

# federal register

WEDNESDAY, SEPTEMBER 8, 1976



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The six-month trial period ended August 6. The program is being continued on a voluntary basis (see OFR notice, 41 FR 32914, August 6, 1976). The following agencies have agreed to remain in the program:

Monday	Tuesday	Wednesday	Thursday	Friday
NRC	USDA/ASCS		NRC	USDA/ASCS
DOT/COAST GUARD	USDA/APHIS		DOT/COAST GUARD	USDA/APHIS
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DOT/OPSO	LABOR		DOT/OPSO	LABOR

Documents normally scheduled on a day that will be a Federal holiday will be published the next work day following the holiday.

Comments on this program are still invited. Comments should be submitted to the Day-of-the-Week Program Coordinator, National Archives and Records Service, General Services Administration, Washington, D.C. 20408.

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 (For Details, See 41 FR 22997, June 8, 1976)  
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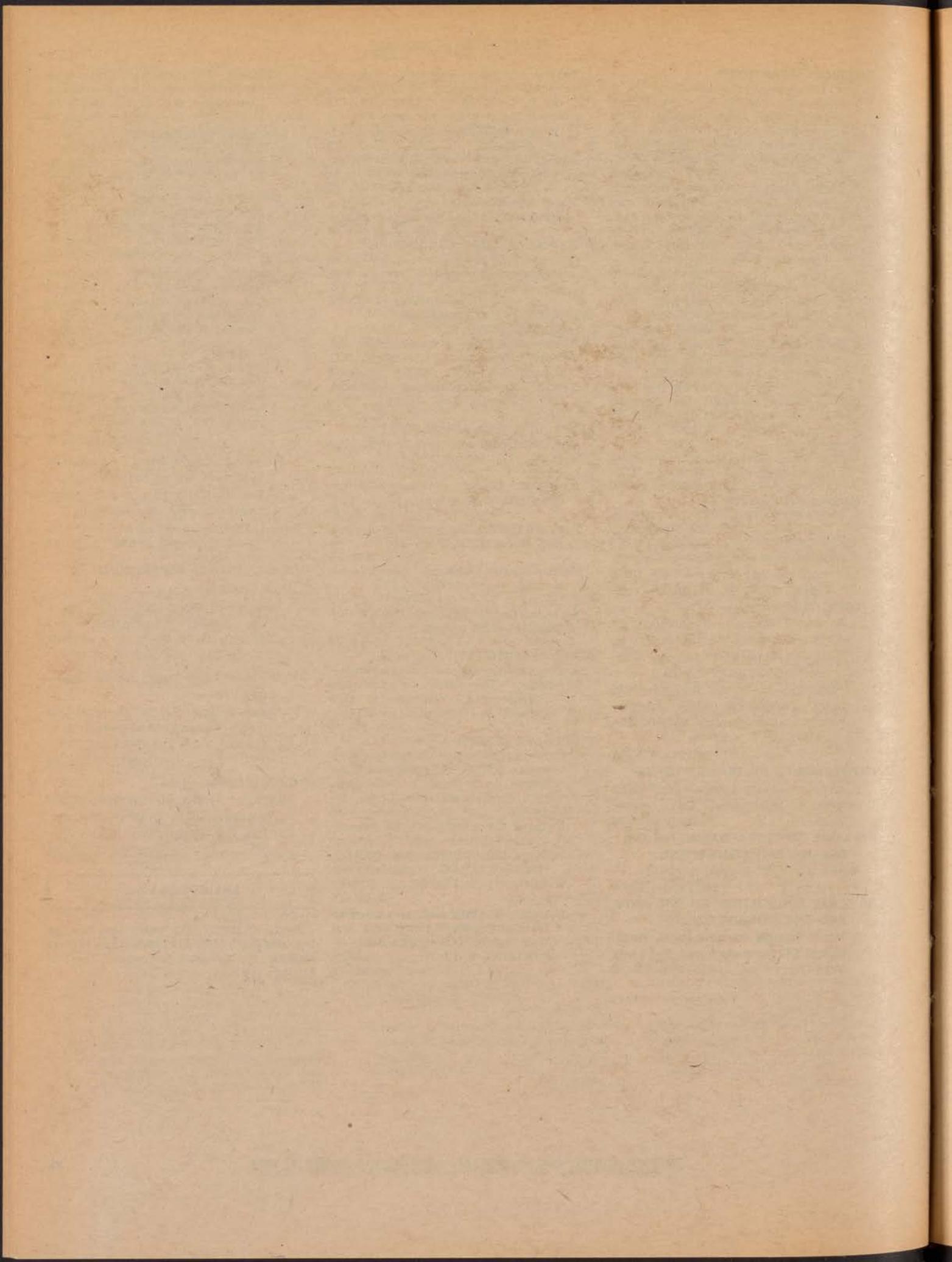
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# presidential documents

## Title 3—The President

PROCLAMATION 4453

### Fire Prevention Week, 1976

*By the President of the United States of America*

#### A Proclamation

Two hundred years ago the United States of America declared its independence. More than a century before that the need for organized fire protection was recognized in the colonies with the establishment of the first fire departments. The same appreciation of the need for community protection is still needed today in order to avoid the destructive effect of uncontrolled fire.

Each year in the United States alone, more than three million fires and explosions kill 12,000 persons; seriously burn another 300,000; and destroy more than \$4 billion in property. Another \$7 billion is spent for fire departments, fire insurance, and related costs.

The richest and most technologically advanced nation in the world, we nevertheless lead all the major industrialized countries in per capita deaths and property loss from fire.

Most fires can be traced to a lack of active concern for fire safety. Unfortunately, the innocent—the very young and the aged—are most frequently the victims.

This tragic situation need not continue. Methods of coping with fire's destructiveness have been developed. But technological advances alone will not stop the needless waste in human suffering and resources. A greater awareness of fire safety by all of us remains the only real answer.

NOW, THEREFORE, I, GERALD R. FORD, President of the United States of America, do hereby designate the week beginning Sunday, October 3, 1976, as Fire Prevention Week.

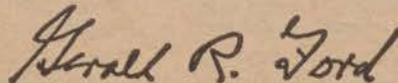
I urge all citizens to work with their families and communities to learn and practice the basic rules of fire safety.

I call upon all State and local governments, business, labor and other organizations, as well as schools, civic groups, and the media to observe Fire Prevention Week, to provide useful fire-safety information to the public, and to solicit the active participation of all citizens in fire prevention programs.

I also ask the National Fire Protection Association, members of the Joint Council of National Fire Service Organizations, the National Fire Prevention and Control Administration, and other fire safety groups to provide the leadership and planning for a national fire prevention effort.

Finally, I urge every citizen to remember that fire safety must be practiced year-round to be truly effective, not just during Fire Prevention Week.

IN WITNESS WHEREOF, I have hereunto set my hand this third day of September, in the year of our Lord nineteen hundred seventy-six, and of the Independence of the United States of America the two hundred and first.



[FR Doc.76-26416 Filed 9-7-76;10:37 am]



# rules and regulations

This section of the FEDERAL REGISTER contains regulatory documents having general applicability and legal effect most of which are keyed to and codified in the Code of Federal Regulations, which is published under 50 titles pursuant to 44 U.S.C. 1510.

The Code of Federal Regulations is sold by the Superintendent of Documents. Prices of new books are listed in the first FEDERAL REGISTER issue of each month.

## Title 7—Agriculture

### CHAPTER IX—AGRICULTURAL MARKETING SERVICE (MARKETING AGREEMENTS AND ORDERS; FRUITS, VEGETABLES, NUTS), DEPARTMENT OF AGRICULTURE

[Valencia Orange Reg. 542, Amdt. 1]

#### PART 908—VALENCIA ORANGES GROWN IN ARIZONA AND DESIGNATED PART OF CALIFORNIA

##### Limitation of Handling

This regulation increases the quantity of California-Arizona Valencia oranges that may be shipped to fresh market during the weekly regulation period August 27–September 2, 1976. The quantity that may be shipped is increased due to improved market conditions for California-Arizona Valencia oranges. The regulation and this amendment are issued pursuant to the Agricultural Marketing Agreement Act of 1937, as amended, and Marketing Order No. 908.

(a) *Findings.* (1) Pursuant to the marketing agreement, as amended, and Order No. 908, as amended (7 CFR Part 908), regulating the handling of Valencia oranges grown in Arizona and designated part of California, effective under the applicable provisions of the Agricultural Marketing Agreement Act of 1937, as amended (7 U.S.C. 601–674) and upon the basis of the recommendation and information submitted by the Valencia Orange Administrative Committee, established under the said amended marketing agreement and order, and upon other available information, it is hereby found that the limitation of handling of such Valencia oranges, as hereinafter provided, will tend to effectuate the declared policy of the act.

(2) The need for an increase in the quantity of oranges available for handling during the current week results from changes that have taken place in the marketing situation since the issuance of Valencia Orange Regulation 542 (41 FR 36013). The marketing picture now indicates that there is a greater demand for Valencia oranges than existed when the regulation was made effective. Therefore, in order to provide an opportunity for handlers to handle a sufficient volume of Valencia oranges to fill the current demand thereby making a greater quantity of Valencia oranges available to meet such increased demand, the regulation should be amended, as hereinafter set forth.

(3) It is hereby further found that it is impracticable and contrary to the public interest to give preliminary notice, engage in public rulemaking procedure, and postpone the effective date of this amendment until 30 days after publication thereof in the FEDERAL REGISTER (5

U.S.C. 553) because the time intervening between the date when information upon which this amendment is based became available and the time when this amendment must become effective in order to effectuate the declared policy of the act is insufficient, and this amendment relieves restriction on the handling of Valencia oranges grown in Arizona and designated part of California.

(b) *Order, as amended.* The provisions in paragraph (b)(1)(i), and (ii) of § 908.842 Valencia Orange Regulation 542 (41 FR 36013) are hereby amended to read as follows:

#### § 908.842 Valencia Orange Regulation 542.

\* \* \* \* \*

(b) \* \* \*

(1) \* \* \*

(i) District 1: 315,000 cartons; (ii) District 2: 385,000 cartons.

\* \* \* \* \*

(Secs. 1–19, 48 Stat. 31, as amended; (7 U.S.C. 601–674))

Dated: September 1, 1976.

CHARLES R. BRADER,  
Deputy Director, Fruit and Vegetable Division, Agricultural Marketing Service.

[FR Doc.76-26256 Filed 9-7-76;8:45 am]

#### PART 981—ALMONDS GROWN IN CALIFORNIA

##### Expenses of Almond Board of California, and Rate of Assessment, for 1976–77 Crop Year

Notice of proposed expenses of the Almond Board of California, and rate of assessment, for the 1976–77 crop year was published in the August 16, 1976, issue of the FEDERAL REGISTER (41 FR 34647). This action is pursuant to §§ 981.80 and 981.81 of the marketing agreement, as amended, and Order No. 981, as amended (7 CFR Part 981; 41 FR 26852; 27827), regulating the handling of almonds grown in California. The amended marketing agreement and order are effective under the Agricultural Marketing Agreement Act of 1937, as amended (7 U.S.C. 601–674).

The notice afforded interested persons an opportunity to submit written data, views, or arguments with respect to the proposal. None were received.

The proposal was based on a unanimous recommendation of the Almond Board of California. Expenses of the Almond Board for the 1976–77 crop year were proposed at \$5,684,300. The assessment rate was proposed at 2.15 cents per pound of almonds (kernel weight basis).

After consideration of all relevant matter presented, including that in the

notice, the information and recommendations submitted by the Almond Board of California, and other available information, it is found that the expenses of the Almond Board of California and the rate of assessment for the crop year beginning July 1, 1976, shall be as hereinafter set forth.

It is further found that good cause exists for not postponing the effective time of this action until 30 days after publication in the FEDERAL REGISTER (5 U.S.C. 553) in that: (1) The relevant provisions of said marketing agreement and this part require that the rate of assessment fixed for a particular crop year shall be applicable to all almonds received by handlers for their own accounts during such crop year; and (2) the current crop year began July 1, 1976, and the rate of assessment herein fixed will automatically apply to all such almonds beginning with that date.

The expenses and assessment rate as set forth in § 981.326 are as follows:

#### § 981.326 Expenses of the Almond Board of California and rate of assessment for the 1976–77 crop year.

(a) *Expenses.* Expenses in the amount of \$5,684,300 are reasonable and likely to be incurred by the Board during the crop year beginning July 1, 1976, for its maintenance and functioning and for such purposes as the Secretary may, pursuant to the provisions of this part, determine to be appropriate.

(b) *Rate of assessment.* The rate of assessment for said crop year, payable by each handler in accordance with § 981.81, less any amount credited pursuant to § 981.41 but not to exceed 2 cents per pound of almonds (kernel weight basis), is fixed at 2.15 cents per pound of almonds (kernel weight basis).

(Secs. 1–19, 48 Stat. 31, as amended; (7 U.S.C. 601–674))

Dated: September 2, 1976.

CHARLES R. BRADER,  
Deputy Director, Fruit and Vegetable Division.

[FR Doc.76-26255 Filed 9-7-76;8:45 am]

### CHAPTER X—AGRICULTURAL MARKETING SERVICE (MARKETING AGREEMENTS AND ORDERS; M/LK), DEPARTMENT OF AGRICULTURE

[Milk Order No. 4; Docket No. AO-160-A53]

#### PART 1004—MILK IN THE MIDDLE ATLANTIC MARKETING AREA

##### Referendum Order; Determination of Representative Period; and Designation of Referendum Agent

It is hereby directed that a referendum be conducted to determine whether the order provisions constituting the Adver-

tising and Promotion Program, as hereby proposed to be amended, in the order regulating the handling of milk in the Middle Atlantic marketing area, which were attached to the decision of the Assistant Secretary issued on August 9, 1976 (41 FR 34282), are separately approved or favored by the producers, as defined under the terms of the order, as proposed to be amended, and who during the representative period were engaged in the production of milk for sale within the aforesaid marketing area.

The month of June 1976 is hereby determined to be the representative period for the conduct of such referendum.

Joseph Shine is hereby designated agent of the Secretary to conduct such referendum in accordance with the procedure for the conduct of referenda to determine producer approval of milk marketing orders (7 CFR 900.300 et seq.). Such referendum shall be completed on or before October 8, 1976.

Signed at Washington, D.C. on September 1, 1976.

RICHARD L. FELTNER,  
Assistant Secretary.

[FR Doc.76-26197 Filed 9-7-76;8:45 am]

**Title 13—Business Credit and Assistance**  
**CHAPTER I—SMALL BUSINESS**  
**ADMINISTRATION**

[Rev. 1, Amdt. 3]

**PART 106—LEASE GUARANTEE**

The budget for Fiscal Year 1977 has been approved by Congress, and no provision has been made to fund further lease guarantee activity under statutory provisions of 15 U.S.C. 692-694. The purpose of this amendment is to advise the public of this fact and that no application under this program will be accepted until further notice.

In view of the foregoing circumstances, the Administrator has determined that public rulemaking and a postponed effective date will not be necessary. The amendment, therefore, will be effective on September 8, 1976.

Effective on September 8, 1976, Title 13 of the Code of Federal Regulations, Part 106 is hereby amended.

1. Amend § 106.1, *Statutory Provisions* to read as follows:

**§ 106.1 Statutory provisions.**

The statutory provisions will be found at 15 U.S.C. 692 to 694. It should be noted, however, that beginning with Fiscal Year 1977, no appropriation for the program has been made, Pub. L. 94-362, approved July 14, 1976, 90 Stat. 937. Accordingly, no applications under this program will be accepted until further notice.

2. Amend § 106.5, *Procedure for lease guarantee applications* to read in its entirety as follows:

**§ 106.5 Procedure for lease guarantee applications.**

Beginning with Fiscal Year 1977, no appropriation for the program has been

made, Pub. L. 94-362, approved July 14, 1976, 90 Stat. 937. Accordingly, no applications under this program will be accepted until further notice.

(Catalog of Federal Domestic Programs No. 59.004, Lease Guarantees for Small Business.)

MITCHELL P. KOBELINSKI,  
Administrator.

[FR Doc.76-26186 Filed 9-7-76;8:45 am]

**Title 14—Aeronautics and Space**  
**CHAPTER II—CIVIL AERONAUTICS**  
**BOARD**

[Docket 28852; Regulation ER-964, Amdt. 6]

**PART 207—CHARTER TRIPS AND**  
**SPECIAL SERVICES**

**Performance of Advance Booking Charters**

Adopted by the Civil Aeronautics Board at its office in Washington, D.C., September 1, 1976.

By Notice of Proposed Rulemaking EDR-294/SPDR-42/ODR-12, 41 F.R. 7417 (February 18, 1976) the Board proposed, inter alia, certain amendments to Part 207. For the reasons set forth in SPR-110 (Part 371), published contemporaneously herewith, the Board has decided to adopt the proposed amendments, and, in addition, to amend the proviso at the end of § 207.11(c). Accordingly, the Board hereby amends Part 207 of the Economic Regulations (14 CFR Part 207), effective October 7, 1976 as follows:

Amend § 207.11 (b) and (c) by adding new subparagraphs (10) and (8), respectively, and by amending the proviso of paragraph (c), to read as follows:

**§ 207.11 Charter flight limitations.**

Charter flights (trips) in air transportation shall be limited to the following:

(b) \* \* \*

(10) By a charter operator or foreign charter operator as defined in Part 371 of this chapter, or

(c) \* \* \*

(8) By a charter operator or foreign charter operator as defined in Part 371 of this chapter:

*Provided*, That with respect to paragraph (c) of this section each person engaging less than the entire capacity of an aircraft shall contract and pay for 40 or more seats, except that, if the entire capacity of an aircraft having less than 80 seats is engaged by no more than two persons described in paragraph (c) (8), then either one of such persons may contract and pay for a minimum of 20 seats; *And provided further*, That paragraph (c) shall not be construed to apply to movements of property.

(Secs. 204(a) and 401 of the Federal Aviation Act of 1958, as amended, 72 Stat. 743 and 754, as amended; 49 U.S.C. 1324 and 1371.)

By the Civil Aeronautics Board:

Effective: October 7, 1976.

Adopted: September 1, 1976.

PHYLLIS T. KAYLOR,  
Secretary.

[FR Doc.76-26240 Filed 9-7-76;8:45 am]

[Reg. ER-965, Amdt. 6]

**PART 208—TERMS, CONDITIONS, AND**  
**LIMITATIONS OF CERTIFICATES TO EN-**  
**GAGE IN SUPPLEMENTAL AIR TRAN-**  
**SPORTATION**

**Performance of Advance Booking Charters**

Adopted by the Civil Aeronautics Board at its office in Washington, D.C., September 1, 1976.

By notice of proposed rulemaking EDR-294/SPDR-42/ODR-12, 41 FH 7417 (February 18, 1976) the Board proposed, inter alia, certain amendments to Part 208. For the reasons set forth in SPR-110 (Part 371), published contemporaneously herewith, the Board has decided to adopt the proposed amendments, and, in addition, to amend the proviso at the end of § 208.6(c). Accordingly, the Board hereby amends Part 208 of the Economic Regulations (14 CFR Part 208), effective October 7, 1976, as follows:

Amend § 208.6 (b) and (c) by adding new subparagraphs (9) and (8), respectively, and by amending the proviso of paragraph (c), to read as follows:

**§ 208.6 Charter flight limitations.**

Charter flights in air transportation performed by supplemental air carriers shall be limited to the following:

(b) \* \* \*

(9) By a charter operator or foreign charter operator, as defined in Part 371 of this chapter; or

(c) \* \* \*

(8) By a charter operator or foreign charter operator, as defined in Part 371 of this chapter:

*Provided*, That with respect to paragraph (c) of this section each person engaging less than the entire capacity of an aircraft shall contract and pay for 40 or more seats, except that, if the entire capacity of an aircraft having less than 80 seats is engaged by no more than two persons described in subparagraph (8), then either one of such persons may contract and pay for a minimum of 20 seats; *And provided further*, That paragraph (c) shall not be construed to apply to movements of property.

(Secs. 204(a) and 401 Federal Aviation Act of 1958, as amended, 72 Stat. 743 and 754, 49 U.S.C. 1324 and 1371.)

By the Civil Aeronautics Board.

PHYLLIS T. KAYLOR,  
Secretary.

[FR Doc.76-26241 Filed 9-7-76;8:45 am]

[Reg. No. ER-966, Amdt. 17]

**PART 212—CHARTER TRIPS BY FOREIGN AIR CARRIERS**

**Performance of Advance Booking Charters**

Adopted by the Civil Aeronautics Board at its office in Washington, D.C. September 1, 1976.

By notice of proposed rulemaking EDR-294/SPDR-42/ODR-12, 41 FR 7417 (February 18, 1976) the Board proposed, *inter alia*, certain amendments to Part 212. For the reasons set forth in SPR-110 (Part 371), published contemporaneously herewith, the Board has decided to adopt the proposed amendments, and, in addition, to amend the proviso at the end of § 212.8(b). Accordingly, the Board hereby amends Part 212 of the Economic Regulations (14 CFR Part 212), effective October 7, 1976, as follows:

Amend § 212.8 (a) and (b) by adding new subparagraphs (10) and (8), respectively, and by amending the proviso of paragraph (b), to read as follows:

**§ 212.8 Charter flight limitations.**

Charter flights (trips) shall be limited to foreign air transportation performed by a foreign air carrier holding a foreign air carrier permit issued pursuant to section 402 of the Act authorizing such carrier to engage in foreign air transportation on an individually ticketed or individually waybilled basis—

(a) \* \* \*

(10) By a charter operator or foreign charter operator as defined in Part 371 of this chapter; or

(b) \* \* \*

(8) By a charter operator or foreign charter operator as defined in Part 371 of this chapter:

*Provided*, That with respect to paragraph (b) of this section each person engaging less than the entire capacity of an aircraft shall contract and pay for 40 or more seats, except that, if the entire capacity of an aircraft having less than 80 seats is engaged by no more than two persons described in subparagraph (8), then either one of such persons may contract and pay for a minimum of 20 seats: *And provided further*, That paragraph (b) shall not be construed to apply to movements of property.

(Secs. 204(a) and 402 Federal Aviation Act of 1958, as amended, 72 Stat. 743 and 757, as amended, 49 U.S.C. 1324 and 1372.)

By the Civil Aeronautics Board.

PHYLLIS T. KAYLOR,  
*Secretary.*

[FR Doc.76-26242 Filed 9-7-76;8:45 am]

[Reg. ER-967, Amdt. 17]

**PART 214—TERMS, CONDITIONS, AND LIMITATIONS OF FOREIGN AIR CARRIER PERMITS AUTHORIZING CHARTER TRANSPORTATION ONLY**

**Performance of Advance Booking Charters**

Adopted by the Civil Aeronautics Board at its office in Washington, D.C. September 1, 1976.

By notice of proposed rulemaking EDR-294/SPDR-42/ODR-12, 41 FR 7417 (February 18, 1976) the Board proposed, *inter alia*, certain amendments to Part 214. For the reasons set forth in SPR-110 (Part 371), published contemporaneously herewith, the Board has decided to adopt the proposed amendments, and in addition, to amend the proviso at the end of § 214.7(b). Accordingly, the Board hereby amends Part 214 of the Economic Regulations (14 CFR Part 214), effective October 7, 1976, as follows:

Amend § 214.7 (a) and (b) by adding new subparagraphs (7), and by amending the proviso at the end of paragraph (b), to read as follows:

**§ 214.7 Charter flight limitations.**

Charter flight shall be limited to air transportation performed by a direct foreign air carrier on a time, mileage, or trip basis where—

(a) \* \* \*

(7) By a charter operator or foreign charter operator, as defined in Part 371 of this chapter; or

(b) \* \* \*

(7) By a charter operator or foreign charter operator, as defined in Part 371 of this chapter:

*Provided*, That paragraph (b) of this section shall not apply with respect to any foreign air carrier to the extent that its permit authorizes it to engage in "planeload" charter foreign air transportation of persons: *And provided further*, That with respect to paragraph (b) of this section each person engaging less than the entire capacity of the aircraft shall contract and pay for 40 or more seats, except that, if the entire capacity of an aircraft having less than 80 seats is engaged by no more than two persons described in subparagraph (7), then either one of such persons may contract and pay for a minimum of 20 seats.

(Secs. 204(a) and 402 Federal Aviation Act of 1958, as amended, 72 Stat. 743 and 757, as amended; 49 U.S.C. 1324 and 1372.)

By the Civil Aeronautics Board.

PHYLLIS T. KAYLOR,  
*Secretary.*

[FR Doc.76-26243 Filed 9-7-76;8:45 am]

**SUBCHAPTER D—SPECIAL REGULATIONS**

[Reg. SPR-110, Enactment of Part]

**PART 371—ADVANCE BOOKING CHARTERS**

Adopted by the Civil Aeronautics Board at its office in Washington, D.C. September 1, 1976.

By notice of proposed rulemaking EDR-294/SPDR-42/ODR-12, 41 FR 7417 (February 18, 1976), the Board gave notice that it had under consideration the adoption of a new Special Regulation (14 CFR Part 371) establishing a new type of charter, designated as Advance Booking Charter (ABC). Numerous comments were received in response to the Notice, and a list of the persons filing formal

comments is attached hereto as Appendix 1.

As explained in EDR-294, the Board proposed the ABC experiment in an effort to fashion an air-only charter form that would serve as an alternative to the affinity charter, by being sufficiently marketable to meet the rising public demand for low-cost bulk air transportation, while maintaining a distinction between charter and individually ticketed service.

The recently adopted One-stop-inclusive Tour Charter (OTC) rule (SPR-85, 40 FR 34089, August 14, 1975) requires a tour package, which may limit its usefulness for many vacation travelers. Although the Travel Group Charter (TGC) involves air transportation only, that charter form is also limited in marketability. The basic idea of a TGC is that 40 or more persons share the pro rata cost of the air transportation. Thus, the final cost to each participant depends on the number of persons who actually fly. In a TGC, a participant's total cost for air transportation may be increased by up to 20 percent after he is contractually committed to participate in the charter. This uncertainty of cost, coupled with the 60 day advance booking period, may have limited the popularity of TGC's. A survey of the hundreds of informal comments, filed in this proceeding in letter form by members of the general public, indicates that despite the availability of TGC's there exists an unfulfilled demand for a viable alternative to the affinity charterform offering air transportation only. The Board is of the opinion that the proposed air-only ABC, with a fixed price and a shorter advance booking period, will gain wider acceptance than the TGC. The Board anticipates that ABC's will generate new traffic and finds it in the public interest to adopt its proposed experimental ABC rule, but with the modifications discussed below.

In the proposed rule, the Board stated that ABC's would be subject to the following conditions and risks not normally associated with scheduled service:

(1) The flights must be round-trips on predetermined fixed dates;

(2) Tickets must be purchased a fixed period in advance;

(3) The participants comprising each ABC group must travel together on both legs of the charter, i.e., no intermingling of passengers;

(4) Only persons whose names have been pre-filed with the Board for a specific ABC, or a limited number of substitutes for such persons, are permitted to participate in that group;

(5) The ABC operator, as the risk-taking charterer of not less than 40 seats, may impose penalties on ABC participants who cancel their plans at a time when the operator is no longer permitted to fill unsold or cancelled seats; and

(6) ABC participants are exposed to the risk of having their flight cancelled upon contingencies set forth in their contract with the ABC operator, rather than only upon cancellation by the direct air carrier.

Several U.S. trunklines and foreign air carriers contend in their comments that

those risks and conditions would fall to maintain a sufficient distinction between scheduled and charter service. In support of that assertion the carrier cited *Pan American World Airways v. C.A.B.*, 517 F.2d 734 (2nd Cir. 1975) (holding that the Board's "foreign-originating TGC/ABC" rule maintained a sufficient distinction between charter and scheduled service) and *Saturn Airways v. C.A.B.*, 483 F.2d 1284 (D.C. Cir. 1973) (holding the same for the TGC rule). They argued, *inter alia*, that in *Pan American* and *Saturn* the courts found "substantial and vital differences" between the subject charter forms and scheduled service, that the Board's ABC proposal ignored those differences, and that therefore the Board has failed to distinguish ABC's from scheduled service.

The question before the *Pan American* and *Saturn* courts was whether the particular set of restrictions there under consideration, taken together, were sufficient to maintain the legally required distinction between charter and scheduled service. In both cases, the courts found the restrictions sufficient. The commenters' argument moves invalidly from the statement that a particular set of restrictions is sufficient, to the conclusion that those restrictions are necessary to maintain the distinction between charter and scheduled service. However, neither the *Saturn* court nor the *Pan American* court found any specific restriction, or set of restrictions, legally necessary to maintain the required distinction.

Congress has declined to define explicitly the concept of charter travel, and has preferred instead to allow the Board to fashion various charter forms in response to changing developments in the air travel industry. These various charter forms incorporate different sets of restrictions. For example, the Inclusive Tour Charter (ITC) rule requires a ground package, but does not require advance purchase or prelisting of participants; the TGC rule requires advance purchase and prelisting of participants, does not require a ground package, and allows 15 percent substitution of pre-listed participants; affinity charters may not be marketed by an indirect air carrier, and do not require advance purchase, a ground package, or a minimum stay, but participation is not open to the general public. These various charter rules, and the court cases upholding them, provide support for the proposition that there is no particular restriction, or set of restrictions, that is legally necessary for distinguishing charter from scheduled service.<sup>1</sup>

The carriers asserted not only that the ABC rule would fail to maintain the statutory required distinction, but that

ABC's would cause traffic diversion so severe as to threaten the very existence of scheduled service. The arguments on that issue, which overlap the legal issue previously discussed, generally were of three types:

1. That certain in-flight and ticket surveys show that many long-haul scheduled service passengers plan their trips 60 days in advance, buy round trip trickets, stay at their destinations more than 7 days, and therefore would be subject to diversion by ABC's;

2. That certain promotional fares on scheduled service (e.g., the "Freedom Fare") require advance purchase and a minimum stay, and that therefore such features do not distinguish ABC's as charters, or serve to minimize ABC's diversions from scheduled service; and

3. That certain risks imposed on ABC participants, such as cancellation of the charter flight, are hypothetical at best.

The Board does not find these arguments to be persuasive. There is a significant difference between planning a trip in advance of departure and buying a non-cancellable ticket several weeks prior to departure. ABC's are not designed to attract, and will not attract, people who desire flexibility in their travel plans. Scheduled-service passengers make plans with the knowledge that they can change departure or return dates, or cancel their travel arrangements without penalty. An ABC passenger will have no such flexibility. He will become a member of a group assembled by an indirect air carrier, and will in fact be subject to the following risks: the charter may be cancelled by the direct air carrier or by the charter operator; the date of departure or return may be changed, or the city of departure may be changed;<sup>2</sup> if the passenger cancels his participation in the charter he is likely to forfeit a substantial portion of its cost. Thus, by becoming a member of a charter group a participant puts himself in a situation where he must conform his travel plans to contingencies controlled by the charter operator. This is not the case in any normal form of scheduled service. On the basis of the foregoing, the Board finds that the conditions and risks imposed on ABC participants, taken together, adequately distinguish ABC service from scheduled service.

Predictions of devastating diversion, very similar to those advanced here, were advanced by opponents of charter travel in the ITC, TGC, and OTC rulemaking proceedings. However, experience has not shown that the predicted results have materialized. No commenter submitted any evidence with respect to such diversion, and nothing in the comments indicated that the current diversion predictions are based on anything other than

speculation. Furthermore, the CAB has no evidence that demonstrates that the current diversion predictions are credible.

Finally, it should be remembered that the scheduled carriers will have full opportunity to participate in the ABC experiment. Scheduled carriers not only have authority to operate charters, but historically have carried the majority of charter passengers. Thus, the feared diversion, if it occurred, would only involve some redistribution of traffic from scheduled to charter flights without a loss of traffic for the scheduled carriers.

In the unlikely event that ABC's should prove to have undesirable effects that outweigh their benefits to the public, the Board has specifically retained the power to impose direct limitations on ABC operations in particular markets. The Board can control diversion pursuant to § 371.5. Procedures for imposition of limitations and restriction on ABC operations.<sup>3</sup> Finally, if any unanticipated consequence contrary to the public interest should develop, the Board is fully prepared to amend the rule or to revoke it entirely if necessary. The Board thus possesses adequate regulatory tools to deal with unforeseen problems that might arise during the course of the experiment.

Eastern Air Lines asserted that ordinary principles of due process require that prior to adopting the ABC rule, the Board must conduct a hearing on the merits in order to permit affected parties to present oral evidence as to the possible adverse consequences of authorizing ABC's. This proceeding is clearly rule-making as defined in the Administrative Procedure Act (5 U.S.C. 551 *et seq.*), and the procedures followed have been in accordance with those prescribed in that Act. An oral hearing on the merits is not legally required.

All parties to this proceeding have been afforded the opportunity to submit arguments in initial comments and in reply comments—Eastern, for one, submitted both. Thus, affected parties have had ample opportunity to "make their case" in this proceeding. Nothing in the comments has persuaded the Board that any further hearing would be of help to the parties or to the Board, or would serve any purpose other than delay. Therefore the Board finds that it would not be in the public interest to postpone its decision herein pending further proceeding.

#### DISTINCTION BETWEEN EUROPEAN AND NON-EUROPEAN ABC'S

As explained in EDR-294, the Board believes that, in the interest of promoting travel and harmony in aviation relations with our principal European parents, the ABC rule should strive for international acceptability and commonality of charter rules with the European countries comprising the major charter

<sup>1</sup> See the Board's discussion of the relevant cases in the preamble to the amendments authorizing the operation of foreign-originating TGC/ABC's according to the rules of the country of origin. SPR-74, 39 FR 10886 (March 3, 1974).

<sup>2</sup> The operator usually reserves such rights by contract. The participant is given the choice of re-arranging his travel plans to suit the operator, or cancelling his participation and attempting to make alternate plans.

<sup>3</sup> The Board also has the power to control the offroute ABC operations of scheduled carriers by amending the off-route charter restrictions set forth in Part 207 of the Board's Economic Regulations (14 CFR Part 207).

destinations of United States travelers.<sup>4</sup> Thus the Board proposed to distinguish the ABC's flying to a limited group of European countries<sup>5</sup> that have insisted on more restrictive charter rules (hereafter referred to as "European," although it should be remembered that they comprise by no means all of Europe) from all others. The proposal stated that European charters would include a 7-day minimum stay, a 60-day advance purchase period, and a prohibition on substitutions. For all other ABC's the Board proposed to require a 30-day advance purchase period, no minimum stay, and to allow 15 percent substitution. All other proposed ABC requirements were to be uniformly applied to all destinations.

The National Air Carrier Association (NACA) and certain tour operators argued that international acceptability should not be sought at the expense of U.S. marketability, and noted that a 60-day advance purchase requirement, with no right of substitution, would be even more restrictive (and presumably less marketable) than the TGC. They urged the Board to eliminate the European/non-European distinction by adopting the non-European rules for all destinations. The Board, they argued, should adopt a charter rule suited to the needs of U.S. travelers, and should seek international acceptability through negotiations rather than unilateral concessions.

On the other hand, the European Civil Aviation Conference (ECAC), an organization of the Civil Aviation Directors of 20 European Countries, argued that those States "attach the highest importance to the inclusion of all ECAC Member States within the group of countries to which the rules for European charters would apply." The Board has carefully weighed both arguments and, while it would be simpler to adopt one set of charter regulations applicable to all destinations, it would not be appropriate to

adopt in its entirety the less restrictive rule for "European charters." To do so would ignore the objective of moving toward commonality and thereby facilitating and promoting charter flights to key destinations. Recognition of special concerns for "European charters" would buttress insistence by the U.S. on a regime of reciprocal acceptance of "country of origin" charter rules. Also, in our judgment the conditions set for European charters would not compromise marketability of the charters in this country. The Board, therefore, finds that a rule with a different set of restrictions containing elements of commonality with ABC rules already promulgated by some European countries should be applied to "European charters," while the more liberal rule would be applied to all other ABC charters. Moreover, the Board finds that it would not be appropriate to apply the "European charters" rule to ECAC Member States which do not wish to be included in the European charters category—indeed, Austria has already so indicated, and has accordingly been excluded now.

While the Board desires to achieve commonality of international charter rules, it is persuaded that special rules for European ABC's should not be so much more restrictive than those attached to TGC's that they may be rendered unsuitable for the needs of the U.S. charter market. Therefore the Board has decided to modify the proposed special restrictions on European ABC's, so that they will be subject to the following restrictions: 45-day advance booking period; 7-day minimum stay; allowable substitution of 10 percent from a filed standby list plus 10 percent from the general public. We believe that this set of special restrictions strikes a more reasonable balance than our proposed rules would have, between the somewhat conflicting goals of achieving both commonality with European rules and marketability in the United States. Each of the restrictions is discussed separately below.

On the other hand, Pan American urged that the Board avoid a situation such as the one that occurred when OTC's were first authorized—where carriers actively promoted and sold OTC's only to discover that landing rights in foreign destinations were unavailable. Thus, Pan American argued that the Board should defer the effectiveness of the rule pending negotiations on acceptance abroad. The Board is of the opinion that the more stringent restrictions we are imposing on European ABC's will obviate most obstacles to foreign acceptance, and therefore finds that it would not be necessary or desirable to delay the rule's effectiveness pending the outcome of foreign negotiations.

#### ADVANCE BOOKING PERIOD

An ABC participant will be required to purchase his charter a substantial period of time before departure, known as the

advance booking period.<sup>6</sup> The Board proposed a 60-day advance booking period for European ABC's and a 30-day advance booking period for all others. The 30-day period was to be reduced to 15 days after October 1, 1978. Although the Board felt that the proposed non-European advance booking period would maintain the distinction between charter and individually ticketed service, a longer advance booking period was proposed for European ABC's in an effort to promote foreign acceptability and commonality of charter rules with foreign destinations. Pan American, TWA and other scheduled carriers favored a 60-day advance booking period for all destinations. The Department of Transportation (DOT) and several tour operators argued that the Board should adopt the more marketable 30-day period for all destinations, and negotiate with countries desiring a longer advance booking period.

Capitol International Airways argued that although certain European countries require a 60-day advance booking period in their charter rules, the European vacation habit is much better suited to a lengthy advance booking period than is the American vacation habit. In Europe, Capitol alleged, entire industrial plants or government units close for a fixed duration on a pre-determined time schedule, so that Europeans plan charter trips much farther in advance than Americans.

Although ECAC did not comment on the specific issue of the advance booking period, it did express a willingness "... to enter into multilateral discussions with the United States authorities concerned at an appropriate time with a view to seeing whether the two sets of rules can be further harmonized." Thus a statement has been received indicating that the Board's action herein might evoke corresponding European moves toward commonality.

The advance booking period is but one of a set of interrelated restrictions that must be evaluated according to their aggregate effect. Nothing in the comments has persuaded the Board that the proposed advance booking period for non-European ABC's would be too short, as a matter of law or policy, for purposes of this regulatory experiment. Therefore, for non-European ABC's the Board is adopting a 30-day advance booking period, which will be reduced to 15 days on October 1, 1978.

However, based on the comments, and on experience with the TGC rule, which has a 60-day advance booking requirement, the Board has decided to adopt a 45-day advance booking period for European ABC's rather than the proposed 60-day advance booking period. This shorter period will present less of an impediment to marketability in the United

<sup>6</sup> An advance booking requirement of 30 days, for instance, is enforced by requiring the tour operator to file a passenger list not later than 30 days before the scheduled date of departure.

<sup>4</sup> The United States has entered into bilateral understandings with France, West Germany, Ireland, the Netherlands, and the United Kingdom, pursuant to which this type of charter is to be operated under the laws of the country of origin and the parties thereto are committed to "strive for commonality of charter rules." Bilateral understandings concerning this type of charter, which are similar to the foregoing but do not include the above commitment, exist with Austria, Belgium, Canada, Jordan, Switzerland, and Yugoslavia.

<sup>5</sup> The following countries were included in the proposed definition of "European" ABC's: Austria, Belgium, the Federal Republic of Germany, Finland, France, Ireland, the Netherlands, Switzerland, and the United Kingdom. Thereafter, the State Department informed the Board that Austria requested that it be excluded from the definition. A copy of the State Department's letter has been placed in this docket. Should any other country request that the Board exclude it from the definition of "European" for purposes of ABC charters, the Board would do so. On the other hand, Italy has recently promulgated an ABC rule similar to those of the aforementioned countries, and therefore it will be included in the European category.

States, and at the same time will be a move towards commonality of rules, since it represents a compromise between the 60-day advance booking period common to all European rules and the 30-day period chosen by the Board for all other international and domestic charters. Additionally, after October 1, 1978, consistent with this compromise there will be a parallel reduction to 30 days of the advance booking period for European ABC's.

At least 9 months prior to that date the Board will reconsider, in a proceeding allowing opportunity for public comment, whether these advance booking period reductions should become effective, be deferred or otherwise modified. In that proceeding the Board will be able to evaluate the advance booking periods in light of actual experience with ABC's.

#### SUBSTITUTION

For European ABC's, the proposed rule would have prohibited substitutions for participants who cancel after the passenger list has been filed, 15 percent substitution would be allowed for all other ABC's. In addition, the Board solicited comments on the following possible alternatives, which are not mutually exclusive:

##### A. For "European" ABC's:

(1) To permit substitutions of up to 10 percent of the participants named on the filed list;

(2) To require the operator to provide each participant with prepaid insurance against the risk of causes which would reasonably necessitate cancellation (e.g., death or serious illness in the passenger's immediate family);

(3) To require the operator to offer each passenger the opportunity to pay a nonrefundable amount (not to exceed 5 percent of the price of passage), the first time any payment is made, to obtain the right to refund in full of all other monies paid (for transportation only) in the event the passenger cancels for any reason until departure of the outbound flight; and

(4) To require the operator to limit the forfeiture penalty for postfiling cancellations, for any reason, to a maximum percentage (e.g., 20 percent) of the price of air transportation for cancellation until departure of the outbound flight.

B. For non-European ABC's: To permit up to 25 percent fill-ups (original sales after the advance booking deadline).

The no-substitution proposal for European ABC's received heavy criticism. The Aviation Consumer Action Project (ACAP), NACA, and the Department of Commerce (DOC) argued that such a provision would render European ABC's unmarketable. DOT, Evergreen International, and several tour operators contended that substitutions or fill-ups are necessary to keep prices and cancellation penalties at a minimum. The scheduled carriers argued, on the other hand, that any substitution would cause undue diversion from scheduled service. British Caledonian Airways, Limited (BCAL)

pointed out that prohibiting substitutions would not achieve commonality with European charter rules because those rules offer a superior facility—consolidation of charter groups within the 60-day advance booking period.

Even though Alternative A(1) received some support, most parties who favored substitutions favored a more liberal substitution policy—ranging from 15 to 25 percent. Moreover, many commenters, including DOT and NACA, argued that instead of substitutions, the Board should allow fill-ups of up to 25 percent on all ABC's (Alternative B). As DOT argued, substitution and cancellation insurance may provide refunds for cancelling passengers, but they do not fill unsold charter seats.

The Board has decided to allow 15 percent substitution on all charters other than "European charters," as proposed, to create sufficient flexibility for charter operators in dealing with late cancellations, and thereby to keep charter prices low. Although it proposed a no-substitution rule for European charters in order to promote commonality of charter rules with the group of European countries with restrictive charter rules, it is persuaded by the comments that such an absolute prohibition, coupled with a 45-day advance booking period, would seriously impair the marketability of European ABC's. The Board, therefore, will allow 10 percent substitution from the general public by charter operators on European ABC's. In addition, to offset the longer 45-day advance booking period for European charters, another 10 percent substitution will be permitted from a standby list of prospective passengers filed with the original list—a substitution feature which was originally part of the TGC rule, where the number of standby list names was limited. Although our proposed ABC rule did not include any provision for a standby list, we have determined that its addition to the ABC is in the nature of a technical change, designed to facilitate use of this charter form while imposing no substantial burden on those whom it affects, so that it may be adopted without prior public comments.

Capitol International suggested that the amount of allowable substitutions should be based on the number of seats contracted for, rather than on the number of names on the passenger list. The Board has decided to accept Capitol's suggestion. To the extent that the number of seats contracted for might exceed the number of seats sold to passengers, the operator will be able to effect a slightly greater number of substitutions.

In addition to the issue of substitution, the proposed alternatives raised the related issue of what penalties charter operators would be allowed to impose on passengers who cancel after the advance booking deadline.

The Board's Office of the Consumer Advocate (OCA) felt that Alternative A(2), mandatory cancellation insurance, should be adopted in addition to any substitution provision. In opposition, Luft-hansa and the Airline Charter Tour Op-

erators Association (ACTOA) argued that ABC participants should not be forced to buy insurance they might not want, and that mandatory cancellation insurance would increase prices. International Travel Service alleged that although it offers cancellation insurance to all its passengers, about 40 percent buy it.

No comment supported Alternative A (3), but several tour operators opposed it on the ground that an amount not to exceed 5 percent of the price of passage might not be enough to cover the actual expense of cancellation.

Regarding Alternative A(4), numerous tour operators predicted that if the Board set a maximum percentage on ABC cancellation fees, prices would go up.

The Board is of the opinion that Alternatives A (3) and (4) would be unduly arbitrary in attempting to fix the price of cancellations. Each operator is in the best position to determine the cost of cancellations, based on his experienced rate of cancellation and the expenses he incurs. Even if the Board were to establish a price for any element of a charter without establishing an overall price such action would not be effective since the operator would be free to include any cost greater than that allowed by the Board as a hidden element in setting the overall charter price. In light of these uncertainties as to the impact of such requirements on the charter business and the price to the consumer, the Board finds that it would not be in the public interest to adopt Alternatives A (3) or (4).

Similarly, the Board is persuaded that it would not be in the public interest to require participants to buy insurance they might not want, as proposed in alternative A(2). The Board finds, however, that such insurance should be made available to consumers if desired. Therefore, the Board is adopting a requirement that the operator make available, on an optional basis, insurance of the charter payment against contingencies that normally necessitate cancellation, such as death or serious illness in the passenger's immediate family. Similar requirements are included in the ITC and OTC rules (§ 378.17(a-1) and § 378a.30(b), respectively).

Finally, we have determined not to permit any fill-ups at this time. This feature was favored by some commenters, not only for non-European ABC's, as our proposed rule had suggested (Alternative B), but also for European ABC's, as discussed above. However, we are not persuaded that we should now introduce a provision allowing the sale of additional seats subsequent to the filing of passenger lists under a charter rule—such as this—which regards such prefilling of lists as a basic restrictive device.

#### MINIMUM STAY REQUIREMENT

A 7-day minimum stay requirement was proposed for European ABC's, but the Board also solicited comments on a possible alternative 4-day weekend ABC.

No minimum stay was proposed for non-European ABC's.

Although the 4-day weekend ABC was supported by OCA and a few tour operators, AAA questioned whether such a charter would be marketable in transatlantic markets. Lufthansa pointed out that when West Germany authorizes such ABC's it also increases the advance booking period in order to minimize diversion. Several commenters urged the Board not to adopt a 7-day minimum stay period merely to anticipate the demands of European destination countries.

The Board feels that a minimum stay requirement is not necessary, either to minimize diversion or to distinguish ABC's from scheduled service. Thus the Board would prefer not to adopt such a requirement for any ABC's. However, in order to move toward commonality of charter rules with European countries, the Board has decided to require a 7-day minimum stay period for European ABC's. No minimum stay will be required for non-European charters.

The Board has also decided not to include a 4-day weekend European ABC. That option would be of interest to only a limited number of travelers, and might serve to impede European acceptance of the ABC. A 7-day minimum stay for a trip to Europe will not be viewed as restrictive by the vast majority of U.S. travelers. The Board finds, therefore, that it would not serve the public interest to adopt a 4-day weekend European charter as a feature of the ABC experiment.

The following requirements will be applied uniformly to all ABC's, regardless of destination:

#### MINIMUM GROUP SIZE

The Board proposed to require a minimum group size of 40 seats, in accordance with the Board's other special charter rules. A minimum group size is required in order to maintain the basic concept of a "split charter" (i.e., a charter of less than the entire aircraft capacity) for group travel, and no comment advanced any persuasive argument for generally abandoning the 40 seat minimum. Air New England stated that in the special case of direct air carriers operating small aircraft, such a requirement would hinder the operation of ABC's. However, the 40-seat minimum in this rule—as in our other charter rules—only applies to "split charters." Thus, where the entire capacity of an aircraft is chartered, there is no minimum number specified for the size of the group participating in an ABC or any other type of charter. Moreover, to further encourage the operation of ABC's on aircraft having a capacity somewhat larger than 40 seats, the Board has decided to allow the transportation, on aircraft having fewer than 80 seats, of no more than 2 ABC groups, either of which may cover as few as 20 seats. Such a provision will, among other things, allow U.S. operators to compete more effectively for transborder traffic.

#### ROUND-TRIP GROUP TRAVEL

The ABC proposal set forth a round-trip group travel requirement. However, the Board stated that it was willing to consider allowing intermingling among ABC groups on returning flights organized by the same tour operator.

The intermingling alternative was opposed by certain trunklines, foreign air carriers and DOT. The allowance of intermingling, they argued, would destroy one of the basic characteristics which distinguishes ABC's from regularly scheduled service. That alternative, however, was supported by various tour operators, supplemental air carriers and government agencies, including OCA, who argued that it would give the tour operator more flexibility and help keep prices down.

The allowance of intermingling would create a substantial enforcement burden. Since it may be that ABC's will be sufficiently marketable and flexible without intermingling, the Board finds that under present circumstances any increase in flexibility would be outweighed by the enforcement and other problems that would be created by intermingling. Therefore, at this time, intermingling among charter groups will not be permitted.

#### PRICING

The Board proposed not to set any minimum price on ABC's. Eastern argued that it is unjust and discriminatory to require promotional fares on scheduled service to be economically justified while not imposing a similar requirement on ABC prices. Lufthansa, BCAL, and others stated that ABC prices will not be cost related. Charter Travel predicted cutthroat competition among tour operators. However, several tour operators, OCA, and DOT supported the Board's proposal. DOT argued that any restriction of price would inhibit the marketability of ABC's.

The lack of any required minimum price is one of the most important features of the ABC experiment. As OCA argued, and the Board agrees, this will generate the lowest possible ABC fares. The requirement for direct air carriers to file tariffs for the capacity sold to charter operators will tend to establish a minimum for ABC fares. Arguments against uneconomic fares and "cutthroat" competition are not persuasive. One of the fundamental ideas that underlies our market economy is that vigorous competition, especially price competition, is thoroughly healthy and leads to optimum conditions of operational efficiency and consumer benefit. It appears that the imposition of minimum prices for ABC's would serve no essential purpose, and may inhibit their marketability. The Board therefore finds that it would not be in the public interest to impose any minimum price on ABC fares.

#### GROUND ACCOMMODATIONS

The basic theory of the ABC, as proposed by the Board, is a charter involving air transportation only, with any

related ground accommodations being outside the purview of the regulatory scheme.

No commenter opposed this aspect of the proposed rule. Therefore, the ABC rule will require no ground accommodations as part of a charter package.

#### OTHER ISSUES

##### SIMPLIFIED CHARTER REGIME

The Board solicited comments on the advisability of devising a simplified charter regime by eliminating affinities and TGC's. That possibility was supported by foreign air carriers, tour operators and DOT. British Airways alleged that the Board is far behind other countries in eliminating affinities. However, DOT argued that affinities should not be abolished until ABC's have proved their superiority in the marketplace.

Other parties, including NACA, opposed the elimination of affinities on the ground that some legitimate affinity organizations might be unable to comply with the advance filing, bonding, and escrow requirements of the ABC rule. Moreover, they argued, with both ABC's and OTC's available there will be little incentive to violate the affinity rules.

The Board has decided not to eliminate other charter types until it undertakes a more thoroughgoing review of the charter rules and operations, generally, in light of experience gained under the ABC rule.

As stated above the Board has decided that at least 9 months prior to October 1, 1978 a public proceeding will be conducted allowing opportunity to comment on the advance booking period reductions. Unless we shall have already acted on this issue, the Board will at that time also solicit comments on whether affinities or TGC's should be abolished.

##### ENVIRONMENTAL AND ENERGY IMPACT

In EDR-294, the Board stated its tentative conclusion that the promulgation of the ABC rule would not require an environmental impact statement. Although the Board solicited comment on the possible environmental issues involved, no such comments were filed. However, Northwest Airlines argued that if ABC's are successful and non-diversionary there will be more airplanes flying more miles and burning more fuel, and that an energy impact statement would therefore be required under the Energy Policy and Conservation Act of 1975 (EPCA).

As explained in EDR-294, the Board is unable to predict the probable success or popularity of this new charter form in the marketplace, and is thus unable to predict the extent, if any, to which aircraft operations will be increased. Furthermore, charters comprise only a small part of the airline industry. Thus, even if ABC's are successful, the increment in aircraft operations will probably be small when compared to airline oper-

ations as a whole.<sup>7</sup> Also, ABC operations will be widely dispersed throughout international and domestic markets, making it very unlikely that the effects on such operations will be concentrated in any specific geographic area. To the extent that ABC's will promote plane load travel and increased load factors, the effect on fuel economy will be beneficial. EPCA requires that "where practicable and consistent with the exercise of [its] authority . . ." the Board must "include in any major regulatory action . . . a statement of the probable impact of such major regulatory action on energy efficiency and energy conservation . . ." By bringing about higher load-factors the promulgation of the ABC rule will help airlines meet the rising demand for low-cost air travel in the most efficient manner possible, and therefore will probably have a beneficial effect on fuel consumption. For the reasons stated, the Board also finds that the promulgation of this charter rule is not a major Federal action for which an environmental impact statement is required under the National Environmental Policy Act of 1969.

#### MISCELLANEOUS CHANGES FROM PROPOSED RULE

Since the ABC proposal was issued, there have been various amendments to other charter rules necessitating changes in the ABC rule as proposed. These changes, incorporated in the final rule, are as follows:

1. Definitions of "itinerary" and "citizen of the United States" have been added in § 371.2, Definitions.

2. For the reasons discussed in SPR-105, dated August 16, 1976, the passenger list filing procedures have been amended to operate as follows: The charter operator will file an original copy of the passenger list (and the standby list, if any) with the Bureau of Enforcement, along with a certification that two photostatic copies of the list (or lists) have been transmitted that day to the carrier (or carriers) for use in identifying passengers at enplanement of originating and returning flights. The operator will also transmit to the direct air carrier, along with the passenger and standby list copies, the required statements concerning participant contracts and depository bank receipts. The direct air carrier, after using the copies of the passenger and standby lists to identify enplaning passengers, will retain these documents at its principal office in the United States.<sup>8</sup> Those carriers that do not maintain offices in the United States will be required to mail the passenger and standby lists to the Bureau of Enforcement within 7 days of performing the subject flight. Every passenger and standby list will be deemed filed on the U.S. Postal Service postmark date imprinted on the envelope.

<sup>7</sup> Although OTC's caused an increase in charter operations, the Board is aware of no evidence that OTC's have brought about any adverse environmental effects.

<sup>8</sup> As a result of this change, proposed § 371.50(a) has been eliminated.

3. For reasons also discussed in SPR-105, the Board has decided not to adopt proposed § 371.51, Reporting of ABC service under contract.

4. In EDR-294, the Board proposed to amend the charter flight reporting requirements in Parts 217 and 241 of its Economic Regulations (14 CFR Parts 217 and 241) to include references to the ABC. However, in ER-949, 41 FR 12282 (March 25, 1976) and ER-950, 41 FR 12281 (March 25, 1976), the Board revised the charter flight reporting requirements in Parts 217 and 241, and included references to advance booking charters. Thus, the amendments to those parts proposed in EDR-294 are unnecessary.

EDR-294 proposed specific forms for ABC passenger lists, surety bonds, and post-operation prospectus and market data summaries, and the forms were set forth in Appendices A, B and C, respectively. These forms, along with a proposed standby list form, will be duly submitted to the General Accounting Office for approval, before they are formally issued. In order to avoid delay in issuing the ABC rule, the prescribed forms for passenger lists, standby lists, and surety bond, and post-operation prospectus and market data summaries will be adopted and issued in a separate proceeding.

#### SCOPE OF THE PROCEEDING

Several of the issues raised in the comments apply to other special charter rules, or to the basic charter rules (set forth in Parts 207, 208, 212, and 214 of the Board's Economic Regulations, 14 CFR Parts 207, 208, 212, and 214). For the reasons discussed below, the Board finds that the following issues are beyond the scope of this proceeding:

1. American Express, Pan American, and others argued that the Board should authorize part charters on scheduled service. That recommendation involves numerous legal and policy issues that need not be considered here, and that would be more profitably considered in a proceeding specifically addressed to part charters. To consider those issues in the context of the ABC proposal would necessitate a Supplemental Notice of Proposed Rulemaking, and would substantially impede the promulgation of a final rule herein. Furthermore, many of these issues will be addressed in the context of Notice of Proposed Rulemaking EDR-300, 41 FR 24903 (June 21, 1976), proposing the authorization of Contract Bulk Inclusive Tours (CBIT's) on scheduled service.

2. Several commenters requested that the Board amend the off-route charter restrictions set forth in Part 207. However, those issues are currently being considered in the context of the following pending rulemaking petitions, all requesting amendment of the off-route charter restrictions: the petition of the Office of the Consumer Advocate in Docket 28181; the petition of certain local service carriers in Docket 28058; the petitions of Pan American World Airways, Inc. in Dockets 28450 and 27855; the petition of Trans International Airlines, Inc. in Docket 28729; the petition of the National Air Carrier Association

in Docket 28204; and the petition of National Airlines, Inc. in Docket 28312.

3. TWA and American Airlines argued that direct air carriers should be authorized to sell ABC's directly to the public. They argued that by limiting ABC operators to persons other than direct air carriers, the Board will bring about fundamental changes in the air transportation industry. Tour operators, they argued, through their control of large blocks of traffic, will gain complete control of the industry. The Board notes that similar arguments were advanced in response to the OTC proposal. However, no fundamental changes in the airline industry have occurred. Furthermore, as with part charters, this suggestion involves a myriad of important issues which are complicated and extensive enough to be the subject of a separate proceeding.

4. Several travel agents and tour operators recommended numerous changes in the proposed ABC filing requirements, which are basically the same as the filing requirements of the other special charter rules. Thus, the arguments presented would justify changes in other rules in addition to the ABC rule. The Board is currently in the process of reviewing various aspects of its filing requirements for charters, and feels that it would not be appropriate to consider the general problems of filing procedures in a piecemeal fashion.

All comments not discussed by the Board herein have been considered and are found to be without merit.

MINETTI AND WEST, MEMBERS, DISSENTING IN PART

We are enthusiastic about the potential success of advance booking charters in providing economic and low-cost air transportation which will be generally available to the traveling public, yet which will maintain the distinction between charter and individually-ticketed service. We consider the ABC concept to be one of the most important steps the Board has taken toward the goal of making air transportation available to the greatest number of the traveling public. Although we find the rule adopted by the majority a step in the right direction in most respects, we would go further. Primarily, we disagree with the decision to unilaterally impose higher restrictions (viz., increasing the 30-day advance purchase period to 45 days, an increase of fifty percent) on charters in certain European markets. As discussed further herein, such additional restrictions for selective markets are required by no rule of law or economics but are imposed simply to meet foreign government objections as perceived by the majority. We find such speculation in advance of bilateral negotiations premature and we cannot acquiesce in imposition of unnecessary restrictions which will do nothing but hinder our primary purpose of making low cost air transportation available to the greatest number of people who might otherwise be unable to travel. We advocate a single rule of worldwide application with 30-day advance booking and, in addition, we would permit a reasonable rule of intermingling and twenty-five percent substitution. Armed with this single optimum rule, the Board should then be prepared to assist the carriers in developing appropriate markets through negotiation with foreign governments.

So long as the Board is careful to maintain the required distinction between group

travel by charter and individually-ticketed travel of the sort normally associated with scheduled point-to-point service, it is free to evolve a definition of "charter" in relation to such "variable factors as changing needs and changing aircraft . . . ." *Pan American World Airways, Inc. v. C.A.B.*, 517 F. 2d 734, 737 (2d Cir. 1975), quoting *American Airlines, Inc. v. C.A.B.*, 348 F. 2d 349, 354 (D.C. Cir. 1965). In adopting this rule as it is applicable to all non-European (and even many European) destinations the majority obviously finds that the required distinction is maintained. If that conclusion is sound, and we believe that it is, what possible rationale can there be for creating a separate, bifurcated system simply to meet the real or imagined objections of foreign governments in advance of bilateral negotiations? If, after thorough and careful examination of all the alternatives open to us, the Board has determined the rule which in its considered judgment best meets our statutory mandate, why should that rule be compromised at the outset? If certain foreign governments object to any aspect of the rule then such differences would, of course, be addressed in the course of bilateral negotiations with that country. If compromise is required, then more restrictive terms can always be added bilaterally as, and if, absolutely necessary.

We frankly find the majority's approach in this case to the inevitable international negotiations perplexing. The Board has heretofore adopted innovative charter concepts which differed in certain respects from those in force in foreign countries. When the TGC rule<sup>1</sup> was adopted, for example, it was subsequently necessary to enter into bilateral discussions aimed at achieving a mutually agreeable regime. With respect to split charters,<sup>2</sup> the Board specifically rejected the argument that the split charter concept should be disapproved simply because it was thought to be unacceptable to many foreign governments. On the contrary, it was understood that the Board's responsibility lay in assisting the carriers in developing a "meaningful market for this service."<sup>3</sup> On occasion, the Board has even granted to a U.S. carrier authority which was not specifically contemplated under the then-applicable treaty and relied on subsequent negotiations to secure the necessary authority.<sup>4</sup> Indeed, the novel approach is that that adopted by the majority in the present case.<sup>5</sup>

With regard to the rule adopted for all non-European destinations, we find that it meets in substantial part our concept of the optimum rule and we would give it worldwide application. We would, however, expand the rule somewhat by providing for intermingling and for substitution rights from the general public to a maximum of twenty-five percent. Intermingling would maintain

the economics of plane-load charters while geometrically increasing marketing flexibility and, accordingly, the benefits to the consumer. A more liberal substitution rule would likewise increase the marketing opportunities by giving some greater flexibility in individual vacation planning. Neither addition would, we believe, detract from the distinction the Board finds to exist between ABC charters and individually-ticketed service.

G. JOSEPH MINETTI,  
LEE R. WEST

Accordingly, the Civil Aeronautics Board hereby adopts Part 371 of its Special Regulations (14 CFR Part 371) effective October 7, 1976, as follows:

**Subpart A—General Provisions**

- Sec. 371.1 Applicability.
- 371.2 Definitions.
- 371.3 Waivers.
- 371.4 Enforcement.
- 371.5 Procedures for imposition of limitations and restrictions on ABC operations.
- 371.6 Computation of time.
- 371.7 Termination of part.

**Subpart B—General Conditions and Limitations**

- 371.10 Advance Booking Charter general requirements.
- 371.11 Payment to direct air carrier(s).
- 371.12 No intermingling of passengers.
- 371.13 Unused space.
- 371.14 Substitution for charter participants named on filed list.

**Subpart C—Requirements Applicable to Charter Operators**

- 371.20 Exemption.
- 371.21 Approval of certain interlocking relationships.
- 371.22 Effect of exemption on antitrust laws.
- 371.23 Jurisdiction over foreign charter operators.
- 371.24 Suspension of exemption authority.
- 371.25 Operating authorization of charter operators.
- 371.26 Discrimination.
- 371.27 Methods of competition.
- 371.28 Charter prospectus.
- 371.29 Charter contract.
- 371.30 Contract between charter operator and charter participants.
- 371.31 Surety bond and depository agreement.
- 371.32 Disbursements from depository account.
- 371.33 Record retention.

**Subpart D—Requirements Applicable to Direct Air Carriers**

- 371.40 Charter not to be performed unless compliance with Part.
- 371.41 Direct air carrier to identify enplanements.
- 371.42 Tariffs to be on file for charter trips.
- 371.43 Advance booking charters operated by U.S. certificated air carriers or foreign air carriers for foreign charter operators.
- 371.44 Record retention.

**Subpart E—Charter Trip Reporting Requirements**

- 371.50 Charter trip reporting.

**AUTHORITY:** Secs. 101(3), 240(a), 401, 402, 407, 416 and 1102 Federal Aviation Act of 1958, as amended; 72 Stat. 737 (as amended by 75 Stat. 467, 76 Stat. 143, 82 Stat. 867, 84 Stat. 921, 88 Stat. 409, 419), 743, 754, 757, 766, 771, and 797; 49 U.S.C. 1301, 1324, 1371, 1372, 1377, 1386, and 1502.

**Subpart A—General Provisions**

**§ 371.1 Applicability.**

This part establishes the terms and conditions governing the furnishing of

"Advance Booking Charters" (ABC's) in air transportation by direct air carriers and foreign air carriers and by ABC charter operators. This part also relieves such charter operators (other than foreign charter operators) from various provisions of Title IV of the Federal Aviation Act of 1958, as amended, for the purpose of enabling them to provide ABC's utilizing aircraft chartered from such direct carriers. It also contains a limited declination of exercise of jurisdiction over foreign charter operators. The provisions of this regulation shall not be construed as limiting any other authority to engage in air transportation issued by the Board. Nothing contained in this part shall be construed as repealing or amending any provisions of any of the Board's regulations, unless the context so requires.

**§ 371.2 Definitions.**

As used in this part, unless the context otherwise requires—

"Act" means the Federal Aviation Act of 1958, as amended.

"Advance booking charter" (or "ABC") means a round-trip charter to be performed by one or more direct air carriers, which is arranged and sponsored by a charter operator for a group and which meets the requirements set forth in Subpart B of this part.

"Advance booking charter operator" (or "ABC operator") means (1) any citizen of the United States, as defined in this section (other than a direct air carrier), who is authorized hereunder to engage in the formation of groups for transportation on ABC's in accordance with the provisions of this part; or (2) a foreign charter operator.

"Charter" means an advance booking charter.

"Charter group" means an aggregate of persons who are assembled by a charter operator or a foreign charter operator for the purpose of participation as a single unit in an advance booking charter.

"Charter operator" means an ABC operator.

"Charter participant" means a person whose name has been filed with the Board in accordance with § 371.25 or a person substituted therefor in accordance with § 371.14 of this part.

"Citizen of the United States" means (a) an individual who is a citizen of the United States or of one of its possessions, or (b) a partnership of which each member is such an individual, or (c) a corporation or association created or organized under the laws of the United States, of which the president and two thirds or more of the board of directors and other managing officers thereof are such individuals and in which at least 75 per centum of the voting interest is owned or controlled by persons who are citizens of the United States or of one of its possessions.

"Direct air carrier" means (1) an air carrier holding a certificate of public convenience and necessity issued pursuant to Section 401 of the Act, or (2) a foreign air carrier which holds a permit issued under Section 402 of the Act authorizing direct air transportation.

<sup>1</sup> SFR-74, adopted March 15, 1974.

<sup>2</sup> ER-659 adopted January 29, 1971.

<sup>3</sup> Id. at 16.

<sup>4</sup> Eg. Buffalo-Toronto Route Case, Order E-17830/1, November 3, 1961.

<sup>5</sup> We are reminded of the post-war North Atlantic Route Case wherein it was argued that the absence of agreement with foreign governments made international route awards an exercise in futility. Although the Board considered this fact to "be of some importance," it was of the view that the lack of an international agreement did not prevent the Board from granting several comprehensive route awards. North Atlantic Route Case 6 C.A.B., 319 (1945). Recently, the Board granted extension of U.S. transatlantic authority to new interior U.S. gateways, recognizing that such awards would entail additional bilateral negotiations with foreign governments. Transatlantic Route Proceeding, Docket 25908, released to the public July 21, 1976.

"European charter" means a charter between a point or points in any State of the United States, the District of Columbia, Puerto Rico, or the U.S. Virgin Islands, on the one hand, and a point or points in Belgium, the Federal Republic of Germany, Finland, France, Ireland, Italy, the Netherlands, Switzerland, or the United Kingdom, on the other hand.

"Foreign charter operator" means any person not a citizen of the United States, as defined in this section (other than a direct foreign air carrier), who is (1) engaged in the formation of groups for transportation on advance booking charters which originate in a foreign country and over whom the Board has declined to exercise its jurisdiction, or (2) engaged in the formation of groups for transportation on advance booking charters which originate in the United States and who holds a permit issued pursuant to Section 402 of the Act authorizing such transportation: *Provided, however, That with respect to §§ 371.21, 371.24, 371.25 (e) (1) and (2), 371-26-33, and 371.50, the definition for "foreign charter operator" is confined to the definition set forth in subparagraph (2) of this definition.*

"Itinerary" means all the components of a charter package, as described in the prospectus, including not only the points named therein but also all hotels, and other ground accommodations and services described therein.

"Round-trip" refers to any round, open-jaw or circle trip which includes an inbound flight returning to a point no more than 50 air miles from the point of origin.

#### § 371.3 Waivers.

A waiver of any of the provisions of this part may be granted by the Board upon its own initiative, or upon the joint submission by a direct air carrier and a charter operator of a written request therefor not less than 30 days prior to the flight to which it relates, provided that such a waiver is in the public interest and it appears to the Board that special or unusual circumstances warrant a departure from the provisions set forth herein. Notwithstanding the foregoing, waiver applications filed less than 30 days prior to a flight may be accepted by the Board in emergency situations in which the circumstances warranting a waiver did not exist 30 days before the flight.

#### § 371.4 Enforcement.

In the case of any violation of the provisions of the Act, or of this part, or any other rule, regulation, or order issued under the Act, the violator may be subject to a proceeding pursuant to sections 1002 and 1007 of the Act before the Board or a U.S. District Court, as the case may be, to compel compliance therewith, to civil penalties pursuant to the provisions of section 901(a) of the Act, or to criminal penalties pursuant to the provisions of section 902 of the Act, or other lawful sanctions.

#### § 371.5 Procedures for imposition of limitations and restrictions on ABC operations.

(a) Whenever in the opinion of the Board there are reasonable grounds to believe that the operation of ABC's to or from any point or points or in any city-pair or pairs may have a detrimental effect on the public interest, the Board may, on its own initiative or in response to a petition therefor, issue an order directing all interested persons to show cause why the Board should not impose a limitation on the number of ABC's that may be operated to or from the point or points, or in the city-pair or pairs, or should not impose other or additional restrictions on ABC operations in respect to the point or points or city-pair or pairs.

(b) Petitions seeking the issuance of such an order to show cause may be filed by any person. Answers to such petitions are permitted, but a failure to file an answer shall not prejudice anyone. Each such petition and any answer thereto shall conform to the requirements of § 302.3 of the Board's Rules of Practice. Copies of such petitions and answers shall be served on such persons as the Director, Bureau of Operating Rights, or his designee, shall direct.

(c) Comments in opposition to or in support of the issuance of an order as proposed in the order to show cause, and reply comments if authorized by the order to show cause, shall be filed with the Board by the date and served upon the persons specified in the order to show cause.

(d) A final order of the Board imposing such limitations or restrictions on the operations of ABC's as the public interest may require, or, if it would not be in the public interest to impose any limitation or restriction, terminating the proceeding shall thereafter be issued: *Provided, however, That the Board may from time to time conclude, in its discretion, that a hearing is warranted in order to best determine whether limitations or restrictions should be imposed on the operation of ABC's to or from any point or points or in any city-pair or pairs: And provided, further, That in the event a hearing is ordered, the Board may impose interim limitations or restrictions, pendente lite.*

(e) Notwithstanding the foregoing, when in the judgment of the Board the public interest so requires, the Board shall by order forthwith impose a limitation on the number of ABC's that may be operated to or from a point or points, or in a city-pair or pairs, or impose other or additional limitations and restrictions on ABC operations in respect to the point or points or city-pair or pairs.

#### § 371.6 Computation of time.

In computing any period of time prescribed or allowed by this part, the day of the act, event, or default after which the designated period of time begins to run is not to be included. The last day of the period so computed is to be included, unless it is a Saturday, Sunday, or legal holiday for the Board, in which event the

period runs until the end of the next day which is neither a Saturday, Sunday, nor holiday.

#### § 371.7 Termination of part.

The exemption provided by this part shall terminate on October 31, 1981, and shall not apply to any charter whose originating flight is scheduled to be performed subsequent to such date of termination.

#### Subpart B—General Conditions and Limitations

#### § 371.10 Advance booking charter general requirements.

Advance booking charters under this part shall meet the following requirements:

(a) The charter shall be arranged and sold by a charter operator as an independent principal with respect to the air transportation included in the charter and not as an agent for a direct air carrier. Such charter may, but need not, include ground accommodations and services.

(b) The charter contract must be for 40 or more seats: *Provided, That where an aircraft having fewer than 80 seats is utilized for not more than two ABC groups, the charter contract may be for not less than 20 seats.*

(c) The charter must be on a round-trip basis, but the departing flight and the returning flight need not be performed by the same direct air carrier.

(d) The minimum duration of a European charter must be seven (7) days, of which the first day shall be the day the originating flight takes off and the last day shall be the day the returning flight lands.

(e) The air transportation portion of the charter must be performed by direct air carriers which hold a certificate of public convenience and necessity under section 401 of the Act or a permit under section 402 of the Act.

(f) Passengers transported on the charter flight shall consist solely of charter participants or persons authorized to occupy unused space in accordance with § 371.13.

#### § 371.11 Payment to direct air carrier(s)

The direct air carrier(s) shall be paid in full for the cost of the roundtrip charter transportation prior to the scheduled date of flight departure, as provided for in the basic charter regulations applicable to the direct air carrier(s) under Parts 207, 208, 212, and 214 of this chapter, as the case may be.

#### § 371.12 No intermingling of passengers.

There shall be no intermingling of passengers and each payload group, or less-than-payload group, shall move together as a group, on both legs of the air transportation except under emergency circumstances provided for in the basic charter regulations applicable to direct air carriers under Parts 207, 208, 212, and 214 of this chapter, as the case may be.

### § 371.13 Unused space.

Nothing contained in this part shall preclude a charter operator from utilizing any unused space on an aircraft chartered by it for an ABC for the transportation, on a free or reduced basis, of such charter operator's employees, directors, and officers, and the parents and immediate families of such persons, subject to the provisions of Part 223 of this chapter.

### § 371.14 Substitution for charter participants named on filed list.

Substitutes may be arranged for charter participants at any time preceding departure, only in accordance with the following:

(a) The charter participant for whom a new participant is substituted shall receive a full refund of all monies paid to the charter operator with respect to the charter.

(b) For a European ABC, the total number of substitutes shall be no greater than 20 percent of the number of seats contracted for, consisting of not more than 10 percent from the general public plus 10 percent from a standby list filed in accordance with § 371.25(b).

(c) For any other ABC, the total number of substitutes shall be no greater than 15 percent of the number of seats contracted for.

#### Subpart C—Requirements Applicable to Charter Operators

### § 371.20 Exemption.

Subject to the provisions of this part and the conditions imposed herein, charter operators (other than foreign charter operators) are hereby relieved from the following provisions of Title IV of the Federal Aviation Act of 1958, as amended, to the extent necessary to permit them to organize and arrange ABC's:

Section 401, Section 403, Section 404 (a), except the requirement to provide adequate service in connection with ABC's operated hereunder, Section 405 (b), Section 407(b) and (c), Sections 408 (a) and 409, except control of interlocking relationships with direct air carriers, Section 412.

### § 371.21 Approval of certain interlocking relationships.

To the extent that any officer or director of a charter operator would be in violation of any of the provisions of section 409(a) (3) and (6) of the Act by participating in interlocking relationships covered by the exemption granted by § 371.20, such participation is hereby approved by the Board.

### § 371.22 Effect of exemption on anti-trust laws.

The relief granted by § 371.20 and § 371.21 from sections 408, 409, and 412 of the Act shall not constitute an order under such sections within the meaning of section 414 of the Act and shall not confer any immunity or relief from operation of the "antitrust laws" or any other statute (except the Act) with respect to any transaction, interlocking relationship, or agreement otherwise within the purview of such sections.

### § 371.23 Jurisdiction over foreign charter operators.

The Board declines to exercise its jurisdiction over foreign charter operators with respect to ABC's which originate in a foreign country. The Board reserves the right to exercise its jurisdiction over any foreign charter operator at any time it finds that such action is in the public interest.

### § 371.24 Suspension of exemption authority.

The Board reserves the power to suspend the exemption authority of any charter operator, without hearing, if it finds that such action is necessary in order to protect the rights of the traveling public.

### § 371.25 Operating authorization of charter operators.

A charter operator is authorized hereunder to organize and operate an ABC only in accordance with the provisions of this part, and subject to the following conditions:

(a) (1) No charter or series of charters shall be operated, nor shall any charter operator or foreign charter operator sell, or offer to sell, or solicit persons to participate in, or otherwise advertise such charter or charters, or receive any money from any prospective participant in connection therewith, until at least 15 days after he and the direct air carrier have jointly filed with the Board (Supplementary Services Division, Bureau of Operating Rights), in duplicate, an ABC Prospectus satisfying the requirements of § 371.28: *Provided, however,* That if during the 15-day period following filing hereunder the charter operator or foreign charter operator has been notified that the Board has rejected such statement for noncompliance with this part, then he shall not sell, nor offer to sell, solicit, or advertise such charter until he has subsequently been notified by the Board that such filing has been accepted. If a series of charters is to be performed for one charter operator or foreign charter operator pursuant to one charter contract the Prospectus may cover the entire series, provided the elapsed time between the commencement of the first charter and the departure of the last charter shall not exceed 180 days.

(2) No change in the facts reflected in a filed Prospectus shall become effective until at least 15 days after the charter operator or foreign charter operator and the direct air carrier have jointly filed with the Board (Supplementary Services Division, Bureau of Operating Rights), in duplicate, an amended Prospectus reflecting such change, unless he has been notified by the Board that such change may become effective sooner: *Provided, however,* That if during the 15-day period following filing of an amended Prospectus hereunder, the charter operator or foreign charter operator has been notified that the Board has rejected such amended Prospectus for noncompliance with this part, then such change shall not become effective until he has subsequently been notified by the Board that

such filing has been accepted: *And provided further,* That the direct air carrier need not join in the filing of an amended Prospectus which reflects only such change or changes as do not involve air transportation or services in connection therewith which are to be provided by such direct air carrier. Deviations from the Prospectus may not be made except where they are beyond the control of the carrier or the operator, and there is insufficient time to file an amended Prospectus.

(b) Not later than 45 days prior to the scheduled date of departure, in the case of European charters, and not later than 30 days prior to the scheduled date of departure for all others, the charter operator shall simultaneously:

(1) Transmit to the direct air carrier(s): (i) A statement of the charter operator affirming that each participant has entered into a contract with the operator as provided in this part, and has made full payment of the total price of the charter; (ii) a statement of the depository bank, if any, affirming that it has received a deposit of the total charter price payable to the direct air carrier(s); and (iii) two copies of the certified passenger list, as required by paragraph (d) of this section; and

(2) File with the Board (Investigation and Audit Division, Bureau of Enforcement) an original passenger list and a standby list, with respect to persons who have authorized the operator to include them in such list as prospective substitutes of main list passengers, or a statement that there are no standby list members. The passenger list and the standby list shall set forth the name of each passenger and standby in alphabetical order, his or her address and telephone number, and the name, address, and telephone number of the travel agent (if any) who sold the charter to the passenger: *Provided,* That where the outbound leg of an ABC is scheduled to depart on or after October 1, 1978, the information required by this paragraph (b) shall be filed not later than 30 days prior to the scheduled date of departure for European ABC's, and not less than 15 days prior to the scheduled date of departure for all others. The information required to be filed with the Board, under this section, shall be deemed filed on the U.S. Postal Service postmark date imprinted on the envelope.

(c) A charter operator may correct typographical or spelling errors on filed passenger and standby lists by filing a list of corrections with the Investigation and Audit Division, Bureau of Enforcement. A charter operator may make no other changes except corrections of clerical errors on filed passenger or standby lists, in accordance with the following conditions:

(1) The number of names on the corrected passenger list does not exceed the total number of seats chartered by the operator; and

(2) The charter operator files the following documents with the Investigation and Audit Division, Bureau of Enforcement: (i) A list of corrections, (ii) a statement certifying that any name to

be listed by means of correcting a filed passenger or standby manifest would have appeared on the original manifest but for a clerical error in preparing the list, and (iii) for passenger list corrections only, a photostatic copy of the passenger's cancelled check, or if there be none, a copy of a receipt or other kind of written evidence showing full payment of the charter price prior to the filing of the passenger list.

(d) A charter operator filing a passenger or standby list, or corrections thereto, under paragraphs (b) or (c), respectively, of this section, shall attach to those documents the following certification,<sup>1</sup> and shall simultaneously transmit to the direct air carrier(s) two photostatic copies (not carbons) of the certified list and corrections, if any, to be used in identifying enplaning passengers:

I, the undersigned, \_\_\_\_\_ of \_\_\_\_\_ (Title) \_\_\_\_\_, certify that two \_\_\_\_\_ (Name of Operator) photostatic copies of the attached document(s), and of this certification, were sent to \_\_\_\_\_ on this \_\_\_\_\_ (Date) \_\_\_\_\_ (Direct air carrier) \_\_\_\_\_ (Signature)

#### § 371.26 Discrimination.

No charter operator shall make, give, or cause any undue or unreasonable preference or advantage to any particular person, port, locality, or description of traffic in air transportation in any respect whatsoever, or subject any particular person, port, locality or description of traffic in air transportation to any unjust discrimination or any undue or unreasonable prejudice or disadvantage in any respect whatsoever.

#### § 371.27 Methods of competition.

No charter operator shall engage in unfair or deceptive practices or unfair methods of competition in air transportation or the sale thereof.

#### § 371.28 Charter Prospectus.

The Prospectus shall be filed in duplicate and shall include two copies of the following: The charter contract, the contract between the charter operator or foreign charter operator and charter participants, the charter operator's or foreign charter operator's surety bond (an original bond and a copy thereof), and, where applicable, two copies of the depository agreement with a bank as provided in § 371.31. It shall also contain the following information:

(a) Name and address of the charter operator or the foreign charter operator;

<sup>1</sup> Whoever, in any matter within the jurisdiction of any department or agency of the United States knowingly and willfully falsifies, conceals or covers up by any trick, scheme, or device a material fact, or makes any false, fictitious or fraudulent statements or representations, or makes or uses any false writing or document knowing the same to contain any false, fictitious, or fraudulent statement or entry, shall be fined not more than \$10,000 or imprisoned not more than 5 years or both. Title 18 U.S.C. 1001.

(b) The proposed date and time of each flight;

(c) Equipment to be used, including the aggregate number of each type of aircraft and capacity;

(d) The tour itinerary, if any, including hotels (name and length of stay at each), and other ground accommodations and services;

(e) The charter price per passenger and, if the charter trip includes a tour package, the tour price per passenger;

(f) The number of persons expected to participate in the charter;

(g) Charter price of the aircraft;

(h) Samples of solicitation material proposed by the charter operator or foreign charter operator (all sales advertising and solicitation materials employed by the charter operator or foreign charter operator shall state the name of the direct air carrier to be utilized).

#### § 371.29 Charter contract.

The charter contract between the charter operator or foreign charter operator and the direct air carrier shall evidence a binding commitment on the part of the carrier to furnish the air transportation required for the trip or trips covered by the contract.

#### § 371.30 Contract between charter operator and charter participants.

Where the contract is for air transportation only, or where each charter participant receives, or is eligible to receive, the same ground accommodations and services, the contract between the charter operator and the charter participants shall be the same. Contracts between charter operators and charter participants shall include provisions specifically stating:

(a) Method of payment, e.g., installment payments;

(b) That trip, health, and accident insurance is available and that upon request the charter operator will furnish details thereof;

(c) That after the list of prospective charter passengers and the list of standbys have been filed with the Board (pursuant to § 371.25(b)) the charter operator shall have no further right to cancel the charter on grounds of inadequate participation, but describing the right to refunds in the event of the charter's cancellation on any other grounds or contingencies set forth in the contract, and the procedure for obtaining such refunds;

(d) The right to refunds in the event of the participant's change of plans and the procedure for obtaining such refunds;

(e) The right to refunds in the event of change in itinerary and the procedure for obtaining such refunds;

(f) The dollar amounts of the direct air carrier's liability limitations for participants' baggage, as set forth in the direct air carrier's tariffs;

(g) Conditions governing aircraft-equipment substitutions;

(h) The name and address of the surety company issuing the surety bond;

(i) That the charter operator is the principal and is responsible to the par-

ticipants in making arrangements for all services and accommodations offered in connection with the charter. *Provided, however,* That this requirement shall not preclude the charter operator from expressly providing in such contract that, in the absence of negligence on the part of the charter operator, he is not responsible for personal injury or property damage arising out of the act or negligence of any direct air carrier, hotel or other person rendering any of the services being offered in connection with such charter;

(j) That unless the charter participant files a claim with the charter operator or, if he is unavailable, with the surety, within sixty (60) days after termination of the charter, the surety shall be released from all liability under the bond to such participant (see § 371.31(d)); and

(k) That, when the combined surety bond-depository agreement, as provided in § 371.31(b) is used in connection with the charter, all checks and money orders must be made payable to the escrow account at the depository bank (identifying bank) or, when the charter is sold to the participant by a retail travel agent, checks and money orders may be made payable to the agent, who must in turn make his check payable to the escrow account of the depository bank.

#### § 371.31 Surety bond and depository agreement.

(a) Except as provided in paragraph (b) of this section, the charter operator or foreign charter operator shall furnish a surety bond in an amount for not less than the charter price for the air transportation, if only air transportation is involved, or, if the charter involves land accommodations in addition to air transportation, a surety bond in one of the following amounts dependent upon the length of the charter or series of charters: (1) For a charter or series of charters of 14 days or less, a bond in an amount of not less than the charter price for the air transportation to be furnished in connection with such charter or series of charters; (2) for a charter or series of charters of more than 14 days but less than 28 days a bond in an amount of not less than twice the charter price; and (3) for a charter or series of charters of 28 days or more, a bond in an amount of not less than three times the charter price: *Provided, however,* That the liability of the surety to any charter participant shall not exceed amounts paid by that participant to the charter operator with respect to the charter.

(b) The direct air carrier and the prospective charter operator or foreign charter operator, before selling or offering to sell, soliciting or advertising any charter flight, may elect, in lieu of furnishing a surety bond as provided under paragraph (a) of this section, to comply with the requirements of paragraph (b) (1) and (2) of this section, as follows:

(1) A surety bond in the following minimum amounts: (i) If the charter is for air transportation only, a bond in

the amount of \$5,000 per round-trip charter flight, up to a maximum of \$50,000 for a series of 10 or more round-trip flights; or (ii) if the charter involves land accommodations in addition to air transportation, a bond in the amount of \$10,000 per round-trip flight, up to a maximum amount of \$100,000 for a series of 10 or more round-trip flights: *Provided, however,* That the liability of the surety to any charter participant shall not exceed the amounts paid by such charter participant to the charter operator with respect to the charter; and

(2) The direct air carrier and charter operator or foreign charter operator shall enter into an agreement with a designated bank, the terms of which shall provide that all deposits by charter participants paid to charter operators or foreign charter operators and their retail travel agents shall be deposited with and maintained by the bank subject to the following conditions:

(i) On sales made to charter participants by charter operators or foreign charter operators the participant shall pay by check or money order payable to the bank; on sales made to charter participants by retail travel agents, the retail travel agent may deduct his commission and remit the balance to the designated bank by check or money order: *Provided,* That the travel agent agrees in writing with the charter operator or foreign charter operator that if the charter is canceled the travel agent shall remit to the bank the full amount of the commission previously deducted or received within 10 days after receipt of notification of cancellation of the charter;

(ii) The bank shall pay the direct air carrier the charter price for the transportation not earlier than 60 days (including day of departure) prior to the scheduled day of departure of the originating or returning flight, upon certification of the departure date by the air carrier: *Provided,* That, in the case of a round-trip charter contract to be performed by one carrier, the total round-trip charter price shall be paid to the carrier not earlier than 60 days prior to the scheduled day of departure of the originating flight;

(iii) The bank shall reimburse the charter operator or foreign charter operator for refunds made by the latter to the charter participant upon written notification from the charter operator or foreign charter operator;

(iv) If the charter operator, foreign charter operator or the direct air carrier notifies the bank that a charter has been canceled, the bank shall make applicable refunds directly to the charter participants;

(v) After the charter price has been paid in full to the direct air carrier, the bank shall pay funds from the account directly to the hotels, sightseeing enterprises, or other persons or companies furnishing ground accommodations and services, if any, in connection with the charter or series of charters upon presentation to the bank of vendors' bills and upon certification by the charter operator or foreign charter operator of the

amounts payable for such ground accommodations and services and the persons or companies to whom payment is to be made: *Provided, however,* that the total amounts paid by the bank pursuant to paragraphs (b)(2)(ii) and (v) of this section shall not exceed either the total cost of the air transportation, or 80 percent of the total deposits received by the bank less any refunds made to charter participants pursuant to paragraphs (b)(2)(iii) and (iv) of this section, whichever is greater.

(vi) As used in this section, the term "bank" includes a bank, savings and loan association, or other financial institution insured by the Federal Deposit Insurance Corporation or the Federal Savings and Loan Insurance Corporation;

(vii) The bank shall maintain a separate accounting for each charter;

(viii) Notwithstanding any provisions above, the amount of total cash deposits required to be maintained in the depository account of the bank may be reduced by one or both of the following: The amount of surety bond in the form prescribed herein in excess of the minimum bond required by subparagraph (1) of this paragraph; an escrow with the designated bank of Federal, State, or municipal bonds or other securities, consisting of certificates of deposit issued by banks having a stated policy of redeeming such certificates before maturity at the request of the holder (subject only to such interest penalties or other conditions as may be required by law), or negotiable securities which are publicly traded on a securities exchange, all such securities to be made payable to the escrow account: *Provided,* That such other securities shall be substituted in an amount no greater than 80 percent of the total market value of the escrow account at the time of such substitution: *And provided, further,* That should the market value of such other securities subsequently decrease, from time to time, then additional cash or securities qualified for investment hereunder shall promptly be added to the escrow account, in an amount equal to the amount of such decreased value;

(ix) Except as provided in paragraph (b)(2)(ii), (iii), (iv), (v), and (viii) of this section, the bank shall not pay out any funds from the account prior to two banking days after completion of each charter, when the balance in the account shall be paid the charter operator or foreign charter operator, upon certification of the completion date by the direct air carrier. *Provided, however,* that if the charter involves air transportation only and the bank has paid the direct air carrier(s) the charter price for the originating and returning flights and has paid all refunds due to participants, as provided in subdivisions (ii) and (iii), respectively, of this subparagraph, then the bank may pay the balance in the account to the charter operator upon certification by the direct air carrier performing the originating flight that such flight has in fact departed.

(c) The bond required under paragraphs (a) and (b) of this section shall insure the financial responsibility of the

charter operator or foreign charter operator and the supplying of the transportation and all other accommodations, services, and facilities in accordance with the contract between the charter operator or foreign charter operator and the charter participants. Such bond shall be issued by a bonding or surety company (1) whose surety bonds are accepted by the Interstate Commerce Commission under 49 CFR 1084.6; or (2) which is listed in Best's Insurance Reports (Fire and Casualty) with a general policyholders' rating of "A" or better. The bonding or surety company shall be one legally authorized to issue bonds of that type in the State in which the charter originates. For purposes of this section, the term "State" includes any territory or possession of the United States, or the District of Columbia. The bond shall be specifically identified by the issuing surety with a company bond numbering system so that the Board may identify the bond with the specific charter or charters to which it relates: *Provided, however,* That these data may be set forth in an addendum attached to the bond, which addendum must be signed by the charter operator or foreign charter operator and the surety company. It shall be effective on or before the date the charter Prospectus is filed with the Board. If the bond does not comply with the requirements of this section, or for any reason fails to provide satisfactory or adequate protection for the public, the Board will notify the direct air carrier and the charter operator or foreign charter operator, by registered or certified mail, stating the deficiencies of the bond. Unless such deficiencies are corrected within the time set forth in such notification, the subject charter or charters shall in no event be operated.

(d) The bond required by this section shall provide that unless the charter participant files a claim with the charter operator or foreign charter operator, or, if he is unavailable, with the surety, within sixty (60) days after termination of the charter, the surety shall be released from all liability under the bond to such charter participant. The contract between the charter operator or foreign charter operator and the charter participant shall contain notice of this provision.

#### § 371.32 Disbursements from depository account.

No charter operator shall cause its agents or the depository bank to make disbursements or payments from deposits except in accordance with the provisions of this part.

#### § 371.33 Record retention.

Every charter operator conducting a charter pursuant to this part shall comply with the applicable record-retention provisions of Part 249 of this Chapter.

#### Subpart D—Requirements Applicable to Direct Air Carriers

#### § 371.40 Charter not to be performed unless compliance with part.

A direct air carrier shall not perform air transportation in connection with an

ABC unless it has made a reasonable effort to verify that all provisions of this part have been complied with, and that the charter operator's authority under this part has not been suspended by the Board: *Provided, however*, That where an ABC is organized by a foreign charter operator over whom the Board has declined to exercise its jurisdiction, pursuant to § 371.23, no direct air carrier may perform air transportation in connection with such ABC unless the charter is formed and implemented in accordance with the general conditions and limitations set forth in Subpart B of this part and the charter operator performs all acts and duties which this part requires to be performed by charter operators within the Board's jurisdiction, other than the provisions set forth in §§ 371.25(a) (1) and (2), 371.28, 371.30-33, and 371.50.

**§ 371.41 Direct air carrier to identify enplanements.**

(a) A direct air carrier shall retain a true copy of each document which it has received from the charter operator pursuant to § 371.25, and shall make reasonable efforts to verify the identity of all enplaning passengers by use of a document bearing an identifying number. For international flights, the identity of each enplaning passenger shall be verified by means of a passport, or if there be none, by means of any other travel identity document. For domestic flights a passport or other travel identity document should be used, if available, to identify enplaning passengers, but if no such document is available, then any other numbered document such as a Social Security card may be used.

(b) The direct air carrier shall, at the time of enplanement, enter, on its copy of the passenger list, the documentary source of the identification required by paragraph (a) above, including the number appearing on the documents, together with the name of any enplaning passenger whose name does not already appear on the passenger list. The total number of names on the passenger list, thus revised, shall not be greater than the number of names originally appearing on that list. The number of newly entered names shall not exceed the total amounts (or subtotal amounts, if there is a standby list), specified in § 371.14 of this part.

**§ 371.42 Tariffs to be on file for charter trips.**

No direct air carrier shall perform any charter trips pursuant to this part unless such air carrier shall have on file with the Board a currently effective tariff showing all rates, fares and charges for such charter trips.

**§ 371.43 Advance booking charters operated by U.S. certificated air carriers or foreign air carriers for foreign charter operators.**

At least 60 days in advance of the date of departure of a proposed foreign-originated European charter or series of charters, or at least 45 days in advance

of the date of departure of all other charters or series of charters, to be operated by a U.S. certificated air carrier or foreign air carrier for a foreign charter operator, such carriers shall file with the Board (Supplementary Services Division, Bureau of Operating Rights) a Charter Prospectus which shall contain the following information:

- (1) The name and address of the foreign charter operator;
- (2) The proposed date and time of each flight;
- (3) The aircraft type to be used for each flight including the number of seats;
- (4) The charter price for the aircraft;
- (5) The duration of each charter and, if applicable, the tour itinerary, including the names of hotels, and any sight-seeing or other arrangements included in the tour; and
- (6) The charter price per passenger, and, if the charter trip includes a tour, the tour price per passenger.

**§ 371.44 Record retention.**

A direct air carrier shall retain the information transmitted to it pursuant to § 371.25, including its annotated copies of the passenger list, at its principal office within the United States for a period of two years: *Provided, however*, That if the direct air carrier does not maintain an office in the United States, it shall return the documents transmitted to it pursuant to § 371.25 to the Bureau of Enforcement within 7 days of performing the flight to which those documents pertain.

**Subpart E—Charter Trip Reporting Requirements**

**§ 371.50 Charter trip reporting.**

(a) The direct air carrier shall promptly notify the Board (Supplementary Services Division, Bureau of Operating Rights) regarding any charters covered by a Prospectus filed under § 371.28 that are later canceled.

(b) Within 30 days after termination of a charter or series of charters, or in the case of series of charters extending over a period longer than 30 days, every 30 days, the direct air carrier and charter operator or foreign charter operator shall jointly file with the Board (Supplementary Services Division, Bureau of Operating Rights), a report indicating whether or not the charters authorized hereunder were, in fact, performed. For each charter operated, the report shall indicate the origin, destination(s) and number of passengers carried. To the extent that the operations differed from those described in the Prospectus filed under § 371.28, such differences shall be fully detailed, including the reasons therefor. However, the making of such an explanation shall not of itself operate as authority for or excuse any such deviations.

By the Civil Aeronautics Board.

PHYLLIS T. KAYLOR,  
Secretary.

**APPENDIX 1—PERSONS SUBMITTING COMMENTS ON THESE RULEMAKING PROCEEDINGS**

**ADVANCE BOOKING CHARTERS**

[EDR-294/SPDR-42/ODR-12]

*Initial Comments*

Aerline Eirenn Teoranta  
Air Jamaica (1968) Ltd.  
Airline Charter Tour Operators Association  
Air New England, Inc.  
Allan R. Morris Associates, Inc.  
Allegheny Airlines, Inc.  
American Airlines, Inc.  
American Automobile Association, Inc.  
American Express Company  
American Hotel & Motel Association  
American Land Development Association  
American Leadership Study Groups  
American Society of Travel Agents, Inc.  
Anglo California Travel Service, Inc.  
Aviation Consumer Action Project  
British Airways  
British Caledonian Airways Limited  
Capitol International Airways, Inc.  
Charter Travel Corp.  
Civil Aeronautics Board's Office of the Consumer Advocate  
Compania Mexicana De Aviacion, S.A.  
Consumer Federation of America  
Council on Wage and Price Stability  
Daman-Nelson Travel  
Davis Agency, Inc.  
Delta Air Lines, Inc.  
Department of Health, Education, and Welfare  
Department of Transportation  
Eastern Air Lines, Inc.  
Educational Tours, Inc.  
European Civil Aviation Conference  
Evergreen International Airlines, Inc.  
Experiment in International Living  
Globe-Gateway Tours, Inc.  
Great Vacations, Ltd.  
Holiday Club  
International Travel Service, Inc.  
Jet Airtransport Exchange, Inc.  
Las Vegas Parties  
Latin American Manufacturers Association  
Swissair Company Limited.  
Lufthansa German Airlines  
National Air Carrier Association  
National Airlines, Inc.  
Nationwide Leisure Corp.  
North Central Airlines, Inc.  
Northwest Airlines, Inc.  
Overseas Charter-A-Flight, Inc.  
Ozark Air Lines, Inc.  
Pan American World Airways, Inc.  
Phil-Am Travel Agency, Inc.  
Philippine Airlines  
Piedmont Aviation, Inc.  
Port of Oakland  
Robert M. Sears  
Seattle-King County Convention & Visitors Bureau  
Southern Airways, Inc.  
Spantax, S.A.  
Texas International Airlines, Inc.  
Trans World Airlines, Inc.  
United Air Lines, Inc.  
United States Department of Commerce  
Wardair Canada, (1975) Ltd.

*Reply Comments*

Airline Charter Tour Operators Association  
American Airlines, Inc.  
American Automobile Association, Inc.  
American Institute for Foreign Study, Inc.  
American Society of Travel Agents, Inc.  
Aviation Consumer Action Project  
Council on Wage and Price Stability  
Department of Transportation  
Eastern Air Lines, Inc.  
Lufthansa German Airlines  
Overseas National Airways, Inc.  
National Air Carrier Association

Pan American World Airways, Inc.  
 Regal Associates  
 Trans World Airlines, Inc.  
 Trans International Airlines, Inc.  
 United Air Lines, Inc.  
 United States Tour Operators Association,  
 Inc.  
 Unitours, Inc.

[FR Doc.76-26245 Filed 9-7-76;8:45 am]

**SUBCHAPTER E—ORGANIZATION  
 REGULATIONS**

[Reg. OR-103, Amdt. 24]

**PART 389—FEES AND CHARGES FOR  
 SPECIAL SERVICES**

**Advance Booking Charters**

Adopted by the Civil Aeronautics Board at its office in Washington, D.C. September 1, 1976.

By SPR-110, issued contemporaneously herewith, the Board is adopting a new Part 371 of its special regulations (14 CFR Part 371), which establishes a new class of charters designated as Advance Booking Charters (ABC's). Part 389 is being amended herein in order to make certain filing fees, applicable to the other special charter types, applicable to ABC's also.

Because the amendments create no significant additional burden for any member of the public, and because public benefit will be derived from putting them into effect without delay, it is found for good cause that notice and public procedure thereon are unnecessary and contrary to the public interest. Accordingly, the Civil Aeronautics Board hereby amends Part 389 of its Organization Regulations (14 CFR Part 389), effective October 7, 1976, as follows:

Amend § 389.25 by revising paragraphs (h) and (j) and by adding a new paragraph (x) to read as follows:

**§ 389.25 Schedule of filing and license fees.**

(h) Exemptions from section 401, waivers of Parts 207, 208, 371, 372, 372a, 373, 378, and 378a, and special operating authorizations. The filing fee for an application (1) for an exemption under section 416(b) or section 101(3) of the Act from the provisions of section 401 of the Act (except an application dealing with a specific number of charters) or (2) for a waiver of Parts 207, 208, 371, 372, 372a, 373, 378 or 378a (except an application dealing with a specific number of charters), or (3) for a special operating authorization under section 417 of the Act, is \$300.

(j) Exemptions or waivers for the performance of a specific number of charters. The filing fee for an exemption under section 416(b) or section 101(3) of the Act from the provisions of section 401 of the Act, or a request for a waiver of Parts 207, 208, 371, 372, 372a, 373, 378, or 378a, for the performance of a specific number of charters (one-way or round-trip) is \$100 plus \$10 for each charter (one-way or round-trip) described, subject to a maximum fee of \$300.

(x) Advance booking charters. The filing fee for each advance booking charter filing pursuant to § 371.25(b) of this chapter is \$50.

(Sec. 204(a) of the Federal Aviation Act, as amended, 72 Stat. 743; 49 U.S.C. 1324.)

By the Civil Aeronautics Board.

PHYLLIS T. KAYLOR,  
 Secretary.

[FR Doc.76-26244 Filed 9-7-76;8:45 am]

**Title 16—Commercial Practices**

**CHAPTER II—CONSUMER PRODUCT  
 SAFETY COMMISSION**

**SUBCHAPTER D—FLAMMABLE FABRICS ACT  
 REGULATIONS**

**PART 1632—STANDARD FOR FLAMMABILITY  
 OF MATTRESSES (AND MATTRESS  
 PADS) (FF 4-72)**

**Exemption From Flammability Testing for  
 Physician Prescribed Mattresses**

• Purpose. The purpose of this document is to amend 16 CFR 1632.31,<sup>1</sup> the rules and regulations issued under the Standard for the Flammability of Mattresses (and Mattress Pads) (FF 4-72, 16 CFR Part 1632), by adding a new paragraph which would allow an exemption from the prototype and production testing requirements of the Standards, as well as from any compliance testing conducted for or by the Consumer Product Safety Commission, for certain "one of a kind" mattresses manufactured in accordance with a physician's written prescription or other comparable written medical therapeutic specification which is to be used in connection with the treatment or management of a named individual's physical illness or injury. The amendment also requires that those mattresses exempted herein bear a permanent, conspicuous warning label. •

**BACKGROUND**

This proceeding was commenced in response to a January 23, 1974 petition submitted by Bay Area Mattress Company and Keva Mattress Company of Oakland, California. The petitioners sought an exemption, under § 1632.2(d) of FF 4-72, from testing "one of a kind" mattresses with specific reference to those mattresses manufactured to a customer's own specifications which might contain wool, cotton, hair and kapok core material, mattresses of odd size and shape and physician prescribed mattresses. Petitioners sought a comprehensive explanation of the term "one of a kind" mattresses.

On July 24, 1975, the Commission granted the petition to the extent that it pertained to physician prescribed mattresses. Subsequently, the Commission, by notice in the FEDERAL REGISTER of August 5, 1975 (40 FR 32845), proposed to amend the rules and regulations under the Standard for the Flammability of Mattresses (16 CFR 1632.31(i) (1), formerly 302.20(i) (1) to allow an exemption from the testing requirements of the Mattress Standard for certain physician

<sup>1</sup> This regulation was proposed as 16 CFR 302.20 and recodified as 16 CFR 1632.31.

prescribed mattresses. The basis for the amendment is that the cost of testing both prototype and production samples of mattresses, such tests being necessarily destructive, could make the medically required mattresses prohibitively expensive.

**RESPONSE TO PROPOSAL OF AUGUST 5, 1975**

In response to the proposed amendment, the Commission received comments from a chemical engineer and from a consumer oriented research group. Both comments support the amendment proposal of August 5, 1975, which would exempt mattresses manufactured in accordance with a physician's written prescription, but the comment from the consumer group further recommended that the physician prescribed mattress exemption should be limited to a single mattress order.

Section 1632.31(i) (1), formerly 302.20(i) (1), promulgated below, contains the restriction that the physician's written prescription or other comparable written medical therapeutic specification must be for "the treatment or management of a named individual's physical illness or injury \* \* \*". It does not limit the prescription or specification to a single mattress and the Commission does not feel that it would serve any purpose to place such a limitation upon a physician prescribing more than one mattress for a patient who needs them for a valid medical reason. Therefore, the Commission declines to make any further amendment in § 1632.31 at this time.

The consumer oriented research group also suggested that a special size mattress that is regularly manufactured in standard sizes should not be considered a one of a kind mattress. As indicated in the Preamble to the proposed standard, as long as the components of a mattress are placed in a mattress in the same configuration as the prototype, the size and shape of the mattress is irrelevant for cigarette ignition test purposes. Therefore, a nonstandard size or a nonstandard shape mattress is not necessarily a "one of a kind" mattress.

Although not commented upon, the Commission believes that the definition of "physician" in § 1632.31(i) (1) (iii), as proposed, should be expanded and clarified. It is being clarified so as to eliminate any confusion as to the extent of its intended coverage, and being expanded to include chiropractors licensed or otherwise permitted to practice by any State of the United States. The definition is being expanded because the Commission is of the opinion that since licensed chiropractors, on occasion, prescribe special mattresses as part of the therapy treatment of a patient, the needs of these patients deserve the same consideration as those of patients of licensed physicians or osteopaths.

**CONCLUSIONS AND PROMULGATION**

Having considered the proposal of August 5, 1975, the comments responding thereto, and other relevant material, the Commission concludes that the Flammable Fabrics Act regulations should be amended as set forth below.

Therefore, pursuant to section 5(c) of the Flammable Fabrics Act, as amended (Sec. 5(c), 81 Stat. 568; 15 U.S.C. 1191), and under authority vested in the Consumer Product Safety Commission by section 30(b) of the Consumer Product Safety Act (sec. 30(b), 86 Stat. 1231; 15 U.S.C. 2079(b)) the section heading to 16 CFR 1632.31 is amended and a new paragraph (i) is added as follows:

**§ 1632.31 Mattresses—labeling, record-keeping, requirements, guaranties, and "one of a kind" exemption.**

(i) "One of a kind" exemption.—(1) *Physician prescribed mattresses.* (i) A mattress manufactured in accordance with a physician's written prescription or other comparable written medical therapeutic specification, to be used in connection with the treatment or management of a named individual's physical illness or injury, shall be considered a "one of a kind mattress" and shall be exempt from testing under the standard pursuant to § 1632.2(d) thereof: *Provided*, That in lieu of the labeling required by paragraph (b) (3) of this section, the mattress bears a permanent, conspicuous and legible label which states:

**WARNING:** This mattress may be subject to ignition and hazardous smoldering from cigarettes. It was manufactured in accordance with a physician's prescription and has not been tested under the Federal Standard for the Flammability of Mattresses (FF 4-72).

Such labeling must be attached to the mattress so as to remain on or affixed thereto for the useful life of the mattress. The label must be at least 250 square centimeters (40 square inches) with no linear dimension less than 12.5 centimeters (5 inches). The letters in the word "WARNING" shall be no less than 1.2 centimeters (1/2 inch) in height and all letters on the label shall be in a color which contrasts with the background of the label. The warning statement which appears on the label must also be conspicuously displayed on the invoice or other sales papers that accompany the mattress in commerce from the manufacturer to the final point of sale to a consumer.

(ii) The manufacturer of a mattress exempted from testing under this paragraph shall, in lieu of the records required to be kept by paragraph (c) of this section, retain a copy of the written prescription or other comparable written medical therapeutic specification for such mattress during a period of three

years, measured from the date of manufacture.

(iii) For purposes of this regulation the term "physician" shall mean a physician, chiropractor or osteopath licensed or otherwise permitted to practice by any State of the United States.

**Effective date.** The Commission finds that this amendment to § 1632.31(i) constitutes an "exemption" to the existing rule and therefore, pursuant to the Administrative Procedure Act, section 553 (d) (1), the Commission sets the date of publication as the effective date.

Accordingly, the amendment promulgated in this document shall become effective September 8, 1976.

Dated: September 2, 1976.

SADYE E. DUNN,  
Secretary, Consumer Product  
Safety Commission.

[FR Doc.76-26246 Filed 9-7-76;8:45 am]

Title 24—Housing and Urban  
Development

CHAPTER X—FEDERAL INSURANCE ADMINISTRATION, DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

SUBCHAPTER B—NATIONAL FLOOD INSURANCE PROGRAM

[Docket No. FI-1053]

PART 1917—APPEALS FROM FLOOD ELEVATION DETERMINATION AND JUDICIAL REVIEW

Final Flood Elevation for the Borough of Dauphin, Dauphin County, Pennsylvania

The Federal Insurance Administrator, in accordance with Section 110 of the Flood Disaster Protection Act of 1973 (Pub. L. 93-234), 87 Stat. 980 which

added Section 1363 to the National Flood Insurance Act of 1968 (Title XIII of the Housing and Urban Development Act of 1968 (Pub. L. 90-448), 42 U.S.C. 4001-4128, and 24 CFR Part 1917 (§ 1917.10)), hereby gives notice of his final determinations of flood elevations for the Borough of Dauphin, Dauphin County, Pennsylvania under § 1917.8 of Title 24 of the Code of Federal Regulations.

The Administrator, to whom the Secretary has delegated the statutory authority, has developed criteria for flood plain management in flood-prone areas. In order to continue participation in the National Flood Insurance Program, the Borough must adopt flood plain management measures that are consistent with these criteria and reflect the base flood elevations determined by the Secretary in accordance with 24 CFR Part 1910.

In accordance with Part 1917, an opportunity for the community or individuals to appeal this determination to or through the community for a period of ninety (90) days has been provided. Pursuant to § 1917.8, no appeals were received from the community or from individuals within the community. Therefore, publication of this notice is in compliance with § 1917.10.

Final flood elevations (100-year flood) are listed below for selected locations. Maps and other information showing the detailed outlines of the flood-prone areas and the final elevations are available for review at the Bulletin Board, Dauphin National Bank, Allegheny Street, Dauphin.

Accordingly, the Administrator has determined the 100-year (i.e., flood with one-percent chance of annual occurrence) flood elevations as set forth below:

Source of flooding	Location	Elevation in feet above mean sea level	Width in feet from bank of stream to 100-yr flood boundary facing downstream	
			Left	Right
Susquehanna River	Corporate limits	332	80	(1)
	Schnylkill St. (extended)	333	90	(1)
	Corporate limits	334	130	(1)

<sup>1</sup> Corporate limits.

(National Flood Insurance Act of 1968 (Title XIII of Housing and Urban Development Act of 1968), effective January 28, 1969 (33 FR 17804, November 28, 1968), as amended; 42 U.S.C. 4001-4128; and Secretary's delegation of authority to Federal Insurance Administrator, 34 FR 2680, February 27, 1969, as amended by 39 FR 2787, January 24, 1974)

Issued: August 19, 1976.

HOWARD B. CLARK,  
Acting Federal Insurance Administrator.

[FR Doc.76-26026 Filed 9-7-76;8:45 am]

[Docket No. FI-1002]

**PART 1917—APPEALS FROM FLOOD ELEVATION DETERMINATION AND JUDICIAL REVIEW**

**Final Flood Elevation for the Borough of Honesdale, Wayne County, Pennsylvania**

The Federal Insurance Administrator, in accordance with Section 110 of the Flood Disaster Protection Act of 1973 (Pub. L. 93-234), 87 Stat. 980, which added Section 1363 to the National Flood Insurance Act of 1968 (Title XIII of the Housing and Urban Development Act of 1968 (Pub. L. 90-448), 42 U.S.C. 4001-4128, and 24 CFR Part 1917 (§ 1917.10)), hereby gives notice of his final determinations of flood elevations for the Borough of Honesdale, Wayne County, Pennsylvania under § 1917.8 of Title 24 of the Code of Federal Regulations.

The Administrator, to whom the Secretary has delegated the statutory authority, has developed criteria for flood plain management in flood-prone areas. In order to continue participation in the National Flood Insurance Program, the

Borough must adopt flood plain management measures that are consistent with these criteria and reflect the base flood elevations determined by the Secretary in accordance with 24 CFR Part 1910.

In accordance with Part 1917, an opportunity for the community or individuals to appeal this determination or through the community for a period of ninety (90) days has been provided. Pursuant to § 1917.8, no appeals were received from the community or from individuals within the community. Therefore, publication of this notice is in compliance with § 1917.10.

Final flood elevations (100-year flood) are listed below for selected locations. Maps and other information showing the detailed outlines of the flood-prone areas and the final elevations are available for review at the Council Room, 958 Main Street, Honesdale, Pennsylvania.

Accordingly, the Administrator has determined the 100-year (i.e., flood with one-percent chance of annual occurrence) flood elevations as set forth below:

Source of flooding	Location	Elevation in feet above mean sea level	Width in feet from bank of stream to 100-yr flood boundary facing downstream	
			Left	Right
Carley Brook	North of Freethy Pond Rd. at inflow to Freethy Pond.	(1)	20	30
Dyberry Creek	At northern corporate limit	978	710	280
	At 18th St. (extended)	976	590	290
	At 16th St. (extended)	975	70	560
	At 14th St. (extended)	974	90	160
	At 13th St. (extended)	972	50	150
	At High St. (extended)	970	40	40
Lackawaxen River	At 9th St. (extended)	968	20	60
	At western corporate limit	995	85	(2)
	South of U.S. Highway 6	990	220	(2)
	Maple Ave. intersection at West-side Ave. (extended)	975	40	50
	West of Fair Avenue Bridge	972	10	20
	At Court St. (extended)	970	20	40
	At 9th St. (extended)	968	20	60
	At 7th St. (extended)	966	30	60
	South of 4th Street Bridge	964	40	40
	South of Brown Street Bridge	962	30	50
	At southern corporate limit	961	100	100

<sup>1</sup> Approximate study, no elevations.  
<sup>2</sup> Corporate limits.

(National Flood Insurance Act of 1968 (Title XIII of Housing and Urban Development Act of 1968), effective January 28, 1969 (33 FR 17804, November 28, 1968), as amended; 42 U.S.C. 4001-4128; and Secretary's delegation of authority to Federal Insurance Administrator, 34 FR 2680, February 27, 1969, as amended by 39 FR 2787, January 24, 1974)

Issued: August 19, 1976.

HOWARD B. CLARK,  
 Acting Federal Insurance Administrator.

[FR Doc.76-26025 Filed 9-7-76;8:45 am]

[Docket No. FI-1040]

**PART 1917—APPEALS FROM FLOOD ELEVATION DETERMINATION AND JUDICIAL REVIEW**

**Final Flood Elevation for the Borough of Perkasio, Bucks County, Pennsylvania**

The Federal Insurance Administrator, in accordance with Section 110 of the Flood Disaster Protection Act of 1973 (Pub. L. 93-234), 87 Stat. 980, which added Section 1363 to the National Flood Insurance Act of 1968 (Title XIII of the Housing and Urban Development Act of 1968 (Pub. L. 90-448), 42 U.S.C. 4001-4128, and 24 CFR Part 1917 (§ 1917.10)), hereby gives notice of his final determinations of flood elevations for the

Borough of Perkasio, Bucks County, Pennsylvania under § 1917.8 of Title 24 of the Code of Federal Regulations.

The Administrator, to whom the Secretary has delegated the statutory authority, has developed criteria for flood plain management in flood-prone areas. In order to continue participation in the National Flood Insurance Program, the Borough must adopt flood plain management measures that are consistent with these criteria and reflect the base flood elevations determined by the Secretary in accordance with 24 CFR Part 1910.

In accordance with Part 1917, an opportunity for the community or individuals to appeal this determination or

## RULES AND REGULATIONS

through the community for a period of ninety (90) days has been provided. Pursuant to § 1917.8, no appeals were received from the community or from individuals within the community. Therefore, publication of this notice is in compliance with § 1917.10.

Final flood elevations (100-year flood) are listed below for selected locations. Maps and other information showing the

detailed outlines of the flood-prone areas and the final elevations are available for review at the Office of the Borough Secretary, 607 Chestnut Street, Perkasio, Pennsylvania.

Accordingly, the Administrator has determined the 100-year (i.e., flood with one-percent chance of annual occurrence) flood elevations as set forth below:

Source of flooding	Location	Elevation in feet above mean sea level	Width in feet from bank of stream to 100-yr flood boundary facing downstream	
			Left	Right
East branch, Perkiomen Creek.	West corporate limit.....	307	950	50
	Lenape Park Footbridge.....	308	520	30
	Footbridge.....	313	280	250
	East Callowhill St.....	315	200	460
Pleasant Spring.....	East corporate limit.....	319	(?)	240
	Grandview Ave. (extended).....	312	125	180
	South Main Street Bridge.....	317	150	150
	South corporate limit.....	326	70	120

<sup>1</sup> Corporate limit.

(National Flood Insurance Act of 1968 (Title XIII of Housing and Urban Development Act of 1968), effective January 28, 1969 (33 FR 17804, November 28, 1968), as amended; 42 U.S.C. 4001-4128; and Secretary's delegation of authority to Federal Insurance Administrator, 34 FR 2680, February 27, 1969, as amended by 39 FR 2787, January 24, 1974)

Issued: August 17, 1976.

HOWARD B. CLARK,  
Acting Federal Insurance Administrator.

[FR Doc. 76-26023 Filed 9-7-76; 8:45 am]

[Docket No. FI-998]

#### PART 1917—APPEALS FROM FLOOD ELEVATION DETERMINATION AND JUDICIAL REVIEW

##### Final Flood Elevation for the Borough of Shamokin Dam, Snyder County, Pennsylvania

The Federal Insurance Administrator, in accordance with Section 110 of the Flood Disaster Protection Act of 1973 (P.L. 93-234), 87 Stat. 980, which added Section 1363 to the National Flood Insurance Act of 1968 (Title XIII of the Housing and Urban Development Act of 1968 (P.L. 90-448), 42 U.S.C. 4001-4128, and 24 CFR Part 1917 (§ 1917.10)), hereby gives notice of his final determinations of flood elevations for the Borough of Shamokin Dam, Snyder County, Pennsylvania under § 1917.8 of Title 24 of the Code of Federal Regulations.

The Administrator, to whom the Secretary has delegated the statutory authority, has developed criteria for flood plain management in flood-prone areas. In order to continue participation in the

National Flood Insurance Program, the Borough must adopt flood plain management measures that are consistent with these criteria and reflect the base flood elevations determined by the Secretary in accordance with 24 CFR Part 1910.

In accordance with Part 1917, an opportunity for the community or individuals to appeal this determination to or through the community for a period of ninety (90) days has been provided. Pursuant to § 1917.8, no appeals were received from the community or from individuals within the community. Therefore, publication of this notice is in compliance with § 1917.10.

Final flood elevations (100-year flood) are listed below for selected locations. Maps and other information showing the detailed outlines of the flood-prone areas and the final elevations are available for review at Council Chambers, Municipal Building, U.S. Routes 11 and 15, Shamokin Dam, Pennsylvania.

Accordingly, the Administrator has determined the 100-year (i.e., flood with one-percent chance of annual occurrence) flood elevations as set forth below:

Source of flooding	Location	Elevation in feet above mean sea level	Width in feet from bank of stream to 100-yr flood boundary facing downstream	
			Left	Right
Susquehanna River.....	North corporate limit.....	447		180
	Bainbridge St.....	447		200
	5th Ave. (extended).....	446		700
	Walnut Ave. (extended).....	445		1,200
	8th Ave.....	444		1,120
	9th Ave. (extended).....	444		260
	10th Ave. (extended).....	444		246
	11th Ave. (extended).....	444		200
	Monroe Ave. (extended).....	444		180
	South corporate limits.....	444		100

(National Flood Insurance Act of 1968 (Title XIII of Housing and Urban Development Act of 1968), effective January 28, 1969 (33 FR 17804, November 28, 1968), as amended; 42 U.S.C. 4001-4128; and Secretary's delegation of authority to Federal Insurance Administrator, 34 FR 2680, February 27, 1969, as amended by 39 FR 2787, January 24, 1974)

Issued: August 17, 1976.

HOWARD B. CLARK,  
Acting Federal Insurance Administrator.

[FR Doc.76-26024 Filed 9-7-76;8:45 am]

[Docket No. FI-1052]

**PART 1917—APPEALS FROM FLOOD ELEVATION DETERMINATION AND JUDICIAL REVIEW**

**Final Flood Elevation for the Township of Dunnstable, Clinton County, Pennsylvania**

The Federal Insurance Administrator, in accordance with Section 110 of the Flood Disaster Protection Act of 1973 (P.L. 93-234), 87 Stat. 980, which added Section 1363 to the National Flood Insurance Act of 1968 (Title XIII of the Housing and Urban Development Act of 1968 (P.L. 90-448), 42 U.S.C. 4001-4128, and 24 CFR Part 1917 (§ 1917.10)), hereby gives notice of his final determinations of flood elevations for the Township of Dunnstable, Clinton County, Pennsylvania under § 1917.8 of Title 24 of the Code of Federal Regulations.

The Administrator, to whom the Secretary has delegated the statutory authority, has developed criteria for flood plain management in flood-prone areas. In order to continue participation in the

National Flood Insurance Program, the township must adopt flood plain management measures that are consistent with these criteria and reflect the base flood elevations determined by the Secretary in accordance with 24 CFR Part 1910.

In accordance with Part 1917, an opportunity for the community or individuals to appeal this determination to or through the community for a period of ninety (90) days has been provided. Pursuant to § 1917.8, no appeals were received from the community or from individuals within the community. Therefore, publication of this notice is in compliance with § 1917.10.

Final flood elevations (100-year flood) are listed below for selected locations. Maps and other information showing the detailed outlines of the flood-prone areas and the final elevations are available for review at the Township Office, Township Building, Plum Run, Dunnstable.

Accordingly, the Administrator has determined the 100-year (i.e., flood with one-percent chance of annual occurrence) flood elevations as set forth below:

Source of flooding	Location	Elevation in feet above mean sea level	Width in feet from bank of stream to 100-yr flood boundary facing downstream	
			Left	Right
Susquehanna River	Corporate limits	559.9	(1)	1,600
	L.R. 18042	562.8	(1)	
Chatham Run	Corporate limits	563.2	(1)	150
	L.R. 18042	559.9	(2)	
	Corporate limits	559.9	(1)	1,400

<sup>1</sup> Corporate limits.  
<sup>2</sup> All in flood plain.

(National Flood Insurance Act of 1968 (Title XIII of Housing and Urban Development Act of 1968), effective January 28, 1969 (33 FR 17804, November 28, 1968), as amended; 42 U.S.C. 4001-4128; and Secretary's delegation of authority to Federal Insurance Administrator, 34 FR 2680, February 27, 1969, as amended by 39 FR 2787, January 24, 1974)

Issued: August 19, 1976.

HOWARD B. CLARK,  
Acting Federal Insurance Administrator.

[FR Doc.76-26027 Filed 9-7-76;8:45 am]

[Docket No. FI-1081]

**PART 1917—APPEALS FROM FLOOD ELEVATION DETERMINATION AND JUDICIAL REVIEW**

**Final Flood Elevation for the Township of Kelly, Union County, Pennsylvania**

The Federal Insurance Administrator, in accordance with Section 110 of the Flood Disaster Protection Act of 1973 (P.L. 93-234), 87 Stat. 980, which added Section 1363 to the National Flood Insurance Act of 1968 (Title XIII of the Housing and Urban Development Act of 1968 (P.L. 90-448), 42 U.S.C. 4001-4128, and 24 CFR Part 1917 (§ 1917.10)), hereby gives notice of his final determinations of flood elevations for the Township of Kelly, Un-

ion County, Pennsylvania under § 1917.8 of Title 24 of the Code of Federal Regulations.

The Administrator, to whom the Secretary has delegated the statutory authority, has developed criteria for flood plain management in flood-prone areas. In order to continue participation in the National Flood Insurance Program, the Township must adopt flood plain management measures that are consistent with these criteria and reflect the base flood elevations determined by the Secretary in accordance with 24 CFR Part 1910.

In accordance with Part 1917, an opportunity for the community or individuals to appeal this determination to or

through the community for a period of ninety (90) days has been provided. Pursuant to § 1917.8, no appeals were received from the community or from individuals within the community. Therefore, publication of this notice is in compliance with § 1917.10.

Final flood elevations (100-year flood) are listed below for selected locations. Maps and other information showing the

detailed outlines of the flood-prone areas and the final elevations are available for review at the Bulletin Board, Township Building, R.D. 3, Lewisburg, Pennsylvania.

Accordingly, the Administrator has determined the 100-year (i.e., flood with one-percent chance of annual occurrence) flood elevations as set forth below:

Source of flooding	Location	Elevation in feet above mean sea level	Width in feet from bank of stream to 100-yr flood boundary facing downstream	
			Left	Right
West Branch Susquehanna	Route 642	468	(1)	360
	Route T387 (extended)	462	(1)	730
	Route LR59024 (extended)	461	(1)	790
Buffalo Creek	1,400 ft from the confluence of Spruce Run	486	800	(1)
	Route LR59020	473	740	(1)
	Strawbridge Rd	464	120	(1)
	Mill Rd	462	500	(1)
	Route 15	461	640	(1)
Little Buffalo Creek	Route LR59022	460	100	260
	Route T391	450	400	60
	Route LR59019	440	160	380
Spruce Run	Route LR59022	440	500	(1)
	Route LR59019	430	850	(1)

<sup>1</sup> Corporate limit.

(National Flood Insurance Act of 1968 (Title XIII of Housing and Urban Development Act of 1968), effective January 28, 1969 (33 FR 17804, November 28, 1968), as amended; 42 U.S.C. 4001-4128; and Secretary's delegation of authority to Federal Insurance Administrator, 34 FR 2680, February 27, 1969, as amended by 39 FR 2787, January 24, 1974.)

August 19, 1976.

HOWARD B. CLARK,  
Acting Federal Insurance Administrator.

[FR Doc. 76-26028 Filed 9-7-76; 8:45 am]

## Title 42—Public Health

### CHAPTER I—PUBLIC HEALTH SERVICE, DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE

#### PART 57—GRANTS FOR CONSTRUCTION OF HEALTH RESEARCH FACILITIES (INCLUDING MENTAL RETARDATION RESEARCH FACILITIES), TEACHING FACILITIES, STUDENT LOANS, EDUCATIONAL IMPROVEMENT AND SCHOLARSHIPS

##### Subpart CC—Grants to Assist Medical and Dental Schools in the District of Columbia

On April 29, 1976, there were published in the FEDERAL REGISTER (41 FR 17905) regulations implementing the District of Columbia Medical and Dental Manpower Act of 1970 (Pub. L. 91-650, 84 Stat. 1934, D.C. Code Sec. 31-921 et seq.), as amended by Pub. L. 93-389, 88 Stat. 763. (42 CFR Part 57, Subpart AC). The purpose of the amendment set forth below is (1) to revise § 57.2807(b) of such regulations to permit beneficiary schools to expend funds received under this program for the fiscal year ending June 30, 1976, for regular operating costs the obligation for which is incurred during the period July 1, 1975 through September 30, 1976, and (2) to revise § 57.2802(j) of such regulations to define the term "fiscal year" in a manner consistent with 31 U.S.C. 1020a.

The District of Columbia Medical and Dental Manpower Act of 1970 authorizes the Secretary of Health, Education, and Welfare to award grants to the Mayor of the District of Columbia to assist pri-

vate nonprofit medical and dental schools in the District of Columbia in their critical financial needs in meeting the operational costs required to maintain quality medical and dental educational programs and to increase the number of students in such institutions as a necessary health manpower service to the District of Columbia. Under Pub. L. 93-389, funds for this purpose were authorized to be appropriated through the fiscal year ending June 30, 1976. Congress has recently enacted Pub. L. 94-308, which extends the program as an emergency measure by authorizing the appropriation of funds for the fiscal year ending September 30, 1977.

Section 57.2807(b) of the implementing regulations provides that a beneficiary school may expend funds received under this program " \* \* \* for any purpose necessary to meet properly considered regular operating costs of the school the obligation for which is incurred in the fiscal year in which the grant is made \* \* \* " with certain exceptions not relevant here. The term "fiscal year" is defined in § 57.2802(j) of the regulations as the Federal fiscal year which, through June 30, 1976, commenced on July 1 of each year and ended on June 30 of the following year. With the enactment of Pub. L. 93-344 (31 U.S.C. 1020(a)), the Federal fiscal year was changed so that beginning on October 1, 1976, it will commence on October 1 of each year and end on September 30 of the following year. The change to the new fiscal year has created a transition period—July 1, 1976, through September

30, 1976—during which costs incurred by beneficiary schools could not, under the terms of § 57.2807(b), be paid for with funds received under either the grant for fiscal year 1976 or the grant authorized to be made for fiscal year 1977. In order to resolve this problem and to facilitate an orderly transition to the new fiscal year, the Secretary has decided to revise § 57.2807(b) to provide that, with respect to the expenditure of funds by beneficiary schools, the fiscal year beginning July 1, 1975, is deemed to extend through September 30, 1976. This revision will enable such schools to expend funds received under the fiscal year 1976 grant for allowable costs the obligation for which is incurred during the period July 1, 1975, through September 30, 1976.

Section 57.2802(j) of the regulations, which defined the term "fiscal year" as " \* \* \* the Federal fiscal year beginning July 1 and ending the following June 30," also has been revised to reflect the change to the new Federal fiscal year, as discussed above. The revised section defines such term to mean " \* \* \* the Federal fiscal year as defined in 31 U.S.C. 1020(a)."

Because immediate corrective action is necessary in order to permit the beneficiary schools to expend before December 31, 1976, funds received under the grant for fiscal year 1976 to liquidate obligations incurred during the period July 1, 1975, through September 30, 1976, as required by § 57.2807(b), and in light of the fact that consultation with the affected institutions (Georgetown University and George Washington University) has taken place, the Secretary has concluded that notice and public participation regarding the amendment to § 57.2807(b) are impracticable and contrary to the public interest, and has therefore found good cause for their omission. As a rule which relieves a restriction, this amendment to § 57.2807(b) will be effective on September 8, 1976, as will the amendment to § 57.2802(j), with respect to which the Secretary has for good cause also found that notice and public participation thereon are unnecessary inasmuch as the purpose of such amendment is to conform with the requirements of applicable Federal law.

Accordingly, Subpart CC of 42 CFR Part 57 is amended by revising §§ 57.2807(b) and 57.2802(j) thereof as set forth below.

Effective date: This amendment shall be effective September 8, 1976.

Dated: July 30, 1976.

THEODORE COOPER,  
Assistant Secretary for Health.

Approved: September 1, 1976.

DAVID MATHEWS,  
Secretary.

1. In 42 CFR 57.2802 paragraph (j) is amended to read as follows:

#### § 57.2802 Definitions.

(j) "Fiscal year" means the Federal fiscal year as defined in 31 U.S.C. 1020(a).

2. In 42 CFR 57.2807 paragraph (b) is amended to read as follows:

**§ 57.2807 Expenditure of grant funds.**

(b) *Beneficiary schools.* (1) Assistance made available to a beneficiary school from grant funds awarded under this subpart may be expended by such school in accordance with the applicable provisions of the Act, the regulations of this subpart, and the applicable terms and conditions of any grant award, for any purpose necessary to meet properly considered regular operating costs of the school the obligation for which is incurred in the fiscal year in which the grant is made: (Provided, That the fiscal year beginning July 1, 1975, is deemed to extend through September 30, 1976) which are required to maintain quality medical and dental educational programs and to increase the number of students in such school as a necessary health manpower service to the metropolitan area of the District of Columbia. Such funds shall not be expended for construction or for sectarian instruction or any religious purpose.

(2) Such funds will be available through December 31 of the fiscal year following the fiscal year in which the grant is made to the Mayor to liquidate obligations incurred by the beneficiary in accordance with paragraph (b) (1) of this section. The Secretary may for good cause shown provide the beneficiary an additional period of time, not to exceed 6 months, in which to liquidate such obligations.

[FR Doc. 76-26231 Filed 9-7-76; 8:45 am]

**Title 45—Public Welfare**

**CHAPTER II—SOCIAL AND REHABILITATION SERVICE (ASSISTANCE PROGRAMS) DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE**

**Separation of Income Maintenance Functions From Services Functions in AFDC**

Notice of proposed regulations to revoke the requirement for separation of services functions from assistance payments functions under titles I, IV-A, X, XIV, and XVI, and to clarify related FFP provisions was published in the FEDERAL REGISTER on February 17, 1976 (41 FR 7115), and a period of 30 days provided for public comment.

Two favorable responses and one inquiry were received, but no objections or suggestions.

The regulations revoke § 205.102. The separation requirements were instituted in 1972 when services were matched under the financial assistance titles (I, IV-A, X, XIV, and XVI (AABD)) of the Act. The aim was to assure that States would assign specific staff resources to the services program.

Pub. L. 92-603, the Social Security Amendments of 1972, exempted titles I, X, XIV, and XVI (AABD) from the requirements of § 205.102. The same law established a new title XVI (SSI) which would supersede the exempted titles (except for Puerto Rico, the Virgin Islands, and Guam) on January 1, 1974. It also

established a new title VI, to provide funding for services to aged, blind or disabled individuals.

Pub. L. 93-647 established a new title XX which, effective October 1, 1975, superseded title VI and the service provisions of title IV-A for the 50 States and the District of Columbia, and provides funding for services to families with children and to aged, blind, or disabled individuals in these jurisdictions.

Subsequent to these legislative changes, § 205.102 has been effective only in the three Island jurisdictions, not covered by title XX, which still operate programs of financial assistance and services under title IV-A. Nevertheless, there has been some confusion relative to applicability of the requirement in other jurisdictions. That confusion will be eliminated by revocation of § 205.102.

These regulations also delete a related provision which limited to 50 percent the rate of Federal financial participation (FFP) in the costs of workers assigned both services and assistance payments functions and provide for such costs to be allocated on the basis of time devoted to each function and matched at the appropriate rates of 50 percent for assistance payments functions and 75 percent for services functions.

The basis for the regulations is the Department's belief that (1) the three Island jurisdictions should be relieved of an administrative requirement which could prove burdensome, particularly because of the statutory limitations on rates of FFP and amounts of Federal funds payable to them; and (2) that all jurisdictions need freedom to employ their staff resources as best serves their particular needs without risking arbitrary reduction in the rate of FFP for services functions.

Accordingly, the amendments as proposed, with two minor technical corrections, are hereby adopted.

**PART 205—GENERAL ADMINISTRATION—PUBLIC ASSISTANCE PROGRAMS**

**§ 205.102 [Reserved]**

1. Section 205.102 is Reserved.

**PART 220—SERVICE PROGRAMS FOR FAMILIES AND CHILDREN; TITLE IV PARTS A AND B OF SOCIAL SECURITY ACT**

2. Section 220.61(f) is revised to read as set forth below:

**§ 220.61 Federal financial participation; AFDC.**

(f) *Rates and amounts of Federal financial participation (FFP) and cost allocation requirements for Puerto Rico, the Virgin Islands, and Guam.* (1) FFP at the 60 percent rate is available for the service costs identified in paragraphs (d) and (e) of this section; and for training and staff development including costs of training provided to welfare staff by courts or law enforcement officials.

(2) FFP at the 50 percent rate is available for emergency services.

(3) For family planning services and referral for participation under the Work Incentive Program for any fiscal year beginning on or after July 1, 1967, the Federal share to:

(i) Puerto Rico shall not exceed \$2 million.

(ii) The Virgin Islands shall not exceed \$65,000.

(iii) Guam shall not exceed \$90,000. Notwithstanding the limitations in this paragraph (a) (3), any funds which may become available under § 228.52(d) (3) of this chapter may be used for any service activities under titles I, IV-A, X, XIV or XVI (AABD).

(4) Federal financial participation at the 50% rate is available in the costs of income maintenance activities and emergency assistance.

(5) Salaries and related expenses of staff who perform both service and income maintenance functions must be allocated between the two types of activities as a basis for claiming FFP at the rates specified in paragraphs (f) (1), (2) and (4) of this section (f). For purposes of this paragraph, income maintenance functions means all activities related to basic maintenance, i.e., determination of initial and continuing eligibility for financial and medical assistance and for food stamps (Provided, That all members of the household are included in the assistance unit) and the authorization for purchase of coupons if prepared by the worker who determines eligibility for food stamps; furnishing of checks, warrants or food stamps for which the family is eligible; maintaining the case in payment or certification status; providing emergency services; and performing other related activities required in the administration of the income maintenance program.

**PART 232—SPECIAL PROVISIONS APPLICABLE TO TITLE IV-A OF THE SOCIAL SECURITY ACT**

3. Part 232 is amended by revising § 232.1 and adding a new § 232.30, as set forth below:

**§ 232.1 Scope.**

This part implements provisions of Part B of Pub. L. 93-647 that are applicable only to title IV-A and establishes other administrative and fiscal requirements.

**§ 232.30 Cost allocation; joint staff and service staff.**

(a) Cost of staff who perform both service and income maintenance functions must be allocated as a basis for claiming Federal financial participation (FFP) under the appropriate titles of the Act and at the rates prescribed under such titles. For purposes of this section, income maintenance functions means all activities related to maintenance, i.e., determination of initial and continuing eligibility for financial and medical assistance, and for food stamps (provided that all members of the household are included in the assistance unit) and the authorization for purchase of coupons if prepared by the worker who determines

eligibility for food stamps; furnishing of checks, warrants or food stamps for which the family is eligible under the State plan; maintaining the case in payment or certification status; and performing other related activities required in the administration of the income maintenance program.

(b) Cost of staff of Special Administrative Units (SAUs) providing social and supportive services under the Work Incentive (WIN) program is subject to FFP under title IV-A in all jurisdictions, pursuant to section 403(d) of the Act and 45 CFR 224.14(d). Cost of staff who solely perform other social service functions is not eligible for FFP under title IV-A, except in Puerto Rico, the Virgin Islands, and Guam.

(Sec. 1102, 49 Stat. 647 (42 U.S.C. 1302))

Effective date: The regulations in these sections shall be effective on or before December 7, 1976, or earlier at State option.

(Catalog of Federal Domestic Assistance Program No. 13.754, Public Assistance—Social Services and No. 13.761, Public Assistance—Maintenance Assistance (State Aid))

Dated: August 9, 1976.

ROBERT FULTON,  
Administrator, Social and  
Rehabilitation Service.

Approved: September 1, 1976.

DAVID MATHEWS,  
Secretary.

[FR Doc. 76-26229 Filed 9-7-76; 8:45 am]

#### Title 49—Transportation

#### Chapter II—Federal Railroad Administration

[Docket SA-5 Notice 3]

#### PART 231—RAILROAD SAFETY APPLIANCE STANDARDS

#### Road and Switching Locomotives

On May 3, 1976, a notice of proposed rulemaking (NPRM) was published in the FEDERAL REGISTER (41 FR 18318) stating that the Federal Railroad Administration (FRA) was considering an amendment to Part 231, Railroad Safety Appliance Standards, to revise the prescribed safety appliance standards for road locomotives equipped with corner stairways and locomotives engaged in switching service. The proposed amendment was corrected by the addition of one word as noted in the public notice on May 5, 1976 in the FEDERAL REGISTER (41 FR 18868).

Interested persons were invited to participate in the rulemaking proceeding by submitting written comments before June 15, 1976. The notice also scheduled a public hearing which was held on June 15, 1976 in Washington, D.C.

The written comments received in response to this notice and the comments at the public hearing were generally supportive of the proposed amendments. After considering all of those comments, FRA has decided that the proposed amendment should be adopted with only minor modifications of language which

are intended to clarify the regulatory provisions.

The Administrator has evaluated the adoption of this regulation in accordance with the policies of the Department of Transportation which were stated in the public notice published on April 16, 1976, in the FEDERAL REGISTER (41 FR 16200). The purpose for amending this regulation, as noted in the notice of proposed rulemaking, was to respond to the problems being encountered by railroads that were attempting to comply with a regulation that had been adopted approximately two years ago. The amendments being adopted will serve to reduce the cost of modifying locomotives to bring them into conformity with this regulation. In a similar fashion the safety of personnel involved in the operation of these locomotives has been improved by the changes in the regulation. One portion of the regulation, that which concerns road locomotives, is a new provision. The costs which will be incurred through the adoption of this provision have been determined to be minimal.

A summary of the contents of the comments and FRA's response to the comments including any action taken as a result of the comments are discussed below:

**Section 231.30(a)(2).** One commenter noted that the last sentence in this subsection, which reads "Locomotives without corner stairways may not be used in freight switching service \* \* \*" indicates that FRA intends to exclude passenger car switching from this provision of the regulation. The commenter suggested that language be added to provide expressly that this provision does not apply to passenger car switching. FRA's intention is to permit a limited exclusion for passenger car switching service from this provision. The purpose of this exclusion is to permit the continued use of locomotives without corner stairways to perform passenger car switching in major passenger stations. FRA believes that nonconforming locomotives will be used to perform passenger car switching only at those locations where it is impractical, due to space limitations such as those which exist at the stations in the New York City area, to use locomotives that are in conformity with this regulation. FRA agrees that clarification of this section is needed. Accordingly, FRA is removing the last sentence from subparagraph (a) and redesignating it as subparagraph (3). In addition the provision has been reworded to provide explicitly that locomotives without corner stairways may not be used to perform any freight or passenger switching service unless the locomotives are being used to switch passenger cars at passenger stations.

**Section 231.30(c)(4)(ii).** One commenter suggested that the vertical clear space above the switching step be unobstructed for ninety percent of the area over the switching step instead of the completely unobstructed provision now proposed. The commenter suggested that a reduction of this area to ninety percent should be adopted in order to make

allowance for the minor intrusions of bolt heads and nuts as well as for the small triangular gusset plates used at the platform level walkway. FRA agrees that some extremely limited intrusion into this space is appropriate to accommodate mechanical fasteners and walkway gusset plates. However, FRA is concerned that utilization of this percentage approach may lead to excessive intrusion into this area. Accordingly, FRA is amending this provision to read as follows:

(ii) vertical clearance must be unobstructed, except for minor intrusions created by mechanical fasteners or a small triangular gusset plate at the platform level walkway, and free for use \* \* \*

**Section 231.30(f).** One commenter suggested that the proposed regulation be modified to allow the handle of the uncoupling lever to intrude into the corner stairway opening when it is being operated. FRA's intention is to preclude any intrusion into the area of the corner stairway opening in order to improve the safety of employees operating the uncoupling mechanism. Intrusion of the handle of the uncoupling lever into this area may present a significant risk of bodily injury during braking and coupling operations when an employee is operating this handle. Furthermore, FRA believes that there are devices available which can be operated without intrusion into the corner stairway opening. Therefore, FRA has not adopted this proposed change.

**Section 231.30(g)(1)(ii).** One commenter asked that the maximum height for the horizontal end handhold or the uncoupling lever when used as the horizontal end handhold be increased from 50 inches as proposed to 53 inches. FRA has not adopted this suggestion because it believes safety considerations dictate that the height of these appliances should conform as closely as possible to that of end handholds on freight cars. The railroad employees engaged in coupling and uncoupling air hoses use the horizontal end handhold for quick egress from between cars in emergencies and thus expect the handholds used for this purpose to be in the same general location on all railroad equipment.

FRA has also decided to make some minor editorial changes, so as to improve the clarity of the regulation, and to include in the final rule two diagrams. The diagrams are being furnished only as a visual assistance in comprehending the various dimensional provisions of this regulation. Plate A is designed as a visual reference tool for the provisions of paragraphs (c), (e), (f), and (g) of § 231.30. Plate B is designed as a visual reference tool for the provisions of § 231.30(c)(2)(i) as they apply to locomotives with six-wheel trucks.

In consideration of the foregoing, Chapter II of Title 49 Code of Federal Regulations is amended as follows:

1. By adding to the Table of Contents for Part 231:

§ 231.29 Road locomotives with corner stairways.

2. By adding a new § 231.29 that reads as follows:

**§ 231.29 Road locomotives with corner stairways.**

After September 30, 1979, road locomotives with corner stairway openings must be equipped with (a) uncoupling mechanisms that can be operated safely from the bottom stairway opening step as well as ground level, and (b) the vertical handholds and horizontal end handholds prescribed in § 231.30 (e) and (g). No part of the uncoupling mechanism may extend into the stairway opening or end platform area when the mechanism is in its normal position or when it is operated. Each carrier shall so equip forty percent (40 percent) of its road locomotives by October 1, 1977, seventy percent (70 percent) by October 1, 1978 and all its road locomotives by October 1, 1979.

3. By revising § 231.30 to read as follows:

**§ 231.30 Locomotives used in switching service.**

(a) *General requirements.* (1) Except for steam locomotives equipped as provided in § 231.16 of this part, all locomotives used in switching service built after March 31, 1977, must be equipped as provided in this section.

(2) Except for steam locomotives equipped as prescribed in § 231.16 of this part, all locomotives built prior to April 1, 1977, used in switching service after September 30, 1979, shall be equipped as provided in this section. Each carrier shall so equip forty percent (40 percent) of such locomotives by October 1, 1977, seventy percent (70 percent) by October 1, 1978, and all such locomotives by October 1, 1979.

(3) Locomotives without corner stairway openings may not be used to perform any switching service after September 30, 1979 except passenger car switching service at passenger stations.

(b) *Definitions.* (1) "Locomotive used in switching service" means a locomotive regularly assigned to perform yard switching service.

(2) "Switching Service" means the classification of cars according to commodity or destination; assembling of cars for train movements; changing the position of cars for purposes of loading, unloading, or weighing, placing of locomotives and cars for repair or storage; or moving of rail equipment in connection with work service that does not constitute a road movement. However, this term does not include movement of a train or part of a train within yard limits by the road locomotive and the placement of locomotives or cars in a train or their removal from a train by the road locomotive while en route to the train's destination.

(3) "Safety tread surface" means that portion of anti-skid surface of a switching step that actually is contacted by a shoe or boot.

(4) "Uncoupling mechanism" means the arrangement for operating the coupler lock lift, including the uncoupling

lever and all other appurtenances that facilitate operation of the coupler.

(c) *Switching step.* (1) *Number.*—Each locomotive used in switching service must have four (4) switching steps. (See Plate A)

(2) *Dimensions.* Each such switching step must have—

(i) On locomotives built after March 31, 1977, a minimum width of twenty-four (24) inches and a minimum depth of twelve (12) inches, except when necessary to accommodate the turning arc of a six-wheel truck and its appurtenances, the inside edge of the switching step shall have a minimum width of seventeen (17) inches (See Plate B);

(ii) On locomotives built prior to April 1, 1977, a minimum width of eighteen (18) inches, and a minimum depth of eight (8) inches;

(iii) A backstop, solid or perforated, with minimum height of backstop of six (6) inches above the safety tread surface; and

(iv) A height of not more than nineteen (19) inches, preferably fifteen (15) inches, measured from top of rail to the safety tread surface.

(3) *Location.* Switching steps must be located on each side near each end of a locomotive used in switching service. The bottom step of the stairway at these locations may also serve as a switching step if it meets all of the requirements of this section.

(4) *Manner of application.* (i) Switching steps must be supported by a bracket at each end and fastened to the bracket by two bolts or rivets of at least one-half (½) inch diameter or by a weldment of at least twice the strength of a bolted attachment.

(ii) Vertical clearance must be unobstructed, except for minor intrusions created by mechanical fasteners or a small triangular gusset plate at the platform level walkway, and free for use for at least a distance of eighty-four (84) inches over a portion of the switching step that is not less than seven (7) inches deep by eighteen (18) inches wide on locomotives built prior to April 1, 1977, and of not less than seven (7) inches deep by twenty-four (24) inches wide on locomotives built after March 31, 1977.

(5) *Material.* (i) Steel or other material of equivalent or better strength and deflection characteristics, anti-skid, safety design, having at least fifty percent (50%) of the tread surface as open space must be used.

(ii) When the step material creates a second level safety tread surface, the maximum difference in surface levels may not exceed three-eighths (¾) of an inch.

(iii) The safety tread surface must extend to within one-half (½) inch of each edge of the step.

(6) *Visibility.* The outer edge of each switching step that is not illuminated must be painted a contrasting color. On locomotives built after March 31, 1977, switching steps shall be illuminated; on multiple-unit locomotive consists used

in switching service, only the front switching steps of the leading unit and the rear switching steps of the trailing unit must be illuminated.

(d) *End footboards and pilot steps.* (1) Except for steam locomotives equipped as provided in § 231.16, locomotives used in switching service built after March 31, 1975, may not be equipped with end footboards or pilot steps.

(2) Except for steam locomotives equipped as provided in § 231.16, locomotives used in switching service built before April 1, 1975, may not be equipped with end footboards or pilot steps after September 30, 1978. Whenever end footboards or pilot steps are removed from a locomotive, the uncoupling mechanism and horizontal end handholds of the locomotive must be modified to comply with paragraphs (f) and (g) of this section.

(e) *Vertical handholds.* Each switching step must be provided with two (2) vertical handholds or handrails, one on each side of the switching step stairway.

(1) On locomotives built after March 31, 1977, each vertical handhold must—

(i) Be constructed of wrought iron, steel or other material of equivalent strength and durability that is at least one (1) inch diameter and be securely fastened to the locomotive with one-half (½) inch or larger bolts or rivets;

(ii) Begin not less than six (6) inches nor more than thirty-two (32) inches above the safety tread surface of the switching step; on units with high snowplows, each must begin not more than thirty-six (36) inches above the safety tread surface of the switching step;

(iii) Extend upward from switching step surface at least forty-eight (48) inches;

(iv) Be painted in a contrasting color to a height of at least forty-eight (48) inches above the safety tread surface of the switching step; and

(v) Provide at least two and one-half (2½) inches of usable hand clearance throughout its entire length.

(2) On locomotives built before April 1, 1977, each vertical handhold must—

(i) Be constructed of wrought iron, steel or other material of equivalent strength and durability that is at least seven-eighths (⅞) inch in diameter and be securely fastened with one-half (½) inch or larger bolts or rivets;

(ii) Begin not less than five (5) inches nor more than thirty-two (32) inches above the safety tread surface; on units with high snowplows, each must begin not more than thirty-six (36) inches above the safety tread surface;

(iii) Extend upward from safety tread surface of the switching step at least forty-eight (48) inches;

(iv) Be painted in a contrasting color to a height of at least forty-eight (48) inches above the safety tread surface of the switching step; and

(v) Provide at least two and one-half (2½) inches usable hand clearance throughout its entire length.

(f) *Uncoupling mechanisms.* Each locomotive used in switching service must have means for operating the uncoupling mechanism safely from the switching step as well as from ground level. No part of the uncoupling mechanism may extend into the switching step or stairway opening or end platform area when the mechanism is in its normal position or when it is operated. (See Plate A)

(g) *Horizontal end handholds.* Each locomotive used in switching service must have four (4) horizontal end handholds.

(1) Each horizontal end handhold must—

(i) Be constructed of wrought iron, steel or other material of equivalent strength and durability that is at least five-eighths (5/8) inch in diameter and be securely fastened to the locomotive with one-half (1/2) inch or larger bolts or rivets;

(ii) Be located not less than thirty (30) inches nor more than fifty (50) inches above the top of rail with its outer end not more than 16 inches from the side of the locomotive; on units with a high snowplow that makes normal end handhold location inaccessible, end handhold shall be located on top of plow blade, with the center of the handhold not more than fifty-three (53) inches above the top of rail, and be in line with the slope of the plow blade;

(iii) Be at least fourteen (14) inches long; and

(iv) Provide at least two (2) inches, preferably two and one-half (2 1/2) inches, usable hand clearance throughout its entire length.

(2) An uncoupling lever may also serve as a horizontal end handhold if it complies with the requirements of this paragraph. When an uncoupling lever also serves as the horizontal end handhold, it is considered to be securely fastened if its securement brackets are attached to the locomotive by one-half (1/2) inch or larger bolts or rivets and its movement between those brackets is limited to the rotation necessary for performance of the uncoupling function.

This amendment is issued under the authority of the Safety Appliance Acts (secs. 2, 4 and 6, 27 Stat. 531, as amended, secs. 1 and 3, 32 Stat. 943, as amended, secs. 1-6, 36 Stat. 289-299, as amended, see 6(e) and (f), 80 Stat. 939; 45 U.S.C. 2, 4, 6, 8, 10, 11-16, 49 U.S.C. 1655).

This amendment is effective October 1, 1976. Compliance with these provisions, however, is authorized immediately.

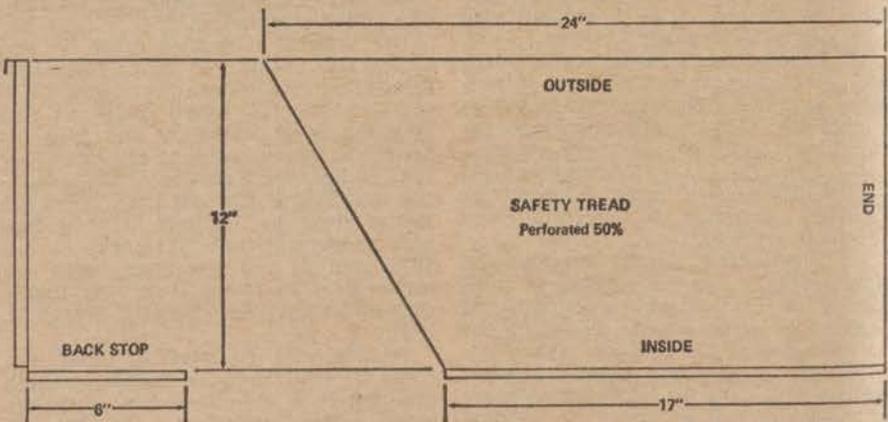
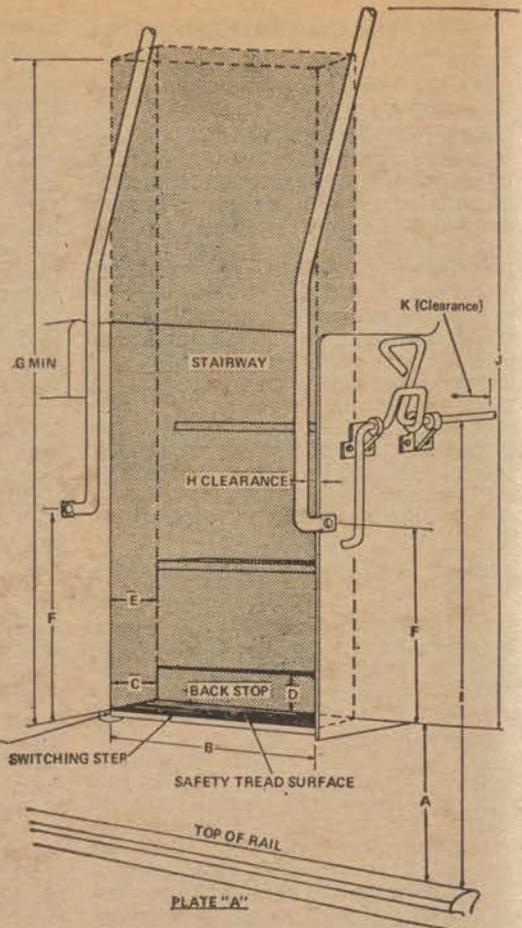
Issued in Washington, D.C., on September 1, 1976.

ASAPH H. HALL,  
Administrator.

ITEM	DIMENSION	
	NEW UNITS	EXISTING UNITS
A. Height of switching step above rail	15" preferred 30" maximum	15" preferred 19" maximum
B. Minimum width of switching step (between stairway supports)	24"	18"
C. Minimum depth of switching step	12"	8"
D. Minimum height of backstop	6"	6"
E. Minimum distance from front edge of switching step to front edge of first step above	2"	2"
F. Distance above switching step for start of vertical handhold	6"-32" Minimum-Maximum	5"-32" Minimum-Maximum
G. Clear height above switching step	36" EXCEPTION: Maximum for units with high snowplows	36" 36"
H. Vertical handhold clearance	84" EXCEPTION: Maximum for units with high snowplows	84" 84"
I. Height above top of rail for horizontal handhold or uncoupling lever if used as horizontal handhold	30"-50" EXCEPTION: Maximum for units with high snowplows	30"-50" 30"-50"
J. Minimum height above switching step of vertical handhold	53"	53"
K. Horizontal handhold clearance or uncoupling lever clearance if used as horizontal handhold	48" EXCEPTION: Maximum for units with high snowplows	48" 48"

NOTES:

- Switching steps must be supported by a bracket at each end and fastened to the bracket by two bolts or rivets of at least one-half (1/2) inch diameter or by a weldment of at least twice the strength of a bolted attachment.
- The outer edge of each switching step that is not illuminated must be painted a contrasting color.
- Vertical handholds must be painted in a contrasting color to a height of at least forty-eight (48) inches above the safety tread surface of the switching step.



SWITCHING STEP SHOWING INSIDE RELIEF FOR CLEARANCE OF SIX-WHEEL TRUCK

[FR Doc.76-26015 Filed 9-7-76;8:45 am]

Title 46—Shipping

CHAPTER IV—FEDERAL MARITIME COMMISSION

[Docket No. 67-57; General Order 36]

PART 514—REPORTS OF RATE BASE AND INCOME ACCOUNT BY SIGNIFICANT VESSEL OPERATING COMMON CARRIERS IN THE DOMESTIC OFFSHORE TRADES

The purpose of the provisions of this Part 514 is to require the filing with the Federal Maritime Commission of comprehensive financial data by significant vessel operating common carriers by water subject to Part 511 of this Chapter in order to permit the Commission more effectively to accomplish the discharge of its duties under the Shipping Act, 1916 and the Intercoastal Shipping Act, 1933.

The rules and requirements of this Part are issued to remedy the inadequacies of data received by the Commission under the provisions of Part 512 of this chapter. Under Part 512, the Commission received data which was inadequate for determining the cost of individual commodity movements and for distributing expenses between regulated and nonregulated trades with respect to container and mixed container/breakbulk operations. It was the conclusion of the Commission that more accurate and specific data must be collected to allow the computation of unit costs which underlie commodity rates and have, therefore, a significant relationship to the lawfulness of those rates.

To correct past inadequacies, the Commission instituted this rulemaking proceeding. The proposed rules elicited numerous comments. In light of the numerous and complex issues raised, the Commission ordered evidentiary hearing on the matter. As a result 14 days of hearing have been held and an extensive record has been amassed in which virtually every significant common carrier in the affected trades participated.

The hearing, Initial Decision and consideration of the proceeding on Exceptions to that decision have resulted in the rule hereby issued. These rules provide for detailed data to be submitted to the Commission but also reflect the contributions of the many parties to this rulemaking proceeding. During the course of this lengthy proceeding, the parties have addressed virtually each individual section of this rule in their comments and exceptions. The various issues raised are discussed in detail in the Initial Decision and the Report of the Commission served August 18, 1976. The scope of the public procedure and its complexity preclude minute recitation of the evolution of each provision of the rules here issued. However, all comments and suggestions have received thorough consideration by the Commission.

Therefore, pursuant to sections 3 and 4 of the Administrative Procedure Act (5 U.S.C. 553 & 554), sections 18, 21 and 43 of the Shipping Act, 1916 (46 U.S.C. 817, 820 and 841) and sections 2, 4 and 7 of the Intercoastal Shipping Act, 1933 (46 U.S.C. 844, 845(a), 847), Title 46 Code of

Federal Regulations is amended as follows:

A new Part 514 is added which reads as set forth in Appendix A.

Effective date: This amendment, the addition of a new Part 514, shall become effective December 7, 1976. The amendment has been submitted to the General Accounting Office in accordance with the requirements of the Federal Reports Act (44 U.S.C.A. 3512) and regulations issued thereunder (4 C.F.R. 10). By the Commission.

JOSEPH C. POLKING,  
Assistant Secretary.

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**AUTHORITY.**—The provisions of this Part 514 issued pursuant to secs. 18, 21, and 43 of the Shipping Act, 1916; 46 U.S.C. 817, 820, and 841; secs. 2, 4, and 7 of the Intercoastal Shipping Act, 1933; 46 U.S.C. 844, 845(a), and 847.

§ 514.1 Purpose.

The purpose of this part is to require the filing of additional information by the significant common carriers by water subject to the Commission's General Order 5 (Part 511 of this chapter) so that the Commission will be able to expedite the discharge of its duties under the Shipping Act, 1916, and the Intercoastal Shipping Act, 1933.

§ 514.2 General requirements.

(a) All persons engaged in the operation of Cargo Vessels in the common carriage of persons or property in the Domestic Offshore Trades (except persons engaged in intrastate operations in Alaska and Hawaii) and required by the Shipping Act, 1916, and the Intercoastal Shipping Act, 1933, to file tariffs with the Federal Maritime Commission, who have earned gross revenue in a Trade of \$1,500,000 or more for their fiscal year as provided in this part shall execute and file, in duplicate, with the Secretary of the Federal Maritime Commission, statements of Rate Base and Income Account for each such Domestic Offshore Trade and any other Domestic Offshore Trades serving the same noncontiguous area as defined in this part in lieu of the reports required by Part 512 of this chapter (Federal Maritime Commission General Order 11). If the statements required herein have been submitted in support of a general rate change at any time during that fiscal year period, then the next due annual report otherwise required need not be submitted. However, if a general rate change has been supported by an annual report as permitted by these rules, then the next due annual report shall be filed in the usual manner. Provided further that carriers operating in a Seasonal Trade may elect to report only those seasonal voyages having gross revenue in the Trade of \$50,000 or more under this Part 514 and to report the remaining voyages under Part 512.

(b) Annual statements shall be filed within 150 days after the close of the first fiscal year beginning after the promulgation of this Order. Statements filed pursuant to this subsection may be made available to government entities upon request, provided such disclosure is not contrary to the provisions of 18 U.S.C. 1905 (1970) or exempt from disclosure under 5 U.S.C. 552, as amended November 21, 1974.

(c) Upon application the Commission may: (1) Grant reasonable extensions of the time limit prescribed by this section for filing the statements and data required by this part: *Provided*, That:

(i) The application therefor is received a reasonable time before the statements and data are due, which shall ordinarily be considered a minimum of 15 days;

(ii) The application sets forth reasons which justify the extension requested;

(iii) The application states a specific date on or before which the statements and data will be filed; and

(iv) The application is not construed as constituting relief from possible penalties for late filing, unless granted.

(2) Relieve a carrier from full compliance with this part and require the carrier to submit other data as the Commission deems necessary; *Provided*, That:

(i) The application, showing good cause, and accompanied by a list of the data which the carrier proposes to submit in lieu of the required statements or the data themselves is filed a reasonable time (which shall ordinarily be considered a minimum of 60 days) before the statements and data under this part are due; or

(ii) It finds full compliance with this part unnecessary to its regulatory functions.

(iii) Such application with its supporting data shall be published in the Federal Register and interested parties shall have 20 days within which to file comments thereon with the Commission.

(d) In addition to the annual statements required by § 514.2 (a) and (b), carrier shall submit financial and operating data as described below whenever it files (a) an initial tariff in a Trade not currently served by that carrier, or (b) a general rate change, and (c) except as otherwise provided in § 514.2(a), annually.

For purposes of this section, a general rate change is defined as all new or changed rates within any six months' period which are expected in total to increase or decrease the carrier's gross revenue in the affected Trade by 3 percent or more. When rate changes are filed which do not meet the criteria for a general rate change as set forth herein, the following certification must be submitted with the changed rate.

CERTIFICATION

I, the undersigned \_\_\_\_\_ of \_\_\_\_\_  
\_\_\_\_\_ certify  
(full name of reporting company)  
that the changed rate submitted herewith does not amount to a general rate change as defined in 46 C.F.R. 514.2(d) and for that

reason no financial and operating data as set forth in § 514.2(d) are necessary.

Signature

Date

The data required by this section to be filed simultaneously with an initial tariff or a general rate change shall consist of:

(1) Exhibit A, Rate Base, and supporting schedules (of the nature required by § 514.6(b) hereof), in duplicate, for a projected twelve months' period beginning with the month following the month in which the initial tariff or new or changed rates are proposed to become effective, and

(2) Exhibit B, Income Account and supporting schedules, (of the nature required by § 514.6(c) hereof), in duplicate, for a projected twelve months' period beginning with the month following the month in which the initial tariff or new or changed rates are proposed to become effective.

(3) Exhibit A, Rate Base and supporting schedules (of the nature required by § 514.6(b) hereof) and Exhibit B, Income Account and supporting schedules (of the nature required by § 514.6(c) hereof) in duplicate, for a twelve months' period ending not more than 60 days prior to the date of filing the general rate change. (This paragraph (d) (3) applies only to general rate changes and not to initial tariffs). If a carrier files a general rate change within ninety days of the end of its fiscal year, it may, at its option, furnish its annual report, as required by § 514.2(a) and (b) hereof in lieu of the schedules required by this paragraph (d) (3). The requirement to furnish the projected schedules described in paragraphs (d) (1) and (2) hereof would not be affected by this substitution.

(e) Revenue, costs, expenses and property shall be directly assigned, whenever practicable. Allocation of such items not directly assignable shall be in the manner prescribed in § 514.6 hereof.

(f) All carriers subject to the reporting requirements of this part must comply fully with the instructions contained herein, both as to the submission of the specified reports and as to compliance with the methods prescribed for their preparation except as previously authorized under § 514.2(c) (2). The carrier may also present other material prepared by alternative methods of allocation or other approaches to the problems inherent in this type of reporting, but such material will be in addition to, not in lieu of, the required statements and data. When such additional material is submitted, the methods used shall be explained and fully supported.

(g) The establishment of the rules and regulations prescribed in this part is without prejudice to the right of the Federal Maritime Commission to employ other bases for allocation and calculation in any instance where in its opinion the application of the rules and regulations of this part creates unreasonable results.

(h) With respect to the annual statements required by this part, all data must

be based on amounts shown in the annual financial statements filed with the Federal Maritime Commission in compliance with General Order 5 (Part 511 of this chapter). Where any amount differs from that reported in the annual statements, Forms FMC-63 or FMC-64, the differences shall be set forth and fully explained.

(i) All calculations required by allocations herein shall be carried to a minimum of two places beyond the decimal point, e.g., 95.54 percent. However, to the extent practical it is considered preferable that such calculations be carried to five places beyond the decimal point; e.g., 97.54326 percent.

(j) All schedules required under this part shall be accounted for on each submission, by actual inclusion of the schedule marked "None" or "Not Applicable", or by use of such notation(s), where appropriate, in a Table of Contents listing each exhibit and schedule by number and name. Supporting subschedules shall be so identified, shall refer to the schedule or schedules supported, and if one of a series shall so indicate. Principal schedules shall identify any supporting Subschedule(s).

(k) If any conflicts appear between the wording of this Part 514 and any examples or Pro Forma statements furnished for use in conjunction with this Part 514, the wording of the part shall be controlling.

#### § 514.3 Certification.

The data required by this part shall be accompanied by a certification of the corporate officer responsible for the maintenance and accuracy of the books, accounts, and financial records of the carrier that:

(a) The books and accounts have been maintained in accordance with an appropriate system of accounts in accordance with generally accepted accounting principles (at this time the Commission considers that the accounting structure and chart of accounts promulgated by the Maritime Administration General Order No. 22 is the only appropriate system of accounts for carriers filing reports under this sub-part based upon FMC-64 report data).

(b) The exhibits and schedules have been prepared from the books and records of the carrier in accordance with this part.

#### § 514.4 Access to and audit of records.

Audits of records shall be governed by the rules established by FMC General Order No. 21 (Part 513 of this title).

#### § 514.5 Definitions.

Various expressions, terms, and designations used herein may or may not have additional meanings or usage. For the purposes of this part, however, these terms are expressly limited as follows:

(a) Definitions relating to Voyages:

(1) "The Service" includes all Voyages of Cargo Vessels on which any Trade Cargo was carried. A Cargo Vessel in the Service may also carry Other Cargo and serve ports not in the Domestic Offshore

Trade. Where a voyage involves the carriage of only Trade Cargo The Trade and The Service may be identical.

A "Domestic Offshore Trade" (The "Trade") means that part of The Service including the carriage, generally on established itineraries, of Trade Cargo under the terms of tariffs on file with and regulated by the Federal Maritime Commission. Trade Cargo may be carried (i) between any of the four following areas of the continental United States and one or more noncontiguous areas of the United States, or (ii) between two noncontiguous areas of the United States. A vessel serving an established port or group of ports that calls upon other ports on an occasional basis during the course of its regular Voyage itinerary shall be considered to be in The Trade of its regular ports.

(i) The four areas of the continental United States are:

- (A) North Atlantic (Maine to Hatteras);
- (B) South Atlantic (Hatteras to Key West);
- (C) Gulf (Key West to Brownsville);
- (D) West Coast (Mexico to Canadian border); and

(ii) The noncontiguous areas of the United States are:

- (A) Puerto Rico;
- (B) Virgin Islands;
- (C) Guam;
- (D) Midway;
- (E) Wake Island;
- (F) American Samoa;
- (G) Hawaii; and
- (H) Alaska.

(3) "Voyage" normally means a completed round voyage from port of origin and return to port of origin. In no case shall a Voyage be split to reflect outward and inward services separately. However, when a vessel is reassigned to return to a new United States basing point, then the Voyage on which it first returns there is from the old United States port of origin to the new United States port of origin. Where a vessel performs outbound in The Service and then proceeds to charter, the Voyage will be considered to be terminated upon completion of the discharge of the last cargo in The Service; where it performs inbound in The Service after completing a charter, the Voyage in The Service will be considered to have begun upon commencement of loading the first cargo in The Service. The requirement respecting commencement and termination of a completed round Voyage by a carrier party to an operating differential subsidy agreement may be observed by such carrier for the purposes of this part. Voyages generally are considered to be terminated and the next succeeding Voyage or a lay-up period to commence at either noon or midnight of the day on which occurs either of the following:

(1) The latest of:

- (A) Crew paid off;
- (B) Discharge of last of homeward cargo or ballast;
- (C) Completion of repairs (excluding annual overhaul and emergency repairs); or

(ii) The midpoint in time of operations simultaneously loading and unloading cargo.

Either (i) or (ii) may be applied, provided it is done consistently.

(4) "Seasonal Trade" means the carriage of Trade Cargo in a Seasonal Service. A Seasonal Service means voyages to or from a port or ports in any of the above noncontiguous areas which constitute a pattern of voyages with respect to which, due to annually recurring conditions (such as weather or availability of commodities only at certain times of the year, for example, fish products from Alaska), the gross revenues for such Seasonal Service fluctuate substantially during different times of the year.

(5) Relay Operations are those operations wherein a carrier utilizes more than one ship to carry Trade Cargo from its point of origin to its destination. Origin and destination refer to the beginning and end of water carriage. An example of a relay operation would be the carriage of cargo from a Gulf Port to Puerto Rico by carrying it from the Gulf Port to New York on one ship and then from New York to Puerto Rico on another ship.

(6) "Other Services" means all Voyages performed by common carriers by water on which no cargo was carried in The Trade, including temporary periods of charter to minimize lay-up expense.

(b) Definitions relating to vessel classes:

(1) "Cargo Vessel" means a self-propelled or non-self-propelled waterborne vessel which carries cargo, but does not include a vessel which carries or is authorized to carry more than 12 passengers. The means of propulsion of a non-self-propelled vessel is part of the Cargo Vessel. Costs are to be segregated into distinct mediums of carriage by various vessel classes used by the carrier, such as Containerships, Breakbulk Vessels, Roll-On/Roll-Off (RO/RO) Vessels, and Barge Carrying Vessels. For purposes of illustration, this order defines four classes of vessels and the related pro forma statements are limited to Containerships and Breakbulk Vessels. Reports submitted by carriers shall show costs of each class of vessel separately. Where one class of vessel carries different types of cargo, such as containerized cargo, breakbulk cargo, roll-on/roll-off cargo, cargo in barges and/or bulk cargo, and the carriage of such different types is significant in proportion to the total amount of cargo carried on the vessel, the carrier shall establish separate cargo categories for each such different type of cargo.

"Containership" means a Cargo Vessel designed and constructed primarily for the carriage of rigid, reusable containers, without wheels, and having an expected life in excess of one year and a minimum capacity of 640 cubic feet. These containers are carried in holds constructed for their carriage and on deck. Containerships may also carry vehicles, liquid, breakbulk or bulk cargoes.

"Breakbulk Vessel" means a Cargo Vessel not designed and constructed primarily for the carriage of any specific types or units of cargo. It may carry a

limited number of containers, wheeled vehicles etc., as well as palletized cargo and minor amounts of liquid or gaseous cargo in drums and deep tanks.

"Roll-On/Roll-Off (RO/RO) Vessel" means a Cargo Vessel designed and constructed primarily for the carriage of trailers, vehicles and/or equipment on wheels or tracks which are rolled on and off the vessel without hoisting and stowed aboard the vessel on their wheels or tracks. RO/RO vessels may also carry liquid, breakbulk or bulk cargoes and may have relatively small amounts of space devoted to the carriage of containers without wheels.

A "Barge Carrying Vessel" is a cargo vessel designed to carry cargo in lighters or barges which are stowed on the vessels. It may also carry containers on deck, in specially fitted container holds, or in the lighters or barges.

(c) *Definitions relating to cargo.* (1) "Cargo Category" means a description of a characteristic of cargo related to its transportation and handling, and used as the point of reference for accumulating costs. For the purpose of this order, three cargo categories are defined, but additional cargo categories shall be established whenever one class of vessel carries different types of cargo, and the carriage of such different type is significant in proportion to the total amount of cargo carried on the vessel, as provided in § 514.5(b)(1) and additional cargo categories may be established and defined by the individual carriers in any other circumstances which they deem appropriate. The prescribed Cargo Categories are:

(i) "Reefer Cargo" means cargo which is kept at a fixed or fixed range of temperatures while in the custody of the carrier. Reefer Cargo may be carried on any of the vessel classes.

(ii) "Nonreefer Cargo" means cargo which is not kept at a fixed or fixed range of temperatures while in the custody of the carrier. Nonreefer Cargo may be carried on any of the vessel classes.

(iii) "Barge Cargo" means cargo that is loaded on lighters or barges which in turn are loaded on Cargo Vessels.

(2) "Trade Cargo" means cargo included for cost accumulation in any of the Cargo Categories and which is carried by a Cargo Vessel in the Trade subject to the tariff on file for that Trade. Provided, however, that if the gross revenue derived from the carriage of other Cargo does not exceed 5 percent of the gross revenue derived from the Service the Other Cargo may be considered as Trade Cargo for the purposes of this part. Provided further, that for the purposes of this rule, "trade cargo" shall also include cargo carried by a cargo vessel in the service subject to rates and conditions of carriage arranged with the Military Sealift Command and U.S. Mail.

(3) "Other Cargo" means carriage in The Service in Cargo Vessels of any cargo other than that in The Trade. Other Cargo shall include, but not by way of limitation:

(i) "ICC Cargo" which means cargo carried by a Cargo Vessel in The Service

subject to tariffs on file with the Interstate Commerce Commission;

(ii) "Foreign Cargo" which means cargo carried by a Cargo Vessel in the Service subject to foreign tariffs on file with the Federal Maritime Commission. Foreign Cargo shall include cargo moving from one port in the Trade to another port in the Trade under a foreign tariff for transshipment and on-carriage to a foreign port; and

(iii) "All Other Cargo" which means all other categories of cargo.

(d) *Definitions relating to operating statistics.* (1) "Cargo Cube" means the product of the outside dimensions, expressed in cubic feet, of a unit of cargo regardless of the fact that revenue on the unit of cargo may be computed on a basis other than Cargo Cube. In computing Cargo Cube for cargo carried in containers, the outside dimensions of the container shall be used. In computing the Cargo Cube for railroad cars, containers having attached wheels, Lash lighters, or any other similar device where the actual cargo capacity of the device is substantially different from the outside cube of the device itself, the outside cube of the device including its wheels shall be used for the purposes of this part. Empty devices, such as containers, etc., shall be included in the computation of Cargo Cube if and only if they are revenue producing units of cargo. Where an operator finds it more convenient to accumulate Cargo Cube data in terms of measurement tons of 40 cubic feet, this unit may be used instead of Cargo Cube in all instances where Cargo Cube is cited in this Order. Where this option is exercised, the operator shall modify the readings on the prescribed reporting forms to indicate data are in measurement tons. The Commission does not contemplate that carriers will tape measure each piece of cargo at dockside, but does expect that where exact measurement is unavailable carriers will derive a cube for such shipments, initially at least, by use of conversion factors. Such conversion factors must be more than rough approximation; must be developed after careful consideration of all evidence available to the carrier, including loading documents, the opinions of experienced operating personnel, and sample measurements; must reflect separate factors for each of the principal commodities not affreighted on a measurement basis; must in the future reflect efforts to obtain actual measurements from shippers; and must reflect reasonable surveillance to insure the accuracy of measurements provided by shippers.

(ii) Where the carrier considers it appropriate, additional reports may be furnished, distributing expenses and assets on the basis of cargo cube of the various classes of cargo adjusted to reflect cubic space used in the carrier's vessels by the cargo, such adjustment to be made in accordance with broken stowage factors based on and supported by the operator's broken stowage experience.

(2) "Cargo Cube Handled" means the total of the Cargo Cube loaded on and unloaded from a Cargo Vessel.

(3) (i) "Cargo Cube Miles" means the product of the Cargo Cube carried between each port of origin and destination, multiplied by the number of nautical miles representing the shortest navigable distance between the two ports as set forth in "Distances Between Ports"—Department of the Navy, Oceanographic Office; "Distances Between United States Ports"—U.S. Department of Commerce, Coast and Geodetic Survey or if not listed in either of these publications, as approved by the Federal Maritime Commission.

(ii) Where revenue derived from the carriage of passengers and other services is treated herein as a reduction of costs under 514.6(c) (2), the Cargo Cube, if any, from which such revenue is derived shall be ignored in the Cargo Cube Mile calculations required herein. Where the service of the carrier is solely between ports in the continental United States and domestic offshore ports, and where there are no significant differences in the distances between the various continental ports and the several domestic offshore ports and the ocean rates between said ports are identical, the Cargo Cube relationship may be used in lieu of the Cargo Cube Mile Relationship.

(4) "Cargo Cube Mile Relationship" means the relationship between Cargo Cube Miles for all cargo carried in the Trade, on the one hand, and total Cargo Cube Miles for all cargo carried in the Service, on the other. Normally, the total of all Cargo Cube Miles will be a figure of considerable magnitude; therefore, three digits shall be dropped from the total to provide the data in terms of thousands of Cargo Cube Miles.

(5) "Sea Time Active" means that time elapsed during the voyage when the vessel was directly underway from one port to another for the purpose of loading or discharging cargo at the port. This period would ordinarily encompass the period from logging the transition from underway in port to underway at sea at the port of departure; to logging the transition from underway at sea to underway in port at the port of arrival except for Sea Time Idle.

(6) "Sea Time Idle" means that time elapsed during a voyage in excess of 24 consecutive hours per incident, while the vessel was at sea but was not proceeding from port to port for the purpose of loading or discharging cargo. Examples are mechanical failure or damage sufficiently serious to require stopping the engines or diversion to an otherwise unscheduled port in order to make repairs, and rescue operations requiring a diversion from the planned route.

(7) "Port Time Active" means that time elapsed during a voyage:

(i) From commencement thereof to the logging of the transition from underway in port to underway at sea at the port of origin;

(ii) From the logging of the transition from underway at sea to underway in port and vice versa at each port of call;

(iii) From the logging of the transition from underway at sea to underway in port to voyage termination at the port of termination;

(iv) Less that time considered to be Port Time Idle.

(8) "Port Time Idle" means that time elapsed during the port time portion of a voyage, in excess of 24 consecutive hours per incident, when the vessel was kept in port as a matter of management decision or because of operating conditions which is properly chargeable to the entire Voyage although occurring at the port in which the vessel is held. Examples of Port Time Idle would be holding a vessel at its home port in order to maintain announced sailing dates, for voyage repairs, and because of strike.

(e) *Definitions relating to costs.*—(1) "Vessel Operating Expense" (which may be determined on a company-wide basis, for The Service or The Trade) means:

(i) For those carriers required to file FMC-64, the total of operating expense—terminated voyages, consisting of vessel expenses A/C 701-764, port A/C 765-779, cargo A/C 780/789, freight brokerage A/C 790, canal tolls A/C 795, and other voyage expenses A/C 799.

(ii) For those carriers required to file FMC-63, basically the same data as outlined in subdivision (i) of this subparagraph, but in the format and detail set forth in 514.6(c) (3).

(2) "Vessel Expense" means that part of vessel operating expense relating to use of the vessel itself. These expenses include:

(i) For those carriers required to file FMC-64 accounts 701-764;

(ii) For those carriers required to file FMC-63 accounts 402, 422-429, 472-475, 481, and 485.

(3) "Vessel Expense Relationship" means the relationship between Vessel Expense allocated to The Trade on the one hand and Vessel Expense of The Service on the other.

(f) *Other definitions:* (1) "Related Companies" means companies or persons that directly, or indirectly through one or more intermediaries, control, or are controlled by, or are under common control with, the reporting carrier. The term "Control" (in reference to a relationship between any person or persons and another person or persons) shall be construed to include actual as well as legal control, whether maintained or exercised through or by reason of the method of or circumstances surrounding organization or operation, through or by common directors, officers, or stockholders, a voting trust or trusts, a holding or investment company or companies, or through or by any other direct or indirect means; and to include the power to exercise control.

(2) "Stuffing" referred to by some carriers as platforming, means the activity of loading cargo into containers or unloading cargo from containers. For cargo being loaded this includes unloading the cargo from an incoming vehicle, if performed by the carrier; moving the cargo to the containers; and loading and closing the container. For cargo being

unloaded Stuffing includes the equivalent operations in reverse sequence.

(3) "Carrier Trucking" means the performance by the carrier or its agents of any of the following activities:

(i) For outbound cargo:

(A) Picking up cargo at a shipper's premises within the port area and transporting it to the pier or a location contiguous to the pier for loading on a vessel;

(B) Picking up cargo at a shipper's premises within the port area and transporting it to the carrier's terminal or other location;

(C) Transporting cargo to the pier from the carrier's terminal or other location if they are noncontiguous to the pier.

(ii) For inbound cargo:

(A) Transporting cargo from the pier to the carrier's terminal or other location if they are noncontiguous to the pier;

(B) Transporting cargo from the carrier's terminal or other location and delivering it within the port area;

(C) Transporting cargo from the pier or a location contiguous to the pier and delivering it within the port area.

#### § 514.6 Forms and instructions.

(a) *General.* (1) The information required by this part shall be submitted in the form of the prescribed exhibits and schedules and shall consist of:

Exhibit A, Rate Base and supporting schedules, and

Exhibit B, Income Account and supporting schedules.

