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The six-month trial period ended August 6. The program is being continued on a voluntary basis (see OFR notice, 41 FR 32914, August 6, 1976). The following agencies have agreed to remain in the program:

Monday	Tuesday	Wednesday	Thursday	Friday
NRC	USDA/ASCS		NRC	USDA/ASCS
DOT/COAST GUARD	USDA/APHIS		DOT/COAST GUARD	USDA/APHIS
DOT/NHTSA	USDA/FNS		DOT/NHTSA	USDA/FNS
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DOT/OHMO	CSC		DOT/OHMO	CSC
DOT/OPSO	LABOR		DOT/OPSO	LABOR
	HEW/ADAMHA			HEW/ADAMHA
	HEW/CDC			HEW/CDC
	HEW/FDA			HEW/FDA
	HEW/HRA			HEW/HRA
	HEW/HSA			HEW/HSA
	HEW/NIH			HEW/NIH
	HEW/PHS			HEW/PHS

Documents normally scheduled on a day that will be a Federal holiday will be published the next work day following the holiday.

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(The items in this list were editorially compiled as an aid to FEDERAL REGISTER users. Inclusion or exclusion from this list has no legal significance. Since this list is intended as a reminder, it does not include effective dates that occur within 14 days of publication.)

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NOTE: No public bills which have become law were received by the Office of the Federal Register for inclusion in today's LIST OF PUBLIC LAWS.

rules and regulations

This section of the FEDERAL REGISTER contains regulatory documents having general applicability and legal effect most of which are keyed to and codified in the Code of Federal Regulations, which is published under 50 titles pursuant to 44 U.S.C. 1510.

The Code of Federal Regulations is sold by the Superintendent of Documents. Prices of new books are listed in the first FEDERAL REGISTER issue of each month.

Title 7—Agriculture

CHAPTER IX—AGRICULTURAL MARKETING SERVICE (MARKETING AGREEMENTS AND ORDERS; FRUITS, VEGETABLES, NUTS), DEPARTMENT OF AGRICULTURE

[Valencia Orange Reg. 572, Amdt. 1]

PART 908—VALENCIA ORANGES GROWN IN ARIZONA AND DESIGNATED PART OF CALIFORNIA

Limitation of Handling

AGENCY: Agricultural Marketing Service, USDA.

ACTION: Amendment to final rule.

SUMMARY: This amendment increases the quantity of California-Arizona Valencia oranges that may be shipped to fresh market during the weekly regulation period September 9–15, 1977. The amendment recognizes that demand for Valencia oranges has improved, since the regulation was issued. This action will increase the supply of oranges available to consumers.

DATES: Weekly regulation period September 9–15, 1977.

FOR FURTHER INFORMATION CONTACT:

Charles R. Brader, Deputy Director, Fruit and Vegetable Division, Agricultural Marketing Service, U.S. Department of Agriculture, Washington, D.C. 20250 (202–447–3545).

SUPPLEMENTARY INFORMATION: *Findings.* (1) Pursuant to the amended marketing agreement and Order No. 908, as amended (7 CFR Part 908), regulating the handling of Valencia oranges grown in Arizona and designated part of California, effective under the Agricultural Marketing Agreement Act of 1937, as amended (7 U.S.C. 601–674), and upon the basis of recommendations and information submitted by the Valencia Orange Administrative Committee, established under the marketing agreement and order, and other available information, it is found that the limitation of handling of Valencia oranges as provided in this amendment will tend to effectuate the declared policy of the act.

(2) Demand in the Valencia orange markets has improved since the regulation was issued. Amendment of the regulation is necessary to permit orange handlers to ship a larger quantity of Valencia oranges to market to supply the increased demand. The amendment will increase the quantity permitted to be shipped by 125,000 cartons, in the interest of producers and consumers.

(3) It is further found that it is impracticable and is contrary to the public interest to give preliminary notice, engage in public rulemaking procedure, and postpone the effective date of this amendment until 30 days after publication in the FEDERAL REGISTER (5 U.S.C. 553), because the time intervening between the date when information became available upon which this amendment is based and the time when this amendment must become effective in order to effectuate the declared policy of the act is insufficient, and this amendment relieves restrictions on the handling of Valencia oranges.

(a) *Order, as amended.* The provisions in paragraph (b)(1) (i) and (ii) of § 908.872 Valencia Orange Regulation 572 (42 FR 44979) are hereby amended to read as follows:

§ 908.872 Valencia Orange Regulation 572.

* * * * *

(b) * * *

(1) * * *

(i) District 1: 310,000 cartons;

(ii) District 2: 465,000 cartons.

* * * * *

(Secs. 1–19, 48 Stat. 31, as amended (7 U.S.C. 601–674).)

Dated: September 14, 1977.

CHARLES R. BRADER,
Deputy Director, Fruit and Vegetable Division, Agricultural Marketing Service.

[FR Doc.77-27141 Filed 9-16-77;8:45 am]

CHAPTER X—AGRICULTURAL MARKETING SERVICE (MARKETING AGREEMENTS AND ORDERS; MILK), DEPARTMENT OF AGRICULTURE

[Milk Order Nos. 1006, 1012, and 1013; Docket Nos. AO-356-A16, AO-347-A19, and AO-286-A27]

MILK IN THE UPPER FLORIDA, TAMPA BAY, AND SOUTHEASTERN FLORIDA MARKETING AREAS

Order Amending Orders

AGENCY: Agricultural Marketing Service, USDA.

ACTION: Final rule.

SUMMARY: This action amends the three Florida Federal milk orders, based on a handler proposal considered at a public hearing in June 1977. The amended orders will provide for the use of a single butterfat differential for adjusting the value of producer milk in all uses according to the butterfat content of the milk. This change will improve competitive relationships between milk processors regulated under the Florida orders and those regulated under other nearby orders.

EFFECTIVE DATE: November 1, 1977.

FOR FURTHER INFORMATION CONTACT:

Martin J. Dunn, Marketing Specialist, Dairy Division, Agricultural Marketing Service, U.S. Department of Agriculture, Washington, D.C. 20250 (202–447–7311).

SUPPLEMENTARY INFORMATION: Prior documents in this proceeding:

Notice of Hearing—Issued June 13, 1977; published June 16, 1977 (42 FR 30627).

Recommended Decision—Issued July 26, 1977; published August 1, 1977 (42 FR 38913).

Final Decision—Issued August 25, 1977; published August 31, 1977 (42 FR 43855).

FINDINGS AND DETERMINATIONS

The following findings and determinations are made for each of the orders in this proceeding. They supplement those that were made when the orders were first issued and when they were amended. The previous findings and determinations are hereby ratified and confirmed, except where they may conflict with those set forth below.

(a) *Findings upon the basis of the hearing record.* A public hearing was held upon certain proposed amendments to the tentative marketing agreements and to the orders regulating the handling of milk in the respective marketing areas. The hearing was held according to the provisions of the Agricultural Marketing Agreement Act of 1937, as amended (7 U.S.C. 601 et seq.), and the applicable rules of practice and procedure governing the formulation of marketing orders (7 CFR Part 900).

Upon the basis of the evidence introduced at such hearing and the record thereof, it is found that:

(1) The said order as hereby amended, and all of the terms and conditions thereof, will tend to effectuate the declared policy of the Act;

(2) The parity prices of milk, as determined pursuant to section 2 of the Act, are not reasonable in view of the price of feeds, available supplies of feeds, and other economic conditions which affect market supply and demand for milk in the said marketing area, and the minimum prices specified in the order as hereby amended, are such prices as will reflect the aforesaid factors, insure a sufficient quantity of pure and wholesome milk, and be in the public interest; and

(3) The said order as hereby amended, regulates the handling of milk in the same manner as, and is applicable only

to persons in the respective classes of industrial or commercial activity specified in, a marketing agreement upon which a hearing has been held.

(b) *Determinations.* It is hereby determined that:

(1) The refusal or failure of handlers (excluding cooperative associations specified in Sec. 8c(9) of the Act) of more than 50 percent of the milk, which is marketed within each of the respective marketing areas, to sign a proposed marketing agreement, tends to prevent the effectuation of the declared policy of the Act;

(2) The issuance of this order, amending each of the specified orders, is the only practical means pursuant to the declared policy of the Act of advancing the interests of producers as defined in the respective orders as hereby amended; and

(3) The issuance of the order amending each of the specified orders is approved or favored by at least two-thirds of the producers who during the determined representative period were engaged in the production of milk for sale in the marketing area.

ORDER RELATIVE TO HANDLING

It is therefore ordered, That on and after the effective date hereof, the handling of milk in the Upper Florida, Tampa Bay, and Southeastern Florida marketing areas shall be in conformity to and in compliance with the terms and conditions of each of the aforesaid orders, as amended, and as hereby further amended, as follows:

PART 1006—MILK IN THE UPPER FLORIDA MARKETING AREA

1. In § 1006.50, the preamble and paragraph (a) are revised to read as follows:

§ 1006.50 Class prices.

Subject to the provisions of § 1006.52, the class prices for the month per hundredweight of milk shall be as follows:

(a) *Class I price.* The Class I price shall be the basic formula price for the second preceding month plus $2.85.

* * * * *

2. Section 1006.53 is revised to read as follows:

§ 1006.53 Announcement of class prices.

The market administrator shall announce publicly on or before the fifth day of each month the Class I price for the following month and the Class II price for the preceding month.

3. Section 1006.55 is revoked.

§ 1006.55 [Revoked]

4. In § 1006.60, paragraph (b) is revised to read as follows:

§ 1006.60 Handler's value of milk for computing uniform price.

* * * * *

(b) Add the amount obtained from multiplying the overage deducted from each Class pursuant to § 1006.44(a)(11) and the corresponding step of § 1006.44 (b) by the applicable class prices, as adjusted by the butterfat differential specified in § 1006.74;

* * * * *

5. In § 1006.61, paragraph (b) is revoked and the preamble is revised to read as follows:

§ 1006.61 Computation of uniform price.

For each month, the market administrator shall compute a uniform price for milk of 3.5 percent butterfat content as follows:

* * * * *

6. Section 1006.62 is revised to read as follows:

§ 1006.62 Announcement of uniform price and butterfat differential.

The market administrator shall announce publicly on or before:

(a) The fifth day after the end of each month the butterfat differential for such month; and

(b) The 11th day after the end of each month the uniform price for such month.

7. In § 1006.71, paragraph (a)(2)(i) is revised to read as follows:

§ 1006.71 Payments to the producer-settlement fund.

(a) * * *

(2) * * *

(i) The value of such handler's producer milk at the uniform price, as adjusted pursuant to § 1006.75; and

* * * * *

8. Section 1006.74 is revised to read as follows:

§ 1006.74 Butterfat differential.

For milk containing more or less than 3.5 percent butterfat, the uniform price shall be increased or decreased, respectively, for each one-tenth percent butterfat variation from 3.5 percent by a butterfat differential, rounded to the nearest one-tenth cent, which shall be 0.115 times the simple average of the wholesale selling prices (using the midpoint of any price range as one price) of Grade A bulk butter per pound at Chicago, as reported by the Department for the month.

9. In § 1006.76, paragraphs (a)(2)(i) and (b)(4) are revised to read as follows:

§ 1006.76 Payments by handler operating a partially regulated distributing plant.

* * * * *

(a) * * *

(2) * * *

(i) The gross payments made by such handler, adjusted to a 3.5 percent butterfat basis by the butterfat differential specified in § 1006.74, for Grade A milk received during the month from dairy farmers at such plant and like payments made by the operator of a supply plant(s) included in the computations pursuant to subparagraph (1) of this paragraph; and

* * * * *

(b) * * *

(4) Combine the amounts of skim milk and butterfat remaining into one total; and

* * * * *

PART 1012—MILK IN THE TAMPA BAY MARKETING AREA

1. § 1012.50, the preamble and paragraph (a) are revised to read as follows:

§ 1012.50 Class prices.

Subject to the provisions of § 1012.52, the class prices for the month per hundredweight of milk shall be as follows:

(a) *Class I price.* The Class I price shall be the basic formula price for the second preceding month plus $2.95.

* * * * *

2. Section 1012.53 is revised to read as follows:

§ 1012.53 Announcement of class prices.

The market administrator shall announce publicly on or before the fifth day of each month the Class I price for the following month and the Class II price for the preceding month.

3. Section 1012.55 is revoked.

§ 1012.55 [Revoked]

4. In § 1012.60, paragraph (b) is revised to read as follows:

§ 1012.60 Handler's value of milk for computing uniform price.

* * * * *

(b) Add the amount obtained from multiplying the overage deducted from each class pursuant to § 1012.44(a)(11) and the corresponding step of § 1012.44 (b) by the applicable class prices, as adjusted by the butterfat differential specified in § 1012.74;

* * * * *

5. In § 1012.61, paragraph (b) is revoked and the preamble is revised to read as follows:

§ 1012.61 Computation of uniform price.

For each month the market administrator shall compute a uniform price for milk of 3.5 percent butterfat content as follows:

* * * * *

6. Section 1012.62 is revised to read as follows:

§ 1012.62 Announcement of uniform price and butterfat differential.

The market administrator shall announce publicly on or before:

(a) The fifth day after the end of each month the butterfat differential for such month; and

(b) The 11th day after the end of each month the uniform price for such month.

7. In § 1012.71, paragraph (a)(2)(i) is revised to read as follows:

§ 1012.71 Payments to the producer-settlement fund.

(a) * * *

(2) * * *

(i) The value of such handler's producer milk at the uniform price, as adjusted pursuant to § 1012.75; and

* * * * *

8. Section 1012.74 is revised to read as follows:

§ 1012.74 Butterfat differential.

For milk containing more or less than 3.5 percent butterfat, the uniform price shall be increased or decreased, respectively, for each one-tenth percent butterfat variation from 3.5 percent by a butterfat differential, rounded to the nearest one-tenth cent, which shall be 0.115 times the simple average of the wholesale selling prices (using the midpoint of any price range as one price) of Grade A bulk butter per pound at Chicago, as reported by the Department for the month.

9. In § 1012.76, paragraphs (a)(2)(i) and (b)(4) are revised to read as follows:

§ 1012.76 Payments by handler operating a partially regulated distributing plant.

* * * * *

(a) * * *

(2) * * *

(i) The gross payments made by such handler, adjusted to a 3.5 percent butterfat basis by the butterfat differential specified in § 1012.74, for Grade A milk received during the month from dairy farmers at such plant and like payments made by the operator of a supply plant(s) included in the computations pursuant to subparagraph (1) of this paragraph; and

* * * * *

(b) * * *

(4) Combine the amounts of skim milk and butterfat remaining into one total; and

* * * * *

PART 1013—MILK IN THE SOUTHEASTERN FLORIDA MARKETING AREA

1. In § 1013.50, the preamble and paragraph (a) are revised to read as follows:

§ 1013.50 Class prices.

Subject to the provisions of § 1013.52, the class prices for the month per hundredweight of milk shall be as follows:

(a) *Class I price.* The Class I price shall be the basic formula price for the second preceding month plus $3.15.

* * * * *

2. Section 1013.53 is revised to read as follows.

§ 1013.53 Announcement of class prices.

The market administrator shall announce publicly on or before the fifth day of each month the Class I price for the following month and the Class II and Class III prices for the preceding month.

3. Section 1013.55 is revoked.

§ 1013.55 [Revoked]

4. In § 1013.60, paragraph (b) is revised to read as follows:

§ 1013.60 Handler's value of milk for computing uniform price.

* * * * *

(b) Add the amount obtained from multiplying the overage deducted from each class pursuant to § 1013.44(a)(12) and the corresponding step of § 1013.44 (b) by the applicable class prices, as adjusted by the butterfat differential specified in § 1013.74;

* * * * *

5. In § 1013.61, paragraph (b) is revoked and the preamble is revised to read as follows:

§ 1013.61 Computation of uniform price.

For each month the market administrator shall compute a uniform price for milk of 3.5 percent butterfat as follows:

* * * * *

6. Section 1013.62 is revised to read as follows:

§ 1013.62 Announcement of uniform price and butterfat differential.

The market administrator shall announce publicly on or before:

(a) The fifth day after the end of each month the butterfat differential for such month; and

(b) The 11th day after the end of each month the uniform price for such month.

7. In § 1013.71, paragraph (a)(2)(i) is revised to read as follows:

§ 1013.71 Payments to the producer-settlement fund.

(a) * * *

(2) * * *

(i) The value of each handler's producer milk at the uniform price, as adjusted pursuant to § 1013.75; and

* * * * *

8. In § 1013.73, paragraph (a)(3) is revised to read as follows:

§ 1013.73 Payments to producers and to cooperative associations.

(a) * * *

(3) On or before the 15th day of the following month, to each producer an amount equal to not less than the uniform price computed pursuant to § 1013.61 adjusted pursuant to §§ 1013.74 and 1013.75, multiplied by the total pounds of milk received from such producer, subject to the following adjustments:

* * * * *

9. Section 1013.74 is revised to read as follows:

§ 1013.74 Butterfat differential.

For milk containing more or less than 3.5 percent butterfat, the uniform price shall be increased or decreased, respectively, for each one-tenth percent butterfat variation from 3.5 percent by a butterfat differential, rounded to the nearest one-tenth cent, which shall be 0.115 times the simple average of the wholesale selling prices (using the midpoint of any price range as one price) of Grade A bulk butter per pound at Chicago, as reported by the Department for the month.

10. In § 1013.76, paragraph (a)(2) and (b)(4) are revised to read as follows:

§ 1013.76 Payments by handler operating a partially regulated distributing plant.

* * * * *

(a) * * *

(2) From this obligation there will be deducted the sum of:

(i) The gross payments made by such handler, adjusted to a 3.5 percent butterfat basis by the butterfat differential specified in § 1013.74, for Grade A milk received during the month from dairy farmers at such plant and like payments made by the operator of a supply plant(s) included in the computations pursuant to subparagraph (1) of this paragraph; and

(ii) Payments to the producer-settlement fund of another order under which such plant is also a partially regulated distributing plant.

(b) * * *

(4) Combine the amounts of skim milk and butterfat remaining into one total; and

* * * * *

(Secs. 1–19, 48 Stat. 31, as amended; 7 U.S.C. 601–674.)

Effective date: November 1, 1977.

Signed at Washington, D.C., on September 14, 1977.

ROBERT H. MEYER,
Assistant Secretary for Marketing Services.

[FR Doc.77-27142 Filed 9-16-77;8:45 am]

Title 12—Banks and Banking

CHAPTER II—FEDERAL RESERVE SYSTEM

SUBCHAPTER A—BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM

[Reg Z; FC-0106 through FC-0109]

PART 226—TRUTH IN LENDING

Official Staff Interpretations

AGENCY: Board of Governors of the Federal Reserve System.

ACTION: Official staff interpretation(s).

SUMMARY: The Board is publishing the following official staff interpretations of Regulation Z, issued by a duly authorized official of the Division of Consumer Affairs.

EFFECTIVE DATE: September 16, 1977.

FOR FURTHER INFORMATION CONTACT:

Glenn E. Loney, Attorney, Fair Credit Practices Section, Division of Consumer Affairs, Board of Governors of the Federal Reserve System, Washington, D.C. 20551 (202-452-2412).

SUPPLEMENTARY INFORMATION: (1) Identifying details have been de-

leted to prevent a clearly unwarranted invasion of personal privacy. The Board maintains and makes available for public inspection and copying a current index providing identifying information for the public subject to certain limitations stated in 12 CFR Part 261.6.

(2) Official staff interpretations may be reconsidered upon request of interested parties and in accordance with 12 CFR Part 226.1(d)(2). Every request for reconsideration should clearly identify the number of the official staff interpretation in question, and should be addressed to the Secretary, Board of Governors of the Federal Reserve System, Washington, D.C. 20551.

[FC-0106]

(3) 15 U.S.C. 1640(f).

§ 226.2(h)—Where customer and home improvement contractor enter cash contract and contractor agrees to submit credit application to service agency which will arrange financing, the contractor is an arranger only where it receives a fee for those services, regardless of whether it offered to provide credit.

§ 226.9(a)—Right of rescission does not apply to cash contract for home improvement if the contractor is not an arranger of credit, so contractor need not give notice or delay performance until after related credit transaction is consummated.

SEPTEMBER 1, 1977.

This is in reply to your letter of * * *, requesting an official staff interpretation of the requirements of Regulation Z with regard to certain home improvement contracts. You pose a situation with several factual variations and then ask a series of questions about each fact situation. This letter will deal with the questions together.

You pose a situation in which a customer and a contractor enter into a construction contract by which the contractor is to be paid in cash upon completion of the home improvement. The customer advises that a loan will have to be arranged in order to pay the contract price, and the two parties agree that the contractor will submit the customer's credit application to a service agency, which will in turn secure a loan commitment from a lending institution to finance the improvement. The customer has no obligation to accept the financing arranged and offered by the service agency and is free to seek independent financing. The service agency submits the customer's credit application to a lending institution, which then approves the request and issues a loan commitment. The service agency prepares all documents required by the lending institution for consummation of this transaction, including a second contract, security document, and Federal and State disclosures and notices. The second contract and security document are drafted to designate the customer as the "borrower" and the service agency as the "seller." Thus, the second contract purports to be a sales document for the purchase of a home improvement.

You state under California law, the customer has three days in which to rescind the construction contract. Upon expiration of the three-day rescission period, the security document relating to the second contract is assigned to the lending institution by the service agency. At the same time, the contractor, with the consent of the customer, assigns the construction contract to the service agency. These assignments become effective only when the lending institution has accepted the security document and paid the loan proceeds to the service agency. The proceeds are held by the service agency until completion of construction and are then disbursed to the contractor.

In one situation, the contractor does not pay the service agency a fee for its services, nor does the service agency compensate the contractor for the referral. In the other situation, either (a) the contractor pays the service agency a fee for its services, or (b) the service agency compensates the contractor for the referral. In some situations, the construction contract includes the phrase "financing to be arranged," which you explain is merely a notation on the contract indicating that the customer will be arranging a loan to pay the contract price. (We assume that the customer is not thereby obligated to accept any financing to be procured by the contractor). In other situations, either the construction contract or the notice of opportunity to rescind given in connection therewith contains the following language: "The financing of this transaction is provided to you, the Buyer, by the Seller; however, if you wish to obtain your own financing you have the right, as explained below, to cancel or rescind any financial arrangements provided by the Seller. If you do cancel or rescind the financing offered by the Seller, the Seller's contract immediately becomes payable as per cash terms thereon."

Finally, in some situations, when the customer and contractor enter into the construction contract, there is no discussion about financing the contract price. After the three-day State-law right of rescission has expired, the contractor commences performance. During the course of construction, the customer advises the contractor that although an attempt has been made to arrange a loan to finance the contract price, it has been unsuccessful. Alternatively, the customer advises the contractor that while he/she had anticipated paying the contract price in cash, he/she now wishes to arrange for financing. The customer and contractor agree that the contractor will submit the customer's credit application to a service agency at this time in order to secure such financing.

In the context of the above statement of facts, you ask a number of questions. First, you ask whether the contractor is an arranger of credit. Pursuant to § 226.2(h), an arranger of credit is one who provides or offers to provide consumer credit to be extended by another person, and who either receives a fee or other consideration for such service or has knowledge of the credit terms and participates in preparation of the contract documents required in the credit transaction. Where the contractor has received compensation from the service agency for its services in arranging the credit transaction, it is an arranger of credit within the meaning of § 226.2(h). The fact that it has paid a fee to the service agency is irrelevant to the question of whether it is an arranger of credit.

In all of the other situations, however, the contractor is not an arranger of credit. In these cases, the transaction between the contractor and the customer is a cash transaction and is essentially the same as that discussed in Official Staff Interpretation FC-0007, a copy of which is enclosed. As explained in section 2 of that interpretation, the contractor is not a creditor since it neither extends nor arranges for the extension of credit. It may have participated in preparation of the credit application but has taken no part in preparing the contract documents required for the credit transaction.

Inclusion of the above-quoted language concerning the financing being provided by the seller does not by itself cause the contractor to be an arranger of credit. Although it has by this language offered to provide credit to be extended by another person, it has neither received compensation nor participated in preparation of the credit transaction documents with knowledge of the credit terms. Furthermore, since the quoted provision makes it clear that the customer is not bound to accept the financing which may be provided, the transaction with the contractor is not contingent upon or a part of any credit transaction.

The service agency, on the other hand, is an arranger of credit in all of the situations, since it has provided consumer credit that is extended by another person (the lending institution), has knowledge of the credit terms, and has prepared the documents required for the credit transaction. In addition, where the service agency receives compensation for its services, that constitutes another basis for finding it to be an arranger of credit.

You ask whether the § 226.9 right of rescission applies to the construction contract. In the situation in which the contractor has arranged for the extension of credit and is therefore a creditor, the construction contract is a credit sale within the meaning of § 226.2(t), since it is a sale with respect to which consumer credit is arranged by the seller. The right of rescission under § 226.9 of Regulations Z relates to the entire credit transaction, including the construction contract. Section 226.9(c) prohibits creditors from performing any of the actions enumerated therein during the three-day rescission period, which period commences only when the credit transaction has been consummated or delivery of all required disclosures is made, whichever is later. If consummation occurs upon issuance of the loan commitment by the lending institution and the required disclosures and notice of right to rescind are given at that time, the contractor must delay performance until at least three business days after that date. If the customer exercises the right of rescission, the construction contract as well as the rest of the credit transaction would be rescinded.

In all of the other situations, that is, those in which the contractor is not an arranger of credit, the construction contract is a cash transaction, not a credit sale. The § 226.9 right of rescission would not apply to the construction contract, and the contractor, therefore, has no obligation to delay performance in accordance with § 226.9(c), or to cease performance in those cases where the financing is arranged during the course of construction. If the customer exercises the § 226.9 right of rescission as to the credit transaction, this would not affect the separate cash transaction between the contractor and the customer. This answer does not, of course, address the contractor's duties and rights under the California rescission law.

You ask whether the security document constitutes a credit sale within the meaning of § 226.2(t). Since a security instrument cannot in itself constitute a credit sale, we assume what you are asking is whether the second contract, the one secured by the security document, evidences a credit sale.

It appears that the second contract is, in reality, a loan transaction between the lending institution and the customer. It is drafted, however, as a sale contract for the purchase of a home improvement, with the service agency named as the seller. In a telephone conversation with a member of this staff, you stated that the contract must be drafted as a sale for State law reasons. Your letter states that lending institutions, presumably as a matter of business policy, require that the service agency rather than the contractor be named as the seller. Thus, it appears that there are two contracts for the sale of a single home improvement to be performed by the same party, one contract naming the contractor as the seller and another naming the service agency as the seller.

It is staff's opinion that, regardless of the terminology used in the second contract, for purposes of Regulation Z, it is a loan and not a sale. Therefore, it is not a credit sale as defined by § 226.2(t). Of course, it should be noted that in those situations where the contractor is a creditor, all of the creditors may choose to make joint disclosures pursuant to § 226.6(d). If they do so, it would be appropriate to give credit sale disclosures as set forth in § 226.8 (b) and (c).

Finally, you ask several questions about the security interest acquired by the contractor. You state that under the construction contract alone (assuming no financing is required), upon performance by the contractor and absent payment by the customer, the contractor would be entitled to claim a mechanic's lien. You ask whether this gives the contractor a security interest as defined by § 226.2(gg) and, if so, whether it is of such a nature as to require the Notice of Opportunity to Rescind set forth in § 226.9(b). The contractor's mechanic's lien would constitute a "security interest" as defined in § 226.2(gg). However, the contractor need not provide the § 226.9(b) notice because that notice must be provided only by creditors for credit transactions to which the right to rescind applies. This response assumes that there is no credit transaction with the contractor (since the contract is for a cash sale) and, therefore, § 226.9 is not applicable.

This is an official staff interpretation of Regulation Z, issued in accordance with § 226.1(d)(3) of the regulation and limited to the facts and issues as described herein. I trust it is responsive to your inquiry.

Sincerely,

JANET HART,
Director.

[FC-0107]

§ 226.7(k)—Section 226.7(k)(3)(ii) permits creditor to date nonsale credit documents which customer failed to date and to disclose that date as "the date which appears on the document" as required by § 226.7(k)(3)(ii) so that the requirements of § 226.7(k)(4) need not be met, with respect to identifying the date, when such a procedure is used.

SEPTEMBER 1, 1977.

This is in response to your letter of * * *, in which you request an official staff interpretation with regard to § 226.7(k) (3) and (4) of Regulation Z.

You state that your client, a bank, has an open end credit plan that offers several different types of transactions that you believe to be nonsale credit transactions covered by § 226.7(k)(3). You also state that the customers complete the credit documents for the different transactions by placing the dates and amounts of the transactions on the credit documents they sign. You state, however, that your client sometimes receives the above-described documents signed but undated. An undated item is usually honored and processed, with the customer's account being debited for the amount authorized.

You indicate that your client uses a descriptive billing system on its periodic statement which identifies transactions by disclosing both the date of debiting and the date appearing on the document. You claim, however, that where the customer neglects to date one of the documents, the bank has a problem in disclosing the date required by § 226.7(k)(3)(ii). Your concern is based upon the fact that the regulation provides that after October 28, 1977, disclosure of the transaction date or the date which appears on the credit document is required, and that disclosure of the debiting date alone will no longer be sufficient for purposes of § 226.7(k).

With regard to only those instances in which the customer neglects to date a document, your client does not wish to treat any customer inquiry regarding the description of the transaction as an erroneous billing or to provide documentary evidence of the transaction as required by § 226.7(k)(4). That section requires such treatment when, despite the creditor's procedures to procure such information, the primarily required information is unavailable. You ask whether § 226.7(k)(3) permits your client to place a date on those credit documents which the customer fails to date and disclose that date as "the date which appears on the document," without having to meet the requirements of § 226.7(k)(4). Such a date would generally be the date the bank first receives the item or instrument and has an opportunity to process it. You state that the date will usually be the date of debiting.

Staff agrees with your contention that the language of § 226.7(k)(3)(ii) permits disclosure of a date placed by your client on the document or instrument evidencing the transaction in the circumstances which you have described. Furthermore, staff agrees with your reasoning that such a conclusion is buttressed by the fact that the language in § 226.7(k)(3)(ii), unlike § 226.7(k)(3)(i) (which addresses "country club" rather than "descriptive" billing situations), allows disclosure of the date appearing on the document or instrument evidencing the transaction without requiring that such date be placed on the document or instrument by the customer. Staff is of the opinion that the procedures you describe would satisfy the disclosure requirements of § 226.7(k)(3)(ii) and that the requirements of § 226.7(k)(4) need not be met, with respect to identifying the date, when such a procedure is used. However, we do not believe that such a procedure would be appropriate in cases in which the customer did, in fact, place a date on the credit document.

This is an official staff interpretation issued in accordance with § 226.1(d)(3) of Regulation Z and limited to the facts and issues presented herein. I trust that it is responsive to your inquiry.

Sincerely,

JERAULD C. KLUCKMAN,
Associate Director.

[FC-0108]

§ 226.10(f)—§ 226.10(f) does not apply to advertisements in which a bona fide finance charge is identified in connection with the credit terms.

§ 226.2(x)—A credit plan which does not provide for the assessment of a finance charge computed from time on the outstanding unpaid balance is not open end credit.

SEPTEMBER 2, 1977.

This is in response to your letter of * * *, in which you requested official staff interpretations concerning § 226.10(f) and 226.2(x) of Regulation Z.

Your first question is whether a retailer who advertises sales credit plans imposing only a minimal one-time finance charge (e.g., 50 cents) and involving no additional finance charges (regardless of the amount owing thereunder and with no stated limit on the dollar amount of purchases which may be made thereunder), with a substantial period for repayment (e.g., 24 months) must state in his advertisement pursuant to § 226.10(f) that "the cost of credit is included in the price quoted for the goods or services." Staff continues to hold the opinion expressed in Public Information Letter 896 (a copy of which is attached) that § 226.10(f) does not apply to advertisements in which a finance charge is identified in connection with the credit terms. However, the finance charge so identified should be bona fide and not merely set forth as a nominal amount to circumvent or evade the purposes of the Act. Staff does not believe that it can give any definite guidelines regarding what would be considered bona fide for every situation, although such factors as the normal markup on merchandise and the time price of money, as well as factors bearing on the nature of the charge involved (for example, the fact that the finance charge does not vary no matter how much the customer borrows or how long the customer takes to repay) would appear to be relevant in this determination.

Your second question is whether the imposition of a one-time finance charge as discussed above would preclude classification of any such plan as an open end credit plan, even where the customer may make purchases from time to time and repay in full or in installments. Staff believes that a credit plan which contemplates repetitive transactions and involves only a one-time finance charge assessment as you have outlined would not meet the definition of open end credit in § 226.2(x) of Regulation Z. However, this conclusion results not from the imposition of the one-time finance charge but, rather, from the fact that the plan involves other than open end credit and, as you have suggested, would be subject to the advertising requirements of § 226.10(d) rather than those of § 226.10(c). We would point out, however, that your client's plan would be subject to certain open end credit provisions, despite the fact that it does not meet the general definition of open end credit, if it involves the issuance of a credit card (§ 226.8(q)).

This is an official staff interpretation of Regulation Z, issued in accordance with § 226.1(d)(3) of the regulation and limited in its application to the facts set forth herein. I trust that it is responsive to your inquiry.

Sincerely,

JERAULD C. KLUCKMAN,
Associate Director.

[FC-0109]

§ 226.7(a)—"Required minimum periodic payment" is that amount a customer is contractually obligated to pay in order to avoid his or her account being considered delinquent or in default.

SEPTEMBER 2, 1977.

This is in response to your letter of * * *, requesting an official staff interpretation of § 226.7(a)(8) of Regulation Z. Your bank provides a check cashing guarantee program to its cardholders. A participating merchant who is presented with a check by one of your cardholders will first call the bank to obtain a proper authorization number. Once authorization is received, the merchant accepts the cardholder's check in payment for the goods or services. If the check is later returned to the merchant unpaid, the bank will pay the merchant and debit the cardholder's account, treating the debit as a cash advance for purposes of descriptive billing and imposition of finance charges.

When the bank pays a merchant for a returned check, the cardholder's check cashing guarantee privileges are suspended until the next two required minimum periodic payments are made in a timely manner. The bank would like to give its customer the option of immediate reinstatement of check cashing privileges by remitting to the bank the entire amount of the returned check. You inquire whether giving the customer that option might be construed as altering the required minimum payment (presently four percent of the new balance or $10.00, whichever is greater) previously disclosed in accordance with § 226.7(a)(8), thereby requiring the bank to provide a notice of a change in terms pursuant to § 226.7(f).

You believe that the answer to your question depends upon the meaning of the phrase "minimum required periodic pay-

ment" in § 226.7(a)(8). You are concerned that if the phrase is intended to describe the amount a customer is required to pay in order to have every feature of an open end credit plan fully available for immediate use, then what your bank proposes would constitute a change in terms as meant by § 226.7(f).

Staff does not believe that this was the intended meaning or purpose of this phrase. Since your bank will treat immediate remission of the entire amount of any returned check as optional, and since the account will not be considered delinquent or in default if a customer elects to repay that amount over a period of time, we believe that no change in the "required minimum periodic payment" as meant by § 226.7(a)(8) will occur upon implementation of your bank's proposal. Accordingly, the provisions of § 226.7(f) would be inapplicable.

This is an official staff interpretation of Regulation Z, issued in accordance with § 226.1(d)(3) of the regulation and limited in its application to the facts and issues outlined herein. I trust it is responsive to your inquiry.

Sincerely,

JERAULD C. KLUCKMAN,
Associate Director.

Board of Governors of the Federal Reserve System, September 12, 1977.

THEODORE E. ALLISON,
Secretary of the Board.

[FR Doc.77-27093 Filed 9-16-77;8:45 am]

CHAPTER VII—NATIONAL CREDIT UNION ADMINISTRATION

PART 720—DESCRIPTION OF OFFICE, DISCLOSURE OF OFFICIAL RECORDS, AVAILABILITY OF INFORMATION, PROMULGATION OF REGULATIONS

Technical Revision of Regulation

AGENCY: National Credit Union Administration.

ACTION: Final rule.

SUMMARY: Certain technical revisions are hereby made to the National Credit Union Administration's regulations on description of office, disclosure of official records, availability of information, and promulgation of regulations to reflect changes in the designations of certain internal offices and officials.

DATES: These regulations are to be effective September 19, 1977.

ADDRESS: National Credit Union Administration, 2025 M Street NW., Washington, D.C. 20456.

FOR FURTHER INFORMATION CONTACT:

Robert M. Fenner, Office of the General Counsel, National Credit Union Administration, 2025 M Street NW., Washington, D.C. 20456, telephone 202-254-9810.

SUPPLEMENTARY INFORMATION: The Office of Inspection and Audit is now the Office of Internal Audit and Investigation, and the Assistant Administrator for this Office is now the Assistant Administrator for Internal Audit and Investigation. The Office of Fiscal Affairs is now the Office of the Comptroller. The Assistant Administrator of this Office is now the Comptroller, and the Deputy Assistant Administrator is now the Deputy Comptroller. In addition, the addresses of three Regional Offices have changed.

5 U.S.C. 553 excludes "rules of agency organization, procedure, or practice" from the general requirements of allowing comment periods for and delaying the effective dates of proposed rules. Since this rule deals with mere organizational technicalities, it falls within this exception and no comment period is provided. The changes presented are already in effect and this publication is to serve simply as a notice of a final rule.

Accordingly, 12 CFR Part 720 is amended as set forth below:

§ 720.2 [Amended]

Section 720.2(b)(6) is amended by inserting "Internal Audit and Investigation" wherever "Inspection and Audit" formerly appeared.

Section 720.2(b)(7) is amended by changing "Assistant Administrator, Office of Fiscal Affairs," to "Comptroller, Office of the Comptroller," and by replacing "Assistant Administrator for Fiscal Affairs" with "Comptroller," and "Deputy Assistant Administrator" with "Deputy Comptroller."

Section 720.2(c)(1) is amended by changing the addresses of three Regional Offices as follows:

Region IV: New Federal Building, 234 N. Summit Street, Room 704, Toledo, Ohio 43604;

Region V: 515 Congress Avenue, Suite 1400, Austin, Tex. 78701; and

Region VI: Two Embarcadero Center, Suite 1830, San Francisco, Calif. 94111.

(Sec. 120, 73 Stat. 635 (12 U.S.C. 1766) and sec. 209, 84 Stat. 1104 (12 U.S.C. 1789).)

LAWRENCE CONNELL, Jr.,
Administrator.

SEPTEMBER 8, 1977.

[FR Doc.77-27087 Filed 9-16-77;8:45 am]

Title 10—Energy

CHAPTER I—NUCLEAR REGULATORY COMMISSION

PART 71—PACKAGING OF RADIOACTIVE MATERIAL FOR TRANSPORT AND TRANSPORTATION OF RADIOACTIVE MATERIAL UNDER CERTAIN CONDITIONS

Quality Assurance Requirements for Transport Packages; Notice of Approval by Comptroller General

AGENCY: U.S. Nuclear Regulatory Commission.

ACTION: Final rule. Approval of reporting and record keeping requirements by Comptroller General.

SUMMARY: On August 4, 1977, the Nuclear Regulatory Commission published in the FEDERAL REGISTER a notice of rulemaking, effective October 18, 1977, amending its regulations for packaging of radioactive material for transportation and transportation of radioactive material.

The notice included a note that: (1) The Nuclear Regulatory Commission has submitted the rule to the Comptroller General for such review as may be appropriate under the Federal Reports Act, as amended, and (2) the date on which the reporting requirement of the rule becomes effective, unless advised to the contrary, accordingly reflects inclusion of the 45-day period which that statute allows for such review. The reporting requirements set out in the rule have been approved by the U.S. General Accounting Office under number B-180225 (R0056).

EFFECTIVE DATE: October 18, 1977. The reporting requirements set out in §§ 71.51, 71.62, and 71.63 of the rule published in the FEDERAL REGISTER on August 4, 1977 (42 FR 39364) have been approved by the U.S. General Accounting Office under number B-180225 (R0056) and the effective date of the rule is October 18, 1977.

FOR FURTHER INFORMATION CONTACT:

Mr. Donald R. Hopkins, Office of Standards Development, U.S. Nuclear Regulatory Commission, Washington, D.C. 20555, phone 301-443-6910.

Dated at Bethesda, Md., this 15th day of September 1977.

For the Nuclear Regulatory Commission.

LEE V. GOSSICK,
Executive Director for Operations.

[FR Doc.77-27324 Filed 9-19-77;9:32 am]

Title 14—Aeronautics and Space

CHAPTER I—FEDERAL AVIATION ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

[Docket No. 74-WE-42-AD; 39-3031]

PART 39—AIRWORTHINESS DIRECTIVES

McDonnell Douglas DC-8 Series Airplanes

AGENCY: Federal Aviation Administration (FAA), DOT.

ACTION: Final rule.

SUMMARY: After issuing Amendment 39-2999 to AD 74-23-06 it appears that the inclusion of a new subparagraph (4) would require an unnecessary revision to the FAA approved airplane flight manual. After a thorough review and study the FAA has determined that a change in the FAA approved airplane flight manual is not necessary to accomplish the desired objective. Therefore AD 74-23-06 is being further amended to remove the reference to subparagraph (4) and to add a Note in its place.

Since this amendment provides a clarification and imposes no additional burden on any person, notice and public procedure hereon are unnecessary and the amendment may be made effective in less than 30 days.

DATE: Effective date, September 15, 1977.

FOR FURTHER INFORMATION CONTACT:

Jerry J. Presba, Executive Secretary Airworthiness Directives Review Board, Federal Aviation Administration, Western Region, P.O. Box 92007, Worldway Postal Center, Los Angeles, Calif. 90009, telephone 213-536-6351.

DRAFTING INFORMATION

The principal authors of this document are Thomas C. DuFour, Aircraft Engineering Division, and Richard G. Wittry, Office of the Regional Counsel.

ADOPTION OF THE AMENDMENT

Accordingly, pursuant to the authority delegated to me by the Administrator, (14 CFR 11.89), § 39.13 of Part 39 of the Federal Aviation Regulations (14 CFR 39.13), Amendment 39-2005 (39 FR 39717), AD 74-23-06, as amended by Amendment 39-2999, 42 FR 39972, is further amended as follows:

(1) Amend the opening statement to read as follows:

Within 24 hours after receipt of this telegram, incorporate the operating limitations and procedures, set forth in paragraphs (1), (2), and (3), below, into the Douglas DC-8 FAA approved Airplane Flight Manual. Make appropriate notations on the log of pages. Operators shall promptly implement these limitations and procedures.

(2) Delete subparagraph (4).

(3) Add a new paragraph following subparagraph (3) to read:

NOTE.—One reservoir feed pump may be inoperative provided: (a) The affected reservoir feed pump is placarded inoperative at the feed pump switch position.

(b) Established maintenance procedures for this item are followed.

(c) Sufficient fuel is carried in the associated tank to provide a minimum of 2000 pounds of additional fuel in excess of the fuel (including reserves) needed for the flight.

(d) 'Fuel Loading and Management' is in accordance with the FAA Approved Airplane Flight Manual.

This amendment becomes effective September 13, 1977.

(Secs. 313(a), 601, and 603, Federal Aviation Act of 1958, as amended (49 U.S.C. 1354(a), 1421, and 1423); Sec. 6(c), Department of Transportation Act (49 U.S.C. 1655(c)); and 14 CFR 11.89.)

NOTE.—The Federal Aviation Administration has determined that this document does not contain a major proposal requiring preparation of an Economic Impact Statement under Executive Order 11821, as amended by Executive Order 11949, and OMB Circular A-107.

Issued in Los Angeles, California on August 29, 1977.

HERMAN C. BLISS,
Acting Director, Federal Aviation Administration Western Region.

[FR Doc.77-26998 Filed 9-16-77;8:45 am]

[Docket No. 77-EA-65; Amdt. 39-3036]

PART 39—AIRWORTHINESS DIRECTIVES

Grumman-American Aircraft

AGENCY: Federal Aviation Administration (FAA), DOT.

ACTION: Final rule.

SUMMARY: This rule (AD) is applicable to Grumman G-164A and 164B type airplanes. It requires the removal and replacement of flying wire terminals due to the possibility of defective terminals in the affected airplane groups. It appears that the defect was caused by improper manufacturing.

EFFECTIVE DATE: September 22, 1977.

ADDRESS: Grumman operations and maintenance manuals may be acquired from the manufacturer at P.O. Box 2206, Savannah, Ga. 51402.

FOR FURTHER INFORMATION CONTACT:

I. Mankuta, Airframe Section, AEA-212, Engineering and Manufacturing Branch, Federal Building, J. F. K. International Airport, Jamaica, N.Y. 11430, telephone 212-995-2875.

SUPPLEMENTARY INFORMATION: There has been a report of a loose flying wire on a subject aircraft which is normally used in agricultural operations. Research has indicated that the looseness was due to an improperly manufactured terminal, in that threads were torn, they were of improper pitch, and the hole for the threaded portion was eccentric. In view of the effect on air safety, notice and public procedure hereon are impractical and good cause exists for making the rule (AD) effective in less than 30 days.

DRAFTING INFORMATION

The principal authors of this document are I. Mankuta, Flight Standards Division, and Thomas C. Halloran, Esq., Office of the Regional Counsel.

It has been determined that the expected impact of the proposed regulation is so minimal that the proposal does not warrant an evaluation.

ADOPTION OF THE AMENDMENT

Accordingly, and pursuant to the authority delegated to me by the Administrator, Section 39.13 of the Federal Aviation Regulations (14 CFR 39.13) is amended, as follows:

GRUMMAN-AMERICAN: Applies to Models G-164A, S/N's 1715 through 1717 and G-164B, S/N's 240B, 242B, 243B, 244B, 246B, 251B, 252B, 253B, 254B, 257B, 258B, 264B, 269B.

To prevent hazards associated with defective flying wire terminals, part number AN665-115L/R, accomplish the following prior to further flight:

Remove the AN665-115L/R, terminals and replace them with unused parts received from Grumman American Aviation Corporation. Installation will be in accordance with the aircraft operations and maintenance manual. Parts will be obtained from Grumman American Aviation Corporation, P.O. Box 147, Elmira, N.Y. 14902.

The aircraft may be flown in accordance with 21.197 to a location where the AD can be accomplished.

Effective Date: This amendment is effective September 22, 1977.

(Secs. 313(a), 601, and 603, Federal Aviation Act of 1958, as amended, 49 U.S.C. 1354(a), 1421, and 1423; Sec. 6(c) Department of Transportation Act, 49 U.S.C. 1655(c); and 14 CFR 11.89.)

NOTE.—The Federal Aviation Administration has determined that this document does not contain a major proposal requiring preparation of a Economic Impact Statement under Executive Order 11821, as amended by Executive Order 11949, and OMB Circular A-107.

Issued in Jamaica, N.Y., on September 8, 1977.

L. J. CARDINALI,
Acting Director, Eastern Region.

[FR Doc.77-27013 Filed 9-16-77;8:45 am]

[Docket No. 77-GL-17; Amdt. 39-3037]

PART 39—AIRWORTHINESS DIRECTIVES

Detroit Diesel Allison Model 250-C20/C20B/C20C and 250-B17/B17B Engines

AGENCY: Federal Aviation Administration (FAA), DOT.

ACTION: Final rule.

SUMMARY: This amendment amends an existing Airworthiness Directive (AD) applicable to Detroit Diesel Allison Model 250-C20 and B17 series engines by specifying an acceptable level of corrosion of the power turbine outer coupling nut and requiring repetitive inspections on all configurations of coupling nuts irrespective of condition. The amendment is needed because the FAA has determined that by use of newly developed inspection criteria the person performing the inspection can differentiate between acceptable and unacceptable levels of corrosion thereby reducing the number of mandatory engine disassemblies.

DATES: Effective September 23, 1977. Compliance schedule—As prescribed in the body of the AD.

ADDRESSES: Copies of Detroit Diesel Allison Commercial Service Letters referenced herein may be obtained by contacting: Detroit Diesel Allison, Division of General Motors Corp., P.O. Box 894, Indianapolis, Ind. 46206.

FOR FURTHER INFORMATION CONTACT:

W. Ashworth, Engineering and Manufacturing Branch, Flight Standards Division, AGL-214, Federal Aviation Administration, 2300 E. Devon Avenue, Des Plaines, Ill. 60018, telephone 312-694-4500, extension 308.

SUPPLEMENTARY INFORMATION: This notice amends Amendment 39-2977,

42 FR 37804, AD 77-15-12 which required inspection of the power turbine outer coupling nut for corrosion with required removal and replacement if any evidence of corrosion was found. After issuing Amendment 39-2977, AD 77-15-12 the FAA determined that new inspection criteria developed by the engine manufacturer would allow the person performing the inspection to differentiate between acceptable and unacceptable levels of corrosion. The acceptability of a specified level of corrosion however requires that all coupling nuts whether coated or uncoated and irrespective of condition be subjected to repetitive inspections so that corrosion progression may be monitored. Therefore, the FAA is amending Amendment 39-2977 by requiring that the new inspection criteria and compliance requirement developed by the engine manufacturer be applicable to all affected engines.

Since this amendment provides an alternate means of compliance and imposes no additional burden on any person, notice and public procedure hereon are unnecessary and good cause exists for making the amendment effective in less than 30 days.

DRAFTING INFORMATION

The principal authors of this document are W. Ashworth, Flight Standards Division, Great Lakes Region, and J. McLaughlin, Office of the Regional Counsel, Great Lakes Region.

ADOPTION OF THE AMENDMENT

Accordingly, pursuant to the authority delegated to me by the Administrator, § 39.13 of Part 39 of the Federal Aviation Regulations (14 CFR 39.13) is amended by amending Amendment 39-2977, 42 FR 37804, AD 77-15-12 by changing the body of the AD to read as follows:

DETROIT DIESEL ALLISON. Applies to 250-B15 and B17 and 250-C18 and C20 series engines equipped with power turbine outer coupling nuts Part Number (P/N) 6846278 as installed in aircraft certificated in all categories.

NOTE.—This amended version of AD 77-15-12 requires that all power turbine coupling nuts, both coated and uncoated, be inspected on a repetitive basis.

Compliance schedule. Compliance required as follows unless previously accomplished.

(a) For engines with turbine sections having more than 400 hours or one calendar year time in service since installation in an aircraft, initial compliance must be accomplished within the next 100 hours or 90 days, whichever occurs first, from the effective date of the original amendment of July 27, 1977.

(b) Remains unchanged.

(c) For each turbine section incorporating an acceptable uncoated or coated coupling nut, as defined by the below referenced service letters, repetitive compliance is required at intervals not to exceed 500 hours or one calendar year time since last inspection, whichever occurs first.

To preclude possible engine failure resulting from power turbine coupling nut failure: Inspect the power turbine outer coupling nut P/N 6846278 in accordance with Detroit Diesel Allison Commercial Service Letter Numbers 88 for the C18 series, 1060 for the C20 series, 25 for the B15 series, and 1030 for the B17 series engines. All Service Letters are Revision 3 or later FAA approved revisions. If an unacceptable extent of corrosion, as defined by the Service Letter, is found, the nut shall be replaced before further flight except that the aircraft may be flown in accordance with FAR 21.197 to a base where the repair can be performed.

This amendment is effective September 23, 1977, and was effective immediately for all recipients of airmail letters dated August 19, 1977 which contained this amendment.

(Secs. 313(a), 601, and 603, Federal Aviation Act of 1958, as amended (49 U.S.C. 1354(a), 1421, and 1423); sec. 6(c), Department of Transportation Act (49 U.S.C. 1655(c)); and 14 CFR 11.89.)

NOTE.—The Federal Aviation Administration has determined that this document does not contain a major proposal requiring preparation of an Economic Impact Statement under Executive Order 11821, as amended by Executive Order 11949, and OMB Circular A-107 (and certifies that an Economic Impact Statement has been prepared).

Issued in Des Plaines, Ill., on September 8, 1977.

JOHN M. CYROCKI,
Director, Great Lakes Region.

NOTE.—The incorporation by reference in the preceding document was approved by the Director of the Federal Register on June 19, 1967.

[FR Doc. 77-27014 Filed 9-16-77;8:45 am]

[Docket No. 77-CE-16-AD; Amendment 39-3040]

PART 39—AIRWORTHINESS DIRECTIVES

Gates Learjet 23, 24, and 25 Series Airplanes

AGENCY: Federal Aviation Administration (FAA), DOT.

ACTION: Final rule.

SUMMARY: This amendment adds a new Airworthiness Directive (AD) applicable to certain Gates Learjet 23, 24, and 25 series airplanes which requires repetitive inspections of the cabin upper door locking mechanism and places a 3,000-hour life limit on one component in that mechanism. This action will assure proper locking of the cabin upper door and will help insure correct flight crew analysis in the event of an illuminated door opening warning light on these airplanes.

DATES: Effective date—September 26, 1977. Compliance schedule—As prescribed in the body of the AD.

ADDRESSES: Gates Learjet Service Bulletin SB 23/24/25-280 applicable to this AD may be obtained from Gates Learjet Corp., Mid-Continent Airport, P.O. Box 7707, Wichita, Kans. 67277, telephone number 316-722-5640. A copy of the Service Bulletin cited above is contained in the Rules Docket, Room 916,

800 Independence Avenue SW., Washington, D.C.

FOR FURTHER INFORMATION CONTACT:

William L. Schroeder, Aerospace Engineer, Engineering and Manufacturing Branch, Federal Aviation Administration, Central Region, 601 East 12th Street, Kansas City, Mo. 64106, telephone 816-374-3446.

SUPPLEMENTARY INFORMATION: Investigation of a recent incident on a Gates Learjet Model 23 airplane which involved opening of the cabin entrance upper door during takeoff showed that the bolt common to the upper door locking pins actuating mechanism and the inside locking handle had failed because of fatigue. Friction between the handle and its shaft, after bolt failure, allowed what appeared to be satisfactory operation of the door pins with the door open. However, slippage of the door handle on its shaft when the door was closed prevented the locking pins from being driven all the way into the locked position, thereby, allowing the door to open as a result of vibration, external air loads or cabin pressurization loads. The door warning system will give a red door open light on the pilot's instrument panel any time the door is not properly closed, but since the door locking mechanism may operate properly with the door opened, after failure of the bolt, a pilot could erroneously conclude that the door warning system was giving false indications and takeoff with the door improperly closed. Subsequent investigation by the manufacturer shows that fatigue failure of the bolt is due to wear of the hole in which the bolt is installed and high door locking forces caused by a worn or improperly rigged door locking mechanism. As a result, Gates Learjet has issued Service Bulletin SB 23/24/25-280 and revisions to applicable service manuals which together recommend a 3,000-hour life limit for the bolt and repetitive inspection of the door locking mechanism. The FAA has concluded that failure of the bolt common to the upper door locking pins actuating mechanism and the door handle shaft is an unsafe condition that may exist or develop in other airplanes of the same type design. Accordingly, an AD is being issued applicable to Gates Learjet 23/24 and 25 series airplanes, having 36-inch wide cabin doors, making compliance with the aforementioned Service Bulletin and revisions to applicable service manuals mandatory. This AD was coordinated with the manufacturer prior to issuance. The FAA has determined that there is an immediate need for a regulation to assure safe operation of the affected airplanes. Therefore, Notice and Public Procedure under 5 U.S.C. 553(b) is impracticable and contrary to the public interest and good cause exists for making the amendment effective in less than thirty (30) days after the date of publication in the FEDERAL REGISTER.

DRAFTING INFORMATION

The principal authors of this document are: William L. Schroeder, Flight Standards Division, Central Region, and John L. Fitzgerald, Jr., Office of the Regional Counsel, Central Region.

ADOPTION OF AMENDMENT

Accordingly, pursuant to the authority delegated to me by the Administrator, section 39.13 of the Federal Aviation Regulations (14 CFR 39.13) is amended by adding the following new Airworthiness Directive:

GATES LEARJET. Applies to all serial numbers of Models 23, 24, 24A, 24B, 24B-A, 24C, 24D, 24D-A, 24E, 24F, 24F-A, 25, 25A, 25B, 25C, 25D, and 25F airplanes certificated in all categories having a 36-inch wide (as opposed to a 24-inch wide) cabin door.

Compliance. Required as indicated in accordance with Compliance Table I set forth in this AD, unless already accomplished.

To assure proper locking of the cabin upper door when the inside handle is in the locked position and to prevent possible unwanted door openings that may occur if a pilot disregards the door warning system accomplish the following at the time intervals noted in Table I of this AD:

TABLE I.—*Compliance times*

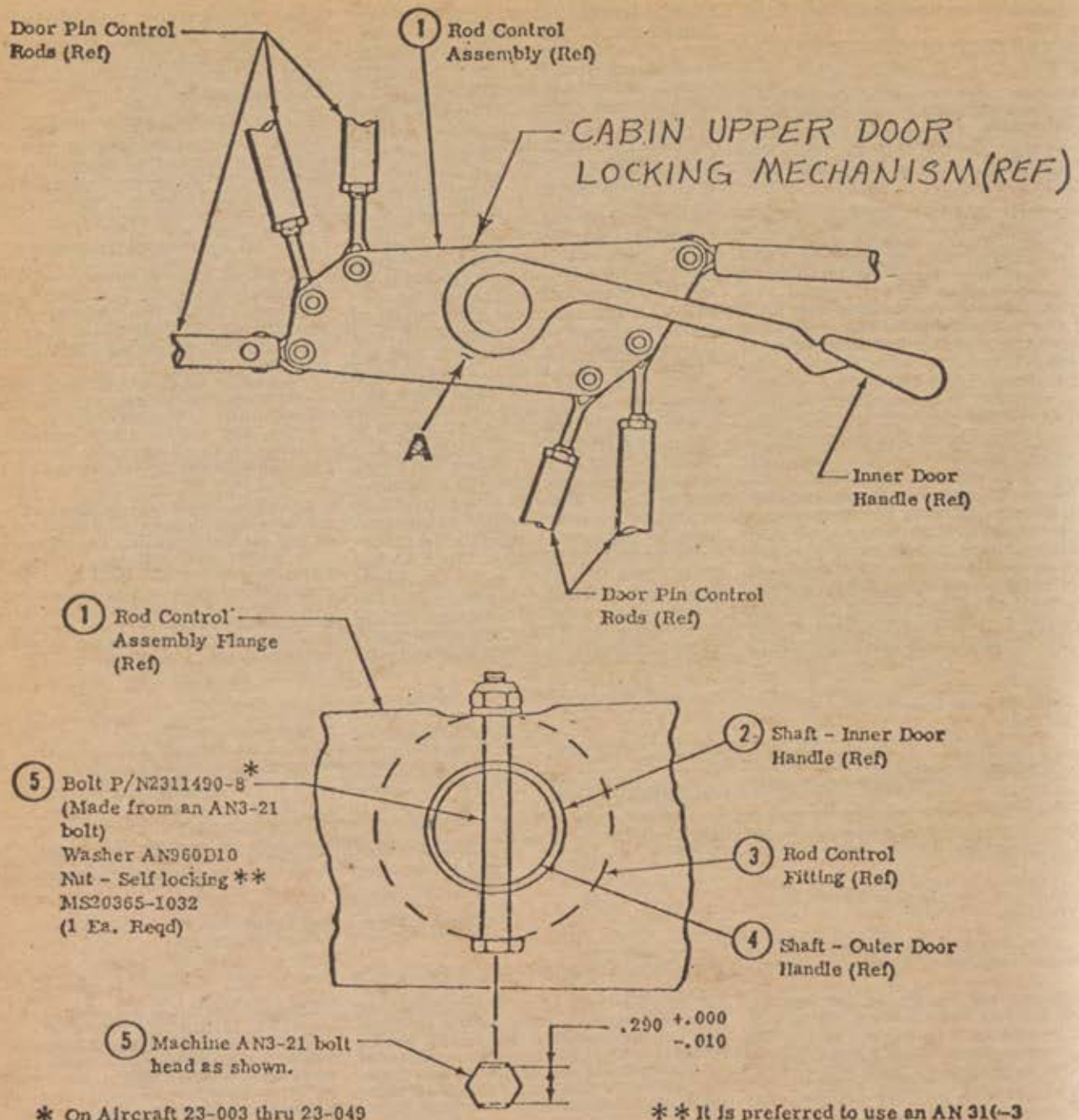
Airplane total time in service (hour)	Inspection times			
	Paragraph "A" bolt inspection and replacement		Paragraph "B" locking mechanism inspection	
	Initial inspection/replacement	Interval for repetitive inspections/replacement	Initial inspection	Interval for repetitive inspections
0 to 2,999	Prior to or upon the accumulation of 3,075 h time in service.	Each 3,000 h time in service thereafter.	In accordance with asterisk (*) paragraph below or upon accumulation of 6,075 h time in service, whichever occurs first.	In accordance with asterisk (*) paragraph below or upon accumulation of each 6,000 h time in service after initial inspection.
3,000 to 5,999	Within 75 h time in service after the effective date of this AD.	do	do	Do.*
6,000 up	do	do	Within 75 h time in service after the effective date of this AD.	Do.*

*The door locking mechanism inspection must be accomplished any time the bolt inspection required by par. "A" of this AD reveals a cracked, bent, broken or worn bolt.

A. Inspect and replace, at the time intervals noted in Table I above, the bolt or pin identified as ⑤ in Figure I of this AD in accordance with Gates Learjet Service Bulletin SB 23/24/25-280 dated July 15, 1977, or later approved revisions and as summarized below:

1. Remove the bolt, or if applicable, pin from the upper door rod control assembly and visually inspect it for cracks, breaks, bends, or wear. If any of the aforementioned defects are found accomplish the door locking mechanism inspection required by Paragraph "B" of this AD prior to the next flight; and

2. Replace the bolt or pin removed in Paragraph A.1. of this AD with a new Gates Learjet part number 2311496-8 bolt, or a new AN3-21 bolt modified in accordance with the above noted Service Bulletin, and secure using an AN960D10 Washer and either a new MS20365-1032 Nut or AN310-3 Nut and MS24665-132 Cotter Pin.



* On Aircraft 23-003 thru 23-049 not modified by SK23-114, replace existing pin with this bolt.

** It is preferred to use an AN 310-3 castellated nut and a MS24665-132 cotter pin in the place of the self locking nut.

Detail A

FIGURE I

B. Inspect, at the time intervals noted in Table I above, the cabin door locking mechanism in accordance with Gates Learjet Service Bulletin SB 23/24/25-280 dated July 15, 1977, or later approved revisions, and as summarized below:

1. Drill out rivets and remove cabin upper door inner panel.

2. Remove and inspect door locking mechanism for wear and defects in accordance with Learjet Service Manual Inspection Requirements. The maximum allowable diameter (measured in any direction) of the hole in which the ⑧ bolt is installed is 0.201 inches. Replace any excessively worn or defective parts prior to the next flight.

3. Reinstall door locking mechanism and using rivets, reinstall the inner panel.

4. Check door locking mechanism for free movement with no binding thru entire operating travel. Correct any binding prior to the next flight.

5. Energize door warning system and check its operation for proper functioning.

C. Submit a written report on any cracked, broken, bent or worn ⑧ (see Figure I of this AD) bolts or pins discovered during inspections required by this AD to the FAA via a letter to FAA, Chief Engineering and Manufacturing Branch (ACE-210), 601 East 12th Street, Kansas City, Mo. 64106, or an FAA M or D report (FAA Form 8330-2).

The report must include airplane model, serial number, airplane total time-in-service, bolt or pin total time-in-service and statements describing the condition of the bolt and the hole including dimensions of the hole determined in accordance with Paragraph B.2. of this AD. (Reporting approved by the Office of Management and Budget under OMB No. 04-R0174).

D. Airplanes may be flown in accordance with FAR 21.197 to a base where this AD can be accomplished.

E. Any equivalent method of compliance with this AD must be approved by the Chief, Engineering and Manufacturing Branch, FAA, Central Region.

This amendment becomes effective on September 26, 1977.

(Secs. 313(a), 601, and 603 of the Federal Aviation Act of 1958, as amended (49 U.S.C. 1354(a), 1421, and 1423); sec. 6(c) Department of Transportation Act (49 U.S.C. 1655(c)); sec. 11.89 of the Federal Aviation Regulations (14 CFR sec. 11.89).)

NOTE.—The Federal Aviation Administration has determined that this document does not contain a major proposal requiring preparation of an Economic Impact Statement under Executive Order 11821, as amended by Executive Order 11949, and OMB Circular A-107.

Issued in Kansas City, Mo., on September 9, 1977.

JOHN E. SHAW,
Acting Director,
Central Region.

[FR Doc.77-27015 Filed 9-16-77;8:45 am]

[Docket No. 77-WE-28-AD; Amdt. 39-3039]

PART 39—AIRWORTHINESS DIRECTIVES

Hughes Helicopters Model 369D Helicopter

AGENCY: Federal Aviation Administration (FAA), DOT.

ACTION: Final rule.

SUMMARY: An emergency airworthiness directive (AD) was issued by the Federal Aviation Administration on August 19, 1977, as a result of failed laminates in the lead lag bolt hole area of the main rotor retention straps. This AD requires initial and repetitive visual inspections for broken laminates to prevent possible loss of a main rotor blade.

DATES: Effective date, September 27, 1977, for all persons except those to whom it was made effective by telegram dated August 19, 1977. Initial Compliance: Before further flight after the effective date of this AD.

FOR FURTHER INFORMATION CONTACT:

Wallace M. Frei, Executive Secretary, Airworthiness Directive Review Board, Federal Aviation Administration, Western Region, P.O. Box 92007, World Way Postal Center, Los Angeles, Calif. 90009, telephone 213-536-6351.

SUPPLEMENTARY INFORMATION: There have been failures of laminates in the main rotor retention straps in the area of the lead-lag bolt hole. One incident occurred where several broken laminates in a single strap pack resulted in severe lateral vibration and yielding of the remaining laminates. This AD requires removal of the excess Teflon in the area of the lead-lag bolt, visual inspection of the main rotor strap assembly P/N 369D21210 for broken strap laminates before further flight, and repetitive visual inspections at intervals not to exceed 25 hours time in service thereafter. This is a separate condition from that referred to in AD 77-15-09 or that referred to in the telegraphic AD issued on August 12, 1977 and does not modify the requirement of those AD's.

This AD includes editorial changes to the emergency telegram dated August 19, 1977.

Since it was found that immediate corrective action was required, notice and public procedure thereon was impracticable and contrary to the public interest and good cause existed for making the airworthiness directive effective immediately as to all known U.S. owners and operators of model 369D helicopters upon receipt of individual telegrams dated August 19, 1977. These conditions still exist and the airworthiness directive is hereby published in the FEDERAL REGISTER as amendment to Section 39.13 of Part 39 of the Federal Aviation Regulations (14 CFR 39.13) to make it effective as to all persons.

DRAFTING INFORMATION

The principal authors of this document are James R. Haynes, Aircraft Engineering Division, and Richard G. Wittry, Office of the Regional Counsel.

ADOPTION OF THE AMENDMENT

Accordingly, pursuant to the authority delegated to me by the Administrator (14 CFR 11.89), Section 39.13 of the Federal Aviation Regulations (14 CFR 39.13) is amended, by adding the following new airworthiness directive:

HUGHES HELICOPTERS: Applies to Hughes Model 369D helicopters, certificated in all categories.

Compliance required as indicated.

To prevent failure of the main rotor retention straps which can result in loss of a main rotor blade accomplish the following:

(a) Before further flight after the effective date of this AD, unless already accomplished in the last 25 hours time in service, use an aluminum chisel to carefully trim the excess teflon strips where they protrude from the strap laminations in the area of the lead-lag bolt. Trim the teflon so that the exterior edges of the strap laminations are visible from one and one-half inches inboard of the center line of the lead-lag bolt to one-half inch outboard of the center line of the lead-lag on bolt both sides of the straps. Do not trim the teflon further inboard than one and one-half inches inboard of the center line of the lead-lag bolt.

(b) Before further flight, after the effective date of this AD, unless already accomplished in the last 25 hours time in service, visually inspect the edge of each laminate around the lead-lag bolt for breaks.

(1) If a broken laminate is found, replace the main rotor hub P/N 369D21200 before further flight.

(2) If no laminate is found broken repeat the inspection in (b) at intervals not to exceed 25 hours time in service.

This amendment becomes effective September 27, 1977, for all persons except those to whom it was made effective by telegram dated August 19, 1977 which contained this amendment.

(Secs. 313(a), 601, and 603, Federal Aviation Act of 1958, as amended (49 U.S.C. 1354(a), 1421, and 1423); Sec. 6(c), Department of Transportation Act (49 U.S.C. 1655(c)); and 14 CFR 11.89.)

NOTE.—The Federal Aviation Administration has determined that this document does not contain a major proposal requiring preparation of an Economic Impact Statement under Executive Order 11821, as amended by Executive Order 11949 and OMB Circular A-107.

Issued in Los Angeles, California on September 8, 1977.

ROBERT H. STANTON,
Director, Federal Aviation Administration, Western Region.

[FR Doc.77-27016 Filed 9-16-77;8:45 am]

[Docket No. 77-WE-23-AD; Amdt. 39-3038]

PART 39—AIRWORTHINESS DIRECTIVES

Hughes Helicopters Model 369D Helicopter

AGENCY: Federal Aviation Administration (FAA), DOT.

ACTION: Final rule.

SUMMARY: An emergency airworthiness directive (AD) was issued by the Federal Aviation Administration on August 12, 1977, as a result of a report of failed laminates in the main rotor retention straps at the inboard ends of the strap legs. These failures were initiated by fretting between two anti-fretting laminates which had been incorrectly installed during hub assembly. This AD requires initial inspection to determine if the anti-fretting laminates have been incorrectly installed and repetitive visual inspection to prevent strap laminate failures if the incorrect installation exists.

DATES: Effective date, September 27, 1977, for all persons except those to

whom it was made effective by telegram dated August 12, 1977. Initial Compliance. Before further flight after the effective date of this AD.

FOR FURTHER INFORMATION CONTACT:

Wallace M. Frei, Executive Secretary Airworthiness Directives Review Board, Federal Aviation Administration, Western Region, P.O. Box 92007, Worldway Postal Center, Los Angeles, Calif. 90009, telephone 213-536-6351.

SUPPLEMENTARY INFORMATION: There has been a failure of five retention strap laminates in a single leg of the main rotor retention strap pack of a Model 369D helicopter. This defect was initiated by fretting between two adjacent metallic anti-fretting laminates P/N 369D21271-5, -51, -53 or -55 which are usually installed singly on the upper side of the lead leg of the strap pack for each blade. These metallic anti-fretting laminates when broken off from fretting fatigue can create a stress raiser for the retention strap laminates and failures then propagate through the retention strap pack P/N 369D21210. Two of these anti-fretting laminates could have been installed adjacently during hub assembly up to and including main rotor hub serial number 0178. This AD requires visual inspection of the strap pack inboard end before further flight to determine if two of the metallic anti-fretting laminates have been installed and repetitive visual inspection at intervals not to exceed 25 hours to determine if any of these anti-fretting laminates are cracked or broken off. This is a separate condition from that covered by AD 77-15-09 and does not modify the requirements of that AD.

The metallic anti-fretting laminates were referred to in the telegraphic AD as shims and this has led to some confusion since the Hughes Handbook of Maintenance Instruction refers to them as laminates. This clarification has been added to the A&D as well as the addition of the dash numbers to the part number to further identify the anti-fretting laminates.

This AD includes editorial changes and minor changes for clarification to the emergency telegram dated August 12, 1977. In addition it has been determined that the condition exists only for certain serial number main rotor hubs and the effectivity of the AD has been changed to provide this relief.

Since it was found that immediate corrective action was required, notice and public procedure thereon was impracticable and contrary to the public interest and good cause existed for making the airworthiness directive effective immediately as to all known U.S. owners and operators of model 369D helicopters upon receipt of individual telegrams dated August 12, 1977. These conditions still exist and the airworthiness directive is hereby published in the FEDERAL REGISTER as an amendment to Section 39.13 of Part 39 of the Federal Aviation Regulations (14 CFR 39.13) to make it effective as to all persons.

DRAFTING INFORMATION

The principal authors of this document are James R. Haynes, Aircraft Engineering Division, and Richard G. Wittry, Office of the Regional Counsel.

ADOPTION OF THE AMENDMENT

Accordingly, pursuant to the authority delegated to me by the Administrator (14 CFR 11.89), Section 39.13 of the Federal Aviation Regulations (14 CFR 39.13) is amended, by adding the following new Airworthiness Directive:

HUGHES HELICOPTERS: Applies to Hughes Model 369D helicopters, certificated in all categories, which have P/N 369D21200 main rotor hub serial numbers 0001 through 0178 installed.

Compliance required as indicated.

To prevent failure of the main rotor retention straps which can result in loss of a main rotor blade accomplish the following:

A. Before further flight after the effective date of the AD, visually inspect the lead leg of each main rotor retention strap pack, P/N 369D21210, at the inboard ends to determine if two adjacent metallic anti-fretting laminates P/N 369D21271-5, -51, -53, or -55, have been installed on the upper side of the lead leg of any strap pack.

(1) If two adjacent metallic anti-fretting laminates have been installed, visually inspect to determine if one or both are cracked or have been broken off where they extend from the hub.

(i) If no anti-fretting laminate is found cracked or broken, repeat the inspections in (1), above, at times in service not to exceed 25 hours. This repetitive inspection can be discontinued when the main rotor hub, P/N 369D21200, has been replaced by a serviceable hub having only one anti-fretting laminate on the upper side of the lead leg of each main rotor strap pack.

(ii) If any anti-fretting laminate is found cracked or broken, further visually inspect the main rotor retention strap laminates adjacent to the anti-fretting laminates.

(a) If any strap laminate is broken or cracked, replace the main rotor hub, P/N 369D21200, before further flight.

(b) If no strap laminate is cracked or broken, replace the main rotor hub, P/N 369D21200, within ten hours time in service.

(2) If the inspection reveals that only one metallic anti-fretting laminate has been installed on the upper lead leg of each strap pack no further action is required by this AD.

This amendment becomes effective Sept. 27, 1977, for all persons except those to whom it was made effective by telegram dated August 12, 1977, which contained this amendment.

(Secs. 313(a), 601, and 603, Federal Aviation Act of 1958, as amended (49 U.S.C. 1354(a), 1421, and 1423); Sec. 6(c), Department of Transportation Act (49 U.S.C. 1655(c)); and 14 CFR 11.89.)

NOTE.—The Federal Aviation Administration has determined that this document does not contain a major proposal requiring preparation of an Economic Impact Statement under Executive Order 11821, as amended by Executive Order 11949, and OMB Circular A-107.

Issued in Los Angeles, California on September 8, 1977.

ROBERT H. STANTON,
Director, Federal Aviation Administration Western Region.

[FR Doc.77-27017 Filed 9-16-77;8:45 am]

[Airspace Docket No. 77-SW-18]

PART 71—DESIGNATION OF FEDERAL AIRWAYS, AREA LOW ROUTES, CONTROLLED AIRSPACE, AND REPORTING POINTS

Alteration of Transition Area: Pleasanton, Texas; Correction

AGENCY: Federal Aviation Administration (FAA), DOT.

ACTION: Correction.

SUMMARY: This correction changes the magnetic bearing that appeared in FR Doc. 77-20460 at page 36813 in the FEDERAL REGISTER of Monday, July 18, 1977.

EFFECTIVE DATE: October 6, 1977.

FOR FURTHER INFORMATION CONTACT:

John A. Jarrell, Airspace and Procedures Branch (ASW-535), Air Traffic Division, Southwest Region, Federal Aviation Administration, P.O. Box 1689, Fort Worth, Texas 76101; telephone 817-624-4911, extension 302.

SUPPLEMENTARY INFORMATION: In FR Docket 77-20460 appearing at page 36813 in the FEDERAL REGISTER of Monday, July 18, 1977, the conversion of the 160° magnetic bearing to true bearing was inadvertently omitted. Action is taken herein to correct this omission.

ADOPTION OF THE CORRECTION

Accordingly, pursuant to the authority delegated to me by the Administrator, FR Doc. 77-20460 is amended as follows: Delete the 160° magnetic bearing and substitute therefor "the 168° bearing."

(Sec. 307(a), Federal Aviation Act of 1958 (49 U.S.C. 1348(a)); and Sec. 6(c), Department of Transportation Act (49 U.S.C. 1655(c))).

Issued in Fort Worth, Tex., on September 2, 1977.

PAUL J. BAKER,
Acting Director, Southwest Region.

[FR Doc.77-27012 Filed 9-16-77;8:45 am]

Title 24—Housing and Urban Development

CHAPTER X—FEDERAL INSURANCE ADMINISTRATION, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

SUBCHAPTER B—NATIONAL FLOOD INSURANCE PROGRAM

[Docket No. R-77; 3322]

PART 1912—SALE OF INSURANCE AND ADJUSTMENT OF CLAIMS

Servicing Companies

Correction

In FR Doc. 77-25557, appearing at page 43975 in the issue for Thursday, September 1, 1977, in the fifth line of § 1912.7, third column, page 43975, "Natural Flood Insurance Program" should read "National Flood Insurance Program".

Title 33—Navigation and Navigable Waters

CHAPTER I—COAST GUARD, DEPARTMENT OF TRANSPORTATION

[CGD 76-117]

PART 117—DRAWBRIDGE OPERATION REGULATIONS

Lake Washington Ship Canal, Washington

AGENCY: Coast Guard, DOT.

ACTION: Final rule.

SUMMARY: This amendment revises the regulations governing the operation of four highway drawbridges across Lake Washington Ship Canal to require one hour notice from 12 midnight to 8 a.m. before the draws need open for the passage of vessels. This change is being made due to infrequent passages of vessels during this time frame and will relieve the bridge owner of the obligation of continually manning all of the bridges.

EFFECTIVE DATE: This amendment is effective on October 19, 1977.

FOR FURTHER INFORMATION CONTACT:

Frank L. Teuton, Jr., Chief, Drawbridge Regulations Branch (G-WBR/73), Room 7300, Nassif Building, 400 Seventh Street, SW., Washington, D.C. 20590, (202-426-0942).

SUPPLEMENTARY INFORMATION: On November 29, 1976, the Coast Guard published a proposed rule (41 FR 52307) concerning this amendment. The Commander, Thirteenth Coast Guard District, also issued a public notice on November 29, 1976. Interested persons were given until December 28, 1976, to submit comments.

DRAFTING INFORMATION

The principal persons involved in drafting this rule are: Frank L. Teuton, Jr., Project Manager, Office of Marine Environment and Systems, and Lieutenant Edward J. Gill, Project Attorney, Office of the Chief Counsel.

DISCUSSIONS OF COMMENTS

Twenty-five comments were received. Only five supported or had no objection to the proposal. The twenty objections were basically from two groups. The first group of objections were from owners of commercial vessels, i.e., towboats and fishing vessels. The second group of objections were from owners of sailboats. The basic objections from both groups were that there was no way of communicating by radiotelephone with the drawtenders and that too few bridges would be manned. The applicant agreed to provide VHF two-way radiotelephone communications at all four bridges; intercoms at both ends of the ship canal connected to the Fremont bridge; and three tenders to tend the four bridges between midnight and 8 a.m. with the tenders stationed at the lowest and most frequently opened of the four bridges. It is the Coast Guard's position that the reasonable needs of navigation will be provided for by these additions. The objections of the sailboaters are recognized although their passages have been provided for by installation of the intercom at both ends of the canal and the use of telephone when departing from way points between the ends of the canal. The City of Seattle is aware that should the regulations be amended, as requested, and not work in practice, as they should in theory, then the regulations may be again amended to require the bridges to be attended 24 hours a day.

DISCUSSION OF COMMENTS

The provision concerning towing of non-self-propelled vessels, which is in the existing regulations, was accidentally left out of the proposal. It is included in this document.

The proposal included regulations for two Burlington Northern Railroad bridges. The bridge across the waterway at mile 1.6 has been removed, and the final rule now only addresses the railroad bridge at Shilshole Bay.

In consideration of the foregoing, Part 117 of Title 33 of the Code of Federal Regulations, is amended by revising § 117.795 to read as follows:

§ 117.795 Lake Washington Ship Canal; bridges.

(a) The draw of the Burlington Northern Railroad bridge at Shilshole Bay shall open on signal.

(b) The draws of the Ballard, Fremont Avenue, University and Montlake Boulevard bridges shall open on signal except that they:

(1) Need not open from 7 a.m. to 9 a.m., and from 4 p.m. to 6 p.m., Monday through Friday, except national holidays, for vessels of less than 1,000 tons unless the vessel has a vessel of over 1,000 tons in tow, except under emergency conditions when the Seattle City Engineer is notified; and

(2) Shall open on signal from 12 midnight to 8 a.m., if at least one hour notice is given by telephone, radiotelephone, or otherwise to the drawtender at the Fremont Avenue drawbridge.

(c) Signals.

(1) The opening sound signal for each bridge is one long blast followed by one short blast, except that the University bridge opens on one long blast followed by three short blasts.

(2) The acknowledging sound signal from the drawtender of each bridge is the same as the opening signal when the draw will open.

(3) The acknowledging sound signal from the drawtender of each bridge when the draw will not open, or is open and must close, is four short blasts.

(4) The opening visual signal is a white flag by day, or a white light at night, swung in circles at arms length while facing the drawbridge.

(5) The acknowledging visual signal when the draw will open is a white flag by day, or a white light, raised and lowered vertically while facing the vessel.

(6) The acknowledging visual signal when the draw will not open, or is open and must close, is a red flag by day, or a red light at night, swung in circles at arms length while facing the vessel.

(d) During conditions of restricted visibility, as defined in the Rules of the Road, the drawtender after giving the acknowledging signals that the draw will open, shall toll a bell continuously during the approach and passage of the vessel.

(e) The following provisions shall not relieve the owner of or agency controlling a drawbridge from opening the draw for the passage of vessels in accordance with paragraphs (a) and (b) of this section.

(1) A vessel shall not require the opening of the draw when such opening is needed only to provide additional clearance for appurtenances unessential to navigation of the vessel, or for appurtenances essential to navigation but which may be altered by hinging, telescoping, collapsing, or otherwise, so as to require no greater clearance then the highest fixed and essentially unalterable point of the vessel.

(2) Appurtenances unessential to navigation include, but are not limited to fishing outriggers, radio antennae which are or can reasonably be made flexible or collapsible, television antennae, false stocks, and masts purely for ornamental purposes. Appurtenances unessential to navigation do not include radar antennae, flying bridges, sailboat masts, piledriver leads, spud frames on hydraulic dredges, drilling derricks, derrick substructures and/or buildings, cranes on drilling or construction vessels, or other items of permanent and fixed equipment clearly necessary to the intended use of the vessel.

(3) The owners of, or agencies controlling the drawbridges, shall report to the District Commander in charge of the locality, the names of any vessels causing bridge openings considered to be in violation of this paragraph. The District Commander may at any time cause an inspection to be made of any craft so reported and is empowered to decide in each case whether or not the appurtenances are unessential to navigation. If the District Commander decides a vessel has appurtenances unessential to navigation, he notifies the vessel owner of his decision, specifying a reasonable time for making necessary alterations. If the vessel owner is aggrieved by the decision of the District Commander, he may within 30 days after receipt of the request to perform necessary alterations appeal the decision to the Commandant in writing. If the Commandant rules that an appurtenance is unessential to navigation, the District Commander again specifies to the vessel owner a reasonable time for making necessary alterations to the appurtenance, and after the expiration of the time specified, any operation of the vessel in such a manner as to require drawbridge openings is deemed to be in violation of the regulations of this paragraph unless the necessary alterations have been made.

(f) All non-self-propelled vessels, crafts, or rafts, navigating the Lake Washington Ship Canal, for which the opening of any bridge may be necessary, shall, while passing such bridge, be towed by a suitable self-propelled vessel.

(Sec. 5, 28 Stat. 362, as amended, sec 6(g)(2), 80 Stat. 937; (33 U.S.C. 499, 49 U.S.C. 1655(g)(2)); 49 CFR 1.46(c)(5).)

NOTE.—The Coast Guard has determined that this document does not contain a major proposal requiring preparation of an Economic Impact Statement under Executive Order 11821, as amended, and OMB Circular A-107.

Dated: September 14, 1977.

O. W. SILER,
Admiral, U.S. Coast Guard, Commandant.

[FR Doc.77-27139 Filed 9-16-77;8:45 am]

Title 40—Protection of Environment

CHAPTER I—ENVIRONMENTAL PROTECTION AGENCY

[FRL 788-8]

PART 52—APPROVAL AND PROMULGATION OF IMPLEMENTATION PLANS

Air Pollution Control; State of Arizona, Pima County and Maricopa County Rules and Regulations

AGENCY: Environmental Protection Agency (EPA).

ACTION: Final rulemaking.

SUMMARY: It is the purpose of this action to promulgate final approval, with exceptions, of revisions to the Arizona State Implementation Plan (SIP). These revisions include State regulations for public availability of emission data, permit procedures, definition of sources under State jurisdiction, and open burning; and county regulations for process source control (Pima, Maricopa Counties) and new source review procedures (Pima County).

The Administrator is required to approve or disapprove of revisions to state implementation plans under the Clean Air Act, as amended. The regulations being approved are currently being enforced by the State of Arizona, the Maricopa County Bureau of Air Pollution Control, and the Pima County Air Quality Control District, and therefore pose no further requirements on any affected facility.

EFFECTIVE DATE: October 19, 1977.

FOR FURTHER INFORMATION CONTACT:

Frank M. Covington, Director, Air and Hazardous Materials Division, Attn: Erik Hauge, Air Programs Branch, EPA Region IX, 100 California St., San Francisco, Calif. 94111, telephone 415-556-7595.

SUPPLEMENTARY INFORMATION:

BACKGROUND

On June 3, 1977 (42 FR 28553) EPA proposed, approval, with exceptions, of revisions to the Arizona SIP consisting of State and local air pollution control regulations. The State regulations were submitted to EPA on February 19, 1974 and September 27, 1974. The Pima County regulations were submitted on March 19, 1974, and the Maricopa County regulation was submitted on January 28, 1974.

The rulemaking notice provided for a 30-day public comment period. Information concerning the regulations proposed for approval and disapproval (the EPA evaluation report), the regulations under consideration, and a copy of the proposed rulemaking notice were made available during the comment period at locations in Phoenix and Tucson, Arizona, and at the EPA offices in San Francisco, California, and Washington, D.C. as per the June 3, 1977 notice.

DISCUSSION OF ACTION

Final rulemaking is identical to that proposed. Approval is being promulgated for Maricopa County Regulation III, Rule 31 (Particulate Matter Emissions) submitted on January 28, 1974; Pima County Regulation II, Rule 2 (Particulate Matter Emissions) submitted on March 19, 1974; and State of Arizona Regulations 7-1-1.7 (R9-3-107) (Unlawful open burning) submitted on February 19, 1974, 7-1-8.1 (R9-3-801) (Original State Jurisdiction), 7-1-8.2 (R9-3-802) (Assertions of Jurisdiction), 7-1-8.3 (R9-3-803) (Delegation of Authority), 7-1-11.3 (R9-3-1203) (Suspension and Revocation of Permits), 7-1-11.4 (R9-3-1204) (Permits Non-transferable), 7-1-11.5 (R9-3-1205) (Posting of Permits), 7-1-11.6 (R9-3-1206) (Notice by Permit Agencies), 7-1-11.7 (R9-3-1207) (Equipment Covered), 7-1-11.9 (R9-3-1209) (Permit Fees), and 7-1-1.4 (R9-3-104) (Recordkeeping and Reporting), submitted on September 27, 1974. Pima County Regulation I, Rules 4C, 4E, 5, and 10, and State of Arizona Regulations 7-1-11.1 (R9-3-1201), 7-1-11.2 (R9-3-1202) and 7-1-11.8 (R9-3-1208), which pertain to new source review, will be considered in a separate FEDERAL REGISTER action.

The Administrator is disapproving the regulations portion of the State plan dealing with source surveillance as it does not meet the requirements of 40 CFR 51.19(e) for continuous monitoring of certain source categories.

DISCUSSION OF MAJOR COMMENTS

No public comments were received.

(Sec. 110, 301(a), Clean Air Act as amended (42 U.S.C. 1857c-5, 1857g(a), respectively).)

Dated: September 12, 1977.

DOUGLAS M. COSTLE,
Administrator.

Subpart D of Part 52 of Chapter 1, Title 40, of the Code of Federal Regulations is amended as follows:

Subpart D—Arizona

1. Section 52.120, paragraph (c) is amended by renumbering subparagraphs (9), (10) and (11) as (12), (14) and (15) respectively, and by adding subparagraphs (9), (10), (11) and (13) as follows:

§ 52.120 Identification of plan.

* * * * *

(c) * * *

(9) Maricopa County Air Pollution Control District Regulation III, Rule 31 (Particulate Matter Emissions) submitted on January 28, 1974.

(10) Arizona Air Pollution Control Regulation 7-1-1.7 (R9-3-107) (Unlawful open burning) submitted on February 19, 1974.

(11) Pima County Air Pollution Control District Regulation II, Rule 2 (Particulate matter emissions) submitted on March 19, 1974.

(12) * * *

(13) Arizona Air Pollution Control Regulations 7-1-8.1 (R9-3-801) (Original State jurisdiction); 7-1-8.2 (R9-3-802) (Assertions of jurisdiction); 7-1-8.3 (R9-3-803) (Delegation of authority); 7-1-11.3 (R9-3-1203) (Suspension and revocation of permits); 7-1-11.4 (R9-3-1204) (Permits non-transferable); 7-1-11.5 (R9-3-1205) (Posting of permits); 7-1-11.6 (R9-3-1206) (Notice by permit agencies); 7-1-11.7 (R9-3-1207) (Equipment covered); 7-1-11.9 (R9-3-1209) (Permit Fees); and 7-1-1.4 (R9-3-104) (Recordkeeping and reporting) submitted on September 27, 1974.

2. In § 52.126, paragraphs (a) and (b) are amended by the deletion of references to Rule 31(E) of Regulation III of the Maricopa County Air Pollution Control Rules and Regulations, and to Rule 2(B) of Regulation II of the Rules and Regulations of the Pima County Air Pollution Control District as follows:

§ 52.126 Control strategy and regulations: Particulate matter.

(a) The requirements of §§ 51.13 and 51.22 of this chapter are not met since the plan does not provide the degree of control necessary to attain and maintain the national standards for particulate matter in the Phoenix-Tucson Intrastate Region. Therefore, Regulation 7-1-3.6 (process industries) of the Arizona Rules and Regulations for Air Pollution Control is disapproved for the Phoenix-Tucson Intrastate Region.

(b) *Replacement regulation for Regulation 7-1-3.6 of the Arizona Rules and Regulations for Air Pollution Control (Phoenix-Tucson Intrastate Region).*

* * * * *

3. In § 52.130, paragraph (d) is added as follows:

§ 52.130 Source surveillance.

* * * * *

(d) The requirements of § 51.19(e) of this chapter are not met since the plan does not contain legally enforceable procedures for requiring certain stationary sources subject to emission standards to install, calibrate, operate, and maintain equipment for continuously monitoring and recording emissions, and to provide other information as specified in Appendix P of Part 51 of this chapter.

[FR Doc. 77-27156 Filed 9-16-77;8:45 am]

[FRL 767-7]

PART 86—CONTROL OF AIR POLLUTION FROM NEW MOTOR VEHICLES AND MOTOR VEHICLE ENGINES: CERTIFICATION AND TEST PROCEDURES

Certification and Test Procedures for Heavy-Duty Engines for 1979 and Later Model Years

Correction

In FR Doc. 77-25747 appearing at page 45132 in the issue for Thursday, September 8, 1977 make the following corrections:

(1) On page 45136, first column, in § 86.079-10 (a)(2)(i), the figure "1.10" should have read "1.0", and "gram" should have read "grams".

(2) On page 45139, first column, in § 86.079-24 (e)(6), the figure "200" should have read "2000".

Title 47—Telecommunications

CHAPTER I—FEDERAL COMMUNICATIONS COMMISSION

PART 2—FREQUENCY ALLOCATIONS AND RADIO TREATY MATTERS; GENERAL RULES AND REGULATIONS

Editorial Amendments Concerning Amateur Radio Service Call Signs

Correction

In FR Doc. 77-24810, appearing at page 43084 in the issue for Friday, August 26, 1977, in the table appearing on page 43085 in § 2.302, under the column for "Call sign blocks", it should be noted that the figures appearing as "0" are zeroes and should have been typed with slashes through them to clarify that fact.

Title 49—Transportation

CHAPTER V—NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION, DEPARTMENT OF TRANSPORTATION

[Docket No. 75-28; Notice 5]

PART 567—CERTIFICATION

Certification of Multistage Vehicles; Correction

AGENCY: National Highway Traffic Safety Administration, DOT.

ACTION: Correction.

SUMMARY: In an amendment of the agency's certification regulations published July 25, 1977 (page 37817), an incorrect format was established for the listing of tire information. This notice corrects that error and another minor error in the wording of the regulation.

EFFECTIVE DATE: September 19, 1977.

FOR FURTHER INFORMATION CONTACT:

Mr. David Fay, Motor Vehicle Programs, National Highway Traffic Safety Administration, Washington, D.C. 20590 (202-426-2817)

SUPPLEMENTARY INFORMATION: On July 25, 1977 (42 FR 37814), the NHTSA published an amendment of Part 567, Certification, and Part 568, Vehicles Manufactured in Two or More Stages, which prescribed regulations for vehicle certification. In that amendment, the agency erroneously listed a tire size example that used the symbol "x" to separate the tire width from diameter. Current agency regulations use the symbol "–" instead of "x."

§ 567.5 [Amended]

Accordingly, Part 567.5(c)(6) of Title 49 of the Code of Federal Regulations is corrected by the substitution of the symbol "–" for the symbol "x" wherever it occurs in the example listed thereunder.

The second sentence in Part 567.5(c)(7)(ii) is corrected to read: "The statement shall be completed by inserting the numbers of all or less than all of the standards, and only those standards, to which the chassis-cab manufacturer has made the conditional certification under paragraph (a)(2) of this section."

The principal author of this document is Roger Tilton of the Office of Chief Counsel.

(Secs. 103, 108, 112, 114, 119, Pub. L. 89-563, 80 Stat. 718 (15 U.S.C. 1392, 1397, 1401, 1403, 1407); delegations of authority at 49 CFR 1.50 and 501.8.)

Issued on September 14, 1977.

ROBERT L. CARTER,
Associate Administrator,
Motor Vehicle Programs.

[FR Doc.77-27151 Filed 9-16-77;8:45 am]

Title 50—Wildlife and Fisheries

CHAPTER I—U.S. FISH AND WILDLIFE SERVICE, DEPARTMENT OF THE INTERIOR

PART 32—HUNTING

Opening of Shiawassee National Wildlife Refuge, Saginaw, Mich., to Goose Hunting

AGENCY: Fish and Wildlife Service, Interior.

ACTION: Special regulation.

SUMMARY: The Director has determined that the opening of goose hunting on Shiawassee National Wildlife Refuge is compatible with the objectives for which the area was established, will utilize a renewable natural resource, and will provide additional recreational opportunity to the public.

DATES: October 1, 1977, through November 30, 1977, excluding November 15.

FOR FURTHER INFORMATION CONTACT:

Robert G. Johnson, Refuge Manager, Shiawassee National Wildlife Refuge, 6975 Mower Road, Saginaw, Mich. 48601, telephone number 517-777-5930.

SUPPLEMENTARY INFORMATION:

§ 32.12 Special regulations; migratory game birds; for individual wildlife refuge areas.

Public hunting of geese on the Shiawassee National Wildlife Refuge, Michigan, is permitted from waterfowl opening hour to 12 noon each odd numbered day from October 1 through November 30, 1977, excluding November 15. The hunting area comprising approximately 1,200 acres is delineated on maps located at the refuge headquarters, Saginaw, Mich., and at the office of the Regional Director, U.S. Fish and Wildlife Service, Federal Building, Fort Snelling, Twin Cities, Minn. 55111.

Hunting shall be in accordance with all applicable State and Federal regulations covering the hunting of geese subject to the following special conditions:

(1) Hunting shall be by Federal permit and only from assigned blinds and pits. Blind assignments will be determined by drawings.

(2) All hunters are required to use Steel Shot Shells. These shells must be loaded with No. 4 shot or larger, except buckshot is not allowed.

(3) Lead shot shells shall not be in the possession of any reservation holders while hunting on the refuge.

(4) Each hunter may possess not more than 12 shotgun shells.

(5) A blind rental fee of two dollars per hunter is required.

(6) Not more than three hunters are permitted in each blind or pit.

(7) Permit application cards must be postmarked on or before September 15, 1977, and only successful applicants will be notified.

(8) After completion of the days hunt, all hunters must proceed to refuge headquarters for check-out and the submission of geese for examination.

The provisions of these special regulations supplement the regulations which govern hunting on wildlife refuges generally which are set forth in Title 50, Code of Federal Regulations, Part 32, and are effective through November 30, 1977. The public is invited to offer suggestions and comments at any time.

NOTE.—The U.S. Fish and Wildlife Service has determined that this document does not contain a major proposal requiring preparation of an Economic Impact Statement under Executive Order 11949 and OMB Circular A-107.

Dated: September 9, 1977.

CHARLES A. HUGHLETT,
Acting Regional Director.

[FR Doc.77-27149 Filed 9-16-77;8:45 am]

PART 32—HUNTING

Opening of Pathfinder National Wildlife Refuge, Wyoming, to Hunting

AGENCY: Fish and Wildlife Service, Interior.

ACTION: Special regulation.

SUMMARY: The Director has determined that the opening to hunting of Pathfinder National Wildlife Refuge is compatible with the objectives for which the area was established, will utilize a renewable natural resource, and will provide additional recreational opportunity to the public.

DATES: As established by Wyoming Game and Fish Commission for calendar year 1977.

FOR FURTHER INFORMATION CONTACT:

V. Carrol Donner, Refuge Manager, Pathfinder National Wildlife Refuge, Box 457, Walden, Colo. 80480, telephone 303–723–4717.

SUPPLEMENTARY INFORMATION:

§ 32.12 **Special regulations; migratory game birds; for individual wildlife refuge areas.**

Hunting of ducks, geese, coots, and mergansers is permitted on Pathfinder National Wildlife Refuge, Wyoming, in accordance with dates established by Wyoming Game and Fish Commission, but only on areas of Pathfinder Refuge known as Goose Bay, DeWeese Creek, and Sage Creek-Platte Units. This open area, comprising 3,760 acres, is delineated on maps available at refuge headquarters in Walden, Colo., and from the office of the Area Manager, U.S. Fish and Wildlife Service, 1426 Federal Building, 125 South State Street, Salt Lake City, Utah 84138. Hunting shall be in accordance with all applicable State and Federal regulations covering the hunting of ducks, geese, coots, and mergansers subject to the following special condition:

(1) *Blinds.* The construction of permanent blinds or pits is not permitted. Portable blinds may be used but not left on the refuge.

The provisions of this special regulation supplement the regulations which govern hunting on wildlife refuge areas generally which are set forth in Title 50, Code of Federal Regulations, Part 32.

NOTE.—The U.S. Fish and Wildlife Service has determined that this document does not contain a major proposal requiring preparation of an Economic Impact Statement under Executive Order 11949 and OMB Circular A–107.

V. CARROL DONNER,
Refuge Manager.

SEPTEMBER 9, 1977.

[FR Doc.77–27150 Filed 9–16–77;8:45 am]

proposed rules

This section of the FEDERAL REGISTER contains notices to the public of the proposed issuance of rules and regulations. The purpose of these notices is to give interested persons an opportunity to participate in the rule making prior to the adoption of the final rules.

DEPARTMENT OF TRANSPORTATION

Federal Aviation Administration

[14 CFR Part 39]

[Docket No. 77-NW-24-AD]

SUNDSTRAND DATA CONTROL, INC. ANGLE OF ATTACK SENSORS

Airworthiness Directive

AGENCY: Federal Aviation Administration (FAA), DOT.

ACTION: Notice of proposed rulemaking (NPRM).

SUMMARY: An airworthiness directive (AD) is being considered to require modifications to Sundstrand Data Control, Inc. Angle of Attack Sensors, P/Ns 965-4020-003/-004. This AD is being proposed because at higher ambient temperatures the angle of attack sensors may malfunction and cause either the loss of stall warning or a false warning which may result in an unsafe condition. The proposed modifications will reduce the probability of malfunctions.

DATE: Comments must be received on or before November 8, 1977.

ADDRESS: Send comments on the proposal in duplicate to: Federal Aviation Administration, Northwest Region, Office of the Regional Counsel, Attention: Airworthiness Rules Docket, Docket No. 77-NW-24-AD, 9010 East Marginal Way South, Seattle, Wash., 98108.

FOR FURTHER INFORMATION CONTACT:

C. H. Mackal, Systems and Equipment Section, ANW-213, Engineering and Manufacturing Branch, FAA Northwest Region, 9010 East Marginal Way South, Seattle, Wash. 98108, telephone 206-767-2500.

SUPPLEMENTARY INFORMATION: Interested persons are invited to participate in the making of the proposed rule by submitting such written data, views, or arguments as they may desire. Communications should identify the regulatory docket number and be submitted in duplicate to the address specified above. All communications received on or before the closing date for comments will be considered by the Administrator before taking action on the proposed rule. The proposal contained in this notice may be changed in the light of comments received. All comments submitted will be available, both before and after the closing date for comments, in the Rules Docket for examination by interested persons. A report summarizing each FAA/public contact concerned with the substance of the proposed AD will be filed in the Rules Docket.

Two angle of attack sensor malfunctions have been identified which may either disable a real stall warning or cause a false warning. The loss of pickoff coil inductance could, depending upon system installation, disable the stall warning system without warning to the flight crew. The failure of a field effect transistor (A1Q5), used for self-test, could cause the initiation of a self-test which then could result in a false stall warning. Either failure may cause a hazardous operation if it should occur at a critical time.

During the past two years, 58 reports have been received concerning false stall warning annunciation (stick shaker activation) during takeoff roll in McDonnell Douglas DC-10 airplanes resulting in five aborted takeoffs due to stall warning probe, P/N 965-4020-003, malfunctions.

Stall warning probe, P/N 965-4020-004, is installed in the Lockheed L-1011 airplane. The -004 probe is included in this NPRM because it may not comply with the high temperature qualification requirements in TSO-C54. The probes are manufactured under the technical standard order (TSO-C54) authorization system. Additional compliance time is proposed for the -004 due to the lack of adverse service reports.

The proposed AD would require the following:

(1) The addition of one diode and one resistor to reduce the probability of the self-test field effect transistor (A1Q5) from turning on at elevated temperatures and causing false warnings or no warning.

(2) The addition of two diodes to the pressure pickoff in the probe assembly and associated wiring changes to prevent excessive pressure transducer heater temperature, which may result in loss of inductance in the pickoff coils, rendering the sensor inoperative.

DRAFTING INFORMATION

The principal authors of this document are C. H. Mackal, Engineering and Manufacturing Branch, Northwest Region, and Jonathan Howe, Regional Counsel, Northwest Region.

THE PROPOSED AMENDMENT

Accordingly, the Federal Aviation Administration proposes to amend Section 39.13 of the Federal Aviation Regulations (14 CFR 39.13) by adding the following new Airworthiness Directive:

SUNDSTRAND: Applies to all Angle of Attack Sensors, P/Ns 965-4020-003/-004 manufactured under Technical Standard Order (TSO-C54) authorization installed in McDonnell-Douglas DC-10 and Lockheed L-1011 respectively. Compliance time required as indicated.

(1) Within the next 1,000 hours time in service after the effective date of this AD, unless already accomplished, modify the Sundstrand probe P/N 965-4020-003 in accordance with Sundstrand Service Bulletins No. 2 (Doc. No. 012-0125-102), Rev. 2, dated August 1977 and No. 3 (Doc. No. 012-0125-103), Rev. 2, dated August 1977, or subsequent FAA approved service bulletins, or in a manner approved by the Chief, Engineering and Manufacturing Branch, FAA Northwest Region.

(2) Within the next 3,600 hours time in service after the effective date of this AD, unless already accomplished: (1) modify the self-test circuit in the Sundstrand probe P/N 965-4020-004 (345D-2), in accordance with Collins-Lear Siegler, Inc. Service Bulletin No. 345D-2-22-03 dated February 15, 1975; and (2) modify the pressure pickoff assembly in the Sundstrand probe P/N 965-4020-004 (345D-2), in accordance with the Collins-Lear Siegler, Inc. Service Bulletin to be released, or subsequent FAA approved service bulletins, or in a manner approved by the Chief, Engineering and Manufacturing Branch, FAA Northwest Region.

(Secs. 313(a), 601, and 603, Federal Aviation Act of 1958, as amended (49 U.S.C. 1354(a), 1421, and 1423); Sec. 6(c), Department of Transportation Act (49 U.S.C. 1655(c)); and 14 CFR 11.85).

NOTE.—The Federal Aviation Administration has determined that this document does not contain a major proposal requiring the preparation of an Economic Impact Statement under Executive Order 11821, as amended by Executive Order 11949, and OMB Circular A-107.

Issued in Seattle, Wash., on September 9, 1977.

C. B. WALK, Jr.
Director, Northwest Region.

[FR Doc.77-27011 Filed 9-16-77;8:45 am]

[14 CFR Part 71]

[Airspace Docket No. 77-SW-41]

PROPOSED DESIGNATION OF TRANSITION AREA

Edna, Tex.

AGENCY: Federal Aviation Administration (FAA), DOT.

ACTION: Notice of proposed rule making.

SUMMARY: This notice proposes to designate a transition area at Edna, Tex., to provide controlled airspace for aircraft executing a proposed instrument approach procedure to the Jackson County Airport, using the newly established navigation aid (NDB) located on the airport.

DATES: Comments must be received by October 19, 1977.

ADDRESSES: Send comments on the proposal to: Chief, Airspace and Pro-

cedures Branch, Air Traffic Division, Southwest Region, Federal Aviation Administration, P.O. Box 1689, Fort Worth, Tex. 76101.

The official docket may be examined at the following location: Office of the Regional Counsel, Southwest Region, Federal Aviation Administration, 4400 Blue Mound Rd., Fort Worth, Tex. 76106.

An informal docket may be examined at the Office of the Chief, Airspace and Procedures Branch, Air Traffic Division.

FOR FURTHER INFORMATION CONTACT:

John A. Jarrell, Airspace and Procedures Branch (ASW-535), Air Traffic Division, Southwest Region, Federal Aviation Administration, P.O. Box 1689, Fort Worth, Tex. 76101; telephone 817-624-4911, extension 302.

SUPPLEMENTARY INFORMATION:

Subpart G 71.181 (42 FR 440) of FAR Part 71 contains the description of transition areas designated to provide controlled airspace for the benefit of aircraft conducting IFR activity. Designation of the transition area at Edna, Tex., will necessitate an amendment to this subpart.

COMMENTS INVITED

Interested persons may submit such written data, views or arguments as they may desire. Communications should be submitted in triplicate to Chief, Airspace and Procedures Branch, Air Traffic Division, Southwest Region, Federal Aviation Administration, P.O. Box 1689, Fort Worth, Tex. 76101. All communications received on or before October 19, 1977 will be considered before action is taken on the proposed amendment. No public hearing is contemplated at this time, but arrangements for informal conferences with Federal Aviation Administration officials may be made by contacting the Chief, Airspace and Procedures Branch. Any data, views or arguments presented during such conferences must also be submitted in writing in accordance with this notice in order to become part of the record for consideration. The proposal contained in this notice may be changed in the light of comments received. All comments submitted will be available, both before and after the closing date for comments, in the Rules Docket for examination by interested persons.

AVAILABILITY OF NPRM

Any person may obtain a copy of this notice of proposed rule making (NPRM) by submitting a request to the Chief, Airspace and Procedures Branch, Air Traffic Division, Southwest Region, Federal Aviation Administration, P.O. Box 1689, Forth Worth, Texas 76101, or by calling 817-624-4911, extension 302. Communications must identify the notice number of this NPRM. Persons interested in being placed on a mailing list for future NPRMs should contact the office listed above.

THE PROPOSAL

The FAA is considering an amendment to Subpart G of Part 71 of the Federal Aviation Regulations (14 CFR Part 71) to designate a transition area at Edna, Tex. The FAA believes this action will enhance IFR operations at the Jackson County Airport by providing controlled airspace for aircraft executing a proposed instrument approach procedure using the newly established NDB on the airport. Subpart G of Part 71 was republished in the FEDERAL REGISTER on January 3, 1977 (42 FR 440).

DRAFTING INFORMATION

The principal authors of this document are John A. Jarrell, Airspace and Procedures Branch, and Robert C. Nelson, Office of the Regional Counsel.

THE PROPOSED AMENDMENT

Accordingly, pursuant to the authority delegated to me, the FAA proposes to amend § 71.181 of Part 71 of the Federal Aviation Regulations (14 CFR Part 71) as republished (42 FR 440) by adding the Edna, Tex., transition area as follows:

§ 71.181 [Amended]

EDNA, TEX.

That airspace extending upward from 700 feet above the surface within a 5-mile radius of the Jackson County Airport (latitude 29°00'03" N., longitude 96°34'55" W.) and within 3.5 miles either side of the 322° bearing from the NDB extending 6.5 miles from the 5-mile radius.

(Sec. 307(a), Federal Aviation Act of 1958 (49 U.S.C. 1348(a)); and Sec. 6(c), Department of Transportation Act (49 U.S.C. 1655 (c)).)

NOTE.—The FAA has determined that this document does not contain a major proposal requiring preparation of an Economic Impact Statement under Executive Order 11821, as amended by Executive Order 11949, and OMB Circular A-107.

Issued in Fort Worth, Tex., on September 2, 1977.

PAUL J. BAKER,
Acting Director,
Southwest Region.

[FR Doc.77-27144 Filed 9-16-77;8:45 am]

[14 CFR Part 73]

[Airspace Docket No. 77-EA-60]

PROPOSED TEMPORARY RESTRICTED AREAS

Correction

In FR Doc. 77-26064 appearing at page 45011 in the issue for Thursday, September 8, 1977, the following correction should be made.

On Page 45012, first column, the longitude given in the second line of the restricted areas under the heading, "R-5201A Fort Drum, N.Y.", now reading, "75°39'00" W" should read, "75°39'-30" W".

DEPARTMENT OF THE INTERIOR

Mining Enforcement and Safety Administration

[30 CFR Parts 55, 56, 57]

HEALTH AND SAFETY STANDARDS

Continuation of Public Hearing

AGENCY: Department of the Interior, Mining Enforcement and Safety Administration (MESA).

ACTION: Notice of the continuation of a formal public hearing.

SUMMARY: Formal public hearings on proposed mandatory health and safety standards held during the week of August 22, 1977, through August 27, 1977, in Albuquerque, N. Mex., are continued to the week beginning on Monday, October 3, 1977, in Lakewood, Colo.

DATES: The formal public hearing will resume on Monday, October 3, 1977, beginning at 9 a.m. and will continue each day thereafter until all objections relating to the remaining proposed mandatory health and safety standards have been heard.

ADDRESS: The formal public hearing will be held in the Galahad Room, Ramada Inn-Foothills, 11595 W. Sixth Avenue, Lakewood, Colo. 80215, Telephone number 303-238-7751.

FOR FURTHER INFORMATION: Communications shall be addressed to:

The Honorable George A. Koutras, Administrative Law Judge, Office of Hearings and Appeals, Department of the Interior, Room 1235, Ballston Tower No. 3, 4015 Wilson Boulevard, Arlington, Va. 22203, telephone number 703-557-9200.

SUPPLEMENTARY INFORMATION: Notice is given that formal public hearings, subject to the Administrative Procedure Act (5 U.S.C. 553-557), on proposed new and revised mandatory health and safety standards applicable to mines and mills subject to the Federal Metal and Nonmetallic Mine Safety Act, as amended (30 U.S.C. 721-740), held in Albuquerque, N. Mex., during the week of August 22, 1977, through August 27, 1977, (see the notice of public hearing published in Part III of the FEDERAL REGISTER for June 16, 1977, 42 FR 30772-R30780), will resume in Lakewood, Colo., on Monday, October 3, 1977.

The remaining proposed mandatory health and safety standards are:

VENTILATION

57.5-24 Separation of intake airflow from exhaust airflow to prevent recirculation.

HEALTH

57.5-37 Radon daughter sampling equipment and procedures; at least one air sample in all mines; where uranium is not minded and concentrations between 0.1 and 0.3 WL are found, sample 90 days; and, where uranium is mined, sample every 30 days.

57.5–40 Radon daughter sampling when concentrations in excess of 0.3 WL are found; sampling equipment, procedures, and reporting to MESA of "Record of Work Area Radon Daughter Concentrations" and "Record of Individual Exposure to Radon Daughters."

LOAD, HAUL, DUMP

55.9–1, 56.9–1, and 57.9–1 Inspection of mobile equipment; reporting and recording of safety defects.

55.9–34, 56.9–34, and 57.9–34 Excessive loading of haulage equipment; loading to minimize spillage.

57.9–104 Warning devices or conspicuous markings on chute lips, air doors, and obstructions that create hazards to persons on equipment.

ESCAPEWAYS

57.11–59 Protection for operators of underground hoists which are part of the escape and evacuation plan.

HOISTING

57.19–78 Raising of buckets from the bottom; signals and speed.

MISCELLANEOUS

55.20–8, 56.20–8, and 57.20–8 Toilet facilities.

DRAFTING INFORMATION

The principal persons responsible for preparation of these proposed and revised standards are: Arthur P. Nelson, Assistant Administrator, Metal and Nonmetal Mine Health and Safety, MESA; E. Levi Brake, Chief, Division of Safety; Aurel Goodwin, Chief, Division of Health; and Donald R. Tindal, Assistant Solicitor, Metal and Nonmetallic Mine Health and Safety, Office of the Solicitor.

Dated: September 15, 1977.

ANTHONY RASPOLIC,
Acting Deputy Assistant Secretary of the Interior.

[FR Doc.77-27280 Filed 9-16-77;8:45 am]

DEPARTMENT OF TRANSPORTATION

Coast Guard

[33 CFR Part 117]

[CGD 77 146]

DRAWBRIDGE OPERATION REGULATIONS

Hillsborough River, Florida

AGENCY: Coast Guard, DOT.

ACTION: Proposed rule.

SUMMARY: At the request of the City of Tampa, Florida, the Coast Guard is considering amending the regulations governing the operation of the drawbridges across the Hillsborough River at Platt Street, Brorein Street, Kennedy Boulevard, Cass Street and Laurel Street, to require at least two hours notice from 10 p.m. to 6 a.m. This change is being considered because of limited passage of vessels during this period. Editorial revisions are being proposed to the section concerning the Hillsborough River for clarity.

DATE: Comments must be received before October 18, 1977.

ADDRESS: Comments should be submitted to and are available for examination at the office of the Commander (oan), Seventh Coast Guard District, Room 1018, Federal Building, 51 S.W. First Avenue, Miami, Florida 33130.

FOR FURTHER INFORMATION CONTACT:

Frank L. Teuton, Jr., Chief, Drawbridge Regulations Branch (G–WBR/73), Room 7300, Nassif Building, 400 Seventh Street, SW., Washington, D.C. 20590, (202–426–0942).

SUPPLEMENTARY INFORMATION: Interested persons are invited to participate in this proposed rulemaking by submitting written views, comments, data or arguments. Each person submitting comments should include his name and address, identify the bridge, and give reasons for concurrence with or any recommended change in the proposal.

The Commander, Seventh Coast Guard District, will forward any comments received with his recommendations to the Chief, Office of Marine Environment and Systems, U.S. Coast Guard Headquarters, Washington, D.C., who will evaluate all communications received and recommend a course of final action to the Commandant on this proposal. The proposed regulations may be changed in the light of comments received.

DRAFTING INFORMATION

The principal persons involved in drafting this proposal are: Frank L. Teuton, Jr., Project Manager, Office of Marine Environment and Systems, and Lieutenant Edward J. Gill, Project Attorney, Office of the Chief Counsel.

DISCUSSION OF THE PROPOSED REGULATIONS

This amendment would revise the regulations governing the operations of five drawbridges across the Hillsborough River in Tampa, Florida, by requiring at least two hours notice from 10 p.m. to 6 a.m. Limited passages of vessels during this period is the reason this proposal is being considered. Editorial revision of § 117.465 is also being proposed for greater clarity.

In consideration of the foregoing, it is proposed that Part 117 of Title 33 of the Code of Federal Regulations, be amended by revising § 117.465 to read as follows:

§ 117.465 Hillsborough River, Tampa, Florida.

(a) City of Tampa highway bridges at Platt Street, mile 0.0; Brorein Street, mile 0.16; and the State of Florida highway bridge at Kennedy Boulevard, mile 0.4. From 6 a.m. to 10 p.m., the draws shall open on signal except that from 7:30 a.m. to 9 a.m. and 4 p.m. to 6:15 p.m., Monday through Saturday, the draws of these bridges need not be opened for passage of vessels. From 10 p.m. to 6 a.m., the draws shall open on signal if at least two hours advance notice is given.

(b) City of Tampa highway bridges at Cass Street, mile 0.7 and Laurel Street, mile 1.0. From 6 a.m. to 10 p.m., the draws shall open on signal. From 10 p.m. to 6 a.m., the draws shall open on signal if at least two hours advance notice is given.

(c) Seaboard Coast Line Railroad bridge Cass Street, mile 0.7. The draw shall open on signal from 4 p.m. to 12 midnight, Monday through Friday. At all other times the draw shall be maintained in the open to navigation position.

(d) City of Tampa highway bridge at West Columbus Drive, mile 2.3, and the State of Florida highway bridge at West Hillsborough Avenue, mile 4.8. From 8 a.m. to 6 p.m., the draws shall open on signal. From 6 p.m. to 8 a.m., the draws shall open on signal if at least one hour advance notice is given.

(e) Public vessels and vessels in distress. (1) From 6 a.m. to 10 p.m., the draws of the bridges listed in paragraph (a) of this section shall open on signal for the passage of public vessels of the United States, vessels owned or operated by state, county, or local governments for public safety purposes, or any vessel in distress. The opening signal is four blasts of a whistle, horn, or other sound producing device.

(2) From 10 p.m. to 6 a.m., the draws of the bridges listed in paragraphs (a) and (b) of this section shall open as soon as possible but not longer than one hour after a request by a public vessel of the United States, vessels owned or operated by the state, county, or local governments used for public safety purposes, or any vessel in distress.

(f) The owners of or agencies controlling the bridges shall conspicuously post notices containing the substance of these regulations, both upstream and downstream, on the bridges or elsewhere, in such a manner that they may be easily read at all times from an approaching vessel. This notice shall state exactly how the authorized representative may be reached for openings of the draw.

(Sec. 5, 28 Stat. 362, as amended, sec. 6(g)(2), 80 Stat. 937; (33 U.S.C. 499, 49 U.S.C. 1655(g)(2)); 49 CFR 1.46(c)(5).)

NOTE.—The Coast Guard has determined that this document does not contain a major proposal requiring preparation of an Economic Impact Statement under Executive Order 11821, as amended, and OMB Circular A–107.

Dated: September 14, 1977.

O. W. SILER,
Admiral U.S. Coast Guard Commandant.

[FR Doc.77-27165 Filed 9-16-77;8:45 am]

ENVIRONMENTAL PROTECTION AGENCY

[40 CFR Part 434]

[FRL 789-8]

COAL MINING POINT SOURCE CATEGORY

Standards of Performance for New Sources

AGENCY: Environmental Protection Agency.

ACTION: Proposed rule.

SUMMARY: These proposed regulations set forth limitations on the discharge of pollutants into navigable waters from "new source" coal mines and coal preparation plants. These regulations are required to be issued under the Federal Water Pollution Control Act. When promulgated in final form, the limitations will be incorporated in National Pollutant Discharge System Permits issued to new sources by the Federal EPA or by States with approved programs.

DATE: Comments must be received on or before November 18, 1977.

ADDRESS: Send comments to: Environmental Protection Agency, 401 M Street SW., Washington, D.C. 20460, Attention: Distribution Officer, WH-552.

FOR FURTHER INFORMATION CONTACT:

William Telliard, Effluent Guidelines Division (WH-552), Environmental Protection Agency, Washington, D.C. 20460 (202-426-2726).

SUPPLEMENTARY INFORMATION:

Background

On October 17, 1975, EPA promulgated a regulation adding Part 434 to Title 40 of the Code of Federal Regulations (40 FR 48830). That regulation with subsequent amendments established interim effluent limitations guidelines, based on the "best practicable control technology currently available" (BPT), for existing sources in the coal mining point source category. On April 26, 1977, EPA promulgated final effluent limitations guidelines for the coal mining point source category based on best practicable control technology currently available (40 FR 21380). These final effluent limitations guidelines included a number of major changes and clarifications which reflected public comments received on the earlier interim final regulations.

On May 13, 1976, EPA proposed standards of performance and pretreatment standards for new sources for two subcategories of the coal mining point source category: Subpart A (coal preparation plant subcategory) and Subpart B (coal storage, refuse storage, and coal preparation plant ancillary area subcategory) (41 FR 19841). (Those Subparts A and B were subsequently combined as Subpart B, Coal Preparation Plants and Associated Areas, when the final BPT regulations were promulgated). The Agency also proposed limitations based on best available technology economically achievable (BAT) for Subparts A, B, C, and D. New source standards of performance for mine drainage (Subparts C and D) were not proposed at that time because new source coal mine NPDES permits pose difficult problems in connection with the environmental analysis that must be conducted under the National Environmental Policy Act (NEPA) and section 511(c) of the Act. The Agency is addressing these problems in this regulation by adding a definition for "new source coal mines", and by issuing guidance for the environmental review of permits for mines which fall within this definition.

The present proposed regulations would amend 41 FR 19841 by establishing standards of performance for new sources in Subparts B, C, D, and F. With respect to Subpart B, the present proposal modifies the new source performance standards originally proposed in 41 FR 19841. Subpart E has been added in anticipation of a later proposal of guideline limitations covering the drainage from areas under reclamation. Subpart F is a new subcategory which covers Western mines.

The standards of performance proposed today reflect Agency consideration of comments received on earlier rulemakings. Many of these comments were relevant to the promulgation of BPT regulations on April 26, 1977, and are discussed at length in 42 FR 21380. Therefore, except as specifically noted, the preamble set forth in 42 FR 21380 is incorporated herein by reference. A summary of comments which pertained specifically to new source performance standards and the Agency's response is set forth under Summary of Public Participation.

Legal Authority

These standards are issued under the Federal Water Pollution Control Act, as amended, 33 U.S.C. 1251, 86 Stat. 816, Pub. L. 92-500 (the Act).

Section 304(c) of the Act requires the Administrator to issue to the States and appropriate water pollution control agencies information on the processes, procedures or operating methods which result in the elimination or reduction of the discharge of pollutants, to implement standards of performance under section 306 of the Act. The report, or "Development Document", referred to in the preamble of this notice provides methods, and fulfills the requirements of section 304(c).

Section 306 of the Act requires the achievement by new sources of a Federal standard of performance providing for the control of the discharge of pollutants which reflects the greatest degree of effluent reduction which the Administrator determines to be achievable through application of the best available demonstrated control technology, processes, operating methods, or other alternatives, including, where practicable, a standard permitting no discharge of pollutants.

Section 306(b)(1)(B) of the Act requires the Administrator to propose regulations establishing Federal standards of performance for categories of new sources included in a list published under section 306(b)(1)(A) of the Act. On October 17, 1975, a notice appeared in the Federal Register titled "Additions to the List of Categories of Sources" (40 FR 48712) adding the coal mining point source category and is in accordance with the provisions of section 306(b)(1)(A) of the Act. The regulation applicable to new sources for the coal preparation plants and associated areas subcategory (Subpart B), the acid of ferruginous mine drainage subcategory (Subpart C), the alkaline mine drainage subcategory (Subpart D), and the Western mines subcategory (Subpart F) of the coal mining point source category.

Summary and Basis of Standards

The present regulations establish limitations on TSS, iron, manganese, and pH.

The general methodology which was used is discussed in the preamble and Development Document of the final regulations for the coal mining point source category promulgated by EPA on April 26, 1977 (42 FR 21380). While that final regulation applies to existing sources, the definitions and basic pollution control concepts employed are also utilized in these proposed standards of performance.

(1) *Coal preparation plants and associated areas.* Since the issuance of regulations on April 25, 1977 (42 FR 21380), all coal preparation plants and associated areas are covered by a single subcategory (Subpart B). The new proposed regulations allow a discharge of process waste water pollutants from point sources in this subcategory, subject to the same limitations discussed above for mine drainage, if recycling of water for use in processing is practiced. If no recycling is practiced, then there may be no discharge of process waste water pollutants. These requirements take into account the information presented by the industry indicating that even when facilities are designed to recycle process water, occasional discharges may nevertheless be necessary due to the accumulation of excess water. At the same time these standards reflect the data which show that recycling of process water is widely practiced in the industry.

The standards of performance for new source coal preparation plants and associated areas are based on the application of the best available demonstrated control technology. All preparation plants visited or contacted had some form of settling facilities associated with the preparation plant and the majority had provisions for recirculating the clarified process waste water back to the preparation plant. Coal preparation is water consumptive due to the water loss on clean coal, coal refuse, and thermal drying of coal. The majority of coal preparation plants maximize the use of water resources through water recirculation. This technology is consequently well demonstrated in this industry as a means of limiting the discharge of pollutants.

As in other mining categories, the limitations are applied on a concentration basis (mg/l) rather than a mass basis (lbs/ton of product), because no direct correlation between water usage and production could be established. Therefore, EPA was unable to simply establish a mass limit which would reflect total pollutants discharged from a coal preparation plant and associated areas, assuming that the plant is recycling process water. The standards which are established, therefore, refer to the pollutant levels that can be attained through use of "best available demonstrated control technology," without reference to tons of production.

(2) *Mine drainage.* The standards for mine drainage from Eastern mines in Subparts C and D are based upon the degree of effluent reduction achievable through the application of "best available demonstrated control technology." The standards proposed today to control the discharge of total suspended solids are the same as the BPT regulations for existing sources. The standard for discharges of manganese in acid or ferruginous mine drainage (Subpart C) are also the same as the BPT regulations. The new source performance standards to control the discharge of total iron are equivalent to the more stringent proposed BAT limitations for existing sources, rather than to the BPT limitations, since it has been demonstrated that these limitations can be met through proper maintenance and careful pH control.

(3) *Areas under reclamation.* The new source performance standards proposed today for mine drainage discharges apply only to discharges from "active mining areas." An active mining area is a place where work or other activity related to the extraction, removal, or recovery of coal is being conducted, except, with respect to surface mines, any land on or in which grading has been completed to return the earth to the desired contour and reclamation has begun.

Thus, mining areas which have been returned to final contour and are under reclamation are not subject to the present proposed standards for Subparts C and D. The Agency has decided, however, to establish a separate subcategory (Subpart E) for discharges from areas under reclamation. An area under reclamation is an area of land resulting from the surface mining of coal which has been returned to final contour and for which there is an applicable revegetation or reclamation bond. As noted in the preamble to the BPT regulations, EPA is conducting an analysis of available information with respect to the water pollution which originates in surface mines undergoing revegetation and reclamation. When the Agency has an adequate opportunity to review this information, the Agency will undertake formulation of new source performance standards and other regulations for such discharges.

Although regulations for such discharges are not now being proposed, it should be noted that section 511(c) of the Act and the National Environmental Policy Act require the Agency to include in its decisionmaking appropriate and careful consideration of all environmental aspects associated with new sources. EPA has a responsibility to inform the public of the effects of new sources through the NEPA mechanism. Under the Council of Environmental Quality guidelines, this environmental review includes consideration of land use impacts. The procedures to be followed under NEPA are discussed below under Environmental Review of New Source Coal Mine Permits.

(4) *Western coal mines.* As discussed in the preamble to the BPT regulations, data gathered by EPA's Region VIII (located in Denver, Colo.) appear to indicate that Western coal mines are able to discharge pollutants in lower concentrations than Eastern coal mines. Possible factors which explain the differences include the relatively more even topography of Western coal mines, the emphasis on conserving relatively scarce water supplies, and the relatively lower concentration of pollutants in the geologic formations being exploited. EPA has decided to propose a separate subcategory (Subpart F) for Western coal mines, and to develop a separate set of limitations specifically for Western coal mines.

Western coal mines are defined as those located west of the 100th meridian. The 100th meridian was chosen because it closely approximates the boundary of relevant climatic conditions (arid and semi-arid areas) and geographical features (alluvial valley floors used for agriculture; availability of relatively flat land for large settling ponds).

(5) *Monitoring.* The coal mining point source category has been divided into major subcategories based on the waste water characteristics, and treatability of the waste water. (See section IV of the Development Document.) The Agency recognizes that raw waste water or raw mine drainage at some mines and preparation plants in a subcategory may not contain detectable or substantial quantities of a pollutant controlled in that subcategory. Where raw waste water or raw mine drainage does not contain a pollutant controlled in detectable quantities or the pollutant is in substantially lower concentration than the effluent limitation on a consistent basis, a permit may allow the pollutant to be monitored on a less frequent schedule than the other pollutants controlled by the permit. (See 40 CFR Part 125.27.) This less frequent schedule will verify that the pollutant has not been introduced into the discharge by, for example, a change in the process or a change in the mineralogy of the coal or overburden. Such modification to monitoring requirements will be considered on a case-by-case basis by the agency issuing the permit.

(6) *Availability of documents.* A report entitled "Development Document for Effluent Limitations Guidelines and New Source Performance Standards for the Coal Mining Point Source Category, May 1977," details the analysis undertaken in support of the regulation being proposed today and is available for inspection in the EPA Public Information Reference Unit, Room 2404, Waterside Mall, 401 M Street SW., Washington, D.C. 20460, at all EPA Regional offices, and at State water pollution control offices. A supplementary analysis entitled "Economic Impact of Effluent Guidelines, Coal Mining" which was prepared for EPA on the potential economic effects of the proposed regulation is also available for inspection at these locations. Copies of both of these documents are being sent to persons or institutions affected by this proposed regulation and to those who have placed themselves on a mailing list for this purpose (see EPA's advance notice of public review procedures, 38 FR 21202, August 6, 1973). Additional copies of both reports are available from the National Technical Information Service, Springfield, Va. 22151.

DEFINITION OF A NEW SOURCE COAL MINE

These regulations add a new § 434.11 (i), which defines the term "new source" with reference to coal mines. The definition contained in this regulation is issued to implement section 306(a)(2) of the FWPCA, which provides that "the term 'new source' means any source, the construction of which is commenced after the publication of proposed regulations prescribing a standard of performance under this section which will be applicable to such source, if such standard is thereafter promulgated in accordance with this section." Mining activity which falls within the definition of a "new source" will be subject to new source standards, rather than to existing source regulations. Furthermore, in accordance with section 511(c) of the FWPCA, federal permits for coal mines which are found to be "new sources" will be subject to the provisions of the National Environmental Policy Act of 1969 (NEPA), as described under Environmental Review of New Source Coal Mine Permits in this preamble.

The definition of a "new source coal mine" is set forth in two parts. First, a coal mine will be considered a new source if the identification number assigned by the Mining Enforcement and Safety Administration (MESA) under the Federal Coal Mine Health and Safety Act of 1969 (Pub. L. 91-173) and 30 CFR Part 82, is not assigned before new source performance standards are proposed. Under the Coal Mine Health and Safety Act, MESA is charged with making frequent inspections and investigations in every operating coal mine in the United States. In order to carry out and record the inspections required, MESA assigns identification numbers to all existing and operating coal mines. Since a report of legal identity must be filed with MESA before mining is carried out (30 CFR 75.-1721, 77.1712, 82.1-82.20), the date that the MESA number is assigned is useful in determining whether "construction" of a mine (within the meaning of section 306 of the Act) occurred after the proposal of new source performance standards. The MESA list includes all mines known to be operating. If a mine is not on the MESA list of mines as of the date

of proposal of applicable new source performance standards, then EPA will conclude that the mine was begun after that date, and will determine that it is a "new source."

Regional offices of the Agency will be furnished with a complete list of mines identified by MESA in their Region as of the date of this proposed regulation. Applications for NPDES permits from coal mines should include the applicable MESA identification number.

Second, even if the MESA number has been assigned before the proposal of new source performance standards, the Regional Administrator may determine that a coal mine is a new source, based on his consideration of a list of criteria which relate to the expansion of coal mining activities into new areas. If the Regional Administrator finds, based on these criteria, that a coal mine is a "substantially new operation," then the coal mine will be considered a new source.

The list of criteria focuses on the occurrence of the following: Extraction of a coal seam not previously extracted by the mine operation; discharge into a drainage area not previously affected by waste water from the mine operation; creation of extensive new surface disruption; construction of a new shaft, slope or drift; acquisition of additional land or mineral rights; significant capital investment in additional equipment or additional facilities; and such other factors as the Regional Administrator deems relevant.

The occurrence of any one of these events is not intended to be conclusive in making a new source determination. Rather, the list of criteria is intended to guide the Regional Administrator in assessing the overall situation.

In all industries, if a plant owner substantially alters or significantly expands existing facilities after the proposal of new source performance standards, then EPA may find that a "new source" has been constructed. Furthermore, if a plant owner incurs some expenses before the proposal of new source performance standards, but only makes a substantial commitment to build in a particular place after that date, EPA may find that the facility is a new source. The factors which are usually considered relevant in making new source determinations are described in 40 CFR Part 6, Appendix A (42 FR 2450, January 11, 1977).

Creation of a coal mine is, in many obvious ways, different from construction of a plant. The list of criteria proposed today is intended to help the Regional Administrator define a "new source" in a way that is as analogous as possible to the criteria used in other industries. Thus a consideration of when the mine owner has made a substantial commitment to mine in a particular area is important. The list of relevant criteria include the acquisition of property rights and investment in equipment or facilities for use in a particular area. Other criteria relate to assessing the extent of expansion or alteration of mining activity. Thus, consideration of whether the mine operator has begun mining a new seam or whether mine drainage has begun to enter a different drainage area is relevant to determining the scope and nature of the expansion. Acquisition and use of new facilities or equipment, construction of new shafts, slopes, or drifts, creation of extensive new surface disruption or mining in a new seam are also factors to consider in assessing whether the expansion or change in the mining activity warrants a new source determination. Some of the factors (extensive new surface disruption, mining in a new seam with different pollutant levels, or drainage into a different drainage area) may be particularly important if they lead to the creation of significant new environmental problems, such as pollution of another stream or an increase/or change in the pollutants entering the receiving stream.

Because the characteristics of coal mines and the technologies used vary widely from one region to another, it was decided that some relevant criteria (in addition to those described above) may need to be formulated at the regional level.

EPA has decided to rely upon these additional criteria, in addition to the MESA number, because mining operations in contiguous areas remain subject to a single identification number no matter how much additional investment or surface disruption occurs. Under section 306 of the FWPCA, a key concern is the creation of new sources of pollution and the incorporation of more advanced pollution control techniques into the plans for new construction. The assignment of a MESA number does not require consideration of many of the factors which would warrant the classification of a mine as a new source. Therefore, the Regional Administrator is given the authority to make new source determinations based on additional criteria which are relevant in the environmental context.

Environmental Review of New Source Coal Mine Permits

In accordance with section 511(c) of the Act, federal permits for "new sources" are subject to the provisions of the National Environmental Policy Act of 1969 (NEPA) (42 U.S.C. 4321 et seq.; 83 Stat. 852 et seq.; Pub. L. 91-190). NEPA requires identification and environmental review of "major Federal actions significantly affecting the quality of the human environment." (Section 102(2)(c).)

General regulations governing the application of NEPA to new source permits were promulgated on January 11, 1977, 40 CFR Part 6 (42 FR 2450). The general regulations provide for environmental review by EPA of new source permits. EPA initially reviews an "environmental impact assessment" submitted by the permit applicant. Upon completion of this review, EPA may either issue a "negative declaration" (indicating that no significant environmental impact is anticipated) or require the preparation of an environmental impact statement (EIS) as a basis for more extensive review.

For further information on 40 CFR Part 6—Preparation of Environmental Impact Statements, and this portion of the preamble addressing Environmental Review of New Source Coal Mines contact:

Peter Cook, Assistant Director for Policy and Procedures, Office of Federal Activities (A-104), Environmental Protection Agency, Washington, D.C. 20460 (202-755-0790).

EPA has expanded upon the general NEPA regulations by issuing a separate policy memorandum on the applicability of NEPA to new source coal mines. Copies are available at the Office of Federal Activities, at EPA headquarters and at EPA regional offices. A summary of this guidance follows:

In an effort to use resources in the most effective way, EPA has developed some screening procedures to identify the coal mines that are most likely to have a significant impact on the environment. The following factors were judged to be particularly relevant to the expected environmental impact: (1) the rate of production of the mine, and (2) the mining techniques used by the mine.

Not all new source coal mines will be required to undergo the detailed environmental review described in 40 CFR 6.906. Depending on the projected rate of production of a mine, EPA will (1) automatically conduct a detailed environmental review, (2) conduct an environmental review only if preliminary evidence indicates that the risk of significant impact on the environment may be high, or (3) allow a mine to certify to use of "best practices" (defined below) as an alternative to automatically conducting a detailed environmental review.

Although for some mines a detailed environmental review will not be automatically conducted, a review will always be conducted if preliminary evidence suggests that the risk of significant impact on the environment may be high. A negative declaration or an EIS must be prepared only if a detailed environmental review is required according to the guidance given below.

Summary of Guidance for Environmental Review of New Source Coal Mines

(1) New source coal mines are divided into three groups as indicated in the following table:

Type of mine	Designed annual tonnage [1]		
	Under 100,000 ton per year	100,000 to 300,000 ton per year	Over 300,000 ton per year
Surface mines (including auger mines)	Group C	Group B	Group A.
Underground mines	do	do	Group B.

[1] A mine category is determined by the production rate for the highest production year during the life of the NPDES permit. For mining operations which are conducted for less than 1 year (during the NPDES permit life regardless of its length) the rate used for categorization will be calculated by dividing 12 by the number of months coal is extracted and multiplying the resulting number by the production (in tons) to determine the equivalent annual production rate.

(2) The environmental review procedures to be used for each group are listed below:

(a) *Group A.* Coal mines in this group are subject to detailed environmental review on a routine basis under 40 CFR § 6.900. In addition to an environmental assessment, mines in this group must submit a mining plan, as described in the EPA draft document "Best Practices for New Source Surface and Underground Coal Mines."

(b) *Group B.* For coal mines in this group, Group A procedures apply, unless the permit applicant certifies that he will use "best practice procedures" as set forth in the draft document, Best Practices for New Source Surface and Underground Coal Mines. If the applicant so certifies, Group C procedures apply. A mine which has certified to use of "best practices," and which has received a permit on that basis, may be subject to a field audit to verify compliance. A mine which is chosen for a field audit may be required to submit a mining plan as described in Best Practices for New Source Surface and Underground Coal Mines prior to on site inspection.

(c) *Group C.* The Agency will not routinely conduct a detailed environmental review unless the Regional Administrator determines that such a review is warranted in the particular case, based on evidence relating to any of the following: Archaeological sites; sensitive ecosystems; habitats of endangered species; historical sites, wild and scenic rivers; wetlands; prime agricultural lands; significant surface water or groundwater pollution; recreational land uses; air quality; noise levels; community integrity and quality of life; mining in a saturated zone; presence of toxic overburden; presence of high sulfur coal; steep slope mines (over 25 percent); mining in alluvial valley floors; other criteria developed by the regional office based on characteristics of the particular region. Coal mines in Group C must submit a brief questionnaire to help the Agency identify mines or groups of mines that may need detailed review.

The Agency's decision to structure the environmental review of new source coal mines by dividing them into categories based on coal production levels is based on the belief that the amount of pollutants produced by a mine will be roughly proportional to the number of tons of coal mined for similar mine types assuming equal pollution control. A similar belief was relied upon in developing the comprehensive matrix of environmental residuals for energy systems set forth in "Energy Alternatives: A Comprehensive Analysis," a study funded jointly by the Council on Environmental Quality, the Energy Research and Development Administration, the Federal Energy Administration, the Federal Power Commission, the Department of the Interior, and the National Science Foundation. The study assumes that the pollutant residuals (pollution generated per 10^{12} Btu of energy produced) remain the same regardless of the size of the mining operation, assuming equal pollution control for large and small mines of a similar type. Based on this assumption, mines producing over 100,000 tons of coal per year are responsible for about 83 percent of the total coal production, and for a similar portion of the pollutants; mines producing under 100,000 tons are responsible for about 17 percent. Thus, larger coal mines are more likely to have a significant impact on the environment than smaller coal mines. The Agency has consequently decided that the priority in allocating Agency resources should be given to the review of larger mines.

The Agency has further concluded that the risk of significant impact on the environment by a coal mine can in many cases be minimized by use of sound mining practices. These practices, described in an EPA document entitled "Best Practices for New Source Surface and Underground Coal Mines," are discussed in the policy memorandum mentioned earlier from the Director of the Office of Federal Activities to the Regional Administrators, dated September 1977. In the Agency's judgment, use of "best practices" by certain coal mines will minimize or prevent environmental impacts to the extent possible, without bringing about unreasonable reduction in mining activities. For this reason, the option of certifying to use of "best practices" is offered to some coal mines as an alternative to being automatically subject to detailed environmental review. This option is not extended to large surface mines because extensive surface disruption and the total pollution generated by these mines are more likely to pose a significant threat to the environment. These large surface mines will always be subject to a detailed environmental review.

EPA believes that structuring the review process as set forth above will allow the most efficient use of the limited resources which can be devoted to new source NPDES permit review. By establishing a set of threshold criteria, the Agency hopes to keep the task within manageable limits while at the same time facilitating the early identification and review of those mines which will in fact have a significant impact on the environment.

EPA is aware that the Department of Interior (DOI) is proposing regulations required by the Surface Mining Control and Reclamation Act of 1977 (Pub. L. 95-87). EPA will continue to work closely with the DOI Office of Surface Mining in those areas where proposed regulations affect the same activity at a coal mine. Such efforts will emphasize coordination of the two separate programs.

Summary of Public Participation

Prior to this publication, interim final regulations for existing sources were promulgated for the coal mining point source category (41 FR 19832) which were supported by a report entitled "Development Document for Effluent Limitation Guidelines and New Source Performance Standards for the Coal Mining Point Source Category, May 1976." This document was made available to the public. Public comment was solicited in the Federal Register, 41 FR 19832. A complete listing of participants and a discussion of comments and responses pertaining to the comments was contained in the final rule promulgated on April 26, 1977, for existing sources in the coal mining point source category (42 FR 21380).

While the interim final rulemaking addressed best practicable control technology currently available for existing sources, the definitions and basic pollution control concepts used are also relevant to these proposed standards of performance. Appendix C of the April 26, 1977, preamble should be referred to for a discussion of these comments.

Comments which specifically addressed the formulation of new source performance standards are set forth below:

(1) Several commenters noted that the publication of new source performance standards for coal mines had not taken place by the statutory deadline.

The Agency was forced to delay the proposal of new source performance standards because of the difficult problems associated with defining a "new source" coal mine and conducting the environmental analysis which is required for new source permits by the National Environmental Policy Act (NEPA) and Section 511(c) of the FWPCA. The present regulations include a definition of the term "new source coal mine." Furthermore, guidance set forth above addresses the question of structuring environmental review of the large numbers of new source mines which are expected to come into existence.

(2) Commenters suggested that requirements for environmental review of new source permits should be related to the size of the mine (i.e., design or proposed annual tonnage). Another suggestion was to require the preparation of environmental assessments on an area wide basis with the area being no larger than a single watershed.

Under Environmental Review of New Source Coal Mines above, the Agency outlines the factors taken into consideration in issuing guidance for Environmental Review of New Source Coal Mines. Essentially, the environmental assessments of new source coal mines is based on the size of the mine (designed annual tonnage) and the mining method used (surface or underground).

(3) A number of comments were received from public interest groups requesting the extension of coverage of the effluent limitations guidelines and new source performance standards to include areas under reclamation or those areas which have been returned to final grade, but where the reclamation bond for the area has not been returned by the appropriate State or federal agency. It was requested that EPA delay issuance of regulations to allow for an extension of the guidelines coverage to include areas which had been regraded but not reclaimed.

By use of the definition "active mining area", these proposed standards do not apply to discharges from areas affected by surface coal mining after these areas

have been graded. However, a new subcategory for areas under reclamation (Subpart E) is also established by these regulations. As discussed above under Summary of Standards, formulation of regulations for this subcategory is currently under consideration.

(4) Commenters suggested that the maximum daily limitation for total iron be set at 6.0 mg/l instead of 3.5 mg/l as suggested in the development document for new source performance standards. Commenters also suggested that the new source performance standards be the same as the limitations based on best practicable control technology currently available.

New source performance standards are based on the best available demonstrated control technology including, where practicable, a standard permitting no discharge of pollutants. The standard for total iron can be achieved with improved performance related to pH control and improved maintenance of the mine drainage treatment system. Total iron parameters can be controlled since the same neutralization process that controls pH also causes iron to precipitate out of solution; this precipitate is then removed in the process used to control total suspended solids. Consideration was given to the cost of achieving the level of reduction of iron, the non-water quality environmental impact and energy requirements in settling the limitations.

(5) Many comments were received regarding the achievability of the discharge limitations for coal preparation plants which were published on May 13, 1976 (41 FR 19832 and 41 FR 19841).

Subpart A—Coal preparation plants subcategory, and Subpart B—Coal storage, refuse storage, and coal preparation plant ancillary areas subcategory, were combined in the final regulation promulgated on April 26, 1977 (42 FR 21380) as Subpart B—Coal preparation plants and associated areas. These regulations proposed today amend the new source performance standards proposed on May 13, 1976 (41 FR 19841). The "zero discharge" requirement formerly applicable to coal preparation plants has been replaced by a single set of limitations for discharges from the plant and associated areas. For further discussion of the consolidation of the former Subparts A and B, see the April 26, 1977, preamble for the BPT regulations.

Under the present proposed regulations, facilities which recycle waste water for use in processing may discharge process waste water subject to certain limitations. Facilities which do not recycle process waste water for use in processing are subject to a "no discharge" limitation. These requirements are based on a determination by the Agency that the "best available demonstrated control technology" for this industry includes recycling of process water as a means of limiting the discharge of pollutants. As discussed above under Summary of Standards, recycling is already practiced by most coal preparation facilities. However, it has also become apparent that these facilities occasionally experience discharges even when recycling is practiced. Therefore, the limitations which are now being proposed reflect the level of control of pollutant discharges which can be achieved through recycling, while at the same time allowing the occasional discharges which some plants may have even though recycling is practiced.

Economic Impact Analysis

A report entitled "Economic Impact of Effluent Guidelines, Coal Mining," was prepared in support of these proposed regulations; it showed the proposed new source effluent guidelines are not expected to affect significantly prices, production, employment, or the balance of trade. These proposed regulations may increase 1985 raw coal prices up to 32 cents per ton for an average percentage increase of approximately 0 to 1.6 percent. The higher price is predicted to reduce the 1985 demand for coal from 897 to 894 million tons, a decrease of 0.3 percent. Assuming 12,000 BTU per pound of coal, this reduction in annual demand is approximately 72×10^{12} BTU per year.

The preparation plant standards are predicted to increase the cost of prepared coal up to seven cents per ton. This increase is approximately 3.5 percent of the $2 per ton charge for coal cleaning and proportionally less of the cost of the prepared coal. No significant change in the demand for cleaned coal is expected to result from the proposed regulations.

Capital requirements through 1985 to comply with these standards of performance will total approximately $126 to $161 million. This is less than 2 percent of the eight to eleven billion dollars which the coal industry is expected to spend for capital expansion during this period. The reduction in the demand for coal in 1985 exceeds the 50×10^{12} BTU per year increase in energy consumption criterion for economic impact assessments. The economic impact report fulfills the requirement for this assessment. Accordingly, the Environmental Protection Agency has determined that this document contains a major proposal requiring preparation of an Economic Impact Analysis under Executive Orders 11821 and 11949 and OMB Circular A-107 and certifies that an Economic Impact Analysis has been prepared.

Opportunity for Public Comment

Interested persons may participate in the rulemaking proposed today by submitting written comments in triplicate to the Environmental Protection Agency, 401 M Street SW., Washington, D.C. 20460. Attention: Distribution Officer (WH-552). Comments on all aspects of the proposed regulation are solicited. In the event comments are in the nature of criticisms as to the adequacy of data available, or which may be relied upon by the Agency, comments should identify and provide any additional data which is available. If comments address the approach taken by the Agency in establishing the standard of performance, EPA solicits suggestions as to what alternative approach should be taken and why this alternative better satisfies the requirements of section 306 of the Act.

A copy of all public comments will be available for inspection and copying at the EPA Public Information Reference Unit, Room 2922 (EPA Library), Waterside Mall, 401 M Street SW., Washington, D.C. 20460. Draft contractor reports, the development document and economic study referred to above, and supplementary material supporting the rulemaking is also maintained at this location for public review and copying. The EPA information regulation, 40 CFR Part 2, provides that a reasonable fee be charged for copying.

All comments received on or before November 18, 1977, will be considered. The Agency previously outline procedures concerning public review on August 6, 1973 (38 FR 21202).

Small Business Administration Loans

Section 8 of the FWPCA authorizes the Small Business Administration, through its economic disaster loan program, to make loans to assist any small business concern in effecting additions to or alterations in their equipment, facilities, or methods of operation so as to meet water pollution control requirements under the FWPCA, if the concern is likely to suffer a substantial economic injury without such assistance.

