County, N.J	0	51,960
Region IIII		
Southern Alleghenies Cart., Pa	46,689	106,971
Balance-of-State, Pa	83,922	212,169
Bucks County, Pa	64,893	53,520
Berks County, Pa	36,952	0
District of Columbia	218,784	200,976
Prince George's County, Md	33,660	53,856
Baltimore County, Md	69,615	0
Balance-of-State, Del	50,925	0
Region IV		
Mobile Consortium, Ala	132,800	140,000
Balance-of-State, Fla	231,990	603,174
Broward Consortium, Fla	100,640	0
Escambia County, Fla	35,241	49,036
North East Florida Consortium	33,314	49,262
Manatee County, Fla	26,840	0
Palm Beach County, Fla	139,194	168,720
Pasco County, Fla	0	68,191
St. Petersburg, Consortium, Fla	79,439	0
Balance-of-State, Ga	334,375	0
Central Savannah Consortium, Ga	261,204	30,740
Atlanta City, Ga	220,416	0
Cobb County, Ga	42,746	33,522
Columbus Area Consortium, Ga	0	97,154
Mid-Georgia Consortium	25,998	0
Savannah/Chatham Csrt., Ga	0	66,548
Gwinnett County, Ga	31,980	0
Blue Grass Consortium, Ky	0	372,400
Louisville/Jefferson Csrt., Ky	921,696	0
Kenton County, Ky	158,470	0
Jackson Consortium, Miss	0	85,500
Harrison County Csrt., Miss	61,335	54,320
Balance-of-State, N.C	0	267,750
Charlotte City, N.C	86,048	74,192
Robeson County, N.C	151,762	0
Davidson County, N.C	31,146	35,518
Balance-of-State, Tenn	0	498,300
Knoxville Consortium, Tenn	0	34,374
Region V		
Cook County, Ill	480,000	0
DuPage County, Ill	245,000	0
Tazewell County, Ill	87,000	0
Kane County, Ill	199,000	61,000
Shawnee County, Ill	74,000	0
St. Clair County, Ill	82,000	0
Chicago City, Ill	0	2,003,000
Lake County, Ill	0	248,000
Tippecanoe County, Ind	51,000	41,000
LaPorte County, Ind	68,000	151,000
Indianapolis City, Ind	0	247,000
Fort Wayne City, Ind	0	136,000
Balance-of-State, Mich	1,029,000	3,347,000
Bay County, Mich	191,300	153,000
Macomb County, Mich	684,000	359,000
Monroe County, Mich	90,000	0
Ottawa Coutny, Mich	110,000	149,000
Saginaw County, Mich	306,000	0
Kalamazoo County, Mich	0	74,000
Dearborn City, Mich	0	88,000
Muskegon County, Mich	0	284,000
Grand Rapids Csrt., Mich	0	571,000
Hennepin County, Minn	0	310,000
Minneapolis City, Minn	0	129,000
Columbiana County, Ohio	0	128,000
Trumbull County, Ohio	0	511,000
Canton Consortium, Ohio	0	452,000
Clark County, Ohio	0	52,000
Mahoning County, Ohio	0	129,000
Youngstown City, Ohio	247,000	584,000
Cuychoga Consortium, Ohio	247,000	551,000
Cincinnati City, Ohio	876,000	194,000
Lorain County, Ohio	26,000	181,000
Allen County, Ohio	0	148,000
Portage County, Ohio	0	35,000
Lake County, Ohio	83,000	64,000
Hamilton County, Ohio	224,000	0
Rock County, Wis	73,000	24,000
Marathon County, Wis	35,000	7,000
Region VI		
Central Arkansas Consortium	28,000	0
Balance-of-State, La	0	400,000
New Orleans City, La	42,000	0
Shreveport City, La	35,000	0
Quachita Parish, La	0	70,000
Rapides Parish, La	0	35,000
Oklahoma City, Okla	67,522	0
Cameron County, Tex	52,412	46,577
Capitol Area Consrotium, Tex	32,544	0
Fort Worth City, Tex	117,787	58,187
Permian Basin Consortium, Tex	0	83,868
South East Texas Consortium	0	37,762
El Paso City, Tex	0	87,769
Alamo Consortium, Tex	0	170,053
Dallas City, Tex	145,254	50,421
Region VII		
Central Iowa Consortium	70,800	22,900
Linn County, Iowa	21,900	25,300
Davenport/Scott Csrt., Iowa	16,400	0
Woodbury County, Iowa	82,100	48,400
Balance-of-State, Iowa	287,200	0
Kansas City/Wyandotee Csrt., Kans	77,700	139,600
Johnson/Leavenworth Csrt., Kans	0	119,474
St. Charles County, Mo	47,500	47,500
Springfield City, Mo	0	67,300
Independence City, Mo	0	35,200
St. Louis City, Mo	0	22,100
Omaha City, Nebr	307,400	30,800
Balance-of-State, Nebr	256,300	0
Region VIII		
None		
Region IX		
Balance-of-State, Ariz	500,000	500,000
Pima County, Ariz	75,000	0
Maricopa County, Ariz	1,000,000	0
Sacramento Csrt., Calif	950,000	475,000
Glendale City, Calif	232,902	0
Stanislaus County, Calif	600,000	700,000
Santa Barbara County, Calif	509,328	559,104
Alameda County, Calif	1,163,400	0
Shasta County, Calif	204,149	154,000
Ventura County Consortium, Calif	581,406	0
Santa Clara Consortium, Calif	1,052,887	0
Merced County, Calif	55,000	39,000
Pasadena City, Calif	55,500	0
Inland Manpower Csrt., Calif	198,000	0
Los angeles County, Calif	0	3,027,267
Las Vegas/Clark Csrt., Nev	272,922	0
Trust Territories	258,825	240,285
Region X		
Balance-of-State, Oreg	129,000	0
Yakima County, Wash	66,220	102,578
Clark County, Wash	0	29,748

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federal register

Friday
March 21, 1980

Part VII

Department of Justice

Law Enforcement Assistance Administration

Juvenile Justice and Delinquency Prevention, Requests for Comments on the Proposed LEAA Guideline: Capacity Building

DEPARTMENT OF JUSTICE

Law Enforcement Assistance Administration

Requests for Comments on the Proposed LEAA Guideline: Capacity Building

AGENCY: Law Enforcement Assistance Administration (LEAA), Justice.

ACTION: Request for Public Comment.

SUMMARY: Notice is hereby given that the Office of Juvenile Justice and Delinquency Prevention, Law Enforcement Assistance Administration, pursuant to the Juvenile Justice Delinquency Prevention Act of 1974, as amended, 42 U.S.C. 5601, et. seq., proposes to issue an addition to the National Priority Program and Discretionary Program Announcement, published in the **Federal Register** on February 15, 1980 (45 FR 10702). It will not in any way impact upon the programs or regulations presently set out in that announcement or affect the eligibility of those individuals applying for previously announced programs.

SUPPLEMENTARY INFORMATION: The Office of Juvenile Justice and Delinquency Prevention (OJJDP) is inviting interested persons to comment on the proposed guideline and will consider all such written comments before the final publication of this guideline. The period for public comment on this proposed guideline is 30 days. This 30 day external review period was approved by Homer F. Broome, Acting Administrator of LEAA, because it is deemed in the best interest of the public to provide for award of grants before October 1, 1980. After development of the final guideline, which is expected to be published in the **Federal Register** in Spring 1980, it is anticipated that interested persons will have approximately 45 days to develop concept papers.

This notice and opportunity to submit written views and comments is provided pursuant to Executive Order No. 12044, Improving Government Regulations, to ensure that interested organizations, agencies, and individuals have an opportunity to review the proposed guideline. Interested persons are invited to submit written comments or suggestions to Ms. Roberta Dorn, Office of Juvenile Justice and Delinquency, 633 Indiana Avenue, NW, Room 442, Washington, DC, 20531, on or before April 24, 1980. Miss Dorn's telephone number is 202/724–7755.

Ira M. Schwartz,

Administrator, Office of Juvenile Justice and Delinquency Prevention.

Office of Juvenile Justice and Delinquency Prevention Program Announcement; Capacity Building

A. Purpose

Pursuant to Section 224(a) of the Juvenile Justice and Delinquency Prevention Act (JJDPA) of 1974, as amended, the Office of Juvenile Justice and Delinquency Prevention (OJJDP) is sponsoring a program designed to increase the capacity of State and local governments, public and private youth-serving agencies, and indigenous neighborhood organizations or community groups, to prevent delinquency, develop and utilize alternative to the juvenile justice system, and improve the administration of juvenile justice. This Capacity Building Program consists of two separate and distinct components, each of which is described below.