(2) The required exhibits and schedules are described in the following paragraphs. Pro forma statements may be obtained from the Office of Financial Analysis, Federal Maritime Commission, Washington, D.C. 20573. These pro forma statements are based on the Uniform System of Accounts for Maritime Carriers prescribed by the Maritime Administration and the Interstate Commerce Commission. For those carriers who are required to file FMC-63 a means has been provided whereby accounts prescribed by the Interstate Commerce Commission for Carriers by Inland and Coastal Waterways may be reconciled with the requirements of these statements. For such carriers, alternative schedules have been provided where necessary.

(3) Assets and expenses related to Relay Operations shall be reported in separate schedules for each Trade which has cargo carried in Relay Operations to support the amounts included in Exhibit A, Rate Base and Exhibit B, Income Account. These schedules shall be prepared in accordance with §§ 514.6(b) and 514.6(c) and shall include sufficient detail to clearly indicate the bases of allocation to the Trade, such as Cargo Cube Handled and Cargo Cube Miles applicable to the Relay Operations. Where less than ten percent (by volume) of the carrier's Trade cargo moving between any two ports in the Trade during the reporting period is carried in Relay Operations, separate schedules shall not be required. Assets and expenses related to Relay Op-

erations in those instances may be combined with other Trade assets and expenses in the appropriate schedules, provided that the bases of allocation of the assets and expenses of Relay Operations to the Trade are clearly indicated on those schedules.

(b) *Rate base (Exhibit A)*—(1) *Investment in vessels (Schedule A-1)*. Each Cargo Vessel, owned by the carrier and employed in The Service shall be listed by name and class showing the original cost to the carrier, or, if acquired by the carrier from a related company at the original cost to the first Related Company acquiring the vessel, plus the cost of betterments, conversions, and alterations, less the cost of any deductions, all as at the beginning of the year. All additions and deductions made during the period shall be shown gross and on a pro rata basis reflecting the number of days they were actually in use during the period, except that for amounts not considered substantial the carrier may assume the changes took place at mid-year. The result of these computations shall be called Adjusted Cost.

(i) The Cargo Vessels employed in The Service may be subdivided into two groups:

(A) Those Cargo Vessels employed exclusively in The Service for the entire period, inclusive of normal periodic lay-ups, and which at no time during the period performed voyages other than in The Service. For such vessels, the Adjusted Cost shall be included in the total to be allocated to The Trade.

(B) Those Cargo Vessels employed in The Service for less than the entire period. For such vessels the Adjusted Cost shall be allocated between Voyages in The Service and Voyages in Other Services on the basis of the relationship that the number of calendar days in each bears to the total of both. The total number of days thereby derived will not normally equal the number of days in the reporting period because lay-up days are not included. Lay-up days of vessels in this group will normally be assigned between the respective services on the same basis as that employed in allocating the Adjusted Costs of such vessels, i.e., active calendar days. However, if one or more of the vessels normally employed in The Service has been diverted temporarily to Other Services in lieu of incurring lay-up expense, no assignment of lay-up time to Other Services is required. On the other hand, if a vessel or vessels is permanently withdrawn from The Service and laid-up pending disposition, the period of lay-up shall be assigned to Other Services. In summary, an inequitable amount of lay-up days shall not be assigned to The Service or to The Trade. That portion of the Adjusted Cost of the Vessels not allocated to Other Services shall be included in the total to be allocated to The Trade.

(ii) The total of the Adjusted Cost of each class of vessels employed in The Service during the period which has not been allocated to Other Services, as required by paragraph (b) (1) (i) (B) of this paragraph, shall be distributed between

The Trade and Other Cargo in the Vessel Expense Relationship.

(2) *Reserve for depreciation—vessels (Schedule A-1)*. (i) Each Cargo Vessel owned by the carrier and employed in The Service shall be listed separately showing for each its depreciable life and residual value used for depreciation purposes. The accumulated Reserve for Depreciation as at the beginning of the year shall be reported and shall be allocated to The Service and to The Trade in the same manner and in the same proportion as is the Adjusted Cost of each vessel. If the depreciable life of any equipment installed on vessels differs from that of the vessel, the cost thereof and the depreciation basis shall be set forth separately.

(ii) For any vessels disposed of during the period, a deduction shall be made representing a daily proportion of the period following the date of disposal corresponding to the similar deduction as required by paragraph (b) (1) of this Section.

(3) *Other owned property and equipment—(Schedule A-2)*. Actual investment in other fixed assets employed in The Service as at the beginning of the year, representing original cost to the carrier, or if acquired by the carrier from a Related Company at the original cost to the first Related Company acquiring the asset shall be set forth. Additions and deductions during the period shall also be reported, and the carrier may assume that all such changes took place at mid-year except for those involving substantial sums which shall be prorated on a daily basis. Assets shall be grouped in major categories as required by the appropriate financial statements FMC-63 or FMC-64. The basis of allocations to the Rate Base of each item reported shall be set forth and shall be based upon the use thereof within The Trade. Any computations of percentages employed shall also be set forth. Assets employed in a general capacity, such as office furniture and fixtures, shall be allocated to The Trade in the same manner as overhead expenses (§ 514.6(c) (7)).

(4) *Reserve for depreciation—other owned property and equipment (Schedule A-2)*. Reserves for Depreciation, related to the other fixed assets employed in The Service, as at the beginning of the year, shall be set forth. These reserves shall be proportionately reduced, as required by paragraph (b) (2) (i) of this section for any significant deductions during a year. Grouping into major categories and allocations to the Rate Base shall be made on the same basis as the fixed asset to which the Reserve for Depreciation applies.

(5) *Working Capital (Schedule A-3)*. Working Capital shall be included at the lesser of actual Working Capital or average Voyage Expense.

(i) Actual Working Capital shall be computed from the balances as the beginning of the year in the asset and liability accounts identified below adjusted for significant changes (individual transactions, any component of which before proration, is \$200,000 or more) during

the year. For purposes of this computation actual Working Capital shall include only the following accounts:

Accounts	
100-199 (369)	Current assets (less reserves and provision for accrued deposits in reserve funds other than voluntary deposits, which shall not be accrued for deposit).
200-----	Unterminated voyage expense.
375-389-----	Deferred charges (to operations) and prepaid expenses.
Less 400-534..	Current liabilities (excluding mortgage notes-vessels, and other liabilities payable from reserve funds).
495-----	Advance ticket sales and deposits.
500-----	Unterminated voyage revenue.

The provision for accrued deposits into the reserve funds referred to in Accounts 100-199 hereinabove shall include, but is not limited to, the following:

(A) Accrued depreciation on vessels required to be deposited into the reserve funds;

(B) Proceeds from sale or loss of vessels and other amounts which, upon collection, are required to be deposited into the reserve funds;

(C) All accrued mandatory deposits into the reserve funds.

Actual Working Capital shall be prorated to The Trade in the proportion that total direct expenses of The Trade bears to total direct expenses of the carrier.

(ii) Average Voyage Expense shall be determined on the basis of the actual expenses of operating and maintaining the vessels employed in The Service (excluding lay-up expenses) for a period represented by the average length of time of all round voyages (excluding lay-up periods) terminated during the period on which any cargo was carried in The Trade. The expense of operating and maintaining the vessels employed in The Trade shall include Overhead Expense (§ 514.6(c) (7)) and total Vessel Operating Expenses—terminated voyages (accounts 701-799 excluding 793), allocated as provided in § 514.6(c) (2). For this purpose, if the average voyage, as determined above, is of less than 90 days' duration, the expense of hull and machinery and P & I insurance (accounts 755 and 757, respectively) shall be determined to be that for 90 days. Provided, that such allowance for insurance expense shall not, in the aggregate, exceed the total actual insurance expense for the period.

(6) *Working Capital (only for carriers who file FMC-63) (Alternative schedule A-3)*. Working Capital for carriers who file FMC-63 shall be included at the lesser of actual Working Capital or Average Monthly Expense.

Actual Working capital for carriers who file FMC-63 shall be computed from the balance at the beginning of the year in the asset and liability accounts identified below adjusted for significant changes (individual transactions, any

component of which before proration, is \$200,000 or more) during the year. For purpose of this computation actual Working capital shall include only the following accounts:

**Accounts**

100-116_	Current assets less reserves.
106_	Claims pending.
170_	Other deferred assets.
171_	Incompleted voyage expenses.
Less	
200-209_	Current liabilities.
210_	Equipment obligations and other long-term debt due within one year.
230_	Incompleted voyage revenues.

Actual Working Capital shall be prorated to The Trade in the proportion that direct expenses of The Trade bears to total direct expenses of the carrier.

(ii) Average Monthly Expense for carriers who file FMC-63 shall be equal to one-twelfth of the expenses of the carrier during a 12-month period computed as follows: Add together the carrier's expenses during the period for vessel operating expense, inactive vessel expense, other shipping operations, and overhead expense, each as allocated to The Trade and divide the total by 12.

(7) *Other Assets (Schedule A-4)*. Any other assets owned by the carrier and claimed as components of its Rate Base shall be set forth separately in a schedule and related to amounts shown in the annual financial statements FMC-63 or FMC-64. The basis of allocation to The Trade shall be fully explained and supported by computations of percentages employed.

(8) *Property and Equipment of Related Companies*. Where vessels and other property and equipment owned by Related Companies are used in The Trade by or on behalf of the carrier, under charter or other use agreement, formal or informal, the original cost of such assets to the first Related Company acquiring the asset and the applicable reserves shall be set forth in schedules similar to those used in reporting owned assets; an appropriate allocation of such cost and reserves shall be included in the Rate Base; and the profits or losses of the Related Companies from such use shall be included in the Income Account as provided in paragraph (c) (12) of this section. The schedules used in reporting such assets owned by Related Companies and their related reserves shall indicate and fully explain the basis of allocating such assets and reserves to The Trade.

(c) *Income account (Exhibit B)*—(1) *Operating revenue (Schedule B-2)*: (i) Revenue allocated to The Trade shall include only revenue earned from the common carriage of cargo in the Domestic Offshore Trade on voyages terminated during the period, except that minor amounts of Other Cargo may be considered Trade Cargo in accordance with § 514.5(c) (2). Cargo Cube, revenue tons, and revenue amounts shall be reported in total for The Trade and separately for each of the 15 commodities producing the highest revenues in The Trade or for the number of commodities comprising at least 50 percent of the revenue in The

Trade, whichever is greater, for the out-bound portion of the Voyage and for each of the 15 commodities producing the highest revenues in The Trade or for the number of commodities comprising at least 50 percent of the revenue in The Trade, whichever is greater, for the in-bound portion of the Voyage. However, no separate listing shall be made for any commodities of less than 20,000 cubic feet, and where less than 15 commodities account for at least 90 percent of the total revenue for either portion of The Trade only those commodities need be separately reported. Where the same commodity is carried under several tariff designations such as potatoes refrigerated, potatoes nonrefrigerated, potatoes in bags, and potatoes in containers, each of these designations shall be considered as an individual commodity.

Where the applicable tariff names rates per container for containers that may contain more than one commodity, the commodity shall be considered Freight-All-Kinds and the individual commodities shall be disregarded.

(ii) Revenue arising from the carriage of Other Cargo shall be reported showing the amounts earned and related Cargo Cube and Revenue Tons for such-Other Cargo as an aggregate.

(2) *Vessel expense (Schedule B-5)*. A Vessel Expense summary of all Voyages terminated during the period in which any cargo was carried in The Service shall be submitted for each class of Cargo Vessel. Cargo Vessels, such as LASH and Seabee Vessels, which carry both containers and barges shall develop separate costs for Barge Cargo and for non-barge cargo. The Cargo Cube for the barges shall be the outside dimensions of the revenue producing barges. The cargo cube for non-barge cargo shall be the outside dimensions of revenue producing containers. The costs of Barge Cargo shall be further divided into container and breakbulk utilizing the definition of Cargo Cube defined in § 514.5(d) (1). The container portion of these costs shall then be combined with the costs of all other containers carried on the vessel. Allocations to The Trade shall be on the following bases:

(i) For any service in which three or more ports were served by the carrier the total of Vessel Expense for each class of vessel, excluding the cost of fuel, shall be divided into three statuses: Sea Time Active, Port Time Active, and Port Time Idle, as defined in § 514.5(d) (5), (7), and (8), respectively. This division shall be made on the basis of actual minutes spent in each status derived from deck logs or other operating data. Sea Time Idle as defined in § 514.5(d) (6) may be included in Sea Time Active and the time so included shall be stated in a footnote to the schedule. Where the carrier as a matter of regular practice records data in log books in time periods rounded up to and including the nearest whole hour, allocations may be made on the basis of the recorded time periods instead of minutes.

(A) Sea Time Active expense shall be divided between Trade and Other Cargo

in The Service and within The Trade further divided between Reefer Cargo and Nonreefer Cargo. This division shall be accomplished by first making any direct assignments which are appropriate and significant and by allocating the remainder in the Cargo Cube Mile Relationship.

(B) Port Time Active expense shall be determined individually for each port in The Trade and in total for all other ports. Port Time Active expense for each port in The Trade shall then be assigned to Trade and Other Cargo in The Service and within The Trade to the Cargo Categories directly to the extent appropriate and significant and the remainder allocated in the Cargo Cube Handled relationship for each port.

(C) Port Time idle expense and fuel costs determined in paragraph (c) (2) (i)

(C) Port Time Idle expense and fuel thereto shall be allocated between The Trade and Other Cargo in The Service and within The Trade to the Cargo Categories in the Vessel Expense Relationship, derived from the Active Time segments determined in accordance with paragraphs § 514.6(c) (2) (i) (A) and (B).

(D) The total fuel cost for each class of vessel shall be divided into Sea Time Active (which shall include that time defined as Sea Time Idle), Port Time Active, and Port Time Idle by dividing the total consumption of fuel at sea by the total minutes at sea to determine the average consumption rate per minute and by dividing the total consumption of fuel in port by the total minutes in port to determine the average consumption rate per minute. These average rates shall be applied to the minutes in each status to determine the total quantity of fuel consumed during each time status. The total fuel cost shall be prorated to the voyage time statuses in proportion to the quantity of fuel consumed. Fuel costs shall also be determined individually for each port included in The Trade and in total for all other ports in The Service. The Sea Time Active and Port Time Active segments of fuel cost shall be allocated to Cargo Categories in the same proportions that Sea Time Active and Port Time Active expenses were allocated to Cargo Categories in § 514.6(c) (2) (i) (A) and (B).

(ii) For any Service in which all voyages terminated during the year served only two ports (the same two ports all year) the total of Vessel Expense (Schedule B-5) of each class of vessel shall be divided between Trade and Other Cargo in The Service and within The Trade further divided between Reefer Cargo and Nonreefer Cargo. These expenses shall be assigned on a direct basis, to the extent practical, with allocation of the remainder in the Cargo Cube Mile Relationship.

(iii) Port (Schedule B-6), cargo (schedule B-7) freight brokerage (Schedule B-8), and other voyage expenses, shall be divided between The Trade and Other Cargo in The Service and within The Trade further divided between cargo categories to the extent

practicable. These expenses shall be reported port by port for those ports handling Trade Cargo and in total for all other ports in The Service. Except for Breakbulk Vessels, all costs relating to Stuffing or Carrier Trucking that have been recorded in any of the accounts of this group shall be assigned directly to Stuffing and Carrier Trucking and further allocated in accordance with paragraph (c) (2) (iii) (D) of this section. To the extent the costs are not directly assignable they shall be allocated to ports and, at each port handling Trade Cargo, between Trade Cargo and Other Cargo as follows:

(A) Port Expense in the Cargo Cube Handled Relationship;

(B) Cargo expense comprising stevedoring and other cargo expense as follows:

(1) The stevedoring charges shall be subdivided into reasonable components such as heavy lift, onloading and offloading of containers, special Reefer Cargo handling charges, automotive vehicles, or others. The carrier's choice of components for subdivision will not be considered unreasonable for failure to reflect components not reflected in stevedores' invoices or other comparable records, provided that all components reflected in such records are reflected in the subdivision. Each of these subdivisions shall be allocated to Cargo Categories in the Cargo Cube Handled Relationship, or on some other appropriate basis such as hundred weight or per container. The basis of allocation shall be described in a footnote on the schedule. The cost per unit of cargo shall be noted in each instance.

(2) Other cargo expense in the same relationship as stevedoring expense.

(C) Freight brokerage and other voyage expenses to the appropriate Cargo Categories in the Cargo Cube Handled relationship.

(D) Costs of Carrier Trucking and costs of Stuffing, in the Cargo Cube Relationship or on any other appropriate basis such as hundred weight or number of containers. The basis of allocation shall be described in a footnote. The cost per unit of cargo shall be noted in each instance.

(iv) Passenger revenue less passenger brokerage and other voyage revenue earned in The Service (Schedule B-9) shall be deducted from vessel operating expense and distributed between The Trade and Other Cargo and within The Trade to the various Cargo Categories in the Cargo Cube Mile Relationship.

(v) An analysis of the charter hire (Schedule B-10) included in each Vessels Operating Expenses summary of The Service shall be submitted for each vessel showing if known or reasonably available;

- (A) The name of the vessel(s);
- (B) From whom chartered;
- (C) The owner(s);
- (D) The period or periods of charter;
- (E) The charter rate and total charter hire;

(F) The date of original construction; and

(G) The original purchase price to the current owner and the cost of subsequent conversions.

(3) *Vessel operating expense (Only for carriers who file FMC-63)*: This schedule shall be submitted by carriers who annually file FMC-63 with the Federal Maritime Commission. It is designed to summarize operating results and to provide for allocations to The Trade where necessary because of the simultaneous carriage of Other cargo. The principles of allocation are the same as those required by paragraph (c) (2) of this section except that in lieu of Vessel Expense Relationship, Vessel Operating Expense Relationship will be used for cost allocations.

(4) *Inactive vessel expense (Schedule B-11)*: Inactive vessel expense shall, in general, be allocated by vessel to The Trade on the same basis as the investment in such vessel is included in the Rate Base. However, when a vessel is definitely assigned to The Service, any inactive vessel expense for that vessel may be allocated to The Service. If such a vessel be temporarily diverted to Other Services to minimize lay-up expense, no lay-up expense incurred before or after such period need be allocated to the Other Service. Inactive vessel expense applicable to vessels not used in The Service or withdrawn from The Service and excluded from the Rate Base in accordance with paragraph (b) (1) (i) (B) of this section shall be excluded from The Service. Inactive vessel expense, allocated to The Trade, shall be prorated to the Cargo Categories in The Trade in the Vessel Expense Relationship by vessel class.

(5) *Other shipping operations (Schedule B-13)*: These operations (Terminal, Cargo Handling, Tug and Lighter, and Other Shipping) may result in revenue, interdepartmental credits, and expenses. The revenues earned from serving others shall be directly assigned to Other Cargo. Interdepartmental credits shall be allocated in the same manner as are the contra interdepartmental charges included in the terminated voyage accounts as prescribed in paragraph (c) (2) of this section. In addition, except for breakbulk vessels, all costs relating to Stuffing or Carrier Trucking which have been recorded in any of the accounts of this group and have not been transferred to voyage accounts (and allocated in accordance with § 514.6(c) (2) (iii) (D)) shall be allocated directly to Stuffing and Carrier Trucking and further allocated in accordance with § 514.6(c) (2) (iii) (D).

Where the balances representing the mathematical total of the expenses, revenues, and interdepartmental credits of the accounts comprising Other Shipping Operations do not exceed 5 percent of the total of the expenses and the total of the revenues does not exceed 10 percent of the total of the operator's revenue or interdepartmental credits the carrier may allocate said mathematical total to Cargo Categories on a port-by-port basis in the Cargo Cube Handled relationship.

In those cases where the subject expenses are significant in amount they shall be assigned directly to The Trade and to the various applicable Cargo Categories, separately by ports at which incurred, to the extent practicable. To the extent they are not directly assignable:

(i) Expense of terminal operations, divided to the extent practicable in an appropriate manner between the various operations of the respective terminals, shall be allocated to The Trade and Cargo Categories within The Trade on the volume basis. Methods employed and formulas used shall be set forth in footnotes to the schedule.

(ii) Expense of cargo handling shall be as follows:

(A) The stevedoring charges shall be subdivided into reasonable components such as: heavy lift, onloading and offloading of containers, special Reefer Cargo Handling charges, automotive vehicles, etc. Each of these subdivisions shall be allocated to Cargo Categories in the Cargo Cube Handled relationship, or on some other appropriate basis such as hundred weight or per container. The basis of allocation shall be described in a footnote on the schedule. The cost per unit of cargo shall be noted in each instance.

(B) Other cargo expense in the Cargo Cube Handled relationship.

(iii) Expense of Tug and Lighter shall be allocated on a port-by-port basis for ports in The Trade and Cargo Categories within The Trade and in total for other ports in The Service in the Cargo Cube Handled relationship.

(iv) Expense of Other Shipping Operations, divided to the extent practicable in an appropriate manner between the various operations, shall be allocated to The Trade and Cargo Categories within The Trade, each on the pertinent volume basis. Methods employed and formulas used shall be set forth in footnotes to the schedule.

(6) *Other shipping operations (only for carriers who file FMC-63)*: This schedule shall be submitted by carriers who annually file FMC-63 with the Federal Maritime Commission. Items related to shipping, classified as other shipping operations shall be allocated to Cargo Categories using the same principles of allocation described in subparagraph (5) of this paragraph.

(7) (i) *Overhead expense (Schedule B-14)*: Overhead expense, comprising the total of Administrative and General Expense, Management and Operating Commissions, Advertising, Taxes-Miscellaneous, Less Agency Fees, Commissions, and Brokerage Earned, to the extent not directly assignable, shall be allocated to Vessel Operating Expense, Inactive Vessel Expense, Other Shipping Operations (excluding any portion thereof effectively transferred to Vessel Operating Expense by interdepartmental credits), and Nonshipping Operations in proportion to the costs of each of these items. However, where Nonshipping Operation's direct costs are less than 5 percent of the carrier's total direct costs all Overhead Expense may be distributed to

Shipping Operations. The costs of Non-Shipping Operations shall be those included in account 995, less any interest included therein, for carriers required to file FMC-64 and those included in account 523, less any depreciation included therein, for carriers required to file FMC-63. Overhead Expenses allocated to Vessel Operating Expense, Inactive Vessel Expense, and Other Shipping Operations shall in turn be allocated to appropriate Cargo Categories in the same proportions as the sum of these expenses were allocated to Cargo Categories.

(ii) Direct assignments of overhead shall be made where practicable. Any direct assignments shall be explained in detail in a footnote on the schedule.

(8) *Depreciation and amortization (Schedules B-12; B-15)*: Depreciation and amortization of assets included in the Rate Base shall be assigned or allocated to The Trade on the same bases as the specific assets. The Trade expenses shall then be further allocated to the Cargo Categories within The Trade on bases consistent with the methods of allocation prescribed in the preceding sentence.

(9) *Construction-differential subsidy refund (Schedule B-16)*: Construction-Differential Subsidy Refunds paid or payable to the Maritime Administration in connection with vessels employed on a part-time basis in the service and applicable to the period for which a report is being made, calculated in accordance with the requirements of section 506 of the Merchant Marine Act, 1936, as amended, shall be taken into account. The Construction-Differential Subsidy Refund attributable to operations in The Service shall be allocated to The Trade and within The Trade to the various Cargo Categories in the relationship that the revenue from those cargoes carried in The Trade bears to the total revenue used to determine the amount of the refund. Whenever expenses applicable to the Trade are increased due to Construction-Differential Subsidy Refunds, details of calculations with respect thereto and the proration to Cargo Categories must be reported.

(10) *Other income/expense (Schedule B-17)*: (i) Any other elements of income or expense wholly or partially applicable to the Trade shall be fully explained and supported by a schedule showing details of allocation to the Trade and Cargo Categories within the Trade.

(ii) *Operating-Differential Subsidy Refunds* under section 605(a) of the Merchant Marine Act, 1936, as amended, shall not be allocated to the Trade.

(11) (i) *Provisions for income taxes (Schedule B-18)*: The provisions for Federal, State, and other income taxes shall be stated separately. If the company is organized outside of the United

States e.g., Puerto Rico), it shall indicate the entity to which it pays income taxes, the tax paid, and the rate of tax applicable to its taxable income for the subject year. The tax information to be included herein shall show the tax liability of the carrier only. Such information shall be computed on the basis of that carrier's data as if that carrier were filing its own return regardless of whether or not that carrier may be included in a consolidated return filed by another corporate entity. Where that amount is not obtainable at the time of filing this report, it shall be estimated with as much precision as possible and not merely computed at the highest statutory tax rate. Tax savings resulting from the investment credit shall be shown separately. The amount shown as actual taxes shall be increased by the amount reported as tax savings resulting from the investment tax credit.

(ii) *Allocation of tax liability to the Trade*, where necessary, shall be made on the basis of the relationship between net income of the Trade before taxes and total net income before taxes. Where circumstances exist which would make this type of allocation inequitable to either the Trade or other operations (e.g., a large uninsured casualty loss in one aspect of operations), the circumstances should be explained and proper adjustments made to the allocated tax liability.

(12) *Profits of related companies (Schedule B-19)*: Net income, after Federal Income Taxes earned by Related Companies of the carrier in performing services for the carrier in the Trade shall be included and allocated to Cargo Categories in an appropriate manner. The methods employed in determining such net income and allocations shall be fully explained and supported by a footnote on the schedule.

#### APPENDIX

##### PRO FORMA STATEMENTS

##### RATE BASE—EXHIBIT A AND SUPPORTING SCHEDULES

##### INCOME ACCOUNT—EXHIBIT B AND SUPPORTING SCHEDULES

To be filed within 150 days after the close of each fiscal year by vessel operating common carriers for each domestic offshore trade with gross annual revenue of \$1,500,000 or more, except as otherwise provided.

§ 514.2(d) "In addition to the annual statements required by 514.2 (a) and (b) a carrier shall submit financial and operating data as described below whenever it files (a) an initial tariff in a Trade not currently served by that carrier, or (b) a general rate change, and (c) except as otherwise provided in § 514.2(a), annually."

Carrier's statements should be furnished under a cover sheet stating name and address of carrier, period to which statements pertain, and the trade to which the statements relate.

§ 514.2(k) "If any conflicts appear between the wording of this part 514 and any exam-

ples or Pro Forma Statements furnished for use in conjunction with this part 514, the wording of this part shall be controlling."

§ 514.3 "Certification: The data required by this part shall be accompanied by a certification of the corporate officer responsible for the maintenance and accuracy of the books, accounts, and financial records of the carrier that: (a) The books and accounts have been maintained in accordance with an appropriate system of accounts in accordance with generally accepted accounting principles; and (b) The exhibits and schedules have been prepared from the books and records of the carrier in accordance with this part."

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Exhibit A—Rate Base—Exhibit B—Income Account

##### Schedules:

- A-1 ---- Investment in Vessels.
- A-2 ---- Other Owned Property and Equipment.
- A-3 ---- Working Capital.
- A-4 ---- Other Assets.
- A-5 ---- Assets Reconciled to FMC-64 Report.
- B-1 ---- Restatement of Income of the Service (Schedule 3002-FMC-64) for the purposes of Exhibit B.
- B-2 ---- Operating Revenue.
- B-3 ---- Cargo Cube Handled.
- B-4 ---- Cargo Cube Miles.
- B-5 ---- Breakbulk Vessels—Vessel Expense.
- B-5a --- Division of Vessel Expense into Time Statuses.
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- B-9 ---- Passenger Revenue.
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- B-17 --- Other Income/Expense.
- B-18 --- Provisions for Income Taxes.
- B-19 --- Profits of Related Companies.

Schedules in the same format as A-1, A-2, and A-4 are to be prepared for Capitalized Leases and for Property and Equipment of Related Companies identified by Suffixes "CL" and "RC", respectively. § 514.6(b) (8) and (9).

For carriers who file FMC-63 the following alternative schedules are provided: A-3 Working Capital; B-5 Vessel Operating Expense; B-13 Other Shipping Operations; B-14 Overhead Expense.

RULES AND REGULATIONS

EXHIBIT A

		Company				
The		Trade				
Rate Base						
, 19__ to __, 19__						
Schedule	Total	The trade				
		Breakbulk vessels		Containerships		
		Reefer	Nonreefer	Reefer	Nonreefer	
A-1 Investment in vessels.....	\$24,475,101	\$2,995,644	\$10,146,318	\$3,236,751	\$8,096,388	
A-2 Other owned property and equipment.....	315,990	22,356	71,077	57,972	164,585	
A-3 Working capital.....	3,549,319	581,671	2,218,296	216,905	532,447	
A-4 Other assets.....						
CAPITALIZED LEASES						
A-1 (CL) Vessels.....						
A-2 (CL) Other owned property and equipment.....						
A-4 (CL) Other assets.....						
PROPERTY AND EQUIPMENT OF RELATED COMPANIES						
A-1 (RC) Vessels.....						
A-2 (RC) Other owned property and equipment.....						
A-4 (RC) Other assets.....						
Total rate base.....	28,340,410	3,599,671	12,435,691	3,511,628	8,793,420	

Reference: 514.6(b).

EXHIBIT B

		Company				
The		Trade				
Income Account						
Schedule	Total	The trade				
		Breakbulk vessels		Containerships		
		Reefer	Nonreefer	Reefer	Nonreefer	
B-2 Operating revenue.....	\$59,914,361	\$8,866,499	\$29,369,873	\$7,069,391	\$14,608,598	
OPERATING EXPENSES						
Direct costs						
B-5 Vessel expense.....	20,102,641	3,303,674	11,189,619	1,602,033	4,007,315	
B-6 Port expense.....	2,043,981	206,935	684,904	325,249	826,893	
B-7 Cargo expense.....	13,636,312	2,079,471	9,759,452	522,116	1,275,273	
B-8 Freight brokerage and other voyage expense.....	224,255	17,247	101,024	29,614	76,370	
B-11 Inactive vessel expense.....	186,168	13,710	46,437	35,992	90,029	
B-13 Other shipping operations.....	6,579,660	38,959	113,624	1,703,565	4,723,512	
Total direct costs.....	42,773,017	5,659,996	21,895,060	4,218,569	10,999,392	
B-14 Overhead:						
Directly assigned.....	72,667			72,667		
Allocated at 10.76433 pct of direct costs.....	4,004,229	609,261	2,356,856	454,101	1,184,011	
Depreciation and amortization						
B-12 Vessels.....	1,737,492	211,000	714,662	231,859	579,971	
B-15 Other property and equipment.....	27,056	1,406	4,652	5,502	15,436	
B-9 Passenger and other voyage revenue.....	(128,721)	(13,666)	(65,717)	(13,998)	(35,340)	
B-17 Other income/expense.....						
B-16 Construction-differential subsidy refund.....						
Total expense.....	49,085,740	6,488,057	24,905,513	4,968,700	12,743,470	
Net income/loss.....	10,828,621	2,398,442	4,464,360	2,100,691	1,865,122	
B-18 Income tax provision.....	(.....)	(.....)	(.....)	(.....)	(.....)	
B-19 Profits of related companies.....						
Total net income/loss.....						

Reference: 514.6(c).

## RULES AND REGULATIONS

## SCHEDULE A-1

Company  
The ..... Service

Investment in Vessels

....., 19.. to ....., 19..

	Balance beginning of year or cost of acquisitions during the year			The service	
	Cost	Depreciation reserve	Net	Days	Adjusted cost
Breakbulk vessel A	\$2,500,000	\$1,187,500	\$1,312,500	31	\$111,473
Breakbulk vessel B less machinery replaced	2,288,634	1,087,101	1,201,533	328	1,079,734
Breakbulk vessel B machinery replaced (removed)	211,365	100,399	110,967	53	16,113
Breakbulk vessel B machinery installed	860,966		860,966	275	648,673
Breakbulk vessel C	2,500,000	1,187,500	1,312,500	365	1,312,500
Breakbulk vessel D	2,500,000	1,187,500	1,312,500	365	1,312,500
Breakbulk vessel E	2,500,000	1,187,500	1,312,500	365	1,312,500
Breakbulk vessel F	2,500,000	1,187,500	1,312,500	365	1,312,500
Breakbulk vessel G	2,500,000	1,187,500	1,312,500	350	1,258,562
Breakbulk vessel H	2,500,000	1,187,500	1,312,500	365	1,312,500
Breakbulk vessel I	2,500,000	1,187,500	1,312,500	365	1,312,500
Breakbulk vessel J	2,500,000	1,187,500	1,312,500	365	1,312,500
Breakbulk vessel K	2,500,000	1,187,500	1,312,500	365	1,312,500
Breakbulk vessel L	2,500,000	1,187,500	1,312,500	365	1,312,500
Breakbulk vessel M	2,500,000	1,187,500	1,312,500	365	1,312,500
Breakbulk vessel N	2,500,000	1,187,500	1,312,500	365	1,312,500
Breakbulk vessel O	2,500,000	1,187,500	1,312,500	365	1,312,500
Breakbulk vessel P	2,500,000		2,500,000	186	1,273,973
<b>Total</b>					<b>20,138,528</b>
Containership Q	5,000,000	2,375,000	2,625,000	212	1,524,658
Containership R less machinery removed	4,525,000	2,149,375	2,375,625	343	2,232,437
Containership R machinery removed	475,000	225,625	249,375	190	129,812
Containership S	5,000,000	2,375,000	2,625,000	365	2,625,000
Containership T	5,000,000	2,375,000	2,625,000	365	2,625,000
Containership U	5,000,000	2,375,000	2,625,000	365	2,625,000
Containership V	5,000,000	2,375,000	2,625,000	365	2,625,000
Containership W	5,000,000	2,375,000	2,625,000	365	2,625,000
Containership X	5,000,000	2,375,000	2,625,000	365	2,625,000
Containership Y	5,000,000		5,000,000	31	424,658
<b>Total</b>					<b>20,061,565</b>
	The trade		Other cargo	Total	
	Reefer	Nonreefer			
Distribution of adjusted cost in vessel expense relationship (514.6(b)(1)(ii)):					
Breakbulk vessels	\$2,995,644	\$10,146,318	\$6,996,566	\$20,138,528	
Containerships	3,236,751	8,096,888	8,728,426	20,061,565	

Reference: 514.6(b)(1) and 514.6(b)(2).  
 Breakbulk vessel A used in other services Jan. 1 to June 30; in the service July 1 to 31 and sold and delivered to an unrelated company on Aug. 1.  
 Breakbulk vessel G used Jan. 1 to July 9 in the service, July 10 to 24 in other services and July 25 to Dec. 31 in the service.  
 Containership Y delivered by the builder and placed in the service Dec. 1.  
 (For purposes of the Pro Forma all changes are assumed as occurring at midnight.)

## SCHEDULE A-2

Company  
The ..... Service

Other Owned Property and Equipment

....., 19.. to ....., 19..

	Balances at beginning of year or costs of acquisition during the year			Period in use (days)	Adjusted cost
	Cost	Depreciation reserve	Net		
<b>At Port A:</b>					
Other floating equipment:					
Tugboat I	\$350,000	\$166,250	\$183,750	181	\$91,120
Tugboat II	400,000		400,000	202	221,370
<b>Total</b>					<b>312,490</b>

	Balances at beginning of year or costs acquisition during the year			Period in use (days)	Adjusted cost	
	Cost	Depreciation reserve	Net			
<b>Other Shipping property and equipment:</b>						
<b>Office furniture and fixtures:</b>						
Used Jan. 1, to Dec. 31.....	306,308	108,404	197,904	365	197,904	
Disposed of during the year.....	15,907	14,316	1,591	(1)	796	
Additions during the year.....	16,553		16,553	(1)	8,276	
<b>Total.....</b>					<b>206,976</b>	
<b>Total other owned property and equipment.....</b>						
					<b>519,496</b>	
Distribution of adjusted cost						
	Other services and other cargo	The trade			Total	
		Breakbulk vessels		Containerships		
		Reefer	Non-reefer	Reefer	Nonreefer	
Other floating equipment.....	\$106,592	\$18,622	\$59,281	\$32,907	\$95,088	\$312,400
Other shipping property and equipment.....	96,884	3,734	11,796	25,065	69,497	206,976
<b>Total.....</b>	<b>203,476</b>	<b>22,356</b>	<b>71,077</b>	<b>57,972</b>	<b>164,585</b>	<b>519,496</b>

150 pct.  
References: 514.6(b)(3) and 514.6(b)(4).  
Adjusted cost—Other floating equipment distributed on basis of account 875 tug and lighter operations costs and other shipping property and equipment on basis of total costs other shipping operations (See schedule B-13).

SCHEDULE A-3

	Company			
	The		Trade	
	Working Capital			
	, 19__ to		, 19__	
	Breakbulk vessels		Containerships	
	Reefer	Nonreefer	Reefer	Nonreefer
AVERAGE VOYAGE EXPENSE COMPUTATION				
<b>Operating statistics:</b>				
1. Terminated voyage days.....		5,765		3,195
2. Voyage terminations.....		192		129
3. Average length-terminated voyages (Item 1 divided by Item 2).....		30.02604		24.76744
4. Calendar days in the period.....		365		365
5. Vessel years (Item 1 divided by Item 4).....		15.79452		8.75343
<b>Computation of average Voyage expense:</b>				
6. Overhead.....	\$600,261	\$2,356,856	\$526,768	\$1,184,011
7. Vessel operating expense:				
Vessel expense.....	3,303,674	11,189,619	1,602,033	4,007,315
Port expense.....	206,935	684,904	325,249	820,893
Cargo expense.....	2,079,471	9,759,452	522,116	1,275,273
Freight brokerage and other voyage expense.....	17,247	101,024	29,614	76,370
8. Deduct:				
Passenger revenue.....	(13,666)	(65,717)	(13,998)	(35,340)
Insurance in vessel expense relationship (average voyage under 90 d):				
Account 755, "Hull and machinery".....	(66,161)	(224,087)	(39,597)	(99,048)
Account 757, "Protection and indemnity".....	(368,372)	(1,247,686)	(38,147)	(95,421)
9. Net overhead and operating expense.....	5,768,389	22,554,365	2,914,038	7,140,053
10. Average voyage expense less insurance: (Item 9 divided by Item 2) times Item 5.....	474,526	1,855,393	197,735	484,496
11. Insurance expense for 90 days:				
Account 755 "Hull and machinery".....	16,314	55,254	9,764	24,423
Account 757, "Protection and indemnity".....	90,831	307,649	9,406	23,528
12. Average voyage expense.....	581,671	2,218,296	216,905	532,447

ACTUAL WORKING CAPITAL COMPUTATION

Actual working capital computation not computed

514.6(b)(5) Working capital shall be included at the lesser of actual working capital or average voyage expense.  
514.6(b)(5)(i) Actual working capital shall be computed from the balances at the beginning of the year in (current) asset and liability accounts.  
Only the computation of average voyage expense 514.6(b)(5)(ii) is illustrated in these pro forma statements. Both computations are to be included in submitting annual reports.  
Pro forma Exhibit A—Rate Base was prepared on the assumption that in this instance the carrier's average voyage expense was less than the computed actual working capital.

## RULES AND REGULATIONS

Company  
The ..... Service  
Other Assets  
SCHEDULE A-4  
....., 19... to ..... 19...

Reference: 514.6(b)(7)—“Any other assets owned by the carrier and claimed as components of its rate base shall be set forth separately in a schedule and related to amounts shown in the annual financial reports, FMC-63 or FMC-64. The basis of allocation to the trade shall be fully explained and supported by computations of percentages employed.”

SCHEDULE A-5  
Company  
Assets Reconciled to FMC-64 Report  
....., 19... to ..... 19...

Reference: 514.2(h)—“With respect to the annual reports required by this part, all data must be based on amounts shown in the annual financial reports filed with the Federal Maritime Commission in compliance with General Order 5 (part 511 of this chapter). Where any amount differs from that reported in the annual reports, forms FMC-63 or FMC-64, the differences shall be set forth and fully explained.”

SCHEDULE B-1  
Company  
Restatement of Income of The Service (Schedule 3002-  
FMC 64) for the Purposes of Exhibit B  
....., 19... to ..... 19...

Reference: 514.2(h)—“With respect to the annual reports required by this part, all data must be based on amounts shown in the annual financial reports filed with the Federal Maritime Commission in compliance with General Order 5 (part 511 of this chapter). Where any amount differs from that reported in the annual reports, forms FMC-63 or FMC-64, the differences shall be set forth and fully explained.”

SCHEDULE B-2  
Company  
The ..... Service  
Operating Revenue  
....., 19... to ..... 19...

	Cargo cube	Revenue tons	Amount of revenue
<b>Trade cargo outward:</b>			
Commodity 1.....	24,940,771	366,018	\$4,758,244
Commodity 2.....	6,417,524	364,292	4,735,798
Commodity 3.....	11,056,704	116,793	2,128,617
Commodity 4.....	5,677,611	140,024	1,820,319
Commodity 5.....	3,226,201	131,263	1,706,421
Commodity 6.....	4,565,682	122,263	1,051,228
Commodity 7.....	7,570,491	114,528	1,374,338
Commodity 8.....	3,430,798	56,040	672,553
Commodity 9.....	1,104,172	53,695	644,350
Commodity 10.....	823,272	47,812	573,742
Commodity 11.....	284,365	43,310	519,724
Commodity 12.....	1,133,211	41,389	496,662
Commodity 13.....	5,238,083	41,303	495,639
Commodity 14.....	384,323	34,166	409,994
Commodity 15.....	1,212,787	32,723	392,687
Other trade commodities.....	86,248,257	1,273,048	15,137,150
Total trade cargo outward.....	113,614,252	2,978,673	36,917,466
<b>Trade cargo inward:</b>			
Commodity 1.....	48,102,888	1,333,034	10,664,270
Commodity 2.....	12,874,373	401,210	6,018,193
Commodity 3.....	7,099,631	382,114	4,394,313
Other trade commodities.....	10,143,615	160,012	1,920,149
Total trade cargo inward.....	78,310,507	2,276,370	22,996,895
Total trade cargo (schedule B-2).....	191,924,759	5,255,043	59,914,361
<b>Other cargo:</b>			
ICC cargo.....			
Foreign cargo.....	100,417,577	2,196,105	22,184,625
Military cargo.....	1,062,114	12,148	264,215
All other cargo.....	9,068,527	478,120	836,782
Total other cargo.....	110,548,218	2,686,373	23,285,622
Total cargo.....	302,472,977	7,941,416	83,199,983

Reference: 514.6(c)(1).

ICC cargo.....	100,417,577	2,196,105	22,184,62
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RULES AND REGULATIONS

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	Reefer cargo			Nonreefer cargo		
	Cargo cube	Revenue tons	Revenue	Cargo cube	Revenue tons	Revenue
<b>BREAKBULK VESSELS</b>						
Cargo outward:						
Commodity 1.....				17,458,540	256,213	\$3,330,757
Commodity 2.....	6,417,524	364,292	\$4,735,798			
Commodity 3.....	11,056,704	116,793	2,128,617			
Commodity 4.....	5,677,611	140,024	1,820,319			
Commodity 5.....				2,258,341	85,321	1,109,174
Commodity 6.....						
Commodity 7.....				5,509,344	74,443	893,320
Commodity 8.....				2,401,559	36,430	437,159
Commodity 9.....				772,920	34,902	418,828
Commodity 10.....				576,290	31,078	372,932
Commodity 11.....				199,056	28,152	357,821
Commodity 12.....				793,248	26,903	322,530
Commodity 13.....				3,660,659	28,912	340,947
Commodity 14.....						
Commodity 15.....				1,212,787	32,723	392,687
Other commodities.....				25,571,558	817,869	9,649,541
Total cargo outward.....	23,151,839	621,109	8,684,734	60,420,301	1,452,946	17,611,996
Cargo inward:						
Commodity 1.....				33,735,016	931,024	7,464,989
Commodity 2.....						
Commodity 3.....				4,969,742	267,480	3,076,019
Other commodities.....	440,025	11,801	181,765	6,792,518	103,747	1,216,869
Total cargo inward.....	440,025	11,801	181,765	45,497,276	1,302,251	11,757,877
Total trade cargo.....	23,591,864	632,910	8,866,499	105,917,577	2,755,197	29,369,873
<b>CONTAINERSHIPS</b>						
Cargo outward:						
Commodity 1.....				7,482,231	109,805	1,427,487
Commodity 2.....						
Commodity 3.....						
Commodity 4.....						
Commodity 5.....				967,860	45,942	597,247
Commodity 6.....	4,565,682	122,263	1,051,228			
Commodity 7.....						
Commodity 8.....				2,361,147	40,085	481,018
Commodity 9.....				1,029,239	19,616	235,394
Commodity 10.....				531,252	18,793	225,522
Commodity 11.....				248,982	16,734	200,810
Commodity 12.....				85,309	15,158	181,903
Commodity 13.....				339,963	14,486	173,832
Commodity 14.....				1,571,425	12,391	143,692
Commodity 15.....				384,323	34,166	409,994
Other commodities.....				10,676,699	455,179	5,487,609
Total cargo outward.....	4,565,682	122,263	1,051,228	25,476,430	782,355	9,569,508
Cargo inward:						
Commodity 1.....				14,457,872	402,010	3,199,281
Commodity 2.....	12,874,373	401,210	6,018,163			
Commodity 3.....				2,129,889	114,634	1,318,294
Other commodities.....				2,911,072	44,464	521,515
Total cargo inward.....	12,874,373	401,210	6,018,163	19,498,833	561,108	5,039,090
Total trade cargo.....	17,440,055	523,473	7,069,391	44,975,263	1,343,463	14,608,598
<b>Recap:</b>						
Breakbulk:						
Reefer.....				23,591,864	632,910	\$8,866,499
Nonreefer.....				105,917,577	2,755,197	29,369,873
Containership:						
Reefer.....				17,440,055	523,473	7,069,391
Nonreefer.....				44,975,263	1,343,463	14,608,598
Total.....				191,924,759	5,255,043	59,914,361

## RULES AND REGULATIONS

## SCHEDULE B-3

The \_\_\_\_\_ Company  
 Cargo Cube Handled Relationship—Breakbulk Vessels  
 Service  
 19\_\_\_\_ to \_\_\_\_\_, 19\_\_\_\_

	Cargo cube handled			
	The trade			
	Total	Reefer	Nonreefer	Other cargo
Between:				
A and E.....	42,214,043	6,170,609	19,643,120	16,400,314
A and F.....	3,974,489			3,974,489
Port A:				
Cargo cube handled.....	46,188,532	6,170,609	19,643,120	20,374,803
Cargo cube handled relationship (percent).....	100.00000	13.35961	42.52813	44.11220
B and E.....	56,599,515	12,075,524	24,015,859	20,508,132
B and F.....	4,935,258			4,935,258
Port B:				
Cargo cube handled.....	61,534,773	12,075,524	24,015,859	25,443,390
Cargo cube handled relationship (percent).....	100.00000	19.62390	39.02811	41.34799
C and E.....	63,584,932	4,587,089	54,297,240	4,700,603
C and F.....	5,649,165			5,649,165
Port C:				
Cargo cube handled.....	69,234,097	4,587,089	54,297,240	10,349,708
Cargo cube handled relationship (percent).....	100.00000	6.62548	78.42558	14.94894
D and E.....	9,102,372	758,642	7,961,358	382,372
D and F.....	703,617			703,617
Port D:				
Cargo cube handled.....	9,805,989	758,642	7,961,358	1,085,989
Cargo cube handled relationship (percent).....	100.00000	7.73052	81.18873	11.07475
E and F.....	14,420,177			14,420,177
A and E.....	42,214,043	6,170,609	19,643,120	16,400,314
B and E.....	56,599,515	12,075,524	24,015,859	20,508,132
C and E.....	63,584,932	4,587,089	54,297,240	4,700,603
D and E.....	9,102,372	758,642	7,961,358	382,372
Port E:				
Cargo cube handled.....	185,921,039	23,591,864	105,917,577	56,411,598
Cargo cube handled relationship.....	100.00000	12.68917	56.96013	30.34170
A and F.....	3,974,489			3,974,489
B and F.....	4,935,258			4,935,258
C and F.....	5,649,165			5,649,165
D and F.....	703,617			703,617
E and F.....	14,420,177			14,420,177
Port F cargo cube handled.....	29,682,706			29,682,706
Total cargo cube handled, breakbulk vessels.....	402,367,136	47,182,728	211,835,154	143,348,254
Cargo cube handled relationship—Total cargo, breakbulk vessels (percent).....	100.00000	11.72654	52.64723	35.62623
A and E.....	51,045,807	10,903,030	31,507,634	8,634,543
A and F.....	6,309,156			6,309,156
Port A:				
Cargo cube handled.....	57,354,963	10,903,030	31,507,634	14,943,699
Cargo cube handled relationship (percent).....	100.00000	19.01079	54.93445	26.05476
B and E.....	22,772,267	6,536,425	13,467,629	2,768,213
B and F.....	704,297			704,297
Port B:				
Cargo cube handled.....	23,476,564	6,536,425	13,467,629	3,472,510
Cargo cube handled relationship (percent).....	100.00000	27.84234	57.36627	14.71239
E and F.....	20,457,882			20,457,882
A and E.....	51,045,807	10,903,030	31,507,634	8,634,543
B and E.....	22,772,267	6,536,425	13,467,629	2,768,213
Port E:				
Cargo cube handled.....	94,275,956	17,440,055	44,975,263	31,960,638
Cargo cube handled relationship (percent).....	100.00000	18.49894	47.70598	33.79508
A and F.....	6,309,156			6,309,156
B and F.....	704,297			704,297
E and F.....	20,457,882			20,457,882
Port F cargo cube handled.....	27,471,335			27,471,335
Total cargo cube handled, containerships.....	202,578,818	34,880,110	89,950,526	77,748,182
Cargo cube handled relationship—Total cargo handled, containerships (percent).....	100.00000	17.21804	44.40273	38.37923

Reference: 514.5(d)(2).

RULES AND REGULATIONS

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SCHEDULE B-4

The Company Cargo Service Cube Miles Relationship—Breakbulk Vessels 19.. to .., 19..	Cargo cube miles (thousands)				
	Miles	Total	Reefer	Nonreefer	Other cargo
<b>Between:</b>					
Port A and port E.....	2,190	92,448,755	13,513,634	43,018,433	35,916,688
Port A and port F.....	4,635	18,421,757			18,421,757
Port B and port E.....	2,872	162,553,807	34,680,905	68,973,547	58,899,355
Port B and port F.....	4,329	21,364,732			21,364,732
Port C and port E.....	3,430	218,066,316	15,733,715	186,239,533	16,123,068
Port C and port F.....	4,438	25,070,994			25,070,994
Port D and port E.....	2,133	19,415,360	1,618,184	16,981,577	815,599
Port D and port F.....	4,708	3,312,629			3,312,629
Port E and port F.....	2,753	39,698,747			39,698,747
Total cargo cube miles, breakbulk vessels.....		600,383,067	65,546,438	315,213,090	219,623,569
Cargo cube mile relationship, breakbulk vessels (percent).....		100.00000	10.91744	52.50199	36.58057
Port A and port E.....	2,190	111,790,317	23,878,950	69,001,718	18,909,649
Port A and port F.....	4,635	29,242,938			29,242,938
Port B and port E.....	2,872	65,401,951	18,772,613	38,679,030	7,950,308
Port B and port F.....	4,329	3,048,902			3,048,902
Port E and port F.....	2,753	56,320,549			56,320,549
Total cargo cube miles, container-ships.....		265,804,657	42,651,563	107,680,748	115,472,346
Cargo cube mile relationship, container-ships.....		100.00000	16.04621	40.51123	43.44256

Reference: 514.5(d)(4).

SCHEDULE B-5  
BREAKBULK VESSELS

The Company Vessel Expense ....., 19.. to .., 19..	Total	The trade		Other cargo
		Reefer	Nonreefer	
701 Wages.....	\$10,046,115			
708 Payroll taxes.....	529,260			
709 Contributions—Welfare plan.....	1,634,859			
710 Subsistence.....	693,774			
715 Stores supplies and equipment.....	538,298			
725 Other maintenance expense.....	55,990			
735 Fuel.....	2,989,833			
740 Repairs—Performed domestic.....	2,073,679			
749 Repairs—Performed foreign.....	444,771			
755 Insurance—Hull and machinery.....	2,476,421			
757 Insurance—Protection and indemnity.....	39,664			
759 Insurance—Other.....	638,196			
760 Charter hire.....	78,428			
764 Other vessel expense.....				
<b>Total.....</b>	<b>22,209,286</b>			
<b>Directly assigned:</b>				
Wages of engineers to reefer supervision.....	167,080	\$167,080		
Maintenance repairs—Refrigeration equipment.....	750,000	750,000		
<b>Allocated:<sup>1</sup></b>				
Sea time active expense.....	13,679,664	1,493,469	\$7,182,066	\$5,004,099
Port time active expense:				
Port A.....	872,274	116,532	370,962	384,780
Port B.....	1,162,418	228,112	453,670	480,636
Port C.....	1,307,640	86,638	1,025,524	195,478
Port D.....	185,192	14,327	150,355	20,510
Port E.....	3,511,403	445,563	2,000,416	1,065,419
Port F.....	560,522			560,522
Subtotal for computation of vessel expense relationship.....	22,196,193	3,301,726	11,183,023	7,711,444
Port time idle expense.....	13,093	1,948	6,596	4,549
<b>Total vessel expense.....</b>	<b>22,209,286</b>	<b>3,303,674</b>	<b>11,189,619</b>	<b>7,715,993</b>
Vessel expense relationship (percent).....	100.00000	14.87519	50.38262	34.74219

<sup>1</sup> Allocation bases, sea and port—Time expenses: Sea time active—Cargo cube mile relationship; Port time active—Cargo cube handled relationship; Port time idle—Vessel expense relationship.  
Reference: 514.6(c)(2)(i).

## RULES AND REGULATIONS

## SCHEDULE B-5a

## BREAKBULK VESSELS

----- Company  
 The ----- Service  
 Division of Vessel Expenses into Time Statuses  
 -----, 19.. to -----, 19..

	Hours	Fuel		Other allocated vessel expense	Total allocated vessel expense
		Barrels consumed	Fuel cost		
Sea time active.....	84,489.3	980,184	\$2,491,626	\$11,188,038	\$13,679,664
Port time active:					
Port A.....	6,156.1	22,457	57,086	815,188	\$72,274
Port B.....	8,203.8	29,927	76,074	1,086,344	1,162,418
Port C.....	9,228.7	33,666	85,579	1,222,061	1,307,640
Port D.....	1,307.0	4,768	12,120	173,072	185,192
Port E.....	24,781.8	90,404	223,807	3,281,596	3,511,403
Port F.....	3,955.9	14,431	36,634	523,838	560,522
Port time idle.....	92.4	337	857	12,236	13,093
Total.....	138,215.0	1,176,174	\$2,989,833	\$18,302,373	\$21,292,206

NOTE.—Sea time idle—None; full cost per barrel \$2.54230; fuel consumption in barrels per hour—at sea 11.60128, in port 3.64797; other allocated vessel expense \$132.41958 per hour.

## SCHEDULE B-6

## BREAKBULK VESSELS

----- Company  
 The ----- Service  
 Port Expenses  
 -----, 19.. to -----, 19..

	Total	The trade		Other cargo
		Reefer	Nonreefer	
Port A:				
770 Wharfage and dockage—Intracompany.....	\$55,845	\$7,460	\$23,750	\$24,635
779 Other port expense—Direct.....	8,387			8,387
779 Other port expense—Cargo cube handled relationship.....	154,150	20,594	65,557	67,999
Port A total.....	218,382	28,054	89,307	101,021
Port B:				
770 Wharfage and dockage.....	78,970	15,497	30,821	32,652
779 Other port expense.....	108,673	21,326	42,413	44,934
Port B total.....	187,643	36,823	73,234	77,586
Port C:				
770 Wharfage and dockage.....	78,724	5,216	61,740	11,768
779 Other port expense.....	148,583	9,844	116,527	22,212
Port C total.....	227,307	15,060	178,267	33,980
Port D:				
765 Agency fees and commissions—Direct.....	16,085	5,297	10,788	
765 Agency fees and commissions—Cargo cube handled relationship.....	37,166	2,875	30,175	4,116
770 Wharfage and dockage.....	8,364	647	8,791	926
779 Other port expense.....	28,039	2,169	22,765	3,105
Port D total.....	89,654	10,988	70,519	8,147
Port E:				
770 Wharfage and dockage.....	111,312	14,125	63,413	33,774
779 Other port expense—Direct.....	68,939	55,073		13,866
779 Other port expense—Cargo cube handled relationship.....	308,909	46,812	210,164	111,933
Port E total.....	549,160	116,010	273,577	159,573
Port F:				
765 Agency fees and commissions.....	182,084			182,084
770 Wharfage and dockage.....	24,109			24,109
779 Other port expense.....	59,305			59,305
Port F total.....	265,498			265,498
Total accounts 765, 770, and 779.....	1,537,644	206,935	684,904	645,805

NOTE.—All port expenses allocated on CCH relationship except where direct assignment indicated. Reference: §14.6(c)(2)(iii).

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SCHEDULE B-7  
BREAKBULK VESSELS

The ..... Company  
..... Service  
Cargo Expense  
....., 19.. to ....., 19..

	Total	The trade		
		Reefer	Nonreefer	Other cargo
<b>780 Stevedoring:</b>				
Port A—Intercompany charges:				
Direct.....	\$11,917	\$1,720	\$9,692	\$505
Cargo cube handled relationship.....	1,538,838	205,583	654,439	678,816
Total port A.....	1,550,755			
Port B—Direct.....	4,565	2,585	1,925	55
Cargo cube handled relationship.....	2,266,631	444,802	854,623	937,296
Total port B.....	2,271,196			
Port C—Direct.....	20,708	3,724	8,367	8,617
Cargo cube handled relationship.....	3,413,476	226,159	2,677,038	510,279
Total port C.....	3,434,184			
Port D—Direct.....	1,290	525	765	
Cargo cube handled relationship.....	417,691	32,315	330,118	46,258
Total port D.....	418,981			
Port E—Direct.....	25,053	7,298	15,375	2,380
Cargo cube handled relationship.....	6,701,693	850,389	3,817,806	2,033,408
Total port E.....	6,726,746			
Port F.....	759,707			759,707
Total account 780.....	15,161,569	1,775,100	8,409,238	4,977,231
<b>780 Other cargo expense—Cargo cube relationship:</b>				
Port A.....	246,601	32,945	194,875	108,781
Port B.....	396,112	77,733	154,595	163,784
Port C.....	371,832	24,636	291,611	55,585
Port D.....	86,406	6,685	70,152	9,569
Port E.....	1,279,608	162,372	728,981	388,255
Port F.....	149,511			149,511
Total account 789.....	2,530,070	304,371	1,350,214	875,485
Total cargo expense—Accounts 780 and 789.....	17,691,639	2,079,471	9,759,452	5,852,716

Reference: 514.6(c)(2)(iii).

SCHEDULE B-8  
BREAKBULK VESSELS

The ..... Company  
..... Service  
Freight Brokerage and Other Voyage Expenses  
....., 19.. to ....., 19..

	Total	The trade		
		Reefer	Nonreefer	Other cargo
790 freight brokerage—Direct.....	\$19,043	\$2,233	\$10,026	\$6,784
795 canal tolls.....				
799 other voyage expense:				
Direct.....	91,694	5,466	48,133	38,095
Cargo cube handled relationship.....	81,420	9,548	42,865	29,007
Total freight brokerage and other voyage expenses— Accounts 790, 795, and 799.....	192,157	17,247	101,024	73,886

Reference: 514.6(c)(2)(iii).

## RULES AND REGULATIONS

## SCHEDULE B-9

## BREAKBULK VESSELS

Company  
Service

The .....  
Passenger Revenue and Other Voyage Revenue  
....., 19.. to ....., 19..

	Total	The trade		Other cargo
		Reefer	Nonreefer	
<b>Passenger revenue:</b>				
608 foreign.....	\$79,673			
612 coastwise and intercoastal.....	41,351			
Total passenger revenue.....	121,024			
703 passenger brokerage.....				
Net passenger revenue.....	121,024			
624 other voyage revenue.....	4,147			
Total net passenger revenue and other voyage revenue distributed in cargo cube mile relationship.....	125,171	\$13,666	\$65,717	\$45,788

Reference: 514.6(c)(2)(iv).

## SCHEDULE B-10

## BREAKBULK VESSELS

Company  
Service

The .....  
Analysis of Charter Hire  
....., 19.. to ....., 19..

	Total	Vessel SS-A	Vessel SS-BB
Period(s) of charter.....	Mar. 12 to June 7.....		Jan. 1 to Apr. 10.
Number of days chartered.....	88.....		100.
Charter rate.....	\$3,192.....		\$3,573.
Total charter hire (schedule B-5).....	\$638,196	\$280,896	\$357,300.
From whom chartered.....	A company.....		F company.
Owned by.....	do.....		do.
Construction date.....	July 18, 1947.....		Aug. 21, 1946.
Original cost.....	Unknown.....		\$2,364,420.
Conversion costs.....	do.....		\$3,625,510.

Reference: 514.6(c)(2)(v).

## SCHEDULE B-11

## BREAKBULK VESSELS

Company  
Service

The .....  
Inactive Vessel Expense  
....., 19.. to ....., 19..

	Total	Breakbulk vessel name	
		B	K
Total inactive vessel expense.....	\$94,769	\$25,648	\$69,121
Allocation to the service, vessel B—Used in other services—37 d.....	(2,600)	(2,600)	
Allocated to the service.....	92,169	23,048	69,121
<b>Promoted in vessel expense relationship:</b>			
The trade:			
Reefer.....	13,710		
Nonreefer.....	46,437		
Other cargo.....	32,022		
Total.....	92,169		

Reference: 514.6(c)(4).

SCHEDULE B-12  
BREAKBULK VESSELS

		Company		The		Service	
Depreciation of Vessels							
, 19-- to --, 19--							
	Cost	Residual value	Years— Depreciable life used	Days in the service	Depreciation allocated to the service		
<b>Breakbulk vessel:</b>							
A.....	\$2,500,000	\$125,000	25	31	\$8,068		
B less machinery replaced.....	2,288,634	125,000	25	328	77,772		
B machinery removed.....	211,366		25	53	1,228		
B machinery installed.....	860,966		12 <sup>1</sup> / <sub>4</sub>	275	51,894		
C.....	2,500,000	125,000	25	365	95,000		
D.....	2,500,000	125,000	25	365	95,000		
E.....	2,500,000	125,000	25	365	95,000		
F.....	2,500,000	125,000	25	365	95,000		
G.....	2,500,000	125,000	25	350	91,096		
H.....	2,500,000	125,000	25	365	95,000		
I.....	2,500,000	125,000	25	365	95,000		
J.....	2,500,000	125,000	25	365	95,000		
K.....	2,500,000	125,000	25	365	95,000		
L.....	2,500,000	125,000	25	365	95,000		
M.....	2,500,000	125,000	25	365	95,000		
N.....	2,500,000	125,000	25	365	95,000		
O.....	2,500,000	125,000	25	265	65,000		
P.....	2,500,000	125,000	25	186	48,411		
Total.....					1,418,469		
<b>Distribution of depreciation in vessel expense relationship:</b>							
The trade:							
Reefer cargo.....					211,000		
Nonreefer cargo.....					714,662		
Total, the trade.....					925,662		
Other cargo.....					492,807		
Total, the service.....					1,418,469		

Reference: 514.6(c)(8).

SCHEDULE B-5  
CONTAINERSHIPS

		Company		The		Service	
Vessel Expense							
, 19-- to --, 19--							
	Total	The trade		Other cargo			
		Reefer	Nonreefer				
701 Wages.....	\$3,833,600						
708 Payroll taxes.....	247,151						
709 Contributions—Welfare plan.....	1,189,389						
710 Subsistence.....	295,727						
715 Stores, supplies, and equipment.....	468,408						
725 Other maintenance expense.....	394,822						
735 Fuel.....	1,435,894						
740 Repairs—Performed domestic.....	1,322,601						
749 Repairs—Performed foreign.....							
755 Insurance—Hull and machinery.....	245,426						
757 Insurance—Protection and Indemnity.....	230,437						
759 Insurance—Other.....	91,639						
760 Charter hire.....							
764 Other vessel expense.....	168,247						
Total.....	9,929,491						
Sea time active expense.....	6,938,740	\$1,113,405	\$2,810,969	\$3,014,366			
Port time active expense:							
Port A.....	747,685	142,141	410,737	194,807			
Port B.....	747,685	308,173	428,919	110,593			
Port E.....	747,685	138,314	358,690	252,681			
Port F.....	747,690			747,690			
Total.....	9,929,491	1,602,033	4,007,315	4,320,143			
Vessel expense relationship (percent).....	100.00000	16.13409	40.35771	43.50820			

NOTE.—Allocation bases—Sea and port time expenses. Sea time active—Cargo cube mile relationship. Port time active—Cargo cube handled relationship.  
Reference: 514.6(c)(2)(1).

## RULES AND REGULATIONS

## SCHEDULE B-5a

## CONTAINERSHIPS

The \_\_\_\_\_ Company  
 \_\_\_\_\_ Service  
 Division of Vessel Expense into Time Statuses  
 \_\_\_\_\_, 19\_\_ to \_\_\_\_\_, 19\_\_

	Hours	Barrels consumed	Fuel cost	Other vessel expense	Total vessel expense
Sea time active.....	50,983.3	502,104	\$1,276,346	\$5,662,394	\$6,938,740
Port time active:					
Port A.....	6,372.9	15,691	39,887	707,798	747,685
Port B.....	6,372.9	15,691	39,887	707,798	747,685
Port E.....	6,372.9	15,691	39,887	707,798	747,685
Port F.....	6,373.0	15,691	39,887	707,809	747,696
Total.....	76,475.0	564,868	1,485,894	8,493,597	9,929,491

NOTES.—No idle time; fuel cost per barrel \$2.54200; Fuel consumption in barrels per hour—At sea 9.84800, in port 2.46214; other vessel expense \$111.06371 per hour.

## SCHEDULE B-6

## CONTAINERSHIPS

The \_\_\_\_\_ Company  
 \_\_\_\_\_ Service  
 Port Expense  
 \_\_\_\_\_, 19\_\_ to \_\_\_\_\_, 19\_\_

	Total	The trade		Other cargo
		Reefer	Nonreefer	
Port A:				
779 Other port expenses—Cargo cube handled relationship.....	\$191,449	\$36,396	\$105,171	\$49,882
Port B:				
765 Agency fees and commissions—Direct.....	10,234	7,573		2,661
765 Agency fees and commissions—Cargo cube handled relationship.....	18,716	5,211	10,737	2,768
770 Wharfage and dockage—Direct.....	151,682			151,682
770 Wharfage and dockage—Cargo cube handled relationship.....	215,821	60,000	123,808	31,923
779 Other port expenses—Direct.....	16,101	11,915		4,186
779 Other port expenses—Cargo cube handled relationship.....	134,972	37,579	77,429	19,964
Total.....	547,526	122,368	211,974	213,184
Port E:				
770 Wharfage and dockage—Cargo cube handled relationship.....	438,577	81,132	209,228	148,217
779 Other port expenses—Direct.....	80,409		80,409	
779 Other port expenses—Cargo cube handled relationship.....	461,362	85,353	220,111	155,928
Total.....	980,378	166,485	509,748	304,145
Port F:				
765 Agency fees and commissions.....	88,626			88,626
770 Wharfage and dockage.....	60,673			60,673
779 Other port expenses.....	72,922			72,922
Total.....	222,221			222,221
Total accounts 765, 770, and 779.....	1,941,574	323,249	826,893	780,432

Reference: 514.6(c)(2)(iii).

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SCHEDULE B-7

CONTAINERSHIPS

The ..... Company  
 ..... Service  
 Cargo Expense  
 ....., 19.. to ....., 19..

	Total	The trade		Other cargo
		Reefer	Nonreefer	
780 Stevedoring:				
Port A—None—See other shipping operations—Cargo handling.....				
Port B—Direct:				
Stuffing.....	\$210,263	\$58,542	\$120,620	\$31,101
Carrier trucking.....	6,308	1,756	3,619	933
Onloading and offloading containers.....	124,157	34,639	71,269	18,249
Total port B.....	340,728	94,937	195,508	50,283
Port E—Direct:				
Stuffing.....	1,006,104	186,119	479,972	340,013
Carrier trucking.....	30,183	5,584	14,399	10,200
Onloading and offloading containers.....	396,568	73,360	189,158	134,041
Total port E.....	1,432,855	265,072	683,529	484,254
Port F.....	605,763			605,763
Total account 780.....	2,379,346	360,009	879,037	1,140,300
789 Other cargo expense:				
Port A—None—See other shipping operations cargo handling.....				
Port B CCH relationship.....	151,123	42,076	86,094	22,353
Port E CCH relationship.....	648,854	120,031	309,542	219,281
Port F CCH relationship.....	258,262			258,262
Total account 789.....	1,058,239	162,107	396,236	499,896
Total cargo expense—Accounts 780 and 789.....	3,437,585	522,116	1,275,273	1,640,196

Reference: 514.6(c)(2)(iii).

SCHEDULE B-8

CONTAINERSHIPS

The ..... Company  
 ..... Service  
 Freight Brokerage and Other Voyage Expenses  
 ....., 19.. to ....., 19..

	Total	The trade		Other cargo
		Reefer	Nonreefer	
790 Freight brokerage—Direct.....	\$2,384			\$2,384
790 Freight brokerage—Cargo cube handled relationship.....	7,645	\$1,316	\$3,395	2,934
Total account 790.....	10,029	1,316	3,395	5,318
795 Canal tolls.....				
799 Other voyage expenses—Cargo cube handled relationship.....	164,349	28,298	72,075	63,076
Total accounts 790, 795, and 799.....	174,378	29,614	76,370	68,394

Reference: 415.6(c)(2)(iii).

## RULES AND REGULATIONS

SCHEDULE B-9  
CONTAINERSHIPS

The ..... Company  
 Passenger Revenue and Other Voyage Revenue Service  
 , 19.. to .., 19..

	Total	The trade		Other cargo
		Reefer	Nonreefer	
Passenger revenue:				
608 foreign .....	\$87,236			
612 coastwise and intercoastal .....				
Total passenger revenue .....	87,236			
79 passenger brokerage .....				
Net passenger revenue .....	87,236			
624 other voyage revenue .....				
Total—Net passenger revenue and other voyage revenue distributed in cargo cube mile relationship ..	87,236	\$13,998	\$35,340	\$37,898

Reference: 514.6(c)(2)(iv).

SCHEDULE B-10  
CONTAINERSHIPS

The ..... Company  
 Analysis of Charter Hire Service  
 , 19.. to .., 19..

The ..... Company chartered  
 no containerships during this period.

Reference: 514.6(c)(2)(v).

SCHEDULE B-11  
CONTAINERSHIPS

..... Company  
 The ..... Service

Inactive Vessel  
Expense

....., 19.. to .., 19..

	Total	Containership name			
		S	U	W	X
Total inactive vessel expense .....	\$223,078	\$86,028	\$10,432	\$20,255	\$105,463
Allocated to the service: 100 pct.					
Prorated in vessel expense relationship:					
The trade:					
Reefer .....		35,992			
Nonreefer .....		90,029			
Total, the trade .....		126,021			
Other cargo .....			97,057		
Total, the service .....		223,078			

Reference: 514.6(c)(4).

RULES AND REGULATIONS

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SCHEDULE B-12

CONTAINERSHIPS

The ..... Company  
 Service  
 Depreciation of Containerships  
 ....., 19.. to ....., 19..

	Cost	Residual value	Years— Depreciable life used	Days in the service	Depreciation allocated to the service
Containership: Q.....	\$5,000,000	\$250,000	25	212	\$110,356
R Less machinery removed.....	4,525,000	250,000	25	343	160,693
R machinery removed.....	475,000	.....	25	190	9,890
S.....	5,000,000	250,000	25	365	190,000
T.....	5,000,000	250,000	25	365	190,000
U.....	5,000,000	250,000	25	365	190,000
V.....	5,000,000	250,000	25	365	190,000
W.....	5,000,000	250,000	25	365	190,000
X.....	5,000,000	250,000	25	365	190,000
Y.....	5,000,000	250,000	25	31	16,137
					1,437,076

Distribution of depreciation in vessel expense

relationship:	
The trade:	
Reefer cargo.....	231,859
Nonreefer cargo.....	579,971
Total, the trade.....	811,830
Other cargo.....	625,246
Total, the service.....	1,437,076

Reference: 514.6(c)(8).

SCHEDULE B-13

..... Company  
 Other Shipping Operations  
 Port A  
 ....., 19.. to ....., 19..

	Total	The trade				Nontrade cargo
		Breakbulk vessels		Containerships		
		Reefer	Nonreefer	Reefer	Nonreefer	
855 Terminal operations <sup>1</sup> .....	\$141,508	\$4,330	\$13,785	\$7,652	\$22,110	\$93,631
865 Cargo handling:						
Direct:						
Stuffing.....	695,832	4,151	12,473	116,833	337,608	224,767
Carrier trucking.....	1,431,858	5,144	15,456	238,859	690,217	482,183
Heavy lift.....	6,267	.....	6,012	.....	.....	255
On/off loading containers.....	306,450	1,720	3,680	52,410	151,480	97,160
Allocated <sup>1</sup> .....	4,758,457	196,380	626,715	.....	.....	3,935,362
Total.....	7,198,864	207,395	664,336	408,102	1,179,305	4,739,726
875 Tug and lighter <sup>1</sup> .....	203,160	12,107	38,541	21,394	61,820	60,298
885 Other shipping operations—Con- tainer system container rent:						
Direct.....	979,041	5,467	11,503	230,910	468,027	263,134
Allocated <sup>1</sup> .....	5,545,006	24,515	73,545	1,035,507	2,992,250	1,419,189
Total.....	6,524,047	29,982	85,048	1,266,417	3,460,277	1,682,323
Total costs.....	14,067,579	233,814	801,710	1,703,565	4,723,512	6,584,978
Interdepartmental credits:						
855 Terminal operations.....	(89,183)	(7,460)	(23,750)	.....	.....	(57,973)
865 Cargo handling.....	(1,550,755)	(207,395)	(664,336)	.....	.....	(679,024)
Total costs less credits.....	12,427,641	38,959	113,624	1,703,565	4,723,512	5,847,981
Cost relationships (percent): <sup>2</sup>						
875 Tug and lighter.....	100.00000	5.95934	18.97076	10.53062	30.42922	34.11006
Total costs.....	100.00000	1.80425	5.69899	12.10987	33.57729	46.80960

<sup>1</sup> See schedule 13a for allocation bases.

<sup>2</sup> Cost relationships used for distribution of adjusted cost—other owned property and equipment (schedule A-2).  
 Reference: 514.6(c)(5).

## RULES AND REGULATIONS

## SCHEDULE B-13a

The \_\_\_\_\_ Company  
 Analysis of Cargo Cube Handled (CCH) at Port A  
 \_\_\_\_\_, 19\_\_ to \_\_\_\_\_, 19\_\_

	By vessel class		Total	Breakbulk cargo	Container-cargo
	Breakbulk vessels	Containerized			
<b>Reefer cargo—trade cargo:</b>					
Breakbulk vessels	6,170,609		6,170,609	5,912,472	258,137
Containerships		10,903,630	10,903,630		10,903,630
Total trade reefer cargo cube handled	6,170,609	10,903,630	17,074,239	5,912,472	11,161,767
<b>Nonreefer cargo—Trade cargo:</b>					
Breakbulk vessels	19,643,120		19,643,120	18,868,708	774,412
Containerships		31,507,634	31,507,634		31,507,634
Total trade nonreefer cargo cube handled	19,643,120	31,507,634	51,150,754	18,868,708	32,282,046
Total trade cargo cube handled	25,813,729	42,411,264	68,224,993	24,781,180	43,443,813
Other cargo in the service	20,374,803	14,943,699	35,318,502	20,374,803	14,943,699
Total service cargo cube handled	46,188,532	57,354,963	103,543,495	45,155,983	58,387,512
<b>Cargo:</b>					
Other owned ships	44,484,240		44,484,240	44,484,240	
Other ships	53,624,204		53,624,204	53,624,204	
Total cargo cube handled port A	144,296,985	57,354,963	201,651,948	143,264,436	58,387,512

	Cargo handled		Total	Cents per cargo cube handled
	Type	Cargo cube handled		
<b>COST ALLOCATED ON CARGO HANDLED BARS</b>				
855 Terminal operations	All	201,651,948	\$141,508	0.070174
865 Cargo handling	Breakbulk	143,264,436	4,758,457	3.321450
876 Tug and lighter (used only by vessels in the service)	Service cargo	103,543,495	203,160	.196207
885 Other shipping operations	Containerized	58,387,512	5,545,006	9.496904

## SCHEDULE B-14

\_\_\_\_\_ Company  
 Overhead Expense Computation  
 \_\_\_\_\_, 19\_\_ to \_\_\_\_\_, 19\_\_

Overhead assigned and allocated:		
Direct assignment—a/c 950 advertising:		
The service:		Amount
Breakbulk vessels—Other cargo		\$190,005
Containerships:		
The trade-reefer cargo		72,667
Other cargo		100,765
Other services		324,000
Total		687,437
Allocated at 10.76433 percent of direct cost		8,037,222
Total		8,724,659
Total overhead—Schedule 3020, FMC-64		8,793,949
Less agency fees, commissions, and brokerage earned—Schedule 3021, FMC-64		69,290
Total		8,724,659
<b>Direct costs—Overhead base:</b>		
Vessel operating expense—The service:		
Breakbulk vessels:		
Vessel expense		22,209,286
Port expense		1,537,644
Cargo expense		17,691,639
Freight brokerage and other voyage expense		192,157
Inactive vessel expense		92,160
Containerships:		
Vessel expense		9,929,491
Port expense		1,941,574
Cargo expense		3,437,585
Freight brokerage and other voyage expense		174,378
Inactive vessel expense		223,078
Other shipping operations		12,427,641
Other vessels in other services		4,808,677
Total direct costs—Overhead base		74,665,319
Overhead to be assigned on direct cost basis		8,037,222
Overhead rate—Percent of direct costs		10.76433
Reference: 514.6(e)(7).		

RULES AND REGULATIONS

37809

SCHEDULE B-15

..... Company  
 Depreciation—Other Property and Equipment  
 at Port A  
 ....., 19.. to ....., 19..

	The trade					
	Total	Breakbulk vessels		Containerships		Nontrade cargo
		Reefer	Nonreefer	Reefer	Nonreefer	
<b>Other floating equipment:</b>						
Tugboat I.....	\$6,595					
Tugboat II.....	8,121					
	14,716	\$877	\$2,792	\$1,550	\$4,478	\$5,019
<b>Other shipping property and equip- ment:</b>						
Office furniture and equipment..	32,636	589	1,860	3,962	10,968	15,277
<b>Total</b> .....	<b>47,352</b>	<b>1,466</b>	<b>4,652</b>	<b>5,502</b>	<b>15,436</b>	<b>20,296</b>

NOTE: Distributed on same basis as Investment (schedule A-2).  
 Reference: 514.6(c)(8).

SCHEDULE B-16

..... Company  
 The..... Service  
 Construction—Differential Subsidy Refund  
 ....., 19.. to ....., 19..

	Days in the service	Subsidy refund— Total	Other services	The trade		Other cargo
				Reefer	Nonreefer	
				<b>Breakbulk vessels:</b>		
Vessel G.....	350					
<b>Container ships:</b>						
Vessel Q.....	212					

Reference: 514.6(c)(9).

SCHEDULE B-17

..... Company  
 Other Income/Expense  
 ....., 19.. to ....., 19..

Item	Total other income/ expense	Other services	The service					
			Breakbulk vessels			Containerships		
			The trade		Other cargo	The trade		Other cargo
			Reefer	Nonreefer		Reefer	Nonreefer	

Reference: 514.6(c)(10)—“Any other elements of income or expense wholly or partially applicable to the trade shall be fully explained and supported by a schedule showing details of allocation to the trade and cargo categories within the trade and reconciliation with amounts shown in the annual financial reports FMC-63 or FMC-64.”

SCHEDULE B-18

..... Company  
 The..... Trade  
 Provisions for Income Taxes  
 ....., 19.. to ....., 19..

	The trade total	Breakbulk vessels		Containerships	
		Reefer	Nonreefer	Reefer	Nonreefer
		Net income/loss—The trade (exhibit B).....			
Computation of income tax:.....					

Reference: 514.6(c)(11).

SCHEDULE B-19

..... Company  
 Profits of Related Companies  
 ....., 19.. to ....., 19..

Reference: 514.6(c)(12)—“Net income, after Federal income taxes, earned by related companies of the carrier in performing services for the carrier in the trade shall be included and allocated to cargo categories in an appropriate manner. The methods employed in determining such net income and allocations shall be fully explained and supported by a footnote on the schedule.”

**RULES AND REGULATIONS**

ALTERNATIVE SCHEDULE A-3

Only for carriers who file FMC-63

----- Company  
 The ----- Trade  
 Working Capital  
 -----, 19.. to -----, 19..

	Breakbulk vessels		Containerships	
	Reefer	Nonreefer	Reefer	Nonreefer

AVERAGE MONTHLY EXPENSE COMPUTATION

- (1) Net vessel operating expense (schedule B-5) .....
  - (2) Inactive vessel expense (schedule B-11) .....
  - (3) Other shipping operations (schedule B-13) .....
  - (4) Overhead expense (schedule B-14) .....
- Total expense .....
- Average monthly expense (1/2 of expenses) .....

ACTUAL WORKING CAPITAL COMPUTATION

Reference: 514.6(b)(6), Working capital for carriers who file FMC-63 shall be included at the lesser of actual working capital or average monthly expense.  
 514.6(b)(6)(i), Actual working capital. 514.6(b)(6)(ii), Average monthly expense.

ALTERNATIVE SCHEDULE B 5

Only for carriers who file FMC-63

----- Company  
 The ----- Service  
 Vessel Operating Expense  
 -----, 19.. to -----, 19..

	Account No. FMC-63	Total	Allocated or assigned
Vessel expense .....			For suggested format for allocation and assignment see pro forma schedules B-5 and B-5a for carriers who file FMC-64.
402 Repairs of floating equipment .....			
Line service:			
422 Wages of crews .....			
423 Fuel .....			
424 Lubricants and water .....			
425 Food supplies .....			
426 Stores, supplies, and equipment .....			
427 Buffet supplies .....			
428 Other vessel expenses .....			
429 Outside towing expenses .....			
430 Wharfage and dockage .....			
431 Port expenses .....			
432 Agency fees and commissions .....			
443 Stevedoring .....			
457 Outside traffic agencies .....			
Casualties and insurance:			
472 Baggage insurance and losses .....			
473 Hull insurance and damage .....			
474 Cargo insurance, loss and damage .....			
475 Liability insurance and losses, marine operations .....			
481 Charter rents—Transportation property .....			
486 Payroll taxes .....			
Gross vessel operating expense .....			
Deduct:			
502 Passenger revenue .....			
503 Baggage .....			
506 Miscellaneous voyage revenue .....			
Vessel operating expense—Net .....			

Reference: 514.6(e)(3).

RULES AND REGULATIONS

ALTERNATIVE SCHEDULE B-13

Only for carriers who file FMC-63

The ..... Company  
 ..... Service  
 Other Shipping Operations  
 ....., 19.. to ....., 19..

Account No. FMC-63	Total	Allocated or assigned
Maintenance expenses:		
404 Repairs of buildings and other structures.....		For suggested format for allocation and assignment see pro forma schedules B-13 for carriers who file FMC-64.
405 Repairs of office and terminal equipment.....		
Transportation expenses—Terminal service:		
442 Agents.....		
444 Precooling and cold storage operations.....		
445 Light, heat, power, and water.....		
447 Tug operations.....		
450 Other terminal operations.....		
483 Operating rents—other operating rents.....		
Total.....		
Deduct:		
312 Demurrage.....		
331 Revenue from cargo-handling operations.....		
332 Revenue from tug and lighter operations.....		
334 Miscellaneous operating revenue.....		
Total.....		
Other shipping operations—Net.....		

Reference: 514.6(c)(6).

ALTERNATIVE SCHEDULE B-14

(Only for carriers who file FMC-63)

..... Company  
 Overhead Expense  
 ....., 19.. to ....., 19..

Account No. FMC-63	Total	Allocated or assigned
401 Maintenance expenses—Supervision.....		For suggested format for allocation and assignment see pro forma schedule B-14 for carriers who file FMC-64.
Transportation expenses:		
421 Line service—Supervision.....		
Terminal Service:		
441 Supervision.....		
446 Stationery and printing.....		
Traffic expenses:		
456 Supervision.....		
458 Advertising.....		
459 Other traffic expenses.....		
General expenses:		
461 General officers and clerks.....		
462 General office supplies and expenses.....		
463 Law expenses.....		
464 Management commissions.....		
465 Pensions and relief.....		
466 Stationery and printing.....		
467 Other expenses.....		
Casualties and insurance:		
471 Supervision.....		
477 Other insurance.....		
486 Operating taxes—Waterline tax accruals.....		
Total.....		
333 Less agency fees, commissions, and brokerage.....		
Overhead expenses—net.....		

Reference: 514.6(c)(7).

[FR Doc.76-25922 Filed 9-7-76; 8:45 am]

# proposed rules

This section of the FEDERAL REGISTER contains notices to the public of the proposed issuance of rules and regulations. The purpose of these notices is to give interested persons an opportunity to participate in the rule making prior to the adoption of the final rules.

## DEPARTMENT OF THE TREASURY

Comptroller of the Currency

[ 12 CFR Part 2 ]

### DISPOSITION OF CREDIT LIFE INSURANCE INCOME

Extension of Comment Period

This notice extends the period for comments to the notice published July 20, 1976 (41 FR 29846), proposing a regulation on the disposition of income earned from the sale of credit life, health and accident insurance by national banks or their officers, directors or principal shareholders. Comments were requested by September 3, 1976.

In response to requests for additional time, the Comptroller has extended the comment period until October 1, 1976. Comments should be addressed to C. Westbrook Murphy, Deputy Comptroller for Law and Chief Counsel, Comptroller of the Currency, Washington, D.C. 20219.

Dated: September 2, 1976.

ROBERT BLOOM,  
*Acting Comptroller  
of the Currency.*

[FR Doc.76-26260 Filed 9-7-76;8:45 am]

[ 12 CFR Part 9 ]

### FIDUCIARY POWERS OF NATIONAL BANKS AND COLLECTIVE INVESTMENT FUNDS

Invitation for Comments on Proposed  
Communications Restrictions

Notice is hereby given that the Comptroller of the Currency, pursuant to the authority contained in the Act of September 28, 1962; U.S.C. 92a, is considering the adoption of a revision of § 9.7(d) of Part 9, relating to the fiduciary powers of national banks.

On April 24, 1974 (39 FR 14510) the Comptroller of the Currency proposed an amendment to § 9.7(d) of Part 9 as follows:

The Trust Department may utilize personnel and facilities of other departments of the bank, and other departments of the bank may utilize the personnel and facilities of the Trust Department; however, the Trust Department shall establish appropriate policies and procedures to insure that investment decisions of the Trust Department are not based upon non-public information, regardless of how that information may be obtained.

The purpose of this proposed amendment was to give regulatory recognition to the principles of securities law which prohibit the use of significant non-public information in securities transactions. These principles suggest that banks may not make Trust Department investment

decisions on the basis of pertinent information that is available only to their commercial department, directors or any other non-public source. The proposed amendment would have required national banks to establish policies and practices to insure that such information was not being used in the proscribed manner.

On August 5, 1974 (39 FR 28144) the Comptroller announced that final action on the foregoing proposal would be deferred pending further study. Several banks have now requested that this proposed amendment, with modifications, be adopted.

The proposal raises very complex questions of the duties of a corporate fiduciary when it possesses significant non-public information. On the one hand, it may violate the securities laws for such a fiduciary to make an investment decision based upon that information. On the other hand, it may be a breach of trust for a fiduciary not to act upon such information to the detriment of the beneficiaries of its trusts.

The two conflicting duties pose a severe dilemma for national bank Trust Departments. Many have sought to avoid liability for violation of the securities laws through the erection of the so called "Chinese Wall". Basically, these have involved means whereby Trust Department investment decision makers are denied access to sources of material, non-public information which may exist elsewhere in the institution. Numerous suggestions have been advanced that the Comptroller should require that national banks establish such restrictions. However, the implications of the Shearson, Hammill litigation, that the beneficiaries of national bank fiduciary relationships are entitled to the benefit of this information, have raised doubts as to wisdom of such a requirement. In addition, the proposition has been advanced by some legal scholars that the information which a bank may possess in one of its divisions or departments should be imputed to all other divisions or departments of the bank. If this theory is correct, then the "wall" might not be effective to insulate a bank from potential liability unless such a "wall" were required by government regulation.

Accordingly, the views of all interested parties are hereby solicited as to whether the Comptroller should amend Part 9 to provide national banks guidance in this area. Commentators may wish to submit suggested wording or comments on: (a) Whether a "wall" should be required; (b) whether a lesser requirement would be preferable: that policies be established to insure that no investment decisions shall be made on the basis of signif-

icant non-public information; (c) whether such a regulation, affecting as it would only national banks, would be effective; (d) whether some other form of restriction or prohibition is necessary.

Interested persons should submit relevant data, views or arguments to C. Westbrook Murphy, Deputy Comptroller and Chief Counsel, Office of the Comptroller of the Currency, Washington, D.C. 20219, to be received no later than October 22, 1976. Such material will be made available for inspection and copying upon request pursuant to the Comptroller's rules regarding availability of information.

Dated: September 2, 1976.

ROBERT BLOOM,  
*Acting Comptroller  
of the Currency.*

[FR Doc.76-26261 Filed 9-7-76;8:45 am]

## DEPARTMENT OF AGRICULTURE

Agricultural Marketing Service

[ 7 CFR Part 911 ]

### LIMES GROWN IN FLORIDA

Proposed Limitation of Handling

This notice invites written comment relative to a proposal to add a new section to the Rules and Regulations currently in effect under Marketing Order No. 911. The new section would require each handler to furnish the Federal-State Inspection Service or the Lime Administrative Committee the date and quantities of limes handled and the destination of each shipment by market region.

Notice is hereby given that the Department is considering a proposed amendment, as hereinafter set forth, of the rules and regulations (7 CFR Part 911.110-911.155; Subpart—Rules and Regulations) currently in effect pursuant to the applicable provisions of the marketing agreement, as amended, and Order No. 911, as amended (7 CFR Part 911), regulating the handling of limes grown in Florida. This is a regulatory program effective under the Agricultural Marketing Agreement Act of 1937, as amended (7 U.S.C. 601-674).

The amendment of the said rules and regulations was proposed by the Florida Lime Administrative Committee, established under the said amended marketing agreement and order as the agency to administer the terms and provisions thereof. The amendment would add thereto a new section as follows:

#### § 911.150 Reports.

Each handler shall furnish the Federal-State Inspection Service, for the in-

spector's notation on the certificate, or the committee office for inclusion in its weekly report, the date and quantities of limes handled and the destination of each shipment by market region. To facilitate such reports, the committee shall prescribe market regions to be used by all handlers in reporting disposition of limes to inspectors. The committee shall furnish handlers and the Federal-State Inspection Service a list of market regions to be used in reporting such disposition.

All persons who desire to submit written data, views, or arguments for consideration in connection with the proposed amendment shall file the same, in quadruplicate, with the Hearing Clerk, United States Department of Agriculture, Room 112, Administration Building, Washington, D.C. 20250, not later than September 17, 1976. All written submissions made pursuant to this notice will be made available for public inspection at the office of the Hearing Clerk during regular business hours (7 CFR 1.27(b)).

Dated: September 2, 1976.

CHARLES R. BRADER,  
Deputy Director, Fruit and  
Vegetable Division, Agricultural  
Marketing Service.

[FR Doc.76-26254 Filed 9-7-76; 8:45 am]

[ 7 CFR Part 915 ]

AVOCADOS GROWN IN SOUTH  
FLORIDA

Proposed Limitation of Handling

This notice invites written comment relative to a proposal to add a new paragraph to the Reports section of the Rules and Regulations currently in effect under Marketing Order No. 915. The new reporting provisions would require each handler to furnish the Federal-State Inspection Service or the Avocado Administrative Committee the date and quantities of avocados handled and the destination of each shipment by market region.

Notice is hereby given that the Department is considering a proposed amendment, as hereinafter set forth, of the Rules and Regulations (7 CFR Part 915.110-915.155; Subpart Rules and Regulations) currently in effect pursuant to the applicable provisions of the marketing agreement, as amended, and Order No. 915, as amended (7 CFR Part 915), regulating the handling of avocados grown in South Florida. This is a regulatory program effective under the Agricultural Marketing Agreement Act of 1937, as amended (7 U.S.C. 601-674).

The amendment of the said rules and regulations was proposed by the Avocado Administrative Committee, established under the said amended marketing agreement and order as the agency to administer the terms and provisions thereof. The amendment would revise § 915.150 Reports by adding a new paragraph (d), so that after such revision such section reads as follows:

§ 915.150 Reports.

(d) Each handler shall furnish the Federal-State Inspection Service, for the inspector's notation on the certificate, or the committee office for inclusion in its weekly report, the date and quantities of avocados handled and the destination of each shipment by market region. To facilitate such reports, the committee shall prescribe market regions to be used by all handlers in reporting disposition of avocados to inspectors. The committee shall furnish handlers and the Federal-State Inspection Service a list of market regions to be used in reporting such disposition.

All persons who desire to submit written data, views, or arguments for consideration in connection with the proposed amendment shall file the same, in quadruplicate, with the Hearing Clerk, United States Department of Agriculture, Room 112, Administration Building, Washington, D.C. 20250, not later than September 20, 1976. All written submissions made pursuant to this notice will be made available for public inspection at the office of the Hearing Clerk during regular business hours (7 CFR 1.27(b)).

Dated: September 2, 1976.

CHARLES R. BRADER,  
Deputy Director, Fruit and Veg-  
etable Division, Agricultural  
Marketing Service.

[FR Doc.76-26253 Filed 9-7-76; 8:45 am]

DEPARTMENT OF HEALTH,  
EDUCATION, AND WELFARE

Office of Education

[ 45 CFR Parts 121 and 121a ]

HANDICAPPED CHILDREN

Assistance to States for Education

Pursuant to the authority contained in sections 602, 611, 617, and 618 of the Education of the Handicapped Act, as amended (20 U.S.C. 1402, 1411, 1417, and 1418), notice is hereby given that the Commissioner of Education, with the approval of the Secretary of Health, Education, and Welfare, proposes to amend Parts 121 and 121a of Title 45 of the Code of Federal Regulations to read as set forth below.

Pub. L. 94-142, enacted November 29, 1975, contains extensive amendments to the Education of the Handicapped Act (EHA), especially Part B, which provides for assistance to the States and outlying areas in initiating, expanding, and improving programs for the education of handicapped children. These amendments include provisions which are designed to assure that all handicapped children have available to them a free appropriate public education, to assure that the rights of handicapped children and their parents are protected, to assist States and localities to provide for the education of all handicapped children, and to assess and assure the effectiveness of efforts to educate such children.

Most of the amendments relating to Part B are not effective until October 1, 1977, and regulations on these new provisions are projected to be published in the FEDERAL REGISTER on December 31, 1976. However, two requirements on reporting numbers of handicapped children, which are the subject of these proposed regulations, require action on the part of the Commissioner and the State educational agencies beginning on October 1, 1976.

These "child count" requirements are being published in advance of the main package of regulations under Pub. L. 94-142 (due December 31), in order to meet the October 1, 1976, statutory implementation date. However, these requirements cannot be viewed or implemented in isolation from the other provisions under Pub. L. 94-142. They are an integral part of the new EHA amendments and will be incorporated as a specific subpart of the total compilation of regulations, either in their first or final publication.

In planning for the development of the main package of Part B regulations for the December 31 publication date, the Office of Education elected (1) to seek before-the-fact inputs from a variety of agencies and individuals throughout the Nation, and (2) to convene a large outside writing team to draft concept papers, which would be useful in writing the actual regulations. The Office of Education is considering further steps to involving the public after the publication of the NPRM. It was not possible to follow this exact format in developing the "child count" regulations, because of the earlier implementation date (October 1, 1976) in the statute. However, the basic procedure of public involvement was used prior to the actual drafting of these proposed rules. For example, a first draft concept paper was developed in February and presented at a series of six regional meetings (conducted in Washington, San Francisco, Denver, Chicago, Boston, and Atlanta) during the month of March. While the main participants at these meetings were State educational agency officials, there also were parents or parent advocates, as well as local educational agency personnel at every meeting. It is estimated that over 450 people participated in these input/review conferences.

Subsequently, the concept paper and the proposed form for use by State educational agencies in reporting the numbers of handicapped children receiving special education and related services were presented for review by the Committee on Evaluation Information Systems of the Council of Chief State School Officers. The first draft of proposed regulations was not developed until late April.

The two reporting requirements covered by these proposed regulations are as follows: Section 617(a)(1)(D), as added by Pub. L. 94-142, requires that the Commissioner shall:

\*\*\* assure that each State shall (by November 29, 1976) provide certification of the actual number of handicapped children re-

ceiving special education and related services in such State.

Further, section 611, as amended by Pub. L. 94-142, sets forth a new formula for determining allocation of Part B funds to the State and local educational agencies starting with Fiscal Year 1978. The formula is based in part on the number of handicapped children aged three through twenty-one in each State who are receiving special education and related services. Section 611(a)(3) provides that the count must be made by averaging the number of children receiving special education and related services. Section 611(a)(3) provides that the count must be made by averaging the number of children receiving special education and related services on October 1 and on February 1 of the fiscal year preceding the fiscal year for which the determination is made. Therefore, for Fiscal Year 1978 allocations, children must be counted on October 1, 1976 and on February 1, 1977. These proposed regulations have been drafted so that the count obtained on October 1, 1976 can also be used for the certified count required by November 29, 1976.

To carry out the requirements to count and report the number of handicapped children receiving special education and related services, the Commissioner proposes to amend some of the definitions in Part 121 which apply to all parts of the Education of the Handicapped Act.

The existing definition of "handicapped children" would be revised to conform to the language in Pub. L. 94-142 and to require that qualified professionals evaluate all handicapped children. The existing separate definitions for "seriously emotionally disturbed" and "children with specific learning disabilities" would be deleted (but would be made part of the definition for "handicapped children"). Definitions for the handicapping conditions listed in the Act would be added: Mentally retarded, hard of hearing, deaf, speech impaired, visually handicapped, seriously emotionally disturbed, orthopedically impaired, other health impaired, and specific learning disability. The definitions of these particular handicapping conditions are based on definitions used in a publication of the National Center for Education Statistics, "Handbook V-R, Student/Pupil Accounting," and are intended to have basically the same scope. Definitions have been added for "intermediate educational unit," "special education," and "related services" (conforming to definitions added by Pub. L. 94-142). The definition for "special education" has been clarified to distinguish it from regular educational programming and to interpret the requirement that it be "at no cost to parents or guardians." The proposed definition would provide that no changes may be assessed for the specially designed instruction necessary to meet the unique needs of handicapped children but would not preclude incidental

charges normally required of non-handicapped students as part of the regular educational program (locker fees, for example).

In addition, the definition of "equipment" has been revised and definitions for "excess costs," "free appropriate public education," "individualized education program," and "native language" have been added, to conform to Pub. L. 94-142. The statutory language for these last five definitions have been repeated verbatim. However, the Commissioner intends, in developing regulations for the amendments to Part B of the EHA, to consider whether these definitions need to be further defined and invites comments to assist in that task. Even if these proposed rules are ultimately published as final regulations prior to additional regulations for Part B, the Commissioner may determine that further interpretations are necessary and make these definitions the subject of further rule-making.

Part 121a provides regulations for Part B of the Education of the Handicapped Act. The proposed amendments would add a new subpart F ("Annual Report of Children Served") and would contain sections setting out:

- (1) The reporting requirement;
- (2) The types of information which must be reported (the number of handicapped children receiving special education and related services on October 1, on February 1, and the average of the numbers reported for those two dates. Each total must be broken out by age group and handicapping condition);
- (3) A certification requirement;
- (4) The criteria for determining whether a child may be counted;
- (5) Special reporting requirements for Fiscal Year 1977. (In Fiscal Year 1977 only two reports are required. The information from the count made October 1, 1976 must be provided to the Commissioner by November 29, 1976. The information from the count made on February 1, 1977 and the average of the two counts must be provided by April 1, 1977. In succeeding years, the counts made on October 1 and February 1 and the average of the two counts will be reported by April 1); and
- (6) The responsibilities of the State to assure that the State and the local agencies and institutions will provide an accurate and unduplicated count.

As indicated above, the States would be asked to report numbers of children by age group (birth through two, three through five, and six through twenty-one) and by handicapping condition. The age breakout is necessary because section 617(a)(1)(D) requires information on all handicapped children receiving special education and related services, which would include children from birth through age twenty-one. However, the statute also requires that allocations be made on the basis of the number of handicapped children aged three through twenty-one who are receiving special education and related services. Other requirements necessitate information bro-

ken down by preschool age (section 619). The breakdown by handicapping condition will be necessary so the count can be verified, and because section 611(a)(5)(A)(ii) requires the Commissioner to have information about one of the handicapping conditions (specific learning disabilities) in making funding determinations. Further, information broken out by handicapping condition must be collected as part of evaluation activities required by section 618 (as added by Pub. L. 94-142). For example, section 618(b)(1)(A) and (B), requires the Commissioner to obtain information on the number of handicapped children in each State, within each disability, who require special education and related services and who are receiving (and who need but are not receiving) a free appropriate public education. The Commissioner shares the concerns voiced by some States and advocacy groups that requiring information by handicapping conditions could lead to undesirable labeling. However, the information would appear to be necessary to ensure an unduplicated count and to enable the Commissioner to meet the requirements of section 618. It is important to emphasize that the information would not be reported to the Commissioner in personally identifiable form. Information collected for the count would be subject to the policies and procedures each State has established in its annual program plan to protect the confidentiality of data collected about handicapped children (based on sections 612(2)(D) and 617(c) of the EHA, 45 CFR 121a.15, and section 438 of the General Education Provisions Act.

Several other issues should be considered by persons who intend to comment on these proposed regulations:

(1) The statutory definition of "related services" lists services (such as speech pathology) which some States regard as "special education". The definition of "special education" in these proposed regulations would include speech pathology and audiology, and occupational and physical therapy, where they are regarded as special education under State standards.

(2) Another question is whether a handicapped child may be counted if he or she is receiving (a) only "special education," or (b) only "related services". The proposed rules interpret the requirement to mean that the child must be receiving special education and related services, if related services are necessary for the child to benefit from special education. If related services are not necessary, a child receiving only "special education" can be counted. If related services are necessary, but not available, the child cannot be counted. If a child is receiving only a "related service," and is not receiving "special education," the child cannot be counted. The statutory definition of "related services" is limited to "services \* \* \* as may be required to assist a handicapped child to benefit from special education." If no "special education" is being provided, there can be no

"related services" within the meaning of the statutory definition. These interpretations are set out in § 121a.93. It should also be noted that § 121a.93 indicates that children actually counted under section 121 of the Elementary and Secondary Education Act should not be counted. The Commissioner receives that count separately under Section 121.

(3) Another issue is whether children at the postsecondary education level may be counted. The proposed rules interpret the statute as placing no limitation on their being counted if they are receiving "special education and related services" (of course, under the statutory definition, special education must be provided at no cost to children's parents or guardians).

(4) Another question is whether children may be counted if their special education is paid from some private source (other than their parents or guardians) or by a public agency other than a State or local educational agency. The proposed rules take the position that they may be counted. The counting requirements appear to relate to all handicapped children receiving special education and related services in the State, not just those supported at public expense or those whom State or local agencies have referred to private facilities.

(5) Another issue is related to the requirement that the counts be made of children receiving services on October 1 and February 1; that is, whether the children had to be receiving specialized instruction or some special service on those dates. Under the proposed rules, the count would include all handicapped children who are enrolled in the educational agency or institution on those dates. If those dates fell on a weekend or holiday, the children would still be regarded as enrolled in those dates and fewer technical problems in reporting should arise.

(6) It should also be noted that the age groupings contained in these proposed rules result from interpreting the statutory language "age X to age Y, inclusive" as including the upper (Y) year. For example, the statutory language "three to twenty-one, inclusive" (used in section 611(a)(1)(A) for example) is interpreted as meaning three through twenty-one (from a child's third birthday up to his or her twenty-second birthday). "Three to five, inclusive" (used in sections 612(2)(B) and 619) is interpreted as three through five (until a child's sixth birthday).

Written comments, suggestions, or objections regarding the proposed regulations should be sent to the Bureau of Education for the Handicapped; Office of Education; Room 2015, Regional Office Building No. 3; 7th and D Streets, SW., Washington, D.C. 20202. Comments received in response to the notice will be available for public inspection at the above office Monday through Friday between 8:00 a.m. and 4:00 p.m. All relevant material must be received on or before October 26, 1976.

(Catalog of Federal Domestic Assistance Number 13.449, Handicapped Preschool and School Programs)

Dated: August 10, 1976.

WILLIAM F. PIERCE,  
Acting U.S. Commissioner  
of Education.

Approved: August 13, 1976.

MARJORIE LYNCH,  
Acting Secretary of Health, Edu-  
cation, and Welfare.

Title 45 of the Code of Federal Regulations is amended as follows:

1. Part 121 is amended by revising § 121.2 as follows: The existing definitions of "handicapped children" and "equipment" are revised, the definitions of "seriously emotionally disturbed" and "children with specific learning disabilities" are deleted, and definitions of "intermediate educational unit," "excess costs," "free appropriate public education," "individualized education program," "native language," "related services," and "special education" are added, in their proper alphabetical order. As amended, § 121.2 reads as set forth below.

#### § 121.2 Definitions.

"Equipment" includes machinery, utilities, and built-in equipment and any necessary enclosures or structures to house them, and includes all other items necessary for the functioning of a particular facility as a facility for the provision of educational services, including items such as instructional equipment and necessary furniture, printed, published, and audio-visual instructional materials, telecommunications, sensory, and other technological aids and devices, and books, periodicals, documents, and other related materials.

(20 U.S.C. 1402(5), 1417(b))

"Excess costs" means those costs which are in excess of the average annual per student expenditure in a local educational agency during the preceding school year for an elementary or secondary school student, as may be appropriate, and which shall be computed after deducting (a) amounts received under Part B of the Act or under Title I or Title VII of the Elementary and Secondary Education Act of 1965, and (b) any State or local funds expended for programs which would qualify for assistance under Part B of the Act or under those titles.

(20 U.S.C. 1402(20), 1417(b))

"Free appropriate public education" means special education and related services which (a) have been provided at public expense, under public supervision and direction, and without charge, (b) meet the standards of the State educational agency, (c) include an appropriate preschool, elementary school, or secondary school education in the State involved, and (d) are provided in conformity with the individualized educa-

tion program required under section 614 (a) (5) of the Act.

(20 U.S.C. 1402(18), 1417(b))

"Handicapped children" means those children evaluated by qualified professionals as being mentally retarded, hard of hearing, deaf, speech impaired, visually handicapped, seriously emotionally disturbed, orthopedically impaired, other health impaired, or children with specific learning disabilities, who by reason thereof require special education and related services. These terms are further defined as follows:

(a) "Deaf" means a hearing impairment, evaluated with a corrective device, which is so severe that the child's hearing is non-functional for the purposes of educational performance.

(b) "Hard of hearing" means a hearing impairment, evaluated with a corrective device, which adversely affects a child's educational performance but which is not included under the definition of "deaf" in this section.

(c) "Mentally retarded" means impaired mental development which adversely affects a child's educational performance. The term includes children who are educable mentally retarded, trainable mentally retarded, and severely mentally retarded.

(d) "Orthopedically impaired" means a severe orthopedic impairment, evaluated with a corrective device, which adversely affects a child's educational performance. The term includes impairments caused by congenital anomaly (e.g., clubfoot, absence of some member, etc.), impairments caused by disease (e.g., poliomyelitis, bone tuberculosis, cerebral palsy, etc.), and impairments caused by accident (e.g., fractures or burns which cause contractures, cerebral palsy etc.).

(e) "Other health impaired" means limited strength, vitality, or alertness due to chronic or acute health problems such as a heart condition, tuberculosis, rheumatic fever, nephritis, asthma, sickle cell anemia, hemophilia, epilepsy, lead poisoning, leukemia, diabetes, or some other illness.

(f) "Seriously emotionally disturbed" means a condition exhibiting one or more of the following characteristics over a long period of time and to a marked degree: An inability to learn which cannot be explained by intellectual, sensory, or health factors; an inability to build or maintain satisfactory interpersonal relationships with peers and teachers; inappropriate types of behavior or feelings under normal circumstances; a general pervasive mood of unhappiness or depression; or a tendency to develop physical symptoms, pains, or fears associated with personal or school problems. The term does not include children who are socially maladjusted but not emotionally disturbed.

(g) "Specific learning disability" means a disorder in one or more of the basic psychological processes involved in understanding or in using language,

spoken or written, which may manifest itself in an imperfect ability to listen, think, speak, read, write, spell, or to do mathematical calculations. The term includes such conditions as perceptual handicaps, brain injury, minimal brain disfunction, dyslexia, and developmental aphasia. The term does not include children who have learning problems which are primarily the result of visual, hearing, or motor handicaps, of mental retardation, or of environmental, cultural, or economic disadvantage.

(20 U.S.C. 1402(15), 1417(b))

(h) "Speech impaired" means a speech impairment or a language impairment (such as impaired articulation, stuttering, voice impairment, or a receptive or expressive verbal language impairment), evaluated with a corrective device, which adversely affects a child's educational performance.

(i) "Visually handicapped" means a visual impairment evaluated with a corrective device, which adversely affects a child's educational performance, and includes both partially sighted and blind children.

(20 U.S.C. 1402(1), 1417(b))

"Individualized education program":

(a) The term means a written statement for each handicapped child developed in a meeting by (1) a representative of the local educational agency or intermediate educational unit who shall be qualified to provide, or supervise the provision of, specially designed instruction to meet the unique needs of handicapped children, (2) the teacher, (3) the parents or guardian of the child, and (4) whenever appropriate, the child.

(b) The statement includes (1) the present levels of educational performance of the child; (2) annual goals, including short-term instructional objectives, (3) the specific educational services to be provided to the child, and the extent to which the child will be able to participate in regular educational programs, (4) the projected date for initiation and anticipated duration of the services, and (5) appropriate objective criteria and evaluation procedures and schedules for determining, on at least an annual basis, whether instructional objectives are being achieved.

(20 U.S.C. 1402(19), 1417(b))

"Intermediate educational unit" means any public authority, other than a local educational agency, which (a) is under the general supervision of a State educational agency, (b) is established by State law for the purpose of providing free public education on a regional basis, and (c) provides special education and related services to handicapped children within that State.

(20 U.S.C. 1402(22), 1417(b))

"Native language" has the meaning given that term by section 703(a)(2)

of the Bilingual Education Act (20 U.S.C. 880b-1(a)(2)).

(20 U.S.C. 1402(21); 1417(b))

"Related services" means transportation and such developmental, corrective, and other supportive service (including speech pathology and audiology, psychological services, physical and occupational therapy, recreation, and medical and counseling services, except that medical services shall be for diagnostic and evaluation purposes only) as are required to assist a handicapped child to benefit from special education, and includes the early identification and assessment of handicapping conditions in children.

(20 U.S.C. 1402(17), 1417(b))

"Special education" means specifically designed instruction, at no cost to parents or guardians, to meet the unique needs of a handicapped child, including classroom instruction, instruction in physical education, home instruction, and instruction in hospitals and institutions. The term includes speech pathology, audiology, occupational therapy, and physical therapy, if the service is considered "special education" rather than a "related service" under State standards. The phrase "specially designed instruction" includes educational instruction different from or in addition to instruction available in a regular school program to all children. The phrase "at no cost" means that all specially designed instruction must be provided without charge, but would not preclude incidental fees which are charged to non-handicapped students or their parents as a part of the regular education program.

(20 U.S.C. 1402(16), 1417(b))

2. Part 121a is amended by adding a new Subpart F to read as set forth below:

SUBPART F—ANNUAL REPORT OF CHILDREN SERVED	
Sec.	
121a.90	Report requirement.
121a.91	Information required in the report.
121a.92	Certification.
121a.93	Criteria for counting children.
121a.94	Special reporting requirements for fiscal year 1977.
121a.95	Other responsibilities of the State educational agency.

AUTHORITY: Title VI of Pub. L. 91-230, as amended (20 U.S.C. 1401-1420), except as otherwise noted.

#### Subpart F—Annual Report of Children Served

##### § 121a.90 Report requirement.

(a) The State educational agency shall report to the Commissioner no later than April 1 of each year the number of handicapped children residing in the State who are receiving special education and related services.

(b) The State educational agency shall submit the report on forms provided by the Commissioner.

(20 U.S.C. 1411(a)(3), 1417(b))

##### § 121a.91 Information required in the report.

(a) In its report, the State educational agency shall include a table which shows:

(1) The number of handicapped children receiving special education and related services on October 1 and on February 1 of that school year, and the average of the numbers for those two dates;

(2) The number of those handicapped children within each handicapping condition, as defined in the definition of "handicapped children" in § 121.2 of this chapter; and

(3) The number of those handicapped children within each of the following age groups:

- (i) Birth through two;
- (ii) Three through five; and
- (iii) Six through twenty-one.

(b) The State educational agency may not report a multi-handicapped child under more than one handicapping condition.

(20 U.S.C. 1411(a)(3), 1411(a)(5)(A)(ii), 1418(b), 1417(b))

##### § 121a.92 Certification.

The State educational agency shall include in its report a certification signed by an authorized official of the agency that the information provided is an accurate and unduplicated count of handicapped children receiving special education and related services on the dates in question.

(20 U.S.C. 1411(a)(3), 1417(b))

##### § 121a.93 Criteria for counting children.

(a) The State educational agency may include handicapped children in its report who:

(1) Are enrolled in a school or program which provides them with both special education and related services; or

(2) Are enrolled in a school or program which provides them with special education, and do not require related services to assist them in benefitting from that special education.

(b) The State educational agency shall not include handicapped children in its report who:

(1) Are not enrolled in a school or program which provides them with special education;

(2) Are not provided with a related service that is required to assist them in benefitting from special education; or

(3) Are counted by a State agency under section 121 of the Elementary and Secondary Education Act of 1965, as amended.

(20 U.S.C. 1411(a)(3), 1417(b))

##### § 121a.94 Special reporting requirements for fiscal year 1977.

(a) The State educational agency shall report the number of handicapped children receiving special education and related services on October 1, 1976 to the Commissioner not later than November 29, 1976.

(b) The State educational agency shall include the certification required under § 121a.92 in its report under paragraph (a) of this section.

(c) The State educational agency may exclude the information reported under paragraph (a) of this section from its report due on April 1, 1977 under § 121a.90. The State educational agency shall include in that report the number of handicapped children counted on February 1, 1977, the average of the two counts, and the information required under § 121a.91(a) (2) and (3).

(20 U.S.C. 1411(a) (3), 1417(a) (1) (D), 1417 (b))

**§ 121a.95 Other responsibilities of the State educational agency.**

In addition to meeting the other requirements in this subpart, each State educational agency shall:

(a) Establish procedures to be used by local educational agencies, intermediate educational units, and other educational institutions in counting the number of handicapped children receiving special education and related services;

(b) Set dates by which those agencies, institutions, and units must report to the State educational agency to assure that the State complies with §§ 121a.90(a) and 121a.94(a);

(c) Obtain certification from each agency, institution, and unit that an unduplicated and accurate count has been made;

(d) Aggregate the data from the count obtained from each agency, institution, and unit and prepare the reports required under this subpart; and

(e) Assure that documentation is maintained which enables the State and the Commissioner to audit the accuracy of the count.

(20 U.S.C. 1411(a) (3), 1417(b))

[FR Doc.76-25763 Filed 9-7-76;8:45 am]

**FEDERAL POWER COMMISSION**

[ 18 CFR Part 141 ]

[Docket No. RM76-26]

**LICENSED PROJECT INFORMATION DATA, NEW FPC FORM NO. 161**

Extension of Time

SEPTEMBER 1, 1976.

On August 23, 1976, the East Central Area Reliability Coordination Agreement, ECAR, filed a motion to extend the time, fixed by Notice of Proposed Rulemaking issued July 20, 1976 (41 FR 31714, published July 29, 1976) within which to file comments on the above-designated rulemaking proceeding.

Upon consideration, notice is hereby given that an extension of time is granted to and including September 29, 1976, within which to file comments on new FPC Form 161.

KENNETH F. PLUMB,  
Secretary.

[FR Doc.76-26225 Filed 9-7-76;8:45 am]

[ 18 CFR Part 141 ]

[Docket No. RM76-23]

**REPORT ON RETAIL ELECTRIC BILLS AND RATE CHANGES, NEW FORM 159**

Extension of Time

SEPTEMBER 1, 1976.

On August 23, 1976, the East Central Area Reliability Coordination Agreement, ECAR, filed a motion to extend the time, fixed by Notice of Proposed Rulemaking issued July 7, 1976, (41 FR 28904, published July 13, 1976) within which to file comments on the above-designated rulemaking proceeding.

Upon consideration, notice is hereby given that an extension of time is granted to and including September 29, 1976, within which to file comments on new FPC Form No. 159.

KENNETH F. PLUMB,  
Secretary.

[FR Doc.76-26217 Filed 9-7-76;8:45 am]

**SMALL BUSINESS ADMINISTRATION**

[ 13 CFR Part 107 ]

**SMALL BUSINESS INVESTMENT COMPANIES**

Proposed Rulemaking

Notice is hereby given that pursuant to the authority contained in Section 303 of the Small Business Investment Act of 1958, 15 U.S.C. § 687, it is proposed to amend, as set forth below, Part 107 of Chapter I, Title 13 of the Code of Federal Regulations. Prior to the final adoption of such amendment consideration will be given to any comments. Such comments should be submitted in writing, in triplicate, to Associate Administrator for Finance and Investment, Small Business Administration, Washington, D.C. 20416, on or before October 8, 1976.

*Information.* The proposed amendment would add a new § 107.904 to the regulations, which would subject SBIC financing for the purchase of farm land to prior SBA approval.

On June 25, 1976, SBA repealed paragraph (h) of § 107.1001 (41 FR 26202 which prohibited financing for primary use in agricultural activities. This repeal implemented Pub. L. 94-305, approved June 4, 1976, 90 Stat. 667, which authorized SBA assistance to small concerns engaged in the production of food and fiber and in other agricultural and farming pursuits. This repeal, however, created a conflict with other SBIC regulations, such as §§ 107.101(c) and 107.1001(c), aimed at limiting SBIC financings for real estate purchases. Moreover, SBA is presently formulating a farm loan policy, which will have agency-wide application. Pending the adoption of such policy, it is deemed necessary that SBA maintain its long-standing policy against speculative and passive investments. Moreover, in order to preclude such speculative or passive investments during the comment period for this notice, the proposed rule, if

adopted, would be made effective as of the date of this notice.

Licenses desiring to finance farm land purchases would have to demonstrate to SBA's satisfaction that such land is acquired for bona fide farming purposes, and not primarily for appreciation, resale or leasing to others.

It is proposed to amend Part 107 by adding a new § 107.904 as follows:

**§ 107.904 Financing of farm land purchases.**

Without prior written SBA approval, a Licensee shall not, after [the date of publication of this Notice], provide financing to a small concern for the acquisition of farm land. For purposes of this section, farm land shall mean land which is or is intended to be used for agricultural or forestry purposes, such as the production of food, fiber or wood, or is so taxed or zoned.

(Catalog of Federal Domestic Assistance Programs No. 59.011 Small Business Investment Companies.)

Dated: August 26, 1976.

MITCHELL P. KOBELINSKI,  
Administrator.

[FR Doc.76-26187 Filed 9-7-76;8:45 am]

[ 13 CFR Part 115 ]

**SURETY BOND GUARANTEE**

Proposed Policy and Application Procedures

Notice is hereby given that the Small Business Administration proposes to amend Part 115 of Chapter I, Title 13, of the Code of Federal Regulations. Because the Agency wishes to have such new rules as may be adopted in effect as of October 1, 1976, to coincide with the start of Fiscal Year 1977 and the automated system's initiation for the Surety Bond Guarantee Program, interested parties are to submit written comments to the Associate Administrator for Finance and Investment, 1441 L Street NW., Washington, D.C. 20416, by September 22, 1976. Recognizing the importance and impact of these proposed changes, a public hearing will be held on September 22, 1976, in the Associate Administrator's Conference Room (Room 800), starting at 9 A.M., to discuss these proposed changes. All participants at the hearing are requested to submit a written statement prior to or at the hearing.

**INFORMATION**

1. Section 115.2 is to be revised in order that the SBA's guarantee to sureties shall be set at established rates of up to 90 percent on any contract whose face value does not exceed \$249,999.99 and up to 80 percent on any contract whose face value is \$250,000 or greater (not exceeding \$1,000,000).

These changes are designed to:

a. Provide assurance to the participating surety companies as to what the SBA maximum guarantee percentage is for any particular contract bond.

b. Provide a more equitable sharing of "loss" risks for those contracts of \$250,000 and greater in face value between the Surety and SBA.

c. Provide greater assurance of SBA's ability to operate the Surety Bond Guarantee Program within budgetary authority, thereby assuring its continued availability to small business concerns.

d. Provide greater incentive to participating sureties to "graduate" more of the larger contractors into the standard surety market, without further SBA involvement, and

e. Provide a dis-incentive to participating sureties which will prevent excessive funneling of previously bondable (by the standard surety market) contractor accounts into the Surety Bond Guarantee Program.

2. Section 115.3(d) is a technical change to reflect the appropriate size standard reference for what constitutes a small business concern eligible for surety bond guarantee assistance. The appropriate reference is "§ 121.3-15 of this chapter," and not "§ 121.3-14."

3. Section 115.8(b)(4) is revised to clarify what constitutes a "publicly-financed" project. It is not sufficient that a public body provide a loan guarantee for a project. To be eligible, the contract must be for a project which is to be owned by a governmental body, e.g., a sewer project, road, or public building.

4. Section 115.8(c) is revised in order to authorize appropriate SBA officials in the field offices to take final decline action on all applications under § 115.8(b) on contracts exceeding \$500,000 (but not more than \$1,000,000) in face value. This change will lead to more efficient processing of some case files where review by the SBA's Central Office Surety Bond Guarantee staff is unnecessary.

5. Section 115.8(c)(2) is to be revised in order to authorize appropriate SBA officials in the field offices to take final decline action on all multiple requests for the guarantee of contract bonds involving one small business concern on one project when the aggregate of these contracts exceeds \$500,000 (but no more than \$1,000,000) in face value. The reasons for this change are as explained in Paragraph 4, above.

Accordingly, it is proposed to amend Part 115, Chapter I, Title 13 of the Code of Federal Regulations as follows.

1. Section 115.2 is revised to read:

**§ 115.2 Policy.**

It is the intent of Congress to strengthen the competitive free enterprise sys-

tem by assisting qualified small business concerns to obtain certain bid, payment or performance bonds that are otherwise not obtainable, by authorizing SBA to guarantee surety companies up to 90 percent of their losses incurred by reasons of the breach of the terms of such bonds executed on behalf of such concerns, on contracts not exceeding a contract amount of \$1,000,000. The SBA guarantee shall be up to 90 percent of those contracts of less than \$250,000 in face value, and the guarantee shall be up to 80 percent for those contracts between \$250,000 and \$1,000,000 in amount. Payments to the surety by SBA of such losses shall be subject to a deductible amount of \$500, or the amount of the gross bond premium (less SBA's guarantee fee from the surety) charged such small concern by the surety, whichever is less. Surety may credit itself with the deductible amount from salvage proceeds before having to start sharing their salvage proceeds on a pro rata basis with SBA, in the same percentage as SBA shares the loss with the surety.

2. Section 115.3(d) is revised to read:

**§ 115.3 Definitions.**

(d) "Small business concern" means a concern which would qualify as a small business under § 121.3-15 of this Chapter.

3. Section 115.8(b)(4) is revised to read:

**§ 115.8 Approval or decline of applications.**

(b)(4) The application pertains to a publicly-financed project, e.g., Federal, State, local or special district public bodies, which shall provide the financing for the project and which shall be the owner or the finished product, such as a Federal building, State highway, City hall, public library, school, sewage treatment plant, water lines, etc. The guaranteeing of the interim or permanent financing for a project by a public sector body does not fall within the terms and conditions of this section.

4. Section 115.8(c) and (c)(2) are revised to read:

**§ 115.8 Approval or decline of applications.**

(c) Except as stated below in paragraph (c)(1) of this section, Regional

Directors, Assistant Regional Directors for Finance and Investment, or District Directors and/or Assistant District Directors for Finance and Investment, as appropriate, according to their delegated authority as published from time to time in the FEDERAL REGISTER, will take final action on all applications under subsection (b) on contracts not exceeding \$500,000 in face value. Applications for contracts exceeding \$500,000 but no more than \$1,000,000 in face value must be forwarded to the SBA Central Office, with the recommendation of approval by the Regional and/or District Director, as appropriate, for final action. However, the Regional and/or District Director, as appropriate, need not refer applications exceeding \$500,000 but no more than \$1,000,000 in face value to the SBA Central Office if the Regional and/or District Director's decision is to decline such application. An appeal by a small business concern for reconsideration of such declination shall be directed to the Regional and/or District Director who made the declination decision. If the appeal should not be acted upon in the small business concern's favor, and the small business concern wishes to pursue its appeal, this action will be referred to the SBA Central Office for final decision.

(2) Multiple requests for the guarantee of contract bonds involving one small business concern on one project require the approval of the SBA Central Office, when the aggregate amount of these contracts exceeds \$500,000 up to \$1,000,000 in face value. Central Office can take final action only upon receipt of the recommendation of approval from the Regional or District Director, as appropriate. However, as explained in (c), above, the Regional or District Director, as appropriate, may take final action in such situations if their decision is to decline the application(s). The appellate policies and procedures remain the same as explained in (c) above.

(Catalog of Federal Domestic Assistance Programs No. 59.016 Surety Bond Guarantee)

Dated: September 3, 1976.

MITCHELL P. KOBELINSKI,  
Administrator.

[FR Doc.76-26324 Filed 9-7-76;8:45 am]

# notices

This section of the FEDERAL REGISTER contains documents other than rules or proposed rules that are applicable to the public. Notices of hearings and investigations, committee meetings, agency decisions and rulings, delegations of authority, filing of petitions and applications and agency statements of organization and functions are examples of documents appearing in this section.

## DEPARTMENT OF STATE

[Public Notice CM-6/90]

### ADVISORY COMMITTEE ON THE LAW OF THE SEA

#### Partially Closed Meeting

In accordance with section 10(d) of the Federal Advisory Committee Act (Pub. L. 92-463), notice is hereby given that the Advisory Committee on the Law of the Sea will meet in closed session on Friday, October 29 and in both open and closed sessions on Saturday, October 30, 1976. The open session of the meeting will convene on Saturday at 2:00 p.m. in the International Conference Room, U.S. Department of State, 21st and C Street, N.W., Washington, D.C.

The purpose of the closed meeting is to discuss the results of the fifth substantive session of the United Nations Conference on the Law of the Sea held in New York August 2-September 17, 1976, and to assist the United States Government in formulation of further LOS policy. During these closed sessions, documents classified under the provisions of Executive Order 11652 will be discussed.

These documents, which contain new substantive proposals, revisions of earlier policy statements, and in-depth analysis of main Conference trends during the 1976 summer session, relate to the issues which the United States has negotiated and will continue to negotiate at the Conference. The documents are exempt under 5 U.S.C. 552(b) (1), and are required to be withheld from disclosure in the public interest.

The issues cover such subjects as freedom of navigation on the high seas and in international straits, the establishment of a deep seabeds mining regime, the breadth of the continental margin, the juridical content of the economic zone, marine pollution regulation and a scientific research regime, and other related topics involving U.S. national security matters. Premature disclosure of the contents of these documents could adversely affect our foreign relations interests and jeopardize the chances of obtaining a timely and satisfactory Law of the Sea Treaty.

The open session of the Advisory Committee meeting will discuss all principal agenda issues considered during the fifth substantive session of the Third United Nations Conference on the Law of the Sea, including those issues stated above, but will not examine the classified items discussed during the closed session. The Advisory Committee on the Law of the Sea represents a broad cross-section of industries, professions, academic disciplines and other public groups. As

such, it will comprehensively review the proposals which will come before the Conference.

At the open session, beginning at 2:00 p.m. on October 30, the general public attending may participate in the discussion subject to instructions of the Chairman.

As entrance to the State Department is controlled, members of the public who wish to attend the open session should contact Mr. Peter Bernhardt by October 22 and provide their name and affiliation to facilitate their attendance. Mr. Bernhardt's telephone number is (area code 202) 632-9616.

ROBERT C. BREWSTER,  
Vice Chairman of the NSC Interagency Task Force on the Law of the Sea.

AUGUST 31, 1976.

[FR Doc.76-26188 Filed 9-7-76;8:45 am]

## DEPARTMENT OF DEFENSE

### Department of the Air Force USAF SCIENTIFIC ADVISORY BOARD Meeting

AUGUST 30, 1976.

The USAF Scientific Advisory Board ad hoc Committee on Aeronomy will hold meetings on September 28, 29, and 30, 1976 at the Air Force Geophysics Laboratory, Hanscom AFB, Massachusetts from 8:30 a.m. to 5:00 p.m. all three days.

The Committee will receive classified briefings and hold classified discussions on Aeronomy.

The meetings concern matters listed in section 552(b) of Title 5, United States Code, specifically subparagraph (1) thereof, and accordingly, will be closed to the public.

For further information contact the Scientific Advisory Board Secretariat at (202) 697-4648.

FRANKIE S. ESTEP,  
Air Force Federal Register Liaison Officer, Directorate of Administration.

[FR Doc.76-26132 Filed 9-7-76;8:45 am]

### USAF SCIENTIFIC ADVISORY BOARD Meeting

AUGUST 30, 1976.

The USAF Scientific Advisory Board Division Advisory Group, Armament Development and Test Center, will hold a meeting on October 5 and 6, 1976 from 8:00 a.m. to 5:00 p.m., in Room 118, Building 1, Eglin Air Force Base, Florida.

The Group will receive classified briefings and hold classified discussions on selected Air Force Weapon programs.

The meetings concern matters listed in Section 552(b) of Title 5, United States Code, specifically subparagraph (1) thereof, and that accordingly the meeting will be closed to the public.

For further information contact the Scientific Advisory Board Secretariat at 202-697-8404.

FRANKIE S. ESTEP,  
Air Force Federal Register Liaison Officer, Directorate of Administration.

[FR Doc.76-26133 Filed 9-7-76;8:45 am]

## DEPARTMENT OF THE INTERIOR

### Bureau of Land Management

[OR 10919 (Wash.)]

WASHINGTON

#### Order Providing for Opening of Public Land

AUGUST 31, 1976.

1. In an exchange of lands made under the provisions of section 8 of the Act of June 28, 1934, 48 Stat. 1272, as amended June 26, 1936, 49 Stat. 1976; 43 U.S.C. 315g (1970), the following land has been reconveyed to the United States:

WILLAMETTE MERIDIAN

T. 9 N., R. 27 E.,

Sec. 29, those portions of the following subdivisions lying south of the K.I.D. Canal right-of-way: S $\frac{1}{2}$ SW $\frac{1}{4}$ NW $\frac{1}{4}$ , W $\frac{1}{2}$ SW $\frac{1}{4}$ , NW $\frac{1}{4}$ NE $\frac{1}{4}$ SW $\frac{1}{4}$ , S $\frac{1}{2}$ NE $\frac{1}{4}$ SW $\frac{1}{4}$ , SE $\frac{1}{4}$ SW $\frac{1}{4}$ , SW $\frac{1}{4}$ SE $\frac{1}{4}$ , and SW $\frac{1}{4}$ SE $\frac{1}{4}$ SE $\frac{1}{4}$ .

The area described contains approximately 212 acres in Benton County, Washington.

2. The subject parcel is located along an area known as the Badger Slope, approximately 14 miles west of Kennewick, Washington. The topography is rolling to semi-mountainous with sparse vegetative cover consisting of scattered sagebrush and native grasses. In the past the land has been used for grazing purposes. The land also has public recreation values. The land and adjacent national resource land will be managed for multiple use.

3. Subject to valid existing rights, the provisions of existing withdrawals, and the requirements of applicable law, the land described above is hereby open to the operation of the public land laws, including the mining laws (Ch. 2, Title 30 U.S.C.), and the mineral leasing laws. All valid applications received at or prior to 10:00 a.m., October 6, 1976, shall be considered as simultaneously filed at that time. Those received thereafter shall be considered in the order of filing.

4. Inquiries concerning the land should be addressed to the Chief, Branch of Lands and Minerals Operations, Bureau of Land Management, P.O. Box 2965, Portland, Oregon 97208.

HAROLD A. BERENDS,  
Chief, Branch of Lands and  
Minerals Operations.

[FR Doc.76-26134 Filed 9-7-76;8:45 am]

**Bureau of Land Management  
BAKER DISTRICT ADVISORY BOARD  
Meeting**

Notice is hereby given that the Baker District, Bureau of Land Management Multiple Use Advisory Board will meet October 6, 1976.

The meeting will begin at 9:00 a.m., October 6, 1976, in the Conference Room, Room 235 Federal Building, Baker, Oregon. The purpose of the meeting will be to discuss the proposed grazing regulations and review the schedule of planning activities regarding the upcoming Ironside Environmental Impact Statement.

At 10:30 a.m., October 6, 1976, the Board will leave from the Federal Building parking lot, for a tour of portions of the Baker District.

Written public comments may be submitted to the Baker District Office prior to the meeting date. The mailing address is Post Office Box 589, Baker, Oregon 97814.

The meeting is open to the public, but they must provide their own lunch and transportation.

ALBERT ROMEO,  
District Manager.

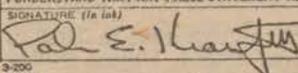
AUGUST 30, 1976.

[FR Doc.76-23121 Filed 9-7-76;8:45 am]

**Fish and Wildlife Service  
ENDANGERED SPECIES PERMIT  
Receipt of Application**

Notice is hereby given that the following application for a permit is deemed to have been received under section 10 of the Endangered Species Act of 1973 (P.L. 93-205).

Applicant: Columbia Zoological Park, Post Office Box 1143, Columbia, South Carolina 29202. Palmer E. Krantz III, Acting Director.

 <p><b>DEPARTMENT OF THE INTERIOR U.S. FISH AND WILDLIFE SERVICE</b></p> <p><b>FEDERAL FISH AND WILDLIFE LICENSE/PERMIT APPLICATION</b></p>		<p>1. APPLICATION FOR (Indicate only one)</p> <p><input checked="" type="checkbox"/> IMPORT OR EXPORT LICENSE      <input type="checkbox"/> PERMIT</p>													
		<p>2. BRIEF DESCRIPTION OF ACTIVITY FOR WHICH REQUESTED LICENSE OR PERMIT IS NEEDED.</p> <p>Importation and Interstate transportation of 1.0 Agile Gibbon, <i>Hylobates agilis</i>, born in captivity (Twycross Zoo, England) and presently owned by Kessingland Zoo, England, for propagation of an Endangered Species.</p>													
<p>3. APPLICANT (Name, complete address and phone number of individual, business, agency, or institution for which permit is requested)</p> <p>Columbia Zoological Park P.O. Box 1143 Columbia, South Carolina 29202  (803) 779 8717</p>		<p>5. IF "APPLICANT" IS A BUSINESS, CORPORATION, PUBLIC AGENCY, OR INSTITUTION, COMPLETE THE FOLLOWING:</p> <p>EXPLAIN TYPE OR KIND OF BUSINESS, AGENCY, OR INSTITUTION</p> <p>Publically owned zoological park engaged in conservation, education, and research.</p>													
<p>4. IF "APPLICANT" IS AN INDIVIDUAL, COMPLETE THE FOLLOWING:</p> <table border="1"> <tr> <td><input type="checkbox"/> MR. <input type="checkbox"/> MRS. <input type="checkbox"/> MISS <input type="checkbox"/> MS.</td> <td>HEIGHT</td> <td>WEIGHT</td> </tr> <tr> <td>DATE OF BIRTH</td> <td>COLOR HAIR</td> <td>COLOR EYES</td> </tr> <tr> <td>PHONE NUMBER WHERE EMPLOYED</td> <td colspan="2">SOCIAL SECURITY NUMBER</td> </tr> <tr> <td colspan="3">OCCUPATION</td> </tr> </table> <p>NAME, TITLE, AND PHONE NUMBER OF PRESIDENT, PRINCIPAL, OR DIRECTOR OF AGENCY:</p> <p>Palmer E. Krantz, III (803) 779 8717</p>		<input type="checkbox"/> MR. <input type="checkbox"/> MRS. <input type="checkbox"/> MISS <input type="checkbox"/> MS.	HEIGHT	WEIGHT	DATE OF BIRTH	COLOR HAIR	COLOR EYES	PHONE NUMBER WHERE EMPLOYED	SOCIAL SECURITY NUMBER		OCCUPATION			<p>IF "APPLICANT" IS A CORPORATION, INDICATE STATE IN WHICH INCORPORATED</p> <p>N/A</p>	
<input type="checkbox"/> MR. <input type="checkbox"/> MRS. <input type="checkbox"/> MISS <input type="checkbox"/> MS.	HEIGHT	WEIGHT													
DATE OF BIRTH	COLOR HAIR	COLOR EYES													
PHONE NUMBER WHERE EMPLOYED	SOCIAL SECURITY NUMBER														
OCCUPATION															
<p>6. LOCATION WHERE PROPOSED ACTIVITY IS TO BE CONDUCTED</p> <p>Columbia Zoological Park I-126 &amp; Greystone Blvd. Columbia, South Carolina 29202</p>		<p>7. DO YOU HOLD ANY CURRENTLY VALID FEDERAL FISH AND WILDLIFE LICENSE OR PERMIT (If yes, list license or permit numbers)</p> <p><input checked="" type="checkbox"/> YES      <input type="checkbox"/> NO</p> <p>PRT-6-11-C-752 with 3 amendments.</p>													
<p>8. CERTIFIED CHECK OR MONEY ORDER (if applicable) PAYABLE TO THE U.S. FISH AND WILDLIFE SERVICE ENCLOSED IN AMOUNT OF \$</p>		<p>10. DESIRED EFFECTIVE DATE</p> <p>ASAP</p>													
<p>11. DURATION NEEDED</p> <p>12 months.</p>		<p>9. IF REQUIRED BY ANY STATE OR FOREIGN GOVERNMENT, DO YOU HAVE THEIR APPROVAL TO CONDUCT THE ACTIVITY YOU PROPOSE? (If yes, list jurisdictions and type of comments)</p> <p>Not required.</p>													
<p>12. ATTACHMENTS. THE SPECIFIC INFORMATION REQUIRED FOR THE TYPE OF LICENSE/PERMIT REQUESTED (See 50 CFR 17.12) MUST BE ATTACHED. IT CONSTITUTES AN INTEGRAL PART OF THIS APPLICATION. LIST SECTIONS OF 50 CFR UNDER WHICH ATTACHMENTS ARE PROVIDED.</p> <p>Attachments per Title 50, Part 17.22</p>															
<p><b>CERTIFICATION</b></p>															
<p>I HEREBY CERTIFY THAT I HAVE READ AND AM FAMILIAR WITH THE REGULATIONS CONTAINED IN TITLE 50, PART 17, OF THE CODE OF FEDERAL REGULATIONS AND THE OTHER APPLICABLE PARTS IN SUBCHAPTER B OF CHAPTER I OF TITLE 50, AND I FURTHER CERTIFY THAT THE INFORMATION SUBMITTED IN THIS APPLICATION FOR A LICENSE/PERMIT IS COMPLETE AND ACCURATE TO THE BEST OF MY KNOWLEDGE AND BELIEF. I UNDERSTAND THAT ANY FALSE STATEMENT HEREIN MAY SUBJECT ME TO THE CRIMINAL PENALTIES OF 18 U.S.C. 1001.</p>															
<p>SIGNATURE (In ink)</p> 		<p>DATE</p> <p>20 July, 1976</p>													
<p>9-200 (5/74)</p>		<p>Palmer E. Krantz, III Acting Director</p>													

COLUMBIA ZOOLOGICAL PARK,  
Columbia, S.C. 29202, July 20, 1976.

DIRECTOR,  
Fish & Wildlife Service,  
Department of the Interior,  
Washington, D.C.

DEAR SIR: The information immediately following and attached hereto represents an application to the Department for the importation of an Endangered Species from England to Columbia Zoological Park, P.O.

Box 1143, Columbia, South Carolina 29202, USA, as required by CFR Title 50, Part 17.22 and amended on Monday, 14 June, 1976, volume 41, Number 115. This application is to authorize the importation of one male (1.0) Agile Gibbon, *Hylobates agilis*, sometimes called the Dark-Handed Gibbon, which was born in Twycross Zoo, England and is currently owned by Kessingland Zoo, England. This application is also to cover the transportation of said male from the Port of Entry to Columbia, South Carolina.

## (a) Application requirements.

(1) The common and scientific names of the species sought to be covered by the permit, as well as the number, age, and sex of such species, and activity sought to be authorized.

The animal concerned is a male Agile Gibbon, *Hylobates agilis*, nearly three years old. It is to be imported to complete a pairing and establish a second potential breeding group of this rare species. The female of this pair is already owned by this institution, the same approximate age, and very suitable for pairing of the male in question.

(2) A statement as to whether, at the time of application, the wildlife sought to be covered by the permit is (i) still in the wild, (ii) has already been removed from the wild, or (iii) was born in captivity.

The Agile Gibbon in question was born in Twycross Zoo, England.

(3) A resume of the applicant's attempts to obtain the wildlife sought to be covered by the permit in a manner which would not cause the death or removal of the wildlife from the wild.

Not applicable as said wildlife is born in captivity.

(4) If the wildlife sought to be covered by the permit has already been removed from the wild, the country and place where such removal occurred; if the wildlife sought to be covered by the permit was raised in captivity, the country and place where such wildlife was born.

The Agile Gibbon in question was born in Twycross Zoo, England and is now in Kessingland Zoo, England.

(5) A complete description and address of the institution or other facility where the wildlife sought to be covered by the permit will be used, displayed, or maintained.

Columbia Zoological Park, I-126 & Greystone Blvd., P.O. Box 1143, Columbia, South Carolina 29202, USA.

(6) If the applicant seeks to have live wildlife covered by the permit:

(i) A complete description, including photographs or diagrams, of the area and facilities where such wildlife will be housed and cared for.

The animal in question will be housed off-exhibit as part of a breeding program to compliment another troop of Agile Gibbons already in Columbia Zoological Park. The male in question will be kept with a female of approximately the same age and who has been in this institution since August, 1975.

Off-exhibit housing for several species of rare or difficult to maintain primates, Siamangs and Howler Monkeys, is part of the Hospital-Quarantine complex. These enclosures on both outside and inside measure 9' long X 5' wide X 7.5' high respectively, with passage to and from each area controlled by a hand-operated guillotine door. In addition, lateral sliding panels are present on both sides of inner and outer quarters for shifting to adjacent housing or enlargement to double quarters without undue stress to the animals. The walls of the inside area are smooth-finish epoxy for easy maintenance and the flooring of both outside and inside is smooth-trowled concrete. The outside walls are brick and chain-link is used for ceiling and doorways to both quarters. Elevated sleeping platforms are present inside for night use and ropes are attached to the ceiling inside and outside for swinging.

All water going into the Park itself is supplied from the city and certified for human consumption. The water enters the facility through backflow preventers approved by federal and local standards, and passes to the individual complex through another backflow preventer similarly approved. Waste water from the off-exhibit quarters for

the animal in question leaves through recessed drains in the flooring of both areas. The water is returned to the city waste water treatment facility via a sanitary sewer system. Large debris and incineration capability is present by possession of a large incinerator federally certified for pathological destruction. This institution is approved for importation and post-entry quarantine of non-human primates by the Public Health Department, as well as importation of exotic ruminants.

(ii) A brief resume of the technical expertise of the persons who will care for such wildlife including any expertise the applicant or his personnel have had in raising, caring for, or propagation of similar wildlife or any closely related wildlife.

All mammals in this institution's collection are under the supervision of Palmer E. Krantz, III, Acting Director, and Mark D. Pyritz, Acting Curator of Mammals. Both individuals have had experience with Agile Gibbons, as have all keeper personnel. Both supervisory and keeper personnel have also had success maintaining Siamangs, and other difficult to maintain species like Howler Monkeys and White-faced Sakis. Although all gibbons and siamangs in this institution are too young to give birth, mating activity has been observed in both species and young have been successfully reared by Mandrills, DeBrazza Monkeys, and Sakis. Although mother-raising is preferred for all species born in this institution, bottle-rearing capabilities are present.

Immediate veterinarian services are available on a day-to-day basis through a hospital supervisor. In addition to routine analysis and medications, he is responsible to a team of highly motivated consulting veterinarians, each one a specialist in one of the following fields: neurology, general surgery, circulation, and dermatology. Laboratories are present for X-ray, surgery, quarantine, and oxygen therapy if necessary.

(iii) A statement of the applicant's willingness to participate in a cooperative breeding program, and to maintain or contribute data to a studbook.

Although no studbooks or other breeding programs presently exist for Agile Gibbons, we will gladly provide data to anyone interested in establishing one. This institution presently provides data to the Siberian Tiger studbook, technical data to anyone wanting it, and maintains studbooks on rare leopards.

(iv) A detailed description of the type, size, and construction of all containers into which such wildlife will be placed during transportation or temporary storage, if any, and of the arrangements for feeding, watering and otherwise caring for such wildlife during that period.

The animal in question will be shipped in a sturdy wooden or metal crate well suited for this species and which meets all federal and IATA requirements. Bedding in the form of a blanket or sack will also be provided for warmth and psychological security. Both the institution of birth and the present owning institution have extensive experience in all phases of primate husbandry. Liquid nourishment will be provided in the form of a solid, semisucculent fruit such as apple or pear sections. The animal will be flown from London, England non-stop to Miami, Florida to broker/agent Charles P. Chase, Co. and immediately sent by air to Columbia.

(v) For the past 5 years preceding the date of this application, provide a detailed description of all mortalities involving the species covered by the application and held by the applicant, if any (or any other wildlife of the same genus or family held by the applicant), including the causes of such mortalities and the steps taken to avoid or decrease such mortalities.

Only one mortality has occurred in gibbons at this institution, a result of improper dosage of an anti-helminthic. Since that time, safer drugs and carefully regulated dosages have been used for gibbons and other primates with complete success and no mortalities or other apparent discomfort.

(7) Copies of the contracts and agreements pursuant to which the activities sought to be authorized by the permit will be carried out; such copies must identify all persons who will engage in the activities sought to be authorized and must also give the dates for such activities.

Enclosed are copies of the correspondence from the place of birth (Twycross Zoo) and present owners, accepting our offer to purchase said animal. Kessingland Zoo had wanted to wait until after the summer for shipment and we will request shipment in the early fall as soon as the application is processed.

(8) Full statement of the reasons why the applicant is justified in obtaining the permit, including:

(i) The details of the activities sought to be authorized by the permit.

The male Agile Gibbon in question is to complete a potentially breeding pair. Any offspring from this pairing can be later bred with offspring of the other unrelated pair in this institution. At this time, according to ISIS (International Species Inventory System) inventory, 1975, there is only one other pair of Agile Gibbons in this country. In addition, two females are present, each in an unpaired situation. There are no surplus males that we know of. There is a definite need to begin captive propagation of this species and importation of this male will add greatly to the long-term fecundity of this institution's Agile Gibbons. Assuming approval of this application, the male in question to be imported can also be involved with the other Agile Gibbons, paired or otherwise, in this country by providing offspring to these institutions, breeding loans, etc. Over a long period, the entire species can well increase through management to numbers that may, unless present trends change, exceed those in the wild.

(ii) The details of how such activities will be carried out.

The animal in question will be housed with the female, therefore having an opportunity to reproduce. Although Agile Gibbons have not, to our knowledge, been born in this country, this lack of captive breeding is only reflective of an absence of potentially breeding pairs. The closely related White-handed Gibbon, *Hylobates lar*, commonly maintained in captivity, reproduces rather frequently under similar conditions as the animals in Columbia experience. Given the fact that this male becomes part of a potentially breeding group and does breed, as yet immature Gibbons in this institution are attempting to do now, there is good reason to feel that this importation will be very important to the overall gene pool of this species in this country.

(iii) The relationship of such activities to scientific objectives or to enhancing the propagation or survival of the wildlife sought to be covered by the permit.

Importation of this male is very necessary for enlargement of the gene pool of an otherwise badly represented species. Not only are Agile Gibbons and other gibbons threatened in most of their range by environmental destruction, direct persecution, utilization for the pet trade, and a lack of enforced conservation programs with the range, but this particular species is only represented in this country by 2 males and 5 females, a meager gene pool at best. The small area of occurrence in Malaya at the south-western tip of the Malay peninsula can be easily over-exploited or destroyed entirely leaving only the

southern half of Sumatra for their home. This area in turn has a large human population, is subject to slash and burn agricultural practices, and under heavy pressure from the lumber industry. Without an organized captive breeding program of those animals already in captivity, this poorly represented species can easily become extinct in the wild and be unsavable as a captive species because of insufficient stock.

(iv) The planned disposition of such wildlife upon termination of the activities sought to be authorized.

Animals, especially those born in captivity like the animal in question, are not normally returned to the wild. In the case of the male in England, he is to become part of a long-term breeding program, and activity which he can accomplish for all his natural life, and he would therefore remain in Columbia.

(b) Issuance criteria.

(1) Whether the purpose for which the permit is required is adequate to justify removing from the wild or otherwise changing the status of the wildlife sought to be covered by the permit.

Not applicable.

(2) The probably direct and indirect effect which issuing the permit would have on the wild population of the wildlife sought to be covered by the permit.

Not applicable.

(3) Whether the permit, if issued, would have in any way, directly or indirectly, conflict with any known program intended to enhance the survival probabilities of the population from which the wildlife sought to be covered by the permit was or would be removed.

Not applicable as the animal is born in captivity. To our knowledge, there are no known conservation programs within its range except quotas which are subject to illegal export activities.

(4) Whether the purpose for which the permit is required would be likely to reduce the threat of extinction facing the species of wildlife sought to be covered by the permit.

Importation of said male for inclusion into a long term breeding program will go far toward saving the species from extinction. This type of effort is needed for the species in view of deleterious effects continually being placed upon the wild population. The male in question is not presently involved in any breeding program and therefore being "wasted" in terms of potential reproduction.

(5) The opinions or views of scientists or other persons or organizations having expertise concerning the wildlife or other matters germane to the application.

As a genus, *Hylobates* has been represented in captive collections almost exclusively by *H. lar*. Other species of here-to-fore unrestricted species, *H. concolor*, *hooloch*, *moloch*, and *agilis* have always been uncommon (in the case of the first two) or rare in captivity, and lower rates of reproduction are reflected by this overall status. Likewise, field studies have dwelled on *H. lar* to the exclusion of other species (Carpenter, 1940; Ellefson, 1967). The very status of *H. agilis* has been

poorly understood in the past and the entire species is frequently omitted from general or taxonomic discussions. At other times in the past, it is assigned as a race of *H. lar* and left as a color variant. Considered as a full species (Kloss, 1929; Fooden, 1969; Chirelli, 1972; Wendt, 1972), only Chivers (1975) makes any remarks concerning its behavior and these are brief at best. It is now generally accepted as living in an isolated, vestigial population on the Malay peninsula in an area West of the Perak River. All other *Hylobates* on this peninsula are assigned to *H. lar*, and there is therefore no sympatric speciation. A larger group lives on the southern half of Sumatra below Lake Toba mountain complex, now deforested. *H. lar* again lives North of this area. At this point there are no purposeful studies directed toward behavior and other remarks are made in the literature toward *H. agilis* only in passing.

Many animals of this area are in a precarious state. All gibbons have been on Appendix I of the International Convention on Trade of Endangered Species of Fauna and Flora for some time but positive action toward conserving the genus as a whole and *H. agilis* in particular, is slow in coming. With continuing growth of the human population in Sumatra and Malaya, increased exploitation of natural resources and especially lumber, this solitary member of a rare species needs to be placed in a potentially breeding situations. The Malay population may well be headed for natural extinction without the added help of man and Sumatra has only limited means of enforcing conservation laws within its natural range. In view of the success experienced by this institution in keeping and breeding primates, many of which are considered difficult to maintain and breed in captivity, it is felt that the importation of the male in question, itself born in captivity and of no influence to the wild population, will greatly to an otherwise weak gene pool.

(6) Whether the expertise, facilities or other resources available to the applicant appear adequate to successfully accomplish the objectives stated in the application.

The facilities at this institution are newly constructed (1974) and well suited for this species. Husbandry is more than adequate and this potential pairing, in conjunction with another pair already in this institution, represents a good breeding situation for long range survival of this species in this country. Offspring from these two pair can be mixed or bred with those individuals in other institutions, adding greatly to an otherwise over-looked species.

(c) Permit conditions.

(1) In addition to any reporting requirements contained in the permit itself, the permittee shall also submit to the Director a written report of his activities pursuant to the permit. Such report must be postmarked or actually delivered no later than 10 days after completion of the activity.

Will comply.

(2) The death or escape of all living wildlife covered by the permit shall be immediately reported to the Service's office designated in the permit.

Will comply.

(3) The carcass of any dead wildlife covered by the permit shall be stored in a manner which will preserve its use as a scientific specimen.

Will comply.

I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13, of the Code of Federal Regulations and the other applicable parts in Subchapter B of Chapter 1 of Title 50, and I further certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement hereon may subject me to the criminal penalties of 18 U.S.C. 1001.

PALMER E. KRANTZ, III,  
Acting Director.

ALAN H. SHOEMAKER,  
Zoologist.

CHARLES R. SCHROEDER, DVM,  
Interim Director,  
Director Emeritus, San Diego Zoological Gardens.

Documents and other information submitted in connection with this application are available for public inspection during normal business hours at the Service's office in Suite 600, 1612 K Street, N.W., Washington, D.C.

Interested persons may comment on this application by submitting written data, views, or arguments, preferably in triplicate, to the Director (FWS/LE), U.S. Fish and Wildlife Service, Post Office Box 19183, Washington, D.C. 20036. This application has been assigned File Number PRT 2-302-; please refer to this number when submitting comments. All relevant comments received within 30 days of the date of publication will be considered.

Dated: September 2, 1976.

C. R. BAVIN,  
Chief, Division of Law Enforcement,  
U.S. Fish and Wildlife Service.

[FR Doc.76-26267 Filed 9-7-76; 8:45 am]

#### MARINE MAMMAL PERMIT

##### Receipt of Application

Notice is hereby given that the following application for a permit has been received under the Marine Mammal Protection Act of 1972 (16 U.S.C. 1361-1407).

Applicant: California Department of Fish and Game, 1416 Ninth Street, Sacramento, California 95814. E. C. Fullerton, Director.

OMB NO. 42-R1670

DEPARTMENT OF THE INTERIOR U.S. FISH AND WILDLIFE SERVICE		1. APPLICATION FOR (Indicate only one)	
 <b>FEDERAL FISH AND WILDLIFE LICENSE/PERMIT APPLICATION</b>		<input type="checkbox"/> IMPORT OR EXPORT LICENSE <input checked="" type="checkbox"/> PERMIT	
		2. BRIEF DESCRIPTION OF ACTIVITY FOR WHICH REQUESTED LICENSE OR PERMIT IS NEEDED. <b>Conduct research on sea otter as described in attached "Additional Information Required" in Section 18.31 of Title 50, Wildlife and Fisheries</b>	
3. APPLICANT. (Name, complete address and phone number of individual, business, agency, or institution for which permit is requested) <b>Calif. Dept. of Fish and Game 1416 Ninth Street Sacramento, California 95814 (916) 445-8386</b>		5. IF "APPLICANT" IS A BUSINESS, CORPORATION, PUBLIC AGENCY, OR INSTITUTION, COMPLETE THE FOLLOWING: EXPLAIN TYPE OR KIND OF BUSINESS, AGENCY, OR INSTITUTION <b>The Dept. of Fish and Game is established by state law and charged with the administration and enforcement of the Fish and Game Code.</b>	
4. IF "APPLICANT" IS AN INDIVIDUAL, COMPLETE THE FOLLOWING: <input type="checkbox"/> MR. <input type="checkbox"/> MRS. <input type="checkbox"/> MISS <input type="checkbox"/> MS.      HEIGHT      WEIGHT DATE OF BIRTH      COLOR HAIR      COLOR EYES PHONE NUMBER WHERE EMPLOYED      SOCIAL SECURITY NUMBER OCCUPATION ANY BUSINESS, AGENCY, OR INSTITUTIONAL AFFILIATION HAVING TO DO WITH THE WILDLIFE TO BE COVERED BY THIS LICENSE/PERMIT <b>University of California and the State University and Colleges.</b>		6. IF "APPLICANT" IS A CORPORATION, INDICATE STATE IN WHICH INCORPORATED <b>N/A</b>	
6. LOCATION WHERE PROPOSED ACTIVITY IS TO BE CONDUCTED <b>Coastal waters of the State of California</b>		7. DO YOU HOLD ANY CURRENTLY VALID FEDERAL FISH AND WILDLIFE LICENSE OR PERMIT? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO (If yes, list license or permit numbers) <b>Master Banding Permit #6716</b>	
8. CERTIFIED CHECK OR MONEY ORDER (if applicable) PAYABLE TO THE U.S. FISH AND WILDLIFE SERVICE ENCLOSED IN AMOUNT OF <b>N/A</b>		8. IF REQUIRED BY ANY STATE OR FOREIGN GOVERNMENT, DO YOU HAVE THEIR APPROVAL TO CONDUCT THE ACTIVITY YOU PROPOSE? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO (If yes, list jurisdictions and type of documents) <b>N/A</b>	
10. DESIRED EFFECTIVE DATE <b>Oct. 1, 1976</b>		11. DURATION NEEDED <b>two years</b>	
12. ATTACHMENTS. THE SPECIFIC INFORMATION REQUIRED FOR THE TYPE OF LICENSE/PERMIT REQUESTED (See 30 CFR 17.12(a)) MUST BE ATTACHED. IT CONSTITUTES AN INTEGRAL PART OF THIS APPLICATION. LIST SECTIONS OF 30 CFR UNDER WHICH ATTACHMENTS ARE PROVIDED. <b>18.31</b>			
<b>CERTIFICATION</b>			
I HEREBY CERTIFY THAT I HAVE READ AND AM FAMILIAR WITH THE REGULATIONS CONTAINED IN TITLE 50, PART 13, OF THE CODE OF FEDERAL REGULATIONS AND THE OTHER APPLICABLE PARTS IN SUBCHAPTER B OF CHAPTER 1 OF TITLE 50, AND I FURTHER CERTIFY THAT THE INFORMATION SUBMITTED IN THIS APPLICATION FOR A LICENSE/PERMIT IS COMPLETE AND ACCURATE TO THE BEST OF MY KNOWLEDGE AND BELIEF. I UNDERSTAND THAT ANY FALSE STATEMENT HEREIN MAY SUBJECT ME TO THE CRIMINAL PENALTIES OF 18 U.S.C. 1001.			
SIGNATURE (In ink) <b>E C Fullerton</b>		DATE <b>8/10/76</b>	

non-edible macro-invertebrates, or fishes has been noted inside the sea otter's range. Ultimate management decisions must be made on the effects of foraging on the various ecosystems along the shoreline, including potential enhancement as well as deterioration of certain ecosystems and fisheries. Models to determine stability and diversity of subtidal ecosystems will be emphasized.

Ecosystems baseline studies will be designed to determine effects of foraging at various trophic levels in shallow subtidal and intertidal areas. The total effects of foraging will be measured on fixed transects before the otters arrive and for at least 10 years afterward, and with control transects established outside the otter's range in similar habitat and species communities.

In conjunction with the subtidal and intertidal transects studies, Department personnel will obtain measures of recreational and commercial catches in the sea otter's range and in the areas being occupied by, and in advance of, migrant fronts. (See Activity 4)

## ACTIVITY 1. SUBTIDAL BASELINE STUDIES

## Choice of sites

Of immediate importance is to establish a baseline study in the path of the southern migrant front which at this time is at Pecho Rock, three miles north of Point San Luis and two miles north of the proposed baseline study site. It is expected that the front of over 90 animals will enter the proposed study site next winter. A subtidal survey conducted on June 25, 1976 revealed a very low density of invertebrate food items in the reef and kelp canopy area from Point San Luis to Shell Beach due to the massive red-tide dieoff of invertebrates in 1974. Consequently, once sea otters forage throughout the invertebrate-rich area from Pecho Rock to Point San Luis, the front is expected to move rapidly along the 4-mile area from Avila to Pismo Beach and concentrate along the Pismo Beach area. It is thus imperative that the baseline study at the southern limit of the range be conducted soon. A tentative subtidal baseline site chosen contains a dense population of red abalones, lesser concentrations of red sea urchins and a typical algal community. A control site for this area will be chosen outside the otter's range, possibly in the area from Point Sal to Point Arguello.

An additional research preserve will be adopted by the State in December 1976 along the Pismo clam beach area just south of Pismo Beach pier and a preliminary survey has been conducted. This research preserve is designed to preclude all recreational clamming to test the effects of removal of clams from the remainder of the beach area by sport clambers.

After establishment of the baseline at the southern experimental range limit, additional baselines will be established in a *Macrocystis* kelp forest north of Santa Cruz when Federal funds become available in 1977. A pre-study survey of the northern area has not been made, but since the sea otter is not expected to enter a tentatively selected area near Davenport for several years, the initiation of this study is not imperative at this time.

An intertidal baseline will also be established near Point San Luis with an intertidal control near the subtidal control south of Point Sal.

## Procedures

At the southern range limit, there will be a subtidal baseline into which sea otters will move; a control site to determine natural environmental changes in the coastal area; an intertidal baseline, with control; and a Pismo clam research preserve. The following basic parameters will be investigated:

## ADDITIONAL INFORMATION REQUIRED IN SECTION 18.31 OF TITLE 50, WILDLIFE AND FISHERIES

## Statement of Purpose

## Perspective

Research conducted by the Department of Fish and Game on sea otter life history, biology, and the effect of sea otter foraging on shellfish stocks has revealed information necessitating a change in the present management procedure of allowing an unlimited expansion of the sea otter's range in the state's coastal waters. Based on the available scientific evidence, an experimental management plan was developed and submitted to the Secretary of Interior for his approval along with a request for return of management to the State. The objectives of the proposed experimental management plan are:

1. To provide an adequate number of sea otters for maintenance of a healthy self-sustaining population in areas where they will receive minimum adverse human impact and provide ample opportunities for public observation and scientific study.

2. To restrict the distribution of the sea otter to north of Point San Luis to protect research and resource preserves, to protect particularly important shellfish fisheries, and to enable experimentation and development of mariculture practices in California nearshore waters.

The proposed plan also identifies additional research programs that should be conducted.

The proposal for the return of management to the State was submitted to the Department of the Interior in January 1976. In the interim, the following research programs should be initiated under a Scientific Research Permit as provided under Section 101(a) (1) as soon as is possible.

**Research Program 1—Determination of Secondary Effects of Sea Otter Foraging:** Direct adverse effects of sea otter foraging on certain important shellfish fisheries has been well documented, but many of the effects of removal of these macro-invertebrates, especially the herbivores, are not clearly understood. Other than a dramatic increase in understory algal growth, no obvious enhancement of canopy-forming kelps,

1. Community Structure. Community structure is the most important function of nearshore ecosystems that should be recorded in respect to the effects of sea otter foraging. Sea otters remove nearly all exposed macro-invertebrates creating a marked change in community structure that varies considerably in different habitats. The principal interactions to record are those of the urchin-abalone-sea star complex and the effects of the removal of the abalones and urchins from exposed areas favoring algae growth. In turn, the new relationships of these dominant large organisms will then possibly affect the canopy-forming large kelps, which may then affect the understory algae by shading. The interactions of these species will differ in exposed and in crevice situations as well as along the rocky-sand interfaces. Fixed transects are required to document these interactions. The change in community structure in each physical habitat type must be measured, necessitating choice of several fixed transects each within exposed horizontal, vertical rocky face, crevice, and sand interface formations. Data will be collected during three seasonal periods: April-May, August-September, and December-January.

2. Population Estimates of Key Organisms. Abalones, red and purple sea urchins, sea stars, Cancer crabs, rock scallops, all fish species observable by eye and by cinetransect methods, and giant and bull kelp plants will be counted on random transects on the reef area in which the baselines are established. Population estimates will be made twice a year, in the April-May and August-September periods. The reef area chosen for population estimates should be finite enough to estimate the entire reef population of these key organisms.

3. Indices of Diversity and Energy Flow Through Trophic Levels. The potential increase in primary productivity of algal growth resulting from the removal of macro-herbivores by sea otters should be measured in terms of biomass changes of understory algae and turf growth measured on 1/4-meter transects. These transects or plots should be randomly chosen because all the algae and larger organisms will be removed in each sampling. If these plots fall randomly within one of the fixed transects, another 1/4-meter plot should be randomly drawn. As many organisms as is economically feasible will be collected, measured, and biomass determined in each 1/4-meter plot. Both exposed and crevice habitats will be studied. The number of sample plots required will be determined through statistical testing of variability.

4. Species Studies. Species studies will be conducted in conjunction with, but not necessarily during the collection of data on the fixed and random transects mentioned above. These studies will include the distribution, numbers, behavior, and food habits of the cabezon, kelp greenling, black-and-yellow rockfish, and gopher rockfish. These fishes are primarily invertebrate feeding demersal forms, and it is important to document the effects of the removal of the large biomass of edible invertebrate species by sea otters on these species. The distribution, abundance, and food habits of *Cancer antennarius* (rock crab) and *Cancer productus* (red crab) will be determined as well as size composition and relative abundance of the kelp crab (*Pugettia*) in the kelp canopy. Tissues of key food items will be analyzed for heavy metals and pesticide residues. If funds are available, other species will be studied such as the species of snails (*Tegula*) that are eaten by otters, and some of the less edible macro-invertebrates such as the keyhole limpet (*Megathura*), gumboot chiton (*Cryptochiton*), and decorator crab (*Loxorhynchus*). These species studies will include capture of animals for tagging or marking, collection of some by spearing (away from the routine fixed transect area) for food analysis and growth rates.

5. Control Areas for Before-and-After Baselines. Controls are needed to document any major environmental changes taking place in the coastal area of the baselines. A major natural change in numbers of a certain species (such as of lingcod in 1972) possibly "upsetting" the "normal" stability of the ecosystems being studied will be detected on the control reef area. The general parameters to be documented in the control are community structure and process; estimates of fish abundance; sea urchin, abalone, and sea star abundance by habitat type (exposed horizontal and service habitats); and kelp canopy densities. Fish and invertebrate counts will be made along fixed transects that are chosen at random during the first year's data collection. Physical parameters of light intensity, temperature, turbidity, and sea roughness plankton concentrations will be measured. Several species studies will be made as in the baseline areas. These are a crevice study of the interaction of urchins, abalone, and sea stars; and a study of the abundance and food habits of cabezon, kelp greenling, gopher rockfish, and black-and-yellow rockfish.

Most of the general data will be collected once a year, August-September; and the special study data will be made during April-May and August-September.

Kelp canopy densities will be determined through aerial photography conducted from Pismo Beach to Pedro Point, during quarterly flights, i.e., in December, March, June, and September.

#### ACTIVITY 2. INTERTIDAL BASELINE STUDY

This study will be designed to duplicate the interactions also taking place subtidally. Due to unique intertidal habitats and communities, procedures will be quite variable and a much smaller study area will be required than in the subtidal transects. Additional studies unique to the intertidal zone will be undertaken. Emphasis will be placed on community structure changes on fixed transects to determine diversity, especially in reference to patchiness of dominant organisms such as mussels, barnacles, algae, abalones, urchins, and anemones. Random plots to measure biomass of certain species will also be chosen. Samples will be taken during the May-June and September-October low tides series. The control for the intertidal baseline will be adjacent to the subtidal control area.

#### ACTIVITY 3. RECREATIONAL AND COMMERCIAL FISHERIES MONITORING

Shoreline, pier, skiff, and skindiving recreational fisheries will be monitored on a monthly basis at the onset of this study. Pier fishing and crabbing data will be gathered at Monterey, Cement Ship, Capitola, Santa Cruz, and Princeton piers, and at Avila. Shoreline fishing data will be gathered in the rocky area between Asilomar and the Monterey breakwater (now within the sea otter's range), along the West Cliff Drive area of Santa Cruz from Santa Cruz Point to Natural Bridges State Beach, and in the rocky area between Franklin Point and Pigeon Point. Skiff fishing will be sampled at Santa Cruz, Princeton, and Avila harbors. Skindiving sampling will be conducted throughout Santa Cruz and San Luis Obispo counties.

Commercial crab fishing will be monitored near Princeton and Avila harbors, and commercial sea urchin fishing near Santa Cruz and Avila will be monitored if it continues on a regular basis.

#### ACTIVITY 4. PISMO CLAM SURVEYS IN AND OUT OF SEA OTTER RANGE AT MONTEREY BAY AND PISMO BEACH TO DETERMINE THE RELATIVE IMPACT OF OTTER VERSUS HUMAN USE IMPACT ON CLAM POPULATIONS

A combination of three study methods will be utilized to monitor and compare the Pismo clam populations in and outside the sea otter's range:

1. Trench Transect Studies. The trench transect is dug along a line which is marked in segments 3 meters (9.8 ft) in length. A trench 0.15 m (6 in.) wide is dug along this line, and each two segments represents a square meter of sand dug to a depth of about 0.2 m (8 in.). All clams dug are recorded by segment, measured, and aged. Sand dug from above the water line in the intertidal zone is thrown onto the beach, and the clams are retrieved. Below the water line to about knee depth, the trench is probed with a garden fork and when a clam is struck, it is dug out and collected. Trenches range in length from about 60 to 110 meters (197 to 361 ft) depending upon the steepness of the beach. The trench transect method was designed to measure recruitment strength of young clams and may not always adequately represent relative numbers of clams over 76 mm (3 in.) in largest diameter. Pismo clams are not evenly distributed laterally along the beach. There are dense clusters in some areas, and in other areas there may be only scattered individuals. There is also a depth zonation by size with smaller-sized clams due in part to the normal pattern of distribution but may also be accentuated by heavy clamming effort in the shallower areas, thus lowering the density of larger clams where it is easier to clam. Subtidal areas outside the clamming zone contain dense populations of larger clams at most beaches. A Pismo clam research preserve will be established adjacent to Pismo Beach pier.

2. Fifteen-Minute Digs. Upon completion of the trench transect, a "15-minute" dig is conducted subtidally at the end of the transect line to measure relative success in retrieving larger-sized clams. Clams dug during this timed dig represent a catch-per-hour value. Greater numbers of larger clams are sampled in the 15-minute dig period than in the transect, and even though there is a high variability in numbers of clams collected, these digs do give an indication of the relative abundance of sublegal clams along the beaches.

3. Clammer Interviews. Numbers of clambers in each party, time spent clamming by each clammer, and number of legal-sized clams taken are recorded and used to determine the relative catch per unit of effort for the area sampled.

Research Program II—Development and Improvement of Marking, Tagging, Capture, and Radio Telemetry Techniques: Program Description. Even though capturing and tagging techniques have been developed to the operational level, improvement of all these techniques is needed. Capturing by tangle nets has resulted in mortality of about 10 percent of the animals taken by this gear, and rehanging experiments should be conducted to reduce or possibly eliminate this mortality. The scuba-held underwater device presents no stress to the animals, but this device may be difficult to operate in capture of animals not asleep and draped with kelp fronds. Capture of animals resting off sandy beaches will be necessary, and tangle nets or an improved manipulation of the underwater device may be necessary.

Metal flipper tags have proven reliable, but a small unknown loss does occur. Color combinations have been tried, but plastic covering is chewed off and paint is either chewed or flakes off the base metal. New

tags should be developed that will retain multiple colors.

Marking of fur by bleaches and dyes for short-term marking has not been attempted, and experiments will be conducted to supplement tagging.

Radio collars have been successfully used for no more than about 3 days, but the results of the preliminary attempts justify development of better techniques that will last for at least 1 month. Nighttime feeding behavior is little known, and only through radio-collared animals can this behavior be monitored. Behavior of translocated animals can be determined with radio telemetry.

#### ACTIVITY 1. IMPROVEMENT OF CAPTURE TECHNIQUES

The scuba-held device is efficient for capture of sleeping animals in kelp canopies, but capture of animals resting off sandy beaches or of animals in the southern part of their range where harassment has made them more alert may be difficult. Experiments with underwater communications to direct the divers to the animals while remaining underwater, use of Farallon power equipment to travel underwater from the boat to the animal, and use of other applicable equipment will be undertaken.

The tangle net will be rehung to eliminate the potential mortality of animals near the ends of the nets at the floats where they may not be able to come to the surface for air. Use of the nets in daytime only and setting the net in water depths greater than the depth to which a struggling animal can lower the net and cause it to become entangled on the substrate will eliminate the causes of netting mortality.

#### ACTIVITY 2. IMPROVEMENT OF TAGGING, MARKING, AND RADIO TELEMETRY TECHNIQUES

Multiple color flipper tags have been used, but the paint and plastic surface over the metal base becomes lost. Construction of all metal tags with color imbedded within the metal will be investigated. Multiple tagging of the same animal with tags on each flipper will determine tag loss. Marking of fur will be attempted with various bleaches and natural dyes.

Radio collars will be attached to animals in the Monterey area for experimentation. Handling of animals being tagged has not proved satisfactory, and holding tables will be designed to facilitate attachment of the collar. Upon development of satisfactory techniques, animals in the migrant front, especially over sandy bottom, will be captured and followed for at least a month.

*Research Program III—Determination of Mortality and Limiting Factors:* Program Description. Necropsy data are collected on a routine basis for fresh carcasses. Necropsy procedures have been developed by the Department, and presently volunteer help from veterinarians and other public members along with Department personnel are keeping analysis of all animals up to date. Several series of data not now routinely taken will be collected from fresh material. One of these collections is for blood to be used to develop a serum bank for the purpose of detecting virus antibodies. Other uses of blood are for reproductive cycle determination and taxonomic studies. Histopathological determinations have been made such as during an increase of deaths indicating a nutritional dieoff, but these collections are not being made on a routine basis for all animals. These data are necessary to determine with accuracy the exact primary and secondary causes of death. Volunteer time has been sufficient to conduct these necropsies, but with collection of additional material and need of analysis of these additional data, more formal arrangements will be made using project funding.

#### ACTIVITY 1. MORTALITY DETERMINATION

Necropsy procedures will be expanded to include development of a serum bank for presence of virus antibodies. This collection will be analyzed by the University of California, Davis, or other institutions capable of determining such analysis. In addition, routine collections of organ tissues will be undertaken for histopathological studies.

The routine collection and general necropsy data collection will be continued on a voluntary basis where possible, although with the collection of several additional parameters, more formal arrangements may be necessary. Department personnel will remain responsible to see that these necropsies are performed.

#### ACTIVITY 2. OILED FUR EXPERIMENTS

Experiments on oiled animals is being proposed in the Alaskan area, but it is not known just what the experiments will encompass. If experimentation with emulsifiers is not being conducted in Alaska, then this job will be requested.

Emulsifiers (surfactants) have been employed to slow down and preclude massive oil slicks from traveling great distances. New emulsifiers are now on the market that will probably not have the same lethal effects caused by the detergents used in the "Torrey Canyon" spill. Eight animals are being requested to experiment with oil in tanks on shore. These animals will be males from the periphery of the range where there is an apparent surplus of animals.

*Research Program IV—Dynamics of Sea Otter Population: Program Description.* Although considerable knowledge has been accumulated for many aspects of sea otter basic life history, biology, and behavioral patterns, additional information must be obtained for development of a final management scheme. The sea otter behaviorally is a highly plastic animal which has permitted it to adapt to a variety of habitats. The otter's behavior is influenced by such variables as age, sex, and the availability of protective kelp canopies or coves. Habitat type or the length of time a habitat is occupied by sea otters influences the kinds of food items available and, therefore, the feeding behavior of the animals. An important consideration in the behavior of sea otters is whether an individual otter is an advanced forager, in a migrant front, or in a stabilized segment of the population.

Only limited research has been conducted on the migrant front and it is particularly critical to further investigate the dynamics of the migrant front to assess the impact of the State's management proposal. This research proposal will determine the effects of translocation on animals in the migrant front or otherwise near the periphery of the range.

#### ACTIVITY 1. DYNAMICS OF MIGRANT FRONT

Intensive tagging of animals in the migrant front as well as of young animals throughout the range will be conducted. In addition, advanced foragers and wandering animals far in advance of the migrant front will be captured and tagged, but in this case, except for animals off the Pismo clam beaches of San Luis Obispo and Santa Barbara counties, these animals will be released where captured to determine their behavior.

Social interactions and individual behavior of animals near the peripheries will be studied from shore and boat. Sex composition, age (size), movements, and territorial behavior will be emphasized. This work will be coordinated with similar studies conducted by FWS personnel and Marine Mammal Commission grant and permit recipients.

The removal of animals that migrate south of Point San Luis is necessary for several rea-

sons. One of these has been outlined above: to protect baseline controls, the Pismo clam research preserve, and important shellfish fisheries. Two additional research needs can be satisfied only by removal and translocation of sea otters that move south of Point San Luis. One of these is to determine methodology of translocating animals from the southern to the northern migrant front and to develop procedures for establishment of new sea otter colonies. The second is of utmost importance in respect to future proposed containment management.

Behavioral studies of the sea otter have revealed the plasticity of this species to adapt to new habitats and forage items. Females with pups, adult dominant males, and subadult newly dependent males behave differently in various habitats. Migrant front animals behave differently along sandy beaches than when foraging in kelp beds, and females with pups have not as yet been seen foraging along sandy beaches. Therefore, the effects of removal of extralimital animals on the nearby population in an experimental management regime cannot be determined through the analysis of behavior of animals in other areas of the population. The animals from Point Buchon to Point San Luis are in a unique area bordered by extensive sandy beaches both to the north and south, an area containing almost no *Macrocystis* canopies, and the sea otter population is dominated apparently with migrant front young males. Only three females with pups are present in the area out of a total count of 125 animals from Morro Rock to Pecho Rock.

Thus, it is necessary to experimentally remove animals moving to the south of Point San Luis to determine the effects of removal of migrant front animals on the remaining established population behind the front. And, this must be done at the site of the proposed containment limit. Population parameters of herd structure, reproductive success, and social structure must be determined in an actual removal situation at the proposed containment site. Social structure such as off Monterey and Pacific Grove or in the center of the range near Cape San Martin cannot be projected to determine the effects of removal of animals at Point San Luis because of the plasticity of behavior of this animal in response to variable habitats, food items, predation by sharks, kelp harvesting, composition of kelp canopies (*Nereocystis* as opposed to *Macrocystis*), and other variables such as temperature differences, human interference by boating, skin diving, feeding of animals, etc. This translocation study must be evaluated over at least an 8-year period.

#### ACTIVITY 2. TRANSLLOCATION EXPERIMENTS

Behavior of translocated animals has been erratic and difficult to classify. Some "homing" behavior may be present, and extensive wandering was noted in the Oregon and Washington transplants. There may be need of holding pens to "adjust" translocated animals to a new territory, and there may be need for presence of females to keep males from constantly wandering. Animals will be translocated from the Point Buchon/Pismo Beach area in the interim period before the proposed management program is initiated. All animals will be translocated to the northern periphery of the range near Santa Cruz and will be tagged and monitored.

*Research Program V—Sea Otter Censuses:* Census techniques were refined in 1974 and 1975, and a satisfactory census technique has been developed. Annual censuses have been made since 1968, but only in 1973, 1974, 1975, and 1976 have aerial counts been adjusted by ground-truth data to arrive at empirical estimates. Statistical analysis by the Department's statistical unit at Menlo Park has

yielded a censusing model that will yield estimates to within 90 percent accuracy.

Analysis of the 1976 census (June 14-17) indicate annual censuses need not be made. The variance of the estimate about equals the potential annual increase in numbers or possibly the number of animals that could die in a nutritional dieoff such as witnessed in 1972-73. Therefore, a total census with aerial counts and ground truth comparisons need not be made more often than 3 or 4 years to significantly detect an increase or decrease in the total population. In the 1976 census, emphasis was made on obtaining as many ground counts as possible over the 4-day census with the result that about 87 percent of the total estimate was observed in a combination of aerial and ground counts. Annual population indices can be made through ground counts alone to detect any major change in population numbers within the established range. Periodic censusing several times a year will still be made near the peripheries of the range as part of the migrant front study.

#### ACTIVITY 1. AERIAL-GROUND SEA OTTER CENSUS

The census will be made in May or June. Four days of flying are scheduled. Extensive ground truth stations will be occupied each day. Personnel from several Department branches and regions participate in this census without charge to the project. Statistical analysis of census data will be made by Biometrics, ORB personnel at Menlo Park as part of their routine activities. These censuses will be conducted every 3 or 4 years as the needs arise.

#### ACTIVITY 2. PERIODIC CENSUSES NEAR THE RANGE PERIPHERIES

Sea otter distribution and abundance will be determined within 20 miles of each migrant front several times each year. Ground truth data on these flights will be made only near the peripheries. These flights will be made in conjunction with kelp canopy aerial photography studies made by the Central California Marine Sportfish Survey.

*Research Program VI—Public Information, Analysis and Publication of Results, Miscellaneous Duties, and Attending Conferences and Symposia:* Program Description. Collection of data requires analysis and publication of important findings and dissemination of this information to interested parties and the public. Attending conferences and symposia is important to the development of public awareness of our studies and to enhance professionalism and expertise of Department employees.

#### ACTIVITY 1. PUBLIC INFORMATION, ANALYSIS AND PUBLICATION OF RESULTS, MISCELLANEOUS DUTIES, AND ATTENDING CONFERENCES AND SYMPOSIA

Public information will be through Department of Fish and Game Conservation Education personnel as well as in answer to requests for information from the public or press representatives. Factual data and conclusions of research studies are public property and will be made available to anyone requesting such data and information.

Project personnel will be encouraged to attend and participate in conferences and symposia on related subjects that are of importance in solution of project goals.

Documents and other information submitted in connection with this application are available for public inspection during normal business hours at the Service's office in Suite 600, 1612 K Street, N.W., Washington, D.C.

Interested persons may comment on this application by submitting written

data, views, or arguments, preferably in triplicate, to the Director (FWS/LE), U.S. Fish and Wildlife Service, Post Office Box 19183, Washington, D.C. 20036. This application has been assigned File Number PRT 2-319-10-; please refer to this number when submitting comments. All relevant comments received on or before November 8, 1976.

Dated: September 2, 1976.

C. R. BAVIN,  
Chief, Division of Law Enforcement,  
U.S. Fish and Wildlife  
Service.

[FR Doc.76-26268 Filed 9-7-76;8:45 am]

#### Bureau of Reclamation

[INT DES 76-34]

#### FRUITLAND MESA PROJECT, COLORADO

##### Availability of Draft Environmental Statement

Pursuant to Section 102(2)(C) of the National Environmental Policy Act of 1969, the Department of the Interior has prepared a draft environmental statement on the proposed Fruitland Mesa Project in Colorado. This water resource project would develop water for irrigation, fishery enhancement, and recreation in Delta, Montrose, and Gunnison Counties. Written comments on the draft environmental statement should be submitted to the Regional Director within 45 days of this notice.

Copies are available for inspection at the following locations:

Office of Assistant to the Commissioner—Ecology, Room 7622, Bureau of Reclamation, Department of the Interior, Washington, D.C. 20240, Telephone (202) 343-4991.

Division of Engineering Support, Technical Services and Publications Branch, Engineering and Research Center, Denver Federal Center, Denver, Colorado 80225, Telephone (303) 234-3006.