For further details on this Federal loan program write to EPA, Office of Analysis and Evaluation, WH-586, 401 M Street SW., Washington, D.C. 20460.

Dated: September 8, 1977.

Douglas M. Costle,
Administrator.

Part 434 is proposed to be amended as follows:

Subpart A—General Definitions

1. In § 434.11, paragraph (i) is added as follows:

§ 434.11 General Definitions.

* * * * *

(i) The term new source coal mine shall mean a coal mine which:

(1) Was not assigned the applicable Mining Enforcement Safety Administration (MESA) identification number under 30 CFR Part 82 prior to the date of proposal of applicable new source performance standards, or

(2) Is determined by the Regional Administrator to constitute a substantially new operation in accordance with section 306(a)(2) of the FWPCA and 40 CFR Part 6 (even if the applicable MESA identification number is assigned prior to the proposal of new source performance standards). In making this determination, the Regional Administrator shall take into account the occurrence of one or more of the following events, in connection with the mine for which the NPDES permit is being considered, after the date of proposal of applicable new source performance standards:

(i) A mine operation initiates extraction of a coal seam not previously extracted by that mine

(ii) A mine operation discharges into a drainage area not previously affected by waste water discharges from the mine

(iii) A mine operation causes extensive new surface disruption

(iv) A mine operation initiates construction of a new shaft, slope, or drift

(v) A mine operation acquires additional land or mineral rights

(vi) A mine operation makes significant capital investment in additional equipment or additional facilities

(vii) Such other factors as the Regional Administrator deems relevant.

Subpart B—Coal Preparation Plants and Associated Areas

2. Section 434.25 is added as follows:

§ 434.25 Standards of performance for new sources.

(a) The following limitations establish the concentrations of pollutants which may be discharged by a point source subject to the provisions of this subpart after application of the best available demonstrated control technology:

(1) Discharge of process waste water pollutants from facilities which recycle waste water for use in processing shall not exceed the following limitations, if discharges from that point source normally are acidic prior to treatment:

[Milligrams per liter]

Effluent characteristics	Maximum for any 1 day	Average of daily values for 30 consecutive days shall not exceed—
TSS	70.0	35.0
Iron, total	3.5	3.0
Manganese, total	4.0	2.0
pH	Within the range 6 to 9.	

(2) Discharge of process waste water pollutants from facilities which recycle waste water for use in processing shall not exceed the following limitations, if discharges from that point source normally are alkaline prior to treatment:

[Milligrams per liter]

Effluent characteristics	Maximum for any 1 day	Average of daily values for 30 consecutive days shall not exceed—
TSS	70.0	35.0
Iron, total	3.5	3.0
pH	Within the range 6 to 9.	

(3) From facilities which do not recycle waste water for use in processing, there shall be no discharge of process waste water pollutants into navigable waters.

(b) Any excess water, resulting from rainfall or snow melt, discharged from facilities designed, constructed, and maintained to contain or treat the volume of water which would result from a 10-year 24-hour precipitation event, shall not be subject to the limitations set forth in paragraph (a) of this section.

(c) Where the application of neutralization and sedimentation treatment technology results in an sedimentation treatment technology results in an inability to comply with the manganese limitation set forth in paragraph (a) of this section, the permit issuer may allow the pH level in the final effluent to be exceeded to a small extent in order that the manganese limitation in paragraph (a) of this section will be achieved. In no case shall the pH exceed 9.5.

Subart C—Acid or Ferruginous Mine Drainage Subcategory

3. Section 434.30 is revised to read as follows:

§ 434.30 Applicability; description of the acid or ferruginous mine drainage subcategory.

The provisions of this subpart are applicable to acid or ferruginous mine drainage resulting from the mining of coal of any rank including but not limited to bituminous, lignite, and anthracite, except that the provisions of this subpart do not apply to Western coal mines, as defined in Subpart F of this part.

4. Section 434.35 is added as follows:

§ 434.35 Standards of performance for new sources.

(a) The following limitations establish the concentrations of pollutants which may be discharged by a point source subject to the provisions of this subpart after application of the best available demonstrated control technology:

[Milligrams per liter]

Effluent characteristics	Maximum for any 1 day	Average of daily values for 30 consecutive days shall not exceed—
TSS	70.0	35.0
Iron, total	3.5	3.0
Manganese, total	4.0	2.0
pH	Within the range 6 to 9.	

(b) Any excess water, resulting from rainfall or snow melt, discharged from facilities designed, constructed, and maintained to contain or treat the volume of water which would result from a 10-year 24-hour precipitation event, shall not be subject to the limitations set forth in paragraph (a) of this section.

(c) Drainage which is not from an active mining area shall not be required to meet the limitations set forth in paragraph (a) of this section as long as such drainage is not commingled with untreated mine drainage which is subject to the limitations in paragraph (a) of this section.

(d) Where the application of neutralization and sedimentation treatment technology results in an inability to comply with the manganese limitation set forth in paragraph (a) of this section, the permit issuer may allow the pH level in the final effluent to be exceeded to a small extent in order that the manganese limitation in paragraph (a) of this section will be achieved. In no case shall the pH exceed 9.5.

Subpart D—Alkaline Mine Drainage Subcategory

5. Section 434.40 is revised to read as follows:

§ 434.40 Applicability; description of the alkaline mine drainage subcategory.

The provisions of this subpart are applicable to alkaline mine drainage resulting from the mining of coal of any rank including but not limited to bituminous, lignite, and anthracite, except that the provisions of this subpart do not apply to Western coal mines, as defined in Subpart F of this part.

6. Section 434.45 is added as follows:

§ 434.45 Standards of performance for new sources.

(a) The following limitations establish the concentrations of pollutants which may be discharged by a point source subject to the provisions of this subpart after application of the best available demonstrated control technology:

[Milligrams per liter]

Effluent characteristics	Maximum for any 1 day	Average of daily values for 30 consecutive days shall not exceed—
TSS	70.0	35.0
Iron, total	3.5	3.0
pH	Within the range 6 to 9.	

(b) Any excess water, resulting from rainfall or snow melt, discharged from facilities designed, constructed, and maintained to contain or treat the volume of water which would result from a 10-year 24-hour precipitation event, shall not be subject to the limitations set forth in paragraph (a) of this section.

(c) Drainage which is not from an active mining area shall not be required to meet the limitations set forth in paragraph (a) of this section as long as such drainage is not commingled with untreated mine drainage which is subject to the limitations in paragraph (a) of this section.

7. Subpart E is added as follows:

Subpart E—Areas Under Reclamation Subcategory

§ 434.50 Applicability; description of the areas under reclamation subcategory.

The provisions of this subpart are applicable to discharges from surface mining areas where grading has been completed and the area of land is bonded by an appropriate reclamation bond.

8. Subpart F is added as follows:

Subpart F—Western Coal Mines Subcategory

§ 434.60 Applicability; description of the western coal mines subcategory.

The provisions of this subpart are applicable to mine drainage resulting from the mining of coal of any rank including but not limited to bituminous, lignite, and anthracite from mines located west of the 100-degree meridian.

§ 434.65 Standards of performance for new sources.

(a) The followng limitations establish the concentrations of pollutants which may be discharged by a point source subject to the provisions of this subpart after application of the best available demonstrated control technology:

[Milligrams per liter]

Effluent Characteristics	Maximum for any 1 day	Average of daily values for 30 consecutive days shall not exceed—
TSS	40.0	20.0
pH	Within the range 6 to 9.	

(b) Any excess water, resulting from rainfall or snow melt, discharged from facilities designed, constructed, and maintained to contain or treat the volume of water which would result from a 10-year 24-hour precipitation event, shall not be subject to the limitations set forth in paragraph (a) of this section.

(c) Drainage which is not from an active mining area shall not be required to meet the limitations set forth in paragraph (a) of this section as long as such drainage is not commingled with untreated mine drainage which is subject to the limitations in paragraph (a) of this section.

[FR Doc.77-27145 Filed 9-16-77;8:45 am]

DEPARTMENT OF TRANSPORTATION

Coast Guard

[46 CFR Parts 31, 34, 38, 40, 54, 98, 154]

[CGD 77-069a]

CONSTRUCTION AND EQUIPMENT OF EXISTING SELF-PROPELLED VESSELS CARRYING BULK LIQUEFIED GASES

Extension of Comment Period

AGENCY: Coast Guard, DOT.

ACTION: Extension of time for comments on proposed rules.

SUMMARY: On June 30, 1977, the Coast Guard published an advance notice of proposed rulemaking in the FEDERAL REGISTER (42 FR 33353) concerning U.S. and foreign flag existing self-propelled vessels that carry bulk liquified gases. This notice extends the comment period to October 11, 1977. The original closing date was August 11, 1977. Two requests have been received to extend the comment period in order to allow additional time for a thorough review of the proposal. The Coast Guard found these requests reasonable and has extended the time for comments.

DATE: Comments on the proposed rules must be received on or before October 11, 1977.

ADDRESS: Comments should be submitted to the Commandant (G-CMC/81), U.S. Coast Guard, Washington, D.C. 20590.

FOR FURTHER INFORMATION CONTACT:

Captain Goerge K. Greiner, Marine Safety Council (G-CMC/81), Room 8117, Department of Transportation, Nassif Building, 400 Seventh St., SE., Washington, D.C. 20590 (202-426-1477).

DRAFTING INFORMATION

The principal persons involved in drafting this document are: Lieutenant Commander Thomas R. Dickey, Project Manager, Office of Merchant Marine Safety, and Mr. Stanley M. Colby, Project Attorney, Office of the Chief Counsel.

The advance notice of proposed rulemaking was issued under the following authority:

Regulations for dangerous cargoes issued under R.S. 4472, as amended (46 U.S.C. 170) except those for flammable and combustible liquids issued under sec. 201, 86 Stat. 427, as amended (46 U.S.C. 391a); the functions, powers, and duties relating to the Coast Guard under R.S. 4472, as amended, transferred to the Department under sec. 6(b)(1), 80 Stat. 937 (49 U.S.C. 1655(b)(1)); 46 U.S.C. 170 delegated to the Coast Guard under 49 CFR 1.46 (b) and (t), 46 U.S.C. 391a delegated to the Coast Guard under 49 CFR 1.46(n)(4).

Dated: September 12, 1977.

O. W. SILER,
Admiral, U.S. Coast Guard Commandant.

[FR Doc.77-27140 Filed 9-16-77;8:45 am]

notices

This section of the FEDERAL REGISTER contains documents other than rules or proposed rules that are applicable to the public. Notices of hearings and investigations, committee meetings, agency decisions and rulings, delegations of authority, filing of petitions and applications and agency statements of organization and functions are examples of documents appearing in this section.

DEPARTMENT OF AGRICULTURE

Federal Grain Inspection Service

GRAIN STANDARDS

Maryland Grain Inspection Point

Statement of considerations. On August 2, 1976, there was published in the FEDERAL REGISTER (41 FR 32280) a notice announcing (1) the request of the Maryland Department of Agriculture that its designation to operate as an official agency at Salisbury, Md., be suspended for a period of 1 year; and (2) the designation of the Maryland Department of Agriculture was suspended for a period of 1 year, effective August 1, 1976. In addition, interested persons were given until September 1, 1976, to submit views and comments with respect to the suspension of the designation of the Maryland Department of Agriculture and were also given opportunity to make application for interim designation to operate as an official agency at Salisbury, Md.

It was found that good cause existed to implement the voluntary suspension of the Maryland Department of Agriculture without public notice and participation in rulemaking on such suspension and that good cause existed for making the proposed actions effective less than 30 days after the publication in the FEDERAL REGISTER.

No comments or applications were received with respect to the August 2, 1976, notice in the FEDERAL REGISTER and 1 year has now expired since the August 1, 1976, effective date of the voluntary suspension of designation. Subsequently, by mutual agreement between the Maryland Department of Agriculture and the Federal Grain Inspection Service, the designation of the Maryland Department of Agriculture to operate as an official agency at Salisbury, Md., is hereby voluntarily canceled. No person is now designated under section 7(f) of the U.S. Grain Standards Act (7 U.S.C. 79(f)), to operate as an official agency at Salisbury, Maryland. This notice does not preclude interested persons from making application for designation to operate as an official agency at Salisbury, Md., in accordance with the requirements under the U.S. Grain Standards Act as amended. Also, this notice does not preclude the Maryland Department of Agriculture from applying for designation as an official agency again at a later date.

(Sec. 7, 82 Stat. 764, as amended 90 Stat. 2872, 7 U.S.C. 79(f)(2); sec. 3A, 90 Stat. 2868, 7 U.S.C. 75a.)

Effective date: This notice shall become effective September 19, 1977.

Done in Washington, D.C., on September 13, 1977.

L. E. BARTELT,
Administrator.

[FR Doc.77-27090 Filed 9-16-77;8:45 am]

Farmers Home Administration

[Notice of Designation Number A499]

OREGON

Designation of Emergency Areas

The Secretary of Agriculture has determined that farming, ranching, or aquaculture operations have been substantially affected in Jackson County, Oreg., as a result of freezing conditions on April 10, 20, and May 2, 1977, followed by hail on May 3, 6, and 8, 1977.

Therefore, the Secretary has designated this area as eligible for emergency loans pursuant to the provisions of the Consolidated Farm and Rural Development Act, as amended by Pub. L. 94-68, and the provisions of 7 CFR 1832.3(b) including the recommendation of Governor Robert W. Straub that such designation be made.

Applications for emergency loans must be received by this Department no later than October 31, 1977, for physical losses and June 1, 1978, for production losses, except that qualified borrowers who receive initial loans pursuant to this designation may be eligible for subsequent loans. The urgency of the need for loans in the designated area makes it impracticable and contrary to the public interest to give advance notice of proposed rulemaking and invite public participation.

Done at Washington, D.C., this 9th day of September 1977.

GORDON CAVANAUGH,
Administrator,
Farmers Home Administration.

[FR Doc.77-27091 Filed 9-16-77;8:45 am]

CIVIL AERONAUTICS BOARD

[Docket No. 30823]

AIR WISCONSIN CERTIFICATION PROCEEDING

Hearing

Notice is hereby given, pursuant to the provisions of the Federal Aviation Act of 1958, as amended, that a hearing in the above-entitled proceeding will be held commencing on October 18, 1977, at 10 a.m. (local time) in the Outagamie County Board Room, Outagamie County Courthouse, 410 South Walnut Street, Appleton, Wis., before the undersigned Administrative Law Judge.

For information concerning the issues involved and other details in this proceeding, interested persons are referred to the Prehearing Conference Report, served on August 9, 1977, and other documents which are in the docket of this proceeding on file in the Docket Section of the Civil Aeronautics Board.

Dated at Washington, D.C., September 13, 1977.

KATHERINE A. KENT,
Administrative Law Judge.

[FR Doc.77-27157 Filed 9-16-77;8:45 am]

DEPARTMENT OF COMMERCE

Bureau of the Census

CENSUS ADVISORY COMMITTEE ON POPULATION STATISTICS

Public Meeting

Pursuant to section 10(a)(2) of the Federal Advisory Committee Act (5 U.S.C., Appendix 1, (Supp. V, 1975)), notice is hereby given that the Census Advisory Committee on Population Statistics will convene on October 7, 1977, at 9:30 a.m. The Committee will meet in Room 2424, Federal Building 3, at the Bureau of the Census in Suitland, Md.

The Census Advisory Committee on Population Statistics advises the Director, Bureau of the Census, on current programs and on plans for the decennial census of population.

The Committee is composed of five members appointed by the Secretary of Commerce, and ten members designated by the President of the Population Association of America from the membership of that Association.

The agenda for the meeting is: (1) Introductory remarks by the Director of the Census Bureau; (2) current status of 1980 census planning; (3) national content reinterview and Oakland test results; (4) 1980 plans for enumerating American Indians; (5) developments on the 1980 monograph program; (6) State data centers; (7) future CPS supplements; (8) new plans for income collection; and (9) public discussion, Committee recommendations, election of chairperson-elect, and plans for the next meeting.

The meeting will be open to the public, and a brief period will be set aside for public comment and questions. Extensive questions or statements must be submitted in writing to the Committee Control Officer at least 3 days prior to the meeting.

Persons planning to attend and wishing additional information concerning this meeting or who wish to submit written statements may contact the Committee Control Officer, Dr. Paul C. Glick,

Senior Demographer, Population Division, Bureau of the Census, Room 2011, Federal Building 3, Suitland, Md. (Mailing address: Washington, D.C. 20233), telephone 301–763–7030.

Dated: September 13, 1977.

MANUEL D. PLOTKIN,
Director, Bureau of the Census.

[FR Doc.77-27158 Filed 9-16-77;8:45 am]

Domestic and International Business Administration

ELECTRONIC INSTRUMENTATION TECHNICAL ADVISORY COMMITTEE

Partially Closed Meeting

Pursuant to section 10(a)(2) of the Federal Advisory Committee Act, 5 U.S.C. App. I (Supp. V, 1975), notice is hereby given that a meeting of the Electronic Instrumentation Technical Advisory Committee will be held on Wednesday, October 5, 1977, at 9:30 a.m. in room 3708, Main Commerce Building, 14th and Constitution Avenue NW., Washington, D.C.

The Electronic Instrumentation Technical Advisory Committee was initially established on October 23, 1973. On October 7, 1975, the Acting Assistant Secretary for Administration approved the recharter and extension of the Committee for two additional years, pursuant to section 5(c)(1) of the Export Administration Act of 1969, as amended, 50 U.S.C. App. section 2404(c)(1) and the Federal Advisory Committee Act.

The Committee advises the Office of Export Administration, Bureau of East-West Trade, with respect to questions involving (A) technical matters, (B) worldwide availability and actual utilization of production technology, (C) licensing procedures which may affect the level of export controls applicable to electronic instrumentation, including technical data or other information related thereto, and (D) exports of the aforementioned commodities and technical data subject to multilateral controls in which the United States participates including proposed revisions of any such multilateral controls.

The Committee meeting agenda has six parts:

GENERAL SESSION

(1) Opening remarks by the Chairman.
(2) Presentation of papers or comments by the public.
(3) Report on the recommendations of "A Report of the Defense Science Board Task Force on Export of U.S. Technology" (DOD/Bucy Report).
(4) Report on microprocessor studies by Committee members.
(5) Review items as requested by the Director, Office of Export Administration in the Battelle Report (Identification of Strategically Significant Technologies to Office of the Director, Defense Research and Engineering).

EXECUTIVE SESSION

(6) Discussion of matters properly classified under Executive Order 11652, dealing with the U.S. and COCOM control program and strategic criteria related thereto.

The General Session of the meeting is open to the public, at which a limited number of seats will be available. To the extent time permits members of the public may present oral statements to the Committee. Written statements may be submitted at any time before or after the meeting.

With respect to agenda item (6), the Acting Assistant Secretary of Commerce for Administration, with the concurrence of the delegate of the General Counsel, formally determined on December 8, 1976, pursuant to section 10(d) of the Federal Advisory Committee Act, as amended by section 5(c) of the Government In The Sunshine Act, Pub. L. 94–409 that the matters to be discussed in the Executive Session should be exempt from the provisions of the Federal Advisory Committee Act relating to open meetings and public participation therein, because the Executive Session will be concerned with matters listed in 5 U.S.C. 552b(c)(1). Such matters are specifically authorized under criteria established by an Executive Order to be kept secret in the interests of the national defense or foreign policy. All materials to be reviewed and discussed by the Committee during the Executive Session of the meeting have been properly classified under Executive Order 11652. All Committee members have appropriate security clearances.

Copies of the minutes of the open portion of the meeting will be available upon written request addressed to the Freedom of Information Officer, Domestic and International Business Administration, Room 3012, U.S. Department of Commerce, Washington, D.C. 20230.

For further information, contact Mr. Charles C. Swanson, Director, Operations Division, Office of Export Administration, Domestic and International Business Administration, Room 1617M, U.S. Department of Commerce, Washington, D.C. 20230, telephone A/C 202–377–4196.

The complete Notice of Determination to close portions of the series of meetings of the Electronic Instrumentation Technical Advisory Committee and of any subcommittees thereof was published in the FEDERAL REGISTER on December 28, 1976 (41 FR 56377).

Dated: September 14, 1977.

RAUER H. MEYER,
Director, Office of Export Administration, Bureau of East-West Trade, United States Department of Commerce.

[FR Doc.77-27177 Filed 9-16-77;8:45 am]

IMPORTERS' TEXTILE ADVISORY COMMITTEE

Public Meeting

Pursuant to Section 10(a)(2) of the Federal Advisory Committee Act, 5 U.S.C. App. I (Supp. V, 1975) notice is hereby given that a meeting of the Importers' Textile Advisory Committee will be held on November 17, 1977 at 10:30 a.m. in Room 6802, U.S. Department of Commerce, 14th and Constitution Avenue, NW., Washington, D.C. 20230.

The Committee was established by the Secretary of Commerce on August 13, 1963 to advise U.S. Government officials of the effects on import markets of cotton, wool and man-made fiber textile agreements.

The agenda for the meeting will be as follows:

1. Review of import trends.
2. Implementation of textile agreements.
3. Report on conditions in the domestic market.
4. Other business.

A limited number of seats will be available to the public on a first-come basis. The public may file written statements with the Committee before or after each meeting. Oral statements may be presented at the end of the meeting to the extent time is available.

Copies of the minutes of the meeting will be made available on written request addressed to the DIBA Freedom of Information Officer, Freedom of Information Control Desk, Room 3012, U.S. Department of Commerce, Washington, D.C. 20230.

Further information concerning the Committee may be obtained from Arthur Garel, Director, Office of Textiles, U.S. Department of Commerce, Washington, D.C. 20230, telephone 202–377–5078.

Dated: September 14, 1977.

ROBERT E. SHEPHERD,
Deputy Assistant Secretary for Resources and Trade Assistance.

[FR Doc.77-27159 Filed 9-16-77;8:45 am]

SEMICONDUCTOR MANUFACTURING AND TEST EQUIPMENT TECHNICAL ADVISORY COMMITTEE

Closed Meeting

Pursuant to Section 10(a)(2) of the Federal Advisory Committee Act, 5 U.S.C. App. I (Supp. V, 1975), notice is hereby given that at meeting of the Semiconductor Manufacturing and Test Equipment Technical Advisory Committee will be held on Wednesday, October 5, 1977, at 9:30 a.m. in Room 6802, Main Commerce Building, 14th and Constitution Avenue, N.W., Washington, D.C.

The Semiconductor Manufacturing and Test Equipment Technical Advisory Committee was initially established on January 3, 1973. On December 20, 1974 and January 13, 1977, the Assistant Secretary for Administration approved the recharter and extension of the Committee for two additional years, pursuant to Section 5(c)(1) of the Export Administration Act of 1969, as amended, 50 U.S.C. App. Sec. 2404(c)(1) (Supp. V, 1975) and the Federal Advisory Committee Act.

The Committee advises the Office of Export Administration, Bureau of East-West Trade, with respect to questions involving (A) technical matters, (B) worldwide availability and actual utilization of production technology, (C) licensing procedures which affect the level

of export controls applicable to semiconductor manufacturing and test equipment, including technical data or other information related thereto, and (D) exports of the aforementioned commodities and technical data subject to multilateral controls in which the United States participates including proposed revisions of any such multilateral controls.

The Committee will meet only in Executive Session to discuss matters properly classified under Executive Order 11652, dealing with the U.S. and COCOM control program and strategic criteria related thereto.

Written statements may be submitted at any time before or after the meeting.

The Acting Assistant Secretary of Commerce for Administration, with the concurrence of the delegate of the General Counsel, formally determined on January 27, 1977, pursuant to Section 10(d) of the Federal Advisory Committee Act, as amended by Section 5(c) of the Government in the Sunshine Act, Pub. L. 94-409, that the matters to be discussed in the Executive Session should be exempt from the provisions of the Federal Advisory Committee Act relating to open meetings and public participation therein, because the Executive Session will be concerned with matters listed in 5 U.S.C. 552b(c)(1). Such matters are specifically authorized under criteria established by an Executive Order to be kept secret in the interests of national defense or foreign policy. All materials to be reviewed and discussed by the Committee during the Executive Session of the meeting have been properly classified under Executive Order 11652. All Committee members have appropriate security clearances.

For further information, contact Mr. Charles C. Swanson, Director, Operations Division, Office of Export Administration, Domestic and International Business Administration, Room 1617M, U.S. Department of Commerce, Washington, D.C. 20230, telephone A/C 202-377-4196.

The complete Notice of Determination to close meetings or portions thereof of the series of meetings of the Semiconductor Manufacturing and Test Equipment Technical Advisory Committee and of any subcommittees thereof was published in the FEDERAL REGISTER on May 25, 1977 (42 FR 26681).

Dated: September 14, 1977.

RAUER H. MEYER,
Director, Office of Export Administration, Bureau of East-West Trade, U.S. Department of Commerce.

[FR Doc.77-27176 Filed 9-16-77;8:45 am]

EXPORTERS' TEXTILE ADVISORY COMMITTEE

Meeting

Pursuant to Section 10(a)(2) of the Federal Advisory Committee Act, 5 U.S.C. App. I (Supp. V, 1975) notice is hereby given that a meeting of the Exporters' Textile Advisory Committee will be held at 10:30 a.m., on October 4, 1977, in Room 305B Federal Office Building, 26 Federal Plaza, Foley Square, New York, N.Y. 10007.

The Committee, which is comprised of members involved in textile and apparel exporting, advises Department officials concerning ways of increasing U.S. exports of textile and apparel products.

The agenda for the meeting is as follows:

1. EC/EFTA Rules of Origin.

A limited number of seats will be available to the public on a first come basis. The public may file written statements with the Committee before or after the meeting. Oral statements may be presented at the end of the meeting to the extent time is available.

Copies of the minutes of the meeting will be made available on written request addressed to the DIBA Freedom of Information Officer, Freedom of Information Control Desk, Room 3012, U.S. Department of Commerce, Washington, D.C. 20230.

Further information concerning the Committee may be obtained from Arthur Garel, Director, Office of Textiles, Main Commerce Building, U.S. Department of Commerce, Washington, D.C. 20230, telephone 202-377-5078.

Dated: September 13, 1977.

ROBERT E. SHEPHERD,
Deputy Assistant Secretary for Resources and Trade Assistance.

[FR Doc.77-27368 Filed 9-16-77;11:09 am]

Foreign-Trade Zones Board

[Docket No. 8-77]

PHILADELPHIA PORT CORP.

Application and Public Hearing

Correction

In FR Doc. 77-26635 appearing on page 46380 in the issue of Thursday, September 15, 1977, in the first column on page 46381, the first sentence of the fifth paragraph should read as follows:

"In connection with its investigation of the proposal, the Examiners Committee will hold a public hearing on October 5, 1977, beginning at 9:00 a.m., in the 2nd Floor Conference Room, 313 Walnut St., Philadelphia, Pennsylvania."

FEDERAL ENERGY ADMINISTRATION

CASES FILED WITH THE OFFICE OF EXCEPTIONS AND APPEALS

Week of August 26 Through September 2, 1977

Notice is hereby given that during the week of August 26 through September 2, 1977, the appeals and applications for exception or other relief listed in the Appendix to this Notice were filed with the Federal Energy Administration's Office of Exceptions and Appeals.

Under the FEA's procedural regulations, 10 CFR, Part 205, any person who will be aggrieved by the FEA action sought in such cases may file with the FEA written comments on the application within ten days of service of notice, as prescribed in the procedural regulations. For purposes of those regulations, the date of service of notice shall be deemed to be the date of publication of this Notice or the date of receipt by an aggrieved person of actual notice, whichever occurs first.

ERIC J. FYGI,
Acting General Counsel.

SEPTEMBER 14, 1977.

APPENDIX.—*List of cases received by the Office of Exceptions and Appeals, Week of Aug. 26 through Sept. 2, 1977*

Date	Name and location of applicant	Case No.	Type of submission
Aug. 26, 1977	Beacon Oil Co., Hanford, Calif. (If granted: The FEA's July 22, 1977, decision and order would be rescinded and the Beacon Oil Co. would not be required to purchase entitlements during the period August 1977 through January 1978.	FXA-1446	Appeal of decision and order in *Beacon Oil Co.*, 6 FEA par..... (July 22, 1977).
Do	Farmers Union Oil Co., Madison, N.Dak. (If granted: The Farmers Union Oil Co. would be granted a stay of the requirements of the FEA region VIII's June 30, 1976, consent order pending a final determination of an application for exception which it intends to file.)	FES-4751	Request for stay of FEA region VIII's consent order dated June 30, 1976.
Do	Navajo Refining Co./Holly Corp., Dallas Tex. (If granted: The Navajo Refining Co./Holly Corp. would not be considered as a single firm.)	FIA-1448	Appeal of FEA Interpretation dated July 28, 1977.
Aug. 29, 1977	American Gas Products Co., Rogers City, Mich. (If granted: The remedial order issued by FEA region V on Aug. 12, 1977, would be rescinded and American Gas Products Co. would not be required to refund overcharges made in its sales of propane.)	FRA-1447	Appeal of the remedial order issued by FEA region V on Aug. 12, 1977.
Do.....	Beatrice Foods Co., Chicago, Ill. (If granted: The Beatrice Foods Co. would be granted a modification of requirement that it report under standard industrial classification (sic) codes 34 and 35 on form FEA U-524-P-O.)	FMR-0122	Request for modification of reporting requirement (sec. 211.127).

Date	Name and location of applicant	Case No.	Type of submission
Do	Cities Service Co., Tulsa, Okla. (If granted: The Cities Service Co. would be permitted to increase its prices to reflect nonproduct cost increases in excess of $0.005/gal for natural gas liquid products produced at the Ambrose, Camrick, Corpus Bay, Diamond M, Elmwood, Fairway, Indian Basin, Murdock, Roberts Ranch, West Seminole, and Wilburton plants.)	FEE-4754-FEE-4764	Price exception (sec. 212.165).
Do	Texaco, Inc., New York, N.Y. (If granted: The FEA's July 28, 1977, temporary assignment orders would be rescinded and Texaco, Inc., would not be required to supply additional volumes of aviation turbine fuel to Braniff Airways at Miama, Fla., Continental Air Lines, Inc., at Tulsa, Okla., and Denver, Colo., and Frontier Airlines at Tulsa, Okla.)	FRA-1450 FRA-1451 FRA-1452	Appeals of temporary assignment orders issued July 28, 1977.
Do	Total Petroleum, Inc., Detroit, Mich. (If granted: Total Petroleum, Inc., would receive various types of administrative relief from the mandatory petroleum allocation and price regulations in connection with its acquisition of Apco Oil Corp.'s refinery located at Arkansas City, Kans.)	FEE-4753	Exception to mandatory petroleum allocation and price regulations (pts. 211 and 212).
Aug. 30, 1977	Charles Brocato d.b.a. Geronimo Oil Co., Corpus Christi, Tex. (If granted: The FEA's Aug. 15, 1977, remedial order would be rescinded and Charles Brocato d.b.a. Geronimo Oil Co. would not be required to refund to the Tesoro Crude Oil Co. overcharges made on sales of crude oil.)	FRA-1454	Appeal of FEA region VI's remedial order issued Aug. 15, 1977.
Aug. 29, 1977	Cities Service Oil Co., Boston, Mass. (If granted: The FEA's Aug. 19, 1977, remedial order would be rescinded and the Cities Service Oil Co. would not be required to restore the supplier/wholesale purchaser-reseller relationship with Phillip Mysel.)	FRA-1449	Appeal of FEQ region I's remedial order for immediate compliance issued Aug. 19, 1977.
Do	James L. Flinn, Midland, Tex. (If granted: Crude oil produced from the C. W. Popnoe A lease located in Scurry County, Tex., would be sold at upper tier ceiling prices.)	FEE-4752	Price exception (sec. 212.73).
Do	Standard Oil Co. (Indiana), Chicago, Ill. (If granted: The Standard Oil Co. (Indiana) would be permitted to increase its prices to reflect nonproduct cost increases in excess of $0.005/gal for natural gas liquid products produced at the Cotton Valley, Patterson, South Sarepta, Toco, and Vermillion plants.)	FEE-4765-FEE-4769	Price exception (sec. 212.165).
Aug. 30, 1977	C.A.C.I., Arlington, Va. (If granted: The FEA's information request denial dated July 26, 1977, would be rescinded and C.A.C.I. would receive access to the documents requested.)	FFA-1453	Appeal of information request denial dated July 26, 1977.
Do	Estates of Inez Phillips and Loyce Phillips (Nan-Su-Gail), Austin, Tex. (If granted: The estates of Inez and Loyce Phillips would receive an extension of the exception relief granted in the FEA's Aug. 3, 1977, decision and order which would permit them to increase their prices to reflect nonproduct cost increases in excess of $0.005/gal for natural gas liquid products produced at the Nan-Su-Gail plant.)	FXE-4773	Extension of relief granted in *Estates of Inez and Loyce Phillips*, case No. FEE-4342 (decided Aug. 3, 1977) (unreported decision).
Do	Larco Drilling Co., Inc., Jackson, Miss. (If granted: Crude oil produced from the Mooringsport formation unit, Gitano field, Jones County, Miss., would be sold at upper tier ceiling prices.)	FEE-4770	Price exception (sec. 212.73).
Do	Moran Pipe & Supply, Inc., Seminole, Okla. (If granted: The Moran Pipe & Supply, Inc.'s Cozar lease located in Seminole, Okla., would be classified as a stripper well property.)	FEE-4772	Do.
Do	Osage Oil & Transportation, Inc., Cleveland, Okla. (If granted: The Osage Oil & Transportation, Inc., would be granted an exception which would permit it to file schedule C of FEA form P-124-M-1 on an annual basis.)	FEE-4771	Exception to reporting requirement (sec. 211.127).
Do	Sabre Refining, Inc., Bakersfield, Calif. (If granted: Sabre Refining, Inc., would be granted a stay of its June 1977 entitlement purchase obligations for a period of 30 d.)	FES-0105	Stay request.
Aug. 31, 1977	Apco Oil Corp., Oklahoma City, Okla. (If granted: The Apco Oil Corp. would receive various types of administrative relief from the mandatory petroleum allocation and price regulations in connection with its sales of its crude oil refineries located at Cyril, Okla., and Arkansas City, Kans.)	FEE-4774	Exception to mandatory allocation and price regulations (pts. 210, 211, and 212).
Do	City of Long Beach, Calif., Long Beach, Calif. (If granted: The FEA's May 10, 1977, decision and order would be modified to grant additional exception relief to the city of Long Beach, Calif.)	FMR-0123	Request for modification of decision and order in *City of Long Beach, Calif.*, 5 FEA par. 83,153 (May 10, 1977).
Do	Etna Oil Co./North Etna Oil Co., Washington, D.C. (If granted: Etna Oil Co. would be permitted to import 1,033,233 bbl of motor gasoline into districts I-IV of a license fee-free basis.)	FPI-0127	Exception from the base fee requirements (sec. 213.35).

Date	Name and location of applicant	Case No.	Type of submission
Do.	New York Petroleum Co., New Orleans, La. (If granted: The New York Petroleum Corp. would receive a stay of the remedial order issued by FEA region VI on July 29, 1977, requiring the firm to refund overcharges made in its sales of crude oil pending a final determination of its appeal of that order.)	FRS-1455	Stay request.
Do.	Pennzoil Producing Co., Houston, Tex. (If granted: The Pennzoil Producing Co. would receive an extension of the exception relief granted in the FEA's Apr. 1, and Apr. 8, 1977, decisions and orders which would permit crude oil produced from the North Perry unit located in Yazoo County, Miss., to be sold at upper tier ceiling prices.)	FXE-4776	Extension of relief granted in *Pennzoil Producing Co.*, 5 FEA par. 83,122 (Apr. 8, 1977); *Pennzoil Producing Co.*, 5 FEA par. 83,122 (Apr. 1, 1977).
Do.	Western Petroleum Co., Minneapolis, Minn. (If granted: The Western Petroleum Co. would receive an exception from the domestic crude oil entitlement program (sec. 211.67) which would permit the firm to receive entitlements for the residual fuel oil it purchases from Gulf Coast refineries.)	FEE-4775	Exception to domestic crude oil entitlement program (sec. 211.67).
Do.	Whitco, Inc., Dallas, Tex. (If granted: Whitco, Inc., would receive an extension of the exception relief granted in the FEA's June 23, 1977, decision and order which would require the Sun Co. to continue supplying Whitco directly without the use of a substitute supplier.)	FXE-4777	Extension of relief granted in *Whitco, Inc.*, 6 FEA par. 83,034 (June 23, 1977).
Sept. 1, 1977	Atlantic Richfield Co., Los Angeles, Calif. (If granted: The FEA's Aug. 1, 1977, remedial order would be rescinded and the Atlantic Richfield Co. would not be required to treat the cost of its blending component, Arconol, as a nonproduct cost.	FRA-1456 FRS-1456	Appeal of FEA region IX's remedial order issued Aug. 1, 1977.
Do.	Charles Brocato, d.b.a. Geronimo Oil Co., Corpus Christi, Tex., (If granted: Geronimo Oil Co. would receive a stay of the remedial order issued by FEA region VI on Aug. 15, 1977, requiring the firm to refund overcharges made in its sales of crude oil pending a final determination of its appeal of that order.)	FRS-0106	Stay request.
Do.	Kirkwood Oil & Gas, Casper, Wyo. (If granted: The FEA's July 29, 1977, remedial order would be rescinded and Kirkwood Oil & Gas would not be required to refund overcharges made on sales of crude oil.)	FRA-1458	ppeal of FEA region VIII's remedial order issued July 29, 1977.
Do.	Mull Drilling Co., Inc., Wichita, Kans. (If granted: The FEA's June 15, 1977, decision and order would be rescinded and crude oil produced from the Mull Drilling Co.'s UPRR Roth lease located in Cheyenne County, Colo., would be sold at upper tier ceiling prices.)	FXA-1457	Appeal of decision and order in *Mull Drilling Co., Inc.*, 6 FEA par. 83,016 (June 15, 1977).
Do.	Standard Oil Co. (Indiana), Chicago, Ill. (If granted: The FEA region IV's July 22, 1977, decision and order would be rescinded and the Standard Oil Co. (Indiana) would not be required to supply motor gasoline to the McDonald Oil Co. under the Oct. 29, 1976, assignment orders.)	FSG-0055	Request for special redress.

[FR Doc.77-27131 Filed 9-16-77;8:45 am]

FEDERAL POWER COMMISSION

[Docket No. ID-1723]

FRANK N. BIEN

Application

SEPTEMBER 12, 1977.

Take notice that on September 1, 1977, Frank N. Bien, filed an application pursuant to section 305(b) of the Federal Power Act to hold the following position:

Director, Appalachian Power Co., electric utility.

Any person desiring to be heard or to protest said application should file a petition to intervene or protest with the Federal Power Commission, 825 North Capitol Street NE., Washington, D.C. 20426, in accordance with sections 1.8 and 1.10 of the Commission's Rules of Practice and Procedure (18 CFR 1.8, 1.10). All such petitions or protests should be filed on or before October 3, 1977. Protests will be considered by the Commision in determining the appropriate action to be taken, but will not serve to make protestants parties to the proceeding. Any person wishing to become a party must file a petition to intervene. Copies of this application are on file with the Commission and are available for public inspection.

KENNETH F. PLUMB,
Secretary.

[FR Doc.77-27117 Filed 9-16-77;8:45 am]

[Docket No. ID-1734]

JOHN R. BURTON

Application

SEPTEMBER 12, 1977.

Take notice that on August 26, 1977, John R. Burton, filed an application pursuant to section 305(b) of the Federal Power Act to hold the following position:

Director, Kanawha Valley Power Co., electric utility.

Any person desiring to be heard or to protest said application should file a petition to intervene or protest with the Federal Power Commission, 825 North Capitol Street NE., Washington, D.C. 20426, in accordance with sections 1.8 and 1.10 of the Commission's Rules of Practice and Procedure (18 CFR 1.8, 1.10). All such petitions or protests should be filed on or before September 30, 1977. Protests will be considered by the Commission in determining the appropriate action to be taken, but will not serve to make protestants parties to the proceeding. Any person wishing to become a party must file a petition to intervene. Copies of this application are on file with the Commission and are available for public inspection.

KENNETH F. PLUMB,
Secretary.

[FR Doc.77-27119 Filed 9-16-77;8:45 am]

[Docket No. ER77-514]

CENTRAL POWER & LIGHT CO.

Order Granting Intervention, Denying Motion To Treat Rate as an Initial Rate, and Granting Motion

SEPTEMBER 8, 1977.

On August 3, 1977, the Commission issued an order in Docket No. ER77-514, that, inter alia, accepted for filing the proposed rate increases submitted by the Central Power & Light Co. (CPL) to six wholesale customers.[1] The Commission established certain procedures and suspended the proposed rate increases for one day to August 13, 1977, at which time they became effective subject to refund. In addition, the Commission determined that it was appropriate to grant intervention to Magic Valley Electric Cooperative, Inc., and the Rio Grande Electric Cooperative, Inc.

On August 5, 1977, CPL filed a motion proposing that the rates filed in Docket No. ER77-514 be made effective August 11, 1977. CPL advocates that its electric tariff Original Volume No. 1 (filed July 12, 1977) contains the initial rates under which it proposes to serve its affected wholesale customers. CPL asserts that as an initial tariff filing the rates under which it proposes to serve its become effective on August 11, 1977, 30 days after the filing. In addition, CPL alleges that as an initial filing the tariff is not subject to suspension under section 206 of the Federal Power Act. Finally, CPL asserts that unless the Original Tariff is effective on August 11, 1977, it will raise uncertainty as to the nature of rates and conditions of wholesale service under which CPL must operate as

[1] The customers to whom the increased rates will be applicable are as follows: Magic Valley Electric Cooperative, Inc., Nueces Electric Cooperative, Inc., Rio Grande Electric Cooperative, Inc., Kimble Electric Cooperative, Inc., Medina Electric Cooperative, Inc., and Victoria County Electric Cooperative Co.

a public utility subject to the Commission's jurisdiction.

On August 9, 1977, the Magic Valley Electric Cooperative, Inc., Rio Grande Electric Cooperative, and Kimble Electric Cooperative, Inc.[2] (hereinafter referred to as "Intervenors"), filed an "Answer To Motion of CPL and a Petition for Rehearing." The Intervenors assert that the procedural history of CPL's rate filings before this Commission indicates clearly that its initial rate filing, docketed as No. ER77-331 and not this proposed increase, constitutes CPL's initial rate. In addition, Intervenors request the Commission to modify its order issued August 3, 1977, to provide for a full five-month suspension.

On August 9, 1977, in Docket No. ER77-514, the Kimble Electric Cooperative, Inc. (hereinafter "Kimble"), filed a "Protest and Petition to Intervene," pursuant to sections 1.7, 1.8, and 1.10 of the Commission's Rules of Practice and Procedure (18 CFR 1.7, 1.8, and 1.10). In support of its petition to intervene, Kimble states that it purchases all of its capacity and energy requirements from CPL and that no other party can represent its interests in these proceedings.

In addition, on August 29, 1977, Intervenors filed a "Further Application For Rehearing of Commission Order of August 3, 1977." The Intervenors advocate that given the magnitude of the rate increase, the obvious absence of cost justification and their difficulties in passing the increase through to the ultimate customers, that the Commission amend its suspension order to provide for the full five month suspension period mandated by the Federal Power Act. Specifically, the Intervenors allege inter alia, that CPL's proposed rate of return is excessive, the proposed increased depreciation rates are unjustified, that CPL failed to synchronize the long-term debt expense included in its capital structure with the interest expense used for purposes of calculating federal income tax allowance, and that CPL's fuel clause is not in conformity with section 35.14 of the Regulations. Further, Intervenors request that the Commission consider carefully the total impact of CPL's substantial rate increase, and the resultant "price squeeze."

Discussion. Our review indicates that Kimble is an interested party within the meaning of Section 1.8 of the Rules of Practice and Procedure and that sufficient justification exists for permitting its intervention in Docket No. ER77-514.

Our analysis indicates that CPL's motion to make rates effective August 11, 1977, filed August 5, 1977, in Docket No. ER77-514 must be denied. The procedural history of Docket Nos. ER77-331 and ER77-514 indicates clearly that the rate tariff tendered to this Commission for filing on April 28, 1977, in Docket No. ER77-331 constitutes CPL's initial rates. The rate filing in Docket No. ER77-331 was determined to be deficient and the deficiency was cured by CPL on July 11, 1977. Thereafter, on July 12, 1977, CPL filed the proposed rate increases in Docket No. ER77-514. In the cover letter accompanying the rate filing[3] CPL states that the filing is made pursuant to Section 35.13 of the Commission's Regulations under the Federal Power Act. Section 35.13 of the Regulations (18 CFR § 35.13) pertains to filing of changes in rate schedules whereas section 35.12 of the Regulations (18 CFR § 35.12) pertains to the filing of initial rate schedules. In addition, paragraph two of the cover letter provides that "the proposed wholesale tariff will supersede the rates, including terms and conditions, contained in the respective contracts of the affected wholesale customers, which contracts were the subject of an initial filing dated April 25, 1977." CPL and the entities listed in footnote 1 of this order are parties to the rate tariffs tendered to the Commission on April 28, 1977, and July 12, 1977. Therefore, we conclude that CPL's filing of July 12, 1977, constituted a superseding rate within the meaning of § 35.13 of our Regulations.

Intervenors seek modification of our order issued August 3, 1977, in Docket No. ER77-514, to provide for a full five-month suspension of the rates included therein. In support of their motions for reconsideration, Intervenors contend that CPL's proposed demand cost allocation formula is novel and requires extensive analysis. Intervenors also assert that the rate of return requested by CPL is extremely high and is virtually certain to be found unjust and unreasonable. In addition, Intervenors allege that CPL failed to synchronize the long-term debt expense included in its capital structure with the interest expense used for purposes of calculating federal income tax allowance, and that CPL's fuel clause is not in conformity with section 35.14 of the Regulations. Our Order of August 3, 1977, found that a hearing should be held on the question of the lawfulness of CPL's filing and suspended that filing for one day to become effective August 13, 1977. Intervenors' arguments are persuasive and we are disposed to grant their request for a longer suspension period. On reconsideration we conclude that a longer suspension period is indeed warranted in this case. Section 313(a) of the Federal Power Act provides:

> Any person, State, municipality, or State Commission aggrieved by an order issued by the Commission in a proceeding under this Act to which such person, State municipality, or State Commission is a party may apply for a rehearing within thirty days after the issuance of such order. The application for rehearing shall set forth specifically the ground or grounds upon which such application is based. Upon such application the Commission shall have power to grant or deny rehearing or to abrogate or modify its order without further hearing.[4]

Based on the foregoing, we find and conclude that it is appropriate and in the public interest to suspend the rates in question for an additional four months and twenty-nine days from August 12, 1977. The rates shall become effective January 8, 1977, subject to refund. CPL shall be required to refund all amounts collected in the interim under the subject rate schedules.

The Intervenors raise a "price squeeze" allegation in the filing of August 29, 1977. To conform with our Order No. 563, additional procedures must be established so as to effectuate these policies. We will direct the Administrative Law Judge to convene a prehearing conference within 15 days from the date of this order for the purpose of hearing the Intervenors' request for data necessary to present their prima facie showing on the price-squeeze issue.

The Commission finds: (1) Intervenors' motion for reconsideration of August 9, 1977, should be granted.

(2) The intervention in Docket No. ER77-514 by Kimble Electric Cooperative may be in the public interest.

(3) CPL's motion to make rates effective on August 11, 1977, should be denied.

(4) Good cause exists to establish "price squeeze" procedures to effectuate the Commission's policy announced in Order No. 563.

(5) Good cause exists to require CPL to refund all amounts collected in the interim period under the subject rate schedules.

The Commission orders: (A) Kimble Electric Cooperative is hereby permitted to intervene in these proceedings; *Provided, however,* That participation of such intervenors shall be limited to matters set forth in the petition to intervene; *And provided, further,* That the admission of such intervenors shall not be construed as recognition by the Commission that they might be aggrieved because of any order or orders of the Commission entered in this proceeding.

(B) Intervenors' motion for reconsideration of August 9, 1977, is hereby granted.

(C) CPL's motion to make rates effective on August 11, 1977, filed August 5, 1977, is hereby denied.

(D) The Administration Law Judge shall convene a prehearing conference within 15 days from the date of this order for the purpose of hearing the Intervenors' request for data required to present its case, including a prima facie showing, on the price-squeeze issue. CPL shall also be required to respond to the discovery requests authorized by the Administrative Law Judge within 30 days

[2] Kimble Electric Cooperative, Inc., on August 9, 1977, filed a Petition to Intervene in Docket No. ER77-514.

[3] See letter, dated July 12, 1977, from Glen D. Churchill, Executive Vice President, CPL to Kenneth Plumb, Secretary FPC.

[4] Section 2.4(a) of the Statements of General Policy and Interpretation of the Commission provides that "[t]he Commission cannot suspend a rate schedule after its effective date." However, once the rate schedule has been suspended the Commission may reconsider that order even after the rates have become effective.

and the Intervenors shall file their case-in-chief on the price-squeeze issue within 30 days after CPL's response.

(E) CPL shall refund within thirty days all amounts collected during the interim period under the subject rate schedules.

(F) The rates and charges hereunder are hereby suspended for an additional four months and 29 days, until January 8, 1978, when they shall become effective subject to refund.

By the Commission, Chairman Curtis voted present.

KENNETH F. PLUMB,
Secretary.

[FR Doc.77-27108 Filed 9-16-77;8:45 am]

COLUMBIA LNG CORP., ET AL.

Order Granting Temporary Certificate, Severing Proceedings and Consolidating Proceedings Granting Interventions, and Providing for Hearing

SEPTEMBER 9, 1977.

In the matter of Columbia LNG Corp., et al., Docket No. CP71-68, et al.; Texas Eastern Transmission Corp., Docket No. CP77-418; Consolidated System LNG Co., Consolidated System LNG Co., Docket No. CP71-290.

This order concerns an amendment sought by Consolidated System LNG Co. (Consolidated LNG) to the certificate of public convenience and necessity issued in Docket No. CP71-290 authoriing construction and operation of approximately 190 miles of 30-inch pipeline extending from Loudoun County, Virginia, to Clinton County, Pa. Consolidated LNG is a participant with Columbia LNG Corp. and Southern Energy Co. in a project designed to import liquefied natural gas (LNG) from Algeria into the United States at Cove Point, Md., and Savannah, Ga. The pipeline proposed by Consolidated is designed, to transport its share of revaporized LNG from this project to a point of connection with Consolidated Gas Supply Corporation's existing system in the vicinity of the Leidy storage field. In the motion to amend its certificate, Consolidated seeks to eliminate approximately 80 miles of pipeline, to eliminate the Doylesburg, Pa., Compression Station and also to make a change in the original pipeline route. A companion application by Consolidated and Texas Eastern Transmission Corp. (Texas Eastern) in Docket No. CP77-418 requests authority for the exchange of gas, as more fully set forth in the application.

It is stated in Docket No. CP77-418 that pursuant to the terms of an exchange agreement dated May 20, 1977, between Texas Eastern and Consolidated LNG, Consolidated LNG would deliver vaporized liquefied natural gas (LNG) to Texas Eastern at two exchange points to be constructed in Pennsylvania where the proposed Consolidated LNG 30-inch pipeline authorized at Docket No. CP71-290 would intersect Texas Eastern's transmission lines, at quantities on an average daily basis of approximately 365,000 dekatherms equivalent per day, plus a maximum day surge allowance of 20 percent above such average daily quantity. It is stated that Texas Eastern would deliver equivalent quantities to Consolidated Gas Supply Corp. (Consolidated Supply) for Consolidated LNG's account at any of twelve existing interconnection points between the two systems. Specifically, it is stated that Texas Eastern would deliver the exchange gas to Consolidated Supply in accordance with mutually agreed upon dispatching arrangements at delivery pressure existing at the same time and place of delivery and on the outlet sides of the following existing pipeline system measuring stations (Delivery Points):

1. Measuring Station 450 in Noble County, Ohio.
2. Measuring Station 471 in Monroe County, Ohio.
3. Measuring Station 983 in Monroe County, Ohio.
4. Measuring Station 004 in Monroe County, Ohio.
5. Measuring Station 372 in Marshall County, W. Va.
6. Measuring Station 1671 in Cambria County, Pa.
7. Measuring Station 037 in Green County, Pa.
8. Measuring Station 051 in Somerset County, Pa.
9. Measuring Station 082 in Westmoreland County, Pa.
10. Measuring Station 458 in Cambria County, Pa.
11. Measuring Station 323 in Blair County, Pa.
12. Measuring Station 931 in Clinton County, Pa.

Applicants state that their exchange agreement will result in a net reduction of $27,735,700 in construction costs. The agreement provides for an exchange charge of $150,000 per month for the exchange of gas as proposed, which is a negotiated charge. The exchange agreement further provides that subject to Texas Eastern's placing in effect increased rates to compensate it for loss of the monthly exchange charge, Consolidated LNG's obligation to pay the monthly exchange charge then in effect would be suspended for each consecutive month following nine consecutive months during which Consolidated LNG fails to tender at the receiving points any daily deliveries of gas, it is said. It is asserted that if Texas Eastern's compensating increased rates are not in effect at the end of such nine month period, Consolidated LNG would continue to be obligated to pay Texas Eastern the monthly exchange charge until such time as Texas Eastern's compensating increased rates become effective.

The Commission has evaluated the environmental consequences of the proposed new construction and exchange of gas, and has determined that approval of the proposal would not constitute a major federal action significantly affecting the environment. The proposed facilities to effectuate this amended proposal would be constructed on small areas of land, would facilitate the exchange of gas and would eliminate the need for construction of 80 miles of pipeline.

The project for which the presently certificated Loudoun to Leidy facilities are part was approved by the Commission in Opinion Nos. 622 and 622-A, Columbia LNG Corporation, 47 FPC 1624, issued June 28, 1972, as modified on rehearing 48 FPC 723, issued October 5, 1972. Additional limited proceedings were ordered to consider the environmental issues of the Loudoun to Leidy pipeline, which culminated in the Commission's order approving stipulation and agreement for location of pipeline right-of-way, issued March 21, 1975. While consolidation with Columbia LNG Corporation, et al., Docket No. CP71-68, et al., was appropriate when ordered to enable the Commission to consider the project as a whole, it is now of little moment to the import project as a whole whether Consolidated gas moves by exchange or direct transmission to Clinton County, Pa. Continuing consolidation of Docket No. CP71-290 with the Columbia LNG project serves only to guarantee an enormous service list consisting of the customers of Columbia and Southern. For this reason, the Commission orders severance below, and consolidation of Docket Nos. CP71-290 and CP77-418.

The petition to amend was noticed in the FEDERAL REGISTER on June 13, 1977, 42 FR 30248. The Application in Docket No. CP77-418 was noticed in the FEDERAL REGISTER on June 23, 1977, 42 FR 31835. Timely petitions to intervene were submitted by the following entities:

Philadelphia Electric Co.
Philadelphia Gas Works
New York State Electric & Gas Corp.
Columbia Gas Transmission Corp.
Public Service Electric and Gas Co.
Public Service Commission of the State of New York [1]
Commonwealth of Virginia
Fairfax County, Va.

Late petitions to intervene were submitted by the following:

Boston Gas Co.
Long Island Lighting Co.
The Brooklyn Union Gas Co.
Rochester Gas & Electric Corp.
Elizabethtown Gas Co.
East Ohio Gas Co.

These late interventions will not delay the proceeding and will be permitted. In their petitions, Boston Gas Company, Elizabethtown Gas Company, and Philadelphia Gas Works request formal hearing. Brooklyn Union Gas Company requests a hearing unless certain conditions are imposed on any certificate issued. The intervenors, all customers of Texas Eastern, question the details of the exchange contract, and more specifically the amount of the charges and the possibility of higher BTU gas delivered to Texas Eastern affecting the volumes of gas available to them as customers of Texas Eastern. Without passing on the merits of these allegations, and likewise without addressing the conditions sought by the Brooklyn Union Gas Company, the Commission believes these allegations warrant development of a formal hearing record upon which to base a decision.

[1] Notice of intervention.

On August 18, 1977, Consolidated LNG applied for a temporary certificate to authorize the construction and operation of the 4.09-mile deviation to the Perulack Compressor Station on the Texas Eastern system and construction and operation of new delivery points. Consolidated declares that it agrees that the temporary, if issued, will not prejudge or prejudice the issues raised by the intervenors on the exchange contract, and states that it has contracted the intervenors and that none of them oppose issuance of a temporary certificate on that basis. Based upon this pleading the construction of facilities is authorized by temporary certificate, but the authority to operate, as well as the propriety of amendment sought in Docket No. CP71-290 are reserved for decision in the proceeding ordered below.

The Commission finds: (1) It is necessary and appropriate that a formal hearing record be developed concerning the issues raised in the petition to amend in Docket No. CP71-290 and the application in Docket No. CP77-418.

(2) The proceeding in Docket No. CP71-290 is no longer appropriate for consolidation with the proceeding in Docket No. CP71-68, et al., and should be severed.

(3) The proceeding in Docket No. CP71-290 raises common questions of law and fact with the application in Docket No. CP77-418 and should be consolidated for hearing and decision.

(4) Participation by the above-named intervenors may be in the public interest.

The Commission orders: (A) The proceeding in Docket No. CP71-290 is hereby severed from the proceeding in Docket No. CP71-68, et al., and consolidated with the proceeding in Docket No. CP77-418 for hearing and decision.

(B) A temporary certificate is issued to Consolidated System LNG Company authorizing construction of the facilities described above, *provided*, That such facilities shall not be operated until further order of the Commission, and *provided further*, That the originally certificated facilities sought to be deleted by the petition to amend in Docket No. CP71-290 shall not be constructed or operated until further order of the Commission.

(C) Pursuant to the authority contained in and subject to the jurisdiction conferred upon the Federal Power Commission by the Natural Gas Act, and the Commission's Rules of Practice and Procedure, a public hearing shall be held in a hearing room of the Federal Power Commission, respecting all matters and issues in this proceeding.

(D) A Presiding Administrative Law Judge, to be designated by the Chief Administrative Law Judge for that purpose pursuant to Section 3.5(d) of the Commission's General Rules 18 CFR 3.5(d) shall preside at the hearing in this proceeding, with authority to establish and change all procedural dates and to rule on all motions with the exception of petitions to intervene, motions to consolidate and sever and motions to dismiss, as provided for in the Commission's Rules of Practice and Procedure.

(E) A prehearing conference shall be convened on September 22, 1977, at 10:00 a.m. in a hearing room of the Federal Power Commission before the designated Administrative Law Judge to discuss procedural issues, clarification of issues, dates for testimony and orderly presentation of evidence.

(F) The aforesaid petitioners to intervene are permitted to intervene in this proceeding, subject to the Rules and Regulations of the Commission; *Provided, however*, That participation of such intervenors shall be limited to matters affecting asserted rights and interests as specifically set forth in the petitions to intervene; and *Provided*, further, that the admission of such intervenors shall not be construed as recognition by the Commission that they might be aggrieved because of any order of the Commission entered in this proceeding.

By the Commission.

KENNETH F. PLUMB,
Secretary.

[FR Doc.77-27109 Filed 9-16-77;8:45 am]

[Docket No. ID-1779]

R. E. DISBROW

Application

SEPTEMBER 12, 1977.

Take notice that on August 29, 1977, R. E. Disbrow, filed an application pursuant to Section 305(b) of the Federal Power Act to hold the following positions:

Vice President, Appalachian Power Co., electric utility.
Vice President and Director, Indiana & Michigan Electric Co., electric utility.
Vice President and Director, Indiana & Michigan Power Co., electric utility.
Vice President, Kentucky Power Co., electric utility.
Vice President, Kingsport Power Co., electric utility.
Vice President, Michigan Power Co., electric utility.
Vice President, Ohio Power Co., electric utility.
Vice President, Wheeling Electric Co., electric utility.

Any person desiring to be heard or to protest said application should file a petition to intervene or protest with the Federal Power Commission, 825 North Capitol Street, NE., Washington, D.C. 20426, in accordance with Sections 1.8 and 1.10 of the Commission's Rules of Practice and Procedure (18 CFR 1.8, 1.10). All such petitions or protests should be filed on or before September 30, 1977. Protests will be considered by the Commission in determining the appropriate action to be taken but will not serve to make protestants parties to the proceeding. Any person wishing to become a party must file a petition to intervene. Copies of this application are on file with the Commission and are available for public inspection.

KENNETH F. PLUMB,
Secretary.

[FR Doc.77-27124 Filed 9-16-77;8:45 am]

[Docket No. ID-1816]

A. JOSEPH DOWD

Application

SEPTEMBER 12, 1977.

Take notice that on August 29, 1977, A. Joseph Dowd, filed an application pursuant to Section 305(b) of the Federal Power Act to hold the following position:

Director, Kentucky Power Co., electric utility.

Any person desiring to be heard or to protest said application should file a petition to intervene or protest with the Federal Power Commission, 825 North Capitol Street, NE., Washington, D.C. 20426, in accordance with Sections 1.8 and 1.10 of the Commission's Rules of Practice and Procedure (18 CFR 1.8, 1.10). All such petitions or protests should be filed on or before September 30, 1977. Protests will be considered by the Commission in determining the appropriate action to be taken but will not serve to make protestants parties to the proceeding. Any person wishing to become a party must file a petition to intervene. Copies of this application are on file with the Commission and are available for public inspection.

KENNETH F. PLUMB,
Secretary.

[FR Doc.77-27116 Filed 9-16-77;8:45 am]

[Docket No. ID-1778]

JACK LLOYD

Application

SEPTEMBER 12, 1977.

Take notice that on August 30, 1977, Jack Lloyd, filed an application pursuant to Section 305(b) of the Federal Power Act to hold the following position:

Director, Appalachian Power Co., electric utility.

Any person desiring to be heard or to protest said application should file a petition to intervene or protest with the Federal Power Commission, 825 North Capitol Street, NE., Washington, D.C. 20426, in accordance with Sections 1.8 and 1.10 of the Commission's Rules of Practice and Procedure (18 CFR 1.8, 1.10). All such petitions or protests should be filed on on before September 30, 1977. Protests will be considered by the Commission in determining the appropriate action to be taken, but will not serve to make protestants parties to the proceeding. Any person wishing to become a party must file a petition to intervene. Copies of this application are on file with the Commission and are available for public inspection.

KENNETH F. PLUMB,
Secretary.

[FR Doc.77-27118 Filed 9-16-77;8:45 am]

[Docket No. RP77-124]

McCULLOCH INTERSTATE GAS CORP.

Order Accepting for Filing and Suspending Proposed Rate Increase, Initiating Hearing, Establishing Procedures, and Requiring Restatement of Rates and Granting Petition To Intervene

SEPTEMBER 9, 1977.

On August 10, 1977, McCulloch Interstate Gas Corp. (McCulloch) tendered for filing in the above docket certain proposed changes to its FPC Gas Tariff [1] which would increase its revenues for jurisdictional natural gas sales and services by approximately $40,700 annually, based upon costs and sales for the 12 months ended December 31, 1976, as adjusted for known and measurable changes through September 30, 1977. McCulloch requests the proposed increase be permitted to become effective on June 1, 1977. For the reasons set forth below, the Commission shall accept the proposed increase for filing, subject to restatement, suspend it until November 1, 1977, and set the matter for hearing.

McCulloch proposes to increase its X–1 transportation rate charged to Colorado Interstate Gas Co. from 7 cents per Mcf to 7.67 cents per Mcf. McCulloch also proposed to reduce its rate schedule PL–1 rate by 0.56 cents per MMBtu to 127.68 cents per MMBtu. McCulloch states the principal reasons for the proposed net increase in rates are (1) increased cost of operations, (2) diminishing gas reserves, and (3) the need to assure a reasonable rate of return. McCulloch claims a rate of return of 10.19 percent with a return on common equity of 13 percent.

Based upon a review of McCulloch's filing, the Commission finds that the proposed rates have not been shown to be just and reasonable and may be unjust, unreasonable, unduly discriminatory, or otherwise unlawful. Accordingly, the rates shall be suspended and the matter set for hearing.

McCulloch originally tendered its proposed rate increase for filing on April 29, 1977, in Docket No. RP77–61. The requested effective date was June 1, 1977. On May 27, 1977, the Commission rejected the filing in Docket No. RP77–61 on grounds that McCulloch's application did not support the rates which it claimed. The present filing has been revised by McCulloch to meet the objections stated in the order of May 27th and was resubmitted by McCulloch in Docket No. RP77–61. The filing was treated as a new filing by the Commission and was assigned the present Docket No. RP77–124.

In determining the suspension period to be imposed in this case, the Commission has considered the procedural history of McCulloch's filing as described above. The Commission finds that under the circumstances of this case it would be unduly severe to prescribe a maximum five month suspension period commencing September 11, 1977, the earliest date on which the proposed rates in Docket No. RP77–124 could become effective in the absence of a waiver of the Commission's regulations. While the Commission believes that good cause has not been shown to waive the regulations and permit the rates to become effective on June 1, 1977, as requested, for the reason that they have not been shown to be just and reasonable, nevertheless the Commission finds that as a matter of discretion, a suspension period should be imposed such that the proposed rates may become effective, subject to refund, on November 1, 1977, the same date the original rates in Docket No. RP77–61 would have become effective had they been suspended for five months.

A review of McCulloch's filing indicates that the components of the PL–1 rate of 127.68 cents per MMBtu shown on Eleventh Revised Tariff Sheet No. 32 are incorrectly stated. The Commission's acceptance for filing of the present application in Docket No. RP77–124 shall therefore be made subject to the prompt submission by McCulloch of a restatement of the PL–1 rate consistent with the supporting materials contained in its filing. The present and revised statement of rates are shown below:

PL–1 rate component	Present, cents per MMBtu	Restated, cents per MMBtu
Base tariff rate	47.89	[1] 105.65
Current adjustment	(.56)	[2] 22.63
Cumulative adjustment	79.79	[2] 22.63
Currently effective rate	127.68	127.68

[1] 127.68 cents per MMBtu less 22.63 c per MMBtu.
[2] Statement A(4).

Public notice of McCulloch's filing was issued on August 31, 1977, providing for protests and petitions to intervene to be filed on or before September 9, 1977. On September 8, 1977, Mountain Fuel Gas Supply Corp. (Mountain Fuel) filed a timely petition to intervene. Mountain Fuel has demonstrated an interest in this proceeding and its participation may be in the public interest. Accordingly its petition to intervene shall be granted.

Finally, the Commission notes that the 12 months of actual experience upon which McCulloch's filing is predicated ended on December 31, 1976, some seven months prior to the date of the present filing. Under normal circumstances, this would represent a violation of the requirements of section 154.63(e)(2) of the Commission's regulations. This section requires that the end of the base year shall be not more than four months prior to the date of filing. However as noted above, the present filing represents a correction of McCulloch's filing of April 29, 1977. The original filing met the four month requirement. The Commission finds it would be unreasonable and unnecessary to require McCulloch to revise its base and test period data for purposes of the present filing, and the Commission shall therefore for good cause waive the applicable regulation.

The Commission finds: It is necessary and proper in the public interest and in carrying out the provisions of the Natural Gas Act that the Commission enter upon a hearing concerning the lawfulness of the rates proposed by McCulloch and that the same be accepted for filing and suspended as hereinafter ordered.

The Commission orders: (A) Pursuant to the authority of the Natural Gas Act, particularly sections 4, 5, 8, and 15 thereof, and the Commission's rules and regulations, a public hearing shall be held concerning the lawfulness of the increased rates proposed by McCulloch.

(B) Pending hearing and decision, McCulloch's proposed rate increase is accepted for filing and suspended until November 1, 1977, when it shall be permitted to become effective, subject to refund, upon motion filed by McCulloch in accordance with the provisions of the Natural Gas Act.

(C) Within 15 days from the date of this order, McCulloch shall file a revised tariff sheet restating the PL–1 rate in accordance with the terms of this order.

(D) Waiver of section 154.63(e)(2) of the Commission's regulations is granted.

(E) The Commission staff shall prepare and serve top sheets on all parties on or before December 5, 1977.

(F) A Presiding Administrative Law Judge, to be designated by the Chief Administrative Law Judge for that purpose (18 CFR 3.5(d)), shall convene a settlement conference in this proceeding to be held within 10 days after the service of top sheets by the staff, in a hearing or conference room of the Federal Power Commission, 825 North Capitol Street NE., Washington, D.C. 20426. The Presiding Administrative Law Judge is authorized to establish such further procedural dates as may be necessary and to rule upon all motions (except motions to consolidate, sever, or dismiss), as provided for in the rules of practice and procedure.

(G) Mountain Fuel is permitted to intervene in this proceeding subject to the Commission's Rules and Regulations; *Provided, however,* That its participation shall be limited to matters affecting asserted rights and interests specifically set forth in its petition to intervene; and *Provided, further,* That the admission of Mountain Fuel as an intervenor shall not be construed as recognition that it might be aggrieved by any order entered in this proceeding.

(H) The Secretary shall cause prompt publication of this order in the FEDERAL REGISTER.

By the Commission.

KENNETH F. PLUMB,
Secretary.

[FR Doc.77-27110 Filed 9-16-77;8:45 am]

[1] Eleventh Revised Sheet No. 32 and Second Revised Sheet No. 38 to Original Tariff Volume No. 1.

[Docket No. CP77-114]

MISSISSIPPI RIVER TRANSMISSION CORP., ET AL.

Amendment

SEPTEMBER 12, 1977.

Take notice that on August 31, 1977, Mississippi River Transmission Corp. (Mississippi), P.O. Box 14521, St. Louis, Mo. 63178, Arkansas Louisiana Gas Co. (Arkla), P.O. Box 1734, Shreveport, La. 71151, and Arkansas Oklahoma Gas Corp. (Ark–Okla), 115 North 12th Street, Fort Smith, Ark. 72901 (Petitioners), filed in Docket No. CP77–114 an amendment to their joint application for a certificate of public convenience and necessity filed on January 4, 1977, in the instant docket, pursuant to section 7(c) of the Natural Gas Act so as to provide for the payment by Ark–Okla to Mississippi of an initial 15.79 cents per Mcf transportation charge for each Mcf of gas transported and delivered by Mississippi to Ark–Okla in accordance with a July 18, 1977, amendment to an exchange agreement between Petitioners dated May 5, 1976, all as more fully set forth in the amendment which is on file with the Commission and open to public inspection.

Petitioners state that in their January 4, 1977, application they sought the authorization of a tri-party exchange of nautral gas pursuant to the provisions of a May 5, 1976, exchange agreement. Under that agreement Ark–Okla would deliver from its U.S.A. No. 1–8 Well in Sebastian County, Ark., during the months of December, January, and February, up to 1,000 Mcf of natural gas per day to Arkla which would deliver equivalent volumes to Mississippi at its Sherrill Connection in Jefferson County, Ark. Mississippi would then deliver equivalent volumes on a best-efforts basis to Ark–Okla at an existing point of delivery near Pocahontas, Ark., it is said.

The May 5, 1976, exchange agreement intended this transaction to be a straight gas-for-gas exchange with no monetary compensation being paid by or to any party, it is indicated. Petitioners assert, however, that by letter of the Commission Secretary dated February 28, 1977, Mississippi was requested to amend its application in this proceeding to provide for the payment by Ark-Okla to Mississippi of a transportation charge. In accordance therewith Petitioners seek to amend their January 4, 1977, application.

Any person desiring to be heard or to make any protest with reference to said petition to amend should on or before October 4, 1977, file with the Federal Power Commission, Washington, D.C. 20426, a petition to intervene or a protest in accordance with the requirements of the Commision's Rules of Practice and Procedure (18 CFR 1.8 or 1.10) and the Regulations under the Natural Gas Act (18 CFR 157.10). All protests filed with the Commission will be considered by it in determining the appropriate action to be taken but will not serve to make the protestants parties to the proceeding. Any person wishing to become a party to a proceeding or to participate as a party in any hearing therein must file a petition to intervene in accordance with the Commission's Rules. Persons who have heretofore filed need not file again.

KENNETH F. PLUMB,
Secretary.

[FR Doc.77-27122 Filed 9-16-77;8:45 am]

[Docket No. CP77-600]

NORTHERN NATURAL GAS CO.

Application

SEPTEMBER 9, 1977.

Take notice that on September 1, 1977, Northern Natural Gas Co. (Applicant), 2223 Dodge Street, Omaha, Nebr. 68102, filed in Docket No. CP77–600 an application pursuant to section 7(c) of the Natural Gas Act for a certificate of public convenience and necessity authorizing the transportation of natural gas for North Central Public Service Co. (North Central) pursuant to a Gas Transportation Agreement dated August 1, 1977, among Applicant, North Central, and Iowa Power and Light Co. (Iowa Power), all as more fully set forth in the application which is on file with the Commission and open to public inspection.

Applicant states that it would, upon request by North Central, deliver during the period March 27 through November 26 of each year (Summer Season) up to 1,000 Mcf of Summer Maximum Daily Volume (Summer MDV) to Iowa Power at Des Moines, Iowa, for the account of North Central and that Iowa Power would liquefy and store such volumes for North Central. It is stated that such deliveries would be made through Applicant's Des Moines, Iowa, TBS No. 1 located in Polk County, Iowa, and that on days not within the Summer Season when no Winter Season deliveries are made, North Central may request and, subject to the approval of Applicant and Iowa Power, have gas delivered to Iowa Power under the same terms and conditions as volumes delivered during the Sumer Season. The total net liquefied natural gas (LNG) inventory held in storage by Iowa Power for North Central's account would at no time exceed 16,000 Mcf, it is indicated.

It is indicated that each day during the Summer Season, North Central would designate the volume it wishes Applicant to deliver to Iowa Power at Des Moines for liquefaction and storage and that gas volumes delivered on any day would be those which could otherwise be delivered to North Central within their authorized entitlement for such day.

Further, it is asserted that Applicant would, at the direction of North Central, transport by displacement during the period November 27 through March 26 (Winter Season) volumes of vaporized LNG at a daily rate of up to 600 Mcf to North Central's Perry, Iowa, delivery point and up to 400 Mcf to its Algona, Iowa, delivery point. It is said that total daily volumes designated for transportation by North Central would be made available to Applicant by Iowa Power's injection of vaporized LNG volumes into its Des Moines distribution system and the concurrent reduction of authorized deliveries by Applicant to Iowa Power at Des Moines, Iowa, under Applicant's Rate Schedule CD–1. It is stated that all Winter Season volumes of gas transported for North Central would be resold only to firm and small volume consumers.

The application shows that North Central would pay Applicant a monthly transportation demand charge of $209.90 during the Summer Season and $302.34 during the Winter Season and a commodity charge of 1.5 cents per Mcf of Winter Season volumes transported by Applicant.

It is stated that the transportation service would provide the flexibility and additional reliability desirable to protect service to North Central's high priority customers served from its Perry and Algona distribution system and that the gas to be transported is required for the 1977–78 heating season.

Any person desiring to be heard or to make any protest with reference to said application should on or before October 4, 1977, file with the Federal Power Commission, Washington, D.C. 20426, a petition to intervene or a protest in accordance with the requirements of the Commission's Rules of Practice and Procedure (18 CFR 1.8 or 1.10) and the Regulations under the Natural Gas Act (18 CFR 157.10). All protests filed with the Commission will be considered by it in determining the appropriate action to be taken but will not serve to make the protestants parties to the proceeding. Any person wishing to become a party to a proceeding or to participate as a party in any hearing therein must file a petition to intervene in accordance with the Commission's Rules.

Take further notice that, pursuant to the authority contained in and subject to the jurisdiction conferred upon the Federal Power Commission by sections 7 and 15 of the Natural Gas Act and the Commission's Rules of Practice and Procedure, a hearing will be held without further notice before the Commission on this application if no petition to intervene is filed within the time required herein, if the Commission on its own review of the matter finds that a grant of the certificate is required by the public convenience and necessity. If a petition for leave to intervene is timely filed, or if the Commission on its own motion believes that a formal hearing is required, further notice of such hearing will be duly given.

Under the procedure herein provided for, unless otherwise advised, it will be unnecessary for Applicant to appear or be represented at the hearing.

KENNETH F. PLUMB,
Secretary.

[FR Doc.77-27111 Filed 9-16-77;8:45 am]

[Docket Nos. E-9068, E-9118, and E-9407]

OHIO EDISON CO.

Refund Report

SEPTEMBER 12, 1977.

Take notice that on September 6, 1977, Ohio Edison Co. (Ohio Edison) tendered for filing its report containing the information with respect to refunds required by the Commission Order Approving Settlement issued in this docket on July 6, 1977.

Ohio Edison states that copies of the report have been served on all parties of record in this proceeding and have been furnished to the Public Utilities Commission of Ohio.

Any person desiring to protest said filing should file a protest with the Federal Power Commission, 825 North Capitol Street NE., Washington, D.C. 20426, in accordance with Section 1.10 of the Commission's Rules of Practice and Procedure (18 CFR 1.10). All such protests should be filed on or before September 28, 1977. Protests will be considered by the Commission in determining the appropriate action to be taken but will not serve to make protestants parties to the proceeding. Copies of this filing are on file with the Commission and are available for public inspection.

KENNETH F. PLUMB,
Secretary.

[FR Doc.77-27123 Filed 9-16-77;8:45 am]

[Docket No. CP72-118]

SEA ROBIN PIPELINE CO.

Application To Amend

SEPTEMBER 12, 1977.

Take notice that on August 29, 1977, Sea Robin Pipeline Co. (Sea Robin), P.O. Box 1478, Houston, Tex. 77001, filed an application to amend the certificate heretofore issued by the Federal Power Commission in Docket No. CP72-118. Sea Robin states that a decline in production from Block 261 East Cameron Area and Tennessee Gas Pipeline Co.'s (Tennessee) capability to transport its own gas from Block 338 Eugene Island Area has caused Tennessee to request Sea Robin to reduce Tennessee's Contract Demand Quantity under Sea Robin's Rate Schedule X-4 from 35,000 Mcf per day to 15,000 Mcf per day. Sea Robin requests Commission authorization to revise its Rate Schedule X-4 accordingly.

Any person desiring to be heard or to make any protest with reference to said application, on or before October 3, 1977, should file with the Federal Power Commission, Washington, D.C. 20426, a petition to intervene or a protest in accordance with the requirements of the Commission's Rules of Practice and Procedure (18 CFR 1.8 or 1.10). All protests filed with the Commission will be considered by it in determining the appropriate action to be taken, but will not serve to make the protestants parties to the proceeding. Any person wishing to become a party to a proceeding, or to participate as a party in any hearing therein, must file a petition to intervene in accordance with the Commission's Rules.

Take further notice that, pursuant to the authority contained in and subject to the jurisdiction conferred upon the Federal Power Commission by Sections 7 and 15 of the Natural Gas Act and the Commission's Rules of Practice and Procedure, a hearing will be held without further notice before the Commission on this application if no petition to intervene is filed within the time required herein, if the Commission on its own review of the matter finds that a grant of the certificate is required by the public convenience and necessary. If a petition for leave to intervene is timely filed, or if the Commission on its own motion believes that a formal hearing is required, further notice of such hearing will be duly given.

Under the procedure herein provided for, unless otherwise advised, it will be unnecessary for Applicant to appear or be represented at the hearing.

KENNETH F. PLUMB,
Secretary.

[FR Doc.77-27125 Filed 9-16-77;8:45 am]

[Docket No. ER77-577]

SIERRA PACIFIC POWER CO.

Filing of Supplement to Rate Schedule

SEPTEMBER 9, 1977.

Take notice that Sierra Pacific Power Co. (Sierra) on September 6, 1977, tendered for filing Supplement No. 1 to its Rate Schedule FPC No. 10.

Sierra states that Supplement No. 1 is a supplemental agreement dated August 10, 1972. Sierra further states that the rate schedule supplement provides for the establishment of a second interconnection for the interstate sale for resale of electric energy between Utah Power & Light Co. and Sierra Pacific Power Co., the extension of the term of the original agreement (Rate Schedules FPC No. 108 and No. 10), and the increase of Utah Power & Light Co.'s firm sales of power and energy to Sierra Pacific Power Co. to an additioanl 150 MW in 1978 and thereafter. Sierra indicates that the supplement involves no change in rates.

Sierra requests an effective date of October 1, 1977, and therefore requests waiver of the Commission's notice requirements.

According to Sierra copies of the filing were served upon Utah Power & Light Co., the other party to the amendatory agreement, the Nevada Public Service Commission, the California Public Utilities Commisssion, and the Utah Public Service Commission.

Any person desiring to be heard or to protest the filing of the supplemental rate schedule should file a petition to intervene or protest with the Federal Power Commission, 825 North Capitol Street NE., Washington, D.C. 20426, in accordance with §§ 1.8 and 1.10 of the Commission's Rules of Practice and Procedure (18 CFR 1.8, 1.10). All such petitions or protests should be filed on or before September 26, 1977. Protests will be considered by the Commission in determining the appropriate action to be taken, but will not serve to make protestants parties to the proceeding. Any person wishing to become a party must file a petition to intervene. Copies of the filing are on file with the Commission and are available for public inspection.

KENNETH F. PLUMB,
Secretary.

[FR Doc.77-27112 Filed 9-16-77;8:45 am]

[Docket No. G-17500]

ST. LAWRENCE CO., INC.

Petition To Amend

SEPTEMBER 9, 1977.

Take notice that on September 1, 1977, St. Lawrence Gas Co., Inc. (Petitioner), 56-68 Main Street, Massena, N.Y. 13662, filed in Docket No. G-17500 a petition to amend the Commission's Opinion No. 347 and order of December 8, 1966, issued in the instant docket (26 FPC 265) pursuant to Section 3 of the Natural Gas Act so as to authorize the importation from Canada into the United States by Petitioner through December 31, 1977, a maximum daily volume of 30,000 Mcf of natural gas and a maximum annual volume of 6,000,000 of natural gas, all as more fully set forth in the petition to amend on file with the Commission and open to public inspection.

Petitioner indicates that pursuant to Opinion No. 347 and order, as amended, it is authorized to import from Canada maximum annual and daily volumes of natural gas in amounts of 5,519,987 Mcf and 23,000 Mcf respectively, for distribution and sale to consumers in St. Lawrence County, N.Y.

Petitioner states that current estimates of the natural gas requirements of its system for the annual period ending December 31, 1977, indicate a total of 5,800,000 Mcf, unless interruptible sales for the months of September and October 1977 are to be excluded. Petitioner has been advised by its sole supplier, Niagara Gas Transmission Ltd. (Niagara), that sufficient supplies of natural gas would be available to it to meet the projected uncurtailed market requirements for September and October, it is indicated.

Petitioner indicates that no additional facilities would be required to be constructed by Niagara or by Petitioner to enable transportation and delivery by Niagara and receipt by Petitioner of the proposed maximum annual and daily volumes of gas.

Any person desiring to be heard or to make any protest with reference to said petition to amend should on or before October 4, 1977, file with the Federal Power Commission, Washington, D.C. 20426, a petition to intervene or a protest in accordance with the requirements of the Commission's Rules of Practice and Procedure (18 CFR 1.8 or 1.10). All protests filed with the Commission will be considered by it in determining the appropriate action to be taken but will not serve to make the protestants parties to the proceeding. Any

person wishing to become a party to a proceeding or to participate as a party in any hearing therein must file a petition to intervene in accordance with the Commission's Rules.

KENNETH F. PLUMB,
Secretary.

[FR Doc.77-27113 Filed 9-16-77; 8:45 am]

[Project No. 405]

SUSQUEHANNA POWER CO. PHILADELPHIA ELECTRIC POWER CO.

Informal Conference

SEPTEMBER 9, 1977.

Take notice that on Friday, September 30, 1977, at 10:30 a.m., an Informal Technical Conference will be held in the second floor conference room of the Fulton Bank Building, corner of 3rd and Walnut Streets, Harrisburg, Pa. The purpose of the conference will be to discuss the issues concerning the Conowingo Project, FPC No. 405, owned and operated by the Susquehanna Power Co. and Philadelphia Electric Power Co., and the restoration of anadromous fish to the Susquehanna River. Representatives from the following entities have stated that they will attend the conference: (a) The Susquehanna Power Co. and Philadelphia Electric Power Co.; (b) the Maryland Department of Natural Resources and Attorney General's Office; (c) the Pennsylvania Fish Commission; (d) the New York State Department of Environmental Conservation; (e) the Susquehanna River Basin Commission; (f) the U.S. Fish and Wildlife Service; (g) the National Marine Fisheries Service; and (h) the Commission's staff.

KENNETH F. PLUMB,
Secretary.

[FR Doc.77-27114 Filed 9-16-77;8:45 am]

[Docket Nos. ER77-549, ER77-550, and ER77-516]

TAMPA ELECTRIC CO.

Order Accepting for Filing and Suspending Rate Increases, Providing for Hearing, Establishing Procedures and Consolidating Proceedings

SEPTEMBER 9, 1977.

On August 10, 1977, Tampa Electric Co. (Tampa) tendered for filing proposed interconnection agreements with Florida Power Corp. (Florida Power) and Florida Power & Light Co. (FP&L). With its filing, Tampa submitted concurrences executed by Florida Power and FP&L. The agreements would provide three classes of service between Tampa, Florida, and FPL: (1) Emergency interchange service, (2) scheduled interchange service, and (3) economy interchange service.

Public notice of the filing was given on August 17, 1977, with comments, protests, and petitions to intervene due on or before August 31, 1977. None were received.

On July 14, 1977, in Docket No. ER77-516, Florida Power tendered for filing a proposed interchange agreement with FP&L. In an order of August 1, 1977, the Commission determined that the amount of rate increase (to FP&L) could not be determined at that time because it depended on the interchange operations themselves. In its order the Commission suspended the proposed rates and charges until August 15, 1977, when they became effective subject to refund. The Commission ordered that, pursuant to section 205 and 206 of the Federal Power Act, a hearing be held concerning the lawfulness of the proposed rates.

Tampa states that the agreements filed on August 10, 1977, by Tampa would provide interchange service identical with that proposed in Docket No. ER77-516, above, except that the August 10, 1977, filings would include Tampa Electric Co. in addition to Florida Power and FP&L. In its filing Tampa requests waiver of the Commission's notice requirements[1] and an effective date of August 14, 1977, so that the susension period would coincide with the requested effective date of the Florida Power-FP&L agreement.[2]

As stated supra, the interconnection service to be provided in Docket No. ER 77-516 and the instant case, ER77-549 and ER77-550 are closely related. Accordingly, these dockets shall be consolidated as hereinafter ordered. The effective dates for the agreements shall not, however, be the same.

Our review of Tampa's filing indicates that the terms and conditions of the proposed agreement, filed by Tampa Electric Co. on August 10, 1977, have not been shown to be justified and may be unjust, unreasonable, unduly discriminatory, or preferential, or otherwise unlawful. Accordingly, we will accept Tampa's agreements for filing and suspend their operation for one day to become effective September 11, 1977, subject to refund. We will also establish hearing procedures for the consolidated dockets as described above.

The Commission finds: (1) It is necessary and proper in the public interest and to aid in the enforcement of the provisions of the Federal Power Act that the Commission enter upon a hearing concerning the lawfulness of the proposed increased rates and charges tendered by Tampa Electric Co. on August 10, 1977, establishing procedures for that hearing, and that the proposed increased rates and charges be accepted for filing, suspended, and the use thereof deferred, all as hereinafter ordered.

(2) Good cause exists to consolidate the instant case with Docket No. ER77-516.

(3) Good cause to waive the Commission's notice requirements does not exist.

The Commission orders: (A) Pursuant to the authority contained in and subject to the jurisdiction conferred upon the Federal Power Commission by the Federal Power Act, particularly sections 205, 206, 301, 307, 308, and 309 thereof, and pursuant to the Commission's Rules of Practice and Procedure and the Regulations under the Federal Power Act (18 CFR, Chapter I), a public hearing shall be held concerning the justness and reasonableness of the rates proposed by Tampa Electric Co. in this proceeding.

(B) Pending such hearing and decision thereon, the proposed increased rates and charges filed by Tampa Electric Co. on August 10, 1977, and identified above are hereby accepted for filing, suspended and the use thereof deferred until September 11, 1977, when they shall become effective, subject to refund.

(C) A Presiding Administrative Law Judge to be designated by the Chief Administrative Law Judge for that purpose (see, Delegation of Authority, 18 CFR § 3.5(d), shall preside at an initial conference in this proceeding to be held on October 7, 1977, at 10 a.m. (ET) in a hearing room of the Federal Power Commission, 825 North Capitol Street NE., Washington, D.C. 20426. Said Law Judge is authorized to establish all procedural dates and to rule upon all motions (except petitions to intervene, motions to consolidate and sever, and motions to dismiss), as provided for in the Commission's Rules of Practice and Procedure.

(D) Docket Nos. ER77-516, ER77-549, and ER77-550 are hereby consolidated for purposes of hearing and decision.

(E) Nothing contained herein shall be construed as limiting the rights of parties to this proceeding regarding the convening of conferences or offers of settlement purpuant to section 1.18 of the Commission's Rules of Practice and Procedure.

(F) The Secretary shall cause prompt publication of this order to be made in the FEDERAL REGISTER.

By the Commission.

KENNETH F. PLUMB,
Secretary.

[FR Doc. 77-27115 Filed 9-16-77;8:45 am]

[1] Section 205(d), Federal Power Act, 16 U.S.C. 824d(d).

[2] In its order of August 1, 1977, the Commission suspended the proposed rates in Docket No. ER77-516 for one day, to become effective August 15, 1977, subject to refund.

[Docket No. ID-1528]

JOHN TILLINGHAST

Application

SEPTEMBER 12, 1977.

Take notice that on September 1, 1977, John Tillinghast filed an application pursuant to section 305(b) of the Federal Power Act to hold the following position:

Vice President, Michigan Power Co., electric utility.

Any person desiring to be heard or to protest said application should file a petition to intervene or protest with the Federal Power Commission, 825 North Capitol Street NE., Washington, D.C. 20426, in accordance with sections 1.8 and 1.10 of the Commission's Rules of Practice and Procedure (18 CFR 1.8, 1.10). All such petitions or protests

should be filed on or before October 3, 1977. Protests will be considered by the Commission in determining the appropriate action to be taken but will not serve to make protestants parties to the proceeding. Any person wishing to become a party must file a petition to intervene. Copies of this application are on file wiith the Commission and are available for public inspection.

KENNETH F. PLUMB,
Secretary.

[FR Doc.77-27120 Filed 9-16-77;8:45 am]

[Docket No. CP77-596]

TRANSCONTINENTAL GAS PIPE LINE CORP.

Application

SEPTEMBER 12 ,1977.

Take notice that on August 30, 1977, Transcontinental Gas Pipe Line Corp. (Applicant), P.O. Box 1396, Houston, Tex. 77001, filed in Docket No. CP77-596 an application pursuant to section 7(c) of the Natural Gas Act for a certificate of public convenience and necessity authorizing the transportation on an interruptible basis for Philadelphia Electric Co. (PECO) each year during a limited term of five years beginning November 1, 1977, 3,500,000 dekatherms (dt) vaporous equivalent of synthetic gas which PECO would purchase from the Brooklyn Union Gas Co. (BUG) to serve better its high priority markets, all as more fully set forth in the application which is on file with the Commission and open to public inspection.

Applicant states that BUG would make available to PECO up to 35,000 dt per day by reducing its takes from Applicant, and Applicant would deliver equivalent quantities to PECO at existing delivery points, in accordance with an agreement between Applicant, PECO and BUG dated July 11, 1977. Applicant states that PECO would pay it an initial charge of 9.55 cents per dt transported and delivered and that no additional facilities are required to render this service.

It is indicated that PECO is experiencing substantial curtailment in deliveries of contract demand volumes from Applicant due to the shortage of flowing gas supplies on Applicant's system, and that the additional gas to be made available by BUG would offset these curtailments under Applicant's Rate Schedule CD-3.

Any person desiring to be heard or to make any protest with reference to said application should on or before October 3, 1977, file with the Federal Power Commission, Washington, D.C. 20426, a petition to intervene or a protest in accordance with the requirements of the Commission's Rules of Practice and Procedure (18 CFR 1.8 or 1.10) and the Regulations under the Natural Gas Act (18 CFR 157.10). All protests filed with the Commission will be considered by it in determining the appropriate action to be taken but will not serve to make the protestants parties to the proceeding. Any person wishing to become a party to a proceeding or to participate as a party in any hearing therein must file a petition to intervene in accordance with the Commission's Rules.

Take further notice that, pursuant to the authority contained in and subject to the jurisdiction conferred upon the Federal Power Commission by sections 7 and 15 of the Natural Gas Act and the Commission's Rules of Practice and Procedure, a hearing will be held without further notice before the Commission on this application if no petition to intervene is filed within the time required herein, if the Commission on its own review of the matter finds that a grant of the certificate is required by the public convenience and necessity. If a petition for leave to intervene is timely filed, or if the Commission on its own motion believes that a formal hearing is required, further notice of such hearing will be duly given.

Under the procedure herein provided for, unless otherwise advised, it will be unnecessary for Applicant to appear or be represented at the hearing.

KENNETH F. PLUMB,
Secretary.

[FR Doc.77-27126 Filed 9-16-77;8:45 am]

[Docket No. CP77-598]

TRANSCONTINENTAL GAS PIPE LINE CORP.

Application

SEPTEMBER 12, 1977.

Take notice that on August 31, 1977, Transcontinental Gas Pipe Line Corp. (Applicant), P.O. Box 1396, Houston, Tex. 77001, filed in Docket No. CP77-598 an application pursuant to section 7(c) of the Natural Gas Act for a certificate of public convenience and necessity authorizing the transportation on an interruptible basis for South Jersey Gas Co. (South Jersey) each year during a limited term of five years beginning November 1, 1977, 2,000,000 dekatherms (dt) vaporous equivalent of synthetic gas which South Jersey would purchase from the Brooklyn Union Gas Co. (BUG) to serve better its high priority markets, all as more fully set forth in the application which is on file with the Commission and open to public inspection.

Applicant states that BUG would make available to South Jersey up to 30,000 dt per day by reducing its takes from Applicant, and Applicant would deliver equivalent quantities to South Jersey at existing delivery points, in accordance with an agreement between Applicant, South Jersey and BUG dated July 11, 1977. Applicant states that South Jersey would pay it an initial charge of 9.55 cents per dt transported and delivered and that no additional facilities are required to render this service.

It is indicated that South Jersey is experiencing substantial curtailment in deliveries of contract demand volumes from Applicant due to the shortage of flowing gas supplies on Applicant's system, and that the additional gas to be made available by BUG would offset these curtailments under Applicant's Rate Schedule CD-3.

Any person desiring to be heard or to make any protest with reference to said application should on or before October 4, 1977, file with the Federal Power Commission, Washington, D.C. 20426, a petition to intervene or a protest in accordance with the requirements of the Commission's Rules of Practice and Procedure (18 CFR 1.8 or 1.10) and the Regulations under the Natural Gas Act (18 CFR 157.10). All protests filed with the Commission will be considered by it in determining the appropriate action to be taken but will not serve to make the protestants parties to the proceeding. Any person wishing to become a party to a proceeding or to participate as a party in any hearing therein must file a petition to intervene in accordance with the Commission's Rules.

Take further notice that, pursuant to the authority contained in and subject to the jurisdiction conferred upon the Federal Power Commission by sections 7 and 15 of the Natural Gas Act and the Commission's Rules of Practice and Procedure, a hearing will be held without further notice before the Commission on this application if no petition to intervene is filed within the time required herein, if the Commission on its own review of the matter finds that a grant of the certificate is required by the public convenience and necessity. If a petition for leave to intervene is timely filed, or if the Commission on its own motion believes that a formal hearing is required, further notice of such hearing will be duly given.

Under the procedure herein provided for, unless otherwise advised, it will be unnecessary for Applicant to appear or be represented at the hearing.

KENNETH F. PLUMB,
Secretary.

[FR Doc.77-27127 Filed 9-16-77;8:45 am]

[Docket No. CP77-597]

TRUNKLINE GAS CO.

Application

SEPTEMBER 12, 1977.

Take notice that on August 31, 1977, Trunkline Gas Co. (Applicant), P.O. Box 1642, Houston, Tex. 77001, filed in Docket No. CP77-597 an application pursuant to section 7(c) of the Natural Gas Act and the regulations thereunder for a certificate of public convenience and necessity authorizing the transportation of natural gas on behalf of Amoco Production Co. (Amoco), and for a temporary certificate therefor, all as more fully set forth in the application which is on file with the Commission and open to public inspection.

Applicant proposes a two-part transportation service consisting of (1) transportation of volumes up to 50 percent of the capacity of Applicant's six-inch line under construction between Blocks 292 and 274 of the Ship Shoal Area, offshore Louisiana; and (2) transportation of 3,000 Mcf per day on a firm basis, plus additional volumes on a best

efforts basis, between Block 274 and a point of redelivery to Amoco near the facilities of Florida Gas Transmission Corp. in Calcasieu Parish, La. The facilities to be used in the transportation service between Ship Shoal Block 292 and Block 274 will be constructed by Applicant pursuant to its Budget Certificate obtained in Docket No. CP77-314. Amoco will make a contribution in aid of construction of one-half of the costs of such facilities. Applicant will purchase 50 percent of Amoco's gas reserves in Block 292 for its own account. Applicant proposes to utilize existing facilities to provide the proposed transportation from Ship Shoal Block 274 to the onshore redelivery point except for a measuring station to be installed at the point of redelivery. The estimated cost of this facility is $28,000.

Any person desiring to be heard or to make any protest with reference to said application, on or before October 3, 1977, should file with the Federal Power Commission, Washington, D.C. 20426, a petition to intervene or a protest in accordance with the requirements of the Commission's Rules of Practice and Procedure (18 CFR 1.8 or 1.10). All protests filed with the Commission will be considered by it in determining the appropriate action to be taken, but will not serve to make the protestants parties to the proceeding. Any person wishing to become a party to a proceeding, or to participate as a party in any hearing therein, must file a petition to intervene in accordance with the Commission's Rules.

Take further notice that, pursuant to the authority contained in and subject to the jurisdiction conferred upon the Federal Power Commission by sections 7 and 15 of the Natural Gas Act and the Commission's Rules of Practice and Procedure, a hearing will be held without further notice before the Commission on this application if no petition to intervene is filed within the time required herein, if the Commission on its own review of the matter finds that a grant of the certificate is required by the public convenience and necessity. If a petition for leave to intervene is timely filed, or if the Commission on its own motion believes that a formal hearing is required, further notice of such hearing will be duly given.

Under the procedure herein provided for, unless otherwise advised, it will be unnecessary for Applicant to appear or be represented at the hearing.

KENNETH F. PLUMB,
Secretary.

[FR Doc. 77-27128 Filed 9-16-77;8:45 am]

[Docket No. CP77-554]

TRANSCONTINENTAL GAS PIPE LINE CORP.

Application; Correction

SEPTEMBER 2, 1977.

In FR Doc. 77-24896, issued August 22, 1977, appearing at page 43449 in the issue for Monday, August 29, 1977, make the following correction:

Delete "and section 2.79 of the Commission's General Policy and Interpretations (18 CFR 2.79)".

[FR Doc.77-27129 Filed 9-16-77;8:45 am]

[Docket No. RM76-15]

REGULATION OF SMALL PRODUCERS

Order Granting Rehearing for Purposes of Further Consideration and Granting Intervention

SEPTEMBER 7, 1977.

On July 14, 1977, the Commission issued its Order No. 568 amending section 157.40 of its Regulations in an effort to resolve certain problems which had arisen in the administration of the small producer program. On August 10, 1977, and August 15, 1977, respectively, applications for rehearing and clarification were filed by Texas Oil & Gas Corp., et al. (TXO) and American Liberty Oil Co. (American Liberty). American Liberty also filed a petition for leave to intervene.

We shall grant the applications for rehearing solely for purposes of further consideration. This action does not constitute a grant or denial of the applications on their merits in whole or in part.

The Commission orders: (A) The applications for rehearing of our July 14, 1977, order filed by TXO and American Liberty are granted for purposes of further consideration.

(B) American Liberty is permitted to intervene in the above-entitled proceeding, subject to the rules and regulations of the Commission: *Provided, however,* That its participation shall be limited to matters affecting asserted rights and interests specifically set forth in its petition for leave to intervene; and *provided further,* That the admission of American Liberty in the manner provided shall not be construed as recognition by the Commission that it might be aggrieved because of any order or orders entered in this proceeding, and that American Liberty agrees to accept the record as it now stands.

By the Commission.

KENNETH F. PLUMB,
Secretary.

[FR Doc.77-27107 Filed 9-16-77;8:45 am]

FEDERAL RESERVE SYSTEM

CENTRAL STATE BANCSHARES, INC.

Formation of Bank Holding Company

Central State Bancshares, Inc., Nevada, Mo., has applied for the Board's approval under section 3(a)(1) of the Bank Holding Company Act (12 U.S.C. 1842(a)(1)) to become a bank holding company by acquiring 100 percent (less directors' qualifying shares) of the voting shares of Webb City Bank, Webb City, Mo. The factors that are considered in acting on the application are set forth in section 3(c) of the Act (12 U.S.C. 1842(c)).

The application may be inspected at the offices of the Board of Governors or at the Federal Reserve Bank of Kansas City. Any person wishing to comment on the application should submit views in writing to the Reserve Bank, to be received not later than October 6, 1977.

Board of Governors of the Federal Reserve System, September 12, 1977.

GRIFFITH L. GARWOOD,
Deputy Secretary of the Board.

[FR Doc.77-27076 Filed 9-16-77;8:45 am]

GENERAL EDUCATIONAL FUND, INC.

Determinaton Regarding "Grandfather Privileges" Under Bank Holding Company Act

Section 4 of the Bank Holding Company Act (12 U.S.C. 1843) provides certain privileges ("grandfather privileges") with respect to nonbanking activities of a company that, by virtue of the 1970 Amendments to the Bank Holding Company Act, became subject to the Bank Holding Company Act. Pursuant to section 4(a)(2) of the Act, a "company covered in 1970" may continue to engage, either directly or through a subsidiary, in nonbanking activities that such company was lawfully engaged in on June 30, 1968 (or on a date subsequent to June 30, 1968, in the case of activities carried on as a result of the acquisition by such company or subsidiary, pursuant to a binding written contract entered into on or before June 30, 1968, of another company engaged in such activities at the time of the acquisition), and has been continuously engaged in since June 30, 1968 (or such subsequent date).

Section 4(a)(2) of the Act provides, inter alia, that the Board of Governors of the Federal Reserve System may terminate such grandfather privileges if, having due regard to the purposes of the Act, the Board determines that such action is necessary to prevent undue concentration of resources, decreased or unfair competition, conflicts of interests, or unsound banking practices. The Board is required to make such a determination with respect to a company that controls a bank with assets in excess of $60 million on or after December 31, 1970.

Notice of the Board's proposed review of the grandfather privileges of General Educational Fund, Inc., Burlington, Vt., affording an opportunity for interested persons to submit comments and views or request a hearing, has been given (40 F.R. 57398). The time for filing comments, views and requests has expired, and all those received have been considered by the Board in light of the factors set forth in § 4(a)(2) of the Act.

On the evidence before it, the Board makes the following findings. General Educational Fund, Inc., Burlington, Vt. ("Registrant"), became a bank holding company on December 31, 1970, as a result of the 1970 Amendments to the Act, by virtue of Registrant's ownership of more than 25 percent of the outstanding voting shares of The Merchants Bank (formerly The Merchants National Bank of Burlington), Burlington, Vt. ("Bank") (assets of $64 million as of December 31, 1972). As of December 31, 1976, Bank was the fifth largest commercial bank in Vermont, controlling deposits of $86.7 million representing 5.8 percet of total

commercial bank deposits in the State. It is the smallest of three banks in the Chittenden County banking market, and controls 18.5 percent of deposits in commercial banks in that market. Bank's managerial and financial resources and its future prospects are regarded as generally satisfactory, and the Board has found no evidence of any unsound banking practices.

Registrant is a charitable trust incorporated in 1918 under the laws of the State of Vermont "* * * for the purpose of aiding young men and women to obtain a common school or University Education, or both, or to learn a trade * * * provided that only those persons be aided, who, in the best judgment of the trustees of said corporation or their successors are worthy to receive such aid and are in need of same." Since Registrant has conducted these charitable activities continuously since prior to June 30, 1968, it would appear to be eligible for grandfather privileges for its charitable activities.

During the 1920's Registrant received as a donation over 50 percent of the common stock of Bank and all of the common stock of The Farmers Trust Co., Burlington, Vt. ("Trust Company"). Since Trust Company does not accept deposits that the depositor has a legal right to withdraw on demand and does not engage in the business of making commercial loans, it is not a "bank" as defined in the Act. Accordingly, Registrant's ownership of the shares of Trust Company must be treated as an interest in a nonbanking organization. Since Registrant has controlled directly or indirectly 100 percent of the shares of Trust Company continuously since prior to June 30, 1968, it would appear to be eligible for grandfather privileges for the activities of Trust Company.

Trust Company is a State-chartered trust company with total assets of $17.4 million as of December 31, 1973. Registrant's activities, investments and supervision are managed in a fiduciary capacity by Trust Company. Trust Company also functions as the trust department of the Bank and over the years, several proposals have been made to merge the two. In view of the longstanding relationship between Bank and Trust Company, as well as the fact that Bank engages in its trust activities through Trust Company, continuation of the existing relationship would not present any serious anti-competitive effects.

Registrant indirectly through Bank has two wholly-owned subsidiaries, Merchants Properties, Inc., and Batreal, Inc., each of which owns the land and buildings occupied by Bank. Additionally, Registrant indirectly through Bank owns 50 percent of the shares of Vermont Realty, Inc., which owns a parking lot used for and by Bank. The activities of these companies appear to be exempt from the prohibitions of section 4 of the Act by virtue of section 4(c)(1)(A) of the Act, and Registrant need not rely on section 4(a)(2) of the Act to continue to engage in these activities.

On the basis of the foregoing and all the facts before the Board, it appears that the volume, scope, and nature of the grandfathered activities of Registrant and its subsidiary do not demonstrate an undue concentration of resources, decreased or unfair competition, conflicts of interest or unsound banking practices.

There appears to be no reason to require Registrant to cease engaging in the activities it engages in directly not to require Registrant to terminate its interest in its grandfathered subsidiary. It is the Board's judgment that, at this time, termination of the grandfather privileges of Registrant is not necessary in order to prevent an undue concentration of resources, decreased or unfair competition, conflicts of interest, or unsound banking practices. However, this determination is not authority to enter into any activity that was not engaged in on June 30, 1968 and continuously thereafter, or any activity that is not the subject of this determination.

A significant alteration in the nature or extent of Registrant's grandfathered activities or a change in location thereof (significantly different from that described in this determination) will be cause for a re-evaluation by the Board of Registrant's activities under the provisions of section 4(a)(2) of the Act, that is, whenever the alteration or change is such that the Board finds that a termi- is, whenever the alteration or change is necessary to prevent an undue concentration of resources or any of the other harmful effects designated in the Act. No merger, consolidation, acquisition of assets other than in the ordinary course of business, or acquisition of any interest in a going concern, to which the Registrant or its nonbank subsidiary is a party, may be consummated without prior approval of the Board. Further, the provision of any credit, property, or service by the Registrant or any subsidiary thereof shall not be subject to any condition which, if imposed by a bank, would constitute an unlawful tie-in arrangement under section 106 of the Bank Holding Company Act Amendments of 1970.

The determination herein does not preclude a later review by the Board of Registrant's nonbank activities and a future determination by the Board in favor of termination of grandfather benefits of Registrant. The determination herein is subject to the Board's authority to require modification or termination of the activities of Registrant or any of its nonbanking subsidiaries as the Board finds necessary to assure compliance with the provisions and purposes of the Act and the Board's regulations and orders issued thereunder, or to prevent evasions thereof.

By determination of the Board of Governors,[1] effective September 13, 1977.

GRIFFITH L. GARWOOD,
Deputy Secretary of the Board.

[FR Doc.77-27077 Filed 9-16-77;8:45 am]

[1] Voting for this action: Vice Chairman Gerdner, Governors Wallich, Coldwell and Jackson. Absent and not voting: Chairman Burns and Governors Partee and Lilly.

TRANS-WYOMING BANK SHARES, INC.

Determination Regarding "Grandfather Privileges" Under Bank Holding Company Act

Section 4 of the Bank Holding Company Act (12 U.S.C. 1843) provides certain privileges ("grandfather privileges") with respect to nonbanking activities of a company that, by virtue of the 1970 Amendments to the Bank Holding Company Act, became subject to the Bank Holding Company Act. Pursuant to section 4(a)(2) of the Act, a "company covered in 1970" may continue to engage, either directly or through a subsidiary, in nonbanking activities that such company was lawfully engaged in on June 30, 1968 (or on a date subsequent to June 30, 1968, in the case of activities carried on as a result of the acquisition by such company or subsidiary, pursuant to a binding written contract entered into on or before June 30, 1968, of another company engaged in such activities at the time of the acquisition), and has been continuously engaged in since June 30, 1968 (or such subsequent date).

Section 4(a)(2) of the Act provides, inter alia, that the Board of Governors of the Federal Reserve System may terminate such grandfather privileges if, having due regard to the purposes of the Act, the Board determines that such is necessary to prevent undue concentration of resources, decreased or unfair competition, conflicts of interests, or unsound banking practices. The Board is required to make such a determination with respect to a company that controls a bank with assets in excess of $60 million on or after December 31, 1970.

Notice of the Board's proposed review of the grandfather privileges of Trans-Wyoming Bank Shares, Inc., Cheyenne, Wyo., affording an opportunity for interested persons to submit comments and views or request a hearing, has been given (41 FR 37857). The time for filing comments, views, and requests has expired, and all those received have been considered by the Board in light of the factors set forth in section 4(a)(2) of the Act.

On the evidence before it, the Board makes the following findings. Trans-Wyoming Bank Shares, Inc., Cheyenne, Wyo., ("Registrant"), became a bank holding company on December 31, 1970, as a result of the 1970 Amendments to the Act, by virtue of Registrant's ownership of more than 25 percent of the outstanding voting shares of The American National Bank of Cheyenne, Cheyenne, Wyo. ("Bank") (assets of $66.3 million as of December 31, 1974). As of December 31, 1976, Bank was the fourth largest commercial bank in Wyoming controlling deposits of $68.7 million representing 3.7 percent of total commercial bank deposits in the State. Bank is the second largest of five banks in the Cheyenne banking market with 30.5 percent of deposits in commercial banks in the market. Bank's managerial and financial resources and future prospects are regarded as generally satisfactory, and the Board has found no evidence of any unsound banking practices.

Registrant is engaged through a subsidiary, American National Building Co. of Cheyenne, Cheyenne, Wyo. ("Building"), in leasing properties to Bank and to Mountain States Telephone & Telegraph Co. ("MST&T").[3] On November 14, 1967, a lease was entered into between Bank as owner and MST&T as tenant providing for the leasing of certain real property and construction by Bank of a building thereon.[3] From the facts of record it appears that Building became a subsidiary of Registrant after June 30, 1968, but before December 31, 1970, and Building also became the owner of the property leased to MST&T during that period.[3]

The Board has previously determined that activities performed by a bank holding company solely through a subsidiary bank on or before June 30, 1968, and later transferred to the bank holding company, are not eligible for grandfather privileges (59 Federal Reserve Bulletin 371 (1973)). Since all the facts of record indicate that Registrant was not engaged in the nonbanking activities of Building on June 30, 1968, Registrant does not appear to be eligible for grandfather privileges for those activities. Accordingly, Registrant may not retain the activities of Building beyond December 31, 1980.[4]

On the basis of the foregoing and the facts before it, the Board finds that Registrant is not entitled to grandfather privileges within the meaning of the provision in section 4(a)(2) of the Act.

By determination of the Board of Governors,[5] effective September 13, 1977.

GRIFFITH L. GARWOOD,
Deputy Secretary of the Board.

[FR Doc.77-27078 Filed 9-16-77;8:45 am]

[1] Inasmuch as the activities of Building with respect to leasing property to Bank for its use appear to be exempt from the prohibitions of section 4 of the Act by virtue of section 4(c)(1)(A) of the Act, Registrant need not rely on section 4(a)(2) of the Act to continue to engage in these activities.

[2] Registrant asserts that Building was the owner of the property leased to MST&T at the time the lease was entered into and that Bank was erroneously named as owner in the lease. However, Registrant has failed to submit copies of real estate records or other documents to support its claim that Building owned the leased property on or before June 30, 1968.

[3] Registrant asserts that Building became a subsidiary on September 25, 1967. Information from Bank's primary regulator indicates that Building became an affiliate of Bank on September 16, 1968, as a result of Registrant's acquisition of shares of Building on that date. In its registration statement filed with the Board, Registrant states that Building became a subsidary on July 31, 1969. Registrant has failed to submit corporate records or other documents to support its claim that Building became a subsidiary on or before June 30, 1968.

[4] Of course, Registrant may file an application under section 4(c)(8) of the Act for the Board's approval of the retention of the shares of Building.

[5] Voting for this action: Vice Chairman Gardner, Governors Wallich, Coldwell, and Jackson. Absent and not voting: Chairman Burns and Governors Partee and Lilly.

GENERAL ACCOUNTING OFFICE

REGULATORY REPORTS REVIEW

Receipt of Report Proposals

The following request for clearance of reports intended for use in collecting information from the public was received by the Regulatory Reports Review Staff, GAO, on September 6, 1977. See 44 U.S.C. 3512 (c) and (d). The purpose of publishing this notice in the FEDERAL REGISTER is to inform the public of such receipt.

The notice includes the title of each request received; the name of the agency sponsoring the proposed collection of information; the agency form number, if applicable; and the frequency with which the information is proposed to be collected.

Written comments on the proposed ICC requests are invited from all interested persons, organizations, public interest groups, and affected businesses. Because of the limited amount of time GAO has to review the proposed requests, comments (in triplicate) must be received on or before October 11, 1977, and should be addressed to Mr. John M. Lovelady, Acting Assistant Director, Regulatory Reports Review, United States General Accounting Office, Room 5033, 441 G Street NW., Washington, D.C. 20548.

Further information may be obtained from Patsy J. Stuart of the Regulatory Reports Review Staff, 202-275-3532.

INTERSTATE COMMERCE COMMISSION

ICC requests an extension without change clearance of the Monthly Preliminary Report of Number of Employees of Class I Railways, required to be filed by some 91 Class I line-haul railroads and switching and terminal companies, pursuant to Section 20 of the Interstate Commerce Act. Data are used for economic regulatory purposes. No change is made in the data requirements. Reporting burden per report is estimated by ICC to average 14 hours for line-haul railroads and 8 hours for switching and terminal companies. Reports are mandatory and available for use by the public.

ICC requests an extension without change clearance of the Monthly Report of Employees, Service, and Compensation, ICC Wage Statistics Forms A&B, required to be filed by some 91 Class I line-haul railroads and switching and terminal companies, pursuant to Section 20 of the Interstate Commerce Act. Data are used for economic regulatory purposes. No change is made in the data requirements. Reporting burden per report is estimated by ICC to average 121 hours for line-haul railroads and 26 hours for switching and terminal companies. Reports are mandatory and available for use by the public.

ICC requests an extension without change clearance of the Quarterly Report of Freight Loss and Damage Claims—Motor Carriers, Form QL&D-M, required to be filed by some 2280 motor carriers of property with an average operating revenue of $1 million or more, pursuant to Section 220 of the Interstate Commerce Act. Data are used for economic regulatory purposes. Revisions in this quarterly report resulted from the addition of city zone codes following publication of Notice of Change in the FEDERAL REGISTER, 40 FR 27747; 39578. Reporting burden for carriers is estimated by ICC to average 36 hours per report. Reports are mandatory and available for use by the public.

NORMAN F. HEYL,
Regulatory Reports Review Officer.

[FR Doc.77-27097 Filed 9-16-77;8:45 am]

DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE

Office of Education

METRIC EDUCATION PROGRAM

Closing Date for Receipt of Applications for Fiscal Year 1978

Notice is hereby given that, pursuant to authority contained in section 403 of the Education Amendments of 1974, Pub. L. 93-380 (20 U.S.C. 1862), applications are being accepted for the Metric Education Program. This program is authorized to make grants and contracts to State educational agencies, local educational agencies, institutions of higher education, and public and private nonprofit agencies, organizations, and institutions for the development, continuation, and expansion of metric education projects. Processing of these proposals will be subject to the availability of funds.

Closing date: December 12, 1977.

A. *Application forms and information.* Application forms and program information packages are available and will be mailed upon the publication of this Notice in the FEDERAL REGISTER.

Applications must be prepared and submitted in accordance with the regulations, instructions, and forms included in the program information packages.

B. *Applications sent by mail.* An application sent by mail should be addressed to: U.S. Office of Education, Application Control Center, Attention 13.561, Washington, D.C. 20202. Applications must be received by the Application Control Center on or before the closing date. In an effort to prevent the late arrival of applications due to inclement weather, natural disasters, delayed airline flight, tardy messenger service, or other unforeseen circumstances, the Office of Education suggests that applicants consider the use of registered or certified mail as explained below.

An application sent by mail will be considered to be received on time by the Application Control Center if:

(1) The application was sent by registered or certified mail not later than Wednesday, December 7, 1977, as evidenced by the U.S. Postal Service postmark on the wrapper or envelope, or on the original receipt from the U.S. Postal Service; or,

(2) The application is received on or before the closing date by either the Department of Health, Education, and Welfare or the U.S. Office of Education

mail rooms in Washington, D.C. In establishing the date of receipt, the Commissioner will rely on the time-date stamp of such mail rooms or other documentary evidence of receipt maintained by the Department of Health, Education, and Welfare, or the U.S. Office of Education.

C. *Hand-delivered applications.* An Application to be hand-delivered must be taken to the U.S. Office of Education, Application Control Center, Room 5673, Regional Office Building 3, 7th and D Streets SW., Washington, D.C. Hand-delivered applications will be accepted daily between the hours of 8 a.m. and 4 p.m. Washington, D.C., time except Saturdays, Sundays, or Federal holidays. Applications will not be accepted after 4 p.m. on the closing date.

D. *State comment.* A local educational agency (LEA) must provide a copy of its application to the State educational agency (SEA) of the State within which the applicant is located concurrently with its submission of the application to the Office of Education. For verification of submission to the SEA, the LEA applicant must enclose, in its application to the Commissioner, a copy of the dated cover letter used to forward a copy of its application to the SEA. State educational agencies wishing to submit advice and comments on any application originating within their State may do so by forwarding such advice and comments to the Metric Education Program, U.S. Office of Education, Room 5640, Regional Office Building 3, 7th and D Streets SW., Washington, D.C. 20202. Advice and comments received from SEAs no later than Tuesday, December 27, 1977, will be considered in reviewing applications.

E. *Program information.* In formulating proposals, potential applicants should be aware of the amount of funds expected to become available for the program for Fiscal Year 1978 which will total $2,090,000. The program has no legislatively-prescribed priorities, therefore, all eligible agencies may compete for the available funds. It is expected that awards of State and multi-State metric education planning grants will generally be in the range of $25,000–$40,000 and $50,000–$110,000, respectively; the school-based metric instructional pilot and model project grants will generally be in the range of $25,000–$35,000; the national technical support effort will generally be in the range of $175,000–$225,000; and the grants for purposes of conducting preservice and inservice teacher development projects will generally be in the range of $20,000–$30,000. Public and private nonprofit organizations are eligible to apply for grants in all four areas. During the 1977 fiscal year, 614 applications were received from SEA's, LEA's, IHE's, and NONPROFIT's. A total of 75 grants were awarded: 6, totaling $189,167, went to State education agencies; 34, totaling $873,550, to local education agencies; 27, totaling $740,986, to institutions of higher education; and 8, totaling $286,297, to nonprofit public and private institutions. Applicants should be aware that funds are generally available only to cover educational cost as specified in section 160a.29 and other sections of the regulation. All grants will be new awards, no funds are reserved for continuation awards. A current grantee may apply for a new award on the same basis as an applicant not previously funded. Projects are for one year in duration.

F. *For further information contact:* Metric Education Program U.S. Office of Education, Room 5640, Regional Office Building Three, 7th & D Streets, S.W., Washington, D.C. 20202.

G. *Applicable regulations.* The regulations applicable to this program are the Office of Education General Provisions Regulations (45 CFR Part 100a) and the Metric Education Program Regulation (45 CFR 160a) published on April 21, 1975, in the FEDERAL REGISTER included in the application package.

(20 U.S.C. 1862; 45 CFR Part 160a.)

(Catalog of Federal Domestic Assistance Number 13.561; Education for the Use of the Metric System of Measurement (commonly known as the Metric Education Program).)

Dated: September 13, 1977.

ERNEST L. BOYER,
U.S. Commissioner of Education.

[FR Doc.77-27134 Filed 9-16-77;8:45 am]

NATIONAL ADVISORY COUNCIL ON WOMEN'S EDUCATIONAL PROGRAMS

Public Hearing

AGENCY: Office of Education National Advisory Council on Women's Educational Programs.

ACTION: Notice of public hearing.

SUMMARY: This notice sets forth the schedule of a forthcoming hearing on the educational needs of displaced homemakers, single parents and older women, sponsored by the National Advisory Council on Women's Educational Programs. It also describes the functions of the Council. This document is intended to notify the public of their opportunity to attend.

DATE: September 30, 1977, 9 a.m. to 3 p.m.

ADDRESS: Bergen Community College, Paramus, N.J., Building E, Room 130D.

FOR FURTHER INFORMATION CONTACT:

Carol Eliason, American Association of Community and Junior Colleges, One Dupont Circle, Suite 410, Washington, D.C. 20036 (202–293–7050).

The National Advisory Council on Women's Educational Programs is established pursuant to Pub. L. 93–380, Section 408(f)(1). The Council is mandated to (a) advise the Commissioner with respect to general policy matters relating to the administration of the Women's Educational Equity Act of 1974; (b) advise and make recommendations to the Assistant Secretary concerning the improvement of educational equity for women; (c) make recommendations to the Commissioner with respect to the allocation of any funds pursuant to Section 408 of Pub. L. 93–380, including criteria developed to insure an appropriate distribution of approved programs and projects throughout the Nation; (d) make such reports to the President and the Congress on the activities of the Council as it determines appropriate; (e) develop criteria for the establishment of program priorities; and (f) disseminate information concerning its activities under Section 408 of Pub. L. 93–380.

The hearing on the educational needs of displaced homemakers, single parents and older women is being coordinated by the American Association of Community and Junior Colleges under contract to the National Advisory Council on Women's Educational Programs. It will be open to the public. Persons wishing to make oral presentations or to submit testimony should contact Carol Eliason, American Association of Community and Junior Colleges, One Dupont Circle, Suite 410, Washington, D.C. 20036 (202–293–7050).

Signed at Washington, D.C., on September 14, 1977.

JOY R. SIMONSON,
Executive Director.

[FR Doc.77-27168 Filed 9-16-77;8:45 am]

NATIONAL ADVISORY COUNCIL ON WOMEN'S EDUCATIONAL PROGRAMS

Public Hearing

AGENCY: Office of Education National Advisory Council on Women's Educational Programs.

ACTION: Notice of public hearing.

SUMMARY: This notice sets forth the schedule of a forthcoming hearing on the educational needs of displaced homemakers, single parents and older women, sponsored by the National Advisory Council on Women's Educational Programs. It also describes the functions of the Council. This document is intended to notify the public of their opportunity to attend.

DATE: October 4, 1977, 9 a.m. to 3 p.m.

ADDRESS: Catonsville Community College, Baltimore, Md., Learning Resource Center, Conference Room.

FOR FURTHER INFORMATION CONTACT:

Carol Eliason, American Association of Community and Junior Colleges, One Dupont Circle, Suite 410, Washington, D.C. 20036 (202–293–7050).

The National Advisory Council on Women's Educational Programs is established pursuant to Pub. L. 93–380, Section 408(f)(1). The Council is mandated to (a) advise the Commissioner with respect to general policy matters relating to the administration of the Women's Educational Equity Act of 1974; (b) advise and make recommendations to the Assistant Secretary con-

cerning the improvement of educational equity for women; (c) make recommendations to the Commissioner with respect to the allocation of any funds pursuant to Section 408 of Pub. L. 93–380, including criteria developed to insure an appropriate distribution of approved programs and projects throughout the Nation; (d) make such reports to the President and the Congress on the activities of the Council as it determines appropriate; (e) develop criteria for the establishment of program priorities; and (f) disseminate information concerning its activities under Section 408 of Pub. L. 93–380.

The hearing on the educational needs of displaced homemakers, single parents and older women is being coordinated by the American Association of Community and Junior Colleges under contract to the National Advisory Council on Women's Educational Programs. It will be open to the public. Persons wishing to make oral presentations or to submit testimony should contact Carol Eliason, American Association of Community and Junior Colleges, One Dupont Circle, Suite 410, Washington, D.C. 20036 (202–293–7050).

Signed at Washington, D.C. on September 14, 1977.

JOY R. SIMONSON,
Executive Director.

[FR Doc.77-27169 Filed 9-16-77;8:45 am]

PROGRAM FOR THE GIFTED AND TALENTED

Closing Date for Receipt of Applications—Programs for the Gifted and Talented—Initial Awards

Pursuant to the authority contained in Section 404 (c) and (d) of Pub. L. 93–380, the Education Amendments of 1974 (20 U.S.C. 1863), the U.S. Commissioner of Education hereby gives notice that applications for initial awards will be accepted from: (1) State and local educational agencies for Statewide and locally based programs for gifted and talented children (20 U.S.C. 1863(c); 45 CFR Part 160b, Subpart C); and (2) State agencies for State personnel training projects (20 U.S.C. 1863(d); 45 CFR Part 160b, Subpart D).

Applications must be received by the U.S. Office of Education Application Control Center on or before November 21, 1977.

A. *Applications sent by mail.* An application sent by mail should be addressed as follows: U.S. Office of Education, Application Control Center, Washington, D.C. 20202, Attention: 13.562A for both State and local educational agency programs for gifted and talented children and State personnel training projects. An application sent by mail will be considered to be received on time by the Application Control Center if:

(1) The application was sent by registered or certified mail not later than November 16, 1977, as evidenced by the U.S. Postal Service postmark on the wrapper or envelope, or on the original receipt from the U.S. Postal Service; or

(2) The application is received on or before the closing date by either the Department of Health, Education, and Welfare or the U.S. Office of Education mail rooms in Washington, D.C. In establishing the date of receipt, the Commissioner will rely on the time-date stamp of such mail rooms or other documentary evidence of receipt maintained by the Department of Health, Education, and Welfare or the U.S. Office of Education.

B. *Hand delivered applications.* An application to be hand delivered must be taken to the U.S. Office of Education Application Control Center, Room 5673, Regional Office Building 3, 7th and D Streets SW., Washington, D.C. Hand delivered applications will be accepted daily between the hours of 8 a.m. and 4 p.m. Washington, D.C., time except Saturdays, Sundays, and Federal holidays. Applications will not be accepted after 4 p.m. on the closing date.

C. *Program information and forms.* The funding level and distribution of project funds vary according to the final appropriation made available during a specific fiscal year. The amount of funds available to the Program for Gifted and Talented during fiscal year 1978 is $2.56 million. The projected allocation for initial awards is $1,231,640. The allocation for continuations of current projects for which applications are being invited pursuant to separate notices of closing dates is $1,328,360. It is expected that the funding level for specific categories of initial awards generally will not exceed $1,011,640 for projects under Section 404(c), 45 CFR 160b, Subpart C; $220,000 for projects under section 404(d), 45 CFR 160b, Subpart D. There is no fixed level of funding or number of grants projected. However, approximately thirty-five new projects and nineteen continuation projects are expected to be funded. The funding level for the past operational year has ranged between $6,762 and $190,000. Further information and application forms may be obtained from the Office of Gifted and Talented, U.S. Office of Education, 400 Maryland Avenue SW., Washington, D.C. 20202.

D. *Applicable regulations.* Grant awards made pursuant to this notice will be subject to the regulations published in the FEDERAL REGISTER on May 6, 1976 (41 FR 18660, 45 CFR Part 160b) and the Office of Education General Provisions Regulations (45 CFR Part 100a).

(20 U.S.C. 1863(c)(d).)
(Catalog of Federal Domestic Assistance Number 13.562, Gifted and Talented.)

Dated: August 29, 1977.

JOHN ELLIS,
Acting U.S. Commissioner of Education.

[FR Doc.77-27132 Filed 9-16-77;8:45 am]

PROGRAM FOR THE GIFTED AND TALENTED

Closing Date for Receipt of Applications for Programs for the Gifted and Talented—Competitive Continuation Awards

Pursuant to the authority contained in section 404 (c), (d), and (e) of Pub. L. 93–380, the Education Amendments of 1974 (20 U.S.C. 1863), the U.S. Commissioner of Education hereby gives notice that applications for the continuation of assistance are being accepted (1) from State educational agencies for Statewide activities under § 160b.22 and Subpart D of the program regulation (45 CFR Part 160b; § 160b.22 and Subpart D), and (2) from colleges and universities and other non-profit institutions and agencies for graduate programs for leadership personnel, for internships, and for training institutions (45 CFR 160b.42(b) (1), (2), and 3). Funds are available for grants to continue programs presently in operation pursuant to an approved project period in excess of one year. Applications for continuation awards are competitive with other applications for continuation awards in the same year (45 CFR 160b.4 (c)(2)).

Applications must be received by the U.S. Office of Education Application Control Center on or before November 21, 1977.

A. *Applications sent by mail.* An application sent by mail should be addressed as follows: U.S. Office of Education, Application Control Center, Washington, D.C. 20202, Attention: 13.562A (from State Educational Agencies), and 13.562B (from colleges and universities, and other non-profit institutions and agencies.) An application sent by mail will be considered to be received on time by the Application Control Center if:

(1) The application was sent by registered or certified mail not later than November 16, 1977, as evidenced by the U.S. Postal Service postmark on the wrapper or envelope, or on the original receipt from the U.S. Postal Service; or

(2) The application is received on or before the closing date by either the Department of Health, Education, and Welfare or the U.S. Office of Education mail rooms in Washington, D.C. In establishing the date of receipt, the Commissioner will rely on the time-date stamp of such mail rooms or other documentary evidence of receipt maintained by the Department of Health, Education, and Welfare or the U.S. Office of Education.

B. *Hand delivered applications.* An application to be hand delivered must be taken to the U.S. Office of Education Application Control Center, Room 5673, Regional Office Building Three, 7th and D Streets SW., Washington, D.C. Hand delivered applications will be accepted daily between the hours of 8 a.m. and 4 p.m. Washington, D.C. time except Saturdays, Sundays, and Federal holidays. Applications will not be accepted after 4 p.m. on the closing date.

C. *Program information and forms.* The amount of funds which is expected to be available in Fiscal Year 1978 for

continuation grants for Gifted and Talented programs under Subpart C, D, and E of 45 CFR Part 160b is approximately $1,118,360 of which $693,360 is projected for grants for Statewide activities and $425,000 is projected for leadership personnel training programs. The anticipated number of continuation awards for these programs is fourteen. The funding level of continuation awards has ranged in the past operational year between $13,000 and $190,000. It is projected that many of these continuation projects may be funded at the same level this fiscal year.

This statement on the availability of funds is only an estimate and does not bind the Office of Education to any pattern of distribution, except as required by applicable provisions of Gifted and Talented regulations, and appropriation acts.

Further information and application forms may be obtained from the Office of Gifted and Talented, U.S. Office of Education, 400 Maryland Avenue SW. (Donohoe Building, Room 4100), Washington, D.C. 20202.

D. *Applicable regulations.* Grant awards made pursuant to this notice are subject to the regulations published in the FEDERAL REGISTER on May 6, 1976 (41 FR 18660, 45 CFR Part 160b), and the Office of Education General Provisions Regulations (45 CFR Part 100a).

(20 U.S.C. 1863(d), and (e).)

(Catalog of Federal Domestic Assistance Number 13.562, Gifted and Talented.)

Dated: September 9, 1977.

ERNEST L. BOYER,
U.S. Commissioner of Education.

[FR Doc. 77-27133 Filed 9-16-77;8:45 am]

DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE

Office of Education

CONSUMERS' EDUCATION PROGRAM

Closing Date for Receipt of Applications for Fiscal Year 1978

Notice is hereby given that, pursuant to the authority contained in Section 811 of the Elementary and Secondary Education Act of 1965, as enacted by Section 505 of the Education Amendments of 1972, Pub. L. 92-318, 20 U.S.C. 887d, applications for grants are being accepted from institutions of higher education, local educational agencies, State educational agencies, and other public and private non-profit organizations and institutions (including libraries) for the support of research, demonstration, and pilot projects designed to provide consumers' education to the public.

CLOSING DATE: December 16, 1977.

A. *Application forms and information:* Application forms and program information packages are available and will be mailed upon publication of this Notice in the FEDERAL REGISTER.

Applications must be prepared and submitted in accordance with the regulations, instructions, and forms included in the program information packages.

B. *Applications sent by mail:* An application sent by mail should be addressed to: U.S. Office of Education, Application Control Center, Attention 13.564, Washington, D.C. 20202. Applications must be received by the Application Control Center on or before the closing date. In an effort to prevent the late arrival of applications due to inclement weather, natural disasters, delayed airline flights, tardy messenger service, or other unexpected circumstances, the Office of Education suggests that applicants consider the use of registered or certified mail as explained below.

An application sent by mail will be considered to be received on time by the Application Control Center if:

(1) The application was sent by registered or certified mail not later than December 12, 1977 as evidenced by the U.S. Postal Service postmark on the wrapper or envelope, or on the original receipt from the U.S. Postal Service; or

(2) The application is received on or before the closing date by either the Department of Health, Education, and Welfare or the U.S. Office of Education mail rooms in Washington, D.C. In establishing the date of receipt, the Commissioner will rely on the time-date stamp of such mail rooms or other documentary evidence of receipt maintained by the Department of Health, Education, and Welfare, or the U.S. Office of Education.

C. *Hand-delivered application:* An application to be hand-delivered must be taken to the U.S. Office of Education, Application Control Center, Room 5673, Regional Office Building Three, 7th and D Streets SW., Washington, D.C. Hand-delivered applications will be accepted daily between the hours of 8:00 a.m. and 4:00 p.m. Washington, D.C. time except Saturdays, Sundays, or Federal Holidays. Applications will not be accepted after 4:00 p.m. on the closing date.

D. *State comment:* A local educational agency must provide a copy of its application to the State educational agency of the State within which the applicant is located concurrently with its submission of the application to the Office of Education. For verification of submission to the SEA, the LEA applicant must enclose, in its application to the Commissioner, a copy of the dated cover letter used to forward a copy of its application to the SEA. A State educational agency wishing to submit advice and comments on any LEA application originating within its State may do so by forwarding such advice and comments to the Office of Consumers' Education, U.S. Office of Education. (See address under "For Further Information Contact.") Advice and comments received from SEA's not later than January 6, 1978 will be considered in reviewing applications.

E. *Program information:* The amount of funds available under this program is $4,068,000, with approximately $3.6 million going for grant awards for applications submitted in response to this notice, and the balance for procurement contracts. It is estimated that a total of approximately 80 new grants will be awarded during Fiscal Year 1978. The average grant is expected to be about $45,000, though no minimum or maximum amounts have been predetermined.

F. *For further information contact:* Dustin W. Wilson, Jr., 7th & D St., SW., Washington, D.C. 20202, (202-245-0636).

G. *Applicable regulations:* Awards made pursuant to this notice will be subject to (1) The Office of Education General Provisions Regulations (45 CFR Parts 100 and 100a) published in the FEDERAL REGISTER on November 6, 1973 and, (2) the regulation for the Consumers' Education Program published in the FEDERAL REGISTER on May 24, 1976 (41 FR 21191-21199).

(20 U.S.C. 887d.)

(Catalog of Federal Domestic Assistance Number 13.564; Consumers' Education Program.)

Dated: September 15, 1977.

ERNEST L. BOYER,
Commissioner of Education.

[FR Doc.77-27297 Filed 9-16-77;8:45 am]

DEPARTMENT OF THE INTERIOR

Bureau of Land Management

[BLM 049147]

FLORIDA

Opportunity for Public Hearing and Republication of Notice of Proposed Withdrawal

SEPTEMBER 9, 1977.

The Fish and Wildlife Service, U.S. Department of the Interior, filed application BLM 049147 on May 18, 1959, for withdrawal of the following described lands in Lee County, Florida:

TALLAHASSEE MERIDIAN

T. 44 S., R 22 E.
Sec. 31: Lot 1 (Hemp Island).
T. 45 S., R. 23 E.
Sec. 31: Lot 1 (Merwin Key);
Sec. 32: Lot 1 (Merwin Key).

The areas described aggregate approximately 46.61 acres.

The applicant desires the use of the lands as the Hemp Island and Merwin Key National Wildlife Refuges for the management of migratory birds and other wildlife.

A notice of proposed withdrawal was previously published in 37 FR 16413 on August 12, 1972, and corrected in 37 FR 17069 on August 24, 1972.

Pursuant to section 204(h) of the Federal Land Policy and Management Act of October 21, 1976 (90 Stat. 2754), notice is hereby given that an opportunity for a public hearing is afforded in connection with the pending withdrawal application. All interested persons who desire to be heard on the proposed withdrawal must file a written request with the undersigned officer of the Bureau of Land Management on or before October 25, 1977.

If a public hearing is scheduled, a notice will be published in the FEDERAL REGISTER giving the time and place of such hearing. All previous comments of

record concerning the proposed withdrawal will be considered in making a final determination on the application. In lieu of or in addition to attendance at a scheduled public hearing, written comments or objections to the pending withdrawal application may be filed with the undersigned officer of the Bureau of Land Management on or before October 25, 1977.

The above-described land is temporarily segregated from all forms of appropriation under the public land laws. Current administrative jurisdiction over the segregated land will not be affected by the temporary segregation. In accordance with Section 204(g) of the aforementioned Act of October 21, 1976, the segregative effect of the pending withdrawal will terminate on October 20, 1991, unless sooner terminated by action of the Secretary of the Interior.

All communications in connection with this proposed withdrawal should be addressed to the Director, Eastern States, Bureau of Land Management, 7981 Eastern Ave., Silver Spring, Md. 20910.

LOWELL J. UDY,
Director, Eastern States.

[FR Doc.77-27065 Filed 9-16-77;8:45 am]

[OR 5232]

OREGON

Order Providing for Opening of Public Land

SEPTEMBER 12, 1977.

1. In an exchange of lands made under the provisions of section 8 of the Act of June 28, 1934, 48 Stat. 1269, 1272, as amended and supplemented, 43 U.S.C. 315g (1964), the following land has been reconveyed to the United States:

WILLAMETTE MERIDIAN

T. 29 S., R. 17 E.,
Sec. 16, NE¼ and W½.

The area described contains 480 acres in Lake County, Oreg.

2. The United States did not acquire any mineral rights with the land described in paragraph 1 hereof.

3. The subject land is located in the vicinity of Summer Lake approximately 65 miles northwest of the town of Lakeview. Elevation averages 5,000 feet above sea level, and the topography varies from moderately sloping to very steep. Vegetation consists primarily of sagebrush, native grasses, and some western juniper. In the past, the land has been used for livestock grazing purposes, and it will be managed, together with adjoining public lands, for multiple use.

4. Subject to valid existing rights, the provisions of existing withdrawals, and the requirements of applicable law, the land described in paragraph 1 hereof is hereby open (except as provided in paragraph 2 hereof) to operation of the public land laws, including, to the extent applicable, the mineral leasing laws. All valid applications received at or prior to 10 a.m., October 18, 1977, shall be considered as simultaneously filed at that time. Those received thereafter shall be considered in the order of filing.

5. Inquiries concerning the land should be addressed to the Chief, Branch of Lands and Minerals Operations, Bureau of Land Management, P.O. Box 2965, Portland, Ore. 97208.

HAROLD A. BERENDS,
Chief, Branch of Lands and Minerals Operations.

[FR Doc.77-27135 Filed 9-16-77;8:45 am]

[OR 2752]

OREGON

Order Providing for Opening of Public Lands

SEPTEMBER 12, 1977.

1. In an exchange of lands made under the provisions of section 8 of the Act of June 28, 1934, 48 Stat. 1269, 1272, as amended and supplemented, 43 U.S.C. 315g (1964), the following lands have been reconveyed to the United States:

WILLAMETTE MERIDIAN

T. 24 S., R. 42 E.,
Sec. 8, SE¼NE¼.
Sec. 9, SW¼NE¼ and S½NW¼.
T. 25 S., R. 42 E.,
Sec. 14, S½SW¼ and SW¼SE¼.
Sec. 23, N½NE¼, SW¼NE¼, NW¼, NE¼SW¼, and NW¼SE¼.
Sec. 24, W½NW¼ and NW¼SW¼.
T. 20 S., R. 43 E.,
Sec. 11, SE¼SE¼.
Sec. 13, W½NW¼, SW¼, and SW¼SE¼.
Sec. 14, E½NE¼, N½NW¼, and NE¼SE¼.
Sec. 16, E½ and W½SW¼
Sec. 22, N½SW¼ and SW¼SW¼.
Sec. 23, SE¼NW¼, NE¼SW¼, and W½SE¼.
Sec. 24, W½NE¼, NW¼, and NW¼SE¼.
T. 19 S., R. 44 E.,
Sec. 30, E½NE¼ and NE¼SE¼.

The areas described aggregate 2,360 acres in Malheur County, Oreg.

2. All minerals in the land in T. 25 S., R. 42 E., were and continue to be in United States ownership and are already open to operation of the mining laws (Ch. 2, Title 30 U.S.C.) and the mineral leasing laws.

3. The United States acquired only one-half of the mineral rights with the land in the W½SW¼ of Sec. 16, T. 20 S., R. 43 E.

4. The United States acquired only one-third of the mineral rights with the land in T. 19 S., R. 44 E.

5. The United States did not acquire any mineral rights in the following described land:

WILLAMETTE MERIDIAN

T. 20 S., R. 43 E.,
Sec. 11, SE¼SE¼;
Sec. 13, W½NW¼, SW¼, and SW¼SE¼;
Sec. 14, E½NE¼, N½NW¼, and NE¼SE¼;
Sec. 16, E½;
Sec. 22, N½SW¼ and SW¼SW¼;
Sec. 23, SE¼NW¼, NE¼SW¼, and W½SE¼;
Sec. 24, W½NE¼, NW¼, and NW¼SE¼.

6. The subject lands consist of several scattered tracts located within 10 to 45 miles southwest of the City of Vale. Elevation ranges from 2,500 to 4,500 feet above sea level, and the topography varies from level to moderately steep. Vegetation consists primarily of sagebrush and native grasses. In the past, the lands have been used for livestock grazing purposes, and they will be managed, together with adjoining public lands, for multiple use.

7. Subject to valid existing rights, the provisions of existing withdrawals, and the requirements of applicable law, the lands described in paragraph 1 hereof are hereby open (except as provided in paragraphs 2, 3, 4, and 5 hereof) to operation of the public land laws, including the mining laws (Ch. 2, Title 30 U.S.C.) and the mineral leasing laws. All valid applications received at or prior to 10 a.m., October 18, 1977, shall be considered as simultaneously filed at that time. Those received thereafter shall be considered in the order of filing.

8. Inquiries concerning the lands should be addressed to the Chief, Branch of Lands and Minerals Operations, Bureau of Land Management, P.O. Box 2965, Portland, Oreg. 97208.

HAROLD A. BERENDS,
Chief, Branch of Lands and Minerals Operations.

[FR Doc.77-27136 Filed 9-16-77;8:45 am]

[OR 4667]

OREGON

Order Providing for Opening of Public Lands

1. In an exchange of lands made under the provisions of section 8 of the Act of June 28, 1934, 48 Stat. 1269, 1272, as amended and supplemented, 43 U.S.C. 315g (1964), the following lands have been reconveyed to the United States:

WILLAMETTE MERIDIAN

T. 25 S., R. 35 E.,
Sec. 35, NW¼NE¼, S½NE¼, W½, and SE¼.
T. 26 S., R. 35 E.,
Sec. 2, SW¼, except that parcel of land containing 5.41 acres, more or less, conveyed to the Oregon-Washington Railroad and Navigation Co. for railroad purposes by deed recorded January 11, 1916, at Book X, Page 536, Deed Records of Harney County, Oreg.

The areas described aggregate, after making the aforesaid exception, 754.59 acres in Harney County, Oreg.

2. The subject lands are located in the vicinity of Coyote Creek approximately 40 miles southeast of the City of Burns. Elevation averages 4,000 feet above sea level, and the topography varies from nearly level to steeply sloping. Vegetation consist primarily of sagebrush and native grasses. In the past, the lands have been used for livestock grazing purposes, and they will be managed, together with adjoining public lands, for multiple use.

3. Subject to valid existing rights, the provisions of existing withdrawals, and the requirements of applicable law, the lands described in paragraph 1 hereof are hereby open to operation of the public land laws, including the mining laws (Ch. 2, Title 30 U.S.C.) and the mineral

leasing laws. All valid applications received at or prior to 10 a.m., October 18, 1977, shall be considered as simultaneously filed at that time. Those received thereafter shall be considered in the order of filing.

4. Inquiries concerning the lands should be addressed to the Chief, Branch of Lands and Minerals Operations, Bureau of Land Maangement, P.O. Box 2965, Portland, Oreg. 97208.

HAROLD A. BERENDS,
Chief, Branch of Lands and Minerals Operations.

[FR Doc.77-27137 Filed 9-16-77;8:45 am]

INTERNATIONAL TRADE COMMISSION

[332-85]

CONDITIONS OF COMPETITION IN U.S. MARKETS BETWEEN DOMESTIC AND FOREIGN LIVE CATTLE AND CATTLE MEAT FIT FOR HUMAN CONSUMPTION

Notice is hereby given that the public hearing in the above noted investigation set to begin on Tuesday, September 20, 1977, at 10 a.m., e.d.t., will be held in the Departmental Auditorium, Constitution Avenue between 12th and 14th Streets NW., Washington, D.C., rather than in the Hearing Room of the U.S. International Trade Commission Building, as previously announced.

The last notice concerning this investigation, relating to a change in place of the Kansas City Hearing, was published in the FEDERAL REGISTER of July 14, 1977 (42 FR 36321), and notice of the institution of the investigation and of hearings was pubyishsed in the FEDERAL REGISTER of April 13, 1977 (42 FR 19389).

By order of the Commission.

Issued: September 14, 1977.

KENNETH R. MASON,
Secretary.

[FR Doc.77-27210 Filed 9-16-77;8:45 am]

NATIONAL COMMISSION ON LIBRARIES AND INFORMATION SCIENCE

MEETING

Notice is hereby given of the National Commission on Libraries and Information Science (established by Pub. L. 91-345) holding a meeting of the Task Force on the Role of the School Library Media Program in Networking. This meeting of the Task Force will be held on October 17-18, 1977, at the Gramercy Inn, 1616 Rhode Island Avenue NW., Washington, D.C.

PROPOSED AGENDA

(1) Progress report.

(2) Discussion on position paper No. 2, "Networking and School Library Centers," by David V. Loertscher.

(3) Reports of subcommittees on assigned tasks.

(4) Reaction to initial report.

(5) Activities to be accomplished for next meeting.

ALPHONSE F. TREZZA,
Executive Director.

SEPTEMBER 13, 1977.

[FR Doc.77-27138 Filed 9-16-77;8:45 am]

NATIONAL COMMISSION FOR MANPOWER POLICY

MEETING

Pursuant to the provisions of the Federal Advisory Committee Act (Public L. 92-463; 86 Stat. 770) notice is hereby given that the National Commission for Manpower Policy will hold a working conference on the Role of Community-Based Organizations in Manpower and Policy on October 7, 1977. The conference will be held in the Mount Vernon Room of the Sheraton Carlton, 16th and K Streets NW., Washington, D.C. The meeting will commence at 9 a.m. and conclude at 4 p.m.

The National Commission for Manpower Policy was established pursuant to Title V of the Comprehensive Employment and Training Act of 1973 (Public L. 92-203). The Act charges the Commission with the broad responsibility of advising the Congress, the President, the Secretary of Labor, and other Federal agency heads on national manpower issues. The Commission is specifically charged with reporting annually to the President and the Congress on its findings and recommendations with respect to the Nation's manpower policies and programs.

The agenda will cover the following areas:

A. The dual roles of community based organizations (CBOs) as service deliverers and advocates for the needs of particular groups of the population;

B. Ways in which CBOs can have early and effective positive input to manpower policy formulation and decisionmaking processes of federal executive agencies and advisory bodies including this Commisison;

C. Participation of CBO's in manpower policy formulation and decisionmaking processes in state and local government; and

D. Ways to insure that local community based organizations, especially those not affiliated with the large national associations of CBOs, can make effective representation on behalf of their constituencies.

Members of the general public or other interested individuals may attend the Commission Conference. Members of the public desiring to submit written statements to the Commission that are germane to the agenda may do so, provided such statements are in reproducible form and are submitted to the Director no later than two days before and seven days after the meeting.

Additionally, members of the general public may request to make oral statements to the Commission to the extent that the time available for the meeting permits. Such oral statements must be directly germane to the announced agenda items and written application must be submitted to the Director of the Commission three days before the meeting. This application shall identify the following: the name and address of the applicant, the subject of his or her presentation and its relationship to the agenda; the amount of time requested; the individual's qualifications to speak on the subject matter; and shall include a justifying statement as to why a written presentation would not suffice. The Chairman reserves the right to decide to what extent public oral presentation will be permitted at the meeting. Oral presentations shall be limited to statements of fact and views and shall not include any question of Commission members or other participants unless these questions have been specifically approved by the Chairman.