B. Program Components

1. *Innovative Techniques*—a. *Component Description.* OJJDP is interested in receiving concept papers which propose to develop, maintain or expand the activities outlined in Section 224(a) of the Juvenile Justice and Delinquency Prevention Act of 1974, as amended. These activities include:

(1) Develop and implement new approaches, techniques, and methods with respect to juvenile delinquency programs;

(2) Develop and maintain community-based alternatives to traditional forms of institutionalization;

(3) Develop and implement effective means of diverting juveniles from the traditional juvenile justice and correctional system, including restitution projects which test and validate selected arbitration models, such as neighborhood courts or panels, and increase victim satisfaction while providing alternatives to incarceration for detained or adjudicated delinquents;

(4) Improve the capability of public and private agencies and organizations to provide services for delinquents and other youth to help prevent delinquency;

(5) Facilitate the adoption of the recommendations of the Advisory Committee and the Institute as set forth pursuant to Section 247;

(6) Develop and implement, in coordination with the Commissioner of Education, model programs and methods to keep students in elementary and secondary schools and to prevent unwarranted and arbitrary suspensions and expulsions and to encourage new approaches and techniques with respect to the prevention of school violence and vandalism;

(7) Develop and support programs stressing advocacy activities aimed at improving services to youth impacted by the juvenile justice system;

(8) Develop, implement, and support, in conjunction with the Secretary of Labor, other public and private agencies and organizations and business and industry programs for youth employment;

(9) Improve the juvenile justice system to conform to standards of due process;

(10) Develop and support programs designed to encourage and enable State legislatures to consider and further the purposes to this Act, both by amending State laws where necessary, and devoting greater resources to those purposes; and

(11) Develop and implement programs relating to juvenile delinquency and learning disabilities.

b. *Procedures for Reviewing Concept Papers.* Concept papers must propose strategies for employing one or more of the techniques contained in Paragraph (a) above. Concept papers will be reviewed competitively by the staff of OJJDP on a semi-annual basis. Each concept paper will be rated according to the following criteria, each of which will have equal weight:

1. Significance of the problem to be addressed.

2. Clarity of stated goals, objectives and a plan for implementation.

3. Qualifications of the proposer and capability to conduct the project.

4. Extent to which the planned program outcomes are measurable.

5. Extent to which the program concept and strategy introduces new ideas and/or techniques and their originality.

6. Assessment of need for implementation or maintenance of the project.

7. Relationship of the activity to the established priorities of OJJDP.

For those concept papers which receive the highest overall ratings, proposers will be invited by OJJDP to submit a formal discretionary grant application. Such invitations, however, do not indicate a commitment by OJJDP to fund the project.

c. *Eligible Applicants.* Public and private agencies, organizations, institutions, and individuals are eligible to apply for funds under this component.

d. *Duration and Size of Grants.* OJJDP intends to set aside approximately $4 million for this component for award in the first six-month funding cycle. Grants will be awarded for a two-year period in

an amount not to exceed $300,000 per year.

2. *Neighborhood or Community Projects*— a. *Component Description.* OJJDP is interested in receiving concept papers from indigenous neighborhood organizations and community groups which propose strategies for preventing delinquency and providing youth services in communities with high rates of youth unemployment, school dropout and juvenile delinquency. The purpose of this component is to identify and support promising program concepts whose primary target population is high risk and minority youth, and their families. Examples of programmatic concepts which OJJDP considers appropriate under this component include, but are not limited to, gang intervention; neighborhood youth activities which also involve the childrens' parents, block clubs which focus on the resolution of youth-related problems, and youth coalition projects such as the St. Joseph County, Indiana youth participation program.

b. *Procedures for Reviewing Concept Papers.* All concept papers submitted for this component should include a brief description of the applicant agency, organization or group and the qualifications of staff to implement the project. In addition, concept papers should breifly indicate the characteristics of the project clients, including the age, racial/ethnic characteristics, economic level, and official delinquency rates of the target jurisdiction.

Concept papers must propose strategies for addressing juvenile delinquency within neighborhoods and communities. Concept papers will be reviewed semi-annually by OJJDP staff. Each concept paper will be rated according to the following criteria, each of which will have equal weight:

1. Significance of the juvenile deliquency problem in the target community.

2. Clarity of stated goals, objectives, and a plan for implementation.

3. Extent to which the concept paper focuses on primary community or family groups.

4. Capability of the proposer to conduct the project.

5. Extent to which the planned program outcomes are measurable.

6. Extent to which the concept paper includes youth as advisors, planners or participants in the development of the project.

7. Relationship of the proposer to the community.

8. Assessment of need for implementation or maintenance of the project.

For those concept papers which receive the highest overall ratings, proposers will be invited by OJJDP to submit a formal discretionary grant application. Such invitations, however, do not indicate a commitment by OJJDP to fund the project.

c. *Eligible Applicants.*

Indigenous neighborhood organizations and community groups are eligible to apply for funds under this component. All applicants must be incorporated non-profit organizations. Small neighborhood groups which intend to participate in a coalition model with an incorporated applicant, need not be incorporated themselves.

d. *Duration and Size of Grants.*

OJJDP intends to set aside approximately $2 million for this component for award in the first six-month funding cycle. Grants will be awarded for a two-year period and will range from $50,000 to $150,000 per year.

C. Submission Procedures

This Announcement is for the first semi-annual Capacity Building cycle. This Announcement will be republished to notify interested persons of the requirements and due dates for the second Capacity Building cycle.

For the first cycle, one original and two copies of each concept paper must be delivered or mailed to OJJDP no later than , 1980. One copy must be submitted concurrently to the applicable State Planning Agency for its review and comment. All concept papers which are mailed must be postmarked no later than

Concept papers should not exceed ten (10) typed single-space pages with one additional page for a brief budget projection. The authors of approved concept papers will be notified by of OJJDP's desire to receive a formal project application. At that time, potential applicants will be notified of all application submission requirements. The selection criteria which will be applied to formal applications will generally be the same as those cited in this announcement, however, they will be more detailed. Any new criteria that are applied to applications will specifically refer to cost considerations and adherence to standard LEAA application requirements.

All concept papers must clearly specify under which of the two program components the concept paper is being submitted. For further information, potential proponents may call or write OJJDP. Please contact:

Office of Juvenile Justice and Delinquency Prevention, 633 Indiana Avenue, NE., Room 442, Washington, D.C. 20531, (202) 724-7769.

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federal register

Friday
March 21, 1980

Part VIII

Department of Health, Education, and Welfare

Office of Human Development Services

Announcement of Availability of Grant or Cooperative Agreement Funds for Crosscutting Research and Demonstration Program

DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE

Office of Human Development Services

[Program Announcement No.13647-801]

Cooperative Research and Demonstration Projects

AGENCY: Office of Human Development Services, HEW.

SUBJECT: Announcement of Availability of Grant or Cooperative Agreement Funds for the HDS Crosscutting Research and Demonstration Program.

SUMMARY: The Office of Human Development Services (HDS), Office of Planning, Research, and Evaluation (OPRE) announces that competing applications will be accepted for new research and demonstration grants and cooperative agreements authorized by Sections 1110 and 1115 in Title XI of the Social Security Act, as amended. These grants and cooperative agreements implement the third year of the Crosscutting Research and Demonstation Program which was established in October 1977 through the issuance of the HDS Research, Demonstration, and Evaluation (RD&E) Guidance for Fiscal year (FY) 1978. This Guidance set aside new R&D funds from the HDS Program Administrations—Aging; Children, Youth, and Families; Native Americans; Public Services and Rehabilitation Services (now designated the National Institute for Handicapped Research and being administratively relocated into the new Department of Education; the Developmental Disabilities Office will remain in HDS)—for implementing research and demonstation activities of a cross-program nature. In FY 1979 crosscutting R&D projects were again funded from a consolidated pool of funds from four R&D programs. Three other programs—Administration on Aging, Natonal Center for Child Abuse and Neglect and Vocational Rehabilitation—which were restricted from transferring funds by FY 1978 amendments, directly funded some projects related to crosscutting priorities. However, for FY 1980 Congress specifically increased the appropriation for Sections 1110 and 1115 in Title XI of the Social Security Act to cover crosscutting R&D activities. Consequently, all new priority and investigator initiated crosscutting research and demonstrations will be funded from this source in FY 1980.

DATES: The closing date for the receipt of applications May 20, 1980.

Scope of this Program Announcement

This program announcement discusses research and demonstration funding areas, including both priority and investigator initiated projects, for FY 1980 which support OPRE's crosscutting R&D goals. There will be additional program announcements in FY 1980 authorized under Sections 1110 and 1115 in Title XI of the Social Security Act by the following HEW agencies: Administration for Public Services, Office of Human Development Services; Health Care Financing Administration; and Social Security Administration.

Program Purpose

Grants and cooperative agreements funded by OPRE are for domestic and international research or demonstration projects which cut across the subject areas and target populations of HDS program administrations. These grants and cooperative agreements aid in effecting coordination of planning between private and public service agencies, and improving administration and effectiveness of programs administered or assisted under the Social Security Act, or related programs for the aged, developmentally disabled, children, youth, families and Native Americans. Cooperative agreements are discussed under Financial Assistance, Section 5 of the *FY 1980 Application Process* instructions in this announcement.

Program Goals and Objectives

The thrust of OPRE's crosscutting research and demonstration program is to encourage the analysis of issues from a broad problem or generic perspective rather than within the boundaries established by categorical legislation. The purpose of the program is to provide information on issues which have implications for more than one HDS program in the areas of management, delivery of services and intergovernmental roles and responsibilities to meet the needs of HDS target populations—low income individuals and families, aged, children, youth, developmentally disabled and Indians. These issues include:

1. Issues involving multiprogram or political jurisdiction based analysis of service strategies or performance of functions.

2. Issues requiring tests or assessment of integrated program models.

3. Issues arising from emerging national problems which do not fall within the purview of a particular program.

4. Issues relating to the assumptions about human behavior and/or development underlying HDS legislation and programs.

5. Issues included to the need for improving the efficiency of human services program administration at Federal, State and local levels.

Program Priorities for Funding

Applications are solicited in FY 1980 for projects which address the previously stated OPRE crosscutting objectives. In response to these objectives, OPRE has specified the priority projects described in detail in the Application Kit. These priority projects are identified by a number in parentheses after each project title. *This number must be used on all applications and correspondence which relate to the application.* Prospective applicants should utilize the more detailed material in the kit before preparing proposals.

Investigator initiated proposals, which respond to OPRE's crosscutting objectives, may also be submitted. These applications will be designated nonpriority and subject to the same panel review process as priority projects. Such proposals should offer a significant technical contribution and show strong relevance to the crosscutting R&D program's goals and objectives. They will compete against all of the other nonpriority proposals and a limited number may be funded from a set-aside for investigator initiated projects subject to funds availability.