Office of the Regional Director, Upper Colorado Region, Bureau of Reclamation, 125 South State Street, Salt Lake City, Utah 84111, Telephone (801) 524-5404.

Western Colorado Projects Office, Bureau of Reclamation, Building 8, ERDA Compound, Grand Junction, Colorado 81501, Telephone (303) 242-9621.

Single copies of the draft statement may be obtained on request from the Commissioner of Reclamation or the Regional Director. Please refer to the statement number above.

Dated: September 3, 1976.

RONALD G. COLEMAN,  
Assistant Secretary of the Interior.

[FR Doc.76-26337 Filed 9-7-76;8:45 am]

#### FRUITLAND MESA PROJECT, COLORADO

##### Public Hearing on Draft Environmental Statement

Pursuant to Section 102(2)(C) of the National Environmental Policy Act of 1969, the Department of the Interior has prepared a draft environmental statement for the proposed Fruitland Mesa Project in Colorado. This statement (INT DES 76-34, dated September 3,

1976) has been made available to the public.

The draft environmental statement discusses the proposed construction and operation of Milly K. Goodwin Lake, a 584-surface acre reservoir, and a water delivery system. Water would be stored and distributed for irrigation, fisheries, and recreation development. Construction would begin in early 1977.

A public hearing will be held in Crawford, Colorado, at the Crawford Schol Gymnasium from 2 to 9 p.m. on October 7, 1976, to receive views and comments from interested organizations or individuals relating to the environmental impacts of this project. Oral statements at the hearing will be limited to a period of ten (10) minutes. Speakers will not trade their time to obtain a longer oral presentation; however, the person authorized to conduct the hearing may allow any speaker to provide additional oral comment after all persons wishing to comment have been heard. Speakers will be scheduled according to the time preference mentioned in their letter or telephone request, whenever possible, and any scheduled speaker not present when called will lose his privilege in the scheduled order and his name will be recalled at the end of the scheduled speakers. Requests for scheduled presentation will be accepted up to 4:30 p.m., October 1, 1976, and any subsequent requests will be handled on a first-come-first-served basis following the scheduled presentation.

Organizations or individuals desiring to present statements at the hearing should contact Regional Director David L. Crandall, Bureau of Reclamation, Room 7201, 125 South State Street, Salt Lake City, Utah 84111, telephone (801) 524-5592, and announce their intention to participate. Written comments from those unable to attend and from those wishing to supplement their oral presentation at the hearing should be received by October 18, 1976, for inclusion in the hearing record.

Dated: September 3, 1976.

CLIFFORD I. BARRETT,  
Acting Commissioner of Reclamation.

[FR Doc.76-26336 Filed 9-7-76;8:45 am]

#### DEPARTMENT OF AGRICULTURE

##### Commodity Credit Corporation

##### 1976 CROP PEANUT WAREHOUSE STORAGE LOANS

##### Interim Procedure

Notice is hereby given, that no peanut price support loan advances will be made to producers on 1976 crop peanuts until further notice, pending a decision on a recent litigation instituted against Earl Butz, Secretary of Agriculture, in the Middle District of Georgia.

Until further notice, any producer who has eligible 1976 crop peanuts which he desires to place under the warehouse stored loan program may deliver them to an approved warehouse. Before unloading the peanuts into the warehouse, they will be weighed, inspected and the re-

suits entered on USDA form MQ-94 (Inspection Certificate and Sales Memorandum). The producer will receive a copy of the form to be returned to the warehouseman for computation of the loan advance after final loan price differentials are announced.

When any such peanuts are unloaded into the warehouse, Commodity Credit Corporation will assume the risk of physical loss or damage to the peanuts to the same extent as if a loan advance had been made to the producer on such peanuts. A warehouse receipt and draft for the loan advance will be issued by the warehouseman to the producer after computation of the loan advance.

The warehouseman shall otherwise handle and store the peanuts in accordance with the terms of his storage contract with the peanut association.

Effective date: September 7, 1976.

Signed at Washington, D.C., on September 2, 1976.

KENNETH E. FRICK,  
Executive Vice President,  
Commodity Credit Corporation.

[FR Doc.76-26196 Filed 9-7-76;8:45 am]

## DEPARTMENT OF COMMERCE

Bureau of the Census

### CENSUS ADVISORY COMMITTEE OF THE AMERICAN STATISTICAL ASSOCIATION

#### Public Meeting

Pursuant to section 10(a)(2) of the Federal Advisory Committee Act (5 U.S.C., Appendix I (Supp. V, 1975)), notice is hereby given that the Census Advisory Committee of the American Statistical Association will convene on September 30 and October 1, 1976 at 9:00 a.m. The Committee will meet in Room 2424, Federal Building 3, at the Bureau of the Census in Suitland, Maryland.

The Census Advisory Committee of the American Statistical Association was established in 1919 to advise the Director, Bureau of the Census in all aspects of the Bureau's statistical programs, and to respond to the Bureau's requests for opinions and judgments in the whole area of its operations.

The Committee is composed of 15 members appointed by the President of the American Statistical Association.

The agenda for the September 30 meeting is: (1) Topics of current interest at the Bureau of the Census, (2) major recommendations of the National Academy of Sciences regarding the national crime surveys, (3) public use microdata files—confidentiality policies and practices, and (4) sampling plans for the 1978 Census of Agriculture.

The agenda for the October 1 meeting, which will adjourn at 12:30 p.m. is: (1) Discussion of Committee recommendations, (2) status of 1980 census testing program, and (3) survey of business uses of statistics.

The meeting will be open to the public, and a brief period will be set aside on

October 1 for public comment and questions. Extensive questions or statements must be submitted in writing to the Committee Control Officer at least 3 days prior to the meeting.

Persons wishing additional information concerning this meeting or who wish to submit written statements may contact the Committee Control Officer, Mr. James L. O'Brien, Assistant Chief, Statistical Research Division, Bureau of the Census, Room 3573, Federal Building 3, Suitland, Maryland. (Mail address: Washington, D.C. 20233). Telephone (301) 763-5350.

VINCENT P. BARABBA,  
Director,  
Bureau of the Census.

SEPTEMBER 2, 1976.

[FR Doc.76-26228 Filed 9-7-76;8:45 am]

### National Oceanic and Atmospheric Administration

#### GULF OF MEXICO REGIONAL FISHERY MANAGEMENT COUNCIL

##### Meeting

Notice is hereby given of a meeting of the Gulf of Mexico Regional Fishery Management Council established by Section 302 of the Fishery Conservation and Management Act of 1976 (P.L. 94-265).

The Gulf of Mexico Council will have authority, effective March 1, 1977, over fisheries within the fishery conservation zone adjacent to the States of Texas, Louisiana, Mississippi, Alabama, and Florida. The Council will, among other things, prepare and submit to the Secretary of Commerce fishery management plans with respect to the fisheries within its area of authority; prepare comments on applications for foreign fishing; and conduct public hearings as it deems necessary.

This is the first meeting of the Council and it will deal primarily with organizational issues. The meeting will be held Tuesday and Wednesday, October 12 and 13, 1976, in the Cypress Room, New Orleans Airport Hilton Inn, 901 Airline Highway, Kenner, Louisiana. The meeting will convene at 9:00 a.m. and adjourn at approximately 5:00 p.m. each day.

##### PROPOSED AGENDA

1. Election of Officers.
2. Organization (including office location).
3. Practices and Procedures.
4. Fishery Management Activities.

This meeting is open to the public and there will be seating for approximately 50 public members available on a first come, first served basis. Members of the public having an interest in specific items for discussion are also advised that agenda changes are at times made prior to the meeting. To receive information on changes, if any, made to the agenda, interested members of the public should contact:

Regional Director, National Marine Fisheries Service, Duval Building, 9450 Gandy Boulevard, St. Petersburg, Florida 37702.  
on or about October 1, 1976.

At the discretion of the Council, interested members of the public may be permitted to speak at times which will allow the orderly conduct of Council business. Interested members of the public who wish to submit written comments should do so by addressing the Regional Director at the above address. To receive due consideration and facilitate inclusion of these comments in the record of the meeting, typewritten statements should be received within 10 days after the close of the Council meeting.

Dated: September 1, 1976.

JACK W. GEHRINGER,  
Deputy Director, National  
Marine Fisheries Service.

[FR Doc.76-26139 Filed 9-7-76;8:45 am]

### NEW ENGLAND REGIONAL FISHERY MANAGEMENT COUNCIL

#### Meeting

Notice is hereby given of a meeting of the New England Regional Fishery Management Council established by section 302 of the Fishery Conservation and Management Act of 1976 (P.L. 94-265).

The New England Council will have authority over fisheries within the fishery conservation zone adjacent to the States of Maine, New Hampshire, Massachusetts, Rhode Island, and Connecticut. The Council will, among other things, prepare and submit to the Secretary of Commerce fishery management plans with respect to fisheries within its area of authority; prepare comments on applications for foreign fishing; and conduct public hearings as it deems necessary. This is the first meeting of the Council and it will deal primarily with organizational issues. The meeting will be held Tuesday, Wednesday, and Thursday, October 5-7, 1976, at the Ramada Inn, 225 McClellan Highway, East Boston, Massachusetts. The meeting will convene at 9:00 a.m. and adjourn at approximately 5:00 p.m.

##### PROPOSED AGENDA

1. Election of officers.
2. Organization (including office location).
3. Practices and procedures.
4. Fishery management activities.

This meeting is open to the public and there will be seating for approximately 30 public members available on a first come, first served basis. Members of the public having an interest in specific items for discussion are also advised that agenda changes are at times made prior to the meeting. To receive information on changes, if any, made to the agenda, interested members of the public should contact:

Mr. Donald Birkholz, National Oceanic and Atmospheric Administration, National Marine Fisheries Service, State Fish Pier, Gloucester, Massachusetts 01930.

on or about September 21, 1976.

At the discretion of the Council, interested members of the public may be permitted to speak at times which will allow the orderly conduct of Council business. Interested members of the pub-

lic who wish to submit written comments should do so by addressing Mr. Donald Birkholz at the above address. To receive due consideration and facilitate inclusion of these comments in the record of the meeting, typewritten statements should be received within 10 days after the close of the Council Meeting.

Dated: September 1, 1976.

JACK W. GEHRINGER,  
Deputy Director National  
Marine Fisheries Service.

[FR Doc.76-26137 Filed 9-7-76;8:45 am]

#### NORTH PACIFIC REGIONAL FISHERY MANAGEMENT COUNCIL

##### Meeting

Notice is hereby given of a meeting of the North Pacific Regional Fishery Management Council established by Section 302 of the Fishery Conservation and Management Act of 1976 (P.L. 94-265).

The North Pacific Council will have authority, effective March 1, 1977, over fisheries within the fishery conservation zone adjacent to the State of Alaska. The Council will, among other things, prepare and submit to the Secretary of Commerce fishery management plans with respect to the fisheries within its area of authority; prepare comments on applications for foreign fishing; and conduct public hearings as it deems necessary.

This is the first meeting of the Council and it will deal primarily with organizational issues. The meeting will be held Tuesday through Friday, October 5 through 8, 1976, at the Alaska Department of Fish and Game Support Building, Juneau, Alaska. The meetings will convene at 9:00 a.m. and adjourn for the day at approximately 4:30 p.m., each day.

##### PROPOSED AGENDA

1. Election of Officers.
2. Organization (including office location).
3. Practices and procedures.
4. Discussion of proposed management plans.
5. Discussion of priorities on management plans.

This meeting is open to the public and there will be seating for approximately 50 public members available on a first come, first served basis. Members of the public having an interest in specific items for discussion are also advised that agenda changes are at times made prior to the meeting. To receive information on changes, if any, made to the agenda, interested members of the public should contact:

Mr. Jim H. Branson, National Marine Fisheries Service, P.O. Box 1668, Juneau, Alaska 99802.

on or about September 24, 1976.

At the discretion of the Council, interested members of the public may be permitted to speak at times which will allow the orderly conduct of Council business. Interested members of the public who wish to submit written comments should do so by addressing Mr. Jim H. Branson

at the above address. To receive due consideration and facilitate inclusion of the comments in the record of the meeting, typewritten statements should be received within 10 days after the close of the Council meeting.

Dated: September 1, 1976.

JACK W. GEHRINGER,  
Deputy Director, National  
Marine Fisheries Service.

[FR Doc.76-26136 Filed 9-7-76;8:45 am]

#### PACIFIC REGIONAL FISHERY MANAGEMENT COUNCIL

##### Meeting

Notice is hereby given of a meeting of the Pacific Regional Fishery Management Council established by Section 302 of the Fishery Conservation and Management Act of 1976 (P.L. 94-265).

The Pacific Council will have authority, effective March 1, 1977, over fisheries within the fishery conservation zone adjacent to the States of California, Oregon and Washington. The Council will, among other things, prepare and submit to the Secretary of Commerce fishery management plans with respect to the fisheries within its area of authority; prepare comments on applications for foreign fishing; and conduct public hearings as it deems necessary.

This is the first meeting of the Council and it will deal primarily with organizational issues. The meeting will be held Tuesday, Wednesday, Thursday and Friday, October 12 through 15, 1976, in the auditorium of the Northwest Fisheries Center, National Marine Fisheries Service, 2725 Montlake Boulevard, East, Seattle, Washington. The meeting will convene at 1:30 p.m. on October 12, and at 9:00 a.m. the other days, and adjourn at approximately 5:00 p.m. on October 12 through 14, and at 12:00 noon on October 15, 1976.

##### PROPOSED AGENDA

1. Election of officers.
2. Organization (including office location).
3. Practices and procedures.
4. Fishery management activities.
5. Review of applications for foreign fishing permits, if any.

This meeting is open to the public and there will be seating for approximately 50 public members available on a first come, first served basis. Members of the public having an interest in specific items for discussion are also advised that agenda changes are at times made prior to the meeting. To receive information on changes, if any, made to the agenda, interested members of the public should contact:

Mr. John T. Gharrett, National Oceanic and Atmospheric Administration, National Marine Fisheries Service, 1700 Westlake Avenue North, Seattle, Washington 98109.

on or about October 4, 1976.

At the discretion of the Council, interested members of the public may be permitted to speak at times which will allow the orderly conduct of Council business. Interested members of the public who

wish to submit written comments should do so by addressing Mr. John T. Gharrett at the above address. To receive due consideration and facilitate inclusion of these comments in the record of the meeting, typewritten statements should be received within 10 days after the close of the Council meeting.

Dated: September 1, 1976.

JACK W. GEHRINGER,  
Deputy Director, National  
Marine Fisheries Service.

[FR Doc.76-26138 Filed 9-7-76;8:45 am]

#### SOUTH ATLANTIC REGIONAL FISHERY MANAGEMENT COUNCIL

##### Meeting

Notice is hereby given of a meeting of the South Atlantic Regional Fishery Management Council established by Section 302 of the Fishery Conservation and Management Act of 1976 (Pub. L. 94-265).

The South Atlantic Council will have authority, effective March 1, 1977, over fisheries within the fishery conservation zone adjacent to the States of North Carolina, South Carolina, Georgia and Florida. The Council will, among other things, prepare and submit to the Secretary of Commerce fishery management plans with respect to the fisheries within its area of authority; prepare comments on applications for foreign fishing; and conduct public hearings as it deems necessary. This is the first meeting of the Council and it will deal primarily with organizational issues. The meeting will be held Monday and Tuesday, October 18 and 19, 1976, in the Statesman Room, Sheraton Motor Inn, 5981 Rivers Avenue, Charleston, South Carolina. The meeting will convene at 9 a.m. and adjourn at approximately 5 p.m. each day.

##### PROPOSED AGENDA

1. Election of Officers.
2. Organization (including office location).
3. Practices and Procedures.
4. Fishery Management Activities.

This meeting is open to the public and there will be seating for approximately 50 public members available on a first come, first served basis. Members of the public having an interest in specific items for discussion are also advised that agenda changes are at times made prior to the meeting. To receive information on changes, if any, made to the agenda, interested members of the public should contact:

Regional Director, National Marine Fisheries Service, Duval Building, 9450 Gandy Boulevard, St. Petersburg, Fla. 33702.

on or about October 8, 1976.

At the discretion of the Council, interested members of the public may be permitted to speak at times which will allow the orderly conduct of Council business. Interested members of the public who wish to submit written comments should do so by addressing the Regional Director at the above address. To receive due consideration and facilitate inclusion of these comments in the record

of the meeting, typewritten statements should be received within 10 days after the close of the Council meeting.

Dated: September 1, 1976.

JACK W. GEHRINGER,  
Deputy Director,  
National Marine Fisheries Service.

[FR Doc. 76-26140 Filed 9-7-76; 8:45 am]

#### WESTERN PACIFIC REGIONAL FISHERY MANAGEMENT COUNCIL

##### Meeting

Notice is hereby given of a meeting of the Western Pacific Regional Fishery Management Council established by Section 302 of the Fishery Conservation and Management Act of 1976 (P.L. 94-285).

The Western Pacific Council will have authority, effective March 1, 1977, over fisheries within the fishery conservation zone adjacent to the State of Hawaii, American Samoa and Guam. The Council will, among other things, prepare and submit to the Secretary of Commerce fishery management plans with respect to the fisheries within its area of authority; prepare comments on applications for foreign fishing; and conduct public hearings as it deems necessary. This is the first meeting of the Council and it will deal primarily with organizational issues. The meeting will be held Tuesday, Wednesday, and Thursday, October 19 through 21, 1976, at the Pagoda Hotel, 1525 Rycroft Street, Honolulu, Hawaii. The meeting will convene at 9 a.m. and adjourn at approximately 5 p.m. on October 19 and 20, and convene at 9 a.m. and adjourn at approximately 12 noon on October 21, 1976.

##### PROPOSED AGENDA

1. Election of Officers.
2. Organization (including office location).
3. Practices and Procedures.
4. Status of Fisheries.
5. Development of Fishery Management Plans.

This meeting is open to the public and there will be seating for approximately 50 public members on a first come, first served basis. Members of the public having an interest in specific items for discussion are also advised that agenda changes are at times made prior to the meeting. To receive information on changes, if any, made to the agenda, interested members of the public should contact:

Mr. Robert Iverson, c/o Honolulu Laboratory,  
National Marine Fisheries Service, P.O.  
Box 3830, Honolulu, Hawaii 96812.

on or about October 8, 1976.

At the discretion of the Council, interested members of the public may be permitted to speak at times which will allow the orderly conduct of Council business. Interested members of the public who wish to submit written comments should do so by addressing Mr. Robert Iverson at the above address. To receive due consideration and facilitate inclusion of these comments in the record of the meeting, typewritten statements should

be received within 10 days after the close of the Council meeting.

Dated: September 1, 1976.

JACK W. GEHRINGER,  
Deputy Director,  
National Marine Fisheries.

[FR Doc. 76-26141 Filed 9-7-76; 8:45 am]

#### Office of the Secretary

[Dept. Organization Order 10-4; Amdt. 2]

#### ASSISTANT SECRETARY FOR ECONOMIC DEVELOPMENT

##### Statement of Organization, Functions and Delegation of Authority

This order effective August 19, 1976 further amends the materials appearing at 40 FR 58702 of December 4, 1975 and of 40 FR 58878 of December 19, 1975.

Department Organization Order 10-4 of September 30, 1975, is hereby amended as shown below. The purpose of this amendment is to delegate the authority of the Secretary to administer Title I of the Public Works Employment Act of 1976, Pub. L. 94-369, to the Assistant Secretary for Economic Development (subparagraphs 4.01g. and 5.o.).

1. In Section 4. Delegation of authority, a new subparagraph .01g. is added to read as follows

"g. The Local Public Works Capital Development and Investment Act of 1976, which is Title I of the Public Works Employment Act of 1976 (Pub. L. 94-369, 42 U.S.C. 6701 et seq.)."

2. In Section 5. General Functions, a. a new subparagraph o. is added to read as follows:

"o. Assure the adequate and effective administration of Title I of the Public Works Employment Act of 1976, including the monitoring and servicing of grants."

b. In pen and ink reletter the current subparagraph o. as p.

Effective date: August 19, 1976.

JOSEPH E. KASPUTYS,  
Assistant Secretary for  
Administration.

[FR Doc. 76-26203 Filed 9-7-76; 8:45 am]

[Dept. Organization Order 20-4]

#### OFFICE OF EMERGENCY READINESS

##### Statement of Organization and Functions

This order effective August 10, 1976 supersedes the material appearing at 37 FR 21863 of October 14, 1972.

SECTION 1. Purpose.—.01 This order prescribes the functions and organization of the Office of Emergency Readiness.

.02 This revision updates terminology and references, abolishes the position of Deputy Director, adds a specific delegation for coordination of the preparation of plans and development of programs required by Executive Order 11490, as amended, and it deletes two functions—the furnishing of full-time Departmental representation at the Special Facility of

the Federal Preparedness Agency since such full-time representation is not required, and the assignment and training of the Commerce Communications Corps since intermittent employees now carry out duties formerly handled by the Communications Corps.

Sec. 2. Status and line of authority.—.01 The Office of Emergency Readiness, (OER), a Departmental Office, shall be headed by a Director, who shall report and be responsible to the Assistant Secretary for Administration.

.02 The Director, OER, is delegated the authority conferred on the Assistant Secretary for Administration by Department Organization Order 10-5 to coordinate departmentwide the preparation of the national emergency plans and the development of the preparedness program required by Executive Order 11490, as amended.

.03 The Director, OER, may redelegate his authority to appropriate officials of the OER and to operating units of the Department subject to such conditions in the exercise of such authority as he may prescribe.

Sec. 3. Functions.—.01 Pursuant to the authority vested in the Assistant Secretary for Administration by Department Organization Order 10-5 and subject to such policies and directives as may be prescribed, OER shall be the primary contact within the Department for identifying emergency readiness programs within the Department, and for the functional monitoring, reviewing and reporting on all such programs departmentwide. To carry out this responsibility, OER shall perform the following functions:

a. Direct the development, coordination, testing, evaluation and implementation of all emergency plans and programs in the fields of continuity of government, natural disaster recovery assistance, civil preparedness, and domestic crises which affect the Department of Commerce and its operating units;

b. Provide leadership and guidance to operating units in the development of their emergency plans and review these plans for adequacy in terms of assigned functions, and assure the correlation and compatibility of all emergency preparedness plans and programs and the maximum utilization of existing resources and capabilities of the Department;

c. Administer the Department's National Defense Executive Reserve Program;

d. Prepare, maintain and control emergency assignments for the Secretary, Secretarial officers and others, as required, and brief these officials on the current status of the Department's emergency functions;

e. Establish and maintain an emergency alerting procedure for headquarters and field personnel;

f. Plan for, coordinate, and evaluate emergency tests and exercises conducted by the Department;

g. Coordinate the plans, procedures and activities of the Department and

its operating units in disaster assistance and crises management programs to assure the Department's resources will be promptly mobilized to provide assistance at national, regional, and local levels;

h. Establish and maintain a Self-Protection Organization to provide for the protection and welfare of employees during emergencies;

i. Maintain a Command Post in the Main Commerce Building for use by Secretarial Officers and others in the event of a national defense emergency;

j. Develop and coordinate Department Administrative Orders pertaining to emergency preparedness plans, procedures, operations and related programs of the Department;

k. Control approval of the obligation of funds for emergency activities charged against the Working Capital Fund;

l. Review and evaluate budget requests of other areas of the Department concerned with emergency programs; continuity of Government programs and NATO civil emergency planning support;

m. Coordinate Departmental reports to the Joint Congressional Committee on Defense Production, Federal Preparedness Agency (FPA/GSA), and other Federal agencies on emergency matters; and

n. Develop and administer, both at national and field levels, the Department's policies on:

1. Continuity of essential functions, including lines of succession; predelegation of emergency authorities; composition and training of emergency cadres; a vital records program; emergency communications; a damage assessment capability; and,

2. The acquisition, maintenance, staffing, and operations of all emergency operating facilities including the Department's Headquarters and its alternate Emergency Operating Facilities.

**Sec. 4. Specified authority.**—.01 In addition to the authority implicit in and essential to carrying out the functions of the office, the Director, OER, is also designated the Emergency Coordinator for the Department and as such shall be the primary contact within the Department for all emergency preparedness programs of concern to the Department and its operating units.

.02 In the capacity of Emergency Coordinator for the Department, the Director, OER, shall:

a. Represent the Department on inter-agency committees, boards, and working groups concerned with emergency activities of the Department;

b. Maintain a roster of program specialists or technical experts from the various elements of the Department at both national and field levels whom he can call on to provide operating unit expertise or representation related to a particular plan or emergency program; and,

c. Serve as the representative of the Assistant Secretary for Administration on all emergency readiness program matters, and as adviser to other Department officials with respect to these matters.

**Sec. 5. Regional Emergency Coordinators.**—.01 *Field operations.* Emergency programs at the field level shall be coordinated

by the Office of Field Operations (OFO), District Office Directors selected to represent the Secretary in each of the ten Federal Regional Council cities (i.e., Boston, New York, Philadelphia, Atlanta, Chicago, Dallas, Kansas City, Denver, San Francisco, and Seattle.)

.02 *Designation.* The OFO District Office Directors in the ten cities are hereby designated Regional Emergency Coordinators and as such they shall be the principal adviser and contact point for the Department in their respective regions, and shall provide staff liaison concerning activities of interest to the Department. Also, they shall maintain a list of specialists from other Commerce field elements whom they can call on for expertise related to a particular plan or program.

.03 *Responsibilities.* Acting in accordance with instructions and guidance issued by the Director, OER, through the Office of Field Operations, Domestic and International Business Administration, the Regional Emergency Coordinators shall:

a. Represent the Department on regional, State and local government committees, boards and working groups involved in emergency preparedness plans and programs, including continuity of essential functions, emergency management of resources, civil preparedness, disaster assistance, and related activities;

b. Provide guidance and assistance to those elements of State governments charged with preparedness planning for the emergency management of resources for which the Department has been assigned responsibilities;

c. Serve as the Department's principal adviser to the Directors of the respective FPA/GSA Defense Civil Preparedness Agency (DCPA) regions on matters relating to the functions of the operating units of the Department;

d. Serve as liaison between the field installations of the Department and the FPA/GSA/DCPA Regional Directors in emergency preparedness matters;

e. Establish and maintain an emergency essential records file at the FPA/GSA/DCPA Federal Regional Centers (FRCs), and provide guidance and advice to field installations on provisions for emergency essential records;

f. Establish and maintain a Regional Alerting Procedure for Commerce regional emergency cadres;

g. Participate, as directed by national headquarters in planning and evaluating periodic regional, State and local government emergency preparedness tests and exercises;

h. Prepare, as necessary or required by OER, reports on the status of emergency preparedness plans, programs and operations; and

i. Inform the Director, OER, of their activities and emergency programs to enable OER to fulfill its departmentwide liaison and coordinating role.

Effective date: August 10, 1976.

JOSEPH E. KASPUTYS,  
Assistant Secretary  
for Administration.

[FR Doc.76-26204 Filed 9-7-76;8:45 am]

[Dept. Organization Order 30-5B]

#### OFFICE OF TELECOMMUNICATIONS

#### Statement of Organization and Functions and Delegation of Authority; Correction

This order effective August 19, 1976 amends the material appearing at 41 FR 9260 of March 3, 1976.

Department Organization Order 30-5B of February 19, 1976 is hereby amended as shown below. The purpose of this amendment is to correct an error in position title and to update the description of the functions assigned to and within the Office of the Director, Office of Telecommunications by listing and separately stating the functions of both the Public and Technical Information Officer and the Legal Adviser. This amendment also abolishes the Telecommunications Analysis Division.

1. In Section 1. *Purpose.* In pen and ink, in the second line of subparagraph .02b. delete "the Institute for".

2. In Section 3. *Office of the Director.* a. An introductory paragraph is added to read as follows:

The Office of the Director shall be responsible for the direction and administration of the Office. It shall perform functions and activities which oversee or support line organizational elements. It shall also perform programmatic functions and activities of the Office which are not assigned elsewhere herein; which explore new programmatic directions; or the performance of which requires liaison or supervision at the Director's level. Its officials, and their assigned functions, are as follows:

b. In pen and ink, delete the parenthesis and period at the end of subparagraph .04b. and add "and Privacy Act administration".

c. New paragraphs .05 and .06 are added to read as follows:

.05 The Public and Technical Information Officer shall perform staff administration and support functions for the Office's publications program, public information and Freedom of Information Act responsibilities. The public information programs shall be carried out in collaboration with the Departmental Office of Communications.

.06 The Legal Advisor shall, under the professional supervision of the Department's General Counsel and as provided in Department Organization Order 10-6, serve as the Office's legal officer with responsibility for its legal services and its participation before regulatory agencies.

3. In Section 6. *Telecommunications Analysis Division.* Section 6 is deleted in its entirety.

4. Current Sections 7, 8, and 9 are renumbered as 6, 7, and 8 respectively.

5. The attached organization chart supersedes the chart attached to DOO 30-5B of February 19, 1976.<sup>1</sup>

Effective date: August 19, 1976.

JOSEPH E. KASPUTYS,  
Assistant Secretary  
for Administration.

[FR Doc.76-26206 Filed 9-7-76;8:45 am]

<sup>1</sup> Filed as part of the original document.

[Dept. Organization Order 30-3B]

## PATENT AND TRADEMARK OFFICE

### Organization, Functions and Delegation of Authority

This order effective August 19, 1976 supersedes the materials appearing at 40 FR 3627 of January 23, 1975, of 40 FR 16707 of April 14, 1975 and 40 FR 56708 of December 4, 1975.

**SECTION 1. Purpose.**—01 This order prescribes the organization and assignment of functions within the Patent and Trademark Office. Department Organization Order 30-3A prescribes the scope of authority and functions.

02 This revision incorporates the provisions of a prior amendment (subparagraphs 5.02b. and 5.02c.); establishes the Office of Planning and Evaluation (the former Office of Program Planning and Evaluation) reporting directly to the Commissioner (paragraph 4.05); establishes the Office of Automatic Data Processing Administration to replace the abolished Office of Computer Services (paragraph 8.01); and provides a new statement of functions for the Office of Management and Organization (paragraph 8.05).

**SEC. 2. Organization structure.**—The principal organization structure and line of authority shall be as depicted in the attached organization chart (Exhibit 1). A copy of the organization chart is on file with the original of this document with the Office of the Federal Register.

**SEC. 3. Commissioner of patents and trademarks.**—The Commissioner of Patents and Trademarks determines the policies and directs the programs of the Patent and Trademark Office and is responsible for the conduct of all activities of the Patent and Trademark Office. He is principally assisted by a Deputy Commissioner, three Assistant Commissioners and a Solicitor whose main duties shall be as specified below.

a. The "Deputy Commissioner" shall assist the Commissioner in the direction of the Patent and Trademark Office and shall perform the duties of the Commissioner in the latter's absence.

b. The "Assistant Commissioner for Patents" (an Assistant Commissioner under 35 U.S.C. 3) shall provide administrative and policy direction for the patent examining and documentation operations which consist of the organizational elements enumerated in Section 5 of this order. He is assisted by a Deputy Assistant Commissioner. The Deputy Assistant Commissioner shall, among other duties as assigned, have immediate responsibility for patent examination and for the organizational elements enumerated in Section 5.01; and shall perform the duties of the Assistant Commissioner during the latter's absence. There shall also be an Administrator for Documentation who shall have immediate responsibility for domestic and foreign patent documentation and the organization elements enumerated in Section 5.02.

c. The "Assistant Commissioner for Trademarks" (an Assistant Commissioner under 35 U.S.C. 3) shall provide

administrative and policy direction to the trademark registration and related operations which consist of the organizational elements enumerated in section 6 of this order.

d. The "Solicitor" shall be the chief law officer of the Patent and Trademark Office and shall provide administrative and policy direction to organizational elements enumerated in section 7 of this order. Pursuant to Department Organization Order 10-6, he shall be subject to the overall authority of the Department's General Counsel with respect to legal matters involving the Patent and Trademark Office, other than in connection with the issuance of patents or the registration of trademarks. He shall be assisted by a Deputy Solicitor who shall perform the duties of the Solicitor during the latter's absence.

e. The "Assistant Commissioner for Administration" shall be the principal advisor to the Commissioner on the formulation and application of management policies and shall provide administrative, management, budgeting and operational support services to components of the Patent and Trademark Office. In addition, he shall provide administrative and policy direction to the organizational elements enumerated in section 8 of this order. He shall be assisted by a Deputy Assistant Commissioner who shall perform the duties of the Assistant Commissioner during the latter's absence.

**SEC. 4. Organizations reporting to the Commissioner.**—01 The "Board of Appeals" shall be responsible for hearing and deciding appeals from adverse decisions of examiners upon applications for patent.

02 The "Board of Patent Interferences" shall conduct interference proceedings and make final determinations in the Patent and Trademark Office as to priority of invention. The Board shall also hear and decide questions concerning property rights in inventions in the atomic energy and space fields brought before it under the provisions of sections 2182 and 2456 (d) and (e) of Title 42, U.S.C.

03 The "Office of Information Services" shall advise and represent the Commissioner on information matters; conduct programs fostering public understanding of the American patent system and of the functions, services and administrative publications of the Patent and Trademark Office; and develop publication policies.

04 The "Office of Legislation and International Affairs" shall, subject to Department Organization Order 10-6, make studies and advise the Commissioner on policy and actions concerning matters which may require legislation or which involve international patent and trademark (intellectual property) matters; draft proposed legislation relating to patents and trademarks and advise on pending legislation affecting the Patent and Trademark Office; represent the Commissioner in the negotiation or renegotiation of treaties and the negotiation of other new major international initiatives; assist in the development and

implementation of related programs; coordinate or conduct in cooperation with other appropriate Patent and Trademark Office organizations, negotiations in matters relating to existing international programs; and maintain liaison with the Office of the Secretary, the General Counsel, other agencies, international and foreign bodies, members of the public, and appropriate congressional committees in such matters.

05 The "Office of Planning and Evaluation," working with program managers, shall assess the value to the public of operations defined by statute and develop measurable objectives and sub-objectives in support thereof; establish an annual program evaluation plan, identifying problem areas, conducting or monitoring studies of program effectiveness, establishing criteria of study quality, and reviewing studies monitored; prepare program plans which reflect decisions of the Commissioner on program alternatives and allocation of resources; foster establishment of data control systems and workflow models, using them to monitor program achievement; and perform final review for all externally reported data on program performance or resource use.

**SEC. 5. Organizations Reporting to the Assistant Commissioner for Patents.**—

01 Patent Examination Organizations.

a. The "Office of Patent Program Control" shall develop procedures for and establish quality, quantity and other performance standards relating to the conduct of the patent examination functions; establish program activity targets and continually evaluate status against program objectives; provide training to examiners in patent practices and procedures; monitor compliance with examination standards and procedures; and provide planning evaluation and budget support to the examination organizations and such other duties as assigned.

b. The "Examining Groups" shall examine applications for patents to ascertain if the applicants are entitled to patents under the law and grant patents to those so entitled. Each examining group shall perform this function for patent applications falling within the generic category assigned to it. The number of examining groups and the coverage of the generic categories shall be determined by the Commissioner.

02 Patent Documentation Organizations.

a. The "Office of Documentation Planning, Support and Control" shall analyze the examiner and public patent search files and all proposed programs concerning them; coordinate efforts in regard to numerical files; develop and maintain overall documentation plans relating to these files; define the form, content and accessibility of these files and insure such definition through periodic checks; initiate the acquisition and provision of patent documentation for these files; coordinate the development of an overall system, and the efforts of related implementing activities, to insure the accuracy and effective utili-

zation of patent data; provide budgetary and other services for the documentation organizations; and establish performance standards and evaluation criteria for, and monitor and evaluate, the activities of the documentation organizations.

b. The "Office of International Patent Classification" shall direct Patent and Trademark Office initiatives designed to foster harmonization of the United States Patent Classification System with the International Patent Classification System. The Office shall also consult and participate with foreign counterparts representing national offices and appropriate international groups in further development and refinement of the International Patent Classification System. In carrying out such consultations and participations, it shall coordinate all related policy matters with the Office of Legislation and International Affairs.

c. The "Office of Micrographic Systems" shall develop and recommend plans for micrographic information systems including analyses of existing and proposed micrographic hardware and techniques suitable for meeting the particular demands of the U.S. Patent and Trademark Office. It shall also evaluate ongoing micrographic information systems in respect to the responsiveness of such systems to evolving informational needs. The foregoing shall be coordinated with other appropriate offices such as the Office of Automatic Data Processing Administration and the Office of Search Systems.

d. The "Office of Search Systems" shall maintain a state-of-the-art awareness of machine-assisted information storage, access, retrieval, and display systems useful or potentially useful in searching patent documentation; participate with parties in the private and government sectors in cooperative programs designed to develop systems for Patent and Trademark Office utilization; evaluate the potential of existing and cooperatively developed systems; initiate the acquisition and adaptation of selected systems and direct the maintenance of all non-operational search and display systems (equipment and materials); conduct and evaluate pilot tests in Patent and Trademark Office operating environments; recommend operational establishment or discontinuance of evaluated systems; and monitor and evaluate the performance of operational systems.

e. The "Office of Technology Assessment and Forecast" shall continually assess the status of technological activities in all countries; compare inventive activity in the United States relative to other nations; and forecast development on a worldwide basis.

f. The "Classification Groups" shall develop, implement and maintain subject matter classification systems for the organization of patent search files of prior art including the preparation of definitions, indexes, schedules, and related documentations. Each classification group shall perform this function for subject matter falling within the generic category (chemical, electrical, mechanical) assigned to it.

*Sec. 6. Organizations reporting to the Assistant Commissioner for Trademarks.—*.01 The "Office of Trademark Program Control" shall develop guidelines governing trademark examining procedures; establish program activity targets and continually evaluate status against program objectives; and provide instruction in trademark practice and procedures and coordinate trademark administrative support activities.

.02 The "Trademark Trial and Appeal Board" shall be responsible for hearing and deciding adversary proceedings involving interfering applications, oppositions to registration, cancellations, and concurrent use proceedings; and for hearing and deciding appeals from final refusals of the trademark examiners to allow the registration of trademarks.

.03 The "Trademark Examining Operation" shall be responsible for the classification of trademark applications into classes of goods and services, the examination and processing of these applications, and the registration of trademarks, service marks, and certification marks; and maintain the principal and supplemental registers of trademarks. The Trademark Examining Operation shall be composed of examining divisions, the number and coverage of such divisions to be determined by the Commissioner.

*Sec. 7. Offices reporting to the solicitor.—*.01 The "Office of the Solicitor" shall handle all litigation to which the Commissioner is a party and provide other legal services, including advice and assistance on legislative matters, and maintenance of the law library.

.02 The "Office of Government Employee Inventions" shall review questions of ownership of patents and rights to inventions made by Government employees in issues brought before it under Executive Order 10096 and shall make appropriate recommendations to the Commissioner for action on such questions.

*Sec. 8. Offices reporting to the Assistant Commissioner for Administration.—*

.01 The "Office of Automatic Data Processing Administration" shall coordinate automatic data processing resources for the Patent and Trademark Office; recommend to management the acceptance, updating or termination of all Patent and Trademark Office automatic data processing resources and contracts; provide management with regular reviews on the status of automatic data processing expenditures and utilization of resources; advise management on alternatives for meeting defined short and long range ADP requirements; coordinate ADP procurement and installation; operate a central computer facility for the Patent and Trademark Office responsive to user needs; conduct and review specified ADP feasibility studies; design, implement, operate and coordinate specialized ADP management information systems, including data collection, manipulation and dissemination; coordinate ADP liaison for the Patent and Trademark Office with the Department of Commerce and other Federal

agencies; provide programming and systems design resources for approved projects based on requirements; provide technical assistance to the Patent and Trademark Office to fulfill needs as specified by the user.

.02 The "Office of Budget" shall formulate, interpret, and execute budgetary and fiscal policies; establish and maintain a comprehensive budget program collaborating with cost center officials in developing budget and fiscal plans; develop and present budget requests; allocate and maintain budgetary accountability of available funds; maintain external liaison in budgetary matters; and review and evaluate the fulfillment of budget-based program commitments.

.03 The "Office of Finance" shall develop and maintain the financial accounting system of the Patent and Trademark Office, perform accounting operations for the revenue, trust funds, and appropriation of the Patent and Trademark Office, including maintenance of general accounts and related fiscal records, preparation of financial statements and reports, audit and certification of vouchers for payment, issuance of deposit account statement, initiation of action to collect amounts due the Patent and Trademark Office, and administration of the payroll system and related employee accounts; and provide financial advice.

.04 The "Office of General Services" shall plan and administer a broad Office-wide program of general services, including procurement control; property, space, and facilities management; communications, files, mail and correspondence, and forms management; administrative printing; and clearance of all requirements involving contractual procurements, including liaison with the Department of Commerce, in connection therewith.

.05 The "Office of Management and Organization" shall develop and/or receive requests for management improvement systems, programs or projects, including studies for work measurement, resource utilization, workflow analyses, computer systems, operations research and other operational problems and programs and determine the best resource (s) for analyses, resolution, and implementation; conduct organizational reviews; conduct, coordinate or assign studies on resource utilization, procedures or workflow analyses; coordinate work measurement studies; manage Patent and Trademark Office policy orders and administrative instructions and issuances; develop and maintain statistical data; evaluate all requests for word processing and copying equipment; and develop and manage a historical file on all management studies and statistical data developed.

.06 The "Office of Patent and Trademark Services" shall provide the materials and services of the Patent and Trademark Office, many of which are offered to the public on a fee basis, and such services and facilities which are maintained for public access and use as governmental functions. It shall also be the responsibility of this Office to provide

these same materials, services, and facilities as required by examiners and other personnel for internal operations of the Patent and Trademark Office. These shall include but are not necessarily limited to recording instruments that transfer property rights to patents and trademarks; furnishing copies of patents, trademark registrations, and office records; providing drafting services; maintaining collections of technical and scientific information such as U.S. and foreign patents, periodicals, books, and other publications, whether in printed or microfilm form, and providing related services in regard to these collections. It shall also conduct an initial examination of patent applications for compliance with law and regulations as to form and certain matters of factual content; grant or deny a filing date based on such examination and forward to the Examining Groups those granted a filing date; acknowledge the acceptance or rejection of applications for examination; and maintain records on the status and location of all applications.

07 The "Office of Personnel" shall administer activities relating to recruitment, placement, employee relations, equal opportunity programs for employees, training and career development, incentive awards, performance rating, position classification and wage administration, group-management relations, and various employee benefit programs.

08 The "Office of Publications" shall schedule and manage the processing and movement of allowed patent application files in procuring the creation of full patent text machine language data base and the composition and printing of weekly patent issues and related announcements in the Official Gazette; provide requisition and scheduling services for trademark publications; monitor the quality or performance by contributing sources and maintain close liaison with U.S. Government Printing Office; and prepare and issue patent grants and periodic publications of patent indexes.

Effective date: August 19, 1976.

JOSEPH E. KASPUTYS,  
Assistant Secretary  
for Administration.

[FR Doc.76-26205 Filed 9-7-76;8:45 am]

## DEPARTMENT OF HEALTH, EDUCATION, AND WELFARE

Office of Education

### NATIONAL ADVISORY COMMITTEE ON THE HANDICAPPED

Public Meeting

Notice is hereby given, pursuant to section 10(a)(2) of the Federal Advisory Committee Act (Pub. L. 92-463), that the next meeting of the National Advisory Committee on the Handicapped will be held on October 20-22, 1976, 8:30 a.m.-4:30 p.m. at the Quality Inn Capitol Hill Hotel, 415 New Jersey Avenue, N.W., Washington, D.C.

The National Advisory Committee on the Handicapped is established under (20 U.S.C. 1233g) section 448(b) of the General Education Provisions Act. The Committee is established to review the administration and operation of programs for the handicapped in the Office of Education, and make recommendations for their improvement.

The meeting of the Committee will be open to the public. The proposed agenda includes a discussion of the individualized education program for handicapped children (called for in Pub. L. 94-142) in terms of its implications to personnel preparation, and will include comments from four invited special education practitioners. Records will be kept of all Committee proceedings and will be available for public inspection at the Office of the Deputy Commissioner, Bureau of Education for the Handicapped, located in Room 2100, Regional Office Building 3, 7th and D Streets, S.W., Washington, D.C. 20202.

Signed at Washington, D.C. on August 30, 1976.

LEROY V. GOODMAN,  
Executive Secretary, National  
Advisory Committee on the  
Handicapped.

[FR Doc.76-26185 Filed 9-7-76;8:45 am]

### NATIONAL ADVISORY COUNCIL ON ADULT EDUCATION

Public Meeting

Notice is hereby given, pursuant to Section 10(a)(2) of the Federal Advisory Committee Act (Pub. L. 92-463), that the National Advisory Council on Adult Education will meet on October 1-2, 1976, from 9:00 a.m. to 4:30 p.m., at Stouffer's National Center Hotel, 2399 Jefferson Davis Highway, Arlington, Virginia. An orientation for new Council members will be held on September 30, 1976 (if appointments have been announced by September 23, 1976), from 9:00 a.m. to 4:30 p.m., Office of the National Advisory Council on Adult Education, Room 323, Pennsylvania Bldg., 425 13th Street, N.W., Washington, D.C.

The National Advisory Council on Adult Education is established under Section 311 of the Adult Education Act (80 Stat. 1216.20 U.S.C. 1201). The Council is directed to:

Advise the Commissioner in the preparation of general regulations and with respect to policy matters arising in the administration of this title, including policies and procedures governing the approval of State plans under section 306 and policies to eliminate duplication, and to effectuate the coordination of programs under this title and other programs offering adult education activities and services.

The Council shall review the administration and effectiveness of programs under this title, make recommendations with respect thereto, and make annual reports to the President of its findings and recommendations (including recommendations for changes in this title and other Federal laws relating to adult education activities and services). The President shall transmit each

such report to the Congress together with his comments and recommendations.

The meeting of the Council shall be open to the public. The proposed agenda includes:

Reports of the Chairman, Executive Director, and the Council's standing committees.

Survey of State Support of Adult Education, International Adult Education.

Futures and Amendments Meetings.

Annual Report.

NACAE 5th Quarter Budget.

Records shall be kept of all Council proceedings (and shall be available for public inspection at the Office of the National Advisory Council on Adult Education located in Room 323, Pennsylvania Bldg., 425 13th Street, N.W., Washington, D.C. 20004).

Signed at Washington, D.C., on September 1, 1976.

GARY A. EYRE,  
Executive Director, National  
Advisory Council on Adult  
Education.

[FR Doc.76-26210 Filed 9-7-76;8:45 am]

### NATIONAL ADVISORY COUNCIL ON BILINGUAL EDUCATION

Public Meeting

Notice is hereby given pursuant to section 10(a)(2) of the Federal Advisory Committee Act (Pub. L. 92-463) that a meeting of the National Advisory Council on Bilingual Education will be held from 9:00 a.m. until 5:00 p.m., September 24-26, 1976, at the Shoreham Americana Hotel, 2500 Calvert Street, N.W., Washington, D.C. in Club Room B.

The National Advisory Council on Bilingual Education is established pursuant to section 732(a) of the Bilingual Education Act (20 U.S.C. 880b-11) to advise the Secretary of Health, Education and Welfare and the Commissioner of Education concerning matters arising in the administration of the Bilingual Education Act.

The meeting shall be open to the public. The proposed agenda is:

SEPTEMBER 24, 1976

9:00 a.m.—Call to order.  
9:15 a.m.—Welcoming statement by Dr. J.C. Molina.  
9:30 a.m.—Swearing in of new members.  
10:00 a.m.—In-service of new members: Ann Bailey, Robin Pascua, Rudy Cordova.  
12:00 noon—Recess for lunch.  
1:30 p.m.—Correspondence.  
1:45 p.m.—Program Delegate's Report.  
2:15 p.m.—Old Business: Reports from Committees; Conference Attendance; Update calendar of events.  
4:00 p.m.—Recess.

SEPTEMBER 25, 1976

9:00 a.m.—New business: Election of Vice Chairperson and Parliamentarian; Selection of sites for upcoming meetings & hearings; Design of work scope for next year's report and issue papers.

12:00 noon—Recess for lunch.  
1:30 p.m.—Review final draft of NACBE Annual Report.  
4:00 p.m.—Recess.

SEPTEMBER 26, 1976

9:00 a.m.—New business (continued): Review final draft of NACBE Annual Report.  
12:00 noon—Recess for lunch.  
1:30 p.m.—New business continued.  
3:00 p.m.—Comments from the floor.  
4:00 p.m.—Adjourn meeting.

In the event that the proposed agenda is completed prior to the projected date or time, the Council will adjourn the meeting.

Records shall be kept of all meetings of the Council and shall be available for public inspection in Room 421, Reporter's Building, 300 7th Street, SW., Washington, D.C.

Signed at Washington, D.C., on September 1, 1976.

JOHN C. MOLINA,  
Director, Office of  
Bilingual Education.

[FR Doc. 76-26227 Filed 9-7-76; 8:45 am]

#### NATIONAL ADVISORY COUNCIL FOR CAREER EDUCATION

##### Notice of Public Meeting

Notice is hereby given, pursuant to section 10 (a) (2) of the Federal Advisory Committee Act (Pub. L. 92-463), that the meeting of the National Advisory Council for Career Education will be held on September 27, 1976 at 7:00 p.m. and September 28, 1976 from 9:00 a.m. to 4:00 p.m. each at 400 Maryland Avenue, SW., Room 3000, FOB #6, Washington, D.C. 20202.

The National Advisory Council for Career Education is established under section 406 of the Education Amendments of 1974, Pub. L. 93-380, (88 Stat. 552, 553). The Council is directed to:

Advise the Commissioner of Education on the implementation of section 406 of the Education Amendments of 1974 and carry out such advisory functions as it deems appropriate, including reviewing the operation of this section and all other programs of the Division of Education pertaining to the development and implementation of career education, evaluating their effectiveness in meeting the needs of career education throughout the United States, and in determining need for further legislative remedy in order that all citizens may benefit from the purposes of career education as described in section 406.

The Council with the assistance of the Commissioner conducted a survey and assessment of the current status of career education programs, projects, curricula and materials in the United States and submitted to Congress a report on such survey.

The meeting of the Council shall be open to the public. If you plan to attend, please call Patricia Dickerson at (202) 245-2284 to have your name placed on the list at the Guard's desk for admittance into the building for the meeting on Monday, September 27, 1976 at 7:00 p.m.

The proposed agenda includes:

SEPTEMBER 27, 1976

Next Steps in Career Education \* \* \* (Continued)

Commission's National Conference on Career Education, November 7-10, 1976, Houston, Texas

SEPTEMBER 28, 1976

Report from National Manpower Commission—Wirtz  
Discussion of Presentations Given on "Next Steps in Career Education"  
Sub-Committee Reports

Records shall be kept of all Council proceedings (and shall be available for public inspection at the Office of Career Education, located in Room 3100—ROB #3, 7th and D Streets, SW., Washington, D.C. 20202.

Signed at Washington, D.C., this 1st day of September 1976.

JOHN LINDIA,  
Delegate, National Advisory  
Council for Career Education.

[FR Doc. 76-26230 Filed 9-7-76; 8:45 am]

#### Food and Drug Administration

[Docket No. 76N-0256; DESI 9149]

#### CERTAIN PREPARATIONS CONTAINING PERPHENAZINE; TRIFLUOPERAZINE; TRIFLUPROMAZINE; AND PROMAZINE

Drugs for Human Use; Drug Efficacy Study Implementation; Followup Notice and Opportunity for Hearing

The Food and Drug Administration is reclassifying the less-than-effective indications for certain antipsychotic drugs, offering an opportunity for a hearing on the reclassification, and announcing the conditions under which the drugs may be marketed for the indications for which they continue to be regarded as effective. Persons who wish to request a hearing may do so by October 8, 1976.

In a notice (DESI 9149; Docket No. FDC-D-334 (now Docket No. 76N-0256)) published in the FEDERAL REGISTER of April 3, 1971 (36 FR 6447), and amended by a notice published in the FEDERAL REGISTER of November 2, 1971 (36 FR 20997), the Food and Drug Administration announced its conclusions concerning the drugs described below as follows:

##### PERPHENAZINE

(Oral and parenteral forms and rectal suppositories)

(1) Effective in the management of manifestations of psychotic disorders and for the control of severe nausea and vomiting in adults; (2) probably effective for reducing agitation and tension in mild alcoholic withdrawal in patients under close supervision; and (3) possibly effective for its other labeled indications.

##### TRIFLUOPERAZINE

(Oral and parenteral forms)

(1) Effective in the management of manifestations of psychotic disorders; (2) probably effective for the control of involuntional melancholia or of the mani-

festations of the manic type of manic depressive illness; (3) lacking substantial evidence of effectiveness for use in producing rapid response in chronic brain syndrome or mental deficiency; and (4) possibly effective for its other labeled indications.

##### TRIFLUPROMAZINE

(Oral and parenteral forms)

(1) Effective in the management of manifestations of psychotic disorders and for the control of severe nausea and vomiting; (2) probably effective as an adjunct in preoperative and postoperative management, and for reducing agitation and tension associated with mild alcoholic withdrawal symptoms in patients under close supervision; (3) lacking substantial evidence of effectiveness in the management of mental disorders, acute and chronic psychoses, or delirium; and (4) possibly effective for its other labeled indications.

##### PROMAZINE

(Oral and parenteral forms)

(1) Effective in the management of manifestations of psychotic disorders; (2) probably effective for the control of nausea and vomiting, for the relief of apprehension prior to surgery, and for reducing agitation and tension associated with mild alcoholic withdrawal in patients under close supervision; (3) lacking substantial evidence of effectiveness for use in the control of central nervous system excitation, as an adjunct to the management of mental and emotional disturbances, and in inebriation; and (4) possibly effective for its other labeled indications.

The April 3, 1971 notice also offered an opportunity for a hearing concerning the indications concluded at that time to lack substantial evidence of effectiveness. No person has submitted any data in support of the probably effective and possible effective indications and those indications are now reclassified as lacking substantial evidence of effectiveness.

The notice that follows does not pertain to the indications for trifluoperazine, triflupromazine, and promazine that were stated in the April 3, 1971 notice to lack substantial evidence of effectiveness. No person requested a hearing concerning them, and they are no longer allowable in labeling. Any such product labeled for those indications is subject to regulatory action.

1. NDA 11-213, Trilafon Injection; NDA 10-775, Trilafon Tablets; NDA 11-361, Trilafon Repetabs; NDA 11-557, Trilafon Concentrate; NDA 11-294, Trilafon Syrup; and NDA 11-495, Trilafon Suppositories, all containing perphenazine; Schering Corp., Galloping Hill Rd., Kenilworth, NJ 07033.

2. NDA 10-349, Sparine Injection; NDA 10-942, Sparine Concentrate and Syrup; and NDA 10-348, Sparine Tablets, all containing promazine hydrochloride; Wyeth Laboratories, Inc., Division American Home Products Corp., P.O. Box 8299, Philadelphia, PA 19101.

3. NDA 11-552, Stelazine Tablets, Concentrate, and Injection, all containing trifluoperazine hydrochloride; Smith Kline & French Laboratories, Division of SmithKline Corp., 1500 Spring Garden St., Philadelphia, PA 19101.

4. NDA 11-325, Vesprin Injection; and NDA 11-123, Vesprin Tablets, both containing trifluoperazine hydrochloride; Squibb Pharmaceutical Co., Division E. R. Squibb & Sons, Inc., P.O. Box 4000, Princeton, NJ 08540.

5. NDA 11-491, Vesprin High Potency Suspension containing trifluoperazine; Squibb Pharmaceutical Co.

Other drugs included in the April 3, 1971 and November 3, 1971 notices will be dealt with in a subsequent FEDERAL REGISTER notice.

Such drugs are regarded as new drugs (21 U.S.C. 321(p)). Supplemental new drug applications are required to revise the labeling in and to update previously approved applications providing for such drugs. An approved new drug application is a requirement for marketing such drug products.

In addition to the holder(s) of the new drug application(s) specifically named above, this notice applies to all persons who manufacture or distribute a drug product, not the subject of an approved new drug application, that is identical, related, or similar to a drug product named above, as defined in 21 CFR 310.6. It is the responsibility of every drug manufacturer or distributor to review this notice to determine whether it covers any drug product he manufactures or distributes. Any person may request an opinion of the applicability of this notice to a specific drug product he manufactures or distributes that may be identical, related, or similar to a drug product named in this notice by writing to the Food and Drug Administration, Bureau of Drugs, Division of Drug Labeling Compliance (HFD-310), 5600 Fishers Lane, Rockville, MD 20852.

**A. Effectiveness classification.** The Food and Drug Administration has reviewed all available evidence and concludes that the drugs are effective for the indications listed in the labeling conditions below. The drugs now lack substantial evidence of effectiveness for the indications evaluated as probably effective and possibly effective in the April 3, 1971 and November 2, 1971 notices.

**B. Conditions for approval and marketing.** The Food and Drug Administration is prepared to approve new drug applications and supplements to previously approved new drug applications under conditions described herein.

1. **Form of drug.** These drugs are in tablet, syrup, or concentrate form suitable for oral administration, or in sterile solution form suitable for parenteral administration; and perphenazine is also in suppository form suitable for rectal administration, and controlled release tablet form suitable for oral administration.

2. **Labeling conditions.** a. The labels bear the statement, "Caution: Federal law prohibits dispensing without prescription."

b. The drugs are labeled to comply with all requirements of the act and regulations, and the labeling bears adequate information for safe and effective use of the drug. The Indications are as follows:

#### PERPHENAZINE

For use in the management of the manifestations of psychotic disorders; and for the control of severe nausea and vomiting in adults.

#### TRIFLUOPERAZINE

For use in the management of the manifestations of psychotic disorders.

#### TRIFLUPROMAZINE

For use in the management of the manifestations of psychotic disorders; and for the control of severe nausea and vomiting.

#### PROMAZINE

For use in the management of the manifestations of psychotic disorders.

3. **Marketing status of approved products.** Marketing of such drug products that are now the subject of an approved or effective new drug application may be continued provided that the holder of the application submits the following if he has not previously done so:

a. On or before November 8, 1976, (i) a supplement for revised labeling as needed to be in accord with the labeling conditions described in this notice, and complete container labeling if current container labeling has not been submitted, and (ii) a supplement to provide full updating information with respect to items 6 (components), 7 (composition), and 8 (methods, facilities, and controls) of new drug application form FD-356H (21 CFR 314.1(c)).

b. On or before March 8, 1977, data to show that it is biologically available in the formulation marketed.

4. **Marketing status of all other products.** a. For all products except the controlled release and the rectal suppository forms of perphenazine, approval of an abbreviated new drug application (21 CFR 314.1(f)), must be obtained prior to marketing such product. The applications shall contain full information with respect to Items 7 (composition), and 8 (methods, facilities, and controls) of new drug application form FD-356H (21 CFR 314.1(c)), and shall include data of the kind required for this drug at the time of submission of the application to show that it is biologically available in the formulation proposed for marketing.

b. For the controlled release form and the rectal suppository form of perphenazine, approval of a full new drug application must be obtained prior to marketing such products. The application shall contain the information specified in 21 CFR 314.1(c) and shall include data of the kind required for this drug at the time of submission of the application to show that it is biologically available in the formulation proposed for marketing.

c. Marketing prior to approval of a new drug application will subject such products, and those persons who caused

the products to be marketed, to regulatory action.

C. **Notice of opportunity for hearing.** On the basis of all the data and information available to him, the Director of the Bureau of Drugs is unaware of any adequate and well-controlled clinical investigation, conducted by experts qualified by scientific training and experience, meeting the requirements of section 505 of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 355) and 21 CFR 314.111 (a)(5), demonstrating the effectiveness of the drug(s) for the indication(s) lacking substantial evidence of effectiveness referred to in paragraph A. of this notice.

Notice is given to the holder(s) of the new drug application(s), and to all other interested persons, that the Director of the Bureau of Drugs proposes to issue an order under section 505(e) of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 355(e)), withdrawing approval of the new drug application(s) and all amendments and supplements thereto providing for the indication(s) lacking substantial evidence of effectiveness referred to in paragraph A. of this notice on the ground that new information before him with respect to the drug product(s), evaluated together with the evidence available to him at the time of approval of the application(s), shows there is a lack of substantial evidence that the drug product(s) will have all the effects it purports or is represented to have under the conditions of use prescribed, recommended, or suggested in the labeling. An order withdrawing approval will not issue with respect to any application(s) supplemented, in accord with this notice, to delete the claim(s) lacking substantial evidence of effectiveness.

In addition to the ground for the proposed withdrawal of approval stated above, this notice of opportunity for hearing encompasses all issues relating to the legal status of the drug products subject to it (including identical, related, or similar drug products as defined in 21 CFR 310.6), e.g., any contention that any such product is not a new drug because it is generally recognized as safe and effective within the meaning of section 201(p) of the act or because it is exempt from part or all of the new drug provisions of the act pursuant to the exemption for products marketed prior to June 25, 1938, contained in section 201(p) of the act, or pursuant to section 107(c) of the Drug Amendments of 1962; or for any other reason.

In accordance with the provisions of section 505 of the act (21 U.S.C. 355) and the regulations promulgated thereunder (21 CFR Parts 310, 314), the applicant(s) and all other persons who manufacture or distribute a drug product which is identical, related, or similar to a drug product named above (21 CFR 310.6), are hereby given an opportunity for a hearing to show why approval of the new drug application(s) providing for the claim(s) involved should not be withdrawn and an opportunity to raise, for administrative determination, all

issues relating to the legal status of a drug product named above and all identical, related, or similar drug products.

If an applicant or any person subject to this notice pursuant to 21 CFR 310.6 elects to avail himself of the opportunity for a hearing, he shall file (1) on or before October 8, 1976, a written notice of appearance and request for hearing, and (2) on or before November 8, 1976, the data, information, and analyses on which he relies to justify a hearing, as specified in 21 CFR 314.200. Any other interested person may also submit comments on this proposal to withdraw approval. The procedures and requirements governing this notice of opportunity for hearing, a notice of appearance and request for hearing, a submission of data, information, and analyses to justify a hearing, other comments, and a grant or denial of hearing, are contained in 21 CFR 314.200.

The failure of an applicant or any other person subject to this notice pursuant to 21 CFR 310.6 to file timely written appearance and request for hearing as required by 21 CFR 314.200 constitutes an election by such person not to avail himself of the opportunity for a hearing concerning the action proposed with respect to such drug product and a waiver of any contentions concerning the legal status of such drug product. Any such drug product labeled for the indication(s) lacking substantial evidence of effectiveness referred to in paragraph A. of this notice may not thereafter lawfully be marketed, and the Food and Drug Administration will initiate appropriate regulatory action to remove such drug products from the market. Any new drug product marketed without an approved NDA is subject to regulatory action at any time.

A request for a hearing may not rest upon mere allegations or denials, but must set forth specific facts showing that there is a genuine and substantial issue of fact that requires a hearing. If it conclusively appears from the face of the data, information, and factual analyses in the request for the hearing that there is no genuine and substantial issue of fact which precludes the withdrawal of approval of the application, or when a request for hearing is not made in the required format or with the required analyses, the Commissioner will enter summary judgment against the person(s) who requests the hearing, making findings and conclusions, denying a hearing.

All submissions pursuant to this notice of opportunity for hearing shall be filed in quintuplicate. Such submissions, except for data and information prohibited from public disclosure pursuant to 21 U.S.C. 331(j) or 18 U.S.C. 1905, may be seen in the office of the Hearing Clerk (address given below) during working hours, Monday through Friday.

Communications forwarded in response to this notice should be identified with the reference number DESI 9149, directed to the attention of the appropriate office named below, and addressed to the Food and Drug Administration, 5600 Fishers Lane, Rockville, MD 20852.

Supplements (identify with NDA number) and original new drug applications: Division of Neuropharmacological Drug Products (HFD-120), Rm. 10B-34, Bureau of Drugs.

Original abbreviated new drug applications (identify as such): Division of Generic Drug Monographs (HFD-530), Bureau of Drugs.

Request for Hearing (identify with Docket number appearing in the heading of this notice): Hearing Clerk, Food and Drug Administration (HFC-20), Rm. 4-65.

Requests for the report of the National Academy of Sciences-National Research Council: Public Records and Document Center (HFC-18), Rm. 4-62.

Other communications regarding this notice: Drug Efficacy Study Implementation Project Manager (HFD-101), Bureau of Drugs.

(Federal Food, Drug, and Cosmetic Act (secs. 502, 505, 52 Stat. 1050-1053, as amended (21 U.S.C. 352, 355)) and under the authority delegated to the Director of the Bureau of Drugs (21 CFR 5.31) (recodification published in the FEDERAL REGISTER of June 15, 1976 (41 FR 24262)).)

Dated: September 1, 1976.

J. RICHARD CROUT,  
Director, Bureau of Drugs.

[FR Doc. 76-26176 Filed 9-7-76; 8:45 am]

[Docket No. 76N-0278; DESI 6327]

#### ISOPROTERENOL HYDROCHLORIDE FOR INHALATION

##### Drugs for Human Use; Drug Efficacy Study Implementation; Followup Notice and Opportunity for Hearing

In a notice (DESI 6327; Docket No. FDC-D-245 (now Docket No. 76N-0278)) published in the FEDERAL REGISTER, August 19, 1971 (36 FR 16126), the Food and Drug Administration announced its conclusions that the drug products described below containing isoproterenol hydrochloride are (1) effective in the treatment of certain bronchospastic disorders, and (2) less than effective (possibly effective and lacking substantial evidence of effectiveness) for certain other indications. The notice provided an opportunity for hearing for the indications concluded at that time to lack substantial evidence of effectiveness. No person submitted data in support of the possibly effective indication, and it is now reclassified as lacking substantial evidence of effectiveness. Other drugs included in the August 19, 1971 notice are not affected by the conclusions of this notice. This notice offers an opportunity for hearing concerning the possibly effective indication for isoproterenol hydrochloride, which is now reclassified as lacking substantial evidence of effectiveness, and states the conditions for marketing the drug for the indications for which it continues to be regarded as effective. Persons who wish to request a hearing may do so on or before October 8, 1976.

The notice that follows does not pertain to the indications stated in the Au-

gust 19, 1971 notice to lack substantial evidence of effectiveness. No person requested a hearing concerning them, and they are no longer allowable in labeling. Any such product labeled for those indications is subject to regulatory action.

1. NDA 11-744; Isuprel Mistometer containing isoproterenol hydrochloride; Winthrop Laboratories, 90 Park Ave., New York, NY 10016.

2. NDA 11-178; Isuprel Hydrochloride Mistometer containing isoproterenol hydrochloride; Winthrop Laboratories.

3. NDA 6-327; Isuprel Hydrochloride Solution containing isoproterenol hydrochloride; Winthrop Laboratories.

4. NDA 16-813; Vapo-N-Iso Inhaler containing isoproterenol hydrochloride; Fisons Corp., 2 Preston Ct., Bedford, MA 01730.

5. NDA 16-824; Iprenel Solution containing isoproterenol hydrochloride; The Vitarine Co., Inc., 227-15 N. Conduit Ave., Springfield Gardens, NY 11413.

(NDA's 16-824 and 16-813 were approved after publication of the August 19, 1971 notice. Although they were not included in that notice, the conclusions described herein apply to them.)

Such drugs are regarded as new drugs (21 U.S.C. 321(p)). Supplemental new drug applications are required to revise the labeling in and to update previously approved applications providing for such drugs. An approved new drug application is a requirement for marketing such drug products.

In addition to the holder(s) of the new drug application(s) specifically named above, this notice applies to all persons who manufacture or distribute a drug product, not the subject of an approved new drug application, that is identical, related, or similar to a drug product named above, as defined in 21 CFR 310.6. It is the responsibility of every drug manufacturer or distributor to review this notice to determine whether it covers any drug product he manufactures or distributes. Any person may request an opinion of the applicability of this notice to a specific drug product he manufactures or distributes that may be identical, related, or similar to a drug product named in this notice by writing to the Food and Drug Administration, Bureau of Drugs, Division of Drug Labeling Compliance (HFD-310), 5600 Fishers Lane, Rockville, MD 20852.

A. *Effectiveness classification.* The Food and Drug Administration has reviewed all available evidence and concludes that the drug is effective for the indications listed in the labeling conditions below. The drug now lacks substantial evidence of effectiveness for the indication evaluated as possibly effective in the August 19, 1971 notice.

B. *Conditions for approval and marketing.* The Food and Drug Administration is prepared to approve new drug applications and supplements to previously approved new drug applications under conditions described herein.

1. *Form of drug.* The drug is in liquid form suitable for administration by inhalation.

2. *Labeling conditions.* a. The label bears the statement, "Caution: Federal law prohibits dispensing without prescription."

b. The drug is labeled to comply with all requirements of the act and regulations, and the labeling bears adequate information for safe and effective use of the drug. The Indications are as follows:

For the treatment of bronchospasm associated with acute and chronic bronchial asthma, pulmonary emphysema, bronchitis and bronchiectasis.

3. *Marketing status.* a. Marketing of such drug products that are now the subject of an approved or effective new drug application may be continued provided that, on or before November 8, 1976, the holder of the application submits, if he has not previously done so, (i) a supplement for revised labeling as needed to be in accord with the labeling conditions described in this notice, and complete container labeling if current container labeling has not been submitted, and (ii) except for products in aerosol form, a supplement to provide updating information with respect to items 6 (components), 7 (composition), and 8 (methods, facilities, and controls) of new drug application form FD-356H (21 CFR 314.1(c)) to the extent required in abbreviated applications (21 CFR 314.1(f)). For products in aerosol form, full updating information is required.

b. Except for the aerosol form of isoproterenol hydrochloride approval of an abbreviated new drug application (21 CFR 314.1(f)) must be obtained prior to marketing the product. Marketing prior to approval of a new drug application will subject such products, and those persons who caused the products to be marketed, to regulatory action.

c. For the aerosol form of isoproterenol hydrochloride products, approval of an abbreviated new drug application (21 CFR 314.1(f)) containing full information with respect to items 7 (composition), and 8 (methods, facilities, and controls) of new drug application form FD-356H (21 CFR 314.1(c)), must be obtained prior to marketing such product. Marketing prior to approval of a new drug application will subject such products, and those persons who caused the products to be marketed, to regulatory action.

C. *Notice of opportunity for hearing.* On the basis of all the data and information available to him, the Director of the Bureau of Drugs is unaware of any adequate and well-controlled clinical investigation, conducted by experts qualified by scientific training and experience, meeting the requirements of section 505 of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 355) and 21 CFR 314.111(a)(5), demonstrating the effectiveness of the drug(s) for the indication(s) lacking substantial evidence of effectiveness referred to in paragraph A. of this notice.

Notice is given to the holder(s) of the new drug application(s), and to all other interested persons, that the Director of the Bureau of Drugs proposes to issue

an order under section 505(e) of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 355(e)), withdrawing approval of the new drug application(s) and all amendments and supplements thereto providing for the indication(s) lacking substantial evidence of effectiveness referred to in paragraph A. of this notice on the ground that new information before him with respect to the drug product(s), evaluated together with the evidence available to him at the time of approval of the application(s), shows there is a lack of substantial evidence that the drug product(s) will have all the effects it purports or is represented to have under the conditions of use prescribed, recommended, or suggested in the labeling. An order withdrawing approval will not issue with respect to any application(s) supplemented, in accord with this notice, to delete the claim(s) lacking substantial evidence of effectiveness.

In addition to the ground for the proposed withdrawal of approval stated above, this notice of opportunity for hearing encompasses all issues relating to the legal status of the drug products subject to it (including identical, related, or similar drug products as defined in 21 CFR 310.6), e.g., any contention that any such product is not a new drug because it is generally recognized as safe and effective within the meaning of section 201(p) of the act or because it is exempt from part or all of the new drug provisions of the act pursuant to the exemption for products marketed prior to June 25, 1938, contained in section 201(p) of the act, or pursuant to section 107(c) of the Drug Amendments of 1962; or for any other reason.

In accordance with the provisions of section 505 of the act (21 U.S.C. 355) and the regulations promulgated thereunder (21 CFR Parts 310, 314), the applicant(s) and all other persons who manufacture or distribute a drug product which is identical, related, or similar to a drug product named above (21 CFR 310.6), are hereby given an opportunity for a hearing to show why approval of the new drug application(s) providing for the claim(s) involved should not be withdrawn and an opportunity to raise, for administrative determination, all issues relating to the legal status of a drug product named above and all identical, related, or similar drug products.

If an applicant or any person subject to this notice pursuant to 21 CFR 310.6 elects to avail himself of the opportunity for a hearing, he shall file (1) on or before October 8, 1976, a written notice of appearance and request for hearing, and (2) on or before November 8, 1976, the data, information, and analyses on which he relies to justify a hearing, as specified in 21 CFR 314.200. Any other interested person may also submit comments on this proposal to withdraw approval. The procedures and requirements governing this notice of opportunity for hearing, a notice of appearance and request for hearing, a submission of data, information, and analyses to justify a hearing,

other comments, and a grant or denial of hearing, are contained in 21 CFR 314.200.

The failure of an applicant or any other person subject to this notice pursuant to 21 CFR 310.6 to file timely written appearance and request for hearing as required by 21 CFR 314.200 constitutes an election by such person not to avail himself of the opportunity for a hearing concerning the action proposed with respect to such drug product and a waiver of any contentions concerning the legal status of such drug product. Any such drug product labeled for the indication(s) lacking substantial evidence of effectiveness referred to in paragraph A. of this notice may not thereafter lawfully be marketed, and the Food and Drug Administration will initiate appropriate regulatory action to remove such drug products from the market. Any new drug product marketed without an approved NDA is subject to regulatory action at any time.

A request for a hearing may not rest upon mere allegations or denials, but must set forth specific facts showing that there is a genuine and substantial issue of fact that requires a hearing. If it conclusively appears from the face of the data, information, and factual analyses in the request for the hearing that there is no genuine and substantial issue of fact which precludes the withdrawal of approval of the application, or when a request for hearing is not made in the required format or with the required analyses, the Commissioner will enter summary judgment against the person(s) who requests the hearing, making findings and conclusions, denying a hearing.

All submissions pursuant to this notice of opportunity for hearing shall be filed in quintuplicate. Such submissions, except for data and information prohibited from public disclosure pursuant to 21 U.S.C. 331(j) or 18 U.S.C. 1905, may be seen in the office of the Hearing Clerk (address given below) during working hours, Monday through Friday.

Communications forwarded in response to this notice should be identified with the reference number DESI 6327, directed to the attention of the appropriate office named below, and addressed to the Food and Drug Administration, 5600 Fishers Lane, Rockville, MD 20852.

Supplements (identify with NDA number) and full new drugs applications: Division of Surgical-Dental Drug Products (HFD-160), Rm. 18B-08, Bureau of Drugs.

Original abbreviated new drug applications (identify as such): Division of Generic Drug Monographs (HFD-530), Bureau of Drugs.

Request for Hearing (identify with Docket number appearing in the heading of this notice): Hearing Clerk, Food and Drug Administration (HFC-20), Rm. 4-65.

Requests for the report of the National Academy of Sciences-National Research Council: Data Preparation Branch (HFD-614), Division of Drugs Information Resources, Bureau of Drugs.

Other communications regarding this notice: Drug Efficacy Study Implementation Project Manager (HFD-101), Bureau of Drugs.

(Federal Food, Drug, and Cosmetic Act (secs. 502, 505, 52 Stat. 1050-1053, as amended (21 U.S.C. 352, 355)) and under the authority delegated to the Director of the Bureau of Drugs (21 CFR 5.31) (recodification published in the FEDERAL REGISTER of June 15, 1976 (41 FR 24262))).

Dated: August 27, 1976.

J. RICHARD CROUT,  
Director, Bureau of Drugs.

[FR Doc.76-26175 Filed 9-7-76;8:45 am]

Office of the Secretary

**SUPPLEMENTARY MEDICAL INSURANCE FOR THE AGED AND DISABLED (PART B OF TITLE XVIII OF THE SOCIAL SECURITY ACT)**

**Announcement of the Economic Index for the Period July 1976 Through June 1977**

Notice is hereby given that, pursuant to section 1842(b)(3) of the Social Security Act (42 U.S.C. 1395u(b)(3)), as amended by section 224(a) of Pub. L. 92-603, sections 2 and 3 of Pub. L. 94-368, and as implemented by 20 CFR 405.504(a)(3), the economic index applicable to prevailing charges for physicians' services under the program of Supplementary Medical Insurance for the Aged and Disabled (Part B of Title XVIII of the Social Security Act, commonly known as "Medicare Part B.") is 1.276 for the period July 1, 1976 through June 30, 1977. Under section 4 of Pub. L. 94-368, for the 12-month period beginning July 1, 1976, the annual update of prevailing charge levels shall apply to claims filed with a carrier after June 30, 1976, and before July 1, 1977, and which are processed by the carrier after it has made the appropriate changes in the prevailing charge levels. In addition, in accordance with past practice, other claims which are processed by a carrier are paid on the basis of the prevailing charge levels which the carrier has in effect at any given time.

The increase in the economic index over the base value of 1.000 is the maximum allowable increase in any prevailing charge for physicians' services in the period July 1976 through June 1977 over the corresponding prevailing charge for the same service in the same geographic area in fiscal year 1973. It is calculated by increasing the economic index for fiscal year 1976 (as adjusted for certain revisions in the Bureau of Labor Statistics indexes) by the weighted average of the increases in calendar year 1975 over calendar year 1974 in several indexes published by the Bureau of Labor Statistics, and by an index of the increase in physicians' malpractice insurance premiums based on a survey of the premiums charged in 46 States by six major insurers. These insurers, collectively,

write about 70 percent of all malpractice insurance in the United States, and thus provide a representative sample of malpractice premium rates nationwide.

When the economic index limitation on increases in prevailing charges for physicians' services was implemented under Medicare in fiscal year 1976, it was expected that the methodology for constructing the index would be refined over time. The changes considered in this regard have included adjustments for regional differences in cost increases and adjustments for differential practice costs among specialties. However, lack of a sufficiently refined data base on physicians' practice costs has, so far, precluded these changes.

Thus, the only substantive change in the methodology for computing the economic index for the 12 month period beginning in July 1976 is the inclusion of a separate component to reflect the effect of malpractice insurance premium increases on physician office expenses. (Previously, malpractice insurance costs were included in the miscellaneous expense category of office practice costs which is tied to the entire consumer price index.) The component of the index which measures the rise in malpractice insurance premiums provides a measure of the percentage increase in the premiums in calendar year 1975 over 1974. (Reliable separate data on malpractice insurance costs for earlier periods are not available.)

To accommodate the lack of prior (separate) data on malpractice insurance costs, the other components of the

index have been computed on an annual basis to reflect the changes in these components in 1975 over 1974. The calendar year 1974 data used for these components in the calculation of the economic index, in turn, reflect the cumulative increases since calendar year 1971. Therefore (with appropriate adjustments for the malpractice insurance data) the economic index (1.276) for the 12 month period beginning in July 1976 reflects the cumulative change in the components of the index since calendar year 1971, as is intended by section 1842(b)(3) of the Social Security Act as amended by Pub. L. 94-368, and by § 405.504(a)(3)(i) of Regulations No. 5.

The calculation reflects information that became available from the Bureau of Labor Statistics after the economic index for fiscal year 1976 was announced in the FEDERAL REGISTER on June 16, 1975, and put into effect. The economic index for a particular period must necessarily be calculated on the basis of the best information that is available at the time the calculation is made and put into effect. Therefore, the new data have been used to calculate the economic index for the period July 1976 through June 1977 in order to provide the most accurate calculation that is possible at this time of the changes that have taken place in the components of the index since the base year (calendar year 1971).

Calendar year 1975 charge data will be used to determine reasonable charges for the period July 1, 1976 through June 30, 1977. The table below shows the derivation of the economic index.

*Derivation of the Economic Index for the period July 1976 through June 1977*

	1974 value <sup>1</sup>	1975 value <sup>1</sup>	Increase in 1975 values over 1974 values (percent)	Weights <sup>2</sup> (percent)
1. Hourly earnings of non-supervisory workers in finance, insurance, and real estate.....	\$ 3.82	4.13	8.12	0.37x40
2. Housing component of the consumer price index.....	150.6	165.8	10.76	0.15x40
3. Private transportation component of the consumer price index.....	136.6	149.8	9.66	0.07x40
4. Drugs and pharmaceutical component of the wholesale price index.....	112.7	126.6	12.33	0.09x40
5. All other, miscellaneous, expenses (tied to the entire consumer price index).....	147.7	161.2	9.14	0.28x40
6. Premiums for malpractice insurance.....			\$ 84.00	0.01x40
7. Average weekly earnings of production and non-supervisory workers.....	154.45	163.89	6.11	
8. Index of output per man-hour of employed nonfarm workers.....	\$ 109.5	110.5	0.91	
9. Change in average weekly earnings net of change in output per man-hour.....			5.15	60
10. Increase in economic index over fiscal year 1976 value.....			\$ 8.02	
Fiscal year 1976 economic index adjusted for revisions in BLS statistics since the announcement <sup>3</sup> .....			\$ 1.1816	
Economic index for the period July 1976 through June 1977.....			1.2764	

<sup>1</sup> All component values of the economic index except line 6 are from *The Monthly Labor Review* published by the U.S. Department of Labor.

<sup>2</sup> BLS revised the values of line 1 for 1974 and line 8 for 1971 and 1974 since the time of the announcement of the economic index for fiscal year 1976.

<sup>3</sup> The weights used were derived from Medical Economics (December 8, 1975) and Profile of Medical Practice (1974 edition).

<sup>4</sup> Derived from a survey of six major insurance companies.

<sup>5</sup> See text for explanation.

(Secs. 1102, 1842(b), and 1871 of the Social Security Act, 49 Stat. 647, as amended, 79 Stat. 302, 79 Stat. 310, 79 Stat. 331; 86 Stat. 1395; 42 U.S.C. 1302, 1395(a), 1395(b), and 1395hh.)

(Catalog of Federal Domestic Assistance Program No. 13.801, Health Insurance for the

Aged and Disabled—Supplementary Medical Insurance)

Dated: August 25, 1976.

WILLIAM A. MORRILL,  
Acting Secretary.

[FR Doc.76-25766 Filed 9-7-76;8:45 am]

**DEPARTMENT OF HOUSING AND  
URBAN DEVELOPMENT**

Office of Interstate Land Sales Registration

[Docket No. N-76-626]

**ORCHID LAND ESTATES**

**Hearing**

Notice is hereby given that:

In the matter of Orchid Land Estates, OILSR No. 0-0329-14-9, Land Sales Enforcement Division No. 76-92-IS; Order of Suspension.

On July 15, 1976, the Department published a Notice of Proceedings and Opportunity for Hearing in the FEDERAL REGISTER (41 FR 29206) pursuant to 44 U.S.C. 1508. The developer, JYS Corporation d.b.a. Orchid Land Estates, whose last known address is 1258 Kapiolani Boulevard, Honolulu, Hawaii, has failed to respond to or to request a hearing pursuant to 1720.160 within 15 days of the service by publication of the said Notice of Proceedings and Opportunity for Hearing. Accordingly, an Order of Suspension is being issued, pursuant to 15 U.S.C. 1706(d) and 24 CFR 1710.45(b) (1), as follows:

**ORDER OF SUSPENSION**

1. The developer, having filed a Statement of Record for the named subdivision pursuant to the provisions of the Interstate Land Sales Full Disclosure Act, 15 U.S.C. 1701 et. seq. and the Rules and Regulations promulgated thereto pursuant to 15 U.S.C. 1718, had its Statement of Record become effective pursuant to 24 CFR 1710.21 of the Interstate Land Sales Regulations. Said Statement is still in effect.

2. As provided by 15 U.S.C. 1175, the authority and responsibility for administration of the Interstate Land Sales Full Disclosure Act has been delegated to the Assistant Secretary for Consumer Affairs and Regulatory Functions (41 FR 19365, May 12, 1976).

3. According to 15 U.S.C. 1706(d) and 24 CFR 1710.45(b) (1), if it should appear to the Assistant Secretary for Consumer Affairs and Regulatory Functions that an effective Statement of Record includes an untrue statement of a material fact or omits to state any material fact required to be stated therein or necessary to make the statement therein not misleading, the said Assistant Secretary is authorized, after notice, and after any opportunity for a hearing requested within 15 days after receipt of such notice, to issue an order suspending the Statement of Record.

4. On July 15, 1976, the Department of Housing and Urban Development, Office of Interstate Land Sales Registration, caused to be published in the FEDERAL REGISTER (41 FR 29206) a Notice of Proceedings and Opportunity for Hearing. The notice informed the developer of information obtained by the Office of Interstate Land Sales Registration showing a change occurred affecting a material fact contained in the Statement of Record and Property Report. The developer has failed to answer or to request a hear-

ing pursuant to 24 CFR 1720.160 within 15 days of said publication.

Therefore, pursuant to the provisions of 15 U.S.C. 1706(d) and 24 CFR 1710.45 (b) (1) the Statement of Record heretofore filed by the developer pertaining to said subdivision is hereby suspended, effective as of the date of the publication of this Order of Suspension in the FEDERAL REGISTER. This Order of Suspension shall remain in effect until such time as the Statement of Record has been properly amended as required by the Interstate Land Sales Full Disclosure Act and implementing Regulations.

This Order shall be served upon the Respondent by publication in the FEDERAL REGISTER, pursuant to 44 U.S.C. 1508.

Any sales or offers to sell made by the developer or its agents, successors or assigns while this Order of Suspension is in effect will be in violation of the provisions of said Act.

Issued at Washington, D.C., this 31st day of August, 1976.

CONSTANCE NEWMAN,  
Assistant Secretary for Consumer Affairs and Regulatory Functions.

[FR Doc. 76-26202 Filed 9-7-76; 8:30 am]

**CIVIL AERONAUTICS BOARD**

[Order 76-9-1; Docket 29728]

**CONTINENTAL AIR LINES, INC., AND  
WESTERN AIR LINES, INC.**

**Mainland-Hawaii General Fare Increase;  
Order of Investigation and Suspension**

Adopted by the Civil Aeronautics Board at its office in Washington, D.C. on the 1st day of September 1976.

By tariff revisions<sup>1</sup> marked to become effective September 3, 1976, Continental Air Lines, Inc. (Continental) and Western Air Lines, Inc. (Western) propose to increase by five percent all mainland U.S.-Hawaii fares.<sup>2</sup>

In support of their proposals, the carriers allege that mainland-Hawaii fares are the lowest to be found anywhere in the world; that Hawaiian fares have not increased nearly as much as have other domestic or international fares; that the rate of increase in Hawaiian fares has not kept pace with the rate of cost inflation; and that Hawaiian operations have become a "chronic drain" on the resources of the carriers serving the market. Continental notes in its justification that correction of the error in the application of ratemaking standards noted by the Board in Order 76-7-13 would produce a rate of return on investment (ROI) of 11.7 percent rather than 12.8 percent contained in Board Order 76-4-171 suspending a five-percent increase in normal fares. Continental has submitted an adjusted industry ROI computation for the calendar year 1975 at a standard 55 percent load factor

<sup>1</sup>Revisions to Airline Tariff Publishing Company, Agent, Tariff C.A.B. No. 258.

<sup>2</sup>The proposed increased fares apply to travel on and after September 15, 1976.

indicating an ROI of 9.95 percent for the mainland-Hawaii entity, with a five-percent fare increase, as justification for its proposal and notes that an eight-percent fare increase would be required to achieve a 12 percent return.

Upon consideration of the tariff filings and all other relevant matters, the Board has concluded that the proposed increases may be unjust, or unreasonable, or unjustly discriminatory, or unduly preferential, or unduly prejudicial, or otherwise unlawful, and should be investigated. The Board has further concluded that the increased fares should be suspended pending investigation.

After making the appropriate ratemaking adjustments, including application of a 62 percent standard load factor,<sup>3</sup> we conclude that the requested five-percent general fare increase would produce an excessive industry return on investment, and that it should not be permitted (see Appendix<sup>4</sup>). The nature of the ratemaking adjustments has been explained in previous orders and we will not repeat those discussions herein.<sup>5</sup>

Application of the various ratemaking adjustments produces an ROI of 13.56 percent before cost escalation. The more significant of these adjustments are those for discount fares and standard seats, since the standard load factor is only 1.8 percentage points above the actual load factor. Costs have been brought forward to September 15, 1976, by application of a 7.09 percent cost-inflation factor which results in an adjusted ROI of 8.93 percent without the proposed five-percent fare increase. Adjusting to reflect the proposed increase raises the ratemaking ROI to 12.65 percent.

Accordingly, pursuant to the Federal Aviation Act of 1958, and particularly sections 204(a), 403, 404, and 1002 thereof,

*It is ordered that:* 1. An investigation be instituted to determine whether the fares and provisions of Supplement No. 17 to C.A.B. No. 258 issued by Airline Tariff Publishing Company, Agent, insofar as it increases fares, and rules, regulations, and practices affecting such fares and provisions, are or will be unjust, unreasonable, unjustly discriminatory, unduly preferential, unduly prejudicial, or otherwise unlawful, and, if found to be unlawful, to determine and prescribe the lawful fares and provisions, and rules, regulations, or practices affecting such fares and provisions;

2. Pending hearing and decision by the Board, the fares and provisions of Supplement No. 17 to C.A.B. No. 258 issued by Airline Tariff Publishing Company, Agent, insofar as it increases fares, are suspended and their use deferred to and including December 1, 1976 unless

<sup>3</sup>In Board Order 76-4-171, April 29, 1976, the carriers were put on notice that the Board had decided on a higher load factor standard in this entity as a result of the Hawaii Fares Investigation.

<sup>4</sup>Appendix filed as part of original document.

<sup>5</sup>Orders 76-4-171, April 29, 1976 and 76-6-57, June 9, 1976.

otherwise ordered by the Board; and that no changes be made therein during the period of suspension except by order or special permission of the Board;

3. The proceeding ordered herein will be assigned to an administrative law judge of the Board at a time and place hereafter to be determined; and

4. Copies of this order be served upon Continental Air Lines, Inc. and Western Air Lines, Inc., which are hereby made parties to this proceeding.

This order will be published in the Federal Register.

By the Civil Aeronautics Board:

PHYLLIS T. KAYLOR,  
Secretary.

[FR Doc. 76-26237 Filed 9-7-76; 8:45 am]

[Docket 29646]

**GERMANAIR  
BEDARFLUFTFAHRTGESELLSCHAFT,  
m.b.H. (GERMANAIR)**

**Foreign Air Carrier Permit Renewal;  
Prehearing Conference**

Notice is hereby given that a prehearing conference in this proceeding is assigned to be held on September 24, 1976, at 9:30 a.m. (local time), in Room 1003, Hearing Room C, 1875 Connecticut Avenue, NW., Washington, D.C., before Administrative Law Judge Greer M. Murphy.

Dated at Washington, D.C., September 1, 1976.

ROBERT L. PARK,  
Chief Administrative Law Judge.

[FR Doc. 76-26238 Filed 9-7-76; 8:45 am]

[Docket 29654]

**SITMAR CRUISES, INC.**

**Indirect Foreign Air Carrier (Liberia);  
Prehearing Conference**

Notice is hereby given that a prehearing conference in this proceeding is assigned to be held on September 21, 1976, at 9:30 a.m. (local time), in Room 1003, Hearing Room C, 1875 Connecticut Avenue, NW., Washington, D.C., before Administrative Law Judge Greer M. Murphy.

Dated at Washington, D.C., September 1, 1976.

ROBERT L. PARK,  
Chief Administrative Law Judge.

[FR Doc. 76-26239 Filed 9-7-76; 8:45 am]

**COMMISSION ON CIVIL RIGHTS**

**ARIZONA ADVISORY COMMITTEE**

**Agenda and Notice of Open Meeting**

Notice is hereby given, pursuant to the provisions of the Rules and Regulations of the U.S. Commission on Civil Rights, that a planning meeting of the Arizona Advisory Committee (SAC) to this Commission will convene at 7:30 p.m. and end at 10:00 p.m. on September 23, 1976, at

the Hunter Inn, 124 South 24th Street, Sonora Room, Phoenix, Arizona.

Persons wishing to attend this meeting should contact the Committee Chairperson, or the Mountain States Regional Office of the Commission, Executive Tower Inn, Suite 1700, 1405 Curtis Street, Denver, Colorado 80202.

The purpose of this open meeting is to review draft copy of the Arizona SAC's report on the Administration of Justice and American Indians in Flagstaff.

This meeting will be conducted pursuant to the rules and regulations of the Commission.

Dated at Washington, D.C., September 1, 1976.

ISAIHAH T. CRESWELL, Jr.,  
Advisory Committee  
Management Officer.

[FR Doc. 76-26128 Filed 9-7-76; 8:45 am]

**KENTUCKY ADVISORY COMMITTEE**

**Agenda and Notice of Open Meeting**

Notice is hereby given, pursuant to the provisions of the Rules and Regulations of the U.S. Commission on Civil Rights, that a planning meeting of the Kentucky Advisory Committee (SAC) to this Commission will convene at 6:00 p.m. and end at 10:00 p.m. on September 23, 1976, and reconvene at 9:30 a.m. and end at 6:00 p.m. on September 24, 1976, at the Holiday Inn West, 925 Newtown Pike, Gaslight Room, Lexington, Kentucky 40505.

Persons wishing to attend this meeting should contact the Committee Chairperson, or the Southern Regional Office of the Commission, Citizens Trust Bank Building, Room 362, 75 Piedmont Avenue, NE., Atlanta, Georgia 30303.

The purpose of this meeting is to rekindle interest of members and plan program for the year and to also discuss interim appointments to SAC.

This meeting will be conducted pursuant to the Rules and Regulations of the Commission.

Dated at Washington, D.C., September 1, 1976.

ISAIHAH T. CRESWELL, Jr.,  
Advisory Committee  
Management Officer.

[FR Doc. 76-26129 Filed 9-7-76; 8:45 am]

**NEW JERSEY ADVISORY COMMITTEE**

**Amendment to Notice of Open Meeting**

Notice is hereby given, pursuant to the provisions of the Rules and Regulations of the U.S. Commission on Civil Rights, that the meeting of the New Jersey Advisory Committee (SAC) of the Commission published in the FEDERAL REGISTER on Tuesday, August 17, 1976, on page 34813 (FR Doc. 76-23936) is hereby amended to show change of meeting date. The meeting will be held on September 21, 1976. The meeting place and time will remain the same.

Dated at Washington, D.C., September 1, 1976.

ISAIHAH T. CRESWELL, Jr.,  
Advisory Committee  
Management Officer.

[FR Doc. 76-26130 Filed 9-7-76; 8:45 am]

**COMMISSION ON FEDERAL  
PAPERWORK**

**SMALL PURCHASE PROCEDURES**

**Procurement Study Group Investigation**

The Commission on Federal Paperwork, created by Public Law 93-556, is mandated to study and investigate statutes, policies, rules, regulations, and procedures of Federal agencies to recommend solutions to Federal paperwork problems.

The Commission is studying the problems of paperwork and administrative complexities associated with small purchases by the Federal government, (those under \$10,000). Generally, such transactions require less time to complete and process than those of higher dollar value. Within this small purchase category the Commission is especially interested in paperwork and administrative difficulties encountered by vendors in dealing with Federal procuring activities for purchases up to \$300.

Comments, including experiences with this subject area and recommendations, are invited from the private sector. They will be accepted and considered if received on or before November 8, 1976.

Comments should be addressed to the Commission on Federal Paperwork, 1111 Twentieth Street, NW., Washington, D.C. 20582, Attention: Procurement Study Group.

Signed at Washington, D.C. on August 31, 1976.

FRANK HORTON,  
Chairman.

[FR Doc. 76-26266 Filed 9-7-76; 8:45 am]

**COUNCIL ON ENVIRONMENTAL  
QUALITY**

**ENVIRONMENTAL IMPACT STATEMENTS  
RECEIVED**

**August 23 Through August 27, 1976**

Environmental impact statements received by the Council on Environmental Quality from August 23 through August 27, 1976. The date of receipt for each statement is noted in the statement summary. Under Council Guidelines the minimum period for public review and comment on draft environmental impact statements in forty-five (45) days from this FEDERAL REGISTER notice of availability (October 18, 1976).

The thirty (30) days period for each final statement begins on the day the statement is made available to the Council and to commenting parties.

Copies of individual statements are available for review from the originating agency. Back copies will also be available

at cost, from the Environmental Law Institute, 1346 Connecticut Avenue, Washington, D.C. 20036.

## DEPARTMENT OF AGRICULTURE

Contact: Coordinator of Environmental Quality Activities, Office of the Secretary, U.S. Department of Agriculture, Room 359-A, Washington, D.C. 20250, (202) 447-3965.

## FOREST SERVICE

*Draft*

Thompson Creek Unit Plan, White River National Forest, Pitkin County, Colo., Aug. 23: Proposed is the updating of an existing Multiple Use Management direction for the Thompson Creek Unit, White River National Forest. Plans call for: managing 12,200 acres as roadless; controlling vehicular access on 16,000 acres in Middle Thompson and South Branch Creeks; and recommending areas of state interest. The Unit contains approximately 79,050 acres of National Forest land, 32,850 acres of private land, and 15,000 acres of Natural Resource Land (BLM). (61 pages.) (ELR Order No. 61235.)

Boulder Planning Unit, Kaniksu National Forest, Boundary County, Idaho, Aug. 23: The statement refers to a land use plan for the Boulder Planning Unit, Kaniksu National Forest. The proposed plan would allocate resources and specify land use prescriptions for National Forests lands only, which make up 96 percent, or 55,640 acres, of the 58,000 acres within the planning unit. The adverse impacts will vary according to the alternative selected. (274 pages.) (ELR Order No. 61229.)

*Final*

Ozone Unit Plan, Ozark-St. Francis National Forest, Johnson County, Ark., Aug. 23: The proposed action is to manage the 66,417 acre Ozone Unit of the Ozark-St. Francis National Forest. The 10-year plan includes regenerating 5,560 acres, thinning and releasing 9,600 acres, increasing the diversity of wildlife habitat conditions, maintenance and improvement of existing developed recreation sites, administration of on-going gas and oil leasing activities, managing the range resource and the construction or reconstruction by timber purchasers, contractors, permittees or leases of 67 miles of single lane, gravel roads. The planned activities may make portions of the unit less suitable for wilderness or other natural area designation. (128 pages.) Comments made by: DOI, EPA, state agencies, concerned individuals and groups. (ELR Order No. 61228.)

Upper Trinity Planning Unit, Shasta-Trinity National Forest, Trinity County, Calif., Aug. 27: The statement refers to a proposed land use plan for the upper Trinity Planning Unit, Shasta-Trinity National Forest. The plan applies to 27,730 acres of land. The proposal provides for protection of amenity values and quality of the natural environment and commodity utilization. Adverse impacts include loss of some wilderness area due to road construction, and long term negative aesthetic impact resulting from the strip mining of asbestos deposits. (155 pages.) Comments made by: DOI, FPC, USA, DOT, EPA, USDA, state and local agencies, interested persons and groups. (ELR Order No. 61270.)

Lone Peak Wilderness Study, Uinta, Wasatch National Forest, Salt Lake and Utah Counties, Utah, Aug. 26: The statement concerns the proposed wilderness classification of the Lone Peak Wilderness Study Area. Three alternatives, each with different social and economic impacts, are presented. (143 pages.) Comments made by: USDA, ERDA, USCG, FPC, PA 2, state and local agencies, University of Utah. (ELR Order No. 61269.)

## RURAL ELECTRIFICATION ADMINISTRATION

*Final*

Teeland to Reed 230 kV transmission line, Alaska, Aug. 24: The statement concerns a load application by the Alaska Power Administration to finance the construction of approximately 20 miles of 230kV transmission line from the existing Teeland Substation to the Reed Substation. The proposed line will generally traverse the head of Knik Arm crossing through approximately 16.2 miles of the Matanuska-Susitna Borough and approximately 4.0 miles of the newly established Eagle River Borough. The project will result in the cutting of timber, soil erosion, negative esthetic effects, minor limitations on land use, and temporary construction effects. (256 pages.) Comments made by: USDA, DOT, FPC, EPA, DOI, state agencies. (ELR Order No. 61255.)

*Draft*

## SOIL CONSERVATION SERVICE

Blue Eye Creek Watershed, Ala. (2), Calhoun and Talladega Counties, Ala., Aug. 23: Proposed is the Blue Eye Creek Watershed Project, which is for watershed protection and flood prevention in Calhoun and Talladega Counties, Alabama. The project includes conservation land treatment measures and about four miles of channel work. Two floodwater retarding structures and about 1.8 miles of channel work have been installed. About 47 acres of forest land will be cleared for channel installation within the channel work rights-of-way. (210 pages.) (ELR Order No. 61238.)

## DEPARTMENT OF COMMERCE

Contact: Dr. Sidney R. Galler, Deputy Assistant Secretary for Environmental Affairs, Department of Commerce, Washington, D.C. 20230, (202) 967-4335.

## ECONOMIC DEVELOPMENT ADMINISTRATION

*Final*

Price River Water Improvement District, Carbon County, Utah, Aug. 23: Proposed is the construction of a 4 million gallon per day water treatment plant and a twenty-mile transmission system to be located within the Price River Water Improvement District. The primary construction impacts of the proposal include temporary disturbance of urban and rural sites within the pipeline corridor and loss of Price River riparian vegetation and associated wildlife, subsequently impacting Price River water quality and riverine biota. Secondary impacts include the growth-inducing effects of the proposal, allowing for further residential, commercial, and industrial development. (261 pages.) Comments made by: AHP, USDA, USA, EPA, FPC, HEW, DOI. (ELR Order No. 61247.)

## DEPARTMENT OF DEFENSE, ARMY

Contact: Mr. George A. Cunney, Jr., Acting Chief, Environmental Office, Directorate of Installations, Office of the Deputy Chief of Staff for Logistics, Washington, D.C. 20310, (202) OX 4-4269.

*Draft*

CAMDS, Tooele Army Depot, Utah, Aug. 23: Proposed is the operation of the Chemical Agent Munitions Disposal System (CAMDS), a prototype demilitarization plant, at Tooele Army Depot. The CAMDS will test the suitability of new automated equipment and processes which are envisioned for future demilitarization facilities. This action involves the demonstration of selected GB, VX, and mustard-filled munitions and bulk storage containers stored at Tooele Army Depot. (240 pages.) (ELR Order No. 61248.)

## DEPARTMENT OF DEFENSE, ARMY CORPS

Contact: Dr. C. Grant Ash, Office of Environmental Policy Development, Attn: DAEN-CWR-P, Office of the Chief of Engineers, U.S. Army Corps of Engineers, 1000 Independence Avenue, SW., Washington, D.C. 20314, (202) 693-6795.

*Draft*

Port Lions Harbor Project, Alaska, Aug. 24: Proposed is a plan of development for the Port Lions Harbor, Alaska. The recommended plan consists of two armored rubblemound breakwaters 650 and 500 feet long respectively. The project is designed to provide a protected anchorage basin for resident and transient commercial fishing vessels. Adverse effects include direct loss of marine habitat, and possible low-level chronic pollution of the harbor from accidental or intentional spillage or from disposal of human refuse and waste lubricants. (Alaska District) (35 pages.) (ELR Order No. 61251.)

Cross Florida Barge Canal, Restudy Report, Fla., Aug. 23: The statement refers to an authorized plan and alternative actions for completing or not completing a Cross Florida Barge Canal (CFBC) project. The CFBC project provides for a high level barge canal about 110 miles long extending from the St. Johns River at Palatka to deep water in the Gulf of Mexico near Yankeetown. Adverse effects resulting from the Authorized alternative include loss of the Oklawaha River Basin ecosystem as an entity, loss of forest land, and destruction of wildlife habitat. (109 pages.) (ELR Order No. 61230.)

Punta Gorda Isles, Inc.-Section 15 (permit), Charlotte County, Fla., Aug. 23: This statement concerns the application by Punta Gorda Isles, Inc., for a permit to conduct activities in the navigable waters of the United States for the purpose of constructing a boat lock in a canal connecting to the north branch of Alligator Creek. The development involves approximately 1,015 acres. The proposed work would cause the removal of the mangrove and Juncus Grass communities, and would adversely affect water quality. (56 pages.) (ELR Order No. 62133.)

Chicagoland Underflow Plans, Water Damage Study, Cook County, Ill., Aug. 23: Proposed is a plan of improvement for the combined-sewer area of the Metropolitan Sanitary District of Greater Chicago (MSD GC). The plan includes upgrading the sewer system and treatment plants, construction of tunnels and retention reservoirs, and the institution of a sludge management system. Major adverse impacts include commitment of land for project-related facilities, impacts on ecosystems, increased use of energy resources, and potential odor emissions. (224 pages.) (ELR Order No. 61231.)

West Des Moines-Des Moines Flood Protection (2), Polk County, Iowa, Aug. 23: This revised EIS proposes a plan for flood protection for the cities of West Des Moines and Des Moines, near the confluence of the Raccoon River and Walnut Creek, Iowa. The project will protect an area of approximately 927 acres from the Standard Project Flood of these watercourses. Project elements include refurbishment of existing levees and construction of new levees along the north bank of Raccoon River, the west bank of Walnut Creek, and the north bank of Jordan Creek. Levee construction will result in direct loss of approximately 22 acres of flood plain vegetation. (Rock Island District.) (36 pages.) (ELR Order No. 61241.)

Mermentau River-Gulf of Mexico, Navigation Channel, La., Aug. 23: The proposed action refers to the maintenance of the Mermentau River-Gulf of Mexico Navigation Channel, Louisiana. Specifically, it calls for

(1) maintenance dredging of the Mermen-tau River-Gulf of Mexico Navigation Channel to its design dimensions, 15 by 100 feet inshore and 15 by 200 feet offshore; (2) maintenance of jetties at the entrance of the channel in the Gulf of Mexico; and, (3) disposal of dredged material in a manner that would least alter the existing ecological conditions and would create new tidal marsh. Adverse effects include localized increases in water turbidity and temporary loss of benthic flora and fauna in the dredged areas. (New Orleans district) (100 pages). (ELR Order No. 61234.)

Root River Basin Flood Control, Minn., Aug. 23: The proposed action for the Root River Basin consists of the construction of 3.1 miles of levees and 0.2 mile of road raises at Houston. The plan encourages floodplain regulation and flood insurance at other flood-prone communities and rural areas of the basin. The construction of the levee would result in temporary noise, increased traffic, possible dust pollution, and road detours in the immediate construction area. (100 pages.) (ELR Order No. 61232.)

Wilmington Harbor - Northeast (Cape Fear) River, Wilmington Harbor, New Hanover County, N.C., Aug. 23: Proposed is the construction, maintenance, and management of improvements to the existing Wilmington Harbor, North Carolina. The project consists of widening and deepening the existing deep draft ship channel and turning basins, extending a new ship channel 5 miles into the Northeast Cape Fear River, providing controlled access to the new channel, acquiring conservation rights or fee simple on 2,270 acres of wetland and upland attendant to the river, and managing the acquired 2,270 acre conservation area. Impacts include the loss of 11 acres of productive shallow water area and 3 acres of fresh marsh. (Wilmington District) (525 pages.) (ELR Order No. 61240.)

Rohm & Haas Co., solid fill in Delaware River (permit), Philadelphia County, Pa., Aug. 23: The Rohm and Haas Company has applied for renewal of a U.S. Army permit to complete filling operations. This action entails deposition of construction rubble, by end dumping from trucking, in the Delaware River, off Bridge St., in front of the Philadelphia plant. This operation was originally approved under a U.S. Army permit issued on 28 January 1971 and approximately 6.5 acres of this area have been completed. Renewal of the permit is required to continue the project. Adverse effects include the removal of approximately 18 acres of aquatic habitat. (Philadelphia District) (83 pages). (ELR Order No. 61244.)

Blair and Sitcum Waterways, Channel Improve, Pierce County, Wash., Aug. 23: This statement refers to a plan for channel improvements in the Blair and Sitcum Waterways, Tacoma Harbor. The proposal calls for the deepening of the Blair Waterway to 45 feet for its 2.6 mile reach and for Federal maintenance of Sitcum Waterway to depths of 40 feet in the outer 3,000 feet and 35 feet in the inner 1,000 feet, both having a bottom width of 300 feet. Adverse environmental effects include loss of marine biota and wildlife inhabiting dredge and fill sites, removal of five structures, and temporary increases in noise levels. (Seattle District) (165 pages). (ELR Order No. 61236.)

#### Final

New London Harbor and Thames River, Conn., Aug. 25: The statement considers the deepening of the 600 ft. wide channel from 33 ft. to 40 ft., for a distance of 3 miles; and the dredging of a 30 ft. deep turning basin at State Pier. Approximately 3,260,000 cu. yds. of spoil will be dumped at an approved offshore site. The project will provide facilities which can accommodate vessels of up to

50,000 deadweight tons. (Present limitations are at 35,000 tons.) Marine biota will be damaged at the sites of dredging and dumping. (New England District) (95 pages). Comments made by: EPA, DOI, DOC, USCG, state and local agencies. (ELR Order No. 61259.)

Mississippi River Locks and Dam No. 26, Ill., Aug. 24: Proposed is the construction of a new dam and a 100- x 1,200-foot lock on the Mississippi River at river mile 200.78. Also included in the project is (1) the development of 123 acres of water-oriented outdoor recreational facilities on Ellis Island, (2) the purchase of land as mitigation for induced losses of terrestrial wildlife habitat on an estimated 1450 acres, and, (3) congressional authorization of a comprehensive study of the river environment. Adverse effects include the loss or degradation of 542 acres of habitat and the inundation of 800 acres of land. (589 pages.) Comments made by: AHP, HEW, DLAB, DOT, FPC, TVA, EPA, DOI, DOC, state and local groups, concerned individuals. (ELR Order No. 61258.)

Navigation Projects on North Carolina Sounds, Maintenance, several counties in North Carolina, August 26: The proposed action involves maintenance dredging of the navigation channel in Croatan Sound and maintenance dredging of various side channels to small communities on the Outer Banks and mainland side of Pamlico Sound. Dredging activities are to be accomplished by hydraulic pipeline and sidecast dredge and consist of maintaining the existing projects to their authorized dimensions. Adverse effects include the burial of terrestrial vegetation in the upland diked disposal areas and the covering of benthic organisms in overboard disposal sites (Wilmington District) (403 pages). Comments made by: EPA, DOC, DOI, USDA, USCG, HEW, State and local agencies, and concerned individuals. (ELR Order No. 61262.)

Point Judith Harbor and Pond, Dredging, Rhode Island, August 26: The proposed action is to conduct improvement dredging at Gallie Harbor, Point Judith Pond, Narragansett, Rhode Island. The total project calls for the hydraulic pipeline dredging of an estimated 63,000 cubic yards from the East Channel. Adverse impacts include loss or disruption of aquatic and benthic communities, temporary loss of water quality and aesthetic quality of the water column, and loss of aesthetics at the settling sites. (New England Division) (86 pages). Comments made by: DOI, EPA, DOC, HUD, FEA, AHP, HEW, and State and local agencies. (ELR Order No. 61266.)

#### Supplement

Applegate Reservoir, Rogue River Basin (S-2), Jackson County, Oregon: Proposed is the construction and operation of Applegate Lake, one component of the Rogue River Basin Project, in Jackson County, Oregon. Project purposes include flood control, irrigation, water supply, fish and wildlife enhancement, recreation, and water quality control. Project implementation would cause the inundation of about 60 acres and the displacement of 36 residences (Portland District) (492 pages). Comments made by: EPA, USDA, DOC, DOI, AHP, DOT, State agencies, and concerned groups and persons. (ELR Order No. 61249.)

#### ENVIRONMENTAL PROTECTION AGENCY

Contact: Ms. Rebecca W. Hanmer, Director, Office of Federal Activities, Room WSMW 537, 401 M Street SW., Washington, D.C. 20460, 202-755-0780 (stop 460).

#### Final

Arlington-East District Wastewater Facilities, Duval County, Fla., August 23: Proposed is the awarding of grant funds to the

City of Jacksonville, Florida for the preparation of plans and specifications for regional wastewater treatment facilities to service the Arlington-East District. Adverse impacts include the loss of 46.98 acres of wildlife habitat. The operation of the facility will cause the discharge of initially 10 mgd and ultimately 25 mgd of secondary treated wastewater to the St. James River (235 pages). Comments made by: USDA, DOC, EPA, HEW, DOI, State and local agencies, and private organizations and individuals. (ELR Order No. 61242.)

Orton Road Interceptor, Seattle, King County, Wash., August 24: The EPA proposes to award a grant for 75% of the total eligible project costs for wastewater treatment works for W.D. 90, King County, Washington. The proposed project, a 2.1 mile long 10-inch to 24-inch diameter interceptor sewer, would provide sanitary sewer service to a new public high school and, ultimately, a residential area of about 2,395 acres. Direct impacts include the use of materials, and the clearing of 2.5 acres of land for permanent right-of-way. Indirect impacts would be associated with the opening of Liberty High School. Also, land use changes and population density increases could occur as a result of zoning changes with sewers in the area (Region X). Comments made by: USDA, DOI, COE, HUD, AHP, city and county agencies, and concerned groups and individuals. (ELR Order No. 61253.)

#### GENERAL SERVICES ADMINISTRATION

Contact: Mr. Andrew E. Kauders, Executive Director of Environmental Affairs, General Services Administration, 18th and F Streets NW., Washington, D.C. 20405, 202-343-4161.

#### Final

Federal Building, Pocatello, Bannock County, Idaho, August 23: The proposed action involves lease construction of a Federal Building and disposal of the existing Federal Building-Courthouse, Pocatello, Idaho. The new Federal building will provide approximately 40,000 square feet of agency office space, together with service areas, to house approximately 200 employees. A total of 160 parking spaces will be provided onsite for official, visitor, and employee vehicles. Adverse effects include the displacement of seven families (80 pages). Comments made by: COE, EPA, HUD, DOT, FEA, FPC, DOI, AHP, and State agencies. (ELR Order No. 61243.)

#### DEPARTMENT OF HEW

Contact: Mr. Charles Custard, Acting Director, Office of Environmental Affairs, Office of the Assistant Secretary for Administration and Management, Room 3718 HEW-North, Washington, D.C. 20202, 202-963-4456.

#### Draft

Recombinant DNA Molecules Research, Guidelines, August 26: This statement embodies Guidelines released on June 23, 1976 by the Director of the National Institutes of Health governing the conduct of NIH-supported research on recombinant DNA molecules. The Guidelines prohibit certain kinds of recombinant DNA experiments and, for those experiments that are permitted, they specify safety precautions and conditions designed to protect the health of laboratory workers, the general public, and the environment should the putative hazards prove real (100 pages). (ELR Order No. 61263.)

#### Final

National Environmental Health Research Center, Durham and Orange Counties, N.C., August 26: Proposed is the development of the National Environmental Health Research Center (NEHRC) on a 509-acre site in Research Triangle Park, North Carolina. The

objective of the NEHRC is to identify and evaluate environmental factors in terms of their effects on human health. The major environmental effect would result from the increase in population in the Research Triangle Park area which would place demands on local and regional services. The services most affected would include transportation, sewage, solid wastes, water supply, health resources, educational resources, and housing supply (129 pages). Comments made by: DOT, AHP, DOI, GSA, EPA, NSF, State and local agencies, and concerned individuals. (ELR Order No. 61264.)

#### DEPARTMENT OF HUD

Contact: Mr. Richard H. Broun, Director, Office of Environmental Quality, Room 7258, 451 7th Street SW., Washington, D.C. 20410, 202-755-6308.

#### Draft

Pine Bluff Southeast Sanitary Sewer, Jefferson County, Ark., August 23: Proposed is the installation of a sanitary sewer system to serve several hundred acres of the southeast section of the city of Pine Bluff. The statement also refers to the reconstruction of a major arterial street and the installation of an underground drainage system. Adverse impacts include clearing of woodlands, erosion and siltation, cuts through street paving, noise, dust, traffic disruption, and economic impact on businesses (198 pages). (ELR Order No. 61227.)

Georgetown ASP 75-28, Chatham County, Ga., August 24: The project is a planned unit development encompassing 2,206 acres in Chatham County, Georgia. The planned land uses include single-family detached houses, apartments and townhouses, regional and neighborhood commercial areas, recreation facilities, a school complex, an office park, a warehouse/light industry area, an activity center and a sewage treatment plant. The major adverse impacts will be increased demands on schools and highways, and highways, and loss of agriculturally productive land (174 pages). (ELR Order No. 61250.)

St. Charles Communities, Charles County, Md., August 24: Proposed is the development of St. Charles Communities, located approximately 25 miles southeast of Washington, D.C. It is bounded on the north and east by Maryland Route 5, on the south by Maryland Route 449 and on the west by U.S. Route 301. Adverse effects of development include the possible degradation of groundwater, and potential degradation of the Zekiah Swamp. The existing and proposed transportation network for the area may also prove to be inadequate (461 pages). (ELR Order No. 61256.)

#### Final

Hutchinson Heights, Arapahoe County, Colo., August 25: This statement refers to the application by the developers of Hutchinson Heights for a subdivision feasibility analysis. The sale of 12,269 developed lots on 1,810 acres is planned for the construction of single and multi-family homes. Adverse impacts include extensive modifications of West Toll Gate Creek and effects on prairie vegetation, wildlife, the adjacent Plains Conservation Center, and the deer migration pathways (88 pages). Comments made by: AHP, USDA, COE, DOC, DOT, HEW, FEA, DOI, EPA, USAF, State and county agencies, and interested individuals. (ELR Order No. 61261.)

#### Correction

The CEQ FEDERAL REGISTER entry of July 30, 1976 incorrectly listed the following statements as being HUD statements: *DeKalb County Plastic Potable Water Line* (ELR Order No. 61069), *Macon County Hwy. 105 Rural Water Line* (ELR Order No. 61078),

and *Florence Hill Water and Sewer Project* (ELR Order No. 61073). The CEQ entry of August 27, 1976 incorrectly listed *1977 Block Grant Program, San Francisco* (ELR Order No. 61193) as being a HUD statement. All of the above are Community Development Block Grant projects and are prepared and circulated directly by applicants pursuant to 104 (h) of the 1974 Housing and Community Development Act. Copies may be obtained from the office of the appropriate local chief executive. (Copies are not available from HUD.)

#### DEPARTMENT OF THE INTERIOR

Contact: Mr. Bruce Blanchard, Director, Environmental Project Review, Room 7260, Department of the Interior, Washington, D.C. 20240, 202-343-3891.

#### BUREAU OF LAND MANAGEMENT

#### Draft

Pacific Power and Light Co., 500 kV Powerline, Oregon, August 23: Proposed is the construction, operation, and maintenance of a 500,000 volt electric transmission line by Pacific Power and Light Company. The project would be located between the Midpoint Idaho substation and a new substation site northeast of Midford, Oregon. Capable of carrying 1,500 megawatts of electric energy, the new powerline would utilize the large blocks of excess Wyoming Power. The proposed action would result in an increment of damage to the soil, vegetation, wildlife population and habitat, and the cultural and esthetic resources of the area (768 pages). (ELR Order No. 61239.)

#### BUREAU OF OUTDOOR RECREATION

#### Draft

Des Moines Riverfront Development, Polk County, Iowa, August 27: The City of Des Moines is seeking funds from the Land and Water Conservation Fund to assist in acquisition and development of a 12-mile recreation corridor along the Des Moines River. Development plans include a 22-mile bike trail system, gathering points, boat ramps, a river drive, and general cleanup of the riverfront. Adverse effects include the relocation of 13 families and 4 businesses. A total of 1,400 acres will be dedicated to park and open space use (40 pages). (ELR Order No. 61271.)

#### NUCLEAR REGULATORY COMMISSION

Contact: Mr. Benard Rersche, Director of Division of Reactor Licensing, P-722, NRC, Washington, D.C. 20555, 301-492-7373.

#### Draft

Isote Site, North Coast Nuclear Plant, Unit 1, Puerto Rico, August 24: The proposed action is the determination of the suitability of the 520-acre Isote site for eventual construction by the Puerto Rico Resource Authority of the North Coast Nuclear Station. This site analysis is based on the assumption that the North Coast Station will employ a pressurized water reactor to produce an output of approximately 1,785 MWT. A steam turbine generator will use this heat to provide approximately 600 MWe. Exhaust steam will be cooled by a once-through flow of water obtained from and discharged to the Atlantic Ocean (140 pages). (ELR Order No. 61254.)

#### DEPARTMENT OF TRANSPORTATION

Contact: Mr. Martin Convisser, Director, Office of Environmental Affairs, U.S. Department of Transportation, 400 7th Street, SW., Washington, D.C. 20590, (202) 426-4357.

#### FEDERAL HIGHWAY ADMINISTRATION

#### Draft

U.S. 26, Granite Hill-Swan Valley, Bonneville County, Idaho, August 26: The proposed project is located on U.S. Highway 26 between

Milepost 368.5 (Granite Hill) and 377.0 (Swan Valley) in Bonneville County, Idaho. Descending Granite Hill, the project would continue through Conant Valley, cross the Snake River on a new bridge and terminate in Swan Valley. The project would be a rural, primary highway approximately 8.5 miles long with a basic right of way width of 200 feet. Adverse effects include a loss of prime wildlife habitat in the river area up to 40 acres. The possibility of a 4(f) involvement exists. (Region X) (65 pages). (ELR Order No. 61268.)

U.S. 73, Leavenworth-Atchison Counties, Kansas, August 25: The proposed project is the improvement of approximately 23 miles of U.S. 73 in northeast Kansas. Plans provide for a new, full access controlled, four-lane facility with interchanges at major cross roads and with grade separations at railroad crossings and at roads of lesser importance. Acquisition of land for right-of-way would range between 1,226 and 1,251 acres, depending on the location selected for the construction. Approximately 10 to 65 persons may be displaced. (Region 7) (175 pages). (ELR Order No. 61260.)

Louisiana North-South Expressway, several counties in Louisiana, August 24: This statement is an in-depth study which proposes the development and recommendation of route locations and design features for approximately 300 miles of expressway in central and northern Louisiana. To facilitate analysis, the study area was initially divided into three legs. The first leg extends from Opelousas to Alexandria; the second from Alexandria to Shreveport; and the third from Alexandria to Monroe. Detailed studies have been made of the study corridor areas and the potential physical, social, economic, and environmental impacts of alternative alignments and design concepts. (Region 6) (5 vols.). (ELR Order No. 61257.)

Freeman Mill Rd., Meadowview to Randleman Rds., Guilford County, N.C., Aug. 26: Proposed is the relocation of Freeman Mill Rd. (S.R. 1398) in the city of Greensboro, N.C., from the proposed relocation of U.S. 220 at Meadowview Rd. to Randleman Rd., a freeway into the central area of the city. The proposed action will serve as a connector between these two freeway facilities, and is approximately 1.4 miles in length. Adverse effects include the acquisition of private land for public use and the relocation of 63 to 118 families, two churches, and 6 to 9 businesses. (Region 4) (97 pages). (ELR Order No. 61265.)

Allen Blvd. Interchange, Beaverton, Washington County, Ore., Aug. 23: Proposed is the construction of a full diamond interchange at the intersection of the Beaverton-Tigard Highway (Highway 217) and Allen Blvd., in the city of Beaverton. Two design alternatives are being proposed. One is a full diamond interchange with standard on- and off-ramps, full access control, and a two-lane overpass structure spanning Highway 217. The other alternative is a diamond interchange with a right-turn loop in the southeast quadrant. Adverse effects include displacement of five families and loss of wildlife habitat. (Region 10) (95 pages). (ELR Order No. 61237.)

#### Final

U.S. 76, U.S. 276 connector, Greenville, Laurens and Anderson Counties, S.C., Aug. 27: Proposed is a freeway that will begin at U.S. 276 in Laurens County, S.C. and extend westward across Laurens, Greenville, and Anderson Counties to U.S. 76 southeast of Anderson. The project will be a 31 mile long divided highway. Adverse impacts will be loss of agricultural land and displacement of about 12 residences and possibly one business. (110 pages.) Comments made by: DOI, EPA, DOC, USDA, State and local agencies. (ELR Order No. 61272.)

## Supplement

K-7 at Bonner Springs (S-1), Wyandotte County, Kans., Aug. 26: Proposed is the improvement of approximately 2 miles of K-7 highway in Bonner Springs, Kans., from Nettleton Ave. north to the existing improved portion of K-7 north of the Kansas Turnpike entrance. The proposed project includes grading, surfacing, bridge construction, right-of-way acquisition, and all other construction that may be necessary to provide a modern, safe facility. Adverse effects include the displacement of 60 persons, 1 business, and 23 houses. (Region 7) (85 pages). (ELR Order No. 61267.)

I-55 and Riverside Park, 4F Statement (S-1), Hinds County, Miss., Aug. 23: The Mississippi State Highway Department is requesting approval of a proposed highway improvement calling for the redesign and bringing to standards of a section of I-55 in the City of Jackson. The redesign at the intersection of Woodrow Wilson Ave. (U.S. 49) near the southern terminus requires that a section of Highland Drive, a City of Jackson street, be relocated slightly to the east, resulting in a relatively insignificant encroachment on Riverside Park, thus requiring a small parcel of approximately 1/2 acre of the park land. This 4(f) statement has been filed in reference to Riverside Park. (10 pages.) (ELR Order No. 61245.)

GARY L. WIDMAN,  
General Counsel.

[FR Doc. 76-26259 Filed 9-7-76; 8:45 am]

### EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

#### IDAHO HUMAN RIGHTS COMMISSION Proposal To Withdraw Designation as a 706 Agency

Sections 706 (c) and (d) of Title VII, Civil Rights Act of 1964, as amended, 42 U.S.C. 2000e-5 (c) and (d), require that the Equal Employment Opportunity Commission defer processing charges of discrimination filed with it where there is a "State or local law prohibiting the unlawful employment practice alleged and establishing or authorizing a State or local authority to grant or seek relief from such practice or to institute criminal proceedings with respect thereto." To effectuate this provision, the Commission has adopted a regulation, at 29 CFR 1601.12, establishing procedures for determining that a State or local authority exists which is empowered to act pursuant to section 706. This regulation also establishes procedures for withdrawing the Commission's designation of an agency where the Commission finds that continued designation no longer serves the interest of effective enforcement of Title VII. Pursuant to § 1601.12(k) of its procedural regulations, the Commission has determined that it has reason to believe that the continued designation of the Idaho Human Rights Commission no longer serves the interest of effective enforcement of Title VII. The Commission's proposal to withdraw its designation of that agency as a 706 agency appears below as Appendix A.

Any persons or organizations who have an interest in this matter may file written comments on the Commission's proposal on or before September 23, 1976.

Submissions should be labeled "Comments on Idaho Decertification" and should be addressed to Frank A. Quinn, Regional Director, EEOC, 300 Montgomery Street, Suite 740, San Francisco, California 94104. Interested persons or organizations may also, within the 15 day period, request a conference to be conducted in accordance with § 1601.12(1) of the Commission's regulations.

Signed at Washington, D.C., this 1st day of September 1976.

ETHEL BENT WALSH,  
Vice Chairman.

#### APPENDIX A

#### EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

Washington, D.C., September 1, 1976.

Ms. ELIZABETH SULLIVAN,  
President, Human Rights Commission, State  
of Idaho, 506 N. 5th, Boise, Idaho 83720.

DEAR Ms. SULLIVAN: Upon motion of the Equal Employment Opportunity Commission's San Francisco Regional Director, Frank A. Quinn, the Commission has reviewed Title 67, Chapter 59 of the Idaho Code, as amended by the Idaho Legislature in March 1976. It has also had available to it your letters of March 17 and 23, 1976, to Mr. Quinn. On the basis of its review, the Commission believes that the Idaho Human Rights Commission is not empowered under its statute to "grant or seek relief from employment practices found to be illegal" or to "institute criminal proceedings with respect thereto" and is therefore no longer able to meet the requirements of sec. 706(c) of Title VII, 42 U.S.C. 2000e-5 (c) and §§ 1601.12(c), (f) (5) (ii), and (k) (1) of the Commission's Procedural Regulations, 29 CFR 1601.12(c), (f) (5) (ii), and (k) (1).

The 1976 amendments to the Idaho statute take away the Human Rights Commission's authority to grant or seek back pay or other monetary awards for the victims of unlawful employment discrimination. It has been this Commission's position since its inception that appropriate relief means that the victims of discrimination are entitled to be made "whole." The United States Supreme Court has fully endorsed this interpretation in "Moody v. Albemarle Paper Co.," 422 U.S. 405 (1975). Citing the legislative history, the Court noted that the scope of relief under section 706(g) of Title VII is intended to make the victims of unlawful discrimination whole, and that attainment of this objective rests not only upon the elimination of the particular unlawful employment practice complained of, but also requires that persons aggrieved by the consequences and effects of the unlawful practice be, so far as possible, restored to a position where they would have been were it not for the unlawful discrimination.

The Court also noted that back pay awards provide the "spur or catalyst" which causes employers and unions to evaluate their employment practices.

Furthermore, the fact that the revised Idaho statute permits the individual victim of discrimination to sue for damages does not resolve the problem. Placing the burden of obtaining compensation for discrimination entirely on its victim means that many persons entitled to relief will go uncompensated because they lack the money or know-how to bring suit. Moreover, the Legislature repealed section 87-5911 (3) of the Idaho Code, which made violation of Chapter 59 a misdemeanor. Thus, the Human Rights Commission does not have authority to institute criminal proceedings with respect to unlawful employment practices.

For these reasons, at a duly constituted meeting held at its Washington, D.C. headquarters on August 31, 1976, the Commission determined that it has reason to believe that continued designation of the Idaho Human Rights Commission as a 706 agency no longer serves the interest of effective enforcement of Title VII. On behalf of the Commission, I hereby notify you that, in accordance with the procedures set forth at § 1601.12(k) and (l) of the Commission's Procedural Regulations, 29 CFR 1601.12(k) and (l), the Commission proposes to withdraw its designation of the Idaho Human Rights Commission as a 706 agency. Your agency has 15 days from receipt of this letter to submit data, views, and arguments in opposition to the proposed withdrawal, and if you so desire, to request a conference in accordance with 1601.12(1) of the Commission's Regulations.

Notice of this proposed withdrawal will appear in the FEDERAL REGISTER pursuant to the aforementioned regulation. Upon publication, interested persons and organizations will have 15 days to file written comments on the proposal with the Commission and to request a conference. If a request for conference is not received within the specific time period, the Commission shall evaluate any arguments or comments it has received from your agency and from interested persons and organizations. If, after such evaluation, the Commission still is of the opinion that designation should be withdrawn, we will so notify you. The withdrawal shall be effected by the issuance and publication of an amendment to § 1601.12(m) of the Commission's Procedural Regulations in the FEDERAL REGISTER. If a request for a conference is timely filed, the procedures set forth at § 1601.12(1) will be followed.

Sincerely,

ETHEL BENT WALSH,  
Vice Chairman.

[FR Doc. 76-26226 Filed 9-7-76; 8:45 am]

### ENVIRONMENTAL PROTECTION AGENCY

[FRL 612-5; OPP-30121]  
PESTICIDE PROGRAMS

#### Receipt of Application To Register a Pesticide Product Containing a New Active Ingredient

U.S. Fish and Wildlife Service, U.S. Department of Interior, Washington, DC 20240, has submitted to the Environmental Protection Agency (EPA) an application to register the pesticide product Mestranol, Powder, for Formulating Rodent Repellent on Douglas-Fir Seeds only (EPA File Symbol 6704-IN), containing 100.0% of the active ingredient Mestranol (3 - methoxy - 19 - nor-17a-pregna - 1,3,5(10) - trien-20-yn-17-01) which has not been included in any previously registered pesticide products. The application received from U.S. Fish and Wildlife Service proposes that the product be classified for restricted use as a rodent repellent, PM11.

Application was made pursuant to the provisions of the Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA), as amended (86 Stat. 973, 7 U.S.C. 136 et seq.), and the regulations thereunder (40 CFR 162). Notice of receipt of this application is made in accordance with the provisions of section 3(c) (4) of FIFRA (40 CFR 162.2(b) (6)).

and does not indicate a decision by the Agency on the application.

Any Federal agency or other interested persons are invited to submit written comments on this application to the Federal Register Section, Technical Services Division (WH-569), Office of Pesticide Programs, Environmental Protection Agency, Rm. 401, East Tower, 401 M St. SW., Washington, D.C. 20460. Three copies of the comments should be submitted to facilitate the work of the Agency and of others interested in inspecting them. The comments must be received on or before October 8, 1976 and should bear a notation indicating the EPA File Symbol "6704-IN." Comments received within the specified time period will be considered before a final decision is made with respect to the pending application. Comments received after the specified time period will be considered only to the extent possible without delaying processing of the application. Specific questions concerning this application should be directed to the designated Product Manager (PM), Registration Division (WH-567), Office of Pesticide Programs, at the above address or by telephone at 202/755-9315.

Notice of approval or denial of this application to register Mestranol, Powder, for Formulating Rodent Repellent on Douglas-Fir Seeds only will be announced in the FEDERAL REGISTER. The label furnished by U.S. Fish and Wildlife Service, as well as all written comments filed pursuant to this notice, will be available for public inspection in the office of the Federal Register Section from 8:30 a.m. to 4 p.m. Monday through Friday.

Dated: August 30, 1976.

JOHN B. RITCH, Jr.,  
Director, Registration Division.

[FR Doc.76-26126 Filed 9-7-76; 8:45 am]

[FRL 612-2; OPP-33000/456]

### RECEIPT OF APPLICATION FOR PESTICIDE REGISTRATION

#### Data To Be Considered in Support of Applications

On November 19, 1973, the Environmental Protection Agency (EPA) published in the FEDERAL REGISTER (39 FR 31862) its interim policy with respect to the administration of section 3(c) (1) (D) of the Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA), as amended ["Interim Policy Statement"]. On January 22, 1976, EPA published in the FEDERAL REGISTER a document entitled "Registration of a Pesticide Product—Consideration of Data by the Administrator in Support of an Application" [41 FR 3339]. This document described the changes in the Agency's procedures for implementing section 3(c) (1) (D) of FIFRA, as set out in the Interim Policy Statement, which were effectuated by the enactment of the recent amendments to FIFRA on November 28, 1975 [Pub. L. 94-140], and

the new regulations governing the registration and re-registration of pesticides which became effective on August 4, 1975 [40 CFR Part 162].

Pursuant to the procedures set forth in these FEDERAL REGISTER documents, EPA hereby gives notice of the applications for pesticide registration listed below. In some cases these applications have recently been received; in other cases, applications have been amended by the submission of additional supporting data, the election of a new method of support, or the submission of new "offer to pay" statements.

In the case of all applications, the labeling furnished by the applicant for the product will be available for inspection at the Environmental Protection Agency, Room 209, East Tower, 401 M Street, SW., Washington DC 20460. In the case of applications subject to the new Section 3 regulations, and applications not subject to the new Section 3 regulations which utilize either the 2(a) or 2(b) method of support specified in the Interim Policy Statement, all data citations submitted or referenced by the applicant in support of the application will be made available for inspection at the above address. This information (proposed labeling and, where applicable, data citations) will also be supplied by mail, upon request. However, such a request should be made only when circumstances make it inconvenient for the inspection to be made at the Agency offices.

Any person who (a) is or has been an applicant, (b) believes that data he developed and submitted to EPA on or after January 1, 1970, is being used to support an application described in this notice, (c) desires to assert a claim under section 3(c) (1) (D) for such use of his data, and (d) wishes to preserve his right to have the Administrator determine the amount of reasonable compensation to which he is entitled for such use of the data or the status of such data under Section 10 must notify the Administrator and the applicant named in the notice in the FEDERAL REGISTER of his claim by certified mail. Notification to the Administrator should be addressed to the Product Control Branch, Registration Division (WH-567), Office of Pesticide Programs, Environmental Protection Agency, 401 M St. SW., Washington DC 20460. Every such claimant must include, at a minimum, the information listed in the Interim Policy Statement of November 19, 1973.

Specific questions concerning applications made to the Agency should be addressed to the designated Product Manager (PM), Registration Division (WH-567), Office of Pesticide Programs, at the above address, or by telephone as follows:

PM 11, 12, & 13—202/755-9315  
PM 21 & 22—202/426-2454  
PM 24—202/755-2196  
PM 31—202/426-2635  
PM 33—202/755-9041  
PM 15, 16 & 17—202/426-9425  
PM 23—202/755-1397

PM 25—202/755-7012

PM 32—202/426-9486

PM 34—202/426 9490

The Interim Policy Statement requires that claims for compensation be filed on or before November 8, 1976. With the exception of 2(c) applications not subject to the new section 3 regulations, and for which a sixty-day hold period for claims is provided, EPA will not delay any registration pending the assertion of claims for compensation or the determination of reasonable compensation. Inquiries and assertions that data relied upon are subject to protection under section 10 of FIFRA, as amended, should be made on or before October 8, 1976.

Dated: August 30, 1976.

JOHN B. RITCH, Jr.,  
Director, Registration Division.

APPLICATIONS RECEIVED (OPP-33000/456)

EPA Reg. No. 2759-54. Aceto Chemical Co., Inc., 126-02 Northern Blvd., Flushing NY 11368. TRIBUTYL TIN FLUORIDE A TOXICANT FOR ANTI-FOULING PAINTS. Active Ingredients: Tributyltin Fluoride 95%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM24.

EPA Reg. No. 9295-9. Agro-Chem, Inc., 7855-D Gross Point Rd., Skokie IL 60076. D-WEEDER 4X NO. 204 SELECTIVE WEED KILLER FOR REGULAR LAWNS. Active Ingredients: Dimethylamine salt of 2-(2-methyl-4-chlorophenoxy) Propionic acid 16.2%; Dimethylamine salt of 2,4-dichlorophenoxyacetic acid 16.1%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM23.

EPA Reg. No. 9205-8. Agro-Chem, Inc. D-WEEDER NO. 201 SELECTIVE WEED KILLER FOR REGULAR LAWNS. Active Ingredients: Dimethylamine salt of 2-(2-methyl-4-chlorophenoxy) Propionic acid 4.05%; Dimethylamine salt of 2,4-dichlorophenoxyacetic acid 4.02%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM23

EPA Reg. No. 554-130. Agsco, Inc., P.O. Box 458, Grand Forks ND 58201. AGSCO 666 HERBICIDE. Active Ingredients: 2,4-Dichlorophenoxyacetic acid, Isooctyl Ester 94.40%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM23

EPA File Symbol 8075-L. Allen Chemical Co., 3235 N.W. 37th St., Miami, FL 33142. ALCO TOWER ALGAECIDE NO. 14. Active Ingredients: Poly[oxyethylene(dimethyliminio) ethylene(dimethyliminio) ethylene dichloride] 12.0%. Method of Support: Application proceeds under 2(b) of interim policy. PM34

EPA Reg. No. 264-190. Amchem Products, Inc., Brookside Ave., Ambler, PA 19002. SUPER D WEEDONE FOAM WEED CONTROL. Active Ingredients: Diethanolamine salt of 2,4-dichlorophenoxyacetic acid 1.32%; Diethanolamine salt of dicamba 0.44%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM23

EPA File Symbol 150-LG. Anderson Chemical Co., Box 1041, Litchfield MN 55355. ANQUAT. Active Ingredients: n-Alkyl (60% C14, 30% C16, 5% C12, 5% C18) dimethyl benzyl ammonium chlorides 5%; n-Alkyl (68% C12, 32% C14) dimethyl ethylbenzyl ammonium chlorides 5%. Method of Support: Application proceeds under 2(b) of interim policy. PM24

- EPA File Symbol 150-LL. Anderson Chemical Co., Inc. GERON X. Active Ingredients: n-Alkyl (60% C14, 30% C16, 5% C12, 5% C18) dimethyl benzyl ammonium chlorides 1.6%; n-Alkyl (68% C12, 32% C14) dimethyl ethylbenzyl ammonium chlorides 1.6%; Sodium Carbonate 3.0%; Tetrasodium ethylenediamine tetraacetate 1.0%. Method of Support: Application proceeds under 2(b) of interim policy. PM32
- EPA Reg. No. 4185-397. Smith-Douglass, Div. of Borden Chem., Borden Inc., P.O. Box 419, Norfolk VA 23501. MALATHION 5% DUST. Active Ingredients: Malathion (O,O-dimethyl dithiophosphate of diethyl mercaptosuccinate) 5.0%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM16
- EPA Reg. No. 4185-392. Smith-Douglass, Div. of Borden Chem. MALATHION 10% DUST. Active Ingredients: Malathion (O,O-dimethyl dithiophosphate of diethyl mercaptosuccinate) 10.0%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM16
- EPA Reg. No. 4185-94. Smith-Douglass, Div. of Borden Chem. MALATHION 4% DUST. Active Ingredients: Malathion 4.0%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM16
- EPA Reg. No. 4185-391. Smith-Douglass, Div. of Borden Chem. MALATHION 7% DUST. Active Ingredients: Malathion (O,O-dimethyl dithiophosphate of diethyl mercaptosuccinate) 7.5%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM16
- EPA File Symbol 1459-LA. Bullen Chem. Co., Hook Rd. & Primos Ave., Folcroft PA 19032. SURFACE DISINFECTANT. Active Ingredients: n-Alkyl (60% C14, 30% C16, 5% C12, 5% C18) dimethyl benzyl ammonium chlorides 0.1%; n-Alkyl (68% C12, 32% C14) dimethyl ethylbenzyl ammonium chloride 0.1%; Isopropanol 53.0%. Method of Support: Application proceeds under 2(b) of interim policy. PM31
- EPA File Symbol 5011-RUI. Carmel Chem. Corp., P.O. Box 406, Westfield IN 46074. STERISAN 256 GERMICIDAL CLEANER AND ODOR COUNTERACTANT. Active Ingredients: n-Alkyl (60% C14, 30% C16, 5% C12, 5% C18) dimethylbenzyl ammonium chlorides 6.25%; n-Alkyl (68% C12, 32% C14) dimethylbenzyl ammonium chlorides 6.25%; Tetrasodium ethylenediamine tetraacetate 3.60%. Method of Support: Application proceeds under 2(b) of interim policy. PM31
- EPA File Symbol 10356-L. Chemical Specialties, Inc., 703 W. Hill Ave., Valdosta GA 31601. CCA TYPE C WOOD PRESERVATIVE. Active Ingredients: Hexavalent Chromium as CrO3 9.5%; Copper, as CuO 3.7%; Arsenic, as AS2O5 6.8%. Method of Support: Application proceeds under 2(b) of interim policy. PM22
- EPA Reg. No. 10975-2. Chemilene Co., 2129 N. Troy Ave., S. El Monte CA 90022. CHEMILENE CO. CHLORDANE 8.0 MISCIBLE. Active Ingredients: Technical Chlordane 72.0%; Petroleum Distillate 23.0%. Method of Support: Application proceeds under 2(c) of interim policy. Republished: Revised offer to pay statement submitted. PM15
- EPA File Symbol 9143-LO. Chemscope Corp., 1909 Hi-Line Dr., P.O. Box 10752, Dallas TX 75207. CHEMSCOPE AQUEOUS INSECTICIDE SPRAY. Active Ingredients: (5-Benzyl-3-furyl)methyl 2,2-dimethyl-3-(2-methylpropenyl)cyclopropanecarboxylate 0.250%; Related compounds 0.034%. Method of Support: Application proceeds under 2(b) of interim policy. PM17
- EPA Reg. No. 239-2186. Chevron Chem. Co., 940 Hensley St., Richmond CA 94804. ORTHO PARAQUAT CL. Active Ingredients: Paraquat dichloride (1,1'-dimethyl-4,4'-bipyridinium dichloride) 29.1%. Method of Support: Application proceeds under 2(b) of interim policy. New Use. PM25
- EPA Reg. No. 464-448. Dow Chemical Co., Agricultural Products Dept., PO Box 1706, Midland MI 48640. DOW LORSBAN 4E INSECTICIDE. Active Ingredients: Chlorpyrifos O,O-diethyl O-(3,5,6-trichloro-2-pyridyl) phosphorothioate] 40.7%; Aromatic petroleum derivative solvent 22.8%. Method of Support: Application proceeds under 2(b) of interim policy. PM12
- EPA File Symbol 1200-EL. Eagle Products, 2601 Industrial La., Garland TX 75041. AQUA-CIDE 1000. Active Ingredients: Poly[oxyethylene (dimethyliminio) ethylene (dimethyliminio)ethylene dichloride] 10.0%. Method of Support: Application proceeds under 2(b) of interim policy. PM34
- EPA File Symbol 1200-EO. Eagle Products. AQUA-POLY 1000. Active Ingredients: Poly[oxyethylene (dimethyliminio) ethylene (dimethyliminio)ethylene dichloride] 10.0%. Method of Support: Application proceeds under 2(b) of interim policy. PM34
- EPA Reg. No. 39189-U. Envirochem Inc., 317 St. Paul's Ave., Jersey City NJ 07306. CLINISEPT. Active Ingredients: n-Alkyl 60% C14, 30% C16, 5% C12, 5% C18) dimethyl benzyl ammonium chlorides 2.25%; n-Alkyl (68% C12, 32% C14) dimethyl ethylbenzyl ammonium chlorides 2.25%; Sodium Carbonate 3.00%; Tetrasodium ethylenediamine tetraacetate 1.00%. Method of Support: Application proceeds under 2(b) of interim policy. PM31
- EPA Reg. No. 2527-GE. Higley Chemical Co., 40 Main St., Dubuque IA 52001. SPECTRO. Active Ingredients: n-Alkyl (60% C14, 30% C16, 5% C12, 5% C18) dimethyl benzyl ammonium chlorides 0.8%; n-Alkyl (68% C12, 32% C14) dimethyl ethylbenzyl ammonium chlorides 0.8%; Sodium Metasilicate 2.4%; Tetrasodium ethylenediamine tetraacetate 1.0%. Method of Support: Application proceeds under 2(b) of interim policy. PM33
- EPA File Symbol 2393-GGT. Hopkins Agricultural Chemical Co., Box 584, Madison WI 53701. HOPKINS MESREPEL TM. Active Ingredients: 3,5-Dimethyl-4-(methylthio)phenol methylcarbamate 50.00%. Method of Support: Application proceeds under 2(b) of interim policy. PM12
- EPA File Symbol 334-UET. Hysan Corporation, Chicago IL 60609. DDFV 60. Active Ingredients: Didecyl dimethyl ammonium chloride 2.5%; Tetrasodium ethylenediamine tetraacetate 2.0%; Sodium carbonate 1.5%. Method of Support: Application proceeds under 2(b) of interim policy. PM31
- EPA File Symbol 32451-L. Jamestown Chemical Co., Inc., P.O. Box 68, Trumbull CT 06611. B 109. Active Ingredients: n-Alkyl (60% C14, 30% C16, 5% C12, 5% C18) dimethyl benzyl ammonium chlorides 5%; n-Alkyl (68% C12, 32% C14) dimethyl ethylbenzyl ammonium chlorides 5%. Method of Support: Application proceeds under 2(b) of interim policy. PM31
- EPA Reg. No. 24232-3. Kelly Western Seed Div., PAX Co., 580 W. 13th S., Salt Lake City UT 84115. KW SUPER WEED'N FEED. Active Ingredients: Alkanolamine salt of 2,4-dichlorophenoxyacetic acid 0.870%; Dimethylamine salt of Dicamba (3,6-dichloro-O-anisic acid) 0.125%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM23
- EPA Reg. No. 1381-29. Land O'Lakes, Inc., 2827 8th Ave. S., Fort Dodge IA 50501. BUTYL ESTER 600 LAND O LAKES FELCO 2, 4-D WEED KILLER. Active Ingredients: Butyl Ester of 2,4-Dichlorophenoxyacetic acid 77.48%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM23
- EPA Reg. No. 6962-19. Madison Bionics, 11250 W. Addison St., Franklin Pk IL 60131. DIE-SECT SPRAY POWDER. Active Ingredients: Orthoboric Acid 6.83%; Sodium Tetraborate 0.07%. Method of Support: Application proceeds under 2(a) of interim policy. Added claims. PM16
- EPA File Symbol 1021-RGIN. McLaughlin Gormley King Co., Minneapolis MN 55427. MGK BIG GAME REPELLENT BGR-W. Active Ingredients: Egg Solids 5%. Method of Support: Application proceeds under 2(a) of interim policy. PM11
- EPA Reg. No. 2224-50. Mobil Chemical Co., P.O. Box 26683, Richmond VA 23261. MOBIL MODOWN HERBICIDE. Active Ingredients: Bifonox [Methyl 5-(2,4-dichlorophenoxy)-2-nitrobenzoate] 80%. Method of Support: Application proceeds under 2(b) of interim policy. New Use. PM25
- EPA Reg. No. 524-314. Monsanto Co., Agricultural Products, 800 N. Lindbergh Ave., St. Louis MO 63166. LASSO. Active Ingredients: Alachlor 45.1%. Method of Support: Application proceeds under 2(b) of interim policy. Added use. PM25
- EPA Reg. No. 524-285. Monsanto Co. LASSO. Active Ingredients: Alachlor 43.0%. Method of Support: Application proceeds under 2(b) of interim policy. Added Use. PM25
- EPA File Symbol 5891-EU. Mt. Hood Chemical Corp., 4444 N.W. Yeon Ave., Portland OR 97210. PINE-O-PLUS. Active Ingredients: n-Alkyl (60% C14, 30% C16, 5% C12, 5% C18) dimethyl benzyl ammonium chlorides 1.6%; n-Alkyl (68% C12, 32% C14) dimethyl ethylbenzyl ammonium chlorides 1.6%; Sodium carbonate 3.0%. Method of Support: Application proceeds under 2(b) of interim policy. PM32
- EPA File Symbol 10349-RL. Nalco Chemical Co., Box 87, Sugar Land TX 77478. VISCO 3990 OIL AND WATERFLOOD OR SALT-WATER DISPOSAL SYSTEM BACTERIA CONTROL CHEMICAL AND CORROSION INHIBITOR. Active Ingredients: 1-Alkyl (C6-C18) amino-3-amino propane acetate 37.6%; Hexachlorodimethylsulfone 5.0%. Method of Support: Application proceeds under 2(a) of interim policy. PM31
- EPA Reg. No. 550-114. Van Waters & Rogers, Div. of Univar, 2226 Junction Ave., San Jose CA 95131. NAMCO PYRENONE CONCENTRATE. Active Ingredients: Technical Piperonyl Butoxide 1.0%; Pyrethrins 1%; Petroleum Hydrocarbons 74%. Method of Support: Application proceeds under 2(b) of interim policy. Amendment. PM17
- EPA Reg. No. 550-122. Van Waters & Rogers. NAMCO PYRENONE FOOD STORAGE SPRAY. Active Ingredients: Technical Piperonyl Butoxide 1.0%; Pyrethrins 0.1%; Petroleum Hydrocarbons 98.9%. Method of Support: Application proceeds under 2(b) of interim policy. PM17
- EPA Reg. No. 550-125. Van Waters & Rogers. NAMCO PYRENONE FOG CONCENTRATE. Active Ingredients: Pyrethrins 1.0%; Technical Piperonyl Butoxide 10.0%; Petroleum Hydrocarbons 89.0%. Method of Support: Application proceeds under 2(b) of interim policy. PM17
- EPA File Symbol 20375-RL. Nutmeg Chemical Co., 125 Market St., New Haven CT 06513. NUTMEG NCC SOLVENT. Active Ingredients: 2,2'-methylenebis(4-chlorophenol) 0.3%. Method of Support: Application proceeds under 2(b) of interim policy. PM32

EPA File Symbol 6296-EU. Nutrilite Products, Inc., 5600 Beach Blvd., Buena Park CA 90620. BIOTROL-PLUS DUST. Active Ingredients: Bacillus thuringiensis Berliner 0.12%; Pyrethrins 0.20%. Method of Support: Application proceeds under 2(b) of interim policy. PM17

EPA File Symbol 7001-125. Occidental Chemical Co., Best Products Div., P.O. Box 198, Lathrop CA 95330. WEED & FEED FOR GRASS LAWNS. Active Ingredients: Dimethylamine Salt of 2,4-Dichlorophenoxyacetic Acid 1.0%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM23

EPA File Symbol 39269-R. Pascal Co., Inc., 2929 N.E. Northrup Way, Bellevue WA 98004. PASCAL COMPANY, INC. COLD STERILIZING SOLUTION. Active Ingredients: Benzalkonium chloride 13.4%; Sodium nitrite 86.6%. Method of Support: Application proceeds under 2(b) of interim policy. PM31

EPA Reg. No. 3234-17. Pax Co., 580 W. 13th S., Salt Lake City UT 84115. PAX ACTION WEED'N FEED. Active Ingredients: Alkanolamine salt of 2,4-dichlorophenoxyacetic acid 0.870%; Dimethylamine salt of Dicamba (3,6-dichloro-O-anisic acid) 0.125%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM23

EPA File Symbol 707-RGR. Rohm & Haas, Philadelphia PA 19105. VACOR TECHNICAL. Active Ingredients: N-3-pyridylmethyl N'-p-nitrophenyl urea 98%. Method of Support: Application proceeds under 2(b) of interim policy. PM11

EPA Reg. No. 38-15. The Sinclair Manufacturing Co., 6120 N. Detroit Ave., P.O. Box 975, Toledo OH 43612. SPIFFY TOILET BOWL CLEANER. Active Ingredients: Hydrogen chloride 9.55%. Method of Support: Application proceeds under 2(a) of interim policy. Application for reregistration. PM32

EPA File Symbol 21327-T. Soweco, Inc., 1401-B West 7th St., P.O. Box 3280, Amarillo TX 79106. LARVACIDE 85 AEROSOL (UNDER PRESSURE). Active Ingredients: Chloropicrin 85%. Method of Support: Application proceeds under 2(b) of interim policy. PM11

EPA File Symbol 21327-L. Soweco, Inc. LARVACIDE 15. Active Ingredients: Carbon tetrachloride 70.7%; Chloropicrin 15.0%; Carbon disulfide 14.0%. Method of Support: Application proceeds under 2(b) of interim policy. PM11

EPA File Symbol 1674-RG. M.D. Stetson Co., 168 "A" St., Boston MA 02210. STETCO HY-GEN. Active Ingredients: n-Alkyl (60% C14, 30% C16, 5% C12, 5% C18) dimethyl benzyl ammonium chlorides 2.25%; n-Alkyl (68% C12, 32% C14) dimethyl ethylbenzyl ammonium chlorides 2.25%; Sodium carbonate 3.00%; Tetrasodium ethylenediamine tetraacetate 1.00%. Method of Support: Application proceeds under 2(b) of interim policy. PM31

EPA Reg. No. 2290-33. The Terre Co. of New Jersey, Inc., PO Box 421, Saddle Brook NY 07662. DACTHAL "27". Active Ingredients: Dimethyl Tetrachloroterephthalate 4.6%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM23

EPA File Symbol 11668-E. T & R Chemicals, Inc., PO Box 216, 700 Cellum Rd., Clint TX 79836. T&R BRAND WATER REPELLENT WOOD PRESERVATIVE. Active Ingredients: Pentachlorophenol 4.47%; Other chlorophenols & related compounds 0.52%. Method of Support: Application proceeds under 2(c) of interim policy. Republished: Revised offer to pay statement submitted. PM22

EPA File Symbol 3696-IR. Texize Chemicals Co., Div. of Morton Norwich Products, Inc., P.O. Box 368, Greenville SC 29602. TEXTIZE 4055 BATHROOM CLEANER. Active Ingredients: Tetrasodium ethylenediamine tetraacetate 9.6%; Isopropyl alcohol 4.1%; Sodium o-benzyl-p-chlorophenolate 0.3%. Method of Support: Application proceeds under 2(b) of interim policy. PM32

EPA Reg. No. 876-177. Velsicol Chemical Corp., 341 E. Ohio St., Chicago IL 60611. BANVEL 720. Active Ingredients: Dimethylamine salt of dicamba (3,6-dichloro-o-anisic acid) 12.90%; Dimethylamine salts of related acids 1.80%; Dimethylamine salts of 2,4-dichlorophenoxyacetic acid 25.80%. Method of Support: Application proceeds under 2(b) of interim policy. PM23

[FR Doc.76-29123 Filed 9-7-76;8:45 am]

[FRL 612-3; OPP-33000/457]

### RECEIPT OF APPLICATION FOR PESTICIDE REGISTRATION

#### Data To Be Considered in Support of Applications

On November 19, 1973, the Environmental Protection Agency (EPA) published in the FEDERAL REGISTER (39 FR 31862) its interim policy with respect to the administration of Section 3(c)(1)(D) of the Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA), as amended ("Interim Policy Statement"). On January 22, 1976, EPA published in the FEDERAL REGISTER a document entitled "Registration of a Pesticide Product—Consideration of Data by the Administrator in Support of an Application" (41 FR 3339). This document described the changes in the Agency's procedures for implementing Section 3(c)(1)(D) of FIFRA, as set out in the Interim Policy Statement, which were effectuated by the enactment of the recent amendments to FIFRA on November 28, 1975 (P.L. 94-140), and the new regulations governing the registration and re-registration of pesticides which became effective on August 4, 1975 (40 CFR Part 162).

Pursuant to the procedures set forth in these FEDERAL REGISTER documents, EPA hereby gives notice of the applications for pesticide registration listed below. In some cases these applications have recently been received; in other cases, applications have been amended by the submission of additional supporting data, the election of a new method of support, or the submission of new "offer to pay" statements.

In the case of all applications, the labeling furnished by the applicant for the product will be available for inspection at the Environmental Protection Agency, Room 209, East Tower, 401 M Street, S.W., Washington DC 20460. In the case of applications subject to the new Section 3 regulations, and applications not subject to the new Section 3 regulations which utilize either the 2(a) or 2(b) method of support specified in the Interim Policy Statement, all data citations submitted or referenced by the applicant in support of the application will be made available for inspection at the above address. This information (proposed labeling and, where applicable, data citations)

will also be supplied by mail, upon request. However, such a request should be made only when circumstances make it inconvenient for the inspection to be made at the Agency offices.

Any person who (a) is or has been an applicant, (b) believes that data he developed and submitted to EPA on or after January 1, 1970, is being used to support an application described in this notice, (c) desires to assert a claim under Section 3(c)(1)(D) for such use of his data, and (d) wishes to preserve his right to have the Administrator determine the amount of reasonable compensation to which he is entitled for such use of the data or the status of such data under Section 10 must notify the Administrator and the applicant named in the notice in the FEDERAL REGISTER of his claim by certified mail. Notification to the Administrator should be addressed to the Product Control Branch, Registration Division (WH-567), Office of Pesticide Programs, Environmental Protection Agency, 401 M St. SW., Washington DC 20460. Every such claimant must include, at a minimum, the information listed in the Interim Policy Statement of November 19, 1973.

Specific questions concerning applications made to the Agency should be addressed to the designated Product Manager (PM), Registration Division (WH-567), Office of Pesticide Programs, at the above address, or by telephone as follows:

PM 11, 12, & 13—202/755-9315  
PM 21 & 22—202/426-2454  
PM 24—202/755-2196  
PM 31—202/426-2635  
PM 33—202/755-9041  
PM 15, 16, & 17—202/426-9425  
PM 23—202/755-1397  
PM 25—202/755-7012  
PM 32—202/426-9486  
PM 34—202/426-9490

The Interim Policy Statement requires that claims for compensation be filed on or before November 8, 1976. With the exception of 2(c) applications not subject to the new Section 3 regulations, and for which a sixty-day hold period for claims is provided, EPA will not delay any registration pending the assertion of claims for compensation or the determination of reasonable compensation. Inquiries and assertions that data relied upon are subject to protection under Section 10 of FIFRA, as amended, should be made on or before October 8, 1976.

Dated: August 30, 1976.

JOHN B. RITCH, JR.,  
Director, Registration Division.

APPLICATIONS RECEIVED (OPP-33000/457)

EPA File Symbol 12265-L. Acme Chemex, Inc., 239 S. Cooper, Memphis TN 38104. CHEMEX 980 ALGAECIDE. Active Ingredients: Poly[oxyethylene(dimethyliminio)ethylene(dimethyliminio) - ethylenedichloride] 10.0%. Method of Support: Application proceeds under 2(b) of interim policy. PM34

EPA File Symbol 8075-L. Allen Chemical Co., 3235 NW 37th St., Miami FL 33142. ALCO TOWER ALGAECIDE #14. Active Ingredients: Poly[oxyethylene(dimethyliminio)ethylene - (dimethyliminio)ethylenedichloride] 12.0%. Method of Support: Application proceeds under 2(b) of interim policy. PM34

- EPA Reg. No. 9198-24. The Andersons, Lawn Fertilizer Section, d/b/a Free Flow Fertilizer, PO Box 119, Maumee OH 43537. TURF CARE 20-4-10 WITH 5.5% Dacthal. Active Ingredients: 2,3,5,6-Dimethyl Tetrachloroterephthalate 5.50%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM23
- EPA File Symbol 5185-EOU. Bio-Lab, Inc., PO Box 1489, Decatur GA 30031. BIO-GUARD CWT-95 ALGICIDE. Active Ingredients: Alkyl (C12 61%, C14 23%, C16 11%, C8 & C10 2.5%, C18 2.5%) dimethyl benzyl ammonium chloride 6.75%; Tributyltin neodecanoate 3.75%; Alkyl (C14 58%, C16 28%, C12 14%) dimethyl benzyl ammonium chloride 3.38%; Alkyl (C14 90%, C16 5%, C12 5%) dimethyl ethyl ammonium bromide 1.12%. Republished: Method of Support changed to 2(b) of interim policy. PM33
- EPA File Symbol 36999-EO. B & M International, Thibodaux LA. B & M CWT-900. Active Ingredients: Alkyl (C14 90%, C12 5%, C16 5%) dimethyl dichlorobenzyl ammonium chloride 19.23%. Method of Support: Application proceeds under 2(b) of interim policy. PM31
- EPA Reg. No. 8329-3. Clarke Outdoor Spraying Co., Inc., 7N570 Garden Ave., PO Box 288, Roselle IL 60172. POT-SWAT MARIJUANA CONTROL GRANULAR. Active Ingredients: 2,4-dichlorophenoxyacetic acid isooctyl ester 30.12%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM23
- EPA File Symbol 7053-EL. Fremont Industries, Inc., PO Box 67, Valley Industrial Park, Shakopee MN 55379. FREMONT 9937 DRY CHLORINATING MICROBIOCIDES. Active Ingredients: Sodium dichloro-s-triazinetrione dihydrate (provides 14% available chlorine) 25%. Method of Support: Application proceeds under 2(b) of interim policy. PM34
- EPA Reg. No. 11684-2. Jiridon Agri Chemicals, Inc., PO Box 516, Morrill NB 69358. JIRDON LAWN FERTILIZER CONTAINING DACTHAL HERBICIDE 12-10-4. Active Ingredients: Dimethyl tetrachloroterephthalate 1.15%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM23
- EPA Reg. No. 4822-124. S. C. Johnson & Son, Inc., 1525 Howe St., Racine WI 53403. JOHNSON YARD MASTER FOAM LAWN WEED KILLER. Active Ingredients: Diethanolamine Salt of 2,4-dichlorophenoxyacetic acid 2.98%; Monoethanolamine Salt of dicamba 1.27%; Monoethanolamine Salts of related acids 0.24%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM23
- EPA Reg. No. 24232-4. Kelly Western Seed Div., PAX Co., 580 W. 13th South, Salt Lake City UT 84115. NEW KW CRABGRASS & WEED PREVENTER. Active Ingredients: Dimethyl tetrachloroterephthalate 3.280%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM23
- EPA File Symbol 3635-EET. Lonza, Inc., 22-10 Route 208, Fair Lawn NJ 07410. OXFORD 1222. Active Ingredients: Octyl decyl dimethyl ammonium chloride 15.0%; Didecyl dimethyl ammonium chloride 7.5%; Didecyl dimethyl ammonium chloride 7.5%. Method of Support: Application proceeds under 2(b) of interim policy. PM31
- EPA File Symbol 3635-EEL. Lonza, Inc. OXFORD 1221. Active Ingredients: Didecyl dimethyl ammonium chloride 7.5%; Isopropanol 3.0%. Method of Support: Application proceeds under 2(b) of interim policy. PM31
- EPA Reg. No. 5238-1. The Mock Corp., PO Box 1525, Pittsburgh PA 15230. MOCK'S SUPERTURF WEED-L-IZER 2,4-D WEED KILLER PLUS SPECIAL TURFGRASS FERTILIZER. Active Ingredients: Sodium 2,4-Dichlorophenoxyacetic Monohydrate 1.25%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM23
- EPA File Symbol 2831-AL. Napasco International, Thibodaux LA. NAPASCO CWT-600. Active Ingredients: Alkyl (C14 90%, C12 5%, C16 5%) dimethyl dichlorobenzyl ammonium chloride 19.23%. Method of Support: Application proceeds under 2(b) of interim policy. PM31
- EPA Reg. No. 3404-12. Northrup, King & Co., Minneapolis MN 55413. NORTHROP KING GOLF BRAND TRIPLE TONIC FORMULA TWO FOR LAWN'S WEEDS AND FEEDS. Active Ingredients: Dimethylamine Salt of 2,4-Dichlorophenoxyacetic Acid 1.38%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM23
- EPA Reg. No. 700-91. Occidental Chemical Co., PO Box 198, Lathrop CA 95330. LAWN FOOD PLUS CRABGRASS PREVENTER. Active Ingredients: N-butyl-N-ethyl-a,a,a-trifluoro-2,6-Dinitro-p-toluidine 1.38%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM25
- EPA Reg. No. 6811-31. Research Products Co., 2433 Merrel Rd., Dallas TX 75229. WK 44 LIQUID WEED KILLER. Active Ingredients: Bromacil (5-bromo-3-sec-butyl-6-methyluracil) 1.22%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM25
- EPA File Symbol 22058-RN. Sharp Chemical Co., 5921 Plainview, Houston TX 77087. SHARPCLEAN L-74. Active Ingredients: Didecyl dimethyl ammonium chloride 2.5%; Tetrasodium ethylenediamine tetraacetate 2.0%; Sodium carbonate 1.5%. Method of Support: Application proceeds under 2(b) of interim policy. PM31
- EPA File Symbol 22058-T. Sharp Chemical Co. SHARPSAN L-54. Active Ingredients: Alkyl (60% C14, 30% C16, 5% C12, 5% C18) Dimethyl Benzyl Ammonium Chlorides 1.28%; Alkyl (68% C12, 32% C14) Dimethyl Ethylbenzyl Ammonium Chlorides 1.28%; Sodium carbonate 2.00%. Method of Support: Application proceeds under 2(b) of interim policy. PM31
- EPA File Symbol 22058-O. Sharp Chemical Co. SHARPCLEAN L-77. Active Ingredients: Octyl Decyl Dimethyl Ammonium Chloride 3.750%; Dioctyl Dimethyl Ammonium Chloride 1.875%; Didecyl Dimethyl Ammonium Chloride 1.875%; Alkyl (C14 50%, C12 40%, C16 10%) Benzyl Dimethyl Ammonium Chloride 5.000%; Tetrasodium Ethylenediamine Tetraacetate 3.420%; Isopropyl Alcohol 3.000%; Ethyl Alcohol 1.000%. Method of Support: Application proceeds under 2(b) of interim policy. PM31
- EPA File Symbol 22058-I. Sharp Chemical Co. SHARPCLEAN L-91. Active Ingredients: Didecyl dimethyl ammonium chloride 4.5%; Tetrasodium ethylenediamine tetraacetate 2.0%; Sodium carbonate 1.0%; Sodium metasilicate, anhydrous 0.5%. Method of Support: Application proceeds under 2(b) of interim policy. PM33
- EPA File Symbol 11976-U. Southern Chemical Products, Charleston SC. CHEMCO LEMON FRAGRANCE DISINFECTANT COEF. 7. Active Ingredients: Alkyl (C14 58%, C16 28%, C12 14%) dimethyl benzyl ammonium chloride 2.00%; Essential oils 0.25%. Method of Support: Application proceeds under 2(b) of interim policy. PM31
- EPA File Symbol 11976-G. Southern Chemical Products. CHEMCO MINT SCENTED DISINFECTANT COEF. 7. Active Ingredients: Alkyl (C14 58%, C16 28%, C12 14%) dimethyl benzyl ammonium chloride 2.0%; Isopropanol 2.0%; Methyl salicylate 0.5%. Method of Support: Application proceeds under 2(b) of interim policy. PM31
- EPA File Symbol 10485-GN. United Chemical, Hobbs NM. ALPHA 572 ALGICIDE. Active Ingredients: Alkyl (C14 90%, C12 5%, C16 5%) dimethyl dichlorobenzyl ammonium chloride 19.23%. Method of Support: Application proceeds under 2(b) of interim policy. PM31

[FR Doc.76-26124 Filed 9-7-76;8:45 am]

[FRL 612-4; OPP-33000/458]

### RECEIPT OF APPLICATION FOR PESTICIDE REGISTRATION

#### Data To Be Considered in Support of Applications

On November 19, 1973, the Environmental Protection Agency (EPA) published in the FEDERAL REGISTER (39 FR 31862) its interim policy with respect to the administration of Section 3(c)(1)(D) of the Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA), as amended ("Interim Policy Statement"). On January 22, 1976, EPA published in the FEDERAL REGISTER a document entitled "Registration of a Pesticide Product—Consideration of Data by the Administrator in Support of an Application" [41 FR 3339]. This document described the changes in the Agency's procedures for implementing Section 3(c)(1)(D) of FIFRA, as set out in the Interim Policy Statement, which were effectuated by the enactment of the recent amendments to FIFRA on November 28, 1975 [P.L. 94-140], and the new regulations governing the registration and reregistration of pesticides which became effective on August 4, 1975 [40 CFR Part 162].

Pursuant to the procedures set forth in these FEDERAL REGISTER documents, EPA hereby gives notice of the applications for pesticide registration listed below. In some cases these applications have recently been received; in other cases, applications have been amended by the submission of additional supporting data, the election of a new method of support, or the submission of new "offer to pay" statements.

In the case of all applications, the labeling furnished by the applicant for the product will be available for inspection at the Environmental Protection Agency, Room 209, East Tower, 401 M Street, S.W., Washington DC 20460. In the case of applications subject to the new Section 3 regulations, and applications not subject to the new Section 3 regulations which utilize either the 2(a) or 2(b) method of support specified in the Interim Policy Statement, all data citations submitted or referenced by the applicant in support of the application will be made available for inspection at the above address. This information (proposed labeling and, where applicable, data citations) will also be supplied by mail, upon request. However, such a re-

quest should be made only when circumstances make it inconvenient for the inspection to be made at the Agency offices.

Any person who (a) is or has been an applicant, (b) believes that data he developed and submitted to EPA on or after January 1, 1970, is being used to support an application described in this notice, (c) desires to assert a claim under Section 3(c) (1) (D) for such use of his data, and (d) wishes to preserve his right to have the Administrator determine the amount of reasonable compensation to which he is entitled for such use of the data or the status of such data under Section 10 must notify the Administrator and the applicant named in the notice in the FEDERAL REGISTER of his claim by certified mail. Notification to the Administrator should be addressed to the Product Control Branch, Registration Division (WH-567), Office of Pesticide Programs, Environmental Protection Agency, 401 M St. SW, Washington DC 20460. Every such claimant must include at a minimum, the information listed in the Interim Policy Statement of November 19, 1973.

Specific questions concerning applications made to the Agency should be addressed to the designated Product Manager (PM), Registration Division (WH-567), Office of Pesticide Programs, at the above address, or by telephone as follows:

PM 11, 12, & 13—202/755-9315  
 PM 21 & 22—202/426-2454  
 PM 24—202/755-2196  
 PM 31—202/426-2635  
 PM 33—202/755-9041  
 PM 15, 16 & 17—202/426-9425  
 PM 23—202/755-1397  
 PM 25—202/755-7012  
 PM 32—202/426-9486  
 PM 34—202/426-9490

The Interim Policy Statement requires that claims for compensation be filed on or before November 8, 1976. With the exception of 2(c) applications not subject to the new Section 3 regulations, and for which a sixty-day hold period for claims is provided, EPA will not delay any registration pending the assertion of claims for compensation or the determination of reasonable compensation. Inquiries and assertions that data relied upon are subject to protection under Section 10 of FIFRA, as amended, should be made on or before October 8, 1976.

Dated: August 30, 1976.

JOHN B. RITCH, JR.,  
 Director, Registration Division.

APPLICATIONS RECEIVED (OPP-33000/458)

EPA Reg. No. 4-169. Bonide Chemical Co., Inc., 2 Wurz Ave., Yorkville NY 13495. BONIDE LAWN WEED KILLER W/BANVEL D & 2,4-D. Active Ingredients: Dimethylamine salt of 2,4-dichlorophenoxyacetic acid 3.0%; Dimethylamine salt of dicamba (3,6-dichloro-o-anisic acid) 0.6%; Dimethylamine salts of related acids 0.1%. Method of Support: Application proceeds under 2(b) of interim policy. Application for registration. PM23

EPA Reg. No. 4-173. Bonide Chemical Co., Inc. BONIDE LAWN WEED KILLER CONTAINS 2,4-D PLUS BANVEL "D". Active Ingredients: Dimethylamine Salt of 2,4-dichloro-

rophenoxyacetic acid 13.0%; Dimethylamine Salt of dicamba (3,6-dichloro-o-anisic acid) 1.5%; Dimethylamine Salt of related acids 0.3%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM23

EPA Reg. No. 1191-195. Carolina Chemicals, Inc., PO Box 118, West Columbia SC 29169. 10% MALATHION DUST. Active Ingredients: Malathion (0,0-Dimethyl Dithiophosphate of Diethyl Mercaptosuccinate) 10%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM15

EPA Reg. No. 192-118. Dexcel Industries, 1450 W. 228th St., Torrance CA 90501. DEXOL LAWN WEED KILLER. Active Ingredients: Dimethylamine salt of 2-(2-methyl-4-chlorophenoxy) propionic acid 3.66%; Dimethylamine salt of 2,4-dichlorophenoxyacetic acid 8.07%; Dimethylamine salt of Dicamba (3,6-dichloro-o-anisic acid) 0.84%; Dimethylamine salts of related compounds 0.11%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM23

EPA Reg. No. 1598-124. FCX, Inc., Box 2419, Raleigh NC 27602. FCX 5% MALATHION DUST. Active Ingredients: Malathion (0,0-dimethyl dithiophosphate of diethyl mercaptosuccinate) 5%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM16

EPA Reg. No. 1598-191. FCX, Inc. FCX 5% CYTHION DUST. Active Ingredients: Malathion (0,0-dimethyl dithiophosphate of diethyl mercaptosuccinate) 5%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM16

EPA Reg. No. 471-14. Henry Field Seed & Nursery Co., 407 Sycamore St., Shenandoah IA 51602. CRABGRASS CONTROL CONTAINS DACTHAL. Active Ingredients: Dimethyl ester of tetrachloroterephthalic acid 4.16%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM 23

EPA File Symbol 334-UGN. Hysan Corp., 919 W. 38th St., Chicago IL 60609. DSF 10. Active Ingredients: Octyl decyl dimethyl ammonium chloride 5.0%; Didecyl dimethyl ammonium chloride 2.5%; Dioctyl dimethyl ammonium chloride 2.5%; Diethyl dimethyl ammonium chloride 2.5%; Isopropyl alcohol 4.0%. Method of Support: Application proceeds under 2(b) of interim policy. PM31

EPA File Symbol 334-UGL. Hysan Corp. MICROCID WT 50. Active Ingredients: Dioctyl dimethyl ammonium chloride 50%; Ethyl alcohol 10%. Method of Support: Application proceeds under 2(b) of interim policy. PM31

EPA File Symbol 334-UGG. Hysan Corp. DSF 10B. Active Ingredients: Alkyl (C14 60%, C16 30%, C12 5%, C18 5%) Dimethyl Benzyl Ammonium Chlorides 5.0%; Alkyl (C12 50%, C14 30%, C16 17%, C18 3%) Dimethyl Ethylbenzyl Ammonium Chlorides 5.0%. Method of Support: Application proceeds under 2(b) of interim policy. PM31

EPA File Symbol 334-UGE. Hysan Corp. DISINFECTANT - SANITIZER FUNGICIDE DEODORIZER. Active Ingredients: Alkyl (C14 60%; C16 30%; C12 5%, C18 5%) Dimethyl Benzyl Ammonium Chlorides 5.0%; Alkyl (C12 68%, C14 32%) Dimethyl Ethylbenzyl Ammonium Chlorides 5.0%. Method of Support: Application proceeds under 2(b) of interim policy. PM31

EPA File Symbol 334-UEI. Hysan Corp. DISINFECTANT BOWL CLEANER # 175. Active Ingredients: Octyl decyl dimethyl ammonium chloride 1.250%; Dioctyl dimethyl ammonium chloride 0.625%; Didecyl di-

methyl ammonium chloride 0.625%; Alkyl amino betaine 1.000%; Hydrogen chloride 17.50%. Method of Support: Application proceeds under 2(b) of interim policy. PM32

EPA Reg. No. 407-375. Imperial, Inc., PO Box 423, Shenandoah IA 51601. 3% CIODRIN INSECTICIDE CATTLE DUST BAG. Active Ingredients: Dimethyl phosphate of Alpha-Methylbenzyl 3-hydroxy-cis-crotonate 3.0%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM15

EPA Reg. No. 407-363. Imperial, Inc. 3% CIODRIN DUST. Active Ingredients: Dimethyl Phosphate of Alpha-Methylbenzyl 3-hydroxy-cis-crotonate 3.0%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM15

EPA Reg. No. 802-366. The Chas. H. Lilly Co., 7737 NE Killingsworth, Portland OR 97218. MILLER'S MALATHION 10G. Active Ingredients: Malathion (0,0-dimethyl dithiophosphate of diethyl mercaptosuccinate) 10%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM16

EPA Reg. No. 802-424. The Chas. H. Lilly Co. MILLER'S CYTHION 50 W. Active Ingredients: Malathion (0,0-dimethyl Dithiophosphate of Diethyl Mercaptosuccinate) 50%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM16

EPA Reg. No. 802-170. The Chas. H. Lilly Co. MILLER'S PET, POULTRY AND LIVESTOCK DUST. Active Ingredients: Malathion (0,0-dimethyl dithiophosphate of diethyl mercaptosuccinate) 4.0%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM16

EPA Reg. No. 802-115. The Chas. H. Lilly Co. MILLER'S MALATHION 5D. Active Ingredients: Malathion (0,0-dimethyl dithiophosphate of diethyl mercaptosuccinate) 5.0%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM16

EPA Reg. No. 802-106. The Chas. H. Lilly Co. MILLER'S MALATHION 25 W (25% PREMIUM GRADE MALATHION WETTABLE POWDER). Active Ingredients: Malathion (0,0-dimethyl Dithiophosphate of Diethyl Mercaptosuccinate) 25%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM16

EPA Reg. No. 707-98. Rohm and Haas, Independence Mall West, Philadelphia PA 19105. KERB 50-W. Active Ingredients: 3,5-dichloro-N-(1,1-dimethyl-2-propynyl) benzamide 50.0%. Method of Support: Application proceeds under 2(a) of interim policy. PM25

EPA Reg. No. 2125-16. Science Products Co., Inc., 5801 N. Tripp Ave., Chicago IL 60646. SCIENCE LAWN WEED-KILLER. Active Ingredients: Dimethylamine salt of dicamba (3,6-dichloro-o-anisic acid) 3.42%; Dimethylamine salt of related acids 0.56%; Dimethylamine salt of 2,4-dichlorophenoxyacetic acid 17.12%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM23

EPA Reg. No. 491-196. Selig Chemical Industries, Div. of National Serv. Ind., Inc., PO Box 43106, Atlanta GA 30336. SELIG'S SELECTA-WEED NO. 80. Active Ingredients: Diethanolamine Salt of 2-(2-Methyl-4-Chlorophenoxy) Propionic Acid 16.2%; Diethanolamine Salt of 2,4-Dichlorophenoxy Acetic Acid 16.1%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM23

[FRL 612-6; OPP-180090]

## WASHINGTON STATE DEPARTMENT OF AGRICULTURE

## Issuance of a Specific Exemption To Use Ethyl Parathion To Control Pea Aphid, Bean Aphid, and Vetch Aphid on Lentils

Pursuant to the provisions of section 18 of the Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA), as amended (86 Stat. 973; 7 U.S.C. 136), notice is given that the Environmental Protection Agency (EPA) has granted a specific exemption to the Washington State Department of Agriculture (hereinafter referred to as the "Applicant") to use ethyl parathion to suppress populations of the pea aphid, the bean aphid, and the vetch aphid which are threatening the commercial lentil crop on 35,000 acres in two (2) Washington State counties. This exemption was granted in accordance with, and is subject to, the provisions of 40 CFR Part 166, issued December 3, 1973 (38 FR 33303) which prescribes requirements for exemption of Federal and State agencies for use of pesticides under emergency conditions.

This notice contains a summary of information required by regulation to be included in the notice. For more detailed information, interested parties are referred to the application on file with the Registration Division (WH-567), Office of Pesticide Programs, EPA, 401 M St., S.W., Room E-315, Washington, D.C. 20460.

According to the Applicant, approximately 35,000 acres of lentils in the counties of Spokane and Whitman in the southeastern portion of Washington are infested by pea aphid, bean aphid, and vetch aphid. It was the Applicant's opinion that potential losses on untreated lentils could result in an average loss of 35% of an average 1,000 pound per acre yield on these 35,000 acres; the Applicant further stated that, at a value of fifteen (15) cents per pound, the dollar loss would be \$1,837,500.

Malathion is the only insecticide registered for use on lentils. According to the Applicant, under favorable conditions malathion is only 60% to 80% effective, and cool weather is decreasing this effectiveness to 50%. Ethyl parathion has proven efficacy against numerous species of aphids, and the Applicant believes it will be effective against the pea aphid, bean aphid, and vetch aphid. In addition, an experimental use permit has been issued for similar uses of ethyl parathion in the States of Idaho and Washington. This permit is covered by a temporary tolerance of one (1.0) ppm; the temporary tolerance expires on June 1, 1977.

The Applicant will use ethyl parathion at an application rate of one-half (0.5) pound avoirdupois actual ingredient per acre; application will be made both aerially and with ground equipment, with a ten (10) day preharvest interval. A maximum of two (2) applications will be made, terminating no later than August 15, 1976. Applications will be limited to State-licensed commercial applicators.