Minutes of the meeting, working papers and other documents prepared for the meeting will be available for public inspection five working days after the conference at the Commission's headquarters located at 1522 K Street NW., Room 300, Washington, D.C.

Signed at Washington, D.C., this 13th day of September 1977.

ISABEL V. SAWHILL,
Director, National Commission for Manpower Policy.

[FR Doc.77-27166 Filed 9-16-77;8:45 am]

MEETING

Pursuant to the provisions of the Federal Advisory Committee Act (Pub. L. 92-463; 86 Stat. 770) notice is hereby given that the National Commission for Manpower Policy will conduct a field review on the net employment effects of the public service employment (PSE) program on October 13 and October 14, 1977. The meeting will be held in the Gardener Room of the Sheraton Boston Hotel located at 39 Dalton Street, Prudential Center, Boston, Mass. The reviews will commence at 9 a.m. and conclude at 4 p.m. on both days.

The National Commission for Manpower Policy was established pursuant to Title V of the Comprehensive Employment and Training Act of 1973 (Pub. L. 92-203). The Act charges the Commission with the broad responsibility of advising the Congress, the President, the Secretary of Labor, and other Federal agency heads on national manpower issues. The Commission is specifically charged with reporting annually to the President and the Congress on its findings and recommendations with respect to the Nation's manpower policies and programs. The Emergency Jobs Programs Extension Act of 1976 directs the Commission to study and report to Congress on the net employment effects of PSE programs under Title II and Title VI of the Comprehensive Employment and Training Act.

The purpose of the review is to elicit the views of those at the state and local level (in and out of government) on the effects and effectiveness of the public service employment program. Among the issues to be addressed are:

1. What goals and objectives are developed by state and local governments for the PSE programs? How are these goals developed so that they are supportive of national goals; provide needed public services; and are supportive of other public services?

2. To what extent has PSE resulted in an increase in the provision of services by state or local governments? Has PSE been instrumental in maintaining the level of services where they would have otherwise been curtailed due to revenue reductions, increased costs or other factors? What types of services are being provided through the PSE programs? What types of agencies (e.g., public safety, sanitation, education) received PSE jobs? If the PSE program were reduced or phased out, to what extent would these services also be reduced or terminated?

3. To what extent has PSE resulted in an increase in the services provided by nonprofit community organizations? How has this changed as a result of the requirements of the Emergency Jobs Programs Extension Act of 1976? What types of services are being provided by community organizations with the employment provided by PSE?

4. What is the ability of state and local governments to absorb additional PSE jobs? To what extent can this be expanded by increased utilization of nonprofit community organizations? What has been the experience in implementing and increasing the PSE programs to date? What are the constraints to further increasing the size of the program?

5. What effect has the presence of the PSE program had on the personnel practices of state and local governments? Have changes been made to provide PSE employees with improved access to regular (i.e., non-PSE) jobs? Has the PSE program resulted in any changes in the composition of the regular employment of the employing jurisdiction in terms of race, sex, education, experience, or other characteristics? Can PSE be used as a means of training the hard-to-employ and then obtaining access for them to permanent, unsubsidized employment?

6. What should be the areas of concern in consideration of phasing out or phasing down the current PSE programs? What areas should be considered if the target populations or mode of operation of the current programs are to be modified? To what extent and how do changes in the scale, mode of operation, target population and/or continuity of the program affect the delivery of needed public services?

Members of the general public or other interested individuals may attend the Commission field reviews. Members of the public desiring to submit written statements to the Commission that are germane to the agenda may do so, provided such statements are in reproducible form and are submitted ot the Director no later than two days before and seven days after the meeting.

Additionally, members of the general public may request to make oral statements to the Commission to the extent that the time available for the meeting permits. Such oral statements must be directly germane to the announced agenda items and written application must be submitted to the Director of the Commission three days before the meeting. This application shall identify the following: the name and address of the applicant, the subject of his or her presentation and its relationship to the agenda; the amount of time requested; the individual's qualifications to speak on the subject matter; and shall include a justifying statement as to why a written presentation would not suffice. The Chairman reserves the right to decide to what extent public oral presentation will be permitted at the meeeting. Oral presentations shall be limited to statements of fact and views and shall not include any question of Commission members or other participants unless these questions have been specifically approved by the Chairman.

Minutes of the meeting, working papers and other documents prepared for the meeting will be available for public inspection five working days after the field review at the Commission's headquarters located at 1522 K Street NW., Room 300, Washington, D.C.

Signed at Washington, D.C., this 13th day of September 1977.

ISABEL V. SAWHILL,
Director, National Commission for Manpower Policy.

[FR Doc. 77-27167 Filed 9-16-77;8:45 am]

NUCLEAR REGULATORY COMMISSION

[Docket No. 50-485]

ROCHESTER GAS AND ELECTRIC CORP. (STERLING POWER PROJECT, NUCLEAR UNIT NO. 1)

Assignment of Atomic Safety and Licensing Appeal Board

Notice is hereby given that, in accordance with the authority in 10 CFR § 2.787(a), the Chairman of the Atomic Safety and Licensing Appeal Panel has assigned the following panel members to serve as the Atomic Safety and Licensing Appeal Board for this construction permit proceeding:

Alan S. Rosenthal, Chairman
Dr. John H. Buck
Richard S. Salzman

Dated: September 12, 1977.

MARGARET E. DU FLO,
Secretary to the Appeal Board.

[FR Doc.77-26073 Filed 9-16-77;8:45 am]

ADVISORY COMMITTEE ON REACTOR SAFEGUARDS

Meeting

In accordance with the purposes of Sections 29 and 182b. of the Atomic Energy Act (42 U.S.C. 2039, 2232b.), the Advisory Committee on Reactor Safeguards will hold a meeting on October 6-8, 1977, in Room 1046, 1717 H Street NW., Washington, D.C.

The agenda for the subject meeting will be as follows:

THURSDAY, OCTOBER 6, 1977

8:30 a.m.-9:15 a.m.: Executive Session (Open)—The Committee will hear and discuss the report of the ACRS Chairman regarding miscellaneous matters relating to ACRS activities. The Committee will hear the report of the ACRS Subcommittee on the Reactor Safety Study (WASH-1400) and discuss matters to be considered during the meeting with the Risk Assessment Review Group.

9:15 a.m.-10:15 a.m.: Meeting with Risk Assessment Review Group (Open)—The ACRS will meet with members of the Risk Assessment Review Group to discuss ACRS comments on the Reactor Safety Study, developments in the field of risk assessment methodology, and application of this methodology to the reactor licensing process.

10:15 a.m.-10:45 a.m.: Executive Session (Open)—The Committee will also hear and discuss the report of the ACRS Subcommittee and consultants who may be present related to review of the request for a Construction Permit for the Greene County Nuclear Power Plant. Portions of this session will be closed if necessary to discuss Proprietary Information applicable to this project and provision for physical protection of this facility.

10:45 a.m.-1:15 p.m.: Greene County Nuclear Power Plant (Open)—The Committee will hear presentations and hold discussions with representatives of the NRC Staff and the Applicant related to the request for a Construction Permit for the Greene County Nuclear Power Plant. Portions of this session will be closed if necessary to discuss Proprietary Information applicable to this project and provisions for physical protection of this facility.

2:15 p.m.-2:45 p.m.: Executive Session (Open)—The Committee will hear and discuss the report of the ACRS Subcommittee and consultants who may be present related to the request for an Operating License for the Pilgrim Nuclear Power Station Unit 2. Portions of this session will be closed if necessary to discuss Proprietary Information applicable to this project and provisions for physical protection of this facility.

2:45 p.m.-5:45 p.m.: Pilgrim Nuclear Power Station Unit 2 (Open)—The Committee will hear presentations by and hold discussions with representatives of the NRC Staff and the Applicant related to the request for an Operating License for the Pilgrim Nuclear Power Station Unit 2. Portions of this session will be closed if required to discuss Proprietary Information related to this facility and provisions for physical protection of this facility.

5:45 p.m.-6:30 p.m.: Executive Session (Open)—The Committee will hear and discuss the report of ACRS subcommittees on matters related to reactor safety including Regulatory Activities, reactor safety research, physical protection of nuclear facilities and information provided by the Federal Republic of Germany. Portions of this session will be closed if necessary to discuss material applicable to the physical protection of specific nuclear facilities and information provided in confidence by a foreign source.

FRIDAY, OCTOBER 7, 1977

8:30 a.m.-12:45 p.m. and 1:45 p.m.-2:15 p.m.: Meeting with NRC Staff (Open)—The Committee will hear presentations and hold discussions with members of the NRC Staff

regarding recent operating experience at nuclear facilities, recent licensing actions, generic matters related to reactor licensing, and the future schedule for ACRS activities. This discussion will include:

Provisions for shutdown of D.C. Cook Nuclear Plant from alternate station; performance of control rod drive mechanisms at the Dresden Nuclear Power Plant Unit 2; seismic evaluation of North Anna Nuclear Plant Units 1 and 2; effectiveness of program to license standard nuclear plants and nuclear steam supply systems; cracking of BWR feedwater and control rod drive return line nozzles; interaction of control rods and fuel rods in BWR's during LOCA blowdown; evaluation of test results applicable to ECCS; evaluation of post-accident radiation levels in nuclear plant control rooms.

2:15 p.m.–6:30 p.m.: Executive Session (Open)—The Committee will discuss proposed reports to the Nuclear Regulatory Commission of the Greene County Nuclear Power Plant Project and the Pilgrim Nuclear Power Station Unit 2. The Committee will also hear and discuss the reports of ACRS subcommittees, working groups, and members regarding proposed ACRS comments and recommendations related to a number of generic matters including emergency dose limits for design of nuclear plants and related emergency procedures, methodology for seismic evaluation of proposed nuclear sites and facilities operation of nuclear facilities at "stretch power" limits and transportation of radioactive materials near and through urban centers. Portions of this session will be closed as required to protect Proprietary Information and matters involved in NRC adjudicatory proceedings, specifically the preparation of reports on the Greene County Plant and the Pilgrim Nuclear Station.

SATURDAY, OCTOBER 8, 1977

8:30 a.m.–12:30 p.m.: Executive Session (Open/Closed)—The Committee will continue preparation of its reports to the NRC on matters discussed during this meeting. The Committee will also discuss ACRS procedures and practices regarding use of technical experts, implementation of ACRS consultants' recommendations and appointment of new members. Portions of this session will be closed as required to protect Proprietary Information and matters involved in NRC adjudicatory proceedings.

I have determined in accordance with Subsection 10(d) of Public Law 92–463 that it is necessary to close portions of the meeting as noted above to protect Proprietary Information (5 U.S.C. 552b.(c)(4)), to preserve the confidentiality of information related to safeguarding of special nuclear material and the physical protection of nuclear facilities (5 U.S.C. 552b.(c)(1) and (4). The portions of the meeting during which the ACRS comments on matters involved in adjudication are prepared will be held in closed session pursuant to exemption (10) of 5 U.S.C. 552b.(c). A portion of the material provided by the Federal Republic of Germany is deemed to be commercial or financial information within the meaning of 10 CFR Section 9.5(a)(4) and exempt from public disclosure in accordance with 10 CFR Section 2.790(d)(2). A portion of this material is classified information in accordance with Executive Order 11652. Separation of factual information from information considered exempt from disclosure under exemptions (1), (4), and (10) of 5 U.S.C. 552b.(c) during these portions of the meeting is not considered practical.

Practical considerations may dictate alterations in the above agenda or schedule. The Chairman of the Committee is empowered to conduct the meeting in a manner that in his judgment will facilitate the orderly conduct of business, including provisions to carry over an incompleted session from one day to the next.

The Advisory Committee on Reactor Safeguards is an independent group established by Congress to review and report on each application for a construction permit and on each application for an operating license for a reactor facility and on certain other nuclear safety matters. The Committee's reports become a part of the public record. Although ACRS meetings are ordinarily open to the public and provide for oral or written statements to be considered as a part of the Committee's information gathering procedure concerning the health and safety of the public, they are not adjudicatory type hearings such as are conducted by the Nuclear Regulatory Commission's Atomic Safety & Licensing Board as part of the Commission's licensing process. ACRS meetings do not normally deal with matters pertaining to environmental impacts outside the radiological safety area.

With respect to public participation in the open portion of the meeting, the following requirements shall apply:

(a) Persons wishing to submit written statements regarding the agenda items may do so by providing a readily reproducible copy to the Committee at the beginning of the meeting. Comments should be limited to safety related areas within the Committee's purview. Persons desiring to mail written comments may do so by mailing a readily reproducible copy thereof in time for consideration at this meeting. Comments postmarked no later than September 28, 1977, to the Executive Director, Advisory Committee on Reactor Safeguards, Nuclear Regulatory Commission, Washington, D.C. 20555 will normally be received in time to be considered at this meeting. Background information concerning items to be considered at this meeting can be found in documents on file and available for public inspection at the Nuclear Regulatory Commission's Public Document Room, 1717 H Street NW., Washington, D.C. 20555 and at the following Public Document Rooms:

Greene County Nuclear Power Plant, Catskill Public Library, 1 Franklin Street, Catskill, N.Y. 12414.

Pilgrim Nuclear Power Station Unit 2, Plymouth Public Library, North Street, Plymouth, Mass. 02360.

(b) Those persons wishing to make oral statements regarding agenda items at the meeting should make a request to do so prior to the meeting, identifying the topics and desired presentation time so that appropriate arrangements can be made. The Committee will receive oral statements in safety-related areas within the Committee's purview at an appropriate time chosen by the Chairman of the Committee.

(c) Further information regarding topics to be discussed, whether the meeting or portions of the meeting have been cancelled or rescheduled, the Chairman's ruling on requests for the opportunity to present oral statements, and the time allotted therefor, can be obtained by a prepaid telephone call on October 5, 1977 to the Office of the Executive Director of the Committee (Telephone: 202–634–1371) between 8:15 a.m. and 5 p.m., Eastern Time. It should be noted that the above schedule is tentative, based on the anticipated availability of related information, etc. It may be necessary to reschedule items to accommodate required changes. The ACRS Executive Director will be prepared to describe these changes on October 5, 1977.

(d) Questions may be asked only by members of the Committee, its consultants and staff.

(e) The use of still, movie, and television cameras, the physical installation and presence of which will not interfere with the conduct of the meeting, will be permitted both before and after the meeting and during any recess. The use of such equipment will be allowed while the meeting is in session at the discretion of the Chairman to a degree that is not disruptive of the meeting. When use of such equipment is permitted, appropriate measures will be taken to protect proprietary or privileged information which may be in documents, folders, etc. being used during the meeting. Recordings of the proceedings will be permitted only during those open sessions when a transcript is being kept.

(f) Persons with agreements or orders permitting access to proprietary information other than safeguards information may attend portions of ACRS meetings where this material is being discussed upon confirmation that such agreements are effective and relate to the material being discussed. The Executive Director of the ACRS should be informed of such an agreement at least 3 days prior to the meeting so that the agreement can be confirmed and a determination can be made regarding the applicability of this agreement to the material that will be discussed during the meeting. Minimum information provided should include information regarding the date of the agreement, the scope of material included in the agreement, the project or projects involved, and the names and titles of the persons signing the agreement. Additional information may be requested to identify the specific agreement involved. A copy of the executed agreement should be provided to the Executive Director at the beginning of the meeting.

(g) A copy of the transcript of the open portion(s) of the meeting where factual information is presented will be available for inspection during the following workday at the Nuclear Regulatory Commission's Public Document Room, 1717 H Street NW., Washington, D.C. Copies of the minutes of the meeting will be made available for inspection at the Nuclear Regulatory Commission's Public Document Room, 1717 H Street NW., Washington, D.C., on or after January 6, 1978. Copies may be obtained upon payment of appropriate charges.

Dated: September 15, 1977.

JOHN C. HOYLE,
Advisory Committee
Management Officer.

[FR Doc.77-27258 Filed 9-16-77;8:45 am]

ADVISORY COMMITTEE ON REACTOR SAFEGUARDS, SUBCOMMITTEE ON REGULATORY ACTIVITIES

Meeting

In accordance with the purposes of Sections 29 and 182b. of the Atomic Energy Act (42 U.S.C. 2039, 2232 b.), the ACRS Subcommittee on Regulatory Activities will hold an open meeting on October 5, 1977 in Room 1162, 1717 H St., N.W., Washington, DC 20555.

The agenda for subject meeting shall be as follows:

WEDNESDAY, OCTOBER 5, 1977

8:45 A.M.—10:30 A.M.

A. The Subcommittee will hear presentations from the NRC Staff and will hold discussions with this group pertinent to the following:

(1) Regulatory Guide 1.91, Revision 1, "Evaluation of Explosions Postulated to Occur on Transportation Routes Near Nuclear Power Plants."

(2) Regulatory Guide 1.127, Revision 1, "Inspection of Water Control Structures Associated with Nuclear Power Plants."

10:30 A.M. UNTIL THE CONCLUSION OF BUSINESS

B. The Subcommittee will hear presentations from the NRC Staff and will hold discussions with this group pertinent to activities which affect the current licensing process on reactor operations, including those related to proposed requirements for testing of relief and safety-relief valves in BWR reactor facilities.

Other matters which may be of a predecisional nature relevant to reactor operation or licensing activities may be discussed following this session.

Practical considerations may dictate alterations in the above agenda or schedule. The Chairman of the Subcommittee is empowered to conduct the meeting in a manner that, in his judgment, will facilitate the orderly conduct of business, including provisions to carry over an incompleted open session from one day to the next.

With respect to public participation in the meeting, the following requirements shall apply:

(A) Persons wishing to submit written statements regarding Regulatory Guides 1.91, and 1.127, may do so by providing a readily reproducible copy to the Subcommittee at the beginning of the meeting. Such comments shall be based upon documents on file and available for public inspection at the NRC Public Document Room, 1717 H St., NW., Washington, D.C. 20555.

Persons desiring to mail written comments may do so by sending a readily reproducible copy thereof in time for consideration at this meeting. Comments postmarked no later than September 28, 1977 to Mr. G. R. Quittschreiber, ACRS, NRC, Washington, D.C. 20555, will normally be received in time to be considered at this meeting.

(B) Persons desiring to make an oral statement at the meeting should make a request to do so prior to the meeting, identifying the topics and desired presentation time so that appropriate arrangements can be made. The Subcommittee will receive oral statements on topics relevant to its purview at an appropriate time chosen by the Chairman.

(C) Further information regarding topics to be discussed, whether the meeting has been cancelled or rescheduled, the Chairman's ruling on requests for the opportunity to present oral statements and the time allotted therefor can be obtained by a prepaid telephone call on October 4, 1977 to the Office of the Executive Director of the Committee (telephone 202-634-1374, Attn: Mr. G. R. Quittschreiber) between 8:15 a.m. and 5:00 p.m., EDT.

(D) Questions may be propounded only by members of the Subcommittee, its consultants, and Staff.

(E) The use of still, motion picture, and television cameras, the physical installation and presence of which will not interfere with the conduct of the meeting, will be permitted both before and after the meeting and during any recess. The use of such equipment will be allowed while the meeting is in session at the discretion of the Chairman to a degree that is not disruptive to the meeting. When use of such equipment is permitted, appropriate measures will be taken to protect proprietary or privileged information which may be in documents, folders, etc. being used during the meeting. Recordings of the proceedings will be permitted only during those sessions when a transcript is being kept.

(F) A copy of the transcript of the portion(s) of the meeting where factual information is presented and a copy of the minutes of the meeting will be available for inspection at the NRC Public Document Room, 1717 H St., NW., Washington, D.C. 20555 on or after October 12, 1977, and January 5, 1978, respectively.

Copies may be obtained upon payment of appropriate charges.

Dated: September 15, 1977.

JOHN C. HOYLE,
Advisory Committee Management Officer.

[FR Doc.77-27222 Filed 9-16-77;8:45 am]

ADVISORY COMMITTEE ON REACTOR SAFEGUARDS, REACTOR SAFETY STUDY WORKING GROUP

Meeting

In accordance with the purposes of Sections 29 and 182b. of the Atomic Energy Act (42 U.S.C. 2039, 2232b.), the ACRS Reactor Safety Study Working Group will hold an open meeting on October 5, 1977 in Room 1046, 1717 H St. NW., Washington, D.C. 20555.

The agenda for subject meeting shall be as follows:

WEDNESDAY, OCTOBER 5, 1977

1:00 P.M. UNTIL CONCLUSION OF BUSINESS

The Working Group will meet in Executive Session, with any of its consultants who may be present, to explore their preliminary opinions regarding matters which should be considered in order to formulate a report and recommendations to the full Committee.

At the conclusion of the Executive Session, the Working Group will meet to hold discussions with representatives of the NRC Staff, the Risk Assessment Review Group, and their consultants.

At the conclusion of these sessions, the Working Group may caucus to determine whether the matters identified in the initial session have been adequately covered.

Practical considerations may dictate alterations in the above agenda or schedule. The Chairman of the Working Group is empowered to conduct the meeting in a manner that, in his judgment, will facilitate the orderly conduct of business, including provisions to carry over an incompleted open session from one day to the next.

The Advisory Committee on Reactor Safeguards is an independent group established by Congress to review and report on each application for a construction permit and on each application for an operating license for a reactor facility and on certain other nuclear safety matters. The Committee's reports become a part of the public record. Although ACRS meetings are ordinarily open to the public and provide for oral or written statements to be considered as a part of the Committee's information gathering procedure concerning the health and safety of the public, they are not adjudicatory type hearings such as are conducted by the Nuclear Regulatory Commission's Atomic Safety & Licensing Board as part of the Commission's licensing process. ACRS meetings do not normally treat matters pertaining to environmental impacts outside the radiological safety area.

With respect to public participation in the meeting, the following requirements shall apply:

(a) Persons wishing to submit written statements regarding the agenda may do so by providing a readily reproducible copy to the Working Group at the beginning of the meeting. Comments should be limited to safety related areas within the Committee's purview.

Persons desiring to mail written comments may do so by sending a readily reproducible copy addressed to Mr. John C. McKinley, ACRS, NRC, Washington, D.C. 20555. Comments postmarked no later than September 28, 1977 will normally be received in time to be considered at this meeting.

Background information concerning items to be considered at this meeting can be found in WASH-1400 and related documents on file and available for public inspection at the NRC Public Document Room, 1717 H. St., NW., Washington, D.C. 20555.

(b) Persons desiring to make an oral statement at the meeting should make a request to do so prior to the beginning of the meeting, identifying the topics and desired presentation time so that appropriate arrangements can be made. The Working Group will receive oral statements on topics relevant to its purview at an appropriate time chosen by the Chairman.

(c) Further information regarding topics to be discussed, whether the meeting has been cancelled or rescheduled, the Chairman's ruling on requests for the opportunity to present oral statements and the time allotted therefor can be obtained by a prepaid telephone call on October 4, 1977 to the Office of the Executive Director of the Committee (telephone 202-634-1371, Attn: Mr. John C. McKinley) between 8:15 a.m. and 5:00 p.m. EDT.

(d) Questions may be asked only by members of the Working Group, its consultants, and the Staff.

(e) The use of still, motion picture, and television cameras, the physical installation and presence of which will not interfere with the conduct of the meeting, will be permitted both before and after the meeting and during any recess. The use of such equipment will be allowed while the meeting is in session at the discretion of the Chairman to a degree that is not disruptive to the meeting. When use of such equipment is permitted, appropriate measures will be taken to protect proprietary or privileged information which may be in documents, folders, etc. being used during the meeting. Recordings will be permitted only during those sessions of the meeting when a transcript is being kept.

(f) A copy of the transcript of the portion(s) of the meeting where factual information is presented and a copy of the minutes of the meeting will be available for inspection or or after October 12, 1977 and January 5, 1978, respectively, at the NRC Public Document Room, 1717 H Street, NW., Washington, D.C. 20555.

Copies may be obtained upon payment of appropriate charges.

Dated: September 15, 1977.

JOHN C. HOYLE,
Advisory Committee Management Officer.

[FR Doc.77-27223 Filed 9-16-77;8:45 am]

[Docket Nos. 50-317 and 50-318]

BALTIMORE GAS AND ELECTRIC CO.

Notice of Consideration of Proposed Modification to Facilities Spent Fuel Storage Pool

The Nuclear Regulatory Commission (the Commission) is considering the approval of a modification to the spent fuel storage pool of the Calvert Cliffs Nuclear Power Plant Unit Nos. 1 and 2 (the facilities), pressurized water reactors located in Calvert County, Maryland, and currently authorized for operation at power levels up to 2700 MWt and 2560 MWt, respectively.

The two facilities share a common pool that is divided in two sections and connected by a transfer canal. The existing racks in the Unit No. 2 side of the pool have a capacity for storage of 210 fuel assemblies and the racks in the Unit No. 1 side have a capacity for storage of 200 fuel assemblies. The proposed modification being considered involves replacement of the existing racks in each side of the spent fuel pool of the facilities with racks of a design capable of accommodating up to 528 fuel assemblies and interchangeable storage of one facility's fuel in the other facility's side of the two-section pool. The proposed modification and subsequent use of the two-section pool would permit a total of 1056 fuel assemblies to be stored in lieu of the current 410 assemblies. The modification will require changes to the facilites Technical Specifications and issuance of a license amendment for each facility.

Prior approval of the proposed modification and license amendments authorizing use of the modified pool, the Commission will have made the findings required by the Atomic Energy Act of 1954, as amended (the Act), and the Commission's rules and regulations.

By October 19, 1977, the licensee may file a request for a hearing and any person whose interest may be affected by this proceeding may file a request for a hearing in the form of a petition for leave to intervene with respect to the approval of the modification to the subject facility spent fuel storage pool. Petitions for leave to intervene must be filed under oath or affirmation in accordance with the provisions of Section 2.714 of 10 CFR Part 2 of the Commission's regulations. A petition for leave to intervene must set forth the interest of the petitioner in the proceeding, how that interest may be affected by the results of the proceeding, and the petitioner's contentions with respect to the proposed action. Such petitions must be filed in accordance with the provisions of this FEDERAL REGISTER Notice and Section 2.714, and must be filed with the Secretary of the Commission, U.S. Nuclear Regulatory Commission, Washington, D.C. 20555, Attention: Docketing and Service Section, by the above date. A copy of the petition and/or request for a hearing should be sent to the Executive Legal Director, U.S. Nuclear Regulatory Commission, Washington, D.C. 20555, and to James A. Biddison, Jr., General Counsel, Gas and Electric Building, Charles Center, Baltimore, Maryland 21203, the attorney for the licensee.

A petition for leave to intervene must be accompanied by a supporting affidavit which identifies the specific aspect or aspects of the proceeding as to which intervention is desired and specifies with particularity the facts on which the petitioner relies as to both his interest and his contentions with regard to each aspect on which intervention is requested. Petitions stating contentions relating only to matters outside the Commission's jurisdiction will be denied.

All petitions will be acted upon by the Commissioner or licensing board, designated by the Commission or by the Chairman of the Atomic Safety and Licensing Board Panel. Timely petitions will be considered to determine whether a hearing should be noticed or another appropriate order issued regarding the disposition of the petitions.

In the event that hearing is held and a person is permitted to intervene, he becomes a party to the proceeding and has the right to participate fully in the conduct of the hearing. For example, he may present evidence and examine and cross-examine witnesses.

For further details with respect to this action, see the licensee's proposals dated August 5, 1977 and September 7, 1977, which are available for public inspection at the Commission's Public Document Room, 1717 H Street, N.W., Washington, D.C., and at the Calvert County Library, Prince Frederick, Maryland 20678.

Dated at Bethesda, Maryland, this 15th day of September, 1977.

For the Nuclear Regulatory Commission,

DON K. DAVIS,
Acting Chief, Operating Reactors, Branch No. 2, Division of Operating Reactors.

[FR Doc.77-27321 Filed 9-16-77;8:31 am]

[Docket No. 50-263]

NORTHERN STATES POWER CO.

Notice of Consideration of Proposed Modification to Facility Spent Fuel Storage Pool

The Nuclear Regulatory Commission (the Commission) is considering the issuance of a proposed amendment to Provisional Operating License No. DPR-22, issued to the Northern States Power Company (the licensee), for the Monticello Nuclear Generating Plant (the facility) which would authorize a modification to the spent fuel storage pool. The facility is a boiling water reactor located in Wright County, Minnesota, and currently authorized for operation at power levels up to 1670 MWt.

The proposed amendment would authorize replacement of the existing racks in the spent fuel storage pool of the facility with racks of a design capable of accommodating up to 2237 fuel assemblies in accordance with the licensee's letter dated August 17, 1977, and license amendment request dated September 12, 1977. Thereafter, the licensee would be able to operate the facility with this larger spent fuel storage capacity. The existing racks have a capacity for storage of 740 fuel assemblies.

Prior to approval of the proposed modification and license amendment, the Commission will have made the findings required by the Atomic Energy Act of 1954, as amended (the Act), and the Commission's rules and regulations.

By October 19 1977, the licensee may file a request for a hearing and any person whose interest may be affected by this proceeding may file a request for a hearing in the form of a petition for leave to intervene with respect to the approval of the modification to the subject facility spent fuel storage pool. Petitions for leave to intervene must be filed under oath or affirmation in accordance with the provisions of section 2.714 of 10 CFR Part 2 of the Commission's regulations. A petition for leave to intervene must set forth the interest of the petitioner in the proceeding, how that interest may be affected by the results of the proceeding, and the petitioner's contentions with respect to the proposed action. Such petitions must be filed in accordance with the provisions of this FEDERAL REGISTER Notice and section 2.714, and must be filed with the Secretary of the Commission, U.S. Nuclear Regulatory Commission, Washington, D.C. 20555, Attention: Docketing and Service Section, by the above date. A copy of the petition and/or request for a hearing should be sent to the Executive Legal Director, U.S. Nuclear Regulatory Commission, Washington, D.C. 20555, and to Gerald Charnoff, Esquire of Shaw, Pittman, Potts, and Trowbridge, 1800 M Street NW., Washington, D.C. 20036, the attorney for the licensee.

A petition for leave to intervene must be accompanied by a supporting affidavit which identifies the specific aspect or aspects of the proceeding as to which intervention is desired and specifies with particularity the facts on which the petitioner relies as to both his interest and his contentions with regard to each aspect on which intervention is requested. Petitions stating contentions relating only to matters outside the Commission's jurisdiction will be denied.

All petitions will be acted upon by the Commission or licensing board, designated by the Commission or by the Chairman of the Atomic Safety and Licensing Board Panel. Timely petitions will be considered to determine whether a hearing should be noticed or another appropriate order issued regarding the disposition of the petitions.

In the event that a hearing is held and a person is permitted to intervene, he becomes a party to the proceeding

and has the right to participate fully in the conduct of the hearing. For example, he may present evidence and examine and cross-examine witnesses.

For further details with respect to this action, see the licensee's letter dated August 17, 1977, and related license amendment request dated September 12, 1977, which are available for public inspection at the Commission's Public Document Room, 1717 H Street, N.W., Washington, D.C., and at The Environmental Conservation Library, Minneapolis Public Library, 300 Nicollet Mall, Minneapolis, Minnesota 55401.

Dated at Bethesda, Maryland, this 15th day of September, 1977.

For the Nuclear Regulatory Commission.

DON K. DAVIS,
Acting Chief, Operating Reactors Branch No. 2, Division of Operating Reactors.

[FR Doc.77-27320 Filed 9-16-77;9:31 am]

RISK ASSESSMENT REVIEW GROUP

Notice of Meeting

Pursuant to the Federal Advisory Committee Act (Pub. L. 92-463), notice is hereby given of a two-day meeting of the Risk Assessment Review Group of the U.S. Nuclear Regulatory Commission (NRC), to be held at 9 a.m., on October 5 and 6, 1977, in Room 1046 and Room 1062, respectively, of the Matomic Building, 1717 H Street NW., Washington, D.C. The purpose of this meeting is to continue the review of the final report of the Reactor Safety Study and to obtain information on developments in the field of risk assessment methodology.

The Review Group will hold in open sessions discussions with the Subcommittee on the Reactor Safety Study and the full Commisison of the Advisory Committee on Reactor Safeguards and will hear presentations by and hold discussions with representatives of the NRC staff and with individuals outside of NRC regarding the field of risk assessment methodology. It may be necessary for the Review Group to hold one or more closed sessions for the purpose of exploring matters involving proprietary information. I have determined, in accordance with subsection 10(d) of Pub. L. 92-463, that, should such sessions be required, it is necessary to close these sessions to protect proprietary information (5 U.S.C. 552b(c)(4)).

At the conclusion of these presentations, the Review Group may caucus to determine whether the matters identified have been adequately covered. The Chairman of the Review Group is empowered to conduct the meeting in a manner that, in his judgment, will facilitate the orderly conduct of business, including provisions to carry over an incompleted session from one day to the next.

The Risk Assesment Review Group is an independent group established by the NRC (42 FR 34955) for the purpose of providing advice and information to the Commission regarding the final report of the Reactor Safety Study, WASH 1400 (NUREG-75/014), and the peer comments on the study, advice and recommendations on developments in the field of risk assessment methodology and courses of action which might be taken on future development and use of risk assessment methodology. This advice and information will assist the Commission in establishing policy regarding the use of risk assessment in the regulatory process. It will also clarify the achievements and limitations of the Reactor Safety Study. The Review Group will submit a report to the Commission on or before December 31, 1977. In carrying out these assignments, it is anticipated that a number of working sessions will be scheduled at different locations, with notification to the public well in advance of each meeting.

With respect to public participation in the meeting, the following requirements shall apply:

(a) Persons wishing to submit written statements regarding the agenda may do so by providing 10 readily reproducible copies to the Review Group at the beginning of the meeting. Comments should be limited to areas within the Group's purview.

Persons desiring to mail written comments may do so by sending a readily reproducible copy thereof in time for consideration at this meeting. Comments postmarked no later than September 28, 1977, to Dr. John H. Austin, Office of Policy Evaluation, NRC, Washington, D.C. 20555, will normally be received in time to be considered at this meeting. Comments should pertain to the field of risk assessment methodology or should be based on the final report of the Reactor Safety Study, copies of which are available for public inspection at:

1. The NRC Public Document Room, 1717 H Street NW., Washington, D.C. 20555.

2. The NRC's five Regional Offices of Inspection and Enforcement:

Region I: 631 Park Avenue, King of Prussia Pa. 19406.
Region II: Suite 1217, 230 Peachtree Street, Atlanta, Ga. 30303
Region III: 799 Roosevelt Road, Glen Ellyn, Ill. 60137.
Region IV: Suite 1000, 611 Ryan Plaza Drive, Arlington, Tex. 76012.
Region V: Suite 202, 1990 North California Boulevard, Walnut Creek, Calif. 94596.

Copies of the Final Report may be obtained from:

U.S. Nuclear Regulatory Commission, Office of Nuclear Regulatory Research, Probabilistic Analysis Branch. Attn: Melea S. Fogle (telephone 301-492-8377), 7735 Old Georgetown Road, Bethesda, Md. 20014.

(b) Persons desiring to make an oral statement at the meeting should make a request to do so prior to the meeting, identifying the topics and desired presentation time so that appropriate arrangement can be made. The time allotted for such statements will be at the discretion of the Chairman. The Review Group will receive oral statements on topics relevant to its purview at an appropriate time chosen by the Chairman.

(c) Further information regarding topics to be discussed, whether the meeting has been cancelled or rescheduled, the Chairman's ruling on requests for the opportunity to present oral statements and the time allotted therefor can be obtained by a prepaid telephone call on October 4, 1977, to the Office of Policy Evaluation (telephone 202-254-5184, Attn: John Austin) between 8:15 a.m. and 5 p.m., EDT.

(d) Questions may be asked only by members of the Review Group.

(e) Statements of views or expressions of opinion made by members of the Review Group at open meetings are not intended to represent final determinations or beliefs.

(f) The use of still, motion picture, and television cameras, the physical installation and presence of which will not interfere with the conduct of the meeting, will be permitted both before and after the meeting and during any recess. The use of such equipment will not, however, be allowed while the meeting is in session.

(g) A copy of the minutes of the meeting will be available for inspection on or after December 5, 1977, at the NRC Public Document Room, 1717 H Street NW., Washington, D.C. 20555.

Copies may be obtained upon payment of appropriate charges.

Dated: September 16, 1977.

JOHN C. HOYLE,
Advisory Committee Management Officer.

[FR Doc.77-27371 Filed 9-16-77;11:50 am]

POSTAL RATE COMMISSION

[Docket No. MC76-3]

MAIL CLASSIFICATION SCHEDULE, 1976

Notice of Oral Argument on the Commission's Tentative Decision Concerning Proposals for Varying Discounts on Presorted Third-Class Bulk Mail

SEPTEMBER 13, 1977.

The Commission will hold oral argument with respect to the exceptions filed by Direct Mail/Marketing Association, Inc. (DMMA)[1] in the above-captioned matter on September 29, 1977. The time for argument has been allocated as follows:

DMMA—30 minutes (may reserve 10 minutes for rebuttal).

Postal Service—15 minutes.

OOC—15 minutes.

Oral argument will begin at 9:30 a.m. in the Commission's hearing room.

DAVID F. HARRIS,
Secretary.

[FR Doc.77-27148 Filed 9-16-77;8:45 am]

[1] Associated Third Class Mail Users (ATCMU) and Mail Advertising Association (International), Inc. (MASA) also filed exceptions but did not request oral argument.

SECURITIES AND EXCHANGE COMMISSION

[Rel. No. 34-13941; File No. SR-BSE-77-3]

BOSTON STOCK EXCHANGE

Proposed Constitutional Change

Pursuant to section 19(b)(1) of the Securities Exchange Act of 1934, 15 U.S.C. 78s(b)(1), as amended by Pub. L. No. 94-29, 16 (June 4, 1975), notice is hereby given that on September 1, 1977, the above-mentioned self-regulatory organization filed with the Securities and Exchange Commission a proposed constitutional change as follows:

Article XII, Section 2. Failure to Pay. Strike present Section 2 and substitute the following:

Section 2. Any member who shall neglect to pay any dues, fees, fines or any assessment or other sum due to the Exchange or any of its subsidiaries for thirty days after the same shall become payable, shall be reported to the Board of Governors, and after ten days written notice to such delinquent, may be suspended until payment is made. Should such delinquency continue for ninety days after such payment is due, the Board of Governors may expel the member and may dispose of the membership forthwith in such manner as it may determine.

STATEMENT OF BASIS AND PURPOSES

PURPOSE OF THE PROPOSED CONSTITUTIONAL CHANGE

The purpose of the constitutional change was to reduce the time in which the Exchange could take action for nonpayment of dues, fees, fines or assessments.

BASES OF THE PROPOSED CONSTITUTIONAL CHANGE

(i) Not applicable.
(ii) Not applicable.
(iii) Not applicable.
(iv) Not applicable.
(v) Not applicable.
(vi) Not applicable.
(vii) Not applicable.

COMMENTS RECEIVED FROM MEMBERS, PARTICIPANTS, OR OTHERS ON PROPOSED CONSTITUTIONAL CHANGE

The change was submitted to a vote of the membership and no comments or objections were received.

BURDEN ON COMPETITION

No burden on competition is perceived by adoption of the proposed amendment.

By October 24, 1977, or within such longer period (i) as the Commission may designate up to 90 days of such date, if it finds such longer period to be appropriate and published its reasons for so finding, or (ii) as to which the above-mentioned self-regulatory organization consents, the Commission will:

(A) By order approve such proposed constitutional changes, or

(B) Institute proceedings to determine whether the proposed constitutional changes should be disapproved.

Interested persons are invited to submit written data, views, and arguments concerning the foregoing. Persons desiring to make written submissions should file six copies thereof with the Secretary of the Commission, Washington, D.C. 20549. Copies of the filing with respect to the foregoing and of all written submissions will be available for inspection and copying in the Public Reference Room, 1100 L Street NW., Washington, D.C. Copies of such filing will also be available for inspection and copying at the principal office of the above-mentioned self-regulatory organization. All submissions should refer to the file number referenced in the caption above and should be submitted by October 10, 1977.

For the Commission by the Division of Market Regulation, pursuant to delegated authority.

GEORGE A. FITZSIMMONS,
Secretary.

SEPTEMBER 8, 1977.

[FR Doc.77-27094 Filed 9-16-77;8:45 am]

[Rel. No. 20169]

CENTRAL POWER AND LIGHT CO.

Proposed Agreements With State Authority for Construction of Pollution Control Equipment; Request for Exception From Competitive Bidding

SEPTEMBER 12, 1977.

In the matter of Central Power and Light Co., 120 North Chaparral Street, Corpus Christi, Tex. 78403. (70-6046).

Notice is hereby given that Central Power and Light Co. ("CP&L"), an electric utility subsidiary of Central and South West Corp., a registered holding company, has filed an application-declaration and an amendment thereto with this Commission pursuant to the Public Utility Holding Company Act of 1935 ("Act"), designating sections 6(a), 7, 9(a), 10, and 12(d) of the Act and Rules 44(b)(3) and 50 promulgated thereunder as applicable to the proposed transaction. All interested persons are referred to the application-declaration, which is summarized below, for a complete statement of the proposed transaction.

CP&L states that it has begun construction of its first coal-fired generating unit, Coleto Creek Unit No. 1 (the "Unit"). The Unit is scheduled for completion in mid-1980, will have a rated capacity of 550 megawatts and is expected to cost approximately $249,746,000. CP&L states that in order to comply with applicable state and federal environmental control standards with respect to the Unit, it is necessary to construct, as part of the Unit, certain facilities for the control or abatement of air and water pollution ("Facilities"). CP&L proposes to enter into two Installment Sale Agreements ("Agreements") with the Guadalupe-Branco River Authority ("Authority"), an instrumentality of the State of Texas, pursuant to which the Authority would undertake the financing of the Facilities. The Agreements provide that CP&L will transfer to the Authority its interest in the Facilities and that the Authority will own the Facilities during the period of construction. CP&L states that, as agent for the Authority, it will provide for the completion of the Facilities and will be reimbursed by the Authority for the costs incurred. Title to the Facilities will be reconveyed to CP&L, pursuant to the Agreements, upon completion of construction.

CP&L states that the acquisition and construction of the Facilities will be financed through the issuance by the Authority of up to $39,000,000 of its Pollution Control Revenue Bonds, 1977 Series and of up to $1,000,000 of its Pollution Control Revenue Bonds, 1977 Series A (collectively, the "Bonds"). The 1977 Series A Bonds will be issued under provisions of the Internal Revenue Code for so-called "small issues" of industrial development revenue bonds and the proceeds thereof will go to finance items that might not qualify under the Code as pollution control facilities. Each issue of the Bonds will be sold pursuant to a separate Bond Purchase Agreement and will be issued pursuant to a separate Trust Indenture entered into concurrently with a separate Installment Sale Agreement between CP&L and the Authority. The corporate trustee ("Trustee") will be selected in consultation with CP&L. The 1977 Series A Bonds will be secured by a lien on the facilities financed thereby subordinate to the lien of CP&L's first mortgage indenture. In all other respects the terms of each issue and of the corresponding documents for each issue will be substantially the same.

The Bonds will be dated on or about the first day of the month in which they are issued (presently scheduled for November 1977). They will bear interest semiannually and will mature at a date or dates not more than 30 years from their date of issuance, with the exact date or dates of maturity to be determined at the time of pricing.

All of the Bonds, or such portion of the Bonds maturing more than 10 years after the date of issuance, will be redeemable in whole on any date or, in part, on any interest payment date on or after a date to be 10 years from the date of issuance, at CP&L's option, at redemption prices (plus accrued interesrt) beginning at 103 percent of principal amount and declining by 0.5 percent each year thereafter to par for redemption on or after a date 16 years from the date of issuance. The Bonds will be redeemable at any time in whole, at CP&L's option, at par value plus accrued interest upon the occurrence of various extraordinary events specified in the Agreements and the Indentures and will be subject to mandatory redemption at par plus accrued interest if the agreement becomes void, unenforceable or impossible of performance. All or a portion of the Bonds maturing more than ten years after the date of issuance will be subject to mandatory redemption in satisfaction of sinking fund provisions

under the Indentures. The sinking fund provisions will be designed to retire in less than 30 years at least 25 percent principal amount of the Bonds. CP&L states that its Bond counsel is prepared to render its legal opinion that interest on the Bonds will be free from federal taxation and that, while it is not possible to ascertain in advance the interest rate which may be obtained in connection with the issuance of the Bonds, similar tax-exempt bonds generally carry an annual interest rate which is significantly lower than that of comparable taxable bonds.

The proceeds from the sale of the Bonds will (except as otherwise required under the Indenture) be placed in Escrow Funds created under the Indentures and will be disbursed to reimburse CP&L for (i) the cost of acquisition and construction of the Facility; (ii) the Trustee's expenses, and (iii) the Authority's Bond-related administrative and overhead costs. CP&L states that in the event that amounts in the Escrow Funds are insufficient to cover such disbursements, it is obligated under the Agreement to complete construction of the Facilities at its own expense.

The Agreements contains an unsecured commitment by CP&L to pay to the Trustee(s) on behalf of the Authority, in payment of the purchase price for the Facilities, amounts sufficient to enable the Authority to service the Bonds, such amounts to be held under the Indentures in Debt Service Funds.

It is contemplated that the Bonds will be sold by the Authority pursuant to arrangements between it and Blyth Eastman Dillon & Co., Incorporated and E. F. Hutton & Co., Inc., as underwriters. Although CP&L will not be a party to the underwriting arrangements for the Bonds, the Bond Purchase Agreements are subject to its approval.

CP&L requests that the Agreements and the Bond Purchase Agreements be excepted from the competitive bidding requirements of Rule 50 pursuant to subsection (a)(5) of said Rule.

It is stated that fees and expenses to be incurred by CP&L in connection with the proposed transaction will be supplied by amendment. It is stated that no state commission and no federal commission, other than this Commission, has jurisdiction over the proposed transaction.

Notice is further given that any interested person may, not later than October 4, 1977, request in writing that a hearing be held on such matter, stating the nature of his interest, the reasons for such request, and the issues of fact or law raised by said application-declaration, as amended, which he desires to controvert; or he may request that he be notified if the Commission should order a hearing thereon. Any such request should be addressed: Secretary, Securities and Exchange Commission, Washington, D.C. 20549. A copy of such request should be served personally or by mail upon the applicant-declarant at the above-stated address, and proof of service (by affidavit or, in case of an attorney at law, by certificate) should be filed with the request. At any time after said date, the application-declaration, as amended, or as it may be further amended, may be granted and permitted to become effective as provided in Rule 23 of the General Rules and Regulations promulgated under the Act, or the Commission may grant exemption from such rules as provided in Rules 20(a) and 100 thereof or take such other action as it may deem appropriate. Persons who request a hearing or advice as to whether a hearing is ordered will receive any notices and orders issued in this matter, including the date of the hearing (if ordered) and any postponements thereof.

For the Commission, by the Division of Corporate Regulation, pursuant to delegated authority.

GEORGE A. FITZSIMMONS,
Secretary.

[FR Doc.77-27095 Filed 9-16-77;8:45 am]

[Rel. No. 34-13940; File No. SR-MSE-77-33]

MIDWEST STOCK EXCHANGE, INC.

Proposed Rule Change

Pursuant to section 19(b)(1) of the Securitis Exchange Act of 1934, 15 U.S.C. 78s(b)(1), as amended by Pub. L. No. 94-29, 16 (June 4, 1975), notice is hereby given that on September 6, 1977, the above mentioned self-regulatory organization filed with the Securities and Exchange Commission a proposed rule change as follows:

STATEMENT OF THE TERMS OF SUBSTANCE OF THE PROPOSED RULE CHANGE

Rules 6 and 10 of Article XXXI are hereby amended as shown below. (The paragraph that is deleted from Rule 6 which relates to Midwest Stock Exchange, Inc., exclusive issues is reworded and added to Rule 10 as Provision (a). The paragraph that remains in Rule 6 relates to both exclusive (local) and dually traded issues. Price provisions, which differ for exclusive and dually traded issues, are hereby placed in Rule 10. (additions italicized, deletions [bracketed]).

ARTICLE XXXI

Dealer Required to Purchase All Odd Lots Offered Rule 6. In any security in which he or it is registered as such, an odd-lot dealer shall be required to purchase all odd lots offered to him or it by any member or member organization of the Exchange and he or it shall be required to sell to any member or member organization of the Exchange any odd lots bid for by such member or member organization. [upon the terms hereinafter stated.

Sales of odd lots by an odd-lot dealer shall be at a price equivalent to the next full-lot regular sale made on the Exchange, after the order is recieved by the odd-lot dealer, plus the designated odd-lot differential. Purchases of odd lots by an odd-lot dealer shall be at a price equivalent to the next full-lot regular sale made on the Exchange, after the order is received by the odd-lot dealer, minus the designated odd-lot differential.]

["Last Proper Sale"] *Execution of Odd Lot Orders* Rule 10. *(a) MSE Exclusive Issues. Odd-lot market orders in MSE exclusive issues will be executed at a price equivalent to the next full-lot regular sale made on the Exchange, after the order is received by the odd-lot dealer plus or minus, if any differential is charged, the amount of such differential.*

(b) Dually Traded Issues. As to certain stocks dually traded on this Exchange and on another national securities exchange in the State of New York and which stocks have been designated as being in the dual trading system, *market* orders will be accepted for execution as an odd lot based on the last New York *exchange* sale in effect at the time the order is presented at the specialist post, provided the order is for a number of shares less than the full lot in said stock.

(i) An odd lot as part of an order involving a round lot, shall be filled on the basis of the full lot price whether such full lot is executed on Midwest or on another exchange; in such case the order shall be marked "on sale".

(ii) Odd lot *market* orders which are entered during trading halt situations will be executed based on the reopening price in the New York *exchange* market.

(iii) A member firm may, at its discretion direct the execution of an odd lot *market* order in a dually traded issue on the basis of the last round lot sale on Midwest provided that a more current effective New York *exchange* sale is not in effect at the time the order is presented at the specialist post.

(c) General. A market order shall be executed at the proper full lot transaction, plus or minus a differential, if one is to be charged, except where an order exceeds a full lot or a multiple of a full lot, such excess portion shall be filled on the execution of the first full lot.

(i) Stop orders to buy shall become market orders when a round-lot transaction takes place at or above the stop price. The order shall be filled at the price of the next transaction plus an odd-lot differential if one is to be charged.

(ii) Stop orders to sell shall become market orders when a round lot transaction takes place at or below the stop price. The order shall be filled at the price of the next transaction minus an odd-lot differential if one is to be charged.

(iii) Odd-lot transactions shall not be made on "seller" or "cash" trades.

STATEMENT OF BASIS AND PURPOSE

The basis and purpose of the foregoing proposed rules changes is as follows:

The purpose of the proposed rules changes is to clarify existing Exchange procedures regarding the execution of odd lot orders in dually traded and exclusive Midwest Stock Exchange, Incorporated issues. Wording has been eliminated which related to the time when fixed commission rates were in existence.

Clarification of the Midwest Stock Exchange, Inc.'s odd lot pricing procedures

is necessary for the facilitation of transactions in odd lots on the Exchange.

Therefore, the proposed rules changes promote just and equitable principles of trade and remove impediments to the perfection of the mechanism of a free and open market.

Comments have neither been solicited nor received.

The Midwest Stock Exchange, Inc., believes that no burdens have been placed on competition.

By October 24, 1977, or within such longer period (i) as the Commission may designate up to 90 days of such date if it finds such longer period to be appropriate and publishes its reasons for so finding or (ii) as to which the abovementioned self-regulatory organization consents, the Commission will:

(A) By order approve such proposed rule change, or

(B) Institute proceedings to determine whether the proposed rule change should be disapproved.

Interested persons are invited to submit written data, views, and arguments concerning the foregoing. Persons desiring to make written submissions should file 6 copies thereof with the Secretary of the Commission, Securities and Exchange Commission, Washington, D.C. 20549. Copies of the filing with respect to the foregoing and of all written submissions will be available for inspection and copying in the Public Reference Room, 1100 L Street NW., Washington, D.C. Copies of such filing will also be available for inspection and copying at the principal office of the above-mentioned self-regulatory organization. All submissions should refer to the file number referenced in the caption above and should be submitted by October 10, 1977.

For the Commission by the Division of Market Regulation, pursuant to delegated authority.

GEORGE A. FITZSIMMONS,
Secretary.

SEPTEMBER 8, 1977.

[FR Doc.77-27096 Filed 9-16-77;8:45 am]

SMALL BUSINESS ADMINISTRATION

[License No. 06/12-0023]

FIRST SOUTHERN CAPITAL CORP.

Approval of the Transfer of Control of a Small Business Investment Company

On August 23, 1977, a notice was published in the FEDERAL REGISTER (42 FR 42421) stating that First Southern Capital Corp., Suite 1216 Commerce Building, 821 Gravier Street, New Orleans, La. 70112, had filed an aplication with the Small Business Administration (SBA), pursuant to § 107.701 of the SBA rules and regulations governing small buiness investment companies (13 CFR Section 107.701 (1977)), for the transfer of control of this company to Crabtree Investments, Inc.

Interested parties were given to the close of business September 2, 1977, to submit their written comments to SBA.

Notice is hereby given that, having considered the application and all other data, SBA approved this application for transfer of control effective September 7, 1977.

(Catalog of Federal Domestic Assistance Program No. 59.011, Small Business Investment Companies.)

Dated: September 12, 1977.

PETER F. MCNEISH,
Deputy Associate Administrator, for Investment.

[FR Doc.77-27082 Filed 9-16-77;8:45 am]

MINNEAPOLIS DISTRICT ADVISORY COUNCIL

Public Meeting

The Small Business Administration Minneapolis District Advisory Council will hold a public meeting from 10 a.m. until 2 p.m., Thursday, October 20, 1977, at the College of St. Thomas, St. Paul, Minn., to discuss such matters as may be presented by members, staff of the Small Business Administration, or others present. For further information, write or call Paul W. Jansen, District Director, U.S. Small Business Administration, Plymouth Building, Room 530, 12 South Sixth Street, Minneapolis, Minn. 55402 (612-725-2928).

Dated: September 13, 1977.

(Ms.) K. DREW,
Deputy Advocate for Advisory Councils.

[FR Doc.77-27083 Filed 9-16-77;8:45 am]

[Application No. 04/04-5133]

SOUTHERN INVESTMENT AND FUNDING CORP., INC.

Application for a License To Operate as a Small Business Investment Company

An application for a license to operate as a small business investment company under Section 301(d) of the Small Business Investment Act of 1958, as amended (Act) (15 U.S.C. 661 et seq.) has been filed by Southern Investment and Funding Corp., Inc. (Applicant) with the Small Business Administration (SBA) pursuant to 13 CFR 107.102 (1977).

The officers and directors are as follows:

George Eng, 72 Blackland Road, Atlanta, Ga. 30342; President, Director, and Sole Stockholder.

Anne C. Eng, 72 Blackland Road, Atlanta, Ga. 30342; Vice Presideent and Director.

Donald Eng, 300 Peachtreee Street, Atlanta, Ga. 30308; Secretary/Treasurer, Director.

The applicant will maintain offices at 300 West Peachtree Street, Atlanta, Ga. 30308, and will begin operations with paid-in capital and paid-in surplus of $500,000 derived from the sale of 1,000 shares of common stock at $500 per share to Mr. George Eng, an owner of Eng's Gourmet Restaurant.

As a small business investment company under Section 301(d) of the Act, the applicant has been organized and chartered solely for the purpose of performing the functions and conducting the activities contemplated under the Act, as amended, from time to time, and will provide assistance solely to small business concerns which will contribute to a well-balanced national economy by facilitating ownership in such concerns by persons whose participation in the free enterprise system is hampered because of social or economic disadvantages.

Matters involved in SBA's consideration of the Applicant include the general business reputation and character of the proposed owner and manager and the probability of successful operations of the Applicant under this management, including adequate profitability and financial soundness, in accordance with the Act and SBA Rules and Regulations.

Any person may, not later than October 4, 1977, submit to SBA written comments on the proposed Applicant. Any such communication should be addressed to the Deputy Associate Administrator for Investment, 1441 L Street NW., Washington, D.C. 20416.

A copy of this notice shall be published in a newspaper of general circulation in Atlanta, Ga.

(Catalog of Federal Domestic Assistance Program No. 59.011 Small Business Investment Companies.)

Dated: September 12, 1977.

PETER F. MCNEISH,
Deputy Associate Administrator for Investment.

[FR Doc.77-27084 Filed 9-16-77;8:45 am]

[Proposed License No. 06/06-0193]

FIRST BANCORP CAPITAL, INC.

Application for a License To Operate as a Small Business Investment Company

Notice is hereby given that an application has been filed with the Small Business Administration (SBA) pursuant to Section 107.102 of the Regulations governing small business investment companies (13 CFR 107.102 (1977)), under the name of First Bancorp Capital, Inc., 100 North Main Street, Corsicana, Tex. 75110, for a license to operate as a small business investment company (SBIC) under the provisions of the Small Business Investment Act of 1958, as amended (the Act), and the Rules and Regulations promulgated thereunder.

The proposed officers, directors and shareholders are as follows:

William D. Wyatt, Jr., 1444 Oaklawn Drive, Corsicana, Tex. 75110; Chairman of the Board.

Oliver L. Albritton, Jr., 1218 West Park Avenue, Corsicana, Tex. 75110; President, Director.

Albert M. Harral, 808 Ambassador, Corsicana, Tex. 75110; Vice President, Director.

Gordon R. Lewis, 1712 Nrth 26th, Corsicana, Tex. 75110; Secretary, Treasurer, Director.

James K. Ward, 1101 Mockingbird, Ennis, Tex. 75119; Director.

First National Bank, Corsicana, Tex.; 72.28 percent.

Citizens National Bank, 303 West Knox, Ennis, Tex. 75119; 27.72 percent.

The only class of authorized capital stock is common stock. No share of common stock will be entitled to preference over any other share, and each share will be equal to every other share in all respects. Holders of the common stock will be entitled to one vote for each share held of record at every meeting of shareholders.

Both of the above banking institutions are subsidiaries of First Bancorp, Inc., a bank holding company located in Corsicana, Tex. The holding company operation consists of eight banks, one insurance company, one mortgage company and trust activities. As of August 5, 1977, First Bancorp, Inc. had approximately 800 shareholders.

The Applicant Licensee proposes to commence operations with private capital of $505,000. Applicant proposes to conduct its operations principally in the State of Texas and primarily in the seven county market area including Navarro, Hill, Henderson, Dallas, Kaufman, Ellis, and Freestone Counties. The Applicant intends to establish a broad investment policy and does not intend to use the services of an investment advisor.

Matters involved in SBA's consideration of the application include the general business reputation and character of shareholders and management, and the probability of successful operation of the new company in accordance with the Act and Regulations.

Notice is further given that any interested person may, not later than October 4, 1977, submit to SBA, in writing, comments on the proposed licensing of this company. Any such communications should be addressed to: Associate Administrator for Finance and Investment, Small Business Administration, 1441 L Street NW., Washington, D.C. 20416.

A copy of this notice shall be published by the Applicant in a newspaper of general circulation in Corsicana, Tex., and Ennis, Tex.

(Catalog of Federal Domestic Assistance Program No. 59.011, Small Business Investment Companies.)

Dated: September 12, 1977.

PETER F. MCNEISH,
Deputy Associate Administrator for Investment.

[FR Doc.77-27085 Filed 9-16-77;8:45 am]

DEPARTMENT OF STATE

Agency for International Development

[Redelegation of Authority No. 131-1]

ASSOCIATE ASSISTANT ADMINISTRATOR FOR TITLE XII AND RESEARCH

Redelegation of Authority Regarding Small Research Projects

Pursuant to the authority delegated to me by the Administrator of the Agency for International Development in Delegation of Authority No. 86, dated September 19, 1969, (34 FR 13585), as amended; in the action memorandum dated November 12, 1975 on the Small Research Project Program; and in 41 CFR 7-4.5301, I hereby redelegate to the Associate Assistant Administrator for Title XII and Research, Technical Assistance Bureau, the authority to:

1. Approve activities under the Small Research Project Program up to the level of $35,000 for each activity and $600,000 annually for the total program;
2. Make the determination in the case of an unsolicited proposal that such proposal is a product of original thinking, has significant scientific and technical merit, and contributes to AID's research program as is required under AID Procurement Regulations Section 7-4.5301 (e).

The authorities contained in 1. and 2. above do not include the authority to sign grants.

Actions heretofore taken by the Associate Assistant Administrator are hereby ratified and confirmed.

These authorities may not be redelegated.

This redelegation shall become effective immediately.

Dated: August 18, 1977.

CURTIS FARRAR,
Assistant Administrator for Technical Assistance.

[FR Doc.77-27067 Filed 9-16-77;8:45 am]

BOARD FOR INTERNATIONAL FOOD AND AGRICULTURAL DEVELOPMENT

Meeting

Pursuant to Executive Order 11769 and the provisions of Section 10(a), (2), P.L. 92-463, Federal Advisory Committee Act, notice is hereby given of the twelfth meeting of the Board for International Food and Agricultural Development on October 11, 1977.

The purpose of this meeting is: to meet with the Board's subordinate units, the Joint Research Committee and the Joint Committee on Agricultural Development, to review the activities of these units and receive progress reports on research and program activities relevant to A.I.D.'s Title XII objectives; to consider additional priority areas for possible collaborative research projects; and to receive progress reports on prior Board-requested actions, including actions taken to strengthen American universities' capabilities in agricultural development and research and the expansion of the roster of American universities eligible to participate in Title XII programs.

The meeting will begin at 8:30 a.m. and will adjourn at 4:30 p.m. It will be held in Room 1107 in the State Department Building. The meeting is open to the public. Any interested person may attend, may file written statements with the Committee before or after the meeting, or may present oral statements in accordance with procedures established by the Committee, and to the extent the time available for the meeting permits.

Dr. Erven J. Long, Associate Assistant Administrator, Technical Assistance Bureau, is designated Federal Officer at the meeting. It is suggested that those desiring further information write to him in care of the Agency for International Development, State Department, Washington, D.C. 20523, or telephone him at 703-235-9001.

Dated: September 8, 1977.

ERVEN J. LONG,
Federal Officer, Board for International Food and Agricultural Development.

[FR Doc.77-27066 Filed 9-16-77;8:45 am]

DEPARTMENT OF TRANSPORTATION

Federal Aviation Administration

FLIGHT STANDARDS DISTRICT OFFICE NO. 63 AT YPSILANTI, MICHIGAN

Change in Function

Notice is hereby given that on or about October 1, 1977, the Ypsilanti, Michigan, Flight Standards District Office (FSDO) will be closed and its functions will be performed by two new offices located at Willow Run Airport, Ypsilanti, Michigan. Services to the general aviation public will be provided by General Aviation District Office (GADO No. 20) and air carrier services will be provided by Air Carrier District Office (ACDO No. 35). This information will be reflected in the next issuance of the FAA Organization Statement.

(Sec. 313(a), 72 Stat. 752; 49 U.S.C. 1354.)

Issued in Des Plaines, Illinois, on September 8, 1977.

JOHN M. CYROCKI,
Director, Great Lakes Region.

[FR Doc.77-26997 Filed 9-16-77;8:45 am]

DEPARTMENT OF THE TREASURY

Internal Revenue Service

[Commissioner's Delegation Order No. 66 (Rev. 5); Chief Counsel's Order No. 1031.2]

ASSISTANT REGIONAL COMMISSIONERS

Delegation of Authority

AGENCY: Internal Revenue Service.

ACTION: Delegation of authority.

SUMMARY: The authority of the Commissioner of Internal Revenue to determine liability in docketed and nondocketed cases is being delegated to the Assistant Regional Commissioners (Employee Plans and Exempt Organizations). The text of the delegation order appears below.

EFFECTIVE DATE: October 17, 1977.

FOR FURTHER INFORMATION CONTACT:

Mr. B. Larson, E:EO:O, 1111 Constitution Avenue, NW., Room 2215, Washington, D.C. 20224 (202-566-6489), not toll free.

JOHN J. MONACO,
Acting Chief, Exempt Organizations Operations Branch.

Date of issue: September 14, 1977.

Effective date: October 17, 1977.

AUTHORITIES OF REGIONAL APPELLATE DIVISION AND REGIONAL EMPLOYEE PLANS AND EXEMPT ORGANIZATIONS DIVISION IN PROTESTED AND TAX COURT CASES

The authority vested in the Commissioner of Internal Revenue by 26 CFR 301.6020-1, 26 CFR 301.6201-1, 26 CFR 301.7701-9 and Treasury Department Order No. 150-37, is hereby delegated as follows:

1. (a) In each region the Regional Commissioner is authorized to represent the Commissioner in determining liability or qualification for the following types of cases not docketed in the U.S. Tax Court when the taxpayer does not agree with the determination made by the office of a District Director or by the office of the Director of International Operations and requests consideration by the Regional Appellate Division or the Regional Employee Plans and Exempt Organizations Division, or both:

(1) Except as excluded under paragraph 5 of this Order, liability for excise, employment, income, profits, estate and gift taxes; and

(2) Initial or continuing qualification under Subchapter D of Chapter 1 of the Internal Revenue Code of 1954.

(b) Except as provided in subparagraph (c), in each region the Assistant Regional Commissioner (Appellate), is authorized and each Chief and Assistant Chief, Appellate Branch Office, is authorized to represent the Regional Commissioner in the determination of tax liability in any such case.

(c) In each region the Assistant Regional Commissioner (Employee Plans and Exempt Organizations) is authorized and the Executive Assistant to such Assistant Regional Commissioner is authorized to represent the Regional Commissioner in each case under the Internal Revenue Code of 1954 (and related statutory provisions) not docketed in the Tax Court:

(1) In which a taxpayer does not agree to the determination of liability for Chapter 42 or Chapter 43 excise taxes and certain income taxes as applicable to taxable private foundations, and section 4947 trusts, and under Code sections 507(c), 511, 527(f), or 1381(a)(1), made by the office of a Key District Director of Internal Revenue for Employee Plans and Exempt Organizations matters and requests consideration by the Regional Employee Plans and Exempt Organizations Division.

(2) In which an applicant does not agree to the determination of initial or continuing qualification under Subchapter D of Chapter 1 made by the office of a Key District Director of Internal Revenue for Employee Plans and Exempt Organizations matters and requests consideration by the Regional Employee Plans and Exempt Organizations Division.

(d) The authorities delegated in this paragraph are subject to the exceptions set forth in paragraph 3 of this Order and they may not be redelegated.

2. (a) In conformity with the provisions of Delegation Order No. 60 (as revised), except as provided in subparagraph (b) following, in each income, excise, profits, estate, and gift tax case docketed in the United States Tax Court, the Assistant Regional Commissioner (Appellate) is authorized and each Chief and Assistant Chief, Appellate Branch Office, is authorized to perform those functions delegated to the Regional Commissioner in that joint Order.

(b) With respect to cases docketed in the Tax Court under the Internal Revenue Code of 1954 and related statutory provisions, in each Chapter 42 or Chapter 43 excise tax case and in certain income tax cases, as applicable to taxable private foundations, and to section 4947 trusts, Code sections 507(c), 511, 527(f), or 1381(a)(1), and in each retirement plan qualification case under Subchapter D of Chapter 1, in each region the Assistant Regional Commissioner (Employee Plans and Exempt Organizations), is authorized and the Executive Assistant to such Assistant Regional Commissioner is authorized to perform those functions vested in the Regional Commissioner by Commissioner's Delegation Order No. 60 (as revised).

(c) The authorities delegated in this paragraph are subject to the exceptions set forth in paragraph 3 of this Order and may not be redelegated.

3. The authorities delegated to the Regional Commissioner do not include authority to:

(a) Eliminate the ad valorem fraud penalty in any case in which the penalty has been determined by the district office or service center office in connection with a tax year or period, or which is related to or affects such year or period, for which criminal prosecution against the taxpayer (or related taxpayer involving the same transaction) has been recommended to the Department of Justice for willful attempt to evade or defeat tax, or for willful failure to file a return, except upon the recommendation or concurrence of Counsel; or

(b) Act in any case in which a recommendation for criminal prosecution is pending, except with the concurrence of Counsel.

4. In any case not docketed in the Tax Court in which a statutory notice was issued by the Office of a District Director or by the Director of International Operations, the Assistant Regional Commissioner (Appellate) or the Assistant Regional Commissioner (Employee Plans and Exempt Organizations), as appropriate, may relinquish the requested jurisdiction by waiver to the office of that Director. No such waiver shall be made in any case in which criminal prosecution has been recommended and not finally disposed of; nor in any case in which the determination in the statutory notice includes the ad valorem fraud penalty. Notwithstanding any such waiver, upon filing of a petition with the Tax Court, jurisdiction shall revest in the Appellate Division and or the Employee Plans and Exempt Organizations Division, as appropriate.

5. The excise and employment taxes subject to the provisions of this Order include any Federal excise or employment tax under the Internal Revenue Code of 1954, except any tax imposed by the following provisions or corresponding provisions of the Internal Revenue Code of 1939:

(a) Subtitle E; or

(b) Subchapter D, Chapter 78 of Subtitle F, insofar as it relates to alcohol and tobacco.

6. The authority to make and subscribe to a return under provisions of Code section 6020 is delegated to Appellate Appeals Officers and/or Employee Plans and Exempt Organizations Conferees, as appropriate.

7. While certain officials are delegated authority under subparagraphs 1(c) and 2(b) broad enough to determine all matters affecting proposed liabilities arising from application of the Code provisions referred to in those subparagraphs, it is contemplated that such officials will not usually determine substantial unrelated matters affecting tax liability but arising independently of the application of the said Code provisions. If, pursuant to this Order, two officials of different functions have determined separate matters in the same or a related case, either official may sign notices required by statute giving effect to the determinations of both.

8. The authorities contained in this Order are intended to supplement the authorities contained in Delegation Order No. 60 (as revised).

9. The authority delegated under this Order to Employee Plans and Exempt Organizations officials will apply only to those cases where a preliminary notice (30-day letter), notice of intent to disqualify, or proposed notice of revocation or modification is issued on or after the effective date of this Order. Regional Appellate Division officials will continue to determine the disposition of cases in which such notices were issued before such effective date.

10. This Order supersedes Commissioner's Delegation Order No. 66 (Rev. 4), Chief Counsel's Order No. 1958-11 (Rev. 4), isued November 23, 1970. Delegation Order No. 158, issued September 17, 1976, is superseded.

Dated: August 29, 1977.

JEROME KURTZ,
Commissioner.

SEPTEMBER 6, 1977.

STUART E. SEIGEL,
Chief Counsel.

[FR Doc.77-27155 Filed 9-16-77;8:45 am]

[Commissioner's Delegation Order No. 60 (Rev. 1); Chief Counsel's Order No. 1031.1]

REGIONAL COMMISSIONERS

Delegation of Authority

AGENCY: Internal Revenue Service.

ACTION: Delegation of authority.

SUMMARY: The authority of the Commissioner of Internal Revenue and the Chief Counsel for the Internal Revenue

Service to settle cases in the United States Tax Court is being revised to continue the authority of Regional Commissioners to act for the Commissioner without further specifying in that order who will represent the Regional Commissioners. The text of the delegation order appears below.

EFFECTIVE DATE: October 17, 1977.

FOR FURTHER INFORMATION CONTACT:

Mr. B. Larson, E:EO:O, 1111 Constitution Ave., NW., Room 2215, Washington, D.C. 20224, (202-566-6489 (not toll free)).

JOHN J. MONACO,
Acting Chief, Exempt Organizations, Operations Branch.

DATE OF ISSUE: September 14, 1977.

EFFECTIVE DATE: October 17, 1977.

CASES IN THE UNITED STATES TAX COURT

1. With respect to United States Tax Court cases, the authority vested in the Commissioner of Internal Revenue by 26 CFR 301.6020-1, 26 CFR 301.6201-1, 26 CFR 301.7701-9 and Treasury Department Order No. 150-37 is hereby delegated, and pursuant to the authority vested in Chief Counsel for the Internal Revenue Service by General Counsel Legal Division Order No. 4 it is ordered:

(a) *Pre-Session Cases.* Prior to the opening date of the session at which a case is calendared for trial or of any pre-trial hearing of or report session on a case:

(1) Settlement negotiations with the petitioner or petitioner's counsel or both shall be conducted by the Regional Commissioner, with Chief Counsel's delegate (hereinafter referred to as Counsel) invited to participate; and

(2) A settlement or concession of the case in whole or in part, negotiated or made by the Regional Commissioner and concurred in by Counsel will dispose of the case or the issue or issues involved; and

(3) If the Regional Commissioner and Counsel fail to agree (i) as to a proposed settlement or concession or (ii) that there should not be a settlement or concession, the views of each office shall be submitted by Counsel to the Chief Counsel; and the decision of the Chief Counsel in such a case shall be controlling and action shall be taken in accordance with the decision memorandum as to the whole case, or as to the issue or issues on which there was a failure to agree at the regional level.

(b) *Session Cases.* On and after the opening date of the session at which a case is calendared for trial, or of any pre-trial hearing of or report session on a case:

(1) The conclusion of Counsel that the case, in whole or in part, shall be settled, conceded, or tried shall be controlling; and Counsel's decision memorandum on the settlement or concession shall be the basis for disposition of the case, in whole or in part; and

(2) Counsel is authorized to execute stipulations of settlement of an issue or issues or of the whole case, and of concession of the case in whole or in part.

(c) *Continuance of Authority of Counsel.* The authority of Counsel under paragraph (b) shall continue:

(1) During the session at which the case is calendared for trial; or during the pre-trial or report session, as the case may be; and

(2) If a pre-trial or report session is held on the case after notice has been given that the case is calendared for trial; from the opening day of the pre-trial or report session, whichever is earlier, to and during the session for which the case is calendared for trial; and

(3) If a case is continued other than generally from a pre-trial, report, or trial session; during such continuance; and

(4) From the time when any action is taken by Counsel, during any period of time prescribed in (1), (2) and (3) of this paragraph, which modifies a pre-session conclusion of the Regional Commissioner with respect to any issue or issues of the case.

(d) *Reversion to Pre-Session Status.* If a case is continued generally from a pre-trial, report, or trial session without action having been taken by Counsel to modify a pre-session conclusion of the Regional Commissioner with respect to any issue or issues of the case, it shall revert to the status of a pre-session case and paragraph (a) shall apply until paragraph (b) again becomes effective.

(e) *Responsibility of Counsel.* Counsel shall act for the Chief Counsel, and shall be responsible for, and have power of decision in, proceedings before the Court. In the post-trial processing of a case Counsel shall have all the authority stated in subparagraphs (1) and (2) of paragraph (b). Counsel may consult at any time with the Regional Commissioner to the full extent desirable.

2. The authority delegated herein to Regional Commissioners may be redelegated only by specific Commissioner's Delegation Orders. The authority of Chief Counsel's Delegate to redelegate is contained in Chief Counsel's Order No. 1030.1A, issued August 20, 1974.

3. This Order supersedes Commissioner's Delegation Order No. 60, Chief Counsel's Order No. 1958-5, issued April 17, 1958.

Dated: August 29, 1977.

JEROME KURTZ,
Commissioner.

[FR Doc.77-27152 Filed 9-16-77;8:45 am]

Office of the Secretary

[Department Circular Public Debt Series—No. 22-77]

TREASURY NOTES OF SEPTEHBER 30, 1979

Series U-1979

SEPTEMBER 14, 1977.

1. INVITATION FOR TENDERS

1.1 The Secretary of the Treasury, under the authority of the Second Liberty Bond Act, as amended, invites tenders for approximately $3,136,000,000 of United States securities, designated Treasury Notes of September 30, 1979, Series U-1979 (CUSIP No. 912827 HA 3). The securities will be sold at auction with bidding on the basis of yield. Payment will be required at the price equivalent of the bid yield of each accepted tender. The interest rate on the securities and the price equivalent of each accepted bid will be determined in the manner described below. Additional amounts of these securities may be issued to Government accounts and Federal Reserve Banks for their own account in exchange for maturing Treasury securities. Additional amounts may also be issued for cash to Federal Reserve Banks as agents of foreign and international monetary authorities.

2. DESCRIPTION OF SECURITIES

2.1. The securities will be dated September 30, 1977, and will bear interest from that date, payable on a semiannual basis on March 31, 1978, and each subsequent 6 months on September 30 and March 31 until the principal becomes payable. They will mature September 30, 1979, and will not be subject to call for redemption prior to maturity.

2.2. The income derived from the securities is subject to all taxes imposed under the Internal Revenue Code of 1954. The securities are subject to estate, inheritance, gift or other excise taxes, whether Federal or State, but are exempt from all taxation now or hereafter imposed on the principal or interest thereof by any State, any possession of the United States, or any local taxing authority.

2.3. The securities will be acceptable to secure deposits of public monies. They will not be acceptable in payment of taxes.

2.4. Bearer securities with interest coupons attached, and securities registered as to principal and interest, will be issued in denominations of $5,000, $10,000, $100,000, and $1,000,000. Book-entry securities will be available to eligible bidders in multiples of those amounts. Interchanges of securities of different denominations and of coupon, registered and book-entry securities, and the transfer of registered securities will be permitted.

2.5. The Department of the Treasury's general regulations governing United States securities apply to the securities offered in this circular. These general regulations include those currently in effect, as well as those that may be issued at a later date.

3. SALE PROCEDURES

3.1. Tenders will be received at Federal Reserve Banks and Branches and at the Bureau of the Public Debt, Washington, D.C. 20226, up to 1:30 p.m., Eastern Daylight Saving time, Wednesday, September 21, 1977. Noncompetitive tenders as defined below will be con-

sidered timely if postmarked no later than Tuesday, September 20, 1977.

3.2. Each tender must state the face amount of securities bid for. The minimum bid is $5,000 and larger bids must be in multiples of that amount. Competitive tenders must also show the yield desired, expressed in terms of an annual yield with two decimals, e.g., 7.11%. Common fractions may not be used. Noncompetitive tenders must show the term "noncompetitive" on the tender form in lieu of a specified yield. No bidder may submit more than one noncompetitive tender and the amount may not exceed $1,000,000.

3.3. All bidders must certify that they have not made and will not make any agreements for the sale or purchase of any securities of this issue prior to the deadline established in Section 3.1. for receipt of tenders. Those authorized to submit tenders for the account of customers will be required to certify that such tenders are submitted under the same conditions, agreements, and certifications as tenders submitted directly by bidders for their own account.

3.4. Commercial banks, which for this purpose are defined as banks accepting demand deposits, and primary dealers, which for this purpose are defined as dealers who make primary markets in Government securities and report daily to the Federal Reserve Bank of New York their positions in and borrowings on such securities, may submit tenders for account of customers if the names of the customers and the amount for each customer are furnished. Others are only permitted to submit tenders for their own account.

3.5. Tenders will be received without deposit for their own account from commercial banks and other banking institutions; primary dealers, as defined above; Federally-insured savings and loan associations; States, and their political subdivisions or instrumentalities; public pension and retirement and other public funds; international organizations in which the United States holds membership; foreign central banks and foreign states; Federal Reserve Banks; and Government accounts. Tenders from others must be accomplished by a deposit of 5% of the face amount of securities applied for (in the form of cash, maturing Treasury securities or readily collectible checks), or by a guarantee of such deposit by a commercial bank or a primary dealer.

3.6. Immediately after the closing hour, tenders will be opened, followed by a public announcement of the amount and yield range of accepted bids. Subject to the reservations expressed in Section 4, noncompetitive tenders will be accepted in full at the weighted average price (in three decimals) of accepted competitive tenders, and competitive tenders with the lowest yields will be accepted to the extent required to attain the amount offered. Tenders at the highest accepted yield will be prorated if necessary. After the determination is made as to which tenders are accepted, a coupon rate will be established, on the basis of a ⅛ of one percent increment, which results in an equivalent average accepted price close to 100.000 and a lowest accepted price above the original issue discount limit of 99.500. That rate of interest will be paid on all of the securities. Based on such interest rate, the price on each competitive tender allotted will be determined and each successful competitive bidder will be required to pay the price equivalent to the yield bid. Price calculations will be carried to three decimal places on the basis of price per hundred, e.g., 99.923, and the determinations of the Secretary of the Treasury shall be final. If the amount of noncompetitive tenders received would absorb all or most of the offering, competitive tenders will be accepted in an amount sufficient to provide a fair determination of the yield. Tenders received from Government accounts and Federal Reserve Banks will be accepted at the weighted average price of accepted competitive tenders.

3.7. Competitive bidders will be advised of the acceptance or rejection of their tenders. Those submitting noncompetitive tenders will only be notified if the tender is not accepted in full or when the price is over par.

4. Reservations

4.1. The Secretary of the Treasury expressly reserves the right to accept or reject any or all tenders in whole or part, to allot more or less than the amount of securities specified in Section 1, and to make different percentage allotments to various classes of applicants when the Secretary considers it in the public interest. The Secretary's action under this Section is final.

5. Payment And Delivery

5.1. Settlement for allotted securities must be made or completed on or before Friday, September 30, 1977, at the Federal Reserve Bank or Branch or at the Bureau of the Public Debt, wherever the tender was submitted. Payment must be in cash; in other funds immediately available to the Treasury; in Treasury bills, notes or bonds (with all coupons detached) maturing on or before the settlement date but which are not overdue as defined in the general regulations governing United States securities; or by check drawn to the order of the institution to which the tender was submitted, which must be received at such institution no later than:

(a) Monday, September 26, 1977, if the check is drawn on a bank in the Federal Reserve District of the institution to which the check is submitted (the Fifth Federal Reserve District in case of the Bureau of the Public Debt), or

(b) Friday, September 23, 1977, if the check is drawn on a bank in another Federal Reserve District.

Checks received after the dates set forth in the preceding sentence will not be accepted unless they are payable at the applicable Federal Reserve Bank. Payment will not be considered complete where registered securities are requested if the appropriate identifying number as required on tax returns and other documents submitted to the Internal Revenue Service (an individual's social security number or an employer identification number) is not furnished. When payment is made in securities, a cash adjustment will be made to or required of the bidder for any difference between the face amount of securities presented and the amount payable on the securities allotted.

5.2. In every case where full payment is not completed on time, the deposit submitted with the tender up to 5 percent of the face amount of securities allotted, shall, at the discretion of the Secretary of the Treasury, be forfeited to the United States.

5.3. Registered securities tendered as deposits and in payment for allotted securities are not required to be assigned if the new securities are to be registered in the same names and forms as appear in the registrations or assignments of the securities surrendered. When the new securities are to be registered in names and forms different from those in the inscriptions or assignments of the securities presented, the assignment should be to "The Secretary of the Treasury for (securities offered by this circular) in the name of (name and taxpayer identifying number)." If new securities in coupon form are desired, the assignment should be to "The Secretary of the Treasury for coupon (securities offered by this circular) to be delivered to (name and address)." Specific instructions for the issuance and delivery of the new securities, signed by the owner or authorized representative, must accompany the securities presented. Securities tendered in payment should be surrendered to the Federal Reserve Bank or Branch or to the Bureau of the Public Debt, Washington, D.C. 20226. The securities must be delivered at the expense and risk of the holder.

5.4. If bearer securities are not ready for delivery on the settlement date, purchasers may elect to receive interim certificates. These certificates shall be issued in bearer form and shall be exchangeable for definitive securities of this issue, when such securities are available, at any Federal Reserve Bank or Branch or at the Bureau of the Public Debt, Washington, D.C. 20226. The interim certificates must be returned at the risk and expense of the holder.

5.5. Delivery of securities in registered form will be made after the requested form of registration has been validated, the registered interest account has been established, and the securities have been inscribed.

6. General Provisions

6.1. As fiscal agents of the United States, Federal Reserve Banks are authorized and requested to receive tenders, to make allotments as directed by the Secretary of the Treasury, to issue such notices as may be necessary, to receive payment for and make delivery of securities on full-paid allotments, and to issue interim certificates pending delivery of the definitive securities.

6.2. The Secretary of the Treasury may at any time issue supplemental or amendatory rules and regulations governing the offering. Public announcement of such changes will be promptly provided.

DAVID MOSSO,
Fiscal Assistant Secretary.

[FR Doc.77-26995 Filed 9-14-77;2:04 pm]

VETERANS ADMINISTRATION

CAREER DEVELOPMENT COMMITTEE

Meeting

The Veterans Administration gives notice pursuant to Public Law 92-463 that a final meeting of the Career Development Committee will be held in Room A-53 of the Veterans Administration, 810 Vermont Avenue, NW., Washington, D.C. 20420, October 3-4, 1977, at 8:30 a.m. The meeting will be for the purpose of scientific review of applications for appointment to the Career Development Program in the Veterans Administration system. The Committee advises the Director, Medical Research Service on selection and appointment of Associate Investigators, Research Associates, Clinical Investigators, Medical Investigators, Senior Medical Investigators and William S. Middleton Award Nominees.

The meting will be open to the public up to the seating capacity of the room from 8:30 a.m. to 9 a.m. to discuss the general status of the program. Because of the limited seating capacity of the room, those who plan to attend should contact Mrs. Darlene R. Whorley, Executive Secretary of the Committee, VA Central Office, Washington, D.C. (202-389-2317) prior to September 28, 1977.

The meeting will be closed from 9 a.m. to 5 p.m. on October 3-4 for consideration of individual applications for positions in the Career Development Program. This necessarily requires examination of personnel files and discussion and evaluation of the qualifications, competence, and potential of the several candidates, disclosure of which information would constitute a clearly unwarranted invasion of personal privacy, as provided for in 5 USC 552b(c)(6) and section 10(d) of Public Law 92-463.

Minutes of the meeting and rosters of the committee members may be obtained from Mrs. Darlene R. Whorley, Chief, Career Development Program, Medical Research Service, Veterans Administration, Washington, DC (phone 202-389-2317).

Dated: September 13, 1977.

MAX CLELAND,
Administrator.

[FR Doc.77-27130 Filed 9-16-77;8:45 am]

INTERSTATE COMMERCE COMMISSION

[Notice No. 482]

ASSIGNMENT OF HEARINGS

SEPTEMBER 14, 1977.

Cases assigned for hearing, postponement, cancellation or oral argument appear below and will be published only once. This list contains prospective assignments only and does not include cases previously assigned hearing dates. The hearings will be on the issues as presently reflected in the Official Docket of the Commission. An attempt will be made to publish notices of cancellation of hearings as promptly as possible, but interested parties should take appropriate steps to insure that they are notified of cancellation or postponements of hearings in which they are interested.

MC 125433 (Sub-No. 94), F-B Truck Line Company, now assigned Setpember 16, 1977, at San Francisco, Calif. is canceled and application dismissed.

MC 82841 (Sub-No. 207), Hunt Transportation, now assigned September 22, 1977, at Kansas City, Mo. is canceled and application dismissed.

MC 141084 Sub No. 4 National Freight Lines, Inc., now assigned September 15, 1977 is postponed to December 8, 1977 (2 days) at Los Angeles, Calif., in a hearing room to be later designated.

MC 142982 T. G. Swarb, DBA Swarb Trucking Co., now assigned October 26, 1977 at Houston, Tex., will be held in Room 7006, Federal Bldg. and Courthouse, 515 Rusk Ave.

MC 129068 Sub No. 38 Griffin Transportation, Inc., now assigned October 31, 1977 at Dallas, Tex., will be held in Room 5A15-17, Federal Bldg., 1100 Commerce St.

MC 141663 Robert E. Moore Common Carrier Application, now assigned October 12, 1977 at Greensboro, N.C., will be held in Room 127, U.S. Post Office and Federal Bldg., 324 West Market St.

MC 124857 Sub 1, Interstate Towing, Inc. now assigned September 19, 1977 at Phoenix, Ariz., is cancelled and transferred to Modified Procedure.

H. G. HOMME, Jr.,
Acting Secretary.

[FR Doc.77-27164 Filed 9-16-77;8:45 am]

[Notice No. 224]

MOTOR CARRIER BOARD TRANSFER PROCEEDINGS

The following publications include motor carrier, water carrier, broker, and freight forwarder transfer applications filed under Section 212(b), 206(a), 211, 312(b), and 410(g) of the Interstate Commerce Act.

Each application (except as otherwise specifically noted) contains a statement by applicants that there will be no significant effect on the quality of the human environment resulting from approval of the application.

Protests against approval of the application, which may include a request for oral hearing, must be filed with the Commission within 30-days after the date of this publication. Failure seasonably to file a protest will be construed as a waiver of opposition and participation in the proceeding. A protest must be served upon applicants' representative(s), or applicants (if no such representative is named), and the protestant must certify that such service has been made.

Unless otherwise specified, the signed original and six copies of the protest shall be filed with the Commission. All protests must specify with particularity the factual basis, and the section of the Act, or the applicable rule governing the proposed transfer which protestant believes would preclude approval of the application. If the protest contains a request for oral hearing, the request shall be supported by an explanation as to why the evidence sought to be presented cannot reasonably be submitted through the use of affidavits.

The operating rights set forth below are in synopses form, but are deemed sufficient to place interested persons on notice of the proposed transfer.

No. MC-FC-77285, filed September 2, 1977. Transferee: B-N INC., 705 North Topeka, P.O. Box 232, El Dorado, Kans. 67042. Transferor: Bacon, Inc., P.O. Box 1326 Ardmore, Okla. 73401. Applicant's representative: Wilburn L. Williamson, Attorney at Law, 3535 NW., 58th Street, Suite 280, National Foundation Life Bldg., Oklahoma City, Okla. 73112. Authority sought for purchase by transferee of the operating rights of transferor as set forth in Permit No. MC-129467 issued April 22, 1970, as follows: Industrial asphalt, in bulk, in tank vehicles, from Augusta and El Dorado, Kans., to Kansas City, Mo. Transferee presently holds no authority from this Commission. Application has not been filed for temporary authority under Section 210a(b).

No. MC-FC-77289, filed September 2, 1977. Transferee: UNIVERSAL DEVELOPMENT, INC., P.O. Box 568, York, Nebr., 68467. Transferor: Iowa Packers Xpress, Inc., 920 32nd Ave. West, Spencer, Iowa 51301. Applicant's representative: John Jandera, Attorney at Law, 641 Harrison St., Topeka, Kans. 66603; Scott E. Daniel, Attorney at Law, P.O. Box 82028, Lincoln, Nebr. 68501. Authority sought for purchase by transferee of a portion of the operating rights of transferor, as set forth in Certificate No. MC-115113 (Sub-No. 31), issued July 15, 1976, as follows: *Meats, meat products, meat by-products, and articles distributed by meat packinghouses,* as described in Sections A and C of Appendix I to the report in Descriptions in Motor Carrier Certificates, 61 M.C.C. 209 and 766 (except hides and commodities in bulk), from the plant site and storage facilities of, or utilized by, Farmland Foods, Inc., located at or near Crete, Nebr., to points in Connecticut, Delaware, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Ohio, Pennsylvania, Rhode Island, Vermont, Virginia, West Virginia, and the District of Columbia, with no transportation for compensation on return except as otherwise authorized. Restriction: The operations authorized herein are restricted to the transportation of traffic originating at the named origin and destined to the indicated destinations. Transferee is presently authorized to operate as a common carrier under Certificate No. MC-143071 and subs thereafter. Application has not been filed for temporary authority under Section 210a(b).

No. MC-FC-77292, filed September 2, 1977. Transferee: WILLIAM L. POTTER, doing business as FRANKS EXPRESS, 83 Mill St., Rhinebeck, N.Y. 12572. Transferor: Ellwood V. Franks, doing business as Franks Express, Old Route 22, Millerton, N.Y. 12546. Applicant's representative: Neil D. Breslin, Attorney at Law, 99 Washington Ave., Suite 1111, Albany, N.Y. 12210. Authority sought for purchase by transferee of the operating rights of transferor, as set forth in Certificate of Registration No. MC-97059 (Sub-No. 1), issued September 30, 1975, as follows: General commodities, between all points in Dutchess County, N.Y. Transferee presently holds no authority from this Commission. Application has not been filed for temporary authority under Section 210a(b).

No. MC-FC-77294, filed August 30, 1977. Transferee: STAN'S VAN SERVICE, INC., 5 Lynde St., Nashua, N.H. Transferor: Edgar J. Liberty, doing business as Liberty's Eastern Express, 41 Elm St., Milford, N.H. Applicant's representative: Silas Little III, Attorney at Law, 116 Lowell St., Manchester, N.H. 03101. Authority sought for purchase by transferee of the operating rights of transferor, as set forth in Certificate No. MC-38299, issued May 15, 1953, as follows: *Household goods* as defined by the Commission, over irregular routes, between points in Hillsboro, Cheshire, and Merrimack Counties, N.H., on the one hand, and, on the other, points in Vermont. Transferee presently holds no authority from this Commission. Application has not been filed for temporary authority under Section 210a(b).

No. MC-FC-77296, filed August 31, 1977. Transferee: J. J. BRADY & SONS, INC., R. 29 West Street, Beverly Farms, Mass. 01915. Transferor: Elmer Robert Dahlenburg (Davis R. Dahlenburg, M.D., Executor), doing business as E. R. Dahlenburg, 238 Pike Street, Covington, Ky. 41011. Applicant's representative: David B. Erwin, Attorney at Law, 1030 E. Lafayette Street, Suite 112, Tallahassee, Fla. 32301. Authority sought for purchase by transferee of the operating rights of transferor as set forth in Certificate No. MC-53746, issued October 20, 1955, as follows: Horses and in connection therewith harness, sulkies, and other articles customarily accompanying race horses and polo ponies between points in Ohio, Illinois, Indiana, Michigan, Pennsylvania, New Jersey, New York, Kentucky, and West Virginia. Transferee presently holds no authority from this Commission. Application has not been filed for temporary authority under Section 210a (b).

No. MC-FC-77297, filed August 30, 1977. Transferee: CASSER TOURS, INC., 46 West 43rd Street, New York, N.Y. 10036. Transferor: Manhattan Transit Co., U.S. Highway 46, Elmwood Park, N.J. 07407. Applicant's representative: Henry U. Snavely, 410 Pine Street, Vienna, Va. 22180. Authority sought for the purchase by transferee of (1) the operating rights of transferor as set forth in Certificates Nos. MC-3700 (Sub-No. 37), issued March 14, 1958; MC-3700 (Sub-No. 39), issued November 18, 1958; MC-3700 (Sub-No. 40), issued January 21, 1959; MC-3700 (Sub-No. 41), issued April 28, 1959; MC-3700 (Sub-No. 42), issued October 18, 1963; MC-3700 (Sub-No. 43), issued May 2, 1961; MC-3700 (Sub-No. 44), issued September 28, 1961; MC-3700 (Sub-No. 45), issued December 27, 1961; MC-3700 (Sub-No. 47), issued November 13, 1963; MC-3700 (Sub-No. 53), issued August 1, 1967; MC-3700 (Sub-No. 54), issued June 26, 1969; MC-3700 (Sub-No. 56), issued April 15, 1968; MC-3700 (Sub-No. 59) issued April 24, 1969; MC-3700 (Sub-No. 60), issued October 8, 1969; MC-3700 (Sub-No. 62), issued December 4, 1970; MC-3700 (Sub-No. 63), issued June 23, 1971; MC-3700 (Sub-No. 65), issued May 25, 1972; MC-3700 (Sub-No. 67), issued July 6, 1972; MC-3700 (Sub-No. 69), issued June 4, 1973; MC-3700 (Sub-No. 71), issued January 8, 1975; and MC-3700 (Sub-No. 72), issued May 12, 1975; and (2) the special operations portions of Certificate No. MC-3700 (Sub-No. 36), issued May 13, 1957, as follow:

Passengers and their baggage, over irregular routes, in special round-trip operations, restricted to the authorized racing season at each of the tracks named: Beginning and ending at New York, N.Y., and extending to the Delaware Race Track, Stanton, Del., the Pimlico Race Track, Baltimore, Md., the Laurel Race Track, Laurel, Md., the Bowie Race Track, Bowie, Md., the Saratoga Race Track, Saratoga, N.Y., the Lincoln Downs Race Track, Lincoln, R.I., Charles Town Race Track, Charles Town, W. Va., Freehold Race Track, Freehold, N.J., Atlantic City Race Track (Hamilton Township), N.J., Green Mountain Park Race Track, at Pownal, Vt., Dover Downs Race Track, Dover, Del., Liberty Bell Park Race Track, Philadelphia, Pa., Brandywine Raceway at Wilmington, Del., Penn National Race Course, at Grantville, Pa., and Keystone Race Track, at Bensalem, Pa.; (b) Beginning and ending at Newark or Paterson, N.J., and extending to Yonkers Raceway, Yonkers, N.Y., Roosevelt Raceway, Westbury, N.Y., Aqueduct Race Track and Jamaica Race Track, New York, N.Y., and Belmont Race Track, Belmont, N.Y.; (c) Beginning and ending at Jersey City and Newark, N.J., and extending to Saratoga Race Track, Saratoga Springs, N.Y.; (d) Beginning and ending at Paterson, Clifton, and Passaic, N.J., and extending to Delaware Park Race Track, Stanton, Del., Pimlico Race Track, Baltimore, Md., Laurel Race Track, Laurel, Md., and Bowie Race Track, Bowie, Md.; (e) Beginning and ending at Clifton and Passaic, N.J., and extending to Aqueduct Race Track, New York, N.Y., Belmont Race Track, [B]elmont, N.Y., Jamaica Race Track, New York, N.Y., Yonkers Raceway, Yonkers, N.Y., and Roosevelt Raceway, Westbury, N.Y.; (f) Beginning and ending at Hackensack and Fort Lee, N.J., and extending to Yonkers Raceway, Yonkers, N.Y.; (g) Beginning and ending at Bloomfield, N.J., and extending to Delaware Park Race Track, Stanton, Del., Pimlico Race Track, Baltimore, Md., Laurel Race Track, Laurel, Md., and Bowie Race Track, Bowie, Md.; (h) Beginning and ending at Bloomfield, Clifton, Passaic, and Paterson, N.J., and extending to Liberty Bell Park Race Track, Philadelphia, Pa., and Green Mountain Park Race Track, Pownal, Vt.; (i) Beginning and ending at Fort Lee and Hackensack, N.J., and extending to Delaware Park Race Track, Stanton, Del., Green Mountain Park Race Track, Pownal, Vt., and Liberty Bell Park Race Track, Philadelphia, Pa.;

Passengers and their baggage, over irregular routes, in special operations restricted to traffic originating at the points indicated in round-trip sightseeing or pleasure tours, from points in Passaic, Bergen, and Essex Counties, N.J., and New York, N.Y., to points in Connecticut, Delaware, Maine, Maryland, Massachusetts, North Carolina, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Tennessee, Vermont, Virginia, and the District of Columbia, and return, subject to the following conditions: No passenger shall be picked up at any point not within the above-described base territory who has not purchased a round-trip tour ticket, and except in the case of a bona fide stopover from one tour to a succeeding tour, no passenger shall be discharged at any point outside the described base territory other than the point of origin of that particular passenger.

Passengers and their baggage in the same vehicle with passengers, over irregular routes, (a) in one-way and round-trip charter operations, Beginning or ending at points in Nassau and Suffolk Counties, N.Y., and extending to points in the United States (except Alaska and Hawaii); (b) in special round-trip sightseeing tours, Beginning and ending at Jersey City, N.J., and extending to points in Connecticut, Delaware, Maine, Maryland, Massachusetts, North Carolina, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Tennessee, Vermont, Virginia, and the District of Columbia; (c) in special operations, in round-trip sightseeing or pleasure tours, (i) Beginning and ending at Freeport, Hempstead Village, Lynbrook, Garden City, Mineola, New Hyde Park, Floral Park, Hicksville, East Patchogue, Bay Shore, Babylon, Huntington, and Huntington Station, N.Y., and extending to points in Connecticut, Delaware, Maine, Massachusetts, Maryland, North Carolina, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Tennessee, Vermont, Virginia, and the District of Columbia; (ii) Beginning and ending at Bayonne, N.J., and extending to points in Florida; (iii) Beginning and ending at Jersey City, N.J., New York, Freeport, Hempstead Village, Lynbrook, Garden City, Mineola, New Hyde Park, Floral Park, Hicksville, East Patchogue, Bay Shore, Babylon, Huntington, and Huntington Station, N.Y., points in Passaic, Bergen, and Essex Counties, N.J., and extending to points in Alabama, Alaska,

Arizona, Arkansas, California, Colorado, Florida, Georgia, Idaho, Illinois, Indiana, Iowa, Kansas, Kentucky, Louisiana, Michigan, Minnesota, Mississippi, Missouri, Montana, Nebraska, Nevada, New Mexico, North Dakota, Ohio, Oklahoma, Oregon, South Carolina, South Dakota, Texas, Utah, Washington, West Virginia, Wisconsin, and Wyoming; (iv) Beginning and ending at points in that part of Westchester County, N.Y., on, south, and east of a line beginning at the Hudson River, thence southeasterly over Highway 287 to junction Interstate Highway 95, and thence northeasterly over Interstate Highway 95 to the New York-Connecticut State line, and extending to points in the United States (including Alaska but excluding Atlantic City, N.J., and points in Hawaii); and (v) Beginning and ending at points in Hudson County, N.J., and extending to points in the United States (including Alaska but excluding Hawaii).

Transferee presently holds no authority from this Commission. Application has not been filed for temporary authority under Section 210a(b).

H. G. Homme, Jr.,
Acting Secretary.

[FR Doc.77-27160 Filed 9-16-77;8:45 am]

[Notice No. 112TA]

MOTOR CARRIER TEMPORARY AUTHORITY APPLICATIONS

September 7, 1977.

The following are notices of filing of applications for temporary authority under Section 310a(a) of the Interstate Commerce Act provided for under the provisions of 49 CFR 1131.3. These rules provide that an original and six (6) copies of protests to an application may be filed with the field official named in the Federal Register publication no later than the 15th calendar day after the date the notice of the filing of the application is published in the Federal Register. One copy of the protest must be served on the applicant, or its authorized representative, if any, and the protestant must certify that such service has been made. The protest must identify the operating authority upon which it is predicated, specifying the "MC" docket and "Sub" number and quoting the particular portion of authority upon which it relies. Also, the protestant shall specify the service it can and will provide and the amount and type of equipment it will make available for use in connection with the service contemplated by the TA application. The weight accorded a protest shall be governed by the completeness and pertinence of the protestant's information.

Except as otherwise specifically noted, each applicant states that there will be no significant effect on the quality of the human environment resulting from approval of its application.

A copy of the application is on file, and can be examined at the Office of the Secretary, Interstate Commerce Commission, Washington, D.C., and also in the ICC Field Office to which protests are to be transmitted.

Motor Carriers of Property

No. MC 32967 (Sub-No. 2TA) (Correction) filed July 7, 1977, published in the Federal Register issue of August 4, 1977, and republished as corrected this issue. Applicant: ATLANTIC COAST EXPRESS, INC., 2170 North Fleet St., Elizabeth, N.J. 07201. Applicant's representative: Galland, 1054 Thirty First St. NW., Washington, D.C. 20007. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: (1) *General commodities*, except motor vehicles, commodities in bulk, Class A and B explosives, household goods, and commodities which because of their size and weight require special equipment, and (2) *containers and container chassis, and trailers* (except those designed to be drawn by passenger automobiles), between Baltimore, Md., and points in it's Commercial Zone as defined in Title 49 CFR 1048.21, restricted to traffic having an immediate prior or subsequent movement by rail or water, for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): D. Lee Kraus & Company, 406 Water St., Baltimore, Md. 21202. Renn F. LaMaster, Inc. FMC No. 1785 R, 541 S. Turtle Creek, Indianapolis, Ind. 46227. Keystone Terminals, Inc., Rm. 115 Dunmar Bldg., Dundalk Marine Terminal 21222. Send protests to: District Supervisor Robert E. Johnston, Interstate Commerce Commission, Bureau of Operations, 9 Clinton St. Newark, N.J. 07102. The purpose of this republication is to add the rest of the commodity description in (2) above.

No. MC 114211 (Sub-No. 311TA) (Correction), filed July 29, 1977, published in the Federal Register issue of August 22, 1977, and republished as corrected this issue. Applicant: WARREN TRANSPORT, INC., 324 Manhard Street, Waterloo, Iowa 50704. Applicant's representative: Daniel Sullivan, Suite 1600, 10 South LaSalle Street, Chicago, Ill. 60603. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Agricultural equipment and industrial equipment*, between Grand Island and Lexington, Nebr. and Vinton, Iowa, on the one hand, and, on the other, points in Montana, Wyoming, Colorado, New Mexico, Idaho, Utah, Arizona, Washington, Oregon, Nevada, California, and the ports of entry between the United States and Canada located in North Dakota, Montana, Idaho and Washington, for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): Sperry New Holland, 500 Diller Avenue, New Holland, Pa. 17557. Send protests to: Herbert W. Allen, District Supervisor, Bureau of Operations, Interstate Commerce Commission, 518 Federal Building, Des Moines, Iowa 50309. The purpose of this republication is to indicate the state of Wyoming in lieu of Nebraska, which was previously published in error.

No. MC 115036 (Sub-No. 25TA), filed August 5, 1977. Applicant: VAN TASSEL Inc., 5th and Grand, Pittsburg, Kans. 66762. Applicant's representative: Dean Williamson, 208 Nat'l. Foundation Life Bldg., Oklahoma City, Okla. 73112. Authority sought to operate as a *contract carrier*, by motor vehicle, over irregular routes, transporting: (1) *Materials* used in the manufacture of urethane (except commodities in bulk), from Pittsburg, Kans. to points in the United States (except Alaska and Hawaii), from points in the United States (except Alaska, Hawaii, Illinois, Indiana, Michigan, Missouri, New Jersey, New York, Ohio, Pennsylvania, and Wisconsin), to Pittsburg, Kans.; (2) *equipment used* in the mixture and discharge of the commodities described in (1) above, between Pittsburg, Kans., on the one hand, and, on the other, points in the United States (except Alaska, and Hawaii). Restriction. Said operations are limited to a transportation service to be performed under a continuing contract or contracts, with Pitzer Inc. of Pittsburg, Kans., for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper: Pitzer Inc., 502½ N. Broadway, Pittsburg, Kans. 66762. Send protests to: M. E. Taylor, District Supervisor, Interstate Commerce Commission, 101A Litwin Building, Wichita, Kans. 67202.

No. MC 60014 (Sub-No. 55TA), filed August 5, 1977. Applicant: AERO TRUCKING, INC., P.O. Box 308, Monroeville, Pa. 15146. Applicant's representative: A. Charles Tell, 100 East Broad St., Columbus, Ohio 43215. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Conduit or pipe, cement*, containing asbestos fibre and fittings therefor, from the plantsite of Cement Asbestos Products Company (subsidiary of ASARCO Incorporated), at or near Ragland, Alabama, to all points in the states of Connecticut, Delaware, Florida, Georgia, Illinois, Indiana, Kentucky, Louisiana, Maine, Maryland, Maine, Miami, Massachusetts, New Hampshire, New Jersey, New York, North Carolina, Ohio, Pennsylvania, Rhode Island, South Carolina, Tennessee, Texas, Vermont, Virginia, West Virginia, and the District of Columbia, for 180 days. Supporting shipper: Cement Asbestos Products Company, Subsidiary ASARCO Incorporated, 611 Olive St., Suite 1755, St. Louis, Mo. 63101. Send protests to: John J. England, District Supervisor, Interstate Commerce Commission, 2111 Federal Bldg., 1000 Liberty Ave., Pittsburgh, Pa. 15222.

No. MC 106398 (Sub-No. 781TA) (Correction), filed July 18, 1977, published in the Federal Register issue of August 9, 1977, and republished as corrected this issue. Applicant: NATIONAL TRAILER CONVOY, INC., 525 S. Main, P.O. Box 3329, Tulsa, Okla. 74103. Applicant's representative: Irvin Tull (same address as applicant). Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Insulation board*, from the plantsite

of Johns-Manville Sales Corp., at or near Natchez, Miss., to points in Jasper, Newton, McDonald, Lawrence, Barry, Greene, Christian, Stone, Taney, Webster, Douglas, Ozark, Wright, Howell, Oregon, Carter, Ripley, Butler, Stoddard, Cape Girardeau Counties, Mo., for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): Johns-Manville Sales Corporation, Ken-Caryl Ranch, Denver, Colo. 80217. Send protests to: Joe Green, District Supervisor, Room 240, Old Post Office Building, 215 Northwest Third Street, Oklahoma City, Okla. 73102. The purpose of this republication is to indicate the destination points of Natchez, Miss., in lieu of Natchez, Mo., as was previously published in error.

No. MC 111375 (Sub-No. 88TA), filed August 17, 1977. Applicant: PIRKLE REFRIGERATED FREIGHT LINES, INC., P.O. Box 3358, Madison, Wis. 53704. Applicant's representative: Charles E. Dye, P.O. Box 3358, Madison, Wis. 53704. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *meat, meat products* and *meat by-products and articles* distributed by meat packinghouses as described in sections A, B and C of Appendix I to the Report of the Commission in Descriptions in Motor Carrier Certificates, 61 M.C.C. 209 and 766. From: Independence and Waterloo, Iowa and Omaha, Nebraska and points in their Commercial Zones. To: Arizona, California, Colorado, Idaho, Montana, Nevada, New Mexico, Oregon, Utah, Washington and Wyoming. Restricted to shipments originating in Wisconsin and stopped to complete loading at named origins, for 180 days. SUPPORTING SHIPPER(S): Cudahy Foods Co., 204 West Division St., Fond du Lac, Wis. 54935. SEND PROTESTS TO: Ronald A. Morken, District Supervisor, Interstate Commerce Commission, 139 W. Wilson St., Room 202, Madison, Wis. 53703.

No. MC 111729 (Sub-No. 710TA), filed August 5, 1977. Applicant: PUROLATOR COURIER CORP., 3333 New Hyde Park Road, New Hyde Park, N.Y. 11040. Applicant's representative: Elizabeth L. Henoch, (Same address as Applicant). Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Cut flowers, decorative greens, and green plants*, when moving at the same time and in the same vehicle with commodities the transportation of which is subject to economic regulation: 1. From Denver, Colo. to points in Colorado, on traffic having an immediately prior movement by air, rail or motor vehicle; 2. From Seattle, Wash. to points in Washington, on traffic having an immediately prior movement by air, rail or motor vehicle; 3. From Portland, Oreg. to points in Oregon, on traffic having an immediately prior movement by air, rail or motor vehicle, for 180 days. Supporting shipper: Norman Cox & Co., Gulf Coast Farms, 2524 First Street, Fort Myers, Florida 33902. Send protests to: Maria B. Kejss, Transportation Assistant, Interstate Commerce Commission, 26 Federal Plaza, New York, N.Y. 10007.

No. MC 116110 (Sub-No. 15TA), filed August 5, 1977. Applicant: P. C. WHITE TRUCK LINE, INC. P.O. Box 1488, Dothan, Ala. 36301. Applicant's representative: Bruce E. Mitchell, 3379 Peachtree Road NE., Suite 375, Atlanta, Ga. 30326. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Tobacco and tobacco products*, from the plantsite and storage facilities of Bayuk Cigars, Inc., located at or near Dothan, Ala., to points in Virginia, Maryland, Delaware, New Jersey, Pennsylvania, New York, Rhode Island, Massachusetts, Ohio, Illinois, Missouri, Michigan, Indiana, and the District of Columbia, for 180 days. Supporting shipper: Bayuk Cigars, Inc., P.O. Box 6000, Fort Lauderdale, Fla. 33310. Send protests to: Mabel E. Holston, Transportation Assistant, Bureau of Operations, Interstate Commerce Commission, Room 1616, 2121 Building, Birmingham, Ala. 35203.

No. MC 118159 (Sub-No. 221TA), filed August 11, 1977. Applicant: NATIONAL REFRIGERATED TRANSPORT, INC. P.O. Box 51366, Dawson Station, Tulsa, Okla. 74151. Applicant's representative: Warren Taylor (same address as applicant). Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Deodorants* NOI other than medicine and other toilet preparations, in containers and boxes, in mixed shipments of Clorox products of foodstuffs, cleaning, bleaching and scouring compounds, cooking oils, and animal litter, from the plantsite and facilities of The Clorox Co. in Houston, Tex., to points in Arkansas, Louisiana, and Mississippi, for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper: The Clorox Co., 1221 Broadway, Oakland, Calif. Send protests to: District Supervisor, Joe Green, Interstate Commerce Commission, Room 240 Old Post Office Building, 215 NW. Third Street, Oklahoma City, Okla. 73102.

No. MC 123069 (Sub-No. 17TA), filed August 10, 1977. Applicant: ALLER & SHARP, INC., 817 West 5th Avenue, Columbus, Ohio 43212. Applicant's representative: Gregory A. Stayart, Suite 1600, 10 South La Salle Street, Chicago, Ill. 60603. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Salt and salt products*, in packages, from Rittman and Fairport Harbor, Ohio to points in Indiana and the Chicago, Illinois Commercial Zone and from Lodi, Ohio, to points in Indiana (except Indianapolis, Fort Wayne, Muncie, Lafayette, Cloverdale, Terre Haute, Beech Grove, Winchester, Kokomo, Centerville, Bloomington, Evansville, Jasper, Plymouth, and Anderson), for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper: Morton Salt Co., 110 North Wacker, Chicago, Ill. 60606. Send protests to: Frank L. Calvary, District Supervisor, Interstate Commerce Commission, 220 Federal Building and U.S. Courthouse, 85 Marconi Boulevard, Columbus, Ohio 43215.

No. MC 123255 (Sub-No. 115TA), filed August 10, 1977. Applicant: B & L MOTOR FREIGHT, INC., 140 Everett Avenue, Newark, Ohio 43055. Applicant's representative: C. F. Schnee, Jr. (same address as applicant). Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Canned foods* (except frozen foods and commodities in bulk), from the plantsite of Joan of Arc Co., Inc., at or near Turkey, N.C., to points in the States of Connecticut, Delaware, Illinois, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Ohio, Pennsylvania, Rhode Island, Vermont, Virginia, West Virginia, and the District of Columbia, for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper: Joan of Arc Co., Inc., 2231 West Altorfer Drive, Peoria, Ill. 61614. Send protests to: Frank L. Calvary, District Supervisor, Interstate Commerce Commission, 220 Federal Building and U.S. Courthouse, 85 Marconi Boulevard, Columbus, Ohio 43215.

No. MC 125433 (Sub-No. 119TA), filed August 17, 1977. Applicant: F-B TRUCK LINE CO., 1945 South Redwood Road, Salt Lake City, Utah 84104. Applicant's representative: David J. Lister, 1945 South Redwood Road, Salt Lake City, Utah 84104. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting *logs or fuel*, made of ground wood, ground peanut hulls or sawdust, compressed with or without resin and/or wax, from Chowchilla, Calif. to Denver, Colo., and its commercial zone and Salt Lake City, Utah and its commercial zone, for 180 days. Supporting shipper(s): The Wickes Corp., 515 North Washington Avenue, Saginaw, Mich. 48607 (J. B. Townley, Director of Corporate Distribution). Send protests to: District Supervisor, Lyle D. Helfer, Interstate Commerce Commission, Bureau of Operations, 5301 Federal Building, 125 South State Street, Salt Lake City, Utah 84138.

No. MC 127812 (Sub-No. 25TA), filed August 16, 1977. Applicant: Tyson Truck Lines, Inc., 185 5th Avenue SW., New Brighton, Minn. 55112. Applicant's representative: Robert P. Sack, P.O. Box 6010, West St. Paul, Minn. 55118. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Packinghouse products and articles* dealt in by wholesale and retail grocery houses in vehicles equipped with mechanical refrigeration (except commodities in bulk and malt beverages), between Minneapolis-St. Paul, Minn., on the one hand, and, on the other, points in Minnesota and points in Ashland, Barron, Bayfield, Buffalo, Burnett, Chippewa, Clark, Douglas, Dunn, Eau Claire, Iron, Jackson, LaCrosse,

Oneida, Pepin, Pierce, Polk, Price, Rusk, Sawyer, St. Croix, Trempealeau, Washburn, and Vilas Counties, Wis., for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): There are approximately 28 statements of support attached to the application which may be examined at the interstate Commerce Commission in Washington, D.C., or copies thereof which may be examined at the field office named below. Send protests to: Mrs. Marion L. Cheney, Transportation Assistant, Interstate Commerce Commission, Bureau of Operations, 414 Federal Building and U.S. Courthouse, 110 South 4th Street, Minneapolis, Minn. 55401.

No. MC 128592 (Sub-No. 10TA) (Correction), filed August 2, 1977, published in the FEDERAL REGISTER issue of August 22, 1977, and republished as corrected this issue. Applicant: KLM, INC., P.O. Box 162, Railroad Avenue, Jackson, Miss. 39208. Applicant's representative: Donald B. Morrison, 1500 Deposit Guaranty Plaza, P.O. Box 22628, Jackson, Miss. 39205. Authority sought to operate as a *contract carrier*, by motor vehicle, over irregular routes, transporting: (1) *Ham*, from Miami, Fla., to San Jose, Calif.; Chicago, Ill.; New Orleans, La., St. Louis, Mo., and Virginia Beach, Va., (2) *frozen foods*, from points in Pennsylvania, Texas, and Wisconsin, to the distribution facilities of the Burger King Corp. located at San Jose, Calif., and (3) *cheese*, from points in Wisconsin to the distribution facilities of the Burger King Corp. located at San Jose, Calif., under a continuing contract with Burger King Corp., Distron Division, for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper: Burger King Corp., Distron Division, P.O. Box 520843, Miami, Fla. 33152. Send protests to: Alan C. Tarrant, District Supervisor, Interstate Commerce Commission, Room 212, 145 East Amite Building, Jackson, Miss. 39201. The purpose of this republication is to omit (3) which was repeated twice in the first publication.

No. MC 134477 (Sub-No. 187TA), filed August 4, 1977. Applicant: SCHANNO TRANSPORTATION, INC., 5 West Mendota Road, West St. Paul, Minn. 55118. Applicant's representative: Robert P. Sack, P.O. Box 6010, West St. Paul, Minn. 55118. Authority sought to operate as a *common carrier*, by motor vehicles, over irregular routes, transporting: *Meat, meat products, and meat by-products and articles distributed by meat packinghouses* as described in Sections A and C of Appendix I to the report in Descriptions in Motor Carrier Certificates, 61 M.C.C. 209 and 766 (except hides and commodities in bulk), from the facilities of Morris Rifkin & Sons at or near South St. Paul, Minn., to Dallas and Fort Worth, Tex., restricted to the transportation of traffic originating at the above named origin and destined to the above named destinations, for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper: Morris Rifkin & Sons, South St. Paul, Minn. 55075. Send protests to: Mrs. Marion L. Cheney, Transportation Assistant, Interstate Commerce Commission, Bureau of Operations, 414 Federal Building and U.S. Courthouse, 110 South 4th Street, Minneapolis, Minn. 55401.

No. MC 134859 (Sub-No. 10TA), filed June 29, 1977. Applicant: WILLIAM C. RUSSELL & JAMES F. RUSSELL, doing business as, FRANK RUSSELL & SON, 401 South Ida Street, West Frankfort, Ill. 62896. Applicant's representative: William C. Russell (same address as applicant). Authority sought to operate as a *contract carrier*, by motor vehicle, over irregular routes, transporting: *Magnetite*, in bulk, in pneumatic tank vehicles, from Bristol, Tenn., to coal mines located in Union County, Ky., and in Illinois under a continuing contract or contracts with Reiss Viking Corp. for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper: Robert H. Biever, General Superintendent, Reiss Viking Corp., 1011 South 8th Street, Sheboygan, Wis. 53081. Send protests to: Harold C. Jolliff, District Supervisor, Interstate Commerce Commission, P.O. Box 2418, Springfield, Ill. 62705.

No. MC 138635 (Sub-No. 42TA) (Partial Correction), filed July 21, 1977, published in the FEDERAL REGISTER issue of August 22, 1977, and republished as corrected this issue. Applicant: CAROLINA WESTERN EXPRESS, INC., Box 3961, Gastonia, N.C. 28052. Applicant's representative: Eric Meierhoefer, Suite 712, 11511 K Street NW., Washington, D.C. 20005.

NOTE.—The purpose of this republication is to add the Supporting shipper: District Supervisor, Terrell Pice, Interstate Commerce Commission, 800 Brair Creek Road, Mart Office Building, CC-516, Charlotte, N.C. 28205, which was previously omitted before in error. The rest of the publication remains the same.

No. MC 139850 (Sub-No. 10TA), filed August 2, 1977. Applicant: FOUR STAR TRANSPORTATION, INC., Box 66, 301-12 Park Building, Council Bluffs, Iowa 51501. Applicant's representative: Leonard D. Wilkins, Box 8, Underwood, Iowa 51576. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Meat, meat products, meat by-products, and articles distributed by meat packinghouses*, as described in Sections A and C of Appendix 1 to the report in Descriptions in Motor Carrier Certificates, 61 M.C.C. 209 and 766 (except commodities in bulk), from American Beef Packers at Oakland, Iowa to Kansas, for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper: American Beef Packers, Kenneth Hering, Traffic Manager, Oakland, Iowa. Send protests to: District Supervisor, Carroll Russell, Suite 620, 110 North 14th Street, Omaha, Nebr. 68102.

No. MC 141255 (Sub-No. 10TA), filed August 4, 1977. Applicant: TANDY TRANPORTATION, INC., P.O. Box 7135, 3501 Fairview, Fort Worth, Tex. 76111. Applicant's representative: Ralph W. Pulley, Jr., 4555 First National Bank Bldg., Dallas, Tex. 75202. Authority sought to operate as a *contract carrier*, by motor vehicle, over irregular routes, transporting: *Such commodities* as are dealt in by electronic equipment supply stores, between the facilities of Radio Shack, division of Tandy Corp., at Groveport, Ohio, on the one hand, and, on the other, points in Georgia, Illinois, Indiana, Kentucky, Michigan, New York, Pennsylvania, Tennessee, West Virginia, New Jersey, Delaware, Maryland, Virginia, Wisconsin, and the District of Columbia, under a contract with Tandy Corp. and its division, Radio Shack, for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper: Tandy Corp. and its division, Radio Shack, 2727 West 7th Street, Fort Worth, Tex. 76111. Send protests to: Robert J. Kirspel, District Supervisor, Room 9A27 Federal Building, 819 Taylor St., Fort Worth, Tex. 76102.

No. MC 141774 (Sub-No. 10TA), filed August 16, 1977. Applicant: R & L TRUCKING CO., INC., 105 Rocket Avenue, Opelika, Ala. 36801. Applicant's representative: Robert E. Tate, Registered Practitioner, P.O. Box 517, Evergreen, Ala. 36401. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: (1) *Plastic bags, plastic can liners, plastic containers and plastic articles*, from the facilities utilized by Bes-Pak & Co., Inc., in Montgomery County, Ala., to points in the States of Arkansas, Georgia, Kansas, Illinois, Kentucky, Mississippi, Missouri, and Tennessee; (2) *Materials and supplies* used in the manufacture of plastic bags, plastic can liners, plastic containers, plastic articles, (except commodities in bulk, in tank vehicles), from points in the States of Arkansas, Georgia, Kansas, Illinois, Kentucky, Mississippi, Missouri, and Tennessee, to the facilities used by Bes-Pak & Co., Inc., in Montgomery County, Ala., for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): Bes-Pak & Co., Inc., P.O. Drawer 2190, Montgomery, Ala. 36103. Send protest to: Mabel E. Holston, Transportation Assistant, Bureau of Operations, Interstate Commerce Commission, Room 1616, 2121 Building, Birmingham, Ala. 35203.

No. MC 142117 (Sub-No. 3TA), filed August 4, 1977. Applicant: B.W.C.S., Inc., 14 Park Avenue, Salem, N.H. 03079. Applicant's representative: Frank J. Weiner, 15 Court Square, Boston, Mass. 02108. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Electronic components, business machine parts, business papers, records, audit and accounting media, magnetic tapes, and microfilm*, between points in Massachu-

setts, New Hampshire, Maine, Vermont, and Rhode Island. Restriction: Restricted against the transportation of any package or article weighing more than 70 pounds or exceeding 108 inches in length and girth combined and each package or article shall be considered as a separate and distinct shipment, and restricted against transportation of packages or articles weighing in the aggregate more than 150 pounds, from one consignor at one location to one consignee at one location on any one day, for 180 days. Supporting shipper: Digital Equipment Corp., 444 Whitney Street, Northboro, Mass. 01532. Send protests to: District Supervisor Ross J. Seymour, Interstate Commerce Commission, Federal Building, Room 425, 55 Pleasant Street, Concord, N.H. 03301.

No. MC 143505 (Sub-No. 1TA) (Correction), filed July 21, 1977, published in the FEDERAL REGISTER issue of August 9, 1977, and republished as corrected this ispose of this partial correction is to add SERVICES, INC., 171 Stafford Road, Palmyra, N.Y. 14522. Applicant's representative: Charles A. Schiano, One East Main Street, 500 Wilder Bldg., Rochester, N.Y. 14614. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Dried brewers grain and by-products* of the Brewing Industry, from points in Monroe County, N.Y., to points in Pennsylvania, from points in Pennsylvania to points in Monroe County, N.Y., for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): Ames Burns Co., 701-3 Hotel Jamestown Bldg., Jamestown, N.Y. 14701; Co-op Feed Dealers, Inc., P.O. Box 670, Binghamton, N.Y. 13902; Genesee Brewing Co., Inc., 445 St. Paul Street, Rochester, N.Y. 14605. Send protests to: Morris H. Gross, District Supervisor, Interstate Commerce Commission, U.S. Courthouse and Federal Bldg., 100 South Clinton Street, Syracuse, N.Y. 13202. The purpose of this republication is to indicate the territory description.

No. MC 143555 (Sub-No. 1TA) (Partial Correction), filed August 3, 1977 published in the FEDERAL REGISTER issue of August 23, 1977, and republished as corrected this issue. Applicant: RIVERSIDE TRANSPORTATION CO., INC., 1903 Canal Drive, Wilson, N.C. 27893. Applicant's representative: Dennis A. Peacock (same address as applicant). The purpost of this partial correction is to add 4 additional supporting shippers: Penn-Packing Co., 2300 E. Butler St., Philadelphia, Pa. 19137; Wessel, Duval & Co., Inc., One World Trade Center, Suite 2671, New York, N.Y. 10048; John C. Cramer, Associate, P.O. Box 236, Brookside, N.J. 07926; Central Soya, Inc., Box 110, Wilson, N.C. 27893. The rest of the publication remains the same.

No. MC 143609 TA, filed August 16, 1977. Applicant: HJM TRANSPORT INC., P.O. Box 967, Williston, N. Dak. 58102. Applicant's representative: Richard P. Anderson, 502 First National Bank Bldg., Fargo, N. Dak. 58102. Authority sought to operate as a *contract carrier*, by motor vehicle, over irregular routes, transporting: *Meats, meat products, meat by-products, and articles* distributed by meat packing houses, as described in Sections A and C of Appendix I to the report in Descriptions in Motor Carrier Certificates, 61 M.C.C. 209 and 766 (except liquid commodities in bulk, in tank vehicles), from the plantsite and storage facilities of Williston Packing Co., Inc., at or near Williston, N. Dak. to Minneapolis-St. Paul, Minn., commercial zone; Belgrade, Minn.; Chicago, Ill.; and Milwaukee, Wis. Restriction: Restricted to traffic originating at and destined to the above-named origin and destinations, under a continuing contract, or contracts, with Williston Packing Co., Inc., Box 967, Williston, N. Dak. 58801, for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting Shipper(s): Williston Packing Co., Inc., Box 967, Williston, N. Dak. 58801. Send protests to: Ronald R. Mau, District Supervisor, Bureau of Operations, Interstate Commerce Commission, P.O. Box 2340, Fargo, N. Dak. 58102.

No. MC 143615 (Sub-No. 1TA), filed August 17, 1977. Applicant: L & A LEASING, INC., P.O. Box 233, Broadway, N.J. 08808. Applicant's representative: George A. Olsen, 69 Tonnele Avenue, Jersey City, N.J. 07306. Authority sought to operate as a *contract carrier*, by motor vehicle, over irregular routes, transporting: *Acids, solvents, chemicals and materials, equipment, and supplies* used in the manufacture of acids, solvents, and chemicals (except commodities in bulk). Between Harrison, Phillipsburg, N.J., Chicago, Ill., on the one hand, and, on the other Chicago, Ill., Brisbane, Hayward, Pittsburg, San Francisco, Los Angeles, Pasadena, Calif., Salt Lake City, Utah, Phoenix, Ariz., Denver, Colo., under continuing contract or contracts with J. T. Baker Chemical Co., Phillipsburg, N.J., for 180 days. Restricted to shipments moving in mechanical refrigeration equipment. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): J. T. Baker Chemical Co., North Broad Street, Phillipsburg, N.J. 08865. Send protests to: District Supervisor Joel Morrows, Interstate Commerce Commission, 9 Clinton Street, Newark, N.J. 07102.

By the Commission.

H. G. HOMME, JR.,
Acting Secretary.

[FR Doc. 77-27161 Filed 9-16-77; 8:45 am]

[Notice No. 118TA]

MOTOR CARRIER TEMPORARY AUTHORITY APPLICATIONS

SEPTEMBER 16, 1977.

The following are notices of filing of applications for temporary authority under Section 210a(a) of the Interstate Commerce Act provided for under the provisions of 49 CFR 1131.3. These rules provide that an original and six (6) copies of protests to an application may be filed with the field official named in the Federal Register publication no later than the 15th calendar day after the date the notice of the filing of the application is published in the FEDERAL REGISTER. One copy of the protest must be served on the applicant, or its authorized representative, if any, and the protestant must certify that such service has been made. The protest must identify the operating authority upon which it is predicated, specifying the "MC" docket and "Sub" number and quoting the particular portion of authority upon which it relies. Also, the protestant shall specify the service it can and will provide and the amount and type of equipment it will make available for use in connection with the service contemplated by the TA application. The weight accorded a protest shall be governed by the completeness and pertinence of the protestant's information.

Except as otherwise specifically noted, each applicant states that there will be no significant effect on the quality of the human environment resulting from approval of its application.

A copy of the application is on file, and can be examined at the Office of the Secretary, Interstate Commerce Commission, Washington, D.C., and also in the ICC Field Office to which protests are to be transmitted.

MOTOR CARRIERS OF PROPERTY

No. MC 51146 (Sub-No. 523TA), filed August 31, 1977. Applicant: SCHNEIDER TRANSPORT, INC., P.O. Box 2298, Green Bay, Wis. 54306. Applicant's representative: Neil A. DuJardin (same address as applicant). Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Expanded cellular foam rubber*, from Baltimore, Md., to Lancaster, Wis., for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): William T. Burnett & Co., Inc., 1500 Bush St., Baltimore, Md. 21230. Send protests to: Gail Daugherty, Transportation Asst., Interstate Commerce Commission, Bureau of Operations, U.S. Federal Bldg. and Courthouse, 517 East Wisconsin Avenue, Rm. 619, Milwaukee, Wis. 53202.

No. MC 100666 (Sub-No. 362TA), filed August 26, 1977. Applicant: MELTON TRUCK LINES, INC., P.O. Box 766, 1129 Grimmett Drive, Shreveport, La. 71107. Applicant's representative: Wilburn L. Williamson, 3535 NW. 58th St., Oklahoma City, Okla. 73112. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Roofing and roofing materials* (except commodities in bulk, in tank vehicles), from Stroud, Okla., to points in Colorado and Wyoming, for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): Allied Materials Corp., P.O. Box 12340, Oklahoma City, Okla. 73112. Send protests to: District Supervisor Ray C. Armstrong,

Jr., 701 Loyola Ave., 9038 Federal Bldg., New Orleans, La. 70113.

No. MC 112963 (Sub-No. 69TA), filed August 24, 1977. Applicant: ROY BROS. INC., 764 Boston Road, Pinehurst, Mass. 01866. Applicant's representative: Leonard E. Murphy (same address as applicant). Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Dry sugar*, in bulk, in specially designed dump vehicles, from Ports of Entry on the International Boundary line between the United States and Canada, in New York, Vermont, and New Hampshire to Waymouth, Mass., for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): Northeastern Brokerage, Inc., 308 Boylston Street, Boston, Mass. 02116. Send protests to: District Supervisor John B. Thomas, Bureau of Operations, Interstate Commerce Commission, 150 Causeway Street, Boston, Mass. 72114.

No. MC 113678 (Sub-No. 701TA), filed August 25, 1977. Applicant: CURTIS, INC., 4810 Pontiac St., Commerce City, Colo. 80022. Applicant's representative: Roger M. Shaner, P.O. Box 16004, Stockyards Station, Denver, Colo. 80216. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Frozen foods* (except commodities in bulk), from Traverse City, Mich., to points in Arizona, California, Idaho, Montana, Nevada, and Utah, for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): Chef Pierre, Inc., P.O. Box 1009, Traverse City, Mich. 49804. Send protests to: Herbert C. Ruoff, District Supervisor, Interstate Commerce Commission, 492 U.S. Customs House, 721 19th St., Denver, Colo. 80202.

No. MC 113678 (Sub-No. 702TA), filed August 25, 1977. Applicant: CURTIS, INC., 4810 Pontiac St., Commerce City, Colo. 80022. Applicant's representative: Roger M. Shaner, P.O. Box 16004, Stockyards Station, Denver, Colo. 80216. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Meat, and meat products* (except commodities in bulk in tank vehicles), from Webster City, Iowa, to points in Arizona, California, Colorado, Nebraska, Utah, and Wyoming, for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Send protests to: Webster City Custom Meats, Inc., East Hwy. 20, P.O. Box 280, Webster City, Iowa 50595. Send protests to: Herbert C. Ruoff, District Supervisor, Interstate Commerce Commission, 492 U.S. Customs House, 721 19th St., Denver, Colo. 80202.

No. MC 113678 (Sub-No. 703TA), filed August 25, 1977. Applicant: CURTIS, INC., 4810 Pontiac St., Commerce City, Colo. 80022. Applicant's representative: Roger M. Shaner, P.O. Box 16004, Stockyards Station, Denver, Colo. 80216. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Meats, meat products, meant by-products, and articles distributed by meat packinghouses* (except bulk), from Limon, Colo., to points in Arizona, California, New Jersey, New York, Pennsylvania, and Washington, for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): Limon Packing Co., Inc., 474 Wisconsin Avenue, Limon, Colo. 80828. Send protests to: Herbert C. Ruoff, District Supervisor, Interstate Commerce Commission, 492 U.S. Customs House, 721 19th St., Denver, Colo. 80202.

No. MC 117940 (Sub-No. 230TA), filed August 30, 1977. Applicant: NATIONWIDE CARRIERS, INC., P.O. Box 104, Maple Plain, Minn. 55359. Applicant's representative: Allan L. Timmerman (same address as applicant). Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Meats, meat products, meat by-products, and articles distributed by meat packing houses*, as described in Sections A and C of Appendix I to the report in Descriptions in Motor Carrier Certificates, 61 M.C.C. 209 and 766 (except hides and commodities in bulk), from the plantsite and storage facilities of Swift Fresh Meats Co. at or near Rochelle, Ill., to Lexington, Ky., and Cincinnati and Springdale, Ohio, for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): Swift Fresh Meats Co., a Division of Swift & Co., 115 W. Jackson Blvd., Chicago, Ill. 60604. Send protests to: Marion L. Cheney, Transportation Assistant, Interstate Commerce Commission, Bureau of Operations, 414 Federal Bldg. and U.S. Court House, 110 S. 4th St., Minneapolis, Minn. 55401.

No. MC 119789 (Sub-No. 369TA), filed August 29, 1977. Applicant: CARAVAN REFRIGERATED CARGO, INC., P.O. Box 6188, Dallas, Tex. 75222. Applicant's representative: Donald L. Stern, Suite 530 Univac Building, 7100 West Center Road, Omaha, Nebr. 68106. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular route, transporting: *Fresh meats and packinghouse products*, from the plant sites and storage facilities of Wilson Foods Corp. at Albert Lea, Minn.; Cherokee, Cedar Rapids, and Des Moines, Iowa, to points in Connecticut, Delaware, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Pennsylvania, Rhode Island, Vermont, Virginia, and the District of Columbia, for 180 days. Supporting shipper(s): Wilson Foods Corp., 4545 Lincoln Boulevard, Oklahoma City, Okla. 73105. Send protests to: Opal M. Jones, Transportation Asst., 1100 Commerce Street, Room 13C12, Dallas, Tex. 75242.

No. MC 119988 (Sub-No. 123TA), filed August 18, 1977. Applicant: GREAT WESTERN TRUCKING CO., INC., P.O. Box 1384, Hwy 103 East, Lufkin, Tex. 75901. Applicant's representative: Clayte Binion, 1108 Continental Life Bldg., Fort Worth, Tex. 76102. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Canned fruit and canned vegetable juices*, from Weslaco, Tex., to points in Alabama, Arizona, Arkansas, California, Colorado, Iowa, Kansas, Kentucky, Louisiana, Michigan, Minnesota, Mississippi, Missouri, Nebraska, New Mexico, Nevada, Ohio, Oklahoma, Oregon, Tennessee, Utah, Washington, and Wisconsin, for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): Texsun Corp., 522 South Texas Boulevard, Weslaco, Tex. 78596. Send protests to: John F. Mensing, District Supervisor, Interstate Commerce Commission, 8610 Federal Bldg., 515 Rusk, Houston, Tex. 77002.

No. MC 120761 (Sub-No. 28TA), filed August 29, 1977. Applicant: NEWMAN BROS. TRUCKING CO., 6559 Midway Road, P.O. Box 13302, Fort Worth, Tex. 76118. Applicant's representative: Clint Oldham, 1108 Continental Life Bldg., Fort Worth, Tex. 76102. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Hardwood products, plywood and particle board*, from the facilities of Contemporary Corporation at or near Dallas, Tex., and the facilities of Elof Hansson, Inc., at or near Arlington, Tex., to points in Arkansas, Georgia, Kansas, Louisiana, Missouri, and Oklahoma, for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): Contemporary Corp., 915 S. Great Southwest Parkway, Grand Prairie, Tex. 75051; and Elof Hansson, Inc., 1701 Timberlake Drive, Arlington, Tex. 76010. Send protests to: Robert J. Kirspel, District Supervisor, Bureau of Operations, Interstate Commerce Commission, Rm. 9A27, Federal Building, 819 Taylor St., Fort Worth, Tex. 76102.

No. MC 120978 (Sub-No. 18TA), filed August 30, 1977. Applicant: REINHART MAYER, doing business as MAYER TRUCK LINE, 1203 South Riverside Drive, Jamestown, N. Dak. 58401. Applicant's representative: Gene P. Johnson, P.O. Box 2471, Fargo, N. Dak. 58102. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Scrap paper for recycling and reuse*, from Chicago, Ill., and Duluth and Minneapolis, Minn., to Valley City, N. Dak., for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): NCI, Inc., P.O. Box 267, Valley City, N. Dak. 58072. Send protests to: Ronald R. Mau, District Supervisor, Bureau of Operations, Interstate Commerce Commission, P.O. Box 2340, Fargo, N. Dak. 58102.

No. MC 121060 (Sub-No. 49TA), filed August 22, 1977. Applicant: ARROW TRUCK LINES, INC., P.O. Box 1416, 1220 West 3rd St., Birmingham, Ala. 35201. Applicant's representative: William P. Jackson, 3426 North Washington Blvd., P.O. Box 1267, Arlington, Va. 22210. Au-

thority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Plywood and composition board*, from the facilities of Champion International Corp. located at Charleston, S.C., to points in Illinois, Indiana, Kentucky, Ohio, Tennessee, and Wisconsin, for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): Champion International Corp., Knightsbridge Drive, Hamilton, Ohio 45020. Send protests to: Mabel E. Holston, Transportation Assistant, Bureau of Operations, Interstate Commerce Commission, Rm. 1616, 2121 Bldg., Birmingham, Ala. 35203.

No. MC 123387 (Sub-No. 9TA), filed August 29, 1977. Applicant: E. E. HENRY, 1923 Sparrow Road, Chesapeake, Va. 23320. Applicant's representative: William P. Jackson, Jr., 3426 N. Washington Blvd., P.O. Box 1267, Arlington, Va. 22210. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Glass containers*, from the facilities of Midland Glass Co. located at or near Warner Robins, Ga., to Williamsburg, Va., and points within its commercial zone, for 180 days. Supporting shipper(s): Midland Glass Co., P.O. Box 557, Cliffwood, N.J. 07721. Send protests to: District Supervisor Paul D. Collins, Bureau of Operations, Interstate Commerce Commission, Rm. 10–502, Federal Bldg., 400 North 8th St., Richmond, Va. 23240.

No. MC 124151 (Sub-No. 7TA), filed August 30, 1977. Applicant: VANGUARD TRANSPORTATION INC., Foot Lafayette Street, Carteret, N.J. 07008. Applicant's representative: Morton E. Kiel, Suite 6193—5 World Trade Center, New York, N.Y. 10048. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Petroleum products* (except petrochemicals), in bulk, in tank vehicles, from the facilities of Mobil Oil Corp. at or near Paulsboro, N.J., to points in Massachusetts, Rhode Island, Connecticut, New York, Pennsylvania, and Baltimore, Md. (restricted to traffic originating at the named origin and destined to the named destinations), for 180 days. Supporting shipper(s): Mobil Oil Corp., 150 East 42nd Street, New York, N.Y. 10017, Roger Williams, Manager, Eastern Traffic Area. Send protests to: Robert S. H. Vance, District Supervisor, Interstate Commerce Commission, 9 Clinton Street, Newark, N.J. 07102.

No. MC 129262 (Sub-No. 1TA), filed August 15, 1977. Applicant: AYERS AND MADDUX, INC., 510 East Olympic Blvd., Los Angeles, Calif. 90015. Applicant's representative: Donald Murchison, 9454 Wilshire Blvd., Beverly Hills, Calif. 90212. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Alcoholic liquors*, in bulk, in tank vehicles, from ports of entry on the United States-Mexico International Boundary line located at Laredo and El Paso, Tex., to Bardstown and Louisville, Ky., for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shippers: (1) Barton Brands, Ltd., Barton Rd., Bardstown, Ky. 40004; (2) Brown Foreman Distillers Corp., 850 Dixie Hwy., Louisville, Ky. 40210; (3) Tequila Tres Magueyes, S.A., Porfirio Diaz 17, Atotonilco, Jalisco, Mexico; and (4) Tequila San Matias de Jalisca, S.A., Calzada Gonzalez Gallo No. 2565, Guadalajara, Jal., Mexico. Send protests to: Irene Carlos, Transportation Asst., Interstate Commerce Commission, Room 1321, Federal Bldg., 300 North Los Angeles St., Los Angeles, Calif. 90012.

No. MC 133928 (Sub-No. 13TA), filed August 18, 1977. Applicant: OSTERKAMP TRUCKING, INC., 1049 N. Glassell St., Orange, Calif. 92667. Applicant's representative: Anthony Henry Osterkamp, Jr., P.O. Box 5546, Orange, Calif. 92667. Authority sought to operate as a *contract carrier*, by motor vehicle, over irregular routes, transporting: *Gypsum, gypsum products, and materials and supplies* used in the manufacture, installation, and distribution of the above named commodities (except in bulk), between the plantsite of the United States Gypsum Company located at or near Empire, Nev., to the plantsite of the United States Gypsum Company located at or near Heath, Mont., under a continuing contract, or contracts, with United States Gypsum Company, for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): United States Gypsum Company, 525 S. Virgil Ave., Los Angeles, Calif. 90020. Send protests to: Irene Carlos, Transportation Asst., Interstate Commerce Commission, Room 1321, Federal Bldg., 300 North Los Angeles St., Los Angeles, Calif. 90012.

No. MC 135539 (Sub-No. 9TA), filed August 12, 1977. Applicant: FARM SERVICE & SUPPLIES, INC., P.O. Box 5351, Evansville, Ind. 47715. Applicant's representative: Margie Market, 305 Van Buren St., Marengo, Ill. 60152. Authority sought to operate as a *contract carrier*, by motor vehicle, over irregular routes, transporting: *Continuous flow grain dryers*, portable and stationary, between the plantsite of Delux Mfg. Company, located at Kearney, Nebr., and points in Iowa, Illinois, Indiana, Ohio, Pennsylvania and North Carolina, under a continuing contract, or contracts, with Delux Mfg. Company, for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shippers: Delux Mfg. Company, P.O. Box Q, Kearney, Nebr. 68847. Send protests to: William S. Ennis, District Supervisor, Interstate Commerce Commission, Federal Bldg. and U.S. Courthouse, 46 East Ohio St., Room 429, Indianapolis, Ind. 46204.

No. MC 135684 (Sub-No. 53TA), filed August 29, 1977. Applicant: BASS TRANSPORTATION CO., INC., P.O. Box 391, Old Croton Road, Flemington, N.J. 08822. Applicant's representative: Herbert A. Dubin, 1320 Fenwick Lane, Silver Spring, Md. 20910. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Plastic containers*, from the plantsite and warehouse facilities of U.S. Steel Products, located at or near Newnan, Ga., to the facilities of Merck & Co., Inc., at Rahway, N.J., for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper: Merck & Co., Inc., P.O. Box 2000, Rahway, N.J. 07065. Send protests to: District Supervisor, Interstate Commerce Commission, 428 East State Street, Room 204, Trenton, N.J. 08608.

No. MC 138313 (Sub-No. 25TA), filed August 26, 1977. Applicant: BUILDERS TRANSPORT, INC., 409 14th Street SW., Great Falls, Mont. 59404. Applicant's representative: Irene Warr, 430 Judge Bldg., Salt Lake City, Utah 84111. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Insulation*, from the plantsite and facilities of Robinson Insulation Company located at or near Great Falls, Mont., to points in North Dakota, Wyoming, Utah, Idaho, Washington and Oregon, for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): Floyd E. Gebert, General Manager, Robinson Insulation Company, 1226 River Drive Mo., Great Falls, Mont. 59403. Send protests to: Paul J. Labone, District Supervisor, Interstate Commerce Commission, 2602 First Avenue North, Billings, Mont. 59101.

No. MC 138824 (Sub-No. 7TA), filed August 10, 1977. Applicant: REDWAY CARRIERS, INC., 5910 49th St., Kenosha, Wis. 53140. Applicant's representative: Paul J. Maton, 10 S. LaSalle St., Chicago, Ill. 60603. Authority sought to operate as a *contract carrier*, by motor vehicle, over irregular routes, transporting: *Bins* knocked down, and *parts for machines, equipment and implements* uncrated, or in tote boxes, or palletaners, or on skids, between the plantsites and warehouses of Outboard Marine Corportion, located in or near Galesburg, Ill. and the plantsite and warehouse facilites of Outboard Marine Corporation located at or near Beloit, Wis., under a continuing contract, or contracts, with Outboard Marine Corporation, for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): Outboard Marine Corporation, 100 Sea Horse Drive, Waukegan, Ill. Send protests to: Gail Daugherty, Transportation Asst., Interstate Commerce Commission, Bureau of Operations, U.S. Federal Bldg. and Courthouse, 517 East Wisconsin Avenue, Room 619, Milwaukee, Wis. 53202.

No. MC 142268 (Sub-No. 19TA), filed August 31, 1977. Applicant: GORSKI BULK TRANSPORT, INC., R.R. No. 4. Harrwo, Ontario, Canada NOR 1G0. Applicant's representative: Bernard S. Gorski, 843 Central Ave., Windsor, Ontario, Canada N8Y 4S2. Authority sought to operate as a *common carrier*, by mo-

tor vehicle, over irregular routes, transporting: *Alcoholic liquors*, in bulk, in tank vehicles, from International Port of Entry at Laredo, Tex., to Owensboro, Ky., for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): Glenmore Distilleries Company, P.O. Box 1069, Owensboro, Ky. 42301. Send protests to: Erma W. Gray, Secretary, Interstate Commerce Commission, Bureau of Operations, 604 Federal Bldg., and U.S. Courthouse, 231 West Lafayette Blvd., Detroit, Mich. 48226.

No. MC 142811 (Sub-No. 2TA), filed August 30, 1977. Applicant: S. R. I. TRUCKING CO., 1000 N. Cindy Lane, Carpenteria, Calif. 93013. Applicant's representative: R. Y. Schureman, 1545 Wilshire Blvd., Los Angeles, Calif. 90017. Authority sought to operate as a *contract carrier*, by motor vehicle, over irregular routes, transporting: *Restaurant supplies, foodstuffs, fats and oils* (except in bulk), *furniture and fixtures, shellfish, poultry, fresh produce and fish*, normally exempt from economic regulation under Section 203(b) of the Interstate Commerce Act, when moving in mixed loads with restaurant supplies, foodstuffs, fats and oils (except in bulk) and *furniture and fixtures*, (1) from the warehouse facilities of Sambo's Restaurants, Inc., at Florence, Ky., to all Sambo's restaurants located in Arkansas, Illinois, Iowa, Kansas, Louisiana, Michigan, Minnesota, Nebraska, North Dakota, Oklahoma, South Dakota, Texas and Wisconsin; and (2) from points in Arkansas, Illinois, Iowa, Kansas, Louisiana, Michigan, Minnesota, Nebraksa, North Dakota, Oklahoma, South Dakota, Texas and Wisconsin, to the warehouse facilities of Sambo's Restuarants, Inc. at Florence, Ky., restricted to a transportation service to be performed under a continuing contract, or contracts, with Sambo's Restaurants, Inc., for 180 days. Supporting shipper(s): Sambo's Restaurants, Inc., 3760 State Street, Santa Barbara, Calif. 93105. Send protests to: Irene Carlos, Transportation Assistant, Interstate Commerce Commission, Room 1321 Federal Building, 300 North Los Angeles Street, Los Angeles, Calif. 90012.