Priority Projects

"Assessment, Documentation and Transfer of Local Government Programs for Enhanced Use of Voluntary, Business and Other Alternatives to Public Financing of Services" (OPRE-80-001).

The purpose of this project is to assess, document and facilitate the transfer of certain natural experiments involving local governments which were designed to deal with social welfare problems through measures minimizing increased public expenditures. The types of natural experiments which the grantee is expected to assess, document, and where appropriate, design and test transfers are programs which: 1) effectively utilize volunteers in such aspects of public programs as public information, legal, service delivery and monitoring; 2) rely on advocacy on behalf of target populations in relation to other systems including taxes, housing, education, employment, transportation, health and communication; 3) enlist business techniques, evaluation, technical assistance to provide cities assistance

with long-range financial planning, use of technology, training, and modifications of facilities planning and utilization of facilities; and 4) community action to avoid court ordered solutions to problems of deinstitutionalization, discrimination, and access. Questions which the project may address are: How can alternative activities (i.e., use of volunteers, business skills, coordinated public, private and natural client pathways, natural helping networks and advocacy activities) be identified and stimulated? How is increased usage of volunteers promoted in cities and counties? What can local governments do to stimulate self-help groups? How can business skills be applied within public organizations to increase the efficiency and effectiveness of public services? How can local government officials organize services so as to maximize emphasis upon the natural helping network? Through increased advocacy and service coordination, can less costly means of service provision be defined? Finally, are any of these various approaches amenable to technology transfer? How much and what kind of technical assistance would be necessary for the recipient locality?

"Quality Assurance With a Focus on Applications of Experience in Health Systems to Social Services Programs" (OPRE–80–002).

The purpose of this project is to analyze findings in the health sector on the costs and the effects of quality assurance programs, to examine comparable situations in the social services sector, to determine the appropriateness and feasibility of transferring health sector mechanisms to the social services area, and assess the adequacy of the knowledge base which led to the development of the Federal or State mandates of specific quality assurance measures in human development service programs.

This project will review successes and failures in the health care and social services areas and will determine whether some of the more developed health care quality assurance programs include mechanisms or techniques which can be transferred to the social services area. Some of the questions which must be addressed include: What is the documented and comparative impact of health assurance mechanisms such as licensing, facilities standards, requirement re program composition, required operational procedures, accreditation, et al.? To what extent are incentives being used or could be used as an alternative technique for quality assurance? What are the aspects of human development programs which provide parallel opportunities for application of such quality assurance techniques? What are the factors which need to be considered in preparing for transfer of health systems quality assurance techniques to human development programs—including how to determine what types of functions are necessary in each service setting and how to determine the types of personnel needed for each function? Within selected techniques examples of questions are: Is professional or State licensing preferable? Should professional review teams be multidisciplinary? What impact does organizational structure have on quality assurance programs? What can the social services area learn from the mandated relationships between PSROs and Medicare Fiscal Intermediaries and Carriers, State Medicaid Agencies, End Stage Level Disease Medical Review Boards, State Governor's Offices, and Health Systems Agencies? Can successes in these areas be applied to social services human development services?

"Research Development in Historically Black Institutions of Higher Education" (OPRE–80–003).

The thrust of this project is to develop the capabilities of Historically Black Colleges (HBCs) to access and compete successfully for HDS's discretionary funds. This will be achieved through a multipronged effort directed at:

1. Assessing processes by which HBC's obtain information about HDS programs.

2. Analyzing how HBCs participate in the grant and contract processes.

3. Describing HBCs which have been successful and unsuccessful in the grants and contracts process.

This effort would document in detail the above with the following expected results:

1. Provide assistance to historically black institutions in expanding their capabilities for competing successfully for non-traditional funds (e.g., research and demonstration).

2. Increase research and demonstration activities by HBCs, relative to human service areas.

3. Provide evidence that black institutions are a national reservoir of knowledge and skills equipped to respond to the Federal grants and contracts process.

The long range goal would be for HBCs to have a permanent mechanism for accessing HDS's discretionary funding process.

"The Role of State Government in Promoting Nonservice Approaches to Social Welfare Problems" (OPRE–80–004).

The purpose of the proposed project is to examine the emerging role of State governments in promoting nonservice policy approaches to social welfare problems by State and local governments and to assess the effectiveness and impact of these approaches. The nonservice approaches include: modification of regulations, tax policy change, administrative reform, collaboration with the private sector, promotion of self-help, and use of advocacy. The fundamental research questions concern three elements of State-level nonservice approaches which are to be examined in the proposed multi-State project, namely: (1) to what degree do State laws and regulations constrain local power to implement nonservice approaches in addressing social welfare problems; (2) what is the extent, process, effectiveness and impact of State use of nonservice tools independent of local government and (3) what is the extent, process, effectiveness and impact of joint State-local use of nonservice tools on social welfare issues? In addition, the project is expected to identify the factors which influence the efficacy of each nonservice approach and would impact on its replication in other environments.

Eligible Applicants

Section 1110 Grants and Cooperative Agreements

Under this announcement any State, public, or nonprofit organization or agency may apply for an 1110 grant or cooperative agreement.

Section 1115 Grants and Cooperative Agreements

Under Section 1115, applications for grants or cooperative agreements may be made only by a State agency designated as the single State agency for a Social Security Act Title XX program. OPRE encourages State and local community multiprogram human service agencies, foundations, and universities to develop joint applications, thereby promoting a comprehensive approach to resolving the complex issues involved in developing and administering human service programs.

Available Funds

The Office of Planning, Research, and Evaluation expects to award approximately $700,000 in FY 1980 for new grants and cooperative agreements funded under Sections 1110 and 1115 of Title XI of the Social Security Act, as amended. A new grant or cooperative

agreement is the initial award made in support of a project requested in an application.

It is expected that about four new domestic and international grants or cooperative agreements will be awarded pursuant to this announcement. Generally, the projects will be supported for periods from one to three years. If additional funds become available, they will be used for investigator initiated projects.

Although the average award is expected to be $125,000, the size of awards may range from $75,000 to $200,000. Awards averaged $95,000 in FY and $105,000 in FY 1979.

Project start up dates will vary from July 15, 1980 through September 30, 1980. The funds provided in the initial grant award or notice of financial assistance will sustain the Federal share of the budget for the first year of the project. Support for any time remaining in the project period is dependent upon funds available and satisfactory performance.

In fiscal year 1978 and FY 1979, approximately 175 research applications and 93 demonstration applications respectively were accepted by OPRE for review and evaluation. For both years, there were three major thrusts for the crosscutting R&D effort: 1) the relationship between employment and support services; 2) the planning, coordination, delivery, quality assurance and administration of human services at the local level; and 3) the issue of relation of health activities to human services. Twenty-six (26) R&D grant awards were made in FY 1978 and five (5) in FY 1979.

Applicant Share of the Project

Section 1110

Applicants receiving financial assistance to conduct projects are expected to contribute some portion of the project costs for each year for which funding is requested. Five (5) percent is generally considered acceptable. No Section 1110 grant or cooperative agreement award will cover 100 percent of project costs.

Section 1115

Special Federal project grant or cooperative agreement funds received under Section 1115 are available to be used as the single State agency matching funds to obtain regular Federal share funds. It should be noted that except for training components of a project, the regular Federal share funds must come from the Social Security Act, Title XX allotment. Applicants are encouraged to contribute some portion of the project costs for each budget category requiring Federal funds. One (1) percent is generally considered acceptable.

Fiscal Year 1980 Application Process

1. *Availability of FY 1980 Application Forms.* Application kits which contain the prescribed forms and supplemental descriptive project information for FY 1980 projects are available from: Ms Mary Jane Cronin, Chief, Domestic Research Group, Division of Research, Office of Planning, Research, and Evaluation, Office of Human Development Services, Room 3744 HEW North Building, 330 Independence Avenue, S.W. (Attention 13647–801), Washington, D.C. 20201, telephone: 202/472/7239. *All written requests should contain a self-addressed mailing label to facilitate a prompt response.*

2. *Application Submission.* In order to be considered for a Social Security Act, Title XI, Section 1110 or Section 1115 grant or cooperative agreement, all applications must be submitted on standard forms provided for this purpose by OPRE. The application shall be executed by an individual authorized to act for the applicant agency and to assume for the agency the obligations imposed by the terms of the award. one signed application and two copies, including all cover letters and attachments, are required.

All applications should contain a self-addressed envelope to facilitate prompt notification of an award decision.

As part of the project title (Standard Form 424 page 1, item 7), the applicant must clearly indicate whether the application submitted is in response to a priority project identified in this announcement, and must reference the unique project identifier (OPRE–80–1, OPRE–80–2, etc.) for which the application is to compete. All applications not developed in response to an OPRE priority should be labeled as investigator initiated. Applications lacking a specific OPRE project identifier, i.e., OPRE–80–1, will be considered investigator initiated and will compete accordingly.

3. *A–95 Notification Process.* Notice is not required to A–95 State and areawide clearinghouses, as the program is exempt from the requirement.

4. *Application Consideration.* The Director, Office of Planning, Research, and Evaluation, determines the final action to be taken on each application. Applications which do not conform to this announcement or are not complete will not be accepted. The applicants will be notified accordingly. Letters of support or other supplementary materials should be submitted with the application. For priority projects, supplementary materials received after the closing date will not be appended to the application.

Applications for FY 1980 priority projects which are received after the closing date will be considered investigator initiated, held for the next competitive review and competed accordingly. Investigator initiated projects may be submitted at any time. Those received after the closing date will be held unitl the next competitive review.

All accepted applications are subjected to a competitive review and evaluation conducted by a panel of qualified persons independent of OPRE. Subsequent to the panel review, highly rated applications will also be reviewed by Program Administrations and the Regional Office staff. The results of the competitive review combined with the program and specialists comments will assist the Director's decision.