EPA Reg. No. 557-1850. Swift Agricultural Chemicals Corp., 111 W. Jackson Blvd., Chicago IL 60604. GOLDEN VIGORO WEED AND FEED 18-4-8. Active Ingredients: Dimethylamine salt of 2,4-dichlorophenoxyacetic acid 0.69%; Dimethylamine salt of 2-(2-methyl-4-chlorophenoxy) propionic acid 0.31%; Dimethylamine salt of Dicamba (3,6-dichloro-o-anisic acid) 0.07%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM23

EPA Reg. No. 557-1847. Swift Agricultural Chemicals Corp. VIGORO READY TO USE HOSE SPRAY BROADLEAF WEED KILLER FOR ST. AUGUSTINE. Active Ingredients: Dimethylamine salt of 2,4-Dichlorophenoxyacetic acid 1.072%; Dimethylamine salt of 2-(2-methyl-4-chlorophenoxy) propionic acid 3.506%; Dimethylamine salt of dicamba (3,6-dichloro-o-anisic acid) 0.429%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM23

EPA Reg. No. 557-1845. Swift Agricultural Chemicals Corp. GOLDEN VIGORO WEED CONTROL PLUS LAWN FERTILIZER. Active Ingredients: 2,4-dichlorophenoxyacetic acid 0.73%; 2-(2-methyl-4-chlorophenoxy) propionic acid 0.31%; Dicamba (3,6-dichloro-o-anisic acid) 0.07%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM23

EPA Reg. No. 557-1844. Swift Agricultural Chemicals Corp. VITOGRO LAWN WEEDER AND FEEDER. Active Ingredients: 2,4-dichlorophenoxyacetic acid 0.58%; 2-(2-Methyl-4-chlorophenoxy) propionic acid 0.24%; Dicamba (3,6-dichloro-o-anisic acid) 0.05%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM23

EPA Reg. No. 557-1822. Swift Agricultural Chemicals Corp. EARL MAY CRABGRASS PREVENTER PLUS LAWN FOOD. Active Ingredients: Dimethyl Tetrachloroterephthalate 3.85%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM23

EPA Reg. No. 557-1782. Swift Agricultural Chemicals Corp. GOLDEN VIGORO LAWN FERTILIZER PLUS CRABGRASS PREVENTER. Active Ingredients: Dimethyl tetrachloroterephthalate 1.60%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM23

EPA Reg. No. 557-1758. Swift Agricultural Chemicals Corp. DEEP GREEN VIGORO 16-2-5 LAWN FERTILIZER PLUS CRABGRASS PREVENTER. Active Ingredients: Dimethyl tetrachloroterephthalate 3.85%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM23

EPA Reg. No. 557-1752. Swift Agricultural Chemicals Corp. VIGORO CHICKWEED, CLOVER AND WEED KILLER. Active Ingredients: Diethanolamine salt of 2-(2-methyl-4-chlorophenoxy) propionic acid 13.7%; Diethanolamine salt of 2,4-dichlorophenoxyacetic acid 13.6%; Diethanolamine salt of Dicamba (3,6-dichloro-o-anisic acid) 1.3%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM23

EPA Reg. No. 557-1733. Swift Agricultural Chemicals Corp. VIGORO LAWN FOOD WITH CRABGRASS PREVENTER. Active Ingredients: Dimethyl tetrachloroterephthalate 5.75%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM23

EPA Reg. No. 557-1691. Swift Agricultural Chemicals Corp. DEEP GREEN VIGORO LAWN FOOD WITH RID CRABGRASS

PREVENTER. Active Ingredients: Dimethyl tetrachloroterephthalate 2.30%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM23

EPA Reg. No. 557-655. Swift Agricultural Chemicals Corp. VIGORO RID CRABGRASS PREVENTER. Active Ingredients: Dimethyl tetrachloroterephthalate 2.3%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM23

EPA Reg. No. 449-537. Techne Corp., PO Box 7305, St. Joseph MO 64502. TECHNE CATTLE DUST BAG 3% CIODRIN INSECTICIDE. Active Ingredients: Dimethyl Phosphate of Alpha-Methylbenzyl 3-hydroxycis-crotonate 3.0%. Method of Support: Application for reregistration. PM15

EPA Reg. No. 148-303. Thompson-Hayward Chemical Co., PO Box 2383, Kansas City KS 66110. MALATHION W-25. Active Ingredients: Malathion 25.0%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM16

EPA Reg. No. 148-394. Thompson-Hayward Chemical Co. COTTON DUST 10-0-0 MALATHION. Active Ingredients: Malathion 10%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM16

EPA Reg. No. 158-1144. Thompson-Hayward Chemical Co. MALATHION SULFUR 8-50. Active Ingredients: Malathion (0,0-dimethyl Dithiophosphate of Diethyl Mercaptosuccinate) 8.0%; Sulfur 50.0%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM16

EPA File Symbol 769-ULT. Woolfolk Chemical Works, Inc. Distributors, PO Box 938, Fort Valley GA 31030. PERF TURF LAWN FUNGICIDE SPRAY. Active Ingredients: Chlorothalonil (tetrachloroisophthalonitrile) 30.8%. Method of Support: Application proceeds under 2(b) of interim policy. PM22

EPA Reg. No. 2124-644. W. R. Grace & Co., Agricultural Chemical Group, PO Box 277, Memphis TN 38101. WONDER GRO TRIPLE DUTY 25-5-5. Active Ingredients: Dimethylamine Salt of dicamba (3,6-dichloro-o-anisic acid) 0.260%; Dimethylamine Salt of Related Acids 0.046%; Dimethylamine Salt of 2,4-dichlorophenoxyacetic Acid 0.870%. Method of Support: Application proceeds under 2(b) of interim policy. Application for reregistration. PM23

EPA Reg. No. 2124-678. W. R. Grace & Co. WONDER GRO LAWN WEED KILLER. Active Ingredients: Dimethylamine salt of dicamba (3,6-dichloro-o-anisic acid) 0.691%; Dimethyl Salt of Related Acids 0.121%; Dimethylamine Salt of 2,4-dichlorophenoxyacetic acid 1.729%. Method of Support: Application for proceeds under 2(b) of interim policy. Application for reregistration. PM23

EPA Reg. No. 677-227. Diamond Shamrock Corp., Agricultural Chemicals Div., 1100 Superior Ave., Cleveland OH 44114. DACTHAL G-5 HERBICIDE (5% DACTHAL GRANULES) Active Ingredients: Dimethyl tetrachloroterephthalate 5.0%. (Originally published with incomplete active ingredient) PM23 (41 FR 34681 8/16/76)

EPA Reg. No. 1706-125. Nalco Chemical Co., 2901 Butterfield Rd., Oak Brook IL 60521. NALKIL 2413 GRANULAR WEED KILLER. Active Ingredients: Diuron [3-(3,4-dichlorophenyl)-1,1-dimethylurea] 2.0%. (Originally published with incorrect active ingredients) PM25 (41 FR 34684 8/16/76)

[FR Doc.76-26125 Filed 9-7-76;8:45 am]

Growers will be required to obtain a user permit for ethyl parathion on lentils. Washington State University Extension Agents will furnish growers with instructions for rates of application and procedures.

The Fish and Wildlife Service, U.S. Department of the Interior, has advised EPA that one endangered species—the American Peregrine Falcon—is resident to both Washington counties where ethyl parathion will be applied. However, it is anticipated that there will be no adverse effects to man or the environment as a result of this application.

After reviewing the application and other available information, EPA has determined that (a) a pest outbreak of pea aphid, bean aphid, and vetch aphid has occurred; (b) there is no pesticide presently registered and available for use to control these aphids in Washington; (c) there are no alternative means of control, taking into account the efficacy and hazard; (d) significant economic problems may result if these aphids are not controlled; and (e) the time available for action to mitigate the problems posed is insufficient for a pesticide to be registered for this use. Accordingly, the Applicant has been granted a specific exemption to use the pesticide noted above until August 15, 1976, to the extent and in the manner set forth in the application. The specific exemption is also subject to the following conditions:

1. A parathion EC formulation containing four (4) pounds of actual ethyl parathion per gallon and appropriately labeled for method of application (ground or air) will be used;

2. Rate of application will be one-half (0.5) pound of actual ethyl parathion per acre per application for a maximum of two (2) applications;

3. Lentil acreages to be treated are limited to those counties previously mentioned in this notice;

4. Lentil crop residues (forage) treated with ethyl parathion may not be used for food, feed, or fodder. Livestock may not graze in treated lentil fields;

5. Lentils with ethyl parathion residues not exceeding one (1.0) ppm will not pose a hazard to the public health and may be offered in interstate commerce. The Food and Drug Administration, U.S. Department of Health, Education, and Welfare, has been advised of this decision;

6. A ten (10) day preharvest interval will be observed;

7. The Applicant is responsible for supervising all ethyl parathion applications;

8. Efficacy and residue data will be collected pursuant to registering this use pattern under section 3 of the amended FIFRA.

9. Every precaution will be taken to avoid or minimize spray drift to non-target areas;

10. Liaison will be established between the Washington State Department of Agriculture, and the State Department of Fisheries and Game to minimize any adverse effects on fish and wildlife resources; and

11. Every precaution will be taken to assure the protection of all endangered species residing in or migrating through the treatment area.

Dated August 31, 1976.

EDWIN L. JOHNSON,  
Deputy Assistant Administrator  
for Pesticide Programs.

[FR Doc.76-26127 Filed 9-7-76;8:45 am]

## FEDERAL ENERGY ADMINISTRATION CHARTER OIL CO.

### Action Taken on Consent Order

Pursuant to 10 CFR 205.197(c), the Federal Energy Administration (FEA) hereby gives notice of final action taken on a Consent Order.

Under the terms of 10 CFR 205.197(c), no Consent Order involving sums in excess of \$500,000 shall become effective until FEA publishes notice of its execution and solicits and considers public comments with respect to its terms. On June 25, 1976, FEA published notice of a Consent Order which was executed between Charter Oil Corporation (Charter) and FEA (41 FR 26257 (June 25, 1976)). With that notice, and in accordance with 10 CFR 205.197(c), FEA invited interested persons to comment on the Consent Order. A press release in conformity with 10 CFR 205.197(c) was issued simultaneously therewith.

Comments were received from seven (7) firms, all of which expressed concern that the refunds noted in the Order might not be passed through to the ultimate consumer. FEA has resolved this matter through utilization of ancillary orders pursuant to 10 CFR 205.195(b), and has concluded that the Consent Order as executed between FEA and Charter is an appropriate resolution of the compliance proceedings described in the Notice published on June 2, 1976, and hereby gives Notice that the Consent Order shall become effective as proposed, without modification, on September 8, 1976.

Issued in Washington, D.C., September 2, 1976.

MICHAEL F. BUTLER,  
General Counsel.

[FR Doc.76-26200 Filed 9-2-76;1:42 pm]

## FEDERAL MARITIME COMMISSION FARRELL LINES, INC., AND PACIFIC FAR EAST LINE, INC.

### Agreement Filed

Notice is hereby given that the following agreement has been filed with the Commission for approval pursuant to section 15 of the Shipping Act, 1916, as amended (39 Stat. 733, 75 Stat. 763, 46 U.S.C. 814).

Interested parties may inspect and obtain a copy of the agreement at the Washington Office of the Federal Maritime Commission, 1100 L Street, N.W., Room 10126; or may inspect the agreement at the Field Offices located at New York, N.Y., New Orleans, Louisiana, San Francisco, California, and Old San Juan,

Puerto Rico. Comments on such agreements, including requests for hearing, may be submitted to the Secretary, Federal Maritime Commission, Washington, D.C. 20573, on or before September 17, 1976.

Any person desiring a hearing on the proposed agreement shall provide a clear and concise statement of the matters upon which they desire to adduce evidence. An allegation of discrimination or unfairness shall be accompanied by a statement describing the discrimination or unfairness with particularity. If a violation of the Act or detriment to the commerce of the United States is alleged, the statement shall set forth with particularity the acts and circumstances said to constitute such violation or detriment to commerce.

A copy of any such statement should also be forwarded to the party filing the agreement (as indicated hereinafter) and the statement should indicate that this has been done.

Notice of agreement revision filed by:

Edward Aptaker, Esquire, Morgan, Lewis & Bockius, 1800 M Street, N.W., Washington, D.C. 20036.

Agreement No. T-3313, which is between Farrell Lines, Incorporated, (Farrell), and Pacific Far East Line, Inc., (PFEL), and provides for Farrell's use of excess PFEL capacity at Pier 96, San Francisco, California, was noticed for comment in the FEDERAL REGISTER on June 15, 1976, (41 FR 24217). Prior to formal Commission consideration of Agreement No. T-3313, however, filing counsel requested that the Commission hold any formal action on the matter in abeyance pending further discussion between the parties.

As a result of these discussions, two revisions have been made to the agreement. First, the consideration to be paid by Farrell for the right to use PFEL's facilities at Pier 96 for an initial period of five years has been changed from \$500,000 to \$300,000. Second, the consideration to be paid by Farrell for such use after the initial five-year period has been changed from \$100,000 to \$50,000. In all other respects, the agreement remains unchanged.

Dated: September 2, 1976.

By order of the Federal Maritime Commission.

JOSEPH C. POLKING,  
Assistant Secretary.

[FR Doc.76-26257 Filed 9-7-76;8:45 am]

## FEDERAL POWER COMMISSION

[Docket No. RP76-110]

### PACIFIC GAS TRANSMISSION CO.

#### Rate Change

SEPTEMBER 1, 1976.

Take notice that on June 17, 1976, Pacific Gas Transmission Company (PGT) tendered for filing a "Notice of Rate Change to Reflect Increases in the Price of Canadian Gas In Cost Of Service Charges, And Request For Waiver Of Rules And Expedited Consideration."

[Docket No. CP76-487]

## CITIES SERVICE GAS CO.

## Application

SEPTEMBER 1, 1976.

Take notice that on August 17, 1976, Cities Service Gas Company (Applicant), P.O. Box 25128, Oklahoma City, Oklahoma 73125, filed in Docket No. CP76-487 an application pursuant to Section 7 of the Natural Gas Act and Section 157.7 (g) of the Regulations thereunder (18 CFR 157.7(g)) for a certificate of public convenience and necessity authorizing the construction and for permission for and approval of the abandonment, during the calendar year 1977, and operation of field gas compression and related metering and appurtenant facilities, all as more fully set forth in the application on file with the Commission and open to public inspection.

The stated purpose of this budget-type application is to augment Applicant's ability to act with reasonable dispatch in the construction and abandonment of facilities which would not result in changing Applicant's system salable capacity or service from that authorized prior to the filing of the instant application.

Applicant states that the total cost of the proposed construction and abandonment would not exceed \$2,000,000 and that the cost of any single project would not exceed \$500,000. These costs would be financed with treasury cash.

Any person desiring to be heard or to make any protest with reference to said application should on or before September 30, 1976, filed with the Federal Power Commission, Washington, D.C. 20426, a petition to intervene or a protest in accordance with the requirements of the Commission's Rules of Practice and Procedure (18 CFR 1.8 or 1.10) and the Regulations under the Natural Gas Act (18 CFR 157.10). All protests filed with the Commission will be considered by it in determining the appropriate action to be taken but will not serve to make protestants parties to the proceeding. Any person wishing to become a party to a proceeding or to participate as a party in any hearing therein must file a petition to intervene in accordance with the Commission's Rules.

Take further notice that, pursuant to the authority contained in and subject to the jurisdiction conferred upon the Federal Power Commission by Sections 7 and 15 of the Natural Gas Act and the Commission's Rules of Practice and Procedure, a hearing will be held without further notice before the Commission on this application if no petition to intervene is filed within the time required herein, if the Commission on its own review of the matter finds that a grant of the certificate and permission and approval for the proposed abandonment are required by the public convenience and necessity. If a petition for leave to intervene is timely filed, or if the Commission on its own motion believes that a formal hearing is required, further notice of such hearing will be duly given.

Under the procedure herein provided for, unless otherwise advised, it will be unnecessary for Applicant to appear or be represented at the hearing.

KENNETH F. PLUMB,  
Secretary.

[FR Doc.76-26218 Filed 9-7-76;8:45 am]

[Docket Nos. RP73-65 (PGA76-5)]

## COLUMBIA GAS TRANSMISSION CORP.

## Order Accepting for Filing and Suspending Proposed Purchased Gas Cost Adjustment

AUGUST 31, 1976.

On July 16, 1976, Columbia Gas Transmission Corporation (Columbia) tendered for filing a proposed PGA rate adjustment<sup>1</sup> to become effective September 1, 1976, to reflect (1) an increase of 3.69¢ per Mcf or \$36.8 million annually in the cost of gas purchased from pipeline and producer suppliers and (2) revised surcharges to amortize the negative balance of \$3,028,149 in the Unrecovered Purchased Gas Cost Account.

Public notice of Columbia's filing was issued on July 23, 1976, with protests or petitions to intervene due on or before August 13, 1976.

We note that this filing contains producer purchases by Columbia in Ohio which are alleged to be intrastate. By order issued February 28, 1975, in Docket Nos. RP73-65 (PGA76-5), we ordered an investigation to determine the propriety of including such purchases in Columbia's PGA rate adjustments. Accordingly, we shall make the determination of that issue in this proceeding subject to the outcome of that issue in Docket Nos. RP73-65 (PGA76-5).

Our review of Columbia's filing indicates that the rates included therein are based in part on (1) 60-day emergency purchases in excess of the rate level prescribed in Opinion No. 770. Therefore the proposed rates have not been shown to be just and reasonable and may be unjust, unreasonable, unduly discriminatory or otherwise unlawful. Accordingly, we shall accept the proposed tariff sheets for filing and suspend their effectiveness for one day until September 2, 1976, when they shall become effective subject to refund.

With regard to the 60-day emergency purchases the Commission noted in Opinion No. 699-B<sup>2</sup> that a pipeline would be entitled to include in its purchased gas costs a rate for such purchases "which a reasonably prudent pipeline purchaser would pay for gas under the same or similar circumstances. To assist in Commission review of the 60-day emergency purchases and in determining whether a public hearing is necessary thereon, Columbia shall be required to file and serve on all its customers and interested state commissions within thirty days of the issuance hereof the following

<sup>1</sup> Designated: Twenty-Ninth Revised Sheet No. 16 and Thirteenth Revised Sheet No. 64A to FPC Gas Tariff, Original Volume No. 1.

<sup>2</sup> ----- FPC ----- issued September 9, 1975, in Docket No. R-389-B.

PGT states that its filing is made in compliance with this Commission's orders in Docket No. RP73-111 which require PGT to make filings pursuant to Section 4 of the Natural Gas Act before there is reflected in PGT's cost of service charges any increase in the cost of gas imposed or required by Canadian authorities.

PGT indicates that its filing will effect increases in rates charged under its PL-1 rate schedule which is applicable only to sales of gas made by PGT to its one customer for sale, Pacific Gas and Electric Company. PGT states that no other rate schedule is affected.

PGT states that the filed changes in rates will reflect in PGT's cost of service charges certain increases mandated by Canadian authorities in the price of gas imported from Canada, commencing September 10, 1976 and January 1, 1977. PGT states that it obtains its entire supply of gas from Canada at a present border price of \$1.60 (Canadian) per MMBtu. PGT recites that on June 10, 1976 the Canadian Government announced that existing export licenses would be amended to establish a border price of \$1.80 (Canadian) per MMBtu of gas commencing September 10, 1976 and increasing to \$1.94 (Canadian) per MMBtu commencing January 1, 1977. PGT states that on the basis of present volumes and Btu content, and a given U.S.-Canadian monetary exchange rate, PGT estimates that the effect of the September 10, 1976 increase would be approximately \$82,029,000 (U.S.) on an annualized basis, although it should be noted that this increase to \$1.80 (Canadian) will be in effect for less than four months. PGT states that the January 1, 1977 increase to \$1.94 (Canadian) will, accordingly to PGT's estimate, amount to approximately \$139,450,000 (U.S.) in increased annual costs over the current annual cost of Canadian natural gas.

PGT advises that copies of its filing have been mailed to its customers and to interested state commissions. PGT requests that expedited consideration be given to the instant filing.

Any person desiring to be heard or to protest said filing should file a petition to intervene or protest with the Federal Power Commission, 825 North Capitol Street, NE., Washington, D.C. 20426, in accordance with §§ 1.8 and 1.10 of the Commission's rules of practice and procedure (18 CFR 1.8, 1.10). All such petitions or protests should be filed on or before September 9, 1976. Protests will be considered by the Commission in determining the appropriate action to be taken, but will not serve to make protestants parties to the proceeding. Any person wishing to become a party must file a petition to intervene. Copies of this filing are on file with the Commission and are available for public inspection.

KENNETH F. PLUMB,  
Secretary.

[FR Doc.76-26269 Filed 9-7-76;8:45 am]

information: (1) the pipeline's need for the gas, (2) the availability of other gas supplies, (3) the amount of gas purchased under each 60-day transaction, (4) a comparison of each emergency purchase price with appropriate market prices in the same or nearby areas, and (5) the relationship between the purchaser and the seller. Upon receipt of this information, it will be duly noticed for receipt of comments with respect thereto. If upon review of the information filed and any comments related thereto we find the criterion set forth in Opinion No. 699-B has been met the Commission shall terminate the proceedings and relieve Columbia of its refund obligation. Should our review of the information and any comments related thereto indicate that further proceedings are required as to any established by subsequent order.

Our review of Columbia's claimed increased purchased gas costs indicates that they comply with the standards set forth in Docket No. R-406 with the exception of: (1) those claimed increased costs associated with that portion of emergency purchases in excess of the rate level prescribed by Opinion No. 770 and (2) those costs associated with the Ohio "intrastate" purchases. Accordingly, we shall permit Columbia to file revised tariff sheets, to become effective September 1, 1976, reflecting elimination of the costs discussed in (1) and (2) above, as hereinafter ordered.

The Commission finds: (1) It is necessary and appropriate to aid in the enforcement of the Natural Gas Act that the revised tariff sheets listed in footnote 1 be accepted for filing and suspended for one day, to become effective September 2, 1976, subject to refund.

(2) It is necessary and appropriate to aid in the enforcement of the Natural Gas Act that Columbia be permitted to file, to become effective September 1, 1976, revised tariff sheets reflecting the elimination of (a) purchased gas costs associated with that portion of emergency purchases in excess of the rate level established in Opinion No. 770 and (b) those costs associated with Ohio "intrastate" purchases.

(3) With the exceptions noted in Finding Paragraph (2), the claimed increased costs have been reviewed and found to be in compliance with the standards set forth in Docket No. R-406.

The Commission orders: (A) Columbia's revised tariff sheets listed in footnote 1 are hereby accepted for filing and suspended for one day, until September 2, 1976, when they shall become effective subject to refund.

(B) Within 20 days of the date of issuance of this order, Columbia may file revised tariff sheets to become effective September 1, 1976, which reflect those claimed increased purchased gas costs contained in its PGA adjustment other than (a) those claimed increased costs associated with that portion of the 60-day emergency purchases in excess of the rate level prescribed in Opinion No. 770

and (b) those costs associated with Ohio "intrastate" purchases.

(C) To assist in Commission review of the 60-day emergency purchases and in determining whether a public hearing is necessary thereon, Columbia shall file and serve on all its customers and interested state commissions within thirty days of the issuance hereof the following information: (1) the pipeline's need for the gas, (2) the availability of other gas supplies, (3) the amount of gas purchased under each 60-day transaction, (4) a comparison of each emergency purchase price with appropriate market prices in the same or nearby areas, and (5) the relationship between the purchaser and the seller. Upon receipt of this information, it will be duly noticed for receipt of comments with respect thereto. Should our review indicate that the information filed and the comments related thereto meet the criterion set forth in Opinion No. 699-B, we shall terminate the proceedings and relieve Columbia of its refund obligation. Should our review of the information and any comments related thereto indicate that further proceedings are required as to any or all of the 60-day emergency purchases they would be established by subsequent order.

(D) The issue of the propriety of including costs associated with Ohio "intrastate" purchases in the instant PGA filing is hereby made subject to the determination of that issue in Docket Nos. RP73-65 (PGA75-5).

(E) The Secretary shall cause prompt publication of this order to be issued in the FEDERAL REGISTER.

By the Commission.

KENNETH F. PLUMB,  
Secretary.

[FR Doc.76-26213 Filed 9-7-76; 8:45 am]

[Docket No. E-9407]

**COLUMBUS AND SOUTHERN OHIO  
ELECTRIC CO.**

**Compliance Tariff Filing**

SEPTEMBER 1, 1976.

Take notice that on August 2, 1976, Columbus and Southern Ohio Electric Company tendered for filing certain tariff sheets representing rate schedules for service to municipal customers. The rate schedules are being filed in compliance with the Commission's order issued herein on July 6, 1976, and are proposed to become effective June 2, 1975.

Any person wishing to do so may submit comments in writing concerning the above filing. Comments should be addressed to the Federal Power Commission, 825 North Capitol Street, N.E., Washington, D.C. 20426 and should be submitted on or before September 24, 1976. Copies of the company's filing are on file with the Commission and available for public inspection.

KENNETH F. PLUMB,  
Secretary.

[FR Doc.76-26219 Filed 9-7-76; 8:45 am]

[Docket Nos. RP75-94 and RP72-140  
(PGA75-5)]

**GREAT LAKES GAS TRANSMISSION CO.**

**Order Approving Stipulation and  
Agreement With Condition**

AUGUST 31, 1971.

On June 17, 1976, at a prehearing conference before Presiding Administrative Law Judge Convisser, the Great Lakes Gas Transmission Company (Great Lakes) placed on the record a proposed "Stipulation and Agreement in Settlement of Rate Proceedings" which, if approved, would dispose of all issues in this proceeding with the exception of a reserved issue concerning rate design methods. Judge Convisser certified the record, including the Stipulation, to the Commission on June 21, 1976. For the reasons hereinafter stated, the Commission shall approve the proposed Stipulation and Agreement, as conditioned.

Docket No. RP75-94 is the subject of an April 30, 1975 filing by Great Lakes of a proposed general rate increase for sales and transportation services. The filing was designed to provide Great Lakes with increased jurisdictional revenues of approximately \$12 million annually. By order issued June 13, 1975, the Commission accepted for filing and suspended the use of the proposed increased rates until November 14, 1975, when such rates were permitted to be collected, subject to refund, pending the Commission's final determination of their justness and reasonableness.

Docket No. RP72-140 (PGA75-5) is the subject of Great Lakes' June 13, 1975 filing which proposed, inter alia, to amend that portion of the Company's purchased gas adjustment (PGA) clause providing for allocation of changes in the cost of purchased gas between its resale customers and the T-4 Rate Schedule customer. On July 3, 1975, Great Lakes filed a request to withdraw its June 13 proposal, asking that the historical allocation method be employed until the issue could be resolved in Docket No. RP75-94. By order issued July 18, 1975, Great Lakes' request to withdraw was granted, and the issue of the proper method for allocating purchased gas costs was set for investigation pursuant to Section 5 of the Act. That investigation was consolidated with proceedings in Docket No. RP75-94.

Public notice of the Presiding Law Judge's certification was issued on July 2, 1976, with initial comments thereon due on or before July 14, 1976, and reply comments due on or before July 26, 1976. Initial comments were filed by TransCanada Pipelines Limited (TransCanada), Michigan Consolidated Gas Company (Michigan Consolidated), Northern Natural Gas Company (Northern), and the Commission Staff. Reply comments were filed by TransCanada, Michigan Consolidated and Great Lakes.

The proposed Stipulation and Agreement provides for a reduction in annual revenues of approximately \$5 million from those reflected in the filing based on the test year date. The major elements of the settlement include the following:

(1) A base cost of gas of \$1.546 per Mcf.

(2) A depreciation rate of 4.0% on transmission plant, instead of the requested 4.659%.

(3) An overall rate of return of 10.22%, instead of the requested 10.75%.

(4) The rate design issue is reserved for further proceedings, the resolution thereof to become effective prospectively only from the first day of the month following the Commission's order thereon.

In their initial comments, TransCanada and Michigan Consolidated fully supported the proposed Stipulation and Agreement. Northern, however, expressed concern over the non-refundable status of the settlement rates and the prospective-only application of any resolution of the outstanding rate design issue. Northern stated that this created a situation wherein Great Lakes could effectively moot the reserved issue by filing and effectuating a general rate increase before the proper rate design could be determined in the instant proceeding. By so doing, Northern stated, "Great Lakes could minimize or wholly eliminate the time during which the rate design ultimately ordered in this case would apply" (pg. 2). Northern thereupon requested that the Commission approve the Stipulation and Agreement subject to the condition that Great Lakes agree to forego the effectuation of any rate design other than the one herein to be approved, for a period of one year after the Commission's issuance of a final and non-appealable order on the reserved issue of rate design.

The Staff generally supported the Stipulation and Agreement, but shared Northern's concern over the possibility that resolution of the reserved rate design issue may be rendered meaningless if a new general rate increase is effectuated prior to its determination. Staff, however, suggested a modification to Northern's remedy to provide that the rate design determined in the instant proceeding be applied prospectively in Great Lakes' next succeeding rate increase proceeding should the rate design determination herein be reached after the effectiveness of such next succeeding rate increase.<sup>1</sup> Staff's proposal was supported by TransCanada and Great Lakes (which stated that it was further authorized to express Northern's support for Staff's proposed revision, as well). Michigan Consolidated supported both Northern and Staff in theory, but apparently favored Northern's original proposal.

The Commission's review of the proposed agreement leads to the conclusion that it reflects a just and reasonable resolution of the issues in this proceeding and should be accepted. Furthermore, we believe the Staff's proposed condition to be proper and reasonable. Accordingly, we

<sup>1</sup> Under Staff's recommendation, the rate design determined from the "further proceedings" in this docket would not be effective retroactively to the effective date of the next succeeding rate increase; rather, it would be effective prospectively only from the first day of the month following the Commission order on such "further proceedings."

shall accept the proposed Stipulation and Agreement as described above.

The Commission finds: Good cause exists to accept and approve the proposed Stipulation and Agreement, as modified in the body of this order.

The Commission orders: (A) The Stipulation and Agreement offered as part of the certified record in this proceeding and incorporated herein by reference is hereby accepted and approved, as conditioned in ordering paragraph (B) herein.

(B) The rate design determined by the Commission to be just and reasonable in these proceedings shall apply prospectively to those rates in effect in this proceeding or to any superseding rates which are in effect at the time of Commission decision. The effective date for such rate design shall be the first day of the first month following the date of issuance of the Commission order.

(C) Within 30 days of the issuance of this order, Great Lakes shall file the revised tariff sheets appearing as Appendix B to the Stipulation and Agreement.

(D) Within 30 days of the filing of the revised tariff sheets in accordance with ordering paragraph (C) herein, Great Lakes shall refund, with interest computed at a rate of 9% per annum, all amounts collected in excess of the proposed settlement rates.

(E) Within 15 days after refunds are made according to ordering paragraph (D) herein, Great Lakes shall file with the Commission a refund report showing monthly billing determinants and revenues under its prior, present, and settlement rates, and showing the monthly settlement rate increase, the monthly rate refund, the monthly interest computation, with a summary of all such information for the total refund period.

(F) This order is without prejudice to any findings or orders which have been made or which may hereafter be made by the Commission, and is without prejudice to any claims or contentions which may be made by the Commission, the Staff or any other party or person affected by this order in any proceeding now pending or hereinafter instituted by or against Great Lakes or any other person or party.

(G) The Secretary shall cause prompt publication of this order to be made in the Federal Register.

By the Commission.

KENNETH F. PLUMB,  
Secretary.

[FR Doc.76-26214 Filed 9-7-76;8:45 am]

[Docket No. ER76-46]

#### MONTAUP ELECTRIC CO.

#### Further Extension of Time

SEPTEMBER 1, 1976.

On August 19, 1976, Staff Counsel filed a motion to extend the procedural dates fixed by order issued August 29, 1975, as most recently modified by notice issued July 16, 1976, in the above-designated proceeding.

Upon consideration, notice is hereby given that the procedural dates in the above matter are modified as follows:

Service of Staff Testimony, September 23, 1976.

Service of Intervenor Testimony, October 7, 1976.

Service of Company Rebuttal, October 21, 1976.

Hearing, November 3, 1976.

By direction of the Commission.

KENNETH F. PLUMB,  
Secretary.

[FR Doc.76-26220 Filed 9-7-76;8:45 am]

[Docket No. RP76-64 (PGA76-1)]

#### MOUNTAIN FUEL SUPPLY CO.

#### Purchased Gas Cost Adjustment to Rates and Charges

SEPTEMBER 1, 1976.

Take notice that Mountain Fuel Supply Company on August 16, 1976 tendered as a PGA filing First Revised Sheet No. 3-A and supporting material. Mountain Fuel states that the base rates in this filing conform with Opinion No. 770, adjusted to a pressure base of 14.65 Psia, and that the refund provision is reflected in Note 2 on First Revised Sheet No. 3-A.

The proposed effective date is October 1, 1976, subject to refund pending rehearing and possible review of Opinion No. 770. Mountain Fuel states that a copy of the filing has been sent to the only affected purchaser.

Any person desiring to be heard or to protest said filing should file a petition to intervene or protest with the Federal Power Commission, 825 North Capitol Street NE., Washington, D.C. 20426, in accordance with the requirements of Sections 1.8 and 1.10 of the Commission's Rules of Practice and Procedure (18 CFR 1.8, 1.10). All such petitions or protests should be filed on or before September 17, 1976. Protests will be considered by the Commission in determining the appropriate action to be taken, but will not serve to make protestants parties to the proceeding. Any person wishing to become a party must file a petition to intervene. Copies of this filing are on file with the Commission and are available for public inspection.

KENNETH F. PLUMB,  
Secretary.

[FR Doc.76-26221 Filed 9-7-76;8:45 am]

#### NATIONAL POWER SURVEY EXECUTIVE ADVISORY COMMITTEE AND THE COORDINATING COMMITTEE

#### Order of Renewal

AUGUST 31, 1976.

This order renews the term of two Advisory Committees of the National Power Survey for an additional period through December 31, 1976. The Executive Advisory Committee was established by Commission order issued August 11, 1972, 37 FR 24213, for a term ending two years thereafter, and renewed by Commission order issued August 7, 1974, 39 FR 29233.

The Coordinating Committee was established by Commission order issued November 2, 1972, 37 FR 23868, for a term ending two years thereafter, and renewed by Commission order issued January 13, 1975, 39 FR 3250. Both these committees were again renewed by Commission order issued June 3, 1976, 41 FR 23246.

As presently constituted and proposed to be continued hereafter, the respective memberships of these two advisory committees are as set forth in Appendix A hereto.

The Federal Power Commission hereby determines that renewal of the aforesaid advisory committees of the National Power Survey, for the period set forth herein, is in the public interest in connection with the performance of duties imposed upon the Commission by law, and that such action is necessary and appropriate for the purposes of the Federal Power Act, 16 USC 791(a) et seq.

The Commission renews these committees in accordance with the terms of this order and prior Commission orders:

Order Authorizing the Establishment of National Power Survey Advisory Committees and Prescribing Procedures, issued June 29, 1972, 37 FR 13380.

Order Establishing National Power Survey Executive Advisory Committee and Designating Initial Membership and Chairmanship, issued August 11, 1972, 37 FR 24213.

Order Establishing National Power Survey Coordinating Committee and Designating Initial Membership and Chairmanship, issued November 2, 1972, 37 FR 23868.

Order Amending National Power Survey Orders issued December 19, 1972, 37 FR 28661.

General Order No. 464-A, issued August 2, 1974, 39 FR 28929.

Order Renewing National Power Survey Executive Advisory Committees, issued August 7, 1974, 39 FR 29233.

Order Renewing National Power Survey Coordinating Committee, issued January 13, 1975, 39 FR 3250.

Order Renewing National Power Survey Executive Advisory Committee and Coordinating Committee, issued June 3, 1976, 41 FR 23246.

By Notice of Determination and Certification with Respect to Renewal of National Power Survey Advisory Committees, dated August 25, 1976, the Chairman of this Commission has determined and certified that the renewal of the aforesaid advisory committees of the National Power Survey for the period set forth herein is necessary in the public interest in connection with the performance of duties imposed upon the Commission by law. The Office of Management and Budget, Advisory Committee Management, has ascertained that the renewal of the aforesaid advisory committees of the National Power Survey is in accord with the requirements of the Federal Advisory Committee Act, 86 Stat 770, 773-4.

By the Commission.

KENNETH F. PLUMB,  
Secretary.

## APPENDIX A

NATIONAL POWER SURVEY  
EXECUTIVE ADVISORY COMMITTEE

Chairman: Shearon Harris, Chairman, Carolina Power and Light Company  
Secretary: Bernard B. Chew, Chief, Division of Power Surveys and Analyses, Federal Power Commission  
Members: Edward E. Cobb, General Manager, Huntsville Utilities  
Michael E. Collins, General Manager, Wakefield Municipal Light Department  
D. C. Cook, President, American Electric Power Company, Inc.  
J. E. Corette, Chairman of the Board, Montana Power Company  
Gordon R. Corey, Vice Chairman, Commonwealth Edison Company  
Leo A. Daly, President, Leo A. Daly Company  
William E. Dean, Association of Illinois Electric Cooperatives  
Paul Donovan, President, Donovan, Hamester & Rattien, Inc.  
William M. Elmer, Chairman of the Board, Texas Gas Transmission Corporation  
Bernard Falk, President, National Electrical Manufacturers Assn.  
T. J. Galligan, Jr., President, Boston Edison Company  
R. F. Gilkeson, Chairman, Philadelphia Electric Company  
J. L. Grahl, General Manager, Basin Electric Power Cooperative  
Lt. General William C. Gribble, Chief of Engineers, Department of the Army  
Dr. David A. Hamil, Administrator, Rural Electrification Administration  
John D. Harper, Chairman, Aluminum Company of America  
Edwin I. Hatch, President, Georgia Power Company  
Maurice F. Hebb, Jr., Vice President, System Engineering, Florida Power Corporation  
Durwood W. Hill, General Manager, Nebraska Public Power District  
Jack K. Horton, Chairman of the Board, Southern California Edison Company  
Timothy L. Jenkins, Chairman, The MATCH Institution  
Ms. Virginia H. Knauer, Director, Office of Consumer Affairs  
Donald C. Lutken, President, Mississippi Power and Light Company  
William A. Lyons, Chairman and Chief Executive Officer, New York State Electric and Gas Corporation  
D. Bruce Mansfield, President, Ohio Edison Company  
Paul Martinka, Vice President, Coal Supply, American Electric Power Service Corporation  
Marshall McDonald, President, Florida Power and Light Company  
T. Justin Moore, Jr., President, Virginia Electric and Power Co.  
Dr. Laurence I. Moss, Consultant  
Dr. Bruce Netschert, Vice President, National Economic Research Associates  
G. W. Nichols, President and Chief Executive Officer, New England Electric System  
Arthur L. Padrutt, Wisconsin Public Service Commission  
Dr. Ruth Patrick, Curator and Chairman, Dept. of Limnology, Academy of Natural Sciences of Philadelphia  
Russell W. Peterson, Chairman, Council on Environmental Quality  
Charles H. Pillard, President, International Brotherhood of Electrical Workers  
John G. Quale, President, Wisconsin Electric Power Company  
Dr. Henry J. Ramey, Jr., Department of Petroleum Engineering, Stanford University  
William P. Reilly, President, Arizona Public Service Company  
P. H. Robinson, Chairman, Houston Lighting and Power Company

Dr. Robert C. Seamans, Jr., Administrator, Energy Research and Development Administration  
Raymond J. Sherwin, Superior Court Hall of Justice, Fairfield, California  
Shermer L. Sibley, Chairman of the Board, Pacific Gas and Electric Company  
M. Frederik Smith, Rockefeller Associates  
Irwin M. Stelzer, President, National Economic Research Associates  
Louis Strong, General Manager, Kentucky Rural Cooperatives Corporation  
W. C. Tallman, President, Public Service Company of New Hampshire  
W. Reid Thompson, Chairman of the Board and President, Potomac Electric and Power Company  
Russell E. Train, Administrator, Environmental Protection Agency  
Alvin W. Vogtle, Jr., President, The Southern Company  
Aubrey J. Wagner, Chairman, Tennessee Valley Authority  
Colston E. Warne, President, Board of Directors, Consumers Union of the U.S., Inc.  
M. Frank Warren, President, Portland General Electric Company  
Ben T. Wiggins, Chairman, Georgia Public Service Commission  
Jack L. Wilkins, Chairman, National Electric Reliability Council  
Charles E. Wyckoff, President, National Rural Electric Cooperative Assn.

NATIONAL POWER SURVEY  
COORDINATING COMMITTEE

Chairman: Shearon Harris, Chairman, Carolina Power and Light Company  
Secretary: Daniel G. Lewis, Assistant Director for Research and Development, Office of Energy Systems, Federal Power Commission

## Members:

Gordon R. Corey, Vice Chairman, Commonwealth Edison Company  
Maurice F. Hebb, Jr., Vice President, System Engineering, Florida Power Corporation  
Paul D. Martinka, Vice President, Coal Supply, American Electric Power Service Corporation  
Bruce Netschert, Vice President, National Economic Research Associates  
Paul Donovan, President, Donovan, Hamester and Rattien  
Irwin M. Stelzer, President, National Economic Research Associates

[FR Doc.76-26215 Filed 9-7-76; 8:45 am]

[Docket No. E-9565]

TOWN OF MASSENA, NEW YORK AND CITY OF SHERRILL, NEW YORK, COMPLAINANTS v. NIAGARA MOHAWK POWER CORPORATION AND POWER AUTHORITY OF THE STATE OF NEW YORK, DEFENDANTS

Complaint and Motion for Order Shortening Time for Answer to Complaint

AUGUST 31, 1976.

Take notice that on August 11, 1976, the Town of Massena, New York (Massena) and the City of Sherrill, New York (Sherrill) filed a joint petition pursuant to Sections 205(b), 206(a), 306 and 309 of the Federal Power Act and pursuant to Sections 1.6 and 1.7(c) of this Commission's Rules of Practice and Procedure.

Massena and Sherrill contend that Niagara Contract NS-1, Electric Rate Schedule FPC No. 19, if construed to cover transmission service only for those preference customers of the Power Au-

thority of the State of New York (PAS-NY) which were operating utilities at the time the contract was executed in 1961, is unduly discriminatory and preferential per se and, so interpreted, is in violation of Sections 205(b) and 206(a) of the Act. The complainants submit that the Commission has the unquestioned jurisdiction to interpret the contract and to amend it, if necessary to the end that it comports with the Niagara Project license, the Niagara Redevelopment Act, the Federal Power Act, the Federal antitrust laws and other applicable laws.

Complainants also contend that PAS NY, as the licensee of the Niagara Project, has a duty to take affirmative steps to resolve both Massena's problem and Sherrill's, with respect to transmission. Complainants allege that relief is available against PASNY under both Section 306 of the Act and the Niagara Project license itself.

Complainants have also filed a motion, pursuant to Section 1.9(a) of the Commission's Rules and Regulations for an order shortening the time for answer to the complaint and petition to fifteen days from the date such complaint was filed.

Any person desiring to be heard or to protest said application should file a petition to intervene or protest with the Federal Power Commission, 825 North Capitol Street, N.E., Washington, D.C. 20426, in accordance with Sections 1.8 or 1.10 of the Commission's Rules of Practice and Procedure (18 CFR 1.8, 1.10). All such petitions or protests should be filed on or before September 24, 1976. Protests will be considered by the Commission in determining the appropriate action to be taken, but will not serve to make protestants parties to the proceeding. Any person wishing to become a party must file a petition to intervene. Copies of this application are on file with the Commission and are available for public inspection.

KENNETH F. PLUMB,  
Secretary.

[FR Doc.76-26216 Filed 9-7-76;8:45 am]

[Docket Nos. RP75-75 (AP76-11)]

**TRANSCONTINENTAL GAS PIPE LINE  
CORP.**

**Tariff Filing**

SEPTEMBER 1, 1976.

Take notice that Transcontinental Gas Pipe Line Corporation (Transco) on August 13, 1976, tendered for filing certain revised tariff sheets to its FPC Gas Tariff, First Revised Volume No. 1 and Original Volume No. 2 to become effective October 1, 1976. Transco states that the purpose of the filing is to "track" advance payments made by Transco in accordance with Article V of the "Agreement as to Rates" in Docket No. RP75-75 which agreement was accepted by Commission Order issued January 30, 1976 in such docket.

The revised tariff sheets filed to be effective October 1, 1976 reflect a "tracking" increase of 0.5¢ per Mcf as a result of inclusion in rate base of \$24,246,586 which amount represents the net increase in advance payment amounts not previously reflected in rates.

The Company states that copies of the filing have been mailed to each of its jurisdictional customers and interested State Commissions.

Any persons desiring to be heard or to protest said filing should file a petition to intervene or protest with the Federal Power Commission, 825 North Capitol Street, N.E., Washington, D.C. 20426, in accordance with Sections 1.8 and 1.10 of the Commission's Rules of Practice and Procedure (18 CFR 1.8, 1.10). All such petitions or protests should be filed on or before September 13, 1976. Protests will be considered by the Commission in determining the appropriate action to be taken, but will not serve to make protestants parties to the proceeding. Any person wishing to become a party must file a petition to intervene. Copies of this filing are on file with the Commission and are available for public inspection.

KENNETH F. PLUMB,  
Secretary.

[FR Doc.76-26222 Filed 9-7-76;8:45 am]

[Docket No. RP76-35 (PGA76-2)]

**UTAH GAS SERVICE CO.**

**PGA Filing**

SEPTEMBER 1, 1976.

Take notice that on August 17, 1976, Utah Gas Service Company (Utah Gas) tendered for filing Fourth Revised Sheet No. 18A to its Special Rate Schedule No. X-1 to reflect a change in rate for gas sold to Northwest Pipeline Corporation under Utah Gas's Special Rate Schedule No. X-1.

Utah Gas states that the instant notice of change in rate relates to a purchased gas cost adjustment pursuant to Original Sheets Nos. 18B, 18C, 18D, and 18E of Special Rate Schedule No. X-1 and is being filed in accordance with Section 4 of the Purchased Gas Cost Adjustments provisions. In accordance with Section 4 of the Notice of Change submitted herewith reflects the effective Base Rate, Current Rate Adjustment, Cumulative Rate Adjustment, and Rate After Current Adjustment and includes supporting computations.

The effective date of the last preceding adjustment under this rate schedule was March 1, 1976. The instant rate change is proposed to become effective October 1, 1976 and reflects the current unrecovered purchased gas cost amortization rate determined in accordance with Section 7 of the Purchased Gas Cost Adjustments provisions applied to volumes sold for the six (6) month period ending June 30, 1976.

According to Utah Gas, a copy of this letter and its enclosures has been mailed

to Northwest Pipeline Corporation, the purchaser under Utah Gas's Special Rate Schedule No. X-1.

Any person desiring to be heard or to protest said application should file a petition to intervene or protest with the Federal Power Commission, 825 North Capitol Street, N.E., Washington, D.C. 20426, in accordance with Sections 1.8 and 1.10 of the Commission's Rules of Practice and Procedure. All such petitions or protests should be filed on or before September 14, 1976. Protests will be considered by the Commission in determining the appropriate action to be taken, but will not serve to make protestants parties to the proceeding. Any person wishing to become a party must file a petition to intervene. Copies of this application are on file with the Commission and are available for public inspection.

KENNETH F. PLUMB,  
Secretary.

[FR Doc.76-26223 Filed 9-7-76;8:45 am]

[Docket No. RP76-41]

**VALLEY GAS TRANSMISSION CO.**

**Certification of Proposed Settlement  
Agreement and Record of Hearing**

SEPTEMBER 1, 1976.

Take notice that on July 2, 1976, the Presiding Administrative Law Judge William L. Ellis certified to the Commission for its consideration a proposed settlement agreement and a motion to terminate the above-captioned proceedings. The settlement reflects that Valley Gas Transmission Company has withdrawn entirely the cost of service tariff originally filed in this docket. In addition, the settlement rate for transmission by Valley Gas has been reduced from 11.36 cents to 10.93 cents with a moratorium on further changes.

Any person desiring to be heard or to protest said settlement agreement should file comments with the Federal Power Commission, 825 North Capitol Street, N.E., Washington, D.C. 20426, on or before September 13, 1976. Comments will be considered by the Commission in determining the appropriate action to be taken. Copies of this agreement are on file with the Commission and are available for public inspection.

KENNETH F. PLUMB,  
Secretary.

[FR Doc.76-26224 Filed 9-7-76;8:45 am]

**FEDERAL RESERVE SYSTEM**

**ANCORP BANCSHARES, INC.**

**Acquisition of Bank**

Ancorp Bancshares, Inc., Chattanooga, Tennessee, has applied for the Board's approval under section 3(a)(3) of the Bank Holding Company Act (12 U.S.C. 1842(a)(3)) to acquire 51 per cent or more of the voting shares of Hamilton Bank of Johnson City, Johnson City,

Tennessee. The factors that are considered in acting on the application are set forth in section 3(c) of the Act (12 U.S.C. 1842(c)).

The application may be inspected at the offices of the Board of Governors or at the Federal Reserve Bank of Atlanta. Any person wishing to comment on the application should submit views in writing to the Secretary, Board of Governors of the Federal Reserve System, Washington, D.C. 20551, to be received not later than September 30, 1976.

Board of Governors of the Federal Reserve System, August 31, 1976.

GRIFFITH L. GARWOOD,  
Assistant Secretary of the Board.

[FR Doc. 76-26232 Filed 9-7-76; 8:45 am]

## BANK HOLDING COMPANIES

### Review of Grandfather Privileges

Section 4 of the Bank Holding Company Act (12 U.S.C. 1843) provides certain privileges ("grandfather privileges") with respect to the nonbanking activities of a company that, by virtue of the 1970 Amendments to the Bank Holding Company Act, became subject to the Bank Holding Company Act. Pursuant to section 4(a)(2) of the Act, a "company covered in 1970" may continue to engage, either directly or through a subsidiary, in nonbanking activities that such a company was lawfully engaged in on June 30, 1968 (or on a date subsequent to June 30, 1968, in the case of activities carried on as a result of the acquisition by such company or subsidiary, pursuant to a binding written contract entered into on or before June 30, 1968, of another company engaged in such activities at the time of the acquisition), and has been continuously engaged in since June 30, 1968 (or such subsequent date). However, section 4(a)(2) of the Act requires the Board of Governors of the Federal Reserve System to determine whether such grandfather privileges should be terminated with respect to a company that controls a bank with assets in excess of \$60 million on or after December 31, 1970.

In exercising its authority under section 4(a)(2), the Board by order after opportunity for hearing, may terminate the authority granted by said section if, having due regard to the purposes of the Act, the Board determines that such action is necessary to prevent undue concentration of resources, decreased or unfair competition, conflicts of interests, or unsound banking practices.

An examination of the grandfather privileges of the companies listed below is in process in order to determine whether continuation of such grandfather privileges, if any, is consistent with the purposes of the Act. A Board determination not to terminate grandfather privileges would not preclude the Board from making a determination at a later date that grandfathered activities must be terminated.

### Bank holding company

Investment Corp. of America, Minneapolis, Minn. (subsidiary bank is River Forest State Bank and Trust Co., River Forest, Ill.).  
Roeland Park Agency, Inc., Shawnee Mission, Kans. (subsidiary bank is Roeland Park State Bank & Trust Co., Shawnee Mission, Kans.).  
Trans-Wyoming Bank Shares, Inc., Cheyenne, Wyo. (subsidiary bank is the American National Bank of Cheyenne, Cheyenne, Wyo.).  
The First Pyramid Life Insurance Co. of America, Little Rock, Ark. (subsidiary bank is City National Bank, Fort Smith, Ark.).

Activities engaged in on, and continuously, since June 30, 1968<sup>1</sup>

Commercial finance.

Sale of general insurance and credit life insurance.

None.

Underwriting life insurance and accident and health insurance on an individual, annuity, or group basis.

Selling credit life and credit disability insurance primarily in connection with loans by subsidiary bank.

Making and managing investments within the scope of the Arkansas Insurance Code, including: Managing income-producing real estate which it holds as an investment; dealing in investment securities on its own behalf; and originating, purchasing, and selling mortgages.

<sup>1</sup>The listed companies may also be engaged in additional activities permissible under other provisions of the Bank Holding Company Act. In addition, authority to engage in some of the activities listed may be contained in other provisions of the Bank Holding Company Act, and such activities would not be subject to divestiture under section 4(a)(2) of the act.

To aid the Board in making its determinations with respect to the aforementioned bank holding companies, interested persons are hereby afforded an opportunity to submit relevant data, views and arguments relating to the continuation of grandfather privileges, if any, of the above-mentioned companies. Any such material should be submitted in writing to the Secretary, Board of Governors of the Federal Reserve System, Washington, D.C. 20551, to be received not later than September 30, 1976. Such material will be made available for inspection and copying upon request, except as provided in § 261.6(a) of the Board's rules regarding availability of information.

Board of Governors of the Federal Reserve System, August 31, 1976.

GRIFFITH L. GARWOOD,  
Assistant Secretary of the Board.

[FR Doc. 76-26235 Filed 9-7-76; 8:45 am]

## BANK HOLDING COMPANIES

### Grandfather Privileges; Correction

In FR Doc. 76-2408 appearing at page 35032 of the issue for Wednesday, August 18, 1976, the entries in the table concerning "The Hibshman Trust for the Euphrata National Bank Stock" should read as set forth below:

The Hibshman Trust for the Ephrata National Bank, Ephrata, Pa.

Ephrata National Bank, Ephrata, Pa.

Board of Governors of the Federal Reserve System, August 31, 1976.

GRIFFITH L. GARWOOD,  
Assistant Secretary of  
the Board.

[FR Doc. 76-26236 Filed 9-7-76; 8:45 am]

## FIRST MICHIGAN BANK CORP.

### Acquisition of Bank

First Michigan Bank Corporation, Zeeland, Michigan, has applied for the Board's approval under Section 3(a)(3) of the Bank Holding Company Act (12 U.S.C. 1842(a)(3)) to acquire 100 per cent of the voting shares of Community State Bank of Dowagiac, Dowagiac, Michigan. The factors that are considered in acting on the application are set forth in Section 3(c) of the Act (12 U.S.C. 1842(c)).

The application may be inspected at the offices of the Board of Governors or at the Federal Reserve Bank of Chicago. Any person wishing to comment on the application should submit views in writing to the Secretary, Board of Governors of the Federal Reserve System, Washington, D.C. 20551, to be received not later than October 1, 1976.

Board of Governors of the Federal Reserve System, September 1, 1976.

GRIFFITH L. GARWOOD,  
Assistant Secretary  
of the Board.

[FR Doc. 76-26233 Filed 9-7-76; 8:45 am]

## LYONS BANKSHARES INC.

### Formation of Bank Holding Company

Lyons Bankshares, Inc., Topeka, Kansas, has applied for the Board's approval under Section 3(a)(1) of the Bank Holding Company Act (12 U.S.C. 1842(a)(1)) to become a bank holding company through acquisition of 80 percent (or more) of the voting shares on The Chandler National Bank, Lyons, Kansas. The factors that are considered in acting on the application are set forth in

Section 3(c) of the Act (12 U.S.C. 1842 (c)).

The application may be inspected at the office of the Board of Governors or at the Federal Reserve Bank of Kansas City. Any person wishing to comment on the application should submit views in writing to the Secretary, Board of Governors of the Federal Reserve System, Washington, D.C. 20551, to be received no later than September 30, 1976.

Board of Governors of the Federal Reserve System, August 31, 1976.

GRIFFITH L. GARWOOD,  
Assistant Secretary of  
the Board.

[FR Doc.76-26234 Filed 9-7-76;8:45 am]

## NATIONAL ADVISORY COUNCIL ON ECONOMIC OPPORTUNITY

### COMMITTEE ON AGENCY OPERATIONS

#### Notification of Proposed Meeting

August 30, 1976.

Pursuant to section 10 of the Federal Advisory Committee Act of 1972 notice is hereby given that the Committee on Agency Operations of the National Advisory Council on Economic Opportunity will hold a meeting on September 15, 1976 at the Council office at 1725 K Street, NW. (Room 405), Washington, D.C. This meeting will begin at 9:30 a.m. and is open to the public.

The National Advisory Council on Economic Opportunity is authorized by section 605 of the Community Services Act to advise the President and the Director of the Community Services Administration on policy matters arising under the administration of the Act and to review the effectiveness and operations of programs under the Act.

Records shall be kept of all committee proceedings and shall be available for public inspection at the offices of the National Advisory Council on Economic Opportunity.

WALTER B. QUETSCH,  
Acting Director.

[FR Doc.76-26207 Filed 9-7-76;8:45 am]

### COMMITTEE ON LEGISLATION

#### Notification of Proposed Meeting

Pursuant to section 10 of the Federal Advisory Committee Act of 1972 notice is hereby given that the Committee on Legislation of the National Advisory Council on Economic Opportunity will hold a meeting on September 16, 1976 at the Council office at 1725 K Street, NW. (Room 405), Washington, D.C. This meeting will begin at 9:30 a.m. and is open to the public.

The National Advisory Council on Economic Opportunity is authorized by Section 605 of the Community Services Act to advise the President and the Director of the Community Services Administration on policy matters arising under the

administration of the Act and to review the effectiveness and operations of programs under the Act.

Records shall be kept of all committee proceedings and shall be available for public inspection at the offices of the National Advisory Council on Economic Opportunity.

WALTER B. QUETSCH,  
Acting Director.

[FR Doc.76-26208 Filed 9-7-76;8:45 am]

## NATIONAL COMMISSION ON SUPPLIES AND SHORTAGES

### PUBLIC HEARING

The National Commission on Supplies and Shortages hereby announces its intention to hold a public hearing in Washington, D.C., during the week of October 11, 1976. The Commission, established by Pub. L. 93-426, is charged with reporting to the President and the Congress not later than December 31, 1976, on a wide variety of subjects relating to materials supply. Before completing its final report, the Commission believes it important for interested individuals and groups to have the opportunity to offer comments on topics falling under the Commission's charter as well as on the preliminary work products of the Commission staff.

Since its organizing meeting in September 1975, the Commission and its staff have gathered information, reviewed existing literature, sponsored contractor studies, and drawn on the work of other agencies for assistance in preparing analyses and recommendations on a wide variety of subjects relating to its charter. These subjects include the causes of the 1973-74 shortages, the long-range resource outlook, the dependence of the United States on imported raw materials, economic stockpiling of strategic imported materials, grain reserves, recycling, food and materials information systems, and Federal materials policymaking. In certain of these areas the Commission has reached preliminary conclusions. In others, the staff's work is only now nearing completion.

To enable interested parties to review this material, a reading file will be created in the Commission's offices at 1750 K Street, N.W., Room 800. This file will be open for inspection during the Commission's business hours: 9:00 a.m. to 5:30 p.m., Monday through Friday, excluding designated Federal holidays. In addition, the Commission will make available upon request memoranda summarizing staff conclusions and recommendations with regard to economic stockpiling, grain reserves, recycling, the causes of the 1973-74 shortages, the long-term outlook for resources, and possible improvements in the Federal materials and food data collection and data analysis systems. Copies of these memoranda can be obtained by writing to George Eads, Executive Director, National Commission on Supplies and Shortages, 1750 K Street, N.W.,

Washington, D.C. 20006, or by telephoning (202) 254-7434.

Any individual wishing to testify should contact the Commission not later than Monday, September 20, in writing, stating his name, the organization he represents (if any), and the subject he wishes to testify about. The Commission wishes to accommodate as many witnesses and points of view as possible within the time allotted. Time limitations and grouping of witnesses into panels will be applied, if necessary. The Commission also would be happy to receive written statements in lieu of or accompanying, and will publish such statements and a summary of the hearing transcript and an appendix to its final report.

The exact date, location, and time of the hearing will be announced on or before October 4, together with a schedule of witnesses. Current plans call for the hearing to be held in Washington in mid-week and to last one day, but these plans are subject to change depending upon the response to this notice.

GEORGE C. EADS,  
Executive Director.

[FR Doc.76-26201 Filed 9-7-76;8:45 am]

## NATIONAL SCIENCE FOUNDATION

### FEDERAL SCIENTIFIC AND TECHNICAL INFORMATION MANAGERS

#### Meeting

The next meeting of the Federal Scientific and Technical Information Managers will be held on Wednesday, September 15, 1976, from 9:30 a.m. to 12:00 noon, at the New Executive Office Building, 17th and H Streets, NW, Washington, DC, Conference Room 4203. The theme of this meeting will be the "Directions of U.S. Scientific and Technical Information Policy Development: Where are We Heading?"

These meetings, sponsored by the National Science Foundation, provide a forum for the interchange of information concerning common problems and coordination in the areas of Federal scientific and technical information and communications.

These meetings are designed solely for the benefit of Federal employees and officers, and do not fall under the provisions of the Federal Advisory Committee Act (Pub. L. 92-463). However, this meeting is believed to be of sufficient importance and interest to the public to be announced in the FEDERAL REGISTER.

Any persons wishing to attend this meeting or requiring further information should contact Mr. Andrew A. Aindes, Division of Science Information, National Science Foundation, Washington, DC 20550, Telephone: (202) 632-5836.

LEE G. BURCHINAL,  
Director.

Division of Science Information.

AUGUST 31, 1976.

[FR Doc.76-26192 Filed 9-7-76;8:45 am]

## OFFICE OF THE SPECIAL REPRESENTATIVE FOR TRADE NEGOTIATIONS

### GENERALIZED SYSTEM OF PREFERENCES

#### Results of Review of Petitions for Changes in Product Coverage; Explanation of Changes Made by Executive Order No. 11934 of August 30, 1976

This publication describes results of the review of petitions for changes in the lists of imported articles eligible for duty-free treatment under the Generalized System of Preferences (GSP, provided for in Title V of the Trade Act of 1974, 19 U.S.C. 2461-2465, 88 Stat. 2066-2071) that has been conducted pursuant to regulations codified at 15 C.F.R. Ch. XX, Part 2007.

In accordance with those regulations, the Chairman of the Trade Policy Staff Committee (TPSC) published in the FEDERAL REGISTER issues of April 1, April 30 and May 14, 1976 lists of petitions from interested parties that had been accepted for review by the TPSC, and other proposed changes in the GSP product coverage that were initiated by the TPSC on its own motion.

The TPSC held public hearings on these matters in Washington June 1-7, 1976. Thereafter, following extensive interagency consideration of each petition and other proposed action, the Special Representative for Trade Negotiations, as Chairman of the Trade Policy Committee structure, transmitted recommendations to the President for final disposition. Changes in GSP article eligibilities resulting from this review process are incorporated in Executive Order No. 11934. This publication also explains the operation of that Executive order.

**Disposition of petitions.** The disposition of the petitions accepted for review by the Chairman of the TPSC through May 14, 1976 is summarized below. A case by case statement of the disposition of each case is set forth in Annex III.

A. *Designated for GSP benefits:* Tamarind fruit paste.

B. *Withdrawn from GSP benefits:* Indelible gelatin Pig and hog leather Sewon fasteners TV picture tubes larger than 16.4 inches.

C. *Action deferred:* (1) Pending information from U.S. ITC and TPSC Review (to be completed in not more than 90 days) leather apparel.

(2) Pending U.S. International Trade Commission study: Electric motors, cast iron household stoves and fireplace grates.

(3) *Pending USITC advice:* Artificial flowers.

D. *Petitions denied:* (Requested to be designated for GSP benefits) Ball bearings, Mica capacitors, Receiving tube mounts, Rubber and plastic gloves, Truck cab chassis, Veneers; (Requested to be withdrawn from GSP benefits) hardboard, wooden doors, candles, cotton dyes, ferroalloys, wheelbarrows, ophthalmic lenses and frames, baseballs, squeeze toys, diecast toys, wheat gluten, scissors and shears, molybdenum compounds, sisal mattress pads, upholstery leathers, microscopic slides, scale model railroads, pocket-sized calculating machines, aluminum rods, fishing lures, paper boxes, edible gelatin, Christmas tree lights, steel wire rope, photographic equipment.

E. *Withdrawn by petitioner:* Typewriter ribbons, internal combustion engines.

**Other action taken on article eligibilities.** Taking into account the results of the hearings held June 1-7, the TPSC determined that no articles like or directly competitive with three articles—certain types of cork stoppers, bulk tequila, and unrefined castor oil—were being produced in the United States on the date of enactment of the Trade Act of 1974, so that under section 504(d) of the Trade Act those three articles are exempt from the "competitive-need" limitation set forth in section 504(c)(1)(B) of the Trade Act. As a result, those articles became eligible to receive duty-free treatment when imported from beneficiary countries that supplied more than 50 percent of total U.S. imports of the articles during 1975. Accordingly, Executive Order No. 11934 removes the previously-existing exclusion from duty-free treatment of bulk tequila from Mexico and unrefined castor oil from Brazil, and permits Portugal (which the order designates as a GSP beneficiary) to receive duty-free treatment for certain cork articles.

All of the changes in article eligibilities and duty-free treatment described above will become effective on October 1, 1976.

Executive Order No. 11934 also removes the previously-existing exclusion from duty-free treatment of sugar from Costa Rica, and makes such removal retroactive to March 1, 1976. This action was taken because the United States Customs Service determined that the stated values received by Customs for imports of sugar from Costa Rica during 1975, which caused the amount of such imports shown in U.S. Census statistics to exceed the "competitive-need" limit expressed in section 504(c)(1)(A) of the Trade Act, were incorrect. The correct value of sugar imported from Costa Rica during 1975 was less than the "competitive-need" limit. Customs has notified the Bureau of the Census of this correction, and Census has made the appropriate change in its official statistics.

Seventeen articles were listed in the FEDERAL REGISTER notice of May 14, 1976 as being under consideration by the TPSC, on its own motion, for possible designation as eligible articles for purposes of the GSP. With respect to those articles, the President has requested the advice of the U.S. International Trade Commission, pursuant to sections 503(a) and 131 of the Trade Act of 1974, as to the probable economic effect upon United States producers of like or directly competitive articles and consumers of designating the articles as eligible for GSP benefits.

**Other modifications of the GSP program.** Section 5 of Executive Order 11934 modifies the list of GSP beneficiary developing countries by (1) designating Portugal as a GSP beneficiary, in accordance with the President's intention as expressed in identical letters of August 14, 1976 to the Speaker of the House and the President of the Senate, copies of which are set forth in Annex I to this

notice; (2) withdrawing the beneficiary status of Laos, in accordance with the President's intention as expressed in identical letters to the Speaker of the House and the President of the Senate of February 26, 1976, a copy of which is attached at Annex II to this notice; and (3) correcting the names of the Republic of China, Benin, the Gilbert Islands, and Tuvalu as they appear in the list of beneficiary developing countries. These changes in country eligibilities and name designations are effective as of September 1, 1976, the date of publication of the Executive order in the FEDERAL REGISTER.

**Technical explanation of Executive Order No. 11934.** Section 1 of the order incorporates Annex I of the order, which subdivides the several existing 5-digit product categories of the Tariff Schedules of the United States (TSUS) in order to permit action to be taken with respect to part of the products encompassed by the current 5-digit categories.

In order to explain the changes made by section 2 and Annex II, section III and Annex III, and section 4 and Annex IV of the Executive order, it is useful to point out the interaction between the three principal operative lists in the basic GSP implementing document (Executive Order No. 11888 of November 24, 1975, as amended by E.O. No. 11906 of February 26, 1976).

One such list, in Annex II of E.O. 11888, as amended, sets forth articles that are eligible for duty-free treatment under the GSP when imported from any beneficiary developing country.

A second list, in Annex III of E.O. 11888, as amended, contains articles that are eligible for the GSP, but which do not receive duty-free treatment when imported from specified beneficiary developing countries.

The third list, in TSUS General Headnote 3(c)(iii) (as added by section 9 of E.O. 11888, as amended) sets forth the same list of articles as is contained in Annex III together with the beneficiary country or countries that do not receive duty-free treatment for each such article.

For example, an eligible article that receives duty-free treatment from all beneficiary developing countries will appear only on Annex II of E.O. 11888, as amended; an eligible article that does not receive duty-free treatment when imported from one or more beneficiary developing countries will not appear on Annex II, but will appear on Annex III and on the list in General Headnote 3(c)(iii) of the TSUS with the country or countries for which the article does not receive duty-free treatment listed beside it.

Section 2 of Executive Order No. 11934 incorporates Annex II of that order, which makes additions to and deletions from the list, in Annex II of E.O. 11888, of eligible articles that are to receive duty-free treatment when imported from any beneficiary developing country.

Section 3 of the order incorporates Annex III, which makes additions to and deletions from the list of eligible articles (set forth in Annex III of E.O. 11888, as

amended) that are not to receive duty-free treatment under the GSP when imported from certain beneficiary developing countries.

Section 4 of the order incorporates Annex IV, which modifies the list, in TSUS General Headnote 3(c) (iii), of eligible articles that are not to receive duty-free treatment under the GSP when imported from the beneficiary developing countries set opposite those articles.

As noted above, section 6 of the order makes all changes in the eligibility status of articles effective October 1, 1976, except that removal of the previously-existing restriction upon the duty-free treatment accorded to sugar from Costa Rica is made retroactive to March 1, 1976. Section 6 makes all changes in the eligibility status and name designations of countries effective on the date of publication of the order in the FEDERAL REGISTER.

## ANNEX I

THE WHITE HOUSE,  
Washington, August 14, 1976.

The Honorable the SPEAKER,  
U.S. House of Representatives,  
Washington, D.C.

DEAR MR. SPEAKER: In accordance with the requirements of Section 502(a)(1) of the Trade Act of 1974, I hereby notify the House of Representatives of my intention to designate Portugal as a beneficiary developing country for purposes of the Generalized System of Preferences (GSP) provided for in title V of the Trade Act of 1974. My intention to designate Portugal as a GSP beneficiary reflects the following considerations:

(a) The expression of Portugal's desire to be designated as a beneficiary;

(b) Portugal's level of economic development, including its per capita gross national product, its general living standard as measured by levels of health, nutrition, education, housing and its degrees of industrialization;

(c) Whether or not other major developed countries are extending generalized preferential tariff treatment to Portugal;

(d) The extent to which Portugal has assured the United States that it will provide the United States with equitable and reasonable access to Portugal's markets.

In addition, Portugal no longer is excluded from designation as eligible for the GSP by the provisions of Section 502(b)(3) of the Trade Act of 1974. That section prevents the designation of any country

if such country affords preferential treatment to the products of a developed country, other than the United States, which has, or is likely to have, a significant adverse effect on United States commerce \* \* \*.

On June 1, 1976, Portugal lowered its most-favored-tariff rates applicable to 15 tariff categories, under which United States exports

worth some \$26 million entered Portugal in 1974. This tariff reduction eliminated the margins of preference that previously were accorded to products of the European Communities entering under the same tariff categories. In addition, the Government of Portugal has stated its intention to consider taking similar actions on other tariff categories that the United States Government may identify in the future.

On the basis of these actions, I have determined that the preferential treatment that Portugal continues to accord to the European Communities with respect to other tariff categories does not have, and is not likely to have, a significant adverse effect on United States commerce.

Sincerely,

GERALD R. FORD,  
H.D. 587

THE WHITE HOUSE,  
Washington, August 14, 1976.

HON. NELSON A. ROCKEFELLER,  
President of the Senate,  
Washington, D.C.

DEAR MR. PRESIDENT: In accordance with the requirements of Section 502(a)(1) of the Trade Act of 1974, I hereby notify the Senate of my intention to designate Portugal as a beneficiary developing country for purposes of the Generalized System of Preferences (GSP) provided for in title V of the Trade Act of 1974. My intention to designate Portugal as a GSP beneficiary reflects the following considerations:

a. The expression of Portugal's desire to be designated as a beneficiary;

b. Portugal's level of economic development, including its per capita gross national product, its general living standard as measured by levels of health, nutrition, education, housing and its degrees of industrialization;

c. Whether or not other major developed countries are extending generalized preferential tariff treatment to Portugal;

d. The extent to which Portugal has assured the United States that it will provide the United States with equitable and reasonable access to Portugal's markets.

In addition, Portugal no longer is excluded from designation as eligible for the GSP by the provisions of Section 502(b)(3) of the Trade Act of 1974. That section prevents the designation of any country

"if such country affords preferential treatment to the products of a developed country other than the United States, which has, or is likely to have, a significant adverse effect on United States commerce \* \* \*".

On June 1, 1976, Portugal lowered its most-favored-tariff rates applicable to 15 tariff categories, under which United States exports worth some \$26 million entered Portugal in 1974. This tariff reduction eliminated the margins of preference that previously were accorded to products of the European Communities entering under the same tariff categories. In addition, the Government of Portugal has stated its intention to consider

taking similar action on other tariff categories that the United States Government may identify in the future.

On the basis of these actions, I have determined that the preferential treatment that Portugal continues to accord to the European Communities with respect to other tariff categories does not have, and is not likely to have, a significant adverse effect on United States commerce.

Sincerely,

GERALD R. FORD,  
S.D. 250

## ANNEX II

Letter of February 26, 1976 announcing the President's intention to terminate the status of Laos as a GSP beneficiary.

THE WHITE HOUSE,

Washington, February 26, 1976.

The Hon. the SPEAKER,  
U.S. House of Representatives,  
Washington, D.C.

DEAR MR. SPEAKER: In accordance with the requirements of section 502(a)(2) of the Trade Act of 1974, I herewith notify the House of Representatives of my intention to withdraw the designation of Laos as a beneficiary developing country for purposes of the Generalized System of Preferences by amending Executive Order No. 11888 of November 24, 1975.

The considerations which entered into my decision were based upon the provisions of sections 504(b) and 502(b)(1) of the Trade Act. Section 504(b) of that Act states:

"The President shall, after complying with the requirements of section 502(a)(2), withdraw or suspend the designation of any country as a beneficiary developing country if, after such designation, he determines that as the result of changed circumstances such country would be barred from designation as a beneficiary developing country under section 502(b) . . ."

Section 502(b)(1) states that:

". . . the President shall not designate any country a beneficiary developing country under this section—if such country is a Communist country, unless (A) the products of such country receive nondiscriminatory treatment, (B) such country is a contracting party to the General Agreement on Tariffs and Trade and a member of the International Monetary Fund, and (C) such country is not dominated or controlled by international communism. . . ."

As a result of changed circumstances, Laos would be barred from designation as a beneficiary developing country under section 502(b)(1), quoted above.

A diplomatic note is being prepared for delivery to the Government of Laos on or about the same date as that of the delivery of this letter, notifying that Government of my intention to terminate the country's beneficiary status, together with the considerations entering into my decision, as required by section 502(a)(2) of the Trade Act.

Sincerely,

GERALD R. FORD.

FREDERICK B. DENT,  
Special Representative for  
Trade Negotiations.

## ANNEX III.—Disposition of petitions

Case No.	TSUS <sup>1</sup> No. and description	Petitioner	Action requested	Action taken
76-2	791.75, wearing apparel, not specially provided for, of leather (other than of reptile leather).	1. Tanners' Council of America, Inc., New York, N.Y.	Withdraw GSP benefits.	Pending USITC investigation.
76-2	do.	2. National Outerwear & Sportswear Association, Inc., New York, N.Y.	do.	Do.
76-2	do.	3. Amalgamated Clothing Workers of America, New York, N.Y.	do.	Do.
76-3	121.50, pig and hog leather.	Tanners' Council of America, Inc., New York, N.Y.	do.	GSP benefits withdrawn.
76-4	240.02, veneers, Philippine mahogany, lauan, etc. Not reinforced or backed.	Imported Hardwood Products Association, Inc., Arlington, Va.	Designate Philippines as eligible for GSP for the item.	Petition denied.
76-5(a)	245.00, hardboard, not face-finished, not over \$48.33 $\frac{1}{2}$ /STN.	Acoustical and Board Products Association, Park Ridge, Ill.	Withdraw eligibility for each of the products when imported from: Argentina, Brazil, Chile, Korea (Republic of), Mexico, Philippines, and Taiwan.	Do.
76-5(b)	245.10 hardboard, not face-finished, over over \$48.33, not over \$96.66/STN.	do.	do.	Do.
76-5(c)	245.20 hardboard, not face-finished, over \$96.66 $\frac{1}{2}$ /STN.	do.	do.	Do.
76-5(d)	245.30, hardboard, face-finished, excluding oil treated but not further finished.	do.	do.	Do.
76-6	406.80, fast color bases, fast color sales, and naphthol AS and its derivatives.	1. Pfister Chemical, Inc., Ridgefield, N.J.	Withdraw GSP benefits.	Do.
76-6	do.	2. Synalloy Blackman Uhler Chemical Division, Spartanburg, S.C.	do.	Do.
76-7(a)	607.35, ferromanganese—under 1 pct carbon.	The Ferroalloys Association, Washington, D.C.	Withdraw, suspend, or limit GSP benefits.	Do.
76-7(b)	607.36, ferromanganese—over 1 pct but less than 4 pct carbon.	do.	do.	Do.
76-7(c)	607.37, ferromanganese—over 4 pct carbon.	do.	do.	Do.
76-7(d)	607.51, ferrosilicon—more than 60 pct, but less than 80 pct silicon.	do.	do.	Do.
76-7(e)	607.57, ferrosilicon manganese.	do.	do.	Do.
76-7(f)	632.18, chromium unwrought, excluding alloys and waste and scrap.	do.	do.	Do.
76-7(g)	632.42, silicon containing not over 99.7 pct of silicon.	do.	do.	Do.
76-8	692.60, wheelbarrows.	Jackson Manufacturing Co., Harrisburg, Pa.	Withdraw GSP benefits.	Do.
76-9(a)	708.01, ophthalmic lenses, not mounted.	Optical Manufacturers Association, Arlington, Va.	do.	Do.
76-9(b)	708.47, frames, mounting, and parts of eyeglasses, goggles, etc.	do.	do.	Do.
76-10	734.56, baseball equipment and parts.	1. J. DeBeer & Son, Inc., Albany, N.Y.	do.	Do.
76-10	do.	2. Lincoln Diversified Systems, Inc., Fort Lauderdale, Fla.	do.	Do.
76-11	737.15, construction kits or sets.	1. Petition on behalf of: Lesney Products Corp., Moonachie, N.J. Reeves International, Inc., Pequannock, N.J. Tootsie Toy, Strombecker Corp., Chicago, Ill. Ertle Co., Dversville, Idaho.	Withdraw GSP benefits with particular interest in die cast toys.	Do.
76-12	737.40, toy animals etc., NSFF, not having spring mechanism.	1. Louis A. Boettiger Co., Hewlett, N.Y.	Withdraw GSP benefits with particular interest in withdrawals of such benefits from Taiwan and Korea.	Do.
76-12	do.	2. Baby World, Great Neck, N.Y.	do.	Do.
76-12	do.	3. Riverdale Plastics, Inc., Fairfield, N.J.	do.	Do.
76-12	do.	4. Tiffany Plastic Moulders, Inc., Bronx, N.Y.	do.	Do.
76-12	do.	5. Pulse Plastic Products, Inc., Bronx, N.Y.	do.	Do.
76-13	206.30, wood doors.	National Woodwork Manufacturers Association.	Withdraw GSP benefits.	Do.
76-14	745.63, Sew-on fasteners and parts of, not over \$0.20/doz.	Dennison Manufacturing Co., Framingham, Mass.	do.	GSP benefits withdrawn.
76-15	755.25, candles and tapers.	1. Crown Candles, Portland, Ore.	do.	Petition denied.
76-15	do.	2. Trebeco Corp., Buffalo, N.Y.	do.	Do.
76-15	do.	3. W. & F. Manufacturing Co., Inc., Buffalo, N.Y.	do.	Do.
76-17	182.96, wheat gluten.	Wheat Gluten Industry Council, Washington, D.C.	do.	Do.
76-18	650.89, scissors and shears valued over 50 c, but not over \$1.75/doz.	National Association of Scissor and Shears Manufacturers, Washington, D.C.	do.	Do.
76-19(a)	417.28, ammonium molybdate.	GTE Sylvania, Chemical & Metallurgical Division, Towanda, Pa.	do.	Do.
76-19(b)	418.26, calcium molybdate.	do.	do.	Do.
76-19(c)	419.60, molybdenum compounds.	do.	do.	Do.
76-19(d)	420.22, potassium molybdate.	do.	do.	Do.
76-19(e)	421.10, sodium molybdate.	do.	do.	Do.
76-19(f)	423.88, mixture of 2 or more inorganic compounds in chief value of molybdenum.	do.	do.	Do.
76-19(g)	623.74, molybdenum wrought.	do.	do.	Do.
76-20	355.04, webs, wadding, batting, and non-woven fabrics of textile material, whether or not coated or filled of vegetable fibers, except cotton.	Blocksom & Co., Michigan City, Ind.	Withdraw GSP benefits from Mexico and Haiti.	Petition denied.
76-21	705.84, gloves, rubber or plastic, seamless, including surgeons.	The Ansell Corp., Wilmington, Del.	Designate the article as eligible for GSP benefits.	Do.
76-22	121.25, upholstery leather.	Tanners Council of America, Inc., New York, N.Y.	Withdraw GSP benefits.	Do.
76-23(a)	547.53, microscopic slides.	Eric Scientific Co., Buffalo, N.Y.	do.	Do.
76-23(b)	547.55, microcover glasses.	do.	do.	Do.
76-24	152.74, fruit pastes and fruit pulps, other.	Pascual Foods, Inc., San Antonio, Tex.	Subdivide the article to identify separately Tamarind, and designate Tamarind as eligible for GSP benefits.	To be made eligible for GSP benefits.
76-25	653.50, heating or cooking apparatus, non-electric and parts, of base metal.	Washington Stove Works, Everett, Wash.	Withdraw GSP benefits.	Pending USITC study.
76-26	660.44, Piston-type internal combustion engines other than compression-ignition.	International Union, United Automobile, Aerospace, Agricultural Implement Workers of America (UAW), Washington, D.C.	do.	Petition withdrawn.

Case No.	TSUS <sup>1</sup> No. and description	Petitioner	Action requested	Action taken
76-27(a)	737.07, scale model railroad, etc., stock, equipment, and parts of NSPF.	Tonka Toys, Spring Park, Minn.	Withdraw GSP benefits for the item when imported from Hong Kong.	Petition denied.
76-24(b)	737.09, construction sets or kits with units made to scale.	do.	do.	Do.
76-27(c)	737.45, metal toy animals, etc., having a spring mechanism.	do.	do.	Do.
76-27(d)	737.80, toys - NSPF, having a spring mechanism.	do.	do.	Do.
76-28	676.20, pocket-sized calculating machines, for multiplying and dividing.	National Semiconductor Corp., Santa Clara, Calif.	Withdraw or suspend GSP benefits.	Do.
76-29	687.40, television picture tubes except color.	International Brotherhood of Electrical Workers, Ottawa, Ohio.	Withdraw GSP benefits.	GSP benefits withdrawn on television tubes above 16.4 in.
76-30	355.04, sisal pad <sup>2</sup>	International Sisal Mills, Houston, Tex.	do.	Petition denied.
76-31	687.60, electronic receiving tube mounts.	General Electric Co., Tube Products Department, Owensboro, Ky.	Designate the article as eligible for GSP benefits, with particular interest in Singapore. If necessary, create new TSUS category specifically for electronic receiving tube mounts and other parts of electronic tubes.	Do.
76-32	680.35, ball bearings, radial, having an outside diameter of under 9 mm.	One petition on behalf of: 1. Singapore Manufacturing Association. 2. Singapore Chamber of Commerce. 3. NMB Singapore (BTE) Ltd.	Designate the article as eligible for GSP benefits.	Do.
76-33	389.60, artificial flowers in chief value of textiles or manmade fibers.	Selo, Inc., New York, N.Y.	Designate the article for GSP benefits, with an appropriate carve-out of an specific new TSUS category, if necessary.	Pending USITC advice.
76-34(a)	682.25, electric motors under 1/40 hp NES.	1. International Component Corp., Chicago, Ill. 2. City of Durant, Okla.	For both items 682.25 and 682.30 subdivide existing TSUS categories as so to withdraw GSP benefits for permanent-magnet d.c. motors, with particular interest in Mexico and Hong Kong.	Pending USITC study.
76-34(b)	682.30, electric motors of 1/40 or more but not over 1/20 hp.	1. International Component Corp., Chicago, Ill. 2. City of Durant, Okla.	do.	do.
76-35	618.15, wrought rods of aluminum.	The Aluminum Association, Inc., New York, N.Y.	Withdraw GSP benefits for the article when imported from Yugoslavia.	Petition denied.
76-36	731.60, fishing lures.	Fred Arbocast, Co., Inc., Akron, Ohio.	Withdraw GSP benefits for the article.	Do.
76-37(a)	256.52, paper boxes.	Imperial Paper Box Corp., Brooklyn, N.Y.	Withdraw GSP benefits.	Do.
76-37(b)	256.54, boxes of paper, paperboard, and papier mache.	do.	do.	Do.
76-37(c)	256.58, boxes of paper, paperboard, or papier mache, covered or lined with special papers.	do.	do.	Do.
76-37(d)	725.50, music boxes.	do.	do.	Do.
76-38(a)	455.40, inedible gelatin and animal glue valued under 40 c/lb.	National Association of Glue Manufacturers, New York, N.Y.	do.	GSP benefits withdrawn.
76-38(b)	455.42, inedible gelatin and animal glue valued 40 c or more per pound.	do.	do.	Do.
76-39	692.20, Truck-cab chassis.	Mercedes-Benz of North America, Inc., Montvale, N.J.	Designate as eligible for GSP benefits with special interest in Brazil.	Petition denied.
76-40(a)	455.16, edible gelatin under 40 c/lb.	Gelatin Manufacturing Institute of America, Inc., New York, N.Y.	Withdraw GSP benefits.	Petition denied.
76-40(b)	455.18, edible gelatin 40 c or more, but not over 80 c/lb.	do.	do.	Do.
76-40(c)	455.20, edible gelatin valued over 80 c/lb.	do.	do.	Do.
76-41	688.10, Christmas tree lighting sets.	Conart Industries Corp., Glen Head, N.Y.	Withdraw GSP benefits, with particular interest in the Republic of Korea.	Do.
76-42	642.16, steel wire rope.	Committee of Domestic Steel Wire Rope & Specialty Cable Manufacturers.	Withdraw GSP benefits.	Do.
76-43	389.60, <sup>2</sup> nylon and cotton typewriter ribbons.	Columbia International, Glen Cove, N.Y.	Designate the article as eligible for GSP benefits, with particular interest in the Republic of Korea.	Petition withdrawn.
76-44(a)	516.71, India ruby mica.	The Electro Motive Corporation, Florence, S.C.	Designate the article for GSP benefits.	Petition denied.
76-44(b)	685.80, mica capacitors and parts thereof.	do.	Designate for GSP benefits with particular interest in Haiti.	Do.
76-45(a)	722.40, slide and still-picture projectors.	National Association of Photographic Manufacturers Inc., Harrison, N.Y.	Withdraw GSP benefits.	Do.
76-45(b)	722.44, movie projectors NSPF.	do.	do.	Do.
76-45(c)	722.50, parts of projectors or camera-projectors.	do.	do.	Do.
76-45(d)	722.55, photo film viewers, etc., using a lens and parts of.	do.	do.	Do.
76-45(e)	722.42, motion picture projectors for sound pictures only.	do.	do.	Do.
76-45(f)	722.64, photofilters for cameras, enlargers or photofinishing equipment.	do.	do.	Do.

<sup>1</sup> Tariff schedules of the United States (19 U.S.C. 1302).

<sup>2</sup> TSUS item No. 389.60 encompasses a general category of "[other] articles not specifically provided for, of textile materials." Case No. 76-33 involves a petition for the designation of "artificial flowers in chief value of textiles or manmade fibers" which also is imported under TSUS item No. 389.60.

[FR Doc.76-26093 Filed 9-7-76;8:45 am]

## SECURITIES AND EXCHANGE COMMISSION

[File No. 500-1]

### DIVERSIFIED INDUSTRIES, INC.

#### Suspension of Trading

AUGUST 27, 1976.

It appearing to the Securities and Exchange Commission that the summary suspension of trading in the securities of Diversified Industries, Inc. being traded on a national securities exchange or otherwise is required in the public interest and for the protection of investors;

Therefore, pursuant to Section 12(k) of the Securities Exchange Act of 1934, trading in such securities on a national securities exchange or otherwise is suspended, for the period from August 29, 1976 through September 7, 1976.

By the Commission.

GEORGE A. FITZSIMMONS,  
*Secretary.*

[FR Doc.76-26118 Filed 9-7-76;8:45 am]

[File No. 500-1]

### EQUITY FUNDING CORPORATION OF AMERICA AND ORION CAPITAL CORP.

#### Suspension of Trading

AUGUST 27, 1976.

It appearing to the Securities and Exchange Commission that the summary suspension of trading in the securities of Equity Funding Corporation of America, including Orion Capital Corporation, being traded on a national securities exchange or otherwise, is required in the public interest and for the protection of investors;

Therefore, pursuant to Section 12(k) of the Securities Exchange Act of 1934, trading in such securities on a national securities exchange or otherwise is suspended, for the period from August 29, 1976 to September 7, 1976.

By the Commission.

GEORGE A. FITZSIMMONS,  
*Secretary.*

[FR Doc.76-26119 Filed 9-7-76;8:45 am]

[File No. 24D-3353]

### MEDICAL EXPLORATION, INC.

#### Order Temporarily Suspending Exemption, Statement of Reasons Therefor, and Notice of Opportunity for Hearing

AUGUST 25, 1976.

I

On May 21, 1973, Medical Exploration, Inc. (the "Issuer"), 3333 South 9th East #120, Salt Lake City, Utah 84106, filed with the Denver Regional Office a Notification and Offering Circular pursuant to Regulation A, promulgated under Section 3(b) of the Securities Act of 1933, as amended. The Issuer proposes to offer 200,000 shares of its Common Stock, par value \$.01, at \$1.00 per share for an aggregate offering price of \$200,000. The Salt Lake City, Utah firm of M. L. Fallick

& Co., Inc is designated as underwriter for the offering on a "best efforts" basis. No amendments have been filed and a commencement date for the offering has not been established.

The Issuer was incorporated on October 16, 1972, under the laws of the State of Utah, with an authorized capitalization of 5,000,000 shares of Common Stock, par value \$.01. According to the Issuer's Offering Circular, as amended on August 7, 1973, the company was organized "for the purpose of carrying on the business of manufacturing, wholesale sales and distribution of pharmaceuticals, drugs, doctor and hospital supplies, and medical equipment." The Offering Circular further states that the Issuer has "conducted no business operations other than preparing to engage in its proposed business."

The Commission, on the basis of information provided by its staff, has reason to believe that:

A. The Notification and Offering Circular of the Issuer contain untrue statements of material facts and omit to state material facts necessary in order to make the statements made, in light of the circumstances under which they are made, not misleading, particularly with respect to:

1. The failure to disclose that on September 30, 1975, the Utah Secretary of State revoked the Issuer's Articles of Incorporation;

2. The failure to disclose that on April 25, 1974, the broker/dealer registration of the underwriter for the offering was revoked;

3. The failure to adequately disclose the risks to be considered by an investor in connection with the offering;

4. The failure to disclose the actual financial condition of the Issuer; and

5. The false statement that a particular individual acquired 10,000 shares of Common Stock of the Issuer for an aggregate consideration of \$1,000.

B. The Issuer failed to cooperate with the Commission in that the Issuer and its principal officers and directors have resisted numerous attempts by the Commission's staff to assist the Issuer in complying with the requirements of Regulation A in that, among other things, the Issuer failed or refused to reply to an August 28, 1973 Letter of Comment and the Issuer and its principal officers and directors failed or refused to reply to several letters requesting that the filing be amended or withdrawn.

C. The offering, if made, would be in violation of Section 17 of the Securities Act of 1933, as amended.

III

It appearing to the Commission that it is in the public interest and for the protection of investors that the exemption of the Issuer under Regulation A be temporarily suspended.

It is ordered, pursuant to Rule 261(a) of the General Rules and Regulations under the Securities Act of 1933, as amended, that the exemption of the Issuer under Regulation A be, and it is hereby, temporarily suspended.

It is further ordered, pursuant to Rule 7 of the Commission's Rules of Practice, that the Issuer file an answer to the allegations contained in the order within thirty (30) days of the entry thereof.

Notice is hereby given, That any person having an interest in the matter may file with the Secretary of the Commission a written request for a hearing within thirty (30) days after entry of this order; that within twenty (20) days after receipt of such request the Commission will, or at any time upon its own motion may, set the matter down for hearing at a place to be designated by the Commission for the purpose of determining whether this order of suspension should be vacated or made permanent, without prejudice, however, to the consideration and presentation of additional matters at the hearing; and that notice of the time and place for such hearing will be promptly given by the Commission. If no hearing is requested and none is ordered by the Commission, the order shall become permanent on the thirtieth day after its entry and shall remain in effect unless it is modified or vacated by the Commission.

By the Commission.

GEORGE A. FITZSIMMONS,  
*Secretary.*

[FR Doc.76-26120 Filed 9-7-76;8:45 am]

[Release No. 12739; (SR-NYSE-76-27)]

### NEW YORK STOCK EXCHANGE, INC.

#### Order Approving Proposed Rule Change

AUGUST 26, 1976.

On April 16, 1976 the New York Stock Exchange, Inc., 11 Wall Street, New York, New York 10005, filed with the Commission, pursuant to Section 19(b) of the Securities Exchange Act of 1934 (the "Act"), as amended by the Securities Acts Amendments of 1975, and Rule 19b-4 thereunder, copies of a proposed rule change to rescind Paragraph (b) of Rule 410 which restricts the circumstances under which an NYSE member can dispose of stock previously acquired by an order entered off the floor, on a destabilizing ("plus" or "zero plus") tick in relation to the previous closing price, and for an account in which that member or member organization has an interest. In addition, the NYSE proposal rescinds that portion of Paragraph 45 of Rule 123A which now requires a broker who effects a trade on a "zero" or "zero plus" tick, for an account in which a member or member organization has an interest, to record the time and tick of all such transactions and to report that information to the initiating party.

Notice of the proposed rule change together with the terms of substance of the proposed rule change was given by publication of a Commission Release (Securities Exchange Act Release No. 12356 (April 21, 1976)) and by publication in the FEDERAL REGISTER (41 FR 17825 (April 28, 1976)).

The Commission finds that the proposed rule change is consistent with the requirements of the Act and the rules

and regulations thereunder applicable to registered national securities exchanges, and in particular, the requirements of Section 6 and the rules and regulations thereunder.

It is therefore ordered, pursuant to Section 19(b)(2) of the Act, that the proposed rule change filed with the Commission on April 16, 1976, be, and it hereby is, approved.

By the Commission.

GEORGE A. FITZSIMMONS,  
Secretary.

[FR Doc.76-26121 Filed 9-7-76;8:45 am]

[24D-3392]

**WESTERN RESOURCE AND  
DEVELOPMENT, INC.**

**Order Temporarily Suspending Exemption,  
Statement of Reasons Thereof and Notice  
of Opportunity for Hearing**

August 25, 1976.

Western Resource and Development, Inc. (the "issuer"), 3353 South Main Street, Salt Lake City, Utah 84121, formerly a Utah corporation with offices located in Salt Lake City, Utah, filed with the Denver Regional Office of the Securities and Exchange Commission on September 25, 1973, a notification, offering circular, and related exhibits relating to an offering of 2,000,000 shares of 1 cent par value common stock at 10 cents per share for an aggregate of \$200,000 for the purpose of obtaining an exemption from the registration requirements of the Securities Act of 1933, as amended, pursuant to the provisions of Section 3(b) thereof, and Regulation A, promulgated thereunder. Aaron R. Jenkins, president of the issuer, was to act as underwriter. No amendments to the notification were filed and a commencement date of the offering has not been established.

**II**

The Commission has reasonable cause to believe, from information reported to it by the staff, that:

A. The offering Circular contains untrue statements of material facts and omits to state material facts necessary to make the statements made, in light of the circumstances under which they were made, not misleading, particularly with respect to:

1. Failure to adequately describe the nature of the business of the issuer.
2. Failure to adequately disclose the purposes for which the proceeds were to be used.
3. Failure to disclose that the State of Utah dissolved the issuer's Charter.
4. Failure to disclose the present address of the issuer.

B. The terms and conditions of Regulation A were not complied with in that:

1. The business in which the issuer proposed to engage was not adequately described in the offering circular.
2. The purposes for which the proceeds were to be used was not adequately disclosed.

3. The loss of the Corporate Charter with the State of Utah and the present address of the issuer are not disclosed.

4. The accurate addresses of all the officers and directors of the issuer are not disclosed.

C. The issuer and its officers and directors have failed to cooperate.

D. The offering, if made, would be in violation of Section 17 of the Securities Act of 1933.

**III**

It appearing to the Commission that it is in the public interest and for the protection of investors that the exemption of the issuer under Regulation A be temporarily suspended.

It is ordered, pursuant to Rule 261(a) of the General Rules and Regulations under the Securities Act of 1933, as amended, that the exemption of the issuer under Regulation A be, and it hereby is, temporarily suspended.

It is further ordered, pursuant to Rule 7 of the Commission's Rules of Practice, that the issuer file an answer to the allegations contained in the order within thirty days of the entry thereof.

Notice is hereby given, That any persons having any interest in the matter may file with the Secretary of the Commission a written request for hearing within thirty days after the entry of this order; that within twenty days after receipt of such request, the Commission will, or at any time upon its own motion may, set the matter down for hearing at a place to be designated by the Commission for the purposes of determining whether this order of suspension should be vacated or made permanent, without prejudice, however, to the consideration and presentation of additional matters at the hearing; and that notice of the time and place for said hearing will be promptly given by the Commission. If no hearing is requested and none is ordered by the Commission, the order shall become permanent on the thirtieth day after its entry and shall remain in effect unless it is modified or vacated by the Commission.

By the Commission.

GEORGE A. FITZSIMMONS,  
Secretary.

[FR Doc.76-26122 Filed 9-7-76;8:45 am]

**INTERSTATE COMMERCE  
COMMISSION**

[Notice No. 138]

**Assignment of Hearings**

SEPTEMBER 2, 1976.

Cases assigned for hearing, postponement, cancellation or oral argument appear below and will be published only once. This list contains prospective assignments only and does not include cases previously assigned hearing dates. The hearings will be on the issues as presently reflected in the Official Docket of the Commission. An attempt will be made to publish notices of cancellation of hearings as promptly as possible, but in-

terested parties should take appropriate steps to insure that they are notified of cancellation or postponements of hearings in which they are interested.

MC 110563 (Sub-No. 166), Coldway Food Express, Inc., now assigned September 9, 1976, at Boston, Massachusetts, is canceled and the application is dismissed.

MC 38536 (Sub-No. 2), Coast Cartage Company, now assigned September 13, 1976, at Washington, D.C. is postponed to December 6, 1976, at the Offices of the Interstate Commerce Commission, Washington, D.C.

MC 116273 (Sub-No. 201), D & L Transport, Inc., now assigned September 30, 1976, at Chicago, Illinois, is canceled and the application is dismissed.

MC 2202 (Sub-No. 478), Roadway Express, Inc., now assigned Continued hearing October 13, 1976 at Des Moines, Iowa, has been postponed to October 27, 1976 (8 days) at Des Moines, Iowa, in Room 707 Federal Building, 210 Walnut Street.

MC 116763 Sub 336, Carl Subler Trucking, Inc., now assigned September 14, 1976, (1 day) at Atlanta, Ga., is canceled and transferred to modified procedure.

MC 9859 (Sub-No. 3), Kane Transfer Company, now assigned October 13, 1976 at Salisbury, Maryland is postponed to November 9, 1976 (3 days) at Salisbury, Maryland; in a hearing room to be later designated.

MC 135691 Sub 13, Dallas Carriers Corp., now being assigned November 30, 1976 (1 day), at Chicago, Ill., in a hearing room to be later designated.

MC 124813 Sub 143, Umthun Trucking Co., A Corporation, now being assigned December 1, 1976 (1 day), at Chicago, Ill., in a hearing room to be later designated.

MC 140612 Sub 5, Robert F. Kazimour, now being assigned December 2, 1976 (2 days), at Chicago, Ill., in a hearing room to be later designated.

MC 107295 Sub 808, Pre-Fab Transit Co., A Corporation, now being assigned December 6, 1976 (1 day), at Chicago, Ill., in a hearing room to be later designated.

MC 114211 Sub 260, Warren Transport, Inc., now being assigned December 7, 1976 (1 day), at Chicago, Ill., in a hearing room to be later designated.

MC-C-8832, Yellow Freight System, Inc.—Investigation and Revocation of Certificates, now assigned October 13, 1976 at Memphis, Tennessee, is canceled.

MC 124813 Sub 145, Umthun Trucking Co., now being assigned December 8, 1976 (1 day), at Chicago, Ill., in a hearing room to be later designated.

MC 119792 Sub 54, Chicago Southern Transportation Company, now being assigned December 9, 1976 (2 days), at Chicago, Ill., in a hearing room to be later designated.

No. MC-C-9026, White Transfer and Storage Company and Allied Van Lines, Inc.—Investigation of Operations, now assigned October 13, 1976, at Omaha, Nebr. will be held in Room 616, Union Pacific Plaza, 110 N. 14th Street, 14th & Dodge.

MC 115730 (Sub-No. 12), The Mickow Corporation, now assigned October 14, 1976, at Omaha, Nebr. will be held in Room 616, Union Pacific Plaza, 110 N. 14th Street, 14th & Dodge.

MC 127042 (Sub-No. 171), Hagen, Inc., now assigned October 15, 1976, at Omaha, Nebr., will be held in Room 616, 110 N. 14th Street, 14th and Dodge.

MC-F-12579 Momsen Trucking Company—Purchase—Merean R. Wrigg Executrix of the Estate of Max Wrigg, d.b.a. Waterloo Freight Service and MC 124174 (Sub-No. 103), Momsen Trucking Co., now as-

signed October 18, 1976, at Omaha, Nebr. will be held in Room 616, Union Pacific Plaza, 110 N. 14th Street, 14th and Dodge. MC-P-12607, Barrieau Express, Inc.—Purchase—Trans-World Van Lines, Inc. and MC 8028 (Sub-No. 3), Barrieau Express, Inc., now assigned September 13, 1976 at Hartford, Connecticut is postponed indefinitely.

H. G. HOMME, Jr.,  
Acting Secretary.

[FR Doc.76-26247 Filed 9-7-76;8:45 am]

[Ex Parte No. 9; 16th Revised Exemption No. 90]

**ATLANTA AND SAINT ANDREWS BAY RAILWAY CO., ET AL.**

**Exemption Under Mandatory Car Service Rules**

**To ALL RAILROADS**

*It appearing,* That the railroads named below own numerous 50-ft. plain boxcars; that under present conditions there are substantial surpluses of these cars on their lines; that return of these cars to the owners would result in their being stored idle; that such cars can be used by other carriers for transporting traffic offered for shipments to points remote from the car owners; and that compliance with Car Service Rules 1 and 2 prevents such use of these cars, resulting in unnecessary loss of utilization of such cars.

*It is ordered,* That pursuant to the authority vested in me by Car Service Rule 19, 50-ft. plain boxcars described in the Official Railway Equipment Register, I.C.C.-R.E.R. No. 400, issued by W. J. Trezise, or successive issues thereof, as having mechanical designation "XM", and bearing reporting marks assigned to the railroads named below, shall be exempt from the provisions of Car Service Rules 1, 2(a), and 2(b).

Atlanta & Saint Andrews Bay Railway Company.  
Reporting Marks: ASAB.  
The Baltimore and Ohio Railroad Company.  
Reporting Marks: BO.  
The Chesapeake and Ohio Railway Company.  
Reporting Marks: CO-PM.  
Elgin, Joliet and Eastern Railway Company.<sup>1</sup>  
Reporting Marks: EJE.  
Green Mountain Railroad Corporation.  
Reporting Marks: GMRC.  
Illinois Terminal Railroad Company.  
Reporting Marks: ITC.  
Louisville, New Albany & Corydon Railroad Company.<sup>1</sup>  
Reporting Marks: LNAC.  
Missouri-Kansas-Texas Railroad Company.  
Reporting Marks: BKTY-MKT.  
New Jersey, Indiana & Illinois Railroad Company.  
Reporting Marks: NJII.  
Norfolk and Western Railway Company.  
Reporting Marks: N&W-NKP-P&WV-WAB.  
Pearl River Valley Railroad Company.  
Reporting Marks: PRV.  
The Pittsburgh and Lake Erie Railroad Company.  
Reporting Marks: P&LE.  
Raritan River Rail Road Company.  
Reporting Marks: RR.  
Sacramento Northern Railway.  
Reporting Marks: SN.  
St. Johnsbury & Lamolle County Railroad.  
Reporting Marks: SJL.

Sierra Railroad Company.  
Reporting Marks: SERA.  
Tidewater Southern Railway Company.  
Reporting Marks: TS.  
Toledo, Peoria & Western Railroad Company.  
Reporting Marks: TPW.  
Vermont Railway, Inc.  
Reporting Marks: VTR.  
WCTU Railway Company.  
Reporting Marks: WCTR.  
Western Maryland Railway Company.  
Reporting Marks: WM.  
Yreka Western Railroad Company.  
Reporting Marks: YW.

Effective September 1, 1976, and continuing in effect until further order of this Commission.

Issued at Washington, D.C., August 25, 1976.

INTERSTATE COMMERCE  
COMMISSION,  
LEWIS R. TEEPLE,  
Agent.

[FR Doc.76-26248 Filed 9-7-76;8:45 am]

[Ex Parte No. 241; 22nd Rev. Exemption No. 12]

**ATLANTIC AND WESTERN RAILWAY, ET AL.**

**Exemption Under Car Service Rules**

**To ALL RAILROADS**

*It appearing,* That the railroads named herein own numerous plain boxcars; that under present conditions, there is virtually no demand for these cars on the lines of the car owners; that return of these cars to the car owners would result in their being stored idle on these lines; that such cars can be used by other carriers for transporting traffic offered for shipments to points remote from the car owners; and that compliance with Car Service Rules 1 and 2 prevents such use of plain boxcars owned by the railroad listed herein, resulting in unnecessary loss of utilization of such cars.

*It is ordered,* That pursuant to the authority vested in me by Car Service Rule 19, plain boxcars described in the Official Railway Equipment Register, I.C.C.-R.E.R. No. 400, issued by W. J. Trezise, or successive issues thereof, as having mechanical designation "XM", and bearing reporting marks assigned to the railroads named below, shall be exempt from the provisions of Car Service Rules 1(a), 2(a), and 2(b).

Atlantic and Western Railway.  
Reporting Marks: ATW.  
Chicago & Illinois Midland Railway Company.  
Reporting Marks: CIM.  
Fonda, Johnstown and Gloversville Railroad Company.  
Reporting Marks: FJG.  
Hartford and Slocomb Railroad Company.  
Reporting Marks: HS.  
Louisiana Midland Railway Company.  
Reporting Marks: LOAM.  
Manufacturers Railway Company.  
Reporting Marks: MRS.  
Maryland and Pennsylvania Railroad Company.  
Reporting Marks: MPA.  
Minneapolis, Northfield and Southern Railway eliminated.

<sup>1</sup> Addition.

New Hope and Ivyland Railroad Company.  
Reporting Marks: NHIR.  
Pickens Railroad Company.  
Reporting Marks: PICK.  
Roscoe, Snyder and Pacific Railway Company.  
Reporting Marks: RSP.  
Wellsville, Addison & Galetton Railroad Corporation.  
Reporting Marks: WAG.

Effective July 15, 1976, and continuing in effect until further order of this Commission.

Issued at Washington, D.C., July 9, 1976.

INTERSTATE COMMERCE  
COMMISSION,  
LEWIS R. TEEPLE,  
Agent.

[FR Doc.76-26249 Filed 9-7-76;8:45 am]

[Ex Parte 241; 3rd Rev. Exemption No. 128]

**ATCHISON, TOPEKA AND SANTA FE RAILROAD CO., ET AL.**

**Exemption Under Car Service Rules**

**To:**

The Atchison, Topeka and Santa Fe Railroad Company  
Chicago & Eastern Illinois Railroad Company  
Chicago and North Western Transportation Company  
Chicago, Milwaukee, St. Paul and Pacific Railroad Company  
Chicago, Rock Island and Pacific Railroad Company  
Illinois Central Gulf Railroad Company  
Louisville and Nashville Railroad Company  
Missouri-Illinois Railroad Company  
Missouri Pacific Railroad Company  
St. Louis Southwestern Railway Company  
Seaboard Coast Line Railroad Company  
Southern Railway Company  
The Texas and Pacific Railway Company

*It appearing,* That the thirteen railroads listed below have mutually agreed to the use of each other's empty plain cars having mechanical designations "XM", "FM"—less than 200,000 lbs., "GA", "GB", "GD", "GH", "GS", and "GT" and bearing reporting marks assigned to such carriers.

*It further appearing,* That these thirteen railroads have mutually agreed to participate in an Expanded Clearing-house Project in which each road will treat the cars of the other twelve roads as systems, with the Car Service Division of the AAR acting as agent.

*It is ordered,* That pursuant to the authority vested in me by Car Service Rule 19, empty plain cars described in the Official Railway Equipment Register I.C.C.-R.E.R. No. 400, issued by W. J. Trezise, or successive issues thereof, as having mechanical designations "XM", "FM"—less than 200,000 lbs., "GA", "GB", "GD", "GH", "GS", and "GT" and bearing the following reporting marks are exempt from the provisions of Car Service Rules 1(a), 2(a), and 2(b), while on the lines of any of the above named railroads.

<sup>1</sup> The Atchison, Topeka and Santa Fe Railroad Company.  
Reporting Marks: ATSF. Effective August 22, 1976.  
Chicago & Eastern Illinois Railroad Company.  
Reporting Marks: CEI. Effective July 15, 1976.

Chicago and North Western Transportation Company.

Reporting Marks: CNW-CGW-CMO-FDDM-MSTL.

Chicago, Milwaukee, St. Paul and Pacific Railroad Company.

Reporting Marks: MILW. Effective July 15, 1976.

Chicago, Rock Island and Pacific Railroad Company.

Reporting Marks: RI-ROCK.

Illinois Central Gulf Railroad Company.<sup>1</sup>

Reporting Marks: ICG-GM&O-IC. Effective August 22, 1976.

Louisville and Nashville Railroad Company.

Reporting Marks: L&N-CIL-MON-NC. Effective August 15, 1976.

Missouri-Illinois Railroad Company.

Reporting Marks: MI. Effective July 15, 1976.

Missouri Pacific Railroad Company.

Reporting Marks: MP. Effective July 15, 1976.

St. Louis Southwestern Railway Company.<sup>2</sup>

Reporting Marks: SSW. Effective July 25, 1976.

Seaboard Coast Line Railroad Company.

Reporting Marks: SCL-ACL-C&WC-SAL. Effective August 15, 1976.

Southern Railway Company.

Reporting Marks: SOU-AEC-CG-GF-NS-SA. Effective July 15, 1976.

The Texas and Pacific Railway Company.

Reporting Marks: T&P. Effective July 15, 1976.

It is further ordered, That this order will become effective for specific ownerships on dates to be set by the Car Service Division as each road is phased into the Project starting July 15, 1976, the Car Service Division to issue appropriate notification to Project participants, and to advise the undersigned.

Expires February 15, 1977.

Issued at Washington, D.C., August 18, 1976.

INTERSTATE COMMERCE COMMISSION,

LEWIS R. TEEPLE,  
Agent.

[FR Doc. 76-26250 Filed 9-7-76; 8:45 am]

[Ex Parte No. 329]

## REVIEW OF THE DEPARTMENT OF TRANSPORTATION'S PRELIMINARY CLASSIFICATION AND DESIGNATION OF RAIL LINES

### Public Hearing

Present: Alan M. Fitzwater, Director, Rail Services Planning Office, to whom the above-captioned proceeding has been referred pursuant to Section 503(c) of the Railroad Revitalization and Regulatory Reform Act of 1976.

On August 9, 1976, by order of the Commission certain hearings, dates and sites were set; and certain rules of proceeding were stated;

Now on this date, additional hearing sites have been set, certain corrections to the previous list have been made, and the rules of procedure and practice have been restated:

<sup>1</sup> Effective date inserted.

<sup>2</sup> Effective date corrected.

It is therefore ordered that:

1. The hearing sites are established together with the local contact coordinator who will receive requested appearance times at the respective hearings. The dates below indicate when the hearings commence.

THURSDAY, SEPTEMBER 16, 1976

Little Rock, Arkansas—Arkansas Game & Fish Commission Auditorium, Arkansas State Capitol Grounds, Little Rock, Arkansas.

Contact: Marie A. Roberson, c/o ICC Office, 3108 Federal Building, Little Rock, Arkansas 72201, Phone: 501/378-5821.

MONDAY, SEPTEMBER 20, 1976

New York, New York—Room #1, 24th Floor, Tower 2, World Trade Center, Church & Vesey Streets, New York, New York 10047.

Contact: Louis P. Bussolati, c/o ICC Office, Room 1807, 26 Federal Plaza, New York, New York 10007, Phone: 212/264-1072.

THURSDAY, SEPTEMBER 23, 1976

Helena, Montana—Court Room, 3rd Floor, Federal Building, U.S. Post Office and Courthouse, Park & Lawrence Streets, Helena, Montana.

Contact: Peggy Webb, c/o ICC Office, 2602 First Avenue North, Billings, Montana 59101, Phone: 406/245-6711 Ext. 6261.

Aberdeen, South Dakota—Room 208/213, Federal Building, 115 4th Avenue, SE., Aberdeen, South Dakota 57401.

Contact: Jean M. Kropp, c/o ICC Office, 369 Federal Building, Pierre, South Dakota 57501, Phone: 605/224-2812.

Indianapolis, Indiana—Tax Court, Room 288, Federal Building and Courthouse, 46 East Ohio Street, Indianapolis, Indiana 46204.

Contact: Frances Sterling, c/o ICC Office, 429 Federal Building and Courthouse, 46 East Ohio Street, Indianapolis, Indiana 46204, Phone: 317/269-7701.

2. The following are corrections to the hearing notice of August 9, 1976.

(a) The contact for the Fort Worth, Texas hearing which formerly read: June Cole, c/o ICC Office, 9A27 Fritz Garland Building, 819 Taylor Street, Fort Worth, Texas 76102, Phone: 817/334-2837 should now read: June Cole, c/o ICC Office, 9A27 Fritz Garland Lanham Federal Building, 819 Taylor Street, Fort Worth, Texas 76102, Phone: 817/344-2837.

(b) The phone number for the Memphis, Tennessee contact which previously read 901/534-3437 should now read 901/521-3437.

(c) The address for the Kansas City, Missouri contact which previously read 611 Walnut Street should be changed to read 911 Walnut Street.

(d) The address for the Jacksonville, Florida hearing has been changed to: City Council Chamber, City Hall, East Bay Street, Jacksonville, Florida.

3. Attorneys have been retained by the Office to provide free legal assistance to communities, users of rail service and other interested parties in the preparation of their testimony on the Department of Transportation Report. The assistance of these attorneys may be obtained pursuant to the hearing rules set forth below.

Interested parties may participate either by appearing in person at one of

the hearings or by submitting written comments directly to the Office.

4. The following uniform rules, procedures, and practices for the hearings are established:

(a) Oral testimony will be limited to 10 minutes. Those appearing are encouraged to testify from prepared statements.

(b) Persons who wish to testify at the hearings should call or write the local contact coordinator who is identified in Item 1 of this Notice.

(c) Prospective witnesses will be asked to provide: Their name, address, telephone number and business association, if any; the general areas of the report to which their testimony will pertain; and the date and time when they wish to appear. This information will be relayed to an attorney from the Office of Public Counsel. If prospective witnesses need the assistance of an attorney, they should so inform the contact coordinator.

(d) The attorney assigned to the hearing city will schedule all witnesses and either the attorney or the local coordinator will notify prospective witnesses of confirmed hearing appearance times. The attorney will attempt to accommodate prospective witnesses who appear at the hearing without a prescheduled appearance time.

(e) In order to facilitate the creation of a comprehensive and well-organized record, the attorneys will attempt to schedule prospective witnesses according to the general area of interest which their testimony will address.

(f) All written material for the record should be submitted on 8½ x 11" paper in 10 copies at the hearing or sent directly to the Rail Services Planning Office, 1900 L Street, N.W., Washington, D.C. 20036. Statements sent to the Office should arrive no later than October 1, 1976. Since the Office has a very short time for review of the testimony, statements received after October 1, 1976, will be made a part of the record, but may not be reviewed by the Office.

(g) Witnesses with common interests are urged to make joint submissions.

(h) The proceedings are legislative, not judicial in nature. It is designed to elicit public views on the Department's preliminary report. Witnesses will not be required to testify under oath, nor will there be any cross examination or rebuttal testimony. Only questions from the presiding officer and the representative of the Office of Public Counsel will be permitted.

(i) In order to insure that the public is fully informed of the contents of the Report and its possible impacts upon communities and rail users, the usual Interstate Commerce Commission limitations on radio and television coverage during the hearing will be relaxed. The presiding officer will permit live news coverage in the hearing room provided that the conduct of the media representatives and the presence of radio and television equipment do not disturb the orderly conduct of the proceedings. Where courtroom facilities are used, however, the rules of the court regarding

media participation will apply. The customary rules of the Commission prohibiting smoking and talking during the hearing will apply.

(j) Hearings will commence on the days specified in Item 1 of this Notice.

(k) Hearings will convene promptly at 9:30 a.m. and adjourn at 5:30 p.m. An evening session will be scheduled on the first day if appearance times are requested. The evening session will commence at 7:30 p.m. and adjourn at 10:00 p.m. Additional evening sessions may be scheduled at the discretion of the attorney and the hearing officer.

ROBERT L. OSWALD,  
Secretary.

[FR Doc.76-26251 Filed 9-7-76;8:45 am]

[I.C.C. Order No. 174 Under Revised Service Order No. 994]

### REROUTING TRAFFIC

#### To ALL RAILROADS

In the opinion of Lewis R. Teeple, Agent, the Burlington Northern Inc. is unable to transport traffic over its line serving Leavenworth, Kansas, because of bridge damage.

It is ordered, That:

(a) The Burlington Northern Inc., being unable to transport traffic over its line serving Leavenworth, Kansas, be-

cause of bridge damage, that carrier is hereby authorized to reroute or divert such traffic via any available route to expedite the movement. The billing covering all such cars rerouted shall carry a reference to this order as authority for the rerouting.

(b) *Concurrence of receiving roads to be obtained.* The railroad desiring to divert or reroute traffic under this order shall receive the concurrence of other railroads to which such traffic is to be diverted or rerouted, before the rerouting or diversion is ordered.

(c) *Notification to shippers.* Each carrier rerouting cars in accordance with this order shall notify each shipper at the time each car is rerouted or diverted and shall furnish to such shipper the new routing provided under this order.

(d) Inasmuch as the diversion or rerouting of traffic is deemed to be due to carrier disability, the rates applicable to traffic diverted or rerouted by said Agent shall be the rates which were applicable at the time of shipment on the shipments as originally routed.

(e) In executing the directions of the Commission and of such Agent provided for in this order, the common carriers involved shall proceed even though no contracts, agreements, or arrangements now exist between them with reference to the division of the rates of transpor-

tation applicable to said traffic. Divisions shall be, during the time this order remains in force, those voluntarily agreed upon by and between said carriers; or upon failure of the carriers to so agree, said divisions shall be those hereafter fixed by the Commission in accordance with pertinent authority conferred upon it by the Interstate Commerce Act.

(f) *Effective date.* This order shall become effective at 11:59 a.m., August 19, 1976.

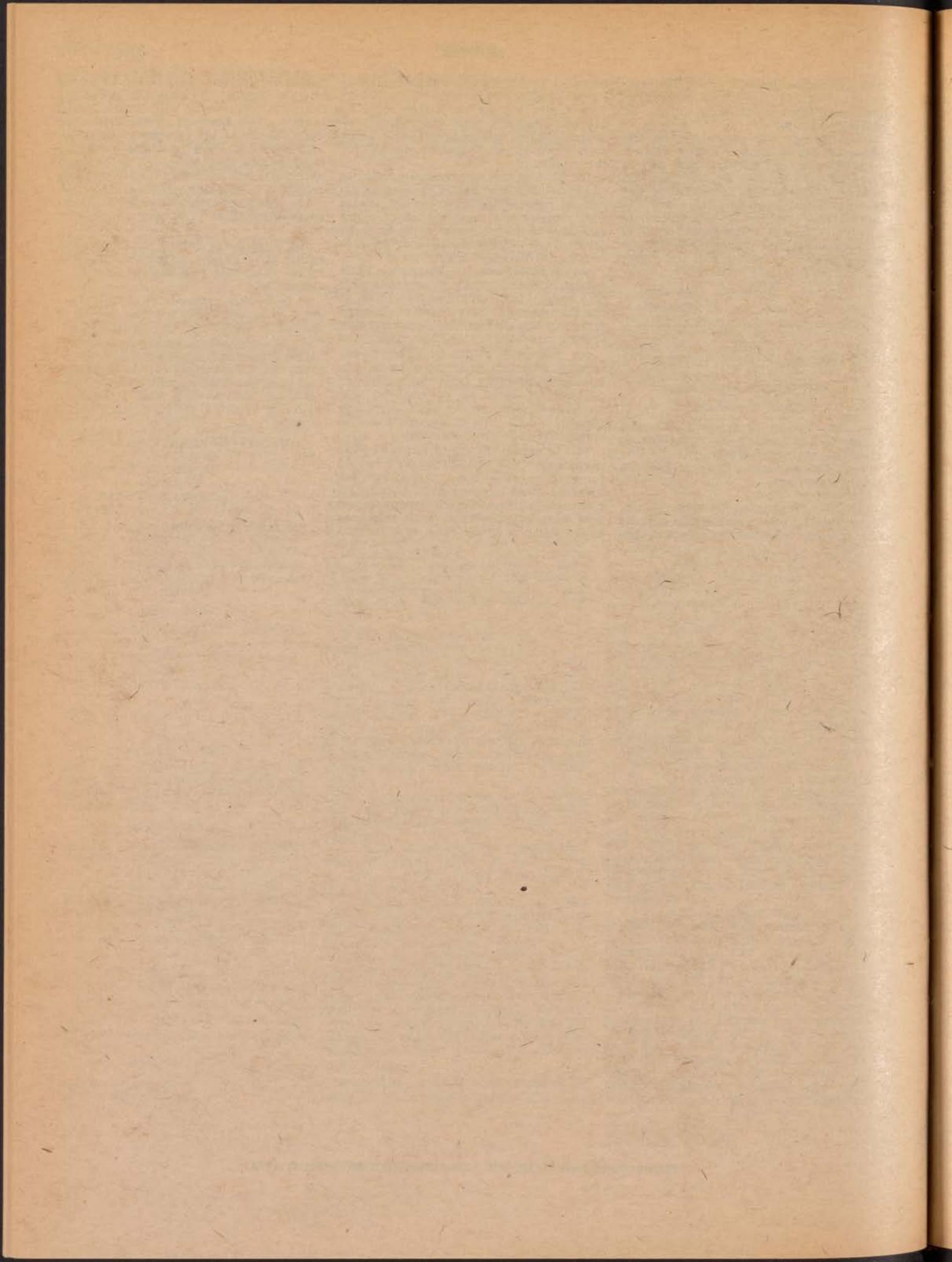
(g) *Expiration date.* This order shall expire at 11:59 p.m., September 30, 1976, unless otherwise modified, changed, or suspended.

It is further ordered, That this order shall be served upon the Association of American Railroads, Car Service Division, as agent of all railroads subscribing to the car service and car hire agreement under the terms of that agreement, and upon the American Short Line Railroad Association; and that it be filed with the Director, Office of the Federal Register.

Issued at Washington, D.C., August 19, 1976.

INTERSTATE COMMERCE  
COMMISSION,  
LEWIS R. TEEPLE,  
Agent.

[FR Doc.76-26252 Filed 9-7-76;8:45 am]



# federal register

WEDNESDAY, SEPTEMBER 8, 1976



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PART II:

## FEDERAL POWER COMMISSION



### CORPORATE AND FINANCIAL REPORTS FOR CLASS A AND CLASS B NATURAL GAS COMPANIES

New Form Nos. 154A and 154M

## FEDERAL POWER COMMISSION

[ 18 CFR Part 260 ]

[Docket No. RM76-33]

CORPORATE AND FINANCIAL REPORTS  
FOR CLASS A AND CLASS B NATURAL  
GAS COMPANIES—NEW FORM NOS.  
154A AND 154M

## Proposed Rulemaking

AUGUST 27, 1976.

Pursuant to 5 U.S.C. 553 and sections 8, 9, 10(a), 14, 15, and 16 of the Natural Gas Act, (52 Stat. 825, 826, 828, 829, 830; 15 U.S.C. 717g, 717h, 717i, 717m, 717n, 717o), the Commission gives notice it proposes to add Sections 260.22 and 260.23 to Part 260 of the Approved Forms under the Natural Gas Act to provide that new FPC Form Nos. 154A and 154M be required for reporting. Proposed new Form No. 154A would be entitled, "Annual Corporate and Financial Report for Class A and Class B Natural Gas Companies." Proposed new Form No. 154M would be entitled, "Monthly Corporate and Financial Report for Natural Gas Companies."

During a period of critical natural gas shortages, such as the nation is currently experiencing, the public interest requires that the Commission have available to it current and continuing information on the operations of companies which transport and sell natural gas in interstate commerce. The interests of those companies regulated by the Commission also require an efficient and progressive means of regulation.

On September 26, 1973, in Docket No. R-438, the Commission issued Order No. 494, amending Part 2, Chapter I, Title 18 of the Code of Federal Regulations and setting forth Commission policy for the development of a fully automated computer regulatory system to provide such information. When developed and fully operative, the system will provide prompt and ready access to data contained in a central electronic data bank, eliminating the duplication of information now collected and reducing the quantity of existing manual files. This system will not only facilitate the evaluation and analysis of all data, but it will also accommodate the development of new regulatory techniques.

In Order No. 494, the Commission stated that all existing "hard copy" public use forms would be redesigned and consolidated to eliminate redundancies and that instructions for reporting would be clarified for use of Electronic Data Processing (EDP) Technology. Public use form information, as it is presently submitted, will be replaced by the submission of individual data elements within a general data element and code scheme. It is anticipated that this major system revision will result in the reduction of the total number of data items currently transmitted to the Commission by the respondents.

In Order No. 494, the Commission further stated that the development of the automated computer information system would be effected through the use of phased rulemaking proceedings in which various Commission reporting procedures and report forms would be restructured.

To this end, Form Nos. 154A and 154M are designed to incorporate into a readily retrievable data processing system the information currently submitted on FPC Form Nos. 2,<sup>1</sup> and 11,<sup>2</sup> currently used.

It is proposed herein that the present Annual Report Form No. 2 would be subdivided into two separate and distinct reporting portions. The corporate information and financial data portion would be embodied in the new report Form No. 154A under a new reporting system which is discussed further in this rulemaking. The operating data portion would be incorporated under a separate report system which will be the subject of a separate rulemaking.

The general changes which are being proposed to the Commission's reporting requirements for the new Form No. 154A and 154M are as follows:

1. For the most part, the requirements for all beginning of year figures (or end of previous year figures), all comparison figures (increases/decreases and year/month figures), and all month/year figures have been eliminated.

2. Duplicative data elements (data elements appearing on more than one schedule) have been eliminated to the extent feasible.

3. The filing requirement for certain information that experience little change from year to year (often referred to as fixed data) is proposed to be reported completely in the initial submission, with only changed values being reported in subsequent submissions.

4. Minimum dollar filing requirements have been proposed for certain data elements in an effort to reduce the reporting and processing burdens.

5. Certain generalized instructions have been clarified and made more specific in order to achieve better standardization, consistency, and to reduce overall filing requirements.

In addition to the changes described above, the Commission's filing requirements contained in the two new Form Nos. 154A and 154M have been rearranged for the purpose of achieving more efficiency in the collection process. Respondents would be asked to utilize newly established account numbers as a supplement to the accounts contained in the Uniform System of Accounts for reporting purposes. Respondents would not be required to maintain these new accounts in their books of accounts or recordkeeping system.

Specific details of the proposed reporting scheme and related procedures are included as separate attachments to this rulemaking as follows:

Attachment A contains general instructions for the preparation and submission of public use schedules. Specifically, the attachment covers the administrative background, standard definitions, general procedures for schedule preparation, rules for data preparation, data maintenance activities, and data keying and verification instructions, all known as Level I instructions.

Attachment B contains general subject instructions for corporate and financial schedules. Specifically, the attachment covers general information surrounding corporate and financial schedules, standard definitions and codes, and general instructions, all known as Level II instructions.

Attachment C contains detailed instructions for each specific schedule to be submitted by the respondent, all known as Level III instructions.

Attachment D is a comparison and cross reference list. This list cross references current reporting requirements with that proposed, at the same time describing additions, deletions, and other peculiarities related to the changeover.

Attachment E contains data standards.

Attachment F contains a sample of schedules to be submitted by the respondent.

It is anticipated that a one-year period of parallel reporting will be required for system evaluation. Assuming successful operation of the new system within such time period, the related schedules within the current Form Nos. 2 and 11 for these respondents would then be eliminated.

All data and information submitted pursuant to these new forms would be required to be subscribed and verified by a duly authorized executive officer of the respondent as being factually accurate and complete to the best of his or her knowledge according to the Commission's Rules of Practice and Procedure (18 CFR Part 1).

It had been contemplated by the Commission that all respondents, in using EDP media, would be required to submit their data on magnetic tape. The Commission now proposes that magnetic tape, in addition to a hard copy of the form used to create the tape, would be required only from respondents on submittal of the annual Form 154A who have over \$10 million operating revenues, although all respondents are urged to submit on magnetic tape. The manner of the preparation of the tapes are left to the discretion of these respondents. For all other respondents and the submittal of Form 154M, an original and four copies of each completed form would be required to be filed with the Commission.

For the present, the Commission will continue to require those companies which qualify as both an electric utility and a natural gas company, for reporting purposes, to continue to comply with the requirements of the proposed schedule for Forms No. 162A, Annual Corporate and Financial Report for Electric Utilities and Licensees; No. 162M, Monthly Corporate and Financial Report for Electric Utilities and Licensees; No. 154A, Annual Corporate and Financial Report for Natural Gas Companies; and No. 154M, Monthly Corporate and Financial Report for Natural Gas Companies. The Commission will consider alternative procedures, as appropriate, when the Regulatory Information System becomes operational.

The Commission, through the Office of Public Information, is considering the

<sup>1</sup> 18 CFR 3.170(a) (12) and 260.1 (1975).

<sup>2</sup> 18 CFR 3.170(a) (15) and 260.3 (1975).

possibilities of making available copies of the input schedules as received, copies of verified hard copy printouts of the input schedules, and hard copy printouts of certain financial statements in a format similar to previous pages of the public use forms.

Any interested person may submit to the Federal Power Commission, 825 North Capitol Street, N.E., Washington, D.C. 20426, to be received no later than October 27, 1976, data, views, comments or suggestions in writing concerning all or part of the proposed forms. Written submittals will be placed in the Commission's public files and will be available for public inspection at the Commission's Office of Public Information, Room 1000, 825 North Capitol Street, N.E., Washington, D.C. 20426, during regular business hours. The Commission will consider all such written submittals before acting on the matters

herein proposed. An original and 14 conformed copies should be filed with the Secretary of this Commission. Submittals to the Commission should indicate the name, title, mailing address and telephone number of the person to whom communications concerning the proposal should be addressed, and whether the person filing them requests a conference with the staff of the Federal Power Commission to discuss the proposed forms. The staff, in its discretion, may grant or deny written requests for conference prior or subsequent to the filing of formal submittals.

In order to expedite and assist the Commission in its review and analysis of the comments it receives, all such submittals are to be presented according to response codes. Each segment of a respondent's comments is to be headed by one of the following response code numbers:

Response code:

- A. Compliance burden:
  - 01 1. Costs of implementing proposed program.
  - 02 2. Time frame expected.
  - 03 3. Cost—manhours aspect.
- B. Comments with respect to the following considerations:
  - 04 1. Hardware.
  - 05 2. Software.
  - 06 3. Schedule design or layouts.
  - 07 4. Media.
  - 08 5. Preparation difficulties.
  - 09 6. Certification.
  - 10 7. Other.
- C. Specific schedule comments:
  - 11 1. Field size.
  - 12 2. Field title.
  - 13 3. Addition of a field.
  - 14 4. Deletion of a field.
  - 15 5. Addition of data elements.
  - 16 6. Data standards.
  - 17 7. Deletion of data elements.
  - 18 8. Clarity of presentation.
  - 19 9. Instruction narrative.
  - 20 10. Combine schedules with other(s).
  - 21 11. Add or delete schedule.
- D. Duplicate reporting plan.
- 23 E. Acceptability for Federal and State use.
- 24 F. Acceptability for general public use.
- G. General reaction:
  - 25 1. Support the principles and purposes of the proposal.
  - 26 2. No objections to principles and purposes of the proposal, but offering suggestions regarding implementation.
  - 27 3. Oppose and disagree with the principles and purposes.
- 28 H. Other.
- 29 I. CPA Certification.

Natural Gas Companies Subject to the Provisions of the Natural Gas Act, shall prepare and file with the Commission for the year beginning January 1, 1976, or subsequently during the calendar year 1976 if its established fiscal year is other than the calendar year, and for each year thereafter, on or before the last day of the third month following the close of the calendar year or other established fiscal year, an original and four copies, all properly subscribed and verified. An additional copy of said report will be retained by the respondent in its files.

(c) The report contains the following schedules:

- General Corporate Information
- Control Over Respondent
- Corporations Controlled by Respondent
- Corporate Officers/Directors
- Other Corporate Employees
- Security Holders and Voting Powers—Total
- Security Holders and Voting Power
- Other Stockholder Information
- Notes to Security Holders and Voting Powers
- Annual Notes to Financial Data
- Description of Construction Overhead Procedures
- Important Changes During the Year—Transmission or Distribution System Changes
- Important Changes During the Year—Respondent Rate Proceedings
- Important Changes During the Year—Supplier Rate Proceedings
- Important Changes During the Year—New Sources of Gas
- Important Changes During the Year—Utility Plant Not Operated
- Company Employee Data
- Securities Issued During Year
- Securities Assumed, Refunded or Retired During Year
- List of Accounts
- Investment in Subsidiary Companies Equity Accounting
- Gas Stored Underground—Parts I and II
- Production Fuel Stocks
- Production Fuel Cost Data
- Gas Prepayments Undeliverable Gas Obligations
- Capital Stock
- Long Term Debt
- Notes Payable
- Taxes Accrued, Prepaid and Charged During Year
- Computation of Federal Income Tax Accruals for the Year
- Consolidated Federal Income Tax Return Information
- Accumulated Deferred Income Taxes
- Accelerated Amortization of Facilities
- Tax Deferred Related to Other Property
- Investment Tax Credits
- Advances for Gas Prior to Initial Deliveries or Commission Certification
- Particulars for Estimating Depreciation, Depletion and Amortization of Utility Plant
- Plant in Service or All Utility Plants
- Completed Construction Not Classified
- Plant Purchased and Sold
- Experimental Plant Unclassified
- Utility Plant Leased to Others
- Plant and Production Properties Held for Future Use
- Construction Work in Progress
- Accumulated Provision for Depreciation of Utility Plant—Parts I and II
- Accumulated Provision for Depletion and Amortization of Utility Plant
- Common Plant and Expenses Data—Parts I and II
- Construction Overhead
- Natural Gas Reserves and Land Acreage
- Net Realizable Value of Estimated Hydrocarbon Reserves
- Operating Revenues

The proposed amendments to Part 260 of the Commission's Approved Forms under the Natural Gas Act would be issued pursuant to the authority granted the Federal Power Commission by the Natural Gas Act as amended, particularly Sections 8, 9, 10(a), 14, 15 and 16 (52 Stat. 825, 826, 828, 829 830; 15 U.S.C. 717g, 717h 717i 717m, 717n, 717o).

Effective for the reporting year 1976, the Commission proposes to amend Part 260, Statements and Reports (Schedules) in Subchapter G—Approved Forms, Natural Gas Act, Chapter I, Title 18 of the Code of Federal Regulations by adding two new §§ 260.22 and 260.23 prescribing new FPC forms, Form No. 154A, Annual corporate and financial report for Class A and Class B natural gas com-

panies, and Form No. 154M, Monthly corporate and financial report for natural gas companies in the form set out in Attachment F hereto. New §§ 260.22 and 260.23 will read as follows:

§ 260.22 Form No. 154A, Annual corporate and financial report for Class A and Class B natural gas companies.

(a) The form on the annual report for Class A and Class B natural gas companies, designated herein as FPC Form No. 154A, is prescribed for the reporting year 1976 and thereafter.

(b) Each natural gas company, as defined in the Natural Gas Act (52 Stat. 821) which is included in Class A or Class B as defined in the Commission's Uniform System of Accounts Prescribed for

## PROPOSED RULES

Extraordinary Items  
 Detailed Account Data—Part I through IV  
 Revenue from Transportation of Gas of  
 Others—Natural Gas  
 Gas Purchases  
 Professional and Other Consultative Services  
 Lease Rental Charges—General Data  
 Lease Rentals Charged  
 Exploration and Development Expenses  
 Regulatory Commission Expense  
 Particulars Concerning Certain Income Accounts  
 Exchange Gas Accounting—Parts I and II  
 Research and Development Activities  
 Natural Gas Production Gathering Statistics  
 Franchise Requirements  
 Gas Used in Utility Operation—Credit  
 Gas Account—Natural Gas  
 Reconciliation of Reported Net Income with Taxable Income  
 Changes in Natural Gas and Other Hydrocarbon Reserves  
 Financial Statements:  
 Balance Sheet—Part I—VII  
 Statement of Income for the Year—Part I—XII  
 Statement of Retained Earnings for the Year  
 Statement of Changes in Financial Position—Part I—III

§ 260.23 Form No. 154M, Monthly corporate and financial report for natural gas companies.

(a) The form of the monthly statement for natural gas companies, designated herein as FPC Form No. 154M is prescribed for the month beginning January 1977, and thereafter.

(b) Each natural gas company, as designated by the Natural Gas Act whose combined gas sales and gas transported or stored for a fee exceeded 50 million Mcf at 14.73 psia (60° F) in the previous calendar year shall prepare and file with the Commission for the month beginning January 1977, and for each month thereafter, an original and four copies. An additional copy of said report will be retained by the respondent in its files. Such report shall be filed within 40 days after the end of the reported month all properly subscribed and verified.

(c) The report contains the following schedules:

Natural Gas Pipeline Company Monthly Statement—Revenues and Expenses—Part I and II

Natural Gas Pipeline Company Monthly Statement—Operation and Maintenance Expenses

Natural Gas Pipeline Company Monthly Statement—Supplemental Gas Supplies and Selected Data

Natural Gas Pipeline Company Monthly Statement—Gas Revenues and Purchases  
 Monthly Notes to Financial Data

The Secretary shall cause prompt publication of this notice to be made in the FEDERAL REGISTER.

By direction of the Commission.

KENNETH F. PLUMB,  
 Secretary.

Attachment A

FEDERAL POWER COMMISSION

Corporate and Financial Reports for Class A and Class B  
Natural Gas Companies

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LEVEL I  
GENERAL INSTRUCTIONS  
FOR THE  
PREPARATION AND SUBMISSION  
OF PUBLIC USE SCHEDULES

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I. ADMINISTRATIVE BACKGROUND

The Federal Power Commission (FPC) has established an information system designed to improve the decision-making capabilities of the Commission. This program, the Regulatory Information System (RIS), was authorized by Commission Order 494, September 26, 1973, and features the following characteristics and capabilities:

- A consolidated data collection system designed to eliminate unnecessary or redundant reporting and to provide standardized data collection schedules.
- A comprehensive system for transmitting, processing, and accessing data requested of respondents by the Commission.
- Establishment of a modern computer facility at Commission headquarters featuring consolidated regulatory data bases and the associated automatic data processing (ADP) equipment and programming software necessary to store, validate, and access that data.

These instructions are intended to aid each respondent to understand the scope and objectives of the RIS system regarding source data collection and to provide clear and concise guidance for the completion of the revised public use schedules.

A. DATA COLLECTION CONCEPTS

The FPC public use schedules are designed to be vehicles for data collection, rather than for data display, by the Commission. This objective has guided the design of the layouts and instructions so as to achieve maximum efficiency in both data collection and subsequent processing. Within this basic design philosophy, the public use schedule data collection concept embodies the following design characteristics, some of which are described in greater detail in the subsequent chapters.

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1. Optical Character Recognition (OCR) - All schedules have been designed with OCR requirements as one of the design constraints. This will allow for transition to optical scanning as a future alternative for data entry.
2. Separation of Instructions - All instructions are separated from the schedules to which they apply, in order to make efficient use of form space. Instructions consist of three separate levels, as follows:
  - Level I - General (applicable to all schedules).
  - Level II - General subject (applicable to natural gas operations, electric operations, or financial data).
  - Level III - Detailed (applicable to individual schedules).
3. Separation of Footnotes - Footnotes or other extraneous marks or comments intended to qualify or modify data must not be entered directly on any schedule. The public use schedules have been designed to minimize the need for footnotes through the establishment of distinct data elements which represent some data previously reported as footnotes. However where necessary to make the related data more meaningful, footnotes may be entered on a special footnote schedule designed for this purpose. On the primary data schedules, the respondent must enter only a unique footnote reference number to provide a link to the footnotes schedule.
4. Reporting Requirements - Reporting frequencies of the new schedules vary from semi-monthly to biennially. For certain schedules, all data must be supplied with each submission. For the other schedules, all data must be supplied with an initial submission, and on subsequent submission of these schedules respondents need report only changes, additions and deletions. These requirements are specifically stated in the Detailed Instructions for each schedule in paragraph 3 under the heading "II. General Information".

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II. STANDARD DEFINITIONS

The following standard definitions are provided to aid the respondent in understanding the data collection concepts contained in these instructions.

A. RESPONDENT - Each corporation, person, agency, authority, or other legal entity or instrumentality, whether public or private, which is required, under the provisions of the Federal Power Act, the Natural Gas Act, or Commission Order, to submit information to the Federal Power Commission via public use forms. Respondents also include those organizations that voluntarily or upon request, provide data to the Commission.

B. PUBLIC USE SCHEDULE - A collection of functionally related data elements organized and formatted into an arrangement suitable for the collection of data; the instructions for preparation of a schedule are included in this definition.

C. DATA FIELD - Within a record or schedule, a specific area used for representing a particular data value, i.e., the spaces provided for data entry on a schedule.

D. DATA ELEMENT - A basic unit of identifiable and definable information. Data elements identify the data fields within a schedule.

E. DATA ITEM - The expression of a particular value of a data element. In cases where a data element identifies a column or row on a schedule, a data item is a specific entry within the column or row.

F. LOGICAL ENTRY - A collection of related characteristics, defined by data elements, associated with a specific "key" item of information. For example, "name", "address", "date of incorporation", and "total assets" are all attributes of the key item "company", which is identified by a company code. This entire collection of data elements is called a logical entry. Within the public use schedules, logical entries are blocks of data that may be repeated several times on a page.

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5. Consolidated Schedules - Many of the public use schedules have been designed to consolidate data previously collected on more than one schedule. The consequence of such consolidation is a reduction in the total number of schedules. Furthermore, portions of some schedules may be inapplicable to certain respondents, as specified in the detailed instructions.

B. APPLICABILITY OF INSTRUCTIONS

The Commission will provide to each respondent all three levels of instructions, appropriate to the type of operation. For example, an electric utility will receive:

- Level I - General Instructions
- Level II - General Subject Instructions (Electric Operating Data)
- Level III - General Subject Instructions (Corporate and Financial Data)
- Level III - Detailed Instructions (Electric Operating Schedules)
- Level III - Detailed Instructions (Corporate and Financial Schedules)

Each respondent will also receive footnote schedules (with instructions) and free form (blank) schedules for the submission of narrative or graphic support data that will not be loaded into the Commission's data bases.

As the public use schedules undergo change in future reporting periods through the rulemaking process, modified layouts and instructions will be prepared by the Commission and mailed to the respondents, together with appropriate documentation that describes the authorization and the details of all such changes, additions, or deletions. The Commission will prepare the instructions in a manner suitable for looseleaf binders, so that they can be easily maintained by the respondents.

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G. KEY ITEM IDENTIFICATION - A data element that provides a unique reference point for purposes of accessing or retrieving data. Within the public use schedules, the key item(s) uniquely identify groups of related data elements which by definition are logical entries. Normally, key items appear first within a logical entry and must always be completed by the respondent. The Detailed Instructions for each schedule explicitly identify those data elements that are "key".

Other, more specific, definitions of technical and financial data elements are contained in the Level II - General Subject Instructions.

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III. GENERAL PROCEDURES FOR SCHEDULE PREPARATION

Many of the public use schedules have been designed so that different types of respondents can use the same basic schedules and instructions for the collection of identical data. For such schedules, the Detailed Instructions explain the unique data preparation requirements applicable to each type of respondent.

A. SCHEDULE SUBMISSION

The Commission will forward annually an appropriate number of copies of each schedule required of the respondent for the given reporting period.

All respondents shall forward the number of copies ordered by the Commission of each public use schedule to the Commission. Respondents submitting schedules on magnetic tape must also submit an attested working copy, appropriately completed, of the supplied schedules from which the tapes were prepared.

The specific report period for each schedule is listed in the General Subject Instructions (Level II) for the natural gas operations, electric operations, or financial data. The reporting period must be entered by the respondent on each schedule. Care must be exercised to ensure that the report period on each schedule (month, day, year) represents the ending date of the period to which the data applies, not the date the schedule was completed.

Prior to forwarding the schedules to the Commission, the respondent must complete Schedule 0100, Index of Public Use Schedules Submitted. A data field-by-data field instruction for Schedule 0100 shall be found in the Level III, Detailed Instructions for this schedule. All schedules should be carefully assembled, packaged, and forwarded to the following address:

Federal Power Commission  
 825 North Capitol Street, N.E.  
 Washington, D. C. 20046  
 Attn: Office of the Secretary

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**B. REPORTING MEDIA**  
 The acceptable media for reporting public use data are magnetic tape with a working copy of the schedules or typed schedules.  
 Many respondents are capable of preparing the data via magnetic tape and have been directed by the Commission to do so. In addition, the respondent must attach associated attested working copy of the FPC supplied schedules from which the tapes were prepared.

**C. SUPPORTING DOCUMENTATION**  
 Additional statements, maps, diagrams, charts or other documentation supportive to the data schedules not otherwise specifically required or provided for should be inserted directly behind the schedules to which they apply. Respondents shall utilize FPC Schedule Number 1000 in all cases except those requiring oversize documents such as large maps. In all cases, the schedule number and page number of the schedule to which the supporting documentation applies must be entered, as well as the reporting period. Supporting documentation must not be stapled to the corresponding schedules.

**D. ATTESTATION**  
 The complete set of schedules filed for a given reporting period must be subscribed and verified by the duly authorized executive officer of the respondent, or of one of the respondents where a consolidated schedule is filed, who is qualified and authorized to prepare or supervise the preparation of the schedules and to certify their accuracy, completeness, and truthfulness. Such attestation will be submitted in the format specified in the Attestation Under Oath, displayed in Figure III-1.  
 Information submitted on magnetic tape must be accompanied by a working copy of each schedule used to create the magnetic tape. A single Attestation Under Oath will apply to all information, regardless of filing medium, submitted in the reporting period.

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FEDERAL POWER COMMISSION  
825 North Capitol Street, N.W.  
Washington, D.C. 20426  
ATTESTATION UNDER OATH

This report must be attested to under oath by an officer of the company. (Insert here the name of the attester)

being first duly sworn according to law, certifies that he is (Insert here the official legal title of attester) that he has full power and authority to make the of (Insert here the exact legal title or name of respondent);

attestation; that he has read this report and its contents therein that to the best of his knowledge, information and belief, all estimates and matters therein set forth are true and correct and the said report is a correct statement of the business and affairs of the above-named respondent in respect to each and every matter set forth therein during the period from and including \_\_\_\_\_, 19\_\_\_\_ to and including \_\_\_\_\_, 19\_\_\_\_.

(Signature of attester)

Subscribed and sworn to before me \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_.

\_\_\_\_\_  
NOTARY PUBLIC  
(SEAL)

FIGURE III-1. NOTIFICATION OF ATTESTATION

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IV. RULES FOR DATA PREPARATION

The respondent is instructed to rigorously conform to the following general rules for data entry when preparing the schedules prior to the time that the schedules are forwarded for typing.

A. DATA FIELD LENGTH  
 Do not exceed the data field lengths allocated for each data element. When the data field size is inadequate, leave the data field blank and enter the true value in a footnote.

B. JUSTIFICATION (DATA POSITIONING)  
 Right justify every numeric data field; that is, place the data in the right portion of the data field so that no blank spaces follow the last character. Unfilled leading characters should be left blank. All alphabetic data fields must be left justified; that is, they begin at the left boundary of the data field.

C. ALPHABETIC AND NUMERIC  
 The Detailed Instructions for each schedule define each data field as either alphabetic (A) or numeric (N). The instructions also specify the data field length, including implied decimal positions for fractional numbers. For example, (N7.3) means a numeric field with seven characters to the left and three characters to the right of the implied decimal position. (N6) implies a six digit integer number and (A22) defines a twenty-two character alphabetic data field.

Do not enter alphabetic or special characters in a data field defined to be numeric by the instructions. The only exceptions to this rule are a minus sign or an asterisk, as described later. Numeric data fields must be right-justified and can be preceded by blank spaces. A data field defined as alphabetic may include any

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(3-16)

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E. RESPONDENT COMMENTS AND SUGGESTIONS  
 The Regulatory Information System represents a significant departure from the previous methods of gathering and using information by the Commission. Like all new systems, areas for improvement will undoubtedly be discovered during actual operation. All respondents are encouraged to supply comments and suggestions to the Commission of specific data collection or schedule design problems.

All questions concerning schedule design, data entry rules, filing requirements, or administrative matters, should be directed to the Office of Regulatory Information Systems at Commission headquarters. The telephone number for inquiries at the Commission is (202) 275-4138.

This telephone number and the schedule mailing address will be the main contact points between the Commission and the respondents for matters relating to the data collection. Questions that cannot be handled directly will be routed to the appropriate bureau or office personnel for resolution.

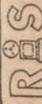
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	<p>illustrated by the preceding example of a percentage. For example, never enter 12 1/3 in a schedule; instead enter 12 <math>\Delta</math> 333 , assuming three decimal positions are requested.</p> <p>C. <u>SPECIAL CHARACTERS</u></p> <p>As illustrated in the preceding section, all of the public use schedules have been designed with preprinted decimal point locators, where applicable. The respondent is specifically requested not to enter dollar signs, decimal points (.), commas (,), parentheses, technical symbols, or any other special characters on the schedules unless called for by the Detailed Instructions. For example, the number \$52,429.48 would be entered as 53429 <math>\Delta</math> 48 and the number \$45,237 would be entered as 45237 <math>\Delta</math> 00.</p> <p>E. <u>MULTIPLE PAGES</u></p> <p>Multiple pages may often be necessary for the respondent to provide all of the data for a particular schedule. Additional pages may be requested of the Commission at any time. To minimize such requests, the Commission will analyze historical submission patterns and volumes for each schedule and attempt to tailor the number of pages mailed out with the number of pages submitted in the past. Each page of a schedule must be numbered in the upper right hand corner.</p> <p>I. <u>LISTS OF LEGITIMATE VALUES</u></p> <p>A copy of the Register of Data Standards will be made available to each respondent. The Register must be a standard reference tool for personnel completing the schedules to assure valid data entries. Respondent-entered data that is inconsistent with values contained in the Register will be rejected, since validation procedures are also based on the Register.</p> <p>Normally, the Detailed Instructions will not contain the lists of legitimate values, but will refer to the Register of Data Standards. However, certain data item lists were incorporated directly in the Detailed Instructions for the convenience of the respondent. In these cases the data item list mnemonic appears in parenthesis.</p>	

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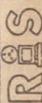
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	<p>combination of numeric and alphabetic characters. If necessary, words should be abbreviated to fit an alphabetic data field within the allocated space.</p> <p>D. <u>NEGATIVE ENTRIES</u></p> <p>To indicate a negative numeric value, type over the preprinted minus sign in the right-most character of the data field immediately after the last significant digit; for example, 4917- . Positive numbers require no sign within the data fields; simply right-justify the number. Only certain data fields may legitimately contain negative numbers. Those data elements that may be expected to generate negative numbers are identified in the Detailed Instructions and in the schedules, where a character position is reserved for the minus sign, which is printed.</p> <p>E. <u>NULL ENTRIES</u></p> <p>Data fields that are not applicable to a given respondent's situation must be left blank. Do not write in "N/A", or "NONE", or "NO DATA", or any other null response. Numeric data fields that do apply to the respondent but for which the respondent has a legitimate value of zero must be so entered with zero, including all decimal positions. Numeric, alphabetic, or alpha-numeric data fields that do not apply must be left blank.</p> <p>F. <u>PERCENTAGES AND FRACTIONS</u></p> <p>Numeric data fields expressed in percentages may contain a variable number of decimal positions. In the schedules, all decimal points are implied by a preprinted character ( <math>\Delta</math> ) that does not occupy a character position within the data field. For example: NY <math>\Delta</math> XXX</p> <p>The fractional portion to the right of the decimal point should always be rounded to the last significant digit. For example, 11 2/3 should be entered as 11 <math>\Delta</math> 667 . Under no circumstances must a portion of a whole number be expressed in fractional notation for any numeric data field. Rather, use the decimal representation, as</p>	

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The respondent must use the approved values and is also cautioned not to alter the units of measure (i.e., Mwhf or Kw) defined in the Detailed Instructions.

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V. DATA MAINTENANCE ACTIVITIES

When preparing the public use schedules, the respondent must be familiar with the procedures necessary to modify data on the Commission's data bases and to prepare footnotes, where necessary. These topics are covered in this chapter.

A. MODIFICATION OF DATA

New data may be entered directly on any of the public use schedules by the respondent. The respondent must be certain that all data fields identified as key by the instructions are properly completed. For each new entry all data must be completed for the initial submission. For subsequent submissions of this data, the following general rules apply.

Changes to existing data residing in Commission's data bases can be entered directly on any public use schedule. To determine whether a data element has changed, the respondent is to refer to the data reported for the last submission.

For data identified by the Detailed Instructions as periodically required to be submitted, the respondent must completely resubmit all requested data regardless of whether or not the data have actually changed. In other Detailed Instructions subsequent submissions require the respondent to submit only appropriate identification (key data fields) and changed data fields. For this type of data, the Commission maintains only the latest current values in the data base, and the last reported data will continue to be propagated as the current data. To delete the current value of a data element, the respondent must enter an asterisk (\*) in the left-most position of the data field, regardless of the numeric or alphabetic nature of the data. The following example illustrates how to delete any single occurrence of a data element:

Data Field Title
*

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The footnote number must be assigned uniquely and cannot be repeated across schedules. For example, if Schedule 0102 has footnote numbers 014 and 015 assigned, the footnotes for schedule 0103 should begin at 016. The respondent must not number the footnotes starting from 001 for each schedule. All footnote reference numbers throughout all schedules submitted in a single reporting period must have unique numbers.

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To delete a data element in more than one logical entry, an asterisk must be entered by the respondent for each occurrence of the data element.

To delete an entire logical entry, a retired generator for instance, the respondent must type a "p" over the preprinted "n" contained to the right of each logical entry and must specify all key items necessary to uniquely identify the logical entry. The Detailed Instructions Indicate which data elements are key items. When processed, all of the data for the entire logical entry will be deleted from the data base. No facility is provided for deleting, in a single action, all of the data for an entire respondent or for any major grouping of data above the logical entry level, such as the data for an entire plant. To accomplish major delete actions, the respondent must delete each logical entry separately. If such step-by-step action proves cumbersome for the respondent in view of a major delete action (a plant closure, for example), the respondent should notify the Commission in writing of the delete action and request appropriate steps to update the data bases.

**B. FOOTNOTES**

Footnotes cannot be placed directly on any public use data schedule. Instead, a single, separate schedule (FPC Schedule Number 0000) is used for footnote entry. The preparation of a respondent supplied footnote requires entry on both the source schedule and the footnote schedule.

All data schedules contain two blocks to signify the presence of a footnote. These footnote indicator data fields are called the General Footnote and the Specific Footnote. The General Footnote data field enables the respondent to supply additional information that pertains to either the entire schedule and/or to a particular column (all occurrences of a data element) within the schedule. The Specific Footnote may be for either a complete logical entry and/or a specific data element within any logical entry.

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VI. DATA KEYING AND VERIFICATION INSTRUCTIONS

Data keying may be utilized by respondents who submit data via magnetic tape. The following keying (and, therefore, verification) instructions apply to all re-signed public use schedules, regardless of actual data content. Keying will produce 80-character records (refer to magnetic tape submission requirements).

Each record must always contain the same control data fields in columns 1-11. These data fields are:

- Data Field A - Schedule Number (Record Position 1-4)
- Data Field B - Page Number (Record Position 5-8)
- Data Field C - Line Number (Record Position 9-10)
- Data Field D - Record Type (Record Position 11)

Data Keying and Verification Instructions are described in Figure VI-1.

When keying from the Public Use Schedules, follow the general rules given below.

- Data Field A, Schedule Number, (Record Position 1-4) and Data Field B, Page Number, (Record Position 5-8) are obtained from the header area of the schedule. The schedule number is obtained from the upper left corner of the schedule and the page number from the upper right corner of the schedule.
- Data Field C, Line Number (Record Position 9-10) is preprinted to the left of each line in the margin of the schedule (even numbers such as 2, 4, 6, etc. are the only valid entries).
- In Data Field D, (Record Type) an "A" should be keyed in Position 11 of the first record keyed for a line.
- In record positions 12-80 of the record, key all data field separators ( ) and all data that has been filled in by the respondent.

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DATA KEYING AND VERIFICATION INSTRUCTIONS

DATA FIELD	DATA FIELD NAME	COLUMNS FROM TO	NUMBER OF COLUMNS	*	SPECIAL INSTRUCTIONS
Common Data					
A	Schedule Number	1 4	4	X	Preprinted at the top left of all schedules
B	Page Number	5 8	4	X	Printed at top right of all schedules
C	Line Number	9 10	2	X	Preprinted on left margin of all schedules (even numbers)
D	Record Type (A = first record, B = second record)	11 11	1	A	A = First record for line B = Second record for line
E	Line Data	12 80	69		Key all data fields and their data field separators. Compress data as described in keying instructions.

\* A - Alpha  
X - Numeric

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FIGURE VI-1. DATA KEYING AND VERIFICATION INSTRUCTIONS

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<p>C. <u>LINE TERMINATOR (Q)</u>          The end of a schedule line must be represented in the keyed record. The vertical bar (data field separator) following the last data field is to be followed with a line terminator symbol. This can require that a "j" record be keyed to provide the line terminator symbol (Q).</p> <p>The line terminator is the "g" symbol, which is to be represented by a 4-9 punch, and a hexadecimal 7c in EBCDIC.</p> <p>D. <u>CONTINUATION RECORD</u>          In most cases, one line on a schedule will be represented by one 80-character record, but in some cases two records will be necessary to represent a single schedule line. In the first (or only) record, an "A" is keyed into Position 11. In the continuation record (there will not be more than one per line), after duplicating Positions 1-10 from the "A" record, key a "j" in Position 11 then continue keying from the schedule line. (Note that for two-record lines, Position 80 of the "A" record may occur in the middle of a data field on the schedule.)</p> <p>E. <u>BLANK LINES</u>          Every data field in a schedule line, including blank data fields, must be represented in the keyed records. For a blank data field, key only a data field separator symbol (   ). For example, if the first three data fields on a schedule line were blank they would be represented in the keyed record by three consecutive data field separators (in Positions 12-14).</p> <p>F. <u>DECIMAL POINTS IN NUMERIC DATA FIELDS</u>          Many numeric data fields contain decimal points, indicated on the schedules by a preprinted Δ. (The only valid indication of a decimal point is the preprinted Δ. Use of a period is an error on the part of the individual filling in the data.) Because it is preprinted, it is not keyed. In order that the data field contents be</p>		

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<p>o At the end of each line on the schedule, key a line terminator symbol (Q).          Note: In some instances, a second record (Record Type 2) will be required solely for the line terminator symbol (Q).</p> <p>o Normally, only one record will be required for a line. Should a second record be required for a line, duplicate Positions 1-10 from the "A" record, and key a "j" in Position 11 of the second record.</p> <p>o Do not key data fields which are preprinted, including data field separators.</p> <p>o Do not key odd numbered lines (i.e., 3, 5, 7, 9, etc.).</p> <p>o Do not key lines in which the respondent has entered no data.</p> <p>In the examples shown, a lower case b represents a blank.</p> <p>A. <u>DATA FIELD CONTENTS</u>          The data keyed into Positions 1-11 of the keyed records is keyed according to the fixed format specified above. But the data keyed in the remainder of the positions of the records (12-80) are keyed in a variable format. That is, leading and trailing blanks in data fields are not keyed. For example, if a ten-position alpha-numeric data field on the schedule contains a single letter, only that letter is keyed:          "Abbbb" is keyed "A                    "</p> <p>B. <u>DATA FIELD SEPARATOR (   )</u>          The variable format explained in A above, requires that the sum of each data field (in Positions 12-80) be indicated by following the data field contents with a data field separator symbol (   ).          The data field separator is the vertical bar symbol, which is to be represented by a 12-7-8 punch, and a hexadecimal 4f in EBCDIC.          See the example in A above, or those that follow, for illustrations of the use of the data field separator.</p>		

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properly entered in the RIS data base, all positions to the right of a preprinted decimal in a data field on a schedule must be keyed.

G. CONSOLIDATED EXAMPLE

This example illustrates the rules given above, as applied to an entry on line 16 of page 2 of a hypothetical Schedule 99.

Data Field Type: x x x x x x x x x x x x x

Data Field Length: 10 10 8.2 3.3 10 10 10 10 10

Data Field Contents:  
MIDWESTERN INTERNATIONAL 350 \* 673A21 24A25b 750000 b b 550000 622490 3575829400

Keyed Records: A Record -  
0099000216MIDWESTERNINTERNATIONAL|350|\*|67321|24200|750000||550000|622490|

B Record -  
0099000216M3575829400 @

H. CHARACTER SET

Keying should be accomplished using the standard EBCDIC alphabetic and numeric character set. The following are the only valid special characters which can be used:

EBCDIC SPECIAL CHARACTER			
CHARACTER	EBCDIC HEX REP	CARD PUNCH	CARD PUNCH
·	4B	12-3-8	11
~	4D	12-5-8	6-1
+	4E	12-6-8	6-3-8
×	56	12	6-4-8
\$	5B	11-3-8	6-7-8
*	5C	11-4-8	2-8
)	5D	11-5-8	5-8
;	5E	11-6-8	6-8
			7-8
Data Field Separator:	4F	12-7-8	
Data Line Terminator:	6	4-8	

I. MAGNETIC TAPE SUBMISSION

The magnetic tape to be submitted shall be compatible with IBM 370/OS using 9-track standard IBM OS labels or using 9-track non-labelled tapes in EBCDIC code format at 800 or 1600 bpi. The logical record length shall be 80 characters. The blocking factor should be compatible with IBM OS tape blocking conventions.

All respondents submitting magnetic tape(s) must enclose with the tape the following information: name of company, name of contact about the magnetic tape and telephone number. Additionally the following information must be specified for labelled and non-labelled tapes:

LABELLED AND NON-LABELLED TAPES

- whether 800 or 1600 bpi
- whether labelled or non-labelled

LABELLED TAPES

- internal volume/serial number of tape
- data set name

NON-LABELLED TAPES

- block size in characters (length of physical record)
- external volume/serial number of tape

PROPOSED RULES

Attachment B

FEDERAL POWER COMMISSION

Corporate and Financial Reports for Class A and Class B  
Natural Gas Companies

LEVEL II  
GENERAL SUBJECT INSTRUCTIONS  
FOR  
CORPORATE AND FINANCIAL SCHEDULES

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Schedule Number	Schedule Name
0141	Investment Tax Credits
0142	Advances for Gas Prior to Initial Deliveries or Commission Certification
0143	Provision for Estimating Depreciation, Depletion and Amortization of Utility Plant
0144	Plant in Service or all Utility Plants
0145	Completed Construction Not Classified
0146	Plant Purchased or Sold
0147	Experimental Plant Unclassified
0148	Utility Plant Leased to Others
0149	Plant and Production Properties Held for Future Use
0150	Construction Work in Progress
0151	Accumulated Provision for Depreciation of Utility Plant - Part I
0152	Accumulated Provision for Depreciation of Utility Plant - Part II (Electric and Gas)
0153	Accumulated Provision for Depletion and Amortization of Utility Plant (Account III.00)
0154	Common Plant and Expense Data - Part II --
0155	Common Plant and Expense Data - Part I --
0156	Construction Overhead
0157	Natural Gas Reserves and Land Acreage
0158	Net Realizable Value of Estimated Hydrocarbon Reserves
0159	Operating Revenues
0160	Extraordinary Items
0161	Detailed Account Data - Part I
0162	Detailed Account Data - Part II
0163	Detailed Account Data - Part III
0164	Detailed Account Data - Part IV
0165	Monthly Statement of Electric Operating Revenue and Income - Part I
0166	Monthly Statement of Electric Operating Revenue and Income - Part II
0167	Revenue From Transportation of Gas of Others - Natural Gas
0168	Gas Purchases
0169	Professional and Other Consultative Services
0170	Lease Rental Charges - General Data
0171	Lease Rentals Charged
0172	Exploration and Development Expenses
0173	Regulatory Commission Expense
0174	Particulars Concerning Certain Income Accounts
0175	Exchange Gas Accounting - Part I
0176	Exchange Gas Accounting - Part II
0177	Research and Development Activities
0178	Natural Gas Production and Gathering Statistics
0179	Franchise Requirements
0180	Gas Used in Utility Operations - Credit
0181	Gas Account - Natural Gas

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Schedule Number	Schedule Name
0101	General Corporate Information
0102	Control Over Respondent
0104	Corporations Controlled by Respondent
0105	Corporate Officers/Directors
0106	Other Corporate Employees
0107	Security Holders and Voting Powers - Total
0108	Security Holders and Voting Powers
0110	Other Stockholder Information
0111	Notes to Security Holders and Voting Powers
0112	Annual Notes to Financial Data
0113	Descriptions of Construction Overhead Procedures
0114	Important Changes During the Year - Transmission or Distribution System
0115	Important Changes During the Year - Respondent Rate Proceedings
0116	Important Changes During the Year - Supplier Rate Proceedings
0117	Important Changes During the Year - New Sources of Gas
0118	Important Changes During the Year - New Generating Plants/Units
0120	Important Changes During the Year - Utility Plant Not Operated
0121	Company Employee Data
0122	Securities Issued During Year
0123	Securities Assumed, Refunded or Retired During Year
0124	List of Accounts - Part I (Electric Class A and Class B)
0124	List of Accounts - Part II (Natural Gas Class A and Class B)
0124	List of Accounts - Part III (Electric Class C and Class D)
0124	List of Accounts - Part IV (Natural Gas Class C and Class D)
0125	Investment in Subsidiary Companies - Equity Accounting
0126	Gas Stored Underground - Part II
0127	Gas Stored Underground - Part I
0128	Production Fuel Stocks
0129	Production Fuel Cost Data
0130	Gas Prepayments and Undelivered Gas Obligations
0132	Capital Stock
0133	Long-Term Debt
0134	Notes Payable
0135	Taxes Accrued, Prepaid and Charged During the Year
0136	Computation of Federal Income Tax Accruals for the Year
0137	Consolidated Federal Income Tax Return Information
0138	Accumulated Deferred Income Taxes
0139	Accelerated Amortization of Facilities
0140	Tax Deferrals Related to Other Property

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RIS		FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	Y
Schedule Number	Schedule Name		
0182	Natural Gas Pipeline Company Monthly Statement - Revenues and Expenses - Part I		
0183	Natural Gas Pipeline Company Monthly Statement - Revenues and Expenses - Part II		
0184	Natural Gas Pipeline Company Monthly Statement - Operation and Maintenance Expenses		
0185	Natural Gas Pipeline Company Monthly Statement - Supplement and Purchases		
0186	Natural Gas Pipeline Company Monthly Statement - Gas Revenues		
0187	Reconciliation of Reported Net Income With Taxable Income		
0188	Accumulated Provision for Depreciation, Depletion, and Amortization of Utility Plant		
0189	Changes in Estimated Natural Gas and Other Hydrocarbon Reserves		
0190	Balance Sheet Accounts - Part I		
0191	Balance Sheet Accounts - Part II		
0192	Balance Sheet Accounts - Part III		
0194	Income Statement Accounts		
0195	Retained Earnings and Operating Revenue Accounts		
0196	Operation and Maintenance Expenses Accounts		
0197	Utility Plant - Municipal		
0198	Long-Term Debt - Municipal		
0199	Information Concerning Licenses and Location of Records		
0200	Licensed Project Plant		
0201	Licensed Project Plant - Classified by Developments		
0202	Additions, Retirements, Adjustments - Licensed Project Plant		
0203	Accumulated Provision for Depreciation of Licensed Project Plant		
0206	Accumulated Provision for Depreciation of Licensed Project Plant According to Functional Classification		
0207	Accumulated Provision for Amortization of Licensed Project Plant - Balances and Changes During the Year		
0208	Accumulated Provisions for Amortization of Licensed Project Plant According to Functional Classification		
0209	Depreciation Expense for Licensed Projects		
0210	Amortization Expense for Licensed Project		
0211	Amortization Reserve - Federal		
0212	Operation of Licensed Projects and the Sale or Use of Energy Produced		
0213	Environmental Protection Facilities and Expenses		
0214	Notes to Environmental Protection Facilities and Expenses		
0215	Monthly Notes to Financial Data		
0216-0222	Financial Statement - Balance Sheet		
0223-0234	Financial Statement - Statement of Income for the Year		
0235	Financial Statement - Statement of Retained Earnings for the Year		
0236-0238	Financial Statement - Statement of Changes in Financial Position		
0239	Operating Statistical Data		

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RIS		FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	Y
Schedule Number	Schedule Name		
0639	Monthly Net Generation by Licensed Project		
0640	Licensed Projects Annual Energy Deductions		
0695	Sales of Electricity by Rate Schedules		
0696	Sales of Electricity by Communities		
0697	Railroads and Interdepartmental Sales		
0698	Sales of Water and Water Power		
0704	Fish and Wildlife and Recreation Plants		
0837	Taxes, Tax Equivalents, Contributions and Services During Year - Part I		
0838	Taxes, Tax Equivalents, Contributions and Services During Year - Part II		
0839	Expenditures for Certain Civic, Political and Other Related Activities		

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<b>RIS</b> FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	2 of 11
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**I. GENERAL INFORMATION**

These instructions apply to those schedules:

- Containing basic information on the respondent's corporate profile
- Providing detailed financial data about the Corporation's business activities.

The group of corporate and financial schedules represent a comprehensive selection of data covering the respondent's entire financial accounting operation.

The classification of respondents are:

- a. **Class A:** Natural gas companies, public utilities, and licensees having operating revenues of \$2,500,000 or more.
- b. **Class B:** Natural gas companies, public utilities, and licensees having operating revenues of \$1,000,000 or more but less than \$2,500,000.
- c. **Class C:** Natural gas companies, public utilities, and licensees having operating revenues of \$500,000 or more but less than \$1,000,000.
- d. **Class D:** Natural gas companies, public utilities, and licensees having operating revenues of \$25,000 or more but less than \$500,000.

The schedules are organized into two subgroups based upon respondent reporting requirements: (1) annual or (2) monthly submissions. The grouping of schedules into their respective submission subgroups together with a reference to the old FPC form relationships is shown below:

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Subgroup Annual Submissions	Respondent Category	Schedule Number(s)	Old FPC Form	Submission Date
1	Class A & Class B (Electric Utilities and Licensees)	0101-0187, 0213-0239, 0695-0698, 0704 (less 0103, 0109, 0115, 0117, 0119, 0126, 0127, 0130, 0131, 0142, 0153, 0157, 0158, 0165-0166, 0172, 0175, 0176, 0178, 0180-0186)	1	Annually (on or before March 31st)
2	Class A & Class B (Natural Gas Companies)	0101-0181, 0187, 0189, 0216-0238, (less 0103, 0109, 0118, 0119, 0131, 0165, 0166)	2	Annually (on or before March 31st)
3	Class C & Class D (Electric Utilities and Licensees)	0101, 0102, 0104, 0105, 0108, 0111, 0112, 0124, 0132, 0133, 0141, 0144, 0159, 0161-0163, 0188	1F	Annually (on or before March 31st)
4	Federal Projects and Municipal (Electric)	0190-0198, 0837-0839 (less 0193)	1M	Annually (3 months following close of fiscal year for municipalities and Federal Projects report on fiscal year usually corresponding with FY of Government)
5	Class C & Class D	0101, 0102, 0104, 0105, 0107, 0108, 0111, 0112, 0124, 0132, 0133, 0141, 0144, 0159, 0161-0163, 0188	2A	Annually (on or before March 31st)
6	Licensed Projects (covers all major)	0199-0202, 0203-0212, 0639-0640, 0700-0703, 0706	9	Annually (on or before April 30th)
			80	Each odd numbered year on or before April 30th

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		FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM		3 of 11
Subgroup	Respondent Category	Schedule Number(s)	Old FPC Form	Submission Date
Monthly Submission				
1	Class A & Class B (Electric Utilities)	0165, 0166, 0215	5	Monthly (40 days after end of reporting month)
2	Class A & Class B (Natural Gas Companies whose combined gas sales for resale and gas transported or stored for a fee exceeding 50 million MCF)	0182-0186, 0215	11	Monthly (40 days after end of reporting month)
Each schedule is identified by a schedule number and title (see Appendix 1, List of Corporate and Financial Schedules).				

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		FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM		4 of 11
II. STANDARD DEFINITIONS AND CODES				
<b>A. UNIFORM SYSTEMS OF ACCOUNTS</b> The required submission for Class A, Class B, Class C and Class D natural gas pipeline and electric utilities and licensees will be prepared in conformity with the "Uniform Systems of Accounts for Natural Gas Companies" or "Uniform Systems of Accounts for Public Utilities and Licensees" prescribed by Part 101, 104, 201 and 204 of Title 18 CFR. All mention of accounts, accounting requirements and terminology are to be interpreted in accordance with the said classifications. For Municipal Electric Utilities and Federal Projects, the required submissions should be filled out in accordance with the individual schedule instructions which provide titles of data requirements to fit special respondent cases. Account numbers are related to each of the data titles to assist internal processing of data.				
<b>B. ACCOUNT NUMBERING SYSTEM</b> The new reporting system requires five digit numbers. In reporting the account numbers for the Uniform Systems of Accounts, the respondent must add zeros, either one or two as appropriate, after the number to complete the five digit system. For example, Account 101, Plant in Service, must be reported as Number 101.00; Account 419.1, Allowance for Funds Used During Construction, must be reported as 419.10.				
<b>C. SUPPLEMENTAL ACCOUNT NUMBERS</b> A system of Supplemental Account Numbers have been developed to accommodate the processing of the following types of information: <ul style="list-style-type: none"> <li>• Required data items that do not have assigned Uniform Systems of Account numbers (e.g., total assets, net income, etc.)</li> <li>• Respondents with several reporting departments (e.g., electric, gas, steam, common, other)</li> </ul>				

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• Required additional breakdown of data from existing account numbers (e.g., depreciation expense, deferred taxes, etc.)  
These categories of information add to and/or expand the data identified by the Uniform Systems of Accounts. The Supplemental Account Numbers are a necessary control to insure uniform reporting of certain data elements and will assist in the efficient processing of the various categories of information.

From the above considerations, many Supplemental Account Numbers are expressed using previously undefined numbers from the Uniform Systems of Accounts:

- A three digit code (e.g., 010.00 - Sources of Funds - Operation - Net Income) or
- A three digit code with decimal (e.g., 046.10 - Total Salaries and Wages)

Further, some existing accounts in the Uniform Systems of Accounts were expanded by addition of a decimal, as:

- Accumulated Deferred Income Taxes - Accelerated Amortization (281) expanded to
- Accumulated Deferred Income Taxes - Accelerated Amortization - Pollution Control Facilities (281.20)

Finally, to indicate reporting by different departments internal to the respondent's operations, a second decimal place was added to any existing Uniform System of Account number or any new Supplemental Account number as shown in the following examples:

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<u>Example 1:</u>	
Uniform System of Account Number	<u>Description</u>
101	Plant in Service
expanded to -	
Supplemental Account	<u>Description</u>
Electric Respondents	
101.01	Plant in Service - Electric
101.02	Plant in Service - Gas
101.03	Plant in Service - Common
101.04	Plant in Service - Steam
101.05	Plant in Service - Other
Gas Respondents	
101.01	Plant in Service - Gas
101.02	Plant in Service - Electric
101.03	Plant in Service - Common
101.05	Plant in Service - Other
<u>Example 2:</u>	
Supplemental Account	<u>Description</u>
281.20	Accumulated Deferred Income Taxes - Accelerated Amortization - Pollution Control Facilities
expanded to -	
Electric Respondents	
281.21	Accumulated Deferred Income Taxes - Accelerated Amortization - Pollution Control Facilities - Electric
281.22	Accumulated Deferred Income Taxes - Accelerated Amortization - Pollution Control Facilities - Gas
Gas Respondents	
281.21	Accumulated Deferred Income Taxes - Accelerated Amortization - Pollution Control Facilities - Electric
281.22	Accumulated Deferred Income Taxes - Accelerated Amortization - Pollution Control Facilities - Gas

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<p>The instructions for each schedule list the specific account numbers or indicate where the respondent is required to report departmental information on the basis of supplemental account numbers.</p> <p><b>D. SELECTED DEFINITIONS</b></p> <p>The following definitions pertain to the indicated subgroups of Corporate and Financial schedules:</p> <p><b>1. Annual Submission (Natural Gas Pipeline Respondents)</b></p> <p><b>a. Gas Volumes:</b> All gas volumes will be reported in MCF rounded to three decimal places. Pressure base of gas volumes reported will be 14.73 PSIA at 60° Fahrenheit, pursuant to Exhibit H of Circular No. A-46, of the Bureau of the Budget Issued February 1966.</p> <p><b>b. Natural Gas</b> means either natural gas unmixed or any mixture of natural and manufactured gas.</p> <p><b>c. BTU per Cubic Foot:</b> The total heating value expressed in BTU, produced by the combustion, at a constant pressure, of the amount of the gas which would occupy a volume of one cubic foot at a temperature of 60° Fahrenheit if saturated with water vapor and under a pressure equivalent to that of 30 inches of mercury at 32° Fahrenheit and under standard gravitational force (980.665 cm. per second<sup>2</sup>) with air of the same temperature and pressure as the gas, when the products of combustion are cooled to the initial temperature of gas and air when the water formed by combustion is condensed to the liquid state. (Sometimes called gross heating value or total heating value).</p> <p><b>2. Annual Submission (Electric Operating Respondents)</b></p> <p><b>a. Electric Plant:</b> A unit or group of units of the same or different generating type as long as they can be considered at the same physical site.</p> <p><b>b. Electric System:</b> The physically connected generation, transmission, distribution, and other facilities operated as an integral unit under one control, management, or operating supervision.</p>		

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	8 of 11
<p><b>c. Electric Utility:</b> All enterprises engaged in the production and/or transmission and/or distribution of electricity for use by the public, including investor-owned, cooperatively-owned, government-owned (municipal systems, Federal agencies, state projects, and public power districts); and where the data are not separable, those industrial plants contributing to the public supply.</p> <p><b>3. Annual Submission (Licenses)</b></p> <p><b>a.</b> The term "project" means all the property covered by a single license number.</p> <p><b>b.</b> The term "Federal Project" means those electric projects operating under the supervision of the Alaska Power Administration, Bonneville Power Authority, Bureau of Indian Affairs, Colorado River Storage Project, Southeastern Power Administration, Southwestern Power Administration, Tennessee Valley Authority, U.S. Bureau of Reclamation, and U.S. Corps of Engineers.</p> <p><b>4. Monthly Submission (Natural Gas Pipeline Respondents)</b></p> <p><b>a.</b> Imports are gas receipts into the United States of America from a foreign country.</p> <p><b>b.</b> Exports are gas deliveries out of the United States of America to a foreign country.</p> <p><b>c.</b> Firm Gas Sales to industrial customers are those made under schedules or contracts which anticipate no interruption.</p> <p><b>d.</b> Off-Peak Gas Sales to industrial customers are those made under schedules or contracts on a firm basis for periods specified for other than times of seller's peak requirements.</p> <p><b>e.</b> Interruptible Gas Sales to industrial customers are those made under schedules or contracts which provide for curtailment by the seller in order to protect service to firm customers.</p>		

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III. GENERAL INSTRUCTIONS

A. ALL SCHEDULES

1. Information on Utility Departments

For companies having more than one utility department, report information on the basis of total company operations except where otherwise requested on the particular schedule.

2. Changes in Previous Year Reported Figures

Beginning of the year balances are not required on most of the financial schedules. Where amounts reported in the previous year (balance end of year) do not agree with beginning of year amounts for any reason, the respondent shall furnish an explanation of the reason in a footnote.

B. ANNUAL SUBMISSION SCHEDULES

1. Additional Submission

In addition to filing the Annual Submission of Schedules, each respondent shall also file with the Commission, immediately upon publication, five copies of its latest annual report to stockholders and of any annual financial or statistical report regularly prepared and distributed to bond-holders, security analysts, or industry associations. If reports to stockholders are not prepared, so state on Schedule 0112, "Annual Notes to Financial Data".

2. Letter by Certified Public Accountant

Class A and Class B respondents shall file with the original and each copy of the "Annual Submission of Schedules" a letter or report (required by Sections 41.10 - 41.12 of the Commission's Regulations under the Federal Power Act or Sections 158.10 - 158.12 of the Commission's Regulations under the Natural Gas Act) signed by independent certified accountants or independent licensed public accountant, certified or licensed

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by a regulatory authority of a State or other political subdivision of the United States until December 31, 1975 and beginning January 1, 1976, and each year thereafter, only independent certified public accountants and independent licensed public accountants (licensed on or before December 31, 1970) will be authorized in attesting to the conformity, in all material respects, of the following schedules in this report with the Commission's applicable Uniform Systems of Accounts (statement certification includes applicable notes relating thereto) and published Commission accounting releases:

Schedule Number	Description
0124	List of Accounts (Part I or Part II)
0133	Long Term Debt
0136	Computation of Federal Income Tax Accruals for the Year
0137	Consolidated Federal Income Tax Return Information
0138	Accumulated Deferred Income Taxes
0139	Accelerated Amortization of Facilities
0140	Tax Deferrals Related to Other Property
0143	Particulars for Estimating Depreciation, Depletion and Amortization of Utility Plant
0144	Plant in Service or All Utility Plants
0145	Completed Construction Not Classified
0149	Plant and Production Properties Held for Future Use
0150	Construction Work in Progress
0151	Accumulated Provision for Depreciation of Utility Plant - Part I
0152	Accumulated Provision for Depreciation of Utility Plant - Part II
0154	Common Plant and Expense Data - Part II

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RIS		FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	
Schedule Number	Description	11 of 11	
0155	Common Plant and Expense Data - Part I		
0159	Operating Revenue		
0162	Detailed Account Data - Part II		
0163	Detailed Account Data - Part III (Only Information Pertaining to Retained Earnings Accounts)		
0164	Detailed Account Data - Part IV (Only Information Pertaining to Plant Materials and Operating Supplies and Prepayments (Gas Respondents))		
0187	Reconciliation of Reported Net Income with Taxable Income		
0216-0222	Financial Statement - Balance Sheet		
0223-0234	Financial Statement - Statement of Income for the Year		
0235	Financial Statement - Statement of Retained Earnings for the Year		
0236-0238	Financial Statement - Statement of Changes in Financial Position		

The letter or report shall be in the following form unless unusual circumstances or conditions, explained in the letter or report, demand that it be varied:

In connection with our regular examination of the financial statements of \_\_\_\_\_ for the year ended \_\_\_\_\_, on which we have reported separately under date of \_\_\_\_\_, we have also reviewed schedules \_\_\_\_\_ of the annual submission for the year filed with the Federal Power Commission, for conformity in all material respects with the requirements of the Federal Power Commission as set forth in its applicable Uniform Systems of Accounts and published Commission accounting releases. Our review for this purpose include such tests of the accounting records and such other auditing procedures as we considered necessary in the circumstances. Based on our review, in our opinion the accompanying schedules identified in the preceding paragraph (except as noted below)\* conform in all material respects with the accounting requirements of the Federal Power Commission as set forth in its applicable Uniform Systems of Accounts and published accounting releases.

\* Parenthetical phrase inserted only when exceptions are to be reported.

The letter or report shall state, additionally, which, if any, of the schedules set forth above do not conform to the Commission's requirements, and shall describe the discrepancies that exist.

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Attachment C

FEDERAL POWER COMMISSION

Corporate and Financial Reports For Class A and Class B  
Natural Gas Companies

	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
DETAILED INSTRUCTIONS: SCHEDULE 0100	INDEX OF FPC PUBLIC USE SCHEDULES SUBMITTED 1 of 1

I. DESCRIPTION

This schedule shall be used to identify on a per packet submission basis, the schedules which were submitted by each respondent.