No. MC 143561 (Sub-No. 1TA), filed August 29, 1977. Applicant: Joseph E. Cobb, d.b.a., J. E. C. TRUCKING, 35324 Severen Dr., P.O. Box 111, Newark, Calif. 94560. Applicant's representative: Joseph E. Cobb (same address as applicant). Authority sought to operate as a *contract carrier*, by motor vehicle, over irregular routes, transporting: *Meat, meat products*, and *meat by-products and articles distributed by meat packinghouses* as described in Sections A and C of Appendix I to the report in *Descriptions in Motor Carrier Certificates*, 61 M.C.C. 209 and 766 (including hides and commodities in bulk), from Yerington, Nev., to points in California, and *equipment, materials and supplies* used in the conduct of a meat packinghouse business, from points in California to Yerington, Nev., restricted to a transportation service to be performed under a continuing contract, or contracts, with Nevada West Packing, Inc., for 90 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): Nevada West Packing, Inc., P.O. Box JJ, Nordyke Cutoff, Yerington, Nev. 98447. Send protests to: District Supervisor, A. J. Rodrigues, 211 Main, Suite 500, San Francisco, Calif. 94105.

No. MC 143581 (Sub-No. 1TA), filed August 24, 1977. Applicant: R. E. ELLIS DRAYING CO., INC., 201 Alabama St., San Francisco, Calif. 94103. Applicant's representative: Daniel W. Baker, 100 Pine St., San Francisco, Calif. 94111. Authority sought to operate as a *contract carrier*, by motor vehicle, over irregular routes, transporting: (1) *Such commodities* as are dealt in by a packer and manufacturer of foods and foodstuffs, and in connection therewith, equipment, materials and supplies used in the conduct of such a business (except commodities in bulk), and (2) *commodities* which are otherwise exempt from economic regulation under Section 203(b)(6) of the Interstate Commerce Act, when moving in mixed loads with the commodities specified in (1) above, (a) from San Francisco and Oakland, Calif., to the plant site of Spice Islands at Sparks, Nev.; and (b) from the plant site of Spice Islands at Sparks, Nev., to points in San Francisco, Marin, Sonoma, Napa, Solano, Contra Costa, Alameda, Santa Clara, San Mateo, Santa Cruz, Yolo, Sacramento, San Joaquin and Stanislaus Counties, Calif., restricted to a transportation service to be performed under a continuing contract, or contracts, with Spice Islands, a Division of Specialty Brands, Inc., for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): Spice Islands, a Division of Specialty Brands, Inc., 345 Allerton Ave., S. San Francisco, Calif. 94080. Send protests to: Michael M. Butler, District Supervisor, 211 Main, Suite 500, San Francisco, Calif. 94105.

No. MC 143644 (Sub-No. 1TA), filed August 29, 1977. Applicant: EMBER LINK, Rural Route 1, Palmyra, Ind. 47164. Applicant's representative: Edwin J. Simcox, 601 Chamber of Commerce Bldg., Indianapolis, Ind. 46204. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Sand and gravel*, from the plantsite of M & M Construction Service, Inc., in Floyd and Harrison Counties, Ind., to points in Breckenridge, Hardin and Meade Counties, Ky., for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): M & M Construction Service, Inc., 35 West Seventh St., New Albany, Ind. 47150. Send protests to: William S. Ennis, District Supervisor, Interstate Commerce Commission, Federal Bldg. and U.S. Courthouse, 46 East Ohio St., Room 429, Indianapolis, Ind. 46204.

By the Commission.

H. G. Homme, Jr.,
Acting Secretary.

[FR Doc.77-27162 Filed 9-16-77;8:45 am]

[Notice No. 113TA]

MOTOR CARRIER TEMPORARY AUTHORITY APPLICATIONS

September 8, 1977.

The following are notices of filing of applications for temporary authority under Section 210a(a) of the Interstate Commerce Act provided for under the provisions of 49 CFR 1131.3. These rules provide that an original and six (6) copies of protests to an application may be filed with the field official named in the Federal Register publication no later than the 15th calendar day after the date the notice of the filing of the application is published in the Federal Register. One copy of the protest must be served on the applicant, or its authorized representative, if any, and the protestant must certify that such service has been made. The protest must identify the operating authority upon which it is predicated, specifying the "MC" docket and "Sub" number and quoting the particular portion of authority upon which it relies. Also, the protestant shall specify the service it can and will provide and the amount and type of equipment it will make available for use in connection with the service contemplated by the TA application. The weight accorded a protest shall be governed by the completeness and pertinence of the protestant's information.

Except as otherwise specifically noted, each applicant states that there will be no significant effect on the quality of the human environment resulting from approval of its application.

A copy of the application is on file, and can be examined at the Office of the Secretary, Interstate Commerce Commission, Washington, D.C., and also in the ICC Field Office to which protests are to be transmitted.

Motor Carriers of Property

No. MC 4428 (Sub-No. 17TA), filed August 16, 1977. Applicant: HARCHEL-ROAD TRUCKING CO., 243 Tilford Rd., Pittsburgh, Pa. 15235. Applicant's representative: Christian V. Graf, 407 North Front St., Harrisburg, Pa. 17101. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Refractory products*, from the plantsites and shipping facilities of Freeport Brick Company, at or near Freeport and Reesedale, Armstrong County and Creighton, Allegheny County, Pa., to points in New York, Ohio and Mich., restricted to traffic originating at and destined to the above origins and destinations, for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): Freeport Brick Company, Drawer F, Freeport, Pa. 16229. Send protests to: John J. England, District Supervisor, Interstate Commerce Commission, 2111 Federal Building, 1000 Liberty Ave., Pittsburgh, Pa. 15222.

No. MC 40978 (Sub-No. 33TA), filed August 16, 1977. Applicant: CHAIR CITY MOTOR EXPRESS COMPANY, 3321 Business, 141 South, Sheboygan, Wis. 53081. Applicant's representative: Wm. C. Dineen, 710 N. Plankinton, Milwau-

kee, Wis. 53203. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Such commodities* as are manufactured, distributed or used by manufacturers or distributors of plastic, wooden, and health care products (except commodities in bulk), betwen Sheboygan Falls, Wis., and points in the Sheboygan Falls, Wis., Commercial Zone, on the one hand, and, on the other, points in the United States in and east of North Dakota, South Dakota, Nebraska, Kansas, Oklahoma, and Tex., for 180 days. Supporting shipper(s): Bemis Manufacturing, Sheboygan Falls, Wis. 53085. (Fritz H. Hebeker). Send protests to: Gail Daugherty Transportation Assistant, Interstate Commerce Commission, Bureau of Operations, U.S. Federal Building & Courthouse, 517 East Wisconsin Avenue, Room 619, Wilwaukee, Wis. 53202.

No. MC 51146 (Sub-No. 521TA), filed August 22, 1977. Applicant: SCHNEIDER TRANSPORT, INC., P.O. Box 2298, Green Bay, Wis. 54306. Applicant's representative: Neil A. DuJardin (same address as applicant). Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Malt beverages and related advertising materials, and returned empty malt beverage containers*, between the facilities of Pabst Brewing Company at or near Pabst, Ga., on the one hand, and, on the other, points in Illinois, Indiana, Iowa, Michigan, Minnesota, Missouri, Ohio and Wis., for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): Pabst Brewing Company, 917 W. Juneau Avenue, Milwaukee, Wis. 53201. (Richard Trampe) Send protests to: Gail Daugherty Transportation Assistant, Interstate Commerce Commission, Bureau of Operations, U.S. Federal Building and Courthouse, 517 East Wisconsin Ave., Room 619, Milwaukee, Wis. 53202.

No. MC 106074 (Sub-No. 40TA), filed August 19, 1977. Applicant: B AND P MOTOR LINES, INC., Oakland Rd., P.O. Box 727, Forest City, N.C. 28043. Applicant's representative: George W. Clapp, 109 Hartsville St., P.O. Box 836, Taylors, S.C. 29687. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Frozen foods*, from points in Cameron, Hidalgo and Webb Counties, Tex., to points in Arkansas, and La., and points in the United States in and east of Illinois, Kentucky, Mississippi, Tennessee and Wis., for 180 days. Supporting shipper(s): Bluebonnet Foods, Inc., Monte Alto, Tex. 78538. Rio Sales Company, Inc., 701 North 15th Street, McAllen, Tex. 78501. Sun Valley Sales Co., 1019 Wisteria Street, McAllen, Tex. 78501. San Antonio Foreign Trading Co., Inc., 306 W. Rhapsody, San Antonio, Tex. 78216. Send protests to: Terrell Price, District Supervisor, Interstate Commerce Commission, 800 Briar Creek Rd., Mart Office Bldg., Room CC–516, Charlotte, N.C. 28205.

No. MC 114457 (Sub-No. 326TA), filed August 22, 1977. Applicant: DART TRANSIT COMPANY, 2102 University Ave., St. Paul, Minn. 55114. Applicant's representative: James H. Wills, 2102 University Ave., St. Paul, Minn. 55114. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Foodstuffs* (except dairy products, frozen foods and commodities in bulk), from the plantsite and/or warehouse facilities of Geo. A. Hormel & Co., at or near Austin, Minn., to points in Oklahoma and Ark., for 180 days. Supporting shipper(s): Geo. A. Hormel & Co. P.O. Box 800, Austin, Minn. 55912. Send protests to: Marion L. Cheney Transportation Assistant, Interstate Commerce Commission, Bureau of Operations, 414 Federal Bldg., and U.S. Court House, 110 S. 4th Street, Minneapolis, Minn. 55401.

No. MC 114569 (Sub-No. 186TA), filed August 23, 1977. Applicant: SHAFFER TRUCKING, INC., P.O. Box 418, New Kingstown, Pa. 17072. Applicant's representative: N. L. Cummins, P.O. Box 418, New Kingstown, Pa. 17072. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Frozen fruits and frozen vegetables*, from points in Cameron and Hidalgo Counties, Tex., to Cambridge, Md.; Jackson.; Ohio.; and Kansas City, Mo., for 180 days. Supporting shipper(s): RJF Foods, Inc. P.O. Box 3037, Winston-Salem, N.C. 27102. Send protests to: Charles F. Myers District Supervisor, Interstate Commerce Commission, P.O. Box 869, Harrisburg, Pa. 17108.

No. MC 116763 (Sub-No. 388TA), filed August 5, 1977. Applicant: CARL SUBLER TRUCKING, INC., North West St., Versailles, Ohio 45380. Applicant's representative: H. M. Richters (same as above). Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: (1) *Building materials and supplies; building mortar; concrete surface curing compounds; and adhesives;* and (2) *equipment, materials and supplies* used in the installation or application of commodities named in item (1) above, from Conyers, Ga., to points in Alabama, Arkansas, Florida, Louisiana, Mississippi, North Carolina, Oklahoma, South Carolina, Tennessee, Texas, and Virginia. Restricted to the transportation of traffic originating at the facilities of UPCO Company located at or near Conyers, Ga., for 180 days. Supporting shipper: The UPCO Company, 4805 Lexington Ave., Cleveland, Ohio 44103. Send protests to: Paul J. Lawry, District Supervisor, Bureau of Operations, Interstate Commerce Commission, 5514–B Federal Building, 550 Maine St., Cincinnati, Ohio 45202.

No. MC 118776 (Sub-No. 24TA), filed August 22, 1977. Applicant: C. L. CONNORS, INC., 3820 Wisman Lane, Quincy, Ill. 62301. Applicant's representative: Frank W. Taylor, Jr., Suite 600, 1221 Baltimore Ave., Kansas City, Mo. 64105. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Beer*, from Milwaukee, Wis., to Quincy, Ill., for 180 days. Supporting shipper(s): B. Kirk McAllister Vice President & Executive Operator and Officer, Consumers Sales Distribution Company (Corp.) 833 Jersey Street, Quincy, Ill. 62301. Send protests to: Harold C. Jolliff District Supervisor, Interstate Commerce Commission, P.O Box 2418, Springfield, Ill. 62705.

No. MC 118882 (Sub-No. 5 TA), filed August 8, 1977. Applicant: JOE L. LAMBERT, doing business as LAMBERT TRUCKING SERVICE, 715 Fox Avenue, Harrisville, W. Va. 26362. Applicant's representative: John M. Friedman, 2930 Putnam Avenue, Hurricane, W. Va. 25526. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Precast concrete pipe and precast concrete products*, (1) from points in West Virginia to all points in Ohio on and east of a line running parallel with Interstate Highway 75 from Toledo, Ohio, to Findlay, thence south along Route 68 through Kenton, Belfontaine, Urbana, Springfield, Xenia, Wilmington, Fairfield, and Ripley, Ohio, and (2) from Zanesville, Ohio, to points in West Virginia, for 180 days. Supporting shipper: Charles Marshall, Jr., Vice President and Treasurer, Centurial Products Corp., Box 265, Waverly, W. Va. 26184. Send protests to: H. R. White, District Supervisor, Interstate Commerce Commission, 3108 Federal Office Bldg., 500 Quarrier Street, Charleston, W. Va. 25301.

No. MC 120646 (Sub-No. 21TA), filed August 11, 1977. Applicant: BRADLEY FREIGHT LINES, INC., 35 Carfield Street, Asheville, N.C. 28803. Applicant's representative: Henry E. Seaton, 915 Pennsylvania Bldg., Pennsylvania Avenue and 13th Street NW., Washington, D.C. 20004. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Commodities* used in the manufacture of new furniture, from points in Pennsylvania, Ohio, West Virginia, Kentucky, New York, Indiana, Illinois, Michigan, Missouri, and Wisconsin, to the facilities of Drexel-Heritage Furnishings located at or near Kingstree, S.C., and Black Mountain and Whittier, N.C., for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): Drexel Heritage Furnishings, Huffman Road, Drexel, N.C. 28619. Send protests to: Terrell Price, District Supervisor, Interstate Commerce Commission, Mart Office Building, Room CC–516, 800 Briar Creek Road, Charlotte, N.C. 28205.

No. MC 126126 (Sub-No. 6TA), filed August 23, 1977. Applicant: RABB BROS. TRUCKING, INC., P.O. Box 736, San Joaquin, Calif. 93660. Applicant's representative: Randall M. Faccinto, Handler, Baker & Greene, 100 Pine Street, Suite 2550, San Francisco, Calif. 94111. Authority sought to operate as a *contract carrier*, by motor vehicle over irregular routes, transporting: *Dry fertilizer*, in bulk, (1) from Helm, Bena, Edison, and Hercules, Calif., to points in Lane, Linn, and Benton Counties, Oreg.; and (2) from Bena, Edison, and Hercules, Calif., to points in Douglas, Coos

Curry, Josephine, Klamath, Jackson, and Lake Counties, Oreg., under a continuing contract, or contracts, with Valley Nitrogen Producers, Inc., for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): Valley Nitrogen Producers, Inc., P.O. Box 128, Helm, Calif. 93627. Send protests to: Michael M. Butler, District Supervisor, 211 Main, Suite 500, San Francisco, Calif. 94105.

No. MC 128007 (Sub-No. 107TA), filed August 23, 1977. Applicant: HOFER, INC., P.O. Box 583, 4032 Parkview Drive, Pittsburg, Kans. 66762. Applicant's representative: Larry E. Gregg, 641 Harrison, Topeka, Kans. 66603. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Copper sulphate and iron sulphate*, from the facilities of Chem-Serv Division, United Chemical Corp. of New Mexico, at Gilbert, Ariz., and St. Louis, Mo., to points in Arkansas, Colorado, Illinois, Iowa, Kansas, Louisiana, Minnesota, Mississippi, Missouri, Nebraska, North Dakota, Oklahoma, South Dakota, Texas, and Wisconsin, for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): Westmin Corp., P.O. Box 822, Quincy, Ill. 62301. Send protests to: M. E. Taylor, District Supervisor, Interstate Commerce Commission, 101 Litwin Building, Wichita, Kans. 67202.

No. MC 128246 (Sub-No. 21TA), filed August 8, 1977. Applicant: SOUTHWEST TRUCK SERVICE, P.O. Box A.D., Watsonville, Calif. 95076. Applicant's representative: William F. King, Suite 400, Overlook Building, 6121 Lincolnia Road, Alexandria, Va. 22312. Authority sought to operate as a *contract carrier*, by motor vehicle, over irregular routes, transporting: (a) *Meat, meat products, and meat by-products*, as described in section A of Appendix I to the report in Descriptions in Motor Carrier Certificates, 61 M.C.C. 209 and 766 (except commodities in bulk), (b) from the plantsite and warehouse facilities of Payton Packing Co. at or near El Paso, Tex., the plantsite and warehouse facilities of Glover Packing Co. at or near Roswell, N. Mex., the plantsite and warehouse facilities of Swift & Co. at or near Clovis, N. Mex., and the plantsite and warehouse facilities of Schwartzman Packing Co. at or near Albuquerque, N. Mex., to the plantsite and warehouse facilities of the Safeway Stores, Inc., meat processing plant at or near Wichita, Kans. Restriction: The operations described above are limited to a transportation service to be performed under a continuing contract or contracts with Safeway Stores, Inc., of Oakland, Calif.

NOTE.—The authority sought involves only the territorial expansion of the present contract carrier service being provided by applicant under continuing contracts with Safeway Stores, Inc., of Oakland, Calif., for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority.

Supporting shipper: Safeway Stores, Inc., 5725 E. 14th St., Oakland, Calif. 94660. Send protests to: District Supervisor Michael M. Butler, 211 Maine St., Suite 500, San Francisco, Calif. 94105.

No. MC 128449 (Sub-No. 8TA), filed August 23, 1977. Applicant: JAMES A. Tucker, d.b.a. Jimmie Tucker Trucking, P.O. Box 428, Browkn Bow, Okla. 74728. Applicant's representative: Rufus H. Lawson, 106 Bixler Building, 2400 Northwest 23rd Street, Oklahoma City, Okla. 73107. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Wood post, poles, and lumber, treated and untreated*, from plantsite of the Weyerhauser Co., located at or near De Queen, Ark., to the states of Colorado, Kansas, Iowa, Nebraska, New Mexico, Missouri, Oklahoma, and Texas, for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): Weyerhauser Co., 810 Whittington Avenue, Hot Springs, Ark. 71901. Send protests to: Joe Green, District Supervisor, Interstate Commerce Commission, Room 240, Old Post Office Building, 215 Northwest Third Street, Oklahoma City, Okla. 73102.

No. MC 128555 (Sub-No. 18TA), filed August 19, 1977. Applicant: MEAT DISPATCH, INC., 2103 17th Street East, Palmetto, Fla. 33561. Applicant's representative: S. Michael Richards, 44 North Avenue, P.O. Box 225, Webster, N.Y. 14580. Authority sought to operate as a *contract carrier*, by motor vehicle, over irregular routes, transporting: *Paper and paper products*, from Texarkana, Ark., Port Edwards, Wis.; Rapids, Brokaw, and Green Bay, Wis., to Rochester, N.Y., under a continuing contract, or contracts, with Economy Paper Co., for 180 days. Supporting shipper(s): Economy Paper Co., 1175 East Main Street, Rochester, N.Y. Send protests to: Donna M. Jones, Transportation Assistant, Interstate Commerce Commission, Monterey Building, Suite 101, 8410 Northwest 5rd Terrace, Miami, Fla. 33166.

No. MC 133168 (Sub No. 5TA), filed August 19, 1977. Applicant: DELTA EXPRESS, INC., P.O. Box 776, River Road, Natchitoches, La. 71457. Applicant's representative: D. E. Lester, P.O. Box 776, Natchitoches, La. 71457. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Building materials*, from the plant sites of Reed Lumber Co. in Bernice, Monroe, and Holly Ridge, La. to points in Mississippi, Texas, and Arkansas, for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): C. A. Reed Lumber Co., P.O. Box 267, Bernice, La. 71222. Send protests to: Ray C. Armstrong, Jr., District Supervisor, 701 Loyala Avenue, 9038 Federal Building, New Orleans, La. 70113.

No. MC 134022 (Sub-No. 27TA), filed August 4, 1977. Applicant: RICHARD A. ZIMA, doing business as Zipco, P.O. Box 715, West Bend, Wis. 53095. Applicant's representative: Richard A. Zima, 3462 Townhall Road, Kewaskum, Wis. 53040. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: (1) *Frozen sandwiches and bread products*, and (2) *materials, equipment, and supplies* used in the manufacture of frozen sandwiches and bread products in mechanically refrigerated, temperature controlled vehicles, (1) from Plover, Wis., to points in Maryland, New Jersey, Washington, D.C., Pennsylvania, Rhode Island, New York, Ohio, Michigan, Massachusetts, Connecticut, and Indiana; and (2) from the points as described in part (1) to Plover, Wis., for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper: Great American Basic Commodities, Inc., 1001 Hoover Road, Plover, Wis. 54467. Send protests to: Gail Daugherty, Transportation Assistant, Interstate Commerce Commission, Bureau of Operations, U.S. Federal Building and Courthouse, 517 East Wisconsin Avenue, Room 619, Milwaukee, Wis. 53202.

No. MC 135797 (Sub-No. 80TA), filed August 8, 1977. Applicant: J. B. HUNT TRANSPORT, INC., P.O. Box 200, U.S. Hwy 71, Lowell, Ark. 72745. Applicant's representative: Paul A. Maestri, P.O. Box 200, Lowell, Ark. 72745. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: (1) *Animal and poultry medicinal health products* (except in bulk), from Norwich, N.Y., to Ashland, Ohio, and (2) *feed supplements, components, and ingredients* (except in bulk), from Lowell, Ark., to Sparks, Nev., for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): Smith Kline Animal Health Products, Division of Smith Kline Corp., P.O. Box 219, Lowell, Ark. 72745. Send protests to: William H. Land, Jr., District Supervisor, 3108 Federal Office Building, 700 West Capitol, Little Rock, Ark. 72201.

No. MC 136521 (Sub-No. 2TA), filed August 8, 1977. Applicant: EXECUTIVE COURIER SERVICE, INC., 305 Cravens Bldg., Oklahoma City, Okla. 73102. Applicant's representative: David L. Leffel, 3000 N. Santa Fe, Oklahoma City, Okla. 73105. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Currency, cash letters, checks, audit media, and other business records, computer print outs, engineering diagrams, legal documents, exposed and processed film and prints, video tape recordings*, transported in passenger cars only, between Amarillo, Tex., on the other hand, and on the other points in Potter County, Moore, and Sherman County, and Dallam County, the state of Texas; Cimmarron County, Tex., County in the State of Oklahoma; and Seward County in the State of Kansas, for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper: City National Bank and Trust Co., Box 410, Guymon, Okla. 73942; The Citizens State

Bank, P.O. Box 1299, Liberal, Kans.; Bank of the Panhandle, Inc., P.O. Box 1027, Guymon, Okla. 73942; First Nation Bank, P.O. Box 649, Texhoma, Okla. 73949; First National Bank, P.O. Box 100, Guymon, Okla. 73942; Western Data Centers, Inc., P.O. Box 1308, Amarillo, Tex. 79105. Send protests to: District Supervisor Joe Green, Room 240 Old Post Office Bldg., 215 Northwest Third Street, Oklahoma City, Okla. 73102.

No. MC 139313 (Sub-No. 6TA), filed August 22, 1977. Applicant: KRIMBEL TRUCKING CO., INC., 3554 McReynolds Avenue, Modesto, Calif. 95355. Applicant's representative: Henry C. Winters, 15 South Grady Way, Suite 235, Renton, Wash. 98055. Authority sought to operate as a *contract carrier*, by motor vehicle, over irregular routes, transporting: *Alcoholic beverages* (except in bulk), from San Francisco and Los Angeles, Calif., and their commercial zones, to Seattle and Bellevue, Wash., under a continuing contract, or contracts, with K & L Distributors, Inc., for 180 days. Supporting shipper(s): K & L Distributors, Inc., 300 120th Avenue NE., Bellevue, Wash. 98006. Send protests to: L. D. Boone, Transportation Specialist, Bureau of Operations, Interstate Commerce Commission, 858 Federal Building, Seattle, Wash. 98174.

No. MC 140337 (Sub-No. 3TA), filed August 17, 1977. Applicant: JESSE SHERMAN, d.b.a. Sherman Trailer Transportation, P.O. Box 3343, Cheyenne, Wyo. 82001. Applicant's representative: Robert S. Stauffer, 3539 Boston Road, Cheyenne, Wyo. 82001. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Swimming pools*, from points in Jefferson County, Colo., to points in Salt Lake County, Utah, and Pennington County, S. Dak., for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): San Juan Pools, Inc., 200 South Taft, Lakewood, Colo. 80228. Send protests to: Paul A. Naughton, District Supervisor, Interstate Commerce Commission, Room 105, Federal Bldg. and Court House, 111 South Wolcott, Casper, Wyo. 82601.

No. MC 141097 (Sub-No. 8TA), filed August 4, 1977. Applicant: CAL-TEX, INC., 3051 Capri Lane, Costa Mesa, Calif. 92626. Applicant's representative: Kellner & Steffire, 700 South Flower Street, Suite 1724, Los Angeles, Calif. 90017. Authority sought to operate as a *contract carrier*, by motor vehicle, over irregular routes, transporting: (1) *Synthetic yarn, synthetic fiber, and the materials and supplies* used in connection with the manufacturing thereof, from the facilities, production, and plant sites of E. I. du Pont, located at Chattanooga, Tenn.; Waynesboro, Va.; Columbia, S.C.; and Charlotte, N.C.; Monsanto located at Greenville, S.C., and Pensacola, Fla.; Hale located at Abbeville, S.C.; to the facilities and sites of Pharr Yarns, Inc., located at McAdenville, Gastonia, Belmont, and Spencer Mountain, N.C.; Clover, S.C.; and Rome, Ga.; on the other, with no transportation for compensation on return except as otherwise authorized. (2) *Synthetic yarn, synthetic fiber, and materials and supplies* used in connection with the manufacturing thereof, from the facilities of Pharr Yarns, Inc., at or near McAdenville, Gastonia, Belmont, and Spencer Mountain, N.C., to Little Falls, N.J.; Marietta, Pa.; and Carlisle, Pa.; with no transportation for compensation on return except as otherwise authorized under a continuing contract with Pharr Yarns, Inc., for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper: Pharr Yarns, Inc., McAdenville, N.C. Send protests to: Irene Carlos, Transportation Assistant, Interstate Commerce Commission, Room 1321, Federal Building, 300 North Los Angeles Street Los Angeles, Calif. 90012.

No. MC 142048 (Sub-No. 6TA), filed August 19, 1977. Applicant: PACIFIC TRANSPORTATION LINES, INC., 443 Deleware Avenue, Buffalo, N.Y. 14202. Applicant's representative: William J. Hirsch, Suite 1125, 45 Court Street, Buffalo, N.Y. 14202. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Frozen foods*, except in bulk, from the storage facility of Lamb-Weston at Mountain Morris, N.Y., to points in New Jersey, New York, Ohio, and Pennsylvania, and returned shipments in return, for 180 days. Supporting shipper(s): Lamb-Weston, a Division of Amfac Foods, Inc., 6600 Southwest Hampton Street, P.O. Box 23517, Portland, Oreg. 98223. Send protests to: Interstate Commerce Commission, Bureau of Operations, 910 Federal Building, 111 West Huron Street, Buffalo, N.Y. 14202.

No. MC 142994 (Sub-No. 3TA) (partial correction), filed July 27, 1977, published in the FEDERAL REGISTER issue of August 22, 1977, and republished as corrected this issue. Applicant: VIRGINIA COURIER SERVICE, INC., P.O. Box 278, Harrisonburg, Va. 22801. Applicant's representative: Chester A. Zyblut, 366 Executive Bldg., 1030 Fifteenth Street N.W., Washington, D.C. 20005.

NOTE.—The purpose of this partial correction is to indicate the error in (a) no service shall be provided in the transportation of articles weighing in the aggregate more than 5,000 pounds from one consignor at one location to one consignee on any one day. The purpose of this republication is to indicate 5,000 pounds in lieu of 500 pounds which was previously published in error. The rest of the publication remains the same.

No. MC 143436 (Sub-No. 2TA), filed August 18, 1977. Applicant: CONTROLLED TEMPERATURE TRANSIT, INC., 9049 Stonegate Road, Indianapolis, Ind. 46227. Applicant's representative: Stephen M. Gentry, 1500 Main Street, Speedway, Ind. 46224. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Candy and confectionery items*, in vehicles equipped with mechanical refrigeration, from the plantsite and storage facilities of Peter Paul, Inc., located at or near Frankfort, Ind., to points in Illinois, Kentucky, Michigan, and Ohio, for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): Peter Paul, Inc., Frankfort, Ind. Send protests to: William S. Ennis, District Supervisor, Interstate Commerce Commission, Federal Building and U.S. Courthouse, 46 East Ohio Street, Room 429, Indianapolis, Ind. 46204.

No. MC 143545 (Sub-No. 2TA), filed August 16, 1977. Applicant: BLACK ANGUS, INC., P.O. Box 8780, Jackson, Miss. 39204. Applicant's representative: Morton E. Kiel, Suite 6193, 5 World Trade Center, New York, N.Y. 10048. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Such merchandise* as is dealt in or used by a manufacturer or distributor of chemicals (except in bulk), from Chicago and Waukegan, Ill.; Memphis, Tenn.; Buffalo, N.Y.; Gulfport, Miss.; Pittsburgh, Pa.; Pennsylvania, Buffalo, N.Y.; Spruce Pine, N.C.; Aiken, S.C.; and Mobile, Ala., to Torrance, Calif., for 180 days. Supporting shipper(s): The C. P. Hall Co., 444 Alaska Avenue, Torrance, Calif. 90503. Send protests to: Alan C. Tarrant, District Supervisor, Interstate Commerce Commission, Room 212, 145 East Amite Building, Jackson, Miss. 39201.

No. MC 143623TA, filed August 19, 1977. Applicant: CITY COAL & SUPPLY CO., INC., South Second Street, P.O. Box 788, Princeton, W. Va. 24740. Applicant's representative: Tony Oney, P.O. Box 788, Princeton, W. Va. 24740. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Salt*, for ice control purposes, in bulk, in dump vehicles, from the plantsites and facilities of Morton Salt Co., at or near Princeton, W. Va., to points in the Virginia Counties of Bland, Buchanan, Carroll, Craig, Dickenson, Floyd, Giles, Grayson, Henry, Montgomery, Patrick, Pulaski, Russell, Scott, Smythe, Tazewell, Washington, Wise and Wythe, for 180 days. Supporting shipper(s): Robert W. Brinckman, Traffic Manager, Eastern Territory, Morton Salt Co., 110 N. Wacker Drive, Chicago, Ill. 60606. Send protests to: H. R. White, District Supervisor, Interstate Commerce Commission, 3108 Federal Office Building, 500 Quarrier Street, Charleston, W. Va. 25301.

PASSENGER APPLICATIONS

No. MC 143438 (Sub-No. 1TA), filed August 15, 1977. Applicant: DENISE, INC., d.b.a. Travel Time, Kimberly Park, Peabody, Mass. 01960. Applicant's representative: Frank Daniels, 15 Court Square, Boston, Mass. 02108. Authority sought to operate as a *common carrier*, by motor vehicle, over irregular routes, transporting: *Passengers and their baggage*, passengers in special round-trip operations beginning and ending at Auburn, Charlton, Oxford, Webster, Dudley, Southbridge, Sturbridge, Mass., Quinebaug and Stafford Springs, Conn., extending to the site of Pratt & Whitney Division of the United Aircraft Corp. plant in East Hartford, Conn., for 180

days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): United Tchnologies Corp., Pratt & Whitney Aircraft Group, Manufacturing Division, 400 Main Street, East Hartford, Conn. 06108, Pratt & Whitney Employees. Send protests to: Max Gorenstein, District Supervisor, Bureau of Operations, Interstate Commerce Commission, 150 Causeway Street, Boston, Mass. 02114.

No. MC 143592 (Sub-No. 1TA), filed August 22, 1977. Applicant: EDWARDS TRUCKING CO., INC., P.O. Box 123, Clinton, Md. 20735. Applicant's representative: James E. Savitz, Suite 145, Professional Drive, Gaithersburg, Md. 20760. Authority sought to operate as a *contract carrier*, by motor vehicle, over irregular routes, transporting: *Passengers* who are employees of the Consolidated Rail Corp., *and their baggage*, between points in Maryland, Virginia, Delaware, Pennsylvania, and the District of Columbia, under a continuing contract, or contracts, with Consolidated Rail Corp., for 180 days. Applicant has also filed an underlying ETA seeking up to 90 days of operating authority. Supporting shipper(s): Consolidated Rail Corp., Penn Station, 1515 North Charles Street, Baltimore, Md. 21201. Send protests to: W. C. Hersman, District Supervisor, Room 1413, Interstate Commerce Commission, 12th and Constitution Avenue NW., Washington, D.C. 20423.

By the Commission.

H. G. Homme, Jr.,
Acting Secretary.

[FR Doc.77-27163 Filed 9-16-77;8:45 am]

sunshine act meetings

This section of the FEDERAL REGISTER contains notices of meetings published under the "Government in the Sunshine Act" (Pub. L. 94–409), 5 U.S.C. 552b(e)(3).

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1

DELETION OF ITEM FROM THE SEPTEMBER 15, 1977 MEETING AGENDA

CIVIL AERONAUTICS BOARD.

SEPTEMBER 12, 1977.

TIME AND DATE: 10 a.m.—September 15, 1977.

PLACE: Room 1027, 1825 Connecticut Avenue NW., Washington, D.C. 20428.

SUBJECT: 6. Consideration of anticipatory costs for ratemaking purposes (Memo No. 6709–B, BFR).

STATUS: Open.

PERSON TO CONTACT:

Phyllis T. Kaylor, The Secretary, 202–673–5068.

SUPPLEMENTARY INFORMATION: At the time this item was added to the September 12, 1977 agenda, it was thought that it would be necessary for this item to be on both the September 12 and September 15 meeting agenda. However, this item was completed at the September 12 meting and there is no need for further discussion of this item on September 15. Accordingly, the following Members have voted that agency business requires that this item be deleted from the September 15, 1977 agenda and that no earlier announcement of this change was possible:

Chairman Alfred E. Kahn
Vice Chairman Richard J. O'Melia
Member G. Joseph Minetti
Member Lee R. West
Member Elizabeth E. Bailey

[S–1355–77 Filed 9–14–77;4:29 pm]

2

COMMODITY FUTURES TRADING COMMISSION.

TIME AND DATE: 10 a.m., September 20, 1977.

PLACE: 2033 K St. NW., Washington, D.C. 5th floor hearing room.

STATUS: Parts of this meeting will be open to the public. The rest of the meeting will be closed to the public.

MATTERS TO BE CONSIDERED:

Portions open to the public:

Chicago Mercantile Exchange Application for Designation To Trade Stud Lumber.

Comex Application for Designation To Trade New Zinc Contract.

Portions closed to the public:

Enforcement Matter.

CONTACT PERSON FOR MORE INFORMATION:

Jane Stuckey, 254–6314.

[FR Doc.S–1358–77 Filed 9–15–77;9:52 am]

3

COMMODITY FUTURES TRADING COMMISSION.

TIME AND DATE: 10 a.m. September 23, 1977.

PLACE: 2033 K Street NW., Washington, D.C., 8th Floor Conference Room.

STATUS: Closed.

MATTERS TO BE CONSIDERED:

Market Surveillance Meeting.

CONTACT PERSON FOR MORE INFORMATION:

Jane K. Stuckey, 254–6314.

[S–1359–77 Filed 9–15–77;9:52 am]

4

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION.

TIME AND DATE: 9:30 a.m. (Eastern Time), Monday, September 19, 1977.

PLACE: Chairman's Conference Room, No. 5240, on the fifth floor of the Columbia Plaza Office Building, 2401 E Street NW., Washington, D.C. 20506.

STATUS: Parts of the meeting will be open to the public. The rest of the meeting will be closed to the public.

MATTERS TO BE CONSIDERED:

Portions open to the public:

(1) Final version of revised Procedural Regulations. A majority of the entire membership of the Commission determined by a recorded vote that Commission business required that this matter be added to the agenda and that no earlier announcement was possible. The vote was as follows:

In favor of change:
Eleanor Holmes Norton, Chair
Ethel Bent Walsh, Commissioner
Daniel E. Leach, Commissioner

Opposed:
None.

(2) Proposed work-sharing agreements between EEOC and the following five State or local agencies, for handling charges of discrimination:
(a) Maryland Commission on Human Relations.
(b) Baltimore (Maryland) Community Relations Commission.
(c) Montgomery County (Maryland Human Relations Commission.
(d) Illinois Fair Employment Practices Commission.
(e) Fort Worth (Texas) Human Relations Commission.
This matter is carried over from the agenda for the postponed meeting of September 14, 1977.

Portions closed to the public:

(1) Litigation Authorization; General Counsel Recommendations. Matters closed to the public under Sec. 1612.13(a) of the Commission's regulations (42 FR 13830, March 14, 1977). These matters are carried over from the agenda for the postponed meeting of September 14, 1977.

(2) Organization of the Commission's Headquarters Offices. A majority of the entire membership of the Commission determined by a recorded vote that Commission business required that this matter be added to the agenda and that no earlier announcement was possible. The vote was as follows:

In favor of change:

Eleanor Holmes Norton, Chair
Ethel Bent Walsh, Commissioner
Daniel E. Leach, Commissioner

Opposed:
None.

NOTE.—Any matter not discussed or concluded may be carried over to a later meeting.

CONTACT PERSON FOR MORE INFORMATION:

Maria D. Wilson, Executive Officer, Executive Secretariat at 202-634-6748.

[S-1351-77 Filed 9-14-77;4:02 pm]

5

EQUAL EMPLOYMENT OPPORTUNITY COMMISSION.

TIME AND DATE: 1:30 p.m. (Eastern Time), Wednesday, September 21, 1977.

PLACE: Chairman's Conference Room, No. 5240, on the fifth floor of the Columbia Plaza Office Building, 2401 E Street NW., Washington D.C. 20506.

STATUS: Open to the public.

MATTERS TO BE CONSIDERED:

(1) Revision of internal Compliance Manual.

(2) Proposed work-sharing agreements between EEOC and the following five State or local agencies, for handling charges of discrimination:

a. Maryland Commission on Human Relations

b. Baltimore (Maryland) Community Relations Commission

c. Montgomery County (Maryland) Human Relations Commission

d. Illinois Fair Employment Practices Commission

e. Forth Worth (Texas) Human Relations Commission

NOTE: Any matter not discussed or concluded may be carried over to a later meeting.

CONTACT PERSON FOR MORE INFORMATION:

Marie D. Wilson, Executive Officer, Executive Secretariat at 202-634-6748.

This Notice Issued September 14 1977.

[S-1360-77 Filed 9-15-77;9:59 am]

6

FEDERAL HOME LOAN BANK BOARD.

TIME AND DATE: 9:30 a.m. September 21, 1977.

PLACE: 320 First Street NW., Room 630, Washington, D.C.

STATUS: Open Meeting.

CONTACT PERSON FOR MORE INFORMATION:

Mr. Robert Marshall, 202-376-3012.

MATTERS TO BE CONSIDERED:

Application for Permission to Organize a New Federal Association—William E. Davenport, et al., Alexander City, Ala.

Application for Acquisition and Merger—Allstate Savings and Loan Association, Los Angeles, Calif. INTO Security Savings and Loan Associations, San Jose, Calif.

Application for Permission to Organize a new Federal Association—Charles C. Culotta, et al., Morgan City, La.

EFTS-RSU Application—Capitol Federal Savings and Loan Association, Denver, Colo.

Satellite Facility Application—First Federal Savings and Loan Association of Detroit, Detroit, Mich.

No. 71, September 14, 1977.

[S-1361-77 Filed 9-15-77;10:12 am]

7

FEDERAL HOME LOAN MORTGAGE CORPORATION.

TIME AND DATE: At the conclusion of the open meeting to be held at 2:30 p.m., September 22, 1977.

PLACE: 320 First Street NW., Room 630, Washington, D.C.

STATUS: Closed Meeting.

CONTACT PERSON FOR MORE INFORMATION:

Mr. Henry Judy, 202-624-7107.

MATTERS TO BE CONSIDERED:

Discussion of Personnel Matters.

No. 70, September 14, 1977.

RONALD A. SNIDER,
Assistant Secretary.

[S-1248-77 Filed 9-14-77;2:52 pm]

8

FEDERAL HOME LOAN MORTGAGE CORPORATION.

TIME AND DATE: 2:30 p.m., September 22, 1977.

PLACE: 320 First Street, NW., Room 630, Washington, D.C.

STATUS: Open Meeting.

CONTACT PERSON FOR MORE INFORMATION:

Mr. Henry Judy, 202-624-7107.

MATTERS TO BE CONSIDERED:

Consideration of Status Report on FHLMC move to New FHLBB Building.

No. 69, September 14, 1977.

RONALD A. SNIDER,
Assistant Secretary.

[S-1349-77 Filed 9-14-77;2:52 pm]

FEDERAL POWER COMMISSION.

SEPTEMBER 14, 1977.

The following notice of meeting is published pursuant to Section 3(a) of the Government in the Sunshine Act (Pub.L. No. 94-409), 5 U.S.C. 8552B;

AGENCY HOLDING MEETING: Federal Power Commission.

TIME AND DATE: September 21, 1977, 10 a.m.

PLACE: 825 North Capitol Street.

STATUS: Open.

MATTER TO BE CONSIDERED:

(Agenda) *NOTE.—Items listed on the agenda may be deleted without further notice.

CONTACT PERSON FOR MORE INFORMATION:

Kenneth F. Plumb, Secretary, Telephone 202-275-4166.

9

This is a list of matters to be considered by the Commission, it does not include a listing of all papers relevant to the items on the agenda. However, all public documents may be examined in the Office of Public Information, room 1000.

GAS AGENDA, 7674th MEETING, SEPTEMBER 21, 1977, REGULAR MEETING, (10 a.m.)

G-1.—Docket No. RP72-6, El Paso Natural Gas Company.

G-2.—Docket Nos. RP74-20 and RP74-83, United Gas Pipe Line Company.

G-3.—Docket No. RP74-52, Transwestern Pipeline Company.

G-4.—Docket No. RP76-89, Northern Natural Gas Company.

G-5.—Docket No. RP76-158. North Penn Gas Company.

G-6.—Docket No. RP76-144. *Fort Pierce Utility Authority, et al., complainants* v. *Florida Gas Transmission Company, respondent.*

G-7.—Docket No. RP77-43, *City of Tallahassee, Florida, complainant* v. *Florida Gas Transmission Company, respondent.*

G-8.—Docket Nos. G-8820, et al., Texaco Inc., et al., Docket No. CI77-222, Exxon Corporation.

G-9.—Docket No. CI77-329, Texaco Inc. Docket No. CP77-304 and Docket No. CP64-97, Sabine Pipe Line Company.

G-10.—Docket No. CI77-635, Gulf Oil Corporation.

G-11.—Docket No. CP76-87, El Paso Natural Gas Company.

G-12.—Docket No. CP77-267, Mid Louisiana Gas Company and Transcontinental Gas Pipe Line Corporation.

G-13.—Docket Nos. CP74-160 and CP74-207, Pacific Indonesia LNG Company. Docket No. CP75-85-3, Western LNG Terminal Company.

G-14.—Docket No. CP77-315, Tarpon Transmission Company.

G-15.—Docket No. CP77-432, Tennessee Gas Pipeline Company, a division of Tenneco Inc.

GAS AGENDA,
7674TH MEETING, SEPTEMBER 21, 1977,
REGULAR MEETING

G-1.—Docket No. RP72-134 (PGA77-10), Eastern Shore Natural Gas Company.

G-2.—Docket No. CI62-175, American Petrofina Company of Texas. Docket No. CI76-745, Ashland Oil, Inc.

G-3.—Docket No. CI77-488, The Superior Oil Company. Docket No. CI77-564, Canadian Superior Oil (U.S.) Ltd.

G-4.—Docket No. CP77-432, Tennessee Gas Pipeline Company, a division of Tenneco Inc.

G-5.—Docket No. CP77-325, Consolidated Gas Supply Corporation and Texas Eastern Transmission Corporation. Docket No. CP77-392, Transcontinental Gas Pipe Line Corporation.

G-6.—Docket No. CP77-422, Transcontinental Gas Pipe Line Corporation.

G-7.—Docket No. CP77-482, Tennessee Gas Pipeline Company, a division of Tenneco Inc.

G-8.—Docket No. CP77-509, Northern Natural Gas Company.

G-9.—Docket No. CP75-359, Columbia Gulf Transmission Company, Northern Natural Gas Company, and Tennessee Gas Pipeline Company, a division of Tenneco Inc.

G-10.—Docket Nos. CP74-160 and CP74-207, Pacific Indonesia LNG Company. Docket No. CP75-83-3, Western Terminal Company, et al.

MISCELLANEOUS AGENDA,
7674TH MEETING, SEPTEMBER 21, 1977,
REGULAR MEETING

CM-1.—Docket No. RM74-16, Natural Gas Companies' Annual Report of Proved Domestic Gas Reserves FPC Form No. 40.

CM-2.—Reserved.

CM-3.—Dworshak Dam and Reservoir Project, North Fork Clearwater River, Idaho.

POWER AGENDA,
7674TH MEETING, SEPTEMBER 21, 1977,
REGULAR MEETING

P-1.—Docket No. ER77-531, Illinois Power Company.

P-2.—Docket Nos. E-7738 and E-7784, Boston Edison Company.

P-3.—Docket No. E-9520, Illinois Power Company.

P-4.—Docket No. ER77-521, Arizona Public Service Company.

P-5.—Docket No. ER77-402, Philadelphia Electric Company.

P-6.—Docket No. ER76-303, Wisconsin Electric Power Company. Docket No. ER76-399, Wisconsin Michigan Power Company.

P-7.—Docket No. ER76-714, Indiana and Michigan Electric Company.

P-8.—Docket No. ER77-523, Puget Sound Power and Light Company.

P-9.—Docket No. E-9592, *Roscoe E. Dean, Jr. and William D. Lovin, complainants, v. Georgia Power Company, defendant.*

P-10.—Docket No. ER76-739, Public Service Company of Indiana, Inc.

P-11.—Project No. 2466, Virginia Appalachian Power Company.

P-12.—Project No. 2100, State of California, Department of Water Resources.

P-13.—Project No. 2590, Consolidated Water Power Company.

P-14.—Hydroelectric Development.

POWER AGENDA, 7674TH MEETING, SEPTEMBER 21, 1977, REGULAR MEETING

CP-1.—Docket No. ER77-556, Niagara Mohawk Power Corporation.

CP-2.—Docket No. ER77-499, Kansas City Power & Light Company.

CP-3.—Docket No. ES77-51, Iowa Electric Light and Power Company.

CP-4.—Project No. 2232, Duke Power Company.

CP-5.—Docket No. DA-223-Washington, Washington State Department of Highways.

CP-6.—Docket No. ER76-543, Southwestern Public Service Company.

CP-7.—Docket No. ER77-548, Southern California Edison Company.

KENNETH F. PLUMB,
Secretary.

[S-1350-77 Filed 9-14-77;4:15 pm]

10

FEDERAL POWER COMMISSION.

FEDERAL REGISTER CITATION OF PREVIOUS ANNOUNCEMENT: Pub. September 14, 1977, 42 FR 46094.

PREVIOUSLY ANNOUNCED TIME AND DATE OF MEETING: 10 a.m., September 16, 1977.

CHANGE IN THE MEETING: The following item has been added:

Item No., Docket No., and Company

P-13.—ES77-55, Iowa Power & Light Company.

KENNETH F. PLUMB,
Secretary.

[S-1357-77 Filed 9-15-77;9:35 am]

11

BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM.

TIME AND DATE: 10 a.m., Wednesday, September 21, 1977.

PLACE: 20th Street and Constitution Avenue, N.W., Washington, D.C. 20551

STATUS: Closed.

MATTERS TO BE CONSIDERED:

1. Proposed purchase of computer equipment by the Federal Reserve Bank of San Francisco.

2. Proposed expenditures for the Federal Reserve Bank of New York's Space Consolidation Plan.

3. Any agenda items carried forward from a previously announced meeting.

CONTACT PERSON FOR MORE INFORMATION:

Mr. Joseph R. Coyne, Assistant to the Board, (202-452-3204).

SEPTEMBER 14, 1977.

GRIFFITH L. GARWOOD,
Deputy Director of the Board.

[S-1354-77 Filed 9-14-77;4:30 pm]

12

NUCLEAR REGULATORY COMMISSION.

TIME AND DATE: 1:30 p.m., Wednesday, September 14, 1977.

PLACE: Commissioners' Conference Room, 1717 H St., N.W., Washington, D.C.

STATUS: Closed.

MATTERS TO BE CONSIDERED: Discussion of NRC Space Requirements (Exemption 9) (Replaces meeting on Licensing Reform Bill, which is cancelled).

CONTACT PERSON FOR MORE INFORMATION:

Walter Magee, (202-634-1410).

Dated: September 14, 1977.

WALTER MAGEE,
Office of the Secretary.

[S-1363-77 Filed 9-15-77;12:13 pm]

13

NUCLEAR REGULATORY COMMISSION.

TIME AND DATE: Week of September 19, 1977.

PLACE: Commissioners' Conference Room, 1717 H St. NW., Washington, D.C. (Except as noted.)

STATUS: Some of these meetings will be open to the public; others will be closed to the public.

MATTERS TO BE CONSIDERED:

MODAY, SEPTEMBER 19

3:00 p.m.—Discussion of Draft Administration Bill for Nuclear Plant Licensing Reform (Closed, Exemption 9) (Tentative).

TUESDAY, SEPTEMBER 20

10:00 a.m.—Annual Meeting of the Atomic Safety and Licensing Board Panel (Public Meeting). (Fifth Floor Hearing Room, East-West Towers, 4350 East-West Highway, Bethesda, Maryland.)

WEDNESDAY, SEPTEMBER 21

9:00 a.m.—Discussion of Personnel Matter (Closed, Exemption 6).

9:30 a.m. (approximate)—Commission Review of Director's Denial of Petition for Revocation of Bailly CP (Closed, Exemptions 5 and 10).

10:30 a.m. (approximate)—Discussion of TRIGA (Columbia U.) Reactor (Closed, Exemption 10).

11:00 a.m. (approximate)—Briefing on Special Safeguard Implementation Report (Closed, Exemption 4).

1:30 p.m.—1. Mandatory Licensee Participation in the Nuclear Plant Reliability System (NPRDS) (approximately 1 hour) (Public Meeting).

2. Affirmation of:

New England Coalition on Nuclear Pollution (NECNP) Petition for Rulemaking to Amend Table S-3 of 10 CFR 51.

Appointment of Robert M. Lazo as Executive Secretary of the ASLBP.

Commission Order Granting Staff Petition for Review of ALAB-399.

Public Response to Proposed Rulemaking: Criteria and Procedures for Determining Eligibility for Access to or Control over Unclassified Special Nuclear Material.

(Public Meeting.)

NOTE.—The affirmations will consist of votes on matters previously reviewed individually by the Commissioners and are expected to take no more than 5 minutes.

2:30 p.m. (approximate)—Discussion of Draft Administration Bill for Nuclear Plant Licensing Reform (Closed, Exemption 9).

CONTACT PERSON FOR MORE INFORMATION:

Walter Magee, (202-634-1410).

Dated: September 14, 1977.

WALTER MAGEE,
Chief, Operations Branch.

[S-1364 Filed 9-15-77;12:13 pm]

14

UNITED STATES PAROLE COMMISSION.

TIME AND DATE: Monday, September 26, 1977, 9 a.m.–5:30 p.m., resuming at 9 a.m., Wednesday, September 28, 1977.

PLACE: Rooms 702 and 704, Federal Aviation Administration (FAA) Offices, 3400 Whipple St., East Point, (Atlanta area) Ga. 30320.

STATUS: Open.

MATTERS TO BE CONSIDERED:

1. Approval of minutes of open meeting held on July 28, 1977.

2. Chairman's remarks concerning operations of the Parole Commission.

3. Reports on regional office operations by Regional Commissioners.

4. Report on National Appeals Board and National Commissioners' operations.

5. Reports from Chairmen of various advisory committees.

6. Consideration of public comment for following proposed amendments to rules in 28 C.F.R., Part 2:

a. § 2.10(c)—Definition of what is meant by "Absconding from supervision" to cause youth or NARA offenders to lose time credit.

b. § 2.20—Classification of certain offenses formerly in the "Greatest" category as Greatest I with time ranges, and others as Greatest II with no time ranges.

7. Consideration of the following suggested rule changes to be published for public comment if adopted:

a. § 2.53—Waiver of parole consideration at the two-thirds point of an inmate's sentence.

b. § 2.26—Allow concurrence of two votes for affirmance of Regional Commissioner's decision, but require concurrence of three votes for reversal, modification, or rehearing.

8. Vote by Proxy—Consideration of whether or not to allow a Commissioner to authorize another Commissioner to vote on his behalf by proxy.

9. Publication of a report entitled "Salient Factor Score and Release Behavior" by Research personnel, in Crime and Delinquency Journal.

10. Legal Report.

11. Research Report. a. Current projects. b. Current and contemplated projects. c. Evaluation of guidelines. d. Definitions of parole success and failure—Recidivism.

12. Consideration of the following suggested Procedures Manual changes.

a. Forfeiture of street time credit for breach of conditions of parole.

b. Elimination of dispositional review delay pending appointment of counsel.

c. Discussion of possible changes in the Mental Competency procedure description.

d. Sentences consecutive to YCA terms—Aggregation of both terms.

e. Provide uniform procedure for revocation hearings within or without contiguous 48 states.

f. Add a paragraph advising examiners to avoid prejudicial, discriminatory or non-professional references in their reports.

g. Determine circumstances when testimony should or should not be in inmate's presence.

h. Release plan—Recommendation that it not be furnished prior to hearings.

i. Establish cut-off date for accepting documents into records to be considered on Original Jurisdiction cases or Original Jurisdiction Appeals.

j. Enforcement of 30 day time limit on appeals and what constitutes extenuating circumstances.

k. Absence of Regional Commissioner for over six consecutive days—Procedure to be followed.

l. Press Contacts—Whether to conduct directly at regional level or at Headquarters through the Public Information Office.

m. New Offense Table—Retroactive or prospective application of entire table or selected portions.

13. Reply to Congressmen regarding constituents by giving them public sector information, sending them consents for inmates signatures, and making full disclosure upon return of signed consent.

14. Regional Commissioners meeting with inmate councils.

15. Delegation of authority between Regional Commissioner, Analysts, and other staff members.

16. Parole supervision and pre-sentence investigations—international aspects.

17. Forms for Original Jurisdiction references and appeals.

18. Mexican Treaty—Handling inmates transferred to United States.

19. Attendance at corrections units meetings in various regions.

20. Contacts with examiners or Commissioners by persons not directly connected with specific cases.

21. NARA—Changes in Bureau of Prisons Procedural Manual.

22. Overtime or compensatory time for occasional work and travel in non-duty hours.

23. Professional organizations with which Commissions should be active.

24. Removing I.D.C.[1] reports which have already been expunged from Bureau of Prisons files from Commission files.

25. Rescission Hearing—Necessity for an I.D.C.[1] report.

26. In Revocation Hearing—Can charges be considered where there is no finding of probable cause.

27. Review of proposed new Administrative Section of Procedures Manual.

CONTACT PERSONS FOR MORE INFORMATION:

M. E. Malin Foehrkolb 202–724–3117 (Washington, D.C.) J. Wayne Allgood 404–763–7846 (Atlanta, Ga.).

[S–1352–77 Filed 9–14–77;4:29 pm]

[1] Institutional Disciplinary Committee.

15

UNITED STATES PAROLE COMMISSION.

TIME AND DATE: Tuesday, September 27, 1977, 9 a.m.

PLACE: Room 700, Federal Aviation Administration (FAA) Offices, 3400 Whipple St., East Point (Atlanta area) Georgia 30320.

STATUS: Closed.

MATTERS TO BE CONSIDERED:

1. Approval of minutes of closed meeting of July 27, 1977.

2. Discussion of budget plans and specific problems connected therewith.

3. Discussion re personnel policies and practices—personnel committee functions.

4. Discussion of specific parolees, the circumstances of their release, and/or parole conditions.

5. Consideration of internal personnel rules, policies, and practices as impacting on specific employees.

6. Consideration of an application for exemption from a bar imposed by 29 USC 504 against the employment of applicant by certain labor unions; following a hearing held pursuant to the Administrative Procedures Act and a recommended decision based thereon.

7. Consideration by the Commission of approximately 11 cases determined to be Original Jurisdiction pursuant to a reference under 28 CFR § 2.17 and/or appealed pursuant to 28 CFR § 2.27. These are all cases originally heard by examiner panels wherein inmates of Federal Prisons have applied for parole or are contesting revocation of parole or mandatory release.

CONTACT PERSONS FOR MORE INFORMATION:

M. E. Malin Foehrkolb 202–724–3117 (Washington, D.C.)

J. Wayne Allgood 404–763–7846 (Atlanta, Ga.)

[S–1353–77 Filed 9–14–77;4:20 pm]

16

POSTAL RATE COMMISSION.

TIME AND DATE: 9:30 a.m., Wednesday, September 21, 1977.

PLACE: Conference Room, Room 500, 2000 L St. NW., Washington, D.C.

STATUS: Open.

MATTERS TO BE CONSIDERED:

1. Minutes of the 305th Meeting, August 31, 1977.

CONTACT PERSON FOR MORE INFORMATION:

Ned Callan, Information Officer, Postal Rate Commission, Room 500, 2000 L Street NW., Washington, D.C. 20268, Telephone 202–254–5614.

[S–1365–77 Filed 9–15–77;12:13 pm]

17

RENEGOTIATION BOARD.

FEDERAL REGISTER CITATION OF PREVIOUS ANNOUNCEMENT: 42 FR 46485, September 15, 1977.

PREVIOUSLY ANNOUNCED DATE AND TIME OF MEETING: Friday, September 16, 1977, 10:00 a.m.

CHANGE IN MEETING: Time of previously announced meeting changed to 9 a.m.

MATTERS TO BE CONSIDERED: Classified.

STATUS: Closed to public observation.

CONTACT PERSON FOR MORE INFORMATION:

Kelvin H. Dickinson, Assistant General Counsel-Secretary, 2000 M Street NW., Washington, D.C. 20446, 202–254–8277.

Dated: September 14, 1977.

GOODWIN CHASE,
Chairman.

[S–1362–77 Filed 9–15–77;10:27 am]

18

SECURITIES AND EXCHANGE COMMISSION.

Notice is hereby given, pursuant to the provisions of the Government in the Sunshine Act, Pub. L. 94–409, that the Securities and Exchange Commission will hold the following meetings during the week of September 19, 1977, in Room 825, 500 North Capitol Street, Washington, D.C.

Closed meetings will be held on Monday, September 19, 1977, immediately following the open meeting at 11 a.m., on Wednesday, September 21, 1977, at 10 a.m., and on Wednesday, September 21, 1977, immediately following the open meeting scheduled for 2 p.m. Open meetings will be held on Monday, September 19, 1977, at 11 a.m. and on Wednesday, September 21, 1977, at 2 p.m.

The Commissioners, their legal assistants, the Secretary of the Commission, and recording secretaries will attend the closed meetings. Certain staff members who are responsible for the calendared matters may be present.

The General Counsel of the Commission, or his designee, has certified that, in his opinion, the items to be considered at the closed meetings may be so considered pursuant to one or more of the exemptions set forth in 5 U.S.C. 552b(c)(4)(8)(9)A and (10) and 17 CFR 200.-402(a)(8)(9)(i) and (10).

Chairman Williams, Commissioners Loomis, Evans, and Pollack determined to hold the aforesaid meetings in closed session and that Commission business requires consideration on Monday, September 19, 1977, of the items scheduled for the open meetings on that date.

The subject matter of the closed meeting scheduled for Monday, September 19, 1977, will be:

Institution of injunctive actions.

Referral of investigative files to Federal, State, or Self Regulatory authorities.

Opinions.

The subject matter of the closed meeting scheduled for Wednesday, September 21, 1977, at 10 a.m. will be:

Referral of investigative files to Federal, State, or Self Regulatory authorities.

Settlement of injunctive actions.

Institution of administrative proceeding.

Institution of injunctive action.

Subpoena enforcement action.

The subject matter of the closed meeting scheduled for Wednesday, September 21, 1977, immediately following the open meeting at 2 p.m. will be:

Post-oral argument discussion.

The subject matter of the open meeting scheduled for Monday, September 19, 1977, at 11 a.m. will be:

1. Consideration of the deferral of reexamination of the indefinite underwriter status under Rule 145(c) and certain provisions of Rule 145(d) pending completion and presentation of a study being prepared by the Directorate of Economic and Policy Research.

2. Affirmation of duty officer action authorizing staff members to appear and testify at a hearing in the matter of SEC v. Equity Service Corporation.

3. Consideration of an application by the Interment Association of California and certain other california mortuary companies for exemption from all provisions of the Investment Company Act of 1940.

4. Consideration of rulemaking proposals to require disclosure in proxy statements of all services auditors provide public companies and their related fees; and a request for information on non-audit services provided by accounting firms.

5. Proposed rule to require disclosure of all the reasons for a change in auditors and whether the decision to change auditors was considered by the registrant's Board of Directors or its Audit Committee.

6. Application filed by the Columbia Gas System, Inc. for an order to issue and sell short-term notes and commercial paper and request for hearing by the State of Ohio regarding the application (Rescheduled from September 14, 1977).

7. Consideration of letters to be sent to certain self-regulatory organizations giving further notice that certain transaction completion rules do not comply with the Securities Exchange Act of 1934.

The subject matter of the open meeting scheduled for Wednesday, September 21, 1977, at 2 p.m. will be:

Oral argument on an application by Mr. Steven C. Pruette for appeal from disciplinary action taken against him by the National Association of Securities Dealers, Inc.

FOR FURTHER INFORMATION CONTACT:

William M. Alexander at (202) 376–7474 or Sam Knight at 202–376–8068.

SEPTEMBER 14, 1977.

[S–1347–77 Filed 9–14–77;12:11 pm]

federal register

MONDAY, SEPTEMBER 19, 1977

PART II



DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

Federal Insurance Administration

■

NATIONAL FLOOD INSURANCE PROGRAM

Status of Participating Communities; Changes in Special Flood Hazard Areas and Base Flood Elevations; Letters of Map Amendment

Title 24—Housing and Urban Development
CHAPTER X—FEDERAL INSURANCE ADMINISTRATION, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

SUBCHAPTER B—NATIONAL FLOOD INSURANCE PROGRAM

[Docket No. FI-3335]

PART 1914—COMMUNITIES ELIGIBLE FOR THE SALE OF INSURANCE

Status of Participating Communities

AGENCY: Federal Insurance Administration.

ACTION: Final rule.

SUMMARY: The purpose of this rule is to list those communities where the sale of flood insurance is authorized under the National Flood Insurance Program. Flood insurance policies for property located in the communities listed can be obtained from any licensed property insurance agent or broker serving the eligible community, or from the National Flood Insurers Association servicing company for the state.

DATE: The date that appears in the fourth column of the table is the effective date of authorization for the sale of flood insurance.

FOR FURTHER INFORMATION CONTACT:

Mr. Richard Krimm, Assistant Administrator, Office of Flood Insurance, 202-755-5581 or toll free line 800-424-8872, Room 5270, 451 Seventh Street SW., Washington, D.C. 20410.

SUPPLEMENTARY INFORMATION: The Flood Disaster Protection Act of 1973 (Pub. L. 93-234) requires the purchase of flood insurance as a condition of receiving any form of Federal or federally related financial assistance for acquisition or construction purposes in a flood plain area having special hazards within any community identified for at least one year by the Secretary of Housing and Urban Development. The requirement applies to all identified special flood hazard areas within the United States, and no such financial assistance can legally be provided for acquisition or construction except as authorized by Section 202(b) of the Act, as amended, unless the community has entered the program. Accordingly, for communities listed under this Part no such restriction exists, although insurance, if required, must be purchased.

The addresses of the National Flood Insurers Association servicing companies, where flood insurance policies can be obtained, are published at § 1912.5 (24 CFR Part 1912).

The Federal Insurance Administrator finds that delayed effective dates would be contrary to the public interest. The Administrator also finds that notice and public procedure under 5 U.S.C. 553(b) are impracticable and unnecessary.

Section 1914.6 of Part 1914 of Subchapter B of Chapter X of Title 24 of the Code of Federal Regulations is amended by adding in alphabetical sequence new entries to the table. In each entry, a complete chronology of effective dates appears for each listed community. The entry reads as follows:

§ 1914.6 List of eligible communities.

State	County	Location	Effective date of authorization of sale of flood insurance for area	Hazard area identified	Community No.
*	*	*	*	*	*
Florida	Pinellas	Belleair Bluffs, city of	May 1, 1973, emergency; Aug. 15, 1977, regular	June 28, 1974 June 11, 1976	120229B
Louisiana	West Baton Rouge Parish.	Addis, village of	Apr. 30, 1973, emergency; Aug. 15, 1977, regular	Dec. 7, 1973 Feb. 20, 1976	220240B
Do	do	Brusly, town of	Apr. 30, 1973, emergency; Aug. 15, 1977, regular	June 28, 1974	220241B
Massachusetts	Bristol	Dartmouth, town of	Sept. 10, 1971, emergency; Aug. 15, 1977, regular	Feb. 28, 1975	250051A
Michigan	Monroe	LaSalle, township of	Feb. 9, 1973, emergency; Aug. 15, 1977, regular	June 28, 1974 July 16, 1976	260183B
New Jersey	Bergen	Maywood, borough of	May 26, 1972, emergency; Aug. 15, 1977, regular	Aug. 15, 1977	340056
New York	Suffolk	Lindenhurst, village of	Apr. 11, 1973, emergency; Aug. 15, 1977, regular	Nov. 9, 1973 June 18, 1976	360788B
Pennsylvania	Berks	Douglass, township of	May 15, 1973, emergency; Aug. 15, 1977, regular	Nov. 9, 1973 Oct. 1, 1976	420131B
Do	Carbon	Jim Thorpe, borough of	Aug. 7, 1973, emergency; Aug. 15, 1977, regular	Apr. 5, 1974	420249A
Do	Schuylkill	Landingville, borough of	June 28, 1973, emergency; Aug. 15, 1977, regular	June 28, 1974 Apr. 23, 1976	420774B
Do	Lycoming	Montoursville, borough of	Feb. 9, 1973, emergency; Aug. 15, 1977, regular	June 15, 1973	420648A
Texas	Bexar	Windcrest, city of	Jan. 21, 1974, emergency; Aug. 15, 1977, regular	May 17, 1974	480080A
*	*	*	*	*	*
New Jersey	Sussex	Walpack, township of	Aug. 16, 1977, emergency		340058
North Dakota	Grand Forks	Northwood, city of	Mar. 8, 1976, emergency; Aug. 4, 1977, withdrawn.		380247
*	*	*	*	*	*
Iowa	Dickinson	Lake Park, city of	Aug. 17, 1977, emergency	July 30, 1976	190067
Do	Clayton	Littleport, city of	do	Aug. 23, 1974 Feb. 6, 1976	190078-A
Tennessee	Hawkins	Surgoinsville, city of	do	May 17, 1974	470279-A

(National Flood Insurance Act of 1968 (Title XIII of the Housing and Urban Development Act of 1968); effective Jan. 28, 1969 (33 FR 17804, Nov. 28, 1968), as amended (42 U.S.C. 4001-4128); and Secretary's delegation of authority to Federal Insurance Administrator, 34 FR 2680, Feb. 27, 1969, as amended (39 FR 2787, Jan. 24, 1974).)

Issued: August 16, 1977.

PATRICIA ROBERTS HARRIS,
Secretary.

[FR Doc.77-26480 Filed 9-16-77;8:45 am]

[Docket No. FI-3336]

PART 1914—COMMUNITIES ELIGIBLE FOR THE SALE OF INSURANCE

Status of Participating Communities

AGENCY: Federal Insurance Administration.

ACTION: Final rule.

SUMMARY: The purpose of this rule is to list those communities where the sale of flood insurance is authorized under the National Flood Insurance Program. Flood insurance policies for property located in the communities listed can be obtained from any licensed property insurance agent or broker serving the eligible community, or from the National Flood Insurers Association servicing company for the state.

DATE: The date that appears in the fourth column of the table is the effective date of authorization for the sale of flood insurance.

FOR FURTHER INFORMATION CONTACT:

Mr. Richard Krimm, Assistant Administrator, Office of Flood Insurance, 202-755-5581 or toll free line 800-424-8872, Room 5270, 451 Seventh Street SW., Washington, D.C. 20410.

SUPPLEMENTARY INFORMATION: The Flood Disaster Protection Act of 1973 (Pub. L. 93-234) requires the purchase of flood insurance as a condition of receiving any form of Federal or federally related financial assistance for acquisition or construction purposes in a flood plain area having special hazards within any community identified for at least one year by the Secretary of Housing and Urban Development. The requirement applies to all identified special flood hazard areas within the United States, and no such financial assistance can legally be provided for acquisition

or construction except as authorized by Section 202(b) of the Act, as amended, unless the community has entered the program. Accordingly, for communities listed under this Part no such restriction exists, although insurance, if required, must be purchased.

The addresses of the National Flood Insurers Association servicing companies, where flood insurance policies can be obtained, are published at § 1912.5 (24 CFR Part 1912).

The Federal Insurance Administrator finds that delayed effective dates would be contrary to the public interest. The Administrator also finds that notice and public procedure under 5 U.S.C. 553(b) are impracticable and unnecessary.

Section 1914.6 of Part 1914 of Subchapter B of Chapter X of Title 24 of the Code of Federal Regulations is amended by adding in alphabetical sequence new entries to the table. In each entry, a complete chronology of effective dates appears for each listed community. The entry reads as follows:

§ 1914.6 List of eligible communities.

State	County	Location	Effective date of authorization of sale of flood insurance for area	Hazard area identified	Community No.
*	*	*	*	*	*
Illinois	McHenry	Holiday Hills, village of	Aug. 8, 1977, emergency		170936-NEW
Do.	Piatt	Unincorporated areas	do.	Jan. 31, 1975	170542
New York	Tioga	Berkshire, town of	do.	Mar. 11, 1977	361215-A
Do.	Steuben	Bradford, town of	do.	Dec. 13, 1974 Feb. 27, 1976	361207-A
Pennsylvania	Cambria	Franklin, borough of	Aug. 1, 977, lemergency	Jan. 10, 1975	422593
*	*	*	*	*	*
Pennsylvania	Cambria	Clearfield, township of	July 29, 1977, emergency	July 18, 1975	421437
Do.	do.	Lorain, borough of	do.	Nov. 19, 1976	420032
*	*	*	*	*	*
Tennessee	Gibson	Bradford, city of	Aug. 10, 1977, emergency	June 21, 1974 Apr. 23, 1976	470057-A
North Dakota	McHenry	Anamoose, city of	Aug. 12, 1977, emergency	Jan. 17, 1975	380154
Do.	Bottineau	Souris, city of	do.	Nov. 29, 1974	380010
Oklahoma	McIntosh	Cheeotah, city of	do.	Aug. 13, 1976	400238
Do.	Pushmataha	Clayton, town of	do.	Nov. 5, 1976	400058
Pennsylvania	Cambria	West Taylor, township of	Aug. 3, 1977, emergency	Nov. 22, 1974	421450

(National Flood Insurance Act of 1968 (Title XIII of the Housing and Urban Development Act of 1968; effective Jan. 28, 1969 (33 FR 17804, Nov. 28, 1968), as amended (42 U.S.C. 4001–4128); and Secretary's delegation of authority to Federal Insurance Administrator, 34 FR 2680, Feb. 27, 1969, as amended (39 FR 2787, Jan. 24, 1974).)

Issued: August 16, 1977.

PATRICIA ROBERTS HARRIS,
Secretary.

[FR Doc.77-26481 Filed 9-16-77;8:45 am]

[Docket No. FI-3332]

PART 1916—CONSULTATION WITH LOCAL OFFICIALS

Changes in Special Flood Hazard Areas

AGENCY: Federal Insurance Administration.

ACTION: Interim rule.

SUMMARY: The purpose of this rule is to list those communities wherein the Federal Insurance Administrator, after consultation with the Chief Executive Officer of the community, has determined that modification of the Special Flood Hazard Areas (SFHAs) of some locations is appropriate.

Any persons who have knowledge of changed conditions or new scientific or technical data or who wish to comment on these changes should immediately notify the Chief Executive Officer at the address listed.

For rating purposes, the revised community number is listed and must be used for all new policies and renewals.

DATE: These modified SFHAs are currently in effect and amend the Flood Insurance Rate Map (FIRM), in effect prior to this determination. A revised FIRM will be published as soon as possible.

From the date of the second publication of notice of these changes in a prominent local newspaper, any person has 90 days in which he can request through the community that the Federal Insurance Administrator reconsider the changes. Any request for reconsideration must be based on knowledge of changed conditions or new scientific or technical data. All interested parties are on notice that until the 90-day period elapses, these modified SFHAs may be changed.

FOR FURTHER INFORMATION CONTACT:

Mr. Richard Krimm, Assistant Administrator, Office of Flood Insurance, 202–755–5581 or toll free line 800–424–8872, Room 5270, 451 Seventh Street SW., Washington, D.C. 20410.

SUPPLEMENTARY INFORMATION: The changes to the Special Flood Hazard Areas are as follows:

Panel H and I 06—The limits of Zone A are amended to coincide with the shore line of Woodridge Lake, as shown on the map.

Panel H and I 09—The limits of Zone A are amended to coincide with the shore line of Batterson Park Pond, as shown on the map.

Panel H and I 13—Zone A on this panel is now a Zone B.

The modifications are made pursuant to Section 206 of the Flood Disaster Protection Act of 1973 (Pub. L. 93–234) and are in accordance with the National Flood Insurance Act of 1968, as amended (Title XIII of the Housing and Urban Development Act of 1968, Pub. L. 90–448), 42 U.S.C. 4001–4128, and 24 CFR Part 1916.

These SFHAs are the basis for the flood plain management measures that the community is required to either adopt or show evidence of being already in effect in order to qualify or remain qualified for participation in the National Flood Insurance Program (NFIP).

These SFHAs together with the flood plain management measures required by Section 1910.3 of the program regulations are the minimum that are required. They should not be construed to mean the community must change any existing ordinances that are more stringent in their flood plain management requirements. The community may at any time enact stricter requirements on its own, or pursuant to policies established by other Federal, state, or regional entities.

These modified SFHAs shall be used to calculate the appropriate flood insurance premium rates for new buildings and their contents and for the second layer of insurance on existing buildings and contents.

The entry (entry not to be codified in CFR) reads as follows:

§ 1916.8 Changes in special flood hazard areas.

State	County	Location	Date and name of newspaper where notice was published	Chief executive officer of community	Effective date of modified flood insurance rate map	New community No.
Connecticut	Hartford	Farmington, town of	Farmington Valley Herald, Aug. 18, 1977, Aug. 25, 1977.	Mr. Stephen A. Flis, Town Manager, town of Farmington, Town Hall, 1 Montieth Dr., Farmington, Conn. 06032.	Aug. 15, 1977	090029A

(National Flood Insurance Act of 1968 (Title XIII of the Housing and Urban Development Act of 1968); effective Jan. 28, 1969 (33 FR 17804, Nov. 28, 1968), as amended (42 U.S.C. 4001–4128); and Secretary's delegation of authority to Federal Insurance Administrator, 34 FR 2680, Feb. 27, 1969, as amended (39 FR 2787, Jan. 24, 1974).)

Issued: August 16, 1977.

PATRICIA ROBERTS HARRIS,
Secretary.

[FR Doc.77-26484 Filed 9-16-77;8:45 am]

[Docket No. FI-3333]

PART 1916—CONSULTATION WITH LOCAL OFFICIALS

Changes in Base Flood Elevations

AGENCY: Federal Insurance Administration.

ACTION: Final rule.

SUMMARY: The Federal Insurance Administrator has published a notification of modification of the base (100-year) flood elevations for communities listed below, in prominent local newspapers. Since that publication, ninety days have elapsed; and the Federal Insurance Administrator has evaluated requests for changes in the base flood elevations, and after consultation with the Chief Executive Officer of each community listed, has determined that no changes in the modified flood elevations are necessary.

The numerous changes made in the base flood elevations on the Flood Insurance Rate Map (FIRM) for each community make it administratively infeasible to publish in this notice all of the base flood elevation changes contained in the maps. However, this notice includes the address of the Chief Executive Officer where the modified base flood elevation determinations are available for inspection.

The modifications are pursuant to Section 206 of the Flood Disaster Protection Act of 1973 (Pub. L. 93-234) and are in accordance with the National Flood Insurance Act of 1968, as amended (Title XIII of the Housing and Urban Development Act of 1968 Pub. L. 90-448), 42 U.S.C. 4001-4128, and 24 CFR Part 1916.

For rating purposes, the revised community number is listed and must be used for all new policies and renewals.

DATE: These modified flood elevations are in effect as of the dates in the 6th Column of the attached list and amend the FIRM in effect for each listed community prior to this date.

FOR FURTHER INFORMATION CONTACT:

Mr. Richard Krimm, Assistant Administrator, Office of Flood Insurance, 202-755-5581 or toll free line 800-424-8872, Room 5270, 451 Seventh Street SW., Washington, D.C. 20410.

SUPPLEMENTARY INFORMATION: These base flood elevations are basis for the flood plain management measures that the community is required to either adopt or show evidence of being already in effect in order to qualify or remain qualified for participation in the National Flood Insurance Program (NFIP).

These elevations together with the flood plain management measures required by Section 1910.3 of the program regulations are the minimum that are required. They should not be construed to mean the community must change any existing ordinances that are more stringent in their flood plain management requirements. The community may at any time enact stricter requirements on its own, or pursuant to policies established by other Federal, state, or regional entities.

These modified elevations shall be used to calculate the appropriate flood insurance premium rates for new buildings and their contents and for the second layer of insurance on existing buildings and contents.

The entry (entry not to be codified in CFR) reads as follows:

§ 1916.8 Changes in base flood elevations.

State	County	Location	Date and name of newspaper where notice was published	Chief executive officer of community	Effective date of modified flood insurance rate map	New community No.
Florida	Volusia	Holly Hill, city of	The News Journal, Apr. 21, 1977, Apr. 22, 1977.	Honorable Gordon Currie, Mayor, city of Holly Hill, 1065 Ridgewood Ave., Holly Hill, Fla. 32017.	Apr. 22, 1977	125112C
Do	Escambia	Navarre Beach, town of Santa Rosa County Beach Administration.	The Pensacola News Journal, Apr. 21, 1977, Apr. 22, 1977.	Mr. John Kearly, General Manager, town of Navarre Beach, c/o Santa Rosa County Beach Administration, Route 1, Box 372, Gulf Breeze, Fla. 32561.	do	125131C

(National Flood Insurance Act of 1968 (Title XIII of Housing and Urban Development Act of 1968; effective Jan. 28, 1969 (33 FR 17804, Nov. 28, 1968), as amended (42 U.S.C. 4001-4128); and Secretary's delegation of authority to Federal Insurance Administrator, 34 FR 2680, Feb. 27, 1969, as amended (39 FR 2787, Jan. 24, 1974).)

Issued: August 16, 1977.

PATRICIA ROBERTS HARRIS,
Secretary.

[FR Doc.77-26485 Filed 9-16-77;8:45 am]

[Docket No. FI-321]

PART 1920—PROCEDURE FOR MAP CORRECTION

Letter of Map Amendment for the City of Charlotte, N.C.

AGENCY: Federal Insurance Administration, HUD.

ACTION: Final rule.

SUMMARY: On August 6, 1974, the Federal Insurance Administrator published a list of communities for which the Federal Insurance Administration (FIA) published maps identifying Special Flood Hazard Areas. This list included the City of Charlotte, N.C. It has been determined by FIA, after further technical review of the Flood Hazard Boundary Map for the City of Charlotte, N.C., in light of additional, recently acquired flood information, that certain property (described below) is not within the Special Flood Hazard Area.

This map amendment, by establishing that the subject property is not within the Special Flood Hazard Area, removes the requirement to purchase flood insurance for that property as a condition of Federal or Federally-related financial assistance for construction or acquisition purposes.

EFFECTIVE DATE: Date of this notice.

FOR FURTHER INFORMATION CONTACT:

Mr. Richard Krimm, Assistant Administrator, Office of Flood Insurance, 202–755–5581 or toll free line 800–424–8872, Room 5270, 451 Seventh Street SW., Washington, D.C. 20410.

SUPPLEMENTARY INFORMATION: If a property owner was required to purchase flood insurance as a condition of Federal or Federally-related financial assistance for construction or acquisition purposes, and the lender now agrees to waive the property owner from maintaining flood insurance coverage on the basis of this map amendment, the property owner may obtain a full refund of the premium paid for the current policy year, provided that no claim is pending or has been paid on the policy in question during the same policy year. The premium refund may be obtained from the National Flood Insurers Association (NFIA) through the agent or broker who sold the policy.

The text reads as follows:

§ 1920.7 Notice of letter of map amendment.

Map No. H 370159 Panel 08, published on August 6, 1974, in 39 FR 28265, indicates that Lot 2, Shannon Park No. 9, located at 5111 Belcamp Lane, Charlotte, N.C., as recorded in Book 15, Page 89, in the office of the Register of Deeds of Mecklenburg County, N.C., is within the Special Flood Hazard Area.

Map No. H 370159 Panel 08 is hereby corrected to reflect that the existing structure on the above property is not within the Special Flood Hazard Area identified on June 28, 1974.

(National Flood Insurance Act of 1968 (Title XIII of Housing and Urban Development Act of 1968), effective January 28, 1969 (33 FR 17804, November 28, 1968), as amended (42 U.S.C. 4001–4128); and Secretary's delegation of authority to Federal Insurance Administrator, 34 FR 2680, February 27, 1969, as amended (39 FR 2787, January 24, 1974).)

Issued: August 16, 1977.

PATRICIA ROBERTS HARRIS,
Secretary.

[FR Doc.77-26460 Filed 9-16-77;8:45 am]

[Docket No. FI-2245]

PART 1920—PROCEDURE FOR MAP CORRECTION

Letter of Map Amendment for the City of Toledo, Ohio

AGENCY: Federal Insurance Administration, HUD.

ACTION: Final rule.

SUMMARY: On August 11, 1976, the Federal Insurance Administrator published a list of communities for which the Federal Insurance Administration (FIA) published maps identifying Special Flood Hazard Areas. This list included Toledo, Ohio. It has been determined by FIA, after further technical review of the Flood Hazard Boundary map for the City of Toledo, in light of additional, recently acquired flood information, that certain property (described below) is not within the Special Flood Hazard Area.

This map amendment, by establishing that the subject property is not within the Special Flood Hazard Area, removes the requirement to purchase flood insurance for that property as a condition of Federal or Federally-related financial assistance for construction or acquisition purposes.

EFFECTIVE DATE: Date of this notice.

FOR FURTHER INFORMATION CONTACT:

Mr. Richard Krimm, Assistant Administrator, Office of Flood Insurance, 202–755–5581 or toll free line 800–424–8872, Room 5270, 451 Seventh Street SW., Washington, D.C. 20410.

SUPPLEMENTARY INFORMATION: If a property owner was required to purchase flood insurance as a condition of Federal or Federally-related financial assistance for construction or acquisition purposes, and the lender now agrees to waive the property owner from maintaining flood insurance coverage on the basis of this map amendment, the property owner may obtain a full refund of the premium paid for the current policy year, provided that no claim is pending or has been paid on the policy in question during the same policy year. The premium refund may be obtained from the National Flood Insurers Association (NFIA) through the agent or broker who sold the policy.

The text reads as follows:

§ 1920.7 Notice of letter of map amendment.

Map No. H 395373 Panel 13, published on August 11, 1976, in 41 FR 33917, indicates that Lots 1 through 18, Marina Meadows and Lots 19 through 69, Marina Meadows First Extension, Toledo, Ohio, as recorded in Plat Volume 69, Page 53 and Plat Volume 73, Page 60, respectively, in the Office of the Recorder of Lucas County, Ohio, are within the Special Flood Hazard Area.

Map No. H 395373 Panel 13 is hereby corrected to reflect that the above property is not within the Special Flood Hazard Area identified on October 8, 1976.

(National Flood Insurance Act of 1968 (Title XIII of Housing and Urban Development Act of 1968), effective January 28, 1969 (33 FR 17804, November 28, 1968), as amended (42 U.S.C. 4001–4128); and Secretary's delegation of authority to Federal Insurance Administrator, 34 FR 2680, February 27, 1969, as amended (39 FR 2787, January 24, 1974).)

Issued: July 28, 1977.

PATRICIA ROBERTS HARRIS,
Secretary.

[FR Doc.77-26461 Filed 9-16-77;8:45 am]

[Docket No. FI-285]

PART 1920—PROCEDURE FOR MAP CORRECTION

Letter of Map Amendment for the City of Idabel, Okla.

AGENCY: Federal Insurance Administration, HUD.

ACTION: Final rule.

SUMMARY: On January 23, 1974, the Federal Insurance Administrator published a list of communities for which the Federal Insurance Administration (FIA) published maps identifying Special Flood Hazard Areas. This list included the City of Idabel, Okla. It has been determined by FIA, after further technical review of the Flood Hazard Boundary Map for the City of Idabel, Okla., in light of additional, recently acquired flood information, that certain property (described below) is not within the Special Flood Hazard Area.

This map amendment, by establishing that the subject property is not within the Special Flood Hazard Area, removes the requirement to purchase flood insurance for that property as a condition of Federal or Federally-related financial assistance for construction or acquisition purposes.

EFFECTIVE DATE: Date of this notice.

FOR FURTHER INFORMATION CONTACT:

Mr. Richard Krimm, Assistant Administrator, Office of Flood Insurance, 202–755–5581 or toll free line 800–424–8872, Room 5270, 451 Seventh Street SW., Washington, D.C. 20410.

SUPPLEMENTARY INFORMATION: If a property owner was required to purchase flood insurance as a condition of Federal or Federally-related financial assistance for construction or acquisition purposes, and the lender now agrees to waive the property owner from maintaining flood insurance coverage on the basis of this map amendment, the property owner may obtain a full refund of the premium paid for the current policy year, provided that no claim is pending or has been paid on the policy in question during the same policy year. The premium refund may be obtained from the National Flood Insurers Association (NFIA) through the agent or broker who sold the policy.

The text reads as follows:

§ 1920.7 Notice of letter of map amendment.

Map No. H 400108A Panels 01 and 04, published on January 23, 1974, in 39 FR 2603, indicates that Lots 1 through 10, Block 1; Lots 1 through 10, Block 2; Lots 1 through 10, Block 3; Lots 2 through 5, Block 5; and Lots 1 through 5, Block 6, as recorded in Book 1, Page 61, in the office of the Clerk of McCurtain County, Okla., are within the Special Flood Hazard Area.

Map No. H 400108A Panels 01 and 04 are hereby corrected to reflect the above property is not within the Special Flood Hazard Area identified on January 23, 1974.

(National Flood Insurance Act of 1968 (Title XIII of Housing and Urban Development Act of 1968), effective January 28, 1969 (33 FR 17804, November 28, 1968), as amended (42 U.S.C. 4001–4128); and Secretary's delegation of authority to Federal Insurance Administrator, 34 FR 2680, February 27, 1969, as amended (39 FR 2787, January 24, 1974).)

Issued: July 28, 1977.

PATRICIA ROBERTS HARRIS,
Secretary.

[FR Doc.77-26462 Filed 9-16-77;8:45 am]

[Docket No. FI-455]

PART 1920—PROCEDURE FOR MAP CORRECTION

Letter of Map Amendment for Washington County, Oreg.

AGENCY: Federal Insurance Administration, HUD.

ACTION: Final rule.

SUMMARY: On January 27, 1975, the Federal Insurance Administrator published a list of communities for which the Federal Insurance Administration (FIA) published maps identifying Special Flood Hazard Areas. This list included Washington County, Oreg. It has been determined by FIA, after further technical review of the Flood Hazard Boundary Map for Washington County, Oreg., in light of additional, recently acquired flood information, that certain property (described below) is not within the Special Flood Hazard Area.

This map amendment, by establishing that the subject property is not within the Special Flood Hazard Area, removes the requirement to purchase flood insurance for that property as a condition of Federal or Federally-related financial assistance for construction or acquisition purposes.

EFFECTIVE DATE: Date of this notice.

FOR FURTHER INFORMATION CONTACT:

Mr. Richard Krimm, Assistant Administrator, Office of Flood Insurance, 202–755–5581 or toll free line 800–424–8872, Room 5270, 451 Seventh Street SW., Washington, D.C. 20410.

SUPPLEMENTARY INFORMATION: If a property owner was required to purchase flood insurance as a condition of Federal or Federally-related financial assistance for construction or acquisition purposes, and the lender now agrees to waive the property owner from maintaining flood insurance coverage on the basis of this map amendment, the property owner may obtain a full refund of the premium paid for the current policy year, provided that no claim is pending or has been paid on the policy in question during the same policy year. The premium refund may be obtained from the National Flood Insurers Association (NFIA) through the agent or broker who sold the policy.

The text reads as follows:

§ 1920.7 Notice of letter of map amendment.

Map No. H 410238 Panel 14, published on January 27, 1975, in 40 FR 3989, indicates that Lot 9, Forestwaye No. 2, Washington County, Oreg., as recorded in Book 36, Page 37, in the office of the Clerk of Records and Elections of Washington County, Oreg., is within the Special Flood Hazard Area.

Map No. H 410238 Panel 14 is hereby corrected to reflect that the existing structure on the above property is not within the Special Flood Hazard Area identified on January 24, 1975.

(National Flood Insurance Act of 1968 (Title XIII of Housing and Urban Development Act of 1968), effective January 28, 1969 (33 FR 17804, November 28, 1968), as amended (42 U.S.C. 4001–4128); and Secretary's delegation of authority to Federal Insurance Administrator, 34 FR 2680, February 27, 1969, as amended (39 FR 2787, January 24, 1974).)

Issued: August 16, 1977.

PATRICIA ROBERTS HARRIS,
Secretary.

[FR Doc.77-26463 Filed 9-16-77;8:45 am]

[Docket No. FI-1134]

PART 1920—PROCEDURE FOR MAP CORRECTION

Letter of Map Amendment for the Town of Easley, S.C.

AGENCY: Federal Insurance Administration, HUD.

ACTION: Final rule.

SUMMARY: On May 19, 1976, the Federal Insurance Administrator published a list of communities for which the Federal Insurance Administration (FIA) published maps identifying Special Flood Hazard Areas. This list included Easley, S.C. It has been determined by FIA, after further technical review of the Flood Hazard Boundary map for the Town of Easley, in light of additional, recently acquired flood information, that certain property (described below) is not within the Special Flood Hazard Area.

This map amendment, by establishing that the subject property is not within the Special Flood Hazard Area, removes the requirement to purchased flood insurance for that property as a condition of Federal or Federally-related financial assistance for construction or acquisition purposes.

EFFECTIVE DATE: Date of this notice.

FOR FURTHER INFORMATION CONTACT:

Mr. Richard Krimm, Assistant Administrator, Office of Flood Insurance, 202–755–5581 or toll free line 800–424–8872, Room 5270, 451 Seventh Street SW., Washington, D.C. 20410.

SUPPLEMENTARY INFORMATION: If a property owner was required to purchase flood insurance as a condition of Federal or federally-related financial assistance for construction or acquisition purposes, and the lender now agrees to waive the property owner from maintaining flood insurance coverage on the basis of this map amendment, the property owner may obtain a full refund of the premium paid for the current policy year, provided that no claim is pending or has been paid on the policy in question during the same policy year. The premium refund may be obtained from the National Flood Insurers Association (NFIA) through the agent or broker who sold the policy.

The text reads as follows:

§ 1920.7 Notice of letter of map amendment.

Map No. H 450167A Panel 01, published on May 19, 1976, in 41 FR 20562, indicates that Lot 14, Woodberry Circle, Easley, S.C., as recorded in Deedbook 12R, Page 78 in the Office of the Clerk of Court for Pickens County, S.C., is within the Special Flood Hazard Area.

Map No. H 450167A Panel 01 is hereby corrected to reflect that the existing structure on the above property is not within the Special Flood Hazard Area identified on April 9, 1976.

(National Flood Insurance Act of 1968 (Title XIII of Housing and Urban Development Act of 1968), effective January 28, 1969 (33 FR 17804, November 28, 1968), as amended (42 U.S.C. 4001–4128); and Secretary's delegation of authority to Federal Insurance Administrator, 34 FR 2680, February 27, 1969, as amended (39 FR 2787, January 24, 1974).)

Issued: August 16, 1977.

PATRICIA ROBERTS HARRIS,
Secretary.

[FR Doc.77-26464 Filed 9-16-77;8:45 am]

[Docket No. FI-342]

PART 1920—PROCEDURE FOR MAP CORRECTION

Letter of Map Amendment for the City of Memphis, Tenn.

AGENCY: Federal Insurance Administration, HUD.

ACTION: Final rule.

SUMMARY: On August 28, 1974, the Federal Insurance Administrator published a list of communities for which the Federal Insurance Administration (FIA) published maps identifying Special Flood Hazard Areas. This list included Memphis, Tenn. It has been determined by FIA, after further technical review of the Flood Hazard Boundary Map for the City of Memphis, in light of additional, recently acquired flood information, that certain property (described below) is not within the Special Flood Hazard Area.

This map amendment, by establishing that the subject property is not within the Special Flood Hazard Area, removes the requirement to purchase flood insurance for that property as a condition of Federal or Federally-related financial assistance for construction or acquisi-

Flood Hazard Area.

EFFECTIVE DATE: Date of this notice.

FOR FURTHER INFORMATION CONTACT:

Mr. Richard Krimm, Assistant Administrator, Office of Flood Insurance, 202–755–5581 or toll free line 800–424–8872, Room 5270, 451 Seventh Street SW., Washington, D.C. 20410.

SUPPLEMENTARY INFORMATION: If a property owner was required to purchase flood insurance as a condition of Federal or Federally-related financial assistance for construction or acquisition purposes, and the lender now agrees to waive the property owner from maintaining flood insurance coverage on the basis of this map amendment, the property owner may obtain a full refund of the premium paid for the current policy year, provided that no claim is pending or has been paid on the policy in question during the same policy year. The premium refund may be obtained from the National Flood Insurers Association (NFIA) through the agent or broker who sold the policy.

The text reads as follows:

§ 1920.7 Notice of letter of map amendment.

Map No. H 470177 Panels 22 and 23, published on August 28, 1974, in 39 FR 31315, indicate that a 1.59 acre tract of land on the southwesterly side of Mount Moriah Road between Mendenhall Road and Interstate 240 within the City of Memphis, Tenn., being a portion of the property recorded as Document Number J4 6913 in the Office of the Register of Shelby County, Tenn., is within the Special Flood Hazard Area.

Map No. H 470177 Panels 22 and 23 are hereby corrected to reflect that the existing structures as identified on a topographical map by M.C.L. and Associates, Inc., June 15, 1977, of the above property are not within the Special Flood Hazard Area identified on August 23, 1974.

(National Flood Insurance Act of 1968 (Title XIII of Housing and Urban Development Act of 1968), effective January 28, 1969 (33 FR 17804, November 28, 1968), as amended (42 U.S.C. 4001–4128); and Secretary's delegation of authority to Federal Insurance Administrator, 34 FR 2680, February 27, 1969, as amended (39 FR 2787, January 24, 1974).)

Issued: August 16, 1977.

PATRICIA ROBERTS HARRIS,
Secretary.

[FR Doc. 77-26465 Filed 9-16-77;8:45 am]

[Docket No. FI-3012]

PART 1920—PROCEDURE FOR MAP CORRECTION

Letter of Map Amendment for the City of Mesquite, Tex.

AGENCY: Federal Insurance Administration, HUD.

ACTION: Final rule.

SUMMARY: On June 29, 1977, the Federal Insurance Administrator published a list of communities for which the Federal Insurance Administration (FIA) published maps identifying Special Flood Hazard Areas. This list included the City of Mesquite, Tex. It has been determined by FIA, after further technical review of the Flood Insurance Rate Map for the City of Mesquite, Tex., in light of additional recently acquired flood information, that certain property (described below) is not within the Special Flood Hazard Area.

This map amendment, by establishing that the subject property is not within the Special Flood Hazard Area, removes the requirement to purchase flood insurance for that property as a condition of Federal or Federally-related financial assistance for construction or acquisition purposes.

EFFECTIVE DATE: Date of this notice.

FOR FURTHER INFORMATION CONTACT:

Mr. Richard Krimm, Assistant Administrator, Office of Flood Insurance, 202–755–5581 or toll free line 800–424–8872, Room 5270, 451 Seventh Street SW., Washington, D.C. 20410.

SUPPLEMENTARY INFORMATION: If a property owner was required to purchase flood insurance as a condition of Federal or Federally-related financial assistance for construction or acquisition purposes, and the lender now agrees to waive the property owner from maintaining flood insurance coverage on the basis of this map amendment, the property owner may obtain a full refund of the premium paid for the current policy year, provided that no claim is pending or has been paid on the policy in question during the same policy year. The premium refund may be obtained from the National Flood Insurers Association (NFIA) through the agent or broker who sold the policy.

The text reads as follows:

§ 1920.7 Notice of letter of map amendment.

Map No. H&I 485490 A Panel 05 published on June 29, 1977, in 42 FR 33234 indicates that Lots 7 and 8, Block 5, and Lots 2, 3, and 4, Block 6, Palos Verdes Estates No. 4, Mesquite, Tex., as recorded in Volume 76082, Page 0555, in the office of the Clerk of Dallas County, Tex., are within the Special Flood Hazard Area.

Map No. H&I 485490 A Panel 05 is hereby corrected to reflect the above property is not within the special Flood Hazard Area identified on September 26, 1977. The property is located in Zone B.

(National Flood Insurance Act of 1968 (Title XIII of Housing and Urban Development Act of 1968), effective January 28, 1969 (33 FR 17804, November 28, 1968), as amended (42 U.S.C. 4001–4128); and Secretary's delegation of authority to Federal Insurance Administrator, 34 FR 2680, February 27, 1969, as amended (39 FR 2787, January 24, 1974).)

Issued: August 16, 1977.

PATRICIA ROBERTS HARRIS,
Secretary.

[FR Doc.77-26466 Filed 9-16-77;8:45 am]

[Docket No. FI-3012]

PART 1920—PROCEDURE FOR MAP CORRECTION

Letter of Map Amendment for Harris County, Tex.

AGENCY: Federal Insurance Administration, HUD.

ACTION: Final rule.

SUMMARY: On June 29, 1977, the Federal Insurance Administrator published a list of communities for which the Federal Insurance Administration (FIA) published maps identifying Special Flood Hazard Areas. This list included Harris County, Tex. It has been determined by FIA, after further technical review of the Flood Insurance Rate map for the County of Harris, in light of additional, recently acquired flood information, that certain property (described below) is not within the Special Flood Hazard Area.

This map amendment, by establishing that the subject property is not within the Special Flood Hazard Area, removes the requirement to purchase flood insurance for that property as a condition of Federal or Federally-related financial assistance for construction or acquisition purposes.

EFFECTIVE DATE: Date of this notice.

FOR FURTHER INFORMATION CONTACT:

Mr. Richard Krimm, Assistant Administrator, Office of Flood Insurance, 202–755–5581 or toll free line 800–424–8872, Room 5270, 451 Seventh Street SW., Washington, D.C. 20410.

SUPPLEMENTARY INFORMATION: If a property owner was required to purchase flood insurance as a condition of Federal or Federally-related financial assistance for construction or acquisition purposes, and the lender now agrees to waive the property owner from maintaining flood insurance coverage on the basis of this map amendment, the property owner may obtain a full refund of the premium paid for the current policy year, provided that no claim is pending or has been paid on the policy in question during the same policy year. The premium refund may be obtained from the National Flood Insurers Association (NFIA) through the agent or broker who sold the policy.

The text reads as follows:

§ 1920.7 Notice of letter of map amendment.

Map No. H and I 480287B Panel 41, published on June 29, 1977, in 42 FR 33233, indicates that Windfern Place, being a parcel of land out of the Findlay A. McNaughton Survey A–553, Harris County, Tex., recorded as Film Code No. 154–37–0812 through 154–37–0817 in the Office of Deed Records of Harris County, Tex., is within the Special Flood Hazard Area.

Map No. H and I 480287B Panel 41 is hereby corrected to reflect that the above property is not within the Special Flood Hazard Area identified on July 30, 1977. The property is in Zone C.

(National Flood Insurance Act of 1968 (Title XIII of Housing and Urban Development Act of 1968), effective January 28, 1969 (33 FR 17804, November 28, 1968), as amended (42 U.S.C. 4001–4128); and Secretary's delegation of authority to Federal Insurance Administrator, 34 FR 2680, February 27, 1969, as amended (39 FR 2787, January 24, 1974).)

Issued: August 16, 1977.

PATRICIA ROBERTS HARRIS,
Secretary.

[FR Doc.77-25467 Filed 9-16-77;8:45 am]

[Docket No. FI-71-6361]

PART 1920—PROCEDURE FOR MAP CORRECTION

Letter of Map Amendment for Brazoria County, Tex.

AGENCY: Federal Insurance Administration, HUD.

ACTION: Final rule.

SUMMARY: On May 8, 1971, the Federal Insurance Administrator published a list of communities for which the Federal Insurance Administration (FIA) published maps identifying Special Flood Hazard Areas. This list included Brazoria County, Tex. It has been determined by FIA, after further technical review of the Flood Insurance Rate Map for the County of Brazoria, in light of additional, recently acquired flood information, that certain property (described below) is not within the Special Flood Hazard Area.

This map amendment, by establishing that the subject property is not within the Special Flood Hazard Area, removes the requirement to purchase flood insurance for that property as a condition of Federal or Federally-related financial assistance for construction or acquisition purposes.

EFFECTIVE DATE: Date of this notice.

FOR FURTHER INFORMATION CONTACT:

Mr. Richard Krimm, Assistant Administrator, Office of Flood Insurance, 202–755–5581 or toll free line 800–424–8872, Room 5270, 451 Seventh Street SW., Washington, D.C. 20410.

SUPPLEMENTARY INFORMATION: If a property owner was required to purchase flood insurance as a condition of Federal or Federally-related financial assistance for construction or acquisition purposes, and the lender now agrees to waive the property owner from maintaining flood insurance coverage on the basis of this map amendment, the property owner may obtain a full refund of the premium paid for the current policy year, provided that no claim is pending or has been paid on the policy in question during the same policy year. The premium refund may be obtained from the National Flood Insurers Association (NFIA) through the agent or broker who sold the policy.

The text reads as follows:

§ 1920.7 Notice of letter of map amendment.

Map No. H & I 485458B Panels 01 and 04, published on May 8, 1971, in 36 FR 8566, indicate that a tract of land, which is located in Brazoria County, Tex., and recorded in Volume 1228, Page 484, of Deeds in the Office of the Clerk of the Court of Brazoria County, Tex., is within the Special Flood Hazard Area.

Map No. H & I 485458B Panels 01 and 04 are hereby corrected to reflect that a portion of the above property, which can be described as follows:

Commencing at an iron pipe in the west line of Lot 3, Section 83, H. T. & B.R.R. Co. Survey, Abstract No. 761, and east line of the Franklin Hooper Survey, Abstract No. 198, said iron rod being located N. 0°09' E., 190.79 feet from the southwest corner of Lot 3 and northwest corner of Lot 4, Section 83, H. T. & B.R.R. Co. Survey and N. 0°09' E., 1,702.74 feet from the southwest corner of said Section 83; thence S. 89°11'30" E., approximately 1,470 feet to a point on the City of Pearland-Brazoria County Line, also being the point of beginning; thence N. 15° E., along said City of Pearland-Brazoria County Line, approximately 3,430 feet; thence N. 68° E., approximately 265 feet to a point on the east line of Lot 1 and west line of Lot 2, Section 83, H. T. & B.R.R. Co. Survey; thence S. 0°02' W., approximately 3,350 feet along the east line of Lots 1 and 3 and the west line of Lots 2 and 5 in said Section 83 to an iron pipe at a fence corner located N. 0°02' E., 153.55 feet from the southeast corner of Lot 3 and the northeast corner of Lot 4; thence N. 89°11'30" W., approximately 1,130 feet to the point of beginning,

is not within the Special Flood Hazard Area identified on May 8, 1971. The property is in Zone C.

(National Flood Insurance Act of 1968 (Title XIII of Housing and Urban Development Act of 1968), effective January 28, 1969 (33 FR 17804, November 28, 1968), as amended (42 U.S.C. 4001–4128); and Secretary's delegation of authority to Federal Insurance Administrator (34 FR 2680, February 27, 1969), as amended (39 FR 2787, January 24, 1974).)

Issued: August 16, 1977.

PATRICIA ROBERTS HARRIS,
Secretary.

[FR Doc.77-26468 Filed 9-16-77;8:45 am]

[Docket No. FI-2016]

PART 1920—PROCEDURE FOR MAP CORRECTION

Letter of Map Amendment for the City of Marble Falls, Tex.

AGENCY: Federal Insurance Administration, HUD.

ACTION: Final rule.

SUMMARY: On June 9, 1976, the Federal Insurance Administrator published a list of communities for which the Federal Insurance Administration (FIA) published maps identifying Special Flood Hazard Areas. This list included Marble Falls, Tex. It has been determined by FIA, after further technical review of the Flood Hazard Boundary map for the City of Marble Falls, in light of additional, recently acquired flood information, that certain property (described below) is not within the Special Flood Hazard Area.

This map amendment, by establishing that the subject property is not within the Special Flood Hazard Area, removes the requirement to purchase flood insurance for that property as a condition of Federal or Federally-related financial assistance for construction or acquisition purposes.

EFFECTIVE DATE: Date of this notice.

FOR FURTHER INFORMATION CONTACT:

Mr. Richard Krimm, Assistant Administrator, Office of Flood Insurance, 202–755–5581 or toll free line 800–424–8872, Room 5270, 451 Seventh Street SW., Washington, D.C. 20410.

SUPPLEMENTARY INFORMATION: If a property owner was required to purchase flood insurance as a condition of Federal or Federally-related financial assistance for construction or acquisition purposes, and the lender now agrees to waive the property owner from maintaining flood insurance coverage on the basis of this map amendment, the property owner may obtain a full refund of the premium paid for the current policy year, provided that no claim is pending or has been paid on the policy in question during the same policy year. The premium refund may be obtained from the National Flood Insurers Association (NFIA) through the agent or broker who sold the policy.

The text reads as follows:

§ 1920.7 Notice of letter of map amendment.

Map No. H 480093A Panel 04, published on June 9, 1976, in 41 FR 23196, indicates that a parcel of land consisting of a 5.31 acre tract, being Tract II, Marble Falls, Tex., as described in the Deed recorded in Volume 201, Page 322 of the Deed Records of Burnet County, Tex., and a 2.973 acre tract as described in the Deed recorded in Volume 225, Page 6 of the Deed Records of Burnet County, Tex., is within the Special Flood Hazard Area.

Map No. H 480093A Panel 04 is hereby corrected to reflect that the existing structures on the above property are not within the Special Flood Hazard Area identified on May 28, 1976.

(National Flood Insurance Act of 1968 (Title XIII of Housing and Urban Development Act of 1968) effective January 28, 19669 (33 FR 17804, November 28, 1968), as amended (42 U.S.C. 4001–4128); and Secretary's delegation of authority to Federal Insurance Administrator, 34 FR 2680, February 27, 1969, as amended (39 FR 2787, January 24, 1974).)

Issued: August 16, 1977.

PATRICIA ROBERTS HARRIS,
Secretary.

[FR Doc.77-26469 Filed 9-16-77;8:45 am]

[Docket No. FI-454]

PART 1920—PROCEDURE FOR MAP CORRECTION

Letter of Map Amendment for the City of Tyler, Tex.

AGENCY: Federal Insurance Administration, HUD.

ACTION: Final rule.

SUMMARY: On January 28, 1975, the Federal Insurance Administrator published a list of communities for which the Federal Insurance Administration (FIA) published maps identifying Special Flood Hazard Areas. This list included Tyler, Tex. It has been determined by FIA, after further technical review of the Flood Hazard Boundary map for the City of Tyler, in light of additional, recently acquired flood information, that certain property (described below) is not within the Special Flood Hazard Area.

This map amendment, by establishing that the subject property is not within the Special Flood Hazard Area, removes the requirement to purchase flood insurance for that property as a condition of Federal or Federally-related financial assistance for construction or acquisition purposes.

EFFECTIVE DATE: Date of this notice.

FOR FURTHER INFORMATION CONTACT:

Mr. Richard Krimm, Assistant Administrator, Office of Flood Insurance, 202-755-5581 or toll free line 800-424-8872, Room 5270, 451 Seventh Street SW., Washington, D.C. 20410.

SUPPLEMENTARY INFORMATION: If a property owner was required to purchase flood insurance as a condition of Federal or Federally-related financial assistance for construction or acquisition purposes, and the lender now agrees to waive the property owner from maintaining flood insurance coverage on the basis of this map amendment, the property owner may obtain a full refund of the premium paid for the current policy year, provided that no claim is pending or has been paid on the policy in question during the same policy year. The premium refund may be obtained from the National Flood Insurers Association (NFIA) through the agent or broker who sold the policy.

The text reads as follows:

§ 1920.7 Notice of letter of map amendment.

Map No. H 480571 Panel 17, published on January 28, 1975, in 40 FR 4133, indicates that Lots 1 through 6, N.C.B. 1509; Lots 6, 8, 10 through 12, 14, 16, 18, 20, and 22 through 24, N.C.B. 1507; Lots 14 through 19, 21, 22, 24 through 28, and 30 through 35, N.C.B. 1505, Hampton Hill Subdivision, Unit No. 1, Tyler Tex., as recorded in Volume 8, Page 34 in the Office of Plat Records of Smith County, Tex., are within the Special Flood Hazard Area.

Map No. H 480571 Panel 17 is hereby corrected to reflect that Lots 1 through 6, N.C.B. 1509; Lots 6, 8, 10 through 12, 14, 15, 18, 20, 22 through 24, N.C.B. 1507, Lots 14 through 17, and 30 through 35, N.C.B. 1505 and the existing structures on Lots 18, 19, 21, 22, and 24 through 28, N.C.B. 1505 are not within the Special Flood Hazard Area identified on January 10, 1975.

(National Flood Insurance Act of 1968 (Title XIII of Housing and Urban Development Act of 1968), effective January 28, 1969 (33 FR 17804, November 28, 1968), as amended (42 U.S.C. 4001-4128); and Secretary's delegation of authority to Federal Insurance Administrator, 34 FR 2680, February 27, 1969, as amended (39 FR 2787, January 24, 1974).)

Issued: August 16, 1977.

PATRICIA ROBERTS HARRIS,
Secretary.

[FR Doc.77-26470 Filed 9-16-77;8:45 am]

[Docket No. FI-454]

PART 1920—PROCEDURE FOR MAP CORRECTION

Letter of Map Amendment for the City of Dallas, Tex.

AGENCY: Federal Insurance Administration, HUD.

ACTION: Final rule.

SUMMARY: On January 28, 1975, the Federal Insurance Administrator published a list of communities for which the Federal Insurance Administration (FIA) published maps identifying Special Flood Hazard Areas. This list included Dallas, Tex. It has been determined by FIA, after further technical review of the Flood Hazard Boundary map for the City of Dallas, in light of additional, recently acquired flood information, that certain property (described below) is not within the Special Flood Hazard Area.

This map amendment, by establishing that the subject property is not within the Special Flood Hazard Area, removes the requirement to purchase flood insurance for that property as a condition of Federal or Federally-related financial assistance for construction or acquisition purposes.

EFFECTIVE DATE: Date of this notice.

Mr. Richard Krimm, Assistant Administrator, Office of Flood Insurance, 202-

Room 5270, 451 Seventh Street, Washington, D.C. 20410.

SUPPLEMENTARY INFORMATION: If a property owner was required to purchase flood insurance as a condition of Federal or Federally-related financial assistance for construction or acquisition purposes, and the lender now agrees to waive the property owner from maintaining flood insurance coverage on the basis of this map amendment, the property owner may obtain a full refund of the premium paid for the current policy year, provided that no claim is pending or has been paid on the policy in question during the same policy year. The premium refund may be obtained from the National Flood Insurers Association (NFIA) through the agent or broker who sold the policy.

The next reads as follows:

§ 1920.7 Notice of letter of map amendment.

Map No. H 480171 Panels 48 and 55, published on January 28, 1975, in 40 FR 4133, indicates that Lot 6, Block 23, City Block 6129, Buckner Terrace Addition, First Installment, Eighth Section, City of Dallas, Tex., as recorded in Plat Volume 71116, Page 2775, in the Office of Map Records of Dallas County, Tex., is within the Special Flood Hazard Area.

Map No. H 480171 Panels 48 and 55 are hereby corrected to reflect that the above property is not within the Special Flood Hazard Area identified on January 10, 1975.

(National Flood Insurance Act of 1968 (Title XIII of Housing and Urban Development Act of 1968), effective January 28, 1969 (33 FR 17804, November 28, 1968), as amended (42 U.S.C. 4001-4128); and Secretary's delegation of authority to Federal Insurance Administrator, 34 FR 2680, February 27, 1969, as amended (39 FR 2787, January 24, 1974).)

Issued: August 16, 1977.

PATRICIA ROBERTS HARRIS,
Secretary.

[FR Doc.77-26471 Filed 9-16-77;8:45 am]

[Docket No. FI-3012]

PART 1920—PROCEDURE FOR MAP CORRECTION

Letter of Map Amendment for the City of Burleson, Tex.

AGENCY: Federal Insurance Administration, HUD.

ACTION: Final rule.

SUMMARY: On June 29, 1977, the Federal Insurance Administrator published a list of communities for which the Federal Insurance Administration (FIA) published maps identifying Special Flood Hazard Areas. This list included Burleson, Tex. It has been determined by FIA, after further technical review of the Flood Insurance Rate map for the City of Burleson, in light of additional, recently acquired flood information, that certain property (described below) is not within the Special Flood Hazard Area.

This map amendment, by establishing that the subject property is not within the Special Flood Hazard Area, removes the requirement to purchase flood insurance for that property as a condition of Federal or Federally-related financial assistance for construction or acquisition purposes.

EFFECTIVE DATE: Date of this notice.

FOR FURTHER INFORMATION CONTACT:

Mr. Richard Krimm, Assistant Administrator, Office of Flood Insurance, 202-755-5581 or toll free line 800-424-8872, Room 5270, 451 Seventh Street SW., Washington, D.C. 20410.

SUPPLEMENTARY INFORMATION: If a property owner was required to purchase flood insurance as a condition of Federal or Federally-related financial assistance for construction or acquisition purposes, and the lender now agrees to waive the property owner from maintaining flood insurance coverage on the basis of this map amendment, the property owner may obtain a full refund of the premium paid for the current policy year, provided that no claim is pending or has been paid on the policy in question during the same policy year. The premium refund may be obtained from the National Flood Insurers Association (NFIA) through the agent or broker who sold the policy.

The text reads as follows:

§ 1920.7 Notice of letter of map amendment.

Map No. H & I 485459D Panel 01, published on June 29, 1977, in 42 FR 33233, indicates that Lots 1, 9, and 10, Block 12, as shown on the plat prepared by Carter & Burgess, Inc., June 30, 1977, Project No. 76 351-2, Burleson, Tex., being part of a 30 acre tract of land described in Deed recorded in Volume 491, Page 928 and part of a 23.33 acre tract of land described in Deed recorded in Volume 491, Page 914 in the Deed Records of Johnson County, Tex., are within the Special Flood Hazard Area.

Map No. H & I 485459D Panel 01 is hereby corrected to reflect that the above-mentioned property is not within the Special Flood Hazard Area identified on November 2, 1973. The property is in Zone B.

(National Flood Insurance Act of 1968 (Title XIII of Housing and Urban Development Act of 1968), effective January 28, 1969 (33 FR 17804, November 28, 1968), as amended (42 U.S.C. 4001-4128); and Secretary's delegation of authority to Federal Insurance Administrator, 34 FR 2680, February 27, 1969, as amended (39 FR 2787, January 24, 1974).)

Issued: August 16, 1977.

PATRICIA ROBERTS HARRIS,
Secretary.

[FR Doc.77-26472 Filed 9-16-77;8:45 am]

[Docket No. FI-2245]

PART 1920—PROCEDURE FOR MAP CORRECTION

Letter of Map Amendment for the City of Lewisville, Tex.

AGENCY: Federal Insurance Administration, HUD.

ACTION: Final rule.

SUMMARY: On August 11, 1976, the Federal Insurance Administrator published a list of communities for which the Federal Insurance Administration (FIA) published maps identifying Special Flood Hazard Areas. This list included Lewisville, Tex. It has been determined by FIA, after further technical review of the Flood Hazard Boundary map for the City of Lewisville, in light of additional, recently acquired flood information, that certain property (described below) is not within the Special Flood Hazard Area.

This map amendment, by establishing that the subject property is not within the Special Flood Hazard Area, removes the requirement to purchase flood insurance for that property as a condition of Federal or Federally-related financial assistance for construction or acquisition purposes.

EFFECTIVE DATE: Date of this notice.

FOR FURTHER INFORMATION CONTACT:

Mr. Richard Krimm, Assistant Administrator, Office of Flood Insurance, 202-755-5581 or toll free line 800-424-8872, Room 5270, 451 Seventh Street SW., Washington, D.C. 20410.

SUPPLEMENTARY INFORMATION: If a property owner was required to purchase flood insurance as a condition of Federal or Federally-related financial assistance for construction or acquisition purposes, and the lender now agrees to waive the property owner from maintaining flood insurance coverage on the basis of this map amendment, the property owner may obtain a full refund of the premium paid for the current policy year, provided that no claim is pending or has been paid on the policy in question during the same policy year. The premium refund may be obtained from the National Flood Insurers Association (NFIA) through the agent or broker who sold the policy.

The text reads as follows:

§ 1920.7 Notice of letter of map amendment.

Map No. H480195A Panel 07, published on August 11, 1976, in 41 FR 33915, indicates that Lots 1 through 8, Block M, Lewisville Valley Section 2, Part 1; and Lots 9 through 12, Block M, Lewisville Valley Section 2, Part 2, as recorded in Plat Volume 8, Page 28, and Plat Volume 9, Page 15, respectively, in the Deed Records Office of Denton County, Tex., are within the Special Flood Hazard Area.

Map No. H 480195A Panel 07 is hereby corrected to reflect that the above properties, with the exception of the recorded Drainage and Utility Easements, are not within the Special Flood Hazard Area identified on March 15, 1974, and August 6, 1976.

(National Flood Insurance Act of 1968 (Title XIII of Housing and Urban Development Act of 1968), effective January 28, 1969 (33 FR 17804, November 28, 1968), as amended (42 U.S.C. 4001-4128); and Secretary's delegation of authority to Federal Insurance Administrator, 34 FR 2680, February 27, 1969, as amended (39 FR 2787, January 24, 1974).)

Issued: August 16, 1977.

PATRICIA ROBERTS HARRIS,
Secretary.

[FR Doc.77-26473 Filed 9-16-77;8:45 am]

[Docket No. FI-3012]

PART 1920—PROCEDURE FOR MAP CORRECTION

Letter of Map Amendment for Fairfax County, Va.

AGENCY: Federal Insurance Administration, HUD.

ACTION: Final rule.

SUMMARY: On June 29, 1977, the Federal Insurance Administrator published a list of communities for which the Federal Insurance Administration (FIA) published maps identifying Special Flood Hazard Areas. This list included Fairfax County, Va. It has been determined by FIA, after further technical review of the Flood Insurance Rate Map for the County of Fairfax, in light of additional, recently acquired flood information, that certain property (described below) is not within the Special Flood Hazard Area.

This map amendment, by establishing that the subject property is not within the Special Flood Hazard Area, removes the requirement to purchase flood insurance for that property as a condition of Federal or Federally-related financial assistance for construction or acquisition purposes.

EFFECTIVE DATE: Date of this notice.

FOR FURTHER INFORMATION CONTACT:

Mr. Richard Krimm, Assistant Administrator, Office of Flood Insurance, 202-755-5581 or toll free line 800-424-872, Room 5270, 451 Seventh Street SW., Washington, D.C. 20410.

SUPPLEMENTARY INFORMATION: If a property owner was required to purchase flood insurance as a condition of Federal or Federally-related financial assistance for construction or acquisition purposes, and the lender now agrees to waive the property owner from maintaining flood insurance coverage on the basis of this map amendment, the property owner may obtain a full refund of the premium paid for the current policy year, provided that no claim is pending or has been paid on the policy in question during the same policy year. The premium refund may be obtained from the National Flood Insurers Association (NFIA) through the agent or broker who sold the policy.

The text reads as follows:

§ 1920.7 Notice of letter of map amendment.

Map No. H & I 515525C Panel 28, published on June 29, 1977, in 42 FR 33235, indicates that a portion of a 116.386 acre tract of land, bounded on the east by Telegraph Road and on the south by Accotink Creek, being a portion of the property described in the instrument recorded in Deedbook 584, Page 25 in the Office of the Clerk of the Circuit Court for Fairfax County, Va., which can be described as follows:

Beginning at a point located on an abandoned railroad bed and being the Northwest

corner of that tract of land shown on the Survey Plat by Long, Rinker, and Brown dated July 25, 1977; thence N. 79°07'20" E., approximately 1,000 feet to a point; thence S. 45°37' E., approximately 470 feet to a point; thence S. 66°37' E., approximately 755 feet to a point; thence S 8°37' E., approximately 260 feet to a point; thence S. 63°23' W., approximately 345 feet to a point; thence S. 73°23' W., approximately 445 feet to a point; thence S. 80°23' W., approximately 100 feet to a point; thence S. 34°23' W., approximately 265 feet to a point; thence N. 58°37' W., approximately 40 feet to a point; thence N. 31°53' E., approximately 180 feet to a point; thence N. 27°37' W., approximately 50 feet to a point; thence S. 82°37' W., approximately 110 feet to a point; thence N. 61°07' W., approximately 100 feet to a point; thence N. 81°37' W., approximately 300 feet to a point; thence N. 66°37' W., approximately 405 feet to a point; thence N. 49°07' W., approximately 200 feet to a point; thence N. 32°37' W., approximately 240 feet to a point; thence N. 22°07' W., approximately 195 feet to a line bearing N. 21°50'40" E.; thence N. 21°50'40" E., approximately 290 feet to the point of beginning.

is within the Special Flood Hazard Area.

Map No. H & I 515525C Panel 28 is hereby corrected to reflect that the above property is not within the Special Flood Hazard Area identified on January 8, 1972. The property is in Zone C.

(National Flood Insurance Act of 1968 (Title XIII of Housing and Urban Development Act of 1968), effective January 28, 1969 (33 FR 17804, November 28, 1968), as amended (42 U.S.C. 4001–4128); and Secretary's delegation of authority to Federal Insurance Administrator, 34 FR 2680, February 27, 1969, as amended (39 FR 2787, January 24, 1974).)

Issued: August 16, 1977.

PATRICIA ROBERTS HARRIS,
Secretary.

[FR Doc.77-26474 Filed 9-16-77;8:45 am]

[Docket No. FI-3012]

PART 1920—PROCEDURE FOR MAP CORRECTION

Letter of Map Amendment for the City of Virginia Beach, Va.

AGENCY: Federal Insurance Administration, HUD.

ACTION: Final rule.

SUMMARY: On June 29, 1977, the Federal Insurance Administrator published a list of communities for which the Federal Insurance Administration (FIA) published maps identifying Special Flood Hazard Areas. This list included Virginia Beach, Va. It has been determined by FIA, after further technical review of the Flood Insurance Rate map for the City of Virginia Beach, in light of additional, recently acquired flood information, that certain property (described below) is not within the Special Flood Hazard Area.

This map amendment, by establishing that the subject property is not within the Special Flood Hazard Area, removes the requirement to purchase flood insurance for that property as a condition of Federal or Federally-related financial assistance for construction or acquisition purposes.

EFFECTIVE DATE: Date of this notice.

FOR FURTHER INFORMATION CONTACT:

Mr. Richard Krimm, Assistant Administrator, Office of Flood Insurance, 202–755–5581 or toll free line 800–424–8872, Room 5270, 451 Seventh Street SW., Washington, D.C. 20410.

SUPPLEMENTARY INFORMATION: If a property owner was required to purchase flood insurance as a condition of Federal or Federally-related financial assistance for construction or acquisition purposes, and the lender now agrees to waive the property owner from maintaining flood insurance coverage on the basis of this map amendment, the property owner may obtain a full refund of the premium paid for the current policy year, provided that no claim is pending or has been paid on the policy in question during the same policy year. The premium refund may be obtained from the National Flood Insurers Association (NFIA) through the agent or broker who sold the policy.

The text reads as follows:

§ 1920.7 Notice of letter of map amendment.

Map No. H & I 515531B Panel 18, published on June 29, 1977, in 42 FR 33235, indicates that Lot 40, Subdivision of Section Eleven, Kings Grant, Part Two, Lynnhaven Borough, Virginia Beach, Va., as recorded in Mapbook 73, Page 5A, of Plats, in the Office of the Clerk of the Circuit Court of Virginia Beach, Va., is within the Special Flood Hazard Area.

Map No. H & I 515531B Panel 18 is hereby corrected to reflect that the existing structure on the above property is not within the Special Flood Hazard Area identified on October 3, 1970. The structure is in Zone B.

(National Flood Insurance Act of 1968 (Title XIII of Housing and Urban Development Act of 1968), effective January 28, 1969 (33 FR 17804, November 28, 1968), as amended (42 U.S.C. 4001–4128); and Secretary's delegation of authority to Federal Insurance Administrator, 34 FR 2680, February 27, 1969, as amended (39 FR 2787, January 24, 1974).)

Issued: August 16, 1977.

PATRICIA ROBERTS HARRIS,
Secretary.

[FR Doc.77-26475 Filed 9-16-77;8:45 am]

[Docket No. FI-838]

PART 1920—PROCEDURE FOR MAP CORRECTION

Letter of Map Amendment for the City of Lynnwood, Wash.

AGENCY: Federal Insurance Administration, HUD.

ACTION: Final rule.

SUMMARY: On January 15, 1976, the Federal Insurance Administrator published a list of communities for which the Federal Insurance Administration (FIA) published maps identifying Special Flood Hazard Areas. This list included Lynnwood, Washington. It has been determined by FIA, after further technical review of the Flood Hazard Boundary map for the City of Lynnwood, in light of additional, recently acquired flood information, that certain property (described below) is not within the Special Flood Hazard Area.

This map amendment, by establishing that the subject property is not within the Special Flood Hazard Area, removes the requirement to purchase flood insurance for that property as a condition of Federal or Federally-related financial assistance for construction or acquisition purposes.

EFFECTIVE DATE: Date of this notice.

FOR FURTHER INFORMATION CONTACT:

Mr. Richard Krimm, Assistant Administrator, Office of Flood Insurance, 202–755–5581 or Toll Free Line 800–424–8872, Room 5270, 451 Seventh St., SW., Washington, D.C. 20410.

SUPPLEMENTARY INFORMATION: If a property owner was required to purchase flood insurance as a condition of Federal or Federally-related financial assistance for construction or acquisition purposes, and the lender now agrees to waive the property owner from maintaining flood insurance coverage on the basis of this map amendment, the property owner may obtain a full refund of the premium paid for the current policy year, provided that no claim is pending or has been paid on the policy in question during the same policy year. The premium refund may be obtained from the National Flood Insurers Association (NFIA) through the agent or broker who sold the policy.

The text reads as follows:

§ 1920.7 Notice of letter of map amendment.

Map No. H 530167A Panel 03, published on January 15, 1976, in 41 FR 2247, indicates that a portion of Lots 31 and 32, State Plat in Section 16, T27N, R4E, "W.M.", Lynnwood, Washington, as recorded in Volume 1020, Page 536 of Statutory Warranty Deeds in the Office of Deed Records of Snohomish County, Washington, are within the Special Flood Hazard Area.

Map No. H 530167A Panel 03 is hereby corrected to reflect that the above property is not within the Special Flood Hazard Area identified on June 28, 1974, and December 26, 1975.

(National Flood Insurance Act of 1968 (Title XIII of Housing and Urban Development Act of 1968), effective January 28, 1969 (33 FR 17804, November 28, 1968), as amended; 42 U.S.C. 4001–4128; and Secretary's delegation of authority to Federal Insurance Administrator 34 FR 2680, February 27, 1969, as amended by 39 FR 2787, January 24, 1974.)

Issued: July 28, 1977.

PATRICIA ROBERTS HARRIS,
Secretary.

[FR Doc.77-26476 Filed 9-16-77;8:45 am]

federal register

MONDAY, SEPTEMBER 19, 1977

PART III



DEPARTMENT OF STATE

■

APPLICATIONS FOR PERMITS TO FISH OFF THE COASTS OF THE UNITED STATES

People's Republic of Bulgaria and German Democratic Republic

[Public Notice 565]

DEPARTMENT OF STATE

FISHERY CONSERVATION AND MANAGEMENT ACT OF 1976

Applications for Permits To Fish Off the Coasts of the United States

The Fishery Conservation and Management Act of 1976 (Pub. L. 94-265) (the "Act") provides that no fishing shall be conducted by foreign fishing vessels in the Fishery Conservation Zone of the United States after February 28, 1977, except in accordance with a valid and applicable permit issued pursuant to Section 304 of the Act.

The Act also requires that all applications for such permits be published in the FEDERAL REGISTER.

Applications for fishing during 1978 have been received from the People's Republic of Bulgaria and are published herewith:

Dated: September 9, 1977.

LARRY L. SNEAD,
Acting Director, Office of Fisheries Affairs.

BULGARIA - FISHING PLAN FOR 1978

VESSEL'S NAME AND TYPE	OCEAN AREA	PERIOD FROM-TO	SPECIES	CONTEMPLATED CATCH/MT/	GEAR TO BE USED
1	2	3	4	5	6
PIZALIA	5 + 6	May 15-	SB	850	BT & M
Stern		June 30	SQ	200	BT & M
trawler			B	20	BT & M
			OF	100	BT & M
AFALA	5 + 6	May 15-	SB	650	BT & MI
		June 30	SQ	200	BT & MI
trawler			B	20	BT & MI
			OF	100	BT & MI
BEKAS	5 + 6	Jan 1-	MAC	1500	MT
Stern		Feb 28	B	20	MT
trawler			OF	100	MT
LORDA	5 + 6	Jan 1-	MAC	1500	MT
Stern		Feb 28	B	20	MT
trawler			OF	100	MT
KONDOR	5 + 6	Jan 1-	MAC	1500	MT
Stern		Feb 28	B	20	MT
trawler			OF	100	MT
FLAMINGO	5 + 6	Jan 1-	MAC	1500	MT
Stern		Feb 28	B	20	MT
trawler			OF	100	MT
PINGVIN	5 + 6	Jan 1-	MAC	1500	MT
Stern		Feb 28	B	20	MT
trawler			OF	100	MT
SLANCHEV BRIAG	5 + 6	Jan 1-			
Transport		Dec 31			
vessel					
ZLATNI PIASATSI	5 + 6	Jan 1-			
Transport		Dec 31			
vessel					
LAZUREN BRIAG	5 + 6	Jan 1-			
Transport		Dec 31			
vessel					
ALBENA	5 + 6	Jan 1-			
Transport		Dec 31			
vessel					
KITEK	5 + 6	Jan 1-			
Transport		Dec 31			
vessel					

THE UNITED STATES OF AMERICA
DEPARTMENT OF COMMERCE
NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION

APPLICATION FOR VESSEL PERMITS TO FISH WITHIN THE FISHERY CONSERVATION ZONE OF THE UNITED STATES

No. _____

In accordance with the provisions of the Fishery Conservation and Management Act of 1976, the Government of BULGARIA hereby submits this application for permits for vessels under its jurisdiction to fish within the Fishery Conservation Zone of the United States, or beyond that zone for anadromous species or Continental Shelf fishery resources subject to the jurisdiction of the United States, during the year 1978.

Fishing Vessel Identification Forms will be submitted in support of this application. The fishery plans, species, and catch contemplated for vessels of the BULGARIAN flag are as follows:

Fishery Plans		Target Species	Total Tonnage Requested For Each Species (MT)
Atlantic Squid	SQD	Squid	400 mt
Atlantic S.Prk	HAK	S.Hake	1700 "
Atlantic Mackerel	MAC	Mackerel	7500 "
Other Finfish-Atlantic	FOF	Other Finfish	700 "
Atlantic Herring	HER	Herring	140 "

Submitted: September 6,1977
Date

Signature of Authorized Official
Prof.Anastas Kaloyanov
Title Agricultural Counselor

FISHING VESSEL IDENTIFICATION FORM (FOREIGN) No. BU-78-0004

1. Name of Vessel BREAS
2. Visual Identifier (Call Sign) LZRR
3. Type of Vessel Stern Trawler
4. Length 82
5. Gross Tonnage 2653
6. Net Tonnage 1115
7. Maximum Speed (knots) 13.5
8. Owner's Name and Address SZR "Okeansky Ribolov " # 3,Industrialna St. Bourgas,Bulgerie
9. Types of Processing Equipment Freezers,Fish Meal Plant
10. Fisheries for Which Permit is Requested:

Fishery Plans	Target Species	Gear To Be Used	Activity: Catching	Activity: Processing	Activity: Other Support
MAC	Mackerel	Bottom and Mid-water Trawls	X	X	
FOF	Other Finfish	- " -	X	X	
HER	Herring	- " -	X	X	

11. Are Fishing Activities Requested in Support of Vessels of a Different Flag:
☒ No ☐ Yes (If yes, attach supplemental sheet showing flag of other vessels, fishery, species, quantities, dates, locations and specific activities requested.)

FISHING VESSEL IDENTIFICATION FORM (FOREIGN) No. BK-78-0006

1. Name of Vessel AIRSA 2. Visual Identifier (Call Sign) LZSA
3. Type of Vessel Cargo/Transport 4. Length 130 M
5. Gross Tonnage 5947 6. Net Tonnage 2844 7. Maximum Speed (knots) 17,2
8. Owner's Name and Address SBS "Okeanaky Riboloy ", 3,Industrialna St. Bourgas,Bulgaria
9. Types of Processing Equipment

10. Fisheries for which Permit is Requested:

Fishery Plans	Target Species	Gear To Be Used	Activity: Catching	Processing	Other Support
BAI					X
S.D					X
Fis					X
HS*					X
MAC					X

11. Are Fishing Activities Requested in Support of Vessels of a Different Flag:

☒ No ☐ Yes (If yes, attach supplemental sheet showing flag of other vessels, fishery, species, quantities, dates, locations and specific activities requested.)

FISHING VESSEL IDENTIFICATION FORM (FOREIGN) No. BK-78-0007

1. Name of Vessel APALA 2. Visual Identifier (Call Sign) LZSJ
3. Type of Vessel Stern Trawler 4. Length 89 M
5. Gross Tonnage 3467 6. Net Tonnage 1033 7. Maximum Speed (knots) 15,1
8. Owner's Name and Address SBS "Okeanaky Riboloy ", 3 Industialna St. Bourgas,Bulgaria
9. Types of Processing Equipment Freezers,Fish Meal Plant,Filleters, Headers,Skinning Machines

10. Fisheries for which Permit is Requested:

Fishery Plans	Target Species	Gear To Be Used	Activity: Catching	Processing	Other Support
HAK	S.Bass	Bottom and Mid-water Trawls	X	X	
S.D	Squid	-"-	X	X	
F.P	Other Finfish	-"-	X	X	
H.M	Herring	-"-	X	X	

11. Are Fishing Activities Requested in Support of Vessels of a Different Flag:

☒ No ☐ Yes (If yes, attach supplemental sheet showing flag of other vessels, fishery, species, quantities, dates, locations and specific activities requested.)

FISHING VESSEL IDENTIFICATION FORM (FOREIGN) No. BU-78-0008

1. Name of Vessel FIGALIA
2. Visual Identifier (Call Sign) LZSS
3. Type of Vessel Stern trawler
4. Length 89 M
5. Gross Tonnage 2467
6. Net Tonnage 1033
7. Maximum Speed (knots) 15,1
8. Owner's Name and Address SBR "Okeansky Rybolov", 3 Industrialna St., Bourgas, Bulgaria
9. Types of Processing Equipment Freezers, Fish Meal Plant, Filleters, Headers, Skining machines
10. Fisheries for Which Permit is Requested:

Fishery Plans	Target Species	Gear To Be Used	Activity: Catching	Activity: Processing	Activity: Other Support
HAK	S.Hake	Bottom and mid-water trawl	X	X	
SQU	Squid	-"-	X	X	
FIN	Other Finfish	-"-	X	X	
HER	Herring	-"-	X	X	

11. Are Fishing Activities Requested in Support of Vessels of a Different Flag:

☑ No ☐ Yes (If yes, attach supplemental sheet showing flag of other vessels, fishery, species, quantities, dates, locations and specific activities requested.)

FISHING VESSEL IDENTIFICATION FORM (FOREIGN) No. BU-78-0009

1. Name of Vessel FLAMINGO
2. Visual Identifier (Call Sign) LZRV
3. Type of Vessel Stern Trawler
4. Length 82 M
5. Gross Tonnage 2653
6. Net Tonnage 1115
7. Maximum Speed (knots) 13,5
8. Owner's Name and Address SBR "Okeansky Rybolov", 3 Industrialna St., Bourgas, Bulgaria
9. Types of Processing Equipment Freezers, Fish Meal Plant
10. Fisheries for Which Permit is Requested:

Fishery Plans	Target Species	Gear To Be Used	Activity: Catching	Activity: Processing	Activity: Other Support
HAK	Mackerel	Bottom and mid-water trawls	X	X	
FIN	Other Finfish	-"-	X	X	
HER	Herring	-"-	X	X	

11. Are Fishing Activities Requested in Support of Vessels of a Different Flag:

☑ No ☐ Yes (If yes, attach supplemental sheet showing flag of other vessels, fishery, species, quantities, dates, locations and specific activities requested.)

FISHING VESSEL IDENTIFICATION FORM (FOREIGN) No. BU-78-0020

1. Name of Vessel KITEN 2. Visual Identifier (Call Sign) LZ6I
3. Type of Vessel Cargo/Transport 4. Length (Maximum) 130 M
5. Gross Tonnage 5942 6. Net Tonnage 2844 7. Speed (knots) 17,2
8. Owner's Name and Address SEK " Okeansky Ribolov ", 3,Industrialna St. Bourgas, Bulgaria
9. Types of Processing Equipment

10. Fisheries for Which Permit is Requested:

Fishery Plans	Target Species	Gear To Be Used	Activity: Catching	Processing	Other Support
HAK					X
SQU					X
MAP					X
HER					X
MAC					X

11. Are Fishing Activities Requested in Support of Vessels of a Different Flag:

☒ No ☐ Yes (If yes, attach supplemental sheet showing flag of other vessels, fishery, species, quantities, dates, locations and specific activities requested.)

FISHING VESSEL IDENTIFICATION FORM (FOREIGN) No. BU-78-0011

1. Name of Vessel KONDOR 2. Visual Identifier (Call Sign) LZBV
3. Type of Vessel Stern trawler 4. Length (Maximum) 82 M
5. Gross Tonnage 2653 6. Net Tonnage 1115 7. Speed (knots) 13.5
8. Owner's Name and Address SEK " Okeansky Ribolov" .3 Industrialna St. Bourgas, Bulgaria
9. Types of Processing Equipment Freezers, Fish Meal Plant

10. Fisheries for Which Permit is Requested:

Fishery Plans	Target Species	Gear To Be Used	Activity: Catching	Processing	Other Support
MAC	Mackerel	Bottom and Mid-water Trawls	X	X	
MAP	Other Pisces	-"-	X	X	
HER	Herrir	-"-	X	X	

11. Are Fishing Activities Requested in Support of Vessels of a Different Flag:

☒ No ☐ Yes (If yes, attach supplemental sheet showing flag of other vessels, fishery, species, quantities, dates, locations and specific activities requested.)

FISHING VESSEL IDENTIFICATION FORM (FOREIGN) No. BU-78-0012

1. Name of Vessel LAZUREN BRIAG 2. Visual Identifier (Call Sign) LZBQ
3. Type of Vessel Cargo/ Transport 4. Length [illegible] 130 M
5. Gross Tonnage 5942 6. Net Tonnage 2844 7. Speed (knots) Maximum 12.2
8. Owner's Name and Address SRS P Okeansky Ribolov" ,3 Industr alna St, Bourgas , Bulgaria
9. Types of Processing Equipment

10. Fisheries for Which Permit is Requested:

Fishery Plan	Target Species	Gear To Be Used	Activity: Catching	Processing	Other Support
HAT					X
BTC					X
FSP					X
HSP					X
MAC					X

11. Are Fishing Activities Requested in Support of Vessels of a Different Flag:

☒ No ☐ Yes (If yes, attach supplemental sheet showing flag of other vessels, fishery, species, quantities, dates, locations and specific activities requested.)

FISHING VESSEL IDENTIFICATION FORM (FOREIGN) No. BU-78-0013

1. Name of Vessel LORNA 2. Visual Identifier (Call Sign) LZBQ
3. Type of Vessel Stern Trawler 4. Length 82 M
5. Gross Tonnage 2653 6. Net Tonnage 1115 7. Speed (knots) Maximum 13.5
8. Owner's Name and Address SRS" Okeansky Ribolov ", 3, Industrialna St, Bourgas, Bulgaria
9. Types of Processing Equipment Freezers, Fish Meal Plant

10. Fisheries for Which Permit is Requested:

Fishery Plans	Target Species	Gear To Be Used	Activity: Catching	Processing	Other Support
MAC	Mackerel	Bottom and Mid-water Trawls	X	X	
FSP	Other Finfish	-"-	X	X	
HSR	Herring	-"-	X	X	

11. Are Fishing Activities Requested in Support of Vessels of a Different Flag:

☒ No ☐ Yes (If yes, attach supplemental sheet showing flag of other vessels, fishery, species, quantities, dates, locations and specific activities requested.)

FISHING VESSEL IDENTIFICATION FORM (FOREIGN) No. Bu-78-0014

1. Name of Vessel: FINGVIR
2. Visual Identifier (Call Sign): LXRL
3. Type of Vessel: Stern Trawler
4. Length: 62 M
5. Gross Tonnage: 1453
6. Net Tonnage: 1115
7. Maximum Speed (knots): 13,5
8. Owner's Name and Address: SEK "Okeansky Ribolov", 3, Industrialna St. Bourgas, Bulgaria
9. Types of Processing Equipment: Freezers, Fish Meal Plant
10. Fisheries for which Permit is Requested:

Fishery Plans	Target Species	Gear To Be Used	Activity: Catching	Activity: Processing	Activity: Other Support
NAO	Mackerel	Bottom and Mid-water Trawls	X	X	
NEP	Other Finfish	- " -	X	X	
NSP	Herring	- " -	X	X	

11. Are Fishing Activities Requested in Support of Vessels of a Different Flag:

☒ No ☐ Yes (If yes, attach supplemental sheet showing flag of other vessels, fishery, species, quantities, dates, locations and specific activities requested.)

FISHING VESSEL IDENTIFICATION FORM (FOREIGN) No. Bu-78-0015

1. Name of Vessel: SLAVCHEV BRIAG
2. Visual Identifier (Call Sign): LZPO
3. Type of Vessel: Carrier/T. vReport
4. Length: 130 M
5. Gross Tonnage: 5942
6. Net Tonnage: 2844
7. Maximum Speed (knots): 12,2
8. Owner's Name and Address: SEK "Okeansky Ribolov", 3, Industrialna St. Bourgas, Bulgaria
9. Types of Processing Equipment:
10. Fisheries for which Permit is Requested:

Fishery Plans	Target Species	Gear To Be Used	Activity: Catching	Activity: Processing	Activity: Other Support
NAL					X
SCT					X
NLS					X
NLO					X
NAO					X

11. Are Fishing Activities Requested in Support of Vessels of a Different Flag:

☒ No ☐ Yes (If yes, attach supplemental sheet showing flag of other vessels, fishery, species, quantities, dates, locations and specific activities requested.)

FISHING VESSEL IDENTIFICATION FORM (FOREIGN) No. BU-78-0026

1. Name of Vessel ZLATNI PIASAPZI 2. Visual Identifier (Call Sign) LZRP

3. Type of Vessel Cargo/ Transport 4. Length 130 M

5. Gross Tonnage 5942 6. Net Tonnage 2844 7. Maximum Speed (knots) 17,2

8. Owner's Name and Address SBO " Okeansky Ribolov ", 3,Industrialna St. Burgas, Bulgaria

9. Types of Processing Equipment

10. Fisheries for Which Permit is Requested:

Fishery Plans	Target Species	Gear To Be Used	Activity: Catching	Activity: Processing	Activity: Other Support
[illegible]					X
[illegible]					X
[illegible]					X
[illegible]					X
[illegible]					X

11. Are Fishing Activities Requested in Support of Vessels of a Different Flag:

☒ No ☐ Yes (If yes, attach supplemental sheet showing flag of other vessels, fishery, species, quantities, dates, locations and specific activities requested.)

[FR Doc.77-26998 Filed 9-16-77;8:45 am]

[Public Notice 668]

FISHERY CONSERVATION AND MANAGEMENT ACT OF 1976

Applications for Permits To Fish Off the Coasts of the United States

The Fishery Conservation and Management Act of 1976 (Pub. L. 94-265) (the "Act") provides that no fishing shall be conducted by foreign fishing vessels in the Fishery Conservation Zone of the United States after February 28, 1977, except in accordance with a valid and applicable permit issued pursuant to Section 204 of the Act.

The Act also requires that all applications for such permits be published in the FEDERAL REGISTER.

Applications for fishing during 1977 have been received from the German Democratic Republic, and are published herewith.

Dated: September 9, 1977.

LARRY L. SNEAD,
Acting Director, Office of Fisheries Affairs.

FISHING VESSEL IDENTIFICATION FORM (FOREIGN)

Permit Period Applied For: Sept. 1, 1977 to Oct. 15, 1977
Application No. For Use of Issuing Office: GC-77-0032
State:

1. Name of Vessel MS Burhaversand
2. Vessel No.: Hull No. _____ Registration No. 1327
3. Name and Address of Owner: Name: Reeder-Union Meyer-Brake; Address: D 288 Brake(Unterweser) Banhofstr. 15; Cable Address: Meyer D SA-NR. 025231
   Name and Address of Charterer: VEB Fischkombinat Rostock; DDR-251 Rostock-Marienehe
4. Homeport and State of Registry: Brake (unterweser), FDG
5. Type of Vessel OP./CL. shelter-decker
6. Tonnage (Gross) 1,999 (Net) 1,289
7. Length 82 M. 8. Breadth 13.6 M. 9. Draft 6.1 M.
10. Horsepower 3,000 shp. 11. Maximum Speed 15 kt.
11. Propulsion: Diesel (4), Steam ( ), Diesel/Electric ( ), Other _____
13. Date Built 1969
14. Number and Nationality of Personnel 18 (FRG, Portugal, Denma
    Officers 7 Crew 11 Other (Specify) _____
15. Communications: VHF-FM ( ), AM/SSB, Voice (x), Telegraphy (x
    Other _____
    International Radio Call Sign DDJI
    Radio Frequencies Monitored 410, 425, 454, 468, 500, 512,
    Other Working Frequencies 2021, 2049, 2056 kHz
    Schedule HX
16. Navigation Equipment: Loran C ( ), Loran A (X), Omega ( ), Decca (X), Navsat ( ), Radar ( ), Fathometer (X), Other 1 direction-finding transmitter, 1 autopilot
17. Cargo Capacity (MT)

Salted Fish	3,400
Fresh Fish	4
Frozen Fish	-
Fish Meal	_____
Other	_____

18. Cargo Space Number / Name

Freezer	
Dry Hold	
Tanks	
Other	

19. Processing Equipment (Indicate daily capacity,MT)

20. Fisheries for which Permit is Requested:

Ocean Area	Period (From-To)	Species	Contemplated Catch (MT)	Gear to be Used
Georges Banks (if request in Note No. 96/77 is granted: 9/1 to 10/15/77.)	9/1-10/1	none	none, transport	none

21. Name and Address of Agent appointed to receive any legal process issued in the United States:
    International Chartering Service, Inc.
    17 Battery Place
    New York, New York 10004

[FR Doc.77-2699 Filed 9-16-77;8:45 am]

federal register

MONDAY, SEPTEMBER 19, 1977
PART IV



PRIVACY ACT ISSUANCES, ANNUAL PUBLICATION

Department/ Agency	FR Page Numbers	
	Systems of Records	Rules
Transportation	47036	47152

DEPARTMENT OF TRANSPORTATION
OFFICE OF THE SECRETARY
PRIVACY ACT OF 1974
Annual Publication of Systems of Records

This preamble is to introduce the annual publication for 1977 by the Federal Register of notices of systems of records of the Department of Transportation, as required by Public Law 93-579 of December 31, 1974, otherwise known as the Privacy Act. The Act requires such publication at least annually.

The systems of records published herewith are all of the systems of the Department of Transportation which have been identified and previously published up to July 15, 1977. The list includes corrections made as a result of standardization of format and typographical errors. No systems have been added which were not previously published as a notice for public comment. Accordingly, this list supersedes all previously published listings of systems of records for the Department. (40 FR 38803, 44075, 53965; 41 FR 8819, 28051, 53561; 42 FR 1555, 16881; Privacy Act Issuances, 1976 Compilation, Volume II, pages 455-583.)