5. *Financial Assistance.* The Director, Office of Planning, Research, and Evaluation makes financial assistance awards consistent with the purposes of the Social Security Act and this program announcement within the limits of Federal funds available. The official document is the Notice of Financial Assistance Awarded. The Notice sets forth in writing to the recipient the amount of funds awarded, the purpose of the award, the terms and conditions of the award, the budget period which the support covers, the total project period and the total recipient participation, if appropriate.

In accordance with the Federal Grant and Cooperative Agreement Act of 1977 (P.L. 95–244), OPRE will utilize the cooperative agreement as its basic assistance instrument when substantial involvement by OPRE is anticipated in the project activity. The cooperative relationship being contemplated will be reflected in the Notice of Financial Assistance Awarded terms and conditions. Examples of terms are:

a. HDS involvement in the selection of key recipient personnel. In some cases, this might mean that HDS would reserve the right to interview potential staff prior to hiring.

b. HDS review and approval of work to be performed through subgrants or contracts.

c. HDS review and approval of one stage of work prior to initiating work on the subsequent stage, i.e., HDS approval of a survey instrument.

d. HDS and recipient collaboration in the project performance, including provision of specified work directions or redirection which would limit recipient discretion in the scope of services, organizational structure or staffing.

e. HDS option to immediately halt an activity, e.g., HDS stopping project activities until the demonstration site is open and operational.

Criteria for Application Review and Evaluation

Competing applications will be reviewed and evaluated against the criteria stated below.

1. Clarity of purpose, objectives, and statement of problem, relatedness to specific crosscutting priorites defined in this program announcement. (Maximum score 15 Points).
2. Extent to which the proposal exhibits thorough knowledge of pertinent previous work and relates the proposed research to that work. (Maximum score 15 points).
3. Adequacy of research design and methodology, including (for demonstation projects) the evaluation plan. (Maximum score 30 points).
4. Adequacy of the work plan; adequacy of the organizational structure; evidence of commitment by organizations and agencies whose support is essential to the conduct of the proposed project. (Maximum score 20 points).
5. Qualifications of the staff; previous organizational experience. (Maximum score 5 points).
6. Adequacy of utilization plan, including identification of potential user groups and means of transferring information to such groups. (Maximum score 10 points).
7. The budget is given in detail with justifications and explanations. Estimated costs are reasonable considering anticipated results. (Maximum 5 points).

Closing Dates for Receipt of Applications

The closing date for receipt of applications for projects, both priority and field initiated, identified in this program announcement is (60 days from date of publication.) Applications may be mailed or hand delivered to: Receiving Office, Division of Grants and Contracts Management, Office of Human Development Services, HEW, 200 Independence Avenue, S.W., Room 341–F.1 Hubert Humphrey Building, Attention: 13647–801, Washington, D.C. 20201.

Applications must be received at the above address by the closing date. Hand delivered applications are accepted during normal working hours of 9 a.m. to 5 p.m., Monday through Friday. Applications will be considered to be received on time if they are either postmarked (first class mail), or received by the deadline, unless they arrive too late to be considered by the independent review panel.

(Catalog of Federal Domestic Assistance Number: 13647, Office of Human Development Services, Cooperative Research or Demonstration Projects)

Approved: March 14, 1980.

Jerry Turem

Director, Office of Planning, Research, and Evaluation.

Dated: March 14, 1980.

Warren Master,

Acting Assistant Secretary for Human Development Services.

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Part IX

Department of Justice

Office of the Attorney General

Voting Rights; Proposed Procedures for Administration of Section 5

DEPARTMENT OF JUSTICE

Office of the Attorney General

28 CFR Part 51

Procedures for the Administration of Section 5 of the Voting Rights Act of 1965; Proposed Revision of Procedures

AGENCY: Department of Justice.

ACTION: Proposed rule.

SUMMARY: The Attorney General is considering a proposal to revise the procedures for the Administration of Section 5 of the Voting Rights Act of 1965, 28 CFR Part 51, 36 FR 18186 (Sept. 10, 1971). Revision of the guidelines is needed as a result of experience under them since 1971 and as a result of the Voting Rights Act Amendments of 1975. Interested persons are invited to participate in the making of the proposed revised guidelines by submitting written comments.

DATE: All comments received on or before May 20, 1980 will be considered. It is proposed that such revised guideline be effective upon publication in final form.

ADDRESS: Comments should be sent to the Assistant Attorney General Civil Rights Division, Department of Justice, Washington, D.C. 20530.

FOR FURTHER INFORMATION CONTACT: David H. Hunter, Attorney, Voting Section, Civil Rights Division, Department of Justice, Washington, D.C. 20530, (202) 724–7189.

SUPPLEMENTARY INFORMATION: The proposed revised guidelines record the delegation of authority for Section 5 determinations to the Assistant Attorney General, Civil Rights Division and the authorization of the Chief of the Voting Section to act on behalf of the Assistant Attorney General (§ 51.3), specify the date for each covered jurisdiction after which changes affecting voting must be precleared under Section 5 (§ 51.4 and Appendix), make clear the position of the Attorney General that all changes affecting voting within a covered jurisdiction must be precleared (see *United States* v. *Board of Commissioners of Sheffield, Alabama*, 435 U.S. 110 (1978), and *Dougherty County, Georgia, Board of Education* v. *White*, 439 U.S. 32 (1978)) (§ 51.6), make clear that certain activities of political parties are subject to Section 5 (§ 51.7), clarify how the 60-day period in which the Attorney General must make a determination is calculated (§ 51.8), explain the application of Section 5 to recurrent practices (§ 51.13) and enabling legislation (§ 51.14) and the distinction between procedural and substantive changes (see *United States* v. *Board of Commissioners of Sheffield, Alabama, supra)* (§ 51.15), clarify the application of section 5 to changes ordered by Federal courts (see *Wise* v. *Lipscomb*, 437 U.S. 535 (1978), and *East Carroll Parish School Board* v. *Marshall*, 424 U.S. 636, 638–39 n. 6 (1976)) (§ 51.16), establish standards for the withdrawal of a submission (§ 51.23), clarify what information is needed for a determination (§§ 51.24, 51.25 & 51.26), clarify the procedures and standards for expedited consideration (§ 51.32), explain the situations in which a determination on the merits cannot be made (§ 51.33), clarify the procedures to be followed when insufficient information is provided to enable the Attorney General to make a determination (see *Georgia* v. *United States*, 411 U.S. 526, 539–41 (1973), and *United States* v. *Uvalde County*, 455 F. Supp. 101 (W.D. Tex. 1978), affirmed, 439 U.S. 1059 (1979)) (§§ 51.35, 51.36 & 51.38), explain the practice of the Attorney General when a submitting authority materially supplements a pending submission or makes a second related submission (§ 51.37) or when a submitting authority fails to complete a submission (§ 51.38), commit the Attorney General to responding to all submissions within the 60-day period and explain the consequences of a failure to respond (§ 51.41), explain the possibility of a reconsideration within the 60-day period of a decision not to object to a submitted change (§ 51.42), simplify and clarify the procedures and standards for the reconsideration of an objection (§§ 51.43(b), 51.44 & 51.45), add a notice requirement when an objection is reconsidered at the insistence of the Attorney General (§ 51.46), incorporate the decision of the Supreme Court that decisions of the Attorney General under Section 5 are not subject to judicial review (see *Morris* v. *Gressette*, 432 U.S. 491 (1977)) (§ 51.47), describe present practice with respect to the maintenance of and access to records concerning submissions (§ 51.48), and include a list of all jurisdictions in which the preclearance requirement of Section 5 applies (Appendix).

Interested persons are invited to participate in the making of the proposed revised guidelines by submitting written comments.

The table that follows indicates which section of the 1971 guidelines, if any, corresponds to each section of the proposed guidelines. However, it should be noted that at least some change has been made in every section.

Proposed guidelines:	*1971 guidelines*
51.1	51.1
51.2	51.2
51.3	.....
51.4	.....
51.5	.....
51.6	.....
51.7	.....
51.8	51.3
51.9	51.4
51.10	51.4(b)
51.11	51.4(a)
51.12	51.4(c)
51.13	.....
51.14	.....
51.15	.....
51.16	.....
51.17	51.11
51.18	51.5
51.19	51.6
51.20	51.7
51.21	51.8
51.22	51.9
51.23	.....
51.24	51.10(b) (8)–(11)
51.25	51.10(a), 51.10(b) (1)–(4), 51.5
51.26	51.10(b) (1)–(7)
51.27	51.12
51.28	51.15 (a), (b)
51.29	51.14
51.30	51.13
51.31	51.16
51.32	51.22
51.33	51.17
51.34	.....
51.35	51.18(a)
51.36	51.18(b) (1), (2), 51.18(c)
51.37	.....
51.38	.....
51.39	51.19
51.40	51.20
51.41	.....
51.42	51.22
51.43	51.21
51.44	51.21(c), 51.23
51.45	51.24
51.46	51.25
51.47	.....
51.48	51.26
51.49	51.4, 51.15(c)
51.50	.....
51.51	51.27
51.52	51.28
51.53	51.29

This statement of revised procedures is proposed under 5 U.S.C. 301; 28 U.S.C. 509, 510; and 42 U.S.C. 1973c.

Dated: March 13, 1980.

Benjamin R. Civiletti,

Attorney General.

The proposed new 28 CFR Part 51 reads as follows:

PART 51—PROCEDURES FOR THE ADMINISTRATION OF SECTION 5 OF THE VOTING RIGHTS ACT OF 1965, AS AMENDED

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Sec.