II. GENERAL INFORMATION

A. This schedule shall be submitted by all Federal Power Commission respondents.

B. This schedule shall be completed for each packet of schedules submitted to the Federal Power Commission.

C. The report period date required on line two of this schedule shall be the final date of the period covered by the submission packet, i.e., if data is reported on a calendar year basis, the date to be reported is December 31, 1976, in the format  
MMDDYY  
1231/76.

III. DETAILED INSTRUCTIONS

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Data Field Number	Instructions
1	Date Received in Mail Room (N6): This data field is for Federal Power Commission Internal Processing only. (RDDATE)
2	Date Received in DECC (N6): This data field is for Federal Power Commission Internal Processing only. (RDDATE)
3 (Key)	Schedule Number (N4): Enter the schedule number of each schedule being submitted in this submission packet.
4	Schedule Contact Name (A35): Enter the name of the individual to contact about the schedule number reported in data field 3 above, the form F for the name; Last Name, First Name or Initial, Middle Initial. (IDNAME)
5	Schedule Contact Telephone Number (A12): Enter the area code and telephone number, in the format NNN-NNN-NNNN. Be certain to over strike the preprinted hyphens (-).
6	Number of Pages (N4) N0: Enter the number of pages submitted for the schedule reported in data field 3 above.
7	Indicate Primary Reporting Media, Hardcopy or Tape (N1): Enter "1" if hardcopy is being submitted; or enter "2" if tape is being submitted. (INEROK)

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<p><b>RIS</b> FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM</p>		2 of 2
<p>DETAILED INSTRUCTIONS: SCHEDULE 0101 GENERAL CORPORATE INFORMATION</p>		
Data Field Number	Instructions	
7	Public Accountant (A22): Name of respondent's independent certified public accountant or independent licensed public accountants (licensed on or before December 31, 1970). (IDNAME)	
8	Address of Public Accountant (A22): Report state and city. Enter the state abbreviation, from the Register of Data Standards, <u>IDSTAT</u> , followed by the city name (IDCITY).	
9	Date Public Accountant Initially Engaged (N6): State the date the public accountant was initially engaged, in the format MDDYY. The latest year should only be shown if a change in accountants was made during the year. (IDDATE)	
10 (Key)	Type of Service (A3): Enter separately each type of utility service furnished, from the following alphabetic abbreviations: (MUTIL)  GAS = Gas ELC = Electric WTR = Water OTH = Other	
11 (Key)	State of Service (A2): Enter the abbreviation for each state where respondent supplies the service listed in data field 10 above, from the Register of Data Standards, <u>IDSTAT</u> .	

<p><b>RIS</b> FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM</p>		1 of 2
<p>DETAILED INSTRUCTIONS: SCHEDULE 0101 GENERAL CORPORATE INFORMATION</p>		
<p>I. DESCRIPTION</p> <p>This schedule is used to collect general corporate information for a basic profile on the respondent.</p>		
<p>II. GENERAL INFORMATION</p> <p>A. This schedule shall be submitted by Class A, Class B, Class C and Class D Natural Gas and Electric respondents.</p> <p>B. Respondents shall complete all data fields on this schedule in their first submission. On subsequent submissions, respondents shall submit only appropriate identification (key data fields) and changed values, additions, and deletions, however, if data fields 10 and 11 are not being submitted, do not complete them as key data fields.</p> <p>C. Report in data fields 1-9 general information on location of books, incorporation data and public accountants. Report in both data fields 10 and 11 information on the type of service provided (10) and the state(s) where the service is provided (11).</p>		
<p>III. DETAILED INSTRUCTIONS</p> <p>The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:</p>		
Data Field Number	Instructions	
1 (Key)	Name of Officer with Custody of Corporate Books (A22): (IDNAME)	
2	Location of Main Books (A22): Report the state and city of office where general corporate books are kept. Enter the state abbreviation, from the Register of Data Standards, <u>IDSTAT</u> , in the first two positions of this data field, followed by the city name (IDCITY).	
3	Location of Other Books (A22): Report the state and city of office where any other corporate books of accounts are kept, if different from that at which the general corporate books are kept. Enter the state abbreviation, from the Register of Data Standards, <u>IDSTAT</u> , in the first two positions of this data field, followed by the city name (IDCITY).	
4	Incorporation State (A2): Enter the state abbreviation, from the Register of Data Standards, <u>IDSTAT</u> .	
5	Incorporation Date (N6): Date respondent was incorporated, in the format MDDYY. (IDDATE)	
6	Special Law Reference (A20): If incorporated under a special law, give reference to such law. If not incorporated, give type of organization. (IDDESC)	

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM  CONTROL OVER RESPONDENT	1 of 2
DETAILED INSTRUCTIONS: SCHEDULE 0102		
<p><b>I. DESCRIPTION</b></p> <p>This schedule is used to collect information on any corporations, business trusts or similar organizations or combinations of such organizations which held control over the respondent any time during the year.</p> <p style="text-align: center;"><b>II. GENERAL INFORMATION</b></p> <p>A. This schedule shall be submitted by Class A, Class B, Class C and Class D Natural Gas and Electric respondents. Class C and Class D respondents complete data fields 1 thru 4 only.</p> <p>B. Respondents shall complete all data fields on this schedule in their first submission. On subsequent submissions, respondents shall submit only appropriate identification (key data fields) and changed values, additions, and deletions.</p> <p>C. In completing this schedule refer to the Uniform System of Accounts for a definition of control.</p> <p>To summarize:</p> <p style="padding-left: 20px;">Direct control is that which is exercised without interposition of an intermediary.</p> <p style="padding-left: 20px;">Indirect control is that which is exercised by the interposition of an intermediary which exercises direct control.</p> <p style="padding-left: 20px;">Joint control is that in which neither interest can effectively control or direct action without the consent of the other, as where the voting control is equally divided between two holders, or each party holds a veto power over the other. Joint control may exist by mutual agreement or understanding between two or more parties who together have control within the meaning of the definition of control in the Uniform System of Accounts, regardless of the relative voting rights of each party.</p> <p>D. Report in data fields 1-3 information on companies who directly control the respondent. Report in data field 4 if control over respondent was held under a trust arrangement. For natural gas respondents, if other companies are controlled by the organization listed in data field 1, list in data field 5 the name of each such company and complete data fields 6 and 7. For electric respondents, if control over respondents was in a holding company, list in data field 5 the name of each company in the chain of ownership from respondent to parent organization and complete data field 7.</p> <p style="text-align: center;"><b>III. DETAILED INSTRUCTIONS</b></p> <p>The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:</p>		
Data Field Number	Instructions	
1 (Key)	Name of Controlling Corporation or Organization (A35): (IDNAME)	Instructions

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM  CONTROL OVER RESPONDENT	2 of 2
DETAILED INSTRUCTIONS: SCHEDULE 0102		
<p><b>I. DESCRIPTION</b></p> <p>This schedule is used to collect information on any corporations, business trusts or similar organizations or combinations of such organizations which held control over the respondent any time during the year.</p> <p style="text-align: center;"><b>II. GENERAL INFORMATION</b></p> <p>A. This schedule shall be submitted by Class A, Class B, Class C and Class D Natural Gas and Electric respondents. Class C and Class D respondents complete data fields 1 thru 4 only.</p> <p>B. Respondents shall complete all data fields on this schedule in their first submission. On subsequent submissions, respondents shall submit only appropriate identification (key data fields) and changed values, additions, and deletions.</p> <p>C. In completing this schedule refer to the Uniform System of Accounts for a definition of control.</p> <p>To summarize:</p> <p style="padding-left: 20px;">Direct control is that which is exercised without interposition of an intermediary.</p> <p style="padding-left: 20px;">Indirect control is that which is exercised by the interposition of an intermediary which exercises direct control.</p> <p style="padding-left: 20px;">Joint control is that in which neither interest can effectively control or direct action without the consent of the other, as where the voting control is equally divided between two holders, or each party holds a veto power over the other. Joint control may exist by mutual agreement or understanding between two or more parties who together have control within the meaning of the definition of control in the Uniform System of Accounts, regardless of the relative voting rights of each party.</p> <p>D. Report in data fields 1-3 information on companies who directly control the respondent. Report in data field 4 if control over respondent was held under a trust arrangement. For natural gas respondents, if other companies are controlled by the organization listed in data field 1, list in data field 5 the name of each such company and complete data fields 6 and 7. For electric respondents, if control over respondents was in a holding company, list in data field 5 the name of each company in the chain of ownership from respondent to parent organization and complete data field 7.</p> <p style="text-align: center;"><b>III. DETAILED INSTRUCTIONS</b></p> <p>The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:</p>		
Data Field Number	Instructions	
2	Description of Manner of Control (A40): (IDDESC)	Instructions
3	Extent of Control (N3) PCT: Enter the extent of control in per cent, rounded to the nearest per cent.	Instructions
4	Description of Trust Arrangements (A40): If control was held by a trustee(s), state name of trustee(s), name of beneficiary or beneficiaries for whom trust was maintained, and purpose of trust. (IDDESC)	Instructions
5 (Key)	Other Controlled Company (A35): If other companies are controlled by the organization which holds control over the respondent, list the names of such companies in this data field. (IDNAME)	Instructions
6	Kind of Business (A27): State the kind of business engaged in by the company listed in data field 5 above. This data field is not applicable to electric respondent. (IDDESC)	Instructions
7	Extent of Control (N3): State the tier placement of the company listed in data field 5, above, to the controlling corporation or organization reported in field 1, above, by the following example of codes: (NRGADL)  001 - first tier-subsubsidiary 002 - second tier-subsubsidiary of tier 1 003 - third tier-subsubsidiary of tier 2	Instructions

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	CORPORATIONS CONTROLLED BY RESPONDENT	1 of 2
	DETAILED INSTRUCTIONS: SCHEDULE 0104		

I. DESCRIPTION

This schedule is used to collect information on all corporations, business trusts, joint ventures and similar organizations controlled directly or indirectly by the respondent at any time during the year.

II. GENERAL INFORMATION

A. This schedule shall be submitted by Class A, Class B, Class C and Class D Natural Gas and Electric respondents.

B. Respondents shall complete all data fields on this schedule in their first submission. On subsequent submissions, respondents shall submit only appropriate identification (key data fields) and changed values, additions, and deletions.

C. In completing this schedule, refer to the Uniform System of Accounts for a definition of control.

To summarize:

Direct control is that which is exercised without interposition of an intermediary.

Indirect control is that which is exercised by the interposition of an intermediary which exercises direct control.

Joint control is that in which neither interest can effectively control or direct action without the consent of the other, as where the voting control is equally divided between two holders, or each party holds a veto power over the other. Joint control may exist by mutual agreement or understanding between two or more parties who together have control within the meaning of the definition of control in the Uniform System of Accounts, regardless of the relative voting rights of each party.

III. DETAILED INSTRUCTIONS

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Data Field Number	Instructions
1 (Key)	Name of Company Controlled (A35): (IDNAME)
2	Kind of Business (A30): (IDDESC)
3	Per Cent Voting Stock Owned (N3) PCT: Enter the per cent of voting stock owned, rounded to nearest per cent.
4	Particulars for Corporation Controlled (A144): If control ceased at any time during the year, furnish particulars regarding companies involved, manner of disposition and any other important aspects.

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	CORPORATIONS CONTROLLED BY RESPONDENT	2 of 2
	DETAILED INSTRUCTIONS: SCHEDULE 0104		

Instructions

Data Field Number  
4 (cont'd)

If control was by other means than a direct holding of voting rights, state the manner in which control was held, naming any intermediaries involved.

If control was held jointly with one or more other interests, state the fact and name the other interests; however, state if corporation is inactive. (ID=SC)

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
	DETAILED INSTRUCTIONS: SCHEDULE 0105	CORPORATE OFFICERS/DIRECTORS

**I. DESCRIPTION**

This schedule is used to collect data on each general officer and director of the respondent who held office at any time during the year.

**II. GENERAL INFORMATION**

A. This schedule shall be submitted by the following categories of respondents:

Respondent Category	Data Fields
(1) Class A and Class B (Natural Gas and Electric)	All
(2) Class C and Class D (Natural Gas and Electric)	1-7,9,10

B. Respondents shall complete all data fields on this schedule in their first submission. On subsequent submissions, respondents shall submit only appropriate identification (key data fields) and changed values, additions, and deletions.

C. Report the particulars applicable to all officers and/or directors of the respondent for the reporting year. Other employees of the respondent whose annual salary is \$25,000 per year or more (\$35,000, if respondent's annual operating revenues are \$50,000,000 or more) must also be reported on Schedule 0106, Other Corporate Employees.

D. If an individual is both an officer and director of the respondent, report separately the information called for in this schedule, once for the position of officer and once for the position of director.

**III. DETAILED INSTRUCTIONS**

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Data Field Number	Instructions
1 (Key)	Officer's/Director's Last Name (A15): (IDNAME)
2 (Key)	Officer's/Director's First Name and Middle Initial (A15): Enter the full first name and initial(s), separated by a space. (IDNAME)
3	Officer's/Director's Business Address (A22): For officers, enter the financial business address if different from the respondent's address. For directors, enter the principal business address if different from company address. Enter the two character abbreviation, from the Registrar of Data Standards, INSTAB, followed by city name (UCITY).

	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
	DETAILED INSTRUCTIONS: SCHEDULE 0105	CORPORATE OFFICERS/DIRECTORS

**Instructions**

Officer/Director Category (N1): Enter the one digit numeric code as follows: (TYOFDR)

1 = Officer  
 2 = Director  
 3 = Both  
 9 = Other

Officer/Director Title (A30): Enter the officer's and/or director's title at the end of the reporting year. If any officer/director retired during the year, write the word "retired" in this data field. If any officer/director otherwise left the respondent during the year, leave this data field blank. Abbreviate as necessary. Examples: V.P. - Vice President, Treas. - Treasurer, Sec. - Secretary, etc. (IDDESC)

Officer/Director Salary - Fee (N6) DOL: Report any salaries or regular fees paid during the year.

Director/Member of Executive Committee (N1): Indicate "1" for chairman of the executive committee; "2" for member of that committee; or "3" for neither. (TYEXCO)

Officer/Director Retirement Benefits (N6) DOL: Enter the annual benefits estimated to be payable to each of the three highest paid officers, and any director who is not an officer named herein, in the event of retirement at normal retirement date, pursuant to any pension or retirement plan.

Officer/Director Date Position or Term Began (N6): Enter the date, in the format MDDYY, each officer first began the position listed in data field 5 above. Enter the date each director was first elected to the position of director. (YDATE)

Officer/Director Date Retired or Otherwise Separated (N6): Enter the date, in the format MDDYY, that any officer or director retired or otherwise left the respondent during the year. (YDATE)

Director Meetings Attended (N2) N0: Enter the number of meetings each director attended during the past year.

Officer/Director Special Remuneration Value (N8) DOL: If any officer and/or director reported in this schedule received remuneration from respondent, directly or indirectly, other than from salary and/or fees reported in data field 6 above, enter the value of the remuneration (in dollars).

<b>RIS</b> FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	CORPORATE OFFICERS/DIRECTORS	3 of 3
	DETAILED INSTRUCTIONS: SCHEDULE 0105	

Data Field Number	Instructions
13	<p>Officer/Director Special Remuneration Type (A44): Enter the particulars concerning any special remuneration received by any officer and/or director reported in data field 12 above. Give the essentials of any plan for remuneration and/or the basis for determining the ultimate benefits received by each officer and/or director. (IDDESC)</p>
14	<p>Officer/Director Insurance Type (A126): State briefly any arrangement paid for by the respondent on behalf of any officer or director, such as life insurance and indemnity or liability insurance, etc., and the value of such arrangement. If the insurance benefits listed in this data field apply to all officers/directors, report the required information only once under the officer or director whose title is President or Chairman of the Board. (IDDESC)</p>

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	1 of 1
DETAILED INSTRUCTIONS: SCHEDULE 0106 OTHER CORPORATE EMPLOYEES		1 of 1

I. DESCRIPTION

This schedule is used to collect selected information on corporate employees other than officers and directors of the respondent.

II. GENERAL INFORMATION

A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents.

B. Respondents shall complete all data fields on this schedule annually.

C. List employees other than officers and directors who received salaries according to the following limits:

(1) \$25,000 or greater if operating revenues are under \$50,000,000.

(2) \$35,000 or greater if operating revenues are over \$50,000,000.

III. DETAILED INSTRUCTIONS

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Data Field Number	Instructions
1 (Key)	Employee Last Name (A15): (IDNAME)
2	Employee First Name and Middle Initial (A11): (IDNAME)
3	Employee Title (A30): (IDDESC)
4	Employee Salary (N6) DOI: State the salary paid the employee for the reporting year (in dollars).

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	1 of 1
DETAILED INSTRUCTIONS: SCHEDULE 0107 SECURITY HOLDERS AND VOTING POWERS - TOTAL.		1 of 1

I. DESCRIPTION

This schedule is used to collect summary information on the total number of votes and total holders of voting securities of the respondent.

II. GENERAL INFORMATION

A. This schedule shall be submitted by Class A and Class-3 Natural Gas and Electric respondents and Class C and Class D Natural Gas respondents.

B. Class A and Class B respondents shall complete all data fields on this schedule in their first submission. Class C and Class D respondents shall complete data fields 1-3 and 7. On subsequent submissions, respondents shall submit only appropriate identification (key data fields) and changed values, additions, and deletions.

C. Data should be reported as of the latest record date as specified in data field 7.

D. Separate issues of preference stock should be totaled and reported in data fields 2 and 5.

III. DETAILED INSTRUCTIONS

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Data Field Number	Instructions
1 (Key)	Total Votes - Common Stock (N9) NO.
2	Total Votes - Preferred Stock (N9) NO.
3	Total Votes - Other Voting Securities (N9) NO.
4	Total Number of Holders - Common Stock (N9) NO.
5	Total Number of Holders - Preferred Stock (N9) NO.
6	Total Number of Holders - Other Voting Securities (N9) NO.
7	Latest Record Date (N6): Enter the latest record date, in the format MDDYY. (YDATE)

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM SECURITY HOLDERS AND VOTING POWERS	1 of 1																
DETAILED INSTRUCTIONS: SCHEDULE 0108																		
<p style="text-align: center;"><u>I. DESCRIPTION</u></p> <p>This schedule is used to collect detailed information on holders of the respondent's outstanding securities and voting power thereof, to include:</p> <p>(a) the ten security holders with the highest voting powers and,</p> <p>(b) the security holdings and voting powers of any officer or director of the respondent not included in (a) above.</p> <p style="text-align: center;"><u>II. GENERAL INFORMATION</u></p> <p>A. This schedule shall be completed by Class A, Class B, Class C and Class D Natural Gas and Electric respondents.</p> <p>B. Respondents shall complete all data fields on this schedule in their first submission. On subsequent submissions, respondents shall submit only appropriate identification (key data fields) and changed values, additions and deletions.</p> <p>C. In data fields 4-7, state the number of votes which each security holder would have had the right to cast on the latest record date, if a meeting were then in order. The latest record date should be reported on Schedule 0107, data field 7.</p> <p style="text-align: center;"><u>III. DETAILED INSTRUCTIONS</u></p> <p>The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field numbers:</p> <p style="text-align: center;"><u>Data Field Number</u></p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 5%; text-align: left;">1 (Key)</th> <th style="width: 95%; text-align: left;">Instructions</th> </tr> </thead> <tbody> <tr> <td style="vertical-align: top;">2</td> <td>Name of Security Holder (A35): (INDNAME)</td> </tr> <tr> <td style="vertical-align: top;">3</td> <td>Location of Security Holder (A22): Enter the state abbreviation in the first two positions of the data field, from the Register of Data Standards, INSTAL, followed by the city name (INDCITY).</td> </tr> <tr> <td style="vertical-align: top;">4</td> <td>Officer/Director Indicator (N1): If the security holder named in data field 1 above, is an officer or director within the respondent's organization, enter "1"; if not, enter "0". (INDOND)</td> </tr> <tr> <td style="vertical-align: top;">5</td> <td>Total Number of Votes (N6) NO.</td> </tr> <tr> <td style="vertical-align: top;">6</td> <td>Votes - Common Stock (N8) NO.</td> </tr> <tr> <td style="vertical-align: top;">7</td> <td>Votes - Preferred Stock (N9) NO.</td> </tr> <tr> <td style="vertical-align: top;">7</td> <td>Votes - Other Voting Securities (N8) NO.</td> </tr> </tbody> </table>			1 (Key)	Instructions	2	Name of Security Holder (A35): (INDNAME)	3	Location of Security Holder (A22): Enter the state abbreviation in the first two positions of the data field, from the Register of Data Standards, INSTAL, followed by the city name (INDCITY).	4	Officer/Director Indicator (N1): If the security holder named in data field 1 above, is an officer or director within the respondent's organization, enter "1"; if not, enter "0". (INDOND)	5	Total Number of Votes (N6) NO.	6	Votes - Common Stock (N8) NO.	7	Votes - Preferred Stock (N9) NO.	7	Votes - Other Voting Securities (N8) NO.
1 (Key)	Instructions																	
2	Name of Security Holder (A35): (INDNAME)																	
3	Location of Security Holder (A22): Enter the state abbreviation in the first two positions of the data field, from the Register of Data Standards, INSTAL, followed by the city name (INDCITY).																	
4	Officer/Director Indicator (N1): If the security holder named in data field 1 above, is an officer or director within the respondent's organization, enter "1"; if not, enter "0". (INDOND)																	
5	Total Number of Votes (N6) NO.																	
6	Votes - Common Stock (N8) NO.																	
7	Votes - Preferred Stock (N9) NO.																	
7	Votes - Other Voting Securities (N8) NO.																	

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM OTHER STOCKHOLDER INFORMATION	1 of 1														
DETAILED INSTRUCTIONS: SCHEDULE 0110																
<p style="text-align: center;"><u>I. DESCRIPTION</u></p> <p>This schedule is used to collect details on the latest stockholders' annual meeting and any stock book closings during the year.</p> <p style="text-align: center;"><u>II. GENERAL INFORMATION</u></p> <p>A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents.</p> <p>B. Respondents shall complete all data fields on this schedule annually.</p> <p style="text-align: center;"><u>III. DETAILED INSTRUCTIONS</u></p> <p>The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field numbers:</p> <p style="text-align: center;"><u>Data Field Number</u></p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 5%; text-align: left;">1 (Key)</th> <th style="width: 95%; text-align: left;">Instructions</th> </tr> </thead> <tbody> <tr> <td style="vertical-align: top;">2</td> <td>Date of Latest Stock Book Closing (N6): Enter the date of the latest closing of the stock book prior to end of year in the format MMDDYY. (INDATE)</td> </tr> <tr> <td style="vertical-align: top;">3</td> <td>Purpose of Closing (A30): Explain the purpose of the closing of the stock book. (IDDESC)</td> </tr> <tr> <td style="vertical-align: top;">4</td> <td>Total Votes Cast (N8) NO: Enter total number of votes cast for directors at the latest stockholders meeting.</td> </tr> <tr> <td style="vertical-align: top;">5</td> <td>Total Proxy Votes (N8) NO: Enter total number of proxy votes cast for directors at the latest stockholders meeting.</td> </tr> <tr> <td style="vertical-align: top;">6</td> <td>Date of Latest General Stockholder Meeting (N6): Enter the date, in the format MMDDYY. (INDATE)</td> </tr> <tr> <td style="vertical-align: top;">6</td> <td>Location of Latest General Stockholder Meeting (A22): Enter the state abbreviation in the first two positions of the data field, from the Register of Data Standards, INSTAL, followed by the city name (INDCITY).</td> </tr> </tbody> </table>			1 (Key)	Instructions	2	Date of Latest Stock Book Closing (N6): Enter the date of the latest closing of the stock book prior to end of year in the format MMDDYY. (INDATE)	3	Purpose of Closing (A30): Explain the purpose of the closing of the stock book. (IDDESC)	4	Total Votes Cast (N8) NO: Enter total number of votes cast for directors at the latest stockholders meeting.	5	Total Proxy Votes (N8) NO: Enter total number of proxy votes cast for directors at the latest stockholders meeting.	6	Date of Latest General Stockholder Meeting (N6): Enter the date, in the format MMDDYY. (INDATE)	6	Location of Latest General Stockholder Meeting (A22): Enter the state abbreviation in the first two positions of the data field, from the Register of Data Standards, INSTAL, followed by the city name (INDCITY).
1 (Key)	Instructions															
2	Date of Latest Stock Book Closing (N6): Enter the date of the latest closing of the stock book prior to end of year in the format MMDDYY. (INDATE)															
3	Purpose of Closing (A30): Explain the purpose of the closing of the stock book. (IDDESC)															
4	Total Votes Cast (N8) NO: Enter total number of votes cast for directors at the latest stockholders meeting.															
5	Total Proxy Votes (N8) NO: Enter total number of proxy votes cast for directors at the latest stockholders meeting.															
6	Date of Latest General Stockholder Meeting (N6): Enter the date, in the format MMDDYY. (INDATE)															
6	Location of Latest General Stockholder Meeting (A22): Enter the state abbreviation in the first two positions of the data field, from the Register of Data Standards, INSTAL, followed by the city name (INDCITY).															

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	NOTES TO SECURITY HOLDERS AND VOTING POWERS	2 of 2
	DETAILED INSTRUCTIONS: SCHEDULE 0111		

Data Field Number	Instructions
2 (Key)	Type of Note Sequence Number (N1): Use successive numbers to designate more than one occurrence of specific type of security or item listed in data field 1 above. If only one occurrence for a particular item, report "1". (NRCADL)
3 (Key)	Entry Continuation Number (N1): Use successive numbers to designate continuation entries of textual information for a particular item listed in data field 1. If only one textual entry is needed to report the required disclosure, enter "1". (NRCADL)
4	Text of Note (A249): (IDDESC)

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	NOTES TO SECURITY HOLDERS AND VOTING POWERS	1 of 2
	DETAILED INSTRUCTIONS: SCHEDULE 0111		

**I. DESCRIPTION**

This schedule is used to collect additional information related to (a) stock options, warrants or rights outstanding at end of the year, and (b) the voting rights and privileges carried by any security other than the respondent's common and preferred stock.

**II. GENERAL INFORMATION**

A. This schedule shall be submitted by Class A, Class B, Class C and Class D Natural Gas and Electric respondents.

B. Respondents shall complete all data fields on this schedule annually as necessary to support schedule Nos. 0107 and 0108.

C. This schedule supports information included on two schedules:  
 Schedule 0107 - "Security Holders and Voting Powers - Total"  
 Schedule 0108 - "Security Holders and Voting Powers."

D. Furnish particulars concerning any options, warrants, or rights outstanding at the end of the year for others to purchase securities of the respondent or any securities or other assets owned by the respondent. Particulars should include names of the parties granted the options, warrants or rights, the prices to be paid, expiration dates, and other material information relating to the exercise of the securities or assets. Specify the name of any officer, director, associated company, or any of the ten largest security holders who hold stock options warranty or rights at the end of the year.

E. Give complete description of any other security carrying voting rights and explain the circumstances whereby the security became vested with voting rights. If any class or issue of security (other than common or preferred stock) has any special privileges in the election of directors, trustees or managers, or in the determination of corporate action by any method, explain the extent and circumstances.

F. This schedule is not applicable to convertible securities or to any securities substantially all of which are outstanding in the hands of the general public where the options, warrants, or rights were issued on a pro rata basis.

G. If the respondent needs additional space to complete the text information (data field 4) for any particular note, use a separate entry, repeating the same information in data fields 1 and 2 and using successive numbers (1, 2, 3, etc.) in data field 3.

**III. DETAILED INSTRUCTIONS**

The following data field-by data field instructions are cross-referenced to the corresponding schedule layouts by data field number:

Data Field Number	Instructions
1 (Key)	Type of Note (A60): Give a single word or short phrase identification such as: "options", "warrants", "rights," etc. (IDDESC)

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<p><b>IRS</b></p>	<p>FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM</p>	<p>ANNUAL NOTES TO FINANCIAL DATA</p>	<p>1 of 4</p>
<p>DETAILED INSTRUCTIONS: SCHEDULE 0112</p>			
<p><b>I. DESCRIPTION</b></p> <p>This schedule is used to collect information deemed necessary for adequate disclosure to support the financial data, including the financial statements, presented to the Commission in the annual filing, to include the following aspects:</p> <p>A. Disclosures of all important accounting policies.</p> <p>B. Disclosure of accounting changes made during the year which had significant effect on the reported net income.</p> <p>C. Disclosure of all contingent assets or liabilities or significant commitments existing at the end of the year which have not been reflected in the financial data.</p> <p>D. Disclosure of any other important changes occurring during the year which have not been provided elsewhere in the filing.</p> <p><b>II. GENERAL INFORMATION</b></p> <p>A. This schedule shall be submitted by Class A, Class B, Class C and Class D Natural Gas and Electric respondents.</p> <p>B. Respondents shall complete all data fields on this schedule annually.</p> <p>C. Respondents will use the following guidelines to report disclosure information, using titles underlined below as the type of Note in data field 1:</p> <p>1. <u>Accounting Policies</u>: In disclosing its accounting policies, the respondent should identify and describe the accounting principles it has utilized, the methods of applying those principles and the impact of such policies on the financial data submitted. Examples of accounting policies that may be considered significant together with suggested minimum disclosure requirements include:</p> <p>(a) <u>Plant and Depreciation</u></p> <p>(b) <u>Federal Income Tax Expense</u></p> <p>(1) Details on the accounting treatment for Federal income taxes (flow through, full normalization, partial normalization).</p> <p>(2) Details on the ratemaking treatment for Federal income taxes (flow through, full normalization, partial normalization).</p> <p>(3) Details on accounting for the allocation of income taxes between utility and non-utility segments of company operations.</p> <p>(4) Details on the accounting for IRS settlements as claim for refunds.</p> <p>(5) Details on the accounting for recognition of operating loss carry-backs and carryforwards.</p>			

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<p><b>IRS</b></p>	<p>FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM</p>	<p>ANNUAL NOTES TO FINANCIAL DATA</p>	<p>2 of 4</p>
<p>DETAILED INSTRUCTIONS: SCHEDULE 0112</p>			
<p>(6) If the provisions of the tax laws are being utilized with respect to plant property (ADR class life and liberalized depreciation, repair allowances, etc.) for Federal income tax purposes and the resultant benefits are being flowed through the income statement, disclose the effect of such on the income statement.</p> <p>(c) <u>Investment Tax Credits</u></p> <p>(1) Details on the method of accounting adopted for investment tax credits utilized in the current year.</p> <p>(2) Details on whether the respondent has consented or is required by a regulatory commission to pass the benefits of investment tax credits along to consumers.</p> <p>(3) For each year beginning with 1971, details on the ratemaking treatment of these credits to include option for (1) rate base treatment, (2) ratable flow through, (3) flow through in accordance with Section 46 (f) of the Internal Revenue Code, or (4) other method.</p> <p>(d) <u>Equity Accounting</u></p> <p>(e) <u>Unbilled Revenues</u></p> <p>(f) <u>Deferred Fuel Cost</u></p> <p>Details on the accounting and ratemaking treatment for deferred fuel cost, to include whether specific approvals have been received from regulatory commissions to defer and recover these costs for ratemaking purposes.</p> <p>(g) <u>Deferrals of Expense or Other Items</u></p> <p>(h) <u>Reacquisition of Long Term Debt</u></p> <p>(1) Details on the amount of gains and/or losses for the year from reacquisition of long term debt.</p> <p>(2) Details on the accounting for such gains or losses (included in income/amortized).</p> <p>(3) Details on the accounting treatment of unamortized debt discount and expense if different from the requirements of the Uniform System of Accounts.</p> <p>(4) <u>Pension Plan</u></p> <p>(1) Details on the method of funding.</p> <p>(2) Details on the pension cost for the current year charged to expense and capital accounts.</p>			

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
DETAILED INSTRUCTIONS: SCHEDULE 0112	ANNUAL NOTES TO FINANCIAL DATA
	4 of 4

Examples of changes include changes in articles of incorporation or amendments to the corporate charter; acquisition of ownership in other companies; reorganization, merger or consolidation with other companies, particulars if control over respondent was held by a receiver or trustee.

For securities assumed during the year, the name of the company from which the liability was assumed and other particulars of the transaction should be included in data field 4. For Class A and B respondents, if any unamortized discount, premium, expense and/or gains or losses were taken over into the respondent's books, details of these amounts should be included in schedule 0123, "Securities Assumed, Returned or Retired during the Year," data fields 5-9. Additional disclosure on the respondent's accounting policy with respect to gains and losses on security transactions should be included under item (N), above, of this schedule.

D. If the respondent needs additional space to complete the text information (data field 4) for any particular note, use a separate entry, repeating the same information in data fields 1 and 2 and using successive numbers (1, 2, 3, etc.) in data field 3.

### III. DETAILED INSTRUCTIONS

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Data Field Number	Instructions
1 (Key)	Type of Note (A60): Examples: (IDDESC) Policies - Income Tax Expense Policies - Equity Accounting Changes - Unbilled Revenues Contingencies/Commitments - Construction Program
2	Note Number (N1): Use successive numbers to designate more than one occurrence of the type of note listed in data field 1 above. If only one occurrence is needed for a particular type note, enter "1". (NRCADL)
3	Entry Continuation Number (N1): Use successive numbers to designate continuation entries of textual information for a particular note. If only one textual entry is needed to report the required disclosure, enter "1" in this data field. (NRCADL)
4	Text of Note (A249): (IDDESC)

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
DETAILED INSTRUCTIONS: SCHEDULE 0112	ANNUAL NOTES TO FINANCIAL DATA
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(3) Details on the amount of unfunded vested benefits.  
(4) Details on the effect of the Security Act of 1974 on annual pension cost.

(5) Details on any changes in method of funding for the year.

#### (A) Research and Development

#### (B) Other

2. Accounting Changes: Information on important accounting changes should include the nature of and justification for the change, and should include the dollar effect of the change on the reported financial data. The respondent should utilize the following definition of an accounting change taken from Opinion No. 20 of the Accounting Principles Board for purposes of this requirement.

The term accounting change means a change in (a) an accounting principle, (b) an accounting estimate, or (c) the reporting entity.

In considering the importance of an accounting change, the respondent should consider the impact (or estimated impact), on not only the financial data for the current reporting period, but should also consider the financial impact of the changes on subsequent years results of operations.

3. Contingencies or Commitments: Information on all contingent assets or liabilities or significant commitments shall include all pertinent facts surrounding such matters. Examples of matters that should be reported in this section include:

(a) Particulars of all important legal proceedings pending at the end of the year and the results of proceedings culminated during the year; including the related accounting for any settlements.

(b) Commitments related to the respondent's construction program.

(c) Long term commitments on the purchase of fuel supply.

(d) Short term bank borrowing arrangements including open lines of credit, compensating balance arrangements, commitment fees, and average annual effective interest rates.

(e) Details on obligations incurred or assumed by respondent as guarantor for performance by another of any agreement or obligation. Such information shall include the amount of the obligation and the name of the commission authorizing assumption, if any, and date of authorization.

4. Other Important Changes: Information on other important changes during the year shall disclose all significant changes occurring during the year not provided for elsewhere in the Schedules in this filing.

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**I. DESCRIPTION**  
This schedule is used to collect important textual comments concerning construction overhead procedures.

**II. GENERAL INFORMATION**

A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents.

B. Respondents shall complete all data fields on this schedule in their first submission. On subsequent submissions, respondents shall submit only appropriate identification (key data fields) and changed values, additions, and deletions.

C. For purposes of reporting for this schedule, construction overheads have been assigned the following code numbers:

Overhead Code	Type of Overhead
01	Engineering and Supervision
02	Administrative and General Expenses
03	Transportation
04	Injuries and Damages
05	Insurance
06	Pensions and Other Employee Benefits
07	Taxes (property, sales, payroll, etc.)
08	Outside Professional Services
09	Allowance for Funds Used During Construction
99	Other

These code numbers shall be reported in data field 1.

D. For each construction overhead except "allowance for funds used during construction" (09), provide in a textual format in data field 4, the respondents general policy, to include: the nature and extent of work, etc., the overhead charges are intended to cover, the general procedure for determining the amount capitalized, the method of distribution to construction jobs, whether different rates are applied to different types of construction, basis of differentiation in rates for different types of construction, and whether the overhead is directly or indirectly assigned.

E. For "allowance for funds used during construction," state in data field 4 the general policy with respect to capitalization to include: the general classes of property included in the allowance base, the method used to compute the allowance, the allowance computation period, the specific factors to support the allowance rate for the year, and the allowance rate(s) for the year.

F. Construction overheads with similar procedures should not be grouped, but must for the initial filing be reported separately.

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G. If the respondent has separate or different procedures for any of the grouped overheads listed above (i.e. Engineering and Supervision), report each procedure as a separate entry, listing the same overhead code in data field 1 and using successive numbers (1, 2, 3, etc.) in data field 2. If the respondent needs additional space to complete the text information (data field 4) for any particular overhead, use a separate entry, repeating the same information in data fields 1 and 2 and using successive numbers (1, 2, 3, etc.) in data field 3.

**III. DETAILED INSTRUCTIONS**

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Data Field Number	Instructions
1 (Key)	Type of Overhead (N2): Enter the code, from Item C of the General Instructions for this schedule. (TYOVHD)
2	Overhead Note Number (N1): Use successive numbers to designate more than one occurrence of the overhead type listed in data field 1 above. If only one occurrence for a particular type overhead, enter 1. (NRGADL)
3	Entry Continuation Number (N1): Use successive numbers to designate continuation entries of textual information for a particular overhead. (NRGADL)
4	Text (A249): (IDDESC)

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM SCHEDULE 0115	1 of 2
DETAILED INSTRUCTIONS: IMPORTANT CHANGES DURING THE YEAR - RESPONDENT RATE PROCEEDINGS		
I. DESCRIPTION		
This schedule is used to collect details of all rate proceedings settled during the year and/or any proceeding unsettled at the end of the year.		
II. GENERAL INFORMATION		
A. This schedule shall be submitted by Class A and Class B Natural Gas respondents.		
B. Respondents shall complete all appropriate data fields on this schedule annually.		
C. The respondent shall complete this schedule where:		
(1) any rate proceeding settled during the year has resulted in significant refunds being made to customers, and		
(2) for any unsettled rate proceeding there exists a contingency for refunds of a material amount to customers.		
D. Each logical entry has two parts. In data fields 1-3, report general information on each rate proceeding. In data fields 9-11 for each rate proceeding settled during the year, show each class of customers affected by the rate change, with the associated annualized revenues and refunds.		
E. Information on supplier rate proceedings should be included on Schedule 0116.		
III. DETAILED INSTRUCTIONS		
The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:		
Data Field Number	Instructions	
1 (Key)	Date of Rate Request (N6): Enter the date, in the format MDDYY. (TDATE)	
2 (Key)	Commission Code (N3): Enter the three digit numeric code, from the Register of Data Standards, DCCOM.	
3	Date of Commission Approval (N6): If rate proceeding was settled during the year, enter the date of approval in the format MDDYY. (TDATE)	
4	Annualized Revenue Increase Due to Rate Change (N8) DOL: If rate proceeding has been settled, state the total approved annualized revenue increase. If proceeding unsettled, state the total annualized revenue increase requested by the respondent (in dollars).	

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM SCHEDULE 0114	1 of 1
DETAILED INSTRUCTIONS: IMPORTANT CHANGES DURING THE YEAR - TRANSMISSION OR DISTRIBUTION SYSTEM		
I. DESCRIPTION		
This schedule is used to collect details on important extensions or reductions of the transmission or distribution system during the year.		
II. GENERAL INFORMATION		
A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents.		
B. Respondents shall complete all data fields on this schedule annually.		
C. Each logical entry has two parts. In data fields 1-3, provide general information on each important change. In data fields 4-9, show each class of service affected, the estimated annual changes in revenue and the number of customers.		
III. DETAILED INSTRUCTIONS		
The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:		
Data Field Number	Instructions	
1 (Key)	Description of Change (A40): Provide a brief description of any important territory added or relinquished during the year, i.e., Distribution - Town of Springfield. (IDDESC)	
2 (Key)	Commission Code (N3): Enter the three digit numeric code, from the Register of Data Standards, DCCOM.	
3	Date of Commission Authorization (N6): Enter the date, in the format MDDYY. (TDATE)	
4	Date of Change (N6): Enter the date, in the format MDDYY. (TDATE)	
5 (Key)	Class of Service (A3): Enter the abbreviation as follows: RES = Residential COM = Commercial IND = Industrial SRE = Sales for Resale OTH = Other	
6	Estimated Additional Annual Revenues (N9) DOL.	
7	Estimated Annual Revenue Reduction (N9) DOL.	
8	Number of Customers Added (N8) NO.	
9	Number of Customers Lost (N8) NO.	

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RIS FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	
DETAILED INSTRUCTIONS: SCHEDULE 0115	IMPORTANT CHANGES DURING THE YEAR - RESPONDENT RATE PROCEEDINGS
	2 of 2
Data Field Number	Instructions
5	Annualized Revenue Decrease Due to Rate Change (N8) DOL: If rate proceeding has been settled, state the total approved annualized revenue decrease. If proceeding unsettled, state the total annualized revenue decrease requested by the respondent (in dollars).
6	Amount of Revenue Collected Subject to Refund-Pending (N9) DOL.
7	Particulars of Accounting Treatment (A83): State the accounting method used by the company to record refunds as a result of rate settlements. Also, for revenues being collected subject to refund, state the accounting method (including the amounts) used to reserve any portions of such revenues prior to final settlement of the rate proceedings. (IDDESS)
8	Other Particulars of Rate Change (A60): Furnish other important information with respect to rate change. (LDDESC)
9 (Key)	Class of Customers (A3): State the class of customers affected by the approved change by entering the following abbreviations: (THERSV)  RES = Residential COM = Commercial IND = Industrial SRE = Sales for Resale OTH = Other Utilities
10	Annualized Revenues by Customer Class (N9) DOL.
11	Refunds by Customer Class (N9) DOL.

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM SCHEDULE 0116	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM SCHEDULE 0117
	DETAILED INSTRUCTIONS: SUPPLIER RATE PROCEEDING	DETAILED INSTRUCTIONS: IMPORTANT CHANGES DURING THE YEAR -- NEW SOURCES OF GAS
I. DESCRIPTION This schedule is used to collect information on all supplier rate proceedings settled during the year to which the respondent was a party, related to either gas purchases or power purchases.		I. DESCRIPTION This schedule is used to collect details on major new continuing sources of gas made available to the respondent during the year from purchases, development, purchase contract or otherwise.
II. GENERAL INFORMATION A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents. B. Respondents shall complete all data fields on this schedule annually. C. This respondent shall complete this schedule where any significant amounts of refunds were made or received during the year resulting from settlement of proceedings affecting gas purchases or purchased power costs.		II. GENERAL INFORMATION A. This schedule shall be submitted by Class A and Class B Natural Gas respondents. B. Respondents shall complete all data fields on this schedule annually.
III. DETAILED INSTRUCTIONS The following data field-by-data field instructions are cross-referenced to the corresponding schedule by data field number: Data Field Number		III. DETAILED INSTRUCTIONS The following data field-by-data field instructions are cross-referenced to the corresponding schedule layouts by data field number: Data Field Number
1 (Key) Name of Supplier (A35): (IDNAME)	Instructions Name of Supplier (A35): (IDNAME)	1 (Key) Location of New Gas Source (A30): Report the state, county or offshore area and field. (IDDESC)
2 (Key) Commission Code (N3): Enter the code of the federal, state, or local regulatory commission which has jurisdiction over the rate proceeding, from the Register of Data Standards, IDCODE.	Commission Code (N3): Enter the code of the federal, state, or local regulatory commission which has jurisdiction over the rate proceeding, from the Register of Data Standards, IDCODE.	2 Approximate Total Gas Volume Available (N9.3) MMCF.
4 Particulars for Accounting (A144): State the particulars of the accounting used by the respondent to record the refunds received or payments made resulting from supplier rate proceedings. (IDDESC)	Particulars for Accounting (A144): State the particulars of the accounting used by the respondent to record the refunds received or payments made resulting from supplier rate proceedings. (IDDESC)	3 Contract Start Date (N6): Enter the date, in the format MMDDYY. (TDATE)

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	1 of 1
<p><b>DETAILED INSTRUCTIONS:</b> IMPORTANT CHANGES DURING THE YEAR - UTILITY PLANT NOT OPERATED SCHEDULE 0120</p>		
<p><b>I. DESCRIPTION</b> This schedule is used to collect information with respect to utility plants, including plant in service accounts, which did not operate during the year.</p>		
<p><b>II. GENERAL INFORMATION</b> A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents. B. Respondents shall complete all data fields on this schedule annually.</p>		
<p><b>III. DETAILED INSTRUCTIONS</b> The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field numbers:</p>		
<b>Data Field Number</b>	<b>Instructions</b>	
1 (Key)	Description of Plant (A30): State the type, name, and number or other appropriate specifics for plant not operated during year. (IDDESC)	
2	Book Cost of Plant (N9) DOL.	
3	Particulars (A65): For plant listed in data field 1 above, state whether any portion of the book cost has been retired from plant in service, and/or what other disposition of the property and its book cost is contemplated. (IDDESC)	

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	1 of 1
<p><b>DETAILED INSTRUCTIONS:</b> COMPANY EMPLOYEE DATA SCHEDULE 0121</p>		
<p><b>I. DESCRIPTION</b> This schedule is used to collect data on the total number of company employees as of the last payroll period of the year.</p>		
<p><b>II. GENERAL INFORMATION</b> A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents. B. Respondents shall complete all data fields on this schedule annually. C. Respondents shall report the employee data for all full-time employees for the payroll period ending December 31 or that nearest December 31 in the calendar year. Include temporary employees but do not include part-time employees.</p>		
<p><b>III. DETAILED INSTRUCTIONS</b> The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:</p>		
<b>Data Field Number</b>	<b>Instructions</b>	
1 (Key)	Total Electric Employees (N5) NO: Enter the number of full-time employees engaged in this utility function, if function is subject to Commission jurisdiction.	
2 (Key)	Total Gas Employees (N5) NO: Enter the number of full-time employees engaged in this utility function, if function is subject to Commission jurisdiction.	
3	Total Other Employees (N5) NO: Enter the number of full-time employees engaged in functions other than Electric and Gas.	
4	Total Company Employees (N5) NO.	

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM SECURITIES ISSUED DURING THE YEAR SCHEDULE 0122	1 of 2																						
<p><b>This schedule is used to collect selected data on securities issued during the year.</b></p> <p style="text-align: center;"><u>I. DESCRIPTION</u></p> <p style="text-align: center;"><u>II. GENERAL INSTRUCTIONS</u></p> <p>A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents.</p> <p>B. Respondents shall complete all data fields on this schedule annually.</p> <p>C. The respondent shall only report on this schedule details on securities issued during the year, including short term debt. Information on securities assumed, refunded or retired during the year shall be reported on Schedule 0123.</p> <p style="text-align: center;"><u>III. DETAILED INSTRUCTIONS</u></p> <p>The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:</p> <table border="0" style="width: 100%;"> <thead> <tr> <th style="text-align: left;">Data Field Number</th> <th style="text-align: left;">Instructions</th> </tr> </thead> <tbody> <tr> <td style="vertical-align: top;">1 (Key)</td> <td><b>Description of Security (A55):</b> The identification of each class and series of security should include, as appropriate, the interest or dividend rate, the maturity date and series of issuance. Examples: First Refunding Mortgage Bonds 8 1/8% due 2/1/05; Common Stock - No Par Value. (LDDESC)</td> </tr> <tr> <td style="vertical-align: top;">2</td> <td><b>Principal Amount (N9) DOL.</b></td> </tr> <tr> <td style="vertical-align: top;">3</td> <td><b>Number of Shares (N9) NO.</b></td> </tr> <tr> <td style="vertical-align: top;">4</td> <td><b>Total Price to Public (N9) DOL.</b></td> </tr> <tr> <td style="vertical-align: top;">5</td> <td><b>Amount of Underwriter Commission (N9) DOL:</b> Enter the total amount of the Underwriter Commission.</td> </tr> <tr> <td style="vertical-align: top;">6</td> <td><b>Amount of Other Expenses (N9) DOL:</b> Enter the total of other expenses related to the security issuance.</td> </tr> <tr> <td style="vertical-align: top;">7</td> <td><b>Net Proceeds to Company (N9) DOL.</b></td> </tr> <tr> <td style="vertical-align: top;">8</td> <td><b>Amount of Premium or Discount (N9) DOL:</b> Discount amounts should be entered with a negative sign.</td> </tr> <tr> <td style="vertical-align: top;">9</td> <td><b>Commission Authorization (N3):</b> Enter the code of the federal, state, or local regulatory commission which has jurisdiction over the issue of the securities from the Register of Data Standards. <u>IDCODEN.</u></td> </tr> <tr> <td style="vertical-align: top;">10</td> <td><b>Commission Docket Number (A9):</b> Enter the regulatory commission docket number. (<u>IDDOCKT</u>)</td> </tr> </tbody> </table>			Data Field Number	Instructions	1 (Key)	<b>Description of Security (A55):</b> The identification of each class and series of security should include, as appropriate, the interest or dividend rate, the maturity date and series of issuance. Examples: First Refunding Mortgage Bonds 8 1/8% due 2/1/05; Common Stock - No Par Value. (LDDESC)	2	<b>Principal Amount (N9) DOL.</b>	3	<b>Number of Shares (N9) NO.</b>	4	<b>Total Price to Public (N9) DOL.</b>	5	<b>Amount of Underwriter Commission (N9) DOL:</b> Enter the total amount of the Underwriter Commission.	6	<b>Amount of Other Expenses (N9) DOL:</b> Enter the total of other expenses related to the security issuance.	7	<b>Net Proceeds to Company (N9) DOL.</b>	8	<b>Amount of Premium or Discount (N9) DOL:</b> Discount amounts should be entered with a negative sign.	9	<b>Commission Authorization (N3):</b> Enter the code of the federal, state, or local regulatory commission which has jurisdiction over the issue of the securities from the Register of Data Standards. <u>IDCODEN.</u>	10	<b>Commission Docket Number (A9):</b> Enter the regulatory commission docket number. ( <u>IDDOCKT</u> )
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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM SECURITIES ISSUED DURING THE YEAR SCHEDULE 0122	2 of 2						
<table border="0" style="width: 100%;"> <thead> <tr> <th style="text-align: left;">Data Field Number</th> <th style="text-align: left;">Instructions</th> </tr> </thead> <tbody> <tr> <td style="vertical-align: top;">11</td> <td><b>Name of Principal Underwriter (A35):</b> Enter name of principal underwriter firm. (<u>IDNAME</u>)</td> </tr> <tr> <td style="vertical-align: top;">12</td> <td><b>Name of Securities Exchange(s) (A35):</b> Enter the name of exchange(s) upon which security is (are) registered. (Abbreviate where possible) Example: N.Y., Amer., P.B.W. Midwest, Pacific. (<u>IDDESC</u>)</td> </tr> </tbody> </table>			Data Field Number	Instructions	11	<b>Name of Principal Underwriter (A35):</b> Enter name of principal underwriter firm. ( <u>IDNAME</u> )	12	<b>Name of Securities Exchange(s) (A35):</b> Enter the name of exchange(s) upon which security is (are) registered. (Abbreviate where possible) Example: N.Y., Amer., P.B.W. Midwest, Pacific. ( <u>IDDESC</u> )
Data Field Number	Instructions							
11	<b>Name of Principal Underwriter (A35):</b> Enter name of principal underwriter firm. ( <u>IDNAME</u> )							
12	<b>Name of Securities Exchange(s) (A35):</b> Enter the name of exchange(s) upon which security is (are) registered. (Abbreviate where possible) Example: N.Y., Amer., P.B.W. Midwest, Pacific. ( <u>IDDESC</u> )							

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	1 of 2
	DETAILED INSTRUCTIONS: SECURITIES ASSUMED, REFUNDED OR RETIRED DURING THE YEAR SCHEDULE 0123	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM SECURITIES ASSUMED, REFUNDED OR RETIRED DURING THE YEAR SCHEDULE 0123

I. DESCRIPTION

This schedule is used to collect data on securities assumed, refunded or retired during the year.

II. GENERAL INFORMATION

A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents.

B. Respondents shall complete all data fields on this schedule annually.

C. For securities assumed, the name of the company from which the liability was assumed and other particulars of the transactions should be included on Schedule 0112 entitled "Notes to Financial Data" and a caption "Other". If any unamortized discount, premium, expenses, and/or gains or losses were taken over into the respondent's books, details of these amounts should be included in this schedule in data fields 5-9. Additional disclosure on the respondent's accounting policy with respect to gains and losses on security transactions should also be included on Schedule 0112.

D. Data fields 10-13 provide for the specific accounting entries involved in the security transactions reported herein. The details of the accounting entries specifying accounts debited should be listed in data fields 10-11 and accounts credited listed in data fields 12-13.

III. DETAILED INSTRUCTIONS

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number.

Data Field Number	Instructions
1 (Key)	<u>Description of Security (A55)</u> : The identification of each class and series of security should include, as appropriate, the interest or dividend rate, the maturity date and series of issuance. Examples: First Refunding Mortgage Bonds - 7% Series Due 1994; Debentures - 9% Due 1994. (LDDESC)
2	<u>Type of Transaction (N1)</u> : Enter the code, from the following list. (YFNNN) 1 - Assumed 2 - Refunded 3 - Retired
3	<u>Principal Amount (N9) DOL.</u>
4	<u>Number of Shares (N9) NO.</u>
5	<u>Amount of Unamortized Premium or Discount (N8) DOL:</u> Discount amounts are to be entered with a negative sign.

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	2 of 2
	DETAILED INSTRUCTIONS: SECURITIES ASSUMED, REFUNDED OR RETIRED DURING THE YEAR SCHEDULE 0123	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM SECURITIES ASSUMED, REFUNDED OR RETIRED DURING THE YEAR SCHEDULE 0123

Data Field Number	Instructions
6	<u>Amount of Unamortized Expenses (N9) DOL.</u>
7	<u>Amount of Gain from Reacquired Security (N9) DOL.</u>
8	<u>Amount of Loss from Reacquired Security (N9) DOL.</u>
9	<u>Net Cost of Security (N9) DOL.</u>
10 (Key)	<u>Account Number Debited (N3.2):</u> (ACCTML)
11	<u>Amount Debited (N8) DOL.</u>
12	<u>Account Number Credited (N3.2):</u> (ACCTML)
13	<u>Amount Credited (N8) DOL.</u>

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**RIS** FEDERAL POWER COMMISSION  
REGULATORY INFORMATION SYSTEM  
DETAILED INSTRUCTIONS: LIST OF ACCOUNTS - PART II  
(NATURAL GAS CLASS A AND CLASS B)  
SCHEDULE 0124 1 of 13

**I. DESCRIPTION**

This schedule is used to collect the end of year balance or the amount for the year for certain accounts of the Uniform System of Accounts and certain other financial data that has been categorized as Supplemental Accounts. (For a discussion of the relationship between the two systems of accounts see General Instructions for Corporate and Financial Schedules.) This schedule replaces several former schedules which reported similar information concerning:

- Gas Operation and Maintenance Expenses
- Distribution of Salaries and Wages
- Operating Revenues
- Summary of Utility Plant - Statement B
- Other supporting schedules, including account balances from numerous schedules.

The account numbers to be used from the two systems of accounts are listed in General Information, II-D.

**II. GENERAL INFORMATION**

A. This schedule shall be submitted by Class A and Class B Natural Gas respondents.

B. Respondents shall complete all data fields on this schedule annually.

C. For those accounts which had activity during the year or had previously been reported with balances but for the present reporting period there is no balance, respondent shall report the account number and a "zero" balance.

D. The data to be reported is structured upon the account groupings from the Uniform System of Accounts augmented by a system of supplemental accounts to integrate related data. Included on this schedule are five account groupings, each identified by title and source:

Account Group	Description	Source
1	Distribution of Salaries and Wages	Supplemental Accounts
2	Depreciation and Amortization of Gas Plant	Supplemental Accounts
3	Gas Operating Revenue Accounts	Uniform System of Accounts/ Supplemental Accounts
4	Gas Operation and Maintenance Expense Accounts	Uniform System of Accounts/ Supplemental Accounts
5	Departmental Accounts	Supplemental Accounts

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**RIS** FEDERAL POWER COMMISSION  
REGULATORY INFORMATION SYSTEM  
DETAILED INSTRUCTIONS: LIST OF ACCOUNTS - PART II  
(NATURAL GAS CLASS A AND CLASS B)  
SCHEDULE 0124 2 of 13

All those respondents will report groups 1 through 4. Those respondents with more than one utility department in their internal organization will also report group 5.

Respondents should start each account group on a new schedule collection sheet. Within each group, account numbers should be listed according to numerical sequence. Respondents shall report by account numbers of the appropriate System of Accounts those items below applicable to their situation.

**I. Distribution of Salaries and Wages**

Report distribution of total salaries and wages for the year by functions (Production, Transmission, Distribution, Customer Accounts, Sales, Administrative and General, Plant Construction, Plant Removal, Other Accounts). Amounts originally charged to clearing accounts should be spread to each appropriate function and included in the total of each function.

Uniform System of Accounts	Supplemental Accounts	Description	Details on Related Schedules
031.10		Manufactured gas production - operation	
031.20		Manufactured gas production - maintenance	
032.10		Natural gas production (including exploration and development) - operation	
032.20		Natural gas production (including exploration and development) - maintenance	
033.10		Other gas supply - operation	
033.20		Other gas supply - maintenance	
034.10		Gas storage - operation	
034.20		Gas storage - maintenance	
035.10		Gas transmission - operation	
035.20		Gas transmission - maintenance	
036.10		Gas distribution - operation	
036.20		Gas distribution - maintenance	
037.10		Gas customer accounts - operation	
037.20		Gas customer accounts - maintenance	
038.10		Customer service and informational - operation	
038.20		Customer service and informational - maintenance	
039.10		Gas sales - operation	
039.20		Gas sales - maintenance	
041.00		Gas administration and generation - operation	
041.10		Gas administration and generation - maintenance	
043.10		Other utility departments - operation	
043.20		Other utility departments - maintenance	
044.10		Plant construction - gas	
044.20		Plant construction - other departments	
044.30		Plant removal - gas	
044.40		Plant removal - other departments	

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**RIS** FEDERAL POWER COMMISSION  
REGULATORY INFORMATION SYSTEM

DETAILED INSTRUCTIONS: LIST OF ACCOUNTS - PART II  
(NATURAL GAS CLASS A AND CLASS B)  
SCHEDULE 0124

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Uniform System of Accounts	Supplemental Accounts	Description	Details on Related Schedules
	044.20	Plant removal - other departments	
	045.10	Other Accounts Total Salaries and Wages	
2. Depreciation and Amortization of Gas Plant			
Uniform System of Accounts	Supplemental Accounts	Description	Details on Related Schedules
	403.10	Depreciation expense - production plant, manufactured gas	
	403.20	Depreciation expense - production and gathering plant	
	403.30	Depreciation expense - products extraction plant	
	403.40	Depreciation expense - underground gas storage plant	
	403.50	Depreciation expense - local gas storage plant	
	403.60	Depreciation expense - transmission plant	
	403.70	Depreciation expense - distribution plant	
	403.80	Depreciation expense - general plant	
	403.90	Depreciation expense - common plant - gas	
	405.10	Amortization expense - other - intangible plant	
	405.20	Amortization expense - other - products extraction plant	
	405.30	Amortization expense - other - underground gas storage plant	
	405.40	Amortization expense - other - local gas storage plant	
	405.50	Amortization expense - other - transmission plant	
	405.60	Amortization expense - other - distribution plant	
	405.70	Amortization expense - other - general plant	
	405.80	Amortization expense - other - common plant	

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**RIS** FEDERAL POWER COMMISSION  
REGULATORY INFORMATION SYSTEM

DETAILED INSTRUCTIONS: LIST OF ACCOUNTS - PART II  
(NATURAL GAS CLASS A AND CLASS B)  
SCHEDULE 0124

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Uniform System of Accounts	Supplemental Accounts	Description	Details on Related Schedules
3. Gas Operating Revenue Accounts			
	480.00	Residential sales	0158
	481.00	Commercial and industrial sales	0158
	482.00	Other sales to public authorities	0158
	483.00	Sales for resale	0158
	484.00	Interdepartmental sales	0158
		Total Sales of Natural Gas	
	485.90		
OTHER OPERATING REVENUES			
	487.00	Forfeited discounts	0158
	488.00	Miscellaneous service revenues	0158
	489.00	Revenues from transportation of gas of others	0158, 0166
	490.00	Sales of products extracted from natural gas	0158, 0163
	491.00	Revenues from natural gas processed by others	0158, 0163
	492.00	Incidental gasoline and oil sales	0158, 0163
	493.00	Rent from gas property	0158, 0163
	494.00	Interdepartmental rents	0158, 0163
	495.00	Other gas revenues	0158, 0163
	400.00	Total Other Operating Revenues	
	495.90	Operating Revenues (Total)	
4. Gas Operation and Maintenance Expense Accounts			
Uniform System of Accounts	Supplemental Accounts	Description	Details on Related Schedules
	700.00	PRODUCTION EXPENSES	
	701.00	MANUFACTURED GAS PRODUCTION EXPENSE	
	702.00	Steam Production - Operation	
	703.00	Operation supervision and engineering	
	704.00	Operation labor	
		Boiler fuel	
		Miscellaneous steam expenses	
		Steam transferred	
	704.90	Total Steam Production - Operation	

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RIS		FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM		6 of 13	
UNIFORM SYSTEM OF ACCOUNTS		SUPPLEMENTAL ACCOUNTS		DETAILS ON RELATED SCHEDULES	
Uniform System of Accounts	Description	Supplemental Accounts	Description	Uniform System of Accounts	Supplemental Accounts
732.00	Purification expenses				
733.00	Gas mixing expenses				
734.00	Duplicate charges - credit				
735.00	Miscellaneous production expenses				
736.00	Rents				
	Total Gas Raw Materials - Operation	736.90			
	Total Manufactured Gas Production - Operation	739.90			
	Manufactured Gas Production - Maintenance				
740.00	Maintenance supervision and engineering				
741.00	Maintenance of structures and improvements				
742.00	Maintenance of production equipment				
	Total Manufactured Gas Production - Maintenance	742.90			
	Total Manufactured Gas Production - Operations and Maintenance	748.80			
	Total Manufactured Gas Production Expenses	749.90			
	NATURAL GAS PRODUCTION EXPENSES				
	Natural Gas Production and Gathering - Operations				
750.00	Operation supervision and engineering				0178
751.00	Production maps and records				0178
752.00	Gas wells expenses				0178
753.00	Field lines expenses				0178
754.00	Field compressor station expenses				0178
755.00	Field compressor station fuel and power				0178
756.00	Field measuring and regulating station expenses				0178
	Purification expenses				0178
	Gas well royalties				0178
	Other expenses				0178
	Rents				0178
	Total Natural Gas Production and Gathering - Operations	760.90			
	Natural Gas Production and Gathering - Maintenance				
	Maintenance supervision and engineering				0178
	Maintenance of structures and improvements				0178
	Maintenance of producing gas wells				0178
	Maintenance of field lines				0178
	Maintenance of field compressor station equipment				0178

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RIS		FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM		5 of 13	
UNIFORM SYSTEM OF ACCOUNTS		SUPPLEMENTAL ACCOUNTS		DETAILS ON RELATED SCHEDULES	
Uniform System of Accounts	Description	Supplemental Accounts	Description	Uniform System of Accounts	Supplemental Accounts
	Steam Production - Maintenance				
705.00	Maintenance supervision and engineering				
706.00	Maintenance of structures and improvements				
707.00	Maintenance of boiler plant equipment				
708.00	Maintenance of other steam production plant				
	Total Steam Production - Maintenance	708.90			
	Total Steam Production - Operation and Maintenance	709.90			
	Manufactured Gas Production - Operation				
710.00	Operation supervision and engineering				
	Manufactured Gas Production - Production Labor and Expenses				
711.00	Steam expenses				
712.00	Coke oven expenses				
713.00	Producer gas expenses				
714.00	Water gas generating expenses				
715.00	Oil gas generating expenses				
716.00	Liquefied petroleum gas expenses				
717.00	Other process production expenses				
718.00	Total Production Labor and Expenses - Operation	718.90			
	Manufactured Gas Production - Gas Fuels				
719.00	Fuel under coke ovens				
720.00	Producer gas fuel				
721.00	Water gas generator fuel				
722.00	Fuel for oil gas				
723.00	Fuel for liquefied petroleum gas process				
724.00	Other gas fuels				
	Total Gas Fuels - Operation	724.90			
	Manufactured Gas Production - Gas Raw Materials				
725.00	Coal carbonized in coke ovens				
726.00	Oil for water gas				
727.00	Oil for oil gas				
728.00	Liquefied petroleum gas				
729.00	Raw materials for other gas processes				
730.00	Residual expenses				
731.00	Residuals produced - credit				

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 FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM DETAILED INSTRUCTIONS: LIST OF ACCOUNTS - PART II SCHEDULE 0124 (NATURAL GAS CLASS A AND CLASS B)		7 of 13	
Uniform System of Accounts	Supplemental Accounts	Description	Details on Related Schedules
766.00		Maintenance of field measuring and regulating station equipment	0178
767.00		Maintenance of purification equipment	0178
768.00		Maintenance of drilling and cleaning equipment	0178
769.00	769.80	Maintenance of other equipment	0178
	769.90	Total Natural Gas Production and Gathering - Maintenance	
		Total Natural Gas Production and Gathering - Operations and Maintenance	
		Products Extraction - Operation	
770.00		Operation supervision and engineering	
771.00		Operation labor	
772.00		Gas shrinkage	
773.00		Fuel	
774.00		Power	
775.00		Materials	
776.00		Operations supplies and expenses	
777.00		Gas processed by others	
778.00		Royalties on products extracted	
779.00		Marketing expenses	
780.00		Products purchased for resale	
781.00		Variation in products inventory	
782.00		Extracted products used by the utility - credit	
783.00	783.90	Rents	
		Total Products Extraction - Operation	
		Products Extraction - Maintenance	
784.00		Maintenance supervision and engineering	
785.00		Maintenance of structures and improvements	
786.00		Maintenance of extraction and refining equipment	
787.00		Maintenance of pipe lines	
788.00		Maintenance of extracted products storage equipment	
789.00		Maintenance of compressor equipment	
790.00		Maintenance of gas measuring and regulating station equipment	
791.00	792.90	Maintenance of other equipment	
	793.90	Total Products Extraction - Maintenance	
	794.90	Total Products Extraction - Operations and Maintenance	
		Total Natural Gas Production Expenses	

 FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM DETAILED INSTRUCTIONS: LIST OF ACCOUNTS - PART II SCHEDULE 0124 (NATURAL GAS CLASS A AND CLASS B)		8 of 13	
Uniform System of Accounts	Supplemental Accounts	Description	Details on Related Schedules
		EXPLORATION AND DEVELOPMENT EXPENSES	
		Operations	
795.00		Delay rentals	0171
796.00		Nonproductive well drilling	0171
797.00		Abandoned leases	0171
798.00		Other exploration	0171
	799.90	Total Exploration and Development Expenses - Operations	
		OTHER GAS SUPPLY EXPENSES	
		Operation	
800.00		Natural gas well head purchases	0167
801.00		Natural gas field line purchases	0167
802.00		Natural gas gasoline plant outlet purchases	0167
803.00		Natural gas transmission line purchases	0167
804.00		Natural gas city gate purchases	0167
805.00		Other gas purchases	0167
805.10		Purchased gas cost adjustments	0174, 0175
806.00		Exchange gas	
807.00		Purchased gas expenses	
807.10		Well expenses - purchased gas	
807.20		Operation of purchased gas measuring stations	
807.30		Maintenance of purchased gas measuring stations	
807.40		Purchased gas calculations expenses	
807.50		Other purchased gas expenses	
808.00		Gas withdrawn from storage - debit	
809.00		Gas delivered to storage - credit	
810.00		Gas used for compressor station fuel - credit	0179
811.00		Gas used for products extraction - credit	0179
812.00		Gas used for other utility operations - credit	0179
813.00	813.80	Other gas supply expenses	
	813.90	Total Other Gas Supply Expenses - Operation	
		Total Production Expenses	
		NATURAL GAS STORAGE EXPENSES	
		UNDERGROUND STORAGE EXPENSES	
		Operation	
814.00		Operation supervision and engineering	
815.00		Maps and records	
816.00		Wells expenses	
817.00		Lines expenses	

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RIS		FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM		Details on Related Schedules	
FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM		LIST OF ACCOUNTS - PART II (NATURAL GAS CLASS A AND CLASS B)		10 of 13	
Uniform System of Accounts	Supplemental Accounts	Description	Uniform System of Accounts	Supplemental Accounts	Details on Related Schedules
848.10		Maintenance of compressor equipment			
848.20		Maintenance of measuring and regulating station equipment			
848.30		Maintenance of other equipment			
	848.90	Total Other Storage Expenses - Maintenance			
	849.80	Total Other Storage Expenses - Operation and Maintenance			
	849.90	Total Natural Gas Storage Expenses			
		TRANSMISSION EXPENSES			
		Operation			
850.00		Operation supervision and engineering			
851.00		System control and load dispatching			
852.00		Communication system expenses			
853.00		Compressor station labor and expenses			
854.00		Gas for compressor station fuel			
855.00		Mains expenses			
856.00		Mains fuel and power for compressor stations			
857.00		Measuring and regulating station expenses			
858.00		Transmission and compression of gas by others			
859.00		Other expenses			
860.00	860.90	Total Transmission Expenses - Operation			
		Maintenance			
861.00		Maintenance supervision and engineering			
862.00		Maintenance of structures and improvements			
863.00		Maintenance of mains			
864.00		Maintenance of compressor station equipment			
865.00		Maintenance of measuring and regulating station equipment			
866.00		Maintenance of communication equipment			
867.00	867.90	Maintenance of other equipment			
	869.90	Total Transmission Expenses - Maintenance			
		Total Transmission Expenses - Operation and Maintenance			
		DISTRIBUTION EXPENSES			
		Operation			
870.00		Operation supervision and engineering			
871.00		Distribution load dispatching			
872.00		Compressor station labor and expenses			
873.00		Compressor station fuel and power			
874.00		Mains and services expenses			
875.00		Measuring and regulating station expenses - general			

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RIS		FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM		Details on Related Schedules	
FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM		LIST OF ACCOUNTS - PART II (NATURAL GAS CLASS A AND CLASS B)		9 of 13	
Uniform System of Accounts	Supplemental Accounts	Description	Uniform System of Accounts	Supplemental Accounts	Details on Related Schedules
818.00		Compressor station expenses			
819.00		Compressor station fuel and power			
820.00		Measuring and regulating station expenses			
821.00		Purification expenses			
822.00		Exploration and development			
823.00		Gas losses			
824.00		Other expenses			
825.00		Storage well royalties			
826.00		Rents			
	829.90	Total Underground Storage Expenses - Operation			
		Maintenance			
830.00		Maintenance supervision and engineering			
831.00		Maintenance of structures and improvements			
832.00		Maintenance of reservoirs and wells			
833.00		Maintenance of lines			
834.00		Maintenance of compressor station equipment			
835.00		Maintenance of measuring and regulating station equipment			
836.00		Maintenance of purification equipment			
837.00		Maintenance of other equipment			
	838.90	Total Underground Storage Expenses - Maintenance			
	839.90	Total Underground Storage Expenses - Operation and Maintenance			
		OTHER STORAGE EXPENSES			
		Operation			
840.00		Operation supervision and engineering			
841.00		Operation labor and expenses			
842.00		Rents			
842.10		Fuel			
842.20		Power			
842.30		Gas losses			
	842.90	Total Other Storage Expenses - Operation			
		Maintenance			
843.00		Maintenance supervision and engineering			
844.00		Maintenance of structures and improvements			
845.00		Maintenance of gas holders			
846.00		Maintenance of purification equipment			
847.00		Maintenance of liquefaction equipment			
848.00		Maintenance of vaporizing equipment			

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RIS		FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM		FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	
DETAILED INSTRUCTIONS: SCHEDULE 0124		LIST OF ACCOUNTS - PART II (NATURAL GAS CLASS A AND CLASS B)		LIST OF ACCOUNTS - PART II (NATURAL GAS CLASS A AND CLASS B)	
Uniform System of Accounts	Supplemental Accounts	Description	Details on Related Schedules	12 of 13	
911.00		SALES EXPENSES			
912.00		Operation			
913.00		Supervision			
916.00		Demonstrating and selling expenses			
		Advertising expenses			
		Miscellaneous sales expenses			
	919.90	Total Sales Expenses - Operations			
		ADMINISTRATIVE AND GENERAL EXPENSES			
		Operations			
920.00		Administrative and general salaries			
921.00		Office supplies and expenses			
922.00		Administrative expenses transferred - credit			
923.00		Outside services employed			
924.00		Property insurance			
925.00		Injuries and damages			
926.00		Employee pensions and benefits			
927.00		Franchise requirements			
928.00		Regulatory commission expenses			
929.00		Duplicate charges - credit			
930.10		General advertising expenses			
930.20		Miscellaneous general expenses			
931.00		Rents			
	931.90	Total Administrative and General Expenses - Operations			
		Maintenance			
932.00		Maintenance of general plant			
	939.90	Total Administrative and General Expenses - Operation and Maintenance			
	949.90	Total Operation and Maintenance Expenses - Accounts			

5. Departmental Accounts: Respondents with more than one utility department in their internal organization are required to report the following plant accounts on the basis of operating utility departments. Supplemental Account numbers have been established to collect this data. The Supplemental Account numbers will consist of a second decimal place after the appropriate Uniform System of Account number as follows: Gas department - XXX.X1; electric department - XXX.X2; common - XXX.X3 (as prescribed in Plant Instruction 13 of the Uniform Systems of Accounts); other departments - XXX.X5. When the basic number from the Uniform System of Accounts is a whole integer (e.g., 101.0) and then the department code (e.g., 101.01). This has been adopted to insure uniformity with those few accounts from the Uniform System of Accounts with a decimal place (e.g., 409.1).

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RIS		FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM		FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	
DETAILED INSTRUCTIONS: SCHEDULE 0124		LIST OF ACCOUNTS - PART II (NATURAL GAS CLASS A AND CLASS B)		LIST OF ACCOUNTS - PART II (NATURAL GAS CLASS A AND CLASS B)	
Uniform System of Accounts	Supplemental Accounts	Description	Details on Related Schedules	11 of 13	
876.00		Measuring and regulating station expenses - industrial			
877.00		Measuring and regulating station expenses - city gate check station			
878.00		Meter and house regulatory expenses			
879.00		Customer installation charges			
880.00		Other expenses			
881.00		Rents			
	884.90	Total Distribution Expenses - Operation			
		Maintenance			
885.00		Maintenance supervision and engineering			
886.00		Maintenance of structures and improvements			
887.00		Maintenance of mains			
888.00		Maintenance of compressor station equipment			
889.00		Maintenance of measuring and regulating station equipment - general			
890.00		Maintenance of measuring and regulating station equipment - industrial			
891.00		Maintenance of measuring and regulating station equipment - city gate check stations			
892.00		Maintenance of services			
893.00		Maintenance of meters and house regulators			
894.00		Maintenance of other equipment			
	894.90	Total Distribution Expenses - Maintenance			
	895.90	Total Distribution Expenses - Operation			
		CUSTOMER ACCOUNTS EXPENSES			
		Operation			
901.00		Supervision			
902.00		Meter reading expenses			
903.00		Customer records and collection expenses			
904.00		Uncollectable accounts			
905.00		Miscellaneous customer accounts expenses			
	905.90	Total Customer Accounts Expenses - Operation			
		CUSTOMER SERVICE AND INFORMATIONAL EXPENSES			
		Operation			
907.00		Supervision			
908.00		Customer assistance expenses			
909.00		Informational and instructional expenses			
910.00		Miscellaneous customer service and informational expenses			
	910.90	Total Customer Service and Informational Expenses - Operation			

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM INVESTMENT IN SUBSIDIARY COMPANIES - EQUITY ACCOUNTING	1 of 1
DETAILED INSTRUCTIONS: SCHEDULE 0125		
<p style="text-align: center;"><u>I. DESCRIPTION</u></p> This schedule is used to collect information regarding investments included in Account 123.10. Investment in Subsidiary Companies, which are accounted for on the equity method of accounting.		
<p style="text-align: center;"><u>II. GENERAL INFORMATION</u></p> A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents. B. Respondents shall complete all data fields on this schedule annually. C. This schedule only includes investments in stock of subsidiary companies. Information on advances, notes, etc., related to subsidiary companies shall be included in Schedule 0161. D. The totals in data field 2 shall equal the amount recorded in Account 418.10, Equity in Subsidiary Companies for the Year.		
<p style="text-align: center;"><u>III. DETAILED INSTRUCTIONS</u></p> The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:		
Data Field Number	Instructions	
1 (Key)	Company Name (A35): (IDNAME)	
2	Amount of Equity in Subsidiary Earnings for the Year (N10) DOL: Enter the equity results of the subsidiary's earnings or losses during the year.	
3	Amount of Dividends Paid by Subsidiary for the Year (N10) DOL: Enter the total amount of dividends paid by subsidiary during the year.	
4	Amount of Equity in Undistributed Subsidiary Earnings Since Acquisition (N10) DOL: Enter the amount of equity in undistributed subsidiary earnings or losses from the date of acquisition through the end of the year.	
5	Balance of Investment (Equity Method) at End of the Year (N10) DOL.	

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM LIST OF ACCOUNTS - PART II (NATURAL GAS CLASS A AND CLASS B)	13 of 13
DETAILED INSTRUCTIONS: SCHEDULE 0124		
Uniform System of Accounts	Supplemental Accounts	Description
101.00	XXX.X1 to	Plant in service
102.00	XXX.X5 for	Plant purchased or sold
103.00	each Uniform	Experimental plant unclassified
104.00	System of	Plant leased to others
105.00	Account Basic	Plant held for further use
106.00	Number	Completed construction not classified
107.00		Construction work in progress
108.00		Accumulated provision for depreciation of utility plant
111.00		Accumulated provision for amortization and depletion of plant
114.00		Plant acquisition adjustment
116.00		Other acquisition adjustment
120.90		Net Utility Plant
<p style="text-align: center;"><u>III. DETAILED INSTRUCTIONS</u></p> The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:		
Data Field Number	Instructions	
1 (Key)	Account Number (N3.2): Refer to General Information, II-D. (ACCTHL)	
2	Amount (N10) DOL.	

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
	NATURAL GAS STORED - PART II
DETAILED INSTRUCTIONS: SCHEDULE 0126	1 of 2

I. DESCRIPTION										
<p>This schedule is used to collect additional selected information concerning inventories of gas stored included in accounts 117.00, Gas Stored Underground - Noncurrent; 164.10, Gas Stored Underground - Current; and 164.20, Liquefied Natural Gas Stored.</p> <p style="text-align: center;">II. GENERAL INFORMATION</p> <p>A. This schedule shall be submitted by Class A and Class B Natural Gas respondents.</p> <p>B. Respondents shall complete all data fields on this schedule annually.</p> <p>C. Specific information shall be furnished in this schedule with respect to:</p> <ol style="list-style-type: none"> <li>1. Inventory valuation methods, data fields (2-3).</li> <li>2. Inventory adjustments made during the year, data field (5).</li> <li>3. Particulars for including expense items in inventory, data field (4).</li> <li>4. Particulars for base stock inventory pricing method, data field (6).</li> <li>5. Reserve accounting for nonrecoverable natural gas, data field (7).</li> </ol> <p>D. The data specified in this schedule shall be furnished for each storage project of the respondent.</p> <p>E. Additional information on the inventory of natural gas is required on Schedule 0127, Natural Gas Stored - Part I.</p> <p style="text-align: center;">III. DETAILED INSTRUCTIONS</p> <p>The following data field-by-data field instructions are cross referenced to the corresponding schedule layout by data field number:</p>										
Instructions										
<table border="1"> <thead> <tr> <th style="text-align: left;">Data Field Number</th> <th style="text-align: left;">Name of Storage Project (g) (A26): Enter the name of the storage project. (IDNAME)</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">1 (Key)</td> <td></td> </tr> <tr> <td style="text-align: center;">2</td> <td>Inventory Valuation Method (A10): State method of pricing inventory withdrawn from underground storage during year. See Uniform System of Accounts for acceptable inventory valuation methods. (IDDESC)</td> </tr> <tr> <td style="text-align: center;">3</td> <td>Particulars for Change in Inventory Valuation (A40): Explain any change in inventory basis during year and give date of Commission approval of the change. (IDDESC)</td> </tr> <tr> <td style="text-align: center;">4</td> <td>Inventory - Type of Expenses Recorded (A80): If the cost of gas delivered to storage includes any expense for use of respondent's transmission, storage, or other facilities, enter the type(s) of expenses, the amount of such expenses and date of Commission approval of the accounting. (IDDESC)</td> </tr> </tbody> </table>	Data Field Number	Name of Storage Project (g) (A26): Enter the name of the storage project. (IDNAME)	1 (Key)		2	Inventory Valuation Method (A10): State method of pricing inventory withdrawn from underground storage during year. See Uniform System of Accounts for acceptable inventory valuation methods. (IDDESC)	3	Particulars for Change in Inventory Valuation (A40): Explain any change in inventory basis during year and give date of Commission approval of the change. (IDDESC)	4	Inventory - Type of Expenses Recorded (A80): If the cost of gas delivered to storage includes any expense for use of respondent's transmission, storage, or other facilities, enter the type(s) of expenses, the amount of such expenses and date of Commission approval of the accounting. (IDDESC)
Data Field Number	Name of Storage Project (g) (A26): Enter the name of the storage project. (IDNAME)									
1 (Key)										
2	Inventory Valuation Method (A10): State method of pricing inventory withdrawn from underground storage during year. See Uniform System of Accounts for acceptable inventory valuation methods. (IDDESC)									
3	Particulars for Change in Inventory Valuation (A40): Explain any change in inventory basis during year and give date of Commission approval of the change. (IDDESC)									
4	Inventory - Type of Expenses Recorded (A80): If the cost of gas delivered to storage includes any expense for use of respondent's transmission, storage, or other facilities, enter the type(s) of expenses, the amount of such expenses and date of Commission approval of the accounting. (IDDESC)									

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
	NATURAL GAS STORED - PART II
DETAILED INSTRUCTIONS: SCHEDULE 0126	2 of 2

Data Field Number	Instructions
5	Inventory Adjustments - Explanation (A60): If adjustment was made of the stored gas inventory during the year, such as to correct for cumulative inaccuracies of gas measurements, furnish an explanation of the reason for the adjustment including the MMCF's involved and the dollar amount of the adjustment. (IDDESC)
6	Base Stock Particulars (A90): If the respondent uses a base stock in its inventory accounting, furnish particulars on any encroachments of withdrawals or restorations upon such "base stock" for the current year. Include a concise statement explaining the reasons for the encroachments or restoration, the MMCF quantities involved, the cost and the related accounting for the transaction. (IDDESC)
7	Reserve for Nonrecoverable Gas (A80): For any respondent that has established a reserve for nonrecoverable gas, provide particulars of the accounting for the reserve to include: <ol style="list-style-type: none"> <li>(a) the basis for determining the provision for the year,</li> <li>(b) the amount of the provision for the year,</li> <li>(c) the contra account charged to record the writeoffs,</li> <li>(d) the reserve balance at the end of the year, and</li> <li>(e) the date of Commission approval.</li> </ol> If the respondent has established a reserve that has not been specifically authorized by the Commission, furnish the above information together with a statement justifying the need for the reserve. (IDDESC)

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	NATURAL GAS STORED - PART I	1 of 2
	DETAILED INSTRUCTIONS: SCHEDULE 0127		

**I. DESCRIPTION**

This schedule is used to collect information on inventory of gas stored, the cost of which is included in Accounts 117.00, Gas Stored Underground - Noncurrent; 164.10, Gas Stored Underground - Current; and 164.20, Liquefied Natural Gas Stored.

**II. GENERAL INFORMATION**

A. This schedule shall be submitted by Class A and Class B Natural Gas respondents.

B. Respondents shall complete all data fields on this schedule annually.

C. The data specified in this schedule shall be furnished for each storage project of the respondent. The respondent is required by the Uniform System of Accounts to maintain inventory records on the data items included in this schedule.

D. Additional information on the inventory of natural gas is required on Schedule 0126, Natural Gas Stored - Part II.

E. Report gas quantity in MCF rounded to three decimal places at a uniform pressure base of 14.73 PSIA at 60° Fahrenheit. If the reported gas quantity is an estimated value, state such fact in a footnote.

**III. DETAILED INSTRUCTIONS**

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Data Field Number	Instructions
1 (Key)	Name of Storage Project(s) (A26): State the name of each storage project. The last entry to this field should be a total of all storage projects. Write the word TOTAL in the first five spaces of this field. (IDGPFL)
2	Account Number (N3.2): State either Account 117.00, 164.10, or 164.20. (ACCTML)
3	Gas Delivered - Quantity (N9.3) MMCF: Enter the quantity of gas delivered, in MMCF rounded to three decimal places.
4	Gas Delivered - Amount (Contra Account 809.00) (N9) DOL.
5	Gas Delivered - Amount Per MCF (N3.2) CTS/MCF: Enter the value in cents to nearest one hundredth of a cent.
6	Gas Withdrawn - Quantity (N9.3) MMCF: Enter the gas withdrawn in MMCF rounded to three decimal places.
7	Gas Withdrawn - Amount (Contra Account 808.00) (N9) DOL.

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	NATURAL GAS STORED - PART I	2 of 2
	DETAILED INSTRUCTIONS: SCHEDULE 0127		

**Instructions**

Data Field Number	Instructions
8	Gas Withdrawn - Amount Per MCF (N3.2) CTS/MCF: Enter the value in cents to nearest one hundredth of a cent.
9	Other Debit and Credit Amount - Net (N9) DOL: Enter the net amount of other debit and credits.
10	Inventory Balance End of Year - Quantity (N9.3) MMCF: Enter the quantity of gas, in MMCF rounded to three decimal places.
11	Inventory Balance End of Year - Amount (N10) DOL.
12	Inventory Balance End of Year - Amount Per MCF (N3.2) CTS/MCF: Enter value in cents to nearest one hundredth of a cent.
13 (Key)	Description of Other Items (A40): Furnish a description of each debit or credit items included in data field 9 above. (IDDESC)
14	Other Debit Items - Amount (N9) DOL: Enter the amount related to debit items listed in data field 13 above.
15	Other Credit Items - Amount (N9) DOL: Enter the amount related to credit items listed in data field 13 above.

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	1 of 2
	DETAILED INSTRUCTIONS: SCHEDULE 0128	PRODUCTION FUEL STOCKS

**I. DESCRIPTION**

This schedule is used to collect details for production fuel stock inventory included in Account 151.00, fuel stock.

**II. GENERAL INFORMATION**

A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents.

B. Respondents shall complete all data fields on this schedule annually.

C. The respondent shall report separately each type of production fuel and oil stock maintained during the year. Refer to the Register of Data Standards, TYFUEL, for a detailed listing of fuel stocks.

**III. DETAILED INSTRUCTIONS**

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Data Field Number	Instructions
1 (Key)	<u>Fuel Type Code (N2)</u> : Enter the code for the fuel type, from the Register of Data Standards, <u>TYFUEL</u> .
2	<u>Unit of Measure (A7)</u> : Enter the code for the unit of measure in which fuel stock quantities are being reported, from the Register of Data Standards, <u>TYUNMS</u> .
3	<u>Quantity Fuel Stocks - Beginning of Year (N9) NO.</u>
4	<u>Cost Fuel Stocks - Beginning of Year (N9) DOL.</u>
5	<u>Quantity Fuel Stocks Received During Year (N9) NO.</u>
6	<u>Cost of Fuel Received During Year (N9) DOL.</u>
7	<u>Quantity of Fuel Sold or Transferred (N9) NO.</u>
8	<u>Cost of Fuel Sold or Transferred (N9) DOL.</u>
9	<u>Quantity of Fuel Used by Electric Department (N9) NO.</u>
10	<u>Cost of Fuel Used by Electric Department (N9) DOL.</u>
11	<u>Quantity of Fuel Used by Gas Department (N9) NO.</u>
12	<u>Cost of Fuel Used by Gas Department (N9) DOL.</u>
13	<u>Quantity of Fuel Used by Steam Department (N9) NO.</u>

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	2 of 2
	DETAILED INSTRUCTIONS: SCHEDULE 0128	PRODUCTION FUEL STOCKS

Data Field Number	Instructions
14	<u>Cost of Fuel Used by Steam Department (N9) DOL.</u>
15	<u>Quantity of Fuel Used by Other Departments (N9) NO.</u> : For purposes of this schedule, the quantity and cost of fuel for the year associated with construction projects or inventory adjustments should be included in data fields 15 and 16. Specific details on inventory adjustments of significant quantities and amounts should be reported as a note to the financial data, included on Schedule 0111.
16	<u>Cost of Fuel Used by Other Departments (N9) DOL.</u>
17	<u>Quantity of Fuel at End of Year (N9) NO.</u>
18	<u>Cost of Fuel at End of Year (N9) DOL.</u>

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<b>RAS</b>	<b>FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM</b>	1 of 1
<p>DETAILED INSTRUCTIONS: SCHEDULE 0129</p> <p style="text-align: center;">FUEL PRODUCTION COST DATA</p>		
<p><u>I. DESCRIPTION</u></p> <p>This schedule is used to collect fuel production quantity and cost data obtained from company owned sources or from affiliated companies.</p>		
<p><u>II. GENERAL INFORMATION</u></p> <p>A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents.</p> <p>B. Respondents shall complete all data fields on this schedule annually.</p> <p>C. Production cost for each kind of fuel shall be listed separately in data fields 5 through 9.</p> <p>D. For purposes of complying with the requirements of this schedule, established subsidiaries of the respondent should be considered affiliated companies.</p>		
<p><u>III. DETAILED INSTRUCTIONS</u></p> <p>The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:</p>		
<p><u>Data Field Number</u></p> <p>1 (Key)</p> <p>2 (Key)</p> <p>3</p> <p>4</p> <p>5</p> <p>6</p> <p>7</p> <p>8</p> <p>9</p> <p>10</p>	<p><u>Instructions</u></p> <p>Type of Fuel (N2): Enter the code, from the Register of Data Standards, <u>TYFUEL</u>.</p> <p>Source Code - Internal, Affiliated, (A3): If the fuel was obtained from the respondent's own sources, enter "INT"; if obtained from affiliated companies, enter "AFF". (TYFILSC)</p> <p>Name of Affiliated Company (A35): If the source is from affiliated company, enter name of the company. (IDNAME)</p> <p>Quantity Produced (N9) M0: Enter the quantity in tons, barrels, or MMCF. Enter the unit of measure in data field 10.</p> <p>Depreciation Expense (N9) DOL.</p> <p>Operation and Maintenance Expenses (N9) DOL.</p> <p>Tax Expense (N9) DOL.</p> <p>Other Expense (N9) DOL.</p> <p>Total Expense (N9) DOL.</p> <p>Unit of Measure (A7): Enter the code, from the Register of Data Standards, <u>TYUNMS</u>.</p>	

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
	DETAILED INSTRUCTIONS: SCHEDULE 0130	GAS PREPAYMENTS AND UNDELIVERED GAS OBLIGATIONS

Data Field Number	Instructions
10	<p><u>Make-up Period Expiration Date (N6)</u>: Enter the date, in the format MDDYY. (YMDATE)</p>
11	<p><u>Other Particulars (A72)</u>: If any agreement was cancelled, forfeited, or applied for another purpose, state gas volume, the dollar amount, the period when such obligation arose, and concise explanation of circumstances causing forfeiture or other disposition of take or pay agreement. If any agreement was determined other than by reference to amounts per MCF or demand commodity factors, furnish a concise explanation of basis of computation. (IDDESC)</p>

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
	DETAILED INSTRUCTIONS: SCHEDULE 0130	GAS PREPAYMENTS AND UNDELIVERED GAS OBLIGATIONS

Data Field Number	Instructions
<p><b>I. DESCRIPTION</b></p> <p>This schedule is used to collect information concerning obligations to customers under take or pay clauses of gas purchases or sale agreements.</p> <p><b>II. GENERAL INFORMATION</b></p> <p>A. This schedule shall be submitted by Class A and Class B Natural Gas respondents.</p> <p>B. Respondents shall complete all data fields on this schedule annually.</p> <p>C. The respondent shall report prepaid gas purchases included in Account 165.00 prepayments and gas sale obligations included in Account 253.00 other deferred credits or any other account.</p> <p>D. Report gas quantity in MMCF rounded to three decimal places at a uniform pressure base of 14.73 PSIA at 60° Fahrenheit. If the reported gas quantity is an estimated value, state such fact in data field 12.</p> <p>E. Report data fields 7 and 8 for each individual rate schedule (data field 3). Do not aggregate by seller/customer.</p> <p><b>III. DETAILED INSTRUCTIONS</b></p> <p>The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:</p>	
1 (Key)	<u>Name of Seller/Customer (A35)</u> . (IDNAME)
2 (Key)	<u>Account Number (N3.2)</u> : State the account number used to record the gas purchase or sale agreement. (ACCTML)
3 (Key)	<u>Respondent's FPC Rate Schedule Number (A11)</u> : (IDRATE)
4 (Key)	<u>Associated Company Indicator (N1)</u> : If customer is an associated company, enter "1"; if not, enter "0". (INVONO)
5	<u>Prepayment/Undelivered Gas for Current Year (N9.3) MMCF</u> : Enter the volume of gas, in MMCF rounded to three decimal places.
6	<u>Per Cent of Years Required Delivery (N3.2) PCT</u> .
7	<u>Quantity - End of Year (N9.3) MMCF</u> : Enter the volume of gas, in MMCF rounded to three decimal places.
8	<u>Amount - End of Year (N8) DOL</u> .
9	<u>Cents Per MCF - Undelivered Gas for Current Year (N3.2) CIS/MCF</u> .

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**RIS**  
 FEDERAL POWER COMMISSION  
 REGULATORY INFORMATION SYSTEM  
 DETAILED INSTRUCTIONS:  
 SCHEDULE 0132  
 CAPITAL STOCK  
 1 of 2

I. DESCRIPTION

This schedule is used to collect selected details on capital stock included in Account 201.00, Common Stock Issued, Account 204.00, Preferred Stock Issued, and Account 217.00, Required Capital Stock.

This schedule shall be submitted by the following categories of respondents:

Respondent Category	Data Fields
(1) Class A & Class B Natural Gas and Electric	All
(2) Class C & Class D Natural Gas and Electric	1-4, 6, & 7

B. Respondents shall complete all specified data fields on this schedule annually.

C. Report the particulars called for concerning common and preferred stock at end of year. Report separately each series of and class of preferred stock.

III. DETAILED INSTRUCTIONS

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Data Field Number	Instructions
1 (Key)	Account Number (N3.2): (ACCTML)
2 (Key)	Class and Series of Stock (A40): The designation of each class of preferred stock shall show the dividend rate and whether the dividends are cumulative or noncumulative. Example: Preferred Series A % Cumulative. Shares authorized for each class of preferred stock should be shown once under the first series of each class as listed in data field 2. (IDDESC)
3	Number of Shares Authorized by Charter (N8) NO: Show the number of shares authorized by the articles of incorporation (as amended) at end of year.
4	Par or Stated Value Per Share (N5) DOL.
5	Call Price End of Year (N5) DOL.
6	Shares of Capital Stock Outstanding (N8) NO: State the total number of shares outstanding per balance sheet without reduction for amounts held by respondent.
7	Amount of Capital Stock Outstanding (N9) DOL. State the total amount outstanding per balance sheet without reduction for amounts held by respondent.

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**RIS**  
 FEDERAL POWER COMMISSION  
 REGULATORY INFORMATION SYSTEM  
 DETAILED INSTRUCTIONS:  
 SCHEDULE 0132  
 CAPITAL STOCK  
 2 of 2

Instructions

Data Field Number	Instructions
8	Number of Shares Held by Respondent as Reacquired Stock (N6) NO: Enter the total number of shares held by the respondent as reacquired stock as of the balance sheet date.
9	Cost of Reacquired Capital Stock (N7) DOL: Enter the cost of reacquired capital stock included in Account 217.00.
10	Number of Shares Held by Respondent in Sinking and Other Funds (N6) NO.
11	Cost of Stock Held in Sinking and Other Funds (N7) DOL.
12	Other Stock Particulars (A72): Give particulars of any non-finally issued capital stock. If stock is pledged, state name of pledgee and purpose of pledge. State the amount of stock authorized by a regulatory commission but not issued at end of year. (IDDESC)

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	LONG-TERM DEBT	1 of 2
	DETAILED INSTRUCTIONS: SCHEDULE 0133		

Data Field Number	Instructions
1 (Key)	Account Number (N3.2): (ACCTML)
2 (Key)	Class and Series Description of Obligation (A50): Enter class and series of long-term debt. For example: First Refunding Mortgage - Series B. (IDDESC)
3	Name of Issuing Company (A35): Enter the name of the company issuing the bonds for any bonds assumed by the respondent. (IDNAME)
4	Associated Company Indicator (N1): If company named in data field 3 above is an associated company, enter "1"; if not, enter "0". (INYONO)
5	Nominal Date of Issue (N6): Enter the date, in the format MMDDYY. (TMDATE)
6	Date of Debt Maturity (N6): Enter the date, in the format MMDDYY. (TMDATE)
7	Amount Outstanding (N9) DOL.
8	Amount of Interest for Year (N7) DOL: List the amount of interest expense for the year related to long-term debt listed in data field 2 above. Include any interest expense incurred.

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	LONG-TERM DEBT	2 of 2
	DETAILED INSTRUCTIONS: SCHEDULE 0133		

Data Field Number	Instructions
8 (cont'd)	during the year on any obligation retired or reacquired before end of year. Explain in a footnote, any difference between total of data field 8 and the total of Account 427.00. Interest on Long-Term Debt, and Account 430.00 Interest on Debt to Associated Companies.
9	Interest Rate of Debt (N2.3) PCT.
10	Reacquired Bonds Held by Respondent (N9) DOL: Enter the cost of reacquired bonds included in Account 222.00.
11	Sinking and Other Funds Held by Respondent (N9) DOL.
12	End of Year Redemption Price Per \$100 (N3.2) DOL: Enter the redemption price (per \$100) as of the end of the year. If noncallable, leave data field blank.

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 <p style="text-align: center;"><b>FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM</b></p>	<p><b>NOTES PAYABLE</b></p>
<p>DETAILED INSTRUCTIONS: SCHEDULE 0134</p>	<p>1 of 1</p>

**I. DESCRIPTION**

This schedule is used to collect particulars concerning short-term indebtedness outstanding at the end of the year included in Account 231.00, Notes Payable.

**II. GENERAL INFORMATION**

A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents.

B. Respondents shall complete all data fields on this schedule annually.

C. Specific terms of indebtedness outstanding at end of the year under \$25,000 may be grouped for purposes of this schedule. For grouped items, enter the word "other" in data field 1 with the number of items grouped, i.e., Other (12) and report data for the grouped items in data fields 3-8.

D. Additional information on open lines of credit should be reported on Schedule 0112, Notes to Financial Data.

**III. DETAILED INSTRUCTIONS**

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Data Field Number	Instructions
1 (Key)	Name of Payee (A35): (IDNAME)
2	Date of Note (N6): Enter the date, in the format MDDYY. (TDATE)
3	Date of Maturity (M6): Enter the date, in the format MDDYY. (TDATE)
4	Interest Rate (N2.3) PCT.
5 (key)	Balance End of Year (N9) DOL.
6	Purpose for Which Notes Were Issued (A45): (IDDESC)
7	Particulars of Collateral Pledged for Notes, if Any (A45): If collateral is pledged for a note, enter the type and value of the collateral. (IDDESC)
8	Particulars of Compensating Balances (A60): For each item of indebtedness which is subject to a compensating balance arrangement (formal or informal) or if a commitment fee is involved, enter in this data field the type of arrangement and the amount involved. (IDDESC)

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
	DETAILED INSTRUCTIONS: TAXES ACCRUED, PREPAID AND CHARGED DURING YEAR SCHEDULE 0135	TAXES ACCRUED, PREPAID AND CHARGED DURING YEAR

I. DESCRIPTION.

This schedule is used to collect the particulars of the combined prepaid and accrued tax accounts and the details of taxes charged to operations and other accounts during the year.

II. GENERAL INFORMATION

A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents.

B. Respondents shall complete all data fields on this schedule annually.

C. Do not include gasoline and other sales taxes which have been charged to the accounts to which the material on which the tax was levied was charged.

D. Do not include in this schedule entries with respect to deferred income taxes or taxes collected through payroll deductions (i.e., employees share of FICA taxes) or other taxes collected by the respondent for the benefit of a taxing authority.

E. Include as taxes for purposes of this schedule: (1) accruals credited to taxes accrued; (2) amounts credited to prepaid taxes for proportions of prepaid taxes chargeable to current year; and (3) taxes paid and charged direct to operations or accounts other than accrued and prepaid tax accounts.

F. Taxes paid during the year and charged direct to final accounts, that is, not charged to prepaid or accrued taxes, should be reported by entering the description in data fields 1-3, and the related amounts in Taxes Charged, data field 4, and Taxes Paid, data field 5.

G. If any tax covers more than one year, the information required for data fields 1-11 should be shown separately for each year.

H. The distribution of taxes to the appropriate accounts of the Uniform System of Accounts should be listed in data fields 10 and 11.

III. DETAILED INSTRUCTIONS

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Data Field Number	Instructions
1 (Key)	Taxing Authority (A8): State the taxing authority, i.e., Federal, State or Local. (IDNAME)
2 (Key)	Kind of Tax (A20): State each kind of tax imposed, i.e., Income, Real Estate, etc. (IDDESC)
3 (Key)	Tax Year (N4): State each open tax year associated with data fields 1 and 2, in the format 19YY. (TYYEAR)

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Data Field Number	Instructions
4	Taxes Charged During Year (N9) DOL: Enter the amount of tax charged to operations or other accounts during the year related to the tax listed in data fields 1-3 above.
5	Taxes Paid During Year (N9) DOL: Enter the amount of taxes paid during the year related to the tax listed in data fields 1-3 above.
6	Adjustments to Taxes During Year (N9) DOL: Enter the total amount of all adjustment to taxes for the year. Include as tax adjustments in this data field settlements with Internal Revenue Service or adjustments of previous tax accruals. Designate debit adjustments by overstriking the preprinted minus sign.
7	Taxes Accrued Balance, End of Year (N9) DOL: Enter the accrued balance at end of year related to the tax listed in data fields 1-3 above.
8	Prepaid Tax Balance, End of Year (N9) DOL: Enter the prepaid end of year balance related to the tax listed in data fields 1-3 above.
9	Explanation of Tax Particulars (A72): This data field provides space for explanation of tax adjustments and tax apportionment. Provide an explanation of adjustments to taxes listed in data field 6 above. For any tax which it was necessary to apportion to more than one utility department or account, provide an explanation of the basis of apportioning such tax. (IDDESC)
10 (Key)	Account Number (N3.2): Enter each account(s) used to record the tax listed in data fields 1-3 above. (ACCTML)
11	Amount (N9) DOL: Enter the amount related to the entry listed in data field 10 above.

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	<b>FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM</b>	1 of 1																		
DETAILED INSTRUCTIONS: COMPUTATION OF FEDERAL INCOME TAX ACCRUALS SCHEDULE 0136																				
<p style="text-align: center;"><u>I. DESCRIPTION</u></p> <p>This schedule is used to collect information supporting the respondent's computation of Federal income tax accruals charged to Accounts 409.10, Income Taxes, Utility Operating Income, 409.20, Income Taxes, Other Income and Deductions, and 409.30, Income Taxes, Extraordinary Items, for the year.</p> <p style="text-align: center;"><u>II. GENERAL INFORMATION</u></p> <p>A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents.</p> <p>B. Respondents shall complete all data fields on this schedule annually.</p> <p>C. This schedule has two parts. In data fields 1-6, report information concerning the respondent's computation of Federal income tax accruals. In data fields 7 and 8, report detailed information supporting the total of other tax items reported in data field 4.</p> <p>D. This schedule supplements the information reported on Schedule 0135, Taxes Accrued, Prepaid, and Charged During Year.</p> <p style="text-align: center;"><u>III. DETAILED INSTRUCTIONS</u></p> <p>The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Data Field Number</th> <th style="text-align: left;">Instructions</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">1 (Key)</td> <td>Normal Tax Computed Amount Less Surtax Exemption (N9) DOL.</td> </tr> <tr> <td style="text-align: center;">2</td> <td>Capital Gains Computed Amount (N9) DOL.</td> </tr> <tr> <td style="text-align: center;">3</td> <td>Provision for Adjustments of Previous Year's Accruals (N9) DOL: Enter the net of adjustments of prior year tax provisions made through the current year tax provision.</td> </tr> <tr> <td style="text-align: center;">4</td> <td>Total Other Tax Items (N9) DOL: Enter the computation amount related to any factor not specifically listed in data fields 1-3 above and 5 below.</td> </tr> <tr> <td style="text-align: center;">5</td> <td>Investment Tax Credits (N9) DOL.</td> </tr> <tr> <td style="text-align: center;">6</td> <td>Total Current Year Federal Income Tax Accrual (N9) DOL: The amount reported should be a total for the amounts reported for accruals charged to Accounts 409.10, 409.20, and 409.30 for the year.</td> </tr> <tr> <td style="text-align: center;">7 (Key)</td> <td>Description of Other Tax Item (A30): Describe each specific other tax item included in data field 4 above. (IDDESC)</td> </tr> <tr> <td style="text-align: center;">8</td> <td>Amount of Other Tax Item (N8) DOL: Enter the amount related to the other tax item listed in data field 7 above.</td> </tr> </tbody> </table>			Data Field Number	Instructions	1 (Key)	Normal Tax Computed Amount Less Surtax Exemption (N9) DOL.	2	Capital Gains Computed Amount (N9) DOL.	3	Provision for Adjustments of Previous Year's Accruals (N9) DOL: Enter the net of adjustments of prior year tax provisions made through the current year tax provision.	4	Total Other Tax Items (N9) DOL: Enter the computation amount related to any factor not specifically listed in data fields 1-3 above and 5 below.	5	Investment Tax Credits (N9) DOL.	6	Total Current Year Federal Income Tax Accrual (N9) DOL: The amount reported should be a total for the amounts reported for accruals charged to Accounts 409.10, 409.20, and 409.30 for the year.	7 (Key)	Description of Other Tax Item (A30): Describe each specific other tax item included in data field 4 above. (IDDESC)	8	Amount of Other Tax Item (N8) DOL: Enter the amount related to the other tax item listed in data field 7 above.
Data Field Number	Instructions																			
1 (Key)	Normal Tax Computed Amount Less Surtax Exemption (N9) DOL.																			
2	Capital Gains Computed Amount (N9) DOL.																			
3	Provision for Adjustments of Previous Year's Accruals (N9) DOL: Enter the net of adjustments of prior year tax provisions made through the current year tax provision.																			
4	Total Other Tax Items (N9) DOL: Enter the computation amount related to any factor not specifically listed in data fields 1-3 above and 5 below.																			
5	Investment Tax Credits (N9) DOL.																			
6	Total Current Year Federal Income Tax Accrual (N9) DOL: The amount reported should be a total for the amounts reported for accruals charged to Accounts 409.10, 409.20, and 409.30 for the year.																			
7 (Key)	Description of Other Tax Item (A30): Describe each specific other tax item included in data field 4 above. (IDDESC)																			
8	Amount of Other Tax Item (N8) DOL: Enter the amount related to the other tax item listed in data field 7 above.																			

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	<b>FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM</b>	1 of 1										
DETAILED INSTRUCTIONS: CONSOLIDATED FEDERAL INCOME TAX RETURN INFORMATION SCHEDULE 0137												
<p style="text-align: center;"><u>I. DESCRIPTION</u></p> <p>This schedule is used to collect additional Federal income tax information if the respondent is a member of a group which files a consolidated tax return.</p> <p style="text-align: center;"><u>II. GENERAL INFORMATION</u></p> <p>A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents.</p> <p>B. Respondents shall complete all data fields on this schedule annually.</p> <p>C. Respondents that do not file consolidated returns need not complete this schedule.</p> <p style="text-align: center;"><u>III. DETAILED INSTRUCTIONS</u></p> <p>The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Data Field Number</th> <th style="text-align: left;">Instructions</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">1 (Key)</td> <td>Total Estimated Consolidated Tax Liability (N9) DOL: Enter the total estimated consolidated tax liability for the group.</td> </tr> <tr> <td style="text-align: center;">2</td> <td>Basis for Allocation of Consolidated Tax Among Group Members (A216): State basis of allocation of consolidated tax, including investment tax credits, among member companies. If allocated on basis of Internal Revenue Service (IRS) or Securities and Exchange Commission (SEC) regulations, furnish reference numbers. Abbreviate as necessary. (IDDESC)</td> </tr> <tr> <td style="text-align: center;">3 (Key)</td> <td>Member Company Name (A35): List name of each member company that is included in the consolidated return. (IDNAME)</td> </tr> <tr> <td style="text-align: center;">4</td> <td>Allocation of Estimated Consolidated Tax Liability (N9) DOL: State the amount (both positive and negative) of estimated Federal tax liability allocated to member company listed in data field 3 above.</td> </tr> </tbody> </table>			Data Field Number	Instructions	1 (Key)	Total Estimated Consolidated Tax Liability (N9) DOL: Enter the total estimated consolidated tax liability for the group.	2	Basis for Allocation of Consolidated Tax Among Group Members (A216): State basis of allocation of consolidated tax, including investment tax credits, among member companies. If allocated on basis of Internal Revenue Service (IRS) or Securities and Exchange Commission (SEC) regulations, furnish reference numbers. Abbreviate as necessary. (IDDESC)	3 (Key)	Member Company Name (A35): List name of each member company that is included in the consolidated return. (IDNAME)	4	Allocation of Estimated Consolidated Tax Liability (N9) DOL: State the amount (both positive and negative) of estimated Federal tax liability allocated to member company listed in data field 3 above.
Data Field Number	Instructions											
1 (Key)	Total Estimated Consolidated Tax Liability (N9) DOL: Enter the total estimated consolidated tax liability for the group.											
2	Basis for Allocation of Consolidated Tax Among Group Members (A216): State basis of allocation of consolidated tax, including investment tax credits, among member companies. If allocated on basis of Internal Revenue Service (IRS) or Securities and Exchange Commission (SEC) regulations, furnish reference numbers. Abbreviate as necessary. (IDDESC)											
3 (Key)	Member Company Name (A35): List name of each member company that is included in the consolidated return. (IDNAME)											
4	Allocation of Estimated Consolidated Tax Liability (N9) DOL: State the amount (both positive and negative) of estimated Federal tax liability allocated to member company listed in data field 3 above.											

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
	DETAILED INSTRUCTIONS: SCHEDULE 0138

	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
	DETAILED INSTRUCTIONS: SCHEDULE 0138

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ACCUMULATED DEFERRED INCOME TAXES

E. Where respondent has deferred taxes related to other income and deductions, include the particulars under the Supplemental Account numbers for "Other".

F. Include in data fields 3-14, details on contra entries to Expense Accounts 410.10, Provision for Deferred Income Taxes, Utility Operating Income, 410.20, Provision for Deferred Income Taxes, Other Income and Deductions, 411.10, Provision for Deferred Income Taxes - Credit, Utility Operating Income, and 411.20, Provision for Deferred Income Taxes, Credit Other Income and Deductions, associated with the Deferred Tax Item as specified in data field 2. Space has been provided with the fields 3-14 to list two separate contra entries for debits, credits and adjustments for each deferred tax item. If only one contra entry is involved, list the account number and amount in the appropriate data field 3-4, 7-8 and/or 11-12.

G. Data fields 16-18 which call for a summary of the deferred taxes by a Federal, State and local classification, should be completed only where data field 1 equals Prime Accounts 190.00, Accumulated Deferred Income Taxes, 281.00, Accumulated Deferred Income Taxes - Accelerated Amortization Property, 282.00, Accumulated Deferred Income Taxes - Other Property, and 283.00, Accumulated Deferred Income Taxes - Other.

III. DETAILED INSTRUCTIONS.

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Data Field Number	Instructions
1 (Key)	Account Number (N3.2): List separately each of the account numbers and supplemental account numbers having a balance at end of year. Refer to General Information, II-C and the Register of Data Standards, ACCTML.
2 (Key)	Description of Deferred Tax Item (A70): Provide a separate description for each significant deferred tax item included in Accounts 190.00 and 283.00 and related supplemental accounts (if more than one department) and Supplemental Account 282.05, such as: Unbilled Revenues Purchased Gas Adjustment Research and Development Interest Expense Associated with Construction Deferrals of minor items may be grouped under an "Other" description. Information on the contra accounts affected and the amounts involved for items included in this data field should be listed in data fields 3 through 15 below. (IDDESC)
3	Debits - Account Number (N3.2): Enter the appropriate account number from the Register of Data Standards, ACCTML.
4	Debits - Amount (N9) DOL.
5	Debits - Account Number (N3.2): (ACCTML)

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
	DETAILED INSTRUCTIONS: SCHEDULE 0138

	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
	DETAILED INSTRUCTIONS: SCHEDULE 0138

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ACCUMULATED DEFERRED INCOME TAXES

I. DESCRIPTION

This schedule is used to collect detailed information on respondents utilizing deferred tax accounting.

II. GENERAL INFORMATION

A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents.

B. Respondents shall complete all data fields on this schedule annually.

C. The following Uniform System of Accounts and Supplemental Accounts are to be reported on this schedule:

Uniform System of Accounts	Supplemental Accounts	Description
190.00		Accumulated deferred income taxes
281.00		Accumulated deferred income taxes - accelerated amortization property - total
281.10		Accumulated deferred income taxes - accelerated amortization property - certified defense facilities
281.20		Accumulated deferred income taxes - accelerated amortization property - certified pollution control facilities
281.30		Accumulated deferred income taxes - accelerated amortization property - other
282.00		Accumulated deferred income taxes - other property
283.00		Accumulated deferred income taxes - other

D. For utilities with more than one department, furnish in addition to the above accounts, information on deferred taxes for the electric, gas and other departments according to the Supplemental Accounts specified in the Register of Data Standards, ACCTML. For example:

Electric Respondents	Natural Gas Respondents
190.01	283.01
190.02	283.02
190.05	283.05

Accumulated deferred income taxes - electric  
 Accumulated deferred income taxes - gas  
 Accumulated deferred income taxes - other

Accumulated deferred income taxes - other - gas  
 Accumulated deferred income taxes - other - electric  
 Accumulated deferred income taxes - other - other

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RIS		FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	
DETAILED INSTRUCTIONS: SCHEDULE 0138		ACCUMULATED DEFERRED INCOME TAXES	3 of 3
Data Field Number	Instructions		
6	Debits - Amount (N9) DOL.		
7	Credits - Account Number (N3.2); (ACCTML)		
8	Credits - Amount (N9) DOL.		
9	Credits - Account Number (N3.2); (ACCTML)		
10	Credits - Amount (N9) DOL.		
11	Adjustments - Account Number (N3.2); (ACCTML)		
12	Adjustments - Amount (N9) DOL.		
13	Adjustments - Account Number (N3.2); (ACCTML)		
14	Adjustments - Amount (N9) DOL.		
15	Balance End of Year (N9) DOL.		
16	Classification of Tax - Federal Income (N9) DOL: Enter the portion of the deferred tax balance end of year (data field 15 above) which is applicable to Federal income tax.		
17	Classification of Tax - State Income (N9) DOL: Enter the portion of the deferred tax balance end of year (data field 15 above) which is applicable to State income tax.		
18	Classification of Tax - Local Income (N9) DOL: Enter the portion of the deferred tax balance end of year (data field 15 above) which is applicable to local income tax.		

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	1 of 2
	DETAILED INSTRUCTIONS: SCHEDULE 0139 ACCELERATED AMORTIZATION OF FACILITIES	

**I. DESCRIPTION**

This schedule is used to collect details on the accounting for income tax deferrals included in Account 281.00, Deferred Income Taxes - Accelerated Amortization Property, that relate to certified defense facilities and to certified pollution control facilities.

**II. GENERAL INFORMATION**

A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents.

B. Respondents shall complete all data fields on this schedule annually.

C. The respondent shall list separately in data fields 1-11, details for any property for which accelerated amortization under Sections 168 or 169 of the Internal Revenue Code has been authorized and where normalization accounting for the tax benefits has been practiced.

**III. DETAILED INSTRUCTIONS**

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Data Field Number	Instructions
1 (Key)	Facility Certificate Number (A10): (NRCADL)
2	Facility Description (A40): (IDDFESC)
3	Facility Amortizable Cost (N9) DOL.
4	Facility Total Cost (N9) DOL.
5	Date Amortization Commenced (N6): Enter the date amortization for tax purposes commenced, in the format MMDDYY. (TMDATE)
6	Normal Depreciation Rate (N2.2) PCT: Enter the normal depreciation rate for the current year (straight line book rate) used in tax deferral.
7	Tax Rate - Original Deferral (N2.2) PCT: Enter the tax rate used to originally defer amounts in Account 281.00. If the tax rate used to originally defer amounts varied from year to year, list the composite of the tax rates.
8	Tax Rate Amortized Flow Backs (N2.2) PCT: Enter the tax rate used during current year to amortize previous deferrals.
9	Total Deferred Taxes for Facility (N9) DOL: Enter total amount of tax deferrals related to the certificate.

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	2 of 2
	DETAILED INSTRUCTIONS: SCHEDULE 0139 ACCELERATED AMORTIZATION OF FACILITIES	

**I. INSTRUCTIONS**

Data Field Number	Instructions
10	Amount of Flow Back Accelerated Amortization (N9) DOL: Enter total amount of flow backs to date (credits to Account 411.00) related to the certificate.
11	Balance of Deferred Taxes Amortization (N9) DOL: Enter the balance of deferred taxes as of the end of year related to the certificate listed in data field 1 above.

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	1 of 1
DETAILED INSTRUCTIONS: SCHEDULE 0140 TAX DEFERRALS RELATED TO OTHER PROPERTY		
I. DESCRIPTION		
This schedule is used to collect information on deferred tax accounting related to property included in Account 282.00, Accumulated Deferred Income Taxes - Other Property.		
II. GENERAL INSTRUCTIONS		
A. This schedule shall be submitted by Class A and Class B Gas and Electric respondents.		
B. Respondents shall complete all data fields on this schedule in their first submission. On subsequent submissions, respondents shall submit only appropriate identification (key data fields) and the changed values, additions and deletions.		
III. DETAILED INSTRUCTIONS		
The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data fields number:		
Data Field Number	Instructions	
1 (Key)	Year (N4): Beginning with the year 1954, list each year for which deferred income taxes were recognized and included in Account 282.00.	
2	Total Amount Deferred (N9) DOL: Enter the gross amount of tax deferrals for the particular year reported in data field 1 above.	
3	Flow Backs (N9) DOL: Enter the total amount of flow backs (amortization of previous deferrals) to date related to the year shown in data field 1 above. Calculations to segregate and associate the gross flow backs for the year to the particular years where deferral arose may be based on reasonable methods of approximation which are consistently applied.	
4	Tax Deferrals - Balance (N9) DOL: Enter the balance of deferred tax as of the end of the year relating to the particular year shown in data field 1 above.	
5	Explanation of Deferred Tax Items (A/2): For each year listed in data field 1, enter the general nature of the items deferred and, where appropriate, the basis of the deferral: (1DDDESC)	
	Liberalized depreciation (straight line tax rate to liberalized tax rate or straight line tax rate to book straight tax rate) ADR class life Repair allowance Cost of removal	

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	INVESTMENT TAX CREDITS	1 of 2
	DETAILED INSTRUCTIONS: SCHEDULE 0141		

Data Field Number	Instructions
1 (Key)	Year (N4): Beginning with 1962 enter the year. (TWYEAR)
2	Rate (N2) PCT: Indicate 03 percent, 04 percent, 07 percent, 10 percent, 11 percent credits or other appropriate percent credits.
3	Indicator Original/Corrected (N1): Enter "1" at time of original submission. On subsequent submissions, enter "1" (original) or "2" (corrected) as appropriate. (INETOR)
4	Department (A3): Enter one of the following abbreviations to indicate department or operation: (TUTILL)  ELC = electric GAS = Gas OTH = OTHER

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	INVESTMENT TAX CREDITS	2 of 2
	DETAILED INSTRUCTIONS: SCHEDULE 0141		

Data Field Number	Instructions
5	Credit Generated (N10) DOL: Enter the amount of investment tax credits generated from properties used in utility operations.
6	Credit Utilized (N10) DOL: Enter the amount of generated credits utilized in computing the Federal income tax liability for the year.
7	Unused Credit Available (N10) DOL: Enter the amount of unused tax credits available at end of each year.
8	Weighted Average Life of Property (N3.2) PCT: Enter the weighted average useful life of all eligible properties subject to the credit.

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
	DETAILED INSTRUCTIONS: ADVANCES FOR GAS PRIOR TO INITIAL DELIVERIES OR COMMISSION CERTIFICATION SCHEDULE 0142	1 of 2

I. DESCRIPTION

This schedule is used to collect details concerning advances for gas outstanding during the year.

A. This schedule shall be submitted by Class A and Class B Natural Gas respondents.

B. Respondents shall complete all data fields on this schedule annually.

C. Report information concerning all advances for gas the cost of which is included in Account 124.00, Other Investments; 166.00, Advances for Gas Exploration, Development and Production; and 167.00, Other Advances for Gas, of the Uniform System of Accounts. Do not include take or pay gas arrangements properly included in Account 105.00, Prepayments.

D. Do not report in data fields 6 or 8, the effects of transfers between Account 124.00 and Accounts 166.00 or 167.00 for balance sheet reporting purposes.

III. DETAILED INSTRUCTIONS

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field numbers:

Data Field Numbers	Instructions
1 (Key)	Account Number (N3.2): First list advances included in Account 124.00 (as of the balance sheet date) then advances included in Accounts 166.00 and 167.00. (ACCTML)
2 (Key)	Name of Payee (A35): Enter name of payee. If advances are made to a payee in connection with different projects with different arrangements for repayments, use separate lines for reporting; otherwise all advances may be grouped by payee, subject to the requirement that repayments and other credits (data fields 8, 10 and 11) are to be shown by specific account charged. (IDNAME)
3	Associated Company Indicator (M1): If company named in data field 2 above is an associated company, enter "1"; if not, enter "0". (INYONO)
4	Date of Advance (N6): Enter the date, in the format MMDDYY. (TDATE)
5	Date of Repayment (N6): Enter an estimated date for complete repayment of the advance, in the format MMDDYY. (TDATE) Do not use the term "indefinite" for advances included in Accounts 124.00 and 166.00.

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
	DETAILED INSTRUCTIONS: ADVANCES FOR GAS PRIOR TO INITIAL DELIVERIES OR COMMISSION CERTIFICATION SCHEDULE 0142	2 of 2

Data Field Number	Instructions
6	Advances During Year (N8) DOL: Enter the amount of new advances made during the year or any additional amounts on previously made advances.
7	Purpose of Advance (A45): Enter purpose of advance - for example, exploration, development, production, working interest, general loan, etc. (IDDESC)
8	Repayments or Other Credits (N8) DOL: Enter the total amount of repayments received or other credits made during the year. The total amount and amounts shown in data field (8) must be reported by specific accounts in data fields 10 and 11 below.
9	Balance End of Year (N8) DOL.
10 (Key)	Account Charged (N3.2): (ACCTML)
11	Amount (N8) DOL.

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
DETAILED INSTRUCTIONS: PARTICULARS FOR ESTIMATING DEPRECIATION, DEPLETION AND AMORTIZATION OF UTILITY PLANT	
1 of 2	

**I. DESCRIPTION**  
This schedule is used to collect the factors and other particulars used in estimating depreciation, depletion, and amortization of utility plant.

**II. GENERAL INFORMATION**

A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents.

B. Respondents shall complete all data fields on this schedule in their first submission. On subsequent submissions, respondents shall submit only appropriate identification (key data fields) and changed values, additions, and deletions.

C. Each logical entry has two parts. Report in data fields 1-6, information with respect to estimating depreciation accruals. Report in data fields 1, 7 and 8, information on depletion and amortization.

D. Information must be reported on this schedule by each of the 300 series of plant accounts which is subject to depreciation, depletion, and/or amortization. Also report the subtotal of functional classifications by use of the following Supplemental Accounts in data field 1:

Uniform System of Accounts	Supplemental Accounts	Description
303.90		Total intangible plant
319.90		Total steam production
325.90		Total nuclear production
339.90		Total hydraulic production - conventional pumped storage
347.90		Total other production plant
359.90		Total transmission plant
379.90		Total distribution plant
399.90		Total general plant
Natural Gas Respondents		
303.90		Total intangible plant
320.90		Total manufactured gas production plant
339.90		Total natural gas production and gathering plant
347.90		Total products extraction plant
359.90		Total underground storage plant
363.90		Total local storage plant
372.90		Total transmission plant
389.90		Total distribution plant
399.90		Total general plant

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
DETAILED INSTRUCTIONS: PARTICULARS FOR ESTIMATING DEPRECIATION, DEPLETION AND AMORTIZATION OF UTILITY PLANT	
2 of 2	

Refer to General Instruction II-D, Schedule 0144, Plant in Service, for a detailed listing of the 300 series of plant accounts from the Uniform System of Accounts.

E. Respondents utilizing different depreciation rates for plant included in one plant account should repeat in data field 1, the appropriate primary account number and complete data fields 2-6 for each type of plant. Do not report in data field 1 any account numbers used for your internal purposes only.

**III. DETAILED INSTRUCTIONS**

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Data Field Number	Instructions
1 (Key)	Account Number (N3.2): Enter the account number, from the Register of Data Standards, ACCTML.
2	Depreciation - Estimated Average Service Life (N3) YR: For each plant account subject to depreciation listed in data field 1 above, state the estimated average service life of the related property, rounded to nearest whole number.
3	Depreciation - Net Salvage (N2.2) PCT: For each plant account subject to depreciation, state the per cent, positive or negative, of net salvage. Net salvage is defined as gross salvage less cost of removal. If net salvage is not used as a factor in determining rates, report 0's.
4	Depreciation - Applied Rate (N2.2) PCT: State the depreciation rate applied to the plant account.
5	Depreciation - Mortality Curve Type (A6): If plant mortality studies are prepared to assist in estimating average service lives, show the type mortality curve selected as most appropriate for the account. (IDDESC)
6	Depreciation - Average Remaining Life (N3) YR: State the weighted average remaining life of surviving plant.
7	Depletion/Amortization - Type Plant (A30): For plant subject to depletion or amortization, list the types of plant involved. For example: (IDDESC) Franchisees/Consents (if data field 1 is Account 302.00) Producing Lands (if data field 1 is Account 325.10)
8	Depletion/Amortization - Number of Years (N2) YR: For property subject to amortization, state the number of years (period of amortization) associated with data field 7 above. For property subject to depletion, state on an estimated basis, the number of years for such property to be completely depleted.

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
	DETAILED INSTRUCTIONS: PLANT IN SERVICE OR ALL UTILITY PLANT SCHEDULE 0144 CLASS A AND CLASS B - PART I	DETAILED INSTRUCTIONS: PLANT IN SERVICE OR ALL UTILITY PLANT SCHEDULE 0144 CLASS A AND CLASS B - PART I

	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
	DETAILED INSTRUCTIONS: PLANT IN SERVICE OR ALL UTILITY PLANT SCHEDULE 0144 CLASS A AND CLASS B - PART I	DETAILED INSTRUCTIONS: PLANT IN SERVICE OR ALL UTILITY PLANT SCHEDULE 0144 CLASS A AND CLASS B - PART I

Uniform System of Accounts	Supplemental Accounts	Description
HYDRAULIC PRODUCTION		
330.00	330.10	Land and land rights - fish and wildlife
	330.20	Land and land rights - recreation
331.00	331.10	Structures and improvements - fish and wildlife
	331.20	Structures and improvements - recreation
332.00	332.10	Reservoirs, dams and waterways - fish and wildlife
	332.20	Reservoirs, dams and waterways - recreation
333.00		Waterwheels, turbines and generators
334.00		Accessory electric equipment
335.00	335.10	Miscellaneous power plant equipment - fish and wildlife
	335.20	Miscellaneous power plant equipment - recreation
336.00	339.90	Roads, railroads and bridges
		Total Hydraulic Production
OTHER PRODUCTION		
340.00		Land and land rights
341.00		Structures and improvements
342.00		Fuel holders, producers and accessories
343.00		Prime movers
344.00		Generators
345.00		Accessory electric equipment
346.00	347.90	Miscellaneous power plant equipment
	349.90	Total Other Production
		Total Production Plant
TRANSMISSION PLANT		
350.00		Land and land rights
352.00		Structures and improvements
353.00		Station equipment
354.00		Towers and fixtures
355.00		Poles and fixtures
356.00		Overhead conductors and devices
357.00		Underground conductors and devices
358.00		Roads and trails
359.00		Total Transmission Plant
DISTRIBUTION PLANT		
360.00		Land and land rights
361.00		Structures and improvements

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I. DESCRIPTION

This schedule is used to collect detailed information on the additions, retirements, adjustments, transfers and end of year balance for property included in Account 101.00, Electric/Gas Plant in Service, Classified according to the 300 series of plant accounts.

II. GENERAL INFORMATION

A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents.

B. Respondents shall complete all data fields on this schedule annually.

C. Natural gas respondents with more than one utility department furnish data for gas plant only; electric respondents furnish data for the electric plant only.

D. Report in data field 1 the appropriate account number from the 300 series of plant accounts. The 300 series includes the following Uniform System of Account numbers (301.00-399.00) and Supplemental Account numbers:

Uniform System of Accounts	Supplemental Accounts	Description
Electric Respondents		
INTANGIBLE PLANT		
301.00		Organization
302.00		Franchises and consents
303.00	303.90	Miscellaneous intangible plant
		Total Intangible Plant
PRODUCTION PLANT		
STEAM PRODUCTION		
310.00		Land and land rights
311.00		Structures and improvements
312.00		Boiler plant equipment
313.00		Engines and engine driven generators
314.00		Turbogenerator units
315.00		Accessory electric equipment
316.00	319.90	Miscellaneous power plant equipment
		Total Steam Production
320.00		Land and land rights
321.00		Structures and improvements
322.00		Reactor plant equipment
323.00		Turbogenerator units
324.00		Accessory electric equipment
325.00	325.90	Miscellaneous power plant equipment
		Total Nuclear Production

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	3 of 7
	DETAILED INSTRUCTIONS: PLANT IN SERVICE OR ALL UTILITY PLANT CLASS A AND CLASS B - PART I SCHEDULE 0144	

Uniform System of Accounts	Supplemental Accounts	Description
362.00		Station equipment
363.00		Storage battery equipment
364.00		Poles, towers and fixtures
365.00		Overhead conductors and devices
366.00		Underground conduit
367.00		Underground conductors and devices
368.00		Line transformers
369.00		Services
370.00		Meters
371.00		Installations on customers' premises
372.00		Leased property on customers' premises
373.00		Street lighting and signal systems
	379.90	Total Distribution Plant
		GENERAL PLANT
389.00		Land and land rights
390.00		Structures and improvements
391.00		Office furniture and equipment
392.00		Transportation equipment
393.00		Stores equipment
394.00		Tools, shop and garage equipment
395.00		Laboratory equipment
396.00		Power operated equipment
397.00		Communication equipment
398.00		Miscellaneous equipment
399.00		Other tangible property
	399.90	Total General Plant
		Natural Gas Respondents
301.00		Organization
302.00		Franchise and consents
303.00		Miscellaneous intangible plant
	303.90	Total Intangible Plant
		INTANGIBLE PLANT
		MANUFACTURED GAS PRODUCTION PLANT
304.00		Land and land rights
305.00		Structures and improvements
306.00		Boiler plant equipment
307.00		Other power equipment
308.00		Coke ovens
309.00		Producer gas equipment
310.00		Water gas generating equipment
311.00		Liquefied petroleum gas equipment

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	4 of 7
	DETAILED INSTRUCTIONS: PLANT IN SERVICE OR ALL UTILITY PLANT CLASS A AND CLASS B - PART I SCHEDULE 0144	

Uniform System of Accounts	Supplemental Accounts	Description
312.00		Oil gas generating equipment
313.00		Generating equipment - other processes
314.00		Coal, coke, and ash handling equipment
315.00		Catalytic cracking equipment
316.00		Other reforming equipment
317.00		Purification equipment
318.00		Residual refining equipment
319.00		Gas mixing equipment
320.00		Other equipment
	320.90	Total Manufactured Gas Production Plant
		NATURAL GAS PRODUCTION PLANT
		NATURAL GAS PRODUCTION AND GATHERING PLANT
325.10		Producing lands
325.20		Producing leaseholds
325.30		Gas rights
325.40		Rights-of-way
325.50		Other land and land rights
326.00		Gas well structures
327.00		Field compressor station structures
328.00		Field measuring and regulating station structures
329.00		Other structures
330.00		Producing gas wells - well construction
331.00		Producing gas wells - well equipment
332.00		Field lines
333.00		Field compressor station equipment
334.00		Field measuring and regulating station equipment
335.00		Drilling and cleaning equipment
336.00		Purification equipment
337.00		Other equipment
338.00		Unsuccessful exploration and development costs
	339.90	Total Natural Gas Production and Gathering Plant
		PRODUCTS EXTRACTION PLANT
340.00		Land and land rights
341.00		Structures and improvements
342.00		Extraction and refining equipment
343.00		Pipe lines
344.00		Extracted products storage equipment
345.00		Compressor equipment
346.00		Gas measuring and regulating equipment
347.00		Other equipment
	348.90	Total Products Extraction Plant
	349.90	Total Production Plant

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Uniform System of Accounts	Supplemental Accounts	Description
350.10		NATURAL GAS STORAGE PLANT
350.20		UNDERGROUND STORAGE PLANT
351.00		Land
351.00		Rights-of-way
352.00		Structures and improvements
352.10		Wells
352.20		Storage leaseholds and rights
352.30		Reservoirs
353.00		Nonrecoverable natural gas
353.00		Lines
354.00		Compressor-station equipment
355.00		Measuring and regulating equipment
356.00		Purification equipment
357.00		Other equipment
	359.90	Total Underground Storage Plant
		OTHER STORAGE PLANT
360.00		Land and land rights
361.00		Structures and improvements
362.00		Gas holders
363.00		Purification equipment
363.10		Liquefaction equipment
363.20		Vaporizing equipment
363.30		Compressor equipment
363.40		Measuring and regulating equipment
363.50		Other equipment
	363.90	Total Other Storage Plant
	364.90	Total Natural Gas Storage Plant
		TRANSMISSION PLANT
365.10		Land and land rights
365.20		Rights-of-way
366.00		Structures and improvements
367.00		Mains
368.00		Compressor station equipment
369.00		Measuring and regulating station equipment
370.00		Communication equipment
371.00		Other equipment
	372.90	Total Transmission Plant
		DISTRIBUTION PLANT
374.00		Land and land rights
375.00		Structures and improvements
376.00		Mains

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Uniform System of Accounts	Supplemental Accounts	Description
377.00		Compressor station equipment
378.00		Measuring and regulating station equipment - general
379.00		Measuring and regulating station equipment - city gate check stations
380.00		Services
381.00		Meters
382.00		Meter installations
383.00		House regulator
384.00		House regulator installations
385.00		Industrial measuring and regulating station equipment
386.00		Other property on customers' premises
387.00		Other equipment
	388.90	Total Distribution Plant
		GENERAL PLANT
389.00		Land and land rights
390.00		Structures and improvements
391.00		Office furniture and equipment
392.00		Transportation equipment
393.00		Stores equipment
394.00		Tools, shop and garage equipment
395.00		Laboratory equipment
396.00		Power operated equipment
397.00		Communication equipment
398.00		Miscellaneous equipment
399.00		Other tangible property
	399.90	Total General Plant

E. Credit balances in data fields 2, 4, 5 and 6 should be indicated by a minus sign (-). Debit balances for plant retired (data field 3) should also be indicated by a minus sign.

F. The respondents shall report the original cost of fish, wildlife and recreation facilities in Supplemental Accounts for Hydraulic Plant.

G. Plant balances related to property included in Account 106.00, completed construction not classified, should be reported on Schedule 0145.

III. DETAILED INSTRUCTIONS

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

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FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM		7 of 7
DETAILED INSTRUCTIONS: PLANT IN SERVICE OR ALL UTILITY PLANT SCHEDULE 0144 CLASS A AND CLASS B - PART I		
<u>Instructions</u>		
1 (Key)	Account Number (N3.2): Enter the appropriate 300 series plant account, from the Register of Data Standards, <u>ACCTM</u> .	
2 (Key)	Additions to Plant in Service (N9) DOL: Additions for current year.	
3	Retirements to Plant in Service (N9) DOL: Enter retirements for current year. If the respondent has a significant amount of plant retirements which have not been classified to primary accounts at the end of the year, a tentative distribution of such retirements to the 300 series of accounts on an estimated basis, with appropriate contra entry to Account 108.00, Accumulated Provision for Depreciation of Utility Plant, or Account 111.00, Accumulated Provision for Amortization of Utility Plant shall be made and the related dollar amount included in this data field. Include also in this data field reversals of tentative distributions of prior year of unclassified retirements.	
4	Adjustments to Plant in Service (N9) DOL: Enter the amount of adjustments to the plant in service account listed in data field 1 above.	
5	Transfers of Plant in Service (N9) DOL: Enter reclassification or transfers to plant in service within utility plant accounts or transfer of in service plant from one detail plant in service account to another account, i.e., nonutility property.	
6	Plant Balance End of Year (N10) DOL.	

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 FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	COMPLETED CONSTRUCTION NOT CLASSIFIED	2 of 2
	DETAILED INSTRUCTIONS: SCHEDULE 0145	

Refer to General Information, II-D, Schedule 0144, Plant in Service, for a detail listing of the 300 series of plant accounts from the Uniform System of Accounts.

**III. DETAILED INSTRUCTIONS**

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

<p><u>Data Field Number</u></p> <p>1 (Key)</p> <p>2 (Key)</p> <p>3</p>	<p style="text-align: center;"><u>Instructions</u></p> <p>Account Number (N3.2): Enter the appropriate 300 series of plant accounts, from the Register of Data Standards, <u>ACCTWL</u>.</p> <p><u>Balance Beginning of Year</u> (N10) DOI.</p> <p><u>Balance End of Year</u> (N10) DOI.</p>
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 FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	COMPLETED CONSTRUCTION NOT CLASSIFIED	1 of 2
	DETAILED INSTRUCTIONS: SCHEDULE 0145	

This schedule is used to collect detailed information on Account 106.00, Completed Construction Not Classified.

**I. DESCRIPTION**

**II. GENERAL INFORMATION**

A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents.

B. Respondents shall complete all data fields on this schedule annually.

C. Natural gas respondents with more than one utility department furnish data for gas department only; electric respondents furnish data for the electric department only.

D. For the purpose of reporting to the Commission, the balance included in Account 106.00, Completed Construction Not Classified, should be tentatively classified in as accurately as practicable according to the detailed 300 series of plant in service accounts. The purpose of this reporting requirement is to avoid any significant omission in the reported amounts of plant in service.

E. Report in data field 1 the appropriate account number from the 300 series of plant accounts. The 300 series includes the Uniform System of Account numbers (301.00-399.00) and the following Supplemental Account numbers:

<u>Uniform System of Accounts</u>	<u>Supplemental Accounts</u>	<u>Description</u>
Electric Respondents		
	303.90	Total intangible plant
	319.90	Total steam production
	325.90	Total nuclear plant
	339.90	Total hydraulic production
	347.90	Total other production
	349.90	Total production plant
	359.90	Total transmission plant
	373.90	Total distribution plant
	399.90	Total general plant
Natural Gas Respondents		
	303.90	Total intangible plant
	320.90	Total manufacturer gas production plant
	339.90	Total natural gas production and gathering plant
	347.90	Total products extraction plant
	349.90	Total production plant
	359.90	Total underground storage plant
	363.90	Total local storage plant
	372.90	Total transmission plant
	389.90	Total distribution plant
	399.90	Total general plant

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	1 of 1
DETAILED INSTRUCTIONS: SCHEDULE 0147      EXPERIMENTAL PLANT UNCLASSIFIED		
I. DESCRIPTION This schedule is used to collect details on utility plant included in Account 103.00, Experimental Plant Unclassified.		
II. GENERAL INFORMATION		
A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents. B. Respondents shall complete all data fields on this schedule annually. C. Gas respondents with more than one utility department furnish data for gas departments only; electric respondents furnish data for the electric departments only.		
III. DETAILED INSTRUCTIONS The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:		
Data Field Number  1 (Key)  2  3  4	Instructions  Description of Experimental Plant/Process (A45): Provide a description for any plant and/or process included in Account 103.00 at any time during the year. (IDDESC)  Date Experimental Plant Included in Account (N6). (TMDATE)  Date of Experimental Plant Expenditure Final Classification (N6): State the transfer date (estimated) if not already transferred to plant in service or nonutility property, in the format MDDYY. (TMDATE)  Original Cost of Experimental Plant (N9) DOL.	

<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	1 of 1
DETAILED INSTRUCTIONS: SCHEDULE 0146      PLANT PURCHASED OR SOLD		
I. DESCRIPTION This schedule is used to collect selected information concerning purchases and sales of plant operating units or systems made during the year.		
II. GENERAL INFORMATION		
A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents. B. Respondents shall complete all data fields on this schedule annually. C. Natural gas respondents having more than one utility department furnish data for gas department only; electric respondents furnish data for the electric department only. D. The respondent shall only report information on this schedule concerning purchases or sales of operating units or systems.		
III. DETAILED INSTRUCTIONS The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:		
Data Field Number  1 (Key)  2  3  4  5  6  7  8  9	Instructions  Name of Purchaser or Seller (A35): (IDRESP)  Date of Transaction (N6): Enter the date, in the format MDDYY. (TMDATE)  Account Number Indicator (N1): If Account 102.00 was initially used to record the transaction, enter "1" in this data field; if not, enter "0". (INXONO)  Date of Commission Approval of Transaction (N6): Enter the date, in the format MDDYY, if the purchase or sale transaction was approved by this Commission. (TMDATE)  Date of Commission Approval of Journal Entries (N6): Enter the date, in the format MDDYY, if proposed journal entries have been filed with the Commission as required by the Uniform System of Accounts. (TMDATE)  Description of Property (A45): Furnish a description of the property purchased or sold. (IDDESC)  Purchase or Sale Price (N10) DOL.  Original Cost of the Facility (N10) DOL.  Accumulated Reserve of the Facility (N10) DOL: Enter the accumulated depreciation, depletion and amortization related to the facility.	

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	UTILITY PLANT LEASED TO OTHERS 1 of 2																						
DETAILED INSTRUCTIONS: SCHEDULE 0148																								
<p style="text-align: center;">I. DESCRIPTION</p> <p>This schedule is used to collect information concerning utility plant included in Account 104.00, Plant Leased to Others.</p> <p style="text-align: center;">II. GENERAL INFORMATION</p> <p>A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents.</p> <p>B. Respondents shall complete all data fields on this schedule annually.</p> <p>C. Natural gas respondents with more than one utility department furnish data for gas department only; electric respondents furnish data for the electric department only.</p> <p>D. Report information in data fields 1-13 for each lease agreement.</p> <p>E. Revenues and expenses of leased property (data fields 6-12) are those included in Accounts 412.00, 413.00, 408.10 and 409.10 for the year.</p> <p style="text-align: center;">III. DETAILED INSTRUCTIONS</p> <p>The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Data Field Number</th> <th style="text-align: left;">Instructions</th> </tr> </thead> <tbody> <tr> <td>1 (Key)</td> <td>Description of Leased Property (A45): State description and location of leased property. (IDDESC)</td> </tr> <tr> <td>2</td> <td>Name of Lessee (A35): (IDNAME)</td> </tr> <tr> <td>3</td> <td>Associated Company Indicator (N1): Enter "1" if lessee is an associated company; if not, enter "y". (INYOON)</td> </tr> <tr> <td>4</td> <td>Date of Commission Authorization (N6): Enter the date of Commission authorization of plant leased to others, in the format MDDYY. (TDATE)</td> </tr> <tr> <td>5</td> <td>Leased Property Original Cost (N9) DOL: Enter the original cost of leased property at the end of the year.</td> </tr> <tr> <td>6</td> <td>Leased Property Revenues (N7) DOL.</td> </tr> <tr> <td>7</td> <td>Leased Property Expense - Operation (N7) DOL.</td> </tr> <tr> <td>8</td> <td>Leased Property Expense - Maintenance (N7) DOL.</td> </tr> <tr> <td>9</td> <td>Leased Property Expense - Rent (N7) DOL.</td> </tr> <tr> <td>10</td> <td>Leased Property Expense - Depreciation and Amortization (N7) DOL.</td> </tr> </tbody> </table>			Data Field Number	Instructions	1 (Key)	Description of Leased Property (A45): State description and location of leased property. (IDDESC)	2	Name of Lessee (A35): (IDNAME)	3	Associated Company Indicator (N1): Enter "1" if lessee is an associated company; if not, enter "y". (INYOON)	4	Date of Commission Authorization (N6): Enter the date of Commission authorization of plant leased to others, in the format MDDYY. (TDATE)	5	Leased Property Original Cost (N9) DOL: Enter the original cost of leased property at the end of the year.	6	Leased Property Revenues (N7) DOL.	7	Leased Property Expense - Operation (N7) DOL.	8	Leased Property Expense - Maintenance (N7) DOL.	9	Leased Property Expense - Rent (N7) DOL.	10	Leased Property Expense - Depreciation and Amortization (N7) DOL.
Data Field Number	Instructions																							
1 (Key)	Description of Leased Property (A45): State description and location of leased property. (IDDESC)																							
2	Name of Lessee (A35): (IDNAME)																							
3	Associated Company Indicator (N1): Enter "1" if lessee is an associated company; if not, enter "y". (INYOON)																							
4	Date of Commission Authorization (N6): Enter the date of Commission authorization of plant leased to others, in the format MDDYY. (TDATE)																							
5	Leased Property Original Cost (N9) DOL: Enter the original cost of leased property at the end of the year.																							
6	Leased Property Revenues (N7) DOL.																							
7	Leased Property Expense - Operation (N7) DOL.																							
8	Leased Property Expense - Maintenance (N7) DOL.																							
9	Leased Property Expense - Rent (N7) DOL.																							
10	Leased Property Expense - Depreciation and Amortization (N7) DOL.																							

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	UTILITY PLANT LEASED TO OTHERS 2 of 2										
DETAILED INSTRUCTIONS: SCHEDULE 0148												
<p style="text-align: center;">Instructions</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Data Field Number</th> <th style="text-align: left;">Instructions</th> </tr> </thead> <tbody> <tr> <td>11</td> <td>Leased Property Expense - Taxes (N7) DOL.</td> </tr> <tr> <td>12</td> <td>Leased Property Expense - Other (N7) DOL.</td> </tr> <tr> <td>13</td> <td>Leased Property Net Income (N7) DOL.</td> </tr> <tr> <td>14</td> <td>Method of Determining Annual Rental (A45): If the property is leased on a basis other than that of a fixed annual rental, state the method of determining the annual rental and the expiration date of the lease. (IDDESC)</td> </tr> </tbody> </table>			Data Field Number	Instructions	11	Leased Property Expense - Taxes (N7) DOL.	12	Leased Property Expense - Other (N7) DOL.	13	Leased Property Net Income (N7) DOL.	14	Method of Determining Annual Rental (A45): If the property is leased on a basis other than that of a fixed annual rental, state the method of determining the annual rental and the expiration date of the lease. (IDDESC)
Data Field Number	Instructions											
11	Leased Property Expense - Taxes (N7) DOL.											
12	Leased Property Expense - Other (N7) DOL.											
13	Leased Property Net Income (N7) DOL.											
14	Method of Determining Annual Rental (A45): If the property is leased on a basis other than that of a fixed annual rental, state the method of determining the annual rental and the expiration date of the lease. (IDDESC)											

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	1 of 2
	DETAILED INSTRUCTIONS: PLANT AND PRODUCTION PROPERTIES HELD FOR FUTURE USE SCHEDULE 0149	

Data Field Number	Instructions
1 (Key)	Account Number (N3.2): (ACCTML)
2 (Key)	Description of Property (A50): Report only name of plant or property, county, and state. (IDDESC)  Example: Land - 10 Acres, Hudson County, N.J.
3 (Key)	Date Originally Included in This Account (N6): Enter the date that property value was included in Account 105.00 or 105.10, in the format MDDYY. (TMDATE)
4	Date Expected to be Used in Utility Service (N6): Enter the date that property is expected to be used in utility service. Unless property relates to land or land rights, respondent shall report a specific date in this data field, in the format MDDYY. (TMDATE)
5	Date of Discontinued Use (N6): For property having an original cost of \$100,000 or more previously used in utility

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

III. DETAILED INSTRUCTIONS

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

I. DESCRIPTION

This schedule is used to collect information on plant and production properties included in Account 105.00, Plant Held for Future Use, and Account 105.10, Production Properties Held for Future Use.

II. GENERAL INFORMATION

A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents.

B. Natural gas respondents shall complete all specified data fields on this schedule annually. Electric respondents shall complete all specified data fields annually for Account 105.00 only.

C. Natural gas respondents having more than one utility department furnish data for gas department only; electric respondents furnish data for the electric department only.

D. Report separately each plant or property held for future use at end of the year having an original cost of \$100,000 or more. Other items of property held for future use may be grouped, by entering the word "other" in data field 2 and listing the number of items so grouped. Plant properties consisting of land and land rights, leaseholds, and gas rights should be grouped and listed first in data field 2, under the title "Natural Gas Lands, Leaseholds, and Gas Rights". Additional information on these investments shall be included in Schedule 0157.

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	2 of 2
	DETAILED INSTRUCTIONS: PLANT AND PRODUCTION PROPERTIES HELD FOR FUTURE USE SCHEDULE 0149	

Data Field Number	Instructions
5 (cont'd)	operations, now held for future use, enter the date that utility use of such property was discontinued, in the format MDDYY. (TMDATE)
6 (Key)	Date Original Cost Transferred (N6): Refer to instructions for data field 5 above. Enter the date that original cost was transferred to Account 105.00 or 105.10, in the format MDDYY. (TMDATE)
7	Balance End of Year (N9) DOL: Report the total end of year balance for each property item or group.

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	1 of 1
DETAILED INSTRUCTIONS: SCHEDULE 0150	CONSTRUCTION WORK IN PROGRESS	
I. DESCRIPTION		
This schedule is used to collect information on construction projects included in Account 107.00, Construction Work in Progress at the end of the year.		
II. GENERAL INFORMATION		
A.	This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents.	
B.	Respondents shall complete all data fields on this schedule annually.	
C.	Natural gas respondents with more than one utility department furnish data for gas department only; electric respondents furnish data for the electric department only.	
D.	Report construction work in progress according to plant functions listed in data field 1.	
E.	Specific projects with total estimated expenditures under \$100,000 shall be grouped by plant function.	
III. DETAILED INSTRUCTIONS		
The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:		
Data Field Number	Instructions	
1 (Key)	Plant Function (N2): Enter the plant function code from the following list: (IDPLFN)  01 = Production plant 02 = Storage plant 03 = Transmission plant 04 = Distribution plant 05 = General plant 06 = Research and development 07 = Nuclear fuel 08 = Gathering plant 99 = Other items	
2	Description of Project (A120): Provide a complete description of the project under construction. (IDDESC)	
3	Expenditure Balance - End of Year (N9) DOL.	
4	Estimated Additional Cost of Project (N9) DOL: Enter the latest estimate of the additional cost to complete the project.	

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**RIS** FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM  
 DETAILED INSTRUCTIONS: ACCUMULATED PROVISION FOR DEPRECIATION OF UTILITY PLANT - PART I  
 SCHEDULE 0151 1 of 3

**I. DESCRIPTION**  
 This schedule is used to collect information related to the entries to Account 108.00, Accumulated Provision for Depreciation of Utility (Electric and Natural Gas) Plant for the year.

**II. GENERAL INFORMATION**

A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents.

B. Respondents shall complete all data fields on this schedule annually.

C. Natural gas respondents with more than one utility department furnish data for gas department only; electric respondents furnish data for the electric department only.

D. Information on depreciation reserve shall be reported separately for plant in service, plant leased to others and plant held for future use. Use the following Supplemental Accounts in data field 1, as a means of grouping information:

Uniform System of Accounts	Supplemental Accounts	Description
	108.11	Accumulated provision for depreciation - plant in service
	108.21	Accumulated provision for depreciation - plant leased to others
	108.31	Accumulated provision for depreciation - plant held for future use
	108.01	Accumulated provision for depreciation of utility plant (electric and gas) - total

For Respondents having more than one classification of plant subject to depreciation (i.e., plant in service leased to others), must report depreciation information by totals, under Supplemental Account 108.01.

These Supplemental Accounts are related to the following utility plant accounts:

Uniform System of Accounts	Supplemental Accounts	Description
101.00		Plant in service
104.00		Plant leased to others
105.00		Plant held for future use
106.00		Completed construction not classified

E. Depreciation on property included in Account 106.00, Completed Construction Not Classified, should be reported under Supplemental Account 108.11.

F. The provisions of Account 108.00, of the Uniform System of Accounts contemplate that retirements of depreciable plant be recorded when such plant is removed from service. If the respondent has a significant amount of plant retired at year end

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**RIS** FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM  
 DETAILED INSTRUCTIONS: ACCUMULATED PROVISION FOR DEPRECIATION OF UTILITY PLANT - PART I  
 SCHEDULE 0151 2 of 3

which has not been recorded and/or classified to the various reserve functional classifications, preliminary closing entries to your books and records should be made to tentatively functionalize the book cost of the plant retired. These entries should be reflected in data fields 7-10.

G. Report additional information on the functionalization of the depreciation reserve on Schedule 0152.

**III. DETAILED INSTRUCTIONS**

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Data Field Number	Instructions
1 (Key)	Account Number (N3.2): Use the Supplemental Account numbers referred to in General Information, II-D.
2 (Key)	Depreciation Provision Charged to Depreciation Expense (N9) DOL: Enter depreciation accruals charged to Account 403.00 for the year.
3	Depreciation Provision Charged to Expenses of Plant Leased to Others (N8) DOL: Enter depreciation accruals charged to Account 413.00 for the year.
4	Depreciation Provision Charged to Transportation and Other Clearing Accounts (N8) DOL: Enter depreciation expense charged to transportation or other clearing account.
5	Depreciation Provision Charged to Other Accounts (N8) DOL: Enter the total amount of depreciation accruals charged to other accounts for the year.
6	Total Depreciation Provisions for the Year (N8) DOL: Enter the total for data fields 2-5 above.
7	Book Cost of Plant Retired (N8) DOL.
8	Cost of Removal of Plant Retired (N8) DOL.
9	Salvage Value of Plant Retired (N8) DOL: Enter salvage value of plant retired during the year.
10	Net Charges For Plant Retired (N10) DOL: Enter the net of data fields 7-9 above.
11	Other Items - Net (N10) DOL: Enter the net effect of other entries to the depreciation reserve for the year. Indicate net debit amounts by overstriking the minus sign in this data field.

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FEDERAL POWER COMMISSION  
REGULATORY INFORMATION SYSTEM

DETAILED INSTRUCTIONS:  
SCHEDULE 0151

ACCUMULATED PROVISION FOR  
DEPRECIATION OF UTILITY PLANT - PART I

3 of 3

Data Field Number	Instructions
12	Balance End of Year (N10) DOL: Enter the provision balance at end of year.
13	Description of Other Item (A40): Enter a description for any item entered in data field 11 above. (IDDESC)
14	Amount of Other Debit Items (N9) DOL: Enter the amount related to debit entries specified in data field 13 above.
15	Amount of Other Credit Items (N9) DOL: Enter the amount related to credit entries specified in data field 13 above.

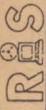
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<b>RIS</b> FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM		2 of 2
DETAILED INSTRUCTIONS: ACCUMULATED PROVISION FOR DEPRECIATION OF UTILITY PLANT - PART II (ELECTRIC AND GAS)		
Data Field Number	Instructions	
3	Balance at End of Year - Production and Gathering of Natural Gas (for Gas respondents) / Nuclear Production Plant (for Electric respondents) (N10) DOL.	
4	Balance at End of Year - Products Extraction of Natural Gas (for Gas respondents) / Hydraulic Production Conventional Plant (for Electric respondents) (N10) DOL.	
5	Balance at End of Year - Underground Gas Storage (for Gas respondents) / Hydraulic Production Pumped Storage (for Electric respondents) (N10) DOL.	
6	Balance at End of Year - Other Storage Plant (for Gas respondents) / Other Production Plant (for Electric respondents) (N10) DOL.	
7	Balance at End of Year - Transmission Plant (N10) DOL.	
8	Balance at End of Year - Distribution Plant (N10) DOL.	
9	Balance at End of Year - General Plant (N10) DOL.	

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<b>RIS</b> FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM		1 of 2
DETAILED INSTRUCTIONS: ACCUMULATED PROVISION FOR DEPRECIATION OF UTILITY PLANT - PART II (ELECTRIC AND GAS)		
I. DESCRIPTION		
This schedule is used to collect information applicable to the end of year functional balances of Account 108.00, Accumulated Provision for Depreciation of Utility (Electric and Natural Gas) Plant.		
II. GENERAL INFORMATION		
A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents.		
B. Respondents shall complete all data fields on this schedule annually.		
C. Natural gas respondents with more than one utility department furnish data for gas department only; electric respondents furnish data for electric department only.		
D. Information on depreciation reserve balances shall be reported separately for plant in service, plant leased to others and plant held for future use. Use the following Supplemental Accounts as a means of grouping information for reporting purposes:		
Uniform System of Accounts	Supplemental Accounts	Description
	108.11	Accumulated provision for depreciation - plant in service
	108.21	Accumulated provision for depreciation - plant leased to others
	108.31	Accumulated provision for depreciation - plant held for future use
	108.01	Accumulated provision for depreciation of utility plant (electric and gas) - total
For respondents having more than one classification of plant subject to depreciation (plant in service leased to others), must report depreciation information by totals under Supplemental Account 108.01.		
E. This schedule supplements information provided on Schedule 0151. The sum of entries to fields 2-9 for each particular account should agree with that reported in data field 12 of Schedule 0151.		
III. DETAILED INSTRUCTIONS		
The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:		
Data Field Number	Instructions	
1 (Key)	Account Number (N3.2): Use the Supplemental Account numbers referred to in General Information, II-D. (ACCTML)	
*2	Balance at End of Year - Production of Manufactured Gas (for Gas respondents) / Steam Production Plant (for Electric respondents) (N10) DOL.	

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
	DETAILED INSTRUCTIONS: ACCUMULATED PROVISION FOR DEPLETION AND AMORTIZATION OF UTILITY PLANT (ACCOUNT 111.00) SCHEDULE 0153	ACCUMULATED PROVISION FOR DEPLETION AND AMORTIZATION OF UTILITY PLANT (ACCOUNT 111.00)

	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
	DETAILED INSTRUCTIONS: ACCUMULATED PROVISION FOR DEPLETION AND AMORTIZATION OF UTILITY PLANT (ACCOUNT 111.00) SCHEDULE 0153	ACCUMULATED PROVISION FOR DEPLETION AND AMORTIZATION OF UTILITY PLANT (ACCOUNT 111.00)

**III. DETAILED INSTRUCTIONS**

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Data Field Number	Instructions
1 (Key)	Account Number (N3.2): Refer to General Information, II-D. (ACCRM)
2	Accruals for Year Charged to Account 404.10 (N8) DOL.
3	Accruals for Year Charged to Account 404.20 (N8) DOL.
4	Accruals for Year Charged to Account 404.30 (N8) DOL.
5	Accruals for Year Charged to Account 405.00 (N8) DOL.
6	Accruals for Year Charged to Account 413.00 (N8) DOL.
7	Accruals for Year Charged to Account 421.00 (N8) DOL.
8	Accruals for Year Charged to Account 797.00 (N8) DOL.
9	Accruals for Year Charged to Account 425.00 (N8) DOL.
10	Accruals for Year Charged to Other Accounts (N9) DOL: Enter the total of accruals charged to all other accounts other than those listed in data fields 2-9 above, which related to amortization and depletion expenses.
11	Total Accruals (N9) DOL.
12	Book Cost of Plant Retired (N7) DOL: Enter the book cost associated with property retired during the year.
13	Expenses Associated with Retired Plant (N9) DOL: Enter the expenses associated with property listed in data field 12 above.
14	Proceeds Realized from Retired Plant (N9) DOL: Enter the salvage value or proceeds realized from plant retired during the year.
15	Net Charges for Plant Retired (N9) DOL: Enter net charges of data fields 12-14 above.
16	Other Items - Net (N8) DOL: Enter the net effect of other entries to the depletion or amortization reserve for the year. Indicate net debit amounts by overstriking the preprinted minus sign.

**II. GENERAL INFORMATION**

This schedule is used to collect information applicable to the detailed entries to Account 111.00, Accumulated Provision for Amortization and Depletion of Gas Utility Plant, for the year.

A. This schedule shall be submitted by Class A and Class B Natural Gas respondents.

B. Respondents shall complete all data fields on this schedule annually.

C. Information on depletion and amortization should be reported separately, using the following Supplemental Accounts to group information:

Uniform System of Accounts	Supplemental Accounts	Description
111.11	111.11	Accumulated provision for amortization and depletion of gas plant in service - producing natural gas land and land rights
111.21	111.21	Accumulated provision for amortization and depletion of gas plant in service - underground storage land and land rights
111.31	111.31	Accumulated provision for amortization and depletion of gas plant in service - other plant
111.41	111.41	Accumulated provision for amortization and depletion of gas plant leased to others - producing natural gas land and land rights
111.51	111.51	Accumulated provision for amortization and depletion of gas plant leased to others - underground storage land and land rights
111.61	111.61	Accumulated provision for amortization of or depletion of gas plant leased to others - other plant
111.71	111.71	Accumulated provision for amortization and depletion of other gas plant held for future use - abandonment of leases
111.81	111.81	Accumulated provision for amortization and depletion of other gas plant held for future use - other plant

These Supplemental Accounts are related to the following utility plant accounts of the Uniform System of Accounts:

Uniform System of Accounts	Supplemental Accounts	Description
101.00		Plant in service
104.00		Plant leased to others
105.00		Plant held for future use
105.10		Production properties held for future use

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<p><b>RAS</b> FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM</p>		3 of 3
<p>DETAILED INSTRUCTIONS: SCHEDULE 0153 ACCUMULATED PROVISION FOR DEPLETION AND AMORTIZATION OF UTILITY PLANT (ACCOUNT 111.00)</p>		
<p><u>Instructions</u></p>		
17 (Key)	<p>Accumulated Depletion/Amortization Balance - End of Year (N9) DOL: Enter the accumulated provision balance for each supplemental account listed in data field 1 above.</p>	
18	<p>Description of Other Item (A40): Enter the description for any item included in data field 16 above. (IDDESC)</p>	
19	<p>Other Debit Item Amount (N8) DOL: Enter the amount related to debit entries in data field 18 above.</p>	
20	<p>Other Credit Item Amount (N8) DOL: Enter the amount related to credit entries in data field 18 above.</p>	
<p><u>Data Field Number</u></p>		

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<p><b>RAS</b> FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM</p>		1 of 1
<p>DETAILED INSTRUCTIONS: SCHEDULE 0154 COMMON PLANT AND EXPENSE DATA - PART II</p>		
<p><u>I. DESCRIPTION</u></p>		
<p>This schedule is used to collect information on the allocation of common utility plant costs and expenses to operating departments of the utility.</p>		
<p><u>II. GENERAL INFORMATION</u></p>		
A.	<p>This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents.</p>	
B.	<p>Respondents shall complete all data fields on this schedule in their first submission. On subsequent submissions, respondents shall submit only appropriate identification (key data fields) and changed values, additions, and deletions.</p>	
C.	<p>This schedule supports information included in Schedule 0155.</p>	
<p><u>III. DETAILED INSTRUCTIONS</u></p>		
<p>The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:</p>		
<u>Data Field Number</u>	<p><u>Instructions</u></p>	
1 (Key)	<p>Date of Approval (N6) MDDYY: Enter the date of approval by the Commission for use of the common utility plant accounting. (YMDATE).</p>	
2	<p>Bases of Allocation of Plant Costs (A246): Report the bases used to allocate plant costs to the various departments at year end. State the percentage of allocation applicable to each department. If plant costs were allocated on different bases, state the specifics for each type of plant so allocated. (IDDESC)</p>	
3	<p>Bases of Allocation of Accumulated Reserves for Depreciation and Amortization (A246): Report the bases used to allocate the accumulated reserves for depreciation, depletion, and amortization of the various departments at year end. (IDDESC)</p>	
4	<p>Bases of Allocation of Expenses (A246): Report the bases used to allocate operation, maintenance, depreciation, and other expenses to the various departments for the reporting year. If expenses were allocated on different bases, state the specifics for each type of expense so allocated. (IDDESC)</p>	
<p><u>Data Field Number</u></p>		

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**RIS** FEDERAL POWER COMMISSION  
REGULATORY INFORMATION SYSTEM

COMMON PLANT AND EXPENSE DATA - PART I

2 of 2

DETAILED INSTRUCTIONS:  
SCHEDULE 0155

Uniform System of Accounts	Supplemental Accounts	Description
		EXPENSE ACCOUNTS (cont'd)
	403.03	Depreciation expense
	404.03	Amortization expenses
	408.03	Taxes
	409.03	Other
		UTILITY PLANT
	108.03	Accumulated provision for depreciation of utility plant
	111.03	Accumulated provision for amortization of utility plant
		III. DETAILED INSTRUCTIONS
		The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:
		<u>Data Field Number</u>
1 (Key)		<u>Instructions</u> Account Number (N3.2): Enter the Supplemental Account number which relates to common utility plant or expense. Refer to General Information, II-D. (ACCTML)
2		Supplemental Account Name (A40): State the Supplemental Account Name for account number listed in data field 1. (ACCTML)
3		Balance/Amount (N9) DOL: Enter the appropriate EDY balance or amount for common utility plant costs or expenses applicable to the Supplemental Account reported in data field 1 above.

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**RIS** FEDERAL POWER COMMISSION  
REGULATORY INFORMATION SYSTEM

COMMON PLANT AND EXPENSE DATA - PART I

1 of 2

DETAILED INSTRUCTIONS:  
SCHEDULE 0155

Uniform System of Accounts	Supplemental Accounts	Description
		I. DESCRIPTION
		This schedule is used to collect data pertaining to common utility plant costs and related expenses in accordance with plant instruction 13, Common Utility Plant, of the Uniform System of Accounts.
		II. GENERAL INFORMATION
		A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents.
		B. Respondents shall complete all data fields on this schedule annually.
		C. Information on common utility plant and expenses called for on this schedule should be reported in totals prior to allocation to the appropriate departments. Report on Schedule 0154 information on the bases of allocation to departments for the year.
		D. The following listing of Supplemental Accounts is provided as examples of account numbers to be reported in data field 1. For type common utility plant not specified in the listing, add XXX.03 to the appropriate three digit plant in service account breakdown. Summarize other type expenses not shown on the attached listing and report under Account 409.03. At the end of the year balances for accumulated depreciation and amortization should be reported in Accounts 108.03 and 111.03.
		<u>Data Field Number</u>
		INTANGIBLE PLANT
	301.03	Organization
	302.03	Franchises and consents
	303.03	Miscellaneous intangible plant
		GENERAL PLANT
	389.03	Land and land rights
	390.03	Structures and improvements
	391.03	Office furniture and equipment
	392.03	Transportation equipment
	393.03	Stores equipment
	394.03	Tools, ship and garage equipment
	395.03	Laboratory equipment
	396.03	Power operated equipment
	397.03	Communication equipment
	398.03	Miscellaneous equipment
	399.03	Other tangible property
		EXPENSE ACCOUNTS
	401.03	Operation expenses
	402.03	Maintenance expenses

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	
DETAILED INSTRUCTIONS: SCHEDULE 0156	CONSTRUCTION OVERHEAD	1 of 1

I. DESCRIPTION

This schedule is used to collect information concerning overhead cost charged to construction during the year.

II. GENERAL INFORMATION

A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents.

B. Respondents shall complete all data fields on this schedule annually.

C. Gas respondents furnish data for gas department only; electric respondents furnish data for electric department only.

D. For purposes of reporting on this schedule, the respondent should consider as a construction overhead the following categories of cost whether such costs have been charged directly to construction or have been apportioned to a construction work order:

Overhead Code	Type of Overhead
01	Engineering and Supervision
02	Administrative and General Expenses
03	Transportation
04	Injuries and Damages
05	Insurance
06	Pensions and Other Employee Benefits
07	Taxes (Property, sales, payroll, etc.)
08	Outside Professional Services
09	Allowance for Funds Used During Construction
10	Other

These code numbers shall be reported in data field 1.

II. DETAILED INSTRUCTIONS

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Data Field Number	Instructions
1 (Key)	Type of Overhead (N2): Enter the overhead code from instruction II-D. (TYOVHD)
2	Overhead Amount Charged for the Year (N9) DOL.
3	Total Cost of Construction (N9) DOL: Enter the total cost of construction to which overheads were charged during the year (exclusive of construction overhead charges).
4	Per Cent Overhead to Construction Cost (N3.2) PCT: Enter the percent of fields 2 and 3, rounded to two decimal places.

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RIS FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM		NATURAL GAS RESERVES AND LAND ACREAGE	
SCHEDULE 0157		2 of 3	
<p>DETAILED INSTRUCTIONS: NATURAL GAS RESERVES AND LAND ACREAGE SCHEDULE 0157</p>			
Data Field Number	Instructions		
1 (Key)	Account Number (N3.2): (ACCTNL)		
2	Producing/Nonproducing Indicator (A1): If acreage is producing, enter "1"; if not, enter "0". (INVONO)		
3	Old/New Gas Indicator (A1): If acreage was acquired on or before October 7, 1969, enter "1"; if acreage was acquired on or after October 8, 1969, enter "2". (INOTOR)		
4 (Key)	State abbreviation (A2): Enter the abbreviation, from the Register of Data Standards, <u>IDSTAT</u> .		
5 (Key)	County/Offshore Area Code (N3): Enter the code, using the rightmost three numbers from the Register of Data Standards, <u>IDCNTY</u> .		
6 (Key)	Offshore Area Name (A40): (IDCNTY)		
7 (Key)	Natural Gas Field Block Name (A26): Enter the name from the Register of Data Standards, <u>IDGFPL</u> . If the field name is not assigned, report as "unnamed".		
8	Natural Gas Field Block Code (A6): Enter the code, from the Register of Data Standards, <u>IDGFPL</u> .		
9	Royalty Interest Indicator (N1): If acreage reported is a royalty interest separately owned, enter "1"; if not, enter "0". (INVONO)		
10	Percentage of Land Ownership (N3) PCT: Enter the percentage ownership in jointly owned tracts, rounded to nearest whole number.		
11	Quantity Recoverable Gas Reserves (N9.3) MMCF: Enter the year end recoverable salable gas reserves available to respondent from owned lands, leaseholds and gas rights, in MMCF rounded to three decimal places. For unproven fields see General Information II-D.		
12	Owned Land - Acres (N8) ACRE.		
13	Owned Land - Costs (N8) DOL.		
14	Leaseholds - Acres (N8) ACRE.		
15	Leaseholds - Costs (N8) DOL.		
16	Owned Gas Rights - Acres (N8) ACRE.		
17	Owned Gas Rights - Costs (N8) DOL.		

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RIS FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM		NATURAL GAS RESERVES AND LAND ACREAGE	
SCHEDULE 0157		1 of 3	
<p>DETAILED INSTRUCTIONS: NATURAL GAS RESERVES AND LAND ACREAGE SCHEDULE 0157</p>			
<p>I. DESCRIPTION</p> <p>This schedule is used to collect particulars concerning the remaining recoverable salable gas reserves, natural gas land acreage and costs as of the end of the year.</p> <p>II. GENERAL INFORMATION</p> <p>A. This schedule shall be submitted by Class A and Class B Natural Gas respondents.</p> <p>B. Respondents shall complete all data fields on this schedule in their first submission. On subsequent submissions, respondents shall submit only appropriate identification (key data fields) and changed values, additions, and deletions.</p> <p>C. The respondent shall report gas reserves and related cost of land and land rights according to the requirements of the Uniform System of Accounts. Producing lands should be recorded and reported in Account 101.00. Nonproducing lands should be recorded and reported in Account 105.00, if related to acreage acquired on or before October 7, 1969 or in Account 105.10, if related to acreage acquired on or after October 8, 1969.</p> <p>D. For producing gas lands (Account 101.00), the required information should be reported by state abbreviation and county code or alphabetically by offshore area names. The land, leasehold, and gas rights costs so reported in data fields 12-17) should agree with the amounts carried under balance sheet Account 101.00, Gas Plant in Service and as reported in Accounts 325.10 (data field 13), 325.20 (data field 15) and 325.30 (data field 17). For producing acreage only, designate in data field 3 whether related to "old" or "new" gas.</p> <p>E. For non-producing gas lands (Accounts 105.00 and 105.10), the required information should be reported by state abbreviation or county code or alphabetically by offshore area name. The land, leasehold, and gas rights costs so reported should agree with the amounts carried under balance sheet Accounts 105.00, Gas Plant Held for Future Use, and Account 105.10, Production Properties Held for Future Use.</p> <p>F. Do not include oil mineral interests in the cost of acreage reported in data fields 13, 15, and 17. Do not duplicate acreages or cost reported in data fields 12-17.</p> <p>G. The Quantity of Recoverable Gas Reserves reported in data field 14 should not include gas reserves for unproved fields. However, if the company made estimates for such lands and normally includes such estimates in stating its reserve position in connection with proposed financing and for management and other purposes, such estimates should be included in this schedule and so noted in a footnote.</p> <p>H. Respondents shall only report their proportionate share of ownership (both acres and cost) in jointly owned tracts.</p> <p>III. DETAILED INSTRUCTIONS</p> <p>The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:</p>			

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<p><b>RRS</b></p>	<p>FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM</p>	<p>3 of 3</p>
<p>DETAILED INSTRUCTIONS: SCHEDULE 0157</p>		
<p>NATURAL GAS RESERVES AND LAND ACREAGE</p>		
<p>Data Field Number</p>	<p>Instructions</p>	
<p>18</p>	<p>Natural Gas Reserve - Total Acre (N9) ACRE: Total of data fields 12, 14 and 16 above.</p>	
<p>19</p>	<p>Natural Gas Reserve - Total Costs (N9) DOL: Total of data fields 13, 15 and 17 above.</p>	

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	2 of 2
	DETAILED INSTRUCTIONS: SCHEDULE 0159	OPERATING REVENUES

E. Natural gas means either natural gas unaltered or any mixture of natural and manufactured gas.

F. The classification of commercial and industrial sales according to small customer or commercial and large customer or industrial, may be according to the basis of classification regularly used by the respondent, provided such basis of classification is not greater generally than 1000 KW of demand for electricity or 200,000 MCF per year for natural gas companies.

III. DETAILED INSTRUCTIONS

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Data Field Number	Instructions
1 (Key)	Account Number (N3.2): (ACCTML)
2	Average Number of Monthly Natural Gas Customers (N7) NO.
3	Annual MMCF of Natural Gas Sold (N9.3) MMCF; Enter the annual MMCF, rounded to three decimal places.
4	Average Number of Monthly Electric Customers (N7) NO.
5	Annual MWH of Electricity Sold (N10) MWH.

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	1 of 2
	DETAILED INSTRUCTIONS: SCHEDULE 0159	OPERATING REVENUES

I. DESCRIPTION

This schedule is used to collect information pertaining to sales of electricity or natural gas and the number of utility customers for the year.

II. GENERAL INFORMATION

A. This schedule shall be submitted by Class A, Class B, Class C and Class D Natural Gas and Electric respondents.

B. Natural gas respondents shall complete data fields 1-3 annually. Electric respondents shall complete data fields 1, 4 and 5 annually. Jurisdictional respondents for both natural gas and electric shall complete all data fields annually.

C. Report the requested information for the year for each of the following prescribed accounts:

1. Class A, Class B, Class C and Class D Electric respondents:

Uniform System of Accounts	Supplemental Accounts	Description
440.00		Residential sales
442.00	442.10	Commercial and industrial sales
	442.20	Small or commercial customers
444.00		Large or industrial customers
445.00		Public street and highway lighting
447.00		Other sales to Public Authorities
448.00		Sales for resale
449.00		Interdepartmental sales
	449.90	Other sales
		Total Sales of Electricity

2. Class A, Class B, Class C and Class D Natural Gas respondents:

Uniform System of Accounts	Supplemental Accounts	Description
480.00		Residential sales
481.00	481.10	Commercial and industrial sales
	481.20	Small or commercial customers
482.00		Large or industrial customers
483.00		Other sales to Public Authorities
484.00		Sales for resale
	485.90	Interdepartmental sales
		Total Sales of Gas

D. Number of customers shall be reported on the basis of number of meters, plus number of flat rate accounts, except that where separate meter readings are added for billing purposes, one customer should be counted for each group of meters so added. The average number of customers means the average of the 12 figures at the close of each month.

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 <p style="text-align: center;">FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM</p>	<p>1 of 1</p>												
<p>DETAILED INSTRUCTIONS: SCHEDULE 0160</p>	<p>EXTRAORDINARY ITEMS</p>												
<p style="text-align: center;"><u>I. DESCRIPTION</u></p> <p>This schedule is used to collect data on items included in Accounts 434.00, Extraordinary Income, 435.00, Extraordinary Deductions and 409.30, Income Taxes - Extraordinary Items.</p> <p style="text-align: center;"><u>II. GENERAL INFORMATION</u></p> <p>A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents.</p> <p>B. Respondents shall complete all data fields on this schedule annually.</p> <p>C. If additional disclosure is deemed necessary to report details for extraordinary item accounting, the respondent shall report such additional information on Schedule 0112, Notes to Financial Data.</p> <p style="text-align: center;"><u>III. DETAILED INSTRUCTIONS</u></p> <p>The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left; border-bottom: 1px solid black;">Data Field Number</th> <th style="text-align: left; border-bottom: 1px solid black;">Instructions</th> </tr> </thead> <tbody> <tr> <td style="border-bottom: 1px solid black;">1 (Key)</td> <td style="border-bottom: 1px solid black;"><u>Account Number</u> (N3.2): (ACCTML)</td> </tr> <tr> <td style="border-bottom: 1px solid black;">2 (Key)</td> <td style="border-bottom: 1px solid black;"><u>Item Description</u> (A120): Provide a detailed description of the extraordinary item. (IDDESC)</td> </tr> <tr> <td style="border-bottom: 1px solid black;">3</td> <td style="border-bottom: 1px solid black;"><u>Date of Commission Approval</u> (N6): Enter the date of Commission approval for extraordinary treatment of any item which amounts to less than five per cent of income, in the format MDDYY. (TDATE) (See General Instruction 7 of the Uniform System of Accounts.)</td> </tr> <tr> <td style="border-bottom: 1px solid black;">4</td> <td style="border-bottom: 1px solid black;"><u>Gross Amount</u> (N8) DOL.</td> </tr> <tr> <td style="border-bottom: 1px solid black;">5</td> <td style="border-bottom: 1px solid black;"><u>Related Income Taxes</u> (N8) DOL: Enter the income tax effects included in Account 409.30, relating to the extraordinary item listed in data field 2 above.</td> </tr> </tbody> </table>		Data Field Number	Instructions	1 (Key)	<u>Account Number</u> (N3.2): (ACCTML)	2 (Key)	<u>Item Description</u> (A120): Provide a detailed description of the extraordinary item. (IDDESC)	3	<u>Date of Commission Approval</u> (N6): Enter the date of Commission approval for extraordinary treatment of any item which amounts to less than five per cent of income, in the format MDDYY. (TDATE) (See General Instruction 7 of the Uniform System of Accounts.)	4	<u>Gross Amount</u> (N8) DOL.	5	<u>Related Income Taxes</u> (N8) DOL: Enter the income tax effects included in Account 409.30, relating to the extraordinary item listed in data field 2 above.
Data Field Number	Instructions												
1 (Key)	<u>Account Number</u> (N3.2): (ACCTML)												
2 (Key)	<u>Item Description</u> (A120): Provide a detailed description of the extraordinary item. (IDDESC)												
3	<u>Date of Commission Approval</u> (N6): Enter the date of Commission approval for extraordinary treatment of any item which amounts to less than five per cent of income, in the format MDDYY. (TDATE) (See General Instruction 7 of the Uniform System of Accounts.)												
4	<u>Gross Amount</u> (N8) DOL.												
5	<u>Related Income Taxes</u> (N8) DOL: Enter the income tax effects included in Account 409.30, relating to the extraordinary item listed in data field 2 above.												

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**RIS** FEDERAL POWER COMMISSION  
REGULATORY INFORMATION SYSTEM  
SCHEDULE 0161

DETAILED INSTRUCTIONS: DETAILED ACCOUNT DATA - PART I

2 of 8

Accounts 123.00, 123.10, & 124.00

Report particulars for investments in associated and subsidiary companies and in other investments.

Investments in amounts of less than \$10,000 may be grouped. For grouped items, enter the word "Other" in data field 2 together with the number of items grouped, i.e., Other (12). Report data for the grouped items in data fields 3, 4 and 5.

In reporting Account 123.10, include information pertaining to all investments other than common stock accounted for on the equity basis of accounting. Common stock investments under equity accounting should be included on Schedule 0125.

In reporting Account 124.00, do not include information pertaining to advances which are reported on Schedule 0142.

Data Field Number	Instructions
1 (Key)	Account Number (N3.2)
2 (Key)	Description (A50): List separately each investment included in these accounts at any time during the year by the following groupings:  Stock Bonds Advances Notes Other  Provide a brief description of each investment to include name of issuer and where appropriate, the date acquired, the date issued and interest rate, i.e.,  Stock - ABC Corporation - 8/25/75 Note - XYZ Co. - 5/10/75 - 8% Stock - BCD Inc. - 3/1/75 Advances - Jones Corp. Bonds - Industrial Development - 8%  State "Total" as last entry for each account. (IDDESC)  Debits - Amount (N9) DOL: Enter the amount of all purchases or other additions made during the year of the investment listed in data field 2 above.  Credits - Amount (N9) DOL: Enter the amount of all sales or other disposition made during the year of the investment listed in data field 2 above.  Balance End of Year (N9) DOL: Enter amount of investment at end of year.

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**RIS** FEDERAL POWER COMMISSION  
REGULATORY INFORMATION SYSTEM  
SCHEDULE 0161

DETAILED INSTRUCTIONS: DETAILED ACCOUNT DATA - PART I

1 of 8

**I. DESCRIPTION**

This schedule is used to collect detailed information supporting the accounting entries of certain of the balance sheet accounts of the Uniform System of Accounts.

**II. GENERAL INFORMATION**

A. This schedule shall be submitted by Class A, Class B, Class C and Class D Natural Gas and Electric respondents.

B. The following accounts are included in this schedule:

Uniform System of Accounts	Supplemental Accounts	Description
123.00		Investment in associated companies
123.10		Investment in subsidiary companies
124.00		Other investments
136.00		Temporary cash investments
145.00		Notes receivable from associated companies
146.00		Accounts receivable from associated companies
181.00		Unamortized debt expense
187.00		Unamortized losses from disposition of utility plant
189.00		Unamortized loss on reacquired debt
215.00		Appropriated retained earnings
223.00		Advances from associated companies
224.00		Other long-term debt
225.00		Unamortized premium on long-term debt
226.00		Notes payable to associated companies
233.00		Accounts payable to associated companies
234.00		Deferred gains from disposition of utility plant
236.00		Unamortized gain on reacquired debt
237.00		

Class A and Class B respondents report the details for all accounts listed on this schedule. Class C and Class D respondents report the details for Account 215.00 only.