Since the last publication, two systems, DOT/SLS 154 and DOT/SLS 158, are no longer maintained and have been deleted. A seventh "General Routine Use" for all systems has been added which permits NARS (GSA) to conduct lawful inspections of recordkeeping practices among systems of records. Four locations were eliminated from DOT/OST 14 in which employment application files, separate from those in the personnel system, were being maintained on prospective employees. Minor editorial changes also were made to DOT/OST 14, 22, 28, and 50 which did not indicate a necessity for previous republication in their entirety.

All of the above are included in the annual publication in the Federal Register for 1977 which follows.

Effective date. This notice is effective on August 24, 1977.

Brock Adams,
Secretary of Transportation.

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DOT/UMTA 176 Blood-Donor File
DOT/UMTA 177 UMTA-Sponsored Reports Author File
DOT/UMTA 178 Minority Recruitment File
DOT/UMTA 179 Application for U.S. Government Motor Vehicles Operator's Identification Card
DOT/UMTA 180 Occupational Safety and Health Accident Reporting System
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DOT/UMTA 191 Travel Advance File
DOT/UMTA 192 Urban Transportation Planning System (UTPS) Address File
DOT/UMTA 193 Docket
DOT/UMTA 194 Litigation and Claims Files
DOT/UMTA 195 Confidential Statement of Employment and Financial Interests

DEPARTMENT OF TRANSPORTATION

The following listing is the 1977 update of systems of records contained in the Privacy Act Issuances, 1976 Compilation, Volume II of the Department of Transportation, published by the Federal Register

NOTICE OF SYSTEMS OF RECORDS

The identification of the operating unit or units within the Department to which the particular system of records pertains appears as 'DOT/' followed by a designating abbreviation. The abbreviations and their meanings are as follows:

OST - Office of the Secretary of Transportation
CG - United States Coast Guard
FAA - Federal Aviation Administration
FHWA - Federal Highway Administration
FRA - Federal Railroad Administration
NHTSA - National Highway Traffic Safety Administration
SLS - Saint Lawrence Seaway Development Corporation
TSC - Transportation Systems Center
UMTA - Urban Mass Transportation Administration

PREFATORY STATEMENT OF GENERAL ROUTINE USES

The following routine uses apply to, and are incorporated by reference into, each system of records set forth below.

1. In the event that a system of records maintained by the Department to carry out its functions indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether federal, state, local or foreign, charged with the responsibility of investigation or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto.

2. A record from this system of records may be disclosed, as a routine use, to a federal, state or local agency maintaining civil, criminal or other relevant enforcement information or other pertinent information, such as current licenses if necessary to obtain information relevant to a Department decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant or other benefit.

3. A record from this system of records may be disclosed, as a routine use, to a federal aresponse to its request, in connection with the hiring or retention of an employee, the issuance of clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

4. A record from this system of records may be disclosed, as a routine use, in the course presenting evidence to a court, magistrate or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.

5. The information contained in this system of records will be disclosed to the Office of Management and Budget in connection with the review of private relief legislation as set forth in OMB Circular No. A-19 at any stage of the legislative coordination and clearance process as set forth inthat Circular.

6. Disclosure may be made to a congressional office from the record of an individual in response to an inquiry from the congressional office made at the request of that individual.

7. One or more records from a system of records may be disclosed routinely to NARS (GSA) in records management inspections being conducted under the authority of 44 U.S.C. 2904 and 2906.

APPENDIX I

LOCATION OF CG DISTRICTS AND HEADQUARTERS UNITS.

1. COMMANDER
1st Coast Guard District
150 Causeway Street
Boston, MA 02114
2. COMMANDER
2nd Coast Guard District
Federal Building
1520 Market Street
St. Louis, MO 63103
3. COMMANDER
3rd Coast Guard District
Governors Island
New York, NY 10004
4. COMMANDER
5th Coast Gu-rd District
Federal Building
431 Crawford Street
Portsmouth, VA 23705
5. COMMANDER

7th Coast Guard District
51 S W 1st Ave
Miami, FL 33130
6 COMMANDER
8th Coast Guard District
Customhouse
New Orleans, LA 70130
7 COMMANDER
9th Coast Guard District
1240 East 9th St
Cleveland, OH 44199
8 COMMANDER
11th Coast Guard District
Heartwell Bldg
19 Pine Ave
Long Beach, CA 90802
9 COMMANDER
12th Coast Guard District
630 Sansome St
San Francisco, CA 94126
10. COMMANDER
13th Coast Guard District
Federal Bldg
915 Second Ave
Seattle, WA 98174
11 COMMANDER
14th Coast Guard District
P.O Box 48
FPO San Francisco, CA 96610
12. COMMANDER
17th Coast Guard District
FPO Seattle, CA 98771
13. COMMANDER
U.S. COAST GUARD ACADEMY
New London, CT 06320
14. COMMANDING OFFICER
U.S. COAST GUARD YARD
Curtis Bay
Baltimore, MD 21225
15. COMMANDING OFFICER
US COAST GUARD
TRAINING CENTER
Governors Island
New York, NY 10004
16. COMMANDING OFFICER
U.S. Coast Guard Training Center
Cape May, NJ 08204
17. COMMANDING OFFICER
U.S. Coast Guard Training Center
Government Island
Alemeda, CA 94501
18. COMMANDING OFFICER
U.S. Coast Guard
Supply Center
830 3rd Ave
Brooklyn, NY 11232
19. COMMANDING OFFICER
U.S. COAST GUARD INSTITUTE
P.O. Substation 18
Oklahoma City, OK 73169
20. COMMANDING OFFICER
U.S COAST GUARD
AIRCRAFT REPAIR & SUPPLY CENTER
Elizebeth City, NC 27909
21. COMMANDING OFFICER
U.S. Coast Guard Aviation
Training Center
Mobile, AL 36608
22. COMMANDING OFFICER
U.S. COAST GUARD RADIO
STATION NHM)
7223 Telegraph Rd.
Alexandria, VA 22310
23. COMMANDING OFFICER
U.S. COAST GUARD RESERVE
TRAINING CENTER
Yorktown, VA 23490
24. OFFICER IN CHARGE
RECORD DEPOT
U.S. Coast Guard Air Station
Elizebeth City, NC 27909
25. COMMANDER, COAST GUARD
ACTIVITIES, EUROPE
London
Box 50
FPO New York, NY 09510
26. COMMANDING OFFICER
U.S. COAST GUARD
TRAINING CENTER
Petaluma, CA 94952
27. COMMANDER
U.S. COAST GUARD AIR BASE
Elizebeth City, NC 27909
28. COMMANDING OFFICER
U.S. COAST GUARD
ELECTRONICS ENGINEERING
CENTER
Wildwood, NJ 08260
29. COMMANDING OFFICER
RESEARCH & DEVELOPMENT CENTER
Avery Point
Groton, CT 06340
30. COMMANDING OFFICER
U.S. COAST GUARD OCEANOGRAPHIC UNIT
Building 159E, Navy Yard Annex
Washington, D.C., 20590

DOT/CG 501.

System name: Auxiliary Management Information System (AUXMIS) DOT/CG.

System location: Department of Transportation (DOT),
United States Coast Guard (CG),
Office of Boating Safety, G-BAU,
2100 2nd Street, SW Room 4304,
Washington, DC 20590.

Categories of individuals covered by the system: All present Coast Guard Auxiliarists.

All Auxiliarists disenrolled since 1974.

Categories of records in the system: Personal information (name, address, birthdate, Social Security Number (SSN), phone number).
Auxiliary qualifications information (Instructor, Examiner, Specialty).
Auxiliary Activities information (patrols conducted, classes taught).
Information on boats, radio stations or aircraft owned by Auxiliarists.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Cumulative unit and individual activity summaries for use as a management tool by all Auxiliary units.
Identification cards for all Auxiliary members.
Alphabetical nationwide cross-reference listing for use by headquarters and district office staffs.
Mailing labels for district mailings to Auxiliarists.
An annual member summary of all information on each member which is mailed directly to the member concerned.
Used by
District Directors of Auxiliary.
Chief Director of Auxiliary
All elected and appointed officers of the Auxiliary.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: AUXMIS master records contain personal and activity information concerning USCG Auxiliarists and Director of Auxiliary (DIRAUX). Each person's record consists of 500 characters. The approximately 50,000 records which are stored in the system presently are stored on both magnetic tape and magnetic disk.

Retrievability: The current AUXMIS master file residing on magnetic disk is retrieved by number and name of the individual and can be accessed by those Directors of Auxiliary with access to the CDC-3300 system at any time. Those DIRAUX without access to the CDC-3300 can request inquiries to be done at the central site by the Office of Boating Safety

Safeguards: The master files cannot be accessed without the proper control cards. All DIRAUX and Chief, Director of Auxiliary have the means available to access the master files.

Retention and disposal: Retention of weekly tape files is 180 days at which time they are erased

Retention of disk files is 1 week, and then updated.
Retention of the year-end tape file is permanent.

System manager(s) and address: Chief Director of Auxiliary, G-BAU.

Department of Transportation,
United States Coast Guard,
2100 2nd Street, SW
Washington, DC 20590

Notification procedure: Department of Transportation

United States Coast Guard Headquarters,
Management Analysis Division, G-CMA,
400 7th Street, SW
Washington, DC 20590
Written request must be signed by the individual.

Record access procedures: Individuals in the Auxiliary have their record available on a quarterly basis in the Flotilla Roster produced for their flotilla. All information except the Social Security Number and date of birth is on the Flotilla Roster. On an annual basis, the member receives a personal report concerning himself only which contains all elements of his record. At any time during the year, members of the Auxiliary can request through the DIRAUX where attached for access to their member's jacket retained by DIRAUX or can request a report in the annual report format.

Contesting record procedures: Record content can be contested at any time, and if error is found all DIRAUX have the means to correct individual records. Members are provided the means to correct their own addresses, SSN's, names and phone numbers and occupations.

Record source categories: All records pertaining to Auxiliarists are derived from forms which are filled out by the individuals involved on a voluntary basis.

DOT/CG 503.

System name: Motorboat Registration. DOT/CG.

System location: Department of Transportation (DOT),

United States Coast Guard (CG),
Commandant (G-BD-1),
400 7th Street, SW
Washington, DC 20590.

Categories of individuals covered by the system: Boat owners registering for the issuance of boat identification numbers for boats recorded in the States of Washington, Alaska, and New Hanpshire and in American Samoa.

Categories of records in the system: Tapes; (Computer listings on disk with information on boat owner: name, address, and boat information).

Printouts- Same
Office files- Same

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by:

Authorized Coast Guard Personnel involved in the Coast Guard boating safety program
To renew old registrations to issue new number to all motorboats.
The purpose of the numbering system is for identification of recreational boats in case of accident, loss, theft, or abandonment.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage:

All records are maintained in file cabinets.

Retrievability: By name/number

Safeguards: Only authorized office personnel have access to subject files. All personnel screened prior to allowing access. Building secured and guarded after duty hours.

Retention and disposal: Records are retained permanently.

System manager(s) and address: Chief, Office of Boating Safety,

Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW
Washington, DC 20590

Notification procedure: Contact:

Department of Transportation,
United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.
Written request must be signed by the individual whose record(s) are being requested.

Record access procedures: Procedures may be obtained by visiting Coast Guard Headquarters or writing to:

Department of Transportation,
United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Individual applicant.

DOT/CG 505.

System name: Recreational Boating Law Enforcement Case Files. DOT/CG.

System location: Department of Transportation (DOT),

United States Coast Guard (CG),
Commandant (G-B),
400 7th Street, SW,
Washington, DC 20590.
And at
Coast Guard District Offices and Headquarters unit offices for records of incidents in their localities. Addresses in Appendix I

Categories of individuals covered by the system: Owners/operators of vessels found in violation of Federal recreational boating laws or regulations.

Categories of records in the system: Case files containing names of violators, their addresses and social security numbers, together with descriptions of boats and notations of the alleged violations of Federal boating laws, and copies of correspondence relating to the disposition of any penalty involved.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Information contained in this system is used by authorized Coast Guard employees in performance of their duties to determine the appropriate enforcement action to be taken by the Coast Guard in individual cases as well as providing a record of repeated offenders.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Information in this system is maintained on index cards, in logbooks, and in file folders.

Retrievability: Information is retrieved by name of individual in alphabetical file.

Safeguards: Information available only to authorized personnel. Files maintained in office in building which is secured during non-working hours and which has a roving guard patrol.

Retention and disposal: Records in system maintained for three years before disposal by mutilation or burning.

System manager(s) and address: Chief, Office of Boating Safety,

Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW
Washington, DC 20590.

Notification procedure: Department of Transportation,

United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW
Washington, DC 20590.

Record access procedures: Procedures may be obtained by writing to or visiting the Commandant (G-CMA) at the address in 'System Manager' or by visiting the local Coast Guard District or Unit where incident occurred.

Proof of identity will be required prior to release of records. A military identification card, driver's license or similar document is considered suitable identification.

Contesting record procedures: Same as 'Notification Procedure.'

Record source categories: Information obtained from reports of Coast Guard boarding officers and marine safety investigations as

well as from reports by citizens concerning possible violations of law or regulation.

Systems exempted from certain provisions of the act: Portions of this system may be exempt from disclosure under the provisions of 5USC 552a (K)(2) which provide in part, that investigatory material compiled for law enforcement purposes may be withheld from disclosure to the extent that the identity of the source of the information would be revealed by disclosing the investigatory record, and the source has received an express guarantee that his identity would be held in confidence or prior to the effective date of this section, if the source received an implied promise that his identity would be held in confidence.

DOT/CG 507.

System name: Coast Guard Supplement to the Manual of Courts Martial Investigations DOT/CG.

System location: Department of Transportation (DOT),
United States Coast Guard (CG),
Commandant (G-L),
400 7th Street, SW Washington, DC 20590.

Categories of individuals covered by the system: Military and civilian employees of the Coast Guard and other individuals who may be involved in any Coast Guard investigation.

Categories of records in the system: Investigations into injuries to Coast Guard personnel, mishaps involving vessels, aircraft and vehicles.

Incidents involving fires, explosions, for loss or destruction of classified material.
Circumstances involving equipment failures and property damage, loss, or destruction.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by authorized Coast Guard personnel in connection with the performance of their official duties which include, but are not limited to:

Accident prevention.
Payment of disability benefits.
Improvement of internal Coast Guard procedures and practices.
Reports are used in connection with the resolution of claims against the Coast Guard as well as claims asserted by the government.
Reports are transmitted to the Veterans Administration to assist that agency in determining entitlement to benefits administered by it.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records maintained in storage cabinets in division files for two years and then forwarded to the Federal Records Depository.

Retrievability: Card index maintained permitting access to individual investigations by listing identifiable data such as name of person, vessel or other facility involved in investigation.

Safeguards: Authorized personnel are granted access to these records in connection with the performance of their official duties.

Records are disclosed to members of the public under the provisions of the FOIA. These requests are considered on a case by case basis.

Retention and disposal: Records are maintained in division files for two years and then forwarded to Federal Records Depository.

System manager(s) and address: Chief Counsel,
Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW.
Washington, DC 20590.

Notification procedure: Written request must be signed by the individual. Write to or visit:
Department of Transportation,
United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW.
Washington, DC 20590.

Record access procedures: All individuals whose conduct is the subject of these investigations are designated at the outset as parties to these investigations and accorded their rights as such. Following completion of the investigative reports, parties will be provided with copies upon request.

At all subsequent times parties may have access to the investigative records by writing to or visiting Commandant (G-CMA), at the address in 'Notification Procedure' above or the local unit to which assigned.
Proof of identity may be required prior to release of records. Military identification, driver's license or similar document will be considered suitable identification.

Contesting record procedures: A party has the right to make argument and/or submit statements on his own behalf during the course of an investigation or subsequent to its conclusion. Write or visit locations as indicated in 'Record Access Procedure.'

Record source categories: Coast Guard investigating officers, military and civilian personnel.

DOT/CG 508.

System name: Claims and Litigation DOT/CG.

System location: Department of Transportation (DOT),
United States Coast Guard (CG),
Commandant (G-L),
400 7th Street, SW. Washington, DC 20590.

Categories of individuals covered by the system: Individuals, corporations, insurance companies, estate administrators.

Categories of records in the system: Suits and claims for and against the Coast Guard.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by cognizant Coast Guard personnel, and attorneys handling cases.

For review purposes and determination as to the validity of claims.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File cabinets.

Retrievability: Two card index files, one alphabetic and one numeric, maintained for cross reference.

Safeguards: Access is limited to Coast Guard and civilian employees of the Claims and Litigation Division granted in connection with official duties.

Retention and disposal: Records maintained for five years and then forwarded to the Federal Records Center. Card index files retained indefinitely.

System manager(s) and address: Chief Counsel,
Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW.
Washington, DC 20590.

Notification procedure: Written request must be signed by the individual. Write or visit:
Department of Transportation,
United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW.
Washington, DC 20590.

Record access procedures: Procedures may be obtained by writing to or visiting Commandant (G-CMA0 at the address in 'Notification Procedure' above.

Proof of identity may be required prior to affording access to records. A military identification card, a drivers licenses or similiar document will be considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Information obtained from Coast Guard military and civilian personnel, members of the public, and Coast Guard investigating officers.

DOT/CG 509.

System name: Non-Judicial Punishment Report. DOT/CG.

System location: Department of Transportation (DOT),
United States Coast Guard (CG),
Commandant (G-L),
400 7th Street, SW, Washington, DC 20590.

Categories of individuals covered by the system: Coast Guard military personnel who have been subject to non-judicial punishment proceedings under Article 15 of the Uniform Code of Military Justice.

Categories of records in the system: Records of Proceedings under Article 15, Uniform Code of Military Justice.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Coast Guard Personnel, in connection with military justice administration.

Records provide statistical data concerning the number of proceedings held, units holding proceeding, offenses committed, punishments imposed, and background data of individuals concerned.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File cabinet.

Retrievability: By name.

Safeguards: Records are made available to authorized personnel. Records are maintained in building with limited access during non-working hours and with roving security patrol.

Retention and disposal: New system, disposal procedures not as yet established. Back-up material disposed of after introduction into system.

System manager(s) and address: Chief Counsel,
Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,
United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.

Record access procedures: Procedures may be obtained by writing to or visiting Commandant (G-CMA), at the address in 'Notification Procedure' above or the local Coast Guard District Office in the area in which the individual is assigned to duty.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Information from individual service records and from proceedings conducted.

DOT/CG 510.

System name: Records of trial: Special, General and Summary Courts-Martial. DOT/CG.

System location: Department of Transportation (DOT),
United States Coast Guard (CG),
Commandant (G-L),
400 7th Street, SW,
Washington, DC 20590.

Categories of individuals covered by the system: Any individual who is tried by court martial in the Coast Guard.

Categories of records in the system: Records of trial.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: These are public records available to anyone.

Review
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in file cabinets.

Retrievability: Filed alphabetically by name of individual.

Safeguards: Maintained in file cabinets in building with limited access during non-working hours and with roving security patrol.

Retention and disposal: Retained permanently. Maintained for two years, reviewed by System Manager and then transferred to Federal Records Center

System manager(s) and address: Chief Counsel,
Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW
Washington, DC 20590

Notification procedure: Department of Transportation,
United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.

Record access procedures: Procedures may be obtained by writing to or visitingCommandant (G-CMA) at address in 'Notification Procedure' above.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Trial proceedings and subsequent statutory reviews - Court of Military Review, Court of Military Appeals and Chief Counsel of the Coast Guard.

DOT/CG 511.

System name: Legal Assistance Case File System, DOT/CG.

System location: Department of Transportation (DOT),
United States Coast Guard (CG),
Commandant (G-L),
400 7th Street, SW,
Washington, DC 20590.
System is also located at:
United States Coast Guard District Legal Offices and
Legal Offices of Coast Guard Units. See Appendix I for addresses of the District and other legal offices.

Categories of individuals covered by the system: Clients of officers assigned to render legal assistance regarding the personal affairs of Coast Guard military members.

Categories of records in the system: Records contain information concerning the matters handled by these officers for clients.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The information is used on behalf of clients in providing legal assistance.

These records are also used to prepare statistical reports concerning a legal officer's time utilization.

The Prefatory Statement of Routine Uses applies to records in this system only to the extent that their disclosure would not constitute a violation of the judicially recognized privilege attaching to attorney-client communications and of the ethical and professional responsibilities of lawyers under the American Bar Association's Code of Professional Responsibility.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Normally, written records kept in file folders.

Retrievability: Alphabetical indexes by name of member.

Safeguards: Kept in office space or filing cabinets which are normally locked during non-working hours. Building patrolled by roving security guards after duty hours.

Retention and disposal: Records retained as long as needed to serve client or as long as deemed necessary by the legal officer. Disposal is by whatever means considered appropriate by the legal officer, depending on the contents of the record involved.

System manager(s) and address: Chief Counsel,
Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.
District or unit legal offices:
Senior Counsel, for records maintained at the particular location (See Appendix I for addresses).

Notification procedure: Requests for determination whether this system contains records concerning an individual should be made in writing or in person to:
Department of Transportation,
United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.

Record access procedures: These records are available in the Office of Chief Counsel at Coast Guard Headquarters or within the legal offices in the various Coast Guard districts or units, dependent on where legal assistance was rendered.

A military identification card or other comparable identification will be required to be shown by persons seeking access to their legal assistance records.

Contesting record procedures: The agency's rules for contesting the contents of these records or for appealing initial determinations by the custodian therof not to disclose any part of these records shall be controlling. These rules may be obtained by writing: Commandant (G-CMA) at the address listed in 'Notification Procedure.'

Record source categories: Information in this record system is obtained from the client involved and as a result of any subsequent investigation by the legal officer on behalf of the client.

DOT/CG 512.

System name: Statement of Employment and Financial Interest Record System DOT/CG

System location: Department of Transportation (DOT),
United States Coast Guard (CG),
Commandant (G-L),
400 7th Street, SW
Washington, DC 20590

Categories of individuals covered by the system: Those Coast Guard officials occupying the positions listed in Appendix C, Section III, of 49 CFR (Code of Federal Regulations), Part 99. Incumbents of these positions are required to file these statements by the terms of the regulations

Categories of records in the system: Statements pertaining to personal financial interests, including shareholdings and similar interests in private business enterprises, copies of blind trusts and other instruments pertaining to interests of this nature; opinions of counsel and other records and correspondence relating to these interests.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used to review the financial interests of various responsible Coast Guard officials in order to insure against the existence or the appearance of the existence of conflicts of interest.

Records are reviewed by the Chief Counsel and members of his staff as well as the immediate superiors of the individuals concerned.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records maintained in file folders.

Retrievability: Indexed by name of individual.

Safeguards: Records stored in locked file cabinet, and, during working hours, access to records controlled by office personnel. During non-working hours, building is patrolled by roving security guards.

Retention and disposal: Retained during the term of the individual's occupancy of position and destroyed upon separation or transfer of the person.

System manager(s) and address: Chief Counsel,
Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW.
Washington, DC 20590.

Notification procedure: Department of Transportation,
United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW.
Washington, DC 20590.

Record access procedures: Procedures may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure.'

Proof of identity will be required prior to affording an individual access to records.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: From the individuals concerned.

DOT/CG 516.

System name: Coast Guard Military Discrimination Complaints. DOT/CG.

System location: Department of Transportation (DOT),
United States Coast Guard (CG),
Commandant (G-H),
400 7th Street, SW.
Washington, DC 20590.
Records are also maintained at:
Each District Office and Headquarters Unit at the addresses in Appendix I.

Categories of individuals covered by the system: Coast Guard Military Personnel.

Categories of records in the system: Files contain discrimination complaints filed by Coast Guard military personnel or their representatives and correspondence resulting therefrom

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Department of Transportation, Office of Civil Rights.
Used for investigations of complaints and arriving at decisions for resolution of complaints
Coast Guard Headquarters Military Personnel Office:
Used for information in making personnel administration decisions.
Coast Guard Headquarters, Commandant, Vice Commandant, Congressional Liaison: Used to answer correspondence being sent to Congressional representatives, federal and state officials, and nationally recognized organizations who are representing the individual
Coast Guard Headquarters, Office of Civil Rights and the Military Equal Opportunity Division:
Used to take care of discrimination complaints and the correspondence precipitated therefrom and maintain a file on it.
All Coast Guard Commands:
Used to bring a complaint to a satisfactory conclusion, where possible.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders kept in file cabinets.

Retrievability: System is kept by alphabetical file, service number and/or social security number, rank/rate, and Coast Guard unit.

Safeguards: During normal working hours access to records is controlled by office personnel; during non-working hours the building is guarded by a roving security patrol.

Retention and disposal: Files are kept permanently.

System manager(s) and address: Chief, Office of Civil Rights,
Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW, Washington, DC 20590.

Notification procedure: Contact the following office in writing or in person:
Department of Transportation,
United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.
Written request must be signed by the individual.

Record access procedures: Procedures may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure' or the local office where the record is filed.

Prior written notification of personal visits is required to insure that the records will be available at the time of visit.
Proof of identity will be required prior to affording access to records. A military identification, driver's license or similar document will be considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: From individuals or their representatives submitting complaints, congressional correspondence, personnel service, records, Coast Guard Headquarters and Coast Guard units correspondence, individuals and organizations volunteering information pertinent to complaints.

DOT/CG 517.

System name: Complaints of Discrimination. DOT/CG.

System location: Department of Transportation (DOT),
United States Coast Guard (CG),
Commandant (G-H),
400 7th Street, SW,
Washington, DC 20590.
Each District and Headquarters Unit: See addresses in Appendix I.

Categories of individuals covered by the system: Civilian employees (including non-appropriated funds employees) and applicants for employment.

Categories of records in the system: Various memoranda, letters, statements, statistics and other information related to the situation which prompted the person to allege discrimination.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Investigating and processing complaints of discrimination by personnel in Office of Civil Rights, Investigators, Hearing Examiners, Employee Representatives, Coast Guard Officials.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Typed, hand-written, printed material stored in folders in metal filing cabinets.

Retrievability: Indexed by name and Coast Guard installation.

Safeguards: Screening of personnel, need to know basis.

After duty hours, building is secured and roving security guards are on duty.

Retention and disposal: Maintained indefinitely.

System manager(s) and address: Chief, Office of Civil Rights,
Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW.
Washington, DC 20590.

Notification procedure: Contact the following office in person or in writing:
Department of Transportation,
United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW.
Washington, DC 20590.
Written requests must be signed by the individual.

Record access procedures: Procedure may be obtained by writing to or visiting the Commandant (G-CMA) at the address in 'Notification Procedure' or the local activity where the complaint was filed.

Prior written notification of personal visits is required to insure that the records will be available at the time of visit.
Proof of identity will be required prior to release of records. A military identification card, drivers license or similar document will be considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Interviews with complainant, employees, management officials; personnel records, internal Coast Guard memoranda.

DOT/CG 525.

System name: Active Duty Military Payroll System, DOT/CG.

System location: Department of Transportation (DOT),
United States Coast Guard (CG),
Commandant (G-FPS/71),
400 7th Street, SW.
Washington, DC 20590.
System is used throughout the Coast Guard. See Appendix I for locations.

Categories of individuals covered by the system: Active duty military members.

Categories of records in the system: Pay and allowances records.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Prepare check tapes.
Prepare listings and reports for various USCG offices.
Reports of funds expended.
Reports of earnings to state and city taxing authorities.
Listing of earnings to appropriate taxing authorities.
W-2 and federal tax reporting to the Internal Revenue Service.
FICA reporting.
Produce runs for reconciliation of allotments.
Produce mailing labels.
Used by Coast Guard employees in performance of official duties in preparing and maintaining the Active Duty Military Payroll System.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are filed manually in cabinets. Check tapes are retained in tape library.

Retrievability: Records are indexed alphabetically, check tapes are indexed by tape number.

Safeguards: Access is limited to user staff members.

Secured building after duty hours.

Retention and disposal: Pay records are retained until closed (6 months) and then transferred to Headquarters, Pay Systems Branch.

Payroll listings are retained for 3 years and then transferred to a Federal Records Center.
Tapes retained for 6 years and then destroyed.

System manager(s) and address: Chief, Office of Personnel,
Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW.
Washington, DC 20590.

Notification procedure: Department of Transportation,
United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW.
Washington, DC 20590.
Written request must be signed by individual.

Record access procedures: Procedure may be obtained by writing to or visiting the Commandant (G-CMA) at the address in 'Notification Procedure', or the local Coast Guard District for the area in which an individual's duty station is located. See Appendix I for addresses.

Proof of identity will required prior to affording an individual access to records. A military identification card, a driver's license or similar document will be considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Information is obtained from the individual, Coast Guard personnel and payroll offices and the Department of Defense.

DOT/CG 526.

System name: Adjudication and Settlement of Claims System, DOT/CG.

System location: Department of Transportation (DOT),
United States Coast Guard (CG),
Commandant (G-FP),
400 7th Street, SW.
Washington, DC 20590.
Partial systems are located at Coast Guard Districts and Units. See Appendix I for locations.

Categories of individuals covered by the system: Active duty military members.
Reserve military members.
Retired military members.
Civilian employees.

Categories of records in the system: Claims arising out of disputes concerning amounts of pay received.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To reach a final decision as to entitlements of claimants.
To use as precedential data in the resolution of similar questions in the future.
Used by authorized Coast Guard officials and officials of the IRS, GAO, and the Civil Service Commission, as required.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are stored manually in file folders.

Retrievability: Claims in the file are filed and retrieved by claimant name.

Safeguards: Access is limited to authorized officials by screening of personnel.
Maintained in Government building having roving security guards after duty hours.

Retention and disposal: After adjudication and settlement, most submissions are disposed of. A limited number of such submissions are retained for precedential value, as required.

System manager(s) and address: Chief, Office of Comptroller,
Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW.,
Washington, DC 20590.

Notification procedure: Department of Transportation,
United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW.,
Washington, DC 20590.
Written request must be signed by the individual.

Record access procedures: Procedure may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedures' or the local Coast Guard District or Unit administrative officer for the area in which an individual's duty station is located. See Appendix I for locations.
Proof of identity will be required prior to affording an individual access to records. A military identification card, a driver's license, or similar document will be considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedure'.

Record source categories: Sources include: the individual, CG payroll offices, legal staff, investigators, Office of Personnel, Comptroller General (GAO) and congressional correspondence.

DOT/CG 527.

System name: Allotment System (Military). DOT/CG.

System location: Department of Transportation (DOT),
United States Coast Guard (CG),
Commandant (G-FP),
400 7th Street, SW.,
Washington, DC 20590.

Categories of individuals covered by the system: Active Duty Military Members.
Retired Military Members.

Categories of records in the system: Allotment deductions from active duty military pay and retired military pay.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by authorized personnel to prepare tapes and vouchers for cutting checks and bonds for submission to banks, charity organizations, dependents or other institutions and firms as authorized by individuals.
Various reports as required by USCG offices.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Main records are maintained on microfilm, others are filed manually in file folders.

Retrievability: Microfilm records are indexed alphabetically/SSN, file folders by ACO number and alphabetically. Retrieved by name/number.

Retention and disposal: Files are maintained until individual is discharged from service or expires. Records are then shipped to a Federal Records Center.

System manager(s) and address: Chief, Office of Comptroller,
Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW.,
Washington, DC 20590.

Notification procedure: Contact the following office in person or in writing:
Department of Transportation,
United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW.,
Washington, DC 20590.
Written request must be signed by the individual.

Record access procedures: Procedures may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure' or the local Coast Guard District or unit for the area in which an individual's duty station is located.
Proof of identity will be required prior to affording an individual access to records. A military identitification card, a driver's license, or similar document will be considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedure'.

Record source categories: Sources include: the individual and USCG payroll offices.

DOT/CG 528.

System name: Centralized Reserve Pay and Retirement System. DOT/CG.

System location: Department of Transportation (DOT),
United States Coast Guard (CG),
Commandant (G-FP),
400 7th Street, SW.,
Washington, DC 20590.
System is also located at District Offices and other Field Units. See Appendix I for locations.

Categories of individuals covered by the system: United States Coast Guard Reserve Members.

Categories of records in the system: Master Pay and Retirement Point Credits Record.
Master Personnel Data Accounting Record.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used to prepare monthly payroll and all associated listings.
Used also:
For preparation of budgets.
For accounting purposes.
To compute pay and points.
The compilation of data.
Permanent pay and points records (retirement data).
W-2 wage and federal tax reporting.
To report earnings to state and city taxing authorities.
Used by authorized Coast Guard, IRS, GAO, and other Agency Officials as required.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Microfilm of payroll retained in Reserve Pay Branches and Districts.
Records are filed manually in filing cabinet.

Retrievability: Records are indexed alphabetically by name of Reservist and CG Unit Number.
Records are retrieved by name/number.

Safeguards: Access is limited to user staff members.
Stored in secured building after duty hours.

Retention and disposal: Microfilm and records are retained until member is discharged or retired. Three years subsequent to retirement or discharge, records are transferred to a Federal Records Center.

System manager(s) and address: Chief, Office of Comptroller,
Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW.,
Washington, DC 20590.

Notification procedure: Department of Transportation,
United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW.,
Washington, DC 20590.
Written request must be signed by the individual.

Record access procedures: Procedures may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure' or the local Coast Guard District or Unit administrative officer for the area in which an indiviudal's duty station is located.
Proof of identity will be required prior to affording an individual access to records. A military identification card, a driver's license, or similar document will be considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Sources include:
The District Commander and Office of Reserve.

The individual.
Unit Commanding Officers.
District Commanders.
Office of the Reserve.

DOT/CG 529.

System name: Civilian Payroll System. DOT/CG.

System location: Department of Transportation (DOT),
United States Coast Guard (CG),
Federal Aviation Administration (FAA),
Aeronautical Center (AAC-24),
P.O. Box 25735,
Oklahoma City, OK 73125.
and:
Commanding Officer,
Coast Guard Yard,
Curtis Bay,
Baltimore, MD 21226.

Categories of individuals covered by the system: Civilian employees.

Categories of records in the system: Earnings and leave.
Retirement records.
Pay files.
Allotment deductions.
Accounts receivable and accounts payable.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used for the preparation of payroll checks and bonds.
To report federal withholding tax to the Internal Revenue Service.
To report earnings to state and city taxing authorities.
To report FICA withholding to the Social Security Administration.
Used also to prepare report of retirement contributions, allotment payments, and various reports for CG Headquarters and District Offices (budgeting, accounting, management, etc).
Used by authorized Coast Guard, FAA, IRS, GAO, and Civil Service Commission in the performance of their official duties.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Manually filed in file folders, records maintained on tape.

Retrievability: Records indexed by pay periods, alphabetically, and by tape number. Records are retrieved by name/number.

Safeguards: Access is limited to authorized personnel.
Stored in Government building with a roving security guard after duty hours.

Retention and disposal: Records retained for 3, 4 and 10 years then transferred to a Federal Records Center.

System manager(s) and address: Chief, Office of Comptroller,
Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,
United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.
Written request must be signed by the individual.

Record access procedures: Procedures may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure' or the local Coast Guard District or Unit office for the area in which an individual's duty station is located.
Proof of identity will be required prior to affording an individual access to records. A military identification card, a driver's license, or similar documents will be considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Sources include:
The individual.
Personnel Offices.
Payroll Offices.

DOT/CG 530.

System name: Closed Out Military Pay Record System. DOT/CG.

System location: Department of Transportation (DOT),
United States Coast Guard (CG),
Commandant (G-FP),
400 7th Street, SW,
Washington, DC 20590.

Categories of individuals covered by the system: Active duty military members, retired military members, and separated military members. Closed out active duty pay records of active duty members, retired members, and separated members and correspondence relating thereto.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by authorized Coast Guard and other agency personnel for auditing and settlement of claims (over/under payments).
Source of data permitting responses to general and Congressional inquiries and for submitting reports to the General Accounting Office.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are filed manually in file folders.

Retrievability: Records are indexed and retrieved by name/number.

Safeguards: Access is limited to authorized Coast Gurad or other agency personnel.
Records are stored in building having roving security guards.

Retention and disposal: Pay records of retirees and separated members maintained separately for one year after date of retirement or separation, then transferred to a Federal Records Center for retention until records become disposable 10 years after final separation or retirement of member.

System manager(s) and address: Chief, Office of Comptroller,
Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,
United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.
Written request must be signed by the individual.

Record access procedures: Procedure may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure' or the local Coast Guard District or Unit administrative office for the area in which an individual's duty station is located.
Proof of identity will be required prior to affording an individual access to records. A military identification card, a driver's license, or similar document will be considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Sources include:
The individual.
Coast Guard Payroll Offices.
Responsible agencies.
Congressional correspondence.

DOT/CG 531.

System name: Custody and Safekeeping of Savings Bonds. DOT/CG.

System location: Department of Transportation (DOT),
United States Coast Guard Headquarters (CG),
Commandant (G-FP),
400 7th Street, SW, Washington, DC 20950.

Categories of individuals covered by the system: Active duty military members. 5retired military members.

Categories of records in the system: U.S. Savings Bonds.
Correspondence.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Safekeeping function.

Advice/consent of safekeeping.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Manually stored in combination lock filing cabinet.

Retrievability: System indexed by Social Security Number. Retrieved by number/name.

Safeguards: Access to locked filing cabinet is limited to authorized individuals.

Building has roving security guards after duty hours.

Retention and disposal: Held until member requests release of bonds.

System manager(s) and address: Chief, Office of Comptroller,
Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,
United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.
Written request for must be signed by the individual.

Record access procedures: Procedures may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure'.

Proof of identity will be required prior to affording an individual access to records. A military identification card, a driver's license, or similar document will be considered suitable identification.

Contesting record procedures: Same as in 'Record Access Procedure'.

Record source categories: Sources include:
The individual.
Field Payroll Offices.
Headquarters Payroll Office.

DOT/CG 532.

System name: FICA Wage and Tax System for Military Pay. DOT/CG.

System location: Department of Transportation (DOT),
United States Coast Guard (CG),
Commandant (G-FP),
400 7th Street, SW,
Washington, DC 20590.
and at:
Each District and Headquarters Unit. See Appendix I for locations.

Categories of individuals covered by the system: Active duty military members.

Categories of records in the system: Wages paid and federal taxes witheld, FICA wages witheld.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Control processing and reporting of FICA to the Social Security Administration.

W-2 wage and tax data to IRS are forwarded using magnetic tapes for reporting purposes.
Information is used by authorized Coast Guard personnel.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Record listings are filed manually, semi-annually.

Magnetic tapes are filed in tape library.

Retrievability: Listings are indexed by date; tapes are indexed by tape number. Retrieved by name/number.

Safeguards: Access is limited to user staff members. Maintained in building which is secured after duty hours.

Retention and disposal: Listings retained for 2 years, then transferred to a Federal Records Center.

System manager(s) and address: Chief, Office of Comptroller,
Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,
United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.
Written request must be signed by the individual.

Record access procedures: Procedure may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure' or the local Coast Guard District or unit office for the area in which an individual's duty station is located.

Proof of identity will be required prior to affording an individual access to records. A military identification card, a driver's license, or similar document will be considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Sources include:
The individual.
Coast Guard payroll offices.

DOT/CG 533.

System name: Retired Pay and Personnel System. DOT/CG.

System location: Department of Transportation (DOT),
United States Coast Guard (CG),
Commandant (G-FP),
400 7th Street, SW,
Washington, DC 20590.

Categories of individuals covered by the system: Annuitants.
Lighthouse Keeper Retirees.
Honorary Retirees.
USCG Retirees.

Categories of records in the system: Pay and personnel data of military retirees, annuitants, lighthouse keepers and retirees.

Personnel data of honorary retirees.
Accounts receivable and accounts payable.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Produce various listings and reports provided to USCG offices.

Produce checks.
W-2 wage and federal tax reporting to the Internal Revenue Service.
Reports of earnings to state and city taxing authorities.
Listing of currently retiring officers, home addresses and mailing labels used by authorized USCG and USCG affiliated organizations.
Reports and information exchanged with the Veterans Administration, Civil Service Commission, SOcial Security Administration, the Department of Defense and the Red Cross.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are filed manually in file folders.

Microfilm is stored in the retired pay branch.
Check tapes are filed in tape library.

Retrievability: Records and microfilm are indexed alphabetically, check tapes are indexed by tape number.

Retrieved by name/number.

Safeguards: Access is limited to user staff members under supervisory control.

Stored in government building having roving security guard after duty hours.

Retention and disposal: Records are retained in the Retired Pay Branch for 3 years subsequent to retiree's or annuitant's death, then forwarded to a Federal Records Center.

Magnetic tapes are retained 18 months, microfilm for 6 years (required by GAO) then destroyed.

System manager(s) and address: Chief, Office of Comptroller,
Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,
United States Coast Guard Headquarters,

Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.
Written request must be signed by the individual.

Record access procedures: Procedures may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure.'

Proof of identity will be required prior to affording an individual access to records. A military identification card, a driver's license, or similar document will be considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Sources include:

The individual.
Coast Guard personnel offices.
Coast Guard payroll offices.

DOT/CG 534.

System name: Travel and Transportation of Household Effects. DOT/CG.

System location: Department of Transportation (DOT),

United States Coast Guard (CG),
Commandant (G-FP),
400 7th Street, SW,
Washington, DC 20590.
System is also located at each District Office and Headquarters unit. See Appendix I for locations.

Categories of individuals covered by the system: Active duty military members, retired military members, and civilian employees.

Categories of records in the system: Travel claims, transportation claims, government bills of lading, application for shipment of household effects.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Vouchers submitted for payment of claims, for audit of claims for payment, to account for cost of moving household goods, advice of shipment of household goods, for reporting of funds expended, and for payment of household and transportation claims.

Used by authorized Coast Guard personnel and personnel of the General Accounting Office (GAO) in connection with the performance of official duties.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Filed manually in file folders.

Retrievability: Records are retrieved by schedule numbers and/or individual name.

Safeguards: Access is limited to user staff members.

Stored in a building which is secured after duty hours.

Retention and disposal: Records are kept for 3 years, then transferred to a Federal Records Center.

Exception: Schedule 98-Ts (Freight and Transportation) are forwarded to General Accounting Office (GAO) after 3 months.

System manager(s) and address: Chief, Office of Comptroller,

Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington DC 20590.

Notification procedure: Department of Transportation,

United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.
Written request must be signed by the individual.

Record access procedures: Procedures may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure' or the local Coast Guard District or unit office for the area in which an individual's duty station is located.

Proof of identity will be required prior to affording an individual access to records. A military identification card, a driver's license, or similar document will be considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Sources include:

The individual who is the subject of the record.
Ground freight and transportation carriers and agents.
Airline companies.
Personnel offices.
Other responsible agencies.

DOT/CG 535.

System name: Nonappropriated Fund System (NAFA). DOT/CG.

System location: Department of Trasnsportation (DOT),

United States Coast Guard (CG),
Commandant (G-FER),
400 7th Street, SW,
Washington, DC 20590.
System is also located at:
District and Headquarters Units. See Appendix I for Locations.

Categories of individuals covered by the system: Civilian employees.

Active duty military members.
Retired military members.
Military dependents.

Categories of records in the system: Payroll records.

Accounting records for NAFA loans.
Purchase slips for articles sold in Base Exchange (BX) that cost more than
25.
Listing of bad checks.
Lay away purchase list.
Job applications.
Personnel records.
Credit books kept by ships and isolated shore units.
Correspondence.
Membership applications.
Accounts receivable.
Investigatory reports involving abuse of facilities.
Purchase listings and sales slips for specific items.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Payroll for NAFA employees.

Personnel actions.
Accounting purposes.
Budget and inventory controls.
Used by members and employees of USCG in the performance of their official duties related to the management, supervision and administration of nonappropriated funds.
Officials and employees of other agencies, attorney general's office or Congress in the performance of their official duties related to the management, supervision and administration of nonappropriated funds.
Billing, reservations, protection of funds.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Automated records may be stored on tape, disc, drums and punched cards.

Manual records may be stored in file folders and/or credit ledgers, card files, and notebooks.

Retrievability: Records are indexed alphabetically and by social security number, membership number or unit number.

Safeguards: Access is limited to authorized personnel. Building is secured after duty hours.

Retention and disposal: Records are retained until usefulness has expired and then destroyed.

System manager(s) and address: Chief, Office of Comptroller,

Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,

United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.

Written request must be signed by the individual.

Record access procedures: Procedures may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure' or the local Coast Guard District or Unit office for the area in which an individual's work station is located.

Proof of identity will be required prior to affording an individual access to records. A military identification card, a driver's license, or similar document will be considered suitable identification.

Contesting record procedures: Same as in 'Record Access Procedure'.

Record source categories: Sources include:

The individual.
Previous employees.
Educational institutions.
Employment agencies.
Civilian and military investigative reports.
General correspondence.

DOT/CG 536.

System name: Contract and Real Property File System. DOT/CG.

System location: Department of Transportation (DOT),

United States Coast Guard (CG),
Commandant (G-F),
400 7th Street, SW,
Washington, DC 20590.
Systems are also located at various District and Headquarters Units. See Appendix I for locations.

Categories of individuals covered by the system: Individuals or companies doing business with the Coast Guard.

Employees of prime and sub-contractors.
Individuals requiring use of CG property.
Military members and civilian employees.

Categories of records in the system: Contracts and related files.

Real property and leased family housing files.
Bidders list.
Minority compliance records.
Payment schedule records.
Witness fees records.
Various payment files relating to Admiralty and Tort claims.
Personnel claims.
Collection register.
Open purchase order file.
Correspondence files and vendor lists.
Information on employees of contractors, job level and pay of these employees.
Permits, licenses and easements.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used to determine compliance of contractors with minimum wages for certain skills and trades on government contracts.

Used to aid in record keeping of payments and collections.
Used to determine potential for contracting with the government.
Used to record issuance of personal property and maintain inventories.
Used to determine contractor responsibilities and liability.
Used by Coast Guard officials of the General Accounting Office (GAO) in performance of duties.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Manually filed in file folders. Maintained on tape/card three ring binders, and in hard cover books.

Retrievability: Retrieved by individual/company name, number, construction job, and/or location.

Safeguards: Access restricted to authorized personnel only; some records in locked safe and/or filing cabinet.

Maintained in Government building having roving security guard after duty hours.

Retention and disposal: Some records retained indefinitely; some retained 3, 4 or 6 years, then destroyed or forwarded to a Federal Records Center for an additional 7 years

System manager(s) and address: Chief, Office of Comptroller,

Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,

United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.
Written request must be signed by the individual.

Record access procedures: Procedures may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure' or the local Coast Guard District or Unit office for the area in which the contract was submitted or property is located.

Proof of identity will be required prior to affording an individual access to records. A military identification card, a driver's license, or similar document will be considered suitable identification.

Contesting record procedures: Same as above 'Record Access Procedure.'

Record source categories: Sources include:

Individuals.
Contractors.
Contract employees.
Bidders.
Finiancial institutions.
Insurance companies.
Community associations.
Other agencies.
Bills.
Letters.
Collection receipts.
Electronic accounting machine listings.

DOT/CG 537.

System name: FHA Mortgage Insurance for Servicemen.

System location: Department of Transportation (DOT),

United States Coast Guard (CG),
Commandant (G-F),
400 7th Street, SW,
Washington, DC 20590.

Categories of individuals covered by the system: U.S. Coast Guard Military Personnel who have applied for Federal Housing Administration Mortagage Insurance.

Categories of records in the system: Selected aspects of FHA Mortgage Insurance Records for military personnel, including copies of Form DD-802, 'Request for and Certificate of Eligibility' and Form DD-803, 'Certificate of Termination'.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used to enable management to verify that billings from FHA are correct, and payable from Coast Guard funds. Users are authorized Coast Guard personnel.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are filed manually in closed file cases.

Retrievability: Folders are filed by named individual, alphabetically. Copies of documents are filed chronologically in the folders together with a record of payment.

Safeguards: Access is limited to user staff members. After duty hours the building is patrolled by roving security guards.

Retention and disposal: Files are maintained as long as a member is covered by an insured mortgage loan; 3 years after, files are forwarded to Federal Records Center. Destroyed 4 years after case files are closed.

System manager(s) and address: Chief,

Office of Comptroller,
Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Contact Commandant (G-CMA),

U.S. Coast Guard Headquarters,
Washington, DC 20590.
The written request should include the requestors name in full and signature.

Record access procedures: Procedures may be obtained by writing Commandant (G-CMA), at the address above, or by visiting the Coast Guard Headquarters, 400 7th Street, SW, Washington, DC. Proof of identity will be required prior to affording an individual access to his records A military identification card, a drivers license, or similar document will be considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedure'.

Record source categories: From individual concerned and the Federal Housing Administration.

DOT/CG 561.

System name: Port Safety Reporting System Individual Violation Histories. DOT/CG.

System location: Department of Transportation (DOT),

United States Coast Guard (CG),
Commandant (G-WLE-1),
400 7th Street, SW.
Washington, DC 20590.
System is also located at:
Offices of the District Commanders. See Appendix I for locations.

Categories of individuals covered by the system: Masters, operators, owners, agents, shippers, charterers and/or pilots of commercial vessels, barges, dock and waterside facilities reported for violations of United States port safety regulations.

Categories of records in the system: Computerized listings, by name, giving specific violations, date, place and penalty assessed.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Determining penalties to be assessed by Coast Guard hearing officers; execution of judgments by U. S attorneys as necessary.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic tape or disc.

Retrievability: By name.

Safeguards: Restricted access data processing area. Program access by password.

Source documents and printouts are stored in locked rooms or file cabinets.

Retention and disposal: Disposed of 3 years after final disposition of case.

Paper files destroyed by mutilation, shredding or burning.
Magnetic tapes are obliterated by writing over magnetic surface till content is rendered useless and unreadable.

System manager(s) and address: Chief, Office of Marine Environment and Systems.

Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,

United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.
Written request must be signed by the individual.

Record access procedures: Procedures may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure' above or the local Coast Guard District, at the address in Appendix I, where the violation occurred.

Contesting record procedures: Same as 'Record Access Procedure'.

Record source categories: Report of Violation, Form CG-2636, prepared by Coast Guard officials.

Systems exempted from certain provisions of the act: Portions of this system may be exempt from disclosure under the provisions of 5 USC 552a (k)(2), which provide in part, that investigatory material compiled for law enforcement purposes may be withheld from disclosure to the extent that the identity of the source of the information would be revealed by disclosing the investigatory record, and the source has received an express guarantee that his identity would be held in confidence or, prior to the effective date of this section, if the source received an implied promise that his identity would be held in confidence.

DOT/CG 571.

System name: Physical Disability Separation System. DOT/CG.

System location: Department of Transportation (DOT),

United States Coast Guard (CG),
Commandant (G-KMA),
400 7th Street, SW,
Washington, DC 20590.

Categories of individuals covered by the system: USCG active duty personnel and USCG personnel separated or retired for physical disability.

Categories of records in the system: Central Physical Evaluation Board files.

Formal Physical Evaluation Board files.
Physical Review Council files.
Physical Disability Appeal Board files.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by:

Coast Guard officials in connection with physical disability separation and retirement proceedings.
The Veterans Administration for assistance in determining the elgibility of individuals for benefits administered by that agency and available to USPHS or DOD medical personnel in connection with the performance of their official duties.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders.

Retrievability: By name of individual .

Safeguards: Roving guard patrol. Restricted personnel access by screening.

Retention and disposal: Retained two years after disposition then transferred to Federal Records Center, St. Louis, MO.

System manager(s) and address: Chief, Office of Health Services,

Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,

United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.
Written request must include a notarized statement attesting that the individual requesting the notification is in fact the individual about whom information is requested.

Record access procedures: Procedures may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure.'

Contesting record procedures: Same as 'Record Access Procedure' above.

Record source categories: Information in records developed through proceedings of administrative bodies listed in 'Categories of Records' above.

DOT/CG 572.

System name: USCG Military Personnel Health Record System. DOT/CG.

System location: Department of Transportation (DOT),

United States Coast Guard (CG),
Commandant (G-KPS),
400 7th Street, SW,
Washington, DC 20590.

Categories of individuals covered by the system: USCG active duty personnel. USCG active duty personnel dependents,

Dependents of USCG active duty personnel.
Department of Defense active duty personnel and dependents.
Dependents of USCG and DOD retired personnel and their dependents.
Members of USCG Reserve.

Categories of records in the system: Records of medical and dental treatment.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by medical staff in affording medical and dental treatment to personnel listed in 'Categories of Individuals' above.

Provided to the Social Security Administration and Veteran's Administration for use in determining an individual's entitlement to benefits administered by those agencies.

Records are provided to the Department of Health, Education and Welfare for purposes of the Federal Medical Care recovery set.

Records are available to the Public Health Service or DOD medical personnel in connection with medical treatment of individuals at USPHS or DOD facilities.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders.

Retrievability: Name and military identification number.

Safeguards: Roving guard patrol. Room in which records are located is locked when unattended.

Access limited to these records at all times by personnel screening.

Retention and disposal: Retained so long as individual is assigned to the particular area. Transmitted to new duty station upon transfer of member/sponsor. Incorporated into personnel record file system upon transfer, separation, or retirement.

System manager(s) and address: Chief, Office of Health Services, Department of Transportation, United States Coast Guard Headquarters, 400 7th Street, SW, Washington, DC 20590.

Notification procedure: Department of Transportation, United States Coast Guard Headquarters, Commandant (G-CMA), 400 7th Street, SW, Washington, DC 20590. Written request must be signed by the individual.

Record access procedures: Procedures may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure' or the local District or Headquarters Unit Office for the area in which assigned. See Appendix I for locations. See Appendix II for details pertaining to release of medical records.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Medical facilities where treatment received and from the individual.

All Field Units with Medical Personnel assigned. See Appendix I for locations.

DOT/CG 573.

System name: U.S. Public Health Services (USPHS) Commissioned Officer Corps Staffing and Recruitment Files. DOT/CG.

System location: Department of Transportation (DOT), United States Coast Guard (CG), Commandant (G-KMA), 400 7th Street, SW, Washington, DC 20590.

Categories of individuals covered by the system: Personnel records of USPHS commissioned officers assigned to duty with the Coast Guard.

Categories of records in the system: Personnel records, Commissioning applications, assignment preference, reference questionnaires, background information.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used to assist administrators in assigning personnel to area requiring their specific skills.

Used to monitor career development of personnel assigned to program.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders.

Retrievability: By name of individuals.

Safeguards: During working hours access is controlled by office personnel; during non-working hours building is patrolled by roving security patrol.

Retention and disposal: Records are retained during period of an individual's assignment to the Coast Guard. Thereafter, records are destroyed by shredding.

System manager(s) and address: Chief, Office of Health Services, Department of Transportation, United States Coast Guard Headquarters, 400 7th Street, SW, Washington, DC 20590.

Notification procedure: Department of Transportation, United States Coast Guard Headquarters, Commandant (G-CMA), 400 7th Street, SW, Washington, DC 20590. Written request must be signed by the individual.

Record access procedures: Procedures may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure'.

Proof of identity will be required prior to release of records. A military identification card, driver's license or similiar document will be considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Previous employers, educational institutions, references, Coast Guard Medical Administrators and the individual.

DOT/CG 574.

System name: Drug and Alcohol Abuse Prevention Program Record System. DOT/CG.

System location: Department of Transportation (DOT), United States Coast Guard (CG), Commandant (G-PS), 400 7th Street, SW, Washington, DC 20590. All Coast Guard units have portions of duplicate case folders on individuals involved in the program. See Appendix I for addresses.

Categories of individuals covered by the system: Active duty Coast Guard officers, enlisted personnel and reserve personnel; retired and separated Coast Guard personnel.

Categories of records in the system: Annotations on file cards of drug abuse, drug exemptions, drug rehabilitation, alcohol rehabilitation and waiver for enlistment in the Coast Guard cases.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used to:

Determine the extent of the drug and alcohol problem in the Coast Guard.

Evaluate program effectiveness.

Maintain the integrity of the Coast Guard employees in performance of their official duties.

Assist the U.S. Navy Department in consonance with inpatient treatment at Navy Rehabilitation Center.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained on file cards (5'x8') and message file.

Retrievability: File cards are indexed by name; message file by date/time group.

Safeguards: Files are stamped 'For Official Use Only' and maintained in locked filing cabinet.

Retention and disposal: Disposal schedules are under development and will be in accord with disposal schedules of the Military Personnel Record System.

System manager(s) and address: Chief, Office of Personnel, Department of Transportation, United States Coast Guard Headquarters, 400 7th Street, SW, Washington, DC 20590.

Notification procedure: Department of Transportation, United States Coast Guard Headquarters,

Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.
Written request must be signed by individual.

Record access procedures: Procedures may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure' or the local Coast Guard District or unit office for the area in which an individual's duty station is located. See Appendix I for locations.

Proof of identity will be required prior to affording an individual access to records. A military identification card, a driver's license, or similar document will be considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Reports of drug exemption; reports of civil and military offenses involving drugs or alcohol; reports of Coast Guard Intelligence Investigation, recommendation for inpatient drug or alcohol rehabilitation.

Individual personnel, medical, and security records.

DOT/CG 586.

System name: Chemical Transportation Industry Advisory Committee. DOT/CG.

System location: Department of Transportation (DOT),

United States Coast Guard (CG),
Commandant (G-M),
400 7th Street, SW,
Washington, DC 20590.

Categories of individuals covered by the system: Committee members.

Categories of records in the system: Address, phone number.

Biographical sketch.
Committee information.
Minutes of meetings.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Arranging meetings, keeping records of committee business, determine committee membership.

Used by Coast Guard personnel in the performance of offficial duties.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders.

Retrievability: By committee name/individual name.

Safeguards: Personnel screening prior to granting access.

Building has roving security after hours.

Retention and disposal: Permanently retained.

System manager(s) and address:

Chief, Office of Merchant Marine safety,
Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,

United States Coast Guard Headquarters,,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.
Written request must be signed by the individual.

Record access procedures: Procedures may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure.'

Proof of identity will be required prior to granting access. A military identification card, driver's license or similar document is considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: From the individual of record.

DOT/CG 587.

System name: Investigation of Violations of Marine Safety Laws or Regulations. DOT/CG.

System location: District Offices - See Appendix I for addresses.

Categories of individuals covered by the system: Persons who have violated or who are suspected of violating marine safety or related laws or regulations.

Categories of records in the system: Reports of violation and supporting documents.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Evaluation by Coast Guard personnel for law enforcement purposes.

Evaluation by other agencies, state, local and foreign governments for purposes of law enforcement, and other purposes which the agency may deem necessary for their mission.
For use in civil litigation.
For insurance purposes.
Use by the general public.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders.

Retrievability: Records pertaining to violations of law or regulation are retrieved by individual name or vessel name.

Safeguards: Destroyed three years after case is closed.

System manager(s) and address: Chief, Office of Merchant Marine Safety,

Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,

United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.
Written request must be signed by the individual.

Record access procedures: Procedures may be obtained by writing to or visiting (G-CMA) at the address in 'Notification Procedure' or the local Coast Guard District for the area in which the alleged violation occurred.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Coast Guard investigating records compiled as a result of vessel casualty.

Investigations by other law enforcement agencies.
Vessel operator complaints.
Deficiencies noted during Coast Guard inspections.

Systems exempted from certain provisions of the act: Portions of this system may be exempt from disclosure under the provisions of 5 USC 552a (K)(2) which provide in part, that investigatory material compiled for law enforcement purposes may be withheld from disclosure to the extent that the identity of the source of the information would be revealed by disclosing the investigatory record, and the source has received an express guarantee that his identity would be held in confidence, or, prior to the effective date of this section, if the source received an implied promise that his identity would be held in confidence.

DOT/CG 589.

System name: U. S. Merchant Seamen's Records.

System location: Department of Transportation (DOT),

United States Coast Guard (CG),
Commandant (G-MVP),
400 7th Street, SW,
Washington, DC 20590.
Portions of these records may be located at the Marine Inspection Office or the Marine Safety Office where the seaman was documented.

Categories of individuals covered by the system: U. S. Merchant Seamen.

Categories of records in the system: Personnel File.

Shipping Articles.
Locator List.
Log Books.
Seamen's License Records.
Fingerprint Records.
Disciplinary Records.
Security Records.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used to determine qualification of individuals for issuance of Merchant Mariners Documents.

Used by individual seaman, seamen's representatives, seaman's next of kin, law enforcement agencies, other government agencies, attorneys, welfare agencies and limited use by the general public.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The personnel file will be stored on updateable microfiche and limited personal data will reside on computer disks and magnetic tapes. The above media will be continuously updated as new or additional data is received. The remainder of the records will be stored in file folders in paper form.

Retrievability: The personnel file on microfiche will be accessed from its storage cabinet by a coded identifier of the location of the record within the cabinet. The data on the computer record will be retrieved by direct terminal access with the selection of data elements determined by the authorized user. Retrieval will be by name and cross indexed under ID (i.e., 'Z', 'BK', or Social Security Number).

Safeguards: Personnel files on microfiche are stored in the locked retrieval cabinet. The data on the computer file can be retrieved only via 'password' identifier with users being permitted access only to that portion of the overall file that has previously been determined as meeting their needs. The file folders are maintained in locked rooms.

Retention and disposal: Personnel files are held 3 years for unlicensed personnel and 10 years for licensed personnel after last activity, then transferred to a holding area where they may be destroyed after 60 years of inactivity. Shipping Articles are held 3 years then transferred to a Federal Records Center where they are considered historical data and are not destroyed. Disciplinary Records are maintained in paper form. Administrative Law Judge's Decisions and Orders and Appeal File are transferred to a Federal Records Center after 5 years. Commandant's Decision on Appeal and National Transportation Safety Board Decisions and Orders are retained. Disciplinary Record Cards are destroyed upon notice of death.

System manager(s) and address: Chief, Office of Merchant Marine Safety,

Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation, United States Coast Guard Headquarters, Commandant (G-CMA), 400 7th Street, SW, Washington, DC 20590. In order to determine if a record for an individual exists, it is necessary that the applicant furnish the complete name in which the document was issued, the serial number of the document (i.e., 'Z', 'BK', and/or Social Security Number), and his date and place of birth. A written request must be signed by the individual.

Record access procedures: Procedures may be obtained by writing Commandant (G-CMA) or visiting at the address in 'Notification Procedure' or the Marine Inspection Office or Marine Safety Office where the document was issued for locally maintained portions.

Contesting record procedures: Same as 'Record Access Procedure'.

Record source categories: Personnel File - Date furnished by the seamen, U.S. Coast Guard officials, other Federal Agencies and employer. Shipping Articles - Vessels' operators, seamen, masters of vessels, State Department, and Coast Guard officials. Disciplinary Records - Furnished by the Investigating Officers at the various Marine Inspection and Marine Safety Offices.

Systems exempted from certain provisions of the act: Portions of this system of records may be exempt from disclosure under the provisions of 5 USC 552a (k)(2), which provide, in part that investigatory material compiled for law enforcement purposes may be withheld from disclosure to the extent that the identity of the source of the information would be revealed by disclosing the investigatory record, and the source has received an express guarantee that his identity would be held in confidence, or, prior to the effective date of this section, if the source received an implied promise that his identity would be held in confidence.

DOT/CG 590.

System name: Merchant Vessel Casualty Reporting System. DOT/CG.

System location: Department of Transportation (DOT),

United States Coast Guard (CG),
Commandant (G-MVI),
400 7th Street, SW,
Washington, DC 20590.
System is also maintained at:
District and Headquarters Units-see Appendix I for addresses.

Categories of individuals covered by the system: The categories of individuals included in this system of records are persons who have been involved in vessel casualties. Categories include: deceased persons, injured persons, witnesses, vessel pilots, vessel masters, vessel crew members and vessel owners.

Categories of records in the system: Investigative reports of vessel casualties.

Investigative reports of deaths aboard vessels.
Investigative reports of personnel injuries.
Note: Vessels included in this system include commercial U. S. vessels, foreign vessels using the territorial waters of the U. S., and other vessels involved in a casualty with a U. S. commerical vessel.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine Uses and Purposes include:

Evaluation by Coast Guard personnel for corrective safety measures, and law enforcement purposes.
Evaluation by other agencies, state, local and foreign governments for purposes of safety, law enforcement, and other purposes for which the agency may deem necessary for their missions.
Civil litigation.
For insurance purposes.
Use by Congressional representatives for possible legislative action or to provide information to a constituent.
Use by shipping concerns such as owners, operators, and charterer for safety; protection against law suits and general background.
Use by research groups for safety purposes or any other purpose.
Use by the general public.
See Prefatory Statement for General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders and magnetic tape.

Retrievability: Vessel casualty records are filed by vessel name, type of casualty and date of casualty.

Retrieved by a combination of above information.
Personel injuries and deaths are filed by individual name and date of injury or death.
Retrieved by date/name.

Safeguards: Personnel screening. Building secured after duty hours and patrolled by roving security patrol.

Retention and disposal: Marine Boards of Investigation. Transfer to Federal Records Center after five years.

Vessel Casualties records transferred to FRC after two years, and disposed of after twenty-five years.
District Offices records disposed of after five years.
Officers in Charge, Marine Inspection Office; Commanding Officers, Marine Safety Office; Merchant Marine Details: ten years.
Transferred to the Federal Records Center after five years. Disposed of after

System manager(s) and address: Chief, Office of Merchant Marine Safety,

Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,

United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.
Written request must be signed by the individual.

Record access procedures: Procedures may be obtained by writing to or visiting Commandant (G-CMA) at address in 'Notification Procedure' or the local Coast District or Unit office in which the casualty occurred.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Persons directly involved in the casualty, e.g., vessel master, pilot, crewmembers.

Vessel owners.
Witnesses to casualty.
Coast Guard personnel.
Manufacturers.
Investigations by other law enforcement agencies.

Systems exempted from certain provisions of the act: Portions of this system of records may be exempt from disclosure under the provisions of 5 USC 552a(k)(2), which provide, in part, that investigatory material compiled for law enforcement purposes may be withheld from disclosure to the extent that the identity of the source of the information would be revealed by disclosing the investigatory record, and the source has received an express guarantee that his identity would be held in confidence, or, prior to the effective date of this section, if the source received an implied promise that his identity would be held in confidence.

DOT/CG 591.

System name: Merchant Vessel Documentation System (Manual and Automated). DOT/CG.

System location: Automated system is located at:

Department of Transportation,
United States Coast Guard Headquarters,
Commandant (C-MVD),
400 7th Street, SW,
Washington, DC 20590.
Manual systems are located at:
Coast Merchant Vessel Documentation Offices,
(See section E.W., Standard Distribution List - CG-131 for office locations).

Categories of individuals covered by the system: Vessel owners.

Mortgagees.
Vessel buyers and sellers.
Lien claimants.
Vessel builders.

Categories of records in the system:

Vessel owner information.
Vessel information.
Instruments of record (bills of sale, mortgages, etc.).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Establishing eligibility for documentation of vessels.

Issuance of marine documents.
Recordation of bills of sale, mortgages, etc.
Publication of the annual MERCHANT VESSELS OF THE UNITED STATES.
Publication of the monthly supplement to MERCHANT VESSELS OF THE UNITED STATES.
Used by:
Coast Guard.
Other Governmental agencies.
Financial institutions and other money leaders.
Anyone having business with or an interest in a documented vessel.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Index of owners maintained by Commandant (G-MVD).

All other records maintained at home port of vessel by vessel name.

Retrievability: Upon furnishing name of vessel owner to Commandant (G-MVD), vessel name is established and request for information referred to appropriate home port.

Records retrieved at home port by use of vessel name.

Safeguards: Personnel screening.

Retention and disposal: Listings of vessel owners constantly updated by additions and deletions (automated).

Field office vessel folders transferred to FRC 2 years after change of vessel's home port or 2 years after removal of vessel from documentation (manual).

System manager(s) and address: Chief, Office of Merchant Marine Safety,

Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,

United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.
Written requests must be signed by the individual whose records are the subject.

Record access procedures: Procedures may be obtained by writing or by visiting Commandant (G-CMA) at the address in 'Notification Procedure' or the local Coast Guard District Office.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Vessel owners, Mortgagee, lien claimants, Vessel sellers and buyers, Coast Guard admeasures and vessel builders.

DOT/CG 592.

System name: Registered/Applicant Pilot Eligibility Folder. DOT/CG.

System location: Department of Transportation (DOT),

United States Coast Guard (CG),
Ninth Coast Guard District,
Great Lakes Pilotage Staff,
1240 East Ninth Street,
Cleveland, OH 44199.

Categories of individuals covered by the system: United States registered pilots and applicant pilots suitably registered to perform pilotage duties aboard foreign vessels on the Great Lakes.

Categories of records in the system: Application for registration, renewal of registration, annual report of physical examination, Coast Guard license data, examination for registration.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Department of Transportation, U. S. Coast Guard, F.B.I., pilot associations and corporations.

Used for pilot registration, training program needs, retirements, statistical compilations, and negotiations with Canadian authorities to assure equitable participation by U.S. registered pilots with Canadian registered pilots.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders.

Retrievability: By name and pilot registration number.

Safeguards: Screened by office personnel prior to use. Locked in cabinets during non-working hours.

Retention and disposal: Permanent.

System manager(s) and address: Chief, Great Lakes Pilotage Staff,

Ninth Coast Guard District,
1240 East Ninth Street,
Cleveland, OH 44199.

Notification procedure: Same as 'System Manager' above.

Record access procedures: Same as 'System Manager' above.

Contesting record procedures: Same as 'System Manager' above.

Record source categories: Individual's original application for U.S. pilot's registration and individual's yearly report of medical examination.

DOT/CG 611.

System name: Intelligence and Security Investigative Case System. DOT/CG.

System location: Department of Transportation(DOT),

United States Coast Guard(CG),
Commandant (G-OIS),
400 7th Street, SW,

Washington, DC 20590.
Systems are also located in Coast Guard District Offices at the addresses listed in Appendix I.

Categories of individuals covered by the system: U. S. Coast Guard military personnel, merchant marine personnel, port and dock workers, and persons under investigation for violations of laws and regulations administered by the Coast Guard.

Categories of records in the system: Personnel security investigations, national agency check results, criminal investigations, counterintelligence investigations, computerized case control system.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by authorized Coast Guard personnel for:

Security clearances.
Actions by commanders under the Uniform Code of Military Justice.
Career advancement of U.S. Coast Guard military personnel.
Approval of merchant seamen documents.
Access of individuals to port facilities.
Used by:
Appropriate federal, state or local agencies for criminal law enforcement and prosecution by the United States government.
National Agency Checks and background investigations.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Investigative dossiers and 3x5 card retrieval system.

Retrievability: By name and/or case number.

Safeguards: Alarm controlled spaces, locked and/or limited access file cabinets and office spaces.

Using receipt control, automatic data processing (ADP) system cannot be penetrated for data through terminals, or otherwise, located outside the U.S. Coast Guard computer center without use of proper administrative controls.
Release of dossiers to accreditied personnel on 'need-to-know' basis only.

Retention and disposal: Dossiers retained 50 years from date of birth.

Deceased, retirees and others separated are held one year from separation.
Dossiers are retired to the Washington National Federal Records Center for further retention of 30 years.
3x5 cards are annotated to recall retired dossiers if necessary.
Computer printouts are retained for 10 years then destroyed.

System manager(s) and address:

Chief, Office of Operations,
Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,

United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.
Written request must be signed by the individual.

Record access procedures: Procedures may be obtained by writing to, or visiting Commandant (G-CMA) at the address in 'Notification Procedure' or the local Coast Guard District Office, location in Appendix I, for the area in which an individual's duty station is located.

Proof of identity will be required prior to affording an individual access to records. A military identification card, a driver's license, or similar document will be considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedure'.

Record source categories: National Agency Checks, background investigations, criminal investigations, interviews, records, checks, observations, statements.

Systems exempted from certain provisions of the act: Portions of this system of records may be exempt from disclosure under the provisions of 5 USC 552a (k)(2), which provides in part that investigatory material compiled for law enforcement purposes may be withheld from disclosure to the extent the identity of the source of the information would be revealed by disclosing the investigatory record, and the source has received an express promise that his identity would be held in confidence, or, prior to the effective date of this section, if the source received an implied promise that his identity would be held in confidence.

Portions of this system of records may be exempt from disclosure under the provisons of 5 USC 552a(which provides, in part, that investigatory material compiled solely for the purpose of determining suitability, eligibility, or qualifications for Federal civilian employment, military service, Federal contracts, or access to classified information may be withheld from disclosure but only to the extent that the disclosure of such material would reveal the identity of the source who furnished information to the Government under an express promise that the identity of the source would be held in confidence or, prior to the effective date of this section, under an implied promise that the identity of the source would be held in confidence.
Portions of this system of records may be exempt from disclosure under the pro- visions of 5 USC 552a (k)(7), which provide, in part, that evaluation material used to determine potential for promotion in the armed services may be withheld from dis- closure but only to the extent that the disclosure of such material would reveal the identity of a source who furnished information to the Government under an express promise that the identity of a source would be held in confidence, or, prior to the effective date of this section, under an implied promise that the identity of the source would be held in confidence.

DOT/CG 612.

System name: Port Security Card System. DOT/CG.

System location: Department of Transportation (DOT),

United States Coast Guard Headquarters (CG),
Commandant (G-OIS),
400 7th Street, SW,
Washington, DC 20590.
Records also also located at each District Office. See Appendix I for locations.

Categories of individuals covered by the system: Persons regularly employed on vessels and water front facilities, or persons having regular public or private business with the operation, maintenance, or administration of vessels and cargoes or waterfront facilities.

Categories of records in the system: Applications for port security cards awaiting processing.

Processed applications indicating those granted or denied port security cards.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Authorized Coast Guard officials to determine eligibility for issuance of Port Security Cards

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper files, 3x5 cards.

Retrievability: By name.

Safeguards: Maintained in file cabinets in secure areas.

Personnel are screened prior to granting access.

Retention and disposal: Retained for 8 years, then destroyed by mutilating, shredding or burning.

System manager(s) and address: Chief, Office of Operations,

Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Departemnt of Transportation,

United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.
Written request must be signed by the individual.

Record access procedures: Procedures may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure' or the local Coast Guard District or unit office for the area in which the application was filed.

Proof of identity will be required prior to affording an individual access to records. A military identification card, a driver's license, or similar document will be considered sutitable identification.

Contesting record procedures: Same as 'Record Access Procedure'.

Record source categories: Individual applications.

National Agency checks.
Other records already at Coast Guard Headquarters, if any.

Systems exempted from certain provisions of the act: Portions of this system of records may be exempt from disclosure under the provisions of 5 USC 552a(k)(2), which provide, in part, that investigatory material compiled for law enforcement purposes may be withheld from disclosure to the extent that the identity of the source of the information would be revealed by disclosing the investigatory record and the source has received an express guarantee that his identity would be held in confidence, or, prior to the effective date of this section, if the source received an implied promise that his identity would be held in confidence.

DOT/CG 621.

System name: Detainee's Log. DOT/CG.

System location: Department of Transportation (DOT),

United States Coast Guard (CG),
Commanding Officer,
United States Coast Guard Training Center,
Cape May, NJ 08204.

Categories of individuals covered by the system: Military personnel detained at the United state's Coast Guard Training Center, Cape May, NJ.

Categories of records in the system: Photographs of persons detained, detention orders, release orders, inventory of personal effects, data card, request and receipt for health and comfort supplies, work and training report, conduct record, medical log, official travel orders (if applicable), mail and visiting list, court orders or orders of a convening authority (if applicable).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Protect rights of individual.

Monitor behavior patterns of the individual serving terms of non-judicial punishment or correctional custody to effect rehabilitation.
Prevent suicide attempts.
Protect the property rights of the individual.
Insure that health needs of the individual are met and recorded.
In general, the records contain all relevant facts pertaining to the individual's detention or period in correctional cusody.
Records are used by the commanding officer, executive officer, legal officer, personnel officer, medical officr, and personnel assigned to the provost department.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Handwritten and typewritten forms and notebooks, stored in file folders.

Retrievability: By name.

Safeguards: Locked rooms and/or file cabinets.

Content of records accessed by screened personnel only.

Retention and disposal: Informal log entries are retained for six months at the Training Center unless they are relevant to pending disciplinary actions.

Formal records are retained at the Training Center for one year.
All records are disposed of by burning.

System manager(s) and address: Chief, Office of Personnel,

Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,

United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.
Written requests must be signed by the individual.

Record access procedures: Procedures may be obtained by writting to or visiting Commandant (G-CMA) at the address in 'Notification Procedure' or by writing or visiting Commanding Officer, U.S. Coast Guard Training Center, Cape May, NJ 08204.

Prior written notification of personal visits is required to insure that the records will be available at the time of visit.
Proof of identity will be required prior to release of records. A military identification card, driver's license or similiar document will be considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedure'.

Record source categories: Record of proceedings under Article 15, UCMJ.

Records of civil arrest or apprehension by the military authorities.
Direct observations by watchstanders.
Some information is provided by clearance.

DOT/CG 622.

System name: Military Training and Education Records. DOT/CG.

System location: Department of Trasnportation (DOT),

United States Coast Guard (CG),
Commandant (G-PTE),
400 7th Street, SW,
Washington, DC 20590.
Records are also located at District and Headquarters Units. See Appendix I for locations.

Categories of individuals covered by the system: Coast Guard Military Personnel.

Categories of records in the system: General Service Correspondence Course.

Off-Duty Education Records.
Professional Training Records.
Non-traditional Educational Support Records.
Achievement and Aptitude Test Results.
Academic Performance Records.
Correspondence Course Rate Advancement Records.
Military Performance Records.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Evaluation and measurement of training performance.

Statistical summaries.
Input to personnel records.
Partial criteria for selection and admission to service/professional schools.
Partial criteria for selection to postgraduate education programs.
Criteria for admission to the Coast Guard.
Criteria for retention in service schools.
Criteria for promotion.
The above information is used by authorized Coast Guard personnel in the performance of official duties.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders stored in file cabinets.

Retrievability: Retrieved by name, rate, class number.

Safeguards: Records are kept in file cabinets in offices that are locked during off-duty hours.

Access to the records is limited to authorized yeomen and training administrators.

Retention and disposal: Personal history, service history and school conduct and military performance records are kept for one year.

Academic and correspondence course records are kept for five years.
Aptitude and Achievement Test results, as a part of training and education records, are kept for five years.
Records are destroyed by mutilating, shredding or burning.

System manager(s) and address: Chief, Office of Personnel,

Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,

United States Coast Guard Headquarters,

Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.
Written request must be signed by the individual.

Record access procedures: Procedures may be obtained by writing to or visiting (G-CMA) at the address in 'Notification Procedure' or by visiting the local activity where assigned for training.

Prior written notification of personal visits is required to insure that the applicable record will be available.
Proof of identity is required prior to release of records. A military identification card, driver's license, or similiar document will be considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedures'.

Record source categories: Official military personnel records, test results, instructions and supervisors.

Systems exempted from certain provisions of the act: Portions of this system of records may be exempt from disclosure under the pro- visions of 5 USC 552a (k)(5), which provide, in part, that investigatory material compiled solely for the purpose of determining suitability, eligibility, or qualifications for Federal civilian employment, military service, Federal contracts, or access to classified information may be withheld from disclosure but only to the extent that the disclosure of such material would reveal the identity of a source who furnished information to the Government under an express promise that the identity of the source would be held in confidence or, prior to the effective date of this section, under an implied promise that the identity of the source would be held in confidence.

Portions of this system of records may be exempt from disclosure under the pro- visions of 5 USC 552 (k)(6), which provides, in part, that testing or examination material used soley to determine individual qualifications for appointment or pro- motion in the Federal service may be withheld from disclosure to the extent that dis- closure of these records would compromise the objectivity or fairness of the testing or examination process.
Portions of this system of records may be exempt from disclosure under the pro- visions of 5 USC 552a (k)(7), which provide, in part, that evaluation material used to determine potential for promotion in the armed services may be withheld from disclosure but only to the extent that the disclosure of such material would reveal the identity of a source who furnished information to the Government under an express promise that the identity of a source would be held in confidence, or, prior to the effective date of this section, under an implied promise that the identiy of the source would be held in confidence.

DOT/CG 624.

System name: Personnel Management Information System (PMIS)

System location: Department of Transportation (DOT),

United States Coast Guard (CG),
Commandant (G-P),
400 7th Street, SW,
Washington, DC 20590.

Categories of individuals covered by the system: All regular Coast Guard personnel on active duty. All Reserve Coast Guard personnel on extended active duty and Reserve personnel on initial active duty for training.

Categories of records in the system: A single computer record which currently contains about 450 data elements on each member. Some data elements are used only for enlisted, others only for officers. The file contains personal information such as name, place of birth, rank, location, etc. The file also contains pay date elements which will form the basis for deriving pay entitlements for Coast Guard military personnel under the Joint Uniform Military Pay System (JUMPS).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The file is used to produce a number of reports used throughout the Coast Guard. Types of reports are:

Locator Listing for Headquarters and Districts,
Personnel Roster for the unit to assist in verifying information,
Number of personnel in pay grades for advancement levels and budget expenditures,
Current reports for this system of records are listed in the Reports Distribution Manual generated by the Coast Guard for this system,
Queires and batch processing are used to recruitment levels,
Various Coast Guard offices receive the locator listing and management reports,
Government agencies other than Coast Guard categories,
See prefatory statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The storage is on computer disks with tape backups. The file is updated once a week. Once a month the file is dumped to a tape file for historical purposes.

Retrievability: Retrieval from the system is by use of name or Social Security Number or a combination of personal and non-personal characteristics.

Users retrieve information direct from the file through card input or direct terminal access. Data elements to be retrieved and method of use are selected by the user.
An extract file containing summary records is produced monthly for use on a 'time sharing' system. Retrieval of information from this extract is by direct terminal access only. Data elements to be retrieved and method of use are selected by the user.

Safeguards: The computer provides privacy and access limitations by requiring a user name and password match. In addition each element of the file has its own level of accessability which must be held by the user. Only those staff components at Headquarters with a need to have access to the file are given user names and passwords. Access to the 'Time Share' extract is similarly controlled. The backup tapes and monthly dumps also have limited access in that users must justify the need before they are provided the tape numbers.

Retention and disposal: End-of-Year system backup tapes and day to day transaction tapes are retained indefinitely. Statistical and other report extract tapes are recycled into the system and consequently destroyed. Paper working files are disposed of in accordance with current record disposal instructions.

System manager(s) and address: Chief,

Office of Personnel,
Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Inquiries should be directed to:

Department of Transportaion,
United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.

Record access procedures: Procedure may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure'. Prior written notification of personal visits is requried to insure that the records will be available at the time of visit. Proof of identity will be required prior to release of records. A military identification card, driver's license or similar document will be considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedure'.

Record source categories: The data to update the file comes from copies of official service record entries prepared by field units. The data is entered on tape for update of the computer files.

DOT/CG 625.

System name: Officer Selection and Appointment System. DOT/CG.

System location: Department of Transportation (DOT),

United States Coast Guard (CG),
Commandant (G-PMR),
400 7th Street, SW,
Washington, DC 20590.
Records are also located at each District and Headquarters Unit. Use Appendix I for locations.

Categories of individuals covered by the system: Applicants for Coast Guard Officer Candidate School or direct commission programs of the Coast Guard.

Categories of records in the system: Information in the system is supplied by applicants and also by persons, other than the applicants, who submit information pertinent to the suitability of the applicants for commissioned service in the Coast Guard.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Officials and employees of the Coast Guard in the performance of their duties in managing and contributing to the recruitment and appointment of men and women for officer programs in the regular and reserve components of the Coast Guard.

The Attorney General of the United States or his authorized representatives in connection with litigation, fraudulent enlistment or other matters under the jurisdiction of such agencies.

Official employees of the Veterans Administration and Selective Service Administration in the performance of their official duties related to enlistment and reenlistment eligibility and related benefits.

The Senate or the House of Representatives of the United States or any committee or subcommittee on matters within their jurisdiction requiring disclosure of files or records of personnel covered by this system.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records are stored in file folders.

Retrievability: The system is indexed alphabetically by name of applicant and is retrieved by name.

Safeguards: Records kept in file cabinets locked after working hours.

Buildings have 24-hour security guards and limited access.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Application records are maintained at Headquarters for one year, then forwarded to the National Records Center.

Records are retained for 7 years then destroyed.

System manager(s) and address: Chief, Office of Personnel,

Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,

United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.

Record access procedures: Procedure may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure' or to the applicable Coast Guard District Office.

A letter request should contain full name, address, social security number, approximate date of application, and signature.

Proof of identification will consist of military identification card, driver's license or other official identification.

Contesting record procedures: Same as 'Record Access Procedure'.

Record source categories: Coast Guard recruiting personnel and employee processing application.

Medical personnel conducting physical examination and private physicians providing consultations or patient history.
Character and employer references named by applicants.
Educational institutions, staff and faculty members.
Selective Service Commission.
Local state and Federal law enforcement agencies.
Prior or current military service record.
Commanding officer of Coast Guard unit, if active duty.
Coast Guard offices charged with personnel security clearance functions.
Other Coast Guard officials and employees in the performance of their official duties and as specified by current instructions and regulations promulgated by competent authority.

Systems exempted from certain provisions of the act: Portions of this system of records may be exempt from disclosure under the provisions of 5 USC 552a(k)(5), which provide, in part, that investigatory material compiled solely for the purpose of determining suitability, eligibility, or qualifications for Federal civilian employment, military service, Federal contracts, or access to classified information may be withheld from disclosure but only to the extent that the disclosure of such material would reveal the identity of a source who furnished information to the Government under an express promise that the identity of the source would be held in confidence, or, prior to the effective date of this section, under an implied promise that the identity of the source would be held in confidence.

Portions of this system of records may be exempt from disclosure under the provisions of 5 USC 552a(k)(7), which provide, in part, that evaluation material used to determine potential for promotion in the armed services may be withheld from disclosure but only to the extent that the disclosure of such material would reveal the identity of a source who furnished information to the Government under an express promise that the identity of a source would be held in confidence, or, prior to the effective date of this section, under an implied promise that the identity of the source would be held in confidence.

DOT/CG 626.

System name: Official Officer Service Records. DOT/CG.

System location: Department of Transportation (DOT),

United States Coast Guard(CG),
Commandant (G-PO),
400 7th Street, SW,
Washington, DC 20590.
Certain records in the system are maintained at:
National Personnel Records Center,
9700 Page Boulevard,
St. Louis, MO 63132.
Portions of the official service record, e.g., health record, security status jacket and leave record are maintained at the officer's unit.

Categories of individuals covered by the system: All Commissioned officers of the Coast Guard on active duty, permanent or disability retired lists.

Regular officers whonesign and do not accept a Reserve commission.

Categories of records in the system: General file & service record card.

Fitness File & Officer Summary Records.
Medical File.
Medical History for officers on the Temporary Disability Retired List.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Fulfillment of normal administrative procedures including assignment, promotion, training, etc.

Physical Evaluation Boards.
Board for Correction of Military Records.
Answering of Congressional and personal inquiries initiated by the individual whose record is concerned.
Preparation of forms, statements, compilations and computations necessary in the daily personnel administration of each individual entering, reentering or leaving the Coast Guard.
(Routine personnel administration requires copies of this and other service record material to be included in administrative files physically separated from the record; however, the original of this material will be included in the official service record maintained at Coast Guard Headquarters).
Furnishing of information (authorized and specified by the individual concerned) to other agencies or individuals (specified by the individual concerned) normally concerned with employment, educational or veteran's benefits, claims or applications.
Furnishing specified material in an officer's service record pursuant to the order of a court of competent jurisdiction.
Used by:
Authorized Coast Guard Personnel.
Personnel from other Federal Agencies in the conduct of official business, as authorized by the Chief, Officer Personnel Division or his designated representative.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained on paper and are assembled and filed in one official service record per member and stored on open shelf files in a room with controlled access.

Retrievability: Individual records are indexed and retrievable by name and/or last four digits of member's service number.

Safeguards: During working hours physical access to records is controlled by the Officer Records Branch (G-PO-4/72).

Records are maintained in a central storage area locked behind two separate doors during non-working hours in the building, which has roving and static security patrols.

Retention and disposal: Each individual record is maintained at Coast Guard Headquarters until three months after retirement/resignation, after which it is shipped to the:

National Personnel Records Center (Military Personnel Records),
9700 Page Boulevard,
St. Louis, MO 63132.

After the separation documents are received, records of Reserve Officers released from active duty and Regular Officers who resign and accept Reserve Commissions are sent to the Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

System manager(s) and address: Chief, Office of Personnel,
Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Inquiry requests for a determination as to whether this system contains records on an individual should be made by that individual, in person or in writing, to:

Department of Transportation,
United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.

Requestors in person will be required to show a valid I.D. card. Written request must include the member's name, rank, Social Security Number, and period of service.

Record access procedures: Procedures may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure' or the local activity to which assigned for locally maintained portions of subject records.

Proof of identity will be required prior to release of records. A military identification card, driver's license or similar document will be considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Personal interview and voluntary submissions by individuals.

Training/Educational Reports.
Fitness Reports.
USCG District Offices and other operating units of the Coast Guard.

Systems exempted from certain provisions of the act: Portions of this system of records may be exempt from disclosure under the provisions of 5USC 552a(k)(5), which provide, in part, that investigatory material compiled solely for the purpose of determining suitability, eligibility, or qualifications for Federal civilian employment, military service, Federal contracts, or access to classified information may be withheld from disclosure, but only to the extent that the disclosure of such material would reveal the identity of a source who furnished information to the Government under an express promise that the identity of the source would be held in confidence, or, prior to the effective date of this section, under an implied promise that the identity of the source would be held in confidence.

Portions of this system of records may be exempt from disclosure under the provisions of 5 USC 552a(k)(7), which provide, in part, that evaluation material used to determine potential for promotion in the armed services may be withheld from disclosure but only to the extent that the disclosure of such material would reveal the identity of a source who furnished information to the government under an express promise that the identity of the source would be held in confidence, or, prior to the effective date of this section, under an implied promise that the identity of the source would be held in confidence.

DOT/CG 627.

System name: Enlisted Recruiting Selection Record System. DOT/CG.

System location: Primary System:

Department of Transportation (DOT),
United States Coast Guard (CG),
Commandant (G-P),
400 7th Street, SW,
Washington, DC 20590.

Decentralized segments are maintained at:
Coast Guard District offices and Coast Guard recruiting offices. See Appendix I for locations.

Categories of individuals covered by the system: Records and correspondence pertaining to prospective applicants, applicants for regular and reserve enlisted programs, and any other individuals who have initiated correspondence pertaining to enlistment in the United States Coast Guard.

Categories of records in the system: Records and correspondence in both automated and non-automated forms concerning personal history, education, professional qualifications, mental aptitude, physical qualifications, character and interview appraisals, National Agency Checks and certifications, service performance and congressional or special interests.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Officials and employees of the U.S. Coast Guard in the performance of their duties in managing and contributing to the recruitment program of the Coast Guard Reserve.

The Comptroller General or any of his authorized representatives, upon request, in the course of the performance of duties of the General Accounting Office relating to the management or quality of military recruitment.

The Attorney General of the United States or his authorized representatives in connection with litigation, fraudulent enlistment or other matters under the jurisdiction of such agencies.

Officials and employees of other Departments and agencies of the Executive Branch of government, upon request, in the performance of their official duties related to the management or quality of military recruitment.

Officials and employees of the Veterans Administration and Selective Service System in the performance of their official duties related to enlistment and reenlistment eligibility and related benefits.

The Senate or the House of Representatives of the United States or any committee or subcommittee on matters within their jurisdiction requiring disclosure of files or records of personnel covered by this system.

Such contractors and their employees as are or may be operating in accordance with an approved official contract with the U.S. Government.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Automated records are stored on magnetic tape.

Paper records are stored in file folders.

Retrievability: Alphabetically by name of subject and social security number.

Safeguards: Records are accessible only to authorized personnel within the Coast Guard recruiting organization and are handled with security procedures appropriate for documents marked 'For Official Use Only'.

Retention and disposal: Records are normally maintained for two years and then disposed of by multilating, shredding, or burning.

System manager(s) and address: Chief, Office of Personnel,
Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,
United States Coast Gurad Headquarters,
Commandant (G-CMA),
400 7th Street, SW,

Washington, DC 20590.

Record access procedures: Procedures may be obtained by writing to, or visiting, Commandant (G-CMA) at the address in 'Notification Procedure' or the appropriate local office where the record, or portion there of, is filed.

Prior written notification is required to insure that records will be available at time of visit.

Proof of identity will be required prior to affording access to records. A military identification card, driver's license, or similar document, will be considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedure'.

Record source categories: Coast Guard recruiting personnel and administrative staff.

Medical personnel or private physicians providing consultations or patient history.
Character and employer references.
Educational institutions, staff and faculty members.
Selective Service System.
Local, state, and Federal law enforcement agencies.
Prior or current military service records.
Members of Congress.
Other officials and employees of the Coast Guard, Department of Defense and components thereof, in the performance of their duties and as specified by current instructions and regulations promulgated by competent authority.

Systems exempted from certain provisions of the act: Portions of this system of records may be exempt from disclosure under the provisions of 5 USC 552a(k)(5, which provide, in part, that investigatory material compiled solely for the purpose of determining suitability, eligibility, or qualification for Federal civilian employment, military service, Federal contracts, or access to classified information may be withheld from disclosure but only to the extent that the disclosure of such material would reveal the identity of a source who furnished information to the Government under an express promise that the identity of the source would be held in confidence or, prior to the effective date of this section, under an implied promise that the identity of the source would be held in confidence.

Portions of this system of records may be exempt from disclosure under the provisions of 5 USC 552a (k)(7), which provide, in part, that evaluation material used to determine for promotion in the armed services may be withheld from dis- closure but only to the extent that the disclosure of such material would reveal the identity of a source who furnished information to the Government under an express promise that the identity of a source would be held in confidence, or, prior to the effective date of this section, under an implied promise that the identity of the source would be held in confidence.

DOT/CG 628.

System name: Officer, Enlisted, and Recruiter Selection Test File. DOT/CG.

System location: Department of Transportation (DOT),

United States Coast Guard (CG),
Commandant (G-PMR),
400 7th Street, SW,
Washington, DC 20590.

Categories of individuals covered by the system: Civilian or military personnel who have taken the following tests:

U.S. Navy Officer Qualification (OQT).
U.S. Navy and U.S. Marine Corps Aviation Selection (AST).
U.S. Navy Basic Test Battery (BTB) (retests).
The Cooperative Tests for Advanced Electronic Training (AET TESTS).
The 16 Personality Factor Test used for screening of enlisted personnel for recruiting duty.
Professional Examination for Merchant Mariners.

Categories of records in the system: Card files, answer sheets.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The records are kept to provide the test results if an applicant (civilian or military) applies for an officer program or is already in military and interested in certain training programs.

Internal users:

Officer Recruiting, Enlisted Recruiting, Enlisted Personnel, Training Programs, Merchant Marine, Commanding Officer, Enlisted Personnel District, Personnel offices, Training Centers and Academy.
Other Government Agencies:
U.S. Navy Recruiting, U.S. Marine Corps, U.S. Navy Bureau of Medicine and Surgery.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders, case files.

Retrievability: By name.

Safeguards: Combination - type safe, locked files.

Test results are given only on a need to know basis to authorized personnel.
Only custodian of safes and alternate custodian have access.

Retention and disposal: Test answer sheets are destroyed after 2 years.

Card file - destroyed after 4 years.

System manager(s) and address: Chief, Office of Personnel,

Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,

United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.

Record access procedures: Procedures may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure.'

Proof of identity will be required prior to release of records. A military identification card, driver's license or similar document will be considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Individuals concerned and United States Coast Guard recruiting officials.

U.S. Marine Corps officials.
U.S. Navy Recruiting officials.
U.S. Navy Bureau of Medicine Surgery officials.

Systems exempted from certain provisions of the act: Portions of this system of records may be exempt from disclosure under the provisions of 5 USC 552(k)(6), which provides, in part, that testing or examination material used solely to determine individual qualifications for appointment or promotion in the Federal service may be withheld from disclosure to the extent that disclosure of these records would compromise the objectivity or fairness of the testing or examination process.

Portions of this system of records may be exempt from disclosure under the provisions of 5 USC 552a (k) (5), which provide, in part, that investigatory material compiled soley for the purpose of determining suitability, eligibility, or qualifications for Federal civilian employment, military service, Federal contracts, or access to classified information may be withheld from disclosure but only to the extent that the disclosure of such material would reveal the identity of a source who furnished information to the Government under an express promise that the identity of the source would be held in confidence or, prior to the effective date of this section, under an implied promise that the identity of the source would be held in confidence.

Portions of this system of records may be exempt from disclosure under the pro- visions of 5 USC 552a (k)(7), which provide, in part, that evaluation material used to determine potential for promotion in the armed services may be withheld from disclosure but only to the extent that the disclosure of such material would reveal the identity of a source who furnished informaton to the Government under an express promise that the identity of a source would be held in confidence, or, prior to the effective date of this section, under an implied promise that the identity of the source would be held in confidence.

DOT/CG 629.

System name: Enlisted Personnel Record System. DOT/CG.

System location: Department of Transportation (DOT),
United States Coast Guard (CG),
Commandant (G-PMR),
400 7th Street, SW,
Washington, DC 20590.
Decentralized segments of the system are located at each District office and Headquarters Unit. See Appendix I for locations.

Categories of individuals covered by the system: All enlisted members of the Coast Guard now serving on active duty (including enlisted members of the Reserve on extended active duty), and members who have been temporarily or permanently retired or discharged.

Categories of records in the system: Enlisted contract package, record of emergency data, leave records, performance ratings, administrative remarks, medical records.
All other requisite Coast Guard personnel forms, and pertinent miscellaneous correspondence.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: For use in formulating all Coast Guard personnel actions including, but not limited to, assignment, promotion, re-enlistment, retirement, discharge, determination of entitlement to pay and allowances, correction of records, and disciplinary actions.
Data is provided to the Veterans Administration for determination of an individual's eligibility for benefits administered by that agency and to medical facilities maintained by the Department of Health, Education and Welfare in conjunction with medical treatment afforded an individual.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in enlisted files record jackets and stored in file cabinets.

Retrievability: Records are retrievable by name of individual or the last three digits of the individual's social security number.

Safeguards: Records maintained at Coast Guard Headquarters are located in a central storage area, locked behind two separate doors during non-working hours, in a building with a roving security patrol.
Records at field units are maintained in Government office buildings with off-duty hours security.
During working hours, access to records is controlled by office personnel.

Retention and disposal: Individual records are maintained at CG Headquarters until six months after an enlisted member is discharged, permanently retired for physical disability, or retired for years of service, after which records are transmitted for permanent storage to the:
National Personnel Records Center, (Military Personnel Records) GSA,
9700 Page Boulevard,
St. Louis, MO 63132.
In the case of members transferred to the Reserve, their records are sent to Commandant (G-RA-2/82) after separation documents are received.

System manager(s) and address: Chief, Office of Personnel,
Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,
United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW.,
Washington, DC 20590.
Written request must be signed by individual.

Record access procedures: Procedures may be obtained by writing to, or visiting, Commandant (G-CMA) at the address in 'Notification Procedure' or the local Coast Guard District or unit administrative officer for the area in which an individual's duty station is located.
Proof of identity will be required prior to affording an individual access to records. A military identification card, a driver's license, or similar document will be considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedure'.

Record source categories: Information is obtained from the individual, and Coast Guard Officials.

Systems exempted from certain provisions of the act: Portions of this system of records may be exempt from disclosure under the provisions of 5 USC 552a(k)(5), which provide in part that investigatory material compiled solely for the purpose of determining suitability, eligibility, or qualifications for Federal civilian employment, military service, Federal contracts, or access to classified information may be withheld from disclosure but only to the extent that the disclosure of such material would reveal the identity of a source who furnished information to the Government under an express promise that the identity of the source would be held in confidence or, prior to the effective date of this section under an implied promise that the identity of the source would be held in confidence.
Portions of this system of records may be exempt from disclosure under the provisions of 5 USC 552a(k)(7), which provide, in part, that evaluation material used to determine potential for promotion in the armed services may be withheld from disclosure but only to the extent that the disclosure of such material would reveal the identity of a source who furnished information to the Government under an express promise that the identity of the source would be held in confidence, or, prior to the effective date of this section, under an implied promise that the identity of the source would be held in confidence.

DOT/CG 630.

System name: Coast Guard Family Housing DOT/CG

System location: Department of Transportation (DOT),
United States Coast Guard (CG),
Commandant (G-P),
400 7th Street, SW,
Washington, DC 20590.
Decentralized segments are maintained at
Each District and Headquarters Unit. See Appendix I for locations.

Categories of individuals covered by the system: Military and civilian personnel of all pay grades who make application for government and/or government leased housing
Military personnel who make application in locating community housing, married officers and married Petty Officer Third Class or above (over 2 years service).
Certain government employees occupying government housing.
Military or civilian personnel who have corresponded with the President, a Congressman, or the Commandant concerning family housing.

Categories of records in the system: Applicants name, pay grade, marital status, current address and dependent information maintained for the Coast Guard Housing Administration Information and Liaison (HAIL) System.
Includes family housing survey; computer data summaries are maintained for the family housing survey
Copies of correspondence from individual to the President, a Congressman or the Commandant, inquiry sheets, and replies maintained for Congressional correspondence files

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: For use by authorized Coast Guard employees in performance of their duties in placing the applicant in government owned or leased housing or community housing.
Assessing housing needs of District and Headquarters Units.
Answering inquiries from individuals, Congressmen or the Commandant concerning family housing.
Preparing budgets.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders.

Retrievability: By name of individual, Coast Guard District and date received.

Safeguards: Records are maintained in locked file cabinets and desk file drawers.

Retention and disposal: Records are maintained until the applicant placed in housing and then destroyed.

Records concerning Congressional correspondence are maintained indefinitely.

System manager(s) and address: Chief, Office of Personnel, Department of Transportation,

United States Coast Guard Headquarters,
400 7th Street, SW.,
Washington, DC 20590.

Notification procedure: Department of Transportation,

United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.
Written request must be signed by individual whose record is being requested.

Record access procedures: Procedures may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure' or the local Coast Guard District Office. See address in Appendix I.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Information is obtained from applicants, individuals who complete family housing survey forms, initiate correspondence concerning family housing and Coast Guard Officials.

DOT/CG 632.

System name: Uniformed Services Identification and Privilege Card Record System. DOT/CG.

System location: Department of Transportation (DOT),

United States Coast Guard (CG),
Commandant (G-PS),
400 7th Street, SW,
Washington, DC 20590.

Categories of individuals covered by the system: Dependents of U.S. Coast Guard personnel (active, retired, reserve and deceased).

Former Coast Guard personnel who have been rated by the Veterans Administration as one-hundred percent disabled and their eligible dependents.

Categories of records in the system: Applications for Uniformed Service Identification and Privilege Card (DD-1172).

Verification for eligibility to possess the Identification and Privilege Cards (DD-1173).
Pertinent miscellaneous correspondence.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by authorized Coast Guard employees to verify that applicant is entitled to be issued an Identification and Privilege Card.

Verification provided to other Armed Forces authorized personnel as required.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in file folders.

Retrievability: Alphabetical by name.

Safeguards: Maintained in file cabinets. During working hours access to records is controlled by office personnel. During non-working hours building is patrolled by roving security guards.

Retention and disposal: Retained for 10 years after which they are destroyed.

System manager(s) and address: Chief, Office of Personnel,

Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,

United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.
Written request must contain, full name, social security number (SSN) and be signed by individual.

Record access procedures: Procedures may be obtained by writing to, or visiting, Commandant (G-CMA) at the address in 'Notification Procedure.'

Proof of identity will be required prior to affording an individual access to records. A military identification card, a driver's license, or similar document will be considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Information is supplied by the sponsor and/or his dependents.

DOT/CG 633.

System name: Coast Guard Personnel Security Program. DOT/CG.

System location: Department of Transportation (DOT),

United States Coast Guard (CG),
Commandant (G-PS/6),
400 7th Street, SW,
Washington, DC 20590.
Decentralized segments are located at:
Each District Office and Headquarters Unit. See Appendix I for locations.

Categories of individuals covered by the system: Coast Guard Military Personnel.

Coast Guard Civilian Personnel.
Applicants for military enlistment or appointment.
Applicants for civilian positions.

Categories of records in the system: Records of security clearance granted. Correspondence and requests concerning personnel security actions.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: For use in determining eligibility for access to classified information under Executive Order 11652 and suitability for sensitive positions.

Categories of users include use by Coast Guard employees in performance of official duties and use by investigative agents of other federal agencies.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folder - 3x5 Index cards.

Retrievability: Records are retrieved by name of individual.

Safeguards: Records are kept in locked cabinets and safes. Individual identification is required for users of records.

Retention and disposal: Upon termination of employment investigative files for civilians, which serve as a basis for security clearances, are returned to the Civil Service Commission.

Military clearances based on documents held in the official service records.
A name record of type of investigation is kept for 5 years and then destroyed by burning.

System manager(s) and address: Chief, Office of Personnel,

Department of Transportation,
United States Coast Guard Headquartetrs,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,

United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.
Written request must be signed by the individual whose record is being requested.

Record access procedures: Procedures may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure' or the local office or unit. See addresses in Appendix I.

Proof of identity will be required prior to affording an individual access to records. A military identification card, a driver's license, or similar document will be considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Civilian Personnel:

Civil Service Investigative Reports, Personnel Security Clearance requests and forms SF-85, SF-86 and SF-171.
Military Personnel: Forms DD-398, DD-365 and CG 2765.

Systems exempted from certain provisions of the act: Portions of this system of records may be exempt from disclosure under the provisions of 5 USC 552a(k)(5), which provide, in part, that investigatory material compiled solely for the purpose of determining suitability, eligibility, or qualifications for Federal civilian employment, military service, Federal contracts, or access to classified information may be withheld from disclosure but only to the extent that the disclosure of such material would reveal the identity of a source who furnished information to the Government under an express promise that the identity of the source would be held in confidence, or, prior to the effective date of this section, under an implied promise that the identity of the source would be held in confidence.

Portions of this system of records may be exempt from disclosure under the provisions of 5 USC 552a (k) (7), which provide, in part, that evaluation material used to determine potential for promotion in the armed services may be withheld from disclosure but only to the extent that the disclosure of such material would reveal the identity of a source who furnished information to the Government under an express promise that the identity of a source would be held in confidence, or, prior to the effective date of this section, under an implied promise that the identity of the source would be held in confidence.

DOT/CG 635.

System name: Coast Guard Welfare. DOT/CG.

System location: Department of Transportation (DOT),

United States Coast Guard (CG),
Commandant (G-PS-3),
400 7th Street, SW,
Washington, DC 20590.
Decentralized segments are located at:
Each District Office or Headquarters Unit. See Appendix I for locations.

Categories of individuals covered by the system: Enlisted military personnel (active duty and retired) and dependents.

Officer personnel (active duty and retired) and dependents.

Categories of records in the system: Card Index.

Loan applications forms filed by month.
Educational loan file.
Organization financial records.
Bad debts listing.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by authorized Coast Guard officials in approving loan and grant applications.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Index boxes and file folders.

Retrievability: By name.

Safeguards: Maintained in separate correspondence files.

All correspondence regarding an applicant is considered priviledged and personnel are screened prior to access.
No loan records or correspondence are placed in service record of individual.
Periodic inspections are performed.

Retention and disposal: Administrative and financial data relating to CG Welfare Cases are disposed of four years after date of last transaction.

All other records and correspondence are disposed of after four years.

System manager(s) and address: Chief, Office of Personnel,

Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,

United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.
Written requests must be signed by individual whose record is being requested. Give date and place assistance is believed to have been received.

Record access procedures: Procedures may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure' or the local Coast Guard District office or unit for the area in which an individual's duty station is located. See Appendix I for addresses.

Proof of identity will be required prior to affording an individual access to records. A military identification card, a driver's license, or similar document will be considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Individual requesting loan or grant.

DOT/CG 636.

System name: Personal Affairs Record System Coast Guard Military Personnel. DOT/CG.

System location: Department of Transportaion (DOT),

United States Coast Guard (CG), Commandant (G-PS),
400 7th Street, SW,
Washington, DC 20590.
Decentralized segments at:
Each District and Headquarters Unit. See Appendix I for locations.

Categories of individuals covered by the system: Active duty and retired Coast Guard military personnel who have been subject to damage arising out of domestic relations disputes, alleged personal indebtedness, and claims of alleged paternity.

Categories of records in the system: Case files containing complaint concerning alleged personal indebtedness, complaints arising out of domestic relations disputes, claims of alleged paternity.

Files contain correspondence including investigative steps, response to complaints and follow up correspondence on recurring complaints. Index card files contain summary of material contained in case file for each reference.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: For use in attempting to resolve complaints in an expeditious manner.

For reference in development of future policy.
Information is used by:
Authorized Coast Guard employees in performance of the duties.
Complainant and/or authorized representatives.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Case file and card index file.

Retrievability: Alphabetical listing.

Safeguards: Kept in locked filing cabinet.

Personnel are screened prior to granting access.

Retention and disposal: Maintained for 5 years after action completed and then destroyed.

System manager(s) and address: Chief, Office of Personnel,

Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,

United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.
Written requests must be signed by the individual whose record is being requested.

Record access procedures: Procedures may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure' or the local Coast Guard District Office or unit for the area in which an individual's duty station is located. See Appendix I for addresses.

Proof of identity will be required prior to affording an individual access to records. A military identification card, a driver's license or similar document will be considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Information is obtained from complainants, their legal representatives and Coast Guard officials.

DOT/CG 637.

System name: Appointment of Trustee or Guardian for Mentally Incompetent Personnel. DOT/CG.

System location: Department of Transportation (DOT),
United States Coast Guard Headquarters (CG),
Commandant (G-PS),
400 7th Street, SW,
Washington, DC 20590.
Decentralized segments are located at:
Each District and Headquarters Unit. See Appendix I for locations.

Categories of individuals covered by the system: Active duty and retired Coast Guard military personnel.

Categories of records in the system: Case files containing information relating to the mental incompetency of certain Coast Guard personnel.
Records used to assist Coast Guard Officials in appointing trustees for mentally incompetent Coast Guard persons.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Authorized Coast Guard employees in performance of their duties, prospective appointees, including but not limited to relatives, lawyers, physicians or other designated respresentatives.
Veterans Administration upon request for the determination of eligibility for benefits administered by that agency.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Locked file cabinet.

Retrievability: Alphabetical listing.

Safeguards: Stored in locked file cabinets.
Acess restricted to representatives of incompetent.
Identification required, such as, a military identification card, valid state driver's license, or other picture identification card.

Retention and disposal: Maintained for 5 years after action is completed then destroyed.

System manager(s) and address: Chief, Office of Personnel,
Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,
United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW.,
Washington, DC 20590.
Written request must be signed by trustee or guardian.

Record access procedures: Procedures may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure' or the local Coast Guard District office or unit having custody of the records. See Appendix I for addresses.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Coast Guard officials, legal representatives of individuals and/or individuals concerned, and complainants.

DOT/CG 638.

System name: Drug and Alcohol Abuse Prevention Program Record System. DOT/CG.

System location: Department of Transportation (DOT),
United States Coast Guard (CG),
Commandant (G-PS),
400 7th Street, SW,
Washington, DC 20590.
All Coast Guard units have portions of duplicate case folders on individuals involved in the program. See Appendix I for addresses.

Categories of individuals covered by the system: Active duty Coast Guard officers, enlisted personnel and reserve personnel.
Retired and separated Coast Guard personnel.

Categories of records in the system: Annotations on file cards of drug abuse, drug exemptions, drug rehabilitation, alcohol rehabilitation and waiver for enlistment in the Coast Guard cases.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used to:
Determine extent of drug and alcohol problem in the Coast Guard.
To evaluate program effectiveness.
Maintain the integrity of the Coast Guard drug exemption program by authorized Coast Guard employees in performance of their official duties.
Assist the U.S. Navy Department in consonance with inpatient treatment at Navy Rehabilitation Centers.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained on file cards (5'x8') and message file.

Retrievability: File cards are indexed by name; message file by date/time group.

Safeguards: Files are stamped 'For Official Use Only' and maintained in locked filing cabinet.

Retention and disposal: Disposal schedules are under development and will be in accord with disposal schedules of the Military Personnel Record System.

System manager(s) and address: Chief, Office of Personnel,
Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,
United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.
Written request must be signed by individual.

Record access procedures: Procedures may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure' or the local Coast Guard District or unit office for the area in which an individual's duty station is located. See Appendix I for addresses.
Proof of identity will be required prior to affording an individual access to records. A military identification card, a driver's license, or similar document will be considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Reports of drug exemption.
Reports of civil and military offenses involving drugs or alcohol.
Reports of Coast Guard Intelligence Investigation.
Recommendation for inpatient drug or alcohol rehabilitation.
From individual personnel, medical, and security records.

DOT/CG 639.

System name: Request for Remission of Indebtedness. DOT/CG.

System location: Department of Transportation (DOT),
United States Coast Guard (CG),
Commandant (G-PS),
400 7th Street, SW,
Washington, DC 20590.
Decentralized segments are located at:
Each District and Headquarters Unit. See Appendix I for locations.

Categories of individuals covered by the system: Active Duty Enlisted Coast Guard Personnel.

Categories of records in the system: Files containing correspondence, requests with endorsements, research material, paneling action, Commandant's decisions.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: For use in making determinations based on the best interests of the individual and the Government.
Categories of users are Coast Guard Officials in performance of their official duties.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Locked filing cabinets.

Retrievability: Alphabetical listing.

Safeguards: Locked filing cabinets.

Retention and disposal: Retained for 5 years after decision is made, then destroyed.

System manager(s) and address: Chief, Office of Personnel,
Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,
United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.
Written requests must be signed by individual.

Record access procedures: Procedures may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure' or the local Coast Guard District or unit for the area in which an individual's duty station is located.

Proof of identity will be required prior to affording an individual access to records. A military identification card, a driver's license or similar document will be considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Information is obtained from individual and Coast Guard Officials.

DOT/CG 640.

System name: Outside Employment of Active Duty Coast Guard Personnel. DOT/CG.

System location: Department of Transportation (DOT),
United States Coast Guard (CG),
Commandant (G-PS),
400 7th Street, SW,
Washington, DC 20590.
Decentralized segments are located at:
Each District Office and Headquarters Unit. See Appendix I for locations.

Categories of individuals covered by the system: Active Duty and Reserve Coast Guard Personnel.

Categories of records in the system: Correspondence relating to individual's request for part time employment.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: For use by Coast Guard officials in determining in questionable cases whether an individual should hold a particular job or position. Both the legality and the propriety of the request are considered.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Locked filing cabinet.

Retrievability: Alphabetical listing.

Safeguards: Kept in locked filing cabinet.

Access restricted to individuals who request outside employment, and authorized Coast Guard officials. Proper identification is required.

Retention and disposal: Retained indefinitely.

System manager(s) and address: Chief, Office of Personnel,
Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,
United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.
Written requests must be signed by the individual whose record(s) is being requested.

Record access procedures: Procedures may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure' above or the local Coast Guard District Office or unit for the area in which an individual's duty station is located. See addresses in Appendix I.

Proof of identity will be required, prior to affording an individual access to records. A military identification card, a driver's license or similar document will be considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Information is obtained from the individual and Coast Guard officials.

DOT/CG 671.

System name: Biographical Statement. DOT/CG.

System location: Department of Transportation (DOT),
United States Coast Guard (CG),
Commandant (G-A),
400 7th Street, SW,
Washington, DC 20590.

Categories of individuals covered by the system: Key DOT officials, USCG officers.

Categories of records in the system: Individual biographical data.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Public Affairs Staff - uses records for publicity.

Personnel Office - uses records for promotion.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper forms and correspondence are stored in filing cabinets.

Retrievability: By name.

Safeguards: Personnel are screened prior to granting access.

Stored in building having roving security guards during non-working hours.

Retention and disposal: Transferred to historical file upon termination of active duty.

System manager(s) and address:
Chief, Office of Public and International Affairs,
Department of Transportation,
U. S. Coast Guard Headquarters
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,
United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590. Written request must be signed by individual.

Record access procedures: Procedures may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure.'

Proof of identity will be required prior to granting access. A military identification card, drivers license, or similar document will be considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedure'.

Record source categories: Individual named in file.

DOT/CG 676.

System name: Official Coast Guard Reserve Service Record. DOT/CG.

System location: Department of Transportation (DOT),
United States Coast Guard (CG),
Commandant (G-RA),
400 7th Street, SW,
Washington, DC 20590. For official records on discharged, retired, and separated former members:
General Services Administration (GSA),
National Personnel Records Center (Military Personnel Records),
9700 Page Boulevard,
St. Louis, MO 63132.

Decentralized segments are located at:
Each Coast Guard District Reserve office (for District records).

Categories of individuals covered by the system: Reserve officer and enlisted personnel (not on extended active duty) in an active, inactive, retired, discharged, separated or former member status; including those Reservists released from extended active duty to fulfill a specified term of obligated inactive reserve service.

Enrolled and disenrolled members of the Temporary Coast Guard Reserve.

Categories of records in the system: Official career history of each Reservist including (as applicable):

Enlistment contract or Oath of Office.
Record of Emergency Data.
Training Courses/Educational achievements.
Rate/Grade/Rating qualifications.
Leave record.
Performance of duty marks/fitness reports.
Medals and commendations.
Record of sea duty/duty outside continental United States.
Statements of creditable and former service (including pay base date).
Statements and computations of retirement points.
Official orders.
Correspondence pertaining to any/all of the above.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses:

Fulfilment of normal administrative personnel procedures, including examining and screening for completeness and accuracy of records and correspondence pertaining thereto.
Screening of service records for advancement, promotion, or retention of individual Reservists by various Reserve Boards.
Answering of Congressional and personal inquiries initiated by the individual whose record is concerned.
Preparation of forms, statements, compilations and computations necessary in the daily personnel administration of each individual entering, reentering or leaving the Coast Guard Reserve. Routine personnel administration requires copies of this and other service record material to be included in administrative files physically separated from the record; however, the original copy of this material will be included in the official service record maintained at Coast Guard Headquarters.
Furnishing of information (authorized and specified by the individual concerned) to other agencies or individuals (specified by the individual concerned) normally concerned with employment, educational or Veterans benefits, claims, or applications.
Furnishing specified material in a Reservist's service record pursuant to the order of a court of competent jurisdiction.
Used by:
Individual upon whom records are kept (personal review).
File clerks and personnel from the Office of Reserve, Administration, Training, and Programs Divisions in the normal performance of their duties.
Other personnel within the Coast Guard in the normal performance of their duties, as authorized by the Chief, Reserve Administration Division or his designated representative.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records maintained on paper assembled and filed in one official service record per member.

Retrievability: Individual Service Records are indexed and retrievable by name and/or triple terminal digit of member's service number.

Safeguards: Service records are maintained in a central storage area locked behind two separate doors.

During non-working hours the building security consists of roving and static security patrols.
During working hours physical access to records is controlled by Records Control Branch personnel.

Retention and disposal: Individual records are maintained at CG Headquarters until six months after an enlisted member's separation from the service (three months for officers), after which it is transmitted for permanent storage to the:

Military Personnel Record Center (MPRC)
National Personnel Records Center (NPRC),
9700 Page Boulevard,
St. Louis, MO 63132.
In the case of retired members, the service record is shipped to NPRC upon retirement.

System manager(s) and address:

Chief, Office of Reserve (G-R),
Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street SW,
Washington, DC 20590.

Notification procedure: Inquiry requests for determination whether this system contains records on an individual should be made by that individual, in person or in writing to:

Department of Transportation,
united States Cost Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.
Written requests must be signed by the individual and must include the member's name, social security number and/or reserve number.

Record access procedures: Procedures for access may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure' or the District Office in which an individual's duty station is located.

Proof of identity will be required prior to affording an individual access (may consist of a military identification, driver's license, or other suitable identification.)

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Information contained in a member's service record is obtained from the individual concerned, CG Headquarters, District offices and other CG units.

Systems exempted from certain provisions of the act: Portions of this system of records may be exempt from disclosure under the provisions of 5 USC 552a(k) (5), which provide, in part, that investigatory material compiled solely for the purpose of determining suitability, eligibility, or qualifications for Federal civilian employment, military service, Federal contracts, or access to classified information may be withheld from disclosure but only to the extent that the disclosure of such material would reveal the identity of a source who furnished information to the Government under an express promise that the identity of the source would be held in confidence, or, prior to the effective date of this section, under an implied promise that the identity of the source would be held in confidence.

Portions of this system of records may be exempt from disclosure under the provisions of 5 USC 552a (k) (7), which provides, in part, that evaluation material used to determine potential for promotion in the armed services may be withheld from disclosure but only to the extent that the disclosure of such material would reveal the identity of a source who furnished information to the Government under an express promise that the identity of the source would be held in confidence, or, prior to the effective date of this section, under an implied promise that the identity of the source would be held in confidence.
Portions of this system of records may be exempt from disclosure under the provisions of 5 USC 552 (k) (6), which provides, in part, that testing or examination material used soley to determine individual qualifications for appointment or promotion in the Federal service may be withheld from disclosure to the extent that disclosure of these records would compromise the objectivity or fairness of the testing or examination process.

DOT/CG 677.

System name: Coast Guard Reserve Personnel Mobilization System, DOT/CG.

System location: Department of Transportation, (DOT),

United States Coast Guard (CG),
Commandant (G-RP/82),
400 7th Street, SW,
Washington, DC 20590.
Commander Reserve in each Coast Guard District Office (except 17th).
Each District and Headquarters Unit.

See Appendix I for locations.

Categories of individuals covered by the system: Reserve officer and enlisted personnel (not on extended active duty) in an Active or Retired status; including those Reservists released from extended active duty to fulfill a specified term of obligated inactive Reserve service.

Categories of records in the system:

Mobilization and qualification cards and orders.
Initial, Annual, and Retired Screening and Qualification Questionaires.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Fulfillment of normal administrative procedures including the examining and screening for completeness and accuracy of records, correspondence pertaining thereto as a basis for assignment to active duty for training; special active duty for training or extended active duty and mobilization billets.

Users of these Records are Coast Guard employees in performance of official duties.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records maintained on paper, punched cards and magnetic tape

Retrievability: Individual Reservists are indexed by name and/or social security account number.

Safeguards: Safeguards and controls afforded this system of records are similar to those normally employed 'For Official Use Only' material, both at Headquarters and District Offices.

Records are maintained in locked secure areas when not in use and personnel screening is employed prior to granting access.

Retention and disposal: Individual records are maintained at CG Districts and at Headquarters throughout the members active Reserve status and during any period in a Retired Status thereafter (as applicable, and for as long as that member is considered a mobilizable resource).

A 'dead file' is often maintained for those Reservists transferred, discharged, or otherwise separated (this procedure varies from District to District.)
The majority of records in this system (in any form) are generally destroyed immediately after the expiration of their useful life, except those retained in the aforementioned 'dead files' (which are subsequently destroyed one year after placement in the file). The major exceptions to this policy are the Screening and Qualification Questionaires, which are filed in the Reservists District Service Record.
Records are destroyed by mutilating, shredding or burning.

System manager(s) and address:

Chief, Office of Reserve (G-R),
Department of Transportation,
United States Coast Guard Headquarters
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,
United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.
Written request must be signed by the individual.

Record access procedures: Individual should contact Commandant (G-CMA) in person or in writing at the address in 'Notification Procedure'.

For records maintained at the District, individual should contact the Commander (r) at the District to which the individual is assigned. Refer to Appendix I for addresses.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Information contained in this system of records is obtained from the following sources: the individual himself, CG Headquarters, and CG District Offices.

DOT/CG 678.

System name: Reserve Master Personnel File (Automated). DOT/CG.

System location:

Department of Transportation (DOT),
United States Coast Guard (CG),
Commandant (G-RA/82),
400 7th Street, SW,
Washington, DC 20590.

Categories of individuals covered by the system: Reserve officers and enlisted personnel in an active and inactive status, with the exception of retired reserve, including those reservists released from extended active duty to fulfill a specified term of inactive obligated service.

Categories of records in the system: Record of various personnel accounting data including: name, social security account number, service number, educational background, district and unit assignment and date assigned, status, date of birth, dates of enlistment, appointment, or extension, expiration of obligation, anniversary year, data on pay base date, aviation pay, training rating, reserve category and class, training/pay category, number of dependents, Federal witholding exemptions, Selective Service induction certification, completion of correspondence courses, Ready Reserve obligation, officer designator, last screening date, civilian occupation, date of last National Agency check, date last physical, immunization dates, date of completion of extended active duty, and annual training date.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Records used for reserve personnel administration purposes.

See Prefatory Statement of General Routine Uses.
Records are used by authorized Coast Guard employees in performance of official duties in maintaining the system through routine personnel transaction inputs, updates, and corrections.
Used by:
Personnel from the Office of Reserve Administration, Training, and Programs Divisions in the normal performance of their duties, as authorized by the Chief, Reserve Administration Division or his designated representative.
Personnel within Coast Guard Headquarters in the normal performance of their duties, as authorized by the Chief, Reserve Administration Division or his designated representative.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records maintained on magnetic tape.

Retrievability: Individuals in the system are indexed/retrieved by name and/or triple terminal digit of member's service number.

Safeguards: Magnetic tapes are stored in locked storage area when not in use and are accountable and accounted for at all times during actual use.

Personnel screening is employed prior to granting access.

Retention and disposal: Magnetic tapes are used, corrected and updated until the tape becomes physically deteriorated after which it is destroyed.

System manager(s) and address:

Chief, Office of Reserve (G-R),
Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Requests to determine whether this system contains information on any individual should be made in person or in writing to:

Department of Transportation,
United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.
Written request must be signed by individual.

Record access procedures: Procedure may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure' above or the local Coast Guard District for the area where individuals duty station is located. See Appendix I for addresses.

Proof of identity will be required prior to affording an individual access to records. A military identification card, a driver's license or similar document will be considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Information contained in a member's service record is obtained from the following sources: the individual himself, CG Headquarters and CG District Offices and various operating units of the Coast Guard.

DOT/CG 679.

System name: Reserve Pay and Points System (Automated). DOT/CG

System location: Department of Transportation (DOT),
United States Coast Guard (CG),
Commandant (G-RA),
400 7th Street, SW,
Washington, DC 20590.

Categories of individuals covered by the system: Reserve officer and enlisted personnel (not on extended active duty) in an active status.

Categories of records in the system: System includes name, rate/grade, social security account number, total retirement points and satisfactory years of service for retirement purposes, retirement point accounting data, including inactive duty training and active duty training participation, taxable wages and witholding data, anniversary dates, uniform allowances and Servicemans Group Life Insurance (SGLI) information.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Computation and compilation of Form CG-4175, Coast Guard Reserve Annual or Terminal Statement of Retirement Points.

Various listings and print-outs requested from the master file including Reserve Pay/Point System Points Issued Report, Special Point Statement, Pay and Point Master Record (Inquiry), 20 Satisfactory Year letter listing, and various exception listings.

Routine personnel transaction inputs, updates, and corrections are made, as necessary, by District and Headquarters personnel who maintain the system.

Used for general Reserve personnel administration.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records maintained on magnetic tape stored in magnetic tape library.

Retrievability: Individuals in the system are indexed/retrieved by name and/or triple terminal digit of member's service number.

Safeguards: Only authorized personnel from the Office of Reserve are allowed access to tapes.

Magnetic tapes are stored in locked storage area when not in use and are accountable and accounted for at all times during actual use.

Retention and disposal: Magnetic tapes are used, corrected and updated until the tape becomes physically deteriorated after which it is destroyed.

System manager(s) and address: Chief, Office of Reserve,
Department, of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Inquiry requests for determination whether this system contains records on an individual should be made by that individual in writing to:
Department of Transportation,
United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.
Requests in writing must include the member's name, Social Security Number and/or service number.

Record access procedures: Procedures for access to records may be obtained by writing to or visiting (G-CMA) at the address in 'Notification Procedure.'

Prior written notification of personal visit is required to insure that the record will be available at the time of visit.

Proof of identity will be required prior to release of records. A military identification or similiar document will be considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedure.' If a satisfactory solution/correction is not achieved the subject Reservist may address his case to the:
Board for Correction of Military Records,
U.S. Coast Guard,
Commandant (G-L-2/81),
4007th Street, SW,
Washington, DC 20590; which is the final appellate board in these matters.

Record source categories: Information contained in a member's service record is obtained from the following sources: the individual himself, CG Headquarters and CG District Offices and various operating units of the Coast Guard.

DOT/CG 686.

System name: Coast Guard Motor Vehicle Operator Permit. DOT/CG.

System location: Department of Transportation (Dot0,
United States Coast Guard (CG),
System is maintained at: District and Headquarters Unit Safety Offices.
See Appendix I for locations.

Categories of individuals covered by the system: Licensed Coast Guard employees.

Categories of records in the system: Statement of driving history and drive test results.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by authorized Coast Guard Officials for licensing Coast Guard employees to enable them to drive on Coast Guard property.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Applications (CG 3308) are stored in file cabinets.

Retrievability: Retrieved by name/service number.

Safeguards: Locked files.

Retention and disposal: 5 years.

System manager(s) and address: Chief, Safety Programs Division,
Department of Tranportation,
U.S. Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Contact:
Department of Transportation,
United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.
Written request must be signed by the individual.

Record access procedures: Procedures may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure' or the local Coast Guard District or unit office for the area in which duty station is located.

Proof of identity will be required prior to granting access. A military identification card, driver's license or similiar document is considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Individual submission, accident experience, and driver's examination.

DOT/CG 691.

System name: Master Chief Petty Officer of the Coast Guard Individual Grievance and Correspondence File. DOT/CG.

System location: Department of Transportation (DOT),
United States Coast Guard (CG),
Commandant (G-CMCPO),
400 7th Street, SW,
Washington, DC 20590.
System is also maintained at:
Each District and Headquarters Unit which has a Senior Enlisted Advisor assigned. See Appendix I for addresses

Categories of individuals covered by the system: Enlisted Coast Guard Personnel who have filed a grievance or requested informa-

tion through the Master Chief Petty Officer of the Coast Guard (at Headquarters) or the Senior Enlisted Advisors at field units.

Categories of records in the system: Correspondence and other related information compiled during the process of resolving alleged grievance.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Master Chief Petty Officer, Commandant/Vice Commandant, Special Assistant, Administrative Aide and other authorized officials, to resolve enlisted personnel problems and inquiries.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders in locked file cabinet.

Retrievability: By name.

Safeguards: Locked room and file cabinets.

Screening of personnel prior to granting access.

Retention and disposal: Kept until individual is discharged or retired at which time the records are destroyed by mutilating, shredding and burning.

System manager(s) and address: Master Chief Petty Officer of the Coast Guard,

Department of Transportation,
United States Coast Guard Headquarters,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure:

Department of Transportation,
United States Coast Guard Headquarters,
Commandant (G-CMA),
400 7th Street, SW,
Washington, DC 20590.
Written requests must be signed by the individual.

Record access procedures: Procedures may be obtained by writing to or visiting Commandant (G-CMA) at the address in 'Notification Procedure' above or the local activity where the complaint was filed. See Appendix I for addresses.

Prior written notification of personnel visits is required to insure that the records will be available at the time of visit.
Proof of identity will be required prior to release of records. A military identification card, driver's license or similiar document will be considered suitable identification.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Information received from individuals and Coast Guard Units.

DOT/FAA 800.

System name: Aviation Medical Certification System. DOT/FAA.

System location: Records are located at:

Department of Transportation (DOT),
Federal Aviation Administration(FAA),
Aeronautical Center,
Aeromedical Certification Branch,
Oklahoma City, OK 73125.
Regional Flight Surgeon Offices in all regional headquarters.
And
Office of Aviation Medicine,
Aeromedical Standards Division,
800 Independence Avenue, SW,
Washington, DC 20591.

Categories of individuals covered by the system: Current certificated airmen, airmen whose certificates have expired, airmen rejected for medical certification, airmen with special certification, airmen who are deceased and others requiring medical certification.

Controllers in Centers, Terminals and Flight Service Stations, and applicants for these positions.

Categories of records in the system: This system consists of a variety of records that are required to determine the physical condition of an individual with respect to the medical standards established by the FAA.

These files contain medical examinations by an Aviation Medical Examiner, X-Rays, EKG's, lab reports, hospital records, treating or examining physician reports, consultant reports, copies of correspondence, worksheets, summaries, petitions for review by the National Transportation Safety Board, medical exemption records, letters of appeals for exemptions, medical history tapes, microfilm EKG's, computer listings and locator name cards.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Information in these records is used or may be used:

By agency officials for the purpose of determining eligibility for airmen medical certification.
By agency officials for purposes of review in connection with appeals and petitions for waiver of medical standards.
By agency officials for purpose of reviewing applicants request for FAA employment that require medical certifications, such as air traffic controllers, FAA pilots, etc.
To provide data for the Automated Medical Certification data base.
To provide data for the Automated Comprehensive Airman Information System. (CAIS)
To provide statistical reports for internal use, to Congress, other Federal agencies and the public on the characteristics of the pilot population.
To provide information for Federal, state and local agencies maintaining civil, criminal or other relevant information or other pertinent operational purposes, such as validating airman qualification by supplying relevant information to an agency concerning the hiring or retention of an employee or the issuance of a grant or other benefit.
To refer where there is an indication of a violation, or potential violation of law, whether civil, criminal, or regulatory in nature, to the appropriate agency whether Federal, state or local charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation, or order issued pursuant thereto.
As a data source for management information for production of summary descriptive statistics and analytical studies in support of the function for which the records are collected and maintained.
To respond to general requests for statistical information under the Freedom of Information Act, or to locate specific individuals for a variety of personnel management functions.
To provide data to the Automated Medical History tape system.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File cabinets, punch cards, and magnetic tape files.

Retrievability: Records are indexed by name, date of birth, and sex.

Special cases are indexed and retrieved by Pathological number.

Safeguards: Records are maintained in steel file cabinets and electrievers which are locked when not in use.

All files are maintained in a secured work room limited to those whose duties require access.
Tape and punch cards are maintained in secured computer center. When not in use, tapes are stored in fire proof tape libary within the center; punch cards are stored in steel file cabinets in work area.

Retention and disposal: Medical Examination paper records are retained for three years. The last two examination records are retained on magnetic tape. As a new record is received, the oldest record is put on to the history file.

Locator name cards are kept two years and then printed out as a computer listing which is destroyed after the listings are microfilmed.
Pathological records are sent to the Federal Records Center in Fort Worth after five years of inactivity and destroyed after fifty years. Magnetic tapes are retained.

System manager(s) and address: Records from Master Files:

Chief, Aeromedical Certification Branch,
Aeronautical Center,
Oklahoma City, OK 73125.
Records from Regional Files: Regional Flight Surgeon within Region where examination was conducted.

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegate at the following address:

Department of Transportation,
Federal Aviation Administration,
Office of the Administrator,
800 Independence Avenue, SW,
Washington, DC 20591.

Record source categories: Information is obtained from Aviation Medical Examiners, individuals themselves, consultants, hospitals, treating or examining physicians, other government agencies, tests taken by individual, special studies such as blood test, and in some rare cases, records supplied by other persons or agencies.

DOT/FAA 801.

System name: Aircraft Registration System. DOT/FAA.

System location: Department of Transportation (DOT),
Federal Aviation Administration (FAA),
Aeronautical Center,
Oklahoma City, OK 73125.
Portions of these records are located in:
General Aviation District Offices (GADO's).
Air Carrier District Offices (ACDO's).
Flight Standards District Offices (FSDO's).
(See FAA National Field Directory, 1000.23F, for locations).

Categories of individuals covered by the system: This system identifies aircraft owners, partners, corporations, lien holders, operators, and lessees.

Categories of records in the system: This system consists of a series of records that identify:

Aircraft types.
Current certification status and ownership of registered aircraft.
Aircraft to be registered or aircraft that have been registered and are now temporarily deregistered.
These records contain information about:
N - Number assignment.
Airworthiness of aircraft.
Bills of sale.
Appications for certification of amateur aircraft.
Major repairs and alterations maintenance inspection forms.
Revalidation and use forms:
Lien documents and collateral documents.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To determine that aircraft are registered in accordance with the provisions of the Federal Aviation Act of 1958.

To support investigative efforts of investigative and law enforcement agencies of Federal, state, and foreign governments.
To serve as a repository of legal documents used by individuals and title search companies to determine the legal ownership of an aircraft.
To provide aircraft owners and operators information about potential mechanical defects or unsafe conditions of their aircraft in the form of airworthiness directives.
To provide supporting information in court cases concerning liability of individual in law suits.
To serve as a data source for management information for production of summary descriptive statistics and analytical studies in support of agency functions for which the records are collected and maintained.
To respond to general requests from the aviation community or the public for statistical information under the Freedom of Information Act or to locate specific individuals or specific aircraft for accident investigation, violation, or other safety related requirements.
To provide data for the automated aircraft registration master file.
To provide documents for microfiche backup records.
To provide data for development of the aircraft registration statistical system.
To prepare an Aircraft Registry in magnetic tape and publication form required by ICAO agreement containing information on aircraft owners by name, address, N-Number, type of aircraft, total calendar year flight hours, primary use, avionics capability and base airport used for internal FAA safety program purposes and also available to the public (individuals, aviation organizations, direct mail advertisers, State and local governments, etc.) upon payment of user charges reimbursing the Federal Government for its costs.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in file folders, magnetic tape, punch cards, and on microfiche.

Retrievability: Records are filed by aircraft N-Number, but may be and are quite frequently retrieved by name of the individual aircraft owners or operators.

Safeguards: Records are stored in open shelves in a room that is open only to authorized employees and by special permission.

Magnetic tapes are maintained in secure data processing area accessed by pass only.
Backup copies of microfiche are kept in a fire proof vault and storage room.

Retention and disposal: Punch cards are kept on file in working area, destroyed after magnetic tape is complete.

Microfiche is retained indefinitely.
Aircraft registration files are retained until deregistered, held in N-Number file system for two years, then sent to storage in Fort Worth Federal Records Center. Destruction is not authorized.

System manager(s) and address: For official agency records, contact:

Chief, Aircraft Registration Branch,
Aeronautical Center,
Oklahoma City, OK 73125.
Chief of the nearest GADO, ACDO, or FSDO for records held in those offices.
(See FAA National Field Directory, 1000.23F, for addresses).

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegate at the following address:

Department of Transportation,
Federal Aviation Administration,
Office of the Administrator,
800 Independence Avenue, SW,
Washington, DC 20591.

Record source categories: Information is collected from individuals, manufacturer's of aircraft, maintenance inspectors, mechanics, and FAA officials.

DOT/FAA 802.

System name: Airman Certification System. DOT/FAA.

System location: Department of Transportation (DOT),
Federal Aviation Administration (FAA),
Aeronautical Center,
Airman Certification Branch,
Oklahoma City, OK 73125.
Copies of temporary files are maintained in the originating General Aviation District Office(GADO), Air Carrier District Office (ACDO), or Flight Standards District Office (FSDO) until required action or certification is completed. (See the FAA National Field Directory, 1000.23F, for locations).

Categories of individuals covered by the system: Individuals seeking to be certified, airmen seeking additional certifications or additional ratings, individuals denied certification, airmen holding inactive certificates, airmen who have had certificate revoked, and flight attendants engaged in international air transport.

Categories of records in the system: Application for certification; written examination, application for written examination, results of written test, application for inspection authority, certificates held, ratings, stop orders.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To determine that airmen are certified in accordance with the provisions of the Federal Aviation Act of 1958.

Repository of documents used by individual and potential employers to determine validity of airmen qualifications.
To support investigative efforts of investigation and law enforcement agencies of Federal, state and local governments.
Supporting information in court cases concerning individual status and/or qualifications in law suits.
To provide data for the Comprehensive Airman Information System (CAIS.)
To provide documents for microfilm and microfiche back up records.
To prepare an Airman Directory in magnetic tape and paper form containing information on airmen by name, address, and certificate status.
Used for internal FAA safety program purposes and also available to the public (individuals, aviation organizations, direct mail advertisers, State and local governments, etc.) upon payment of user charges reimbursing the Federal Government for its costs.
To verify U.S. citizenship, certify qualified applicants, and provide them with a crewmember certificate to be used in lieu of a passport in International Civil Aviation Organization member countries.
To answer inquiries regarding crewmember certification.
The U.S. Department of State uses these applications and any supporting documents to verify U.S. citizenship.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in microfilm, microfiche, magnetic disk, magnetic tape, file folders and notebook listings.

Retrievability: Records are maintained in alphabetic order cross referenced with social security number and airman number.

Safeguards: Records are stored in a data processing facility.

Data is retrieved via a terminal, which requires operation numbers and individual passwords.
Accessible only to limited numbers of authorized persons.
Back-up microfilm and microfiche are kept in locked vault.

Retention and disposal: Source documents are destroyed after being microfilmed and key punched.

Magnetic tapes and disks are being continually updated, destruction is not authorized.
Source document crewmember certifications are in storage at the Fort Worth Federal Records Center, destruction is not authorized.

System manager(s) and address: For official FAA Certification Records contact:

Chief, Airman Certification Branch,
Department of Transportation,
Federal Aviation Administration,
Aeronautical Center,
Oklahoma City, OK 73125.
Requests for assistance may be made to the originating GADO, ACDO, or FSDO.
(See the FAA National Field Directory, 1000.23F, for addresses).

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegate at the following address:

Department of Transportation,
Federal Aviation Administration,
Office of the Administrator,
800 Independence Avenue, SW,
Washington, DC 20591.

Record source categories: The individual to whom the record pertains. Written test scores are derived from answers given by individuals. Action filed by FAA personnel.

DOT/FAA 803.

System name: General Aviation Medical Accident System. DOT/FAA.

System location: Department of Transportation (DOT),
Federal Aviation Administration (FAA),
Aeronautical Center,
Aeromedical Certification Branch,
Oklahoma City, OK 73125.
System records are also located at:
Federal Aviation Administration,
Office of Aviation Medicine,
Aeromedical Applications Division,
800 Independence Avenue, SW,
Washington, DC 20591.

Categories of individuals covered by the system: Pilots involved in aircraft accidents.

Categories of records in the system: Reports of fatal accidents, autopsies, toxological studies, AME's reports, medical record printouts, nonfatal reports, injury reports, accident name cards, magnetic tape records of fatal accidents, psychological autopsy, and consulting pathologist summary of findings.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To identify and monitor airman having accidents or incidents, analyze medical data for regulatory purposes, research studies of causes of accidents, promote aviation safety.

Data is supplied to the National Transportation Safety Board (NTSB) under requirements of FAA accident investigation authority delegated to it by the Board.
Supporting information in court cases concerning individual medical fitness or medical mishap that may or may not relate to the cause of the accident.
Repository of legal documents that relate to individual's physical status or condition used to determine statistically the validity of FAA Medical Standards.
As a data source for management information for producing summary descriptive statistics and analytical studies in support of agency functions for which the records are collected.
Used in developing professional papers that are distributed to various aviation and medical groups for evaluation and study.
To inform the aviation community of medical findings related to flight safety.
To provide data for the automated Medical Accident System.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in file folders, punch cards and on magnetic tape.

Retrievability: Records are filed by accident number cross referenced by name of pilot.

Punch cards are maintained in name order.
Magnetic tapes are in accident number order and are cross referenced by name.
Records are quite often retrieved by name.

Safeguards: Records are stored in lockable file cabinet in secured work area.

Punch cards are maintained in metal file drawers in secured area.
Magnetic tape is retained in a secured automatic data processing facility.

Retention and disposal: Records of fatal accidents are transferred to Fort Worth Federal Records Center when five years old and destroyed when 10 years old.

Accident name card destruction is not authorized.
Magnetic tape record - destruction not authorized.

System manager(s) and address: For official medical accidents records contact:

Chief, Aeromedical Certification Branch,
Aeronautical Center,

Oklahoma City, OK 73125.

Notification procedure: Individuals wishing to see their records may appear in person or submit in writing a request identifying records desired. For identification an individual must submit his or her name, date of birth, sex, social security number or Airman number. Letter should be addressed to:

Chief, Aeromedical Certification Branch,
Aeronautical Center,
Oklahoma City, OK 73125.

Record access procedures: Individuals who desire to access information about themselves in the system records should contact or address their inquiries to:

Chief, Aeromedical Certification Branch,
Aeronautical Center,
Oklahoma City, OK 73125.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegate at the following address:

Department of Transportation,
Federal Aviation Administration,
Office of the Administrator,
800 Independence Avenue, SW,
Washington, DC 20591.

Record source categories: Information is obtained from aviation medical examiners (AME), pathologists, accident investigation medical laboratories, law enforcement officials and FAA employees.

DOT/FAA 804.

System name: General Aviation Aircraft Accident System. DOT/FAA.

System location: Department of Transportation (DOT),

Federal Aviation Administration (FAA),
Aeronautical Center,
Flight Standards Technical Division,
Safety Data Branch,
Oklahoma City, OK 73125.
Working copies are maintained in the region in which the accident occurred.
(See the FAA National Field Directory, 1000.23F, for locations).

Categories of individuals covered by the system: Persons involved in aircraft accidents, pilots, passengers, persons on the ground, and witnesses.

Categories of records in the system: Reports of accidents investigation, preliminary notices of accident, injury reports, engineering analysis, witness statements, investigators analysis, pictures of accident scene.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To identify and monitor airman involved in accidents. Analyze facts surrounding accidents to determine whether or not FAA regulations have been violated.

To supply data to the National Transportation Safety Board (NTSB) as required by the delegated authority for accident investigation from the Board.
As a data source for management information, for the production of summary descriptive statistics and analytical studies in support of agency functions.
Used in the development of professional papers that are distributed to various aviation organizations and the general public as required.
To provide data for the Automated Comprehensive Airman Information System (CAIS).
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained on magnetic tapes, in file folders, and magnetic disk.

Retrievability: Data is retrieved by name, date of birth and sex, social security numbers, airman numbers, and accident number.

Safeguards: Magnetic disk and magnetic tape.

Data can only be retrieved by computer operators through batch process methods.
File folders are maintained in a secured work area.
Files not in use are kept in locked cabinets accessible to persons with a need to know.

Retention and disposal: Raw files are destroyed after 3 years by shredding the ADP documents.

Magnetic tapes are updated monthly, records retained up to 10 years, magnetic disk updated continuously.

System manager(s) and address: Chief, Safety Data Branch,

Flight Standards Technical Division,
Aeronautical Center,
Oklahoma City, OK 73125.

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegate at the following address:

Department of Transportation,
Federal Aviation Administration,
Office of the Administrator,
800 Independence Avenue, SW,
Washington, DC 20591.

Record source categories: Data is collected from individuals, manufacturers of aircraft, maintenance inspectors, accident investigators, witnesses to accident, and involved passengers.

DOT/FAA 805.

System name: Administrative Action and Legal Enforcement System. DOT/FAA.

System location: Portions of this system are maintained in the:

Department of Transportation (DOT),
Federal Aviation Administration (FAA),
Office of the Chief Counsel,
800 Independence Avenue, SW,
Washington, DC 20591.
and the:
Aeronautical Center,
Safety Data Branch,
Oklahoma City, OK 73125.
originals and copies of some records are maintained in field offices and Flight Standards and Regional Counsel's offices in the Region of occurrence.
(See the FAA National Field Directory, 1000.23F, for locations).

Categories of individuals covered by the system: Records in this system are on individuals against whom FAA has taken administrative action or legal enforcement action for violation of certain Federal Aviation Regulations.

Individuals or companies holding Federal Aviation Administration certificates.
Persons charged with violating FAA regulations.
Persons allegedly violating FAA regulations.
Applicants who have been denied airman medical certificates.
Persons denied certificates or allegedly violating FAA regulations who have appealed to the National Transportation Safety Board (NTSB) or the courts.

Categories of records in the system: Safety Compliance Notices.

Letters of Reprimand.
Letters of Correction.
Final action legal documents in enforcement cases.
Enforcement and airman medical denial cases on appeal to NTSB.
Summary of violation reports and enforcement actions.
Correspondence of Regional Counsels in enforcement cases.
Violation reports on alleged FAA certificate violations other than medical certificates. These reports include certificate number and/or social security numbers of alleged violators.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Records relating to final legal enforcement and administrative actions are disclosed, upon request, to members of the public and other government agencies.

Disclosed to the Department of Justice and the NTSB.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: These records are stored in file cabinets and on magnetic tape.

Retrievability: Records in this system are filed chronologically and crossindexed alphabetically by name.

Safeguards: Records are kept in lockable file cabinets. Magnetic tape is kept in a secured data processing facility.

Retention and disposal: Aeronautical Center records are transferred to the Federal Records Center after six years where they are destroyed after four years.

Washington records are sent to the Federal Records Center when the case is closed where general aviation cases are destroyed after one year and Air Carrier cases are destroyed after two years.

Monthly ADP printouts are destroyed each month. Annual printouts are retained permanently.

System manager(s) and address: For official agency legal enforcement action records:

Chief, Statistics and Dockets Section,
Department of Transportation,
Federal Aviation Administration,
Office of the Chief Counsel,
Enforcement Division,
800 Independence Avenue, SW,
Washington, DC 20591.

Regional counsel and Flight Standards offices in the region of occurrence or the chief of the field office that made the charge maintain selected records. (See the FAA National Field Directory, 1000.23F, for addresses).

For administrative action records:
Chief, Safety Data Branch,
Aeronautical Center,
Oklahoma City, OK 73125.

Flight Standard office and Regional Counsel in the region of occurrence.

(See the FAA National Field Directory 1000.23F, for addresses).

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegate at the following address.

Department of Transportation,
Federal Aviation Administration,
Office of the Administrator,
800 Independence Avenue, SW,
Washington, DC 20591.