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51.2 Definitions.
51.3 Delegation of authority.
51.4 Date used to determine coverage; list of covered jurisdictions.
51.5 Termination of coverage.
51.6 Political subunits.
51.7 Political parties.

Sec.
51.8 Computation of time.
51.9 Requirement of action for declaratory judgment or submission to Attorney General.
51.10 Right to bring suit.
51.11 Scope of requirement.
51.12 Examples of changes.
51.13 Recurrent practices.
51.14 Enabling legislation and contingent or nonuniform requirements.
51.15 Distinction between changes in procedure and changes in substance.
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51.18 Form of submissions.
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51.30 Establishment and maintenance of registry of interested individuals and groups.

Subpart E—Processing of Submissions

51.31 Notice to registrants concerning submissions.
51.32 Expedited consideration.
51.33 Disposition of inappropriate submissions.
51.34 Release of information concerning submissions.
51.35 Obtaining information from the submitting authority.
51.36 Obtaining information from others.
51.37 Supplementary submissions.
51.38 Failure to complete submissions.
51.39 Standards for determination by the Attorney General.
51.40 Notification of decision not to object.
51.41 Failure of the Attorney General to respond.
51.42 Reexamination of decision not to object.
51.43 Notification of decision to object.
51.44 Conference.
51.45 Decision after reconsideration.
51.46 Reconsideration of objection at the instance of the Attorney General.
51.47 Absence of judicial review.
51.48 Records concerning submissions.

Subpart F—Sanctions

51.49 Enforcement by the Attorney General.
51.50 Enforcement by private parties.

Subpart G—Petition to Change Procedures

51.51 Who may petition.
Sec.
51.52 Form of petition.
51.53 Disposition of petition.

Appendix—Jurisdictions covered.

Authority: The provisions of this Part 51 are issued under 5 U.S.C. 301; 28 U.S.C. 509, 510; and 42 U.S.C. 1973c.

Subpart A—General Provisions

§ 51.1 Purpose.

Section 5 of the Voting Rights Act of 1965, as amended, 42 U.S.C. 1973c, prohibits the enforcement in any jurisdiction covered by Section 4(b) of the Act, 42 U.S.C. 1973(b), of any voting qualification or prerequisite to voting, or standard, practice, or procedure with respect to voting different from that in force or effect on the date used to determine coverage, until either (1) a declaratory judgment is obtained from the U.S. District Court for the District of Columbia that such qualification, prerequisite, standard, practice, or procedure does not have the purpose and will not have the effect of denying or abridging the right to vote on account of race, color, or membership in a language minority group, or (2) it has been submitted to the Attorney General and the Attorney General has interposed no objection within a 60-day period following submission. In order to make clear the responsibilities of the Attorney General under Section 5 and the interpretation of the Attorney General of the responsibility imposed on others under this section, the procedures in this part have been established to govern the administration of Section 5.

§ 51.2 Definitions.

As used in this part—

(a) "Act" means the Voting Rights Act of 1965, 79 Stat. 437, as amended by the Civil Rights Act of 1968, 82 Stat. 73, the Voting Rights Act Amendments of 1970, 84 Stat. 314, and the Voting Rights Act Amendments of 1975, 89 Stat. 400, 42 U.S.C. 1973 *et seq.* Section numbers, such as "Section 14(c)(3)," refer to sections of the Act.

(b) "Attorney General" means the Attorney General of the United States or the delegate of the Attorney General.

(c) "Vote" and "voting" are used, as defined in the Act, to include "all action necessary to make a vote effective in any primary, special, or general election, including but not limited to, registration, listing pursuant to this Act, or other action required by law prerequisite to voting, casting a ballot, and having such ballot counted properly and included in the appropriate totals of votes cast with respect to candidates for public or party office and propositions for which votes are received in an election." Section 14(c)(1).

(d) "Change affecting voting" means any voting qualification, prerequisite to voting, or standard, practice, or procedure with respect to voting different from that in force or effect on the date used to determine coverage under Section 4(b) and includes, *inter alia*, the examples given in § 51.12.

(e) "Political subdivision" is used, as defined in the Act, to refer to "* * * any county or parish, except that where registration for voting is not conducted under the supervision of a county or parish, the term shall include any other subdivision of a State which conducts registration for voting." Section 14(c)(2).

(f) "Covered jurisdiction" is used to refer to a State, where the determination referred to in § 51.4 has been made on a statewide basis, and to a political subdivision, where the determination has not been made on a statewide basis.

(g) "Preclearance" is used to refer to the obtaining of the declaratory judgment described in Section 5 or to the failure of the Attorney General to interpose an objection pursuant to Section 5.

(h) "Submission" is used to refer to the written presentation to the Attorney General by an appropriate official of any change affecting voting.

(i) "Submitting authority" means the jurisdiction on whose behalf a submission is made.

(j) "Language minority" or "language minority group" is used, as defined in the Act, to refer to persons who are American Indian, Asian American, Alaskan Natives, or of Spanish heritage. Section 14(c)(3). For the purposes of the Act, the following Asian American groups are considered language minority groups: Chinese Americans, Filipino Americans, Japanese Americans, and Korean Americans.

§ 51.3 Delegation of authority.

The responsibility and authority for determinations under Section 5 have been delegated by the Attorney General to the Assistant Attorney General, Civil Rights Division. For responses other than objections or reconsiderations of objections the Chief of the Voting Section is authorized to act on behalf of the Assistant Attorney General.

§ 51.4 Date used to determine coverage; list of covered jurisdictions.

(a) The requirement of Section 5 takes effect upon publication in the **Federal Register** of the requisite determinations of the Director of the Census and the Attorney General under Section 4(b). These determinations are not reviewable in any court. Section 4(b).

(b) Section 5 requires the preclearance of changes affecting voting made since

the date used for the determination of coverage. For each covered jurisdiction that date is one of the following: November 1, 1964; November 1, 1968; or November 1, 1972. A list of covered jurisdictions, together with the applicable date used to determine coverage, is contained in the appendix to this part. Any additional determinations of coverage will be published in the **Federal Register.**

§ 51.5 Termination of coverage.

A covered jurisdiction may terminate the application of Section 5 by obtaining the declaratory judgment described in Section 4(a) of the Act.

§ 51.6 Political subunits.

All political subunits within a covered jurisdiction (e.g., counties, cities, school districts) are subject to the requirement of Section 5.

§ 51.7 Political parties.

Certain activities of political parties are subject to the preclearance requirement of Section 5. A change affecting voting effected by a political party is subject to the preclearance requirement (1) if the change relates to a public electoral function of the party and (2) if the party is acting under authority explicitly or implicitly granted by a covered jurisdiction or political subunit subject to the preclearance requirement of Section 5. For example, changes with respect to the recruitment of party members, the conduct of political campaigns, and the drafting of party platforms are not subject to the preclearance requirement. Changes with respect to the conduct of primary elections at which party nominees, delegates to party conventions, or party officials are chosen are subject to the preclearance requirement of Section 5.

§ 51.8 Computation of time.

(a) The Attorney General shall have 60 days in which to interpose an objection to a submitted change affecting voting.

(b) Except as specified in §§ 51.35, 51.37, and 51.41 the 60-day period shall commence upon receipt by the Department of Justice of a submission.

(c) The 60-day period shall mean 60 calendar days, with the day of receipt of the submission not counted. If the final day of the period should fall on a Saturday, Sunday, any day designated as a holiday by the President or Congress of the United States, or any other day that is not a day of regular business for the Department of Justice, the Attorney General shall have until the close of the next full business day in which to interpose an objection. The date of the Attorney General's response shall be the date on which it is mailed to the submitting authority.

§ 51.9 Requirement of action for declaratory judgment or submission to the Attorney General.

Section 5 requires that, prior to enforcement of any change affecting voting, the jurisdiction that has enacted or seeks to administer the change must either (1) obtain a judicial determination from the U.S. District Court for the District of Columbia that denial or abridgment of the right to vote on account of race, color, or membership in a language minority group is not the purpose and will not be the effect of the change or (2) make to the Attorney General a proper submission of the change to which no objection is interposed. It is unlawful to enforce a change affecting voting without obtaining preclearance under Section 5. The obligation to obtain such preclearance is not relieved by unlawful enforcement.

§ 51.10 Right to bring suit.

Submission to the Attorney General does not affect the right of the submitting authority to bring an action in the U.S. District Court for the District of Columbia for a declaratory judgment that the change affecting voting does not have the prohibited discriminatory purpose or effect.

§ 51.11 Scope of requirement.

Any change affecting voting, even though it appears to be minor or indirect, even though it ostensibly expands voting rights, or even though it is designed to remove the elements that caused objection by the Attorney General to a prior submitted change, must meet the Section 5 preclearance requirement.

§ 51.12 Examples of changes.

Changes affecting voting include, but are not limited to, the following examples:

(a) Any change in qualifications or eligibility for voting.

(b) Any change concerning registration, balloting, and the counting of votes and any change concerning publicity for or assistance in registration or voting.

(c) Any change with respect to the use of a language other than English in any aspect of the electoral process.

(d) Any change in the boundaries of voting precincts or in the location of polling places.

(e) Any change in the constituency of an official or the boundaries of a voting unit (e.g., through redistricting, annexation, deannexation, incorporation, reapportionment, changing to at-large elections from district elections, or changing to district elections from at-large elections).

(f) Any change in the method of determining the outcome of an election (e.g., by requiring a majority vote for election or the use of a designated post or place system).

(g) Any change affecting the eligibility of persons to become or remain candidates, to obtain a position on the ballot in primary or general elections, or to become or remain holders of elective offices.

(h) Any change in the eligibility and qualification procedures for independent candidates.

(i) Any change in the term of an elective office or an elected offical or in the offices that are elective (e.g., by shortening the term of an office, changing from election to appointment or staggering the terms of offices).