C. Respondents shall report the information for each account listed above as specified in Part III, Detailed Instructions. Not every data field is required for every account. The data fields for each account shall be reported annually.

D. Total debits and credits for each account listed on this schedule should be provided for as the last entry for each account in data fields 3 and 4. Report the word "Total" in data field 2. Then report in data field 3 the total debits to the account, and in data field 4, the total credits to the account.

**III. DETAILED INSTRUCTIONS**

Instructions, cross-referenced to the schedule layout by data field number, are given below for each account to be reported.

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RIS		FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	
DETAILED INSTRUCTIONS: SCHEDULE 0161		DETAILED ACCOUNT DATA - PART I	
		4 of 8	
Data Field Number	Instructions	Data Field Number	Instructions
2 (cont'd)	State "Total" as last entry. (IDDESC)	1 (Key)	Account Number (N3.2): (ACCTML)
3	Debits - Amount (N9) DOL: Enter the amount of all purchases or other additions of the investment listed in data field 2 above, made during the year.	2 (Key)	Description (A50): For notes receivable or notes payable, state name of associated company, the date of note and interest rate. For accounts receivable or accounts payable, state name of associated company. State "Total" as last entry for each account. (IDDESC)
4	Credits - Amount (N9) DOL: Enter the amount of all sales or other disposition of the investment listed in data field 2 above, made during the year.	3	Debits - Amount (N9) DOL: Enter the total amount of debits for year for each note or account listed in data field 2 above.
5	Balance End of Year (N9) DOL: Enter amount of investment at end of year.	4	Credits - Amount (N9) DOL: Enter the total amount of credits for year for each note or account listed in data field 2 above.
8	Interest/Revenues for Year (N8) DOL: Enter the interest earned from the investment during the year.	5	Balance End of Year (N9) DOL.
10	Amount of Loss (N9) DOL: Enter the loss realized on disposition of the investment during the year.	6	Particulars (A60): For each note, state the purpose for which the note was issued or received. If any note was received in satisfaction of any open account, state the number of years the account was open. For any note or account pledged or discounted, state the name of the third party. (continued)
11	Amount of Gain (N9) DOL: Enter the gain realized on disposition of the investment during the year.		
Accounts 145.00, 146.00, 233.00, & 234.00			
Report information on transactions with associated companies.			
Data Field Number	Instructions	Data Field Number	Instructions
1 (Key)	Account Number (N3.2): (ACCTML)	1 (Key)	Account Number (N3.2): (ACCTML)
2 (Key)	Description (A50): For notes receivable or notes payable, state name of associated company, the date of note and interest rate. For accounts receivable or accounts payable, state name of associated company. State "Total" as last entry for each account. (IDDESC)	2 (Key)	Description (A50): For notes receivable or notes payable, state name of associated company, the date of note and interest rate. For accounts receivable or accounts payable, state name of associated company. State "Total" as last entry for each account. (IDDESC)
3	Debits - Amount (N9) DOL: Enter the total amount of debits for year for each note or account listed in data field 2 above.	3	Debits - Amount (N9) DOL: Enter the total amount of debits for year for each note or account listed in data field 2 above.
4	Credits - Amount (N9) DOL: Enter the total amount of credits for year for each note or account listed in data field 2 above.	4	Credits - Amount (N9) DOL: Enter the total amount of credits for year for each note or account listed in data field 2 above.
5	Balance End of Year (N9) DOL.	5	Balance End of Year (N9) DOL.
6	Particulars (A60): For each note, state the purpose for which the note was issued or received. If any note was received in satisfaction of any open account, state the number of years the account was open. For any note or account pledged or discounted, state the name of the third party. (continued)	6	Particulars (A60): For each note, state the purpose for which the note was issued or received. If any note was received in satisfaction of any open account, state the number of years the account was open. For any note or account pledged or discounted, state the name of the third party. (continued)

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RIS		FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	
DETAILED INSTRUCTIONS: SCHEDULE 0161		DETAILED ACCOUNT DATA - PART I	
		3 of 8	
Data Field Number	Instructions	Data Field Number	Instructions
6	Particulars (A60): For advances which are open accounts, state "Open Account". For any investments which are pledged, state the name of the pledgee and the purpose of the pledge. For any investment which received the approval of a regulatory commission, give the name of the commission, date of authorization and the case or docket number. (IDDESC)	1 (Key)	Account Number (N3.2): (ACCTML)
7	Principal Amount/Number of Shares (N9) NO.: For investments in bonds, enter the principal amount as of the end of the year. For investments in stock, enter the number of shares outstanding at the end of the year.	2 (Key)	Description (A50): List separately each investment involved in this account by the following groupings:  Notes Treasury Bills Commercial Paper Certificates of Deposit Other
8	Revenues for Year (N8) DOL: Enter interest and dividends earned from investments during the year, including any revenues from securities disposed of during the year.		
9	Date of Maturity (N6): Enter the date of maturity for each note or bond, in the format MMDDYY. (TMOATIE)		
10	Amount of Loss (N9) DOL: Enter the loss realized on disposition of the investment during the year.		
11	Amount of Gain (N9) DOL: Enter the gain realized on disposition of the investment during the year.		
Account 136.00.			
Report information on temporary cash investments. Investments of less than \$50,000 may be grouped. For grouped items enter the word "Other" in data field 2 with the number of items grouped, i.e., Other (12). Report data for the grouped items in data fields 3, 4 and 5.			
Data Field Number	Instructions	Data Field Number	Instructions
1 (Key)	Account Number (N3.2): (ACCTML)	1 (Key)	Account Number (N3.2): (ACCTML)
2 (Key)	Description (A50): List separately each investment involved in this account by the following groupings:  Notes Treasury Bills Commercial Paper Certificates of Deposit Other	2 (Key)	Description (A50): List separately each investment involved in this account by the following groupings:  Notes Treasury Bills Commercial Paper Certificates of Deposit Other
	Provide a brief description of each investment to include name of issuer and where appropriate the date acquired and interest rate, i.e.,		Provide a brief description of each investment to include name of issuer and where appropriate the date acquired and interest rate, i.e.,
	Notes - General Motors Acceptance Corp. - 10/15/75 - 8%		Notes - General Motors Acceptance Corp. - 10/15/75 - 8%

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
DETAILED INSTRUCTIONS: SCHEDULE 0161	DETAILED ACCOUNT DATA - PART I
	6 of 8

Accounts 187.00, & 256.00

Report information related to deferrals of losses or gains to disposition of utility plant. Losses or gains on property with an original cost of less than \$50,000 may be grouped. For grouped items, enter the word "Other" in data field 2 with the number of items grouped, i.e., Other (12). Report data for the grouped items in data fields 3, 4 and 5.

Data Field Number	Instructions
1 (Key)	Account Number (N3.2): (ACCTML)
2 (Key)	Description (A50): Give a brief description of the property creating the deferred loss or gain and the date the loss or gain was originally recognized. Enter "total" as last entry for each account. (IDDESC)
3	Debits - Amount (N9) DOL: For Account 187.00, enter the total amount of losses incurred from the disposition of utility plant during the year. For Account 256.00, enter the total amount of amortizations (to Account 411.60) of previously recorded gains during the year.
4	Credits - Amount (N9) DOL: For Account 187.00, enter the amount of amortizations during the year (to Account 411.70) of previously recorded losses. For Account 256.00, enter the total amount of gains resulting from the disposition of utility plant during the year.
5	Balance End of Year (N9) DOL.
6	Particulars (A60): Give the date of Commission approval of Journal entries. Where approval has not been received, give explanation. (IDDESC)
10	Amount of Loss (N9) DOL: For Account 187.00, enter the amount of original loss on the disposition of the property.
11	Amount of Gain (N9) DOL: For Account 256.00, enter the amount of original gain on the disposition of the property.

Accounts 189.00 & 257.00

Report information on reacquired debt. Gains or losses of less than \$25,000 may be grouped. For grouped items, enter the word "Other" in data field 2 with the number of items grouped, i.e., Other (12). Report data for the grouped items in data fields 3, 4 and 5.

If certain series of debt were reacquired at different times during the year, the information should be summarized and the totals reported in data fields 2-11.

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Accounts 181.00, 225.00, & 226.00

Report information on unamortized expense, premium and discount on long-term debt.

Data Field Number	Instructions
6 (cont'd)	If the respondent is holding collateral in connection with a note or account, state the type and value of the collateral. (IDDESC)
8	Interest for Year (N8) DOL: Enter interest recorded during the year on accounts and notes listed in data field 2 above.
9	Date of Maturity (N6): For each note enter the date of maturity, in the format MDDYY. (YMDATE)

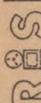
Data Field Number	Instructions
1 (Key)	Account Number (N3.2): (ACCTML)
2 (Key)	Description (A50): List the type of debt followed by the class and series of debt, i.e., First Mortgage Bonds - Series H - 6 1/8% Convertible Debentures - 6 1/4% Pollution Control Bonds - 7% State "total" as last entry for each account. (IDDESC)
3	Debits - Amount (N9) DOL.
4	Credits - Amount (N9) DOL.
5	Balance End of Year (N9) DOL.
6	Particulars (A60): Explain the planned disposition for any undisposed amounts applicable to issues which were redeemed in prior years. (IDDESC)
9	Explain the nature of any debits and credits other than amortization debited to Account 428.00, Amortization of Debt Discount and Expense, not credited to Account 429.00, Amortization of Premium on Debt - Credit.
12	Date of Maturity (N6): Enter the date of maturity of the related debt issue, in the format MDDYY. (YMDATE)
	Period of Amortization (A5): Enter the period amortization was debited to Account 428.00, in the format YY-YY. (IDDESC)

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
	DETAILED ACCOUNT DATA - PART I
DETAILED INSTRUCTIONS: SCHEDULE 0161	8 of 8

Data Field Number	Instructions
2 (Key)	Description (A50): Describe the purpose of each appropriation of retained earnings. Enter "Total" as last entry. (IDDESC)
3	Debits - Amount (N9) DOL.
4	Credits - Amount (N9) DOL.
5	Balance End of Year (N9) DOL.
6	Particulars (A60): Explain the nature of any debit entries to this account during the year. (IDDESC)
Accounts 223.00 & 224.00	
Report information on advances from associated companies and other long-term debt.	
Data Field Number	Instructions
1 (Key)	Account Number (N3.2): (ACCTML)
2 (Key)	Description (A50): For each advance made by an associated company included in Account 223.00, enter the name of the associated company. For other long-term debt included in Account 223.00, describe the nature of the debt. Enter "Total" as last entry for each account. (IDDESC)
3	Debits - Amount (N9) DOL: For advances, enter the principal amount repaid and any other debit entries during the year to the associated company listed in data field 2 above. For other debt, enter the principal amount and any other debit entries repaid during the year.
4	Credits - Amount (N9) DOL: For advances, enter the principal amount advanced and any other credit entries during the year by the associated company entered in data field 2 above. For other debt, enter the principal amount and any other credit entries issued during the year.
5	Balance End of Year (N9) DOL.
6	Particulars (A60): For associated company advances, state whether the advance is a note (demand or otherwise) or open account. If advance was authorized by a commission, state the name of the commission and date approved. For Account 223.00, enter the date the note was issued and the interest rate. (IDDESC)
8	Interest/Revenue for Year (N8) DOL: Enter the amount of interest for the year associated with the advances and other debt entered in data field 2 above.

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
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Data Field Number	Instructions
1 (Key)	Account Number (N3.2): (ACCTML)
2 (Key)	Description (A50): Enter the type, class of debt and the year(s) the debt was reacquired, ...e., Sinking Fund Debentures - 5% - 1974 Sinking Fund Debentures - 5% - 1975
	Enter "Total" as last entry for each account. (IDDESC)
3	Debits - Amount (N9) DOL.
4	Credits - Amount (N9) DOL.
5	Balance End of Year (N9) DOL.
6	Particulars (A60): If gain or loss resulted from a refunding transaction, state the maturity date of the new issue. (IDDESC) Explain any debits and credits other than amortization debited to Account 428.10, Amortization of Loss on Reacquired Debt or credited to Account 429.10, Amortization of Gain on Reacquired Debt - Credit.
7	Principal Amount (N9) DOL: Enter the principal amount of debt listed in data field 2 above, reacquired during the year.
9	Date of Maturity (N6): Enter the maturity date of long-term debt, in the format MMDDYY. (TMDATE)
10	Amount of Loss (N9) DOL: Enter the amount of loss realized for the year for each class and series of debt listed in data field 2 above.
11	Amount of Gain (N9) DOL: Enter the amount of gain realized from the year for each class and series of debt listed in data field 2 above.
Account 215.00	
Report information on appropriations of retained earnings.	
Data Field Number	Instructions
1 (Key)	Account Number (N3.2): (ACCTML)

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 DETAILED ACCOUNT DATA - PART II  
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Uniform System of Accounts	Supplemental Accounts	Description
183.20		Other preliminary survey and investigation charges
186.00		Miscellaneous deferred debits
209.00		Reduction in par or stated value of capital stock
210.00		Gain on resale or cancellation of reacquired capital stock
211.00		Miscellaneous paid-in capital
213.00		Discount on capital stock
214.00		Capital stock expense
216.10		Unappropriated undistributed subsidiary earnings
233.00	255.10	Other deferred credits
		Accumulated deferred investment tax credits - electric or gas department
	255.20	Accumulated deferred investment tax credits - other departments
261.00		Property insurance reserve
262.00		Injuries and damages reserve
263.00		Pensions and benefits reserve
264.00		Amortization reserve - Federal
265.00		Miscellaneous operating reserves
437.00		Dividends declared - preferred stock
438.00		Dividends declared - common stock
439.00		Adjustments to retained earnings

Class A and Class B Electric respondents report the detail for all accounts above except Accounts 183.10 and 183.20.

Class A and Class B Natural Gas respondents report the detail for all accounts above except Accounts 120.10 through 120.50, 157.00 through 157.30, 183.00 and 264.00.

Class C and Class D Natural Gas and Electric respondents report only the detail for Accounts 216.00, 255.10, 255.20, 437.00, 438.00 and 439.00.

Respondents shall report the information for each account as specified in Part III, Detailed Instructions. Not every data field is required for every account. The data fields for each account shall be reported annually.

Data fields 5 or 6 provide space for entry of one account number. If more than one account has been debited or credited for the item listed in data field 2, list the major account in data field 5 or 6 and the remaining accounts in data field 8, Particulars.

**III. DETAILED INSTRUCTIONS**

Instructions, cross-referenced to the schedule layout by data field number, are given below for each account to be reported.

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**RIS** FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM  
 DETAILED INSTRUCTIONS: SCHEDULE 0162  
 DETAILED ACCOUNT DATA - PART II  
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**I. DESCRIPTION**

This schedule is used to collect detailed information supporting the accounting entries to certain balance sheet and retained earnings accounts of the Uniform System of Accounts and the Supplemental Accounts.

**II. GENERAL INFORMATION**

A. This schedule shall be submitted by Class A, Class B, Class C and Class D Natural Gas and Electric respondents.

B. The following accounts are included on this schedule:

Uniform System of Accounts	Supplemental Accounts	Description
114.00		Utility plant acquisition adjustments
115.00		Accumulated provision for amortization of utility plant acquisition adjustments
116.00		Other utility plant adjustments
120.10		Nuclear fuel in process of refinement, conversion, enrichment and fabrication
120.11		Nuclear fuel in process - fabrication
120.12		Nuclear fuel in process - nuclear materials
120.13		Nuclear fuel in process - allowance for funds used during construction
120.20		Nuclear fuel in process - other overhead
120.30		Nuclear fuel materials and assemblies - stock account
120.40		Nuclear fuel assemblies in reactor
120.50		Spent nuclear fuel
121.00		Accumulated provision for amortization of nuclear fuel assemblies
122.00		Nonutility property
144.10		Accumulated provision for depreciation and amortization of nonutility property
144.20		Accounts - utility customers
144.30		Accounts - merchandise, jobbing, and contract work
144.40		Accounts - officers and employees
144.50		Accounts - other
157.00		Nuclear materials held for sale
157.10		Nuclear materials held for sale - uranium
157.20		Nuclear materials held for sale - plutonium
157.30		Nuclear materials held for sale - other
182.00		Extraordinary property losses
183.00		Preliminary survey and investigation charges
183.10		Preliminary natural gas survey and investigation charges

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
	DETAILED INSTRUCTIONS: SCHEDULE 0162

Accounts 114.00, 115.00 & 116.00	
Report particulars for utility plant acquisition or other adjustments.	
Data Field Number	Instructions
1 (Key)	Account Number (N3.2): (ACCTML)
2 (Key)	Description (A50): Explain the nature of each plant acquisition adjustment in Account 114.00 and plant adjustment in Account 116.00. For companies who utilize Account 115.00, provide the same description for each acquisition adjustment as provided under Account 114.00. Include the name of the company from which the property was acquired. (IDDESC)
3	Debits - Amount (N9) DOL.
4	Credits - Amount (N9) DOL.
5	Contra Account Credited (N3.2): (ACCTML)
6	Contra Account Debited (N3.2): (ACCTML)
8	Particulars (A60): For acquisition adjustments occurring during the year, state date of transaction and date journal entries clearing Account 102.00, Plant Purchased or Sold were accepted for filing by the Commission. Give the date Commission authorized the amortization of acquisition adjustments. Explain the plan of disposition of any acquisition adjustments not currently being amortized. (IDDESC)
9	Balance End of Year (N10) DOL.
10	Period of Amortization (A5): Enter the period of amortization by years, in the format YY-YY, i.e., 74-79. (IDDESC)
Accounts 120.10 through 120.14, 120.20 through 120.50, & 157.00 through 157.30	
Report the costs incurred for nuclear fuel materials on hand, in reactor, and in cooling owned by the respondent.	
If the nuclear fuel stock is obtained under leasing arrangements, details of the leasing arrangements should be included on Schedules 0170 and 0171.	
Data Field Number	Instructions
1 (Key)	Account Number (N3.2): (ACCTML)
3	Debits - Amount (N9) DOL: Enter the amount of additions during year.

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
	DETAILED INSTRUCTIONS: SCHEDULE 0162

Accounts 121.00	
Report particulars for nonutility property.	
Information on specific property having a cost under \$100,000 should be grouped. For grouped items, enter the word "Other" in data field 2 with the number of item grouped, i.e. Other (12). Report data for the grouped items in data field 3, 4, and 9. Properties previously devoted to public service are separate and distinct from those allowed to be grouped under this instruction.	
Total debits and credits should be provided for in data fields 3 and 4. Report the word "Total" as the last item in data field 2, in the first five spaces, and in data field 3, the total debits to the account and in data field 4, the total credits to the account.	
Data Field Number	Instructions
1 (Key)	Account Number (N3.2): (ACCTML)
2 (Key)	Description (A50): Give a brief description and state the location (city, state) of nonutility property. (IDDESC)
3	Debits - Amount (N9) DOL: Enter the cost of any property described in data field 2 above, which was purchased or otherwise transferred to Account 121.00 during the year.
4	Credits - Amount (N9) DOL: Enter the cost of property described in data field 2 above, which was sold or otherwise transferred from Account 121.00 during the year.
8	Particulars (A60): List details of sales, purchases or transfers of nonutility property. For all property previously devoted to public service list date of transfer to Account 121.00. (IDDESC)
9	Balance End of Year (N10) DOL.

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	DETAILED ACCOUNT DATA - PART II 6 of 12
DETAILED INSTRUCTIONS: SCHEDULE 0162		
Account 182.00		
Report particulars for extraordinary property losses.		
Total debits and credits should be provided for in data fields 3 and 4. Report the word "Total" as the last item in data field 2, in the first five spaces, and in data field 3, the total debits to the account and in data field 4, the total credits to the account.		
Data Field Number	Instructions	
1 (Key)	Account Number (N3.2): (ACCTML)	
2 (Key)	Description (A50): Provide a description of each property loss included in this account. (IDDESC)	
3	Debits - Amount (N9) DOL: Enter the amount of any additional extraordinary property losses incurred during the year from property described in data field 2 above.	
4	Credits - Amount (N9) DOL: Enter the amount of extraordinary property losses charged off during the current year relative to property described in data field 2 above.	
6	Contra Account Debited (N3.2): Enter the contra account debited with losses charged off during the year (data field 4 above). (ACCTML)	
8	Particulars (A60): Enter date of abandonment or loss and date of Commission authorizing for use of Account 182.00. (IDDESC)	
9	Balance End of Year (N10) DOL.	
10	Period of Amortization (A5): Enter period of amortization by year, in the format YI-YI, i.e., 74-84. (IDDESC)	
Accounts 183.00 or 183.10 and 183.20		
Report particulars for expenditures for preliminary survey.		
Preliminary survey items with total expenditures to date under \$50,000 should be grouped. For grouped items, enter the word "Other" in data field 2 with the number of items grouped, i.e., Other (12). Report data for the grouped items in data fields 3, 4 and 9.		
Total debits and credits should be provided for in data field 3 and 4. Report the word "Total" as the last item in data field 2, in the first five spaces, and in data field 3 the total debits to the account and in data field 4 the total credits to the account.		

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	DETAILED ACCOUNT DATA - PART II 5 of 12
DETAILED INSTRUCTIONS: SCHEDULE 0162		
Account 122.00		
Report particulars for depreciation and amortization of nonutility property.		
Name of Company/Department (A35): If property is leased to another company, enter the name of lessee in this data field. (IDNAME)		
Data Field Number	Instructions	
1 (Key)	Account Number (N3.2): (ACCTML)	
2 (Key)	Description (A50): Enter the word "Total". (IDDESC)	
3	Debits - Amount (N9) DOL: Enter the total debits to Account 122.00 for the year. Total debits include the book cost of plant retired, the cost of removal and any other debit adjustments to the reserve.	
4	Credits - Amount (N9) DOL: Enter the total credits to Account 122.00 for the year. Total credits include depreciation and amortization accruals for the year, salvage and any other credit adjustments by the reserve.	
9	Balance End of Year (N10) DOL: Enter the end of year balance for accumulated depreciation and amortization of nonutility property.	
Accounts 144.10 through 144.40		
Report particulars for uncollectable accounts.		
Data Field Number	Instructions	
1 (Key)	Account Number (N3.2): (ACCTML)	
3	Debits - Amount (N9) DOL: Enter the total debits for the year to each supplemental account listed in data field 1 above. Total debits include all accounts written off and other debit adjustment to the reserve.	
4	Credits - Amount (N9) DOL: Enter the total credits for the year to each supplemental account listed in data field 1 above. Total credits include the annual provision for uncollectable accounts for the year, collections of accounts previously written off and any other credit adjustments to the reserve.	
9	Balance End of Year (N10) DOL.	

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	8 of 12
	DETAILED INSTRUCTIONS: SCHEDULE 0162	DETAILED ACCOUNT DATA - PART II

Data Field Number	Instructions
Accounts 209.00, 210.00, 211.00, 213.00 and 214.00	
Report particulars for other paid-in capital accounts.	
1 (Key)	Account Number (N3.2): (ACCTML)
2 (Key)	Description (A50): For Accounts 210.00, 213.00 and 214.00, state the class and series of stock to which each debit and credit are related. For Accounts 209.00 and 211.00, state the general nature of transactions which gave rise to the reported amounts. (IDDESC)
3	Debits - Amount (N9) DOL.
4	Credits - Amount (N9) DOL.
5	Contra Account Credited (N3.2): (ACCTML)
6	Contra Account Debited (N3.2): (ACCTML)
9	Balance End of Year (N10) DOL.
Account 216.10	
Report particulars for unappropriated undistributed subsidiary earnings.	
Data Field Number	Instructions
1 (Key)	Account Number (N3.2): (ACCTML)
2 (Key)	Description (A50): Enter the word "Total". (IDDESC)
3	Debits - Amount (N9) DOL: Enter the amount of dividend received from subsidiaries and any other debit entries to this account during the year.
4	Credits - Amount (N9) DOL: Enter the amount of equity in subsidiary earnings and any other credit entries to this account during the year. Subsidiary losses should be included in data field 5 below, with the notation "Subsidiary Loss" included in data field 8 below.
5	Contra Account Credited (N3.2): (ACCTML)
8	Particulars (A60): Explain the nature of any debit or credit amounts included in data fields 4 or 5, other than subsidiary earnings or dividends. (IDDESC)
9	Balance End of Year (N10) DOL.

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	7 of 12
	DETAILED INSTRUCTIONS: SCHEDULE 0162	DETAILED ACCOUNT DATA - PART II

Data Field Number	Instructions
1 (Key)	Account Number (N3.2): (ACCTML)
2 (Key)	Description (A50): Describe the nature and purpose of each project for which preliminary survey and investigation work has been done for the purpose of determining the feasibility of projects under contemplation. (IDDESC)
3	Debits - Amount (N9) DOL.
4	Credits - Amount (N9) DOL.
6	Contra Account Debited (N3.2): (ACCTML)
9	Balance End of Year (N10) DOL.
Account 186.00	
Report particulars for miscellaneous deferred debits.	
Deferred debit items of less than \$25,000 should be grouped. For grouped items enter the word "Other" in data field 2 with the number of items grouped, i.e., Other (12). Report data for the grouped items in data fields 3, 4 and 9.	
Total debits and credits should be provided for in data fields 3 and 4. Report the word "Total" as the last item in data field 2, in the first five spaces, and in data field 3 the total debits to the account and in data field 4 the total credits to the account.	
Data Field Number	Instructions
1 (Key)	Account Number (N3.2): (ACCTML)
2 (Key)	Description (A50): Give a brief description of the nature of the miscellaneous deferred debit item. Miscellaneous work in progress and regulatory commission expenses should be stated separately under the following titles: (IDDESC) Miscellaneous Work in Progress Deferred Regulatory Commission Expenses
3	Debits - Amount (N9) DOL.
4	Credits - Amount (N9) DOL.
6	Contra Account Debited (N3.2): (ACCTML)
9	Balance End of Year (N10) DOL.
10	Period of Amortization (A5): For any deferred debit being amortized, enter the period of amortization, in the format Y1-Y2, i.e., 74-79. (IDDESC)

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	
	DETAILED INSTRUCTIONS: SCHEDULE 0162	DETAILED ACCOUNT DATA - PART II 10 of 12

Respondents with only one utility department should report in data field 1, Account 255.00.

Data Field Number	Instructions
1 (Key)	Account Number (N3.2): (ACCTML)
2 (Key)	Description (A50): In the first two spaces of data field 2, enter one of the following values: "03", "04", "07", "10" or "11" to indicate the appropriate investment tax credit rate. (IDDESC)
3	Debits - Amount (N9) DOL: Enter the amount of investment tax credits amortized to current year income related to each credit listed in data field 2 above.
4	Credits - Amount (N9) DOL: Enter the amount of investment tax credits deferred for the current year related to each credit listed in data field 2 above.
5	Contra Account Credited (N3.2): (ACCTML)
6	Contra Account Debited (N3.2): (ACCTML)
7	Adjustments - Amount (N9) DOL: Enter any adjustment of investment tax credit amounts previously recorded for this account.
8	Particulars (A60): Explain the reason for any adjustments (data field 7 above) to the account balance. Also include in this data field the amount of credits deferred for the year associated with leased property in the following manner: Leased property - \$1,000,000. (IDDESC)
9	Balance End of Year (N10) DOL.
10	Period of Amortization (A5): Enter the average period over which the investment tax credits will be amortized to income, in the format YY-YY, i.e., 73-95. (IDDESC)

Accounts 261.00, 262.00, 263.00, 264.00, 265.00

Report particulars for operating reserve accounts.

Data Field Number	Instructions
1 (Key)	Account Number (N3.2): (ACCTML)
2 (Key)	Description (A50): For accounts 216.00, 262.00, 263.00 and 264.00, state the nature of each entry included in data fields 3 and 4. For Account 265.00, identify each reserve therein separately and state the nature of the entry. If

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	
	DETAILED INSTRUCTIONS: SCHEDULE 0162	DETAILED ACCOUNT DATA - PART II 9 of 12

Account 253.00

Report particulars for other deferred credits.

Report under this account any advance billings or receipts for gas sales or service classified in Account 253.00, but not related to take-or-pay arrangements. Take-or-pay arrangements should be included on Schedule 0131.

Other deferred credits of less than \$25,000 should be grouped. For grouped items, enter the word "Other" in data field 2 with the number of items grouped, i.e., Other (12). Report data for the grouped items in data fields 3, 4 and 9.

Total debits and credits should be provided for in data fields 3 and 4. Report the word "Total" as the last item in data field 2, in the first five spaces, and in data field 3 the total debits to the account and in data field 4 the total credits to the account.

Data Field Number	Instructions
1 (Key)	Account Number (N3.2): (ACCTML)
2 (Key)	Description (A50): Give a brief description of the nature of the other deferred credit item. (IDDESC)
3	Debits - Amount (N9) DOL.
4	Credits - Amount (N9) DOL.
5	Contra Account Credited (N3.2): (ACCTML)
9	Balance End of Year (N10) DOL.
10	Period of Amortization (A5): For any deferred credit being amortized, enter the period of amortization, in the format YY-YY, i.e., 74-79. (IDDESC)

Account 255.00

Report particulars for investment tax credits.

Information on investment tax credits should be stated separately for each of the applicable credit percentages. Do not group credit percentages.

Electric respondents with more than one utility department should report as the last entry for Account 255.10, the total of accumulated deferred investment tax credits for their electric department and as the last entry for Account 255.20, the total of accumulated deferred investment tax credits for all other departments combined. Natural Gas respondents with more than one utility department should report as the last entry for Account 255.10, the total of accumulated deferred investment tax credits for their gas department and as the last entry for Account 255.20, the total of accumulated deferred credits for all other departments combined.

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	11 of 12
	DETAILED INSTRUCTIONS: SCHEDULE 0162	DETAILED ACCOUNT DATA - PART II

Data Field Number	Instructions
2 (cont'd)	the entries in data fields 3-6 affect more than one department, indication of each department affected should be made, by placing letter E (electric), G (gas) or O (other) at the end of this data field. (IDDESC)
3	<u>Debits - Amount</u> (N9) DOL.
4	<u>Credits - Amount</u> (N9) DOL.
5	<u>Contra Account Credited</u> (N3.2): (ACCTML)
6	<u>Contra Account Debited</u> (N3.2): (ACCTML)
8	Particulars (A60): For Account 261.00, Property Insurance Reserve and 262.00, Injuries and Damages Reserve, explain the nature of the risks covered by the reserves. (IDDESC)
9	<u>Balance End of Year</u> (N10) DOL.
Accounts 437.00 & 438.00	
Report particulars for dividends declared.	
Data Field Numbers	Instructions
1 (Key)	<u>Account Number</u> (N3.2): (ACCTML)
2 (Key)	Description (A50): For Account 437.00, state the class and series of stock and the type of dividend (cash, stock, other forms). For Account 438.00, state the type of dividend and the dividend rate per share. Provide a detailed description if dividends are in forms other than cash or stock. (IDDESC)
9	<u>Amount for Year</u> (N10) DOL: Enter the total amount of dividends for each class and series listed in data field 2 above.
Account 439.00	
Report particulars for adjustments to retained earnings.	
Data Field Number	Instructions
1 (Key)	<u>Account Number</u> (N3.2): (ACCTML)
2 (Key)	Description (A50): Describe briefly each debit or credit adjustment to retained earnings. The income tax effect of each adjustment to retained earnings should be included in data field 3 or 4 below, as appropriate. (IDDESC)

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	12 of 12
	DETAILED INSTRUCTIONS: SCHEDULE 0162	DETAILED ACCOUNT DATA - PART II

Data Field Number	Instructions
3	<u>Debits - Amount</u> (N9) DOL.
4	<u>Credits - Amount</u> (N9) DOL.
5	<u>Contra Account Credited</u> (N3.2): (ACCTML)
6	<u>Contra Account Debited</u> (N3.2): (ACCTML)

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**RIS** FEDERAL POWER COMMISSION  
REGULATORY INFORMATION SYSTEM

DETAILED INSTRUCTIONS:  
SCHEDULE 0163

DETAILED ACCOUNT DATA - PART III

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Uniform System of Accounts	Supplemental Accounts	Description
426.50	426.44	Expenditures for certain civic, political and related activities - newspaper and magazine editorial services
430.00	426.45	Expenditures for certain civic, political and related activities - other advertising
431.00	426.46	Expenditures for certain civic, political and related activities - expenses other than advertising
436.00		Miscellaneous income deductions - other
813.00		Income on debt to associated companies
818.00		Other interest expenses
920.30		Appropriations of retained earnings
930.40		High gas supply expenses
		Transmission and compression of gas by others
		Nuclear power, experimental and general research expenses
		Stockholder expenses
		Other expenses

Class A and Class B Electric respondents report detail for all accounts listed above except Account 165.10 through 165.60, 491.00, 813.00 and 838.00.

Class A and Class B Natural Gas respondents report detail for all accounts listed above.

Class C and Class D Electric and Natural Gas respondents report detail for Accounts 426.41 through 426.46 and 436.00.

C. Respondents shall report the information for each account as specified in Part III, Detailed Instructions. Not every data field is required for every account. The data fields for every account shall be reported annually.

III. DETAILED INSTRUCTIONS

Instructions, cross-referenced to the schedule layout by data field number, are given below for each account to be reported.

Account 154.00

Report information for plant materials and operating supplies.

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DETAILED INSTRUCTIONS:  
SCHEDULE 0163

DETAILED ACCOUNT DATA - PART III

1 of 9

I. DESCRIPTION

This schedule is used to collect detailed information supporting end of year balances or amounts for certain of the Uniform System of Accounts and the Supplemental Accounts.

II. GENERAL INFORMATION

A. This schedule shall be submitted by Class A, Class B, Class C and Class D Natural Gas and Electric respondents.

B. The following accounts are included on this schedule:

Uniform System of Accounts	Supplemental Accounts	Description
154.00		Plant materials and operating supplies
	165.10	Prepaid insurance
	165.20	Prepaid rent
	165.30	Prepaid taxes
	165.40	Prepaid interest
	165.50	Gas prepayments
	165.60	Other prepayments
174.00		Miscellaneous current and accrued assets
202.00		Common stock subscribed
203.00		Common stock liability for conversion
205.00		Preferred stock subscribed
206.00		Preferred stock liability for conversion
207.00		Premium on capital stock
208.00		Donations received from stockholders
212.00		Installments received on capital stock
242.00		Miscellaneous current and accrued liability
	252.10	Customer advances for construction - electric department
	252.20	Customer advances for construction - gas department
	252.30	Customer advances for construction - other
419.00		Interest and dividend income
421.00		Miscellaneous nonoperating income
	421.10	Gain on disposition of property
	421.20	Loss on disposition of property
425.00		Miscellaneous amortization
	426.10	Miscellaneous income deductions - donations
	426.20	Miscellaneous income deductions - insurance
	426.30	Miscellaneous income deductions - penalties
	426.41	Expenditures for certain civic, political and related activities - radio, television, and motion picture advertising
	426.42	Expenditures for certain civic, political and related activities - newspaper, magazine and pamphlet advertising
	426.43	Expenditures for certain civic, political and related activities - inserts in customers' bills or reports to stockholders

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	DETAILED ACCOUNT DATA - PART III	3 of 9
	DETAILED INSTRUCTIONS: SCHEDULE 0163		

Data Field Number

1 (Key) Instructions  
 Account Number (N3.2): Refer to General Information, II-B. (ACCTML)

2 (Key) Description (A50): Furnish a breakdown of plant materials and operating supplies included in Account 154.00, according to the general character of the items. Examples: (IDDESC)

Electric  
 Poles and pole materials  
 Steel tower and fittings  
 Conductor-wire-cable-insulators  
 Substation materials  
 Underground materials  
 Other operations:  
 Maintenance materials  
 Auto parts & supplies  
 Stationery & supplies  
 Production M&S and spare

Gas  
 Steel pipe line  
 Well casing  
 Valves and fittings  
 Meters, regulators and parts  
 Miscellaneous machine parts, tools & electrical supplies  
 Compressor station engine parts  
 Building materials, poles, paint, etc.  
 Miscellaneous equipment & supplies

3 Balance End of Year (N10) DOL.

4 Particulars (A60): State the amount of any important inventory adjustment made during the year to the classes of material listed in data field 2 above.

For any class of material listed in data field 2 above, that pertains to other than the primary department, state in this data field the department affected. (IDDESC)

Accounts 165.10 - 165.60

Natural Gas respondents report information on prepayments.

Data Field Number

1 (Key) Instructions  
 Account Number (N3.2): Refer to General Information, II-B. (ACCTML)

2 Description (A50): Furnish a description for any prepayment reported in Supplemental Account 165.60, Other Prepayments. (IDDESC)

3 Balance End of Year (N10) DOL.

Accounts 174.00 & 242.00

Report information on miscellaneous current and accrued assets and liabilities or liabilities of less than \$25,000 may be grouped. For grouped items, enter the word

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	DETAILED ACCOUNT DATA - PART III	4 of 9
	DETAILED INSTRUCTIONS: SCHEDULE 0163		

"Other" in data field 2 with the number of items grouped, i.e., Other (12). Report data for the grouped items in data field 3.

Data Field Number

1 (Key) Instructions  
 Account Number (N3.2): Refer to General Information, II-B. (ACCTML)

2 (Key) Description (A50): Describe current and accrued assets or liabilities. (IDDESC)

3 Balance End of Year (N10) DOL.

Accounts 202.00, 203.00, 205.00, 206.00, 207.00, 208.00 and 212.00

Report information on other capital stock accounts.

Data Field Number

1 (Key) Instructions  
 Account Number (N3.2): Refer to General Information, II-B. (ACCTML)

2 Description (A50): For Accounts 202.00 and 205.00, enter the subscription price on each class of stock at year end. For Accounts 203.00 and 206.00, describe the agreement under which a conversion liability existed at end of year. For Account 208.00, provide an explanation of the origin and purpose of each donation. For Account 212.00, state each class and series of capital stock with an outstanding installment at year end. For Account 207.00, state the class and series of stock giving rise to the premium. Provide a brief explanation of any premiums arising from transactions with associated companies. If additional information is required for adequate disclosure, use data field 4, Particulars. (IDDESC)

3 Balance End of Year (N10) DOL: Enter the balance end of year associated with the item listed in data field 2 above.

4 Particulars (A60): Enter the number of shares at year end, if applicable. (IDDESC)

Accounts 252.10 - 252.30

For respondents with more than one utility department, report information on customer advances by departments.

Data Field Number

1 (Key) Instructions  
 Account Number (N3.2): Refer to General Information, II-B. (ACCTML)

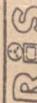
3 Balance End of Year (N10) DOL: Enter the balance of customer advances at the end of year for each account listed in data field 1 above.

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
	DETAILED ACCOUNT DATA - PART III SCHEDULE 0163

Data Field Number  2 (Key)  3  4   Account 425.00  Report information on miscellaneous amortization.  Data Field Number 1 (Key) 2 (Key) 3 4  Accounts 426.10, 426.20, 426.30, & 426.50  Report information on miscellaneous income deduction accounts.  Amounts of less than \$1,000 may be grouped. For grouped items, enter the word "Other" in data field 2 with the number of items grouped, i.e., Other (12). Report data for the grouped items in data field 3.  Data Field Number 1 (Key) 2 (Key)	Instructions Description (A50): Provide a brief description of the location of property (city and state) and original cost of property disposed of during the year. (IDDESC)  Amount for Year (N10) DOL: Enter amount of gain or loss associated with the disposition of property reported in data field 2 above.  Particulars (A60): Enter the name of the party acquiring the property, when acquired by another utility or an associated-company. Enter the classification of the property prior to its disposition (in-service, future use nonutility, etc.) Also enter the date of Commission approval of journal entries when approval is required, i.e., Commission approval 5,10,75. Where approval is required but has not been received, provide an explanation. (IDDESC)  Account Number (N3.2): (ACCTML) Description (A50): Describe the nature of items being amortized. (IDDESC)  Amount for Year (N10) DOL: Enter the total amount amortization charges for the year for each item listed in data field 2 above.  Particulars (A60): Enter the period of amortization for any item listed in data field 2 above. (IDDESC)  Instructions Account Number (N3.2): Refer to General Information, II-B. (ACCTML) Description (A50): Report the nature of the income deduction and the payee. (IDDESC)
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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
	DETAILED ACCOUNT DATA - PART III SCHEDULE 0163

Account 419.00  Report information on interest and dividend income.  Interest and dividend items in amounts of less than \$10,000 may be grouped. For grouped items, enter the word "Other" in data field 2 with the number of items grouped, i.e., Other (12). Report data for the grouped items in data field 3.  Data Field Number 1 (Key) 2 (Key)  3  Account 421.00  Report information on miscellaneous nonoperating income.  Amounts of less than \$10,000 may be grouped. For grouped items, enter the word "Other" in data field 2 with the number of items grouped, i.e., Other (12). Report data for the grouped items in data field 3.  Data Field Number 1 (Key) 2 (Key) 3  Accounts 421.10, 421.20  Report information related to disposition of property.  Individual gain or losses relating to property with an original cost of less than \$50,000 may be grouped with the number of items in data field 2.  Data Field Number 1 (Key)	Instructions Account Number (N3.2): (ACCTML) Description (A50): Income from investments included in Accounts 123.00, 124.00 and 136.00, should be grouped and listed in this data field as:  Income from Investments - Accounts 123.00 and 124.00 Income from Investments - Account 136.00  Provide a description of any other income or expense items included in account 419.00. (IDDESC)  Amount for Year (N10) DOL.  Report information on miscellaneous nonoperating income.  Amounts of less than \$10,000 may be grouped. For grouped items, enter the word "Other" in data field 2 with the number of items grouped, i.e., Other (12). Report data for the grouped items in data field 3.  Instructions Account Number (N3.2): (ACCTML) Description (A50): Provide a description of each miscellaneous nonoperating income and/or expense item. (IDDESC)  Amount for Year (N10) DOL.  Instructions Account Number (N3.2): (ACCTML)
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 FEDERAL POWER COMMISSION  
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 DETAILED ACCOUNT DATA - PART III  
 SCHEDULE 0163  
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Data Field Number	Instructions
1 (Key)	Account Number (N3.2): (ACCTNL)
2 (Key)	Description (A50): State name of company and a description of the service performed. (IDDESC)
3	Amount for Year (N10) DOL.
Accounts 930.30 - 930.60	
Report information on miscellaneous general expenses.	
For Accounts 930.30 - 930.50, complete data fields 1 and 3 only.	
Account 930.50, Stockholder Expenses, includes publishing and distributing information to stockholders.	
For Account 930.60, list separately in data field 2 each item of miscellaneous other expense of \$500 or more. Amounts of less than \$500 may be grouped. For grouped items, enter the word "Other" in data field 2 with the number of items grouped, i.e., Other (12). Report data for the grouped items in data field 3.	
Data Field Number	Instructions
1 (Key)	Account Number (N3.2): Refer to General Information, II-B. (ACCTNL)
2 (Key)	Description (A50): Describe each item of miscellaneous other expenses. (IDDESC)
3	Amount for Year (N10) DOL.

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
DETAILED INSTRUCTIONS: SCHEDULE 0164	DETAILED ACCOUNT DATA - PART IV
	1 of 4

**I. DESCRIPTION**

This schedule is used to collect detailed information supporting amounts for the year for certain revenue accounts of the Uniform System of Accounts.

**II. GENERAL INFORMATION**

A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents.

B. The following accounts are included on this schedule.

Uniform System of Accounts	Supplemental Accounts	Description
451.00		Miscellaneous service revenues
454.00		Rent from electric property
455.00		Interdepartmental rents (electric)
456.00		Other electric revenues
	484.00	Interdepartmental sales - natural gas
	490.00	Sales of products extracted from natural gas
	491.00	Revenues from natural gas processed by others
	492.00	Incidental gasoline and oil sales
	493.00	Rent from gas property
	494.00	Interdepartmental rents (gas)
	495.00	Other gas revenues

Class A and Class B Electric respondents report the detail for Accounts 451.00 and 454.00 through 456.00.

Class A and Class B Natural Gas respondents report the detail for Accounts 484.00 and 490.00 through 495.00.

C. Respondents shall report the information for each account as specified in Part III, Detailed Instructions. Not every data field is required for every account. The data fields for every account shall be reported annually.

**III. DETAILED INSTRUCTIONS**

Instructions, cross-referenced to the schedule layout by data field number, are given below for each account to be reported.

Accounts 451.00 & 456.00

Report particulars for miscellaneous revenue accounts.

Revenues of less than \$10,000 may be grouped. For grouped items, enter the word "Other" in data field 2 with the number of items grouped, i.e., Other (12). Report data for the grouped items in data field 3.

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
DETAILED INSTRUCTIONS: SCHEDULE 0164	DETAILED ACCOUNT DATA - PART IV
	2 of 4

**Data Field Number**

1 (Key) **Account Number (N3,2):** (ACCTML)

2 (Key) **Description (A50):** Describe each category of miscellaneous service or other revenue. Provide a separate caption for revenues from operation of fish and wildlife and recreation facilities (regardless of whether such facilities are operated by company or by contract concessionaries). Also list separately revenues realized through Research and Development ventures. (IDDESC)

3 **Amount for Year (N9) DOL:** State amount of revenue for year.

4 **Name of Company (A35):** State name of company or other organization from which revenue is derived. (IDNAME)

5 **Associated Company Indicator (NI):** If the company named in data field 4 is an associated company, enter "1"; if not, enter "0". (INYONO)

Accounts 454.00, 455.00, 493.00, & 494.00

Report information related to rent from property and interdepartmental rents.

Amounts under \$10,000 may be grouped. For grouped items, enter the word "Other" in data field 2 with the number of items grouped, i.e., Other (12). Report data for the grouped items in data field 3.

**Data Field Number**

1 (Key) **Account Number (N3,2):** (ACCTML)

2 (Key) **Description (A50):** For Accounts 454.00 and 493.00, provide description of the related property. For Accounts 493.00 and 494.00, if the amount reported in data field 3 is derived from a manufactured gas property, indicate this by entering the words "Manufactured Gas" in this data field. (IDDESC)

3 **Amount for Year (N9) DOL:**

4 **Name of Department/Company (A35):** Enter name of lessee of department from which revenue is received. (IDNAME)

5 **Associated Company Indicator (NI):** If the company named in data field 4 above is an associated company, enter "1"; if not, enter "0". (INYONO)

6 **Particulars (A60):** If rents are included which were arrived at under an arrangement for apportioning expenses of a joint facility, whereby the amount included in this account represents profit or return on property, depreciation, and taxes,

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	4 of 4
	DETAILED INSTRUCTIONS: SCHEDULE 0164	DETAILED ACCOUNT DATA - PART IV

Data Field Number	Instructions
1 (Key)	Account Number (N3.2): (ACCTML)
2 (Key)	Description (A50): Provide description transaction. (IDDESC)
3	Amount for Year (N9) DOL.
4	Name of Department/Company (A35): State name of processor. (IDNAME)
6	Particulars (A60): Provide details in instances where no revenues have been received in the transaction. (IDDESC)
Accounts 492.00 & 495.00 Report particulars concerning revenues received from incidental gas and oil sales and from other gas revenues.	
Data Field Number	Instructions
1 (Key)	Account Number (N3.2): (ACCTML)
2 (Key)	Description (A50): Describe each source of revenue. List separately revenue realized through research and development ventures. (IDDESC)
3	Amount for Year (N9) DOL: State amount of revenue for year.
4	Name of Company (A35): State name of company or other organization from which revenue is derived. (IDNAME)
5	Associated Company Indicator (N1): If the company named in data field 4 above is an associated company, enter "1"; if not, enter "0". (INYONO)

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	3 of 4
	DETAILED INSTRUCTIONS: SCHEDULE 0164	DETAILED ACCOUNT DATA - PART IV

Data Field Number	Instructions
6 (cont'd)	give particulars and the basis of apportionment of such charges to the account shown in data field 1. (IDDESC)
Account 484.00	Natural Gas respondents only - report information on interdepartmental sales.
Data Field Number	Instructions
1 (Key)	Account Number (N3.2): (ACCTML)
2 (Key)	Description (A50): List the other utility department and basis of the charges. (IDDESC)
3	Amount for Year (N9) DOL.
Account 490.00	Natural Gas respondents only report particulars concerning sales of gasoline, butane, propane, and other products extracted from natural gas, including sales of any such products which may have been purchased from others for resale. If the purchasers are numerous it is permissible to group the sales by kind of product. In that case, state in data field 2 the number of producers grouped. Show separately, however, sales to associated companies or to companies which were associated at the time the applicable sales contracts were made.
Data Field Number	Instructions
1 (Key)	Account Number (N3.2): (ACCTML)
2 (Key)	Description (A50): State name of purchaser. (IDDESC)
3	Amount for Year (N9) DOL.
5	Associated Company Indicator (N1): If the company named in data field 2 above is an associated company, enter "1"; if not, enter "0". (INYONO)
6	Particulars (A60): State name of product and the number of gallons sold. (IDDESC)
Account 491.00	Natural Gas respondents report particulars concerning transactions with others for re-moving products from natural gas of the respondent. The information called for in this schedule should also be provided in instances where gas of the respondent is processed by others and no revenue is received.

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<p><b>RAS</b></p>	<p>FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM</p>	<p>1 of 1</p>
<p>DETAILED INSTRUCTIONS: REVENUE FROM TRANSPORTATION OF GAS SCHEDULE 0167 OF OTHERS - NATURAL GAS (ACCOUNT 489.00)</p>		
<p><u>I. DESCRIPTION</u></p>		
<p>This schedule is used to collect details concerning Account 489.00, Revenue from Transportation of Gas of Others.</p>		
<p><u>II. GENERAL INFORMATION</u></p>		
<p>A. This schedule shall be submitted by Class A and Class B Natural Gas respondents.</p>		
<p>B. Respondents shall complete all data fields on this schedule annually.</p>		
<p>C. Points of receipt and delivery should be so designated that they can be identified on map of the respondent's pipe line system.</p>		
<p><u>III. DETAILED INSTRUCTIONS</u></p>		
<p>The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:</p>		
Data Field Number	Instructions	
1	Description (A40): Provide a description of the service performed. Designate if gas transported or compressed is other than natural gas. (IDDESC)	
2	Point of Receipt (A22): Enter the state abbreviation, from the Register of Data Standards, <u>IDSTAT</u> , followed by the city name.	
3	Point of Delivery (A22): Enter the state abbreviation, from the Register of Data Standards, <u>IDSTAT</u> , followed by the city name.	
4	Distance Transported (N4) MI.	
5	Name of Company (A35): State name of company from which revenue (data field 9) was derived. (IDNAME)	
6	Associated Company Indicator (N1): If the company named in data field above is an associated company, enter "1"; if not, enter "0". (INYOMO)	
7	Quantity Received (N9.3) MMCF.	
8	Quantity Delivered (N9.3) MMCF.	
9	Revenue (N8) DOL.	
10	Revenue Per MCF (N3.2) CTS/MCF.	
11	Federal Power Commission Rate Schedule Number (A11). (IDRATE)	

	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM SCHEDULE 0168	GAS PURCHASES	2 of 4
	DETAILED INSTRUCTIONS:		

F. Report gas quantity in MCF rounded to three decimal places at a uniform pressure base of 14.73 PSIA at 60 Fahrenheit. If the reported gas quantity is an estimated value, state such fact in a footnote.

**III. DETAILED INSTRUCTIONS**

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Data Field Number	Instructions
1 (Key)	Account Number (N3.2): (ACCTML)
2 (Key)	Seller Code (N6): All respondents, enter the seller code, from the Register of Data Standards, <u>IDRESP</u> .
3	Et Al Indicator (N1): Enter "1" to indicate that an et al. party is involved; otherwise, enter "0". If an et al. party is involved, the seller identified by data field 2 above should be the principle party.
4	State Abbreviation (A2): Class A and Class B respondents, enter the abbreviation, from the Register of Data Standards, <u>IDSTATE</u> , to designate the state where the gas is received. For Class C and Class D respondents, report the state abbreviation for well head or field line purchases.
5	County Code (N3): Class A and Class B respondents, enter the code, from the Register of Data Standards, <u>IDCNTY</u> , to designate the county where the gas is received. If the gas is received in more than one county, use the code designation for the county receiving the largest volume of gas. For Class C and Class D respondents, report the county code for well head or field line purchases.
6	Name of Producing Field or Plant (A26): Class A and Class B respondents, enter the name of the producing field if using Accounts 800.00 and 801.00. Class A and Class B respondents also, report the name of the field or plant contributing the largest volume of gas if purchases under a contract are from more than one field or plant. For Class C respondents, report the gas field or production for well head or field line purchases. (IDCFPL)
7	Associated Company Indicator (N1): All respondents, enter "1" in this data field if the seller is an associated company; if not, enter "0". (INYOND)

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM SCHEDULE 0168	GAS PURCHASES	1 of 4
	DETAILED INSTRUCTIONS:		

This schedule is used to collect data relating to gas purchases during the year.

**I. DESCRIPTION**

A. This schedule shall be submitted by Class A, Class B, Class C and Class D Natural Gas respondents.

B. Respondents shall complete all appropriate data fields on this schedule annually.

C. Class A and Class B Natural Gas respondents are to report information according to the following accounts:

Uniform System Of Accounts	Supplemental Accounts	Description
800.00		Natural gas well head purchases
801.00		Natural gas field line purchases
802.00		Natural gas gasoline plant outlet purchases
803.00		Natural gas transmission line purchases
804.00		Natural gas city gate purchases
805.00		Other gas purchases

Class C Natural Gas respondents report information according to the following accounts:

730.01		Natural gas purchases - well head
730.02		Natural gas purchases - field line
730.03		Natural gas purchases - gasoline plant outlet
730.04		Natural gas purchases - other
731.00		Other gas purchases

Class D Natural Gas respondents report information according to the following accounts:

730.00		Natural gas purchases
731.00		Other gas purchases

D. For Class A and Class B respondents, purchases of less than 100,000 MCF per year per contract from sellers not affiliated with the reporting company shall be grouped by account number according to jurisdictional and non-jurisdictional sales, except when the purchases were permanently discontinued during the reporting year. The number of purchases so grouped under each category shall be noted in a footnote. For example, Other Jurisdictional (10) or Other Nonjurisdictional (5).

E. For Class C and Class D respondents, field purchases of less than \$25,000 per year from individual non-associated vendors shall be grouped by fields or production areas. For Class C and Class D respondents where purchases are from unitized fields or vendor is a partnership or joint interest arrangement, specify such fact in a footnote and give name of unit operator, principal partner, or largest owner of joint interest, as appropriate.

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	GAS PURCHASES	3 of 4
	DETAILED INSTRUCTIONS: SCHEDULE 0168		
Data Field Number	Instructions		
8	Rate Schedule Number (A11): For Class A, Class B, Class C and Class D respondents, report the assigned Federal Power Commission rate schedule number of small producer certificate docket number. If a sale is nonjurisdictional, enter "999" in this data field. For Class C and Class D respondents, intrastate purchases for which there is no required filing of a Federal Power Commission rate schedule shall be specified as "intrastate". If for any purchase more than one rate schedule was in effect during the year, show the most recent rate schedule designation and in a footnote give superseded rate schedules and date of supersession or a succinct explanation. The operator's rate schedule designation is sufficient in cases where there are co-owners under the same purchase contract, but the entire volume of gas and amount, data fields 12 and 13 below, must be shown for the purchases under the particular contract. Rate schedule designations are not required with respect to small purchases grouped as permitted by General Information, II-D. (IDRATE)		
9	Net Rate Effective December 31st of Report Year (N3.2) CTS/MCF: All respondents, state the average net rate in cents per MCF (rounded to two decimal places) as of December 31st, for the reported year, applicable to the volume shown in data field 12 below. The net rate includes all additive charges and tax reimbursements and is net of all applicable deductions and downward adjustments. The rate is effective if filed pursuant to applicable statutes and regulations and (as to Federal Power Commission rate schedules) permitted by the Commission to become effective.		
10	Date of Current Contract (N6): All respondents, enter the date in the format WDDYY, of the gas purchase contract. For Class A and Class B, show the date of the original contract if gas is purchased under a renegotiated contract. If new acreage is dedicated by satisfaction of an existing contract show the date of the satisfaction, rather than the date of the original contract. If gas is being sold from a different reservoir than the original dedicated acreage pursuant to Section 2.56 (f) (2) of the Commission's Rules of Practice and Procedure, report the original contract date as per usual practice. (TDATE)		
11	Approximate BTU Per Cubic Foot (N4) BTU/CF: All respondents, enter for each purchase the approximate BTU per cubic foot, determined in accordance with the definition of BTU per cubic foot of the General Instructions for this packet of schedules.		

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	GAS PURCHASES	4 of 4
	DETAILED INSTRUCTIONS: SCHEDULE 0168		
Data Field Number	Instructions		
12	Quantity of Gas Purchased (N9.3) MMCF: All respondents, report the volume of purchased gas finally measured for the purpose of determining the amount payable for the gas. Include percent year receipts of make-up gas that was paid for in prior years. Report gas in MMCF rounded to three decimal places.		
13	Cost of Gas (N9) DOL: All respondents, state the amount paid and previously paid for the volumes of gas shown in data field 12 above.		

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
	SCHEDULE 0169 PROFESSIONAL AND OTHER CONSULTATIVE SERVICES

**DETAILED INSTRUCTIONS:**  
SCHEDULE 0169  
PROFESSIONAL AND OTHER CONSULTATIVE SERVICES

H. Outside services should be reported in data field 2 using the following codes:

Code	Type of Service
01	Financial
02	Auditing
03	Accounting
04	Purchasing
05	Rates
06	Management
07	Construction
08	Engineering
09	Research
10	Environmental
11	Valuation
12	Legal
13	Advertising
14	Labor Relations
15	Public Relations
16	Legislation
17	Fuel Supply
99	Other

**III. DETAILED INSTRUCTIONS**

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Data Field Number	Instructions
1 (Key)	Name of Consultant (A35): (IDNAME)
2	Type of Service (N2): Enter the code for the type of consultant service, from General Information II-R. (TYCN5Y)
3	Description of Service (A40): Provide other description of service including case number or project name. (IDDESC)
4	Associated Company Indicator (N1): If consultant is an associated company, enter "w"; if not, enter "gn". (INYONO)
5	Address of Consultant (A22): Report the address (state and city) of the consultant. Enter the state abbreviation in the first two positions of the data field, from the Register of Data Standards, <u>INSTAL</u> , followed by the city name (IDCITY).
6	Basis of Charges (A30): Examples are: Payroll plus overhead plus expenses, hourly rate plus expenses, fixed fee plus expenses. (IDDESC)
7	Contract Start Date (N6): Enter the date, in the format <u>MMDDYY</u> . (TDATE)

<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
	SCHEDULE 0169 PROFESSIONAL AND OTHER CONSULTATIVE SERVICES

**I. DESCRIPTION**

This schedule is used to collect details on all charges made during the year included in any account (including plant accounts) for outside consultative and other professional services such as services concerning rate, management, construction, engineering, research, financial, valuation, legal, accounting, purchasing, advertising, labor relations, and public relations, rendered to the respondent under written or oral arrangement.

**II. GENERAL INFORMATION**

A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents.

B. Respondents shall complete all data fields on this schedule annually.

C. Each logical entry has two parts. In data fields 1-10, report information on services performed. In data fields 11-13, show each account charged for that service with the associated amount.

D. For aggregate payments (other than for employee services or medical and personnel related services) amounting to \$5,000 for Class B and \$10,000 for Class A companies, complete data fields 1-6 and 10, with data fields 11-13 as needed. These payments should include legislative services payments except those which are to be reported in Account 426.40, Expenditures for Certain Civic, Political and Related Activities. For aggregate payments to any one individual, group or partnership, by Class A companies in the amount \$600 or greater but less than \$10,000, and aggregate payment by Class B companies in the amount \$600 or greater but less than \$5,000, complete data fields 1, 2, 4 and 10.

E. For any services which are under a long-term contract, complete data fields 7 and 8, and, if applicable, data field 9.

F. The respondent shall report professional and consultative services charged to any account of the Uniform System of Accounts. Do not report in data field 11 any control accounts (i.e., 401.00, Operation Expense) nor any respondent developed sub-account numbers. Data field 12 should be used to distinguish the department utilizing the service if the respondent has more than one utility department.

G. The respondent may be a member of a consolidated group which furnishes centralized professional services through a group service organization or it may receive professional services from other group member companies. In such instances, the respondent shall furnish complete details on the intercompany arrangements. For each type of service listed in data field 5, the respondent shall state briefly the basis for the charge (data field 6), the account(s) charged (data field 11) and the amount charged to each account (data field 13).



FEDERAL POWER COMMISSION  
REGULATORY INFORMATION SYSTEM

PROFESSIONAL AND OTHER CONSULTATIVE SERVICES

DETAILED INSTRUCTIONS:  
SCHEDULE 0169

3 of 3

Data Field Number	Instructions
8	<u>Contract Termination Date (N6)</u> : Enter the date, in the format MDDYY. (TDATE)
9	<u>Date of Commission Authorization (N6)</u> : Enter the date, in the format MDDYY. (TDATE)
10	<u>Consultant's Total Charge for Year (N8) DOL.</u>
11 (Key)	<u>Account Charged (N3.2)</u> : (ACCTML)
12 (Key)	<u>Department Using Consultant (A3)</u> : This data field should be completed by respondents with more than one utility department. If the account charged is common to two or more departments or all departments, enter COM. Enter one of the department abbreviations from the following list: (TUTIL)
13	<p>ELC = Electric                      GAS = Natural Gas                      OTH = Other                      COM = Common</p> <p><u>Amount Charged (N8) DOL.</u></p>

	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	1 of 2
	DETAILED INSTRUCTIONS: SCHEDULE 0170 LEASE RENTAL CHARGES - GENERAL DATA	

This schedule is used to collect detailed information on properties leased by the respondent.

**I. DESCRIPTION**

**II. GENERAL INFORMATION**

A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents.

B. Respondents shall complete all data fields on this schedule in their first submission. On subsequent submissions, respondents shall submit only appropriate identification (key data fields) and changed values, additions and deletions.

C. Report information called for where lease annual charges are \$250,000 or more. Lessees of construction equipment used in connection with work in progress or continuous, master or open-end leases for general plant, EMP or office equipment or other property short-lived and replaced under terms of the lease or for pole rentals, are not required to be reported on this schedule, unless lessee has the option to purchase the property.

D. Lease identifications (beginning with 01) are assigned by the respondent when the lease is first reported. The same value must be used for reporting in subsequent years. This value, which is reported in data field 1 of this schedule, must be identical to that reported in data field 1 of Schedule 0171.

**III. DETAILED INSTRUCTIONS**

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Data Field Number	Instructions
1 (Key)	Lease ID (N2): Assign a unique, sequential number to identify the lease for which data is to be given in data fields 2-14 below. (NRGADL)
2 (Key)	Description of Property (A70): Include the type, size, quantity and estimated useful life of property leased. (IDDESC)
3	Name of Lessor (A35): (IDNAME)
4	Option to Purchase Indicator (N1): If lessee has an option to purchase, enter "1"; if not, enter "0". (INYNOC)
5	Particulars - Option to Purchase (A45): If lessee has an option to purchase, state the dates, the basis of determining the purchase price and other particulars. (IDDESC)
6	Lease Start Date (N6): Enter the date, in the format MDDYY. (TDATE)

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	2 of 2
	DETAILED INSTRUCTIONS: SCHEDULE 0170 LEASE RENTAL CHARGES - GENERAL DATA	

**I. INSTRUCTIONS**

Data Field Number	Instructions
7	Lease End Date (N6): Enter the date, in the format MDDYY. (TDATE)
8	Lease Cancellable Indicator (N1): If lease is cancellable by either party, enter "1"; if not, enter "0". (INYNOC)
9	Conditions Under Which Lease is Cancellable (A60): State the conditions under which the lease agreement may be cancelled by either party. (IDDESC)
10	Sale/Leaseback Indicator (N1): If lease is related to a sale and leaseback arrangement, enter "1"; if not, enter "0". (INYNOC)
11	Accounting Treatment (A60): Describe accounting treatment of lease payments (levelized charges to expense or other treatment). (IDDESC)
12	Lease Rental Tax Treatment (A60): State whether the lease is treated as an item of capital or expense for Federal income tax purposes. (IDDESC)
13	Responsibilities of Respondent (A60): State responsibilities of respondent for payment of operation, maintenance, taxes, insurance or other expense associated with the property. (IDDESC)
14	Apportionment of Charges (A60): State the nature and basis for apportioning any charges between the lessor and lessee. (IDDESC)

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	LEASE RENTALS CHARGED ANNUAL DATA 1 of 3
DETAILED INSTRUCTIONS: SCHEDULE 0171		
I. DESCRIPTION		
This schedule is used to collect details on the accounting for payments made to third parties in connection with lease agreements.		
II. GENERAL INFORMATION		
A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents. Natural Gas respondents furnish data for gas departments only. Electric respondents furnish data for the electric departments only.		
B. Respondents shall complete all specified data fields on this schedule annually.		
C. For purposes of this schedule a "lease" is defined as a contract or other agreement by which one party (lessor) conveys an intangible right to land or other tangible property and equipment to another (lessee) for a specified period of one year or more for rent.		
D. For leases with annual charges of \$25,000 or more, but less than \$250,000, complete data fields 1, 2, 3, 4, 16, 17 and 18.		
E. For leases having annual charges of \$250,000 or more, complete all data fields.		
F. The annual charges referred to in General Information D and E include the basic lease payment and other payments to or in behalf of the lessor such as taxes, depreciation, assumed interest of dividends on the lessor's securities, cost of property replacements and other expenditures with respect to leased property except the expenses of operating and maintaining such leased property.		
G. Oil and gas leases and leases of construction equipment used in connection with construction work in progress are not required to be reported herein. For continuous master or open-end leases for general plant items, EDP or office equipment, automobile fleets that are short-lived and replaced under terms of the lease, the respondent shall report only the data called for in data fields 1, 2, 3, 4, 5, 16, 17 and 18 unless the lessee has the option to purchase the property.		
H. Each logical entry has two parts. In data fields 1-15, report data concerning each individual lease. In data fields 16-18, show the distribution of the current year lease rental charges to the various accounts.		
I. Lease identification (beginning with 01) are assigned by the respondent when the lease is first reported. The same value must be used for reporting in subsequent years. This value is reported in data field 1 of this schedule and must be identical to that reported in data field 1 of Schedule 0170.		
III. DETAILED INSTRUCTIONS		
The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:		

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	LEASE RENTALS CHARGED ANNUAL DATA 2 of 3
DETAILED INSTRUCTIONS: SCHEDULE 0171		
Instructions		
1 (Key)	Lease ID (N2): Assign a unique, sequential number to identify the lease for which data is to be given in data fields 2-18 below. (NRGADL)	
2 (Key)	Description of Property (A70): The entry in this data field should duplicate the entry in data field 1 of Schedule 0170. (IDDESC)	
3 (Key)	Plant Function (N2): Enter one of the following codes: (IDPLFN)	
	Code      Type 01      = Production Plant 02      = Storage Plant 03      = Transmission Plant 04      = Distribution Plant 05      = General Plant 06      = Research and Development 07      = Nuclear Fuel 08      = Gathering Plant 99      = Other Items	
4	Name of Lessor (A35): (IDNAME)	
5	Associated Company Indicator (N1): If lessor is an associated company, enter "1"; if not, enter "0". (LINTONO)	
6	Current Lease Beginning Date (N6): Enter the date, in the format MMDDYY. (TDATE)	
7	Current Lease Ending Date (N6): Enter the date, in the format MMDDYY. (TDATE)	
8	Primary/Renewal Indicator (N1): If lease is in its primary term, enter "1"; if it is in a renewal term, enter "2". (INETOR)	
9	Original Cost/Fair Market Beginning Value of Property (N9) DOL: Report, as of the date of the current lease term, the original cost of the property leased, estimated if not known, or the fair market value of the property if greater than original cost	
10	Type Expense Paid by Lessee (A45): Enter a brief description of type expenses paid by lessee (See General Information, II-F) (IDDESC)	
11	Amount of Accumulated Rent - Lessor (N8) DOL: Enter the accumulated amount of rents paid to the lessor during the current term of the lease.	

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RIS		FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	
DETAILED INSTRUCTIONS:		LEASE RENTALS CHARGED	
SCHEDULE 0171		3 of 3	
Data Field Number	Instructions		
12	Amount of Accumulated Rent - Other (N8) DOL: Enter the accumulated amount of expenses paid to third parties on behalf of the lessor, such as taxes, interest, insurance, etc.		
13	Amount of Current Year's Rent - Lessor (N8) DOL: Enter the rental charges for the current year paid to the lessor.		
14	Amount of Current Year's Rent - Other (N8) DOL: Enter the amount of expenses for the current year paid by the respondent related to the lease.		
15	Remaining Annual Charges Under Leases (N9) DOL: Enter the total annual charges over the remaining life of the lease. Estimate if actual amounts are not known.		
16 (Key)	Account Charged (N3.2): Enter the account(s) charged with the expenses for the year. (ACCTNL)		
17	Amount Charged (N8) DOL: Enter the amount charged related to the account listed in data field 16 above.		
18	Department (A3): This data field should be completed by respondents with more than one utility department. Enter one of the department abbreviations from the following list: (FYUTIL)  ELC = Electric GAS = Natural Gas OTH = Other COM = Common		

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM EXPLORATION AND DEVELOPMENT EXPENSES	1 of 2
	DETAILED INSTRUCTIONS: SCHEDULE 0172	

I. DESCRIPTION

This schedule is used to collect detailed information (by field, county and state) on exploration and development expenses included in Accounts 795.00, 796.00, 797.00 and 798.00, for the year.

A. This schedule shall be submitted by Class A and Class B Natural Gas respondents.

B. Respondents shall complete all data fields on this schedule annually.

C. This schedule covers expenses included in the following accounts:

Uniform System of Accounts	Description
795.00	Delay Rentals
796.00	Nonproductive Well Drilling
797.00	Abandoned Leases
798.00	Other Exploration Costs

III. DETAILED INSTRUCTIONS

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Data Field Number	Instructions
1 (Key)	Field Code (A6): Enter the code, from the Register of Data Standards, <u>IDGPFLL</u> .
2 (Key)	County Code (N3): Enter the code, from the Register of Data Standards, <u>IDCNTY</u> .
3 (Key)	State Abbreviation (A2): Enter the abbreviation, from the Register of Data Standards, <u>IDSTAT</u> .
4	Delay Rental Costs (N8) DOL: Enter the delay rental cost during the year charged to Account 795.00 and related to the field listed in data field 1 above.
5	Number of Nonproductive Wells (N3) NO: Enter the number of nonproductive wells for the year associated with the expenses charged to Account 796.00.
6	Cost of Nonproductive Wells (N8) DOL: Enter the amount of nonproductive well cost during the year charged to Account 796.00 and related to the field in data field 1 above.

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM EXPLORATION AND DEVELOPMENT EXPENSES	2 of 2
	DETAILED INSTRUCTIONS: SCHEDULE 0172	

Instructions

7 Abandoned Lease Costs (N8) DOL: Enter the provision for the year charged to Account 797.00 to cover the probable loss on abandonment of natural gas.

8 Other Exploration Costs (N7) DOL: Enter the amount of other exploration costs during the year charged to Account 798.00 and related to the field listed in data field 1 above.

9 Total Exploration and Development Cost (N9) DOL: Enter the total exploration and development costs by field and county, included in Accounts 795.00 and 797.00.

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	1 of 2
	DETAILED INSTRUCTIONS: SCHEDULE 0173	REGULATORY COMMISSION EXPENSE

Data Field Number	Instructions
8	<p><u>Amount Deferred in Account 186.00 - Beginning of Year (N7) DOL.</u></p> <p><u>Amount Deferred in Account 186.00 - End of Year (N7) DOL.</u></p> <p><u>Amount Deferred to Account 186.00 During Year (N7) DOL.</u></p> <p><u>Account Charged (N3.2):</u> (ACCTWL)</p> <p>Department Charged (A3): This data field should be completed by respondents with more than one utility department. Enter one of the department abbreviations from the following list: (YUUTIL)</p> <p style="margin-left: 40px;">                     ELC = Electric                      GAS = Natural Gas                      OTH = Other                      COM = Common                 </p> <p><u>Expense Charged to Account (N7) DOL:</u> Enter the expenses charged to the account specified in data field 11, above.</p>
9	
10	
11	
12	
13	

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	2 of 2
	DETAILED INSTRUCTIONS: SCHEDULE 0173	REGULATORY COMMISSION EXPENSE

Data Field Number	Instructions
8	<p><u>Amount Deferred in Account 186.00 - Beginning of Year (N7) DOL.</u></p> <p><u>Amount Deferred in Account 186.00 - End of Year (N7) DOL.</u></p> <p><u>Amount Deferred to Account 186.00 During Year (N7) DOL.</u></p> <p><u>Account Charged (N3.2):</u> (ACCTWL)</p> <p>Department Charged (A3): This data field should be completed by respondents with more than one utility department. Enter one of the department abbreviations from the following list: (YUUTIL)</p> <p style="margin-left: 40px;">                     ELC = Electric                      GAS = Natural Gas                      OTH = Other                      COM = Common                 </p> <p><u>Expense Charged to Account (N7) DOL:</u> Enter the expenses charged to the account specified in data field 11, above.</p>
9	
10	
11	
12	
13	

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
	DETAILED INSTRUCTIONS: PARTICULARS CONCERNING CERTAIN OTHER INCOME ACCOUNTS SCHEDULE 0174	PARTICULARS CONCERNING CERTAIN OTHER INCOME ACCOUNTS

<p><b>I. DESCRIPTION</b></p> <p>This schedule is used to collect detailed information supporting the accounting entries to certain other income and expense accounts of the Uniform System of Accounts.</p> <p><b>II. GENERAL INFORMATION</b></p> <p>A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents.</p> <p>B. Respondents shall complete all data fields on this schedule annually.</p> <p>C. The following accounts are to be reported on this schedule:</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Uniform System of Accounts</th> <th style="text-align: left;">Description</th> </tr> </thead> <tbody> <tr> <td>415.00</td> <td>Revenues from merchandising, jobbing and contract work</td> </tr> <tr> <td>416.00</td> <td>Cost and expenses of merchandising, jobbing and contract work</td> </tr> <tr> <td>417.00</td> <td>Revenues from nonutility operations</td> </tr> <tr> <td>417.10</td> <td>Expenses of nonutility operations</td> </tr> <tr> <td>418.00</td> <td>Nonoperating rental income</td> </tr> </tbody> </table> <p>D. Minor nonutility and nonoperating revenues under \$25,000 may be grouped. For grouped items, enter the word "Other" in data field 2, i.e., Other (12). Report data for the grouped items in data fields 3-7.</p> <p style="text-align: center;"><b>III. DETAILED INSTRUCTIONS</b></p> <p>The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Data Field Number</th> <th style="text-align: left;">Instructions</th> </tr> </thead> <tbody> <tr> <td>1 (Key)</td> <td>Account Number (N3.2): (ACCTML)</td> </tr> <tr> <td>2 (Key)</td> <td>Description (A50): For Accounts 415.00 and 416.00, list the type activity, i.e., merchandising appliances, jobbing and contract work, and provide a general description of the activity. For Accounts 417.00 and 417.10, describe each major non-utility operation. For respondents with more than one department, state the department associated with the activity. For Account 418.00, give a brief description of each major item miscellaneous property included in Account 121.00, Nonutility Property, which is not used in operations for which income is included in Account 417.00, but which is leased or rented to others. (IDDESC)</td> </tr> <tr> <td>3</td> <td>Revenues (N9) DOL.</td> </tr> <tr> <td>4</td> <td>Expenses - Operation (N9) DOL.</td> </tr> </tbody> </table>	Uniform System of Accounts	Description	415.00	Revenues from merchandising, jobbing and contract work	416.00	Cost and expenses of merchandising, jobbing and contract work	417.00	Revenues from nonutility operations	417.10	Expenses of nonutility operations	418.00	Nonoperating rental income	Data Field Number	Instructions	1 (Key)	Account Number (N3.2): (ACCTML)	2 (Key)	Description (A50): For Accounts 415.00 and 416.00, list the type activity, i.e., merchandising appliances, jobbing and contract work, and provide a general description of the activity. For Accounts 417.00 and 417.10, describe each major non-utility operation. For respondents with more than one department, state the department associated with the activity. For Account 418.00, give a brief description of each major item miscellaneous property included in Account 121.00, Nonutility Property, which is not used in operations for which income is included in Account 417.00, but which is leased or rented to others. (IDDESC)	3	Revenues (N9) DOL.	4	Expenses - Operation (N9) DOL.	<p style="text-align: center;"><b>Instructions</b></p> <p><b>Expenses - Maintenance (N9) DOL.</b></p> <p><b>Expenses - Depreciation, Depletion and Amortization (N9) DOL.</b></p> <p><b>Expenses - Other (N9) DOL.</b></p> <p>Particulars (A60): For each item of property listed in data field 2 above, for Account 418.00, state the name of lessee, the effective date and expiration date of lease. (IDDESC)</p>
Uniform System of Accounts	Description																						
415.00	Revenues from merchandising, jobbing and contract work																						
416.00	Cost and expenses of merchandising, jobbing and contract work																						
417.00	Revenues from nonutility operations																						
417.10	Expenses of nonutility operations																						
418.00	Nonoperating rental income																						
Data Field Number	Instructions																						
1 (Key)	Account Number (N3.2): (ACCTML)																						
2 (Key)	Description (A50): For Accounts 415.00 and 416.00, list the type activity, i.e., merchandising appliances, jobbing and contract work, and provide a general description of the activity. For Accounts 417.00 and 417.10, describe each major non-utility operation. For respondents with more than one department, state the department associated with the activity. For Account 418.00, give a brief description of each major item miscellaneous property included in Account 121.00, Nonutility Property, which is not used in operations for which income is included in Account 417.00, but which is leased or rented to others. (IDDESC)																						
3	Revenues (N9) DOL.																						
4	Expenses - Operation (N9) DOL.																						

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	1 of 1
	DETAILED INSTRUCTIONS: EXCHANGE GAS ACCOUNTING - PART I SCHEDULE 0175	1 of 1

**I. DESCRIPTION**

This schedule is used to collect details of revenues and charges included in Account 806.00, Exchange Gas.

**II. GENERAL INFORMATION**

A. This schedule shall be submitted by Class A and Class B Natural Gas respondents.

B. Respondents shall complete all data fields on this schedule annually.

C. Report in this schedule information concerning each natural gas exchange transaction made during the year where consideration was received or paid in performance of the gas exchange service.

D. Details on accounting adjustments related to these transactions should be reported on Schedule 0176, Exchange Gas Accounting - Part II.

**III. DETAILED INSTRUCTIONS**

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Data Field Number	Instructions
1 (Key)	Name of Company (A35). (IDNAME)
2 (Key)	Basis for Revenue or Charge (A45): Enter basis for determining the revenue or charge. If Federal Power Commission Rate Schedule, enter rate schedule number. (IDDESC)
3	Total Charges Paid or Payable by Respondent for Exchange Gas (N9) DOL.
4	Account Number (N3.2): Enter the account number used to record the amount listed in data field 3 above. (ACCTML)
5	Revenue Received or Receivable by Respondent for Exchange Gas (N9) DOL.
6	Account Number (N3.2): Enter the account number used to record the amount listed in data field 5 above. (ACCTML)

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	1 of 1
	DETAILED INSTRUCTIONS: EXCHANGE GAS ACCOUNTING - PART II SCHEDULE 0176	1 of 1

**I. DESCRIPTION**

This schedule is used to collect details of accounting adjustments related to exchange gas transactions included in Account 806.00, Exchange Gas.

**II. GENERAL INFORMATION**

A. This schedule shall be submitted by Class A and Class B Natural Gas respondents.

B. Respondents shall complete all data fields on this schedule annually.

C. Report in this schedule information concerning each natural gas exchange transaction where consideration was received or paid in performance of the gas exchange service.

D. Details on revenues and charges related to gas exchange transactions included in Account 806.00, should be reported on Schedule 0175.

**III. DETAILED INSTRUCTIONS**

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Data Field Number	Instructions
1 (Key)	Name of Company (A35): (IDNAME)
2 (Key)	Basis for Revenue or Charge (A45): Enter basis for determining the charge or credit to Account 806.00. If Federal Power Commission Rate Schedule, state rate schedule number. (IDDESC)
3	Amount Debited - Account 174.00 (N8) DOL.
4	Amount Credited - Account 174.00 (N8) DOL.
5	Amount Credited - Account 242.00 (N8) DOL.
6	Amount Credited - Account 806.00 (N8) DOL.
7	Amount Debited - Account 242.00 (N8) DOL.
8	Amount Debited - Account 806.00 (N8) DOL.
9	Net Quantity Received During Year (N9.3) MMCF: Enter the net quantity received, in MMCF rounded to three decimal places.
10	Net Quantity Delivered During Year (N9.3) MMCF: Enter the net quantity delivered, in MMCF rounded to three decimal places.
11	Other Information (A60): Furnish any additional explanations as may be considered necessary with respect to the accounting for the exchange gas transaction. (IDDESC)

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
DETAILED INSTRUCTIONS: SCHEDULE 0177	RESEARCH AND DEVELOPMENT ACTIVITIES
	1 of 3

I. DESCRIPTION

This schedule is used to collect detailed information related to the accounting for technological research and development (R&D) projects initiated, continued or concluded during the year.

A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents.

B. Respondents shall complete all data fields on this schedule annually.

C. The following accounts from the Supplemental System of Accounts shall be reported on this schedule:

Research and Development Performed Internally - Electric

Supplemental Accounts	Description
630.00	Hydroelectric generation - recreation, fish and wildlife
631.00	Hydroelectric generation - other
632.00	Fossil-fuel steam generation
633.00	Internal combustion or gas turbine generation
634.00	Nuclear generation
635.00	Unconventional generation
636.00	Sitting and heat rejection generation
637.00	System planning, engineering and operation
638.00	Overhead transmission
639.00	Underground transmission
640.00	Distribution
641.00	Environment (other than equipment)
642.00	Other

Research and Development Performed Externally - Electric

Supplemental Accounts	Description
643.00	Research support to the Electric Research Council
644.00	Research support to the Electric Power Research Institute
645.00	Research support to Edison Electric Institute
646.00	Research support to nuclear power groups
647.00	Research support to others
648.00	Total electric research and development costs

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
DETAILED INSTRUCTIONS: SCHEDULE 0177	RESEARCH AND DEVELOPMENT ACTIVITIES
	2 of 3

Research and Development Performed Internally - Gas

Supplemental Accounts	Description
630.10	Pipeline design
630.20	Pipeline efficiency
631.10	Compressor station design
631.20	Compressor station efficiency
632.00	System planning, engineering and operation
633.00	Transmission control and dispatching
634.00	LNG storage and transportation
635.00	Underground storage
636.00	Other storage
637.00	New appliances and new uses
638.00	Gas exploration, drilling, production and recovery
639.00	Coal gasification
640.00	Synthetic gas
641.00	Environmental research
642.00	Other

Research and Development Performed Externally - Gas

Supplemental Accounts	Description
644.00	Research support to American Gas Association
645.00	Research support to others
648.00	Total gas research and development costs

D. For any research and development work carried on by the respondent in which there is a sharing of costs with others, list in data fields 1-6 only, the respondent's cost for the year.

E. Each logical entry has two parts. In data fields 1-4, report information on each research and development activity. In data fields 5-6, show each account number charged with expenses for the year with the associated amount. Example:

Account Number - 506.00 Amount - 350000

F. For Account 642.00 (Other), report separately only research and development projects over \$5,000.

G. Unamortized Research and Development Costs - End of Year (data field 4) should show the balance in Account 188.00, Research and Development Expenditures, for each particular category at the end of the year.

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
	DETAILED INSTRUCTIONS: SCHEDULE 0178	NATURAL GAS PRODUCTION AND GATHERING STATISTICS

I. DESCRIPTION

This schedule is used to collect data on plant investment and operating expenses of natural gas production and gathering plant. The total quantities of gas produced are also reported herein.

II. GENERAL INFORMATION

A. This schedule shall be submitted by Class A and Class B Natural Gas respondents.

B. Respondents shall complete all data fields on this schedule annually.

C. The term "old gas" referred to in data fields 3 and 6 pertains to natural gas leases acquired on or before October 7, 1969. The term "new gas" referred to in data fields 4 and 7 pertains to natural gas leases acquired on or after October 8, 1969, as set out in Orders 440 and 440-A.

D. Natural gas production and gathering statistics should be listed (in data fields 2 through 5) by the following plant and expense accounts.

Uniform System of Accounts	Supplemental Accounts	Description
325.10		Producing lands
325.20		Producing leaseholds
325.30		Gas rights
325.40		Rights-of-way
325.50		Other land and land rights
326.00		Gas well structures
327.00		Field compressor station structures
328.00		Field measuring and regulating station structures
329.00		Other structures
330.00		Producing gas wells - well construction
331.00		Producing gas wells - well equipment
332.00		Field lines
333.00		Field compressor station equipment
334.00		Field measuring and regulating station equipment
335.00		Drilling and cleaning equipment
336.00		Purification equipment
337.00		Other equipment
338.00		Unsuccessful exploration and development cost
	339.90	Total Natural Gas Production and Gathering Plant
	403.20	Depreciation expense - production and gathering plant
404.10		Amortization and depletion of producing natural gas land and land rights
750.00		Operation, supervision and engineering
751.00		Production maps and records
752.00		Gas wells expenses
753.00		Field lines expenses
754.00		Field compressor station expenses
755.00		Field compressor station fuel and power

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
	DETAILED INSTRUCTIONS: SCHEDULE 0177	RESEARCH AND DEVELOPMENT ACTIVITIES

III. DETAILED INSTRUCTIONS

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Data Field Number

Instructions

1 (Key) Classification of Research and Development Activity (N3.2): Enter the Supplemental Account number listed under General Information II-C, which identifies the activity being described. (ACCTML)

2 Costs - Current Year (N8) DOL: Enter the cost incurred during the year, related to the project listed in data field 3 below.

3 (Key) Description of Research and Development Activity (A130): Provide a concise description explaining the nature of a project classified as research and development. For research and development projects sponsored jointly with others, list the names of the other participating companies in this data field. (INDEX)

4 Unamortized Research and Development Costs - End of Year (N8) DOL: Enter the accumulated unamortized balance at the end of year for research and development activities described in data field 3 above.

5 (Key) Costs Charged Off, Account Number (N3.2): Enter the account number used to record project costs charged off during the current year. (ACCTML)

6 Cost Charged Off, Amount (N8) DOL: Enter the amount of charges related to the account listed in data field 5 above.

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<b>RAS</b> FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	
DETAILED INSTRUCTIONS: SCHEDULE 0178	NATURAL GAS PRODUCTION AND GATHERING STATISTICS
2 of 3	

Uniform System of Accounts	Supplemental Accounts	Description
756.00		Field measuring and regulating station expenses
757.00		Purification expenses
758.00		Gas well royalties
759.00		Other expenses
760.00		Rents
761.00		Maintenance supervision and engineering
762.00		Maintenance of structures and improvements
763.00		Maintenance of producing gas wells
764.00		Maintenance of field lines
765.00		Maintenance of field compressor station equipment
766.00		Maintenance of field measuring and regulating station equipment
767.00		Maintenance of purification equipment
768.00		Maintenance of drilling and cleaning equipment
769.00	769.50	Maintenance of other equipment
		Taxes (other than income) Natural Gas production and gathering expense
	769.90	Total Natural Gas Production and Gathering - Operation and maintenance

E. This is a two part schedule. Data fields 3, 4 and 5 apply to natural gas production data and appear once. Natural gas production quantity data has been assigned the Supplemental Account 079.00, presented in data field 2. Data fields 6, 7 and 8 apply to plant and expense data and should be completed according to General Information, II-D above.

F. Each production area will require that a separate page of the schedule be completed. Totals for all production areas will be submitted by entering "total" in data field 1 and completing the remainder of the data fields (2-8) with data which represents the totals for all production areas.

III. DETAILED INSTRUCTIONS

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Data Field Number	Instructions
1 (Key)	Production Area (A20): Enter the name of the production area. For additional information, refer to General Information, II-F. (IDDESC)
2 (Key)	Account Number (N3.2): Enter each plant or expense account mentioned in General Information, II-D. (ACCTML)
3	Old Gas Production Quantity (N9.3) MMCF: Enter the total old gas produced from the production area noted in data field 1 above, in MMCF rounded to three decimal places.

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<b>RAS</b> FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	
DETAILED INSTRUCTIONS: SCHEDULE 0178	NATURAL GAS PRODUCTION AND GATHERING STATISTICS
3 of 3	

Data Field Number	Instructions
4	New Gas Production Quantity (N9.3) MMCF: Enter the total new gas produced from the production area noted in data field 1 above, in MMCF rounded to three decimal places.
5	Total Natural Gas Lease Production Quantity (N9.3) MMCF: Enter the total gas production from the production area listed in data field 1 above, in MMCF rounded to three decimal places.
6	Natural Gas Lease Production Plant and Expenses - Old Gas (N9) DOI: Enter the amount included in the account shown in data field 2 above associated with old gas.
7	Natural Gas Lease Production Plant and Expenses - New Gas (N9) DOI: Enter the amount included in the account shown in data field 2 above associated with new gas.
8	Gathering System Plant and Expenses (N9) DOI: Enter the amount included in the account shown in data field 2 above, associated with gathering system.

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FEDERAL POWER COMMISSION  
REGULATORY INFORMATION SYSTEM

DETAILED INSTRUCTIONS:  
SCHEDULE 0179

FRANCHISE REQUIREMENTS (ACCOUNT 927.00)

2 of 2

Data Field Number

Instructions

- 8 Amount Furnished to Municipal (M8) DOL: Enter the total of franchise requirements from data fields 3, 6, and 7 above.
- 9 Particulars of Items Furnished Without Charges (A50): State particulars for items listed in data field 7 above. (IDDESC)

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FEDERAL POWER COMMISSION  
REGULATORY INFORMATION SYSTEM

DETAILED INSTRUCTIONS:  
SCHEDULE 0179

FRANCHISE REQUIREMENTS (ACCOUNT 927.00)

1 of 2

I. DESCRIPTION

This schedule is used to collect data on expenses of franchise requirements included in Account 927.00, Franchise Requirements.

II. GENERAL INFORMATION

- A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents.
- B. Respondents shall complete all data fields on this schedule annually.

C. Natural Gas respondents with more than one utility department, furnish data for gas department only; electric respondents furnish data for the electric department only.

D. Report below, payments made to municipal or other governmental authorities, and the cost of electricity or gas, materials, supplies, and other items furnished such authorities during the year without reimbursement in compliance with franchise, ordinance, or similar requirements. Electric respondents must separately disclose any payments in the amount of \$25,000 or more. Natural Gas respondents must separately disclose any payments in the amount of \$10,000 or more. Payments less than the amounts specified may be grouped by listing the word "other" in data field 1 and completing data fields 3-6 and 8.

III. DETAILED INSTRUCTIONS

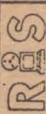
The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Instructions

Data Field Number

- 1 (Key) Name of Municipal Authority (A35): (IDNAME)
- 2 Date of Franchise (N6): Enter the date, in the format MMDDYY. (THDATE)
- 3 Cash Outlays (N7) DOL.
- 4 Gas Supplied Without Charge (N9.3) MMCF: Enter the gas supplied, in MMCF rounded to three decimal places at a uniform pressure base of 14.73 PSIA at 60° Fahrenheit.
- 5 Energy Supplied Without Charge (N5.3) MWH: Electric
- 6 Dollar Amount of Energy Supplied Without Charge (N7) DOL: Enter the dollar amount of energy (gas or electric) supplied without charge related to data fields 4 or 5 above.
- 7 Other Items Furnished Without Charge (N7) DOL: Enter the dollar amount of other items furnished in connection with the franchise.

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	1 of 2
	DETAILED INSTRUCTIONS: SCHEDULE 0180	GAS USED IN UTILITY OPERATIONS - CREDIT

**I. DESCRIPTION**

This schedule is used to collect data in details for entries to Accounts 810.00, 811.00 and 812.00, during the year.

**II. GENERAL INFORMATION**

A. This schedule shall be submitted by Class A and Class B Natural Gas respondents.

B. Respondents shall complete all data fields on this schedule annually.

C. The following accounts are included on this schedule:

Uniform System of Accounts	Description
810.00	Gas Used for Compressor Station Fuel - Credit
811.00	Gas Used for Products Extraction - Credit
812.00	Gas Used for Other Utility Operations - Credit

D. Natural gas means either natural gas unmixed, or any measure of natural and manufactured gas.

E. Report gas quantity in MMCF and 14.73 PSIA at 60° Fahrenheit, rounded to three decimal places. If quantity is an estimated value, state such fact in a footnote.

F. If any gas was used by the respondent for which charge was not made to the appropriate operating expense or other account, list separately in data field 3 and 10 the MMCF of gas so used, omitting entries in data fields 4 and 11.

**III. DETAILED INFORMATION**

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Data Field Number	Instructions
1 (Key)	Account Number (N1.2). (ACCTML)
2	Purpose for Which Gas Was Used (A45): Descriptions are not required for Account 810.00. For Account 811.00, list separately the gas shrinkage and other usage from (a) the respondent's own processing or (b) respondent's gas processed by others. For Account 812.00, report separately each significant use of gas during the year. Group minor uses under the description "Other". (IDDESC)
3	Quantity of Natural Gas Used (N9.3) MMCF.
4	Amount of Credit of Natural Gas Used (N9) DOL.

	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	2 of 2
	DETAILED INSTRUCTIONS: SCHEDULE 0180	GAS USED IN UTILITY OPERATIONS - CREDIT

**Data Field Number**

5-8

**Instructions**

Contra Account Charged (N3.2): Enter the contra account charged for the gas use listed in data field 2 above. (ACCTML)

9

Natural Gas Cents Per MCF (N3.2) CTS/MCF: Enter cost per MCF of gas used in utility operation to nearest hundredth cent.

10

Quantity of Manufactured Gas Used (N9.3) MMCF.

11

Amount of Credit of Manufactured Gas Used (N9) DOL.

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**RAS** FEDERAL POWER COMMISSION  
REGULATORY INFORMATION SYSTEM

DETAILED INSTRUCTIONS:  
SCHEDULE 0181

GAS ACCOUNT - NATURAL GAS

1 of 2

**I. DESCRIPTION**

This schedule is used to collect data on total quantities of natural gas received and delivered during the year.

**II. GENERAL INFORMATION**

A. This schedule shall be submitted by Class A, Class B, Class C and Class D Natural Gas Pipeline respondents.

B. Respondents shall complete all data fields on this schedule annually.

C. Information on natural gas quantities received and delivered shall be reported by utilizing to the extent possible the appropriate Uniform System of Account numbers which were used to record the related cost. In addition, Supplemental Account numbers have been developed to handle instances where no Uniform System of Account numbers formerly existed. The following accounts shall be reported:

Uniform System of Accounts	Supplemental Accounts	Description	Receipts Deliveries (Data Field 2) (Data Field 3)	
800.00	478.00	Natural gas produced	X	
801.00	479.00	LPG & manufactured gas produced	X	
802.00		Natural gas well head purchases	X	
		Natural gas field line purchases	X	
		Natural gas gasoline plant outlet purchases	X	
803.00		Natural gas transmission line purchases	X	
804.00		Natural gas city gate purchases	X	
805.00		Other gas purchases	X	
806.00		Exchange gas	X	X
808.00		Gas withdrawn from storage - Debit	X	X
809.00		Gas delivered to storage - Credit	X	X
810.00		Gas used for compression station fuel - Credit	X	X
811.00		Gas used for products extraction - Credit	X	X
812.00		Gas used for other utility operation - Credit	X	X
858.00		Transmission and compression of gas by others	X	X
927.00		Franchise requirements	X	X
480.00	481.10	Residential sales	X	X
		Commercial and industrial sales - small or commercial	X	X
	481.20	Commercial and industrial sales - large or industrial	X	X
482.00		Other sales to public authority	X	X
483.00		Sales for resale	X	X
484.00		Interdepartmental sales	X	X

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**RAS** FEDERAL POWER COMMISSION  
REGULATORY INFORMATION SYSTEM

DETAILED INSTRUCTIONS:  
SCHEDULE 0181

GAS ACCOUNT - NATURAL GAS

2 of 2

Uniform System of Accounts	Supplemental Accounts	Description	Receipts Deliveries (Data Field 2) (Data Field 3)	
489.00		Revenues from transportation of gas of others	X	X
940.00		Unaccounted for gas - total	X	X
941.00		Unaccounted for gas - production system	X	X
942.00		Unaccounted for gas - storage system	X	X
943.00		Unaccounted for gas - transmission system	X	X
944.00		Unaccounted for gas - distribution system	X	X
945.00		Unaccounted for gas - other	X	X
946.00		Other receipts/deliveries	X	X
947.00		Total Gas Quantity	X	X

D. Class A and Class B respondents shall report utilizing all of the above accounts. Class C and Class D respondents shall report only Accounts 478.00, 946.00, and 940.00 through 945.00.

E. Report gas quantity in MMCF rounded to three decimal places, at a uniform pressure base of 14.73 PSIA at 60° Fahrenheit. If the reported gas quantity is an estimated value, state such fact in a footnote.

**III. DETAILED INSTRUCTIONS**

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Data Field Number	Instructions
1 (Key)	Account Number (N3.2): Enter the account number, from the Register of Data Standards, ACCTML, and General Information, II-C above.
2 (Key)	Quantity Received (N9.3) MMCF: Enter the quantity of gas received, in MMCF rounded to three decimal places.
3 (Key)	Quantity Delivered (N9.3) MMCF: Enter the quantity of gas delivered, in MMCF rounded to three decimal places.

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
DETAILED INSTRUCTIONS: SCHEDULE 0182	NATURAL GAS PIPELINE COMPANY MONTHLY STATEMENT - REVENUES AND EXPENSES - PART I
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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
DETAILED INSTRUCTIONS: SCHEDULE 0182	NATURAL GAS PIPELINE COMPANY MONTHLY STATEMENT - REVENUES AND EXPENSES - PART I
1 of 3	

Uniform System of Accounts	Supplemental Accounts	Description
421.00		Miscellaneous nonoperating income
421.10		Gain on disposition of property
421.20		Loss on disposition of property
425.00		Miscellaneous amortization
408.20	426.70	Miscellaneous income deductions Taxes other than income taxes, other income and deductions
409.20		Income taxes, other income and deductions
410.20		Provisions for deferred income taxes, other income and deductions
411.20		Provisions for deferred income taxes - credit other income and deductions
411.50		Investment tax credit adjustments, nonutility operations
420.00		Investment tax credits
427.00	426.90	Net Other Income and Deductions
428.00		Interest on long-term debt
428.10		Amortization of debt discount and expense
429.00		Amortization of loss on reacquired debt
429.10		Amortization of premium on debt - credit
430.00		Interest on debt to associated companies
431.00		Other interest expense
	431.90	Total Interest Charges
	432.90	Income Before Extraordinary Items
434.00		Extraordinary income
435.00		Extraordinary deductions
409.30		Income taxes, extraordinary items
433.00		Balance transferred from income

D. Salaries and wages charged to gas operation expense (Account 401.10) and maintenance expense (Account 402.10) should include only amounts charged to gas operation and maintenance expense accounts, including O&M clearing accounts. Total company salaries and wages should not be reported. Gas operations and maintenance salaries and wages may be estimated if actual amounts are not available.

E. Account Definitions:

401.00 Operation Expense - Equals the sum of Accounts 401.10 and 401.20  
 407.90 Amortization Expense - Sum of Accounts 404.10, 404.20, 404.30, 405.00, 406.00, 407.10 and 407.20  
 426.70 Miscellaneous Income Deductions - Sum of Accounts 426.10 through 426.50

F. Notes to financial data should be reported on Schedule 0215.

I. DESCRIPTION

This schedule is used to collect selected monthly revenue and expense data.

II. GENERAL INFORMATION

A. This schedule shall be submitted by "major" Natural Gas respondents. Refer to General Instructions for Corporate, Economic and Other Financial Schedules.

B. Respondents shall complete all data fields on this schedule monthly.

C. Report the information in this schedule by the Uniform System of Accounts and Supplemental Account numbers shown below:

Uniform System of Accounts	Supplemental Accounts	Description
REVENUES AND EXPENSES		
400.00		Operating revenues
412.00		Revenues from gas plant leased to others
401.00		Operation expense - total
401.10		Operation expense - salaries and wages
401.20		Operation expense - other
402.00		Maintenance expense - total
402.10		Maintenance expense - salaries and wages
402.20		Maintenance expense - other
403.00		Depreciation expense
407.90		Amortization and Depreciation Total
408.10		Taxes other than income taxes, utility operating income
409.10		Income taxes, utility operating income - total
409.18		Income taxes, utility operating income - Federal
409.19		Income taxes, utility operating income - other
410.10		Provisions for deferred income taxes, utility operating income
411.10		Provisions for deferred income taxes, credit, utility operating income
411.40		Investment tax credit adjustments, utility operations
411.60		Gains from disposition of utility plant
411.70		Losses from disposition of utility plant
413.00		Expenses of plant leased to others
413.80		Total Gas Operating Expenses
413.90		Net Gas Operating Income
414.00		Other utility operating income
414.90		Net Utility Operating Income - Total
417.90		Nonutility Operating Income
418.10		Equity in earnings of subsidiary companies
419.00		Interest and dividend income
419.10		Allowance for funds used during construction

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	3 of 3
	DETAILED INSTRUCTIONS: NATURAL GAS PIPELINE COMPANY MONTHLY STATEMENT - REVENUES AND EXPENSES - PART I SCHEDULE 0182	

C. Accounts 401.00 through 411.90, pertain only to gas department operations. If a respondent has more than one utility department, include the net of revenues and expenses applicable to the other departments in Account 414.00.

**III. DETAILED INSTRUCTIONS**

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Data Field Number	Instructions
1 (Key)	Month-Year (N4): Enter the month and year, in the format MMYT. (TMMAYR)
2 (Key)	Account Number (N3.2): This is a preprinted data field. See General Information, II-C. (ACCTPL)
3	Amount (N9) DOLL.

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	1 of 2
	DETAILED INSTRUCTIONS: NATURAL GAS PIPELINE COMPANY MONTHLY STATEMENT - REVENUES AND EXPENSES - PART II SCHEDULE 0183	

This schedule is used to collect selected monthly income and revenue data.

**II. GENERAL INFORMATION**

A. This schedule shall be submitted by "major" Natural Gas respondents. Refer to General Instructions for Corporate, Economic and Other Financial Schedules.

B. Respondents shall complete all data fields on this schedule monthly.

C. Report the information in this schedule by the Uniform System of Accounts and Supplemental Account numbers shown below:

Uniform System of Accounts	Supplemental Accounts	Description
480.00	481.01	Residential sales
	481.02	Sales of natural gas - firm industrial
	481.03	Sales of natural gas - off-peak industrial
	481.04	Sales of natural gas - interruptible industrial
	481.05	Sales of natural gas - total industrial
		Sales of natural gas - total Commercial
482.00	483.01	Other sales to public authorities
	483.02	Sales for resale - jurisdictional gas pipelines - other
	483.03	Sales for resale - nonjurisdictional gas utilities - sales made under FPC rate schedule
	483.04	Sales for resale - nonjurisdictional gas utilities - sales not made under FPC rate schedule
	483.05	Total Sales for Resale
484.00		Intrdepartmental sales
	485.90	Total Sales of Natural Gas
489.00		Revenues from transportation of gas of others
490.00		Sales of products extracted from natural gas
	495.80	Other gas operating revenues
	495.90	Total Other Operating Revenues
	496.90	Manufactured gas operating revenues

D. Definitions of firm, off-peak and interruptible industrials are included in General Instructions for Corporate, Economic and Other Financial Schedules.

E. Account 495.80, Other Gas Operating Revenues, includes Accounts 487.00, 488.00 and 491.00 through 495.00. Account 495.90, Total Other Operating Revenues, includes Accounts 489.00, 490.00 and 495.80.

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
	DETAILED INSTRUCTIONS: NATURAL GAS PIPELINE COMPANY MONTHLY STATEMENT - REVENUES AND EXPENSES - PART II SCHEDULE 0183	2 of 2

**III. DETAILED INSTRUCTIONS**

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Data Field Number	Instructions
1 (Key)	Month-Year (N4): Enter the month and year, in the format MMYY. (TWO-DIGIT)
2 (Key)	Account Number (N3.2): This is a preprinted data field. See General Information, II-C. (ACCOUNT)
3	Amount (N9) DOL.
4	Quantity of Gas (N9.3) MCF: Enter the quantity of gas associated with account number listed in data field 2 above, in MCF rounded to three decimal places.
5	Number of Customers at End of Month (N7) NO: Report the number of customers at end of month for Accounts 480.00 through 484.00
6	Quantity - Products Extracted (N9) GAL: State the quantity of products extracted, the revenue for which are included in Account 490.00.

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
	DETAILED INSTRUCTIONS: NATURAL GAS PIPELINE COMPANY MONTHLY STATEMENT - OPERATING AND MAINTENANCE EXPENSES SCHEDULE 0184	1 of 2

**I. DESCRIPTION**

This schedule is used to collect monthly data on selected operation and maintenance expenses and related volumes.

**II. GENERAL INFORMATION**

A. This schedule shall be submitted by "major" Natural Gas respondents. Refer to General Instructions for Corporate, Economic and Other Financial Schedules.

B. Respondents shall complete all data fields on this schedule monthly.

C. Report the information in this schedule by the Uniform System of Accounts and Supplemental Account numbers shown below:

Uniform System of Accounts	Supplemental Accounts	Description
	749.00	Manufactured Gas Production:
	749.10	Liquefied petroleum gas
	749.90	Other manufactured gas
	769.90	Total Manufactured Gas Production Expenses
	793.90	Total natural gas production and gathering - operation and maintenance
	799.90	Total products extraction - operation and maintenance
		Total exploration and development expenses - operation
	805.20	Purchased Gas Expense
	805.30	Purchases from producers
	805.40	Import purchases
	805.50	Purchase from major pipelines
	805.60	Purchases from other than major pipelines
	805.90	Gas purchases - other
	798.90	Total Purchased Gas Expenses
	806.10	Natural gas produced (quantities only)
	806.20	Exchange gas - in
		Exchange gas - out
	807.00	Purchased gas expenses
	808.00	Gas withdrawn from storage - debit
	809.00	Gas delivered to storage - credit
	810.00	Gas used for compressor station fuel - credit
	811.00	Gas used for products extraction - credit
	812.00	Gas used for other utility operations - credit
	813.00	Other gas supply expenses
	813.90	Total Natural Gas Production Expenses - operation and maintenance
	849.90	Total Natural Gas Storage Expenses - operation and maintenance
	858.00	Transmission Expenses:
		Transmission and compression of gas by others
	869.80	Other transmission expenses
	869.90	Total Transmission Expenses - operation and maintenance

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**RAS**

FEDERAL POWER COMMISSION  
REGULATORY INFORMATION SYSTEM

DETAILED INSTRUCTIONS: NATURAL GAS PIPELINE COMPANY MONTHLY  
STATEMENT - OPERATING AND MAINTENANCE EXPENSES

2 of 2

Uniform System of Accounts	Supplemental Accounts	Description
	895.90	Total Distribution Expenses - operation and maintenance
	905.90	Total Customer Accounts Expenses - operations
	910.90	Total Customer Service and Informational Expenses - operations
	919.90	Total Sales Expenses - operations
	939.90	Total Administrative and General Expenses - operation and maintenance
	949.90	Total Operation and Maintenance Expenses Account

D. State manufactured gas at 1,035 BTU per cubic foot; or state utility natural gas average in data field 4.

E. Account Definitions:  
 Account 769.90 - Production and Gathering - Sum of Accounts 760.00 through 769.00.  
 Account 791.90 - Products Extraction - Sum of Accounts 770.00 through 791.00.  
 Account 799.90 - Exploration and Development - Sum of Accounts 795.00 through 798.00.  
 Account 805.90 - Total Purchased Gas Expense - Sum of Accounts 800.00 through 805.10.

III. DETAILED INSTRUCTIONS

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Data Field Number	Instructions
1 (Key)	Month-Year (M4): Enter the month and year, in the format MMYY. (TMMAYR)
2 (Key)	Account Number (N3.2): This is a preprinted data field. See General Information, II-C. (ACCTML)
3	Amount (N9) DOL.
4	Quantity of Gas (N9.3) MMCF: Enter the quantity of gas, in MMCF rounded to three decimal places.

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**RAS**

FEDERAL POWER COMMISSION  
REGULATORY INFORMATION SYSTEM

DETAILED INSTRUCTIONS: NATURAL GAS PIPELINE COMPANY MONTHLY  
STATEMENT - SUPPLEMENT GAS SUPPLIES AND SELECTED DATA

1 of 2

I. DESCRIPTION

This schedule is used to collect monthly data on supplemental gas supplies and selected data.

II. GENERAL INFORMATION

A. This schedule shall be submitted by "major" Natural Gas respondents. Refer to General Instructions for Corporate, Economic and Other Financial Schedules.

B. Respondents shall complete all data fields on this schedule monthly.

C. Report the information in this schedule by the Uniform System of Accounts and Supplemental Account numbers shown below:

Uniform System of Accounts	Supplemental Accounts	Description
	805.19	LNG: LNG purchases - total
	805.11	LNG purchases - from major pipelines
	805.12	LNG purchases - from others
	805.13	LNG purchases - imports
	805.14	LNG company production
	483.19	LNG sales - total
	483.11	LNG sales - to major pipelines
	483.12	LNG sales - to others
	483.13	LNG sales - used on system
		Gasified Coal:
	805.29	Gasified coal purchases - total
	805.21	Gasified coal purchases - from major pipelines
	805.22	Gasified coal purchases from others
	805.24	Gasified coal company production
	483.29	Gasified coal sales - total
	483.21	Gasified coal sales - to major pipelines
	483.22	Gasified coal sales - to others
	483.23	Gasified coal sales - used on system
		Synthetic Gas:
	805.39	Synthetic gas purchases - total
	805.31	Synthetic gas purchases - from major pipelines
	805.32	Synthetic gas purchases - from others
	805.34	Synthetic gas company production
	483.39	Synthetic gas sales - total
	483.31	Synthetic gas sales - to major pipelines
	483.32	Synthetic gas sales - to others
	483.33	Synthetic gas sales - used on system
		Selected Data:
	116.90	Gas utility plant in service (accounts 101.00 through 107.00, 114.00 and 116.00)
	115.80	Accumulated provision for depreciation, depletion, and amortization (accounts 108.00, 111.00 and 115.00)

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	1 of 2
	DETAILED INSTRUCTIONS: NATURAL GAS PIPELINE COMPANY MONTHLY STATEMENT - GAS REVENUES AND PURCHASES SCHEDULE 0186	

This schedule is used to collect selected monthly data on gas revenues and purchases.

**I. DESCRIPTION**

**II. GENERAL INFORMATION**

A. This schedule shall be submitted by "major" Natural Gas respondents. Refer to General Instructions for Corporate, Economic and Other Financial Schedules.

B. Respondents shall complete all data fields on this schedule monthly.

C. Report the information in this schedule by the Supplemental Account numbers shown below:

Uniform System of Accounts	Supplemental Accounts	Description
	896.10	Section A: Amount Included in Monthly Revenues and Expenses
	896.20	Ultimate consumers
	896.30	Gas Utilities Listed
	896.40	Monthly reporter
	896.50	Other pipelines
	896.60	Gas utilities not listed
	896.70	Producers
	896.90	Total
	897.10	Section B: Gas Revenues and Gas Purchases
	897.20	Cost Attributable to Rate Increases
	897.30	Amount beginning of month
	897.40	Increase during month
	897.50	Decrease during month Due To:
	897.60	Rates approved
	897.70	Rates disapproved
	897.80	Rate increase withdrawn
		Amount end of month
		Refunds paid during month
		Refunds received during month

**III. DETAILED INSTRUCTIONS**

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Data Field Number	Instructions
1 (Key)	Month-Year (N4): Enter the month and year, in the format MMY. (TMMAYR)
2 (Key)	Account Number (N3.2): This is a preprinted data field. See General Information, II-C. (ACCTML)

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	2 of 2
	DETAILED INSTRUCTIONS: NATURAL GAS PIPELINE COMPANY MONTHLY STATEMENT - SUPPLEMENT GAS SUPPLIES AND SELECTED DATA SCHEDULE 0185	

Uniform System of Accounts	Supplemental Accounts	Description
107.10		Gross additions to construction work in progress
163.80		Gas materials and supplies
238.10		Preferred stock dividends declared
238.20		Common stock dividends declared
164.10		Gas stored underground - current
164.20		Liquefied natural gas stored

**D. Account Definitions:**

Account 107.10 - Construction Work in Progress - Total expenditures (both direct and indirect) charged for the month to Account 107.00. Construction Work in Progress. Do not include credits for transfer of completed construction or abandoned projects.

Account 116.90 - Gas Utility Plant - Sum of Accounts 101.00 through 107.00 and 114.00 through 117.00.

Account 115.80 - Accumulated Provision for Depreciation and Amortization - Sum of Accounts 108.00, 111.00 and 115.00.

E. Report in Accounts 238.10 and 238.20 only the amounts for dividends actually declared during the month, exclusive of dividends paid.

**III. DETAILED INSTRUCTIONS**

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Data Field Number	Instructions
1 (Key)	Month-Year (N4): Enter the month and year, in the format MMY. (TMMAYR)
2 (Key)	Account Number (N3.2): This is a preprinted data field. See General Information, II-C. (ACCTML)
3	Amount (N9) DOL.
4	Quantity (N9.3) MMCF: Enter the quantity of gas, in MMCF rounded to three decimal places.

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	1 of 2
	DETAILED INSTRUCTIONS: NATURAL GAS PIPELINE COMPANY MONTHLY STATEMENT - GAS REVENUES AND PURCHASES	2 of 2

Data Field Number	Instructions
3	Gas Revenues From Sales To (N9) DOL: Exclude possible interest payments.
4	Gas Purchases From (N9) DOL: Exclude possible interest payments.
5	Revenues From Gas Sales To - Gas Utilities Not Listed (N9) DOL: Exclude possible interest payments. See General Information, II-A.
6	Revenues From Gas Sales To - Gas Utilities Listed (N9) DOL: Exclude possible interest payments.
7	Revenues From Gas Sales To - Ultimate Customer Served by Respondent (N9) DOL: Exclude possible interest payments.
8	Gas Purchases From Producers (N9) DOL: Exclude possible interest payments.
9	Gas Purchases From Gas Utilities Listed (N9) DOL: Exclude possible interest payments. See General Information, II-A.

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	1 of 2
	DETAILED INSTRUCTIONS: RECONCILIATION OF REPORTED NET INCOME WITH TAXABLE INCOME	1 of 2

I. DESCRIPTION	
This schedule is used to collect data on the reconciliation of reported book net income for the year with taxable income used in computing Federal income tax accruals.	
II. GENERAL INFORMATION	
A.	This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents.
B.	Respondents shall complete all data fields on this schedule annually.
C.	The first account to be reported shall be Account 433.00, Balance Transferred from Income.
D.	Additionally, the following Supplemental Accounts are to be reported on this schedule.
Uniform System of Accounts	Supplemental Accounts
	Description
003.10	Taxable income not reported on books
003.20	Deductions recorded on books not deducted for return
003.30	Income recorded on books not included in return
003.40	Deductions on return not charged against book income
003.50	Federal Tax Net Income
Every reconciling item must be reported according to one of the four categories listed above (Accounts 003.10 through 003.40).	
E.	The reconciliation provided on this schedule should, as far as practicable, contain the respondent's best estimates for items that will be furnished on Schedule M-1 of the tax return for the year.
F.	This schedule must be submitted even though the tax return has not yet been filed for the year. In instances where items or amounts reported on this schedule differ materially from that filed with the tax return, the respondent shall furnish revised information to the Commission. The schedule should be submitted even though there is no taxable income for the year.
G.	For Account 003.50, data field 2 may be omitted.
H.	Information on the computation of Federal income tax accruals should be reported on Schedule 0136.
III. DETAILED INSTRUCTIONS	
The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:	

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<b>RIS</b> FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM		2 of 2
DETAILED INSTRUCTIONS: RECONCILIATION OF REPORTED NET INCOME WITH TAXABLE INCOME SCHEDULE 0187		
Data Field Number	Instructions	
1 (Key)	Account Number (N3.2): (ACCTML)	
2 (Key)	Description (A60): State the nature of each reconciling item. (IDDESC)	
3	Amount for Year (N9) DOL.	

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<b>RIS</b> FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM		1 of 2
DETAILED INSTRUCTIONS: CHANGES IN ESTIMATED NATURAL GAS AND OTHER HYDROCARBON RESERVES SCHEDULE 0189		
I. DESCRIPTION		
This schedule is used to collect details on changes during the year in recoverable natural gas reserves and acreage acquired on or before October 7, 1969, and salable hydrocarbon reserves and acreage acquired by the respondent on or after October 8, 1969.		
II. GENERAL INFORMATION		
A. This schedule shall be submitted by Class A and Class B Natural Gas respondents.		
B. Respondents shall complete all specified data fields on this schedule annually.		
C. The reserves and changes to be reported in data field 4 are those associated with lands, leaseholds and gas rights included in Account 105.00, Gas Plant Held for Future Use (for old gas), for Account 105.10, Production Properties Held for Future Use (for new gas). (See Gas Plant Accounts, Instruction 7-G.)		
D. Data field 5 should be completed for New Gas only.		
E. Report gas quantities in MMCF rounded to three decimal places, at a uniform pressure base of 14.73 PSIA at 60° Fahrenheit. If the quantity is an estimated value state such fact in a footnote.		
F. In reporting new gas, report natural gas and other hydrocarbon reserves. In reporting old gas, report only natural gas. Deletions to estimated reserves (for sales, downward reserves, etc.) shall be indicated by a negative sign (-).		
G. For related data refer to Schedule 0158, "Net Realizable Value of Estimated Hydrocarbon Reserves".		
H. The reserves reported on this schedule shall be attested to every three years by an independent appraiser and the attestation filed with the Commission as an attachment to this schedule. If the estimated reserves, as determined by the independent appraisal, significantly differ from those reported in this schedule, furnish on Schedule 0158 full particulars, including the reason why reserve estimates have not been adjusted.		
I. If the respondent submitted estimates of natural gas reserves to the Commission during the year in connection with any proceedings, such as an application for certificate of convenience and necessity, state on Schedule 0112, "Notes to Financial Statements", the amount of reserve estimate, date of submission and docket number of case and explain the estimated amount of difference and the reasons for the difference from the estimates shown in this schedule.		
III. DETAILED INSTRUCTIONS		
The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:		

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	1 of 1
	DETAILED INSTRUCTIONS: SCHEDULE 0215 MONTHLY NOTES TO FINANCIAL DATA	

I. DESCRIPTION

This schedule is used to collect information deemed necessary for adequate disclosure to support the financial data presented to the Commission in the monthly filing, to include the following aspects:

A. Disclosure of accounting changes made during the month which had significant effect on the reported net income.

B. Disclosure of any important factor occurring during the month that may materially effect the comparability of the financial data.

II. GENERAL INFORMATION

A. This schedule shall be submitted by Class A and Class B Natural Gas and Electric respondents.

B. Respondents shall complete all data fields on this schedule monthly.

III. DETAILED INSTRUCTIONS

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:

Data Field Number	Instructions
1 (Key)	Type of Note (A60): (IDDESC)
2	Note Number (N1): Use successive numbers to designate more than one occurrence of the type of note listed in data field 1 above. If only one occurrence is needed for a particular type note, enter "1". (NRCADL)
3	Entry Continuation Number (N1): Use successive numbers to designate continuation entries of textual information for a particular note. If only one textual entry is needed to report the required disclosure, enter "1" in this data field. (NRCADL)
4	Text of Note (A249): (IDDESC)

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	2 of 2
	DETAILED INSTRUCTIONS: SCHEDULE 0189 CHANGES IN ESTIMATED NATURAL GAS AND OTHER HYDROCARBON RESERVES	

Instructions

Old/New Gas Indicator (N1): To report old gas, enter "1"; to report new gas, enter "2". (INFOR)

Estimated Reserves (A40): Use the following description to denote changes in reserves: (IDDESC)

Additions  
 Purchases and exchanges  
 Transfers of reserves  
 Revisions of estimates  
 Production during year  
 Sales and exchanges  
 Other changes  
 Estimated reserves at end of year

List each of the changes separately for each type of change and complete data fields 3-5 below.

Quantity of In Service Gas (N9.3) MCF: Enter the quantity of in service gas, in MCF rounded to three decimal places.

Quantity of Gas Held for Future Use (N9.3) MCF: Enter the quantity of gas held for future use, in MCF rounded to three decimal places.

Total Oil and Liquid Reserves (N10) BBL.

Data Field Number	Instructions
1 (Key)	
2 (Key)	
3	
4	
5	

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	2 of 11
	DETAILED INSTRUCTIONS: SCHEDULE 0216-0238	FINANCIAL STATEMENTS - PART II (NATURAL GAS CLASS A AND CLASS B)

1. Balance Sheet: Respondent shall report the applicable amount identified by the appropriate 100 and 200 series accounts of the Uniform System of Accounts and Supplemental Accounts. Respondents with more than one department report in this Supplemental the totals of utility plant by all departments, and on Schedule 0124, group 5. Report separate details for utility plant by each department.

Uniform System of Accounts	Supplemental Accounts	Description	Details on Related Schedules
ASSETS AND OTHER DEBITS			
UTILITY PLANT			
101.00		Plant in service	0124, 0144
102.00		Plant purchased or sold	0124, 0146
103.00		Experimental gas plant unclassified	0124, 0147
104.00		Plant leased to others	0124, 0148
105.00		Plant held for future use	0124, 0149
105.10		Production properties held for future use	0124, 0157, 0149
106.00		Completed construction not classified - gas	0124, 0145
107.00		Construction work in progress - gas	0124, 0150
108.00		Accumulated provision for depreciation of gas utility plant	0124, 0151
111.00		Accumulated provision for amortization and depletion of gas utility plant	0124, 0152
114.00		Gas plant acquisition adjustments	0124, 0162
115.00		Accumulated provision for amortization of gas plant acquisition adjustments	0162
116.00		Other gas plant adjustments	0124, 0162
117.00	120.90	Gas stored underground - noncurrent Net Utility Plant	0127
OTHER PROPERTY AND INVESTMENTS			
121.00		Nonutility property	0162
122.00		Accumulated provision for depreciation and amortization of nonutility property	0162
123.00		Investment in associated companies	0161
123.10		Investment in subsidiary companies	0125, 0161
124.00		Other investments	0142, 0161
125.00		Sinking funds	
126.00		Depreciation funds	

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	1 of 11
	DETAILED INSTRUCTIONS: SCHEDULE 0216-0238	FINANCIAL STATEMENTS - PART II (NATURAL GAS CLASS A AND CLASS B)

**I. DESCRIPTION**

These twenty-five schedules are used to collect the end of year balance or the amount for the year for certain accounts of the Uniform Systems of Accounts and certain other financial data that has been categorized as Supplemental Accounts for the presentation of the four primary Financial Statements. (For a discussion of the relationship between the two systems of accounts see General Instructions for Corporate and Financial Schedules.) This schedule replaces several former schedules which reported similar information concerning:

- Comparative Balance Sheet - Statement A
- Statement of Income for the Year - Statement C
- Statement of Retained Earnings for the Year - Statement D
- Statement of Changes in Financial Position - Statement E

The account numbers to be used from the two systems of accounts are listed in General Information, II-D:

**II. GENERAL INFORMATION**

A. These schedules shall be submitted by Class A and Class B Natural Gas respondents.

B. Respondents shall complete all data fields on these schedules annually for those items below applicable to their circumstances.

C. For those accounts which had activity during the year or had previously been reported with balances but for the present reporting period there is no balance, respondent shall report the account as a "zero" balance.

D. The data to be reported is structured upon the account groupings from the Uniform System of Accounts augmented by a system of supplemental accounts to integrate related data. The schedules for the following statements have been preprinted with account numbers and descriptions to facilitate respondent reporting:

Schedule Number	Title	Source
0216 through 0222	Balance Sheet	Uniform System of Accounts/ Supplemental Accounts
0223 through 0234	Statement of Income for the Year	Uniform System of Accounts/ Supplemental Accounts
0235	Statement of Retained Earnings for the Year	Uniform System of Accounts/ Supplemental Accounts
0236 through 0238	Statement of Changes in Financial Position	Supplemental Accounts

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RIS		FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM		4 of 11	
DETAILED INSTRUCTIONS: SCHEDULE 0216-0238		FINANCIAL STATEMENTS - PART II (NATURAL GAS CLASS A AND CLASS B)			
Uniform System of Accounts	Description	Supplemental Accounts		Details on Related Schedules	
185.00	Temporary facilities			0162	
186.00	Miscellaneous deferred debits			0161	
187.00	Deferred losses from disposition of utility plant				
188.00	Research and development expenditures			0177	
189.00	Unamortized loss on reacquired debt			0161	
190.00	Accumulated deferred income taxes			0138	
191.00	Unrecovered purchased gas costs				
	Total Deferred Debits	198.90			
	Total Assets and Other Debits	199.90			
	LIABILITIES AND OTHER CREDITS				
	PROPRIETARY CAPITAL				
201.00	Common stock issued			0132	
202.00	Common stock subscribed			0163	
203.00	Common stock liability for conversion			0132	
204.00	Preferred stock issued			0163	
205.00	Preferred stock subscribed			0163	
206.00	Preferred stock liability for conversion			0163	
207.00	Premium on capital stock			0163	
208.00	Donations received from stockholders			0162	
209.00	Reduction in part or stated value of capital stock			0162	
210.00	Gain on resale or cancellation of reacquired capital stock			0162	
211.00	Miscellaneous paid-in capital			0162	
212.00	Installments received on capital stock			0162	
213.00	Discount on capital stock			0162	
214.00	Capital stock expense			0162	
215.00	Appropriated retained earnings			0161	
216.00	Unappropriated retained earnings			0162	
216.10	Unappropriated undistributed subsidiary earnings			0162	
217.00	Reacquired capital stock			0132	
	Total Proprietary Capital	219.90			
	LONG-TERM DEBT				
221.00	Bonds			0133	
222.00	Reacquired bonds			0133	
223.00	Advances from associated companies			0161	
224.00	Other long-term debt			0161	
225.00	Unamortized premium on long-term debt			0161	
226.00	Unamortized discount on long-term debt - debit			0161	

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RIS		FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM		3 of 11	
DETAILED INSTRUCTIONS: SCHEDULE 0216-0238		FINANCIAL STATEMENTS - PART II (NATURAL GAS CLASS A AND CLASS B)			
Uniform System of Accounts	Description	Supplemental Accounts		Details on Related Schedules	
128.00	Other special funds				
	Total Other Property and Investments	129.90			
	CURRENT AND ACCRUED ASSETS				
	Cash				
131.00	Interest special deposits			0161	
132.00	Dividend special deposits				
133.00	Other special deposits				
134.00	Working funds				
135.00	Temporary cash investments				
136.00	Notes receivable				
141.00	Customer accounts receivable				
142.00	Other accounts receivable				
143.00	Accumulated provision for uncollectible accounts - credit			0162	
144.00	Notes receivable from associated companies			0161	
145.00	Accounts receivable from associated companies			0161	
146.00	Fuel stock			0128	
151.00	Fuel stock expenses undistributed			0162	
152.00	Residuals and extracted products				
153.00	Plant materials and operating supplies				
154.00	Merchandise				
155.00	Other materials and supplies				
156.00	Stores expense undistributed				
163.00	Gas stored underground - current			0127	
164.10	Liquefied natural gas stored			0130, 0163	
164.20	Prepayments			0142	
165.00	Advances for gas exploration, development and production			0142	
166.00	Other advances for gas				
167.00	Interest and dividends receivable				
171.00	Rents receivable				
172.00	Accrued utility revenues				
173.00	Miscellaneous current and accrued assets				
174.00	Total Current and Accrued Assets	179.90		0163	
	DEFERRED DEBITS				
181.00	Unamortized debt expense			0161	
182.00	Extraordinary property losses			0162	
183.10	Preliminary natural gas survey and investigation charges			0162	
183.20	Other preliminary survey and investigation charges			0162	
184.00	Clearing accounts				

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	6 of 11
	DETAILED INSTRUCTIONS: SCHEDULE 0216-0238	FINANCIAL STATEMENTS - PART II (NATURAL GAS CLASS A AND CLASS B)

Uniform System of Accounts	Supplemental Accounts	Description	Details on Related Schedules
2. a. Statement of Income for the Year: Respondents shall report the applicable amounts identified by the 400 series of accounts of Uniform System of Accounts and Supplemental Accounts. Respondents with more than one department shall report on schedule 0223-0224, the total operating expenses of all departments, and on schedules 0227-0234 (section 2. b., below) report separate details of operating expenses for each department.			
		UTILITY OPERATING INCOME	
		Operating Revenues	
400.00		Operating revenues	
412.00		Revenues from plant leased to others	
401.00		Operation expense	
402.00		Maintenance expense	
403.00		Depreciation expense	
404.10		Amortization and depletion of producing natural gas land and land rights	
404.20		Amortization of underground storage land and land rights	
404.30		Amortization of other limited-term gas plant	
405.00		Amortization of other gas plant	
406.00		Amortization of gas plant acquisition adjustments	
407.10		Amortization of property losses	
407.20		Amortization of conversion expenses	
408.10		Taxes other than income taxes, utility operating income	0136
409.10		Income taxes, utility operating income	
410.10		Provision for deferred income taxes, utility operating income	
411.10		Provision for deferred income taxes - credit utility operating income	
411.40		Investment tax credit adjustment, utility operations	
411.60		Gains from disposition of utility plant	0161
411.70		Losses from disposition of utility plant	0161
413.00	413.90	Expenses of gas plant leased to others	0148
	414.90	Total Utility Operating Expenses	
		Net Utility Operating Income	
		OTHER INCOME AND DEDUCTIONS	
		Other Income	
415.00		Revenues from merchandising, jobbing, and contract work	0174
416.00		Costs and expenses of merchandising, jobbing and contract work	0174

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	5 of 11
	DETAILED INSTRUCTIONS: SCHEDULE 0216-0238	FINANCIAL STATEMENTS - PART II (NATURAL GAS CLASS A AND CLASS B)

Uniform System of Accounts	Supplemental Accounts	Description	Details on Related Schedules
	229.90	Total Long-Term Debt	
		CURRENT AND ACCRUED LIABILITIES	
231.00		Notes payable	0134
232.00		Accounts payable	0161
233.00		Notes payable to associated companies	0161
234.00		Accounts payable to associated companies	0161
235.00		Customer deposits	
236.00		Taxes accrued	0135
237.00		Interest accrued	
238.00		Dividends declared	
239.00		Matured long-term debt	
240.00		Matured interest	
241.00		Tax collections payable	
242.00		Miscellaneous current and accrued liabilities	0163
	249.90	Total Current and Accrued Liabilities	
		DEFERRED CREDITS	
252.00		Customer advances for construction	0163
253.00		Other deferred credits	0162
255.00		Accumulated deferred investment tax credits	0162
256.00		Deferred gains from disposition of utility plant	0161
257.00		Unamortized gain on reacquired debt	0161
261.00		Accumulated deferred income taxes - accelerated amortization property	0138, 0139
262.00		Accumulated deferred income taxes - other property	0138, 0140
263.00		Accumulated deferred income taxes - other	0138
	284.90	Total Deferred Credits	
		OPERATING RESERVES	
261.00		Property insurance reserve	0162
262.00		Injuries and damages reserve	0162
263.00		Pensions and benefits reserve	0162
265.00		Miscellaneous operating reserves	0162
	269.90	Total Operating Reserves	
	299.90	Total Capital Liabilities and Other Credits	

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	8 of 11
	DETAILED INSTRUCTIONS: FINANCIAL STATEMENTS - PART II SCHEDULE 0216-0238 (NATURAL GAS CLASS A AND CLASS B)	

Uniform System of Accounts	Supplemental Accounts	Description	Details on Related Schedules
430.00		Interest on debt to associated companies	0163
431.00	431.90	Other interest expense	0163
	432.90	Total Interest Changes	
		Income Before Extraordinary Items	
		EXTRAORDINARY ITEMS	
434.00		Extraordinary income	0160
435.00		Extraordinary deductions	0160
	435.80	Net Extraordinary Items	
409.30		Income taxes, extraordinary items	0160
433.00	435.90	Extraordinary Items After Taxes	
		Balance transferred from net income	
2. b. Statement of Income for the Year - Departmental: Respondents with more than one utility department in their internal organization are required to report the following income accounts on the basis of operating utility departments. Supplemental Account numbers will consist of a second decimal place after the appropriate Uniform System of Account numbers as follows: gas department - XXX.XI; electric department - XXX.XZ; steam department - XXX.X4; other departments - XXX.X5. When the basic number from the Uniform System of Accounts is a whole integer (e.g., 400), the Supplemental Account number will add a zero in the first decimal place (e.g., 400.0) and then the department code (e.g., 400.01). This has been adopted to insure uniformity with those few accounts from the Uniform System of Accounts with a decimal place (e.g., 409.1).			
Uniform System of Accounts	Supplemental Accounts	Description	Details on Related Schedules
400.00		Operating revenues	
401.00		Operating expenses	
402.00		Maintenance expense	
403.00		Depreciation expense	
404.00		Amortization of limited-term plant	
405.00		Amortization of other plant	
406.00		Amortization of plant acquisition adjustments	
407.00		Amortization of property losses	
408.10		Taxes other than income taxes, utility operating income	
409.10		Income taxes, utility operating income	
410.10		Provision for deferred income taxes, utility operating income	
411.10		Provision for deferred income taxes - credit, utility operating income	
411.40		Investment tax credit adjustment, utility operation	

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	7 of 11
	DETAILED INSTRUCTIONS: FINANCIAL STATEMENTS - PART II SCHEDULE 0216-0238 (NATURAL GAS CLASS A AND CLASS B)	

Uniform System of Accounts	Supplemental Accounts	Description	Details on Related Schedules
417.00		Revenues from nonutility operations	0174
417.10		Expenses from nonutility operations	0174
418.00		Nonoperating rental income	0174
418.10		Equity in earnings of subsidiary companies	0125
419.00		Interest and dividend income	0163
419.10		Allowance for funds used during construction	0163
421.00		Miscellaneous nonoperating income	0163
421.10	421.90	Gain on disposition of property	
		Total Other Income	
		OTHER INCOME DEDUCTIONS	
421.20		Loss on disposition of property	0163
425.00		Miscellaneous amortization	0163
426.10		Donations	0163
426.20		Life insurance	0163
426.30		Penalties	0163
426.40		Expenditures for certain civic, political and related activities	0163
426.50	426.90	Other deductions	
		Total Other Deductions	
		TAXES APPLICABLE TO OTHER INCOME AND DEDUCTIONS	
408.20		Taxes other than income taxes, other income and deductions	
409.20		Income taxes, other income and deductions	
430.20		Provision for deferred income taxes, other income and deductions	
411.20		Provision for deferred income taxes - credit other income and deductions	
411.50		Investment tax credit adjustments, nonutility operations	
420.00	426.80	Investment tax credits	
	426.90	Total Taxes on Other Income and Deductions	
		Net Other Income and Deductions	
		INTEREST CHARGES	
427.00		Interest on long-term debt	
428.00		Amortization of debt discount and expenses	
428.10		Amortization of loss on reacquired debt	
429.00		Amortization of premium on debt - credit	
429.10		Amortization of gain on reacquired debt - credit	

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
	DETAILED INSTRUCTIONS: SCHEDULE 0216-0238	FINANCIAL STATEMENTS - PART II (NATURAL GAS CLASS A AND CLASS B)

Uniform System of Accounts	Supplemental Accounts	Description	Details on Related Schedules
	010.40	Investment tax credit adjustments	
	010.50	Allowance for funds used during construction	
	010.60	Increase in undistributed subsidiary earnings	
	010.70	Amortization - Other	
	010.80	Other (net)	
	010.90	Total Funds from Operations	
		FUNDS FROM OUTSIDE SOURCES	
	011.00	Long-term debt	
	011.10	Preferred stock	
	011.20	Common stock	
	011.30	Pollution control bonds	
	011.40	Net increase in short-term debt	
	011.50	Other (net)	
	011.60	Total Funds from Outside Sources	
		OTHER SOURCES	
	011.70	Sale of non-current assets - Investments	
	011.80	Sale of non-current assets - Fixed assets	
	011.90	Sale of non-current assets - Other	
	012.00	Contributions from associated and subsidiary companies	
	012.10	Decrease in working capital	
	012.20	Decrease in deferred debits	
	012.30	Other (net)	
	012.40	Total Sources of Funds	
		APPLICATION OF FUNDS	
		CONSTRUCTION AND PLANT EXPENDITURES (including land)	
	012.50	Gross additions to utility plant	
	012.60	Gross additions to nonutility plant	
	012.70	Other plant expenditures	
	012.80	Total Application to Construction and Plant	
	012.90	Dividends on preferred stock	
	013.00	Dividends on common stock	
		FUNDS FOR RETIREMENT OF SECURITIES AND DEBT	
	013.10	Long-term debt	
	013.20	Preferred stock	
	013.30	Redemption of capital stock	

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	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
	DETAILED INSTRUCTIONS: SCHEDULE 0216-0238	FINANCIAL STATEMENTS - PART II (NATURAL GAS CLASS A AND CLASS B)

Uniform System of Accounts	Supplemental Accounts	Description	Details on Related Schedules
411.60		Gains from disposition of utility plant	
411.70		Losses from disposition of utility plant	
	411.80	Total Utility Operating Expenses	
	411.90	Net Utility Operating Income	
		3. Statement of Retained Earnings for the Year: Respondents shall report the applicable amounts identified by the appropriate accounts of the Uniform System of Accounts and Supplemental Accounts:	
		Uniform System of Accounts	Details on Related Schedules
	216.30	Unappropriate retained earnings - Balance Beginning of Year	0162
	439.10	Adjustments to retained earnings - credit	0162
	439.20	Adjustments to retained earnings - debit	0162
433.00		Balance transferred from net income	
436.00		Appropriations of retained earnings	0163
437.00		Dividends declared - preferred stock	0162
438.00		Dividends declared - common stock	0162
	216.20	Transfers from Account 216.1, Unappropriated Undistributed Subsidiary Earnings	
216.00		Unappropriated retained earnings - end of year	
215.00		Appropriated retained earnings	0161
	216.90	Total Retained Earnings	
		4. Statement of Changes in Financial Position: Respondents shall report the applicable amounts identified by the appropriate Supplemental Accounts:	
		Uniform System of Accounts	Details on Related Schedules
		DESCRIPTION	
		SOURCE OF FUNDS	
		FUNDS FROM OPERATIONS	
	010.00	Net income	
	010.10	Principal non-cash charges (credits) to income	
	010.20	Depreciation and depletion	
	010.30	Amortization of utility plant	
		Provision for deferred or future income taxes (net)	

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
DETAILED INSTRUCTIONS: SCHEDULE 0000	FOOTNOTES TO FPC PUBLIC USE SCHEDULES
	1 of 2

I. DESCRIPTION

This schedule is used to collect the text for all footnote references for a respondent submission.

II. GENERAL INFORMATION

A. This schedule shall be submitted when applicable by all respondents for reporting footnotes to FPC Public Use Schedules.

B. The footnote reference numbers must be unique within a particular submission.

C. The respondent has to indicate two major types of footnotes.

1. General Footnote - The General Footnote can refer to either the entire schedule or one data field on the schedule, i.e. all data which is reported for data field 5 on the schedule not just one specific value for data field 5.

2. Specific Footnote - The Specific Footnote can refer either to an entire logical entry, i.e. group of related data which separates as an entity on a schedule or a data item within the logical entry.

The following entries are provided as an example:

RESPONDENT ENTRIES IN DATA FIELD ON SCHEDULE 0000

Type Footnote	Data Field 2 (Footnote No.)	Data Field 3 (Ref. ID)
1. General Footnote		
a. Entire schedule	001	GEN
b. All data values for data field 5 entries on this schedule	001	005
All data values for data field 6 entries on this schedule	001	006
2. Specific Footnote		
a. Entire logical entry	002	GEN
b. Data item entry	002	006
	002	008

III. DETAILED INSTRUCTIONS

The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number.

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<b>RIS</b>	FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM
DETAILED INSTRUCTIONS: SCHEDULE 0216-0238	FINANCIAL STATEMENTS - PART I (NATURAL GAS CLASS A AND CLASS B)
	11 of 11

Uniform System of Accounts	Supplemental Accounts	Description	Details on Related Schedules
	013.40	Net decrease in short-term debt	
	013.50	Other debt-net	
	013.60	Total Funds for Retirement of Securities and Debt	
		<u>OTHER APPLICATIONS</u>	
	013.70	Purchase of non-current assets - Investment	
	013.80	Purchase of non-current assets - Fixed assets	
	013.90	Purchase of non-current assets - Other	
	014.00	Investments in and advances to associated and subsidiary companies	
	014.10	Increase in working capital	
	014.20	Increase in deferred debits	
	014.30	Other (net)	
	014.40	Total Applications of Funds	
		<u>III. DETAILED INSTRUCTIONS</u>	
		The following data field-by-data field instructions are cross-referenced to the corresponding schedule layout by data field number:	
		<u>Instructions</u>	
1 (Key)		Account Number (N3.2): This is a preprinted data field. Refer to General Information II-D (ACCTML)	
2		Account Title (A55): This is a preprinted data field. Refer to General Information II-D (ACCTML)	
3		Amount (M10) DOL.	

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 FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	SUPPORTING DOCUMENTATION	1 of 1
	DETAILED INSTRUCTIONS: SCHEDULE 1000	

I. DESCRIPTION

This schedule is used to collect schedule related supporting documentation not required by the Public Use Schedules.

II. GENERAL INFORMATION

A. This schedule shall be submitted by any Federal Power Commission respondent who desires to provide supportive documentation or any additional information relating to the Public Use Schedules.

B. This schedule shall be completed only as deemed necessary by the respondent or where specifically requested by detailed instructions for other schedules.

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 FEDERAL POWER COMMISSION REGULATORY INFORMATION SYSTEM	FOOTNOTES TO FPC PUBLIC USE SCHEDULES	2 of 2
	DETAILED INSTRUCTIONS: SCHEDULE 0000	

Data Field Number	Instructions
1 (Key)	Schedule Number (N4): Enter the number of the schedule on which the footnote reference number was assigned, e.g., 0501 or 0505.
2 (Key)	Footnote Number (N3): Enter the unique footnote reference number from 001-999 for each particular submission.
3 (Key)	Reference Identification (A3): Enter "GEN" for Type 1a and 2a footnotes, i.e., footnotes that apply to the entire schedule (or to an entire logical entry) or enter the appropriate data field number for the specific data field value being footnoted (Type 1b or 2b).
4 (Key)	Line Sequence Number (N2): Enter 01, 02 etc., for each successive line of text.
5 (Key)	System Code (N6): This data field applies only to Electric respondents reporting data by system. Enter the six digit number code for the system, from the Register of Data Standards, <u>INSEST</u> .
6 (Key)	Plant ID (N5): This data field applies only to Electric respondents reporting data by plant. Enter the five digit numeric code for the plant, from the Register of Data Standards, <u>IDPEPL</u> .
7 (Key)	Project Development Code (A5): This data field applies only to Electric respondents reporting data by license projects. Enter the five digit numeric code for the license project, from the Register of Data Standards, <u>IDPEPL</u> .
8	Text (A72): Enter the text of the footnote. Use successive lines as required for text. Repeat data fields 1-3, 5 or 6 as applicable, and increment Data Field 4 (Line Sequence Number) by 1.

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Attachment D

FEDERAL POWER COMMISSION

Corporate and Financial Reports for Class A and Class B  
Natural Gas Companies

PROPOSED RULES

Comparison and Cross-Reference of Proposed GRIS Schedules with FCC's Annual Report Form No. 2 and Monthly Report No. 11

Proposed GRIS Schedule No.	Title	Replaces (whole or in part) Schedule Page No.	U. S. of A. Account No. Reference	Highlights of Proposed Changes from Previous Reporting Requirements
0101	General Copeters Information	101-101A	-	Respondents will complete all data filed on this schedule in their first submission, and on subsequent submissions, respondents shall submit only appropriate changes, additions, or deletions.
0102	Control Over Respondent	102	-	Data to be filed on a one-time basis, see proposed 0101 above.
0104	Corporations Controlled by Respondents	103	-	Data to be filed on a one-time basis, see proposed 0101 above.
0105	Corporate Officers/Officers	104-105	-	Data to be filed on a one-time basis, see proposed 0101 above.
0106	Other Corporate Employees	104	-	Separated from above schedule 0105 (proposed) to allow the reporting of this data on an annual basis, whereas schedule 0105 (proposed) is a one-time submission only. Instruction 7 on page 104 (old) has been deleted, thereby removing the exception for filing what not required to report to SEC.
0107	Security Holders and Voting Powers - Total	106-107	-	Data to be filed on a one-time basis, see above, proposed 0101. Present reporting requirements of page 106-107 of Form No. 2 has been spread to this and the next three proposed schedules, in order to accommodate the data processing system.
0108	Security Holders and Voting Powers	106-107	-	Data to be filed on a one-time basis, see proposed 0101 above. Covers data presently required by instruction 1 of page 106 (Form No. 2). No reporting requirement changes, only format.
0109	Other Stockholder Information	106-107	-	Covers data presently required by instructions 3, 6, and 7 of page 106. No reporting requirement changes.
0111	Notes to Security Holders and Voting Powers	106-107	-	Covers data presently required by instructions 1, 3, and 4 of page 106 (Form No. 2). No reporting requirement changes.
0112	Annual Notes to Financial Data	101, 102, 112, 116-116a, 117a, 119, 221, 222	-	If schedule is proposed so as to collect pertinent information deemed necessary for adequate disclosure to support the data presently reported on the pages listed in column 3 and on the new proposed schedules containing financial data. The format and location of the notes in various schedules is revised. Instruction 6 of page 112 (old) has been deleted - thereby removing the option to attach the notes appearing in the annual report to the stockholders. Accounting changes are to be reported utilizing the principles of AFS Opinion No. 29.
0113	Description of Construction Overhead Procedures	108	-	Data to be filed on a one-time basis, see proposed 0101 above. Construction overheads have been assigned code numbers for identification purposes only for this schedule.
0114	Important Changes During the Year - Transmission or Distribution System	108	-	Represents data presently required by instruction 3 of page 108 (Form No. 2). No new reporting requirements.
0115	Important Changes During the Year - Respondent's Rate Proceedings	109, 114	-	Respondent's data presently required by instruction 6 of page 108 (old) and instructions 4 and 5 of page 114 (old). No additional requirements, only format, and an indication of what is required to be included in the concise explanations.
0116	Important Changes During the Year - Supplier Rate Proceedings	114	-	Represents data presently required by instruction 3 of page 114. No additional reporting requirements only an indication of what is required to be included in the concise explanations.

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Proposed GRIS Schedule No.	Title	Replaces (whole or in part) Schedule Page No.	U. S. of A. Account No. Reference	Highlights of Proposed Changes from Previous Reporting Requirements
0117	Important Changes During the Year - New Sources of Gas	108	-	Represents data required by instruction 5 of page 108 (old). No additional reporting requirements.
0120	Important Changes During the Year - Utility Plant not Operated	553, 557-559, 562-564	-	Represents data presently required by instruction 3, page 553, instruction 4, page 557, instruction 6, page 558, instruction 4, page 559, instruction 3, page 562, instruction 5, page 563, instruction 4, page 564 of Form No. 2.
0121	Company Employee Data	533	-	New proposal will require data for all full time employees (not including part-time employees) for payroll period ending December 31 of the calendar year in place of the October 31 pay period.
0122	Securities Issued During Year	101, 220	-	Breakdown of expenses by amount of underwriter commission and other expenses. Data from present page 101 in instruction 5(c), to be reported on this schedule. Will now include issuance of short-term debt.
0123	Securities Assumed, Refunded or Retired During Year	220	-	Represents the data on assumed, refunded, or retired securities. Data on the issuance of securities is proposed for 0122, above.
0126	List of Accounts - Pt. I			
	1. Distribution of Salaries and Wages	335-336	-	Supplemental account numbers have been assigned to enable the coding of these amounts. The listing has been revised to show operation and maintenance expenses by functional accounts rather than functions by Operations and Maintenance. Proposal to delete separate clearing account reporting. Amounts charged to clearing accounts would be included in appropriate functional accounts.
	2. Depreciation and Amortization	343-346B	403, 404, 405	Details of basis and factors to be reported in proposed 0123. Supplemental account numbers have been assigned for the reporting to be on.
	3. Gas Operating Revenues	314	480-493	Report amount for year only. Details are to be reported in proposed 0159 and 0164. Supplemental account numbers have been assigned for coding purposes. Deleted reporting of increases (decreases) from preceding year column (c).
	4. Gas Operation and Maintenance Expenses	327-332	700-949	Report amount for year only; Deleted reporting of increase or decrease from preceding year, column (c). Supplemental account numbers have been assigned for coding purposes.
	5. Departmental Accounts	113, 114, 115	101-108, 111, 116, 119, 400-411;	Supplemental account numbers have been assigned to indicate the various departments, i.e., electric, gas, common, steam, other. Total or amount for year are to be reported. Deleted reporting of increase (or decrease) from preceding year.

PROPOSED RULES

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Proposed OMB				
Schedule No.	Title	Replaces (whole or in part) Schedule Page No.	U. S. of A. Account No. Reference	
0125	Investment in Subsidiary Companies - Equity Accounting	203	123.1, 418.1	Report only investments in stock of subsidiary companies. Information on advances, notes and etc. relating to subsidiary companies shall be included in proposed schedule 0161. Deleted reporting of amount of investment beginning of year and date acquired, gain or loss from investment on this schedule. Field 4, (proposed) amount of equity in undistributed subsidiary earnings since acquisition for each company listed, represents the data required by instruction 3 of page 203 (old).
0126	Natural Gas Stored - Part II	207A	117, 164.1, 164.2	The reporting for old schedule page 207A is divided between proposed 0126 and 0127, below. Represents data required in instructions and lowerhalf of schedule page 207A (old).
0127	Natural Gas Stored - Part I	207A	117, 164.1, 164.2	Change the quantity reporting to Mmcf at 14.73 psia at 60°F rounded to 3 decimal places.
0128	Production Fuel Stocks	209	151	Established code for types of fuels to be consistent with other fuel cost data filed with Commission. Data requirements spread to two schedules for coding purposes.
0129	Fuel Production Cost Data	209	151	Data represents requirements of instruction 4 of schedule page 209 (old), with some clarifications as to the data required to be reported. Various units of measure.
0130	Gas Prepayments and Undelivered Gas Obligations	210A, 223A	165, 253	Combined the reporting of two schedules pages (old), because of consistency in format of data. Report quantity in Mmcf at 14.73 psia at 60°F rounded to 3 decimal places.
0132	Capital Stock	215	201, 204, 217	No new reporting requirements, only format.
0133	Long-Term Debt	219	221, 222	Only data for Account 221 and 222 is to be reported on proposed 0133. Accounts 223 and 224 is to be reported on proposed schedule 0161.
0134	Notes Payable	221	231	Established a limit for reporting requirement - detailed reporting on items over \$25,000. Items under \$25,000 should be grouped for purposes of this proposed schedule. Some data required under instructions 3 and 4 of page 221 (old) is to be reported on proposed 0117.
0135	Taxes Accrued, Prepaid and Charged During Year	222-222A	155, 236, 408-409, 439	Deleted balance beginning of year for taxes accrued and prepaid taxes. Distributions of taxes to be reported by account numbers within departments instead of just by departments.
0136	Computation of Federal Income Tax Accruals	222-222A, 223	409.1, 409.2, 409.3	Previously reported at the bottom of page 223 (old). Data element structure has been added to this proposed schedule to provide consistency in reporting the data. The remainder of schedule page 223 (old) is to be reported on proposed schedule 0137 and 0137.
0137	Consolidated Federal Income Tax Return Information	223	-	Represents data required by instruction 3 of schedule page 223 (old). Deleted requirement for indicating intercompany amounts to be eliminated in such consolidated return. Field 1 of proposed schedule is a total of field 4, tax assigned to each group number.
0138	Accumulated Deferred Income Taxes	214B, 214B, 227-227E	190, 281, 282, 283	Deleted "balance beginning of year". Supplemental account numbers have been assigned for reporting the various categories of accelerated amortization property and to report data by departments. No new reporting requirements.
0139	Accelerated Amortization of Facilities	227-227A	281	Represents data which is required by instruction 2 of page 227-227A (old). Fields 9 and 10 (Total Deferred Taxes for Facilities and Amount of Flow Back Accelerated Amortization) are additional but are readily available from the accounts and are needed for an overall description of the accelerated amortization by certificate.

Proposed OMB				
Schedule No.	Title	Replaces (whole or in part) Schedule Page No.	U. S. of A. Account No. Reference	
0140	Tax Deferrals Related to Other Property	227B-227C	282	Represents data which is required by instruction 2 of pages 227B-227C (old). Data to be filed on a one-time basis, see 0101. Flow back data is required in different format.
0141	Investment Tax Credits	228	-	Data to be filed on a one-time basis, with only changes submitted thereafter, see proposed 0101, above. Information on the accounting for investment tax credits will be reported on proposed schedule 0112.
0142	Advances for Gas Prior to Initial Deliveries or Commission certification	210B	165, 167, 124	Deleted requirement for "balance beginning of year." No new additional reporting requirements.
0143	Particulars for Estimating Depreciation, Depletion, Amortization of Utility Plant	546, 546A-546B	300 Series	Data to be filed on a one-time basis, with only changes submitted thereafter, see proposed 0101, above.
0144	Plant in Service or all utility plants (Part I)	501-506	101, 300 Series	Deleted balance beginning of year. Supplemental account numbers have been assigned to functional plant totals for reporting purposes. Account 106 balances should not be reported on this schedule but should be reported on proposed 0145.
0145	Completed Construction not Classified	501-504, 507	108, 300 Series	The reporting of the amount recorded in account 106 by the appropriate 300 Series of plant accounts has been removed from the aggregate reporting with amounts of account 101 on previous reporting. Supplemental account numbers have been assigned for reporting purposes. Detailed information on completed construction by projects (page 507) deleted from reporting requirements.
0146	Plant Purchased or Sold	108, 501, 504	102	Field 4, "date of Commission approval of transaction" represents requirement of Inst. 3, page 108 (old). In reporting the details of the transaction a breakdown of the purchase or sale price, original cost of the facility and accumulated provision for depreciation, depletion and amortization is to be reported in a different format.
0147	Experimental Plant (Unclassified)	501, 504	103	New schedule designed. Previously only the dollar amounts for additions, retirements, adjustments and balances were reported for account 103. This data is needed to supplement the financial data.
0148	Utility Plant Leased to Others	114, 501, 505	106, 412, 413	Combined the data requirements of old pages 114, 501 and 505 into one schedule with revision in format for reporting taxes by each leased property.
0149	Plant and Production Properties Held for Future Use	506, 506A	105, 105.1	No additional reporting requirements. Additional information on the gas respondent accounts 105 and 105.1 shall be included in schedule 0157 and 0158 (proposed).
0150	Construction Work in Progress	507	107	Established a limit for reporting separately only projects over \$100,000. Projects are to be grouped by plant function (production, transmission, etc.)
0151	Accumulated Provision for Depreciation of Utility Plant - Part I	508	108	Supplemental account numbers have been assigned for reporting purposes. Additional information on the functionalization of the depreciation reserve to be reported on proposed 0152, below.
0152	Accumulated Provision for Depreciation of Utility Plant - Part II	508	108	Supplemental account numbers have been assigned for reporting purposes. This schedule complements the data reported on proposed 0151.
0153	Accumulated Provision for Depletion and Amortization of utility plant (Account 111.00)	509-512	111	Supplemental account numbers have been assigned for reporting purposes. These 4 schedules (old) are being consolidated into one for consistency in reporting. No new additional reporting requirements. Eliminated the requirement of detailing abandoned leases by area.

PROPOSED RULES

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Proposed 0518				
Schedule No.	Title	Replaces (whole or in part) Schedule Page No.	U. S. of A. Account No. Reference	Highlights of Proposed Changes from Previous Reporting Requirements
0154	Common Plant and Expense Data - Part II	351	Plant Instruction No. 13	Date to be reported on a one-time basis, see proposed 0101, above. Old schedules number 351 has been spread to two proposed schedules. This schedule supports the basis of allocation for the amounts included on proposed 0155, below.
0155	Common Plant and Expense Data - Part I	351	Plant Instruction No. 13	Supplemental accounts are to be used in reporting the required information. The basis for the allocations to departments are to be reported on proposed 0154, above. Reporting of tax expenses for common plant has been added to this proposed schedule.
0156	Construction Overhead	343	-	Codes numbers have been assigned to the various types of overhead for reporting purposes. These codes are identical to those assigned on proposed schedule 0113. This schedule is supported by the explanatory information reported on proposed schedule 0113.
0157	Natural Gas Reserves and Land Accruals	547, 548	101, 105.1, 105.2, 325.1, 325.2, 325.3	Date to be reported on a one-time basis, see proposed 0101, above. This schedule supplements the data reported on proposed schedule 0149 in some aspects. No new reporting requirements.
0158	Net Realizable Value of Estimated Hydrocarbon Reserves	547A-547B	105.1	The schedule principally supports the related data reported on proposed 0189. Represents data required by line 1, column (F) and line 14 column (F) of page 547A (old) and all of page 547B (old). The schedule also supplements the data reported on proposed schedule 0149 in some aspects.
0159	Operating Revenues	514	480, 481, 483, 484	This schedule requires reporting only the quantity of gas and number of customers. Supplemental account numbers assigned for reporting purposes. Changed the reporting of quantity of gas to Mscf at 14.73 psia at 60°F rounded to 3 decimal places.
0160	Extraordinary Items	306	434, 435, 409.3	Description requirement changed from a brief to a detailed (field No. 1 of proposal).
0161	Detailed Account Data - Part I	202, 203 202 206, 221 211, 214A, 224A 214B 117A 219	123, 123.1, 124 136 145, 146, 233, 234 161, 225, 226 187, 256 189, 257 215 223, 224	Schedule pages for new proposal are rearranged from the previously reporting for efficiency in collecting similar type data in like format. Beginning of year data deleted. For account: 123, 123.1, 124 - Established limit of \$10,000 for requiring particulars for investments. Report information pertaining to investments other than common stock accounted for on the equity basis of accounting as that data is included on schedule 0125 (proposed). 136 - Established limit of \$50,000 for reporting grouped items. 145, 146, 233, 234 - No new reporting requirements, only format changes. 161, 225, 226 - Deleted requirements of instructions 3 and 4 of page 211 (old). 187, 256 - No new reporting requirements, only format changes. 189, 257 - Established limit of \$25,000 for reporting grouped gains and losses. 215 - Deleted requirement for reporting accounting entries. 223, 224 - No new reporting requirements, only format changes.

Proposed 0518				
Schedule No.	Title	Replaces (whole or in part) Schedule Page No.	U. S. of A. Account No. Reference	Highlights of Proposed Changes from Previous Reporting Requirements
0162	Detailed Account Data - Part II	513, 112 201 204 210 212 214 217, 218 117A 225 229 226 117 117	114, 115, 116 121, 122 144 182 183.1, 183.2 186 209, 210, 211, 213, 214 216.1 253 255 261, 262, 263, 265 437, 438 439	Schedule pages are rearranged for efficiency in collecting similar type data in like formats. Beginning of year data deleted. Supplemental account numbers assigned for reporting purposes. For account: 114, 115, 116 - The data for account 116 is required by instruction 3 of page 112 (old). No new reporting requirements, only format changes. 121, 122 - Established limit of \$100,000 for reporting grouped items in account 121. Deleted detailed reportings of accruals and net charges for plant retired. 144 - Report totals only by supplemental account numbers. Deleted detail reporting of debits and credits. 182 - No additional requirements. 183.1, 183.2 - Established limit of \$50,000 for reporting grouped items. 186 - Established limit of \$25,000 for reporting grouped items. 209, 210, 211, 213, 214 - No new reporting requirements, only format changes. 216.1 - No new reporting requirements, only format changes. 253 - Established limit of \$25,000 for reporting grouped items. 255 - Data required by primary utility department and a total of other utility departments. Supplemental account numbers have been assigned for division. 261, 262, 263, 265 - No new reporting requirements, only format changes. 437, 438 - No new reporting requirements, only format changes. 439 - No new reporting requirements, represents requirements of instructions 2, 4 & 6, page 117 (old).
0163	Detailed Account Data - Part III	207 210 210 224 224 216 217 303 303 300 304	154 165 174 242 252 202, 203, 205, 206, 207, 212 208 419 421 421.1, 421.2 425	Schedule pages are rearranged for efficiency in collecting similar type data in like formats. Beginning of year data deleted. Supplemental account numbers assigned for reporting purposes. For account: 154 - Suggested breakdown of materials and supplies provided. 165 - Supplemental account numbers used to report various prepayments. Details of gas prepayments are included on proposed schedule 0130. 174 - Established limit of \$25,000 for reporting grouped items. 242 - Established limit of \$25,000 for reporting grouped items. 252 - No new reporting requirements, supplemental account numbers for departments. 202, 203, 205, 206, 207, 212 - No new reporting requirements. 208 - No new reporting requirements. 419 - Represents data required by instruction 5 of page 303 (old). Established limit of \$10,000 for grouped items. 421 - Represents data required by instruction 6 of page 303 (old). Established limit of \$10,000 for grouped items. 421.1, 421.2 - No new reporting requirements, only format changes. 425 - Deleted reporting of contra account charged. Represents requirements of instruction No. 2 of page 304 (old).

Proposed ORIS		Replaces (whole or in part) Schedule Page No.	U. S. of A. Account No. Reference	Highlights of Proposed Changes from Previous Reporting Requirements
Schedule No.	Title			
0163	Detailed Account Data - Part III (Cont'd)	304	426.1, 426.2, 426.3, 426.5	426.1, 426.2, 426.3, 426.5 - Represents data required by Instruction 3 of page 304 (old).
		305	426.4	426.4 - Supplemental accounts assigned to various classifications of advertising.
		304	430	430 - No new reporting requirements, only format.
		304	431	431 - Established limit of \$10,000 for reporting grouped items.
		117	436	436 - No new reporting requirements.
		540	613	613 - Deleted requirement for showing maintenance expenses separately by functional classification indicating the purpose of property related to the expense.
		541	858	858 - Quantity reporting requirements deleted.
0164	Detailed Account Data - Part IV	523	484, 493, 494	Schedule pages have been rearranged for efficiency in collecting similar type data in like formats. Established a limit of \$10,000 for grouped items for accounts 491 and 494. For accounts 493 and 494, indicate in field 3 if amount is derived from a manufactured gas property. For account 484 - deleted requirement for "point of delivery" and quantity of gas from this proposed schedule. For account 491 - deleted reporting of quantity of gas from this proposed schedule.
		525	490, 491	
		526	492, 493	
0167	Revenue Form Transportation of Gas of Others - Natural Gas (Account 889, 00)	524	489	Changed quantity of gas reporting to MCF at 14.73 psia at 60°F rounded to 3 decimal places. No new reporting requirements, only format changes.
0168	Gas Purchases	535, 536-536A	800-805	Quantity reporting has been changed to MCF at 14.73 psia at 60°F rounded to 3 decimal places. Purchase of less than 100,000 Mcf shall be grouped by account number, whereas previously it was at the respondent's option.
0169	Professional and Other Consultative Services	554	-	Established code numbers for the various types of services for reporting purposes.
0170	Lease Rental Charges General Data	533A-533D	-	The requirements for information about lease rentals has been divided between this schedule and proposed 0171. The General Data requirements are to be reported on a one-time basis, see proposed 0101, above instead on a 3 year reporting basis, instruction 7 of 533 B (old). Code numbers have been assigned to the various types of property and the respondent will have to assign a number to each lease (field 1 of proposal) with the reporting keyed to these code numbers. Some clarifications of what is required in the description of the property.
0171	Lease Rentals Charged	533A-533D	-	This proposed schedule contains the requirements of the Annual Data on the leases described and cross-referenced in proposed 0170, above. The same code numbers assigned and used in proposed 0170 should also be used in this proposal.
0172	Exploration and Development Expenses	554	795-798	No new reporting requirements, only format changes. Requirement for explanation of basis of determinations of yearly provisions has been deleted.
0173	Regulatory Commission Expenses	553-553A	-	Individual cases whose total expenses are \$5,000 or less may be grouped. Format of data items have been rearranged.
0175	Particulars Concerning Certain Other Income Accounts	303	413, 416, 417, 418	Established a limit of \$25,000 for reporting grouped items. Represents data requirements of instructions 1 through 4 of page 303 (old). Account 418 from schedule page 303 (old) is now to be reported on proposed schedule No. 0163.

Proposed ORIS		Replaces (whole or in part) Schedule Page No.	U. S. of A. Account No. Reference	Highlights of Proposed Changes from Previous Reporting Requirements
Schedule No.	Title			
0175	Exchange Gas Accounting - Part I	538	806	The requirements for information on exchange gas has been spread to two proposed schedules, 0175 and 0176. The details of accounting adjustments related to the transactions on this proposal should be reported on proposed schedule 0176, below.
0176	Exchange Gas Accounting Part II (Account 806, 00)	538	806	This schedule collects details of accounting adjustments related to the transactions reported on proposed schedule 0175, above.
0177	Research and Development Activities	572, 572A	188	Supplemental account numbers have assigned for reporting purposes. Established a limit of \$5,000 for reporting "other" R & D projects. The requirement for grouping items under \$5,000 has been deleted. The requirement for description has been revised from "brief" to full and also requires the listing of the names of the other participating companies in R & D jointly sponsored.
0178	Natural Gas Production and Gathering Statistics	552-553B	325.1-325.5, 326-338, 404.1, 750-769	Plant cost and expenses are required to be reported by appropriate account (U. S. of A.) whereas previously some were allowed to be grouped. Supplemental account numbers have been assigned for reporting purposes. Quantity reporting in proposed field 3-3 has been revised to MCF at 14.73 psia at 60°F.
0179	Franchise Requirements (Account 927, 00)	542	927	Quantity of gas reported at MCF at 14.73 psia at 60°F. Additional requirement - date of franchise (Field 2 of proposal).
0180	Gas Used in Utility Operations - Credit	539	810, 811, 812	Quantity of gas is to be reported in MCF at 14.73 psia at 60°F rounded to 3 decimal places.
0181	Gas Account - Natural Gas	568-569	800-811, 858, 927, 480, 482, 483, 489	Supplemental account numbers have been assigned for reporting purposes. Quantity reporting is changed to MCF at 14.73 psia at 60°F rounded to 3 decimal places. Certain detail reporting deleted as reporting is by account number (U. S. of A.) or supplemental.
0182	Natural Gas Company Monthly Statement - Revenues and Expenses - Part I	1 - Form No. 11	400-403, 408.1, 411.7, 413-414, 418.1-419.1, 421-421.2, 423, 408.2-411.4, 420, 427-431, 434, 435, 409.3, 433	Supplemental account numbers have been assigned for reporting purposes, mainly for reporting totals of several accounts. Deleted previous years reporting. More detail will be required to be reported because proposal requires the reporting by U. S. of A. account numbers which had previous been reported in grouped items.
0183	Natural Gas Company Monthly Statement - Revenues and Expenses - Part II	2 - Form No. 11	400, 480-486, 489, 490	Supplemental account numbers have been assigned for reporting purposes. Previous year reporting requirement deleted. To be reported using U. S. of A. account numbers thereby requiring the reporting of sales by residential, industrial, commercial, sales for resale, etc. Requires industrial and commercial sales to be reported separately.
0184	Natural Gas Company Monthly Statement - Operation and Maintenance Expenses	3 - Form No. 11	808-813, 858, 869	Supplemental account numbers have been assigned for reporting purposes. Previous year's reporting requirement has been deleted.
0185	Natural Gas Company Monthly Statement - Supplement Gas Supplies and Selected Data	4 - Form No. 11	164.1, 164.2, 107, 101-107, 114-117, 108, 111, 115	Supplemental account numbers have been assigned for reporting purposes. Previous year's reporting requirement has been deleted.
0186	Natural Gas Company Monthly Statement - Gas Revenues and Purchases	5 - Form No. 11	-	Supplemental account numbers have been assigned for reporting the data attributable to rate increases in effect but not finally approved by FPC.

## PROPOSED RULES

Schedule No.	Proposed ORIS		Replaces (whole or in part) Schedule Page No.	U. S. of A. Account No. Reference	Highlights of Proposed Changes from Previous Reporting Requirements
	Title				
0187	Reconciliation of Reported Net Income with Taxable Income		223	433	Supplemental account numbers have been assigned to the various categories of the proposed schedule for reporting and coding purposes only. No new reporting requirements. The remainder of data required by schedule page 223 (old) is to be reported on proposed schedules 0136 and 0137.
0189	Changes in Estimated Natural Gas and Other Hydrocarbon Reserves		509	105, 105.1, 101	Quantity of gas to be reported in MCF at 14.73 psia at 60 F rounded to 3 decimal places - a revision from previous reporting of thousands Mcf. Related data is reported on proposed 0139.
0215	Monthly Notes to Financial Data				New proposal for the monthly reporting to disclose accounting changes or any other important factor occurring during the month that may materially affect the monthly financial data or significantly affect the reported net income.
	Financial Statements:				These schedules for the financial statements have the Uniform System of Accounts and supplemental account numbers, with descriptions, reprinted thereby requiring only the amounts to be entered, similar to present reporting requirements.
0216 - 0222 -	Balance Sheet		110, 111, 200, 204, 207	100-299	The Balance Beginning of Year, Increase (or Decrease) has been eliminated. Notes are to be reported at 0112. There are a few accounts which are presently grouped on page 110, 111, but will be individually listed in the new proposed schedule; some accounts are presently reported on supporting statements, therefore, no new reporting requirements are proposed, with the exception of detailed data for accounts 132-134 and 125-128. Supplemental account numbers have been established for reporting purposes.
0223 - 0234 -	Statement of Income for the Year		114-116A	400-432, 434, 435	Deleted reporting of Increase (or Decrease) from previous year. Notes to Statement have been moved to 0112, 115, 116. Departmental accounts are to be reported on schedule 0227-0234. Supplemental account numbers have been established for reporting purposes.
0235	Statement of Retained Earnings for the Year		117-117A	215, 216, 436-439	Notes to retained earnings to be listed at 0112. Deleted reporting of contra primary account affected from this schedule. Other details of this schedule page are proposed for reporting in supporting schedules 0161-0163.
0236 - 0238 -	Statement of Changes in Financial Position		118-119		Supplemental account numbers have been assigned to the various elements of this schedule for reporting and coding purposes only. No additional reporting requirements.

( For Form No. 1544 - No change in the number of copies to be filed. )

( For Form No. 154M - The number of copies to be filed has been  
changed from "original and two" to "original and four." )

Attachment E

FEDERAL POWER COMMISSION

FEDERAL POWER COMMISSION / REGULATORY INFORMATION SYSTEM

REGISTER OF DATA STANDARDS

DATA ITEMS

FORM NO. 154

Corporate and Financial Reports for Class A and Class B  
Natural Gas Companies

DATA ITEM LIST MNEMONIC: IDCOMM  
 DATA ITEM LIST TITLE: ID, PUBLIC REGULATORY COMMISSIONS  
 DATA ITEM LIST DESCRIPTION: IDENTIFIES FEDERAL, STATE AND SELECTED FOREIGN PUBLIC REGULATORY COMMISSIONS, BOARDS OR AGENCIES TO WHICH RESPONDENTS TO THE FPC ARE ALSO REQUIRED OR REQUESTED TO REPORT.  
 DATA ITEM LIST SOURCE: NARUC AND FPC STAFF

DATA ITEM LIST:  
 CIVIL AERONAUTICS BOARD  
 DEPARTMENT OF TRANSPORTATION  
 FEDERAL HIGHWAY ADMINISTRATION  
 FEDERAL RAILROAD ADMINISTRATION  
 NATIONAL TRANSPORTATION SAFETY BOARD  
 FEDERAL COMMUNICATIONS COMMISSIONS (REST TO BE SUPPLIED WHEN FORM IS DUE)

CODE ABBREVIATION  
 1 CAB  
 2 DOT  
 3 FHA  
 4 FRA  
 5 VTSB  
 6 FCC

DATA ITEM LIST MNEMONIC: ACCTML  
 DATA ITEM LIST TITLE: FINANCIAL ACCOUNTS, MULTIPLE  
 DATA ITEM LIST DESCRIPTION: IDENTIFIES A MULTIPLE DATA ITEM FOR WHICH MORE THAN ONE DATA ITEM LIST MAY APPLY (SEE MULTIPLE LIST REFERENCES BELOW).  
 DATA ITEM LIST SOURCE: FPC STAFF

DATA ITEM LIST:  
 SEE THE FOLLOWING DATA ITEM LISTS AS APPLICABLE:  
 FINANCIAL ACCOUNTS, ELECTRIC A & B  
 FINANCIAL ACCOUNTS, ELECTRIC C & D  
 FINANCIAL ACCOUNTS, GAS A & B  
 FINANCIAL ACCOUNTS, GAS C & D  
 FINANCIAL ACCOUNTS, MUNICIPAL  
 FINANCIAL ACCOUNTS, LICENSEE

ACELAB  
 ACELCD  
 ACGSAB  
 ACGSCD  
 ACWMI  
 ACLPRJ

DATA ITEM LIST MNEMONIC: IDCNTY  
 DATA ITEM LIST TITLE: ID, COUNTIES OF THE US.  
 DATA ITEM LIST DESCRIPTION: IDENTIFIES THE COUNTIES OF THE US. COUNTIES, AS FIRST ORDER SUBDIVISIONS OF MOST STATES, ARE CONSIDERED SYNONYMOUS WITH PARISH, BOROUGH, OR CENSUS DIVISION USED IN OTHERS. FOR RIS USE, THIS LIST IS SUPPLEMENTED WITH NATURAL GAS OFFSHORE AREAS.  
 DATA ITEM LIST SOURCE: FIPS PUB 6-2, SEP 15, 1973 AND FPC

DATA ITEM LIST:  
 OFFSHORE, STATE  
 OFFSHORE, FEDERAL  
 OFFSHORE, GENERAL  
 (REST ARE FIPS)

CODE ABBREVIATION  
 00990 990  
 00995 995  
 00999 999

DATA ITEM LIST MNEMONIC: ACGSAB  
 DATA ITEM LIST TITLE: FINANCIAL ACCOUNTS, GAS, A & B  
 DATA ITEM LIST DESCRIPTION: IDENTIFIES THE FINANCIAL ACCOUNTS COMPRISING THE UNIFORM SYSTEM OF ACCOUNTS AND RIS SUPPLEMENTARY ACCOUNTS (# ANNUAL ONLY, # ANNUAL OR MONTHLY) FOR CLASS A & B GAS UTILITIES  
 DATA ITEM LIST SOURCE: FPC STAFF

DATA ITEM LIST:  
 RECONCILIATION OF REPORTED NET INCOME WITH TAXABLE INCOME FOR FEDERAL INCOME TAXES-TAXABLE INCOME NOT REPORTED ON BOOKS  
 RECONCILIATION OF REPORTED NET INCOME WITH TAXABLE INCOME FOR FEDERAL INCOME TAXES-DEDUCTIONS RECORDED ON BOOKS NOT DEDUCTED FOR (REST TO BE SUPPLIED WHEN FORM IS DUE)

003.1 #  
 003.2 #

IDSTAT  
 ID, STATES OF THE UNITED STATES  
 IDENTIFIES THE 50 STATES, THE DISTRICT OF COLUMBIA, AND THE OUTLYING AREAS OF THE US, ALL OF WHICH ARE CONSIDERED FIRST ORDER SUBDIVISIONS OF THE US.  
 FIPS PUB 5-1, JUN 15, 1970

DATA ITEM LIST MNEMONIC:  
 DATA ITEM LIST TITLE:  
 DATA ITEM LIST DESCRIPTION:  
 DATA ITEM LIST SOURCE:  
 DATA ITEM LIST:

CODE ABBREVIATION  
 01 AL  
 02 AK  
 03 AS  
 04 AZ  
 05 AR  
 06 CA  
 07 CZ  
 08 CO  
 (REST TO BE SUPPLIED WHEN FORM IS DUE.)

IDGPFL  
 ID, GAS PLANTS/FIELDS  
 IDENTIFIES GAS PLANTS OR FIELDS FOR WHICH PRODUCTION IS REPORTED TO THE FPC.  
 FPC STAFF  
 (TO BE SUPPLIED WHEN FORM IS DUE.)

DATA ITEM LIST MNEMONIC:  
 DATA ITEM LIST TITLE:  
 DATA ITEM LIST DESCRIPTION:  
 DATA ITEM LIST SOURCE:  
 DATA ITEM LIST:

TYFUEL  
 TYPE OF FUEL  
 INDICATES THE SUBSTANCE OR MATERIAL USED AS A SOURCE OF HEAT OR POWER.  
 FPC STAFF

DATA ITEM LIST MNEMONIC:  
 DATA ITEM LIST TITLE:  
 DATA ITEM LIST DESCRIPTION:  
 DATA ITEM LIST SOURCE:  
 DATA ITEM LIST:

CODE ABBREVIATION  
 00 UNSP  
 01 WD  
 09 FFUN  
 10 CU  
 11 CBIT  
 12 CSUB  
 13 CANT  
 14 CLIG  
 15 CPC  
 16 CSR  
 (REST TO BE SUPPLIED WHEN FORM IS DUE.)

IDRESP  
 ID, FPC RESPONDENTS AND OTHER PARTIES OF INTEREST  
 FPC STAFF  
 (TO BE SUPPLIED WHEN FORM IS DUE.)

DATA ITEM LIST MNEMONIC:  
 DATA ITEM LIST TITLE:  
 DATA ITEM LIST SOURCE:  
 DATA ITEM LIST:

DATA ITEM LIST MNEMONIC: TYOVHND  
 DATA ITEM LIST TITLE: TYPE OF OVERHEAD COST  
 DATA ITEM LIST DESCRIPTION: INDICATES SOME COMMON TYPES OF OVERHEAD COST AND EXPENSE INCURRED BY UTILITIES.  
 DATA ITEM LIST SOURCE: FPC STAFF

DATA ITEM LIST:  
 ENGINEERING AND SUPERVISION ADMINISTRATIVE AND GENERAL EXPENSES  
 TRANSPORTATION  
 INJURIES AND DAMAGES  
 INSURANCE  
 PENSIONS AND OTHER EMPLOYEE BENEFITS  
 TAXES  
 OUTSIDE PROFESSIONAL SERVICES  
 ALLOWANCE FOR FUNDS USED DURING CONSTRUCTION  
 OTHER

FPC STAFF CODE ABBREVIATION  
 01 A  
 02 B  
 03 C  
 04 B  
 05 E  
 06 F  
 07 G  
 08 H  
 09 I  
 99 J

DATA ITEM LIST MNEMONIC: TYPMTN  
 DATA ITEM LIST TITLE: TYPE OF ELECTRIC POWER TRANSACTION  
 DATA ITEM LIST DESCRIPTION: INDICATES THE TYPE OF ELECTRIC POWER TRANSACTION OR TRANSFER WITH RESPECT TO THE TYPE OF SYSTEM OR CONTRACT PROVISIONS.

DATA ITEM LIST SOURCE: FPC STAFF

DATA ITEM LIST:  
 BORDERLINE CUSTOMERS  
 INDUSTRIAL COMPANIES  
 CLASS I, II, AND LARGE CLASS IV  
 CLASS III, V, AND SMALL CLASS IV  
 CLASS IV SYSTEMS REQUIRED TO SUBMIT SAME SCHEDULES AS CLASS I AND V SYSTEMS  
 CLASS IV SYSTEMS REQUIRED TO SUBMIT SAME SCHEDULES AS CLASS III AND V SYSTEMS  
 WHEELING

FPC STAFF CODE ABBREVIATION  
 1 BDLN  
 2 INDS  
 3 UTLG  
 4 UTM  
 5 URLG  
 6 URSM  
 7 WHLG

DATA ITEM LIST MNEMONIC: TYUNMS  
 DATA ITEM LIST TITLE: TYPE OF UNIT OF MEASURE  
 DATA ITEM LIST DESCRIPTION: INDICATES THE PHYSICAL OR TIME UNITS BY WHICH A VALUE IS MEASURED.  
 DATA ITEM LIST SOURCE: FPC STAFF

DATA ITEM LIST:  
 LITER  
 ACRE FEET  
 ACRE  
 AMPERE  
 BARREL  
 BARRELS PER HOUR  
 BILLIONS BTU  
 BRAKE HORSEPOWER  
 BARRELS PER DAY  
 BRITISH THERMAL UNIT  
 (REST TO BE SUPPLIED WHEN FORM IS DUE.)