Record source categories: Regional counsel, NTSB, Flight Standards personnel, Aeronautical Center personnel, Office of Chief Counsel.

Systems exempted from certain provisions of the act: System is exempt from certain subsections of the Privacy Act. The purpose of these exemptions is to protect investigatory materials compiled for law enforcement purposes. Disclosure of such material would hamper law enforcement by prematurely disclosing the knowledge of illegal activity and the evidentiary basis for possible enforcement actions. The exemption rule may be found on page 49887 of the Friday, October 24, 1975, issue of the Federal Register.

DOT/FAA 806.

System name: Federal Aviation Administration Employee Payable System. DOT/FAA.

System location: Financial Systems and Accounting Operations Divisions, Washington, D.C., and each region and center accounting division. Working copies of certain of these records are held by the organizations employing the personnel. Copies are maintained at emergency operations facilities.

Categories of individuals covered by the system: Federal Aviation Administration employees and civilians who work for the United States Coast Guard.

Categories of records in the system: This system contains those records required to insure that an employee receives his or her pay and benefits required by law. It includes Master Employee Record, Annual Leave Payroll Register, FAA Bond Deductions and Bond Issued, Union Dues, FICA Records, City Withholdings, State Withholdings, Federal Withholdings, Retirement, Leave Without Pay, Pay Scale, Contributions, Travel Orders, Travel Advances, Travel Vouchers, Time and Attendance, Overtime, claims relating thereto.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: This System is used to:

Prepare annual report and reconcile with Gen. Ledger Accounts
Record permanent pay changes
List leave earned, taken, and/or lost and new balances for employees
Test run against MEA to reflect missing T&A cards of employees
Summary of earnings and deductions by pay periods
History of checks and bonds to be issued and magnetic tape sent to Treasury for their issuance.
Report dues deducted by individuals to Unions
Report amounts withheld to credit financial institutions and charitable organizations.
Quarterly summary of earnings and deductions
Record travel advances and allowances
Adjudicate claims for reimbursements which are sent to G.A.O.
Extract list of FICA employees for reporting earnings and deductions to IRS
Used to report state tax withholding to various states and cities and to IRS.
Record temporary pay changes
Notify employees of pay adjustments processed
See prefatory statement of general routine uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are stored on punched card, magnetic tape and in file folders.

Retrievability: Records are maintained by employee name and employee payroll number.

Safeguards: Files are retained mainly in unsecured work area but files are locked during non-duty hours; magnetic tape files are kept in a secured computer center with limited accessibility by agency personnel.

Retention and disposal: Some records are microfilmed annually, and retained as historical data. Hard copy or paper files are filed in a locked storage room or lockable file until G.A.O. audits the records - then some are destroyed and some are retained permanently. Some records are destroyed when they are one year old.

System manager(s) and address: Chiefs, Financial Systems and Accounting Operations Divisions, 800 Independence Ave., S.W., Washington, D. C. 20591.

Chief, Accounting Division, Regional Office and Centers address listed in FAA National Field Directory, 1000.23G.

Notification procedure: Individuals wishing to know if their records appear in this system may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegate, 800 Independence Ave., S. W., Washington, D. C. 20591.

Record source categories: Data is collected from the individual employees, time and attendance clerks, and FAA Personnel Management Operations Divisions.

DOT/FAA 807.

System name: Police Warrant File and Central Files. DOT/FAA.

System location: Department of Transportation (DOT),

Federal Aviation Administration (FAA),
Washington National Airport,
Operations and Safety Division,
Police Branch,
Hangar 9,
Washington, DC 20001.
Dulles International Airport,

Operations and Safety Division,
Police Branch,
Chantilly, VA 22201.
Investigation and Security Division,
Aeronautical Center,
Oklahoma City, OK 73125.

Categories of individuals covered by the system: Individuals failing to pay Violation Notices and individuals involved in a situation requiring a police report.

Individuals cited for parking and a traffic violation.
Individuals having criminal records.

Categories of records in the system: Individual Case File, file Cards with warrant numbers, arrest records, traffic accident records, injury reports, loss, theft and complaints report, traffic violations notice files.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: These records are used to carry out such required functions as:

Check for wanted individuals and vehicles.
Vehicle registration information.
Prior offense status, to testify in court hearings, to assist in case preparation by U.S. Attorney's Office.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in file folders. Stored in metal file cabinets.

Retrievability: Data is retrievable by individual name and/or cross referenced to a warrant number as a case file number.

Safeguards: Files are retained in a secured work area accessible only by consent of guard on duty.

Retention and disposal: Warrants are disposed of when warrant has been paid, case files are kept permanently. Records of citations destroyed after one year.

System manager(s) and address: Director, Metropolitan Washington Airport Service,
Washington National Airport,
Hangar 9,
Washington, DC 20001.
Chief of Investigation and Security Division,
Aeronautical Center,
Oklahoma City, OK 73125.

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the applicable System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the applicable System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegate at the following address:

Department of Transportation,
Federal Aviation Administration,
Office of the Administrator,
800 Independence Avenue, SW,
Washington, DC 20591.

Record source categories: Agency employees, arresting officers, investigating officers, other agencies, witnesses.

Systems exempted from certain provisions of the act: System is exempt from certain subsections of the Privacy Act. The purpose of the exemption is to prevent compromise or impairment of criminal investigations conducted by the Airport Police Branches. The exemption rule may be found on page 49887 of the Friday, October 24, 1975, issue of the Federal Register.

DOT/FAA 809.

System name: Motor Vehicle Operator/Examiner System. DOT/FAA.

System location: These records are maintained in the offices of those organizations throughout the FAA who have vehicle licensing and operations functional responsibility.

Categories of individuals covered by the system: This system of records contains information regarding all FAA employees who are licensed to drive and/or operate government vehicles.

Categories of records in the system: This system of records contains information regarding license application and test, safe driving awards, accident reports, and related correspondence.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Determine qualifications and fitness for issuance, revocation, or renewal of operator's license.

Processing of tort claims.
Source of data for legal inquiry.
Prepare analytical and statistical studies and reports.
See prefatory statement of general routine uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: These records are maintained in file cabinets and computers.

Retrievability: These records are indexed by name.

Safeguards: Access to and use of these records are limited to those persons whose official duties require such access.

Retention and disposal: These records are destroyed three years after separation of the employee or three years after recision of authorization to operate government-owned vehicles whichever is earlier.

System manager(s) and address: Local FAA Motor Vehicle Management Officers.

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to th Administrator of his delegatee, 800 Independence Ave., S. W., Washington, D. C. 20591.

Record source categories: Information contained in this system comes from the individual and other public sources.

DOT/FAA 810.

System name: Discrimination Complaint Files. DOT/FAA.

System location: These records are maintained at FAA regional and center Civil Rights Offices and at the FAA Office of Civil Rights in Washington, D.C.

Categories of individuals covered by the system: This system of records contains information regarding FAA employees, applicants for FAA employment, contractors, sponsors and members of the public who are directly or indirectly involved in a discrimination complaint filed with FAA.

Categories of records in the system: Case files developed in processing complaints of discrimination.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Investigation of alleged discrimination.

Preparation of analytical and statistical studies and reports.
See prefatory statement of general routine uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: These records are maintained in approved security files and containers.

Retrievability: These records are retrieved by name.

Safeguards: Access to and use of these records are limited to those persons whose official duties require such access.

Retention and disposal: These records are destroyed five years after the final decision is rendered.

System manager(s) and address: Director, Office of Civil Rights, ACR-1, FAA, 800 Indep. Ave., S. W., Washington, D.C. 20591, and regional and center civil rights offices.

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records

should contact or address their inquiries to the Administrator or his delegatee, 800 Independence Ave., S. W., Washington, D. C. 20591.

Record source categories: Information contained in this system comes from complaints filed by complainants; EEO counselor reports; investigative reports, and departmental decisions.

DOT/FAA 811.

System name: Employee Health Record System. DOT/FAA.

System location: These records are maintained at regional, center and Washington FAA Health Units and/or dispensaries or other government health units servicing the employees geographic location.

Categories of individuals covered by the system: This system of records contains information regarding FAA employees.

Categories of records in the system: This system of records contains documents constituting the basic medical record of an FAA employee, including medical examination reports, laboratory findings, correspondence, retirement, fitness for duty examinations, and related papers.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To document employee health unit visits and nature of complaint or physical examination findings, treatment rendered and case disposition. Physical examination findings are used by agency officials to determine employee fitness for duty or employment.

To refer to employee private physician if authorized by the employee or his legal representative.

To prepare analytical and statistical studies and reports.

Disclosed to an insurance carrier where an employee has signed a health, disability or life insurance contract with the carrier authorizing any licensed physician, medical practitioner, hospital, clinic or other medical or medically related facility, insurance company or other organization, institution or person, that maintains any records or his dependents, to release to the carrier any such information.

See prefatory statement of general routine uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: These records are maintained in approved security files and containers.

Retrievability: These records are indexed by name.

Safeguards: Access to and use of these records are limited to those persons whose official duties require such access.

Retention and disposal: These records are destroyed 20 years after the date of last entry.

System manager(s) and address: Regional Flight Surgeon within region where examination was conducted and Chief, Aeromedical Services Division, Washington, D. C. 20591.

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegatee, 800 Independence Ave., S. W., Washington, D. C. 20591.

Record source categories: Information contained in this system comes from the employee and from attending physicians and nurses and from associated medical reports.

DOT/FAA 812.

System name: System Error Reporting Program. DOT/FAA.

System location: FAA, Air Traffic Service, Evaluation Staff, Washington, D.C. Regional Air Traffic Division, and all Air Traffic field facilities.

Categories of individuals covered by the system: Any Air Traffic Control Specialist involved in a system error.

Categories of records in the system: Reports or computer printouts which can contain, name of controller, performance appraisal data, disciplinary actions, age, grade, date of birth, physical examination, medical information, home address.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Statistical analysis for policy development and release to the public under the Freedom of Information Act.

Released for investigative purposes to the NTSB in the case of accident/ incident/or violation.

See prefatory statement of general routine uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: All data is stored on magnetic tape or disk file and file folders.

Retrievability: Data is filed in random order within the computer with selections being on name of the individual. File folders are retrieved by name.

Safeguards: Security code for computer program is limited to those who have a need to know.

Retention and disposal: Records are maintained as active files for 10 years then stored on magnetic tapes indefinitely.

System manager(s) and address: Chief, Evaluation Staff, AAT-20, Air Traffic Service, FAA, Washington, D. C., 10591; the FAA Regional Air Traffic Division Chiefs, and field facilities where error occurred.

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegatee 800 Independence Avenue, S. W., Washington, D. C. 20591.

DOT/FAA 813.

System name: Civil Aviation Security System. DOT/FAA.

System location: Department of Transportation (DOT),

Federal Aviation Administration (FAA),
Office of Civil Aviation Security Service,
800 Independence Avenue, SW,
Washington, DC 20591.

These records are also maintained at FAA Air Transportation Security Field Offices and regional Air Transportation Security Divisions. See the FAA National Field Directory, 1000.23F, for locations.

Categories of individuals covered by the system: This system of records contains information regarding hijackers, potential hijackers, extortionists, terrorists, and other individuals who have been involved or might be involved in crimes against civil aviation.

Categories of records in the system: This system of records contains information regarding hijacking incidents, potential or suspected hijackers, explosives or devices found on aircraft or at airports, attempts to board aircraft with concealed weapons, and other civil aviation criminal acts.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: In the event that a system of records maintained by the Federal Aviation Administration to carry out its functions indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule regulation or order issued pursuant thereto.

Source of data regarding air piracy threats for dissemination to airport and air carrier security officers.

Preparation of Alerts and Bulletins on threats to civil aviation.

Preparation of summaries for development/change of security procedures in civil aviation.

Respond to authorized inquiries.

Preparation of analytical and statistical studies and reports.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: These records are maintained in approved security files and containers.

Retrievability: These records are indexed by name and or incident.

Safeguards: Access to and use of these records are limited to those persons whose official duties require such access.

Retention and disposal: These records are maintained up to four years after which they are updated, revised, retired, or destroyed.

System manager(s) and address: Chief, Operations Liaison Staff, ACS-20,
Department of Transportation,
Federal Aviation Administration,
Office of Civil Aviation Security Service,
800 Independence Avenue, SW,
Washington, DC 20591.
Regional Air Transportation Security organizations. See the FAA National Field Directory, 1000.23F, for addresses.

Notification procedure: Individuals wishing to see their records may appear in person or or submit in writing a request identifying record desired.
For identification an individual must submit his or her name to the System Manager.

Record access procedures: Individuals who desire information about themselves in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegate at the following address:
Department of Transportation,
Federal Aviation Administration,
Office of the Administrator,
800 Independence Avenue, SW,
Washington, DC 20591.

Record source categories: Information contained in this system comes from other FAA records; Federal, State or local agencies; foreign sources; public record sources; and third parties.

DOT/FAA 814.

System name: Equal Employment Opportunity Minority/Female Statistical Reporting System. DOT/FAA.

System location: Department of Transportation(DOT),
Federal Aviation Administration(FAA),
Office of Civil Rights,
800 Independence Avenue, SW,
Washington, DC 20591.
Local records are maintained at FAA regional and center Civil Rights Offices. (See the FAA National Field Directory, 1000.23F, for locations).

Categories of individuals covered by the system: This system of records contains information on all FAA employees.

Categories of records in the system: This system of records contains information (187 data elements) such as name, organization, social security number, racial background, etc.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Monitor and evaluate status and progress of minority/female employment.
Preparation of analytical and statistical studies and reports.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: These records are in the form of magnetic tape and computer listings and are stored in magnetic tape security areas and in file cabinets.

Retrievability: These records are retrieved by name.

Safeguards: Access to data in this system is limited to the Director, Office of Civil Rights, or to those authorized by him.

Retention and disposal: This system is updated monthly and the replaced master tape is reused in the normal data processing manner.

System manager(s) and address: Director, Office of Civil Rights,
Department of Transportation,
Federal Aviation Administration,
800 Independence Avenue, SW,
Washington, DC 20591.
Local records are the responsibility of the regional and center civil Rights Offices. (See the FAA National Field Directory, 1000.23F, for address).

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegate at the following address:
Department of Transportation,
Federal Aviation Administration,
Office of the Administrator,
800 Independence Avenue, SW,
Washington, DC 20591.

Record source categories: Personal Observation.
Self Identification.
Manpower records.
Organization Records.

DOT/FAA 815.

System name: Investigative Record System. DOT/FAA.

System location: Department of Transportation,
Federal Aviation Administration(FAA),
Office of Investigations and Security,
800 Independence Avenue, SW,
Washington, DC 20591.
Investigations and Security Division,
Aeronautical Center,
Oklahoma City, OK 73125.
Air Transportation Security Division,
National Aviation Facilities Experimental Center (NAFEC),
Atlantic City, NJ 08405.
Records are maintained at FAA regional and center Air Transportation Security Divisions. (See the FAA National Field Directory, 1000.23F, for addresses).
Retired records are stored at Federal Record Centers.
See 'System Manager' paragraph for further information.

Categories of individuals covered by the system: Current and former applicants for FAA employment.
Current and former FAA employees.
Individuals considered for access to classified information or restricted areas and/or security determinations as contractors, employees of contractors, experts, instructors, and consultants to Federal programs.
Aircraft owners.
Flight Instructors.
Pilots.
Mechanics.
Designated FAA representatives.
Other individuals certificated by FAA.
Individuals involved in tort claims against the FAA.

Categories of records in the system: Results of investigations and inquiries conducted by the Office of Investigations and Security; FAA regional and center Air Transportation Security Divisions and Investigations and Security Division, Aeronautical Center; reports of investigations conducted by Federal, state, and local investigative agencies which relate to the mission and function of the Office of Investigations and Security and field elements.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: In the event of an indication of any violation or potential violation of the law, whether civil, criminal, or regulatory in nature, and whether arising by statute, or by regulation, rule or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing such referral shall also include, and be deemed to authorize,
(1) any and all appropriate and necessary uses of such records in a court of law and before an administrative board or hearing, including referrals related to probation and parole matters, and

(2) such other inter-agency referrals as may be necessary to carry out the receiving agency's assigned law enforcement duties.

To authorized officers and employees of FAA and other agencies and departments of the Federal Government, and the District of Columbia Government, having an interest in the individual for employment purposes, including a security clearance or access determination, and a need to evaluate qualifications, suitability, and loyalty to the United States Government.

Officials from FAA and DOT administrative, operating and regulatory components who require access to the case file to fullfill their responsibilities contained in Executive Orders, Civil Service Commission Regulations, Federal Aviation Regulations and Orders or Federal statutes.

Authorized representatives of Federal agencies and departments who require access to the file pursuant to an investigation or inquiry conducted under appropriate statutes, Executive Orders or administrative procedures of Federal Government. This can include investigations completed by FAA and referred to other Federal agencies for further investigation, prosecution or administrative action.

To authorized officers and employees of FAA having the responsibility to grant security clearance, make determinations regarding access to classified information or restricted areas, or to evaluate qualifications, suitability, or loyalty to the United States Government, in connection with performance of a service to the Federal Government under a contract or other agreement.

As a data source for management information for production of summary descriptive statistics and analytical studies in support of the function for which the records are collected and maintained, or for related personnel management functions or manpower studies; may also be utilized to respond to general requests for statistical information (without personal identification of individuals).

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: These records are stored in approved security file cabinets and containers.

Retrievability: These records are indexed alphabetically by name.

Safeguards: Access to and use of these records are limited to those persons whose official duties require such access.

Retention and disposal: These records are retired to the Federal Records Center four years after close of case and retained indefinitely.

Any records authorized for destruction are destroyed by approved methods.

System manager(s) and address: The FAA Investigative Record System is decentralized and requests for such records should be directed to the appropriate System Manager as follows:

For the Washington Metropolitan area, excluding Eastern Region jurisdiction:
Department of Transportation,
Federal Aviation Administration,
800 Independence Avenue, SW,
Washington, DC 20591.

For the geographical area under the jurisdiction of the various regions:
Chief, Air Transportation Security Division, of the appropriate region. (See the FAA National Field Directory, 1000.23F, for addresses).

For the jurisdiction of the National Aviation Facilities Experimental Center:
Chief, Air Transportation Division,
National Aviation Facilities Exmperimental Center,
Atlantic City, NJ 08405.

For the jurisdiction of the Aeronautical Center:
Chief, Investigations and Security Division,
Aeronautical Center,
Oklahoma City, OK 73125.

Notification procedure: An individual may inquire as to whether or not the system contains a record pertaining to him or to her by addressing a written request to the applicable Systems Manager identified above. The request should include the full name and date and place of birth of the individual, and any available information regarding the type of record involved, and the category of individual under which the requester feels he or she fits.

Record access procedures: Individuals who wish to gain access to such records should contact the System Manager. However investigative data compiled for law enforcement purposes may be exempt from the access provision pursuant to 5 U.S.C. 552a(k)(2) and (k)(5).

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegate at the following address:

Department of Transportation,
Federal Aviation Administration,
Office of the Administrator,
800 Independence Avenue, SW,
Washington, DC 20591.

Record source categories: These records contain information obtained from interviews, review of records and other authorized, applicable investigative techniques.

Systems exempted from certain provisions of the act: System is exempt from certain subsections of the Privacy Act. The purpose of the exemption is to protect investigatory materials compiled for law enforcement purposes. The exemption rule may be found on page 49887 of the Friday, October 24, 1975, issue of the Federal Register.

DOT/FAA 816.

System name: Tort Claims and Personal Property Claims Record System. DOT/FAA.

System location: These records are maintained at regional and center FAA Counsel offices and Logistics Divisions.

Categories of individuals covered by the system: This system of records contains information regarding Tort and property claimants who have filed claims against the government/FAA.

Categories of records in the system: This system of records contains reports, vouchers, witness statements, legal decisions, and related material pertaining to claims by or against the government resulting from FAA transactions, other than litigation cases.

Approved claims are sent to the Accounting Division for certification and payment by Treasury check.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Investigation Purposes.

Reference Purposes.
Court Action.
Doubtful claims are sent by Accounting Division to G.A.O. for adjudication.
Some larger claims go to Department of Justice for approval or disapproval.
See prefatory statement of general routine uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: These records are stored in approved file cabinets and containers.

Retrievability: These records are indexed by name.

Safeguards: Access to and use of these records are limited to those persons whose official duties require such access.

Retention and disposal: These records are destroyed three years after the final decision is rendered.

System manager(s) and address: Regional and center counsels.

Notification procedure: Individuals who wish to know if they appear in this system should contact the system manager.

Record access procedures: Individuals who wish to gain access to their records should contact the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Associate Administrator for Administration, 800 Independence Ave., S.W., Washington, D.C. 20591.

Record source categories: Claimant, Investigation Reports, and Courts.

DOT/FAA 817.

System name: Emergency Operations Staffing Assignment. DOT/FAA.

System location: These records are maintained at region, center, and Washington headquarters.

Categories of individuals covered by the system: This system of records contains information on FAA employees.

Categories of records in the system: This system of records contains information such as name, address and telephone numbers.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To contact FAA personnel for emergency readiness purposes. See prefatory statement of general routine uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: These records are stored in file folders and/or file cabinets.

Retrievability: These records are retrieved by name.

Safeguards: Access to data in this system is limited to those persons whose official duties require such access.

Retention and disposal: These records are destroyed when superseded or obsolete.

System manager(s) and address: Region, Center and Washington headquarters Emergency Planning Officers.

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegatee, 800 Independence Avenue, S. W., Washington, D. C. 20591.

Record source categories: Employees and employees records.

DOT/FAA 818.

System name: Statement of Employment and Financial Interest. DOT/FAA.

System location: These statements are filed at FAA regional, center and Washington headquarters locations.

Categories of individuals covered by the system: Selected FAA employees, GS-13 and above, occupying positions as reflected in Part 99 of the DOT Regulations. This regulation can be found in FAA Order 3750.3A and is identified in this directive as Appendix C.

Categories of records in the system: This system of records contains information regarding an employee's present position; his connections or financial interest, if any, with cooperations, companies, firms or other business enterprises, partnerships, nonprofit organizations, and educational or other institutions; names of creditor; interest in real property or rights in lands; and names of other persons who are authorized by the employee to supply further information.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To enable Reviewing Officials to determine whether or not an employee's functions or assignments, actual or proposed, could result in a conflict of interest.

See prefatory statement of general routine uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: These records are maintained in file folders and secure files.

Retrievability: These records are indexed by the names of the individuals.

Safeguards: Access to and use of these records are limited to those persons whose official duties require such access.

Retention and disposal: These records are maintained up to two years after the employee is separated. At this time, all his statements and supplements are destroyed.

System manager(s) and address: The employee's Reviewing Official maintains control of these statements.

Notification procedure: An employee may inquire about the status of his Confidential Statement of Employment and Financial Interest file at any time. All inquiries should be directed to the employee's Reviewing Official or his supervisor.

Record access procedures: Employees who wish to gain access to their records should contact their Reviewing Official.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegatee, 800 Independence Avenue, S. W., Washington, D. C. 20591.

Record source categories: Information contained in this system either comes from the individual or from those designated as authorized sources.

DOT/FAA 819.

System name: Psychological Support for Air Traffic Controller Health Program and Air Traffic Controller Applicant Screening System. DOT/FAA.

System location: Department of Transportation(DOT),
Federal Aviation Administration(FAA),
Behavioral Science Division,
800 Independence Avenue, SW,
Washington, DC 20591.
Regional Flight Surgeons in region where individual is employed. See FAA National Field Directory, 1000.23F for addresses.

Categories of individuals covered by the system: Air Traffic Control Specialist, applicants for air traffic control specialist.

Categories of records in the system: Psychological test results.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To assist the agency in determining an individual's fitness to be a controller.
To assist the agency in establishing Job Standards.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: These records are maintained in file folders on magnetic tape and on punch cards and answer sheets.

Retrievability: Files are retrieved by name, date of birth and sex.

Safeguards: File cabinets are kept locked when not in use.
Magnetic tape and computer program used with the tapes are under the control of those who have a need to know.
Answer sheets are destroyed after input to computer.
Punch cards are stored in card files.

Retention and disposal: Records are retained as historical statistical records.

System manager(s) and address: Chief, Behavioral Science Division,
Regional Flight Surgeons in region where individual is employed. See addresses in the FAA National Field Directory, 1000.23F.

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegate at the following address:
Department of Transportation,
Federal Aviation Administration,
Office of the Administrator,
800 Independence Avenue, SW,
Washington, DC 20591.

Record source categories: All the data in this system is collected from the individual applicants and controllers.
Office of Aviation Medicine.

DOT/FAA 820.

System name: Pending legislation (Employee's) - Private Relief and Public/Private Laws (Employee's) Private Reliefs. DOT/FAA.

System location: This system of records is located in the Office of Chief Counsel, Federal Aviation Administration, 800 Independence Avenue, S. W., Washington, D. C. 20591.

Categories of individuals covered by the system: FAA employees for whom Private relief legislation has been introduced in Congress.

Categories of records in the system: Travel information, litigation reports, collection vouchers, and real estate contracts.

Authority for maintenance of the system: The Federal Aviation Act of 1958, as amended, and the Department of Transportation Act, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: This system is for reference in order to formulate FAA's position on proposed private relief legislation for FAA employees. All information is released to the Office of Management and Budget. See prefatory statement of general routine uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Information is stored in file cabinets.

Retrievability: Data indexed and retrieved by name of employee and employee number.

Safeguards: No special safeguards required.

Retention and disposal: Records are transferred to Federal Records Center or destroyed when no longer needed.

System manager(s) and address: Legislative Assistant, Legislative Staff, Office of Chief Counsel, FAA, 800 Independence Avenue, S. W., Washington, D. C. 20591.

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegatee, 800 Independence Ave., S. W., Washington, D. C. 20591

Record source categories: Data obtained from Members of Congress and FAA records.

DOT/FAA 821.

System name: Litigation Information Management Systems. DOT/FAA.

System location: This system of records is maintained within the Office of the Chief Counsel, FAA, 800 Independence Avenue, S. W., Washington, D. C. 20591.

Categories of individuals covered by the system: This file contains information on Litigants, Claimants, Witnesses, Decendents, Plaintiff's Attorney, FAA Attorney and Department of Justice Attorney.

Categories of records in the system: Litigation and claim pleadings, discovery material, related documents (including background data on individual, or decedent involved) memoranda, correspondence, and other material necessary to respond to claims or prepare for litigation or hearings. Types of claims or litigation: aircraft accidents, auto accidents, personnel and general litigation.

Authority for maintenance of the system: The Federal Aviation Act of 1958, as amended and the Department of Transportation Act, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The documents are produced or used in and for litigation and claims. These documents are disclosed to the Department of Justice. See prefatory statement of general routine uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Data is stored in lockable and unlockable file cabinets, individuals attorneys' offices, binders, index files and in computers.

Retrievability: Access is by name, location of accident, and/or docket number.

Safeguards: Data from these files are retrievable only by persons within the Office of the Chief Counsel or Regional Counsels.

Retention and disposal: Litigation files are kept for two years after case is closed, then sent to the Federal Records Center. All other records in this system are retained indefinitely.

System manager(s) and address: Chief,
Litigation Division,
Office of Chief Counsel,
Federal Aviation Administration,
800 Independence Ave., S.W.,
Washington, D.C. 20591.

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegatee, 800 Independence Ave., S. W., Washington, D. C. 20591.

Record source categories: Employees of the Office of Chief Counsel, Federal courts, individuals and their attorney, FAA records, litigation files, etc.

DOT/FAA 822.

System name: Aviation Medical Examiner System. DOT/FAA.

System location: Department of Transportation (DOT),
Federal Aviation Administration (FAA),
Aeromedical Education Branch,
Civil Aeromedical Institute,
Aeronautical Center,
Oklahoma City, OK 73125.
Regional Flight Surgeons in all regional headquarters. See addresses in the FAA National Field Directory, 1000.23F.

Categories of individuals covered by the system: Private physicians appointed as Aviation Medical Examiners and selected military units through agreement with the Surgeon Generals.

Categories of records in the system: The system includes records necessary to the operation of the system to establish qualifications of physicians, identification as to location within the system, actual performance in support of the system and records pertaining to training received from the system.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: By the Aeromedical Education Branch, AME Section, to determine training needs.

By Regional Flight Surgeons to evaluate the physicians performance in the AME System and determine reappointments.
By the Office of Aviation Medicine in Washington, DC, in policy determination.
By General Aviation District Offices in locating and obtaining support of qualified AMEs.
By private organizations needing to contact and utilize the physician's services in his capacity of an AME.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Card file, file folders, magnetic tapes, and hard copy.

Retrievability: Information stored by Region, State, Name and Control Number.

Safeguards: File rooms with restricted access, 3 combination safe with access by authorized personnel.

Retention and disposal: Permanent record files, permanent record hard copy files, magnetic tapes - storage with updating continuously.

System manager(s) and address: Records from Master files:
Chief, Aeromedical Education Branch,
Department of Transportation,
Federal Aviation Administration,
Aeronautical Center,
oklahoma City, OK 73125.
Regional files from Regional Flight Surgeon within Region wherein the AME is appointed. See the FAA National Field Directory for regional addresses.

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegate at the following address:
Department of Transportation,
Federal Aviation Administration,

Office of the Administrator,
800 Independence Avenue, SW,
Washington, DC 20591.

Record source categories: Information is obtained from the Aviation Medical Examiners themselves.

DOT/FAA 823.

System name: Working Level Personnel Folder System. DOT/FAA.

System location: This system of records is found at all (FAA) Federal Aviation Administration field offices physically separated from the personnel Office which maintains the employee's Official Personnel Folder. See the FAA National Field Directory for field office locations.

Categories of individuals covered by the system: This system contains information regarding FAA employees.

Categories of records in the system: This system contains only information regarding the employee's. experience, education, training, special qualifications and skills, position description, performance appraisals and conduct.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To assist local supervisors in managing their personnel more effectively.

To assist in the development and implementation of a viable employee productivity improvement program.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: These files are stored in file cabinets.

Retrievability: These files are retrieved by name.

Safeguards: Access and use of these files are limited to those whose official duties require such access.

Retention and disposal: These files are destroyed three months after transfer or termination of service.

System manager(s) and address: FAA Field Office Supervisors. See the FAA National Field Directory, 1000.23F for field office locations.

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegate at the following address:

Department of Transportation,
Federal Aviation Administration,
Office of the Administrator,
800 Independence Avenue, SW,
Washington, DC 20591.

Record source categories: Information in this system of records either comes from the individual to whom it applies or is derived from information he supplied.

DOT/FAA 824.

System name: Medical Exemptions - Public Dockets. DOT/FAA.

System location: Department of Transportation,

Federal Aviation Administration,
Office of the Chief Counsel,
800 Independence Avenue, SW,
Washington, DC 20591.

Categories of individuals covered by the system: Persons petitioning for a medical exemption under Federal Aviation Regulations.

Categories of records in the system: Petitions for exemption, supporting and supplementary documentation submitted by petitioners, correspondence, and the grant or denial of the exemption.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Compliance with statutory and regulatory provisions for public accessibility to rulemaking actions.

Disclosure to general public and other agencies.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Original and copies of records in file folders.

Retrievability: Retrieved by exemption number, docket number, or alphabetical listing of petitioner names.

Safeguards: Access through request to Docket Specialist.

Retention and disposal: Dockets are retained indefinitely; destruction not authorized.

System manager(s) and address: Docket and Regulations Specialist,

Department of Transportation,
Federal Aviation Administration,
Office of the Chief Counsel,
800 Independence Avenue, SW,
Washington, DC 20591.

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegate at the following address:

Department of Transportation,
Federal Aviation Administration,
Office of the Administrator,
800 Independence Avenue, SW,
Washington, DC 20591.

Record source categories: Office of Aviation Medicine, FAA, 800 Independence Avenue, SW,
Washington, DC 20591

DOT/FAA 825.

System name: Petitions for Rulemaking - Public Dockets. DOT/FAA.

System location: Department of Transportation(DOT),

Federal Aviation Administration(FAA),
Office of the Chief Counsel,
800 Independence Avenue, SW,
Washington, DC 20591.

Categories of individuals covered by the system: Persons petitioning for a change in the Federal Aviation Regulations.

Categories of records in the system: Petitions for rulemaking, correspondence, documents showing disposition of the petition and public comments on any resulting NPRM.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Compliance with statutory and regulatory provisions for public accessibility to rulemaking actions.

Disclosure to general public and other government agencies.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Original and copies of records are stored in unlocked file cabinets.

Retrievability: Retrieved by exemption number, docket number, or alphabetical listing of petitioner names.

Safeguards: Access through request to Dockets Specialist.

Retention and disposal: Transferred to Federal Records Center when inactive; destruction not authorized.

System manager(s) and address: Docket and Regulations Specialist,

Department of Transportation,
Federal Aviation Administration,
Office of the Chief COunsel,
800 Independence Avenue, SW,
Washington, DC 20591.

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegate at the following address:

Department of Transportation,
Federal Aviation Administration,
Office of the Administrator,
800 Independence Avenue, SW,
Washington, DC 20591.

Record source categories: Petitions for rulemaking.

DOT/FAA 826.

System name: Petitions for Exemption (Other than Medical Exemption) - Public Dockets. DOT/FAA.

System location: Department of Transportation (DOT),

Federal Aviation Administration(FAA),
Office of the Chief Counsel,
800 Independence Avenue, SW,
Washington, DC 20591.

Categories of individuals covered by the system: Persons petitioning for an exemption (other than medical) under the Federal Aviation Regulations.

Categories of records in the system: Petitions for exemptions, supplementary information, and correspondence.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Compliance with statutory and regulatory provisions for public accessibility to rulemaking actions.

Disclosure to general public and other government agencies.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Original and copies of records stored in unlocked file cabinets.

Retrievability: Retrieved by exemption number, docket number, or alphabetical listing of petitioner names.

Safeguards: Access through request to Dockets Specialist.

Retention and disposal: Transferred to Federal Records Center when inactive; destruction not authorized.

System manager(s) and address: Docket 7 Regulations Specialist, AGC-24,

Department of Transportation,
Federal Aviation Administration,
Office of the Chief Counsel,
800 Independence Avenue, SW,
Washington, DC 20591.

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegate at the following address:

Department of Transportation,
Federal Aviation Administration,
Office of the Administrator,
800 Independence Avenue, SW,
Washington, DC 20591.

Record source categories: Petitions for exemptions.

DOT/FAA 827.

System name: Environmental Litigation Files. DOT/FAA.

System location: Department of Transportation(DOT),

Federal Aviation Administration(FAA),
Office of the Chief Counsel,
800 Independence Avenue, SW,
Washington, DC 20591.

Categories of individuals covered by the system: Litigants, witnesses, plaintiff's attorney, FAA attorney, Department of Justice Attorney, etc.

Categories of records in the system: Information on litigation, pleadings, discovery material, related documents. (including background data on individual involved), memoranda, correspondence, and other material necessary to respond to claim or prepare for litigation or hearings.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Litigation; documents are produced or used in and for litigation and are disclosed to the Department of Justice.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders stored in locked and unlocked file cabinets and individual attorney's offices.

Retrievability: Retrieved by caption of the particular litigation.

Safeguards: Access by Office of Chief Counsel personnel only.

Retention and disposal: Files are kept for two years after case has been closed and then sent to Records Center.

System manager(s) and address: Chief, Environmental Staff,

Department of Transportation,
Federal Aviation Administration,
Office of the Chief Counsel,
800 Independence Avenue, SW,
Washington, DC 20591.

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegate at the following address:

Department of Transportation,
Federal Aviation Administration,
Office of the Administrator,
800 Independence Avenue, SW,
Washington, DC 20591.

Record source categories: Federal courts, individuals and their attorneys, FAA records, litigation files, etc.

DOT/FAA 828.

System name: Physiological Training System. DOT/FAA.

System location: Department of Transportation(DOT),

Federal Aviation Administration(FAA),
Aeronautical Center,
Civil Aeromedical Institute,
Aeromedical Education Branch,
Oklahoma City, OK 73125.

Categories of individuals covered by the system: The training is primarily of benefit to pilots. It is also recommended for other air crew personnel, Air Traffic Controllers, Aviation Medical Examiners, and other personnel from the national aviation system.

Categories of records in the system: The system includes records necessary to establish qualifications of eligibility to receive physiological training, maintain accountability of funds required for training and transfer of funds to involved agencies, and to provide proper evidence of training.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: By the Aeromedical Education Branch, Physiological Training Section, to determine training qualifications.

By the Accounting Division, General Accounting Branch, for receipt and transfer of training funds.
By the Aeromedical Education Branch, Physiological Training Section, to provide proper evidence of training.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Card file, and file folders.

Retrievability: Information files by name and location of training.

Safeguards: Files access restricted to Aeromedical Education Branch personnel.

Retention and disposal: Files are retained for 3 years after completion of training and then disposed.

System manager(s) and address: Chief, Aeromedical Education Branch,

Department of Transportation,
Federal Aviation Administration,
Aeronautical Center,
Oklahoma City, OK 73125.

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegate at the following address:

Department of Transportation,
Federal Aviation Administration,
Office of the Administrator,
800 Independence Avenue, SW,
Washington, DC 20591.

Record source categories: Information is obtained from the individuals themselves.

DOT/FAA 829.

System name: Working Level Files for Employees assigned overseas (Permanently and TDY) and Employees Transferred to an International Organization. DOT/FAA.

System location: Department of Transportation(DOT),

Federal Aviation Administration (FAA),
Office of International Aviation Affairs(OIAA),
800 Independence Avenue, SW,
Washington, DC 20591.

Categories of individuals covered by the system: All employees that go on overseas assignments, for OIAA, ICAO, and employees on temporary foreign travel for FAA.

Categories of records in the system: This system of records contains: name; date and place of birth; names and dates of birth of dependents; position title; grade and salary; marital status, education; knowledge of foreign language(s); home address and phone number; country and medical clearances (DSL-820 and DS-823), medical waivers, listing of all employees cleared or issued medical wavers by Department of State; professed religion; check, savings, allotment, and savings bonds mailing information; information concerning shipment of household and personal effects, storage, automobile and allowances; training records (Foreign Service Institute and Agency for International Development); tort claims; travel vouchers; SF-50 (for employees transferred to an International Organization).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used to obtain country clearances for overseas assignments.

To provide AID and DOS with required information relating to medical and emergency evacuation, emergency travel, etc.
To compile reports and provide listings to the Department of State. (verbal and written)
To obtain approval for foreign travel, passport and visa services.
To enroll employees in training.
To prepare travel orders and vouchers.
To determine allowable allowances and other benefits.
To provide information to embassies in countries of assignment.
To obtain medical and security clearances.
To intercede for employees concerning salary, personnel actions, allowances, leave and benefits.
To verify medical claims.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: These files are stored in locked cabinets.

Retrievability: Retrieved by name.

Safeguards: Access and use are limited to those who's official duties require such access.

Retention and disposal: Are destroyed three years after terminations of employees service.

System manager(s) and address: Executive Officer, Office of International Aviation Affairs,

Department of Transportation,
Federal Aviation Administration,
800 Independence Avenue, SW,
Washington, DC 20591.

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegate at the following address:

Deparment of Transportation,
Federal Aviation Administration,
Office of the Administrator,
800 Independence Avenue, SW,
Washington, DC 20591.

Record source categories: Information in this system of records either comes from the individual to whom it applies or is derived from information he supplied.

DOT/FAA 830.

System name: Representatives of the Administrator. DOT/FAA.

System location: Master lists of certain categories of representatives are maintained at the:

Department of Transportation,
Federal Aviation Administration,
800 Independence Avenue, SW,
Washington, DC 20591.
and the:
Aeronautical Center,
Oklahoma City, OK 73125. The remainder of the system is located and maintained as a decentralized system with all designations being maintained at General Aviation District Offices, Air Carrier District Offices, Flight Standards District Offices, Engineering and Manufacturing District Offices, Air Traffic and Aviation Medicine Headquarters, regional or field offices that designate Air Traffic Control Tower Operator Examiners, Aviation Medical examiners, Forensic Pathologists, and the International field offices where delegations were made with lists of certain categories of representatives maintained by individual FAA Regional Offices to whom the designating field offices report.
See the National Field Directory (FAA), 1000.23F) for locations.

Categories of individuals covered by the system: Aviation medical examiners, pilot examiners, technical personnel examiners, designated aircraft maintenance inspectors, designated engineering representatives, and designated manufacturing inspection representatives.

Categories of records in the system: Records on qualification, certification, and appointment authorization.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To provide a data base for management use of the numbers and qualifications of individuals designated as representatives.

To facilitate written and oral communication between the FAA and its representatives.
To provide the public with the names and addresses of certain categories of representatives who may provide service to them.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in file folders.

Retrievability: Records are filed by designee name and retrieved by same.

Safeguards: Records are stored in lockable file cabinets.

Retention and disposal: Records are retained during the life of the designated, then destroyed 2 years after expiration of the designation.

System manager(s) and address: Chief of the office where the designation was made.

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegate at the following address:

Department of Transportation,
Federal Aviation Administration,
Office of the Administrator,
800 Independence Avenue, SW,
Washington, DC 20591.

Record source categories: Information in this system of records either comes from the individual to whom it applies or is derived from information he supplied.

DOT/FAA 831.

System name: Standard Procedure Uniform Reporting System (SPUR). DOT/FAA.

System location: This system is located at all General Aviation District Offices (GADO), Air Carrier District Offices (ACDO), Flight Standards District Offices (FSDO) in all regions. (See the FAA National Field Directory, 1000.23F for locations).

Categories of individuals covered by the system: Pilots, mechanics, parachute rigger examiners, flight instructors, authorized inspectors, air taxi operators, and avionics examiners.

Categories of records in the system: Accident reports, supplement reports, remedial checks, violations, records of inspections, surveillance and certification.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Aids supervisors in developing work plans and work measurements to accomplish agency mission.

Release to state aviation authorities for seminars and workshops.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Posted on big board in office and in file cabinets in office.

Retrievability: Data is retrieved by name of individual to be examined.

Safeguards: Data is available to members of FAA staffs on a need-to-know basis.

Retention and disposal: When the individual is no longer affiliated with the agency, or no further action is required.

System manager(s) and address: Chief of the GADO, ACDO, FSDO in region where individual is operating or assigned. (See the FAA National Field Directory, 1000.23F for addresses).

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegate at the following address:

Department of Transportation,
Federal Aviation Administration,
Office of the Administrator,
800 Independence Avenue, SW,
Washington, DC 20591.

Record source categories: By the applicant, inspector surveillance, or by being reported by an FAA official.

DOT/FAA 832.

System name: Pilot, Crewmember and Aircraft Rental Flight Record System. DOT/FAA.

System location: department of Transportation,
Federal Aviation Administration,
Aircraft Programs Division,
Headquarters Aircraft Management Branch,
800 Independence Avenue, SW,
Washington, DC 20591.
and at the:
Oklahoma City, OK 73125.
National Aviation Facilities Experimental Center (NAFSE),
Atlantic City, nj 08405.
and at:
All regional offices. (See the FAA National Field DIrectory, 1000.23F for locations).

Categories of individuals covered by the system: All FAA pilots, flight engineers assigned to FAA Flight Programs.

Categories of records in the system: Flight check records, list showing flight time, certifications and flight logs.

Authority for maintenance of the system: The Federal Aviation Act (1958) and the Department of Transportation Act (1966).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: These records are used by flight program management personnel to determine qualifications of crewmembers to:

Fly agency aircraft.
Utilize rental aircraft.
See prefatory statement of general routine uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are stored on magnetic tape, cardex files, and in file folders.

Retrievability: Records are retrieved by employee name and crewmember number.

Safeguards: Records are stored in a restricted, controlled-access, area and are used only by agency personnel with need-to-know.

Retention and disposal: Cardex and folder files are destroyed when employee is no longer designated to participate in agency flight programs. Magnetic tape is updated periodically.

System manager(s) and address: Chief, Operations Staff, AAC-8, Aeronautical Center, Oklahoma City, Oklahoma 73125, Chief, Aircraft Programs Division, Flight Standards Service, FAA, Washington, D.C. 20591, or Aircraft Management Branch in region where employed.

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegate at the following address:

Department of Transportation,
Federal Aviation Administration,
Office of the Administrator,
800 Independence Avenue, SW,
Washington, DC 20591.

Record source categories: Flight check records submitted by the individual to whom the record pertains; reports submitted by the pilot in command of each flight.

DOT/FAA 833.

System name: Housing Management Monthly Report, RIS: AL-4930-3. DOT/FAA.

System location: Department of Transportation(DOT),
Federal Aviation Administration(FAA),
Alaskan Regional Office,
632 W. Sixth Ave.,
Anchorage, AK 99501.

Categories of individuals covered by the system: Names of employees occupying FAA owned or leased housing.

Names of employees and agencies who lease FAA housing.

Categories of records in the system: Housing records, leases, maintenance bills, damage reports.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Maintain percentage of occupancy.

Maintain up-to-date record of maintenance cost.

Maintain up-to-date list of persons occupying FAA units.
Provide above information to Sector Managers.
Provide above information to local sector.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Filing cabinet, Housing Management, AAL-52D.

Retrievability: Station location.

Unit numbers.
Name of employee.
Number of dependents.
Pay Period rental rate.

Safeguards: Retrieved only by agency personnel on a need-to-know basis

Retention and disposal: Monthly records are destroyed upon receipt of next month's ADP run.

End of year reports are retained.

System manager(s) and address: Housing Management Officer,

632 W. Sixth Ave.,
Anchorage, AK 99501.

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegate at the following address:

Department of Transportation,
Federal Aviation Administration,
Office of the Administrator,
800 Independence Avenue, SW,
Washington, DC 20591.

Record source categories: Employees.

Employees of other agencies that lease housing from FAA.

DOT/FAA 834.

System name: Commissary Billing. DOT/FAA.

System location: Department of Transportation(DOT),

Federal Aviation Administration(FAA),
Alaskan Regional Office,
632 W. Sixth Avenue,
Anchorage, AK 99501.

Categories of individuals covered by the system: FAA Employees.

Categories of records in the system: Commissary Billing Invoices.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Bill FAA employees for goods received from the FAA Commissary.

Commissary sales analysis.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File Folders.

Data Processing Cards.

Retrievability: Organization, Voucher Number, Name.

Safeguards: Restricted computer room access.

Only need-to-know rule.

Retention and disposal: Data Processing Cards held for 30 days after last use, then placed in trash.

File folders held 2 years, then destroyed at dump.

System manager(s) and address: Chief, Accounting Division, AAL-20,

632 Sixth Avenue, Anchorage, AK 99501.

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegate at the following address:

Department of Transportation,
Federal Aviation Administration,
Office of the Administrator,
800 Independence Avenue, SW,
Washington, DC 20591.

Record source categories: Individuals requesting goods from the FAA Commissary.

DOT/FAA 835.

System name: Work Scheduling and Management System. DOT/FAA.

System location: Department of Transportation (DOT),

Federal Aviation Administration (FAA),
Office of the Administrator,
800 Independence Avenue, SW,
Washington, DC 20591.
This system of records is located at all levels of supervision and management within the Federal Aviation Administration.
FAA Headquarters is located at:
800 Independence Avenue, SW,
Washington, DC 20591.
See the FAA National Field Directory, 1000.23F, for field addresses.

Categories of individuals covered by the system: Agency employees.

Categories of records in the system: This system contains records required by supervisors and managers to assign and record the assignment of projects, shifts, and other duties to agency employees such as:

Duty rosters.
List of employees by watch, shift, unit, or other work group.
Work and training schedules.
In addition, it may contain information about certificated schools, airman repair stations, and other similar aviation related people and activities needed to help supervisors assign work related to these organizations and people.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: This system of records is used to:

Assign tasks and projects to individual employees or groups of employees.
Assign workers to shift and hours of duty.
Assign individuals to training, leave, and other administrative activities.
Record work assigned and performed by individual employees or groups of employees.
Record available workforce and their status and distribution.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained on display boards, black boards, loose leaf notebooks, file folders, and similar informal storage devices.

Retrievability: Records are retrievable by name, organization, project title, or other similar work-related identifier.

Safeguards: Files are controlled by the supervisor.

Retention and disposal: These records are continuously changed and purged as work assignments change and people are reassigned

System manager(s) and address: Supervisors of field offices, regions and centers, and Washington organizations down to the branch level and below. See location information in 'System Location' paragraph above.

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegate at the following address:

Department of Transportation,
Federal Aviation Administration,
Office of the Administrator,
800 Independence Avenue, SW,
Washington, DC 20591.

Record source categories: Information is obtained from personnel records and from the individual employee.

DOT/FAA 836.

System name: Citizens Advisory Committee on Aviation. DOT/FAA.

System location: Department of Transportation(DOT),
Federal Aviation Administration (FAA),
Office of Public Affairs,
800 Independence Avenue, SW,
Washington, DC 20591.

Categories of individuals covered by the system: Present members of the Citizens Advisory Committee on Aviation; past members of the Woman's Advisory Committee on Aviation, predecessor of the Citizens Advisory Committee; and individuals interested in being considered for appointment.

Categories of records in the system: Biographies and photographs of members and travel records (includes travel orders, travel vouchers, and claims for reimbursement).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Biographies used in connection with appointing members to the committee. Maintaining travel expenses. Also, information in the system may be disclosed to members of the public and the news media. See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are stored in file cabinets.

Retrievability: Records indexed alphabetically by name.

Safeguards: Access through request to System Manager.

Retention and disposal: Retained for five years then sent to storage after inventory of files.

System manager(s) and address: Assistant Administrator for Public Affairs,
Department of Transportation,
Federal Aviation Administration,
Office of Public Affairs,
800 Independence Avenue, SW, Room 912,
Washington, DC 20591.

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegate at the following address:
Department of Transportation,
Federal Aviation Administration,
Office of the Administrator,
800 Independence Avenue, SW,
Washington, DC 20591.

Record source categories: Members of Citizens Advisory Committee on Aviation and former members of the Woman's Advisory Committee on Aviation.

DOT/FAA 837.

System name: Photographs and Biographical Information. DOT/FAA.

System location: Department of Transportation(DOT),
Federal Aviation Administration(FAA),
Office of Public Affairs,
800 Independence Avenue, SW,
Washington, DC 20591.
and
Public Affairs Offices at the following FAA Regions and Centers:
Aeronautical Center,
P.O. Box 25082,
Oklahoma City OK 73125.
Alaskan Region,
632 Sixth Avenue,
Anchorage, AK 99501.
Central Region,
601 East 12th Street,
Kansas City, MO 64106.
Eastern Region,
Federal Bldg., JFK International Airport,
Jamaica, NY 11430.
Great Lakes Region,
2300 E. Devon Avenue,
Des Plaines, IL 60018.
National Aviation Facilities Experimental Center,
Atlantic City, NJ 08405.
New England Region,
12 New England Executive Park,
Burlington, MA 01803.
Northwest Region,
FAA Building, Boeing Field,
Seattle, WA 98108.
Pacific-Asia Region,
P.O. Box 4009,
Honolulu, HA 96813.
Rocky Mountain Region,
Park Hill Station, P.O. Box 7213,
Denver, CO 80207.
Southern Region,
P.O. Box 20636,
Atlanta, GA 30320.
Southwest Region,
P.O. Box 1689,
Fort Worth, TX 76101.
Western Region,
P.O. Box 92007,
World Way Postal Center,
Los Angeles, CA 90009.

Categories of individuals covered by the system: FAA employees and other individuals who may appear with them in candid photographs.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The system is maintained to provide information to the public--particularly the news media--and for use in employee publications. See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are stored in file cabinets.

Retrievability: Records indexed alphabetically by name or subject.

Safeguards: Access through request to the System Manager.

Retention and disposal: Materials retained as long as individual or event is newsworthy, following which they are destroyed. In certain cases, material is retained for historical purposes.

System manager(s) and address: Assistant Administrator for Public Affairs,
Department of Transportation,
Federal Aviation Administration,
800 Independence Avenue, SW,
Washington, DC 20591.
Public Affairs Officers at the addresses shown in 'System Location' above.

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the applicable System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the applicable System Manager

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegate at the following address:
Department of Transportation,
Federal Aviation Administration,
Office of the Administrator,
800 Independence Avenue, SW, Washington, DC 20591.

Record source categories: FAA employees and other individuals who may appear with them in candid photographs.

DOT/FAA 838.

System name: Congressional/Employment Inquiry Files. DOT/FAA.

System location: Office of Personnel and Training, Personnel Staffing and Benefits Branch, Personnel Programs Division, and Employment Branch, Personnel Management Operations Division, Washington, D. C. 20591.

Categories of individuals covered by the system: Current and former FAA employees and applicants for FAA employment.

Categories of records in the system: Correspondence submitted by, or on behalf of an individual including applications, resumes, reference letters and inquiries on the status of circumstances surrounding the application, employment situation or separation from FAA employment.

Responses to such correspondence.
Staff recommendations and supporting information.

Authority for maintenance of the system: The Federal Aviation Act of 1958, as amended and the Department of Transportation Act, as amended.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by FAA officials to provide information to a Congressional office from the record of the individual in response to an inquiry from a Congressional office made at the request of that individual.

Used by FAA officials for referral to the appropriate FAA action office for preparation of recommendations and responses to inquiries. See prefatory statement of general routine uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The records are maintained in file folders.

Retrievability: The records are indexed by individuals' name.

Safeguards: The records are located in lockable metal file cabinets with access limited to those whose official duties require access.

Retention and disposal: The records are retained for two years from the date of the most recent inquiry. They are then disposed of by shredding.

System manager(s) and address: Chiefs,

Employment Branch,
APT-150 and Personnel Staffing and Benefits Branch,
APT-220,
Federal Aviation Administration,
800 Independence Avenue, S.W.,
Washington, D.C. 20591.

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegatee, 800 Independence Ave., S. W., Washington, D. C. 20591.

Record source categories: Information in this system of records either comes from the individual to whom it applies or is derived from information the individual supplied or was supplied on behalf of him/her. Other information may be supplied by FAA Officials.

DOT/FAA 839.

System name: Printing Branch Distribution System. DOT/FAA.

System location: Department of Transportation(DOT),

Federal Aviation Administration(FAA),
Office of Management Systems,
800 Independence Avenue, SW,
Washington, DC 20591.

Categories of individuals covered by the system: Individuals or governmental agencies interested in receiving Federal Aviation Documents.

Categories of records in the system: Names and addresses of those individuals and/or governmental organizations wishing to be placed on the mailing lists for FAR's, AC's, NPRM's, the AIM, and various miscellaneous publications.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To serve as a comprehensive mailing list of individuals and/or governmental agencies for dissemination of all and/or specific parts of FAR's, AC's, NPRM's, the AIM, and various miscellaneous publications.

Subject system of records correlates or matches specific consumer information requirements to subject category information contained in the FAR's, AC's, NPRM's, the AIM, and miscellaneous publications, thereby reducing printing costs and, at the same time, satisfying the particular needs and interests of the recipients of desired information.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are stored on magnetic tape.

Retrievability: Records are retrieved by record identification numbers (state code, city code, first 5 characters of last name of customer, and first initial of customer).

Safeguards: Magnetic Tape: Data may only be retrieved by computer operators as authorized by the System Manager or delegate.

Requests for disclosure of and access to records are screened carefully and must satisfy the 'need-to-know' policy.
The file room is open to agency personnel only.

Retention and disposal: Magnetic tapes are updated annually as a result of canvassing, and retention and disposal of records are governed by consumer requests, in writing.

System manager(s) and address: Chief, Systems Support Division, AMS-100,

Department of Transportation,
Federal Aviation Administration,
Office of Management Systems,
800 Independence Avenue, SW,
Washington, DC 20591.

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegate at the following address:

Department of Transportation,
Federal Aviation Administration,
Office of the Administrator,
800 Independence Avenue, SW,
Washington, DC 20591.

Record source categories: Information is obtained from:

Aviation Medical Examiners.
General aviation public.
Consumers and consumer organizations.
Other government agencies.
Libraries.
Army, Navy, Air Force, etc.

DOT/FAA 840.

System name: Federal Aviation Administration (FAA) Maintenance Base Personnel Training Records System. DOT/FAA.

System location: All FAA Maintenance Bases. (See FAA National Field Directory, 1000.23F, for locations.)

Categories of individuals covered by the system: FAA maintenance base personnel.

Categories of records in the system: Dates of attendance for all types of training, including: on-the-job training; formal classroom training; maintenance orientation; special situation training, new employee indoctrination; management training; and non-resident training.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: By agency officials, supervisors, and foremen to determine qualifications of repairmen and inspectors.

By agency officials to determine training requirements. See Prefatory Statement of Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are stored in file cabinets and file folders.

Retrievability: Records are indexed by employee name alphabetically, and are retrieved by name.

Safeguards: Records are stored in steel file cabinets which are locked when not in use.

Retention and disposal: Records are retained for the duration of employment as a maintenance base employee; are transferred with the employee when appropriate; and are destroyed by burning or shredding upon termination of employment.

System manager(s) and address: Supervisors of FAA maintenance bases. see FAA National Field Directory (100.23F) for addresses.

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the applicable System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the applicable System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegate at the following address:

Department of Transportation,
Federal Aviation Administration,
Office of the Administrator,
800 Independence Avenue, SW,
Washington, DC 20591.

Record source categories: Agency training records, and supervisor's training certifications.

DOT/FAA 841.

System name: NAFEC Manpower Requirements Information System (MARQUIS), DOT/FAA.

System location: Department of Transportation (DOT),

Federal Aviation Administration (FAA),
National Aviation Facilities Experimental Center (NAFEC),
Atlantic City, NJ 08405.

Categories of individuals covered by the system: All center personnel (except tenants and contractors) by specialized skills.

Categories of records in the system: Identification data, education level and history, current technical assignment (including expected completion date), skills (derived from specialized skills indexed), training and work experience background, and licenses and certificates.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To locate employees with the skills or combination of skills needed for specified current or future program assignments.

Identify employees qualified for detail or possible reassignment to new, special, or higher priority program activities.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Stored on magnetic tapes, selected printouts in file folders in EMS staff and personnel management staff offices.

Retrievability: Selected according to skill requirement, name, employee number, location, grade, job title and series, education level, current technical assignment skill(s) and training and work experience background.

Safeguards: Authorized by Chief, Engineering Management Staff, (NAFEC), ANA-4.

User ID number for access to data banks and printouts are stored in a secure cabinet in ANA-4.

Retention and disposal: Magnetic tape storage updated continuously, Printouts destroyed after use.

System manager(s) and address: Chief, Engineering Management Staff, ANA-4,

National Aviation Facilities Experimental Center,
Atlantic City, NJ 08405.

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves contained in this system of records should contact or address inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegate at the following address:

Department of Transportation,
Federal Aviation Administration,
Office of the Administrator,
800 Independence Avenue, SW,
Washington, DC 20591.

Record source categories: Individual center employees.

DOT/FAA 842.

System name: Federal Air Marshal Program Management System. DOT/FAA.

System location: Department of Transportation (DOT),

Federal Aviation Administration (FAA),
Civil Aviation Security Service,
Air operations Security Division,
800 Independence Avenue, SW,
Washington, DC 20591.

Categories of individuals covered by the system: This system of records contains information on past and present FAA employees who have been or are participants in the Federal Air Marshal program.

Categories of records in the system: This system consists of a variety of records necessary to manage the Federal Air Marshal program.

These records include information about the medical/physical fitness, weapons proficiency and training qualifications of each individual.
Also included in the system are documents pertaining to deputation as Deputy U.S. Marshal, equipment issued and other related papers and background information.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Information in this system is used to:

Record qualifications of Air Marshals (weapon, physical, medical).
Maintain current record of weapon and equipment issued.
Record training completed.
Record previous experience, background information.
and schedule assignments.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in file folders.

Retrievability: Records are indexed by name of individual.

Safeguards: Records are maintained in approved security files and containers. Access to and use of these records is limited to those persons whose official duties require such access.

Retention and disposal: Records are kept indefinitely.

System manager(s) and address: Chief, Air Operations Security Division, ACS-100,

Department of Transportation,
Federal Aviation Administration,
Civil Aviation Security Service,
800 Independence Avenue, SW,
Washington, DC 20591.

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves contained in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegate at the following address:

Department of Transportation,
Federal Aviation Administration,
Office of the Administrator,
800 Independence Avenue, SW,
Washington, DC 20591.

Record source categories: Information is obtained from the individual to whom it applies, from other FAA records which contain information supplied by the individual, and from results of training.

DOT/FAA 843.

System name: FAA World Home Address System. DOT/FAA.

System location: FAA Office of Public Affairs, Washington, D. C.

Categories of individuals covered by the system: FAA full time permanent and temporary employees.

Categories of records in the system: Address labels, master list (names, addresses, job series, and job location), and error list.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Home mailing of FAA World magazine; occasional mailing of other employee information material and employee union ballots. See prefatory statement of general routine uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic tape; paper printouts.

Retrievability: By last name, job series and job location.

Safeguards: Magnetic tape used only by authorized computer operators; paper printouts only by authorized Headquarters and regional control points.

Retention and disposal: Magnetic tape is re-used until worn, then destroyed; paper printouts are destroyed after each monthly use to check accuracy of data.

System manager(s) and address: Assistant Administrator,
Office of Public Affairs,
Federal Aviation Administration,
800 Independence Avenue, S.W.,
Washington, D.C. 20591.

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the Administrator or his delegatee, 800 Independence Ave., S. W., Washington, D. C. 20591.

Record source categories: FAA employees, on a voluntary basis.

DOT/FHWA 202.

System name: University and Industry Programs Coding and Filing System. DOT/FHWA.

System location: Department of Transportation (DOT),
Federal Highway Administration (FHWA),
National Highway Institute, 400 7th Street, SW, Room 4207,
Washington, DC 20590.

Categories of individuals covered by the system: Current and prior year candidates for FHWA fellowships/scholarships employees of FHWA and State and local transportation agencies.

Categories of records in the system: Applications for fellowships.
Transcripts of grades.
Follow-up evaluation reports.
Study progress reports.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Applications and transcripts used by fellowship selection panel.
Study progress reports and follow-up evaluation reports used by the National Highway Institute in program evaluation.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders.

Retrievability: Name.

Safeguards: Stored in file cabinets.

Retention and disposal: 5 years - discarded in trash.

System manager(s) and address: Administrative Assistant, Department of Transportation,
Federal Highway Administration,
National Highway Institute,
400 7th Street, SW, Room 4206,
Washington, DC 20590.

Notification procedure: Any individual that has applied for a fellowship/scholarship may obtain such information by written request to the Institute. The requestor should know the year of his application.

Record access procedures: May be obtained from the 'System Manager' at the address given above.

Contesting record procedures: May be obtained from the 'System Manager' at the address given above.

Record source categories: The information comes from individual applications, reports prepared by the individuals and their faculty advisors, and college transcripts.

DOT/FHWA 204.

System name: FHWA Motor Carrier Safety Porposed Civil and Criminal Enforcement Cases. DOT/FHWA.

System location: Department of Transportation (DOT),
Federal Highway Administration (FHWA),
All FHWA Regional Offices. (See 49 CFR Part 7 Appendix D for addresses).

Categories of individuals covered by the system: Officers, Agents or Employees of Motor Carriers, including drivers who have been the subject of investigation for Motor Carrier Safety regulation violations.

Categories of records in the system: This system of records contains information pertaining to Motor Carrier Safety regulation violations and identifying features.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: These records and information in the records are used for referral to U.S. Attorney civil proceedings or referred to other agencies for criminal or civil investigation of other Federal violations.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders in the Regional Counsel's Office.

Retrievability: These records are indexed by names of Motor Carriers or individuals.

Safeguards: The records are marked 'Confidential.' Only Regional Counsel Office employees or Bureau of Motor Carrier Safety (BMCS) employees have access to the files.

Retention and disposal: The records are retained for one year and then are sent to the Central Files for an additional three year period.

System manager(s) and address:
FHWA, Regional Counsel,
FHWA, Regional Offices (See 49 CFR Part 7 Appendix D for addresses.)

Notification procedure: Same as 'System Manager'.

Record access procedures: Same as 'System Manager'.

Contesting record procedures: Same as 'System Manager'.

Record source categories: Individuals, motor carrier files, and BMCS files, etc., as gathered by BMCS investigators.

Systems exempted from certain provisions of the act: Pursuant to (k) (2) of 5 U.S.C. 552a this system of records is exempt from subsections (c)(3), (d), (e)(1), (e)(4)(G), (H), and (I) and (f).

DOT/FHWA 208.

System name: Application for U.S. Government Motor Vehicle Operator's Identification Card (U.S. Government Drivers License). DOT/FHWA.

System location: Department of Transportation (DOT),
Federal Highway Administration (FHWA),
Office of Management Systems, HMS-4,
400 7th Street, SW, Room 4317
Washington, DC 20590.
System is also maintained at:
All FHWA Regional and Division Offices (See 49 CFR Part 7 Appendix D for addresses).

Categories of individuals covered by the system: FHWA employees who have applied for Federal Government Drivers License.

Categories of records in the system: Application for Operator's Identification card, Form FHWA-133, and Physical Fitness Inquiry for Motor Vehicle Operators, Standard Form 47.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Identification of FHWA drivers, and qualification of the driver.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The records are maintained in a file cabinet in folders.

Retrievability: The system is indexed alphabetically by name of applicant.

Safeguards: Personnel screening.

Retention and disposal: Records are retained until expiration of licensing period.

System manager(s) and address: Occupational Safety Engineer,
Department of Transportation,
Federal Highway Administration,
400 7th Street, SW,
Washington, DC 20590.
Executive Officer in Regions; Administrative Managers in Divisions (See 49 CFR Part 7 Appendix D for addresses).

Notification procedure: The requester must provide his name, organizational element, and proper identification to the address in 'System Manager' above.

Record access procedures: Same as in 'System Manager' above.

Contesting record procedures: Same as in 'System Manager' above.

Record source categories: The information is provided by the individual on his application for an FHWA U.S. Government drivers license.

DOT/FHWA 209.

System name: Driver Accident Record Cross-Reference File. DOT/FHWA.

System location: Department of Transportation (DOT),
Federal Highway Administration (FHWA),
FHWA Regional Office,
Bureau of Motor Carrier Safety,
Room 210, Mohawk Building,
222 SW Morrison Street,
Portland, OR 97204.

Categories of individuals covered by the system: All Motor Carrier drivers who have had commercial accidents in the Region 10 geographic area and drivers from motor carriers based in Region 10 who have had commercial accidents anywhere in the United States.

Categories of records in the system: Card file cross-referenced to motor carrier files.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To identify commercial drivers who have been involved in one or more commercial accidents.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained on 3x5 index cards.

Retrievability: Filed alphabetically by driver's name.

Safeguards: Personnel screening of those individuals requesting driver accident information.

Retention and disposal: Retained indefinitely until driver discontinues his line of work. Discarded in a trash receptacle.

System manager(s) and address:
FHWA Regional Director,
FHWA Regional Office,
Bureau of Motor Carrier Safety,
Room 210, Mohawk Building,
222 SW Morrison Street,
Portland, OR 97204.

Notification procedure: May be obtained upon request by supplying name to the address in 'System Manager' above.

Record access procedures: Same as in 'System Manager' above.

Contesting record procedures: Same as in 'System Manager'.

Record source categories: Accident information on the cards are transposed from the Motor Carier Safety Accident Forms (MCS 50-T) and State Police Accident Reports.

DOT/FHWA 210.

System name: Occupational Safety and Health Accident Reporting System. DOT/FHWA.

System location: Department of Transportation (DOT),
Federal Highway Administration (FHWA),
Office of Management Systems, HMS-4,
400 7th Street, SW, Room 4317,
Washington, DC 20590. Duplicate copies may be retained in Regional and Division offices (See 49 CFR Part 7 Appendix D for addresses).

Categories of individuals covered by the system: Any FHWA employee involved in an occupational accident.

Categories of records in the system: The system contains all type of occupational accidents such as, employees' injuries, occupational illnesses, motor vehicle, property damage, fire and explosion, vessel, train, and incidents not resulting in injuries or property damage.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The use and purpose of the information is to obtain information for accident prevention.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The records are maintained in a file cabinet in folders.

Retrievability: The system is indexed by organizational element and name.

Safeguards: Personnel screening.

Retention and disposal: Records are retained for five years as required by Occupational Safety and Health Act of 1970, as amended, Title 29, U.S.C., Part 1960, Safety and Health Provisions for Federal Employees. The records are then forwarded to the Records Center of Archives and Records Services, GSA.

System manager(s) and address: Occupational Safety Engineer,
Department of Transportation,
Federal Highway Administration,
Office of Management Systems,
400 7th Street, SW, Room 4317,
Washington, DC 20590.

Notification procedure: The requester must provide his name, organizational element, and/or date of the accident, and proper identification to address in 'System Manager' above.

Record access procedures: Same as in 'System Manager' above.

Contesting record procedures: Same as in 'System Manager' above.

Record source categories: The information is obtained from individuals involved in the accident, and by investigation by the individual's supervisors. Management obtains the information through investigation.

DOT/FHWA 211.

System name: Motor Carrier Accident File - Property and Passenger. DOT/FHWA.

System location: Department of Transportation (DOT),
Federal Highway Administration (FHWA),
400 7th Street, SW,
Washington, DC 20590.
(Hard copy is located in Room 4432-Magnetic tape storage is located in Room 2401.)
Hard copy is also stored in the Federal Records Center.

Categories of individuals covered by the system: Accidents reported by motor carriers of passengers and property.

Categories of records in the system: Motor Carrier Accident Report Form.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Compilation of data concerning accident frequency and causation.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Hard copy and magnetic tape.

Retrievability: Indexed by carrier name or driver's name.

Safeguards: Personnel screening.

Retention and disposal: Retained indefinitely - continuing data reference for comparison purposes.

System manager(s) and address:

Chief, Accident Analysis Branch, Department of Transportation, Federal Highway Administration, Bureau of Motor Carrier Safety, Regulations Division, 400 7th Street, SW, Room 3404, Washington, DC 20590.

Notification procedure: Same as in 'System Manager' above.

Record access procedures: Information available upon request to Chief, Accident Analysis Branch, Regulations Division at the address in 'System Manager' above.

Contesting record procedures: Same as in 'System Manager' above.

Record source categories: Information supplied by motor carrier.

DOT/FHWA 212.

System name: Medals of Honor File. DOT/FHWA.

System location: Department of Transportation (DOT), Federal Highway Administration (FHWA), Bureau of Motor Carrier Safety, Compliance Division, 400 7th Street, SW, Room 3403, Washington, DC 20590.

Categories of individuals covered by the system: Nominees and recipients of Presidential Medals of Honor for Lifesaving on Highways.

Categories of records in the system: Description of incidents, Investigator's report, and related correspondence.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Determination of applicability of Medals of Honor criteria, background information for preparation of Medal presentation.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folder, one file drawer.

Retrievability: Indexed by name of nominee/recipient.

Safeguards: Personnel screening.

Retention and disposal: Approximately two years retention after presentation or denial.

System manager(s) and address: Chief, Compliance Division, Department of Transportation, Federal Highway Administration, Bureau of Motor Carrier Safety, 400 7th Street, SW, Room 3403, Washington, DC 20590.

Notification procedure: Same as in 'System Manager' above.

Record access procedures: Same as in 'System Manager' above.

Contesting record procedures: Same as in 'System Manager' above.

Record source categories: Medal of Honor Nomination.

DOT/FHWA 213.

System name: Driver Waiver File. DOT/FHWA.

System location: Department of Transportation (DOT), Federal Highway Administration (FHWA), Bureau of Motor Carrier Safety, Regulations Division, Driver Requirements Branch, 400 7th Street, SW, Room 3402, Washington, DC 20590.

Categories of individuals covered by the system: Operators of interstate commercial vehicles transporting certain commodities.

Categories of records in the system: Application for waiver (usually involving physical disability).

Final disposition of request for waiver.

Waiver renewal.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Control of physically impaired operators of commercial motor vehicles.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folder, entire file cabinet.

Retrievability: Information retained by name.

Safeguards: File is classified as non-confidential. Accessible to certain employees of Regulations Division, Bureau of Motor Carrier Safety.

Retention and disposal: Files are retained while waiver is active. Inactive waiver files are purged each year.

System manager(s) and address: Chief, Driver Requirements Branch, Department of Transportation, Federal Highway Administration, Bureau of Motor Carrier Safety, 400 7th Street, SW, Washington, DC 20590.

Notification procedure: Same as in 'System Manager' above.

Record access procedures: Requests for information should be made in writing to Chief, Driver Requirements Branch at the address in 'System Manager' above, giving name of individual.

Contesting record procedures: Same as in 'System Manager' above.

Record source categories: Information obtained from Application for Waiver or Waiver Renewal.

DOT/FHWA 214.

System name: Investigations Case File System. DOT/FHWA.

System location: Department of Transportation (DOT), Federal Highway Administration (FHWA), Office of Program Review and Investigations, 400 7th Street, SW, Room 4203, Washington, DC 20590.

Categories of individuals covered by the system: Any individuals, including Federal Highway Administration employees, State and other political subdivision personnel, contractors and contractor employees who were the subjects of investigation based on allegations of wrongdoing relating to the Federal-aid highway program.

Categories of records in the system: Records of investigation conducted by the Office of Program Review and Investigations of allegations of irregularities, fraud, bribery, false statements, and other improprieties.

Reports of violation of or noncompliance with administrative rules and regulations pertaining to programs administered by the FHWA.

Reports of investigation from State and Federal agencies, including the FBI, relating to the Federal-aid highway program.

Newspaper clippings containing articles relating to questionable or un- ethical conduct, irregularities, investigations, indictments, and convictions of individuals and officials who are or might be involved in Federal-aid highway programs or related fields.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Reports of investigation that contain information indicating a possible violation of Federal or State criminal or civil laws are referred to the appropriate prosecutive authorities for their evaluation of prosecution.

Results of investigation are evaluated for the purpose of making recommendations to the Federal Highway Administrator and other officials for their use in determining the action to be taken regarding procedural deficiencies or unacceptability action against an individual under Title 23, Code of Federal Regulations, Part 16.

Reports of investigation are furnished to State highway departments to aid them in correcting procedural deficiencies that were disclosed.

Reports are furnished to Members of Congress, upon their request for specific information.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in file folders.

Retrievability: System is indexed by the name of individuals.

Safeguards: Records are maintained in locked file cases and are released only to authorized personnel with a followup system for prompt return.

Retention and disposal: Files are kept until they are three years old and then transferred to the Federal Records Center. They are destroyed when 10 years old.

System manager(s) and address: Chief, Investigations and Special Inquiry Division,

Department of Transportation,
Federal Highway Administration,
400 7th Street, SW, Room 4203,
Washington, DC 20590.

Notification procedure: Individuals wishing to know whether information about them is maintained in this system should address inquiries to the:

Department of Transportation,
Federal Highway Administration,
Office of Program Review and Investigations,
400 7th Street, SW, Room 4203,
Washington, DC 20590.

Record access procedures: Individuals who desire access to or to contest information about them in this system of records should contact or address their inquiries to the:

Department of Transportation,
Federal Highway Administration,
Office of Program Review and Investigations,
400 7th Street, SW, Room 4203,
Washington, DC 20590.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: The information contained in our investigatory records was obtained through investigations, interviews of various individuals, examination of official and public records, and information from State and Federal agencies. Information is also received from identified private citizens, anonymous sources and newspaper articles.

Systems exempted from certain provisions of the act: Pursuant to (k) (2) of 5 U.S.C. 552a this system of records is exempt from subsections (c)(3), (d),(e)(1), (e)(4)(G),(H), and (I) and(f).

DOT/FHWA 215.

System name: Travel Advance File. DOT/FHWA.

System location: Department of Transportation (DOT),

Federal Highway Administration (FHWA),
Office of Fiscal Services,
400 7th Street, SW,
Washington, DC 20590.
All FHWA Regional Offices (See 49 CFR Part 7 Appendix D for addresses).

Categories of individuals covered by the system: Recipients of travel advances.

Categories of records in the system: Record of travel advances and repayments.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To control repayment of travel advances.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained on a 5 x 8 inch form.

Retrievability: Indexed by name.

Safeguards: Records are maintained in a locked file.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Schedule 9, Travel and Transportation Reocrds, of the General Records Schedules (FPMR 101-11.4).

System manager(s) and address: Chief, Voucher Review & Disbursement Section,

Department of Transportation,
Federal Highway Administration,
Office of Fiscal Services,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Same as System Manager.

Record access procedures: Same as System Manager.

Contesting record procedures: Same as System Manager.

Record source categories: Individual on whom the record is maintained.

DOT/FHWA 216.

System name: Travel Voucher - Change of Duty Station. DOT/FHWA.

System location: Department of Transportation (DOT),

Federal Highway Administration (FHWA),
Office of Fiscal Services,
400 7th Street, SW ,
Washington, DC 20590.

Categories of individuals covered by the system: Employees reimbursed for change of duty station travel.

Categories of records in the system: Record of travel expenses claimed for change of duty station.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Record of travel expenses for change of duty station.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained on a 8 x 10 inch form.

Retrievability: Indexed by name.

Safeguards: Supervised by the Chief, Voucher Review & Disbursements Section.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system: Schedule 9, Travel and Transportation Records, of the General Records Schedules (FPMR 101-11.4).

System manager(s) and address: Chief, Voucher Review & Disbursements Section,

Department of Transportation,
Federal Highway Administration,
Office of Fiscal Services,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Same as in 'System Manager' above.

Record access procedures: Same as in 'System Manager' above.

Contesting record procedures: Same as in 'System Manager' above.

Record source categories: Individual on whom the record is maintained.

DOT/FHWA 217.

System name: Accounts Receivable. DOT/FHWA.

System location: Department of Transportation (DOT),

Federal Highway Administration (FHWA),
Office of Fiscal Services,
400 7th Street, SW,
Washington, DC 20590.

Categories of individuals covered by the system: Individuals indebted to the Federal Highway Administration.

Categories of records in the system: Amount of indebtedness.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To control accounts receivable.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in indexed loose-leaf book.

Retrievability: By name.

Safeguards: Supervised by Chief, General Ledger and Funds Control Section.

Retention and disposal: Schedule 7, Expenditure Accounting Records, of General Records Schedules (FPMR-101-11.4).

System manager(s) and address: Chief, General Ledger & Funds Control Section,

Department of Transportation,
Federal Highway Administration,
Office of Fiscal Services,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Same as in 'System Manager' above.

Record access procedures: Same as in 'System Manager' above.

Contesting record procedures: Same as in 'System Manager' above.

Record source categories: Employer.

DOT/FHWA 218.

System name: Memorandum of Monthly Performance of Keypunch Operators. DOT/FHWA.

System location: Department of Transportation (DOT),
Federal Highway Administration (FHWA),
Transportation Computer Center,
Operations Division - Data Section,
400 7th Street, SW, Room 2101/2401,
Washington, DC 20590.

Categories of individuals covered by the system: Keypunch and key-to-tape operators.

Categories of records in the system: The System consists of a Computer Printout Report containing various production information.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: This information is used as a measurement of employee performance and equipment utilization by TCC managers.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The report is in the form of a computer printout.

Retrievability: System is indexed by employee number. Employee's name does not appear on report.

Safeguards: Report is returned and filed in a folder by Chief, Data Section in a locked file drawer.

Retention and disposal: The reports are kept for a period of one year at which time they are disposed of by a shredding process.

System manager(s) and address: Chief, TCC Operations Group A,
Department of Transportation,
Federal Highway Administration,
Transportation Computer Center
400 7th Street, SW, Room 2401,
Washington, DC 20590.
Chief, TCC Operations Group B,
Department of Transportation,
Federal Highway Administration,
Transportation Computer Center,
400 7th Street, SW, Room 2101,
Washington, DC 20590.

Notification procedure: Same as in 'System Manager' above.

Record access procedures: Same as in 'System Manager' above.

Contesting record procedures: Same as in 'System Manager' above.

Record source categories: The information comes from an employee utilization form filled out by each operator for each job keyed.

DOT/FHWA 219.

System name: Employee Utilization (monthly report). DOT/FHWA.

System location: Department of Transportation,
Federal Highway Administration,
Data Systems Division,
400 7th Street, SW, Room 2410,
Washington, DC 20590.

Categories of individuals covered by the system: All computer programers and systems analysts in the Data Systems Division (DSD), FHWA.

Categories of records in the system: Working file (internal).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Basis for reimbursable charges for services to other organizational elements (computer program maintenance and/or development).

Basis for Planning Staff projections of current and future workloads; estimates, etc.
Can be used to evaluate employee proficiency and productivity.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Computer printouts and magnetic tape.

Retrievability: Organization, two digit employee numbers, Project 0, operation code, date.

Safeguards: Secure building.

Retention and disposal: Magnetically recorded data is erased on a quarterly basis.

Printouts are usually destroyed on a monthly or quarterly basis.
One complete historical printout is retained by the planning staff for long range reference.

System manager(s) and address: Chief, Data System Division,
Department of Transportation,
Federal Highway Administration,
400 7th Street, SW, Room 2418,
Washington, DC 20590.

Notification procedure: Same as in 'System Manager' above.

Record access procedures: Same as in 'System Manager' above.

Contesting record procedures: Same as in 'System Manager' above.

Record source categories: Data Systems Division computer programers and system analysts provide this information on a weekly basis - accounting for their various work activities plus leave, training, etc.

DOT/FHWA 220.

System name: Payroll Administration. DOT/FHWA.

System location: Department of Transportation (DOT),
Federal Highway Administration (FHWA),
FHWA Region 10,
Office of Federal Highway Projects,
610 E. Fifth Street,
Vancouver, WA 98661.
FHWA Region 8, P.O. Box 25246, Building 40,
Denver Federal Center,
Denver, CO 80225.
Office of Fiscal Services,
400 7th Street, SW,
Washington, DC 20590.

Categories of individuals covered by the system: Employees of the following Headquarters Elements:
Department of Transportation.
Federal Highway Administration.
Office of the Secretary of Transportation.
Federal Railroad Administration.
National Highway Traffic Safety Administration.
Urban Mass Transportation Administration.
Also:
FHWA employees in Regions 8 and 10.
Employees of the National Transportation Safety Board.

Categories of records in the system: Contains all data relative to payrolling employees. Includes time, attendance, leave, gross pay and payroll deduction records.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Payrolling and Leave Administration.

The purpose of each use of categories of records within the DOT/FHWA Payroll Administration is to enable the administration of the payroll function and related financial matters in accordance with applicable laws and regulations and to comply with the requirements of the Comptroller General.

System Uses:

1. For transmittal of data to U.S. Treasury to effect issuance of paychecks to employees and distribution of pay according to employee directions for savings bonds, allotments, financial institutions and other authorized purposes.
2. For reporting tax withholding to Internal Revenue Service and appropriate state and local taxing authoritites.
3. For FICA deductions to the Social Security Administration.
4. For dues deductions to labor unions.
5. For withholdings for Health Insurance, Retirement and Federal Employees Group Life Insurance to the U.S. Civil Service Commission.
6. For charity contribution deductions to agents of charitable institutions.
7. For annual W-2 statements to appropriate taxing authoritites and the individual.
8. For providing a permanent record of actions taken pertinent to the admini- stration of pay, leave and allowances.

9. For any of the routine uses specified in the Prefatory Statement

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File Folders.

Retrievability: By name

Safeguards: Supervised by Chief, Payroll & Employee Records Section.

Retention and disposal: Schedule 2 of General Records Schedules Payrolling and Pay Administration Records (FPMR 101-11.4).

System manager(s) and address: Chief, Payroll & Employee Records Section,

Department of Transportation,
Federal Highway Administration,
Office of Fiscal Services,
400 7th Street, SW,
Washington, DC 20590

Appropriate Finance Officer in FHWA Region 8 or 10.

Notification procedure: Same as in 'System Manager' above.

Record access procedures: Same as in 'System Manager' above.

Contesting record procedures: Same as in 'System Manager' above.

Record source categories: Individual on whom the record is maintained, Office of Personnel and Training, Federal, State and City Tax Regulations.

DOT/FHWA 221.

System name: Panel for the Santa Monica Demonstration Project.

System location: Held by Market Facts, Inc.,

100 So. Wacker Dr.,
Chicago, IL 60606,
for the Urban Planning Division,
Federal Highway Administration,
Department of Transportation,
400 7th Street, SW,
Washington, DC 20590.

Categories of individuals covered by the system: A random sample of residents from the Los Angeles area.

Categories of records in the system: Names, addresses and telephone numbers are located on the questionnaire. Information from the questionnaire is stored on magnetic tape and can be accessed by an identifying number (interview number). A list of names, addresses and phone numbers associated with the interview number are separately filed. The questionnaire contains information regarding:

Transportation commute patterns, frequency of mode use, trip characteristics, and satisfaction with various ways of traveling,
Perceptions and importance ratings of modal attributes; tradeoff data on required service levels,
Intentions to alter commuting behavior in response to various transportation system modifications,
Perceptions of service changes based on transportation management actions,
Perceived similarity of transportation modifications,
Sociodemographic information, e.g., age, race, income, sex, children

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Names are associated with the interview numbers after interviews are recorded. Information from the questionnaire is analyzed statistically without reference to any specific individual. The Urban Planning Division will use the data obtained from the surveys in the development of mode choice models, to assess transportation system modifications and to calibrate systematically induced mode shift models. Both the statistical analyses and statistical data will be made available to interested administrators in the Department of Transportation, Federal Energy Administration as well as to the California State Department of Transportation and the Southern California Association of Governments. Other researchers interested in the Santa Monica transportation system management evaluation will also be given access to purely statistical information. Names, addresses and telephone numbers are retained for the sole purpose of locating the sample to be re-interviewed a second time.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The questionnaire is stored in a locked file cabinet in the Chicago Office of Market Facts, Inc. Two individuals have keys to the cabinet, Mr. Frank Griffiths, V.P. of Market Facts, and Mr. Bob Kranz, project analyst. There are three complete copies of the master list with names, and addresses and phone numbers; one is held by the field supervisor in Los Angeles, one by Mr. Bob Kranz, and by Mr. Frank Griffiths. The names and addresses were placed on labels to be attached to the questionnaires, under the supprvision of Mr. Frank Griffiths. No additional copies are to be made without approval of the Urban Planning Division, DOT. Magnetic tapes are stored in the tape libraries at Market Facts, Inc., and at the Department of Transportation. Again data from the tape can only be identified with an individual when the interview number is matched against a master list.

Retrievability: Questionnaire information is stored on tape and is retrievable only through and identifying number (Interview number). This must be matched with a list of interview numbers if it is necessary to associate with names. The questionnaires themselves can be accessed from the files only under the supervision of Mr. Frank Griffiths.

Safeguards: All identifying materials are under lock; only two individuals directly working on the project have keys to the files; a guard is on duty 24 hours a day. The list which assign names to interview numbers is kept separately from the data stored on tape.

Retention and disposal: The record list of names and interview numbers will be retained until Phase II interviewing and coding is completed. The questionnaire will be kept in locked-storage for three years to ensure accessibility if significant errors in transcription were to be determined.

System manager(s) and address: Ricardo dePaul Dobson and Mary Lynn Tischer

HHP-22,
Urban Planning Division,
FHWA,
DOT,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Inquiries should be directed to the System Managers.

Record access procedures: Contact System Managers for information on procedures for gaining access to records.

Contesting record procedures: Contact System Managers for information on procedures for contesting records.

Record source categories: Private list with no transmission expected.

DOT/FRA 100.

System name: Alaska Railroad Examination of Operating Personnel. DOT/FRA.

System location: Department of Transportation (DOT),

Federal Railroad Administration (FRA),
The Alaska Railroad,
Pouch 7-2111,
Anchorage, Alaska 99510.

Categories of individuals covered by the system: Alaska Railroad operating employees.

Categories of records in the system: Examination papers on rules and safety practices.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Determination of employees understanding of safety practices and rules of the Alaska Railroad.

Qualification for employment.
Review for promotion.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Kept under lock and key.

Only authorized Alaska Railroad officials can handle the examinations.

Retrievability: By name.

Safeguards: Access to examinations limited to Alaska Railroad officials only.

Retention and disposal: Examinations kept during employment of individual with Alaska Railroaad; upon termination, disposed of by destruction by either burning or shredding.

System manager(s) and address: Operations Officer,
Department of Transportation,
Federal Railroad Administration,
The Alaska Railroad,
Pouch 7-2111,
Anchorage, Alaska 99510.

Notification procedure: Individuals may request from the System Manager whether or not this system of records pertains to him.

Record access procedures: The System Manager may allow an individual to review his score on the examination.

Contesting record procedures: Contest of these records, if appropriate, is through the System Manager.

Record source categories: Official examination papers.

Systems exempted from certain provisions of the act: This system is exempted under Section 552a(K)6, 'testing or examination material used solely to determine individual qualifications for appointment or promotion in the Federal Service the disclosure of which would compromise the objectivity or fairness of the testing or examination process.'

DOT/FRA 101.

System name: Alaska Railroad Personnel and Pay Management Information System DOT/FRA.

System location: Department of Transportation (DOT),
Federal Railroad Administration (FRA),
The Alaska Railroad,
Pouch 7-2111,
Anchorage, Alaska 99510.

Categories of individuals covered by the system: Alaska Railroad officials and employees

Categories of records in the system: Time, attendance and leave.
Payroll data
Payroll computation and history.
Personnel records
Personnel Management Records.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Personnel Status Reports.
Personnel Strength Reports.
Payroll computation and related reports.
Record of leave accrued and used.
Labor distribution and accounting reports.
Personnel management and budget reports.
Reports to Internal Revenue Service (IRS), U.S. Treasury, Civil Service Commission (CSC), etc.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Integrated data based on mag-tape.

Retrievability: By Name or I.D. Number.

Safeguards: Access to the data base is limited to computer programs. Outputs from computer programs are disclosed only to employees or officials in discharge of their official duties. Primary control is achieved through a separation of functions wherein no one person is responsible for the input processing and auditing of any given transaction.

Retention and disposal: Individual records are deleted from the automated data base at the end of the calendar year in which Alaska Railroad employment is terminated. Input document and printed outputs are disposed of by burning or shredding or transfer to a records center in accordance with established records disposition schedules.

System manager(s) and address: General Manager,
Department of Transportation,
Federal Railroad Administration,
The Alaska Railroad,
Pouch 7-2111,
Anchorage, Alaska 99510.

Notification procedure: The individual who feels that this system of records pertains to him may present himself to the General Manager, The Alaska Railroad requesting confirmation.

Record access procedures: Contact the system manager for access.

Contesting record procedures: In case of contest employee is instructed to first contact the immediate supervisor Procedures are established which permit an employee to pursue an appeal to the General Manager, The Alaska Railroad, then to the U.S. Civil Service Commission.

Record source categories: Official Personnel Folders.
Time, attendance and leave records.
Organizational code structure.
Computations and historical record of pay.

DOT/FRA 102.

System name: Alaska Railroad Security and Freight Claims Investigatory Files DOT/FRA.

System location: Department of Transportation (DOT),
Federal Railroad Administration (FRA),
The Alaska Railroad,
Pouch 7-2111,
Anchorage, Alaska 99510.

Categories of individuals covered by the system: Employees of the Alaska Railroad.

Categories of records in the system: Investigatory files.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used in routine investigatory work for the DOT/Alaska Railroad Security and Freight Claims division.
Review by General Manager or his designee.
Review by General Counsel, Alaska Railroad.
May be forwarded to U.S. Attorney to assist in prosecution where warranted.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Locked in fire class C Safe with combination lock.

Retrievability: By name.

Safeguards: In addition to the combination safe only two officials of the railroad maintain the combination.

Retention and disposal: Records are maintained in the official safe for two years, then transferred to secured holding area for two years and then destroyed by burning or shredding.

System manager(s) and address: Chief, Security and Claims,
Department of Transportation,
Federal Railroad Administration,
The Alaska Railroad,
Pouch 7-2111,
Anchorage, Alaska 99510.

Notification procedure: Individual may confirm through the System Manager whether or not the System contains records on him or her.

Record access procedures: Access of records requires the individual to contact in person or in writing the System Manager. The records in this system are exempted by Section 552(k) (2) if investigatory matter is being pursued in a court case.

Contesting record procedures: However, contest procedures through the System Manager and the the General Manager are available if the investigatory files are maintained for general purposes. Confidentiality of sources will be maintained.

Record source categories: Personal information provided by individual.
Confidential Statements.
Photographs.

Systems exempted from certain provisions of the act: This system of records is exempted under Section 552a(1) (2); 'investigatory material compiled for law enforcement purposes other than material within the scope of subsection (j)(2) of this section: Provided, however, that if any individual is denied any right, privilege, or benefit that he would otherwise be entitled by Federal Law, or for which he would otherwise be eligible, as a result of the maintenance of such material, such material shall be provided to such individual, except to the extent that the disclosure of such material would reveal the identity of a source who furnished information to the Government under an express promise that the identity of the source would be held in confidence, or prior to the effective date of this section, under an implied promise that the identity of the source would be held in confidence.'

DOT/FRA 103.

System name: Application for Operator's (Vehicle) Identification Card DOT/FRA.

System location: Department of Transportation (DOT),

Federal Railroad Administration,
Office of Administrative Operations, RAD-40,
2100 2nd Street, SW, Room 4504,
Washington, DC 20590.

Categories of individuals covered by the system: FRA employees applying for GSA motor vehicle operator license.

Categories of records in the system: Applications for motor vehicle operator license.

Physical fitness inquiry.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To issue operator's license.

Ensure applicants meet requirements.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Copies of basic documents.

Retrievability: By name.

Safeguards: Kept in file drawer.

Retention and disposal: Retained for three years. Disposal by shredding or burning.

System manager(s) and address: Acting Director, Office of Administrative Operations, RAD-40,

Department of Transportation,
Federal Railroad Administration,
2100 2nd Street, SW, Room 4504,
Washington, DC 20590.

Notification procedure: Inquiries may be addressed to the System Manager either in person or in writing.

Record access procedures: Access of records requires the individual to contact in person or write the System Manager.

Contesting record procedures: Constest of a record is also through the System Manager, if resolvement is not possible through the System Manager an appeal may be filed in writing with the Secretary of Transportation addressed to the General Conounsel as follows:

Department of Transportation,
Federal Railroad Administration,
Office of the Chief Counsel,
400 7th Street, SW,
Washington, DC 20590.

Record source categories: Applications received from individuals for operator's license.

Physical fitness inquiry completed by applicant and signed by physician.

DOT/FRA 104.

System name: Confidential Statement of Employment and Financial Interest. DOT/FRA.

System location: Department of Transportation (DOT),

Federal Railroad Administration (FRA),
Office of the Chief Counsel,
400 7th Street, SW, Room 5101,
Washington, DC 20590.

Categories of individuals covered by the system: FRA Permanent Employees GS-13 and above, supervisors and procurement personnel.

Categories of records in the system: Standard Form on Employment and Financial Interest.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Review of Financial Interest to Employment.

Administrative References.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: All records are maintained on standard form in a locked file cabinet located in the Chief Counsel's Office.

Retrievability: Records are indexed by name.

Safeguards: Physical security consists of locked file cabinet; records provided to individuals only after physical screening.

Retention and disposal: Records are maintained for a one year period. Upon receipt of new statements old records are removed and destroyed.

System manager(s) and address: Chief Counsel,

Department of Transportation,
Federal Railroad Administration,
Office of Chief Counsel,
400 7th Street, SW, Room 5101,
Washington, DC 20590.

Notification procedure: Requests for information about this system should be addressed to the System Manager either in person or in writing. Prior employees must provide notarized signature or provide valid social security number.

Record access procedures: Access to records requires the individual to contact in person or write the System Manager.

Contesting record procedures: Contest of a record is also through the System Manager. If a change is valid after agency review it will be made as requested.

Record source categories: Information is provided by the individual on Standard Form DOT F 3700.1, Confidential Statement of Employment and Financial Interest.

DOT/FRA 105.

System name: Employee Travel Records. DOT/FRA.

System location: Department of Transportation (DOT),

Federal Railroad Administration (FRA),
Office of Administrative Operations, RAD-40,
2100 2nd Street, SW, Room 4504,
Washington, DC 20590.

Categories of individuals covered by the system: All FRA employees.

Private persons issued invitational travel orders to confer on government problems.

Categories of records in the system: Travel logs.

Individual travel folders.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Provide back-up to support FRA General Accounting Ledger.

Analysis of travel trends.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in a file drawer secured with lock and key.

Retrievability: System is indexed by name.

Safeguards: Physical security maintained by secured file system.

Individuals requesting individual travel folders are screened by accounting personnel.

Retention and disposal: Records are maintained three years then forwarded to the Federal Records Center.

System manager(s) and address: Accounting Officer,

Department of Transportation,
Federal Railroad Administration,
Accounting Division,
2100 2nd Street, SW, Room 4107,
Washington, DC 20590.

Notification procedure: Requests for information about this system should be addressed to the System Manager either in person or in writing.

Record access procedures: Access of records requires the individual to contact in person or in writing the System Manager.

Contesting record procedures: Initial contest of any record will be with the System Manager; if resolvement at this level is not satisfactory the individual may file an appeal in writing with the Secretary of Transportation addressed as follows:

Department of Transportation,
Federal Railroad Administration,
Office of the Chief Counsel,
400 7th Street, SW,
Washington, DC 20590.

Record source categories: Individual Travel Vouchers.

DOT/FRA 106.

System name: Occupational Safety and Health Reporting System. DOT/FRA.

System location: Department of Transportation (DOT),
Federal Railroad Administration (FRA),
Office of Administration,
Office of Administrative Operations, RAD-40,
2100 2nd Street, SW, Room 4504,
Washington, DC 20590.

Categories of individuals covered by the system: FRA employees (injury-illnesses).

FRA employees involved in government property accidents.

Categories of records in the system: Federal Occupational Injuries and Illnesses Survey (Standard Form OSHA-102).

Departmental Accident/Injury Reports DOT Forms 3902.1-8.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Maintain accident records per departmental orders.

Provide data to Office of the Secretary.
Develop causative trends.
Use for corrective accident prevention.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained on copies of basic documents.

Retrievability: By name.

Safeguards: Physical security consists of file drawer with data; records provided to authorized individuals by FRA Safety Manager after physical screening.

Retention and disposal: Records are retained for five years. Documents are then destroyed by shredding or burning.

System manager(s) and address: FRA Safety Manager, Department of Transportation,
Federal Railroad Administration,
Office of Administration,
Office of Administrative Operations, RAD-40,
2100 2nd Street, SW, Room 4504,
Washington, DC 20590.

Notification procedure: Inquiries may be addressed to the Systems Manager either in person or in writing. If written the individual must provide a notarized signature, or provide a valid social security number.

Record access procedures: Access to records requires the individual to contact in person or write the System Manager.

Contesting record procedures: Contest of a record is also through the System Manager. If a change is valid after System Manager review it will be made as requested. If resolvement is not possible at this level an appeal may be filed in writing with the Secretary of Transportation addressed as follows:

Department of Transportation,
Federal Railroad Administration,
Office of the Chief Counsel,
400 7th Street, SW,
Washington, DC 20590.

Record source categories: Documents provided by the individual.

DOT/FRA 109.

System name: Office of Safety Past Employees Files. DOT/FRA.

System location: Department of Transportation (DOT),
Federal Railroad Administration (FRA),
Office of Safety, RSS-2,
2100 2nd Street, SW,
Washington, DC 20590.

Categories of individuals covered by the system: Past employees of the Office of Safety.

Categories of records in the system: Personnel information provided from Office of Safety Personnel Convenience File.

Standard Form 7-B listing name, salary, position title, organization, etc.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses:

Provides historical reference on past Office of Safety employees.
Verification of employment of past employees.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Record maintained by locked conserv-a-file.

Retrievability: By name.

Safeguards: Physical security maintained by locked conserv-a-file.
Personnel are screened by Secretary, Associate Administrator or Deputy Administrator to insure that records are not released to unauthorized personnel.

Retention and disposal: Record maintained current at all times. No disposal.

System manager(s) and address: Deputy Associate Administrator for Safety,
Department of Transportation,
Federal Railroad Administration,
Office of Safety, RRS-2,
2100 2nd Street, SW,
Washington, DC 20590.

Notification procedure: Requests for information about this system may be addressed to the System Manager either in person or in writing.

Record access procedures: Access of records requires the individual to contact in person or in writing the System Manager.

Contesting record procedures: Initial contest of any record will be with the System Manager if resolvement at this level is unsatisfactory. The individual may file an appeal in writing with the Secretary of Transportation addressed as follows:

Department of Transportation,
Federal Railroad Administration,
Office of the Chief Counsel,
400 7th Street, SW,
Washington, DC 20590.

Record source categories: Standard GSA Forms, SF-50, SF-1126.

DOT/FRA 112.

System name: Personnel and Pay Management Information System. DOT/FRA.

System location: Department of Transportation (DOT),
Federal Railroad Administration (FRA),
Office of Personnel and Training, RAD-10,
400 7th Street, SW, Room 5406,
Washington, DC 20590.

Categories of individuals covered by the system: Employees of the Federal Railroad Administration.

Categories of records in the system: Payroll data.

Personnel records.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Personnel Status Reports.

Personnel Strength Reports.
Payroll computations and related reports.
Personnel Management and Budget Reports.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Integrated data based on magnetic tape, reports are prepared on computer readable form.

Retrievability: By name or I.D. number.

Safeguards: Access to programs limited to employees or officials of the Transportation Computer Center (TCC).

Retention and disposal: Individual records are deleted from automated data base at end of calendar year in which separation occurs.
Computer readable programs are destroyed in accordance with established internal procedures.

System manager(s) and address: Director, Office of Personnel and Training, RAD-10,
Department of Transportation,
Federal Railroad Administration,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Any individual who feels that this system of records pertains to him may visit or write the System Manager at the address in 'System Manager: requesting confirmation.

Record access procedures: Contact the System Mananger for access.

Contesting record procedures: Employee should, in case of contest, contact the System Manager. Procedures are established which permit an employee to pursue appeal through agency levels to U.S. Civil Service Commission.

Record source categories: Official personnel folders.

Time, attendance and leave records.
Organizational code structure.
Computations and historical records.

DOT/FRA 113.

System name: Regional Personnel Convenience Files. DOT/FRA.

System location: Department of Transportation (DOT),

Region 2, Federal Railroad Administration (FRA),
Independence Building, Room 1020,
434 Walnut Street,
Philadelphia, PA 19106.
Region 3, Federal Railroad Administration,
1568 Willingham Drive,
College Park, GA 30337.
Region 4, Federal Railroad Administration,
536 South Clark Street,
Chicago, IL 60605.
Region 5, Federal Railroad Administration,
819 Taylor Street, Room 11A23,
Fort Worth, TX 76102.
Region 6, Federal Railroad Administration,
450 Multnomah Building,
319 S. W. Pine Street,
Portland, OR 97204.
Region 7, Federal Railroad Administration,
2 Embarcadero, Suite 630,
San Francisco, CA 94111.
Region 8, Federal Railroad Administration,
911 Walnut Street,
Kansas City, MO 64106.

Categories of individuals covered by the system: Federal Supervisory Inspectors.

Federal Safety Inspectors.
Federal clerical personnel.
Regional Directors.

Categories of records in the system: Standard Form 52.

Standard Form 171.
Performance evaluations.
Within grade increases.
Travel authority and vouchers.
Use of Government-owned vehicles and authority.
Training records.
Payroll and leave records.
Copies of commendations and awards.
Work measurement records.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses:

For the individual's use.
Schedule training.
Statistics to recommend personnel actions.
Administrative reference material.
Budgetary information material.
Technical information material.
Work territory assignments.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained from copies of original documents.

Retrievability: The system is indexed by name.

Safeguards: Physical security is maintained by locked file cabinets.

Any individual requesting data is screened by the Regional Director's secretary.

Retention and disposal: Personnel records are retained until employee is terminated, retired, or transferred.

Records are then disposed of as trash.
Records used for budgetary purposes are retained for 1 year then disposed of.

System manager(s) and address: The responsible System Manager for each regional system is the Regional Director. The location is identical to those previously listed.

Notification procedure: The individual may present himself to the Regional Office (Regional Director) to inquire about whether this system of records applies to him either in person or in writing.

Record access procedures: An individual may gain access to his records by request to the System Manager.

Contesting record procedures: Contest of these records will be at the Regional level, if resolvement is not satisfactory to the individual at that level an appeal may be field in writing with the Secretary of Transportation addressed as follows:

Department of Transportation,
Federal Railroad Administration,
Office of the Chief Counsel,
400 7th Street, SW,
Washington, DC 20590.

Record source categories:

Informational copies provided by the individual.
Informational copies provided by Office of Personnel.
Informational copies generated by on-going work programs.

DOT/FRA 114.

System name: Transportation Test Center Employee Service Record File. DOT/FRA.

System location: Department of Transportation (DOT),

Federal Railroad Administration (FRA),
Transportation Test center,
Pueblo, CO 81001.

Categories of individuals covered by the system: Federal employees of the Transportation Test Center.

Categories of records in the system: Personal data submitted by individuals.

Personnel actions.
Training data.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Record of personal data on SF 7.

Computer service computations dates.
Review performance ratings.
Follow-up dates for within-grade pay increases.
Training data used to determine training needs.
Awards information.
Used to prepare manpower and budget reports and requests.
Used in preparing requests for personnel actions.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Service records are maintained by a clerk during working hours. File cabinet is secured by lock during non-duty hours.

Retrievability: By name.

Safeguards: Physical Security is maintained by locked file cabinet.

Physical screening is insured by clerk who cannot release records without approval.

Retention and disposal: Each individual's service record is maintained until termination of employment. After termination record is maintained for one year and then destroyed.

System manager(s) and address:

Director, Transportation Test Center,
Department of Transportation,
Federal Railroad Administration,
Transportation Test Center,
Pueblo, CO 81001.

Notification procedure: The individual who feels that this system of records pertains to him may write or present himself to the Director, Transportation Test Center (System Manager) requesting confirmation.

Record access procedures: An individual may gain access to his records by request to the System Manager.

Contesting record procedures: Contest of these records, if appropriate, may be settled by informal communication. If this is unsatisfactory to the individual, the person may file an appeal in writing with the Secretary of Transportation addressed as follows:

Department of Transportation,

Federal Railroad Administration,
Office of the Chief Counsel,
400 7th Street, SW.,
Washington, DC 20590.

Record source categories: Information supplied by employee.

Personnel actions, SF 50.
Evaluation and training forms.
Information supplied by supervisors.

DOT/FRA 115.

System name: Travel Advance Records, DOT/FRA.

System location: Department of Transportation (DOT),

Federal Railroad Administration (FRA),
Office of Administrative Operations, RAD-40,
2100 2nd Street, SW., Room 4504,
Washington, DC 20590.

Categories of individuals covered by the system: All FRA employees.

Private persons traveling on government request.

Categories of records in the system: Travel Advance Card.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Maintain accounting records.

Ensure collection of amounts due to the U.S.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in file drawer.

Retrievability: By name

Safeguards: Records controlled by Accounting Division personnel.

Retention and disposal: Retained three years then forwarded to Federal Record Center with other accounting records.

System manager(s) and address: Accounting Officer,

Department of Transportation,
Federal Railroad Administration,
Accounting Division,
2100 2nd Street, SW.,
Washington, DC 20590.

Notification procedure: Individuals may contact the System Manager to confirm whether or not the system contains a record on them.

Record access procedures: An individual may gain access to his records by request to the System Manager.

Contesting record procedures: Content of these records may be settled with the System Manager. If this is unsatisfactory to the individual, the person may file an appeal in writing with the Secretary of Transportation addressed as follows:

Department of Transportation,
Federal Railroad Administration,
Office of the Chief Counsel,
400 7th Street, SW.,
Washington, DC 20590.

Record source categories: Travel advance card signed by individual, forwarded to Accounting Division.

DOT/FRA 116.

System name: Work Measurement System, DOT/FRA.

System location: Department of Transportation (DOT),

Federal Railroad Administration (FRA),
Office of Management Systems, RAD-20,
400 7th Street, SW., Room 5418,
Washington, DC 20590.

Categories of individuals covered by the system: All employees of the Federal Railroad Administration.

Categories of records in the system: Work Measurement Reports submitted by individuals.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses:

Staffing and manpower justifications.
Budget presentations.
Review of performance efficiency and effectiveness to FRA objectives.
Reports to BLS, JFMIP, and other interested productivity oriented agencies.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic tape (mag-tape).

Retrievability: By payroll identification number

Safeguards: Computer safeguards maintained by DOT Transportation programs are sensitive file retrieval computer systems.

Retention and disposal: Mag-tape is kept for 5 years, computer printouts retained 2 years. Disposal by usual disposal methods.

System manager(s) and address: Director, Office of Management Systems, RAD-20,

Department of Transportation,
Federal Railroad Administration,
400 7th Street, SW., Room 5418,
Washington, DC 20590.

Notification procedure: Inquiries may be addressed to the System Manager either in person or in writing.

Record access procedures: Access of records requires the individual to contact in person or write the System Manager.

Contesting record procedures: Contest of a record is also through the System Manager. If resolvement is not possible through the System Manager, an appeal may be filed in writing with the Secretary of Transportation addressed as follows:

Department of Transportation,
Federal Railroad Administration,
Office of the Chief Counsel,
400 7th Street, SW.,
Washington, DC 20590.

Record source categories: Input from FRA employees on FRA Form F 53, Work Measurement Report.

DOT/FRA 118.

System name: Transportation Test Center Cost Tracking System, DOT/FRA.

System location: Department of Transportation (DOT),

Federal Railroad Administration (FRA),
Transportation Test Center,
Pueblo, CO 81001.

Categories of individuals covered by the system: Operations and Maintenance (O&M) contractor employees.

Categories of records in the system: Employee weekly time cards.

Employee work order charges.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: For the individual's use.

Administrative reference material.
Budgetary information material.
Technical information material.
DOT/FRA and other Government agencies that need Project/Work Order Cost Accounting Data.
Statistical purposes such as labor utilization assessment.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: O&M contractor employee labor distribution records are stored on magnetic tape files.

Retrievability: The system is indexed by name and employee clock number.

Safeguards: Primary system record input and output control is assigned to the Operations and Maintenance Contractor Accounting Office which functions as a single point interface with data processing operations.

Retention and disposal: Employee labor distribution records are retained in fiscal year transaction history files on magnetic tapes. Input document (employee time cards) and printed output are disposed of after three years from termination of the O&M contract.

System manager(s) and address: Director, Transportation Test Center,

Department of Transportation,
Federal Railroad Administration,
Pueblo, CO 81001

Notification procedure: Any individual who wishes to inquire about whether this system of records applies to him may request confirmation from the System Manager.

Record access procedures: An individual may gain access to his records by request to the System Manager who will notify the appropriate O&M Project Manager.

Contesting record procedures: Contest of record content will be with the System Manager. If resolvement at this level is not satisfactory the individual may file an appeal in writing with the Secretary of Transportation addressed as follows:

Department of Transportation,
Federal Railroad Administration,
Office of the Chief Counsel,
400 7th Street, SW, Room 5101,
Washington, DC 20590.

Record source categories: Employee time cards.

DOT/FRA 119.

System name: Transportation Test Center Operations and Maintenance Contractor Personnel Information System. DOT/FRA.

System location: Department of Transportation (DOT),

Federal Railroad Administration (FRA),
Transportation Test Center,
Pueblo, CO 81001.

Categories of individuals covered by the system: Operations and Maintenance (O&M) contractor officials and employees.

Categories of records in the system: Time, attendance, and leave data.

Payroll data.
Payroll computation and history.
Personnel records.
Personnel management records.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Personnel status reports.

Personnel strength reports.
Payroll computation and related reports.
Accrued leave and use.
Labor distribution and accounting reports.
Personnel management, budget, and turnover reports.
Reports to IRS, commercial banks, retirement funds, etc.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Integrated data base on magnetic tape.

Retrievability: By employee name, unit and clock number.

Safeguards: Access to the data base is limited to computer programs.

Outputs from computer programs are disclosed only to employees or officials in discharge of their official duties.
Primary control is achieved through a separation of functions wherein no one person is responsible for the input processing and auditing of any given transaction.

Retention and disposal: Individual records are deleted from the automated data base at the end of the calendar year in which Transportation Test Center employment is terminated.

Input documents and printed outputs are disposed of by shredding, burning or transfer to a records center per agency schedules.

System manager(s) and address: Director, Transportation Test Center,

Department of Transportation,
Federal Railroad Administration,
Pueblo, CO 81001.

Notification procedure: Any individual who wishes to inquire about whether this system of records applies to him may request confirmation from the System Manager.

Record access procedures: An individual may gain access to his records by request to the System Manager who will notify the appropriate O&M Project Manager.

Contesting record procedures: Contest of record content will be with the System Manager. If resolvement at this level is not satisfactory the individual may may appeal in writing to the Secretary of Transportation, addressed as follows:

Department of Transportation,
Federal Railroad Administration,
Office of the Chief Counsel,
400 7th Street, SW, Room 5101,
Washington, DC 20590.

Record source categories: Official Personnel folders.

Time, attendance and leave records.
Organizational code structure.
Computations and historical record of pay.

DOT/NHTSA 400.

System name: National Highway Safety Advisory Committee Membership/Nominee Files. DOT/NHTSA.

System location: Department of Transportation (DOT),

National Highway Traffic Safety Administration (NHTSA),
400 7th Street, SW, Room 5215-D,
Washington, DC 20590.

Categories of individuals covered by the system: Members, past members, and nominees for membership on a committee dealing with highway safety.

Categories of records in the system: Biographical information (date and place of birth; education; current and past experience).

Appointment papers (oath; withholding form).
Photograph.
Travel vouchers.
Compensation payment forms.
Miscellaneous correspondence from and to members.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Files are maintained on members and nominees for use in specific situations, i.e., biographical information to be used for meetings, conferences, news articles when pertinent and when needed for recommendations for membership on committee.

Used only by support staff members.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders.

Retrievability: By individual name.

Safeguards: Locked files.

Retention and disposal: Records are stored indefinitely.

System manager(s) and address: Executive Secretary,

Department of Transportation,
National Highway Traffic Safety Administration,
400 7th Street, SW, Room 5215,
Washington, DC 20590.

Notification procedure: Department of Transportation,

National Highway Traffic Safety Administration,
Office of the Executive Secretary,
Privacy Act Coordinator,
400 7th Street, SW, Room 5217,
Washington, DC 20590.

Record access procedures: See 'Notification Procedure' above.

Contesting record procedures: See 'Notification Procedure' above.

Record source categories: All information has been obtained from the individual upon appointment to the advisory committee, or, in the case of nominees, on that individual's behalf by another person or organization.

DOT/NHTSA 401.

System name: Docket System. DOT/NHTSA.

System location: Department of Transportation (DOT),

National Highway Traffic Safety Administration (NHTSA),
Informatics Inc.,
6000 Executive Boulevard,
Rockville, MD 20852.

Categories of individuals covered by the system: Individuals who have commented on notices of NHTSA appearing in the Federal Register.

Authors of reports which are added to the docket as background information.

Categories of records in the system: Summary of the nature of the comment or the report, date written and filed, author affiliation.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To gather information on responses to rules promulgated by NHTSA. Users are both NHTSA staff members and public.

Other uses include searching for background data on standards, determining areas for further research, and preparation for litigation.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic tape.

Retrievability: By individual name.

Safeguards: Records are maintained in a Technical Reference Library.

Retention and disposal: Indefinitely held.

System manager(s) and address: Chief, Technical Services Division, Department of Transportation,
National Highway Traffic Safety Administration,
Office of Management System,
400 7th Street, SW,
Washington, Dc 20590.

Notification procedure: Department of Transportation,
National Highway Traffic Safety Administration,
Office of Management Systems,
Technical Reference Branch,
400 7th Street, SW, Room 5108,
Washington, DC 20590.

Record access procedures: Same as 'Notification Procedure' above.

Contesting record procedures: Same as 'Notification Procedure' above.

Record source categories: From letters freely sent to NHTSA by the public; publications used by engineers in writing standards.

DOT/NHTSA 402.

System name: Highway Safety Literature Personal Author File. DOT/NHTSA.

System location: Department of Transportation (DOT),
National Highway Traffic Safety Administration (NHTSA),
Informatics Inc.,
6000 Executive Boulevard,
Rockville, MD 20852.

Categories of individuals covered by the system: Writers of technical articles and reports who have authored publications selected for inclusion in the abstract journal Highway Safety Literature.

Categories of records in the system: Bibliographic information giving title of article, book, or paper written; journal or other publication in which it appears; date of publication; abstract.

The file is similar in nature to the card catalog of a library.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Users are principally NHTSA staff members and their contractors who require literature searches prior to performing research.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic tape.

Retrievability: By individual name.

Safeguards: Records are stored in file cabinets.

Retention and disposal: Records are maintained indefinitely.

System manager(s) and address: Chief, Technical Services Division,
Department of Transportation,
National Highway Traffic Safety Administration,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,
National Highway Traffic Safety Administration,
Technical Reference Branch,
400 7th Street, SW, Room 5108,
Washington, DC 20590.

Record access procedures: Same as 'Notification Procedure' above.

Contesting record procedures: Same as 'Notification Procedure' above.

Record source categories: Publications related to highway safety.

DOT/NHTSA 403.

System name: Active Contract Run. DOT/NHTSA.

System location: Department of Transportation (DOT),
National Highway Traffic Safety Administration (NHTSA),
400 7th Street, SW, Room 5301,
Washington, DC 20590.

Categories of individuals covered by the system: NHTSA employees.

Categories of records in the system: List of names of contract specialists and contract technical managers managing active NHTSA contracts and agreements.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used in routine contract administration.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Punch cards.

Retrievability: By individual name.

Safeguards: Records are maintained in a secured offfice.

Retention and disposal: Retained for life of contract; destroyed when no longer needed.

System manager(s) and address: Director, Office of Contracts and Procurement,
Department of Transportation,
National Highway Traffic Safety Administration,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,
National Highway Traffic Safety Administration,
Director, Office of Contracts and Procurement,
400 7th Street, SW,
Washington, DC 20590.

Record access procedures: Department of Transportation,
National Highway Traffic Safety Administration,
Director, Office of Contracts and Procurement,
400 7th Street, SW,
Washington, DC 20590.

Contesting record procedures: Department of Transportation,
National Highway Traffic Safety Administration,
Director, Office of Contracts and Procurement,
400 7th Street, SW,
Washington, DC 20590.

Record source categories: Employee job assignments.

DOT/NHTSA 404.

System name: Alcohol Projects. DOT/NHTSA.

System location: In connection with the Alcohol Safety Action Program of the:
Department of Transportation (DOT),
National Highway Traffic Safety Administration (NHT):
Systems of records are maintained at various locations, as follows:
ASAP Staff Office and ASAP Probation,
22nd floor, City Hall,
Kansas City, MO 64106.
School for Alcohol Safety,
Greater Kansas City Area Safety Council,
714 East 12th Street,
Kansas City, MO 64106.
Community Alcohol Programs,
VFW Building,
406 East 34th Street,
Kansas City, MO 64111.
Kansas City, Missouri, Police Department,
Computer Division,
1125 Locust Street,
Kansas City, MO 65106. The following offices in Sioux City, Iowa at the address immediately following: ASAP Police, Court Service Office, Social Science Research Center, Woodbury County Sheriff's Office, Siouxland Council on Alcoholism, City Data Processing Center, County Attorney.
ASAP,
Benson Building,
Room 246,
Sioux City, IA 51101.
Siouxland Council on Alcoholism,
St. Vincent Hospital, Room 210,
Box 3168,
Sioux City, IA 51102.

Backup Disk File,
Vault in Lewis Hall,
Morningside College,
Sioux City, IO 51106 The following offices in Puerto Rico: Courts Administration, Adult Probation Dept., Dept. of Transportation and Public Works, Dept of Alcohol and Drug Addiction, Institute of Legal Medicine, Dept. of Health, Police Dept
The following offices in Nassau County, New York: Nassau County Police Dept., Nassau County Traffic Safety Board, Nassau County Central Traffic Court, N Y. Dept. of Motor Vehicles.
Idahø Traffic Safety Commission,
Statehouse,
Boise, ID.
Department of Motor Vehicles,
Columbus, GA
Department of Motor Vehicles,
Richland County, SC
Department of Motor Vehicles,
Tampa, FL.
University of North Carolina,
Highway Safety Research Center (HSRC),
Chapel Hill, NC 27514
Alcohol Safety Action Project,
17 South Second Avenue, Room 402,
Phoenix, AZ 85003
Management Information System,
City of Phoenix,
251 West Washington Street,
Phoenix, AZ 85003.
Human Factors Laboratory,
Department of Psychology,
Old Union Building,
University of South Dakota,
Vermillion, SD 57069
City Court Rehabilitation-Probation Center,
17 South Second Avenue,
Phoenix, AZ 85003
Department of Highways,
Division of Highway Safety,
Office of Alcohol-Driving Countermeasures,
4201 E. Arkansas Avenue,
Denver, CO 80222.
Southwest Research Institute,
Dept. 22,
8500 Culebra Road,
P.O. Drawer 28510,
San Antonio, Texas 78284.
Alcohol Safety Action Project,
140 Main Plaza,
San Antonia, TX 78205.
McDonnell Douglas Automation Company,
Huntington Beach, CA.
San Antonio Alcohol Safety Action Project,
Problem Drinker Evaluation Center,
200 Main Plaza, Suit 104,
San Antonio, TX 78105.
Corporate Computer Center,
4100 Chain Bridge Road,
Fairfax, VA 22030
Mississippi State University,
Social Science Research Center,
Mississippi State, MS 39762.
Program on Alcohol and Drug Abuse,
66 South Street,
Concord, NH 03301.
New Hampshire Alcohol Safety Action Project,
105 Loudon Road,
Concord, NH 03301.
Dunlap and Associates, Inc.,
One Parkland Drive,
Darien, CT 06820.
Hennepin County ASAP,
625 Second Avenue South, Room 404,
Minneapolis, MN 55402.
STR Project,
11a Government Center,
Minneapolis, MN 55402.
Data Processing,
City Hall,
Stockton, CA 95202
Bureau of Motor Vehicle Services,
District of Columbia,
Department of Transportation,
301 C Street, NW.
Washington, DC 20001
ASAP Offices,
142 High Street,
Portland, ME 04101
ASAP,
211 Congress Street,
Ninth Floor,
Boston, MA 02110.
Project CRASH,
Division of Alcohol Services,
Department of Mental Health,
Waterbury, VI 05676.
Los Angeles County Alchohol Safety Action Project,
311 South Spring Street, Suite 700,
Los Angeles, CA 90013.
New Orleans ASAP,
545 St. Charles Avenue,0302,
New Orleans, LA 70130.
Oklahoma City ASAP,
Hightower Building, Suite 529,
105 North Hudson,
Oklahoma City, OK 73102.
Puerto Rico ASAP,
Traffic Safety Commission,
P.O. Box F.I.,
Santurce, PR 00910

Categories of individuals covered by the system: Vehicle operators having committed alcohol-related driving violations.

Categories of records in the system: DMV records, police arrest and citation records, court and probation records, various official and social services rehabilitation records, and voluntary personal interviews.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses:

Records are used to evaluate the status of activities within a project, including the status of different groups for purposes of subsequent comparison and analysis, such as recidivism rates. Reports and management information are compiled, using statistical and comparative analysis of the records, which may be released to relevant authorities mon- itoring the progress of the project.

Data contained in these systems of records may be routinely distributed to the lower courts,police departments, public health services, probation authorities, or juvenile and rehabilitation services within the system if that agency's purpose for maintaining the records is compatible with the Project usage.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Punch-card, disk, paper files, magnetic tape.

Retrievability: Individual name, ID number, social security number.

Safeguards: Locked file cabinet, code and restricted access.

Retention and disposal: Two years after project termination.

System manager(s) and address: Chief, Demonstration Management Division,

Department of Transportation,
National Highway Traffic Safety Administration,
Office of Driver and Pedestrian Programs,
400 7th Street, SW, Room 5130,
Washington, DC 20590.

Notification procedure: Same as Location.

Record access procedures: Letter of request to the System Manager (see address above) with notarized statement as to personal identification.

Contesting record procedures: See address of 'System Manager' above.

Record source categories: Local law enforcement, court, health, and motor vehicle division records.

DOT/NHTSA 405.

System name: Debarred Bidders List, DOT/NHTSA.

System location: Department of Transportation (DOT),
National Highway Traffic Safety Administration (NHTSA),
400 7th Street, SW, Room 5301,
Washington, DC 20590.
Region IV Headquarters,
1720 Peachtree Road, NW,
Suite 400,
Atlanta, GA 30309.
Region IX Headquarters,
3140 Federal Building,
915 Second Avenue,
Seattle, WA 98174.

Categories of individuals covered by the system: Various individuals, who have committed offenses which require that they be barred from bidding or employment with the Federal government.

Categories of records in the system: Printed Notice from FHWA. Names of individuals.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used to identify ineligible individuals debarred from bidding on U.S. Government requirements.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper copy of original document.

Retrievability: If an individual is the bidder, retrieved by individual name.

Safeguards: Locked file.

Retention and disposal: Records are maintained until an updated issuance is received.

Disposal is by manual shredding.

System manager(s) and address: Director, Office of Contracts and Procurement,
Department of Transportation,
National Highway Traffic Safety Administration,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Apply to address in 'System Location' above.

Record access procedures: Same as 'System Manager' above.

Contesting record procedures: Same as 'System Manager' above.

Record source categories: From the Federal Highway Administration (FHWA) and the Federal Aviation Administration (FAA).

DOT/NHTSA 406.

System name: Diagnostic Inspection Demonstration Project. DOT/NHTSA.

System location: Department of Transportation (DOT),
National Highway Traffic Safety Administration (NHTSA),
Computer Sciences Corporation,
6231 Leesburg Pike,
Falls Church, VA 22046.
State Demonstration Project Office,
Huntsville, AL.
Demonstration Project Office,
Washington, DC.
State Demonstration Project Office,
Chattanooga, TN.
Demonstration Project Office,
San Juan, PR.
State Demonstration Project Office,
Phoenix, AZ.
Department of Transportation,
Commonwealth of Puerto Rico,
San Juan, PR.

Categories of individuals covered by the system: Auto vehicle owners.

Categories of records in the system: For each automobile inspected in the program, the diagnostic inspection records contain pass/fail/advise information on each safety or emissions related item inspected at each state inspection facility. These inspection items include:

Federal vehicle-in-use inpsection standards and EPA emission standards.
Individual state statutory inspection requirements.
Other inspection items as added by each participating state.
In addition to these P/F/A results, quantitative data are included on emissions, front-end alignment and engine analysis. The original hard copy also contains the name and address of participating motorists.
System repair cost records contain the parts and labor costs associated with the inspection results. Most of the original repair cost data-forms contain the name and address of the repair facility as well as the name and address of the participating motorist.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The system is routinely used monthly by CSC to perform in-progress evaluations for NHTSA project personnel on the evaluation areas specified in Title III of the Motor Vehicle Information and Cost Savings Act (PL 92-513).

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic tape, punched card, and hard copy original data forms.

Retrievability: Computer stored data are indexed by vehicle identification number (VIN), vehicle year, vehicle make/model code, and inspection site code; the hard copy original data forms are indexed by VIN and cross-indexed by vehicle owner name and address.

Safeguards: For computer stored information standard security established by GSA users of INFONET is used, computer system access is limited to authorized users; the hard copy original data forms are stored in locked file cabinets after handling and keypunching by authorized project personnel.

Retention and disposal: All records will be retained by the contractor for the duration of the contract; at the conclusion of the contract the contractor will furnish to NHTSA all data forms, data tape records, and punched cards developed and received as a result of the contract.

System manager(s) and address: Chief, Engineering and Demonstration Division,
Department of Transportation,
National Highway Traffic Safety Administration,
Office of State Vehicle Program,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Apply to applicable address in 'System Location' above.

Record access procedures: Same as 'System Manager' above.

Contesting record procedures: Same as 'System Manager' above.

Record source categories: State and local vehicle records; gathered from voluntary interviews.

DOT/NHTSA 407.

System name: Dynamic Sled Tests of Human Volunteer Subjects. DOT/NHTSA.

System location: Department of Transportation (DOT),
National Highway Traffic Safety Administration (NHTSA),
Southwest Research Institute,
8500 Culebra Road,
Post Office Drawer 28510,
San Antonio, TX 78284.

Categories of individuals covered by the system: Human volunteer test subjects.

Categories of records in the system: Results of pre-test and post-test physical examinations, briefing and debriefing questionnaires, voluntary consent and briefing statements, records of payment for each test exposure, electrocardiogram traces, test results, tape recordings of briefing and debriefing questions and answers.

High speed motion picture films and still photographs.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Research reference.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are stored in locked file cabinets.

Retrievability: Indexed by test, number, coded volunteer number, social security number, name.

Safeguards: Coded numbers to identify each volunteer and protect their anonymity.

Retention and disposal: 7 years, then disposed of by shredding.

System manager(s) and address: Contract Technical Manager,

Department of Transportation,
National Highway Traffic Safety Administration,
Structure Research Division, N43-12,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,

National Highway Traffic Safety Administration,
Structures Research Division,
Contract Technical Manager,
400 7th Street, SW,
Washington, DC 20590.

Record access procedures: Send letter of request for information to System Manager or SWRI.

Contesting record procedures: Send letter of request for information to System Manager or SWRI.

Record source categories: Human tests of Allied Inflataband completed in May 1975

DOT/NHTSA 408.

System name: Experts and Consultants List. DOT/NHTSA.

System location: Department of Transportation (DOT),

National Highway Traffic Safety Administration (NHTSA),
Office of Contracts and Procurement,
400 7th Street, SW, Room 5301,
Washington, DC 20590.

Categories of individuals covered by the system: Individuals desiring to serve as experts and consultants.

Categories of records in the system: Professional abilities of individuals.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used to provide identification of individuals available to serve as experts and consultants.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Material is stored on bonded paper.

Retrievability: By individual name.

Safeguards: Records are stored in a secured office area.

Retention and disposal: Indefinite and discarded when no longer needed.

System manager(s) and address: Director, Office of Contracts and Procurement,

Department of Transportation,
National Highway Traffic Safety Administration,
Office of Contracts and Procurement,
400 7th Street, SW, Room 5301,
Washington, DC 20590.

Notification procedure: Director, Office of Contracts and Procurement,

Department of Transportation,
National Highway Traffic Safety Administration,
Office of Contracts and Procurement,
400 7th Street, SW, Room 5301,
Washington, DC 20590.

Record access procedures: Director, Office of Contracts and Procurement,

Department of Transportation,
National Highway Traffic Safety Administration,
Office of Contracts and Procurement,
400 7th Street, SW, Room 5301,
Washington, DC 20590.

Contesting record procedures: Director, Office of Contracts and Procurement,

Department of Transportation,
National Highway Traffic Safety Administration,
Office of Contracts and Procurement,
400 7th Street, SW, Room 5301,
Washington, DC 20590.

Record source categories: Information submitted by individuals.

DOT/NHTSA 409.

System name: Federal Motor Vehicle Safety Standards (FMVSS) Compliance. DOT/NHTSA.

System location: Department of Transportation (DOT),

National Highway Traffic Safety Administration (NHTSA),
Validation Division,
2100 2nd Street, SW, Room 3222,
Washington, DC 20590.
Region IX, NHTSA,
450 Golden Gate Avenue
Box 36112,
San Francisco, CA 94102.
Region II, NHTSA,
200 Mannaroneck Avenue,
White Plains, NY 10601.
Region X, NHTSA,
3140 Federal Building,
915 Second Avenue,
Seattle, WA 98174.
Region IV, NHTSA,
Suite 400,
1720 Peachtree Road, NW
Atlanta, GA 30309.

Categories of individuals covered by the system: Individuals suspected of violation of FMVSS.

Categories of records in the system: Data gathered regarding alleged non-compliance of FMVSS.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Information is used to assist investigations of alleged violators, and in the effort to secure proper compliance.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper file, microfilm, disc.

Retrievability: Fiscal year, date, name, number.

Safeguards: Stored in locked file cabinets in a security room.

Retention and disposal: Indefinite or until obsolete.

System manager(s) and address: Associate Administrator, Motor Vehicle Programs,

Department of Transportation,
National Highway Traffic Safety Administration,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Write or visit applicable office at address in 'System Location' above.

Record access procedures: Same as 'System Manager' above.

Contesting record procedures: Same as 'System Manager' above.

Record source categories: Manufacturers, importation officials, dealers, purchasers, inspector, informants.

DOT/NHTSA 410.

System name: General Public Inquiries. DOT/NHTSA.

System location: Department of Transportation (DOT),

National Highway Traffic Safety Administration (NHTSA),
Office of the Executive Secretary,
400 7th Street, SW, Room 5217,
Washington, DC 20590.

Categories of individuals covered by the system: Inquiries from the general public.

Categories of records in the system: Control cards identifying incoming correspondence from individuals who are requesting information, jobs, device - testing, etc.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Reference purposes.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Filed in trays in file cabinet.

Retrievability: By individual name or control number.

Safeguards: Conserva-Files; locked when not in use.

Retention and disposal: Records are retained for one year and are then disposed of.

System manager(s) and address: Executive Secretary,
Department of Transportation,
National Highway Traffic Safety Administration,
Office of the Executive Secretary,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,
National Highway Traffic Safety Administration,
Office of the Executive Secretary,
Privacy Act Coordinator,
400 7th Street, SW,
Washington, DC 20590.

Record access procedures: Same as 'Notification Procedure'.

Contesting record procedures: Same as 'Notification Procedure'.

Record source categories: Individuals and organizations.

DOT/NHTSA 411.

System name: General Public Correspondence System. DOT/NHTSA.

System location: Department of Transportation (DOT),
National Highway Traffic Safety Administration (NHTSA),
Office of the Executive Secretary,
400 7th Street, SW, Room 5217,
Washington, DC 20590.

Categories of individuals covered by the system: Individuals who have requested information or advice.

Categories of records in the system: Files containing correspondence with individuals who have requested information or advice on promoting devices.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Reference purposes.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: In file folders.

Retrievability: By individual name.

Safeguards: Conserva-Files; locked when not in use.

Retention and disposal: Records are retained for one year and are then disposed of.

System manager(s) and address: Executive Secretary,
Department of Transportation,
National Highway Traffic Safety Administration,
Office of the Executive Secretary,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,
National Highway Traffic Safety Administration,
Office of the Executive Secretary,
Privacy Act Coordinator,
400 7th Street, SW,
Washington, DC 20590.

Record access procedures: See 'Notificaton Procedure' above.

Contesting record procedures: See 'Notification Procedure' above.

Record source categories: Individuals.

DOT/NHTSA 412.

System name: Manpower Training File. DOT/NHTSA.

System location: Office of Governor's Highway Safety Representative in each State.

Categories of individuals covered by the system: Persons receiving highway safety training under 23 USC 402.

Categories of records in the system: Type and length of training and completion dates.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Aggregation for report to Congress on total individuals trained.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders.

Retrievability: By individual name.

Safeguards: Records are stored in file cabinets.

Retention and disposal: Records are retained for 3 years; then sent to State Archives.

System manager(s) and address: Chief, Manpower Development Division, N42-22,
Department of Transportation,
National Highway Traffic Safety Administration,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Write or visit the System Manager in the applicable State Traffic Safety Commission Office.

Record access procedures: Same as 'Notification Procedure' above.

Contesting record procedures: Same as 'Notification Procedure' above.

Record source categories: Applications filed by individual or employer.

DOT/NHTSA 413.

System name: Odometer Rollback. DOT/NHTSA.

System location: Department of Transportation (DOT):
National Highway Traffic Safety Adminisnistration (NHTSA):
Region X Headquarters,
3140 Federal Building,
Seattle, WA 98174.
Region I Headquarters,
55 Broadway,
Cambridge, MA 02142.
Region II Headquarters,
200 Mamaroneck Avenue,
White Plains, NY 10601.
Region IV Headquarters,
1720 Peachtree Road, NW,
Suite 501,
Atlanta, GA 30309.
Region V Headquarters,
1010 Dixie Highway,
Executive Plaza, Suite 214,
Chicago Heights, IL 60411.
Region VI Headquarters,
819 Taylor Street,
Fort Worth, TX 76102.
Region VIII Headquarters,
330 South Garrison Street,
Lakewood, CO 80226.

Categories of individuals covered by the system: Private individuals who may be involved in odometer rollbacks.

Categories of records in the system: Alleged circumstances surrounding odometer rollbacks on used cars sold or offered for sale.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Data is made available to legal authorities for appropriate action.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders.

Retrievability: By individual name.

Safeguards: Locked file.

Retention and disposal: Retained indefinitely.

System manager(s) and address: Chief Counsel,
Department of Transportation,
National Highway Traffic Sagety Administration,
400 7th Street, SW, Room 5219,
Washington, DC 20590.

Notification procedure: Department of Transportation,
National Highway Traffic Safety Administration,
Office of the Chief Counsel,
400 7th Street, SW,
Washington, DC 20590.

Record access procedures: Same as 'System Manager' above.

Contesting record procedures: Same as 'System Manager' above.

Record source categories: Individual complaint by used car purchaser.

DOT/NHTSA 414.

System name: Youth Highway Safety Advisory Committee. DOT/NHTSA.

System location: Department of Transportation (DOT),
Natiional Highway Traffic Safety Administration (NHTSA),
Office of the Executive Secretary,
400 7th Street, SW, Room 5215,
Washington, DC 20590.

Categories of individuals covered by the system: Nominees and past and present members of the Youth Highway Safety Advisory Committee.

Categories of records in the system: Appointment affidavits; resume's; photographs; travel vouchers.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: For budget purposes.
Selection of nominees for Youth Highway Safety Advisory Committee (when nominations come up).
Used by the Administrator's Office (NHTSA) and the Secretary of Transportation Office.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: In file folders; (originals and carbons).

Retrievability: By individual name.

Safeguards: Records are stored in locked file cabinets.

Retention and disposal: Retained for 10 years; then sent to storage.

System manager(s) and address: Executive Secretary, N 40-10,
Department of Transportation,
National Highway Traffic Safety Administration,
Office of the Executive Secretary,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,
National Highway Traffic Safety Administration,
Office of the Executive Secretary,
Privacy Act Coordinator,
400 7th Street, SW,
Washington, DC 20590.

Record access procedures: Same as 'Notification Procedure' above.

Contesting record procedures: Same as 'Notification Procedure' above.

Record source categories: From the individuals themselves.

DOT/NHTSA 415.

System name: Motor Vehicle Defects. DOT/NHTSA.

System location: Department of Transportation (DOT),
National Traffic Safety Highway Adminsitration (NHTSA),
Office of Defects Investigation,
400 7th Street, SW, Rooms 5234/5108,
Washington, DC 20590.
Kappa Systems, Inc.,
1500 Wilson Boulevard,
Arlington, VA 22209.
Comnet Corp.,
5185 McArthur Boulevard, NW,
Washington, DC 20016.
Region VIII Headquarters, NHTSA,
330 South Garrison Street,
Denver, CO 70226.

Categories of individuals covered by the system: Vehicle owners.

Categories of records in the system: Vehicle identification, vehicle problem, vehicle owner.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Check complaints about vehicle defects to spot trends, resulting in investigations of the vehicle model.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Disc pack and paper file.

Retrievability: Identification Number.

Safeguards: Coded.

Retention and disposal: Three years or indefinite.

System manager(s) and address: Chief, Information Processing Staff,
Department of Transportation,
National Highway Traffic Safety Administration,
Office of Defects Investigation,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Write or visit the applicable address in 'System Location' above.

Record access procedures: Same as 'System Manager' above.

Contesting record procedures: Same as 'System Manager' above.

Record source categories: General public, State highway offices, insurance companies, vehicle manufacturers.

DOT/NHTSA 416.

System name: Offerors Data Bank. DOT/NHTSA.

System location: Department of Transportation (DOT),
National Highway Traffic Safety Administration (NHTSA),
Office of Contracts and Procurement,
400 7th Street, SW, Room 5301,
Washington, DC 20590.

Categories of individuals covered by the system: Individual on the offerors mailing list.

Categories of records in the system: History of response or non-response to Requests for Proposal provided to the individuals.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used to provide history of responses to Requests for Proposal.
Used as a purging system for the offerors mailing list.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Forms, magnetic tape.

Retrievability: Name, codes of contractual fields of interest.

Safeguards: Records are stored in a locked file cabinet.

Retention and disposal: Indefinitely; destroyed when no longer needed.

System manager(s) and address: Director, Office of Contracts and Procurement,
Department of Transportation,
National Highway Traffic Safety Administration,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,
National Highway Traffic Safety Administration,
Director, Office of Contracts and Procurement,
400 7th Street, SW,
Washington, DC. 20590.

Record access procedures: Department of Transportation,
National Highway Traffic Safety Administration,
Director, Office of Contracts and Procurement,
400 7th Street, SW,
Washington, DC 20590.

Contesting record procedures: Department of Transportation,
National Highway Traffic Safety Administration,
Director, Office of Contracts and Procurement,
400 7th Street, SW,
Washington, DC 20590.

Record source categories: Information from individual letters or proposal submissions to Requests for Proposal.

DOT/NHTSA 417.

System name: National Driver Register (NDR). DOT/NHTSA.

System location: Department of Transportation (DOT),
National Highway Traffic Safety Administration (NHTSA),
Office of Driver and Pedestrian Programs,
National Driver Register Office,
2100 2nd Street, SW, Room 3214,
Washington, DC 20590.
Master file is located at:
Transportation Computer Center,
400 7th Street, SW, Room 2401,
Washington, DC 20590.

Categories of individuals covered by the system: Persons who have had their driver's license denied, withdrawn, revoked or suspended as reported by State/Territorial driver licensing authorities.

Categories of records in the system: NDR records include: the reporting jurisdiction, the subject's full name, other names used, date of birth, driver license number and/or social security number (if used by the reporting jurisdiction), sex, height, weight, eye color, the reason for withdrawal, the date of the withdrawal, the date eligible for restoration of driving privilege and the date license was actually restored.

It should be noted that very frequently the physical data is not provided by the reporting agency.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Provide identification of drivers who have had their licenses withdrawn, suspended, revoked or otherwise denied in response to inquiries from State or Federal driver licensing agencies relative to driver license applicants.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The NDR master file is maintained on magnetic tape.

Source data; i.e., manual input (forms, letters) are retained in that form.
Source data received on magnetic tape are converted into printed listings.
All source data is batch filed.

Retrievability: The magnetic tape master file is indexed by surname and refined by program application of screening criteria involving given names, date of birth and physical characteristics.

Source data batch files are indexed by the processing date as determined from test inquiry of the master file. A manual search of the appropriate batch is then required to access the specific record.

Safeguards: The master file is kept in the Transportation Computer Center, a limited access area.

The source data files are maintained in a building under surveillance of a 24 hour guard force.
In addition, the spaces in which the files are maintained are equipped with lockable doors which are locked when vacated.
All personnel are cleared for the equivalent of secret classified matter.
In addition, all NDR employees are orally briefed on NDR security requirements and their responsibilities.

Retention and disposal: Master file records are retained for seven or five years depending on the reason for withdrawal of the individual's license.

Withdrawals for drunk driving, hit and run, fatal accident, felony and misrepre- sentation are retained for seven years.
Records of 'habitual offenders' as stipulated by certain states are retained indefinitely, unless otherwise requested by the reporting state.
All other master file records are retained for five years.
Source data files are retained for one year.
Magnetic tape records are erased by degaussing, using 86db degaussing equipment, prior to disposing of the tapes.
Paper source data reports of withdrawal are destroyed by shredding.

System manager(s) and address: Chief, National Driver Register,
Department of Transportation,
National Highway Traffic Safety Administration,
Office of Driver and Pedestrian Programs,
2100 2nd Street, SW, Room 3214,
Washington, DC 20590.

Notification procedure: Mailed inquiries should be addressed as above under 'System Manager.'

For inquiries made in person, the inquirer should present himself at the National Driver Register office at the following location:
400 2nd Street, SW, Room 3214,
Washington, DC 20590.
In order to conduct a file search the requesting party must provide:
Full legal name,
Other names used (nickname, professional name, maiden name),
Date of birth,
Place of birth (City, State or Foreign Country),
Sex,
Height,
Weight,
Color of eyes,
Social security number and/or driver license number (provision of the social security number is voluntary).
In addition, although not mandatory, it would help to more positivly identify any records we may have concerning the inquirer if the following information is included:
The reason his/her driver license was withdrawn or denied.
The date of the action.
The State which took the action.
An inquirer presenting himself/herself in person should have at least two means of identification sufficient to reasonably insure that he/she is who he/she purports to be.
For mailed inquiries the foregoing identification elements should be included in the form of a notarized affidavit and addressed to the System Manager. A form for this purpose will be provided by the National Driver Register office upon request. Use of this form is optional.

Record access procedures: An individual desiring to obtain information on procedures to obtain his or her record (s) should contact the Chief, National Driver Register, at the address under 'System Manager' above.

Contesting record procedures: To obtain information on procedures to contest records one should contact the Chief, National Driver Register at the address in 'System Manager' above.

With regard to contesting records it must be realized that the file is made up of State records. As such, these can only be changed or corrected by the reporting State. The records in the driver Register file are changed only when advised by the originating jurisdiction.

Record source categories: The records comprising the National Driver Register file are provided by States. District of Columbia, Puerto Rico, Canal Zone, Virgin Islands and Guam driver licensing administrators or the agency within the jurisdictions responsible for such records.

DOT/NHTSA 418.

System name: National Motor Vehicle Safety Advisory Council Membership/Nominee Files. DOT/NHTSA.

System location: Department of Transportation (DOT),
National Highway Traffic Safety Administration (NHTSA),
Office of the Executive Secretary,
400 7th Street, SW, Room 5215-D,
Washington, DC 20590.

Categories of individuals covered by the system: Members, past members, and nominees for membership on the council dealing with motor vehicle safety.

Categories of records in the system: Biographical information (date and place of birth; education; current and past experience).

Appointment papers (oath; withholding form).
Photograph.
Travel vouchers, compensation payment forms.
Miscellaneous correspondence to and from members.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Files are maintained on members and nominees for use in specific situations, i.e., biographical information to be used for meetings, conferences, news articles when pertinent, and when needed for recommendations for membership on council.

Used only by support staff members.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders.

Retrievability: By individual name.

Safeguards: Records are maintained in locked file cabinets.

Retention and disposal: Since establishment of this council no membership or nominee file has been destroyed or retired.

System manager(s) and address: Executive Secretary,
Department of Transportation,
National Highway Traffic Safety Administration,
Office of the Executive Secretary,
400 7th Street, SW, Room 5215,
Washington, DC 20590.

Notification procedure:

Department of Transportation,
National Highway Traffic Safety Administration,
Office of the Executive Secretary,
Privacy Act Coordinator,
400 7th Street, SW, Room 5217,
Washington, DC 20590.

Record access procedures: Same as 'Notification Procedure' above.

Contesting record procedures: Same as 'Notification Procedure' above.

Record source categories: All information has been obtained from the individual upon appointment to the advisory council or, in the case of nominees, on that individual's behalf by another person or organization.

DOT/NHTSA 421.

System name: Survey of Recreational Vehicle Suspensions. DOT/NHTSA.

System location: Department of Transportation (DOT),

National Highway Traffic Safety Administration (NHTSA),
Office of Defects Investigation,
400 7th Street, SW, Room 5330,
Washington, DC 20590.
Records are also stored at:
Pioneer Engineering & Manufacturing Company,
2500 E. Nine Mile Road,
Warren, MI.

Categories of individuals covered by the system: 6,400 owners of recreational vehicles surveyed for on-the-road load characteristics of the vehicles.

Categories of records in the system: Vehicle loads, vehicle identification, vehicle component identification and owner or driver identification.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Survey analysis and evaluation.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Punch cards.

Retrievability: The information is stored on punch cards and retrievable by survey identification number or type, year and make of vehicle but not by owner's name.

Safeguards: The record cards are stored in locked file cabinets.

Retention and disposal: Upon completion, all related investigations' data will be destroyed via normal Coast Guard record destruction methods.

System manager(s) and address: Contract Technical Manager.

Department of Transportation,
National Highway Traffic Safety Adminstration,
Office of Defects Investigation,
400 7th Street, SW, Room 5330,
Washington, DC 20590.

Notification procedure: Department of Transportation,

National Highway Traffic Safety Administration,
Chief, Technical Reference Service,
400 7th Street, SW, Room 5108,
Washington, DC 20590.

Record access procedures: Same as 'System Manager' above.

Contesting record procedures: Same as 'System Manager' above.

Record source categories: The entire record was obtained from owners of recreational vehicles as they were found on the highways and from the manufacturers of vehicles. The information from the two sources were then combined for analysis and evaluation of recreational vehicle loading characteristics of the vehicle condition as found on the highways.

DOT/NHTSA 422.

System name: Temporary Exemption Petitions. DOT/NHTSA.

System location: Department of Transportation (DOT),

National Highway Traffic Safety Administration (NHTSA),
Office of Chief Counsel,
400 7th Street, SW, Room 5219,
Washington, DC 20590.

Categories of individuals covered by the system: Petitioners (commercial entities) seeking exemption from Federal motor vehicle safety standards.

Categories of records in the system: Income statement and balance sheets, production information.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: For consultation by attorneys while file is active; copies in public docket.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Office files.

Retrievability: Temporary exemptions; filed by individuals' names.

Safeguards: Available only to the System Manager and his secretary.

Retention and disposal: Thus far all records have been retained.

System manager(s) and address: Senior Staff Attorney,

Department of Transportation,
National Highway Traffic Safety Administration,
Office of Chief Counsel,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,

National Highway Traffic Safety Administration,
Office of Chief Counsel,
400 7th Street, SW, Room 5219,
Washington, DC 20590.