(j) Any change affecting the necessity of or methods for offering issues and propositions for approval by referendum.

§ 51.13 Recurrent practices.

Where a jurisdiction implements a practice or procedure periodically or upon certain established contingencies, a change occurs (1) the first time such a practice or procedure is implemented by the jurisdiction, (2) when the manner in which such a practice or procedure is implemented by the jurisdiction is changed, or (3) when the rules for determining when such a practice or procedure will be implemented are changed. The failure of the Attorney General to object to a recurrent practice or procedure constitutes preclearance of the future use of the practice or procedure if its recurrent nature is clearly stated or described in the submission or is expressly recognized in the final response of the Attorney General on the merits of the submission.

§ 51.14 Enabling legislation and contingent or nonuniform requirements.

(a) The failure of the Attorney General to interpose an objection to legislation (1) that enables or permits political subunits to institute a voting change or (2) that requires or enables political subunits to institute a voting change upon some future event or if they satisfy certain criteria does not exempt the political subunit itself from the requirement to obtain preclearance when it seeks or is required to institute the change in question, unless implementation by the subunit is explicitly included and described in the submission of such parent legislation.

(b) Such legislation includes, for example, (1) legislation authorizing counties, cities, or school districts to institute any of the changes described in § 51.12, (2) legislation requiring a political subunit that chooses a certain form of government to follow specified election procedures, (3) legislation requiring or authorizing political

subunits of a certain size or a certain location to institute specified changes, (4) legislation requiring a political subunit to follow certain practices or procedures unless the subunit's charter or ordinances specify to the contrary.

§ 51.15 Distinction between changes in procedure and changes in substance.

The failure of the Attorney General to interpose an objection to a procedure for instituting a change affecting voting does not exempt the substantive change from the preclearance requirement. For example, if the procedure for the approval of an annexation is changed from city council approval to approval in a referendum, the preclearance of the new procedure does not exempt an annexation accomplished under the new procedure from the preclearance requirement.

§ 51.16 Court-ordered changes.

Changes affecting voting that are specifically ordered by a Federal court as a result of the court's equitable jurisdiction over an adversary proceeding are not subject to the preclearance requirement of Section 5. However, subsequent changes necessitated by the court order but decided upon by the jurisdiction are subject to the preclearance requirement. For example, although a court-ordered districting plan may not be subject to the preclearance requirement, changes in voting precincts and polling places made necessary by the new plan remain subject to Section 5.

§ 51.17 Request for notification concerning voting litigation.

A jurisdiction subject to the preclearance requirement of Section 5 that becomes involved in any litigation concerning voting is requested promptly to notify the Assistant Attorney General, Civil Rights Division, Department of Justice, Washington, D.C. 20530. Such notification will not be considered a submission under Section 5.

Subpart B—Procedures for Submission to the Attorney General

§ 51.18 Form of submissions.

Submissions may be made in letter or any other written form.

§ 51.19 Time of submissions.

Changes affecting voting should be submitted as soon as possible after they become final.

§ 51.20 Premature submissions.

The Attorney General will not consider on the merits any proposal for a change affecting voting submitted prior to final enactment or administrative decision. However, with respect to a change for which approval by referendum, a State court or a Federal agency is required, the Attorney General may make a determination concerning the change prior to such approval if the change is not subject to alteration in the final approving action and if all other action necessary for approval has been taken.

§ 51.21 Party and jurisdiction responsible for making submissions.

Changes affecting voting shall be submitted by the chief legal officer or other appropriate official of the submitting authority or by any other authorized person on behalf of the submitting authority. When one or more counties or other political subunits within a State will be affected, the State may make a submission on their behalf. Where a State is covered as a whole, State legislation (except legislation of local applicability) or other changes undertaken or required by the State shall be submitted by the State.

§ 51.22 Address for submissions.

Changes affecting voting shall be mailed or delivered to the Assistant Attorney General, Civil Rights Division, Department of Justice, Washington, D.C. 20530. The envelope and first page of the submission shall be clearly marked: Submission under Section 5 of the Voting Rights Act.

§ 51.23 Withdrawal of submissions.

If while a submission is pending the submitted change is repealed, altered, or declared invalid or otherwise becomes unenforceable, the jurisdiction may withdraw the submission. In other circumstances, a jurisdiction may withdraw a submission only if it shows good cause for such withdrawal.

Subpart C—Contents of Submissions

§ 51.24 General.

(a) The source of any information contained in a submission should be identified.

(b) Where an estimate is provided in lieu of more reliable statistics, the submission should identify the name, position, and qualifications of the person responsible for the estimate and should briefly describe the basis for the estimate.

(c) Submissions should be no longer than is necessary for the presentation of the appropriate information and materials.

(d) A submitting authority that desires the Attorney General to consider any information supplies as part of an earlier submission may incorporate such information by reference by stating the date and subject matter of the earlier submission and identifying the relevant information.

(e) Where information requested by this subpart is relevant but not known or available, or is not applicable, the submission should so state.

§ 51.25 Required contents.

Each submission should contain the following information or documents to enable the Attorney General to make the required determination pursuant to Section 5 with respect to the submitted change affecting voting:

(a) A copy of any ordinance, enactment, order or regulation embodying a change affecting voting. However, legal descriptions of boundaries need not be included.

(b) If the change affecting voting is not readily apparent on the face of the document provided under paragraph (a) or is not embodied in a document, a clear statement of the change explaining the difference between the submitted change and the prior law or practice, or explanatory materials adequate to disclose to the Attorney General the difference between the prior and proposed situation with respect to voting.

(c) The name, title, address, and telephone number of the person making the submission.

(d) The name of the submitting authority and the name of the jurisdiction responsible for the change, if different.

(e) If the submission is not from a State or county, the name of the county and State in which the submitting authority is located.

(f) Identification of the person or body responsible for making the change and the mode of decision (e.g., act of State legislature, ordinance of city council, administrative decision by registrar).

(g) A statement identifying the statutory or other authority under which the jurisdiction undertakes the change and a description of the procedures the jurisdiction was required to follow in deciding to undertake the change.

(h) The date of adoption of the change affecting voting.

(i) The date on which the change is to take effect.

(j) A statement that the change has not yet been enforced or administered, or an explanation of why such a statement cannot be made.

(k) Where the change will affect less than the entire jurisdiction, an explanation of the scope of the change.

(l) A statement of the reasons for the change.

(m) A statement of the anticipated effect of the change on members of racial or language minority groups.

(n) A statement identifying any past or pending litigation concerning the change or related voting practices.

(o) A statement that the prior practice has been precleared (with the date) or is not subject to the preclearance requirement and a statement that the procedure for the adoption of the change has been precleared (with the date) or is not subject to the preclearance requirement, or an explanation of why such statements cannot be made.

(p) Other information that the Attorney General determines is required for an evaluation of the purpose or effect of the change. Such information may include items listed in § 51.26 and is most likely to be needed with respect to redistrictings, annexations, and other complex changes. In the interest of time such information should be furnished with the initial submission relating to voting changes of this type. When such information is required, but not provided, the Attorney General shall notify the submitting authority in the manner provided in § 51.35.

§ 51.26 Supplemental contents.

Review by the Attorney General will be facilitated if the following information is provided in addition to that required by § 51.25 for any change for which the listed information may be pertinent.

(a) *Demographic information.* (1) Total and voting age population of the affected area before and after the change, by race and language group. If such information is contained in publications of the U.S. Bureau of the Census, a statement to that effect is sufficient.

(2) The number of registered voters for the affected area before and after the change, by race and language group.

(3) Any estimates of population, by race and language group, made in connection with the adoption of the change.

(b) *Maps.* Where any change is made that revises the constituency that elects any office or affects the boundaries of any geographic unit or units defined or employed for voting purposes (e.g., redistricting, annexation, change from district to at-large elections) or changes the location of a polling place or place of registration, a map or maps of the area to be affected, containing the following information:

(1) The prior boundaries of the voting unit or units.

(2) The new boundaries of the voting unit or units.

(3) Any other changes in the voting unit boundaries or in the geographical makeup of the constituency since the time that coverage under Section 4(b) began. If such changes have already been submitted, a reference to the date and subject of the prior submissions is sufficient.

(4) Location of racial and language minority groups within the prior units.

(5) Location of racial and language minority groups within the new units.

(6) Any natural boundaries or geographical features that influenced the selection of boundaries of the preexisting or new units.

(7) Location of prior and new polling places.

(c) *Election returns.* Where a particular office is involved or affected, information or materials showing the number of candidates, by race and language group, who have run for such office in recent primary or general elections and the results, by voting precinct, of elections in which there were racial or language minority candidates.

(d) *Language usage.* Where a change is made affecting the use of the language of a language minority group in the electoral process, information that will enable the Attorney General to determine whether the change is consistent with the minority language requirements of the Act. The Attorney General's interpretation of the minority language requirements of the Voting Rights Act of 1965, as amended by the Voting Rights Act Amendments of 1975, is contained in Interpretative Guidelines: Implementation of the Provisions of the Voting Rights Act Regarding Language Minority Groups, 28 CFR Part 55.

(e) *Publicity and participation.* For submissions involving controversial or potentially controversial changes, evidence of public notice, of the opportunity for the public to be heard, and of the opportunity for interested parties to participate in the decision to adopt the proposed change and an account of the extent to which such participation, especially by minority group members, in fact took place. Examples of materials demonstrating public notice or participation include:

(1) Copies of newspaper articles discussing the proposed change.

(2) Copies of public notices that described the proposed change and invite public comment or participation in hearings or that announce submission to and invite comments for the consideration of the Attorney General and statements regarding where such public notices appeared (e.g., newspaper, radio, or television, posted in public buildings, sent to identified individuals or groups).

(3) Minutes or accounts of public hearings concerning the proposed change.