FPC STAFF CODE ABBREVIATION  
 1 ACFT  
 2 ACRE  
 3 AMP  
 4 BBL  
 5 BBL/HR  
 6 BBTU  
 7 BHP  
 8 BPD  
 9 BTU

Attachment F

FEDERAL POWER COMMISSION

Corporate and Financial Reports for Class A and Class B  
Natural Gas Companies







SECURITY HOLDERS AND VOTING POWERS—TOTAL

PAGE  OF

81	RESPONDENT CODE	RESPONDENT NAME	REPORT PERIOD MO. DAY YR	GENERAL FOOTNOTE	SPECIFIC FOOT NOTE		
82	1. TOTAL VOTES—COMMON STOCK	2. TOTAL VOTES—PREFERRED	3. TOTAL VOTES—OTHER STOCKS	4. TOTAL VOTES—OTHER SECURITIES	5. TOTAL VOTES—TOTAL	6. OFFICER ID#	7. TOTAL VOTES
83							
84							
85							
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FPC SCHEDULE  
REVISED

SECURITY HOLDERS AND VOTING POWERS

PAGE  OF

81	RESPONDENT CODE	RESPONDENT NAME	REPORT PERIOD MO. DAY YR	GENERAL FOOTNOTE	SPECIFIC FOOT NOTE
82	1. NAME OF SECURITY HOLDER	2. LOCATION OF SECURITY HOLDER	3. OFFICER ID#	4. TOTAL VOTES	
83	5. VOTES—COMMON STOCK	6. VOTES—PREFERRED STOCK	7. VOTES—OTHER SECURITIES		
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FPC SCHEDULE  
REVISED

PROPOSED RULES

OTHER STOCKHOLDER INFORMATION		PAGE [ ] OF [ ]		
RESPONDENT CODE	RESPONDENT NAME	NOTE PERIOD MO. DAY YR.	GENERAL FOOTNOTE	SPECIFIC FOOT- NOTE
1. TYPE OF NOTE				
2. NOTE NUMBER				
3. DATE				
4. TYPE OF NOTE				
5. NOTE NUMBER				
6. DATE				
7. TYPE OF NOTE				
8. NOTE NUMBER				
9. DATE				
10. TYPE OF NOTE				
11. NOTE NUMBER				
12. DATE				
13. TYPE OF NOTE				
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19. TYPE OF NOTE				
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21. DATE				
22. TYPE OF NOTE				
23. NOTE NUMBER				
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36. DATE				
37. TYPE OF NOTE				
38. NOTE NUMBER				
39. DATE				
40. TYPE OF NOTE				
41. NOTE NUMBER				
42. DATE				
43. TYPE OF NOTE				
44. NOTE NUMBER				
45. DATE				

FPC SCHEDULE  
REVISED

NOTES TO SECURITY HOLDERS AND VOTING POWERS		PAGE [ ] OF [ ]		
RESPONDENT CODE	RESPONDENT NAME	NOTE PERIOD MO. DAY YR.	GENERAL FOOTNOTE	SPECIFIC FOOT- NOTE
1. TYPE OF NOTE				
2. NOTE NUMBER				
3. DATE				
4. TYPE OF NOTE				
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36. DATE				
37. TYPE OF NOTE				
38. NOTE NUMBER				
39. DATE				
40. TYPE OF NOTE				
41. NOTE NUMBER				
42. DATE				
43. TYPE OF NOTE				
44. NOTE NUMBER				
45. DATE				

FPC SCHEDULE  
REVISED



PROPOSED RULES

IMPORTANT CHANGES DURING THE YEAR—TRANSMISSION OR DISTRIBUTION SYSTEM

PAGE  OF

RESPONDENT CODE	RESPONDENT NAME	SECT PERIOD MO. DAY '76	GENERAL FOOTNOTES	SPECIFIC FOOT- NOTE
1. DESCRIPTION OF CHANGE	2. COMMISSION CODE	3. DATE OF CHANGE	4. NO. CUSTOMER AGENCIES	5. NO. CUSTOMER LINES
6. CLASS OF SERVICE	7. BY WHOM REQUESTED	8. BY WHOM APPROVED	9. NO. CUSTOMER AGENCIES	10. NO. CUSTOMER LINES
1. DESCRIPTION OF CHANGE	2. COMMISSION CODE	3. DATE OF CHANGE	4. NO. CUSTOMER AGENCIES	5. NO. CUSTOMER LINES
6. CLASS OF SERVICE	7. BY WHOM REQUESTED	8. BY WHOM APPROVED	9. NO. CUSTOMER AGENCIES	10. NO. CUSTOMER LINES
1. DESCRIPTION OF CHANGE	2. COMMISSION CODE	3. DATE OF CHANGE	4. NO. CUSTOMER AGENCIES	5. NO. CUSTOMER LINES
6. CLASS OF SERVICE	7. BY WHOM REQUESTED	8. BY WHOM APPROVED	9. NO. CUSTOMER AGENCIES	10. NO. CUSTOMER LINES
1. DESCRIPTION OF CHANGE	2. COMMISSION CODE	3. DATE OF CHANGE	4. NO. CUSTOMER AGENCIES	5. NO. CUSTOMER LINES
6. CLASS OF SERVICE	7. BY WHOM REQUESTED	8. BY WHOM APPROVED	9. NO. CUSTOMER AGENCIES	10. NO. CUSTOMER LINES

FPC SCHEDULE REVISED.

IMPORTANT CHANGES DURING THE YEAR—RESPONDENT RATE PROCEEDINGS

PAGE  OF

RESPONDENT CODE	RESPONDENT NAME	SECT PERIOD MO. DAY '76	GENERAL FOOTNOTES	SPECIFIC FOOT- NOTE
1. DATE OF RATE REQUEST	2. COMMISSION CODE	3. DATE OF PROCEEDING	4. UNAPPROVED REVENUE INCREASE	5. UNAPPROVED REVENUE DECREASE
6. PARTICULARS OF ACCOUNTING TREATMENT	7. OTHER PARTICULARS OF RATE CHANGE	8. CLASS OF CUSTOMERS	9. AMOUNT OF REVENUE BY CUSTOMER	10. CLASS OF CUSTOMERS
11. UNAPPROVED REVENUE INCREASE	12. UNAPPROVED REVENUE DECREASE	13. AMOUNT OF REVENUE BY CUSTOMER	14. CLASS OF CUSTOMERS	15. AMOUNT OF REVENUE BY CUSTOMER
1. DATE OF RATE REQUEST	2. COMMISSION CODE	3. DATE OF PROCEEDING	4. UNAPPROVED REVENUE INCREASE	5. UNAPPROVED REVENUE DECREASE
6. PARTICULARS OF ACCOUNTING TREATMENT	7. OTHER PARTICULARS OF RATE CHANGE	8. CLASS OF CUSTOMERS	9. AMOUNT OF REVENUE BY CUSTOMER	10. CLASS OF CUSTOMERS
11. UNAPPROVED REVENUE INCREASE	12. UNAPPROVED REVENUE DECREASE	13. AMOUNT OF REVENUE BY CUSTOMER	14. CLASS OF CUSTOMERS	15. AMOUNT OF REVENUE BY CUSTOMER
1. DATE OF RATE REQUEST	2. COMMISSION CODE	3. DATE OF PROCEEDING	4. UNAPPROVED REVENUE INCREASE	5. UNAPPROVED REVENUE DECREASE
6. PARTICULARS OF ACCOUNTING TREATMENT	7. OTHER PARTICULARS OF RATE CHANGE	8. CLASS OF CUSTOMERS	9. AMOUNT OF REVENUE BY CUSTOMER	10. CLASS OF CUSTOMERS
11. UNAPPROVED REVENUE INCREASE	12. UNAPPROVED REVENUE DECREASE	13. AMOUNT OF REVENUE BY CUSTOMER	14. CLASS OF CUSTOMERS	15. AMOUNT OF REVENUE BY CUSTOMER

FPC SCHEDULE REVISED.

SAMPLE

REGULATORY REPORTS

IMPORTANT CHANGES DURING YEAR—SUPPLIER RATE PROCEEDINGS

PAGE  OF

RESPONDENT CODE	RESPONDENT NAME	REPORT PERIOD MO. DAY YR.	GENERAL FOOTNOTES	DISCLOSURE FOOTNOTES
1. NAME OF SUPPLIER	2. COMMISSION CODE	3. AMOUNT OF REFUNDS RECEIVED		
4. PARTICULARS OF ACCOUNTING FOR REFUNDS				
5. PARTICULARS OF ACCOUNTING FOR REFUNDS COSTS				
1. NAME OF SUPPLIER	2. COMMISSION CODE	3. AMOUNT OF REFUNDS RECEIVED		
4. PARTICULARS OF ACCOUNTING FOR REFUNDS				
5. PARTICULARS OF ACCOUNTING FOR REFUNDS COSTS				
1. NAME OF SUPPLIER	2. COMMISSION CODE	3. AMOUNT OF REFUNDS RECEIVED		
4. PARTICULARS OF ACCOUNTING FOR REFUNDS				
5. PARTICULARS OF ACCOUNTING FOR REFUNDS COSTS				
1. NAME OF SUPPLIER	2. COMMISSION CODE	3. AMOUNT OF REFUNDS RECEIVED		
4. PARTICULARS OF ACCOUNTING FOR REFUNDS				
5. PARTICULARS OF ACCOUNTING FOR REFUNDS COSTS				
1. NAME OF SUPPLIER	2. COMMISSION CODE	3. AMOUNT OF REFUNDS RECEIVED		
4. PARTICULARS OF ACCOUNTING FOR REFUNDS				
5. PARTICULARS OF ACCOUNTING FOR REFUNDS COSTS				
1. NAME OF SUPPLIER	2. COMMISSION CODE	3. AMOUNT OF REFUNDS RECEIVED		
4. PARTICULARS OF ACCOUNTING FOR REFUNDS				
5. PARTICULARS OF ACCOUNTING FOR REFUNDS COSTS				
1. NAME OF SUPPLIER	2. COMMISSION CODE	3. AMOUNT OF REFUNDS RECEIVED		
4. PARTICULARS OF ACCOUNTING FOR REFUNDS				
5. PARTICULARS OF ACCOUNTING FOR REFUNDS COSTS				
1. NAME OF SUPPLIER	2. COMMISSION CODE	3. AMOUNT OF REFUNDS RECEIVED		
4. PARTICULARS OF ACCOUNTING FOR REFUNDS				
5. PARTICULARS OF ACCOUNTING FOR REFUNDS COSTS				

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SAMPLE

REGULATORY REPORTS

IMPORTANT CHANGES DURING YEAR—NEW SOURCES OF GAS

PAGE  OF

RESPONDENT CODE	RESPONDENT NAME	REPORT PERIOD MO. DAY YR.	GENERAL FOOTNOTES	DISCLOSURE FOOTNOTES
1. LOCATION OF NEW GAS SOURCE	2. APPROX. SIZE OF RESERVE	3. LOCATION	4. NUMBER OF ACQUISITION	
5. OTHER PARTIES TO CONTRACT OR OTHER ARRANGEMENT				
1. LOCATION OF NEW GAS SOURCE	2. APPROX. SIZE OF RESERVE	3. LOCATION	4. NUMBER OF ACQUISITION	
5. OTHER PARTIES TO CONTRACT OR OTHER ARRANGEMENT				
1. LOCATION OF NEW GAS SOURCE	2. APPROX. SIZE OF RESERVE	3. LOCATION	4. NUMBER OF ACQUISITION	
5. OTHER PARTIES TO CONTRACT OR OTHER ARRANGEMENT				
1. LOCATION OF NEW GAS SOURCE	2. APPROX. SIZE OF RESERVE	3. LOCATION	4. NUMBER OF ACQUISITION	
5. OTHER PARTIES TO CONTRACT OR OTHER ARRANGEMENT				
1. LOCATION OF NEW GAS SOURCE	2. APPROX. SIZE OF RESERVE	3. LOCATION	4. NUMBER OF ACQUISITION	
5. OTHER PARTIES TO CONTRACT OR OTHER ARRANGEMENT				
1. LOCATION OF NEW GAS SOURCE	2. APPROX. SIZE OF RESERVE	3. LOCATION	4. NUMBER OF ACQUISITION	
5. OTHER PARTIES TO CONTRACT OR OTHER ARRANGEMENT				
1. LOCATION OF NEW GAS SOURCE	2. APPROX. SIZE OF RESERVE	3. LOCATION	4. NUMBER OF ACQUISITION	
5. OTHER PARTIES TO CONTRACT OR OTHER ARRANGEMENT				
1. LOCATION OF NEW GAS SOURCE	2. APPROX. SIZE OF RESERVE	3. LOCATION	4. NUMBER OF ACQUISITION	
5. OTHER PARTIES TO CONTRACT OR OTHER ARRANGEMENT				
1. LOCATION OF NEW GAS SOURCE	2. APPROX. SIZE OF RESERVE	3. LOCATION	4. NUMBER OF ACQUISITION	
5. OTHER PARTIES TO CONTRACT OR OTHER ARRANGEMENT				
1. LOCATION OF NEW GAS SOURCE	2. APPROX. SIZE OF RESERVE	3. LOCATION	4. NUMBER OF ACQUISITION	
5. OTHER PARTIES TO CONTRACT OR OTHER ARRANGEMENT				
1. LOCATION OF NEW GAS SOURCE	2. APPROX. SIZE OF RESERVE	3. LOCATION	4. NUMBER OF ACQUISITION	
5. OTHER PARTIES TO CONTRACT OR OTHER ARRANGEMENT				
1. LOCATION OF NEW GAS SOURCE	2. APPROX. SIZE OF RESERVE	3. LOCATION	4. NUMBER OF ACQUISITION	
5. OTHER PARTIES TO CONTRACT OR OTHER ARRANGEMENT				

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REVISED

PROPOSED RULES

SAMPLE  
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0000      IMPORTANT CHANGES DURING THE YEAR—UTILITY PLANT NOT OPERATED      PAGE 0000 OF 0000

RESPONDENT CODE	RESPONDENT NAME	REPORT PERIOD MO. DAY YR.	GENERAL FOOTNOTE	SPECIFIC FOOTNOTE
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FPC SCHEDULE  
REVISED

SAMPLE  
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0000      COMPANY EMPLOYEE DATA      PAGE 0000 OF 0000

RESPONDENT CODE	RESPONDENT NAME	REPORT PERIOD MO. DAY YR.	GENERAL FOOTNOTE	SPECIFIC FOOTNOTE
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FPC SCHEDULE  
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SAMPLE

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SECURITIES ISSUED DURING YEAR

PAGE  OF

RESPONDENT CODE	RESPONDENT NAME	REPORT PERIOD MO. DAY YR.	GENERAL ACCOUNTS	SPECIFIC FOOT- NOTE
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SAMPLE

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SECURITIES ABANDONED, REFUNDED, OR RETIRED DURING YEAR

PAGE  OF

RESPONDENT CODE	RESPONDENT NAME	REPORT PERIOD MO. DAY YR.	GENERAL ACCOUNTS	SPECIFIC FOOT- NOTE
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PROPOSED RULES

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LIST OF ACCOUNTS

PAGE  OF

RESPONDENT CODE	RESPONDENT NAME	ACCOUNT NO.		REPORT PERIOD MO. DAY YR.	GENERAL FOOTNOTE	SPECIFIC FOOT- NOTE
		A. ACCOUNT NO.	B. ACCOUNT			
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IRC SCHEDULE  
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INVESTMENT IN SUBSIDIARY COMPANIES - EQUITY ACCOUNTING

PAGE  OF

RESPONDENT CODE	RESPONDENT NAME	REPORT PERIOD MO. DAY YR.	GENERAL FOOTNOTE	SPECIFIC FOOT- NOTE
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GAS STORED UNDERGROUND—PART II

PAGE  OF

RESPONDENT CODE	RESPONDENT NAME	REPORT PERIOD MO. DAY YR.	GENERAL FOOTNOTE	SPECIFIC FOOTNOTE
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GAS STORED UNDERGROUND—PART II

PAGE  OF

RESPONDENT CODE	RESPONDENT NAME	REPORT PERIOD MO. DAY YR.	GENERAL FOOTNOTE	SPECIFIC FOOTNOTE
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PRODUCTION FUEL STOCKS

Page  of

01	RESPONDENT CODE		RESPONDENT NAME		REPORT PERIOD MO. DAY YR.	GENERAL FOOTNOTE	SPECIFIC FOOT- NOTE
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PRODUCTION FUEL COST DATA

Page  of

01	RESPONDENT CODE		RESPONDENT NAME		REPORT PERIOD MO. DAY YR.	GENERAL FOOTNOTE	SPECIFIC FOOT- NOTE
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GAS PREPAYMENTS AND UNDELIVERED GAS OBLIGATIONS

PAGE  OF

RESPONDENT CODE	RESPONDENT NAME	REPORT PERIOD MO., DAY, YR.	GENERAL FOOTNOTES	SPECIFIC FOOT NOTES
01				
02	1. NAME OF BELONGSTOCKHOLDER	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
03	5. OTHER PARTICULARS			
04	1. NAME OF BELONGSTOCKHOLDER	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
05	5. OTHER PARTICULARS			
06	1. NAME OF BELONGSTOCKHOLDER	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
07	5. OTHER PARTICULARS			
08	1. NAME OF BELONGSTOCKHOLDER	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
09	5. OTHER PARTICULARS			
10	1. NAME OF BELONGSTOCKHOLDER	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
11	5. OTHER PARTICULARS			
12	1. NAME OF BELONGSTOCKHOLDER	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
13	5. OTHER PARTICULARS			
14	1. NAME OF BELONGSTOCKHOLDER	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
15	5. OTHER PARTICULARS			
16	1. NAME OF BELONGSTOCKHOLDER	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
17	5. OTHER PARTICULARS			
18	1. NAME OF BELONGSTOCKHOLDER	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
19	5. OTHER PARTICULARS			
20	1. NAME OF BELONGSTOCKHOLDER	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
21	5. OTHER PARTICULARS			
22	1. NAME OF BELONGSTOCKHOLDER	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
23	5. OTHER PARTICULARS			
24	1. NAME OF BELONGSTOCKHOLDER	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
25	5. OTHER PARTICULARS			
26	1. NAME OF BELONGSTOCKHOLDER	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
27	5. OTHER PARTICULARS			
28	1. NAME OF BELONGSTOCKHOLDER	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
29	5. OTHER PARTICULARS			
30	1. NAME OF BELONGSTOCKHOLDER	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
31	5. OTHER PARTICULARS			
32	1. NAME OF BELONGSTOCKHOLDER	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
33	5. OTHER PARTICULARS			
34	1. NAME OF BELONGSTOCKHOLDER	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
35	5. OTHER PARTICULARS			
36	1. NAME OF BELONGSTOCKHOLDER	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
37	5. OTHER PARTICULARS			
38	1. NAME OF BELONGSTOCKHOLDER	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
39	5. OTHER PARTICULARS			
40	1. NAME OF BELONGSTOCKHOLDER	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
41	5. OTHER PARTICULARS			
42	1. NAME OF BELONGSTOCKHOLDER	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
43	5. OTHER PARTICULARS			
44	1. NAME OF BELONGSTOCKHOLDER	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR

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SAMPLE

CAPITAL STOCK

PAGE  OF

RESPONDENT CODE	RESPONDENT NAME	REPORT PERIOD MO., DAY, YR.	GENERAL FOOTNOTES	SPECIFIC FOOT NOTES
01				
02	1. CLASS AND GRADE OF STOCK	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
03	5. OTHER PARTICULARS			
04	1. CLASS AND GRADE OF STOCK	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
05	5. OTHER PARTICULARS			
06	1. CLASS AND GRADE OF STOCK	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
07	5. OTHER PARTICULARS			
08	1. CLASS AND GRADE OF STOCK	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
09	5. OTHER PARTICULARS			
10	1. CLASS AND GRADE OF STOCK	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
11	5. OTHER PARTICULARS			
12	1. CLASS AND GRADE OF STOCK	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
13	5. OTHER PARTICULARS			
14	1. CLASS AND GRADE OF STOCK	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
15	5. OTHER PARTICULARS			
16	1. CLASS AND GRADE OF STOCK	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
17	5. OTHER PARTICULARS			
18	1. CLASS AND GRADE OF STOCK	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
19	5. OTHER PARTICULARS			
20	1. CLASS AND GRADE OF STOCK	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
21	5. OTHER PARTICULARS			
22	1. CLASS AND GRADE OF STOCK	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
23	5. OTHER PARTICULARS			
24	1. CLASS AND GRADE OF STOCK	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
25	5. OTHER PARTICULARS			
26	1. CLASS AND GRADE OF STOCK	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
27	5. OTHER PARTICULARS			
28	1. CLASS AND GRADE OF STOCK	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
29	5. OTHER PARTICULARS			
30	1. CLASS AND GRADE OF STOCK	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
31	5. OTHER PARTICULARS			
32	1. CLASS AND GRADE OF STOCK	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
33	5. OTHER PARTICULARS			
34	1. CLASS AND GRADE OF STOCK	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
35	5. OTHER PARTICULARS			
36	1. CLASS AND GRADE OF STOCK	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
37	5. OTHER PARTICULARS			
38	1. CLASS AND GRADE OF STOCK	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
39	5. OTHER PARTICULARS			
40	1. CLASS AND GRADE OF STOCK	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
41	5. OTHER PARTICULARS			
42	1. CLASS AND GRADE OF STOCK	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR
43	5. OTHER PARTICULARS			
44	1. CLASS AND GRADE OF STOCK	2. QUANTITY - END OF YEAR	3. REPORT YEAR	4. REPORT YEAR

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LONG TERM DEBT

PAGE  OF

21	RESPONDENT CODE	RESPONDENT NAME	NO.	DEPT PERIOD MO. DAY '71	GENERAL FOOTNOTE	SPECIFIC FOOT NOTE
22	1. CLASS AND SERIES OF REGISTRATION					
23	2. NAME OF ISSUING COMPANY					
24	3. CLASS AND SERIES OF REGISTRATION					
25	4. NAME OF ISSUING COMPANY					
26	5. CLASS AND SERIES OF REGISTRATION					
27	6. NAME OF ISSUING COMPANY					
28	7. CLASS AND SERIES OF REGISTRATION					
29	8. NAME OF ISSUING COMPANY					
30	9. CLASS AND SERIES OF REGISTRATION					
31	10. NAME OF ISSUING COMPANY					
32	11. CLASS AND SERIES OF REGISTRATION					
33	12. NAME OF ISSUING COMPANY					
34	13. CLASS AND SERIES OF REGISTRATION					
35	14. NAME OF ISSUING COMPANY					
36	15. CLASS AND SERIES OF REGISTRATION					
37	16. NAME OF ISSUING COMPANY					
38	17. CLASS AND SERIES OF REGISTRATION					
39	18. NAME OF ISSUING COMPANY					
40	19. CLASS AND SERIES OF REGISTRATION					
41	20. NAME OF ISSUING COMPANY					
42	21. CLASS AND SERIES OF REGISTRATION					
43	22. NAME OF ISSUING COMPANY					
44	23. CLASS AND SERIES OF REGISTRATION					
45	24. NAME OF ISSUING COMPANY					
46	25. CLASS AND SERIES OF REGISTRATION					
47	26. NAME OF ISSUING COMPANY					
48	27. CLASS AND SERIES OF REGISTRATION					
49	28. NAME OF ISSUING COMPANY					
50	29. CLASS AND SERIES OF REGISTRATION					
51	30. NAME OF ISSUING COMPANY					
52	31. CLASS AND SERIES OF REGISTRATION					
53	32. NAME OF ISSUING COMPANY					
54	33. CLASS AND SERIES OF REGISTRATION					
55	34. NAME OF ISSUING COMPANY					
56	35. CLASS AND SERIES OF REGISTRATION					
57	36. NAME OF ISSUING COMPANY					
58	37. CLASS AND SERIES OF REGISTRATION					
59	38. NAME OF ISSUING COMPANY					
60	39. CLASS AND SERIES OF REGISTRATION					
61	40. NAME OF ISSUING COMPANY					
62	41. CLASS AND SERIES OF REGISTRATION					
63	42. NAME OF ISSUING COMPANY					
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80	59. CLASS AND SERIES OF REGISTRATION					
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86	65. CLASS AND SERIES OF REGISTRATION					
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90	69. CLASS AND SERIES OF REGISTRATION					
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98	77. CLASS AND SERIES OF REGISTRATION					
99	78. NAME OF ISSUING COMPANY					
100	79. CLASS AND SERIES OF REGISTRATION					

SEC SCHEDULE  
REVISED

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NOTES PAYABLE

PAGE  OF

21	RESPONDENT CODE	RESPONDENT NAME	NO.	DEPT PERIOD MO. DAY '71	GENERAL FOOTNOTE	SPECIFIC FOOT NOTE
22	1. PAYER NAME					
23	2. DATE OF NOTE					
24	3. NUMBER OF NOTE SERIALS					
25	4. PARTICULARS OF COLLATERAL PROVIDED					
26	5. PARTICULARS OF COMPLETING BALANCE					
27	6. INTEREST RATE					
28	7. PAYER NAME					
29	8. DATE OF NOTE					
30	9. NUMBER OF NOTE SERIALS					
31	10. PARTICULARS OF COLLATERAL PROVIDED					
32	11. PARTICULARS OF COMPLETING BALANCE					
33	12. INTEREST RATE					
34	13. PAYER NAME					
35	14. DATE OF NOTE					
36	15. NUMBER OF NOTE SERIALS					
37	16. PARTICULARS OF COLLATERAL PROVIDED					
38	17. PARTICULARS OF COMPLETING BALANCE					
39	18. INTEREST RATE					
40	19. PAYER NAME					
41	20. DATE OF NOTE					
42	21. NUMBER OF NOTE SERIALS					
43	22. PARTICULARS OF COLLATERAL PROVIDED					
44	23. PARTICULARS OF COMPLETING BALANCE					
45	24. INTEREST RATE					
46	25. PAYER NAME					
47	26. DATE OF NOTE					
48	27. NUMBER OF NOTE SERIALS					
49	28. PARTICULARS OF COLLATERAL PROVIDED					
50	29. PARTICULARS OF COMPLETING BALANCE					
51	30. INTEREST RATE					
52	31. PAYER NAME					
53	32. DATE OF NOTE					
54	33. NUMBER OF NOTE SERIALS					
55	34. PARTICULARS OF COLLATERAL PROVIDED					
56	35. PARTICULARS OF COMPLETING BALANCE					
57	36. INTEREST RATE					
58	37. PAYER NAME					
59	38. DATE OF NOTE					
60	39. NUMBER OF NOTE SERIALS					
61	40. PARTICULARS OF COLLATERAL PROVIDED					
62	41. PARTICULARS OF COMPLETING BALANCE					
63	42. INTEREST RATE					
64	43. PAYER NAME					
65	44. DATE OF NOTE					
66	45. NUMBER OF NOTE SERIALS					
67	46. PARTICULARS OF COLLATERAL PROVIDED					
68	47. PARTICULARS OF COMPLETING BALANCE					
69	48. INTEREST RATE					
70	49. PAYER NAME					
71	50. DATE OF NOTE					
72	51. NUMBER OF NOTE SERIALS					
73	52. PARTICULARS OF COLLATERAL PROVIDED					
74	53. PARTICULARS OF COMPLETING BALANCE					
75	54. INTEREST RATE					
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78	57. NUMBER OF NOTE SERIALS					
79	58. PARTICULARS OF COLLATERAL PROVIDED					
80	59. PARTICULARS OF COMPLETING BALANCE					
81	60. INTEREST RATE					
82	61. PAYER NAME					
83	62. DATE OF NOTE					
84	63. NUMBER OF NOTE SERIALS					
85	64. PARTICULARS OF COLLATERAL PROVIDED					
86	65. PARTICULARS OF COMPLETING BALANCE					
87	66. INTEREST RATE					
88	67. PAYER NAME					
89	68. DATE OF NOTE					
90	69. NUMBER OF NOTE SERIALS					
91	70. PARTICULARS OF COLLATERAL PROVIDED					
92	71. PARTICULARS OF COMPLETING BALANCE					
93	72. INTEREST RATE					
94	73. PAYER NAME					
95	74. DATE OF NOTE					
96	75. NUMBER OF NOTE SERIALS					
97	76. PARTICULARS OF COLLATERAL PROVIDED					
98	77. PARTICULARS OF COMPLETING BALANCE					
99	78. INTEREST RATE					
100	79. PAYER NAME					

SEC SCHEDULE  
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TAXES ACCRUED, PREPAID AND CHARGED DURING YEAR

PAGE  OF

21	RESPONDENT CODE	RESPONDENT NAME	REPT PERIOD MO. JULY 76	GENERAL FOOTNOTE	SPECIFIC FOOTNOTE
22	I. AMOUNT TAX				
23	II. AMOUNT				
24	III. AMOUNT				
25	IV. AMOUNT				
26	V. AMOUNT				
27	VI. AMOUNT				
28	VII. AMOUNT				
29	VIII. AMOUNT				
30	IX. AMOUNT				
31	X. AMOUNT				
32	XI. AMOUNT				
33	XII. AMOUNT				
34	XIII. AMOUNT				
35	XIV. AMOUNT				
36	XV. AMOUNT				
37	XVI. AMOUNT				
38	XVII. AMOUNT				
39	XVIII. AMOUNT				
40	XIX. AMOUNT				
41	XX. AMOUNT				
42	XXI. AMOUNT				
43	XXII. AMOUNT				
44	XXIII. AMOUNT				

PPC SCHEDULE  
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COMPUTATION OF FEDERAL INCOME TAX ACCRUALS FOR THE YEAR

PAGE  OF

61	RESPONDENT CODE	RESPONDENT NAME	REPT PERIOD MO. JULY 76	GENERAL FOOTNOTE	SPECIFIC FOOTNOTE
62	I. AMOUNT TAX				
63	II. AMOUNT				
64	III. AMOUNT				
65	IV. AMOUNT				
66	V. AMOUNT				
67	VI. AMOUNT				
68	VII. AMOUNT				
69	VIII. AMOUNT				
70	IX. AMOUNT				
71	X. AMOUNT				
72	XI. AMOUNT				
73	XII. AMOUNT				
74	XIII. AMOUNT				
75	XIV. AMOUNT				
76	XV. AMOUNT				
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78	XVII. AMOUNT				
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80	XIX. AMOUNT				
81	XX. AMOUNT				
82	XXI. AMOUNT				
83	XXII. AMOUNT				
84	XXIII. AMOUNT				

PPC SCHEDULE  
REVISED

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CONSOLIDATED FEDERAL INCOME TAX RETURN INFORMATION

PAGE  OF

21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44
1. REPORTING CODE		2. REPORTING NAME										3. REPORTING PERIOD		4. GENERAL FOOTNOTES		5. SPECIFIC FOOTNOTES							
1. FEDERAL TAX		2. BASIS OF THE ALLOCATION																					
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3. BASIS OF THE ALLOCATION (LONG)																							
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PPC SCHEDULE REVISED

SAMPLE

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ACCUMULATED DEFERRED INCOME TAXES

PAGE  OF

21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44
1. REPORTING CODE		2. REPORTING NAME										3. REPORTING PERIOD		4. GENERAL FOOTNOTES		5. SPECIFIC FOOTNOTES							
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**ACCELERATED AMORTIZATION OF FACILITIES**

PAGE  OF

REPORTING CODE	REPORTING NAME	REPT PERIOD MO. DAY YR.	GENERAL FOOTNOTE	SPECIFIC FOOTNOTE
1. FACILITY IDENTIFICATION NUMBER	2. FACILITY DESCRIPTION	3. FACILITY AMORTIZATION COST	4. FACILITY TOTAL COST	
5. DATE AMORTIZATION COMMENCED	6. ORIGINAL DEBT DATE	7. TAX RATE - JEREMY FLORIDA	8. TAX DEFERRED TAXES FOR FACILITY	9. BALANCE OF DEFERRED TAXES AMORTIZATION
10. AMOUNT OF PLUM BACK	11. BALANCE OF DEFERRED TAXES AMORTIZATION			

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**TAX DEFERRALS-RELATED TO OTHER PROPERTIES**

PAGE  OF

REPORTING CODE	REPORTING NAME	REPT PERIOD MO. DAY YR.	GENERAL FOOTNOTE	SPECIFIC FOOTNOTE
1. YEAR	2. TOTAL NET DEFERRED	3. PLUM BACKS	4. TAX DEFERRALS-BALANCE	
5. EXPLANATION OF BASIS OF DEFERRALS				

1PC SCHEDULE REVISIONS

SAMPLE

IDENTITY PROTECTED

SAMPLE

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INVESTMENT TAX CREDITS

PAGE  OF

81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 00 01 02 03 04 05 06 07 08 09 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44	1 RESPONDENT CODE	2 RESPONDENT NAME	3 NEXT PERIOD MO. DAY YR.	4 GENERAL FOOTNOTES	5 SPECIFIC FOOTNOTES		
	1 YEAR	2 NAME	3 ADDRESS	4 EMPLOYMENT	5 CREDIT CHARACTER	6 CREDIT UTILIZED	7 CREDIT AMOUNT
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IRC SCHEDULE REVISOR

ADVANCES FOR GAS PRIOR TO INITIAL DELIVERY OF COMMISSION CERTIFICATION

PAGE  OF

81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 00 01 02 03 04 05 06 07 08 09 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44	1 RESPONDENT CODE	2 RESPONDENT NAME	3 NEXT PERIOD MO. DAY YR.	4 GENERAL FOOTNOTES	5 SPECIFIC FOOTNOTES			
	1 ACCOUNT NO.	2 NAME OF PARTY	3 ADDRESS	4 DATE OF ADVANCE	5 DATE OF REPAYMENT	6 ADVANCED DURING YEAR	7 NUMBER OF ADVANCES	8 REPAYMENT OF OTHER DEBITS
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PARTICULARS FOR ESTIMATING DEPRECIATION, DEPLETION AND AMORTIZATION OF UTILITY PLANT

PAGE  OF

01	RESPONDENT CODE			RESPONDENT NAME										REPT PERIOD		GENERAL FOOTNOTE		SPECIFIC FOOTNOTE	
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SAMPLE

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PLANT IN SERVICE OR ALL UTILITY PLANTS

PAGE  OF

01	RESPONDENT CODE			RESPONDENT NAME										REPT PERIOD		GENERAL FOOTNOTE		SPECIFIC FOOTNOTE	
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COMPLETED CONSTRUCTION NOT CLASSIFIED

PAGE  OF

RESPONDENT CODE	RESPONDENT NAME	REPORT PERIOD MO. DAY YR.	GENERAL FOOTNOTE	SPECIFIC FOOTNOTE
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PPC SCHEDULE REVISED

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PLANT PURCHASED OR SOLD

PAGE  OF

RESPONDENT CODE	RESPONDENT NAME	REPORT PERIOD MO. DAY YR.	GENERAL FOOTNOTE	SPECIFIC FOOTNOTE
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PPC SCHEDULE REVISED

SAMPLE

EXPERIMENTAL PLANT UNCLASSIFIED

PAGE  OF

RESPONDENT CODE	RESPONDENT NAME	REPT PERIOD MO. DAY '76	GENERAL FOOTNOTE	SPECIFIC FOOT- NOTE
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1PC SCHEDULE  
REVISED

SAMPLE

UTILITY PLANT LEASED TO OTHERS

PAGE  OF

RESPONDENT CODE	RESPONDENT NAME	REPT PERIOD MO. DAY '76	GENERAL FOOTNOTE	SPECIFIC FOOT- NOTE
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1PC SCHEDULE  
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SAMPLE  
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PLANT AND PRODUCTION PROPERTIES HELD FOR FUTURE USE

PAGE  OF

REPORT CODE	REPORT NAME	REPORT PERIOD MO. DAY YR.	GENERAL FOOTNOTES	SPECIFIC FOOTNOTES
1	DESCRIPTION OF PROPERTY			
2	DATE INCLUDED IN	A. USE DATE	B. DATE OF DESIGN	C. DATE OF YEAR
3	DESCRIPTION OF PROPERTY			
4	DATE INCLUDED IN	A. USE DATE	B. DATE OF DESIGN	C. DATE OF YEAR
5	DESCRIPTION OF PROPERTY			
6	DATE INCLUDED IN	A. USE DATE	B. DATE OF DESIGN	C. DATE OF YEAR
7	DESCRIPTION OF PROPERTY			
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9	DESCRIPTION OF PROPERTY			
10	DATE INCLUDED IN	A. USE DATE	B. DATE OF DESIGN	C. DATE OF YEAR
11	DESCRIPTION OF PROPERTY			
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13	DESCRIPTION OF PROPERTY			
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37	DESCRIPTION OF PROPERTY			
38	DATE INCLUDED IN	A. USE DATE	B. DATE OF DESIGN	C. DATE OF YEAR
39	DESCRIPTION OF PROPERTY			
40	DATE INCLUDED IN	A. USE DATE	B. DATE OF DESIGN	C. DATE OF YEAR
41	DESCRIPTION OF PROPERTY			
42	DATE INCLUDED IN	A. USE DATE	B. DATE OF DESIGN	C. DATE OF YEAR
43	DESCRIPTION OF PROPERTY			
44	DATE INCLUDED IN	A. USE DATE	B. DATE OF DESIGN	C. DATE OF YEAR

PRC SCHEDULE REVISED

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CONSTRUCTION WORK IN PROGRESS

PAGE  OF

REPORT CODE	REPORT NAME	REPORT PERIOD MO. DAY YR.	GENERAL FOOTNOTES	SPECIFIC FOOTNOTES
1	DESCRIPTION OF PROJECT			
2	DESCRIPTION OF PROJECT COSTS			
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PRC SCHEDULE REVISED





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COMMON PLANT AND EXPENSE DATA — PART 1

PAGE  OF

LINE NO.	RESPONDENT CODE	RESPONDENT NAME	REPT PERIOD NO. DAY YR	GENERAL FOOTNOTE	SPECIFIC FOOTNOTE
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PPC SCHEDULE REVISED

CONSTRUCTION OVERHEAD

PAGE  OF

LINE NO.	RESPONDENT CODE	RESPONDENT NAME	REPT PERIOD NO. DAY YR	GENERAL FOOTNOTE	SPECIFIC FOOTNOTE
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PPC SCHEDULE REVISED

SAMPLE

NATURAL GAS RESERVES AND LAND ACREAGE

PAGE  OF

RESPONDENT CODE	RESPONDENT NAME	REPORT PERIOD MO. YEAR	GENERAL FOOTNOTES	SPECIFIC FOOTNOTES
1. REPORT NO.	2. STATE ABBR.	3. OFFSHORE AREA NAME	11. UNDEVELOPED LAND RESERVES	12. UNDEVELOPED LAND COSTS
4. NATIONAL GAS FOLLOW-UP NAME	5. LOCALITY	6. LAND OWNER	13. DEVELOPED LAND RESERVES	14. DEVELOPED LAND COSTS
7. LEASE HOLDING	8. LEASE HOLDING	9. UNDEVELOPED GAS RIGHTS	15. UNDEVELOPED TOTAL	16. UNDEVELOPED TOTAL
17. LEASE HOLDING	18. LEASE HOLDING	19. UNDEVELOPED GAS RIGHTS	20. UNDEVELOPED TOTAL	21. UNDEVELOPED TOTAL
22. LEASE HOLDING	23. LEASE HOLDING	24. UNDEVELOPED GAS RIGHTS	25. UNDEVELOPED TOTAL	26. UNDEVELOPED TOTAL
27. LEASE HOLDING	28. LEASE HOLDING	29. UNDEVELOPED GAS RIGHTS	30. UNDEVELOPED TOTAL	31. UNDEVELOPED TOTAL
32. LEASE HOLDING	33. LEASE HOLDING	34. UNDEVELOPED GAS RIGHTS	35. UNDEVELOPED TOTAL	36. UNDEVELOPED TOTAL
37. LEASE HOLDING	38. LEASE HOLDING	39. UNDEVELOPED GAS RIGHTS	40. UNDEVELOPED TOTAL	41. UNDEVELOPED TOTAL
42. LEASE HOLDING	43. LEASE HOLDING	44. UNDEVELOPED GAS RIGHTS	45. UNDEVELOPED TOTAL	46. UNDEVELOPED TOTAL

PPC SCHEDULE  
REVISED

SAMPLE

SLIGHTLY REPRODUCED COPY

NET REALIZABLE VALUE OF ESTIMATED HYDROCARBON RESERVES

PAGE  OF

RESPONDENT CODE	RESPONDENT NAME	REPORT PERIOD MO. YEAR	GENERAL FOOTNOTES	SPECIFIC FOOTNOTES
1. REPORT NO.	2. STATE ABBR.	3. OFFSHORE AREA NAME	11. UNDEVELOPED LAND RESERVES	12. UNDEVELOPED LAND COSTS
4. NATIONAL GAS FOLLOW-UP NAME	5. LOCALITY	6. LAND OWNER	13. DEVELOPED LAND RESERVES	14. DEVELOPED LAND COSTS
7. LEASE HOLDING	8. LEASE HOLDING	9. UNDEVELOPED GAS RIGHTS	15. UNDEVELOPED TOTAL	16. UNDEVELOPED TOTAL
17. LEASE HOLDING	18. LEASE HOLDING	19. UNDEVELOPED GAS RIGHTS	20. UNDEVELOPED TOTAL	21. UNDEVELOPED TOTAL
22. LEASE HOLDING	23. LEASE HOLDING	24. UNDEVELOPED GAS RIGHTS	25. UNDEVELOPED TOTAL	26. UNDEVELOPED TOTAL
27. LEASE HOLDING	28. LEASE HOLDING	29. UNDEVELOPED GAS RIGHTS	30. UNDEVELOPED TOTAL	31. UNDEVELOPED TOTAL
32. LEASE HOLDING	33. LEASE HOLDING	34. UNDEVELOPED GAS RIGHTS	35. UNDEVELOPED TOTAL	36. UNDEVELOPED TOTAL
37. LEASE HOLDING	38. LEASE HOLDING	39. UNDEVELOPED GAS RIGHTS	40. UNDEVELOPED TOTAL	41. UNDEVELOPED TOTAL
42. LEASE HOLDING	43. LEASE HOLDING	44. UNDEVELOPED GAS RIGHTS	45. UNDEVELOPED TOTAL	46. UNDEVELOPED TOTAL

PPC SCHEDULE  
REVISED

SAMPLE

SECURITY PROTECTED COPY

OPERATING REVENUES						PAGE	OF
RESPONDENT CODE	RESPONDENT NAME	REPORT PERIOD MO. DAY YR.	GENERAL FOOTNOTE	SPECIFIC FOOTNOTE	NOTE		
1. ACCOUNT NUMBER	2. NUMBER OF GAS CUSTOMERS	3. SPECIAL MISC. NATURAL GAS FIELDS	4. NUMBER OF FUEL CUSTOMERS	5. GENERAL MISC. ELECTRICITY FIELDS			
01							
02							
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FPC SCHEDULE  
REVISED

SAMPLE

SECURITY PROTECTED COPY

EXTRAORDINARY ITEMS						PAGE	OF
RESPONDENT CODE	RESPONDENT NAME	REPORT PERIOD MO. DAY YR.	GENERAL FOOTNOTE	SPECIFIC FOOTNOTE	NOTE		
1. ASSET NO.	2. ITEM DESCRIPTION	3. DATE OF COMMISSION	4. UNDER AMOUNT	5. RELATED INCOME TAXES			
01							
02							
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FPC SCHEDULE  
REVISED

PROPOSED RULES

SAMPLE  
SECRETLY REPRODUCED COPY

0000      DETAILED ACCOUNT DATA-PART I      PAGE      OF     

81	RESPONDENT CODE	RESPONDENT NAME	REP PERIOD NO. DAY YR	GENERAL FOOTNOTE	SPECIFIC FOOTNOTE
82	1. REPORT	2. DESCRIPTION	3. PARTICULARS	4. REPORT	5. REPORT
83	1. REPORT	2. DESCRIPTION	3. PARTICULARS	4. REPORT	5. REPORT
84	1. REPORT	2. DESCRIPTION	3. PARTICULARS	4. REPORT	5. REPORT
85	1. REPORT	2. DESCRIPTION	3. PARTICULARS	4. REPORT	5. REPORT
86	1. REPORT	2. DESCRIPTION	3. PARTICULARS	4. REPORT	5. REPORT
87	1. REPORT	2. DESCRIPTION	3. PARTICULARS	4. REPORT	5. REPORT
88	1. REPORT	2. DESCRIPTION	3. PARTICULARS	4. REPORT	5. REPORT
89	1. REPORT	2. DESCRIPTION	3. PARTICULARS	4. REPORT	5. REPORT
90	1. REPORT	2. DESCRIPTION	3. PARTICULARS	4. REPORT	5. REPORT
91	1. REPORT	2. DESCRIPTION	3. PARTICULARS	4. REPORT	5. REPORT
92	1. REPORT	2. DESCRIPTION	3. PARTICULARS	4. REPORT	5. REPORT
93	1. REPORT	2. DESCRIPTION	3. PARTICULARS	4. REPORT	5. REPORT
94	1. REPORT	2. DESCRIPTION	3. PARTICULARS	4. REPORT	5. REPORT

FPC SCHEDULE REVISED

SAMPLE  
SECRETLY REPRODUCED COPY

0000      DETAILED ACCOUNT DATA-PART II      PAGE      OF     

81	RESPONDENT CODE	RESPONDENT NAME	REP PERIOD NO. DAY YR	GENERAL FOOTNOTE	SPECIFIC FOOTNOTE
82	1. REPORT	2. DESCRIPTION	3. PARTICULARS	4. REPORT	5. REPORT
83	1. REPORT	2. DESCRIPTION	3. PARTICULARS	4. REPORT	5. REPORT
84	1. REPORT	2. DESCRIPTION	3. PARTICULARS	4. REPORT	5. REPORT
85	1. REPORT	2. DESCRIPTION	3. PARTICULARS	4. REPORT	5. REPORT
86	1. REPORT	2. DESCRIPTION	3. PARTICULARS	4. REPORT	5. REPORT
87	1. REPORT	2. DESCRIPTION	3. PARTICULARS	4. REPORT	5. REPORT
88	1. REPORT	2. DESCRIPTION	3. PARTICULARS	4. REPORT	5. REPORT
89	1. REPORT	2. DESCRIPTION	3. PARTICULARS	4. REPORT	5. REPORT
90	1. REPORT	2. DESCRIPTION	3. PARTICULARS	4. REPORT	5. REPORT
91	1. REPORT	2. DESCRIPTION	3. PARTICULARS	4. REPORT	5. REPORT
92	1. REPORT	2. DESCRIPTION	3. PARTICULARS	4. REPORT	5. REPORT
93	1. REPORT	2. DESCRIPTION	3. PARTICULARS	4. REPORT	5. REPORT
94	1. REPORT	2. DESCRIPTION	3. PARTICULARS	4. REPORT	5. REPORT

FPC SCHEDULE REVISED



SAMPLE  
QUANTITY REQUIRED COPY

REVENUE FROM TRANSPORTATION OF GAS OF OTHERS—NATURAL GAS

PAGE  OF

RESPONDENT CODE	RESPONDENT NAME	REP PERIOD MO. DAY YR.	GENERAL FOOTNOTE	SPECIFIC FOOT- NOTE
81				
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1. DESCRIPTION  
2. POINT OF DELIVERY  
3. QUANTITY REQUIRED  
4. DISTANCE TRANSPORTED  
5. REVENUE  
6. REVENUE PER MCF  
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44. REVENUE PER MCF

IPC SCHEDULE REVISED

SAMPLE  
QUANTITY REQUIRED COPY

GAS PURCHASES

PAGE  OF

RESPONDENT CODE	RESPONDENT NAME	REP PERIOD MO. DAY YR.	GENERAL FOOTNOTE	SPECIFIC FOOT- NOTE
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1. BUYER'S NAME  
2. BUYER'S ADDRESS  
3. BUYER'S CITY  
4. BUYER'S STATE  
5. BUYER'S ZIP  
6. BUYER'S PHONE  
7. BUYER'S FAX  
8. BUYER'S TELETYPE  
9. BUYER'S CREDIT RATING  
10. BUYER'S CREDIT TERMS  
11. BUYER'S CREDIT PERIOD  
12. BUYER'S CREDIT TYPE  
13. BUYER'S CREDIT RISK  
14. BUYER'S CREDIT HISTORY  
15. BUYER'S CREDIT RECORD  
16. BUYER'S CREDIT RISK  
17. BUYER'S CREDIT HISTORY  
18. BUYER'S CREDIT RECORD  
19. BUYER'S CREDIT RISK  
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41. BUYER'S CREDIT HISTORY  
42. BUYER'S CREDIT RECORD  
43. BUYER'S CREDIT RISK  
44. BUYER'S CREDIT HISTORY

IPC SCHEDULE REVISED

SAMPLE

PROFESSIONAL AND OTHER COMBULATIVE SERVICES

PAGE  OF

RESPONDENT CODE	RESPONDENT NAME	REPORT PERIOD MO. DAY YR.	GENERAL FOOTNOTE	SPECIFIC FOOT- NOTE
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PPC SCHEDULE REVISED

SAMPLE

LEASE RENTAL CHARGES-GENERAL DATA

PAGE  OF

RESPONDENT CODE	RESPONDENT NAME	REPORT PERIOD MO. DAY YR.	GENERAL FOOTNOTE	SPECIFIC FOOT- NOTE
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PPC SCHEDULE REVISED



SAMPLE

REGULATORY COMMISSION EXPENSE

PAGE  OF

RESPONDENT CODE	RESPONDENT NAME	REPORT PERIOD MO. DAY YR.	GENERAL FOOTNOTE	SPECIFIC FOOT- NOTE
1	2	3	4	5
6	7	8	9	10
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51	52	53	54	55
56	57	58	59	60
61	62	63	64	65
66	67	68	69	70
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76	77	78	79	80
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86	87	88	89	90
91	92	93	94	95
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SEC. 101.101-101.102

PC SCHEDULE  
REVISED

SAMPLE

PARTICULARS CONCERNING CERTAIN INCOME ACCOUNTS

PAGE  OF

RESPONDENT CODE	RESPONDENT NAME	REPORT PERIOD MO. DAY YR.	GENERAL FOOTNOTE	SPECIFIC FOOT- NOTE
1	2	3	4	5
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51	52	53	54	55
56	57	58	59	60
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86	87	88	89	90
91	92	93	94	95
96	97	98	99	100

SEC. 101.101-101.102

PC SCHEDULE  
REVISED



SAMPLE

RESEARCH AND DEVELOPMENT ACTIVITIES

PAGE  OF

91	RESPONDENT CODE	RESPONDENT NAME	REPORT PERIOD MO. DAY YR.	GENERAL FOOTNOTES	SPECIFIC FOOTNOTES
92					
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FPC SCHEDULE  
REVISED

SAMPLE

NATURAL GAS PRODUCTION AND GATHERING STATISTICS

PAGE  OF

91	RESPONDENT CODE	RESPONDENT NAME	REPORT PERIOD MO. DAY YR.	GENERAL FOOTNOTES	SPECIFIC FOOTNOTES
92					
93					
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FPC SCHEDULE  
REVISED



SAMPLE

SECURITY REDUCED COPY

GAS ACCOUNT-NATURAL GAS

PAGE  OF

REPORTING CODE	REPORTING NAME	REPORT PERIOD MO. DAY YR.	GENERAL FOOTNOTES		SPECIFIC FOOTNOTES
			1	2	
1	1	1	1	1	1
2	2	2	2	2	2
3	3	3	3	3	3
4	4	4	4	4	4
5	5	5	5	5	5
6	6	6	6	6	6
7	7	7	7	7	7
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11	11	11	11	11	11
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44	44	44	44	44	44

FPC SCHEDULE REVISED

SAMPLE

SECURITY REDUCED COPY

NATURAL GAS PIPELINE COMPANY MONTHLY STATEMENT-REVENUES AND EXPENSES-PART 1

PAGE  OF

REPORTING CODE	REPORTING NAME	REPORT PERIOD MO. DAY YR.	GENERAL FOOTNOTES		SPECIFIC FOOTNOTES
			1	2	
1	1	1	1	1	1
2	2	2	2	2	2
3	3	3	3	3	3
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44	44	44	44	44	44

FPC SCHEDULE REVISED



SAMPLE

GALENTIA, INC. 100-0000-0000

NATURAL GAS PIPELINE COMPANY MONTHLY STATEMENT—SUPPLEMENTAL GAS SUPPLIES AND SELECTED DATA

PAGE  OF

81	RESPONDENT CODE	RESPONDENT NAME	REP. PERIOD MO. DAY YR.	GENERAL ACCOUNT	SPECIFIC FOOT NOTE
82					
83	SUPPLEMENTAL GAS SUPPLIES				
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SELECTED DATA

81	RESPONDENT CODE	RESPONDENT NAME	REP. PERIOD MO. DAY YR.	GENERAL ACCOUNT	SPECIFIC FOOT NOTE
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FPC SCHEDULE  
REVISED

SAMPLE

GALENTIA, INC. 100-0000-0000

NATURAL GAS PIPELINE COMPANY MONTHLY STATEMENT—GAS REVENUES AND PURCHASES

PAGE  OF

81	RESPONDENT CODE	RESPONDENT NAME	REP. PERIOD MO. DAY YR.	GENERAL ACCOUNT	SPECIFIC FOOT NOTE
82					
83					
84					
85					
86					
87	A. AMOUNTS INCLUDED IN MONTHLY REVENUES AND EXPENSES GAS PURCHASES WHICH ARE ATTRIBUTABLE TO RATE INCREASES IN EFFECT BUT NOT FINALLY APPROVED BY FPC				
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PROPOSED RULES

SAMPLE

SECURITY REGISTER

0217

BALANCE SHEET - PART II

PAGE 1111 OF 1111

RESPONDENT CODE	RESPONDENT NAME	REPORT PERIOD MO. DAY YR.	GENERAL ACCOUNT	SPECIAL ACCOUNT
12000	NET UTILITY PLANT			
OTHER PROPERTY AND INVESTMENTS				
12100	NONUTILITY PROPERTY			
12200	ACCUM. PROVISION FOR DEPR. AND AMORT. OF NONUTLY. PROP.			
12300	INVESTMENT IN ASSOCIATED COMPANIES			
12310	INVESTMENT IN SUBSIDIARY COMPANIES			
12400	OTHER INVESTMENTS			
12500	SINKING FUNDS			
12600	DEPRECIATION FUND			
12700	AMORTIZATION FUND-FEDERAL			
12800	OTHER SPECIAL FUNDS			
12900	TOTAL OTHER PROPERTY AND INVESTMENTS			
CURRENT AND ACCRUED ASSETS				
13100	CASH			
13200	INTEREST SPECIAL DEPOSITS			
13300	DIVIDEND SPECIAL DEPOSITS			
13400	OTHER SPECIAL DEPOSITS			
13500	WORKING FUNDS			
13600	TEMPORARY CASH INVESTMENTS			
14100	NOTES RECEIVABLE			
14200	CUSTOMER ACCOUNTS RECEIVABLE			
14300	OTHER ACCOUNTS RECEIVABLE			
14400	ACCUM. PROVISION FOR UNCOLLECTABLE ACCOUNTS-CREDIT			

SEC. REGISTER  
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SAMPLE

SECURITY REGISTER

0218

BALANCE SHEET - PART III

PAGE 1112 OF 1112

RESPONDENT CODE	RESPONDENT NAME	REPORT PERIOD MO. DAY YR.	GENERAL ACCOUNT	SPECIAL ACCOUNT
14500	NOTES RECEIVABLE FROM ASSOCIATED COMPANIES			
14600	ACCOUNTS RECEIVABLE FROM ASSOCIATED COMPANIES			
15100	FUEL STOCK			
15200	FUEL STOCK EXPENSE UNDISTRIBUTED			
15300	RESIDUALS			
15400	PLANT MATERIALS AND OPERATING SUPPLIES			
15500	MERCHANDISE			
15600	OTHER MATERIALS AND SUPPLIES			
15700	NUCLEAR MATERIALS HELD FOR SALE			
16300	STORES EXPENSE UNDISTRIBUTED			
16410	GAS STORED UNDERGROUND-CURRENT			
16420	LIQUEFIED NATURAL GAS STORED			
16500	PREPAYMENTS			
16600	ADVANCES FOR GAS EXPLORATION, DEVELOPMENT AND PROD.			
16700	OTHER ADVANCES FOR GAS			
17100	INTEREST AND DIVIDENDS RECEIVABLE			
17200	RENTS RECEIVABLE			
17300	ACCRUED UTILITY REVENUES			
17400	MISCELLANEOUS CURRENT AND ACCRUED ASSETS			
17900	TOTAL CURRENT AND ACCRUED ASSETS			
18100	UNAMORTIZED DEBT EXPENSE			

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0219 BALANCE SHEET - PART IV

1. ACCOUNT NO.	2. DESCRIPTION	3. AMOUNT	4. DEBIT	5. CREDIT
18200	EXTRAORDINARY PROPERTY LOSSES			
18310	PRELIMINARY SURVEY AND INVESTIGATION CHARGES			
18320	OTHER PRELIMINARY SURVEY AND INVESTIGATION CHARGES			
18400	CLEARING ACCOUNTS			
18500	TEMPORARY FACILITIES			
18600	MISCELLANEOUS DEFERRED DEBITS			
18700	DEFERRED LOSSES FROM DISPOSITION OF UTILITY PLANT			
18800	RESEARCH AND DEVELOPMENT EXPENDITURES			
18900	UNAMORTIZED LOSS ON REACQUIRED DEBT			
19000	ACCUMULATED DEFERRED INCOME TAXES			
19100	UNRECOVERED PURCHASE GAS COSTS			
19890	TOTAL DEFERRED DEBITS			
19990	TOTAL ASSETS AND OTHER DEBITS			

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0219 BALANCE SHEET - PART V

1. ACCOUNT NO.	2. DESCRIPTION	3. AMOUNT	4. DEBIT	5. CREDIT
LIABILITIES AND OTHER CREDITS				
PROPRIETARY CAPITAL				
20100	COMMON STOCK ISSUED			
20200	COMMON STOCK SUBSCRIBED			
20300	COMMON STOCK LIABILITY FOR CONVERSION			
20400	PREFERRED STOCK ISSUED			
20500	PREFERRED STOCK SUBSCRIBED			
20600	PREFERRED STOCK LIABILITY FOR CONVERSION			
20700	PREMIUM ON CAPITAL STOCK			
20800	DONATIONS RECEIVED FROM STOCKHOLDERS			
20900	REDUCTION IN PART OR STATED VALUE OF CAPITAL STOCK			
21000	GAIN OR RESALE OR CANCELLATION OF REACQUIRED CAP. STOCK			
21100	MISCELLANEOUS PAID-IN CAPITAL			
21200	INSTALLMENTS RECEIVED ON CAPITAL STOCK			
21300	DISCOUNT ON CAPITAL STOCK			
21400	CAPITAL STOCK EXPENSE			
21500	APPROPRIATED RETAINED EARNINGS			
21600	UNAPPROPRIATED RETAINED EARNINGS			
21610	UNAPPROPRIATED UNDISTRIBUTED SUBSIDIARY EARNINGS			
21700	REACQUIRED CAPITAL STOCK			
21990	TOTAL PROPRIETARY CAPITAL			

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PROPOSED RULES

SAMPLE

0223 BALANCE SHEET - PART VI PAGE 1 OF 1

RESPONDENT CODE	RESPONDENT NAME	REPORT PERIOD NO. DATE YR	GENERAL CATEGORY	REPORTING UNIT
1. ACCOUNT NO.	2. DESCRIPTION	3. AMOUNT		
LONG-TERM DEBT				
22100	BONDS			
22200	REACQUIRED BONDS			
22300	ADVANCES FROM ASSOCIATED COMPANIES			
22400	OTHER LONG-TERM DEBT			
22500	UNAMORTIZED PREMIUM ON LONG-TERM DEBT			
22600	UNAMORTIZED DISCOUNT ON LONG-TERM DEBT-DEBT			
22900	TOTAL LONG TERM DEBT			
23100	NOTES PAYABLE			
23200	ACCOUNTS PAYABLE			
23300	NOTES PAYABLE TO ASSOCIATED COMPANIES			
23400	ACCOUNTS PAYABLE TO ASSOCIATED COMPANIES			
23500	CUSTOMER DEPOSITS			
23600	TAXES ACCRUED			
23700	INTEREST ACCRUED			
23800	DIVIDENDS DECLARED			
23900	MATURED LONG-TERM DEBT			
24000	MATURED INTEREST			
24100	TAX COLLECTIONS PAYABLE			
24200	MISCELLANEOUS CURRENT AND ACCRUED LIABILITIES			
24900	TOTAL CURRENT AND ACCRUED LIABILITIES			

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SAMPLE

0224 BALANCE SHEET - PART VII PAGE 1 OF 1

RESPONDENT CODE	RESPONDENT NAME	REPORT PERIOD NO. DATE YR	GENERAL CATEGORY	REPORTING UNIT
1. ACCOUNT NO.	2. DESCRIPTION	3. AMOUNT		
DEFERRED CREDITS				
25200	CUSTOMER ADVANCES FOR CONSTRUCTION			
25300	OTHER DEFERRED CREDITS			
25400	ACCUMULATED DEFERRED INVESTMENT TAX CREDITS			
25500	DEFERRED GAINS FROM DISPOSITION OF UTILITY PLANT			
25700	UNAMORTIZED GAIN ON REACQUIRED DEBT			
26100	ACCU. DEFERRED INCOME TAXES-ACCELERATED AMORT. PROP.			
26200	ACCU. DEFERRED INCOME TAXES-OTHER PROPERTY			
26300	ACCU. DEFERRED INCOME TAXES-OTHER			
26400	TOTAL DEFERRED CREDITS			
OPERATING RESERVES				
26500	PROPERTY INSURANCE RESERVE			
26600	LIABILITIES AND DAMAGES RESERVE			
26700	PENSIONS AND BENEFITS RESERVE			
26800	AMORTIZATION RESERVE-FEDERAL			
26900	MISCELLANEOUS OPERATING RESERVES			
26900	TOTAL OPERATING RESERVES			
26900	TOTAL LIABILITIES AND OTHER CREDITS			

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PROPOSED RULES

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0223 STATEMENT OF INCOME FOR THE YEAR - PART I

RESPONDENT CODE		RESPONDENT NAME		NET PERIOD	GENERAL	OPERATING
1. ACCOUNT NO.	2. DESCRIPTION			AND PERIOD	ACCOUNT	ACCOUNT
TOTAL UTILITY OPERATING INCOME						
OPERATING REVENUES:						
40000	OPERATING REVENUES					
41200	REVENUES FROM PLANT LEASED TO OTHERS					
OPERATING EXPENSES:						
40100	OPERATING EXPENSE					
40200	MAINTENANCE EXPENSE					
40300	DEPRECIATION EXPENSE					
40400	AMORTIZATION OF LIMITED-TERM PLANT					
40410	AMORT AND DEPLETION OF NATURAL GAS LAND AND LAND RIGHTS					
40420	AMORT OF UNDERGROUND STORAGE LAND AND LAND RIGHTS					
40430	AMORTIZATION OF OTHER LIMITED-TERM GAS PLANT					
40500	AMORTIZATION OF OTHER PLANT					
40600	AMORTIZATION OF PLANT ACQUISITION ADJUSTMENTS					
40710	AMORTIZATION OF PROPERTY LOSSES					
40720	AMORTIZATION OF CONVERSION EXPENSES					
40810	TAXES OTHER THAN INCOME TAXES-UTILITY OPERATING INCOME					
40910	INCOME TAXES-UTILITY OPERATING INCOME					
41010	PROV FOR DEFERRED INC TAXES-UTILITY OPERATING INCOME					
41110	PROV FOR DEF INC TAXES-CREDIT UTILITY OPERATING INCOME					
41140	INVESTMENT TAX CREDIT ADJ-UTILITY OPERATIONS					
41160	GAINS FROM DISPOSITION OF UTILITY PLANT					

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0224 STATEMENT OF INCOME FOR THE YEAR - PART II

RESPONDENT CODE		RESPONDENT NAME		NET PERIOD	GENERAL	OPERATING
1. ACCOUNT NO.	2. DESCRIPTION			AND PERIOD	ACCOUNT	ACCOUNT
41140	LOSSES FROM DISPOSITION OF UTILITY PLANT					
41300	EXPENSES OF PLANT LEASED TO OTHERS					
41390	TOTAL UTILITY OPERATING EXPENSES					
41490	NET UTILITY OPERATING INCOME					
OTHER INCOME AND DEDUCTIONS						
OTHER INCOME:						
41500	REV FROM MERCHANDISING JOBBING AND CONTRACT WORK					
41600	COSTS AND EXPENSES OF MERCH JOBBING AND CONTRACT WK					
41700	REVENUES FROM NONUTILITY OPERATIONS					
41710	EXPENSES OF NONUTILITY OPERATIONS					
41800	NONOPERATING RENTAL INCOME					
41810	EQUITY IN EARNINGS OF SUBSIDIARY COMPANIES					
41900	INTEREST AND DIVIDEND INCOME					
41910	ALLOWANCE FOR FUNDS USED DURING CONSTRUCTION					
42100	MISCELLANEOUS NONOPERATING INCOME					
42110	GAINS ON DISPOSITION OF PROPERTY					
42190	TOTAL OTHER INCOME					
OTHER INCOME DEDUCTIONS						
42120	LOSSES ON DISPOSITION OF PROPERTY					
42500	MISCELLANEOUS AMORTIZATION					
42610	DONATIONS					
42620	LIFE INSURANCE					
42630	PENALTIES					

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0423 STATEMENT OF INCOME FOR THE YEAR - PART III

RESPONDENT CODE	RESPONDENT NAME	LEFT PERIOD MO. DAY YR.	GENERAL EXERCISE	PERSONAL STATUS
1. ACCOUNT NO.	2. DESCRIPTION	3. AMOUNT		
42640	EXPEND FOR CERTAIN CIVIC POL AND RELATED ACTIVITIES			
42650	OTHER DEDUCTIONS			
42670	TOTAL OTHER INCOME DEDUCTIONS			
TAXES APPLICABLE TO OTHER INCOME AND DEDUCTIONS				
40820	TAXES OTHER THAN INCOME TAXES-OTHER INC AND DEDUCTIONS			
40920	INCOME TAXES-OTHER INCOME AND DEDUCTIONS			
41020	PROV FOR DEFERRED INC TAXES-CREDIT-OTHER INC AND DED			
41120	PROV FOR DEFERRED INC TAXES-CREDIT-OTHER INC AND DED			
41150	INVESTMENT TAX CREDIT ADJUSTMENTS-NONUTILITY OPERATIONS			
42000	INVESTMENT TAX CREDITS			
42680	TOTAL TAXES ON OTHER INCOME AND DEDUCTIONS			
42690	NET OTHER INCOME AND DEDUCTIONS			
42700	INTEREST ON LONG-TERM DEBT			
42800	AMORTIZATION OF DEBT DISCOUNT AND EXPENSES			
42810	AMORTIZATION OF LOSSES ON REACQUIRED DEBT			
42900	AMORTIZATION OF PREMIUM ON DEBT-CREDIT			
42910	AMORTIZATION OF GAIN ON REACQUIRED DEBT-CREDIT			
43000	INTEREST ON DEBT TO ASSOCIATED COMPANIES			
43100	OTHER INTEREST EXPENSE			
43190	TOTAL INTEREST CHARGES			
43200	INCOME BEFORE EXTRAORDINARY ITEMS			

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0425 STATEMENT OF INCOME FOR THE YEAR - PART IV

RESPONDENT CODE	RESPONDENT NAME	LEFT PERIOD MO. DAY YR.	GENERAL EXERCISE	PERSONAL STATUS
1. ACCOUNT NO.	2. DESCRIPTION	3. AMOUNT		
EXTRAORDINARY ITEMS				
43200	EXTRAORDINARY INCOME			
43500	EXTRAORDINARY DEDUCTIONS			
43580	NET EXTRAORDINARY ITEMS			
40930	INCOME TAXES-EXTRAORDINARY ITEMS			
43590	EXTRAORDINARY ITEMS AFTER INCOME TAXES			
43300	BALANCE TRANSFERRED FROM NET INCOME			

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0227 STATEMENT OF INCOME FOR THE YEAR - PART V

1. ACCOUNT NO.	2. DESCRIPTION	3. AMOUNT	4. DEBIT	5. CREDIT
<b>UTILITY OPERATING INCOME-ELECTRIC/GAS DEPARTMENT</b>				
<b>OPERATING REVENUES:</b>				
40009	OPERATING REVENUES			D
<b>OPERATING EXPENSES:</b>				
40102	OPERATING EXPENSES			D
40001	MAINTENANCE EXPENSE			D
40301	DEPRECIATION EXPENSE			D
40401	AMORTIZATION OF LIMITED-TERM PLANT			D
40411	AMORT AND DEPLETION OF NATURAL GAS LAND AND LAND RIGHTS			D
40421	AMORT OF UNDERGROUND STORAGE LAND AND LAND RIGHTS			D
40431	AMORTIZATION OF OTHER LIMITED-TERM GAS PLANT			D
40501	AMORTIZATION OF OTHER PLANT			D
40601	AMORTIZATION OF PLANT ACQUISITION ADJUSTMENTS			D
40711	AMORTIZATION OF PROPERTY LOSSES			D
40721	AMORTIZATION OF CONVERSION EXPENSES			D
40811	TAXES OTHER THAN INCOME TAXES-UTILITY OPERATING INCOME			D
40911	INCOME TAXES-UTILITY OPERATING INCOME			D
41011	PROV FOR DEFERRED INC TAXES-UTILITY OPERATING INCOME			D
41111	PROV FOR DEF INC TAXES-CREDIT-UTILITY OPERATING INCOME			D
41141	INVESTMENT TAX CREDIT ADJ-UTILITY OPERATIONS			D
41161	GAINS FROM DISPOSITION OF UTILITY PLANT			D
41171	LOSSES FROM DISPOSITION OF UTILITY PLANT			D

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0228 STATEMENT OF INCOME FOR THE YEAR - PART VI

1. ACCOUNT NO.	2. DESCRIPTION	3. AMOUNT	4. DEBIT	5. CREDIT
41391	TOTAL UTILITY OPERATING EXPENSES			D
41401	NET UTILITY OPERATING INCOME			D

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PROPOSED RULES

SAMPLE

UTILITY REGULATORY BOARD

SAMPLE

UTILITY REGULATORY BOARD

0229

STATEMENT OF INCOME FOR THE YEAR - PART VII

PAGE 1 OF 1

RESPONDENT CODE	RESPONDENT NAME	REP PERIOD	GENERAL ACCOUNT	AMOUNT
1.	2. DESCRIPTION			3.
UTILITY OPERATING INCOME-ELECTRIC/GAS DEPARTMENT				
OPERATING REVENUES:				
40002	OPERATING REVENUES			
OPERATING EXPENSES:				
40102	OPERATING EXPENSES			
40202	MAINTENANCE EXPENSE			
40302	DEPRECIATION EXPENSE			
40402	AMORTIZATION OF LIMITED-TERM PLANT			
40412	AMORT AND DEPLETION OF NATURAL GAS LAND AND LAND RIGHTS			
40422	AMORT OF UNDERGROUND STORAGE LAND AND LAND RIGHTS			
40432	AMORTIZATION OF OTHER LIMITED-TERM GAS PLANT			
40502	AMORTIZATION OF OTHER PLANT			
40602	AMORTIZATION OF PLANT ACQUISITION ADJUSTMENTS			
40712	AMORTIZATION OF PROPERTY LOSSES			
40722	AMORTIZATION OF CONVERSION EXPENSES			
40812	TAXES OTHER THAN INCOME TAXES-UTILITY OPERATING INCOME			
40912	INCOME TAXES-UTILITY OPERATING INCOME			
41012	PROV FOR DEFERRED INC TAXES-UTILITY OPERATING INCOME			
41112	PROV FOR DEF INC TAXES-CREDIT-UTILITY OPERATING INCOME			
41122	INVESTMENT TAX CREDIT ADJ-UTILITY OPERATIONS			
41162	GAINS FROM DISPOSITION OF UTILITY PLANT			
41172	LOSSES FROM DISPOSITION OF UTILITY PLANT			

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0230

STATEMENT OF INCOME FOR THE YEAR - PART VIII

PAGE 1 OF 1

RESPONDENT CODE	RESPONDENT NAME	REP PERIOD	GENERAL ACCOUNT	AMOUNT
1.	2. DESCRIPTION			3.
41921	TOTAL UTILITY OPERATING EXPENSES			
41922	NET UTILITY OPERATING INCOME			

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SAMPLE

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0251 STATEMENT OF INCOME FOR THE YEAR - PART IX

RESPONDENT CODE	RESPONDENT NAME	REPORT PERIOD	GENERAL ACCOUNTING	REVENUE	EXPENSE
1. ACCOUNT NO.	2. DESCRIPTION	3. AMOUNT			
UTILITY OPERATING INCOME-STEAM DEPARTMENT					
OPERATING REVENUES:					
40004	OPERATING REVENUES				D
OPERATING EXPENSES:					
40104	OPERATING EXPENSE				D
40204	MAINTENANCE EXPENSE				D
40304	DEPRECIATION EXPENSE				D
40404	AMORTIZATION OF LIMITED-TERM PLANT				D
40414	AMORT AND DEPLETION OF NATURAL GAS LAND AND LAND RIGHTS				D
40424	AMORT OF UNDERGROUND STORAGE LAND AND LAND RIGHTS				D
40434	AMORTIZATION OF OTHER LIMITED-TERM GAS PLANT				D
40504	AMORTIZATION OF OTHER PLANT				D
40604	AMORTIZATION OF PLANT ACQUISITION ADJUSTMENTS				D
40704	AMORTIZATION OF PROPERTY LOSSES				D
40724	AMORTIZATION OF CONVERSION EXPENSES				D
40814	TAXES OTHER THAN INCOME TAXES-UTILITY OPERATING INCOME				D
40914	INCOME TAXES-UTILITY OPERATING INCOME				D
41014	PROV FOR DEFERRED INC TAXES-UTILITY OPERATING INCOME				D
41112	PROV FOR DEF INC TAXES-CREDIT-UTILITY OPERATING INCOME				D
41144	INVESTMENT TAX CREDIT ADJ-UTILITY OPERATIONS				D
41344	GAINS FROM DISPOSITION OF UTILITY PLANT				D
41374	LOSSES FROM DISPOSITION OF UTILITY PLANT				D

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SAMPLE

0252 STATEMENT OF INCOME FOR THE YEAR - PART X

RESPONDENT CODE	RESPONDENT NAME	REPORT PERIOD	GENERAL ACCOUNTING	REVENUE	EXPENSE
1. ACCOUNT NO.	2. DESCRIPTION	3. AMOUNT			
41304	TOTAL UTILITY OPERATING EXPENSES				D
41304	NET UTILITY OPERATING INCOME				D

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PROPOSED RULES

SAMPLE

STANDARD INDUSTRIAL CLASSIFICATION

SAMPLE

STANDARD INDUSTRIAL CLASSIFICATION

0295 STATEMENT OF INCOME FOR THE YEAR - PART XI Page 1000 of 1000

RESPONSE CODE	RESPONSE NAME	REPORT PERIOD NO. YEAR	GENERAL ACCOUNT	REPORTING UNIT
1. ACCOUNT NO.	2. DESCRIPTION	3. AMOUNT		
	UTILITY OPERATING INCOME-OTHER DEPARTMENT			
	OPERATING REVENUES:			
40005	OPERATING REVENUES			D
	OPERATING EXPENSES:			
40105	OPERATING EXPENSE			D
40205	MAINTENANCE EXPENSE			D
40305	DEPRECIATION EXPENSE			D
40405	AMORTIZATION LIMITED-TERM PLANT			D
40415	AMORT AND DEPLETION OF NATURAL GAS LAND AND LAND RIGHTS			D
40425	AMORT OF UNDERGROUND STORAGE LAND AND LAND RIGHTS			D
40435	AMORTIZATION OF OTHER LIMITED-TERM GAS PLANT			D
40505	AMORTIZATION OF OTHER PLANT			D
40605	AMORTIZATION OF PLANT ACQUISITION ADJUSTMENTS			D
40715	AMORTIZATION OF PROPERTY LOSSES			D
40725	AMORTIZATION OF CONVERSION EXPENSES			D
40815	TAXES OTHER THAN INCOME TAXES-UTILITY OPERATING INCOME			D
40915	INCOME TAXES-UTILITY OPERATING INCOME			D
41015	PROV FOR DEFERRED INC TAXES-UTILITY OPERATING INCOME			D
41115	PROV FOR DEF INC TAXES-CREDIT UTILITY OPERATING INCOME			D
41145	INVESTMENT TAX CREDIT ADJ-UTILITY OPERATIONS			D
41165	GAINS FROM DISPOSITION OF UTILITY PLANT			D
41175	LOSSES FROM DISPOSITION OF UTILITY PLANT			D

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0296 STATEMENT OF INCOME FOR THE YEAR - PART XII Page 1000 of 1000

RESPONSE CODE	RESPONSE NAME	REPORT PERIOD NO. YEAR	GENERAL ACCOUNT	REPORTING UNIT
1. ACCOUNT NO.	2. DESCRIPTION	3. AMOUNT		
57395	TOTAL UTILITY OPERATING EXPENSES			D
57495	NET UTILITY OPERATING INCOME			D

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SAMPLE SIMULTANEOUS REVISION COPY

0235		STATEMENT OF RETAINED EARNINGS FOR THE YEAR			PAGE 1111 OF 1111	
RESPONDENT CODE	RESPONDENT NAME	REPORT PERIOD MO. DAY YR.	GENERAL ACCOUNT	SECTION 4 PART 1011		
1. ACCOUNT NO.	2. DESCRIPTION	3. AMOUNT				
UNAPPROPRIATED RETAINED EARNINGS (ACCOUNT 216)						
21630	UNAPPROPRIATED RETAINED EARNINGS					D
43910	ADJUSTMENTS TO RETAINED EARNINGS-CREDITS					D
43920	ADJUSTMENTS TO RETAINED EARNINGS-DEBITS					D
43300	BALANCE TRANSFERRED FROM NET INCOME					D
43600	APPROPRIATIONS OF RETAINED EARNINGS					D
43700	DIVIDENDS DECLARED PREFERRED STOCK					D
43800	DIVIDENDS DECLARED COMMON STOCK					D
21620	TRANSFERRED FROM ACCOUNT 216.10 UNAPP. UNDIS. SUB. EARNINGS					D
21600	UNAPPROPRIATED RETAINED EARNINGS-END OF YEAR					D
APPROPRIATED RETAINED EARNINGS (ACCOUNT 215)						
21500	APPROPRIATED RETAINED EARNINGS					D
21690	TOTAL RETAINED EARNINGS ACCOUNT 215 AND 216					D

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SAMPLE SIMULTANEOUS REVISION COPY

0236		STATEMENT OF CHANGES IN FINANCIAL POSITION - PART I			PAGE 1111 OF 1111	
RESPONDENT CODE	RESPONDENT NAME	REPORT PERIOD MO. DAY YR.	GENERAL ACCOUNT	SECTION 4 PART 1011		
1. ACCOUNT NO.	2. DESCRIPTION	3. AMOUNT				
SOURCES OF FUNDS						
FUNDS FROM OPERATIONS						
01000	NET INCOME					D
01010	PRINCIPAL NON CASH CHARGES TO INCOME					D
01010	DEPRECIATION AND DEPLETION					D
01020	AMORTIZATION OF UTILITY PLANT					D
01030	PROVISION FOR DEFERRED OR FUTURE INCOME TAXES NET					D
01040	INVESTMENT TAX CREDIT ADJUSTMENTS					D
01050	ALLOWANCE FOR FUNDS USED CONSTRUCTION					D
01060	INCREASE IN UNDISTRIBUTED SUBSIDIARY EARNINGS					D
01070	AMORTIZATION OTHER					D
01080	OTHER NET					D
01090	TOTAL FROM OPERATIONS					D
FUNDS FROM OUTSIDE SOURCES						
01100	LONG TERM DEBT					D
01110	PREFERRED STOCK					D
01120	COMMON STOCK					D
01130	POLLUTION CONTROL BONDS					D
01140	NET INCREASE IN SHORT TERM DEBT					D
01150	OTHER NET					D
01160	TOTAL FUNDS FROM OUTSIDE SOURCES					D
OTHER SOURCES						
01170	SALE OF NON CURRENT ASSETS INVESTMENTS					D
01180	SALE OF NON CURRENT ASSETS FIXED ASSETS					D

FTC SCHEDULE REVISED

SAMPLE

0217		STATEMENT OF CHANGES IN FINANCIAL POSITION - PART II				PAGE	OF
DEPENDENT CODE	DEPENDENT NAME	REPLACED NO. DATE	GENERAL CATEGORY	PLACEMENT CODE			
1. ACCOUNT NO.	2. DESCRIPTION			3. AMOUNT			
01200	SALE OF NON-CURRENT ASSETS-OTHER						
01200	CONTRIBUTIONS FROM ASSOCIATED AND SUBSIDIARY COMPANIES						
01210	DECREASE IN WORKING CAPITAL						
01220	DECREASE IN DEFERRED DEBITS						
01230	OTHER NET						
01240	TOTAL SOURCES OF FUNDS						
APPLICATION OF FUNDS							
CONSTRUCTION AND PLANT EXPENDITURES							
01250	GROSS ADDITIONS TO UTILITY PLANT						
01260	GROSS ADDITIONS TO NONUTILITY PLANT						
01270	OTHER PLANT EXPENDITURES						
01280	TOTAL APPLICATION TO CONSTRUCTION AND PLANT						
01290	DIVIDENDS ON PREFERRED STOCK						
01300	DIVIDENDS ON COMMON STOCK						
FUNDS FOR RETIREMENT OF SECURITIES AND DEBT							
01310	LONG TERM DEBT						
01320	PREFERRED STOCK						
01330	REDEMPTION OF CAPITAL STOCK						
01340	NET DECREASE IN SHORT TERM DEBT						
01350	OTHER DEBT NET						
01360	TOTAL FUNDS FOR RETIREMENT OF SECURITIES AND DEBT						
OTHER APPLICATIONS							
01370	PURCHASE OF NON-CURRENT ASSETS INVESTMENTS						
01380	PURCHASE OF NON-CURRENT ASSETS FIXED ASSETS						

SEC SCHEDULES REVISED

SAMPLE

0238		STATEMENT OF CHANGES IN FINANCIAL POSITION - PART III				PAGE	OF
DEPENDENT CODE	DEPENDENT NAME	REPLACED NO. DATE	GENERAL CATEGORY	PLACEMENT CODE			
1. ACCOUNT NO.	2. DESCRIPTION			3. AMOUNT			
01400	PURCHASE OF NON-CURRENT ASSETS-OTHER						
01400	INVESTMENTS IN AND ADVANCES TO ASSOC. AND SUB. COMPANIES						
01410	INCREASE IN WORKING CAPITAL						
01420	INCREASE IN DEFERRED DEBITS						
01430	OTHER-NET						
01440	TOTAL APPLICATION OF FUNDS						

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STATISTICAL OPERATING DATA

PAGE  OF

83	RESPONDENT CODE		RESPONDENT NAME		REPT PERIOD		GENERAL FOOTNOTE		84
	MO.	DAY	MO.	DAY	MO.	DAY	MO.	DAY	
85									
86									
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PC SCHEDULE REVISED.

SAMPLE  
SLIGHTLY REPRODUCED

FOOTNOTES TO IFC PUBLIC USE SCHEDULES

PAGE  OF

85	RESPONDENT CODE		RESPONDENT NAME		REPT PERIOD		GENERAL FOOTNOTE		86
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PC SCHEDULE REVISED.



# **federal register**

**WEDNESDAY, SEPTEMBER 8, 1976**



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**PART III:**

**GENERAL  
SERVICES  
ADMINISTRATION**

■  
**PRIVACY ACT OF 1974**

*Systems of Records*

## GSA/OAD 1

**System name:** Standards of Conduct Files GSA/OAD

**System location:** The system is located in the personnel offices of GSA at the addresses listed in the Appendix for the Office of Personnel following the notice, GSA/OAD 22, the Central Office, Office of Personnel at 18th & F Sts., NW, Washington, DC 20405, and the offices of supervisors and management officials who have a need for information relating to an employee's outside employment.

**Categories of individuals covered by the system:** All employees who have requested permission to engage in outside employment, received a copy of the standards of conduct, or have been the object of debt complaints.

**Categories of records in the system:**

1. Application to engage in outside employment, business or professional activities (GSA Form 1974).

2. Standards of Conduct.

3. Acknowledgement of receipt of GSA Standards of Conduct (GSA Form 2160).

4. Debt complaint files.

These records are maintained for the purpose of identifying employees who have received a copy of the standards of conduct, permission to engage in outside employment, or who have been the subject of debt complaints.

**Authority for maintenance of the system:** The records within this system are authorized by Executive Order 11222.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The Routine Uses of these Records, as defined in 5 U. S. C. 552a (a)(7) and provided for in 5 U.S.C. 552a (b)(3), are described in the appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper records in file cabinets.

**Retrievability:** Filed alphabetically at each location by name.

**Safeguards:** When not in use by an authorized person, these records are stored in lockable metal file cabinets or in secured rooms.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The Director of Personnel at 18th & F Sts., NW, Washington, DC 20405.

**Notification procedure:** Current employees may obtain information about whether they are a part of this system of records from their supervisor or from their personnel officer at the appropriate address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22 or from the Director of Personnel at the address noted above, whichever applicable. Former employees may obtain information from the personnel officers at the addresses listed in the appendix.

**Record access procedures:** Requests from current employees to gain access to information pertaining to them should be directed to their supervisor or to their personnel officer at the appropriate address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22, or to the Director of Personnel at the address noted above, whichever applicable. Former employees should direct requests to gain access to information pertaining to them to the appropriate personnel officer at the address listed in the appendix. For identification requirements refer to the agency regulations as outlined in 41 CFR 105-64.

**Contesting record procedures:** GSA rules for access to records and for contesting the contents and appealing initial determination are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** Employees requesting permission to engage in outside employment; firms and/or collection agencies filing debt complaints; supervisors and management officials.

## GSA/OAD 2

**System name:** Employee Appraisal Files. GSA/OAD

**System location:** The system is located in the personnel offices of GSA at the addresses listed in the Appendix for the Office of Personnel following the notice, GSA/OAD 22, and the Central Office, Office of Personnel at 18th & F Sts., NW., Washington, DC 20405.

**Categories of individuals covered by the system:** All GSA employees.

**Categories of records in the system:**

1. Performance ratings (including GSA Form 2892, Employee Performance Rating and Assessment Relevant to Promotion Potential.

2. Records of performance discussions.

3. Records of performance.

4. Documentation for ratings.

5. Recommendations for performance awards.

The above records are maintained for the purpose of carrying out employee appraisal responsibilities.

**Authority for maintenance of the system:** 5U.S.C. 4301.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Performance ratings may be made available to prospective employers within or outside the agency in connection with a job application. Other routine uses are as described in the Appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper records in file drawers or cabinets.

**Retrievability:** Filed alphabetically at each location by name.

**Safeguards:** When not in use by an authorized person, these records are stored in lockable metal file cabinets or in secured rooms.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** Director of Personnel at 18th & F Sts., NW, Washington, DC 20405

**Notification procedure:** Current employees may obtain information about whether they are part of this system of records from their supervisor or from their personnel officer at the appropriate address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22 or from the Director of Personnel at the address noted above, whichever applicable. Former employees may obtain information from the personnel officers at the addresses listed in the Appendix.

**Record access procedures:** Requests from current employees to gain access to information pertaining to them should be directed to their supervisor or to their personnel officer at the appropriate address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22 or to the Director of Personnel at the address noted above, whichever applicable. Former employees should direct requests to gain access to information pertaining to them to the appropriate personnel officer at the address listed in the Appendix. For identification requirements refer to the agency regulations as outlined in 41 CFR 105-64.

**Contesting record procedures:** GSA rules for access to records and for contesting the contents and appealing initial determination are promulgated in 41 CFR 105-64 published in the Federal Register.

**Record source categories:** Employee supervisors.

## GSA/OAD 3

**System name:** Fund Raising Campaigns Files GSA/OAD

**System location:** The system is located in the personnel offices of GSA at the addresses listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22, and all campaign officials' offices in all Regional and Central Office Services and Staff Offices.

**Categories of individuals covered by the system:** GSA employees who have made voluntary contributions to the Combined Federal Campaign and other fund raising campaigns.

**Categories of records in the system:**

1. Combined Federal Campaign reports.

## 2. United Givers Fund reports.

## 3. Other one-time campaign records.

These records are maintained in order to record those employees who have contributed to campaigns and to prepare necessary campaign statistics.

**Authority for maintenance of the system:** Executive Order 10927.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The Routine Uses of these Records, as defined in 5 U.S.C. 552a(a)(7) and provided for in 5 U.S.C. 552a(b)(3), are described in the Appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper records in file cabinets.

**Retrievability:** Filed alphabetically at each location by name.

**Safeguards:** When not in use by an authorized person, these records are stored in lockable metal file cabinets or in secured rooms.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The Director of Personnel at 18th and F Streets, NW, Washington, DC 20405.

**Notification procedure:** Current employees may obtain information about whether they are a part of this system of records from their supervisor or from their personnel officer at the appropriate address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22, or from the Director of Personnel at the address noted above, whichever applicable. Former employees may obtain information from the personnel officers at the addresses listed in the appendix.

**Record access procedures:** Requests from current employees to gain access to information pertaining to them should be directed to their supervisor or to their personnel officer at the appropriate address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22, or to the Director of Personnel at the address noted above, whichever applicable. Former employees should direct requests to gain access to information pertaining to them to the appropriate personnel officer at the address listed in the appendix. For identification requirements refer to the agency regulations as outlined in 41 CFR 105-64.

**Contesting record procedures:** GSA rules for access to records and for contesting the contents and appealing initial determination are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** Campaign officials preparing fund raising campaign records and statistics, based on voluntary contributions and pledges of employees.

**GSA/OAD 4**

**System name:** Employee Drug Abuse (including alcoholism) Files GSA/OAD

**System location:** The system is located in the personnel offices of GSA at the addresses listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22, the Central Office, Office of Personnel at 18th & F Sts., NW, Washington, DC 20405, in the offices of designated counselors, and in the offices of supervisors who have had employees suspected or known to have drug abuse problems (including alcoholism).

**Categories of individuals covered by the system:** GSA employees who have been suspected or known to have drug abuse problems.

**Categories of records in the system:**

1. Records of referrals for counseling
  2. Records of counseling
  3. Records of referrals for rehabilitative assistance
- The above records are maintained for the purpose of:

(1) documenting that supervisors have properly dealt with employees whose work is affected by drug abuse

(2) aiding counselors in their efforts to assist employees

(3) providing a basis for meeting report requirements to the Civil Service Commission

**Authority for maintenance of the system:**

1. PL 92-555

2. 5 USC 7901

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The Routine Uses of these Records, as defined in 5 U.S.C. 552a(a)(7) and provided for in 5 U.S.C. 552a(b)(3), are described in the appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper records in file drawers or cabinets.

**Retrievability:** Filed alphabetically at each location by name.

**Safeguards:** When not in use by an authorized person, these records are stored in lockable metal file cabinets or in secured rooms.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820 2)

**System manager(s) and address:** The Director of Personnel at 18th & F Sts., NW, Washington, DC 20405.

**Notification procedure:** Current employees may obtain information about whether they are a part of this system of records from their supervisor or from their personnel officer at the appropriate address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22, or from the Director of Personnel at the address noted above, whichever applicable. Former employees may obtain information from the personnel officers at the addresses listed in the Appendix.

**Record access procedures:** Requests from current employees to gain access to information pertaining to them should be directed to their supervisor or to their personnel officer at the appropriate address listed in the Appendix for the Office of Personnel following the GSA/OAD notices, or to the Director of Personnel at the address noted above, whichever applicable. Former employees should direct requests to gain access to information pertaining to them to the appropriate personnel officer at the address listed in the Appendix. For identification requirements refer to the agency regulations outlined in 41 CFR 105-64.

**Contesting record procedures:** GSA rules for access to records and for contesting the contents and appealing initial determination are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** Supervisors, counselors, personnel specialists, and the employees themselves.

**GSA/OAD 5**

**System name:** Incentive Awards Files GSA/OAD

**System location:** The system is located in the personnel offices of GSA at the addresses listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22, the Central Office, Office of Personnel, 18th & F Sts., NW, Washington, DC 20405, and the offices of all supervisors initiating, reviewing, and recommending incentive awards

**Categories of individuals covered by the system:** Employees who have received recognition for suggestions, job performance, and other significant accomplishments.

**Categories of records in the system:**

1. Suggestion Award Certificate (GSA Form 1514)

2. Letters of commendation, citation, and awards.

These records and related supporting material are maintained for the purpose of identifying and recording those employees who have received recognition for suggestions and job performance.

**Authority for maintenance of the system:** 5 U.S.C. Chapter 45.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The Routine Uses of these Records, as defined in 5 U.S.C. 522a(a)(7) and provided for in 5 U.S.C. 552a(b)(3), are described in the appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper records in file cabinets.

**Retrievability:** Filed alphabetically at each location by name.

**Safeguards:** When not in use by an authorized person, these records are stored in lockable metal file cabinets or in secured rooms.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The Director of Personnel, 18th & F Sts., NW, Washington, DC 20405.

**Notification procedure:** Current employees may obtain information about whether they are a part of this system of records from their supervisor or from their personnel officer at the appropriate address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22, or from the Director of Personnel at the address noted above, whichever applicable. Former employees may obtain information from the personnel officers at the addresses listed in the Appendix.

**Record access procedures:** Requests from current employees to gain access to information pertaining to them should be directed to their supervisor or to their personnel officer at the appropriate address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22, or to the Director of Personnel at the address noted above, whichever applicable. Former employees should direct requests to gain access to information pertaining to them to the appropriate personnel officer at the address listed in the Appendix. For identification requirements refer to the agency regulations as outlined in 41 CFR 105-64.

**Contesting record procedures:** GSA rules for access to records and for contesting the contents and appealing initial determination are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** Supervisors, management officials, officials with other agencies, and members of the public.

#### GSA/OAD 6

**System name:** Occupational Health and Injury Files GSA/OAD

**System location:** The system is located in the personnel offices of GSA at the locations listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22, the Central Office, Office of Personnel at 18th & F Sts., NW, Washington, DC 20405, and the offices of all supervisors who have had employees injured on the job or other occupational health problems with employees supervised.

**Categories of individuals covered by the system:** GSA employees who have sustained injuries or other occupational health problems.

**Categories of records in the system:**

1. Accident reports (including CA-1 & 2: Federal Employees Notice of Injury or Occupational Disease)
2. Claims for compensation for injury or occupational disease (CA 4)
3. Claims for continuance of compensation on account of disability (CA 8)
4. Lists of employees receiving medical services
5. Health records

The above records are maintained for the purpose of identifying and recording those employees who have sustained injuries or other occupational health problems.

**Authority for maintenance of the system:** 5 U.S.C. Chap. 81, and 5 U.S.C. 7153 and 5 U.S.C. 7901.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Certain records may at times be released to the Department of Labor for their records. Other routine uses are as described in the Appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper records in file cabinets.

**Retrievability:** Filed alphabetically at each location by name.

**Safeguards:** When not in use by an authorized person, these records are stored in lockable metal file cabinets or in secured rooms.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The Director of Personnel, 18th & F Sts., NW, Washington, DC 20405.

**Notification procedure:** Current employees may obtain information about whether they are a part of this system of records from their supervisor or from their personnel officer at the appropriate address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22, or from the Director of Personnel at the address noted above, whichever applicable. Former employees may obtain information from the personnel officers at the addresses listed in the Appendix.

**Record access procedures:** Requests from current employees to gain access to information pertaining to them should be directed to their supervisor or to their personnel officer at the appropriate address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22, or to the Director of Personnel at the address noted above, whichever applicable. Former employees should direct requests to gain access to information pertaining to them to the appropriate personnel officer at the address listed in the Appendix. For identification requirements refer to the agency regulations as outlined in 41 CFR 105-64.

**Contesting record procedures:** GSA rules for access to records and for contesting the contents and appealing initial determination are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** The information in this system of records is provided by the individuals to whom the records pertain, or by the personnel specialists who prepare various records or claims.

#### GSA/OAD 7

**System name:** Labor-Management Relations Files GSA/OAD

**System location:** The system is located in the personnel offices of GSA at the locations listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22, the Central Office, Office of Personnel at 18th & F Sts., NW, Washington DC 20405, and various service and staff offices throughout GSA.

**Categories of individuals covered by the system:** GSA employees who are union officials or are in an exclusively recognized unit. Also GSA employees who have filed grievances under the negotiated grievance procedure.

**Categories of records in the system:**

1. Union dues withholding request or revocation.
2. List of employees who are elected or appointed union officers or officials.
3. Arbitration awards based on employee or union grievance.
4. Recognition petitions submitted to the Assistant Secretary of Labor for Labor-Management Relations.

The above records are maintained in order to identify and record those employees who are included in exclusively recognized units, under dues withholding, elected or appointed as union officers, and whose grievances have been resolved by arbitration awards.

**Authority for maintenance of the system:** Executive Order 11491, as amended.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The Routine Uses of these Records, as defined in 5 U.S.C. 552a(a)(7) and provided for in 5 U.S.C. 552a(b)(3), are described in the Appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper records and machine listings in file cabinets.

**Retrievability:** Filed alphabetically at locations by name.

**Safeguards:** When not in use by an authorized person, these records are stored in lockable metal file cabinets or in secured rooms.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The Director of Personnel at 18th & F Sts., NW, Washington, DC 20405

**Notification procedure:** Current employees may obtain information about whether they are a part of this system of records from their supervisor or from their personnel officer at the appropriate address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22, or from the Director of Personnel at the address noted above, whichever applicable. Former employees may obtain information from the personnel officers at the addresses listed in the Appendix.

**Record access procedures:** Requests from current employees to gain access to information pertaining to them should be directed to their supervisor or to their personnel officer at the appropriate address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22, or to the Director of Personnel at the address noted above, whichever applicable. Former employees should direct requests to gain access to information pertaining to them to the appropriate personnel officer at the address listed in the Appendix. For identification requirements refer to the agency regulations as outlined in 41 CFR 105-64.

**Contesting record procedures:** GSA rules for access to records and for contesting the contents and appeal initial determination are promulgated in 41 CFR 105-64 published in the Federal Register.

**Record source categories:** Officials preparing files and records submitted by employees.

#### GSA/OAD 8

**System name:** Disciplinary Action and Appeal and Grievance Files GSA/OAD

**System location:** The system is located in the personnel offices of GSA at the addresses listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22, the Central Office, Office of Personnel at 18th & F Sts. NW, Washington, DC 20405, and the Offices of supervisors and management officials.

**Categories of individuals covered by the system:** GSA employees who may be or who have been subjects of disciplinary action and employees who have filed appeals or grievances.

**Categories of records in the system:**

1. Appeals and grievance register.
2. Index file of grievance and appeal examiners.
3. Warning letters and official reprimand files.
4. Grievance files. (Agency and Negotiated)
5. Adverse action decision letters.
6. Disciplinary action files.
7. Appeals hearing transcripts.
8. Background information in support of disciplinary and adverse actions.

The above records are maintained to identify, record and document those employees who have become the subject of potential or real disciplinary actions and those who have filed grievances and appeals.

**Authority for maintenance of the system:** E.O. 10987, E.O. 11491, E.O. 11787, and 5 U.S.C. Chap. 77.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The Routine Uses of these Records, as defined in 5 U.S.C. 552a(a)(7) and provided for in 5 U.S.C. 552a(b)(3), are described in the Appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper records in file cabinets.

**Retrievability:** Filed alphabetically by name.

**Safeguards:** When not in use by an authorized person, these records are stored in lockable metal file cabinets or in secured rooms.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The Director of Personnel at 18th & F Sts. NW, Washington, DC 20405

**Notification procedure:** Current employees may obtain information about whether they are a part of this system of records from their supervisor or from their personnel officer at the appropriate address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22, or from the Director of Personnel at the address noted above, whichever applicable. Former employees may obtain information from the personnel officers at the addresses listed in the Appendix.

**Record access procedures:** Requests from current employees to gain access to information pertaining to them should be directed to their supervisor or to their personnel officer at the appropriate address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22, or to the Director of Personnel at the address noted above, whichever applicable. Former employees should direct requests to gain access to information pertaining to them to the appropriate personnel officer at the address listed in the Appendix. For identification requirements refer to the agency regulations as outlined in 41 CFR 105-64.

**Contesting record procedures:** GSA rules for access to records and for contesting the contents and appealing initial determination are promulgated in 41 CFR 105-64 published in the Federal Register.

**Record source categories:** Employees who file appeals or grievances and management officials who prepare documents required in these files.

#### GSA/OAD 9

**System name:** Employee Benefits Files GSA/OAD

**System location:** The system is located in the personnel offices of GSA at the addresses listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22, the Central Office, Office of Personnel at 18th & F Sts. N.W. Washington, DC 20405, and the offices of supervisors and management officials promoting blood donation and savings bonds programs and soliciting employees to attend White House ceremonies.

**Categories of individuals covered by the system:** All employees enrolled in authorized health and life insurance plans; receiving severance pay; contributing blood; authorizing withholding for savings bonds; attending White House ceremonies; and deceased employees with survivors entitled to death benefits.

**Categories of records in the system:**

1. Life and health insurance files.
2. Severance pay files.
3. Savings bonds lists.
4. Blood requests.
5. White House ceremonies files.
6. Death case files.

The above records are maintained for the purpose of identifying and recording those employees who have enrolled in authorized insurance plans, received severance pay, authorized withholding for savings bonds, made blood donations, attended White House ceremonies, and/or passed away leaving survivors entitled to death benefits.

**Authority for maintenance of the system:** 5 U.S.C. Chap 81, 5 U.S.C. 7153, 7901

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The Routine Uses of these Records, as defined in 5 U.S.C. 552a(a)(7) and provided for in 5 U.S.C. 552a(b)(3), are described in the appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper records in files.

**Retrievability:** Filed alphabetically at each location by name.

**Safeguards:** When not in use by an authorized person, these records are stored in lockable metal file cabinets or in secured rooms.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2)

**System manager(s) and address:** The Director of Personnel at 18th & F Sts. NW., Washington, DC 20405.

**Notification procedure:** Current employees may obtain information about whether they are a part of this system of records from their supervisor or from their personnel officer at the appropriate address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22, or from the Director of Personnel at the address noted above, whichever applicable. Former employees may obtain information from the personnel officers at the addresses listed in the appendix.

**Record access procedures:** Requests from current employees to gain access to information pertaining to them should be directed to their supervisor or to their personnel officer at the appropriate address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22, or to the Director of Personnel at the address noted above, whichever applicable. Former employees should direct requests to gain access to information pertaining to them to the appropriate personnel officer at the address listed in the appendix. For identification requirements refer to the agency regulations as outlined in 41 CFR 105-64.

**Contesting record procedures:** GSA rules for access to records and for contesting the contents and appealing initial determination are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** The information in this system of records is provided by the individuals to whom the records pertain or by the personnel specialists who prepare various records or claims.

#### GSA/OAD 10

**System name:** Records Relating to Staffing Activities of GSA. GSA/OAD

**System location:** This system is maintained in the personnel offices of GSA at the addresses listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22 and in the Central Office, Office of Personnel at 18th & F Sts. NW., Washington, DC 20405.

**Categories of individuals covered by the system:** Applicants for GSA employment; former and current GSA employees.

**Categories of records in the system:**

1. Recruitment, qualification and employment.
2. Merit promotion.
3. Separation.

These records are maintained for the purpose of making decisions relating to the hiring, maintaining, utilizing, promoting, reassigning and terminating of employees.

**Authority for maintenance of the system:**

1. Recruitment, qualification and employment - 5 U.S.C. 3109; E.O. 11521; P.L. 93-508; 5 U.S.C. 7153; 75 U.S.C. 612; FPM Chapter 301; FPM Chap. 307; 5 U.S.C. 3582; 5 U.S.C. 3104; 5 U.S.C. 7512; 5 U.S.C. 3321; FPM Chap. 302; FPM Chap. 337; FPM Chap. 315; 5 U.S.C. 4301-4308; FPM Chap. 300.

2. Merit Promotion - 5 U.S.C. 4301-4308; FPM Chap. 335.

3. Separation - 5 U.S.C. 3501-3504; FPM Chap. 753; FPM Chap. 302.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The Routine Uses of these Records, as defined in 5 U.S.C. 552a(a)(7) and provided for in 5 U.S.C. 552a(b)(3), are described in the Appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** All records within this system are maintained on paper.

**Retrievability:** Records are retrievable by name.

**Safeguards:** When not in use by an authorized person, these records are stored in lockable metal file cabinets or in secured rooms.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The Director of Personnel at 18th & F Sts. NW., Washington, DC 20405

**Notification procedure:** Current employees may obtain information about whether they are part of this system of records from their supervisor, from their Personnel Officer, (see the Appendix for the Office of Personnel following the notice GSA/OAD 22) or from the Director of Personnel at the address noted above, whichever applicable. Former employees may obtain information from their former personnel office. Applicants for GSA employment may obtain information from the Personnel Officer responsible for the position for which they applied.

**Record access procedures:** Requests to access records from current employees should be directed to the employees' supervisor or to the appropriate personnel officer (see the Appendix) or to the Director of Personnel at the address listed above. Former employees should direct requests to access records to their former personnel office. Applicants for GSA employment may obtain information from the Personnel Officer responsible for the position for which they applied. For identification requirements refer to the agency regulations as outlined in 41 CFR 105-64.

**Contesting record procedures:** GSA/OAD rules for access to records and for contesting the contents and appealing initial determinations are promulgated in 41 CFR 105-64 published in the Federal Register.

**Record source categories:** The individuals themselves, other employees and supervisors.

#### GSA/OAD 11

**System name:** Records relating to career and executive development. GSA/OAD

**System location:** This system is located in the personnel offices at the addresses listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22, the Central Office, Office of Personnel, at 18th & F Streets NW., Washington, D.C. 20405, and within offices of the services and staff offices throughout GSA where there are participants in the GSA Career Development Program.

**Categories of individuals covered by the system:** GSA employees, both current and former.

**Categories of records in the system:**

1. Career folders (supervisory assessments, counseling report, specific and tentative developmental plans).
2. Training and Advancement Program (TAP), Career Advancement Program (CAP), GSA Nationwide Cross-Training Agreement records, and Upward Mobility Development System records.
3. GSA Form 1349, Personal Data Statement
4. GSA Form 3328, Upward Mobility Interest Survey
5. GSA Form 2634, Job Element Appraisal for Career Potential
6. GSA Form 2990, Notice of Trainee Entry

The above records are maintained for the purpose of monitoring and documenting the performance and status of the GSA Career Development Program and GSA Executive Development participants.

**Authority for maintenance of the system:** 5 U.S.C. 4103 and 5 U.S.C. 4301-4308

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The routine uses of these records as defined in 5 USC 552a (a) (7) and provided for in 5 USC 552a (b)(3) are described in the appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** All records within this system are maintained on paper.

**Retrievability:** All records within this system are primarily retrievable by name.

**Safeguards:** When not in use by an authorized person, these records are stored in lockable metal file cabinets or in secured rooms.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The Director of Personnel at 18th & F Streets NW., Washington, D.C. 20405

**Notification procedure:** Current employees may obtain information about whether they are part of this system of records from their supervisor or from their personnel officer at the appropriate address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22, or from the Director of Personnel at the address noted above, whichever applicable. Former employees may obtain information from the Director of Personnel at the address listed above.

**Record access procedures:** Requests to access records from current employees should be directed to the employees' supervisor or to the personnel officer at the appropriate address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22, or to the Director of Personnel at the address noted above, whichever applicable. Former employees should direct requests to access records to the Director of Personnel, General Services Building, at the address listed in the appendix. For identification requirements refer to the agency regulations as outlined in 41 CFR 105-64.

**Contesting record procedures:** GSA/OAD rules for access to records and for contesting the contents and appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register

**Record source categories:** The information upon which these records are based is provided by the following sources:

1. Career folders - employee completed GSA Form 1349, and supervisor's evaluations; except employees in levels GS-8 and below and wage system equivalents, where GSA Form 3328 is used.
2. TAP, CAP, and Cross-Training Agreements - correspondence between personnel specialists in GSA, Central Office, and the personnel specialists in the operating offices of GSA and those in the Civil Service Commission.
3. GSA Forms 1349 - employees and official personnel folder.
4. GSA Form 2634 - employment offices of the personnel offices, supervisors both past and present or other individuals who are familiar with the candidates.
5. GSA Form 2990 - official personnel folder.

#### GSA/OAD 12

**System name:** Records relating to the assignment, promotion, and retirement activities for executives within General Services Administration.

**System location:** This system is maintained in the personnel offices of GSA at the addresses listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22, the Central Office, Office of Personnel at 18th and F STREETS NW., Washington, D.C. 20405, and the executive offices of the service and staff offices of the GSA Central Office.

**Categories of individuals covered by the system:** GSA employees, Grade GS-13 and above.

**Categories of records in the system:**

1. Executive Assignment System case file
  2. Retirement Executive Assignment Program file
  3. Executive Manpower Resources file
  4. Executive Interchange Program file
  5. Executive Manpower Resources Applicant file
- The above records are maintained for the purpose of administering to and monitoring the assignment, promotion and retirement activities of employees GS-13 and above.

**Authority for maintenance of the system:**

1. Executive Assignment System file - 5 USC 4301-4308
2. Retirement Executive Assignment Program file - 5 USC Chap. 83.
3. Executive Manpower Resources file - 5 USC 4301-4308

4. Executive Interchange Program file - 5 USC 3376; E.O. 11589

5. Executive Manpower Resources Applicant file - 5 USC 4301 - 4308

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The Routine Uses of these records as defined in 5 U.S.C. 552a (a) (7) and provided for in 5 U.S.C. 552a (b) (3) are described in the Appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** All records within this system are maintained on paper.

**Retrievability:** All records within this system are primarily retrievable by name.

**Safeguards:** When not in use by an authorized person, these records are stored in lockable metal file cabinets or in secured rooms.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820. 2).

**System manager(s) and address:** The Director of Personnel, 18th & F Streets NW., Washington, D.C. 20405.

**Notification procedure:** Current employees may obtain information about whether they are part of this system of records from their supervisor, or from their Personnel Officer at the appropriate address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22, or from the Director of Personnel at the address noted above, whichever applicable. Former employees may obtain information from the Director of Personnel at the address listed above.

**Record access procedures:** Requests to access records from current employees should be directed to the employees' supervisor or to their Personnel Officer at the appropriate address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22 or to the Director of Personnel at the address listed above, whichever applicable. Former employees should direct requests to access records to the Director of Personnel at the General Services Building at the address listed in the appendix. For identification requirements refer to the agency regulations as outlined in 41 CFR 105-64.

**Contesting record procedures:** GSA/OAD rules for access to records and for contesting the contents and appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** Information on which these records are based is provided by the following sources:

1. Executive Assignment System file - official personnel folder, Civil Service Executive Inventory and Executive Manpower Resources Applicant file.
2. Retirement Executive Assignment Program - official personnel folder, retirement eligibility report and application from employee involved.
3. Executive Manpower Resources file - official personnel folder, applications received from participants.
4. Executive Interchange Program file - official personnel folder, nominations received from Heads of Services and Staff Offices.
5. Executive Manpower Resources Applicant file - official personnel folder, application received from employee.

#### GSA/OAD 13

**System name:** Records related to trainee programs in GSA. GSA/OAD

**System location:** The system is officially maintained in the GSA personnel offices (see the Appendix for the Office of Personnel following the notice GSA/OAD 22) and the Central Office, Office of Personnel, 18th & F Streets NW., Washington, DC 20405. Related records in the system may be located throughout GSA wherever trainees are / were assigned.

**Categories of individuals covered by the system:** Individuals include: (1) Current and former GSA employees who are or were at one time participants in a formal GSA training program; and (2) in-

dividuals who inquire about and/or apply for employment as a participant in a formal training program.

**Categories of records in the system:**

1. Employment inquires and interview reports on job applicants for Management Intern, Summer Intern, and general trainee program positions.

2. Public Service Career and Worker-Trainee Opportunity Program files.

3. Cooperative Education Employment Program files.

The first category is used for referral purpose as an applicant source file; the other categories are used to record the progress of participants in the training programs, to evaluate both individuals and the program itself and to provide historical continuity for analytical purposes.

4. Report on current and former trainees (GSA Form 2977).

5. Trainee files which include evaluations, trainee reports, ect.

6. Summer Intern Programs files.

7. Management Intern Program files.

**Authority for maintenance of the system:** 5 U.S.C. 4103

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The Routine uses of these records as defined in 5 U.S.C. 552a (a) (7) and provided for in 5 U.S.C. 552a (b) (3) are described in the appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** All records are maintained on paper.

**Retrievability:** All records within this system are primarily retrievable by name.

**Safeguards:** When not in use by an authorized person, these records are stored in lockable metal file cabinets or in secured rooms.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2)

**System manager(s) and address:** The Director of Personnel, at 18th & F Streets, NW, Washington, DC 20405.

**Notification procedure:** Current employees may obtain information about whether they are part of this system of records from their supervisor, or from the appropriate personnel office at the address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22, or from the Director of Personnel at the address cited above, whichever applicable. Job applicants and former employees may obtain information from the appropriate personnel office ( see the appendix).

**Record access procedures:** Requests to access records from current employees should be directed to the employees' supervisor or to the appropriate personnel office at the address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22 or to the Director of Personnel at the address listed above, whichever applicable. Job applicants and former employees should direct requests to access records to the appropriate Personnel Officer (see the appendix). For identification requirements, refer to the agency regulation as outlined in 41 CFR 105-64.

**Contesting record procedures:** GSA rules for access to records and for contesting the contents and appealing initial determination are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** The individuals themselves, their Official Personnel Folders, other employees, and supervisors.

**GSA/OAD 14**

**System name:** Records relating to formal training of GSA employees. GSA/OAD

**System location:** The system is located at all GSA locations.

**Categories of individuals covered by the system:** GSA employees, both former and current.

**Categories of records in the system:**

1. Nomination and authorization forms

2. Annual Training Plan worksheets (GSA Form 2366)

3. Records relating to the training needs of new supervisors (GSA Form 2540, Regional Forms R3-1487, R4-1487, R9-1487--the latter 3 forms used for PBS Federal Protection Service purpose only)

4. Annual Training Report (required by USCSC) feeder reports

5. Monthly Summary of Training

6. Semi-annual Report of Training (copies are normally filed in OPF'S of individuals who receive training during the reporting period)

7. Records relating to the training needs and accomplishments of employees by specific programs (i.e., Federal Protection Service--PBS, Office of General Counsel-- OGC, Contract Administration--FSS and PBS)

The above records are maintained for the purpose of:

(1) planning for, scheduling, nominating, authorizing, and funding (if cost training) training for GSA employees who attend GSA sponsored training and

(2) recording and analyzing completed training

**Authority for maintenance of the system:** 5 U.S.C. , Chapter 41.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Information in this system may be disclosed to training and educational facilities outside the agency both Governmental and non-government. Other routine uses are as described in the Appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** All records except Monthly Summary of Training and Semi-annual Report of Training are maintained on paper; the two exceptions are ADP printouts maintained on magnetic tapes (DPM No 2 2 for both).

**Retrievability:** All records within this system are primarily retrievable by name.

**Safeguards:** When not in use by an authorized person, these records are stored in lockable metal file cabinets or in secured rooms.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The Director of Personnel at 18th & F Streets NW, Washington, D.C. 20405.

**Notification procedure:** Current employees may obtain information about whether they are part of this system of records from their supervisor or from their appropriate Personnel Office (see the Appendix for the Office of Personnel following the notice GSA/OAD 22) or from the Director of Personnel at the address noted above, whichever applicable. Former employees may obtain information from the appropriate Personnel Officer (see the appendix).

**Record access procedures:** Requests to access records from current employees should be directed to the employees' supervisor. Former employees should direct request to access records to the appropriate Personnel Officer (see the Appendix for the Office of Personnel following the notice GSA/OAD 22). For identification requirements refer to the agency regulations as outlined in 41 CFR 105-64

**Contesting record procedures:** GSA rules for access to records and for contesting the contents and appealing initial determination are promulgated in 41 CFR 105-64 published in the Federal Register.

**Record source categories:** The individuals themselves, their Official Personnel Folders other employees and supervisors.

**GSA/OAD 15**

**System name:** General staffing information maintained by the Central Office, Office of Personnel and personnel offices of GSA. GSA/OAD

**System location:** The Personal Data Statement Notebook, Key GSA Official Book, and Schedule C and Non-career Executive Listing are maintained in the GSA Central Office, Office of Personnel, 18th & F Sts., NW, Washington, DC 20405. The General Personnel Staffing Information and Changes to the Personnel Roster records are maintained in the personnel offices of GSA at the locations listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22.

**Categories of individuals covered by the system:** The Key GSA Official Book contains information on current key officials throughout GSA. The Schedule C and Non-career Executive Listing contains information on people under those type of appointments. The other records concern personnel employees in the personnel offices of GSA as listed in the Appendix for the Office of Personnel following the GSA/OAD Notices.

**Categories of records in the system:**

1. General Personnel Staffing Information
2. Changes to the Personnel Roster
3. Key GSA Official Book
4. Personal Data Statement Notebook
5. Schedule C and Non-career Executive Listing.

The above records are maintained for the purpose of monitoring the staffing situation within particular organizational units.

**Authority for maintenance of the system:** 5 U.S.C. 301-302

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The Routine Uses of these records as defined in 5 U.S.C. 552a (a)(7) and provided for in 5 U.S.C. 552a (b)(3) are described in the Appendix following the GSA Notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** All records within this system are maintained on paper.

**Retrievability:** All records within this system are primarily retrievable by name.

**Safeguards:** When not in use by an authorized person, these records are stored in lockable metal file cabinets or in secured rooms.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The Director of Personnel at 18th & F Sts., NW, Washington, DC 20405.

**Notification procedure:** Former and current GSA employees may obtain information about whether or not they are a part of this system by contacting their Personnel Officer at the address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22 or to the Director of Personnel at the address noted above, whichever applicable.

**Record access procedures:** Requests from former and current employees to access records should be directed to the appropriate Personnel Officer at the address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22, or to the Director of Personnel at the address listed above, whichever applicable. For identification requirements refer to the agency regulations as outlined in 41 CFR 105-64.

**Contesting record procedures:** GSA/OAD rules for access to records and for contesting the contents and appealing initial determinations are promulgated in 41 CFR 105-64 published in the Federal Register.

**Record source categories:**

1. General Personnel Staffing Information - information for this record is generated internally within the personnel office.
2. Changes to the personnel roster - the information for this record is drawn from service record cards and various files maintained in the processing and records function of the personnel offices.
3. Key GSA Official Book - the information is provided to the Director of Personnel by the various personnel offices throughout GSA.

4. Personal Data Statement Notebook - information for this record is obtained directly from the individual.

5. Schedule C and Non-career Executive Listing - the information is provided to the Director of Personnel by the various personnel offices throughout GSA.

**GSA/OAD 16**

**System name:** Special personnel studies and reports. GSA/OAD

**System location:** This system is maintained in the personnel offices of GSA at the locations listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22 and in the GSA Central Office, Office of Personnel and Office of the Deputy Administrator, 18th and F Streets NW, Washington, DC 20405. Personnel management evaluation interview notes may also be maintained by survey team members who are not employees of the Office of Personnel.

**Categories of individuals covered by the system:** Current and former employees of GSA. The Annotated Performance Analysis Report subsystem applies only to personnel employees; the others may involve employees throughout the agency.

**Categories of records in the system:**

1. Annotated Performance Analysis Reports
2. Records relating to the Processing Control Record Report (PCR)
3. Executive Salary Ceiling Impact Report backup files
4. Personnel Management Evaluation reports and case listings
5. Personnel Management Evaluation interview notes
6. Allegations of merit system violations.

The above records are maintained for the purpose of conducting and/or preparing special personnel studies and reports.

**Authority for maintenance of the system:**

1. Annotated Performance Analysis Reports: 5 USC 301; FPM 250-3
2. Records relating to the Processing Control Record Report (PCR): 5 USC 301
3. Executive Salary Ceiling Impact Report backup files: 5 USC 5307
4. Personnel Management Evaluation reports and case listings: E.O. 9830
5. Personnel Management Evaluation interview notes: E.O. 9830
6. Allegations of merit system violations: E.O. 9830

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Information contained in the Personnel Management Evaluation official case files may be released to the Civil Service Commission. Other routine uses are as described in the Appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** All records within this system are maintained on paper.

**Retrievability:** All records within this system are primarily retrievable by name.

**Safeguards:** When not in use by an authorized person, these records are stored in lockable metal file cabinets or in secured rooms.

**Retention and disposal:** Disposition of records shall be in accordance with the HB GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The Director of Personnel at 18th & F Streets NW., Washington, DC 20405.

**Notification procedure:** Former and current GSA employees may obtain information about whether or not they are a part of this system of records by contacting their Personnel Officer at the address listed in the Appendix for the Office of Personnel following

the notice GSA/OAD 22, or the Director of Personnel at the address noted above, whichever applicable.

**Record access procedures:** Requests from former and current employees to access records should be directed to the appropriate Personnel Officer at the address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22 or to the Director of Personnel at the address listed above, whichever applicable. For identification requirements refer to the agency regulations as outlined in 41 CFR 105-64.

**Contesting record procedures:** GSA/OAD rules for access to records and for contesting the contents and appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** The information which these records are based on is drawn from the following sources:

1. Annotated Performance Analysis Reports - information is provided in general by the affected individuals.
2. Records relating to the Processing Control Record Report - information is drawn from SF-52, Request for Personnel Action.
3. Executive Salary Ceiling Impact Report backup files - this information is generally obtained from the Processing and Records function and the files which they maintain within each Personnel Office or Division.
4. Personnel Management Evaluation Reports, Case Listings, and Interview Notes - Information in these records is drawn from supervisors and employees, as well as personnel and administrative files.
5. Allegations of merit system violations - information is provided by concerned individuals.

#### GSA/OAD 17

**System name:** Records relating to compensation and classification activities within GSA. GSA/OAD

**System location:** This system is maintained in the personnel offices of GSA at the addresses listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22, as well as the GSA Central Office, Office of Personnel, 18th & F Streets NW, Washington, DC 20405

**Categories of individuals covered by the system:** Current and former employees of GSA and applicants for GSA positions.

**Categories of records in the system:** Records consist of documents relating to overseas employees, supergrades, classification surveys, classification appeals, leave and pay administration, position descriptions, and Federal Protective Officers. These records are maintained for the purpose of properly implementing the activities and requirements relevant to compensation and classification within GSA.

#### Authority for maintenance of the system:

1. Overseas employee file: 5 U.S.C. 5921 thru 5925
2. Supergrade file: 5 U.S.C. 5108
3. Classification surveys: 5 U.S.C. 5115
4. Classification appeals: 5 U.S.C. 5115
5. Leave and pay administration 5 U.S.C. 5301 thru 6326
6. Position description file: 5 U.S.C. 5113
7. Study: Federal Protective Officers: 40 U.S.C. 490

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The Routine Uses of these records, as defined in 5 U.S.C. 522a (a)(7) and provided for in 5 U.S.C. 552a (b)(3), are described in the appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** All records within this system are maintained on paper in file folders and card files.

**Retrievability:** The records within this system are primarily retrievable by name.

**Safeguards:** When not in use by an authorized person, these records are stored in lockable metal file cabinets or in secured rooms.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The Director of Personnel at 18th & F Streets, NW., Washington, DC 20405.

**Notification procedure:** Current employees may obtain information about whether they are part of this system of records from their supervisor or from their personnel office at the appropriate address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22, or from the Director of Personnel at the address noted above, whichever applicable. Former employees and applicants for GSA positions may obtain information from the personnel officers located at the addresses listed in the appendix.

**Record access procedures:** Requests from current employees to gain access to information pertaining to them should be directed to their supervisor or to their personnel officer at the appropriate address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22, or to the Director of Personnel at the address listed above, whichever applicable. Former employees and applicants for GSA positions should direct requests to gain access to information pertaining to them to the appropriate GSA personnel officer at the address listed in the appendix. For identification requirements refer to the agency regulations as outlined in 41 CFR 105-64.

**Contesting record procedures:** GSA/OAD rules for access to records and for contesting the contents and appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** The information on which these records are based is provided by the individuals themselves, official personnel folders, other employees, and supervisors.

#### GSA/OAD 18

**System name:** Processing and Records files GSA/OAD

**System location:** This system is maintained in the personnel offices of GSA at the locations listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22.

**Categories of individuals covered by the system:** Current and former employees of GSA.

#### Categories of records in the system:

1. Service record file (including separation, retirement, reemployment, furlough and other active records on current employees and inactive records on past employees).
2. Official Personnel Folder authorized user listing and charge-out system.
3. Leave Without Pay files.
4. Name change files.
5. Pending securities files.

#### 6. SF-52 control sheet files.

The above records are maintained for the purpose of facilitating the processing and recording of personnel actions.

**Authority for maintenance of the system:** Executive Orders 10561, 9830, 10800, 10988, 11222, and 11246.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The Civil Service Commission may utilize this information in the conduct of regulatory audits. The filing of state unemployment claims may also require that certain information be disclosed. Other routine uses are as described in the Appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** All records within this system are maintained on paper in either folders or card files.

**Retrievability:** The records within this system are primarily retrievable by name.

**Safeguards:** When not in use by an authorized person, these records are stored in lockable metal file cabinets or in secured rooms.

**Retention and disposal:** Disposition of records shall be in accordance with HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The Director of Personnel at 18th & F Sts. NW, Washington, D.C. 20405

**Notification procedure:** Former and current GSA employees may obtain information about whether or not they are a part of this system by contacting the Personnel Officer at the appropriate address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22.

**Record access procedures:** Requests from former and current employees to access records should be directed to the Personnel Officer at the appropriate address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22. For identification requirements refer to the agency regulations as outlined in 41 CFR 105-64.

**Contesting record procedures:** GSA/OAD rules for access to records and for contesting the contents and appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** The information in this system is drawn from official personnel records, personnel specialists, employee supervisors, and the employees themselves.

#### GSA/OAD 19

**System name:** Office Personnel files GSA/OAD

**System location:** This system may be maintained at the supervisory or administrative office level throughout the Office of Administration nationwide.

**Categories of individuals covered by the system:** GSA employees, both former and current, and applicants for employment.

**Categories of records in the system:** This system consists of a variety of employee related records maintained by operating officials for the purpose of administering personnel matters affecting their employees. Examples of records contained in this system include:

1. GSA form 176 (Statement of Personal History).
2. GSA Form 2892 (Employee Performance Rating and Assessment Relevant to Promotion Potential).
3. Suggestions.
4. Position Descriptions.
5. Counseling report.
6. Supervisory assessment of employees' ability to meet career goals.
7. GSA Form 2424 (Assessment of Supervisory Potential).
8. Employment inquiries from other agencies.
9. Military Service Separation.
10. Developmental needs.
11. Training (miscellaneous).
12. GSA Form 1089 (Placement Follow-up Checklist)
13. Staffing patterns and rosters.
14. Leave and attendance information.
15. Employee addresses and phone numbers.
16. Military reserve lists.
17. Assignment rosters.
18. Affirmative Action Plan files.
19. Accession and separation information.
20. Performance and work measurement records.

21. Employee parking permit applications and related information.

The above records are maintained for the purpose of administering day to day personnel management responsibilities.

**Authority for maintenance of the system:** Executive Order 9830

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The Routine Uses of these Records, as defined in 5 U.S.C. 552a (a)(7) and provided for in 5 U.S.C. 552a (b)(3), are described in the appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper records in file folders and card files.

**Retrievability:** The records within this system are primarily retrievable by name.

**Safeguards:** When not in use by an authorized person, these records are stored in lockable metal file cabinets or in secured rooms.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The Executive Officer, OAD, at 18th & F Sts. N.W. Washington, DC. 20405

**Notification procedure:** Current employees may obtain information about whether they are part of this system of records from their supervisor. Former employees may obtain information from the appropriate Personnel Officer at the address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22.

**Record access procedures:** Requests to access records from current employees should be directed to the employees' supervisor. Former employees should direct requests to the appropriate Personnel Officer at the address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22. For identification requirements, refer to the agency regulations as outlined in 41 CFR 105-64.

**Contesting record procedures:** GSA/OAD rules for access to records and for contesting the contents and appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** The individuals themselves, other employees, supervisors, and official and unofficial personnel records.

#### GSA/OAD 20

**System name:** Test Material GSA/OAD

**System location:** This system is maintained in the six Central Office personnel offices of GSA located in the Metropolitan Washington, D. C. area (see the Appendix for the Office of Personnel following the notice GSA/OAD 22.)

**Categories of individuals covered by the system:** Applicants for employment with GSA as well as current and former GSA employees.

**Categories of records in the system:** Records consist of test booklets, answer sheets and notices of rating. They are used for the purpose of determining the qualifications of applicants.

**Authority for maintenance of the system:** 5 USC Chapter 33

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** These records are reported to the Civil Service Commission for their records. Other routine uses are as described in the Appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** All records within this system are maintained on paper.

**Retrievability:** The records within this system are primarily retrievable by name.

**Safeguards:** When not in use by an authorized person, these records are stored in lockable metal file cabinets or in secured rooms.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The Director of Personnel at 18th & F Sts. N. W., Washington, D. C. 20405.

**Notification procedure:** Applicants for employment with GSA and current and former GSA employees may determine if they are included in this system by contacting the appropriate personnel office at the address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22.

**Record access procedures:** See last paragraph.

**Contesting record procedures:** See last paragraph.

**Record source categories:** The information in this system is provided by the subject individuals.

**Systems exempted from certain provisions of the act:** In accordance with 5 USC 522a (k)(6) this system of records is exempt from subsections (c)(3),(d),(e),(1),(eg(4)(g)(h)and(i). Provisions for gaining access to and contesting these records are set forth in 41 CFR 105-64, published in the Federal Register

#### GSA/OAD 21

**System name:** Intergovernmental Management Trainee Association records. GSA/OAD

**System location:** This system of records is maintained in the GSA, Region 9 Personnel Division at the address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22.

**Categories of individuals covered by the system:** State, local, and Federal Government employees who belong to the association.

**Categories of records in the system:** The records in this system contain the following information: name, position, and address of members. This information serves reference purposes for the members of the association.

**Authority for maintenance of the system:** This system of records was created by and for the use of the association members. The association was established in light of the Intergovernmental Personnel Act of 1970.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The Routine Uses of these records as defined in 5 U.S.C. 552(a)(7) and provided for in 5 U.S.C. 552a (b)(3) are described in the Appendix following the GSA Notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** The records within this system are maintained on paper.

**Retrievability:** The records within this system are primarily retrievable by name.

**Safeguards:** The records within this system are kept under lock and key.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The Director of Personnel at 18th & F Sts., NW, Washington, DC 20405.

**Notification procedure:** Individuals may obtain information about whether they are a part of this system of records by contacting the GSA Region 9, Personnel Officer at the address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22.

**Record access procedures:** Individuals should direct requests to access records to the GSA, Region 9, Personnel Officer at the address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22. For identification requirements refer to the agency regulations as outlined in 41 CFR 105-64.

**Contesting record procedures:** GSA/OAD rules for access to records and for contesting the contents and appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** The individual themselves who are state, local, and Federal government association members.

#### GSA/OAD 22

**System name:** Listing of Physicians GSA/OAD

**System location:** This system is located in the personnel offices of GSA at the addresses listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22.

**Categories of individuals covered by the system:** Physicians certified by a board of qualified medical officials established by the Civil Service Commission and in some cases, other physicians approved by GSA.

**Categories of records in the system:** Names, addresses and phone numbers of the physicians included in this system. These records serve as a referral source for those who are in need of a physician for fitness for duty examination or on the job injuries.

**Authority for maintenance of the system:** FPM Chapter 831.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The routine uses of these records as defined in 5 U.S.C. 552a(a)(7) and provided for in 5 U.S.C. 552a(b)(3) are described in the Appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** The records within this system are maintained on paper.

**Retrievability:** The records within this system are primarily retrievable by name.

**Safeguards:** When not in use by an authorized person, these records are stored in lockable metal file cabinets or secured rooms.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The Director of Personnel at 18th & F Sts., NW, Washington, DC 20405.

**Notification procedure:** Individuals may obtain information about whether they are included in this system by contacting the Personnel Office listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22.

**Record access procedures:** Individuals should direct requests to access records to the Personnel Office listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22, which is in their particular area. For identification requirements, refer to the agency regulations as outlined in 41 CFR 105-64.

**Contesting record procedures:** GSA/OAD rules for access to records and contesting the contents and appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** The information in this system of records is provided by the individual themselves to either GSA or to the Civil Service Commission or Public Health Service who forward the information to GSA.

#### Appendix GSA/OAD Addresses of Locations

##### Central Office

Personnel Division (BPO)  
GS Building  
18th & F Streets N.W.  
Washington, DC 20405  
Area Code 202-566-0040

##### Regional Offices

Region 1  
Regional Personnel Office  
General Services Administration  
John W. McCormack  
Post Office & Courthouse  
Boston, MA 02109  
Area Code 617-223-2624

Region 2  
Regional Personnel Office  
General Services Administration  
26 Federal Plaza  
New York, NY 10007  
Area Code 212-264-8318

Region 3  
Regional Personnel Office  
General Services Administration  
7th & D Streets SW  
Washington, DC 20407  
Area Code 202-963-5384

Philadelphia Area Office  
William J. Green Jr. Federal Building

600 Arch Street, Room 7250  
Philadelphia, PA 19102  
Area Code 215-597-0991

Baltimore Area Office  
Federal Building, Room 110B  
Baltimore, MD 21201  
Area Code 301-962-4357

Region 4  
Regional Personnel Office  
General Services Administration  
1776 Peachtree Street NW.  
Atlanta, GA 30309  
Area Code 404-526-5621

Region 5  
Regional Personnel Office  
General Services Administration  
230 South Dearborn Street  
Chicago, IL 60604  
Area Code 312-353-5550

Region 6  
Regional Personnel Office  
General Services Administration  
1500 E. Bannister Road  
Kansas City, MO 64131  
Area Code 816-926-7206

St. Louis Area Office  
9700 Page Boulevard  
Overland, MO 63132  
Area Code 314-268-7273

Region 7  
Regional Personnel Office  
General Services Administration  
819 Taylor Street  
Fort Worth, TX 76102  
Area Code 817-334-2366

Region 8  
Regional Personnel Office  
General Services Administration  
Denver Federal Center Bldg. 41  
Denver, CO 80225  
Area Code 303-234-2345

Region 9  
Regional Personnel Office  
General Services Administration  
525 Market Street  
San Francisco, CA 94105  
Area Code 415-556-6527

Stockton Area Office  
Building 414  
Rough and Ready Island  
Stockton, CA 95204  
Area Code 209-946-6256

Region 10  
Regional Personnel Office  
General Services Administration  
GSA Center  
Auburn, WA 98002  
Area Code 206-833-5212

#### GSA/OAD 23

**System name:** Staffing Reporting System GSA/OAD  
**System location:** Regional Office Bldg. 7th & D Sts., SW,  
Washington, DC, 20407

**Categories of individuals covered by the system:** Current and former ODS employees

**Categories of records in the system:** This system of records contains information such as names of personnel, salary, location of employees, job descriptions and vacancies.

**Authority for maintenance of the system:** 44 USC Chapter 21

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The routine uses of these records, as defined in 5 USC 552A (A) (7) and provided for in 5 USC 552A (B) (3), are described in the appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper & ADP punch cards

**Retrievability:** By name

**Safeguards:** Released only to authorized officials with a need to know and filed in an automated system protected by password.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance & Disposition System (OAD P 1820.2).

**System manager(s) and address:** Director of Data Systems, GSA, 7th & D Sts., SW, Washington, DC, 20407

**Notification procedure:** Individual may obtain information about whether they are a part of this system of records from the Director of Data Systems at 7th & D Sts., SW, Washington, DC, 20407.

**Record access procedures:** Access to records in this system may be gained by writing to the Director of Data Systems at 7th & D Sts., SW, Washington, DC, 20407. For identification requirements refer to the agency regulations as outlined in 41 CFR 105-64.

**Contesting record procedures:** GSA rules for contesting the contents and for appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** ODS employee folder and applications for employment.

#### GSA/OAD 24

**System name:** Investigation and Personnel Security Case Files  
GSA/OAD

**Security classification:** Some of the material contained in the system has been classified in the interests of the national security pursuant to Executive Order 11652.

**System location:** The system is located in the Office of Investigations, 18th and F Streets, N.W., Washington, D.C. 20405.

**Categories of individuals covered by the system:** Individuals covered by the system are employees, applicants for employment, former employees of the General Services Administration; Commissions, Committees and small agencies serviced by the General Services Administration; historical researchers. Also included are employees of contractors performing custodial or guard services in buildings under GSA jurisdiction.

**Categories of records in the system:** Investigative files contain information such as name, date and place of birth, address, social security number, education, occupation, experience and investigatory material. These records are used as a basis for issuance of security clearances, suitability determinations and determinations in discrimination cases.

**Authority for maintenance of the system:** Executive Order 10450, April 27, 1953, Executive Order 11478, August 8, 1969, Executive Order 11652, March 8, 1972 and 40 USC. 318(d).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Records are used by officers and representatives of other government agencies on a need-to-know basis in the performance of their official duties under the authorities set forth above and also those Routine Uses contained in the appendix following the GSA Notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper records in card files and file folders.

**Retrievability:** Indexed alphabetically and filed numerically.

**Safeguards:** Records are stored in locked alarmed vault type room and/or three way combination dial safes with access limited to authorized personnel. Information released only to authorized officials on a need-to-know basis.

**Retention and disposal:** Disposition of records is in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P.1820.2). Records are destroyed either by burning or pulping.

**System manager(s) and address:** The official responsible for the system is the Director, Office of Investigations, 18th and F Streets, N.W., Washington, D.C. 20405.

**Notification procedure:** Inquiries by individuals as to whether the system contains a record pertaining to themselves should be addressed to the official cited above or to the Director of Information, 18th and F Streets, N.W., Washington, D.C. 20405.

**Record access procedures:** Requests from individuals for access to records should be addressed to the Director, Office of Investigations and should include full name (maiden name where appropriate), address, date and place of birth. For personal visits the individual should be able to provide some acceptable identification. Only general inquiries may be made by phone.

**Contesting record procedures:** GSA rules for access to records and for contesting the contents and appealing initial determination are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** Individuals, employees, informants, law enforcement agencies, other government agencies, employers, references, co-workers, neighbors, educational institutions and intelligence sources.

**Systems exempted from certain provisions of the act:** In accordance with 5 U.S.C. 552 a(k) this system of records are exempt from subsections (c)(3), (d), (e)(1), (e)(4)(G)(H)(I) and f.

#### GSA/OAD 25

**System name:** Credentials (includes Passes and Licenses) GSA/OAD.

**System location:** This system of records is maintained by the Director of Management Services, 18th and F Streets, NW, Washington, DC and by the regional Management Services Divisions as listed in the appendix following notice GSA/OAD 28.

**Categories of individuals covered by the system:** All employees whose assigned responsibilities require the issuance of credentials for identification and security purposes.

**Categories of records in the system:**

1. GSA I.D. card, GSA Form 208 (name, service and date of issuance).
2. Request for and Record of Credential or Pass, GSA Form 48 (name, description of individual and place of employment).
3. Civil Defense I.D. Card Data, GSA Form 1315 (name, address, personal characteristics).
4. U.S. Government Motor Vehicle Operators I.D. Card (name, sex, DOB, hair color, eye color, height, weight, SSN, birthplace).
5. Night, Weekend and Holiday Pass, GSA Form 15 (various personal characteristics).
6. Civil Defense I.D. Card, SF 138 (name, service and ID number from SF 138).
7. Property Pass, OF 7 (name, building, description of property, agency and effective date).

The purpose of this system is to facilitate the issuance and control of cards, parking permits, building and dining room passes, drivers licenses, and similar credentials.

**Authority for maintenance of the system:** The Federal Property and Administrative Services Act of 1949, 63 Stat. 377, as amended.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The routine uses of these records, as defined in 5 U.S.C. 522a (a)(7) and provided for in 5 U.S.C. 522a (b)(3), are described in the appendix following the GSA Notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper forms.

**Retrievability:** Name.

**Safeguards:** When not in use by an authorized person, these records will be stored in lockable metal file cabinets or in secured areas.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The official responsible for this system is the Director of Management Services, 18th and F Streets,

NW, Washington, DC 20405. Since this is a geographically dispersed system, individuals may gain access to it by contacting the officials at locations as listed in the appendix following notice GSA/OAD-28.

**Notification procedure:** The address of the agency offices to which inquiries should be addressed and addresses of locations at which the individual may present a request as to whether a system contains records pertaining to himself is the same as that shown in the appendix following notice GSA/OAD 28. Individual should provide name, social security number, period of employment, and position held to assist the office in locating the record.

**Record access procedures:** An individual can obtain information on the procedures for gaining access to and contesting records from the Director, Office of Management Services, or Regional Director, Management Services Division, as shown in the appendix following notice GSA/OAD 28.

**Contesting record procedures:** GSA rules for access to system of records, contesting the contents of a system of record and appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** Information is provided by employee being issued credential, and issuing official.

#### GSA/OAD 26

**System name:** Application and physical fitness evaluation for motor vehicle operators

**System location:** This system of records is maintained in the personnel offices of GSA at the addresses listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22 and in the offices of all designated officials who require such forms prior to issuing a U.S. Government Motor Vehicle Operator's Identification Card.

**Categories of individuals covered by the system:** All employees who require the issuance of a motor vehicle operators license.

**Categories of records in the system:** The purpose of this system is to assess the ability of an employee to safely operate a government motor vehicle and to determine the issuance of a government drivers license (SF 46) Records in this system include:

1. SF 47, Physical Fitness Inquiry for Motor Vehicle Operators

2. SF 78, Certificate of Medical Examination

3. GSA 1293, Application for Motor Vehicle Operator's Identification Card (SF 46)

**Authority for maintenance of the system:** 40 U.S.C. 491

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The routine uses of these records, as defined in 5 U.S.C. 522a (a)(7) and provided for in 5 U.S.C. 522a (b) (3), are described in the Appendix following the GSA Notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper

**Retrievability:** Name

**Safeguards:** When not in use by an authorized person, these records are stored in lockable metal file cabinets or in secured rooms.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The Director of Personnel at 18th and F Streets, NW, Washington, DC 20405

**Notification procedure:** Current employees may obtain information about whether they are a part of this system of records from the designated official or from their personnel officer at the appropriate address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22 or from the Director of Personnel at the address noted above, whichever applicable. Former employees may obtain information from the personnel officers at the addresses listed in the appendix.

**Record access procedures:** Requests from current employees to gain access to information pertaining to them should be directed to the designated official or to their personnel officer at the appropriate address listed in the Appendix for the Office of Personnel following the notice GSA/OAD 22 or to the Director of Personnel

at the address noted above, whichever applicable. Former employees should direct requests to the appropriate personnel officer at the address listed in the Appendix. For identification requirements refer to the agency regulations as outlined in 41 CFR 105-64.

**Contesting record procedures:** GSA rules for access to systems of records, contesting the contents of a system of record and appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** The employee, designated officials and physicians.

#### GSA/OAD 27

**System name:** Roster of GSA Officials (GSA Form 2177) GSA/OAD.

**System location:** This system of records is maintained by the Director of Management Services, 18th and F Streets, NW, Washington, DC and by the regional Management Services Divisions as listed in the appendix following notice GSA/OAD 28.

**Categories of individuals covered by the system:** GSA-Officials at Division Director level and above.

**Categories of records in the system:** Name, organizational title, home address, home phone, office phone. The purpose of this system is to provide current directory information on key GSA Regional office officials to the Central Office contact point for weekends, holidays, and emergencies and to provide a system for maintaining continuing liaison between Central Office and the Regional offices.

**Authority for maintenance of the system:** The Federal Property and Administrative Services Act of 1949, 63 Stat. 377, as amended.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The routine uses of these records, as defined in 5 U.S.C. 522a (a)(7) and provided for in 5 U.S.C. 522a(b)(3), are described in the appendix following the GSA Notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper.

**Retrievability:** Name.

**Safeguards:** When not in use by an authorized person, these records will be stored in lockable metal file cabinets or in secured areas.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The official responsible for this system is the Director of Management Services, 18th and F Streets, NW, Washington, DC. Since this is a geographically dispersed system, individuals may gain access to it by contacting the officials at locations as listed in the appendix following notice GSA/OAD-28.

**Notification procedure:** Employees may obtain information as to whether they are part of this system of records from the Director of Management Services or the Regional Administrators at the addresses listed in the appendix following notice GSA/OAD 28.

**Record access procedures:** An individual can obtain information on the procedures for gaining access to and contesting records from the Director, Office of Management Services, or Regional Director, Management Services Division, as shown in the appendix following notice GSA/OAD 28.

**Contesting record procedures:** GSA rules for access to system of records, contesting the contents of a system of record and appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** Information supplied by individual.

#### GSA/OAD 28

**System name:** Essential Residence Telephone Service GSA/OAD.

**System location:** This system of records is maintained by the Director of Management Services, 18th and F Streets, NW, Washington, DC and by the regional Management Services Divisions as listed in the appendix following notice GSA/OAD 28.

**Categories of individuals covered by the system:** GSA employees whose assigned functions and responsibilities dictate that telephone service during an emergency is essential.

**Categories of records in the system:** Contains the name, home phone, and the address of the individual. The purpose of this system is to provide essential telephone service to key employees during emergencies.

**Authority for maintenance of the system:** The Federal Property and Administrative Services Act of 1949, 63 Stat. 377, as amended.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The routine uses of these records, as defined in 5 U.S.C. 522a (a) (7) and provided for in 5 U.S.C. 522a (b) (3), are described in the appendix following the GSA Notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper.

**Retrievability:** Indexed by name.

**Safeguards:** When not in use by an authorized person, these records will be stored in lockable metal file cabinets or in secured areas.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The official responsible for this system is the Director of Management Services, 18th and F Streets, NW, Washington, DC 20405. Since this is a geographically dispersed system, individuals may gain access to it by contacting the officials at locations as listed in the appendix following notice GSA/OAD-28.

**Notification procedure:** Employees may obtain information as to whether they are included in this system from the applicable Director, or Regional Director at addresses as listed in the appendix following notice GSA/OAD 28.

**Record access procedures:** An individual can obtain information on the procedures for gaining access to and contesting records from the Director, Office of Management Services, or Regional Director, Management Services Division, as shown in the appendix following notice GSA/OAD 28.

**Contesting record procedures:** GSA rules for access to system of records, contesting the contents of a system of record and appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** Information provided by individual.

#### Appendix GSA/OAD address of locations

Central Office - Office of Management Services  
18th & F Sts., NW  
Washington, DC 20405

Region 1 - Director of Management Services  
Post Office Square  
Boston, Massachusetts 02109

Region 2 - Director of Management Services  
26 Federal Plaza  
New York, New York 10007

Region 3 - Director of Management Services  
7th & D Sts., SW  
Washington, DC 20407

Region 4 - Director of Management Services  
1776 Peachtree Street NW  
Atlanta, Georgia 30309

Region 5 - Director of Management Services  
219 South Dearborn Street  
Chicago, Illinois 60604

Region 6 - Director of Management Services  
1500 E. Bannister Road  
Kansas City, Missouri 64131

Region 7 - Director of Management Services  
819 Taylor Street  
Fort Worth, Texas 76102

Region 8 - Director of Management Services  
Building 41 - Denver Federal Center

Denver, Colorado 80225

Region 9 - Director of Management Services  
49-4th Street  
San Francisco, California 94103

Region 10 - Director of Management Services  
GSA Center  
Auburn, Washington 98002

#### GSA/OAD 29

**System name:** Disbursement and accounts payable files GSA/OAD.

**System location:** The system is located in the General Services Administration Central Office service and staff offices and other GSA offices at the addresses listed in the appendix following notice GSA/OAD 34.

**Categories of individuals covered by the system:** Current and former employees.

**Categories of records in the system:** The system provides for reporting each account's status. Accordingly records may include but are not limited to name, address, telephone number, vendor identification number, and social security number.

**Authority for maintenance of the system:** 31 USC 65 et seq.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** To the extent necessary the records are available outside GSA to monitor and document adverse action proceedings, advise on credit inquiries, and also those Routine Uses contained in the appendix following the GSA Notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper records in file folders and card files.

**Retrievability:** Filed alphabetically by name or identifying number.

**Safeguards:** Stored in guarded buildings and/or in areas controlled by authorized personnel.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** Director of Finance, General Services Administration, 18th & F Streets, NW, Washington, DC 20405.

**Notification procedure:** Individuals may obtain information about whether they are part of this system of records from the finance office at the appropriate address listed in the appendix following notice GSA/OAD 34 or the Director of Finance at the General Services Administration, 18th & F Streets, NW, Washington, DC 20405, whichever is applicable.

**Record access procedures:** Request to access records should be directed to the finance officer at the appropriate address listed in the appendix following notice GSA/OAD 34. Inquiries should provide full name, social security number, vendor number, address, and telephone number and appropriate dates and transactions giving rise to the record. For identification requirements, refer to the agency regulations as outlined in 41 CFR 105-64.

**Contesting record procedures:** GSA/OAD rules for access to records and for contesting the contents and appealing the initial determinations are promulgated in 41 CFR 105-64 published in the Federal Register.

**Record source categories:** The individuals themselves, employees, other agencies, management officials and non-Federal sources such as private firms.

#### GSA/OAD 30

**System name:** Accounts receivable claims files GSA/OAD.

**System location:** The system is located in the General Services Administration Central Office service and staff offices and other GSA offices at the addresses listed in the appendix following notice GSA/OAD 34.

**Categories of individuals covered by the system:** Current and former employees.

**Categories of records in the system:** The system provides for reporting each account's status. Accordingly records may include but are not limited to name, address, telephone number, vendor identification number, and social security number.

**Authority for maintenance of the system:** 31 USC 65 et seq.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** To the extent necessary the records are available outside GSA to monitor and document adverse action proceedings, advise on credit inquiries, and also those Routine Uses contained in the appendix following the GSA Notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper records in file folders and card files and magnetic tape files.

**Retrievability:** Filed alphabetically by name or identifying number.

**Safeguards:** Stored in guarded buildings and/or in areas controlled by authorized personnel.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** Director of Finance, General Services Administration, 18th & F Streets, NW, Washington, DC 20405.

**Notification procedure:** Individuals may obtain information about whether they are part of this system of records from the finance office at the appropriate address listed in the appendix following notice GSA/OAD 34 or the Director of Finance at the General Services Administration, 18th & F Streets, NW, Washington, DC 20405, whichever is applicable.

**Record access procedures:** Request to access records should be directed to the finance officer at the appropriate address listed in the appendix following notice GSA/OAD 34. Inquiries should provide full name, social security number, vendor number, address, and telephone number and appropriate dates and transactions giving rise to the record. For identification requirements, refer to agency regulations as outlined in 41 CFR 105-64.

**Contesting record procedures:** GSA/OAD rules for access to records and for contesting the contents and appealing the initial determinations are promulgated in 41 CFR 105-64 published in the Federal Register.

**Record source categories:** The individuals themselves, employees, other agencies, management officials and non-Federal sources such as private firms.

#### GSA/OAD 31

**System name:** Travel System GSA/OAD

**System location:** This system is located in the General Services Administration (GSA) Central Office service and staff offices and other GSA offices at the addresses listed in the appendix following notice GSA/OAD 34.

**Categories of individuals covered by the system:** Individuals include employees and former employees of GSA and other independent offices and commissions, such as Presidential commissions, serviced by GSA including those persons other than full time employees authorized to travel on Government business.

**Categories of records in the system:** This system provides control over expenditure of funds for travel and related expenses. Therefore, provisions are made to authorize travel, provide and account for advances, and to pay for travel costs. In this connection, the system contains records which may include, but are not limited to, name, social security number, residence address, dependents names and ages, duty stations and itinerary.

**Authority for maintenance of the system:** 5 USC 5701 - 5709

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The Routine Uses of these Records, as defined in 5 U.S.C. 552a and provided for in 5 U.S.C. 552a (b)(3), are described in the appendix following the GSA Notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper records in file folders and card files and magnetic tape files.

**Retrievability:** Filed alphabetically

**Safeguards:** Stored in guarded buildings and/or in areas controlled by authorized personnel.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** Director of Finance, General Services Administration, 18th & F Streets, NW, Washington, DC 20405.

**Notification procedure:** Current employees may obtain information about whether they are part of this system of records from their supervisor or finance office at the appropriate address listed in the appendix or from the Director of Finance at the General Services Administration, 18th & F Streets, NW, Washington, DC 20405, whichever is applicable. Former employees may obtain information from the finance office at the appropriate address listed in the appendix following notice GSA/OAD 34.

**Record access procedures:** Request to access records from current employees should be directed to the employees' supervisor, the appropriate regional finance offices, or to the Director of Finance at the address listed in the appendix following notice GSA/OAD 34. Former employees should direct requests to access records to the appropriate regional finance officer or to the Director of Finance at the address listed in the appendix following notice GSA/OAD 34. For written request, former employees should provide full name, social security number, address, and telephone number and approximate dates and places of employment. For identification requirements, refer to the agency regulations as outlined in 41 CFR 105-64.

**Contesting record procedures:** GSA/OAD rules for access to records and for contesting the contents and appealing initial determinations are promulgated in 41 CFR 105-64 published in the Federal Register.

**Record source categories:** The individuals themselves, other employees, supervisors, other agencies, management officials and non-Federal sources such as private firms.

#### GSA/OAD 32

**System name:** Manpower and Payroll Statistics System (MAPS)

**System location:** The system is located in the General Services Administration Central Office service and staff offices and other GSA offices at the addresses listed in the appendix following notice GSA/OAD 34.

**Categories of individuals covered by the system:** Individuals include employees and former employees of the General Services Administration including those persons in intern, youth employment and work study programs.

**Categories of records in the system:** The Manpower and Payroll Statistic System (MAPS) is a comprehensive computerized payroll service and personnel statistics information system with the dual purposes of paying employees salaries, and providing for related accounting and statistical reporting. Thus, MAPS achieves multiple benefits from each data element introduced into the system which is patently designed to meet payroll and personnel statistics needs of all types and sizes of government departments, agencies, bureaus and commissions. To accomplish the above, MAPS can and does provide a number of outputs to the payroll office such as a comprehensive payroll, detail accounting distribution of costs, annual - LWOP - sick leave data reports summary, an employee's statement of earnings deductions and leave every payroll for each employee, state and city unemployment compensation report, quarterly Federal and state tax reports, Form 941-FICA and taxable wages, W-2 wage and tax statements and produces reports of withholdings and contributions.

For the personnel office, MAPS produces reports such as an organization roster, classification survey lists, retention register, retirement eligibility list, mandatory retirement lists, report of Federal civilian employment, personnel change listing, length of service and awards lists, and listing of within grade increases.

Records consist of information accumulated by operating officials as well as personnel and finance officials in administering payroll and personnel matters for or about employees. In addition the system contains data necessary to perform detail accounting distributions and to automatically provide for such tasks as mailing checks and bonds, and preparing and mailing tax returns and reports. Accordingly the system contains a large number of records which may include, but are not limited to, name, social security number, date of birth, sex, region and agency, veterans preference, tenure group, service computation date, physical handicap, agency transferred to (former employee), position title, position class number, supervisory code, organization location, geographic location - state or continent, geographic location - city, geographic location - county or country, type of appointment, occupation code, pay plan, grade, step, annual salary-table, hourly salary, second shift differential-rate, third shift differential-rate, post differential,

quarters allowance, cost of living allowance, hazardous duty allowance, within-grade beginning date, days worked since last step increase, date of next within-grade eligibility, within-grade withheld date, full-time/part-time intermittent code, pay rate determinate, employment-limits expiration date, employment-limits total hours, employment-limits balance of hours, employment-limits total salary, employment-limits balance of salary, Government life insurance code, type of retirement status code, normal hours, accounting-distribution fund code (designates specific appropriation or revolving fund to be charged), accounting-distribution allotment or general ledger account, accounting-distribution location facility, accounting-distribution craft code, accounting-distribution object class, wage board shift differentials, sick leave award, in-out of D.C., retirement annuity, date of last pay period, state tax code, state tax exemptions, Federal tax exemptions, Federal tax marital status/options or additional withholdings, Federal employee health benefits plan number, Federal employee health benefits employee share of costs, balance of terminal leave repayment, per pay period terminal leave repayment, balance of Internal Revenue levy, per pay period Internal Revenue levy, union codes and dues, charity codes and amounts, other deduction code and amount, residence code, shift code, severance pay-total entitled and unpaid balance, check mail code, premium pay percent code, savings allotments-deduction amounts, constant for deducting regular Government life insurance, wage board schedule number, days worked calendar year-to-date, review date-detail, review date-temporary promotion, review date-temporary position, position occupation, promotion exception, U.S. citizenship, Federal employees health benefits Government share of costs, competitive service level, permanent from date, entered on duty date, date entered present grade, probationary period beginning date, educational level, degree-year, academic discipline, city tax code, city tax deduction, city tax deduction (calendar year-to-date), military retired pay grade, military service retired from, military retirement date, calendar year-to-date (cytd) base salary, cytd night differential, cytd overtime pay, cytd holiday pay, cytd sunday premium pay, cytd post differential, cytd quarters allowance, cytd cost of living, cytd awards, cytd education allowance, cytd terminal leave, cytd other earnings, cytd gross pay, cytd retirement, cytd Federal tax, cytd savings bond, cytd FICA deduction, cytd FICA gross, cytd Internal Revenue levy, cytd leave repayment, cytd union dues, cytd charity deductions, cytd savings allotments, cytd health insurance-employee share and Government share, cytd state taxes, cytd Government life insurance employee deduction and Government deduction, cytd other deductions, cytd net pay, cytd severance pay, leave category, annual leave (AL) ceiling, AL carry-over, AL credited, AL used year-to-date (ytd), AL credit balance, AL earned ytd, AL earned balance, sick leave (SL) carry-over, SL credited ytd, SL used ytd, SL balance, compensatory leave (CL) earned ytd, CL used ytd, CL balance, leave without pay (LWOP) used ytd, LWOP used since date shown for last step increase, LWOP carry-over, absent without authorized leave (AWOL) used ytd, AWOL used since last step increase, military leave (ML) ytd, court leave ytd, other leave ytd, net terminal leave hours, ML used prior year, bond denomination, bond deduction, bond accumulated balance, bond direct mail code, bond designee number (refers to an individual), bond designee counter (shows which designee is to appear on next bond issued), bond issue date, Mr. - Mrs. - Miss code, bond owner name (may be employee or another person such as a child), bond owner social security number, bond address line 1, bond address line 2, bond address line 3, W-2 street address, W-2 city-state-zip codes, savings allotments (SA) institution name, SA bank identification, SA bank employee account number, SA address line 1, SA bank address line 1, SA bank address line 2, SA bank zip code, net pay deposit for employee (NPD) bank name, NPD employee account number, NPD bank identification - composite checks, NPD bank address line 1, NPD bank address line 2, NPD bank zip code, payroll check direct mail address and zip code pay, and hours history by payroll and also year-to-date cumulative pay and hours records.

**Authority for maintenance of the system:** 5 USC, Part III

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Routine uses of records maintained in the system shall include providing a copy of an employee's Department of the Treasury Form W-2, Wage and Tax Statement, to the State, city, or other local jurisdiction which is authorized to tax the employee's compensation. The record will be provided in accordance with a withholding agreement between the State, city, or other local jurisdiction and the Department of the

Treasury pursuant to 5 U.S.C. 5516, 5517, and 5520, or in the absence thereof in response to a written request from an appropriate official of the taxing jurisdiction to the Director of Administration, General Services Administration (B), Washington, DC 20405. The request must include a copy of the applicable statute or ordinance authorizing the taxation of the compensation and should indicate whether the authority of the jurisdiction to tax the employee is based on place of residence, place of employment, or both.

Pursuant to a withholding agreement between a city and the Department of the Treasury (5 U.S.C. 5520), copies of executed city tax withholding certificates shall be furnished the city in response to a written request from an appropriate city official to the Director of Administration, General Services Administration (B), Washington, DC 20405.

To the extent necessary, records are available outside GSA to monitor and document grievance proceedings, EEO complaints, and adverse actions; to provide references to other agencies and persons for employees seeking employment elsewhere; to conduct counseling sessions; and to prepare biographical sketches of employees for release to other agencies and persons. Some routine uses of MAPS output data is shown by examples listed below:

1. SF 1150 Leave transcript: The SF 1150 is printed when an employee separates from an agency and is transferred along with the employee to wherever his destination may be. This is the primary vehicle by which an employee's leave is transferred from one agency to another. Information is provided for annual and sick leave, military leave, health benefits and Government life insurance;

2. Alphabetical listing of employees: The alphabetical listing is a monthly general use printout of all employees arranged alphabetically by last name. The listing contains information of interest to both payroll and personnel, and it serves as a handy reference in place of the official personnel folders to locate employees, verify employment and to supply basic employment information to answer questions for credit and other purposes outside GSA;

3. Alpha listing of employees by service: This report is identical to the alphabetical listing of employees except that is sorted by the service in which the employee is located. The report contains the basic personnel employment record for each listed employee; therefore, the report is used in the same manner as the alphabetical listing of employees to locate employees, verify employment, and to supply basic employment information for credit and other purposes rather than refer to an employee's official personnel folder;

4. Organization Roster. The organizational roster is a monthly listing of all employees by their organizational location. A separate report is produced for the Central Office and each of the ten regions. The uses of this listing are the same as those for the alphabetical listing of employees and the alpha listing of employees by service except this report is better for showing an accurate picture of the organizational structure of an office or other units;

5. Retention register: The retention register is a listing of all employees grouped by the factors which determine retention rights for reduction in force, RIF, purposes;

6. Executive health maintenance list: The executive health maintenance list is a listing of all employees over 40 years of age who are qualified for the Executive Health Maintenance Program according to parameters set by each region. The listing is available upon request to management officials and Health Unit officials on a need-to-know basis; and also those Routine uses contained in the appendix following the GSA Notices;

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper records in file folders and card files, microfilm records in reels and cabinets, magnetic tapes and cards in cabinets and storage libraries, computer records within a computer and attached equipment.

**Retrievability:** Filed alphabetically by name, by social security number, or both methods at each location for each person.

**Safeguards:** When not in use by an authorized person, these records are stored in lockable metal containers or in secured rooms.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** Director of Finance, General Services Administration, 18th & F Streets, NW, Washington, DC 20405.

**Notification procedure:** Current employees may obtain information about whether they are part of this system of records from their supervisor or from their personnel office at the appropriate address listed in appendix following notice GSA/OAD 34 or from the Director of Personnel or the Director of Finance at General Services Administration, 18th & F Streets, NW, Washington, DC, 20405, whichever is applicable. Former employees may obtain information from the Director of Personnel or the Director of Finance at the address listed above.

**Record access procedures:** Requests to access records from current employees should be directed to the employees' supervisor or to the personnel or finance officer at the address in the appendix noted below or to the Director of Personnel or the Director of Finance, at the address noted above, whichever is applicable. Former employees should direct requests to access records to the personnel or finance officer at the proper address listed in appendix following notice GSA/OAD 34 or the Director of Personnel or the Director of Finance at the addresses noted, whichever is applicable. For written requests, former employees should provide full name, social security number, address, and telephone number, and approximate dates and places of employment. For identification requirements, refer to the agency regulations as outlined in 41 CFR 105-64.

**Contesting record procedures:** GSA/OAD rules for access to records and for contesting the contents and appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** The individuals themselves, other employees, supervisors, other agencies management officials and non-Federal sources such as private firms.

#### GSA/OAD 33

**System name:** Employee payroll and time and attendance reporting system

**System location:** The system is located in the General Services Administration Central Office service and staff offices and other offices wherever there are timekeepers and at the addresses listed in the Appendix following notice GSA/OAD 34.

**Categories of individuals covered by the system:** Current and former employees.

**Categories of records in the system:** The system provides for reporting each employee's status as either on the job time or as paid or unpaid leave time including absences without authorized leave. Accordingly records include but are not limited to name, home address, telephone number, work location, social security number, hours of duty and attendance information for processing of the data to the Manpower and Payroll Statistics System

**Authority for maintenance of the system:** 5 USC Part III

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** To the extent necessary the records are available outside GSA to monitor and document grievance proceedings, EEO complaints and adverse actions; to conduct counseling sessions; and also those Routine Uses contained in the appendix following the GSA Notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper records in file folders and card files.

**Retrievability:** Filed alphabetically by name

**Safeguards:** Stored in guarded buildings and/or in areas controlled by authorized personnel.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** Director of Finance, General Services Administration, 18th & F Streets, NW, Washington, DC 20405.

**Notification procedure:** Current employees may obtain information about whether they are part of this system of records from their supervisor or finance office at the address in the appendix referenced below or from the Director of Finance at the General

Services Administration, 18th & F Streets, NW, Washington, DC 20405, whichever is applicable. Former employees may obtain information from the finance office at the appropriate address listed in the appendix following notice GSA/OAD 34 or from the Director of Finance at the address listed above.

**Record access procedures:** Request to access records from current employees should be directed to the employees' supervisor or to the finance officer at the address in the appendix referenced below or to the Director of Finance, at the address noted above, whichever is applicable. Former employees should direct requests to access records to the finance officer at the appropriate address listed in the appendix following notice GSA/OAD 34 or to the Director of Finance at the address noted, whichever is applicable. For written request, former employees should provide full name, social security number, address, and telephone number, and approximate dates and places of employment. For identification requirements, refer to the agency regulations as outlined in 41 CFR 105-64.

**Contesting record procedures:** GSA/OAD rules for access to records and for contesting the contents and appealing initial determinations are promulgated in 41 CFR 105-64 published in the Federal Register.

**Record source categories:** The individuals themselves, other employees, supervisors, other agencies, management officials and non-Federal sources such as private firms.

#### GSA/OAD-34

**System name:** Employee Credit Reports GSA/OAD

**System location:** The system is located in the General Services Administration, Office of Finance, Financial Management Division, Credit and Finance Branch, 18th & F Streets, NW, Washington, DC 20405.

**Categories of individuals covered by the system:** Present and former employees who have refused to abide by the terms of their training agreement and/or other employment related contracts, and thereby have incurred a liability to the Government. If appropriate, similar information will be gathered on spouses.

**Categories of records in the system:** The categories are as follows: Name and address; age; number of dependents; name of employer; nature of business; position held/length held; full time or part-time employment; prospects for continued permanent employment; net worth and what it consists of; annual earned income; additional income; reputation; credit record; financial record and personal history. Records are used in GSA to investigate employees who have defaulted on employment related contracts.

**Authority for maintenance of the system:** 31 U.S.C. 951-953

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Referred to GAO. Also may be transferred for the routine uses listed in the appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** The records for both GSA and the contractor will be stored on paper.

**Retrievability:** The records within the system will be kept in alphabetical order by name.

**Safeguards:** All correspondence between GSA and the contractor will be secured in a locked cabinet in the Credit and Finance Branch. Only those people designated authority will handle this data. The contractor will retain his records in a secured office.

**Retention and disposal:** Disposal is in accordance with HB GSA Record Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** Chief, Credit and Finance Branch, Financial Management Division, Office of Finance, OAD, 18th & F Streets, NW, Washington, DC 20405.

**Notification procedure:** Information may be obtained from official listed above.

**Record access procedures:** Procedures for contesting records are contained in 41 CFR 105-64.

**Contesting record procedures:** Procedures for access to records are contained in 41 CFR 105-64.

**Record source categories:** Credit companies, individuals, employers/supervisors, former employers, banks and GSA contracted credit investigators.

Appendix GSA/OAD Addresses of Locations

#### Central Office

Office of Administration  
Office of Finance  
GS Building, Room 3131  
18th & F Streets NW  
Washington, DC 20405

#### Automated Data and Telecommunications Service

Financial Management Division  
GS Building, Room 1204  
18th & F Streets NW  
Washington, DC 20405

#### Office of Preparedness

Executive Director  
GS Building, Room 4241  
18th & F Streets NW  
Washington, DC 20405

#### Federal Supply Service

Office of Executive Director  
Crystal Mall Building 4  
1941 Jefferson Davis Highway  
Room 1111  
Arlington, VA 20406

#### National Archives and Records Service

Office of Executive Director  
Archives Building  
7th & Pennsylvania Avenue NW  
Room 108  
Washington, DC 20408

#### Public Buildings Service

Office of Executive Director  
GS Building, Room 6340  
18th & F Streets NW  
Washington, DC 20405

#### Regional Offices

##### Region 1

Finance Division  
General Services Administration  
John W. McCormack  
Post Office and Courthouse  
Boston, MA 02109

##### Region 2

Finance Division  
General Services Administration  
26 Federal Plaza  
New York, NY 10007

##### Region 3

Finance Division  
General Services Administration  
7th & D Streets SW  
Washington, DC 20407

#### Philadelphia Area Office

William J. Green, Jr.  
Federal Building  
600 Arch Street, Room 7250  
Philadelphia, PA 19102

#### Baltimore Area Office

Federal Building, Room 110B  
Baltimore, MD 21201

##### Region 4

Finance Division  
General Services Administration  
1776 Peachtree Street NW  
Atlanta, GA 30309

##### Region 5

Finance Division  
General Services Administration

230 South Dearborn Street  
Chicago, IL 60604

Region 6  
Finance Division  
General Services Administration  
1500 E. Bannister Road  
Kansas City, MO 64131

St. Louis Area Office  
9700 Page Boulevard  
Overland, MO 63132

Region 7  
Finance Division  
General Services Administration  
819 Taylor Street  
Fort Worth, TX 76102

Region 8  
Finance Division  
General Services Administration  
Denver Federal Center Bldg. 41  
Denver, CO 80225

Region 9  
Finance Division  
General Services Administration  
525 Market Street  
San Francisco, CA 94105

Stockton Area Office  
Building 414  
Rough and Ready Island  
Stockton, CA 95204

Region 10  
Finance Division  
General Services Administration  
GSA Center  
Auburn, WA 98002

#### GSA/OAD 35

**System name:** Discrimination Complaint File, GSA/OAD

**System location:** The system is located in the GS Building, 18th and F Streets, NW Washington, DC 20405, and in the regional offices located at the addresses listed in the appendix following the notice GSA/OAD 22.

**Categories of individuals covered by the system:** Individuals include GSA employees or applicants for employment who consult an Equal Employment Opportunity (EEO) Counselor concerning allegations of discrimination.

**Categories of records in the system:** This system of records contains information or documents relating to a decision or determination made by the Agency or the U.S. Civil Service Commission affecting an individual. The records include, but are not limited to, report of counseling, investigation, letters or notices to the individual, record of hearings when conducted, materials placed into the record to support the decision of determination, affidavits or statements, testimony of witnesses, and related correspondence, opinions and recommendations.

**Authority for maintenance of the system:** Executive Orders 11478 and 11141, the Equal Employment Opportunity Act of 1972, and the Age Discrimination in Employment Act of 1967, as amended.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** These records and information in the records may be used to adjudicate an appeal or complaint; and also those Routine Uses contained in the appendix following the GSA Notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** These records are maintained in file folders, binders and index cards.

**Retrievability:** These records are indexed by the names of the individuals on whom they are maintained.

**Safeguards:** Records are located in lockable metal file cabinets. Access to and use of these records are limited to those persons whose official duties require such access. Personnel screening is employed to prevent unauthorized disclosures.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:**

Director, Equal Employment Opportunity Division  
General Services Administration  
18th and F Streets, NW  
Washington, DC 20405

**Notification procedure:** Requests from individuals as to whether they are part of this system should be addressed to the official responsible for the system, or to the appropriate Regional EEO Officer at the address listed in the appendix following the notice GSA/OAD 22.

**Record access procedures:** Requests from individuals for access to this system of records should be addressed to the Director, Equal Employment Opportunity Division at the above address or to the appropriate EEO Officer at the address listed in the appendix following the notice GSA/OAD 22. For identification requirement, refer to the agency regulations as outlined in 41 CFR 105-64.

**Contesting record procedures:** GSA rules for access to records and for contesting the contents and appealing initial determination are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** Individuals to whom the record pertains; Agency officials, affidavits or statements from employee, testimony of witnesses, official documents relating to the request for counseling, appeal, or complaint, and correspondence from specific organizations or persons.

#### GSA/OGC 2

**System name:** Attorney Placement, GSA/OGC. This notice covers 6 unique systems of records of related subject matter.

**System location:** Each system is located in one of the following 6 offices; the supervisor in each of these 6 offices is the official who sets policies and procedures for the records in his own office: LS, and the Office of the Regional Counsels, Regions 1, 2, 5, 6, & 7. See appendix following notice OGC 6 for addresses.

**Categories of individuals covered by the system:** Each of the 6 systems covers attorneys and law students applying for employment.

**Categories of records in the system:** Each of the 6 systems covers qualifications of applicants for legal positions; the Deputy General Counsel for Operations, LS, and the Regional Counsels for Regions 1, 2, 5, 6, and 7 and other authorized OGC employees use the records in their respective offices to fill vacancies and new positions with qualified applicants.

**Authority for maintenance of the system:** Each of the 6 systems is authorized by 5 U.S.C. 3101 and the Federal Property and Administrative Services Act of 1949 as amended.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The Routine Uses of these records, as defined in 5 U.S.C. 552a(a)(7) and provided for in 5 U.S.C. 552a(b)(3), are described in the appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper.

**Retrievability:** Manual by name.

**Safeguards:** File folders are kept in guarded buildings and released only to authorized persons.

**Retention and disposal:** Disposition of records shall be in accordance with the HB GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The system managers for the 6 systems are respectively: Deputy General Counsel for Operations, LS; and Regional Counsels, Regions 1, 2, 5, 6, and 7 for records within their offices. See appendix following notice OGC 6 for addresses.

**Notification procedure:** GSA notification procedures are contained in 41 CFR 105-64.

**Record access procedures:** GSA procedures for record access are contained in 41 CFR 105-64.

**Contesting record procedures:** GSA rules for contesting records are contained in 41 CFR 105-64.

**Record source categories:** Information in each of the 6 systems comes from one of the following sources: Applicants, placement offices, interviewers.

#### GSA/OGC 4

**System name:** General Law Files, GSA/OGC. This notice covers 21 unique systems of records involving related subject matter.

**System location:** The General Law Files of OGC are divided into 21 unique systems of related subject matter. Each system is located in one of the following 21 offices; the supervisor in each of these 21 offices is the official who sets policies and procedures for the records in his own office:

L, LL, LS, LB, LC, LE, LM, LR, LP, LT, LX, and Office of the Regional Counsels, Regions 1-10, for records in their respective offices. See appendix following notice OGC 6 for addresses.

For information on the location of specific law files contact LS at the address listed in the appendix.

**Categories of individuals covered by the system:** Each of the 21 systems covers one or more of the following categories of individuals:

GSA employees, past and present; other agency employees, members of the public (including individuals, corporations, and firms); witnesses in regulatory proceedings; persons who have made Freedom of Information and Privacy Act requests and persons about whom such requests have been made; persons involved in litigation with GSA; grievants under collective bargaining agreements; appellants.

**Categories of records in the system:** Each of the 21 systems covers one or more of the following categories of records:

Among other data, name of individuals, position description, grade, salary, SSN, work history, complaint, history of the case, applicable law, working papers of attorney, testimony of witnesses, background investigation materials, records subject to complaint, request, or litigation, correspondence, damage reports, contracts, accident reports, GSA Form 1593, SF 91, SF 91A, SF 92, pleadings, affidavits, credit ratings, medical diagnoses and prognoses, doctor's bills, estimates of repair costs, invoices, litigation reports, financial data. Records are used to give general legal advice, as requested, throughout GSA, and to prepare attorneys for hearings and trials, to reference past actions, and to maintain internal statistics.

**Authority for maintenance of the system:** Each of the 21 systems is authorized by one or more of the following statutes or Executive Orders:

Federal Property and Administrative Services Act of 1949, 63 Stat. 377, as amended; Freedom of Information Act, 5 U.S.C. 552 as amended; the Privacy Act of 1974, 5 U.S.C. 552a; 5 U.S.C., Part II (Civil Service Commission); 5 U.S.C., Chap. 33 (examination, selection and placement); Equal Employment Opportunity Act of 1972, 86 Stat. 103, 5 U.S.C. 5108, 5314-5316 and 42 U.S.C. 2000e, et. seq.; 5 U.S.C. 7151-7154 (antidiscrimination in employment); 5 U.S.C., 7301 (regulation of conduct); 5 U.S.C. 7501, note (adverse actions); 5 U.S.C., Chapter 77 (appeals); title 11 U.S.C. (bankruptcy); Federal Tort Claims Act, 28 U.S.C. 1291, 1346 (b)(c), 1402(b), 1504, 2110, 2401(b), 2402, 2411(b), 2412(c), 2671-2680; 1 Stat. 515, 676, 31 U.S.C. 191 (debts owed by or due to U.S.); Federal Claims Collection Act of 1972, 80 Stat. 308-309, 31 U.S.C. 951-953; 78 Stat. 767, 768, 79 Stat. 789, 82 Stat. 998, 84 Stat. 412, 86 Stat. 491, 31 U.S.C. 240-243 (settlement of claims); E.O. 1166 Organization of Executive Agencies; E.O. 10577, Amending the Civil Service Rules and Authorizing a new Appointment System for the Competitive Service; E.O. 11491, Labor-Management Relations in the Federal Service; E.O. 11787, Revoking Executive Order 10987, Relating to Agency Systems for Appeals from Adverse Actions.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Information may be released to the Department of Justice in review, settlement, defense, and prosecution of claims, complaints, and law suits involving contracts, torts, debts, bankruptcy, personnel adverse action, EEO, unit determinations, unfair labor practices, and Freedom of Information and Privacy Act requests. Other routine uses are listed in the appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper.

**Retrievability:** Manual, by name.

**Safeguards:** Records are stored in secured buildings; available to authorized persons only.

**Retention and disposal:** Disposition of records shall be in accordance with the HB GSA Records Maintenance and Disposition System (OAD P 1820.2)

**System manager(s) and address:** The system managers for the 21 systems are, respectively:

General Counsel, L; Deputy General Counsel for Law, LL; Deputy General Counsel for Operations, LS; Assistant General Counsels, LB, LC, LE, LM, LP, LR, LT, LX, and Regional Counsels for Regions 1-10. See appendix following notice OGC 6 for addresses.

**Notification procedure:** GSA notification procedures are contained in 41 CFR 105-64.

**Record access procedures:** GSA procedures for record access are contained in 41 CFR 105-64.

**Contesting record procedures:** GSA rules for contesting records are contained in 41 CFR 105-64.

**Record source categories:** Information in each of the 21 systems comes from one or more of the following sources:

Federal employees and private parties involved in torts, contracts, personnel actions, unfair labor practices, and debts concerning the Federal Government; general law texts and sources; Dun and Bradstreet and other sources of financial information; law enforcement officers; witnesses, and others.

#### GSA/OGC 5

**System name:** General personnel files. This notice covers 21 unique systems of records involving related subject matter.

**System location:** These files are divided into 21 unique systems of related subject matter. Each system is located in one of the following 21 offices; the supervisor in each of these 21 offices is the official who sets policies and procedures for the records in his own office: L, LS, LA, LB, LC, LE, LM, LP, LT, LR, LX, Office of the Regional Counsels, Regions 1-10. See appendix following notice OGC 6 for addresses.

**Categories of individuals covered by the system:** OGC Central Office employees for those systems in the offices of L, LS, LA, LB, LC, LE, LM, LP, LT, LR, and LX; Regional employees for those systems in the Offices of the Regional Counsels, Regions 1-10.

**Categories of records in the system:** Each of the 21 systems covers one or more of the following types of records or information: Name, title, SSN, DOB, grade, salary, employment history, home address and telephone number, resume, age, marital status, GSA Form 834-Annual Attendance Record, Form 1349-Personal Data Statement, transcripts, OGC employee record, applications, directories of attorneys, news clippings, correspondence, letters of reference. Records are used in the agency to complete agency forms for requests for personnel actions, security clearances, travel and training authorizations, time and attendance records, reports, etc. Also used for issuing passes, motor pool ID, etc. Information is used 'in house' for personnel evaluation and management.

**Authority for maintenance of the system:** Each of the 21 systems is authorized by the following statutes: 5 U.S.C., generally; Federal Property and Administrative Services Act of 1949, as amended

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Information in each of the 21 systems is disclosed to persons outside the agency for verifying employment and salary, preparing letters of reference at the request of the employee, making travel and training arrangements, and furnishing copies of performance appraisals to other government agencies when employees have applied for jobs elsewhere. Other routine uses are listed in the appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Information in each of the 21 systems is stored in one or more of the following manners: In paper files, in the General Counsel's desk book, in supervisors' card indices.

**Retrievability:** Information in each of the 21 systems is retrieved manually by name, grade or title.

**Safeguards:** All records are safeguarded in accordance with GSA Privacy Regulations contained in 41 CFR 105-64.

**Retention and disposal:** Disposition of records shall be in accordance with the HB GSA Records Maintenance and Disposition System (OAD P 1820.2), paragraph 9B25.

**System manager(s) and address:** The system manager for each of the 21 systems, respectively, is: General Counsel, L; Deputy

General Counsel, LS; Administrative Officer, LA; Assistant General Counsels 2 LB, LC, LE, LM, LP, LR, LT, LX; Regional Counsels, Regions 1-10. See appendix following notice OGC 6 for addresses.

**Notification procedure:** GSA notification procedures are contained in 41 CFR 105-64.

**Record access procedures:** GSA procedures for record access are contained in 41 CFR 105-64.

**Contesting record procedures:** GSA rules for contesting records are contained in 41 CFR 105-64.

**Record source categories:** The source of information in each of the 21 systems is one or more of the following: Official personnel records, GSA Personnel and Finance Offices, supervisors, letters of reference or commendation furnished by agency officials or persons from private industry, educational information supplied by colleges and universities, periodicals, the individual.

#### GSA/OGC 6

**System name:** Potential employees referred by members of the Legislative and Executive branches and other sources.

**System location:** LC. See appendix following Notice GSA/OGC 6 for address.

**Categories of individuals covered by the system:** Applicants for employment at GSA.

**Categories of records in the system:** Resumes, applications, recommendations and replies, previous work history, reference checks, and notes. Used inside the agency in connection with litigation and hearings.

**Authority for maintenance of the system:** Civil Service Rule 5, 5 CFR 5.1-5.4.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** See appendix following GSA notices. Also may be transferred to CSC, outside counsel, and respondents in connection with ongoing litigation and hearings.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper.

**Retrievability:** Manually, by name.

**Safeguards:** Stored in locked room in guarded building, accessible to authorized persons only.

**Retention and disposal:** At the termination of all litigation and hearings relevant to the records, records will be integrated into individual Official Personnel Folders, to the extent possible. The remaining records will be disposed of in accordance with normal procedures.

**System manager(s) and address:** Assistant General Counsel, LC. See appendix following Notice GSA/OGC 6 for address.

**Notification procedure:** GSA notification procedures are contained in 41 CFR 105-64.

**Record access procedures:** GSA procedures for record access are contained in 41 CFR 105-64.

**Contesting record procedures:** GSA rules for contesting records are contained in 41 CFR 105-64.

**Record source categories:** GSA Personnel Offices, the individual, persons who provided references, former employees, GSA employees involved in personnel work.

#### Appendix GSA/OGC Address of Locations

Office of General Counsel, Central Office Divisions located at the General Services Building, 18th and F Streets, NW, Washington, DC 20405. Business hours are 8:00-4:30 EST.

L - General Counsel  
(202) 566-1200

LL - Deputy General Counsel for Law  
(202) 566-0050

LS - Deputy General Counsel for Operations  
(202) 566-0681

LA - Administrative Officer  
(202) 566-0681

LB - Assistant General Counsel  
Public Buildings Division

(202) 566-0500

LC - Assistant General Counsel  
Claims and Litigation Division  
(202) 566-1594

LE - Assistant General Counsel  
Preparedness and Policy Division  
(202) 566-0528

LM - Assistant General Counsel  
Labor Law Division  
(202) 566-1460

LR - Assistant General Counsel  
Administration and Records Division  
(202) 566-1460

LT - Assistant General Counsel  
Regulatory Law Division  
(202) 566-0750

LX - Assistant General Counsel  
Automated Data and Telecommunications Division  
(202) 566-1156

Office of General Counsel, Central Office Division located at Crystal Mall Building, Room 819, Washington, DC 20406. Business hours are 7:45-4:15 EST.

LP - Assistant General Counsel  
Procurement Division  
(202) 557-8417

Office of General Counsel, Regional Offices, located at:

Region 1 - GSA Regional Counsel  
J. W. McCormack P.O. &  
Court House  
Boston, MA 02109  
(617) 223-2621  
8:20-4:50 EST

Region 2 - GSA Regional Counsel  
26 Federal Plaza  
New York, NY 10007  
(212) 264-8306  
8:15-4:45 EST

Region 3 - GSA Regional Counsel  
7th and D Streets, SW  
Washington, DC 20407  
(202) 963-4221  
8:15-4:45 EST

Region 4 - GSA Regional Counsel  
1776 Peachtree Street, NW  
Atlanta, GA 30309  
(404) 526-5615  
8:00-4:30 EST

Region 5 - GSA Regional Counsel  
230 S. Dearborn Street  
Chicago, IL 60604  
(312) 353-5392  
8:30-5:00 CST

Region 6 - GSA Regional Counsel  
1500 E. Bannister Road  
Kansas City, MO 64131  
(816) 926-7212  
8:00-4:30 CST

Region 7 - GSA Regional Counsel  
819 Taylor Street  
Fort Worth, TX 76102  
(817) 334-2325  
7:45-4:15 CST

Region 8 - GSA Regional Counsel

Building 41  
Denver Federal Center  
Denver, CO 80225  
(303) 234-3813  
7:30-4:00 MST

Region 9 - GSA Regional Counsel  
525 Market Street  
San Francisco, CA 94105  
(415) 556-3963  
7:45-4:15 PST

Region 10 - GSA Regional Counsel  
GSA Center  
Auburn, WA 98002  
(206) 833-5225  
7:30-4:00 PST

#### GSA/NARS 1

**System name:** Researcher Application Files, GSA/NARS

**System location:** The system is located in the National Archives Building, Presidential libraries, Washington National Records Center, National Personnel Records Center, Federal records centers, and Federal archives and records centers. The addresses are listed in the appendix, following Notice GSA/NARS 10.