Record access procedures: Department of Transportation,

National Highway Traffic Safety Administration,
Office of Chief Counsel,
400 7th Street, SW,
Washington, DC 20590.

Contesting record procedures: Same as 'Record Access Procedure'.

Record source categories: Submitted by petitioners.

DOT/NHTSA 423.

System name: Vendor Edit Table Listing (Employees). DOT/NHTSA.

System location: Department of Transportation (DOT),

National Highway Traffic Safety Administration (NHTSA),
Office of Financial Management,
400 7th Street, SW, Room 5115,
Washington, DC 20590.

Categories of individuals covered by the system: NHTSA employees.

Categories of records in the system: Employee name.

Employee number.
Residence - City, County, State, Zip Code.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Employee travel orders are controlled by employee number to maintain a record of authorized travel and the related expenses for each trip. This file is assessed to match the employee number coded from the travel order and the voucher and for retrieval of this data for the travel report by organization and by individual.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic Disc.

Retrievability: Table is indexed by employee number (vendor number).

Safeguards: System operators are identified as individuals given authority for processing data in terminal room.

No others are allowed access to data.
Job controls (JCL's) for retrieval of data are kept in locked cabinet under custody of System Manager.

Retention and disposal: Table maintenance is the responsibility of the System Manager who adds new employee data as it is received from Personnel Director. Deletions are also controlled by the System Manager, as employees retire or otherwise leave the agency

System manager(s) and address: Chief, Policies and Procedures Division.

Department of Transportation,
National Highway Traffic Safety Administration,
Office of Financial Management,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Address inquiries to the Privacy Act Coordinator at the address under 'System Manager'. Requests for data may be made by any employee of NHTSA. Requests must be in writing and identify:

System Name: DOT/NHTSA FMS-940.
Employee number.
Employee name.

Record access procedures: All inquiries as to procedures for gaining access to records shall be directed to:

Department of Transportation,
National Highway Traffic Safety Administration,
Office of Financial Management,
400 7th Street, SW, Room 5115,
Washington, DC 20590.

Contesting record procedures: Same as 'Record Access Procedure'.

Record source categories: Source of data is from the Office of Personnel Management, NHTSA.

DOT/NHTSA 424.

System name: Offerors Mailing List. DOT/NHTSA.

System location: Department of Transportation (DOT),

National Highway Traffic Safety Administration (NHTSA),
Office of Contracts and Procurement,
400 7th Street, SW, Room 5301,
Washington, DC 20590.

Categories of individuals covered by the system: Offerors that may bid on Requests for Proposal as individuals.

Categories of records in the system: Offerors name, address, and NHTSA areas of interest that the offeror wishes to bid on.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used to identify suppliers to fulfill NHTSA requirements.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Forms; magnetic tape.

Retrievability: Name, codes of contractual field of interest.

Safeguards: The records are maintained in a secure area.

Retention and disposal: Indefinitely and destroyed when no longer needed.

System manager(s) and address: Director, Office of Contracts and Procurement,

Department of Transportation,
National Highway Traffic Safety Administration,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Write or visit the System Manager at the address in 'System Manager' above.

Record access procedures: Same as 'Notification Procedure' above.

Contesting record procedures: Same as 'Notification Procedure' above.

Record source categories: From the individual himself.

DOT/NHTSA 427.

System name: Statement of Employment and Financial Interest. DOT/NHTSA.

System location: Department of Transportation (DOT),

National Highway Traffic Safety Administration (NHTSA),
Office of Personnel Management,
400 7th Street, SW, Room 5306,
Washington, DC 20590.

Categories of individuals covered by the system: Individuals encumbering positions listed in Appendix C of Part 99 Title 49 CFR.

Special government employees.

Categories of records in the system: Records contain Statements of Financial Interest including but not limited to stocks, bonds and real estate that are held by individuals whose records are in the system.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Financial statements are reviewed by the Director, Office of Personnel Management or his delegate to ascertain conflicts or apparent conflicts of interest between the holdings of individuals and the discharge of the individual's official duties.

Assistance of the NHTSA Chief Counsel or his delegate may be secured in the determination process.
Where potential conflict may arise the individual is contacted by the Chief Counsel or his delegate to attempt to resolve the issue.
Should further action be necessary the Administrator NHTSA may attempt to resolve the issue.
If necessary, remedial action may be instituted to resolve the conflict.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are stored on forms DOT F 3700.1 and DOT F 3700.2.

Retrievability: Records are retrieved by individual's name.

Safeguards: Records are stored in safes or locked files. Access is only by authorized individuals on a 'need to know' basis.

Personnel screening is employed to prevent unauthorized access.

Retention and disposal: Five years following termination of employment and then destroyed.

System manager(s) and address: Director, Office of Personnel Management,

Department of Transportation,
National Highway Traffic Safety Administration,
400 7th Street, SW, Room 5306,
Washington, DC 20590.

Notification procedure: Department of Transportation,

National Highway Traffic Safety Adminstration,
Director, Office of Personnel Management,
400 7th Street, SW, Room 5306,
Washington, DC 20590.

Record access procedures: Department of Transportation,

National Highway Traffic Safety Administration,
Current employees may contact the:
Director, Office of Personnel Management,
400 7th Street, SW, Room 5306,
Washington, DC 20590.
Former employees who wish to gain access to their records should direct such a request in writing including their names, date of birth and social security number to:
Department of Transportation,
National Highway Traffic Safety Administration,
Director, Office of Personnel Management,
400 7th Street, SW, Room 5306,
Washington, DC 20590.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Information in this system of records comes from the individual to whom it applies or is derived from information he supplied.

DOT/NHTSA 431.

System name: Civil Penalty Enforcement Files. DOT/NHTSA.

System location: Department of Transportation (DOT),

National Highway Traffic Safety Administration,
Office of the Chief Counsel,
400 7th Street, SW, Room 5219,
Washington, DC 20590.

Categories of individuals covered by the system: Persons (corporate or individual) against whom civil penalties are sought or contemplated for violations of NHTSA - administered statutes.

Categories of records in the system: Investigatory records of alleged violations.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: For consultation by attorneys regarding a civil suit against an individual.

See Prefatory Statement of General Routine uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Office files.

Retrievability: Files in CIR numerical order.

Safeguards: Available only to the System Manager and his secretary.

Retention and disposal: Records are retained indefinitely and destroyed when no longer needed.

System manager(s) and address: Senior Staff Attorney,

Department of Transportation,
National Highway Traffic Safety Administration,
Office of Chief Counsel,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,

National Highway Traffic Safety Administration,
Office of Chief Counsel,
400 7th Street, SW, Room 5219, Washington, DC 20590.

Record access procedures: Department of Transportation,

National Highway Traffic Safety Administration,
Office of the Chief Counsel,
Chief Counsel,
400 7th Street, SW, Washington, DC 20590.

Contesting record procedures: Same as 'Record Access Procedure'.

Record source categories: NHSTA investigations and tests.

DOT/NHTSA 432.

System name: EEO Counseling Program and Discrimination Complaint File. DOT/NHTSA.

System location: Department of Transportation (DOT),

National Highway Traffic Safety Adminsitration (NHTSA),
Office of Civil Rights,
400 7th Street, SW, Room 5312,
Washington, DC 20590.

Categories of individuals covered by the system: NHTSA personnel or those seeking employment with NHTSA (clerical and professional).

Categories of records in the system: Detailed description of alleged discrimination.

Background and support material.
Transcripts of hearings.
Personnel evaluation forms.
Record of interviews.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used for reference to aid EEO investigations.

See Prefatory Sstatement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are kept on the orgiginal forms.

Retrievability: Subject, individual's name.

Safeguards: Personnel screening; kept in locked files.

Retention and disposal: Retained indefinitely.

System manager(s) and address: Director, Office of Civil Rights,

Department of Transportation,
National Highway Traffic Safety Administration,
Office of Civil Rights,
400 7th Street, SW, Room 5312,
Washington, DC 20590.

Notification procedure: Department of Transportation,

National Highway Traffic Safety Administration,
Office of Civil Rights,
400 7th Street, SW, Room 5312, Washington, DC 20590.

Record access procedures: Department of Transportation,

National Highway Traffic Safety Administration,
Office of Public Affairs and Consumer Services,
400 7th Street, SW, Room 5232,
Washington, DC 20590.

Contesting record procedures: Same as 'Record Access Procedure'.

Record source categories: From interviews with the complainants, others involved in the alleged discrimination action.

DOT/NHTSA 433.

System name: Injuries, Illnesses, Motor Vehicle Accidents and Property Damages. DOT/NHTSA.

System location: Department of Trasnsportation (DOT),

National Highway Traffic Safety Administration (NHTSA),
Office of Management Systems,
General Services Division,
400 7th Street, SW, Room 5111,
Washington, DC 20590.

Categories of individuals covered by the system: Individuals involved in injuries on the job.

Individual Motor Vehicle accident reports.
Individual Property Damage reports.

Categories of records in the system: Accident Report - DOT F 3902.1.

Injury Report, Supplement - I - DOT F 3902.2.
Motor Vehicle Report, Supplement - M - DOT F 3902.3.
Property Damage Report, Supplement - P - DOT F 3902.4.
Log of Federal Occupational Injuries and Illnesses - DOT F 3902.8.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Legal.

Security.
Budget.
Audit.
Personnel Management.
Investigation.
Recordkeeping.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folder.

Retrievability: Alphabetical, by individual's name.

Safeguards: File cabinet with lock.

Retention and disposal: Maintained for 5 years, then transferred to Archives.

System manager(s) and address: Chief, General Services Division,

Department of Transportation,
National Highway Traffic Safety Administration,
Office of Management Systems,
400 7th Street, SW, Room 5111,
Washington, DC 20590.

Notification procedure: Department of Transportation,

National Highway Traffic Safety Administration,
Office of Management Systems,
400 7th Street, SW,
Washington, DC 20590.

Record access procedures: Department of Transportation,

National Highway Traffic Safety Administration,
Chief Counsel,
400 7th Street, SW,
Washington, DC 20590.

Contesting record procedures: Same as 'Record Access Procedure'.

Record source categories: Individual to whom record pertains.

Investigations.
Reports of witnesses,

DOT/NHTSA 434.

System name: Government Driver Licenses. DOT/NHTSA.

System location: Department of Transportation (DOT),

National Highway Traffic Safety Administration (NHTSA),
Office of Management Systems,
General Services Division,
400 7th Street, SW, Room 5111,
Washington, DC 20590.

Categories of individuals covered by the system: Government drivers.

Categories of records in the system: Application for Operator's Identification Card.

Physical Fitness Inquiry for Motor Vehicle Operators.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Records are used to verify that an applicant is qualified both legally and physically to operate a government vehicle.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are stored on the original forms.

Retrievability: Alphabetical, by individual's name.

Safeguards: File cabinet with lock.

Retention and disposal: Records are maintained for 5 years, then Transferred to Archives.

System manager(s) and address: Chief, General Services Division,
Department of Transportation,
National Highway Traffic Safety Administration,
Office of Management Systems,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,
National Highway Traffic Safety Administration,
Office of Management Systems,
400 7th Street, SW,
Washington, DC 20590.

Record access procedures: Department of Transportation,
National Highway Traffic Safety Administration,
Office of the Chief Counsel,
400 7th Street, SW,
Washington, DC 20590.

Contesting record procedures: Same as 'Record Access Procedure'.

Record source categories: Individual to whom record pertains.
National Driver Register.

DOT/NHTSA 435.

System name: Investigations and Security. DOT/NHTSA.

System location: Department of Transportation (DOT),
National Highway Traffic Safety Administration (NHTSA),
Office of Management Systems,
General Services Division,
400 7th Street, SW, Room 5111,
Washington, DC 20590.

Categories of individuals covered by the system: Employees who allegedly violate NHTSA security regulations.

Categories of records in the system: A memoranda specifying security violations.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: For security purposes.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Stored on original form HS 154.

Retrievability: Individual's name and Organization.

Safeguards: Locked file cabinet.

Retention and disposal: Retained until completion of appropriate corrective action and/or disciplinary action.

System manager(s) and address: Security Coordinator, NHTSA,
Department of Transportation,
National Highway Traffic Safety Administration,
General Services Division,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,
National Highway Traffic Safety Administration,
Office of Management Systems, 400 7th Street, SW,
Washington, DC 20590.

Record access procedures: Department of Transportation,
National Highway Traffic Safety Administration,
Office of the Chief Counsel, 400 7th Street, SW, Washington, DC 20590.

Contesting record procedures: Same as 'Record Access Procedure'.

Record source categories: Security Coordinator.

DOT/NHTSA 436.

System name: Contract Grievance Records. DOT/NHTSA.

System location: Department of Transportation (DOT),
National Highway Traffic Safety Administration (NHTSA),
Office of Personnel Management,
400 7th Street, SW, Room 5306,
Washington, DC 20590.

Categories of individuals covered by the system: Employees in the non-professional exclusive unit covered by the NHTSA/AFGE contract of March 5, 1974.

Categories of records in the system: Records contain information or documents relating to a decision by the Administration or an arbitrator affecting an individual.

The records consist of:
The initial grievance.
Letters or notices to the individual.
Record of arbitration hearing when conducted.
Materials placed into the record to support the decision or determination affidavits or statements.
Testimonies of witnesses.
Investigative reports.
Instructions to the Administration about action to be taken to comply with decisions.
Related correspondence opinions and recommendations.
opinions and recommendations.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To respond to a Member of Congress regarding status of a grievance.

To provide information to the public as requested by the Freedom of Information Act.
To respond to the Assistant Secretary of Labor for LMR in connection with an Unfair Labor Practice Procedure or to respond to the Federal Labor Relations Council on appeal of an arbitration award.
To respond to a court subpoena in connection with a civil suit.
To adjudicate a grievance.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in file folders and index cards.

Retrievability: Retrieved by names of grievant (s).

Safeguards: Access limited to those with official 'need to know.' Personnel screening is employed to prevent unauthorized disclosure.

Retention and disposal: The records are maintained up to 3 years and then retired to the Washington National Records Center.

System manager(s) and address: Director, Office of Personnel Management,
Department of Transportation,
National Highway Traffic Safety Administration,
400 7th Street, SW, Room 5306,
Washington, DC 20590.

Notification procedure: Individuals who have filed grievances under the NHTSA/AFGE contract should address inquiries to the:
Department of Transportation,
National Highway Traffic Safety Administration,
Director, Office of Personnel Management,
400 7th Street, SW, Room 5306,
Washington, DC 20590.
Individuals requesting information about this system of records should provide their full name, date of birth, social security number, name and address of office in which currently or formerly employed in the Federal service.

Record access procedures: After a grievance case has been closed an individual or his representative may gain access to the official copy of a grievance record by contacting the System Manager. Individuals should provide their names, date of birth, approximate date and subject of the grievance.

Contesting record procedures: Contest, correction or amendment of the record is permitted during the prosecution of the grievance by the individual to whom the record pertains or his representative.

Record source categories: Individual to whom record pertains and/or representative.
Administration officials.

Affidavits or statements from employees.
Testimonies of witnesses.
Official documents relating to grievance.
Correspondence from organizations or persons.

DOT/NHTSA 438.

System name: Idaho Traffic Records System Accident Component - Driver Component. DOT/NHTSA.

System location: Under the auspices of:

Department of Transportation (DOT),
National Highway Traffic Safety Administration (NHTSA),
Systems are located as follows:
Accident Component:
Idaho Transportation Department,
P.O. Box 7129,
Boise, ID 83707.
Driver Component:
Department of Law Enforcement,
P.O. Box 31,
Boise, ID 83707.

Categories of individuals covered by the system: Accident Component - Information on all motor vehicle accidents, not identified by individual name.

Driver Component - All individuals who have a motor vehicle operators license.

Categories of records in the system: Accident Component - Includes information relating to vehicle accidents which have been reported on the motor vehicle accident report form.

Driver Component - Includes driver history including license application information, convictions, suspensions, accident occurrence.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Accident Component - Used by Division of Highways to analyze high accident locations to determine need of correction.

Used by State Police to guide them in enforcement routes.
Used by Traffic Safety Commission to determine problems to be corrected through safety programs.
Driver Component - Used by all law enforcement agencies to determine background of individuals they apprehend and if there are outstanding warrants.
Used by Department of Law Enforcement to determine drivers needing to be counseled or have licenses suspended due to point violation accumulation.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Both systems are maintained on disk packs.

Retrievability: Accident Component - Location, driver number, accident number, vehicle identification number (VIN).

Driver Component - Name, driver identification number

Safeguards: The program specification block in the data base controls who may have access to the data base. Since all material is on the data base this limits and safeguards the security of the system. The current separate driver component also has coded access.

Retention and disposal: The records are maintained for 5 years on the data base. At that time the information is spooled off onto a tape prior to purging from system. This spooled off information is maintained approximately one year and is destroyed by erasing or reusing tape.

System manager(s) and address: Regional Administrator,

Department of Transportation,
National Highway Traffic Safety Administration,
Region X Headquarters,
3140 Federal Building,
915 Second Avenue,
Seattle, WA 98174.

Notification procedure: Department of Transportation,

National Highway Traffic Safety Administration
Office of Contracts and Procurements,
400 7th Street, SW, Room 5301,
Washington, DC 20590.

Record access procedures: See address in 'Notification Procedure.'

Contesting record procedures: See address in 'Notification Procedure.'

Record source categories: Accident Component - Information comes from Officer Motor Vehicle Accident Report Form or from Individual Motor Vehicle Accident Report Form.

Driver Component - Information on driver comes from driver license application form. Information on convictions are from the courts. Information on accidents comes from accident report forms.

DOT/NHTSA 442.

System name: Restraint System Effectiveness Study. DOT/NHTSA.

System location: Under the auspices of:

Department of Transprotation (DOT),
National Highway Traffic Safety Administration (NHTSA),
System is located at:
Southwest Research Institute (SWRI),
Division 11,
8500 Culebra Road,
P.O. Drawer 28510,
San Antonio, TX 78284.

Categories of individuals covered by the system: Occupants of 1973-1976 passenger car vehicles that are towed from accident scenes.

Categories of records in the system: The following types of information are in the system:

Policy accident report which contains name, driver's license number and other data pertinent to the accident.
Medical report if injury or death occurs.
Copies of forms generated by SWRI during an investigation are enclosed for review.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The system use by the Project Evaluator, Southwest Research Institute is purely statistical to provide DOT/NHTSA data to be used in conjunction with four other accident investigation teams to determine effectiveness of restraint systems in late model vehicles.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The system is stored on paper forms and is eventually coded into computer punch cards.

Retrievability: Records are normally retrieved by case number.

Safeguards: Only employees with a need to know work with the records which are stored in a locked cabinet.

Retention and disposal: The data collected on the SWRI forms are maintained for five years. In all probability records will be destroyed by burning.

System manager(s) and address: Chief, Structures Research Division,

Department of Transportation,
National Highway Traffic Safety Administration,
Office of Vehicle Safety Research,
2100 2nd Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,

National Highway Traffic Safety Administration,
Office of Contracts and Procurement, N48-30,
400 7th Street, SW, Room 5301,
Washington, DC 20590.

Record access procedures: See address in ' Notification Procedure'.

Contesting record procedures: See address in 'Notification Procedure'.

Record source categories: The information in the system comes from:

Law enforcement agencies in seven counties.
Hospitals.
Data gathered by SWRI accident investigation teams.

DOT/NHTSA 443.

System name: Cost Effectiveness Study of Breakaway and Non-Breakaway Poles, Including Sign and Light Standards Along Highways. DOT/NHTSA.

System location: Under the auspices of:

Department of Transportation (DOT),
National Highway Traffic Safety Administration (NHTSA),
System is located at:

Southwest Research Institute (SWRI),
Division 11,
8500 Culebra Road,
P.O. Drawer 28510,
San Antonio, TX 78284.
University of Kentucky Research Foundation,
East Wing, Kinkead Hall,
Lexington, KY 40506.

Categories of individuals covered by the system: Occupants of passenger car vehicles that are involved in accidents with breakaway and non-breakaway poles including sign and light standards along highways.

Categories of records in the system: The following types of information are in the system:

Police accident report which contains name, driver's license number and other data pertinent to the accident.
Medical report if injury or death occurs.
Copies of forms to be generated by SWRI during an investigation are enclosed for review.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The system is used by the Project Evaluators, Southwest Research Institute and University of Kentucky Research Foundation for purely statistical purposes to provide DOT/NHTSA data to be used in conjunction with a cost effectiveness study of breakaway versus non-breakaway poles including sign and light standards.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The system is stored on paper forms and is indexed by SWRI case number. The information will eventually be put on computer punch cards.

Retrievability: The card deck and police accident report are then forwarded to DOT/NHTSA for their use.

Safeguards: Only employees with a need to know work with the records which are stored in a locked cabinet.

Retention and disposal: The data collected on the SWRI forms are maintained for five years.

In all probability records will be destroyed by burning or shredding.

System manager(s) and address: Chief, Accident Investigation Division,

Department of Transportation,
National Highway Traffic Safety Administration,
Office of Statistics and Analysis,
2100 2nd Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,

National Highway Traffic Safety Administration,
Office of Contracts and Procurement, N48-30,
400 7th Street, SW, Room 5301,
Washington, DC 20590.

Record access procedures: See address in 'Notification Procedure' above.

Contesting record procedures: See address in 'Notification Procedure' above.

Record source categories: The information in the system comes from:

Law Enforcement Agencies in San Antonio, Texas and Lexington, Kentucky.
Hospitals and University of Kentucky Research Foundation.
Data gathered by SWRI and University of Kentucky Research Foundation accident investigation teams.

DOT/NHTSA 444.

System name: Volunteer Pool. DOT/NHTSA.

System location: Under the auspices of:

Department of Transportation (DOT),
National Highway Traffic Safety Administration (NHTSA),
System is located at:
Southwest Research Institute,
Department 13,
8500 Culebra Road,
P. O. Drawer 28510,
San Antonio, TX 78284.

Categories of individuals covered by the system: Human subject volunteers.

Categories of records in the system: Medical records on each human subject volunteer.

Results of physical examinations.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Records are used when subjects are involved in a research project to supplement the data gathered in that project.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are stored on the original bond paper forms.

Retrievability: System is indexed by an identification number and cross referenced to name.

Safeguards: The records are stored in a locked cabinet with access only by employees with a need to know.

Retention and disposal: Records will be kept as long as needed and will be destroyed by shredding.

System manager(s) and address: Director, Department of Bioengineering,

Southwest Research Institute (SWRI),
8500 Culebra Road,
San Antonio, TX 78284.

Notification procedure: Department of Transportation,

National Highway Traffic Safety Administration,
Office of Contracts and Procurements,
400 7th Street, SW, Room 5301,
Washington, DC 20590.

Record access procedures: See address in 'Notification Procedure' above.

Contesting record procedures: See address in 'Notification Procedure' above.

Record source categories: The information in the system comes from medical examinations given at SWRI.

DOT/NHTSA 446.

System name: Habitual Offender Analysis File. DOT/NHTSA.

System location: Under the Auspices of:

Department of Transportation (DOT),
National Highway Traffic Safety Administration (NTSA),
System is located at:
The University of North Carolina,
Highway Safety Research Center,
Chapel Hill, NC 27514.

Categories of individuals covered by the system: Individuals certified as Habitual Offenders in North Carolina in Calendar Year 1973, pursuant to Section 20-220-Section 20-231, General Statutes of North Carolina.

Categories of records in the system: Driver record.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: File is being used by research staff at the Highway Safety Research Center to perform specific analyses of the driver records of habitual offenders as a group. The work is being performed as a subcontract of the subject contract.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic tape.

Retrievability: File is indexed by driver's license number of subjects.

Safeguards: When not in use the file is locked in a secure container. Only the programming staff of the Computer Division of the Center has access to the file.

Retention and disposal: The file will be used for the duration of the contract (10/31/75) and returned to the North Carolina Department of Motor Vehicles for retention or destruction (erasure) at their discretion.

System manager(s) and address: Chief, Driver Licensing and Adjudication Division,

Department of Transportation,
National Highway Traffic Safety Administration,
Office of Driver and Pedestrian Programs,

400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,
National Highway Traffic Safety Administration,
Office of Contracts and Procurement, N48-30,
400 7th Street, SW, Room 5301,
Washington, DC 20590.

Record access procedures: See address in 'Notification Procedure' above.

Contesting record procedures: See address in 'Notification Procedure' above.

Record source categories: Driver Record File of the Department of Motor Vehicles, State of North Carolina.

DOT/NHTSA 447.

System name: Drinking Driver Tracking System. DOT/NHTSA.

System location: Under the auspices of:
Department of Transportation (DOT),
National Highway Traffic Safety Administration (NHTSA),
System is located at:
State Office Building,
Salt Lake City, Utah 84114.

Categories of individuals covered by the system: Persons convicted while under the influence of alcohol.

Categories of records in the system: Identification data, driving record history and probation record.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To analyze and evaluate drunk driver's records to look for trends, similarities and redivision rates.

To extract statistical information for evaluation purposes.
See Prefatory Statement of General Routine Uses

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic tape.

Retrievability: By individual name or driver's license number.

Safeguards: Only personnel of the Utah Highway Safety Program Office can get information from file, which is stored in secured file cabinets.

Retention and disposal: 5 years; erased from file.

Source documents are destroyed after information is taken off

System manager(s) and address: Regional Administrator,
Department of Transportation,
Region VIII,
330 South Garrison Street,
Lakewood, CO 80226.

Notification procedure: Department of Transportation,
National Highway Traffic Safety Administration,
Office of Contracts and Procurement, N48-30,
400 7th Street, SW, Room 5301,
Washington, DC 20590.

Record access procedures: See address in 'Notification Procedure' above.

Contesting record procedures: See address in 'Notification Procedure' above.

Record source categories: Police arrest records.
Court records.
Probation records.
State Driver License Division.

DOT/NHTSA 449.

System name: Vehicles Defect Program File (Proposed). DOT/NHTSA.

System location: Under the auspices of:
Department of Transportation (DOT),
National Highway Traffic Safety Administration (NHTSA),
System is located at:
Connecticut Motor Club,
2276 Whitney Avenue,
Hamden, CT 06518.

Categories of individuals covered by the system: Owners of vehicles suspected of having safety related defects.

Categories of records in the system: Name and address of vehicle owner.
Vehicle identification number.
Description of vehicle and suspected defect.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Investigation reports of suspected defects for development of statistical data, both raw information and dates furnished to NHTSA for statistical purposes.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Hard paper copy.

Retrievability: Alphabetical by individual's name within the defect category.

Safeguards: Maintained in locked cabinets within secured space; only designated individuals involved in defects program have access.

Retention and disposal: Duration of contract and contract requirements are met (7-1-76).

Destroyed by shredding.

System manager(s) and address: Chief, Investigations Division,
Department of Transportation,
National Highway Traffic Safety Administration,
Office of Defects Investigation,
400 7th Street, SW,
Washinton, DC 20590.

Notification procedure: Contact System Manager at address in 'System Manager' above.

Record access procedures: Same as 'Notification Procedure' above.

Contesting record procedures: Same as 'Notification Procedure' above.

Record source categories: Individual vehicle owners.
Connecticut Motor Club membership lists.
Insurance company files.
Direct mail lists.
Responses to public announcements of the project.

DOT/NHTSA 450.

System name: Safety Related Defects Interviews and Vehicle Disablement Study. DOT/NHTSA.

System location: Under the auspices of:
Department of Transportation (DOT),
National Highway Traffic Safety Adminsistration (NHTSA),
System is located at:
Traffic Safety Research Corporation,
1010 Corporation Way,
Suite 101,
Palo Alto, CA 94303.

Categories of individuals covered by the system: Vehicle owners and operators.

Categories of records in the system: Owner interviews relating their experiences with the subject vehicle/accident.
Supporting records, photographs and reports.
Completed Disablement Questionnaires and Driver Interviews describing failed components, repairs and details of incidents/accidents that resulted.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Records are used to determine whether vehicle accidents or disablements as reported by owners/operators were caused by safety related defects of the vehicle itself.

Records may be used as a basis for an automotive recall campaign and for research and development purposes.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Hard copy files and punch cards.

Retrievability: By individual name.

Safeguards: Locked storage files.

Retention and disposal: Files are maintained for a minimum of 3 years. Records are destroyed by burning.

System manager(s) and address: Chief, Investigations Division,
Department of Transportation,
National Highway Traffic Safety Administration,
Office of Defects Investigations.

400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,
National Highway Traffic Safety Administration,
Office of Contracts and Procurement, N48-30,
400 7th Street, SW, Room 5301,
Washington, DC 20590.

Record access procedures: See address under 'Notification Procedure' above.

Contesting record procedures: See address under 'Notification Procedure' above.

Record source categories: Interviews with knowledgeable persons regarding safety related defects complaints.

Interviews and questionnaires from persons who experienced on-road failures.

DOT/NHTSA 451.

System name: Medical Records and Research Data. DOT/NHTSA.

System location: Department of Transportation (DOT),
National Highway Traffic Safety Administration (NHTSA),
System is located at:
Naval Aerospace Medical Research Laboratory (NAMRL),
Pensacola, FL 32512; and at:
NAMRL Detachment,
New Orleans, LA

Categories of individuals covered by the system: Military research subjects.

Categories of records in the system: Medical Histories, results of physical examinations, psuchological testing, electrocardiograms, audiograms, x-rays, and miscellaneous clinical laboratory results.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Records are used to screen research subjects and for statistical purposes by military and civilian personnel employed at this activity.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The records are maintained in file folders, magnetic tape and punch cards.

Retrievability: The key for retrieval is name or social security number.

Safeguards: Records are not sent to records center because they are considered raw scientific data.

Records are destroyed by burning when research projects are completed.

The military health records are sent to Naval Manpower Center, Bainbridge, Maryland when an active duty member completes his tour of service.

System manager(s) and address: Chief, Structures Research Division,
Department of Transportation,
National Highway Traffic Safety Administration,
Office of Vehicle Safety Research,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,
National Highway Traffic Safety Administration,
Attention: Director, Office of Contracts and Procurement, N48-30,
400 7th Street, SW, Room 5301,
Washington, DC 20590.

Record access procedures: See address under 'Notification Procedure' above.

Contesting record procedures: See address under 'Notification Procedure' above.

Record source categories: Personal medical history, personal responses to test, medical examinations, and results of experimental data.

DOT/NHTSA 452.

System name: Special Adjudication for Enforcement (SAFE). DOT/NHTSA.

System location: Department of Transportation (DOT),
National Highway Traffic Safety Administration (NHTSA),
System is located at:
Washington Department of Motor Vehicles,
Highways-licenses Building,
Olympia, WA 98504.
and at:
Administrative Adjudication Division,
345 Harris Avenue,
Providence, RI 02909.

Categories of individuals covered by the system: 1. Persons charged with traffic violations.

2. Persons who have had motor vehicle accidents.
3. Persons who have had their driver's license suspended.

Categories of records in the system: Driving records contain data concerning all accidents and traffic violations of which the driver has been found guilty, forfeited bail, or had license suspended.

Additional data is maintained in a separate file and contains demographic information relating to socio economic status, such as level of education, approximate income level, marital status and percent of business and pleasure driving. Statistics concerning completion of rehabilitation programs are entered on this file. Such rehabilitation may include the National Safety Council's Defensive Driving Course or one of the Department of Motor Vehicles driver inprovement group interview programs.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The system is used for the purpose of producing statistical reports for the Department of Transportation Quarterly.

Names are used for the purpose of tracking recidivism rates of drivers who have been adjudicated through the SAFE system compared with drivers who forfeited bail or appear for trial in formal court.

The system is also used to determine eligibility to pay traffic fires by mail and to control.

Records may be made available to state and local law enforcement agencies and cooperating social service agencies.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic tape or punch cards.

Retrievability: The system is indexed by driver name and birthdate, or the driver license number.

Safeguards: Computer program control is the main access safeguard. Files are stored in a tape library which has safeguards against unauthorized access.

System manager(s) and address: Chief, National Driver Register,
Department of Transportation,
National Highway Traffic Safety Administration,
Driver Licensing and Adjudication Division,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,
National Highway Traffic Safety Administration,
Attention: Director, Office of Contracts and Procurement, N48-30,
400 7th Street, SW, Room 5301,
Washington, DC 20590.

Record access procedures: See address in 'Notification Procedure' above.

Contesting record procedures: See address in 'Notification Procedure' above.

Record source categories: Driver history information is obtained from the abstract of court records following case adjudication.

Demographic data is obtained on a voluntary basis from individuals entering the system prior to the informal case hearing.

Data relative to completion of rehabilitation programs is forwarded to the Department from the Evergreen Safety Council and the Department's Driver Improvement Division.

DOT/NHTSA 454.

System name: Alcohol Behavior Research. DOT/NHTSA.

System location: Under Auspices of:
Department of Transportation (DOT),
National Highway Traffic Safety Administration (NHTSA),
System is located at:

13766 S. Hawthorne Boulevard,
Hawthorne, CA 90250.

Categories of individuals covered by the system: Male, heavy drinkers.

Categories of records in the system: Self-professed drinking ability, medical condition, personality traits, experimental drinking data, experimental performance data.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Experimenters' selection of subjects for alcohol and automobile control behavior research.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders are kept in the technical library.

Retrievability: System is indexed by subject's name.

Safeguards: Accessible by the experimenter from the locked storage cabinet.

Retention and disposal: Depend on the success and longevity of the particular line of research.

System manager(s) and address: Director, Office of Driver and Pedestrian Research,

Department of Transportation,
National Highway Traffic Safety Administration,
2100 2nd Street, SW, Room 3202,
Washington, DC 20590.

Notification procedure: Department of Transportation,

National Highway Traffic Safety Administration,
Office of Contracts and Procurement, N48-30,
400 7th Street, SW, Room 5301,
Washington, DC 20590.

Record access procedures: See address in 'Notification Procedure' above.

Contesting record procedures: See address in Notification Procedure' above.

Record source categories: Written examination filled out by the individual and experimental data collected during tests.

DOT/NHTSA 455.

System name: Debt Complaint File. DOT/NHTSA.

System location: Department of Transportation (DOT), National Higwhway Traffic Safety Administration (NHTSA),

Office of Personnel Management,
400 7th Street, SW, Room 5306,
Washington, DC 20590.

Categories of individuals covered by the system: Employees of the NHTSA on whom the Agency has received contacts from creditors concerning indebtedness.

Categories of records in the system: Letters and other correspondence from creditors, collection agents, and state and local tax supported institutions with claims upon employees of the NHTSA.

System also contains copies of agency responses, employee statements of intention to pay debts, and other related correspondence.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Information in the system may be used by officials of the agency to determine an employee's suitability for continued employment.

Documents in the file may be used to substantiate disciplinary actions related to indebtedness.

Material in file may be used oto verify prior debt complaints from the same creditor on the same individual in order to respond to the creditor's current correspondence.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records stored in file folders.

Retrievability: Indexed by name.

Safeguards: Records are located in lockable file cabinets with access limited to individuals with an official need to know.

Retention and disposal: Retained in file for 6 months following separation or transfer of employee unless documents become part of disciplinary or appeal files covered by Civil Service Commission regulations.

System manager(s) and address: Director, Office of Personnel Management,

National Highway Traffic Safety Administration,
Department of Transportation,
400 7th Street, SW, Room 5306,
Washington, DC 20590.

Notification procedure: Individuals desiring to review information about them in the system of records should address inquiries to the System Manager. Individuals requesting the information should provide their full name, date of birth, and social security number.

Record access procedures: Individuals desiring to access information in this system should address inquiries to the System Manager.

Contesting record procedures: Individuals desiring to contest information in this system of records should address inquiries to the System Manager.

Record source categories: The individual to whom the record applies.

Creditors.
Tax supported institutions.
Courts.

DOT/NHTSA 456.

System name: Emergency Medical Information System. DOT/NHTSA.

System location: Under the auspices of the:

Department of Transportation (DOT),
National Highway Traffic Safety Administration (NHTSA),
System is located at:
Philadelphia Health Management Corporation,
Attention: Director of Emergency Medical Service,
530 Walnut Street, 13th Floor,
Philadelphia, PA 19106.
and at the:
Department of Public Health,
City of Philadelphia,
Division of Emergency Medical Service,
500 S. Broad Street,
Philadelphia, PA 19146.
and at the:
Emergency Operations Center,
City of Philadelphia,
3rd and Spring Garden Streets,
Philadelphia, PA 19123.
and at the:
Finance Department,
City of Philadelphia,
Municipal Services Building,
Philadelphia, PA 19102.

Categories of individuals covered by the system:

Users of central emergency access phone system (9.1.1).
Users of Fire Rescue Service and other emergency medical transport systems.
Users of hospital emergency departments under contract to the City of Philadelphia.
Special studies of serious medical emergency categories.

Categories of records in the system: Statistical, management and evaluation information on the utilization and cost of the Philadelphia emergency services system.

Billing information to be processed for individual hospital.
9.1.1 central medical emergency dispatch records, ambulance encounter record, hospital emergency department record summaries.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Periodic and special planning, management, system evaluation and billing reports and studies of emergency departments, ambulances, geographic areas, training requirements and other aspects of the Emergency Medical Service System.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: This function is currently being planned; magnetic tape and discs are most likely.

Retrievability: Billing will be done with data provided by hospitals; all other data except for possibly high risk file will not be patient specific, but will be by diagnosis, rescue unit, time, place,

treatment given, severity, response, process, outcomes, etc.; high use file would be by person identifier, if accepted (it is now only being explored).

Safeguards: This area is currently in planning, however, we will use a variety of mechanisms including software design, personnel screening and training, physical plant design and other state of the art measures to assure security of the system.

Retention and disposal: This area is currently in the planning phase, however, it is clear that with the possible exception of high risk files patient specific information will not be maintained.

System manager(s) and address: Chief, Enforcement and Emergency Services Division, N42-13,

Department of Transportation,
National Highway Traffic Safety Administration,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,

National Highway Traffic Safety Administration
Attention: Director, Office of Contracts and Procurement.
Office of Contracts and Procurement,
400 7th Street, SW, Room 5301,
Washington, DC 20590.

Record access procedures: See address in 'Notification Procedure' above.

Contesting record procedures: See address in 'Notification Procedure' above.

Record source categories:

9.1.1 central medical emergency dispatch records
Ambulance records
Hospital emergency department forms
Special studies
Philadelphia Health Management Corporation data base.

DOT/NHTSA 457.

System name: Suitability Files. DOT/NHTSA.

System location: Department of Transportation (DOT),

National Highway Traffic Safety Administration (NHTSA),
Office of Personnel Management,
400 7th Street, SW, Room 5306,
Washington, DC 20590.

Categories of individuals covered by the system: Employees of the National Highway Traffic Safety Administration.

Categories of records in the system: File consists of reference checks, medical examination reports, and fitness-for-duty medical examination reports.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Information in the system may be used by officials of the Agency to determine employee's suitability for employment and continued employment.

Documents in the file may be utilized as basis for separation actions after appointment.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are stored in manila envelopes.

Retrievability: Records are retrievable by employee name.

Safeguards: Records are maintained in locked file cabinets. Access is limited to those whose official duties require access.

Retention and disposal: Files are maintained during period of employment. Except for medical examination reports, which will be transferred to another agency if employee transfers, the records in the file are destroyed upon employee separation. Medical examination records are destroyed, however, when inter-agency transfer is not the basis for separation.

System manager(s) and address: Director, Office of Personnel Management,

Department of Transportation,
National Highway Traffic Safety Administration,
400 7th Street, SW, Room 5306,
Washington, DC 20590.

Notification procedure: Inquiries about the system of records should be directed to the System Manager. Individuals requesting information should provide their name, mailing address, and social security number.

Record access procedures: Individuals who desire access to or to contest information about them in this system of records should contact the System Manager.

Contesting record procedures: Same as 'Record Access Procedure' above.

Record source categories: Previous Employers.

Medical Practitioners.
Employee to whom the record pertains.

DOT/NHTSA 458.

System name: Investigations of Alleged Misconduct or Conflict of Interest. DOT/NHTSA.

System location: Department of Transportation (DOT),

National Highway Traffic Safety Administration (NHTSA),
Office of Associate Administrator for Administration,
400 7th Street, SW, Room 5238,
Washington, DC 20590.

Categories of individuals covered by the system: Name of individual, state or contractor.

Categories of records in the system: Copies of allegations, investigations, audits, and recommendations.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: In assessing need for corrective action as necessary.

Available to Associate Administrator for Administration, individual assigned to the review or investigation, and Secretary to the Associate Administrator for Administration.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in folders in safe.

Retrievability: Identified alphabetically by name.

Safeguards: Safe combination is available only to the secretary of the Associate Administrator for Administration and secretary to the Director, Management Systems.

Retention and disposal: Until one year following final disposition of case then shredded.

System manager(s) and address: Associate Administrator for Administration,

Department of Transportation,
National Highway Traffic Safety Administration,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,

National Highway Traffic Safety Administration,
Attention: Associate Administrator for Administration, N48-01,
400 7th Street, SW, Room 5238,
Washington, DC 20590.

Record access procedures: See address in 'Notification Procedure' above.

Contesting record procedures: See address in 'Notification Procedure' above.

Record source categories: OST Office of Investigations and Security, FBI, Office of Audits, and internal investigative individuals.

State and local investigative and law enforcement agencies.

DOT/NHTSA 459.

System name: Stockton Increased DUI Enforcement/DUI Citation and Arrest File. DOT/NHTSA.

This file will be modified specifically for this project to include driver's license numbers and BAC levels.
Other files to be used are already maintained by the City of Stockton (traffic and criminal information) or will contain no information by which an individual could be identified (roadside survey data).

System location: Under the auspices of the:

Department of Highway Traffic Safety Administration (NHTSA),
System is located at:
Department of Psychology,
University of the Pacific,
Stockton, CA 95211.
and at the
Records Division,

City Hall,
22 East Market Street,
Stockton, CA 95202.

Categories of individuals covered by the system: Persons cited and/or arrested for DUI in City of Stockton.

Categories of records in the system: Citation and/or arrest records; court records.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Records are used to determine BACs of DUI offenders and recidivism rate overtime.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Record are maintained on magnetic tape and cards.

Retrievability: By name and driver's license number.

Safeguards: Records will be available for use by professional personnel only on evaluation team.

Records will be stored in a locked file cabinet in the Psycology Department or on tape at either computer center.

Retention and disposal: Retained for 10 years.

System manager(s) and address: Chief, Program and Demonstration Management Division, N42-11,

Department of Transportation,
National Highway Traffic Safety Administration,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,

National Highway Traffic Safety Administration,
Attention: Director, Office of Contracts and Procurement,
N48-30,
400 7th Street, SW, Room 5301,
Washington, DC 20590.

Record access procedures: See address in 'Notification Procedure' above.

Contesting record procedures: See address in 'Notification Procedure' above.

Record source categories: Citation and/or Arrest Records.

DOT/NHTSA 460.

System name: College Station/Young Problem Driver Improvement Program/ TDIP Driving Record File. DOT/NHTSA.

System location: Under the auspices of the:

Department of Transportation (DOT),
National Highway Traffic Safety Administration (NHTSA),
System is located at:
Room 114, Mechanical Engineering Shops,
Texas Transportation Institute,
Texas A&M University,
College Station, TX 77840.

Categories of individuals covered by the system: Drivers assigned to participate in Driver Improvement Training Program and control counterparts.

Categories of records in the system: This is a general driving record maintained on all drivers holding a valid Texas driver's license, and includes such information as:

(1) Name, date of birth, and sex.
(2) Date of issuance of license and license number.
(3) Current address.
(4) A listing of all convictions and accidents for the previous 36 months.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Records will be used to evaluate the effectiveness of a driver improvement training program in reducing the accident and conviction experience of problem and near-problem drivers.

Users are Evaluation Specialists working on contract.
Analysis of gross recidivism rates for near problem and problem drivers will be forwarded to NHTSA.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Driving records will be maintained as hard copy printouts.

Retrievability: System is indexed by driver's license number.

Safeguards: Driving records are maintained in coded files such that access by name is not possible.

Retention and disposal: Records will be maintained for approximately 30 months and then will be burned.

System manager(s) and address: Regional Administrator,

Department of Transportation,
National Highway Traffic Safety Administration,
Region VI Headquarters,
819 Taylor Street, Room 11A26,
Fort Worth, TX 76102.

Notification procedure: Department of Transportation,

National Highway Traffic Safety Administration,
Attention: Director, Office of Contracts and Procurement,
N48-30,
400 7th Street, SW, Room 5301,
Washington, DC 20590.

Record access procedures: See address in 'Notification Procedure' above.

Contesting record procedures: See address in 'Notification Procedure' above.

Record source categories: Information being maintained is obtained from Texas Department of Public Safety driver record files. Address:

Texas Department of Public Safety,
Austin, TX.

DOT/NHTSA 461.

System name: Hotline-Call Report System. DOT/NHTSA.

System location: Department of Transportation (DOT),

National Highway Traffic Safety Administration (NHTSA),
Office of Consumer Services, N40-40,
400 7th Street, SW, Room 5232,
Washington, DC 20590.

Categories of individuals covered by the system: Vehicle owners according to make/model/year of motor vehicles owned, and/or according to safety information requested.

Categories of records in the system: System contains:

Vehicle defect-related owner complaints or information.
Vehicle or equipment failure-related complaints or information.
Inquiry groupings according to types of safety-related information or written source-materials requested.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: System is used to indicate emerging patterns of vehicle or vehicle equipment failure, and/or to correlate such patterns with typographical or geographical regions, in order to support defect-related NHTSA investigations and vehicle recall actions as authorized by statute. Individuals may be contacted to verify the accuracy of the information initially provided.

System is also used to categorize the types of informational requests and safety-related materials most requested and/or needed by all users of the Hotline service.
System is also used to generate statistical evaluation of Hotline-call volume, frequency, service rendered, degree of safety-realted information recorded and utilized as a result of the Hotline's installation.
Records may be disclosed to other federal or state agencies or consumer groups having remedial or response jurisdiction over the individual complaint recorded.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: On hard copy and magnetic tape.

Retrievability: By name and case number.

Safeguards: Appropriate ADP procedures for safeguarding data are utilized with the system.

Retention and disposal: System is maintained in support of an experimental 'pilot' program. Hence, length of record retention is now unknown. Destruction, when authorized, will be by shredder (hard copy) and by deletion (magnetic tape).

System manager(s) and address: Chief, Office of Consumer Services,

Department of Transportation,
National Highway Traffic Safety Administration,
400 7th Street, SW,

Washington, DC. 20590

Notification procedure: Department of Transporatation,
National Highway Traffic Safety Administration,
Chief, Office of Consumer Services,
400 7th Street, SW, Room 5232,
Washington, DC 20590.

Record access procedures: Same as listed under 'Notification Procedure' above.

Contesting record procedures: Same as listed under 'Notification Procedure' above.

Record source categories: All information in the system is voluntarily submitted by vehicle owners, individual citizens, or other interested parties.

DOT/NHTSA 463.

System name: Motor Vehicle and Motor Vehicle Equipment Import. DOT/NHTSA.

System location: Department of Transportation,
National Highway Traffic Safety Administration,
Office of Standards Enforcement,
2100 2nd Street, SW, Room 3310B,
Washington, DC 20590.

Categories of individuals covered by the system: Importers or declarants of imported motor vehicles and motor vehicles equipment, both private and commercial.

Categories of records in the system: Forms HS-7, declaration on motor vehicles and motor vehicle equip- ment subject to Federal Motor Vehicle Safety Standards.
Customs reports of declarations and inspections.
Records relating to refusal of entry or penalties, and in some instances law enforcement and court records in alleged fraud cases.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used for monitoring compliance with Federal Motor Vehicle Safety Standards by NHTSA.
Records may be released to the Environmental Protection Agency for compliance with the Clean Air Act and to the U.S. Customs Service for import requirements.
Released to State divisions of motor vehicles for state purposes and to law enforcement agencies in alleged fraud cases.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper forms and computer disc tapes.

Retrievability: By name of importer or declarant, addressee(s) vehicle or vehicle identification, customs district and entry number, and port of entry.

Safeguards: Disc or tape may be accessed only by discrete identification code known to the System Manager and staff.
Hard paper copies are maintained in locked cabinets.

Retention and disposal: Hard paper copy is retained one year if no official claims are lodged against importer or declarant.
Disc and tapes retained for period of U.S. Customs Service statute of limitations before erasure.

System manager(s) and address: Team Leader, Customs Unit,
Office of Standards Enforcement,
National Highway Traffic Safety Administration,
Department of Tansportation,
2100 2nd Street, SW, Room 3310B,
Washington,DC 20590.

Notification procedure: Same as 'System Manager.'

Record access procedures: Same as 'System Manager.'

Contesting record procedures:
Same as 'System Manager.'

Record source categories: Data is obtained from forms executed by importers or declarants for the NHTSA,U.S. Customs Service, and the Environmental Protection Agency.

DOT/NHTSA 465.

System name: Improved Motorcyclist Licensing and Testing Project (IMLTP).

System location: Department of Motor Vehicles,
Division of Electronic Data Processing Service,
2415 First Avenue,
Sacramento, California 95818.

Categories of individuals covered by the system: Applicants for original motorcycle license or endorsement.

Categories of records in the system: Name, Driver's License Number, Address, Birthday, Sex, Educational level, Previous Experience Riding Motorcycles, Application Data, Knowledge Test Total Scores and Item Scores, Knowledge Test Administration Date, Mini-Skill Test Total Scores and Item Scores, Drive Test Administration Date, Drive Test Total Scores and Item Scores, Remedial Skills Training Data, Date Attended Remedial Skills Training, Accident and Conviction Record Since Date of Application.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The Evaluation Specialist will use the system for the following:
To evaluate the effect of the licensing programs on accidents and convictions.
To determine the validity of the tests.
To evaluate the effect of remedial skills training on subsequent accidents and convictions.
To evaluate the influence of applicant characteristics on the licensing rate and on the accident reducing effectiveness of the licensing program.
To prepare management information system reports.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic tape.

Retrievability: By I.D. Number of drivers license Number.

Safeguards: The contents of the collected data will not be available to any public source. The Tape Library is in a locked room with controlled access.

Retention and disposal: The records will be kept on tape until ten years after completion of the study. Then the ID No., Application No. or Drivers License No. will be erased, and the records will be available to other researchers.

System manager(s) and address: Chief,
Program and Demonstration Management Division,
ODPP, Room 5130,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Inquiries should be directed to:
Department of Transportation
National Highway Traffic Safety Administration
Room 5301,
400 7th Street, SW,
Washington, DC 20590
Atten: Director, Office of Contracts and Procurement (N48-30).

Record access procedures: Write or contact System Manager.

Contesting record procedures: All of the information in the system comes from the motorcycle subject file, the Driver's License Examiner file, or the driver's license files of the State of California.

DOT/NHTSA 466.

System name: National Highway Traffic Safety Administration Employee Travel Advances and Expense File.

System location: Room 4103,
DOT Headquarters Building,
400 7th Street, SW,
Washington, DC 20590.

Categories of individuals covered by the system: NHTSA Employees at Headquarters and the ten Regional Offices
Intergovernmental Personnel Act Employees of NHTSA
Temporary Employees of NHTSA

Categories of records in the system: Employee Name
Employee Number
Travel Order Number
Travel Advances Outstanding:
Continuing Advance Amount and date issued
Trip advance amount and date issued
Change of Station advance amount and date issued
Payments of advances
Travel Expense:

Subsistence
Transportation of Persons

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To maintain accountability of travel and transportation costs by object class for each allottee - Budget and Accounting Report - RIC-0031.

To control funds advanced to employees for travel and change of station.

To record detail of transactions processed for each budget allotment/allowance - Operating Budget - RIC09032.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Original records are maintained by the Office of Financial Management as follows:

Travel Orders, DOT 1500.3 and DOT 1500.4
Travel Vouchers, SF-1012
Travel Advance Record, DOT 1500.5
Data coded from these documents are keypunched to cards and proceessed for disc storage.

Retrievability: Job controls (JCL's) for retrieving data for periodic reports are maintained. Each report is identified by Report I.D. and by Report Name.

Safeguards: JCL's are kept in a locked cabinet under custody of System Manager.

Retention and disposal: Original Records are retained in accordance with the Comptroller General's requirements for record retention.

Punched cards are disposed of three months after processing as input to disc.
Hard copies of reports are retained for one year.

System manager(s) and address: Chief,

Accounting Operations
Room 4103,
Office of Financial Management
400 7th Street, SW
National Highway Traffic Safety Administration
Washington, DC 20590.

Notification procedure: Address all inquiries to:

Privacy Act Coordinator, Room 4103
Office of Financial Management
National Highway Traffic Safety Administration
400 7th Street, SW
Washington, DC 20590
Request for data may be made by any employee of NHTSA concerning the employee's own record. Request must be in writing and must identify:
System Name: DOT/NHTSA Travel Advances and Expense
Employee Number
Employee Name

Record access procedures: All inquiries as to procedures for gaining access to and Contesting records shall be directed to:

Privacy Act Coordinator - Room 4103
Office of Financial Management
National Highway Traffic Safety Administration
400 7th Street, SW
Washington, DC 20590

Contesting record procedures: DOT Form 1500.2 Application and Account for Advance of Funds
DOT Form 1500.3 Travel Order for Temporary Duty
DOT Form 1500.4 Travel Order for Permanent Change of Station
SF-1012 Travel Expense

DOT/OST 001.

System name: General Employee Records System. DOT/OST.

System location: Department of Transportation (DOT),

Office of the Secretary (OST),
400 7th Street, SW, Room 10320A and 10314,
Washington, DC 20590.

Categories of individuals covered by the system: Current employees of the Office of Management Systems.

Categories of records in the system: This system consists of copies of Time and Attendance cards of all employees in the Office of Management Systems and the Office of the Assistant Secretary for Administration, showing what their pay status was at any particular time. Maintenance of Employee Records (SF-7B) for all employees in the Office of Management Systems. Retention of copies of paid travel vouchers for certain employees of the Department of Transportation.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Information in these records is used to provide a readily available source of reference for organizational planning; ready reference as to attendance; general idea of how travel funds are being utilized.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: These records are maintained in file folders, on index cards, and in file boxes.

Retrievability: These records are maintained chronologically by name; by individual division; and, individual regions.

Safeguards: Access to and use of these records are limited to persons whose official duties require such access. Files are maintained in room 10320A which is locked during non-work hours. The system manager's secretary is responsible for screening people who wish to use the files, for filing, and for maintenance of the files.

Retention and disposal: The records are maintained while the individuals are employed in the Office of Management Systems.

System manager(s) and address: Director of Management Systems,

Department of Transportation,
Office of the Secretary,
TAD-20, Room 10320,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Any individual who w-shes to inquire as to whether this system contains records about him may write to:

Department of Transportation,
Office of the Secretary,
Office of Management Systems,
Director's Secretary,
400 7th Street, SW, Room 10320,
Washington, DC 20590.
The written request should include the individual's social security number or a notarized signature. An individual who wishes to make an inquiry in person should present himself and his DOT building pass to:
Director's Secretary,
400 7th Street, SW, Room 10320,
Washington, DC 20590.

Record access procedures: Employees who wish to gain access or contest their records should contact:

Department of Transportation,
Office of the Secretary,
Director of Management Systems,
400 7th Street, SW,
Washington, DC 20590.

Contesting record procedures: Same as 'Record Access Procedure' above.

Record source categories: Information in this system of records either comes from the individual to whom it applies or is derived from information he supplied.

DOT/OST 003.

System name: Allegations of Infringement of United States Patents. DOT/OST.

System location: Department of Transportation (DOT),

Office of the Secretary (OST),
Office of the General Counsel,
400 7th Street, SW, Room 10100,
Washington, DC, 20590.

Categories of individuals covered by the system: Individuals who believe that an agency of the Department of Transportation is infringing a United States patent owned by the individual.

Categories of records in the system: Copies of correspondence alleging that agencies of the Department of Transportation have infringed, or are infringing, United States patents owned by the originators of the correspondence. Copies of replies by the Department Patent Counsel to the originator of the allegation. Copies of correspondence forwarding the allegation to the particular Depart-

ment agency accused for their comment; their replies to Patent Counsel. Copies of correspondence between the Department of Transportation and the Department of Justice concerning the allegations.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used as a record of allegations and Patent Counsel's actions thereon.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders stored in file cabinets.

Retrievability: Indexed individually by name in alphabetical sequence.

Safeguards: Records are disclosed only to individuals with established legal interest or legal 'need to know.'

Retention and disposal: Transfer to Federal Records Center two years after close of file; Destroy 25 years after close of file.

System manager(s) and address: Patent Counsel,
Department of Transportation,
Office of the Secretary,
Office of the General Counsel,
Office of the Assistant General Counsel for Operations and Legal Counsel,
400 7th Street, SW, Room 10100,
Washington, DC 20590.

Notification procedure: Department of Transportation,
Office of the Secretary,
Office of the General Counsel,
Office of Patent Counsel,
400 7th Street, SW, Room 10100,
Washington, DC 20590.

Record access procedures: Patent Counsel,
Office of the Assistant General Counsel for Operations and Legal Counsel,
Office of the Secretary,
Office of the General Counsel,
Department of Transportation,
400 7th Street, SW, Room 10100,
Washington, DC 20590.

Contesting record procedures: Same as 'Record Access Procedures'

Record source categories: Patent owners.

DOT/OST 004.

System name: Board for Correction of Military Records (BCMR). DOT/OST.

System location: Department of Transportation (DOT),
Office of the Secretary (OST),
Office of the General Counsel,
400 7th Street, SW, Room 10102,
Washington, D.C. 20590

Categories of individuals covered by the system: Military personnel requesting the Board for Correction of Military Records to correct their military records.

Categories of records in the system: Copies of actions of the General Counsel acting under delegated authority approving or disapproving BCMR cases.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used as a record of the General Counsel's action in individual BCMR cases.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders stored in file cabinets (Conserv-a-File).

Retrievability: Indexed individually by name in alphabetical sequence.

Safeguards: Files are kept in the office of the Assistant General Counsel. Requests are referred to the Executive Secretary, BCMR.

Retention and disposal: Retire in 3 years; destroy in 6 years.

System manager(s) and address: Assistant General Counsel for Operations and Legal Counsel,
Department of Transportation,
Office of the Secretary, Office of the General Counsel,
400 7th Street, SW, Room 10102,
Washington, D.C. 20590.

Notification procedure: Department of Transportation,
Office of the Secretary,
Office of the General Counsel,
400 7th Street, SW, Room 10428,
Washington, D.C. 20590.

Record access procedures: Executive Secretary,
Department of Transportation,
U.S. Coast Guard, Office of the Chief Counsel,
Board for Correction of Military Records,
2100 2nd Street, SW, Room 5601,
Washington, D.C. 20590.

Contesting record procedures: Same as 'Record Access Procedure'.

Record source categories: Official agency records; hearings, documentary material from outside the agency.

DOT/OST 005.

System name: Citizens' Advisory Committee on Transportation Quality. DOT/OST.

System location: Department of Transportation (DOT),
Office of the Secretary (OST),
Office of Consumer Affairs,
400 7th Street, SW, Room 9424,
Washington, DC 20590.

Categories of individuals covered by the system: Present Members of the Citizens' Advisory Committee.
Past Members of the Citizens' Advisory Committee.
Individuals interested in being considered for appointment.

Categories of records in the system: Biographic Sketches and Travel Records (includes travel orders, travel vouchers, claims for reimbursement).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Sketches used in connection with appointing members to the committee.
Maintaining travel expenses.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records maintained in official filing system.

Retrievability: Records indexed alphabetically by name.

Safeguards: Records are kept in locked files.

Retention and disposal: Retained until expiration of terms, then sent to storage for a 3 year period, then destroyed.

System manager(s) and address: Director, Office of Consumer Affairs, TES-40,
Department of Transportation,
Office of the Secretary,
400 7th Street, SW, Room 9424,
Washington, DC 20590.

Notification procedure: The individual may present himself in person or in writing to the System Manager where files are held to inquire about whether records apply to him.

Record access procedures: The individual may gain access to his records upon his request to the System Manager.

Contesting record procedures: Contest of these records will be in writing to the System Manager, listed above. If request is not resolved, the individual may file an appeal with the Secretary of Transportation addressed to the General Counsel as follows:
Department of Transportation,
Office of the Secretary,
Office of General Counsel,
400 7th Street, SW, Room 10428,
Washington, DC 20590.

Record source categories: Biographical data obtained from various methods, including information provided by the individual and submission by DOT Secretarial Representatives.
Travel data submitted by individual members.

DOT/OST 006.

System name: Confidential Statement of Employment and Financial Interests. DOT/OST.

System location: Department of Transportation (DOT),
Office of the Secretary (OST),

Office of the General Counsel,
400 7th Street, SW, Room 10428,
Washington DC 20590.

Categories of individuals covered by the system: Employees of the Department of Transportation in supervisory or other positions where a conflict of interest or the appearance of a conflict of interest might occur. Also included in this category are Consultants and Experts of the Department of Transportation.

Categories of records in the system: Information on employment and financial interests and debts.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The information to be furnished is required by Executive Order 11222 and the regulations of the Civil Service Commission issued thereunder and may not be disclosed except as the Commission or the agency head may determine. The purpose of the use of this information is to avoid a conflict of interest or the appearance of a conflict of interest in DOT employees.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Accordion - type file folder.

Retrievability: Indexed individually by name in alphabetical sequence.

Safeguards: Records are stored in safe.

Retention and disposal: Records are kept 3 years and then destroyed.

System manager(s) and address: Deputy General Counsel,
Department of Transportation,
Office of the Secretary,
Office of the General Counsel,
400 7th Street, SW, Room 10428,
Washington, DC 20590. 11
Department of Transportation,
Office of the Secretary,
Office of the General Counsel,
Deputy General Counsel,
400 7th Street, SW, Room 10428,
Washington, DC 20590. Inquiries may be addressed to the Deputy General Counsel either in person or in writing. If written the individual must provide a notarized signature.

Record access procedures: Access to records requires the individual to contact in person or write the Deputy General Counsel.

Contesting record procedures: Contest of a record is also through the Deputy General Counsel.

Record source categories: Statements of employment and financial interests, creditors, interests in real property are submitted by employees and consultants of the Department of Transportation. Initial requests for submission of statements are through the Office of Personnel and Training.

DOT/OST 008.

System name: Departmental Advisory Committee Files. DOT/OST.

System location: Department of Transportation (DOT),
Office of the Secretary (OST),
Office of the Executive Secretary,
400 7th Street, SW,
Washington, DC 20590.

Categories of individuals covered by the system: Present and former members of Departmental Advisory Committees.

Categories of records in the system: Individual index card membership file listing name, address, occupation, committee name, and term of appointment.

Biographical information on committee members.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To prepare reports required by the Congress and the Office of Management and Budget.

To answer inquiries on the membership status of individuals received from Departmental elements as well as from public and private organizations and individuals.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: 3x5 index card file.

File folders.

Retrievability: Alphabetically by individual's name.

Alphabetically by committee name.

Safeguards: Files kept in locked room, accessible only by permission of the Committee Records Manager.

Retention and disposal: Maintained indefinitely.

Retired to Federal Records Center within five years after a committee becomes inactive.

System manager(s) and address: Executive Secretary/DOT Committee Management Officer,
Department of Transportation,
Office of the Secretary,
Office of the Executive Secretary,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Inquiries should be directed to the System Manager. Full, correct name of advisory committee, if known, will facilitate location of information.

Record access procedures: Contact System Manager for information on procedures for gaining access to records.

Contesting record procedures: Contact System Manager for information on procedures for contesting records. Appeals should be directed to the Secretary of Transportation, if request for modification or deletion is denied.

Record source categories: Information supplied by the Committee Sponsor.

DOT/OST 010.

System name: Departmental Personnel Management Information System. DOT/OST.

System location: Department of Transportation (DOT),
Transportation Computer Center,
400 7th Street, SW, Room 2401,
Washington, DC 20590.
Computer Network Corporation,
5185 MacArthur Blvd.,
Washington, DC 20016.

Categories of individuals covered by the system: Federal civilian employees of the U. S. Department of Transportation.

Categories of records in the system: Personnel Records and Training Records

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Issue automated records to the U.S. Civil Service Commission.

Submit personnel reports, summaries and name listings to the U.S. Civil Service Commission, Office of Management and Budget, U. S. Department of Commerce, U.S. Congress, and other government agencies.

Develop statistical summaries and name listings for use in performing personnel management functions and other manpower and budgetary functions of the Department.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic Tapes and Disks.

Retrievability: Social Security Number.

Safeguards: Transportation Computer Center maintains both physical security and personal access by retrieve/access procedures and secured storage and operative areas.

Retention and disposal: The personnel records are maintained for a 3 year period while the training records will be maintained for a 10 year period. The records disposed by blending the magnetic tapes or disks.

System manager(s) and address: Director of Personnel and training,
Department of Transportation,
Office of the Secretary,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: The individual may address the Office of Personnel and Training (Director of Personnel and Training), in person or in writing, at the above address.

Record access procedures: Same as 'Notification Procedure'.

Contesting record procedures: Contest of these records will be to the Office of Personnel and Training. If resolution is not satisfactory to the individual, an appeal may be filed with the Secretary of Transportation addressed to the General Counsel as follows:

Department of Transportation,
Office of The Secretary,
Office of the General Counsel,
400 7th Street, SW, Room 10428,
Washington, DC 20590.

Record source categories: Standard Form 50 (Notification of Personnel Action).

Standard Form 52 (Request for Personnel Action).
Standard Form 171 (Personnel QUALIFICATIONS Statement).
Standard Form 1126 (Pay Change Action).
Optional Form 170 (Training) and other internal training forms.
Internal Forms (Minority Identification Record).

DOT/OST 011.

System name: Discrimination Complaint Investigative Files. DOT/OST.

System location: Department of Transportation (DOT),

Office of the Secretary (OST),
Departmental Office of Civil Rights,
Complaints Division,
2100 Second Street, SW, Rm 5300,
Washington, DC 20590.

Categories of individuals covered by the system: Complaints of discrimination by employees of, and applicants to, the Department. Complaints of discrimination by individuals affected by departmental programs for which the Department has compliance responsibility.

Categories of records in the system: Formal complaint.

Personnel record information.
Racial-ethnic data.
Investigative reports.
Affidavits.
Departmental decision.
Hearing transcript, if hearing is held.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Internal Complaints:

Investigation and adjudication of complaint by the Departmental Office of Civil Rights.
Referral to the General Counsel and, upon request, to the appropriate U.S. Attorney's Office, if complainant files suit in court.
Referral to the Civil Service Commission (CSC) if complainant requests a hearing or appeals a Departmental decision.
Referral to the CSC for investigation of complaint.
Information copies to the Civil Rights Office of the employee's administration and to the employee.
External Complaints:
Referral to Office of Federal Contract Compliance (OFCC) of discrimination complaints relating to employment.
Referral to operating administration with compliance responsibility of class-action complaints, for purpose of a compliance review.
Investigation by Departmental Office of Civil Rights personnel of Title VI complaints.
Referral, upon request, to Department of Justice, which has overall program responsibility for Title VI enforcement.
Referral to General Counsel when conciliation fails and sanction action is anticipated.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders.

Retrievability: Alphabetically within operating administration.

Safeguards: Maintained in locked file cabinets in a locked room.

Retention and disposal: Maintained three years, then retired to NARS.

System manager(s) and address: Director of Civil Rights,

Department of Transportation,
Office of the Secretary,
Director of Civil Rights,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Inquiries should be directed to the System Manager.

Record access procedures: Contact System Manager for information on procedures for gaining access to records.

Contesting record procedures: Contact System Manager for information on procedures for contesting records. Appeals should be directed to the Secretary of Transportation, if request for modification or deletion is denied.

Record source categories: Information provided by the complainant.

Personnel files and employment records.
Interviews with witnesses.

DOT/OST 012.

System name: Files Relating to Personnel Hearings. DOT/OST.

System location: Department of Transportation (DOT),

Office of the Secretary (OST),
Office of the General Counsel,
400 7th Street, SW, Room 10102,
Washington, DC 20590.

Categories of individuals covered by the system: Certain employees of the Office of the Secretary who have availed themselves of the opportunity for a hearing in certain personnel matters.

Categories of records in the system: A record of the legal services performed and reference material for future cases.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by agency management in the preparation and conduct of administrative hearings.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage file folders stored in file cabinets (Conserv-a-File).

Retrievability: Indexed individually by name in alphabetical sequence.

Safeguards: Files are kept in the office of the Assistant General Counsel.

Retention and disposal: Retire in 3 years; destroy in 6 years.

System manager(s) and address: Assistant General Counsel for Operations and Legal Counsel,

Department of Transportation,
Office of the1 Secretary, Office of the1 General Counsel,
400 7th Street, SW, Room 10102,
Washington, DC 20590.

Notification procedure: Department of Transportation,

Office of the Secretary,
Office of the General Counsel,
400 7th Street, SW, Room 10428,
Washington, DC. 20590.

Record access procedures: Department of Transportation,

Office of the Secretary, Office of the General Counsel,
Assistant General Counsel for Operations and Legal Counsel,
400 7th Street, SW, Room 10102,
Washington, DC 20590.

Contesting record procedures: Same as 'Record Access Procedure'.

Record source categories: Official agency records; hearings; documentary material from outside the agency.

DOT/OST 013.

System name: Employee Management Convenience Files-Audit.

System location: Regional Audit Manager,

Office of Audits, DOT-OST,
Room 930,
Transportation Systems Center,
Kendall Square,
Cambridge, MA 02124.
Regional Audit Manager,
Office of Audits, DOT-OST,
181 South Franklin Avenue,
Valley Stream, NY 11581.
Regional Audit Manager,
Office of Audits, DOT-OST,
1568 Willingham Drive,
Atlanta, GA 30337.
Regional Audit Manager,

Office of Audits, DOT-OST,
Suite 710,
300 South Wacker Drive,
Chicago, IL.
Regional Audit Manager,
Office of Audits, DOT-OST,
Room 9A31,
Federal Office Building,
819 Taylor Street,
Fort Worth, TX 76102.
Regional Audit Manager,
Office of Audits, DOT-OST,
PRUDENTIAL PLAZA BUILDING,
1050 17th Street,
Denver, CO 80220.
Regional Audit Manager,
Office of Audits, DOT-OST,
Suite 580,
2 Embarcadero Center,
San Francisco, CA 94111.
Regional Audit Manager,
Office of Audits, DOT-OST,
Federal Office Building,
915 Second Avenue,
Room 3198,
Seattle, WA 98174.
Manager, Kansas City Suboffice,
Office of Audits, DOT-OST,
601 East 12th Street, Room 1756,
Kansas City, MO 64106.

Categories of individuals covered by the system: Present employees.

Categories of records in the system: Performance Evaluation Records, Position Descriptions, SF-171s.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Employment, pay and performance evaluations.

Used by supervisor and administrative personnel in preparation of personnel documents.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Safe and File cabinets.

Retrievability: By name.

Safeguards: Locked safe and files.

Retention and disposal: Retained for current employment. Destroyed by shredding.

System manager(s) and address: Chief, Resource Management Staff, TAD-72,

Department of Transportation,
Office of the Secretary,
Office of Audits,
400 7th Street, SW, Room 9210,
Washington, DC 20590.

Notification procedure: Department of Transportation,

Office of the Secretary,
Office of Audits,
Chief, Resource Management Staff,
400 7th Street, SW, Room 9210,
Washington, DC 20590.

Record access procedures: Current employees may have access to contents through the System Manager.

Contesting record procedures: Current employees may contest contents through the System Manager.

Record source categories: Subject, supervisor, responsible official, personnel and payroll offices.

DOT/OST 014.

System name: Employment Applications Files. DOT/OST.

System location: The following Secretarial Offices located at:

400 7th Street, SW,
Washington, DC 20590:
Office of the Deputy Secretary, S-2.
Special Assistant to the Secretary, S-3.
Special Assistant to the Secretary, S-7.
Office of the Deputy Under Secretary, S-5.
Office of the Executive Secretary, S-10.
Contract Appeals Board, S-20.
Office of Public Affairs, S-80.
Office of Civil Rights, S-30.

Categories of individuals covered by the system: Prospective employees.

Categories of records in the system: Resumes, employee applications, samples of work and letters of recommendation and referral. (Note: Not all items are held in all offices.)

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Consideration for in-house employment.

Where appropriate, referral to other offices and agencies for consideration of employment.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders.

Retrievability: Filed alphabetically by name, except in the Office of Civil Rights of the Department, where they are filed alphabetically by name within occupational series.

Safeguards: Files are accessible only with the permission of each System Manager.

Retention and disposal: Files are retained as long as position(s) for which individual(s) is (are) being considered remain(s) viable.

System manager(s) and address: Senior official of each office listed.

Notification procedure: Inquiries should be directed to the appropriate System Manager.

Record access procedures: Contact the appropriate System Manager for information on procedures for gaining access to records.

Contesting record procedures: Contact the appropriate System Manager for information on procedures for contesting records. Appeals should be directed to the Secretary of Transportaion, if request for modification or deletion is denied.

Record source categories: Applicant.

Referring individual.
Other agencies, including the Civil Service Commission.
DOT officials.
Office of Personnel Operations.
(Note: Not all sources are used by all offices.)

DOT/OST 015.

System name: Funds Management Records. DOT/OST.

System location: Department of Transportation (DOT),

Office of the Secretary (OST),
Office of Emergency Transportation, (OET) (TAD)-80),
400 7th Street, SW, Washington, DC 20590.
Regional Emergency Transportation Coordinator (RETCO),
Regions 1 and 2,
c/o Commander 1st Coast Guard District,
150 Causeway Street,
Boston, MA 02114.
RETCO, Region 3,
c/o Federal Highway Administration,
1633 Federal Building,
Baltimore, MD 21201.
RETCO, Region 4,
c/o Federal Aviation Administration,
P.O. Box 20636,
Atlanta, GA 30320.
RETCO, Region 5,
c/o Federal Highway Administration,
18209 Dixie Highway,
Homewood, IL 60430.
RETCO, Region 6,
c/o Federal Aviation Administration,
P.O. Box 1689,
Ft. Worth, TX 76101.
RETCO, Region 7,
c/o Federal Highway Administration,
P.O. Box 19715, Country Club Sta.,
Kansas City, MO 64141.
RETCO, Region 8,
c/o Federal Highway Administration,
Denver Federal Center,
Denver, CO 80225.

RETCO, Region 9,
c/o United States Coast Guard, Commander Pacific Area,
630 Sansome Street,
San Francisco, CA 94126.
RETCO, Region 10,
c/o Commander 13th Coast Guard District,
Federal Building, Room 3446,
915 Second Avenue,
Seattle, WA 98174.
RETCO, Alaska Region,
c/o Federal Aviation Administration,
632 Sixth Avenue,
Anchorage, AK 99501.

Categories of individuals covered by the system: Members of the National Defense Executive Reserve (NDER); private citizens; Military members; U.S., State, and local government officials and civil servants, who participate in Fund activities.

Categories of records in the system: Receipt forms by individual name, with dates, amounts of money received, and purpose with vouchers to enter the fund; checks with vouchers in payment of approved invoices; procurement requests for authorized services; invoices for services rendered and correspondence to agencies, firms and individuals pertaining to the above.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Internal management of non-appropriated funds of NDER units, National and Regional, in authorized training activities of those units.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Individual records are maintained in a filing folder appended to numbered vouchers.

Retrievability: Retrieved only by incident or occasion to which voucher pertains.

Safeguards: Maintained in metal file containers or other standard office equipments which are kept in a locked file room.

Retention and disposal: Retained indefinitely.

System manager(s) and address: Custodian, Organization Fund,

Department of Transportation,
Office of the Secretary,
Office of Emergency Transportation Unit,
National Defense Executive Reserve,
400 7th Street, SW, Room 9114,
Washington, DC 20590. For each Region the RETCO (Section 02) is manager of the Regional Fund.

Notification procedure: Inquiries may be addressed to any of the offices listed under 'System Locations'. Individuals requesting such information must sign the request personally and include suitable identification. Alternatively personal visits to the above locations with presentation of suitable identification will enable individual to learn of and have access to his or her record.

Record access procedures: Individual may secure or obtain information or procedures for gaining access to records by addressing a written query to the offices cited above or presenting him or herself in person to those offices.

Contesting record procedures: Same as 'Record Access Procedures'.

Record source categories: Data comes from individuals concerned.

DOT/OST 016.

System name: General Investigations Record System. DOT/OST.

System location: Department of Transportation (DOT),

Office of the Secretary (OST),
Office of Investigations and Security (TAD-50),
400 7th Street, SW,
Washington, DC 20590.

Categories of individuals covered by the system: DOT employees and contractor employees as well as employees, grantees, subgrantees, contractors, subcontractors and applicants for DOT funded programs.

Categories of records in the system: Results of investigations and inquiries conducted by the Office of Investigations and Security (OST); reports of investigations conducted by other Departmental, Federal, state and local investigative agencies which relate to the mission and function of the Office of Investigations and Security; incident reports covering occurrences relating to the security of DOT personnel and headquarters buildings; and investigative case index card files.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The information contained in the Investigative Records System is collected and maintained in the administration of the DOT Act, Federal Criminal Statutes, Uniform Code of Military Justice, Executive Orders, and the Code of Federal Regulations to oversee and enforce Federal laws and regulations. Material gathered is used in the enforcement of the aforementioned laws and regulations.

These records may be disseminated, depending on jurisdiction, to:
DOT Officials in the administration of their responsibilities.
Other Federal, state, local or foreign agencies or administrations having interest or jurisdiction in the matter.
Any source from which information is requested in the course of an investigation, to the extent necessary to identify the individual, to inform the source of the nature and purpose of the investigation, and to identify the type of information requested.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records in case folders in manual filing system and on index cards.

Retrievability: By name or incident title.

Safeguards: Investigative files and case index file cards are maintained in a cypher-locked room with appropriate access controls. Access to investigative files is strictly restricted to authorized investigative personnel on a 'need-to-know' basis, with appropriate access controls.

Retention and disposal: Investigative material held for ten years, then destroyed by secure means used for classified materials.

System manager(s) and address: Director, Office of Investigations and Security (TAD)-50),

Department of Transportation,
Office of the Secretary,
400 7th Street, SW, Room 10323,
Washington, DC 20590.

Notification procedure: Same as 'System Manager'.

Record access procedures: Same as 'System Manager'. Investigative data compiled for law enforcement may be exempt from the access provisions pursuant to 5 USC 552a(k)(2).

Contesting record procedures: Same as 'Record Access Procedure'.

Record source categories: These records contain information obtained from interviews, review of records and other authorized investigative techniques.

Systems exempted from certain provisions of the act: Investigative data compiled for law enforcement purposes may be exempt from the access provisions pursuant to 5 USC 552a (j)(2), (k)(1) or (k)(2).

DOT/OST 018.

System name: Identification Media Record Systems. DOT/OST.

System location: Department of Transportation (DOT),

Office of the Secretary (OST),
Office of Investigations and Security, TAD-50,
400 7th Street, SW,
Washington, DC 20590.
Commandant (G01S),
U.S. Coast Guard Headquarters,
Washington, D.C. 20590; and each District Office.
Federal Aviation Administration,
Office of Investigations and Security,
800 Independence Avenue, SW,
Washington, D.C. 20591; and FAA Regional and Center Air Transportation Security Divisions.
Federal Highway Administration,
Operations and Services Division,
400 7th Street, SW,
Washington, DC 20590; and all FHWA Regional Offices.

Categories of individuals covered by the system: Present employees, contractor employees, and National Defense Executive Reservists in the Office of the Secretary, U.S. Coast Guard, Federal Aviation Administration, Federal Highway Administration,

Federal Railroad Railroad Administration, National Highway Traffic Safety Administration, Urban Mass Transportation Administration, St. Lawrence Seaway Development Corporation, and Materials Transportation Bureau.

Categories of records in the system: Applications, photographs, receipts for DOT identification cards, and official credentials; special facilities passes, including Federal Preparedness Agency passes; temporary building passes; and application for Civil Defense Emergency Assignee cards or other passes needed for official duties.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Records are maintained for control and accountability of DOT identification cards, credentials, and Civil Defense Emergency Assignee cards issued to DOT Civilian employees, contractor employees and executive reservists for identification purposes and admittance to the DOT facilities or for other official duties.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Alphabetically filed card forms in manual filing system.

Retrievability: By name.

Safeguards: Application cards stored in locked drawers and/or in a locked room with limited access.

Retention and disposal: Applications, including photographs and identification media, are destroyed upon termination of employment.

System manager(s) and address: Director of Investigations and Security, TAD-50,

Department of Transportation,
Office of the Secretary,
400 7th Street, SW, Room 10323,
Washington, DC 20590.
For USCG: Commandant (GOIS),
U.S. Coast Guard Headquarters,
Washington, DC 20590.
For FAA: Director, Office of Investigations and Security,
Federal Aviation Administration,
800 Independence Avenue, SW,
Washington, DC 20591.
For FHWA: Chief, Operations and Services Division,
Federal Highway Administration,
400 7th Street, SW,
Washington, DC 20590.
All FHWA Regional Offices

Notification procedure: Same as 'System Manager'.

Record access procedures: Same as 'System Manager'.

Contesting record procedures: Same as 'System Manager'.

Record source categories: Individual on whom the record is maintained.

DOT/OST 019.

System name: Individual Personal Interests in Intellectual Property. DOT/OST.

System location: Department of Transportation (DOT),

Office of the Secretary (OST),
Office of the General Counsel,
400 7th Street, SW, Room 10100,
Washington, DC 20590.

Categories of individuals covered by the system: Inventors employed by or having contractual relationships with the Department of Transportation and other Government agencies.

Categories of records in the system: Invention disclosures, Government Patents Branch cases, patent applications, issued patents, and license agreement files.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Patent Counsel and his staff as a record of determination of rights in inventions, determination of novelty and patentability, determination of patent coverage, and allocation of rights in issued patents.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders stored in file cabinets.

Retrievability: Indexed individually by name in alphabetical sequence.

Safeguards: Records are disclosed only to individuals who have legal interest in the records or legal 'need to know.'

Retention and disposal: Transfer to Federal Records Center two years after close of file; destroy 25 years after close of file.

System manager(s) and address: Patent Counsel,

Department of Transportation,
Office of the Secretary,
Office of the General Counsel,
Office of the Assistant General Counsel for Operations and Legal Counsel,
400 7th Street, SW, Room 10100,
Washington, DC 20590.

Notification procedure: Department of Transportation,

Office of the Secretary,
Office of the General Counsel,
Office of Patent Counsel,
400 7th Street, SW, Room 10100,
Washington, DC 20590.

Record access procedures: Department of Transportation,

Office of the Secretary,
Office of the General Counsel,
Patent Counsel,
Office of the Assistant General Counsel for Operations and Legal Counsel,
400 7th Street, SW, Room 10100,
Washington, DC 20590.

Contesting record procedures: Same as 'Record Access Procedures'.

Record source categories: Individual inventors, technical evaluators, and United States Patent and Trademark Office.

DOT/OST 020.

System name: Information Systems Division Project Management System. DOT/OST.

System location: Department of Transportation (DOT),

Office of the Secretary (OST),
400 7th Street, SW, Room 10317,
Washington, DC 20590.

Categories of individuals covered by the system: Records involve only employees of the Information Systems Division, Office of Management Systems.

Categories of records in the system: Contains detailed plans for obligation and expenditure of funds for individual projects, including project manager, category of expenditure maintained on random access data base with formal reports, specific task assignment notes with scheduled completion dates, agreed-on goals and objectives for projects and individuals.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Normal use is for internal agency management review of planned and actual accomplishments and fund usage on approved projects. May also be used for similar reviews with other government agency personnel involved in management of information systems activities such as OMB, GSA and NBS.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Printed, typed or hand-written items are maintained in normal office file systems. Obligation and cost tracking records maintained on commercial computer time-sharing random access storage under password control (plus account number control).

Retrievability: Computer-resident data normally retrieved by standard report, selected by project or group of projects.

Detailed reports normally used by individuals to update; summary reports (which do not contain personal date) normally used for management review.
Goal/objectives statements manually filed (variable).

Safeguards: Computer data base under account number and password control; requires some knowledge of system to extract.

Manual records and print-outs are in normal office files, amount of personal data is not considered significant.

Retention and disposal: Records are maintained for the life of a particular project or fiscal year.

Computer based records are deleted from a terminal. Printed or hard copies in normal trash pickup.

System manager(s) and address: Chief, Information Systems Division,
Department of Transportation,
Office of the Secretary,
Office of Management Systems,
400 7th Street, SW, Room 10317,
Washington, DC 20590

Notification procedure: Contact the System Manager. Generally, each individual should have a copy of any record pertaining to him.

Record access procedures: Contact the System Manager. All records are normally brought to the named individual's attention periodically for updating since this is a status system.

Contesting record procedures: Notify the system manager of any erroneous items.

Record source categories: Records are normally created by the division chief in conjunction with the named individual as part of the normal management process of assigning work. States data is supplied (usually by the named individual) based on billings from contractors, revised estimates, budget changes or similar events and sources.

DOT/OST 022.

System name: National Defense Executive Reserve (NDER)File. DOT/OST.

System location: Office of Emergency Transportation (OET) (TAD-80).
Department of Transportation,
400 7th Street, SW,
Washington, D.C. 20590.
Some records are held only in the Office of Emergency Transportation while others are held at various locations in the custody of officials in the several regions, as indicated in the paragraph labeled 'Categories of Records' below. Holdings of the Regional Directors-designate and Deputy Directors-designate are partial duplications of the Regional Emergency Transportation Coordinator(RETCO) files and may be accessed through the applicable RETCO. The RETCO and the Regional Emergency Transportation Representative(RETREP) for each region may be contacted directly at the addresses shown below. The Regional Director-designate and Deputy Director-designate for each region may be contacted by addressing mail in care of the RETCO for that region at the address shown in the following list:
Regions 1 and 2,
150 Causeway Street,
Boston, MA 02114.
Region 3,
1633 Federal Building,
Baltimore, MD 21201.
Region 4,
P.O. BOx 20636,
Atlanta, GA 30320.
Region 5,
18209 Dixie Highway,
Homewood, IL 60430.
Region 6,
P.O. Box 1689,
Ft. Worth, TX 76101.
Region 7,
P.O. Box 7186,
Country Club Sta.,
Kansas City, MO 64113.
Region 8,
Denver Federal Center,
Denver, CO 80225.
Region 9,
630 Sansome Street,
San Francisco, CA 94126.
Region 10,
Federal Bldg.,
Rm. 3590,
915 Second Ave.,
Seattle, WA 98174.
Alaska Region,
632 Sixth Avenue,
Anchorage, AK 99501.
Administrative Communications Officer,
Department of Commerce,
Box No. 688,
Greensboro, NC 27402.

Categories of individuals covered by the system: Upper, middle, and lower management members of the transportaton industry, university professors, lawyers and business men who are candidates for membership in NDER, active members of NDER, or who are former members whose membership has been terminated by death, resignation or involuntary release, and emeritus members.

Categories of records in the system: Personnel and security forms completed by individuals consisting of applications, statements of understanding by employers, security and identifcation data from individuals, certificates of appointment and reappointmen (located at DOT/OST/OET and RETCOs only); names and addresses of individuals for directory (maintained at DOT/OST/OET only); name and address card file (maintained at DOT/OST/OET only); card file with name, date of appointment and date of reappointment (in OET only); lists of reservists in process including active and Emeritus members and former members showing steps in process, numerical sequence, unit assignment, name date of designation, state of residence, date of separation and reason (in OET only); contact file of those previously nominated but not appointed, or once in program but not no longer members, with date and reason for non-affiliation (in OET only); personal data sheet for each individual which presents summary of pertinent data including photograph to assist professional staff in evaluating the member and assigning member on appointment and reappointment or during active membership (this record held at all locations).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Internal personnel management of the NDER for the Office of the Secretary, which includes staff action and exchange of data with the Office of the Director, Federal Preparedness Agency, which is responsible for the entire National Defense Executive Reserve Program. Available to the Secretary, any Secretarial Officer, head of an operating administration, or their designated subordinates who require access in the pursuit of their duties. Available to director and staff members of OET, to RETCO's and their staff members, to Regional Directors-designate and Deputies and the Facility Manager, Federal Aviation Administration Headquarters Records Center (for storage only) who require access in pursuit of their duties.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Individual records are maintained in a manual system consisting of a filing jacket with the individual's name tabbed and containing all papers pertaining to him or her, except the following which are maintained as stated.
Directory - stored in bulk in steel containers.
Mailing List - maintained in standard card file.
Chronological File - maintained in standard card file.
Contact File - maintained in standard card file.
Personal Data Sheets - maintained in separate loose leaf note books or in personnel jackets at option of holder.

Retrievability: Indexed alphabetically by name. Retrieved manually.

Safeguards: Maintained in metal file containers or other standard office equipment in locked file.

Retention and disposal: Held for five years from date of separation and then destroyed.

System manager(s) and address: Director of Emergency Transportation, Office of the Secretary (TAD-80), Department of Transportation (Room 9114), Washington, D.C. 20590.

Notification procedure: Inquiries may be addressed to any of the offices and officials listed under 'System Locations'. Individuals requesting such information must sign the request personally and include in the text of the request the card number of his or her Federal Emergency Assignee Identification Card (CD Card) (SF 138). Alternatively, personal visits to the above locations with presentation of the above credentials will enable individual to learn of and have access to his or her record.

Record access procedures: Individual may secure or obtain information on procedures for gaining access to records by (1) referral to the information sheet issued to him or (2) addressing a written query to the offices cited under 'System Location' above (except the Facility Manager, FAA Headquarters Records Center which

maintains duplicate files for storage) or (3) presenting himself or herself in person to those offices.

Contesting record procedures: Same as 'Record Access Procedures'.

Record source categories: Personal data submitted by the individual; data from his or her employer; recommendations from colleagues; mailing data from existing distribution system.

DOT/OST 024.

System name: Parking Permit Application File. DOT/OST.

System location: Department of Transportation (DOT),
Office of the Secretary (OST),
Parking Management Office, TAD-444.3,
400 7th Street, SW., Room 2322,
Washington, DC 20590.

Categories of individuals covered by the system: DOT parking permit holders (Washington, DC), DOT carpool members.

Categories of records in the system: Parking permit application form

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Determine criteria for permit issuance, record change data, record garage violations and periodic review and revalidation. Used by parking management office personnel only.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Stored in lockable visible edge file in a security locked workroom.

Retrievability: Indexed sequentially by permit number.

Safeguards: Only Parking Management Office personnel have access to these records.

Retention and disposal: Record cards are retained for 3 years locally, sent to the Federal Records Center for 2 more years, and then destroyed.

System manager(s) and address: Chief, Transportation Branch, TAD-444,
Department of Transportation,
Office of the Secretary,
Office of Administration Operations,
400 7th Street, SW, Room 2318,
Washington, DC 20590.

Notification procedure: Individual may review only his own application card upon presentation of valid DOT ID card at the address given under 'System Location'.

Record access procedures: Same as Notification Procedure.

Contesting record procedures: No contest - individual can change recorded data at will.

Record source categories: Submitted by individual.

DOT/OST 025.

System name: Parking Permit Management System. DOT/OST.

System location: Department of Transportation (DOT),
Office of the Secretary (OST),
Parking Management Office, TAD-444.3,
400 7th Street, SW, Room 2322,
Washington, DC 20590.

Categories of individuals covered by the system: DOT parking permit holders (Washington Headquarters) and DOT car pool members (Washington Headquarters).

Categories of records in the system: Status record (of permits) and member record (of carpools).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Routine uses of records maintained in the system, including categories of users and the purposes of such uses:

Records used for ADP report and listing production.
Listings are used by the parking vendor for billings and Parking Mangement Office for system administration.
Additionally, a carpool location listing is produced for use in forming and enlarging carpools. This is for use by the general public.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Parking Management office personnel enter data password control.

Retrievability: Indexed sequentially by permit number.

Safeguards: Only Parking Mangement Office personnel and the parking vendor have access to general listings. The carpool location listing is for use by the general public, but is secured in the parking office.

Retention and disposal: Data is deleted and not retained on ADP once individual leaves system. Record copies of monthly reports and listings are retained locally for 3 years, forwarded to the Federal Records Center for 2 more years and then destroyed.

System manager(s) and address: Chief Transportation Branch, TAD-444, Department of Transportation,
Office of the Secretary,
400 7th Street, SW, Room 2318,
Washington, DC 20590.

Notification procedure: Individual may review data upon presentation of valid DOT ID card.

Record access procedures: Same as Notification Procedure.

Contesting record procedures: No contest - individual can change data at will.

Record source categories: Only source is from parking permit application.

DOT/OST 026.

System name: Payroll Management System. DOT/OST.

System location: Department of Transportation (DOT),
Office of the Secretary (OST),
Accounting Operations Division, TAD-45,
400 7th Street SW, Room 2228,
Washington, DC 20590.

Categories of individuals covered by the system: Office of the Secretary

Categories of records in the system: Gross to net payroll.
Payroll master.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Support for payment of payroll.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: ADP listing.

Retrievability: Alpha-numeric by employee.

Safeguards: People given access are screened by responsible management personnel.

Retention and disposal: Federal records retention schedule.
Retained for 1 year - destroyed.

System manager(s) and address: Chief, Accounting Operations Division, TAD-45, Department of Transportation,
Office of the Secretary,
Accounting Operations Division, TAD-45,
400 7th Street, SW, Room 2228,
Washington, DC 20590.

Notification procedure: Department of Transportation,
Office of the Secretary,
Accounting Operations Division, TAD-45,
400 7th Street, SW, Room 2228,
Washington, DC 20590.

Record access procedures: Same as 'Notification Procedure'.

Contesting record procedures: Same address as 'Notification Procedure'.

Record source categories: Time and attendance records.
Personnel actions (SF So)

DOT/OST 027.

System name: Personnel Reference Files DOT/OST

System location: Office of the Assistant Secretary for Enviornment,
Safey, and Consumer Affairs,
Room 10101,
Department of Transportation,
400 7th Street, SW,
Washington, DC 20590.

Categories of individuals covered by the system: TES employees.

Categories of records in the system: Standard Form 52.

Position descriptions.
TES Personnel Printout.
Key Executive Book.
Copies of Commendations and awards.
Payroll and Leave Records.
Training Records.
Travel Records (includes travel orders, travel vouchers, change of official duty station records, foreign travel requests, trip reports, claim for reimbursement).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: For the individual's use. None of the above records arekept in individual folders, but are filed chronologically, or by organization or subject for general reference only.

To review travel records to prepare corrections or prepare statistics of travel performed. b7
To review in relation to personnel actions.
To prepare tme and attendance cards.
Budgetary information and reports.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records consist of copies or original documents and are maintained in official filing systems.

Retrievability: The personnel records are indexed chronologically; and travel and training records are indexed by subject or organization Payroll and leave records are indexed by organization.

Safeguards: Physical security is maintained by locked file cabinets. Any individuals requesting personnel files are screened by administrative personnel.

Retention and disposal: Personnel records are retained during employment and up to one year after employee resigns, retires, or is transferred.

Payroll are leave records are retained during employment and up to three years after employment resigns, retires, or is transferred.
Records for budgetary purposes are retained indefinitely.
All records are disposed of as trash.

System manager(s) and address: Executive Officer,

Office of the Assistant Secretary for Environment, Safety, and Consumer Affairs,
Room 10101
Department of Transportation,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: The individual may present himself in person or in writing to the System Manager where files are held to inquire about whether records apply to him.

Record access procedures: The individual may gain access o his records upon request, as under 'Notification Procedure'.

Contesting record procedures: Contest of these records will be in writing to the System Manager where the files are located, as listed above. If request is not resolved, the individual may file an appeal to:

Office of the General Counsel,
Department of Transportation,
Room 10428,
400 7th Street, SW,
Washington, DC 20590.

Record source categories: Information copies provided by the individual.

Information copies provided by hte Office of Personnel.
Information copies from time and attendance cards.
Information copies generated from travel and training program.

DOT/OST 028.

System name: Personnel Convenience Files. DOT/OST.

System location: The following Secretarial Offices located at the

Department of Transportation (DOT),
Office of the Secretary (OST),
400 7th Street, SW,
Washington, DC 20590:
Immediate Office of the Secretary, S-1.
Office of the Deputy Secretary, S-2.
Special Assistant to the Secretary, S-3.
Office of the Deputy Under Secretary, S-5.
Special Assistant to the Secretary, S-7.
Executive Secretary, S-10.
Contract Appeals Board, S-20.
Departmental Office of Civil Rights (except for the Complaints Division), S-30.
White House Fellow, S-9.
Office of Planning and Program Review, S-40. Office of Budget, S-50. Office of Public Affairs, S-80. Office of Deepwater Ports, S-90.
Additionally:
Departmental Office of Civil Rights,
Complaints Division, S-35,
2100 2nd Street, SW,
Washington, DC 20590.

Categories of individuals covered by the system: Employees, past and present.

Categories of records in the system: The following documents are kept in all offices:

Copies of orders, vouchers, and related documentation for local and non-local travel. Copies of some of the following documents are kept in all offices: except the Immediate Office of the Secretary and the Offices of the Deputy Secretary, Deputy Under Secretary, the White House Fellow and Special Assistants to the Secretary, for whom such documents are maintained by the Office of the Executive Secretary:
Standard Form 52, Request for Personnel Action.
Standard Form 7-B, Employee Record
Position Descriptions.
Performance evaluations.
Training records.
Payroll and leave records.
Commendations and awards.
Savings Bond and CFC campaign records.
Biographies and profiles (applies to full staff of the Office of the Executive Secretary and to key executives in most of the other offices listed).
Security classification documents.
Monthly Personnel Roster.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Biographies and profiles of the Office of the Executive Secretary staff are maintained for familiarization of the Secretary, Deputy Secretary, and other key executives serviced by the Office of the Executive Secretary.

Savings Bond and CFC campaign records are maintained for reference in connection with future campaigns.
All other records are maintained for effective budgetary, manpower, and employee management and also for the use of the individual (not all items and not in all offices).
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Hard copy in file folders and binders.

Retrievability: By name.

Safeguards: Data available only by request of the senior official, his deputy, or secretaries to them, of the office or division in which the information is maintained. Provided only on a need-to-know basis.

Retention and disposal: Personnel records are retained until employee leaves.

Payroll records are retained three years.
Travel records normally retained at least one year.
Records are disposed of following minimal period of retention on a space-needed basis.

System manager(s) and address: Senior official of each office listed.

Notification procedure: Inquiries should be directed to the employee's supervisor.

Record access procedures: Contact supervisor for information on procedures for gaining access to records.

Contesting record procedures: Contact supervisor for information on procedures for contesting records.

Appeals should be directed to the Secretary of Transportation, if request for modification or deletion is denied by the senior official of the employee's office.

Further appeal, if necessary, should be to the Secretary of Transportation.

Record source categories: Information provided by the employee.

Informational copies provided by Personnel Operations Division.

Material generated within the employing office.

DOT/OST 030.

System name: Personnel Management Files. DOT/OST.

System location: Department of Transportation (DOT),

Office of the Secretary (OST),
Office of the Assistant Secretary for Policy, Plans and International Affairs,
400 7th Street, SW,
Washington, DC 20590

Categories of individuals covered by the system: Current employees.

Prospective employees.
Prior employees - three years (payroll/time cards only).

Categories of records in the system: Basic recruitment, employment, status and pay records.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Employment, pay and performance decisions.

Use by subject, supervisor, responsible official; administrative personnel in preparation; personnel and payroll offices in processing.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Copies of basic documents.

Retrievability: By name.

Safeguards: Locked files with personnel screening for access.

Retention and disposal: Retained only for control purposes, pending official action by Personnel or Payroll offices or management officials.

Pay records are retained for 3 years, then destroyed by burning or shredding.

System manager(s) and address: Assistant Secretary for Policy, Plans and International Affairs (TPI1), and the Directors of the following subordinate offices for records maintained in their respective offices:

Transportation Systems Analysis and Information,
Transportation Planning,
Transportation Economic Analysis,
International Transportation Programs,
Transportation Energy Policy,
Air Transportation Policy,
Transportation Regulatory Policy, all of which are located at the:
Department of Transportation,
Office of the Secretary,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Inquiries: Oral request by current employees to the System Manager. Written or direct inquiry by prior employees or applicants to:

Department of Transportation,
Office of the Secretary,
Director Management Staff, TPI-5,
400 7th Street, SW,
Washington, DC 20590.
Must provide notarized signature or social security number.

Record access procedures: Current employees may have access to contents through the System Manager.

For all other inquiries - Office Director, TPI-5 at the address under Notification Procedure.

Contesting record procedures: Present employees may request changes through the System Manager, as above. If request denied, may refer to TPI Review Officer, TPI 5, then to OST Review Officer. For all other inquiries - Office Director, TPI-5.

Record source categories: Subject, supervisor, responsible official, personnel and payroll offices.

DOT/OST 032.

System name: Management Operating Record System. DOT/OST.

System location: Department of Transportation (DOT),

Office of the Secretary (OST),
Office of Investigations and Security, TAD-50,
400 7th Street,SW, Room 10323,
Washington, DC 20590.

Categories of individuals covered by the system: Current employees of the Office of Investigations and Security, OST, DOT.

Categories of records in the system: This system of records contains current employee Time and Attendance Cards, Travel Orders and Vouchers and Position Descriptions.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: This system of records is maintained for internal administrative management and operating purposes by the TAD-50 Office Director and Division Chiefs.

Time and Attendance Cards are maintained for TAD-50 employees pay purposes and are forwarded to the Payroll Office.

Travel Orders are maintained for TAD-50 employees authorized to travel on DOT business and are forwarded to Travel Office and the Accounting Office to arrange necessary transportation and payment of expenses.

Travel Vouchers are maintained on TAD-50 employees to provide an ongoing tabulation of the Office's travel expenses.

Position Descriptions are maintained for positions within TAD-50 and are utilized internally by the Director and Division Chiefs for reference and to assure effective employee utilization.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Standard forms in individual folders in manual filing system.

Retrievability: By employee name.

Safeguards: This system of records is stored in a locked room with limited access.

Retention and disposal: Records are maintained while an individual is an employee of the Office of Investigations and Security and are destroyed upon transfer or termination.

System manager(s) and address: Director of Investigations and Security, TAD-50,

Department of Transportation,
400 7th Street, SW, Room 10323,
Washington, DC 20590.

Notification procedure: Same as 'System Manager'.

Record access procedures: Same as 'System Manager'.

Contesting record procedures: Same as 'System Manager'.

Record source categories: Employee and office generated statements and forms.

DOT/OST 034.

System name: Personnel Records. DOT/OST.

System location: Department of Transportation (DOT),

Office of the Secretary (OST),
Office of the General Counsel,
400 7th Street, SW, Room 10428,
Washington, DC 20590.

Categories of individuals covered by the system: Attorney and Clerical Employees of the Office of the General Counsel.

Categories of records in the system: Standard Form 52.

Within Grade Increases.
Travel Authority and Vouchers.
Training Records.
Payroll and Leave Records.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: For the individual's use.

Schedule training.
Statistics to recommend personnel actions.
Administrative reference material.
Budgetary information material.
Technical information material.
Work Territory assignments.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File cards in a locked safe.

Retrievability: Individual names filed alphabetically.

Safeguards: Physical security consists of filing records in a locked safe.

Retention and disposal: Personnel records retained in active files duration of appointment, then destroyed.

System manager(s) and address: Deputy General Counsel,
Department of Transportation,
Office of the Secretary,
Office of the General Counsel,
400 7th Street, SW
Washington, DC 20590.

Notification procedure: Deputy General Counsel,
Department of Transportation,
Office of the Secretary,
Office of the General Counsel,
400 7th Street, SW,
Washington, DC 20590.

Record access procedures: An individual may gain access to his records by request to the System Manager.

Contesting record procedures: Contest of these records may be addressed to the Deputy General Counsel.

Record source categories: Informational copies provided by the Office of Personnel & Training.

DOT/OST 035.

System name: Personnel Security Record System. DOT/OST.

System location: Department of Transportation (DOT),
Office of the Secretary (OST),
Office of Investigations and Security, TAD-50,
400 7th Street, SW,
Washington, DC 20590.

Categories of individuals covered by the system: DOT applicants, employees, former employees; candidates and designees to the DOT unit of the National Defense Executive Reserve, and detailees to DOT from other Federal agencies.

Categories of records in the system: Records of personnel security processing, personal data on investigative and employment forms completed by the individual, reports of investigations, records of security and suitability determinations, records of access authorizations granted, documentation of security briefings/debriefings received, record of security violations by the individual.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by Departmental personnel security officers for making security determinations and granting access authorizations, by Departmental personnel management officials for making suitability determinations, by representatives of other Federal agencies with which the individual is seeking employment, and by Federal agencies conducting official inquiries to the extent that the information is relevant and necessary to the requesting agency's inquiry, and by Departmental officials, to the extent necessary, to identify the individual to sources from whom information is requested for any of the foregoing purposes to inform the source of the nature and purpose of the request and to indicate the type of information requested.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Completed forms and typed pages in individual folders in a manual filing system, and on a manual system control cards.

Retrievability: By name.

Safeguards: Stored in locked room with proprietary lock or in approved security safe. Access limited to authorized staff members.

Retention and disposal: Retained during employment in the Department, retained in accordance with GSA Records Schedule 018 after employment is terminated, authorized destruction done by secure means used for classified materials.

System manager(s) and address: Director of Investigations and Security, TAD-50,
Department of Transportation,
Office of the Secretary,
400 7th Street, SW, Room 10323,
Washington, DC 20590.

Notification procedure: Same as System Manager.

Record access procedures: Same as System Manager. However, information compiled solely for the purpose of determining suitability, elgibility, or qualification for Federal civilian employment or access to classified information may be exempted from the access provisions pursuant to 5 USC 552a(k)(5).

Contesting record procedures: Same as 'Record Access Procedure'.

Record source categories: Investigative sources contacted in full field, National Agency Check and Written Inquiry and similar investigations; investigative reports reviewed at other Government agencies; personal history statements, employment applications and other data provided by the individual and/or other agencies.

Systems exempted from certain provisions of the act: Information compiled solely for the purpose of determining suitability, eligibility, or qualification for federal civilian employment or access to classified information may be exempted from the access provisions pursuant to 5 USC 552a(k)(1) and/or(5).

DOT/OST 036.

System name: Planning Officials for Emergency Functions. DOT/OST.

System location: Department of Transportation (DOT),
Office of the Secretary (OST),
Office of Emergency Transportation (TAD-80),
400 7th Street, SW,
Washington, DC 20590.

Categories of individuals covered by the system: Federal, State and Territorial officials engaged in, or interested in, emergency transportation planning.

Categories of records in the system: Name, title, business address, home address, telephone, office and residence, telegrahic and other communications services as appropriate available to each individual listed.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Ready reference for persons listed and for staff members in the offices of each, to facilitate contact in planning and execution of emergency functions.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Minor stock held in files in Office of Emergency Transportation. Bindery stock held in DOT warehouse. Both available for issue to interested persons.

Retrievability: Entries of individual names and positions in order by agency, Federal, State, and Territorial.

Safeguards: Stocks are maintained in locked file rooms in guarded buildings and issued only on orders from Office of the System Manager.

Retention and disposal: Stocks are retained until exhausted by issue or replaced by a new edition; usually every two years.

System manager(s) and address: Director of Emergency Transportation, TAD-80,
Department of Transportation,
Office of the Secretary,
400 7th Street, SW, Washington, DC 20590.

Notification procedure: Inquiries may be addressed to the office listed under 'System Locations'. Individuals requesting such information must sign the request personally. Alternatively, personal visits to the above locations with proper identification will enable individual to have access to his record.

Record access procedures: Individual may obtain information on procedures for gaining access by addressing a written query to the persons cited under 'System Location' or by presenting himself to those offices.

Contesting record procedures: Same as 'Record Access Procedures'.

Record source categories: Individuals concerned, agency offices for federal persons, Regional Emergency Transportation Coordinators for regional persons, and State and Territorial Officials.

DOT/OST 037.

System name: Records of Confirmation Proceeding Requirements for Proposed Executive Appointments to the Department of Transportation. DOT/OST.

System location: Department of Transportation (DOT),
Office of the Secretary (OST),

Office of the General Counsel,
400 7th Street, SW, Room 10428,
Washington, DC 20590.

Categories of individuals covered by the system: Individuals nominated for top executive positions of the Department of Transportation.

Categories of records in the system: Financial data and biographical data.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Data submitted to the General Counsel as reviewing official by subject individual for use by the Senate Commerce Committee to determine if there would be a conflict of interest, or the appearance of a conflict of interest, in subject's appointment to the Department of Transportation.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Legal sized documents located in locked safe.

Retrievability: Individual names filed alphabetically.

Safeguards: Physical security consists of filing records in safe; data released to Senate Commerce Committee and authorized officials only of the Department.

Retention and disposal: Records are retained for 3 years then destroyed.

System manager(s) and address: Deputy General Counsel,

Department of Transportation,
Office of the Secretary,
Office of the General Counsel,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Inquiries may be addressed to the Deputy General Counsel at the address above, either in person or in writing. If written the individual must provide a notarized signature.

Record access procedures: Access to records requires the individual to contact in person or write the Deputy General Counsel.

Contesting record procedures: Contest of a record is also through the Deputy General Counsel.

Record source categories: Documents are provided by subject individual.

DOT/OST 039.

System name: Safety Management Information System (SMIS).DOT/OST.

System location: Department of Transportation (DOT),

Office of the Secretary (OST),
Transportation Computer Center,
Department of Transportation (DOT),
400 7th Street, SW, Room 2401,
Washington, DC 20590.

Categories of individuals covered by the system: Federal Civilian/Military Employees of the Department of Transportation:

Contractors working on DOT premises;
DOT Real & Personal Property.

Categories of records in the system: Accident Reporting Records.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Issue automated records to the U.S. Dept. of Labor, OSHA.

Submit quarterly accident statistics data to DOT operating administrations.
Develops statistical summaries & special analysis listings for use in programming safety management functions, departmental level budget function as it relates to OWCP, and maintains 5 year OSHA requirement for accident record retention.

See Prefatory Satement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic Tapes and Disks.

Retrievability: Name/Social Security Number/Accident Report Number/Special Project Data Programming.

Safeguards: Transportation Computer Center maintains both physical security and personnel security. Retrieve/access procedures. The records are disposed of by blanking/erasing the magnetic tape or disk.

Retention and disposal: Kept 5 years then destroyed.

System manager(s) and address: Director of Personnel and Training, TAD-10,

Department of Transportation,
Office of the Secretary,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Quarterly management analysis delivered to safety managers or all operative administrators. Special data may be provided by request in written form to:

Director TAD 10,
Office of Personnel & Training,
at the above address.

Record access procedures: An individual may gain access to his record by request to the System Manager.

Contesting record procedures: Contest of these records will be to the Office of Personnel and Training. If resolution is not satisfactory to the individual, an appeal may be filed with Secretary of Trasnportation addressed to the General Counsel as follows:

Department of Transportation,
Office of the Secretary,
Office of the General Counsel,
400 7th Street, SW, Room 10428,
Washington, DC 20590.

Record source categories: DOT Form 3902.1,

DOT Form 3902.2,
DOT Form 3902.3,
DOT Form 3902.4,
DOT Form 3902.5,
DOT Form 3902.6,
DOT Form 3902.7,
DOT Form 3902.8.

DOT/OST 040.

System name: Security Management Records. DOT/OST.

System location: Department of Transportation (DOT),

Office of the Secretary (OST),
Office of Emergency Transportation (OET),
400 7th Street SW, Room 9114,
Washington, DC 20590.

Categories of individuals covered by the system: All members of OET.

Categories of records in the system: Jacket file of all security clearance records of all personnel.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Management by Director OET and Security Officer of security classification levels required by missions and functions of OET.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained in single folder with all pertinent items included alphabetically.

Retrievability: Alphabetically by name.

Safeguards: Restricted to use by Director of Emergency Transportation and the OET Security Officer. File maintained in a locked 3-combination safe.

Retention and disposal: Retained during period of employment. Transferred with the individual or returned to Security Office of OST.

System manager(s) and address: Director of Emergency Transportation,

Department of Transportation,
Office of the Secretary,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Inquiries may be addressed to the office listed under 'System Location'. Individuals requesting such information must sign the request personally. Alternatively, personal visits to the above location with presentation of necessary identification will enable individual to have access to his record and to actions to contest it.

Record access procedures: Individual may secure information on procedures for gaining access to records by (1) addressing a written

query to the office cited under System Location above or (2) presenting himself in person to that office.

Contesting record procedures: Same as 'Record Access Procedure'.

Record source categories: Data from individual, and from Director of Investigation and Security, Department of Transportation.

DOT/OST 041.

System name: Secretariat Information Retrieval System (SIRS), DOT/OST.

System location: Department of Transportation (DOT),
Office of the Secretary (OST),
Office of the Executive Secretary,
400 7th Street, SW,
Washington, DC 20590.

Categories of individuals covered by the system: Individuals who write, or are referred in writing by a second party,to the Secretary, Deputy Secretary, Deputy Under Secretary, and their immediate offices.

Individuals who are the subject of an action requiring approval or action by one of the forenamed, such as appeal actions, training, awards, foreign travel, promotions, selections, grievances, and discipline.

Categories of records in the system: Correspondence submitted by, or on behalf of, an individual, including resumes, letters of reference, etc.

Responses to such correspondence.
Staff recommendations on actions requiring approval or action by one of the forenamed.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Referral to the appropriate action office within or outside the Department for preparation of a response.

Referral to the appropriate agency for actions involving matters of law or regulation beyond the responsibility of the Department, such as the Civil Service Commission for employee appeals, the Department of Justice in matters of law enforcement, etc.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Computer microdisc and - selectively - on microfilm for all records since 1/1/74.

In hard copy for all records prior to 1/1/74.

Retrievability: Indexed by name of correspondent, referring individual, and subject category (e.g., 'employment' for applicants) from 1/1/74 on.

Indexed by name of correspondent prior to 1/1/74.

Safeguards: Computer microfilm records, and remote reader terminals, which permit random access to the system records, are locked after office hours.

During office hours computer is accessible only through terminals operated by, and under the surveillance of, authorized employees of the Executive Secretary.

Retention and disposal: Hard-copy records for 1967-1969 and duplicate microfilms for 1974-1975 are in the custody of National Archives and Records Service (NARS).

Records from 1970 and following are retained in the Departmental headquarters building.
Records are retired to NARS on a space-needed basis.

System manager(s) and address: The Executive Secretary at the address above.

Notification procedure: Inquiries should be directed to the System Manager. Helpful information, in addition to the individual's name, includes date(s), subject matter, and addressee(s) of the incoming correspondence, and date(s) and author(s) of the response(s).

Record access procedures: Contact System Manager for information on procedures for gaining access to records.

Contesting record procedures: Contact System Manager for information on procedures for contesting records. Appeals should be directed to the Secretary of Transportation, if request for modification or deletion is denied.

Record source categories: Correspondence from individual, his representative or sponsor.

Responses to incoming correspondence.
Related material provided for background as appropriate.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic tape storage via batch processing. Source data returned to DOT.

Retrievability: Can retrieve on social security number or on name.

Safeguards: Only DOT & OSI personnel have access to tapes.

Retention and disposal: Tapes are retained through three (3) cycles, grandfather, father, son, and then scratched. Source materials are retained until the next update is completed.

System manager(s) and address: Chief, Publishing and Graphics Division, TAD-48,
Department of Transportation,
Office of the Secretary,
Office of Administrative Services,
400 7th Street, SW, Room 2317,
Washington, DC 20590.

Notification procedure: Contact Publishing and Graphics Division at the address above.

DOT/OST 042.

System name: Technical Pipeline Safety Committee. DOT/OST.

System location: Department of Transportarion (DOT),

Office of the Secretary (OST),
Materials Transportation Bureau (MTB),
2100 Second Street, SW, Room 6226,
Washington,DC 20590.

Categories of individuals covered by the system: Members of Technical Pipeline Safety Standards Committee- intermittent consultants.

Categories of records in the system: Biographical data in support of member's nomination.

Letters and news releases for member's appointment/reappointment.
Personnel Actions.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: General reference purposes for support functions.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: In file folders.

Retrievability: Alphabetically by name within subject area.

Safeguards: Room locked after hours, most information is public knowledge.

Retention and disposal: Kept indefinitely.

Director
Administrative Correspondence/Memorandums.

DOT/OST 043.

System name: Telephone Directory and Locator System. DOT/OST.

System location: Opportunity Systems, Inc. (OSI),

1330 Massachusetts Avenue, NW,
Washington, DC 20005.

Categories of individuals covered by the system: Department of Transportation (DOT) headquarters employees.

Categories of records in the system: Alphabetic Employee Master Records and GSA Metrocentrex Locator Records.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Departmental Alphabetic Directory production,

DOT Mail Room,
DOT Locator Service, and
GSA Metrocentrex Locator Service.
Used by DOT Telephone Directory Representatives, DOT Mail room, and Publishing and Graphics Division Control Clerk.

Record access procedures: Contact Publishing & Graphics Division, TAD-48 at the address above.

Individual may review own data upon presentation of valid DOT ID card.

Contesting record procedures: Individual may change own data at any time.

Record source categories: DOT F 1700.1 - DOT Form prepared for each employee.

DOT/OST 044.

System name: Travel and Transportation Management File. DOT/OST.

System location: Department of Transportation (DOT),

Office of the Secretary (OST),
Accounting Operations Division, TAD-45,
400 7th Street, SW, Room 2228,
Washington, DC 20590.

Categories of individuals covered by the system: All travelers visits using OST travel funds.

Categories of records in the system: Travel Vouchers.

Travel Authorizations.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Subsidiary Accounting Records.

Records used by:
Accounting Operations Division employees.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Open files.

Retrievability: Alphabetically by employee name.

Safeguards: Persons given access are screened by responsible management personnel.

Retention and disposal: Destroy after 4 years.

System manager(s) and address: Chief, Accounting Operations Division, TAD-45,

Department of Transportation,
Office of the Secretary,
400 7th Street, SW, Room 2228,
Washington, DC 20590.

Notification procedure: Department of Transportation,

Office of the Secretary,
Accounting Operations Division, TAD-45,
400 7th Street, SW, Room 2228,
Washington, DC 20590.

Record access procedures: Same as 'Notification Procedure'.

Contesting record procedures: Same as 'Notification Procedure'.

Record source categories: Program Offices.

DOT/OST 045.

System name: Unsolicited Contract or Research and Development Proposals Embodying Claims of Proprietary Rights. DOT/OST.

System location: Department of Transportation (DOT),

Office of the Secretary (OST),
Office of the General Counsel,
400 7th Street, SW, Room 10100,
Washington, DC 20590.

Categories of individuals covered by the system: Individuals who believe they have original and innovative ideas in the field of transportation.

Categories of records in the system: Copies of descriptions of proposed innovations or inventions and methods of carrying out the proposal. Evaluations by Patent Counsel of the adequacy and propriety of restrictive markings on the proposals and correspondence of the Patent Counsel pertaining thereto.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used as a record of Patent Counsel's action in individual unsolicited proposal cases.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders stored in file cabinets (Conserv-a-File).

Retrievability: Indexed individually by name and subject in alphabetical sequence.

Safeguards: Records are disclosed only in accordance with the terms of restrictive markings agreed upon between submitter and DOT.

Retention and disposal: Transfer to storage when three years old; Destroy after six years.

System manager(s) and address: Patent Counsel,

Department of Transportation,
Office of the Secretary,
Office of the General Counsel,
Office of the Assistant General Counsel for Operations and Legal Counsel,
400 7th Street, SW, Room 10100,
Washington, DC 20590.

Notification procedure: Department of Transportation,

Office of the Secretary,
Office of the General Counsel,
Office of Patent Counsel,
400 7th Street, SW, Room 10100,
Washington, D.C. 20590.

Record access procedures: Patent Counsel,

Department of Transportation,
Office of the Secretary,
Office of the General Counsel,
Office of the Assistant General Counsel for Operatons and legal Counsel,
400 Sev7th Street, SW, Room 10100,
Washington, DC 20590.

Contesting record procedures: Same as 'Record Access Procedure'.

Record source categories: Forwarded by individual or by the DOT office to whom unsolicited proposal was addressed.

DOT/OST 046.

System name: Visit Control Records System. DOT/OST.

System location: Department of Transportation (DOT),

Office of the Secretary (OST),
Office of Investigations and Security, TAD-50,
400 7th Street, SW, Room 10323,
Washington, DC 20590.

Categories of individuals covered by the system: DOT employees, Industrial Security contractor employees, non-employee visitors to DOT facilities during security hours.

Categories of records in the system: Record of clearance certification (level, date granted and basis) on employees to visit facilities or attend meetings involving classified information. Record of security clearance data for visitors to DOT facility from other agencies and from contractors. Record of individuals other than employees who are authorized access to DOT facilities during security hours.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Confirming to the proper authorities the security clearance for individuals requiring access to classified information; identifying individuals authorized to be present in DOT facilities during security hours.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Visitor forms and letters.

Retrievability: By name.

Safeguards: Stored in locked room with proprietary cipher lock, available only to authorized staff members.

Retention and disposal: Maintained for two years after visit, then destroyed.

System manager(s) and address: Director of Investigations and Security, TAD-50,

Department of Transportation,
Office of the Secretary,
400 7th Street, SW, Room 10323,
Washington, DC 20590.

Notification procedure: Same as above.

Record access procedures: Same as above.

Contesting record procedures: Same as above.

Record source categories: Security clearance information furnished by personnel security officers. Visit data furnished by individual.

DOT/OST 048.

System name: Transportation Research Activities Information Service (TRAIS).DOT/OST.

System location: Department of Transportation (DOT),

Office of the Secretary (OST),
Transportation Systems Center (TSC),
Kendall Square,
Cambridge, MA 02142.

Categories of individuals covered by the system: Program/Project Managers and research investigators.

Categories of records in the system: Notification of Technical Research and Development.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Information on on-going and completed research and development accomplishments.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Computer disc storage and magnetic tape.

Retrievability: Retrievable by keywords and unique accession number assigned by Data Base Administrator; batch process or on-line interaction.

Safeguards: Physical security - user identification and passwords.

Retention and disposal: Up to three-year retention and then tape is reused which destroys previous data.

System manager(s) and address: Chief, Transprotation Research Activity Information Services Branch, TST-25.1,

Department of Transportation,
Office of the Secretary,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Same as System Manager.

Record access procedures: Same as System Manager.

Contesting record procedures: Same as System Manager.

Record source categories: Contract Awards from Contracting Offices, Publication of Technical Report.

DOT/OST 049.

System name: Transportation Research Information Service on line (TRIS-On-Line).DOT/OST.

System location: Department of Transportation (DOT),

Office of the Secretary (OST),
System physically located at the:
Battelle Laboratories,
Columbus, OH

Categories of individuals covered by the system: Program/Project Managers and authors of reports.

Categories of records in the system: Notification of Technical Research and technical reports.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Information on on-going and completed research and development accomplishments.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Computer disc storage and magnetic tape.

Retrievability: Retrievable by keywords and accession number assigned by Data Base Administrator, batch or on-line interaction.

Safeguards: Physical security - User identification keywords and passwords.

Retention and disposal: Up to five year accessibility, tape goes to archival storage.

System manager(s) and address: Chief, Transportation Research Information Services Branch, TST-25.1,

Department of Transportation,
Office of the Secretary,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Same as System Manager.

Record access procedures: Same as System Manager.

Contesting record procedures: Same as System Manager.

Record source categories: Contract awards received from Contracting Offices, Publication of Technical Reports.

DOT/OST 050.

System name: Executive Team Cadre Listings and Emergency Alerting Schedules for Continuity of Operations Plan of the Office of the Secretary, and the Operating Elements of the Department of Transportation (DOT) and similar listings designed for management convenience within DOT and the operating elements. DOT/OST.

System location: These records are located in the national headquarters of the Offices of the Secretary; the heads of operating elements; regional offices of the Regional Emergency Transportation Coordinator, the Regional Administrators and Directors of the operating elements and in headquarters of operating element divisions, districts, and other field offices of the Department.

Categories of individuals covered by the system: Professional and clerical employees and military members of the U.S. Government, Directors - designate and Deputy Directors - designate and members of the National Defense Executive Reserve who have been given emergency billet assignments within the Department of Transportation Emergency Structure.

Categories of records in the system: Record consists of a pamphlet (DOT P 1915.4B w/supplement I) periodically showing office and agency listings of elements and with current staffing patterns, current position numbers or routing symbols; emergency assignments, emergency position numbers and cadre designation. The supplement contains listings of individual names of active duty civil servants and military members, identified by DOT office symbol, emergency position numbers and cadre designation. In addition similar listings are maintained in all Federal Standard Regions and in Alaska.

The alerting charts and schedules show names and office and home telephone numbers of individuals in calling sequence and are listed by national headquarters and by regions.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: For identification of individuals required to insure viability of DOT in the immediate preattack - transattack - immediate postattack period of a national defense emergency. Available to the Secretarial Officers, heads of operating administrations or designated subordinates (national and regional) and to individuals listed.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Publication is maintained in stock in listings in each office of record in standard filing equipment in a locked file room.

Retrievability: Manually by position listing.

Safeguards: Metal file containers or other standard office equipment secured in a locked file room during office duty hours.

Retention and disposal: Retained until republished then destroyed.

System manager(s) and address: Director of Emergency Transportation, TAD-80,

Department of Transportation,
Office of the Secretary,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Inquiries may be addressed to any of the offices listed under 'System Locations'. Individuals requesting such information must sign the request personally and include in the text of the request card number of his or her Federal Emergency Assignee Identification Card (CD Card)(SF 138) or other official identification card.

Alternatively, personal visits to the above locations with presentation of the above credentials will enable individual to learn of and have access to his or her record.

Record access procedures: Individual may secure or obtain information on procedures for gaining access to records by (1) referral to the information sheet issued to him or (2) addressing a written query to the offices cited under System Location (except the Facility Manager, FAA Records Center, West King Street and Maple Avenue, Martinsburg, WV 25401 who maintains duplicate files in storage only) or (3) presenting himself to those offices.

Contesting record procedures: Same as 'Record Access Procedures'.

Record source categories: Office or Agency of employment.

DOT/OST 054.

System name: Time and Attendance Report (FHWA Form 320 (7-73)) for the Office of Emergency Transportation. DOT/OST.

System location: Department Transportation (DOT),

Office of the Secretary (OST),
Office of Emergency Transportation (OET), TAD-80,
400 7th Street, SW, Room 9114,
Washington, DC 20590.

Categories of individuals covered by the system: Professional and clerical employees, permanent and temporary, and consultants employed in OET.

Categories of records in the system: Record consists of a computer punch card with a tissue carbon.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used to maintain record of attendance at duty station and leave accounts of employees concerned.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained alphabetically in desk of the administrative secretary to the Director.

Retrievability: Maintained alphabetically; retrieved manually.

Safeguards: Maintained in a locked file room in a building under 24 hour guard; admission by building pass only.

Retention and disposal: Hard copy forwarded to paymaster every pay period; tissue retained indefinitely.

System manager(s) and address: Director of Emergency Transportation, TAD-80,

Department of Transportation,
Office of the Secretary,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Inquiries may be addressed to the System Manager. Individuals requesting such information must sign the request and provide suitable identification.

Alternatively, a personal request to the System Manager or his representative will enable the individual to have access to his record. to have access to his record.

Record access procedures: Individual may obtain information on procedures for gaining access by addressing a written query to the system manager or by presenting himself to the office cited in location above.

Contesting record procedures: Individual may obtain information on contest by request to the System Manager.

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Record source categories: Individuals concerned. Personnel and pay account records.

DOT/OST 055.

System name: Application for U. S. Government Motor Vehicle Operator's Identi- fication Card (Government Drivers License). DOT/OST.

System location: Department of Transportation (DOT), Office of the Secretary (OST),

Transportation Branch (TAD-444),
400 7th Street, SW, Room 2318,
Washington, DC 20590.

Categories of individuals covered by the system: This system of records contains information regarding all OST employees who are licensed to operate government vehicles.

Categories of records in the system: Application for operator's Identification Card.

Physical fitness inquiry.
Accident Reports.
NHTSA Vehicle Driver Register Report.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Determine qualifications and fitness for issuance, retention, revocation or renewal of operators license.

Limited access to issuing official and those persons whose official duties require such access.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: The records are maintained in a locking filing cabinet in folders.

Retrievability: These records are filed in alphabetical order with a cross reference by permit number in a log.

Safeguards: Limited access to issuing official and those persons whose official duties require such access.

Retention and disposal: Retained for 5 years after separation, retirement or transfer of individual or expiration of license and then destroyed.

System manager(s) and address: Chief, Transportation Branch,

Department of Transportation,
Office of the Secretary,
Chief, Transportation Branch 400 7th Street, SW, Room 2318, Washington, DC 20590.

Notification procedure: Inquiries may be addressed to:

Department of Transportation,
Office of the Secretary,
Transportation Branch, TAD-444,
400 7th Street, SW, Room 2318,
Washington, DC 20590.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact, or address their inquiries to, the system manager.

Contesting record procedures: To contest a record, contact the System Manager.

Record source categories: Applications received from individuals for operator's license.

Accident reports received from individuals.

DOT/OST 056.

System name: Garnishment Files. DOT/OST.

System location: Department of Transportation (DOT),

Office of the Secretary (OST),
Office of the General Counsel,
Office of the Assistant General Counsel for Operations and Legal Counsel, TGC-10,
400 7th Street, SW, Room 10100,
Washington,DC 20590.

Categories of individuals covered by the system: Employees of the Department of Transportation, including members of the Coast Guard, whose pay is sought to be attached under section 459 of the Social Security Act , 42 U.S.C. 659.

Categories of records in the system: Correspondence and court orders, and copies thereof,concerning attachment of employees' pay.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used as record of garnishments and Garnishment Attorney's action thereon.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders stored in the Garnishment Attorney's office.

Retrievability: Indexed individually by name in alphabetical order.

Safeguards: Records are disclosed only to individuals with established legal interest or legal 'need to know'.

Retention and disposal: Retained for as long as the attachment of pay continues and thereafter as needed for precedential value.

System manager(s) and address: Garnishment Attorney,

Department of Transportation,
Office of the Secretary,
Office of the General Counsel,
Office of the Assistant General Counsel for Operations and Legal Counsel,
400 7th Street, SW, Room 10100,
Washington,DC 20590

Notification procedure: An individual may be notified as to whether a record on him/her is in the system by contacting the System Manager.

Record access procedures: Persons wishing to obtain access to their records may do so by contacting the System Manager.

Contesting record procedures: To request correction of a record contact the System Manager.

Record source categories: Data is obtained from state courts and agencies, private attorneys, present and former spouses of employees, and federal pay records.

DOT/OST 057.

System name: Honors Attorney Recruitment Files. DOT/OST.

Security classification: Department of Transportation (DOT),

Office of the Secretary (OST),
Office of the General Counsel,
400 7th Street, SW, Room 10424,
Washington, DC 20590.

Categories of individuals covered by the system: Third-year law students and recent law school graduates.

Categories of records in the system: Resumes, transcripts, copies of Personnel Form 171.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by General Counsel, Chief Counsels, and their staffs in filling job vacancies for attorneys.

See also Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders in file cabinets.

Retrievability: Indexed individually by name in alphabetical order.

Safeguards: Records are disclosed only to individuals who have legal interests in the records or legal 'need-to-know.'

Retention and disposal: Retained at system location for 5 years, then destroyed.

System manager(s) and address: Recruitment Attorney,

Department of Transportation,
Office of the Secretary,
Office of the General Counsel,
Office of the Assistant General Counsel for Litigation,
400 7th Street, SW, Room 10424,
Washington, DC 20590.

Notification procedure: Contact the:

Department of Transportation,
Office of the Secretary,
Office of the General Counsel,
Office of the Assistant General Counsel for Litigation,
400 7th Street, SW, Room 10424,
Washington, DC 20590.

Record access procedures: Contact the:

Department of Transportation,
Office of the Secretary,

Office of the General Counsel,
Assistant General Counsel for Litigation,
Recruitment Attorney,
400 7th Street, SW, Room 10424,
Washington, DC 20590.

Contesting record procedures: Same as 'Record Access Procedure.'

Record source categories: Law students, recent law school graduates, General Counsel, Chief Counsels and their staffs.

DOT/OST 058.

System name: Public Information Distribution System. DOT/OST.

System location: Department of Transportation (DOT),

Office of the Secretary (OST),
400 7th Street, SW,
Washington, DC 20590.
System is located in the
Office of Public Affairs, DOT/OST 059.
and in the
Office of the Assistant Secretary for Administration, TAD-1.

Categories of individuals covered by the system: News media representatives; Federal, state and local government officials; Congressional representatives and Senators; educators; librarians; foreign government and foreign industry officials; domestic transportation industry officials; and interested members of the general public.

Categories of records in the system: Names and addresses; subjects of interest to addressee.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: For effective dissemination of Departmental public information materials.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic tape and disc; Xerox 730 cards; and computer printouts.

Retrievability: By name of addressee; by subject of interest.

Safeguards: Computer storage secured by access codes and passwords; cards and printouts are stored in locked files, accessible only by authorized personnel.

Retention and disposal: Record of addressee retained until person wishes to be removed from list or until deleted as a result of annual purge.

System manager(s) and address: Assistant to the Secretary and Director of Public Affairs,

Department of Transportation,
Office of the Secretary,
400 7th Street, SW,
Washington, DC 20590.

Notification procedure: Inquiries should be directed to the: Department of Transportation,

Office of the Secretary,
Assistant to the Secretary and Director of Public Affairs,
400 7th Street, SW,
Washington, DC 20590.

Record access procedures: Contact System Manager for information on procedures for gaining access to records.

Contesting record procedures: Contact System Manager for information on procedures for contesting records. Appeals should be directed to the Secretary of Transportation, if request for modification or deletion is denied.

Record source categories: Published lists (e.g., media directories); other governmental agencies; and specific requests by addressees and interested parties.

System name: Files of the Board for Correction of Military Records (BCMR) for the Coast Guard. DOT/OST.

System location: Department of Transportation (DOT),

Office of the Secretary (OST),
Office of the General Counsel,
Board for Correction of Military Records,
Trans Point Building, Room 5601,
2100 2nd Street, SW,
$Washington, DC 20590.

6Categories of indhviduals covdred by thel system: Individuals who have filed applications for rdlief before the Board.

Categories of records in thdl system: Applications and related documents, Board decisions, and official military records of applicants.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by the Chairman, the Board, the Executive Secretary and Staff in determining whether to grant relief to applicants.

Used by the Coast Guard in presenting its views to the Board concerning pending 00048Also used by applicant and his representative.
Used by the General Counsel and his staff in determining whether to approve decisions of the board.
See Prefatory Statement of General Routine Uses.

Storage: File folders stored in file cabinets.

Retrievability: Indexed individually by name in one of two alphabetical sequences representing pending and closed cases. Also indexed by docket number. Pending cases filed by docket number; closed cases filed alphabetically.

Safeguards: Records are disclosed only to the applicant, his representative, interested members of Congress, and the Coast Guard.

Retention and disposal: Transfer of official military record of individual separated from service to Federal Records Center when case closed; transfer of official military record of Active or Reserve member to Coast Guard Headquarters when case closed; re- tention of application file in all cases.

System manager(s) and address: Executive Secretary,

Department of Transportation,
Office of the Secretary,
Office of the General Counsel,
Board for Correction of Military Records,
2100 2nd Street, SW,
Washington, DC 20590.

Notification procedure: Department of Transportation,

Office of the Secretary,
Office of the General Counsel,
Board for Correction of Military Records,
2100 2nd Street, SW,
Washington, DC 20590.

Record access procedures: Department of Transportation,

Office of the Secretary,
Office of the General Counsel,
Board for Correction of Military Records,
Executive Secretary,
2100 2nd Street, SW,
Washington, DC 20590.

Contesting record procedures: Same as 'Record Access Procedure'.

Record source categories: U.S. Coast Guard, Veterans Administration, individual applicants.

DOT/OST 060.

System name: Medical Records of Participants in Study of Health Effects of Bicycling in Polluted Air. DOT/OST.

System location: Department of Transportation (DOT), Office of the Secretary (OST), Office of Environmental Affairs (TES-70), 400 7th Street, S.W., Washington, D.C. 20590.

Categories of individuals covered by the system: None.

Categories of records in the system: Volunteers who bicycle in selected urban transportation corridors.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Department of Transportation Act of 1966, 49 U.S.C. 1651 et seq.

Records may be released to the Department of Justice in defending claims against the United States when the claim is based upon an individual's mental or physical condition, and is alleged to have arisen because of activities of the Department of Transportation in connection with such individual. See also prefatory statement of General Routine Uses.
Records will be used in preparation of the Final Report for this study and by Project Officer in reviewing contractor's Final Report.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders, locked file cabinets.

Retrievability: Personal name is the identifier which is used to retrieve a record from this system. The purpose of this system of records is to identify and evaluate any adverse health effects caused by bicycling in polluted air.

Safeguards: Disclosure of records is limited to the Department's consultants involved in collection and analysis of medical data. Safeguards include locked building, guard service, and locked file cabinets.

Retention and disposal: The records will be retained at contractor's facilities until completion of study, and thereafter transmitted to contract Project Officer (TES-70) to be held for one year. Contractor's facilities will have security provisions in accordance with the government standards. Subjects will sign consent forms allowing disposal of records one year after completion of the approved Final Report.

System manager(s) and address: Project Officer, Research and Coordination Division (TES-74), Office of Environmental Affairs, Office of the Assistant Secretary for Environment, of Environmental Affairs, Office of the Assistant Secretary for Environment, Safety, and Consumer Affairs, U.S. Department of Transportation, 400 7th Street, S.W., Washington, D.C. 20590.

Notification procedure: Inquiries should be directed to the:

Department of Transportation,
Office of the Secretary,
Office of Environmental Affairs (TES-70),
400 7th Street, S.W.,
Washington, D.C. 20590.

Record access procedures: Contact or write to System Manager for information on procedures for gaining access to records.

Contesting record procedures: Write the Privacy Act Officer, Office of Management Systems, Office of the Secretary, Department of Transportation, 400 7th Street, S.W., Washington, D.C. 20590. Reasonably identify the record and specify the information to be contested.

Record source categories: Information is obtained directly from the individual and from results of medical tests.

DOT/SLS 150.

System name: Biographical Files. DOT/SLS.

System location: Department of Transportation (DOT),

Saint Lawrence Seaway Development Corporation (SLSDC),
Administration Building,
180 Andrews Street,
Massena, NY 13662.

Categories of individuals covered by the system: Employees of SLSDC.

Categories of records in the system: Biographical sketches.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To provide current information for public appearances and speeches.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders.

Retrievability: Indexed by name.

Safeguards: Records are kept in locked file cabinets accessible only to the Public Information Officer and his Assistant.

Retention and disposal: Files are retained during period of employment; are then destroyed.

System manager(s) and address: Public Information Officer,

Department of Transportation,
Saint Lawrence Seaway Development Corporation,
P. O. Box 520,
Massena, NY 13662.

Notification procedure: The individual may inquire, in writing, to the above system manager to inquire whether this system of records applies to him.

Record access procedures: An individual may gain access to his records by written request to:

Department of Transportation,
Saint Lawrence Seaway Development Corporation,
Director of Administration,
P.O. Box 520,
Massena, NY 13662.

Contesting record procedures: Contest of these records will be directed to the Director of Administration, and if not resolved at that level, may be appealed in writing to the Secretary of Transportation addressed as follows:

Department of Transportation,
Saint Lawrence Seaway Development Corporation,
Administrator, SLSDC,
P.O. Box 520,
Massena, NY 13662.

Record source categories: Information obtained from employees.

DOT/SLS 151.

System name: Claimants under Federal Tort Claims Act. DOT/SLS.

System location: Department of Transportation (DOT),

Saint Lawrence Seaway Development Corporation (SLSDC),
Administration Building,
180 Andrews Street,
Massena, NY 13662.

Categories of individuals covered by the system: Persons who make claims against the Corporation.

Categories of records in the system: Claims forms on which are recorded name, address, age and marital status of claimants.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Used by General Counsel to determine allowability of claims.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders.

Retrievability: Indexed by subject and name.

Safeguards: Records are kept in locked file cabinets and are accessible only to the General Counsel and his secretary.

Retention and disposal: Records are retained indefinitely since they are not extensive and are used for reference.

System manager(s) and address: General Counsel,

Department of Transportation,
Saint Lawrence Seaway Development Corporation,
P. O. Box 520,
Massena, NY 13662.

Notification procedure: The individual may inquire, in writing, to the above System Manager to inquire whether this system of records applies to him/her.

Record access procedures: An individual may gain access to his/her records by written request to the:

Department of Transportation,
Saint Lawrence Seaway Development Corporation,
Director of Administration,
P.O. Box 520,
Massena, NY 13662.

Contesting record procedures: Contest of these records will be directed to the Director of Administration, as under 'Record Access Procedure',and if not resolved at that level, may be appealed in writing to the Secretary of Transportation addressed as follows:

Department of Transportation,
Saint Lawrence Seaway Development Corporation,
Administrator, SLSDC,
P.O. Box 520,
Massena, NY 13662.

Record source categories: Claimants.

DOT/SLS 152.

System name: Data Automation Program Records. DOT/SLS.

System location: Department of Transportation (DOT),

Saint Lawrence Seaway Development Corporation (SLSDC),
Administration Building,
180 Andrews Street,
Massena, NY 13662.

Categories of individuals covered by the system: Employees and consultants.

Categories of records in the system: Payroll and leave records, work measurement records, travel vouchers.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Payroll and voucher disbursement:

GAO audits.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Magnetic tape reels, punch cards, micro-film cassettes and supporting documents.

Retrievability: Indexed by social security number and name.

Safeguards: Records are kept in locked file cabinets or locked rooms accessible to appropriate supervisor, his immediate assistants and secretary.

Retention and disposal: Records are retained in accordance with GAO and GSA schedules.

System manager(s) and address: Comptroller,
Department of Transportation,
Saint Lawrence Seaway Development Corporation,
P.O. Box 520,
Massena, NY 13662.

Notification procedure: The individual may inquire, in writing, to the System Manager to inquire whether this system of records applies to him.

Record access procedures: An individual may gain access to his records by written request to:

Department of Transportation,
Saint Lawrence Seaway Development Corporation,
Director of Administration,
P.O. Box 520,
Massena, NY 13662.

Contesting record procedures: Contest of these records will be directed to the Director of Administration, at the address given in 'Record Access Procedure'.

If not resolved at that level, may be appealed in writing to the Secretary of Transportation addressed as follows:
Department of Transportation,
Saint Lawrence Seaway Development Corporation,
Administrator, SLSDC,
P.O. Box 520,
Massena, NY 13662.

Record source categories: Information obtained from employees, personnel records, consultants.

DOT/SLS 153.

System name: Employees' Compensation Records. DOT/SLS.

System location: Department of Transportation (DOT),
Saint Lawrence Seaway Development Corporation (SLSDC),
Administration Building,
180 Andrews Street,
Massena, NY 13662.

Categories of individuals covered by the system: Employees.

Categories of records in the system: Claim forms on which are recorded employees' personal statistics, medical record.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: For determining allowability of claims.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders and micro-film cassette.

Retrievability: Indexed by name.

Safeguards: Records are kept in locked file cabinets and are accessible to cognizant Personnel Officers.

Retention and disposal: Retained indefinitely for possible future use.

System manager(s) and address:
Director of Administration,
Department of Transportation,
Saint Lawrence Seaway Development Corporation,
P.O. Box 520
Massena, NY 13662.

Notification procedure: The individual may inquire, in writing, to the above System Manager to inquire whether this system of records applies to him.

Record access procedures: An individual may gain access to his records by written request to:

Department of Transportation,
Saint Lawrence Seaway Development Corporation,
Director of Administration,
P.O. Box 520,
Massena, NY 13662.

Contesting record procedures: Contest of these records will be directed to the System Manager and if not resolved at that level, may be appealed in writing to the:

Secretary of Transportation,
Saint Lawrence Seaway Development Corporation,
Administrator, SLSDC,
P.O. Box 520,
Massena, NY 13662.

Record source categories: Injured employee, witnesses, supervisors, hospitals, physicians.

DOT/SLS 155.

System name: Emergency Operating Records (Vital Records). DOT/SLS.

System location: Department of Transportation (DOT),
Saint Lawrence Seaway Development Corporation (SLSDC),
Eisenhower Lock (Emergency Relocation Site),
Massena, NY 13662.

Categories of individuals covered by the system: Employees.

Categories of records in the system: Retirement records, payroll distribution records, leave records, employee roster and next of kin records.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To insure continuity of operations during and after a national defense emergency.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: 4 File folders.

Retrievability: Indexed by name and social security number.

Safeguards: Locked metal file container.

Retention and disposal: Retained until updated annually; then old records destroyed.

System manager(s) and address: Director of Administration (Emergency Coordinator),
Department of Transportaion,
Saint Lawrence Seaway Development Corporation,
P. O. Box 520,
Massena, NY 13662.

Notification procedure: Individuals may inquire in writing to the System Manager to inquire whether this system of records applies to him.

Record access procedures: An individual may gain access to his records by written request to the:

Department of Transportation,
Saint Lawrence Seaway Development Corporation,
Director of Administration,
P. O. Box 520,
Massena, NY 13662.

Contesting record procedures: Contest of these records will be directed to the Director of Administration and if not resolved at that level, may be appealed in writing to the Secretary of Transportation addressed as follows:

Department of Transportation,
Saint Lawrence Seaway Development Corporation,
Administrator SLSDC,
P.O. Box 520,
Massena, NY 13662.

Record source categories: Personnel records, time cards, and related supporting documents.

DOT/SLS 156.

System name: Safety Management Information System. DOT/SLS.

System location: Department of Transportation (DOT),
Saint Lawrence Seaway Development Corporation (SLSDC),
Safety and Security Division,Eisenhower Lock,
Massena, NY 13662.

Categories of individuals covered by the system: Federal employees, contractor personnel and visitors.

Categories of records in the system: Accident Reporting Records.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To submit required reports.

Provide data base for analysis and corrective action.
Statistical records.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: File folders.

Retrievability: Indexed by name.

Safeguards: Locked metal file cabinets accessible to Safety and Security Officer.

Retention and disposal: Retained for three years, then destroyed.

System manager(s) and address: Safety and Security Officer,

Department of Transporatation,
St. Lawrence Seaway Development Corporation,
P.O. Box 520,
Massena, NY 13662.

Notification procedure: The individual may inquire , in writing, to the System Manager to inquire whether this system of records applies to him.

Record access procedures: An individual may gain access to his record(s) by written request to:

Department of Transportation,
St. Lawrence Seaway Development Corporation,
Director of Administration,
P.O. Box 520,
Massena, NY 13662.

Contesting record procedures: Contest of these records will be directed to the Director of Administration and if not resolved at that level, may be appealed in writing to the Secretary of Transportation, addressed as follows:

Department of Transportation,
Saint Lawrence Seaway Development Corporation,
Administrator, SLSDC,
P.O. Box 520,
Massena, NY 13662.

Record source categories: Federal employees and supervisors.

DOT/SLS 157.

System name: Vehicle Operator Identification System. DOT/SLS.

System location: Department of Transportation (DOT),

Saint Lawrence Seaway Development Corporation (SLSDC),
Safety & Security Division, Eisenhower Lock,
Massena, NY 13662.

Categories of individuals covered by the system: Employees.

Categories of records in the system: This record contains personal identification, vehicle limitations and driving record; also contains information on employee's physical condition.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To ensure safe operation of government owned vehicles on government business.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Card and file folders.

Retrievability: Indexed by name and identification number.

Safeguards: Records kept in locked file cabinets accessible only to Safety and Security Officer.

Retention and disposal: Maintained for tenure of the employee plus 1 year, then destroyed.

System manager(s) and address: Safety and Security Officer,

Department of Transportation,
Saint Lawrence Seaway Development Corporation,
P.O. Box 520,
Massena, NY 13662.

Notification procedure: The individual may inquire, in writing, to the System Manager to inquire whether this system of records applies to him.

Record access procedures: An individual may gain access to his records by written request to:

Department of Transportation,
Saint Lawrence Seaway Development Corporation,
Director of Administration,
P. O. Box 520,
Massena, NY 13662.

Contesting record procedures: Contest of these records will be directed to the Director of Administration and, if not resolved at that level, may be appealed in writing to the Secretary of Transporatation, addressed as follows:

Department of Transportation,
Saint Lawrence Seaway Development Corporation,
Administrator,
P.O. Box 520,
Massena, NY 13662.

Record source categories: Information obtained from employee and from records maintained in the Personnel Division.

DOT/TSC 700.

System name: Automated Management Information System. DOT/TSC.

System location: Department of Transportation (DOT),

Transportation Systems Center (TSC),
Data Services Division, Code 840,
Kendall Square,
Cambridge, MA 02142.

Categories of individuals covered by the system: All TSC employees.

Categories of records in the system: Contains job related information associated with the following applications: ADP services, property management, procurement requests, contract information, travel information, program and related job plans, patent data, space utilization, park control and other pertinent management information.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The general pruposes of this system are intended for internal management and control, including:

Computer facility planning.
ADP cost distribution.
Budget and planning analysis.
Procurement tracking.
Procurement statistics and analysis.
Information of travel incurred.
Contract administration.
Control of property.
Control of building space.
Control of parking facilities.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Punched cards, magnetic tape and disk.

Retrievability: Indexed by employee's name.