(4) Statements, speeches, and other public communications concerning the proposed change.

(5) Copies of comments from the general public.

(6) Excerpts from legislative journals containing discussion of a submitted enactment, or other materials revealing its legislative purpose.

(f) *Minority group contacts.* For submissions from jurisdictions having a significant minority population, the names, addresses, telephone numbers, and organizational affiliation (if any) of racial or language minority group members who can be expected to be familiar with the proposed change or who have been active in the political process.

Subpart D—Communications From Individuals and Groups

§ 51.27 Communications concerning voting changes.

Any individual or group may send to the Attorney General information concerning a change affecting voting in a jurisdiction to which Section 5 applies.

(a) Communications may be in the form of a letter stating the name, address, and telephone number of the individual or group, describing the alleged change affecting voting and setting forth evidence regarding whether the change has or does not have a discriminatory purpose or effect, or simply bringing to the attention of the Attorney General the fact that a voting change has occurred.

(b) The communications should be mailed to the Assistant Attorney General, Civil Rights Division, Department of Justice, Washington, D.C. 20530. The envelope and first page should be marked: Comment under Section 5 of the Voting Rights Act.

(c) Comments by individuals or groups concerning any change affecting voting may be sent at any time; however, individuals and groups are encouraged to comment as soon as they learn of the change.

(d) Department of Justice officials and employees shall comply with the request of any individual that his or her identity not be disclosed to any person outside the Department, to the extent permitted by the Freedom of Information Act, 5 U.S.C. 552. In addition, whenever it appears to the Attorney General that disclosure of the identity of an individual who provided information regarding a change affecting voting

"would constitute a clearly unwarranted invasion of personal privacy" under 5 U.S.C. 552(b)(6), the identity of the individual shall not be disclosed to any person outside the Department.

(e) When an individual or group desires the Attorney General to consider information that was supplied in connection with an earlier submission, it is not necessary to resubmit the information but merely to identify the earlier submission and the relevant information.

§ 51.28 Action on communications from individuals or groups.

(a) If there has already been a submission received of the change affecting voting brought to the attention of the Attorney General by an individual or group, any evidence from the individual or group shall be considered along with the materials submitted and materials resulting from any investigation.

(b) If such a submission has not been received, the Attorney General shall advise the appropriate jurisdiction of the requirement of Section 5 with respect to the change in question.

§ 51.29 Communications concerning voting suits.

Individuals and groups are urged to notify the Assistant Attorney General, Civil Rights Division, of litigation concerning voting in jurisdictions subject to the requirement of Section 5.

§ 51.30 Establishment and maintenance of registry of interested individuals and groups.

The Attorney General shall establish and maintain a Registry of Interested Individuals and Groups, which shall contain the name and address of any individual or group that wishes to receive notice of Section 5 submissions. Information relating to this registry and to the requirements of the Privacy Act of 1974, 5 U.S.C. 552a *et seq.*, is contained in Justice/CRT–004, 43 FR 44676 (Sept. 28, 1978).

Subpart E—Processing of Submissions

§ 51.31 Notice to registrants concerning submissions.

Regular notice of submissions that have been received will be given to the individuals and groups who have registered for this purpose under § 51.30.

§ 51.32 Expedited consideration.

(a) When a submitting authority is required under State law or local ordinance or otherwise finds it necessary to implement a change within the 60-day period following submission, it may request that the submission be given expedited consideration. The submission should explain why such consideration is needed and provide the date by which a determination is required.

(b) Jurisdictions should endeavor to plan for changes in advance so that expedited consideration will not be required and should not routinely request such consideration. When a submitting authority demonstrates good cause for expedited consideration the Attorney General will attempt to make a decision by the date requested. However, the Attorney General cannot guarantee that such consideration can be given.

(c) Notice of the request for expedited consideration will be given to interested parties registered under § 51.30.

§ 51.33 Disposition of inappropriate submissions.

The Attorney General will make no response on the merits with respect to an inappropriate submission but will notify the submitting authority of the inappropriateness of the submission. Such notification will be made as promptly as possible and no later than the 60th day following receipt and will include an explanation of the inappropriateness of the submission. Inappropriate submissions include the submission of changes that do not affect voting (see, e.g., § 51.12), the submission of standards, practices, or procedures that have not been changed (see, e.g., §§ 51.4, 51.13), the submission of changes that affect voting but are not subject to the requirement of Section 5 (see, e.g., § 51.16), premature submissions (see § 51.20), and submissions by jurisdictions not subject to the requirement of Section 5 (see §§ 51.4, 51.5).

§ 51.34 Release of information concerning submissions.

The Attorney General shall have the discretion to call to the attention of the submitting authority or any interested individual or group information or comments related to a submission.

§ 51.35 Obtaining information from the submitting authority.

(a) If a submission does not satisfy the requirements of § 51.25, the Attorney General shall request such further information as is necessary from the submitting authority and advise the submitting authority that the 60-day period will not commence until such information is received by the Department of Justice. The request shall be made as promptly as possible after receipt of the original inadequate submission and no later than the 60th day following its receipt.

(b) If, after a request for further information is made pursuant to this section, the information requested becomes available to the Attorney General from a source other than the submitting authority, the Attorney General shall promptly notify the submitting authority and interested individuals and groups.

§ 51.36 Obtaining information from others.

(a) The Attorney General may at any time request relevant information from governmental jurisdictions and from interested groups and individuals and may conduct any investigation or other inquiry that is deemed appropriate in making a determination.

(b) If a submission does not contain evidence of adequate notice to the public, and the Attorney General believes that such notice is essential to a determination, steps will be taken by the Attorney General to provide public notice sufficient to invite interested or affected persons to provide evidence as to the presence or absence of a discriminatory purpose or effect. The submitting authority shall be advised when any such steps are taken.

§ 51.37 Supplementary submissions.

When a submitting authority provides documents and information materially supplementing a submission (or a request for reconsideration of an objection) or, before the expiration of the 60-day period, makes a second submission such that the two submissions cannot be independently considered, the 60-day period for the original submission will be calculated from the receipt of the supplementary information or the second submission.

§ 51.38 Failure to complete submissions.

If after 60 days the submitting authority has not provided further information in response to a request made pursuant to § 51.35(a), the Attorney General, absent extenuating circumstances and consistent with the burden of proof under Section 5 described in § 51.39(e), may object to the change, giving notice as specified in § 51.43.

§ 51.39 Standards for determination by the Attorney General.

(a) Section 5 provides for submission to the Attorney General as an alternative to the seeking of a declaratory judgment from the U.S. District Court for the District of Columbia. Therefore, the Attorney General shall make the same

determination that would be made by the court in an action for a declaratory judgment under Section 5: whether the submitted change has the purpose or will have the effect of denying or abridging the right to vote on account of race, color, or membership in a language minority group.

(b) Guided by the relevant judicial decisions, the Attorney General shall base a determination on a review of material presented by the submitting authority, relevant information provided by individuals or groups, and the results of any investigation conducted by the Department of Justice.

(c) If the Attorney General determines that a submitted change does not have the prohibited purpose or effect, no objection shall be interposed to the change.

(d) If the Attorney General determines that a submitted change has the prohibited purpose or effect, an objection shall be interposed to the change.

(e) The burden of proof on a submitting authority when it submits a change to the Attorney General is the same as it would be if the change was the subject of a declaratory judgment action in the U.S. District Court for the District of Columbia. Therefore, if the evidence as to the purpose or effect of a change is conflicting and the Attorney General is unable to determine that the submitted change does not have the prohibited purpose or effect, an objection shall be interposed to the change.

§ 51.40 Notification of decision not to object.

(a) The Attorney General shall within the 60-day period allowed notify the submitting authority of a decision to interpose no objection to a submitted change affecting voting.

(b) The notification shall state that the failure of the Attorney General to object does not bar subsequent litigation to enjoin the enforcement of the change.

(c) A copy of the notification shall be sent to any party who has commented on the submission or has requested notice of the Attorney General's action thereon.

§ 51.41 Failure of the Attorney General to respond.

It is the practice and intention of the Attorney General to respond to each submission within the 60-day period. However, the failure of the Attorney General to make any response within the 60-day period constitutes preclearance of the submitted change, provided the submission is addressed as specified in § 51.22 and is appropriate for a response on the merits as described in § 51.33.

§ 51.42 Reexamination of decision not to object.

After notification to the submitting authority of a decision to interpose no objection to a submitted change affecting voting has been given, the Attorney General may reexamine the submission if, prior to the expiration of the 60-day period, information indicating the possibility of the prohibited discriminatory purpose or effect is received. In this event, the Attorney General may interpose an objection provisionally and advise the submitting authority that examination of the change in light of the newly raised issues will continue and that a final decision will be rendered as soon as possible.

§ 51.43 Notification of decision to object.

(a) The Attorney General shall within the 60-day period allowed notify the submitting authority of a decision to interpose an objection. The reasons for the decision shall be stated.

(b) The submitting authority shall be advised that the Attorney General will reconsider an objection upon a request by the submitting authority. In appropriate cases the Attorney General may request the submitting authority to give local public notice of the request for reconsideration.

(c) The submitting authority shall be advised further that notwithstanding the objection it may institute an action in the U.S. District Court for the District of Columbia for a declaratory judgment that the change objected to by the Attorney General does not have the prohibited discriminatory purpose or effect.

(d) A copy of the notification shall be sent to any party who has commented on the submission or has requested notice of the Attorney General's action thereon.

§ 51.44 Conference.

(a) A submitting authority that has requested reconsideration of an objection pursuant to § 51.43(b) may request a conference to produce information or legal argument in support of reconsideration.

(b) Such a conference shall be held at a location determined by the Attorney General and shall be conducted in an informal manner.