**Categories of individuals covered by the system:** Researchers who apply to use records in the National Archives, Presidential libraries, and records centers.

**Categories of records in the system:** Applications to use records including name, address, telephone number, occupation, research topic, education level, and field of interest. The records are used by officers and employees of GSA who have a need for the records in the performance of their duties to identify and record the individuals who use records in the National Archives and other repositories listed above, to provide a means of contacting the individual if additional information of research interest to him is found, and to mail notices of events and programs of interest to users of the records in the National Archives.

**Authority for maintenance of the system:** 44 U.S.C. 2104.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The routine uses of the records as defined in 5 U.S.C. 552a(a)(7) and provided for in 5 U.S.C. 552a(b)(3) are described in the appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper records in card files and file folders.

**Retrievability:** Filed alphabetically at each location by name of individual.

**Safeguards:** Buildings employ security guards and records are maintained in areas accessible only to authorized personnel of NARS.

**Retention and disposal:** Records are cut off annually, held one year and retired. After 14 additional years they are destroyed. These procedures are in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The agency official with overall responsibility for the system of records is the Archivist of the United States, National Archives Building, Eighth and Pennsylvania Avenue, NW Washington, DC, 20408. Officials responsible for the geographically dispersed system of records are the Assistant Archivist for the National Archives at the National Archives Building, the Directors of the Presidential Libraries, the Directors of the Federal Archives and Records Centers, and the Directors of Federal Records Centers at the addresses listed for these locations in the appendix, following Notice GSA/NARS 10.

**Notification procedure:** Information may be obtained from the officials cited above at the appropriate repository where individuals have used records.

**Record access procedures:** Requests from individuals to access records should be addressed to the Assistant Archivist for the National Archives, the directors of the Presidential libraries, the directors of Federal archives and records centers, or the directors of Federal records centers, depending on where individuals have used records. In person requests may be made during normal business hours listed for each location in the appendix, following Notice GSA/NARS 10. For written requests, the individual should

provide full name, address, and telephone number, and the approximate dates records were used. For personal visits, the individual should be able to provide some acceptable identification such as driver's license or student or employee identification. Only general inquiries may be made by telephone.

**Contesting record procedures:** GSA/NARS rules for access to records and for contesting the contents and appealing initial determinations are found in 41 CFR 105-64.

**Record source categories:** Researchers.

#### GSA/NARS 2

**System name:** Reference request files, GSA/NARS

**System location:** The system is located in the National Archives Building, Presidential libraries, Washington National Records Center, National Personnel Records Center, Federal records centers, and Federal archives and records centers. The addresses are listed in the appendix, following Notice GSA/NARS 10.

**Categories of individuals covered by the system:** Researchers and correspondents, requesting information from the records in the National Archives, Presidential libraries, and records centers.

**Categories of records in the system:** Correspondence, reference service slips, receipts for money, deposit account records, reproduction orders, reference logs, lending files, and reference files pertaining to requests for information, including all or parts of the following: requester's name, address, telephone number, occupation, research topic, education level, and field of interest. Biographical material relating to individuals who are the subject of the reference inquiries may also be included. The records are used by officers and employees of GSA who have a need for the records in the performance of their duties to record individual requests for information and the responses to those requests; to maintain control over information requests received and answered; to enable later contact with the requester if required; to assist in the preparation of standard replies to similar questions; to facilitate preparation of statistical and other reports; to establish researcher accountability for records; to maintain control of records being used; to record payment for reproduction orders and funds placed on deposit; to record loans of materials or records from the above locations; to monitor Freedom of Information Act requests and prepare reports; and, when requested by the individual researcher, to write recommendations for researchers applying for grants or employment.

**Authority for maintenance of the system:** 44 U.S.C. 2104 and 2907.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The routine uses of the records as defined in 5 U.S.C. 552a(a)(7) and provided for in 5 U.S.C. 552a(b)(3) are described in the appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper records in card files and file folders.

**Retrievability:** Filed alphabetically at each location by name of individual.

**Safeguards:** Buildings employ security guards, and records are maintained in areas accessible only to authorized personnel of NARS.

**Retention and disposal:** Records which are:

- (1) created in the administration of loans of materials are cut off after the return of the materials, held one year, and destroyed;
- (2) created in the process of providing reference service by mail are cut off annually, held two years, and destroyed;
- (3) created in the process of providing records to researchers in the National Archives research rooms are cut off annually, held one year, and retired. After 14 additional years they are destroyed.

These procedures are in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The agency official with overall responsibility for the system of records is the Archivist of the United States, National Archives Building, Eighth and Pennsylvania Avenue, NW Washington, DC, 20408. Officials responsible for the geographically dispersed system of records are the Assistant Archivist for the National Archives at the National Archives Building, the Directors of the Presidential Libraries, the Directors of the Federal Archives and Records Centers, and the Directors of Federal Records Centers at the addresses listed for these locations in the appendix, following Notice GSA/NARS 10.

**Notification procedure:** Information may be obtained from the officials cited above at the appropriate repository where individuals have used records or directed inquiries. The addresses are listed in the appendix, following Notice GSA/NARS 10.

**Record access procedures:** Requests from individuals to access records should be addressed to the Assistant Archivist for the National Archives, the directors of the Presidential libraries, the directors of Federal archives and records centers, or the directors of Federal records centers, depending on where individuals used records or directed inquiries. In person requests may be made during normal business hours listed for each location in the appendix, following Notice GSA/NARS 10. For written requests, the individual should provide full name, address, and telephone number, and the approximate dates of the correspondence or transaction. For personal visits the individual should be able to provide some acceptable identification such as driver's license or student or employee identification. Only general inquiries may be made by telephone.

**Contesting record procedures:** Contesting record procedures: GSA/NARS rules for access to records and for contesting the contents and appealing initial determinations are found in 41 CFR 105-64.

**Record source categories:** Researchers, correspondents, and employees of the National Archives.

#### GSA/NARS 3

**System name:** Donors of historical materials files, GSA/NARS

**System location:** The system is located in the National Archives Building and the Presidential libraries. The addresses are listed in the appendix, following Notice GSA/NARS 10.

**Categories of individuals covered by the system:** Donors and potential donors of papers or other historical materials to the National Archives and Presidential libraries.

**Categories of records in the system:** Correspondence, deeds of gift, deposit agreements, accession files, accession cards, administrative files, inventory of museum objects, oral history use agreements, tapes, and transcripts, all of which relate to the solicitation and preservation of donations. Also included are biographical data on donors as well as their addresses, telephone numbers, and occupations. The records are primarily used by officers and employees of GSA who have a need for the records in the performance of their duties to record solicitation efforts and accessioning of papers and other historical materials for preservation in the above locations; to maintain control over the accessions program; to facilitate future solicitations of gifts; to record deeds of gift; to record agreements of use.

**Authority for maintenance of the system:** 44 U.S.C. 2107 and 2108.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The routine uses of records as defined in 5 U.S.C. 552a(a)(7) and provided for in 5 U.S.C. 552a(b)(3) include releasing biographical material about donors and prospective donors to the public as well as the routine uses described in the appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper records in file folders; sound recordings.

**Retrievability:** Filed alphabetically at each location by name of individual donor.

**Safeguards:** Buildings employ security guards, and records and other materials are maintained in areas accessible only to authorized personnel of NARS.

**Retention and disposal:** Records are permanent. These procedures are in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The agency official with overall responsibility for the system of records is the Archivist of the United States, National Archives Building, Eighth and Pennsylvania Avenue, NW, Washington, DC 20408. Officials responsible for the geographically dispersed system of records are the Assistant Archivist for the National Archives and the Assistant Archivist for Presidential Libraries at the National Archives Building, and the Directors of the Presidential Libraries. The addresses are listed in the appendix following Notice GSA/NARS 10.

**Notification procedure:** Information may be obtained from the officials cited above at the appropriate repository where individuals have donated materials or from which they have received requests

for donations. The addresses are listed in the appendix, following Notice GSA/NARS 10.

**Record access procedures:** Requests from individuals to access records should be addressed to the Assistant Archivist for the National Archives or the Assistant Archivist for Presidential Libraries or the directors of Presidential libraries depending on which repository the individual has been associated with. In person requests may be made during normal business hours listed for each location in the appendix, following Notice GSA/NARS 10. For written requests, the individual should provide full name, address, and telephone number, and the approximate dates of the correspondence or transaction. For personal visits the individual should be able to provide some acceptable identification such as driver's license, employee identification card, etc. Only general inquiries may be made by telephone.

**Contesting record procedures:** GSA/NARS rules for access to records and for contesting the contents and appealing initial determinations are found in 41 CFR 105-64.

**Record source categories:** Donors, potential donors, and employees of the National Archives.

#### GSA/NARS 4

**System name:** National and Regional Archives Advisory Council files, GSA/NARS.

**System location:** The system is located in the National Archives Building, the Federal archives and records centers, and the regional archives headquarters. The addresses are listed in the appendix, following Notice GSA/NARS 10.

**Categories of individuals covered by the system:** Former, current, and prospective Council members.

**Categories of records in the system:** Correspondence with and biographical information about former, current, and prospective Council members including all or parts of the following: name, address, telephone number, education, professional vita, and publications. The records are used by officers and employees of GSA who have a need for the records in the performance of their duties to review professional qualifications of prospective Council members; to document activities of the Councils themselves; to conduct future correspondence with Council members; to serve as a mailing list with current and past members; to record the individual Council members' role; and to help formulate Council policy.

**Authority for maintenance of the system:** 5 U.S.C., Appendix 1, Section 8.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Biographical material relating to Council members is made available to the public for purposes of publicizing the membership and activities of the Councils.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper records in file folders.

**Retrievability:** Filed alphabetically at each location by name of individual.

**Safeguards:** Buildings employ security guards, and records are maintained in areas accessible only to authorized personnel of NARS.

**Retention and disposal:** Records are cut off after each meeting, held five years, and offered to the National Archives (Regional council files are destroyed after five years). These procedures are in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The agency official with overall responsibility for the system of records is the Archivist of the United States, National Archives Building, Eighth and Pennsylvania Avenue, NW, Washington, DC 20408. Officials responsible for the geographically dispersed system of records are the Assistant Archivist for the National Archives and the Assistant Archivist for Educational Programs at the National Archives Building, the Directors of the Federal Archives and Records Centers and the NARS Regional Commissioners. The addresses are listed in the appendix following Notice GSA/NARS 10.

**Notification procedure:** Information may be obtained from the officials cited above at the appropriate location in the region where individuals serve, have served, or might serve on the Council. The addresses are listed in the appendix, following Notice GSA/NARS 10.

**Record access procedures:** Requests from individuals to access records should be addressed to the Assistant Archivist for the National Archives or to the Assistant Archivist for Educational Programs, the regional commissioners or the directors of Federal archives and records centers depending on the location of the Council with which the individual has been associated. In person requests may be made during normal business hours listed for each location in the appendix, following Notice GSA/NARS 10. For written requests, the individual should provide full name, address, telephone number, and if applicable, dates of service. For personal visits the individual should be able to provide some acceptable identification, such as driver's license, employee identification card, etc. Only general inquiries may be made by telephone.

**Contesting record procedures:** GSA/NARS rules for access to records and for contesting the contents and appealing initial determinations are found in 41 CFR 105-64.

**Record source categories:** Former, current, and prospective Council members, associates of Council members, and employees of the National Archives.

#### GSA/NARS 5

**System name:** Conference and related activities files, GSA/NARS

**System location:** The system is located in the National Archives Building, the Presidential libraries, Washington National Records Center, National Personnel Records Center, Federal records centers, the Federal archives and records centers, and NARS regional headquarters offices. The addresses are listed in the appendix, following Notice GSA/NARS 10.

**Categories of individuals covered by the system:** Participants or potential participants in symposia, conferences, lectures, etc.

**Categories of records in the system:** Biographical information about individuals involved in these activities including the individual's name, address, telephone number, area of expertise, research interest, occupation, education, and publications. The records are used by officers and employees of GSA who have a need for the records in the performance of their duties in the various archival programs indicated above; to provide a record of previous activities; to promote the use of archival materials; to provide mailing lists; to facilitate publication of the activity's proceedings; and to register persons attending the activity.

**Authority for maintenance of the system:** 44 U.S.C. 2105

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The routine uses of records as defined in 5 U.S.C. 552a(a)(7) and provided for in 5 U.S.C. 552a(b)(3) are described in the appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper records in card files and file folders.

**Retrievability:** Filed alphabetically at each location by name of individual.

**Safeguards:** Buildings employ security guards and records are maintained in areas accessible only to authorized personnel of NARS.

**Retention and disposal:** Records are cut off after the event, held for one year, and destroyed. These procedures are in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The agency official with overall responsibility for the system of records is the Archivist of the United States, National Archives Building, Eighth and Pennsylvania Avenue, NW, Washington, DC 20408. Officials responsible for the geographically dispersed system of records are the Assistant Archivist for the National Archives and the Assistant Archivist for Educational Programs at the National Archives Building, the Directors of the Presidential Libraries, the Directors of Federal Records Centers, and the Regional Commissioners. The addresses are listed in the appendix following Notice GSA/NARS 10.

**Notification procedure:** Information may be obtained from the officials cited above at the appropriate location which sponsored the activity which the individual attended or in which he participated. The addresses are listed in the appendix, following Notice GSA/NARS 10.

**Record access procedures:** Requests from individuals to access records should be addressed to the Assistant Archivist for the National Archives, the Assistant Archivist for Educational Programs,

the directors of Presidential libraries, the directors of Federal records centers or the regional commissioners. In person requests may be made during normal business hours listed for each location in the appendix, following Notice GSA/NARS 10. For written requests, the individual should provide full name, address, telephone number, and the dates of the activity. For personal visits the individual should be able to provide some acceptable identification such as a driver's license or an employee identification card. Only general inquiries may be made by telephone.

**Contesting record procedures:** GSA/NARS rules for access to records and for contesting the contents and appealing initial determinations are found in 41 CFR 105-64.

**Record source categories:** Participants, attendees, and employees of the National Archives.

#### GSA/NARS 6

**System name:** Mailing list files, GSA/NARS

**System location:** The system is located in the National Archives Building, Presidential libraries, Washington National Records Center, National Personnel Records Center, Federal records centers, Federal archives and records centers and NARS regional headquarters offices. The addresses are listed in the appendix, following Notice GSA/NARS 10.

**Categories of individuals covered by the system:** Researchers, tourists, government officials, professional colleagues, professionals in related fields, such as librarians, teachers, associates of the National Archives, and others with an interest in National Archives activities.

**Categories of records in the system:** Mailing lists include primarily the individual's name and address. Some lists also include telephone number, title, occupation, institutional affiliation and in the case of the associates, type of membership. Records are used by officers and employees of GSA who have a need for the records in the performance of their duties, to address newsletters, announcements, programs, and material about special events; to bill researchers for reproduction orders; and to mail press releases and other information.

**Authority for maintenance of the system:** 44 U.S.C. 2105, 2108, 2307, 2902, and 2904.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Where the proposed use is compatible with the purpose for which the mailing list was compiled, copies of the lists are occasionally provided to archival, historical, and records management associations whose purposes relate to the programs and aims of the National Archives and Records Service.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper records in card files, index cards, address plates, magnetic cards, punch cards, cassettes, magnetic tape.

**Retrievability:** Filed alphabetically at each location by name of individual.

**Safeguards:** Buildings employ security guards and records are maintained in areas accessible only to authorized personnel of NARS.

**Retention and disposal:** Lists are reviewed annually, and updated. Outdated information is purged. These procedures are in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The agency official with overall responsibility for the system of records is the Archivist of the United States, National Archives Building, Eighth and Pennsylvania Avenue, NW, Washington, DC 20408. Officials responsible for the geographically dispersed system of records are the Assistant Archivist for the National Archives, the Assistant Archivist for Presidential Libraries, the Assistant Archivist for Educational Programs and the Assistant Archivist for Records Management, all located in the National Archives Building. Other officials are the Directors of the Presidential Libraries, the Directors of Federal Records Centers, Directors of Federal Archives and Records Centers, and the NARS Regional Commissioners. The addresses for these locations are listed in the appendix following Notice GSA/NARS 10.

**Notification procedure:** Information may be obtained from the officials cited above at the appropriate location in which the individual has expressed an interest or in which he has a potential interest. The addresses are listed in the appendix, following Notice GSA/NARS 10.

**Record access procedures:** Requests from individuals to access records should be addressed to the Assistant Archivist for the National Archives, the Assistant Archivist for Presidential Libraries, the Assistant Archivist for Educational Programs, the Assistant Archivist for Records Management, the directors of the Presidential libraries, the directors of the Federal records centers, directors of Federal archives and records centers, or the regional commissioners depending on which archives activity the individual expressed an interest in. In person requests may also be made during normal business hours listed for each location in the appendix, following Notice GSA/NARS 10. For written requests, the individual should provide full name, address, and telephone number, and the approximate date of communication with the repository. For personal visits, the individual should be able to provide some acceptable identification such as a driver's license or employee identification card. Only general inquiries may be made by telephone.

**Contesting record procedures:** GSA/NARS rules for access to records, for contesting the contents, and for appealing initial determinations are found in 41 CFR 105-64.

**Record source categories:** Individuals expressing an interest in archives activities and NARS employees.

#### GSA/NARS 7

**System name:** Mandatory review of classified documents/request files, GSA/NARS

**System location:** The system is located in the National Archives Building, Presidential libraries; Washington National Records Center, Federal records centers, and Federal archives and records centers. The addresses are listed in the appendix, following Notice GSA/NARS 10.

**Categories of individuals covered by the system:** Researchers requesting mandatory review of security classified documents.

**Categories of records in the system:** Applications requesting mandatory review of classified documents including requestor's name, address, telephone number, occupation, employer, and research topic. Records are used by officers and employees of GSA who have a need for the records in the performance of their duties to record requests for access to security-classified records; to record status of each mandatory review request; to assist in preparation of monthly reports on mandatory declassification review requests; and to record action taken on requests.

**Authority for maintenance of the system:** Executive Order 11652, June 1, 1972.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The routine uses of records as defined in 5 U.S.C. 552a(a)(7) and provided for in 5 U.S.C. 552a(b)(3) are described in the appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper records in card files and file folders.

**Retrievability:** Filed alphabetically at each location by name of individual.

**Safeguards:** Buildings employ security guards and records are maintained in areas accessible only to authorized personnel of NARS.

**Retention and disposal:** Records are cut off annually, held one year, and retired. After 14 additional years they are destroyed. These procedures are in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The agency official with overall responsibility for the system of records is the Archivist of the United States National Archives Building, Eighth and Pennsylvania Avenue, NW Washington, DC, 20408. Officials responsible for the geographically dispersed system of records are the Assistant Archivist for the National Archives at the National Archives Building, the Directors of the Presidential Libraries, the Directors of the Federal Archives and Records Centers, and the Directors of Federal Records Centers at the addresses listed for these locations in the appendix following Notice GSA/NARS 10.

**Notification procedure:** Information may be obtained from the officials cited above at the appropriate repository where individuals have requested mandatory review of classified records. The addresses are listed in the appendix, following Notice GSA/NARS 10.

**Record access procedures:** Requests from individuals to access records should be addressed to the Assistant Archivist for the National Archives, the directors of the Presidential libraries, directors of Federal archives and records centers, and the directors of the Federal records centers, depending on where the individual requested the mandatory review. In person requests may also be made during normal business hours listed for each location in the appendix, following Notice GSA/NARS 10. For written requests, the individual should provide full name, address, telephone number, and the approximate dates research was conducted. For personal visits the individual should be able to provide some acceptable identification such as driver's license or employee identification. Only general inquiries may be made by telephone.

**Contesting record procedures:** GSA/NARS rules for access to records; for contesting the contents, and for appealing initial determinations are found in 41 CFR 105-64.

**Record source categories:** Researchers who have requested mandatory review of records.

#### GSA/NARS 8

**System name:** Restricted and classified records/access authorization files, GSA/NARS.

**System location:** The system is located in the National Archives Building, Presidential libraries, Washington National Records Center, National Personnel Records Center, Federal records centers, and Federal archives and records centers. The addresses are listed in the appendix, following Notice GSA/NARS 10.

**Categories of individuals covered by the system:** Researchers who apply to use agency-restricted or classified records in the National Archives, Presidential libraries, and records centers.

**Categories of records in the system:** Applications and letters of authorization to use restricted/classified records, including name, address, telephone number, occupation, employer, security clearance, social security number, date and place of birth, purpose, field of interest, citizenship, intention to publish, and type of publication. Records are used by officers and employees of GSA who have a need for the records in the performance of their duties to control access to restricted materials; to maintain a record of requests for access; and to authorize access to restricted/classified matter.

**Authority for maintenance of the system:** 44 U.S.C. 2104.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The routine uses of records as defined in 5 U.S.C. 552a(a)(7) and provided for in 5 U.S.C. 552a(b)(3) include disclosing records to officials of the agencies whose records are restricted as well as the routine uses described in the appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper records in card files and file folders.

**Retrievability:** Filed alphabetically at each location by name of individual.

**Safeguards:** Buildings employ security guards and records are maintained in areas accessible only to authorized personnel of NARS.

**Retention and disposal:** Records relating to private individuals requesting access are cut off annually, held one year, and retired. After 14 additional years they are destroyed. Records relating to agency officials requesting access are destroyed on supersession or obsolescence of the authorization document, or on transfer or separation of the individual concerned. These procedures are in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The agency official with overall responsibility for the system of records is the Archivist of the United States, National Archives Building, Eighth and Pennsylvania Avenue, NW Washington, DC, 20408. Officials responsible for the geographically dispersed system of records are the Assistant Archivist for the National Archives at the National Archives Building, the Directors of the Presidential Libraries, the Directors of the Federal Archives and Records Centers, and the Directors of Federal Records Centers at the addresses listed for these locations in the appendix following Notice GSA/NARS 10.

**Notification procedure:** Information may be obtained from the officials cited above at the appropriate repository where individuals have applied for access to records. The addresses are listed in the appendix, following Notice GSA/NARS 10.

**Record access procedures:** Requests from individuals to access records should be addressed to the Assistant Archivist for the National Archives, the directors of the Presidential libraries, directors of Federal archives and records centers, or the directors of the Federal records centers, depending on where the individual submitted his application for access to the records. In person requests may also be made during normal business hours listed for each location in the appendix, following Notice GSA/NARS 10. For written requests, the individual should provide full name, address, telephone number, and the approximate dates the application was made. For personal visits the individual should be able to provide some acceptable identification such as driver's license or employee identification. Only general inquiries may be made by telephone.

**Contesting record procedures:** GSA/NARS rules for access to records and for contesting the contents and appealing initial determinations are found in 41 CFR 105-64.

**Record source categories:** Researchers and agency officials.

#### GSA/NARS 9

**System name:** Authors files, GSA/NARS.

**System location:** The system is located in the National Archives Building, the Presidential libraries, and the Federal archives and records centers. The addresses are listed in the appendix, following Notice GSA/NARS 10.

**Categories of individuals covered by the system:** Authors whose publications are based on National Archives holdings, and authors who have submitted manuscripts which have been published or considered for publication in Prologue: The Journal of the National Archives.

**Categories of records in the system:** Biographical information about the authors including such information as name, address, telephone number, occupation, education, and research interests, and manuscript copies of writings. Records are used by officers and employees of GSA who have a need for the records in the performance of their duties to provide an indication of specific areas of interest in archival holdings and the extent to which the scholarly community relies on National Archives holdings as primary source material; and to maintain a record of manuscripts which Prologue has rejected, or accepted and published.

**Authority for maintenance of the system:** 44 U.S.C. 2307.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The routine uses of records as defined in 5 U.S.C. 552a(a)(7) and provided for in 5 U.S.C. 552a(b)(3) are described in the appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper records in card files or file folders.

**Retrievability:** Filed alphabetically at each location by name of individual.

**Safeguards:** Buildings employ security guards and records are maintained in areas accessible only to authorized personnel of NARS.

**Retention and disposal:** Records are cut off annually, held two years, and retired. These procedures are in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The agency official with overall responsibility for the system of records is the Archivist of the United States, National Archives Building, Eighth and Pennsylvania Avenue, NW, Washington, DC 20408. Officials responsible for the geographically dispersed system of records are the Assistant Archivist for the National Archives and the Assistant Archivist for Educational Programs at the National Archives Building, the Directors of the Presidential Libraries, and the Directors of the Federal Archives and Records Centers. The addresses are listed in the appendix following Notice GSA/NARS 10.

**Notification procedure:** Information may be obtained from the officials cited above at the appropriate repository where individuals have used records or submitted manuscripts for publication. The addresses are listed in the appendix, following Notice GSA/NARS 10.

**Record access procedures:** Requests from individuals to access records should be addressed to the Assistant Archivist for the National Archives, the Assistant Archivist for Educational Programs, the directors of the Presidential libraries, or the directors of

Federal archives and records centers. In person requests may also be made during normal business hours listed for each location in the appendix, following Notice GSA/NARS 10. For written requests, the individual should provide full name, address, telephone number, and the title of his publication. For personal visits, the individual should be able to provide some acceptable identification such as a driver's license or an employee identification card. Only general inquiries may be made by telephone.

**Contesting record procedures:** GSA/NARS rules for access to records, for contesting the contents and appealing initial determinations are found in 41 CFR 105-64.

**Record source categories:** Authors and National Archives employees.

#### GSA/NARS 10

**System name:** Employee related files, GSA/NARS.

**System location:** The system is located in the National Archives Building, Presidential libraries, Washington National Records Center, National Personnel Records Center, Federal records centers, Federal archives and records centers, and NARS regional headquarters' offices. The addresses are listed in the appendix, following Notice GSA/NARS 10.

**Categories of individuals covered by the system:** Employees and former employees of the National Archives and Records Service.

**Categories of records in the system:** Documents accumulated by operating officials in administering personnel matters for or about employees, including but not limited to, name, home address, telephone number, work location and telephone number, social security number, date of birth, date of entry on duty, salary, grade, promotions, within grade increases, age, education, experience, biographical data, records relating to attendance, tardiness, issuance of passes and keys, work assignments, performance, work measurement, counseling, disciplinary actions, adverse actions, conduct, promotion evaluations, equal employment opportunity, labor relations, grievances, alcoholism, security clearances, and letters of reference and pictures. Records are primarily used by officers and employees of GSA who have a need for the records in the performance of their duties to monitor and document personnel actions; determine qualifications for promotion, related positions, and specific work assignments; for the convenience of the Personnel Management Division and supervisors in overseeing employee activities; to evaluate and rate performance of employees; to document disciplinary actions; to monitor grievance proceedings and EEO complaints; to prepare for adverse actions; to prepare statistical reports to measure overall performance and productivity of all employees; to conduct counseling sessions; to prepare EEO reports; to prepare staffing patterns and determine manpower requirements; and for use as a general management tool to oversee personnel administration of the organization.

**Authority for maintenance of the system:** 5 U.S.C., Part III.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The routine uses of records as defined in 5 U.S.C. 552a(a)(7) and provided for in 5 U.S.C. 552a(b)(3) include disclosing information to other agencies and persons about employees seeking new employment and releasing biographical sketches about employees to other agencies or persons when an employee activity is being publicized as well as the routine uses described in the appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper records in card files and file folders.

**Retrievability:** Filed alphabetically at each location by name of individual.

**Safeguards:** Buildings employ security guards and records are maintained in areas accessible only to authorized personnel of NARS.

**Retention and disposal:** Records are reviewed annually, documents are updated, and irrelevant documents destroyed. Records are retained until employees are separated, then destroyed. These procedures are in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The agency official with overall responsibility for the system of records is the Archivist of the United States, National Archives Building, Eighth and Pennsylvania Avenue, NW, Washington, DC 20408. Officials responsible for the geographically dispersed system of records are the Director, Personnel Management Division at the National Archives Building

or supervisors of the employees or former employees at all locations. The addresses are listed in the appendix following Notice GSA/NARS 10.

**Notification procedure:** Current employees may obtain information from their supervisor at the appropriate address listed in the appendix, following Notice GSA/NARS 10. Former employees may obtain information from the Director, Personnel Management Division, at the address listed in the appendix, following Notice GSA/NARS 10.

**Record access procedures:** Requests from current employees to access records should be directed to the employees' supervisor at the appropriate address listed in the appendix, following Notice GSA/NARS 10. Former employees should direct requests to access records to the Director, Personnel Management Division, at the address listed in the appendix, following Notice GSA/NARS 10. For written requests, former employees should provide full name, address, and telephone number, and approximate dates and places of employment. For personal visits former employees should provide some acceptable form of identification such as a driver's license. Only general inquiries may be made by telephone.

**Contesting record procedures:** GSA/NARS rules for access to records and for contesting the contents and appealing initial determinations are found in 41 CFR 105-64.

**Record source categories:** Employees and supervisors.

#### Appendix

GSA/NARS addresses of locations, telephone numbers, and business hours

National Archives Building  
Eighth and Pennsylvania Avenue, NW.  
Washington, DC 20408  
Telephone (202) 655-4000  
Hours: 8:45 a.m. to 5:15 p.m.  
(Monday through Friday)

#### Presidential Libraries

Herbert Hoover Library  
South Downey Street  
West Branch, IA 52358  
Telephone (319) 643-5301  
Hours: 9:00 a.m. to 5:00 p.m.  
(Monday through Friday)

Franklin D. Roosevelt Library  
Albany Post Road  
Hyde Park, NY 12538  
Telephone (914) 229-8114  
Hours: 9:00 a.m. to 5:00 p.m.  
(Monday through Friday)

Harry S. Truman Library  
Highway 24 at Delaware Street  
Independence, MO 64050  
Telephone (816) 833-1400  
Hours: 9:00 a.m. to 5:00 p.m.  
(Monday through Friday)

Dwight D. Eisenhower Library  
South East Fourth Street  
Abilene, KS 67410  
Telephone (913) 827-9738  
Hours: 9:00 a.m. to 5:00 p.m.  
(Monday through Friday)

John F. Kennedy Library  
380 Trapelo Road  
Waltham, MA 02154  
Telephone (617) 223-7250  
Hours: 8:30 a.m. to 5:00 p.m.  
(Monday through Friday)

Lyndon B. Johnson Library  
2313 Red River  
Austin, TX 78705  
Telephone (512) 397-5137  
Hours: 9:00 a.m. to 5:00 p.m.  
(Monday through Friday)

Washington National Records Center  
4205 Suitland Road  
Suitland, MD--MAILING address:  
General Services Administration  
Washington National Records Center  
Washington, DC 20409  
Telephone (301) 763-7000  
Hours: 8:00 a.m. to 4:30 p.m.  
(Monday through Friday)

#### National Personnel Records Center

(Military Personnel Records)  
9700 Page Boulevard  
St. Louis, MO 63132  
Telephone (314) 268-7201  
Hours: 7:30 a.m. to 4:00 p.m.  
(Monday through Friday)

(Civilian Personnel Records)  
111 Winnebago Street  
St. Louis, MO 63118  
Telephone (314) 425-5722  
Hours: 7:30 a.m. to 4:00 p.m.  
(Monday through Friday)

#### Federal Records Centers

Naval Supply Depot  
Building 308  
Mechanicsburg, PA 17055  
Telephone (717) 766-8511  
Hours: 7:30 a.m. to 4:00 p.m.  
(Monday through Friday)

2400 West Dorothy Lane  
Dayton, OH 45439  
Telephone (513) 461-5597  
Hours: 7:30 a.m. to 4:00 p.m.  
(Monday through Friday)

#### Federal Archives and Records Centers

380 Trapelo Road  
Waltham, MA 02154  
Telephone (617) 223-2657  
Hours: 8:00 a.m. to 4:30 p.m.  
(Monday through Friday)

Military Ocean Terminal  
Building 22  
Bayonne, NJ 07002  
Telephone (201) 858-7161  
Hours: 8:00 a.m. to 4:30 p.m.  
(Monday through Friday)

5000 Wissahickon Avenue  
Philadelphia, PA 19144  
Telephone (215) 438-5200  
Hours: 8:00 a.m. to 4:30 p.m.  
(Monday through Friday)

1557 St. Joseph Avenue  
East Point, GA 30344  
Telephone (404) 526-7475  
Hours: 8:00 a.m. to 4:30 p.m.  
(Monday through Friday)

7358 South Pulaski Road  
Chicago, IL 60629  
Telephone (312) 353-8544  
Hours: 8:00 a.m. to 4:30 p.m.  
(Monday through Friday)

2306 East Bannister Road  
Kansas City, MO 64131  
Telephone (816) 926-7271  
Hours: 8:00 a.m. to 4:30 p.m.  
(Monday through Friday)

4900 Hemphill Street  
Fort Worth, TX 76115  
Telephone (817) 334-5515  
Hours: 8:00 a.m. to 4:30 p.m.  
(Monday through Friday)

Building 48  
Denver Federal Center  
Denver, CO 80225  
Telephone (303) 234-3185  
Hours: 8:00 a.m. to 4:30 p.m.  
(Monday through Friday)

1000 Commodore Drive  
San Bruno, CA 94066  
Telephone (415) 876-9003  
Hours: 8:00 a.m. to 4:30 p.m.  
(Monday through Friday)

24000 Avila Road  
Laguna Niguel, CA 92677  
Telephone (714) 831-4220  
Hours: 8:00 a.m. to 4:30 p.m.  
(Monday through Friday)

6125 Sand Point Way  
Seattle, WA 98115  
Telephone (206) 442-4502  
Hours: 8:00 a.m. to 4:30 p.m.  
(Monday through Friday)

#### National Archives and Records Service--Regional Offices

General Services Administration  
26 Federal Plaza  
New York, NY 10007  
Telephone (212) 264-3514  
Hours: 8:15 a.m. to 4:45 p.m.  
(Monday through Friday)

General Services Administration  
Room 7016, 7th and D Streets, SW.  
Washington, DC 20407  
Telephone (202) 472-1223  
Hours: 8:15 a.m. to 4:45 p.m.  
(Monday through Friday)

General Services Administration  
1776 Peachtree Street, NW.  
Atlanta, GA 30309  
Telephone (404) 526-5611  
Hours: 8:00 a.m. to 4:30 p.m.  
(Monday through Friday)

General Services Administration  
819 Taylor Street  
Fort Worth, TX 76102  
Telephone (817) 334-2759  
Hours: 7:45 a.m. to 4:15 p.m.  
(Monday through Friday)

General Services Administration  
1000 Commodore Drive  
San Bruno, CA 94066  
Telephone (415) 876-9015  
Hours: 8:00 a.m. to 4:30 p.m.  
(Monday through Friday)

#### GSA/FPA 1

**System name:** Computer Access Code Assignments, GSA/FPA

**System location:** Records are maintained at the Federal Preparedness Agency, General Services Administration, Washington, DC 20405

**Categories of individuals covered by the system:** Users of the GSA/FPA computer facility.

**Categories of records in the system:** Name, organization, office telephone number, computer access code for the purpose of in-house agency official use, based upon a need-to-know requirement, to restrict computer access to authorized users.

**Authority for maintenance of the system:** Executive Order 11051, September 27, 1962

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The Routine Uses of these records, as defined in 5 U.S.C. 552a (a) (7) and provided for in 5 U.S.C. 552a (b) (3), are described in the appendix following the GSA Notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Mag-tape, drum, disc, paper.

**Retrievability:** Records are indexed by name, computer access code.

**Safeguards:** Personnel screening; hardware and software computer security measures; paper records in a locked container and/or room and in a facility guarded to prevent unauthorized access.

**Retention and disposal:** Retention of records shall be for duration of assignment. Disposition of records shall be in accordance with the HB GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** Chief, Readiness Systems Division, Mathematics and Computation Laboratory, Federal Preparedness Agency (EDMR), General Services Administration, Washington, DC 20405

**Notification procedure:** Same as above. An inquiry from an authorized user of the GSA/FPA computer facility need only include his name in order to ascertain whether the system contains a record about him.

**Record access procedures:** Same as above.

**Contesting record procedures:** GSA rules for access to records, for contesting the contents, and for appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** The individual to whom the record pertains.

#### GSA/FPA 2

**System name:** Distribution Lists, GSA/FPA

**System location:** Records are maintained at the following offices of the Federal Preparedness Agency, General Services Administration.

Central Office  
Washington, DC 20405

Region 1  
605 John W. McCormack Post Office and Courthouse  
Boston, MA 02109

Region 2  
26 Federal Plaza, Room 2836  
New York, NY 10007

Region 3  
William J. Green, Jr. Federal Building  
600 Arch Street, Room 7248  
Philadelphia, PA 19106

Region 4  
1776 Peachtree Street, NW  
Atlanta, GA 30309

Region 5  
New Federal Building, Room 3747  
230 South Dearborn Street  
Chicago, IL 60604

Region 6  
811 Grand Avenue, Room 132  
Kansas City, MO 64106

Region 7  
Federal Building, Room 7C52  
Dallas, TX 75242

Region 8  
Building No. 41, Denver Federal Center  
Denver, CO 80225

Region 9  
120 Montgomery Street  
San Francisco, CA 94104

Region 10  
Room 686, Federal Building  
915 2nd Avenue  
Seattle, WA 98174

Stockpile Disposal  
General Services Administration  
Washington, DC 20405

**Categories of individuals covered by the system:** Those who have requested to be put on distribution lists for GSA Federal Preparedness Agency and Stockpile Disposal publications, technical memoranda, and related communications.

**Categories of records in the system:** Name, address, telephone number for the purpose of in-house agency official use in distributing agency publications and communications among employees, associates, and other interested parties.

**Authority for maintenance of the system:** Executive Order 11051, September 27, 1962.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The Routine Uses of these records, as defined in 5 U.S.C. 552a (a) (7) and provided for in 5 U.S.C. 552a (b)(3), are described in the appendix following the GSA Notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Mag-tape, drum, disc, paper.

**Retrievability:** Records are indexed by name.

**Safeguards:** Personnel screening; hardware and software computer security measures; paper records in a locked container and/or room or in a facility guarded to prevent unauthorized access.

**Retention and disposal:** Retention of records shall be for duration of individual interest. Disposition of records shall be in accordance with the HB GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** Executive Director, Federal Preparedness Agency (EE), General Services Administration, Washington, DC 20405

**Notification procedure:** Same as above. An inquiry should include the individual's name and address in order to ascertain whether the system contains a record about him.

**Record access procedures:** Same as above.

**Contesting record procedures:** GSA rules for access to records, for contesting the contents, and for appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** The individual to whom the record pertains.

#### GSA/FPA 3

**System name:** Emergency Assignment System, GSA/FPA

**System location:** Records are maintained at the Federal Preparedness Agency, General Services Administration, Washington, DC 20405

**Categories of individuals covered by the system:** GSA/FPA Western Virginia Operations Office emergency assignees.

**Categories of records in the system:** Personnel data, skills inventory, assignment information, and other related information for the purpose of in-house agency official use, based upon a need-to-know requirement, to assist officials charged with emergency responsibilities in the assignment and coordination of activities in the Western Virginia Operations Office of the Conflict Preparedness Office.

**Authority for maintenance of the system:** Executive Order 11051, September 27, 1962.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The Routine Uses of these records, as defined in 5 U.S.C. 552a (a) (7) and provided for in 5 U.S.C. 552a (b) (3), are described in the appendix following the GSA Notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Mag-tape, drum, disc, paper.

**Retrievability:** Records are indexed by name, personal characteristic, or skills.

**Safeguards:** Personnel screening; hardware and software computer security measures; paper records in a locked container and/or room and in a facility guarded to prevent unauthorized access.

**Retention and disposal:** Retention of records shall be for duration of assignment. Disposition of records shall be in accordance with the HB GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** Chief, Western Virginia Operations Office, Federal Preparedness Agency (EGW), General Services Administration, Washington, DC 20405

**Notification procedure:** Same as above. An inquiry from an individual emergency assignee should include his name and employing agency in order to ascertain whether the system contains a record about him.

**Record access procedures:** Same as above.

**Contesting record procedures:** GSA rules for access to records, for contesting the contents, and for appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** The individual to whom the record pertains.

#### GSA/FPA 4

**System name:** Employee Directories, GSA/FPA

**System location:** Records are maintained at the following offices of the Federal Preparedness Agency, General Services Administration.

Central Office  
Washington, DC 20405

Region 1  
605 John W. McCormack Post Office and Courthouse  
Boston, MA 02109

Region 2  
26 Federal Plaza, Room 2836  
New York, NY 10007

Region 3  
William J. Green, Jr. Federal Building  
600 Arch Street, Room 7248  
Philadelphia, PA 19106

Region 4  
1776 Peachtree Street, NW  
Atlanta, GA 30309

Region 5  
New Federal Building, Room 3747  
230 South Dearborn Street  
Chicago, IL 60604

Region 6  
811 Grand Avenue, Room 132  
Kansas City, MO 64106

Region 7  
Federal Building, Room 7C52  
Dallas, TX 75242

Region 8  
Building No. 41, Denver Federal Center  
Denver, CO 80225

Region 9  
120 Montgomery Street  
San Francisco, CA 94104

Region 10  
Room 686, Federal Building  
915 2nd Avenue  
Seattle, WA 98174

Stockpile Disposal  
General Services Administration

Washington, DC 20405

**Categories of individuals covered by the system:** GSA Federal Preparedness Agency and Stockpile Disposal employees.

**Categories of records in the system:** Name, office and home addresses and telephone numbers for the purpose of providing an employee locator service for in-house agency use.

**Authority for maintenance of the system:** Executive Order 11051, September 27, 1962

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The Routine Uses of these records, as defined in 5 U.S.C. 552a (a) (7) and provided for in 5 U.S.C. 552a (b) (3), are described in the appendix following the GSA Notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** MTST mag-tape, paper.

**Retrievability:** Records are indexed by name.

**Safeguards:** Personnel screening; tapes and paper records in a locked container and/or room or in a facility guarded to prevent unauthorized access.

**Retention and disposal:** Retention of records shall be for duration of employment. Disposition of records shall be in accordance with the HB GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** Executive Director, Federal Preparedness Agency (EE), General Services Administration, Washington, DC 20405

**Notification procedure:** Same as above. An inquiry from an individual employee need include only his name in order to ascertain whether the system contains a record about him.

**Record access procedures:** Same as above.

**Contesting record procedures:** GSA rules for access to records, for contesting the contents, and for appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** The individual to whom the record pertains.

#### GSA/FPA 5

**System name:** National Defense Executive Reserve (NDER) Personnel and Management Information System, GSA/FPA

**System location:** Records are maintained at the following offices of the Federal Preparedness Agency, General Services Administration.

Central Office  
Washington, DC 20405

Region 1  
605 John W. McCormack Post Office and Courthouse  
Boston, MA 02109

Region 2  
26 Federal Plaza, Room 2836  
New York, NY 10007

Region 3  
William J. Green, Jr. Federal Building  
600 Arch Street, Room 7248  
Philadelphia, PA 19106

Region 4  
1776 Peachtree Street, NW  
Atlanta, GA 30309

Region 5  
New Federal Building, Room 3747  
230 South Dearborn Street  
Chicago, IL 60604

Region 6  
811 Grand Avenue, Room 132  
Kansas City, MO 64106

Region 7  
Federal Building, Room 7C52  
Dallas, TX 75242

Region 8  
Building No. 41, Denver Federal Center  
Denver, CO 80225

Region 9  
120 Montgomery Street  
San Francisco, CA 94104

Region 10  
Room 686, Federal Building  
915 2nd Avenue  
Seattle, WA 98174

**Categories of individuals covered by the system:** Applicants for and incumbents of NDER assignments.

**Categories of records in the system:** Personnel and administrative records, skills inventory, training data, and other related records necessary to coordinate and administer the NDER program.

**Authority for maintenance of the system:** Defense Production Act, 1950; Executive Order 11179, September 22, 1964.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** For the purpose of administering the NDER program, agency officials and officials of participating departments and agencies may obtain from the NDER Coordinator data relevant to reservists assigned to their units. Periodically, a directory (containing name, title, business name and address, NDER unit, and status--emeritus or active) will be distributed to reservists and to NDER units. Additional routine uses are contained in the appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Mag-tape, drum, disc, paper.

**Retrievability:** Records are indexed by name, personnel data, skills, or agency.

**Safeguards:** Personnel screening; hardware and software computer security measures; paper records in a locked container and/or room or in a facility guarded to prevent unauthorized access.

**Retention and disposal:** Retention of records shall be for duration of application or assignment. Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** NDER Coordinator, Federal Preparedness Agency (EGG), General Services Administration, Washington, DC 20405

**Notification procedure:** Same as above. An inquiry should include the individual's name and address in order to ascertain whether the system contains a record about him.

**Record access procedures:** Same as above.

**Contesting record procedures:** GSA rules for access to records, for contesting the contents, and for appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** The individual to whom the record pertains.

#### GSA/FPA 6

**System name:** Office-level Employee Records, GSA/FPA

**System location:** Records are maintained at the following offices of the Federal Preparedness Agency, General Services Administration.

Central Office  
Washington, DC 20405

Region 1  
605 John W. McCormack Post Office and Courthouse  
Boston, MA 02109

Region 2  
26 Federal Plaza, Room 2836  
New York, NY 10007

Region 3  
William J. Green, Jr. Federal Building  
600 Arch Street, Room 7248  
Philadelphia, PA 19106

Region 4  
1776 Peachtree Street, NW  
Atlanta, GA 30309

Region 5  
New Federal Building, Room 3747  
230 South Dearborn Street  
Chicago, IL 60604

Region 6  
811 Grand Avenue, Room 132  
Kansas City, MO 74106

Region 7  
Federal Building, Room 7C52  
Dallas, TX 75242

Region 8  
Building No. 41, Denver Federal Center  
Denver, CO 80225

Region 9  
120 Montgomery Street  
San Francisco, CA 94104

Region 10  
Room 686, Federal Building  
915 2nd Avenue  
Seattle, WA 98174

Stockpile Disposal  
General Services Administration  
Washington, DC 20405

Categories of individuals covered by the system: GSA Federal Preparedness Agency and Stockpile Disposal employees.

Categories of records in the system: In-house agency official use of the following records, based upon a need-to-know requirement, is for the purpose of administering personnel and financial activities at the supervisory and administrative office level.

Official employee records: general personnel and financial information maintained at the supervisory level or administrative office level relating to compensation, training, employment, employee and labor relations, office personnel files, general employment inquiries, application files, etc. These records are described in detail in the Notices for official agency-wide employee-related systems published by the General Services Administration. These records include, among other data, training and travel records, applications, suggestions, position descriptions, request for and notification of personnel action, employee performance ratings and promotion appraisals, time and attendance records. System contains copies of Civil Service Commission and General Services Administration personnel and financial forms and reports, including, among others, outputs of the automated GSA Manpower and Payroll Statistics System, parking permit record, property pass, U.S. savings bond authorization, application for leave, employee record, employee appraisal, payroll change slip, notice of injury or occupational disease, claim for reimbursement for expenditures on official business, statement of earnings, promotion appraisal, receipt for property, employment cost and distribution, program budget administration, accounting distribution, employment staffing reports, budget reports, overtime work, employee changes input, travel authorizations, application and accounts for advance of funds, comprehensive payroll.

Unofficial personnel records: employee-related records maintained at the supervisory level or administrative office level including, but not limited to, personnel card files, annotated records, work assignments, work management records, performance measurements, counseling, labor relations, vacation schedules, etc.

Authority for maintenance of the system: Executive Order 11051, September 27, 1962. NOTE: Detailed references to authority for official employee records maintained at the supervisory level or administrative office level appear in the Notices for official agency-wide employee-related systems published by the General Services Administration.

Routine uses of records maintained in the system, including categories of users and the purposes of such uses: Information is disclosed to persons outside the agency, upon request of individual employees, for purposes of verifying employment and salary, of

preparing letters of reference, of making travel and training arrangements, etc. Other Routine Uses are contained in the appendix following the GSA Notices and in the GSA Notices of official agency-wide employee-related systems.

Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:

Storage: Paper.

Retrievability: Records are indexed by name.

Safeguards: Personnel screening; paper records in a locked container and/or room or in a facility guarded to prevent unauthorized access.

Retention and disposal: Retention of records shall be for duration of employment. Disposition of records shall be in accordance with the HB GSA Records Maintenance and Disposition System (OAD P 1820.2).

System manager(s) and address: Personnel officer, Federal Preparedness Agency (EE), General Services Administration, Washington, DC 20405.

Notification procedure: An individual employee seeking to determine whether this system of records contains information about him should address his inquiry to the appropriate agency supervisor, administrative officer, and personnel official, Federal Preparedness Agency, General Services Administration, Washington, DC 20405. An inquiry should include the individual's name, date of birth, and Social Security Number in order to ascertain whether the system contains a record about him.

Record access procedures: Same as above.

Contesting record procedures: GSA rules for access to records, for contesting the contents, and for appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

Record source categories: Official personnel records, GSA Personnel and Finance Offices, supervisors, letters of reference or commendation furnished by agency officials or others outside the agency, educational information supplied by colleges and universities, the individual to whom the record pertains. An additional description of sources of official employee records can be found in the Notices for official agency-wide employee-related systems published by the General Services Administration.

#### GSA/FPA 7

System name: Official Employee Records, GSA/FPA

System location: Personnel Office, Federal Preparedness Agency, General Services Administration, Washington, DC 20405

Categories of individuals covered by the system: Employees whose official records are maintained by the FPA Personnel Office.

Categories of records in the system: In-house agency official use of the following records, based upon a need-to-know requirement, is for the purpose of administering personnel and financial activities, e.g., completing reports, taking personnel actions, making payroll adjustments, and meeting other official personnel and financial requirements.

Official personnel records: general personnel records, i.e., Official Personnel Folder and records related thereto; appeals, grievances, and complaints records; medical records; recruiting, examining, and placement records; retirement, life insurance, and health benefits records system. These records include, but are not limited to, personnel and financial information contained in Civil Service Commission (CSC) and General Services Administration (GSA) forms and reports relating to compensation, training, employment, employee and labor relations, processing and records. These official employee systems of records are described in detail in the Notices for government-wide systems of personnel records published by the Civil Service Commission and in the Notices for official agency-wide employee-related systems published by the General Services Administration. For other than GSA organizations serviced by the FPA Personnel Office, forms consistent with those of the General Services Administration are used for equivalent personnel programs.

Authority for maintenance of the system: Title 5 U.S.C., generally; Executive Order 11051, September 27, 1962. NOTE: Detailed references to authority for these records appear in the Notices for government-wide systems of personnel records published by the Civil Service Commission and in the Notices for official agency-wide employee-related systems published by the General Services Administration.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Information is disclosed to persons outside the agency, upon request of individual employees, for purposes of verifying employment and salary, of preparing letters of reference, of making travel and training arrangements, etc. Other Routine Uses are contained in the appendix following the GSA Notices, in the Civil Service Commission Notices of government-wide systems of personnel records, and in the GSA Notices of official agency-wide employee-related systems.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper.

**Retrievability:** Records are indexed by name.

**Safeguards:** Personnel screening; paper records in a locked container and/or room and in a facility guarded to prevent unauthorized access.

**Retention and disposal:** Retention of records shall be for duration of employment. Disposition of records shall be in accordance with the HB GSA Records Maintenance and Disposition System (OAD P 1820.2). Additional retention and disposal information can be found in the Civil Service Commission Notices of government-wide systems of personnel records and in the General Services Administration Notices of official agency-wide employee-related systems.

**System manager(s) and address:** Personnel Officer, Federal Preparedness Agency (EE), General Services Administration, Washington, DC 20405.

**Notification procedure:** Same as above. An inquiry from an individual whose official records are maintained by the FPA Personnel Office should include name, date of birth, and Social Security Number in order to ascertain whether the system contains a record about him.

**Record access procedures:** Same as above.

**Contesting record procedures:** GSA rules for access to records, for contesting the contents, and for appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** Personnel and Finance Offices, supervisors, letters of reference or commendation furnished by agency officials or others outside the agency, educational information supplied by colleges and universities, the individual to whom the record pertains. An additional description of sources of records can be found in the Civil Service Commission Notices of government-wide systems of personnel records and in the General Services Administration Notices of official agency-wide employee-related systems.

#### GSA/FPA 8

**System name:** Personnel Roster, GSA/FPA

**System location:** Records are maintained at the Federal Preparedness Agency, General Services Administration, Washington, DC 20405

**Categories of individuals covered by the system:** GSA/FPA Mathematics and Computation Laboratory employees.

**Categories of records in the system:** Personnel data for the purpose of in-house agency official use, based upon a need-to-know requirement, to support personnel management, budgeting, and planning activities.

**Authority for maintenance of the system:** Executive Order 11051, September 27, 1962.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The Routine Uses of these records, as defined in 5 U.S.C. 552a (a) (7) and provided for in 5 U.S.C. 552a (b) (3), are described in the appendix following the GSA Notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Mag-tape, drum, disc, paper.

**Retrievability:** Records are indexed by name, personnel characteristics.

**Safeguards:** Personnel screening; hardware and software computer security measures; paper records in a locked container and/or room or in a facility guarded to prevent unauthorized access.

**Retention and disposal:** Retention of records shall be for duration of employment. Disposition of records shall be in accordance with the HB GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** Administrative Officer, Mathematics and Computation Laboratory, Federal Preparedness Agency (EDM), General Services Administration, Washington, DC 20405

**Notification procedure:** Same as above. An inquiry from an individual employee need include only his name in order to ascertain whether the system contains a record about him.

**Record access procedures:** Same as above.

**Contesting record procedures:** GSA rules for access to records, for contesting the contents, and for appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** GSA/FPA Mathematics and Computation Laboratory employee personnel actions.

#### GSA/FPA 9

**System name:** Project Management Information System, GSA/FPA

**System location:** Records are maintained at the following offices of the Federal Preparedness Agency, General Services Administration.

Central Office  
Washington, DC 20405

Region 1  
605 John W. McCormack Post Office and Courthouse  
Boston, MA 02109

Region 2  
26 Federal Plaza, Room 2836  
New York, NY 10007

Region 3  
William J. Green, Jr. Federal Building  
600 Arch Street, Room 7248  
Philadelphia, PA 19106

Region 4  
1776 Peachtree Street, NW  
Atlanta, GA 30309

Region 5  
New Federal Building, Room 3747  
230 South Dearborn Street  
Chicago, IL 60604

Region 6  
811 Grand Avenue, Room 132  
Kansas City, MO 64106

Region 7  
Federal Building, Room 7C52  
Dallas, TX 75242

Region 8  
Building No. 41, Denver Federal Center  
Denver, CO 80225

Region 9  
120 Montgomery Street  
San Francisco, CA 94104

Region 10  
Room 686, Federal Building  
915 2nd Avenue  
Seattle, WA 98174

**Categories of individuals covered by the system:** GSA/FPA project officers.

**Categories of records in the system:** Project assignments, progress reports, resource statistics, and other related information for the purpose of in-house agency official use, based upon a need-to-know requirement, to monitor the performance of the project management system.

**Authority for maintenance of the system:** Executive Order 11051, September 27, 1962.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The Routine Uses of these records, as defined in 5 U.S.C. 552a (a) (7) and provided for in 5 U.S.C. 552a (b)(3), are described in the appendix following the GSA Notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Mag-tape, drum, disc, paper.

**Retrievability:** Records are indexed by name, project description.

**Safeguards:** Personnel screening; hardware and software computer security measures paper records in a locked container and/or room or in a facility guarded to prevent unauthorized access.

**Retention and disposal:** Retention of records shall be for duration of assignment to project. Disposition of records shall be in accordance with the HB GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** Chief, Mathematics and Computation Laboratory, Federal Preparedness Agency (EDM), General Services Administration, Washington, DC 20405

**Notification procedure:** Same as above. An inquiry from an individual GSA/FPA project officer need only include his name in order to ascertain whether the system contains a record about him.

**Record access procedures:** Same as above.

**Contesting record procedures:** GSA rules for access to records, for contesting the contents, and for appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** The individual to whom the record pertains.

#### GSA/FPA 10

**System name:** Property Inventory System, GSA/FPA

**System location:** Records are maintained at the Federal Preparedness Agency, General Services Administration, Washington, DC 20405.

**Categories of individuals covered by the system:** GSA Federal Preparedness Agency employees.

**Categories of records in the system:** Property description, employee name, office description for the purpose of in-house agency official use, based upon a need-to-know requirement, to maintain a record of the locations and users of accountable property.

**Authority for maintenance of the system:** Executive Order 11051, September 27, 1962.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The Routine Uses of these records, as defined in 5 U.S.C. 552a (a) (7) and provided for in 5 U.S.C. 552a (b) (3), are described in the appendix following the GSA Notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Mag-tape, drum, disc, paper.

**Retrievability:** Records are indexed by property description, employee name, office location.

**Safeguards:** Personnel screening; hardware and software computer security measures; paper records in a locked container and/or room and in a facility guarded to prevent unauthorized access.

**Retention and disposal:** Retention of records shall be for life of property item. Disposition of records shall be in accordance with the HB GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** Executive Director, Federal Preparedness Agency (EE), General Services Administration, Washington, DC 20405.

**Notification procedure:** Same as above. An inquiry from an individual employee need include only his name in order to ascertain whether the system contains a record about him.

**Record access procedures:** Same as above.

**Contesting record procedures:** GSA rules for access to records, for contesting the contents, and for appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** Property inventory conducted by Office of Executive Director.

#### GSA/FPA 11

**System name:** Resource Interruption Monitoring System, GSA/FPA

**System location:** Records are maintained at the following offices of the Federal Preparedness Agency, General Services Administration.

Central Office  
Washington, DC 20405

Region 1  
605 John W. McCormack Post Office and Courthouse  
Boston, MA 02109

Region 2  
26 Federal Plaza, Room 2836  
New York, NY 10007

Region 3  
William J. Green, Jr. Federal Building  
600 Arch Street, Room 7248  
Philadelphia, PA 19106

Region 4  
1776 Peachtree Street, NW  
Atlanta, GA 30309

Region 5  
New Federal Building, Room 3747  
230 South Dearborn Street  
Chicago, IL 60604

Region 6  
811 Grand Avenue, Room 132  
Kansas City, MO 64106

Region 7  
Federal Building, Room 7C52  
Dallas, TX 75242

Region 8  
Building No. 41, Denver Federal Center  
Denver, CO 80225

Region 9  
120 Montgomery Street  
San Francisco, CA 94104

Region 10  
Room 686, Federal Building  
915 2nd Avenue  
Seattle, WA 98174

**Categories of individuals covered by the system:** Individuals reporting or commenting on resource shortages during national emergencies—private citizens; industry experts; business, labor, and government leaders.

**Categories of records in the system:** Name, address, telephone number, statements about resource problems, and other related information necessary to monitor resource interruptions. For the purpose of assessing the status of resources (supply shortages or problems of mal-distribution) which poses a threat to the health and welfare of communities or to the economy or security of the Nation when normal market mechanisms appear inadequate, agency analysts summarize reports for comparison to economic norms or for highlighting problems in an industry or in a geographic area. In-house agency use is also made of the computerized communication and conferencing components of the system for the purpose of carrying on conferences and discussions, for processing weekly activity reports, and for transmitting other related communications among the offices of FPA.

**Authority for maintenance of the system:** Executive Order 11051, September 27, 1962.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Individual applications for assistance may be referred for response to another agency with emergency responsibilities. Information may be provided to Federal, State, and local officials with emergency responsibilities and to selected industries for purpose of coordinating actions taken to alleviate the crisis situation; to Congressional inquiries; to news media. Additional Routine Uses are contained in the appendix following the GSA Notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Mag-tape, drum, disc, paper.

**Retrievability:** Records are indexed by name, problem resource, geographic area, phrase in text.

**Safeguards:** Personnel screening; hardware and software computer security measures; paper records in a locked container and/or room or in a facility guarded to prevent unauthorized access.

**Retention and disposal:** Retention of records shall be for duration of resource problem. Disposition of records shall be in accordance with the HB GSA Records Maintenance and Disposition System (OAD F 1820.2).

**System manager(s) and address:** Manager, Resource Interruption Monitoring System, Federal Preparedness Agency (EFC), General Services Administration, Washington, DC 20405.

**Notification procedure:** Same as above. An inquiry should include the individual's name and address in order to ascertain whether the system contains a record about him.

**Record access procedures:** Same as above.

**Contesting record procedures:** GSA rules for access to records, for contesting the contents, and for appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** Individual applications for assistance in locating alternate supplies; news media reports; notifications by individual correspondence or phone, from Congress, by original staff reports or reports from other agencies.

#### GSA/FPA 12

**System name:** Security Management System, GSA/FPA

**System location:** Records are maintained at the following offices of the Federal Preparedness Agency, General Services Administration.

Central Office  
Washington, DC 20405

Region 1  
605 John W. McCormack Post Office and Courthouse  
Boston, MA 02109

Region 2  
26 Federal Plaza, Room 2836  
New York, NY 10007

Region 3  
William J. Green, Jr. Federal Building  
600 Arch Street, Room 7248  
Philadelphia, PA 19106

Region 4  
1776 Peachtree Street, NW  
Atlanta, GA 30309

Region 5  
New Federal Building, Room 3747  
230 South Dearborn Street  
Chicago, IL 60604

Region 6  
811 Grand Avenue, Room 132  
Kansas City, MO 64106

Region 7  
Federal Building, Room 7C52  
Dallas, TX 75242

Region 8  
Building No. 41, Denver Federal Center  
Denver, CO 80225

Region 9  
120 Montgomery Street  
San Francisco, CA 94104

Region 10  
Room 686, Federal Building  
915 2nd Avenue  
Seattle, WA 98174

Stockpile Disposal  
General Services Administration  
Washington, DC 20405

**Categories of individuals covered by the system:** GSA Federal Preparedness Agency and Stockpile Disposal employees.

**Categories of records in the system:** Security records for the purpose of in-house agency official use, based upon a need-to-know requirement, in maintaining office security for sensitive data and facilities. These records include: statement of personal history (SF-86); personal data (e.g., name, address, telephone number) contained on security clearance forms, rosters, lists, and forms for record container combinations; and other related records. Records do not contain investigatory material.

**Authority for maintenance of the system:** Executive Order 11051, September 27, 1962.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** An employee's level of security clearance may be reported at his request to another agency for the purpose of inter-agency security administration. Additional Routine Uses are contained in the appendix following the GSA Notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper.

**Retrievability:** Records are indexed by name.

**Safeguards:** Personnel screening; paper records in a locked container and/or room or in a facility guarded to prevent unauthorized access.

**Retention and disposal:** Retention of records shall be for duration of employment. Disposition of records shall be in accordance with the HB GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** Executive Director, Federal Preparedness Agency (EE), General Services Administration, Washington, DC 20405.

**Notification procedure:** Same as above. An inquiry from an individual employee need include only his name in order to ascertain whether the system contains a record about him.

**Record access procedures:** Same as above.

**Contesting record procedures:** GSA rules for access to records, for contesting the contents, and for appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** The individual to whom the record pertains.

#### GSA/FPA 13

**System name:** Interagency Directories, GSA/FPA

**System location:** Records are maintained at the following offices of the Federal Preparedness Agency, General Services Administration:

Central Office  
General Services Building  
18th and F Streets, NW.  
Washington, DC 20405

Region 1  
605 John W. McCormack Post Office and Courthouse  
Boston, MA 02109

Region 2  
26 Federal Plaza, Room 2836  
New York, NY 10007

Region 3  
William J. Green, Jr. Federal Building  
600 Arch Street, Room 7248  
Philadelphia, PA 19106

Region 4  
1776 Peachtree Street, NW  
Atlanta, GA 30309

Region 5  
Federal Building, Room 3747  
230 South Dearborn Street  
Chicago, IL 60604

Region 6

811 Grand Avenue, Room 132  
Kansas City, MO 64106

Region 7  
Federal Building, Room 7C52  
1100 Commerce Street  
Dallas, TX 75242

Region 8  
Building No. 41, Denver Federal Center  
Denver, CO 80225

Region 9  
120 Montgomery Street  
San Francisco, CA 84104

Region 10  
Room 686, Federal Building  
915 2nd Avenue  
Seattle, WA 98174

Office of Stockpile Disposal  
2000 L Street, NW.  
General Services Administration  
Washington, DC 20036

**Categories of individuals covered by the system:** GSA Federal Preparedness Agency and Stockpile Disposal employees and employees of other federal, state, and local agencies with related responsibilities; e.g., members of the Regional Preparedness Committee, the Interagency Emergency Preparedness Committee, and the Regional Field Board as well as Emergency Coordinators, Emergency Preparedness Directors, and State Civil Defense Directors.

**Categories of records in the system:** Name, office and home addresses and telephone numbers, and level of security clearance for the purpose of providing a locator service and a means of distributing publications and communications for in-house agency use and for the use of member agencies.

**Authority for maintenance of the system:** Executive Order 11051, September 27, 1962.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** For the purpose of providing a locator service and a means of distributing publications and communications, interagency directories will be distributed to federal, regional, and state interagency committee members and to the agencies which they represent; e.g., State Emergency Planning Directors, holders of the FPA 'Emergency Planning Reference Package for Regional Federal Governments' and 'Central Office Emergency Readiness Instructions,' and the White House Communications Agency. Additional Routine Uses are contained in the appendix following the GSA Notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Mag-tape, drum, disc, paper.

**Retrievability:** Records are indexed by name and agency.

**Safeguards:** Personnel screening, hardware and software computer security measures; paper records in a locked container and/or room or in a facility guarded to prevent unauthorized access; individuals apprised of responsibility to provide equivalent safeguards for directories kept in their possession.

**Retention and disposal:** Retention of records shall be for duration of individual membership in interagency organization. Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** Executive Director, Federal Preparedness Agency; General Services Administration (EE), Washington, DC 20405.

**Notification procedure:** Same as above. An inquiry should include the individual's name and address and the directory name order to ascertain whether the system contains a record about him.

**Record access procedures:** Same as above.

**Contesting record procedures:** GSA rules for access to records, for contesting the contents, and for appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** The individual to whom the record pertains.

#### GSA/Regional Administrators 2

**System name:** Employee related files, GSA/Regions 1 through 10  
**System location:** The system is located in the Office of the Regional Administrators, Regions 1 through 10.

GSA Region 1  
Office of the Regional Administrator (1A)  
John W. McCormack Post Office and Courthouse  
Boston, MA 02109

GSA Region 2  
Office of the Regional Administrator (2A)  
26 Federal Plaza  
New York, NY 10007

GSA Region 3  
Office of the Regional Administrator (3A)  
7th and D Streets, SW.  
Washington, D.C. 20407

GSA Region 4  
Office of the Regional Administrator (4A)  
1776 Peachtree Street, NW.  
Atlanta, GA 30309

GSA Region 5  
Office of the Regional Administrator (5A)  
230 South Dearborn Street  
Chicago, IL 60604

GSA Region 6  
Office of the Regional Administrator (6A)  
1550 East Bannister Road  
Kansas City, MO 64131

GSA Region 7  
Office of the Regional Administrator (7A)  
819 Taylor Street  
Fort Worth, TX 76102

GSA Region 8  
Office of the Regional Administrator (8A)  
Building 41, Denver Federal Center  
Denver, CO 80225

GSA Region 9  
Office of the Regional Administrator (9A)  
525 Market Street  
San Francisco, CA 94105

GSA Region 10  
Office of the Regional Administrator (10A)  
GSA Center  
Auburn, WA 98002

**Categories of individuals covered by the system:** Individuals include employees in the offices of Regional Administrators Regions 1 through 10.

**Categories of records in the system:** Records consist of document accumulated by operating officials in administering personnel matters for or about employees, which may include but are not limited to names, home addresses, home phones, grades, salaries, job titles, personnel evaluations, performance appraisals, correspondence regarding promotions and work assignments, accident reports of lost time, reports of extended details, duty rosters, fitness for duty, training records, military service separation and training records, manpower reports, adverse action documents, personal qualification and data statements, employee record cards, position description and related information, professional registration information, equal employment opportunity records, time and attendance, payroll documents, merit promotion and related documents, use of government-owned vehicles and related information, designation of authorized representatives, security clearances and related information, personnel tickle lists, staffing data, carpool rosters, campaigns, budget, health benefits, travel data, credential data, work measurement records, record of personnel actions and other general correspondence relating to an employee. These records are used to monitor and document personnel actions, determine eligibility for promotion, and work assignment; for the convenience of supervisors in overseeing employee activities; to evalu-

ate performance; document disciplinary action; monitor grievance proceedings and complaints; provide reference to other agencies when seeking employment elsewhere; and as a general management tool to oversee personnel administration of the organization.

**Authority for maintenance of the system:** Title 5, U.S.C. Section 301.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The Routine Uses of these Records, as defined in 5 U.S.C. 552a (a)(7) and provided for in 5 U.S.C. 552a (b)(3), are described in the appendix following the GSA Notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper records in file folders and card files.

**Retrievability:** Filed alphabetically by name.

**Safeguards:** Access limited to official use only on a need to know basis.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The officials responsible for the system of records are the Regional Administrators at the addresses listed above.

**Notification procedure:** Information may be obtained from the Regional Administrators at the addresses listed above.

**Record access procedures:** Requests to access records may be directed to the Regional Administrators, at the addresses listed above, in accordance with the GSA rules as promulgated in 41 CFR 105-64, published in the Federal Register.

**Contesting record procedures:** GSA Rules for access to systems of records, contesting the contents of a system of records and appealing initial determinations are promulgated in 41CFR 105-64, published in the Federal Register.

**Record source categories:** Agency personnel and payroll records, and the individuals themselves.

#### GSA/Regional Administrators 3

**System name:** Biographical sketches

**System location:** This system is located in the offices of the Regional Administrators at the addresses listed below:

##### GSA Region 1

Office of the Regional Administrator (1A)  
John W. McCormack Post Office and Courthouse  
Boston, MA 02109

##### GSA Region 2

Office of the Regional Administrator (2A)  
26 Federal Plaza  
New York, NY 10007

##### GSA Region 3

Office of the Regional Administrator (3A)  
7th and D Streets, SW  
Washington, D.C. 20407

##### GSA Region 4

Office of the Regional Administrator (4A)  
1776 Peachtree Street, NW  
Atlanta, GA 30309

##### GSA Region 5

Office of the Regional Administrator (5A)  
230 South Dearborn Street  
Chicago, IL 60604

##### GSA Region 6

Office of the Regional Administrator (6A)  
1550 East Bannister Road  
Kansas City, MO 64131

##### GSA Region 7

Office of the Regional Administrator (7A)  
819 Taylor Street  
Fort Worth, TX 76102

##### GSA Region 8

Office of the Regional Administrator (8A)

Building 41, Denver Federal Center  
Denver, CO 80225

##### GSA Region 9

Office of the Regional Administrator (9A)  
525 Market Street  
San Francisco, CA 94105

##### GSA Region 10

Office of the Regional Administrator (10a)  
GSA Center  
Auburn, WA 98002

**Categories of individuals covered by the system:** Individuals include GSA and other Federal government officials.

**Categories of records in the system:** Records consist of biographical sketches of GSA and other Federal government officials. Records are used by the Regional Administrator and his staff for the purpose of preparing introductions for GSA and other Federal government officials, for speeches and public relations.

**Authority for maintenance of the system:** Title 5, U.S.C. Section 301.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The Routine Uses of these Records, as defined in 5 U.S.C. 552a (a) (7) and provided for in 5 U.S.C. 552a (b) (3), are described in the appendix following the GSA Notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper records in file folders.

**Retrievability:** Filed alphabetically by name.

**Safeguards:** Restricted to official use only on a need to know basis.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The officials responsible for the system of records are the Regional Administrators at the addresses listed above.

**Notification procedure:** Information may be obtained from the Regional Administrators at the addresses listed above.

**Record access procedures:** Requests to access records may be directed to the office of the Regional Administrator, at the address listed above, in accordance with the GSA rules as promulgated in 41CFR 105-64, published in the Federal Register.

**Contesting record procedures:** GSA rules for access to systems of records, contesting the contents of a system of records and appealing initial determinations are promulgated in 41CFR 105-64, published in the Federal Register.

**Record source categories:** Public information, the individuals themselves, or news releases.

#### GSA/Regional Administrators 4

**System name:** Regional Administrator's official correspondence files,

**System location:** The system is located in the offices of the Regional Administrators, Regions 1 through 10, at the addresses listed below:

##### GSA Region 1

Office of the Regional Administrator (1A)  
John W. McCormack Post Office and Courthouse  
Boston, MA 02109

##### GSA Region 2

Office of the Regional Administrator (2A)  
26 Federal Plaza  
New York, NY 10007

##### GSA Region 3

Office of the Regional Administrator (3A)  
7th and D Streets, SW  
Washington, D.C. 20407

##### GSA Region 4

Office of the Regional Administrator (4A)  
1776 Peachtree Street, NW  
Atlanta, GA 30309

GSA Region 5  
Office of the Regional Administrator (5A)  
230 South Dearborn Street  
Chicago, IL 60604

GSA Region 6  
Office of the Regional Administrator (6A)  
1550 East Bannister Road  
Kansas City, MO 64131

GSA Region 7  
Office of the Regional Administrator (7A)  
819 Taylor Street  
Fort Worth, TX 76102

GSA Region 8  
Office of the Regional Administrator (8A)  
Building 41, Denver Federal Center  
Denver, CO 80225

GSA Region 9  
Office of the Regional Administrator (9A)  
525 Market Street  
San Francisco, CA 94105

GSA Region 10  
Office of the Regional Administrator (10a)  
GSA Center  
Auburn, WA 98002

**Categories of individuals covered by the system:** Individuals include those corresponding with the Regional Administrators regarding savings bond campaigns, employees receiving letters of appreciation and commendation. Members of Congress. Mayors, and their staffs, and other individuals.

**Categories of records in the system:** Records consist of incoming correspondence, background material, and outgoing correspondence to individuals described in the system. The system is used as a record of correspondence received by the offices of the Regional Administrators and as a reference in preparing and replying to immediate and future correspondence.

**Authority for maintenance of the system:** Title 5, U.S.C. Section 301.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The Routine Uses of these Records, as defined in 5 U.S.C. 552a (a)(7) and provided for in 5 U.S.C. 552a (b)(3), are described in the appendix following the GSA Notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper records in file folders.

**Retrievability:** Filed alphabetically by name of the individual or firm.

**Safeguards:** Access limited to Regional Administrator and staff for official use only on a need to know basis.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The officials responsible for the system of records are the Regional Administrators at the addresses listed above.

**Notification procedure:** Information may be obtained from the Regional Administrators at the addresses listed above.

**Record access procedures:** Requests to access records may be directed to the offices of the Regional Administrators, at the address listed above, in accordance with the GSA rules as promulgated in 41CFR 105-64, published in the Federal Register.

**Contesting record procedures:** GSA rules for access to systems of records, contesting the contents of a system of records and appealing initial determinations are promulgated in 41CFR 105-64, published in the Federal Register.

**Record source categories:** The actual correspondence and agency records.

#### GSA/PBS 1

**System name:** Employee Related Files, GSA/PBS

**System location:** This system is located in Public Buildings Service at addresses of each location listed in the appendix following Notice GSA/PBS 3.

**Categories of individuals covered by the system:** PBS Central Office, other Central Office employee files as necessary, and Regional employee files that are kept on employees in their respective offices.

**Categories of records in the system:** General personnel information, including but not limited to; name, home address, home telephone number, work location and telephone number, SSN, DOB, dates of service, salary, grade, within grade increases, promotions, age, education, work experience, biographical material, tardiness, grievances, executive justification, EEO Counselors, press inquiries, appraisal register (staff), work measurement time, attendance records, special handling of checks/bonds service and reimbursement agreement, report of absence, employee clearance sheet, request for personnel action and insurance, physical fitness reports, statement of personal history, retirement information, health benefit information, work assignments, notice of inquiry or occupational disease, property pass individual record of emergency data, employee parking permit application and related information, motor vehicle operators identification card (SF 46), payroll change slips, organizational roster, alcoholisms, letters of reference and pictures, career plans, health & sick leave certificates, papers relating to accidents, disability information, position sensitivity, fingerprint chart, work assignment and orientation schedules. These records are used to monitor and document personnel actions, determine eligibility for promotion, and work assignment; for the convenience of supervisors in overseeing employee activities; to evaluate performance; document disciplinary action; monitor grievance proceeding and EEO complaints; provide reference to other agencies when seeking employment elsewhere; use as a general management tool to oversee personnel administration of the organization.

**Authority for maintenance of the system:** Title 5, U.S.C. general.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The Routine Uses of these Records, as defined in 5 U.S.C. 552a (a) (7) and provided for in 5 U.S.C. 552a (b) (3), are described in the appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper records in file folders and card files.

**Retrievability:** Filed alphabetically by name at each location.

**Safeguards:** Information released only to authorized officials.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The official responsible for this system of records is the Commissioner, Public Buildings Service, Washington, DC 20405.

**Notification procedure:** Information may be obtained from the officials cited above at the appropriate repository where individual have records. If not known, general inquiries should be made to the Commissioner, Public Buildings Service. The addresses of all locations are listed in the appendix following Notice GSA/PBS 3.

**Record access procedures:** Same as above. GSA rules for access to Systems of Records contesting the contents of a System of Record and appealing initial determinations are promulgated 41 CFR 105-64, published in the Federal Register.

**Contesting record procedures:** GSA rules for access to Systems of Records contesting the contents of a System of Record and appealing initial determinations are promulgated 41 CFR 105-64, published in the Federal Register.

**Record source categories:** Agency officials, individuals and personnel from finance and personnel

#### GSA/PBS 2

**System name:** Space Management and Employee Information, Automated Systems - GSA/PBS

**System location:** The hardware systems are located at Regional Federal Data Processing Centers (see appendix following Notice GSA/PBS 3) and INFONET System, El Segundo, Calif. (National Tele Processing Service).

**Categories of individuals covered by the system:** Congressmen and Senators; PBS Central office employees and regional office employees.

**Categories of records in the system:** Included are identification of current status of any particular space request or lease contract negotiations; computation of Federal Buildings Fund Standard Level User Charge Bills; determine cost of personnel time related to each project and computation of office of Space Management workload statistics for management. Space assignment and cost data maintained only for (congressional) space build under the Federal Building Fund concept. Maintains building and lease assignment information used for program management and computation of Standard Level User charges for the Federal Buildings Fund; provides timely and accurate management information to PBS personnel; maintains cost information (employee cost and hours expended); produce statistics from which standards of performance and manpower requirements can be determined.

**Authority for maintenance of the system:** Public Buildings Act, 1959; Public Law 92-313 and 5 U.S.C. Part III, General.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The Routine Uses of these Records, as defined in 5 U.S.C. 552a (a) (7) and provided for in 5 U.S.C. (b) (3) are described in the appendix following the GSA Notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Magnetic tape, cards and discs.

**Retrievability:** Employee name, grade and SSN

**Safeguards:** System user number and passwords, program name codes and keys, batch modes with reports provided only to authorized PBS personnel.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The official responsible for this system of record is the Commissioner, Public Buildings Service, Washington, DC. 20405.

**Notification procedure:** Same as above.

**Record access procedures:** Requests from individuals to access records should be addressed same as above. GSA rules for access to System of Records Contesting the contents of a System of Record and appealing initial determinations are promulgated 41 CFR 105-64, published in the Federal Register.

**Contesting record procedures:** GSA rules for access to Systems of Records contesting the contents of a System of Record and appealing initial determinations are promulgated 41 CFR 105-64, published in the Federal Register.

**Record source categories:** Agency officials, individuals.

### GSA/PBS 3

**System name:** Incident Reporting System - GSA/PBS

**Security classification:** Unclassified to Top Secret

**System location:** The systems are located at each investigator's office, each Regional office; and Central Office. (See addresses of each location listed in the appendix following Notice GSA/PBS 3.)

**Categories of individuals covered by the system:** Category of Individuals: These files include the following:

- Identification of individuals who were the source of 1) the initial complaint, or 2) an allegation that a crime has taken place.
- Identification of witnesses having information or evidence relating to any side of an investigation;
- Identification of possible and actual suspects in the criminal situation that is subject of investigation;
- Identification material developed on subjects of investigation, both those whose identity is known and those who are unknown;
- Identification on sources of information. Identification on sources of evidence. The identity of these individuals may be confidential as well as the subject matter they contribute. These files contain information vital to the outcome of administrative procedures, civil and criminal cases. Much of this information is subject to the Jencks Act, the FOI Act and the Privacy Act.

**Categories of records in the system:** Complete Criminal Investigation Report. From the opening of a case until its close. It may be closed administratively or by final court disposition. These files are

used in the enforcement of criminal laws and rules and regulations that provide punitive sanctions. They are used in police efforts to prevent, control or reduce crime and apprehend criminals. Also they are used by prosecutors, the courts, and in connection with correctional, probation, pardon and parole activities. These files are instituted and maintained at varying points in the process. The processes of criminal justice and civil or administrative remedies require their partial or total disclosure.

**Authority for maintenance of the system:** 40 U.S.C. 318 et seq OFA P 5440.1, CHGE 315

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Routine Uses:

a. To officers and employees of the Federal Protective Service who need their content in the performance of their authorized duties;

b. To the various bureaus and divisions of the Justice Department that have primary jurisdiction in locations and on subject matters that the FPS shares, to some extent, the same jurisdiction;

c. To those subdivisions of the Justice Department that have the responsibility for prosecuting criminal cases and pursuing civil cases arising from authorized activities of the FPS;

d. To the Civil Service Commission for purposes which they are authorized to use the information;

e. Law enforcement agencies which have lawfully participated in an investigation jointly conducted with the FPS, and also those Routine Uses contained in the appendix following the GSA Notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper, mag tape, index cards.

**Retrievability:** Manually by name, file number, case number, by incident and location as well as type of incident.

**Safeguards:** Stored in steel filing cabinets with built in 3 position dial type combination safe lock, in space assigned FPS investigations in various government owned and leased buildings.

**Retention and disposal:** Disposition of records shall be in accordance with the HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The official responsible for this system of records is:

Assistant Commissioner for Federal Protective  
Service Management  
General Services Administration  
Room 2042, 18th & F Streets, NW  
Washington, DC. 20405

**Record source categories:** Information contained in these record systems is developed through investigation, informants, witnesses, official records, investigative leads, statements, depositions, business records or any other information source available to the Federal Protective Service investigators.

**Systems exempted from certain provisions of the act:** Disclosure Policies: On a case-by-case after tri-level consideration, the following officials will avail themselves of exemption under the Privacy Act of 1974 with the exception of Subsections (b), (c) (1) and (2), (e) (4) (A) through (F), (e) (6), (7), (9), (10) and (11) and (i), and Section B-7 of the FOI: Regional Director Federal Protective Service Division; Assistant Commissioner, Office of Federal Protective Service Management; and General Counsel. Rules exempting the system are published in the Federal Register.

Regional Office Buildings

Appendix GSA/PBS Addresses of Location

Regional Offices

Region 1

Post Office and Courthouse  
Boston, Massachusetts 02109

Region 2

26 Federal Plaza

New York, New York 10007  
 Region 3  
 GSA Regional Office Building  
 7th & D Streets, SW.,  
 Washington DC 20407

Region 4  
 1776 Peachtree Street, NW.,  
 Atlanta, Georgia 30309

Region 5  
 Federal Building  
 230 S. Dearborn Street  
 Chicago, Illinois 60604

Region 6  
 Federal Building  
 1500 East Bannister Road  
 Kansas City, Missouri 64131

Region 7  
 819 Taylor Street  
 Fort Worth, Texas 76102

Region 8  
 Building 41  
 Denver Federal Center  
 Denver, Colorado 80225

Region 9  
 525 Market Street  
 San Francisco, California 94105

Region 10  
 GSA Center  
 Auburn, Washington 98002

Region 1

Field Offices & Addresses

John Fitzgerald Kennedy  
 Federal Building Field Office  
 Rm. E131, Government Center  
 Boston, MA 02203

John W. McCormack  
 Post Office & Courthouse Field  
 Office  
 Rm. 903, Post Office Square  
 Boston, MA 02109

Area Office & Address

Manchester  
 Rm. 113, Hoyt Building  
 497 Silver Street  
 Manchester, NH 03103

Field Offices & Addresses

Andover  
 Rm. 111, North Atlantic  
 Service Center  
 310 Lowell Street  
 Andover, MA 01810

Augusta  
 Rm. 101E, Federal Building &  
 Post Office  
 40 Western Avenue  
 Augusta, ME 04330

Burlington  
 Rm. 317, Federal Building  
 11 Elmwood Avenue  
 Burlington, VT 05401

Concord  
 Rm. 110, Federal Building, Post

Office & Courthouse  
 55 Pleasant Street  
 Concord, NH 03301

Portland  
 Rm. 117, Courthouse  
 156 Federal Street  
 Portland, ME 04111

Area Office & Address

Providence  
 Rm. 322, Federal Building,  
 Post Office  
 Exchange Terrace  
 Providence, RI 02903

Field Offices & Addresses

Hartford  
 Rm. 137, Federal Building  
 450 Main Street  
 Hartford, CT 06103

Providence  
 Rm. 318, Federal Building,  
 Post Office  
 Exchange Terrace  
 Providence, RI 02903

Waltham  
 Bldg. 147, Federal Center  
 424 Trapelo Road  
 Waltham, MA 02154

Worcester  
 Rm. 400, Federal Building,  
 Courthouse  
 595 Main Street  
 Worcester, MA 01608

Region 2

Area Office & Address

Albany  
 Rm. 929, Federal Building  
 Clinton Ave. & N. Pearl St.  
 Albany, NY 12207

Field Offices & Addresses

Albany  
 Rm. 213, Post Office &  
 Courthouse  
 Albany, NY 12201

Plattsburg  
 Federal Building  
 Plattsburg, NY 12901

Scotia  
 Bldg. 12, GSA Scotia Depot  
 Scotia, NY 12302

Area Office & Address

Brooklyn  
 Building 245, Mezzanine  
 Raritan Depot  
 Edison, NJ 08817

Field Offices & Addresses

Airport  
 Rm. 101, Federal Building  
 JFK International Airport  
 Jamaica, NY 11430

Belle Mead  
 GSA Belle Mead Depot

Belle Mead, NY 08502

Brookhaven  
Bldg. 1, IRS Center  
1040 Waverly Avenue  
Holtsville, NY 11742

Brooklyn  
Rm. 327D, Federal Building  
and Courthouse  
225 Cadman Plaza East  
Brooklyn, NY 11201

Field Offices & Addresses

Newark  
Rm. 135, Federal Building  
970 Broad Street  
Newark, NJ 07102

Trenton  
Rm. 207, Post Office and Courthouse  
Trenton, NJ 08608

Area Office & Address

Buffalo  
Rm. 618, Federal Building  
111 W. Huron Street  
Buffalo, NY 14202

Field Offices & Addresses

Buffalo  
Rm. 30, Federal Building  
111 W. Huron Street  
Buffalo, NY 14202

Binghamton  
Rm. 200, Administration Building  
GSA Depot, Hoyt Avenue  
Binghamton, NY 13901

Rochester  
Rm. 309, Federal Building and  
Courthouse  
100 State Street  
Rochester, NY 14614

Area Office & Address

Manhattan  
Rm. 2421  
26 Federal Plaza  
New York City, NY 10007

Field Offices & Addresses

Battery  
Rm. 881  
6 World Trade Center  
New York City, NY 10048

Federal Plaza  
Rm. 309, Federal Building  
26 Federal Plaza  
New York City, NY 10007

Greater Manhattan  
5th Floor  
252 - 7th Avenue  
New York City, NY 10001

Courthouse

U.S. Courthouse  
40 Foley Square, Rm. 104  
New York City, NY 10007

Area Office & Address

Puerto Rico  
GSA, Po Box 3672  
San Juan, PR 00904

Field Office & Address

San Juan  
GSA, PO Box 3672  
San Juan, PR 00904

Region 3

Area Office & Address

Philadelphia  
Rm. G-230, Regional Office  
Building  
7th & D Streets, SW  
Washington, DC 20407

Field Offices & Addresses

E. Philadelphia  
Rm. 1211,  
Federal Building  
600 Arch Street  
Philadelphia, PA 19106

W. Philadelphia  
Rm. 115, Federal Building  
1421 Cherry Street  
Philadelphia, PA 19102

Germantown  
Rm. 38, Courthouse  
and Federal Building  
9th & Market Street  
Philadelphia, PA 19107

Harrisburg  
Rm. 863, Courthouse  
and Federal Building  
228 Walnut Street  
Harrisburg, PA 17101

Pittsburgh  
Rm. 2136, Federal Building  
1000 Liberty Avenue  
Pittsburgh, PA 15222

Wilkes-barre  
Rm. 504, Wyoming Valley  
Vets. Building  
19 N Main Street  
Wilkes-barre, PA 18701

Area Office & Address

Richmond  
Rm. G-226, Regional Office  
Building  
7th & D Streets, SW  
Washington, DC 20407

Field Offices & Addresses

James River  
Rm. 1010, Federal Building  
400 N 8th Street  
Richmond, VA 23240

Kanawha  
Rm. 3017, Federal Building  
500 Quarrier Street  
Charleston, WV 25301

Norfolk  
Rm. 5, Bank of Virginia Building  
870 N Military Highway  
Norfolk, VA 23502

**Parkersburg**

Rm. 3321, Federal Building  
Juliana & 5th Streets  
Parkersburg, WV 26101

**Area Office & Address****South**

Rm. G-230, Regional Office  
Building  
7th & D Streets, SW  
Washington, DC 20407

**Field Offices & Addresses****Anacostia**

Building 205 NYA  
2nd & M Streets, SE  
Washington, DC 20407

**Central Support**

10 P Street, SW  
Washington, DC 20407

**Forrestal**

Rm. 1G-024, Forrestal Building  
1000 Independence Avenue, SW  
Washington, DC 20407

**Agriculture**

Rm. 1509, South Agriculture Bldg.  
14th & Independence Avenue, SW  
Washington, DC 20407

**HEW**

Rm. 1264, HEW North Building  
330 Independence Avenue, SW  
Washington, DC 20407

**Southwest**

Rm. LW1, Trans Point Building  
2100 2nd Street, SW  
Washington, DC 20595

**Mall**

Rm. 1102, GSA Regional Office  
Building  
7th & D Streets, SW  
Washington, DC 20407

**Area Office & Address****White House**

Rm. 48, EOB  
17th & Pennsylvania Ave, NW  
Washington, DC 21056

**Field Office & Address****Suitland**

Rm. 1037, Federal Building 3  
Suitland, MD 20033

**Area Office & Address****West**

Rm. G-228, Regional Office  
Building  
7th & D Streets, SW  
Washington, DC 20407

**Field Offices & Addresses****AEC**

Rm. R-003, AEC Building  
Germantown, MD 20545

**Commerce**

Rm. 1036, Commerce Building  
14th & Constitution Ave, NW  
Washington, DC 20231

**Lafayette**

Rm. 402  
1016 16th Street, NW  
Washington, DC 20005

**Interior**

Rm. 1452, Federal Building 9  
1900 E Street, NW  
Washington, DC 20415

**Rock Creek**

Rm. 101, Gelman Building  
2120 L Street, NW  
Washington, DC 20407

**State**

Rm. 1481, State Building  
320 21st Street, NW  
Washington, DC 20520

**Veterans**

Rm. 118, Veterans Building  
810 Vermont Avenue, NW  
Washington, DC 20005

**Area Office & Address****Arlington**

Rm. G-228, Regional Office  
Building  
7th & D Streets, SW  
Washington, DC 20407

**Field Offices & Addresses****Alexandria**

Rm. 428, Hoffman I  
2461 Eisenhower Avenue  
Alexandria, VA

**Columbia Pike**

Rm. 1030, Federal Building 2  
Columbia Pike  
Arlington, VA 20301

**Jefferson Davis**

Rm. 946, Crystal Plaza 6  
2221 Jefferson Davis Highway  
Arlington, VA 22202

**McLean**

Rm. 1E-12, CIA Building  
McLean, VA 20505

**Pentagon**

Rm. 1A-331, Pentagon Building  
Arlington, VA 20301

**Rosslyn**

Rm. 126, Architect Building  
1400 Wilson Boulevard  
Arlington, VA 22209

**Area Office & Address****Baltimore**

Rm. G-226, Regional Office  
Building  
7th & D Streets, SW  
Washington, DC 20407

**Field Offices & Addresses****Chesapeake**

Rm. 315, Federal Building  
31 Hopkins Plaza  
Baltimore, MD 21202

**Fort Meade**

Rm. 9808, NSA Building

Baltimore, MD 21202

West Maryland  
Rm. 1G25, Oper. Building.  
Baltimore, MD 21202

Woodlawn  
1 Rutherford Plaza  
7133 Rutherford Road  
Baltimore, MD 21207

Area Office & Address

North  
Rm. G-230, Regional Office  
Building  
7th & D Streets, SW  
Washington, DC 20407

Field Offices & Addresses

GAO  
Rm. 1057, GAO Building  
441 G Street, NW.  
Washington, DC 20407

Hyattsville  
Rm. 1010, Presidential Building  
6525 Belcrest Road  
Hyattsville, MD 20782

Justice  
Rm. 1B858, 9th & PA Avenue, NW  
Washington, DC 20407

Labor  
Rm. S-1207  
3rd & Constitution Avenue, NW  
Washington, DC 20407

Patrick Henry  
Rm. 4426, 610 D Street, NW  
Washington, DC 20407

Region 4

Field Office & Address

Atlanta  
Federal Building  
272 Ivy Street, NE  
Atlanta, GA 30308

Area Office & Address

Birmingham  
Rm. 1612, 2121 Building  
2121 8th Avenue  
Birmingham, AL 35203

Field Offices & Addresses

Birmingham  
Rm. 243, Federal Building  
1800 5th Avenue, N.  
Birmingham, AL 35203

Huntsville  
Rm. 1E, 1600 Ballistic Missile  
Center, PO Box 1367, West Station  
Huntsville, AL 35807

Jackson  
Rm. 20, Post Office & Courthouse  
245 E Capital Street  
PO Box 1250  
Jackson, MS 39205

Mobile  
Rm. 407, Federal Building  
Mobile, AL 36602

Montgomery  
Rm. 400, Post Office & Courthouse  
Corner Church & Lee Street  
Montgomery, AL 36104

Tupelo  
Rm. 320, Federal Building &  
Post Office  
500 W. Main, PO Box 711  
Tupelo, MS 38801

Area Office & Address

Charlotte  
Rm. 413, BSR Building  
316 E Morehead Street  
Charlotte, NC 28205

Field Offices & Addresses

Asheville  
Rm. 77, Federal Building  
Battery Park Avenue  
Asheville, NC 28801

Charleston  
Rm. 621, Federal Building  
334 Meeting Street  
Charleston, SC 29403

Columbia  
Rm. 502D, Federal Building  
901 Sumter Street  
Columbia, SC 29201

Raleigh  
Rm. 520, Federal Building,  
Post Office and Courthouse  
310 New Bern Avenue  
Raleigh, NC 27601

Area Office & Address

Jacksonville  
Rm. 448, Federal Building  
400 W Bay Street, PO Box 35005  
Jacksonville, FL 32202

Field Offices & Addresses

Jacksonville  
Rm. 448, Federal Building  
400 W Bay Street, PO Box 35005  
Jacksonville, FL 32202

Miami  
Rm. 1517, Federal Building  
51 SW 1st Avenue  
Miami, FL 33130

Savannah  
Rm. 232, Post Office & Courthouse  
Bull and State Street  
Savannah, GA 31402

Tampa  
Rm. 733, Federal Building  
500 Zack Street  
Tampa, FL 33602

Thomasville  
Rm. 208, Federal Building  
PO Box 1355  
Broad & Monroe Streets  
Thomasville, GA 31792

Area Office & Address

Nashville  
Rm. A905, Federal Building

and Courthouse  
801 Broadway  
Nashville, Tn 37203

## Field Offices &amp; Addresses

## Covington

Rm. 138, IRS Center  
4th & Russell Streets  
Covington, KY 41011

## Louisville

Rm. 273, Federal Building  
600 Federal Place  
Louisville, KY 40202

## Memphis

Rm. 382, Clifford Davis  
Federal Building  
167 N Main Street  
Memphis, TN 38103

## Nashville

Rm. 117, Federal Building  
and Courthouse  
801 Broadway  
Nashville, TN 37203

## Oak Ridge

Rm. G-209, Federal Building  
Administration Road  
PO Box 3474  
Oak Ridge, TN 37830

## Region 5

## Field Offices &amp; Addresses

## Courthouse

Rm. 280, Everett Mckinley  
Dirksen Building  
219 S Dcarborn  
Chicago, IL 60604

## North

Rm. 128, Federal Building and  
Railroad Retirement Building  
844 N Rush  
Chicago, IL 60611

## South

Rm. 127, Federal Building  
Building A  
1819 W Pershing Road  
Chicago, IL 60609

## Central

Rm. 292, Federal Building  
536 S Clark Street  
Chicago, IL 60605

## Fort Wayne

GSA-FSS-Casad Depot  
Building 11  
New Haven  
Fort Wayne, IN 46774

## Hammond

Rm. 412, Federal Building  
and Courthouse  
507 State Street  
Hammond, IN 46302

## Indianapolis

Rm. 301, Federal Building  
and Courthouse  
46 E Ohio Street  
Indianapolis, IN

## Jeffersonville

Rm. 160, Federal Center

1201 E 10th Street  
Jeffersonville, IN 47130

## Terre Haute

Rm. 304, Post Office and  
Courthouse  
30 N 7th Street  
Terre Haute, IN 47808

## Canton

Rm. 148, Frank T. Bow Federal Buil  
201 Cleveland Avenue, SW  
Canton, OH 44701

## Cincinnati

Rm. 440, Post Office and  
Courthouse  
5th Main & Walnut Streets  
Cincinnati, OH 44199

## Columbus

Rm. 445, Federal Building and  
Courthouse  
85 Marconi Boulevard  
Columbus, OH 43215

## Dayton

Rm. 102, Federal Building and  
Courthouse  
118 W Third Street  
Dayton, OH 45402

## Toledo

Rm. 1213, Federal Building  
234 Summit Street  
Toledo, OH 43604

## Detroit

Rm. 1016, Federal Building  
and Courthouse  
231 W Lafayette  
Detroit, MI 48226

## Grand Rapids

Rm. 180, Federal Building  
and Courthouse  
110 Michigan Street, NW  
Grand Rapids, MI 49502

## Battle Creek

Rm. 214, Federal Center  
74 N Washington  
Battle Creek, MI 49017

## Pontiac

Rm. C9, Federal Building  
and Post Office  
35 E Huron Street  
Pontiac, MI 48058

## Duluth

Rm. 202, Federal Building,  
Courthouse & Customhouse  
515 W 1st  
Duluth, MN 55802

## St. Paul

Rm. 226, Federal Building  
Ft. Snelling  
Twin Cities  
St. Paul, MN 55111

## Minneapolis

Rm. 242, Federal Building  
and Courthouse  
110 S 4th  
Minneapolis, MN 55401

## Milwaukee

Rm. 62, Federal Building and  
Courthouse

517 E Wisconsin Avenue  
Milwaukee, WI 53202

Wausau  
Federal Building  
317 First Street  
Wausau, WI 55401

Springfield  
Rm. 104, Federal Building  
and Courthouse  
600 E Monroe Street  
Springfield, IL 62701

Benton  
Rm. 220, Post Office  
and Courthouse  
314 W Main Street  
Benton, IL 62812

Region 6

Field Offices & Addresses

Topeka  
Federal Center Warehouse  
PO Box 19047  
Topeka, KS 66619

Wichita  
Rm. 280, Federal Building  
418 S Main Street  
Wichita, KS 67202

Kansas City North  
Rm. 109, Federal Building  
601 E 12th Street  
Kansas City, MO 64106

Kansas City South  
Rm. B-1, Federal Building  
1500 E Bannister Road  
Kansas City, MO 64131

Area Office & Address

St. Louis  
Rm. 635, Courthouse and  
Customhouse  
1114 Market Street  
St. Louis, MO 63101

Field Offices & Addresses

Mart  
Rm. 113, Federal Building  
405 S 12th Street  
St. Louis, MO 63102

Downtown  
Rm. 1624, Federal Building  
1520 Market  
St. Louis, MO 63103

MPRC  
Rm. 2005, 9700 Page  
St. Louis, MO 63132

Rolla  
Rm. 1, Tucker Building  
103-105 W 10th Street  
Rolla, MO 65401

Columbia  
Rm. 117, Federal Building  
608 E Cherry Street  
Columbia, MO 65201

Federal Center  
Rm. 110, Building 107,  
Federal Center

4300 Goodfellow Boulevard  
St. Louis, MO 63120

Area Office & Address

Omaha  
Rm. 1106, Federal Building and  
Post Office  
215 N 17th Street  
Omaha, NE 68102

Field Offices & Addresses

Cedar Rapids  
Rm. 126, Federal Building and  
Courthouse  
101 First Street, SE  
Cedar Rapids, IA 52401

Fort Dodge  
Rm. B-2, Federal Building,  
Post Office and Courthouse  
205 S 8th Street  
Fort Dodge, IA 50501

Des Moines  
Rm. 118, Federal Building  
210 Walnut Street  
Des Moines, IA 50309

Omaha  
Rm. 1118, Federal Building,  
Post Office and Courthouse  
215 N 17th Street  
Omaha, NE 68102

North Platte  
Rm. 104, Federal Building,  
Post Office and Courthouse  
300 E 3rd Street  
North Platte, NE 69101

Lincoln  
Rm. 103, Federal Building and  
Courthouse  
129 N 10th Street  
Lincoln, NE 68508

Region 7

Area Office & Address

Austin  
Rm. 109, Courthouse  
200 W 8th Street  
Austin, TX 78701

Field Offices & Addresses

Austin  
Rm. G100, Federal Building  
and Post Office  
300 E 8th Street  
Austin, TX 78701

El Paso  
Rm. 105, Courthouse.  
500 E San Antonio Street  
El Paso, TX 79901

Laredo  
Rm. 300, Post Office and  
Courthouse  
Matamoros & Juarez  
Laredo, TX 78040

San Antonio  
Bldg. 3A, Federal Center  
630 S Main Street  
San Antonio, TX 78204

## Area Office &amp; Address

Little Rock  
Rm. 1329, Federal Building  
700 W Capitol Street  
Little Rock, AR 72201

## Field Offices &amp; Addresses

Little Rock  
Rm. 1120, Federal Building  
700 W Capitol Street  
Little Rock, AR 72201

Russellville  
Rm. 114, Federal Building  
River & David Streets  
Russellville, AR 72801

## Area Office &amp; Address

New Orleans  
Rm. 609, Federal Building  
600 South Street  
New Orleans, LA 70130

## Field Offices &amp; Addresses

Lafayette  
Rm. 303, Federal Building,  
Post Office and Courthouse  
Jefferson & Main Streets  
Lafayette, LA 70501

New Orleans  
Rm. 610, Federal Building  
600 South Street  
New Orleans, LA 70130

Ruston  
Rm. 108, Federal Building  
Mississippi & Vienna Streets  
Ruston, LA 72170

## Area Office &amp; Address

Dallas  
Rm. 101, Federal Building  
and Courthouse  
1114 Commerce Street  
Dallas, TX 75242

## Field Offices &amp; Addresses

Albuquerque  
Rm. 1018, Federal Building  
517 Gold Avenue, SW  
Albuquerque, NM 87103

Dallas  
Rm. 102, Federal Building  
and Courthouse  
1114 Commerce Street  
Dallas, TX 75242

Fort Worth  
Rm. 14A18, Fritz G. Lanham  
Federal Building  
819 Taylor  
Fort Worth, TX 76102

Lubbock  
Rm. 114, Federal Building  
and Courthouse  
1205 Texas Street  
Lubbock, TX 79401

Santa Fe  
Rm. 1221, Federal Building  
S Federal and Grant  
Santa Fe, NM 87501

## Area Office &amp; Address

Houston  
Rm. 298, Customhouse  
701 San Jacinto  
Houston, TX 77052

## Field Offices &amp; Addresses

Beaumont  
Rm. 256, Post Office  
and Courthouse  
Broadway and Willow Streets  
Beaumont, TX 77701

Houston  
Rm. 5009, Federal Building  
and Courthouse  
515 Rusk Avenue  
Houston, TX 77002

## Area Office &amp; Address

Oklahoma City  
Rm. 3436, Post Office,  
Courthouse and Federal Building  
201 NW 3rd Street  
Oklahoma, OK 73101

## Field Offices &amp; Addresses

Muskogee  
Rm. 110, Courthouse  
and Federal Building  
5th & W Okmulgee Streets  
Muskogee, OK 74401

Oklahoma City  
Rm. B031, Post Office,  
Courthouse, and Federal Building  
201 NW 3rd Street  
Oklahoma, OK 73101

Tulsa  
Rm. 106, Federal Building  
224 S Boulder  
Tulsa, OK 74103

## Region 8

## Field Offices &amp; Addresses

Denver Federal Center  
Entrance E, Building 41  
Denver, CO 80225

York Street  
Bldg. 7, 3800 York Street  
Denver, CO 80205

Denver  
Rm. 1415, Federal Building and  
Courthouse  
1961 Stout Street  
Denver, CO 80202

Colorado Springs  
PO Box 4788  
Colorado Springs, CO 80930

Billings  
Federal Building & Courthouse  
PO Box 1477  
Billings, MT 59103

Helena  
Federal Building, Rm. 401  
PO Box 1718  
Helena, MT 59601

Missoula  
Federal Building, Post Office &  
Courthouse, Rm. 1003  
PO Box 1205  
Missoula, MT 59801

Bismarck  
Federal Building, Post Office &  
Courthouse, Rm. 228  
220 East Rosser  
Bismarck, ND 58501

Fargo  
Federal Building & Post Office  
Rm. 105  
657 - 2nd Avenue, N  
Fargo, ND 58102

Aberdeen  
Rm. 120, Federal Building  
115 - 4th Avenue, SE  
Aberdeen, SD 57401  
\* Pierre  
Rm. 210, Federal Building,  
Post Office and Courthouse  
225 S. Pierre Street  
Pierre, SD 57501

Utah  
Rm. 2201, Federal Building  
125 S State Street  
Salt Lake City, UT 84111

Casper  
Rm. 1010, Federal Building and  
Post Office  
100 East B Street  
Casper, WY 82601

Cheyenne  
Joseph O'Mahoney Federal Center  
2120 Capitol Avenue, Rm. 2016  
Cheyenne, WY 82001

#### Region 9

##### Field Offices & Addresses

Sansome Street  
Rm. 13, Appraisers Building  
630 Sansome Street  
San Francisco, CA 94111

Golden Gate  
Rm. 1443, Federal Building  
450 Golden Gate  
San Francisco, CA 94102

East Bay  
Rm. 416, Federal Building  
1515 Clay Street  
Oakland, CA 94612

Sacramento  
Rm. 1542, Federal Building  
and Courthouse  
650 Capitol Mall  
Sacramento, CA 95814

Stockton  
GSA Federal Service Center  
Bldg. 606, Rough and Ready  
Island  
Stockton, CA 95203

Fresno  
Rm. 1001, Federal Building  
and Courthouse  
1130 'O' Street  
Fresno, CA 93721

Santa Ana  
Federal Building  
34 Civic Center Drive  
Delle, CA 90201

Central Los Angeles  
Rm. G-11, Courthouse  
312 N Spring Street  
Los Angeles, CA 90012

West Los Angeles  
Rm. 10218, Federal Building  
11000 Wilshire Boulevard  
Los Angeles, CA 90024

San Diego  
Rm. 712, Charter Oil Bldg.  
110 W 'C' Street  
San Diego, CA 92101

San Clemente  
GSA, US Coast Guard Station  
San Clemente, CA 92672

Phoenix  
Rm. 1006, Federal Building  
230 N First Street  
Phoenix, AZ 85025

Tucson  
Rm. 412, Post Office and  
Courthouse  
Scott and Broadway  
Tucson, AZ 85726

Honolulu  
Rm. 214, Post Office and  
Courthouse  
335 Merchant Street  
Honolulu, HI 96813

Reno  
Rm. 3113, Federal Building  
300 Booth Street  
Reno, NV 89502

Las Vegas  
Rm. 1-620, Federal Building  
and Courthouse  
300 Las Vegas Boulevard  
Las Vegas, NV 87101

#### Region 10

##### Field Offices & Addresses

Seattle  
Rm. 1890, Federal Building  
915 2nd Avenue  
Seattle, WA 98174

Bellingham  
Rm. 112, Federal Building  
104 West Magnolia  
Bellingham, WA 98225

Spokane  
Rm. 112, Courthouse  
W 920 Riverside Avenue  
Spokane, WA 99201

Richland  
Rm. G62, Federal Building,  
Post Office and Courthouse  
PO Box 400  
Richland, WA 99352

Auburn  
Bldg. 815, GSA Center  
Auburn, WA 98002

Portland  
Rm. 201, Courthouse  
SW Broadway & Main  
Portland, OR 97205

Eugene  
PO Box 10639  
Eugene, OR 97401

Boise  
Rm. 164, Federal Building  
and Courthouse  
550 W Fort Street  
Boise, ID 83701

#### Area Office & Address

Anchorage  
Federal Building, Post Office  
and Courthouse  
4th and F Streets  
Anchorage, AK 99501

#### Field Offices & Addresses

Anchorage  
Federal Building, Post Office  
and Courthouse  
4th and F Streets  
Anchorage, AK 99501

Juneau  
Rm. 103, Federal Building,  
Post Office and Courthouse  
709 W Ninth  
Juneau, AK 99801

#### GSA/ADTS 1

**System name:** Classified Control Files, GSA/ADTS.

**System location:** Office of Telecommunications, Central Office and Regional offices of ADTS at the addresses listed in the appendix following Notice GSA/ADTS notices.

**Categories of individuals covered by the system:** Employees of GSA, other Government Agencies, and commercial corporations.

**Categories of records in the system:** Records are maintained in this nonautomated system for the purpose of ensuring control over access to classified information and facilities. Contains records such as: security clearance levels, identification credentials, registers, access authorizations, official courier/messenger designations, security officer appointments, files custodian lists, security briefings and acknowledgments.

**Authority for maintenance of the system:** Executive Order 10450 April 27, 1953

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Maintaining and exchanging current information regarding authority of personnel to gain access to classified material or facilities. Documenting responsibilities of designated personnel to administer the overall security program. Used to verify clearance for access to classified material or facilities, to allow performance of maintenance and repair of classified communications equipment, to verify authority to release, transport and receive classified material, both inter and intra agency. Other routine uses are contained in the appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper files kept in safes or storage cabinets.

**Retrievability:** Filed alphabetically at each location by name of individual.

**Safeguards:** Buildings employ security guards. Storage containers are either locked or located in a limited access area.

**Retention and disposal:** Disposition of records shall be in accordance with the HB GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** Chief, Secure and Emergency Communications Branch, Office of Telecommunications, GSA, ADTS, 18th & F Streets, NW., Washington, DC; mailing address: General Services Administration (CTOS), Washington, DC 20405.

**Notification procedure:** Inquiries should be directed to the Chief, Secure and Emergency Communications Branch, at the above address or to the Director, Telecommunications Division, of the appropriate regional office at the address listed in the appendix following GSA/ADTS notices.

**Record access procedures:** Same individuals as above.

**Contesting record procedures:** GSA rules for access to records and for contesting the contents and appealing initial determination are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** The individuals themselves, requests for clearance and/or access, other security forms.

#### GSA/ADTS 2

**System name:** Congressional Files, GSA/ADTS

**System location:** ADTS central office and in the regional headquarters offices at the addresses listed in the appendix following Notice GSA/ADTS 8.

**Categories of individuals covered by the system:** Members of Congress and their staffs, State Governors and their staffs.

**Categories of records in the system:** Records are maintained in this nonautomated system for the purpose of ensuring that proper action is being taken on congressional and state requests for ADP and telecommunications service. Contains records used for ordering, billing and budget purposes. Contains telephone service requests, ADP service requests, billing for FTS and extended service, general inquiries, record of meeting, service complaints, and remedial actions.

**Authority for maintenance of the system:** Title 31, USC Section 680 a

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The Routine Uses of these Records, as defined in 5 U.S.C. 552a (a)(7) and provided for in 5 U.S.C. 552a (b) (3), are described in the appendix following the GSA Notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper files, storage cabinets and file folders.

**Retrievability:** Filed alphabetically by name of individual.

**Safeguards:** Buildings employ security guards. Access is restricted to authorized personnel. Records are released only to authorized officials.

**Retention and disposal:** Disposition of Records shall be in accordance with the HB GSA Records Maintenance and Disposition System (OAD P 1820.2)

**System manager(s) and address:** Executive Director, GSA, ADTS, 18th & F Street, N.W., Washington, D.C. 20405.

**Notification procedure:** Inquiries should be directed to the Office of Executive Director, ADTS or to the appropriate region at the address listed in the appendix following Notice GSA/ADTS 8. Any required assistance can be obtained from the system manager identified above.

**Record access procedures:** Same individuals as above.

**Contesting record procedures:** GSA rules for access to records and for contesting the contents and appealing initial determination are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** The individuals themselves (correspondence, telephone calls etc), the staffs of the individuals concerned, other ADTS employees involved.

#### GSA/ADTS 3

**System name:** Discretionary Supervisor Files - GSA/ADTS.

**System location:** Normal place of business of supervisor in either the GSA/ADTS central office or regional locations. Headquarters addresses are listed in the appendix following Notice GSA/ADTS 8.

**Categories of individuals covered by the system:** ADTS employees including those in intern, youth employment and temporary status.

**Categories of records in the system:** Records are maintained in this nonautomated system at the complete discretion of the ADTS supervisor. Contains records such as personnel actions, training requests, performance appraisals, letters of commendation, citations, awards, job description, accident reports, supervisor's assessment, career counseling, leave record, copies of warnings, grievances, reprimands, attendance, organizational charts, selection brief, outside employment and labor relations.

**Authority for maintenance of the system:** Title 5 U.S.C. Section 301.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The routine uses of these Records, as defined in 5 U.S.C. 552a (a)(7) and provided for in 5 U.S.C. 552a (b)(3), are described in the appendix following the GSA Notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper files stored in metal lockable filing cabinets or metal lockable desk drawers.

**Retrievability:** Filed alphabetically at each location by name of individual.

**Safeguards:** Buildings employ security guards. Records are maintained in metal lockable filing cabinets or metal lockable desk drawers, with limited access.

**Retention and disposal:** Disposition of records shall be in accordance with the HB GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** Executive Director, ADTS, GS Building, 18th & F Street, NW., Washington, DC; mailing address: General Services Administration (CX), Washington, DC 20405.

**Notification procedure:** Inquiries should be directed to the individual's immediate supervisor. Any required additional assistance can be obtained from the system manager identified above, or the Regional Commissioner of the appropriate regional office at the address listed in the appendix following GSA/ADTS notices.

**Record access procedures:** Same individuals as above.

**Contesting record procedures:** GSA rules for access to records and for contesting the contents and appealing initial determination are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** The individuals themselves, personal observations of the supervisor, conversations, copies of documents prepared by the supervisor, other employees.

#### GSA/ADTS 4

**System name:** Emergency Notification Files, GSA/ADTS.

**System location:** Central and Regional Office of ADTS at the addresses listed in the appendix following Notice GSA/ADTS 8.

**Categories of individuals covered by the system:** GSA/ADTS Central Office and Regional employees.

**Categories of records in the system:** Records are maintained in this nonautomated system for the purpose of notifying and identifying employees or their designees under emergency conditions. Contains records such as: employees name, address, position title, office phone number, home phone number.

**Authority for maintenance of the system:** Title 5 U.S.C. Section 301

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Maintaining a current listing of Federal officials to be contacted during national disasters, contingency, and emergency situations, and providing this information, as necessary, in disasters or public or personal emergencies, to concerned agencies, telephone companies, telecommunications common carriers, civil defense and other activities and individuals involved in the emergency or relief effort. Contacting officials in support of Federal Emergency Plan D and NCS Plan for Communications Support in major disasters and emergencies. Notifying personnel in cases of operational or personal emergencies. Other routine uses are contained in the appendix following the GSA Notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper files kept in safes or storage cabinets.

**Retrievability:** Filed alphabetically at each location by name of individual.

**Safeguards:** Buildings employ security guards. Storage containers are either locked or located in a limited access area. Information is released only to authorized officials.

**Retention and disposal:** Disposition of records shall be in accordance with the HB GSA Records Maintenance and Disposition System (OAD P 1820.2)

**System manager(s) and address:** Chief, Secure and Emergency Communications Branch, Office of Telecommunications, GS Building, 18th & F Streets, NW., Washington, DC; mailing address: General Services Administration (CTOS), Washington, DC 20405.

**Notification procedure:** Inquiries should be directed to the system manager identified above or to the Director, Telecommunications Division, at the regional office at the address listed in the appendix following GSA/ADTS notices.

**Record access procedures:** Same individuals as above.

**Contesting record procedures:** GSA rules for access to records and for contesting the contents and appealing initial determination are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** The individuals themselves, their supervisors, emergency listings, disaster plans and personnel files.

#### GSA/ADTS 5

**System name:** Financial Management Files, GSA/ADTS

**System location:** Financial Management Division, ADTS central office and in the offices of the ADTS Commissioner's and the Telecommunications Division Director's at the regions. Addresses are listed in the appendix following Notice GSA/ADTS 8.

**Categories of individuals covered by the system:** GSA/ADTS Central Office and Regional Employees

**Categories of records in the system:** Records are maintained in both paper and automated form for the purpose of financial management. Contains information on financial projections for short and long range planning, preparation of annual financial plans, monthly and quarterly analysis of operation funds and verifying accounting reports. Contains records such as: travel logs, transportation requests, claims, vouchers, within grade notices and pay changes, operator cost data, credit cards, requisitions, overtime requests, operating plans, time and attendance files, payroll, commercial call certifications, employment staffing, retirement eligibility, manpower and payroll statistics.

**Authority for maintenance of the system:** Title 31 U.S.C. Sections 66a, 66b.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The routine uses of the Records, as defined in 5 USC 552(a)(7) and provided for in 5 USC 552 (b)(3), are described in the appendix following the GSA Notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper files are kept in storage containers. Magnetic tapes are filed in a tape library.

**Retrievability:** Filed alphabetically at each location by name of individual.

**Safeguards:** Buildings employ security guards. Records containing personal information are maintained in metal lockable filing cabinets or metal lockable desk drawers, with limited access. Tapes and other records are stored in a limited access area.

**Retention and disposal:** Disposition of records shall be in accordance with the HB GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** Executive Director, ADTS, GS Building, 18th & F Streets, NW., Washington, DC; mailing address: General Services Administration (CX), Washington, DC 20405.

**Notification procedure:** Inquiries should be directed to the system manager identified above at the Central Office or to the Regional Commissioner of the appropriate regional office at the address listed in the appendix following GSA/ADTS notices.

**Record access procedures:** Same individual as above.

**Contesting record procedures:** GSA rules for access to records and for contesting the contents and appealing initial determination are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** Telephone Inventory and Accounting System and individuals submitting the various types of claims, vouchers and requests.

#### GSA/ADTS 6

**System name:** Personnel Administrative Files, GSA/ADTS

**System location:** Central Office and Regional offices of ADTS at the addresses listed in the appendix following Notice GSA/ADTS 8.

**Categories of individuals covered by the system:** GSA, ADTS Central Office and regional employees.

**Categories of records in the system:** Records are maintained in this nonautomated system for the purpose of administering personnel

matters in the ADTS Central Office and regions. Contains annotated organizational charts, training, duty and leave schedules, travel requests, authorizations, reprimands, warnings, vouchers, appraisals, position descriptions, biographic and career information, suggestions, details, personnel actions, grievance and appeal files, EEO files, personnel ceiling control, licenses, awards, essential residence telephone service listing, skill directory, trainee and intern files, property receipts, rosters, locators, job applications, surveys.

**Authority for maintenance of the system:** Title 5, U.S.C. Section 301

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Action taken with Civil Service Commission or pending on grievances, reprimands, warnings, suggestions, etc. Other routine uses are described in the appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper files kept in metal lockable filing cabinets or metal lockable desk drawers.

**Retrievability:** Filed alphabetically at each location by name of individual.

**Safeguards:** Buildings employ security guards. Records maintained in metal lockable filing cabinets or metal lockable desk drawers, with limited access.

**Retention and disposal:** Disposition of records shall be in accordance with the HB GSA Records Maintenance and Disposition System (OAD P 1820.2)

**System manager(s) and address:** Executive Director, ADTS, GS Building, 18th & F Streets, NW., Washington, DC; mailing address: General Services Administration (CX), Washington, DC 20405.

**Notification procedure:** Inquiries should be directed to the employee's immediate supervisor. Any additional assistance can be obtained from the system manager identified above, or the Regional Commissioner of the appropriate regional office at the address listed in the appendix following GSA/ADTS notices.

**Record access procedures:** Same individuals as above.

**Contesting record procedures:** GSA rules for access to records and for contesting the contents and appealing initial determination are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** The individuals themselves, their supervisors and from other ADTS employees.

#### GSA/ADTS 7

**System name:** Workload Measurement Files, GSA/ADTS.

**System location:** Regional and Central Offices, ADTS at the addresses listed in the appendix following Notice GSA/ADTS 8.

**Categories of individuals covered by the system:** Current employees of GSA/ADTS.

**Categories of records in the system:** Records are maintained in both paper and magnetic tape in this system for the purpose of measuring the productivity of ADTS technical and operational personnel in the central office and regions. Contains records such as: tape, test, re-run logs, applied data processing time, productive performance, project status, personnel time summary, switchboard operator evaluation, RAMUS reports, systems and programming project status, tape error detection, FTS incoming and outgoing service message, switchboard operations reports, computer console, balance and job control sheets, historical and workload files.

**Authority for maintenance of the system:** Title 5 USC Section 301.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The Routine Uses of these Records, as defined in 5 U.S.C. 552a (a)(7) and provided for in 5 U.S.C. 552a (b)(3), are described in the appendix following the GSA Notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper files are kept in file cabinets and desk drawers. Automated records are maintained in a tape library.

**Retrievability:** Records are filed alphabetically by individuals name, number, initials or operating location.

**Safeguards:** Buildings employ security guards and storage containers for paper records are either locked or in a limited access area. Automated records are maintained in a controlled tape library.

**Retention and disposal:** Disposition of Records shall be in accordance with the HB GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** Commissioner, GSA, ADTS, 18th and F Streets, N.W., Washington, D.C. 20405.

**Notification procedure:** Inquiries should be directed to the Regional Commissioner of the appropriate regional office or the Executive Director at the central office at the addresses listed in the appendix following Notice GSA/ADTS 8.

**Record access procedures:** Same individuals as above.

**Contesting record procedures:** GSA rules for access to records and for contesting the contents and appealing initial determination are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** The supervisor's review of message and tape logs, trouble reports, re-run sheets etc., the employee himself, other employees.

#### GSA/ADTS 8

**System name:** Special Purpose Telephone Contact Listings, GSA/ADTS

**System location:** GSA/ADTS Regional Telecommunications Offices and GSA operated switchboards within the ten GSA regions and the FTS Central Information Service and Emergency Poison Control listings at GSA, ADTS, Region 3. See Appendix GSA/ADTS Address of Locations following GSA/ADTS notices.

**Categories of individuals covered by the system:** Federal agency personnel as designated by the Federal agencies.

**Categories of records in the system:** Includes listings such as Key Personnel and Essential Residence Telephone Listing for the purpose of identifying essential residential telephone service to designated key officials in time of emergency; FTS Central Information Service for the purpose of contacting key officials for necessary day-to-day operations and in emergency situations; Emergency Poison Control Listings for the purpose of providing emergency medical assistance; and other listings of key officials for the purpose of day-to-day operations and emergencies. These listings may include name, position title, office address, office telephone number, home address, and/or home telephone number.

**Authority for maintenance of the system:** The Federal Property and Administrative Services Act of 1949, 63 Stat. 377, as amended.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The routine uses of these records, as defined in 5 U.S.C. 552a(a)(7) and provided for in 5 U.S.C. 552a(b)(3), shall include: (1) The transfer to GSA of the above described information from the Federal agency responsible for collecting the information for inclusion in the GSA-maintained nationwide central information service and in regional listings of Federal personnel designated as key personnel or points of contact; (Personal information; i.e., home address or telephone number, will not be disclosed to a caller. The GSA switchboard operator will either 'patch' the call through to the subject, or will notify the subject that a call has been made to him for call-back purposes.) (2) the transfer of designated essential residence information (and deletions from such list) in the regions to the appropriate telephone companies to provide emergency line load control pursuant to 41 CFR 105-35.6; and (3) those routine uses described in the Appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper, tapes, and/or cards.

**Retrievability:** Indexed by name and/or agency.

**Safeguards:** Limited access areas and records are accessible only to authorized personnel of GSA.

**Retention and disposal:** Maintained until rescinded by authorized agency.

**System manager(s) and address:** The officials responsible for the telephone contact listings are: (1) Central Information Service and Emergency Poison Control: Regional Director, Telecommunications Division, Region 3; (2) Regional listings: Regional Director, Telecommunications Division, in the appropriate region. The addresses of the responsible officials are listed in the appendix following GSA/ADTS notices.

**Notification procedure:** Information may be obtained from the officials listed above.

**Record access procedures:** Same individuals as above.

**Contesting record procedures:** GSA rules for access to systems of records, contesting the contents of a system of records, and appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** Information provided by Federal agencies and subject individuals.

#### APPENDIX GSA/ADTS ADDRESS OF LOCATIONS

**ADTS/GSA Central Office**  
18th & F Streets N.W.  
Washington, D.C. 20405  
Telephone: 202 - 566 - 1000

**Region 1**  
Area Manager, ADTS  
John W. McCormack P.O. & Courthouse  
Post Office Square  
Boston, Mass. 02109  
Telephone: 617 - 223-5055

**Region 2**  
Regional Commissioner, ADTS  
26 Federal Plaza  
New York, N.Y. 10007  
Telephone: 216 - 264-1711

**Region 3**  
Regional Commissioner, ADTS  
7th and D Streets S.W.  
Washington, D.C. 20407  
Telephone: 202 - 472-1200

**Region 4**  
Regional Commissioner, ADTS  
1776 Peachtree Street, N.W.  
Atlanta, Georgia 30309  
Telephone: 404 - 526-3801

**Region 5**  
Regional Commissioner, ADTS  
219 So. Dearborn Street  
Chicago, Ill. 60604  
Telephone: 312 - 353-5628

**Region 6**  
Regional Commissioner, ADTS  
1500 E. Bannister Road  
Kansas City, Missouri 64131  
Telephone: 816 - 926-7309

**Region 7**  
Regional Commissioner, ADTS  
819 Taylor Street  
Fort Worth, Texas 76102  
Telephone: 817 - 334-2379

**Region 8**  
Regional Commissioner, ADTS  
Building 41 - Denver Federal Cen.  
Denver, Colorado 80225  
Telephone: 303 - 234-4337

**Region 9**  
Regional Commissioner, ADTS  
525 Market Street  
San Francisco, California 94105  
Telephone: 415 - 556-3272

**Region 10**  
Area Manager, ADTS  
GSA Center  
Auburn, Washington 98002  
Telephone: 206 - 833-5431

#### GSA/FSS 1

**System name:** Quality Control Automated Management System - GSA/FSS

**System location:** Office of Standards and Quality Control, Crystal Mall Building 4, Arlington, Virginia (Mail: Washington, D.C. 20406), and all Regional Quality Control Divisions at the regional office locations listed in the appendix following Notice GSA/FSS 15.

**Categories of individuals covered by the system:** Quality Assurance Specialists, Quality Inspection Specialists, and Supervisory Quality Assurance Specialists.

**Categories of records in the system:** Information on individual work assignments, man-hours spent on assignments, line items handled and production efficiency. Records are used only by officers and employees of GSA who have a need for the records in the performance of their duties to obtain status of all open work, to schedule work, measure work and to develop periodic operational statistics and budget input.

**Authority for maintenance of the system:** Title 40 U.S.C. Sections 481 and 487.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The routine uses of these records, as defined in 5 U.S.C. 552a(a)(7) and provided for in 5 U.S.C. 552a(b)(3), are described in the Appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Mag-tape and print-outs.

**Retrievability:** Code number assigned to individuals.

**Safeguards:** Buildings employ security guards and records are maintained in areas accessible only to authorized personnel of FSS. Information in computer is obtained only through coded access and limited distribution.

**Retention and disposal:** Disposition of records shall be in accordance with HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The official responsible for the system of records is the Assistant Commissioner, Office of Standards and Quality Control, Federal Supply Service, Crystal Mall Building 4, Washington, D.C. 20406.

**Notification procedure:** Information may be obtained from the Regional Directors of Quality Control Divisions of the activity the individual is or was employed. If not known, general inquiries should be made to the Assistant Commissioner, Office of Standards and Quality Control, Federal Supply Service, Crystal Mall Building 4, Washington, D.C. 20406.

**Record access procedures:** Requests from individuals to access records should be addressed to the officials cited above. In person requests may also be made during normal business hours listed for each location in the appendix following Notice GSA/FSS 15.

For written requests, the individual should provide full name, address and telephone number, approximate period of employment, and position held to assist the office in locating the record. For personal visits, the individual should be able to provide some acceptable identification such as driver's license or employee identification. Only general inquiries may be made by telephone.

**Contesting record procedures:** GSA rules for access to system of records, contesting the contents of a system of record, and appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** Information contained in the system is obtained from individual daily source data, contracts, purchase orders, requests for quality complaint investigations, requests for plant facilities evaluations, and depot quality control and surveillance actions.

#### GSA/FSS 2

**System name:** Work Measurement, Performance and Analysis Systems -GSA/FSS

**System location:** Transportation Services Division and Logistics Data Management Division, Crystal Mall Building 4, Arlington, Virginia (Mail: Washington, D.C. 20406), and Regional Offices of Property Management Divisions, Transportation Services Division, Quality Control Divisions, Printing and Publications Division, Procurement Divisions, Supply Control Divisions and Centralized Mailing Lists Services at the regional office locations listed in the appendix following Notice GSA/ FSS 15. Portions of the systems are also located at Interagency motor pools, sub-pools and dispatch points throughout the regions. Addresses of these locations can be obtained from the applicable regional office.

**Categories of individuals covered by the system:** Federal Supply Service employees working at the activities described in the location portion of this notice.

**Categories of records in the system:** Information on employment, training, man-hours worked, work units produced, salary, non-productive hours, places visited. (Records are used only by officers and employees of GSA who have a need for the records in the performance of their duties to collect basic work measurement data, provide a statistical source for budgeting, compute effectiveness, spot trends in divisional work performance and establish work standards.)

**Authority for maintenance of the system:** Federal Property and Administrative Services Act of 1949, as amended (63 Stat. 377); Title 5 U.S.C. generally; Title 31 U.S.C. generally.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The routine uses of these records, as defined in 5 U.S.C. 552a(a)(7) and provided for in 5 U.S.C. 552a(b)(3), are described in the Appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper forms

**Retrievability:** Name of employee and/or employee number

**Safeguards:** Buildings employ security guards and records are maintained in areas accessible only to authorized personnel of FSS. Information is released only to authorized officials.

**Retention and disposal:** Disposition of records shall be in accordance with HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The official responsible for the system of records is the Executive Director, Federal Supply Service, Crystal Mall Building 4, Washington, D.C. 20406.

**Notification procedure:** Information may be obtained from the Director or Regional Director of the applicable activity shown in the location portion of this notice in which the individual is or was employed. If not known, general inquiries should be made to the Office of the Executive Director, Federal Supply Service, Crystal Mall Building 4, Washington, D.C. 20406.

**Record access procedures:** Requests from individuals to access records should be addressed to the officials cited above. In person requests may also be made during normal business hours at each location in the appendix following Notice GSA/FSS 15.

For written requests, the individual should provide full name, address and telephone number, period of employment and position held to assist the office in locating the record. For personal visits, the individual should be able to provide some acceptable identification such as driver's license or employee identification. Only general inquiries may be made by telephone.

**Contesting record procedures:** GSA rules for access to systems of records, contesting the contents of a system of record, and appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** Individual time records or labor reports of each employee and weekly reports compiled by individuals.

#### GSA/FSS 3

**System name:** Project Control, Assignment and Reporting Systems - GSA/FSS

**System location:** All or portions of the systems of records described in this notice are located at: Office of Interagency Support, Office of Standards and Quality Control, Program Development Staff of the Office of Supply Control, Logistics Management Division and Office of Supply Distribution, Federal Supply Service, Crystal Mall Building 4, Arlington, Virginia (Mail: Washington, D.C. 20406).

**Categories of individuals covered by the system:** Standards Technicians and individuals to whom special projects are assigned.

**Categories of records in the system:** Type of project, workload associated with project, individual assigned project, and progress. Projects include Federal Item Identification Guide Project Control, Improvement Project Assignment, special projects, Weekly Activity Reports and specifications and standards. (Records are used only by officers and employees of GSA who have a need for the records in the performance of their duties.)

**Authority for maintenance of the system:** Title 40 U.S.C. Sections 481 and 487; Title 5 U.S.C., generally; and Title 31 U.S.C., generally.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The routine uses of these records, as defined in 5 U.S.C. 552a(a)(7) and provided for in 5 U.S.C. 552a(b)(3), are described in the Appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Punched cards, print-outs, and manual files.

**Retrievability:** Name and/or manager code of individual.

**Safeguards:** Buildings employ security guards and records are maintained in areas accessible only to authorized personnel of FSS.

**Retention and disposal:** Disposition of records shall be in accordance with HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The official responsible for the system of records is the Executive Director, Federal Supply Service, Crystal Mall Building 4, Washington, D.C. 20406.

**Notification procedure:** Information may be obtained from the Assistant Commissioner or Director of the applicable activity shown in the location portion of this notice in which the individual is or was employed. If not known, general inquiries should be made to the Executive Director, Federal Supply Service, Crystal Mall Building 4, Washington, D.C. 20406.

**Record access procedures:** Requests from individuals to access records should be addressed to the officials cited above. In person requests may also be made during normal business hours listed for each location in the appendix following Notice GSA/FSS 15.

For written requests, the individual should provide full name, address and telephone number, period of employment and position held to assist the office in locating the record. For personal visits, the individual should be able to provide some acceptable identification such as driver's license or employee identification. Only general inquiries may be made by telephone.

**Contesting record procedures:** GSA rules for access to system of records, contesting the contents of a system of record, and appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** From individual assigned project, incoming workload requests, and internally generated projects.

#### GSA/FSS 4

**System name:** Hazardous Materials Exposure History System - GSA/FSS

**System location:** GSA/FSS Stockpile Depots at the addresses shown in the appendix following Notice GSA/FSS 15.

**Categories of individuals covered by the system:** Personnel working or visiting storage areas containing Hazardous Materials.

**Categories of records in the system:** Daily dosage of radiation received and hourly exposure to dangerous levels of asbestos and other hazardous materials, and related health records. The records are primarily used by officers and employees of the agency who have a need for the record in the performance of their duties.

**Authority for maintenance of the system:** Occupational Safety and Health Act of 1970, as amended (84 Stat. 1590).

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Information on exposure readings is provided to the Regulatory Agencies charged with the responsibility for regulating the handling of hazardous materials; other routine uses are contained in the appendix following the GSA Notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper forms.

**Retrievability:** Filed alphabetically by individual name.

**Safeguards:** Buildings employ security guards and records are maintained in areas accessible only to authorized personnel of FSS.

**Retention and disposal:** Disposition of records shall be in accordance with HB, GSA records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The official responsible for the system of records is the Assistant Commissioner, Office of Property Management, Crystal Square Building 5, Washington, D.C. 20406.

**Notification procedure:** Information may be obtained from the Director of the applicable activity shown in the location portion of this notice in which the individual is or was employed. If not known, general inquiries should be made to the Office of Property Management, Crystal Square Building 5, Washington, D.C. 20406.

**Record access procedures:** Requests from individuals to access records should be addressed to the officials cited above. In person requests may also be made during normal business hours listed for each location in the appendix following Notice GSA/FSS 15.

For written requests, the individual should provide full name, address and telephone number, period of employment and position held to assist the office in locating the record. For personal visits, the individual should be able to provide some acceptable identification such as driver's license or employee identification. Only general inquiries may be made by telephone.

**Contesting record procedures:** GSA rules for access to system of records, contesting the contents of a system of record, and appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** Film badges and dosimeters, other instrumentation, work logs, and medical exams.

#### GSA/FSS 5

**System name:** Supply Distribution Work Measurement System - GSA/FSS

**System location:** All or portions of the systems of records described in this notice are located at Regional Supply Distribution Divisions at Regional Office locations and Supply Distribution Facilities at the addresses listed in the Appendix following Notice GSA/FSS 15.

**Categories of individuals covered by the system:** Regional Supply Distribution Employees.

**Categories of records in the system:** Man-hours expended by work activity for each employee and the units accomplished by each. Individual Performance Progress Record. (Records are used only by the officials and employees of GSA who have a need for the records in the performance of their duties to determine facility operation effectiveness, set work standards and grade employee accomplishments and to discuss with employees their performance and career objectives.)

**Authority for maintenance of the system:** Title 40 U.S.C. Section 481; Title 5 U.S.C., generally; and Title 31 U.S.C., generally.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The routine uses of these records, as defined in 5 U.S.C. 552a(a)(7) and provided for in 5 U.S.C. 552a(b)(3), are described in the Appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** EAM Cards, Mag-tape, print-outs and paper feed-in reports.

**Retrievability:** Automated reports are by employee code number and feed-in reports by employee name.

**Safeguards:** Buildings employ security guards and records are maintained in areas accessible only to authorized personnel of FSS. Information in computer is obtained only through coded access and limited distribution.

**Retention and disposal:** Disposition of records shall be in accordance with HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The official responsible for the system of records is the Assistant Commissioner, Office of Supply Distribution, Crystal Mall Building 4, Washington, D.C. 20406.

**Notification procedure:** Information may be obtained from the Regional Director of the applicable activity shown in the location portion of this notice in which the individual is or was employed. If not known, general inquiries should be made to the Office of Supply Distribution, Crystal Mall Building 4, Washington, D.C. 20406.

**Record access procedures:** Requests from individuals to access records should be addressed to the officials cited above. In person requests may also be made during normal business hours at each location. For written requests, the individual should provide full name, address and telephone number, period of employment, and position held to assist the office in locating the record. For personal visits, the individual should be able to provide some acceptable

identification such as driver's license or employee identification. Only general inquiries may be made by telephone.

**Contesting record procedures:** GSA rules for access to system of records, contesting the contents of a system of record, and appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** From employee daily reports, Bin/Bulk Selector Error Records, Individual Performance Progress Records and from Supervisors.

#### GSA/FSS-6

**System name:** Motor Vehicle accident and Claim Reporting System - GSA/FSS

**System location:** All or portions of the system of records described in this notice are located at: Motor Equipment Management Division, Office of Transportation and Public Utilities, Federal Supply Service, Crystal Mall Building 4, Washington, D.C. 20406, and at Regional Motor Equipment Services Division at Regional Office locations, at the addresses listed in the Appendix. In addition, portions of the systems of records are maintained at motor pools located throughout the regions. The addresses of such activities can be obtained from the applicable Regional Commissioners office at the addresses listed in the Appendix. Portions of this system are also maintained in agencies assigned GSA interagency motor pool vehicles.

**Categories of individuals covered by the system:** Operators of government motor vehicles, third parties and witnesses involved in accidents.

**Categories of records in the system:** Operator's Report of Motor Vehicle Accident, Report of Motor Vehicle Accidents, Investigation Report of Motor Vehicle Accident, record of contact/memoranda, statement of witnesses, notices of injury, action taken on the accident reports, police reports, photographs, and doctor certifications.

**Authority for maintenance of the system:** Title 40 U.S.C. Section 491

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Routine uses are to interagency supervisory officials of operators involved in accidents; state, county or municipal authorities as required by law; and involved third parties and their insurance carriers. Records of damage, injury or death may be provided to U.S. Attorney's Office. Federal agencies provide data on accidents involving GSA interagency motor pool vehicles to GSA. Other routine uses are as described in the Appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Generally on paper forms. The automated portion of this system relating to the Motor Vehicle Accident Report System is stored on mag tape.

**Retrievability:** The manual portions of this system are retrieved by individual name. The automated system is retrieved only by motor pool and vehicle tag number.

**Safeguards:** Records are maintained in lockable file cabinets. Access to and use of these records are limited to authorized personnel.

**Retention and disposal:** Disposition of records shall be in accordance with HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The official responsible for the system of records is the Director, Motor Equipment Management Division, Federal Supply Service, Crystal Mall Building 4, Washington, D.C. 20406.

**Notification procedure:** Information may be obtained by GSA employees from the Regional Director of the applicable activity shown in the location portion of this notice in which the individual is or was employed. Other agency personnel should direct the inquiry to their agency transportation offices. General inquiry can be made to the Director, Motor Equipment Management Division, Crystal Mall Building 4, Washington, D.C. 20406.

**Record access procedures:** Requests from individuals to access records should be addressed to the officials cited above. For written requests, the individual should provide full name, address and telephone number, date of accident, driver's license number, and if a government employee, the agency where employed to assist the office in locating the record. For personal visits, the individual

should be able to provide some acceptable identification such as driver's license or employee identification. Only general inquiries may be made by telephone.

**Contesting record procedures:** GSA rules for access to system of records, contesting the contents of a system of record, and appealing initial determinations are promulgated in 41 CFR 1-64, published in the Federal Register.

**Record source categories:** Accident reports filed by individuals involved, police reports, witness reports, supervisors reports, doctor certificates, and from agencies assigned interagency motor pool vehicles.

#### GSA/FSS 7

**System name:** Vehicle and Motor Pool Services and Operations System - GSA/FSS

**System location:** All or portions of the systems of records are located at the Regional Offices of the Motor Equipment Services Divisions, Public Buildings Services, Supply Control Divisions, Supply Distribution Divisions, Quality Control Divisions, Federal Protective Service Divisions, and Civil Rights Divisions; Supply Distribution Facilities and Property Management Depots at the Regional Addresses listed in the appendix following Notice GSA/FSS 15.

Portions of the system of records are also maintained at Interagency Motor Pools, Minuteman Interagency Support Systems, Dispatch Points, Public Building Service Field Offices, Federal Protective Service Training Academies, Federal Telecommunications Centers, Customer Service Offices, Office of Operating Programs, and Federal Archives and Record Centers located throughout the regions. Addresses of Motor Pools can be obtained from the applicable Regional Director, Motor Equipment Services Divisions; and addresses of other activities can be obtained from the applicable Regional Administrator.

**Categories of individuals covered by the system:** Individuals using and maintaining motor pool vehicles and services and/or vehicle tag number.

**Categories of records in the system:** Operators identification cards, road test examinations, decal and entry permits, vehicle misuse, unauthorized credit card purchases, use of Government vehicle between residence and place of employment, authorized motor pool service requests, dispatch vehicle checkup, tool receipts, daily vehicle trip tickets, vehicle use records, assignment receipt, fuel log, vehicle repairs and request to leave privately owned vehicle at center.

**Authority for maintenance of the system:** 40 U.S.C. Section 491; Executive Order 10579 dated November 30, 1954.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Interagency officials to be notified of authorized and unauthorized uses of services and vehicles by personnel of their respective agencies. Private citizens are provided information in answer to complaints on misuse of vehicles. Other routine uses are as described in the Appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper forms

**Retrievability:** Name of individual and/or vehicle tag number

**Safeguards:** Buildings employ security guards and records are maintained in areas accessible only to authorized personnel of FSS.

**Retention and disposal:** Disposition of records shall be in accordance with HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The official responsible for the system of records is the Director of Motor Equipment Services Division, Federal Supply Service, Crystal Mall Building 4, Washington, D.C. 20406.

**Notification procedure:** Information may be obtained from the Regional Director of the applicable activity shown in the location portion of this notice in which the individual is or was employed. If not known, general inquiries should be made to the Office of Transportation and Public Utilities, Crystal Mall Building 4, Washington, D.C. 20406, or the Office of the Executive Director, Federal Supply Service, Crystal Mall Building 4, Washington, D.C. 20406.

**Record access procedures:** Requests from individuals to access records should be addressed to the officials cited above. In person

requests may also be made during normal business hours listed for each location in the appendix following Notice GSA/FSS 15.

For written requests, the individual should provide full name, address and telephone number, driver's license number, period of employment and position held and/or period of use of vehicle to assist the office in locating the record. For personal visits, the individual should be able to provide some acceptable identification such as drivers license or employee identification. Only general inquiries may be made by telephone.

**Contesting record procedures:** GSA rules for access to system of records, contesting the contents of a system of record, and appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** Users of vehicles, motor pool personnel, and agency officials.

#### GSA/FSS 8

**System name:** Employee Related Files - GSA/FSS

**System location:** All or portions of the systems of records described in this notice are maintained at the Division or Branch levels of the various Federal Supply Service offices located in Crystal Mall Buildings 2 and 4 and Crystal Square Building 5, Arlington, Virginia (Mail: Washington, D.C. 20406); Materials and Evaluation Development Laboratory, Van Ness and Reno Road, Washington, D.C., and Electronics Division of Office of Standards and Quality Control, Building 225, National Bureau of Standards, Gaithersburg, Maryland; and at Regional Office locations, GSA/FSS Stockpile Depots, and Supply Distribution Facilities at the addresses listed in the appendix following Notice GSA/FSS 15.

In addition, portions of the systems of records are maintained at self-service stores and motor pools located throughout the regions, the addresses of which can be obtained from the applicable Regional Commissioners Office.

**Categories of individuals covered by the system:** Current employees and former employees of the Federal Supply Service; applicants or potential applicants for employment, and employees of other agencies for employee relief bills.

**Categories of records in the system:** Records consist of a variety of documents accumulated by operating officials and supervisors in administering personnel matters for or about employees, including the following kinds of records, which are representative of the system: Equal employment opportunities; performance appraisals, potential performance appraisals, and supporting documents; promotions; applications, resumes, and biographical or employment history documents; emergency locator and notification documents containing name, address, home phone, emergency contacts; employee training, counseling, and development documents; position descriptions, management and classification documents; awards; security clearance records; leave, pay and time and attendance; emergency duty rosters; committee, team, task force participation rosters and documents; Congressional files relating to employee relief bills; staffing information, including organizational rosters for both Central Office and Regional personnel; retirement data; medical certifications for granting parking permits to handicapped; indebtedness complaints; news releases; duty station assignments; photographs; personnel plans; travel; employee record cards containing summary information; and injuries and occupational disease.

**Authority for maintenance of the system:** Federal Property and Administrative Services Act of 1949, as amended (63 Stat. 377); Title 5 U.S.C. generally; Title 31 U.S.C. generally.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Civil Service Commission in connection with recruitment activities and evaluation survey programs; Department of Labor in connection with settlement and adjudication of labor-management disputes. Other routine uses are as described in the Appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper records in file folders and card files, and print-outs.

**Retrievability:** Alphabetically by individual's name

**Safeguards:** Buildings employ security guards and records are maintained in areas accessible only to authorized personnel of FSS. Any records containing information, the unauthorized disclosure of which could result in substantial harm, embarrassment, inconvenience, or unfairness to the individual, is filed in locked cabinets.

**Retention and disposal:** Disposition of records shall be in accordance with HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The official responsible for the system of records is the Executive Director, Federal Supply Service, Crystal Mall Building 4, Washington, D.C. 20406.

**Notification procedure:** Information may be obtained from the supervisor of the activity the individual is or was employed with. If not known, general inquiries should be made to the Office of the Executive Director, Federal Supply Service, Crystal Mall Building 4, Washington, D.C. 20406.

**Record access procedures:** Requests from individuals to access records should be addressed to the officials cited above. In person requests may also be made during normal business hours listed for each location in the appendix following Notice GSA/FSS 15.

For written requests, the individual should provide full name, address and telephone number, approximate dates and places of employment, and any other information which the individual believes would facilitate locating the record. For personal visits, the individual should be able to provide some acceptable identification such as driver's license or employee identification. Only general inquiries may be made by telephone.

**Contesting record procedures:** GSA rules for access to system of records, contesting the contents of a system of record, and appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** Information in the record of systems is obtained from information furnished by the individual, personnel forms, congressional inquiries, committees, agency officials, third parties submitting indebtedness complaints, applications from individuals applying for positions, and doctors for individuals requesting handicap parking permits.

#### GSA/FSS 9

**System name:** Cataloging Action Master File - Work Measurement System - GSA/FSS

**System location:** General Services Administration, Tape Library, 7th & D Streets, SW, Washington, D.C. 20407.

**Categories of individuals covered by the system:** Supply catalogers processing catalog action requests.

**Categories of records in the system:** The system consists of records, kept on each individual, showing the status of transactions the individual has in process and the number of actions completed, rejected or approved. The records are primarily used by officers and employees of the agency to determine status of transactions and to summarize work done by individuals.

**Authority for maintenance of the system:** Title 40 U.S.C., Section 487

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The routine uses of these records, as defined in 5 U.S.C. 552a(a)(7) and provided for in 5 U.S.C. 552a(b)(3), are described in the Appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Mag-tape and print-outs

**Retrievability:** Alphabetic code assigned to individuals.

**Safeguards:** Buildings employ security guards and records are maintained in areas accessible only to authorized personnel of FSS.

**Retention and disposal:** Disposition of records shall be in accordance with HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The official responsible for the system of records is the Director, Logistics Data Management Division, Office of Customer Services and Support, Federal Supply Service, Washington, D.C. 20406

**Notification procedure:** Information may be obtained from the official cited above.

**Record access procedures:** Requests from individuals to access records should be addressed to the official cited above. In person requests may also be made during normal business hours listed for each location in the appendix following Notice GSA/FSS 15.

For written requests, the individual should provide full name, address and telephone number, period of employment, and position held to assist the office in locating the record. For personal visits,

the individual should be able to provide some acceptable identification such as drivers license or employee identification. Only general inquiries may be made by telephone.

**Contesting record procedures:** GSA rules for access to system of records, contesting the contents of a system of record, and appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** Data provided by individuals.

#### GSA/FSS 10

**System name:** Personal Property Disposal Work Measurement System - GSA/FSS

**System location:** All or portions of the systems of records described in this notice are located at: Office of Personal Property Disposal, Federal Supply Services, Crystal Square Building 5, Arlington, Virginia (Mail: Washington, D.C. 20406), and at Personal Property Division Regional Office locations at the addresses listed in the appendix following Notice GSA/FSS 15.

**Categories of individuals covered by the system:** Personal Property Division Regional employees of Utilization Branch, Sales Branch and Property Rehabilitation Branch.

**Categories of records in the system:** Breakdown of individual's daily time spent on assigned work tasks, leave, training and other functions. The records are primarily used by officers and employees of the agency who have a need for the record in the performance of their duties to evaluate overall productivity to determine the most efficient allocation of man-power requirements and financial resources.

**Authority for maintenance of the system:** Title 40 U.S.C. Section 483

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The routine uses of these records, as defined in 5 U.S.C. 552a(a)(7) and provided for in 5 U.S.C. 552a(b)(3), are described in the Appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper forms.

**Retrievability:** Individual's name.

**Safeguards:** Buildings employ security guards and records are maintained in areas accessible only to authorized personnel of FSS.

**Retention and disposal:** Disposition of records shall be in accordance with HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The official responsible for the system of records is the Assistant Commissioner, Office of Personal Property Disposal (FW), Federal Supply Service, Washington, D.C. 20406.

**Notification procedure:** Information may be obtained from the Regional Directors of the applicable activity shown in the location portion of this notice in which the individual is or was employed. If not known, general inquiries should be made to the Office of Personal Property Disposal, Crystal Square Building 5, Washington, D.C. 20406, or the Office of the Executive Director, Federal Supply Service, Crystal Mall Building 4, Washington, D.C. 20406.

**Record access procedures:** Requests from individuals to access records should be addressed to the officials cited above. In person requests may also be made during normal business hours listed for each location in the appendix following Notice GSA/FSS 15.

For written requests, the individual should provide full name, address and telephone number, period of employment and position held to assist the office in locating the record. For personal visits, the individual should be able to provide some acceptable identification such as drivers license or employee identification. Only general inquiries may be made by telephone.

**Contesting record procedures:** GSA rules for access to system of records, contesting the contents of a system of record, and appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** Individual daily man-hour reports.

#### GSA/FSS 11

**System name:** Work Record Systems - GSA/FSS

**System location:** Evaluation Branch, Office of Supply Distribution, Crystal Mall Building 4, Arlington, Virginia (Mail: Washington, D.C. 20406), Retail Services Division, Fuels Branch, 7th & D

Streets, SW, Washington, D.C. 20407, and Division Offices of Procurement, Crystal Mall Building 4, Arlington, Virginia (Mail: Washington, D.C. 20406).

**Categories of individuals covered by the system:** Federal Supply Service employees performing duties at the activities listed in the location portion of this notice.

**Categories of records in the system:** Employee numbers for publication and report preparation, record of attendance and performance, work assignments, production procurement plan and status, and register of incoming workload.

**Authority for maintenance of the system:** Federal Property and Administrative Services Act of 1949, as amended, (63 Stat. 377); Title 5 U.S.C. generally.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The routine uses of these records, as defined in 5 U.S.C. 552a(a) (7) and provided for in 5 U.S.C. 552a(b) (3), are described in the Appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper forms.

**Retrievability:** Individual's name.

**Safeguards:** Buildings employ security guards and records are maintained in areas accessible only to authorized personnel of FSS.

**Retention and disposal:** Disposition of records shall be in accordance with HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The official responsible for the system of records is the Executive Director, Federal Supply Service, Crystal Mall Building 4, Washington, D.C. 20406.

**Notification procedure:** Information may be obtained from the Branch Chief or Director of the applicable activity shown in the location portion of this notice in which the individual is or was employed. If not known, general inquiries should be made to the office of the Executive Director, Federal Supply Service, Crystal Mall Building 4, Washington, D.C. 20406.

**Record access procedures:** Requests from individuals to access records should be addressed to the officials cited above. In person requests may also be made during normal business hours listed for each location in the appendix following Notice GSA/FSS 15.

For written requests, the individual should provide full name, address and telephone number, period of employment and position held to assist the office in locating the record. For personal visits, the individual should be able to provide some acceptable identification such as drivers license or employee identification. Only general inquiries may be made by telephone.

**Contesting record procedures:** GSA rules for access to system of records, contesting the contents of a system of record, and appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** Information for the system of records described in this notice is obtained from agency work reports, incoming offers from business firms, incoming requisitions from other agencies, the individual's supervisor and the individual.

#### GSA/FSS 12

**System name:** Accountability and Property Inventory Systems - GSA/FSS

**System location:** Regional Commissioner's offices: Regional offices of the Property Management Division, Motor Equipment Services Division, Retail Services Division, Business Service Centers and Procurement Divisions; and Property Management Depots at the addresses listed in the Appendix following Notice GSA/FSS 15. In addition, portions of the systems of records are maintained at retail stores and motor pools in the regions. Addresses of these locations can be obtained from the applicable Regional Director.

**Categories of individuals covered by the system:** Property Management Division inspectors and depot personnel, store managers, motor pool personnel, Office of Business Affairs and Information Center employees and contracting officers.

**Categories of records in the system:** Custody of wax seals, record of keys issued, accountability of Government property and supplies, bonding of collection officers, contracting officer designations and discrepancy reports. (The records are primarily used by officers and employees of the agency who have a need for the record in the performance of their duties.)

**Authority for maintenance of the system:** Title 40 U.S.C. Section 483; Title 5 U.S.C. generally; Title 31 U.S.C., generally.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The routine uses of these records, as defined in 5 U.S.C. 552a(a) (7) and provided for in 5 U.S.C. 552a(b) (3), are described in the Appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper forms

**Retrievability:** Individual's name

**Safeguards:** Buildings employ security guards and records are maintained in areas accessible only to authorized personnel of FSS.

**Retention and disposal:** Disposition of records shall be in accordance with HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The official responsible for the system of records is the Executive Director, Federal Supply Service, Crystal Mall Building 4, Washington, D.C. 20406.

**Notification procedure:** Information may be obtained from the Regional Director of the applicable activity shown in the location portion of this notice in which the individual is or was employed with. If not known, general inquiries should be made to the Office of the Executive Director, Federal Supply Service, Crystal Mall Building 4, Washington, D.C. 20406.

**Record access procedures:** Requests from individuals to access records should be addressed to the officials cited above. In person requests may also be made during normal business hours listed for each location in the appendix following Notice GSA/FSS 15.

For written requests, the individual should provide full name, address and telephone number, period of employment and position held to assist the office in locating the record. For personal visits, the individual should be able to provide some acceptable identification such as drivers license or employee identification. Only general inquiries may be made by telephone.

**Contesting record procedures:** GSA rules for access to system of records, contesting the contents of a system of record, and appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** Individual prepared forms, agency supervisors and personnel action forms.

#### GSA/FSS-14

**System name:** Key Personnel Directory and Key Contact Card - GSA/FSS

**System location:** Administrative Services Staff, Crystal Mall Building 4, Arlington, Virginia (Mail: Washington, DC 20406).

**Categories of individuals covered by the system:** Central Office and Regional Office FSS employees, branch chief and above.

**Categories of records in the system:** Contains the name, position, office telephone, home address and home telephone number of the individual. The purpose of this system is to provide contact points for day-to-day operations and emergencies.

**Authority for maintenance of the system:** The Federal Property and Administrative Services Act of 1949, 63 Stat/ 377, as amended.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** The routine uses of these records, as defined in 5 USC 552a(a) (7) and provided for in 5 USC 552a(b) (3), are described in the Appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Paper, cards, and Vydek work processing tape.

**Retrievability:** Indexed by name.

**Safeguards:** Buildings employ security guards and records are maintained in areas accessible only to authorized personnel of FSS.

**Retention and disposal:** Disposition of records shall be in accordance with HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** The official responsible for the system of records is the Director, Administrative Services Staff, Office of the Executive Director, Federal Supply Service, Crystal Mall Building 4, Washington, DC 20406.

**Notification procedure:** Information may be obtained from the official listed above.

**Record access procedures:** An individual can obtain information on the procedures for gaining access to and contesting records from the official cited above.

**Contesting record procedures:** GSA rules for access to system of records, contesting the contents of a system of record, and appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** Information provided by individual.

#### GSA/FSS-15

**System name:** Foreign Gift Records - GSA/FSS

**System location:** General Services Administration, 7th and D Sts., S.W., Washington, D.C. 20407, and Office of Personal Property Disposal, Federal Supply Service, Crystal Square Bldg. 5, Room 900, Washington, D.C. 20406.

**Categories of individuals covered by the system:** Individuals receiving gifts/decorations from foreign governments.

**Categories of records in the system:** Description of gifts/decorations received from foreign governments; donors. The information contained in these records is used as an accounting of those U.S. Government officials receiving gifts/decorations from foreign governments on a need-to-know basis to personnel of the GSA as may be required in the performance of their official duties.

**Authority for maintenance of the system:** 22 U.S.C. 2621-2625 and Federal Property and Administrative Services Act of 1949, as amended, 40 U.S.C. 471.

**Routine uses of records maintained in the system, including categories of users and the purposes of such uses:** Information in the system is provided to the Executive Office of the President, State Department, Congress, media organizations, and general public. The information may also be released to other government agencies who have statutory or other lawful authority to maintain such information. Other routine uses are contained in the appendix following the GSA notices.

**Policies and practices for storing, retrieving, accessing, retaining, and disposing of records in the system:**

**Storage:** Hard copy, microfilm, computer.

**Retrievability:** By individual name, report number, item number, nomenclature.

**Safeguards:** Access to records and files in the program is limited and controlled by the Commissioner, FSS. Buildings employ security guards and records are maintained in areas accessible only to authorized personnel of FSS. Computer data is coded to protect the privacy of individuals.

**Retention and disposal:** These records are retained permanently. They are retired in accordance with HB, GSA Records Maintenance and Disposition System (OAD P 1820.2).

**System manager(s) and address:** Director, Utilization and Donation Division, Office of Personal Property Disposal (FWU), FSS, Bldg. 5, Room 900, Washington, D.C. 20406.

**Notification procedure:** Individuals who have reason to believe that GSA might have records pertaining to them should write to the Commissioner, Federal Supply Service, Crystal Mall, Bldg. 4, Room 1121, Washington, D.C. 20406. The individual must specify that he wishes the foreign gifts records to be checked. At a minimum, the individual must include: name; date and place of birth; current mailing address and zip code; signature of requestor; a brief description of the circumstance, including approximate dates which gives the individual cause to believe that GSA/FSS might have records pertaining to him or her.

**Record access procedures:** Individuals who wish to gain access to or amend records pertaining to them should write the Commissioner, Federal Supply Service, Crystal Mall, Bldg. 4, Room 1121, Washington, D.C. 20406.

**Contesting record procedures:** GSA rules for access to system of records, contesting the contents of a system of record, and appealing initial determinations are promulgated in 41 CFR 105-64, published in the Federal Register.

**Record source categories:** Information contained in the system is obtained from officials preparing records on individuals to whom the records pertain.

John W. McCormack Post  
Office and Courthouse  
Post Office Square  
Boston, Massachusetts 02109

Region 2  
26 Federal Plaza  
New York, New York 10007

Region 3  
7th and D Streets, SW,  
Washington, D.C. 20407

Region 4  
1776 Peachtree Street, NW.  
Atlanta, Georgia 30309

Region 5  
230 South Dearborn Street  
Chicago, Illinois 60604

Region 6  
1500 E. Bannister Road  
Kansas City, Missouri 64131

Region 7  
819 Taylor Street  
Fort Worth, Texas 76102

Region 8  
Building 41 - Denver Federal Center  
Denver, Colorado 80225

Region 9  
525 Market Street  
San Francisco, California 94105

Region 10  
GSA Center  
Auburn, Washington 98002

#### GSA/FSS STOCKPILE DEPOT ADDRESSES

GSA-FSS Baton Rouge Depot  
2695 N. Sherwood Forest Drive  
Baton Rouge, LA 70814

GSA-FSS  
Federal Service Center (C/D)  
4747 Eastern Avenue  
Building 1  
Bell, CA 90201

GSA-FSS Bethlehem Depot  
Bethlehem, PA 18015

GSA-FSS Belle Mead Depot  
Belle Mead, NJ 08502

GSA-FSS Binghamton Depot  
Binghamton, NY 13901

GSA-FSS Casad Depot  
New Haven, IN 46774

GSA-FSS Depot  
The Federal Depot  
Clearfield, UT 84016

GSA-FSS Curtis Bay Depot  
Baltimore, MD 21226

GSA-FSS Dayton Depot  
2400 West Dorothy Lane  
Dayton, OH 45439

GSA-FSS Erie Depot  
P.O. Box 344  
Port Clinton, OH 43452

GSA-FSS Fort Worth Depot

#### APPENDIX - GSA/FSS ADDRESSES OF LOCATIONS

##### REGIONAL OFFICES

##### Region 1

819 Taylor Street  
Fort Worth, TX 76102

GSA-FSS Gadsden Depot  
P.O. Box 918  
Hammond, IN 46325

GSA-FSS Marion Depot  
P.O. Box 348  
Marion, OH 43302

GSA-FSS Building 7050  
P.O. Box 6  
Mira Loma, CA 91752

GSA-FSS New Bedford Depot  
King Street & Nash Road  
New Bedford, MA 02745

GSA-FSS Pt. Pleasant Depot  
2601 Madison Avenue  
Point Pleasant, WVA 25550

GSA-FSS Scotia Depot  
Scotia, NY 12302

GSA-FSS Sharonville Depot  
P.O. Box 41131  
Cincinnati, OH 45241

GSA-FSS Somerville Depot  
State Highway No. 206  
Somerville, NJ 08876

General Services Administration  
GSA-FSS Depot  
Rough & Ready Island  
Building 606  
Stockton, CA 95203

GSA-FSS Topeka Depot  
Building 301  
Topeka, KS 66601

GSA-FSS Warren Depot  
Warren, OH 44482

GSA-FSS Buffalo Depot  
All mail goes to Binghamton Depot

GSA-FSS Jeffersonville, IN  
All mail goes to Sharonville Depot

GSA-FSS Marietta, PA  
All mail goes to Region 3

GSA-FSS Terre Haute Depot  
All mail goes to Sharonville Depot

GSA-FSS Voorheesville, NY  
All mail goes to Scotia Depot

#### GSA SUPPLY DISTRIBUTION FACILITIES

GSA Supply Distribution Facility  
295 Lindoln Street  
Hingham, MA 02043

GSA Supply Distribution Facility  
Belle Mead, NJ 08502

GSA Supply Distribution Facility  
Military Ocean Terminal Bayonne, NJ 07002

GSA Supply Distribution Facility  
Building 246 Raritan Depot  
Edison, NJ 08817

GSA Supply Distribution Facility  
Springfield, VA 22150

GSA Supply Distribution Facility  
Federal Supply Service  
2800 Eastern Boulevard  
Middle River, MD 21220

GSA Supply Distribution Facility  
Hampton Roads Army Terminal  
7737 Hampton Boulevard  
Norfolk, VA 23505

GSA Supply Distribution Facility  
Garden City Terminal  
Savannah State Docks and Warehouses  
Garden City, GA 31408

GSA Supply Distribution Facility  
7400 S. Pulaski Road  
Chicago, IL 60629

GSA Supply Distribution Facility  
Building 31  
Shelby, OH 44875

GSA Supply Distribution Facility  
1500 E. Bannister Road  
Kansas City, MO 64131

GSA Supply Distribution Facility  
Warehouse 3, Federal Center  
Fort Worth, TX 76115

GSA Supply Distribution Facility  
500 Edwards Avenue  
Harahan, LA

GSA Supply Distribution Facility  
Building 810, Denver Federal Center  
Denver, Colorado 80225

GSA Supply Distribution Facility  
1600 12th Street, NW,  
Albuquerque, NM

GSA Supply Distribution Facility  
Building C6, Annex 3, Federal Depot  
Clearfield, UT 84016

San Juan P.R.  
Building T3069, Hickam AFB  
Honolulu, HI 96824

GSA Supply Distribution Facility  
Building 512 Rough and Ready Island  
Stockton, CA 95203

GSA Supply Distribution Facility  
Auburn, WA 98002

#### APPENDIX

The following Routine Use statements will apply to General Services Administration notices where indicated.

##### Routine Use -- Law Enforcement

In the event that a system of records maintained by this agency to carry out its functions indicates a violation or potential violation of law, whether civil, criminal or regulatory in nature, and whether arising by general statute or particular program statute, or by regulation, rule or order issued pursuant thereto, the relevant records in the system of records may be referred, as a routine use, to the appropriate agency, whether Federal, state, local, or foreign, charged with the responsibility of investigating or prosecuting such violation or charged with enforcing or implementing the statute, or rule, regulation or order issued pursuant thereto.

##### Routine Use - Disclosure When Requesting Information

A record from this system of records may be disclosed as a 'routine use' to a Federal, state, or local agency maintaining civil,

criminal or other relevant enforcement information or other pertinent information such as current licenses, if necessary, to obtain information relevant to an agency decision concerning the hiring or retention of an employee, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit.

#### Routine Use - Disclosure of Requested Information

A record from this system of records may be disclosed to a Federal agency, in response to its request, in connection with the hiring or retention of an employee, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit by the requesting agency, to the extent that the information is relevant and necessary to the requesting agency's decision on the matter.

#### Routine Use - Grievance, Complaint, Appeal

A record from this system of records may be disclosed to an authorized appeal or grievance examiner, formal complaints examiner, equal employment opportunity investigator, arbitrator or other duly authorized official engaged in investigation or settlement of a grievance, complaint, or appeal filed by an employee. A record from this system of records may be disclosed to the United States Civil Service Commission in accordance with that agency's responsibility for evaluation of Federal personnel management.

To the extent that official personnel records in the custody of GSA are covered within systems of records published by the Civil Service Commission as government wide records, those records will be considered as a part of that government wide system. Other official personnel records covered by notices published by GSA and considered to be separate systems of records may be transferred to the Civil Service Commission in accordance with official personnel programs and activities as a routine use.

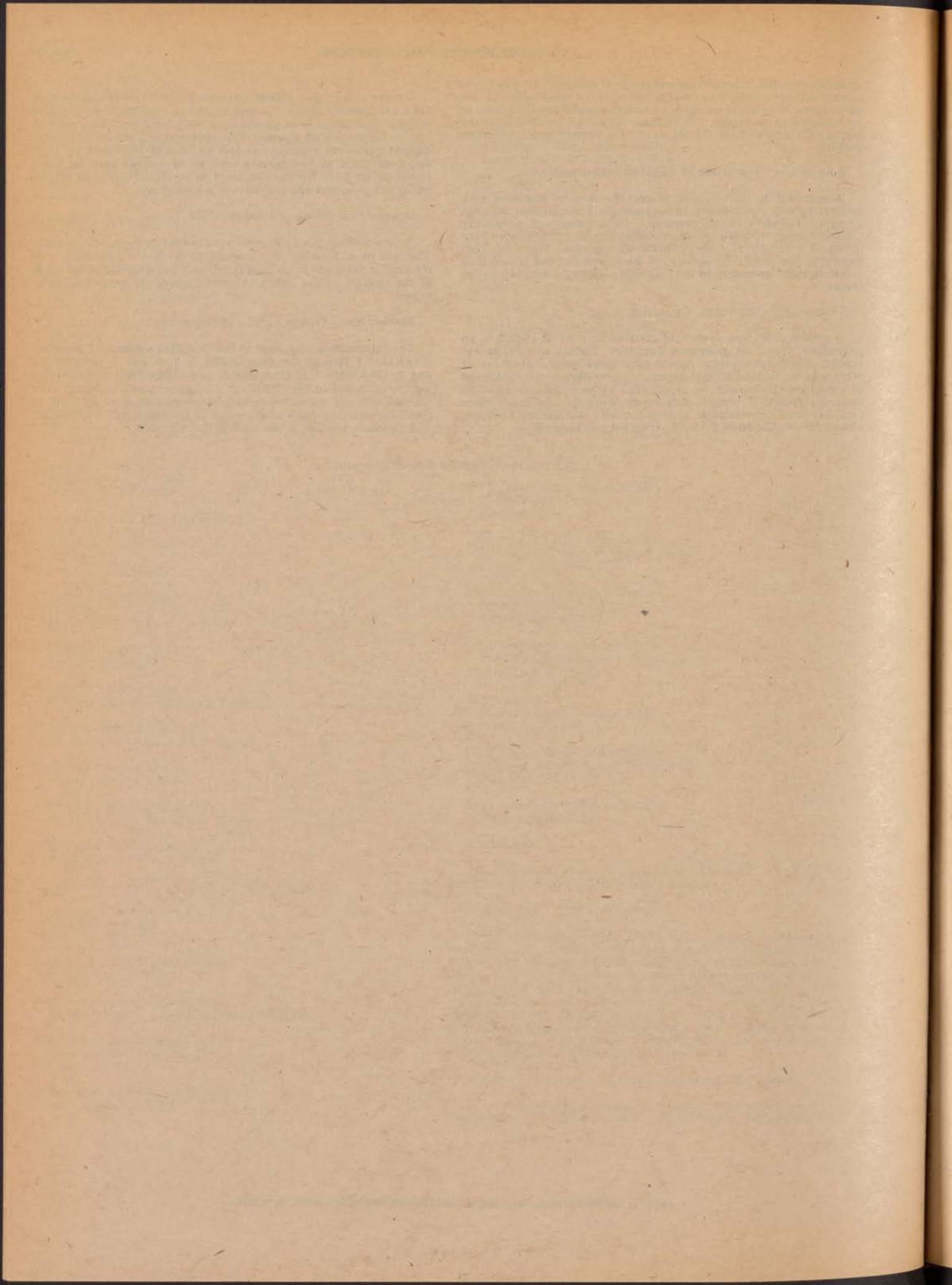
#### Routine Use - Congressional Inquiries

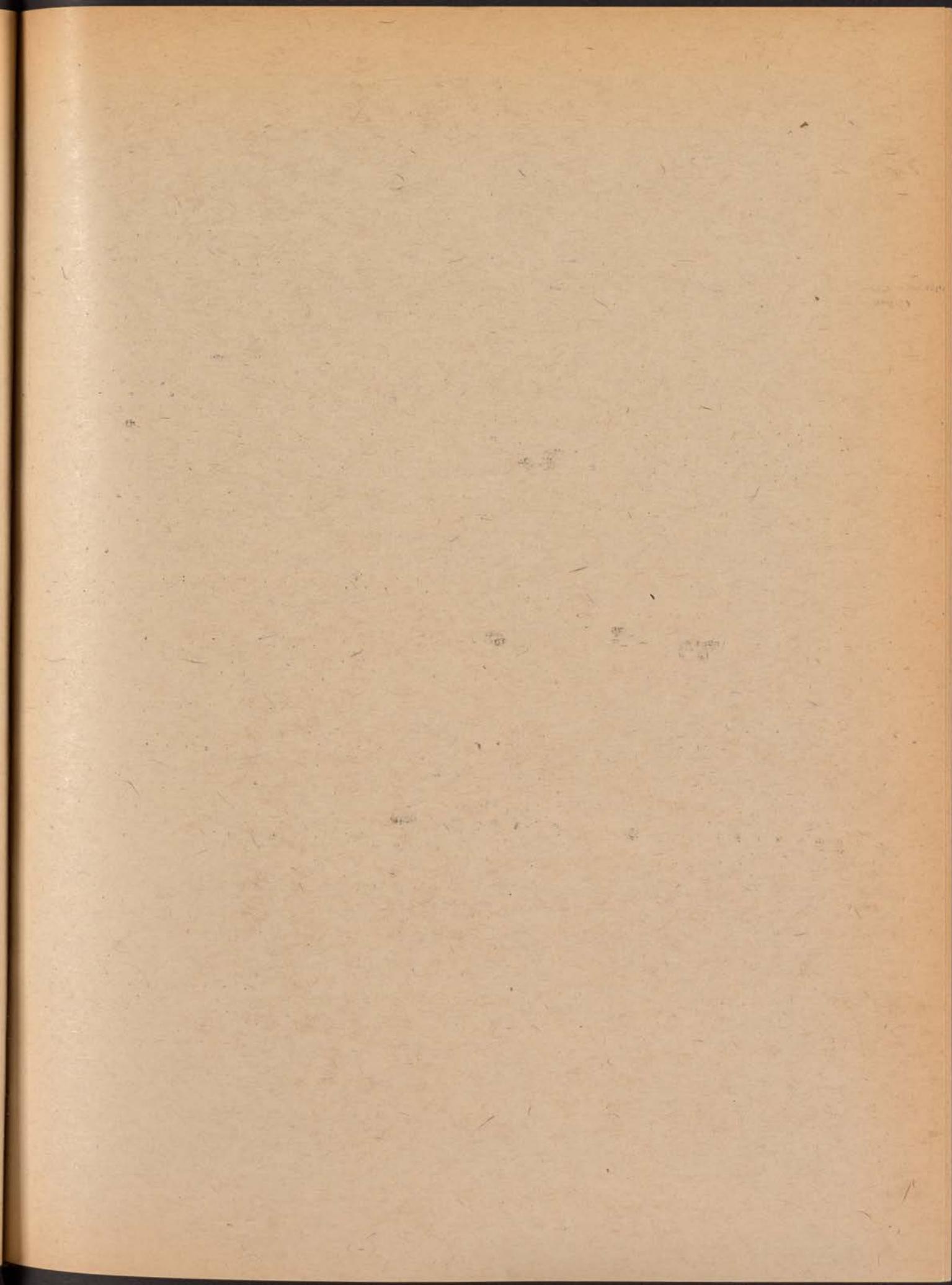
A record from this system of records may be disclosed as a routine use to a Member of Congress or to a Congressional staff member in response to an inquiry of the Congressional office made at the request of the individual about whom the record is maintained.

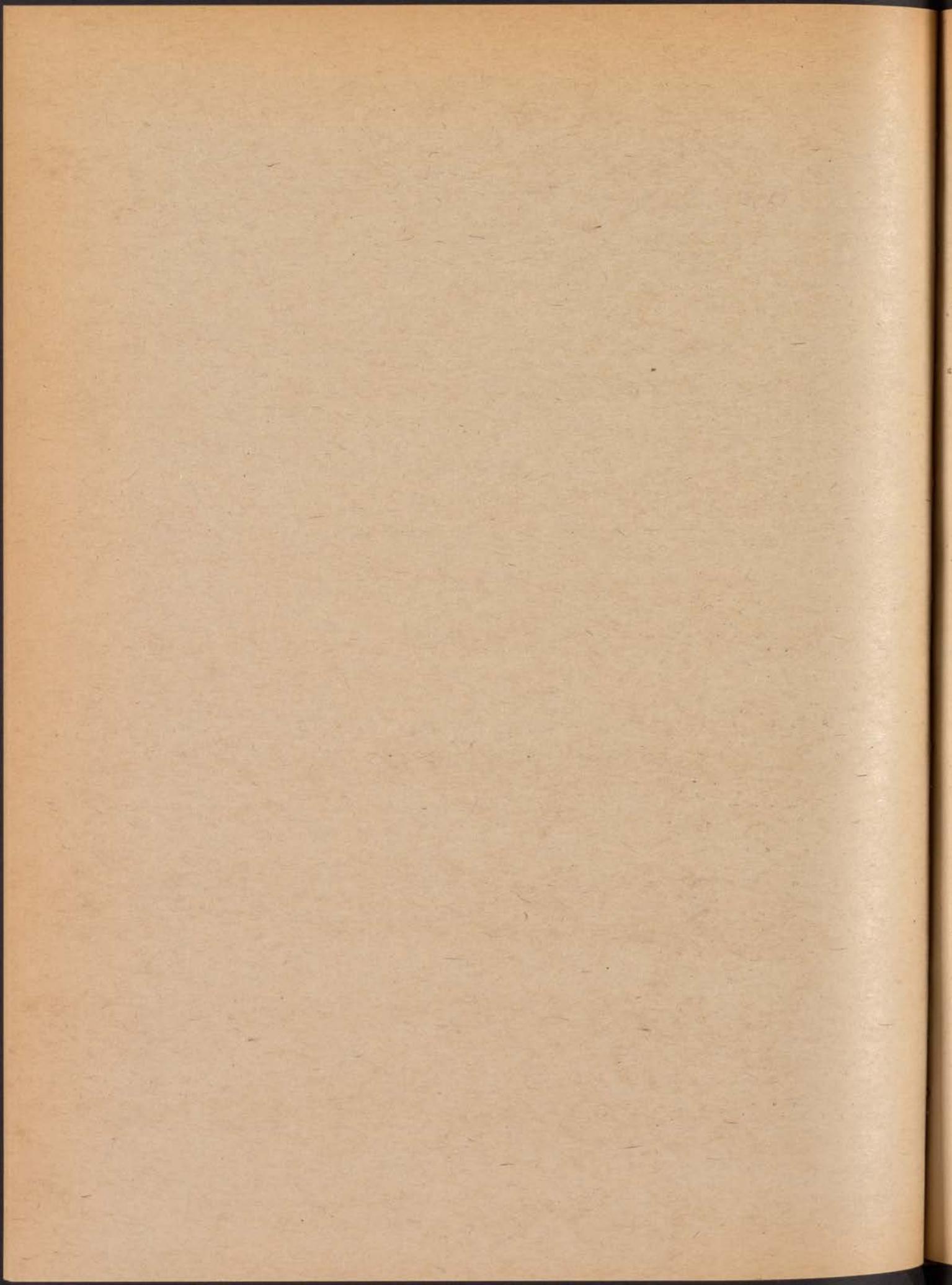
#### Routine Use - Private Relief Legislation

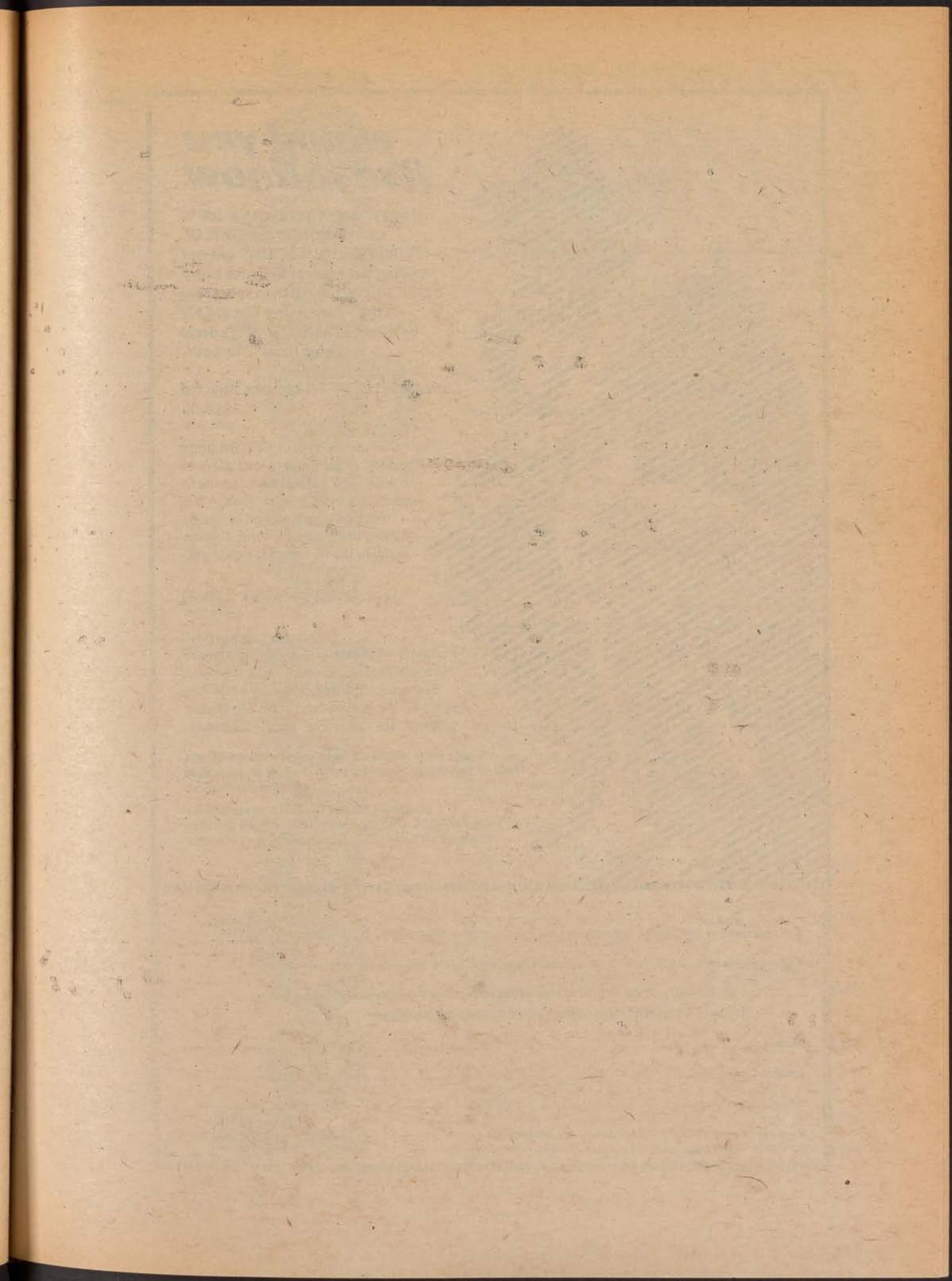
The information contained in the following systems of records - GSA/OAD 1 through 35; GSA/ADTS 3, 5, 6, and 7; GSA/PBS 1 and 2; GSA/FMPO 1; GSA/FSS-8; and GSA/FPA 1 through 12 - will be disclosed to the Office of Management and Budget in connection with the review of private relief legislation as set forth in OMB Circular No. A-19 at any stage of the legislative coordination and clearance process as set forth in that circular.

[FR Doc.76-25538 Filed 8-30-76;8:45 am]











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