Safeguards: Access to the systems and their associated data banks is available through the utilization of the unique project and programmer numbers, and the passwords known only by the authorized custodians.

Access to reports is controlled by the Reports Distribution function of the Administrative Directorate on a need-to-know basis. For normal working requirements, the reports are distributed to the functional areas responsible for the data generation.
Access to the computer room and its associated areas where data and reports are stored is delineated in the TSC ADP Facility Document on Safeguards and Controls.

Retention and disposal: The systems are permanent unless replaced.

The data banks, for the most part, are related to fiscal year activity.
Subsequent to the fiscal year, the data banks become either part of the history file of the system or are maintained by themselves for historical reasons.
Data records are deleted from the data banks on an as-required basis, and subsequently are eliminated from associated reports.

Reports used as daily working papers are retained only until updated reports are produced and then the old reports are discarded. Official closing reports corresponding to month-end and fiscal-year-end periods are retained for longer periods and are not subject to any rigid disposal procedure.

System manager(s) and address: Director of Administration, Code 800,

Department of Transportation,
Transportation Systems Center,
Kendall Square,
Cambridge, MA 02142.

Notification procedure: Information may be obtained through the Director of Administration from the

Department of Transportation,
Transportation Systems Center,
Chief, Data Services Division, Code 840,
Kendall Square,
Cambridge, MA 02142.

Record access procedures: Requests from individuals should be addressed to the System Manager.

An individual may gain access to his data by written request.

Contesting record procedures: Contest of this data will be made to the System Manager. If administrative resolvement is not satisfactory to the individual, appeals may be filed in writing with the Secretary of Transportation addressed to the General Counsel as follows:

Department of Transportation,
Office of the Secretary,
Office of the General Counsel,
400 7th Street, SW,
Washington, DC 20590.

Record source categories: Administrative functional areas and technical directorates utilizing standard forms and special TSC forms such as the ADP Job Order form, the Procurement Request form,, the TSC Contract, the Property Control form the Project Program Agreement (PPA form, and the Work Plan Budget (WP) form.

DOT/TSC 701.

System name: Employee Travel Records. DOT/TSC.

System location: Department of Transportation (DOT),

Transportation Systems Center (TSC),
Administrative Services Division,
Accounting Branch, Code 831,
Kendall Square,
Cambridge, MA 02142.

Categories of individuals covered by the system: TSC employees and other Government employees and private persons issued invitational travel orders to confer on Government problems.

Categories of records in the system: Travel records pertaining to reimbursements to individuals, consisting of:

Copies of travel orders.
Per diem vouchers.
Transportation requests.
Hotel reservations and all supporting papers relating to official travel of TSC employees or others authorized to travel by law.
Travel logs.
Travel reservation record card.
ADP travel reports.
Teletype ticket listing.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The general purposes of these records are intended for internal management and control, and also to authorize travel and reimbursement of expenses incurred.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Travel forms, logs, card files, basic documents and other paper records, including ADP printouts.

Retrievability: Indexed by employee's name.

Safeguards: Records are maintained in locked file cabinets. Logs are generally maintained in locked desk drawer.

Retention and disposal: Travel administration unit copies are maintained two (2) years then forwarded to the Federal Records Center where they are disposed of after one (1) additional year.

Obligation copies are disposed of when funds are obligated, generally by shredding.

System manager(s) and address: Chief, Administrative Services Division, Code 830,

Department of Transportation,
Transportation Systems Center,
Kendall Square,
Cambridge, MA 02142.

Notification procedure: Information may be obtained from the System Manager.

Record access procedures: Requests from individuals should be addressed to the System Manager. An individual may gain access to his records by written request.

Contesting record procedures: Contest of this data will be made to the System Manager. If administrative resolvement is not satisfactory to the individual, appeals may be filed in writing with the Secretary of Transportation addressed to the General Counsel as follows:

Department of Transportation,
Office of the Secretary,
Office of the General Counsel,
400 7th Street, SW,
Washington, DC 20590.

Record source categories: Individual's request for travel and reimbursement with all supporting papers relating to official travel.

DOT/TSC 702.

System name: Legal Counsel Information Files. DOT/TSC.

System location: Department of Transportation (DOT),

Transportation Systems Center (TSC),
Office of Legal Counsel, Code 140,
Kendall Square,
Cambridge, MA 02142.

Categories of individuals covered by the system: TSC employees involved with legal matters.

Categories of records in the system: Counseling records, conflict of interest and Source Evaluation Board (SEB), and individual claims and personnel actions.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The general purposes of these records are intended for internal management and control, including administrative reference and advising and counseling in legal matters.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper record folders.

Retrievability: Indexed by employee's name and subject matter.

Safeguards: Records are maintained in locked desk drawers and safe.

Retention and disposal: One (1) year after final action.

System manager(s) and address: Legal Counsel,

Department of Transportation,
Transportation Systems Center,
Office of Legal Counsel,
Kendall Square,
Cambridge, MA 02142.

Notification procedure: Information may be obtained from the System Manager.

Record access procedures: Requests from individuals should be addressed to the System Manager.

An individual may gain access to his records by written request.

Contesting record procedures: Contest of this data will be made to the System Manager. If administrative resolvement is not satisfactory to the individual, appeals may be filed in writing with the Secretary of Transportation addressed to the General Counsel as follows:

Department of Transportation,
Office of the Secretary,
Office of the General Counsel,
400 7th Street, SW,

Washington, DC 20590.

Record source categories: Employee, Supervisor, Legal Office, Personnel Office.

DOT/TSC 703.

System name: Occupational Safety & Health Reporting System. DOT/TSC.

System location: Department of Transportation (DOT),

Transportation Systems Center (TSC),
Management Services Division, Code 810,
Kendall Square,
Cambridge, MA 02142.

Categories of individuals covered by the system: TSC employees, in-house contractor personnel and visitors who have occupational illnesses, injuries or are involved in Government property accidents.

Categories of records in the system: Federal Occupational Injuries & Illnesses Survey form. DOT Accident/Injury Reports, DOT forms 3902.1 through 8.

Department of Labor, for payment of medical bills and workmen's compensation, as applicable.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The general purposes of these records are intended for internal management and control, and also for accident prevention.

The routine uses of the Department of Labor forms are for (1) submission to doctors and medical institutions rendering services to individuals and (2) to the Office of Employees Compensation, Department of Labor, for payment of medical bills and workmen's compensation, as applicable.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Forms and other paper records.

Retrievability: Indexed by individual's name.

Safeguards: Records are maintained in locked file cabinets and folders are stamped 'For Official Accident Prevention Use Only.'

Retention and disposal: Records are retained for five years and then destroyed by shredding.

System manager(s) and address: Chief, Management Services Division, Code 810,

Department of Transportation,
Transportation Systems Center,
Kendall Square,
Cambridge, MA 02142.

Notification procedure: Information may be obtained from the System Manager.

Record access procedures: Requests from individuals should be addressed to the System Manager.

An individual may gain access to his records by written request.

Contesting record procedures: Contest of this data will be made to the System Manager. If administrative resolvement is not satisfactory to the individual, appeals may be filed in writing with the Secretary of Transportation addressed to the General Counsel as follows:

Department of Tranportation,
Office of the Secretary,
Office of the General Counsel,
400 7th Street, SW,
Washington, DC 20590.

Record source categories: Documents provided by the individual concerned and immediate supervisor.

DOT/TSC 704.

System name: Stand-By Personnel Information. DOT/TSC.

System location: Department of Transportation (DOT),

Transportation Systems Center (TSC),
Management Services Division,
Budget Branch, Code 811,
Kendall Square,
Cambridge, MA 02142.

Categories of individuals covered by the system: TSC technical directorate personnel currently not fully assigned to authorized projects.

Categories of records in the system: Employee work project status and request for approval for stand-by time.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The general purposes of these files are intended for internal management and control, including administrative reference and scheduling of work projects, budgeting and overhead classification.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records.

Retrievability: Indexed by employee's name and subject classification 'Stand-By Time.'

Safeguards: Records are maintained in secured desk drawers and cabinets.

Retention and disposal: Records are maintained for one (1) additional year following completion of current fiscal year. Records are then destroyed.

System manager(s) and address: Chief, Management Services Division, Code 810,

Department of Transportation,
Transportation Systems Center,
Kendall Square,
Cambridge, MA 02142.

Notification procedure: Information may be obtained from the System Manager.

Record access procedures: Requests from individuals should be addressed to the System Manager.

An individual may gain access to his records by written request.

Contesting record procedures: Contest of this data will be made to the System Manager. If administrative resolvement is not satisfactory to the individual, appeals may be filed in writing with the Secretary of Transportation addressed to the General Counsel as follows:

Department of Transportation
Office of the Secretary
Office of the General Counsel,
400 7th Street, SW,
Washington, DC 20590.

Record source categories: Supervisor.

DOT/TSC 706.

System name: Automated Planning System. DOT/TSC.

System location: Department of Transportation (DOT),

Transportation Systems Center (TSC),
Data Services Division, Code 840,
Kendall Square,
Cambridge, MA 02142.

Categories of individuals covered by the system: All TSC technical personnel, i.e., those personnel working on direct projects.

Categories of records in the system: Information on all current and anticipated programs/projects and respective limits of man-years and dollars (total) budgeted.

Personnel information on all technical personnel.
Information on each technical person, based upon the planning/assignment of an individual to a program/project.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The general purpose of this system is to aid in the planning for an analysis of the loading (assignment) of manpower to the numerous programs/projects undertaken by the TSC.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Punched cards, magnetic tape and disk.

Retrievability: Indexed by employee's name.

Safeguards: Access to the system and its associated data banks is available through the utilization of the unique project and programmer numbers, and the passwords known only by the authorized custodians.

Access to reports is controlled by the Reports Distribution function of the Administrative Directorate on a need-to-

know basis. For normal working requirements, the reports are distributed to the functional areas responsible for the data generation.

Access to the computer room and its associated areas where data and reports are stored is delineated in the TSC ADP Facility Document on safeguards and controls.

Retention and disposal: The system is permanent unless replaced. The data banks, for the most part, are related to fiscal year activity. Subsequent to the fiscal year, the data banks become either part of the history file of the system or are maintained separately for historical reasons.

Reports used as daily working papers are retained only until updated reports are produced and then the old reports are discarded. Official closing reports corresponding to month-end and fiscal-year-end periods are retained for longer periods and are not subject to any rigid disposal procedure.

System manager(s) and address: Director of Administration, Code 800,

Department of Transportation,
Transportation Systems Center,
Kendall Square,
Cambridge, MA 02142.

Notification procedure: Information may be obtained through the Director of Administration from the Chief, Data Services Division, Code 840:

Department of Transportation,
Transportation Systems Center,
Kendall Square,
Cambridge, MA 02142.

Record access procedures: Requests from individuals should be addressed to the System Manager

Contesting record procedures: An individual may gain access to his data by written request. Contest of this data will be made to the System Manager. If administrative resolvement is not satisfactory to the individual, appeals may be filed in writing with the Secretary of Transportation addressed to the General Counsel as follows:

Department of Transportation,
Office of the Secretary.
Office of the General Counsel,
400 7th Street, SW,
Washington, DC 20590.

Record source categories: Program/Project files.

Personnel files.
Assignment forms.

DOT/TSC 707.

System name: Automated Manpower Distribution System. DOT/TSC.

System location: Department of Transportation (DOT),

Transportation Systems Center (TSC),
Data Services Division, Code 840,
Kendall Square,
Cambridge, MA 02142.

Categories of individuals covered by the system: All TSC employees.

Categories of records in the system: Contains information delineating the time and charges, including fringe and overhead, that TSC employees worked. The main association of the time and charges is with employee job assignment.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The general purposes of this system are intended for internal management and control, including:

Administrative reference.
Cost management.
Labor assignments and expenditures as they relate to both the job and the employee.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Punched cards, magnetic tape and disk.

Retrievability: Indexed by employee's number and job number.

Safeguards: Access to the system and its associated data bank is available through the utilization of the unique project and programmer numbers, and the passwords known only by the authorized custodians.

Access to reports is controlled by the Reports Distribution function of the Administration Directorate on a need-to-know basis. For normal working requirements the reports are distributed to the functional area responsible for the data generation.

Access to the computer room and its associated areas where data and reports are stored is delineated in the TSC ADP Facility Document on Safeguards and Controls.

Retention and disposal: The system is permanent unless replaced.

The data bank is related to fiscal year activity. Subsequent to the fiscal year, the data bank becomes part of the system's history file.

Data records are deleted from the data bank on an as required basis, and subsequently are eliminated from associated reports.

Reports used as daily working papers are retained only until updated reports are produced and then the old reports are discarded.

Official record copy reports are subject to retirement in accordance with General Records Schedules (GRS).

System manager(s) and address: Director of Administration, Code 800,

Department of Transportation,
Transportation Systems Center,
Kendall Square,
Cambridge, MA 02142.

Notification procedure: Information may be obtained through the Director of Administration from the Chief, Data Services Division/Code 840 at the address under 'System Location'.

Record access procedures: Requests from individuals should be addressed to the System Manager.

Contesting record procedures: An individual may gain access to his data by written request.

Contest of this data will be made to the System Manager.

If administrative resolvement is not satisfactory to the individual, appeals may be filed in writing with the Secretray of Transportation addressed to the General Counsel as follows:

Department of Transportation,
Transportation Systems Center,
Office of the General Counsel,
400 7th Street, SW,
Washington, DC 20590.

Record source categories: TSC form entitled 'Manpower Distribution'.

DOT/TSC 708.

System name: Combined Federal Campaign Information. DOT/TSC.

System location: Department of Transportation (DOT),

Transportation Systems Center (TSC),
Office of Public Affairs, Code 130,
Kendall Square,
Cambridge, MA 02142.

Categories of individuals covered by the system: All TSC employees.

Categories of records in the system: A record of how much, if anything, each employee has contributed.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The general purpose of this system is to gather and disseminate statistics and information on the donations to the Combined Federal Campaign.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Form filled out by keyman.

Retrievability: Indexed by employee's name.

Safeguards: Records are maintained in locked file cabinet.

Retention and disposal: Records are maintained for five years and then destroyed by shredding.

System manager(s) and address: Public Affairs Officer,

Department of Transportation,
Transportation Systems Center,
Office of Public Affairs, Code 130,
Kendall Square,
Cambridge, MA 02142.

Notification procedure: Information may be obtained from the System Manager.

Record access procedures: Requests from individuals should be addressed to the System Manager.

Contesting record procedures: An individual may gain access to his records by written request.

Contest of this data will be made to the System Manager.
If administrative resolvement is not satisfactory to the individual, appeals may be filed in writing with the Secretary of Transportation addressed to the General Counsel as follows:
Department of Transportation,
Office of the Secretary,
Office of the General Counsel,
400 7th Street, SW,
Washington, DC 20590.

Record source categories: Combined Federal Campaign forms filled out by employees.

DOT/TSC 709.

System name: Minority Information Files. DOT/TSC.

System location: Department of Transportation (DOT),

Transportation Systems Center (TSC),
Equal Opportunity Officer, Code 120,
Kendall Square,
Cambridge, MA 02142.

Categories of individuals covered by the system: TSC minority employees.

Potential minority hires in New England.

Categories of records in the system: Counselor records, Potential employee applications, minority skills bank, ADP reports - minority evaluation, personnel changes, minority reports, skills bank - resume card file, minority training records.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The general purpose of these files is for: Use by the Civil Rights Office in DOT, administrative reference, and statistical reporting.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Card files, paper records, ADP reports.

Retrievability: Indexed by name, skills, standard personnel subject classification.

Safeguards: Records are maintained in locked file cabinet.

Retention and disposal: Records are maintained for 2 years and then destroyed.

System manager(s) and address: Equal Opportunity Officer, Code 120,

Department of Transportation,
Transportation Systems Center,
Kendall Square,
Cambridge, MA 02142.

Notification procedure: Information may be obtained from the System Manager.

Record access procedures: Requests from individuals should be addressed to the System Manager.

Contesting record procedures: An individual may gain access to his records by written request.

Contest of this data will be made to the System Manager.
If administrative resolvement is not satisfactory to the individual, appeals may be filed in writing with the Secretary of Transportation addressed to the General Counsel as follows:
Department of Transportation,
Office of the Secretary,
Office of the General Counsel,
400 7th Street, SW,
Washington, DC 20590.

Record source categories: Equal Opportunity Officer.

Equal Opportunity Counselors.
Personnel Office.
Individual potential federal employees.

DOT/TSC 711.

System name: Blood Donor Information File. DOT/TSC.

System location: Department of Transportation (DOT),

Transportation Systems Center (TSC),
Office of Public Affairs, Code 130,
Kendall Square,
Cambridge, MA 02142.

Categories of individuals covered by the system: All TSC employees and on-site contractor personnel.

Categories of records in the system: Blood Donors Phone Book (name, organization and telephone number of donor).

Blood Donors Schedule.
Requests for Blood Form (from hospital for employee or dependent).

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The general purposes of these records is for the donation of blood by TSC employees and on-site contractor personnel to ensure coverage for themselves, spouses, dependent children, parents and grandparents of both employee and spouse, and all other people living in the employee's household for whom the member has responsibility.

The routine use of this system of records is to respond to requests for blood from employees and their dependents by furnishing information to the Red Cross to ensure the availability of blood.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper record.

Retrievability: Indexed by employee's name.

Safeguards: Records maintained in locked file cabinet.

Retention and disposal: Blood Donors Phone Book and Blood Donors Schedule maintained for one year and then destroyed. Request for Blood Form maintained until individual terminates employment with TSC, records are then destroyed.

System manager(s) and address: Public Affairs Officer, Code 130,

Department of Transportation,
Transportation Systems Center,
Kendall Square,
Cambridge, MA 02142.

Notification procedure: Information may be obtained from the System Manager.

Record access procedures: Requests from individuals should be addressed to the System Manager.

Contesting record procedures: An individual may gain access to his data by written request.

Contest of this data will be made to the System Manager.
If administrative resolvement is not satisfactory to the individual, appeals may be filed with the Secretary of Tranportation addressed to the General Counsel as follows:
Department of Transportation,
Office of the Secretary,
Office ot the General Counsel,
400 7th Street, SW,
Washington, DC 20590.

Record source categories: Blood Donors Phone Book and Blood Donors Schedule are filled out by solicitors.

Request for Blood Form completed by employee or dependent requesting blood.

DOT/TSC 712.

System name: Automated Payroll/Personnel/Communications/Security System. DOT/TSC.

System location: Department of Transportation (DOT),

Transportation Systems Center (TSC),
Data Services Division, Code 840,
Kendall Square,
Cambridge, MA 02142.

Categories of individuals covered by the system: All TSC employees and tenants from other government agencies.

Categories of records in the system: Contains the pertinent information for activities involved with Payroll, Personnel, Communications, and Security.

Contains information about an individual relating to:
Social security number.
Salary, including current and calendar year-to-date data.
Birthdate.

Veteran preference.
Tenure.
Handicap.
Grade.
Marital status.
Service computation date.
Home address and telephone number.
TSC location including building and telephone number.
Security clearance level and date granted.
CSC title and classification code.
Competitive level.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The general purposes of this system are intended for internal management and control, including:

Administrative reference.
Source for management information for producing summary statistics and registers in support of the Payroll, Personnel, Communications and Security functions.
The routine uses of the Payroll portion of this system are for:
(1) Submission of data to the Federal Disbursing Office for check issuance.
(2) Submission of employee's salary data, including gross, and withholdings, to the Internal Revenue Service for income tax purposes.
(3) Submission of employee's salary data to State Income Tax Bureau for income tax purposes.
(4)Transfer of information of Health and Life Insurance companies for insurance coverage.
(5) Transfer of information to financial institutions and labor unions.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Punched cards, magnetic tape and disk.

Retrievability: Indexed by employee's number.

Safeguards: Access to the systems and their associated data banks is available through the utilization of the unique project and programmer numbers, and the passwords known only by the authorized custodians.

Access to reports is controlled by the Reports Distribution function of the Administrative Directorate on a need-to-know basis. For normal working requirements, the reports are distributed to the functional areas responsible for the data generation.
Access to the computer room and its associated areas where data and reports are stored is delineated in the TSC ADP Facility Document on Safeguards and Controls.

Retention and disposal: The systems are permanent unless replaced.

The data banks are related to both fiscal year and calendar year activity. Subsequent to the appropriate period, the data banks become either part of the history file of the system or are maintained by themselves for historical reasons.
Data records are deleted from the data banks on an as-required basis, and subsequently are eliminated from associated reports.
Reports used as daily working papers are retained only until updated reports are produced and then the old reports are discarded. Official closing reports corresponding to month-end, fiscal-year-end and calendar year-end periods are retained for longer periods and are not subject to any rigid disposal procedure.

System manager(s) and address: Director of Administration, Code 800,

Department of Transportation,
Transportation Systems Center,
Kendall Square,
Cambridge, MA 02142.

Notification procedure: Information may be obtained through the Director of Administration from the:

Department of Transportation,
Transportation Systems Center,
Chief, Data Services Division, Code 840,
Kendall Square,
Cambridge, MA 02142.

Record access procedures: Requests from individuals should be addressed to the System Manager.

Contesting record procedures: An individual may gain access to his data by written request.

Contest of this data will be made to the System Manager.
If administrative resolvement is not satisfactory to the individual, appeals may be filed in writing with the Secretary of Transportation addressed to the General Counsel as follows:
Department of Transportation,
Office of the Secretary,
Office of the General Counsel,
400 7th Street, SW,
Washington, DC 20590.

Record source categories: Employee, Personnel Office, Payroll Office, Communications Office, Security Office.

DOT/TSC 713.

System name: Employee Payroll - Manpower Distribution System. DOT/TSC.

System location: Department of Transportation (DOT),

Transportation Systems Center (TSC),
Administrative Services Division,
Accounting Branch, Code 831,
Kendall Square,
Cambridge, MA 02142.

Categories of individuals covered by the system: All TSC employees.

Categories of records in the system: Payroll and pay administration records pertatining to TSC employees for personal services, consisting of leave cards, manpower distribution cards, payroll change slips, retirement records, time and attendance reports, withholding tax and savings bank records, ADP reports, etc.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The general purposes of these records are intended for internal management and control; to authorize disbursements to employees for personal services and to charge labor to the appropriate direct or overhead job orders.

The routine uses of these records are as follows:
Transfer of information to the Treasury Department to complete payroll checks.
The receipt of information by the Social Security Administration to complete quarterly posting of accounts.
The receipt of information by the Internal Revenue Service and Massachusetts Income Tax Bureau for income tax purposes.
Transfer of information to Health and Life Insurance companies for insurance coveragge.
Transfer of retirement records to the Bureau of Retirement, Insurance, and Occupational Health of the Civil Service Commission.
Transfer of information to the Department of Labor, Office of Employees Compensation, for payment of workmen's compensation.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Payroll and Manpower Distribution forms, cards, registers, basic documents and other paper records, including ADP printouts.

Retrievability: Indexed by employee's name and number.

Safeguards: Employee's payroll folders are maintained in a locked file cabinet.

Payroll office is locked during other than normal working hours.
Manpower Distribution records are maintained in a locked file cabinet.
Access to and use of all these records are limited to individual employee and those persons whose official duties require such access.

Retention and disposal: Payroll System Records common to all federal agencies are retained and disposed of in accordance with General Records Schedule (GRS) No. 2.

Manpower Distribution cards are maintained one fiscal year and then forwarded to the Federal Records Center (FRC) where they are destroyed after 2

All ADP reports are maintained one fiscal year and then additional years. destroyed except the year-end reports which are maintained three fiscal years and then forwarded to the FRC where they are destroyed in accordance with GRS No. 2.

System manager(s) and address: Chief, Administrative Services Division, Code 830,

Department of Transportation,
Transportation Systems Center,
Kendall Square,
Cambridge, MA 02142.

Notification procedure: Information may be obtained from the System Manager.

Record access procedures: Requests from individuals should be addressed to the Systems Manager.

Contesting record procedures: An individual may gain access to his data by written request.

Contest of this data will be made to the System Manager.
If administrative resolvement is not satisfactory to the individual, appeals may be filed in writinthe Secretary of Transportation addressed to the General Counsel as follows:
Department of Transportation,
Office of the Secretary,
Office of the General Counsel,
400 7th Street, SW,
Washington, DC 20590.

Record source categories: Basic payroll and manpower documents (standard and TSC forms); duplicate copies provided by Personnel and Training Division; individual employee on whom record is maintained.

DOT/TSC 714.

System name: Health Unit Employee Medical Records. DOT/TSC.

System location:

Department of Transportation (DOT),
Transportation Systems Center (TSC),
Personnel and Training Division, Code 820,
Health Unit/Building 1, 9th Floor,
Kendall Square,
Cambridge, MA 02142.

Categories of individuals covered by the system: TSC employees and support service contractor personnel.

Categories of records in the system: Individual Health Record Cards.

Individual Health Record Case Files.
Register of Visits.
Laser Eye Tests and Government Driver's Tests.
Pre-employment Physical Examinations, Health Justification Placement Records, Disability Retirement Examinations.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The general purposes of these records are to maintain a medical history of any TSC employee, including contractor personnel, who receives services from the Health Unit; ensure applicants for licenses to drive Government Vechiles meet physical requirements; and lasers are not adversely affecting employee's eyes.

The routine uses of these records are to respond to requests from other Doctors, Universities and Insurance Companies, and to submit medical reports to the Department of Labor, Office of Employees Compensation, to meet requirements of the Occupational Safety and Health Act of 1970 and DOT/TSC Safety Program.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Cards, forms, logs and other paper records.

Retrievability: Indexed by employee's name.

Safeguards: Records are maintained in locked file cabinets and room secured when no one is there.

Information from records is provided only with consent of employee.

Retention and disposal: (In accordance with GRS No. 1):

Individual Health Record Cards are maintained until 6 years after date of last entry on the card.
Individual Health Record Case Files are maintained until 6 years after date of last papers in the file.
Registers of visits maintained until 2 years after last date in log or register.
Upon termination of employment with TSC, latest Laser Eye Tests and Government Driver's Tests records are combined with Health Record Case Files and disposed of as part of these files.
Pre-employment Physical Examinations, Health Justification Placement Records, and Disability Retirement Examinations become part of the official personnel folder (OPF) upon separation, and are transferred to the NPRS, St. Louis, MO., 30 days after separation, where they are disposed of in accordance with GRS No. 1, Item 1.

System manager(s) and address: Chief, Personnel and Training Division, Code 820,

Department of Transportation,
Transportation Systems Center,
Kendall Square,
Cambridge, MA 02142.

Notification procedure: Information may be obtained through the Chief, Personnel and Training Division, from resident physician or nurse, TSC Health Unit.

Record access procedures: Request from individuals should be addressed to the System Manager.

Contesting record procedures: An individual may gain access to his data by written request.

Contest of this data will be made to the System Manager.
If administrative resolvement is not satisfactory to the individual, appeals may be filed in writing with the Secretary of Transportation addressed to the General Counsel as follows:
Department of Transportation,
Office of the Secretary,
Office of the General Counsel,
400 7th Street, SW,
Washington, DC 20590.

Record source categories: Employee.
Health Unit Doctor/Nurse.
TSC Safety Officer.

DOT/TSC 715.

System name: Bi-Weekly Personnel Status Report. DOT/TSC.

System location: Department of Transportation (DOT),

Transportation Systems Center (TSC),
Personnel and Training Division, Code 820,
Kendall Square,
Cambridge, MA 02142.

Categories of individuals covered by the system: All TSC employees.

Categories of records in the system: Name, grade and title.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The general purpose of this system is to dispense personnel management information in order to control billets.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper records.

Retrievability: Chronologically.

Safeguards: Records are maintained in a cabinet.

Retention and disposal: Records are maintained indefinitely.

System manager(s) and address: Chief, Personnel and Training Division, Code 820,

Department of Transportation,
Transportation Systems Center,
Kendall Square,
Cambridge, MA 02142.

Notification procedure: Information may be obtained from the System Manager.

Record access procedures: Requests from individuals should be addressed to the System Manager.

Contesting record procedures: An individual may gain access to his records by written request.

Contest of this data will be made to the System Manager.

If administrative resolvement is not satisfactory to the individual, appeals may be filed in writing with the Secretary of Transportation addressed to the General Counsel as follows:
Department of Transportation,
Office of the Secretary,
Office of the General Counsel,
400 7th Street, SW,
Washington, DC 20590.

Record source categories: Standard Form 50's are the source of the records.

DOT/UMTA 175.

System name: Personnel Convenience Files. DOT/UMTA.

System location: Department of Transportation (DOT),
Urban Mass Transportation Administration (UMTA),
Systems are located at:
Region I,
Regional Director, UMTA,
c/o Transportation Systems Center,
Technology Building - Room 277,
55 Broadway,
Cambridge, MA 02142.
Region II,
Regional Director, UMTA,
26 Federal Plaza, Suite 507,
New York, NY 10007.
Region III,
Regional Director, UMTA,
434 Walnut Street, Suite 1010,
Philadelphia, PA 19106.
Region IV,
Regional Chief, UMTA,
1720 Peachtree Road, NW, Suite 501,
Atlanta, GA 30309.
Region V,
Regional Chief, UMTA,
300 South Wacker Dirve - Suite 700,
Chicago, IL 60606.
Region VI,
Regional Chief, UMTA,
819 Taylor Street, Suite 9A32,
Fort Worth, TX 76102.
Region VII,
Regional Chief, UMTA,
601 East 12th Street - Suite 633,
Kansas City, MO 64106.
Region VIII,
Regional Director, UMTA,
Prudential Plaza - Suite 1822,
1050 17th Street,
Denver, CO 80202.
Region IX,
Regional Director, UMTA,
450 Golden Gate Avenue,
Box 36125,
San Francisco, CA 94102.
Region X,
Regional Chief, UMTA,
915 Second Avenue,
Suite 3106, Federal Building,
Seattle, WA 98174.

Categories of individuals covered by the system: UMTA employees.

Categories of records in the system: Standard Form 171.
Resumes.
Personnel Action Forms.
Position Descriptions.
Performance evaluation forms.
Written performance standards.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Personal Use.
Personnel Reference Manual.
Statistical Information.
Technical Information.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are originals or copies of documents.

Retrievability: Retrieved by name.

Safeguards: Maintained in locked file cabinets.

Retention and disposal: Retained until termination of employment and then shredded.

System manager(s) and address: Appropriate Associate Administrator or Regional Office (addresses same as in 'System Location').

Notification procedure: Inquiries are addressed to the System Manager (addresses same as in'System Location').

Record access procedures: Access is permitted by written or personal request.
Direct control is maintained by the System Manager.

Contesting record procedures: Contest of these records is at the Headquarters' level, addressed as follows:
Department of Transportation,
Urban Mass Transportation Administration,
Attention: Personnel Division, UAD-60,
400 7th Street, SW,
Washington, DC 20590. Unsatisfactory resolvement by the individual may be appealed in writing to the Secretary of Transportation addressed as follows:
Department of Transportation,
Urban Mass Transportation Administration
Office of the Administrator,
400 7th Street, SW, Room 9324,
Washington, DC 20590.

Record source categories: Personnel Action Forms as completed by the employee or prospective employee.

DOT/UMTA 176.

System name: Blood-Donor File. DOT/UMTA.

System location: Department of Transportation (DOT),
Urban Mass Trasnportation Administration (UMTA),
Office of Administration,
Administrative Services Division, UAD-40,
400 7th Street, SW, Room 9223,
Washington, DC 20590.

Categories of individuals covered by the system: UMTA employees.

Categories of records in the system: Record of employee's donation to UMTA/Red Cross Blood Program.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Computing participation in Blood Program identifying donors by blood types.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained by telephone cardex.

Retrievability: Access by name.

Safeguards: Maintained in locked office during non-work hours.

Retention and disposal: Only information on current employees is maintained. Card and information slips are destroyed in the year following blood quota/program year.

System manager(s) and address: UMTA Blood Program Coordinator (Administrative Services Director, address as above in 'System Location').

Notification procedure: Verbal notification from System Manager.

Record access procedures: Upon verbal request to System Manager.

Contesting record procedures: Record access not contested. Contact System Manager to contest content.

Record source categories: Red Cross Donor Registration Forms as completed by UMTA employee.

DOT/UMTA 177.

System name: UMTA-Sponsored Reports Author File. DOT/UMTA.

System location: Department of Transportation (DOT),
Urban Mass Trasnsportation Administration (UMTA),
Transit Research Information Center,
Office of Transit Management,
Transportation and Management Demonstration,
Human Resources and Technical Development Manager,
UTM-50,
2100 2nd Street, SW,
Washington, DC 20590.

Categories of individuals covered by the system: Contributing authors of UMTA sponsored reports.

Categories of records in the system: Author's name and address of organization at time of report submission to UMTA.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: For technical information dissemination.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained on card file.

Retrievability: Access by author's name.

Access by author's organization.
Access by report title.
Access by project number.
Access by geographical location of research.

Safeguards: Available for use under control of System Manager.

Card files are maintained in a locked office.

Retention and disposal: Retained as archive files of UMTA-sponsored report information.

System manager(s) and address: Human Resources and Technical Development Manager, UTM-50, (address as in 'System Location' above).

Notification procedure: Inquiries are addressed to the System Manager, UTM-50, (address as in 'System Location ' above).

Record access procedures: Access is permitted by written or personal request. Direct control is maintained by the System Manager.

Contesting record procedures: Contest of these records is at the Headquarter's level, (i.e., to the System Manager).

Unsatisfactory resolvement by the individual may be appealed in writing to the Secretary of Transportation addressed as follows:
Department of Transportation,
Urban Mass Transportation Administration,
Office of the Administrator,
400 7th Street, SW, Room 9324,
Washington, DC 20590.

Record source categories: Authors of UMTA-sponsored reports.

DOT/UMTA 178.

System name: Minority Recruitment File. DOT/UMTA. 4

Department of Transportation (DOT),
Urban Mass Transportation Administration (UMTA).
Office of Civil Rights,
2100 2nd Street, SW, Room 6204,
Washington, DC 20590.

Categories of individuals covered by the system: Minority applicants interested in UMTA employment.

Categories of records in the system: Resumes and Personal Qualifications Statements (S.F. 171).

Card file summarizing general information on each applicant.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Referrals for UMTA position vacancies.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in original and photocopied form.

Retrievability: Retrieved by name or position classification.

Safeguards: Source documents are maintained in the Office of Civil Rights storage facilities.

Only persons authorized by the Director of Civil Rights or the Chief, Division of Internal Programs, are permitted access to these files.

Retention and disposal: Records held for a year's period at which time applicants are requested to update their forms; those forms updated are retained and the forms of those non-responding destroyed.

System manager(s) and address: Chief, Division of Internal Programs, UCR-20,

Department of Transportation,
Urban Mass Transportation Administration,
Office of Civil Rights,
2100 2nd Street, SW, Room 6204,
Washington, DC 20590.

Notification procedure: Inquiries are addressed to the System Manager.

Record access procedures: Access is permitted by written or personal request. Direct control is maintained by the System Manager.

Contesting record procedures: Contest of these records is at Headquarter's level (i.e., to the System Manager at the address shown in 'System Manager' above).

Unsatisfactory resolvement by the individual may be appealed in writing to the Secretary of Transportation addressed as follows:
Department of Transportation,
Urban Mass Transportation Administration,
Office of the Administrator,
400 7th Street, SW, Room 9324,
Washington, DC 20590.

Record source categories: Resumes and Personal Qualifications Statements (S.F. 171) submitted by applicants.

Resumes and Personal Qualifications Statements (S.F. 171) submitted by the DOT Office of Civil Rights, DOT modal administrations, and other Federal agencies.
Established recruitment sources, e.g., community organizations, national civil rights groups, professional societies, colleges and universities, etc.

DOT/UMTA 179.

System name: Application for U.S. Government Motor Vehicles Operator's Identification Card. DOT/UMTA.

System location: Department of Transportation (DOT),

Urban Mass Transportation Administration (UMTA),
Personnel Office, UAD-60,
400 7th Street, SW,
Washington, DC 20590.

Categories of individuals covered by the system: All UMTA employees who apply for a Government Motor Vehicle Operator's license.

Categories of records in the system: Applications for Motor Vehicle Operator's license and related documents.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To verify applicants' qualifications.

To issue I.D. Cards.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in a metal file cabinet.

Retrievability: The system is maintained by individual's name.

Safeguards: File cabinet is in records room, with approved security lock on door.

Retention and disposal: Records are retained unitil the expiration of three year period or until employee leaves UMTA.

Documents destroyed by tearing or shredding.

System manager(s) and address: Director, Personnel Division, UAD-60,

Department of Transoportation,
Urban Mass Transportation Administration,
400 7th Street, SW, Room 4116,
Washington, DC 20590.

Notification procedure: Inquiries should be addressed to the System Manager.

Record access procedures: If requestor applies in person be prepared to furnish adequate identification.

Written requests should be sent to the System Manager.

Contesting record procedures: Should be submitted to the System Manager.

Record source categories: Past driving record as to accidents, arrests, citations and physical condition.

DOT/UMTA 180.

System name: Occupational Safety and Health Accident Reporting System. DOT/UMTA.

System location: Department of Transportation (DOT),

Urban Mass Transportation Administration (UMTA),
Personnel Office, UAD-60,

400 7th Street, SW, Room 4116,
Washington, DC 20590.

Categories of individuals covered by the system: Any UMTA employee involved in an accident while on duty status which results in injury to an individual or property damage.

Categories of records in the system: This system contains documents pertaining to occupational accidents involving injury to employees and/or non-employees. It will also contain documents pertaining to accidents involving employees of UMTA when no injury is involved but property damage has occurred.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Accumulate accident information and statistics per DOT Order.

Develop accident cause trends.
For accident reports.
For accident prevention program.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in a metal file cabinet.

Retrievability: Folders are in alphabetic order by name.

Safeguards: File cabinets are located in records room which has a security approved lock on door.

Retention and disposal: Records are maintained for five years and then destroyed by tearing or shredding.

System manager(s) and address: Director, Personnel Division, UAD-60,

Department of Transportation,
Urban Mass Transportation Administration,
400 7th Street, SW, Room 4116,
Washington, DC 20590.

Notification procedure: Inquiries should be addressed to the System Manager at the address above.

If in person, proper identification will be required.
If written, notrarized signature and social security number of the individual involved in the accident must be presented.

Record access procedures: Same as 'Notification Procedure.'

Contesting record procedures: Same as 'Notification Procedure.'

Record source categories: Individuals involved in accidents.

Witnesses to accidents.
Supervisor of the UMTA employee involved in an accident.
Doctors of medical facility where treated.

DOT/UMTA 181.

System name: Attendees Names and Addressees for R&D Priorities Conference. DOT/UMTA.

System location: UMTA Office of Research and Development and American Public Transit Association (acting as contractor to UMTA).

Categories of individuals covered by the system: Persons attending first R&D Priorities Conference in February 1976; those to be invited to the second and subsequent annual conferences, and those to whom copies of the proceedings of each conference are to be sent.

Categories of records in the system: These records contain names, organizational affiliation and addresses only.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Including categories of users and the purposes of such uses:

To communicate plans for planned conferences to likely participants.
To distribute to participants and those who have requested, or are likely to benefit from, informational materials, proceedings, and other documents related to UMTA's research, development and demonstration activity.
Records are subject to examination by federal officials concerned with the dissemination of research and development information, but are not disclosed to members of the public (except through publication in the proceedings of names of individuals who actually participate in each conference).

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper documents in file system.

Retrievability: Alphabetically by name.

Safeguards: Access is restricted to officials of UMTA and APTA involved in planning and disseminating information about conferences.

Retention and disposal: Records are maintained in current status. Deleted names and addresses are discarded completely. The system itself will be discarded within two years after the last R&D priorities conference of the current series has been concluded.

System manager(s) and address: Official responsible is Executive Assistant to Associate Administrator for Research and Development,

URD-3,
UMTA,
Washington, DC 20590.

Notification procedure: Individual may contact the systems manager to confirm whether or not the system contains a record on them.

Record access procedures: Same as Notification Procedure.

Contesting record procedures: Content of these records may be settled with the system manager. If this is unsatisfactory to the individual, the person may appeal in writing to:

Privacy Act Officer, UAD-60
Urban Mass Transportation Administration
Room 4116, Nassif Building
400 7th Street, S. W.
Washington, D. C. 20690

Record source categories: Names of individuals who have attended past R & D Priorities Conferences and individuals who have requested copies of the proceedings.

DOT/UMTA 190.

System name: Employee Travel Records. DOT/UMTA.

System location: Department of Transportation (DOT),

Urban Mass Transportation Administration (UMTA),
Office of Financial Management,
Accounting Division,
2100 2nd Street, SW,
Washington, DC 20590.

Categories of individuals covered by the system: All UMTA employees.

Private persons, congressional committee members or other persons issued invitational travel orders to confer on government matters.

Categories of records in the system: Individual travel folders.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Maintain accounting records.

Analysis of travel trends.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in a file cabinet secured with lock and key.

Retrievability: System is indexed by name.

Safeguards: Individuals requesting individual travel folders are screened by Accounting Branch personnel.

Records are maintained by Accounting Branch personnel in secured file.

Retention and disposal: Retained three years, then forwarded to Federal Records Center with other accounting records.

System manager(s) and address: Fiscal Officer, Accounting Branch,

Department of Transportation,
Urban Mass Transportation Administration,
2100 2nd Street, SW, Room 6613,
Washington, DC 20590.

Notification procedure: Individuals may contact the System Manager to confirm whether or not the system contains a record on them.

Record access procedures: An individual may gain access to his records by request to the System Manager.

Contesting record procedures: Content of these records may be settled with the System Manager. If this is unsatisfactory to the individual, the person may appeal in writing to the Secretary of Transportation addressed to the following:

Department of Transportation,
Urban Mass Transportation Administration,
Office of the Chief Counsel,
400 7th Street, SW, Room 9320,
Washington, DC 20590.

Record source categories: Travel orders, individual travel vouchers.

DOT/UMTA 191.

System name: Travel Advance File. DOT/UMTA.

System location: Department of Transportation (DOT),
Urban Mass Transportation Administration (UMTA),
Office of Financial Management,
Accounting Division,
2100 2nd Street, SW,
Washington, DC 20590.

Categories of individuals covered by the system: Recipients of travel advances.

Categories of records in the system: Records of travel advances and liquidation and repayment thereof.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Maintain accounting records.

Ensure collection of amounts due the United States.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Maintained on 5 x 8 card form.

Retrievability: By name.

Safeguards: Records are maintained by Accounting Branch personnel in locked file.

Retention and disposal: Retained three years then forwarded to Federal Records Center with other accounting records.

System manager(s) and address: Fiscal Officer, Accounting Branch,
Department of Transportation,
Urban Mass Transportation Administration,
2100 2nd Street, SW, Room 6613,
Washington, DC 20590.

Notification procedure: Individuals may contact the System Manager to confirm whether or not the system contains records on them.

Record access procedures: An individual may gain access to his records by request to the System Manager.

Contesting record procedures: Content of these records may be settled with the System Manager. If this is unsatisfactory to the individual, the person may appeal in writing to the Secretary of Transportation, addressed to the following:

Department of Transportation,
Urban Mass Transportation Administration,
Office of the Chief Counsel,
400 7th Street, SW, Room 9320,
Washington, DC 20590.

Record source categories: Travel advance card signed by individual.

DOT/UMTA 192.

System name: Urban Transportation Planning System (UTPS) Address File. DOT/UMTA.

System location: Department of Transportation (DOT),
Urban Mass Transportation Administration (UMTA),
Office of Transit Planning,
Planning Methodology and Technical Support Division, UTP-40,
400 7th Street, SW, Room 9311,
Washington, DC 20590.

Categories of individuals covered by the system:

UTPS users.
Persons indicating continuing interest in UTPS developments.
Federal Highway Administration (FHWA) Planning Package users.

Categories of records in the system: Individual's name, business address, telephone number, UTPS or FHWA Planning Package version date, UTPS course/symposium/conference attendance.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: For technical information dissemination.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Records are maintained in a computer file.

Retrievability: Access by computer terminal by any item.

Safeguards: Available for use under the control of the System Manager.

Computer file is protected by account number and code words.

Retention and disposal: Records are retained until notified that individual no longer desires information and then record is destroyed.

System manager(s) and address: Director, Planning Methodology and Technical Support Division, UTP-40,
Department of Transportation,
Urban Mass Transportation Administration,
Office of Transit Planning,
400 7th Street, SW, Room 9311,
Washington, DC 20590.

Notification procedure: Inquiries are addressed to the System Manager, UTP-40 (address same as above).

Record access procedures: Direct control is maintained by the System Manager.

Contesting record procedures: Contest of these records is at Headquarter's level (i.e. the System Manager). Individual may appeal adverse decision to the Secretary of Transportation, addressed as follows:

Department of Transportation,
Urban Mass Transportation Administration,
Office of the Administrator,
400 7th Street, SW, Room 9324,
Washington, DC 20590.

Record source categories: UTPS order forms, UTPS course registration forms, letter and/or verbal request to be place on mailing list.

DOT/UMTA 193.

System name: Docket. DOT/UMTA.

System location: Department of Transportation (DOT),
Urban Mass Transportation Administration (UMTA),
Office of the Chief Counsel,
400 7th Street, SW, Room 9320.
Washington, DC 20590.

Categories of individuals covered by the system: Individuals who have commented on notices of UMTA appearing in the Federal Register; authors of reports which are added to the Docket as background information.

Categories of records in the system: Summary of the nature of the comment or the report, date written and filed, author affiliation.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: To gather information in response to rules promulgated by UMTA. Users are both UMTA staff members and the public.

See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Legal-size file folders stored in file cabinets.

Retrievability: By name and Docket number assigned at the time of receipt.

Safeguards: None required. Publically available file.

Retention and disposal: Indefinite.

System manager(s) and address: Docket Clerk, Office of the Chief Counsel,
Department of Transportation,
Urban Mass Transportation Administration,
400 7th Street, SW, Room 9300,
Washington, DC 20590.

Notification procedure: Same as 'System Manager.'

Record access procedures: Same as 'System Manager.'

Contesting record procedures: Same as 'System Manager.'

Record source categories: Correspondence freely sent by the public to UMTA.

DOT/UMTA 194.

System name: Litigation and Claims Files. DOT/UMTA.

System location: Department of Transportation (DOT),
Urban Mass Transportation Administration (UMTA),
Office of the Chief Counsel,
400 7th Street, SW, Room, 9320,
Washington, DC 20590.

Categories of individuals covered by the system: Persons who are involved in litigation or claims actions.

Categories of records in the system: Litigation and claim pleadings, discovery material, related documents (including background data on individuals involved), memoranda, correspondence, and other material necessary to respond to claims or prepare for litigation or hearings.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The documents are produced or used in and for litigation and claims.

These documents are disclosed to the Department of Justice.
See Prefatory Statement for Genaral Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Legal-size file folders stored in lockable and unlockable file cabinets and individual attorney's offices.

Retrievability: Retrieved by caption of the particular litigation.

Safeguards: Data from these files are retrievable only by persons within the Office of the Chief Counsel.

Retention and disposal: Litigation files are kept for two years after case is closed, then sent to Federal Records Center. All other records in the system are retained indefinitely.

System manager(s) and address: Chief Counsel,
Department of Transportation,
Urban Mass Transportation Administration,
400 7th Street, SW, Room 9320,
Washington, DC 20590.

Notification procedure: Individuals wishing to know if their records appear in this system of records may inquire in person or in writing to the System Manager.

Record access procedures: Individuals who desire access to the information about themselves in this system of records should contact or address their inquiries to the System Manager.

Contesting record procedures: Individuals who desire to contest information about themselves contained in this system of records should contact or address their inquiries to the System Manager.

Record source categories: Federal courts, individuals and their attorneys, UMTA records, litigation files, etc.

DOT/UMTA 195.

System name: Confidential Statement of Employment and Financial Interests. DOT/UMTA.

System location: Department of Transportation, (DOT),
Urban Mass Transportation Administration, (UMTA),
Office of the Chief Counsel,
400 7th Street, SW, Room 9320,
Washington, DC 20590.

Categories of individuals covered by the system: Employees of the Urban Mass Transportation Administration in supervisory positions where a conflict of interest or the appearance of a conflict of interest might occur. Also included in this category are consultants and experts of the Urban Mass Transportation Administration.

Categories of records in the system: Information on employment and financial interests and debts.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: The information to be furnished is required by Executive Order 11222 and the requlations of the Civil Service Commission issued thereunder and may not be disclosed except as the Commission or the agency head may determine.

The purpose of the use of this information is to avoid a conflict of interest or the appearance of a conflict of interest in UMTA employees.
See Prefatory Statement of General Routine Uses.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Legal size folders.

Retrievability: Indexed by name in alphabetical sequence.

Safeguards: Records are stored in locked file cabinet.

Retention and disposal: Records are kept 3 years and then transferred to Federal Records Center for 6 years.

System manager(s) and address: Chief Counsel,
Department of Transportation,
Urban Mass Transportation Administration,
Office of the Chief Counsel,
400 7th Street, SW, Room 9320,
Washington, DC 20590.

Notification procedure: Department of Transportation,
Urban Mass Transportation Administration,
Office of the Chief Counsel,
400 7th Street, SW, Room 9320,
Washington, DC 20590.
Inquiries may be addressed to the Chief Counsel either in person or in writing. If written the individual must provide a notarized signature.

Record access procedures: Access to records requires the individual to contact in person or write the Chief Counsel at the address in 'Notification Procedure.'

Contesting record procedures: Contest of a record is also through the Chief Counsel.

Record source categories: Statements of employment and financial interests, creditors, interests in real property are submitted by employees and consultants of the Urban Mass Transpor- tation Administration.

Initial requests for submission of statements are through the Personnel Division, Office of Administration, Urban Mass Transportation

Title 49—Transportation

SUBTITLE A—OFFICE OF THE SECRETARY OF TRANSPORTATION

PART 10—MAINTENANCE OF AND ACCESS TO RECORDS PERTAINING TO INDIVIDUALS

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AUTHORITY: Pub. L. 93-579, sec. 9, Department of Transportation Act, Pub. L. 89-670; 49 U.S.C. 1657.

SOURCE: 40 FR 45729, Oct. 2, 1975, as amended at 40 FR 54001, Nov. 20, 1975; 40 FR 57361, Dec. 9, 1975; 41 FR 22564, June 4, 1976; 41 FR 24822, June 18, 1976.

Subpart A—Applicability and Policy

§ 10.1 Applicability.

(a) This part implements section 552a of Title 5, United States Code (the Privacy Act) and prescribes rules governing the availability of those records of the Department of Transportation which relate to citizens of the United States and aliens lawfully admitted for permanent residence.

(b) Appendices B through J to this part:

(1) Describe the places and times at which records will be available for inspection and copying;

(2) Indicate the systems of records located at each facility;

(3) Identify the officials having authority to deny requests for disclosure of records under this part.

(4) Describe the procedures to be followed in requesting correctioin of a record in accordance with § 10.41 of this part.

(5) List additional personal identification requirements in accordance with § 10.35 of this part.

(c) The Assistant Secretary for Administration may amend Appendix A to this part to reflect any changes in the items covered by that appendix. The head of the operating administration concerned may amend the appendix applicable to that administration to reflect any changes in the terms covered by that appendix.

(d) Appendix A to this part identifies systems of records which are exempt from some of the requirements of this part, in accordance with Subpart G and 5 U.S.C. 552 (a), (j) and (k). Appendix A may be amended by the General Counsel upon the request of the Assistant Secretary for Administration in the case of a system of records maintained by the Office of the Secretary or the Administrator in the case of a system of records maintained by an operating administration.

§ 10.3 Policy.

It is the policy of the Department of Transportation to comply with the letter and the spirit of the Privacy Act (the Act). Therefore, personal data contained in each system of records will be afforded adequate protection against unauthorized access, will be as accurate as is feasible, and will be limited to that necessary to accomplish the stated use or uses of the system. Further, no system of records will be exempted from the requirements of the Act unless it is determined that to do so is in the best interest of the government with due concern for individual rights.

§ 10.5 Definitions.

Unless the context requires otherwise, the following definitions apply in this part:

"Administrator" means the head of an operating administration and includes the Commandant of the Coast Guard and the Director of the Materials Transportation Bureau.

"Department" means the Department of Transportation, including the Office of the Secretary and the following operating administrations:

(a) The United States Coast Guard.

(b) The Federal Aviation Administration.

(c) The Federal Highway Administration.

(d) The Federal Railroad Administration.

(e) The National Highway Traffic Safety Administration.

(f) The Urban Mass Transportation Administration.

(g) The St. Lawrence Seaway Development Corporation.

(h) The Materials Transportation Bureau.

"He" includes she;

"Includes" means "includes but is not limited to;"

"May" is used in a permissive sense to state authority or permission to do the act prescribed;

"Secretary" means the Secretary of Transportation or any person to whom he has delegated his authority in the matter concerned;

"Individual" means a citizen of the United States or an alien lawfully admitted;

"Maintain" includes maintain, collect, use, or disseminate;

"Record" means any item, collection, or grouping of information about an individual that is maintained by the Department including, but not limited to, his education, financial transactions, medical history, and criminal or employment history and that contains his name, or an identifying number, symbol, or other identifying particular assigned to the individual, such as a finger or voice print or a photograph;

"System of records" means a group of any records under the control of the Department from which information is retrieved by the name of the individual or by some identifying number, symbol, or other identifying particular assigned to the individual;

"Statistical record" means a record in a system of records maintained for statistical research or reporting purposes only and not in whole or in part in making any determination about an identifiable individual, except as provided by section 8 of Title 13; and

"Routine use" means, with respect to the disclosure of a record, the use of such record for a purpose which is compatible with the purpose for which it was collected.

Subpart B—General

§ 10.11 Administration of part.

Except as provided in Subpart F of this part, authority to administer this part in connection with the records of the Office of the Secretary is delegated to the Assistant Secretary for Administration. Authority to administer this part in connection with records in each operating administration is delegated to the head of that administration. The head of any operating administration may redelegate to officers of that administration the authority to administer this part in connection with defined systems of records. The head of an operating administration, however, may redelegate his duties under Subparts F and G of this part only to his deputy and to not more than one other officer who reports directly to the head and who is located at the

headquarters of that administration or at the same location as the majority of that administration's systems of records.

§ 10.12 Privacy Act Officer.

(a) To assist him in the oversight of the implementation, continuing administration and in evaluating the effectiveness of compliance with the Act, the Assistant Secretary for Administration will appoint a principal coordinating official with the title Privacy Act Officer, and one Assistant Privacy Act Officer from his staff. (Until further notice, the Director of Management Systems is designated the Privacy Act Officer. He will designate an appropriate member of his staff as his Assistant.)

(b) Inquiries concerning Privacy Act matters, or requests for assistance, may be addressed to the Privacy Act Officer (TAD-20), Department of Transportation, 400 Seventh Street SW., Washington, D.C. 20590.

(c) Heads of operating Administrations may designate Privacy Act Officers to act as central coordinators within their Administrations to assist them in administering the Act.

§ 10.13 Protection of records.

(a) No person may, without permission, remove any record made available to him for inspection or copying under this part from the place where it is made available. In addition, no person may steal, alter, multilate, obliterate, or destroy, in whole or in part, such a record.

(b) Section 641 of Title 18 of the United States Code provides, in pertinent part, as follows:

> Whoever * * * steals, purloins, or knowingly converts to his use or the use of another, or without authority, sells, conveys or disposes of any record * * * or thing of value of the United States or of any department or agency thereof * * * shall be fined not more than $10,000 or imprisoned not more than 10 years or both; but if the value of such property does not exceed the sum of $100, he shall be fined not more than $1,000 or imprisoned not more than one year or both * * *.

(c) Section 2071 of Title 18 of the United States Code provides, in pertinent part, as follows:

> Whoever willfully and unlawfully conceals, removes, mutilates, obliterates, or destroys, or attempts to do so, or with intent to do so takes and carries away any record, proceeding, map, book, paper, document, or other thing, filed or deposited * * * in any public office, or with any * * * public officer of the United States, shall be fined not more than $2,000 or imprisoned not more than 3 years, or both.

Subpart C—Maintenance of Records

§ 10.21 General.

Except to the extent that a system of records is exempt in accordance with Subpart G of this part, the Department shall, with respect to each system of records:

(a) Maintain in its records only such information about an individual as is relevant and necessary to accomplish a purpose of the Department required to be accomplished by statute or by executive order of the President;

(b) Collect information to the greatest extent practicable directly from the subject individual when the information may result in adverse determinations about an individual's rights, benefits, or privileges under Federal programs;

(c) Inform each individual whom it asks to supply information, on the form which it uses to collect the information or on a separate form that can be retained by the individual of:

(1) The authority (whether granted by statute, or by executive order of the President) which authorizes the solicitation of the information and whether disclosure of such information is mandatory or voluntary;

(2) The principal purpose or purposes for which the information is intended to be used;

(3) The routine uses, as published pursuant to (d)(4) of this subsection, which may be made of the information; and

(4) The effects, if any, on the individual of not providing all or any part of the requested information;

(d) Publish in the FEDERAL REGISTER at least annually a notice of the existence and character of the system of records, which notice shall include:

(1) The name and location of the system;

(2) The categories of individuals on whom records are maintained in the system;

(3) The categories of records maintained in the system;

(4) Each routine use of the records contained in the system, including the categories of users and the purpose of such use;

(5) The policies and practices regarding storage, retrievability, access controls, retention, and disposal of the records;

(6) The title and business address of the official who is responsible for the system of records;

(7) The procedures whereby an individual can be notified at his request if the system of records contains a record pertaining to him;

(8) The procedures whereby an individual can be notified at his request how he can gain access to any record pertaining to him contained in the system of records, and how he can contest its content; and

(9) The categories of sources of records in the system;

(e) Maintain all records which are used in making any determination about any individual with such accuracy, relevancy, timeliness, and completeness as is reasonably necessary to assure fairness to the individual in the determination;

(f) Prior to disseminating any record about an individual to any person other than an agency, unless the dissemination is made pursuant to section 10.33(a)(2), make reasonable efforts to assure that such records are accurate, complete, timely, and relevant for the Department's purposes;

(g) Maintain no record describing how any individual exercises rights guaranteed by the First Amendment unless—

(1) Expressly authorized by the General Counsel; and

(2) Expressly authorized by statute or by the individual about whom the record is maintained or unless pertinent to and within the scope of an authorized law enforcement activity;

(h) Make reasonable efforts to serve notice on an individual when any record on such individual is made available to any person under compulsory legal process when such process becomes a matter of public record.

§ 10.23 Accounting of disclosures.

Each operating administration and the Office of the Secretary, with respect to each system of records under its control, shall:

(a) Except for disclosures made under § 10.33(a) (1) or (2) of this part, keep an accurate accounting of:

(1) The date, nature, and purpose of each disclosure of a record to any person or to another agency made under § 10.33; and

(2) the name and address of the person or agency to whom the disclosure is made;

(b) Retain the accounting made under paragraph (a) of this section for at least five years or the life of the record, whichever is longer, after the disclosure for which the accounting is made;

(c) Except for disclosures made under § 10.33(a)(7) of this part, make the accounting made under paragraph (a)(1) of this section available to the individual named in the record at his request; and

(d) Inform any person or other agency about any correction or notation of dispute made by the agency in accordance with § 10.45 of any record that has been disclosed to the person or agency if an accounting of the disclosure was made.

§ 10.25 Mailing lists.

An individual's name and address shall not be sold or rented unless such action is specifically authorized by law. This provision shall not be construed to require the withholding of names and addresses otherwise permitted to be made public.

§ 10.27 Government contractors.

When the Department provides by a contract for the operation by or on behalf of the Department of a system of records to accomplish a function of the Department, the requirements of this part shall be applied to such system. For purposes of Subpart I, *Criminal Penalties*, any such contractor and any employee of the contractor, if the contract is agreed to on or after the effective date of this part, shall be considered, in accordance with section 3(m) of the Privacy Act, to be an employee of the Department.

§ 10.29 Social Security numbers.

(a) No individual shall be denied any right, benefit, or privilege provided by law because of such individual's refusal to disclose his Social Security account number.

(b) The provisions of paragraph (a) of this section shall not apply with respect to:

(1) Any disclosure which is required by Federal statute; or

(2) The disclosure of a Social Security number when such disclosure was required under statute or regulation adopted prior to January 1, 1975, to verify the identity of an individual.

(c) When an individual is requested to disclose his Social Security account number, that individual shall be informed whether that disclosure is mandatory or voluntary, by what statutory or other authority such number is solicited, what uses will be made of it, and what detriments, including delay in the location of records, will be incurred if the number is not provided.

Subpart D—Availability of Records

§ 10.31 Requests for records.

(a) Ordinarily, each person desiring to determine whether a record pertaining to him is contained in a system of records covered by this part or desiring access to a record covered by this part, or to obtain a copy of such a record, shall make his request in writing as provided in the pertinent appendix to this part. In exceptional cases oral requests will be accepted.

(b) Each request shall specify the name of the requesting individual and the system of records in which the subject record is located or thought to be located. If assistance is required to determine the system of records identification number assigned in the systems notices, such assistance may be obtained from the appropriate Privacy Act Officer or his Assistant. Refer to § 10.12 for procedures for requesting assistance.

§ 10.32 Acknowledgement and access.

(a) Requests by an individual to determine whether he is the subject of a record in a system of records, or requesting access to his record in a system of records, should be acknowledged within 10 working days, where the request is by mail. For requests in person, an immediate response will be given, either granting access or informing such individual when notification or access may be expected.

(b) If the response granting access or copies of the record is made within 10 working days, separate acknowledgement is not required.

(c) Although requests for access to a record will normally be in writing, e.g. by filing a written form or letter, it is the option of the individual to mail or present the request form in person.

§ 10.33 Conditions of disclosure.

(a) No record which is contained within a system of records within the Department shall be disclosed by any means of communication to any person, or to another agency, except pursuant to a written request by, or with the prior written consent of, the individual to whom the record pertains, unless disclosure of the record would be:

(1) To those officers and employees of the Department who have a need for the record in the performance of their duties;

(2) Required under Part 7 of this title which implements the Freedom of Information Act;

(3) For a routine use as defined in § 10.5 and described pursuant to § 10.21 (d)(4);

(4) To the Bureau of the Census for purposes of planning or carrying out a census or survey or related activity pursuant to the provisions of Title 13, United States Code;

(5) To a recipient who has provided the Department with advance adequate written assurance that the record will be used solely as a statistical research or reporting record, and the record is to be transferred in a form that is not individually identifiable;

(6) To the National Archives of the United States as a record which has sufficient historical or other value to warrant its continued preservation by the United States Government, or for evaluation by the Administrator of General Services or his designee to determine whether the record has such value;

(7) To another agency or to an instrumentality of any governmental jurisdiction within or under the control of the United States for a civil or criminal law enforcement activity if the activity is authorized by law, and if the head of the agency or instrumentality has made a written request to the agency which maintains the record specifying the particular portion desired and the law enforcement activity for which the record is sought;

(8) To a person pursuant to a showing of compelling circumstances affecting the health or safety of an individual if upon such disclosure notification is transmitted to the last known address of such individual;

(9) To either House of the Congress, or, to the extent of matters within its jurisdiction, any committee or subcommittee thereof, any joint committee of the Congress or subcommittee of any such joint committee;

(10) To the Comptroller General, or any of his authorized representatives, in the course of the performance of the duties of the General Accounting Office; or

(11) Pursuant to the order of a court of competent jurisdiction.

(b) Any individual requesting access to his record or to any information pertaining to him which is contained within a system of records within the Department shall have access to that record or information unless the system of records within which the record or information is contained is exempted from disclosure in accordance with Subpart G, provided, however, that nothing in this part shall be deemed to require that an individual be given access to any information compiled in reasonable anticipation of a civil action or proceeding. No exemption contained in Subpart G of Part 7 of the Regulations of the Office of the Secretary shall be relied upon to withhold from an individual any record which is otherwise accessible to such individual under the provisions of this part. Any individual who is given access to a record or information pertaining to him shall be permitted to have a person of his own choosing accompany him and to have a copy made of all or any portion of the record or information in a form comprehensible to him. When deemed appropriate, the individual may be required to furnish a written statement authorizing discussion of his record in the accompanying person's presence. If the release of a record containing medical information (including psychological information furnished by a physician) directly to the individual to whom the record pertains may, in the judgment of the cognizant medical office or a medical doctor and with the concurrence of the General Counsel, have an adverse effect on the health or well-being of the individual, the record will be released to the individual only through a physician designated by the individual and upon a written request by that physician for that record.

(c) Any person requesting access to records or to any information pertaining to other individuals shall not be granted such access unless he can show that he has obtained permission for such access from the individual to whom the record pertains, unless the request comes within one of the exceptions of § 10.33(a).

§ 10.35 Identification of individual making request.

No record or information contained in a system of records shall be disclosed to an individual nor shall any correction of a record in accordance with Subpart E be made at the request of an individual unless that individual demonstrates that he is who he claims to be. Normally, identity can be proven for individuals who appear in person by the presentation of an identifying document issued by recognized organization (e.g., a driver's license or a credit card) and which contains a means of verification such as a photograph or a signature. For requests by mail, the unique identifier used in the system should be included if known. Responses to mail requests will normally be sent only to the name and address listed in the system of records. In the case of particularly sensitive records, additional identification requirements may be imposed. In such cases, these additional requirements shall be listed in the public notice for the system and in the appropriate appendix to this part.

§ 10.37 Location of records.

Each record made available under this subpart is available for inspection and copying during regular working hours at the place where it is located or, upon reasonable notice, at the document inspection facilities of the Office of the Secretary or each administration as set forth in the appendix applicable to that office or administration. Original records may be copied but may not be released from custody. Upon payment of the appropriate fee, copies will be mailed to the requester.

Subpart E—Correction of Records

§ 10.41 Requests for correction of records.

Any person who desires to have a record pertaining to him corrected shall submit a written request detailing the correction and the reasons the record should be so corrected. Requests for correction of records shall be submitted in accordance with the procedures set forth in Appendices B through J to this part.

§ 10.43 Time limits.

Within ten days (excluding Satuday, Sunday, and legal holidays) of the receipt in accordance with § 10.41 of a request by an individual to amend a record pertaining to him, the receipt of the request shall be acknowledge in writing. If a determination is made to correct the record as requested, the correction shall be promptly made. If a determination is made not to correct a record the individual shall be informed promptly of his right to appeal in accordance with Subpart F. If an appeal of a refusal to correct a record is in accordance with Subpart F, a determination whether to correct the record shall be made within thirty days (excluding Saturday, Sunday and legal holidays) of the receipt of the appeal unless, for good cause shown, the head of the operating administration concerned, or in the case of the Office of the Secretary, the General Counsel, extends such period. Where an extension is taken, the party taking the appeal shall be promptly notified of such fact.

§ 10.45 Statement of disagreement.

If a determination is made not to amend a record, the requester shall be informed that he may file a concise statement setting forth the reasons for his disagreement with the refusal to amend. In any disclosure containing information about which an individual has filed such a statement of disagreement, the portions of the record which are disputed shall be noted clearly and copies of the statement of disagreement shall be provided. If the head of the operating administration concerned or his delegee, or in the case of the Office of the Secretary, the General Counsel or his delegee, deems it appropriate, copies of a concise statement of the reasons for not making the amendments requested may be provided along with the statement of disagreement.

Subpart F—Procedures for Reconsidering Decisions Not To Grant Access to or Amend Records

§ 10.51 General.

(a) Each officer or employee of the Department who, upon a request by a member of the public for a record under this part, makes a determination that access is not to be granted or who determines not to amend a record in a requested manner, shall give a written statement of the reasons for that determination to the person making the request and indicate the name and title or position of each person responsible for the denial of such request and the procedure for appeal within the Department.

(b) Any person:

(1) Who has been given a determination pursuant to paragraph (a) of this section, that access will not be granted; or

(2) Who has been informed that an amendment to a requested record will not be made;

may apply to the head of the operating administration concerned, or in the case of the Office of the Secretary, to the General Counsel of the Department, for reconsideration of the request. A determination that access will not be granted or a record amended is not administratively final for the purposes of judicial review unless it was made by the head of the operating administration concerned or his delegee, or the General Counsel or his delegee, as the case may be. Upon a determination that an appeal will be denied, the requester shall be informed in writing of the reasons for the determination, and the names and titles or positions of each person responsible for the determination, and that the determination may be appealed to the District Court of the United States in the district in which the complainant resides, or has his principal place of business, or in which the records are located, or in the District of Columbia.

(c) Each application for reconsideration must be made in writing and must include all information and arguments relied upon by the person making the request. It is recommended that such request be made within 180 days of the date of the initial denial; however exceptions to this time period will be considered in the event that a longer time is required for good reasons.

(d) Upon a determination that a request for the correction of a record will be denied, the requester shall be informed that he may file a concise statement in accordance with § 10.45.

(e) Each application for reconsideration must indicate that it is an appeal from a denial of a request made under the Privacy Act. The envelope in which the application is sent should be marked prominently with the words "Privacy Act". If these requirements are not met, the time limits described in § 10.43 will not begin to run until the application has been identified by an employee of the Department as an application under the Privacy Act and has been received by the appropriate office.

(f) The head of the operating administration concerned, or the General Counsel, as the case may be, may require the person making the request to furnish additional information, or proof of factual allegations, and may order other proceedings appropriate in the circumstances. The decision of the head of the operating administration concerned, or the General Counsel, as the case may be, as to the availability of the record or whether to amend the record is administratively final.

(g) The decision by the head of the operating administration concerned, or the General Counsel, as the case may be, not to disclose a record under this part is considered a determination for the purposes of section 552a(3)(g) of Title 5, United States Code.

(h) Any final decision by the head of an operating administration on his delegee identified in Appendices B through H of this part, not to grant access to or amend a record under this part, is subject to concurrence by the General Counsel or his delegee.

Subpart G—Exemptions

§ 10.61 General exemptions.

(a) The Assistant Secretary for Administration, with regard to the Investigations Division, the Federal Aviation Administration, with regard to the police functions of the National Capital Airport Police, the U.S. Coast Guard, with regard to the Intelligence and Security Division, and the Federal Railroad Administration, with regard to the Alaska Railroad Special Agents, may exempt from any part of the Act and this part, except subsections (b), (c) (1) and (2), (e)(4)(A) through (f), (e) (6), (7), (9), (10) and (11), and (i) of the Act, and implementing §§ 10.33, 10.23 (a) and (b), 10.21(d) (1) through (6), 10.81, 10.83, and 10.85, any system of records, or portion thereof, which it maintains which consists wholly of:

(1) Information compiled for the purpose of identifying individual criminal offenders and alleged offenders and consisting only of identifying data and notations of arrests, the nature and disposition of criminal charges, sentencing, confinement, release, and parole and probation status;

(2) Information compiled for the purpose of a criminal investigation, including reports of informants and investigators, and associated with an identifiable individual; or

(3) Reports identifiable to an individual compiled at any stage of the process of enforcement of the criminal laws from arrest or indictment through release from supervision.

(b) The requirements (including general notice) of sections 553(b) (1), (2) and (3), and (c) and (e) of title 5, United States Code, will be met by publication in Appendix A to this part, which must, at a minimum, specify:

(1) The name of the system; and

(2) The specific provisions of the Act from which the system is to be exempted and the reasons therefor.

(c) Any decision to exempt a system of records under this section is subject to concurrence by the General Counsel.

(d) Any person may petition the Secretary in accordance with the provisions of Part 5 of this Title, to institute a rulemaking proceeding for the amendment or repeal of any exemptions established under this section.

§ 10.63 Specific exemptions.

The Secretary or his delegee, in the case of the Office of the Secretary, or the Administrator or his delegee, in the case of an operating administration, may exempt any system of records which is maintained by the Office of the Secretary or the administration, as the case may be, from subsections (c)(3), (d),

(e)(1) and (4) (G), (H), and (I), and (f) of the Act and implementing §§ 10.23 (c); 10.33(b); 10.41; 10.43; 10.45; 10.21 (a) and 10.21(d) (6), (7) and (8) of this part, under the following conditions:

(a) The system of records must consist of:

(1) Records subject to the provisions of section 552(b)(1) of Title 5, United States Code;

(2) Investigatory material compiled for law enforcement purposes, other than material within the scope of paragraph (a)(2) of § 10.61: *Provided, however*, That if any individual is denied any right, privilege, or benefit to which he would otherwise be entitled by Federal law, or for which he would otherwise be eligible, as a result of the maintenance of such material, such material shall be provided to such individual, except to the extent that the disclosure of such material would reveal the identity of a source who furnished information to the Government under an express promise that the identity of the source would be held in confidence, or, prior to the effective date of this section, under an implied promise that the identity of the source would be held in confidence;

(3) Records maintained in connection with providing protective services to the President of the United States or other individuals pursuant to section 3056 of Title 18, United States Code;

(4) Records required by statute to be maintained and used solely as statistical records;

(5) Investigatory material compiled solely for the purpose of determining suitability, eligibility, or qualifications for Federal civilian employment, military service, Federal contracts, or access to classified information, but only to the extent that the disclosure of such material would reveal the identity of a source who furnished information to the Government under an express promise that the identity of the source would be held in confidence, or, prior to the effective date of this section, under an implied promise that the identity of the source would be held in confidence;

(6) Testing or examination material used solely to determine individual qualifications for appointment or promotion in the Federal service the disclosure of which would compromise the objectivity or fairness of the testing or examination process; or

(7) Evaluation material used to determine potential for promotion in the armed services, but only to the extent that the disclosure of such material would reveal the identity of a source who furnished information to the Government under an express promise that the identity of the source would be held in confidence, or, prior to the effective date of this section, under an implied promise that the identity of the source would be held in confidence.

(b) The requirements (including general notice) of sections 553(b) (1), (2) and (3), and (c) and (e) of title 5, United States Code, will be met by publication in Appendix A to this part, which must, at a minimum, specify:

(1) The name of the systems; and

(2) The specific provisions of the Act from which the system is to be exempted and the reasons therefor.

(c) Any decision to exempt a system of records under this section is subject to the concurrence of the General Counsel.

(d) Any person may petition the Secretary in accordance with the provisions of 49 CFR Part 5, to institute a rule making for the amendment or repeal of any exemptions established under this section.

Subpart H—Fees

§ 10.71 General.

This subpart prescribes fees for services performed for the public under this part by the Department.

§ 10.73 Payment of fees.

The fees prescribed in this subpart may be paid by check, draft, or postal money order payable to the Treasury of the United States.

§ 10.75 Fee schedule.

(a) Copies of documents by photocopy or similar method:

Each page not larger than 11 x 17 inches:	
First page	.25
Each additional page	.05
(b) Copies of documents by typewriter:	
Each page	2.00
(c) Certified copies of documents:	
(1) With Department of Transportation seal	3.00
(2) True copy, without seal	1.00
(d) Photographs:	
(1) Black and white print (from negative)	1.25
(2) Black and white print (from print)	3.15
(3) Color print (from negative)	3.50
(4) Color print (from print)	6.25
(e) Duplicate data tapes—each reel of tape or fraction thereof	36.00

The applicant must furnish the necessary number of blank magnetic tapes. The tapes must be compatible for use in the supplier's computer system, ½ inch wide and 2,400 feet long, and must be capable of recording data at a density of 556 or 800 characters per inch. Unless otherwise designated, the tapes will be recorded at 556 CPI density. The Department of Transportation is not responsible for damaged tape. However, if the applicant furnishes a replacement for a damaged tape, the duplication process is completed at no additional charge.

(f) Microreproduction fees are as follows:

(1) Microfilm copies, each 100 foot roll or less	3.75
(2) Microfiche copies, each standard size sheet (4" x 6" containing up to 65 frames)	.15
(g) Computerline printer output, each 1,000 lines or fraction thereof	1.00

§ 10.77 Services performed without charge.

(a) No fee is charged for time spent in searching for records or reviewing or preparing correspondence related to records subject to this part.

(b) No fee is charged for documents furnished in response to:

(1) A request from an employee or former employee of the Department for copies of personnel records of the employee;

(2) A request from a Member of Congress for his official use;

(3) A request from a State, territory, U.S. possession, county or municipal government, or an agency thereof;

(4) A request from a court that will serve as a substitute for the personal court appearance of an officer or employee of the Department;

(5) A request from a foreign government or an agency thereof, or an international organization.

(c) Documents will be furnished without charge or at a reduced charge, if the Assistant Secretary of Administration or the head of the operating administration concerned, as the case may be, determines that waiver or reduction of the fee is in the public interest, because furnishing the information can be considered as primarily benefiting the general public.

(d) When records are maintained in computer-readable form rather than human-readable form, one printed copy will be made available without charge.

Subpart I—Criminal Penalties

§ 10.81 Improper disclosure.

Any officer or employee of the Department who by virtue of his employment or official position, has possession of, or access to, agency records which contain individually identifiable information the disclosure of which is prohibited by this part and who knowing that disclosure of the specific material is so prohibited, willfully discloses the material in any manner to any person or agency not entitled to receive it, shall be guilty of a misdemeanor and fined not more than $5,000 in accordance with 5 U.S.C. 552a(i)(1).

§ 10.83 Improper maintenance of records.

Any officer or employee of the Department who willfully maintains a system of records without meeting the notice requirements of § 10.21(d) of this part shall be guilty of a misdemeanor and fined not more tha $5,000 in accordance with 5 U.S.C. 552a(i)(2).

§ 10.85 Wrongfully obtaining records.

Any person who knowingly and willfully requests or obtains any record concerning an individual from the Department under false pretenses shall be guilty of a misdemeanor and fined not more than $5,000 in accordance wiith 5 U.S.C. 552a(i)(3).

Appendix A—Exemptions

Part I. General exemptions.

Those portions of the following systems of records that consist of (a) information compiled for the purpose of identifying individual criminal offenders and alleged of-

fenders and consisting only of identifying data and notations of arrests, the nature and disposition of cirminal charges, sentencing, confinement, release, and parole and probation status; (b) information compiled for the purpose of a criminal investigation, including reports of informants and investigators, and associated with an identifiable individual; or (c) reports identifiable to an individual compiled at any stage of the process of enforcement of the criminal laws from arrest or indictment through release from supervision, are exempt from all parts of 5 U.S.C. 552(a) except subsections (b), (c) (1) and (2), (e)(4) (A) through (F), (e) (6), (7), (9), (10), and (11), and (i):

A. The Investigations Record System maintained by the Investigations Division, Office of Investigations and Security, Office of the Secretary.

B. Police Warrant Files and Central Files maintained by the Police Branches, Washington National and Dulles International Airports, Federal Aviation Administration.

C. Intelligence and Security Investigative Case Systems (DOT/CG 611), maintained by the Intelligence and Security Division, U.S. Coast Guard, at headquarters and district offices.

The purpose of these exemptions is to prevent the compromise or impairment of criminal investigations conducted by the Office of Investigations and Security, OST, the Airport Police Branches, and the Investigations and Security Division, USCG.

Part II. Specific exemptions. A. The following systems of records are exempt from subsection (c)(3) (Accounting of Certain Disclosures), (d) (Access to Records), (e)(4) (G), (H), and (I) (Agency Requirements), and (f) (Agency Rules) of 5 USC 552a, to the extent that they contain investigatory material compiled for law enforcement purposes, in accordance with 5 USC 552a(k)(2):

1. Investigative Record System maintained by the Federal Aviation Administration at FAA Regional and Center Air Transportation Security Divisions, the Investigations and Security Division, Aeronautical Center; and Office of Investgations and Security, FAA Headquarters, Washington, D.C.

2. FHWA Investigations Case File System, maintained by the Office of Program Review and Investigations, Federal Highway Administration.

3. FHWA Motor Carrier Safety Proposed Civil and Criminal Enforcement Cases, maintained by the Bureau of Motor Carrier Safety, Federal Highway Administration.

4. Recreational Boating and Law Enforcement Cases (DOT/CG 505), maintained by the Office of Boating Safety, U.S. Coast Guard.

5. Port Safety Reporting System—Individual Violation Histories (DOT/CG 561), maintained by the Office of Marine Environment and Systems, U.S. Coast Guard.

6. Marine Pollution Case Files (DOT/CG 583), maintained by the U.S. Coast Guard.

7. Merchant Vessel Casualty Reporting System (DOT/CG 590), maintained by the Office of Merchant Marine Safety, U.S. Coast Guard.

8. U.S. Merchant Seaman's Records (DOT/CG 589), maintained by the Office of Merchant Marine Safety, U.S. Coast Guard.

9. Intelligence and Security Investigative Case Systems (DOT/CG 611), maintained by the Office of Operations, U.S. Coast Guard.

10. Port Security Case System (DOT/CG 612), maintained by the Office of Operations, U.S. Coast Guard.

11. DOT/NHTSA Investigations of Alleged Misconduct or Conflict of Interest, maintained by the Associate Administrator for Administration, National Highway Traffic Safety Administration.

12. Investigations of Violations of Marine Safety Laws (DOT/CG 587), maintained by the Office of Merchant Marine Safety, U.S. Coast Guard.

13. Civil Aviation Security System (DOT/FAA 813), maintained by the Civil Aviation Security Service, Federal Aviation Administration.

The purpose of these exemptions is to protect investigatory materials compiled for law enforcement purposes. Disclosure of such material would hamper law enforcement by prematurely disclosing the knowledge of illegal activity and the evidentiary basis for possible enforcement actions.

B. The following systems of records are exempt from subsections (c)(3) (Accounting of Certain Disclosure), and (d) (Access to Records) of 5 U.S.C. 552a:

1. Alaska Railroad Security and Freight Claims Investigatory Files maintained by the Alaska Railroad, Federal Railroad Administration.

2. Administrative Action and Legal Enforcement System (DOT/FAA), maintained by the Chief Counsel, Federal Aviation Administration.

3. Investigations Record System, maintained by the Investigations Division, Office of Investgiations and Security, Office of the Secretary.

The purpose of these exemptions is to prevent the compromise or impairment of law enforcement investigations by altering individuals that they are the subject of investigation, and to prevent the disclosure of the identity or sources of information promised confidentiality, in accordance with 5 U.S.C. 552a(k)(2).

C. The system of records known as the Alaska Railroad Examination of Operating Personnel, maintained by the Alaska Railroad, Federal Railroad Administration, is exempt from the provisions of subsection (d) of 5 U.S.C. 552a. The release of these records would compromise their value as impartial measurement standards for appointment and promotion within the Federal Service.

D. Those portions of the following systems of records consisting of investigatory material compiled for the purpose of determining suitability, eligibility, or qualifications for Federal civilian employment, military service, or access to classified information or used to determine potential for promotion in the armed services, are exempt from sections (c)(3) (Accounting of Certain Disclosures), (d) (Access to Records), (e)(4) (G), (H), and (I) (Agency Requirements), and (f) (Agency Rules) of 5 USC 552a to the extent that disclosure of such material would reveal the identity of a source who provided information to the Government under an express or, prior to September 27, 1975, an implied promise of confidentiality (5 USC 552a(k) (5) and (7)):

1. Personnel Security Record Systems, maintained by the Investigations Division, Office of Investigations and Security, Office of the Secretary.

2. Intelligence and Security Investigative Case System (DOT/CG 611), maintained by the Office of Operations, U.S. Coast Guard.

3. Officer Selection and Appointment System (DOT/CG 625), maintained by the Office of Personnel, U.S. Coast Guard.

4. Official Officer Service Records (DOT/CG 626), maintained by the Office of Personnel, U.S. Coast Guard.

5. Enlisted Recruiting Selection Record System maintained by the Office of Personnel, U.S. Coast Guard.

6. Officer, Enlisted, and Recruiting Selection Test Files (DOT/CG 628), maintained by the Office of Personel, U.S. Coast Guard.

7. Enlisted Personnel Record System, (DOT/CG 629), maintained by the Office of Personnel, U.S. Coast Guard.

8. Coast Guard Personnel Security Program (DOT/CG 633), maintained by the Office of Personnel, U.S. Coast Guard.

9. Official Coast Guard Reserve Service Record System (DOT/CG 676), maintained by the Office of Reserve, U.S. Coast Guard.

10. Investigative Record System, maintained by the Federal Aviation Administration at FAA Regional and Center Air Transportation Security Divisions; the Investigations and Security Division, Aeronautical Center; and Office of Investigations and Security, Headquarters, Washington, D.C.

11. Military Training and Education Records (DOT/CG 622), maintained by the Office of Personnel, U.S. Coast Guard.

The purpose of these exemptions is to prevent disclosure of the identities of sources who provide information to the government concerning the suitability, eligibility, or qualifications of individuals for Federal civilian employment, contracts, access to classified information, or appointment or promotion in the armed services, and who are expressly or, prior to September 27, 1975, impliedly promised confidentiality (5 U.S.C. 552a(k) (5) and (7)).

The purpose of these exemptions is to prevent disclosure of the identities of sources who provide information to the government concerning the suitability, eligibility or qualifications of individuals for Federal civilian employment, contracts, access to classified information, or appointment or promotion in the armed services, and who are expressly or, prior to September 27, 1975, impliedly promised confidentiality (5 U.S.C. 552a(k) (5) and (7)).

E. Those portions of the following systems of records consisting of testing or examination material used solely to determine individual qualifications for appointment or promotion in the Federal Service are exempt from subsections (c)(3) (Accounting of Certain Disclosures), (d) (Access to Records), (e)(4) (G), (H) and (I) (Agency Requirements), and (f) (Agency Rules) of 5 U.S.C. 552a:

1. Officer, Enlisted and Recruiting Selection Test Files (DOT/CG 628), maintained by the Office of Personnel, U.S. Coast Guard.

2. Official Coast Guard Reserve Service Record System (DOT/CG 676), maintained by the Office of Reserve, U.S. Coast Guard.

3. Military Training and Education Records (DOT/CG 622), maintained by the Office of Personnel, U.S. Coast Guard.

The purpose of these exemptions is to preserve the value of these records as impartial measurement standards for appointment and promotion within the Federal service.

F. Those portions of the following systems of records which consist of information properly classified in the interest of national defense or foreign policy in accordance with 5 U.S.C. 552(b)(1) are exempt from sections (c)(3) (Accounting of Certain Disclosures), (d) (Access to Records), (e)(4) (G), (H) and (I) (Agency Requirements), and (f) (Agency Rules) of 5 U.S.C. 552:

1. Investigations Records System maintained by the Investigations Division, Office of Investigations and Security, Office of the Secretary.

2. Personnel Security Records System, maintained by the Office of Investigations and Security, Office of the Secretary.

3. Civil Aviation Security System (DOT/FAA 813), maintained by the Civil Aviation Security Service, Federal Aviation Administration.

The purpose of these exemptions is to prevent the disclosure of material authorized to be kept secret in the interest of national defense or foreign policy, accordance with 5 U.S.C. 552(b)(1) and 552a(k)(1).

G. Those portions of the following systems of records which consist of information properly classified in the interest of national defense or foreign policy in accordance with 5 U.S.C. 552a(b)(1) are exempt from subsections (c)(3) (Accounting of Certain Disclo-

sures) and (d) (Access to Records) of 5 U.S.C. 552a:

1. Investigative Records System (DOT/FAA 815), maintained by the Federal Aviation Administration at FAA Regional and Center Air Transportation Security Divisions; the Investigations and Security Division, Aeronautical Center; and Office of Investigations and Security, Headquarters, Washington, D.C.

The purpose of these exemptions is to prevent the disclosure of material authorized to be kept secret in the interest of national defense or foreign policy, in accordance with 5 U.S.C. 552(b)(1) and 552a(k)(1.

APPENDIX B

OFFICE OF THE SECRETARY

1. *Introduction.* This Appendix, with respect to the Office of the Secretary.

a. Describes the places and times at which records will be available for inspection and copying;

b. Indicates the systems of records maintained in the Office of the Secretary;

c. Identifies the officials having authority to deny requests for access to records;

d. Describes the procedures to be followed in requesting correction of a record; and

e. Describes identification requirements which may be in addition to those delineated in paragraph 10.35 of these regulations.

An individual requiring assistance, concerning this Appendix or systems maintained in the Office of the Secretary, may contact the Privacy Act Officer, Office of the Assistant Secretary for Administration, TAD-20, at 400 Seventh Street SW., Washington, D.C. 20590.

2. *Availability for Inspection and Copying.*

a. Records in systems maintained in the Office of the Secretary (OST) are available at 400 Seventh Street SW., Washington, D.C., except as follows:

(1) Records are maintained at 2100 Second Street SW., Washington, D.C., for the following systems:

(a) DOT/OST 011 Discrimination Complaint Investigative Files;

(b) DOT/OST 042 Technical Pipeline Safety Complaint File;

(c) DOT/OST 051 Applications for Employment with Office of Pipeline Safety Operations;

(d) DOT/OST 052 Informational Work Folders on Employees of the Office of Pipeline Safety Operations; and

(e) DOT/OST 053 Personnel Data Convenience File, Office of Hazardous Materials Operations.

(2) DOT/OST 013 Employee Management Files: Records for the employees at each location are located as delineated in the system notice.

(3) DOT/OST 015 Funds Management Records: Central records are maintained at 400 Seventh Street SW., Washington, D.C. Records for the applicable region are maintained in the office of each of the eleven Regional Emergency Transportation Coordinators, as delineated in the system notice.

(4) DOT/OST 022 National Defense Executive Reserve File: Complete records are maintained at 400 Seventh Street SW., Washington, D.C. Applicable records are maintained in a wide variety of field offices as delineated in the system notice.

(5) DOT/OST 027 Personnel Data Convenience Files: Records applicable to the Office of Transportation Security of the Office of the Assistant Secretary for Environment, Safety and Consumer Affairs are maintained at 800 Independence Avenue SW., Washington, D.C. All other records in the system are located at 400 Seventh Street SW., Washington, D.C.

(6) DOT/OST 028 Personnel Convenience Files: Employee records applicable to the Complaints Division of the Office of Civil Rights of the Office of the Secretary are located at 2100 Second Street SW., Washington, D.C. All other records are located at 400 Seventh Street SW., Washington, D.C.

(7) DOT/OST 043 Telephone Directory and Locator System: Records are stored on magnetic tape at 13 Massachusetts Avenue NW., Washington, D.C. However, access is controlled by the System Manager: Chief, Accounting Operations Center, Room 2228, 400 Seventh Street SW., Washington, D.C.

(8) DOT/OST 048 Transportation Research Activities Information System: Records are stored on magnetic tape at the Transportation Systems Center, Cambridge, Massachusetts. Individuals desiring access to their own personal data should contact the System Manager: R&D Information Officer, TST-25.1, Department of Transportation, 400 Seventh Street SW., Washington, D.C.

(9) DOT/OST 049 Transportation Research Information System: Records are maintained on magnetic tape at the Battelle Laboratories, Columbus, Ohio. Individuals desiring access to their own personal data should contact the System Manager: R&D Information Officer, TST-25.1, Department of Transportation, 400 Seventh Street SW., Washington, D.C.

(10) DOT/OST 050 Executive Team Cadre Listings: Records are maintained at 400 Seventh Street SW., Washington, D.C., and at the offices of the Regional Emergency Transportation Coordinators, as delineated in the system notice.

(11) Records are maintained at the Transportation Systems Center, Kendall Square, Cambridge, Massachusetts, for the following systems:

(a) DOT/TSC 700 Automated Management Information Systems;

(b) DOT/TSC 701 Employee Travel Records;

(c) DOT/TSC 702 Legal Counsel Information Files;

(d) DOT/TSC 703 Occupational Safety and Health Reporting System;

(e) DOT/TSC 704 Stand-by Personnel Information;

(f) DOT/TSC 705 Automated Personnel Skills Reporting System;

(g) DOT/TSC 706 Automated Planning System;

(h) DOT/TSC 707 Automated Manpower Distribution System;

(i) DOT/TSC 708 Combined Federal Campaign Information;

(j) DOT/TSC 709 Minority Information Files;

(k) DOT/TSC 710 Personnel Management Convenience Files;

(l) DOT/TSC 711 Blood Donor Information File;

(m) DOT/TSC 712 Automated Payroll/Personnel/Communications/Security System;

(n) DOT/TSC 713 Employee Payroll-Manpower Distribution System;

(o) DOT/TSC 714 Health Unit Employee Medical Records;

(p) DOT/TSC 715 Bi-Weekly Personnel Status Report.

b. Records are available for inspection and copying at the applicable locations delineated above between the hours of 9 A.M. and 5:30 P.M., except on Saturdays, Sundays, and holidays. Access to certain records may be restricted in accordance with exemptions applied and noticed in accordance with sections 3 (j) and (k) of the Privacy Act of 1974. Individuals desiring access to their own records should follow the instructions contained in the system notice published for each system of records. Requests for access shall be submitted to the System Manager having responsibility for the system in which the records are maintained, unless the system notice describing the system prescribes or permits submission to some other official or officials.

3. *Systems of Records.* A compilation of notices describing the systems of records maintained in the Department of Transportation is published annually in the FEDERAL REGISTER. The notices describing the systems maintained in the Office of the Secretary are published in a block within the overall compilation and each is identified by a three-digit number preceded by the acronym, OST; e.g., OST 013 (except those maintained at the Transportation Systems Center which uses the acronym, TSC; e.g., TSC 701). The basic compilation of system notices, for systems subject to the Privacy Act of 1974, which are maintained in the Office of the Secretary, was published in the FEDERAL REGISTER of August 27, 1975, on pages 38868-38886. An addendum to the compilation was published in the FEDERAL REGISTER of September 24, 1975, pages 44105-44109. The final notice adopting the aforementioned compilation was published in the FEDERAL REGISTER of November 19, 1975 on pages 53965-53991.

4. *Access to Records.* Requests for access to records shall be processed in accordance with the rules provided in section 10.33 of these regulations.

a. A request from an individual for access to a record pertaining to such individual shall be granted promptly unless:

(1) The record was compiled in reasonable anticipation of a civil action or proceeding; or

(2) The system of records is one which has been exempted from the notification and access provisions of the Privacy Act by rulemaking; or

(3) The record is not part of a system of records required to be noticed in accordance with the provisions of the Privacy Act and is not otherwise required to be made available by a law or regulation; or

(4) The System Manager or other cognizant official determines that the particular circumstances justify denial of access; or

(5) The information requested is contained in and is inseparable from another individual's record.

b. When information sought from a system of records in the Office of the Secretary includes information that has been furnished by an operating element of the Department or by another agency, as the case may be, the Systems Manager or other cognizant official shall consult the operating element or the other agency, as the case may be, before granting access. If referral of the request to the operating element or the other agency, as the case may be, will provide a more expeditious decision with resulting probable access, the request may be referred to the operating element or the other agency, as the case may be, but the requester shall be notified of the referral.

c. When a Systems Manager or other cognizant official in the Office of the Secretary determines that a request for access should be denied, the request and the reason(s) for the determination shall be referred to the Assistant Secretary for Administration for decision. In the event of a decision to deny access, the Office of the Assistant Secretary for Administration will notify the requester in writing in accordance with section 10.51 of these regulations.

5. *Requests to Correct or Alter Records.* An individual may request that a record pertaining to such individual be amended to correct any portion thereof which such individual believes is not accurate, relevant, timely, or complete.

a. A request to amend a record must:

(1) Be in writing and signed by the individual to whom the record pertains;

(2) Be clearly marked "Request for Amendment of Record";

(3) Contain a statement that the request is being made under the provisions of the Privacy Act of 1974;

(4) Contain the name and address of the individual making the request;

(5) Specify the name and location of the systems of records, as set forth in the system notice, in which the record is maintained;

(6) Specify the title and business address of the official designated in the "Contesting Record Procedures" paragraph of the applicable system notice;

(7) Specify the particular record in the system which the individual is seeking to amend;

(8) Clearly state the specific changes which the individual wishes to make in the record and a concise explanation of the reason for the changes. If the individual wishes to correct or add any information, the request shall contain specific language making the desired correction or addition; and

(9) Be addressed to or delivered in person to the office of the official designated in the "Contesting Records Procedures" paragraph of the applicable system notice. A request delivered to an office in person must be delivered during the regular office hours of that office.

6. *Personal Identification Requirements.* Generally, the identification rules in paragraph 10.35 of these regulations apply to systems maintained in the Office of the Secretary. In addition, specific requirements for some systems are delineated below:

a. The notarized signature of the requester is required for requests by mail for notification and access to records in the following systems:

(1) OST 001 General Employee Records System;

(2) OST 017 General Personnel Management Files; and

(3) OST 030 Personal Management Convenience Files.

(NOTE: An individual's social security number is an optional means of identification, which may be supplied in lieu of notarization. Social security numbers are used on these records as authorized under the provisions of Executive Order 9397, dated November 22, 1943.)

b. The notarized signature of the requester is required for requests by mail for notification and access to records in the following systems:

(1) OST 006 Confidential Statement of Employment and Financial Interests; and

(2) OST 037 Records of Confirmation Proceeding Requirements.

c. The number of the Federal Emergency Assignee Identification Card (SF 138) issued to the requester is required for requests by mail for notification and access to records in the following systems:

(1) OST 022 National Defense Executive Reserve File;

(2) OST 036 Planning Officials for Emergency Functions; and

(3) OST 050 Executive Team Cadre Listings.

d. The name of the applicable advisory committee is required for notification and access to records in the following system: OST 008 Departmental Advisory Committee Files.

APPENDIX C

UNITED STATES COAST GUARD

1. *Introduction.* This Appendix supplements the procedures set forth for the Department of Transportation in Subparts A through I of 49 CFR Part 10.

2. *Availability for Inspection and Copying.*

a. Individuals who wish the Coast Guard to screen its systems of records covered by this part to determine whether a system contains information about them, or who wish to gain access to records which contains information about them, should address written requests either to the appropriate system manager as listed in the annual description of Coast Guard record systems appearing in the FEDERAL REGISTER or to: Commandant (G-CMA), U.S. Coast Guard Headquarters, 400 Seventh Street SW., Washington, D.C. 20590. Requests may also be delivered in person to the above address between 7:30 A.M. and 4 P.M. (Monday through Friday, excluding holidays). Requests for notification of the existence of, or access to, a record must specifically identify the system of records involved.

b. The Coast Guard will acknowledge requests for notification of the existence of a record within ten working days (excluding Saturdays, Sundays and holidays) after receipt of a completed request. The Coast Guard will make every effort within 30 days of the receipt of a request for access to a record to:

(1) make the record available;

(2) notify the requester of the need for additional information; or

(3) notify the requester of any denial, either in whole or in part, of access to a record. Para. 3 of this Appendix sets forth the addresses of Coast Guard district offices and headquarters units where records in decentralized systems may be located.

3. *Systems of Records.* The annual notice of systems of records required by 5 U.S.C. 552a(e)(4) with respect to records maintained by the Coast Guard is set forth in the FEDERAL REGISTER. Assistance may be requested in exercising his rights by an individual addressing the Privacy Act Coordinator at one of the following U.S. Coast Guard offices nearest his residence:

a. Commander, 1st Coast Guard District, 150 Causeway Street, Boston, MA 02114.

b. Commander, 2nd Coast Guard District, Federal Building, 1520 Market Street, St. Louis, MO 63013.

c. Commander, 3rd Coast Guard District, Governors Island, New York, NY 10004.

d. Commander, 5th Coast Guard District, Federal Building, 431 Crawford Street, Portsmouth, VA 23705.

e. Commander, 7th Coast Guard District, Federal Building, 51 S.W. 1st Avenue, Miami, FL 33130.

f. Commander, 8th Coast Guard District, Customhouse, New Orleans, LA 70130.

g. Commander, 9th Coast Guard District, 1240 East 9th Street, Cleveland, OH 44199.

h. Commander, 11th Coast Guard District, Heartwell Building, 19 Pine Avenue, Long Beach, CA 90802.

i. Commander, 12th Coast Guard District, 630 Sansome Street, San Francisco, CA 94126.

j. Commander, 13th Coast Guard District, Federal Building, 915 Second Avenue, Seattle, WA 98174.

k. Commander, 14th Coast Guard District, P.O. Box 48, FPO San Francisco 96610.

l. Commander, 17th Coast Guard District, FPO Seattle 98771.

m. Superintendent, U.S. Coast Guard Academy, New London, CT 06320.

n. Commanding Officer, U.S. Coast Guard Yard, Curtis Bay, Baltimore, MD 21225.

o. Commanding Officer, U.S. Coast Guard Training Center, Governors Island, New York, NY 10004.

p. Commanding Officer, U.S. Coast Guard Training Center, Cape May, NJ 08204.

q. Commanding Officer, U.S. Coast Guard Training Center, Government Island, Alameda, CA 94501.

r. Commanding Officer, U.S. Coast Guard Supply Center, 830 3rd Avenue, Brooklyn, NY 11232.

s. Commanding Officer, U.S. Coast Guard Institute, P.O. Substation 18, Oklahoma City, OK 73169.

t. Commanding Officer, U.S. Coast Guard Aircraft Repair & Supply Center, Elizabeth City, NC 27909.

u. Commanding Officer, U.S. Coast Guard Aviation Training Center, Mobile AL 36608.

v. Commanding Officer, U.S. Coast Guard Radio Station (NMH), 7223 Telegraph Road, Alexandria, VA 22310.

w. Commanding Officer, U.S. Coast Guard Reserve Training Center, Yorktown, VA 23490.

x. Officer in Charge, Record Depot, U.S. Coast Guard Air Station, Elizabeth City, NC 27909.

y. Commander, Coast Guard Activities, Europe, London, Box 50, FPO New York 09510.

z. Commanding Officer, U.S. Coast Guard Training Center, Petaluma, CA 94952.

aa. Commander, U.S. Coast Guard Air Base, Elizabeth City, NC 27909.

bb. Commanding Officer, U.S. Coast Guard Electronics, Engineering Center, Wildwood, NJ 08260.

cc. Commanding Officer, Research & Development Center, Avery Point, Groton, CT 06340.

dd. Commanding Officer, U.S. Coast Guard Oceanographic Unit, Building 159E, Navy Yard Annex, Washington, D.C. 20590.

4. *Access to Records.*

a. The Coast Guard will honor only those requests for notification, access, or amendment made by:

(1) the individudal to whom the record pertains;

(2) the parent or guardian of a minor to whom the record pertains;

(3) the legal guardian of a person to whom the record pertains when that person has been declared incompetent by reason of physical or mental disability by a court having jurisdiction of the matter; or

(4) the executor or personal representative of the estate of a deceased person to whom the record pertains.

If made by a person other than the individual to whom the record pertains, the request must specify the relationship of the requester to that individual.

b. Written requests for information or amendment must be signed by the requester. Requests for access to records containing sensitive or detailed personnel information (including, but not limited to, earnings and tax statements, employee payroll records, employee grievance and appeal files, civilian, officer, and enlisted personnel files, and health and medical records (subject to the conditions of 49 CFR 10.33(b)) may be required to contain the following notarized certification:

I, ----------, do hereby certify that I am the individual to whom the record in question pertains.

(or)

I am the parent or guardian of the minor to whom the record in question pertains.

(or)

I am the legal guardian of the individual determined by a court to be incompetent to whom the record in question pertains.

(or)

I am the executor or personal representative of the estate of the deceased individual to whom the record in questions pertains.

Notarization

(Signature)

(Date)

5. *Requests to Correct or Alter Records.* The official responsible for administering a system of records, in consultation as appropriate with the System Manager, Privacy Act

Coordinator, and Privacy Act Officer, will initially determine whether to grant requests under 49 CFR § 10.41 to amend records. Requests must be made as provided in paragraph 2a. of this Appendix. Requests for amendment must contain a complete description of the item sought to be changed and documentation to substantiate the grounds for the requested change. The Coast Guard will acknowledge the receipt of completed requests for amendment within ten working days. As promptly as possible the Coast Guard will advise the requester whether or not the change has been made, and in cases where a change has been made, transmit a copy of the amended record to the requester.

a. When a request for access to a record or amendment of a record is denied, in whole or in part, the manager of the system of records involved will notify the requester in writing, of the reasons for the denial and furnish him a statement of the name and position or title of each person responsible for the denial. The requester will also be advised of the right to file a written appeal of the adverse decision within 180 days of receipt of the initial denial. Appeals must be addressed to: Commandant (G-CMA), U.S. Coast Guard Headquarters, 400 Seventh Street SW., Washington, D.C. 20590.

b. Within 30 working days of the receipt of a written appeal, the Commandant or his designee will make an independent review of the record and decide whether or not to make the requested disclosure or amendment. If the appeal is granted, the Coast Guard will promptly notify the requester of the decision in writing and transmit to him a copy of the record or amended record. If the appeal is denied, the Coast Guard will promptly notify the requester, in writing, of the reasons for the denial and furnish him a statement of the name and position or title of each person responsible for the denial. The Coast Guard will also inform the requester of his right to seek judicial review of the adverse decision pursuant to 5 U.S.C. 552a(g)(1).

c. In addition to appealing an adverse determination of a request to amend a record, the requester may file a concise "statement of disagreement" setting forth the reasons for disagreeing with the refusal of the Coast Guard to amend the record. The notification by the Coast Guard of the denial of an initial request for amendment or the denial of an administrative appeal for an amendment informs the requester of his right to file a statement of disagreement.

d. The Commandant of the Coast Guard, by Commandant Instruction 5212.11 (26 September 1975), has redelegated to the Chief of Staff his authority under 49 CFR Part 10 to:

(1) exempt systems of records from certain provisions of the Privacy Act;

(2) make final administrative determinations not to disclose or amend a record; and

(3) issue extensions of review time.

This authority may not be redelegated further.

6. *Personal Identification Requirements.*

a. When an individual requests notification, access, or amendment in person, he must show identification such as a driver's license, military or employment identification card, credit card, or medicare card. An individual requesting in person information about or amendment to a "sensitive" record may be required to complete the notarized certification set forth in paragraph 4.b. of this Appendix.

b. The Coast Guard may require more specific information in order to establish the identity of a requester. For example, the Coast Guard may require that a legal guardian or personal representative produce certified copies of court documents appointing him to his position. The Coast Guard may independently verify the identity of a requester by telephone contact with him, personal identification by Coast Guard employees who may know him, or any other lawful means considered appropriate under the circumstances.

c. An individual may be accompanied by a person of his choosing while reviewing records to which he has been granted access, provided that he signs a statement authorizing the person accompanying him to do so. An individual granted access to a record may be required to sign and notarize a statement authorizing the Coast Guard to release the record to a person (e.g., his attorney) designated by him.

d. No request for information or amendment will be considered complete until all necessary identification material has been provided. No copies of records will be provided to individuals until they pay appropriate fees as set forth in 49 CFR Subpart H.

APPENDIX D

FEDERAL AVIATION ADMINISTRATION

1. *Introduction.* This Appendix:

a. Describes the procedures for determining if an individual is the subject of a record maintained by the Federal Aviation Administration;

b. Describes the availability of records and prescribes requirements for identifying an individual who requests a record pertaining to him;

c. Prescribes procedures for granting access to an individual upon his request for the record pertaining to him;

d. Prescribes procedures for reviewing a request from an individual concerning the amendment of any record pertaining to him;

e. Prescribes procedures for making a determination on the request for an individual for amendment of any record pertaining to him; and

f. Prescribes procedures for an appeal within the FAA of a determination not to grant access to or amendment of records.

2. *Availability for Inspection and Copying.* Records may be inspected at the FAA facility identified for the particular system of records appearing in the systems notices published in the FEDERAL REGISTER. These facilities are open to the public during regular business hours, except Saturdays, Sundays, and Federal holidays.

3. *Systems of Records.*

a. A list of FAA systems of records pertaining to the Privacy Act indicating the geographic locations and the responsible systems managers has been published in the FEDERAL REGISTER and appears as follows:

(1) August 27, 1975 on pages 38829–38839;

(2) November 19, 1975 on pages 53967–53978; and

(3) March 1, 1976 on pages 8820–8821.

b. Any individual who is unable to determine in which FAA-maintained system the records pertaining to him may be found, may submit a written request for assistance to the attention of the Privacy Act Coordinator located at the region or center nearest to his residence. The addresses for the regional and center facilities of the FAA are listed below:

(1) FAA Headquarters, 800 Independence Avenue SW., Washington, D.C. 20591;

(2) Alaskan Region, 632 Sixth Avenue, Anchorage, AK 99501;

(3) Central Region, 601 East 12th Street, Kansas City, MO 64106;

(4) Southern Region, 3400 Whipple Street, East Point, GA (Mailing address: P.O. Box 20636, Atlanta, GA 30320);

(5) Southwest Region, 4400 Blue Mound Road (Mailing address: P.O. Box 1689, Fort Worth, TX 76101);

(6) Western Region, 5651 West Manchester Avenue (Mailing address: P.O. Box 92007, Los Angeles, CA 90009);

(7) Eastern Region, Federal Building, JFK International Airport, Jamaica, NY 11430;

(8) Pacific Region, 1833 Kalakaua Avenue (Mailing address: P.O. Box 4009, Honolulu, HI 96813);

(9) Aeronautical Center, 6400 South MacArthur Boulevard (Mailing address: P.O. Box 25082, Oklahoma City, OK 73125);

(10) National Aviation Facilities Experimental Center, Tilton Road, Route 563 (near Pomona, NJ), (Mailing address: Atlantic City, NJ 08405);

(11) New England Region, 12 New England Executive Park, Burlington, MA 01803;

(12) Great Lakes Region, 2300 East Devon, Des Plaines, IL 60018;

(13) Rocky Mountain Region, Park Hill Station, P.O. Box 7213, Denver, CO 80297; and

(14) Northwest Region, FAA Building, 9010 East Marginal Way South, King County International Airport (Boeing Field), Seattle, WA 98108.

4. *Access to Records.*

a. Any individual desiring to inspect a record or obtain a copy thereof to determine if it contains information pertaining to him, must present a written request in accordance with the provisions of this section. An individual making a request under this section, may either appear in person or submit his request by mail to the address and attention of the system manager as it appears in the list of systems of records published in the FEDERAL REGISTER. The individual must first determine in which system of records he believes the particular records pertaining to him are maintained by examining the categories of records and routine uses in the list of the systems of records published in the FEDERAL REGISTER and available at the addresses set forth in paragraph 3.b. of this Appendix.

b. The individual must include in his request the information necessary to identify the specific system of records as it appears in the list of the systems of records of the FAA published in the FEDERAL REGISTER.

c. Upon request by any individual to gain access to an FAA record that contains information pertaining to him, the FAA official responsible for the record informs the individual whether or not the requested record is exempt under subpart G of this part.

d. An individual making a request under this section is permitted to inspect and have a copy made of any record pertaining to him in a form comprehensible to him, if—

(1) He has identified himself in accordance with section 6 of this Appendix;

(2) The record is not exempt under subpart G of this part; and

(3) The record was not compiled in reasonable anticipation of a civil action or proceeding.

e. Notwithstanding paragraph d of this section, if an individual requesting a record pertaining to him is accompanied by a person of his own choosing to review the record, the individual making the request must submit a signed statement authorizing the discussion of the record in the presence of the person accompanying him.

f. Each request for a record determined to be releasable under paragraph d of this section is complied with within ten days, excluding Saturdays, Sundays, and Federal holidays. If additional time is necessary to make the requested record comprehensible to the individual requesting it, the FAA official responsible for the record notifies the individual within ten days of the request of the estimated time required to fill the request.

g. Any individual whose request for access to a record pertaining to him is denied, may file a written appeal to the Administrator, Federal Aviation Administration, 800 Independence Avenue SW., Washington, D.C. 20591. Appeals submitted under this section must comply with the requirements of § 10.51 of this part.

5. *Requests to Correct or Alter Records.*

a. Any individual may request an amendment of any record pertaining to him maintained by the FAA in a system of records, by submitting the request in writing to the attention of the FAA official responsible for the record at the address appearing in the list of systems of records published in the FEDERAL REGISTER.

b. Each request for an amendment of a record must be accompanied by a written explanation as to why the individual believes the present record is not accurate, timely, relevant, or complete. The individual making the request may submit any documentation he wishes in support of his request.

c. Upon receipt of a request for an amendment of a record, the FAA official responsible for the record reviews the record and supporting documentation and determines if there is a sufficient basis upon which to make a decision to make the requested amendment.

d. If the FAA official responsible for the record determines under paragraph c of this section that additional information is necessary before a deecision can be made as to whether to make the requested amendment, he notifies the individual making the request to amend specifying what additional information is necessary.

e. If the FAA official responsible for the record determines that the requested amendment should be made, he amends the record, notifies the individual making the request, and sends to that individual a copy of the corrected record, as well as to other persons or agencies to whom the record may have been disclosed (See 49 CFR 10.23(d)).

f. If the FAA official responsible for the record determines that the requested amendment should be denied, he forwards to his supervisor the request for amendment with all supporting documentation and his reasons for the denial.

g. If the supervisor determines that the requested amendment should be made, he follows the procedures in paragraph e of this section.

h. If the supervisor determines that the requested amendment should be denied, he notifies the individual making the request of the denial, the reasons therefor, and informs the individual of the FAA procedures for appealing the denial.

i. Any individual whose request for correction or alteration of a record pertaining to him is denied, may file a written appeal to the Administrator, Federal Aviation Administration, 800 Independence Avenue SW., Washington, D.C. 20591. Appeals submitted under this section must comply with the requirements of § 10.51 of this part.

6. *Personal Identification Requirements.*

a. Each individual making a request under this Appendix for a record pertaining to him, must present appropriate identification before the record he has requested will be released. Types of appropiate identification include—

(1) For requests made in person, a driver's license or employee identification card; and

(2) For requests made by mail, name (printed or typed, and signature) and date or birth, or the unique identifier used in the system of records.

(b) If an individual cannot provide appropriate identification as required in paragraph 6.a. of this Appendix, or if the record requested is particularly sensitive, the FAA official responsible for the record may require him to sign a statement certifying his identity and his understanding that to knowingly and willfully request or obtain any record concerning an individual from the FAA under false pretenses is a misdemeanor punishable by a fine of up to $5,000 as provided in 5 U.S.C. 52a(i)(3).

APPENDIX E

FEDERAL HIGHWAY ADMINISTRATION

1. *Introduction.* This Appendix, with respect to the Federal Highway Administration:

a. Describes the places and times at which records will be available for inspection and copying;

b. Indicates the systems of records maintained in the Federal Highway Administration;

c. Identifies the officials having authority to deny requests for access to records;

d. Describes the procedures to be followed in requesting correction of a record; and

e. Describes identification requirements which may be in addition to those delineated in paragraph 10.35 of these regulations.

2. *Availability for Inspection and Copy.* Places and times at which records will be available for inspection and copying, and the system of records and systems managers having authority at each place.

a. *Headquarters:* The Federal Highway Administration, 400 7th Street SW., Washington, D.C. 20590. Time: 7:45 a.m.–4:15 p.m.

Systems of Records and Responsible Systems Managers:

1. Accounts Receivable DOT/FHWA–Chief General Ledger and Funds Control Section.

2. Applications for U.S. Government Motor Vehicle Operator's Identification Card (U.S. Government Drivers License) DOT/FHWA—Occupational Safety Engineer.

3. Driver Waiver File (BMCS) DOT/FHWA—Chief, Driver Requirements Branch.

4. Employee Utilization Monthly Report DOT/FHWA—Chief, Data Systems Division.

5. Investigation Case File DOT/FHWA–Chief, Investigations and Special Inquiry Division.

6. Medal of Honor File DOT/FHWA—Chief, Compliance Division.

7. Memorandum of Monthly Performance of Key Punch Operators DOT/FHWA—Chief, TCC Operation (Groups A and B).

8. Motor Carrier Accident File (BMCS) Property and Passenger—Chief, Accident Analysis Branch.

9. Occupational Safety and Health Accident Reporting System DOT/FHWA—Occupational Safety Engineer.

10. Payroll Administration DOT/FHWA—Chief, Payroll and Employee Records Section.

11. Personnel Records—(CSC Government-wide) DOT/FHWA Chief, Personnel Programs Division.

12. Travel Advance File DOT/FHWA—Chief, Voucher Review and Disbursement Section.

13. Travel Order (Change of Duty Station) DOT/FHWA—Chief, Review and Disbursement Section.

14. University and Industry Programs Coding and Filing Systems DOT/FHWA—Administrative Assistant—National Highway Institute.

b. *Regional Offices:* Region 1—Federal Highway Administration, Leo N. O'Brien Federal Building, Albany, New York 12207. Time: 8:00 a.m.–4:30 p.m.

Systems of Records:

1. Applications for U.S. Government Motor Vehicle Operator's Identification Card (U.S. Government Drivers License)—DOT/FHWA–Executive Officer.

2. Motor Carrier Safety Proposed Civil and Criminal Enforcement Cases for DOT/FHWA—Chief, Regional Counsel.

3. Travel Advance File—Executive Officer DOT/FHWA, Region 3—Federal Highway Administration, George H. Fallon, Federal Office Building, 31 Hopkins Plaza, Baltimore, Maryland 21201. Time: 8:00 a.m.–4:30 p.m.

Systems of Records and Responsibile Systems Managers:

1. Applications for U.S. Government Motor Vehicle Operator's Identification Card (U.S. Government Drivers License) DOT/FHWA—Executive Officer.

2. Motor Carrier Safety Proposed Civil and Criminal Enforcement Cases—DOT/FHWA—Regional Counsel.

3. Travel Advance File—DOT/FHWA—Executive Officer, Region 4—Federal Highway Administration, 1720 Peachtree Road, NW., Atlanta, Georgia 30309. Time 7:45 a.m.—4:15 p.m.

Systems or Records and Responsible Systems Managers:

1. Application for U.S. Government Motor Vehicle Operator's Identification Card (U.S. Government Drivers License) DOT/FHWA—Executive Officer.

2. Motor Carrier Safety Proposed Civil and Criminal Enforcement Cases—DOT/FHWA—Regional Counsel.

3. Travel Advance File—DOT/FHWA—Executive Officer. Region 5—Federal Highway Administration, 18209 Dixie Highway, Homewood, Illinois 60430. Time: 8:50 a.m.–4:30 p.m.

Systems of Records and Responsible Systems Managers:

1. Applications for U.S. Government Motor Vehicle Operator's Identification Card (U.S. Government Drivers License) DOT/FHWA—Executive Officer.

2. Motor Carrier Safety Proposed Civil and Criminal Enforcement Cases—DOT/FHWA—Regional Counsel.

3. Travel Advance File—DOT/FHWA—Executive Officer. Region 6—Federal Highway Administration, 819 Taylor Street, Fort Worth, Texas 76102. Time: 8:00 a.m.–4:30 p.m.

Systems of Records and Responsible Systems Managers:

1. Applications for U.S. Government Motor Vehicle Operator's Identification Card (U.S. Government Drivers License) DOT/FHWA—Executive Officer.

2. Motor Carrier Safety Proposed Civil and Criminal Enforcement Cases—DOT/FHWA—Regional Counsel.

3. Travel Advance File—DOT/FHWA—Executive Officer. Region 7——Federal Highway Administration, 6301 Rockhill Road, Kansas City, Missouri 64131. Time: 7:45 a.m.–4:15 p.m.

Systems of Records and Responsible Systems Managers:

1. Application for U.S. Government Motor Vehicle Operator's Identification Card (U.S. Government Drivers License) DOT/FHWA—Executive Officer.

2. Motor Carrier Safety Proposed Civil and Criminal Enforcement Cases—DOT/FHWA—Regional Counsel.

3. Travel Advance File—DOT/FHWA—Executive Officer. Region 8—Federal Highway Administration, P.O. Box 25246, Denver Federal Records Center, Denver, Colorado 80225. Time: 7:45 a.m.–4:15 p.m.

Systems of Records and Responsible Systems Managers:

1. Applications for U.S. Government Motors Vehicle Operator's Identification Card (U.S. Government Drivers License) DOT/FHWA—Executive Officer.

2. Motor Carrier Safety Proposed Civil and Criminal Enforcement Cases—DOT/FHWA—Regional Chief Counsel.

3. Payroll Administration DOT/FHWA—Appropriate Finance Officer.

4. Travel Advance File—DOT/FHWA—Executive Officer. Region 9—Federal Highway Administration, 2 Embarcadero Center, P.O.

Box 7614, San Francisco, California 94120. Time: 7:45 a.m.–4:15 p.m.

Systems of Records and Responsible Systems Managers:

1. Applications for U.S. Government Motor Vehicle Operator's Identification Card (U.S. Government Drivers License)—DOT/FHWA—Executive Officer.

2. Motor Carrier Safety Proposed Civil and Criminal Enforcement Cases—DOT/FHWA—Regional Chief Counsel.

3. Travel Advance File DOT/FHWA—Executive Officer. Region 10—Federal Highway Administration, 222 SW. Morrison Street, Portland, Oregon 97204. Time: 8:00 a.m.–4:45 p.m.

Systems of Records and Responsible Systems Managers:

1. Applications for U.S. Government Motor Vehicle Operator's Identification Card (U.S. Government Drivers License)—DOT/FHWA—Executive Officer.

2. Driver Accident Cross-Reference File (BMCS) DOT/FHWA—Regional Director.

3. Motor Carrier Safety Proposed Civil and Criminal Enforcement Cases—DOT/FHWA—Regional Chief Counsel.

4. Payroll Administration DOT/FHWA—Appropriate Finance Officer.

5. Travel Advance File—Executive Officer. Region 15—Federal Highway Administration, 1000 North Glebe Road, Arlington, Virginia 22201. Time: 7:45 a.m.–4:15 p.m.

Systems of Records and Responsible Systems Manager: Applications for U.S. Government Motor Vehicle Operator's Identification Card (U.S. Government Drivers License) DOT/FHWA—Executive Officer.

c. *Places:* Division Offices (For location see 49 CFR Part 7). Time: See 49 CFR Part 7 for Hours of Duty.

Systems of Records and Responsible Systems Managers: Applications for U.S. Government Motor Vehicle Operator's Identification Card (U.S. Government Drivers License) DOT/FHWA—Executive Officers.

3. *Access to Records:*

a. Each individual desiring to determine whether a record pertaining to him is contained in a system of records, or desiring access to such record, or to obtain a copy of such record, shall make his request to the appropriate System Manager. In appropriate circumstances, oral requests will be acted upon. Each request must specify the name of the requesting must specify the name of the the requesting individual and the system of records in which the subject record is located or thought to be located.

4. *Requests to Correct or Alter Records:*

a. The FHWA will allow individuals to request amendment of their personal records to the extent that such amendment does not violate existing statutes, regulations, or administrative procedures. Requests to amend personnel records of active employees should be addressed to the responsible system managers specified in A(1)–(3).

b. The System Managers will provide a written acknowledgment of the receipt of a request to amend a record to the individual within 10 days. However, if the request can be reviewed, processed and the individual notified of compliance or denial within the 10-day working period, no acknowledgment is required.

c. If the System Manager agrees with an individual's request to amend the person's record, the following steps will be taken:

1. Correct the record accordingly,

2. Advise the individual in writing, and

3. If an accounting of disclosure has been made, advise all previous recipients of the record of the fact that the correction was made and the substance of the correction.

d. If the System Manager disagrees with all or any portion of the request to amend a record, he will:

1. Proceed as described above with respect to those portions of the record which will be amended.

2. Advise the individual of the refusal and the reason for not amending a record, indicating the name and title or position of each person responsible for the denial, and

3. Inform the individual that he may appeal the decision not to amend a record to:

Associate Administrator for Administration, Federal Highway Administration, Washington, D.C. 20590.

e. If after this review the FHWA refuses to amend the record on the individual requested, the FHWA will advise the individual:

1. Of the refusal and the reason for it,

2. Of the individual's right to file a concise statement of the reasons for disagreeing with the decision of the FHWA,

3. The procedures for filing the statement of disagreement,

4. That the statement which is filed will be made available to anyone to whom the record is subsequently disclosed, and

5. Of the individual's right to seek judicial review of the FHWA's refusal to amend a record.

f. The final FHWA determination on the individual's request must be concluded within 30 days of the receipt of the appeal unless for good cause shown, the Associate Administrator for Administration extends such period. Such final administrative decision must address all information and arguments relied upon by the individual.

g. Each applicant for reconsideration must indicate that it is an appeal from a denial of a request made under the Privacy Act. The envelope in which the application is sent should be marked prominently with the words "Privacy Act".

If these requirements are not met, the time limits described in Chapter 4, paragraph 2 will not begin until the application has been identified by an employee of the FHWA as an application under the Privacy Act and has been received by the appropriate office.

h. The Associate Administrator for Administration may require the person making a request to furnish additional information, or proof of factual allegations, and may order other appropriate proceedings. His decision as to the availability of a record or whether to amend a record will be administratively final subject to the concurrence of the DOT General Counsel or his delegate. However, requests for reconsideration of denials of information contained in Government-wide systems of personnel records, which includes the FHWA Personnel Records System, will be handled by the Civil Service Commission in accordance with 5 CFR 294.108 and 5 CFR 297.108.

i. If the Associate Administrator for Administration also refuses to grant access or to correct the individual's record, he shall advise the individual of:

1. the reason(s) for the refusal, the names, and titles or positions of each person responsible for the denial.

2. the individual's right to file a statement of disagreement; that the statement will be made available to anyone to whom the record is subsequently disclosed, and to prior recipients of the record (see Section 10.23(d) of the Rules), and

3. the right to bring suit in the District Court of the United States in the district in which the individual resides, the district in which his principal place of business is located, the district in which the record(s) is located, or the District of Columbia.

5. *Personal Identification Requirements:*

a. When a record is applied for in person, the person so applying must, unless personally known to the custodian of the records, furnish adequate identification. Such identification may consist of an employee identification card, Medicare Card, driver's license, or a similar document.

b. When a record is applied for by mail, sufficient information to identify the individual must be furnished, and a notarized statement as to the identity of the requestor may be required in some instances when the record involved contains particularly sensitive information in the judgment of the systems manager.

APPENDIX F

FEDERAL RAILROAD ADMINISTRATION

1. *Introduction.* This Appendix, with respect to the Federal Railroad Administration.

a. Describes the places and times at which records will be available for inspection and copying;

b. Indicates the systems of records maintained in the Federal Railroad Administration;

c. Identifies the officials having authority to deny requests for access to records;

d. Describes the procedures to be followed in requesting correction of a record; and

e. Describes identification requirements which may be in addition to those delineated in paragraph 10.35 of these regulations.

2. *Availability for Inspection and Copying.* Places and times at which records will be available for inspection and copying; and, the systems of records and systems managers having authority to deny requests for disclosure at each facility.

a. Records in systems maintained in the Federal Railroad Administration are available at the Alaska Railroad, Anchorage, Alaska 99510, 9:00 a.m.–4:00 p.m.

Systems of Records and Responsible Systems Managers:

1. Alaska Railroad Examination of Operating Personnel; DOT/FRA Operations Officer (System Manager).

2. Alaska Railroad Personnel and Pay Management Information System; DOT/FRA—General Manager (System Manager).

3. Alaska Railroad Security and Freight Claims Investigatory Files; DOT/FRA—Chief, Security and Claims Office (Systems Manager).

b. *Places:* The Alaska Railroad, Anchorage, Alaska 99510. Hours: 9:00 a.m.–4:00 p.m.

Systems of Records and Responsible Systems Managers:

1. Application for (Vehicle) Operator's Identification Card; DOT/FRA—Director, Office of Administrative Operations (System Manager).

2. Confidential Statement of Employment and Financial Interest; DOT/FRA—Chief Counsel, Office of the Chief Counsel (System Manager).

3. Employee Travel Records; DOT/FRA—Accounting Officer, Accounting Division (System Manager).

4. Occupational Safety and Health Reporting System; DOT/FRA—FRA Safety Manager, Office of Administrative Operations (System Manager).

5. Office of Policy and Program Development Internal Work Reference File; DOT/FRA—Associate Administrator for Policy and Program Development (System Manager).

6. Office of Chief Internal Personnel Data File; DOT/FRA—Chief Counsel, Office of Chief (System Manager).

7. Office of Research and Development Internal Work Reference File; DOT/FRA—Administrative Officer, Office of Research and Development (System Manager).

8. Office of Safety Past Employees Files; DOT/FRA—Deputy Associate Administrator for Safety (System Manager)

9. Office of Safety Performance Evaluation Convenience File; DOT/FRA Deputy Asso-

ciate Administrator for Safety (System Manager)

10. Office of Safety Personnel Convenience File; DOT/FRA—Deputy Associate Administrator for Safety (System Manager)

11. Personnel and Pay Management Information Systems; DOT/FRA—Director, Office of Personnel and Training (System Manager)

12. Travel Advance Records; DOT/FRA—Director, Office of Administrative Operations (System Manager)

13. Work Measurement System; DOT/FRA—Director, Office of Management Systems

c. *Places:* Regional Office as follows:

Region 2, Federal Railroad Administration, Philadelphia, Pa. 19106.

Region 3, Federal Railroad Administration, College Park, Ga. 30337.

Region 4, Federal Railroad Administration, Chicago, Illinois 60605.

Region 5, Federal Railroad Administration, Ft. Worth, Texas 76102.

Region 6, Federal Railroad Administration, Portland, Oregon 97204.

Region 7, Federal Railroad Administration, San Francisco, California 94102.

Region 8, Federal Railroad Administration, Kansas City, Missouri 64106.

Hours: 9:00 a.m.–4:00 p.m.

Systems of Records and Responsible Systems Managers at each Region:

Regional Personnel Convenience Files; DOT/FRA—Regional Directors (Systems Manager)

d. *Place: Transportation Test Center* (TTC) Pueblo, Colorado 81001. Hours: 9:00 a.m.–4:00 p.m.

System of Records and Responsible System Manager:

Transportation Test Center Employee Service Record File—Director, TTC (System Manager)

3. *Access to Records.*

a. Each individual desiring to determine whether a record pertaining to him is contained in a system of records or to obtain a copy of such a record, shall make his request in writing to the appropriate official listed in Section 2.3 of this Appendix. Each request shall specify the name of the requesting individual and the system of records in which the subject record is located or thought to be located.

b. The Administration will allow individuals to request amendment of their personal records to the extent that such amendment does not violate existing statutes, regulations, or administrative procedures. Requests to amend personal records of active employees should be addressed to the responsible system managers specified in 2.3A–D.

c. The system managers will provide a written acknowledgment of the receipt of a request to amend a record to the individual within ten days. However, if the request can be reviewed, processed and the individual notified of compliance or denial within the ten-day working period, no acknowledgment is required.

d. If the Administration agrees with an individual's request to amend the person's record, the following steps will be taken:

1. Advise the individual in writing;

2. Correct the record accordingly; and

3. If a prior disclosure has been made, advise all previous recipients of the record of the correction and its substance.

e. If the Administration, after an initial review by the system manager of a request to amend a personal record, disagrees with all or any portion of it; the Administration will:

1. Advise the individual of refusal and the reasons for it;

2. Inform the individual of procedures to request a further review;

f. If an individual disagrees with the initial determination, the individual may file a request for a further review of that determination. The request for a review should be addressed to:

Attn: Privacy Act of 1974, Office of the Chief Counsel, Federal Railroad Administration, Washington, D.C. 20590.

g. If after this review the Administration refuses to amend the record as the individual requested, the Administration will advise the individual:

1. of refusal and the reasons for it;

2. of the individual's right to file a concise statement of the reasons for disagreeing with the decision of the Administration;

3. the procedures for filing the statement of disagreement;

4. that the statement which is filed will be made available to anyone to whom the record is subsequently disclosed;

5. of the individual's right to seek judicial review of the Administration's refusal to amend a record.

h. The final Administration determination on the individual's request must be concluded within thirty (30) working days unless the FRA Administrator determines that a fair and equitable review cannot be completed in that time-frame. If additional time is required, the individual will be informed in writing of reasons for the delay and of the Administration's estimated date on which the review is expected to be completed.

i. For personnel related records final Civil Service Commission determination may apply within 30 working days following the procedures explained above.

4. *Procedures for Establishing Identity of Individual Making a Request:*

a. Disclosure of personnel records requires that the individual produce an identification card; employee identification, annuitant identification, Medicare cards, or driver's license are examples. For records disclosed by mail, the Administration will require identifying information to locate the record, i.e., employee identification number, name, date of birth or social security number. A comparison of the signature of the requestor and those in the record will be used to determine identity.

b. If an individual can provide no suitable documents for identification, the Administration requires a signed statement asserting identity and stipulating that the individual understands that knowingly or willfully seeking or obtaining access to records about another person under false pretenses is punishable by a fine of up to $5,000 under 5 U.S.C. 552a(i)(3).

Appendix G

National Highway Traffic Safety Administration

1. *Introduction.* This Appendix, with respect to the National Highway Traffic Safety Administration:

a. Describes the places and time at which records will be available for inspection and copying;

b. Indicates the systems of records maintained in the National Highway Traffic Safety Administration;

c. Identifies the officials having authority to deny requests for access to records;

d. Describes the procedures to be followed in requesting correction of a record; and

e. Describes identification requirements which may be in addition to those delineated in paragraph 10.35 of these regulations.

2. *Availability for Inspection and Copying.* Records of the National Highway Traffic Safety Administration, hereafter referred to as NHTSA, are located at NHTSA headquarters, Washington, D.C., and at regional office locations, both described below. Records created, collected, maintained and used in various demonstration and research projects as contract requirements of NHTSA are located at various points in the several States and the Commonwealth of Puerto Rico.

a. All NHTSA headquarters offices are open from 7:45 A.M. to 4:15 P.M., each day except Saturdays, Sundays, and Federal legal holidays. The main headquarters office is located at 400 Seventh Street SW., Washington, D.C. 20590. The Office of Standards Enforcement, Motor Vehicle Programs; the Vehicle Ratings Division, Office of Crashworthiness, Motor Vehicle Programs; the Office of State Vehicle Programs and the National Driver Register, Traffic Saftey Programs, are located at the Trans Point Building, 2100 2nd Street SW., Washington, D.C. 20590.

b. NHTSA Regional offices are located at the following addresses and are open during the indicated hours each day, except for Saturdays, Sundays, and Federal legal holidays:

(1) Region I (Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, Vermont), 55 Broadway, Cambridge, Massachusetts 02142 (7:45 A.M.–4:15 P.M.).

(2) Region II (New Jersey, New York, Puerto Rico, Virgin Islands), 200 Mamaroneck Avenue (Room 200), White Plains, New York 10601 (7:45 A.M.–4:15 P.M.).

(3) Region III (Delaware, District of Columbia, Maryland, Pennsylvania, Virginia, West Virginia), Airport Plaza Building, 6701 Elkridge Landing Road, Linthicum, Maryland 21090 (8 A.M.–4:30 P.M.).

(4) Region IV (Alabama, Florida, Georgia, Kentucky, Mississippi, North Carolina, South Carolina, Tennessee), Suite 501, 1720 Peachtree Road, N.W., Atlanta, Georgia 30309 (7:45 A.M.–4:15 P.M.).

(5) Region V (Illinois, Indiana, Michigan, Minnesota, Ohio, Wisconsin), Suite 214, Executive Plaza, 1010 Dixie Highway, Chicago Heights, Illinois 60411 (8 A.M.–4:30 P.M.).

(6) Region VI (Arkansas, Louisiana, New Mexico, Oklahoma, Texas), 819 Taylor Street, Room 11A26, Fort Worth, Texas 76102 (8 A.M.–4:30 P.M.).

(7) Region VII (Iowa, Kansas, Missouri, Nebraska), 6301 Rockhill Road, Kansas City, Missouri 64111 (7:45 A.M.–4:15 P.M.).

(8) Region VIII (Colorado, Montana, North Dakota, South Dakota, Utah, Wyoming), 330 South Garrison Street, Lakewood, Colorado 80226 (7:45 A.M.–4:15 P.M.).

(9) Region IX (American Samoa, Arizona, California, Guam, Hawaii, Nevada), Suite 610, Two Embarcadero Center, San Francisco, California 94111 (7:45 A.M.–4:15 P.M.).

(10) Region X (Alaska, Idaho, Oregon, Washington), 3140 Federal Building, 915 Second Avenue, Seattle, Washington 98174 (7:45 A.M.–4:15 P.M.).

c. NHTSA demonstration and research project records may be created, collected, maintained, and used for the purposes of NHTSA contract requirements. These records are maintained at various points in the several States and the Commonwelth of Puerto Rico. Since these project contractors only act as agents for NHTSA, and to prevent unauthorized disclosure of information that may be subject to the provisions of the Privacy Act of 1974, management of the systems has been retained by appropriate officials of NHTSA. Access to the published systems may be obtained by corresponding with the systems manager indicated for the system of records found in the citations in paragraph 3 of this Appendix. Appropriate personal identification must be furnished in accordance with paragraph 4. These officials should be notified of any inaccuracy of the record and, also, are responsible for considering requests for correction or alteration of the record.

3. *Systems of Records.* A list of NHTSA systems of records pertaining to the Privacy Act indicating the geographic locations and the responsible systems managers has been published in the FEDERAL REGISTER and appears as follows:

a. Federal Register Document 75-22441, Vol. 40, No. 167, dated August 27, 1975, beginning on page 38852;

b. Federal Register Document 75-24710, Vol. 40, No. 186, Part V, dated September 24, 1975, beginning on page 44101; and

c. Federal Register Document 75-30613, Vol. 40, No. 220, dated November 19, 1975, beginning on page 53978.

4. *Access to Records.*

a. Individuals desiring to determine whether records pertaining to them are contained in systems of records covered by this Appendix or desiring access to records covered by this Appendix, or to obtain copies of such records, shall make written requests providing appropriate identification of the system and proof of their identity in the same manner as former employees described in 4.c. below. When an individual is not capable of personally providing the required information, a second party request may be honored that a duly attested authorization, power of attorney, or appropriate court order is submitted with the request.

b. Decision to release or deny requested records will be made by the systems manager, identified in the citations of paragraph 3 of this Appendix, within ten working days of the receipt of the request. A person seeking reconsideration of a denial of the disclosure of a record may appeal to the NHTSA Associate Administrator for Administration, 400 Seventh Street SW., Washington, D.C. 20590, under the DOT Freedom of Information Act Procedures, 49 CFR Part 7. Decision on the request for reconsideration will be made in writing within 30 working days from the receipt of the request for reconsideration of disclosure.

c. Employees and former employees of NHTSA may obtain access to and consideration of the amendment of their records by providing a current Department of Transportation (DOT) identification card (Form D-1600.1.9), a DOT retired employee identification card (Form D-1680.2), Medicare card, attested signed request, or such other identification that may prove the validity of their claims.

d. Since nearly all NHTSA systems of records are maintained on electronic data processing equipment, it may require up to 48 hours to obtain individual records due to computer scheduling requirements. It is in the interest of the individual, therefore, to request information in writing rather than in person.

5. *Requests to Correct or Alter Records.*

a. Individuals may request correction or alteration of records pertaining to them by addressing such requests to the systems managers or the NHTSA Privacy Act Coordinator, the addresses of whom are contained in the citation of paragraph 3 of this Appendix.

b. Since the records of the National Driver Register merely contain partial records of the States' motor vehicle records, they are susceptible to correction or alteration only to the extent that such records are at variance with the State records. Persons seeking to correct their State motor vehicle records should address requests to their respective States' motor vehicle licensing authorities.

c. The system manager, in accordance with 49 CFR 10.41, will provide written acknowledgement of the receipt of a request to amend a record to the individual within ten working days. If the request can be reviewed, processed, and the individual notified of compliance or denial of the request within the ten working day period, no acknowledgement is required.

d. When decision is made by the systems manager to comply with an individual's request to amend the person's record, the following steps will be taken within 30 working days from the date of acknowledgement of the request:

(1) Advise the individual of the decision in writing;

(2) Correct the record accordingly; and

(3) Advise all previous recipients of the record, if an accounting of disclosure has been made, of the correction.

e. When decision is made, after initial review by the systems manager of a request to amend a personal record, to disagree with all or any portion of the requested amendment, the following steps will be taken within 30 working days from the date of acknowledgement of the request.

(1) Advise the individual of refusal and the reasons therefore; and

(2) Inform the individual of the procedures for appeal to the NHTSA Administrator for further review.

f. If the individual disagrees with the initial determination of the system manager, the individual may file a request for further review of that determination. This request for review should be addressed to the Chief Counsel, National Highway Traffic Safety Administration, 400 Seventh Street SW., Washington, D.C. 20590.

g. If after review the Administrator agrees to the amendment of the record, the procedures of subparagraph 5.d. of this Appendix will be followed. If amendment of the record is refused as requested by the individual, the individual will be advised:

(1) Of the refusal and reasons therefore, and the names and titles of positions of each person responsible for the determination;

(2) Of the individual's right to file, together with the appropriate procedures, a concise statement of the reasons for disagreeing with the decision of the Administrator;

(3) Of the fact that the statement which is filed by the requester will be made available to prior recipients and anyone to whom the record is subsequently disclosed; and

(4) Of the individual's right to seek judicial review of the administrator's refusal to amend a record.

h. The final determination to refuse an individual's request for amendment of a record must be concluded within 30 working days after the receipt of the appeal to the Administrator. If a fair and equitable review cannot be completed by the stipulated time, the Administrator will inform the individual in writng of the reasons for delay and provide an estimated date on which completion of the review is expected.

6. *Personal Identification Requirements.* The NHTSA does not demand any personal identification beyond that specified by § 10.35 of this part.

APPENDIX H

URBAN MASS TRANSPORTATION ADMINISTRATION

1. *Introduction.* This Appendix, with respect to the Urban Mass Transportation Administration:

a. Describes the places and times at which records will be available for inspection and copying;

b. Indicates the systems of records maintained in the Urban Mass Transportation Administration;

c. Identifies the officials having authority to deny requests for access for records;

d. Describes the procedures to be followed in requesting correction of a record; and

e. Describes identification requirements which may be in addition to those delineated in paragraph 1035 of these regulations.

2. *Availability for Inspection and Copying.* In acordance with Title 49, Part 10, Subpart A, Section 10.1, dealing with the maintenance of and access to records pertaining to individuals under the Privacy Act of 1974 (Pub. L. 93-579), the following places and times at which individual's records will be available for inspection and copying, and the titles of the officials who are responsible systems managers are submitted.

a. Regional Offices as follows:

(1) Region I, Urban Mass Transportation Administration, Cambridge, MA 02142;

(2) Region II, Urban Mass Transportation Administration, New York, NY 10007;

(3) Region III, Urban Mass Transportation Administration, Philadelphia, PA 19106;

(4) Region IV, Urban Mass Transportation Administration, Atlanta, GA 30309;

(5) Region V, Urban Bass Transportation Administration, Chicago, IL 60606;

(6) Region VI, Urban Mass Transportation Administration, Ft. Worth, TX 76102;

(7) Region VII, Urban Mass Transportation Administration, Kansas City, MO 64131;

(8) Region VIII, Urban Mass Transportation Administration, Denver, CO 80202;

(9) Region IX, Urban Mass Transportation Administration, San Francisco, CA 94111; and

(10) Region X, Urban Mass Transportation Administration, Seattle, WA 98174.

b. Hours: 8:30 A.M. to 5 P.M., local time.

c. System of Records: Regional Personnel Convenience Files at each location.

d. Systems Manager: Regional Directors or Chiefs are Systems Managers

e. Headquarters: Urban Mass Transportation Administration, Washington Headquarters, Washington, D.C. 20590; Hours: 8:30 A.M. to 5 P.M.

3. *Systems of Records.*

a. Confidential Statement of Employment and Financial Interest—DOT/UMTA, Chief Counsel, Office of the Chief Counsel;

b. Occupational Safety and Health Reporting System, Director of Personnel Division;

c. Litigation and Claims File—Chief Counsel, Office of Chief Counsel;

d. Grant Applicants List, Director, Grant Management Division;

e. Contract Information System, Director, Procurement Division;

f. Unsolicited Research and Development Grants, Director, Grant Management Division;

g. Complaints if Discrimination, Director, Office of Civil Rights;

h. Employee Travel Vouchers, Chief, Accounting Branch;

i. Accounts Receivable Chief, Accounting Branch;

j. Equal Employment Opportunity, Minority/Female Statistical Reporting System, Director, Office of Civil Rights;

k. Minority Recruitment File, Director, Office of Civil Rights;

l. UMTA Sponsored Reports—Authors File, Director, Office of Transit Management;

m. Blood Donors File, Director, Administrative Services Division;

n. Approved Grants, Director, Administrative Services Division;

o. Closed-Out Grants, Director, Administrative Services Division; and

p. Docket DOT/UMTA, Chief Counsel, Office of Chief Counsel.

4. *Access to Records.* Each individual desiring to determine whether a record pertaining to him is contained in a system of records or to obtain a copy of such a record, shall make his request in writing to the official specified in paragraph 1 of this Appendix. Each request shall specify the name of the requesting individual and the system

of records in which the subject record is located or thought to be located.

5. Requests to Correct or Alter Records.

a. The Administration will allow individuals to request amendment of their personal records to the extent that such amendment does not violate existing statutes, regulations, or administrative procedures. Requests to amend personal records of active employees should be addressed to the responsible system manager specified in paragraph 1.

b. The system managers will provide a written acknowledgement of the receipt of a request to amend a record to the individual within ten days. However, if the request can be reviewed, processed and the individual notified of compliance or denial within the ten-day working period, no separate acknowledgement is required.

c. If the Administration agrees with an individual's request to amend the person's record, the following steps will be taken:

(1) advise the individual in writing;

(2) correct the record accordingly; and

(3) advise all previous recipients of the record which was corrected of the correction and its substance.

d. If the Administration, after an initial review by the system manager of a request to amend a personal record, disagrees with all or any portion of it, the Administration will:

(1) advise the individual of refusal and the reasons for it; and

(2) inform the individual of procedures to request a further review.

e. If an individual disagrees with the initial determination, the individual may file a request for a further review of that determination. This request for a review should be addressed to: Privacy Act Officer, UAD–60, 400 Seventh Street SW., Washington, D.C. 20590.

f. If after this review the Administration refuses to amend the record as the individual requested, the Administration will advise the individual:

(1) of refusal and the reasons for it;

(2) of the individual's right to file a concise statement of the reasons for disagreeing with the decision of the Administration;

(3) the procedures for filing the statement of disagreement;

(4) that the statement which is filed will be made available to anyone to whom the record is subsequently disclosed; and

(5) of the individual's right to seek judicial review of the Administration's refusal to amend a record.

g. The final Administration determination on the individual's request must be concluded within 30 working days unless the UMTA Administrator determines that a fair and equitable review cannot be completed in that period. If additional time is required, the individual will be informed in writing of reasons for the delay and of the Administration's estimated date on which the review is expected to be completed.

h. For personnel related records final Civil Service Commission determination may apply within 30 working days following the procedures explained above.

6. Personal Identification Requirements.

a. Disclosure of personal records requires that the individual produce an identification card; employee identification, annuitant identification, Medicare card, or driver's license are examples. For records disclosed by mail, the Administration will require identifying information to locate the record, i.e., employee identification number, name, date of birth, or social security number. A comparison of the signature of the requester and those in the record will be used to determine identity.

b. If an individual can provide no suitable documents for identification, the Administration requires a signed statement asserting identity and stipulating that the individual understands that knowingly or willfully seeking or obtaining access to records about another person under false pretenses is punishable by a fine of up to $5,000 under citation 3(1)3 of the Privacy Act.

APPENDIX I

SAINT LAWRENCE SEAWAY DEVELOPMENT CORPORATION

1. *Introduction.* This Appendix, with respect to the Saint Lawrence Seaway Development Corporation:

a. Describes the places and times at which records will be available for inspection and copying;

b. Indicates the systems of records maintained;

c. Identifies the officials having authority to deny requests for access to records;

d. Describes the procedures to be followed in requesting correction of a record; and

e. Describes identification requirements which may be in addition to those delineated in paragraph 10.35 of these regulations.

2. *Availability for Inspection and Copying.*

a. Place and time for records inspection and copying: Saint Lawrence Seaway Development Corporation, Administration Building, Andrews Street, Massena, New York 13662, Massena Office: 9:00 a.m.–4:00 p.m.

b. Systems of records located at each facility: *Administration Building:* Biographical Files, Claimants under Federal Tort Claims Act, Data Automation Program Records, Employees' Compensation Records, Personnel Convenience Files. *Operations Building:* Personnel Convenience Files. *Maintenance Building:* Personnel Convenience Files. *Office of Administrator:* Personnel Convenience Files.

c. Official having authority to deny requests for disclosure of records under this part:

Resident Manager, Saint Lawrence Seaway Development Corporation, Administration Building, Andrews Street, Massena, New York 13662.

3. *Systems of Records:* A complete listing of the systems of records maintained by the Saint Lawrence Seaway Development Corporation has been published in the FEDERAL REGISTER as follows:

a. August 27, 1975, pages 38887–38888

b. November 19, 1975, pages 53989–53990

4. *Access to Records:* Each individual desiring to determine whether a record pertaining to him is contained in a system of records or to obtain a copy of such record, shall make his request in writing to the address provided in Section 2 of this Appendix. Each request should specify the name of the requesting individual and the system of records in which the subject record is located or thought to be located.

5. *Requests to correct or alter a record:*

a. Any person who desires to have their own record corrected, shall submit a written request.

b. Only the individual to whom the record pertains may make the written request and it must be signed by that person.

c. Request should state the reasons the record should be corrected.

d. State that the request is made pursuant to the Privacy Act or mark Privacy Act Amendment Request on the envelope.

e. Requests for correction of records shall be submitted to the Personnel Officer, Saint Lawrence Seaway Development Corporation, Administration Building, Andrews Street, Massena, New York 13662.

6. *Personal identification requirements:* Refer to subsection 10.35 for normal requirements. In those cases involving mail requests for sensitive records, i.e.—medical records, the requestor's signature shall be notarized.

APPENDIX J

MATERIALS TRANSPORTATION BUREAU

The Materials Transportation Bureau requires only the general rules of Part 10 (49 CFR Part 10).

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(Revised as of April 1, 1977)

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