(c) When a submitting authority requests such a conference, individuals or groups that commented on the change prior to the Attorney General's objection or that seek to participate in response to any notice of a request for

reconsideration shall be notified and given the opportunity to confer.

(d) The Attorney General shall have the discretion to hold separate meetings to confer with the submitting authority and other interested groups or individuals.

(e) Such conferences will be open to the public or to the press only at the discretion of the Attorney General and with the agreement of the participating parties.

§ 51.45 Decision after reconsideration.

Where reconsideration has been requested pursuant to § 51.43(b), an objection shall be withdrawn if the submitting authority can produce information or legal agrument that satisfies the Attorney General that the change does not have the purpose and will not have the effect of discriminating on account of race, color, or membership in a language minority group. The Attorney General shall notify the submitting authority of the decision to continue or withdraw the objection, giving the reasons for the decision, within 60 days of the receipt of information or legal argument supporting the request for reconsideration, provided that the Attorney General shall have at least 15 days following any conference that is held in which to decide. A copy of the notification shall be sent to any party who has commented on the submission or request for reconsideration or has requested notice of the Attorney General's action thereon.

§ 51.46 Reconsideration of objection at the instance of the Attorney General.

Where there appears to have been a substantial change in operative fact or relevant law an objection may be reconsidered, if it is deemed appropriate, at the instance of the Attorney General. Notice of such a decision to reconsider shall be sent to the submitting authority, to any party who commented on the submission or requested notice of the Attorney General's action thereon, and to individuals and groups listed in the Registry of Interested Individuals and Groups. The Attorney General shall decide whether to withdraw or to continue the objection only after such persons have had a reasonable opportunity to comment but not later than the 60th day following notice of the decision to reconsider. The objection shall be withdrawn if the Attorney General is satisfied that the change does not have the purpose and will not have the effect of discriminating on account of race, color, or membership in a language minority group. The Attorney General shall notify the submitting authority of the decision to continue or withdrew the objection, giving the reasons for the decision. A copy of the notification shall be sent to any party who has commented on the submission or the reconsideration or has requested notice of the Attorney General's action thereon.

§ 51.47 Absence of judicial review.

The decision of the Attorney General not to object to a submitted change or to withdraw an objection is not reviewable.

§ 51.48 Records concerning submissions.

(a) Section 5 files: The Attorney General shall maintain a Section 5 file for each submission, containing the submission, related written materials, correspondence, memoranda, investigative reports, notations concerning conferences with the submitting authority or any interested individual or group, and copies of any letters from the Attorney General concerning the submission.

(b) Objection files: Brief summaries regarding each submission and the general findings of the Department of Justice investigation and decision concerning it will be prepared when a decision to interpose, continue, or withdraw an objection is made. Files of these summaries, arranged by jurisdiction and by the date upon which such decision is made, will be maintained.

(c) Computer file: Records of all submissions and of their dispositions by the Attorney General shall be electronically stored and periodically retrieved in the form of computer printouts.

(d) The contents of the above-described files shall be available for inspection and copying by the public during normal business hours at the Civil Rights Division, Department of Justice, Washington, D.C. Materials that are exempt from inspection under the Freedom of Information Act, 5 U.S.C. 552(b), may be withheld at the discretion of the Attorney General. Communications from individuals who have requested confidentiality or with respect to whom the Attorney General has determined that confidentiality is appropriate under § 51.27(d) shall be available only as provided by § 51.27(d). Applicable fees, if any, for the copying of the contents of these files are contained in the Department of Justice regulations implementing the Freedom of Information Act, 28 CFR 16.9.

Subpart F—Sanctions

§ 51.49 Enforcement by the Attorney General.

(a) The Attorney General is authorized to bring civil actions for appropriate relief against violations of the Act's provisions, including Section 5. See Section 12(d).

(b) Certain violations may be subject to criminal sanctions. See Sections 12 (a) and (c).

§ 51.50 Enforcement by private parties.

Private parties have standing to enforce Section 5.

Subpart G—Petition To Change Procedures

§ 51.51 Who may petition.

Any jurisdiction or interested individual or group may petition to have these procedural guidelines amended.

§ 51.52 Form of petition.

A petition under this subpart may be made by informal letter and shall state the name, address, and telephone number of the petitioner, the change requested, and the reasons for the change.

§ 51.53 Disposition of petition.

The Attorney General shall promptly consider and dispose of a petition under this subpart and give notice of the disposition, accompanied by a simple statement of the reasons, to the petitioner.

Appendix—Jurisdictions covered under Section 4(b) of the Voting Rights Act, as amended.

The preclearance requirement of Section 5 of the Voting Rights Act, as amended, applies in the following jurisdictions. The date in parentheses is the date that was used to determine coverage for the jurisdiction it follows.

Alabama (statewide) (Nov. 1, 1964)
Alaska (statewide) (Nov. 1, 1972)
Arizona (statewide) (Nov. 1, 1972)
(The following Arizona counties were covered individually through the use of earlier dates.)
Apache County (Nov. 1, 1968)
Cochise County (Nov. 1, 1968)
Coconino County (Nov. 1, 1968)
Mohave County (Nov. 1, 1968)
Navajo County (Nov. 1, 1968)
Pima County (Nov. 1, 1968)
Pinal County (Nov. 1, 1968)
Santa Cruz County (Nov. 1, 1968)
Yuma County (Nov. 1, 1964)
California (the following counties only)
Kings County (Nov. 1, 1972)
Merced County (Nov. 1, 1972)
Monterey County (Nov. 1, 1968)
Yuba County (Nov. 1, 1968)
Colorado (the following county only)
El Paso (Nov. 1, 1972)
Connecticut (the following towns only)
Groton Town (Nov. 1, 1968)
Mansfield Town (Nov. 1, 1968)
Southbury Town (Nov. 1, 1968)
Florida (the following counties only)
Collier County (Nov. 1, 1972)
Hardee County (Nov. 1, 1972)
Hendry County (Nov. 1, 1972)
Hillsborough County (Nov. 1, 1972)
Monroe County (Nov. 1, 1972)
Georgia (statewide) (Nov. 1, 1964)
Hawaii (the following county only)
Honolulu County (Nov. 1, 1964)
Idaho (the following county only)
Elmore County (Nov. 1, 1968)
Louisiana (statewide) (Nov. 1, 1964)
Massachusetts (the following towns only)
Amherst Town (Nov. 1, 1968)
Ayer Town (Nov. 1, 1968)
Belchertown (Nov. 1, 1968)
Bourne Town (Nov. 1, 1968)
Harvard Town (Nov. 1, 1968)
Sandwich Town (Nov. 1, 1968)
Shirley Town (Nov. 1, 1968)
Sunderland Town (Nov. 1, 1968)
Wrentham Town (Nov. 1, 1968)
Michigan (the following townships only)
Buena Vista Township (Saginaw County) (Nov. 1, 1972)
Clyde Township (Allegan County) (Nov. 1, 1972)
Mississippi (statewide) (Nov. 1, 1964)
New Hampshire (the following political subdivisions only)
Antrim Town (Nov. 1, 1968)
Benton Town (Nov. 1, 1968)
Boscawen Town (Nov. 1, 1968)
Millsfield Township (Nov. 1, 1968)
Newington Town (Nov. 1, 1968)
Pinkhams Grant (Nov. 1, 1968)
Rindge Town (Nov. 1, 1968)
Stewartstown (Nov. 1, 1968)
Stratford Town (Nov. 1, 1968)
Unity Town (Nov. 1, 1968)
New York (the following counties only)
Bronx County (Nov. 1, 1968)
Kings County (Nov. 1, 1968)
New York County (Nov. 1, 1968)
North Carolina (the following counties only)
Anson County (Nov. 1, 1964)
Beaufort County (Nov. 1, 1964)
Bertie County (Nov. 1, 1964)
Bladen County (Nov. 1, 1964)
Camden County (Nov. 1, 1964)
Caswell County (Nov. 1, 1964)
Chowan County (Nov. 1, 1964)
Cleveland County (Nov. 1, 1964)
Graven County (Nov. 1, 1964)
Cumberland County (Nov. 1, 1964)
Edgecombe County (Nov. 1, 1964)
Franklin County (Nov. 1, 1964)
Gaston County (Nov. 1, 1964)
Gates County (Nov. 1, 1964)
Granville County (Nov. 1, 1964)
Greene County (Nov. 1, 1964)
Guilford County (Nov. 1, 1964)
Halifax County (Nov. 1, 1964)
Harnett County (Nov. 1, 1964)
Hertford County (Nov. 1, 1964)
Hoke County (Nov. 1, 1964)
Jackson County (Nov. 1, 1972)
Lee County (Nov. 1, 1964)
Lenoir County (Nov. 1, 1964)
Martin County (Nov. 1, 1964)
Nash County (Nov. 1, 1964)
Northampton County (Nov. 1, 1964)

Onslow County (Nov. 1, 1964)
Pasquotank County (Nov. 1, 1964)
Perquimans County (Nov. 1, 1964)
Person County (Nov. 1, 1964)
Pitt County (Nov. 1, 1964)
Robeson County (Nov. 1, 1964)
Rockingham County (Nov. 1, 1964)
Scotland County (Nov. 1, 1964)
Union County (Nov. 1, 1964)
Vance County (Nov. 1, 1964)
Washington County (Nov. 1, 1964)
Wayne County (Nov. 1, 1964)
Wilson County (Nov. 1, 1964)
South Carolina (statewide) (Nov. 1, 1964)
South Dakota (the following counties only)
Shannon County (Nov. 1, 1972)
Todd County (Nov. 1, 1972)
Texas (statewide) (Nov. 1, 1972)
Virginia (satewide) (Nov. 1, 1964)
Wyoming (the following county only)
Campbell County (Nov. 1, 1968)

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